
NEW YORK STATE
REGISTER

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on July 28, 2019
- the 45-day period expires on July 13, 2019
- the 30-day period expires on June 28, 2019

**ANDREW M. CUOMO
GOVERNOR**

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SECRETARY OF STATE**

NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

Each paid subscription to the *New York State Register* includes one weekly issue for a full year and four "Quarterly Index" issues. The Quarterly is a cumulative list of actions that shows the status of every rule making action in progress or initiated within a calendar year.

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Office of Alcoholism and Substance Abuse Services

NOTICE OF ADOPTION

General Provisions

I.D. No. ASA-51-18-00019-A

Filing No. 508

Filing Date: 2019-05-14

Effective Date: 2019-05-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 800 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 19.07(e), 19.09(b), 32.01 and 32.07(a)

Subject: General provisions.

Purpose: Update provisions consistent with treatment developments, definitions, technical language, gender neutral language.

Text or summary was published in the December 19, 2018 issue of the Register, I.D. No. ASA-51-18-00019-P.

Final rule as compared with last published rule: No changes.

Revised rule making(s) were previously published in the State Register on March 27, 2019.

Text of rule and any required statements and analyses may be obtained from: Carmelita Cruz, NYS OASAS, 1450 Western Ave., Albany, NY 12203, (518) 485-2312, email: carmelita.cruz@oasas.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2022, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Public comment was received from one entity requesting:

1. References to MAT be amended to “all FDA approved medications for opioid use disorder treatment”. OASAS supports the use of all FDA approved medications to treat addiction. OASAS programs are required to treat substance use disorder, not just opioid use disorder, and amending language would limit the scope of the regulatory intent.

2. Clarification of prohibition on patient discrimination based on medication use at admission. This provision is included in both Part 800 and Part 815, additional guidance is unnecessary.

3. Request for expansion of requirements around naloxone accessibility and administration at program sites, which is already addressed in a separate OASAS policy on naloxone.

Department of Audit and Control

NOTICE OF ADOPTION

Reporting Requirements for Service Credit Involving Public Safety Overtime

I.D. No. AAC-07-19-00017-A

Filing No. 501

Filing Date: 2019-05-14

Effective Date: 2019-07-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Renumbering of section 315.5 to section 315.6; addition of new section 315.5 to Title 2 NYCRR.

Statutory authority: Retirement and Social Security Law, sections 11, 34, 41, 311 and 334

Subject: Reporting requirements for service credit involving public safety overtime.

Purpose: To allow certain special duty assignments to qualify as public safety overtime and be considered allowable service.

Text or summary was published in the February 13, 2019 issue of the Register, I.D. No. AAC-07-19-00017-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jamie Elacqua, Office of the State Comptroller, 110 State Street, Albany, NY 12236, (518) 473-4146, email: jelacqua@osc.ny.gov

Revised Regulatory Impact Statement

1. Statutory Authority: This rule is authorized under sections 11 and 311 of the Retirement and Social Security Law. These Sections authorizes the Comptroller to make rules and regulations as he may deem necessary in the performance of the duties imposed upon him by law. Additionally, Section 34 of Retirement and Social Security Law (RSSL) provides legal authorization for collecting salary and service for nonmembers.

2. Legislative Objectives: The Retirement System has long considered certain special duty assignments that consisted primarily of security work performed by public safety professionals at the request of a private entity on a voluntary basis, paid or reimbursed by the private entity, performed under the direction of the private entity, or primarily for the benefit of the private entity not to be creditable because such assignments did not constitute paid public service with a participating employer. Courts have upheld

the Retirement System's position that such work, often referred to as "private entity overtime" was not allowable service, and was not within the realm of the employee's duties for the participating employer.

In recent years, however, the manner in which special duty assignments performed at the request of private entities are assigned, supervised, and compensated has changed. Today, special duty assignments are often mandatory and are directed and controlled by the public employer. Compensation to the employee is paid by the public employer, not the private entity. In recognition of the changing nature of special duty assignments, the Retirement System has determined that those special duty assignments that meet the criteria established by the Retirement System, qualify as "public safety overtime" and shall be considered allowable service.

3. Needs and Benefits: Special duty assignments are recognized as addressing important public safety concerns and, therefore, the Retirement System has determined that those special duty assignments that meet the criteria established by the Retirement System, should qualify as "public safety overtime" and should be considered allowable service.

4. Costs: There are no new costs to regulated parties for the implementation of this rule.

5. Local Government Mandates: Not applicable.

6. Paperwork: No new paperwork will be required.

7. Duplication: None.

8. Alternatives: No significant alternatives were considered.

9. Federal Standards: This rule does not exceed any Federal standard.

10. Compliance Schedule: It is estimated that regulated parties will be able to achieve compliance immediately. The proposed rule does not materially vary from the previously established regulatory guidelines.

Revised Regulatory Flexibility Analysis

1. Effect of rule: The proposed rule requires Retirement System participating employers to report all public safety overtime assignments to the Retirement System. Thus, potentially all local governments may be affected by the rule and since small businesses are not participating employers, they will not be affected by the proposed rule.

2. Compliance requirements: Participating local government employers are required to comply with the reporting requirements for all public safety overtime assignments.

3. Professional services: There are no professional services that a local government will likely need to comply with the rule.

4. Compliance costs: There are no initial capital costs and no annual costs for local governments to comply with these rules because it is anticipated that the cost of public safety overtime billed to a private entity by a local government will include reimbursement for such allowable service.

5. Economic and technological feasibility: Since there are no compliance costs imposed upon local governments there is no need to conduct an assessment of the economic feasibility of compliance with such rule.

6. Minimizing adverse impact: No adverse impact is anticipated for local governments. This conclusion was reached because the rule essentially clarifies the definition of "allowable service" for the purposes of granting service credit for public safety overtime. Accordingly, none of the approaches for minimizing adverse economic impact suggested in SAPA section 202-b(1) were considered.

7. Small business and local government participation: In order to ensure local governments have an opportunity to participate in the rule making process, the text of the proposed rule will be posted on the Comptroller's website.

Revised Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas: This rule will apply to all local governments located in rural areas that are participating employers with the Retirement System.

2. Reporting, recordkeeping and other compliance requirements; and professional services: Local governments located in rural areas that are participating employers with the Retirement System are required to comply with the reporting requirements set forth in the proposed rule. These requirements relate to public safety overtime. There are no professional services likely to be needed in a rural area to comply with the rule.

3. Costs: There are no initial capital costs and no annual costs for public entities in rural areas to comply with these rules because it is anticipated that the cost of public safety overtime billed to a private entity by a local government will include reimbursement for such allowable service.

4. Minimizing adverse impact: This rule will not adversely impact rural areas.

5. Rural area participation: In order to ensure rural areas have an opportunity to participate in the rule making process the text of the proposed rule will be posted on the Comptroller's website.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2022, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The New York State and Local Employees' Retirement System and the New York State and Local Police and Fire Retirement System (collectively, the Retirement System) received comments from the Quinn Law Firm, the Tuttle Law Firm and the Village of Ryebrook (hereinafter the "commenters") during the public comment period for the amendment of Section 315.5 of Part 315 of Title 2 NYCRR as well as a letter in support from the New York State Professional Firefighters Association, Inc. While none of the comments require changes in the proposed regulation, it has been determined that the issues presented are valid and are best addressed in this response.

Of the three commenters, two inquired about the retroactivity of the regulation and one asked for a clarification of the phrase "mandatory special duty assignment." In an effort to capture the comments in an easy to read format, they are laid out by subject below.

Retroactivity

It has been determined by the Retirement System that this regulation will not be applied retroactively. If it were to be applied retroactively it would be inordinately burdensome to participating employers and the Retirement System. Participating employers would be required to look back at all overtime amounts ever paid to both retirees and active members who may fall under covered titles. Additionally, participating employers and the Retirement System would be required to obtain and provide all contracts with private entities, collective bargaining agreements and employer policies including both past and present; a nearly impossible task.

Finally, any adjustments to previously reported salary would require the payment of additional employer and member contributions as well as the recalculation of benefits. This is not feasible. Therefore, for the above stated reasons, this regulation was written to encompass all public safety overtime worked on or after July 1, 2019.

Clarification of "Mandatory Special Duty Assignment"

A mandatory special duty assignment is an assignment which an employer orders the employee to work, or, in the case where an employee is not so ordered, the special duty assignment must be made available to employees in a manner consistent with the employer's policy or practice for the assignment of overtime, which may be from procedures provided in a collective bargaining agreement or written department policy.

Conclusion

The Retirement System has determined that none of the comments received require changes in the proposed regulation. A retroactive application would be inordinately burdensome to participating employers and the Retirement System.

The clarification of the phrase "mandatory special duty assignment" presented above clearly establishes the criteria by which special duty overtime is deemed "mandatory".

State Board of Elections

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Audit Status for Early Voting

I.D. No. SBE-22-19-00001-EP

Filing No. 460

Filing Date: 2019-05-08

Effective Date: 2019-05-08

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of section 6210.18(l) to Title 9 NYCRR.

Statutory authority: Election Law, sections 9-211 and 3-102(17)

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The Commissioners determined that it is necessary for the preservation of the general welfare that this amendment be adopted on an emergency basis as authorized by section 202(6) of the State Administrative Procedure Act, effective immediately upon filing with the Department of State. This amendment is adopted as an emergency measure because time is of the essence and to adopt the regulation in the normal course of business would be contrary to the general welfare. Chapter 6 of the Laws of 2019 requires that Early Voting

ing be implemented by the November 5, 2019 general election. Local boards of elections will not have adequate time to budget and plan for early voting by the general election, including the auditing of voting machines and systems used for early voting, if this amendment were to be adopted in the normal course of business.

Subject: Audit Status for Early Voting.

Purpose: Establishes Process for Auditing Early Voting Machines and Systems.

Text of emergency/proposed rule: Section 6210.18 is amended to add a new subdivision (l) to read as follows:

(l) Notwithstanding any other provision of this section, voting machines or systems used for early voting shall be separately audited pursuant to this subdivision and the provisions of this section not inconsistent with this subdivision after the date of the election. For purposes of selecting the voting machine(s) or system(s) used in early voting to be audited, each separate memory storage device containing election results, exclusive of any redundant memory storage devices, used during early voting from which a result tape is generated shall be considered a separate voting machine or system for purpose of the audit. As provided by the procedures of the state board of elections and the provisions of this Part consistent with this subdivision, initially three percent of such voting machines or systems used for early voting shall be audited in addition to the initial audit of three percent of voting machines or systems used on election day as provided for in subdivision (a) of this section. The audit expansion steps for ballots voted early shall be the same as for other ballots, and both early voted ballots and all other ballots counted by machine shall be included in any full manual count conducted pursuant to this section. The cast ballots corresponding to each memory storage device containing election results shall be kept together and not intermingled with any other voted ballots. This subdivision is applicable in relation to any election at which early voting is held pursuant to title VI of article 8 of the election law as enacted by chapter 6 of the laws of 2019.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire August 5, 2019.

Text of rule and any required statements and analyses may be obtained from: Nicholas R. Cartagena, New York State Board of Elections, 40 N. Pearl Street, Suite 5, Albany, NY 12207, (518) 474-2063, email: nicholas.cartagena@elections.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority: Chapter 6 of the Laws of 2019 requires that Early Voting be implemented by the November 5, 2019 general election. Election Law § 9-211 outlines audit procedures of voting machines or systems after each general, special, village or primary election. Election Law § 3-102(17) authorizes the State Board of Elections to “perform such other acts as may be necessary to carry out the purposes of this chapter.”

2. Legislative objectives: The legislative objective furthered by the proposed regulation is the establishment of audit procedures for early voting machines and systems.

3. Needs and benefits: The regulation outlines the process local boards of elections must undertake in auditing voting machines and systems.

4. Costs: No additionally costs are anticipated, as county boards of elections are already obligated to audit voting machines and systems. This regulation clarifies that such audits include early voting machines and systems.

5. Local government mandates: There are no additional local mandates, as county boards of elections are already obligated to audit voting machines and systems. This regulation clarifies that such audits include early voting machines and systems.

6. Paperwork: This proposal imposes no new reporting or regulatory filing requirements.

7. Duplication: There is no jurisdictional duplication created by this rulemaking.

8. Alternatives: The alternative is to have no regulation, which could lead to early voting machines and systems not getting audited after an election.

9. Federal standards: Not applicable.

10. Compliance schedule: Compliance can be immediate upon publication of Emergency Rulemaking.

Regulatory Flexibility Analysis

1. Effect on Small Businesses and Local Governments:

Local boards of elections will be affected by the proposed regulations. There are 58 local boards of elections.

2. Compliance Requirements:

The proposed regulations implement Election Law § 9-211 with re-

spect to early voting, in that statute requires audit procedures of voting machines or systems after each general, special, village or primary election.

3. Professional Services:

It is anticipated that the requirements imposed by the proposed regulations will be implemented by existing local board of elections work staff.

4. Compliance Costs:

No additionally costs are anticipated, as county boards of elections are already obligated to audit voting machines and systems. This regulation clarifies that such audits include early voting machines and systems.

5. Economic and Technological Feasibility:

The proposed regulations requires that voting machines and systems be audited as required by statute.

6. Minimizing Adverse Impact:

The standards set forth in the proposed regulations reflect requirements as prescribed in Chapter 6 of the Laws of 2019 and the § 9-211 of the Election Law.

7. Small Business and Local Government Participation:

By e-mail dated, April 19, 2019, the State Board of Elections informed the commissioner of each local County Board of Elections in the State of New York of the amendments to the regulations that are necessitated by Chapter 6 of the Laws of 2019. The e-mail included a draft of the proposed amendments.

Rural Area Flexibility Analysis

Under SAPA 202-bb(4)(a), when a rule does not impose an adverse economic impact on rural areas and the agency finds it would not impose reporting, recordkeeping, or other compliance requirements on public or private entities in rural areas, the agency may file a Statement in Lieu of. This rule has statewide application, providing an auditing process for early voting machines and systems. Accordingly, this rule has no adverse impact.

Job Impact Statement

Under SAPA 201-a(2)(a), when it is apparent from the nature and purpose of the rule that it will not have a substantial adverse impact on jobs and employment opportunities, the agency may file a Statement in Lieu of. This rulemaking, as is apparent from its nature and purpose, will not have an adverse impact on jobs or employment opportunities. The proposed amendment provides a process for auditing early voting voting machines and systems. This rulemaking imposes no regulatory burden on any facet of job creation or employment.

**EMERGENCY/PROPOSED
RULE MAKING**

NO HEARING(S) SCHEDULED

Related to the Minimum Required Voting Machines and Privacy Booths Needed for Early Voting Polling Sites

I.D. No. SBE-22-19-00002-EP

Filing No. 461

Filing Date: 2019-05-08

Effective Date: 2019-05-08

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 6210.19 of Title 9 NYCRR.

Statutory authority: Election Law, sections 7-203(2) and 3-102(17)

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The Commissioners determined that it is necessary for the preservation of the general welfare that this amendment be adopted on an emergency basis as authorized by section 202(6) of the State Administrative Procedure Act, effective immediately upon filing with the Department of State. This amendment is adopted as an emergency measure because time is of the essence and to adopt the regulation in the normal course of business would be contrary to the general welfare. Chapter 6 of the Laws of 2019 requires that Early Voting be implemented by the November 5, 2019 general election. Local boards of elections will not have adequate time to budget and plan for early voting by the general election, including planning for the number of voting machines and systems required for an early voting site, if this amendment were to be adopted in the normal course of business.

Subject: Related to the Minimum Required Voting Machines and Privacy Booths needed for Early Voting Polling Sites.

Purpose: Establishes the Minimum Required Voting Machines and Privacy Booths needed for Early Voting Polling Sites.

Text of emergency/proposed rule: Section 6210.19 is amended as follows:

§ 6210.19 Minimum number of voting machines

(a) The purpose of these determinations is to establish the minimum number of required voting machines and privacy booths needed for each polling place based upon *whether the voting system is used on election day or for the early voting period, and* [,] the type of voting system and the number of registered voters (excluding voters in inactive status) assigned to use that specific voting device in accordance with NYS Election Law, sections 7-200, [and] 7-203 and 8-600.

(b) [Determinations by type of voting system.] *Minimum Number of Voting Machines For Election Day Polling Sites*

(1) Direct recording electronic voting systems.

(i) There shall be at least one direct recording electronic voting device for every 550 registered voters (excluding voters in inactive status) [at the] *assigned to a polling place*.

(2) Precinct based optical scan voting systems.

(i) There shall be at least one scanning device for every 4,000 registered voters (excluding voters in inactive status) [at the] *assigned to a polling place*.

(ii) Privacy booths:

(a) there shall be at least one privacy booth for every 300 registered voters (excluding voters in inactive status), except that in a general election for governor, or at elections at which electors for President of the United States are selected there shall be at least one privacy booth for every 250 registered voters (excluding voters in inactive status);

(b) at polling places that accommodate more than 6,000 registered voters (excluding voters in inactive status), there shall be one privacy booth for every 350 registered voters (excluding voters in inactive status) in a general election for governor, or at elections at which electors for President of the United States shall be selected; and one privacy booth for every 400 active voters in all other elections; and

(c) a sufficient number of the privacy booths must be accessible to voters with disabilities.

(c) *Minimum Number of Voting Machines for the Early Voting Period*

(1) *Direct recording electronic voting systems.*

(i) *There shall be at least one direct recording electronic voting device for every 3,000 registered voters (excluding voters in inactive status) assigned to the early voting period polling place; provided, however, no early voting site shall have less than two direct recording electronic devices.*

(2) *Precinct based optical scan voting systems.*

(i) *There shall be at least one scanning device for every 25,000 registered voters (excluding voters in inactive status) assigned to the early voting polling place; provided, however, no early voting site shall have less than two optical scanners.*

(ii) *Privacy booths:*

(a) *there shall be at least one privacy booth for every 4,200 registered voters (excluding voters in inactive status), except that in a general election for governor, or at elections at which electors for President of the United States are selected there shall be at least one privacy booth for every 3,600 registered voters (excluding voters in inactive status);*

(b) *a sufficient number of the privacy booths must be accessible to voters with disabilities.*

(d) *Obligations of the county boards of elections.*

(1) County boards shall deploy sufficient voting equipment, election workers and other resources so that voter waiting time at a poll site does not exceed 30 minutes. Each county board of elections may increase in a non-discriminatory manner, the number of voting devices used in any specific polling place. *If the voter waiting time at an early voting site exceeds thirty minutes the board of elections shall deploy such additional voting equipment, election workers and other resources necessary to reduce the wait time to less than thirty minutes as soon as possible but no later than the beginning of the next day of early voting.*

(2) The inspectors in each election district and at each early voting site shall record the number of persons using audio, tactile or pneumatic switch ballot devices. The county board of elections shall furnish additional voting machines equipped with audio, tactile or pneumatic switch ballot devices when it appears that the number of persons historically using such devices warrant additional devices.

([d]e) The State Board of Elections may authorize a reduction in the number of voting devices provided in these regulations upon application of a county board of elections which demonstrates that such a reduction will not create excessive waiting time by voters. *The request for such a reduction shall be made by both commissioners, in writing, to the co-executive directors of the state board and shall set forth the rationale being used for the requested reduction. The request for such reduction shall be made no later than twenty days before the beginning of the early voting period.*

(f) *Provisions of this section applicable to early voting shall apply in relation to any election at which early voting is held pursuant to title VI of article 8 of the election law as enacted by chapter 6 of the laws of 2019.*

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire August 5, 2019.

Text of rule and any required statements and analyses may be obtained from: Nicholas R. Cartagena, New York State Board of Elections, 40 N. Pearl Street, Suite 5, Albany, NY 12207, (518) 474-2063, email: nicholas.cartagena@elections.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority: Chapter 6 of the Laws of 2019 requires that Early Voting be implemented by the November 5, 2019 general election. Election Law § 7-203(2) provides that “the State Board of Elections shall establish ... for each election, the minimum number of voting machines required in each polling place and the maximum number of voters that can vote on one machine”. Election Law § 3-102(17) authorizes the State Board of Elections to “perform such other acts as may be necessary to carry out the purposes of this chapter.”

2. Legislative objectives: The legislative objective furthered by the proposed regulation is the establishment of the minimum number of voting machines and systems required to be located at an early voting site.

3. Needs and benefits: Statute requires that the State Board “establish ... for each election, the minimum number of voting machines required in each polling place.”

4. Costs: The regulatory amendments are required by Chapter 6 of the Laws of 2019 and Election Law § 7-203(2). The implementation of the proposed regulations will result in additional costs to local county boards of election, as local county boards will have to setup and maintain voting machines and systems required for early voting. However, the SFY 2019-20 state budget provides \$10 million dollars available to county boards for reimbursement of costs related to early voting, subject to the approval of the State Division of Budget.

5. Local government mandates: As noted in the Costs section, pursuant to Chapter 6 of the Laws of 2019 and Election Law § 7-203(2), county boards of election are charged with establishing and operating early voting sites, including setting up and maintaining voting machines and systems; however, much of these costs were addressed in the SFY 2019-20 state budget.

6. Paperwork: This proposal imposes no new reporting or regulatory filing requirements.

7. Duplication: There is no jurisdictional duplication created by this rulemaking.

8. Alternatives: The alternative is to have no regulation; however, as a consequence, the State Board would not be in compliance with Election Law § 7-203(2).

9. Federal standards: Not applicable.

10. Compliance schedule: Compliance can be immediate upon publication of Emergency Rulemaking.

Regulatory Flexibility Analysis

1. Effect on Small Businesses and Local Governments:

Local boards of elections will be affected by the proposed regulations. There are 58 local boards of elections.

2. Compliance Requirements:

The proposed regulations implement Election Law § 7-203(2) with respect to the minimum number of machines and systems required at early voting poll sites.

3. Professional Services:

It is anticipated that the requirements imposed by the proposed regulations will be implemented by existing local board of elections work staff.

4. Compliance Costs:

The regulatory amendments are required by Chapter 6 of the Laws of 2019 and Election Law § 7-203(2). The implementation of the proposed regulations will result in additional costs to local county boards of election, as local county boards will have to setup and maintain voting machines and systems required for early voting. However, the SFY 2019-20 state budget provides \$10 million dollars available to county boards for reimbursement of costs related to early voting, subject to the approval of the State Division of Budget.

5. Economic and Technological Feasibility:

The proposed regulations requires a minimum number of voting machines and systems to be located at early voting poll sites.

6. Minimizing Adverse Impact:

The standards set forth in the proposed regulations reflect requirements as prescribed in Chapter 6 of the Laws of 2019 and Election Law § 7-203(2).

7. Small Business and Local Government Participation:

By e-mail dated, April 19, 2019, the State Board of Elections informed the commissioner of each local County Board of Elections in the State of

New York of the amendments to the regulations that are necessitated by Chapter 6 of the Laws of 2019. The e-mail included a draft of the proposed amendments.

Rural Area Flexibility Analysis

Under SAPA 202-bb(4)(a), when a rule does not impose an adverse economic impact on rural areas and the agency finds it would not impose reporting, recordkeeping, or other compliance requirements on public or private entities in rural areas, the agency may file a Statement in Lieu of. This rule has statewide application, providing a minimum number of voting machines and systems at early voting poll sites. Accordingly, this rule has no adverse impact.

Job Impact Statement

Under SAPA 201-a(2)(a), when it is apparent from the nature and purpose of the rule that it will not have a substantial adverse impact on jobs and employment opportunities, the agency may file a Statement in Lieu of. This rulemaking, as is apparent from its nature and purpose, will not have an adverse impact on jobs or employment opportunities. The proposed amendment establishes a minimum number of voting machines and systems for early voting poll sites. This rulemaking imposes no regulatory burden on any facet of job creation or employment.

**EMERGENCY/PROPOSED
RULE MAKING
NO HEARING(S) SCHEDULED**

Process for Early Voting

I.D. No. SBE-22-19-00003-EP
Filing No. 462
Filing Date: 2019-05-08
Effective Date: 2019-05-08

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of Part 6211 to Title 9 NYCRR.

Statutory authority: Election Law, sections 8-602 and 3-102(17)

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The Commissioners determined that it is necessary for the preservation of the general welfare that this amendment be adopted on an emergency basis as authorized by section 202(6) of the State Administrative Procedure Act, effective immediately upon filing with the Department of State. This amendment is adopted as an emergency measure because time is of the essence and to adopt the regulation in the normal course of business would be contrary to the general welfare. Chapter 6 of the Laws of 2019 requires that Early Voting be implemented by the November 5, 2019 general election. Local boards of elections will not have adequate time to budget and plan for early voting by the general election if this amendment were to be adopted in the normal course of business.

Subject: Process for Early Voting.

Purpose: Establishing Process for Early Voting.

Text of emergency/proposed rule: A new Part 6211 is added to read as follows:

PART 6211- Early Voting Regulations

6211.1 Early Voting Site Designations.

(a) *Deadline for Early Voting Site Designations.* By May first of each year, the board of elections shall designate early voting sites for the general election held in such year. Early voting sites for primaries and special elections shall be designated no later than forty-five days before such an election.

(b) *Minimum Number of Early Voting Sites*

(1) *For a general election, the board of elections shall designate at least the number of early voting sites required by this Part, based on the number of registered voters in each county, including voters in active and inactive status as of February 1, as follows:*

(i) *If the number of voters in the county is less than 99,999, the county must have at least one early voting site.*

(ii) *If the number of voters in the county is equal to or more than 100,000 and less than or equal to 149,999, the county must have at least two early voting sites.*

(iii) *If the number of voters in the county is equal to or more than 150,000 and less than or equal to 199,999, the county must have at least three early voting sites.*

(iv) *If the number of voters in the county is equal to or more than 200,000 and less than or equal to 249,999, the county must have at least four early voting sites.*

(v) *If the number of voters in the county is equal to or more than 250,000 and less than or equal to 299,999, the county must have at least five early voting sites.*

(vi) *If the number of voters in the county is equal to or more than 300,000 and less than or equal to 349,999, the county must have at least six early voting sites.*

(vii) *If the number of voters in the county is equal to or more than 350,000, the county must have at least seven early voting sites.*

(2) *For a primary election or special election, the minimum number of early voting sites shall be based on the number of voters eligible to participate in the election pursuant to subparagraph 1 of this subdivision, unless the board of elections adopts a resolution determining that a lesser number of early voting sites is sufficient to meet the needs of early voters. Such resolution shall state the basis of such determination and shall specify how the board of elections will monitor voter wait times at early voting sites and ensure compliance with 6210.19 (d) throughout the period of early voting.*

(3) *The board of elections may designate more early voting sites than the minimum number required for the convenience of voters.*

(4) *All sites must be open for voting for the sixty-hours required by Election Law § 8-600, but the board of elections may expand the hours the early voting sites are open beyond the statutory minimums.*

(c) *Standards For Early Voting Site Designation*

(1) *Adequate and Equitable Access.* Early voting sites shall be located so that voters in each county have adequate and equitable access to early voting, and such sites shall comply with the provisions of the Election Law related to poll sites and accessibility for voters with physical disabilities. A polling place accessibility survey shall be completed, filed and updated for each early voting site as required by Part 6206.

(2) *The board of elections shall consider, in totality, the following factors when designating early voting sites:*

(i) *population density;*

(ii) *travel time to the early voting location from the voter's place of residence;*

(iii) *proximity of an early voting site to other early voting sites;*

(iv) *whether the early voting site is on or near public transportation routes;*

(v) *commuter traffic patterns;*

(vii) *any other factors the board of elections deems appropriate.*

6211.2 Canvass of Ballots Cast During Early Voting.

(a) *All ballots cast during early voting period, by any method allowed under law, shall be canvassed and counted as if cast on Election Day. At the end of each day of early voting, all voted and unvoted ballots shall be reconciled and, along with any portable memory devices containing voting information and registration poll records, returned to the board of elections or otherwise secured pursuant to a plan approved by the state board at least sixty days before the first election at which such plan shall be applicable. Such plan submitted by the commissioners of a board of elections shall be approved or rejected by the co-executive directors of the state board no later than two weeks after receipt.*

(b) *The manner of canvassing the voting machines used at early voting and announcing the results shall be consistent with section 8-600 of the election law and in the same manner as provided by title one of article 9 of the election law and the procedures of the state board of elections, except that the canvass of ballots cast during the early voting period may begin no earlier than at eight o'clock p.m. on Election Day, provided the board of elections adopts procedures to prevent the public release of any election results prior to the close of polls on election day. Such procedures must be consistent with the regulations of the state board of elections and must be filed with the state board of elections at least thirty days before any early voting period for an election to which they will apply. To prevent the premature release of voting results prior to the close of all polls on Election Day, all persons lawfully present at the canvass of ballots cast during early voting period shall remain incommunicado with all persons outside of the place of canvass and shall remain at the room or area of the canvass once the canvass has begun, absent exigency or a board of elections purpose that requires leaving the canvass room or area, until at least the close of polls on the day of election.*

6211.3 Ballots Cast When Scanner Unavailable During the Early Voting Period.

At the end of each day of early voting, those ballots which were not scanned because a scanner was not available or because the ballot was abandoned at the ballot scanner, shall, if a scanner is then available, be

scanned by the election inspectors as provided for by Election Law § 9-110. Any ballots that are unscannable because it is rejected by the scanner or because of an overvote or wholly blank vote warning provided by the ballot scanner, shall be secured in the manner applicable to voted ballots on election day and shall remain unexamined until the time of canvass on the day of the election, at which time they shall be examined as provided for in Election Law § 9-110 and duly canvassed. Such ballots shall be reconciled as required by the procedures of the state board and must be held inviolate until the time of canvass on election day under tamper evident seal and lock and key.

6211.4 Affidavit Ballots Cast During Early Voting.

Affidavit ballots cast during early voting shall be accounted for in the manner of affidavit ballots cast on election day. Boards of elections shall complete the bi-partisan review of the affidavits to determine the eligibility of such voter prior to the canvass of affidavit ballots cast during the early voting period, in the same manner as for affidavit ballots submitted on election day. Until the time of canvassing, affidavit ballot envelopes shall be secured, when not in bipartisan custody for processing and researching, under tamper evident seal and lock and key as required by the procedures of the state board.

6211.5 Privacy of Voting.

To ensure an efficient and fair early voting process that respects the privacy of the voter, the manner of voting on days of the early voting period shall be the same as the manner of voting on the day of election.

6211.6 Voter History and Prevention of Duplicate Voting.

(a) During the early voting period, the voting history record for each voter shall be continually updated to reflect that a voter has voted early. A record indicating a voter has voted during the early voting period shall be available to poll workers at every early voting site at which a voter is eligible to vote in near real time. In such instance where a voter is only eligible to vote at one early voting site, the single poll book at such site for such voter may serve as the continually updated record of voter history throughout the early voting period.

(b) By Election Day, the voting history record of each voter who has cast a ballot during the early voting period shall be entered into the voter registration system of the board of elections. Such voting history shall be included in the voter registration poll record that is used on Election Day to determine the eligibility of voters. Such Election Day record must differentiate voters who voted early from those who appeared to vote on the day of election.

(c) Any voter who the board of elections has identified as having voted during the early voting period shall not be eligible to vote on Election Day, except such voter shall be entitled to complete an affidavit ballot if such voter claims not to have voted early. Such affidavit shall be marked as such.

(d) No later than the seventh day after a primary or special election or the tenth day after the general election, the voting history record of each voter who has signed a poll record and thus cast a ballot on such election day shall be entered into the voter registration system of the board of elections, and the voter history for such election day voters and early voters shall be uploaded to the statewide voter registration list.

(e) Not later than the seventh day after a primary or special election or the tenth day after a general election, by five o'clock p.m. on such day, the board of elections shall prepare a list, including data elements prescribed by the board of elections and in the format specified by the state board of elections, of all persons who submitted an affidavit ballot. Such list shall be provided to the state board of elections, and the state board of elections shall provide a combined list of all affidavit ballots submitted statewide to the boards of elections.

(f) No later than seven business days after the completion of the canvass, the voting history record of each voter who has cast an absentee, military, special or federal ballot on Election Day or who has cast an affidavit ballot during early voting or on Election Day shall be entered into the voter registration system of the board of elections and the statewide voter registration list.

(g) Boards of elections shall provide information regarding voter registration records or voting records in their custody to other boards of elections, upon request, as soon as reasonably practicable.

(h) The board of elections, as required by Election Law § 8-600 (1), shall establish procedures to ensure that persons who vote during early voting shall not be permitted to vote subsequently in the same election. Such procedures shall remain in effect until amended by the board of elections and shall be filed with the state board of elections on or before the sixtieth day preceding the first day of the early voting period. Such procedures shall be approved or rejected by the co-executive directors of the state board of elections within one week of filing. If such procedures

are rejected, notice shall be provided to the board of elections of the reasons therefor. The board of elections shall then have three business days to amend their procedures and resubmit the same to the state board of elections for approval.

(i) Boards of elections shall provide to the state board, in the manner specified by the state board, the number of voters who vote early on each day of early voting by the next business day after such day of early voting.

6211.7 Early Voting Communications Plan.

(a) Early Voting Information. The board of elections shall provide at least the following information to media outlets within the county:

(i) The location of early voting sites and their dates and hours of operation;

(ii) A statement that all early voting sites are accessible to voters with physical disabilities;

(iii) A clear statement that if a voter casts a ballot during early voting the voter will not be allowed to vote on election day or on a subsequent day of early voting;

(iv) If early voting sites are specific to particular cities, towns or other political subdivisions, a statement describing the area served by each early voting site.

(b) Communications Outreach. County board of elections may also provide early voting information by using social media venues and any other communication mechanisms, including but not limited to broadcast advertisements, direct mail or newspaper advertisements. The board of elections communications plan shall identify the community based groups that were involved in the development of the plan or were provided early voting information.

(c) Filing Communications Plan With State Board of Elections. The board of elections shall annually file a copy of the communications plan on or before June 1, except in the first year of early voting, on or before the first day of July.

6211.8 Applicability.

This part shall apply in relation to any election at which early voting is held pursuant to title VI of article 8 of the election law as enacted by chapter 6 of the laws of 2019.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire August 5, 2019.

Text of rule and any required statements and analyses may be obtained from: Nicholas R. Cartagena, New York State Board of Elections, 40 N. Pearl Street, Suite 5, Albany, NY 12207, (518) 474-2063, email: nicholas.cartagena@elections.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority: Chapter 6 of the Laws of 2019 requires that Early Voting be implemented by the November 5, 2019 general election. Election Law § 8-602 provides that "the state board of elections shall promulgate rules or regulations necessary for the implementation of the provisions of (early voting). Such rules and regulations shall include, but not be limited to, provisions to (i) ensure that ballots cast early, by any method allowed under law, are counted and canvassed as if cast on election day, (ii) ensure an efficient and fair early voting process that respects the privacy of the voter, and (iii) require that the voting history record for each voter be continually updated to reflect each instance of early voting by such voter". Election Law § 3-102(17) authorizes the State Board of Elections to "perform such other acts as may be necessary to carry out the purposes of this chapter."

2. Legislative objectives: The legislative objective furthered by the proposed regulation is the implementation of early voting.

3. Needs and benefits: The regulation outlines the process local boards of elections must undertake in implementing early voting.

4. Costs: The regulatory amendments are required by Chapter 6 of the Laws of 2019. The implementation of the proposed regulations will result in additional costs to local county boards of election, as local county boards are charged with establishing and operating early voting sites during the early voting period. However, the SFY 2019-20 state budget provides \$10 million dollars available to county boards for reimbursement of costs related to early voting, subject to the approval of the State Division of Budget.

5. Local government mandates: As noted in the Costs section, pursuant to Chapter 6 of the Laws of 2019, county boards of election are charged with establishing and operating early voting sites; however, much of these costs were addressed in the SFY 2019-20 state budget.

6. Paperwork: This proposed rule requires local county boards of election to upload the voting history record of each voter who has cast an affi-

davit, absentee, military, special or federal ballot to NYSVoter no later than seven business days after the completion of the canvass. This requirement is a safeguard against persons attempting to vote more than once. Additionally, this rule requires county boards of elections to provide information regarding voter registration records or voting records in their custody to other boards of elections, upon request, as soon as reasonably practicable. This requirement ensures that persons who may be registered in another county in the state are franchised.

7. Duplication: There is no jurisdictional duplication created by this rulemaking.

8. Alternatives: This rulemaking amends the existing regulations to conform to the requirements of Chapter 6 of the Laws of 2019. The alternative is to have no regulation, which could potentially disenfranchise voters.

9. Federal standards: Not applicable.

10. Compliance schedule: Compliance can be immediate upon publication of Emergency Rulemaking.

Regulatory Flexibility Analysis

1. Effect on Small Businesses and Local Governments:

Local boards of elections will be affected by the proposed regulations. There are 58 local boards of elections.

2. Compliance Requirements:

The proposed regulations implement Chapter 6 of the Laws of 2019, in that such law provides New York voters with an opportunity for early voting.

3. Professional Services:

It is anticipated that the requirements imposed by the proposed regulations will be implemented by existing local board of elections work staff.

4. Compliance Costs:

The regulatory amendments are required by Chapter 6 of the Laws of 2019. The implementation of the proposed regulations will result in additional costs to local county boards of election, as local county boards are charged with establishing and operating early voting sites during the early voting period. However, the SFY 2019-20 state budget provides \$10 million dollars available to county boards for reimbursement of costs related to early voting, subject to the approval of the State Division of Budget.

5. Economic and Technological Feasibility:

The proposed regulations require the input of certain information into the NYSVoter system (the statewide voter registration database) and any existing local county database.

6. Minimizing Adverse Impact:

The standards set forth in the proposed regulations reflect requirements as prescribed in Chapter 6 of the Laws of 2019 and the Election Law.

7. Small Business and Local Government Participation:

By e-mail dated, April 19, 2019, the State Board of Elections informed the commissioner of each local County Board of Elections in the State of New York of the amendments to the regulations that are necessitated by Chapter 6 of the Laws of 2019. The e-mail included a draft of the proposed amendments.

Rural Area Flexibility Analysis

Under SAPA 202-bb(4)(a), when a rule does not impose an adverse economic impact on rural areas and the agency finds it would not impose reporting, recordkeeping, or other compliance requirements on public or private entities in rural areas, the agency may file a Statement in Lieu of. This rule has statewide application, providing a process for early voting. Accordingly, this rule has no adverse impact.

Job Impact Statement

Under SAPA 201-a(2)(a), when it is apparent from the nature and purpose of the rule that it will not have a substantial adverse impact on jobs and employment opportunities, the agency may file a Statement in Lieu of. This rulemaking, as is apparent from its nature and purpose, will not have an adverse impact on jobs or employment opportunities. The proposed amendment provides a process for early voting. This rulemaking imposes no regulatory burden on any facet of job creation or employment.

Department of Environmental Conservation

NOTICE OF ADOPTION

CO₂ Emissions Standards for Major Electric Generating Facilities

I.D. No. ENV-20-18-00006-A

Filing No. 466

Filing Date: 2019-05-09

Effective Date: 30 days after filing

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Parts 200 and 251 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 1-0101, 1-0303, 3-0301, 19-0103, 19-0105, 19-0107, 19-0301, 19-0303 and 19-0305

Subject: CO₂ Emissions Standards for Major Electric Generating Facilities.

Purpose: To establish CO₂ emissions standards for non-modified existing fossil fuel fired major electric generating facilities.

Substance of final rule: The Department is proposing to revise 6 NYCRR Part 251, CO₂ Performance Standards for Major Electric Generating Facilities (Part 251). Part 251 currently imposes carbon dioxide (CO₂) emission limits on new major electric generating facilities, as well as on existing electric generating facilities that increase capacity by at least 25 megawatts (MW). The proposed revisions to Part 251 would establish CO₂ emission rate requirements applicable to non-modified existing major electric generating facilities, and would also include attendant revisions to 6 NYCRR Part 200, General Provisions. The revisions to Part 200 are necessary to update incorporated references to federal rules.

Section 251.1, Definitions: There are no changes being proposed to the definitions section.

Changes to Section 251.2, Applicability: July 12, 2012, the effective date of the original Part 251, was added to subdivision (a) to clarify the applicability of Part 251 to new sources and modified existing sources. In addition, this subdivision was amended for organizational purposes to include "Modified Existing Sources." Notwithstanding these revisions, the substantive applicability to such new sources and modified existing sources is unchanged by the proposed revisions.

Subdivision (b) of Section 251.2 was amended as follows: "(b) 'Non-Modified Existing Sources'. The provisions of subdivision 251.3(b) would apply to owners or operators of fossil fuel-fired non-modified existing major electric generating facilities that provide more than 10 percent of their annual electric output to the electric grid and that are not subject subdivision 251.3(a)." Through this proposed revision, Part 251 would also apply to non-modified existing sources, in addition to its current applicability to new sources and modified existing sources.

Section 251.3, Emission limits: The section was reorganized to differentiate between (1) the current CO₂ limits for new and modified existing sources, and (2) the proposed new CO₂ emission limits for non-modified existing sources. The limits in subdivision 251.3(a) apply to new and modified existing sources, and are unchanged by the proposed revisions. The limits in proposed subdivision 251.3(b) apply to non-modified existing sources and are as follows: "(1) Beginning on December 31, 2020, owners or operators of a non-modified existing source are required to meet an emission rate of 1,800 pounds of CO₂ per MW hour gross electrical output (output-based limit) or 180 pounds of CO₂ per million Btu of input (input-based limit) for each fossil fuel combusted. As of December 31, 2020, owners or operators of a non-modified existing source shall not fire any single fossil fuel, alone or in combination with any other fuel, with an emission rate that is greater than or equal to 1,800 pounds of CO₂ per MW hour gross electrical output or 180 pounds of CO₂ per million Btu of input." In addition, these emission limits in subdivision (b) would be measured on an annual basis, calculated by dividing the annual total of CO₂ emissions for the calendar year by either the annual total (gross) MW generated (output-based limit) or the annual Btu input (input-based limit) over the same calendar year for each fuel combusted. As provided for in the current Section 251.4, an owner or operator of a non-modified existing facility subject to the CO₂ emission limits in subdivision 251.3(b) must specify which form of CO₂ emission limit the owner or operator will comply with, the input-based limit or the output-based limit.

No substantive changes were made to Sections 251.4, Permit requirements or 251.5, Monitoring.

Section 251.6, Recordkeeping and reporting was amended to include annual report requirements, if an owner or operator is unable to demonstrate compliance with the provisions in subdivision 251.3(b) by following the other monitoring, recordkeeping, and reporting provisions in Part 251. An additional reporting option was included to allow sources subject to the reporting requirements of 40 CFR Part 98 the option to use their annual submission to EPA to satisfy the reporting requirements of Part 251.

No changes were made to Section 251.7, Severability.

Changes to Section 200.9 Referenced Material: This Section was amended to update references incorporated throughout Part 251.

Final rule as compared with last published rule: Nonsubstantive changes were made in sections 200.9, 251.2(b) and 251.6(f).

Text of rule and any required statements and analyses may be obtained from: Laura Stevens, NYSDEC, Division of Air Resources, 625 Broadway, Albany, NY 12233-3251, (518) 402-8396, email: air.regs@dec.ny.gov

Additional matter required by statute: Pursuant to Article 8 of the State Environmental Quality Review Act, a Short Environmental Assessment Form, a Negative Declaration and a Coastal Assessment Form have been prepared and are on file.

Summary of Revised Regulatory Impact Statement

INTRODUCTION

Governor Andrew M. Cuomo has established a State goal of reducing carbon dioxide (CO₂) emissions from the energy sector by 40 percent by 2030. To help achieve this goal, New York State must ensure that electric generating units (EGUs) burning coal are repowering to a cleaner fuel or closed no later than 2020.

The Department is proposing to revise 6 NYCRR Part 251, CO₂ Performance Standards for Major Electric Generating Facilities and 6 NYCRR Part 200, General Provisions. The revisions to Part 200 update incorporated references to federal rules. This is not a mandate on local governments. It applies equally to any fossil fuel fired major electric generating facility. Part 251 does not mandate any particular project or activity by any local government.

STATUTORY AUTHORITY

The statutory authority to promulgate revisions to Part 251 is derived from the Department's obligation to prevent and control air pollution, as set out in the Environmental Conservation Law (ECL) at Sections 1-0101, 1-0303, 3-0301, 19-0103, 19-0105, 19-0107, 19-0301, 19-0303, and 19-0305.

LEGISLATIVE OBJECTIVES

The proposed revisions to Part 251 would mitigate higher CO₂ emission rates from the State's existing fleet of fossil fuel-fired electric generating facilities, while retaining more stringent CO₂ performance standards for new and modified sources. Part 251 and the proposed revisions work in conjunction with other State programs - such as the Regional Greenhouse Gas Initiative (RGGI) as implemented by the Department in 6 NYCRR Part 242, CO₂ Budget Trading Program - in order to minimize CO₂ emissions from the power sector in the State. This in turn serves to lessen the State's contribution to atmospheric concentrations of GHGs. Increased atmospheric concentrations of GHGs are contributing to global climate change, and hence endangering public health and welfare in the State.

Part 251 will serve to prevent the operation of high-carbon sources of energy, such as coal-fired major electric generating facilities that do not utilize carbon capture and sequestration (CCS) or some other advanced CO₂ emission reduction technology.

To meet the State's commitments, and consistent with existing legislative enactments, the Department is empowered to promote the safety, health and welfare of the public, protect the State's natural environment, and also help ensure a safe, dependable and economical supply of energy to the people of the State. There is strong scientific evidence that the earth's climate is changing and that greenhouse gases (GHGs) from fossil fuel combustion and other human activities are the major contributor to this change. Climate change represents an enormous environmental challenge for the State because, unabated, it will have serious adverse impacts on the State's natural resources, public health and infrastructure.

Among the GHGs, CO₂ is the chief contributor to climate change. Emission sources that fire carbon-containing material, such as fossil fuels, emit significant quantities of CO₂. Electricity generation is responsible for approximately 17 percent of all GHGs emitted in New York State. In 2014, fuel combustion by the electricity generation sector in New York State emitted approximately 33.5 million tons of CO₂ into the atmosphere.¹ In 2016, electric generating units in the State subject to RGGI emitted approximately 30.7 million tons² of CO₂ into the atmosphere.

NEEDS AND BENEFITS

As part of Governor Cuomo's 40 percent by 2030 CO₂ emission reduction goal, the State must ensure that EGUs burning coal are repowering to

a cleaner fuel or closed no later than 2020. Climate change represents one of the most pressing environmental challenges for the State, the nation, and the world, and reducing GHG emissions, including CO₂, is a means to reduce or stem the pace of climate change. The proposed revisions to Part 251 serve to further CO₂ emissions reductions from the power sector, in order to mitigate the State's contribution to climate change.

Stakeholder Outreach

The Department held stakeholder meetings on August 21, 2017 and August 28, 2107 to discuss the likely elements of the proposed revisions to Part 251, and to obtain feedback. The stakeholder group consisted of the regulated community (electric generating facility representatives) to be affected by the proposed regulation, consultants (both technical and legal), and interested environmental advocacy groups. The Department reviewed these comments, and incorporated considerations of issues discussed at the stakeholder meeting, in further developing the revisions to Part 251.

CO₂ Emission Standards and Requirements

The proposed revisions will establish CO₂ emissions standards for non-modified existing major electric generating facilities, while leaving unchanged the existing CO₂ emission standards for new and modified major electric generating facilities. All non-modified fossil-fuel fired existing major electric generating facilities that are not currently subject to Part 251, that provide more than 10 percent of their annual electric output to the electric grid, would be required to meet an emissions limit of either 1,800 lbs/MW-hr gross electrical output or 180 lbs/mmBTU of input.

COSTS

Potential Impacts on Electricity Prices and Reliability

No existing coal-fired electric generation emission sources are expected to continue operating in New York beyond December 31, 2020 based in part on the proposed revisions to Part 251. Such a unit could apply CCS technology to reduce its CO₂ rate to comply with the proposed emission standard. The required application of CCS technology would create a significant increase in capital and operation costs when compared to base coal without CCS technology. Natural gas and oil-fired units will be able to meet the proposed emissions standards, and thus will not have to contend with CCS technology.

The Department does not anticipate any reliability issues as a result of affected electric generating facility closings attributable to the proposed Part 251 revisions. The 2016 NYSIO Reliability Needs Assessment analyzed various scenarios to determine their impact. The scenario in which there were no coal-fired power plants operating in New York State found a relatively small increase in the loss of load expectancy (LOLE) from 0.04 to 0.06 days per year in 2017. This scenario assesses the retirement of the last coal plant in New York State, which would represent the loss of approximately 687 MW of capacity.

Costs to the Regulated Community

Existing coal-fired major EGUs will not be able to meet the proposed CO₂ emission standard without the installation of controls (such as CCS). CCS technology would add significantly to the cost of construction and operation of existing coal-fired EGUs, and ultimately this expenditure would be anticipated to be passed along as increased electricity costs for the end user. Natural gas and oil-fired units will be able to meet the proposed emission limits, and thus will not have to contend with CCS technology. Absent the installation of CCS or other technology, coal-fired major EGUs will need to repower to a cleaner fuel or cease operations. Either option will impose associated costs upon the facility, and possibly the surrounding community. The communities surrounding Cayuga and Somerset could incur significant tax implications if the facilities shut down. However, these tax revenue losses could be offset by the Electric Generation Facility Cessation Mitigation Program.³ This program provides grant funding to local government entities that suffered tax revenue or PILOT payment losses as a result of an electric generating facility ceasing operation.

The communities surrounding Cayuga and Somerset could incur significant tax implications if the facilities cease operations beyond 2020. Both of these facilities, however, have other compliance options under the proposed revisions to Part 251, including repowering to a cleaner fuel or employing CCS or another advanced CO₂ abatement technology. Further, even absent these revisions and as previously stated, market forces have already resulted in a shift away from coal generation toward other generating technologies. In particular, for both of the facilities impacted by the proposed revisions, a review of available operating data shows a significant decline in their operating capacities over the past few years.

Costs to the Department

The Department will not incur additional costs associated with the implementation of the proposed revisions and can properly administer the proposed revisions with the application of existing resources. Current Department staff will review permit applications and monitoring plans, as well as executing and modifying permits and inspecting subject sources.

PAPERWORK

This rule will impose minimal additional paperwork for recordkeeping and monitoring to demonstrate compliance with the annual CO₂ emission standards, but it is not expected to be unduly burdensome. Facilities subject to this regulation are already required to meet regulatory requirements for CO₂ emissions under Subpart 202-2 and Part 242 and are already required to meet emission standards for other air contaminants and have systems in place to monitor emissions and submit annual and semi-annual reports to the Department. The facility owner may need to modify the data acquisition handling system software, in order to compute and report CO₂ monitoring data in pounds per gross electric output rate in terms of megawatt/hr, or fuel input rate in terms of million Btu per hour. Facilities subject to the proposed revisions to Part 251 are already subject to Subpart 202-2 and Part 242 and would already have to compute and report CO₂ emissions data under Subpart 202-2 and Part 242. Based upon comments received, the Department has included an additional reporting option for sources. Sources subject to the reporting requirements of 40 CFR Part 98 may use their annual submission to EPA to satisfy the requirements of subdivision 251.6. The records and reports will be required to be kept and submitted in the same formats used to track other pollutants with emission standards.

LOCAL GOVERNMENT MANDATES

This is not a mandate on local governments. It applies equally to any entity that owns or operates a subject source. Local governments have no additional compliance obligations as compared to other subject entities. There are currently three municipally owned major electric generating facilities in New York State. All three facilities are non-modified existing major electric generating facilities that would be subject to the proposed revisions to Part 251. Since all three facilities burn gas or oil, and have CO₂ emission rates less than the proposed limits, they are already in compliance with the proposed revisions to Part 251.

DUPLICATION

Facilities subject to Part 251 are also subject to the Part 242 requirements. Therefore, this proposed regulation does not duplicate any existing monitoring or record keeping requirements.

ALTERNATIVES

The following alternatives have been evaluated to address the goals of Part 251 as set forth above:

(1) Take no Action: This option was rejected as it would not prevent the most carbon intensive existing non-modified sources of electricity generation.

(2) RGGI Cap Adjustment: A reduction of the RGGI annual cap would increase CO₂ allowance prices, making it less economically feasible for the most carbon intensive electric generating facilities to continue to operate. However, the allowance price increase may not be sufficient to ensure that the most carbon intensive existing electric generating facilities would cease operation or repower to a cleaner fuel by 2020. Therefore, this option was rejected.

(3) Establish a different CO₂ emission limit or specific CO₂ emission standard for each source and fuel type. However, a single CO₂ emission standard that applies equally to all non-modified existing major electric generating facilities best serves the Department's objective of furthering CO₂ emission reductions.

FEDERAL STANDARDS

As a result of several actions by EPA, GHGs, including CO₂, became "subject to regulation" under the Act as of January 2, 2011. EPA modified the relevant applicability thresholds for GHGs for purposes of PSD and Title V permitting under the Act in the GHG Tailoring Rule. The Department incorporated these modified thresholds into its Parts 200, 201, and 231. This means that new major stationary sources, and major modifications at existing stationary sources, are subject to BACT for GHGs under the PSD permitting program, if the source emits GHGs above the relevant applicability threshold. While the applicability provisions are separate and not identical, a source that is subject to Part 251 may also be subject to BACT for GHGs under the PSD permitting program.

There currently is no specific federal CO₂ emission standard for stationary sources. Therefore, the proposed revisions may be considered more stringent than the current federal standards. The proposed Part 251 standards are protective of public health and the environment in the absence of similar federal emission standards. The potential adverse impact to global air quality and New York State's environment from CO₂ emissions necessitates that New York State take action now to halt the increase in CO₂ emissions that contribute to climate change.

COMPLIANCE SCHEDULE

The CO₂ emission limit applicable to non-modified existing sources in the proposed revisions to Part 251 will be effective as of December 31, 2020. Owners or operators of non-modified existing major electric generating facilities will not be required to comply with the proposed CO₂ limits until December 31, 2020. Notwithstanding this compliance schedule, pursuant to Article 19 of the ECL, the revisions to Part 251 will be effective thirty days after its filing with the Department of State.

¹ New York State Greenhouse Gas Inventory: 1990-2014 and Forecast 2012-2030. Final Report, December 2016, Revised February 2017, New York State Energy Research and Development Authority, Albany, NY.

² <https://rggi-coats.org/eats/rggi>

³ <https://esd.ny.gov/electric-generation-facility-cessation-mitigation-program>

Revised Regulatory Flexibility Analysis

EFFECT OF RULE ON SMALL BUSINESSES AND LOCAL GOVERNMENTS

There are currently three municipally owned major electric generating facilities in New York State. The Samuel A. Carlson Generating Station is owned by the Jamestown Board of Public Utilities (JBPU). The JBPU consists of two coal-fired stoker boilers that were converted to burn gas and oil and a natural gas-fired combustion turbine. The Village of Freeport owns and operates two natural gas-fired combustion turbines. Finally, Rockville Center owns and operates stationary internal combustion engines. All three facilities are subject to the proposed revisions to Part 251, however, since all three facilities burn gas or oil and have CO₂ emission rates less than the emissions limit proposed in the revision to Part 251, they are already in compliance with the emissions limit being proposed in the revision to Part 251.

None of the existing facilities mentioned above are owned or operated by a small business. Sources of applicable size and capacity are not generally operated by small businesses, due to the significant capital costs necessary to operate such a facility.

COMPLIANCE REQUIREMENTS

This is not a mandate on local governments. Local governments have no additional compliance obligations as compared to other subject entities. Under the proposed revisions to Part 251, all non-modified fossil-fuel fired existing major electric generating facilities, not currently subject to Part 251, that provide more than 10 percent of their annual electric output to the electric grid would be required to meet a carbon dioxide (CO₂) emissions limit of either 1,800 lbs/MW-hr gross electrical output (output-based limit) or 180 lbs/mmBtu of input (input-based limit). Facilities subject to this Part will also be required to meet a 12-month rolling average or annual CO₂ emission standard. They are also required to meet regulatory requirements for other regulated pollutants (e.g., a limit for emissions of SO₂ and/or NO_x). To demonstrate compliance with other applicable regulations already in place, including in Part 242 and via federal monitoring requirements contained within 40 CFR Part 75, both a CO₂ continuous emission monitoring system (diluent monitor) and a fuel flow monitor would already have been installed. Thus, as monitoring equipment is generally already required by other existing State and federal regulations, there will be no additional costs incurred by regulated facilities to demonstrate compliance with the proposed CO₂ standard. Newly subject sources will have standard operating expenses associated with operating permit requirements, including provisions for recordkeeping, monitoring and reporting necessary to demonstrate compliance with this rule.

PROFESSIONAL SERVICES

The Department believes that professional services would be required to support the employment of CCS or another advanced CO₂ emission reduction technology, a conversion of the existing coal units to natural gas or for the replacement of the units with combined cycle units at the two coal-fired facilities impacted by the proposed revisions to Part 251. There may also be a need for professional services, if the facilities opt to shut down, for decommissioning, dismantling and clean-up as needed. Given the number of options available and the variability of the costs associated with each option, the Department does not have a cost estimate for professional services. The Department anticipates that any costs for professional services would represent a small percentage of the overall costs for the selected option.

COSTS

The Department has determined that existing combined cycle combustion turbines, existing natural gas-fired boilers, existing natural gas-fired stationary internal combustion engines, existing oil-fired simple cycle combustion turbines, and existing oil-fired stationary internal combustion engines can meet the proposed CO₂ emission standards in Part 251.

No existing coal-fired electric generation emission sources are expected to continue operating in New York beyond 2020 based in part on the proposed revisions to Part 251. If, however, the owner or operator of such a unit wishes, it could apply 15 to 20 percent CCS or other advanced CO₂ emission reduction technology to reduce the unit's CO₂ rate to a level that complies with the proposed emission standard revisions in Part 251. While the Department does not have cost estimates for retrofitting an existing coal facility with CCS, based on a review of existing data for new installations it is the Department's belief that application of that technology as a response to the revisions to Part 251 would be cost prohibitive. This is fur-

ther supported by a Global CCS Institute publication where the costs of retrofitting relative to a sources unique circumstances are evaluated. It is noted in that publication that "... the actual impact of the factors driving retrofitting cost will be site and situation specific. It is estimated that retrofitting CCS is unlikely for plants older than ten to twelve years, as total CCS cost would be at least 30 percent higher compared to new power plants (for same scale plants), and possibly much more, depending on the specific case. There are two exceptions when the retrofit cost penalty could be significantly lower. The first is for very young (less than five to seven years), and very efficient coal power plants. If the plant was built as 'capture ready', and the retrofit planned to minimize downtime, the additional costs could be 10 percent or even lower..."¹

MINIMIZING ADVERSE IMPACTS

The Department has considered the issues and determined that Part 251 will not have an adverse impact on small businesses or local governments. The ability of a source to meet the requirements of Part 251 will not be influenced by whether the source is owned by a local government or small business, as compared to some other entity. The proposed regulation establishes specific CO₂ emission standards for non-modified existing major electric generating facilities.

In satisfying the requirements of section 202-b for minimizing adverse impacts to small business, the State Administrative Procedures Act (SAPA) requires that each proposal address the following:

(1) 'Establishment of differing compliance requirements or reporting times.' The compliance and reporting times are consistent with other air permitting regulations and quarterly, semi-annual and annual reporting that affected facilities would already be subject to.

(2) 'Use of performance rather than design standards.' Part 251 is a unit-specific rule making based on performance standards and technology currently available. Part 251 restricts emissions of CO₂ at subject facilities, but does not dictate what design or control strategies facilities must implement to achieve compliance with applicable rates.

'Exemption from coverage by the rule for small business and local governments.' The Department has determined that Part 251 should apply to sources regardless of ownership. CO₂ emissions may be significant from municipally-owned power stations and facilities and the objectives of this rule would not be met if certain owners or operators were exempted from its provisions.

SMALL BUSINESS AND LOCAL GOVERNMENT PARTICIPATION

The State Administrative Procedures Act requires agencies to provide public and private interests in rural areas the opportunity to participate in the rule making process and or public hearings. The Department held a stakeholder meetings on August 21, 2017 and August 28, 2017 to discuss the likely elements of the proposed Part 251 and to obtain feedback. The Department also conducted additional stakeholder outreach during the development of Part 251, prior to its formal proposal for public comment. The Department will hold public hearings on Part 251 and small businesses and local governments will be able to comment on the proposed rule during the notice and comment period.

CURE PERIOD OR AMELIORATIVE ACTION

No additional cure period or other additional opportunity for ameliorative action is included in Part 251. First, because of the nature of Part 251 as a performance standard that only applies to certain facilities, Part 251 will not result in immediate violations or impositions of penalties for existing facilities. However, the CO₂ emission limit applicable to non-modified existing sources in the proposed revisions to Part 251 will be effective as of December 31, 2020. Therefore, owners and operators of non-modified existing major electric generating facilities will not be required to comply with the CO₂ emission limit of either 1,800 lbs/Mw-hr gross electrical output (output-based limit) or 180 lbs/mmBtu of input (input based limit) until December 31, 2020. This will allow owners and operators of affected sources time to comply with the proposed revisions to Part 251.

