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Issue 34

DEPARTMENT OF STATE
Division of Administrative Rules

NEW YORK STATE REGISTER

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 45 days following publication in the *Register* of a Notice of Proposed Rule Making or a Notice of Emergency Adoption and Proposed Rule Making for which full text was included in the Notice or posted on a state web site, or which is a consensus rule or a rule defined in SAPA § 102(2)(a)(ii); or for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making or a Notice of Emergency Adoption and Proposed Rule Making for which a summary of the text of the rule was included in the Notice and the full text of which was not published on a state web site; and for 30 days after publication of a Notice of Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 45 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:
– the 60-day period expires on October 25, 2015
– the 45-day period expires on October 10, 2015
– the 30-day period expires on September 25, 2015

ANDREW M. CUOMO
GOVERNOR

CESAR A. PERALES
SECRETARY OF STATE

NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE
REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments must reach the agency before the proposed rule is adopted. The law provides for a minimum 45-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making for which full text was included or posted on a state web site, or which is a consensus rule or a rule defined in SAPA § 102(2)(a)(ii); a minimum 60-day public comment period after publication in the *Register* of a Notice of Proposed Rule Making for which a summary of the text of the rule was included in the Notice and the full text of which was not published on a state web site; and a 30-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after public comments are due.

The Administrative Regulations Review Commission (ARRC) is charged with the task of reviewing newly proposed regulations to examine the issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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One Commerce Plaza
99 Washington Avenue
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Albany, NY 12231-0001
Telephone: (518) 474-6957

KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Civil Service

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-34-15-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Executive Department under the subheading "Gaming Commission," by adding thereto the position of Deputy Director Division of Gaming.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: jennifer.paul@cs.ny.gov

Data, views or arguments may be submitted to: Ilene Lees, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: ilene.lees@cs.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement
A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Regulatory Flexibility Analysis
A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Rural Area Flexibility Analysis
A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Job Impact Statement
A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-34-15-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendixes 1 and 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class and to classify a position in the non-competitive class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of State, by increasing the number of positions of Special Assistant from 16 to 17; and

Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of State, by adding thereto the position of Minority Business Specialist 1 (1).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: jennifer.paul@cs.ny.gov

Data, views or arguments may be submitted to: Ilene Lees, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: ilene.lees@cs.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement
A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Regulatory Flexibility Analysis
A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Rural Area Flexibility Analysis
A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was

previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-34-15-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Health, by decreasing the number of positions of AIDS Program Representative 1 (Spanish Language) from 2 to 1 and by deleting therefrom the positions of øAffirmative Action Administrator 2 (1), øAffirmative Action Officer 3 (1), Apprentice Epidemiologist, Apprentice Public Health Physician (Group of Classes) (Employment not to exceed twelve months), Assistant Director of Dental Health, Biostatistician (Part time), øChief Bureau Policy Analysis, Planning and Evaluation (1), Clinic Aide (Part time) (6), Clinical Physician 2 (1), øCoordinator of Federal Programs Management (1), Dental Public Health Resident, øDeputy Director of Laboratories and Research (1), øDirector of Affirmative Action Programs 3 (1), øDirector of Birth Defects Institute (1), Director, Bureau of Health Care Standards (1) (Until first vacated after September 23, 1980), Director, Bureau of Medicaid Standards (1) (Until first vacated after September 23, 1980), øDirector of Epidemiology and Population Genetics (1), øExecutive Director (1) (Low Level Radioactive Waste Citizens Advisory Committee), Indian Health Aide, Information Systems Auditor 3 (1), Laboratory Trainee (6), Medicaid Hearing Examiner 1 (1), Medical Social Worker in Training, Metropolitan Area Public Health Dentist, Physician in Training (Group of Classes) (Employment not to exceed twelve months), Public Health Dentist (Local Medical Assistance Program) (Part time), Public Health Educator in Training (Employment not to exceed twelve months), Public Health Nurse (Part time) at St. Regis Indian Reservation Health Clinic, Public Health Physician (Local Medical Assistance Program) (Part time), Public Health Physician 1 (Various Specialties), Radiological Laboratory Technical Assistant (1), Radiological Scientist 1, Radiological Scientist 2, Radiological Scientist 3, øRegional Health Director, øSecretary 2 (1) (in the Governor's Health Care Advisory Board), Stenographer at the St. Regis Indian Reservation (1) and Supervising Public Health Dentist.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: jennifer.paul@cs.ny.gov

Data, views or arguments may be submitted to: Ilene Lees, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: ilene.lees@cs.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-34-15-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from and classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Economic Development, by deleting therefrom the positions of øJob Training Partnership Specialist 1 (2) and øJob Training Partnership Specialist 2 (2) and by increasing the number of positions of Associate Agency Services Analyst from 5 to 7 and Senior Certification Analyst from 10 to 16.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: jennifer.paul@cs.ny.gov

Data, views or arguments may be submitted to: Ilene Lees, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: ilene.lees@cs.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-34-15-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Family Assistance under the subheading "Office of Temporary and

Disability Assistance," by increasing the number of positions of Minority Business Specialist 1 from 1 to 2.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: jennifer.paul@cs.ny.gov

Data, views or arguments may be submitted to: Ilene Lees, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: ilene.lees@cs.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-34-15-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from and classify positions in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Financial Control Board, by decreasing the number of positions of Secretary from 4 to 2, by deleting therefrom the positions of Counsel, Executive Director, Financial Control Board Analyst 2 (10), Financial Control Board Analyst 3 (5) and Special Office Assistant and by adding thereto the positions of Financial Control Board Analyst (15).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: jennifer.paul@cs.ny.gov

Data, views or arguments may be submitted to: Ilene Lees, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: ilene.lees@cs.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-34-15-00012-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from and classify positions in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Executive Department under the subheading "Office of General Services," by deleting therefrom the positions of Director Vietnam Memorial and Program Associate (3) and by increasing the number of positions of Special Assistant from 14 to 21.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: jennifer.paul@cs.ny.gov

Data, views or arguments may be submitted to: Ilene Lees, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: ilene.lees@cs.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-34-15-00013-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete a position from and classify a position in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified

Service, listing positions in the exempt class, in the Department of State under the subheading "Joint Commission on Public Ethics," by decreasing the number of positions of Compliance Auditor (JCOPE) from 8 to 7 and by increasing the number of positions of Program Manager from 2 to 3.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: jennifer.paul@cs.ny.gov

Data, views or arguments may be submitted to: Ilene Lees, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: ilene.lees@cs.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-15-00005-P, Issue of January 7, 2015.

Department of Environmental Conservation

NOTICE OF WITHDRAWAL

To Remove Regulatory Requirements That Exclude Individuals with Felonies from Obtaining Certain Licenses and Authorizations

I.D. No. ENV-30-15-00004-W

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Notice of proposed rule making, I.D. No. ENV-30-15-00004-P, has been withdrawn from consideration. The notice of proposed rule making was published in the *State Register* on July 29, 2015.

Subject: To remove regulatory requirements that exclude individuals with felonies from obtaining certain licenses and authorizations.

Reason(s) for withdrawal of the proposed rule: The Express Terms for Part 183 did not appear in the State Register when the proposed rule making was published.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Sportfishing and Associated Activities

I.D. No. ENV-34-15-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 10.1, 10.2, 10.3 and 10.4 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 3-0301, 11-0303, 11-0305, 11-0317, 11-1301, 11-1303, 11-1316 and 11-1319

Subject: Sportfishing and associated activities.

Purpose: To revise sportfishing regulations and associated activities including the commercial collection, sale and use of baitfish.

Text of proposed rule: New paragraph 10.1(c)(8) is added to read as follows:

(8) Catch and release angling (i.e. catching and returning a fish to the water without causing it harm) is only permitted during the open season for a particular fish species. Measuring, weighing and photographing the fish are permitted as long as the fish is not removed from the water for an extended period or handled in a manner that could cause harm. Fish may not be held on a string, or placed in a bucket, tub, livewell, or any other holding device.

Existing clause 10.2(c)(1)(ii)(d) is amended to read as follows:

(d) Use of hooks with added weight is prohibited, except that artificial flies and jigs *weighing* [of] no more than one-eighth ounce [added weight] are permitted.

Existing paragraph 10.2(h)(2) is amended to read as follows:

(2) Table C- Fishing regulations for Lake Champlain and its tributaries.

Species	Open Season	Minimum Length	Daily Limit
(a) Trout	All year	12"	3
Lake Trout	All year	15"	3
Landlocked Salmon	All year	15"	2
(b) Black Bass	Second Saturday in June through November 30 December 1 through the Friday [proceeding] *preceding* the second Saturday in June	10" Catch and release only; artificial lures only	5
(c) Walleye [, Sauger]	First Saturday in May through March 15	18"	[Any] 3 [in combination]
(d) Muskellunge	Last Saturday in May through November 30th	40"	1
(e) Northern Pike	All year	20"	5
(f) Crappie	All year	8"	25
(g) Pickerel	All year	Any size	10
(h) Yellow perch and Sunfish	All year	Any size	Any number
(i) Lake Sturgeon	May not be taken or possessed at any time		
(j) All other species not listed	All year	Any size	Any number

Existing subparagraph 10.2(h)(3)(iii) is repealed.

[(iii) Yellow perch taken from Cumberland Bay, and tributaries of Cumberland Bay to their first impassable barrier to fish, must not be sold, traded or bartered, offered for sale, or exposed for sale. Cumberland Bay is defined as that portion of Lake Champlain north and west of a line extending from Cumberland Head Light to the northern tip of Crab Island then westerly to the southerly of two piers at the oil terminal known locally as the Copeland Oil Terminal.]

Existing paragraph 10.2(k)(3) is amended to read as follows:

(1) Ice fishing is permitted for the taking of any species during its open season.

[Five] *Seven* tip-ups [and two-hand lines] may be used.

10.3 Additional special fishing regulations for certain inland waters.

(b) Table A – Fishing regulations for specifically designated waters.

Water	Species	Open Season	Minimum Length	Daily Limit	Method

New clause 10.3(b)(22)(a) is amended to read as follows:

(a)	All waters except as listed below *or listed in subdivision 10.6(h) of this Part*	Trout	April 1 through October 15	Any size	5 with no more than 2 longer than 12"

New clause 10.3(b)(25)(a) is amended to read as follows:

(a)	All waters except as listed below *or listed in subdivision 10.6(i) of this Part*	Trout	April 1 through October 15	Any size	5 with no more than 2 longer than 12"

Existing clause 10.3(b)(26)(d) is amended to read as follows:

(d)	Spring Creek on Caledonia State Fish Hatchery property as posted		April 1 through October 15 *8*[7:30] a.m. to *4* [3:30] pm		

New clause 10.3(b)(33)(a) is amended to read as follows:

(a)	All waters except as listed below *or listed in subdivision 10.6(j) of this Part*	Trout	April 1 through October 15	Any size	5 with no more than 2 longer than 12"

New clause 10.3(b)(45)(a) is amended to read as follows:

(a)	All waters except as listed below *or listed in subdivision 10.6(l) of this Part*	Trout	April 1 through October 15	Any size	5 with no more than 2 longer than 12"

Existing section 10.4 is amended to read as follows:

10.4 Ice fishing and use of [ice-fishing lines] *tip-ups*.

(a) For the purposes of this Part, a [n ice-fishing line] *tip-up* means any device used for fishing through the ice, including but not limited to hand line, tip-up, tip down[s], etc.

(b) General provisions.

(1) No person shall fish through the ice in any water inhabited by trout except those waters specified in this Part.

(2) No person shall [use ice fishing lines] *fish through the ice* on the frozen waters of the State during the period from May 1st through November 14th except *by the use of hand lines*.

(3) Fish may be taken through the ice in accordance with open seasons, minimum sizes and daily limits set forth in this Part.

(4) Wherever ice fishing is permitted, no more than seven [ice fishing lines] *tip-ups*[, with an ice fishing line meaning any device use for ice fishing through the ice (including but not limited to hand line, tip-up, tip down, rod and reel, etc.)] may be used except where specified in this section or other sections of this Part.

(5) No more than 15 *tip-ups* [ice fishing lines with an ice fishing line meaning any device use for ice fishing through the ice (including but not limited to hand line, tip-up, tip down, rod and reel, etc.)] may be used in Lake Champlain.

(6) No person shall operate *tip-ups* [ice fishing lines] without being in immediate attendance while the *tip-ups* [ice fishing lines] are in the water.

(7) No person shall use a [n ice-fishing line] *tip-up* that has more than five lures or baits or a combination of both.

(8) No person shall use a [n ice-fishing line] *tip-up* that has more than 15- hook points in any combination of single, double or treble hooks.

Text of proposed rule and any required statements and analyses may be obtained from: Shaun Keeler, New York State Department of Environmental Conservation, 625 Broadway, Albany, NY 12233, (518) 402-8928, email: shaun.keeler@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Additional matter required by statute: A Programmatic Impact Statement pertaining to these actions is on file with the Department of Environmental Conservation.

Reasoned Justification for Modification of the Rule

General revisions to the State's regulations governing freshwater sportfishing and related activities (Part 10) are continuously needed to meet the management needs for specific waters as well as part of an effort to accommodate angler and other stakeholder desires. To meet this need the Division of Fish, Wildlife and Marine Resources conducts a biennial revision of regulations governing sportfishing and associated activities. Conducting a review of and proposing amendments to 6NYCRR Part 10, every two years, is responsive and adequate towards meeting the 5 Year Existing Rules review requirement.

Regulatory Impact Statement

1. Statutory Authority

Section 3-0301 of the Environmental Conservation Law (ECL) establishes the general functions, powers and duties of the Department of Environmental Conservation (department) and the Commissioner, including general authority to adopt regulations. Sections 11-0303 and 11-0305 of the ECL authorize the department to provide for the management and protection of the State's fisheries resources, taking into consideration ecological factors, public safety, and the safety and protection of private property. Section 11-0317 of the ECL empowers the department to adopt regulations, after consultation with the appropriate agencies of the neighboring states and the Province of Ontario, establishing open seasons, minimum size limits, manner of taking, and creel and seasonal limits for the taking of fish in the waters of Lake Erie, Lake Ontario, the Niagara River and the St. Lawrence River. Sections 11-1301 and 11-1303 of the ECL empower the department to fix by regulation open seasons, size and catch limits, and the manner of taking of all species of fish, except certain species of marine fish (listed in section 13-0339 of the ECL), in all waters of the State. Section 11-1319 of the ECL governs possession of fish taken in waters of the State.

2. Legislative Objectives

Open seasons, size restrictions, daily creel limits, and restrictions regarding the manner of taking fish are tools used by the department in achieving the intent of the legislation referenced above. The purpose of setting seasons is to prevent over-exploitation of fish populations during vulnerable periods, such as spawning, thereby ensuring a healthy population. Size limits are necessary to maintain quality fisheries and to ensure that adequate numbers survive to spawning age. Creel limits are used to distribute the harvest of fish among many anglers and optimize resource benefits. Catch and release fishing regulations are used in waters capable of sustaining outstanding growth and providing a large population of desirable-sized fish, creating an outstanding opportunity for anglers willing to forego harvesting fish.

Regulations governing the manner of taking fish upgrade the quality of the recreational experience, provide for a variety of harvest techniques and angler preferences, limit exploitation, and guard against unethical practices such as "snagging".

3. Needs and Benefits

Most significant fishery resources in New York State are monitored through annual or periodic surveys and inventories, conducted by Bureau of Fisheries staff and DEC partners such as Cornell University and SUNY ESF. These fisheries surveys identify particular situations where changes in fishing regulations may be required to maintain the quality of a particular fishery or where significant opportunity for improvement or enhancement of the fishery exists. Additional regulation changes are prompted by the recommendation of user groups or the need to correct or clarify existing regulations. Concepts for regulation amendments that address identified needs are developed by Bureau of Fisheries staff and reviewed with sportsmen's groups at the local, regional, or state-wide level, depending upon the significance of the proposal.

The proposed amendments are necessary to maintain or improve the quality of the State's fisheries resources, including as described above (#2 Legislative Objectives Section). Changes to sportfishing regulations are intended to promote optimum opportunity for public use consistent with resource conservation.

In order to facilitate compliance by the angling public, significant revisions of the department's fishing regulations are currently conducted on a biennial schedule.

The modifications contained here were actually intended to be included in the last Sportfish rule making which was finalized and filed on February 23, 2015, but inadvertently left out. The changes contained and proposed here are as follows:

1. Addition of a definition of "Catch & Release" (C & R) fishing. Catch and Release has been described in the DEC Fishing Regulations Guide for some time now, but has yet to be established in regulation;

2. Elimination of a separate special regulation allowing for the taking of sauger on Lake Champlain. This will be consistent with the statewide closure for sauger which was established effective 4/1/15;

3. Removal of a prohibition on the sale of yellow perch taken from Cumberland Bay on Lake Champlain. With the previous removal of the separate creel limit for yellow perch and panfish for Cumberland Bay, the accompanying section pertaining to restrictions on sale of yellow perch is no longer necessary;

4. Re-establishment of a definition of "tip-up", as the current definition for the equipment that can be used for ice fishing (entitled as "ice fishing devices) is not included as a method of take currently provided for by a fishing license, whereas tip-ups are;

5. Clarification of the wording pertaining to the maximum weight allowed for artificial flies and jigs used for fishing Great Lake tributaries;

6. Replacement of the special regulation, for trout, of "five fish with no more than two fish longer than 12 inches", with the statewide regulation, for the group of waters where the use of baitfish is prohibited in Herkimer, Lewis, Oneida and St. Lawrence Counties;

7. Adjustment of the daily fishing hours at Spring Creek on the Caledonia State Fish Hatchery by half an hour, from the current 7:30 AM to 3:30 PM, to 8:00 AM to 4:00 PM.

4. Costs

Enactment of the rules and regulations described herein governing fishing will not result in increased expenditures by the State, local governments, or the general public.

5. Local Government Mandates

These amendments of 6 NYCRR will not impose any programs, services, duties or responsibilities upon any county, city, town, village, school district, or fire district.

6. Paperwork

No additional paperwork will be required as a result of these proposed changes in regulations.

7. Duplication

There are no other State or federal regulations which govern the taking of freshwater sportfish.

8. Alternatives

A no-action alternative would prevent these modifications, from becoming established in regulation. Not promulgating these changes would not likely provide for the improvements in fish communities and increases in sportfishing opportunity that these modifications are intended to support. Secondly, the no-action alternative will result in the discrepancy that exists for the above seven items, between the Freshwater Fishing Regulations Guide and the current regulations (NYCRR), as a result of an earlier filing error.

9. Federal Standards

There are no minimum federal standards that apply to the regulation of sportfishing.

10. Compliance Schedule

These regulations, if adopted, will become effective immediately upon filing. It is anticipated that regulated persons will be able to immediately comply with these regulations once they take effect.

Regulatory Flexibility Analysis

The purpose of this rule making is to amend and update the Department of Environmental Conservation's (department) general regulations governing sportfishing. These amendments were developed as a result of the department's review of existing sportfishing regulations for needed and desired changes. Changes to these regulations are intended to promote optimum opportunity for public use consistent with resource conservation.

The department has determined that the proposed regulations will not impose an adverse impact or any new or additional reporting, record-keeping or other compliance requirements on small businesses or local governments. All reporting or record-keeping requirements associated with sportfishing are administered by the department. Since small businesses and local governments have no management or compliance role in the regulation of sport fisheries, there is no impact upon these entities. Small businesses may, and town or village clerks do issue fishing licenses. However, the department's rule making proposal does not change this process.

Fishing guides, and tackle/baitfish shops (to some extent), are the only business entities directly affected and impacted by changes to regulations pertaining to sport fishing. However, the actions proposed in this rule making (e.g. adjustments to season dates, bag limits, minimum size limits, gear restrictions etc.) are not measures that result in an overall loss of angling opportunities or diminish opportunities for taking fish. Therefore, guide businesses should not lose clientele as a result or otherwise be adversely impacted by the changes. In fact, positive impacts are anticipated for these businesses because the proposed regulations would enhance the likelihood that angling opportunities will remain high and sustainable for future anglers and fishing-related businesses. The proposed changes do not contain any changes specific to the use of baitfish. Therefore commercial baitfish operators will not be adversely effected.

Based on the above, the department has determined that a regulatory flexibility analysis is not required.

Finally, Chapter 524 of the New York Laws of 2011 is not applicable as this proposed rule making does not establish or modify a violation or a penalty associated with a violation.

Rural Area Flexibility Analysis

The purpose of this rule making is to amend and update the Department of Environmental Conservation's (department) general regulations governing sportfishing. These amendments were developed as a result of the department's review of existing sportfishing regulations. Changes to these regulations are intended to promote optimum opportunity for public use consistent with resource conservation.

The department has determined that the proposed rules will not impose an adverse impact or any new or additional reporting, record-keeping, or other compliance requirements on public or private entities in rural areas. All reporting or record-keeping requirements associated with sportfishing are administered by the department. The proposed regulations are not anticipated to negatively change the number of participants or the frequency of participation in regulated activities.

Fishing guides, and tackle/baitfish shops (to some extent), are the only business entities directly affected and impacted by changes to regulations pertaining to sport fishing. However, the actions proposed in this rule making (e.g. adjustments to season dates, bag limits, minimum size limits, gear restrictions etc.) are not measures that result in an overall loss of angling opportunities or diminish opportunities for taking fish. Therefore, guide businesses should not lose clientele as a result or otherwise be adversely impacted by the changes. In fact, positive impacts are anticipated for these businesses because the proposed regulations would enhance the likelihood that angling opportunities will remain high and sustainable for future anglers and fishing-related businesses. The proposed changes do not contain any changes specific to the use of baitfish. Therefore commercial baitfish operators will not be adversely effected.

Small businesses may, and town or village clerks do issue fishing licenses. However, the department's rule making proposal does not change this process.

Since the department's proposed rule making will not impose an adverse impact on public or private entities in rural areas and will have no effect on current reporting, record-keeping, or other compliance requirements, the department has concluded that a rural area flexibility analysis is not required for this regulatory proposal.

Job Impact Statement

The purpose of this rule making is to amend and update the Department of Environmental Conservation's (department) general regulations governing sportfishing. These amendments were developed as a result of the department's biennial review of existing sportfishing regulations. Changes to these regulations are intended to promote optimum opportunity for public use consistent with resource conservation.

Fishing guides, and tackle/baitfish shops (to some extent), are the only business entities directly affected and impacted by changes to regulations pertaining to sport fishing. However, the actions proposed in this rule making (e.g. adjustments to season dates, bag limits, minimum size limits, gear restrictions etc.) are not measures that result in an overall loss of angling opportunities or diminish opportunities for taking fish. Therefore, guide businesses should not lose clientele as a result or otherwise be adversely impacted by the changes, and no jobs are expected to be lost. The proposed changes do not contain any changes specific to the use of baitfish. Therefore commercial baitfish operators will not be adversely effected, and therefore there should not be any job impacts. In fact, positive impacts are anticipated for these businesses because the proposed regulations would enhance the likelihood that angling opportunities will remain high and sustainable for future anglers and fishing-related businesses.

Based on the above, the department has concluded that the proposed regulatory changes will not have an adverse impact on jobs or employment opportunities in New York, and that a job impact statement is not required.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Qualifications for License Issuing Agents and Wildlife Rehabilitators

I.D. No. ENV-34-15-00028-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Parts 183 and 184 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 11-0305, 11-0713, 11-0515 and 11-0919

Subject: Qualifications for License Issuing Agents and Wildlife Rehabilitators.

Purpose: To remove regulatory requirements that exclude individuals with felonies from obtaining certain licenses and authorizations.

Text of proposed rule: Title 6 of the Codes, Rules and Regulations of New York State, Part 183, License – Issuing Officers, is amended as follows: Subdivision (c) of section 183.1 is amended; subdivision 183.2(a) is amended, subdivision 183.2(b) is removed, subdivisions 183.2(c)-(e) are renumbered to be subdivisions (b)-(d) and a new subdivision (e) is added; subdivision 183.3(a) is amended.

Part 183: License - Issuing Officers

§ 183.1 Definitions

(c) Licenses mean all resident and nonresident hunting, fishing, trapping, [big game,] bowhunting and muzzleloading licenses and [stamps] privileges and other special licenses or permits, issued pursuant to Environmental Conservation Law, section 11-0713.

§ 183.2 Qualifications for Appointment as a License Issuing Officer

A person may be eligible for appointment as a license issuing officer if the following requirements have been met:

(a) Applicant is over the age of 18 years and[,] meets the residency requirements, if any, contained in Environmental Conservation Law, section 11- 0713(1)(a)[(4), is of good character and reputation in the community and furnishes three business references attesting thereto.

(b) Applicant has not been convicted of or pleaded guilty to a misdemeanor under the Environmental Conservation Law within the past three years, and has not been convicted of a crime under any other law]*(3)*.

[(c)]*(b)* There are no outstanding liens against or judgments in the name of the proprietor.

[(d)]*(c)* The proprietor conducts a business catering to the needs of hunters, trappers or fishermen for at least three months each year.

[(e)]*(d)* The annual license sales at the place of business are at least 100 in the third and subsequent years of appointment.

(e) If the applicant has been convicted of one or more criminal offenses, he or she must be found eligible after a balancing of the factors set out in Article 23-A of Correction Law. In accordance with that Article, no license shall be denied by reason of the applicant having been previously convicted of one or more criminal offenses unless (i) there is a direct relationship between one or more of the previous criminal offenses and the duties required of the license or (ii) licensing the applicant would involve an unreasonable risk to property or the safety or welfare of a specific individual or the general public. In determining these questions, the agency will look at all factors listed under New York State Correction Law Section 753.

§ 183.3 Qualifications for Appointment as an Assistant License Issuing Officer

(a) A license issuing officer may nominate for appointment as assistant license issuing officers employees in [his]*their* place of business.

Paragraph 184.3(a)(1) is amended; paragraph 184.3(a)(2) is removed and paragraphs (3) and (4) of subdivision (a) are renumbered as paragraphs (2) and (3) and a new paragraph (4) is added. Subdivision (a) of section 184.4 is amended. Paragraphs 184.6(a)(4), (5), (7) and (8) are amended; subdivision 184.6(b) is amended.

§ 184.3 Qualifications for appointment

(a) The Class I Wildlife Rehabilitator and Class II Wildlife Rehabilitator must:

(1) be over the age of 16 years[,] *and* a resident of New York *State*[, of good character and reputation in the community as judged by two character references written by persons not related to the applicant or to each other;

(2) not have been convicted of or pleaded guilty to a violation or misdemeanor under the Environmental Conservation Law or settled and compromised a civil liability therefor, nor have been convicted of any misdemeanor or felony within the previous three years];

[(3)]*(2)* receive a grade of 80 percent or higher on a written examination administered by the department and designed to test knowledge in the field of wildlife rehabilitation;[and]

[(4)]*(3)* be interviewed, by a regional department employee responsible for the wildlife rehabilitation program, to assess the applicant's proficiency in wildlife rehabilitation[.]*; and*

(4) if convicted of one or more criminal offenses, be found eligible after a balancing of the factors set out in Article 23-A of Correction Law. In accordance with that Article, no license shall be denied by reason of the applicant having been previously convicted of one or more criminal offenses unless (i) there is a direct relationship between one or more of the previous criminal offenses and the duties required of the license or (ii) licensing the applicant would involve an unreasonable risk to property or the safety or welfare of a specific individual or the general public. In determining these questions, the agency will look at all factors listed under New York State Correction Law Section 753.

§ 184.4 Additional criteria for appointments

(a) The Class II Wildlife Rehabilitator may be assisted by and provide training to one or more assistant wildlife rehabilitators upon approval by the department of an outline of training methods and procedures to assure control over the activities of appointed assistant wildlife rehabilitators, [but]and the Class II Wildlife Rehabilitator [must]*shall* be responsible for the proper performance of duties of all assistant wildlife rehabilitators *under their supervision*[whom he or she nominated, trained, and employs].

§ 184.6 Duties

(a) The wildlife rehabilitator must:

(4) comply with applicable provisions of the Environmental Conservation Law and rules and regulations adopted pursuant thereto and with the department's instructions concerning methods of wildlife rehabilitation, reporting requirements and any conditions contained in [his/her]*their* license;

(5) display in a prominent place the license provided by the department. A wildlife rehabilitator identification card must be carried by the [permittee]*licensee* when in possession of wildlife afield;

(7) notify the department at least 90 days in advance of moving [his/her]*their* place of operation to a new location;

(8) allow authorized department employees to inspect [his/her]*their* wildlife rehabilitation operations and records at any reasonable time; and

(b) Additionally, the wildlife rehabilitator may distribute, if appropriate, to persons who submit wildlife to [him/her]them, any material supplied by the department relating to wildlife rehabilitation.

Text of proposed rule and any required statements and analyses may be obtained from: Joseph Therrien, New York State Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-4752, (518) 402-8987, email: joseph.therrien@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Additional matter required by statute: A programmatic environmental impact statement is on file with the Department of Environmental Conservation.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. Statutory Authority

Subdivision 2 of Section 11-0305 of the Environmental Conservation Law authorizes the department to issue licenses and designate agents to sell and promote the sale of licenses. Paragraph 1.a. of Section 11-0713 provides for the qualifications and regulatory compliance requirements of license issuing officers.

Subdivision 3 of Section 11-0515 of the Environmental Conservation Law directs the department to promulgate regulations pertaining to the rehabilitation of wildlife. Subdivision 2 of Section 11-0919 provides the criteria for a licensed wildlife rehabilitator to handle rabies vector species (skunks, raccoons and bats).

2. Legislative Objectives

The legislative objectives of the statutory provisions listed above are to establish, or authorize the department to establish, qualifications and duties of becoming a license issuing agent and of becoming a wildlife rehabilitator in New York State.

3. Needs and Benefits

The purpose of this rulemaking is to amend both 6 NYCRR Part 183 and 184 by ensuring that Correction Law Article 23-A balancing test will be used in evaluating applicants for these licenses who have criminal convictions.

4. Costs

There are no costs to the department or local governments. The proposed changes will not alter any existing programs and will not increase requirements or fees associated with these licenses.

5. Local Government Mandates

These amendments will not impose any programs, services, duties or responsibilities upon any county, city, town, village, school district or fire district.

6. Paperwork
The proposed rule will not impose any additional paperwork requirements. Individuals seeking license from the department will be required to complete and submit a license application. Applicants for a wildlife rehabilitation license are also required to pass a written minimum standards test administered by the Department.
7. Duplication
There are no other regulations similar to this proposal.
8. Alternatives
There are no additional alternatives.
9. Federal Standards
The federal rehabilitation standards appear in Title 50 of the Code of Federal Regulations Section 21.31. The proposed rule does not exceed any minimum standards of the federal government. There are no federal standards associated with license issuing agents.
10. Compliance Schedule
These regulations, if adopted, will become effective immediately. No additional steps will be required of current license holders.

Regulatory Flexibility Analysis

The proposed regulation would amend the Department of Environmental Conservation's (department) regulations governing the qualifications and appointment as a License Issuing Officer and Wildlife Rehabilitator found in Title 6 of the New York Codes Rules Regulations, Parts 183 and 184, by allowing individuals who have been convicted of or pled guilty to a misdemeanor or felony to apply for and become a license issuing officer or a wildlife rehabilitator.

The proposed rule will ensure that Correction Law Article 23-A balancing test will be used in evaluating applicants for these licenses who have criminal convictions. The rulemaking removes the restrictions which currently prohibit the acquisition of these licenses by any individual that has been convicted of or pled guilty to a misdemeanor or felony. The rulemaking will allow store proprietors or employees, who were previously prohibited from becoming License Issuing Officers, to offer this service at their stores. The proposed rulemaking may increase sales at these businesses as proprietors will be able to offer the additional service of sport license sales. In addition, the rulemaking will allow individuals, who were previously prohibited from becoming wildlife rehabilitators, to create their own rehabilitation center where they can develop their business and professional images. The proposed changes will not impose any new record keeping or compliance requirements.

Based on the proposed regulations having little to no impact on businesses and, the potential for enhanced business opportunities, the department has determined that the proposed rule will not impose an adverse economic impact or reporting, record keeping, or other compliance requirements on small business or local governments. There will be no impacts on local governments. The regulation removes previous restrictions to obtaining a license from the department.

Since the department's proposed rule making will not impose an adverse impact on businesses or local governments, including little effect on current reporting, record-keeping or compliance requirements, the department has concluded that this proposed regulation does not require a Regulatory Flexibility Analysis.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas:
The proposed rule will ensure that Correction Law Article 23-A balancing test will be used in evaluating applicants for these licenses who have criminal convictions. The rule allows individuals in New York State who have a felony record to become a License Issuing Officer or Wildlife Rehabilitator. Consequently, the proposed regulation impacts rural areas throughout the state.
2. Reporting, recordkeeping and other compliance requirements; and professional services:
The proposed rule does not add any additional requirements for record keeping or requirements for obtaining a license.
3. Costs:
There are no application or license fees associated with the License Issuing Officer or Wildlife Rehabilitation licenses. There are no additional costs associated with obtaining these licenses. To qualify for a Wildlife Rehabilitation license an applicant must first pass a written exam administered by the department which tests the knowledge and skill of potential licensees. There is no fee for this examination and a free study guide is available on line to aide in preparation for the exam.
4. Minimizing adverse impact:
The proposed rulemaking will allow individuals who were previously prohibited from obtaining these licenses to now obtain them. The proposed changes will provide new opportunities to a percentage of the population that was previously excluded from these activities thereby having a positive effect on rural areas. The proposed rule may also have a beneficial impact on small businesses as some business owners may opt to provide the additional service of selling sport licenses.
5. Rural area participation:
The regulation removes previous restrictions to obtaining a license from the department.

Job Impact Statement

The purpose of this rulemaking is to amend both 6 NYCRR Part 183 and 184 by ensuring that Correction Law Article 23-A balancing test will be used in evaluating applicants for these licenses who have criminal convictions. The proposed rulemaking will provide opportunities to individuals who have been convicted of or pled guilty to a misdemeanor or felony by allowing them to obtain department issued licenses that will enable them to integrate back into the work force. The proposed rulemaking will provide regulatory relief by removing the requirement that individuals applying to be license issuing agents or wildlife rehabilitators must not have been found guilty or pled to a felony or misdemeanor charge within three years of application.

The proposed rulemaking will allow access to individuals who previously could not partake in these activities. The proposed rulemaking will not have a substantial adverse impact on jobs or employment opportunities. Moreover, this rule making is not expected to adversely affect the number of participants or frequency of participation in the regulated activities.

For these reasons, the department anticipates that the proposed regulatory changes will not have an adverse impact on jobs or employment opportunities in New York, and that a Job Impact Statement is not required.

Department of Health

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Prohibit Additional Synthetic Cannabinoids

I.D. No. HLT-34-15-00005-EP
Filing No. 679
Filing Date: 2015-08-06
Effective Date: 2015-08-06

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 9.1 of Title 10 NYCRR.

Statutory authority: Public Health Law, section 225

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: "Synthetic cannabinoids" encompass a wide variety of chemicals that are designed to stimulate the same receptor in the body as cannabinoid 9-tetrahydrocannabinol (THC). However, they cause additional side effects that mimic other controlled substances and have been linked to severe adverse reactions, including death and acute renal failure. Reported side effects include: tachycardia (increased heart rate); paranoid behavior, agitation and irritability; nausea and vomiting; confusion; drowsiness; headache; hypertension; electrolyte abnormalities; seizures; and syncope (loss of consciousness). Additional signs and symptoms of synthetic cannabinoids include: anxiety; tremor; hallucinations; and violent behavior. These effects can be similar to those of phencyclidine (PCP). It has been reported that some recent patients are also presenting with both somnolence (drowsiness) and bradycardia (decreased heart rate), some requiring endotracheal intubation.

Synthetic cannabinoids are frequently applied to plant materials and then packaged as incense, herbal mixtures or potpourri. They often carry a "not for human consumption" label, and are not approved for medical use in the United States. Products containing synthetic cannabinoids are, in actuality, consumed by individuals, most often by smoking, either through a pipe, a water pipe, or rolled in cigarette papers.

Products containing synthetic cannabinoids have become prevalent drugs of abuse. When 10 NYCRR Part 9 was first promulgated, calls to New York State Poison Control Centers relating to the consumption of synthetic cannabinoids had increased dramatically. Over half of the calls to the Upstate Poison Control Center in 2011 involved children under the age of 19 years of age which is consistent with the results of a 2011 Monitoring the Future national survey of youth drug-use trends that showed that 11.4% of 12th graders used a synthetic cannabinoid during the twelve months prior to the survey, making it the second most commonly used illicit drug among high school seniors at that time.

In 2012, the Department issued 10 NYCRR Part 9, which addressed this emergent threat to public health by prohibiting the possession, manufacture, distribution, sale or offer of specified synthetic cannabinoids and other substances. Thereafter, New York State experienced a substantial decrease in reported cases of adverse health effects related to synthetic cannabinoid use, an achievement that was sustained until the early part of this year.

Recently, however, New York State experienced a dramatic increase in synthetic cannabinoid-related adverse events and emergency department visits. During April 1 to June 30, New York State has seen more than 1,900 emergency department visits and 680 poison control center calls due to reports of adverse health effects associated with synthetic cannabinoid use. This represents more than a tenfold increase over the same time period in 2014, when there was more than 150 emergency department visits and 50 poison control center calls reported. Nationally, there have been 15 synthetic cannabinoid-related deaths reported to poison control centers during from January to May of 2015. In New York, no fatalities have been reported to date, although there has been a 44% increase in the proportion of patients being admitted to critical care units from April 6 to June 30, 2015 when compared to the proportion of patients admitted to the critical care unit from Jan 1, 2011 to April 5, 2015. Calls received by poison control centers generally reflect only a small percentage of actual instances of poisoning.

Testing has identified synthetic cannabinoids that were not known to the Department in 2012, when 10 NYCRR Part 9 was first issued, and that are associated with the recent increase in cannabinoid-related adverse events and emergency department visits. Identifying these new synthetic cannabinoids in the regulation will simplify and enhance the efforts of local governments to control these dangerous chemicals.

Because synthetic cannabinoids continue to be an urgent public health issue, and because the Department has learned of additional specific synthetic cannabinoids since the regulation was first promulgated, the Commissioner of Health and the Public Health and Health Planning Council have determined it necessary to file these regulations on an emergency basis. Public Health Law § 225, in conjunction with State Administrative Procedure Act § 202(6), empowers the Council and the Commissioner to adopt emergency regulations when necessary for the preservation of the public health, safety or general welfare and that compliance with routine administrative procedures would be contrary to the public interest.

Subject: Prohibit Additional Synthetic Cannabinoids.

Purpose: To add additional chemicals to the list of explicitly prohibited synthetic cannabinoids.

Text of emergency/proposed rule: Subdivision (b) of section 9.1 is amended as follows:

(b) Synthetic Cannabinoid means any *manufactured* chemical compound that is a cannabinoid receptor agonist and includes, but is not limited to any material, compound, mixture, or preparation that is not listed as a controlled substance in Schedules I through V of § 3306 of the Public Health Law, and not approved by the federal Food and Drug Administration (FDA), and contains any quantity of the following substances, their salts, isomers (whether optical, positional, or geometric), homologues (analogs), and salts of isomers and homologues (analogs), unless specifically exempted, whenever the existence of these salts, isomers, homologues (analogs), and salts of isomers and homologues (analogs) is possible within the specific chemical designation:

(1) Naphthoylindoles. Any compound containing a 3-(1-Naphthoyl)indole structure with substitution at the nitrogen atom of the indole ring by an alkyl, haloalkyl, alkenyl, cycloalkylmethyl, cycloalkylethyl, 1-(N-methyl-2-piperidinyl)methyl, or 2-(4-morpholinyl)ethyl group, whether or not further substituted in the indole ring to any extent and whether or not substituted in the naphthyl ring to any extent. (Other names in this structural class include but are not limited to: *JWH 007,* JWH 015, JWH 018, JWH 019, JWH 073, JWH 081, *JWH 98,* JWH 122, *JWH 164,* JWH 200, JWH 210, JWH 398, AM 2201, *MAM 2201, EAM 2201* and WIN 55 212.)

(2) Naphthylmethylindoles. Any compound containing a 1 H-indol-3-yl-(1- naphthyl)methane structure with substitution at the nitrogen atom of the indole ring by an alkyl, haloalkyl, alkenyl, cycloalkylmethyl, cycloalkylethyl, 1-(N-methyl-2-piperidinyl)methyl, or 2-(4-morpholinyl)ethyl group, whether or not further substituted in the indole ring to any extent and whether or not substituted in the naphthyl ring to any extent. (Other names in this structural class include but are not limited to: JWH-175, and JWH-184.)

(3) Naphthoylpyrroles. Any compound containing a 3-(1-naphthoyl) pyrrole structure with substitution at the nitrogen atom of the pyrrole ring by an alkyl, haloalkyl, alkenyl, cycloalkylmethyl, cycloalkylethyl, 1-(N-methyl-2-piperidinyl)methyl, or 2-(4-morpholinyl)ethyl group, whether or not further substituted in the pyrrole ring to any extent and whether or not substituted in the naphthyl ring to any extent. (Other names in this structural class include but are not limited: JWH 307.)

(4) Naphthylmethylindenes. Any compound containing a naphthylmethyl indenes structure with substitution at the 3-position of the indene ring by an alkyl, haloalkyl, alkenyl, cycloalkylmethyl, cycloalkylethyl, 1-(N-methyl-2-piperidinyl)methyl, or 2-(4-morpholinyl)ethyl group, whether or not further substituted in the indene ring to any extent and whether or not substituted in the naphthyl ring to any extent. (Other names in this structural class include but are not limited to: JWH-176.)

(5) Phenylacetylindoles. Any compound containing a 3-phenylacetylindole structure with substitution at the nitrogen atom of the indole ring by an alkyl, haloalkyl, alkenyl, cycloalkylmethyl, cycloalkylethyl, 1-(N-methyl-2-piperidinyl)methyl, or 2-(4-morpholinyl)ethyl group, whether or not further substituted in the indole ring to any extent and whether or not substituted in the phenyl ring to any extent. (Other names in this structural class include but are not limited to: RCS-8 (SR-18), *JWH 201,* JWH 250, JWH 203, JWH-251, and JWH-302.)

(6) Cyclohexylphenols. Any compound containing a 2-(3-hydroxycyclohexyl)phenol structure with substitution at the 5-position of the phenolic ring by an alkyl, haloalkyl, alkenyl, cycloalkylmethyl, cycloalkylethyl, 1-(N-methyl-2-piperidinyl)methyl, or 2-(4-morpholinyl)ethyl group, whether or not substituted in the cyclohexyl ring to any extent. (Other names in this structural class include but are not limited to: CP 47,497 (and homologues (analogs)), cannabicyclohexanol, and CP 55,940.)

(7) Benzoylindoles. Any compound containing a 3-(benzoyl)indole structure with substitution at the nitrogen atom of the indole ring by an alkyl, haloalkyl, alkenyl, cycloalkylmethyl, cycloalkylethyl, 1-(N-methy1-2-piperidinyl)methyl, or 2-(4-morpholinyl)ethyl group, whether or not further substituted in the indole ring to any extent and whether or not substituted in the phenyl ring to any extent. (Other names in this structural class include but are not limited to: AM 694, Pravadoline (WIN 48,098), RCS 4, *AM-2233* and AM-679.)

(8) [2,3-Dihydro-5-methyl-3-(4-morpholinylmethyl)pyrrolo [1,2,3-de]-1, 4-benzoxazin-6-yl]-1-napthalenylmethanone. (Other names in this structural class include but are not limited to: WIN 55,212-2.)

(9) (6aR,10aR)-9-(hydroxymethyl)-6, 6-dimethyl-3-(2-methyloctan-2-yl)-6a,7,10, 10a- tetrahydrobenzo[c]chromen-1-ol. (Other names in this structural class include but are not limited to: HU-210.)

(10) (6aS, 10aS)-9-(hydrxymethyl)-6,6-demethyl-3-(2-methyloctan-2-yl)-6a,7,10,10a-tetrahydrobenzo{c}chromen-l-ol (Dezanabinol or HU-211)

(11) Adamantoylindoles. Any compound containing a 3-(1-adamantoyl)indole structure with substitution at the nitrogen atom of the indole ring by an alkyl, haloalkyl, alkenyl, cycloalkylmethyl, cycloalkylethyl, 1-(N-methyl-2-piperidinyl)methyl, or 2-(4-morpholinyl)ethyl group, whether or not further substituted in the adamantyl ring system to any extent. (Other names in this structural class include but are not limited to: AM-1248.)

(12) *Adamantoylindazoles including but not limited to Adamantyl Carboxamide Indazoles. Any compound containing a 3-(1-adamantoyl)indazole structure with substitution at the nitrogen atom of the indazole ring by an alkyl, haloalkyl, alkenyl, cycloalkylmethyl, cycloalkylethyl, 1-(N-methyl-2-piperidinyl)methyl, or 2-(4-morpholinyl)ethyl group, whether or not further substituted in the adamantyl ring system to any extent. (Other names in this structural class include but are not limited to: AKB-48, MAB-CHMINACA, 5F-AKB-48.)*

(13) Tetramethylcyclopropylcarbonylindoles or any compound structurally derived from 3-(2,2,3,3-tetramethylcyclopropylcarbonyl) indole by substitution at the nitrogen atom of the indole ring with alkyl,haloalkyl, alkenyl, cyanoalkyl, hydroxyalkyl, cycloalkylmethyl, cycloalkylethyl, (N-methylpiperidin-2-yl)methyl or 2-(4-morpholinyl)ethyl, whether or not further substituted in the indole ring to any extent, including without limitation the following: UR-11, XLR-11, A-796,260.

(14) Any other synthetic chemical compound that is a cannabinoid receptor agonist that is not listed in Schedules I through V of § 3306 of the Public Health Law, or is not an FDA approved drug.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire November 3, 2015.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of House Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

Statutory Authority:

The Public Health and Health Planning Council (PHHPC) is authorized

by Section 225 of the Public Health Law (PHL) to establish, amend and repeal sanitary regulations to be known as the State Sanitary Code (SSC) subject to the approval of the Commissioner of Health. PHL Section 225(5)(a) provides that the SSC may deal with any matter affecting the security of life and health of the people of the State of New York.

Legislative Objectives:

PHL Section 225(4) authorizes PHHPC, in conjunction with the Commissioner of Health, to protect public health and safety by amending the SSC to address issues that jeopardize health and safety. Accordingly, PHHPC has issued 10 NYCRR Part 9, which prohibits the possession, manufacture, distribution, sale or offer of synthetic phenethylamines and cannabinoids. This amendment would add additional chemicals to the list of explicitly prohibited synthetic cannabinoids.

Needs and Benefits:

"Synthetic cannabinoids" encompass a wide variety of chemicals that are designed specifically to stimulate the same receptor in the body as cannabinoid 9-tetrahydrocannabinol (THC). However, they cause additional side effects that mimic other controlled substances and have been linked to severe adverse reactions, including death and acute renal failure. Reported side effects include: tachycardia (increased heart rate); paranoid behavior, agitation and irritability; nausea and vomiting; confusion; drowsiness; headache; hypertension; electrolyte abnormalities; seizures; and syncope (loss of consciousness). Additional signs and symptoms of synthetic cannabinoids include: anxiety; tremor; hallucinations; and violent behavior. These effects can be similar to those of phencyclidine (PCP). It has been reported that some recent patients have presented with both somnolence (drowsiness) and bradycardia (decreased heart rate), some requiring endotracheal intubation.

Synthetic cannabinoids are frequently applied to plant materials and then packaged as incense, herbal mixtures or potpourri. They often carry a "not for human consumption" label, and are not approved for medical use in the United States. Products containing synthetic cannabinoids are, in actuality, consumed by individuals, most often by smoking, either through a pipe, a water pipe, or rolled in cigarette papers.

Products containing synthetic cannabinoids have become prevalent drugs of abuse. In 2012, before 10 NYCRR Part 9 was promulgated, calls to New York State Poison Control Centers relating to the consumption of synthetic cannabinoids had increased dramatically. Over half of the calls to the Upstate Poison Control Center in 2011 involved children under the age of 19, which was consistent with the results of a 2011 "Monitoring the Future" national survey of youth drug-use trends that showed that 11.4% of 12th graders used a synthetic cannabinoid during the twelve months prior to the survey, making it the second most commonly used illicit drug among high school seniors at the time.

In 2012, the Department issued 10 NYCRR Part 9, which addressed this emergent threat to public health by prohibiting the possession, manufacture, distribution, sale or offer of synthetic cannabinoids and other substances. Thereafter, New York State experienced a substantial decrease in reported cases of adverse health effects related to synthetic cannabinoid use, an achievement that was sustained until the early part of this year.

Recently, however, New York State experienced a dramatic increase in synthetic cannabinoid-related adverse events and emergency department visits. During April 1 to June 30, New York State has seen more than 1,900 emergency department visits and 680 poison control center calls due to reports of adverse health effects associated with synthetic cannabinoid use. This represents more than a tenfold increase over the same time period in 2014, when there was more than 150 emergency department visits and 50 poison control center calls reported. Nationally, there have been 15 synthetic cannabinoid-related deaths reported to poison control centers during from January to May of 2015. In New York, no fatalities have been reported to date, although there has been a 44% increase in the proportion of patients being admitted to critical care units from April 6 to June 30, 2015 when compared to the proportion of patients admitted to the critical care unit from Jan 1, 2011 to April 5, 2015. Calls received by poison control centers generally reflect only a small percentage of actual instances of poisoning.

Testing has identified synthetic cannabinoids that were not known to the Department in 2012, when 10 NYCRR Part 9 was first issued, and that are associated with the recent increase in cannabinoid-related adverse events and emergency department visits. Identifying these new synthetic cannabinoids in the regulation will simplify and enhance the efforts of local governments to control these dangerous chemicals.

Costs:

Costs to Private Regulated Parties:

The regulation imposes no new costs for private regulated parties.

Costs to State Government and Local Government:

There will be no additional cost to State Government. Local governments are already enforcing 10 NYCRR Part 9, which prohibits the possession, manufacture, distribution, sale or offer of synthetic phenethylamines and cannabinoids. The addition of these chemicals is expected to have negligible cost on local enforcement programs.

Local Government Mandates:

The SSC establishes a minimum standard for regulation of health and sanitation. Local governments can, and often do, establish more restrictive requirements that are consistent with the SSC through a local sanitary code. PHL § 228. Local governments have the power and duty to enforce the provisions of the State Sanitary Code, including 10 NYCRR Part 9, utilizing both civil and criminal options available. PHL § § 228, 229, 309(1)(f) and 324(1)(e).

Paperwork:

The regulation imposes no new reporting or filing requirements.

Duplication:

The federal Synthetic Drug Abuse Prevention Act of 2012 banned the sale and distribution of products containing the synthetic cannabinoids identified in this regulation, by placing them on the federal schedule I list of substances under the federal Controlled Substances Act (21 U.S.C. § 812[c]). This regulation does not conflict with or duplicate that federal law, because it provides local enforcement authority, which the federal law does not provide.

Alternatives:

The Department considered relying on the existing regulation to address these recently identified synthetic cannabinoids. However, the Department determined that amending the regulation to explicitly identify these substances would enhance state and local enforcement authority and more effectively address this public health threat.

Federal Standards:

As noted above, the Synthetic Drug Abuse Prevention Act of 2012 places synthetic cannabinoids on the federal schedule I list of substances under the federal Controlled Substances Act (21 U.S.C. § 812[c]). This regulation does not conflict with or duplicate that federal law, because it provides local enforcement authority, which the federal law does not provide.

Compliance Schedule:

Regulated parties should be able to comply with these regulations effective upon filing with the Secretary of State.

Regulatory Flexibility Analysis

Effect of Rule:

The amendment will affect only the small businesses that are engaged in selling products containing synthetic cannabinoids. The Department does not have information concerning the number of small businesses that currently sell these products. However, in 2011 and 2012, Commissioner's Orders were issued banning certain synthetic phenethylamines and synthetic cannabinoids, resulting in approximately 8,000 establishments being served with one or both Orders by public health authorities. Banned product was found in 286 of these locations. Subsequent to these efforts, the number of related complaints dropped significantly.

This regulation affects local governments by establishing a minimum standard regarding the possession, manufacture, distribution, sale or offer of sale of additional synthetic cannabinoids. Local governments have the power and duty to enforce the provisions of the State Sanitary Code, including Part 9, utilizing any civil and criminal remedies that may available. PHL § § 228, 229, 309(1)(f) and 324(e). Local governments are also empowered to establish a local sanitary code that is more restrictive than the State Sanitary Code.