¹ <https://hub.globalccsinstitute.com/publications/carbon-capture-storage-assessing-economics/52-cost-variations-between-ccs-applications>

Revised Rural Area Flexibility Analysis

TYPES AND ESTIMATED NUMBERS OF RURAL AREAS AFFECTED

The proposed rulemaking will apply statewide, however the areas surrounding the two coal-fired major electric generating facilities, namely Tompkins and Niagara Counties, will be affected the most.

Rural areas are defined as rural counties in New York State that have populations of less than 200,000 people, towns in non-rural counties where the population densities are less than 150 people per square mile, and villages within those towns.

COMPLIANCE REQUIREMENTS

Under the proposed revisions to Part 251, all non-modified fossil-fuel

fired existing major electric generating facilities, not currently subject to Part 251, that provide more than 10 percent of their annual electric output to the electric grid would be required to meet a carbon dioxide (CO₂) emissions limit of either 1,800 lbs/MW-hr gross electrical output (output-based limit) or 180 lbs/mmBtu of input (input-based limit). Facilities subject to this Part will also be required to meet a 12-month rolling average or annual CO₂ emission standard. They are also required to meet regulatory requirements for other regulated pollutants (e.g., a limit for emissions of SO₂ and/or NO_x). To demonstrate compliance with other applicable regulations already in place, including in Part 242 and via federal monitoring requirements contained within 40 CFR Part 75, both a CO₂ continuous emission monitoring system (diluent monitor) and a fuel flow monitor would already have been installed. Thus, as monitoring equipment is generally already required by other existing State and federal regulations, there will be no additional costs incurred by regulated facilities to demonstrate compliance with the proposed CO₂ standard. Newly subject sources will have standard operating expenses associated with operating permit requirements, including provisions for recordkeeping, monitoring and reporting necessary to demonstrate compliance with this rule.

COSTS

In order to meet the proposed CO₂ emission standard, coal-fired major electric generating units that do not employ carbon capture and sequestration (CCS) or other advanced CO₂ emission reduction technology will need to repower to a cleaner fuel or cease operations by December 31, 2020. Either option will impose associated costs upon the owners or operators of the facility, and possibly the surrounding community. Cayuga Operating Company has prepared a Repowering Proposal,¹ and a Revised Repowering Proposal,² which detail its projection of economic and employment effects the facility and surrounding community will face under various repowering options. The Cayuga facility is currently the largest taxpayer in all three local tax bases: the county (1.3 percent), town (6.9 percent) and school district (10.5 percent) tax bases. In 2012, AES Energy, the owners of the Somerset electric generating facility at that time, contributed 80 percent of the Town of Somerset tax base, 70 percent of the Baker School District tax base, and five percent of the Niagara County tax base.

To improve a coal-fired plant's CO₂ emission rate, an existing facility could co-fire with natural gas, convert to natural gas, repower to natural gas-fired combustion turbines or replace the facility with a natural gas combined cycle plant. Since the CO₂ performance standard in the proposed revisions applies to each fossil fuel combusted at a non-modified existing facility, the costs associated with co-firing with natural gas are not being evaluated as part of this proposal. But an analysis, discussion and cost data for conversion, repowering and replacement is provided below. In an October 1, 2013 article in Power,³ "capital costs for implementing a complete coal to natural gas conversion (outside of new pipeline costs) can range from \$100,000 to \$250,000 per MW." The article also notes that there could be additional costs associated with decommissioning (demolition, removal and remediation) that could range from \$3,000 to \$30,000 per MW.⁴ The article further touches on the savings as they relate to the risks when completing a life cycle analysis to compare the cost associated with repowering versus a complete replacement with a new combined cycle plant. In a January 2017 EIA document, the total overnight capital costs of new electric generating technology in upstate New York could cost as much as \$1,283 per kW.⁵ Independent of the option chosen, there would also be gas infrastructure costs associated with any new pipelines or other infrastructure needed to supply fuel for the conversion, repower or replacement.

No existing coal-fired electric generation emission sources are expected to continue operating in New York beyond 2020 based in part on the proposed revisions to Part 251. If, however, such a unit wishes, it could apply 15 to 20 percent CCS or other advanced CO₂ emission reduction technology to reduce its CO₂ rate to a level that complies with the proposed emission standard revisions in Part 251. While the Department does not have cost estimates for retrofitting an existing coal facility with CCS, based on a review of existing data for new installations it is the Department's belief that application of that technology as a response to the revisions to Part 251 would be cost prohibitive. This is further supported by a Global CCS Institute publication where the costs of retrofitting relative to a sources unique circumstances are evaluated. It is noted in that publication that "... the actual impact of the factors driving retrofitting cost will be site and situation specific. It is estimated that retrofitting CCS is unlikely for plants older than ten to twelve years, as total CCS cost would be at least 30 percent higher compared to new power plants (for same scale plants), and possibly much more, depending on the specific case. There are two exceptions when the retrofit cost penalty could be significantly lower. The first is for very young (less than five to seven years), and very efficient coal power plants. If the plant was built as 'capture ready', and the retrofit planned to minimize downtime, the additional costs could be 10 percent or even lower..."⁶

MINIMIZING ADVERSE IMPACT

The Department has considered the issues and determined that Part 251 will not have an adverse impact on rural areas. Just like coal-fired facilities in suburban or urban areas, coal-fired facilities located in rural areas would have to install CCS or some other advanced carbon control technology in order to comply with Part 251, or else repower to a cleaner fuel or cease operations, as described above. Further, even absent these revisions and as previously stated, market forces have already resulted in a shift away from coal generation toward other generating technologies. In particular, for both of the facilities impacted by the proposed revisions, a review of available operating data shows a significant decline in their operating capacities over the past few years.

However, should the communities surrounding Cayuga and Somerset incur significant tax implications as a result of the facilities shutting down, these tax revenue losses could be at least partially offset by the Electric Generation Facility Cessation Mitigation Program.⁷ This program provides grant funding to local government entities that suffered tax revenue or PILOT payment losses as a result of an electric generating facility ceasing operation.

RURAL AREA PARTICIPATION

The Department held stakeholder meetings on August 21, 2017 and August 28, 2107 to discuss the likely elements of the proposed revisions to Part 251, and to obtain feedback. The stakeholder group consisted of the regulated community (electric generating facility representatives) to be affected by the proposed regulation, consultants (both technical and legal), and interested environmental advocacy groups. During these meetings, the Department presented some of the draft conditions of the rule, answered questions regarding the proposed rule, and requested feedback on the proposed revisions. The Department reviewed these comments, and incorporated considerations of issues discussed at the stakeholder meeting, in further developing the revisions to Part 251. The Department also met with the New York Independent System Operator (NYISO) on September 14, 2017. The Department will hold public hearings on Part 251 in upstate and other rural areas and will notify interested parties of this proposed rulemaking.

¹ Cayuga Repowering Proposal, March 26, 2013. Available at: <http://www3.ps.ny.gov/W/PSCFC9542CC5BE76085257FE300543D5E?OpenDocument>

² Cayuga Repowering Proposal, Revised Repowering Proposal, February 6, 2015. Available at: <http://www3.ps.ny.gov/W/PSCFC9542CC5BE76085257FE300543D5E?OpenDocument>

³ <http://www.powermag.com/utility-options-for-leveraging-natural-gas/?pagenum=1>

⁴ Ibid.

⁵ https://www.eia.gov/outlooks/aeo/assumptions/pdf/table_8.2.pdf

⁶ <https://hub.globalccsinstitute.com/publications/carbon-capture-storage-assessing-economics/52-cost-variations-between-ccs-applications>

⁷ <https://esd.ny.gov/electric-generation-facility-cessation-mitigation-program>

Revised Job Impact Statement**NATURE OF IMPACT**

Under the proposed Part 251 revisions, a non-modified existing coal-fired major electric generating facility could not continue firing coal without the use of advanced carbon dioxide (CO₂) emission reduction technology, such as Carbon Capture and Sequestration (CCS). Alternatively, the owners or operators of such a facility could choose to repower to a cleaner fuel or cease operations by December 31, 2020, in order to comply with the proposed revisions. Under all scenarios, including utilizing CCS, repowering or ceasing operation, there will be economic and employment effects associated with the action that the owners or operators of the facility choose to comply with the proposed Part 251 revisions.

CATEGORIES AND NUMBERS OF EMPLOYMENT OPPORTUNITIES AFFECTED

To estimate the potential impacts on jobs and local communities, the Department pulled data from publicly available documents that referenced potential actions that the facilities most likely to be impacted by the revisions to Part 251 could undertake to comply. For example, Cayuga Operating Company, which operates a facility that would be subject to the revisions to Part 251, has prepared a Repowering Proposal,¹ and a Revised Repowering Proposal² which detail the company's projection of economic and employment effects the facility and surrounding community will face under various repowering options. The Cayuga facility is currently the largest taxpayer in all three local tax bases: the county (1.3 percent), town (6.9 percent) and school district (10.5 percent) tax bases. The following options were highlighted in the Repowering Proposal:

- Option 1 would be to repower two existing coal boilers to operate on natural gas, while maintaining the remainder of the existing facility. Under

this option, Cayuga projects that there would be 67 construction jobs, and 30 permanent jobs. Under this option, Cayuga projects that their property tax obligation for the 2017-2036 period would be \$82,634.

- Option 2 would be to repower to simple cycle natural gas fired units. Under this option, Cayuga projects 312 construction jobs, and five permanent jobs. Under this option, Cayuga projects that their property tax obligation for the 2017-2036 period would be \$167,870.

- Option 3 would be to construct a new gas-fired combined cycle unit, using an existing steam powered turbine, and repowering one existing coal-fired unit to natural gas. Under this option, Cayuga projects 233 construction jobs, and 30 permanent jobs. Under this option, Cayuga projects that their property tax obligation for the 2017-2036 period would be \$139,695.

- Option 4 would be to construct two new natural gas-fired combined cycle units. Under this option, Cayuga projects 397 construction jobs, and 30 permanent jobs. Under this option, Cayuga projects that their property tax obligation for the 2017-2036 period would be \$219,423.

Under the Revised Repowering Proposal, units 1 and 2 would be repowered to natural gas, with one of the units retaining the capability to fire coal as a back-up fuel. Under this revised option, Cayuga projects 118 construction jobs, and 30 permanent jobs. The company also projects there would be indirect employment benefits of 60 jobs in the region. Cayuga projects the decreased tax revenue would result in a decrease of 7.4 percent to the local budget, and an 11.7 percent decrease in the school district budget, resulting in the elimination of 15 teacher positions, and cuts to educational programs and extracurricular activities. Homeowner tax bills are projected to increase by about \$600 per year.³ It should be noted that a proposal that retained the capability to fire some coal would not comply with the proposed revisions to Part 251. Moreover, while the various repowering options at Cayuga could have various impacts, these options were already being considered prior to the proposed revisions to Part 251. Therefore, any such costs are not necessarily a result of the proposed revisions to Part 251.

In January 2010, AES Energy, the owners of the Somerset electric generating facility at that time, along with Eastern Energy, Niagara County, the Barker School District and the Town of Somerset entered into a PILOT agreement whereby AES would make payments of \$15.8 million yearly for five years.⁴ In September 2010 and again in October 2010, AES requested amendments to the PILOT agreement to lower their payments. In their request dated October 29, 2010, AES cited several factors increasing the financial stress on the company. Those factors were reduced natural gas pricing, low energy demand and economic recession, high coal and transportation costs, and state-driven energy efficiency and demand-side management programs. In 2012, AES contributed 80 percent of the Town tax base, 70 percent of the school tax base, and five percent of the county tax base.

In May 2016 Riesling Power, with its subsidiary Heorot Power, purchased both the Cayuga and Somerset facilities. As of that time, there were 74 people employed at Somerset.⁵

REGIONS OF ADVERSE IMPACT

As detailed above, the communities surrounding the Cayuga and Somerset facilities could incur significant employment and tax implications if the facilities cease operations.

MINIMIZING ADVERSE IMPACT

The Cayuga and Somerset facilities have several compliance options under the proposed revisions to Part 251, including repowering to a cleaner fuel or employing CCS or another advanced CO₂ abatement technology. Further, even absent these revisions and as previously stated, market forces have already resulted in a shift away from carbon-intensive coal generation toward other less carbon intensive generating technologies. In particular, for both of the facilities impacted by the proposed revisions, a review of available operating data shows a significant decline in their operating capacities over the past few years. Should the owners or operators of these facilities choose to cease operations, any resulting tax revenue losses could be at least partially offset by the Electric Generation Facility Cessation Mitigation Program.⁶ This program provides grant funding to local government entities that suffered tax revenue or PILOT payment losses as a result of an electric generating facility ceasing operation.

¹ Cayuga Repowering Proposal, March 26, 2013. Available at: <http://www3.ps.ny.gov/W/PSCFC9542CC5BE76085257FE300543D5E?OpenDocument>

² Cayuga Repowering Proposal, Revised Repowering Proposal, February 6, 2015. Available at: <http://www3.ps.ny.gov/W/PSCFC9542CC5BE76085257FE300543D5E?OpenDocument>

³ Cayuga Repowering Proposal, Revised Repowering Proposal, February 6, 2015. Available at: <http://documents.dps.ny.gov/public/MatterManagement/CaseMaster.aspx?MatterCaseNo=12-e-0577>

⁴ Available at www.niagaracountybusiness.com

⁵ <http://www.ithacajournal.com/story/news/local/2016/07/29/new-cayuga-plant-owner-eyes-continued-operation/87672984/>

⁶ <https://esd.ny.gov/electric-generation-facility-cessation-mitigation-program>

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2022, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The New York State Department of Environmental Conservation (Department) is amending 6 NYCRR Part 251, CO₂ Performance Standards for Major Electric Generating Facilities, and adopting revisions to 6 NYCRR Part 200, General Provisions (collectively “Part 251”). The Department proposed Part 251 on May 16, 2018. Public hearings were held in Albany on July 16, 2018, in New York City on July 18, 2018, and in Avon on July 24, 2012. The public comment period closed at 5:00 P.M. on July 29, 2018. The Department received 5,684 written and oral comments, all of which have been reviewed, summarized, and responded to by the Department.

Overall, the vast majority of comments received by the Department expressed support for the Department’s proposed amendments to Part 251.

A small number of commenters objected to the proposed amendments, including on the basis of questioning the Department’s statutory authority to adopt the revisions. The Department responded by explaining that the statutory authority to promulgate the revisions to Part 251 is derived from the Department’s obligation to prevent and control air pollution, including emissions of CO₂ and other greenhouse gases (GHGs). As explained in the Regulatory Impact Statement (RIS), this statutory authority is set forth in various provisions of the Environmental Conservation Law (ECL), including ECL Sections 1-0101, 1-0303, 3-0301, 19-0103, 19-0105, 19-0107, 19-0301, 19-0303, and 19-0305.

Three commenters, representing facilities that would be affected by the revisions to Part 251, objected to the applicability in the proposed amendments. In particular, these commenters highlighted the apparently unintentional inclusion of certain cogeneration or so-called “behind-the-meter” sources, given that the revisions would apply to any non-modified existing electric generating facility with a generating capacity of at least 25MW. The Department responded to these comments by acknowledging the unintentional inclusion of certain facilities, which were inadvertently captured by the applicability provisions in the proposed amendments. The Department reiterated that the intent behind the revisions to Part 251 was to capture emissions from all non-modified existing fossil fuel-fired major electric generating facilities that sell at least a minimum percentage of their electricity to the grid. To provide additional clarification and in response to comments received, the Department has included non-substantive revisions to the applicability provisions for non-modified existing sources in Subdivision 251.2(b) to clarify the rule’s inapplicability to certain cogeneration or “behind-the-meter” sources. In particular, the revision clarifies that Part 251 applies to fossil fuel-fired non-modified existing sources that provide more than 10 percent of their annual electric output to the electric grid.

Some commenters highlighted the fact that market forces have already resulted in reduced operation of coal-fired electric generating facilities in the State. One commenter specifically objected to the Department’s proposed amendments to Part 251, largely on the basis that coal-fired electric generation is already being phased out of New York due to economic forces, and therefore the revisions to Part 251 are unnecessary. The Department responded to these comments by acknowledging that market forces and other State programs have already resulted in a shift away from coal toward other generating technologies. Moreover, the Department explained that under no scenario do market forces guarantee that the CO₂ emission limits set forth in Part 251 will be achieved at all facilities, or that coal-fired units repower to a cleaner fuel or shut down by December 31, 2020.

One commenter objected to the reporting requirements, specifically the costs associated with installing continuous emission monitoring system (CEMS) equipment to report fuel usage for multiple fuels. In response to this and other similar concerns, the Department clarified the applicability provisions for non-modified existing sources as described above. In addition, the Department has included supplemental reporting requirements in subdivision 251.6(f) to address this concern, provide additional flexibility, and further support the ability to assess a facility’s compliance with Part 251’s CO₂ emission limits. This non-substantive revision will allow sources to supplement their emissions reports following the U.S. Environmental Protection Agency’s (EPA’s) mandatory greenhouse gas reporting requirements under 40 CFR Part 98.

Even among those comments that supported the Department’s adoption of the proposed revisions to Part 251, many comments focused on increasing the stringency of the proposed amendments to include biomass and

lower CO₂ emission limits to a level that no fossil fuel-fired facility could meet. The Department responded to these comments by explaining that the revisions to Part 251 are just one of many ongoing efforts in New York to address GHG emissions from the energy sector. While these revisions are important, the Department acknowledges that this regulatory action alone does not fully address climate change. The Department and the State will continue to take additional actions, including in the form of regulations and other programs and policies, to reduce the GHG emissions that cause climate change.

Some commenters suggested that the Department should account for methane emissions as well as CO₂ emissions. The Department responded to these commenters by agreeing that methane is a potent GHG, and by noting that the State has developed the Methane Reduction Plan to reduce methane emissions. This includes regulatory action and other efforts by the Department and other relevant agencies and authorities to reduce methane emissions from the oil and gas sector.

A large number of commenters expressed opposition to coal-fired facilities potentially converting to gas, particularly “fracked” gas. The Department responded to these comments by explaining that any decision to repower a facility is not generally made by the Department or the State and is instead generally made by the facility’s owners or operators, with oversight by applicable authorities. Furthermore, this decision is influenced by a number of factors, including, but not be limited to, environmental permitting and regulatory requirements, changes in the energy market as a result of the State’s clean energy and environmental policies that promote increased usage of renewables, energy storage and energy efficiency, and the continued reduction in the Regional Greenhouse Gas Initiative (RGGI) cap by an additional 30 percent by 2030. In addition, any repowering proposal would also require environmental review pursuant to the State Environmental Quality Review Act, ECL Article 8 (SEQRA) or may be subject to review and approval by the State Board on Electric Generation Siting and the Environment pursuant to Article 10 of the Public Service Law (Article 10). Both the SEQRA and Article 10 review process would consider CO₂ emissions as well as additional potential environmental impacts associated with any repowering proposal, and would include multiple opportunities for public input. The Department also noted that, as part of the Green New Deal, the Governor is proposing, among other things, meeting 100 percent of the State’s electricity demand with clean energy by 2040.

Some commenters suggested that the Department should provide for periodic revisions and updates to the CO₂ performance standards. These commenters noted that technologies change over time and that the standards should reflect technological advances that may be made in the future.

In response, the Department stated that no regulations are required in order to provide the Department with the ability to update the standards in the future based on technological advancements. The Department already has the ability to revise, at any time, the CO₂ emission standards in Part 251, pursuant to a subsequent rulemaking process under the State Administrative Procedure Act (SAPA). The Department may consider additional revisions to Part 251, including to further reduce the CO₂ emission limits applicable to non-modified existing electric generating facilities, pursuant to such future rulemakings. Additionally, SAPA requires periodic reviews of regulations to ensure they remain appropriate and adequate. Regulations that required a Regulatory Flexibility Analysis for Small Business and Local Government, a Rural Area Flexibility Analysis, and a Job Impact Statement are subject to a review three years after initial adoption, and thereafter, at five-year intervals. Notice of these reviews are published in the first January issue of the New York State Register and include submission deadlines for public comments on the continuation or modification of the regulation.

Some commenters were concerned with the effects that facility closures may have on the communities in which they are located, such as negative tax implications and job losses. The Department responded by first noting that affected facilities have other compliance options aside from closure under the revisions to Part 251, including but not limited to repowering to a cleaner fuel. Provided that these and other non-modified existing sources comply with the CO₂ emission limits in subdivision 251.3(b), they can remain in operation in compliance with the revisions to Part 251. Second, the Department reiterated that the shutdown or repowering of these facilities will be influenced by a number of factors. If the owners or operators of an affected facility chooses to cease operations, any resulting tax revenue losses could be at least partially offset by the Electric Generating Cessation Mitigation Program. The State budget for the 2019-2020 fiscal year includes the continuation of the Electric Generation Cessation Mitigation Fund to continue assisting communities impacted by the transition from fossil fuel industries to clean energy technologies, specifically those communities impacted by the retirement of fossil fuel-fired electric generating units.

Several commenters were concerned with the data collection and reporting requirements in Part 251. Some of these commenters were concerned

that the reporting requirements were insufficient to ensure facilities are able to calculate and report fuel-specific annual CO₂ emission rates. The Department responded to these concerns by explaining that, pursuant to Subpart 202-2, fuel usage and CO₂ emissions reporting is done at the process level for every facility subject to the regulatory requirements of Part 251. This includes the requirement for reporting fuel throughput and heat content for each fuel that is necessary to determine heat input at the process level. Subpart 202-2 also requires the reporting of CO₂ emissions. However, to provide additional flexibility and further support the Department's ability to assess compliance, the Department included a non-substantive revision to subdivision 251.6(f) to allow facilities to supplement their emissions reports following EPA's mandatory greenhouse gas reporting requirements under 40 CFR Part 98.

Some commenters feel there is a need for grid reliability analyses to be done prior to any facility closures. Other commenters state that the affected facilities are no longer needed, and there will not be any reliability issues if they cease operation. The Department responded by noting, that as stated in the RIS, no grid reliability issues are anticipated as a result of the proposed amendments. Given the limited operation of each of the two remaining coal-fired facilities and their limited overall contribution to the existing electrical system, the Department believes that the standard deactivation process is sufficient for evaluating the shutdown of such facilities. Further analysis will be completed once a deactivation notice is filed. While the Department does not itself make a reliability assessment for particular plants, the Department believes that the existing process to support that analysis is adequate and is subject to oversight by other entities including the New York Independent System Operator (NYISO).

Many commenters also stressed that the Department should not assume that biomass is carbon neutral, and that different types of biomass may have different carbon intensities. The Department responded that it does not assume that all biomass is carbon neutral, and that it agrees that different types of biomass may have different carbon intensities. When considering the full lifecycle emissions of biomass, the carbon intensity depends in part on factors such as the type of biomass used, the source of the material, and the manner in which the forest or other source of the material is managed. While the revisions to Part 251 are designed to address CO₂ emissions from fossil fuel-fired non-modified existing facilities, new sources and modified existing sources that combust biomass are subject to a case-specific CO₂ emission limit pursuant to 6 NYCRR Section 251.3(a)(3).

Many commenters are concerned about the health effects of fossil fuel-fired emissions including ozone, mercury, nitrogen oxides (NO_x) and sulfur dioxide (SO₂). Some support lower emission limits, while a majority favor phasing out fossil fuel combustion in favor of renewables. The Department agrees that reducing emissions from power plants can improve air quality, which can benefit the environment and human health. The Department also agrees that climate change has ongoing and anticipated adverse impacts on the environment and human health. While this revision to Part 251 is focused on CO₂ emissions, which along with other GHGs cause climate change, the repowering or shutdown of existing coal-fired major electric generating facilities would also result in reductions of SO₂, NO_x, mercury, and other air pollutant emissions.

Many commenters are also concerned with climate change and the impact that fossil fuels, particularly coal, have on our climate. The Department responded to these comments by stating that the Department agrees that climate change poses a serious threat to public health, and that the proposed amendments will help to combat climate change by reducing GHG emissions. At the same time, the Department acknowledged that additional action is necessary to address climate change, and reiterated that this rulemaking is just one of many ongoing actions to reduce GHG emissions and encourage the transition to clean energy.

Department of Financial Services

EMERGENCY RULE MAKING

Charges for Professional Health Services

I.D. No. DFS-08-19-00003-E

Filing No. 459

Filing Date: 2019-05-08

Effective Date: 2019-05-08

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 68 (Regulation 83) of Title 11 NYCRR.

Statutory authority: Financial Services Law, sections 202, 302; Insurance Law, sections 301, 2601, 5221 and art. 51

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: Chapter 892 of the Laws of 1977 recognized the necessity of establishing schedules of maximum permissible charges for professional health services payable as no-fault insurance benefits to contain the costs of no-fault insurance. To that end, and in accordance with Insurance Law section 5108(b), the Superintendent of Financial Services ("Superintendent") adopted medical fee schedules promulgated by the Chairman of the Workers' Compensation Board (the "Chair"). In addition, the Superintendent, after consulting with the Chair and the Commissioner of Health, established fee schedules for those services for which the Chair has not prepared and established fee schedules.

The Chair's medical fee schedules initially adopted in 1977 underwent annual revisions until the mid-1990s to reflect inflationary increases and to incorporate other necessary enhancements. In turn, the Superintendent adopted those fee schedules through amendments to Insurance Regulation 83. However, in 2002, the Superintendent promulgated an amendment to Insurance Regulation 83, which prescribed that any changes the Chair made to the workers' compensation fee schedules automatically would apply to no-fault, and as such, no longer necessitated adoption of the workers' compensation fee schedules as changes were made to them.

In December 2018, the Chair adopted expansive amendments to its fee schedules for medical, chiropractic, behavioral health (otherwise known as the psychological fee schedule), and podiatric services (collectively the "medical fee schedules") to take effect on April 1, 2019. The Chair contended in its Regulatory Impact Statement, published in the December 26, 2018 issue of the New York State Register, that such changes were necessary to ensure that treating providers are paid a reasonable fee for their services so that injured workers may receive high quality medical care in the workers' compensation system.

Although the expansive changes to the fee schedules may be necessary to maintain quality health services for the workers' compensation system, the automatic adoption of such sweeping changes for use in the no-fault system within a relatively short period (April 1, 2019) would have a significant adverse impact on insurers' ability to absorb the health-service-related costs resulting from those changes within that timeframe. Those changes will result in a substantial overall increase (at least 10% increase has been reported) in total loss payments for no-fault-related health services, which insurers could not have anticipated. Because health service payments account for more than 90% of the total loss costs in no-fault, insurers will need time to carefully study the impact of the changes in the workers' compensation medical fee schedules on no-fault in order to appropriately adjust no-fault premium rates to absorb the noticeable increase in no-fault claims costs. Furthermore, pursuant to Insurance Law Sections 3425 and 3426, there is a one-year "required policy period" for automobile policies, which may not be canceled during that period unless as prescribed in the statutes; therefore, policies that are already in effect could not be altered to reflect the sudden increase in loss costs. The Superintendent, therefore, deems it necessary to delay for 18 months the adoption of the medical fee schedules that the Chair has prepared and established, to take effect on April 1, 2019, and so those fee schedules will take effect on October 1, 2020 for use in no-fault pursuant to Insurance Law 5108.

For the reasons stated above, emergency action is necessary for the preservation of the general welfare.

Subject: Charges for Professional Health Services.

Purpose: To delay the effective date of the Workers' Compensation fee schedule increases for no-fault reimbursement.

Text of emergency rule: Section 68.1(a) and (b)(1) is amended to read as follows:

§ 68.1 Adoption of certain workers' compensation schedules

(a)(1) The existing fee schedules prepared and established by the [chairman] chair of the Workers' Compensation Board for industrial accidents are hereby adopted by the Superintendent of Financial Services with appropriate modification so as to adapt such schedules for use pursuant to the provisions of [section 5108 of the] Insurance Law section 5108.

(2)(i) Notwithstanding paragraph (1) of this subdivision, and except as provided in subparagraph (ii) of this paragraph, the amendments to the fee schedules set forth in Parts 329, 333, 343, and 348 of 12 NYCRR that were promulgated by the chair of the Workers' Compensation Board on December 11, 2018, shall take effect for purposes of Insurance Law section 5108 on October 1, 2020, and shall only apply to all charges for health services performed on or after October 1, 2020.

(ii) The following ground rules in the amendments to the fee schedules set forth in Parts 329, 333, 343, and 348 of 12 NYCRR that were promulgated by the chair of the Workers' Compensation Board on December 11, 2018, shall take effect for purposes of Insurance Law sec-

tion 5108 on April 1, 2019, and shall apply to all charges for health services performed on or after April 1, 2019:

(a) General Ground Rule 10 in the Workers' Compensation Chiropractic Fee Schedule set forth in 12 NYCRR 348;

(b) General Ground Rule 19 in the Workers' Compensation Medical Fee Schedule set forth in 12 NYCRR 329;

(c) General Ground Rule 13 in the Workers' Compensation Behavioral Health Fee Schedule (formerly the Psychology Fee Schedule) set forth in 12 NYCRR 333, and;

(d) General Ground Rule 16 in the Workers' Compensation Podiatry Fee Schedule set forth in 12 NYCRR 343.

(b)(1) The charges for services specified in [paragraph one of subsection (a) of section 5102 of the] Insurance Law section 5102(a)(1) and any further health service charges [which] that are incurred as a result of the injury and [which] that are in excess of basic economic loss, shall not exceed the charges permissible under the schedules prepared and established by the chair of the Workers' Compensation Board for industrial accidents that are in effect for purposes of no-fault at the time the charges are incurred. However, references to workers' compensation reporting and procedural requirements in such schedules do not apply to no-fault, e.g., requirements that provide for authorization to perform surgical procedures[, is not applicable to no-fault]. The general instructions and ground rules in the workers' compensation fee schedules apply, but those rules [which] that refer to workers' compensation claim forms, pre-authorization approval, time limitations within which health services must be performed, enhanced reimbursement for providers of certain designated services, and dispute resolution guidelines do not apply, unless specified in this Part.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. DFS-08-19-00003-P, Issue of February 20, 2019. The emergency rule will expire July 6, 2019.

Text of rule and any required statements and analyses may be obtained from: Camielle Barclay, New York State Department of Financial Services, One State Street, New York, NY 10004, (212) 480-5299, email: Camielle.Barclay@dfs.ny.gov

Regulatory Impact Statement

1. Statutory authority: Financial Services Law Sections 202 and 302, and Insurance Law Sections 301, 2601, 5221, and Article 51.

Insurance Law Section 301 and Financial Services Law Sections 202 and 302 authorize the Superintendent of Financial Services (the "Superintendent") to prescribe regulations interpreting the provisions of the Insurance Law, and effectuate any power granted to the Superintendent under the Insurance Law.

Insurance Law Section 2601 prohibits insurers from engaging in unfair claim settlement practices and requires insurers to adopt and implement reasonable standards for the prompt investigation of claims arising under insurance policies.

Insurance Law Section 5221 specifies the duties and obligations of the Motor Vehicle Accident Indemnification Corporation with respect to the payment of no-fault benefits to qualified persons.

Article 51 of the Insurance Law contains the provisions authorizing the establishment of a no-fault reparations system for persons injured in motor vehicle accidents. Section 5108(b) specifically authorizes the Superintendent to adopt the fee schedules prepared and established by the Chairman of the Workers' Compensation Board (the "Chair") or to promulgate fee schedules for health care benefits payable under the no-fault system for any services for which the Chair has not prepared and established; and subsection (c) prohibits a provider of health services, as defined in Article 51, in addition to the amount authorized pursuant to Insurance Law Section 5108.

2. Legislative objectives: Chapter 892 of the Laws of 1977 recognized the necessity of establishing schedules of maximum permissible charges for professional health services payable as no-fault insurance benefits to contain the costs of no-fault insurance. To that end, and pursuant to Insurance Law Section 5108(b), the Superintendent adopted those fee schedules promulgated by the Chair. In addition, the Superintendent, after consulting with the Chair and the Commissioner of Health, established fee schedules for those services for which the Chair has not prepared and established fee schedules.

Since 1977, the workers' compensation fee schedules underwent annual revisions until the mid-1990s to reflect inflationary increases and to incorporate other necessary enhancements. In turn, the Superintendent adopted those fee schedules through amendments to Insurance Regulation 83. However, in 2002, the Superintendent promulgated an amendment to Insurance Regulation 83, which prescribed that any changes the Chair made to the workers' compensation fee schedules automatically would apply to no-fault, and therefore, no longer necessitated adoption of the workers' compensation fee schedules as changes were made to them.

3. Needs and benefits: In December 2018, The Chair adopted expansive amendments to its fee schedules for medical, chiropractic, behavioral health (otherwise known as the psychological fee schedule), and podiatric services (collectively the "medical fee schedules") to take effect on April 1, 2019. The Chair contended, in its Regulatory Impact Statement in the December 26, 2018 issue of the New York State Register, that such changes were necessary to ensure that treating providers are paid a reasonable fee for their services so that injured workers may receive high quality medical care in the workers' compensation system.

Although the expansive changes to the fee schedules may be necessary to maintain quality health services for the workers' compensation system, the automatic adoption of such sweeping changes for use in the no-fault system within a relatively short period (April 1, 2019) would have a significant adverse impact on insurers' ability to absorb the health-service-related costs resulting from those changes within that timeframe. Those changes will result in a substantial overall increase (at least a 10% increase has been reported) in total loss payments for no-fault-related health services, which insurers could not have anticipated. Because health service payments account for more than 90% of the total loss costs in no-fault, insurers will need time to carefully study the impact of the changes in the medical fee schedules on no-fault to appropriately adjust no-fault premium rates to absorb the noticeable increase in no-fault claims costs.

Furthermore, pursuant to Insurance Law Sections 3425 and 3426, there is a one-year "required policy period" for automobile policies, which may not be canceled during that period unless as prescribed in the statutes; therefore, policies that are already in effect could not be altered to reflect the sudden increase in loss costs. The Superintendent therefore, deems it necessary to delay for 18 months the adoption of the medical fee schedules that the Chair has prepared and established to take effect on April 1, 2019, and so those fee schedules will take effect on October 1, 2020 for use in no-fault pursuant to Insurance Law 5108.

However, this amendment to Insurance Regulation 83 will exclude certain workers' compensation ground rules from the 18-month delay, to wit: General Ground Rule 10 in the Workers' Compensation Chiropractic Fee Schedule, General Ground Rule 13 in the Workers' Compensation Behavioral Health Fee Schedule, and General Ground Rule 16 in the Workers' Compensation Podiatry Fee Schedule, which prohibit providers to whom these fee schedules apply from billing under current procedural terminology ("CPT") codes not listed in their respective fee schedules; and General Ground Rule 19 in the Workers' Compensation Medical Fee Schedule, which prohibits any chiropractor, podiatrist or provider of behavioral health services from billing under CPT codes in the medical fee schedule. Per the Chair, these rules are not new but clarification of existing rules; therefore, the Superintendent determined it was not necessary to delay their implementation.

Insurance Regulation 83 also is being amended to provide that any references in any workers' compensation ground rules regarding time limitations within which health services must be performed, as well as any enhanced reimbursement for providers of certain designated services, are inapplicable to no-fault. Insurance Law Section 5102(a) specifically prescribes any time limitations on receiving necessary health-related services. With respect to enhanced reimbursement for providers (20% in addition to the fee schedule rate), the Chair, in General Ground Rule 17 of the Workers' Compensation Medical Fee Schedule, stated that this enhancement was necessary to increase the number of Board-authorized providers in the general medicine specialties. There is no requirement that providers be authorized by the Department to treat no-fault patients, nor is there a shortage of no-fault treating providers in general medicine specialties. Therefore, the Superintendent determined an additional 20% reimbursement increase solely for general medicine specialty providers of no-fault-related health services is unwarranted, and will not be adopted for use pursuant to Insurance Law Section 5108.

4. Costs: This amendment should have no compliance cost impact on applicants for no-fault benefits, insurers, self-insurers, or state and local governments. With respect to any cost impact to health service providers not regulated by the Department, participation in the no-fault system is optional, and the Department has imposed no preauthorization or reporting requirements on these applicants for no-fault benefits. Notwithstanding, this rule only delays the adoption of changes that the Chair has made to the workers' compensation fee schedules, which the Department is required to adopt pursuant to Insurance Law Section 5108.

5. Local government mandates: This rule does not impose any requirement upon a city, town, village, school district, or fire district.

6. Paperwork: This rule does not impose any additional paperwork on any persons affected by the rule.

7. Duplication: This rule will not duplicate any existing state or federal rule.

8. Alternatives: The Superintendent carefully evaluated alternatives to the 18-month delay in adopting the workers' compensation medical fee schedules. The Superintendent determined that delaying only increases

and not decreases in the fee schedules would cause significant systems issues for both insurers and health service providers, from having to utilize separate fee schedules and apply different ground rules. The Superintendent also considered a shorter implementation delay period, but determined, based on the Superintendent's expertise as insurance regulator, that an 18-month delay was most appropriate to permit insurers sufficient time to study the cost impact of the fee schedule changes to determine when and how to adjust their rates.

9. Federal standards: There are no minimum federal standards for the same or similar subject areas. The rule is consistent with federal standards or requirements.

10. Compliance schedule: This amendment shall take effect upon filing with the Department of State. However, the 18-month delay in adopting the Chair's amended medical fee schedules shall commence on April 1, 2019, the effective date of those fee schedules.

Regulatory Flexibility Analysis

1. Effect of the rule: This rule affects no-fault insurers authorized to do business in New York State and self-insurers, none of which fall within the definition of "small business" as defined in State Administrative Procedure Act Section 102(8), because none are both independently owned and have less than one hundred employees. Self-insurers are typically large enough to have the financial ability to self-insure losses and the Department of Financial Services (the "Department") does not have any information to indicate that any self-insurers are small businesses.

Local government units make independent determinations on the feasibility of becoming self-insured for no-fault benefits or having these benefits provided by authorized insurers. There are no requirements under the State's financial security laws requiring local governments to report to the Department or the Department of Motor Vehicles that they are self-insured. Therefore, the Department has no way of estimating how many local government units are self-insured for no-fault benefits.

The types of small businesses affected by this rule are applicants for no-fault benefits, who are typically health service providers not regulated by the Department. Their participation in the no-fault system, however, is optional and the Department has established no preauthorization or reporting requirements with respect to these small businesses. Further, because the Department does not maintain records of either the number of applicants licensed in this state or the number of applicants providing services to injured persons eligible for no-fault benefits, it cannot provide the number of these entities that will be affected by this rule. Notwithstanding, this rule only delays for 18 months the adoption of the most recent amendments to the workers' compensation fee schedules, which are required to be utilized in the no-fault system pursuant to Insurance Law Section 5108. Although this amendment may have a temporary impact on small businesses in that they may not bill at the higher fee schedule rate for their services until October 1, 2020, such an impact is outweighed by the need to give no-fault insurers time to study the impact the fee schedule changes will have on loss costs so they may appropriately adjust premiums to cover those costs.

2. Compliance requirements: This amendment will not impose any additional reporting, recordkeeping or other compliance requirements on any small businesses or self-insured local governments affected by this rule.

3. Professional services: This rule does not require the use of professional services.

4. Compliance costs: This amendment does not impose any additional compliance costs on small businesses or self-insured local governments.

5. Economic and technological feasibility: There should not be any issues pertaining to economic or technological feasibility because this rule only delays the adoption of the most recent amendments to the workers' compensation fee schedules for use in no-fault pursuant to Insurance Law Section 5108.

6. Minimizing adverse impact: This rule should have no adverse impact on small businesses or local governments affected by this amendment because the amendment only delays the adoption of the most recent amendments to the workers' compensation fee schedules for use pursuant to Insurance Law Section 5108. The Department anticipates that no small businesses subject to the rule, if any, or self-insured local governments will experience any cost increase because of this amendment.

7. Small business and local government participation: Interested parties, including small businesses and local governments, will be given an opportunity to review and comment on the rulemaking once it is published in the New York State Register.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas: Health service providers, insurers, and self-insurers affected by this regulation do business in every county in this state, including rural areas as defined in State Administrative Procedure Act Section 102(10). Some government entities that are self-insurers for no-fault benefits may be located in rural areas.

2. Reporting, recordkeeping and other compliance requirements; and professional services: This amendment will not impose any additional reporting, recordkeeping or other compliance requirements on insurers, self-insurers, self-insured local governments, and health service providers affected by this rule.

Insurers, self-insurers, self-insured local governments, and health service providers affected by this rule should not need to retain professional services to comply with this rule. This rule only delays for 18 months the adoption of the most recent amendments to the workers' compensation fee schedules, which are required to be utilized in the no-fault system pursuant to Insurance Law Section 5108.

3. Costs: This amendment does not impose any additional costs on no-fault insurers, self-insurers, self-insured local governments, and health service providers, because this rule only delays for 18 months the adoption of the most recent amendments to the workers' compensation fee schedules, which are required to be utilized in the no-fault system pursuant to Insurance Law Section 5108.

4. Minimizing adverse impact: This rule uniformly affects insurers, self-insurers, self-insured local governments, and health service providers throughout New York State. Therefore, it does not impose any adverse impact on rural areas.

5. Rural area participation: Interested parties, including those located in rural areas, will be given an opportunity to review and comment on the rulemaking once it is published in the New York State Register.

Job Impact Statement

This rule should not adversely impact jobs or employment opportunities in New York State. The amendment only delays for 18 months the adoption of the workers' compensation fee schedules for use pursuant to Insurance Law Section 5108.

Assessment of Public Comment

The agency received no public comment.

Department of Health

NOTICE OF ADOPTION

Medicaid Reimbursement of Nursing Facility Reserved Bed Days for Hospitalizations

I.D. No. HLT-07-18-00002-A

Filing No. 507

Filing Date: 2019-05-14

Effective Date: 2019-05-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 86-2.40 of Title 10 NYCRR and section 505.9 of Title 18 NYCRR.

Statutory authority: Social Services Law, sections 363-a, 365-a(2); Public Health Law, sections 201 and 206

Subject: Medicaid Reimbursement of Nursing Facility Reserved Bed Days for Hospitalizations.

Purpose: To make changes relating to reserved bed payments made by Medicaid to nursing facilities.

Text or summary was published in the February 14, 2018 issue of the Register, I.D. No. HLT-07-18-00002-P.

Final rule as compared with last published rule: No changes.

Revised rule making(s) were previously published in the State Register on January 30, 2019.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqa@health.ny.gov

Revised Regulatory Impact Statement

Statutory Authority:

Social Services Law (SSL) section 363-a and Public Health Law section 201(1)(v) provide that the Department is the single State agency responsible for supervising the administration of the State's medical assistance ("Medicaid") program and for adopting such regulations, which shall be consistent with law, and as may be necessary to implement the State's Medicaid program. SSL section 365-a authorizes Medicaid coverage for specified medical care, services and supplies, together with such

medical care, services and supplies as authorized in the regulations of the Department.

Legislative Objectives:

Chapter 57 of the Laws of 2017 amended Public Health Law (PHL) § 2808(25), which places limits on the availability of Medicaid payments to nursing facilities to reserve a bed for a Medicaid recipient 21 years of age or older who is temporarily absent from the facility. Generally, the amendments eliminated reserved bed payments for recipients age 21 and over who are temporarily hospitalized. However, it was not the intent of the Legislature or the Department to affect the availability of reserved bed payments for temporary hospitalizations with respect to recipients under age 21 or recipients receiving hospice services in the nursing facility.

Needs and Benefits:

The proposed amendments are necessary to conform Department regulations governing Medicaid's reserved bed policy with the changes made to PHL § 2808(25) by Chapter 57 of the Laws of 2017, and to provide clarity with respect to the scope and intent of those statutory revisions.

The proposed amendments would make changes to paragraphs (1), (2), (6), and (7) of subdivision (d) of section 505.9 of 18 NYCRR. Paragraph (1), which sets forth the general rule regarding reserved bed payments, would be amended to provide that Medicaid will not pay to reserve a bed for a recipient in a nursing facility who is 21 years of age or older and temporarily hospitalized unless the recipient is receiving hospice services in the facility.

Paragraph (2) would be amended to provide that the department pays 95 percent of the Medicaid rate otherwise payable to the facility for a leave of absence, and 50 percent of such rate for a temporary hospitalization for recipients who are receiving hospice services in the facility.

Paragraphs (6) would be amended to provide that, as a condition of participating in the Medicaid program, nursing facilities must reserve beds in accordance with the regulation.

Paragraph (7) would be amended to make minor clarifications.

The proposed amendments would also make conforming changes to subdivision (a) and paragraph (4) of subdivision (ac) of section 86-2.40 of 10 NYCRR. These changes would clarify that reserve bed days are included in the methodology utilized to calculate residential health care rates for specialty facilities and would set forth payment amounts for Medicaid recipients 21 years of age or older.

A new subparagraph (v) would be added to provide that reserved bed day payments for Medicaid recipients 21 years of age or older in nursing facilities who are hospitalized will be made: only with respect to patients who are receiving hospice services within the facility; at 50 percent of the Medicaid rate otherwise payable to the facility with regard to such days of care; and for up to a combined aggregate of 14 days for any 12-month period.

A new subparagraph (vi) would be added to provide that reserved bed day payments for Medicaid recipients 21 years of age or older in nursing facilities during a leave of absence from the facility will be made: at 95 percent of the Medicaid rate otherwise payable to the facility with regard to such days of care; and for up to a combined aggregate of 10 days for any 12-month period.

Costs:

Costs to Regulated Parties:

The elimination of reserved bed day payments for those aged 21 and over in nursing facilities, and who are not receiving hospice services in the facility, will impose varying costs to nursing facilities based on the volume and length of reserved bed days within their facilities. For all nursing facilities reporting reserved bed days, the aggregate impact is estimated to be approximately \$14M annually.

Costs to State Government:

There will be no additional costs to state government as a result of the proposed amendment.

Costs to Local Government:

There will be no additional costs to local government as a result of the proposed amendment.

Costs to the Department of Health:

There will be no additional costs to the Department as a result of the proposed amendment.

Local Government Mandates:

This amendment will not impose any program, service, duty, additional costs, or responsibility on any county, city, town, village, school district, fire district, or other special district.

Paperwork:

The proposed amendments would not increase paperwork requirements.

Duplication:

There are no duplicative or conflicting rules identified.

Alternatives:

The proposed amendments would conform the regulations to changes made to Public Health Law (PHL) § 2808(25) and to the legislative intent underlying such changes. Therefore no alternatives were considered.

Federal Standards:

The regulatory amendment exceeds the minimum requirements set out in 42 CFR 483.15 on patient admission, transfer, and discharge rights. This amendment exceeds those requirements due to the sensitive nature and complex needs of Medicaid beneficiaries within nursing facilities. Residents of nursing facilities are often elderly and/or severely disabled, present with two or more chronic conditions, or are afflicted with mental/cognitive impairments.

Compliance Schedule:

Regulated parties are expected to comply with the proposed regulations when they become effective.

Initial Review of Rule

As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 5th year after the year in which this rule is being adopted.

Assessment of Public Comment

The Department of Health ("Department") received comments from two stakeholders, representing nursing home facilities and services for the aging. These comments are summarized below along with the Department's responses.

COMMENT: Commenters requested that rate changes should be prospective and that such changes require a State Plan Amendment (SPA).

RESPONSE: These regulations codify rate changes pursuant to a 2017 statutory change to section 2808 of the Public Health Law. The Department has submitted a SPA (#18-0042) with an effective date of January 1, 2019.

COMMENT: Commenters requested confirmation that the regulations, as amended, do not require nursing homes to hold a bed for days where no Medicaid payment is available.

RESPONSE: The Department confirms that the regulations, as amended, do not require nursing homes to reserve a bed for days where no Medicaid payment is available.

COMMENT: Commenters asserted that the rate to reserve a bed for persons 21 and over who are temporary hospitalized while receiving hospice should be greater than 50% of the rate otherwise provided for nursing home care, as provided in the amended regulations.

RESPONSE: The Department considers the rate to be appropriate for reserving a bed, and the establishment of the rate is within the Department's rate-making authority. The Department notes that the rate of 95% only applies to certain leaves of absence. Further, the regulation authorizing a 50% rate for persons 21 and over who are temporary hospitalized while receiving hospice, is an exception to the "full rate" otherwise authorized by 18 NYCRR 505.9(d)(3).

COMMENT: One commenter suggested that the amendment to 10 NYCRR 86-2.40(a), which added a reference to subdivision (ac)(2), is at odds with PHL 2808(2-c)(c), insofar as the statute generally provides that the non-capital component of rates for specialty facilities shall be the rates in effect for such facilities on January 1, 2009, adjusted for inflation and rate appeals.

RESPONSE: The Department agrees that the non-capital component of rates for specialty facilities will continue to reflect the rates in effect for such facilities on January 1, 2009, adjusted for inflation and rate appeals, consistent with PHL 2808(2-c)(c). The regulatory amendment clarifies that patient days include reserved bed days for purposes of calculating the January 1, 2009 rate.

COMMENT: Commenters supported regulatory amendments to provisions authorizing reserve bed day payments for certain leaves of absence.

RESPONSE: The Department acknowledges these supportive comments.

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Residents' Rights

I.D. No. HLT-22-19-00015-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 415.2 and 415.3 of Title 10 NYCRR.

Statutory authority: Public Health Law, sections 2800 and 2803-c

Subject: Residents' Rights.

Purpose: Require nursing homes provide information about home and community based services and community transition programs to residents upon admission.

Text of proposed rule: Section 415.2 is amended to add a new subdivision (v) to read as follows:

(v) *Local Contact Agency* shall mean an agency designated by the Department to accept referrals of nursing home residents that wish to receive information about services in the community. *Local Contact Agencies* shall contact referred nursing home residents and provide them with information and counseling on available home- and community-based services. *Local Contact Agencies* shall also either assist residents directly with transition services or refer residents to organizations that assist with transition services, as appropriate.

Section 415.3(a) is amended to read as follows:

(a) The facility shall ensure that all residents are afforded their rights to a dignified existence, self-determination, respect, full recognition of their individuality, consideration and privacy in treatment and care for personal needs, and communication with and access to persons and services inside and outside the facility. The facility shall protect and promote the rights of each resident, and shall encourage and assist each resident in the fullest extent possible exercise of these rights as set forth in subdivisions (b) – [(h)] (i) of this section. The facility shall also consult with the residents in establishing and implementing facility policies regarding residents' rights and responsibilities.

(1) The facility shall advise each member of the staff of his or her responsibility to understand, protect and promote the rights of each resident as enumerated in this section.

(2) The facility shall fully inform the resident and the resident's designated representative both orally and in writing in a method of communication that the individuals understand the resident's rights and all rules and regulation governing resident conduct and responsibilities during the stay in the facility. Such notification shall be made prior to or upon admission and during the resident's stay. Receipt of such information, and any amendments to it, shall be acknowledged in writing. A summary of such information shall be provided by the Department and posted in the facility in large print and in language that is easily understood.

(3) The written information provided pursuant to paragraph (2) of this subdivision shall include but not be limited to a listing of those resident rights and facility responsibilities enumerated in subdivisions (b) through [(h)] (i) of this section. The facility's policies and procedures shall also be provided to the resident and the resident's designated representative upon request.

(4) The facility shall communicate to the resident an explanation of his or her responsibility to obey all reasonable regulations of the facility and to respect the personal rights and private property of other residents.

(5) Any written information required by this Part to be posted shall be posted conspicuously in a public place in the facility that is frequented by residents and visitors, posted at wheelchair height.

Subdivisions (c) and (d) of section 415.3 of Title 10 of the NYCRR are re-lettered (d)-(e) and a new subdivision (c) is added to read as follows:

(c) *Right to Information on Home and Community-Based Services.* The nursing home shall ensure that all residents are provided with information on home and community-based services and community transition programs that may be available to support the resident in returning to the community. To ensure that all residents are afforded the right to exercise their right to live in the most integrated setting, the facility shall:

(1) advise all residents upon admission, of their right to live in the most integrated and least restrictive setting, with considerations for the resident's medical, physical, and psychosocial needs;

(2) provide all residents upon admission with information on home and community-based services and community transition programs;

(3) refer all residents to the Local Contact Agency or a community-based provider of the resident or designated representative's choosing whenever the resident requests information about returning to the community, or whenever the resident requests to talk to someone about returning to the community during any state or federally mandated assessment;

(4) post in a public area of the facility, at wheelchair height, contact information for the Local Contact Agency;

(5) have staff available to discuss options for discharge planning, with consideration for the resident's medical, physical, and psychosocial needs; and

(6) ensure that all discharge activities align with subdivision (i) of this section.

Subdivision (e) of section 415.3 is re-lettered (f) and amended to read as follows:

[(e)] (f) *Right to Clinical Care and Treatment.* (1) Each resident shall have the right to:

(i) adequate and appropriate medical care, and to be fully informed by a physician in a language or in a form that the resident can understand, using an interpreter when necessary, of his or her total health status, including but not limited to, his or her medical condition including diagnosis, prognosis and treatment plan. Residents shall have the right to ask questions and have them answered;

(ii) refuse to participate in experimental research and to refuse medication and treatment after being fully informed and understanding the probable consequences of such actions;

(iii) choose a personal attending physician from among those who agree to abide by all federal and state regulation and who are permitted to practice in the facility;

(iv) be fully informed in advanced about care and treatment and of any changes in that care of treatment that may affect the resident's well-being;

(v) participate in planning care and treatment or changes in care and treatment. Residents adjudged incompetent or otherwise found to be incapacitated under the laws of the State of New York shall have such rights exercised by a designated representative who will act in their behalf in accordance with State law;

(vi) self-administer drugs of the interdisciplinary team, as defined by Section 415.11, has determined for each resident that this practice is safe.

(2) With respect to its responsibilities to the resident, the facility shall:

(i) inform each resident of the name, office address, phone numbers and specialty of the physician responsible for his or her own care.

(ii) except in a medical emergency, consult with the resident immediately if the resident is competent, and notify the resident's physician and designated representative within 24 hours when there is:

(a) an accident involving the resident which results in injury requiring professional intervention;

(b) a significant improvement or decline in the resident's physical, mental, or psychosocial status in accordance with generally accepted standards of care and services;

(c) a need to alter treatment significantly; or

(d) a decision to transfer or discharge the resident from the facility as specified in subdivision [(h)] (i) of this section; and

(iii) provide all information a resident or the resident's designated representative when permitted by State law, may need to give informed consent for an order not to resuscitate and comply with the provisions of section 405.53 if this Subchapter regarding orders not to resuscitate. Upon resident request the facility shall furnish a copy of the pamphlet, "Do Not Resuscitate Orders – A Guide for Patients and Families".

Subdivisions (f)-(h) of section 415.3 are re-lettered (g)-(i).

Text of proposed rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqa@health.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

Statutory Authority:

Section 2800 of Article 28 of the Public Health Law provides that the Department of Health (Department) has the central and comprehensive responsibility for the development and administration of the State's policies with respect to hospital and residential health care facilities, including nursing homes, in order to provide for the protection and promotion of the health of the inhabitants of the state.

Section 2803-c of Article 28 of the Public Health Law provides, in part, that the Commissioner shall require every nursing home and facility providing health related services to adopt and make public a statement of the rights and responsibilities of the patients who are receiving care in such facilities. Section 2003-c sets forth the minimum content of such a statement and requires that each facility provide a copy of the statement to each patient prior to, or at, the time of admission to the facility.

Legislative Objectives:

The proposed rule accords with the legislative objectives of PHL § § 2800 and 2803-c, which are to protect and promote the health and rights of all nursing home residents, and to ensure that nursing home residents are made aware of their rights prior to, or at, their admission to such a facility.

Needs and Benefits:

This rule furthers the Department's efforts to promote the right of all nursing home residents to live in the most integrated setting possible.

In 1999, the United States Supreme Court, in *Olmstead v. L. C.* by Zimring, 527 U.S. 581 (1999), ruled that the segregation of individuals with disabilities violated title II of the Americans with Disabilities Act (ADA). The Court ruled that individuals with disabilities must be provided services through community-based organizations when (1) such services are appropriate; (2) the affected persons do not oppose community-based treatment; and (3) community-based services can be reasonably accommodated.

Since the *Olmstead* decision, the Department has sought to ensure that individuals are afforded the right to live in the most integrated setting possible. The Department currently oversees and operates the federally funded Money Follows the Person program, which provides transition assistance and support to those residents of nursing homes that express a

desire to return to the community. Residents are asked on at least a quarterly basis if they wish to receive information about returning to the community. Any resident that answers affirmatively is to be referred to the Local Contact Agency and connected with a Transition Specialist who will assist them with transitioning to community living, as appropriate.

To further the State's efforts to encourage and facilitate community-based living for individuals with disabilities, Governor Andrew M. Cuomo released his Able New York agenda, a multi-agency initiative aimed at enhancing accessibility to state programs and services for New Yorkers with disabilities. This proposal is part of a series of actions to support the Able New York agenda and promote community living for New Yorkers.

Costs:

Costs for the Implementation of, and Continuing Compliance with the Regulation to the Regulated Entity:

There will be little to no additional cost to regulated entities for the implementation of or continuing compliance with the regulation. Currently, nursing homes are required to provide a statement of residents' rights to the resident and their designated representative prior to or upon admission. This proposed regulation will require nursing homes to replace their existing resident rights materials with an amended version, requiring some cost for the printing of the materials. Nursing homes will also be required to replace their existing signage with new signage that includes the amended residents' rights.

Costs to State and Local Governments:

The proposed changes are not expected to impose any costs upon State or local governments, unless they operate a nursing home. In such cases, the impact will be the same as for regulated entities, discussed above.

Costs to the Department of Health:

The Department owns and operates five veterans' homes. The impact on these facilities will be the same as for regulated entities, discussed above.

Local Government Mandates:

The proposed regulations do not impose any new mandates on local governments, except where they operate nursing homes. In such cases, the impact will be the same as for regulated parties, discussed above.

Paperwork:

All nursing homes will be expected to replace their residents' rights signage and replace their residents' rights materials as soon as they are available from the Department. Nursing homes may be subject to review upon annual survey to ensure compliance with the rule.

Duplication:

This rule does not duplicate, overlap, or conflict with any other legal requirements of the state or federal government. This rule aligns with the federal resident rights guidelines outlines in Section 483.10 of Title 42 (Health) of Code of Federal Regulations.

Alternatives:

Alternatives considered included issuing a mandate requiring nursing facilities to provide information to all residents on the availability of home and community-based services. This alternative was not chosen as the issuance of a mandate would be duplicative of what is already required of nursing facilities. The amendment language proposed provides additional clarity to the type of information to be provided to nursing facility residents upon admission and builds upon the requirement of nursing facilities to ensure that residents are made aware of their rights prior to, or at, their admission to a nursing facility.

Federal Standards:

This rule meets the minimum standards set forth in Section 483.10 of Title 42 (Health) of Code of Federal Regulations.

Compliance Schedule:

This regulation will be effective upon publication of a Notice of Adoption in the New York State Register.

Regulatory Flexibility Analysis

No regulatory flexibility analysis is required pursuant to section 202-(b)(3)(a) of the State Administrative Procedure Act. The proposed amendment does not impose an adverse economic impact on small businesses or local governments, and it does not impose reporting, record keeping or other compliance requirements on small businesses or local governments.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis for these amendments is not being submitted because amendments will not impose any adverse impact or significant reporting, record keeping or other compliance requirements on public or private entities in rural areas. There are no professional services, capital, or other compliance costs imposed on public or private entities in rural areas as a result of the proposed amendments.

Job Impact Statement

A Job Impact Statement for these amendments is not being submitted because it is apparent from the nature and purposes of the amendments that they will not have a substantial adverse impact on jobs and/or employment opportunities.

Higher Education Services Corporation

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

New York State Teacher Loan Forgiveness Program

I.D. No. ESC-22-19-00004-EP

Filing No. 468

Filing Date: 2019-05-10

Effective Date: 2019-05-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of section 2201.21 to Title 8 NYCRR.

Statutory authority: Education Law, sections 653, 655 and 679-j

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: This statement is being submitted pursuant to subdivision (6) of section 202 of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's (HESC) Notice of Emergency Adoption and Proposed Rule Making seeking to add a new section 2201.21 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

This regulation implements a statutory student financial aid program providing for loan forgiveness awards to be made to teachers serving in high need school districts or subject areas for which a shortage of teachers exists. Eligible applicants will receive up to \$5,000 per year for up to four years in loan forgiveness payments. Emergency adoption is necessary to avoid an adverse impact on the processing of awards to eligible applicants and award payments to eligible recipients. To accomplish this mandate, the statute further provides for HESC to promulgate emergency regulations to implement the program. For these reasons, compliance with section 202(1) of the State Administrative Procedure Act would be contrary to the public interest.

Subject: New York State Teacher Loan Forgiveness Program.

Purpose: To implement New York State Teacher Loan Forgiveness Program.

Text of emergency/proposed rule: New section 2201.21 is added to Title 8 of the New York Code, Rules and Regulations to read as follows:

Section 2201.21 The New York State Teacher Loan Forgiveness Program.

(a) *Definitions. For purposes of this section and Education Law, section 679-j, the following definitions shall apply:*

(1) *"Award" shall mean a New York State Teacher Loan Forgiveness Program award pursuant to section 679-j of the New York State Education Law.*

(2) *"Corporation" shall mean the New York State Higher Education Services Corporation.*

(3) *"Department" shall mean the New York State Education Department.*

(4) *"Economically disadvantaged" shall mean applicants whose household adjusted gross income is at or below 250 percent of the federal poverty level for the most recent calendar year available.*

(5) *"Elementary and secondary school" shall mean pre-kindergarten through grade 12 in a public or private school recognized by the board of regents of the university of the state of New York, including charter schools authorized pursuant to article 56 of the Education Law and programs provided by Boards of Cooperative Educational Services (BOCES) on behalf of such schools.*

(6) *"Full time" shall mean employment as a teacher in an elementary or secondary school in New York State for at least 10 continuous months, each school year, for a number of hours to be determined by either the school district, school board or school, the by-laws thereof, the labor contract between the teacher and employer, or if none of the above apply, the chief administrator of the school, except for an allowable interruption of full time employment.*

(7) *"Interruption of full time employment" shall mean an allowable temporary leave for a definitive length of time due to circumstances approved by the corporation, including, but not limited to, parental leave,*

medical leave, death of a family member, or military duty that exceeds forty-two calendar days, excluding legal holidays, regardless of whether such absence or leave is paid or unpaid.

(8) "Household adjusted gross income" shall mean the federal Adjusted Gross Income (AGI) for individuals or married couples filing jointly, or the aggregate AGI of married couples filing separately, reduced by a cost of living allowance, which shall be equal to the applicant's eligible New York State standard deductions plus their eligible New York State dependent exemptions for personal income tax purposes.

(9) "Outstanding student loan debt" shall mean the total cumulative student loan balance required to be paid by the applicant at the time of selection for an award under this program, including the outstanding principal and any accrued interest covering the cost of attendance to obtain an undergraduate or graduate degree from a college or university. Such outstanding student loan debt may be reduced as provided in subparagraph (iii) of paragraph (3) of subdivision (c) of this section.

(10) "Program" shall mean the New York State Teacher Loan Forgiveness Program.

(11) "School year" shall mean the period commencing on the first day of July in each year and ending on the 30th day of June next following.

(12) "Teacher" shall mean a New York State certified teacher providing instruction in an elementary or secondary school including enrichment and supplemental instruction that may be offered to a subset of students as well as support services such as counseling, speech and occupational therapy services.

(b) Eligibility. Applicants and recipients must:

(1) satisfy the requirements provided in section 679-j(2) of the Education Law. Recipients who continue to teach the same subject or in the same district, as the case may be, which qualified them for the award when they originally applied for this program remain eligible for subsequent award payments if the originally qualifying subject or district ceases to be designated as a subject shortage area or hard to staff district;

(2) be in a non-default status on a student loan made under any statutory New York State or federal education loan program or repayment of any award made pursuant to Article 14 of the Education Law; and

(3) be in compliance with the terms of any service condition imposed by an award made pursuant to article 14 of the Education Law.

(c) Administration.

(1) An applicant for an award shall:

(i) apply for program eligibility on forms and in a manner prescribed by the corporation on or before the date prescribed by the corporation; and

(ii) submit additional documentation evidencing eligibility, as requested by the corporation.

(2) A recipient of an award shall:

(i) confirm employment as a certified teacher each year on forms or in a manner prescribed by the corporation;

(ii) apply for payment annually on forms prescribed by the corporation; and

(iii) receive no more than five thousand dollars per year for not more than four years in duration, and not to exceed the total amount of such recipient's outstanding student loan debt as defined in paragraph (9) of subdivision (a) of this section.

(3) The outstanding student loan debt shall:

(i) include New York State student loans, federal government student loans, and private student loans for the purpose of financing undergraduate or graduate studies made by commercial entities subject to governmental examination.

(ii) exclude federal parent PLUS loans; loans cancelled under any program; private loans given by family or personal acquaintances; student loan debt paid by credit card; loans paid in full, or in part, before, on, or after the first successful application for program eligibility under this program; loans for which documentation is not available; loans without a promissory note; or any other loan debt that cannot be verified by the corporation.

(iii) be reduced by any reductions to student loan debt that an applicant has received or shall receive including voluntary payments made which reduces the balance owed.

(d) Award selection. All awards are contingent upon annual appropriations. Awards shall be distributed in accordance with Education Law, section 679-j(4). In the event there is insufficient funding to make awards within any given priority, recipients shall be chosen by lottery. In the event that a lottery is necessary, economically disadvantaged applicants and recipients who taught in a subject shortage area or hard to staff district during the prior school year but are not currently teaching in either a subject shortage area or a hard to staff district, will be given third priority.

(e) Revocation. Upon prior notice to a recipient, an award may be revoked by the corporation if the corporation determines that the recipient has failed to comply with the requirements to maintain their award, as evidenced by:

(1) a failure to apply for payment or reimbursement;

(2) a failure to respond to requests to contact or communication with the corporation;

(3) a failure to respond to a request for information; or

(4) any other information known to the corporation reasonably evidencing an indication of failure to comply with program requirements by a program participant.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire August 7, 2019.

Text of rule and any required statements and analyses may be obtained from: Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

Statutory authority:

The New York State Higher Education Services Corporation's (HESC) statutory authority to promulgate regulations and administer The New York State Teacher Loan Forgiveness Program (Program) is codified within Article 14 of the Education Law. Specifically, Part AA of Chapter 56 of the Laws of 2018 created the Program by adding a new section 679-j to the Education Law. Pursuant to subdivision 6 of section 679-j of the Education Law, HESC is required to promulgate rules and regulations for the administration of this Program.

Pursuant to Education Law § 652(2), HESC was established for improving the post-secondary educational opportunities of eligible students through the centralized administration of New York State financial aid programs and coordinating the State's administrative effort in student financial aid programs with those of other levels of government.

In addition, Education Law § 653(9) empowers HESC's Board of Trustees to perform such other acts as may be necessary or appropriate to carry out the objects and purposes of the corporation including the promulgation of rules and regulations.

HESC's President is authorized, under Education Law § 655(4), to propose rules and regulations, subject to approval by the Board of Trustees, governing, among other things, the application for and the granting and administration of student aid and loan programs; the repayment of loans or the guarantee of loans made by HESC; and administrative functions in support of State student aid programs. Also, consistent with Education Law § 655(9), HESC's President is authorized to receive assistance from any Division, Department or Agency of the State to properly carry out his or her powers, duties and functions. Finally, Education Law § 655(12) provides HESC's President with the authority to perform such other acts as may be necessary or appropriate to carry out effectively the general objects and purposes of HESC.

Legislative objectives:

This Program was created to retain and/or increase the number elementary and secondary school teachers serving in New York State.

Needs and benefits:

Data from New York State United Teachers (NYSUT) suggests a teacher shortage is on the horizon for New York State, as well as nationally, due in part to many educators being on the verge of retirement (32 percent within the next 5 years) and a significant drop in recent years of students enrolling in teacher training programs (49 percent decrease since 2009). Further, approximately 10 percent of New York's teacher education graduates are leaving the state for employment elsewhere and 11 percent of New York teachers leave their school or profession annually; this number increases for early career teachers and those working in high-poverty areas. Former State University of New York (SUNY) Chancellor, Nancy Zimpher, predicts New York will need more than 180,000 new teachers in the next decade and the U.S. Department of Education projects New York's student enrollment will grow by 2 percent by 2024, with high-need school districts experiencing the largest increases.

In November 2013, the State Education Department (SED) reported the following statewide teacher shortage areas between 2010 and 2014: bilingual education, chemistry, career and technical education (CTE), earth science, English language learners, languages other than English, library and school media specialist, physics, special education, special education – bilingual, special education – science certification, and technology education. In New York City, SED identified shortage areas that include the arts, biology, chemistry, CTE, English, health education, library media specialist and mathematics. Evidence shows that New York's current teacher shortages are hitting urban and rural districts the hardest. At a meeting with NYSUT leaders, SED Commissioner MaryEllen Elia said finding ways to recruit and retain teachers must be front and center.

According to a report issued in August 2016 by the U.S. Department of

Education and a report issued in May 2017 by the New York State School Board Association (NYSSBA), teacher shortages in New York are not widespread for all subject areas and geographical areas, but rather are concentrated in a handful of subjects and regions of the state, most notably science, special education, foreign languages, mathematics, and English instruction for students whose primary language is not English. In response, the Program is aimed at retaining and/or increasing the number of elementary and secondary teachers serving in hard to staff districts or subject shortage areas across the State by alleviating their student loan burden. Eligible recipients will receive up to \$5,000 annually over four years.

Costs:

a. There are no application fees, processing fees, or other costs to the applicants of this Program.

b. The estimated cost to the agency for the implementation of, or continuing compliance with, this rule is \$341,850.

c. It is anticipated that there will be no costs to local governments for the implementation of, or continuing compliance with, this rule.

d. Costs to the State shall not exceed available New York State budget appropriations for the Program. The 2018-19 State Budget contained an appropriation for this Program in the sum of \$250,000.

Local government mandates:

No program, service, duty or responsibility will be imposed by this rule upon any county, city, town, village, school district, fire district or another special district.

Paperwork:

This proposal will require applicants to file an electronic web application to determine eligibility and an electronic application for each year they wish to receive an award payment for up to four years.

Duplication:

No relevant rules or other relevant requirements duplicating, overlapping, or conflicting with this rule were identified.

Alternatives:

Given the statutory language as set forth in section 679-j(6) of the Education Law, a "no action" alternative was not an option.

Federal standards:

This proposal does not exceed any minimum standards of the Federal government.

Compliance schedule:

The agency will be able to comply with the regulation immediately upon its adoption.

Regulatory Flexibility Analysis

This statement is being submitted pursuant to subdivision (3) of section 202-b of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's (HESC) Notice of Emergency Adoption and Proposed Rule Making seeking to add a new section 2201.21 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not have a negative impact on small businesses or local governments. HESC finds that this rule will not impose any compliance requirement or adverse economic impact on small businesses or local governments. Rather, it has potential positive impacts by providing loan forgiveness benefits to teachers serving in high need school districts or subject areas for which a shortage of teachers exists. Providing these benefits will encourage individuals to pursue and/or maintain careers as elementary and secondary school teachers throughout New York State, which will provide an economic benefit to the State's small businesses and local governments as well.

Rural Area Flexibility Analysis

This statement is being submitted pursuant to subdivision (4) of section 202-bb of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Notice of Emergency Adoption and Proposed Rule Making seeking to add new section 2201.21 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

HESC finds that this rule will not impose any reporting, record keeping or other compliance requirements on public or private entities in rural areas. Rather, it has potential positive impacts by providing loan forgiveness benefits to teachers serving in high need school districts or subject areas for which a shortage of teachers exists. Providing these benefits will encourage individuals to pursue and/or remain in careers as elementary and secondary school teachers benefitting rural communities throughout New York State.

Job Impact Statement

This statement is being submitted pursuant to subdivision (2) of section 201-a of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Notice of Emergency Adoption and Proposed Rule Making seeking to add a new section 2201.21 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not have a negative impact on jobs or employment opportunities. Rather, it has potential positive impacts by providing loan forgiveness benefits to teachers serving in high need school districts or subject areas for which a shortage of teachers exists. Providing these benefits will encourage individuals to pursue and/or remain in careers as elementary and secondary school teachers throughout New York State.

EMERGENCY/PROPOSED

RULE MAKING

NO HEARING(S) SCHEDULED

New York State Masters-in-Education Teacher Incentive Scholarship Program

I.D. No. ESC-22-19-00005-EP

Filing No. 469

Filing Date: 2019-05-10

Effective Date: 2019-05-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of section 2201.17 to Title 8 NYCRR.

Statutory authority: Education Law, sections 653, 655 and 669-f

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: This statement is being submitted pursuant to subdivision (6) of section 202 of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's ("HESC") Notice of Emergency Adoption and Proposed Rule Making seeking to add a new section 2201.17 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

This regulation implements a statutory student financial aid program providing for awards to be made to students beginning with the fall 2016 term. The statute provides for tuition benefits to college-going students attending a New York State public institution of higher education who pursue a graduate program of study in an education program leading to a career as a teacher in public elementary or secondary education. Decisions on applications for this Program are made prior to the beginning of the term, which generally begins in August. Therefore, emergency adoption is necessary to avoid an adverse impact on the processing of awards to eligible scholarship applicants and award payments to eligible recipients. To accomplish this mandate, the statute further provides for HESC to promulgate emergency regulations to implement the program. For these reasons, compliance with section 202(1) of the State Administrative Procedure Act would be contrary to the public interest.

Subject: New York State Masters-in-Education Teacher Incentive Scholarship Program.

Purpose: To implement the New York State Masters-in-Education Teacher Incentive Scholarship Program.

Text of emergency/proposed rule: New section 2201.17 is added to Title 8 of the New York Code, Rules and Regulations to read as follows:

Section 2201.17 *New York State Masters-in-Education Teacher Incentive Scholarship Program.*

(a) *Definitions. As used in section 669-f of the Education Law and this section, the following terms shall have the following meanings:*

(1) "Academic excellence" shall mean the attainment of a cumulative grade point average of 3.5 or higher upon completion of an undergraduate program of study from a college or university located within New York State.

(2) "Approved master's degree in education program" shall mean a program registered at a New York State public institution of higher education pursuant to Part 52 of the Regulations of the Commissioner of Education.

(3) "Award" shall mean a New York State Masters-in-Education Teacher Incentive Scholarship Program award pursuant to section 669-f of the New York State education law.

(4) "Classroom instruction" shall mean elementary and secondary education instruction, as required by the New York State Education Department, including enrichment and supplemental instruction that may be offered to a subset of students. Classroom instruction shall not include support services, such as counseling, speech therapy or occupational therapy services.

(5) "Elementary and secondary education" shall mean pre-kindergarten through grade 12 in a public school recognized by the board of regents or the university of the state of New York, including charter schools authorized pursuant to article fifty-six of the education law.

(6) "Full-time study" shall mean the number of credits required by the institution in each term of the approved master's degree in education program. A recipient may complete fewer credits than required for full-time study if he or she is in their last term and fewer credit hours are necessary to complete their degree program. In this case, the award amount shall be based on the tuition reported by the institution.

(7) "Initial certification" shall mean any certification issued pursuant to part 80 of this title which allows the recipient to teach in a classroom setting on a full-time basis.

(8) "Interruption in graduate study or employment" shall mean an allowable temporary period of leave for a definitive length of time due to circumstances approved by the corporation, including, but not limited to, maternity/paternity leave, death of a family member, or military duty.

(9) "Program" shall mean the New York State Masters-in-Education Teacher Incentive Scholarship Program codified in section 669-f of the education law.

(10) "Public institution of higher education" shall mean the state university of New York, as defined in subdivision 3 of section 352 of the education law, or the city university of New York as defined in subdivision 2 of section 6202 of the education law.

(11) "Rank" shall mean an applicant's position, relative to all other applicants, based on cumulative grade point average upon completion of an undergraduate program of study from a college or university located within New York State.

(12) "School year" shall mean the period commencing on the first day of July in each year and ending on the thirtieth day of June next following.

(13) "Successful completion of a term" shall mean that at the end of any academic term, the recipient: (i) met the eligibility requirements for the award pursuant to sections 661 and 669-f of the Education Law; (ii) maintained full-time status as defined in this section; and (iii) possessed a cumulative grade point average of 3.5 or higher as of the date of the certification by the institution.

(14) "Teach in a classroom setting on a full-time basis" shall mean continuous employment providing classroom instruction in a public elementary or secondary school, including charter schools, Boards of Cooperative Educational Services (BOCES) and public pre-kindergarten programs, located within New York State, for at least 10 continuous months, each school year, for a number of hours to be determined by the labor contract between the teacher and employer, or if none of the above apply, the chief administrator of the school.

(b) Eligibility. An applicant must satisfy the eligibility requirements contained in both sections 669-f and 661 of the education law, provided however that an applicant for this Program must meet the good academic standing requirements contained in section 669-f of the education law.

(c) Priorities. If there are more applicants than available funds, the following provisions shall apply:

(1) First priority shall be given to applicants who have received payment of an award pursuant to section 669-f of the education law for the academic year immediately preceding the academic year for which payment is sought and have successfully completed the academic term for which payment is sought. First priority shall include applicants who received payment of an award pursuant to section 669-f of the education law, were subsequently granted an interruption in graduate study by the corporation for the academic year immediately preceding the academic year for which payment is sought and have successfully completed the academic term for which payment is sought. If there are more applicants than available funds, recipients shall be chosen by lottery.

(2) Second priority shall be given to up to five hundred new applicants, within the remaining funds available for the Program, if any. If there are more applicants than available funds, recipients shall be chosen by rank, starting at the applicant with the highest cumulative grade point average beginning in the 2016-17 academic year. In the event of a tie, distribution of any remaining funds shall be done by lottery.

(d) Administration.

(1) Applicants for an award shall apply for program eligibility at such times, on forms and in a manner prescribed by the corporation. The corporation may require applicants to provide additional documentation evidencing eligibility.

(2) Recipients of an award shall:

(i) execute a service contract prescribed by the corporation;

(ii) request payment at such times, on forms and in a manner specified by the corporation;

(iii) receive such awards for not more than four academic terms, or its equivalent, of full-time graduate study leading to certification as a public elementary or secondary classroom teacher, including charter schools, excluding any allowable interruption of study;

(iv) facilitate the submission of information from their employer attesting to the recipient's job title, the full-time work status of the recipient, and any other information necessary for the corporation to determine

compliance with the program's employment requirements on forms and in a manner prescribed by the corporation; and

(v) provide any other information necessary for the corporation to determine compliance with the program's requirements.

(e) Amounts.

(1) The amount of the award shall be determined in accordance with section 669-f of the education law.

(2) Disbursements shall be made each term to institutions, on behalf of recipients, within a reasonable time upon successful completion of the term subject to the verification and certification by the institution of the recipient's grade point average and other eligibility requirements.

(3) Awards shall be reduced by the value of other educational grants and scholarships limited to tuition, as authorized by section 669-f of the education law.

(f) Failure to comply.

(1) All award monies received shall be converted to a 10-year student loan plus interest for recipients who fail to meet the statutory, regulatory, contractual, administrative or other requirement of this program.

(2) The interest rate for the life of the loan shall be fixed and equal to that published annually by the U.S. Department of Education for undergraduate unsubsidized Stafford loans at the time the recipient signed the service contract with the corporation.

(3) Interest shall begin to accrue on the day each award payment is disbursed to the institution.

(4) Interest shall be capitalized on the day the award recipient violates any term of the service contract or the date the corporation deems the recipient was no longer able or willing to perform the terms of the service contract. Interest on this capitalized amount shall continue to accrue and be calculated using simple interest until the amount is paid in full.

(5) Where a recipient has demonstrated extreme hardship as a result of a disability, labor market conditions, or other such circumstances, the corporation may, in its discretion, postpone converting the award to a student loan, temporarily suspend repayment of the amount owed, prorate the amount owed commensurate with service completed, discharge the amount owed, or take such other appropriate action.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire August 7, 2019.

Text of rule and any required statements and analyses may be obtained from: Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

Statutory authority:

The New York State Higher Education Services Corporation's ("HESC") statutory authority to promulgate regulations and administer the New York State Masters-in-Education Teacher Incentive Scholarship Program ("Program") is codified within Article 14 of the Education Law. In particular, Subpart A of Chapter 56 of the Laws of 2015 created the Program by adding a new section 669-f to the Education Law. Subdivision 6 of section 669-f of the Education Law authorizes HESC to promulgate emergency regulations for the purpose of administering this Program.

Pursuant to Education Law § 652(2), HESC was established for the purpose of improving the post-secondary educational opportunities of eligible students through the centralized administration of New York State financial aid programs and coordinating the State's administrative effort in student financial aid programs with those of other levels of government.

In addition, Education Law § 653(9) empowers HESC's Board of Trustees to perform such other acts as may be necessary or appropriate to carry out the objectives and purposes of the corporation including the promulgation of rules and regulations.

HESC's President is authorized, under Education Law § 655(4), to propose rules and regulations, subject to approval by the Board of Trustees, governing, among other things, the application for and the granting and administration of student aid and loan programs, the repayment of loans or the guarantee of loans made by HESC; and administrative functions in support of state student aid programs. Also, consistent with Education Law § 655(9), HESC's President is authorized to receive assistance from any Division, Department or Agency of the State in order to properly carry out his or her powers, duties and functions. Finally, Education Law § 655(12) provides HESC's President with the authority to perform such other acts as may be necessary or appropriate to carry out effectively the general objects and purposes of HESC.

Legislative objectives:

The Education Law was amended to add a new section 669-f to create the "New York State Masters-in-Education Teacher Incentive Scholarship

Program” (Program). The objective of this Program is to incent New York’s highest-achieving undergraduate students to pursue teaching as a profession.

Needs and benefits:

According to a recent Wall Street Journal article, many experts call teacher quality the most important school-based factor affecting learning. Studies underscore the impact of highly effective teachers and the need to put them in classrooms with struggling students to help them catch up. To improve teacher quality, New York State has significantly raised the bar by modifying the three required exams and adding the Educative Teacher Performance Assessment, known as edTPA, as part of the licensing requirement for all teachers. To supplement this effort, this Program aims to incentivize top undergraduate students to pursue their master’s degree in New York State and teach in public elementary and secondary schools (including charter schools) across the State.

The Program provides for annual tuition awards to students enrolled full-time, at a New York State public institution of higher education, in a master’s degree in education program leading to a career as a classroom teacher in elementary or secondary education. Eligible recipients may receive annual awards for not more than two academic years of full-time graduate study. The maximum amount of the award is equal to the annual tuition charged to New York State resident students attending a graduate program full-time at the State University of New York (SUNY). Payments will be made directly to schools on behalf of students upon certification of their successful completion of the academic term.

Students receiving a New York State Masters-in-Education Teacher Incentive Scholarship Program award must sign a service agreement and agree to teach in the classroom at a New York State public elementary or secondary school, which includes charter schools, for five years following completion of their master’s degree. Recipients who do not fulfill their service obligation will have the value of their awards converted to a student loan and be responsible for interest.

Costs:

a. There are no application fees, processing fees, or other costs to the applicants of this Program.

b. It is anticipated that there will be no costs to the agency for the implementation of, or continuing compliance with this rule.

c. The maximum cost of the Program to the State is \$1.5 million in the first year, based upon budget estimates.

d. It is anticipated that there will be no costs to Local Governments for the implementation of, or continuing compliance with, this rule.

e. The source of the cost data in (c) above is derived from the New York State Division of the Budget.

Local government mandates:

No program, service, duty or responsibility will be imposed by this rule upon any county, city, town, village, school district, fire district or other special district.

Paperwork:

This proposal will require applicants to file an electronic application, together with supporting documentation, for eligibility. Each year recipients will file an electronic request for payment together with supporting documentation for up to two years of award payments. Recipients are required to sign a contract for services in exchange for an award. Recipients must submit annual status reports until a final disposition is reached in accordance with the written contract.

Duplication:

No relevant rules or other relevant requirements duplicating, overlapping, or conflicting with this rule were identified.

Alternatives:

The proposed regulation is the result of HESC’s outreach efforts to the State Education Department, the State University of New York and the City University of New York with regard to this Program. Several alternatives were considered in the drafting of this regulation. For example, several alternatives were considered in defining terms used in the regulation as well as the administration of the Program. Given the statutory language as set forth in section 679-g of the Education Law, a “no action” alternative was not an option.

Federal standards:

This proposal does not exceed any minimum standards of the Federal Government and efforts were made to align it with similar federal subject areas as evidenced by the adoption of the federal undergraduate unsubsidized Stafford loan rate in the event that the award is converted to a student loan.

Compliance schedule:

The agency will be able to comply with the regulation immediately upon its adoption.

Regulatory Flexibility Analysis

This statement is being submitted pursuant to subdivision (3) of section 202-b of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation’s (“HESC”) Notice of

Emergency Adoption and Proposed Rule Making, seeking to add a new section 2201.17 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse economic impact on small businesses or local governments. HESC finds that this rule will not impose any compliance requirement or adverse economic impact on small businesses or local governments. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that provides tuition benefits to students attending a New York State public institution of higher education who pursue their master’s degree in an education program leading to a career as a teacher in public elementary or secondary education. Students will be rewarded for remaining and working in New York, which will provide an economic benefit to the State’s small businesses and local governments as well.

Rural Area Flexibility Analysis

This statement is being submitted pursuant to subdivision (4) of section 202-bb of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation’s Notice of Emergency Adoption and Proposed Rule Making, seeking to add a new section 2201.17 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse impact on rural areas. Rather, it has potential positive impacts inasmuch as it implements a statutory student financial aid program that provides tuition benefits to students attending a New York State public institution of higher education who pursue their master’s degree in an education program leading to a career as a teacher in public elementary or secondary education. Students will be rewarded for remaining and working in New York, which benefits rural areas around the State as well.

This agency finds that this rule will not impose any reporting, record keeping or other compliance requirements on public or private entities in rural areas.

Job Impact Statement

This statement is being submitted pursuant to subdivision (2) of section 201-a of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation’s Notice of Emergency Adoption and Proposed Rule Making seeking to add a new section 2201.17 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not have any negative impact on jobs or employment opportunities. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that provides tuition benefits to students attending a New York State public institution of higher education who pursue their master’s degree in an education program leading to a career as a teacher in public elementary or secondary education. Students will be rewarded for remaining and working in New York, which will benefit the State as well.

**EMERGENCY/PROPOSED
RULE MAKING
NO HEARING(S) SCHEDULED**

New York State Get on Your Feet Loan Forgiveness Program

I.D. No. ESC-22-19-00006-EP

Filing No. 470

Filing Date: 2019-05-10

Effective Date: 2019-05-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of section 2201.15 to Title 8 NYCRR.

Statutory authority: Education Law, sections 653, 655 and 679-g

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: This statement is being submitted pursuant to subdivision (6) of section 202 of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation’s (“HESC”) Notice of Emergency Adoption and Proposed Rule Making seeking to add a new section 2201.15 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

This regulation implements a statutory student financial aid program providing for awards to be made to students who receive their undergradu-

ate degree from a college or university located in New York State in December 2014 and thereafter. The statute provides for student loan relief to such college graduates who continue to live in New York State upon graduation, earn less than \$50,000 per year, participate in either the federal Pay as You Earn (PAYE), Revised Pay as You Earn (REPAYE) or Income Based Repayment (IBR) program, which cap a federal student loan borrower's payments at 10 percent of discretionary income, and apply for this program within two years after graduating from college. Eligible applicants will have up to twenty-four payments made on their behalf towards their federal income-based repayment plan commitment. Therefore, emergency adoption is necessary to avoid an adverse impact on the processing of awards to eligible applicants and award payments to eligible recipients. To accomplish this mandate, the statute further provides for HESC to promulgate emergency regulations to implement the program. For these reasons, compliance with section 202(1) of the State Administrative Procedure Act would be contrary to the public interest.

Subject: New York State Get on Your Feet Loan Forgiveness Program.

Purpose: To implement the New York State Get on Your Feet Loan Forgiveness Program.

Text of emergency/proposed rule: New section 2201.15 is added to Title 8 of the New York Code, Rules and Regulations to read as follows:

Section 2201.15 New York State Get on Your Feet Loan Forgiveness Program.

(a) *Definitions.* As used in section 679-g of the education law and this section, the following terms shall have the following meanings:

(1) "Adjusted gross income" shall mean the income used by the U.S. Department of Education to qualify the applicant for the federal income-driven repayment plan.

(2) "Award" shall mean a New York State Get on Your Feet Loan Forgiveness Program award pursuant to section 679-g of the education law.

(3) "Deferment" shall have the same meaning applicable to the William D. Ford Federal Direct Loan Program as set forth in 34 CFR Part 685.

(4) "Delinquent" shall mean the failure to pay a required scheduled payment on a federal student loan within thirty days of such payment's due date.

(5) "Forbearance" shall have the same meaning applicable to the William D. Ford Federal Direct Loan Program as set forth in 34 CFR Part 685.

(6) "Income" shall mean the total adjusted gross income of the applicant and the applicant's spouse, if applicable.

(7) "Program" shall mean the New York State Get on Your Feet Loan Forgiveness Program.

(8) "Undergraduate degree" shall mean an associate or baccalaureate degree.

(b) *Eligibility.* An applicant must satisfy the following requirements:

(1) have graduated from a high school located in the State or attended an approved State program for a State high school equivalency diploma and received such diploma. An applicant who received a high school diploma, or its equivalent, from another state is ineligible for a Program award;

(2) have graduated and obtained an undergraduate degree from a college or university located in the State in or after the two thousand fourteen-fifteen academic year;

(3) apply for this program within two years of obtaining such undergraduate degree;

(4) not have earned a degree higher than an undergraduate degree at the time of application;

(5) be a participant in a federal income-driven repayment plan whose payment amount is generally ten percent of discretionary income;

(6) have income of less than fifty thousand dollars;

(7) comply with subdivisions three and five of section 661 of the education law;

(8) work in the State, if employed. A member of the military who is on active duty and for whom New York is his or her legal state of residence shall be deemed to be employed in NYS;

(9) not be delinquent on a federal student loan or in default on a student loan made under any statutory New York State or federal education loan program or repayment of any New York State award; and

(10) be in compliance with the terms of any service condition imposed by a New York State award.

(c) *Administration.*

(1) An applicant for an award shall apply for program eligibility at such times, on forms and in a manner prescribed by the corporation. The corporation may require applicants to provide additional documentation evidencing eligibility.

(2) A recipient of an award shall:

(i) request payment at such times, on such forms and in a manner as prescribed by the corporation;

(ii) confirm he or she has adjusted gross income of less than fifty thousand dollars, is a resident of New York State, is working in New York State, if employed, and any other information necessary for the corporation to determine eligibility at such times prescribed by the corporation. Said submissions shall be on forms or in a manner prescribed by the corporation;

(iii) notify the corporation of any change in his or her eligibility status including, but not limited to, a change in address, employment, or income, and provide the corporation with current information;

(iv) not receive more than twenty four payments under this program; and

(v) provide any other information or documentation necessary for the corporation to determine compliance with the program's requirements.

(d) *Amounts and duration.*

(1) The amount of the award shall be equal to one hundred percent of the recipient's established monthly federal income-driven repayment plan payment whose payment amount is generally ten percent of discretionary income and whose payment is based on income rather than loan debt.

(2) In the event the established monthly federal income-driven repayment plan payment is zero or the applicant is otherwise not obligated to make a payment, the applicant shall not qualify for a Program award.

(3) Disbursements shall be made to the entity that collects payments on the federal student loan or loans on behalf of the recipient on a monthly basis.

(4) A maximum of twenty-four payments may be awarded, provided the recipient continues to satisfy the eligibility requirements set forth in section 679-g of the education law and the requirements set forth in this section.

(e) *Disqualification.* A recipient shall be disqualified from receiving further award payments under this program if he or she fails to satisfy any of the eligibility requirements, no longer qualifies for an award, or fails to respond to any request for information by the corporation.

(f) *Renewed eligibility.* A recipient who has been disqualified pursuant to subdivision (e) may reapply for this program and receive an award if he or she satisfies all of the eligibility requirements set forth in section 679-g of the education law and the requirements set forth in this section.

(g) *Repayment.* A recipient who is not a resident of New York State at the time a payment is made under this program shall be required to repay such payment or payments to the corporation. In addition, at the corporation's discretion, a recipient may be required to repay to the corporation any payment made under this program that, at the time payment was made, should have been disqualified pursuant to subdivision (e). If a recipient is required to repay any payment or payments to the corporation, the following provisions shall apply:

(1) Interest shall begin to accrue on the day such payment was made on behalf of the recipient. In the event the recipient notifies the corporation of a change in residence within 30 days of such change, interest shall begin to accrue on the day such recipient was no longer a New York State resident.

(2) The interest rate shall be fixed and equal to the rate established in section 18 of the New York State Finance Law.

(3) Repayment must be made within five years.

(4) Where a recipient has demonstrated extreme hardship as a result of a disability, labor market conditions, or other such circumstances, the corporation may, in its discretion, waive or defer payment, extend the repayment period, or take such other appropriate action.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire August 7, 2019.

Text of rule and any required statements and analyses may be obtained from: Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

Statutory authority:

The New York State Higher Education Services Corporation's ("HESC") statutory authority to promulgate regulations and administer the New York State Get on Your Feet Loan Forgiveness Program ("Program") is codified within Article 14 of the Education Law. In particular, Part C of Chapter 56 of the Laws of 2015 created the Program by adding a new section 679-g to the Education Law. Subdivision 4 of section 679-g of the Education Law authorizes HESC to promulgate emergency regulations for the purpose of administering this Program.

Pursuant to Education Law § 652(2), HESC was established for the purpose of improving the post-secondary educational opportunities of eligible students through the centralized administration of New York State

financial aid programs and coordinating the State's administrative effort in student financial aid programs with those of other levels of government.

In addition, Education Law § 653(9) empowers HESC's Board of Trustees to perform such other acts as may be necessary or appropriate to carry out the objects and purposes of the corporation including the promulgation of rules and regulations.

HESC's President is authorized, under Education Law § 655(4), to propose rules and regulations, subject to approval by the Board of Trustees, governing, among other things, the application for and the granting and administration of student aid and loan programs, the repayment of loans or the guarantee of loans made by HESC; and administrative functions in support of state student aid programs. Also, consistent with Education Law § 655(9), HESC's President is authorized to receive assistance from any Division, Department or Agency of the State in order to properly carry out his or her powers, duties and functions. Finally, Education Law § 655(12) provides HESC's President with the authority to perform such other acts as may be necessary or appropriate to carry out effectively the general objects and purposes of HESC.

Legislative objectives:

The Education Law was amended to add a new section 679-g to create the "New York State Get on Your Feet Loan Forgiveness Program" (Program). The objective of this Program is to ease the burden of federal student loan debt for recent New York State college graduates.

Needs and benefits:

More than any other time in history, a college degree provides greater opportunities for graduates than is available to those without a postsecondary degree. However, financing that degree has also become more challenging. According to a June 9, 2014 Presidential Memorandum issued by President Obama, over the past three decades, the average tuition at a public four-year college has more than tripled, while a typical family's income has increased only modestly. More students than ever are relying on loans to pay for college. Today, 71 percent of those earning a bachelor's degree graduate with debt, which averages \$29,400. Many of these students feel burdened by debt, especially as they seek to start a family, buy a home, launch a business, or save for retirement. To ensure that student debt is manageable, the federal government enacted income-driven repayment plans, such as the Pay as You Earn (PAYE) plan, which caps a federal student loan borrower's payments at 10 percent of income.

Although New York's public colleges and universities offer among the lowest tuition in the nation, currently the average New York student graduates from college with a four-year degree saddled with more than \$25,000 in student loans. Mounting student debt makes it difficult for recent graduates to deal with everyday costs of living, which often increases the amount of credit card and other debt they must take on in order to survive. To help mitigate the disparate growth in the cost of financing a postsecondary education, this Program offers financial aid relief to recent college graduates by providing up to twenty-four payments towards an eligible applicant's federal income-based student loan repayment plan commitment. Students who receive their undergraduate degree from a college or university located in New York State in December 2014 and thereafter, who continue to live in New York State upon graduation, earn less than \$50,000 per year, participate in either the federal Pay as You Earn (PAYE) or applicable federal Income Based Repayment (IBR) program, and apply for this Program within two years after graduating from college are eligible for this Program.

Costs:

a. It is anticipated that there will be no new costs to the agency for the implementation of, or continuing compliance with this rule.

b. The maximum cost of the program to the State is \$5.2 million in the first year based upon budget estimates.

c. It is anticipated that there will be no costs to local governments for the implementation of, or continuing compliance with, this rule.

d. The source of the cost data in (b) above is derived from the New York State Division of the Budget.

Local government mandates:

No program, service, duty or responsibility will be imposed by this rule upon any county, city, town, village, school district, fire district or other special district.

Paperwork:

This proposal will require applicants to file an electronic application for eligibility and payment together with supporting documentation.

Duplication:

No relevant rules or other relevant requirements duplicating, overlapping, or conflicting with this rule were identified.

Alternatives:

The proposed regulation is the result of HESC's outreach efforts to the U.S. Department of Education with regard to this Program. Several alternatives were considered in the drafting of this regulation. For example, several alternatives were considered in defining terms used in the regulation as well as the administration of the Program. Given the

statutory language as set forth in section 679-g of the Education Law, a "no action" alternative was not an option.

Federal standards:

This proposal does not exceed any minimum standards of the Federal Government. Since this Program is intended to supplement federal repayment programs, efforts were made to align the Program with the federal programs.

Compliance schedule:

The agency will be able to comply with the regulation immediately upon its adoption.

Regulatory Flexibility Analysis

This statement is being submitted pursuant to subdivision (3) of section 202-b of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's ("HESC") Notice of Emergency Adoption and Proposed Rule Making, seeking to add a new section 2201.15 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse economic impact on small businesses or local governments. HESC finds that this rule will not impose any compliance requirement or adverse economic impact on small businesses or local governments. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that eases the burden of federal student loan debt for recent New York State college graduates who continue to live in the State. Providing students with direct financial assistance will encourage students to attend college in New York State and remain in the State following graduation, which will provide an economic benefit to the State's small businesses and local governments as well.

Rural Area Flexibility Analysis

This statement is being submitted pursuant to subdivision (4) of section 202-bb of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Notice of Emergency Adoption and Proposed Rule Making, seeking to add a new section 2201.15 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse impact on rural areas. Rather, it has potential positive impacts inasmuch as it implements a statutory student financial aid program that eases the burden of federal student loan debt for recent New York State college graduates who continue to live in the State. Providing students with direct financial assistance will encourage students to attend college in New York State and remain in the State following graduation, which benefits rural areas around the State as well.

This agency finds that this rule will not impose any reporting, record keeping or other compliance requirements on public or private entities in rural areas.

Job Impact Statement

This statement is being submitted pursuant to subdivision (2) of section 201-a of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Notice of Emergency Adoption and Proposed Rule Making seeking to add a new section 2201.15 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not have any negative impact on jobs or employment opportunities. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that eases the burden of federal student loan debt for recent New York State college graduates who continue to live in the State. Providing students with direct financial assistance will encourage students to attend college in New York State and remain in the State following graduation, which benefits the State as well.

EMERGENCY/PROPOSED

RULE MAKING

NO HEARING(S) SCHEDULED

NYS Part-Time Scholarship (PTS) Award Program

I.D. No. ESC-22-19-00007-EP

Filing No. 471

Filing Date: 2019-05-10

Effective Date: 2019-05-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of section 2201.20 to Title 8 NYCRR.

Statutory authority: Education Law, sections 653, 655 and 667-c-1

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: This statement is being submitted pursuant to subdivision (6) of section 202 of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's (HESC) Notice of Emergency Adoption and Proposed Rule Making seeking to add a new section 2201.20 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

This regulation implements a statutory student financial aid program providing for awards to be made to students beginning with the 2017-18 academic year. The statute provides for tuition benefits to college-going students pursuing their undergraduate studies at a community college at the State University of New York or the City University of New York. Decisions on applications for student financial aid programs are customarily made prior to the beginning of the term, which generally starts in August. Therefore, emergency adoption is necessary to avoid an adverse impact on the processing of awards to eligible scholarship applicants and award payments to eligible recipients. To accomplish this mandate, the statute further provides for HESC to promulgate emergency regulations to implement the Program. For these reasons, compliance with section 202(1) of the State Administrative Procedure Act would be contrary to the public interest.

Subject: NYS Part-Time Scholarship (PTS) Award Program.

Purpose: To implement the NYS Part-Time Scholarship (PTS) Award Program.

Text of emergency/proposed rule: New section 2201.20 is added to Title 8 of the New York Code, Rules and Regulations to read as follows:

Section 2201.20 New York State Part-Time Scholarship (PTS) Award Program.

(a) *Definitions. As used in Education Law, section 667-c-1 and this section, the following terms shall have the following meanings:*

(1) "Good academic standing" shall mean having a minimum cumulative grade point average of 2.0.

(2) "Interruption of study" shall mean a temporary period of leave for a definitive length of time due to circumstances as determined by the corporation, including, but not limited to, death of a family member, medical leave, military service, service in the Peace Corps or parental leave.

(3) "Program" shall mean the New York State Part-time Scholarship (PTS) Award Program codified in Education Law, section 667-c-1.

(b) *Eligibility. An applicant must satisfy the requirements of Education Law, section 667-c-1 and the general eligibility requirements provided in Education Law, section 661.*

(c) *Administration.*

(1) *Applicants for an award shall apply for program eligibility at such times, on forms and in a manner prescribed by the corporation. The corporation may require applicants to provide additional documentation evidencing eligibility.*

(2) *For purposes of determining priority, financial need shall be established based on the federal expected family contribution reflected on the applicant's federal student aid report, with the lowest expected family contribution evidencing the greatest financial need.*

(3) *Recipients of an award shall:*

(i) *request payment annually at such times, on forms and in a manner specified by the corporation;*

(ii) *provide any information necessary for the corporation to determine compliance with the program's requirements.*

(4) *The corporation shall maintain data relating to the performance of award recipients including, but not limited to, degree completion rates. All such data shall be deemed confidential and the corporation shall only disclose aggregate data unless otherwise required by law.*

(d) *Awards.*

(1) *The amount of the award shall be determined in accordance with section 667-c-1 of the education law.*

(2) *A recipient of an award must remain in good academic standing, as defined in this section, and remain continuously enrolled (excluding summer and winter terms) to be eligible for payment of future awards, excluding any allowable interruption of study.*

(3) *Disbursements shall be made each term to institutions, on behalf of recipients, within a reasonable time after verification and certification by the institution of the recipient's grade point average and other eligibility requirements.*

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire August 7, 2019.

Text of rule and any required statements and analyses may be obtained from: Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

Statutory authority:

The New York State Higher Education Services Corporation's (HESC) statutory authority to promulgate regulations and administer the NYS Part-time Scholarship (PTS) Award Program (Program) is codified within Article 14 of the Education Law. In particular, Part KKK of Chapter 59 of the Laws of 2017 created the Program by adding a new section 667-c-1 to the Education Law. Subdivision 6 of section 667-c-1 of the Education Law authorizes HESC to promulgate emergency regulations for the purpose of administering this Program.

Pursuant to Education Law § 652(2), HESC was established for the purpose of improving the post-secondary educational opportunities of eligible students through the centralized administration of New York State financial aid programs and coordinating the State's administrative effort in student financial aid programs with those of other levels of government.

In addition, Education Law § 653(9) empowers HESC's Board of Trustees to perform such other acts as may be necessary or appropriate to carry out the objects and purposes of the corporation including the promulgation of rules and regulations.

HESC's President is authorized, under Education Law § 655(4), to propose rules and regulations, subject to approval by the Board of Trustees, governing, among other things, the application for and the granting and administration of student aid and loan programs, the repayment of loans or the guarantee of loans made by HESC, and administrative functions in support of state student aid programs. Also, consistent with Education Law § 655(9), HESC's President is authorized to receive assistance from any Division, Department or Agency of the State in order to properly carry out his or her powers, duties and functions. Finally, Education Law § 655(12) provides HESC's President with the authority to perform such other acts as may be necessary or appropriate to carry out effectively the general objects and purposes of HESC.

Legislative objectives:

The Education Law was amended to add a new section 667-c-1 to create the Program, which is aimed at reducing tuition expenses for students who attend a State University of New York (SUNY) or City University of New York (CUNY) community college.

Needs and benefits:

Many studies have underscored the necessity of a college degree in today's global economy. The Center on Education and the Workforce (CEW) at Georgetown University found that by 2020, 65 percent of all jobs will require some form of postsecondary education or training, compared to 59 percent of jobs in 2010. The CEW report finds that having a skilled workforce is critical if the United States is to "remain competitive, attract the right type of industry, and engage the right type of talent in a knowledge-based and innovative economy." At the current pace, the United States will fall short of its skilled workforce needs by 5 million workers. Furthermore, the disparity in earning potential between high school graduates and college graduates has never been greater, nor has the student loan debt – which stands at \$1.3 trillion – being carried by those who have pursued a postsecondary education.

Recognizing the growing need for workers with postsecondary education and training, the wage earnings benefits for those with training beyond a high school diploma, the rapidly rising college costs and mounting student loan debt, this Program awards students attending a public community college up to \$1,500 per semester to offset their tuition costs. To be eligible for a Program award, students must be enrolled in at least six but less than 12 credits per semester at a SUNY or CUNY community college and maintain a grade point average of 2.0. Payments will be made directly to colleges on behalf of students upon certification of their eligibility at the end of the academic term.

Costs:

a. The estimated cost to the agency for the implementation of, or continuing compliance with this rule is \$719,344.

b. The maximum cost of the program to the State is \$3,129,000 in the first year based upon budget estimates.

d. It is anticipated that there will be no costs to Local Governments for the implementation of, or continuing compliance with, this rule.

e. The source of the cost data in (b) above is derived from the New York State Division of the Budget.

Local government mandates:

No program, service, duty or responsibility will be imposed by this rule upon any county, city, town, village, school district, fire district or other special district.

Paperwork:

This proposal will require applicants to file an electronic application together with supporting documentation for each year they wish to receive an award up to and including two consecutive years of eligibility.

Duplication:

No relevant rules or other relevant requirements duplicating, overlapping, or conflicting with this rule were identified.

Alternatives:

The proposed regulation is the result of HESC's outreach efforts to financial aid professionals at SUNY and CUNY with regard to this Program. Several alternatives were considered in the drafting of this regulation, such as the definition of financial need. Given the statutory language as set forth in section 667-c-1 of the Education Law, a "no action" alternative was not an option.

Federal standards:

This proposal does not exceed any minimum standards of the Federal Government.

Compliance schedule:

The agency will be able to comply with the regulation immediately upon its adoption.

Regulatory Flexibility Analysis

This statement is being submitted pursuant to subdivision (3) of section 202-b of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's (HESC) Notice of Emergency Adoption and Proposed Rule Making, seeking to add a new section 2201.20 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

This rule implements a statutory student financial aid program that provides tuition benefits to college students who pursue their undergraduate studies at a community college at the State University of New York or City University of the State of New York. HESC finds that this rule will not impose any compliance requirement or adverse economic impact on small businesses or local governments. Rather, it has potential positive impacts by providing community college students with additional tuition award benefits. Providing students with direct financial assistance will encourage them to attend college in New York State, which will provide an economic benefit to the State's small businesses and local governments as well.

Rural Area Flexibility Analysis

This statement is being submitted pursuant to subdivision (4) of section 202-bb of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Notice of Emergency Adoption and Proposed Rule Making, seeking to add a new section 2201.20 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse impact on rural areas. Rather, it has potential positive impacts by providing community college students with additional tuition award benefits. Providing students with direct financial assistance will encourage them to attend college in New York State, which benefits rural areas around the State as well.

This agency finds that this rule will not impose any reporting, record keeping or other compliance requirements on public or private entities in rural areas.

Job Impact Statement

This statement is being submitted pursuant to subdivision (2) of section 201-a of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Notice of Emergency Adoption and Proposed Rule Making seeking to add a new section 2201.20 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not have any negative impact on jobs or employment opportunities. Rather, it has potential positive impacts by providing community college students with additional tuition award benefits. Providing students with direct financial assistance will encourage them to attend college in New York State and possibly seek employment opportunities in the State as well, which will benefit the State.

**EMERGENCY/PROPOSED
RULE MAKING
NO HEARING(S) SCHEDULED**

New York State Achievement and Investment in Merit Scholarship (NY-AIMS)

I.D. No. ESC-22-19-00008-EP

Filing No. 472

Filing Date: 2019-05-10

Effective Date: 2019-05-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of section 2201.16 to Title 8 NYCRR.

Statutory authority: Education Law, sections 653, 655 and 669-g

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: This statement is being submitted pursuant to subdivision (6) of section 202 of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's ("HESC") Notice of Emergency Adoption and Proposed Rule Making seeking to add a new section 2201.16 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

This regulation implements a statutory student financial aid program providing for awards to be made to students beginning with the fall 2015 term. The statute provides New York high school graduates who excel academically with merit-based scholarships to support their cost of attendance at any college or university located in New York State. Five thousand awards, of \$500 each, will be granted annually in 2015-16 and 2016-17. Therefore, emergency adoption is necessary to avoid adverse impact on the processing of award payments to eligible recipients. To accomplish this mandate, the statute further provides for HESC to promulgate emergency regulations to implement the program. For these reasons, compliance with section 202(1) of the State Administrative Procedure Act would be contrary to the public interest.

Subject: New York State Achievement and Investment in Merit Scholarship (NY-AIMS).

Purpose: To implement New York State Achievement and Investment in Merit Scholarship (NY-AIMS).

Text of emergency/proposed rule: New section 2201.16 is added to Title 8 of the New York Code, Rules and Regulations to read as follows:

Section 2201.16 The New York State Achievement and Investment in Merit Scholarship (NY-AIMS).

(a) *Definitions. As used in section 669-g of the Education Law and this section, the following terms shall have the following meanings:*

(1) *"Good academic standing" shall have the same meaning as set forth in section 665(6) of the education law.*

(2) *"Grade point average" shall mean the student's numeric grade calculated on the standard 4.0 scale.*

(3) *"Program" shall mean The New York State Achievement and Investment in Merit Scholarship codified in section 669-g of the education law.*

(4) *"Unmet need" for the purpose of determining priority shall mean the cost of attendance, as determined for federal Title IV student financial aid purposes, less all federal, State, and institutional higher education aid and the expected family contribution based on the federal formula.*

(b) *Eligibility. An applicant must:*

(1) *have graduated from a New York State high school in the 2014-15 academic year or thereafter; and*

(2) *enroll in an approved undergraduate program of study in a public or private not-for-profit degree granting post-secondary institution located in New York State beginning in the two thousand fifteen-sixteen academic year or thereafter; and*

(3) *have achieved at least two of the following during high school:*

(i) *Graduated with a grade point average of 3.3 or above;*

(ii) *Graduated with a "with honors" distinction on a New York State regents diploma or receive a score of 3 or higher on two or more advanced placement examinations; or*

(iii) *Graduated within the top fifteen percent of their high school class, provided that actual class rank may be taken into consideration; and*

(4) *satisfy all other requirements pursuant to section 669-g of the education law; and*

(5) *satisfy all general eligibility requirements provided in section 661 of the education law including, but not limited to, full-time attendance, good academic standing, residency and citizenship.*

(c) *Distribution and priorities. In each year, new awards made shall be proportionate to the total new applications received from eligible students enrolled in undergraduate study at public and private not-for-profit degree granting institutions. Distribution of awards shall be made in accordance with the provisions contained in section 669-g(3)(a) of the education law within each sector. In the event that there are more applicants who have the same priority than there are remaining scholarships or available funding, awards shall be made in descending order based on unmet need established at the time of application. In the event of a tie, distribution shall be made by means of a lottery or other form of random selection.*

(d) *Administration.*

(1) *Applicants for an award shall apply for program eligibility at such times, on forms and in a manner prescribed by the corporation. The corporation may require applicants to provide additional documentation evidencing eligibility.*

(2) *Recipients of an award shall:*

(i) request payment annually at such times, on forms and in a manner specified by the corporation;

(ii) receive such awards for not more than four academic years of undergraduate study, or five academic years if the program of study normally requires five years as defined by the commissioner pursuant to Article 13 of the education law; and

(iii) provide any information necessary for the corporation to determine compliance with the program's requirements.

(e) Awards.

(1) The amount of the award shall be determined in accordance with section 669-g of the education law.

(2) Disbursements shall be made annually to institutions on behalf of recipients.

(3) Awards may be used to offset the recipient's total cost of attendance determined for federal Title IV student financial aid purposes or may be used in addition to such cost of attendance.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire August 7, 2019.

Text of rule and any required statements and analyses may be obtained from: Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

Statutory authority:

The New York State Higher Education Services Corporation's ("HESC") statutory authority to promulgate regulations and administer The New York State Achievement and Investment in Merit Scholarship (NY-AIMS), hereinafter referred to as "Program", is codified within Article 14 of the Education Law. In particular, Part Z of Chapter 56 of the Laws of 2015 created the Program by adding a new section 669-g to the Education Law. Subdivision 6 of section 669-g of the Education Law authorizes HESC to promulgate emergency regulations for the purpose of administering this Program.

Pursuant to Education Law § 652(2), HESC was established for the purpose of improving the post-secondary educational opportunities of eligible students through the centralized administration of New York State financial aid programs and coordinating the State's administrative effort in student financial aid programs with those of other levels of government.

In addition, Education Law § 653(9) empowers HESC's Board of Trustees to perform such other acts as may be necessary or appropriate to carry out the objects and purposes of the corporation including the promulgation of rules and regulations.

HESC's President is authorized, under Education Law § 655(4), to propose rules and regulations, subject to approval by the Board of Trustees, governing, among other things, the application for and the granting and administration of student aid and loan programs, the repayment of loans or the guarantee of loans made by HESC; and administrative functions in support of state student aid programs. Also, consistent with Education Law § 655(9), HESC's President is authorized to receive assistance from any Division, Department or Agency of the State in order to properly carry out his or her powers, duties and functions. Finally, Education Law § 655(12) provides HESC's President with the authority to perform such other acts as may be necessary or appropriate to carry out effectively the general objects and purposes of HESC.

Legislative objectives:

The Education Law was amended to add a new section 669-g to create The New York State Achievement and Investment in Merit Scholarship (NY-AIMS). The objective of this Program is to grant merit-based scholarship awards to New York State high school graduates who achieve academic excellence.

Needs and benefits:

The cost to attain a postsecondary degree has increased significantly over the years; alongside this growth, the financing of that degree has become increasingly challenging. According to a June 9, 2014 Presidential Memorandum issued by President Obama, over the past three decades, the average tuition at a public four-year college has more than tripled, while a typical family's income has increased only modestly. All federal student financial aid and a majority of state student financial aid programs are conditioned on economic need. Despite stagnant growth in household incomes, there continues to be far fewer academically-based financial aid programs, which are awarded to students regardless of assets or income. This has resulted in more limited financial aid options for those who are ineligible for need-based aid. Concurrently, greater numbers of students are relying on loans to pay for college. Today, 71 percent of those earning a bachelor's degree graduate with student loan debt averaging \$29,400.

Many of these students feel burdened by their college loan debt, especially as they seek to start a family, buy a home, launch a business, or save for retirement.

This Program cushions the disparate growth in the cost of a postsecondary education by providing New York State high school graduates who excel academically with merit-based scholarships to support their cost of attendance at any college or university located in the State for up to four years of undergraduate study (or five years if enrolled in a five-year program). Five thousand awards, of \$500 each, will be granted annually in 2015-16 and 2016-17.

Costs:

a. It is anticipated that there will be no new costs to the agency for the implementation of, or continuing compliance with this rule.

b. The maximum cost of the program to the State is \$2.5 million in the first year based upon budget estimates.

c. It is anticipated that there will be no costs to local governments for the implementation of, or continuing compliance with, this rule.

d. The source of the cost data in (b) above is derived from the New York State Division of the Budget.

Local government mandates:

No program, service, duty or responsibility will be imposed by this rule upon any county, city, town, village, school district, fire district or other special district.

Paperwork:

This proposal will require applicants to file an electronic application for eligibility and payment together with supporting documentation.

Duplication:

No relevant rules or other relevant requirements duplicating, overlapping, or conflicting with this rule were identified.

Alternatives:

The proposed regulation is the result of HESC's outreach efforts to financial aid professionals with regard to this Program. Several alternatives were considered in the drafting of this regulation. For example, several alternatives were considered in defining terms used in the regulation as well as the administration of the Program. Given the statutory language as set forth in section 669-g of the Education Law, a "no action" alternative was not an option.

Federal standards:

This proposal does not exceed any minimum standards of the Federal Government and efforts were made to align it with similar federal subject areas as evidenced by the adoption of the federal definitions/methodology concerning unmet need, expected family contribution, and cost of attendance.

Compliance schedule:

The agency will be able to comply with the regulation immediately upon its adoption.

Regulatory Flexibility Analysis

This statement is being submitted pursuant to subdivision (3) of section 202-b of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's ("HESC") Notice of Emergency Adoption and Proposed Rule Making, seeking to add a new section 2201.16 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse economic impact on small businesses or local governments. HESC finds that this rule will not impose any compliance requirement or adverse economic impact on small businesses or local governments. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that provides merit-based scholarships to students who pursue their undergraduate degree at any college or university located in New York State. Providing students with direct financial assistance will encourage them to attend college in New York State, which will provide an economic benefit to the State's small businesses and local governments as well.

Rural Area Flexibility Analysis

This statement is being submitted pursuant to subdivision (4) of section 202-bb of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Notice of Emergency Adoption and Proposed Rule Making, seeking to add a new section 2201.16 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse impact on rural areas. Rather, it has potential positive impacts inasmuch as it implements a statutory student financial aid program that provides merit-based scholarships to students who pursue their undergraduate degree at any college or university located in New York State. Providing students with direct financial assistance will encourage them to attend college in New York State, which benefits rural areas around the State as well.

This agency finds that this rule will not impose any reporting, record keeping or other compliance requirements on public or private entities in rural areas.

Job Impact Statement

This statement is being submitted pursuant to subdivision (2) of section 201-a of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Notice of Emergency Adoption and Proposed Rule Making seeking to add a new section 2201.16 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not have any negative impact on jobs or employment opportunities. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that provides merit-based scholarships to students who pursue their undergraduate degree at any college or university located in New York State. Providing students with direct financial assistance will encourage them to attend college in New York State and possibly seek employment opportunities in the State as well, which will benefit the State.

Office for People with Developmental Disabilities

NOTICE OF ADOPTION

Home and Community Based Services

I.D. No. PDD-11-19-00001-A

Filing No. 502

Filing Date: 2019-05-14

Effective Date: 2019-05-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Subpart 636-2; amendment of section 633.4 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.07, 13.09(b) and 16

Subject: Home and Community Based Services.

Purpose: Establishes a regulatory framework for delivery and support of HCBS in a way that encourages and supports the service recipient.

Text or summary was published in the March 13, 2019 issue of the Register, I.D. No. PDD-11-19-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Office of Counsel, Bureau of Policy and Regulatory Affairs, Office For People With Developmental Disabilities, 44 Holland Ave., 3rd Floor, Albany, NY 12229, (518) 474-7700, email: rau.unit@opwdd.ny.gov

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment and an E.I.S. is not needed.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2021, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Person Centered Planning: Care Management and Home and Community Based Services

I.D. No. PDD-22-19-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Subpart 636-1 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.07, 13.09(b), 13.15(a) and 16.00

Subject: Person Centered Planning: Care Management and Home and Community Based Services.

Purpose: To ensure consistency with person-centered planning regulations.

Text of proposed rule: Subdivision 636-1.1(a) is amended as follows:

(a) This Subpart applies to:

(1) [OPWDD funded Home and Community Based Services (HCBS) Medicaid services; and] *Medicaid Home and Community Based Services (HCBS) that are operated, certified, funded, or subject to oversight by OPWDD;* and

(2) [OPWDD funded service coordination services,] *Care Management, by whatever name known, [(e.g., Medicaid Service Coordination),] that is subject to oversight by OPWDD and provided to individuals who receive [OPWDD funded HCBS Medicaid services.] HCBS that are operated, certified, funded, or subject to oversight by OPWDD.*

Subdivision 636-1.2(b) is amended as follows:

(b) A person-centered planning process is required for developing the person-centered service plan (see section 636-1.3 of this subpart), including the HCBS Waiver service [habilitation] plan, with the individual and parties chosen by the individual. The person-centered planning process involves:

Subdivision 636-1.3(a) is amended as follows:

(a) The person-centered service plan is created using the planning process described in section 636-1.2 of this subpart. The person-centered service plan may also be known as the individualized service plan (ISP, see definition in section 635-99.1 of this Title) *or Life Plan.*

Subdivision 636-1.3(b) is amended as follows:

(b) The individual's [service coordinator] *Care Manager* must develop a person-centered service plan with the individual. The plan must include and document the following:

Paragraph 636-1.3(b)(7) is amended as follows:

(7) if an individual resides in a certified residential setting, document that the residence was chosen by the individual, and document the alternative residential settings considered by the individual, including alternative residential settings that are available to individuals without disabilities (Note: The setting chosen by the individual is integrated in, and supports full access of individuals receiving services to the [greater] *broader* community, including opportunities to seek employment and work in competitive integrated settings, engage in community life, control personal resources, and receive services in the community [having] *with* the same degree of access [to] *as the broader* community [as individuals not receiving services]. The individual may choose service and support options that are available to individuals without disabilities for his or her residence and other areas of his or her life);

Subdivision 636-1.3(c) is amended as follows:

(c) The [service coordinator] *Care Manager* must develop the person-centered service plan in a way that is understandable to the individual and parties chosen by the individual. At a minimum, for the written plan to be understandable, it must be written in plain language and in a manner that is accessible to the individual, to the extent possible, and parties chosen by the individual.

Subdivision 636-1.3(e) is amended as follows:

(e) The [service coordinator] *Care Manager* must distribute the person-centered service plan to the individual and parties involved in the implementation of the plan.