Compliance Requirements:

Small businesses must comply by not engaging in any possession, manufacturing, distribution, sale, or offer of sale of the additional synthetic cannabinoids.

Local governments must comply by enforcing the State Sanitary Code. Local boards of health may impose civil penalties for a violation of this regulation of up to $2,000 per violation, pursuant to PHL § 309(1)(f). Pursuant to PHL § 229, local law enforcement may seek criminal penalties for a first offense of up to $250 and 15 days in prison, and for each subsequent offense up to $500 and 15 days in prison.

Professional Services:

Small businesses will need no additional professional services to comply. Local governments, in certain instances where local governments enforce, will need to secure laboratory services for testing of substances.

Compliance Costs:

Costs to Private Regulated Parties:

The regulation imposes no new costs for private regulated parties.

Costs to State Government and Local Government:

There will be no additional cost to State Government. Local governments are already enforcing 10 NYCRR Part 9, which prohibits the possession, manufacture, distribution, sale or offer of synthetic phenethylamines and cannabinoids. The addition of these chemicals is expected to have negligible cost on local enforcement programs.

Economic and Technological Feasibility:

Although there will be an impact on small businesses that sell these products, the prohibition is justified by the extremely dangerous nature of these products.

Minimizing Adverse Impact:
The New York State Department of Health will assist local governments by providing consultation, coordination and information and updates on its website.
Small Business and Local Government Participation:
The Department will work with local governments to provide technical information concerning the newly-listed synthetic cannabinoids.
Cure Period:
Violation of this regulation can result in civil and criminal penalties. In light of the magnitude of the public health threat posed by these substances, the risk that some small businesses will not comply with regulations and continue to make or sell or distribute the substance justifies the absence of a cure period.

Rural Area Flexibility Analysis

Pursuant to Section 202-bb of the State Administrative Procedure Act (SAPA), a rural area flexibility analysis is not required. These provisions apply uniformly throughout New York State, including all rural areas.
The proposed rule will not impose an adverse economic impact on rural areas, nor will it impose any additional reporting, record keeping or other compliance requirements on public or private entities in rural areas.

Job Impact Statement

Nature of the Impact:
The Department of Health does not expect there to be a positive or negative impact on jobs or employment opportunities.
Categories and Numbers Affected:
The Department anticipates no negative impact on jobs or employment opportunities as a result of the amended rule.
Regions of Adverse Impact:
The Department anticipates no negative impact on jobs or employment opportunities in any particular region of the state.
Minimizing Adverse Impact:
Not applicable.

NOTICE OF ADOPTION

School Immunization Requirements

I.D. No. HLT-11-15-00020-A
Filing No. 687
Filing Date: 2015-08-11
Effective Date: 2015-09-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Subpart 66-1 of Title 10 NYCRR.

Statutory authority: Public Health Law, sections 2164 and 2168

Subject: School Immunization Requirements.

Purpose: Update regulations to ensure children entering grades kindergarten through 12 receive adequate number of required immunizations.

Text or summary was published in the March 18, 2015 issue of the Register, I.D. No. HLT-11-15-00020-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of House Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

Assessment of Public Comment

The New York State Department of Health (NYSDOH) received 19 comments from school nurses and physicians during the comment period. Commenters strongly supported the proposed requirement that students complete the measles, mumps, rubella (MMR), diphtheria, tetanus, and pertussis (DTaP) and polio vaccine series upon kindergarten entry. Additional comments were as follows:

Comment: Amendments to the regulations should not take effect until the Fall of 2016. Schools need more time to update systems and materials and to communicate the new immunization requirements to parents. Many schools have already completed kindergarten registration, and some of those children entering kindergarten have already completed their kindergarten entry physical examinations for the 2015-16 school year. The new immunization requirements should be phased in over a series of years to ease implementation.

Response: The implementation of the proposed revisions to the immunization requirements in the 2015-16 school year is of utmost public health importance. From December 2014 through April 2015, the United States experienced a large, multi-state measles outbreak linked to an amusement park in California. In total, 147 people from seven states were reported to have measles linked to the outbreak; the majority were unvaccinated against measles. Three additional cases of measles have occurred in New York State (NYS), including New York City, since January 2015. Measles continues to circulate in many countries, including Canada, and international travelers continue to bring measles into the United States. Individuals who are not fully vaccinated against measles are at increased risk of contracting measles if they are exposed to it, and measles can spread rapidly among undervaccinated populations. Therefore, it is critically important that the NYSDOH ensure that children attending school in NYS are fully vaccinated with two doses of MMR vaccine prior to kindergarten entry.

Comment: All students who were enrolled during the 2013-14 school year should be deemed in compliance with immunization requirements if they had satisfied the immunization requirements in effect on June 30, 2014. Only new entrants and students in select grades should be required to meet the immunization requirements that took effect in the 2014-15 school year, with a phase-in of requirements for additional grades over a seven-year period.

Response: The 2014-15 varicella and polio requirements already included a seven-year phase-in period. The amendments for the 2015-16 school year would keep the seven-year phase-in of varicella and polio requirements intact.

As a result of changes already adopted in February 2014, existing school immunization regulations require students in all grades to receive age-appropriate doses of DTaP vaccine as established by the Advisory Committee on Immunization Practices (ACIP). Prior to July 1, 2014, students younger than grade six were only required to have three doses of DTaP vaccine. The revisions to regulations adopted in February 2014 required four or five doses, depending on age and vaccine history. Based on these most recent proposed revisions, students in grades six through twelve would still meet the 2014-15 DTaP vaccine requirements with three doses of DTaP vaccine plus a dose of Tdap vaccine – effectively the same requirement in effect on or before June 30, 2014.

Allowing students in grades five or below to be in compliance if they had met immunization requirements in effect on June 30, 2014 would not be in the best interests of public health. Four or five doses of DTaP vaccine, depending on age and vaccine history, are necessary for optimal protection against pertussis infection which continues to circulate widely in the United States. Therefore, it is of critical public health importance to ensure that students in all grades in NYS are fully vaccinated against pertussis.

Comment: School nurses have difficulty implementing a requirement that Tdap be received in grade six at 11 to 12 years of age because many children enter grade six at 10 years of age. Many school nurse hours are spent tracking 10 year old students who had not yet received Tdap upon entering grade six. The minimum age for Tdap vaccine should be decreased to 10 years of age or move the Tdap requirement to grade seven.

Response: Public Health Law § 2164 requires the administration of Tdap in grade six. A statutory change would be necessary to instead require Tdap for grade seven.

Comment: The NYSDOH should notify health care providers of the new school immunization requirements being adopted as a result of this rulemaking as soon as possible.

Response: The NYSDOH recognizes the importance of rapid, timely and complete education of providers on the new school immunization requirements. Health care provider professional associations have already been notified of the proposed amendments to regulations, and the NYSDOH will work through multiple channels to educate health care providers on the new requirements that are being adopted as a result of this rulemaking.

Comment: Health care providers, not schools, should be responsible for monitoring immunization intervals.

Response: PHL § 2164 requires schools to ensure that students comply with applicable immunization requirements. However, health care providers should follow best practices to ensure that vaccines are administered at appropriate ages and intervals. The NYSDOH will continue to work with health care providers to ensure that they are aware of minimum ages and intervals for vaccine doses, to implement systems to prevent administration of vaccine doses prior to minimum ages and intervals, and to encourage use of the New York State Immunization Information System and Citywide Immunization Registry to track vaccine intervals, appropriate schedules, and the validity of doses administered.

Comment: With respect to the amendment allowing a foreign immunization record to be accepted without a health care provider's signature, some foreign records list a student's name and date of birth on a separate page from the immunization history. If a parent submits only the immunization history, the school may be unable to verify that the documented immunization history belongs to the student.

Response: A complete official record from a foreign nation would be necessary to be considered an official record. If the parent submits only a portion of a foreign record, it would be deemed incomplete and the rest of

the document would need to be produced in order for the record to be considered an official record. The assessment of records must be handled on a case-by-case basis, but if the immunization record given does not include a name and date of birth, the record would be incomplete and not accepted as an official record.

Comment: The requirement for five doses of DTaP vaccine, or four doses if the fourth dose was given at four years of age or older, enables vaccine-hesitant parents to defer the fourth dose of DTaP vaccine until four years of age. Similarly, the requirement for four doses of polio vaccine, or three doses if the third dose was given at four years of age or older, could enable vaccine hesitant parents seeking to defer vaccination. All students should be required to have five doses of DTaP vaccine and four doses of polio vaccine.

Response: The NYSDOH agrees that all children should receive all doses of vaccine at the earliest recommended ages. The regulations, however, are aligned with the current ACIP recommendations for DTaP and polio. The ACIP does not recommend that a fifth dose of DTaP vaccine nor a fourth dose of polio vaccine be administered if the fourth and third doses of DTaP and polio vaccines, respectively, were administered on or after the fourth birthday. The final doses of DTaP and polio vaccine given on or after the fourth birthday boosts the immune system prior to kindergarten entry.

Higher Education Services Corporation

EMERGENCY RULE MAKING

New York State Get on Your Feet Loan Forgiveness Program

I.D. No. ESC-34-15-00001-E
Filing No. 676
Filing Date: 2015-08-06
Effective Date: 2015-08-06

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 2201.15 to Title 8 NYCRR.

Statutory authority: Education Law, sections 653, 655 and 679-g

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: This statement is being submitted pursuant to subdivision (6) of section 202 of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's ("HESC") Emergency Rule Making seeking to add a new section 2201.15 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

This regulation implements a statutory student financial aid program providing for awards to be made to students who receive their undergraduate degree from a college or university located in New York State in December 2014 and thereafter. Emergency adoption is necessary to avoid an adverse impact on the processing of awards to eligible applicants. The statute provides for student loan relief to such college graduates who continue to live in New York State upon graduation, earn less than $50,000 per year, participate in either the federal Pay as You Earn (PAYE) or Income Based Repayment (IBR) program, which cap a federal student loan borrower's payments at 10 percent of discretionary income, and apply for this program within two years after graduating from college. Eligible applicants will have up to twenty-four payments made on their behalf towards their federal income-based repayment plan commitment. For those students who graduated in December 2014, their first student loan payment will become due upon the expiration of their grace period in June 2015. Therefore, it is critical that the terms of this program as provided in the regulation be effective immediately in order for HESC to process applications so that timely payments can be made on behalf of program recipients. To accomplish this mandate, the statute further provides for HESC to promulgate emergency regulations to implement the program. For these reasons, compliance with section 202(1) of the State Administrative Procedure Act would be contrary to the public interest.

Subject: New York State Get on Your Feet Loan Forgiveness Program.

Purpose: To implement the New York State Get on Your Feet Loan Forgiveness Program.

Text of emergency rule: TITLE 8. EDUCATION DEPARTMENT

PART 2201. GENERAL ELIGIBILTY CRITERIA

New section 2201.15 is added to Title 8 of the New York Code, Rules and Regulations to read as follows:

Section 2201.15 New York State Get on Your Feet Loan Forgiveness Program.

(a) Definitions. As used in section 679-g of the education law and this section, the following terms shall have the following meanings:

(1) "Adjusted gross income" shall mean the applicant's adjusted gross income as reported to the Internal Revenue Service for the most recently completed tax year or may be that used by the U.S. Department of Education to qualify the applicant for the federal income-driven repayment plan. For a married applicant filing jointly, adjusted gross income shall mean the income of both the applicant and the applicant's spouse as reported to the Internal Revenue Service for the most recently completed tax year. For a married applicant filing separately, adjusted gross income shall mean only the applicant's income as reported to the Internal Revenue Service for the most recently completed tax year.

(2) "Award" shall mean a New York State Get on Your Feet Loan Forgiveness Program award pursuant to section 679-g of the education law.

(3) "Deferment" shall have the same meaning applicable to the William D. Ford Federal Direct Loan Program as set forth in 34 CFR Part 685.

(4) "Delinquent" shall mean the failure to timely pay a required scheduled payment on the student loan, or repayment obligation, within thirty days of such payment's due date.

(5) "Forbearance" shall have the same meaning applicable to the William D. Ford Federal Direct Loan Program as set forth in 34 CFR Part 685.

(6) "Income" shall mean the total adjusted gross income of the applicant and the applicant's spouse, if applicable.

(7) "Program" shall mean the New York State Get on Your Feet Loan Forgiveness Program.

(8) "Undergraduate degree" shall mean an associate or baccalaureate degree.

(b) Eligibility. An applicant must satisfy the following requirements:

(1) have graduated from a high school located in the State or attended an approved State program for a State high school equivalency diploma and received such diploma;

(2) have graduated and obtained an undergraduate degree from a college or university located in the State in or after the two thousand fourteen-fifteen academic year;

(3) apply for this program within two years of obtaining such undergraduate degree;

(4) not have earned a degree higher than an undergraduate degree at the time of application;

(5) be a participant in a federal income-driven repayment plan whose payment amount is generally ten percent of discretionary income;

(6) have income of less than fifty thousand dollars;

(7) comply with subdivisions three and five of section 661 of the education law;

(8) work in the State, if employed;

(9) not be delinquent or in default on a student loan made under any statutory New York State or federal education loan program or repayment of any New York State award; and

(10) be in compliance with the terms of any service condition imposed by a New York State award.

(c) Administration.

(1) An applicant for an award shall apply for program eligibility at such times, on forms and in a manner prescribed by the corporation. The corporation may require applicants to provide additional documentation evidencing eligibility.

(2) A recipient of an award shall:

(i) request payment at such times, on such forms and in a manner as prescribed by the corporation;

(ii) confirm he or she has adjusted gross income of less than fifty thousand dollars, is a resident of New York State, is working in New York State, if employed, and any other information necessary for the corporation to determine eligibility at such times prescribed by the corporation. Said submissions shall be on forms or in a manner prescribed by the corporation;

(iii) notify the corporation of any change in his or her eligibility status including, but not limited to, a change in address, employment, or income, and provide the corporation with current information;

(iv) not receive more than twenty four payments under this program; and

(v) provide any other information or documentation necessary for the corporation to determine compliance with the program's requirements.

(d) Amounts and duration.

(1) The amount of the award shall be equal to one hundred percent of the recipient's established monthly federal income-driven repayment plan payment.

(2) Disbursements shall be made directly to the servicer, or servicers, of the recipient's federal loans on a monthly basis.

(3) A maximum of twenty-four payments may be awarded, provided the recipient continues to satisfy the eligibility requirements set forth in section 679-g of the education law and the requirements set forth in this section.

(e) Disqualification. A recipient shall be disqualified from receiving further award payments under this program if he or she fails to satisfy any of the eligibility requirements or fails to respond to any request for information by the corporation.

(f) Renewed eligibility. A recipient who has been disqualified pursuant to subdivision (e) may reapply for this program and receive an award if he or she satisfies all of the eligibility requirements set forth in section 679-g of the education law and the requirements set forth in this section.

(g) Repayment. A recipient who is not a resident of New York State at the time any payment is made under this program shall be required to repay such payments to the corporation. In addition, at the corporation's discretion, a recipient may be required to repay any payment made under this program to a recipient that, at the time payment was made, should have been disqualified pursuant to subdivision (e). The corporation shall be entitled to recover such payments as follows:

(1) Interest shall begin to accrue on the day such payment was made on behalf of the recipient. In the event the recipient notifies the corporation of a change in residence within 30 days of such change, interest shall begin to accrue on the day such recipient was no longer a New York State resident.

(2) The interest rate shall be fixed and equal to the rate established in section 18 of the New York State Finance Law.

(3) Repayment must be made within five years.

(4) Where a recipient has demonstrated extreme hardship as a result of a disability, labor market conditions, or other such circumstances, the corporation may, in its discretion, waive or defer payment, extend the repayment period, or take such other appropriate action.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt this emergency rule as a permanent rule and will publish a notice of proposed rule making in the *State Register* at some future date. The emergency rule will expire November 3, 2015.

Text of rule and any required statements and analyses may be obtained from: Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

Regulatory Impact Statement

Statutory authority:

The New York State Higher Education Services Corporation's ("HESC") statutory authority to promulgate regulations and administer the New York State Get on Your Feet Loan Forgiveness Program ("Program") is codified within Article 14 of the Education Law. In particular, Part C of Chapter 56 of the Laws of 2015 created the Program by adding a new section 679-g to the Education Law. Subdivision 4 of section 679-g of the Education Law authorizes HESC to promulgate emergency regulations for the purpose of administering this Program.

Pursuant to Education Law § 652(2), HESC was established for the purpose of improving the post-secondary educational opportunities of eligible students through the centralized administration of New York State financial aid programs and coordinating the State's administrative effort in student financial aid programs with those of other levels of government.

In addition, Education Law § 653(9) empowers HESC's Board of Trustees to perform such other acts as may be necessary or appropriate to carry out the objects and purposes of the corporation including the promulgation of rules and regulations.

HESC's President is authorized, under Education Law § 655(4), to propose rules and regulations, subject to approval by the Board of Trustees, governing, among other things, the application for and the granting and administration of student aid and loan programs, the repayment of loans or the guarantee of loans made by HESC; and administrative functions in support of state student aid programs. Also, consistent with Education Law § 655(9), HESC's President is authorized to receive assistance from any Division, Department or Agency of the State in order to properly carry out his or her powers, duties and functions. Finally, Education Law § 655(12) provides HESC's President with the authority to perform such other acts as may be necessary or appropriate to carry out effectively the general objects and purposes of HESC.

Legislative objectives:

The Education Law was amended to add a new section 679-g to create the "New York State Get on Your Feet Loan Forgiveness Program" (Program). The objective of this Program is to ease the burden of federal student loan debt for recent New York State college graduates.

Needs and benefits:

More than any other time in history, a college degree provides greater opportunities for graduates than is available to those without a postsecondary degree. However, financing that degree has also become more challenging. According to a June 9, 2014 Presidential Memorandum issued by President Obama, over the past three decades, the average tuition at a public four-year college has more than tripled, while a typical family's income has increased only modestly. More students than ever are relying on loans to pay for college. Today, 71 percent of those earning a bachelor's degree graduate with debt, which averages $29,400. Many of these students feel burdened by debt, especially as they seek to start a family, buy a home, launch a business, or save for retirement. To ensure that student debt is manageable, the federal government enacted income-driven repayment plans, such as the Pay as You Earn (PAYE) plan, which caps a federal student loan borrower's payments at 10 percent of income.

Although New York's public colleges and universities offer among the lowest tuition in the nation, currently the average New York student graduates from college with a four-year degree saddled with more than $25,000 in student loans. Mounting student debt makes it difficult for recent graduates to deal with everyday costs of living, which often increases the amount of credit card and other debt they must take on in order to survive. To help mitigate the disparate growth in the cost of financing a postsecondary education, this Program offers financial aid relief to recent college graduates by providing up to twenty-four payments towards an eligible applicant's federal income-based student loan repayment plan commitment. Students who receive their undergraduate degree from a college or university located in New York State in December 2014 and thereafter, who continue to live in New York State upon graduation, earn less than $50,000 per year, participate in either the federal Pay as You Earn (PAYE) or applicable federal Income Based Repayment (IBR) program, and apply for this Program within two years after graduating from college are eligible for this Program.

Costs:

a. It is anticipated that there will be no new costs to the agency for the implementation of, or continuing compliance with this rule.

b. The maximum cost of the program to the State is $5.2 million in the first year based upon budget estimates.

c. It is anticipated that there will be no costs to local governments for the implementation of, or continuing compliance with, this rule.

d. The source of the cost data in (b) above is derived from the New York State Division of the Budget.

Local government mandates:

No program, service, duty or responsibility will be imposed by this rule upon any county, city, town, village, school district, fire district or other special district.

Paperwork:

This proposal will require applicants to file an electronic application for eligibility and payment together with supporting documentation.

Duplication:

No relevant rules or other relevant requirements duplicating, overlapping, or conflicting with this rule were identified.

Alternatives:

The proposed regulation is the result of HESC's outreach efforts to the U.S. Department of Education with regard to this Program. Several alternatives were considered in the drafting of this regulation. For example, several alternatives were considered in defining terms used in the regulation as well as the administration of the Program. Given the statutory language as set forth in section 679-g of the Education Law, a "no action" alternative was not an option.

Federal standards:

This proposal does not exceed any minimum standards of the Federal Government. Since this Program is intended to supplement federal repayment programs, efforts were made to align the Program with the federal programs.

Compliance schedule:

The agency will be able to comply with the regulation immediately upon its adoption.

Regulatory Flexibility Analysis

This statement is being submitted pursuant to subdivision (3) of section 202-b of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's ("HESC") Emergency Rule Making, seeking to add a new section 2201.15 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse economic impact on small businesses or local governments. HESC finds that this rule will not impose any compliance requirement or adverse economic impact on small businesses or local governments. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that eases the

burden of federal student loan debt for recent New York State college graduates who continue to live in the State. Providing students with direct financial assistance will encourage students to attend college in New York State and remain in the State following graduation, which will provide an economic benefit to the State's small businesses and local governments as well.

Rural Area Flexibility Analysis

This statement is being submitted pursuant to subdivision (4) of section 202-bb of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Emergency Rule Making, seeking to add a new section 2201.15 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse impact on rural areas. Rather, it has potential positive impacts inasmuch as it implements a statutory student financial aid program that eases the burden of federal student loan debt for recent New York State college graduates who continue to live in the State. Providing students with direct financial assistance will encourage students to attend college in New York State and remain in the State following graduation, which benefits rural areas around the State as well.

This agency finds that this rule will not impose any reporting, record keeping or other compliance requirements on public or private entities in rural areas.

Job Impact Statement

This statement is being submitted pursuant to subdivision (2) of section 201-a of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Emergency Rule Making seeking to add a new section 2201.15 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not have any negative impact on jobs or employment opportunities. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that eases the burden of federal student loan debt for recent New York State college graduates who continue to live in the State. Providing students with direct financial assistance will encourage students to attend college in New York State and remain in the State following graduation, which benefits the State as well.

EMERGENCY RULE MAKING

New York State Achievement and Investment in Merit Scholarship (NY-AIMS)

I.D. No. ESC-34-15-00002-E

Filing No. 677

Filing Date: 2015-08-06

Effective Date: 2015-08-06

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 2201.16 to Title 8 NYCRR.

Statutory authority: Education Law, sections 653, 655 and 669-g

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: This statement is being submitted pursuant to subdivision (6) of section 202 of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's ("HESC") Emergency Rule Making seeking to add a new section 2201.16 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

This regulation implements a statutory student financial aid program providing for awards to be made to students beginning with the fall 2015 term, which generally starts in August. Emergency adoption is necessary to avoid an adverse impact on the processing of awards to eligible scholarship applicants. The statute provides New York high school graduates who excel academically with merit-based scholarships to support their cost of attendance at any college or university located in New York State. Five thousand awards, of $500 each, will be granted annually in 2015-16 and 2016-17. Decisions on applications for this Program are made prior to the beginning of the term. Therefore, it is critical that the terms of this program as provided in the regulation be effective immediately so that students can make informed choices and in order for HESC to process scholarship applications in a timely manner. To accomplish this mandate, the statute further provides for HESC to promulgate emergency regulations to implement the program. For these reasons, compliance with section 202(1) of the State Administrative Procedure Act would be contrary to the public interest.

Subject: New York State Achievement and Investment in Merit Scholarship (NY-AIMS).

Purpose: To implement The New York State Achievement and Investment in Merit Scholarship (NY-AIMS).

Text of emergency rule: TITLE 8. EDUCATION DEPARTMENT

PART 2201. GENERAL ELIGIBILITY CRITERIA

New section 2201.16 is added to Title 8 of the New York Code, Rules and Regulations to read as follows:

Section 2201.16 The New York State Achievement and Investment in Merit Scholarship (NY-AIMS).

(a) Definitions. As used in section 669-g of the Education Law and this section, the following terms shall have the following meanings:

(1) "Good academic standing" shall have the same meaning as set forth in section 665(6) of the education law.

(2) "Grade point average" shall mean the student's numeric grade calculated on the standard 4.0 scale.

(3) "Program" shall mean The New York State Achievement and Investment in Merit Scholarship codified in section 669-g of the education law.

(4) "Unmet need" for the purpose of determining priority shall mean the cost of attendance, as determined for federal Title IV student financial aid purposes, less all federal, State, and institutional higher education aid and the expected family contribution based on the federal formula.

(b) Eligibility. An applicant must:

(1) have graduated from a New York State high school in the 2014-15 academic year or thereafter; and

(2) enroll in an approved undergraduate program of study in a public or private not-for-profit degree granting post-secondary institution located in New York State beginning in the two thousand fifteen-sixteen academic year or thereafter; and

(3) have achieved at least two of the following during high school:

(i) Graduated with a grade point average of 3.3 or above;

(ii) Graduated with a "with honors" distinction on a New York State regents diploma or receive a score of 3 or higher on two or more advanced placement examinations; or

(iii) Graduated within the top fifteen percent of their high school class, provided that actual class rank may be taken into consideration; and

(4) satisfy all other requirements pursuant to section 669-g of the education law; and

(5) satisfy all general eligibility requirements provided in section 661 of the education law including, but not limited to, full-time attendance, good academic standing, residency and citizenship.

(c) Distribution and priorities. In each year, new awards made shall be proportionate to the total new applications received from eligible students enrolled in undergraduate study at public and private not-for-profit degree granting institutions. Distribution of awards shall be made in accordance with the provisions contained in section 669-g(3)(a) of the education law within each sector. In the event that there are more applicants who have the same priority than there are remaining scholarships or available funding, awards shall be made in descending order based on unmet need established at the time of application. In the event of a tie, distribution shall be made by means of a lottery or other form of random selection.

(d) Administration.

(1) Applicants for an award shall apply for program eligibility at such times, on forms and in a manner prescribed by the corporation. The corporation may require applicants to provide additional documentation evidencing eligibility.

(2) Recipients of an award shall:

(i) request payment annually at such times, on forms and in a manner specified by the corporation;

(ii) receive such awards for not more than four academic years of undergraduate study, or five academic years if the program of study normally requires five years as defined by the commissioner pursuant to Article 13 of the education law; and

(iii) provide any information necessary for the corporation to determine compliance with the program's requirements.

(e) Awards.

(1) The amount of the award shall be determined in accordance with section 669-g of the education law.

(2) Disbursements shall be made annually to institutions on behalf of recipients.

(3) Awards may be used to offset the recipient's total cost of attendance determined for federal Title IV student financial aid purposes or may be used in addition to such cost of attendance.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt this emergency rule as a permanent rule and

will publish a notice of proposed rule making in the *State Register* at some future date. The emergency rule will expire November 3, 2015.

Text of rule and any required statements and analyses may be obtained from: Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

Regulatory Impact Statement

Statutory authority:

The New York State Higher Education Services Corporation's ("HESC") statutory authority to promulgate regulations and administer The New York State Achievement and Investment in Merit Scholarship (NY-AIMS), hereinafter referred to as "Program", is codified within Article 14 of the Education Law. In particular, Part Z of Chapter 56 of the Laws of 2015 created the Program by adding a new section 669-g to the Education Law. Subdivision 6 of section 669-g of the Education Law authorizes HESC to promulgate emergency regulations for the purpose of administering this Program.

Pursuant to Education Law § 652(2), HESC was established for the purpose of improving the post-secondary educational opportunities of eligible students through the centralized administration of New York State financial aid programs and coordinating the State's administrative effort in student financial aid programs with those of other levels of government.

In addition, Education Law § 653(9) empowers HESC's Board of Trustees to perform such other acts as may be necessary or appropriate to carry out the objects and purposes of the corporation including the promulgation of rules and regulations.

HESC's President is authorized, under Education Law § 655(4), to propose rules and regulations, subject to approval by the Board of Trustees, governing, among other things, the application for and the granting and administration of student aid and loan programs, the repayment of loans or the guarantee of loans made by HESC; and administrative functions in support of state student aid programs. Also, consistent with Education Law § 655(9), HESC's President is authorized to receive assistance from any Division, Department or Agency of the State in order to properly carry out his or her powers, duties and functions. Finally, Education Law § 655(12) provides HESC's President with the authority to perform such other acts as may be necessary or appropriate to carry out effectively the general objects and purposes of HESC.

Legislative objectives:

The Education Law was amended to add a new section 669-g to create The New York State Achievement and Investment in Merit Scholarship (NY-AIMS). The objective of this Program is to grant merit-based scholarship awards to New York State high school graduates who achieve academic excellence.

Needs and benefits:

The cost to attain a postsecondary degree has increased significantly over the years; alongside this growth, the financing of that degree has become increasingly challenging. According to a June 9, 2014 Presidential Memorandum issued by President Obama, over the past three decades, the average tuition at a public four-year college has more than tripled, while a typical family's income has increased only modestly. All federal student financial aid and a majority of state student financial aid programs are conditioned on economic need. Despite stagnant growth in household incomes, there continues to be far fewer academically-based financial aid programs, which are awarded to students regardless of assets or income. This has resulted in more limited financial aid options for those who are ineligible for need-based aid. Concurrently, greater numbers of students are relying on loans to pay for college. Today, 71 percent of those earning a bachelor's degree graduate with student loan debt averaging $29,400. Many of these students feel burdened by their college loan debt, especially as they seek to start a family, buy a home, launch a business, or save for retirement.

This Program cushions the disparate growth in the cost of a postsecondary education by providing New York State high school graduates who excel academically with merit-based scholarships to support their cost of attendance at any college or university located in the State for up to four years of undergraduate study (or five years if enrolled in a five-year program). Five thousand awards, of $500 each, will be granted annually in 2015-16 and 2016-17.

Costs:

a. It is anticipated that there will be no new costs to the agency for the implementation of, or continuing compliance with this rule.

b. The maximum cost of the program to the State is $2.5 million in the first year based upon budget estimates.

c. It is anticipated that there will be no costs to local governments for the implementation of, or continuing compliance with, this rule.

d. The source of the cost data in (b) above is derived from the New York State Division of the Budget.

Local government mandates:

No program, service, duty or responsibility will be imposed by this rule upon any county, city, town, village, school district, fire district or other special district.

Paperwork:

This proposal will require applicants to file an electronic application for eligibility and payment together with supporting documentation.

Duplication:

No relevant rules or other relevant requirements duplicating, overlapping, or conflicting with this rule were identified.

Alternatives:

The proposed regulation is the result of HESC's outreach efforts to financial aid professionals with regard to this Program. Several alternatives were considered in the drafting of this regulation. For example, several alternatives were considered in defining terms used in the regulation as well as the administration of the Program. Given the statutory language as set forth in section 669-g of the Education Law, a "no action" alternative was not an option.

Federal standards:

This proposal does not exceed any minimum standards of the Federal Government and efforts were made to align it with similar federal subject areas as evidenced by the adoption of the federal definitions/methodology concerning unmet need, expected family contribution, and cost of attendance.

Compliance schedule:

The agency will be able to comply with the regulation immediately upon its adoption.

Regulatory Flexibility Analysis

This statement is being submitted pursuant to subdivision (3) of section 202-b of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's ("HESC") Emergency Rule Making, seeking to add a new section 2201.16 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse economic impact on small businesses or local governments. HESC finds that this rule will not impose any compliance requirement or adverse economic impact on small businesses or local governments. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that provides merit-based scholarships to students who pursue their undergraduate degree at any college or university located in New York State. Providing students with direct financial assistance will encourage them to attend college in New York State, which will provide an economic benefit to the State's small businesses and local governments as well.

Rural Area Flexibility Analysis

This statement is being submitted pursuant to subdivision (4) of section 202-bb of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Emergency Rule Making, seeking to add a new section 2201.16 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse impact on rural areas. Rather, it has potential positive impacts inasmuch as it implements a statutory student financial aid program that provides merit-based scholarships to students who pursue their undergraduate degree at any college or university located in New York State. Providing students with direct financial assistance will encourage them to attend college in New York State, which benefits rural areas around the State as well.

This agency finds that this rule will not impose any reporting, record keeping or other compliance requirements on public or private entities in rural areas.

Job Impact Statement

This statement is being submitted pursuant to subdivision (2) of section 201-a of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Emergency Rule Making seeking to add a new section 2201.16 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not have any negative impact on jobs or employment opportunities. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that provides merit-based scholarships to students who pursue their undergraduate degree at any college or university located in New York State. Providing students with direct financial assistance will encourage them to attend college in New York State and possibly seek employment opportunities in the State as well, which will benefit the State.

State Liquor Authority

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Update Outdated Application Procedures and Eliminate Archaic Physical Standards for Certain Licenses Types

I.D. No. LQR-34-15-00029-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: This is a consensus rule making to amend sections 40.3, 40.4, 46.1, 47.4, 48.4 and 65.2 of Title 9 NYCRR.

Statutory authority: Alcoholic Beverage Control Law, sections 64(2), 64-a(3), 99-d(4), 101-b(4) and 109(1)

Subject: Update outdated application procedures and eliminate archaic physical standards for certain licenses types.

Purpose: To update application procedures, codify current online filing practices and eliminate archaic restrictions on certain licenses.

Text of proposed rule: § 40.3 Date for filing

(a) Applications for the renewal of licenses must be filed within the period prescribed by the Liquor Authority, as set forth in the instructions accompanying the renewal application blanks mailed to licensees.

(b) No application for the renewal of a license will be accepted more than [1] *30* days after the expiration of the preceding license period, except for good cause shown.

§ 40.4 Use of forms

(a) Renewal application forms must be used in the following instances:

(1) Generally. Where there has been no change in the licensee, the location of premises, or the type of license, and there will be no such change at the commencement of the new license period.

(2) Dissolution of partnership. Where one or more members of a partnership licensee are retiring from the partnership at the commencement of the new license period, and the remaining partners intend to continue the business after that date. In such cases, the renewal application must be accompanied by an [affidavit, in duplicate, setting forth all the facts pertaining to the dissolution] *endorsement application*, signed by the outgoing and the remaining partners. The renewal application must be executed by all the remaining partners.

(3) Death of a licensee. (i) Where the licensee, or one of the members of a licensed partnership dies before the renewal application is filed, and a certificate of endorsement under section 122 of the law [Alcoholic Beverage Control Law] has been applied for and issued, the renewal application must then be made out to conform to the endorsement certificate.

(ii) Where the licensee, or a member of a licensed partnership dies after the renewal application has been filed, the zone office must be immediately notified and instructions thereafter issued by the zone office must be followed.

(b) Renewal application forms may not be used under the following circumstances:

(1) Sale of the licensed business from an individual or corporation to another individual or corporation.

(2) Formation of a new partnership or the addition of a new member to an existing partnership.

In each of the above cases an original form of application must be filed [with the appropriate local board, if a retailer, or the appropriate zone office, if a manufacturer or wholesaler] *on a form and in a manner as designated by the Authority*.

§ 46.1 Applications for corporate change involving two or more licensed premises

(a) Where the same corporation operates two or more premises separately licensed under this chapter (L.1963, ch. 204), the licensee shall file one corporate change application and attach thereto a list of all licenses issued by the Authority in the name of the licensee. The application shall be filed, together with the appropriate fee, with the State Liquor Authority [at its New York City office, if any of the licensed premises is located in Zone I; where none is so located, the application shall be filed and processed at the Authority's Albany office, if any of the licensed premises is located in Zone II; where all are in Zone III, the application shall be filed and processed at the Authority's Buffalo office] *on a form and in a manner as designated by the Authority*.

[(b) Copies of the Authority's determination, either approving or disapproving the corporate change, shall be forwarded for filing in the local board and zone office folders relating to each licensed premises.]

§ 47.4 Applications

Applications for permission to make alterations shall be filed [in triplicate as follows:

Manufacturers, wholesalers and vendors shall file with the New York City Office of the Authority at New York City. Retail licensees, except holders of vendors licenses, shall file with the appropriate local A.B.C. Board] *on a form and in a manner as designated by the Authority*.

§ 48.4 Physical standards

(a) No on-premises licenses shall be issued except where the premises comply with all statutory requirements. In addition, each such premises, when situated on or about the street level, shall have one or more windows which shall be so constructed as to afford clear visibility from the exterior and throughout the interior of said premises.

(b) No on-premises licenses shall be issued to premises described in subdivisions (b), (d), (e) and (f) of section 48.1 of this Part unless a particular location or locations shall be designated for the sale and service of alcoholic beverages which, if approved by the Authority, shall be deemed the licensed premises.

(1) Each such premises shall be under the exclusive dominion and control of the licensee and the sale and service of alcoholic beverages and the consumption of liquor and wine shall be confined thereto.

(2) In premises described in subdivisions (d), (e) and (f) of section 48.1 of this Part, the licensed premises shall be enclosed by a permanent wall or partition at least eight feet high.

(c) On-premises licenses may be issued to premises described in subdivision (c) of section 48.1 of this Part with due regard for the functional and traditional layouts of such premises. Any stand-up bar shall be in an area where seating at tables is provided for patrons [and where such premises is in a bowling establishment, such area shall be enclosed by permanent walls or partitions at least eight feet high].

(d) General physical standards. The following standards shall be applicable to all on-premises licenses:

(1) Each premises licensed hereunder shall have seating for patrons at tables, except that the Authority, in its discretion, may permit a bar in any premises described in subdivision (b) of section 48.1 of this Part without requiring seating at tables.

(2) Each premises licensed hereunder shall provide separate sanitary facilities for both sexes. The provision of such facilities may be waived by the Authority provided there is a satisfactory showing that such facilities are in an area adjacent or proximate to the licensed premises and available to the patrons thereof.

[(3) Each premises licensed hereunder shall, at all times during the hours such premises is open for business, be illuminated by sufficient lighting such as will permit a person therein to read nine-point print of the kind generally used in the average newspaper. Nothing herein contained shall, however, be construed as prohibiting temporary dimming of lights during a period of regular entertainment or other special occasions and during any performance in any premises described in subdivision (b) of section 48.1 of this Part.]

§ 65.2 Filing of schedules

(a) Each schedule filed under this section shall identify the filer by name, address and license number and set forth such information as is required by subdivision 3(a) or 3(b) of section 101-b of the (Alcoholic Beverage Control Law), whichever is appropriate. In addition, the brand label registration number of each brand of liquor or wine listed therein shall also be designated.

(b) Where a schedule of prices to wholesalers is filed by the brand owner, the listing of items of brands owned by the filer should be preceded by the words "as brand owner". Where filed as agent the listing of items should be preceded by the words "as agent" followed by identification of the brand owner.

(c) In schedules of prices to retailers the listing of brands owned by the filer should be preceded by the words "as brand owner"; the listing of brands for which the filer is the brand agent should be preceded by the words "as agent"; and where the filer is neither the brand owner nor brand agent the listing should be preceded by the name of the licensee who registers the brand label.

(d) Where a manufacturer or wholesaler holds more than one license, a separate schedule of prices to retailers shall be filed by such licensee for each licensed premises.

(e) There shall be filed with the State Liquor Authority's *website* [Wholesale Bureau in Albany six] *a copy*[ies] of each schedule of prices to wholesalers and [six copies of] each schedule of prices to retailers *on a form and in a manner as designated by the Authority*.

(f) Schedules of prices to wholesalers and the applicable affirmation shall be filed on or before the 25th day of each month and shall become effective on the first day of the second succeeding calendar month, unless otherwise ordered by the authority. When the 25th day of the month falls on a Saturday, Sunday or legal holiday, such schedules and affirmation shall be filed on the following business day.

(g) Schedules of prices to retailers shall be filed on or before the fifth calendar day of each month unless otherwise ordered by the Liquor Authority. When the fifth day of the month falls on a Saturday, Sunday or legal holiday, such schedules shall be filed on the following business day.

(h) Six copies of each amended schedule of prices to retailers shall be filed no later than the 20th day of the month prior to the effective date of the schedule being amended. When the 20th day of the month falls on a Saturday, Sunday or legal holiday, such amended schedule may be filed on the following business day.

(i) The schedules filed each month which contain a listing of all brands of liquor and wine which are to be sold shall be known as master schedules. The Liquor Authority may permit or require the filing of short form schedules for any particular month in place of the master schedule. Short form schedules shall show all new items, price changes, or items discontinued since the last filing of a master schedule. All schedules shall contain such statements as the Liquor Authority may permit or require.

Text of proposed rule and any required statements and analyses may be obtained from: Paul Karamanol, Senior Attorney, State Liquor Authority, 80 South Swan Street, Suite 900, Albany, NY 12210, (518) 474-3114, email: paul.karamanol@sla.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Consensus Rule Making Determination

This statement is being submitted pursuant to subparagraph (i) of paragraph (b) of subdivision (1) of section 202 of the State Administrative Procedure Act and in support of the New York State Liquor Authority's ("Authority") Notice of Proposed Rulemaking seeking to amend Parts 40.3, 40.4, 46.1, 47.4, 48.4 and 65.2 of Title 9, Subtitle B, of the Official Compilation of Codes, Rules and Regulations of the State of New York (N.Y.C.R.R.)

It is apparent from the nature and purpose of these proposed amendments that no person is likely to object to their adoption as written. Part 40.3 sets forth filing and time period information for Renewal Applications and the proposed change updates the outdated reference therein from 10 to 30 days after expiration of a license for proper filing of a renewal application, thereby codifying current practices. Part 40.4 sets forth information regarding proper utilization of Renewal Applications and the proposed change would specify the use of an Endorsement Application in certain instances as well as provide for online applications, thereby codifying current practices. Part 46.1 sets forth filing information for Corporate Change Applications involving two or more licensed premises and the proposed change would provide for online applications, thereby codifying current practices. Part 47.4 sets forth filing information for Alteration Applications and the proposed change would provide for online applications, thereby codifying current practices. Part 48.4 sets forth physical standards for on-premises liquor and wine licenses and the proposed changes eliminate archaic provisions requiring walls or partitions in bowling alleys as well as minimum lighting requirements such as to enable the reading of nine-point type. Part 65.2 sets forth filing information for price postings of liquor and wine and provides for online price postings, thereby codifying current practices.

Consistent with the definition of "consensus rule" as set forth in section 102(11) of the State Administrative Procedure Act, the Authority has determined that this proposal, which updates multiple incorrect references to application processes, codifies current procedures for online applications, and eliminates some archaic physical standards restrictions for on-premises licenses, is non-controversial in nature and, therefore, no person is likely to object to its adoption as written.

Job Impact Statement

This statement is being submitted pursuant to subdivision (2) of section 201-a of the State Administrative Procedure Act and in support of the New York State Liquor Authority's ("Authority") Notice of Proposed Rulemaking seeking to amend Parts 40.3, 40.4, 46.1, 47.4, 48.4 and 65.2 of Title 9, Subtitle B, of the Official Compilation of Codes, Rules and Regulations of the State of New York (N.Y.C.R.R.)

It is apparent from the nature and purpose of these proposed amendments that they have no impact on jobs or employment opportunities in New York. These proposed amendments merely update multiple incorrect references to application processes, codify current procedures for online applications, and eliminate some archaic physical standards restrictions for on-premises licenses. As a result, the Authority has determined that these proposed amendments will have no substantial adverse impact on any private or public sector jobs or employment opportunities and a full Job Impact Statement is not warranted.

Office of Mental Health

NOTICE OF ADOPTION

Personalized Recovery Oriented Services (PROS)

I.D. No. OMH-23-15-00003-A
Filing No. 685
Filing Date: 2015-08-11
Effective Date: 2015-08-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 512 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 7.09(b), 31.04(b), 43.02(b); Social Services Law, sections 364(3) and 364-a(1)

Subject: Personalized Recovery Oriented Services (PROS).

Purpose: Add language back into regulation that had been erroneously eliminated in a previous rulemaking.

Text or summary was published in the June 10, 2015 issue of the Register, I.D. No. OMH-23-15-00003-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Sue Watson, NYS Office of Mental Health, 44 Holland Avenue, Albany, NY 12229, (518) 474-1331, email: regs@omh.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Public Access to Records of the Office of Mental Health

I.D. No. OMH-24-15-00001-A
Filing No. 686
Filing Date: 2015-08-11
Effective Date: 2015-08-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 510.5 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, section 5.09; and Public Officers Law (Freedom of Information Law), art. 6

Subject: Public Access to Records of the Office of Mental Health.

Purpose: Make a technical correction regarding the agency's records access officer.

Text or summary was published in the June 17, 2015 issue of the Register, I.D. No. OMH-24-15-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Sue Watson, NYS Office of Mental Health, 44 Holland Avenue, Albany, NY 12229, (518) 474-1331, email: regs@omh.ny.gov

Assessment of Public Comment

The agency received no public comment.

Public Service Commission

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Notice of Intent to Submeter Electricity

I.D. No. PSC-34-15-00014-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Public Service Commission is considering whether

to grant, deny or modify, in whole or part, the Notice of Intent to submeter electricity filed by Herkimer Street Residence, L.P., for the building located at 437 Herkimer Street, Brooklyn, New York.

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of Intent to Submeter electricity.

Purpose: To consider the request of Herkimer Street Residence, L.P. to submeter electricity at 437 Herkimer Street, Brooklyn, New York.

Substance of proposed rule: The Public Service Commission is considering whether to grant, deny or modify, in whole or part, the Notice of Intent to Submeter Electricity, filed by Herkimer Street Residence, L.P., at 437 Herkimer Street, Brooklyn, New York, located in the Territory of Consolidated Edison Company of New York, Inc., and to take other actions necessary to address the Notice of Intent.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: Elaine.Agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0438SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Proposals for Changes to the Electronic Data Interchange Standards

I.D. No. PSC-34-15-00015-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a ''Report on EDI Standards Development'' filed on July 24, 2015 by the New York Electronic Data Exchange Working Group, proposing changes to the Electronic Data Interchange Standards.

Statutory authority: Public Service Law, sections 5(1)(b), 65(1), (2), (3), 66(1), (2), (3), (5) and (8)

Subject: Proposals for changes to the Electronic Data Interchange Standards.

Purpose: To consider proposals for changes to the Electronic Data Interchange Standards.

Substance of proposed rule: The Public Service Commission is considering a ''Report on EDI Standards Development'' filed on July 24, 2015 by the New York Electronic Data Exchange Working Group, proposing changes to the Electronic Data Interchange Standards. The Commission may adopt, reject, or modify, in whole or in part, the proposed modifications, and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: elaine.agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(12-M-0476SP12)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Financing Proposed by Cricket Valley Energy Center, LLC

I.D. No. PSC-34-15-00016-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering whether to grant, deny or modify in whole or in part the petition of Cricket Valley Energy Center, LLC for financing authority.

Statutory authority: Public Service Law, section 69

Subject: Financing proposed by Cricket Valley Energy Center, LLC.

Purpose: To consider the financing proposed by Cricket Valley Energy Center, LLC.

Substance of proposed rule: The Public Service Commission is considering a petition filed on July 31, 2015 by Cricket Valley Energy Center, LLC requesting authority, pursuant to Public Service Law (PSL) Section 69, to enter into debt instruments (including, but not limited to, a suite of loans, letters of credit and other debt facilities) in the amount not to exceed $1.5 billion. The Commission may adopt, reject or modify, in whole or in part, the relief requested and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: Elaine.Agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0454SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Abandonment of the Haverstraw Desalinization Plant

I.D. No. PSC-34-15-00017-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering whether or not to authorize United Water New York to abandon its proposed desalinization plant in Haverstraw, NY.

Statutory authority: Public Service Law, section 89-b

Subject: Abandonment of the Haverstraw desalinization plant.

Purpose: To determine if abandoning the desalinization plant is in the public interest.

Substance of proposed rule: In 2006, the Public Service Commission (Commission) ordered United Water New York, Inc. (UWNY) to identify and pursue a new long-term water supply source to be in service by 2015, in order to address projected increases in demand. Since that time, demand has not matched expectations and public opposition to UWNY's project, a desalinization plant in Haverstraw NY, has grown. The Commission is now considering whether it is in the public interest to authorize UWNY to abandon the Haverstraw desalinization project.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: Elaine.Agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(13-W-0303SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Tariff Filing by Fillmore Gas Co., Inc. to Modify the SIP Mechanism

I.D. No. PSC-34-15-00018-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Public Service Commission is considering whether to grant, deny or modify a tariff filing by Fillmore Gas Co., Inc. to modify the System Improvement Plan (SIP) to allow for recovery of costs associated with gas expansion.

Statutory authority: Public Service Law, sections 65, 66(1), (2) and (e)

Subject: Tariff filing by Fillmore Gas Co., Inc. to modify the SIP Mechanism.

Purpose: To consider the tariff filing by Fillmore Gas Co., Inc. to modify the SIP Mechanism.

Substance of proposed rule: The Public Service Commission is considering whether to grant, modify or deny, in whole or in part, a tariff amendment filed on July 14, 2015 by Fillmore Gas Company, Inc. (Fillmore or the Company) which would modify the existing System Improvement Plan (SIP) Mechanism, which addresses the costs associated with the replacement of bare steel pipe, back-lot mains and services utilizing a risk-based approach. The SIP was established by Commission Order in Case 13-G-0039, issued November 18, 2013. The Company proposes to modify the SIP Mechanism to address gas expansion by allowing the recovery in the SIP of fixed costs (depreciation and pre-tax return) of distribution system expansion costs, including the costs of all gas mains, services and meters installed for new customers.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(15-G-0404SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Petition by NYCOM Requesting Assistance with Obtaining Information on CLECs and ESCOs

I.D. No. PSC-34-15-00021-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by the New York State Conference of Mayors and Municipal Officials (NYCOM) requesting assistance with obtaining information on CLECs and ESCOs.

Statutory authority: Public Service Law, sections 5(1)(b) and 66(1)

Subject: Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs.

Purpose: To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs.

Substance of proposed rule: The Commission is considering a petition filed by the New York State Conference of Mayors and Municipal Officials (NYCOM) requesting assistance with obtaining information on the competitive local exchange telephone companies (CLECs) and energy services companies (ESCOs) that sell electric and/or natural gas supply within individual municipal borders. With respect to CLECs, NYCOM seeks a requirement to notify local municipalities or the Commission (with that information made available to local municipalities) when a CLEC acquires at least one customer in a municipality. With respect to ESCOs, NYCOM is seeking information currently in the possession of the local distribution companies (LDCs) responsible for delivering gas and electric service. The Commission may adopt, reject, or modify, in whole or in part, the relief proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: elaine.agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.
(15-M-0457SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Waiver of Rules Regarding Letters of Credit for New Developments

I.D. No. PSC-34-15-00025-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering whether to approve, reject, in whole or in part, or modify a petition filed by The Walking Meadows Development seeking waiver of Commission rules and utility tariffs related to extensions of electric and gas service.

Statutory authority: Public Service Law, section 66(12)

Subject: Waiver of rules regarding letters of credit for new developments.

Purpose: Determine whether to warrant a waiver of rules regarding letters of credit for new developments.

Substance of proposed rule: The Public Service Commission is considering whether to adopt, modify or reject a petition filed by the developer of the Walking Meadows residential development in Marcy, NY (Petitioner). Petitioner requests a waiver of 16 NYCRR Section 100.3(b) deposits by applicants for new construction of underground facilities in residential subdivisions, and Niagara Mohawk Power Corporation d/b/a National Grid's (National Grid) related tariffs, PSC 220 Section 16.6.3 and PSC 219 Section 10.4. Those rules provide that a developer may be required to provide a deposit or a letter of credit to have facilities installed for units in that development before service is actually required. Further, the rules state that five years after the facilities have been installed, the utility shall retain any monies related to units for which facilities were installed, but which have not yet begun to take service. Petitioner seeks a five year extension to the five year bond limit to complete selling units in the project. The Commission may also consider other related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Elaine Agresta, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2660, email: Elaine.Agresta@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement
Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-M-0435SP1)

Department of State

EMERGENCY/PROPOSED RULE MAKING HEARING(S) SCHEDULED

Fixing the Time for Compliance with an Order to Remedy Violation(s) of the State Uniform Fire Prevention and Building Code

I.D. No. DOS-04-15-00004-ERP
Filing No. 683
Filing Date: 2015-08-07
Effective Date: 2015-08-07

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action Taken: Amendment of section 1203.1; and addition of section 1203.5 to Title 19 NYCRR.

Statutory authority: Executive Law, sections 381(1) and 382(2)

Finding of necessity for emergency rule: Preservation of public safety and general welfare.

Specific reasons underlying the finding of necessity: This rule is adopted as an emergency measure for the preservation of the public safety and general welfare.

Executive Law § 381(1) directs the Secretary of State to promulgate rules and regulations for the administration of the State Uniform Fire Prevention and Building Code (Uniform Code).

Executive Law § 382(2) provides, in pertinent part, that "any person, having been served, either personally or by registered or certified mail, with an order to remedy any condition found to exist in, on, or about any building in violation of the [Uniform Code], who shall fail to comply with such order within the time fixed by the regulations promulgated by the Secretary of State pursuant to [Executive Law § 381(1)], such time period stated in the order, shall be punishable by a fine of not more than one thousand dollars per day of violation, or imprisonment not exceeding one year, or both."

Prior to January 12, 2015, the regulations adopted by the Department of State pursuant to Executive Law § 381(1) have never ''fixed'' a time within which a person served with an order to remedy must comply with that order. In most cases, the local government that issues an order to remedy determines a "reasonable time" within which compliance with the order would be required.

On September 22, 2014, the New York State Supreme Court, Appellate Term, Second Department, 9th and 10th Judicial Districts, issued a decision in the matter of People v. Plateau Associates, LLC. The Court held that in the absence of a Department of State regulation fixing the time within which compliance with an order to remedy is required, a party served with such an order could not be charged under Executive Law § 382(2) for failure to comply with such order within the time fixed by regulation for such compliance. The Court rejected the argument that the local government that issued the order to remedy should be permitted to determine the "reasonable time" within which compliance with the order to remedy would be required.

In the absence of a Department of State regulation fixing the time for compliance with an Order to Remedy, the precedent established in People v. Plateau Associates, LLC could cause courts in the State to refuse to impose the penalties contemplated by Executive Law § 382(2). This, in turn, would seriously diminish the effectiveness of orders to remedy, resulting in inadequate enforcement of the Uniform Code, thereby potentially subjecting the people of this State to the real and present dangers to public health and safety posed by fire.[1] The Department of State determined that adopting a rule fixing the time to comply with an Order to Remedy on an emergency basis was necessary to halt such undesirable result at the earliest possible date.

On January 12, 2015, the Department of State adopted an emergency rule that fixed the time within which compliance with an order to remedy any condition found to exist in, on, or about any building in violation of the Uniform Code at thirty (30) days following the date of the order.

The initial emergency rule was also proposed for permanent adoption. The public comment period has closed, and the Department of State has completed its assessment of the comments received. The Department of State has made a number of changes to the original rule in response to the comments received. The Department of State believes that no single change constitutes a substantial revision of the rule. However, because of the number of clarifying changes that were made, the Department of State has elected to treat the revision of the rule, in its totality, as a substantial revision, requiring a Notice of Revised Rule Making.

The initial emergency rule was re-adopted twice. The second emergency re-adoption will expire on August 3, 2015. Adopting the revised rule on an emergency basis is necessary to assure that a regulation that fixes the time for compliance with an Order to Remedy will remain in effect during the time required for additional comment on the revised version of the rule.

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[1] See Executive Law § 371(1)(d): "The legislature hereby finds and declares that: . . . Whether because of the absence of applicable codes, inadequate code provisions or inadequate enforcement of codes, the threat to the public health and safety posed by fire remains a real and present danger for the people of the state;

Subject: Fixing the time for compliance with an order to remedy violation(s) of the State Uniform Fire Prevention and Building Code.

Purpose: Fix the time for compliance with an order to remedy any condition found to exist in buildings in violation of the Uniform Code.

Public hearing(s) will be held at: 10:00 a.m., October 14, 2015 at Department of State, 99 Washington Ave., Rm. 505, Albany, NY.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Text of emergency/revised rule: 1. Section 1203.1 of Part 1203 of Title 19 of the Official Compilation of Codes, Rules and Regulations of the State of New York is amended to read as follows:

1203.1 Introduction.

Section 381 of the Executive Law directs the Secretary of State to promulgate rules and regulations for administration of the Uniform Fire Prevention and Building Code (Uniform Code) *and the State Energy Conservation Construction Code (Energy Code)*. These rules and regulations are to address the nature and quality of enforcement and are the subject of this Part.

2. Part 1203 of Title 19 of the Official Compilation of Codes, Rules and Regulations of the State of New York is amended by adding a new section 1203.5 to read as follows:

1203.5 Compliance with an order to remedy.

(a) Introduction and purpose. Section 381 of the Executive Law provides for the administration and enforcement of the Uniform Code and authorizes the promulgation of this Part to establish minimum standards for such administration and enforcement. In addition, subdivision 2 of section 382 of the Executive Law provides, in part, that any person, having been served, either personally or by registered or certified mail, with an order to remedy any condition found to exist in, on, or about any building in violation of the Uniform Code, who shall fail to comply with such order within the time fixed by the regulations promulgated by the Secretary of State pursuant to subdivision 1 of section 381 of the Executive Law, such time period to be stated in the order, shall be punishable by a fine of not more than one thousand dollars per day of violation, or imprisonment not exceeding one year, or both. The purpose of this section 1203.5 is to fix, for the purposes of subdivision 2 of section 382 of the Executive Law, the time within which a person or entity served with an Order to Remedy is required to comply with such Order to Remedy.

(b) Definitions. In this section 1203.5, the following terms shall have the following meanings:

(1) The term "Authority Having Jurisdiction" means any city, town, village, county, state agency or other governmental unit or agency responsible for administration and enforcement of the Uniform Code.

(2) The term "Order to Remedy" means an order to remedy any condition found to exist in, on, or about any building in violation of the Uniform Code.

(3) The term "comply with an Order to Remedy" means to remedy completely each violation described in the Order to Remedy.

(c) Time for compliance with Order to Remedy. For the purposes of subdivision 2 of section 382 of the Executive Law, the time within which a person or entity served with an Order to Remedy is required to comply with such Order to Remedy is hereby fixed at thirty (30) days following the date of such Order to Remedy.

(d) Statement to be included in Order to Remedy. For the purpose of

complying with that part of subdivision 2 of section 382 of the Executive Law that provides "such time period to be stated in the order," an Order to Remedy shall include a statement substantially similar to the following: "The person or entity served with this Order to Remedy must completely remedy each violation described in this Order to Remedy by _____ [specify date], which is thirty (30) days after the date of this Order to Remedy."

(e) Service. An Order to Remedy shall be served personally or by certified or registered mail within five (5) days of the date of the order. For the purposes of this section 1203.5:

(1) if an Order to Remedy is served personally by any authorized means that requires more than one action by the person effecting service (such as service by "delivery and mail" similar to that authorized by CPLR 308(2)), the Order to Remedy shall be deemed to be served on the date on which the last required action is taken; and

(2) an Order to Remedy served by certified or registered mail shall be deemed to be served on the date it is mailed.

(f) Requiring immediate commencement of corrective action. Nothing in this section 1203.5 shall be construed as prohibiting any Authority Having Jurisdiction that issues an Order to Remedy from including in such Order to Remedy provisions ordering the person or entity served with such Order to Remedy:

(1) to begin to remedy the violations described in the Order to Remedy immediately, or within some other specified period of time which may be less than thirty (30) days; to continue diligently to remedy such violations until each such violation is fully remedied; and, in any event, to complete the remedying of all such violations within thirty (30) days of the date of such Order to Remedy; and/or

(2) to take such other protective actions (such as vacating the building or barricading the area where the violations exist) which are authorized by the code enforcement program of the Authority Having Jurisdiction or by any other applicable statute, regulation, rule, local law or ordinance, and which the Authority Having Jurisdiction may deem appropriate, during the period while such violations are being remedied.

(g) Other means of enforcing the Uniform Code. Nothing in this section 1203.5 shall be construed as requiring an Authority Having Jurisdiction to issue an Order to Remedy in a given situation where violations of the Uniform Code are found to exist if, in the judgment of the Authority Having Jurisdiction, such violations can be addressed adequately by the use of other enforcement tools or by other means. Nothing in this section 1203.5 shall be construed as limiting the authority of an Authority Having Jurisdiction to employ any other means of enforcing the Uniform Code and/or Energy Code, including, but not limited to:

(1) issuing notices of violation;

(2) issuing appearance tickets;

(3) commencing and prosecuting an appropriate action or proceeding pursuant to that part of subdivision 2 of section 382 of the Executive Law that provides that any owner, builder, architect, tenant, contractor, subcontractor, construction superintendent or their agents or any other person taking part or assisting in the "construction" (as defined in subdivision 4 of section 372 of the Executive Law) of any building who shall knowingly violate any of the applicable provisions of the Uniform Code or any lawful order of a city, village, town, county, state agency or the Secretary of State made thereunder regarding standards for construction, maintenance, or fire protection equipment and systems, shall be subject to a fine of not more than one thousand dollars per day of violation, or imprisonment not exceeding one year, or both;

(4) commencing and prosecuting an appropriate action or proceeding pursuant to subdivision 3 of section 382 of the Executive Law which seeks, in a case where the construction or use of a building is in violation of any provision of the Uniform Code or any lawful order obtained thereunder, an order from a Justice of the Supreme Court directing the removal of the building or an abatement of the condition in violation of such provisions;

(5) issuing stop work orders;

(6) revoking or suspending building permits, operating permits and/or certificates of occupancy pursuant to the procedures established in the code enforcement program of the Authority Having Jurisdiction or pursuant to any other applicable statute, regulation, rule, local law or ordinance;

(7) commencing and prosecuting an appropriate action or proceeding to impose such criminal and/or civil sanctions as may be provided in any applicable statute, regulation, rule, local law or ordinance;

(8) condemning and/or placarding a building in accordance with the applicable provisions of the Uniform Code;

(9) taking any action authorized by the procedures for identifying and addressing unsafe structures and equipment as established in the code enforcement program of the Authority Having Jurisdiction or by any other applicable statute, regulation, rule, local law or ordinance; or

(10) issuing orders to remedy violations of the Energy Code pursuant to subdivision (1) of section 11-108 of the Energy Law.

(h) Office of Fire Prevention and Control. For the purposes of this section 1203.5, the term "Order to Remedy" shall not include any order issued by the Office of Fire Prevention and Control pursuant to section 156-e of the Executive Law (or pursuant to any regulation promulgated thereunder) requiring the remedying of any condition found to exist in, on or about any building under the jurisdiction of a public college or independent college (as these terms are defined in section 807-b of the Education Law) which violates the Uniform Code. Nothing in this section 1203.5 shall be construed as fixing the time within which a public college or independent college shall have to comply with any such order, or as requiring any such order to include the statement prescribed in subdivision (d) of this section.

This notice is intended to serve as both a notice of emergency adoption and a notice of revised rule making. The notice of proposed rule making was published in the *State Register* on January 28, 2015, I.D. No. DOS-04-15-00004-EP. The emergency rule will expire November 4, 2015.

Emergency rule compared with proposed rule: Substantial revisions were made in section 1203.5(a)-(h).

Text of rule and any required statements and analyses may be obtained from: Joseph Ball, Department of State, 99 Washington Ave., Suite 1120, Albany, NY 12231-0001, (518) 474-6740, email: joseph.ball@dos.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: October 19, 2015.

Revised Regulatory Impact Statement

1. STATUTORY AUTHORITY

Adoption of this rule is authorized by Executive Law § § 381(1) and 382(2). Executive Law § 381(1) provides that the Secretary of State shall promulgate rules and regulations prescribing minimum standards for administration and enforcement of the Uniform Fire Prevention and Building Code (Uniform Code).

Executive Law § 382(2) provides, in part, that "(a)ny person, having been served, either personally or by registered or certified mail, with an order to remedy any condition found to exist in, on, or about any building in violation of the [Uniform Code], who shall fail to comply with such order within the time fixed by the regulations promulgated by the secretary pursuant to [Executive Law § 381(1)], such time period to be stated in the order, . . . shall be punishable by a fine of not more than one thousand dollars per day of violation, or imprisonment not exceeding one year, or both."

2. LEGISLATIVE OBJECTIVES

This rule will add a new section 1203.5 to 19 NYCRR Part 1203. The new section 1203.5 to be added by this rule will effectuate the objectives of Executive Law § § 381(1) and 382(2) by promulgating a regulation that (1) fixes the time within which compliance with an order to remedy will be required at thirty (30) days following the date of the order and (2) requiring each order to remedy to include a notice that clearly states the time within which compliance with the order is required and the consequences of failure to comply with the order within that stated time.[1]

3. NEEDS AND BENEFITS

When current Article 18 of the Executive Law was adopted in 1981, there was no single building code applicable in all parts of the state; local governments were free to adopt their own code, to "accept" the applicability of the State Building Construction Code, or to have no building code at all. When it adopted the current Article 18, the Legislature found and declared that "(w)hether because of the absence of applicable codes, inadequate code provisions or inadequate enforcement of codes, the threat to the public health and safety posed by fire remains a real and present danger for the people of the state" (Executive Law § 371(1)(d), emphasis added). The Legislature addressed the first two concerns (absence of applicable codes or inadequate code provisions) by providing, in Article 18, that the State Uniform Fire Prevention and Building Code (Uniform Code) would be applicable in all parts of the State except New York City. The Legislature addressed the third concern (inadequate enforcement of codes) by requiring local governments to administer and enforce the Uniform Code (Executive Law § 381(2)) and by providing a non-exclusive list of enforcement tools, including "the power to order in writing the remedying of any condition found to exist in, on or about any building in violation of the [Uniform Code]" (Executive Law § 382(1)).

As stated above, Executive Law § 382(2) provides, in part, that a person served with an order to remedy who fails to comply with such order "within the time fixed by the regulations promulgated by the [Secretary of State] pursuant to [Executive Law § 381(1)], such time period to be stated in the order" shall be punishable by a fine of not more than one thousand dollars per day of violation, or imprisonment not exceeding one year, or both.

The regulations adopted by the Department of State (DOS) pursuant to Executive Law § 381(1) currently contain no provision fixing the time for compliance with an order to remedy. DOS understands that a local government issuing an order to remedy will, in most cases, determine a reasonable time within which compliance with the order would be required.

However, the recent case of People v. Plateau Associates, LLC, the Appellate Term for the Second Department, 9th and 10th Judicial Districts, held that in the absence of a DOS regulation fixing the time within which compliance with an order to remedy is required, the party served with such an order could not be charged under Executive Law § 382(2). The Court rejected the argument that the local government that issued the order to remedy should be permitted to determine the "reasonable time" within which compliance with the order to remedy would be required.

This rule adopts a regulation that fixes the time within which compliance with an order to remedy is required. This rule is necessary because in the absence of a regulation fixing the time within which full compliance with an order to remedy is required, courts may, under the precedent established by Plateau Associates, refuse to impose the penalties contemplated by Executive Law § 382(2) upon persons who are served with an order to remedy who fail to comply with the order to remedy. This, in turn, would seriously diminish the effectiveness of orders to remedy, resulting in inadequate enforcement of the Uniform Code and potentially subject the people of this State to the real and present dangers of to public safety posed by fire, as identified by the State Legislature in Executive Law § 371(1)(d).

4. COSTS

Costs to Regulated Parties

Pursuant to Executive Law § 382(2), a person served with an order to remedy who fails to comply with the order within the time fixed by this rule will be subject to the penalties prescribed by Executive Law § 382(2), viz., a fine not to exceed $1,000 per day of violation, imprisonment for not more than one year, or both.

A person served with an order to remedy is already required by existing law to comply with that order. This rule merely fixes the time within which compliance with the order is required. This rule will impose no additional initial capital costs on any person served with an order to remedy. This rule will impose no additional annual compliance costs on any person served with an order to remedy.

Upon learning of the decision in People v. Plateau Associates, LLC, the Department of State's Division of Building Standards and Codes solicited information from local governments' code enforcement officials from around the State. These officials were surveyed regarding times within which compliance with an order to remedy is typically required. Among those surveyed, the majority of participants affirmed that they included in orders to remedy, a specific date by which any violations must be corrected. On average, the time allowed before re-inspection or correction of the violations was reported to be twenty (20) days. The time fixed by this rule for compliance with an order to remedy (30 days from the date of the order) is actually slightly longer that this reported average.

This rule will expressly provide (1) that an order to remedy may provide that the person served with the order must begin to remedy the violation(s) immediately and (2) that new section 1203.5 does not limit any other enforcement tool. These provisions will allow a local government to address situations in which immediate action is required to protect health and safety.

Costs to the Department of State, New York State, and Local Governments

In general, local governments are responsible for enforcing the Uniform Code. In certain instances, the Department of State (DOS) is responsible for enforcing the Uniform Code.

This rule will require a local government (or DOS in those instances where it enforces the Uniform Code) to include in each order to remedy a statement substantially similar to the following: "Full compliance with this order to remedy is required by _____ [specify date], which is thirty (30) days after the date of this order."

This rule will also require the local government or other enforcing agency that issues an order to remedy to serve the order (personally or by registered or certified mail) within 5 days of the date of the order.

The initial costs to be incurred by local governments that enforce the Uniform Code (and by DOS in those instances where it enforces the Uniform Code) will include (1) the cost of modifying their order to remedy forms to include the statement required by this rule and (2) the cost of training their code enforcement personnel on the requirements of this rule. However, DOS anticipates that the cost of modifying a local government's order to remedy form to include the notice required by this rule will be negligible. In addition, code enforcement personnel are required by existing law to receive 24 hours of in-service training each year, and DOS anticipates that training on the requirements of the new this rule can be provided within the already required annual in-service training.

The annual or on-going compliance costs for local governments that enforce the Uniform Code (and by DOS in those instances where it enforces the Uniform Code) will include the costs associated with tracking service of orders to remedy to assure that service is made within the five day time limit established by this rule. However, DOS anticipates that a local government will be able to fulfill these obligations using its existing code enforcement personnel, at little or no additional cost to the local government. Further, local governments are authorized by existing law to charge fees to defray the cost of their code enforcement activities.

DOS does not anticipate that the State of New York will incur any costs for the implementation of, and continued administration of, this rule.

5. PAPERWORK

As stated above, this rule will require a local government (or DOS, in instances where it enforces the Uniform Code) to include a notice in each order to remedy specifying the date by which compliance with such order will be required and specifying the consequences of failure to comply with the order within that stated time.

6. LOCAL GOVERNMENT MANDATES

A local government that issues an order to remedy will be required to include in that order a notice specifying the date by which compliance with such order will be required and specifying the consequences of failure to comply with the order within that stated time. A local government that issues an order to remedy will also be required to see that the order is served (personally or by registered or certified mail) with 5 days of the date of the order.

Local governments that enforce the Uniform Code will be required to ensure that their code enforcement personnel receive training on the provisions of this rule.

DOS anticipates that any such additional training and enforcement obligations will have little or no impact on the code enforcement expenses incurred by local governments. In addition, local governments are authorized by existing law to charge fees to offset their code enforcement expenses.

7. DUPLICATION

This rule implements the requirements of Executive Law § 382(2). This rule does not duplicate any rule or other legal requirement of the State or Federal government known to DOS.

8. ALTERNATIVES

DOS considered a rule that would allow local governments to determine the time within which compliance with an order to remedy would be required on a case by case basis. However, the court in the Plateau Associates, LLC case cited above rejected this approach, and indicated that Executive Law § 382(2) requires DOS to adopt a regulation fixing a time within which compliance with an order to remedy would be required.

DOS considered a rule that would fix a period of time less than, or more than, 30 days as the time within which full compliance with an Order to Remedy would be required. However, as stated above, DOS's survey of local code enforcement officials indicated that on average, the time allowed before re-inspection or correction of the violations was reported to be twenty (20) days. Taking into account the possibility of an Order being served on the last possible date (5 days after its date), and assuming that an Order served by mail might not be received until 5 days after the mailing, this rule will give the person served at least 20 days to remedy the violations in most cases. In cases where immediate action is required, this rule provides that the order may require the party served to begin to remedy the violations immediately.

9. FEDERAL STANDARDS

This rule does not exceed any known minimum standards of the Federal government for the same or similar subject areas.

10. COMPLIANCE SCHEDULE

DOS anticipates that local governments and other code enforcing agencies will be able to comply with this rule immediately.

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[1] This rule will also amend section 1203.1 of Title 19 of the NYCRR to include a reference to and definition of the term "Energy Code."

Revised Regulatory Flexibility Analysis

1. EFFECT OF RULE.

Executive Law § 382(2) provides, in part, that any person, having been served, either personally or by registered or certified mail, with an order to remedy any condition found to exist in, on, or about any building in violation of the State Uniform Fire Prevention and Building Code (the Uniform Code), who shall fail to comply with such order within the time fixed by the regulations promulgated by the secretary pursuant to Executive Law § 381(1), such time period to be stated in the order, shall be punishable by a fine of not more than one thousand dollars per day of violation, or imprisonment not exceeding one year, or both. This rule amends 19 NYCRR Part 1203 by adding Section 1203.5 (entitled "Compliance with an order to remedy") which fixes the time within which compliance with an order to remedy is required. Under new Section 1203.5, the time within which compliance with an order to remedy is required is fixed at thirty (30) days following the date of the order.

New section 1203.5 will also require (1) that each order to remedy include a notice indicating the date by which compliance with the order is required and the consequences of failure to comply with the order by that date and (2) that each order to remedy be served (personally or by registered or certified mail) within 5 days of the date of the order.[1]

The Uniform Code is applicable in all areas of the State except New York City. Therefore, this rule will affect any small business which owns or occupies a building or structure anywhere in the State except New York City and which is served with an order to remedy Uniform Code violation(s) found to exist in, on, or about such building or structure. The Department of State is not able to estimate the number of small businesses that will be served with such an order to remedy.

In general, local governments (cities, towns, and villages) are required to enforce the Uniform Code. In some cases, a county may enforce the Uniform Code. Therefore, this rule will affect any local government or county which enforces the Uniform Code and which chooses to issue an order to remedy. The Department of State estimates that approximately 1,600 local governments and counties enforce the Uniform Code, and that most of these local governments and counties issue orders to remedy from time to time.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES.

This rule will fix the time for compliance with an order to remedy at thirty (30) days from the date of the order. A person or entity (including a small business) served with an order to remedy is already required by existing law to comply with that order. This rule merely fixes the time within which compliance with the order is required. Failure to comply with an order to remedy within the time fixed by this rule will make the person or entity served with the order subject to the penalties prescribed by Executive Law § 382(2). This rule will impose no new reporting, recordkeeping or other compliance requirements on any person served with an order to remedy.

A person or entity (including a small business) served with an order to remedy may find it necessary or desirable to hire an engineer, architect or other professional who constructs or assists in the construction or maintenance of buildings to assist with compliance with the order; however, this rule will not increase the need for any such professional services.

Local government that enforce the Uniform Code and issue orders to remedy will be required to include in each such order a notice indicating the date by which compliance with the order is required and the consequences of failure to comply with the order by that date. Local governments will be required to modify their order to remedy forms to include this notice.

Local government that enforce the Uniform Code and issue orders to remedy will be required to serve each such order to remedy (personally or by registered or certified mail) within 5 days of the date of the order. Local governments will be required to track service of their orders to remedy to assure that they are served within the applicable five day period.

The Department of State anticipates that local governments will be able to comply with the new requirements added by section 1203.5 with their current code enforcement personnel, and will not require any significant additional professional services.

3. COMPLIANCE COSTS.

Pursuant to Executive Law § 382(2), a person served with an order to remedy who fails to comply with the order within the time fixed by this rule will be subject to the penalties prescribed by Executive Law § 382(2), viz., a fine not to exceed $1,000 per day of violation, imprisonment for not more than one year, or both. However, a person or entity (including a small business) served with an order to remedy is already required by existing law to comply with that order. This rule merely fixes the time within which compliance with the order is required. This rule will impose no additional initial capital costs on any person or entity (including any small business) served with an order to remedy.

This rule will impose no additional annual compliance costs on any person or entity (including any small business) served with an order to remedy.

The initial costs to be incurred by local governments that enforce the Uniform Code will include (1) the cost of modifying their order to remedy forms to include the statement required by this rule and (2) the cost of training their code enforcement personnel on the requirements of this rule. However, the Department of State anticipates that the cost of modifying a local government's order to remedy form to include the notice required by this rule will be negligible. In addition, code enforcement personnel are required by existing law to receive 24 hours of in-service training each year, and the Department of State anticipates that training on the requirements of the new this rule can be provided within the already required annual in-service training.

The annual or on-going compliance costs for local governments that enforce the Uniform Code will include the costs associated with tracking service of orders to remedy to assure that service is made within the five day time limit established by this rule. However, the Department of State anticipates that a local government will be able to fulfill these obligations using its existing code enforcement personnel, at little or no additional cost to the local government. Further, local governments are authorized by existing law to charge fees to defray the cost of their code enforcement activities.

4. ECONOMIC AND TECHNOLOGICAL FEASIBILITY.

It is economically and technologically feasible for regulated parties to comply with the rule. No substantial capital expenditures are imposed and no new technology need be developed for compliance.

The Department of State anticipates that local governments will be able to comply with this rule using their existing code enforcement personnel.

5. MINIMIZING ADVERSE IMPACT.

This rule was designed to minimize any adverse impact on all affected parties, including small businesses and local governments, by: (1) fixing the time within which compliance with an order to remedy is required at 30 days from the date of the order, thereby enabling local governments easily to compute the date by which compliance is required and to state that date in the order to remedy, as required by Executive Law § 382(2); (2) specifying the form of the statement to be included in the order to remedy that will enable local governments to state the time within which compliance is required, which will facilitate local governments' ability to comply with the requirements of Executive Law § 382(2); (3) providing that local governments can include in an order to remedy provisions requiring that the person or entity served with the order must begin to remedy the violation(s) immediately, and must diligently continue to remedy the violation(s), thereby allowing local governments to include appropriate provisions in an order to remedy to address a situation where immediate action is required to address life /safety concerns; and (4) providing a time within which compliance is required (30 days) which is longer than the average time currently specified by local governments that responded to the Department of State's survey (20 days), thereby assuring that a person or entity served with an order to remedy will have a reasonable time to comply before being subject to the penalties prescribed by Executive Law § 382(2).

Approaches such as establishing different compliance or reporting requirements or timetables that take into account the resources available to small businesses and local governments and/or providing exemptions from coverage by the rule, or any part thereof, for small businesses and local governments were not considered because doing so is not authorized by the statute and would endanger the public safety and general welfare.

6. SMALL BUSINESS AND LOCAL GOVERNMENT PARTICIPATION.

The Department of State gave small businesses and local governments an opportunity to participate in this rule making by posting a notice regarding this rule on the Department of State's website and by publishing a notice regarding this rule in Building New York, a monthly electronic news bulletin covering topics related to the Uniform Code and the construction industry that is prepared by the Department of State and is currently distributed to approximately 10,000 subscribers, including local governments, design professionals and others involved in all aspects of the construction industry.

This is a revised rule making. Interested parties throughout the State, including small businesses and local governments, were given an opportunity to submit comments regarding the original version of this rule during the public comment period established by the Notice of Emergency Adoption and Proposed Rule Making filed for the original version of this rule, and will be given an opportunity to submit comments regarding the revised rule during the public comment period to be established by the Notice of Emergency Adoption and Revised Rule Making submitted with this Revised Regulatory Flexibility Analysis for Small Businesses and Local Governments.

7. VIOLATIONS AND PENALTIES ASSOCIATED WITH VIOLATIONS.

The applicable statute (Executive Law § 382(2)) establishes a violation (viz., failure to comply with an order to remedy) and establishes penalties associated with such violation (viz., a fine of not more than one thousand dollars per day of violation, or imprisonment not exceeding one year, or both). While this rule will relate to the violation and penalty established by Executive Law § 382(2) in the sense that this rule will fix the time within which compliance with an order to remedy is required, this rule will not directly establish or modify a violation and this rule will not directly establish or modify penalties associated with a violation. Therefore, for the purposes of Chapter 524 of the Laws of 2011 and subdivision 1-a of section 202-b of the State Administrative Procedure Act, this rule is not required to include a cure period or other opportunity for ameliorative action, the successful completion of which will prevent the imposition of penalties on the party or parties subject to enforcement. It should be noted, however, that this rule will, in effect, include a cure period or other opportunity for ameliorative action in the sense that this rule will provide that a person served with an order to remedy will have at least 30 days to comply with the order before the statutory penalties can be imposed.

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[1] This rule will also amend section 1203.1 of Title 19 of the NYCRR to include a reference to and definition of the term "energy code."

Revised Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS.

This rule adds a new section 1203.5 to Title 19 of the NYCRR. New section 1203.5 fixes the time within which compliance with an order to remedy violations of the State Uniform Fire Prevention and Building Code (the Uniform Code) is required.[1]

The Uniform Code applies in all parts of the State except New York City. Therefore, this rule will apply in all rural areas of the State.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES.

Executive Law § 382(2) provides, in part, that any person, having been served, either personally or by registered or certified mail, with an order to remedy any condition found to exist in, on, or about any building in violation of the State Uniform Fire Prevention and Building Code (the Uniform Code), who shall fail to comply with such order within the time fixed by the regulations promulgated by the secretary pursuant to subdivision one of section three hundred eighty-one of this article, such time period to be stated in the order, shall be punishable by a fine of not more than one thousand dollars per day of violation, or imprisonment not exceeding one year, or both. This rule will add a new section 1203.5 to Title 19 of the NYCRR. New section 1203.5 fixes the time for compliance with an order to remedy at thirty (30) days from the date of the order.

A person served with an order to remedy in any part of the State, including any rural area, is already required by existing law to comply with that order. This rule merely fixes the time within which compliance with the order is required. Failure to comply with an order to remedy within the time fixed by this rule will make the person served with the order subject to the penalties prescribed by Executive Law § 382(2).

This rule will impose no new reporting, recordkeeping or other compliance requirements on any person served with an order to remedy.

A person served with an order to remedy may find it necessary or desirable to hire an engineer, architect or other professional who constructs or assists in the construction or maintenance of buildings to assist with compliance with the order; however, this rule will not increase the need for any such professional services.

New section 1203.5 will require a local government that issues an order to remedy to include in the order a statement indicating that full compliance with the order within thirty (30) days of the date of the order is required. Local governments (including local governments in rural areas) will be required to modify their order to remedy forms to include this notice.

New section 1203.5 will provide that an order to remedy must be served within five days of the date of the order. Local governments (including local governments in rural areas) will be required to track service of their orders to remedy to assure that they are served within the applicable five day period.

The Department of State anticipates that local governments will be able to enforce the new requirement added by section 1203.5 with their current code enforcement personnel, and will not require any significant additional professional services.

3. COMPLIANCE COSTS.

Pursuant to Executive Law § 382(2), a person served with an order to remedy who fails to comply with the order within the time fixed by this rule will be subject to the penalties prescribed by Executive Law § 382(2), viz., a fine not to exceed $1,000 per day of violation, imprisonment for not more than one year, or both. However, a person served with an order to remedy in any part of the State, including any rural area, is already required by existing law to comply with that order. This rule merely fixes the time within which compliance with the order is required. This rule will impose no additional initial capital costs on any person served with an order to remedy. This rule will impose no additional annual compliance costs on any person served with an order to remedy.

The initial costs to be incurred by local governments (including local governments in rural areas) will include (1) the cost of modifying their order to remedy forms to include the statement required by this rule and (2) the cost of training their code enforcement personnel on the requirements of this rule. However, the Department of State anticipates that the cost of modifying a local government's order to remedy form to include the notice required by this rule will be negligible. In addition, code enforcement personnel are required by existing law to receive 24 hours of in-service training each year, and the Department of State anticipates that training on the requirements of the new this rule can be provided within the already required annual in-service training.

The annual or on-going compliance costs for local governments (including local governments in rural areas) will include the costs associated with tracking service of orders to remedy to assure that service is made within the five day time limit established by this rule. However, the Department of State anticipates that a local government will be able to fulfill these obligations using its existing code enforcement personnel, at little or no additional cost to the local government. Further, local governments are authorized by existing law to charge fees to defray the cost of their code enforcement activities.

4. MINIMIZING ADVERSE IMPACT.

This rule was designed to minimize any adverse impact on all areas of the State, including rural areas, by: (1) fixing the time within which compliance with an order to remedy is required at 30 days from the date of the order, thereby enabling local governments easily to compute the date by which compliance is required and to state that date in the order to remedy, as required by Executive Law § 382(2); (2) specifying the form of the statement to be included in the order to remedy that will enable local governments to state the time within which compliance is required, which will facilitate local governments' ability to comply with the requirements of Executive Law § 382(2); (3) providing that local governments can include in an order to remedy provisions requiring that the person or entity served with the order must begin to remedy the violation(s) immediately, and must diligently continue to remedy the violation(s), thereby allowing local governments to include appropriate provisions in an order to remedy to address a situation where immediate action is required to address life /safety concerns; and (4) providing a time within which compliance is required (30 days) which is longer than the average time currently specified by local governments that responded to the Department of State's survey (20 days), thereby assuring that a person or entity served with an order to remedy will have a reasonable time to comply before being subject to the penalties prescribed by Executive Law § 382(2).

Establishing different compliance requirements for public and private sector interests in rural areas and/or providing exemptions from coverage by the rule for public and private sector interests in rural areas was not considered because doing so is not authorized by the statute and would endanger the public safety and general welfare.

5. RURAL AREA PARTICIPATION.

The Department of State notified interested parties throughout the State, including interested parties in rural areas, of the proposed adoption of this rule by means of notices posted on the Department's website and published in Building New York, a monthly electronic news bulletin covering topics related to the Uniform Code/Energy Code and the construction industry which is prepared by the Department of State and which is currently distributed to approximately 10,000 subscribers, including local governments, design professionals and others involved in all aspects of the construction industry.

This is a revised rule making. Interested parties throughout the State, including interested parties in rural areas, were given an opportunity to submit comments regarding the original version of this rule during the public comment period established by the Notice of Emergency Adoption and Proposed Rule Making filed for the original version of this rule, and will be given an opportunity to submit comments regarding the revised rule during the public comment period to be established by the Notice of Emergency Adoption and Revised Rule Making submitted with this Revised Rural Area Flexibility Analysis.

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[1] This rule will also amend section 1203.1 of Title 19 of the NYCRR to include a reference to and definition of the term "energy code."

Revised Job Impact Statement

The Department of State has concluded after reviewing the nature and purpose of the rule that it will not have a substantial adverse impact on jobs and employment opportunities in New York.

Executive Law § 382(2) provides, in part, that any person, having been served, either personally or by registered or certified mail, with an order to remedy any condition found to exist in, on, or about any building in violation of the State Uniform Fire Prevention and Building Code (the Uniform Code), who shall fail to comply with such order within the time fixed by the regulations promulgated by the secretary pursuant to Executive Law § 381(1), such time period to be stated in the order, shall be punishable by a fine of not more than one thousand dollars per day of violation, or imprisonment not exceeding one year, or both. This rule will add a new section 1203.5 to Title 19 of the Official Compilation of Codes, Rules and Regulations of the State of New York. New section 1203.5 fixes the time for compliance with an order to remedy at thirty (30) days from the date of the order.

A person served with an order to remedy is required by existing law to comply with that order. This rule merely fixes the time within which compliance with the order is required. Failure to comply with an order to remedy within the time fixed by this rule will make the person served with the order subject to the penalties prescribed by Executive Law § 382(2).

Therefore, the Department of State concludes that it is apparent from the nature and purpose of this rule that it will have no substantial adverse impact on jobs and employment opportunities.

Assessment of Public Comment

COMMENTS REGARDING TIME TO REMEDY

Summary and analysis

Several comments raised concerns about fixing the time within which a party must comply with an Order to Remedy (OTR) at 30 days. Comments argued (1) that the 30 day time to remedy is too long for certain code violations; (2) that the 30 day time to remedy is too short for certain violations; (3) that the rule should establish a range of time to remedy periods; or (4) that the time to remedy should be left to the discretion of the authority having jurisdiction (AHJ).

DOS Response

This rule was developed in response to People v. Plateau Associates, LLC, which held that in the absence of a Department of State (DOS) regulation specifically fixing a time for compliance with an OTR, a municipality issuing an OTR could not charge the person served with the Order under the part of Executive Law § 382(2) which provides that "any person, having been served. . . with an order to remedy any condition found to exist in, on, or about any building in violation of the [Uniform Code], who shall fail to comply with such order within the time fixed by the regulations promulgated by the secretary pursuant to [Executive Law § 381(1)], such time period to be stated in the order, . . . shall be punishable by a fine of not more than one thousand dollars per day of violation, or imprisonment not exceeding one year, or both."

The Plateau Associates decision rejected the argument that allowing an AHJ to determine, on a case-by-case basis, a "reasonable" time for compliance with an OTR satisfied the requirements of the above-quoted provision of Executive Law § 382(2). Hence, it is necessary to adopt a regulation fixing a specific time.

Upon learning of the Plateau Associates holding, DOS surveyed local government officials regarding the times within which compliance with an OTR is typically required. The average of the reported times was 20 days.

DOS set the time for compliance at 30 days after the date of the OTR because (1) an OTR can be served by registered or certified mail, (2) an OTR can be served as late as 5 days after the date of the OTR, and (3) DOS presumes that in most cases, an OTR served by mail will be received within 5 days of the mailing (see CPLR 2103(b)(2)). Therefore, even if an OTR is served by registered or certified mail on the fifth day after the date of the OTR, the OTR should be received by the addressee no later than 20 days before the date fixed by the OTR for full compliance.

To address concerns that certain code violations must be addressed in less than 30 days, section 1203.5 provides that (1) an OTR may include a provision requiring the immediate commencement of remedial actions and (2) nothing in the section 1203.5 limits the authority of the AHJ to use any other means of enforcing the Uniform Code.

In a situation where the AHJ believes a condition may take longer than 30 days to remedy, nothing in this rule would prohibit the AHJ from sending a "caution" letter to the owner notifying the owner of the existence of the violation(s) and advising the owner of the AHJ's intention to serve an OTR on or about some specified future date.

COMMENTS REGARDING MANNER OF SERVICE

Summary and analysis

Comments (1) argued that the rule should expand the permissible methods of service of an OTR; (2) expressed concern over the cost of serving "over 2,000 violation notices a year" by registered or certified mail; (3) expressed concern that the failure of the addressee to sign for an OTR served by registered or certified mail within 5 days of the mailing would invalidate the service; and (4) noted that documentation indicating that the addressee refused to accept delivery of a registered or certified mailing, or that the mailing was otherwise undeliverable, is typically received several weeks after the mailing.

DOS Response

Executive Law § 382(2) provides that an OTR must be served "either personally or by registered or certified mail." DOS cannot adopt a rule authorizing service of an OTR by any means other than those specified in the statute.[1]

Concerns regarding the cost of service by registered or certified mail cannot be addressed by a rule adopted by DOS.

The rule addresses only OTRs. This rule does not require service of Notices of Violation by registered or certified mail.

DOS added provisions to the rule to clarify that an OTR served by registered or certified mail is "served" on the date of mailing. Failure of the addressee to sign for the mailing within 5 days of the mailing will not invalidate service.

Regarding concerns that OTR served by registered or certified mail may be refused by the addressee, or may otherwise be undeliverable, DOS notes that service of legal process of any type is subject to Constitutional due process concerns. If an AHJ learns that an OTR it served by certified or registered mail was not actually received by the addressee, the AHJ may be required to issue and serve a new OTR. However, these concerns exist as a matter of Constitutional law, and are not created or expanded by this rule.

COMMENTS REGARDING ALTERNATIVE PENALTIES

Summary and analysis

Comments recommended (1) providing for a fine of a flat $1,000 per day of violation, rather than a fine of "not more than" $1,000 per day of violation; (2) treating all violations as "strict liability offenses regardless of the intent;" and (3) providing for suspension or revocation of operating permits, building permits, and certificates of occupancy for those who fail to comply with an OTR.

DOS Response

The purpose of the rule is to fix the time for compliance with an OTR. This rule does not purport to establish the penalties for failure to comply.

Executive Law § 382(2) provides that the penalty for failure to comply with an OTR is a fine of "not more than" one thousand dollars per day of violation, or imprisonment "not exceeding" one year, or both. DOS has no authority to adopt a rule limiting the discretion of a judge to determine the amount of the fine, or length of imprisonment, to impose in a given case.

With regard to revocation or suspension of permits and certificates of occupancy, each local government that enforces the Uniform Code is required to establish its code enforcement program, and to include the features described in 19 NYCRR Part 1203 in that program. Those features include provisions for issuing operating permits, building permits, and certificates of occupancy, and provisions for revoking or suspending those instruments. Nothing in section 1203.5 will limit the authority of an AHJ to revoke or suspend a permit or certificate pursuant to the provisions of its code enforcement program.

COMMENTS REGARDING THE APPLICABILITY OF THIS RULE TO STATE AGENCIES

Summary and analysis

A comment raised questions about the applicability of section 1203.5 to enforcement of the Uniform Code by State agencies. The comment suggested that the provisions of 19 NYCRR 1204.12(c)-(d) may conflict with section 1203.5.

DOS Response

In general, each State agency that has custody of one or more buildings is required to enforce the Uniform Code with respect to such building(s). DOS believes that it is highly unlikely that a State agency will ever issue an OTR to itself.

In some cases, one State agency serves as the construction-permitting agency for a building constructed by another State agency. In those rare occasions when an enforcing State agency finds it necessary to issue an OTR to another State agency, having a DOS regulation that fixes the time for compliance with such OTR is necessary to make such Order enforceable.

19 NYCRR section 1204.12 (c) provides that State agencies shall correct all code violations within a reasonable amount of time after their discovery. When a State agency is served with an OTR, that "reasonable time" will necessarily be no later than 30 days after the date of the OTR.

19 NYCRR section 1204.12 (d) provides that each State agency shall prepare and maintain a correction plan for all code violations which remain uncorrected 60 days after their discovery. That plan must provide for an accelerated correction schedule for any violation specified in an OTR.

MISCELLANEOUS COMMENTS

One comment expressed concern regarding the ability of the public to submit comments between the date when DOS posted a notice that it was developing this rule and the date of the initial emergency adoption of this rule. DOS Response: This comment appears to be based on the incorrect assumption that the initial emergency adoption of this rule was the "final" adoption of this rule.

One comment expressed concern about the impact of this rule on the portion of Executive Law § 382(2) which provides that "any owner, builder, architect, tenant, contractor, subcontractor, construction superintendent or their agents or any other person taking part or assisting in the construction of any building who shall knowingly violate any of the applicable provisions of the [Uniform Code]. . . shall be punishable by a fine of not more than one thousand dollars per day of violation, or imprisonment not exceeding one year, or both." DOS Response: This rule provides that nothing in this rule shall be construed as limiting the availability of any other code enforcement tool, and includes the above quoted provision of Executive Law § 382(2) on the non-exclusive list of other available code enforcement tools.

One comment noted that a code enforcement officer "assists" in the construction of a building by reviewing the plans and issuing a building permit, and suggested that code enforcement officials could be charged under the above-quoted portion of Executive Law § 382(2). DOS Response: DOS doubts that any court would hold that a CEO is liable under Executive Law § 382(2) because he or she "assisted" in the construction of a building by reviewing plans and issuing a permit. In any event, DOS does not have the authority to adopt a rule purporting to amend Executive Law § 382(2).

One comment requested additional training for code enforcement officials. DOS Response: This rule does not address the amount of training code enforcement officials are required to have or the content of

courses code enforcement officials are required to take. However, DOS will consider this request in connection with its preparation of code enforcement official training courses.

One comment expressed concerns regarding the ability of an AHJ to enforce the Uniform Code effectively in cases where the local judge fails to impose significant fines because he or she is "elected" and/or because he or she "knows everyone" in town. The comment asked if a judge could be held liable if "someone gets injured." DOS Response: DOS has no authority to adopt a rule limiting the discretion of a judge to determine the amount of a fine to impose in a given case, or making a judge liable if a person is injured because a code violation is not corrected. Allegations of judicial misconduct should be reported to the Office for Court Administration.

One comment asked if the 30-day time to remedy provision of this rule would apply to a person charged with violation of a town's local law that makes any violation of the Uniform Code or the Energy Code a "violation" as defined in the Penal Law. DOS Response: Section 1203.5 provides that nothing in section 1203.5 shall be construed as limiting the authority of any AHJ to use any other available means of enforcing the Uniform Code. Section 1203.5 would not apply in any situation where the AHJ has not issued an OTR.

DESCRIPTION OF CHANGES MADE IN THE RULE AS A RESULT OF COMMENTS

Captions: Subdivision captions were added.

Subdivision (a): A new sentence that expressly states the purpose of section 1203.5 to clarify that section 1203.5 applies only to OTRs was added.

Subdivision (b): A new subdivision (b), defining the terms, was added.

Subdivision (c): The provision fixing the time for compliance with OTRs was moved into a new, separate subdivision (c).

Subdivision (d): Former subdivision (b) was renumbered as new subdivision (d). The statement intended to satisfy the statutory requirement that an OTR "state" the time for compliance was revised.

Subdivision (e): Former subdivision (c) was revised as new subdivision (e). New paragraphs were added to clarify the date on which an OTR is deemed to be "served."

Subdivision (f): Former subdivision (d) was renumbered as new subdivision (f). The subdivision was revised to clarify its meaning. A new paragraph providing that an OTR can direct the person or entity served to take other protective actions was added.

Subdivision (g): Former subdivision (e) was renumbered as new subdivision (g). Paragraphs (6) and (7) were revised and new paragraphs (8) and (9) were added to clarify and expand the non-exclusive list of the other code-enforcement tools that remained unaffected by this rule. A sentence stating that nothing in section 1203.5 shall be construed as requiring an AHJ to issue an OTR in all cases was added.

Subdivision (h): This new subdivision provides that orders issued by the Office of Fire Prevention and Control (OFPC) pursuant to Executive Law § 156-e are not covered by this rule.

[1] DOS notes that "personal service" of a legal document is not necessarily limited to personal delivery of the document to the person named in the document. See, for example, CPLR 308, which authorizes "personal" service of a summons by personal delivery or by any one of several other means.

Department of Taxation and Finance

NOTICE OF ADOPTION

Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith

I.D. No. TAF-21-15-00001-A
Filing No. 678
Filing Date: 2015-08-06
Effective Date: 2015-08-06

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 492.1(b)(1) of Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subd. First, 301-h(c), 509(7), 523(b) and 528(a)

Subject: Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.

Purpose: To set the sales tax component and the composite rate per gallon for the period July 1, 2015 through September 30, 2015.

Text or summary was published in the May 27, 2015 issue of the Register, I.D. No. TAF-21-15-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kathleen D. O'Connell, Tax Regulations Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: tax.regulations@tax.ny.gov

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Fuel Use Tax on Motor Fuel and Diesel Motor Fuel and the Art. 13-A Carrier Tax Jointly Administered Therewith

I.D. No. TAF-34-15-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 492.1(b)(1) of Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subd. First, 301-h(c), 509(7), 523(b) and 528(a)

Subject: Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.

Purpose: To set the sales tax component and the composite rate per gallon for the period October 1, 2015 through December 31, 2015.

Text of proposed rule: Section 1. Paragraph (1) of subdivision (b) of section 492.1 of such regulations is amended by adding a new subparagraph (lxxx) to read as follows:

Motor Fuel			Diesel Motor Fuel		
Sales Tax Component	Composite Rate	Aggregate Rate	Sales Tax Component	Composite Rate	Aggregate Rate
(lxxix) July - September 2015					
14.7	22.7	40.5	16.0	24.0	40.05
(lxxx) October - December 2015					
15.7	*23.7*	*41.5*	*16.0*	*24.0*	*40.05*

Text of proposed rule and any required statements and analyses may be obtained from: Kathleen D. O'Connell, Tax Regulations Specialist, Department of Taxation and Finance, Office of Counsel, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: tax.regulations@tax.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

Office of Temporary and Disability Assistance

NOTICE OF ADOPTION

Emergency Shelter Allowances

I.D. No. TDA-23-15-00004-A
Filing No. 684
Filing Date: 2015-08-11
Effective Date: 2015-08-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 352.3(k) of Title 18 NYCRR.

Statutory authority: Social Services Law, sections 20(3)(d), 34(3)(f), 131(1); L. 2008, ch. 53; L. 2009, ch. 53; L. 2010, chs. 58, 110; L. 2011, ch. 53; L. 2012, ch. 53; L. 2013, ch. 53; L. 2014, ch. 53; L. 2015, ch. 53

Subject: Emergency Shelter Allowances.

Purpose: Update provisions for Emergency Shelter Allowances for persons with AIDS or HIV-related illness to reflect statutory authority.

Text or summary was published in the June 10, 2015 issue of the Register, I.D. No. TDA-23-15-00004-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Richard P. Rhodes, Jr., New York State Office of Temporary and Disability Assistance, 40 North Pearl Street, 16-C, Albany, NY 12243-0001, (518) 486-7503, email: richard.rhodesjr@otda.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2018, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Public Service Commission		
PSC-33-15-00006-P	Recovery of costs related to an RSSA	Department of Public Service, Three Empire State Plaza, 3rd Fl. Hearing Rm., Albany, NY—Oct. 7, 2015, 10:00 a.m., and continuing daily as needed* *Hearing dates are subject to change. Notification of any subsequent scheduling changes will be posted on the DPS website (www.dps.ny.gov) under Case 14-E-0270.
State, Department of		
DOS-04-15-00004-ERP	Fixing the time for compliance with an order to remedy violation(s) of the State Uniform Fire Prevention and Building Code	Department of State, 99 Washington Ave., Rm. 505, Albany, NY—Oct. 14, 2015, 10:00 a.m.
DOS-28-15-00004-EP	Installation of carbon monoxide detecting devices in commercial buildings	Department of State, 99 Washington Ave., Rm. 505, Albany, NY—Aug. 31, 2015, 10:00 a.m.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	**01**	**12**	**00001**	**P**

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action

AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-17-15-00011-P	..... 05/19/16	Growth and cultivation of industrial hemp	To set forth procedures for authorizing and regulating the growth and cultivation of industrial hemp
AAM-28-15-00003-P	..... 07/14/16	Incorporate by reference in 1 NYCRR of the 2015 edition of National Institute of Standards and Technology (''NIST'') Handbook 133	To incorporate by reference in 1 NYCRR the 2015 edition of NIST Handbook 133
AAM-32-15-00001-P	..... 08/11/16	Petroleum products and delivery devices; exemption of maple syrup and honey producers from food processing	To repeal requirements relating to petroleum devices and products, and to maple syrup and honey producers

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

ASA-26-15-00006-EP	..... 06/30/16	Establishment, Incorporation and Certification of Providers of Substance Use Disorder Services	To enhance protections for service recipients in the OASAS system
ASA-26-15-00007-EP	..... 06/30/16	Criminal History Information Reviews	To enhance protections for service recipients in the OASAS system
ASA-26-15-00008-EP	..... 06/30/16	Patient Rights	To enhance protections for service recipients in the OASAS system.
ASA-26-15-00010-EP	..... 06/30/16	Credentialing of Addictions Professionals	To enhance protections for service recipients in the OASAS system.