Subdivision 636-1.3(f) is amended as follows:

(f) The individual, parties chosen by the individual, the service provider, and [service coordinator] *Care Manager* must review the person-centered service plan described in subdivision (b) of this section and [subdivisions 636-1.4(c) and (d)] *paragraphs 636-1.4(a)(3) and (4)* of this subpart, and the [service coordinator] *Care Manager* must revise such plan if necessary, as follows:

Subdivision 636-1.4(a) is amended as follows:

(a) *Effective before October 1, 2021:*

(1) This section only applies to HCBS Medicaid Waiver services in settings certified by OPWDD. (Note: See section 633.16 of this Title for documentation requirements concerning person-centered behavioral intervention and section 633.4 of this Title for documentation requirements concerning modifications of rights of individuals receiving services that are not duplicated in subparagraphs [(b)(1)-(4)] *636-1.4(a)(2)(i)-(iv)* of this section.)

Existing subdivisions 636-1.4(b) through 636-1.4(d) are renumbered to be paragraphs 636-1.4(a)(2) through 636-1.4(a)(4).

Renumbered paragraph 636-1.4(a)(2) is amended as follows:

(2) Modifications to the rights identified in [paragraphs (1)-(4)] *sub-paragraphs (i)-(iv)* of this [subdivision] *paragraph* of an individual receiving services in a setting described in [subdivision (a)] *paragraph (1)* of

this [section] *subdivision* must be supported by a specific assessed need and justified in the individual's person-centered service plan or other planning/service related document(s):

(i) Each individual's residence is a specific physical place that can be owned, rented, or occupied under a legally enforceable agreement by the individual receiving services, and the individual has, at a minimum, the same responsibilities and protections from eviction that tenants have under [the] applicable landlord/tenant law. For a residence [to] in which landlord/tenant laws do not apply, there must be a lease, residency agreement, or other form of written agreement for each individual that provides for eviction processes and appeals comparable to those provided under [the] applicable landlord/tenant law.

(ii) Each individual [has] *must have* privacy in his or her [sleeping or living unit] *residence and bedroom to the extent applicable.*

(a) [Units] *Residences and bedrooms within residences must have entrance doors lockable by the individual, with only appropriate [staff] parties having keys/access to doors as needed.*

(b) The individual sharing a [unit has] *residence or bedroom must have a choice of roommates in that setting.*

(c) The individual [has] *must have* freedom to furnish and decorate his or her [sleeping or living unit] *residence and bedroom* within the lease or other agreement.

(iii) Each individual [has the] *must have* freedom and support to control his or her own schedules and activities, and [has] *must have* access to food at any time.

(iv) Each individual [is] *must be* able to have visitors of his or her choosing at any time.

Renumbered paragraph 636-1.4(a)(3) is amended as follows:

(3) The [service coordinator] *Care Manager* must ensure documentation of the following in the individual's person-centered service plan or other planning/service related document(s):

Existing paragraphs 636-1.4(c)(1) through 636-1.4(c)(8) are renumbered to be subparagraphs 636-1.4(a)(3)(i) through 636-1.4(a)(3)(viii).

Renumbered paragraph 636-1.4(a)(4) is amended as follows:

(4) In the event that a rights modification affects another individual receiving services in the setting who does not require a rights modification, the [service coordinator] *Care Manager* must ensure documentation of the following in such individual's person-centered service plan or other planning/service related document(s):

Existing paragraphs 636-1.4(d)(1) through 636-1.4(d)(3) are renumbered to be subparagraphs 636-1.4(a)(4)(i) through 636-1.4(a)(4)(iii).

A new subdivision 636-1.4(b) is added as follows:

(b) *Effective beginning October 1, 2021:*

(1) *This section applies to HCBS Medicaid Waiver services in settings operated or certified by OPWDD and in other provider owned or controlled residential and non-residential settings.*

(Note: Providers subject to section 633.16 of this Title must consult that section for additional documentation requirements concerning person-centered behavioral intervention. Providers subject to section 633.4 of this Title must consult that section for documentation requirements concerning modifications of rights of individuals receiving services that are not duplicated in subparagraphs 636-1.4(b)(2)(i)-(iv) of this section.)

(2) *Modifications to the rights identified in subparagraphs (i)-(iv) of this paragraph of an individual receiving services in a setting described in paragraph (1) of this subdivision must be supported by a specific assessed need and justified in the individual's person-centered service plan or other planning/service related document(s):*

(i) *The individual's residence is a specific physical place that can be owned, rented, or occupied under a legally enforceable agreement by the individual receiving services, and the individual has, at a minimum, the same responsibilities and protections from eviction that tenants have under applicable landlord/tenant law. For a residence in which landlord/tenant laws do not apply, there must be a lease, residency agreement, or other form of written agreement for the individual that provides for eviction processes and appeals comparable to those provided under applicable/landlord tenant law.*

(ii) *The individual must have privacy in his or her residence and bedroom to the extent applicable.*

(a) *Residences and bedrooms within residences must have entrance doors lockable by the individual, with only appropriate parties having keys/access to doors as needed.*

(b) *The individual sharing a residence or bedroom must have a choice of roommates in that setting.*

(c) *The individual must have freedom to furnish and decorate his or her residence and bedroom within the lease or other agreement.*

(iii) *The individual must have freedom and support to control his or her own schedules and activities, and must have access to food at any time consistent with the same or similar settings for the broader community.*

(iv) *The individual must be able to have visitors of his or her choos-*

ing at any time consistent with the same or similar settings for the broader community.

(3) *The Care Manager must ensure there is documentation of the following in the individual's person-centered service plan or other service/planning related document(s):*

(i) *a specific and individualized assessed need underlying the reason for the modification;*

(ii) *the positive interventions and supports used prior to any modifications;*

(iii) *less intrusive methods of meeting the need that were tried but did not work;*

(iv) *a clear description of the condition that is directly proportionate to the specific assessed need;*

(v) *a regular collection and review of data to measure the ongoing effectiveness of the modification;*

(vi) *established time limits for periodic reviews to determine if the modification is still necessary or can be terminated;*

(vii) *an assurance that interventions and supports will cause no harm to the individual; and*

(viii) *the informed consent of the individual and/or the party chosen by the individual to assist the individual in decision-making, except to the extent that decision-making authority is conferred on another by state law.*

(4) *In the event that a rights modification affects another individual receiving services in the setting who does not require a rights modification, the Care Manager must ensure there is documentation of the following in the individual's person-centered service plan or other planning/service related document(s):*

(i) *the impact that the rights modification has on the individual;*

(ii) *the efforts taken to lessen the impact on the individual; and*

(iii) *the informed consent of the individual and/or the party chosen by the individual to assist the individual in decision-making, except to the extent that decision-making authority is conferred on another by state law.*

Subdivision 636-1.5(a) is amended as follows:

(a) The [service coordinator] *Care Manager* must give notice of the individual's right to a person-centered planning process in accordance with section 636-1.2 of this [S]subpart and to a person-centered plan in accordance with section 636-1.3 of this [S]subpart, and of the right to object to services pursuant to section 633.12 of this Title, to the individual and the person upon whom decision-making authority is conferred by state law (see [section] *paragraph* 636-1.2[a][1] of this subpart), if any, *prior to the initiation of the person-centered planning process and development of the plan.* [in the following manner:

(1) for individuals who do not have an ISP in place on November 1, 2015, the service coordinator must give written notice prior to the initiation of the person-centered planning process and development of the plan; or

(2) for individuals who have an ISP in place on November 1, 2015, the service coordinator must give written notice at the time of the individual's next ISP review.]

Text of proposed rule and any required statements and analyses may be obtained from: Office of Counsel, Bureau of Policy and Regulatory Affairs, Office for People With Developmental Disabilities, 44 Holland Avenue, 3rd Floor, Albany, NY 12229, (518) 474-7700, email: rau.unit@opwdd.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment and an E.I.S. is not needed.

Regulatory Impact Statement

1. Statutory authority:

a. The Office for People With Developmental Disabilities (OPWDD) has the statutory responsibility to provide and encourage the provision of appropriate programs, supports, and services in the areas of care, treatment, habilitation, rehabilitation, and other education and training of persons with intellectual and developmental disabilities, as stated in the New York State (NYS) Mental Hygiene Law (MHL) Section 13.07.

b. OPWDD has the authority to adopt rules and regulations necessary and proper to implement any matter under its jurisdiction as stated in the NYS MHL Section 13.09(b).

c. OPWDD has the statutory authority to adopt regulations concerned with the operation of programs and the provision of services, as stated in the NYS MHL Section 16.00. The regulation also ensures compliance by OPWDD certified and operated residences with the proper provision of services.

2. Legislative objectives: The proposed regulations further legislative

objectives embodied in MHL sections 13.07, 13.09(b), and 16.00. The regulations amend Title 14 New York Codes Rules and Regulations (NYCRR) subpart 636-1 relating to Person Centered Planning.

3. Needs and benefits: The proposed regulations amend Title 14 NYCRR Subpart 636-1 to make technical changes regarding person centered planning of home and community-based services (HCBS) and expand applicability of those rights to individuals receiving services in non-residential settings where HCBS services are delivered. These amended regulations will conform to the Federal Home and Community Based Settings rule (42 CFR 441.301) and further comply with federal requirements for state regulatory adoption prior to the March 2022 enforcement date.

4. Costs:

a. Costs to the Agency and to the State and its local governments:

There is no anticipated impact on Medicaid expenditures as a result of the proposed regulations. The regulations will ensure HCBS service recipients have services planned according to their individual needs.

These regulations will not have any fiscal impact on local governments, as the contribution of local governments to Medicaid has been capped. Chapter 58 of the Laws of 2005 places a cap on the local share of Medicaid costs and local governments are already paying for Medicaid at the capped level.

There are no anticipated costs to OPWDD in its role as a provider of services to comply with the new requirements. The proposed regulations may result in increased Federal reimbursement to providers that are in compliance with the federal rules and provide these services to an individual.

b. Costs to private regulated parties:

There are no anticipated costs to regulated providers to comply with the proposed regulations. The amendments/additions merely allow services to be delivered in a way that does not isolate service recipients from the broader community and extends those services to individuals in non-residential settings, to comply with Federal rules.

5. Local government mandates: There are no new requirements imposed by the rule on any county, city, town, village; or school, fire, or other special district.

6. Paperwork: Providers will not experience an increase in paperwork as a result of the proposed regulations.

7. Duplication: The proposed regulations do not duplicate any existing State or Federal requirements on this topic.

8. Alternatives: OPWDD did not consider any other alternatives to the proposed regulations. The regulations are necessary to comply with Federal rules.

9. Federal standards: The proposed amendments do not exceed any minimum standards of the federal government for the same or similar subject areas.

10. Compliance schedule: OPWDD plans to adopt the regulations as final upon adoption. The effective date for enforcement of the regulation will vary. The text of the regulation specifies which pieces are effective upon adoption and which regulations would be effective for enforcement on October 1, 2021, prior to the federal enforcement date of March 2022. The proposed regulations were discussed with and reviewed by representatives of providers in advance of this proposal. OPWDD expects that providers will be in compliance with the proposed requirements at the time of their effective date(s).

Regulatory Flexibility Analysis

A regulatory flexibility analysis for small businesses and local governments is not submitted because the proposed regulation will not impose any adverse economic impact or reporting, record keeping or other compliance requirements on small businesses. There are no professional services, capital, or other compliance costs imposed on small businesses as a result of these amendments.

The proposed regulation makes technical changes to comport with the HCBS settings rule (42 CFR 441.301) and terminology changes due to the creation of Care Coordination Organizations. It further serves to expand the rights of individuals receiving services to be applicable in non-residential settings where HCBS services are provided. The regulation proposed will not result in costs or new compliance requirements for regulated parties due to the fact that these requirements coincide with existing federal requirements. The regulation will not have any adverse effects on providers of small business and local governments.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis for these amendments is not being submitted because the regulation will not impose any adverse impact or significant reporting, record keeping or other compliance requirements on public or private entities in rural areas. There are no professional services, capital, or other compliance costs imposed on public or private entities in rural areas as a result of the proposed regulation.

The proposed regulation is amending Title 14 NYCRR Subpart 636-1

to make technical changes to the language (Care Manager, rather than service coordinator), in order to develop a person-centered service plan with the individual receiving services through the Home and Community Based Services (HCBS) that are operated, certified, funded, or subject to review by OPWDD. In addition, to comport with federal regulation 42 CFR 441.301 effective October 1, 2021, the rights of individuals receiving HCBS services will be expanded to apply in non-residential settings where HCBS is delivered. The regulation will not result in an adverse impact on rural communities because the regulation only proposes technical terminology changes and changes to those providing HCBS services. The proposed regulation will not result in costs for regulated parties. These requirements coincide with existing federal requirements thus, no new compliance is required by the state. Therefore, the amendments will not have any adverse effects on providers in rural areas and local governments.

Job Impact Statement

A Job Impact Statement for the proposed regulation is not being submitted because it is apparent from the nature and purpose of the regulation that they will not have a substantial adverse impact on jobs and/or employment opportunities.

The proposed amendments to Title 14 NYCRR Subpart 636-1 make technical changes to the language in order to comport with federal regulation, 42 CFR 441.301, and terminology used in other parts of Title 14. The changes include requiring a Care Manager, rather than a service coordinator, to develop a person-centered service plan with the individual receiving HCBS services. In addition, effective October 1, 2021, the rights of individuals receiving HCBS waiver services will apply to non-residential settings where HCBS is delivered. The regulation will not result in new compliance requirements for providers, only consistency with those already required by federal law. Additionally, the scope of the regulation is limited to HCBS settings. The regulation will not have a substantial impact on jobs or employment opportunities in New York State.

Public Service Commission

PROPOSED RULE MAKING HEARING(S) SCHEDULED

Proposed Major Increase in KEDNY's Gas Delivery Revenues by \$236.8 Million (13.6% Increase in Total Revenues)

I.D. No. PSC-22-19-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by The Brooklyn Union Gas Company d/b/a National Grid NY (KEDNY) to make changes in rates, charges, rules and regulations as contained in Tariff Schedules P.S.C. No. 12 — Gas.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Proposed major increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).

Purpose: To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Public hearing(s) will be held at: 10:00 a.m., January 21, 2020 at Department of Public Service, Three Empire State Plaza, Albany, NY. (Evidentiary Hearing)*

*On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, public notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 19-G-0309.

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Substance of proposed rule: The Commission is considering a proposal, filed on April 30, 2019 by The Brooklyn Union Gas Company d/b/a National Grid NY (KEDNY), to increase its gas delivery revenues for the rate year ending March 31, 2021 as contained in P.S.C. No. 12 — Gas.

KEDNY is requesting an increase of approximately \$236.8 (a 19% increase, or a 13.6% increase in total revenues) in gas delivery revenues.

KEDNY anticipates total average monthly bills to increase by approximately \$16.66 (17.78% on the delivery portion of the bill or 11.99% on the total bill) for an average residential heating customer using 100 therms per month. The major cost drivers of this rate filing include increasing property taxes, environmental remediation costs, and other operating costs associated with working in an urban environment. The initial suspension period for the proposed filing runs through March 28, 2020.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify in whole or in part, the action proposed and may also resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-G-0309SP1)

**PROPOSED RULE MAKING
HEARING(S) SCHEDULED**

Proposed Major Rate Increase in KEDLI's Gas Delivery Revenues by \$49.4 Million (4.1% Increase in Total Revenues)

I.D. No. PSC-22-19-00014-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by KeySpan Gas East Corporation d/b/a National Grid (KEDLI) to make changes in rates, charges, rules and regulations as contained in Tariff Schedule P.S.C. No. 1 — Gas.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Proposed major rate increase in KEDLI's gas delivery revenues by \$49.4 million (4.1% increase in total revenues).

Purpose: To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Public hearing(s) will be held at: 10:00 a.m., January 21, 2020 at Department of Public Service, 3 Empire State Plaza, Albany, NY. (Evidentiary Hearing)*

*On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, public notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 19-G-0310.

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Substance of proposed rule: The Commission is considering a proposal, filed on April 30, 2019 by KeySpan Gas East Corporation d/b/a National Grid (KEDLI), to increase its gas delivery revenues for the rate year ending March 31, 2021 as contained in P.S.C. No. 1 — Gas.

KEDLI is requesting an increase of approximately \$49.4 (a 6% increase in delivery revenues or a 4.1% increase in total revenues). KEDLI anticipates total average monthly bills to increase by approximately \$7.14 (6.92% on the delivery portion of the bill or 5.15% on the total bill) for the average residential heating customer using 100 therms per month. The major cost drivers of this rate filing include increasing property taxes, environmental remediation costs, and other operating costs. The initial suspension period for the proposed filing runs through March 28, 2020.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify in whole or in part, the action proposed and may also resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-G-0310SP1)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Notice of Intent to Submeter Electricity

I.D. No. PSC-22-19-00012-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of VBG 990 AOA LLC to submeter electricity at 70 West 37th Street, New York, New York.

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity.

Purpose: To ensure adequate submetering equipment and consumer protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent filed by VBG 900 AOA LLC, on April 25, 2019, to submeter electricity at 70 West 37th Street, New York, New York, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

By stating its intent to submeter electricity, VBG 990 AOA LLC requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its residents. Submetering of electricity to residential residents is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the notice of intent and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-E-0300SP1)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Notice of Intent to Submeter Electricity

I.D. No. PSC-22-19-00013-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of 22nd and 11th Associates, L.L.C. to submeter electricity at 555 West 22nd Street, New York, New York.

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity.

Purpose: To ensure adequate submetering equipment and consumer protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent filed by 22nd and 11th Associates, L.L.C. on April 26, 2019, requesting authorization to submeter electricity at 555 West 22nd Street, New York, New York, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

In the notice of intent, 22nd and 11th Associates L.L.C requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its residents. Submetering of electricity to residential residents is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-E-0303SP1)

Workers' Compensation Board

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Group Self-Insured Trusts that are Inactive but not Insolvent

I.D. No. WCB-22-19-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 317.20 of Title 12 NYCRR.

Statutory authority: Workers' Compensation Law, sections 117, 50(3-a); Public Authorities Law, section 1680-q

Subject: Group self-insured trusts that are inactive but not insolvent.

Purpose: Provide assistance with inactive but not insolvent group self-insured trusts to purchase ALPs to wind down liabilities.

Text of proposed rule: Section 317.20 of Title 12 NYCRR is hereby amended to read as follows:

Section 317.20. Insolvent; assessments; termination and dissolution of the group

(a) [Definition.] Insolvent, in the context of a determination by the Chair, or his or her designee, to levy an assessment pursuant to the provisions of Workers' Compensation Law section 50(5)(g), shall mean the inability of a private group self-insurer, to pay its outstanding lawful obligations under the Workers' Compensation Law as they mature in the regular course of business, as may be shown by:

(1) the self-insurer being under-funded as defined in Workers' Compensation Law, section 50 (3-a); and

(2) the sum of the self-insurer's assets, as defined by section 317.2(n) of this Part, plus the available security deposit held by the Chair pursuant to Workers' Compensation Law, section 50(3-a) and section 317.5 of this Part, being less than the total cost of all of the self-insurers anticipated

workers' compensation liabilities, as defined by section 317.2(o) of this Part, that will accrue within the succeeding six months.

(b) The Chair shall levy an assessment against all private group self-insurers, pursuant to Workers' Compensation Law, section 50(5)(g), whenever he or she, or his or her designee, determines that workers' compensation benefits may be unpaid by reason of the default of an insolvent private group self-insurer as defined in subdivision (a) of this section.

(c) [Termination and dissolution of the group.] The group shall continue for such time as may be necessary to accomplish the purpose for which it was created, and so long as all requirements to maintain authorization as set forth in this Part continue to be met. Upon termination of the group's status as a group self-insurer, the group will continue to administer the workers' compensation liabilities incurred by the group. *Such a group shall be designated terminated.*

(1) *In the event a terminated group is deemed underfunded by the Chair, the group remains subject to provisions of this Part relative to underfunded groups. In connection therewith the group may be required to levy an assessment upon the group members as part of an overall plan of dissolution designed to extinguish all of the group's accrued liabilities. Such plan should contemplate the execution of an assumption of workers' compensation liability insurance policy securing the group's contingent and future liability arising out of prior workers' compensation claims.*

(2) *As part of a plan of dissolution a terminated group may apply to the Chair for financial assistance in meeting any unfunded claims obligations as defined in Workers' Compensation Law section 50(3-a). The unfunded claims liabilities set forth in such plan shall be quantified based upon the quoted price for an assumption of liability policy issued by an insurance carrier authorized to execute same. In no event shall the Chair be required to provide any group qualifying under this section more than forty percent of the cost of an assumption of workers' compensation liability policy premium nor more than fifty million dollars regardless of the percentage of the assumption of workers' compensation liability policy premium. Subject to this maximum threshold the Chair, in his or her discretion, may supply funding to the group in the amount of such unfunded claims obligations provided the following criteria have been met by the group:*

(i) *The group has submitted a dissolution plan setting forth the manner in which the group shall wind down all of its remaining obligations and operations including, but not limited to, the execution of an assumption of workers' compensation liability insurance policy, the issuance of releases of joint and several liability and/or the return of funds to the employer members of the group who supply the agreements set forth in paragraph three below. Said plan shall include the retainer of independent legal counsel for such purpose and shall, to the extent that the Board requires additional funds to fully recoup any financial assistance provided hereunder, provide for the remittance of funds from the group to the Board, from the members of the group that do not supply the agreements referenced in paragraph three below. The Chair shall review said plan for reasonableness and approve said plan where appropriate; and*

(ii) *The group has levied an assessment on all of the group's members in an amount sufficient to discharge the full value of the group's unfunded claims liability and all other remaining liabilities of the group.*

(3) *In the event that a terminated group meets the terms and conditions enumerated in paragraph two above, the group shall provide, in a form acceptable to the Chair, signed and notarized repayment agreements and confessions of judgment in favor of the workers' compensation board from the former members of the group in the aggregate amount of the funds sought by the group. In connection therewith, if deemed necessary by the Chair, the group shall reconcile the previously levied assessment to account for any members of the group that have been deemed unable to contribute to the group's liabilities and/or otherwise participate in the plan of dissolution.*

(4) *Upon the receipt and approval of agreements referenced above, the Chair shall use reasonable efforts to facilitate the group's execution of an assumption of liability policy, including, where appropriate, releasing the security held by the Chair on behalf of the group in furtherance of the execution of the assumption of liability policy and providing the funding referenced in paragraph two above to the assumption of liability policy carrier. In connection therewith, the group shall remain liable to provide all additional funding for the execution of the assumption of liability policy including but not limited to all required premium surcharges as set forth in Workers' Compensation Law section 50(3-a)(7)(a). In the event that the assumption of liability policy is ultimately disapproved by the superintendent of the department of financial services or is not executed for any other reason, the Chair shall be under no obligation to provide funding assistance to the terminated group and shall retain the security held by the Chair on behalf of the group.*

(d) *Upon failure on the part of the group to properly administer such liabilities, the Chair shall assume the administration and final distribution of the group's assets and liabilities.*

Text of proposed rule and any required statements and analyses may be obtained from: Heather MacMaster, NYS Workers' Compensation Board, Office of General Counsel, 328 State Street, Schenectady, NY 12305, (518) 486-9564, email: regulations@wcb.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory Authority

The statutory authority for this rule comes from § 50(3-a) of the Workers' Compensation Law (WCL), and § 1680-q of the Public Authorities Law.

2. Legislative Objectives

Group self-insured trusts were designed as a way for smaller companies to be able to receive the benefit of self-insuring for workers' compensation insurance. However, mismanagement by the groups key agents and excessive premium discounting led to a crisis of group self-insured trust insolvencies in 2008. This led to amendments to the law and regulations to manage the significant liabilities of the insolvent groups – at one point estimated at approximately one billion dollars.

The Board has assumed administration of 25 insolvent groups, most of which have entered into long term repayment plans with the Board. However, there are 27 “inactive but not insolvent” groups currently managing their own liabilities, most of which are attempting to wind down operations. The proposed regulation allows the Board to assist in funding a portion of an assumption of loss policy (“ALP”) consistent with section 1680-q of the Public Authorities Law and section 50(3-a)(2)(b) of the WCL. An ALP quantifies a group's liabilities with certainty, allowing the exact cost to be known.

3. Needs and Benefits

The proposed regulation would permit small and mid-sized businesses that are jointly and severally liable for their obligations to meet these obligations themselves and avoid becoming insolvent and shifting those obligations to unrelated self-insurers and/or the State.

The proposed rule assists these groups in procuring sufficient funds to purchase an ALP in order to wind down their liabilities. The ALP assures that claimants receive benefits they are entitled to without the risk of the groups becoming insolvent and unable to pay – thereby requiring the State to manage the liabilities of these groups.

These amendments represent a step toward finally resolving the crisis that began in 2008 and a way for these inactive but not insolvent groups to manage their liabilities and avoid the liabilities becoming the responsibility of other unrelated self-insurers and/or the State as a whole.

4. Costs

Because the Workers' Compensation Board will be facilitating the purchase of an ALP for these groups, there is an upfront cost to the Board. However, the proposal also includes provisions for repayment agreements, as well as requiring confessions of judgment for the amount of any financial assistance supplied by the Board. In the event of an insolvency, the administration of these liabilities would have become the obligation of the State in any event, but without the benefit of the financial security provided by repayment agreements and confessions of judgment.

The proposal places limits on the costs of ALPs – the Chair is not required to provide more than 40% of the cost of an ALP or more than 50 million dollars regardless of the percentage.

If an ALP is ultimately not executed, the Chair is not obligated to provide funding assistance to that group, and shall retain the security held by the Chair on behalf of that group.

5. Local Government Mandates

The proposed regulation does not impose any program, service, duty, or responsibility upon any county, city, town, village, school district, fire district, or other special district.

6. Paperwork

Groups meeting the qualifications under the proposal must submit a dissolution plan. The group must also provide signed and notarized repayment agreements and confessions of judgments in favor of the Board. The format of these agreements will be prescribed by the Board.

7. Duplication

There is no duplication of state or federal regulations or standards.

8. Alternatives

One alternative would be to not intervene and allow these inactive but not insolvent groups to continue administering their own claims. However, this would mean that unrelated self-insurers and/or the State as a whole would, in all likelihood, have to assume liability for these claims when these groups became insolvent. This would probably increase frictional costs and would involve much uncertainty.

The Board believes that this proposal provides certainty and the best way to allow these groups to meet their liabilities without imposing additional costs on the State or unrelated self-insurers, and ending the crisis of insolvent self-insured trust groups.

9. Federal Standards

There are no applicable federal standards or regulations related to the proposed amendments.

10. Compliance Schedule

The process will be based on underfunded groups applying to the Chair, and the funding will be provided by the Chair only once the application process is complete and the criteria in the proposal have been met.

The Board already has the authority from the NYS Dormitory Authority to obtain bond proceeds that can be used to sell the liabilities on a group by group basis to a carrier via an ALP.

Regulatory Flexibility Analysis

1. Effect of rule

There are 27 “inactive but not insolvent” groups currently managing their own liabilities, most of which are attempting to wind down operations. The proposed regulation allows the Board to assist in funding a portion of an assumption of loss policy (“ALP”) consistent with section 1680-q of the Public Authorities Law and section 50(3-a)(2)(b) of the WCL.

It is expected that some or all of these groups (which are made up of small to mid-sized businesses unable to self-insure on their own) will be affected positively by the proposal – both in purchasing the ALP to assist in winding down their liabilities, and avoiding other unrelated self-insurers from being required to provide funding for any defaults that could occur without the purchase of an ALP.

2. Compliance requirements

Groups meeting the qualifications under the proposal must submit a dissolution plan. The group must also provide signed and notarized repayment agreements and confessions of judgments in favor of the Board. The format of these agreements will be prescribed by the Board.

The process will be based on underfunded groups applying to the Chair, and the funding will be provided by the Chair only once the application process is complete and the criteria in the proposal have been met.

The Board already has the authority from the NYS Dormitory Authority to obtain bond proceeds that can be used to sell the liabilities on a group by group basis to a carrier via an ALP.

3. Professional services

It is not expected that small businesses or local governments will need to engage any professional services outside those they already maintain in order to comply with the proposal.

4. Compliance costs

The inactive but insolvent groups this proposal is intended to assist will be responsible for purchasing a portion of an ALP at the outset of the process, as well as signing and notarizing repayment agreements and confessions of judgments in favor of the Board in order to get an ALP.

Once the ALP has been purchased, the groups will be able to wind down their liabilities with safeguards to the unrelated self insurance community and the state, as well as injured workers.

5. Economic and technological feasibility

The proposal seeks to assist groups attempting to wind down their liabilities without becoming insolvent, but most do not have the funds to purchase an ALP without assistance. The proposed regulation makes it possible for these groups to purchase ALPs and avoid insolvency – making it economically feasible for them to wind down their liabilities and enter into a repayment plan with the Board.

6. Minimizing adverse impact

The proposal is designed to help end the crisis of group self-insured trust insolvency that began in 2008. The premium for an ALP must be paid in advance in a lump sum, even though the liabilities themselves will be paid out over many years.

The Chair is not required to provide any group qualifying under this section more than forty percent of the cost of an assumption of liability policy premium nor more than fifty million dollars regardless of the percentage of the assumption of liability policy premium.

The idea behind the proposal is to avoid insolvency for these groups and ensure that the injured workers receive the benefits that they are entitled to without unrelated self-insured employers or the State having to take on those liabilities.

7. Small business and local government participation

The Board will duly consider all public comments made by small businesses and local government stakeholders in response to the proposed rulemaking.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas

The proposal is not expected to have any impact on rural areas specifically. The impact is to the inactive but not insolvent groups that could qualify for assistance in purchasing an ALP in accordance with this proposal, without regard to whether or not the employers in this groups are rural.

2. Reporting, recordkeeping and other compliance requirements; and professional services

Groups meeting the qualifications under the proposal must submit a dissolution plan. The group must also provide signed and notarized repayment agreements and confessions of judgments in favor of the Board. The format of these agreements will be prescribed by the Board. There are no additional requirements for public and private entities in rural areas.

3. Costs

Because the Workers' Compensation Board will be facilitating the purchase of an ALP for these groups, there is an upfront cost to the Board. However, the proposal also includes provisions for repayment agreements, as well as requiring confessions of judgment for the amount of any financial assistance supplied by the Board. In the event of an insolvency, the administration of these liabilities would have become the obligation of the State in any event, but without the benefit of the financial security provided by repayment agreements and confessions of judgment.

The proposal places limits on the costs of ALPs – the Chair is not required to provide more than 40% of the cost of an ALP or more than 50 million dollars regardless of the percentage.

If an ALP is ultimately not executed, the Chair is not obligated to provide funding assistance to that group, and shall retain the security held by the Chair on behalf of that group.

There are not expected to be any costs specific to rural areas in connection with this proposal.

4. Minimizing adverse impact

The proposal is designed to help end the crisis of group self-insured trust insolvency that began in 2008. The premium for an ALP must be paid in advance in a lump sum, even though the liabilities themselves will be paid out over many years.

The Chair is not required to provide any group qualifying under this section more than forty percent of the cost of an assumption of liability policy premium nor more than fifty million dollars regardless of the percentage of the assumption of liability policy premium.

The idea behind the proposal is to avoid insolvency for these groups and ensure that the injured workers receive the benefits that they are entitled to without unrelated self-insured employers or the State having to take on those liabilities.

There is not expected to be any adverse impact specific to rural areas as a result of this proposal.

5. Rural area participation

The Board will duly consider all public comments made by rural area stakeholders in response to the proposed rulemaking.

Job Impact Statement

The proposed regulation will not have an adverse impact on jobs. The proposed amendments offer a way to prevent inactive but not insolvent groups from becoming insolvent while attempting to wind down their liabilities. The proposal provides a means for these groups to purchase an assumption of loss policy (ALP) to quantify their liabilities with certainty and provide finality with respect to the amounts owed – it is not expected to have any impact on jobs.

**HEARINGS SCHEDULED
FOR PROPOSED RULE MAKINGS**

Agency I.D. No.	Subject Matter	Location—Date—Time
Housing and Community Renewal, Division of		
HCR-21-19-00019-P	Low-income housing qualified allocation plan	Division of Housing and Community Renewal, 38-40 State St., 1st Fl., Albany, NY—July 22, 2019, 1:00 p.m. Division of Housing and Community Renewal, 25 Beaver St., Rm. 642, New York, NY—July 22, 2019, 1:00 p.m. Division of Housing and Community Renewal, 620 Erie Blvd. W, Suite 312, Syracuse, NY—July 22, 2019, 1:00 p.m. Division of Housing and Community Renewal, 535 Washington St., Suite 105, Buffalo, NY—July 22, 2019, 1:00 p.m.
Housing Finance Agency		
HFA-21-19-00020-P	Low-income housing qualified allocation plan	Division of Housing and Community Renewal, 38-40 State St., 1st Fl., Albany, NY—July 22, 2019, 1:00 p.m. Division of Housing and Community Renewal, 25 Beaver St., Rm. 642, New York, NY—July 22, 2019, 1:00 p.m. Division of Housing and Community Renewal, 620 Erie Blvd. W, Suite 312, Syracuse, NY—July 22, 2019, 1:00 p.m. Division of Housing and Community Renewal, 535 Washington St., Suite 105, Buffalo, NY—July 22, 2019, 1:00 p.m.
Liquor Authority, State		
LQR-02-19-00006-P	Delinquent (C.O.D.) list procedures	80 S. Swan St., First Fl. Meeting Rm., Albany, NY—May 29, 2019, 10:00 a.m.
Public Service Commission		
PSC-16-19-00005-P	Proposed major electric delivery revenue requirement increase of approximately \$485 million (or 4.6% in total revenues)	Yonkers Library-Grintin Will Branch, 1500 Central Park Ave., Yonkers, NY—June 25, 2019, 1:00 p.m. (Public Statement Hearing Schedule)* *On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notifications of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 19-E-0065.
PSC-16-19-00008-P	Proposed major rate increase in Con Edison’s gas delivery revenues of approximately \$210 million (or 9.1% in total revenues)	Yonkers Library-Grintin Will Branch, 1500 Central Park Ave., Yonkers, NY—June 25, 2019, 1:00 p.m. (Public Statement Hearing Schedule)* *On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notifications of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 19-G-0066.
PSC-22-19-00011-P	Proposed major increase in KEDNY’s gas delivery revenues by \$236.8 million (13.6% increase in total revenues)	Department of Public Service, Three Empire State Plaza, Albany, NY—Jan. 21, 2020, 10:00 a.m. (Evidentiary Hearing)* *On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, public notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case

PSC-22-19-00014-P Proposed major increase in KEDNY's gas delivery revenues by \$49.4 million (4.1% increase in total revenues)

19-G-0309.

Department of Public Service, Three Empire State Plaza, Albany, NY—Jan. 21, 2020, 10:00 a.m. (Evidentiary Hearing)*

*On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, public notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 19-G-0310.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	01	12	0001	P

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AGING, OFFICE FOR THE			
AGE-44-18-00005-P	10/31/19	Nutrition Program	The purpose of this rule is to update the regulations governing the Nutrition Program

AGRICULTURE AND MARKETS, DEPARTMENT OF			
AAM-10-19-00002-P	03/05/20	National Institute of Standards and Technology ("NIST") Handbook 44	To incorporate NIST Handbook 44 (2019 edition)
AAM-17-19-00001-P	04/23/20	Farm wineries, breweries, and distilleries; hops processors; and cideries	To update references to regulations and to exempt small hops processors from food processing licensing requirements
AAM-18-19-00001-P	04/30/20	Incorporation by reference of the 2017 edition of the Grade A Pasteurized Milk Ordinance ("PMO").	To require certain producers, processors and manufacturers of milk and milk products to comply with the 2017 edition of the PMO.
AAM-21-19-00002-EP	05/21/20	Control of the European Cherry Fruit Fly	To help control the spread of the European Cherry Fruit Fly (ECFF), which renders cherries unmarketable if they are infested.

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF			
ASA-21-18-00025-RP	05/23/19	Credentialing of Addictions Professionals	Repeal obsolete rules; update process of credentialing addictions professionals
ASA-21-18-00026-P	05/23/19	Appeals, Hearings and Rulings	Protect patient confidentiality, update due process provisions, technical amendments.

CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-51-18-00010-P	12/19/19	Residential and non-residential services to victims of domestic violence	To conform the existing regulations to comply with state and federal laws regarding services to victims of domestic violence
CFS-19-19-00006-EP	05/07/20	Procedures for addressing children absent without consent from foster care, conditional releases and searches	To put into place procedures for children absent without consent from foster care, conditional releases and searches

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-19-19-00009-EP exempt	Child Care Market Rates	To adjust child care market rates in accordance with the results of the most recent cost of care market survey
CFS-20-19-00001-EP 05/14/20	To eliminate requirement that victims of domestic violence apply for public assistance to pay for cost of shelter and services	To eliminate requirement that victims of domestic violence apply for public assistance to pay for cost of shelter and services
CIVIL SERVICE, DEPARTMENT OF			
CVS-21-18-00022-P 05/23/19	Jurisdictional Classification	To delete positions from and classify positions in the exempt class and to delete a position from the non-competitive class
CVS-33-18-00007-P 08/15/19	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-06-19-00001-P 02/06/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-19-00001-P 03/26/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-19-00002-P 03/26/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-19-00003-P 03/26/20	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-13-19-00004-P 03/26/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-19-00005-P 03/26/20	Jurisdictional Classification	To add a subheading and to classify a position in the non-competitive class
CVS-14-19-00003-P 04/02/20	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2019
CVS-15-19-00001-P 04/09/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-15-19-00002-P 04/09/20	Jurisdictional Classification	To delete a position from and classify a position in the non-competitive class
CVS-15-19-00003-P 04/09/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-15-19-00004-P 04/09/20	Jurisdictional Classification	To delete positions from and classify positions in the exempt class and to delete a position from the non-competitive class
CVS-15-19-00005-P 04/09/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-15-19-00006-P 04/09/20	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-18-19-00007-P 04/30/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-19-00008-P 04/30/20	Jurisdictional Classification	To delete positions from the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CORRECTION, STATE COMMISSION OF			
CMC-05-19-00004-P	01/30/20	Inmate confinement and deprivation	Require local correctional facilities to record, review and report inmate cell confinement and essential service deprivation
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-05-19-00006-P	01/30/20	Standard Conditions of Release Parole Revocation Dispositions	Establish standard conditions of release and provide a workable structure for applying appropriate parole revocation penalties
CCS-21-19-00014-P	05/21/20	Adolescent Offender Facilities	To reclassify two existing correctional facilities to adolescent offender facilities.
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-20-19-00003-P	05/14/20	Certified Instructors and Course Directors	Establish/maintain effective procedures governing certified instructors and course directors who deliver MPTC-approved courses
CJS-21-19-00004-P	05/21/20	Changes the accrediting authority to the ANSI-ASQ National Accreditation Board.	To change the accrediting authority to the ANSI-ASQ National Accreditation Board.
EDUCATION DEPARTMENT			
EDU-40-18-00010-RP	10/03/19	Professional development plans and other related requirements for school districts and BOCES	To improve the quality of teaching and learning for teachers and leaders for professional growth
EDU-52-18-00005-P	12/26/19	Annual professional performance reviews.	To extend the transition period for an additional year (until 2019-2020).
EDU-05-19-00008-P	01/30/20	Protecting Personally Identifiable Information	To implement the provisions of Education Law section 2-d
EDU-05-19-00017-ERP	01/30/20	Assessments and Student Official Transcripts and Permanent Records	To continue the effectiveness of Part AA, Subpart B of Ch.56 L.2014 as amended by Section 35 of Part CCC of Ch. 56 L.2018
EDU-13-19-00009-EP	03/26/20	The Execution by Registered Professional Nurses of Non-Patient Specific Orders to Administer Immunizations	To conform the regulation to current immunization standards
EDU-17-19-00005-EP	04/23/20	Extension of the Educational Technology Specialist Content Specialty Test Safety Net	Safety net enables candidates to take either the revised Educational Technology Specialist CST or the predecessor CST
EDU-17-19-00006-P	04/23/20	Registration requirements for school counselor programs and certification requirements for school counselors	To amend requirements for registered school counselor programs and the certification requirements for school counselors
EDU-17-19-00007-P	04/23/20	Extension of the edTPA Safety Net for Candidates Who Receive a Failing Score on the Library Specialist edTPA	The safety net enables candidates to be held harmless during the handbook revision process
EDU-17-19-00008-P	04/23/20	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-21-19-00007-EP	05/21/20	The Certification of Manufacturers and Wholesalers for Export Purposes	To clarify who may issue free sale certificates to New York State registered manufacturers or wholesalers
EDU-21-19-00008-P	05/21/20	Continuing Teacher and Leader Education (CTLE) for Educators in nonpublic schools.	To make technical amendments to the CTLE regulations for educators employed in nonpublic schools.
EDU-21-19-00009-P	05/21/20	Creation of safety nets for the science Content Specialty Tests (CSTs)	Allow candidates to be held harmless during a one-year transition period from the predecessor CSTs to the revised CST's
EDU-21-19-00010-P	05/21/20	Conditional initial certificate requirements.	Provides that candidates may be eligible for an Initial certificate even after their conditional initial certificate expires.
EDU-21-19-00011-P	05/21/20	Educational Broadcast Councils and Radio Stations	Reduce costs incurred by each Council and Radio Station for redundant annual certified audits & to streamline reporting process
EDU-21-19-00012-P	05/21/20	Requirements for Transitional D Programs that Lead to School District Leader Certification	Certificate enables the candidates to work in a school dist. /BOCES as a school dist. leader while they complete their requireme
ELECTIONS, STATE BOARD OF			
SBE-14-19-00005-P	04/02/20	Pre-registering voters who are 16 and 17-years-old	To permit persons who are 16 or or 17-years-old to per-register to vote
SBE-14-19-00006-EP	04/02/20	Establishing a process for transferring a voter's registration and enrollment from one county to another	To establish a process where a voter who moves from one county to another can transfer their voter registration
SBE-22-19-00001-EP	05/28/20	Audit Status for Early Voting	Establishes Process for Auditing Early Voting Machines and Systems
SBE-22-19-00002-EP	05/28/20	Related to the Minimum Required Voting Machines and Privacy Booths needed for Early Voting Polling Sites	Establishes the Minimum Required Voting Machines and Privacy Booths needed for Early Voting Polling Sites
SBE-22-19-00003-EP	05/28/20	Process for Early Voting	Establishing Process for Early Voting
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
*ENV-12-18-00043-RP	06/07/19	BEACH Act Standards and Reclassification Rule	To comply with the federal BEACH Act of 2000 (P.L. 106-284) and protect coastal recreation waters for recreation
ENV-48-18-00002-P	11/28/19	Jonah crab management	To implement required management measures of the Atlantic States Marine Fisheries Commission Jonah Crab Fishery Management Plan
ENV-08-19-00004-P	02/20/20	Governor Mario M. Cuomo Bridge	Replacing references to the Governor Malcolm Wilson Tappan Zee Bridge with references to the Governor Mario M. Cuomo Bridge
ENV-09-19-00015-P	05/13/20	Set nitrogen oxide (NOx) emission rate limits for simple cycle and regenerative combustion turbines	Reduction of nitrogen oxide (NOx) emissions from simple cycle and regenerative combustion turbines

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-10-19-00003-P	05/13/20	Regulate volatile organic compounds (VOCs) in architectural and industrial maintenance (AIM) coatings	To set new and lower VOC limits for certain coating categories. Update categories and methods
ENV-12-19-00002-P	05/23/20	Air emissions regulation of cleaning solutions containing volatile organic compounds.	Update existing regulation with latest emission control requirements and add requirements recently issued by EPA.
ENV-12-19-00003-P	05/23/20	Revised Part 208 will incorporate the new federal emission guideline for MSW landfills pursuant to 40 CFR Part 60, Subpart Cf.	Part 208 controls landfill gas emissions by requiring a gas collection and control system.
ENV-18-19-00006-EP	04/30/20	Regulations governing commercial fishing and harvest of scup.	To revise regulations concerning the commercial harvest of scup in New York State waters.
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
DFS-23-18-00001-P	06/06/19	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To require coverage for maternal screening and referrals
DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-30-18-00007-P	07/25/19	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To clarify requirements regarding coverage and disclosure of information for contraceptives
DFS-36-18-00003-P	09/05/19	Professional Bail Agents; Managing General Agents; et al	To provide greater protection to consumers, and raise the standards of integrity in the bail business.
DFS-46-18-00014-P	11/14/19	Regulations Implementing the Comprehensive Motor Vehicle Insurance Reparations Act- Claims for Personal Injury Protection Benefit	To give insurer option to void assignment of benefits when insurer issues denial for EIP's failure to attend IME or EUO
DFS-08-19-00003-P	02/20/20	Charges for Professional Health Services	To delay the effective date of the Workers' Compensation fee schedule increases for no-fault reimbursement
DFS-16-19-00009-EP	04/16/20	Registration and Financial Responsibility Requirements for Mortgage Loan Servicers	To implement the registration requirement of chapter 472, Laws of 2008 and inform servicers of registration process details
DFS-18-19-00005-P	04/30/20	Servicing Mortgage Loans: Business Conduct Rules	Sets standards governing the servicing of residential home mortgage loans.
DFS-20-19-00002-P	05/14/20	Electronic Filings and Submissions	To require certain filings or submissions to be made electronically
DFS-20-19-00004-P	05/14/20	Valuation of Individual and Group Accident and Health Insurance Reserves	To adopt the 2016 Cancer Claim Cost Valuation Tables
DFS-20-19-00005-P	05/14/20	SECURITY AT AUTOMATED TELLER FACILITIES	To update the regulation to ensure the removal of obsolete language from the reporting requirements under Part 301

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
FINANCIAL SERVICES, DEPARTMENT OF			
DFS-21-19-00005-P	05/21/20	Continuing Care Retirement Communities	Amend rules related to permitted investments, financial transactions, reporting requirements and add new optional contract type.
GAMING COMMISSION, NEW YORK STATE			
*SGC-09-18-00005-RP	05/29/19	The licensing and registration of gaming facility employees and vendors	To govern the licensing and registration of gaming facility employees and vendors
SGC-39-18-00003-P	09/26/19	Heads Up Hold 'Em poker.	To set forth the practices and procedures for the operation of Heads Up Hold 'Em poker as a casino table game.
SGC-12-19-00007-P	03/19/20	Sports wagering at gaming facilities	To regulate and control sports wagering as directed by statute
SGC-13-19-00023-P	03/26/20	Cash 4 Life multi-jurisdiction lottery game	To provide for daily Cash 4 Life drawings to raise additional revenue for education
SGC-17-19-00009-P	04/23/20	Pick-six jackpot wager for Thoroughbred racing	To improve Thoroughbred pari-mutuel wagering and generate reasonable revenue for the support of government
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
HLT-31-18-00005-ERP	08/01/19	Medical Use of Marihuana	To add additional serious conditions for which patients may be certified to use medical marihuana
HLT-34-18-00007-P	08/22/19	New York State Medicaid Infertility Treatment	To authorize Medicaid coverage of infertility benefits.
HLT-42-18-00008-P	10/17/19	Office-Based Surgery Practice Reports	Requires accredited Office-Based Surgery practices to submit adverse event & practice information which includes procedural data
HLT-51-18-00015-P	12/19/19	Hospital Policies for Human Trafficking Victims	To establish policies and procedures for the identification, assessment, treatment, and referral of human trafficking victims
HLT-51-18-00016-P	12/19/19	Nursing Home Weekly Bed Census Survey	To require nursing homes to electronically submit weekly bed census data to the DOH through the Health Commerce System
HLT-51-18-00017-P	12/19/19	Clinical Laboratory Directors	Recognize additional accrediting boards for qualification of clinical laboratory directors to obtain a cert. of qualification
HLT-51-18-00018-P	12/19/19	New requirements for Annual Registration of Licensed Home Care Services Agencies	To amend the regulations for licensed home care services agencies for the annual registration requirements of the agency
HLT-05-19-00005-P	01/30/20	Midwifery Birth Center Services	To set the standards for all birth centers to follow the structure of Article 28 requirements
HLT-09-19-00004-P	02/27/20	Cardiac Catheterization Laboratory Centers	To amend existing Certificate of Need requirements for the approval and operation of Cardiac Catheterization Laboratory Centers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
HLT-10-19-00004-EP	03/05/20	Body Scanners in Local Correctional Facilities	Establish operational requirements for local correctional facs. that use body scanning imaging equipment for security purposes
HLT-14-19-00001-P	04/02/20	Managed Care Organizations (MCOs)	To amend contingent reserve requirements for MCOs
HLT-17-19-00002-EP	04/23/20	Medical Use of Marihuana	To clarify requirements for laboratories seeking approval to test medical marihuana products in New York State
HLT-18-19-00016-P	04/30/20	Blood Lead Level	To improve the current understanding of lead poisoning risks to children and pregnant women
HLT-20-19-00006-P	05/14/20	Schroon Lake Water District	The regulation is no longer needed since Horseshoe Pond no longer serves as the public drinking water source
HLT-22-19-00015-P	05/28/20	Residents' Rights	Require nursing homes provide info. about home & community based services & comm. transition progs. to residents upon admission.
HIGHER EDUCATION SERVICES CORPORATION			
ESC-22-19-00004-EP	05/28/20	The New York State Teacher Loan Forgiveness Program	To implement The New York State Teacher Loan Forgiveness Program
ESC-22-19-00005-EP	05/28/20	New York State Masters-in-Education Teacher Incentive Scholarship Program	To implement the New York State Masters-in-Education Teacher Incentive Scholarship Program
ESC-22-19-00006-EP	05/28/20	New York State Get on Your Feet Loan Forgiveness Program	To implement the New York State Get on Your Feet Loan Forgiveness Program
ESC-22-19-00007-EP	05/28/20	NYS Part-time Scholarship (PTS) Award Program.	To implement the NYS Part-time Scholarship (PTS) Award Program.
ESC-22-19-00008-EP	05/28/20	The New York State Achievement and Investment in Merit Scholarship (NY-AIMS)	To implement The New York State Achievement and Investment in Merit Scholarship (NY-AIMS)
HOUSING AND COMMUNITY RENEWAL, DIVISION OF			
HCR-21-19-00019-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation o flow-income housing tax credits.
HOUSING FINANCE AGENCY			
HFA-21-19-00020-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
LABOR, DEPARTMENT OF			
*LAB-17-18-00005-P	07/11/19	Hours Worked, 24-Hour Shifts	To clarify that hours worked may exclude meal periods and sleep times for employees who work shifts of 24 hours or more

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LAW, DEPARTMENT OF			
LAW-41-18-00021-P	10/10/19	Arbitration program regulations for defective farm equipment	To set forth the procedures for the operation of an alternative arbitration mechanism for defective farm equipment disputes
LIQUOR AUTHORITY, STATE			
LQR-02-19-00006-P	05/28/20	Delinquent (C.O.D.) list procedures	To modernize outdated delinquent (C.O.D.) list procedures and provide for electronic notification for same
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
LPA-33-18-00018-P	exempt	The net energy metering and related provisions of the Authority's Tariff for Electric Service.	To conform the Tariff to orders issued in the Value of Distributed Energy Resources proceeding of the New York DPS.
LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
LPA-09-19-00014-P	exempt	The Commercial System Relief Program and Distribution Load Relief Program in the Authority's Tariff for Electric Service.	To update the Tariff to allow incentives for a behind-the-meter battery storage program from PSEG Long Island's Utility 2.0 Plan
MENTAL HEALTH, OFFICE OF			
OMH-43-18-00001-P	10/24/19	Operation of Crisis Residences in New York State	To revise and update the categories of Crisis Residences to match what is currently operation in New York
OMH-04-19-00002-P	01/23/20	Expansion of Telemental Health (Telepsychiatry) services to additional OMH licensed settings and programs	To implement Public Health Law section 2999-dd and address current shortages in psychiatrists and nurse practitioners in psych.
OMH-08-19-00005-P	02/20/20	Establish standards for providers who wish to become licensed Children's Mental Health Rehabilitation programs	The Children's Mental Health Rehabilitation Program (CMHRS) is a vehicle for implementing the new State Plan services

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
NFW-01-19-00019-EP exempt	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders
NIAGARA FRONTIER TRANSPORTATION AUTHORITY			
NFT-18-19-00004-P 04/30/20	Procurement Guidelines of the Niagara Frontier Transportation Authority and Niagara Frontier Transit Metro System, Inc.	To amend Procurement Guidelines to reflect changes in law, clarifying provisions and change in signing authority.
OGDENSBURG BRIDGE AND PORT AUTHORITY			
OBA-33-18-00019-P exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
OBA-07-19-00019-P exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-13-19-00008-EP 03/26/20	Enrollment in Medicare Prescription Drug Plans and Fully Integrated Duals Advantage Plans for IDD	To allow individuals to be enrolled in a FIDA-IDD plan when individuals are unable to enroll themselves
PDD-18-19-00002-P 04/30/20	Transportation of female patients at OPWDD facilities	Repeal as statutory language has been revised and on longer is reflective in this regulation
PDD-20-19-00007-P 05/14/20	Site Based Prevocational Services	To make technical corrections
PDD-22-19-00010-P 05/28/20	Person Centered Planning: Care Management and Home and Community Based Services	To ensure consistency with person-centered planning regulations
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-12-00-00001-P exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P exempt	Annual reconciliation of gas costs by Conring Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement

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PUBLIC SERVICE COMMISSION			
*PSC-27-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P exempt	Accounts receivable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts receivable
*PSC-46-04-00012-P exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs

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PUBLIC SERVICE COMMISSION			
*PSC-46-05-00015-P exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-06-07-00020-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-04-08-00012-P exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-41-08-00009-P exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-05-09-00008-P exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-09-00015-P exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p.
*PSC-29-09-00011-P exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-09-00017-P exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-08-10-00009-P exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-10-00010-P exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-11-00007-P exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00009-P exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-12-00008-P exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-23-13-00005-P exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-13-00022-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-10-14-00006-P exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-28-14-00014-P exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition’s petition	To consider the Connect New York Coalition’s petition seeking a formal investigation and hearings
*PSC-35-14-00004-P exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P exempt	Modification to the Commission’s Electric Safety Standards.	To consider revisions to the Commission’s Electric Safety Standards.
*PSC-38-14-00003-P exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P exempt	Whether to expand Con Edison’s low income program to include Medicaid recipients.	Whether to expand Con Edison’s low income program to include Medicaid recipients.
*PSC-38-14-00008-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-14-00008-P exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00009-P exempt	Approval of a surcharge.	To allow or disallow Emerald Green Lake Louise Marie Water Company, Inc. for a surcharge.
*PSC-08-15-00010-P exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-15-00024-P exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-15-00014-P exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00010-P exempt	Lightened and incidental regulation of a 55 MW electric and steam generating facility.	Consider the lightened and incidental regulation of a 55 MW electric and steam generating facility.
*PSC-48-15-00011-P exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-14-16-00008-P exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-33-16-00005-P exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-14-17-00017-P exempt	Petition for Full-Scale Deployment of AMI and to Establish an AMI Surcharge.	To consider the petition for Full-Scale Deployment of AMI and to Establish an AMI Surcharge.
*PSC-18-17-00024-P exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-17-00013-P exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-23-17-00022-P exempt	Changes in regulation of ESCOs, including restrictions on or prohibitions of marketing or offering certain products or services.	To ensure consumer protection for ESCO customers.
*PSC-24-17-00006-P exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-37-17-00006-P exempt	Petition to submeter electricity.	To consider the petition of ACC OP (Park Point SU) LLC to submeter electricity at 417 Comstock Avenue, Syracuse, New York.
*PSC-39-17-00011-P exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-40-17-00006-P exempt	The aggregation of electric service for the Empire State Plaza and the Sheridan Avenue Steam Plant	To consider a waiver of National Grid's tariff provision requiring all electric delivery points to be on the same premises
*PSC-42-17-00010-P exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-50-17-00022-P exempt	Data protection rules for DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-51-17-00011-P exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-15-18-00008-P exempt	Amendments to the Uniform Business Practices.	Consideration of revised consumer protections and business practices of energy service companies.
*PSC-17-18-00010-P exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
PSC-23-18-00006-P exempt	Whether to impose consequences on Aspurity for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
PSC-23-18-00014-P exempt	Proposed major rate increase of approximately \$11.7 million to cover its Franklin and St. Lawrence Counties expansion project.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-24-18-00013-P exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-28-18-00011-P exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-28-18-00012-P exempt	Transfer of certain street lighting facilities.	To transfer street lighting facilities for the benefit of ratepayers.
PSC-29-18-00008-P exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
PSC-29-18-00009-P exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
PSC-30-18-00004-P exempt	Ownership of St. Lawrence Gas Company, Inc.	To consider whether a proposed transfer of ownership interests in St. Lawrence Gas Company, Inc. is in the public interest.
PSC-31-18-00011-P exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-34-18-00011-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-34-18-00015-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
PSC-34-18-00016-P exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
PSC-35-18-00003-P exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
PSC-35-18-00005-P exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
PSC-35-18-00006-P exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
PSC-35-18-00008-P exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
PSC-35-18-00010-P exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
PSC-36-18-00005-P exempt	Permanent operator of gas wells and certain gas facilities.	To resolve ownership of overlapping gas facilities associated with wells transferred to two gas companies.
PSC-37-18-00003-P exempt	Service Classification No. 7 - Sale of Standby Service to Customers with On-Site Generation Facilities.	To expand eligibility to customers across multiple buildings connected to a generating facility by a private thermal loop.
PSC-39-18-00005-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
PSC-40-18-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-40-18-00015-P exempt	Proposed rate filing to increase annual revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-42-18-00011-P exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
PSC-42-18-00013-P exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
PSC-42-18-00014-P exempt	The disposition of a refund from NYPA to the Village of Solvay of \$733,000 for overcharge for electricity over several years.	To determine whether the proposed disposition of the NYPA refund is just and reasonable.
PSC-44-18-00012-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
PSC-44-18-00013-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
PSC-44-18-00016-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
PSC-45-18-00004-P exempt	Proposed transfer of two natural gas pipeline operating companies, and for lightened and incidental regulation	To consider transfer if there is no market power or ratepayer harm, incidental regulation, and continuing lightened regulation
PSC-45-18-00005-P exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-46-18-00005-P exempt	Proposed rate filing to increase annual revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-47-18-00007-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-47-18-00008-P exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
PSC-50-18-00003-P exempt	Proposed transfer of interests in an electric generating facility and dedicated natural gas pipeline	To consider the transfer of generating facility and dedicated gas pipeline if there is no market power or ratepayer harm
PSC-51-18-00012-P exempt	Petition for water metering equipment.	To ensure that customer bills are based on accurate measurements of water usage.
PSC-52-18-00006-P exempt	Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-52-18-00008-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-52-18-00011-P exempt	LED Street Lighting.	To provide customers with more efficient, lower cost LED street lighting options.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-52-18-00012-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-01-19-00004-P exempt	Advanced Metering Infrastructure.	To determine whether Niagara Mohawk Power Corporation d/b/a National Grid should implement advanced metering infrastructure.
PSC-01-19-00007-P exempt	Northbrook Lyons Falls LLC's 3 hydro facilities with a combined capacity of 8.59 MW located in Mill, Gouldtown, and Kosterville.	To promote and maintain renewable electric energy resources.
PSC-01-19-00013-P exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
PSC-01-19-00014-P exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
PSC-01-19-00015-P exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
PSC-01-19-00016-P exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
PSC-02-19-00009-P exempt	The 2018 Electric Emergency Response Plans for electric utilities.	To consider the adequacy of the 2018 Electric Emergency Response Plans.
PSC-02-19-00014-P exempt	Petition for use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-03-19-00002-P exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
PSC-04-19-00004-P exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
PSC-04-19-00007-P exempt	Minor rate filing.	To increase authorized indebtedness to provide funds for working capital and operations and maintenance.
PSC-04-19-00011-P exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
PSC-04-19-00025-P exempt	Customer Consent to Contact.	To include a new provision establishing customer consent for the utility to contact them electronically about utility service.
PSC-04-19-00026-P exempt	Customer Consent to Contact.	To include a new provision establishing customer consent for the utility to contact them electronically about utility service.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-04-19-00027-P exempt	Customer Consent to Contact.	To include a new provision establishing customer consent for the utility to contact them electronically about utility service.
PSC-04-19-00028-P exempt	Customer Consent to Contact.	To include a new provision establishing customer consent for the utility to contact them electronically about utility service.
PSC-05-19-00009-P exempt	Transfer of street lighting facilities	To consider whether the transfer of certain street lighting facilities is in the public interest
PSC-05-19-00012-P exempt	Waiver of certain rules, e.g.5-year buildout and 7-day installation requirements pertaining to cable television franchise	To determine whether to waive any rules and regulations
PSC-05-19-00014-P exempt	Transfer of natural gas pipeline and request for lightened and incidental regulation	To grant lightened and incidental regulation to SABIC Innovative Plastics, LLC and approve the transfer of a gas pipeline
PSC-06-19-00005-P exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
PSC-06-19-00006-P exempt	Waiver of PSC regulations, 16 NYCRR sections 86.3(a)(1), 86.3(a)(2), and 86.4(b).	To consider a waiver of certain regulations related to an application for transmission line siting.
PSC-07-19-00009-P exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
PSC-07-19-00010-P exempt	The rates and charges for non-roadway LED service offerings for outdoor lighting customers.	To determine whether to amend National Grid's P.S.C. No. 214 - Outdoor Lighting Tariff to provide new LED service offerings.
PSC-07-19-00011-P exempt	Amended Lease Agreement for space in the NYSEG facility.	Review of Amended Lease Agreement between NYSEG and Coughlin & Gerhart, LLP.
PSC-07-19-00012-P exempt	Initial Tariff Schedule, P.S.C. No. 1 - Water and waiver of rate setting authority.	To provide the rates, rules, and regulations under which water service will be provided to the customers of the system.
PSC-07-19-00013-P exempt	Transfer of street lighting facilities to the Town of Skaneateles.	To determine whether to authorize the transfer street lighting facilities to the Town of Skaneateles.
PSC-07-19-00014-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-07-19-00015-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-07-19-00016-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
PSC-08-19-00008-P exempt	Disposition of property tax refunds	To consider Verizon New York Inc.'s request to retain a portion of a property tax refund
PSC-08-19-00009-P exempt	Sale of Street Lighting Facilities to the Town of Rosendale	To consider the transfer of street lighting facilities to the Town of Rosendale