AUDIT AND CONTROL, DEPARTMENT OF

AAC-18-15-00003-P	..... 05/05/16	Prompt payment processing	To include electronic invoices and the processing of e-invoices within the procedures for calculating prompt payment interest
AAC-33-15-00001-P	..... 08/18/16	Meeting Attendance for members of the Investment Advisory Committee	To allow members of the Investment Advisory Committee to attend via conference telephone or certain other electronic means

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AUDIT AND CONTROL, DEPARTMENT OF			
AAC-33-15-00003-P	08/18/16	The role and responsibilities of the agency privacy officer; conform the regulation to law; correcting typographical errors	To clarify the role and responsibilities of the agency privacy officer, and to conform the regulation to law
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-20-15-00004-P	05/19/16	Casework contact for foster children placed out of state	To conform NYS standards for casework contacts of foster children under age 18 who are placed out of state to federal standards
CFS-25-15-00004-P	06/23/16	The expansion of the Business Enterprise Program priority in accordance with Chapter 532 of the Laws of 2010	To allow the Business Enterprise Program to expand opportunities for employment of blind and visually impaired individuals
CFS-25-15-00005-P	06/23/16	To eliminate the use of restraint solely to prevent property damage in residential facilities for children	To eliminate the use of restraint solely to prevent property damage in residential facilities for children
CIVIL SERVICE, DEPARTMENT OF			
CVS-44-14-00016-P	11/05/15	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-01-15-00023-P	01/07/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-11-15-00002-P	03/17/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-11-15-00003-P	03/17/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-11-15-00004-P	03/17/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-11-15-00005-P	03/17/16	Jurisdictional Classification	To classify positions in the exempt class
CVS-11-15-00006-P	03/17/16	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-11-15-00007-P	03/17/16	Jurisdictional Classification	To delete a position from and classify a position in the non-competitive class
CVS-11-15-00008-P	03/17/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-11-15-00009-P	03/17/16	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-11-15-00010-P	03/17/16	Jurisdictional Classification	To delete subheadings and positions from and classify positions in the non-competitive class
CVS-13-15-00003-P	03/31/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-15-00004-P	03/31/16	Jurisdictional Classification	To delete a subheading and positions from and classify positions in the exempt class
CVS-13-15-00005-P	03/31/16	Jurisdictional Classification	To classify a position in the non-competitive class.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-13-15-00006-P	03/31/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-15-00007-P	03/31/16	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-15-00014-P	03/31/16	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2015
CVS-14-15-00005-P	04/07/16	Jurisdictional Classification	To classify positions in the exempt class
CVS-14-15-00006-P	04/07/16	Jurisdictional Classification	To classify positions in the exempt class
CVS-14-15-00007-P	04/07/16	Jurisdictional Classification	To classify a position in the non-competitive class.
CVS-14-15-00008-P	04/07/16	Jurisdictional Classification	To delete positions from the exempt and non-competitive classes.
CVS-19-15-00003-P	05/12/16	Jurisdictional Classification	To classify positions in the exempt class
CVS-19-15-00004-P	05/12/16	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-19-15-00005-P	05/12/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-19-15-00006-P	05/12/16	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class
CVS-29-15-00006-P	07/21/16	Jurisdictional Classification	To delete a position from and classify positions in the exempt class
CVS-29-15-00007-P	07/21/16	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-29-15-00008-P	07/21/16	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-29-15-00009-P	07/21/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-29-15-00010-P	07/21/16	Jurisdictional Classification	To delete positions from the exempt class
CVS-29-15-00011-P	07/21/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-34-15-00006-P	08/25/16	Jurisdictional Classification	To classify a position in the exempt class
CVS-34-15-00007-P	08/25/16	Jurisdictional Classification	To classify a position in the exempt class and to classify a position in the non-competitive class
CVS-34-15-00008-P	08/25/16	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-34-15-00009-P	08/25/16	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-34-15-00010-P	08/25/16	Jurisdictional Classification	To classify a position in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-34-15-00011-P	08/25/16	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-34-15-00012-P	08/25/16	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-34-15-00013-P	08/25/16	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-08-15-00002-P	02/25/16	Rochester Correctional Facility	To correct the address for Rochester Correctional facility
CCS-15-15-00002-P	04/14/16	Taconic Correctional Facility	Remove reference to functions that are no longer operational at this correctional facility
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-24-15-00002-P	06/16/16	The Empire State Film Production Tax Credit Program	Correcting a passage relating to the process for submitting an application to the Program
EDUCATION DEPARTMENT			
EDU-48-14-00008-P	12/03/15	Field tests for State assessments, alternate assessments and Regents examinations	To clarify that school districts must administer field tests in the schools for which they are assigned
EDU-10-15-00011-P	03/10/16	Off-premises delivery of prescription medications by New York resident pharmacies	To require pharmacies to obtain patient consent before automatically delivering new or refilled prescriptions
EDU-13-15-00021-P	03/31/16	Supplementary Teaching Certificates in Bilingual Education and English to Speakers of Other Languages (ESOL)	To provide additional pathways for teacher certification candidates to obtain supplementary bilingual education extension and the ESOL supplementary certificate, for a three year period to conclude on June 30, 2018
EDU-13-15-00030-ERP	03/31/16	Special Education Itinerant Services (SEIS)	To revise the SEIS tuition reimbursement methodology
EDU-14-15-00003-ERP	04/07/16	Self-administration of certain medications by students	To establish standards for the self-administration by students of certain prescribed medications on school property and at school functions and the training of unlicensed school personnel to administer prescribed epinephrine auto injectors and glucagon
EDU-22-15-00012-EP	06/02/16	Teacher Certification	To provide a safety net for candidates who take the new teacher certification examinations (ALST, EAS, and the redeveloped CSTs) and to extend the time validity of the existing edTPA safety net
EDU-26-15-00012-P	06/30/16	Doctor of Occupational Therapy (O.T.D.) degree	To authorize the conferral in New York State of the degree of Doctor of Occupational Therapy (O.T.D.)
EDU-26-15-00013-P	06/30/16	Instruction in Cardiopulmonary Resuscitation (CPR) and Use of Automated External Defibrillators (AEDs)	To require hands-only instruction in CPR and instruction in the use of AEDs in senior high schools

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-27-15-00006-EP	07/07/16	Probationary Appointments and Tenured Teacher Hearings	To Implement Subparts D and G of of Part EE Chapter 56 of the Laws of 2015
EDU-27-15-00007-EP	07/07/16	Administration of opioid related overdose treatment and hepatitis C tests by registered professional nurses (RNs)	To implement Part V of Ch. 57 of 2015 and Ch. 352 of 2014 regarding opioid related overdose treatment and hepatitis C tests
EDU-27-15-00008-EP	07/07/16	School receivership	To implement Education Law section 211-f, as added by Part EE, Subpart H of Ch. 56 of the Laws of 2015
EDU-27-15-00009-P	07/07/16	Opioid Overdose Prevention	To establish standards for the elective participation by school districts, boards of cooperative educational services, county vocational education and extension boards, charter schools, and non-public elementary and secondary schools
EDU-27-15-00010-EP	07/07/16	Foster Youth College Success Initiative	To implement the Foster Youth College Success Initiative, as added by Part X of Chapter 56 of the Laws of 2015
EDU-27-15-00019-P	07/07/16	Annual Professional Performance Reviews of Classroom Teachers and Building Principals	To Implement Subparts D and E of Part EE of Chapter 56 of the Laws of 2015
EDU-31-15-00002-EP	08/04/16	Elementary and Secondary Education Act (ESEA) Flexibility and school and school district accountability	To implement New York State's approved ESEA Flexibility Waiver Renewal
ELECTIONS, STATE BOARD OF			
SBE-16-15-00019-EP	04/21/16	Independent Expenditure Committee Disclosure	To set forth the requirements for Independent Expenditure Committees to disclose financial activity
SBE-24-15-00007-P	06/16/16	Political Campaign Contribution Limits	Adjust contribution limits to reflect the consumer price index
SBE-32-15-00003-P	08/11/16	State Board of Elections Civil Enforcement Hearing Procedure	To provide a fair process of civil enforcement that ensures due process of law in all administrative adjudicatory proceedings
SBE-32-15-00024-P	08/11/16	Administration of oaths, examination of witnesses and issuance of subpoenas for the purpose of conducting investigations	To update Part 6203 of 9 NYCRR as a result of Laws of 2014, chapter 55, part H, subpart B
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
*ENV-31-14-00006-P	10/23/15	Petroleum Bulk Storage (PBS) and Used Oil Management	To harmonize existing State requirements with overlapping Federal requirements
*ENV-31-14-00007-P	10/23/15	Chemical Bulk Storage	To amend existing CBS rule to be at least as stringent as EPA federal rule (40 CFR 280) and include NYS 2008 statutory changes
ENV-48-14-00005-P	01/27/16	Water quality standards for Class I and Class SD waters in New York City and Suffolk County	To amend New York's water quality standards for Class I and Class SD waters to meet the "swimmable" goal of the Clean Water Act
ENV-04-15-00006-P	01/28/16	Regulations governing the recreational harvest of winter flounder	Extend the recreational winter flounder fishing open season from April 1 - May 30 to March 1 - December 31

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-19-15-00010-P	05/12/16	Fisher trapping seasons and bag limits and general trapping regulations for furbearers	Revise existing fisher seasons, establish a new season in central/western NY, update and clarify general trapping regulations
ENV-19-15-00016-P	05/12/16	Regulations governing the recreational harvest of black sea bass	To reduce recreational black sea bass harvest by 33% by increasing the fish minimum size to 14 inches
ENV-21-15-00010-P	05/26/16	Emerald Ash Borer Quarantine	To restrict EAB to 14 restricted zones where infestations exist
ENV-23-15-00008-P	07/28/16	Environmental Remediation - Brownfield Cleanup Program	To amend the Environmental Remediation Program regulations that pertain to the Brownfield Cleanup Program
ENV-24-15-00013-P	06/16/16	Rule making to implement ECL 17-0826-a	To implement the reporting, notification and record keeping requirements of ECL 17-0826-a
ENV-27-15-00004-P	08/23/16	Incorporation by reference of Federal NESHAP and NSPS rules	Incorporation by reference of Federal NESHAP and NSPS
ENV-27-15-00005-P	08/23/16	Greenhouse gas (GHG) and zero emission vehicle (ZEV) vehicle emission standards	To incorporate revisions to California's GHG and ZEV standards
ENV-34-15-00003-P	08/25/16	Sportfishing and associated activities	To revise sportfishing regulations & associated activities including the commercial collection, sale and use of baitfish
ENV-34-15-00028-P	08/25/16	Qualifications for License Issuing Agents and Wildlife Rehabilitators	To remove regulatory requirements that exclude individuals with felonies from obtaining certain licenses and authorizations
FINANCIAL SERVICES, DEPARTMENT OF			
DFS-46-14-00013-P	11/19/15	Reports to Central Organization	To remove an outdated references to "PILR" in the title of section 62-2.2
DFS-18-15-00009-P	05/05/16	Title Insurance Rates, Expenses and Charges	To insure proper, non-excessive rates, compliance with Ins. Law 6409(d), and reasonable charges for ancillary services
DFS-20-15-00005-P	05/19/16	FINANCIAL STATEMENT FILINGS AND ACCOUNTING PRACTICES AND PROCEDURES	To update citations in Part 83 to the Accounting practices and Procedures Manual as of March 2014 (instead of 2013)
DFS-23-15-00002-P	06/09/16	Debt Collection	Fixes errors in debt collection rules
GAMING COMMISSION, NEW YORK STATE			
SGC-29-15-00012-P	07/21/16	Definitions of terms used in proposed Part 5301 and proposed Parts 5303 through 5307	To define terms applicable to proposed Part 5301 and proposed Parts 5303 through 5307
SGC-29-15-00013-P	07/21/16	Process for and form of gaming facility license application	To govern the gaming facility license application, the process for determining suitability and award of a license
SGC-29-15-00014-P	07/21/16	Minority- and women-owned business and workforce diversity plan requirements for gaming facility licensees	To ensure gaming facility licensees construct and operate their projects in a manner that assures diversity of opportunity

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GAMING COMMISSION, NEW YORK STATE			
SGC-29-15-00015-P	07/21/16	The licensing and registration of gaming facility employees and vendors	To govern the licensing and registration of gaming facility employees and vendors
SGC-29-15-00026-P	07/21/16	New York Lottery draw game rules, including rules implementing changes to Powerball lottery game	Implement nationwide changes to Powerball multi-state lottery game; make ''Quick Pick'' definition consistent for all draw games
SGC-33-15-00013-P	08/18/16	Numbers and Win-4 lottery wagers	To allow the Commission to introduce a new type of lottery wager to raise revenue for education
GENERAL SERVICES, OFFICE OF			
GNS-18-15-00001-P	05/05/16	Federal Surplus Property Program	To conform the State Plan of Operation with requirements of Federal Management Regulations (FMR) 102-37.465
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-28-14-00008-RP	10/14/15	Immediate Needs for Personal Care Services	To provide for meeting the immediate needs of Medicaid applicants and recipients for personal care services
HLT-35-14-00002-P	09/03/15	Statewide Health Information Network for New York (SHIN-NY)	To promulgate regulations, consistent with federal law and policies, that govern the Statewide Health Information Network for NY
HLT-36-14-00012-P	09/10/15	Personal Care Services Program (PCSP) and Consumer Directed Personal Assistance Program (CDPAP)	To establish definitions, criteria and requirements associated with the provision of continuous PC and continuous CDPA services
HLT-39-14-00018-P	10/01/15	Medical Records Access Review Committees (MRARCs)	To designate rather than appoint MRARCs to hear appeals from the denial of access to patient information
HLT-40-14-00016-P	10/08/15	Inpatient Rate for Language Assistance Services	To establish hospital inpatient payment rate to reimburse hospitals for the costs of providing language interpretation services
HLT-40-14-00017-P	10/08/15	Nursing Home (NH) Transfer and Discharge Rights	To clarify requirements governing NH transfers and discharges so that facilities will uniformly comply with federal regulations
HLT-40-14-00018-P	10/08/15	Managed Care Organizations	To lower the contingent reserve requirement applied to the Medicaid Managed Care, Family Health Plus & HIV SNP Programs
HLT-41-14-00002-P	10/15/15	Certificate of Need (CON) Requirements	Simplify CON review requirements for projects involving nonclinical infrastructure, equipment replacement & repair & maintenance
HLT-42-14-00001-P	10/22/15	Audited Financial Statements for Managed Care Organizations	To extend audit and reporting standards to all managed care organizations (MCOs), including PHSPs, HIV SNPs and MLTCPs
HLT-43-14-00001-P	10/29/15	Hospital Observation Services	To amend current observation services provisions to be in compliance with changes in Public Health Law, Section 2805-v

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HEALTH, DEPARTMENT OF			
HLT-08-15-00003-P	02/25/16	Supplementary Reports of Certain Congenital Anomalies for Epidemiological Surveillance; Filing	To increase maximum age of reporting certain birth defects to the Congenital Malformations Registry
HLT-11-15-00019-P	03/17/16	Standards for Individual Onsite Water Supply and Individual Onsite Wastewater Treatment Systems	Establishes minimum water quality standards for individual onsite water supply systems
HLT-16-15-00014-P	04/21/16	Rate Rationalization – Prevocational Services, Respite, Supported Employment and Residential Habilitation	To establish new rate methodology effective July 1, 2015
HLT-18-15-00008-P	05/05/16	Computed Tomography (CT) Quality Assurance	To protect the public from the adverse effects of ionizing radiation.
HLT-22-15-00016-P	06/02/16	Chronic Renal Dialysis Services (CRDS)	To update the CRDS provisions concerning Medicare and Medicaid Programs for coverage for End Stage Renal Disease Facilities
HLT-24-15-00006-P	06/16/16	Patient Access of Laboratory Test Results	To give patients a right to access medical records directly from clinical laboratories, including completed lab. test reports
HLT-30-15-00005-P	07/28/16	Practice of radiologic technology	To update regulations related to the practice of radiologic technology
HLT-30-15-00006-P	07/28/16	Medicaid provider enrollment	To make technical, conforming changes to regulations governing the enrollment of Medicaid providers of care, services and supplies
HLT-30-15-00007-P	07/28/16	Reciprocal Emergency Medical Technician Certification Requirements	To replace the emergency medical technician-intermediate category with the advanced emergency medical technician category
HLT-30-15-00008-P	07/28/16	Controlled Substances for EMS Agency Agent and Requirements for an Advanced Life Support System	To amend the regulations regarding the EMS Agency Agent and the Requirements for an Advanced Life Support System
HLT-30-15-00009-P	07/28/16	Requirements for Manufacturers and Distributors Regarding Controlled Substances	To clarify and use language consistent with current terminology used by the State Board of Pharmacy
HLT-34-15-00005-EP	08/25/16	Prohibit Additional Synthetic Cannabinoids	To add additional chemicals to the list of explicitly prohibited synthetic cannabinoids
HIGHER EDUCATION SERVICES CORPORATION			
ESC-30-15-00001-P	07/28/16	Adjustments to income	To clarify that adjustments to income apply to other family members attending post-secondary institutions outside New York State
HOMELAND SECURITY AND EMERGENCY SERVICES, DIVISION OF			
HES-32-15-00002-EP	08/11/16	Registration of manufacturers, distributors,wholesalers, various retailers of sparkling devices	Establish the registration process, fees and reporting requirements related to sparkling devices

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HOUSING AND COMMUNITY RENEWAL, DIVISION OF			
HCR-29-15-00002-P	07/21/16	Give PHAs greater discretion in establishing standards for admission and continued occupancy in State-aided housing projects	To eliminate outmoded standards of eligibility for State-aided public housing projects
JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS			
JCP-28-15-00008-EP	07/14/16	Protocols for interviewing service recipients during investigations of abuse or neglect	To enhance protections for people with special needs during investigations of abuse or neglect
LABOR, DEPARTMENT OF			
LAB-21-15-00009-P	05/26/16	Methods of Payment of Wages	This regulation provides clarification and specification as to the permissible methods of payment, including payroll debit cards
LIQUOR AUTHORITY, STATE			
LQR-34-15-00029-P	08/25/16	Update outdated application procedures and eliminate archaic physical standards for certain licenses types	To update application procedures, codify current online filing practices and eliminate archaic restrictions on certain licenses
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
LPA-07-15-00003-P	exempt	The rates and charges set forth in LIPA's Tariff for Electric Service	To set rates and charges at the lowest level consistent with sound fiscal and operating practices and safe and adequate service
MENTAL HEALTH, OFFICE OF			
OMH-25-15-00006-EP	06/23/16	PROS; Medical Assistance Payment Outpatient Programs; Medical Assistance Payment for Comp. Psychiatric Emergency Programs (CPEP)	Increase Medicaid fees paid to certain OMH-licensed programs consistent with enacted State Budgets & Chapter 60 of Laws of 2014
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
NIAGARA FRONTIER TRANSPORTATION AUTHORITY			
NFT-31-15-00001-P	08/04/16	Technical changes to existing rule regulating commercial ground transportation at the Buffalo Niagara International Airport	To correct the name of the airport in the existing rule and delete outdated text

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PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-33-15-00005-P	08/18/16	Day and Residential Habilitation Changes	To discontinue individual day habilitation and add allowable services under residential habilitation
PDD-33-15-00014-P	08/18/16	Person-Centered Planning	To implement federal requirements for a person-centered planning process and a person-centered plan
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-11-15-00016-P	exempt	Rates for the Sale of Power and Energy	To improve the net metering services currently offered by the Authority to its New York City and Westchester Customers
PUBLIC SERVICE COMMISSION			
*PSC-28-97-00032-P	exempt	General service by Central Hudson Gas & Electric Corporation	To limit certain special provisions
*PSC-34-97-00009-P	exempt	Collection agency fees by Consolidated Edison Company of New York, Inc.	To pass collection agency fees on to the customer
*PSC-04-98-00015-P	exempt	Interconnection service overcharges by Niagara Mohawk Power Corporation	To consider a complaint by Azure Mountain Power Co.
*PSC-19-98-00008-P	exempt	Call forwarding by CPU Industries Inc./MKL Net, et al.	To rehear the petition
*PSC-02-99-00006-EP	exempt	Intralata freeze plan by New York Telephone Company	To approve the plan
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-50-99-00009-P	exempt	Retail access uniform business practices by The Brooklyn Union Gas Company and KeySpan Gas East Corporation d/b/a Brooklyn Union of Long Island	To approve a joint petition requesting a waiver extension of a requirement set forth in the commission's order
*PSC-52-99-00006-P	exempt	Wide area rate center calling	To implement number conservation measures
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-14-00-00004-EP	exempt	NXX code in the 716 NPA by Broadview Networks	To assign an NXX code in Buffalo
*PSC-14-00-00026-P	exempt	Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and Media Log, Inc.	To review the terms and conditions of the negotiated agreement
*PSC-14-00-00027-P	exempt	Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and Pilgrim Telephone, Inc.	To review the terms and conditions of the negotiated agreement

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-14-00-00029-P	exempt	Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and CoreComm New York, Inc.	To review the terms and conditions of the negotiated agreement
*PSC-16-00-00012-P	exempt	Termination of local telecommunications traffic by Hyperion Communications of New York, Inc.	To determine appropriate compensation levels
*PSC-21-00-00007-P	exempt	Initial tariff schedule by Drew Road Association	To set forth the rates, charges, rules and regulations
*PSC-31-00-00026-P	exempt	Water service by Windover Water Works	To abandon the water system
*PSC-33-00-00010-P	exempt	Electric rate and restructuring plan by Rochester Gas and Electric Corporation	To evaluate possible modifications
*PSC-36-00-00039-P	exempt	Steam increase by Consolidated Edison Company of New York, Inc.	To provide for an annual increase in the first year of a proposed four-year rate plan
*PSC-37-00-00001-EP	exempt	Interruptible gas customers	To ensure customers have an adequate supply of alternative fuel available
*PSC-39-00-00004-P	exempt	Blockable central office codes by PaeTec Communications, Inc.	To review the commission's requirements for assignment of numbering resources
*PSC-44-00-00014-P	exempt	Recovery of costs through adjustment mechanisms by Consolidated Edison Company of New York, Inc.	To permit the recovery of certain costs
*PSC-49-00-00007-P	exempt	Gas sales and purchases by Corning Natural Gas Corporation	To determine whether certain gas sales and purchases were in the public interest and whether customers should bear the resulting costs
*PSC-01-01-00023-P	exempt	Installation, maintenance and ownership of service laterals by Rochester Gas and Electric Corporation	To update and clarify the provisions
*PSC-06-01-00009-P	exempt	Uniform system of accounts by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-13-01-00001-P	exempt	Request for accounting authorization by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-13-01-00002-P	exempt	Request for accounting authorization by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-13-01-00003-P	exempt	Request for accounting authorization by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-15-01-00012-P	exempt	Transfer of a controlling leasehold interest by Huntley Power LLC	To approve the transfer
*PSC-22-01-00006-P	exempt	Con Edison's phase 4 plan for retail access by AES Energy, Inc.	To review the request for rehearing
*PSC-26-01-00012-P	exempt	Interconnection of networks between Sprint PCS and Verizon New York Inc.	To review the terms and conditions of the negotiated agreement
*PSC-36-01-00010-P	exempt	Competitive metering by eBidenergy.com	To clarify meter ownership rules and requirements

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PUBLIC SERVICE COMMISSION			
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-01-02-00007-P	exempt	Accounting and rate treatment of proceeds by Consolidated Edison Company of New York, Inc.	To consider proceeds from sale of nuclear generating facilities
*PSC-05-02-00005-P	exempt	Uniform system of accounts by Consolidated Edison Company of New York, Inc.	To defer expenditures incurred in connection with emergency response services affected by the World Trade Center disaster
*PSC-06-02-00015-P	exempt	Network reliability performance mechanism by Consolidated Edison Company of New York, Inc.	To earn rewards for meeting the targets of the network reliability performance mechanism
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-29-02-00014-P	exempt	Financing by Valley Energy, Inc.	To issue a note and allocate costs
*PSC-49-02-00021-P	exempt	Requests for lightened regulation by PSEG Power Bellport, LLC	To consider the company's request
*PSC-08-03-00009-P	exempt	Provision of gas service to World Kitchen Incorporated	To establish terms and conditions
*PSC-09-03-00012-P	exempt	Incremental service line installations by New York State Electric & Gas Corporation	To revise the current flat rate per foot charged
*PSC-09-03-00014-P	exempt	Deferral accounting by Consolidated Edison Company of New York, Inc. and Orange and Rockland Utilities, Inc.	To defer expense items beyond the end of the year(s) in which they were incurred
*PSC-11-03-00012-P	exempt	Economic development plan by New York State Electric & Gas Corporation	To consider the plan
*PSC-18-03-00004-P	exempt	Lightened regulation by East Hampton Power and Light Corporation (EHPLC)	To provide for lightened regulation and grant financing approval
*PSC-22-03-00020-P	exempt	Inter-departmental gas pricing by Consolidated Edison Company of New York, Inc.	To revise the method used in steam and steam-electric generating stations
*PSC-32-03-00020-P	exempt	Issuance of debt and approval of surcharge by Rainbow Water Company	To approve necessary financing
*PSC-34-03-00019-P	exempt	Issuance of securities by KeySpan East Corporation d/b/a KeySpan Energy Delivery Long Island	To obtain authorization to issue securities
*PSC-35-03-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and MClMetro Access Transmission Services LLC	To amend the agreement
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-39-03-00013-P	exempt	Complaint by State University of New York (SUNY) regarding a NYSEG operating agreement	To consider the complaint
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process

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PUBLIC SERVICE COMMISSION			
*PSC-41-03-00008-P	exempt	Lightened regulation by Sterling Power Partners, L.P.	To consider granting lightened regulation
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-42-03-00005-P	exempt	Interest rate by the Bath Electric, Gas, and Water Systems	To use an alternate interest rate
*PSC-43-03-00036-P	exempt	Merchant function backout credit and transition balancing account by KeySpan Gas East Corporation	To continue the credit and account until May 31, 2005
*PSC-43-03-00037-P	exempt	Merchant function backout credit and transition balancing account by The Brooklyn Union Gas Company	To continue the credit and account until May 31, 2005
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-47-03-00024-P	exempt	Lightened regulation and financing approval by Medford Energy LLC	To consider the requests
*PSC-02-04-00008-P	exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement

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PUBLIC SERVICE COMMISSION			
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-46-05-00015-P	exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-39-06-00018-P	exempt	Order establishing rate plan by Central Hudson Gas & Electric Corporation and the Consumer Protection Board	To consider the petitions for rehearing
*PSC-39-06-00019-P	exempt	Investigation of Richard M. Osborne by Corning Natural Gas Corporation	To determine the interests, plans and commitments that will be in place if he is successful in blocking the merger of Corning Gas and C&T Enterprises

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-39-06-00022-P	exempt	Uniform business practices and related matters by U.S. Energy Savings Corporation	To establish a contest period
*PSC-40-06-00005-P	exempt	Orion Integral automatic meter reading transmitter by New York State Electric and Gas Corporation	To permit gas utilities in NYS to use the Badger Meter Incorporated Orion Integral transmitters
*PSC-42-06-00011-P	exempt	Submetering of electricity by 225 5th LLC	To submeter electricity at 255 Fifth Ave., New York, NY
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-44-06-00014-P	exempt	Electric power outages in Northwest Queens by Consolidated Edison Company of New York, Inc.	To review the terms and conditions of the agreement
*PSC-45-06-00007-P	exempt	Alleged failure to provide electricity by Robert Andrews	To assess validity of allegations and appropriateness of fines
*PSC-01-07-00031-P	exempt	Enforcement mechanisms by National Fuel Gas Distribution Corporation	To modify enforcement mechanisms
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-23-07-00022-P	exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-23-08-00009-P	exempt	The transfer of certain real property with an original cost under $100,000 in the Town of Throop	To consider the filing for the transfer of certain real property in the Town of Throop
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York

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PUBLIC SERVICE COMMISSION			
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p
*PSC-29-09-00006-P	exempt	Petition for the submetering of electricity at a residential senior citizen facility	To consider the request of Shinda Management Corp. to submeter electricity at 107-37 166th Street, Jamaica, New York
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the $5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income $5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to $50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-25-10-00015-P	exempt	To allow NYWC to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2009	Consideration of NYWC's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2009
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-31-10-00007-P	exempt	Waiver of the Attachment 23 requirement in 2001 Rate Order that NMPC Board of Directors consist of ''outside directors''	To consider the waiver of the requirement that a majority of NMPC Board of directors consist of ''outside directors''
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	exempt	Approval of a contract for $250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of $250,000 for tank repairs
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service
*PSC-12-11-00008-P	exempt	To allow NYWC to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2010	Consideration of NYWC's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2010
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-13-11-00007-P	exempt	Budget allocations and use of System Benefits Charge funds to pay State Cost Recovery Fee	To encourage cost effective gas and electric energy conservation in the State
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-16-11-00011-P	exempt	The Energy Efficiency Portfolio Standard	To promote gas and electricity energy conservation programs in New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's ''Power to Choose'' website	Modify the Commission's utility electric commodity price reporting requirements related to the ''Power to Choose'' website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-23-11-00018-P	exempt	NYSERDA's energy efficiency program for low-income customers	To promote energy conservation in New York State
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about $25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-33-11-00017-P	exempt	Petition for the submetering of electricity	To consider the request of 56-7th Avenue LLC to submeter electricity at 56-7th Avenue, New York, New York
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-51-11-00010-P	exempt	The Total Resource Cost (TRC) test, used to analyze measures in the Energy Efficiency Portfolio Standard program	Petitioners request that the TRC test and/or its application to measures should be revised
*PSC-52-11-00017-P	exempt	Reparations and refunds	Reparations and refunds
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-17-12-00007-P	exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest	Whether the Commission should issue an order approving the proposed provision of water service
*PSC-17-12-00008-P	exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest	Whether the Commission should issue an order approving the proposed provision of water service
*PSC-17-12-00009-P	exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest	Whether the Commission should issue an order approving the proposed provision of water service
*PSC-19-12-00019-P	exempt	EEPS programs administered by New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation	To modify the C&I sector by combining multiple approved C&I programs into a single C&I program for each PA
*PSC-19-12-00022-P	exempt	Approval of a combined heat and power performance program funding plan administered by NYSERDA	Modify NYSERDA's EEPS programs budget and targets to fund the CHP program
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than $100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00005-P	exempt	EEPS multifamily programs administered by Consolidated Edison Company of New York, Inc.	To redesign the multifamily electric and gas programs and modify the budgets and targets
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-35-12-00014-P	exempt	To implement an abandonment of White Knight's water system	To approve the implementation of abandonment of White Knight's water system
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00007-P	exempt	Petition for the submetering of electricity	To consider the request of 215 West 91st Street Corp. to submeter electricity at 215 West 91st Street, New York, New York
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-14-13-00005-P	exempt	Recovery of incremental expense.	To consider petition for recovery of incremental expense.
*PSC-17-13-00008-P	exempt	Provision of historical utility pricing information for comparison purposes for residential ESCO customers	Provision of historical utility pricing information for comparison purposes for residential ESCO customers
*PSC-17-13-00010-P	exempt	Provision of historical pricing information for comparison purposes for residential ESCO customers	Provision of historical pricing information for comparison purposes for residential ESCO customers
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-24-13-00009-P	exempt	Repowering options for the Cayuga generating station located in Lansing, New York, and alternatives	To establish whether utility plans should include repowering options for the Cayuga generating station, or other alternatives
*PSC-24-13-00010-P	exempt	Repowering options for the Dunkirk generating station located in Dunkirk, New York, and alternatives	To establish whether utility plans should include repowering options for the Dunkirk generating station, or other alternatives
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00011-P	exempt	Waiver of certain Commission requirements related to provision of customer information to credit reporting agencies.	To waive a utility's right to provide information to credit reporting agencies related to customers' payment histories.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of ''misleading or deceptive conduct'' in the Commission's Uniform Business Practices	To consider the definition of ''misleading or deceptive conduct'' in the Commission's Uniform Business Practices
*PSC-32-13-00010-P	exempt	Permission to write off and eliminate record keeping for regulatory reserves for Pensions and Other Post Retirement Benefits	To allow write off and eliminate record keeping of Pension and Other Post Retirement Benefits Reserves
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-37-13-00007-P	exempt	Dissolution of Garrow Water Works Company, Inc..	To allow for the dissolution of Garrow Water Works Company, Inc.
*PSC-39-13-00010-P	exempt	NY-Sun initiative within the Customer-Sited Tier of the RPS Program.	To increase the statewide adoption of customer sited photovoltaic solar generation through the NY-Sun Initiative.
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of $94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-01-14-00017-P	exempt	Residential Time-of-Use Rates	To establish residential optional time of use delivery and commodity rates
*PSC-03-14-00009-P	exempt	disposition of tax refunds and other related matters	to determine the disposition of tax refunds and other related matters
*PSC-04-14-00005-P	exempt	National Fuel Gas Corporation's Conservation Incentive Programs.	To modify National Fuel Gas Corporation's Non-Residential Conservation Incentive Program.
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-16-14-00016-P	exempt	Waiver of Commission regulations governing termination of service.	Consider United Water New York Inc.'s proposal to expand termination of service provisions.
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-19-14-00018-P	exempt	Uniform System of Accounts, deferral of an expense item	Authorization of a deferral for an expense item beyond the end of the year in which it was incurred
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00004-P	exempt	Approval of asset transfer.	To allow or disallow transfer of assets from Heritage Hills Water Works Corp. to Community Utilities of New York, Inc.
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-25-14-00015-P	exempt	Surcharges related to the System Benefits Charge, Energy Efficiency Portfolio Standard, Retail Renewable Portfolio Standard	To reduce the public benefit surcharge applicable to large industrial, commercial and institutional energy consumers
*PSC-26-14-00010-P	exempt	Petitioner requests an order authorizing its participation in the next Main Tier solicitation offered under the RPS Program.	To enable continued operation of a 21 MW biomass fueled electric generating facility in Chateaugay, New York.
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00017-P	exempt	Existing ratemaking and rate design practices will be revised with a focus on outcomes and incentives.	To use the Commission's ratemaking authority to foster a DER-intensive system.
*PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P	exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P	exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00025-P	exempt	Allocation of uncommitted Technology and Market Development Funds to the Combined Heat & Power Performance Program.	To consider allocation of uncommitted Technology & Market Development Funds to the Combined Heat & Power Performance Program.
*PSC-30-14-00026-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P	exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00009-P	exempt	Refueling options for the Dunkirk generating station located in Dunkirk, New York, and alternatives	To address the joint petition for rehearing of the Commission's Order related to refueling the Dunkirk generating station
*PSC-32-14-00012-P	exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-32-14-00013-P	exempt	Petition for submetering of electricity	To consider the request of 1 John Street LLC to submeter electricity at 1 John Street, Brooklyn, New York
PSC-34-14-00009-P	exempt	Whether to approve the Quadlogic S10N residential submeter.	Approval of the Quadlogic S10N Smart Meter for use in residential electric submetering is required by 16 NYCRR Parts 93 and 96.
PSC-35-14-00004-P	exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
PSC-35-14-00005-P	exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
PSC-36-14-00009-P	exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
PSC-36-14-00010-P	exempt	The procurement of Main Tier renewable resources will become the responsibility of the State's electric utilities.	To ensure the development of large-scale remnewables in New York State to promote fuel diversity and reduce carbon emissions.
PSC-36-14-00011-P	exempt	To defer pension settlement losses associated with retirements in the year ended March 31, 2014.	To resolve the ratemaking of the pension settlement loss.
PSC-38-14-00003-P	exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
PSC-38-14-00004-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
PSC-38-14-00005-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
PSC-38-14-00007-P	exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
PSC-38-14-00008-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
PSC-38-14-00010-P	exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
PSC-38-14-00018-P	exempt	New electric utility demand response tariffs may be adopted.	To develop mature DER markets by enabling the development and use of DR as an economic system resource.
PSC-39-14-00014-P	exempt	Whether to permit the use of the SATEC EM133 electric submeter.	Pursuant to 16 NYCRR Parts 93 and 96, is necessary to permit the use of the SATEC EM133 electric submeter.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
PSC-40-14-00011-P	exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
PSC-40-14-00015-P	exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
PSC-41-14-00009-P	exempt	Establishment of a Clean Energy Fund and related actions	Consideration of proposal by NYSERDA for the establishment of a Clean Energy Fund and related actions
PSC-41-14-00011-P	exempt	Establishment of annual collections caps and collection and spending mechanisms as described in the Clean Energy Fund Proposal	Consideration of proposal by NYSERDA for the establishment of annual collections caps and collection and spending mechanisms
PSC-41-14-00012-P	exempt	Funding and management of the NY-Sun program as described in the Clean Energy Fund Proposal	Consideration of proposal by NYSERDA for the funding and management of the NY-Sun program
PSC-41-14-00013-P	exempt	Funding and management of the New York Green Bank as described in the Clean Energy Fund Proposal and NY Green Bank Petition	Consideration of proposal by NYSERDA for the funding and management of the New York Green Bank
PSC-41-14-00014-P	exempt	Funding and management of a Market Development program as described in the Clean Energy Fund Proposal	Consideration of proposal by NYSERDA for the funding and management of a Market Development program
PSC-41-14-00015-P	exempt	Funding and management of a Technology and Business Innovation program as described in the Clean Energy Fund Proposal	Consideration of proposal by NYSERDA for the funding and management of a Technology and Business Innovation program
PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-45-14-00003-P	exempt	Notice of Intent to Submeter electricity	To consider the request of Bedford-Stuyvesant South One LLC to submeter electricity at 27 Albany Avenue, Brooklyn, NY
PSC-48-14-00012-P	exempt	Authority to update its System Improvement Charge (SIC Mechanism).	To allow or disallow New York American Water Company to update its System Improvement Charge (SIC Mechanism).
PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
PSC-51-14-00006-P	exempt	The Northeast Power Coordinating Council, Inc's A-Criteria documents and Criteria	To adopt revisions to various rules and measurements of the Northeast Power Coordinating Council, Inc.
PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
PSC-52-14-00026-P	exempt	Community Choice Aggregation.	To consider action related to Community Choice Aggregation.
PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
PSC-01-15-00017-P	exempt	Reimbursement of costs for construction under 16 NYCRR 230	To determine proper reimbursement for costs related to trenching and construction
PSC-03-15-00002-P	exempt	Waiver of tariff provisions related to SC 14 Non-Core Transportation Services for Electric Generation	To determine whether a waiver is warranted
PSC-03-15-00003-P	exempt	To allow residential customers to opt out of AMR metering for gas and make other tariff changes related to gas metering	To allow residential customers to opt out of AMR metering for gas and make other tariff changes related to gas metering
PSC-03-15-00004-P	exempt	To allow residential customers a one time election to opt out of AMR metering and make other tariff changes related to metering	To allow residential customers a one time election to opt out of AMR metering and make other changes related to metering
PSC-04-15-00008-P	exempt	Re-billing SC No. 2 customers from March 2008 through March 2014.	To determine whether re-billing SC No. 2 customers by the Companies' proposed methodology customers is appropriate.
PSC-04-15-00010-P	exempt	To modify the retail access program under SC No. 19 - Seller Transportation Aggregation Service.	To modify the retail access program to implement Tier 2A – Storage Capacity Release and make other tariff changes.
PSC-04-15-00011-P	exempt	To modify the retail access program under SC No. 8 - Seller Services.	To modify the retail access program to implement Tier 2A - Storage Capacity Release and make other tariff changes.
PSC-04-15-00012-P	exempt	Disposition of tax refunds and other related matters.	To determine the disposition of tax refunds and other related matters.
PSC-04-15-00014-P	exempt	Request for waiver of 6 NYCRR Part 501 and United Water's tariff provisions governing main extensions.	To grant, deny or modify a petition for a waiver of 6 NYCRR Part 501 and related United Water's tariff provisions.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-06-15-00003-P	exempt	Petition for submetering of electricity	To consider the request of City Point Residential LLC, to submeter electricity at 366 Flatbush Avenue Ext, Brooklyn, New York
PSC-07-15-00005-P	exempt	Major electric rate increase filing	To establish rates and practices for electric service
PSC-07-15-00006-P	exempt	Whether to order a remand regarding payphone rates	Whether to order a remand regarding payphone rates and award refunds
PSC-07-15-00007-P	exempt	Major gas rate increase filing	To establish rates and practices for gas service
PSC-08-15-00009-P	exempt	Approval of a surcharge.	To allow or disallow Emerald Green Lake Louise Marie Water Company, Inc. for a surcharge.
PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
PSC-09-15-00003-P	exempt	The recovery of costs related to a Reliability Support Services Agreement for services from R.E. Ginna Nuclear Power Plant, LLC	The recovery of costs related to a Reliability Support Services Agreement for services from R.E. Ginna Nuclear Power Plant, LLC
PSC-09-15-00006-P	exempt	Petition for submetering of electricity	To consider the request of 315 East 68th Street Corporation to submeter electricity at 315 East 68th Street, New York, N.Y.
PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
PSC-10-15-00009-P	exempt	Contingency Tariffs regarding demand response issues	To consider Contingency Tariffs regarding demand response issues
PSC-10-15-00010-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s request to retain a portion of a property tax refund
PSC-11-15-00024-P	exempt	Notice of Intent to submeter electricity	To consider the request to submeter electricity at the Island House Apartments at 551, 555, 575 Main Street, N.Y., N.Y.
PSC-11-15-00025-P	exempt	LED Street Lighting	To update tariff leaves to reflect LED lighting options contained in P.S.C. No. 15 - Electricity
PSC-12-15-00007-P	exempt	The Annual Reconciliation of Gas Expenses and Gas Cost Recoveries codified at Title 16 NYCRR Section 720.6.5	Examine the Annual Reconciliation of Gas Expenses and Gas Cost Recoveries mechanism
PSC-12-15-00008-P	exempt	Minor electric rate filing	To approve an increase in annual electric revenues by approximately $299,966 or 3.2%
PSC-13-15-00024-P	exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
PSC-13-15-00026-P	exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-13-15-00027-P	exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
PSC-13-15-00028-P	exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
PSC-13-15-00029-P	exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
PSC-14-15-00010-P	exempt	The sale of utility property	Whether to authorize the sale of street lighting facilities to the Town of West Seneca
PSC-15-15-00004-P	exempt	Whether to permit the use of the GE/Dresser Model 5 transfer prover with 20M and 5M reference standards	Whether to approve the use of tthe Model 5 transfer prover, with 20M, and 5M reference stds
PSC-15-15-00005-P	exempt	The approval of Arteche's Medium Voltage Class Metering Instrument Transformers in New York State	Whether to approve the use of Arteche's Medium Voltage Class Metering Instrument Transformers in New York State
PSC-15-15-00006-P	exempt	Area Code Overlay	To authorize an area code overlay in the current 212/646/917 area code
PSC-15-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s request to retain a portion of a property tax refund
PSC-15-15-00008-P	exempt	Minor electric rate filing	To approve an increase in annual electric revenues by approximately $1,197,760 or 2.48%
PSC-16-15-00010-P	exempt	The submetering of electric service at 325 Lexington Avenue, New York, NY 10016	Whether to authorize the submetering of electric service at 325 Lexington Avenue, New York, NY 10016
PSC-17-15-00004-P	exempt	Rehearing of the Commission's Order Adopting Regulatory Policy Framework and Implementation Plan	Consideration of a petition for rehearing
PSC-17-15-00005-P	exempt	The submetering of electricity	To consider the request of Cottage Street Apartments, LLC, to submeter electricity at 31 Cottage Street, Troy, New York
PSC-17-15-00006-P	exempt	Petition to submeter electricity	To consider the request of 56th and Park (NY) LLC, to submeter electricity at 432 Park Avenue, New York, New York
PSC-17-15-00007-P	exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million
PSC-17-15-00008-P	exempt	Whether to approve, modify or reject in whole or in part an increase in annual revenues of approximately $35,507 or 22.8%	Whether to approve, modify or reject in whole or in part an increase in annual revenues of approximately $35,507 or 22.8%
PSC-17-15-00009-P	exempt	To make clarifying tariff revisions	For approval to make clarifying revisions to Rule 28 - Special Services Performed by Company at a Charge
PSC-18-15-00004-P	exempt	National Grid's electric Economic Development Programs	To revise the economic development assistance to qualified businesses

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-18-15-00005-P	exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
PSC-18-15-00006-P	exempt	Proposed Targeted Demand Management (TDM) Program and REV Demonstration Projects Cost Recovery and Incentive Mechanisms	To effectuate the TDM Program and to establish incentives and cost recovery for the TDM program and REV Demonstration Projects
PSC-18-15-00007-P	exempt	National Grid's Economic Development Programs	To authorize a new economic development program for National Grid's natural gas service territory
PSC-19-15-00011-P	exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
PSC-19-15-00015-P	exempt	To consider the request of Hudson CBD Flatbush LLC to submeter electricity at 626 Flatbush Avenue, Brooklyn, New York	To consider the request of Hudson CBD Flatbush LLC to submeter electricity at 626 Flatbush Avenue, Brooklyn, New York
PSC-20-15-00006-P	exempt	Implementation of the proposed Microgrid Business Model as a reliability and demand management resource	Consider implementation of the proposed Microgrid Business Model as a reliability and demand management resource
PSC-20-15-00007-P	exempt	Considering proposals for changes to the Electronic Data Interchange standards	To consider proposals for changes to the Electronic Data Interchange standards
PSC-20-15-00008-P	exempt	Petition for rehearing and/or clarification of the Commission's Order, issued in Case 13-W-0246	To consider the petition for rehearing and/or clarification filed by the Town of Ramapo
PSC-20-15-00009-P	exempt	Actions on a financing and ownership transfer and restructuring transactions for an electric transmission facility	To consider actions on a financing and ownership transfer and restructuring transactions for an electric transmission facility
PSC-21-15-00005-P	exempt	Limitations on locating multiple generation facilities under electric utility tariffs for remote net metering	Consider limitations on locating multiple generation facilities under electric utility tariffs for remote net metering
PSC-21-15-00006-P	exempt	Issuance of promissory notes and the assumption of the costs and benefits of certain derivative instruments	To authorize the issuance of the above notes and to authorize entering into agreements concerning derivative transactions
PSC-21-15-00007-P	exempt	Whether Bath should be permitted to recover purchased gas costs, interest and consulting fees from its ratepayers	Whether Bath should be permitted to recover purchased gas costs, interest and consulting fees from its ratepayers
PSC-22-15-00013-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
PSC-22-15-00014-P	exempt	An ownership transfer transaction for an electric generation facility	To consider actions for an ownership transfer transaction for an electric generation facility
PSC-22-15-00015-P	exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
PSC-23-15-00005-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-23-15-00006-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
PSC-23-15-00007-P	exempt	Notice of Intent to Submeter electricity	To consider the request of 200 W. 54 Corp. to submeter electricity at 200 West 54th Street, New York, New York
PSC-24-15-00008-P	exempt	Petition for rehearing of the March 31, 2015 Order Dismissing Appeal and Denying Other Relief	To consider Petition for rehearing of the March 31, 2015 Order Dismissing Appeal and Denying Other Relief
PSC-24-15-00009-P	exempt	Petitions for rehearing of the April 20, 2015 Order Continuing and Expanding the Standby Rate Exemption	To consider Petitions for rehearing of the April 20, 2015 Order Continuing and Expanding the Standby Rate Exemption
PSC-24-15-00010-P	exempt	Refinancing proposed by Sithe/Independence Power Partners, L.P.	To consider refinancing proposed by Sithe/ Independence Power Partners, L.P.
PSC-24-15-00011-P	exempt	To consider adopting the recommendations of the Staff Report on addressing energy affordability for low income programs	To consider the Staff Report on, and recommendations of, best practices for implementing utility low income programs
PSC-25-15-00007-P	exempt	Waiver of certain Commission requirements related to blocking caller ID for emergency services	To allow a non-profit entity acting as an emergency service the ability to receive unblocked caller ID numbers
PSC-25-15-00008-P	exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
PSC-25-15-00009-P	exempt	The waiver of a Commission policy on test years in rate cases	Whether to grant the waiver of the Commission's 150 day requirement
PSC-25-15-00010-P	exempt	Notice of Intent to Submeter electricity	To consider the request of 250 West Street Condominium to submeter electricity at 250 West Street, New York, New York
PSC-26-15-00014-P	exempt	To consider the request for partial waiver of the energy audit requirements in 16 NYCRR Section 96.5(k)	To consider the request for partial waiver of the energy audit requirements in 16 NYCRR Section 96.5(k)
PSC-26-15-00015-P	exempt	The Brooklyn Union Gas Company d/b/a National Grid (KEDNY) Petition for SIR Recovery Surcharge Increase	To authorize KEDNY to increase its SIR Recovery Surcharge
PSC-26-15-00016-P	exempt	Petition to Submeter electricity	To consider the request of 39 Plaza Housing Corporation to submeter electricity at 39 Plaza Street West, Brooklyn, New York
PSC-26-15-00017-P	exempt	The Brooklyn Union Gas Company d/b/a National Grid (KEDNY) Petition for Capital Reconciliation Mechanism Modification	To authorize KEDNY to modify its Capital Reconciliation Mechanism
PSC-27-15-00011-P	exempt	Repowering options for the Cayuga Generating Facility located in Lansing, New York, and other alternatives	To establish whether utility plans should include repowering the Cayuga Generating Facility, or other alternatives
PSC-27-15-00012-P	exempt	Consideration of The Brooklyn Union Gas Company's petition seeking authority to issue long-term debt up to $2.22 billion	To consider the petition of The Brooklyn Gas Company seeking authority to issue long-term debt up to $2.22 billion

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-27-15-00013-P	exempt	Waiver of PSC regulations, 16 NYCRR secs 86.3(a)(2), 86.3(b)(2)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
PSC-27-15-00014-P	exempt	Authorization for NYAW to accrue interest on internal reserve debit balances	To allow NYAW to accrue interest on internal reserve debit balances
PSC-27-15-00015-P	exempt	Consideration of KeySpan Gas East Corporation's petition seeking authority to issue long-term debt up to $1.35 billion	To consider the petition of KeySpan Gas East Corporation seeking authority to issue long-term debt up to $1.35 billion
PSC-27-15-00016-P	exempt	Initial Tariff Schedule, P.S.C. No. 1 - Water and waiver of rate setting authority	Approval of Initial Tariff Schedule, P.S.C. No. 1 - Water and waiver of rate setting authority
PSC-27-15-00017-P	exempt	To issue long-term indebtedness, preferred stock and hybrid securities and to enter into derivative instruments	To allow or disallow Rochester Gas and Electric Corporation to finance transactions for purposes authorized under PSL Section 69
PSC-27-15-00018-P	exempt	Authorization of a proposed transfer of certain property located on the Verplanck Peninsula to the Town of Cortlandt	Whether to authorize the proposed transfer of certain property located on the Verplanck Peninsula to the Town of Cortlandt
PSC-28-15-00005-P	exempt	Modification of a Commission Order in Case 14-W-0307	To grant or deny, in whole or in part, Forever Wild Water Company to , Inc.'s request to modify the terms of a Commission Order
PSC-28-15-00006-P	exempt	The minor electric rate filing of Mohawk Municipal Commission	Whether to increase Mohawk Municipal Commission's annual electric revenues by approximately $113,119 or 13.74%
PSC-28-15-00007-P	exempt	Financing proposed by Crestwood Pipeline East, LLC	To consider the financing proposed by Crestwood Pipeline East, LLC
PSC-29-15-00016-P	exempt	A benefit-cost framework will be adopted to guide utility proposals within the context of REV and related proceedings	To develop a method for valuing resources that will further REV objectives
PSC-29-15-00017-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s request to retain a portion of a property tax refund
PSC-29-15-00018-P	exempt	Approval of ratemaking related to amendment a certificate of public convenience and necessity	To approve or reject the ratemaking aspects of SLG's petition to amend its certificate of public convenience and necessity
PSC-29-15-00020-P	exempt	Whether to waive the requirement that the customer have telecommunications service in place 30 days prior to July 1, 2015	To waive the requirement that the customer have telecommunications service in place 30 days prior to July 1, 2015
PSC-29-15-00021-P	exempt	Whether to permit the use of various Instrument Transformer Equipment Corporation current and voltage transformers in New York	Pursuant to 16 NYCRR Parts 92 and 93, the Commission must approve the use of the ITEC current and voltage transformers
PSC-29-15-00022-P	exempt	Notice of Intent to Submeter electricity	To consider the request of 92nd and 3rd Associates, LLC to submeter electricity at 205 East 92nd Street, New York, New York
PSC-29-15-00023-P	exempt	Notice of Intent to Submeter electricity	To consider the request of Bridge Land Hudson LLC to submeter electricity at 261 Hudson Street, New York, New York
PSC-29-15-00024-P	07/21/16	Approval of assets	To approve or reject New York American Water Company, Inc's. agreement of sale

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-29-15-00025-P	exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
PSC-30-15-00002-P	exempt	Whether to approve the transfer of street lighting facilities.	Approval of the transfer of street lighting facilities from Orange and Rockland Utilities, Inc. to the town of Clarkstown.
PSC-30-15-00003-P	exempt	Petition to transfer and merge systems, franchises and assets and issue debt.	To consider the Charter and Time Warner Cable merger and transfer of systems, franchises and assets and issuance of debt.
PSC-31-15-00003-P	exempt	Extension of existing gas delivery rate plan	To accept a joint proposal extending Corning's gas delivery rates for two years
PSC-31-15-00004-P	exempt	Proposed electric Energy Efficiency Budget and Metrics Plan	To establish an Energy Efficiency Budget and Metrics Plan for the Company's electric portfolio for the years 2016-2018
PSC-31-15-00005-P	exempt	Proposed gas Energy Efficiency Budget and Metrics Plan	To establish an Energy Efficiency Budget and Metrics Plan for the Company's gas portfolio for the years 2016-2018
PSC-31-15-00006-P	exempt	Proposed electric Energy Efficiency Budget and Metrics Plan	To establish an Energy Efficiency Budget and Metrics Plan for the Company's electric portfolio for the years 2016-2018
PSC-31-15-00007-P	exempt	Plan to convert petroleum pipeline into a natural gas pipeline	Whether to approve the proposed conversion plan submitted by NIC Holding Corp
PSC-31-15-00008-P	exempt	Notice of Intent to Submeter electricity	To consider the request of 122 2nd Street Assoc., LLC to submeter electricity at 122 Second Street,Watervliet, New York
PSC-31-15-00009-P	exempt	Ceilings on the amount of net metered generation capacity utilities must interconnect	Consider relief regarding the amount of net metered generation capacity utilities must interconnect
PSC-31-15-00010-P	exempt	Whether to approve, reject or modify, in whole or in part, revisions to Appendix B of the December 12, 2014 Order	To revise Appendix B of the December 12, 2014 Order
PSC-31-15-00011-P	exempt	Clarification and revision of local distribution company inspection and remediation plans for plastic fusions	To grant, deny or modify, in whole or in part, the request in Orange & Rockland Utilities, Inc.'s Petition for Clarification
PSC-31-15-00012-P	exempt	Requirements and conditions for the implementation of a Community Distributed Generation Program	To consider requirements and conditions for the implementation of a Community Distributed Generation Program
PSC-31-15-00013-P	exempt	Proposed gas Energy Efficiency Budget and Metrics Plan	To establish an Energy Efficiency Budget and Metrics Plan for the Company's gas portfolio for the years 2016-2018
PSC-31-15-00014-P	exempt	Proposed gas Energy Efficiency Budget and Metrics Plan	To establish an Energy Efficiency Budget and Metrics Plan for the Company's gas portfolio for the years 2016-2018
PSC-31-15-00015-P	exempt	Proposed gas Energy Efficiency Budget and Metrics Plan	To establish an Energy Efficiency Budget and Metrics Plan for the Company's gas portfolio for the years 2016-2018