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-09-19-00006-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-09-19-00007-P exempt	Exemptions from standby rates.	To consider whether the standby rate exemptions proposed by the City of New York are reasonable and in the public interest.
PSC-09-19-00008-P exempt	Exemptions from standby rates.	To determine whether standby rate exemptions should be continued.
PSC-09-19-00009-P exempt	Amendments to the tariff of Con Edison pertaining to interruptible gas service customers.	To consider the appropriate tariff provisions for Con Edison interruptible gas service customers.
PSC-09-19-00010-P exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
PSC-09-19-00011-P exempt	To request a waiver of the requirements of PSL Section 66-j(4)(c) regarding the annual payout process for excess generation.	Efficiency improvements to the annual payout process for excess generation.
PSC-09-19-00012-P exempt	Waiver of certain rules, i.e., 5-year buildout and 7-day installation requirements pertaining to cable television franchise.	To determine whether to waive any rules and regulations.
PSC-09-19-00013-P exempt	Cyber Security requirements.	Establish a framework to ensure the protection of utility systems and customer data from cyber events.
PSC-10-19-00005-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-10-19-00006-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-11-19-00002-P exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-11-19-00003-P exempt	Transfer of street lighting facilities	To consider whether the transfer of certain street lighting facilities is in the public interest
PSC-11-19-00004-P exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-12-19-00001-EP exempt	Postponement of the levelization surcharge mechanism for Service Area 1 customers.	To reduce otherwise applicable charges in Rate Year 3 for Service Area 1 customers.
PSC-12-19-00004-P exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
PSC-12-19-00005-P exempt	The request to issue long-term debt securities.	To assume debt for general corporate purposes, including working capital and other financial requirements
PSC-12-19-00006-P exempt	Transfer of utility property.	To determine whether to approve the transfer of utility property.
PSC-13-19-00010-P exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-13-19-00011-P exempt	Modifications to the Gas Cost Factor and Daily Delivery Service Programs.	To ensure safe and reliable service for customers at just and reasonable rates.
PSC-13-19-00012-P exempt	Paperless billing credit.	To provide just and reasonable rates.
PSC-13-19-00013-P exempt	To revise the voluntary residential time-of-use delivery rates for Rate Years 2 and 3 due to an inadvertent omission.	To provide accurate pricing structures that deliver benefits to customers.
PSC-13-19-00014-P exempt	Eligibility for annual per-plug incentives for DCFC stations.	To increase electric vehicle infrastructure penetration to accomplish New York's Zero Emission Vehicle adoption mandate.
PSC-13-19-00015-P exempt	Use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-13-19-00016-P exempt	Transfer of street lighting facilities.	To determine whether to transfer street lighting facilities.
PSC-13-19-00017-P exempt	Use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-13-19-00018-P exempt	Use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-13-19-00019-P exempt	Pole attachment rates.	To provide just and reasonable pole attachment rates.
PSC-13-19-00020-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-19-00021-P exempt	Modifications to the Gas Supply Charge and Balancing Service Programs.	To ensure safe and reliable service for customers at just and reasonable rates.
PSC-13-19-00022-P exempt	Transfer of street lighting facilities.	To consider whether the transfer of certain street lighting facilities is in the public interest.
PSC-14-19-00004-EP exempt	Tariff amendments to revise demand-based Innovative Price Pilot rates downward to reflect corrected billing determinants.	To preserve the integrity of the Innovative Price Pilot so to ensure that the data collected will not be distorted.
PSC-14-19-00008-P exempt	Residential meter reading	To establish provisions for a special meter read for when service is discontinued to residential customers
PSC-15-19-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-15-19-00009-P exempt	Cancellation of Society's tariff.	To consider whether cancellation of Society's tariff is in the public service.
PSC-15-19-00010-P exempt	Tariff provisions for Interruptible and Off-Peak Firm Service Customers.	To amend certain penalties for non-compliant Interruptible and Off-Peak Firm Customers.
PSC-16-19-00001-P exempt	Waiver of certain rules, i.e., 5-year buildout and 7-day installation requirements pertaining to cable television franchise.	To determine whether to waive any rules and regulations.
PSC-16-19-00002-P exempt	NYSEG and RG&E Implementation Plan and audit recommendations.	To consider NYSEG and RG&E's Implementation Plan.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-16-19-00003-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-16-19-00004-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-16-19-00005-P exempt	Proposed major electric delivery revenue requirement increase of approximately \$485 million (or 4.6% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-16-19-00006-P exempt	Waiver of certain rules, i.e., 5-year buildout and 7-day installation requirements pertaining to cable television franchise.	To determine whether to waive any rules and regulations.
PSC-16-19-00007-P exempt	Recommendation of the levelization surcharge, changes to the System Improvement Charge, and a one-year stay-out.	To address the issues in NYAW's petition dated February 25, 2019.
PSC-16-19-00008-P exempt	Proposed major rate increase in Con Edison's gas delivery revenues of approximately \$210 million (or 9.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-17-19-00010-P exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-17-19-00011-P exempt	Purchased power adjustment.	To ensure existing customers are not harmed by an increase in rates attributable to any incremental supply.
PSC-17-19-00012-P exempt	Establishment of a consumer awareness program to encourage renewable energy resources and energy efficiencies in Westchester.	To encourage clean energy development in Westchester.
PSC-17-19-00013-P exempt	Tariff amendments regarding minimum monthly storage inventory levels.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-17-19-00014-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-18-19-00010-P 04/30/20	Energy efficiency programs, budgets, and targets for investor-owned utilities.	To encourage the delivery and procurement of energy efficiency by investor-owned utilities.
PSC-18-19-00011-P exempt	Policies, budgets and targets for support of heat pump deployment by investor-owned utilities.	To encourage the support for heat pump deployment by investor-owned utilities.
PSC-18-19-00012-P 04/30/20	Policies, budgets and targets for support of energy efficiency programs for low- and moderate-income customers.	To encourage the support of energy efficiency programs for low- and moderate-income customers by investor-owned utilities.
PSC-18-19-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-18-19-00014-P exempt	Revenue neutral revenue adjustment to the demand and energy charges under SC No. 3.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-18-19-00015-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-19-19-00011-P exempt	To consider a settlement agreement.	Modification of Network Expansion Condition.
PSC-19-19-00012-P exempt	Minor rate filing.	To ensure that the Village of Silver Spring provides safe and adequate service and that its rates are just and reasonable.
PSC-19-19-00013-P exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
PSC-19-19-00014-P exempt	Establishment of the regulatory regime applicable to an approximately 124 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 124 MW electric generating facility.
PSC-19-19-00015-P exempt	Establishment of the regulatory regime applicable to an energy storage facility of up to 316 MW.	Consideration of a lightened regulatory regime for an energy storage facility of up to 316 MW
PSC-19-19-00016-P exempt	Establishment of the regulatory regime applicable to an approximately 242 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 242 MW electric generating facility.
PSC-20-19-00008-P exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
PSC-20-19-00009-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-20-19-00010-P exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
PSC-20-19-00011-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-20-19-00012-P exempt	Waiver of 16 NYCRR Section 894.4(b)(2) pertaining to publishing notice of the RFP in national publications	To determine whether to waive any rules and regulations
PSC-20-19-00013-P exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-20-19-00014-P exempt	Transfer of street lighting facilities	To consider the transfer of street lighting facilities to the City of Oneonta
PSC-20-19-00015-P exempt	Establishment of the regulatory regime applicable to an approximately 105.8 MW electric generating facility	Consideration of a lightened regulatory regime for an approximately 105.8 MW electric generating facility
PSC-21-19-00015-P exempt	The Implementation Plan used to recover the costs of ZECs from Load Serving Entities.	To more accurately calculate Load Serving Entities' future ZEC obligation payments.
PSC-21-19-00016-P exempt	Use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-21-19-00017-P exempt	Residential meter reading.	To establish provisions for a special meter read for when service is discontinued to residential customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-21-19-00018-P exempt	Methodology used to set discount level for income-based discounts to residential electric and gas utility bills.	To ensure that income-based discounts are adjusted in a manner that moderates annual changes.
PSC-22-19-00011-P exempt	Proposed major increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-22-19-00012-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-22-19-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-22-19-00014-P exempt	Proposed major rate increase in KEDLI's gas delivery revenues by \$49.4 million (4.1% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
STATE UNIVERSITY OF NEW YORK			
SUN-15-19-00007-P 04/09/20	Proposed amendments to Appointment of Employees and Leave of Absences for Employees in the Professional Service.	Allow a temporary cessation of service credit during birth/adoption/foster care placement of child and update leave accruals.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-09-19-00005-EP 02/27/20	The Congestion Surcharge.	To implement the Congestion Surcharge and related registration, recordkeeping and reporting requirements.
TAF-21-19-00006-P exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period July 1, 2019 through September 30, 2019.
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-14-19-00002-P 04/02/20	Enforcement of support obligations and issuance of income withholding orders (IWOs)	To clarify the requirements for income withholding for persons served by the Title IV-D child support program (IV-D) to conform with changes to the federal IV-D IWO/Notice for Support form
TDA-14-19-00007-P 04/02/20	Abandonment of requests for fair hearings	To require the issuance of letters to appellants who fail to appear at scheduled fair hearings involving Medical Assistance, also known as Medicaid, advising them how to request the rescheduling of such fair hearings
TDA-19-19-00007-P 05/07/20	Adult-Care Facilities and Shelters for Adults	To update State regulations pertaining to general provisions, inspections and enforcement, and shelters for adults
TDA-19-19-00008-P 05/07/20	Shelters for Families	To update State regulations pertaining to shelters for families
TDA-19-19-00010-P 05/07/20	Elimination of finger imaging requirement for public assistance applicants and recipients	To update State regulations to align public assistance programs with other State benefit programs regarding identification verification requirements

Action Pending Index**NYS Register/May 29, 2019**

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
WORKERS' COMPENSATION BOARD			
*WCB-52-17-00021-RP	09/23/19	Establishment of Prescription Drug Formulary	Establishment of a drug formulary that includes high-quality and cost-effective preauthorized medication
WCB-22-19-00009-P	05/28/20	Group self-insured trusts that are inactive but not insolvent	Provide assistance with inactive but not insolvent group self-insured trusts to purchase ALPs to wind down liabilities

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

AG Energy Credit Opportunities Fund IV, L.P.
c/o Angelo, Gordon & Co. L.P., 245 Park Ave., New York, NY 10167
Partnership — Angelo, Gordon ECO Fund IV GP, LLC

AG Energy Credit Opportunities Offshore Holdings IV, L.P.
c/o Angelo, Gordon & Co. L.P., 245 Park Ave., New York, NY 10167
Partnership — Angelo, Gordon ECO Fund IV GP, LLC

AG Whole Loan Investments Fund, L.P.
c/o Angelo, Gordon & Co. L.P., 245 Park Ave., New York, NY 10167
Partnership — AG WLI GP LLC

Amulet Capital Fund II, L.P.
One Lafayette Place, Suite 301, Greenwich, CT 06830
Partnership — Amulet Capital Fund II GP, L.P.

Ancora Funds
200 Auburn Dr., Suite 300, Cleveland, OH 44122
State or country in which incorporated — Ohio

Arlington Capital Partners V, L.P.
5425 Wisconsin Ave., Suite 200, Chevy Chase, MD 20815
Partnership — Arlington Capital Group V, L.L.C.

Ascendent Capital Partners III, L.P.
c/o Walkers Corporate Limited, Cayman Corporate Center, 27
Hospital Rd., George Town, Grand Cayman KY1-9008, Cayman
Islands
Partnership — Ascendent Capital Partners III GP, L.P.

Avista Capital Partners (Offshore) V, L.P.
65 E. 55th St., 18th Fl., New York, NY 10022
Partnership — Avista Capital Partners V GP, L.P.

Avista Capital Partners V, L.P.
65 E. 55th St., 18th Fl., New York, NY 10022
Partnership — Avista Capital Partners V GP, L.P.

Bertram Growth Capital III Annex Fund, L.P.
950 Tower Lane, Suite 1000, Foster City, CA 94404
Partnership — Bertram Growth Capital III Annex Fund (GP), L.P.

Boardman Bay Ventures, LP - Series E
1120 Avenue of the Americas, 4th Fl., New York, NY 10036
Partnership — Boardman Bay Ventures GP LLC

Boomerang Water LLC
20028 Knox Rd., Cornelius, NC 28031
State or country in which incorporated — Delaware limited liability
company

Centurium Capital Partners 2018-A, L.P.
Maples Corporate Services Limited, Ugland House, PO Box 309,
Grand Cayman, KY1-1104, Cayman Islands
Partnership — Centurium Capital 2018 SLP-A Ltd.

CNL Strategic Capital, LLC
450 S. Orange Ave., Suite 1400, Orlando, FL 32801
State or country in which incorporated — Delaware

Communikind, Inc.
One Polk St., San Francisco, CA 94102
State or country in which incorporated — Delaware

Corten Real Estate Fund I LP
322 A St., Suite 300, Wilmington, DE 19801
Partnership — Corten Real Estate Fund I GP LP

CSC Quant Trading, LP
601 S. LaSalle St., Suite 504, Chicago, IL 60605
Partnership — CSC Partners Management, LLC

Cumberland Hospitality, LLC
4991 New Design Rd., Frederick, MD 21703
State or country in which incorporated — Maryland

DAD San Diego Mission Valley Holdings, LP
255 Alhambra Circle, Suite 760, Coral Gables, FL 33134
Partnership — DAD San Diego Mission Valley Holdings GP, LLC

DW Healthcare Partners V, L.P.
1413 Center Drive, Suite 220, Park City, UT 84098
Partnership — DW Healthcare Management V, L.P.

Energy Reservoir Onshore Co-Invest Fund, L.P.
700 Louisiana St., Suite 2700, Houston, TX 77002
Partnership — CSL Stratum Co-Invest GP, LLC

EXMceuticals Inc.
24 W. 4th Ave., Vancouver, BC, V5Y 1G3
State or country in which incorporated — Canada

Gibbon Film, LLC
64 S. Elliot Place, #2B, Brooklyn, NY 11217
State or country in which incorporated — Delaware

GolfSuites 1, Inc.
2738 Falkenburg Rd. S, Riverview, FL 33578
State or country in which incorporated — Delaware

Griffin Capital (Heritage-Bedford, NH) DST
1520 E. Grand Ave., El Segundo, CA 90245
State or country in which incorporated — Delaware

Griffin Capital Qualified Opportunity Zone Fund, L.P.
1520 E. Grand Ave., El Segundo, CA 90245
State or country in which incorporated — Delaware

GTIS Qualified Opportunity Fund LLC
787 Seventh Ave., 50th Fl., New York, NY 10019
State or country in which incorporated — Delaware

Iroquois Valley Farmland REIT, PBC
708 Church St., Suite 234, Evanston, IL 60201
State or country in which incorporated — Delaware

KLH Capital Fund IV, L.P.
601 Bayshore Blvd., Suite 850, Tampa, FL 33606
Partnership — KLH Capital GP IV, L.P.

KLH Capital Fund IV-A, L.P.
601 Bayshore Blvd., Suite 850, Tampa, FL 33606
Partnership — KLH Capital GP IV, L.P.

KLH Capital Fund IV-B, L.P.
601 Bayshore Blvd., Suite 850, Tampa, FL 33606
Partnership — KLH Capital GP IV, L.P.

Kotak Mahindra, Inc.
369 Lexington Ave., 28th Fl., New York, NY 10017
State or country in which incorporated — Delaware

Lion Point Associates, LP
250 W. 55th St., 33rd Fl., New York, NY 10019
Partnership — Lion Point Capital GP, LLC

MainLine Securities, LLC
308 E. Lancaster Ave., Suite 302, Wynnewood, PA 19096
State or country in which incorporated — Delaware

Mewbourne Energy Partners 19-A, L.P.
3620 Old Bullard Rd., Tyler, TX 75201
State or country in which incorporated — Delaware

Monashee Investment Management, LLC
125 High St., 28th Fl., Boston, MA 02110
State or country in which incorporated — Delaware

NanoGuard Technologies, Inc.
1100 Corporate Square Dr., St. Louis, MO 63132
State or country in which incorporated — Delaware

Next TSG Co-Investors, LLC
5215 Old Orchard Rd., Suite 880, Skokie, IL 60077
State or country in which incorporated — Delaware limited liability company

Oroco Resource Corp.
1166 Alberni St., Suite 1201, Vancouver, BC, V6E 3Z3
State or country in which incorporated — Canada

Owlstone Inc.
19 Ludlow Rd., Suite 202, Westport, CT 06880
State or country in which incorporated — Delaware

Pearl RW Holdings, L.P.
2100 McKinney Ave., Suite 1675, Dallas, TX 75201
Partnership — Pearl Energy Investment II GP, L.P.

Peer Street Opportunity Investors II, LP
2121 Park Place, Suite 250, El Segundo, CA 90245
Partnership — Peer Street Opportunity Fund GP, LLC

Rand 418 LLC
5908 Toole Dr., Suite F, Knoxville, TN 37919
State or country in which incorporated — Kentucky

REITless Impact Opportunity Zone Strategies LLC
623 E. Fort Union Blvd., Suite 101, Salt Lake City, UT 84121
State or country in which incorporated — Delaware

River Frontier LLC
240 SE. 2nd Ave., Portland, OR 97214
State or country in which incorporated — Oregon limited liability company

Round Hill Music Prospect Park Coinvest, LP
650 5th Ave., Suite 1420, New York, NY 10019
Partnership — Round Hill Music Royalty Fund II GP LP

Social Impact Capital Fund I, L.P.
288 Park Ave. S, #82899, New York, NY 10003
Partnership — Social Impact Capital Fund I GP, LLC

SmartCap SC Evergreen Office Park LLC
8201 164th Ave. NE, Suite 110, Redmond, WA 98052
State or country in which incorporated — Washington

Stadium Capital Partners, L.P.
199 Elm St., New Canaan, CT 06840-5321
Partnership — Stadium Capital Management, LLC, general partner

TMF Crossings, LLC
8 The Green, Suite A, Dover, DE 19901
State or country in which incorporated — Delaware

TMF Hickory, LLC
6673 Doonberg Dr., Dover, TX 75035
State or country in which incorporated — Delaware

Tnemecc Company, Inc.
6800 Corporate Dr., Kansas City, MO 64120
State or country in which incorporated — Missouri

Toro Jax Holdings II LLC
410 Jericho Tpke., Suite 320, Jericho, NY 11753
State or country in which incorporated — Delaware

TR Capital IV, L.P.
c/o Walkers Corporate Services Limited, Cayman Corporate Center,
27 Hospital Rd., George Town, Grand Cayman KY1-9008, Cayman
Islands
Partnership — TR Capital IV Limited, general partner

Tremendous View Capital Fund I LP
147 Prince St., Suite 3-69, Brooklyn, NY 11201
Partnership — Tremendous View Capital GP LLC

URS Cap Oz I, LLC
181 Main St., Suite 100, Huntington, NY 11743
State or country in which incorporated — Maine

VPC MBW II LLC
c/o VestaPoint Capital LLC, 941 W. Morse Blvd., Suite 130, Winter
Park, FL 32789
State or country in which incorporated — Delaware

VPC Victoria MF II LLC
c/o VestaPoint Capital LLC, 941 W. Morse Blvd., Suite 130, Winter
Park, FL 32789
State or country in which incorporated — Delaware

Waypoint Direct Investments, LLC
Nine W. Broad St., Suite 800, Stamford, CT 06902
State or country in which incorporated — Delaware

Wells Fargo Investment Institute, Inc.
401 S. Tryon St., Charlotte, NC 28202
State or country in which incorporated — Delaware

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

REPLACE/IMPROVE ROOF/EXTERIOR Highland Residential Center Highland, Ulster County

Sealed bids for Project Nos. 45380-C and 45380-E comprising separate contracts for Construction Work, and Electrical Work, Roof Replacement & Exterior Improvements, Buildings 27, 28, 29 and 37, Highland Residential Center, 629 North Chodikee Lake Road, Highland (Ulster County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Children and Family Services until 2:00 p.m. on Wednesday, June 5, 2019 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$53,100 for C and \$8,900 for E.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$1,000,000 and \$2,000,000 for C and between \$100,000 and \$250,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewycky, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 496 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on May 24, 2019 at Highland Resi-

dential Center, OGS Conference Room, 2nd Fl, 629 North Chodikee Road in Highland NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Theresa Swehla, (845-895-3170) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBES") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work. The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewycky*, Deputy Director
OGS - Design & Construction Group

PROVIDE DOMESTIC WATER PUMP STATION State Office Building Campus Albany, Albany County

Sealed bids for Project Nos. 45448-C, 45448-H, 45448-P, 45448-E, comprising separate contracts for Construction Work, HVAC Work, Plumbing Work, and Electrical Work, Provide Domestic Water Pump Station, State Office Building Campus, 1220 Washington Avenue, Albany (Albany County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of General Services, until 2:00 p.m. on Wednesday, June 5, 2019, when they will be

publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$127,900 for C, \$2,200 for H, \$5,900 for P, and \$52,000 for E.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$5,000,000 and \$6,000,000 for C, between \$25,000 and \$50,000 for H, between \$50,000 and \$100,000 for P, and between 1,000,000 and \$2,000,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 446 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. on May 24, 2019, at State Office Building Campus, Building 4, Field Office Trailer, 1220 Washington Avenue, Albany, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Kirk Bassarab, (518-457-3294) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction and Electrical work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors

and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp>

For questions about purchase of bid documents, please send an e-mail to DC.Plans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

**PROVIDE
OFFICE SPACE**
Pavement Marking Crew Facility
Hauppauge, Suffolk County

Sealed bids for Project Nos. 45771-C, 45771-H, 45771-P, 45771-E, comprising separate contracts for Construction Work, HVAC Work, Plumbing Work, and Electrical Work, Provide Office Space, Pavement Marking Crew Facility, 140 Nicon Court, Hauppauge (Suffolk County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Transportation, until 2:00 p.m. on Wednesday, June 5, 2019, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$37,300 for C, \$22,700 for H, \$9,800 for P, and \$11,200 for E.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for C, between \$500,000 and \$1,000,000 for H, between \$100,000 and \$250,000 for P, and between \$100,000 and \$250,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 335 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on May 23, 2019 at Pavement Mark-

ing Crew Facility, 140 Nicon Court, Hauppauge, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Maria Cappai, (631) 952-4973 a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises (“MBE”) participation and 15% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs) for Construction Work and an overall goal of 20% for MWBE participation, 10% for Minority-Owned Business Enterprises (“MBE”) participation and 10% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs) for HVAC Work. The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD’s on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

NOTICE OF AVAILABILITY OF STATE AND FEDERAL FUNDS

Department of Environmental Conservation
625 Broadway
Albany, NY 12233-2560

FIRE DEPARTMENTS

Rural Volunteer Fire Assistance

Pursuant to the Agriculture Appropriations Act, Section 7(b)3 of P.L. 95-313 (Cooperative Forestry Assistance Act of 1978), the New York State Department of Environmental Conservation hereby gives notice of the following:

The New York State Department of Environmental Conservation (The Department) will administer the 2019 Volunteer Fire Assistance (VFA) Grant program to assist eligible rural fire departments in the purchase of eligible wildland fire suppression equipment. VFA applications will be accepted through June 28, 2019.

The Department received a federal appropriation of \$541,923 to award fire assistance grants. Fire departments eligible to apply may receive grant funding up to \$1,500 for the purchase of eligible wildland fire suppression equipment. Fire departments are required to equally match (50/50) the amount of the award. Grant funding is based on federal guidelines for use in fire districts serving a rural area or a rural community with a population of 10,000 or less. A fire department serving a population greater than 10,000 and not providing protection to a rural area or rural community is not eligible for VFA financial assistance.

Applications are scored based on established rating criteria including: population, the I.S.O. Fire Prevention Insurance rating, the presence of Native American communities in the district and the date of the last VFA grant received.

Applications are available on-line at <http://www.dec.ny.gov/regulations/2364.html>. *For further information, contact:* Lynne Korona, DEC, Division of Forest Protection, 625 Broadway, 3rd Fl., Albany, NY 12233-2560, (518) 402-8835 or e-mail questions to vfagrant@dec.ny.gov

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE Division of Criminal Justice Services Commission on Forensic Science

Pursuant to Public Officers Law section 104, the Division of Criminal Justice Services gives notice of a meeting of the New York State Commission on Forensic Science to be held on:

Date: June 7, 2019
Time: 9:00 a.m.-1:00 p.m.
Place: Empire State Development Corporation
(ESDC)
633 3rd Ave.
37th Fl./Conference Rm.
New York, NY
* Identification and sign-in required

Video Conference Site:
Division of Criminal Justice Services
Alfred E. Smith Office Bldg.
CrimeStat Rm. 118
80 S. Swan St.
Albany, NY

* Identification and sign-in is required at this location. *For further information, or if you need a reasonable accommodation to attend this meeting, contact:* Catherine White, Division of Criminal Justice Services, Office of Forensic Services, 80 Swan St., Albany, NY 12210, (518) 485-5052

PUBLIC NOTICE Deferred Compensation Plan for Employees of Cortland County

The Deferred Compensation Plan for Employees of Cortland County is soliciting proposals from administrative service agencies relating to trust service, and administration and/or funding of a Deferred Compensation Plan for the employees of Cortland County. They must meet the requirements of section 457 of the Internal Revenue Code and Section 5 of the State Finance Law, including all rules and regulations issued pursuant thereto.

A copy of the proposal questionnaire may be obtained from: Cortland County Personnel/Civil Service, Pamela Abbott, Personnel Technician, 60 Central Ave., Cortland, NY 13045, (607) 753-5207, e-mail: pabbott@cortland-co.org

All proposals must be received no later than 30 days from the date of publication in the *New York State Register*.

PUBLIC NOTICE Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional, institutional, and long-term care services to comply with enacted statutory provisions. The following changes are proposed:

All Services

The following is a clarification to the March 27, 2019 noticed provision which extended the zero trend factors attributable to services on or after April 1, 2019 to March 31, 2024. This notice clarifies that the provision was extended through March 31, 2021.

The estimated annual net aggregate decrease in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2019/2020 is (\$208.8 million).

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201
Bronx County, Tremont Center

1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact:
Department of Health, Division of Finance and Rate Setting, 99
Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY
12210, e-mail: spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of State
F-2019-0116

Date of Issuance – May 29, 2019

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2019-0116 or the “Palagonia Dock”, the applicant Tiffany Palagonia, is proposing the construction of a new 4' x 15' open grate catwalk, a 3' x 12' ramp, and a 6' x 20' float-with two 8" piles, and two 8" mooring piles. This project is located at 182 Dune Road, Westhampton Beach on Moneybogue Bay.

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-0116_Palagonia_App.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or, June 28, 2019.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by e-mail at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2019-0175

Date of Issuance – May 29, 2019

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York and are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-0175_Application.pdf

In F 2019 0175, PSEG Long Island is proposing the Circuit 9Z-807 Utility Pole Replacement Project. The proposed activity would replace

27 timber utility poles in tidal wetlands along the existing utility corridor on the eastern side of Napeague Meadow Road between Cranberry Hole Road and Montauk Highway, Town of East Hampton, Suffolk County. Total disturbance to tidal wetlands is estimated to be 675 square feet. All disturbed areas shall be backfilled with native soils and planted with native vegetation. The stated purpose of the activity is to upgrade the existing utility poles for increased protection of the electric distribution grid from high winds and storm events.

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

- Town of East Hampton Local Waterfront Revitalization Program: https://www.dos.ny.gov/opd/programs/WFRvitalization/LWRP_status.html

- Napeague Harbor Significant Coastal Fish and Wildlife Habitat: <https://www.dos.ny.gov/opd/programs/consistency/scfwhabitats.html>

- Napeague Meadows Scenic Area of Statewide Significance: <https://www.dos.ny.gov/opd/programs/consistency/scenicass.html>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, June 13, 2019.

Comments should be addressed to: Department of State, Office of Coastal, Local Government and Community Sustainability, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474 6000, Fax (518) 473 2464

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

The New York State Real Estate Board will hold an open board meeting on May 31, 2019, 10:30 a.m. at Department of State, 99 Washington Ave., Rm. 505, Albany; 123 William St., Rm. 231, New York City; and 276 Waring Rd., Rochester. The Board will hold a public hearing on general real estate issues immediately following the board meeting at the same locations.

Should you require further information, please contact: Adaiha Murdock at Adaiha Murdock@dos.ny.gov or (518) 408-4750

PUBLIC NOTICE

Department of State

Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2019-0262 Matter of Brookhaven Expeditors, Andrew Malguarnera, 713 Main Street, Port Jefferson, NY 11777, for a variance concerning safety requirements, including the required ceiling height and height under a girder/soffit. Involved is an existing one family dwelling located at 22 Howell Street; Town of Brookhaven, NY 11772 County of Suffolk, State of New York.

2019-0267 Matter of Building Permits Plus, Jason Allen, 19 Stillwood Road, Brookhaven, NY 11719, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one family dwelling located at 98 Granny Road; Town of Brookhaven, NY 11738 County of Suffolk, State of New York.

2019-0268 Matter of Nassau Expeditors Inc., Scott Tirone, 75 Albertson Avenue, Albertson, NY 11507, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one family dwelling located at 13 North Drive;

Village of Kensington, NY 11021 County of Nassau, State of New York.

2019-0269 Matter of Nassau Expeditors Inc., Scott Tirone, 75 Albertson Avenue, Albertson, NY 11507, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one family dwelling located at 22 Midtown Road; Town of North Hempstead, NY 11514 County of Nassau, State of New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2019-0273 Matter of D. Powers Consulting Inc., Diane Powers Imbimbo, 769 Pelham Road - Apt.3c, New Rochelle, NY 10805, for a variance concerning safety requirements, including the required ceiling height and height under a girder/soffit. Involved is an existing one family dwelling located at 13 Narwood Court; Town of Hempstead, NY 11566 County of Nassau, State of New York.

2019-0113 Matter of Lynne Breslin Architects, Lynne Breslin, 636 Broadway, Suite 1122, New York, NY 10012, for a variance concerning safety requirements, including a dwelling unit and garage fire rated separation. Involved is a new detached garage with habitable space above located at 628 Lumber Lane; Town of Southampton, NY 11932 County of Suffolk, State of New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2019-0278 In the matter of John Barber, 317 East King Street, Ithaca, NY 14850 concerning safety requirements including a variance for reduction in required height of existing exterior porch guardrails and interior stair handrails and guardrails.

Involved is an existing residential occupancy, two stories in height, located at 339 South Geneva Street, City of Ithaca, County of Tompkins, New York.

2019-0280 In the matter of Center For Jewish Living, Ethan Krantz, 106 West Street, Ithaca, NY 14850 for concerning safety requirements including a variance for reduction in required height of existing interior handrails and guardrails.

Involved is the certificate of compliance inspection of an existing residential occupancy, three stories in height, known as "Center for Jewish Living" located at 106 West Street, City of Ithaca, County of Tompkins, New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless other-

wise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2019-0283 In the matter of Aurora Albany Apartments, LLC., Peter Parkes, Six Stormy View Road, Ithaca, NY 14850, concerning safety requirements including a variance for reduction in required height of existing exterior porch handrails and guardrails.

Involved is the certificate of compliance inspection of an existing residential occupancy, two stories in height, located at 315 South Albany Street, City of Ithaca, County of Tompkins, New York.

PUBLIC NOTICE

Susquehanna River Basin Commission

Commission Meeting

SUMMARY: The Susquehanna River Basin Commission will hold its regular business meeting on June 14, 2019, in Harrisburg, Pennsylvania. Details concerning the matters to be addressed at the business meeting are contained in the Supplementary Information section of this notice. Also the Commission published a document in the Federal Register on April 11, 2019, concerning its public hearing on May 9, 2019, in Harrisburg, Pennsylvania.

DATES: The meeting will be held on Friday, June 14, 2019, at 9 a.m.

ADDRESSES: The meeting will be held at the Susquehanna River Basin Commission, 4423 N. Front Street, Harrisburg, PA 17110.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: 717-238-0423; fax: 717-238-2436.

SUPPLEMENTARY INFORMATION: The business meeting will include actions or presentations on the following items: (1) informational presentation of interest to the middle Susquehanna River region; (2) expense budget for FY2021; (3) member allocation for FY2021; (4) ratification/approval of contracts/grants; (5) emergency certificate extensions; (6) a report on delegated settlements; (7) the proposed water resources program for FY2019-2021; and (8) Regulatory Program projects.

This agenda is complete at the time of issuance, but other items may be added, and some stricken without further notice. The list of an item on the agenda does not necessarily mean that the Commission will take final action on it at this meeting. When the Commission does take final action, notice of these actions will be published in the Federal Register after the meeting. Any actions specific to projects will also be provided in writing directly to project sponsors.

Regulatory Program projects listed for Commission action were those that were the subject of public hearings conducted by the Commission on May 9, 2019, and identified in the notices for such hearings, which was published in 84 FR 14712, April 11, 2019.

The public is invited to attend the Commission's business meeting. Comments on the Regulatory Program projects are subject to a deadline of May 20, 2019. Written comments pertaining to other items on the agenda at the business meeting may be mailed to the Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, Pennsylvania 17110-1788, or submitted electronically through www.srb.com/about/meetings-events/business-meeting.html. Such comments are due to the Commission on or before June 10, 2019. Comments will not be accepted at the business meeting noticed herein.

Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR Parts 806, 807, and 808.

Dated: May 10, 2019

Jason E. Oyler,

General Counsel and Secretary to the Commission

PUBLIC NOTICE

Susquehanna River Basin Commission

Projects Approved for Consumptive Uses of Water

SUMMARY: This notice lists the projects approved by rule by the Susquehanna River Basin Commission during the period set forth in "DATES."

DATES: April 1-30, 2019.

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries may be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists the projects, described below, receiving approval for the consumptive use of water pursuant to the Commission's approval by rule process set forth in 18 CFR § 806.22(e) and § 806.22 (f) for the time period specified above:

Approvals By Rule Issued Under 18 CFR 806.22(e)

1. Sunoco Pipeline, L.P.; ABR-201904002; Shirley Township, Huntingdon County, Pa.; Consumptive Use of Up to 0.200 mgd; Approval Date: April 29, 2019.

2. Sunoco Pipeline, L.P.; ABR-201904003; Woodbury Township, Blair County, Pa.; Consumptive Use of Up to 0.200 mgd; Approval Date: April 29, 2019.

Approvals By Rule Issued Under 18 CFR 806.22(f)

1. Pennsylvania General Energy Company, L.L.C.; Pad ID: SGL 75 Pad F; ABR-201403005.R1; McHenry Township, Lycoming County, Pa.; Consumptive Use of Up to 3.0000 mgd; Approval Date: April 1, 2019.

2. Chesapeake Appalachia, L.L.C.; Pad ID: TA, ABR-201403011.R1; Colley Township, Sullivan County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: April 1, 2019.

3. Chesapeake Appalachia, L.L.C.; Pad ID: Garrison, ABR-201403012.R1; Washington Township, Wyoming County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: April 1, 2019.

4. Chief Oil & Gas, LLC; Pad ID: Herbert Drilling Pad, ABR-201404001.R1; Harford and Lenox Townships, Susquehanna County, Pa.; Consumptive Use of Up to 2.5000 mgd; Approval Date: April 16, 2019.

5. JKLM Energy, LLC; PAD ID: Greisemer 171, ABR-201904001; Hector Township, Potter County, Pa.; Consumptive Use of Up to 3.0000 mgd; Approval Date: April 22, 2019.

6. Chief Oil & Gas, LLC; Pad ID: I. Harvey Drilling Pad, ABR-201404006.R1; Elkland Township, Sullivan County, Pa.; Consumptive Use of Up to 2.5000 mgd; Approval Date: April 29, 2019.

7. Pennsylvania General Energy Company, L.L.C.; Pad ID: SGL 75 Pad A; ABR-201404007.R1; McHenry Township, Lycoming County, Pa.; Consumptive Use of Up to 3.0000 mgd; Approval Date: April 29, 2019.

Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR Parts 806 and 808.

Dated: May 10, 2019.

Jason E. Oyler,

General Counsel and Secretary to the Commission.

COURT NOTICES

AMENDMENT OF RULE

Rules of the Chief Judge

Pursuant to Article VI, § 28(c) of the State Constitution and section 211 of the Judiciary Law, upon consultation with the Administrative Board of the Courts, and with the approval of the Court of Appeals of the State of New York, I hereby amend, effective immediately, section 24.5(d), 24.6(f), (h), (k), and (l) and add section 24.6(m) of the Rules of the Chief Judge, by adding the underlined material and deleting the stricken material, relating to Workers' Compensation leave and Other leaves with pay as follows:

Section 24.5 Workers' compensation leave.

(d) An employee required to serve a waiting period pursuant to [subdivision] *subsection* (b) of this [section] *subdivision* shall have the option of using accrued leave credits or being placed on leave without pay. When an employee has charged credits, upon receipt of documentation from the State Insurance Fund issuing a credit for the time charged, the employee shall be entitled to restoration of credits charged proportional to the net monetary award credited to the Unified Court System by the Workers' Compensation Board. *In the event the restoration of credits is not sufficient to restore the full amount of accrued leave used during the waiting period, the State shall credit to the employees' leave accruals the difference between the accrued leave used and the Workers' Compensation Board Credit.*

Section 24.6 Other leaves with pay.

(f) *Bereavement Leave.* [Death in the immediate family. Leave of up to four consecutive workdays (not to exceed a total of 28 work hours) shall be allowed immediately following the death of an employee's spouse; domestic partner; natural, foster or step: parent, child, brother or sister; father-in-law or mother-in-law; grandparent or grandchild; or any relative residing with the employee or an individual for whom the employee has been the primary caregiver. Leave of up to two consecutive workdays (not to exceed a total of 14 work hours) shall be allowed immediately following the death of an employee's son-in-law or daughter-in-law. Prior notice and authorization is not required for leave under this subdivision. When a death in an employee's family occurs while the employee is on annual leave, such time as is excusable for death in the family shall not be charged to annual leave.]

(1) Leave of up to four consecutive workdays (not to exceed a total of 28 work hours) shall be allowed immediately following the death of an employee's spouse; domestic partner; natural, foster or step: parent, child, brother or sister; father-in-law or mother-in-law; parent of a domestic partner; grandparent or grandchild; any relative residing with the employee; or an individual for whom the employee has been the primary caregiver.

(2) Leave of up to two consecutive workdays (not to exceed a total of 14 work hours) shall be allowed immediately following the death of an employee's son-in-law or daughter-in-law.

(3) Leave of up to one day (not to exceed a total of 7 work hours) shall be allowed immediately following the death of an employee's brother-in-law or sister-in-law.

(4) In exceptional cases where the deceased is unavailable for burial or services, or when there is a delayed memorial service, the Chief Administrator (or his/her designee) may, in his/her discretion, upon an employee's request, waive the requirement that bereavement leave is subject to the terms set forth in subsections (1) through (3) above.

(5) Prior notice and authorization is not required for leave under this subdivision. When a death in an employee's family occurs while the employee is on annual leave, such time is excusable for bereavement leave and shall not be charged to annual leave.

(h) *Extraordinary Circumstances.*

(1) An employee who has reported for duty and, because of extraordinary circumstances beyond his or her control, is directed to leave work, shall not be required to charge such directed absence during such day against leave credits. An employee who does not report for duty because of circumstances beyond his or her control shall not be required to charge such absence during such day against leave credits if the court or other facility where the employee is required to report is closed due to extraordinary circumstances. Any release or excusal of employees due to extraordinary circumstances does not create any right to equivalent time off by employees not adversely affected by the extraordinary circumstances. Only the administrative authority may direct employees to leave work.

(2) *Employees who are required to work when the court or facility where they report to work is closed due to extraordinary circumstances shall be credited with compensatory time for the time they worked.*

(k) Leaves for medical screening. An employee shall be entitled to leave with pay for breast cancer screening; and/or prostate cancer screening; and/or other types of cancer screening. *Employees shall also be entitled to leave with pay for [and] bone marrow screening and donation.*

(l) [The Chief Administrator of the Courts or his or her designee may grant leaves with pay for reasons not itemized in this Part.] *Leave for New York State Bar examination. Upon application to the administrative authority, together with proof satisfactory to the State, employees registered to take the New York State Bar examination shall be allowed two days leave with pay to take the Bar examination and, if necessary, one day leave with pay to review the results of such examination.*

(m) *The Chief Administrator of the Courts or his or her designee may grant leaves with pay for reasons not itemized in this Part.*

AMENDMENT OF RULE

Rules Governing Judicial Conduct

By the powers vested in me and with the approval of the Court of Appeals, I hereby amend sections 100.3(D)(2) and 100.5(A)(7) of the Rules Governing Judicial Conduct (22 NYCRR 100.3[D] and 100.5[A]), effective May 6, 2019, as follows (new material underlined, deleted material stricken):

Section 100.3 A judge shall perform the duties of judicial office impartially and diligently.

* * *

(D) Disciplinary Responsibilities.

(1) A judge who receives information indicating a substantial likelihood that another judge has committed a substantial violation of this Part shall take appropriate action.

(2) A judge who receives information indicating a substantial likelihood that a lawyer has committed a substantial violation of the [Code of Professional Responsibility] *Rules of Professional Conduct* (22 NYCRR Part 1200) shall take appropriate action.

* * *

Section 100.5 A judge or candidate for elective judicial office shall refrain from inappropriate political activity.

(A) Incumbent Judges and Others Running for Public Election to Judicial Office.

* * *

[(7) Independent Judicial Election Qualifications Commissions, created pursuant to Part 150 of the Rules of the Chief Administrator of the Courts, shall evaluate candidates for elected judicial office, other than justice of a town or village court.]

FINANCIAL REPORTS



**Department of
Taxation and Finance**

Depositories for the Funds of the State of New York

Month End: April 30, 2019

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Andrew D. Morris
Executive Deputy Commissioner

ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER

Unemployment Insurance Funding Account	Key Bank	2,612,634.06
Occupational Training Act Funding Account	Key Bank	166,557.49
Unemployment Insurance Exchange Account	Key Bank	0.00
Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(422,451,844.49)
General Checking	Key Bank	(382,340,140.69)
Direct Deposit Account	Wells Fargo	25,332.80
TOTAL		(441,819,591.99)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,431.97
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	14,847.80
NY ISO Account	Key Bank	8,626,142.85
OGS Binghamton Office Bldg	Key Bank	132.00
SNY Office of General Services	JPMorgan Chase Bank, N.A.	3,389.94
State of New York OGS Escrow II	Key Bank	2,817,894.73
State of New York OGS Petty Cash	Key Bank	260,952.39
State of New Your OGS Escrow	Key Bank	110,105.82
State of NY Office Of General Services	Key Bank	10,148.51
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	1,436.00
Key Advantage Account	Key Bank	81,242.52
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	2,614,317.65
NYSP CTIU Confidential Fund	Key Bank	3,000.00
SIU Confidential Fund Account	Key Bank	5,420.34
Special Fund	Key Bank	48,287.23
State Police Receipts Account	Bank of America, N.A.	475,995.69
Troop A Batavia - Petty Cash	Bank of America, N.A.	970.00
Troop A Batavia-Confidential	Bank of America, N.A.	3,400.00
Troop B Confidential	Key Bank	3,409.10
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	4,300.00
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	8,488.83
Troop D Oneida Petty Cash	Alliance Bank	821.56
Troop E Canandaigua Confidential	Canandaigua National Bank	12,500.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	4,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	842.89
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	704.08
Troop K Poughkeepsie-Confidential	Bank of Millbrook	2,543.33
Troop L Confidential Fund	Bank of America, N.A.	5,348.15
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	No report received
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	No report received
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	104,071.56
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	45,934.53
Revenue Account	JPMorgan Chase Bank, N.A.	531,029.73
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	3,830.07
NYS OSC Concentration	Key Bank	55,184.49
State of New York LMC Petty Cash Account	Key Bank	2,000.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,940.00

01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	502.00
Petty Cash	Community Bank	3,417.55
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	No report received
Emergency Award Account	M&T Bank	No report received
Emergency Claims	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
REST/SUBROG Escrow Account	Key Bank	No report received
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,723.75
FBI Fee Account	Bank of America, N.A.	6,549.96
Fingerprint Fee Account	Bank of America, N.A.	3,470.75
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	2,300.68
Alcohol Beverage	Bank of America, N.A.	91,235.32
Alcoholic Bev Control License	Wells Fargo Bank	132,436.86
Alcoholic Beverage Control License	M&T Bank	312,582.76
Assessments Bulk	JPMorgan Chase Bank, N.A.	138,562.99
Assessments Receivable	JPMorgan Chase Bank, N.A.	10,204,020.33
Assessments Receivable (EFT)	Wells Fargo Bank	512,217.82
Boxing And Wrestling Tax	Bank of America, N.A.	2,498.53
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	389,207.65
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	0.00
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	876,349.16
Check 21 PIT	JPMorgan Chase Bank, N.A.	1,566,998.11
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	5,291,261.04
Check Sales Tax	JPMorgan Chase Bank, N.A.	1,595,579.94
Cigarette Stamp Sales Tax	JPMorgan Chase Bank, N.A.	2,193,564.00
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	1,500.00
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	11,361,595.12
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	372,933.25
Cigarette Tax Tobacco Products	Bank of America, N.A.	128,820.65
Congestion Surcharge	Wells Fargo Bank	247,129.46
Corporation Tax	Wells Fargo Bank	97.92
Corporation Tax - Art 9	Bank of America, N.A.	0.00
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	154,824.90
Educational Charitable Account	Wells Fargo Bank	0.00
Employer Compensation Expense	Wells Fargo Bank	31,838.31
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	206,087.63
Estate Tax	Bank of America, N.A.	5,390,380.27
Estimated Tax	JPMorgan Chase Bank, N.A.	17,677,339.47
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	22,342.09
Health Charitable Account	Wells Fargo Bank	0.00
Highway Use - Permits & Reg.	Bank of America, N.A.	7,042.72
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	1,170,568.28
Hudson River-Black River	Bank of America, N.A.	0.00
Hudson River-Black River	Community Bank	0.00
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	14,756.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	247,151.57
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	10,986.50
IFTA-Fuel Use	Bank of America, N.A.	35,164.01
Income Tax - LLC	JPMorgan Chase Bank, N.A.	124,323.33
Justice Court	Key Bank	0.00
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	955.50
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	265,992.00
Medical Marijuana Tax Collections	Bank of America, N.A.	68,769.75
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	4,513,509.07
Ogdensburg Bridge & Port	Community Bank	42,971.92
Personal Income Tax	Wells Fargo Bank	110,415.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	309,710.70
Petroleum Products Tax	Bank of America, N.A.	3,070,444.56
PIT Bulk	JPMorgan Chase Bank, N.A.	8,501.00
Port Of Oswego	Key Bank	330,338.75
Promptax - MCTMT	Wells Fargo Bank	7,004,946.19
Promptax - Petroleum Business Tax	Wells Fargo Bank	572.21

Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	209.92
Promptax - Withholding	Wells Fargo Bank	34,899,653.17
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	26,773.61
Real Estate Transfer Tax	Key Bank	1,372.96
Revenue Holding	First Niagara Bank	7,077,583.00
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	263.53
SUNY Concentration	First Niagara Bank	902,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,465.71
Troy Debt Service Reserve Fund	Bank of America, N.A.	0.00
Uncashed Winning Tickets	Bank of America, N.A.	83,170.28
Unclaimed Funds	JPMorgan Chase Bank, N.A.	6,044,876.72
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	32,940.77
Withholding	Wells Fargo Bank	626.08
Withholding Tax	JPMorgan Chase Bank, N.A.	16,680,657.41
Cash Advance Accounts		
Advance For Travel Account	Key Bank	400.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,700.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	26.15
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	470,847.62
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	16.84
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	654.46
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	18.20
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	11,809,034.89
National Global Settlement	JPMorgan Chase Bank, N.A.	5,372,433.44
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	29,021.59
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,299.76
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	3,596.00
Petty Cash Account Dist Off	Bank of America, N.A.	21,533.52
Petty Cash New York City	JPMorgan Chase Bank, N.A.	701.57
Public Information Office	Key Bank	0.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	23,698.86
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,286,240.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	8,431,910.40

Finger Print Account	JPMorgan Chase Bank, N.A.	75.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	500.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,986,944.47
Lawyers Fund For Client Protection - Bail	Key Bank	699.86
Petty Cash	Key Bank	4,233.54
05023 - ATTORNEY GRIEVANCE COMMITTEE, THIRD JUDICIAL DEPARTMENT		
Attorney Grievance Committee	M&T Bank	750.00
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	344.36
Clerk Of The Court Of Appeals	Key Bank	4,290.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	4,322,100.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	37,616.75
1st Jud Dept Petty Cash Appellate	JPMorgan Chase Bank, N.A.	439.04
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	105,710.00
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	26,118.95
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	13,502.75
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	4,477.98
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	100,868.98
Glen Cove City Court Revenue	Wells Fargo Bank	57,915.00
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	67,798.15
Long Beach Court Bail	Wells Fargo Bank	155,861.78
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	27,565.34
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	376,107.89
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	68,958.85
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	118,286.50
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	22,243.64
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	39,274.25
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	421,083.66
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	307,375.08
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	76,875.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	225,762.10
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	580.00
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	220,769.20
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	68,049.30
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	47,954.10
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	37,195.30
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	32,781.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	64,521.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	52,427.26
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	0.00

New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	102,147.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	59,351.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	122,491.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	24,246.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	36,197.00
05220 - NYC-FAMILY COURT		
Family Citywide Administration		
NYC Family Court	JPMorgan Chase Bank, N.A.	310.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	10,299.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	9,076.50
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	16,585.84
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	965,167.00
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	1,686,068.99
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,156,926.45
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	1,036,391.62
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	5,445,169.51
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	291.53
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	86,973.50
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	7,338.00
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	70,347.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	21,978.25
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	5,748.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	11,332.70
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	31,487.00
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	117,058.00
Albany Traffic Court - Bail	Bank of America, N.A.	1,300.20
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	55,611.32
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	0.00
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	0.00
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	11,321.50
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	32,418.50
Cohoes City Court Fees/Fines Account	Key Bank	7,835.70
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	16,599.50
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	2,725.75
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	33,859.54
Hudson City Court Bail Acct	Trustco Bank	0.00

Hudson City Court Revenue Acct - Revenue	Trustco Bank	0.00
Hudson City Revenue	Wells Fargo Bank	20,008.55
Kingston City Court		
Kingston City Court Bail	Key Bank	2,490.00
Kingston City Court Bail	Wells Fargo Bank	2,250.00
Kingston City Court Revenue	Wells Fargo Bank	42,479.53
Rensselaer City Court		
Rensselaer City Court - Revenue	Key Bank	6,212.35
Rensselaer City Court Bail Acct	Key Bank	7,485.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	15,932.75
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	60.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	402.00
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	80,383.41
Troy Police Court Bail Account	Bank of America, N.A.	31,792.74
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	10,152.25
Watervliet City Court		
Watervliet Bail	Pioneer Savings Bank	56,415.00
Watervliet Revenue	Pioneer Savings Bank	47,620.50
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	87,136.35
Amsterdam City Court - Revenue	Key Bank	24,773.95
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	121.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	439.50
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	101.75
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	384.50
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	17,093.60
Glens Falls City Court Bail Acct	Glens Falls National	36,431.61
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	45,652.06
Gloversville City Court Revenue	NBT Bank	18,079.15
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	0.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	25,673.57
Johnstown City Court Fines/Fees - Revenue	Key Bank	14,735.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	2,451.02
Mechanicville City Ct Revenue Acct	TD Bank	11,941.00
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	30.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	18,905.20
Ogdensburg City Court Revenue	Community Bank	5,153.04
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	83,530.00
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	29,064.38
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	3,019.00
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	49,995.17
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	33,940.80
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	60,796.92
Schenectady City Court Revenue	Bank of America, N.A.	44,003.90
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	5,424.75
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	1,565.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	2,179.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	450.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		

Fulton City Court		
Fulton City Court Bail Acct	Key Bank	9,233.20
Fulton City Court Revenue	Key Bank	5,435.74
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	20.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	5,280.25
Lewis County		
Lewis County Clerk	Community Bank	11,952.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	420.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	23,100.00
Little Falls City Court Revenue	M&T Bank	4,145.00
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	12,450.59
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	12,714.50
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	4,980.25
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	15,437.53
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	19,283.20
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	3,170.00
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	52,071.25
Rome City Court - Revenue	Bank of America, N.A.	50,823.15
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	250.00
Sherrill City Court Fees - Revenue	Alliance Bank	1,928.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	338,953.00
Syracuse City Court - Fees - Revenue	Alliance Bank	55,034.10
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	82,542.50
Utica City Court Revenue Account	Key Bank	47,806.00
Watertown City Court		
Watertown City Court Bail	Key Bank	45,470.72
Watertown City Court Fees & Fines - Revenue	Key Bank	21,730.21
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	54,160.00
Binghamton City Court Revenue	M&T Bank	32,209.75
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	10,858.25
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	402.25
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	173.75
Cortland City Court		
Court City Court Bail	NBT Bank	10,150.28
Court City Court- Revenue	NBT Bank	12,414.00
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	152.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	937.00
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	20,536.10
Elmira City Court Bail	Chemung Canal Trust	47,194.08
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	20,410.00
Ithaca City Court Revenue	Tompkins County Trust	17,202.70
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	1,926.75
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	13,250.00
Norwich City Court Revenue Acct	NBT Bank	4,662.00
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	11,890.50
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	11,986.50
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	11,500.45
Oneonta City Court Bail Account	Community Bank	14,200.00

Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	1,498.75
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	663.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	3,231.75
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	7,458.00
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	50,671.05
Auburn City Court Fees & Fines - Revenue	Key Bank	10,477.81
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	42,873.12
Canandaigua City Court Revenue	Canandaigua National Bank	17,450.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	496.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	22,921.67
Corning City Court - Revenue	Community Bank	0.00
Corning City Court - Revenue	Wells Fargo Bank	8,353.20
Corning City Court Bail	M&T Bank	5.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	42,701.80
Geneva City Court Revenue Account	Wells Fargo Bank	11,961.00
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	22,491.68
Hornell City Court Revenue	Steuben Trust Co.	3,650.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	477.50
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	13,712.25
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	2,180.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	457,215.26
Rochester City Revenue	M&T Bank	62,249.40
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	1,370.00
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	5,153.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	1,590.75
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	1,352.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Allegany County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
Batavia City Bail Account	M&T Bank	No report received
Batavia City Court Revenue	M&T Bank	No report received
Buffalo City Court		
Buffalo City Bail Account	M&T Bank	No report received
Buffalo City Revenue Account	M&T Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
Dunkirk City - Revenue	Key Bank	No report received
Dunkirk City Court - Bail	Key Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received

Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara City Court - Criminal		
City Court Of Niagara Falls Criminal - Revenue	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
No. Tonawanda City Court		
N. Tonawanda City Court Bail	M&T Bank	No report received
N. Tonawanda City Court Revenue	M&T Bank	No report received
Olean City Court		
Olean City Court Bail Account	Community Bank	No report received
Olean City Court Revenue Account	Community Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
Tonawanda City Court		
Tonawanda City Court Bail	M&T Bank	No report received
Tonawanda City Court Revenue	M&T Bank	No report received
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	22,559.50
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	38,471.15
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	42,914.50
Middletown City Court		
Middletown City Bail Escrow - Bail	JPMorgan Chase Bank, N.A.	123,275.26
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	50,638.05
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	189,000.91
Mt Vernon City Court State Revenue	Wells Fargo Bank	95,781.20
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	386,802.52
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	45,147.93
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	57,281.25
Newburgh City Court Revenue	Wells Fargo Bank	29,099.10
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	10,548.00
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	32,272.00
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	99,818.15
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	50,070.99
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	14,806.10
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	128,239.35
Poughkeepsie City Court -Revenue	Wells Fargo Bank	146,709.32
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	13,679.50
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	8,238.50
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	14,215.54
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	39,568.00
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	JPMorgan Chase Bank, N.A.	22,062.75
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	154,101.73
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	128,920.15
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	400,468.80
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	107,040.39
06000 - AGRICULTURE & MARKETS		

Administration Account	Key Bank	22,057.23
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	22,312.00
Animal Population Control Account	Key Bank	40,556.88
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	26,492.33
Dairy Industry Services Account	Key Bank	41,109.92
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products Grading	JPMorgan Chase Bank, N.A.	0.00
Milk Producers Security Fund	Key Bank	25,369.56
NYS Farmers Market Program	Key Bank	919,633.24
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	119.22
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	11,291.14
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.01
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	27,670.26
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	0.00
State Fair Premium Award Account	Solvay Bank	51,493.09
Weights & Measures Account	Key Bank	1,871.34
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	22,418.41
State Fair		
NYS Fair Operating Account	Solvay Bank	795,095.25
NYS Fair Petty Cash/Travel	Solvay Bank	1,415.10
NYS Fair Special Account	Solvay Bank	228.82
State Fair Premium Award Account	Solvay Bank	0.00
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	5,353.00
Examination Application Fees Account	Key Bank	6,015.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	41,999,826.15
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	1,315.14
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	34,094.98
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	491,544.99
Conservation Petty Cash Account	M&T Bank	19,755.00
DEC/Exchange Account	M&T Bank	17,791.69
ENCON License Issuing Office	M&T Bank	577.00
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,172.49
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,816.23
Harbor Drift Removal Proj	JPMorgan Chase Bank, N.A.	1,554,858.33
Hunting Trapping & Fishing Account	M&T Bank	8,800.26
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	235,248.48
Lockbox Account	Wells Fargo Bank	202,140.71
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,323.09
NY Conservationist	Bank of America, N.A.	31,605.25
Program Fee	JPMorgan Chase Bank, N.A.	17,054.10
Revenue Account	Bank of America, N.A.	1,223,351.16
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,259,380.76
State of New York	Key Bank	335,991.58
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	11,116.67
Westhampton Project Escrow	JPMorgan Chase Bank, N.A.	255,222.48
Region 1		
Marine Permit Account	Bank of Smithtown	78,879.40
Region 3		
Revenue Region 3 Account	Bank of America, N.A.	0.00
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	11.65
Land & Forest Region 5W	TD Bank	12,153.74
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	157.33
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	8,632.12
Tree Nursery	Bank of America, N.A.	25,147.20