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-31-15-00016-P	exempt	Proposed electric Energy Efficiency Budget and Metrics Plan	To establish an Energy Efficiency Budget and Metrics Plan for the Company's electric portfolio for the years 2016-2018
PSC-31-15-00017-P	exempt	Proposed electric Energy Efficiency Budget and Metrics Plans	To establish Energy Efficiency Budget and Metrics Plans for the Companies' electric portfolios for the years 2016-2018
PSC-31-15-00018-P	exempt	Proposed gas Energy Efficiency Budget and Metrics Plans	To establish Energy Efficiency Budget and Metrics Plans for the Companies' gas portfolios for the years 2016-2018
PSC-31-15-00019-P	exempt	Proposed electric Energy Efficiency Budget and Metrics Plan	To establish an Energy Efficiency Budget and Metrics Plan for the Company's electric portfolio for the years 2016-2018
PSC-31-15-00020-P	exempt	Proposed gas Energy Efficiency Budget and Metrics Plans	To establish Energy Efficiency Budget and Metrics Plans for the Companies' gas portfolios for the years 2016-2018
PSC-31-15-00021-P	08/04/16	Uniform System of Accounts, 16 NYCRR §§ 10(2); 167.5; 167.6; 312.5, 312.6	To align New York State Uniform System of Accounts requirements with federal requirements
PSC-31-15-00022-P	exempt	Whether to modify Customer Sited Supply Pilot Program to encourage participation in steam demand response and supply programs	Modifications to Customer Sited Supply Pilot Program to encourage participation in steam demand response and supply programs
PSC-32-15-00004-P	exempt	Recommendations to accelerate switching between utility service and an ESCO	To consider recommendations to accelerate switching between utility service and an ESCO
PSC-32-15-00005-P	exempt	Petition for rehearing of the Order Adopting Dynamic Load Management Filings with Modifications	To consider a petition for rehearing of the Order Adopting Dynamic Load Management Filings with Modifications
PSC-32-15-00006-P	exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
PSC-32-15-00007-P	exempt	Approval of cost recovery and incentive mechanisms for the NWA Project.	To approve the cost recovery and incentive mechanisms for the NWA Project.
PSC-32-15-00008-P	exempt	Clarification or correction of three aspects of the June 17, 2015 Order Approving Rate Plan in Cases 14-E-0318 and 14-G-0319.	To consider a request for rehearing of three aspects of the Order Approving Rate Plan in Cases 14-E-0318 and 14-G-0319.
PSC-32-15-00009-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To consider amendments to the Uniform Business Practices of ESCOs.
PSC-32-15-00010-P	exempt	Proposed revisions to the portfolio of natural gas pipeline transportation and storage assets.	To approve the proposed revisions to the portfolio of natural gas pipeline transportation and storage assets.
PSC-32-15-00011-P	exempt	Increase in the aggregate authorized financing limit from $30 million to $75 million.	To consider the proposal to increase the aggregate authorized financing limit from $30 million to $75 million.
PSC-32-15-00012-P	exempt	Proposed standards for Commission oversight of Distributed Energy Resource suppliers.	To consider proposed standards for Commission oversight of Distributed Energy Resource suppliers.
PSC-32-15-00013-P	exempt	Establishing an electric Energy Efficiency Tracker (EE Tracker) program.	To approve the establishment of an electric EE Tracker program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-32-15-00014-P	exempt	Establishing a gas Energy Efficiency Tracker (EE Tracker) program.	To approve the establishment of a gas EE Tracker program.
PSC-32-15-00015-P	exempt	Establishing a gas Energy Efficiency Tracker (EE Tracker) program.	To approve the establishment of a gas EE Tracker program.
PSC-32-15-00016-P	exempt	Establishing a gas Energy Efficiency Tracker (EE Tracker) program.	To approve the establishment of a gas EE Tracker program.
PSC-32-15-00017-P	exempt	Establishing an electric Energy Efficiency Tracker (EE Tracker) program.	To approve the establishment of an electric EE Tracker program.
PSC-32-15-00018-P	exempt	Establishing an electric Energy Efficiency Tracker (EE Tracker) program.	To approve the establishment of an electric EE Tracker program.
PSC-32-15-00019-P	exempt	Establishing a gas Energy Efficiency Tracker (EE Tracker) program.	To approve the establishment of a gas EE Tracker program.
PSC-32-15-00020-P	exempt	Establishing an electric Energy Efficiency Tracker (EE Tracker) program.	To approve the establishment of an electric EE Tracker program.
PSC-32-15-00021-P	exempt	Establishing a gas Energy Efficiency Tracker (EE Tracker) program.	To approve the establishment of a gas EE Tracker program.
PSC-32-15-00022-P	exempt	Establishing a gas Energy Efficiency Tracker (EE Tracker) program.	To approve the establishment of a gas EE Tracker program.
PSC-32-15-00023-P	exempt	Establishing a gas Energy Efficiency Tracker (EE Tracker) program.	To approve the establishment of a gas EE Tracker program.
PSC-33-15-00006-P	exempt	Recovery of costs related to an RSSA.	To recover costs related to an RSSA for services from R.E. Ginna Nuclear Power Plant, LLC.
PSC-33-15-00007-P	exempt	Approval of revisions to Rule No. 35 updating wire line and wireless pole attachment rates.	To approve the proposed revisions to Rule No. 35 updating wire line and wireless pole attachment rates.
PSC-33-15-00008-P	exempt	Existing ratemaking, rate design and regulatory practices will be revised with a focus on outcomes and incentives.	To use the Commission's ratemaking authority to foster a DER-intensive system.
PSC-33-15-00009-P	exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
PSC-33-15-00010-P	exempt	Approval of New York American Water Company, Inc's. use of offsets.	To approve New York American Water Company, Inc's. use of offsets.
PSC-33-15-00011-P	exempt	Approval of New York American Water Company, Inc's. use of offsets and deferral treatment.	To approve New York American Water Company, Inc's. use of offsets and deferral treatment.
PSC-33-15-00012-P	exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
PSC-34-15-00014-P	exempt	Notice of Intent to Submeter electricity	To consider the request of Herkimer Street Residence, L.P. to submeter electricity at 437 Herkimer Street, Brooklyn, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-34-15-00015-P	exempt	Proposals for changes to the Electronic Data Interchange Standards	To consider proposals for changes to the Electronic Data Interchange Standards
PSC-34-15-00016-P	exempt	Financing proposed by Cricket Valley Energy Center, LLC	To consider the financing proposed by Cricket Valley Energy Center, LLC
PSC-34-15-00017-P	exempt	The abandonment of the Haverstraw desalinization plant	To determine if abandoning the desalinization plant is in the public interest
PSC-34-15-00018-P	exempt	Tariff filing by Fillmore Gas Co., Inc. to modify the SIP Mechanism	To consider the tariff filing by Fillmore Gas Co., Inc. to modify the SIP Mechanism
PSC-34-15-00021-P	exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
PSC-34-15-00025-P	exempt	Waiver of rules regarding letters of credit for new developments	Determine whether to warrant a waiver of rules regarding letters of credit for new developments
STATE, DEPARTMENT OF			
DOS-41-14-00001-P	10/20/15	Minimum standards for code enforcement training	To establish minimum training standards so as to increase the level of competency and reliability of code enforcement personnel
DOS-04-15-00004-ERP	03/19/16	Issuance of an order to remedy a violation of the Uniform Code	Fix the time for compliance with an order to remedy any condition found to exist in buildings in violation of the Uniform Code
DOS-22-15-00017-P	06/02/16	Facility requirements for businesses which offer appearance enhancement services	Increase ventilation standards for businesses which offer appearance enhancement services
DOS-28-15-00004-EP	08/30/16	Installation of carbon monoxide detecting devices in commercial buildings	To amend the State Uniform Fire Prevention and Building Code (Uniform Code) by adding standards requiring the installation of carbon monoxide detecting devices in every commercial building
STATE UNIVERSITY OF NEW YORK			
SUN-29-15-00003-EP	07/21/16	State University of New York Tuition and Fees Schedule	To amend the Tuition and Fees Schedule to increase tuition for students in all programs in the State University of New York
SUN-29-15-00004-P	07/21/16	State basic financial assistance for operating expenses of community colleges under the program of SUNY and CUNY	To modify limitations formula for basic State Financial assistance and conform to the Education Law and the 2014-15 Budget Bill
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-34-15-00004-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period October 1, 2015 through December 31, 2015
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-49-14-00001-P	12/10/15	Local Advisory Councils	Repeal the regulatory requirement that social services districts establish and maintain local advisory councils

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-15-15-00003-P	04/14/16	Video Hearings	The rule would specifically allow the Office of Administrative Hearings to conduct fair hearings by means of video equipment
TDA-20-15-00001-P	05/19/16	Information appropriate for victims of sexual assault	To require social services districts to make all applicants for and recipients of public assistance aware of their option to receive information appropriate for victims of sexual assault consistent with Chapter 427 of the Laws of 2009
TDA-22-15-00005-P	06/02/16	Supplemental Nutrition Assistance Program	Update regulations for the Transitional Benefits Alternative program
TDA-27-15-00002-P	07/07/16	Child support federal incentive payments	To update State procedures to distribute federal child support incentives and allocate portions thereof to local districts
WORKERS' COMPENSATION BOARD			
WCB-14-15-00009-P	04/07/16	Health Insurance Matching Program (HIMP)	Provide the process for health insurers to recover from workers' compensation carriers

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

Accord GP Co-Investment Opportunity I, L.P.
318 W. Adams, 19th Fl., Chicago, IL 60606
Partnership — AGCIO I GP LLC

Altair Healthcare Real Estate Fund, LLC
c/o First Republic Investment Management, Inc., 1888 Century Park E, Los Angeles, CA 90067-1702

AMG Industries Acquisition, LLC
3560 W. Market St., Suite 300, Akron, OH 44333
State or country in which incorporated — Ohio

AMG Industries Real Estate, LLC
3560 W. Market St., Suite 300, Akron, OH 44333
State or country in which incorporated — Ohio

ATLSFO LLC
364 Riviera Dr., San Rafael, CA 94901
Partnership — Waxstone Group LLC

Balanced Energy, LLC
1121 S. Carroll Ave., Suite 200, Southlake, TX 76092
State or country in which incorporated — Texas

Bienville Ventures, LP
405 Lexington Ave., 34th Fl., New York, NY 10174
Partnership — Bienville Ventures GP, LLC, general partner

BLH Equity Fund, LLC
10 Dressage Place, Freehold, NJ 07728
State or country in which incorporated — Delaware

Brook Bay Secondaries Fund II LP
c/o Brook Bay General Partner II Limited Le Masurier House, La Rue Le Massurier, St. Helier, Jersey JE2 4YE
Partnership — Brook Bay General Partner II Limited

Cairngorm Capital Partners I, L.P.
1st & 2nd Fls., Elizabeth House, Les Ruettes Brayes, St. Peter Port, Guernsey, GY1 1EW
Partnership — Cairngorm Capital GP Limited

Cambridge I LLC
130 Lawrence St., New Haven, CT 06511

Clipper Realty Inc.
4611 12th Ave., Suite 1L, Brooklyn, NY 11219
State or country in which incorporated — Maryland

Colchis Opportunities Fund, L.P.
One Maritime Plaza, Suite 1975, San Francisco, CA 94111
Partnership — Colchis Opportunities GP, LLC

Congera Public Benefit Corporation
43 Montgomery St., Jersey City, NJ 07302
State or country in which incorporated — Delaware

ConnectQuest, LLC
44 Hedgehog Lane, West Simsbury, CT 06092

Contradict, LLC
800 Arbor Dr. N, Louisville, KY 40223

Cordillera Investment Fund I-B, L.P.
Two Embarcadero Center, Suite 2260, San Francisco, CA 94111
Partnership — Cordillera Investment GP I, LLC, general partner

DaceyHolden Management, LLC
25 Avenue at Port Imperial, Suite 300, West New York, NJ 07093

DTV America Corporation
13450 W. Sunrise Blvd., Suite 164, Sunrise, FL 33323
State or country in which incorporated — Delaware

Edgewater Wireless Systems Inc.
Suite 200 - 50 Hines Rd., Ottawa, Ontario, K2K 2M5, Canada
State or country in which incorporated — Canada

Efficient Access Fund LLC
4355 Weaver Pkwy., Suite 200, Warrenville, IL 60555

Emerald Acquisitions Manager, LLC
75 14th St., Suite 2200, Atlanta, GA 30309

Enduring Commodity Alpha Fund, LP
c/o Enduring Investments LLC, 12 Ironwood Rd., Morristown, NJ 07960
Partnership — Enduring Investments LLC

Forefront Income Trust
Forefront Capital Management, 590 Madison Ave., 34th Fl., New York, NY 10022
State or country in which incorporated — Delaware

Landmark Hudson Partners I, L.P.
10 Mill Pond Lane, Simsbury, CT 06070
Partnership — Landmark Hudson Fund GP, L.P.

Like A Pro, LLC
501 S. Cherry St., Suite 910, Denver, CO 80246
State or country in which incorporated — Colorado

Lobesity Investors LLC
2408 Beachwood Blvd., Beachwood, OH 44122

Newport Southwest Crossing, LLC
18111 Preston Rd., Suite 650, Dallas, TX 75252
State or country in which incorporated — Texas

Panagora Asset Management, Inc.
470 Atlantic Ave., 8th Fl., Boston, MA 02210
State or country in which incorporated — Delaware

River Financial Corporation
2611 Legends Dr., Prattville, AL 36066
State or country in which incorporated — Alabama

Scurry County Energy Fund, LP
6142 Campbell Rd., Dallas, TX 75248
State or country in which incorporated — Texas

SFC Foresta Fund, LP
c/o Stone Forest Advisors, LLC, 156 W. 56th St., Suite 2008, New York, NY 10019
Partnership — Stone Forest Advisors, LLC

SFC Foresta Fund, Ltd.
c/o Mourant Ozannes Corporate Services (Cayman) Ltd., 94 Solaris Ave., Grand Cayman, Cayman Islands, KY1-1108
State or country in which incorporated — Cayman Islands

SPI Energy Co., Ltd.
7F/B Block, 1st Bldg., Jinqi Plaza, No. 2145 Jinshajiang Rd., Putuo District, Shanghai, P.R. China
State or country in which incorporated — Cayman Islands

Stone Forest Emerging Markets Hedge Fund, LP
c/o Stone Forest Advisors, LLC, 156 W. 56th St., Suite 2008, New York, NY 10019
Partnership — Stone Forest Advisors, LLC

Stone Forest Emerging Markets Hedge Fund, Ltd.
c/o Mourant Ozannes Corporate Services (Cayman) Ltd., 94 Solaris Ave., Camana Bay, P.O. Box 1348, Grand Cayman, Cayman Islands, KY1-1108
State or country in which incorporated — Cayman Islands

Strategos Deep Value (AI) Fund LP
2929 Arch St., 17th Fl., Philadelphia, PA 19104
Partnership — Strategos Deep Value GP, LLC

Strategos Deep Value Fund LP
2929 Arch St., 17th Fl., Philadelphia, PA 19104
Partnership — Strategos Deep Value GP, LLC

Triloma Bourne Seniors Housing II, LLC
228 Park Ave., Suite E, Winter Park, FL 32789
State or country in which incorporated — Delaware

Tyll Solar Limited Liability Company
67 Elm Terrace, Flemington, NJ 08822
State or country in which incorporated — New Jersey

WavoDyne Therapeutics Inc.
150 Lucius Gordon Dr., Suite 100, Rochester, NY 15586
State or country in which incorporated — Delaware

Waxstone ATL LLC
364 Riviera Dr., San Rafael, CA 94901
Partnership — Waxstone Group LLC

Zell Equity International Fund VI Special Opportunities Feeder, L.P.
Two N. Riverside Plaza, Suite 1500, Chicago, IL 60606
Partnership — Zell Equity International Fund VI Special Opportunities GP, LLC

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

RENOVATE
PATIENT BATHROOMS
Creedmoor Psychiatric Center
Queens Village, Queens County

Sealed bids for Project No. Q1202-C, for Construction Work, Renovate Patient Bathrooms, Building 73, Creedmoor Psychiatric Center, 80-45 Winchester Blvd., Queens Village (Queens County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Contract Administration, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, September 23, 2015, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of $67,400 for C.

Further, Wicks Exempt Projects require a completed BDC 59 be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders on a multiple trade project or the successful bidder with a bid over $200,000 on a single trade project, will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $1,000,000 and $2,000,000 for C. The requirement for Labor and Material and Performance Bonds may be waived on a bid under $200,000 on a single trade project.

Pursuant to State Finance Law § 139-j and § 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest notice of intent to solicit offers through final award and approval of the Procurement Contract by OGS D&C and Office of the State Comptroller ("restricted period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Frank Peris and Carl Ruppert in the Bureau of Contract Awards, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Director of Contract Administration, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a 4 year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the new Legislative and State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: http://ogs.ny.gov/aboutogs/regulations/defaultAdvisoryCouncil.asp.

The completion date for this project is 560 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on September 10, 2015 at Creedmoor Psychiatric Center, Building 73, 80-45 Winchester Blvd., Queens Village, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

For assistance pertaining to the site visit only, please phone Ida Colon (631) 951-0248.

It is the policy of the State and the Office of General Services to encourage meaningful minority business enterprise participation in this project by contractors, subcontractors and suppliers who perform commercially useful functions under the Contract, and all bidders are expected to cooperate in implementing this policy.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an $8.00 deposit per set, plus a $2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link for ordering and payment instructions: http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp.

For questions about purchase of bid documents, please send an e-mail to D&C.Plans@ogs.ny.gov, or call toll free at 1-877-647-7526.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp.

By *John D. Lewyckyj, Contracting Officer*
Director, Contract Administration
OGS Design & Construction Group

REPLACE
METAL/EPDM ROOFS
Cayuga Correctional Facility
Moravia, Cayuga County

Sealed bids for Project No. 44935-C, for Construction Work, Replace Metal and EPDM Roofs, Cayuga Correctional Facility, Route 38A, Moravia (Cayuga County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Contract Administration, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Corrections and Community Supervision, until 2:00 p.m. on Wednesday, September 16, 2015, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of $194,800 for C.

All successful bidders on a multiple trade project or the successful bidder with a bid over $200,000 on a single trade project, will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the

Contract estimated to be between $7,000,000 and $8,000,000 for C. The requirement for Labor and Material and Performance Bonds may be waived on a bid under $200,000 on a single trade project.

Pursuant to State Finance Law § 139-j and § 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest notice of intent to solicit offers through final award and approval of the Procurement Contract by OGS D&C and Office of the State Comptroller ("restricted period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Frank Peris and Carl Ruppert in the Bureau of Contract Awards, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Director of Contract Administration, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a 4 year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the new Legislative and State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: http://ogs.ny.gov/aboutogs/regulations/defaultAdvisoryCouncil.asp.

The substantial completion date for this project is 610 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on September 3, 2015 at Cayuga Correctional Facility, Route 38A, Moravia, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Chuck Butts, (315) 253-8282 a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

It is the policy of the State and the Office of General Services to encourage meaningful minority business enterprise participation in this project by contractors, subcontractors and suppliers who perform commercially useful functions under the Contract, and all bidders are expected to cooperate in implementing this policy.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an $8.00 deposit per set, plus a $2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp.

For questions about purchase of bid documents, please send an e-mail to D&C.Plans@ogs.ny.gov, or call toll free at 1-877-647-7526.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp.

By *John D. Lewyckyj, Contracting Officer*
Director, Contract Administration
OGS Design & Construction Group

REPLACE
WINDOWS
Clinton Correctional Facility
Dannemora, Clinton County

Sealed bids for Project No. 45006-C, for Construction Work, Replace Windows, Truck Trap Tower A-60, Clinton Correctional Facility, Cook Street, Dannemora (Clinton County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Contract Administration, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Corrections and Community Supervision, until 2:00 p.m. on Wednesday, September 16, 2015 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of $14,000 for C.

Further, Wicks Exempt Projects require a completed BDC 59 be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

The value of the Contract is estimated to be between $100,000 and $250,000.

Pursuant to State Finance Law § 139-j and § 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest notice of intent to solicit offers through final award and approval of the Procurement Contract by OGS D&C and Office of the State Comptroller ("restricted period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Frank Peris and Carl Ruppert in the Bureau of Contract Awards, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Director of Contract Administration, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a 4 year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the new Legislative and State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: http://ogs.ny.gov/aboutogs/regulations/defaultAdvisoryCouncil.asp.

The substantial completion date for this project is 295 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on September 3, 2015 at Clinton Correctional Facility, OGS Field Office, Mountain View Road, Dannemora, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Nathan LaValley, (518) 492-2200 Ext. 110 a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

It is the policy of the State and the Office of General Services to encourage meaningful minority business enterprise participation in this project by contractors, subcontractors and suppliers who perform commercially useful functions under the Contract, and all bidders are expected to cooperate in implementing this policy.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available

on compact disc (CD) only, and may be obtained for an $8.00 deposit per set, plus a $2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link for ordering and payment instructions: http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp.

For questions about purchase of bid documents, please send an e-mail to D&C.Plans@ogs.ny.gov, or call toll free at 1-877-647-7526.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp.

By *John D. Lewyckyj, Contracting Officer*
Director, Contract Administration
OGS Design & Construction Group

NOTICE OF AVAILABILITY
OF STATE AND FEDERAL FUNDS

Division of Homeland Security and Emergency Services
1220 Washington Avenue
State Office Building Campus, Bldg. 7A
Albany, NY 12242

LOCAL, COUNTY AND TRIBAL LAW ENFORCEMENT AGENCIES

Fiscal Year 2015 Tactical Team Grant Program

Fiscal Year 2015 Tactical Team Grant Program seeks applications for up to $100,000 in federal State Homeland Security Program funding made available by the NYS Division of Homeland Security and Emergency Services (DHSES). The focus of this grant opportunity is to improve a tactical team's capabilities and help to develop new response capabilities as it relates to high-risk incidents including active shooter events and other counter terrorism missions.

Grants will be awarded to local, county, and tribal law enforcement agencies with an active tactical team as part of their law enforcement operations that responds to calls for service outside of a correctional setting. The four primary objectives of this grant are to: 1) Advance tactical team capabilities through the attainment and sustainment of the minimum standards within this community; 2) Encourage and support training among law enforcement specialty teams to include bomb squads and explosive detection canine teams; 3) Promote regional partnerships in the development and build-out of mutual tactical team capabilities, and 4) Participate in DHS's Office for Bombing Prevention's (DHS-OBP) SWAT Capability Analysis Program.

Applications will be accepted through 11:59 PM on September 10, 2015 through the New York State's Division of Homeland Security and Emergency Services' (NYS-DHSES) electronic grants management system (E-Grants).

For the Request for Applications (RFA) please visit the DHSES website at http://www.dhses.ny.gov/grants or DHSES's Grant Hotline at (866) 837-9133.

Division of Homeland Security and Emergency Services
1220 Washington Avenue
State Office Building Campus, Bldg. 7A
Albany, NY 12242

MUNICIPALITIES ON BEHALF OF LOCAL EMERGENCY RESPONSE TEAMS THAT PROVIDE TECHNICAL RESCUE AND USAR SERVICES COUNTYWIDE OR REGIONALLY

Fiscal Year 2015 Technical Rescue and USAR Team Grant Program

Fiscal Year 2015 Technical Rescue & USAR Team Grant Program seeks applications for up to $150,000 in federal State Homeland Security Program funding made available by the NYS Division of Homeland Security and Emergency Services (DHSES). The focus of this grant opportunity is to develop and support Technical Rescue & USAR team capabilities.

Grants will be awarded to municipalities on behalf of local emergency response teams that provide Technical Rescue & USAR services countywide or regionally. The three primary objectives of this grant are to: 1) Advance Technical Rescue & USAR capabilities statewide; 2) Encourage the development of Regional Response Partnerships to enhance multi-jurisdictional response capabilities; and 3) Increase the resource typing of Technical Rescue & USAR resources in New York State.

Applications will be accepted through 11:59 PM on September 9, 2015 through the New York State's Division of Homeland Security and Emergency Services' (NYS-DHSES) electronic grants management system (E-Grants).

For the Request for Applications (RFA) please visit the DHSES website at http://www.dhses.ny.gov/grants/targeted.cfm or DHSES's Grant Hotline at (866) 837-9133.

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE
Division of Criminal Justice Services
Commission on Forensic Science

Pursuant to Public Officers Law section 104, the Division of Criminal Justice Services gives notice of a meeting of the New York State Commission on Forensic Science to be held on:

Date:	Tuesday, September 29, 2015
Time:	9:00 a.m.-1:00 p.m.
Place:	Division of Criminal Justice Services Alfred E. Smith Office Bldg. CrimeStat Room 118 80 S. Swan St. Albany, NY
Video Conference Site:	Empire State Development Corporation (ESDC) 633 3rd Ave. 37th Fl./Conference Rm. New York, NY *Identification and sign-in required

*Identification and sign-in is required at this location. *For further information, or if you need a reasonable accommodation to attend this meeting, contact:* Catherine White, Division of Criminal Justice Services, Office of Forensic Services, 80 Swan St., Albany, NY 12210, (518) 485-5052

PUBLIC NOTICE
Division of Criminal Justice Services
Law Enforcement Agency Accreditation Council

Pursuant to Public Officers Law § 104, the Division of Criminal Justice Services gives notice of a meeting of the Law Enforcement Agency Accreditation Council to be held on:

Date:	September 17, 2015
Time:	10:00 a.m.
Place:	Division of Criminal Justice Services Alfred E. Smith Office Bldg. 80 S. Swan St. CrimeStat Rm. (Rm. 118) Albany, NY 12210

Identification and sign-in are required at this location. *For further information, or if you need a reasonable accommodation to attend this meeting, please contact:* Division of Criminal Justice Services, Office of Public Safety, Alfred E. Smith Office Bldg., 80 S. Swan St., Albany, NY 12210, (518) 457-2667

PUBLIC NOTICE
Division of Criminal Justice Services
Municipal Police Training Council

Pursuant to Public Officers Law § 104, the Division of Criminal Justice Services gives notice of a meeting of the Municipal Police Training Council to be held on:

Date:	September 9, 2015
Time:	10:00 a.m.
Place:	Division of Criminal Justice Services Alfred E. Smith Office Bldg. 80 S. Swan St. CrimeStat Rm. (Rm. 118) Albany, NY 12210

Identification and sign-in are required at this location. *For further information, or if you need a reasonable accommodation to attend this meeting, please contact:* Division of Criminal Justice Services, Office of Public Safety, Alfred E. Smith Office Bldg., 80 S. Swan St., Albany, NY 12210, (518) 457-2667

PUBLIC NOTICE
Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to comply with enacted statutory provisions.

Effective January 1, 2016, the early intervention program targeted case management (service coordination) services will be revised to update the plan content and format consistent with Medicaid requirements for case management and to set forth procedures to be used to coordinate early intervention service coordination targeted case management and children's health home services for infants and toddlers who qualify for care management under both programs.

There is no additional estimated annual change to gross Medicaid expenditures as a result of the clarifying proposed amendments.

The public is invited to review and comment on this proposed state plan amendment. Copies of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status.

In addition, copies of the proposed state plan amendments will be on file in each local (county) social services district and available for public review.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave. – One Commerce Plaza, Suite 1460, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE
Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services related to health homes. The following clarifications are proposed:

Non-Institutional Services

As previously noticed January 1, 2015 the Commissioner of Health, in consultation with the Commissioners of the Office of Mental Health (OMH); the Office of Alcoholism and Substance Abuse Services (OASAS); the Office of Children and Family Services (OCFS); and the State Education Department (SED), will amend the State Plan for Health Home services to reflect the prioritization and phase-in of children for enrollment in Health Homes. Proposed State Plan Amendments include amending the eligibility criteria to include trauma at risk for another condition; reflect the use of the Child and Adolescent Needs and Strengths assessment tool modified for New York State (i.e., CANS-NY); and to establish legacy rates as may be required for existing children's care management programs that transition to Health Home care management services. The new effective date is on or after January 1, 2016 rather than October 1, 2015.

In addition, the State will be seeking an enhanced federal match of 90% for the new population that will be enrolled into Health Homes as a result of expanding the eligibility criteria to include trauma at risk for another condition.

The public is invited to review and comment on this proposed State Plan Amendment. Copies of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status.

Copies of the proposed State Plan Amendments will be on file in each local (county) social services district and available for public review.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave. – One Commerce Plaza, Suite 1460, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE
Monroe County Water Authority

The Monroe County Water Authority is requesting proposals from qualified administrative services agencies, and/or financial organizations relating to administration, trustee services and/or funding of a deferred compensation plan for employees of the Monroe County Water Authority meeting the requirements of Section 457 of the Internal Revenue Code and Section 5 of the State Finance Law, including all rules and regulations issued pursuant thereto.

A copy of the proposal questionnaire may be obtained by emailing diane.hendrickson@mcwa.com or calling (585) 442-2000, ext. 215.

All proposals must be submitted no later than 3:00 p.m. on September 25, 2015.

PUBLIC NOTICE
Susquehanna River Basin Commission
Commission Meeting

SUMMARY: The Susquehanna River Basin Commission will hold its regular business meeting on September 10, 2015, in Binghamton, New York. Details concerning the matters to be addressed at the business meeting are contained in the Supplementary Information section of this notice.

DATE: September 10, 2015, at 9:00 a.m.

ADDRESS: DoubleTree by Hilton Binghamton, Grand Riverside Room, 225 Water Street, Binghamton, N.Y. 13901.

FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436.

SUPPLEMENTARY INFORMATION: The business meeting will include actions or presentations on the following items: (1) informational presentation of interest to the Upper Susquehanna Subbasin area; (2) resolution to correct Exhibit A attached to Resolution No. 2013-11; (3) release of proposed rulemaking for public comment; (4) amendment of the Comprehensive Plan for the Water Resources of the Susquehanna River Basin; (5) ratification/approval of grants; (6) regulatory compliance matter for Downs Racing L.P.; (7) Panda Power Funds request for transfer of ownership of Hummel Station LLC (Docket Nos. 20081222 and 20081222-2); and (8) Regulatory Program projects. The business meeting may also include requests to extend emergency certificates for Aqua Pennsylvania, Inc. and Furman Foods, Inc.

Projects, amendments to the Comprehensive Plan, and request for conditional transfer listed for Commission action are those that were the subject of a public hearing conducted by the Commission on August 6, 2015, and identified in the notice for such hearing, which was published in 80 FR 39190, July 8, 2015.

Opportunity to Appear and Comment:

Interested parties are invited to attend the business meeting and encouraged to review the Commission's Public Meeting Rules of Conduct, which are posted on the Commission's website, www.srbc.net. As identified in the public hearing notices referenced above, written comments on the Regulatory Program projects, the amendments to the Comprehensive Plan, and request for conditional transfer that were the subject of a public hearing, and are listed for action at the business meeting, are subject to a comment deadline of August 17, 2015. Written comments pertaining to any other matters listed for action at the business meeting may be mailed to the Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, Pennsylvania 17110-1788, or submitted electronically through http://www.srbc.net/pubinfo/publicparticipation.htm. Any such comments mailed or electronically submitted must be received by the Commission on or before September 4, 2015, to be considered.

AUTHORITY: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR Parts 806, 807, and 808.

Dated: August 6, 2015.

Stephanie L. Richardson

Secretary to the Commission.

PUBLIC NOTICE

Department of Taxation and Finance
Interest Rates

The Commissioner of Taxation and Finance hereby sets the interest rates for the months of October, November, December, 2015 pursuant to sections 697(j) and 1096(e) of the Tax Law, as follows:

For purposes of section 697(j) the overpayment rate of interest is set at 2 percent per annum, and the underpayment rate of interest is set at 7.5 percent per annum. For purposes of section 1096(e), the overpayment rate of interest is set at 2 percent per annum, and the underpayment rate of interest is set at 7.5 percent per annum. (The underpayment rates set pursuant to sections 697(j) and 1096(e) may not be less than 7.5 percent per annum.) Pursuant to section 1145(a)(1) of the Tax Law, the underpayment rate for State and local sales and use taxes administered by the Commissioner of Taxation and Finance is 14.5 percent per annum. The underpayment rate for the special assessments on hazardous waste imposed by section 27-0923 of the Environmental Conservation Law is 15 percent.

For the interest rates applicable to overpayments (refunds) and underpayments (late payments and assessments) of the following taxes administered by the Commissioner of Taxation and Finance for the period October 1, 2015 through December 31, 2015, see the table below:

10/1/15 - 12/31/15
Interest Rate Per
Annum
Compounded Daily

Commonly viewed tax types	Refunds	Late Payments & Assessments
Income **	2%	7.5%
Sales and use	2%	14.5% *
Withholding	2%	7.5%
Corporation **	2%	7.5%
All other tax types	**Refunds**	**Late Payments & Assessments**
Alcoholic Beverage	2%	7.5%
Beverage Container Deposits	2%	7.5%
Boxing & Wrestling	2%	7.5%
Cigarette	NA	7.5%
Diesel Motor Fuel	2%	7.5%
Estate	2%	7.5%
Fuel Use Tax	***	***
Generation-Skipping Transfer	2%	7.5%
Hazardous Waste	2%	15%
Highway Use	2%	7.5%
New York City Taxicab and Hail Vehicle Trip Tax	2%	7.5%
Metropolitan Commuter Transportation Mobility Tax	2%	7.5%
Mortgage Recording	2%	7.5%
Motor Fuel	2%	7.5%
Petroleum Business	2%	7.5%
Real Estate Transfer	2%	7.5%
Tobacco Products	NA	7.5%
Waste Tire Fee	2%	7.5%

* The Tax Law requires the interest rate on sales tax assessments or late payments to be set at 14-1/2% for this quarter. However, if the Commissioner determines that the failure to pay or the delay in payment is due to reasonable cause and not willful neglect, the Commissioner may impose interest at the corporation tax late payment and assessment rate. That rate is 7.5% for this quarter.

** There are a number of state and local governmental bodies that have interest rates tied to the overpayment and underpayment rates contained in either section 697(j) (Income Tax) or section 1096(e) (Corporation Tax) of the Tax Law. For purposes of section 697(j) and section 1096(e) of the Tax Law, the overpayment rate for this period is 2%. For purposes of section 697(j) of the Tax Law, the underpayment rate for this period is 7.5%. For purposes of section 1096(e) of the Tax Law, the underpayment rate for this period is also 7.5%.

*** Under section 527(f) of the Tax Law, the interest rates relating to the Fuel Use tax are set pursuant to the International Fuel Tax Agreement (IFTA). For more information regarding IFTA interest rates, see www.iftach.org.

For further information contact: Kathleen O'Connell, Office of Counsel, Department of Taxation and Finance, W. A. Harriman Campus, Albany, NY 12227, (518) 530-4153

For rates for previous periods, visit the Department of Taxation and Finance website: www.tax.ny.gov/taxnews/int__curr.htm

EXECUTIVE ORDERS

Executive Order No. 147.1: A Special Prosecutor to Investigate and Prosecute Matters Relating to the Deaths of Civilians Caused by Law Enforcement Officers.

In view of the request of Attorney General Eric T. Schneiderman, my order and requirement, embodied in Executive Order Number one hundred and forty seven, dated July 8, 2015, is hereby amended to include an additional paragraph, to be the penultimate paragraph, to read as follows:

FURTHER, the requirement imposed on the Special Prosecutor by this Executive Order shall include the investigation, and if warranted, prosecution:

(a) of any and all unlawful acts or omissions or alleged unlawful acts or omissions by any law enforcement officer, as listed in subdivision 34 of section 1.20 of the Criminal Procedure Law, arising out of, relating to or in any way connected with the death of Raynette Turner on July 27, 2015 while in the custody of the Mount Vernon Police Department.

(L.S.)

GIVEN under my hand and the Privy Seal of the State in the City of Albany, this third day of August in the year two thousand fifteen.

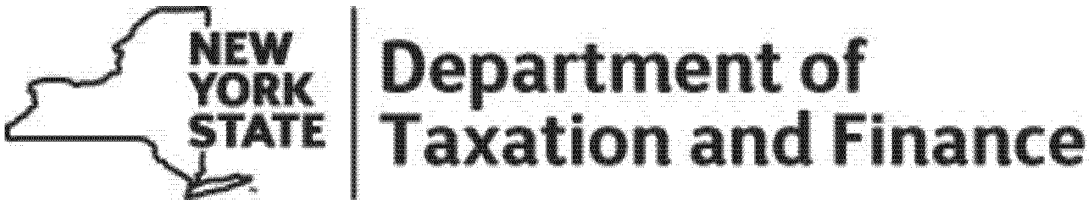
BY THE GOVERNOR

/S/ Andrew M. Cuomo

/s/ Lawrence Schwartz

Secretary to the Governor

FINANCIAL REPORTS



Depositories for the Funds of the State of New York

Month End: July 31, 2015

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Nonie Manion
Executive Deputy Commissioner

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 07/31/2015
ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Exchange Account	Key Bank	0.00
Unemployment Insurance Master Concentration Account	JPMorgan Chase Bank, N.A.	42,406,200.25
Occupational Training Account Master Concentration Account	JPMorgan Chase Bank, N.A.	137,898.98
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(151,775,429.63)
PIT Refund Debit Card Services	Bank of America, N.A.	111,325.35
Unemployment Insurance Exchange Account	JPMorgan Chase Bank, N.A.	0.00
General Checking	Key Bank	(657,742,817.47)
Direct Deposit Account	Wells Fargo	58,251.99
TOTAL		(109,061,753.06)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	8,500.00
01020 - DIVISION OF PAROLE		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	No report received
Division Of Parole Petty Cash Account	Key Bank	No report received
Division Of Parole Regional Advance Account	Key Bank	No report received
Emergency Support (Bronx)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Account	Key Bank	No report received
Emergency Support Fund (Albany)	Trustco Bank	No report received
Emergency Support Fund (Binghamton)	Citizens Bank	No report received
Emergency Support Fund (Buffalo)	Bank of America, N.A.	No report received
Emergency Support Fund (Elmira)	Chemung Canal Trust	No report received
Emergency Support Fund (Manhattan 1)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Fund (Nassau)	Bank of America, N.A.	No report received
Emergency Support Fund (Poughkeepsie)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Fund (Queens 1)	HSBC	No report received
Emergency Support Fund (Queens 2)	HSBC	No report received
Emergency Support Fund (Rochester 1)	M&T Bank	No report received
Emergency Support Fund (Syracuse)	Key Bank	No report received
Manhattan V - Emergency Support	JPMorgan Chase Bank, N.A.	No report received
Northeast Emergency Support Fund	Key Bank	No report received
Parole Supervision Fee	Key Bank	No report received
Parole Supervision Fee	Wachovia Bank NA	No report received
Rochester II Emergency Support Fund	Bank of America, N.A.	No report received
Subpoena Fund Account	Key Bank	No report received
Utica Emergency Support Fund	Bank of Utica	No report received
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,859.78
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
New York State Office Of General Services	Citizens Bank	No report received
NY ISO Account	Key Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York OGS Escrow II	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New Your OGS Escrow	Key Bank	No report received
State of NY Office Of General Services	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	8,455.50
Div Headquarters - Petty Cash	Key Bank	1,265.31
Key Advantage Account	Key Bank	77,528.94
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	1,511,579.32
SIU Confidential Fund Account	Key Bank	5,320.89
Special Fund	Key Bank	10,873.77
State Police Receipts Account	Bank of America, N.A.	300,000.00
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	1,865.46
Troop B Confidential	Key Bank	4,637.14
Troop B Petty Cash	Community Bank	856.00
Troop C Confidential Fund	NBT Bank	1,722.43
Troop C Petty Cash	NBT Bank	618.50
Troop D Oneida - Confidential	Alliance Bank	1,500.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	900.00

Troop F Confidential	JPMorgan Chase Bank, N.A.	909.58
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	1,122.10
Troop G Petty Cash	Bank of America, N.A.	698.00
Troop K Petty Cash	Bank of Millbrook	733.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,250.00
Troop L Confidential Fund	Bank of America, N.A.	4,451.00
Troop L Petty Cash	Bank of America, N.A.	1,000.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	No report received
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	No report received
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	No report received
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	Key Bank	No report received
Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Travel Advance	JPMorgan Chase Bank, N.A.	No report received
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
1986 Panel Administration Esc	Key Bank	14,430.07
GOER/LMC Advance Account	Key Bank	2,000.00
NYS Dependent Care Acct	Key Bank	56,224.55
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	No report received
01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	2.00
Petty Cash	Community Bank	3,810.00
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	126,652.00
Emergency Award Account	M&T Bank	19,124.50
Emergency Claims	Key Bank	10,569.20
Frances Featherstones	Key Bank	185.54
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,225.13
FBI Fee Account	Bank of America, N.A.	8,404.48
Fingerprint Fee Account	Bank of America, N.A.	22,411.29
01510 - NYS RACING & WAGERING BOARD		
Charitiable Gaming Account	Key Bank	355,833.06
Fingerprint Concentration Account	Key Bank	53,167.05
License Revenue Account	Bank of America, N.A.	37,175.89
Petty Cash Account	Key Bank	9,527.91
Racing Refund Account	Key Bank	844,197.82
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	8,661.80
Alcoholic Bev Control License	Bank of America, N.A.	0.00
Alcoholic Beverage Container - NYC	Citibank	0.00
Alcoholic Beverage Container License	M&T Bank	272,615.34
Alcoholic Beverage Tax	Bank of America, N.A.	57,190.55
Assessments Receivable	JPMorgan Chase Bank, N.A.	6,082,897.03
Beverage Container	JPMorgan Chase Bank, N.A.	80,780.68
Boxing And Wrestling Tax	Bank of America, N.A.	51,141.94
Capital Gains Tax On Real Estate	Bank of America, N.A.	0.00
Cigarette Tax	Bank of America, N.A.	0.00
Cigarette Tax Tobacco Products	Bank of America, N.A.	22,962.71
Comptroller's Refund Account	JPMorgan Chase Bank, N.A.	0.00
Corporation Tax - Art 9	Bank of America, N.A.	1,338,398.02
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	5,069,942.85
Estate Tax	Bank of America, N.A.	987,654.79
Estate Tax Article 10 Section 241	Key Bank	0.01
Estate Tax-Lockbox	JPMorgan Chase Bank, N.A.	0.00
Estimated Tax	JPMorgan Chase Bank, N.A.	5,794,485.72
Exchange Account	Bank of America, N.A.	85.75
Gift Tax	Bank of America, N.A.	0.00

Hazardous Waste	Key Bank	14,508.93
Highway Use - Permits & Reg.	Bank of America, N.A.	8,205.00
Highway Use - Truck Mileage	Bank of America, N.A.	0.00
Highway Use-Permits & Reg. (EFT)	JPMorgan Chase Bank, N.A.	54,410.00
Hudson River-Black River	Bank of America, N.A.	128,140.36
Hudson River-Black River	Community Bank	31,744.55
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	39,915.00
IFTA-Fuel Use	Bank of America, N.A.	205,102.50
Income Tax	Bank of America, N.A.	0.00
Income/Withholding Tax (EFT)	JPMorgan Chase Bank, N.A.	(20,648,430.95)
Justice Court	Key Bank	510,085.90
MCTD Medallion Taxicab Ride	Bank of America, N.A.	0.00
Metropolitan Commuter Mobility Tax	JPMorgan Chase Bank, N.A.	9,985,797.50
NYC General Debt Service	Bank of America, N.A.	109,096.30
NYC General Debt Service-RAN	JPMorgan Chase Bank, N.A.	0.00
NYS Share Of Cigarette Floor Tax	Bank of America, N.A.	0.00
Ogdensburg Bridge & Port	Community Bank	(79,790.93)
Payroll Revolving Exchange Acct.	Key Bank	90,404.70
Petroleum (EFT)	JPMorgan Chase Bank, N.A.	546,958.23
Petroleum Products Tax	Bank of America, N.A.	35,829.09
Port Of Oswego	Key Bank	(60,480.15)
Real Estate Transfer Tax	Key Bank	141,164.05
Revenue Holding	HSBC	0.00
Sales Tax	Bank of America, N.A.	53,214.36
Sales Tax	JPMorgan Chase Bank, N.A.	2,789,038.39
Sales Tax/Motor Fuel (EFT)	JPMorgan Chase Bank, N.A.	1,160,965.03
SUNY Concentration	HSBC	125,000,000.00
Troy Debt Service Reserve Fund	Bank of America, N.A.	1,104,200.00
Uncashed Winning Tickets	Bank of America, N.A.	15,281.97
Unclaimed Funds	JPMorgan Chase Bank, N.A.	520,361.67
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	14,565.70
Wireless Surcharge	Bank of America, N.A.	0.00
Withholding Tax	JPMorgan Chase Bank, N.A.	37,169,989.47
Cash Advance Accounts		
Advance For Travel Account	Key Bank	13,851.30
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,621.75
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	71,004.91
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	389,313.56
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Miscellaneous		
Fee Account	Key Bank	1,507.75
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		

dept atty gen vs john doe	First Niagara Bank	62,211,444.20
National Global Settlement	JPMorgan Chase Bank, N.A.	3,797,788.93
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	39,126.92
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	4,435.51
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	No report received
Petty Cash Account Dist Off	Bank of America, N.A.	No report received
Petty Cash New York City	JPMorgan Chase Bank, N.A.	No report received
Public Information Office	Key Bank	No report received
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	24,458.95
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	3,927.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	2,908,473.83
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	8,990,832.78
Finger Print Account	JPMorgan Chase Bank, N.A.	6,600.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	500.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	750.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	No report received
Lawyers Fund For Client Protection - Bail	Key Bank	No report received
Petty Cash	Key Bank	No report received
05012 - MENTAL HYGIENE LEGAL SERVICES - 2ND JUDICIAL DEPARTMENT		
Mental Hygiene Sec Dept Petty Cash	Bank of America, N.A.	879.59
05023 - COMMISSION ON PROFESSIONAL STANDARDS - 3RD JUDICIAL DEPARTMENT		
Comm On Prof Stands 3rd Jud Dept	M&T Bank	785.89
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	3,848.34
Clerk Of The Court Of Appeals	Key Bank	7,560.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	38,520.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	77,048.25
1st Jud Dept Petty Cash Appellate	JPMorgan Chase Bank, N.A.	466.47
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	88,246.75
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	42,868.00
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	16,359.25
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	5,314.91
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court - Bail	Bank of America, N.A.	139,467.24
Glen Cove Court Revenue	Bank of America, N.A.	71,446.00
Long Beach City - Nassau		
City Court Of Long Beach - Bail	Bank of America, N.A.	176,401.13
City Court Of Long Beach - Revenue	Bank of America, N.A.	93,689.03
Nassau Co Family		
Nassau Co Family Court Bail	Citibank	0.00
Nassau County Court		
Nassau County Assessment	Citibank	26,451.80
Nassau County Bail	Citibank	0.00
Nassau District Court - Civil		
Nassau County Dist Ct - Civil Revenue	Bank of America, N.A.	48,382.82
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Bank of America, N.A.	246,414.83
Nassau Dist Ct Criminal Trust Acct - Criminal Bail	Bank of America, N.A.	1,600.00
Nassau Surrogate		
Nassau County Surrogate Court - Revenue	Citibank	225,734.31
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
Suffolk County Court		
Suffolk County Court - Court Fund	Suffolk County National	37,231.40
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	Suffolk County National	44,651.00
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	413,339.17

Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	308,578.56
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	11,600.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	244,188.57
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	7,082.09
Kings Civil Court - Civil		
Kings Civil Court - Civil Revenue	HSBC	455,232.50
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	194,237.20
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	223,045.24
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	66,439.83
05215 - NYC-CRIMINAL COURT		
Kings Criminal Court	Citibank	185,634.71
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	66,771.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	94,816.88
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	42,978.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	197,556.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	No report received
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	165,569.00
Richmond County Criminal Court		
Richmond Criminal Court	Citibank	No report received
Richmond Criminal Court- State Funds	Citibank	No report received
05220 - NYC-FAMILY COURT		
Family Citywide Administration		
NYC Family Court	JPMorgan Chase Bank, N.A.	235.00
05231 - SUPREME COURT - BRONX COUNTY		
NYS Office of Court Admin	JPMorgan Chase Bank, N.A.	18,139.50
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County - Bail	HSBC	2,000.00
Supreme Court Kings County- Revenue	HSBC	8,371.13
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	26,245.01
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	1,026,616.75
New York County Clerk		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	426,826.21
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	574,661.50
Bronx Cnty Clerk-Bails /Fines C&T	JPMorgan Chase Bank, N.A.	139,295.39
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,238,375.08
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	887,387.24
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	5,723,428.31
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	111.99
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	112,633.00
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	32,266.75
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	78,558.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	105,883.50
05295 - RICHMOND COUNTY SURROGATES COURT		

Richmond County Surrogate Court Revenue Account	Victory State Bank	22,363.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court		
Albany Police Ct Fines & Forfeiture - Revenue	Key Bank	29,627.98
Albany City Court - (traffic)		
Albany Traffic Court - Bail	Bank of America, N.A.	1,250.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	12,970.94
Albany City Court - Traffic Ct		
Albany Traffic Court - Revenue	Bank of America, N.A.	97,406.00
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	49,969.00
Albany Police Court		
Albany Police Court Bail Account	Key Bank	31,942.56
Cohoes City Court		
Cohoes City Court Bail	First Niagara Bank	21,172.00
Cohoes City Court Fees/Fines Account	First Niagara Bank	22,095.22
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	13,277.50
Greene County Surrogate		
Greene County Surrogate - Revenue	First Niagara Bank	6,669.00
Hudson City Court		
Hudson City Court Bail Acct	Trustco Bank	32,220.00
Hudson City Court Revenue Acct - Revenue	Trustco Bank	7,288.97
Kingston City Court		
Kingston City Court Bail	Key Bank	11,870.00
Kingston City Court Fees Fines - Revenue	Key Bank	56,223.84
Rensselaer City Court		
Rensselaer City Court - Revenue	Key Bank	8,837.80
Rensselaer City Court Bail Acct	Key Bank	32,533.80
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	19,157.00
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	1,053.00
Sullivan County Surrogate		
Sullivan County Surrogates Court - Revenue	Key Bank	6,381.20
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	69,779.79
Troy Police Court Bail Account	Bank of America, N.A.	45,356.63
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	1,027.81
Watervliet City Court		
Watervliet City Court - Revenue	Bank of America, N.A.	21,093.60
Watervliet City Court Bail	Bank of America, N.A.	26,040.31
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Revenue	Key Bank	32,258.38
Amsterdam Police Court - Bail	Key Bank	62,970.88
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	2,087.50
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	1,348.00
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	726.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	608.00
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	17,923.88
Glens Falls City Court Bail Acct	Glens Falls National	76,110.62
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	59,689.87
Gloversville City Court Revenue	NBT Bank	15,529.83
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	970.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	17,463.27
Johnstown City Court Fines/Fees - Revenue	Key Bank	6,974.60
Mechanicville City Court		
Mechanicville City Court Bail	Bank of America, N.A.	4.50
Mechanicville City Court Bail	TD Bank	46,356.00
Mechanicville City Ct Revenue Acct	TD Bank	15,020.79
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	1,551.00
Ogdensburg City Court		