Region 6			
	Fish & Wildlife Watertown	Key Bank	121.92
	Lands & Forest District #7	Community Bank	0.00
	Lands & Forests District #6	Community Bank	45.00
	Lands And Forests District 10	M&T Bank	220.20
	SNY Dept Of Environmental Conserv	Community Bank	14.04
10000	- ATTICA CORRECTIONAL FACILITY		
	Agency Advance Account	Five Star Bank	859.23
	CD Spendable	Five Star Bank	220,000.00
	Employee Benefit Fund	Five Star Bank	12,531.42
	General Cash Fund	Five Star Bank	14,470.98
	Inmate Occupational Therapy Fund	Five Star Bank	113,132.43
	Inmate Savings Account	Five Star Bank	253,757.89
	Spendable Fund	Five Star Bank	131,878.22
10010	- AUBURN CORRECTIONAL FACILITY		
	ACF Four Committee	Auburn Community Federal Credit Union	27,986.69
	Advance Account	Key Bank	4,899.11
	Certificate of Deposit	Bank of America, N.A.	15,000.00
	Certificate of Deposit	Bank of America, N.A.	90,000.00
	Inmate Occupational Therapy Fund	Key Bank	26,363.98
	Inmate Spendable Account	Bank of America, N.A.	48,424.87
	Inmate Spendable Account	Key Bank	170,444.30
	Inmate Spendable Savings CD	Key Bank	20,018.28
	Misc Revenue	Key Bank	9,083.89
	Money Market	Bank of America, N.A.	35,040.69
10020	- CLINTON CORRECTIONAL FACILITY		
	Advance Account	Key Bank	12,446.87
	Employee Benefit Fund	Key Bank	18,162.40
	General Fund	Key Bank	1,568.32
	Inmate Funds	Key Bank	111,737.23
	Inmate Funds Money Market Account	Key Bank	832,864.84
	Inmate Occupational Therapy Acct	Key Bank	81,224.19
10030	- WATERTOWN CORRECTIONAL FACILITY		
	Agency Advance Account	Key Bank	2,212.70
	Inmate Occupational Therapy Fund	Key Bank	16,149.68
	Inmate Spendable Funds	Key Bank	46,160.70
	Inmate Spendable Savings Account	Key Bank	90,546.45
	Miscellaneous Receipts	Key Bank	14,703.28
10040	- GREAT MEADOW CORRECTIONAL FACILITY		
	Certificate of Deposit	Glens Falls National	25,000.00
	Certificate of Deposit	Glens Falls National	100,000.00
	Certificate of Deposit	Glens Falls National	150,000.00
	Facility Advance	Key Bank	5,789.81
	General Fund	Key Bank	0.00
	Inmate Fund	Key Bank	143,091.14
	Inmate Fund Savings Account	Glens Falls National	152,500.00
	Miscellaneous Account	Key Bank	9,287.42
	Occupational Therapy	Key Bank	27,389.66
10050	- FISHKILL CORRECTIONAL FACILITY		
	Agency Advance	M&T Bank	1,754.03
	Employee Benefits	M&T Bank	14,925.03
	Inmate Spending Account	M&T Bank	585,610.64
	Inmates Account	M&T Bank	462,643.96
	Inmates Benefit	M&T Bank	19,422.91
	Misc Receipts	M&T Bank	49,577.97
	Occupational Therapy Account	M&T Bank	27,613.87
10060	- WALLKILL CORRECTIONAL FACILITY		
	Advance Account	Key Bank	1,350.69
	Employee Benefit	Key Bank	1,352.03
	Inmate Occupational Therapy Fund	Key Bank	43,238.50
	Inmate Savings Account	Key Bank	88,016.17
	Inmates Fund Account	Key Bank	107,775.83
	Misc. Receipts	Key Bank	39,114.41
10070	- SING SING CORRECTIONAL FACILITY		
	Cash Advance	JPMorgan Chase Bank, N.A.	8,513.50
	Inmate Funds	JPMorgan Chase Bank, N.A.	101,947.93
	Inmate Interest Funds	JPMorgan Chase Bank, N.A.	453,661.02
	Misc Receipts	JPMorgan Chase Bank, N.A.	29,841.39
	Occupational Therapy	JPMorgan Chase Bank, N.A.	110,758.45
	Quality Work Life	JPMorgan Chase Bank, N.A.	42,333.92
10080	- GREEN HAVEN CORRECTIONAL FACILITY		
	Advance Account	Key Bank	2,770.07
	General Fund	Key Bank	20,091.24
	Inmates Money Market	Key Bank	287,304.43

Inmates Now Checking	Key Bank	526,100.22
Occupational Therapy Fund	Key Bank	147,267.34
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	1,619.22
Employee Benefit Fund	Bank of America, N.A.	8,836.17
Inmate Funds	Bank of America, N.A.	100,400.13
Inmate Funds Savings	Bank of America, N.A.	153,814.28
Misc Receipts	Bank of America, N.A.	4,041.01
Occupational Therapy	Bank of America, N.A.	32,022.76
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	491.56
Employee Benefit Fund	Sterling Bank	15,862.98
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	403,569.47
Inmate Occupational Therapy Account	Sterling Bank	39,179.48
Misc. Receipts	Sterling Bank	6,216.48
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	1,841.89
ECF Clubhouse	Chemung Canal Trust	19,347.97
Employee Benefit Fund	Chemung Canal Trust	13,284.04
Inmate CD Account	M&T Bank	213,569.79
Inmates Fund	Chemung Canal Trust	388,332.50
Miscellaneous Receipts	Chemung Canal Trust	679.77
Occupational Therapy Fund	Chemung Canal Trust	43,342.28
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds CD	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	No report received
Misc. Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	1,707.75
Employee Benefits Fund	National Bank of Coxsackie	7,639.44
Inmates Fund	National Bank of Coxsackie	76,615.06
Inmates Fund Savings Acct	National Bank of Coxsackie	23,650.84
Misc. Revenue	National Bank of Coxsackie	10,178.21
Money Market Acct	National Bank of Coxsackie	160,467.73
Occupational Therapy Acct	National Bank of Coxsackie	16,661.75
10140 - WOODBOURNE CORRECTIONAL FACILITY		
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	95,000.00
WCF Agency Advance	Jeff Bank	1,368.44
WCF General Fund	Jeff Bank	12,032.05
WCF Inmate Fund	Jeff Bank	195,914.61
WCF Occupational Therapy Fund	Jeff Bank	27,153.77
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
Inmate Escrow Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Special Account	Key Bank	No report received
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	4,871.78
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	8,639.79
Inmate Funds Account	JPMorgan Chase Bank, N.A.	134,381.62
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	655.99
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	3,300.26
Savings Account	JPMorgan Chase Bank, N.A.	No report received
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	8,681.75
Agency Advance	Community Bank	643.75
Diversity Management	Community Bank	493.07
Employee Benefit Fund	Community Bank	2,374.06
General Fund	Community Bank	62.12
Inmate Funds	Community Bank	2,958.22
Inmate Occupational Therapy Fund	Community Bank	7,688.95
Inmate Savings Money Market	Community Bank	0.00
Make A Difference Day	Community Bank	0.00
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,844.55
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	16,423.14
Inmate Fund	JPMorgan Chase Bank, N.A.	497,528.77

Inmates Funds Savings	JPMorgan Chase Bank, N.A.	80,015.05
Misc. Receipts	JPMorgan Chase Bank, N.A.	29,965.10
Occupational Therapy	JPMorgan Chase Bank, N.A.	93,203.69
10250 - TACONIC CORRECTIONAL FACILITY		
Inmate Funds	JPMorgan Chase Bank, N.A.	150,040.17
Misc. Revenue	JPMorgan Chase Bank, N.A.	1,068.48
Money Market	JPMorgan Chase Bank, N.A.	30,832.75
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	19,465.47
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,740.28
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,316.57
Employee Benefit Fund Account	Key Bank	5,556.02
Inmate Funds Account	Key Bank	53,452.88
Inmate Key Advantage Account	Key Bank	50,267.45
Inmate Occupational Therapy Account	Key Bank	8,534.78
Miscellaneous Receipts Account	Key Bank	2,139.82
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	300.00
General Fund	Jeff Bank	2,465.29
Inmate Funds	Jeff Bank	221,062.64
Inmate Occupational Therapy	Jeff Bank	44,908.35
Inmate Savings	Jeff Bank	51,291.20
Inmate Savings CD	Hometown Bank	77,086.85
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,427.29
Employee Recreation Fund	M&T Bank	831.48
Inmate Deposit Account	M&T Bank	68,917.94
Inmate Occupational Therapy	M&T Bank	26.26
Misc Fees	M&T Bank	2.52
Work Release Advance Account	M&T Bank	5,399.70
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	No report received
Employee Benefit Account	JPMorgan Chase Bank, N.A.	No report received
Inmate Cash Account	JPMorgan Chase Bank, N.A.	No report received
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	No report received
Work Release Account	JPMorgan Chase Bank, N.A.	No report received
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	2,991.70
Inmate Fund	Community Bank	76,080.54
Inmate Savings	Community Bank	45,213.66
Misc. Receipts	Community Bank	307.67
Occupational Therapy	Community Bank	19,181.90
10360 - LINCOLN CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,238.53
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	5,148.65
General Fund	JPMorgan Chase Bank, N.A.	885.00
Inmate Funds	JPMorgan Chase Bank, N.A.	309,801.74
Inmate Savings	JPMorgan Chase Bank, N.A.	59,994.26
Lincoln Work Release Account	JPMorgan Chase Bank, N.A.	2,491.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	3,846.76
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	No report received
EBF Checking	Five Star Bank	No report received
EBF Savings	Five Star Bank	No report received
Inmate Savings	Five Star Bank	No report received
Inmate Spendable	Five Star Bank	No report received
Misc Receipts	Five Star Bank	No report received
Occupational Therapy	Five Star Bank	No report received
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,614.40
Employee Benefit Fund Checking	Bank of America, N.A.	29,779.11
Inmate Funds Checking	Key Bank	331,163.81
Inmate Funds Savings	Key Bank	313,912.93
Miscellaneous Revenue	Key Bank	1,038.25
Occupational Therapy	Key Bank	26,705.78
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	3,734.05
Employee Benefit Account	Alden State Bank	30,436.50
Inmate Savings	Alden State Bank	196,142.12
Inmates Funds	Alden State Bank	266,065.46
Misc. Receipts	Alden State Bank	5,196.00
Occupational Therapy	Alden State Bank	71,351.71
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		

Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	25,708.72
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,973.95
Employee Benefit Fund	Community Bank	14,025.26
Inmate Funds	Community Bank	122,546.94
Inmate Funds	Evans National Bank	82,919.95
Inmate Funds - CD	Evans National Bank	135,381.24
Inmate Funds Savings	Community Bank	123,659.02
Miscellaneous Revenue	Community Bank	13,666.99
Occupational Therapy	Community Bank	19,407.53
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,751.63
Employee Commission Account	Five Star Bank	13,649.29
Inmate Funds Account	Five Star Bank	196,695.19
Inmate Funds Account - Savings	Five Star Bank	108,027.40
Miscellaneous Receipts Account	Five Star Bank	1,263.79
Occupational Therapy Account	Five Star Bank	17,095.19
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,454.08
Employee Activities	Community Bank	50,040.18
Inmate Fund Checking	Community Bank	102,599.37
Inmate Savings	Community Bank	130,519.34
Miscellaneous Revenue	Community Bank	4,334.72
Occupational Therapy	Community Bank	19,728.02
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	703.42
Employee Benefit Fund	Bank of America, N.A.	30,334.86
Inmate Funds	Key Bank	191,952.40
Inmate Savings	Key Bank	198,360.07
Misc. Revenue	Key Bank	1,879.09
Occupational Therapy	Key Bank	51,991.50
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,329.45
Employee Benefit Fund Account	Bank of America, N.A.	4,485.24
Inmate Fund Account	Key Bank	272,275.77
Misc receipts Acct	Key Bank	1,320.34
NYS DOCS Marcy CORR Facility	Key Bank	No report received
Occupational Therapy fund Acct	Key Bank	42,557.98
10500 - NYC CENTRAL ADMINISTRATION		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	4,610.26
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,892.64
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	36,316.15
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,427.83
Employee Benefit Account	Key Bank	11,102.60
Inmate Funds	Key Bank	89,262.29
Inmate Occupational Therapy	Key Bank	17,651.29
Inmate Savings	Key Bank	217,262.69
Misc. Receipts	Key Bank	3,157.74
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	2,826.18
Employees Vending Benefit	NBT Bank	11,695.70
Inmates Funds	NBT Bank	113,094.02
Misc Revenues General Fund	NBT Bank	834.98
Occupational Therapy	NBT Bank	8,137.92
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	1,739.85
Cert Of Deposit	First National Bank of Groton	86,836.33
Employee Benefit Fund	First National Bank of Groton	7,031.74
Inmate Occupational Therapy Account	First National Bank of Groton	34,838.14
Inmate Spendable	First National Bank of Groton	0.00
Inmate Spendable	First National Bank of Groton	212,173.30
Misc Receipts	First National Bank of Groton	497.23
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,995.14
Employee Benefit Fund	Key Bank	10,078.82

Inmate Spendable Funds	Key Bank	160,184.12
Key Public Money Market Checking	Key Bank	308,618.28
Miscellaneous Receipts	Key Bank	851.99
Occupational Therapy	Key Bank	26,372.92
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	500.00
Inmate Accounts	Key Bank	89,112.52
Inmate Savings Account	Key Bank	105,454.11
Miscellaneous Receipts Account	Key Bank	8,301.50
Occupational Therapy	Key Bank	18,928.09
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,860.00
Employee Benefit Fund	Community Bank	40,964.95
Inmate Occupation Therapy Acct	Community Bank	35,120.80
Inmate Savings	Community Bank	221,647.94
Inmate Spendable Account	Community Bank	125,540.14
Miscellaneous Receipts Account	Community Bank	2,276.19
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	12,631.90
Inmate Funds	Community Bank	53,590.21
Inmate Funds - Sav	Community Bank	94,648.59
Miscellaneous Revenue	Community Bank	2,398.15
Occupational Therapy	Community Bank	385.88
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,296.76
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	7,096.95
Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	156,298.50
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,296.42
Misc Receipts	M&T Bank	458.98
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	6,815.84
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	705.02
Employee Benefit Fund	Chemung Canal Trust	16,604.27
Inmate Funds	Chemung Canal Trust	122,615.14
Inmate Funds Account	Chemung Canal Trust	30,455.37
Misc. Receipts Account	Chemung Canal Trust	576.51
Occupational Therapy Account	Chemung Canal Trust	27,273.97
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	1,871.30
Employee Benefit Fund	Bank of America, N.A.	9,982.55
Inmate Funds	Bank of America, N.A.	78,511.18
Inmate Savings	Bank of America, N.A.	157,855.26
Miscellaneous Receipts	Bank of America, N.A.	5,258.95
Occupational Therapy	Bank of America, N.A.	35,428.95
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,429.06
General Account	Key Bank	0.00
Inmate Account	Key Bank	236,089.79
Inmate Funds Account Certificate Of Deposit	Glens Falls National	78,596.33
Inmate Savings Account	Key Bank	14,343.33
Occupational Therapy Account	Key Bank	24,289.88
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,254.83
Employee Benefit Fund	Five Star Bank	11,281.21
Inmate Occupational Therapy	Five Star Bank	46,968.53
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	51,511.10
Inmate Savings-Certificate of Deposit	Five Star Bank	25,000.00
Inmate Spendable	Five Star Bank	241,908.86
Misc. Receipts Account	Five Star Bank	10,030.46
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	1,218.74
Inmate Accounts	National Bank of Coxsackie	182,940.49
Inmate Savings	National Bank of Coxsackie	448,164.91
Misc. Receipts	National Bank of Coxsackie	937.54
Occupational Therapy	National Bank of Coxsackie	62,163.58

10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	892.00
Inmate Funds	Key Bank	150,178.34
Inmates Funds Account	Key Bank	49,299.69
Misc. Receipts Account	Key Bank	2,187.68
Occupational Therapy Acct	Key Bank	28,796.73
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	2,473.63
Inmate Checking	Key Bank	78,156.75
Inmate Savings	Key Bank	100,318.77
Miscellaneous	Key Bank	15,567.31
Occupational Therapy	Key Bank	25,299.18
10800 - LIVINGSTON CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	2,088.54
Employee Benefit Fund	Five Star Bank	8,509.10
Inmate Funds CD	Five Star Bank	100,741.26
Inmate Funds Checking	Five Star Bank	46,268.99
Inmate Savings	Five Star Bank	20,246.32
Miscellaneous Receipts	Five Star Bank	739.53
Occupational Therapy	Five Star Bank	12,453.78
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	1,430.00
Inmate Occupational Therapy	Community Bank	26,255.59
Inmate Savings	Community Bank	234,938.96
Inmate Spendable Fund	Community Bank	91,409.56
Misc Receipts	Community Bank	11,115.82
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	961.11
Employee Benefit Fund	Community Bank	15,749.94
Inmate Funds	Community Bank	73,132.36
Inmate Occupational Therapy	Community Bank	8,272.64
Misc Receipts	Community Bank	47.15
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	1,791.00
Facility Committees	Key Bank	13,198.19
Inmate Fund	Key Bank	404,995.68
Inmate Fund Savings	Key Bank	0.00
Inmate Occupational Therapy Fund	Key Bank	6,044.12
Miscellaneous Account	Key Bank	901.17
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	675.00
Employee Benefit Fund	Bank of America, N.A.	8,412.46
Inmate Funds	Key Bank	102,233.90
Inmate Interest Bearing Account	Key Bank	15,001.85
Misc Receipts	Key Bank	0.00
Occupational Therapy	Key Bank	24,818.32
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	277,342.76
Parole Supervision Fee	Wells Fargo Bank	30,586.97
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	4,000.00
Div of Ind Revenue Acct	Key Bank	179,066.07
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
Operating	Key Bank	4,008,373.99
Retail Lockbox	US Bank	186,905.45
TAP	Key Bank	27,317.49
Wholesale Lockbox	US Bank	1,865,169.30
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	1,355.82
Petty Cash	M&T Bank	4,000.00
Student Spending Account	Bank of America, N.A.	12,174.16
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	4,640.30
Petty Cash	NBT Bank	2,000.00
Student Activity Fund	NBT Bank	17,469.04
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	4,648,574.62
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	86,934.52
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		

Conf Narcotic Investigation	M&T Bank	5,292.56
Consolidated Advance Acct	M&T Bank	12,143.10
CSA Rebate Account	Bank of America, N.A.	59,601.85
DOH EPIC Lockbox Acct	Wells Fargo Bank	14,894.43
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	60,466.76
Early Intervention - State Funds	Key Bank	18,506.80
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	3,433,134.00
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	2,783,695.77
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	1,659.52
General Account	M&T Bank	285,689.32
ICR Audit Fees Account	Bank of America, N.A.	15,166.68
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	21,930.16
Medicaid	Key Bank	33,732,289.66
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	206,692.29
Nurses Aide Fees (Prometric)	Bank of America, N.A.	75,676.33
Nursing Home Fees Account	Bank of America, N.A.	20,642.08
NYS DOH CLEP Revenue	Key Bank	1,932.93
NYS WIC Adjustment Account	Key Bank	0.00
OBRA Drug Rebate Program Acct	Bank of America, N.A.	391,391.46
SPARCS	Key Bank	32,081.72
WIC Program	Key Bank	1,721,919.96
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	5,437,231.17
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	511,905.23
Petty Cash Account	JPMorgan Chase Bank, N.A.	10,767.70
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	6,210.37
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	1,508.57
Exchange Account	NBT Bank	19,025.46
Maintenance Fund	NBT Bank	523,101.11
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	250,419.63
Resident Custodial Account	NBT Bank	108.33
Resident Custodial Account	NBT Bank	81.95
Resident Custodial Account	NBT Bank	1,839.42
Resident Custodial Account	NBT Bank	2,270.46
Resident Custodial Account	NBT Bank	583.18
Resident Custodial Account	NBT Bank	9,323.06
Resident Custodial Account	NBT Bank	225.33
Resident Custodial Account	NBT Bank	1,796.31
Resident Custodial Account	NBT Bank	1,406.40
Resident Custodial Account	NBT Bank	10,742.66
Resident Custodial Account	NBT Bank	602.85
Resident Custodial Account	NBT Bank	2,254.41
Resident Custodial Account	NBT Bank	1,490.99
Resident Custodial Account	NBT Bank	75.38
Resident Custodial Account	NBT Bank	2,336.43
Resident Custodial Account	NBT Bank	2,984.46
Resident Custodial Account	NBT Bank	4,802.95
Resident Custodial Account	NBT Bank	5,563.63
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	No report received
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	731,427.06
St Albans Vet Home Maintenance Acct	NBT Bank	399,122.90
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	5,358.79
Exchange Account	Bank of America, N.A.	49,167.29
Maintenance Account	NBT Bank	228,266.80
Resident Funds	Bank of America, N.A.	48,285.40
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	12,942.25
Maintenance Acct	NBT Bank	556,628.23
Residence Account	Bank of America, N.A.	710,514.99
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	254.59
Albany Petty Cash Account	Key Bank	429.08
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00

14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	27,984.95
Exchange Account	Bank of America, N.A.	132,928.49
Fee And Permit Account	Key Bank	764,185.85
Min Wage & Claim Funding Acct	Key Bank	262,089.41
Minimum Wage & Wage Claim Acct	Key Bank	1,027,977.58
Misc Receipts	Bank of America, N.A.	89,744.05
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	185,614,342.57
UI Fund ACH Transactions	Wells Fargo Bank	10,000,000.00
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	No report received
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	20,297.09
Petty Cash Account	Key Bank	3,113.58
Special Fee Account	Key Bank	10,145.04
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	No report received
Driver Improvement Program (DIP)	Key Bank	No report received
Main Office Advance For Travel	Key Bank	No report received
PARTNERS DOT -HOOS	Key Bank	No report received
Revenue Unit	Key Bank	No report received
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	No report received
19000 - DEPARTMENT OF STATE		
Atheltic	M&T Bank	No report received
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Main	M&T Bank	No report received
Petty Cash Account	Key Bank	No report received
Summons	M&T Bank	No report received
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	313.00
JCOPE Revenue Account	Bank of America, N.A.	50,680.07
20000 - DEPARTMENT OF TAXATION & FINANCE		
Exchange	Bank of America, N.A.	124,793.50
Fee Account	Key Bank	369,100.91
IFTA Funding	JPMorgan Chase Bank, N.A.	545.28
Misc Tax Account - Exchange	Bank of America, N.A.	101,522.75
Petty Cash	Bank of America, N.A.	14,069.55
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	41,300.00
Waste Tire Fee (EFT)	Wells Fargo Bank	14,451.27
Waste Tire Tax	JPMorgan Chase Bank, N.A.	24,760.98
20050 - NEW YORK STATE GAMING COMMISSION		
Charitable Gaming Account	Key Bank	117,363.84
Custody Account	US Bank	11,495.24
Fingerprint Concentration Account	Key Bank	29,231.00
License Revenue Account	Bank of America, N.A.	4,091.86
Lottery Concentration Account	Key Bank	217,726.15
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	849,899.90
Petty Cash Account	Key Bank	856.26
Racing Refund Account	Key Bank	1,245,806.25
Video Gaming Revenue Account	Key Bank	25,951,591.66
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	32,332.79
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	382,086.82
Money Market	Bank of America, N.A.	2.72
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	56,204.23
OSIG Petty Cash Account	Key Bank	1,371.83
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	30,000.00

21820 - STATE COMMISSION ON JUDICIAL CONDUCT

Petty Cash Account	JPMorgan Chase Bank, N.A.	485.59
Petty Cash Account	Key Bank	12.23
Petty Cash Fund	JPMorgan Chase Bank, N.A.	668.73

21940 - NYS FINANCIAL CONTROL BOARD

Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
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23000 - DEPARTMENT OF MOTOR VEHICLES

Albany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	No report received
Albany Central Main Exchange Exchange	Wells Fargo Bank	No report received
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	No report received
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	No report received
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	No report received
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Chautauqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	93,687.25
Holding Acct-Chautauqua County	Key Bank	181,869.69
Holding Acct-Chautauqua County	M&T Bank	383,101.98
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	No report received
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	No report received
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	No report received
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	No report received
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	No report received
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
IRP (Albany Central Office)		
International Registration	M&T Bank	No report received
International Registration	Wells Fargo Bank	No report received
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	No report received
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	No report received
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	No report received
Long Island/Staten Island JP		

Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	No report received
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Office Fee (Albany Central Office)		
Office Fee	Wells Fargo Bank	No report received
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	191,950.83
Oneida County Fee Account	NBT Bank	173,409.89
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	No report received
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	No report received
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	No report received
Search Exchange (Albany Central Office)		
MV Search	Key Bank	No report received
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	No report received
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	No report received
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	No report received
TVB Acct		
TVB Acct	Wells Fargo Bank	No report received
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	No report received
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	No report received
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	No report received
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	427.70
Brooklyn Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	500.00
Brookwood Cash Advance	Key Bank	1,722.29
Brookwood Resid Residential Cash	Key Bank	8,640.34
Check Exchange	Bank of America, N.A.	4,081.73
CO Independent Living Acct	Bank of America, N.A.	2,000.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	620.96
Columbia Girls Secure Center-Youth Savings	Key Bank	2,190.31
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	2,373.93
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,300.00
Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	4,047.24
Harriet Tubman Advance Account	Key Bank	956.34
Harriet Tubman Residents' Account	Key Bank	500.00
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,550.00
Highland Res Ctr Residents Acct	Bank of America, N.A.	1,210.70
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	2,284.00
Industry Res Account	JPMorgan Chase Bank, N.A.	3,916.22
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	401.00
MacCormick Cash Advance	Tompkins County Trust	1,901.00
MacCormick Residents' Account	Tompkins County Trust	6,371.62
Medicaid Reimbursement Exchange	Bank of America, N.A.	277,980.19
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	13,568.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	18,400.00
Queens CMSO Cash Advance	Bank of America, N.A.	0.00
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	600.00
Red Hook Res Ctr Resident Cash	Key Bank	58.03
Red Hook Resid Ctr Cash Advance	Key Bank	298.00
SCR Credit Card Revenue Account	Bank of America, N.A.	36,025.00
State Central Register	Bank of America, N.A.	97,153.60
Taberg Cash Advance	NBT Bank	737.46

Taberg Residents Account	NBT Bank	682.50
Youth Leadership Academy	NBT Bank	1,775.51
Youth Leadership Cash Advance	NBT Bank	750.00
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	2,785.70
Title IV D Of Social Security	Key Bank	4,409,539.92
Travel Advance	Key Bank	10,143.67
28010 - SUNY ALBANY		
Fee Account	Key Bank	(235,767.10)
Loan Services Center Account	Key Bank	157,917.78
Petty Cash/Travel Advance	Key Bank	0.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	527,081.13
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	0.00
General Revenue Account	Key Bank	353,187.37
Imprest Account	Key Bank	6,960.69
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	810,237.78
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	1,863,100.20
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	410,959.27
LISVH Fees Depository	Sterling Bank	363,084.85
LISVH Residence Fund	Sterling Bank	375,543.83
Payroll Advance	JPMorgan Chase Bank, N.A.	5,248.15
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	0.00
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	1.00
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	832,182.95
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	4,887,228.87
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,475.60
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	494,554.40
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	552,486.46
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	60,866.98
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	256,878.57
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	1,317,511.52
Parking	Key Bank	287,240.60
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	9,256.31
Concentration Acct	M&T Bank	538,176.40
Controlled Disb	M&T Bank	0.00
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	3,773.14
Students Acct Office	M&T Bank	358,806.74
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	348,357.48
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	103,047.16
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	160,171.28
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	278,050.15
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
State Revenue	Key Bank	360,557.30
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	0.00
Revenue Account	NBT Bank	1,357,590.63
28230 - SUNY OSWEGO		

Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	372,631.89
Imprest Account	Key Bank	0.00
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	2,153,264.65
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	0.00
State Fee Reconciliation Account	Key Bank	89,089.05
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	272,552.38
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	0.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	195,572.15
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	251,857.51
Distribution Center Account	Key Bank	39,809.34
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	910,444.57
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	169,494.95
Dental Hygiene	NBT Bank	4,144.00
Income Fund	Key Bank	198,340.93
International Program Account	Key Bank	162,234.64
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	395,241.28
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	330,928.63
Petty Cash Fund	Delaware National Bank	0.00
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	671,725.69
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	446,078.74
Revenue Account	NBT Bank	8,646.27
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	0.00
Controlled Disb	Key Bank	0.00
ESF/GSA	Key Bank	0.00
Forestry	Community Bank	258.72
Regular Account	Key Bank	205,257.08
Student Government	Key Bank	0.00
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	35,000.00
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	806,748.32
Revenue EFT Account	JPMorgan Chase Bank, N.A.	39,599.13
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	150,431.92
Medical Transportation	JPMorgan Chase Bank, N.A.	146.38
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	2,500,000.00
Revenue	Key Bank	52,527.20
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	6,806.67
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,519.17
Fire Tax Account (Main)	Key Bank	311,914.55
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	64,968.44
General Fund	Key Bank	510,386.87
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	11,066,242.18
Miscellaneous Account	JPMorgan Chase Bank, N.A.	45,180.10
Petty Cash	Key Bank	6,000.00
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	103,733.30
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Contractors Bid (SA)	Bank of America, N.A.	82,841.68
Revenue (SA)	Glens Falls National	33,033.71
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	57,164.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	298,230.47
Revenue (LI)	People's United Bank	25,861.75
49030 - GENESEE STATE PARK COMMISSION		

Contractors Bid (GE)	Bank of Castile	42,622.63
Revenue (GE)	Bank of Castile	74,106.92
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	6,630.37
Revenue (NIA)	Evans National Bank	29,710.31
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	9,675.32
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	58,150.00
Main Office Account (ALB)	Key Bank	1,400.00
OPRHP Concentration Account	Key Bank	483,328.64
Petty Cash (ALB)	Key Bank	38,336.50
Revenue (NI, GE, AL, CE, TA)	M&T Bank	61,311.98
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	86,698.36
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	54,824.47
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	44,095.06
Revenue (various)	Wells Fargo Bank	103,236.41
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	1,721.89
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	445,611.82
Statewide Housing Security Deposits	Key Bank	169,677.17
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	19,328.78
Revenue (FL)	Savannah Bank	31,240.17
Revenue (FL-Multi)	Community Bank	3,502.39
Revenue (FL-Multi-Facilities)	Tompkins County Trust	308,641.72
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	6,959.00
Regional Account (AL)	Five Star Bank	80,078.91
Revenue (AL)	Five Star Bank	74,688.19
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	18,319.27
Revenue (CE, SA, TI)	NBT Bank	36,254.17
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	3,230.38
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	24,792.53
Revenue (TI-Multi)	Community Bank	9,306.35
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	9,186.01
Iterim Assistance Agreement	Bank of America, N.A.	0.00
OMH Medication Grant Program Acct	Bank of America, N.A.	1,297.43
Reimbursement Account	Bank of America, N.A.	1,272,483.80
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	9,543.44
Facility Holding Account	JPMorgan Chase Bank, N.A.	13,942.21
Patient Cash Funds	JPMorgan Chase Bank, N.A.	448,649.90
Patients Cash Account	JPMorgan Chase Bank, N.A.	181,303.01
Security Deposit	JPMorgan Chase Bank, N.A.	2,754.42
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	28,813.35
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	206,655.38
Medicaid Outpatient Travel	Banco Popular	101.88
Patient Cash Acct (MM)	Banco Popular	202,360.44
Patient Checking Account	Citibank	43,058.86
Patient Savings Account	Banco Popular	334,057.00
Security Deposit	Banco Popular	2,488.87
Urban Oasis/EBT	Banco Popular	47,238.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	63,225.65
Facility Holding	Key Bank	18,174.60
Patient Cash Checking	Key Bank	121,734.44
Patient Cash Checking	M&T Bank	22,614.78
50060 - HUDSON RIVER PSYCHIATRIC CENTER		
Patients Cash	JPMorgan Chase Bank, N.A.	0.00
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	16,616.86
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	3,725.67
Patient Cash Checking	Hudson Valley National Bank	753,173.66
Patients Money Market	Hudson Valley National Bank	72,817.53
Social Service Tokens	Hudson Valley National Bank	38,786.92
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	35,485.50

Facility Holding	Key Bank	93,597.12
Patients Cash Account	Key Bank	129,210.36
Patients Fund Savings	Key Bank	235,539.51
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	19,162.05
Facility Holding Account	Community Bank	35,638.75
Patients Cash Account	Community Bank	20,312.53
Patients Cash Savings	Community Bank	218,132.83
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	66,530.24
Certificate Of Deposit	HSBC	150,000.00
Certificate Of Deposit	HSBC	250,000.00
Holding Account	HSBC	18,408.30
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	576,279.13
Patient Cash Account	HSBC	88,876.18
Rent Holding Account	HSBC	1,536.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	38,585.95
Exchange	JPMorgan Chase Bank, N.A.	119,091.32
Holding Account	JPMorgan Chase Bank, N.A.	126,760.99
Investment CD	Sterling Bank	100,458.30
Investment CD	Sterling Bank	100,443.02
Investment CD	Sterling Bank	100,458.30
Investment CD	Sterling Bank	100,443.02
Investment CD	Sterling Bank	100,443.02
Investment CD B	Sterling Bank	100,000.00
Patient Cash Account	JPMorgan Chase Bank, N.A.	50,000.00
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	223,724.41
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	939,679.00
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	4,016.21
General	JPMorgan Chase Bank, N.A.	13,438.93
Petty Cash	JPMorgan Chase Bank, N.A.	1,564.03
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	10,419.91
Clients Count	Key Bank	118,485.78
Holding Account	Key Bank	16,776.51
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	47,783.37
Facility Holding Acct	JPMorgan Chase Bank, N.A.	136,222.51
Patient Cash	JPMorgan Chase Bank, N.A.	622,707.36
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	12,962.02
Facility Holding	Key Bank	(285.49)
Patient Cash Checking	Key Bank	18,203.00
Patient Cash Savings	Key Bank	40,028.41
Security Deposit	Key Bank	8,757.62
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	28,836.35
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,408.89
Patients Cash MM	JPMorgan Chase Bank, N.A.	87,344.83
Patients Checking Acct	JPMorgan Chase Bank, N.A.	585,691.53
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	12,292.46
Holding Account	Hudson Valley National Bank	35,048.40
Patient Cash Account	Hudson Valley National Bank	104,891.55
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	7,682.64
General Fund	Key Bank	4,942.43
Patient Checking	Key Bank	49,149.79
Patient Savings	Key Bank	146,151.67
Security Deposit	Key Bank	2,658.61
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	16,025.00
Facility Holding Account	Key Bank	1,678.49
Patients Account	Key Bank	158,465.25
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	4,960.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	15,713.72

Facility Holding Checking	JPMorgan Chase Bank, N.A.	8,410.67
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	484,276.90
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	13,646.45
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	837.91
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	455.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	49,134.23
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,563.76
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	15,214.54
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Money Management Account	HSBC	1,248.89
Queens Adv Acct	HSBC	11,116.17
Queens Childrens Account	HSBC	7,134.69
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	11,488.60
General Fund Account	Chemung Canal Trust	5,213.10
IMMA	Chemung Canal Trust	45,561.48
Patients Fund Account	Chemung Canal Trust	154,222.88
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	8,353.12
Facility Holding Account	Key Bank	67,164.75
Parking Garage Account	Key Bank	195,000.35
Patients Cash Checking Account	Key Bank	120,973.17
Patients Cash Savings Account	Key Bank	396,485.81
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	8,216,787.53
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	34,036.30
Clients Account	Key Bank	124,636.29
Consumer Cash - Savings	Adirondack Bank	790,240.43
Consumer Cash - Savings	Key Bank	3,410,295.38
Exchange Account	Key Bank	397.32
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	63,700.37
General Fund	M&T Bank	37,510.42
Patients Cash Checking	M&T Bank	2,040,547.92
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	627,989.59
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
Staten Island DDSO EBT Checking Acct	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	22,423.57
CD-Patient Property Funds	Key Bank	3,379,793.24
Exchange Account	M&T Bank	62,460.57
General Account	Community Bank	118,308.41
Patient Property Funds	Adirondack Bank	203,731.22

Patient Property Funds	M&T Bank	277,065.14
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	0.00
Consumers Fund	JPMorgan Chase Bank, N.A.	292,181.15
Consumers Money Management	JPMorgan Chase Bank, N.A.	683,785.54
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	216,935.66
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	21,912.46
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	8,031.95
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
EBT	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	3,000.00
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	40,484.64
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	40,186.80
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Metro NY DDSO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	90,560.75
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,277.75
Patients Money Market Account	JPMorgan Chase Bank, N.A.	778.42
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Bernard Fineson DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	563.00
Money Market Account	JPMorgan Chase Bank, N.A.	305,534.00
Patient Fund Account	JPMorgan Chase Bank, N.A.	148,496.40
Petty Cash Fund	JPMorgan Chase Bank, N.A.	7,529.53
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	No report received
Patient Fees	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	878.08
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	11,544.94
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	48,016.00
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	15,250.20
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,345.50
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,228.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,906.85

70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	20,121.12

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer

FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE IS THE SOLE CUSTODIAN WITH BALANCES AS OF 04/30/2019

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 4/30/2019
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0226 Upstate Community Colleges, FIT Series 2000A	Key Bank	159,482.19
0231 Upstate Community Colleges, Series 2005A	Key Bank	0.00
0232 Upstate Community Colleges, Series 2005B	Key Bank	1,090,334.99
0233 Upstate Community Colleges, Series 2005C	Key Bank	3,265,117.43
DORMITORY AUTHORITY OF THE STATE OF NEW YORK		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	30,478,338.65
0070 Hospital and Nursing Home Project Operating Fund	Key Bank	0.00
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	12,095.87
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	258,081.67
0149 State Advances Repayment Account	Bank of America, N.A.	0.00
1202 DA DFRF MAC #1202 Binghamton	Key Bank	538.27
1203 DA DFRF MAC #1203 Oneonta	Key Bank	270.86
1205 DA DFRF MAC #1205 Delhi	Key Bank	(140,507.46)
1206 DA DFRF MAC #1206 Buffalo Univ	Key Bank	367.76
1207 DA DFRF MAC #1207 Buffalo College	Key Bank	170.32
1208 DA DFRF MAC #1208 Alfred	Key Bank	543,416.37
1209 DA DFRF Mac #1209 Fredonia	Key Bank	506.67
1211 DA DFRF Mac #1211 Upstate Medical	Key Bank	146.83
1212 DA DFRF Mac #1212 Oswego	Key Bank	114.61
1214 DA DFRF Mac #1214 Cortland	Key Bank	936.46
1215 DA DFRF MAC #1215 Stony Brook	Key Bank	969.08
1216 DA DFRF MAC #1216 Old Westbury	Key Bank	650.74
1217 DA DFRF MAC #1217 Farmingdale	Key Bank	250.99
1218 DA DFRF MAC #1218 Downstate Med	Key Bank	798.66
1219 DA DFRF MAC #1219 Maritime	Key Bank	704.12
1221 DA DFRF MAC #1221 Brockport	Key Bank	899.41
1222 DA DFRF MAC #1222 Geneseo	Key Bank	159.79
1223 DA DFRF MAC #1223 Purchase	Key Bank	979.57
1224 DA DFRF MAC #1224 New Paltz	Key Bank	78.08
1225 DA DFRF MAC #1225 Canton	Key Bank	31.05
1226 DA DFRF MAC #1226 Plattsburgh	Key Bank	150.81
1227 DA DFRF MAC #1227 Potsdam	Key Bank	195.76
1228 DA DFRF MAC #1228 Morrisville	Key Bank	95.80
1229 DA DFRF MAC #1229 SUNYIT	Key Bank	506.58
1230 DA DFRF MAC #1230 Cobleskill	Key Bank	264.35
1239 DA DFRF MAC #1239 Albany	Key Bank	(1,094,106.24)
1240 Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202 DA OMR MAC #2202 Binghamton	Key Bank	1,166.27
2203 DA OMR MAC #2203 Oneonta	Key Bank	1,165.70
2205 DA OMR MAC #2205 Delhi	Key Bank	1,010.08
2206 DA OMR MAC #2206 Buffalo Univ	Key Bank	1,047.73
2207 DA OMR MAC #2207 Buffalo College	Key Bank	1,121.53
2208 DA OMR MAC #2208 Alfred	Key Bank	1,150.35
2209 DA OMR MAC #2209 Fredonia	Key Bank	1,120.88
2211 DA OMR MAC #2211 Upstate Medical	Key Bank	1,021.63
2212 DA OMR MAC #2212 Oswego	Key Bank	1,350.61
2214 DA OMR MAC #2214 Cortland	Key Bank	1,581.05
2215 DA OMR MAC #2215 Stony Brook	Key Bank	1,002.14
2216 DA OMR MAC #2216 Old Westbury	Key Bank	1,226.88
2217 DA OMR MAC #2217 Farmingdale	Key Bank	1,012.18
2218 DA OMR MAC #2218 Downstate Med	Key Bank	1,018.46
2219 DA OMR MAC #2219 Maritime	Key Bank	1,210.98
2221 DA OMR MAC #2221 Brockport	Key Bank	1,048.52
2222 DA OMR MAC #2222 Geneseo	Key Bank	1,136.40
2223 DA OMR MAC #2223 Purchase	Key Bank	1,083.59
2224 DA OMR MAC #2224 New Paltz	Key Bank	1,076.72
2225 DA OMR MAC #2225 Canton	Key Bank	1,038.44
2226 DA OMR MAC #2226 Plattsburgh	Key Bank	1,001.70
2227 DA OMR MAC #2227 Potsdam	Key Bank	1,205.92
2228 DA OMR MAC #2228 Morrisville	Key Bank	1,035.72
2229 DA OMR MAC #2229 SUNYIT	Key Bank	7,242.30
2230 DA OMR MAC #2230 Cobleskill	Key Bank	1,019.26
2239 DA OMR MAC #2239 Albany	Key Bank	1,453.75
2240 DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	1,006.69
HOMELESS HOUSING ASSISTANCE CORPORATION		
0320 Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	5,926,561.96

NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION

0315	The Egg	Key Bank	31,148.17
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NEW YORK CONVENTION CENTER

0300	Operating Fund	JPMorgan Chase Bank, N.A.	8,990,449.81
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NEW YORK JOB DEVELOPMENT AUTHORITY

0036	Special Purpose Fund	Bank of America, N.A.	716,370.24
0371	Series H Commercial Paper	Bank of America, N.A.	247,396.36
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	281,503.86
0421	Escrow Account for Package Fulfillment Center Inc.	Key Bank	0.00
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426	Agriculture Loan Program	Key Bank	0.00

NYS AFFORDABLE HOUSING CORPORATION

0491	Disbursement Account	JPMorgan Chase Bank, N.A.	466,643.08
0520	Development Account	Key Bank	3,050,598.15
0521	Development Account II	Key Bank	0.00
0522	Repayment Account	Key Bank	182,786.33
0523	Recapture Account	Key Bank	391.44
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00

NYS DEPARTMENT OF TAXATION AND FINANCE

0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	51,904.83
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	28,760.06
0626	Criminal Investigation Division	Key Bank	242,565.73
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	18,433,120.27
0827	Stock Transfer Incentive Fund	Key Bank	1,091,486.86
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00

NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY

0028	Green Jobs - Green New York Fund	Bank of America, N.A.	0.00
0030	NYS Energy Research and Development Authority	Bank of America, N.A.	(52,138,327.31)

NYS HOUSING FINANCE AGENCY

0032	Operating Fund	Key Bank	0.00
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	189,145.78
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	1,844,414.02
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	0.00
0266	Community Related Programs Operating Account	Key Bank	0.00
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	62,646.12
0270	Claims Repayment Account	Key Bank	0.00
0271	Pre-Bond Revenue Account	Key Bank	0.00
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	643,075.03
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	0.00
0283	Small Owner's Assistance Program Account	Key Bank	57,779.43
0285	Public Purpose Account	Key Bank	549,319.34
0286	Disbursement Account	Key Bank	2,828,612.68
0287	Infrastructure Development Fund	Key Bank	104,751.71
0288	Mobile Home Cooperative Fund	Key Bank	16,896.94
0290	Low Rent Lease Account	Key Bank	0.00
0292	Homeless Housing Initiatives	Key Bank	779,409.87
0294	Housing Plan Fund	Key Bank	1,913,459.58
0305	HPD Disbursement Fund	Key Bank	933,801.15
0879	Payroll Account	JPMorgan Chase Bank, N.A.	124,952.21

NYS HOUSING TRUST FUND CORPORATION

0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	376,959.60
0460	Escrow Account	M&T Bank	955,706.29
0461	Housing Modernization Account	M&T Bank	900,289.89
0462	General Custodial Account	M&T Bank	38,711,426.53
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	10,473,666.71
0466	Homes for Working Families Account	M&T Bank	6,861,339.73
0467	Section 8 Administrative Account	M&T Bank	10,658,472.34
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	7,594,807.83
0470	HCV Main Account	Bank of America, N.A.	13,947,113.24
0471	Empire State Relief Fund	M&T Bank	588,933.69
0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	3,858,336.42

0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	20,146.63
0476	Voucher Fee Account	Bank of America, N.A.	0.00
0477	Five-Year Mainstream Account	Bank of America, N.A.	0.00
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	2,151,362.73
0891	Small Cities Community Development Block Grant Program	M&T Bank	29,769.72
0892	Disaster Recovery Initiative Account	M&T Bank	265,420.12
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,645,091.70
0899	HTFC Storm Recovery Lockbox	US Bank	30,931.41
NYS INSURANCE DEPARTMENT			
0001	Property/Casualty Insurance Security Fund	Key Bank	734,300.06
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	603,684.13
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	553,592.09
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	600,871.32
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	2,648,304.02
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	3,740,966.00
0854	NYSTRS Electronic Value Transfer System	JPMorgan Chase Bank, N.A.	0.00
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	34,997,175.41
0054	State Insurance Fund	Bank of America, N.A.	4,447,417.93
0055	State Insurance Fund	Bank of America, N.A.	6,934,628.76
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	(3,776.11)
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	458,053.82
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	29,742.92
0870	Deductions Account	Key Bank	189,321.15
0871	Bio-Tech Incubator at Farmingdale	Key Bank	0.00
WORKERS COMPENSATION BOARD			
0006	Fund for Reopened Cases	Bank of America, N.A.	128,184.06
0011	Uninsured Employers Fund	Bank of America, N.A.	240,954.51
0012	WCB Asset Transfer Account	Bank of America, N.A.	0.00
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	0.00
008K	Special Fund for Disability Benefits	Key Bank	1,159.90

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

APRIL 2019

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



**STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

**THOMAS P. DINAPOLI
STATE COMPTROLLER**

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
April 30, 2019**

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EXHIBIT A

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR	
	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2018	1 MO. ENDED APR. 30, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Personal Income Tax	\$ 4,607.8	\$ 4,607.8	\$ -	\$ -	\$ 4,607.7	\$ 4,607.7	\$ -	\$ -	\$ 5,852.2	\$ 5,856.2	\$ 3,259.3	57.4%
Consumption/Use Taxes	585.3	585.3	197.4	197.4	538.2	538.2	54.1	54.1	1,277.4	1,277.4	97.6	7.6%
Business Taxes	543.2	543.2	204.4	204.4	-	-	59.6	59.6	585.1	585.1	222.1	38.0%
Other Taxes	80.8	80.8	-	-	82.9	82.9	-	-	138.6	138.6	25.1	18.1%
Miscellaneous Receipts	741.0	741.0	1,571.9	1,571.9	42.6	42.6	304.2	304.2	2,208.7	2,208.7	451.0	20.4%
Federal Receipts	-	-	6,203.7	6,203.7	-	-	38.3	38.3	3,616.0	3,616.0	2,626.0	72.6%
Total Receipts	6,558.1	6,558.1	8,177.4	8,177.4	5,271.4	5,271.4	456.2	456.2	13,682.0	13,682.0	6,781.1	49.6%
DISBURSEMENTS:												
Local Assistance Grants:												
Education	917.0	917.0	337.7	337.7	-	-	9.2	9.2	1,566.1	1,566.1	(302.2)	-19.3%
Environment and Recreation	0.1	0.1	0.2	0.2	-	-	25.1	25.1	8.0	8.0	17.4	217.5%
General Government	1.7	1.7	27.4	27.4	-	-	31.2	31.2	38.7	38.7	20.6	51.9%
Public Health:												
Medicaid	3,286.6	3,286.6	3,115.4	3,115.4	-	-	-	-	4,373.7	4,373.7	2,038.3	46.6%
Other Public Health	150.2	150.2	543.4	543.4	-	-	30.8	30.8	757.5	757.5	(33.1)	-4.4%
Public Safety	10.6	10.6	136.3	136.3	-	-	10.0	10.0	90.3	90.3	66.6	73.8%
Public Welfare	87.8	87.8	152.3	152.3	-	-	240.1	240.1	460.1	460.1	(220.0)	-47.8%
Support and Regulate Business	6.0	6.0	1.4	1.4	-	-	26.7	26.7	112.0	112.0	(77.9)	-69.6%
Transportation	-	-	72.3	72.3	-	-	232.7	232.7	293.3	293.3	11.7	4.0%
Total Local Assistance Grants	4,470.0	4,470.0	4,386.4	4,386.4	-	-	365.7	365.7	7,700.7	7,700.7	1,521.4	19.8%
Departmental Operations:												
Personal Service	688.8	688.8	451.0	451.0	-	-	-	-	1,102.5	1,102.5	37.3	3.4%
Non-Personal Service	159.0	159.0	314.4	314.4	0.7	0.7	-	-	416.9	416.9	57.2	13.7%
General State Charges	726.2	726.2	85.4	85.4	-	-	-	-	2,865.5	2,865.5	(2,051.9)	-71.6%
Debt Service, Including Payments on Financing Agreements	-	-	-	-	72.4	72.4	-	-	64.1	64.1	8.3	12.9%
Capital Projects	-	-	-	-	-	-	434.1	434.1	361.2	361.2	72.9	20.2%
Total Disbursements	6,046.0	6,046.0	5,237.2	5,237.2	73.1	73.1	799.8	799.8	12,510.9	12,510.9	(854.8)	-2.8%
Excess (Deficiency) of Receipts over Disbursements	512.1	512.1	2,940.2	2,940.2	5,198.3	5,198.3	(343.6)	(343.6)	1,171.1	1,171.1	7,135.9	609.3%
OTHER FINANCING SOURCES (USES):												
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	5,179.0	5,179.0	296.1	296.1	219.3	219.3	509.2	509.2	4,144.2	4,144.2	2,059.4	49.7%
Transfers to Other Funds	(928.1)	(928.1)	(76.3)	(76.3)	(5,167.6)	(5,167.6)	(45.9)	(45.9)	(4,050.8)	(4,050.8)	2,167.1	53.5%
Total Other Financing Sources (Uses)	4,250.9	4,250.9	219.8	219.8	(4,948.3)	(4,948.3)	463.3	463.3	93.4	93.4	(107.7)	-115.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,763.0	4,763.0	3,160.0	3,160.0	250.0	250.0	119.7	119.7	1,264.5	1,264.5	7,028.2	555.8%
Beginning Fund Balances (Deficits)	7,205.7	7,205.7	3,842.4	3,842.4	64.8	64.8	(1,137.9)	(1,137.9)	12,749.0	12,749.0	(2,774.0)	-21.8%
Ending Fund Balances (Deficits)	\$ 11,968.7	\$ 11,968.7	\$ 7,002.4	\$ 7,002.4	\$ 314.8	\$ 314.8	\$ (1,018.2)	\$ (1,018.2)	\$ 14,013.5	\$ 14,013.5	\$ 4,254.2	30.4%

**EXHIBIT A
SUPPLEMENTAL**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS				% Increase/ Decrease	
	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2018	1 MO. ENDED APR. 30, 2018		\$ Increase/ (Decrease)
RECEIPTS:												
Personal Income Tax	\$ 4,607.8	\$ 4,607.8	\$ -	\$ 4,607.7	\$ 4,607.7	\$ 9,215.5	\$ 9,215.5	\$ 5,856.2	\$ 5,856.2	\$ 3,359.3	57.4%	
Consumption/Use Taxes	585.3	585.3	197.4	538.2	538.2	1,320.9	1,320.9	1,233.0	1,233.0	87.9	7.1%	
Business Taxes	543.2	543.2	204.4	204.4	-	747.6	747.6	517.5	517.5	230.1	44.5%	
Other Taxes	80.8	80.8	-	82.9	82.9	163.7	163.7	138.6	138.6	25.1	18.1%	
Miscellaneous Receipts	741.0	741.0	1,557.2	42.6	42.6	2,340.8	2,340.8	1,787.7	1,787.7	553.1	30.9%	
Federal Receipts	-	-	-	-	-	-	-	(2.6)	(2.6)	2.6	100.0%	
Total Receipts	6,588.1	6,588.1	1,959.0	5,271.4	5,271.4	13,788.5	13,788.5	9,550.4	9,550.4	4,238.1	44.7%	
DISBURSEMENTS:												
Local Assistance Grants:												
Education	917.0	917.0	0.2	-	-	917.2	917.2	1,180.0	1,180.0	(262.8)	-22.3%	
Environment and Recreation	0.1	0.1	0.1	-	-	0.2	0.2	0.4	0.4	(0.2)	-50.0%	
General Government	1.7	1.7	25.4	-	-	27.1	27.1	11.9	11.9	15.2	127.7%	
Public Health:												
Medicaid	3,296.6	3,296.6	(1.1)	-	-	3,295.5	3,295.5	1,795.9	1,795.9	1,499.6	83.5%	
Other Public Health	150.2	150.2	60.1	60.1	-	210.3	210.3	258.1	258.1	(47.8)	-18.5%	
Public Safety	10.6	10.6	20.1	-	-	30.7	30.7	25.1	25.1	5.6	22.3%	
Public Welfare	87.8	87.8	0.9	-	-	88.7	88.7	81.3	81.3	7.4	9.1%	
Support and Regulate Business	6.0	6.0	1.1	-	-	7.1	7.1	2.8	2.8	4.3	153.6%	
Transportation	-	-	68.3	-	-	68.3	68.3	236.3	236.3	(168.0)	-71.1%	
Total Local Assistance Grants	4,470.0	4,470.0	175.1	175.1	175.1	4,645.1	4,645.1	3,591.8	3,591.8	1,053.3	29.3%	
Departmental Operations:												
Personal Service	688.8	688.8	401.7	-	-	1,090.5	1,090.5	1,050.8	1,050.8	39.7	3.8%	
Non-Personal Service	159.0	159.0	244.3	0.7	0.7	404.0	404.0	347.2	347.2	56.8	16.4%	
General State Charges	728.2	728.2	64.0	-	-	792.2	792.2	2,826.1	2,826.1	(2,033.9)	-72.0%	
Debt Service, Including Payments on Financing Agreements	-	-	-	72.4	72.4	72.4	72.4	64.1	64.1	8.3	12.9%	
Total Disbursements	6,046.0	6,046.0	885.1	73.1	73.1	7,004.2	7,004.2	7,880.0	7,880.0	(876.8)	-11.1%	
Excess (Deficiency) of Receipts over Disbursements	512.1	512.1	1,073.9	5,198.3	5,198.3	6,784.3	6,784.3	1,650.4	1,650.4	5,133.9	311.1%	
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	5,179.0	5,179.0	308.3	219.3	219.3	5,706.6	5,706.6	4,147.3	4,147.3	1,559.3	37.6%	
Transfers to Other Funds	(928.1)	(928.1)	(38.3)	(5,167.6)	(5,167.6)	(6,134.0)	(6,134.0)	(4,017.1)	(4,017.1)	2,116.9	52.7%	
Total Other Financing Sources (Uses)	4,250.9	4,250.9	270.0	(4,948.3)	(4,948.3)	(427.4)	(427.4)	130.2	130.2	(657.6)	-428.3%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,763.0	4,763.0	1,343.9	250.0	250.0	6,356.9	6,356.9	1,780.6	1,780.6	4,576.3	257.0%	
Beginning Fund Balances (Deficits)	7,205.7	7,205.7	5,090.8	64.8	64.8	12,361.3	12,361.3	13,606.6	13,606.6	(1,245.3)	-9.2%	
Ending Fund Balances (Deficits)	\$ 11,968.7	\$ 11,968.7	\$ 6,434.7	\$ 314.8	\$ 314.8	\$ 18,718.2	\$ 18,718.2	\$ 15,387.2	\$ 15,387.2	\$ 3,331.0	21.6%	

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$219.0	million
Urban Development Corporation (Youth Facilities)	22.5	
Housing Finance Agency (HFA)	177.1	
Housing Assistance Fund	12.9	
Dormitory Authority (Mental Hygiene)	471.5	
Dormitory Authority and State University Income Fund	175.9	
Federal Capital Projects	571.7	
State bond and note proceeds	29.4	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

Slate Capital Projects Fund	\$248.8	million
General Debt Service Fund	134.7	
Banking Services Account	2.8	
Centralized Tech Services Account	11.5	
Dedicated Infrastructure Investment Fund	250.0	
Housing Debt Service Fund	0.9	
MTA Financial Assistance Fund	48.9	
MTA Operating Assistance Fund	4.8	
SUNY - Income Fund	218.8	

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$0.6m), and the State University Income Fund (\$6.3m).

\$72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of April 30, 2019 - pursuant to a certification of the Budget Director - the reserve amount is (\$120.5m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$38.0m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, SUNY Capital Projects Fund (\$4.2m), and All Other Capital Projects (\$6.2m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

NYC Assessment Account	\$22.9	million
SUNY Income Fund	5.1	

EXHIBIT A NOTES
April 2019

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$4,607.3	million
Local Government Assistance Tax Fund	280.7	
Sales Tax Revenue Bond Tax Fund	191.3	
Clean Water/Clean Air Fund	70.3	
Mental Health Services Fund	20.7	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$17.3m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$0.8m) and the General Debt Service Fund - Lease Purchase (\$45.1m).

- Part UU of Section 11 of Chapter 59 of the Laws of 2018 amended section 805(b) of the tax law, whereby the receipts from the metropolitan commuter transportation mobility tax, will be paid into the metropolitan transportation authority finance fund pursuant to statute but without appropriation. The result is that neither the mobility tax receipts nor the related grant disbursements to the MTA are recorded in the State funds. The MTA mobility tax activity is reported in Schedule 4.
- Part FF of Chapter 58 of the Laws of 2019 amended paragraphs (b-1) and (c-3) of subdivision two of section 503 of the vehicle and traffic law, article 29-a of the tax law, article 17-c of the vehicle and traffic law and section 1166-a of the tax law, whereby the receipts from the various taxes and fees will be paid into the metropolitan transportation authority special assistance fund pursuant to statute but without appropriation. The activity is now reported in Schedule 4.
- Tax Law Article 29-C was enacted on April 1, 2018, with collection of the for-hire congestion surcharge scheduled to begin on January 1, 2019. Amounts collected will be paid into the NYC transportation fund pursuant to statute but without appropriation. The result is that neither the surcharge nor the related disbursements to NYC are recorded in State Funds. The for-hire congestion surcharge activity is reported in Schedule 4.

EXHIBIT B

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS			YEAR OVER YEAR	
	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2018	1 MO. ENDED APR. 30, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease	
RECEIPTS:											
Miscellaneous Receipts	\$ 4.8	\$ 4.8	\$ 33.2	\$ 33.2	\$ 38.0	\$ 36.0	\$ 26.5	\$ 26.5	\$ 11.5	43.4%	
Federal Receipts	1.1	1.1	-	-	1.1	1.1	1.0	1.0	0.1	10.0%	
Unemployment Taxes	187.5	187.5	-	-	187.5	187.5	191.1	191.1	(3.6)	-1.9%	
Total Receipts	<u>193.4</u>	<u>193.4</u>	<u>33.2</u>	<u>33.2</u>	<u>226.6</u>	<u>226.6</u>	<u>218.6</u>	<u>218.6</u>	<u>8.0</u>	<u>3.7%</u>	
DISBURSEMENTS:											
Departmental Operations:											
Personal Service	0.3	0.3	9.7	9.7	10.0	10.0	8.5	8.5	1.5	17.6%	
Non-Personal Service	3.6	3.6	24.4	24.4	28.0	28.0	50.4	50.4	(22.4)	-44.4%	
General State Charges	0.1	0.1	4.1	4.1	4.2	4.2	0.2	0.2	4.0	2,000.0%	
Unemployment Benefits	189.4	189.4	-	-	189.4	189.4	192.1	192.1	(2.7)	-1.4%	
Total Disbursements	<u>193.4</u>	<u>193.4</u>	<u>38.2</u>	<u>38.2</u>	<u>231.6</u>	<u>231.6</u>	<u>251.2</u>	<u>251.2</u>	<u>(19.6)</u>	<u>-7.8%</u>	
Excess (Deficiency) of Receipts Over Disbursements	-	-	(5.0)	(5.0)	(5.0)	(5.0)	(32.6)	(32.6)	27.6	84.7%	
OTHER FINANCING SOURCES (USES):											
Transfers from Other Funds	-	-	14.3	14.3	14.3	14.3	2.6	2.6	11.7	450.0%	
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%	
Total Other Financing Sources (Uses)	-	-	14.3	14.3	14.3	14.3	2.6	2.6	11.7	450.0%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	-	-	9.3	9.3	9.3	9.3	(30.0)	(30.0)	39.3	131.0%	
Beginning Fund Balances (Deficits)	26.6	26.6	(302.7)	(302.7)	(276.1)	(276.1)	(244.6)	(244.6)	(31.5)	-12.9%	
Ending Fund Balances (Deficits)	<u>\$ 26.6</u>	<u>\$ 26.6</u>	<u>\$ (293.4)</u>	<u>\$ (293.4)</u>	<u>\$ (266.8)</u>	<u>\$ (266.8)</u>	<u>\$ (274.6)</u>	<u>\$ (274.6)</u>	<u>\$ 7.8</u>	<u>2.8%</u>	

EXHIBIT C

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS			YEAR OVER YEAR		
	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2018	1 MO. ENDED APR. 30, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 5.3	\$ 5.3	\$ 0.2	\$ 0.2	\$ 5.5	\$ 5.5	\$ 5.4	\$ 5.4	\$ 0.1	1.9%
Total Receipts	<u>5.3</u>	<u>5.3</u>	<u>0.2</u>	<u>0.2</u>	<u>5.5</u>	<u>5.5</u>	<u>5.4</u>	<u>5.4</u>	<u>0.1</u>	<u>1.9%</u>
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	5.3	5.3	-	-	5.3	5.3	5.2	5.2	0.1	1.9%
Non-Personal Service	1.1	1.1	-	-	1.1	1.1	0.8	0.8	0.3	37.5%
General State Charges	3.3	3.3	-	-	3.3	3.3	-	-	3.3	100.0%
Total Disbursements	<u>9.7</u>	<u>9.7</u>	<u>-</u>	<u>-</u>	<u>9.7</u>	<u>9.7</u>	<u>6.0</u>	<u>6.0</u>	<u>3.7</u>	<u>61.7%</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>(4.4)</u>	<u>(4.4)</u>	<u>0.2</u>	<u>0.2</u>	<u>(4.2)</u>	<u>(4.2)</u>	<u>(0.6)</u>	<u>(0.6)</u>	<u>(3.6)</u>	<u>-600.0%</u>
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>0.0%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(4.4)</u>	<u>(4.4)</u>	<u>0.2</u>	<u>0.2</u>	<u>(4.2)</u>	<u>(4.2)</u>	<u>(0.6)</u>	<u>(0.6)</u>	<u>(3.6)</u>	<u>-600.0%</u>
Beginning Fund Balances (Deficits)	<u>(3.0)</u>	<u>(3.0)</u>	<u>13.2</u>	<u>13.2</u>	<u>10.2</u>	<u>10.2</u>	<u>9.9</u>	<u>9.9</u>	<u>0.3</u>	<u>3.0%</u>
Ending Fund Balances (Deficits)	<u>(7.4)</u>	<u>(7.4)</u>	<u>13.4</u>	<u>13.4</u>	<u>6.0</u>	<u>6.0</u>	<u>9.3</u>	<u>9.3</u>	<u>(3.3)</u>	<u>-35.5%</u>

EXHIBIT D

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR ONE MONTH ENDED APRIL 30, 2019
 (amounts in millions)

ALL GOVERNMENTAL FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 9,212.0	\$ -	\$ 9,215.5	\$ 3.5	\$ -
Consumption/Use	1,332.0	-	1,375.0	43.0	-
Business	784.0	-	807.2	23.2	-
Other	173.0	-	163.7	(9.3)	-
Miscellaneous Receipts	2,571.0	-	2,659.7	88.7	-
Federal Receipts	6,235.0	-	6,242.0	7.0	-
Total Receipts	20,307.0	-	20,463.1	156.1	-
DISBURSEMENTS:					
Local Assistance Grants	9,209.0	-	9,222.1	13.1	-
Departmental Operations	1,586.0	-	1,613.9	27.9	-
General State Charges	813.0	-	813.6	0.6	-
Debt Service	72.0	-	72.4	0.4	-
Capital Projects	434.0	-	434.1	0.1	-
Total Disbursements	12,114.0	-	12,156.1	42.1	-
Excess (Deficiency) of Receipts over Disbursements	8,193.0	-	8,307.0	114.0	-
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	6,209.0	-	6,203.6	(5.4)	-
Transfers to Other Funds	(6,222.0)	-	(6,217.9)	(4.1)	-
Total Other Financing Sources (Uses)	(13.0)	-	(14.3)	(1.3)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	8,180.0	-	8,292.7	112.7	-
Fund Balances (Deficits) at April 1	9,975.0	-	9,975.0	-	-
Fund Balances (Deficits) at April 30, 2019	\$ 18,155.0	\$ -	\$ 18,267.7	\$ 112.7	\$ -

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

EXHIBIT D

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR ONE MONTH ENDED APRIL 30, 2019
 (amounts in millions)

	STATE OPERATING FUNDS (**)				Actual Over/ (Under) Updated Financial Plan
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	
RECEIPTS:					
Taxes:					
Personal Income	\$ 9,212.0	\$ -	\$ 9,215.5	\$ 3.5	\$ -
Consumption/Use	1,278.0	-	1,320.9	42.9	-
Business	726.0	-	747.6	21.6	-
Other	173.0	-	163.7	(9.3)	-
Miscellaneous Receipts	2,284.0	-	2,340.8	56.8	-
Federal Receipts	-	-	-	-	-
Total Receipts	13,673.0	-	13,788.5	115.5	-
DISBURSEMENTS:					
Local Assistance Grants	4,640.0	-	4,645.1	5.1	-
Departmental Operations	1,467.0	-	1,494.5	27.5	-
General State Charges	792.0	-	792.2	0.2	-
Debt Service	72.0	-	72.4	0.4	-
Total Disbursements	6,971.0	-	7,004.2	33.2	-
Excess (Deficiency) of Receipts over Disbursements	6,702.0	-	6,784.3	82.3	-
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	5,698.0	-	5,706.6 (***)	8.6	-
Transfers to Other Funds	(6,139.0)	-	(6,134.0) (***)	(5.0)	-
Total Other Financing Sources (Uses)	(441.0)	-	(427.4)	13.6	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,261.0	-	6,356.9	95.9	-
Fund Balances (Deficits) at April 1	12,362.0	-	12,361.3	(0.7)	-
Fund Balances (Deficits) at April 30, 2019	\$ 18,623.0	\$ -	\$ 18,718.2	\$ 95.2	\$ -

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
 (**) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
 (***) Eliminations between Special Revenue - State and Federal Funds are not included.

EXHIBIT D

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR ONE MONTH ENDED APRIL 30, 2019
 (amounts in millions)

	GENERAL FUND				Actual Over/ (Under) Updated Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual			
RECEIPTS:							
Taxes:							
Personal Income	\$ 4,606.0	\$ -	\$ 4,607.8	\$ -	1.8	\$ -	-
Consumption/Use	588.0	-	585.3	-	(2.7)	-	-
Business	540.0	-	543.2	-	3.2	-	-
Other	90.0	-	80.8	-	(9.2)	-	-
Miscellaneous Receipts	739.0	-	741.0	-	2.0	-	-
Federal Receipts	-	-	-	-	-	-	-
Transfers From:							
PIT / ECET in excess of Revenue Bond Debt Service	4,606.0	-	4,607.3	-	1.3	-	-
Sales Tax in excess of LGAC / STRBF Debt Service	464.0	-	452.0	-	(12.0)	-	-
Real Estate Taxes in excess of CW/CA Debt Service	83.0	-	70.3	-	(12.7)	-	-
All Other	27.0	-	49.4	-	22.4	-	-
Total Receipts and Other Financing Sources	11,743.0	-	11,737.1	-	(5.9)	-	-
DISBURSEMENTS:							
Local Assistance Grants	4,467.0	-	4,470.0	-	3.0	-	-
Departmental Operations	823.0	-	847.8	-	24.8	-	-
General State Charges	728.0	-	728.2	-	0.2	-	-
Transfers To:							
Debt Service	135.0	-	134.7	-	(0.3)	-	-
Capital Projects	501.0	-	498.8	-	(2.2)	-	-
State Share Medicaid	-	-	6.9	(**)	6.9	-	-
SUNY Operations	219.0	-	218.8	-	(0.2)	-	-
Other Purposes	78.0	-	68.9	-	(9.1)	-	-
Total Disbursements and Other Financing Uses	6,951.0	-	6,974.1	-	23.1	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,792.0	-	4,763.0	-	(29.0)	-	-
Fund Balances (Deficits) at April 1	7,206.0	-	7,205.7	-	(0.3)	-	-
Fund Balances (Deficits) at April 30, 2019	\$ 11,998.0	\$ -	\$ 11,968.7	\$ -	(29.3)	\$ -	-

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
 (**) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

EXHIBIT D

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR ONE MONTH ENDED APRIL 30, 2019
 (amounts in millions)

SPECIAL REVENUE FUNDS									
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan		
RECEIPTS:									
Taxes:									
Personal Income	-	\$ -	-	\$ -	-	\$ -	-	\$ -	-
Consumption/Use	148.0	-	197.4	-	197.4	-	49.4	-	-
Business	186.0	-	204.4	-	204.4	-	18.4	-	-
Miscellaneous Receipts	1,524.0	-	1,571.9	-	1,571.9	-	47.9	-	-
Federal Receipts	6,197.0	-	6,203.7	-	6,203.7	-	6.7	-	-
Transfers from Other Funds (**)	309.0	-	308.3	(12.2)	296.1	(12.2)	(12.9)	-	-
Total Receipts and Other Financing Sources	8,364.0	-	8,485.7	(12.2)	8,473.5	109.5	-	-	-
DISBURSEMENTS:									
Local Assistance Grants	4,378.0	-	4,386.4	-	4,386.4	-	8.4	-	-
Departmental Operations	762.0	-	765.4	-	765.4	-	3.4	-	-
General State Charges	85.0	-	85.4	-	85.4	-	0.4	-	-
Capital Projects	-	-	-	-	-	-	-	-	-
Transfers to Other Funds (**)	52.0	-	88.5	(12.2)	76.3	(12.2)	24.3	-	-
Total Disbursements and Other Financing Uses	5,277.0	-	5,325.7	(12.2)	5,313.5	36.5	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,087.0	-	3,160.0	-	3,160.0	73.0	-	-	-
Fund Balances (Deficits) at April 1	3,842.0	-	3,842.4	-	3,842.4	0.4	-	-	-
Fund Balances (Deficits) at April 30, 2019	\$ 6,929.0	\$ -	\$ 7,002.4	\$ -	\$ 7,002.4	\$ 73.4	\$ -	\$ -	\$ -

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR ONE MONTH ENDED APRIL 30, 2019
 (amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS			FEDERAL SPECIAL REVENUE FUNDS			Actual Over/ (Under) Updated Financial Plan
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Enacted Financial Plan (*)	Updated Financial Plan	Actual	
RECEIPTS:							
Taxes:							
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	148.0	187.4	197.4	-	-	-	-
Business	186.0	204.4	18.4	-	-	-	-
Miscellaneous Receipts	1,518.0	1,557.2	39.2	6.0	14.7	8.7	8.7
Federal Receipts	-	-	-	6,197.0	6,203.7	6,203.7	6.7
Transfers from Other Funds	309.0	-	(0.7)	-	-	-	-
Total Receipts and Other Financing Sources	2,161.0	2,287.3	106.3	6,203.0	6,218.4	15.4	-
DISBURSEMENTS:							
Local Assistance Grants	173.0	-	175.1	4,205.0	-	4,211.3	6.3
Departmental Operations	643.0	-	646.0	119.0	-	119.4	0.4
General State Charges	64.0	-	64.0	21.0	-	21.4	0.4
Capital Projects	-	-	-	-	-	-	-
Transfers to Other Funds	15.0	-	38.3	37.0	-	50.2	13.2
Total Disbursements and Other Financing Uses	895.0	923.4	28.4	4,382.0	4,402.3	20.3	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,266.0	-	1,343.9	1,821.0	-	1,816.1	(4.9)
Fund Balances (Deficits) at April 1	5,091.0	-	5,090.8	(1,249.0)	-	(1,248.4)	0.6
Fund Balances (Deficits) at April 30, 2019	\$ 6,357.0	\$ -	\$ 6,434.7	\$ 572.0	\$ -	\$ 567.7	\$ (4.3)

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

EXHIBIT D

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR ONE MONTH ENDED APRIL 30, 2019
 (amounts in millions)

DEBT SERVICE FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 4,606.0	\$ -	\$ 4,607.7	\$ 1.7	\$ -
Consumption/Use	542.0	-	538.2	(3.8)	-
Other	83.0	-	82.9	(0.1)	-
Miscellaneous Receipts	27.0	-	42.6	15.6	-
Federal Receipts	-	-	-	-	-
Transfers from Other Funds	209.0	-	219.3	10.3	-
Total Receipts and Other Financing Sources	5,467.0	-	5,490.7	23.7	-
DISBURSEMENTS:					
Departmental Operations	1.0	-	0.7	(0.3)	-
Debt Service	72.0	-	72.4	0.4	-
Transfers to Other Funds	5,191.0	-	5,167.6	(23.4)	-
Total Disbursements and Other Financing Uses	5,264.0	-	5,240.7	(23.3)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	203.0	-	250.0	47.0	-
Fund Balances (Deficits) at April 1	65.0	-	64.8	(0.2)	-
Fund Balances (Deficits) at April 30, 2019	\$ 268.0	\$ -	\$ 314.8	\$ 46.8	\$ -

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR ONE MONTH ENDED APRIL 30, 2019
 (amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Actual Over/ (Under) Updated Financial Plan
RECEIPTS:						
Taxes:						
Consumption/Use	54.0	\$	54.1	\$	54.1	\$ 0.1
Business	58.0	-	59.6	-	59.6	1.6
Other	-	-	-	-	-	-
Miscellaneous Receipts	281.0	-	304.2	-	304.2	23.2
Federal Receipts	38.0	-	38.3	-	38.3	0.3
Bond and Note Proceeds, net	-	-	-	-	-	-
Transfers from Other Funds	511.0	-	509.2	-	509.2	(1.8)
Total Receipts and Other Financing Sources	942.0	-	965.4	-	965.4	23.4
DISBURSEMENTS:						
Local Assistance Grants	364.0	-	365.7	-	365.7	1.7
Capital Projects	434.0	-	434.1	-	434.1	0.1
Transfers to Other Funds	46.0	-	45.9	-	45.9	(0.1)
Total Disbursements and Other Financing Uses	844.0	-	845.7	-	845.7	1.7
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	98.0	-	119.7	-	119.7	21.7
Fund Balances (Deficits) at April 1	(1,138.0)	-	(1,137.9)	-	(1,137.9)	0.1
Fund Balances (Deficits) at April 30, 2019	\$ (1,040.0)	\$ -	\$ (1,018.2)	\$ -	\$ (1,018.2)	\$ 21.8

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

EXHIBIT D

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR ONE MONTH ENDED APRIL 30, 2019
 (amounts in millions)

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan
RECEIPTS:								
Taxes:								
Consumption/Use	\$ 54.0	\$ -	\$ 54.1	\$ 0.1	\$ -	\$ -	\$ -	\$ -
Business	58.0	-	59.6	1.6	-	-	-	-
Other	-	-	-	-	-	-	-	-
Miscellaneous Receipts	281.0	-	304.1	23.1	-	0.1	0.1	0.1
Federal Receipts	-	-	-	-	38.0	-	38.3	0.3
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-
Transfers from Other Funds	511.0	-	509.2	(1.8)	-	-	-	-
Total Receipts and Other Financing Sources	904.0	-	927.0	23.0	38.0	-	38.4	0.4
DISBURSEMENTS:								
Local Assistance Grants	324.0	-	325.7	1.7	40.0	-	40.0	-
Capital Projects	368.0	-	388.7	0.7	66.0	-	65.4	(0.6)
Transfers to Other Funds	46.0	-	45.9	(0.1)	-	-	-	-
Total Disbursements and Other Financing Uses	738.0	-	740.3	2.3	106.0	-	105.4	(0.6)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	166.0	-	186.7	20.7	(68.0)	-	(67.0)	1.0
Fund Balances (Deficits) at April 1	(633.0)	-	(633.2)	(0.2)	(505.0)	-	(504.7)	0.3
Fund Balances (Deficits) at April 30, 2019	\$ (467.0)	\$ -	\$ (446.5)	\$ 20.5	\$ (573.0)	\$ -	\$ (571.7)	\$ 1.3

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

EXHIBIT E

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR	
	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2019	MONTH OF APR. 2019	1 MO. ENDED APR. 30, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX												
Withholding	\$ 3,237.1	\$ 3,237.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,930.1	\$ 2,930.1	\$ 307.0	10.5%	
Estimated Payments	6,843.2	6,843.2	-	-	-	-	-	4,356.0	4,356.0	2,487.2	57.1%	
Returns	2,286.9	2,286.9	-	-	-	-	-	1,639.5	1,639.5	647.4	39.5%	
State/City Offsets	(296.9)	(296.9)	-	-	-	-	-	(279.9)	(279.9)	17.0	6.1%	
Other Assessments/LLC	170.3	170.3	-	-	-	-	-	132.5	132.5	37.8	28.5%	
Employee Compensation Expense Tax	0.1	0.1	-	-	-	-	-	0.1	0.1	0.0	100.0%	
Gross Receipts	12,240.7	12,240.7	-	-	-	-	-	8,778.2	8,778.2	3,462.5	39.4%	
Transfers to School Tax Relief Fund	(4,607.7)	(4,607.7)	-	-	4,607.7	4,607.7	-	(2,922.0)	(2,922.0)	105.2	0.0%	
Transfers to Revenue Bond Tax Fund	(3,025.2)	(3,025.2)	-	-	-	-	-	(2,922.0)	(2,922.0)	105.2	0.0%	
Less: Refunds Issued	4,607.8	4,607.8	-	-	4,607.7	4,607.7	-	5,856.2	5,856.2	3,599.3	57.4%	
Total	8,205.8	8,205.8	4,607.8	4,607.8	4,607.7	4,607.7	9,215.5	9,215.5	9,215.5	3,599.3	39.1%	
CONSUMPTION/TAXES												
Sales and Use	539.3	539.3	124.1	124.1	539.2	539.2	-	1,112.9	1,112.9	88.7	8.0%	
Auto Rental	-	-	-	-	-	-	3.5	1.5	1.5	2.0	133.3%	
Cigarette/Tobacco Products	26.0	26.0	63.2	63.2	-	-	89.2	88.0	88.0	1.2	1.4%	
Medical Marijuana	-	-	0.5	0.5	-	-	-	0.2	0.2	0.3	150.0%	
Motor Fuel	-	-	9.6	9.6	-	-	36.4	38.9	38.9	7.1	18.3%	
Alcoholic Beverage	20.0	20.0	-	-	-	-	20.0	7.5	7.5	12.5	166.7%	
Highway Use	-	-	-	-	-	-	14.2	15.6	15.6	(1.4)	-109.0%	
Metropolitan Commuter Trans. Tax/cab Trip	-	-	-	-	-	-	12.8	12.8	12.8	(12.8)	-100.0%	
Total	585.3	585.3	197.4	197.4	538.2	538.2	1,375.0	1,277.4	1,277.4	97.6	7.6%	
BUSINESS TAXES												
Corporation Franchise	274.1	274.1	102.6	102.6	-	-	-	376.7	376.7	(78.4)	-17.2%	
Corporation and Utilities	16.3	16.3	23.4	23.4	-	-	3.3	43.0	43.0	26.4	62.9%	
Insurance	127.4	127.4	14.4	14.4	-	-	-	141.8	141.8	46.2	33.3%	
Bank	125.4	125.4	19.9	19.9	-	-	-	145.3	145.3	19.9	13.6%	
Petroleum Business	44.1	44.1	44.1	44.1	-	-	-	109.4	109.4	65.3	59.7%	
Total	543.2	543.2	204.4	204.4	82.9	82.9	56.3	807.2	807.2	222.1	27.5%	
OTHER TAXES												
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	78.7	78.7	-	-	-	-	-	79.7	79.7	29.5	37.5%	
Pan-Mutuel	0.9	0.9	-	-	-	-	-	0.9	0.9	0.9	100.0%	
Real Estate Transfer	-	-	-	-	82.9	82.9	-	82.9	82.9	(4.1)	-4.7%	
Racing and Exhibitions	0.2	0.2	-	-	-	-	-	0.2	0.2	0.5	25.0%	
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-	-	0.0%	
Total	80.8	80.8	82.9	82.9	82.9	82.9	163.7	163.7	163.7	26.1	16.1%	
Total Tax Receipts	\$ 5,817.1	\$ 5,817.1	\$ 401.8	\$ 401.8	\$ 5,228.8	\$ 5,228.8	\$ 113.7	\$ 11,561.4	\$ 11,561.4	\$ 3,704.1	32.0%	

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019												1 Month Ended April 30	% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH			2018
RECEIPTS:															
Beginning Fund Balance	\$ 9,975.0												\$ 12,749.0	\$ (2,774.0)	-21.8%
Personal Income Tax:															
Withholdings	3,237.1												2,930.1	307.0	10.5%
Estimated Payments	6,843.2												4,565.0	2,487.2	57.1%
Returns	2,298.9												1,639.5	647.4	39.5%
State/City Offsets	(296.9)												(279.9)	17.0	6.1%
Other (Assessments/LC)	170.3												132.5	37.8	28.5%
Employee Compensation Expense Tax	0.1												0.1	0.1	100.0%
Gross Receipts	12,240.7												8,782.2	3,462.5	38.4%
Transfers to School Tax Relief Fund	-												-	-	0.0%
Transfers to Revenue Bond Tax Fund	(3,025.2)												(3,022.0)	103.2	3.5%
Total Personal Income Tax	9,215.5												5,863.2	3,359.3	57.4%
Consumption/Use Taxes:															
Sales and Use	1,201.6												1,112.9	88.7	8.0%
Auto Rental	3.5												2.0	133.3%	8.0%
Cigarette/Tobacco Products	89.2												88.0	1.2	1.4%
Medical Marijuana	0.6												0.2	0.3	150.0%
Motor Fuel	46.0												39.9	7.1	18.3%
Alcoholic Beverage	20.0												12.5	17.5	166.7%
Highway Use	14.2												15.6	(1.4)	-8.0%
Miscellaneous Trans. Tax/Lease Trip	-												(0.6)	0.6	-100.0%
Total Consumption/Use Taxes	1,375.0												1,277.3	97.7	7.6%
Business Taxes:															
Corporation Franchise	376.7												376.7	(78.4)	-17.2%
Corporation and Utilities	43.0												28.4	16.6	62.9%
Insurance	141.8												48.2	95.6	206.9%
Bank	145.3												(32.6)	177.9	545.7%
Petroleum Business	100.4												90.0	10.4	11.6%
Total Business Taxes	807.2												585.1	222.1	36.0%
Other Taxes:															
Real Property, Gifts	79.7												50.2	29.5	59.8%
Estate Gift	0.9												0.9	0.0%	0.0%
Pair-Mutual	82.9												87.0	(4.1)	-4.7%
Real Estate Transfer	0.2												0.5	(0.3)	-60.0%
Racing and Exhibitions	-												-	-	0.0%
Metropolitan Commuter Trans. Mobility	163.7												138.6	25.1	18.1%
Total Other Taxes	163.7												138.6	25.1	18.1%
Total Taxes	11,961.4												7,857.3	4,104.1	47.1%
Miscellaneous Receipts:															
Abandoned Property	2.0												2.0	0.8	66.7%
Abandoned Property	0.2												0.9	(0.7)	-77.6%
Bottle Bill	81.0												103.3	(22.3)	-21.6%
Assessments:	625.7												525.8	99.9	19.0%
Business	5.1												1.8	3.3	183.3%
Medical Care	-												-	-	0.0%
Public Utilities	-												-	-	0.0%
Other	5.7												5.7	-	0.0%
Fees, License and Permits:	60.5												53.9	6.7	11.0%
Alcohol Beverage Control Licensing	29.8												28.4	1.4	4.7%
Audit Fees	0.5												0.4	0.1	25.0%
Business/Professional:	126.2												140.9	(14.7)	-10.4%
Criminal	55.4												44.4	11.0	24.8%
Motor Vehicle	628.7												69.8	559.9	80.7%
Recreational/Consumer	31.4												29.2	3.2	11.3%
Fines, Penalties and Forfeitures	218.4												199.0	19.4	9.7%
Gaming:	76.6												75.1	1.5	2.0%
Casino	44.0												28.5	15.5	54.4%
Video Lottery	200.8												315.8	(115.0)	-100.0%
Interest Earnings	2.0												2.6	(2.6)	-100.0%
Raffles	4.2												11.9	(9.9)	-83.2%
Public Authorities:	26.4												21.0	5.4	25.7%
Bond Proceeds	-												-	-	0.0%
Cost Recovery Assessments	-												-	-	0.0%
Issuance Fees	4.2												4.2	-	0.0%
Non-Bond Related	-												-	-	0.0%
Receipts from Municipalities	26.4												21.0	5.4	25.7%

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019	2020												1 Month Ended April 30		% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increased (Decreased)		
Receipts																	
Revenues of State Departments:																	
Administrative Recoveries	40.2	-	-	-	-	-	-	-	-	-	-	-	40.2	39.5	0.7	1.8%	
Commissions	1.9	-	-	-	-	-	-	-	-	-	-	-	1.9	15.2	(13.3)	-87.5%	
Grants	0.6	-	-	-	-	-	-	-	-	-	-	-	0.6	0.4	0.2	50.0%	
Grants, Grants and Donations	10.9	-	-	-	-	-	-	-	-	-	-	-	10.9	1.5	9.4	626.7%	
Indirect Cost Recoveries	5.6	-	-	-	-	-	-	-	-	-	-	-	5.6	1.0	4.6	460.0%	
Patient/Client Care Reimbursement	239.4	-	-	-	-	-	-	-	-	-	-	-	239.4	9.0	230.4	3.9%	
Rebates	12.3	-	-	-	-	-	-	-	-	-	-	-	12.3	12.7	(0.4)	-3.1%	
Restitution and Settlements	7.8	-	-	-	-	-	-	-	-	-	-	-	7.8	152.7	(144.9)	-94.9%	
Student Loans	52.9	-	-	-	-	-	-	-	-	-	-	-	52.9	4.9	48.0	53.1%	
All Other	5.2	-	-	-	-	-	-	-	-	-	-	-	5.2	2.6	2.6	100.0%	
Tuition	5.0	-	-	-	-	-	-	-	-	-	-	-	5.0	2.8	2.2	78.6%	
Total Miscellaneous Receipts	2,652.9	-	-	-	-	-	-	-	-	-	-	-	2,652.7	2,208.7	444.0	20.1%	
Federal Receipts	6,242.0	-	-	-	-	-	-	-	-	-	-	-	6,242.0	3,616.0	2,626.0	72.6%	
Total Receipts	20,463.1	-	-	-	-	-	-	-	-	-	-	-	20,463.1	13,882.0	6,781.1	49.6%	
Disbursements																	
Local Assistance Grants:																	
Education	1,263.9	-	-	-	-	-	-	-	-	-	-	-	1,263.9	1,966.1	(302.2)	-19.3%	
Environment and Recreation	25.4	-	-	-	-	-	-	-	-	-	-	-	25.4	8.0	17.4	217.5%	
Government	60.3	-	-	-	-	-	-	-	-	-	-	-	60.3	36.7	23.6	51.9%	
Public Health:																	
Medicaid	6,412.0	-	-	-	-	-	-	-	-	-	-	-	6,412.0	4,373.7	2,038.3	46.6%	
Other Public Health	724.4	-	-	-	-	-	-	-	-	-	-	-	724.4	757.5	(33.1)	-4.4%	
Public Safety	156.9	-	-	-	-	-	-	-	-	-	-	-	156.9	90.3	66.6	73.8%	
Public Welfare	240.1	-	-	-	-	-	-	-	-	-	-	-	240.1	460.1	(220.0)	-47.8%	
Support and Regulate Business	34.1	-	-	-	-	-	-	-	-	-	-	-	34.1	112.0	(77.9)	-68.6%	
Transportation	305.0	-	-	-	-	-	-	-	-	-	-	-	305.0	293.3	11.7	4.0%	
Total Local Assistance Grants	9,222.1	-	-	-	-	-	-	-	-	-	-	-	9,222.1	7,700.7	1,521.4	19.8%	
Departmental Operations:																	
Personal Service	1,139.9	-	-	-	-	-	-	-	-	-	-	-	1,139.9	1,102.5	37.3	3.4%	
Personal Services	1,139.9	-	-	-	-	-	-	-	-	-	-	-	1,139.9	1,102.5	37.3	3.4%	
Non-Personal Service	813.6	-	-	-	-	-	-	-	-	-	-	-	813.6	2,865.5	(2,051.9)	-71.6%	
General State Charges	72.4	-	-	-	-	-	-	-	-	-	-	-	72.4	64.1	8.3	12.9%	
Debt Service, Including Payments on Financing Agreements	434.1	-	-	-	-	-	-	-	-	-	-	-	434.1	361.2	72.9	20.2%	
Capital Projects	12,156.1	-	-	-	-	-	-	-	-	-	-	-	12,156.1	12,510.9	(354.8)	-2.8%	
Total Disbursements	8,907.0	-	-	-	-	-	-	-	-	-	-	-	8,907.0	1,171.1	7,135.9	606.3%	
Excess (Deficiency) of Receipts over Disbursements	11,556.1	-	-	-	-	-	-	-	-	-	-	-	11,556.1	12,510.9	(954.8)	-7.6%	
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	6,203.6	-	-	-	-	-	-	-	-	-	-	-	6,203.6	4,144.2	2,059.4	48.7%	
Transfers to Other Funds	(6,217.9)	-	-	-	-	-	-	-	-	-	-	-	(6,217.9)	(4,650.8)	2,167.1	53.5%	
Total Other Financing Sources (Uses)	(14.3)	-	-	-	-	-	-	-	-	-	-	-	(14.3)	93.4	(107.7)	-115.3%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	11,541.8	-	-	-	-	-	-	-	-	-	-	-	11,541.8	12,510.9	(969.1)	-7.7%	
Ending Fund Balance	\$ 18,267.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 18,267.7	\$ 14,013.5	\$ 4,254.2	30.4%	

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 STATEMENT OF CASH FLOW - STATE OPERATING (*)
 FISCAL YEAR 2019-2020
 (amounts in millions)

	2020												1 Month Ended April 30	% Increase (Decrease)	% Increase (Decrease)	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				2019
Beginning Fund Balance	\$ 12,361.3												\$ 12,361.3	\$ 13,606.6	\$ (1,245.3)	-9.2%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,237.1												3,237.1	2,930.1	307.0	10.5%
Refund Payments	4,857.4												4,857.4	4,857.4	0.0	0.0%
Returns	2,288.9												2,288.9	1,639.5	647.4	39.5%
State/City Offsets	(298.9)												(298.9)	(279.9)	17.0	6.1%
Other (Assessments/LLC)	170.3												170.3	132.5	37.8	28.5%
Employee Compensation Expense Tax	0.1												0.1	0.1	0.0	0.0%
Gross Receipts	12,240.7												12,240.7	8,778.2	3,462.5	39.4%
Transfers to School Tax Relief Fund	-												-	-	-	0.0%
Revenue Bond Tax Fund	-												-	-	-	0.0%
Refunds Issued	(3,025.2)												(3,025.2)	(2,922.0)	103.2	3.5%
Total Personal Income Tax	9,215.5												9,215.5	5,856.2	3,359.3	57.4%
Consumption/Use Taxes:																
Sales and Use	1,201.6												1,201.6	1,112.9	88.7	8.0%
Auto Rental	86.2												86.2	(0.9)	87.1	-100.0%
Alcoholic Products	8.6												8.6	8.2	0.4	4.8%
Medical Marijuana	8.6												8.6	0.2	8.4	150.0%
Motor Fuel	9.6												9.6	7.9	1.7	21.5%
Alcoholic Beverage	20.0												20.0	7.5	12.5	166.7%
Highway Use	-												-	2.8	(2.8)	-100.0%
Metropolitan Commuter Trans. Taxable Trip	-												-	12.8	(12.8)	-100.0%
Total Consumption/Use Taxes	1,320.9												1,320.9	1,233.0	87.9	7.1%
Business Taxes:																
Corporate Franchise	376.7												376.7	465.1	(78.4)	-17.2%
Cooperation and Utilities	39.7												39.7	23.3	16.4	70.4%
Insurance	141.8												141.8	46.2	95.6	206.9%
Bank	146.3												146.3	(32.6)	177.9	545.7%
Petroleum Business	44.1												44.1	25.9	18.9	72.9%
Total Business Taxes	747.6												747.6	511.2	236.1	46.3%
Other Taxes:																
Real Property Gains	-												-	-	-	0.0%
Estate and Gift	78.7												78.7	50.2	28.5	56.8%
Pen-Mutual	0.9												0.9	0.9	0.0	0.0%
Real Estate Transfer	82.9												82.9	87.0	(4.1)	-4.7%
Racing and Exhibitions	0.2												0.2	0.5	(0.3)	-60.0%
Miscellaneous Receipts	-												-	-	-	0.0%
Total Other Taxes	163.7												163.7	138.6	25.1	18.1%
Total Taxes	11,447.7												11,447.7	7,745.3	3,702.4	47.8%
Miscellaneous Receipts:																
Abandoned Property	2.0												2.0	1.2	0.8	66.7%
Unclaimed Property	0.2												0.2	0.6	(0.7)	-77.8%
Bottle Bill	-												-	-	-	0.0%
Assessments:																
Business	66.4												66.4	83.4	(17.0)	-20.4%
Medical Care	625.7												625.7	99.9	525.8	19.0%
Public Utilities	5.1												5.1	1.8	3.3	183.3%
Fees, Licenses and Permits:													-	-	-	0.0%
Alcohol Beverage Control Licensing	5.7												5.7	5.7	0.0	0.0%
Audit Fees	-												-	-	-	0.0%
Business/Professional	58.7												58.7	50.3	8.4	16.7%
Civil	28.6												28.6	28.4	0.2	0.7%
Criminal	59.2												59.2	5.0	54.2	1084.0%
Vehicle	59.2												59.2	7.7	51.5	20.7%
Recreational/Consumer	56.2												56.2	44.3	11.9	26.8%
Fines, Penalties and Forfeitures	625.7												625.7	66.2	559.5	845.2%
Gaming:																
Casino	31.4												31.4	26.2	5.2	11.3%
Lottery	218.4												218.4	190.0	28.4	14.9%
Racetrack	175.9												175.9	175.9	0.0	0.0%
Interest Earnings	41.4												41.4	27.4	14.0	51.1%
Receipts from Public Authorities:																
Bond Proceeds	-												-	-	-	0.0%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2019-2020
(amounts in millions)

	2019	2020												1 Month Ended April 30	% Increase
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	\$(Increase)	Decrease
RECEIPTS:															
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	2.6	(2.6)	-100.0%
Issuance Fees	2.0	-	-	-	-	-	-	-	-	-	-	-	11.9	(9.9)	-83.2%
Non Bond Related	0.7	-	-	-	-	-	-	-	-	-	-	-	5.0	(4.3)	-86.0%
Receipts from Municipalities	28.1	-	-	-	-	-	-	-	-	-	-	-	20.8	5.3	25.5%
Rentals	38.3	-	-	-	-	-	-	-	-	-	-	-	39.9	0.4	1.0%
Receipts from State Departments:															
Administrative Receipts	1.9	-	-	-	-	-	-	-	-	-	-	-	15.2	(13.3)	-87.5%
Commissions	0.6	-	-	-	-	-	-	-	-	-	-	-	0.4	0.2	50.0%
Commissions-Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	0.8	-	-	-	-	-	-	-	-	-	-	-	1.5	(0.7)	-46.7%
Indirect Cost Recoveries	6.5	-	-	-	-	-	-	-	-	-	-	-	5.5	1.0	59.0%
Parent/Client Care Reimbursement	23.8	-	-	-	-	-	-	-	-	-	-	-	230.4	(206.6)	-85.9%
Reimbursement of State Department	3.4	-	-	-	-	-	-	-	-	-	-	-	0.0	3.4	100.0%
Restitution and Settlements	7.6	-	-	-	-	-	-	-	-	-	-	-	152.6	(145.0)	-86.0%
Student Loans	7.5	-	-	-	-	-	-	-	-	-	-	-	4.9	2.6	53.1%
All Other	48.0	-	-	-	-	-	-	-	-	-	-	-	44.9	4.1	9.1%
Sales	51.0	-	-	-	-	-	-	-	-	-	-	-	2.5	(1.5)	-60.0%
Tuition	57.9	-	-	-	-	-	-	-	-	-	-	-	14.2	(43.3)	-76.9%
Total Miscellaneous Receipts	2,346.8	-	-	-	-	-	-	-	-	-	-	-	1,727.7	619.1	35.3%
Federal Receipts	-	-	-	-	-	-	-	-	-	-	-	-	(2.6)	2.6	100.0%
Total Receipts	13,788.5	-	-	-	-	-	-	-	-	-	-	-	9,500.4	4,288.1	44.7%
DISBURSEMENTS:															
Local Assistance Grants:															
Education	917.2	-	-	-	-	-	-	-	-	-	-	-	1,180.0	(262.8)	-22.3%
Environment and Recreation	0.2	-	-	-	-	-	-	-	-	-	-	-	0.4	(0.2)	-50.0%
General Government	27.1	-	-	-	-	-	-	-	-	-	-	-	11.9	15.2	127.7%
Public Health:															
Medicaid	3,295.5	-	-	-	-	-	-	-	-	-	-	-	1,795.9	1,499.6	85.5%
Medicaid-Asset Conversion	1.0	-	-	-	-	-	-	-	-	-	-	-	(1.0)	2.0	200.0%
Public Safety	30.7	-	-	-	-	-	-	-	-	-	-	-	252.1	(221.4)	-86.0%
Public Welfare	88.7	-	-	-	-	-	-	-	-	-	-	-	81.3	7.4	9.1%
Support and Regulate Business	7.1	-	-	-	-	-	-	-	-	-	-	-	2.8	4.3	153.6%
Transportation	68.3	-	-	-	-	-	-	-	-	-	-	-	236.3	(168.0)	-71.1%
Total Local Assistance Grants	4,845.1	-	-	-	-	-	-	-	-	-	-	-	3,991.8	853.3	29.3%
Departmental Operations:															
Capital Outlay	1,090.5	-	-	-	-	-	-	-	-	-	-	-	1,050.9	39.7	3.8%
Non-Personal Service	404.0	-	-	-	-	-	-	-	-	-	-	-	347.2	56.8	16.4%
General State Charges	792.2	-	-	-	-	-	-	-	-	-	-	-	2,826.1	(2,033.9)	-72.0%
Debt Service, Including Payments on Financing Agreements	72.4	-	-	-	-	-	-	-	-	-	-	-	84.1	(11.7)	-12.9%
Total Disbursements	7,004.2	-	-	-	-	-	-	-	-	-	-	-	7,980.0	(875.9)	-11.1%
Excess (Deficiency) of Receipts over Disbursements	6,784.3	-	-	-	-	-	-	-	-	-	-	-	1,650.4	5,133.9	311.1%
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds (**)	5,706.6	-	-	-	-	-	-	-	-	-	-	-	4,147.3	1,559.3	37.6%
Transfers to Other Funds (**)	(6,134.0)	-	-	-	-	-	-	-	-	-	-	-	(4,017.1)	2,116.9	52.7%
Total Other Financing Sources (Uses)	(427.4)	-	-	-	-	-	-	-	-	-	-	-	130.2	(557.6)	-428.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,356.9	-	-	-	-	-	-	-	-	-	-	-	1,780.6	4,576.3	257.0%
Ending Fund Balance	\$ 18,718.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 15,387.2	\$ 3,331.0	21.6%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

EXHIBIT F

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019												2018	1 Month Ended April 30 \$(Increase/ (Decrease))	% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ 7,205.7													\$ 9,445.0	\$ (2,239.3)	-23.7%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,237.1													2,930.1	307.0	10.5%
Estimated Payments	6,843.2													4,356.0	2,487.2	57.1%
Returns	2,286.9													1,639.5	647.4	39.5%
State/City Offsets	(296.9)													(279.9)	17.0	6.1%
Other (Assessments/LLC)	170.3													132.5	37.8	28.5%
Entertainment Expense Tax																
Gross Receipts	12,240.7													8,778.2	3,462.5	39.4%
Transfers to School Tax Relief Fund	(4,607.7)													(2,928.1)	1,679.6	57.4%
Transfers to Revenue Bond Tax Fund	(3,025.2)													(2,922.0)	103.2	3.5%
Refunds Issued	4,607.8													2,928.1	1,679.7	57.4%
Total Personal Income Tax																
Consumption/Use Taxes																
Sales and Use	539.3													511.9	27.4	5.4%
Cigarette/Tobacco Products	26.0													24.4	1.6	6.6%
Motor Fuel	20.0													-	20.0	0.0%
Alcoholic Beverage	-													-	12.5	166.7%
Highway Use	-													-	-	0.0%
Metropolitan Commuter Trans. Taxicab Tip	-													-	-	0.0%
Total Consumption/Use Taxes	585.3													543.2	42.1	7.9%
Businesses																
Corporate Franchise	274.1													334.3	(60.2)	-18.0%
Corporation and Utilities	16.3													16.6	0.3	5.5333%
Insurance	127.4													41.4	86.0	207.7%
Bank	125.4													155.3	(29.9)	519.4%
Petroleum Business	543.2													345.3	197.7	57.2%
Total Business Taxes																
Other Taxes:																
Real Estate and City	79.7													79.7	0.0	0.0%
Real Estate and CTA	0.9													0.9	0.0	0.0%
Real Estate Transfer	-													-	-	0.0%
Racing and Exhibitions	0.2													0.5	(0.3)	-60.0%
Metropolitan Commuter Trans. Mobility	80.8													80.8	0.0	0.0%
Total Other Taxes																
Total Taxes	5,817.1													3,869.0	1,948.1	50.4%
Miscellaneous Receipts:																
Abandoned Property	0.9													0.5	0.4	60.0%
Real Estate	0.2													0.9	(0.7)	-77.6%
Business:																
Medical Care	-													-	-	0.0%
Public Utilities	-													1.5	(1.5)	-100.0%
Other	-													-	-	0.0%
Fees, Licenses and Permits:																
Alcoholic Beverage Control/Licensing	5.7													5.7	0.0	0.0%
Real Estate	11.1													13.2	(2.1)	-15.5%
Business/Professional	25.0													23.8	1.2	5.0%
Criminal	0.2													0.1	0.1	100.0%
Motor Vehicle	33.2													29.8	3.4	11.4%
Recreational/Consumer	1.2													1.0	0.2	20.0%
Fines, Penalties and Forfeitures	618.1													14.2	603.9	4,252.8%
Interest Earnings	21.8													17.4	4.4	25.3%
Recovery from Public Authorities:																
Cost Recovery Assessments	-													-	-	0.0%
Issuance Fees	0.1													4.7	(4.7)	-100.0%
Non Bond Related	16.7													16.7	0.0	0.0%
Receipts from Municipalities	0.3													0.2	0.1	50.0%
Revenues of State Departments:																
Administrative Recoveries	0.5													0.4	0.1	20.0%
Administrative Recoveries	0.1													0.1	0.0	100.0%
Gifts, Grants and Donations	5.6													5.6	0.0	0.0%
Indirect Cost Recoveries	(7.3)													(17.4)	10.1	460.0%
Patient/Client Care Reimbursement	(0.6)													(0.6)	-	0.0%
Rebates																

EXHIBIT F

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019												2018		% Increase Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018		
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	104.7	(104.7)	-100.0%
Student Loans	8.1	-	-	-	-	-	-	-	-	-	-	-	8.1	2.5	56	224.0%
Other	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.1	100.0%	
Sales	741.0	-	-	-	-	-	-	-	-	-	-	-	741.0	220.3	520.7	236.4%
Total Miscellaneous Receipts	749.2	-	-	-	-	-	-	-	-	-	-	-	749.2	222.9	526.3	236.4%
Federal Receipts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Receipts	5,558.1	-	-	-	-	-	-	-	-	-	-	-	5,558.1	4,059.3	2,498.8	60.4%
DISBURSEMENTS:																
Local Assistance Grants	917.0	-	-	-	-	-	-	-	-	-	-	-	917.0	1,179.9	(262.9)	-22.3%
Education	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.4	(0.3)	-75.0%
Environment and Recreation	1.7	-	-	-	-	-	-	-	-	-	-	-	1.7	1.4	0.3	21.4%
General Government	3,286.6	-	-	-	-	-	-	-	-	-	-	-	3,286.6	1,515.6	1,771.0	117.5%
Public Health:																
Medicaid	150.2	-	-	-	-	-	-	-	-	-	-	-	150.2	153.3	(3.1)	-2.0%
Other Public Health	10.2	-	-	-	-	-	-	-	-	-	-	-	10.2	16.3	(6.1)	-37.4%
Public Safety	87.8	-	-	-	-	-	-	-	-	-	-	-	87.8	80.8	7.0	8.7%
Public Welfare	6.0	-	-	-	-	-	-	-	-	-	-	-	6.0	3.3	2.7	81.8%
Support and Regulate Business	6.0	-	-	-	-	-	-	-	-	-	-	-	6.0	2.7	3.3	122.2%
Transportation	4,470.0	-	-	-	-	-	-	-	-	-	-	-	4,470.0	46.4	(4,423.6)	-100.0%
Total Local Assistance Grants	4,470.0	-	-	-	-	-	-	-	-	-	-	-	4,470.0	3,035.3	1,434.7	47.2%
Departmental Operations:																
Personal Service	688.8	-	-	-	-	-	-	-	-	-	-	-	688.8	667.9	20.9	3.1%
Non-Personal Service	150.0	-	-	-	-	-	-	-	-	-	-	-	150.0	147.1	2.9	1.9%
General State Charges	728.2	-	-	-	-	-	-	-	-	-	-	-	728.2	2,706.6	(1,978.4)	-73.1%
Total Disbursements	5,046.0	-	-	-	-	-	-	-	-	-	-	-	5,046.0	6,574.0	(1,528.0)	-23.2%
Excess (Deficiency) of Receipts over Disbursements	512.1	-	-	-	-	-	-	-	-	-	-	-	512.1	(2,484.7)	2,996.8	120.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	4,607.3	-	-	-	-	-	-	-	-	-	-	-	4,607.3	2,927.8	1,679.5	57.4%
Transfers from LGAC / STRBT	452.0	-	-	-	-	-	-	-	-	-	-	-	452.0	436.0	16.0	3.7%
Transfers from OM/CA Fund	49.3	-	-	-	-	-	-	-	-	-	-	-	49.3	73.0	(23.7)	-32.5%
Transfers from NY State Thruway Authority	49.3	-	-	-	-	-	-	-	-	-	-	-	49.3	10.3	39.0	376.7%
Transfers to State Capital Projects	(248.8)	-	-	-	-	-	-	-	-	-	-	-	(248.8)	45.6	294.4	65.6%
Transfers to All Other Capital Projects	(250.0)	-	-	-	-	-	-	-	-	-	-	-	(250.0)	(100.0)	150.0	150.0%
Transfers to General Debt Service	(134.7)	-	-	-	-	-	-	-	-	-	-	-	(134.7)	(192.3)	(57.6)	-30.0%
Transfers to All Other State Funds	(284.6)	-	-	-	-	-	-	-	-	-	-	-	(284.6)	(315.1)	(20.5)	-6.5%
Total Other Financing Sources (Uses)	4,250.9	-	-	-	-	-	-	-	-	-	-	-	4,250.9	2,977.2	1,273.7	42.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,763.0	-	-	-	-	-	-	-	-	-	-	-	4,763.0	482.5	4,270.5	88.7%
Ending Fund Balance	\$ 11,988.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,988.7	\$ 9,937.5	\$ 2,051.2	20.4%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2020												1 Month Ended April 30		% Increase/Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018		\$ (458.7)
Beginning Fund Balance	\$ 3,842.4												\$ 3,842.4	\$ 4,302.1	\$ (459.7)	-10.7%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	-	-	-	-	-	-	-	-	982	25.9	26.4%
Consumption/Use Taxes:																
Sales and Use	124.1	-	-	-	-	-	-	-	-	-	-	-	124.1	0.9	(0.9)	-100.0%
Auto Rental	63.2	-	-	-	-	-	-	-	-	-	-	-	63.2	63.8	(0.4)	-0.8%
Cigarette/Tobacco Products	9.6	-	-	-	-	-	-	-	-	-	-	-	9.6	9.4	2.1%	
Marijuana	9.6	-	-	-	-	-	-	-	-	-	-	-	9.6	7.9	1.7	21.5%
Motor Fuel	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	-	2.8	(2.8)	-100.0%
Highway Use	-	-	-	-	-	-	-	-	-	-	-	-	-	12.8	(12.8)	-100.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	-	-	-	106.4	(106.4)	-100.0%
Consumption/Use Taxes:																
Business Taxes:	197.4	-	-	-	-	-	-	-	-	-	-	-	197.4	11.9	3.2%	
Corporation Franchise	102.6	-	-	-	-	-	-	-	-	-	-	-	102.6	120.8	(18.2)	-15.1%
Corporation and Utilities	23.4	-	-	-	-	-	-	-	-	-	-	-	23.4	23.6	(0.2)	-0.8%
Insurance	14.4	-	-	-	-	-	-	-	-	-	-	-	14.4	4.8	9.6	200.0%
Other	57.0	-	-	-	-	-	-	-	-	-	-	-	57.0	26.8	20.2%	
Petroleum Business	44.1	-	-	-	-	-	-	-	-	-	-	-	44.1	25.5	18.6	72.9%
Total Business Taxes	203.4	-	-	-	-	-	-	-	-	-	-	-	203.4	172.0	31.4	18.8%
Total Taxes	401.8	-	-	-	-	-	-	-	-	-	-	-	401.8	395.4	6.4	1.6%
Miscellaneous Receipts:																
Abandoned Property	1.1	-	-	-	-	-	-	-	-	-	-	-	1.1	0.7	0.4	57.1%
Assessments:																
Business	70.9	-	-	-	-	-	-	-	-	-	-	-	70.9	87.6	(16.7)	-19.1%
Motor Vehicle	623.7	-	-	-	-	-	-	-	-	-	-	-	623.7	624.3	(0.6)	-0.1%
Public Utilities	5.1	-	-	-	-	-	-	-	-	-	-	-	5.1	1.8	3.3	183.3%
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Judicial Fees	46.6	-	-	-	-	-	-	-	-	-	-	-	46.6	37.1	9.5	25.6%
Business/Professional	3.6	-	-	-	-	-	-	-	-	-	-	-	3.6	4.6	(1.0)	-21.7%
Criminal	0.3	-	-	-	-	-	-	-	-	-	-	-	0.3	0.3	0.0%	
Motor Vehicle	26.0	-	-	-	-	-	-	-	-	-	-	-	26.0	44.9	(18.9)	-42.1%
Recreational/Consumer	54.0	-	-	-	-	-	-	-	-	-	-	-	54.0	43.3	10.7	24.7%
Fine Penalties and Forfeitures	6.3	-	-	-	-	-	-	-	-	-	-	-	6.3	53.2	(46.9)	-86.4%
Casino	31.4	-	-	-	-	-	-	-	-	-	-	-	31.4	28.2	3.2	11.3%
Lottery	218.4	-	-	-	-	-	-	-	-	-	-	-	218.4	198.0	18.4	9.7%
Video Lottery	78.6	-	-	-	-	-	-	-	-	-	-	-	78.6	75.1	3.5	4.6%
Other	20.9	-	-	-	-	-	-	-	-	-	-	-	20.9	10.3	10.6	102.9%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	-	2.6	(2.6)	-100.0%
Issuance Fees	2.0	-	-	-	-	-	-	-	-	-	-	-	2.0	6.2	(4.2)	-67.7%
Other	9.4	-	-	-	-	-	-	-	-	-	-	-	9.4	4.1	5.3	129.3%
Receipts from Municipalities	39.0	-	-	-	-	-	-	-	-	-	-	-	39.0	38.7	0.3	0.8%
Revenues of State Departments:																
Administrative Recoveries	1.4	-	-	-	-	-	-	-	-	-	-	-	1.4	1.48	(0.08)	-5.5%
Commissions	0.5	-	-	-	-	-	-	-	-	-	-	-	0.5	0.4	0.1	20.0%
Commissions - Asset Conversion	0.8	-	-	-	-	-	-	-	-	-	-	-	0.8	1.5	(0.7)	-46.7%
Gifts, Grants and Donations	0.9	-	-	-	-	-	-	-	-	-	-	-	0.9	0.9	0.0%	
Indirect Cost Recoveries	204.3	-	-	-	-	-	-	-	-	-	-	-	204.3	204.0	0.3	0.1%
Patent/Cost Reimbursement	1.2	-	-	-	-	-	-	-	-	-	-	-	1.2	0.9	0.3	33.3%
Reimbursement and Settlements	7.5	-	-	-	-	-	-	-	-	-	-	-	7.5	47.9	(40.4)	-84.1%
Student Loans	41.2	-	-	-	-	-	-	-	-	-	-	-	41.2	4.9	36.3	735.1%
All Other	0.9	-	-	-	-	-	-	-	-	-	-	-	0.9	2.5	(1.6)	-64.0%
Sales	0.9	-	-	-	-	-	-	-	-	-	-	-	0.9	2.5	(1.6)	-64.0%
Tuition	1,971.9	-	-	-	-	-	-	-	-	-	-	-	1,971.9	1,937.5	34.4	1.7%
Total Miscellaneous Receipts	6,203.7	-	-	-	-	-	-	-	-	-	-	-	6,203.7	2,946.4	3,257.3	74.4%
Total Receipts	8,177.4	-	-	-	-	-	-	-	-	-	-	-	8,177.4	5,453.2	2,724.2	50.0%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	1 Month Ended April 30												% Increase/ Decrease		
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH		2019	2018
DISBURSEMENTS:															
Local Assistance Grants:															
Education and Recreation	337.7											337.7	379.2	(45.5)	
Emergency	610.2											0.2	13.7	100.0%	
General Government	27.4										27.4			100.0%	
Public Health:															
Medicaid	3,115.4											3,115.4	2,855.1	9.0%	
Other Public Health	1,424.4											1,424.4	1,424.4	0.0%	
Public Safety	136.3											136.3	76.4	78.4%	
Public Welfare	152.3											152.3	285.7	-42.9%	
Support and Regulate Business	1.4											1.4	0.1	1,300.0%	
Transportation	772.3											772.3	192.6	299.5%	
Total Local Assistance Grants	4,388.8											4,388.8	4,326.3	1.5%	
Departmental Operations:															
Personal Service	451.0											451.0	434.6	3.8%	
Non-Personal Service	314.4											314.4	252.4	24.6%	
General State Charges	85.4											85.4	156.9	-46.3%	
Capital Projects	-											-	-	0.0%	
Total Disbursements	5,237.2											5,237.2	5,174.4	1.2%	
Excess (Deficiency) of Receipts over Disbursements	2,940.2											2,940.2	273.8	954.6%	
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	306.3											286.1	323.1	-8.4%	
Transfers to Other Funds	(86.5)											(79.3)	66.5	214.7%	
Total Other Financing Sources (Uses)	219.8											218.8	386.6	-43.6%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,160.0											3,160.0	665.4	372.8%	
Ending Fund Balance	\$ 7,002.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,002.4	\$ 4,970.5	\$ 2,031.9	
															40.9%

(1) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019												2020	1 Month Ended April 30	% Increase/Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				2019
Beginning Fund Balance	\$ 5,006.8													\$ 4,006.5	\$ 1,002.3	27.0%
RECEIPTS:																
Taxes:																
Personal Income Tax	-															
Consumption/Use Taxes:																
Sales and Use	124.1															26.4%
Auto Rental	-															-100.0%
Cigarette/Tobacco Products	63.2															-0.6%
Medical Marijuana	0.5															150.0%
Motor Fuel	9.6															21.5%
Alcoholic Beverage	-															0.0%
Amusement Tax	-															-10.0%
Highway Tax	-															-100.0%
Metro/Northern Westchester/Transit/Bus/Taxicab Trip	-															-100.0%
Total Consumption/Use Taxes	197.4													186.3	11.0	5.9%
Business Taxes																
Corporation Franchise	102.6															-15.1%
Corporation and Utilities	23.4															-0.8%
Insurance	14.4															200.0%
Bank	19.9															837.0%
Petroleum Business	204.1															16.5%
Total Business Taxes	204.1													172.0	32.1	15.5%
Total Taxes	401.8													358.4	43.4	12.1%
Miscellaneous Receipts:																
Abandoned Property:																
Assessments:																
Business:	68.4															57.1%
Public Utilities	62.7															20.4%
Other	5.1															183.3%
Fees, Licenses and Permits:																0.0%
Audit Fees	-															0.0%
Business/Professional	48.6															31.0%
Civil	3.6															-21.7%
Criminal	0.3															0.0%
Motor Vehicle	25.0															42.1%
Real Property/Consumer	5.4															34.4%
Fines, Penalties and Forfeitures	7.6															46.4%
Gaming:																
Casino	31.4															11.3%
Lottery	218.4															9.7%
Video Lottery	76.6															2.0%
Interest Earnings	19.4															96.0%
Receipts from Public Authorities:																
Bond Proceeds	-															0.0%
Assessments	2.0															-100.0%
Issuance Fees	0.6															-22.2%
Non Bond Related	9.4															-88.0%
Receipts from Municipalities	39.0															129.3%
Rentals																0.8%
Revenues of State Departments:																
Administrative Recoveries	1.4															-80.5%
Commissions	0.5															25.0%
Commissions - Asset Conversion	0.8															0.0%
Gifts, Grants and Donations	0.6															46.7%
Grants	0.3															100.0%
Health Care Reimbursement	204.3															0.3%
Patient/Client Care Reimbursement	5.2															0.1%
Rebates	5.2															2.0%
Resubmission and Settlements	7.6															-84.1%
Student Loans	7.5															53.1%
All Other	40.9															-3.5%
Sales	0.9															-64.0%
Tuition	52.0															37.6%
Total Miscellaneous Receipts	1,557.2													1,323.3	233.7	22.7%
Federal Receipts																
Total Receipts	1,959.0													1,879.3	79.7	4.2%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2020												1 Month Ended April 30 \$ (Increase/ (Decrease)	% Increase/ Decrease		
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (1,288.4)													\$ 293.6	(1,542.0)	-525.2%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property	-													-		0.0%
Assessments:	4.5													4.2	0.3	7.1%
Business Care	-													-		0.0%
Public Utilities	-													-		0.0%
Other	-													-		0.0%
Fees, Licenses and Permits:																
Business/Professional	-													-		0.0%
Civil	-													-		0.0%
Criminal	-													-		0.0%
Motor Vehicle	-													-		0.0%
Recreational/Consumer	-													-		0.0%
Fines, Penalties and Forfeitures	0.7													1.2	(0.5)	41.7%
Interest Earnings	1.5													0.4	1.1	275.0%
Receipts from State and Local Government:																
Bond Proceeds	-													-		0.0%
Cost Recovery Assessments	-													-		0.0%
Issuance Fees	-													-		0.0%
Non Bond Related	-													-		0.0%
Receipts from Municipalities	-													-		0.0%
Rentals	-													-		0.0%
Revenues of State Departments:																
Administrative Recoveries	-													-		0.0%
Commissions	-													-		0.0%
Fees, Grants and Donations	-													-		0.0%
Interest on State Debt	-													-		0.0%
Patent/Clinical Care Reimbursement	7.7													8.2	(0.5)	-61%
Rebates	-													-		0.0%
Restitution and Settlements	-													-		0.0%
Student Loans	-													0.3	0.3	100.0%
All Other	0.3													-		0.0%
Sales	-													-		0.0%
Tuition	-													-		0.0%
Total Miscellaneous Receipts	14.7													14.0	0.7	5.0%
Federal Receipts	6,203.7													3,559.9	2,643.8	74.3%
Total Receipts	6,218.4													3,573.9	2,644.5	74.0%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2020												1 Month Ended April 30		% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018		\$ Increase/ (Decrease)
DISBURSEMENTS:																
Local Assistance Grants:																
Education	337.5												337.5	378.1	(40.6)	-10.7%
Environment and Recreation	0.1												0.1	-	0.1	100.0%
General Government	2.0												2.0	3.2	(1.2)	-37.5%
Public Health:																
Medicaid	3,116.5												3,116.5	2,577.8	538.7	20.9%
Other Public Health	463.3												463.3	479.9	-16.6	-3.6%
Public Safety	16.2												16.2	14.4	1.8	12.5%
Police and Fire	157.4												157.4	266.2	(108.8)	-69.2%
Support and Regulate Business	0.3												0.3	0.3	0.0	100.0%
Transportation	4.0												4.0	2.7	1.3	48.1%
Total Local Assistance Grants	4,211.3												4,211.3	3,772.5	438.8	11.6%
Departmental Operations:																
Personal Service	49.3												49.3	51.7	(2.4)	-4.6%
Non-Personal Service	70.1												70.1	69.7	0.4	0.6%
General State Charges	21.4												21.4	39.4	(18.0)	-45.7%
Capital Projects	-												-	-	-	0.0%
Total Disbursements	4,352.1												4,352.1	3,633.3	418.8	10.6%
Excess (Deficiency) of Receipts over Disbursements	1,866.3												1,866.3	(359.4)	2,225.7	619.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-												-	(66.7)	(66.7)	0.0%
Transfers to Other Funds	(50.2)												(50.2)	-	(50.2)	-24.7%
Total Other Financing Sources (Uses)	(50.2)												(50.2)	(66.7)	(16.5)	-24.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,816.1												1,816.1	(426.1)	2,242.2	526.2%
Ending Fund Balance	\$ 567.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 567.7	\$ (132.5)	\$ 700.2	528.5%