Ogdensburg City Court Int Bail	Community Bank	9,521.92
Ogdensburg City Court Revenue	Community Bank	9,367.27
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	75,137.31
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	33,906.11
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	9,052.00
Saratoga Springs City Court		
Saratoga Springs Bail Account - Revenue	The Adirondack Trust Company	139,844.08
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	38,729.58
Schenectady City Court		
Schenectady City Crim Trust - Bail	Bank of America, N.A.	155,740.62
Schenectady Police Court Fines - Revenue	Bank of America, N.A.	97,669.00
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	8,329.00
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	2,283.75
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	2,640.50
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	1,463.75
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	41,635.66
Fulton City Court Revenue	Key Bank	12,907.00
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	1,525.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	2,962.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	33.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	4,000.00
Little Falls City Court Revenue	M&T Bank	3,110.00
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	13,664.13
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	24,490.25
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	13,468.00
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	28,791.24
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	19,019.80
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	7,480.25
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	119,364.91
Rome City Court - Revenue	Bank of America, N.A.	109,648.04
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	550.00
Sherrill City Court Fees - Revenue	Alliance Bank	1,858.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	510,920.00
Syracuse City Court - Fees - Revenue	Alliance Bank	56,463.80
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	71,541.02
Utica City Court Revenue Account	Key Bank	70,584.87
Watertown City Court		
Watertown City Court Bail	Key Bank	89,732.13
Watertown City Court Fees & Fines - Revenue	Key Bank	23,756.73
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	66,170.00
Binghamton City Court Revenue	M&T Bank	40,603.49
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	13,985.50
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	3,455.25
Chenango County Surrogates		
Chenango County Surrogates Court Acct	NBT Bank	2,592.25
Cortland City Court		
Court City Court Bail	NBT Bank	34,370.59
Court City Court- Revenue	NBT Bank	16,865.61
Cortland County Surrogates		

SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	2,424.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	5,953.75
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	24,674.45
Elmira City Court Bail	Chemung Canal Trust	79,457.13
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	21,847.00
Ithaca City Court Revenue	Tompkins County Trust	32,744.80
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	2,611.50
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	5,065.00
Norwich City Court Revenue Acct	NBT Bank	8,083.41
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	35,717.60
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	17,774.49
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	13,490.58
Oneonta City Court Bail Account	Community Bank	9,360.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	2,630.50
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	1,624.75
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	3,648.50
Tompkins County Surrogates		
Tompkins County Surrogate Court - Revenue	Tompkins County Trust	6,774.00
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	First Niagara Bank	No report received
Auburn City Court Fees & Fines - Revenue	First Niagara Bank	No report received
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	No report received
Canandaigua City Court Revenue	Canandaigua National Bank	No report received
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	No report received
Corning City Court		
Corning City Court - Revenue	Community Bank	No report received
Corning City Court Bail	M&T Bank	No report received
Geneva City Court		
Geneva City Bail Account	Five Star Bank	No report received
Geneva City Court Revenue Acct	Five Star Bank	No report received
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	No report received
Hornell City Court Revenue	Steuben Trust Co.	No report received
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	No report received
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	No report received
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	No report received
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	No report received
Rochester City Revenue	M&T Bank	No report received
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	No report received
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	No report received
Wayne County Surrogates		
Wayne Co Surrogate Court - Revenue	Lyons National	No report received
Yates County Surrogates		
Yates Co Surrogate Court - Revenue	Community Bank	No report received
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
Allegany County Surrogates Court		
Allegany Co Surrogate Court - Revenue	Steuben Trust Co.	652.00
Batavia City Court		
Batavia City Bail Account	M&T Bank	23,231.89
Batavia City Court Revenue	M&T Bank	16,396.50
Buffalo City Court		
Buffalo City Bail Account	M&T Bank	296,550.94
Buffalo City Revenue Account	M&T Bank	52,533.62
Cattaraugus County Surrogates		
Cattaraugus Surrogates Court - Revenue	Cattaraugus County Bank	1,352.00

Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	6,685.00
Dunkirk City Court		
Dunkirk City - Revenue	First Niagara Bank	13,841.30
Dunkirk City Court - Bail	First Niagara Bank	22,272.45
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	156.20
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	32,931.55
Genesee County Surrogates		
Genesee Co Surrogates Court - Revenue	M&T Bank	2,288.50
Jamestown City Court		
Jamestown City Court - Revenue	Key Bank	12,619.72
Jamestown City Court Special Bail	Key Bank	57,341.23
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	15,180.00
Lackawanna City Court Revenue Account	Key Bank	35,630.75
Lockport City Court		
Lockport City - Bail	Key Bank	51,135.85
Lockport City HESC EFT Account - Revenue	Key Bank	19,222.90
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	146,943.20
Niagara City Court - Criminal		
City Court Of Niagara Falls Criminal - Revenue	M&T Bank	29,557.10
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	3,846.00
No. Tonawanda City Court		
N. Tonawanda City Court Bail	M&T Bank	46,875.20
N. Tonawanda City Court Revenue	M&T Bank	44,517.00
Olean City Court		
Olean City Court Bail Account	Community Bank	18,651.57
Olean City Court Revenue Account	Community Bank	12,198.49
Orleans County Surrogates		
Orleans Co Surrogate Revenue	Bank of America, N.A.	1,434.00
Salamanca City Court		
Salamanca City Court Bail	Community Bank	4,566.20
Salamanca City Court City Judge - Revenue	Community Bank	7,631.07
Tonawanda City Court		
Tonawanda City Court Bail	M&T Bank	45,284.18
Tonawanda City Court Revenue	M&T Bank	36,134.00
Wyoming County Surrogates		
Wyoming Co Surrogate Court - Revenue	Five Star Bank	555.00
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Poughkeepsie City Court- Bail	JPMorgan Chase Bank, N.A.	83,964.44
Poughkeepsie City Court- Revenue	JPMorgan Chase Bank, N.A.	74,155.83
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	34,521.70
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	53,314.77
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	32,658.07
Middletown City Court		
Middletown City Bail Escrow - Bail	JPMorgan Chase Bank, N.A.	152,248.95
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	87,587.58
Mt. Vernon City Court		
Mt Vernon City Court State Revenue	JPMorgan Chase Bank, N.A.	40,599.43
Mt Vernon City Trust - Bail	JPMorgan Chase Bank, N.A.	419,530.10
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	251,342.60
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	102,036.49
Newburgh City Court		
Newburgh Bail Account	Bank of America, N.A.	139,715.44
Newburgh City Court Revenue	Bank of America, N.A.	37,997.09
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	13,221.00
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	14,336.90
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	128,384.20
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	46,745.40
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	44,192.43
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	11,618.00
Rockland County Surrogates Court		

Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	21,632.75
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	54,523.86
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	37,019.46
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	JPMorgan Chase Bank, N.A.	65,101.25
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	284,569.64
White Plains City Court		
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	180,070.39
Yonkers City Court		
Yonkers City Bail Account- Bail	Sterling Bank	369,554.47
Yonkers City State Account - Revenue	Sterling Bank	5,519.91
Yonkers City Court - Escrow		
Yonkers City Escrow Account - Bail	Sterling Bank	43,275.03
Yonkers City Court - State Fund		
Yonkers City State Fund Account - Revenue	Sterling Bank	102,932.07
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	48,063.15
Agency Advance Account	Key Bank	17,988.66
Agriculture Producers Sec Fund	Key Bank	38,687.00
Animal Population Control Account	Key Bank	19,600.59
Apple Marketing Order Fund	Key Bank	45,314.07
Consumer Food Industry Account	Key Bank	100,602.18
Dairy Industry Services Account	Key Bank	8,140.72
Dairy Promotion Order Fund	Key Bank	5,494.68
Farm Products Grading	JPMorgan Chase Bank, N.A.	156,510.26
Milk Producers Security Fund	Key Bank	19,166.90
NYS Farmers Market Program	Key Bank	3,927,542.07
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	49.81
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	14,897.73
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	318.08
Onion Marketing Order	Key Bank	1,520.00
Plants Industry Account	Key Bank	38,485.01
Pride of NY	Key Bank	6,016.98
Sour Cherry Marketing Fund	Key Bank	200.00
Weights & Measures Account	Key Bank	48,978.86
State Fair		
NYS Fair Operating Account	Solvay Bank	114,309.45
NYS Fair Petty Cash/Travel	Solvay Bank	3,301.81
NYS Fair Special Account	Solvay Bank	419.36
State Fair Premium Award Account	Solvay Bank	6,028.85
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Employee Insurance Pending Account	Wells Fargo Bank	11,378,464.96
Examination Application Fees Account	Bank of America, N.A.	5,375.00
Examination Application Fees Account	First Niagara Bank	37,380.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	987.32
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	33,832.57
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	487,761.81
Conservation Petty Cash Account	M&T Bank	25,403.07
DEC/Exchange Account	M&T Bank	16,310.91
ENCON License Issuing Office	M&T Bank	1,341.00
ENCON Travel Advance	M&T Bank	4,000.00
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,117.95
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,642.85
Harbor Drift Removal Proj	JPMorgan Chase Bank, N.A.	1,542,391.42
Hunting Trapping & Fishing Account	M&T Bank	2,389,873.72
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	233,437.88
Lockbox Account	Wells Fargo Bank	232,264.69
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,313.00
NY Conservationist	Bank of America, N.A.	15,936.31
Program Fee	JPMorgan Chase Bank, N.A.	0.00
Revenue Account	Bank of America, N.A.	1,512.00
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,249,283.04
SNY Dept Of Environmental Conservation	JPMorgan Chase Bank, N.A.	21,322.83
State of New York	First Niagara Bank	103,868.02
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	11,032.18
Westhampton Project Escrow	JPMorgan Chase Bank, N.A.	253,258.18
Region 1		

Marine Permit Account	Bank of Smithtown	11,978.33
New York State Dept Of Environ - ME	JPMorgan Chase Bank, N.A.	1,214.59
Region 3		
Revenue Region 3 Account	Bank of America, N.A.	99,359.73
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	971.38
Region 4	Greene County Commercial Bank	24,433.84
Region 4 Camping	NBT Bank	4,812.67
Region 4 Office Account	Key Bank	711.20
Region 5		
Campsite Revenue Account	Glens Falls National	7.75
Land & Forest Region 5W	TD Bank	2,906.44
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	0.00
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	5,436.85
Tree Nursery	Bank of America, N.A.	583.60
Region 6		
Fish & Wildlife Watertown	Key Bank	22,424.00
Lands & Forest District #7	Community Bank	0.00
Lands & Forests District #6	Community Bank	4,735.00
Lands And Forests District 10	M&T Bank	19.90
SNY Dept of Environmental Conser	M&T Bank	0.00
SNY Dept Of Environmental Conserv	Community Bank	14.05
Region 7		
Div Of Fish & Wildlife	Alliance Bank	6,152.00
Lands & Forests District #3	Alliance Bank	11,345.00
Regional Office Checking Acct	JPMorgan Chase Bank, N.A.	36,299.23
Region 8		
Lands & Forests Dist No. 14	Five Star Bank	6,889.12
NYS DEC Admin R8	Bank of Castile	64,210.00
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	3,048.96
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	25,925.20
General Cash Fund	Five Star Bank	15,884.67
Inmate Occupational Therapy Fund	Five Star Bank	48,635.39
Inmate Savings Account	Five Star Bank	252,098.33
Spendable Fund	Five Star Bank	93,467.24
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Bank of America, N.A.	5,256.54
Certificate of Deposit	Bank of America, N.A.	15,000.00
Certificate of Deposit	Bank of America, N.A.	90,000.00
Certificate of Deposit	Bank of America, N.A.	20,000.00
Inmate Occupational Therapy Fund	Bank of America, N.A.	19,747.91
Inmate Spendable Account	Bank of America, N.A.	279,795.25
Misc Receipts	Bank of America, N.A.	0.00
Money Market	Bank of America, N.A.	35,000.00
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	10,894.54
Employee Benefit Fund	Key Bank	18,167.29
General Fund	Key Bank	9,639.53
Inmate Funds	Key Bank	644,403.65
Inmate Funds Money Market Account	Key Bank	640,658.53
Inmate Occupational Therapy Acct	Key Bank	74,466.94
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,284.21
Inmate Occupational Therapy Fund	Key Bank	12,000.10
Inmate Spendable Funds	Key Bank	48,655.47
Inmate Spendable Savings Account	Key Bank	50,393.53
Miscellaneous Receipts	Key Bank	2,219.94
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Employee Benefit Fund	Glens Falls National	5,889.89
Facility Advance	Glens Falls National	8,426.27
General Fund Account	Glens Falls National	3,938.55
Inmate Fund	Glens Falls National	71,556.25
Inmate Fund Savings Account	Glens Falls National	102,500.00
Occupational Therapy	Glens Falls National	14,793.13
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	6,811.99
Employee Benefits	M&T Bank	9,193.02

Inmate Spending Account	M&T Bank	223,895.87
Inmates Account	M&T Bank	461,593.01
Inmates Benefit	M&T Bank	24,477.01
Misc Receipts	M&T Bank	26,558.49
Occupational Therapy Account	M&T Bank	19,024.26
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,428.75
Employee Benefit	Key Bank	2,327.26
Inmate Occupational Therapy Fund	Key Bank	23,086.94
Inmate Savings Account	Key Bank	87,871.26
Inmates Fund Account	Key Bank	128,111.11
Misc. Receipts	Key Bank	13,302.38
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	Sleepy Hollow National Bank	8,991.74
Inmate Spending	Sleepy Hollow National Bank	107,256.93
Misc Receipts	Sleepy Hollow National Bank	30,093.93
Money Market	Sleepy Hollow National Bank	449,763.41
Occupational Therapy	Sleepy Hollow National Bank	102,212.78
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,104.98
General Fund	Key Bank	13,157.82
Inmates Money Market	Key Bank	286,789.17
Inmates Now Checking	Key Bank	666,028.34
Occupational Therapy Fund	Key Bank	93,330.77
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	2,112.65
Employee Benefit Fund	Bank of America, N.A.	17,209.32
Inmate Funds	Bank of America, N.A.	97,097.97
Inmate Funds Savings	Bank of America, N.A.	103,084.75
Misc Receipts	Bank of America, N.A.	3,112.02
Occupational Therapy	Bank of America, N.A.	27,659.05
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	1,692.34
Employee Benefit Fund	Sterling Bank	4,375.61
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	249,283.38
Inmate Occupational Therapy Account	Sterling Bank	45,234.29
Misc. Receipts	Sterling Bank	1,448.21
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	6,070.02
ECF Clubhouse	Chemung Canal Trust	11,843.42
Employee Benefit Fund	Chemung Canal Trust	9,972.05
Inmate CD Account	M&T Bank	212,769.68
Inmates Fund	Chemung Canal Trust	221,340.27
Miscellaneous Receipts	Chemung Canal Trust	0.00
Occupational Therapy Fund	Chemung Canal Trust	49,443.27
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	7,905.06
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,870.48
Inmate Funds	JPMorgan Chase Bank, N.A.	111,197.43
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	20,200.47
Misc. Receipts	JPMorgan Chase Bank, N.A.	4,025.07
Occupational Therapy	JPMorgan Chase Bank, N.A.	42,641.74
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	2,257.00
Employee Benefits Fund	National Bank of Coxsackie	7,267.07
Inmates Fund	National Bank of Coxsackie	99,394.67
Inmates Fund Savings Acct	National Bank of Coxsackie	23,471.04
Misc. Revenue	National Bank of Coxsackie	24,145.87
Money Market Acct	National Bank of Coxsackie	129,348.14
Occupational Therapy Acct	National Bank of Coxsackie	12,709.39
10140 - WOODBOURNE CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	578.91
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	95,000.00
General Fund	Bank of America, N.A.	10,284.51
Inmate Funds Checking	Bank of America, N.A.	230,926.60
Occupational Therapy Account	Bank of America, N.A.	19,540.64
10160 - DEPARTMENT OF CORRECTIONAL SERVICES		
Agency Advance Account	Key Bank	19,948.76
Employee Benefit Fund	Key Bank	17,500.80
Inmate Escrow Account	Key Bank	313,040.33
Misc. Receipts Account	Key Bank	306,416.06

Special Account	Key Bank	1,300.00
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	6,570.99
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	797.66
Inmate Funds Account	JPMorgan Chase Bank, N.A.	31,024.78
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	3,368.00
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	2,652.85
Savings Account	JPMorgan Chase Bank, N.A.	15,397.91
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	1,767.49
Agency Advance	Community Bank	1,463.27
Diversity Management	Community Bank	826.10
Employee Benefit Fund	Community Bank	5,001.50
General Fund	Community Bank	821.15
Inmate Funds	Community Bank	37,493.20
Inmate Occupational Therapy Fund	Community Bank	4,713.80
Inmate Savings Money Market	Community Bank	37,214.03
Make A Difference Day	Community Bank	153.24
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	610.69
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	27,287.66
Inmate Fund	JPMorgan Chase Bank, N.A.	110,218.49
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	79,059.63
Misc. Receipts	JPMorgan Chase Bank, N.A.	18,631.22
Occupational Therapy	JPMorgan Chase Bank, N.A.	19,737.88
10250 - TACONIC CORRECTIONAL FACILITY		
Inmate Funds	JPMorgan Chase Bank, N.A.	55,527.67
Misc. Revenue	JPMorgan Chase Bank, N.A.	1,515.63
Money Market	JPMorgan Chase Bank, N.A.	30,595.44
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	23,407.54
Taconic Advance Account	JPMorgan Chase Bank, N.A.	4,557.72
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,855.00
Employee Benefit Fund Account	Key Bank	6,873.48
Inmate Funds Account	Key Bank	98,067.63
Inmate Key Advantage Account	Key Bank	65,162.67
Inmate Occupational Therapy Account	Key Bank	9,973.20
Miscellaneous Receipts Account	Key Bank	300.38
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	946.57
General Fund	Jeff Bank	1,275.75
Inmate Funds	Jeff Bank	92,562.58
Inmate Occupational Therapy	Jeff Bank	35,199.70
Inmate Savings	Jeff Bank	51,094.33
Inmate Savings CD	Hometown Bank	75,589.98
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,423.63
Employee Recreation Fund	M&T Bank	477.97
Inmate Deposit Account	M&T Bank	70,625.64
Inmate Occupational Therapy	M&T Bank	67.76
Misc Fees	M&T Bank	50.88
Work Release Advance Account	M&T Bank	2,719.00
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	5,369.32
Employee Benefit Account	JPMorgan Chase Bank, N.A.	5,052.76
Inmate Cash Account	JPMorgan Chase Bank, N.A.	31,634.65
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	143.32
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,440.57
Work Release Account	JPMorgan Chase Bank, N.A.	0.00
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	4,615.79
Inmate Fund	Community Bank	74,029.45
Inmate Savings	Community Bank	35,132.68
Misc. Receipts	Community Bank	39.41
Occupational Therapy	Community Bank	7,364.71
10360 - LINCOLN CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	3,450.00
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	3,932.77
General Fund	JPMorgan Chase Bank, N.A.	478.69
Inmate Funds	JPMorgan Chase Bank, N.A.	209,806.54
Inmate Savings	JPMorgan Chase Bank, N.A.	59,566.25
Lincoln Work Release Account	JPMorgan Chase Bank, N.A.	3,945.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	11,668.47
10370 - FIVE POINTS CORRECTIONAL FACILITY		

Consolidated Advance	Five Star Bank	2,375.38
EBF Checking	Five Star Bank	12,198.15
EBF Savings	Five Star Bank	3,132.10
Inmate Savings	Five Star Bank	302,646.11
Inmate Spendable	Five Star Bank	11,850.22
Misc Receipts	Five Star Bank	1,319.31
Occupational Therapy	Five Star Bank	43,413.37
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	First Niagara Bank	2,444.77
Employee Benefit Fund Checking	Bank of America, N.A.	27,718.86
Inmate Funds Checking	First Niagara Bank	151,540.23
Inmate Funds Savings	First Niagara Bank	313,005.85
Miscellaneous Revenue	First Niagara Bank	972.98
Occupational Therapy	First Niagara Bank	16,149.35
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	2,319.71
Employee Benefit Account	Alden State Bank	42,501.23
Inmate Savings	Alden State Bank	318,877.40
Inmates Funds	Alden State Bank	113,356.55
Misc. Receipts	Alden State Bank	22,419.23
Occupational Therapy	Alden State Bank	29,287.27
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	First Niagara Bank	21.00
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Evans National Bank	3,648.87
Employee Benefit Fund	Evans National Bank	26,130.95
Inmate Funds	Evans National Bank	68,033.58
Inmate Funds - CD	Evans National Bank	131,050.42
Inmate Funds Savings	Evans National Bank	94,144.00
Miscellaneous Revenue	Evans National Bank	2,987.58
Occupational Therapy	Evans National Bank	12,017.43
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	3,184.42
Employee Commission Account	Five Star Bank	10,102.34
Inmate Funds Account	Five Star Bank	161,862.40
Inmate Funds Account - Savings	Five Star Bank	172,925.06
Miscellaneous Receipts Account	Five Star Bank	4,693.94
Occupational Therapy Account	Five Star Bank	17,443.35
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	8,149.63
Employee Activities	Community Bank	13,235.96
Inmate Fund Checking	Community Bank	90,138.42
Inmate Savings	Community Bank	130,295.76
Miscellaneous Revenue	Community Bank	1,937.09
Occupational Therapy	Community Bank	22,694.04
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	First Niagara Bank	1,882.77
Employee Benefit Fund	Bank of America, N.A.	28,574.49
Inmate Funds	Bank of America, N.A.	0.00
Inmate Funds	First Niagara Bank	99,468.75
Inmate Savings	First Niagara Bank	197,811.03
Misc. Revenue	First Niagara Bank	22,683.00
Occupational Therapy	First Niagara Bank	28,826.52
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	First Niagara Bank	5,096.97
Employee Benefit Fund Account	Bank of America, N.A.	9,695.02
Inmate Funds Checking	First Niagara Bank	144,380.67
Inmates Fund Account	First Niagara Bank	6,914.02
Misc receipts Acct	First Niagara Bank	7,654.79
NYS DOCS Marcy Corr Facility	First Niagara Bank	145,853.59
Occupational Therapy fund Acct	First Niagara Bank	27,088.88
10500 - NYC CENTRAL ADMINISTRATION		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	2,394.76
Misc Receipts	JPMorgan Chase Bank, N.A.	6,736.97
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	4,924.60
Moriah Shock Incarceration Occ Therapy	Glens Falls National	4,032.51
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	48,225.54
10530 - FRANKLIN CORRECTIONAL FACILITY		

Advance Account	Key Bank	5,451.63
Employee Benefit Account	Key Bank	4,463.97
Inmate Funds	Key Bank	72,340.53
Inmate Occupational Therapy	Key Bank	37,105.17
Inmate Savings	Key Bank	396,871.91
Misc. Receipts	Key Bank	3,052.44
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	4,348.75
Employees Vending Benefit	NBT Bank	7,150.39
Inmates Funds	NBT Bank	124,648.58
Misc Revenues General Fund	NBT Bank	1,597.53
Occupational Therapy	NBT Bank	6,952.60
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	7,979.09
Cert Of Deposit	First National Bank of Groton	85,907.29
Employee Benefit Fund	First National Bank of Groton	5,513.75
Inmate Occupational Therapy Account	First National Bank of Groton	26,354.49
Inmate Spendable	First National Bank of Groton	187,074.26
Inmate Spendable	First National Bank of Groton	0.00
Misc Receipts	First National Bank of Groton	1,350.21
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	8,148.70
Employee Benefit Fund	Key Bank	9,742.17
Inmate Spendable Funds	Key Bank	52,080.27
Key Public Money Market Checking	Key Bank	276,200.41
Miscellaneous Receipts	Key Bank	558.04
Occupational Therapy	Key Bank	33,672.15
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,523.11
Inmate Accounts	Key Bank	64,876.14
Inmate Savings Account	Key Bank	105,264.98
Miscellaneous Receipts Account	Key Bank	6,532.35
Occupational Therapy	Key Bank	14,275.11
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	4,184.90
Employee Benefit Fund	Community Bank	5,674.76
Inmate Occupation Therapy Acct	Community Bank	13,727.98
Inmate Savings	Community Bank	131,337.61
Inmate Spendable Account	Community Bank	110,448.72
Miscellaneous Receipts Account	Community Bank	1,958.78
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,176.26
Employee Benefit Fund - Sav	Community Bank	7,939.26
Inmate Funds	Community Bank	24,203.86
Inmate Funds - Sav	Community Bank	104,608.64
Miscellaneous Revenue	Community Bank	271.97
Occupational Therapy	Community Bank	155.81
Occupational Therapy - Sav	Community Bank	5,007.50
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	2,284.71
Employee Benefit Fund	Bank of America, N.A.	6,009.37
Inmate Fund	Bank of America, N.A.	83,351.06
Inmate Funds Savings	Bank of America, N.A.	12,241.19
Misc. Receipts	Bank of America, N.A.	85.50
Occupational Therapy	Bank of America, N.A.	4,980.06
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	1,733.01
Employee Benefit Fund	Chemung Canal Trust	13,786.61
Inmate Funds	Chemung Canal Trust	218,382.78
Inmate Funds Account	Chemung Canal Trust	26,539.07
Misc. Receipts Account	Chemung Canal Trust	236.61
Occupational Therapy Account	Chemung Canal Trust	13,039.36
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	3,703.21
Employee Benefit Fund	Bank of America, N.A.	5,294.56
Inmate Funds	Bank of America, N.A.	126,908.26
Inmate Savings	Bank of America, N.A.	56,971.39
Miscellaneous Receipts	Bank of America, N.A.	48,696.94
Occupational Therapy	Bank of America, N.A.	22,730.23
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Glens Falls National	2,153.92
Employee Benefit	Glens Falls National	8,026.10
General Fund	Glens Falls National	0.00
Inmate Fund	Glens Falls National	53,720.57

Inmate Funds Account Certificate Of Deposit	Glens Falls National	77,784.97
Inmate Funds Account Savings	Glens Falls National	14,312.76
Occupational Therapy	Glens Falls National	16,291.65
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	7,470.80
Employee Benefit Fund	Five Star Bank	8,407.77
Inmate Occupational Therapy	Five Star Bank	32,606.38
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	50,897.88
Inmate Savings-Certificate of Deposit	Five Star Bank	25,000.00
Inmate Spendable	Five Star Bank	194,100.72
Misc. Receipts Account	Five Star Bank	26,352.98
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	4,737.88
Inmate Accounts	National Bank of Coxsackie	85,744.41
Inmate Accounts	National Bank of Coxsackie	7,035.73
Inmate Savings	National Bank of Coxsackie	238,349.51
Misc. Receipts	National Bank of Coxsackie	469.01
Occupational Therapy	National Bank of Coxsackie	30,960.68
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,113.50
Inmate Funds	Key Bank	149,952.55
Inmates Funds Account	Key Bank	114,433.97
Misc. Receipts Account	Key Bank	47.95
Occupational Therapy Acct	Key Bank	21,564.53
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Bank of America, N.A.	2,098.22
Inmate Funds	Bank of America, N.A.	62,509.85
Miscellaneous Receipts	Bank of America, N.A.	535.77
Occupational Therapy	Bank of America, N.A.	24,211.95
Savings	Bank of America, N.A.	100,092.46
10800 - LIVINGSTON CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	987.39
Employee Benefit Fund	Five Star Bank	9,639.61
Inmate Funds CD	Five Star Bank	20,617.23
Inmate Funds CD	Five Star Bank	16,528.96
Inmate Funds CD	Five Star Bank	47,134.66
Inmate Funds Checking	Five Star Bank	50,615.14
Inmate Savings	Five Star Bank	56,034.07
Miscellaneous Receipts	Five Star Bank	623.03
Occupational Therapy	Five Star Bank	10,943.35
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	2,760.35
Inmate Occupational Therapy	Community Bank	17,784.04
Inmate Savings	Community Bank	139,769.92
Inmate Spendable Fund	Community Bank	97,257.26
Misc Receipts	Community Bank	10,230.52
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	1,598.93
Employee Benefit Fund	Community Bank	18,616.88
Inmate Funds	Community Bank	59,735.42
Inmate Occupational Therapy	Community Bank	2,836.04
Misc Receipts	Community Bank	3,856.47
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	3,812.81
Facility Committees	Key Bank	10,374.08
Inmate Fund	Key Bank	44,677.81
Inmate Fund Savings	Key Bank	374,911.29
Inmate Occupational Therapy Fund	Key Bank	4,374.67
Miscellaneous Account	Key Bank	108.20
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	1,200.00
Employee Benefit Fund	Bank of America, N.A.	16,878.89
Inmate Funds	Key Bank	43,589.18
Inmate Interest Bearing Account	Key Bank	15,000.25
Misc Receipts	Key Bank	126.72
Occupational Therapy	Key Bank	14,210.13
10900 - ATTICA CORRECTIONAL FACILITY - INDUSTRIES		
Attica Correctional Facility Div Of Industries	First Niagara Bank	1,300.00
10904 - GREAT MEADOW CORRECTIONAL FACILITY - INDUSTRIES		
Cash Advance	Citizens Bank	750.00
10905 - FISHKILL CORRECTIONAL FACILITY - INDUSTRIES		
Agency Advance Account	M&T Bank	843.08

10906 - WALLKILL CORRECTIONAL FACILITY - INDUSTRIES		
Division of Industry	Key Bank	410.73
10908 - GREEN HAVEN CORRECTIONAL FACILITY - INDUSTRIES		
Div of Industries Petty Cash	Key Bank	3,465.63
10909 - ALBION CORRECTIONAL FACILITY - INDUSTRIES		
Petty Cash	Bank of America, N.A.	1,000.00
10910 - EASTERN NEW YORK CORRECTIONAL FACILITY - INDUSTRIES		
Div of Industries Petty Cash	Bank of America, N.A.	1,000.00
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	4,476.36
Div of Ind Revenue Acct	Key Bank	44,341.69
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
EFT Disbursement	Key Bank	No report received
Operating	Key Bank	No report received
Retail Lockbox	US Bank	No report received
TAP	Key Bank	No report received
Wholesale Lockbox	US Bank	No report received
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	No report received
Petty Cash	M&T Bank	No report received
Student Spending Account	Bank of America, N.A.	No report received
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	181.34
Petty Cash	NBT Bank	1,950.00
Student Activity Fund	NBT Bank	1,567.63
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	4,100,845.54
Endowment - Special Account	Janney Montgomery Scott LLC	21,632.51
Trust's Board Project Account	Key Bank	140,256.92
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
Conf Narcotic Investigation	M&T Bank	No report received
Consolidated Advance Acct	M&T Bank	No report received
CSA Rebate Account	Bank of America, N.A.	No report received
EPIC Benefit Recovery Program	Bank of America, N.A.	4,000.31
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
General Account	M&T Bank	No report received
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Medicaid	Key Bank	No report received
Medicaid Audit Recoveries Acct	Key Bank	No report received
Medicaid Buy-In Account	Bank of America, N.A.	No report received
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	No report received
Nursing Home Fees Account	Bank of America, N.A.	50,503.45
NYPS Master Funding Account	Bank of America, N.A.	22,866.14
NYPS Provider Payment Account	Bank of America, N.A.	0.00
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	2,076,999.24
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	No report received
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	No report received
Exchange Account	NBT Bank	No report received
Maintenance Fund	NBT Bank	No report received
Resident Advance Savings	NBT Bank	No report received
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	No report received
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	No report received
St Albans Vet Home Maintenance Acct	NBT Bank	835,947.24
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	4,159.35
Exchange Account	Bank of America, N.A.	30,907.69
Maintenance Account	NBT Bank	424,403.73
Resident Funds	Bank of America, N.A.	320,135.30
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	13,938.06
Maintenance Acct	NBT Bank	1,502,295.26
Residence Account	Bank of America, N.A.	645,174.97
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	309.59

Albany Petty Cash Account	Key Bank	500.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	335.66
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	29,139.29
Exchange Account	Bank of America, N.A.	69,953.39
Fee And Permit Account	Key Bank	3,293,786.35
Min Wage & Claim Funding Acct	Key Bank	284,455.79
Minimum Wage & Wage Claim Acct	Key Bank	865,380.82
Misc Receipts	Bank of America, N.A.	224,540.79
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	111,244,966.66
16000 - PUBLIC SERVICE COMMISSION		
Advance For Travel	Key Bank	15,000.00
Cable Account	Key Bank	5,426.33
Petty Cash Account	Key Bank	13,460.00
Special Fee Account	Key Bank	26,065.79
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	17,013.15
Driver Improvement Program (DIP)	Key Bank	17,062.31
Emergency Card Funding Account	Bank of America, N.A.	252,632.80
Main Office Advance For Travel	Key Bank	157,299.78
Revenue Unit	Key Bank	252,635.58
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	66,951.41
17100 - NYS DEPARTMENT OF TRANSPORTATION-REGION 10		
Region 10 Advance For Travel	Citibank	No report received
19000 - DEPARTMENT OF STATE		
Atheltic	M&T Bank	No report received
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Main	M&T Bank	No report received
Petty Cash Account	Key Bank	No report received
Summons	M&T Bank	No report received
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
CPI Revenue Account	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
20000 - DEPARTMENT OF TAXATION & FINANCE		
Advance Tax Enforcement	JPMorgan Chase Bank, N.A.	27,609.49
Exchange	Bank of America, N.A.	56,807.45
Fee Account	Key Bank	40,925.06
IFTA Funding	JPMorgan Chase Bank, N.A.	1,574.82
Misc Tax Account - Exchange	Bank of America, N.A.	149,364.97
NYS OSC Concentration CID Search Warrant	Key Bank	490,469.97
Petty Cash	Bank of America, N.A.	52,381.70
Tax Preparer Fees Account	JPMorgan Chase Bank, N.A.	43,000.00
Waste Tire Tax	JPMorgan Chase Bank, N.A.	8,904.76
20050 - DIVISION OF THE LOTTERY		
Concentration	Key Bank	321,293.99
Custody Account	US Bank	No report received
Exchange Account	Key Bank	10,341,409.24
Lottery Prize Account #2	Key Bank	10,512,098.00
Lottery Subscriptions Account	Key Bank	626,482.25
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	57,429.17
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	173,256.56
Money Market	Bank of America, N.A.	907.07
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Confidential Account	JPMorgan Chase Bank, N.A.	No report received
Office Of The State Inspector General Pass Thru Account	Key Bank	No report received
OSIG Confidential Account	Key Bank	No report received
OSIG Petty Cash Account	Key Bank	No report received
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	491.96
Petty Cash Account	Key Bank	546.53

Petty Cash Fund	JPMorgan Chase Bank, N.A.	350.34
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Abany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	No report received
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	No report received
Albany Central Main Exchange (Albany Central Office)		
Exchange	M&T Bank	No report received
Albany Central Office		
DMV Exchange Acct - Controlled Disb	M&T Bank	No report received
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	No report received
Albany TVB Sub (Albany Central Office)		
Adjudication Account	M&T Bank	No report received
Adjudication Account	Wells Fargo Bank	No report received
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	No report received
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Chautaqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Chemical Concentration (CTY)(OSC)		
Chemical Concentration (CTY)(OSC)	First Niagara Bank	No report received
Chemical Concentration (DO)(OSC)		
Chemical Concentration (DO)(OSC)	First Niagara Bank	No report received
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	No report received
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	No report received
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	124,300.00
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
HCK,WHD,BYS,PSK,WPD,BRX,BXI,BRK		
Revenue Account - Downstate	Wells Fargo Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
IRP (Albany Central Office)		
International Registration	M&T Bank	No report received
International Registration	Wells Fargo Bank	No report received
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	No report received
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	No report received
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	No report received

Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Office Fee (Albany Central Office)		
Office Fee	Wells Fargo Bank	No report received
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	204,717.46
Oneida County Fee Account	NBT Bank	181,970.25
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	No report received
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	No report received
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	No report received
Search Exchange (Albany Central Office)		
MV Search	First Niagara Bank	No report received
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	No report received
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	No report received
TVB Acct		
TVB Acct	Wells Fargo Bank	No report received
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	No report received
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	No report received
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	No report received
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Advance	JPMorgan Chase Bank, N.A.	153.01
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	482.70
Bronx CMSO Cash Advance #237	JPMorgan Chase Bank, N.A.	500.00
Brooklynn Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	500.00
Brookwood Cash Advance	Key Bank	1,210.75
Brookwood Resid Residential Cash	Key Bank	13,316.27
Buffalo CMSO	M&T Bank	4.50
Capital District CMSO	Key Bank	335.00
Check Exchange	Bank of America, N.A.	721,329.00
CO Independent Living Acct	Bank of America, N.A.	10,000.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	23,557.37
Columbia Girls Secure Center-Advance Acct	Bank of America, N.A.	547.84
Columbia Girls Secure Center-Youth Savings	Bank of America, N.A.	2,666.75
Ella Mcqueen Reception Center For Boys	Bank of America, N.A.	500.00
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	3,280.25
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	2,374.98
Goshen Cash Advance	Bank of America, N.A.	1,702.20
Goshen Residents Account	Bank of America, N.A.	1,132.35
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,060.06
Highland Res Ctr Residents Acct	Bank of America, N.A.	2,540.21
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	1,543.47
Industry Res Account	JPMorgan Chase Bank, N.A.	4,739.19
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Tompkins County Trust	973.32
MacCormick Resid Advance	Tompkins County Trust	6,188.65
Manhattan CMSO	JPMorgan Chase Bank, N.A.	500.00
Medicaid Reimbursement Exchange	Bank of America, N.A.	821,951.10
Mid Hudson #236	Bank of America, N.A.	500.00
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	13,741.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	10,400.00
Queens CMSO Cash Advance	Bank of America, N.A.	160.00
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	700.00
Red Hook Res Ctr Resident Cash	Key Bank	429.22
Red Hook Resid Ctr Cash Advance	Key Bank	197.56
Rochester Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	573.19
State Central Register	Bank of America, N.A.	97,364.00
Taberg Cash Advance Account	M&T Bank	63.21
Taberg Residents Account	M&T Bank	667.50

Training Academy Cash Advance	Bank of America, N.A.	0.00
Youth Leadership Cash Advance	NBT Bank	265.20
Youth Leadership Resident Cash	NBT Bank	5,733.20
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	21,083.70
Title IV D Of Social Security	Key Bank	531,651.00
Travel Advance	Key Bank	10,000.00
28010 - SUNY ALBANY		
Fee Account	Key Bank	1,287,982.84
Loan Services Center Account	Key Bank	126,322.61
Petty Cash/Travel Advance	Key Bank	50,840.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	8,703,393.80
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
SUNY Binghamton - Petty Cash	M&T Bank	86,616.48
28030 - SUNY BUFFALO		
Agency Advance Account	Bank of America, N.A.	2,400.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	474,150.28
General Revenue Account	Key Bank	218,161.65
Imprest Account	Key Bank	145,321.57
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	2,393,230.32
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	15,407,117.09
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	200,854.61
LISVH Fees Depository	Sterling Bank	427,757.60
LISVH Residence Fund	Sterling Bank	457,128.45
Payroll Advance	JPMorgan Chase Bank, N.A.	8,000.00
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
State Consolidated Petty Cash/Travel Advance	JPMorgan Chase Bank, N.A.	38,458.73
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	120,266.47
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	2,067,343.34
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,215.16
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	42,458.89
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	610,771.24
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	450,997.90
Petty Cash	JPMorgan Chase Bank, N.A.	65,877.28
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	394,830.99
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	1,961,653.70
Parking	Key Bank	297,822.25
28150 - SUNY BROCKPORT		
Agency Advance	M&T Bank	No report received
Brockport-REOC Account	Key Bank	No report received
Concentration Acct	M&T Bank	No report received
Controlled Disb	M&T Bank	No report received
28160 - SUNY BUFFALO STATE COLLEGE		
Advance Account	M&T Bank	80,100.39
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	3,773.14
Students Acct Office	M&T Bank	186,370.48
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	235,126.90
Petty Cash	Key Bank	24,230.94
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	268,792.50
Petty Cash	M&T Bank	27,900.00
28190 - SUNY GENESEO		
Advance Account	Key Bank	46,958.68
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	1,380,532.26
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	107,448.18
Petty Cash	JPMorgan Chase Bank, N.A.	9,869.10
28210 - SUNY NEW PALTZ		

Disbursement Account	Key Bank	0.00
Petty Cash	Key Bank	61,172.77
State Revenue	Key Bank	425,935.22
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	63,741.00
Revenue Account	NBT Bank	6,615,339.18
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	4,633,139.68
Imprest Account	Key Bank	34,796.81
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	5,275,944.04
Petty Cash	Glens Falls National	23,019.00
28250 - SUNY POTSDAM		
NYS University College At Potsdam	HSBC	(198,240.54)
Petty Cash	HSBC	46,710.00
State Fee Account	Key Bank	0.00
State Fee Reconciliation Account	HSBC	128,182.05
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	207,991.44
Petty Cash/Travel	Key Bank	500.00
SUNY Purchase	Key Bank	0.00
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	7,712.50
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	283,744.47
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	56,331.31
Distribution Center Account	Key Bank	78,256.03
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	3,013,746.24
Petty Cash Account	Community Bank	33,455.00
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Consolidated Advance	Key Bank	30,000.00
Income Fund	Key Bank	262,666.31
International Program Account	Key Bank	48,947.48
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Agency Advance	Key Bank	23,415.00
Income Fund	Key Bank	154,602.68
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	186,708.22
Petty Cash Fund	Delaware National Bank	21,283.99
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Advance Account	Citibank	26,927.46
Income Fund	Citibank	381,639.64
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	1,052,383.76
Revenue Account	NBT Bank	5,179.11
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Advance Account	M&T Bank	0.00
Agency Advance	Key Bank	21,353.87
Controlled Disb	Key Bank	0.00
Controlled Disb	M&T Bank	0.00
Forestry	Community Bank	258.72
Regular Account	Key Bank	215,555.57
Revenue Account	M&T Bank	101,838.91
Student Government	Key Bank	144,421.79
28559 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY-CURRENT		
NYS College Of Environmental	HSBC	No report received
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	No report received
Cruise Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	No report received
Revenue EFT Account	JPMorgan Chase Bank, N.A.	No report received
28580 - SUNY COLLEGE OF OPTOMETRY		
Consolidated Advance	JPMorgan Chase Bank, N.A.	3,300.00
General Revenue	JPMorgan Chase Bank, N.A.	228,034.73
Medical Transportation	JPMorgan Chase Bank, N.A.	499.38
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	2,108,714.60
Petty Cash	Key Bank	5,000.00

Revenue	Key Bank	289,852.51
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Advance for Travel	Key Bank	50,000.00
Fire Tax Account (Main)	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	36,795.80
General Fund	Key Bank	137,502.09
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	95,858,829.25
Miscellaneous Account	JPMorgan Chase Bank, N.A.	80,182.54
Petty Cash	Key Bank	4,784.72
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,007.70
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Contractors Bid (SA)	Bank of America, N.A.	55,940.68
Revenue (SA)	Glens Falls National	4,740.71
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	8,600.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	110,867.25
Revenue (LI)	Suffolk County National	69,761.18
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	46,300.81
Revenue (GE)	Bank of Castile	490,764.33
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	3,175.80
Revenue (NIA)	Evans National Bank	14,298.00
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	241.71
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	16,021.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	First Niagara Bank	150,376.32
Petty Cash (ALB)	Key Bank	39,940.00
Revenue (NI, GE, AL, CE, TA)	M&T Bank	440,787.83
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	217,042.34
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	184,673.16
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	60,395.15
Revenue (various)	Wells Fargo Bank	55,466.13
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	6,197.61
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	First Niagara Bank	616,094.13
Statewide Housing Security Deposits	Key Bank	162,583.57
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	7,911.78
Revenue (FL)	Savannah Bank	119,439.08
Revenue (FL-Multi)	Community Bank	134,709.44
Revenue (FL-Multi-Facilities)	Tompkins County Trust	539,340.46
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	6,576.00
Regional Account (AL)	Five Star Bank	93,575.08
Revenue (AL)	Five Star Bank	162,852.34
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	26,264.13
Revenue (CE, SA, TI)	NBT Bank	26,988.27
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	4,208.52
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI -Long Point River)	Citizens Bank	23,893.86
Revenue (TI)	Citizens Bank	15,783.05
Revenue (TI-Multi)	Community Bank	83,069.38
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	137,539.39
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Med Grant Cont Disb Acct	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	No report received
Petty Cash Manufacturing	Bank of America, N.A.	300.00
Reimbursement Account	Bank of America, N.A.	No report received
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	23,269.67
Facility Holding Account	JPMorgan Chase Bank, N.A.	16,168.74
Patient Cash Funds	JPMorgan Chase Bank, N.A.	445,196.82
Patients Cash Account	JPMorgan Chase Bank, N.A.	108,287.65
Security Deposit	JPMorgan Chase Bank, N.A.	4,544.04
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	No report received
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	150,960.80

Medicaid Outpatient Travel	Banco Popular	7,719.01
Patient Cash Acct (MM)	Banco Popular	540,440.08
Patient Checking Account	Citibank	19,254.58
Patient Savings Account	Banco Popular	331,883.23
Security Deposit	Banco Popular	2,487.93
Urban Oasis/EBT	Banco Popular	2,580.38
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	M&T Bank	No report received
Employee Building & Donation	M&T Bank	No report received
Facility Holding	M&T Bank	No report received
Patient Cash Checking	M&T Bank	No report received
50060 - HUDSON RIVER PSYCHIATRIC CENTER		
Patients Cash	JPMorgan Chase Bank, N.A.	(12,614.62)
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Hudson Valley National Bank	17,393.19
CD	Hudson Valley National Bank	425,038.43
General Fund Checking	Hudson Valley National Bank	13,583.81
Patient Cash Checking	Hudson Valley National Bank	485,976.27
Patients Money Market	Hudson Valley National Bank	72,681.20
Social Service Tokens	Hudson Valley National Bank	38,108.50
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	40,653.72
Facility Holding	Key Bank	365,786.54
Patients Cash Account	Key Bank	205,218.32
Patients Fund Savings	Key Bank	147,541.66
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	41,323.03
Facility Holding Account	Community Bank	18,839.75
Patients Cash Account	Community Bank	26,198.68
Patients Cash Savings	Community Bank	301,264.08
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	55,065.79
Certificate Of Deposit	HSBC	150,000.00
Certificate Of Deposit	HSBC	250,000.00
Holding Account	HSBC	15,325.91
Medicaid Travel Account	HSBC	44,728.30
Money Management Account	HSBC	963,772.14
Patient Cash Account	HSBC	61,858.18
Rent Holding Account	HSBC	246.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	26,596.80
Exchange Account	JPMorgan Chase Bank, N.A.	102,261.46
Holding Account	JPMorgan Chase Bank, N.A.	30,528.50
Investment CD	Provident Bank	100,064.97
Investment CD	Provident Bank	100,064.97
Investment CD	Provident Bank	100,044.15
Investment CD	Provident Bank	100,044.15
Investment CD	Provident Bank	100,048.32
Investment CD	Provident Bank	100,126.02
Patient Cash Account	JPMorgan Chase Bank, N.A.	50,000.00
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	261,774.05
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	711,734.42
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	4,404.20
General	JPMorgan Chase Bank, N.A.	12,530.93
Petty Cash	JPMorgan Chase Bank, N.A.	1,602.39
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	23,742.46
Clients Count	Key Bank	251,513.52
Holding Account	Key Bank	12,801.09
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	65,775.96
Facility Holding Acct	JPMorgan Chase Bank, N.A.	126,796.27
Family Care Advance Account	JPMorgan Chase Bank, N.A.	73,709.19
Patient Cash	JPMorgan Chase Bank, N.A.	692,349.62
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	First Niagara Bank	14,979.06
Facility Holding	First Niagara Bank	3,105.68
Patient Cash Checking	First Niagara Bank	5,862.36
Patient Cash Savings	First Niagara Bank	77,761.99
Security Deposit	First Niagara Bank	5,377.17
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	18,094.42

Misc. Receipts	JPMorgan Chase Bank, N.A.	27,569.72
Patients Cash MM	JPMorgan Chase Bank, N.A.	84,351.88
Patients Checking Acct	JPMorgan Chase Bank, N.A.	699,815.29
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,280.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	8,084.13
Holding Account	Hudson Valley National Bank	33,020.74
Patient Cash Account	Hudson Valley National Bank	61,358.86
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	First Niagara Bank	7,987.05
General Fund	First Niagara Bank	6,916.86
Patient Checking	First Niagara Bank	30,668.94
Patient Savings	First Niagara Bank	150,784.99
Security Deposit	First Niagara Bank	1,581.48
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	7,225.00
Facility Holding Account	Key Bank	1,258.40
Patients Account	Key Bank	112,453.22
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	6,554.85
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	3,401.10
Facility Holding Checking	JPMorgan Chase Bank, N.A.	15,095.93
Family Care Checking	JPMorgan Chase Bank, N.A.	1,500.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	373,917.61
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	9,577.40
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	M&T Bank	No report received
Exchange Account	M&T Bank	No report received
Patients Cash Acct	M&T Bank	No report received
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	36,935.52
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	14,865.00
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	10,385.85
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Money Management Account	HSBC	83,652.90
Queens Adv Acct	HSBC	3,504.83
Queens Childrens Account	HSBC	5,673.11
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	10,431.20
General Fund Account	Chemung Canal Trust	8,825.90
IMMA	Chemung Canal Trust	43,020.53
Patients Fund Account	Chemung Canal Trust	184,247.16
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	2,277.82
Facility Holding Account	Key Bank	140,877.95
Parking Garage Account	Key Bank	204,160.75
Patients Cash Checking Account	Key Bank	41,830.33
Patients Cash Savings Account	Key Bank	375,950.50
51000 - OFFICE OF MENTAL RETARDATION & DEVELOPMENTAL DISABILITIES		
DIS Funded Depreciation Acct	Bank of America, N.A.	549.99
MMIS Intercept Debt Serv Acct	Bank of America, N.A.	0.00
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	34,362,788.73
Travel Advance Account	Bank of America, N.A.	No report received
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Account	M&T Bank	30,790.00
Advance Accounts	Key Bank	10,382.39
Clients Account	Key Bank	158,421.92
Consumer Cash - Checking	M&T Bank	126,487.93
Consumer Cash - Savings	Bank of America, N.A.	6,515.91

Consumer Cash - Savings	Key Bank	1,702,337.63
Consumer Cash - Savings	M&T Bank	2,403,418.59
EBT Checking Account	Key Bank	210,222.48
Exchange	Bank of America, N.A.	No report received
Exchange Account	Key Bank	38,988.99
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	65,603.10
Electronic Benefits Transfer	M&T Bank	458.51
General Fund	M&T Bank	31,032.15
Patients Cash Checking	M&T Bank	637,063.95
Patients Fund Savings	M&T Bank	2,348,627.19
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	450,405.80
General Fund Account	JPMorgan Chase Bank, N.A.	0.00
Money Market Account	JPMorgan Chase Bank, N.A.	274,663.41
Petty Cash Account	JPMorgan Chase Bank, N.A.	15,331.51
Staten Island DDSO EBT Checking Acct	JPMorgan Chase Bank, N.A.	0.00
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	No report received
CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
Food Stamp Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	M&T Bank	No report received
WNY DDSO Perrysburg Client Cash	M&T Bank	No report received
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	15,067.15
EBT Checking Account	JPMorgan Chase Bank, N.A.	260,309.42
General Fund	JPMorgan Chase Bank, N.A.	11,424.33
Patient Cash	JPMorgan Chase Bank, N.A.	1,940,571.99
51380 - BROOKLYN DDSO		
CD	Banco Popular	90,000.00
Consumers Fund	JPMorgan Chase Bank, N.A.	205,495.27
Consumers Money Management	JPMorgan Chase Bank, N.A.	878,388.49
Food Stamps Benefits	JPMorgan Chase Bank, N.A.	17,932.91
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	195,746.51
Petty Cash - Degraw Street	JPMorgan Chase Bank, N.A.	542.66
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	17,809.50
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	2,398.56
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	850,000.00
Community Store Fund	Community Bank	9,633.02
Exchange Fund	Community Bank	1,317.20
Residents Fund	Community Bank	636,125.74
Sunmount Advance Account	Community Bank	9,481.60
Sunmount EBT Checking Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	11,796.60
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	13,695.48
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	657,860.10
Metro DDSO Client Personal Allowance	JPMorgan Chase Bank, N.A.	100,000.00
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	381,715.34
Patients Cash Account	JPMorgan Chase Bank, N.A.	428,148.48
Patients Money Market Account	JPMorgan Chase Bank, N.A.	1,032,052.39
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Bernard Fineson DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	85,482.00
Money Market Account	JPMorgan Chase Bank, N.A.	303,195.22
Patient Fund Account	JPMorgan Chase Bank, N.A.	575,611.30
Petty Cash Fund	JPMorgan Chase Bank, N.A.	15,805.54
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	78,719.65
F.L. Newark Resident Checking	Community Bank	128,923.48
Finger Lakes Geneseo CD	Key Bank	1,000,000.00
Finger Lakes Monroe CD	Key Bank	800,000.00