EXHIBIT H

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2020												1 Month Ended April 30		% Increase/ Decrease		
	2019	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019		2018	
Beginning Fund Balance	\$	64.8												\$	153.1	(\$ 86.3)	-57.7%
RECEIPTS:																	
Taxes:																	
Personal Income Tax		4,607.7													2,928.1	1,679.6	57.4%
Consumption/Use Taxes:																	
Sales and Use		538.2													502.8	35.4	7.0%
Total Consumption/Use Taxes		538.2													502.8	35.4	7.0%
Other Taxes:																	
Real Estate Transfer		82.9													87.0	(4.1)	-4.7%
Total Other Taxes		82.9													87.0	(4.1)	-4.7%
Total Taxes		5,228.8													3,517.9	1,710.9	48.6%
Miscellaneous Receipts:																	
Assessments:																	
Medical Care		-													-	-	0.0%
Fees, Licenses and Permits:																	
Alcohol Beverage Control Licensing		-													-	-	0.0%
Business/Professional		-													-	-	0.0%
Civil		-													-	-	0.0%
Criminal		-													-	-	0.0%
Motor Vehicle		-													-	-	0.0%
Recreational/Consumer		-													-	-	0.0%
Interest Earnings		0.2													0.1	0.1	100.0%
Receipts from Municipalities		-													-	-	0.0%
Rentals		-													-	-	0.0%
Revenues of State Departments:																	
Patent/Client Care Reimbursement		42.4													43.8	(1.4)	-3.2%
All Other		-													-	-	0.0%
Total Miscellaneous Receipts		42.6													43.9	(1.3)	-3.0%
Federal Receipts		-													-	-	0.0%
Total Receipts		5,271.4													3,561.8	1,709.6	48.0%
DISBURSEMENTS:																	
Departmental Operations:																	
Non-Personal Service		0.7													0.8	(0.1)	-12.5%
Debt Service, Including Payments On Financing Agreements		72.4													64.1	8.3	12.9%
Total Disbursements		73.1													64.9	8.2	12.6%
Excess (Deficiency) of Receipts over Disbursements		5,198.3													3,486.9	1,701.4	48.7%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds		219.3													226.4	(7.1)	-3.1%
Transfers to Other Funds		(5,167.6)													(3,529.7)	1,637.9	46.4%
Total Other Financing Sources (Uses)		(4,948.3)													(3,303.3)	(1,845.0)	-49.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		260.0													193.6	56.4	29.1%
Ending Fund Balance		\$ 314.8													\$ 346.7	\$ (31.9)	-9.2%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT I

	2020												1 Month Ended April 30		% Increase/Decrease		
	2019	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2019		Intra-Func Transfer Eliminations (*)	
	APRIL																
Beginning Fund Balance	\$ (1,137.9)												\$ (1,137.9)	\$ (1,151.2)		\$ 13.3	1.2%
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Fuel	3.5												3.5	0.6		2.9	483.3%
Motor Fuel	36.4												36.4	31.0		5.4	17.4%
Highway Use	14.2												14.2	12.8		1.4	10.9%
Total Consumption/Use Taxes	54.1												54.1	44.4		9.7	21.9%
Business Taxes:																	
Corporation Franchise	-												-	-		-	0.0%
Corporation and Utilities	3.3												3.3	3.1		0.2	6.5%
Petroleum Business	56.3												56.3	64.5		(8.2)	-12.7%
Total Business Taxes	59.6												59.6	67.6		(8.0)	-11.9%
Other Taxes:																	
Real Estate Transfer	-												-	-		-	0.0%
Total Other Taxes	-												-	-		-	0.0%
Total Taxes	113.7												113.7	112.0		1.7	1.5%
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-												-	-		-	0.0%
Assessments:																	
Business	10.1												10.1	15.7		(5.6)	-35.7%
Fees, Licenses and Permits:																	
Business/Professional	0.8												0.8	3.5		(2.7)	-77.1%
Motor Vehicle	67.0												67.0	66.2		0.8	1.2%
Recreational/Consumer	0.2												0.2	0.1		0.1	100.0%
Fines, Penalties and Forfeitures	2.3												2.3	2.4		(0.1)	-4.2%
Interest Earnings	1.1												1.1	0.7		0.4	57.1%
Receipts from Public Authorities:																	
Bond Proceeds	200.8												200.8	315.8		(115.0)	-36.4%
Issuance Fees	-												-	-		-	0.0%
Non Bond Related	3.5												3.5	0.5		3.0	600.0%
Receipts from Municipalities	0.3												0.3	0.2		0.1	50.0%
Rentals	0.9												0.9	0.6		0.3	50.0%
Revenues of State Departments:																	
Administrative Recoveries	10.1												10.1	-		10.1	100.0%
Gifts/Donations	(0.9)												(0.9)	-		(0.9)	-100.0%
Indirect Cost Recoveries	-												-	-		-	0.0%
Rebates	0.2												0.2	0.1		0.1	100.0%
Resubstitution and Settlements	3.6												3.6	1.1		2.5	227.3%
All Other	4.2												4.2	0.1		4.1	4,100.0%
Total Miscellaneous Receipts	304.2												304.2	407.0		(102.8)	-25.9%
Federal Receipts	38.3												38.3	58.7		(20.4)	-34.8%
Total Receipts	456.2												456.2	577.7		(121.5)	-21.0%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2019-2020
 (amounts in millions)

EXHIBIT I

	2020												1 Month Ended April 30		% Increase/ Decrease	
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018 \$	2019 \$		(Decrease)
Beginning Fund Balance	\$ (633.2)												\$ (568.4)	\$ (633.2)	(64.8)	-11.4%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes	3.5												0.6	3.5	2.9	483.3%
Auto Rental	36.4												31.0	36.4	5.4	17.4%
Motor Fuel	14.2												12.8	14.2	1.4	10.9%
Highway Use	54.1												44.4	54.1	9.7	21.8%
Total Consumption/Use Taxes																
Business Taxes	-												-	-	-	0.0%
Corporation Franchise	3.3												3.1	3.3	0.2	6.5%
Corporation and Utilities	56.3												64.5	56.3	(8.2)	-12.7%
Petroleum Business	59.6												67.6	59.6	(8.0)	-11.8%
Total Business Taxes																
Other Taxes	-												-	-	-	0.0%
Real Estate Transfer	-												-	-	-	0.0%
Total Other Taxes																
Total Taxes	113.7												113.7	113.7	0.0	0.0%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-												-	-	-	0.0%
Assessments:																
Business	10.1												15.7	10.1	(5.6)	-35.7%
Fees, Licenses and Permits:																
Business/Professional	0.8												3.5	0.8	(2.7)	-77.1%
Civil	67.0												-	67.0	0.8	1.2%
Motor Vehicle	0.2												0.1	0.2	0.1	100.0%
Recreational/Consumer	2.3												2.4	2.3	(0.1)	-4.2%
Fines, Penalties and Forfeitures	1.1												0.7	1.1	0.4	57.1%
Interest Earnings	200.8												315.8	200.8	(115.0)	-36.4%
Receipts from Public Authorities:																
Bond Proceeds	-												-	-	-	0.0%
Issuance Fees	3.5												0.5	3.5	3.0	600.0%
Non Bond Related	0.3												0.2	0.3	0.1	50.0%
Receipts from Municipalities	0.8												0.5	0.8	0.3	60.0%
Rentals	-												-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries:																
Gifts, Grants and Donations	10.1												-	10.1	10.1	100.0%
Indirect Cost Recoveries	(0.9)												-	(0.9)	(0.9)	-100.0%
Rebates	0.2												0.1	0.2	0.1	100.0%
Restitution and Settlements	3.6												1.1	3.6	2.5	227.3%
All Other	4.2												0.1	4.2	4.1	100.0%
Total Miscellaneous Receipts	304.1												406.9	304.1	(102.8)	-25.3%
Sales	-												-	-	-	0.0%
Federal Receipts	-												-	-	-	0.0%
Total Receipts	417.8												417.8	417.8	(0.0)	0.0%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2019-2020
 (amounts in millions)

EXHIBIT I

	1 Month Ended April 30												% Increase/ Decrease	
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2018 (Decrease)
DISBURSEMENTS:														
Local Assistance Grants:														
Education	9.2	-	-	-	-	-	-	-	-	-	-	-	8.0	1.2
Environment and Recreation	25.1	-	-	-	-	-	-	-	-	-	-	-	7.6	17.5
General Government	31.2	-	-	-	-	-	-	-	-	-	-	-	24.6	6.6
Public Health:														
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Public Health	30.8	-	-	-	-	-	-	-	-	-	-	-	19.5	11.3
Public Safety	10.0	-	-	-	-	-	-	-	-	-	-	-	0.6	9.4
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	112.6	(112.6)
Support and Regulate Business	26.7	-	-	-	-	-	-	-	-	-	-	-	109.2	(82.5)
Transportation	192.7	-	-	-	-	-	-	-	-	-	-	-	2.9	189.8
Total Local Assistance Grants	325.7	-	-	-	-	-	-	-	-	-	-	-	285.0	40.7
Departmental Operations:														
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Capital Projects	368.7	-	-	-	-	-	-	-	-	-	-	-	304.9	63.8
Total Disbursements	694.4	-	-	-	-	-	-	-	-	-	-	-	589.9	104.5
Excess (Deficiency) of Receipts over Disbursements	(276.6)	-	-	-	-	-	-	-	-	-	-	-	(71.0)	(205.6)
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	509.2	-	-	-	-	-	-	-	-	-	-	-	55.7	453.5
Transfers to Other Funds	(45.9)	-	-	-	-	-	-	-	-	-	-	-	(25.8)	20.1
Total Other Financing Sources (Uses)	463.3	-	-	-	-	-	-	-	-	-	-	-	29.9	433.4
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	186.7	-	-	-	-	-	-	-	-	-	-	-	(41.1)	227.8
Ending Fund Balance	\$ (446.5)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (609.5)	\$ 163.0

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	1 Month Ended April 30												% Increase/ Decrease			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH		2018	\$ Increase/ (Decrease)	78.1
Beginning Fund Balance	\$ (504.7)												\$ (582.8)			
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-												-			0.0%
Assessments:													-			0.0%
Business													-			0.0%
Fees, Licenses and Permits:													-			0.0%
Business/Professional													-			0.0%
Civil													-			0.0%
Motor Vehicle													-			0.0%
Recreational/Consumer													-			0.0%
Fines, Penalties and Forfeitures													-			0.0%
Interest Earnings													-			0.0%
Receipts from Public Authorities:													-			0.0%
Bond Proceeds													-			0.0%
Issuance Fees													-			0.0%
Non Bond Related													-			0.0%
Receipts from Municipalities													-			0.0%
Rentals													-			0.0%
Revenues of State Departments:	0.1												0.1			0.0%
Administrative Recoveries:													-			0.0%
Gifts, Grants and Donations													-			0.0%
Indirect Cost Recoveries													-			0.0%
Restitution and Settlements													-			0.0%
All Other													-			0.0%
Sales													-			0.0%
Total Miscellaneous Receipts	0.1												0.1			0.0%
Federal Receipts	38.3												38.3		(20.4)	-34.8%
Total Receipts	38.4												38.4		(20.4)	-34.7%
DISBURSEMENTS:																
Local Assistance Grants:																
Education													-			0.0%
Environment and Recreation													-			0.0%
General Government													-			0.0%
Public Health:													-			0.0%
Medicaid													-			0.0%
Other Public Health													-			0.0%
Public Safety													-			0.0%
Public Welfare													-			0.0%
Support and Regulate Business													-			0.0%
Total Local Assistance Grants	40.0												51.4		(11.4)	-28.2%
Departmental Operations:	40.0												40.0		(11.4)	-28.2%
Personal Service													-			0.0%
Non-Personal Service													-			0.0%
General State Charges	65.4												65.4		9.1	16.2%
Capital Projects													-			0.0%
Total Disbursements	105.4												107.7		(2.3)	-2.1%
Excess (Deficiency) of Receipts over Disbursements	(67.0)												(67.0)		(18.1)	-37.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds													-			0.0%
Transfers to Other Funds													-			0.0%
Total Other Financing Sources (Uses)													-			0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(67.0)												(67.0)		(18.1)	-37.0%
Ending Fund Balance	\$ (571.7)												\$ (631.7)		\$ 60.0	9.5%

EXHIBIT J

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2020												1 Month Ended April 30		% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018		\$ (Increase/ Decrease)
Beginning Fund Balance	\$ 26.6												\$ 26.6	\$ 24.6	\$ 2.0	8.1%
RECEIPTS:																
Miscellaneous Receipts	4.8												4.8	3.9	0.9	23.1%
Federal Receipts	1.1												1.1	1.0	0.1	10.0%
Unemployment Taxes	187.5												187.5	191.1	(3.6)	-1.9%
Total Receipts	193.4												193.4	196.0	(2.6)	-1.3%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.3												0.3	0.4	(0.1)	-25.0%
Non-Personal Service	3.6												3.6	2.4	1.2	50.0%
General State Charges	0.1												0.1	-	0.1	100.0%
Unemployment Benefits	189.4												189.4	192.1	(2.7)	-1.4%
Total Disbursements	193.4												193.4	194.9	(1.5)	-0.8%
Excess (Deficiency) of Receipts over Disbursements	-	-	-	-	-	-	-	-	-	-	-	-	-	1.1	(1.1)	-100.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-												-	-	-	0.0%
Transfers to Other Funds	-												-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Use:	-	-	-	-	-	-	-	-	-	-	-	-	-	1.1	(1.1)	-100.0%
Ending Fund Balance	\$ 26.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 26.6	\$ 25.7	\$ 0.9	3.5%

EXHIBIT K

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2020												1 Month Ended April 30		% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018		
	\$ (302.7)												\$ (302.7)	\$ (269.2)	\$ (33.5)	
Beginning Fund Balance																
RECEIPTS:																
Miscellaneous Receipts	33.2												33.2	22.6	10.6	46.9%
Total Receipts	33.2												33.2	22.6	10.6	46.9%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	9.7												9.7	8.1	1.6	19.8%
Non-Personal Service	24.4												24.4	48.0	(23.6)	-49.2%
General State Charges	4.1												4.1	0.2	3.9	1,850.0%
Total Disbursements	38.2												38.2	56.3	(18.1)	-32.1%
Excess (Deficiency) of Receipts over Disbursements	(5.0)												(5.0)	(33.7)	28.7	85.2%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	14.3												14.3	2.6	11.7	450.0%
Transfers to Other Funds																0.0%
Total Other Financing Sources (Uses)	14.3												14.3	2.6	11.7	450.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	9.3												9.3	(31.1)	40.4	129.9%
Ending Fund Balance	\$ (283.4)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (293.4)	\$ (300.3)	\$ 6.9	2.3%

EXHIBIT L

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2020												1 Month Ended April 30	% Increase/ Decrease	
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH			2018
Beginning Fund Balance	\$ (3.0)												\$ (2.0)	\$ (1.0)	-50.0%
RECEIPTS:															
Miscellaneous Receipts	5.3												5.2	0.1	1.9%
Total Receipts	5.3												5.2	0.1	1.9%
DISBURSEMENTS:															
Departmental Operations:															
Personal Service	5.3												5.2	0.1	1.9%
Non-Personal Service	1.1												0.8	0.3	37.5%
General State Charges	3.3												-	3.3	100.0%
Total Disbursements	9.7												6.0	3.7	61.7%
Excess (Deficiency) of Receipts over Disbursements	(4.4)												(0.8)	(3.6)	-450.0%
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	-												-	-	0.0%
Transfers to Other Funds	-												-	-	0.0%
Total Other Financing Sources (Uses)	-												-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(4.4)												(0.8)	(3.6)	-450.0%
Ending Fund Balance	\$ (7.4)												\$ (2.9)	\$ (4.6)	-164.3%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT M

	2020												1 Months Ended April 30		% Increase/Decrease	% Increase/Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018		
Beginning Fund Balance	\$ 13.2												\$ 13.2	\$ 11.9	\$ 1.3	10.9%
RECEIPTS:																
Miscellaneous Receipts	0.2												0.2	0.2	-	0.0%
Total Receipts	0.2												0.2	0.2		0.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-												-	-	-	0.0%
Non-Personal Service	-												-	-	-	0.0%
General State Charges	-												-	-	-	0.0%
Total Disbursements	-												-	-		0.0%
Excess (Deficiency) of Receipts over Disbursements	0.2												0.2	0.2		0.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-												-	-	-	0.0%
Transfers to Other Funds	-												-	-	-	0.0%
Total Other Financing Sources (Uses)	-												-	-		0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.2												0.2	0.2		0.0%
Ending Fund Balance	\$ 13.4												\$ 13.4	\$ 12.1	\$ 1.3	10.7%

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF APRIL 2019
(amounts in millions)

	BALANCE APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2019
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.004	\$ 4,469,554	\$ 4,469,550	\$ -
10050-10099-State Operations Account	-	6,568,044	1,575,996	6,951,351	11,933,399
10100-10149-Tax Stabilization Reserve	1,257,763	-	-	(1,257,763)	-
10150-10199-Contingency Reserve	20,624	-	-	(20,624)	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	35,802	-	0.461	-	35,341
10300-10349-Rainy Day Reserve Fund	789,544	-	-	(789,544)	-
10400-10449-Refund Reserve Account	5,102,000	-	-	(5,102,000)	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	7,205,733	6,568,048	6,046,011	4,250,970	11,968,740

SPECIAL REVENUE FUNDS-STATE

20000-20099-Mental Health Gifts and Donations	0.808	0.002	-	-	0.810
20100-20299-Combined Expendable Trust	68,508	1,307	0.464	-	69,351
20300-20349-New York Interest on Lawyer Account	58,589	6,045	0.157	-	64,477
20350-20399-NYS Archives Partnership Trust	0.086	-	0.030	-	0.056
20400-20449-Child Performer's Protection	0.009	0.011	0.013	0.500	0.507
20450-20499-Tuition Reimbursement	8.066	0.177	0.187	-	8.056
20500-20549-New York State Local Government Records Management Improvement	4,571	0.498	0.344	-	4,725
20550-20599-School Tax Relief	-	-	(0.002)	-	0.002
20600-20649-Charter Schools Stimulus	6,501	0.010	0.023	-	6,488
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	-	604,232	60,023	(1,068)	543,141
20900-20949-State Lottery	87,772	55,235	65,400	-	77,607
20950-20999-Combined Student Loan	360,880	296,192	2,709	-	654,363
21000-21049-Sewage Treatment Program Mgmt. & Administration	28,748	2,506	0.205	-	31,049
21050-21149-Encon Special Revenue	(4,221)	0.863	0.076	-	(3,434)
21150-21199-Conservation	(1,674)	7,584	6,652	-	(0,742)
21200-21249-Environmental Protection and Oil Spill Compensation	74,061	1,664	2,713	-	73,012
21250-21299-Training and Education Program on OSHA	33,983	4,879	1,682	(0,401)	36,779
21300-21349-Lawyers' Fund for Client Protection	3,828	19,015	4,778	-	18,065
21350-21399-Equipment Loan for the Disabled	9,747	0.519	4,463	-	5,803
21400-21449-Mass Transportation Operating Assistance	0.537	0.003	-	-	0.540
21450-21499-Clean Air	115,463	299,967	3,302	4,790	416,918
21500-21549-New York State Infrastructure Trust	(27,665)	2,993	3,153	-	(27,825)
21550-21599-Legislative Computer Services	0.070	-	-	-	0.070
21600-21649-Biodiversity Stewardship and Research	11,894	0.157	0.125	-	11,926
21650-21699-Combined Non-Expendable Trust	0.466	0.001	-	-	0.467
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	0.001	-	-	-	0.001
21900-22499-Miscellaneous State Special Revenue	0.947	0.004	-	-	0.951
22500-22549-Court Facilities Incentive Aid	1,139,528	241,199	150,139	9,842	1,240,430
	19,201	0.044	15,407	-	3,838

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF APRIL 2019
(amounts in millions)

	BALANCE APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2019
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22500-22599-Employment Training	0.051	-	-	-	0.051
22650-22699-State University Income	1,543.169	336.009	513.254	-	1,595.065
22700-22749-Chemical Dependence Service	9.995	0.209	0.005	229.141	10.199
22750-22799-Lake George Park Trust	0.070	-	0.078	-	(0.008)
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	24.915	9.711	0.127	-	34.499
22900-22949-Federal Revenue Maximization	0.355	0.169	0.011	-	0.513
22950-22999-Housing Development	0.024	-	-	-	0.024
23000-23049-NYS/DOT Highway Safety Program	10.277	0.021	0.394	-	9.904
23050-23099-Vocational Rehabilitation	(12.683)	(0.020)	0.238	-	(12.941)
23100-23149-Drinking Water Program Management and Administration	0.031	0.002	0.005	-	0.028
23150-23199-NYC County Clerks' Operations Offset	(5.351)	-	-	-	(5.351)
23200-23249-Judiciary Data Processing Offset	(28.280)	-	6.224	-	(34.504)
23250-23449-JFR/CUTRA	37.796	3.316	9.187	-	31.925
23500-23549-USOC Lake Placid Training	190.533	11.119	7.787	-	193.865
23550-23599-Indigent Legal Services	0.183	0.008	-	-	0.191
23600-23649-Unemployment Insurance Interest and Penalty	313.697	9.393	15.844	-	307.246
23650-23699-MTA Financial Assistance Fund	34.816	1.054	0.315	48.850	35.555
23700-23749-New York State Commercial Gaming Fund	33.323	0.117	-	-	82.290
23750-23799-Medical Marijuana Trust Fund	31.687	14.852	9.155	-	37.384
23800-23899-Dedicated Miscellaneous State Special Revenue	8.152	2.406	0.412	-	10.146
24850-24899-Health Care Transformation	1.810	0.123	0.030	-	1.903
24900-24949-Charitable Gifts Trust Fund	524.619	1.868	-	-	526.487
24950-24999-Interactive Fantasy Sports	93.599	0.199	-	-	93.798
40350-40399-State University Dormitory Income	13.433	0.397	-	-	13.830
	263.829	22.964	-	(21.694)	265.099
TOTAL SPECIAL REVENUE FUNDS-STATE	5,090.764	1,959.024	885.109	269.960	6,434.629
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(15.504)	216.503	204.041	-	(3.042)
25100-25199-Federal Health and Human Services	(971.747)	5,522.634	3,715.794	(50.197)	784.896
25200-25249-Federal Education	(136.957)	336.756	250.508	-	(50.709)
25300-25899-Federal Miscellaneous Operating Grants	(263.585)	108.409	140.605	-	(295.781)
25900-25949-Unemployment Insurance Administration	141.983	24.906	26.389	-	140.500
25950-25999-Unemployment Insurance Occupational Training	(0.524)	0.569	0.385	-	(0.340)
26000-26049-Federal Employment and Training Grants	(2.015)	8.627	14.413	-	(7.801)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(1,248.349)	6,218.404	4,352.135	(50.197)	567.723
TOTAL SPECIAL REVENUE FUNDS	3,842.405	8,177.428	5,237.244	219.763	7,002.352
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	28.431	34.127	0.159	16.121	78.520
40150-40199-General Debt Service	-	4,876.823	71.856	(4,606.206)	198.761
40250-40299-State Housing Debt Service	-	-	0.928	0.928	-
40300-40349-Department of Health Income	36.349	8.422	-	(15.468)	29.303
40400-40449-Clean Water/Clean Air	-	82.934	-	(82.934)	-
40450-40499-Local Government Assistance Tax	-	269.124	0.199	(260.722)	8.203
TOTAL DEBT SERVICE FUNDS	64.780	5,271.430	73.142	(4,948.281)	314.787

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF APRIL 2019
(amounts in millions)

	BALANCE APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2019
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	187,492	436,286	248,794	-
30050-30099-Dedicated Highway and Bridge Trust	(89,804)	194,440	141,276	(45,087)	(81,727)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	145,596	0,316	2,227	3,314	146,999
30300-30349-New York State Canal System Development	11,592	0,024	-	-	11,616
30350-30399-Parks Infrastructure	(25,302)	0,009	6,803	-	(32,096)
30400-30449-Passenger Facility Charge	0,015	-	-	-	0,015
30450-30499-Environmental Protection	119,984	1,413	19,512	-	101,885
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0,164	-	-	-	0,164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0,668	-	-	-	0,668
30630-30639-Transportation Capital Facilities Bond	3,328	-	-	-	3,328
30640-30649-Environmental Quality Protection Bond	1,419	-	-	-	1,419
30650-30659-Rebuild and Renew New York Transportation Bond	18,876	-	-	-	18,876
30660-30669-Transportation Infrastructure Renewal Bond	4,255	-	-	-	4,255
30670-30679-1986 Environmental Quality Bond Act	5,551	-	-	-	5,551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2,778	-	-	-	2,778
30690-30699-Clean Water/Clean Air Bond	1,428	-	-	-	1,428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation and Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(504,674)	38,364	105,415	-	(571,725)
31450-31499-Forest Preserve Expansion	1,056	0,002	-	1,058	2,116
31500-31549-Hazardous Waste Remedial	(96,650)	2,506	4,674	(0,830)	(99,648)
31650-31699-Suburban Transportation	0,527	0,001	-	-	0,528
31700-31749-Division for Youth Facilities Improvement	(21,348)	4,094	1,106	-	(18,360)
31800-31849-Housing Assistance	(12,942)	-	-	-	(12,942)
31850-31899-Housing Program	(177,077)	-	-	-	(177,077)
31900-31949-Natural Resource Damage	17,054	0,036	0,023	-	17,067
31950-31999-Engineering Services	(12,346)	-	-	-	(12,346)
32200-32249-Miscellaneous Capital Projects	83,477	14,154	2,762	2,900	97,769
32250-32299-CUNY Capital Projects	(0,018)	0,016	-	-	(0,002)
32300-32349-Mental Hygiene Facilities Capital Improvement	(470,121)	13,092	14,486	-	(471,515)
32350-32399-Correction Facilities Capital Improvement	(193,029)	-	26,359	-	(219,388)
32400-32999-State University Capital Projects	120,004	0,267	2,110	4,200	122,361
33000-33049-NYS Storm Recovery Fund	(88,664)	0,002	(0,974)	-	(87,688)
33050-33099-Dedicated Infrastructure Investment Fund	16,299	-	37,704	250,000	228,595
TOTAL CAPITAL PROJECTS FUNDS	(1,137,904)	456,228	799,769	463,291	(1,018,154)
TOTAL GOVERNMENTAL FUNDS	\$ 9,975,014	\$ 20,463,134	\$ 12,156,166	\$ (14,257)	\$ 18,267,725

SCHEDULE 2

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2019-2020
 FOR THE MONTH OF APRIL 2019
 (amounts in millions)

FUND TYPE	BALANCE APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2019
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	\$ 0.097	\$ 0.003	\$ -	\$ -	\$ 0.100
50050-50099-State Exposition Special	1.259	0.688	0.423	-	1.524
50100-50299-Correctional Services Commissary	4.077	3.619	3.196	-	4.500
50300-50399-Agencies Enterprise	3.397	0.346	0.269	-	3.474
50400-50449-Sheltered Workshop	2.159	0.005	0.019	-	2.145
50450-50499-Patient Workshop	1.734	0.031	0.041	-	1.724
50500-50599-Mental Hygiene Community Stores	4.719	0.056	0.057	-	4.718
50650-50699-Unemployment Insurance Benefit	9.144	188.623	189.389	-	8.378
TOTAL ENTERPRISE FUNDS	26.586	193.371	193.394	-	26.563
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(91.361)	22.798	19.265	0.243	(87.585)
55050-55099-Agency Internal Service	(140.719)	5.608	10.408	14.014	(131.505)
55100-55149-Mental Hygiene Revolving	0.072	0.071	0.065	-	0.078
55150-55199-Youth Vocational Education	0.071	0.001	0.001	-	0.071
55200-55249-Joint Labor and Management Administration	0.481	0.002	0.084	-	0.399
55250-55299-Audit and Control Revolving	(31.075)	-	2.705	-	(33.780)
55300-55349-Health Insurance Revolving	(14.780)	0.086	1.399	-	(16.093)
55350-55399-Correctional Industries Revolving	(25.361)	4.644	4.252	-	(24.969)
TOTAL INTERNAL SERVICE FUNDS	(302.672)	33.210	38.179	14.257	(293.384)
TOTAL PROPRIETARY FUNDS	\$ (276.086)	\$ 226.581	\$ 231.573	\$ 14.257	\$ (266.821)

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR 2019-2020
 FOR THE MONTH OF APRIL 2019
 (amounts in millions)

FUND TYPE	BALANCE APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE APRIL 30, 2019
PENSION TRUST FUNDS					
65000-65049-Common Retirement Administration	\$ (2,971)	\$ 5,283	\$ 9,748	\$ -	\$ (7,436)
TOTAL PENSION TRUST FUNDS	(2,971)	5,283	9,748	-	(7,436)
PRIVATE PURPOSE TRUST FUNDS					
66000-66049-Agriculture Producers' Security	2,906	0.107	-	-	3,013
66050-66099-Milk Producers' Security	10,270	0.101	0.010	-	10,361
TOTAL PRIVATE PURPOSE TRUST FUNDS	13,176	0.208	0.010	-	13,374
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	23,523	0.045	-	-	23,568
60150-60199-Child Performer's Holding	0.515	0.005	0.001	-	0.519
60200-60249-Employees Health Insurance	1,024,786	752,692	922,151	-	855,327
60250-60299-Social Security Contribution	15,090	100,385	100,392	-	15,083
60300-60399-Employee Payroll Withholding	1,863	360,062	362,008	-	(0,083)
60400-60449-Employees Dental Insurance	20,015	13,510	6,524	-	27,001
60450-60499-Management Confidential Group Insurance	0.518	0.714	0.700	-	0.532
60500-60549-Lottery Prize	627,403	66,840	125,961	-	568,282
60550-60599-Health Insurance Reserve Receipts	0.142	-	-	-	0.142
60600-60799-Miscellaneous New York State Agency	954,701	502,578	509,826	-	947,453
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	28,086	1,755	2,596	-	27,245
60850-60899-CUNY Senior College Operating	13,413	179,333	188,746	-	4,000
60900-60949-Medicaid Management Information System (MMIS) Escrow	1,950,898	7,225,496	9,036,792	-	139,602
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	97,316	(17,858)	-	-	79,458
61100-61999-State University Federal Direct Lending Program	(0.662)	10,473	10,997	-	(1,186)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	4,757,607	9,196,030	11,266,694	-	2,686,943
TOTAL FIDUCIARY FUNDS	\$ 4,767,812	\$ 9,201,521	\$ 11,276,452	\$ -	\$ 2,692,881

SCHEDULE 4

STATE OF NEW YORK
 SOLE CUSTODY AND INVESTMENT ACCOUNTS
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2019-2020
 FOR THE MONTH OF APRIL 2019
 amounts in millions)

	BALANCE APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	BALANCE APRIL 30, 2019
ACCOUNTS				
70000-70049-Tobacco Settlement	\$ 2,819	\$ 0.006	\$ -	\$ 2,825
70093-Mobility Tax Trust Account (*)	124,970	197,114	125,238	196,846
70095-For-Hire Congestion Surcharge (*)	34,422	41,766	-	76,188
70050-70149-Sole Custody Investment (**)	2,826,654	10,806,425	11,029,338	2,603,741
70200-Comptroller's Refund Account	-	790,478	790,478	-
TOTAL ACCOUNTS	\$ 2,988,865	\$ 11,835,789	\$ 11,945,054	\$ 2,879,600

(*) See Footnotes

(**) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of April 30, 2019, \$9,333,819.68 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(0)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

SCHEDULE 5

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2019-2020

PURPOSE	DEBT OUTSTANDING	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING	INTEREST DISBURSED	
	APRIL 1, 2019	MONTH OF APRIL	1 MONTH ENDED APRIL 30, 2019	MONTH OF APRIL	1 MONTH ENDED APRIL 30, 2019	APRIL 30, 2019	MONTH OF APRIL	1 MONTH ENDED APRIL 30, 2019
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 16,747,925	\$ -	\$ -	\$ 688,854	\$ 688,854	\$ 16,069,071	\$ 117,372	\$ 117,372
Clean Water/Clean Air:								
Air Quality	2,465,600	-	-	162,677	162,677	2,302,923	4,067	4,067
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	321,372,381	-	-	8,471,194	8,471,194	312,901,187	1,599,669	1,599,669
Solid Waste	22,144,792	-	-	2,033,357	2,033,357	20,111,435	115,896	115,896
Environmental Restoration	46,724,919	-	-	210,000	210,000	46,514,919	17,625	17,625
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,352,815	-	-	154,061	154,061	1,198,754	29,309	29,309
Environmental Quality (1972):								
Air	6,247	-	-	-	-	6,247	-	-
Land and Wetlands	5,870,169	-	-	25,000	25,000	5,845,169	2,500	2,500
Water	10,826,301	-	-	1,503,953	1,503,953	9,322,348	98,349	98,349
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	6,831,632	-	-	738,107	738,107	6,093,525	42,174	42,174
Solid Waste Management	107,613,085	-	-	7,019,746	7,019,746	100,593,339	1,023,513	1,023,513
Housing:								
Low Income	8,500,000	-	-	800,000	800,000	7,700,000	127,500	127,500
Middle Income	6,225,000	-	-	-	-	6,225,000	-	-
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	17,772,576	-	-	994,401	994,401	16,778,175	156,062	156,062
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	641,322,676	-	-	-	-	641,322,676	-	-
Canals and Waterways	11,884,363	-	-	-	-	11,884,363	-	-
Aviation	42,044,726	-	-	-	-	42,044,726	-	-
Rail and Port	94,745,141	-	-	-	-	94,745,141	-	-
Mass Transit - Dept. of Transportation	13,915,297	-	-	-	-	13,915,297	-	-
Mass Transit - Metropolitan Transportation Authority	721,891,399	-	-	-	-	721,891,399	-	-
Rebuild New York Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	741,418	-	-	-	-	741,418	-	-
Rapid Transit, Rail and Aviation	2,597,617	-	-	555,054	555,054	2,042,563	63,247	63,247
Smart Schools Bond Act	179,020,225	-	-	-	-	179,020,225	-	-
Transportation Capital Facilities:								
Aviation	3,018,695	-	-	308,596	308,596	2,710,099	54,539	54,539
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,285,634,999	\$ -	\$ -	\$ 23,665,000	\$ 23,665,000	\$ 2,261,969,999	\$ 3,451,822	\$ 3,451,822

SCHEDULE 5a

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE ONE MONTH ENDED APRIL 30, 2019

	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								2019	2018	
Special Contractual Financing Obligations:										
Payments to Public Authorities:										
City University Construction	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (368,644)
Dormitory Authority:										
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DASNY Revenue Bond	-	-	-	-	-	-	-	-	-	-
Department of Health Facilities	-	-	-	-	-	-	-	-	-	-
Mental Health Facilities	-	-	-	-	-	-	-	-	-	-
Secured Hospital Program	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	-	-
Housing Finance Agency	-	-	-	-	-	-	-	-	-	-
Local Government Assistance Corporation	-	-	-	199,423	-	-	-	-	199,423	(153,114)
Local Government Transportation Authority:										
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:										
Dedicated Highway and Bridge	-	-	-	-	-	-	-	-	-	-
Local Highway and Bridge	-	45,069,983	-	-	-	-	-	-	45,069,983	20,456,070
Transportation	-	-	-	-	-	-	-	-	-	-
Urban Development Corporation:										
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	-	-	-	-	-
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 45,069,986	\$ -	\$ 199,423	\$ -	\$ -	\$ -	\$ -	\$ 45,269,409	\$ 19,834,315

STATE OF NEW YORK
SCHEDULE 6
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF APRIL 2019
AS REQUIRED OF THE STATE COMPTROLLER
 (amounts in millions)

	MONTH OF APRIL 2019	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 19,702.7	\$ 19,702.7	\$ 16,215.7
AVERAGE YIELD (**)	2.495%	2.495%	1.892%
TOTAL INVESTMENT EARNINGS	\$ 39.411	\$ 39.411	\$ 23.662

SHORT TERM INVESTMENT POOL (*)

	APRIL 2019 PAR AMOUNT	APRIL 2018 PAR AMOUNT
<u>Month-End Portfolio Balances</u>		
DESCRIPTION		
GOVT. AGENCY BILLS/NOTES	\$ 3,324.0	\$ -
REPURCHASE AGREEMENTS	30.1	26.6
COMMERCIAL PAPER	17,295.9	14,648.3
CERTIFICATES OF DEPOSIT/SAVINGS	2,955.3	2,830.1
0% COMPENSATING BALANCE CDS	168.0	1,450.0
	\$ 23,773.3	\$ 18,955.0

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

APPENDIX A

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2019-2020

	2019	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020	JANUARY	FEBRUARY	MARCH	1 Month Ended April 30, 2019
OPENING CASH BALANCE	\$ -													\$ -
RECEIPTS:														
Cigarette Tax	63,203,805													63,203,805
Transfer of NYC Cigarette Tax	2,469,440													2,469,440
STIP Interest	469,440													469,440
Public Asset Transfers														
Assessments	533,324,883													533,324,883
Fees	404,000													404,000
Rebates	4,761,424													4,761,424
Restitution and Settlements	-													-
Miscellaneous	-													-
Total Receipts	604,232,352													604,232,352
DISBURSEMENTS:														
Grants	57,388,500													57,388,500
Interest - Late Payments	1,007													1,007
Personal Service	541,446													541,446
Non-Personal Service	1,746,620													1,746,620
Employee Benefits/Indirect Costs	345,719													345,719
Total Disbursements	60,023,292													60,023,292
OPERATING TRANSFERS:														
Transfers to Capital Projects Fund	-													-
Transfers to General Fund	-													-
Transfers to State Tax Fund	-													-
Transfers to Miscellaneous Special Revenue Fund	-													-
Administration Program Account	-													-
Empire State Stem Cell Trust Account	-													-
Transfers to SUNY Income Fund	1,088,535													1,088,535
Total Operating Transfers	1,088,535													1,088,535
Total Disbursements and Transfers	61,091,827													61,091,827
CLOSING CASH BALANCE	\$ 543,140,525													\$ 543,140,525

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2019-20

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April	1 Month Ended April 30, 2019 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	8,752,000.00	251,281.72	251,281.72
CENTER FOR COMMUNITY HLTH	8,752,000.00	251,281.72	251,281.72
CHILD HEALTH INSURANCE PROGRAM	1,478,644,000.00	21,814,550.78	21,814,550.78
CHILD HEALTH INSURANCE	1,478,644,000.00	21,814,550.78	21,814,550.78
COMMUNITY SUPPORT PROGRAM	180,000.00	-	-
COMMUNITY SUPPORT	180,000.00	-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	426,154,811.52	4,916,952.11	4,916,952.11
ELDERLY PHARMACEUTICAL INS COVERAGE	426,154,811.52	4,916,952.11	4,916,952.11
HEALTH CARE REFORM ACT PROGRAM	2,160,723,305.03	32,986,688.62	32,986,688.62
AIDS DRUG ASSISTANCE	205,250,000.00	-	-
AMBULATORY CARE TRAINING	13,520,000.00	-	-
AREA HEALTH EDUCATION CENTER	7,063,000.00	-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CAR	272,000,000.00	-	-
DIVERSITY IN MEDICINE	5,232,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	13,780,000.00	-	-
HCRA-PAYOR / PROVIDER AUDITS	9,440,000.00	161,201.26	161,201.26
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	19,600,000.00	19,600,000.00
HEALTH WORKFORCE RETRAINING	210,010,300.00	-	-
INFERTILITY SERVICES GRANTS	24,781,746.00	18,489.62	18,489.62
MEDICAL INDEMNITY FUND	208,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	382,200,000.00	-	-
PHYSICIAN LOAN REPAYMENT	34,465,000.00	66,404.80	66,404.80
PHYSICIAN PRACTICE SUPPORT	1,000,000.00	-	-
PHYSICIAN WORKFORCE STUDIES	3,954,200.00	-	-
POISON CONTROL CENTERS	8,440,000.00	-	-
POOL ADMINISTRATION	5,300,000.00	-	-
ROSSELL PARK CANCER INSTITUTE	102,606,000.00	12,825,750.00	12,825,750.00
RURAL HEALTH CARE ACCESS	34,550,000.00	294,044.89	294,044.89
RURAL HEALTH NETWORK	22,990,000.00	20,798.05	20,798.05
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,338,830,000.00	66,404.80	66,404.80
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	5,349,000,000.00	-	-
MEDICAL ASSISTANCE	21,806,630,000.00	-	-
PSNL CRE WKRK RECR & RETEN NYC (**)	816,000,000.00	-	-
PSNL CRE WKRK RECR & RETEN ROS (***)	67,200,000.00	-	-
NEW YORK STATE OF HEALTH	53,398,000.00	-	-
NEW YORK STATE OF HEALTH ADMINISTRATION	53,398,000.00	-	-
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	60,490,924.85	1,123,699.60	1,123,699.60
OFFICE HEALTH SYSTEMS MANAGEMENT	60,490,924.85	1,123,699.60	1,123,699.60
OFFICE OF LONG TERM CARE	2,477,800.00	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	-
TOTAL	32,539,674,841.40	61,093,172.83	61,093,172.83
Reclass of SUNY Hospital Disprop Share to Transfer	-	(1,068,534.59)	(1,068,534.59)
Reclass of SUNY Hospital Poison Control Centers to Transfer	-	-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer	-	-	-
Reconciling Adjustment (P-Card and T-Card)	-	(1,346.56)	(1,346.56)
TOTAL REPORTED AMOUNT	\$ 32,539,674,841.40	\$ 60,023,291.68	\$ 60,023,291.68

(*) Includes amounts appropriated in SFY 2019-20, as well as prior year appropriations that were reappropriated.
 (**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
 (***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2019-20

	2019 APRIL	2019-20
OPENING CASH BALANCE	\$ 284,899,772.70	\$ 284,899,772.70
RECEIPTS:		
Patient Services	382,503,349.99	382,503,349.99
Covered Lives	125,622,477.78	125,622,477.78
Provider Assessments	15,415,233.88	15,415,233.88
1% Assessments	31,864,334.00	31,864,334.00
DASNY- MOE/Recast receivables	-	-
Interest Income	79,328.24	79,328.24
Unassigned	1,030,295.38	1,030,295.38
Total Receipts	556,515,019.27	556,515,019.27
PROGRAM DISBURSEMENTS:		
Poison Control Centers	-	-
School Based Health Center Grants	-	-
ECRIIP Distributions	-	-
Total Program Disbursements	-	-
Excess (Deficiency) of Receipts over Disbursements	556,515,019.27	556,515,019.27
OTHER FINANCING SOURCES (USES):		
Transfers From Other Pools:		
Medicaid Disproportionate Share	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	3,803,694.00	3,803,694.00
Transfers From State Funds:		
HCRA Resources Fund	-	-
Total Other Financing Sources	3,803,694.00	3,803,694.00
Transfers To Other Pools:		
Medicaid Disproportionate Share	-	-
Health Facility Assessment Fund	-	-
Transfers To State Funds:		
HCRA Resources Fund	(533,322,657.89)	(533,322,657.89)
Indigent Care Fund - Matched	-	-
Indigent Care Fund - Unmatched	-	-
Total Other Financing Uses	(533,322,657.89)	(533,322,657.89)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	26,996,055.38	26,996,055.38
CLOSING CASH BALANCE	\$ 311,895,828.08	\$ 311,895,828.08

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2019-20

	2019 APRIL	2019-20
OPENING CASH BALANCE	\$ 2,224.49	\$ 2,224.49
RECEIPTS:		
Interest Income	-	-
Total Receipts	-	-
PROGRAM DISBURSEMENTS:		
Indigent Care	-	-
High Need Indigent Care	-	-
Other	-	-
Total Program Disbursements	-	-
Excess (Deficiency) of Receipts over Disbursements	-	-
OTHER FINANCING SOURCES (USES):		
Transfers From Other Pools:		
Public Goods Pool	-	-
Health Facility Assessment Fund	-	-
Transfers From State Funds:		
HCRA Resources Indigent Care - Matched	-	-
HCRA Resources Indigent Care - Unmatched	-	-
HCRA Resources Indigent Care - ATB	-	-
Federal DHHS Fund	-	-
Other	-	-
Total Other Financing Sources	-	-
Transfers To Other Pools:		
Public Goods Pool	-	-
Health Facility Assessment Fund	-	-
Transfers To State Funds:		
HCRA Resources Fund Indigent Care Acct	(2,224.49)	(2,224.49)
Total Other Financing Uses	(2,224.49)	(2,224.49)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2,224.49)	(2,224.49)
CLOSING CASH BALANCE	\$ -	\$ -

Source: HCRA - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2019-2020
(amounts in thousands)

	2019 APRIL	2019 MAY	2019 JUNE	2019 JULY	2019 AUGUST	2019 SEPTEMBER	2019 OCTOBER	2019 NOVEMBER	2019 DECEMBER	2020 JANUARY	2020 FEBRUARY	2020 MARCH	2019-2020 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -												\$ -
Education - EXCEL	-												-
Department of Health - All Other	-												-
Community Enhancement Facilities Assistance Program (CEFAP)	-												-
Regional Development	-												-
Community Capital Assistance Program (CCAP)/RESTORE	647												647
Multi-modal	37												37
GenYsis	-												-
CUNY Senior Colleges	19,134												19,134
CUNY Community Colleges	2,965												2,965
SUNY Dormitories	-												-
Upstate Community Colleges	-												-
Mental Health	9,238												9,238
Developmental Disabilities	1,109												1,109
Alcoholism and Substance Abuse	158												158
Brooklyn Court Officer Training Academy	23												23
TOTAL DORMITORY AUTHORITY	33,311												33,311

EMPIRE STATE DEVELOPMENT CORP:

Regional Development	-												-
Centers of Excellence	-												-
Community Capital Assistance Program (CCAP)	-												-
Empire Opportunity	-												-
Community Enhancement Facilities Assistance Program (CEFAP)	-												-
State Facilities and Equipment	-												-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-												-
TOTAL OFF-BUDGET	\$ 33,311												\$ 33,311

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of Off Budget Spending. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006, this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	January 31, 2019	February 28, 2019	March 31, 2019	Change	April 30, 2019
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ -
	GENERAL FUND					
	TOTAL GENERAL FUND	\$ -	\$ -	\$ -	\$ -	\$ -
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	-	-	149,919,438.12	(126,015.14)	149,793,422.98
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE- HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D18RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE- SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D26RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR LUTICAROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D28RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	8,627,495.98	16,691,875.97	25,302,180.86	6,793,657.74	32,095,838.60
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30503	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	116,128,012.50	122,077,778.70	129,347,680.54	2,707,878.63	132,055,559.17
31701	YOUTH FACILITIES IMPROVEMENT	16,840,080.07	17,279,631.21	21,347,676.79	1,106,175.41	22,453,852.20
31801	HOUSING ASSISTANCE	13,108,506.19	13,108,506.19	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	152,015,136.15	152,015,136.15	32,727,478.14	-	32,727,478.14
31852	HOUSING PROG FD-AFFORD HSG CORP	73,363,547.22	73,363,547.22	40,482,563.15	-	40,482,563.15
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	150,013,306.94	150,013,306.94	104,158,911.98	-	104,158,911.98
31854	HOUSING PROG FD-HEA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	12,346,481.24	12,346,481.24	12,346,481.24	-	12,346,481.24

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	January 31, 2019	February 28, 2019	March 31, 2019	Change	April 30, 2019
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	865,676.61	945,400.60	1,019,937.77	60,660.33	1,080,598.10
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	161,278,787.90	159,199,238.20	155,560,650.02	(11,573,446.54)	143,987,203.48
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	219,307,881.27	224,020,595.75	196,051,910.55	175,090.28	196,227,000.83
32306	DASNY - OMH ADMIN	11,049,384.73	7,032,605.64	3,152,414.87	651,579.00	3,803,993.87
32307	DASNY - OPWDD ADMIN	2,568,164.87	2,568,164.87	1,181,677.42	163,000.00	1,344,677.42
32308	DASNY - OASAS ADMIN	732,677.42	1,181,677.42	132,017,215.79	11,978,607.93	143,995,823.72
32309	OMH - STATE FACILITIES	195,081,215.67	180,969,174.55	-	-	-
32310	OPWDD - STATE FACILITIES	-	-	1,035,331.74	-	1,035,331.74
32311	OASAS - STATE FACILITIES	1,021,551.74	1,034,551.74	-	-	-
32351	COBR - FACILITIES CAPITAL IMPROVEMENT	251,971,248.39	268,519,994.10	193,029,352.92	26,358,194.29	219,387,547.21
32352	DOCS-REHABILITATION PROJECTS	-	-	-	-	-
32353	CORR - FACILITIES CAPITAL CLOSURE	87,123,022.97	86,273,096.95	88,663,695.26	(975,734.77)	87,687,960.49
33001	STORM RECOVERY ACCOUNT	1,473,597,927.86	1,488,796,573.44	1,300,440,374.22	37,218,647.16	1,337,759,961.38
STATE SPECIAL REVENUE FUNDS						
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	37,903,858.87	-	21,814,550.78	21,814,550.78
20818	EPIC PREMIUM ACCOUNT	-	-	-	-	-
20901	LOTTERY-EDUCATION	-	-	-	-	-
20904	VLT EDUCATION	606,152,695.77	453,087,265.18	-	-	-
21001	ENVR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	4,092,883.79	4,158,920.69	4,221,008.01	(786,610.77)	3,434,397.24
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,200.00	1,672,200.00	1,672,200.00	-	1,672,200.00
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	728,872.51	1,440,476.48	-	37,060.03	37,060.03
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,081,204.18	4,102,184.27	4,323,806.27	230,991.23	4,554,797.50
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	46,115,396.03	47,743,684.04	47,408,675.51	1,601,322.79	49,009,998.30
21082	NATURAL RESOURCES ACCOUNT	13,028,138.83	13,275,783.07	13,350,512.77	92,113.37	13,442,626.14
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	-	-	-	-
21202	HEALTH DEPT OIL SPILL	-	-	-	-	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	2,562.92	2,889.07	-	-	-
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	3,209,112.15	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	355,559,694.05	267,781,425.04	27,256,308.27	642,908.71	27,901,116.98
21402	METROPOLITAN MASS TRANSPORTATION	28,414,776.89	26,725,044.82	406,314.93	(406,314.93)	-
21451	OPERATING PERMIT PROGRAM	238,321.89	453,075.69	-	-	-
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARC'S	-	-	6,908,973.79	4,256,947.83	11,165,921.62
21905	THRUWAY AUTHORITY ACCT	-	-	-	-	-
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	176,497.26	465,333.92	745,472.06	(586,177.59)	159,294.47
21912	RACING REGULATION ACCOUNT	2,849,642.49	2,759,293.24	2,741,779.62	(158,053.08)	2,583,726.54
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	18,292,577.21	18,292,577.21	18,292,577.21	-	18,292,577.21
21937	SU DORM INCOME REIMBURSE	816,074.37	1,572,707.28	-	221,106.52	221,106.52
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	-	-	-	-	-
21962	CLINICAL LAB FEE	10,233,018.58	10,472,978.87	9,328,162.46	201,600.70	9,529,763.16
21978	INDIRECT COST RECOVERY	-	-	-	-	-
21979	HIGH SCHOOL-EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI- AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	4,021.16	4,021.16
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	114,474.31	98,177.45	65,321.29	(17,500.32)	67,820.97
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	68,684.15	68,684.15
22032	BATAVIA SCHOOL FOR THE BLIND	12,398,648.12	13,215,096.91	8,521,017.76	(476,694.40)	8,044,333.36
22034	INVESTMENT SERVICES	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	January 31, 2019	February 28, 2019	March 31, 2019	April 30, 2019
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-
22039	FINANCIAL OVERSIGHT	333,147.21	610,301.05	885,496.57	(602,676.37)
22046	REGULATION INDIAN GAMING	80,033,503.47	81,146,624.58	80,860,809.91	1,082,422.66
22053	ROME SCHOOL FOR THE DEAF	4,496,049.97	5,146,565.26	1,820,976.60	(844,669.31)
22054	DSP-SEIZED ASSETS	4,701,492.57	4,547,315.44	4,456,415.06	(423,662.76)
22055	ADMINISTRATIVE ADJUDICATION	13,131,114.73	12,854,911.33	12,965,823.68	(277,094.90)
22056	FEDERAL SALARY SHARING	2,904,114.93	3,010,323.21	-	218,539.94
22062	NYC ASSESSMENT ACCT	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	5,694,189.65	5,528,847.01	4,171,543.55	588,038.75
22078	LOCAL SERVICE ACCOUNT	-	-	-	-
22085	DHCR MORTGAGE ACCOUNT	13,174,749.29	13,432,304.58	13,240,764.52	200,297.39
22090	DHCR INDIRECT COST RECOVERY	1,608,433.05	1,837,804.27	1,836,860.69	(1,836,860.69)
22100	DHCR HOUSING CREDIT AGENCY APPLY FEE	6,041,634.48	5,263,515.48	3,786,105.03	509,903.73
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	194,358.14	70,838.55	121,207.69	58,867.78
22158	RENT REVENUE OTHER - NYC	1,636,626.57	6,932,499.90	-	1,288,571.78
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-
22240	NY'S MEDICAL INDEMNITY FUND ACCOUNT	20,096,603.14	20,138,075.86	20,176,084.17	41,812.89
22694	S.U. NON-RESIDENT REV. OFFSET	72,339.11	178,863.86	-	8,045.89
22802	STATE POLICE INV ENFORCE	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	12,609,487.02	12,667,033.38	12,683,057.28	258,437.96
23102	DDH - DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-
23151	NYCCC OPERATING OFFSET	53,570,430.82	55,880,580.12	28,279,679.41	6,224,253.50
23701	COMMERCIAL GAMING REVENUE	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	12,814,956.57	13,137,475.98	13,793,430.04	298,714.44
23800	INTERSTATE RECIPROcity FOR POST SEC DIST ED	-	-	-	-
23801	HIGHWAY USE TAX ADMIN	-	-	-	-
23806	NY'S SECURE CHOICE ADMIN	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	-	-	48,055.03	-
	TOTAL STATE SPECIAL REVENUE FUNDS	1,339,759,579.62	1,156,196,883.81	349,741,388.88	35,215,767.35
25000-25099	FEDERAL FUNDS				
25100-25199	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	63,133,280.18	11,306,554.58	25,650,194.51	(11,906,242.09)
25200-25249	FEDERAL HEALTH AND HUMAN SERVICES FUND	355,550,642.01	286,092,684.25	2,287,060,288.27	(1,706,233,839.49)
25250-25299	FEDERAL EDUCATION GRANTS FUND	59,981,339.14	29,641,743.39	141,483,553.78	(79,009,857.30)
25300-25899	FEDERAL DHHS BLOCK GRANTS	403,584,783.94	413,150,861.04	418,362,905.02	28,979,319.30
31351	MILITARY AND NAVAL AFFAIRS	10,422,175.26	8,946,740.94	8,908,861.69	(107,707.03)
31350-31449	DEPARTMENT OF TRANSPORTATION	359,394,704.27	477,931,880.25	408,101,447.87	66,911,303.08
25800-25949	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	116,453,936.94	112,431,831.98	118,232,494.23	247,298.52
23950	UNEMPLOYMENT INSURANCE ADMINISTRATION	10,388,730.15	9,515,038.72	6,423,035.46	118,479,790.75
26001-26049	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	1,408,866.25	4,389,825.01	544,945.01	6,887,368.67
	DOL EMPLOYMENT AND TRAINING GRANTS	1,033,361.55	4,353,641.89	2,075,105.55	(64,450.00)
	TOTAL FEDERAL FUNDS	1,380,351,228.89	1,354,323,822.05	3,416,782,834.39	(1,694,953,651.31)
60201	AGENCY FUNDS				
60901	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-
	MMIS - STATE AND FEDERAL	-	-	-	-
	TOTAL AGENCY FUNDS				
50318	ENTERPRISE FUND				
50327	OGS CONVENTION CENTER ACCOUNT	323,039.73	152,344.18	149,762.54	(47,584.31)
	EMPIRE PLAZA GIFT SHOP	164,192.41	186,454.23	185,439.57	1,755.46
	TOTAL ENTERPRISE FUND	487,232.14	318,798.41	335,202.11	(45,828.85)
55001	INTERNAL SERVICE FUNDS				
55002	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-
55003	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-
55004	CENTRALIZED SERVICES-PRINTING	1,343,460.38	1,344,899.99	1,361,177.18	(36,205.66)
55005	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-
55006	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-
55007	CENTRALIZED SERVICES-PERSONAL PROPERTY	3,133,269.71	2,774,233.61	2,799,209.13	(179,516.75)
55008	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	16,024,085.22	14,963,153.27	6,233,186.03	3,688,722.55
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	8,036,823.79	8,289,967.18	14,027,796.93	(3,341,916.04)
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	-	-	3,026,218.52	190,910.23
55012	CENTRALIZED SERVICES-INSURANCE	167,149.96	164,222.06	143,180.06	(3,752.00)
55013	CENTRALIZED SERVICES-COPS	-	-	-	-
	TOTAL INTERNAL SERVICE FUNDS	15,674,749.86	14,222,218.02	28,297,376.83	(3,341,916.04)
	TOTAL	2,720,110,808.51	2,510,519,705.86	7,140,764,038.09	(4,621,888,512.24)

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	January 31, 2019	February 28, 2019	March 31, 2019	Change	April 30, 2019
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	1,824,070.06	1,813,075.01	1,464,225.96	(115,083.34)	1,349,142.62
55016	CENTRALIZED SERVICES-IMMICS	376,725.05	542,875.42	683,235.74	(165,702.69)	517,533.05
55017	DOWNSSTATE WAREHOUSE	8,102,781.89	6,820,640.57	9,927,728.11	(4,134,345.87)	5,793,382.24
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	53,945,166.53	56,324,887.09	63,101,429.88	(1,622,605.35)	61,478,824.53
55021	NYS MEDIA CENTER	5,776,961.45	6,095,132.01	5,659,631.05	(81,933.96)	5,577,697.09
55022	BUSINESS SERVICES CENTER	6,564,603.42	7,311,137.01	8,051,614.18	1,876,001.26	9,927,615.44
55052	ARCHIVES RECORD MGMT. I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	52,922.44	117,719.57	37,047.07	154,766.64
55057	BANKING SERVICES ACCOUNT	106,546.14	95,545.46	-	492,524.93	492,524.93
55058	CULTURAL RESOURCE SURVEY	2,333,742.55	2,469,272.13	2,891,015.47	150,346.94	3,041,362.41
55059	NEIGHBOR WORK PROJECT	9,561,081.33	9,065,691.65	7,851,171.43	(205,029.33)	7,446,142.10
55060	AUTOMATIC/PRINT CHARGEBACKS	1,598,257.82	1,566,435.31	-	717,020.87	717,020.87
55061	OFF NYT ACCT	2,361,900.33	2,361,900.33	2,340,827.95	-	2,340,827.95
55062	DATA CENTER ACCOUNT	45,428,023.70	45,428,023.70	45,428,023.70	-	45,428,023.70
55063	CYBER SECURITY INTRUSION ACCT	1,261,584.37	1,261,584.37	1,261,584.37	-	1,261,584.37
55067	DOMESTIC VIOLENCE GRANT	145,352.73	77,457,843.63	165,420.66	37,759.60	203,180.28
55069	CENTRALIZED TECHNOLOGY SERVICES	64,863,890.45	77,457,843.63	72,305,387.23	(12,984,960.36)	59,320,406.65
55071	LABOR CONTACT CENTER ACCT	891,121.90	1,065,199.98	254,769.61	157,617.86	412,387.47
55072	HUMAN SERVICES CONTACT CNTR ACCT	610,075.96	-	-	-	-
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	20,077,289.45	19,983,091.01	17,474,534.88	1,405,293.10	18,879,827.98
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	11,019,643.27	11,068,520.35	8,705,847.81	391,683.20	9,100,531.01
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	46,146,063.00	37,708,466.50	22,865,827.84	2,313,551.08	24,679,378.92
55300	HEALTH INSURANCE INTERNAL SERVICE	19,688,313.93	20,998,534.94	13,619,750.51	1,167,171.62	14,786,922.13
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	1,918,567.40	1,511,732.11	1,160,045.30	146,296.66	1,306,341.96
55350	CORR INDUSTRIES INTERNAL SERVICE	36,249,786.42	36,725,028.11	25,361,433.93	(392,177.59)	24,969,256.34
	TOTAL INTERNAL SERVICE FUNDS	369,536,317.91	375,661,912.23	337,884,993.15	(10,460,734.03)	327,124,259.12
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 4,563,732,586.22	\$ 4,375,297,929.94	\$ 5,404,884,732.75	\$ (1,632,924,779.68)	\$ 3,771,959,953.07

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part. TTT, Section 1, of the Laws of 2019-20. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the reported cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10650).

APPENDIX G

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2019-2020

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	1 Month Ended April 30, 2019
OPENING CASH BALANCE	\$ 16,298,833												\$ 16,298,833
RECEIPTS:													
Transfers from General Fund (**)	250,000,000												250,000,000
Total Receipts	250,000,000												250,000,000
DISBURSEMENTS:													
Affordable and Homeless Housing	-												-
Broadband Initiative	1,906,857												1,906,857
Downtown Revitalization	-												-
Health Care / Hospital Initiatives	1,376,741												1,376,741
Empire State Poverty Reduction Initiatives	1,174,678												1,174,678
Information Technology/Infrastructure for Behavioral Sciences	-												-
Infrastructure Improvements	-												-
Jesse James Center Expansion	-												-
Justice Center	-												-
Municipal Restructuring / Consolidation Competition	1,257,796												1,257,796
Penn Station Access	-												-
Resiliency, Mitigation, Security and Emergency Response	32,703												32,703
Southern Tier / Hudson Valley Farm Initiative	10,000												10,000
Thruway Stabilization Program	15,988,205												15,988,205
Transformative Economic Development Projects	5,122,897												5,122,897
Transportation Capital Plan	-												-
Upstate Revitalization Program	10,833,868												10,833,868
Total Disbursements	37,703,745												37,703,745
OPERATING TRANSFERS:													
Transfers to General Fund	-												-
Total Operating Transfers	-												-
Total Disbursements and Transfers	37,703,745												37,703,745
CLOSING CASH BALANCE	\$ 228,595,088												\$ 228,595,088

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 95-b
(**) Pursuant to Section 89(b) of the State Finance Law