Finger Lakes Newark CD	Key Bank	1,850,000.00
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	312,828.38
FL Vending Machine Account	Community Bank	35,232.14
General Account	JPMorgan Chase Bank, N.A.	32,964.71
Geneseo Client Cash	Bank of America, N.A.	11,177.94
Kelsey Trust Fund	Bank of America, N.A.	5,000.00
Mary Moore Trust Fund	Bank of America, N.A.	20,000.00
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	82,599.35
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	255,274.85
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	1,864,093.48
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	50,224.17
Broome DDSO - Advance Account	M&T Bank	28,797.31
Broome DDSO - General Fund	M&T Bank	24,680.95
Disabled Individuals Savings	First Niagara Bank	1,840,821.29
Disabled Individuals Savings	M&T Bank	103,081.70
OMRDD Broome DDSO EBT Checking	M&T Bank	72,220.96
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	No report received
Patient Fees	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	877.34
55630 - NYS FOUNDATION FOR SCIENCE, TECHNOLOGY & INNOVATION		
Administrative Monies Account	Key Bank	No report received
Federal Monies Account	Key Bank	No report received
NYS Foundation For Science Technology & Innovation	Key Bank	No report received
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Financial Assistance	JPMorgan Chase Bank, N.A.	No report received
CUNY Admin Imprest Cash Account	Citibank	No report received
CUNY Admin UAO Travel Advance	Citibank	No report received
CUNY Admin UAO Travel Advance	JPMorgan Chase Bank, N.A.	No report received
CUNY Admin. Fin. Assist. Direct Deposit	JPMorgan Chase Bank, N.A.	No report received
CUNY Admin. Imprest Cash Account	JPMorgan Chase Bank, N.A.	No report received
70010 - CUNY BROOKLYN COLLEGE		
Brooklyn College Imprest Fund	HSBC	No report received
70020 - CUNY CITY COLLEGE		
The City College of New York - The City College	Citibank	No report received
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	No report received
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	No report received
John Jay College Petty Cash	JPMorgan Chase Bank, N.A.	No report received
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	No report received
70080 - CUNY YORK COLLEGE		
York College Imprest Fund	JPMorgan Chase Bank, N.A.	No report received
York College Imprest Funds	Citibank	No report received
York College Travel Advance Fund	Citibank	No report received
York College Travel Allowance	JPMorgan Chase Bank, N.A.	No report received
70090 - CUNY GRADUATE SCHOOL		
Graduate Center Imprest Fund	TD Bank	No report received
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	No report received
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology College Travel Fund	Popular Community Bank	No report received
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	No report received
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	No report received

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
AIDA M. BREWER - *Treasurer*

FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE IS THE SOLE CUSTODIAN WITH BALANCES AS OF 07/31/2015

ACCOUNT	DESCRIPTION	DEPOSITORY	BALANCE AS OF 07/31/2015
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND			
0226	Upstate Community Colleges, FIT Series 2000A	Key Bank	2,689.82
0231	Upstate Community Colleges, Series 2005A	Key Bank	102,235.46
0232	Upstate Community Colleges, Series 2005B	Key Bank	92,433.76
0233	Upstate Community Colleges, Series 2005C	Key Bank	89,992.43
DORMITORY AUTHORITY OF THE STATE OF NEW YORK			
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	122,453,955.52
0070	Hospital and Nursing Home Project Operating Fund	Key Bank	28,088.63
0104	Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	401.35
0105	Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	76,338.77
0149	State Advances Repayment Account	Bank of America, N.A.	53.09
1202	DA DFRF MAC #1202 Biinghamton	Key Bank	61,026.09
1203	DA DFRF MAC #1203 Oneonta	Key Bank	122,485.48
1205	DA DFRF MAC #1205 Delhi	Key Bank	32,672.46
1206	DA DFRF MAC #1206 Buffalo Univ	Key Bank	380,470.04
1207	DA DFRF MAC #1207 Buffalo College	Key Bank	97,268.89
1208	DA DFRF MAC #1208 Alfred	Key Bank	234,151.73
1209	DA DFRF Mac #1209 Fredonia	Key Bank	3,937.26
1211	DA DFRF Mac #1211 Upstate Medical	Key Bank	16.24
1212	DA DFRF Mac #1212 Oswego	Key Bank	101,036.49
1214	DA DFRF Mac #1214 Cortland	Key Bank	913,960.83
1215	DA DFRF MAC #1215 Stony Brook	Key Bank	2,273,503.63
1216	DA DFRF MAC #1216 Old Westbury	Key Bank	95.89
1217	DA DFRF MAC #1217 Farmingdale	Key Bank	47,003.73
1218	DA DFRF MAC #1218 Downstate Med	Key Bank	94.83
1219	DA DFRF MAC #1219 Maritime	Key Bank	99,809.04
1221	DA DFRF MAC #1221 Brockport	Key Bank	112,608.05
1222	DA DFRF MAC #1222 Geneseo	Key Bank	1,206,203.79
1223	DA DFRF MAC #1223 Purchase	Key Bank	370,493.38
1224	DA DFRF MAC #1224 New Paltz	Key Bank	1,644,811.47
1225	DA DFRF MAC #1225 Canton	Key Bank	1,313.99
1226	DA DFRF MAC #1226 Plattsburgh	Key Bank	138,135.43
1227	DA DFRF MAC #1227 Potsdam	Key Bank	98.01
1228	DA DFRF MAC #1228 Morrisville	Key Bank	12,810.24
1229	DA DFRF MAC #1229 SUNYIT	Key Bank	56.61
1230	DA DFRF MAC #1230 Cobleskill	Key Bank	101,574.38
1239	DA DFRF MAC #1239 Albany	Key Bank	108,074.70
1240	Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202	DA OMRR MAC #2202 Binghamton	Key Bank	6,420,010.06
2203	DA OMRR MAC #2203 Oneonta	Key Bank	135.30
2205	DA OMRR MAC #2205 Delhi	Key Bank	1,930,053.99
2206	DA OMRR MAC #2206 Buffalo Univ	Key Bank	2,261,584.11
2207	DA OMRR MAC #2207 Buffalo College	Key Bank	64.86
2208	DA OMRR MAC #2208 Alfred	Key Bank	261.48
2209	DA OMRR MAC #2209 Fredonia	Key Bank	95.62
2211	DA OMRR MAC #2211 Upstate Medical	Key Bank	6.93
2212	DA OMRR MAC #2212 Oswego	Key Bank	302.51
2214	DA OMRR MAC #2214 Cortland	Key Bank	268.19
2215	DA OMRR MAC #2215 Stony Brook	Key Bank	818.34
2216	DA OMRR MAC #2216 Old Westbury	Key Bank	953,153.26
2217	DA OMRR MAC #2217 Farmingdale	Key Bank	192,315.76
2218	DA OMRR MAC #2218 Downstate Med	Key Bank	123,366.00
2219	DA OMRR MAC #2219 Maritime	Key Bank	1,426,615.48
2221	DA OMRR MAC #2221 Brockport	Key Bank	88.07
2222	DA OMRR MAC #2222 Geneseo	Key Bank	2,221,776.20
2223	DA OMRR MAC #2223 Purchase	Key Bank	270.07
2224	DA OMRR MAC #2224 New Paltz	Key Bank	242.53
2225	DA OMRR MAC #2225 Canton	Key Bank	19.47
2226	DA OMRR MAC #2226 Plattsburgh	Key Bank	164.96
2227	DA OMRR MAC #2227 Potsdam	Key Bank	124.68
2228	DA OMRR MAC #2228 Morrisville	Key Bank	65.36
2229	DA OMRR MAC #2229 SUNYIT	Key Bank	56.94
2230	DA OMRR MAC #2230 Cobleskill	Key Bank	1,215,787.97
2239	DA OMRR MAC #2239 Albany	Key Bank	665.01
2240	DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	10,028,648.69
HOMELESS HOUSING ASSISTANCE CORPORATION			
0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	25,863,023.89

NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION			
0315	Nelson A. Rockefeller Empire State Plaza Performing Arts Center Corporation	Key Bank	12,804.81
NEW YORK CONVENTION CENTER			
0300	Operating Fund	JPMorgan Chase Bank, N.A.	6,153,726.94
NEW YORK JOB DEVELOPMENT AUTHORITY			
0036	Special Purpose Fund	Bank of America, N.A.	132,114.97
0371	Series H Commercial Paper	Bank of America, N.A.	79,813.40
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	75,488.27
0421	Escrow Account for Package Fulfillment Center Inc.	Key Bank	30,855.04
0423	Escrow Account for USA Industries Inc.	Key Bank	37,258.03
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,773.55
NYS AFFORDABLE HOUSING CORPORATION			
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	647,209.94
0520	Development Account	Key Bank	1,741,965.59
0521	Development Account II	Key Bank	599,151.80
0522	Repayment Account	Key Bank	3,712,429.33
0523	Recapture Account	Key Bank	140,801.07
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF TAXATION AND FINANCE			
0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	106,384.48
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	1,907,124.26
0827	Stock Transfer Incentive Fund	Key Bank	951,204.23
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	7,257.52
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY			
0028	Green Jobs - Green New York Fund	Bank of America, N.A.	25,248.00
0030	NYS Energy Research and Development Authority	Bank of America, N.A.	30,557,617.36
NYS HOUSING FINANCE AGENCY			
0032	Operating Fund	Key Bank	0.00
0251	Housing Project Repair Fund	Key Bank	31,776.16
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	29,442.50
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	940,525.65
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	114,167.00
0266	Community Related Programs Operating Account	Key Bank	599,187.54
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	280.85
0268	Neighborhood Stabilization Program 3	JPMorgan Chase Bank, N.A.	32.77
0270	Claims Repayment Account	Key Bank	94,270.60
0271	Pre-Bond Revenue Account	Key Bank	795,246.18
0272	TCAP Account	Key Bank	213.92
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	8,693,658.33
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	2.40
0283	Small Owner's Assistance Program Account	Key Bank	20,361.59
0285	Public Purpose Account	Key Bank	4,985,613.74
0286	Disbursement Account	Key Bank	1,306,282.92
0287	Infrastructure Development Fund	Key Bank	18,791.81
0288	Mobile Home Cooperative Fund	Key Bank	18,427.48
0290	Low Rent Lease Account	Key Bank	128,304.62
0292	Homeless Housing Initiatives	Key Bank	142,020.25
0295	Castleton Park Escrow	Key Bank	0.00
0296	NYC Contribution PHHF	Key Bank	44,050.76
0879	Payroll Account	JPMorgan Chase Bank, N.A.	125,000.00
NYS HOUSING TRUST FUND CORPORATION			
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	12,554.60
0460	Escrow Account	M&T Bank	12,714,810.36
0461	Housing Modernization Account	M&T Bank	941,309.19
0462	General Custodial Account	M&T Bank	22,191,820.03
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	3,207,763.33
0466	Homes for Working Families Account	M&T Bank	4,667,633.49
0467	Section 8 Administrative Account	M&T Bank	14,190,414.65
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	12,056,520.78
0470	HCV Main Account	Bank of America, N.A.	7,947,424.83
0471	Empire State Relief Fund	M&T Bank	1,623,477.43
0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	3,979,940.45

0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	2,654,330.44
0476	Voucher Fee Account	Bank of America, N.A.	20,105.88
0477	Five-Year Mainstream Account	Bank of America, N.A.	686,042.97
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	384,812.74
0891	Small Cities Community Development Block Grant Program	M&T Bank	179,672.66
0892	Disaster Recovery Initiative Account	M&T Bank	7,299,791.27
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	2,576.09
0895	HTFC Storm Recovery Payment	M&T Bank	2,682,855.46
NYS INSURANCE DEPARTMENT			
0001	Property/Casualty Insurance Security Fund	Key Bank	141,018.21
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	211,954.63
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	549,622.62
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	124,674.01
NYS TEACHERS RETIREMENT SYSTEM			
0052	Main Account	JPMorgan Chase Bank, N.A.	(10,369,575.99)
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	2,466,780.91
0854	NYSTRS Electronic Value Transfer System	JPMorgan Chase Bank, N.A.	0.00
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	53,854,301.72
0053	State Insurance Fund	JPMorgan Chase Bank, N.A.	0.00
0054	State Insurance Fund	Bank of America, N.A.	1,612,555.81
0054	Disability Benefits Fund	JPMorgan Chase Bank, N.A.	0.00
0055	Aggregate Trust Fund	JPMorgan Chase Bank, N.A.	0.00
0055	State Insurance Fund	Bank of America, N.A.	1,499,692.54
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	46,565.19
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	221,736.33
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	29,413.39
0870	Deductions Account	Key Bank	304,321.74
0871	Bio-Tech Incubator at Farmingdale	Key Bank	24,117.86
WORKERS COMPENSATION BOARD			
0006	Fund for Reopened Cases	Bank of America, N.A.	(2,098,081.76)
0007	Special Disability Fund	Bank of America, N.A.	(8,789,191.75)
0008	Special Fund for Disability Benefits	Key Bank	51,379.53
0008	Special Fund for Disability Benefits	Bank of America, N.A.	277,533.02
0011	Uninsured Employers Fund	Bank of America, N.A.	937,798.41
0012	WCB Asset Transfer Account - Mac 12	Bank of America, N.A.	10,982.33
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	88,453,021.72

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
AIDA M. BREWER - *Treasurer*

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

Comptroller's Monthly Report
On State Funds Cash Basis of Accounting

(Pursuant to Section 8(9-a) of the State Finance Law)

July 2015

THOMAS P. DiNAPOLI
STATE COMPTROLLER

STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DiNAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

July 31, 2015

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR			
		MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2014	4 MOS. ENDED JULY 31, 2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax	(6)	$ 2,041.4	$ 11,990.1	$ -	$ 434.3	$ 680.5	$ 4,141.5	$ -	$ -	$ 2,721.9	$ 16,565.9	$ 2,565.5	$ 14,265.5	$ 2,300.4	16.1%
Consumption/Use Taxes		560.1	2,273.5	184.3	730.1	496.8	2,081.8	46.6	202.1	1,287.8	5,287.5	1,229.6	5,093.7	193.8	3.8%
Business Taxes		132.9	1,409.2	71.0	416.7	-	-	53.7	208.3	257.6	2,034.2	231.9	2,567.8	(533.6)	-20.8%
Other Taxes		209.2	628.6	95.7	411.4	81.7	346.9	11.9	23.8	398.5	1,410.7	277.8	1,094.5	316.2	28.9%
Miscellaneous Receipts	(5)	99.6	2,910.2	1,428.5	4,888.4	45.7	170.2	139.6	1,310.7	1,713.4	9,279.5	4,316.9	10,839.2	(1,559.7)	-14.4%
Federal Receipts	(5)	-	0.1	3,672.7	14,312.1	1.6	1.6	203.9	570.4	3,878.2	14,884.2	3,450.5	14,427.1	457.1	3.2%
Total Receipts		3,043.2	19,211.7	5,452.2	21,193.0	1,306.3	6,742.0	455.7	2,315.3	10,257.4	49,462.0	12,072.2	48,287.8	1,174.2	2.4%
DISBURSEMENTS:															
Local Assistance Grants:	(3)														
Education		376.0	6,908.0	227.5	1,968.0	-	-	0.3	0.6	603.8	8,876.6	1,129.6	8,773.7	102.9	1.2%
Environment and Recreation		2.2	2.7	0.5	2.3	-	-	10.3	22.8	13.0	27.8	11.2	25.6	2.2	8.6%
General Government		3.0	581.8	22.4	68.4	-	-	15.0	34.2	40.4	684.4	32.7	656.3	28.1	4.3%
Public Health:															
Medicaid	(5)	908.4	4,495.7	3,317.0	11,518.4	-	-	-	-	4,225.4	16,014.1	3,838.2	15,109.6	904.5	6.0%
Other Public Health		76.4	342.9	544.6	1,610.4	-	-	8.3	22.0	629.3	1,975.3	479.6	1,511.3	464.0	30.7%
Public Safety		20.0	68.0	108.4	448.9	-	-	-	-	128.4	516.9	88.3	395.9	121.0	30.6%
Public Welfare		401.7	920.5	273.5	1,287.2	-	-	33.5	43.7	708.7	2,251.4	620.9	2,307.0	(55.6)	-2.4%
Support and Regulate Business		4.9	17.0	1.0	9.5	-	-	94.6	235.6	100.5	262.1	244.5	283.4	(21.3)	-7.5%
Transportation		-	24.3	316.7	1,374.4	-	-	30.7	164.9	347.4	1,563.6	388.3	1,652.0	(88.4)	-5.4%
Total Local Assistance Grants		1,792.6	13,360.9	4,811.6	18,287.5	-	-	192.7	523.8	6,796.9	32,172.2	6,833.3	30,714.8	1,457.4	4.7%
Departmental Operations:															
Personal Service		638.1	2,092.5	741.6	2,572.4	-	-	-	-	1,379.7	4,664.9	1,337.6	4,574.1	90.8	2.0%
Non-Personal Service		173.3	507.6	300.9	1,375.2	9.7	12.8	-	-	483.9	1,895.6	489.4	1,924.4	(28.8)	-1.5%
General State Charges		1,816.2	3,296.1	45.6	532.5	-	-	-	-	1,861.8	3,828.6	742.5	2,792.2	1,036.4	37.1%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	84.3	668.8	-	-	84.3	668.8	77.7	758.5	(89.7)	-11.8%
Capital Projects	(1)	-	-	0.1	0.3	-	-	568.4	1,734.7	568.5	1,735.0	475.2	1,634.9	100.1	6.1%
Total Disbursements		4,420.2	19,257.1	5,899.8	22,767.9	94.0	681.6	761.1	2,258.5	11,175.1	44,965.1	9,955.7	42,398.9	2,566.2	6.1%
Excess (Deficiency) of Receipts over Disbursements		(1,377.0)	(45.4)	(447.6)	(1,574.9)	1,212.3	6,060.4	(305.4)	56.8	(917.7)	4,496.9	2,116.5	5,888.9	(1,392.0)	-23.6%
OTHER FINANCING SOURCES (USES):															
Bond Proceeds (net)		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	(2),(5)	1,101.5	6,617.3	884.6	3,966.1	429.2	1,181.3	207.8	271.8	2,623.1	12,036.5	1,412.6	9,143.3	2,893.2	31.6%
Transfers to Other Funds	(2),(5)	(1,163.1)	(4,246.2)	(265.4)	(870.9)	(1,169.8)	(6,672.9)	(90.1)	(318.4)	(2,688.4)	(12,108.4)	(1,420.0)	(9,218.4)	2,890.0	31.4%
Total Other Financing Sources (Uses)		(61.6)	2,371.1	619.2	3,095.2	(740.6)	(5,491.6)	117.7	(46.6)	(65.3)	(71.9)	(7.4)	(75.1)	3.2	4.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(1,438.6)	2,325.7	171.6	1,520.3	471.7	568.8	(187.7)	10.2	(983.0)	4,425.0	2,109.1	5,813.8	(1,388.8)	-23.9%
Beginning Fund Balances (Deficits)	(4)	11,063.8	7,299.5	4,010.5	2,661.8	215.8	118.7	(526.5)	(724.4)	14,763.6	9,355.6	7,739.2	4,034.5	5,321.1	131.9%
Ending Fund Balances (Deficits)		$ 9,625.2	$ 9,625.2	$ 4,182.1	$ 4,182.1	$ 687.5	$ 687.5	$ (714.2)	$ (714.2)	$ 13,780.6	$ 13,780.6	$ 9,848.3	$ 9,848.3	$ 3,932.3	39.9%

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT A
SUPPLEMENTAL

		GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
		MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2014	4 MOS. ENDED JULY 31, 2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:													
Personal Income Tax	(6)	$ 2,041.4	$ 11,990.1	$ -	$ 434.3	$ 680.5	$ 4,141.5	$ 2,721.9	$ 16,565.9	$ 2,565.5	$ 14,265.5	$ 2,300.4	16.1%
Consumption/Use Taxes		560.1	2,273.5	184.3	730.1	496.8	2,081.8	1,241.2	5,085.4	1,183.1	4,895.9	189.5	3.9%
Business Taxes		132.9	1,409.2	71.0	416.7	-	-	203.9	1,825.9	179.2	2,346.2	(520.3)	-22.2%
Other Taxes		209.2	628.6	95.7	411.4	81.7	346.9	386.6	1,386.9	265.9	1,070.7	316.2	29.5%
Miscellaneous Receipts	(5)	99.6	2,910.2	1,416.8	4,813.0	45.7	170.2	1,562.1	7,893.4	3,667.1	9,555.0	(1,661.6)	-17.4%
Federal Receipts	(5)	-	0.1	-	-	1.6	1.6	1.6	1.7	1.6	2.1	(0.4)	-19.0%
Total Receipts		**3,043.2**	**19,211.7**	**1,767.8**	**6,805.5**	**1,306.3**	**6,742.0**	**6,117.3**	**32,759.2**	**7,862.4**	**32,135.4**	**623.8**	**1.9%**
DISBURSEMENTS:													
Local Assistance Grants:	(3)												
Education		376.0	6,908.0	0.6	742.0	-	-	376.6	7,650.0	890.8	7,290.7	359.3	4.9%
Environment and Recreation		2.2	2.7	0.3	0.8	-	-	2.5	3.5	2.2	3.2	0.3	9.4%
General Government		3.0	581.8	19.8	53.1	-	-	22.8	634.9	29.6	635.8	(0.9)	-0.1%
Public Health:													
Medicaid	(5)	908.4	4,495.7	564.6	1,715.1	-	-	1,473.0	6,210.8	1,663.4	6,027.6	183.2	3.0%
Other Public Health		76.4	342.9	290.2	720.1	-	-	366.6	1,063.0	340.7	988.9	74.1	7.5%
Public Safety		20.0	68.0	7.1	41.0	-	-	27.1	109.0	25.7	88.8	20.2	22.7%
Public Welfare		401.7	920.5	0.4	2.1	-	-	402.1	922.6	254.5	822.6	100.0	12.2%
Support and Regulate Business		4.9	17.0	0.7	7.7	-	-	5.6	24.7	208.2	235.3	(210.6)	-89.5%
Transportation		-	24.3	313.1	1,360.7	-	-	313.1	1,385.0	352.3	1,411.4	(26.4)	-1.9%
Total Local Assistance Grants		**1,792.6**	**13,360.9**	**1,196.8**	**4,642.6**	**-**	**-**	**2,989.4**	**18,003.5**	**3,767.4**	**17,504.3**	**499.2**	**2.9%**
Departmental Operations:													
Personal Service		638.1	2,092.5	678.0	2,365.4	-	-	1,316.1	4,457.9	1,271.5	4,364.9	93.0	2.1%
Non-Personal Service		173.3	507.6	228.7	1,057.7	9.7	12.8	411.7	1,578.1	393.3	1,620.8	(42.7)	-2.6%
General State Charges		1,816.2	3,296.1	39.9	461.7	-	-	1,856.1	3,757.8	739.3	2,722.4	1,035.4	38.0%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	84.3	668.8	84.3	668.8	77.7	758.5	(89.7)	-11.8%
Capital Projects	(1)	-	-	0.1	0.3	-	-	0.1	0.3	0.2	0.6	(0.3)	-50.0%
Total Disbursements		**4,420.2**	**19,257.1**	**2,143.5**	**8,527.7**	**94.0**	**681.6**	**6,657.7**	**28,466.4**	**6,249.4**	**26,971.5**	**1,494.9**	**5.5%**
Excess (Deficiency) of Receipts over Disbursements		**(1,377.0)**	**(45.4)**	**(375.7)**	**(1,722.2)**	**1,212.3**	**6,060.4**	**(540.4)**	**4,292.8**	**1,613.0**	**5,163.9**	**(871.1)**	**-16.9%**
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2),(5)	1,101.5	6,617.3	894.4	4,011.7	429.2	1,181.3	2,425.1	11,810.3	1,729.7	9,314.8	2,495.5	26.8%
Transfers to Other Funds	(2),(5)	(1,163.1)	(4,246.2)	(88.3)	(459.9)	(1,169.8)	(6,672.9)	(2,421.2)	(11,379.0)	(1,267.3)	(8,452.7)	2,926.3	34.6%
Total Other Financing Sources (Uses)		**(61.6)**	**2,371.1**	**806.1**	**3,551.8**	**(740.6)**	**(5,491.6)**	**3.9**	**431.3**	**462.4**	**862.1**	**(430.8)**	**-50.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**(1,438.6)**	**2,325.7**	**430.4**	**1,829.6**	**471.7**	**568.8**	**(536.5)**	**4,724.1**	**2,075.4**	**6,026.0**	**(1,301.9)**	**-21.6%**
Beginning Fund Balances (Deficits)	(4)	**11,063.8**	**7,299.5**	**3,871.8**	**2,472.6**	**215.8**	**118.7**	**15,151.4**	**9,890.8**	**8,739.7**	**4,789.1**	**5,101.7**	**106.5%**
Ending Fund Balances (Deficits)		**$ 9,625.2**	**$ 9,625.2**	**$ 4,302.2**	**$ 4,302.2**	**$ 687.5**	**$ 687.5**	**$ 14,614.9**	**$ 14,614.9**	**$ 10,815.1**	**$ 10,815.1**	**$ 3,799.8**	**35.1%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

EXHIBIT A NOTES
July 2015

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	$72.6	million
Urban Development Corporation (Youth Facilities)	8.9	
Housing Finance Agency (HFA)	173.6	
Housing Assistance Fund	14.0	
Dormitory Authority (Mental Hygiene)	411.3	
Dormitory Authority and State University Income Fund	94.6	
Federal Capital Projects	393.6	
State bond and note proceeds	13.9	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	$46.7	million
General Debt Service Fund	438.3	
Alcohol Beverage Control	5.0	
Banking Services Account	7.5	
Court Facilities Incentive Aid Fund	66.7	
Dedicated Highway & Bridge Trust Fund	16.5	
Dedicated Infrastructure Investment Fund	151.9	
Dedicated Mass Transportation (Non-MTA)	1.3	
Environmental Protection Fund	5.0	
Hazardous Waste Remediation Oversight and Assistance Account	5.0	
Housing Debt Service Fund	0.5	
Medical Marihuana Health Operation and Oversight Account	6.7	
Mental Hygiene Program Fund	415.0	
Mental Hygiene Patient Income Account	815.0	
MTA Operating Assistance Fund	20.2	
MTA Financial Assistance Fund	128.0	
NYC County Courts Operating Fund	4.6	
Railroad Account	2.2	
Spinal Cord Injury Account	4.3	
SUNY - Hospital IFR	9.8	
SUNY - Income Fund	822.1	
Transit Authority Account	12.2	
SUNY Income Fund	10.0	
System and Technology Account	1.0	
Vital Records Management Fund	2.3	
Youth Facilities Per Diem Account	12.7	

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds ($4.8m), the State University Income Fund ($29.2m), the Mental Hygiene Program Account ($1,227.7m) and Miscellaneous State Special Revenue Account ($0.1m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of July 31, 2015 - pursuant to a certification of the Budget Director - the reserve amount is ($157.7m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service funds of ($409.2m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities.

Also included in Special Revenue funds are transfers to the General Fund from the following:

Chemical Dependence Service Fund	$292.9	million
Federal Operating Grants Fund	1.7	
Professional Education Services Account	2.8	
State Miscellaneous Special Revenue Fund	4.5	

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	$3,943.5	million
Local Government Assistance Tax Fund	1,038.1	
Sales Tax Revenue Bond Tax Fund	975.2	
Clean Water/Clean Air Fund	322.8	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health ($46.4m) and Mental Hygiene ($346.9m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund ($8.4m), and the General Debt Service Fund ($307.3m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	Allocation of Month-End Balances	
	General Fund	Special Revenue - Federal
Medicaid Recoveries - Health Facilities	$ -	$ 501,518
Medicaid Recoveries -Audit	-	782,195
Medicaid Recoveries - Third Parties	-	15,710,459
Pharmacy Rebates	20,623	1,067,843
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	$ 20,623	$18,062,015

4. The State Special Revenue April 1, 2015 balance has been adjusted by $0.5m to reverse out a prior period adjustment.

5. Pursuant to a settlement agreement between New York State Department of Health and the Centers for Medicare and Medicaid Services (CMS), Medicaid spending and revenue in Special Revenue - Federal Funds has been reduced by $850 million and spending has been increased in the General Fund by $850 million to reflect the initial payment pursuant the agreement. The agreement resolves a disallowance for prior year claims that the State paid for services related to developmental centers and other intermediate care facilities for individuals with intellectual disabilities operated by the New York State Office for People with Developmental Disabilities (OPWDD). The spending is reclassed to Transfer To and From Other Funds in the respective funds. The impact to the financial statements is an increase in the General Fund Transfer To Other Funds and a decrease in Special Revenue - Federal Funds by the $850 million to reflect the additional Medicaid costs.

6. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments were ($432.6m) as of July 31, 2015.

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2014	4 MOS. ENDED JULY 31, 2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 7.2	$ 20.9	$ 43.9	$ 150.2	$ 51.1	$ 171.1	$ 44.1	$ 160.7	$ 10.4	6.5%
Federal Receipts	2.1	8.8	-	-	2.1	8.8	3.0	17.4	(8.6)	-49.4%
Unemployment Taxes	197.4	750.2	-	-	197.4	750.2	217.1	820.1	(69.9)	-8.5%
Total Receipts	**206.7**	**779.9**	**43.9**	**150.2**	**250.6**	**930.1**	**264.2**	**998.2**	**(68.1)**	**-6.8%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	0.5	1.7	9.1	30.5	9.6	32.2	10.1	34.2	(2.0)	-5.8%
Non-Personal Service	4.1	14.4	33.8	144.9	37.9	159.3	34.4	194.0	(34.7)	-17.9%
General State Charges	-	0.1	0.1	9.8	0.1	9.9	1.3	15.7	(5.8)	-36.9%
Unemployment Benefits	180.7	732.6	-	-	180.7	732.6	202.9	807.8	(75.2)	-9.3%
Total Disbursements	**185.3**	**748.8**	**43.0**	**185.2**	**228.3**	**934.0**	**248.7**	**1,051.7**	**(117.7)**	**-11.2%**
Excess (Deficiency) of Receipts Over Disbursements	**21.4**	**31.1**	**0.9**	**(35.0)**	**22.3**	**(3.9)**	**15.5**	**(53.5)**	**49.6**	**92.7%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	0.7	7.5	0.7	7.5	0.6	7.2	0.3	4.2%
Transfers to Other Funds	-	-	-	(0.1)	-	(0.1)	-	(1.1)	(1.0)	-90.9%
Total Other Financing Sources (Uses)	**-**	**-**	**0.7**	**7.4**	**0.7**	**7.4**	**0.6**	**6.1**	**1.3**	**21.3%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**21.4**	**31.1**	**1.6**	**(27.6)**	**23.0**	**3.5**	**16.1**	**(47.4)**	**50.9**	**107.4%**
Beginning Fund Balances (Deficits)	**60.3**	**50.6**	**(225.9)**	**(196.7)**	**(165.6)**	**(146.1)**	**(73.7)**	**(10.2)**	**(135.9)**	**-1,332.4%**
Ending Fund Balances (Deficits)	**$ 81.7**	**$ 81.7**	**$ (224.3)**	**$ (224.3)**	**$ (142.6)**	**$ (142.6)**	**$ (57.6)**	**$ (57.6)**	**$ (85.0)**	**-147.6%**

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2014	4 MOS. ENDED JULY 31, 2014	$ Increase/ (Decrease)	% Increase Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 7.2	$ 38.8	$ 0.1	$ (0.1)	$ 7.3	$ 38.7	$ 6.9	$ 31.4	$ 7.3	23.2%
Total Receipts	**7.2**	**38.8**	**0.1**	**(0.1)**	**7.3**	**38.7**	**6.9**	**31.4**	**7.3**	**23.2%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	7.3	21.8	0.1	0.2	7.4	22.0	6.9	20.8	1.2	5.8%
Non-Personal Service	0.8	3.1	-	-	0.8	3.1	1.0	3.1	-	0.0%
General State Charges	-	6.3	-	-	-	6.3	-	5.0	1.3	26.0%
Total Disbursements	**8.1**	**31.2**	**0.1**	**0.2**	**8.2**	**31.4**	**7.9**	**28.9**	**2.5**	**8.7%**
Excess (Deficiency) of Receipts Over Disbursements	**(0.9)**	**7.6**	**-**	**(0.3)**	**(0.9)**	**7.3**	**(1.0)**	**2.5**	**4.8**	**192.0%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**0.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(0.9)**	**7.6**	**-**	**(0.3)**	**(0.9)**	**7.3**	**(1.0)**	**2.5**	**4.8**	**192.0%**
Beginning Fund Balances (Deficits)	**(8.4)**	**(16.9)**	**11.2**	**11.5**	**2.8**	**(5.4)**	**10.5**	**7.0**	**(12.4)**	**-177.1%**
Ending Fund Balances (Deficits)	**$ (9.3)**	**$ (9.3)**	**$ 11.2**	**$ 11.2**	**$ 1.9**	**$ 1.9**	**$ 9.5**	**$ 9.5**	**$ (7.6)**	**-80.0%**

STATE OF NEW YORK **EXHIBIT D**
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR FOUR MONTHS ENDED JULY 31, 2015
(Amounts in millions)

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 15,951.0	$ 16,581.0	$ 16,565.9	$ 614.9	$ (15.1)
Consumption/Use	5,226.0	5,285.0	5,287.5	61.5	2.5
Business	1,941.0	2,033.0	2,034.2	93.2	1.2
Other	1,174.0	1,417.0	1,410.7	236.7	(6.3)
Miscellaneous Receipts	8,737.0	9,294.0	9,279.5	542.5	(14.5)
Federal Receipts	14,824.0	14,880.0	14,884.2	60.2	4.2
Total Receipts	**47,853.0**	**49,490.0**	**49,462.0**	**1,609.0**	**(28.0)**
DISBURSEMENTS:					
Local Assistance Grants	32,288.0	32,221.0	32,172.2	(115.8)	(48.8)
Departmental Operations	6,551.0	6,579.0	6,560.5	9.5	(18.5)
General State Charges	3,851.0	3,835.0	3,828.6	(22.4)	(6.4)
Debt Service	667.0	673.0	668.8	1.8	(4.2)
Capital Projects	1,791.0	1,736.0	1,735.0	(56.0)	(1.0)
Total Disbursements	**45,148.0**	**45,044.0**	**44,965.1**	**(182.9)**	**(78.9)**
Excess (Deficiency) of Receipts over Disbursements	**2,705.0**	**4,446.0**	**4,496.9**	**1,791.9**	**50.9**
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	12,186.0	11,970.0	12,036.5	(149.5)	66.5
Transfers to Other Funds	(12,202.0)	(11,998.0)	(12,108.4)	(93.6)	110.4
Total Other Financing Sources (Uses)	**(16.0)**	**(28.0)**	**(71.9)**	**(55.9)**	**(43.9)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**2,689.0**	**4,418.0**	**4,425.0**	**1,736.0**	**7.0**
Fund Balances (Deficits) at April 1	**9,355.0**	**9,355.0**	**9,355.6**	**0.6**	**0.6**
Fund Balances (Deficits) at July 31, 2015	**$ 12,044.0**	**$ 13,773.0**	**$ 13,780.6**	**$ 1,736.6**	**$ 7.6**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) Source: 2015-16 First Quarterly Update dated August 4, 2015.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR FOUR MONTHS ENDED JULY 31, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 15,951.0	$ 16,581.0	$ 16,565.9	$ 614.9	$ (15.1)
Consumption/Use	5,021.0	5,081.0	5,085.4	64.4	4.4
Business	1,728.0	1,824.0	1,825.9	97.9	1.9
Other	1,150.0	1,393.0	1,386.9	236.9	(6.1)
Miscellaneous Receipts	7,351.0	7,905.0	7,893.4	542.4	(11.6)
Federal Receipts	-	2.0	1.7	1.7	(0.3)
Total Receipts	**31,201.0**	**32,786.0**	**32,759.2**	**1,558.2**	**(26.8)**
DISBURSEMENTS:					
Local Assistance Grants	18,623.0	18,009.0	18,003.5	(619.5)	(5.5)
Departmental Operations	6,052.0	6,047.0	6,036.0	(16.0)	(11.0)
General State Charges	3,742.0	3,765.0	3,757.8	15.8	(7.2)
Debt Service	667.0	673.0	668.8	1.8	(4.2)
Capital Projects	-	-	0.3	0.3	0.3
Total Disbursements	**29,084.0**	**28,494.0**	**28,466.4**	**(617.6)**	**(27.6)**
Excess (Deficiency) of Receipts over Disbursements	**2,117.0**	**4,292.0**	**4,292.8**	**2,175.8**	**0.8**
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	11,810.0	11,676.0	11,810.3 (****)	0.3	134.3
Transfers to Other Funds	(11,424.0)	(11,364.0)	(11,379.0) (****)	(45.0)	15.0
Total Other Financing Sources (Uses)	**386.0**	**312.0**	**431.3**	**45.3**	**119.3**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**2,503.0**	**4,604.0**	**4,724.1**	**2,221.1**	**120.1**
Fund Balances (Deficits) at April 1	**9,890.0**	**9,890.0**	**9,890.8**	**0.8**	**0.8**
Fund Balances (Deficits) at July 31, 2015	**$ 12,393.0**	**$ 14,494.0**	**$ 14,614.9**	**$ 2,221.9**	**$ 120.9**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) Source: 2015-16 First Quarterly Update dated August 4, 2015.
(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR FOUR MONTHS ENDED JULY 31, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 11,528.0	$ 12,002.0	$ 11,990.1	$ 462.1	$ (11.9)
Consumption/Use	2,252.0	2,272.0	2,273.5	21.5	1.5
Business	1,318.0	1,407.0	1,409.2	91.2	2.2
Other	421.0	634.0	628.6	207.6	(5.4)
Miscellaneous Receipts	2,427.0	2,912.0	2,910.2	483.2	(1.8)
Federal Receipts	-	-	0.1	0.1	0.1
Transfers From:					
PIT in excess of Revenue Bond Debt Service	3,790.0	3,947.0	3,943.5	153.5	(3.5)
Sales Tax in excess of LGAC / STRBF Debt Service	1,979.0	2,011.0	2,013.3	34.3	2.3
Real Estate Taxes in excess of CW/CA Debt Service	291.0	322.0	322.8	31.8	0.8
All Other	319.0	338.0	337.7	18.7	(0.3)
Total Receipts and Other Financing Sources	**24,325.0**	**25,845.0**	**25,829.0**	**1,504.0**	**(16.0)**
DISBURSEMENTS:					
Local Assistance Grants	13,782.0	13,361.0	13,360.9	(421.1)	(0.1)
Departmental Operations	2,583.0	2,601.0	2,600.1	17.1	(0.9)
General State Charges	3,245.0	3,301.0	3,296.1	51.1	(4.9)
Transfers To:					
Debt Service	438.0	438.0	438.3	0.3	0.3
Capital Projects	324.0	250.0	225.1	(98.9)	(24.9)
State Share Medicaid	1,318.0	1,227.0	1,261.8 (***)	(56.2)	34.8
SUNY Operations	821.0	823.0	822.1	1.1	(0.9)
Other Purposes	1,652.0	1,544.0	1,498.9	(153.1)	(45.1)
Total Disbursements and Other Financing Uses	**24,163.0**	**23,545.0**	**23,503.3**	**(659.7)**	**(41.7)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**162.0**	**2,300.0**	**2,325.7**	**2,163.7**	**25.7**
Fund Balances (Deficits) at April 1	**7,300.0**	**7,300.0**	**7,299.5**	**(0.5)**	**(0.5)**
Fund Balances (Deficits) at July 31, 2015	**$ 7,462.0**	**$ 9,600.0**	**$ 9,625.2**	**$ 2,163.2**	**$ 25.2**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) Source: 2015-16 First Quarterly Update dated August 4, 2015.
(***) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK **EXHIBIT D**
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL **(continued)**
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR FOUR MONTHS ENDED JULY 31, 2015
(Amounts in millions)

	SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 434.0	$ 434.0	$ 434.3	$ 0.3	$ 0.3
Consumption/Use	720.0	729.0	730.1	10.1	1.1
Business	410.0	417.0	416.7	6.7	(0.3)
Other	413.0	413.0	411.4	(1.6)	(1.6)
Miscellaneous Receipts	4,806.0	4,891.0	4,888.4	82.4	(2.6)
Federal Receipts	14,343.0	14,308.0	14,312.1	(30.9)	4.1
Transfers from Other Funds(***)	4,204.0	3,972.0	3,966.1	(237.9)	(5.9)
Total Receipts and Other Financing Sources	**25,330.0**	**25,164.0**	**25,159.1**	**(170.9)**	**(4.9)**
DISBURSEMENTS:					
Local Assistance Grants	18,006.0	18,340.0	18,287.5	281.5	(52.5)
Departmental Operations	3,965.0	3,966.0	3,947.6	(17.4)	(18.4)
General State Charges	606.0	534.0	532.5	(73.5)	(1.5)
Capital Projects	-	-	0.3	0.3	0.3
Transfers to Other Funds(***)	849.0	725.0	870.9	21.9	145.9
	23,426.0	**23,565.0**	**23,638.8**	**212.8**	**73.8**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,904.0**	**1,599.0**	**1,520.3**	**(383.7)**	**(78.7)**
Fund Balances (Deficits) at April 1	**2,661.0**	**2,661.0**	**2,661.8**	**0.8**	**0.8**
Fund Balances (Deficits) at July 31, 2015	**$ 4,565.0**	**$ 4,260.0**	**$ 4,182.1**	**$ (382.9)**	**$ (77.9)**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) Source: 2015-16 First Quarterly Update dated August 4, 2015.
(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR FOUR MONTHS ENDED JULY 31, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	$ 434.0	$ 434.0	$ 434.3	$ 0.3	$ 0.3	$ -	$ -	$ -	$ -	$ -
Consumption/Use	720.0	729.0	730.1	10.1	1.1	-	-	-	-	-
Business	410.0	417.0	416.7	6.7	(0.3)	-	-	-	-	-
Other	413.0	413.0	411.4	(1.6)	(1.6)	-	-	-	-	-
Miscellaneous Receipts	4,777.0	4,825.0	4,813.0	36.0	(12.0)	29.0	66.0	75.4	46.4	9.4
Federal Receipts	-	-	-	-	-	14,343.0	14,308.0	14,312.1	(30.9)	4.1
Transfers from Other Funds(***)	4,204.0	3,972.0	3,966.1	(237.9)	(5.9)	-	-	-	-	-
Total Receipts and Other Financing Sources	**10,958.0**	**10,790.0**	**10,771.6**	**(186.4)**	**(18.4)**	**14,372.0**	**14,374.0**	**14,387.5**	**15.5**	**13.5**
DISBURSEMENTS:										
Local Assistance Grants	4,841.0	4,648.0	4,642.6	(198.4)	(5.4)	13,165.0	13,692.0	13,644.9	479.9	(47.1)
Departmental Operations	3,466.0	3,434.0	3,423.1	(42.9)	(10.9)	499.0	532.0	524.5	25.5	(7.5)
General State Charges	497.0	464.0	461.7	(35.3)	(2.3)	109.0	70.0	70.8	(38.2)	0.8
Capital Projects	-	-	0.3	0.3	0.3	-	-	-	-	-
Transfers to Other Funds(***)	381.0	409.0	459.9	78.9	50.9	468.0	316.0	411.0	(57.0)	95.0
Total Disbursements and Other Financing Uses	**9,185.0**	**8,955.0**	**8,987.6**	**(197.4)**	**32.6**	**14,241.0**	**14,610.0**	**14,651.2**	**410.2**	**41.2**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,773.0**	**1,835.0**	**1,784.0**	**11.0**	**(51.0)**	**131.0**	**(236.0)**	**(263.7)**	**(394.7)**	**(27.7)**
Fund Balances (Deficits) at April 1	**2,010.0**	**2,010.0**	**2,010.2**	**0.2**	**0.2**	**651.0**	**651.0**	**651.6**	**0.6**	**0.6**
Fund Balances (Deficits) at July 31, 2015	**$ 3,783.0**	**$ 3,845.0**	**$ 3,794.2**	**$ 11.2**	**$ (50.8)**	**$ 782.0**	**$ 415.0**	**$ 387.9**	**$ (394.1)**	**$ (27.1)**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) Source: 2015-16 First Quarterly Update dated August 4, 2015.
(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK **EXHIBIT D**

BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL **(continued)**

STATE FISCAL YEAR ENDED MARCH 31, 2016

FOR FOUR MONTHS ENDED JULY 31, 2015

(Amounts in millions)

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 3,989.0	$ 4,145.0	$ 4,141.5	$ 152.5	$ (3.5)
Consumption/Use	2,049.0	2,080.0	2,081.8	32.8	1.8
Other	316.0	346.0	346.9	30.9	0.9
Miscellaneous Receipts	147.0	168.0	170.2	23.2	2.2
Federal Receipts	-	2.0	1.6	1.6	(0.4)
Transfers from Other Funds	1,227.0	1,086.0	1,181.3	(45.7)	95.3
Total Receipts and Other Financing Sources	**7,728.0**	**7,827.0**	**7,923.3**	**195.3**	**96.3**
DISBURSEMENTS:					
Departmental Operations	3.0	12.0	12.8	9.8	0.8
Debt Service	667.0	673.0	668.8	1.8	(4.2)
Transfers to Other Funds	6,490.0	6,673.0	6,672.9	182.9	(0.1)
Total Disbursements and Other Financing Uses	**7,160.0**	**7,358.0**	**7,354.5**	**194.5**	**(3.5)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**568.0**	**469.0**	**568.8**	**0.8**	**99.8**
Fund Balances (Deficits) at April 1	**118.0**	**118.0**	**118.7**	**0.7**	**0.7**
Fund Balances (Deficits) at July 31, 2015	**$ 686.0**	**$ 587.0**	**$ 687.5**	**$ 1.5**	**$ 100.5**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.

(**) Source: 2015-16 First Quarterly Update dated August 4, 2015.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR FOUR MONTHS ENDED JULY 31, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Consumption/Use	$ 205.0	$ 204.0	$ 202.1	$ (2.9)	$ (1.9)
Business	213.0	209.0	208.3	(4.7)	(0.7)
Other	24.0	24.0	23.8	(0.2)	(0.2)
Miscellaneous Receipts	1,357.0	1,323.0	1,310.7	(46.3)	(12.3)
Federal Receipts	481.0	570.0	570.4	89.4	0.4
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds(***)	376.0	294.0	271.8	(104.2)	(22.2)
Total Receipts and Other Financing Sources	**2,656.0**	**2,624.0**	**2,587.1**	**(68.9)**	**(36.9)**
DISBURSEMENTS:					
Local Assistance Grants	500.0	520.0	523.8	23.8	3.8
Capital Projects	1,791.0	1,736.0	1,734.7	(56.3)	(1.3)
Transfers to Other Funds(***)	310.0	318.0	318.4	8.4	0.4
Total Disbursements and Other Financing Uses	**2,601.0**	**2,574.0**	**2,576.9**	**(24.1)**	**2.9**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**55.0**	**50.0**	**10.2**	**(44.8)**	**(39.8)**
Fund Balances (Deficits) at April 1	**(724.0)**	**(724.0)**	**(724.4)**	**(0.4)**	**(0.4)**
Fund Balances (Deficits) at July 31, 2015	**$ (669.0)**	**$ (674.0)**	**$ (714.2)**	**$ (45.2)**	**$ (40.2)**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) Source: 2015-16 First Quarterly Update dated August 4, 2015.
(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2016
FOR FOUR MONTHS ENDED JULY 31, 2015
(Amounts in millions)

EXHIBIT D
(continued)

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	$ 205.0	$ 204.0	$ 202.1	$ (2.9)	$ (1.9)	$ -	$ -	$ -	$ -	$ -
Business	213.0	209.0	208.3	(4.7)	(0.7)	-	-	-	-	-
Other	24.0	24.0	23.8	(0.2)	(0.2)	-	-	-	-	-
Miscellaneous Receipts	1,357.0	1,323.0	1,310.4	(46.6)	(12.6)	-	-	0.3	0.3	0.3
Federal Receipts	-	-	-	-	-	481.0	570.0	570.4	89.4	0.4
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds(***)	376.0	294.0	271.8	(104.2)	(22.2)	-	-	-	-	-
Total Receipts and Other Financing Sources	**2,175.0**	**2,054.0**	**2,016.4**	**(158.6)**	**(37.6)**	**481.0**	**570.0**	**570.7**	**89.7**	**0.7**
DISBURSEMENTS:										
Local Assistance Grants	355.0	401.0	405.8	50.8	4.8	145.0	119.0	118.0	(27.0)	(1.0)
Capital Projects	1,547.0	1,274.0	1,273.1	(273.9)	(0.9)	244.0	462.0	461.6	217.6	(0.4)
Transfers to Other Funds(***)	305.0	315.0	315.7	10.7	0.7	5.0	3.0	2.7	(2.3)	(0.3)
Total Disbursements and Other Financing Uses	**2,207.0**	**1,990.0**	**1,994.6**	**(212.4)**	**4.6**	**394.0**	**584.0**	**582.3**	**188.3**	**(1.7)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(32.0)**	**64.0**	**21.8**	**53.8**	**(42.2)**	**87.0**	**(14.0)**	**(11.6)**	**(98.6)**	**2.4**
Fund Balances (Deficits) at April 1	**(725.0)**	**(725.0)**	**(724.5)**	**0.5**	**0.5**	**1.0**	**1.0**	**0.1**	**(0.9)**	**(0.9)**
Fund Balances (Deficits) at July 31, 2015	**$ (757.0)**	**$ (661.0)**	**$ (702.7)**	**$ 54.3**	**$ (41.7)**	**$ 88.0**	**$ (13.0)**	**$ (11.5)**	**$ (99.5)**	**$ 1.5**

(*) Source: 2015-16 Enacted Budget dated May 13, 2015.
(**) Source: 2015-16 First Quarterly Update dated August 4, 2015.
(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(Amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2015	4 MOS. ENDED JULY 31, 2015	MONTH OF JULY 2014	4 MOS. ENDED JULY 31, 2014	$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	$ 2,676.2	$ 10,712.8	$ -	$ -	$ -	$ -	$ -	$ -	$ 2,676.2	$ 10,712.8	$ 2,563.1	$ 10,106.1	$ 606.7	6.0%
Estimated Payments	102.9	7,802.1	-	-	-	-	-	-	102.9	7,802.1	95.3	6,139.4	1,662.7	27.1%
Returns	40.1	1,852.9	-	-	-	-	-	-	40.1	1,852.9	38.6	1,568.1	284.8	18.2%
State/City Offsets	(12.4)	(204.4)	-	-	-	-	-	-	(12.4)	(204.4)	(10.4)	(174.6)	29.8	17.1%
Other (Assessments/LLC)	82.7	432.1	-	-	-	-	-	-	82.7	432.1	85.7	418.7	13.4	3.2%
Gross Receipts	**2,889.5**	**20,595.5**	**-**	**-**	**-**	**-**	**-**	**-**	**2,889.5**	**20,595.5**	**2,772.3**	**18,057.7**	**2,537.8**	**14.1%**
Transfers to School Tax Relief Fund	-	(434.3)	-	434.3	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(680.5)	(4,141.5)	-	-	680.5	4,141.5	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(167.6)	(4,029.6)	-	-	-	-	-	-	(167.6)	(4,029.6)	(206.8)	(3,792.2)	237.4	6.3%
Total	**2,041.4**	**11,990.1**	**-**	**434.3**	**680.5**	**4,141.5**	**-**	**-**	**2,721.9**	**16,565.9**	**2,565.5**	**14,265.5**	**2,300.4**	**16.1%**
CONSUMPTION/USE TAXES														
Sales and Use	497.2	2,078.4	71.3	313.9	496.8	2,081.8	-	-	1,065.3	4,474.1	1,002.7	4,252.2	221.9	5.2%
Auto Rental	-	-	0.1	12.4	-	-	0.1	20.9	0.2	33.3	1.6	31.8	1.5	4.7%
Cigarette/Tobacco Products	33.5	103.8	85.7	330.7	-	-	-	-	119.2	434.5	124.1	467.2	(32.7)	-7.0%
Motor Fuel	-	-	9.1	34.8	-	-	33.7	131.7	42.8	166.5	40.7	165.0	1.5	0.9%
Alcoholic Beverage	29.4	91.3	-	-	-	-	-	-	29.4	91.3	26.8	87.6	3.7	4.2%
Highway Use	-	-	-	-	-	-	12.8	49.5	12.8	49.5	13.3	47.9	1.6	3.3%
Metropolitan Commuter Trans. Taxicab Trip	-	-	18.1	38.3	-	-	-	-	18.1	38.3	20.4	42.0	(3.7)	-8.8%
Total	**560.1**	**2,273.5**	**184.3**	**730.1**	**496.8**	**2,081.8**	**46.6**	**202.1**	**1,287.8**	**5,287.5**	**1,229.6**	**5,093.7**	**193.8**	**3.8%**
BUSINESS TAXES														
Corporation Franchise	103.7	1,000.9	11.9	163.3	-	-	-	-	115.6	1,164.2	94.6	777.2	387.0	49.8%
Corporation and Utilities	0.9	108.1	0.5	30.9	-	-	0.1	2.7	1.5	141.7	1.7	149.5	(7.8)	-5.2%
Insurance	30.1	289.0	3.4	35.6	-	-	-	-	33.5	324.6	2.6	289.1	35.5	12.3%
Bank	(1.8)	11.2	12.3	22.7	-	-	-	-	10.5	33.9	38.5	957.3	(923.4)	-96.5%
Petroleum Business	-	-	42.9	164.2	-	-	53.6	205.6	96.5	369.8	94.5	394.7	(24.9)	-6.3%
Total	**132.9**	**1,409.2**	**71.0**	**416.7**	**-**	**-**	**53.7**	**208.3**	**257.6**	**2,034.2**	**231.9**	**2,567.8**	**(533.6)**	**-20.8%**
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	208.0	622.8	-	-	-	-	-	-	208.0	622.8	96.7	367.6	255.2	69.4%
Pari-Mutuel	1.2	5.5	-	-	-	-	-	-	1.2	5.5	1.4	6.0	(0.5)	-8.3%
Real Estate Transfer	-	-	-	-	81.7	346.9	11.9	23.8	93.6	370.7	81.3	317.5	53.2	16.8%
Racing and Exhibitions	-	0.3	-	-	-	-	-	-	-	0.3	0.2	0.3	-	0.0%
Metropolitan Commuter Trans. Mobility	-	-	95.7	411.4	-	-	-	-	95.7	411.4	98.2	403.1	8.3	2.1%
Total	**209.2**	**628.6**	**95.7**	**411.4**	**81.7**	**346.9**	**11.9**	**23.8**	**398.5**	**1,410.7**	**277.8**	**1,094.5**	**316.2**	**28.9%**
Total Tax Receipts	**$ 2,943.6**	**$ 16,301.4**	**$ 351.0**	**$ 1,992.5**	**$ 1,259.0**	**$ 6,570.2**	**$ 112.2**	**$ 434.2**	**$ 4,665.8**	**$ 25,298.3**	**$ 4,304.8**	**$ 23,021.5**	**$ 2,276.8**	**9.9%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 9,355.6	$ 12,663.6	$ 13,192.7	$ 14,763.6									$ 9,355.6	$ 4,034.5	$ 5,321.1	131.9%
RECEIPTS:																
Taxes:																
Personal Income Tax :																
Withholdings	2,961.1	2,449.2	2,626.3	2,676.2									10,712.8	10,106.1	606.7	6.0%
Estimated payments	5,313.5	124.7	2,261.0	102.9									7,802.1	6,139.4	1,662.7	27.1%
Returns	1,687.1	78.2	47.5	40.1									1,852.9	1,568.1	284.8	18.2%
State/City Offsets	(144.8)	(26.1)	(21.1)	(12.4)									(204.4)	(174.6)	29.8	17.1%
Other (Assessments/LLC)	143.7	95.5	110.2	82.7									432.1	418.7	13.4	3.2%
Gross Receipts	**9,960.6**	**2,721.5**	**5,023.9**	**2,889.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**20,595.5**	**18,057.7**	**2,537.8**	**14.1%**
Transfers to School Tax Relief Fund	-	-	-	-									-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-									-	-	-	0.0%
Refunds issued	(3,242.2)	(400.7)	(219.1)	(167.6)									(4,029.6)	(3,792.2)	237.4	6.3%
Total Personal Income Tax	**6,718.4**	**2,320.8**	**4,804.8**	**2,721.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**16,565.9**	**14,265.5**	**2,300.4**	**16.1%**
Consumption/Use Taxes:																
Sales and Use	1,046.1	996.4	1,366.3	1,065.3									4,474.1	4,252.2	221.9	5.2%
Auto Rental	4.5	0.3	28.3	0.2									33.3	31.8	1.5	4.7%
Cigarette/Tobacco Products	95.1	98.8	121.4	119.2									434.5	467.2	(32.7)	-7.0%
Motor Fuel	41.3	41.7	40.7	42.8									166.5	165.0	1.5	0.9%
Alcoholic Beverage	19.9	20.3	21.7	29.4									91.3	87.6	3.7	4.2%
Highway Use	13.4	10.3	13.0	12.8									49.5	47.9	1.6	3.3%
Metropolitan Commuter Trans. Taxicab Trip	19.2	0.6	0.4	18.1									38.3	42.0	(3.7)	-8.8%
Total Consumption/Use Taxes	**1,239.5**	**1,168.4**	**1,591.8**	**1,287.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**5,287.5**	**5,093.7**	**193.8**	**3.8%**
Business Taxes:																
Corporation Franchise	181.7	(28.7)	895.6	115.6									1,164.2	777.2	387.0	49.8%
Corporation and Utilities	5.0	4.0	131.2	1.5									141.7	149.5	(7.8)	-5.2%
Insurance	6.2	9.2	275.7	33.5									324.6	289.1	35.5	12.3%
Bank	30.4	(13.5)	6.5	10.5									33.9	957.3	(923.4)	-96.5%
Petroleum Business	91.4	89.7	92.2	96.5									369.8	394.7	(24.9)	-6.3%
Total Business Taxes	**314.7**	**60.7**	**1,401.2**	**257.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,034.2**	**2,567.8**	**(533.6)**	**-20.8%**
Other Taxes:																
Real Property Gains	-	-	-	-									-	-	-	0.0%
Estate and Gift	148.9	149.2	116.7	208.0									622.8	367.6	255.2	69.4%
Pari-Mutuel	0.9	1.5	1.9	1.2									5.5	6.0	(0.5)	-8.3%
Real Estate Transfer	86.3	97.0	93.8	93.6									370.7	317.5	53.2	16.8%
Racing and Exhibitions	-	0.1	0.2	-									0.3	0.3	-	0.0%
Metropolitan Commuter Trans. Mobility	132.6	87.3	95.8	95.7									411.4	403.1	8.3	2.1%
Total Other Taxes	**368.7**	**335.1**	**308.4**	**398.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,410.7**	**1,094.5**	**316.2**	**28.9%**
Total Taxes	**8,641.3**	**3,885.0**	**8,106.2**	**4,665.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**25,298.3**	**23,021.5**	**2,276.8**	**9.9%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.8	0.6	0.9	1.1									3.4	4.9	(1.5)	-30.6%
Bottle Bill	0.5	-	30.5	(0.7)									30.3	29.7	0.6	2.0%
Assessments:																
Business	88.4	310.8	99.8	35.8									534.8	1,347.0	(812.2)	-60.3%
Medical Care	376.8	425.8	493.7	475.6									1,771.9	1,587.6	184.3	11.6%
Public Utilities	0.7	(0.1)	0.3	-									0.9	0.8	0.1	12.5%
Other	18.2	19.4	18.0	19.0									74.6	68.8	5.8	8.4%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	6.9	5.5	6.9	5.7									25.0	21.6	3.4	15.7%
Business/Professional:	78.8	72.1	129.2	51.7									331.8	327.4	4.4	1.3%
Civil	27.6	25.5	22.2	24.1									99.4	85.1	14.3	16.8%
Criminal	0.1	0.3	1.9	1.0									3.3	2.6	0.7	26.9%
Motor Vehicle	128.1	121.5	131.4	119.8									500.8	479.2	21.6	4.5%
Recreational/Consumer	16.6	27.4	27.0	34.8									105.8	90.0	15.8	17.6%
Fines, Penalties and Forfeitures	18.6	1,414.6	71.7	49.9									1,554.8	3,089.1	(1,534.3)	-49.7%
Gaming:																
Casino	43.5	0.6	34.4	13.9									92.4	55.1	37.3	67.7%
Lottery	226.4	191.2	188.5	220.2									826.3	792.2	34.1	4.3%
Video Lottery	94.5	73.4	72.9	90.1									330.9	320.6	10.3	3.2%
Interest Earnings	2.9	4.1	2.6	3.3									12.9	10.7	2.2	20.6%
Receipts from Public Authorities:																
Bond Proceeds	12.2	43.1	854.6	56.0									965.9	862.7	103.2	12.0%
Cost Recovery Assessments	-	-	-	11.0									11.0	27.3	(16.3)	-59.7%
Issuance Fees	0.2	5.7	10.8	17.5									34.2	32.8	1.4	4.3%
Non Bond Related	0.2	2.0	0.3	1.8									4.3	16.9	(12.6)	-74.6%
Receipts from Municipalities	39.8	8.5	7.9	6.3									62.5	56.1	6.4	11.4%

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Rentals	34.2	22.3	22.8	10.1									89.4	116.5	(27.1)	-23.3%
Revenues of State Departments:																
Administrative Recoveries	0.7	16.1	29.6	9.4									55.8	51.0	4.8	9.4%
Commissions	0.1	0.1	0.4	0.1									0.7	4.8	(4.1)	-85.4%
Gifts, Grants and Donations	1.0	0.7	1.2	0.4									3.3	1.6	1.7	106.3%
Indirect Cost Recoveries	1.4	12.0	14.6	2.4									30.4	31.3	(0.9)	-2.9%
Patient/Client Care Reimbursement	(756.1)	322.9	197.3	296.2									60.3	844.5	(784.2)	-92.9%
Rebates	11.2	9.3	9.8	26.8									57.1	54.5	2.6	4.8%
Restitution and Settlements	82.9	1,053.7	1.9	5.7									1,144.2	6.8	1,137.4	16,726.5%
Student Loans	8.4	7.9	5.3	2.7									24.3	31.3	(7.0)	-22.4%
All Other	12.2	(2.1)	3.2	28.1									41.4	21.9	19.5	89.0%
Sales	2.0	4.4	1.6	0.7									8.7	5.4	3.3	61.1%
Tuition	125.2	76.1	92.5	92.9									386.7	361.4	25.3	7.0%
Total Miscellaneous Receipts	**705.0**	**4,275.4**	**2,585.7**	**1,713.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**9,279.5**	**10,839.2**	**(1,559.7)**	**-14.4%**
Federal Receipts	1,730.0	4,648.4	4,627.6	3,878.2									14,884.2	14,427.1	457.1	3.2%
Total Receipts	**11,076.3**	**12,808.8**	**15,319.5**	**10,257.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**49,462.0**	**48,287.8**	**1,174.2**	**2.4%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	895.9	3,267.7	4,109.2	603.8									8,876.6	8,773.7	102.9	1.2%
Environment and Recreation	3.0	4.1	7.7	13.0									27.8	25.6	2.2	8.6%
General Government	24.2	46.8	573.0	40.4									684.4	656.3	28.1	4.3%
Public Health:																
Medicaid	3,191.8	4,470.2	4,126.7	4,225.4									16,014.1	15,109.6	904.5	6.0%
Other Public Health	221.0	382.8	742.2	629.3									1,975.3	1,511.3	464.0	30.7%
Public Safety	172.4	141.1	75.0	128.4									516.9	395.9	121.0	30.6%
Public Welfare	367.3	465.5	709.9	708.7									2,251.4	2,307.0	(55.6)	-2.4%
Support and Regulate Business	25.8	69.4	66.4	100.5									262.1	283.4	(21.3)	-7.5%
Transportation	158.5	529.0	528.7	347.4									1,563.6	1,652.0	(88.4)	-5.4%
Total Local Assistance Grants	**5,059.9**	**9,376.6**	**10,938.8**	**6,796.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**32,172.2**	**30,714.8**	**1,457.4**	**4.7%**
Departmental Operations:																
Personal Service	1,237.7	1,023.6	1,023.9	1,379.7									4,664.9	4,574.1	90.8	2.0%
Non-Personal Service	349.2	452.3	610.2	483.9									1,895.6	1,924.4	(28.8)	-1.5%
General State Charges	663.7	743.3	559.8	1,861.8									3,828.6	2,792.2	1,036.4	37.1%
Debt Service, Including Payments on Financing Agreements	165.9	254.6	164.0	84.3									668.8	758.5	(89.7)	-11.8%
Capital Projects	288.9	426.3	451.3	568.5									1,735.0	1,634.9	100.1	6.1%
Total Disbursements	**7,765.3**	**12,276.7**	**13,748.0**	**11,175.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**44,965.1**	**42,398.9**	**2,566.2**	**6.1%**
Excess (Deficiency) of Receipts over Disbursements	**3,311.0**	**532.1**	**1,571.5**	**(917.7)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**4,496.9**	**5,888.9**	**(1,392.0)**	**-23.6%**
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-									-	-	-	0.0%
Transfers from Other Funds	4,617.6	2,253.8	2,542.0	2,623.1									12,036.5	9,143.3	2,893.2	31.6%
Transfers to Other Funds	(4,620.6)	(2,256.8)	(2,542.6)	(2,688.4)									(12,108.4)	(9,218.4)	2,890.0	31.4%
Total Other Financing Sources (Uses)	**(3.0)**	**(3.0)**	**(0.6)**	**(65.3)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(71.9)**	**(75.1)**	**3.2**	**4.3%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**3,308.0**	**529.1**	**1,570.9**	**(983.0)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**4,425.0**	**5,813.8**	**(1,388.8)**	**-23.9%**
Ending Fund Balance	**$ 12,663.6**	**$ 13,192.7**	**$ 14,763.6**	**$ 13,780.6**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 13,780.6**	**$ 9,848.3**	**$ 3,932.3**	**39.9%**

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2015-2016
(Amounts in millions)

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 9,890.8	$ 14,306.4	$ 14,119.1	$ 15,151.4									$ 9,890.8	$ 4,789.1	$ 5,101.7	106.5%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,961.1	2,449.2	2,626.3	2,676.2									10,712.8	10,106.1	606.7	6.0%
Estimated payments	5,313.5	124.7	2,261.0	102.9									7,802.1	6,139.4	1,662.7	27.1%
Returns	1,687.1	78.2	47.5	40.1									1,852.9	1,568.1	284.8	18.2%
State/City Offsets	(144.8)	(26.1)	(21.1)	(12.4)									(204.4)	(174.6)	29.8	17.1%
Other (Assessments/LLC)	143.7	95.5	110.2	82.7									432.1	418.7	13.4	3.2%
Gross Receipts	**9,960.6**	**2,721.5**	**5,023.9**	**2,889.5**	-	-	-	-	-	-	-	-	**20,595.5**	**18,057.7**	**2,537.8**	**14.1%**
Transfers to School Tax Relief Fund	-	-	-	-									-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-									-	-	-	0.0%
Refunds issued	(3,242.2)	(400.7)	(219.1)	(167.6)									(4,029.6)	(3,792.2)	237.4	6.3%
Total Personal Income Tax	**6,718.4**	**2,320.8**	**4,804.8**	**2,721.9**	-	-	-	-	-	-	-	-	**16,565.9**	**14,265.5**	**2,300.4**	**16.1%**
Consumption/Use Taxes:																
Sales and Use	1,046.1	996.4	1,366.3	1,065.3									4,474.1	4,252.2	221.9	5.2%
Auto Rental	1.5	0.2	10.6	0.1									12.4	12.2	0.2	1.6%
Cigarette/Tobacco Products	95.1	98.8	121.4	119.2									434.5	467.2	(32.7)	-7.0%
Motor Fuel	8.7	8.6	8.4	9.1									34.8	34.7	0.1	0.3%
Alcoholic Beverage	19.9	20.3	21.7	29.4									91.3	87.6	3.7	4.2%
Highway Use	-	-	-	-									-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	19.2	0.6	0.4	18.1									38.3	42.0	(3.7)	-8.8%
Total Consumption/Use Taxes	**1,190.5**	**1,124.9**	**1,528.8**	**1,241.2**	-	-	-	-	-	-	-	-	**5,085.4**	**4,895.9**	**189.5**	**3.9%**
Business Taxes:																
Corporation Franchise	181.7	(28.7)	895.6	115.6									1,164.2	777.2	387.0	49.8%
Corporation and Utilities	4.9	4.0	128.7	1.4									139.0	147.3	(8.3)	-5.6%
Insurance	6.2	9.2	275.7	33.5									324.6	289.1	35.5	12.3%
Bank	30.4	(13.5)	6.5	10.5									33.9	957.3	(923.4)	-96.5%
Petroleum Business	40.6	39.9	40.8	42.9									164.2	175.3	(11.1)	-6.3%
Total Business Taxes	**263.8**	**10.9**	**1,347.3**	**203.9**	-	-	-	-	-	-	-	-	**1,825.9**	**2,346.2**	**(520.3)**	**-22.2%**
Other Taxes:																
Real Property Gains	-	-	-	-									-	-	-	0.0%
Estate and Gift	148.9	149.2	116.7	208.0									622.8	367.6	255.2	69.4%
Pari-Mutuel	0.9	1.5	1.9	1.2									5.5	6.0	(0.5)	-8.3%
Real Estate Transfer	86.3	97.0	81.9	81.7									346.9	293.7	53.2	18.1%
Racing and Exhibitions	-	0.1	0.2	-									0.3	0.3	-	0.0%
Metropolitan Commuter Trans. Mobility	132.6	87.3	95.8	95.7									411.4	403.1	8.3	2.1%
Total Other Taxes	**368.7**	**335.1**	**296.5**	**386.6**	-	-	-	-	-	-	-	-	**1,386.9**	**1,070.7**	**316.2**	**29.5%**
Total Taxes	**8,541.4**	**3,791.7**	**7,977.4**	**4,553.6**	-	-	-	-	-	-	-	-	**24,864.1**	**22,578.3**	**2,285.8**	**10.1%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.8	0.6	0.9	1.1									3.4	4.9	(1.5)	-30.6%
Bottle Bill	0.5	-	7.5	(0.7)									7.3	6.7	0.6	9.0%
Assessments:																
Business	75.7	261.0	101.3	23.4									461.4	1,277.8	(816.4)	-63.9%
Medical Care	376.8	425.8	493.7	475.6									1,771.9	1,587.6	184.3	11.6%
Public Utilities	0.7	(0.1)	0.3	-									0.9	0.8	0.1	12.5%
Other	18.2	19.4	18.0	19.0									74.6	68.8	5.8	8.4%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	6.9	5.5	6.9	5.7									25.0	21.6	3.4	15.7%
Business/Professional	77.1	69.9	125.3	48.9									321.2	307.2	14.0	4.6%
Civil	27.6	25.5	22.2	24.1									99.4	85.1	14.3	16.8%
Criminal	0.1	0.3	1.9	1.0									3.3	2.6	0.7	26.9%
Motor Vehicle	69.5	50.0	72.0	56.8									248.3	244.2	4.1	1.7%
Recreational/Consumer	16.6	27.4	26.6	34.6									105.2	90.0	15.2	16.9%
Fines, Penalties and Forfeitures	17.7	1,410.8	69.6	46.9									1,545.0	3,077.0	(1,532.0)	-49.8%
Gaming:																
Casino	43.5	0.6	34.4	13.9									92.4	55.1	37.3	67.7%
Lottery	226.4	191.2	188.5	220.2									826.3	792.2	34.1	4.3%
Video Lottery	94.5	73.4	72.9	90.1									330.9	320.6	10.3	3.2%
Interest Earnings	2.8	4.1	2.4	3.3									12.6	10.4	2.2	21.2%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-									-	-	-	0.0%
Cost Recovery Assessments	-	-	-	11.0									11.0	27.3	(16.3)	-59.7%
Issuance Fees	0.2	5.7	10.8	17.5									34.2	32.8	1.4	4.3%
Non Bond Related	0.2	0.7	-	0.6									1.5	2.8	(1.3)	-46.4%

GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2015-2016
(Amounts in millions)

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Receipts from Municipalities	39.7	8.4	7.9	6.3									62.3	55.2	7.1	12.9%
Rentals	34.0	21.2	22.4	9.9									87.5	114.2	(26.7)	-23.4%
Revenues of State Departments:																
Administrative Recoveries	0.6	16.1	29.5	9.4									55.6	50.9	4.7	9.2%
Commissions	0.1	0.1	0.4	0.1									0.7	4.8	(4.1)	-85.4%
Gifts, Grants and Donations	1.0	0.7	0.2	0.3									2.2	1.6	0.6	37.5%
Indirect Cost Recoveries	1.4	12.0	14.6	2.4									30.4	31.3	(0.9)	-2.9%
Patient/Client Care Reimbursement	(756.1)	322.9	197.3	296.2									60.3	844.5	(784.2)	-92.9%
Rebates	3.4	-	1.1	18.7									23.2	18.9	4.3	22.8%
Restitution and Settlements	82.2	1,053.5	1.2	5.4									1,142.3	4.6	1,137.7	24,732.6%
Student Loans	8.4	7.9	5.3	2.7									24.3	31.3	(7.0)	-22.4%
All Other	11.0	(3.0)	1.5	24.1									33.6	15.7	17.9	114.0%
Sales	1.9	4.2	1.7	0.7									8.5	5.1	3.4	66.7%
Tuition	125.2	76.1	92.5	92.9									386.7	361.4	25.3	7.0%
Total Miscellaneous Receipts	**608.6**	**4,091.9**	**1,630.8**	**1,562.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**7,893.4**	**9,555.0**	**(1,661.6)**	**-17.4%**
Federal Receipts	-	0.1	-	1.6									1.7	2.1	(0.4)	-19.0%
Total Receipts	**9,150.0**	**7,883.7**	**9,608.2**	**6,117.3**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**32,759.2**	**32,135.4**	**623.8**	**1.9%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	571.7	2,823.8	3,877.9	376.6									7,650.0	7,290.7	359.3	4.9%
Environment and Recreation	-	0.9	0.1	2.5									3.5	3.2	0.3	9.4%
General Government	14.3	31.6	566.2	22.8									634.9	635.8	(0.9)	-0.1%
Public Health:																
Medicaid	1,633.2	1,908.9	1,195.7	1,473.0									6,210.8	6,027.6	183.2	3.0%
Other Public Health	98.8	260.6	337.0	366.6									1,063.0	988.9	74.1	7.5%
Public Safety	14.6	44.5	22.8	27.1									109.0	88.8	20.2	22.7%
Public Welfare	132.7	139.0	248.8	402.1									922.6	822.6	100.0	12.2%
Support and Regulate Business	4.6	8.7	5.8	5.6									24.7	235.3	(210.6)	-89.5%
Transportation	125.3	494.5	452.1	313.1									1,385.0	1,411.4	(26.4)	-1.9%
Total Local Assistance Grants	**2,595.2**	**5,712.5**	**6,706.4**	**2,989.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**18,003.5**	**17,504.3**	**499.2**	**2.9%**
Departmental Operations:																
Personal Service	1,186.9	977.6	977.3	1,316.1									4,457.9	4,364.9	93.0	2.1%
Non-Personal Service	291.9	375.0	499.5	411.7									1,578.1	1,620.8	(42.7)	-2.6%
General State Charges	650.4	699.1	552.2	1,856.1									3,757.8	2,722.4	1,035.4	38.0%
Debt Service, Including Payments on Financing Agreements	165.9	254.6	164.0	84.3									668.8	758.5	(89.7)	-11.8%
Capital Projects	-	0.2	-	0.1									0.3	0.6	(0.3)	-50.0%
Total Disbursements	**4,890.3**	**8,019.0**	**8,899.4**	**6,657.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**28,466.4**	**26,971.5**	**1,494.9**	**5.5%**
Excess (Deficiency) of Receipts over Disbursements	**4,259.7**	**(135.3)**	**708.8**	**(540.4)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**4,292.8**	**5,163.9**	**(871.1)**	**-16.9%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	4,552.0	2,118.8	2,714.4	2,425.1									11,810.3	9,314.8	2,495.5	26.8%
Transfers to Other Funds (**)	(4,396.1)	(2,170.8)	(2,390.9)	(2,421.2)									(11,379.0)	(8,452.7)	2,926.3	34.6%
Total Other Financing Sources (Uses)	**155.9**	**(52.0)**	**323.5**	**3.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**431.3**	**862.1**	**(430.8)**	**-50.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,415.6**	**(187.3)**	**1,032.3**	**(536.5)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**4,724.1**	**6,026.0**	**(1,301.9)**	**-21.6%**
Ending Fund Balance	**$ 14,306.4**	**$ 14,119.1**	**$ 15,151.4**	**$ 14,614.9**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 14,614.9**	**$ 10,815.1**	**$ 3,799.8**	**35.1%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT F

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 7,299.5	$ 10,343.6	$ 9,591.4	$ 11,063.8									$ 7,299.5	$ 2,235.2	$ 5,064.3	226.6%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,961.1	2,449.2	2,626.3	2,676.2									10,712.8	10,106.1	606.7	6.0%
Estimated payments	5,313.5	124.7	2,261.0	102.9									7,802.1	6,139.4	1,662.7	27.1%
Returns	1,687.1	78.2	47.5	40.1									1,852.9	1,568.1	284.8	18.2%
State/City Offsets	(144.8)	(26.1)	(21.1)	(12.4)									(204.4)	(174.6)	29.8	17.1%
Other (Assessments/LLC)	143.7	95.5	110.2	82.7									432.1	418.7	13.4	3.2%
Gross Receipts	**9,960.6**	**2,721.5**	**5,023.9**	**2,889.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**20,595.5**	**18,057.7**	**2,537.8**	**14.1%**
Transfers to School Tax Relief Fund	(3.1)	-	(431.2)	-									(434.3)	(424.2)	10.1	2.4%
Transfers to Revenue Bond Tax Fund	(1,679.6)	(580.2)	(1,201.2)	(680.5)									(4,141.5)	(3,566.4)	575.1	16.1%
Refunds issued	(3,242.2)	(400.7)	(219.1)	(167.6)									(4,029.6)	(3,792.2)	237.4	6.3%
Total Personal Income Tax	**5,035.7**	**1,740.6**	**3,172.4**	**2,041.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**11,990.1**	**10,274.9**	**1,715.2**	**16.7%**
Consumption/Use Taxes:																
Sales and Use	475.2	465.8	640.2	497.2									2,078.4	1,982.4	96.0	4.8%
Auto Rental	-	-	-	-									-	-	-	0.0%
Cigarette/Tobacco Products	11.9	26.6	31.8	33.5									103.8	126.6	(22.8)	-18.0%
Motor Fuel	-	-	-	-									-	-	-	0.0%
Alcoholic Beverage	19.9	20.3	21.7	29.4									91.3	87.6	3.7	4.2%
Highway Use	-	-	-	-									-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-									-	-	-	0.0%
Total Consumption/Use Taxes	**507.0**	**512.7**	**693.7**	**560.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,273.5**	**2,196.6**	**76.9**	**3.5%**
Business Taxes																
Corporation Franchise	170.2	(32.2)	759.2	103.7									1,000.9	660.2	340.7	51.6%
Corporation and Utilities	3.2	3.5	100.5	0.9									108.1	114.9	(6.8)	-5.9%
Insurance	6.3	9.3	243.3	30.1									289.0	259.5	29.5	11.4%
Bank	23.8	(13.4)	2.6	(1.8)									11.2	836.4	(825.2)	-98.7%
Petroleum Business	-	-	-	-									-	-	-	0.0%
Total Business Taxes	**203.5**	**(32.8)**	**1,105.6**	**132.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,409.2**	**1,871.0**	**(461.8)**	**-24.7%**
Other Taxes:																
Real Property Gains	-	-	-	-									-	-	-	0.0%
Estate and Gift	148.9	149.2	116.7	208.0									622.8	367.6	255.2	69.4%
Pari-Mutuel	0.9	1.5	1.9	1.2									5.5	6.0	(0.5)	-8.3%
Real Estate Transfer	-	-	-	-									-	-	-	0.0%
Racing and Exhibitions	-	0.1	0.2	-									0.3	0.3	-	0.0%
Metropolitan Commuter Trans. Mobility	-	-	-	-									-	-	-	0.0%
Total Other Taxes	**149.8**	**150.8**	**118.8**	**209.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**628.6**	**373.9**	**254.7**	**68.1%**
Total Taxes	**5,896.0**	**2,371.3**	**5,090.5**	**2,943.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**16,301.4**	**14,716.4**	**1,585.0**	**10.8%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-									-	0.8	(0.8)	-100.0%
Bottle Bill	0.5	-	7.5	(0.7)									7.3	6.7	0.6	9.0%
Assessments:																
Business	-	250.0	-	-									250.0	1,000.0	(750.0)	-75.0%
Medical Care	5.7	0.4	4.4	5.4									15.9	22.0	(6.1)	-27.7%
Public Utilities	-	-	-	-									-	-	-	0.0%
Other	-	0.1	0.1	-									0.2	0.1	0.1	100.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	6.9	5.5	6.9	5.7									25.0	21.6	3.4	15.7%
Business/Professional	6.6	16.5	30.9	1.2									55.2	55.5	(0.3)	-0.5%
Civil	23.2	21.1	18.0	19.4									81.7	67.6	14.1	20.9%
Criminal	0.1	-	0.1	-									0.2	0.2	-	0.0%
Motor Vehicle	36.0	-	27.7	14.6									78.3	83.4	(5.1)	-6.1%
Recreational/Consumer	1.2	3.3	1.2	0.3									6.0	5.3	0.7	13.2%
Fines, Penalties and Forfeitures	8.6	1,090.0	44.2	26.5									1,169.3	3,103.4	(1,934.1)	-62.3%
Interest Earnings	0.4	0.3	0.6	0.6									1.9	1.3	0.6	46.2%
Receipts from Public Authorities:																
Cost Recovery Assessments	-	-	-	-									-	6.9	(6.9)	-100.0%
Issuance Fees	-	-	9.5	17.5									27.0	25.6	1.4	5.5%
Non Bond Related	-	-	-	0.3									0.3	-	0.3	100.0%
Receipts from Municipalities	-	-	-	-									-	0.1	(0.1)	-100.0%
Rentals	0.2	0.3	0.6	0.2									1.3	1.4	(0.1)	-7.1%
Revenues of State Departments:																
Administrative Recoveries	-	-	21.1	-									21.1	23.3	(2.2)	-9.4%
Gifts, Grants and Donations	0.1	-	-	-									0.1	-	0.1	100.0%
Indirect Cost Recoveries	1.4	8.0	14.6	2.4									26.4	31.3	(4.9)	-15.7%
Rebates	-	-	(0.8)	2.2									1.4	-	1.4	100.0%
Restitution and Settlements	81.7	1,050.0	-	-									1,131.7	4.6	1,127.1	24,502.2%
Student Loans	-	-	-	-									-	-	-	0.0%
All Other	4.6	(0.6)	1.0	4.0									9.0	4.7	4.3	91.5%
Sales	1.0	(0.3)	0.2	-									0.9	0.2	0.7	350.0%
Total Miscellaneous Receipts	**178.2**	**2,444.6**	**187.8**	**99.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,910.2**	**4,466.0**	**(1,555.8)**	**-34.8%**

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT F

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Federal Receipts	-	0.1	-	-									0.1	0.6	(0.5)	-83.3%
Total Receipts	**6,074.2**	**4,816.0**	**5,278.3**	**3,043.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**19,211.7**	**19,183.0**	**28.7**	**0.1%**
DISBURSEMENTS:																
Local Assistance Grants																
Education	571.6	2,822.2	3,138.2	376.0									6,908.0	6,552.5	355.5	5.4%
Environment and Recreation	-	0.5	-	2.2									2.7	2.4	0.3	12.5%
General Government	2.4	15.4	561.0	3.0									581.8	582.8	(1.0)	-0.2%
Public Health:																
Medicaid	1,224.3	1,598.6	764.4	908.4									4,495.7	4,437.6	58.1	1.3%
Other Public Health	13.0	190.3	63.2	76.4									342.9	285.3	57.6	20.2%
Public Safety	4.4	29.8	13.8	20.0									68.0	48.0	20.0	41.7%
Public Welfare	132.2	138.2	248.4	401.7									920.5	821.2	99.3	12.1%
Support and Regulate Business	2.3	7.2	2.6	4.9									17.0	29.7	(12.7)	-42.8%
Transportation	-	24.3	-	-									24.3	23.8	0.5	2.1%
Total Local Assistance Grants	1,950.2	4,826.5	4,791.6	1,792.6	-	-	-	-	-	-	-	-	13,360.9	12,783.3	577.6	4.5%
Departmental Operations:																
Personal Service	554.2	443.4	456.8	638.1									2,092.5	2,025.6	66.9	3.3%
Non-Personal Service	68.4	124.7	141.2	173.3									507.6	508.7	(1.1)	-0.2%
General State Charges	612.3	503.7	363.9	1,816.2									3,296.1	2,214.2	1,081.9	48.9%
Total Disbursements	**3,185.1**	**5,898.3**	**5,753.5**	**4,420.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**19,257.1**	**17,531.8**	**1,725.3**	**9.8%**
Excess (Deficiency) of Receipts over Disbursements	**2,889.1**	**(1,082.3)**	**(475.2)**	**(1,377.0)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(45.4)**	**1,651.2**	**(1,696.6)**	**-102.7%**
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	1,679.4	538.5	1,201.1	524.5									3,943.5	3,151.3	792.2	25.1%
Transfers from LGAC / STRBTF	461.4	249.0	822.3	480.6									2,013.3	1,921.3	92.0	4.8%
Transfers from CW/CA Fund	74.2	97.1	75.8	75.7									322.8	267.9	54.9	20.5%
Transfers from Other Funds	14.9	298.3	3.8	20.7									337.7	221.4	116.3	52.5%
Transfers to State Capital Projects	(73.1)	(143.0)	314.1	(144.7)									(46.7)	160.4	207.1	129.1%
Transfers to Federal Capital Projects	-	-	-	-									-	-	-	0.0%
Transfers to All Other Capital Projects	-	(5.0)	(118.4)	(55.0)									(178.4)	(16.5)	161.9	981.2%
Transfers to General Debt Service	(302.0)	8.7	22.4	(167.4)									(438.3)	(477.0)	(38.7)	-8.1%
Transfers to All Other State Funds	(1,699.8)	(713.5)	(373.5)	(796.0)									(3,582.8)	(2,117.7)	1,465.1	69.2%
Total Other Financing Sources (Uses)	**155.0**	**330.1**	**1,947.6**	**(61.6)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,371.1**	**3,111.1**	**(740.0)**	**-23.8%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**3,044.1**	**(752.2)**	**1,472.4**	**(1,438.6)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,325.7**	**4,762.3**	**(2,436.6)**	**-51.2%**
Ending Fund Balance	**$ 10,343.6**	**$ 9,591.4**	**$ 11,063.8**	**$ 9,625.2**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 9,625.2**	**$ 6,997.5**	**$ 2,627.7**	**37.6%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 2,661.8	$ 2,700.4	$ 4,105.1	$ 4,010.5									$ 2,661.8	$ 2,362.9	$ 298.9	12.6%
RECEIPTS:																
Taxes:																
Personal Income Tax	3.1	-	431.2	-									434.3	424.2	10.1	2.4%
Consumption/Use Taxes																
Sales and Use	91.0	65.2	86.4	71.3									313.9	310.9	3.0	1.0%
Auto Rental	1.5	0.2	10.6	0.1									12.4	12.2	0.2	1.6%
Cigarette/Tobacco Products	83.2	72.2	89.6	85.7									330.7	340.6	(9.9)	-2.9%
Motor Fuel	8.7	8.6	8.4	9.1									34.8	34.7	0.1	0.3%
Alcoholic Beverage	-	-	-	-									-	-	-	0.0%
Highway Use	-	-	-	-									-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	19.2	0.6	0.4	18.1									38.3	42.0	(3.7)	-8.8%
Total Consumption/Use Taxes	203.6	146.8	195.4	184.3	-	-	-	-	-	-	-	-	730.1	740.4	(10.3)	-1.4%
Business Taxes:																
Corporation Franchise	11.5	3.5	136.4	11.9									163.3	117.0	46.3	39.6%
Corporation and Utilities	1.7	0.5	28.2	0.5									30.9	32.4	(1.5)	-4.6%
Insurance	(0.1)	(0.1)	32.4	3.4									35.6	29.6	6.0	20.3%
Bank	6.6	(0.1)	3.9	12.3									22.7	120.9	(98.2)	-81.2%
Petroleum Business	40.6	39.9	40.8	42.9									164.2	175.3	(11.1)	-6.3%
Total Business Taxes	60.3	43.7	241.7	71.0	-	-	-	-	-	-	-	-	416.7	475.2	(58.5)	-12.3%
Other Taxes:																
Metropolitan Commuter Trans. Mobility	132.6	87.3	95.8	95.7									411.4	403.1	8.3	2.1%
Total Other Taxes	132.6	87.3	95.8	95.7	-	-	-	-	-	-	-	-	411.4	403.1	8.3	2.1%
Total Taxes	399.6	277.8	964.1	351.0	-	-	-	-	-	-	-	-	1,992.5	2,042.9	(50.4)	-2.5%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.8	0.6	0.9	1.1									3.4	4.1	(0.7)	-17.1%
Assessments:																
Business	79.3	52.2	92.1	25.2									248.8	314.1	(65.3)	-20.8%
Medical Care	371.1	425.4	489.3	470.2									1,756.0	1,565.6	190.4	12.2%
Public Utilities	0.7	(0.1)	0.3	-									0.9	0.8	0.1	12.5%
Other	18.2	19.3	17.9	19.0									74.4	68.7	5.7	8.3%
Fees, Licenses and Permits:																
Business/Professional	70.5	53.4	94.4	47.7									266.0	251.7	14.3	5.7%
Civil	4.4	4.4	4.2	4.7									17.7	17.5	0.2	1.1%
Criminal	-	0.3	1.8	1.0									3.1	2.4	0.7	29.2%
Motor Vehicle	33.5	50.0	44.3	42.2									170.0	160.8	9.2	5.7%
Recreational/Consumer	15.4	24.1	25.4	34.3									99.2	84.7	14.5	17.1%
Fines, Penalties and Forfeitures	9.9	321.1	26.2	21.9									379.1	(23.1)	402.2	1,741.1%
Gaming:																
Casino	43.5	0.6	34.4	13.9									92.4	55.1	37.3	67.7%
Lottery	226.4	191.2	188.5	220.2									826.3	792.2	34.1	4.3%
Video Lottery	94.5	73.4	72.9	90.1									330.9	320.6	10.3	3.2%
Interest Earnings	2.4	3.8	1.9	2.7									10.8	9.1	1.7	18.7%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-									-	-	-	0.0%
Cost Recovery Assessments	-	-	-	11.0									11.0	20.4	(9.4)	-46.1%
Issuance Fees	0.2	5.7	1.3	-									7.2	7.2	-	0.0%
Non Bond Related	0.2	0.7	-	0.3									1.2	2.8	(1.6)	-57.1%
Receipts from Municipalities	39.7	7.9	7.9	5.4									60.9	52.5	8.4	16.0%
Rentals	33.8	20.9	21.8	9.7									86.2	112.8	(26.6)	-23.6%
Revenues of State Departments:																
Administrative Recoveries	0.7	16.1	8.4	9.4									34.6	27.7	6.9	24.9%
Commissions	0.1	0.1	0.4	0.1									0.7	4.8	(4.1)	-85.4%
Gifts, Grants and Donations	0.9	0.7	0.2	0.3									2.1	1.6	0.5	31.3%
Indirect Cost Recoveries	-	4.0	-	-									4.0	-	4.0	100.0%
Patient/Client Care Reimbursement	(747.8)	228.7	159.2	251.4									(108.5)	681.3	(789.8)	-115.9%
Rebates	11.2	9.3	10.4	24.6									55.5	54.5	1.0	1.8%
Restitution and Settlements	0.6	3.5	1.2	5.4									10.7	-	10.7	100.0%
Student Loans	8.4	7.9	5.3	2.7									24.3	31.3	(7.0)	-22.4%
All Other	6.4	(2.1)	0.5	20.4									25.2	12.6	12.6	100.0%
Sales	0.9	4.5	1.5	0.7									7.6	4.9	2.7	55.1%
Tuition	125.2	76.1	92.5	92.9									386.7	361.4	25.3	7.0%
Total Miscellaneous Receipts	451.1	1,603.7	1,405.1	1,428.5	-	-	-	-	-	-	-	-	4,888.4	5,000.1	(111.7)	-2.2%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Federal Receipts	1,629.0	4,578.6	4,431.8	3,672.7									14,312.1	13,851.6	460.5	3.3%
Total Receipts	**2,479.7**	**6,460.1**	**6,801.0**	**5,452.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**21,193.0**	**20,894.6**	**298.4**	**1.4%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	324.2	445.4	970.9	227.5									1,968.0	2,207.2	(239.2)	-10.8%
Environment and Recreation	0.3	1.0	0.5	0.5									2.3	0.9	1.4	155.6%
General Government	12.7	27.2	6.1	22.4									68.4	67.7	0.7	1.0%
Public Health:																
Medicaid	1,967.5	2,871.6	3,362.3	3,317.0									11,518.4	10,672.0	846.4	7.9%
Other Public Health	204.4	187.6	673.8	544.6									1,610.4	1,207.0	403.4	33.4%
Public Safety	168.0	111.3	61.2	108.4									448.9	347.9	101.0	29.0%
Public Welfare	235.1	327.3	451.3	273.5									1,287.2	1,446.1	(158.9)	-11.0%
Support and Regulate Business	2.3	2.2	4.0	1.0									9.5	207.1	(197.6)	-95.4%
Transportation	127.5	473.6	456.6	316.7									1,374.4	1,404.3	(29.9)	-2.1%
Total Local Assistance Grants	**3,042.0**	**4,447.2**	**5,986.7**	**4,811.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**18,287.5**	**17,560.2**	**727.3**	**4.1%**
Departmental Operations:																
Personal Service	683.5	580.2	567.1	741.6									2,572.4	2,548.5	23.9	0.9%
Non-Personal Service	280.4	326.4	467.5	300.9									1,375.2	1,402.1	(26.9)	-1.9%
General State Charges	51.4	239.6	195.9	45.6									532.5	578.0	(45.5)	-7.9%
Capital Projects	-	0.2	-	0.1									0.3	0.6	(0.3)	-50.0%
Total Disbursements	**4,057.3**	**5,593.6**	**7,217.2**	**5,899.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**22,767.9**	**22,089.4**	**678.5**	**3.1%**
Excess (Deficiency) of Receipts over Disbursements	**(1,577.6)**	**866.5**	**(416.2)**	**(447.6)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(1,574.9)**	**(1,194.8)**	**(380.1)**	**-31.8%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,783.0	846.1	452.4	884.6									3,966.1	2,457.6	1,508.5	61.4%
Transfers to Other Funds	(166.8)	(307.9)	(130.8)	(265.4)									(870.9)	(697.0)	173.9	24.9%
Total Other Financing Sources (Uses)	**1,616.2**	**538.2**	**321.6**	**619.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**3,095.2**	**1,760.6**	**1,334.6**	**75.8%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**38.6**	**1,404.7**	**(94.6)**	**171.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,520.3**	**565.8**	**954.5**	**168.7%**
Ending Fund Balance	**$ 2,700.4**	**$ 4,105.1**	**$ 4,010.5**	**$ 4,182.1**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 4,182.1**	**$ 2,928.7**	**$ 1,253.4**	**42.8%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Taxes:																	
Personal Income Tax	$ 3.1	$ -	$ 431.2	$ -									$ -	$ 434.3	$ 424.2	$ 10.1	2.4%
Consumption/Use Taxes:																	
Sales and Use	91.0	65.2	86.4	71.3									-	313.9	310.9	3.0	1.0%
Auto Rental	1.5	0.2	10.6	0.1									-	12.4	12.2	0.2	1.6%
Cigarette/Tobacco Products	83.2	72.2	89.6	85.7									-	330.7	340.6	(9.9)	-2.9%
Motor Fuel	8.7	8.6	8.4	9.1									-	34.8	34.7	0.1	0.3%
Alcoholic Beverage	-	-	-	-									-	-	-	-	0.0%
Highway Use	-	-	-	-									-	-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	19.2	0.6	0.4	18.1									-	38.3	42.0	(3.7)	-8.8%
Total Consumption/Use Taxes	**203.6**	**146.8**	**195.4**	**184.3**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**730.1**	**740.4**	**(10.3)**	**-1.4%**
Business Taxes																	
Corporation Franchise	11.5	3.5	136.4	11.9									-	163.3	117.0	46.3	39.6%
Corporation and Utilities	1.7	0.5	28.2	0.5									-	30.9	32.4	(1.5)	-4.6%
Insurance	(0.1)	(0.1)	32.4	3.4									-	35.6	29.6	6.0	20.3%
Bank	6.6	(0.1)	3.9	12.3									-	22.7	120.9	(98.2)	-81.2%
Petroleum Business	40.6	39.9	40.8	42.9									-	164.2	175.3	(11.1)	-6.3%
Total Business Taxes	**60.3**	**43.7**	**241.7**	**71.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**416.7**	**475.2**	**(58.5)**	**-12.3%**
Other Taxes																	
Metropolitan Commuter Trans. Mobility	132.6	87.3	95.8	95.7									-	411.4	403.1	8.3	2.1%
Total Other Taxes	**132.6**	**87.3**	**95.8**	**95.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**411.4**	**403.1**	**8.3**	**2.1%**
Total Taxes	**399.6**	**277.8**	**964.1**	**351.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,992.5**	**2,042.9**	**(50.4)**	**-2.5%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.8	0.6	0.9	1.1									-	3.4	4.1	(0.7)	-17.1%
Assessments:																	
Business	75.7	11.0	101.3	23.4									-	211.4	277.8	(66.4)	-23.9%
Medical Care	371.1	425.4	489.3	470.2									-	1,756.0	1,565.6	190.4	12.2%
Public Utilities	0.7	(0.1)	0.3	-									-	0.9	0.8	0.1	12.5%
Other	18.2	19.3	17.9	19.0									-	74.4	68.7	5.7	8.3%
Fees, Licenses and Permits:																	
Business/Professional	70.5	53.4	94.4	47.7									-	266.0	251.7	14.3	5.7%
Civil	4.4	4.4	4.2	4.7									-	17.7	17.5	0.2	1.1%
Criminal	-	0.3	1.8	1.0									-	3.1	2.4	0.7	29.2%
Motor Vehicle	33.5	50.0	44.3	42.2									-	170.0	160.8	9.2	5.7%
Recreational/Consumer	15.4	24.1	25.4	34.3									-	99.2	84.7	14.5	17.1%
Fines, Penalties and Forfeitures	9.1	320.8	25.4	20.4									-	375.7	(26.4)	402.1	1,523.1%
Gaming:																	
Casino	43.5	0.6	34.4	13.9									-	92.4	55.1	37.3	67.7%
Lottery	226.4	191.2	188.5	220.2									-	826.3	792.2	34.1	4.3%
Video Lottery	94.5	73.4	72.9	90.1									-	330.9	320.6	10.3	3.2%
Interest Earnings	2.4	3.8	1.8	2.7									-	10.7	9.0	1.7	18.9%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-									-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	11.0									-	11.0	20.4	(9.4)	-46.1%
Issuance Fees	0.2	5.7	1.3	-									-	7.2	7.2	-	0.0%
Non Bond Related	0.2	0.7	-	0.3									-	1.2	2.8	(1.6)	-57.1%
Receipts from Municipalities	39.7	7.9	7.9	5.4									-	60.9	52.5	8.4	16.0%
Rentals	33.8	20.9	21.8	9.7									-	86.2	112.8	(26.6)	-23.6%
Revenues of State Departments:																	
Administrative Recoveries	0.6	16.1	8.4	9.4									-	34.5	27.6	6.9	25.0%
Commissions	0.1	0.1	0.4	0.1									-	0.7	4.8	(4.1)	-85.4%
Gifts, Grants and Donations	0.9	0.7	0.2	0.3									-	2.1	1.6	0.5	31.3%
Indirect Cost Recoveries	-	4.0	-	-									-	4.0	-	4.0	100.0%
Patient/Client Care Reimbursement	(747.8)	228.7	159.2	251.4									-	(108.5)	681.3	(789.8)	-115.9%
Rebates	3.4	-	1.9	16.5									-	21.8	18.9	2.9	15.3%
Restitution and Settlements	0.5	3.5	1.2	5.4									-	10.6	-	10.6	100.0%
Student Loans	8.4	7.9	5.3	2.7									-	24.3	31.3	(7.0)	-22.4%
All Other	6.4	(2.4)	0.5	20.1									-	24.6	11.0	13.6	123.6%
Sales	0.9	4.5	1.5	0.7									-	7.6	4.9	2.7	55.1%
Tuition	125.2	76.1	92.5	92.9									-	386.7	361.4	25.3	7.0%
Total Miscellaneous Receipts	**438.7**	**1,552.6**	**1,404.9**	**1,416.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**4,813.0**	**4,923.1**	**(110.1)**	**-2.2%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Federal Receipts	-	-	-	-									-	-	-	-	0.0%
Total Receipts	**838.3**	**1,830.4**	**2,369.0**	**1,767.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**6,805.5**	**6,966.0**	**(160.5)**	**-2.3%**
DISBURSEMENTS:																	
Local Assistance Grants																	
Education	0.1	1.6	739.7	0.6									-	742.0	738.2	3.8	0.5%
Environment and Recreation	-	0.4	0.1	0.3									-	0.8	0.8	-	0.0%
General Government	11.9	16.2	5.2	19.8									-	53.1	53.0	0.1	0.2%
Public Health:																	
Medicaid	408.9	310.3	431.3	564.6									-	1,715.1	1,590.0	125.1	7.9%
Other Public Health	85.8	70.3	273.8	290.2									-	720.1	703.6	16.5	2.3%
Public Safety	10.2	14.7	9.0	7.1									-	41.0	40.8	0.2	0.5%
Public Welfare	0.5	0.8	0.4	0.4									-	2.1	1.4	0.7	50.0%
Support and Regulate Business	2.3	1.5	3.2	0.7									-	7.7	205.6	(197.9)	-96.3%
Transportation	125.3	470.2	452.1	313.1									-	1,360.7	1,387.6	(26.9)	-1.9%
Total Local Assistance Grants	**645.0**	**886.0**	**1,914.8**	**1,196.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**4,642.6**	**4,721.0**	**(78.4)**	**-1.7%**
Departmental Operations:																	
Personal Service	632.7	534.2	520.5	678.0									-	2,365.4	2,339.3	26.1	1.1%
Non-Personal Service	223.1	249.1	356.8	228.7									-	1,057.7	1,098.5	(40.8)	-3.7%
General State Charges	38.1	195.4	188.3	39.9									-	461.7	508.2	(46.5)	-9.1%
Capital Projects	-	0.2	-	0.1									-	0.3	0.6	(0.3)	-50.0%
Total Disbursements	**1,538.9**	**1,864.9**	**2,980.4**	**2,143.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**8,527.7**	**8,667.6**	**(139.9)**	**-1.6%**
Excess (Deficiency) of Receipts over Disbursements	**(700.6)**	**(34.5)**	**(611.4)**	**(375.7)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(1,722.2)**	**(1,701.6)**	**(20.6)**	**-201.2%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	1,795.2	859.1	463.0	894.4									(45.6)	3,966.1	2,457.6	1,508.5	61.4%
Transfers to Other Funds	(19.0)	(297.1)	(55.5)	(88.3)									-	(459.9)	(255.7)	204.2	79.9%
Total Other Financing Sources (Uses)	**1,776.2**	**562.0**	**407.5**	**806.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(45.6)**	**3,506.2**	**2,201.9**	**1,304.3**	**59.2%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**$ 1,075.6**	**$ 527.5**	**$ (203.9)**	**$ 430.4**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ (45.6)**	**$ 1,784.0**	**$ 500.3**	**$ 1,283.7**	**256.6%**

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	$ -	$ -	$ -	$ -									$ -	$ -	$ -	$ -	0.0%
Assessments:																	
Business	3.6	41.2	(9.2)	1.8									-	37.4	36.3	1.1	3.0%
Medical Care	-	-	-	-									-	-	-	-	0.0%
Public Utilities	-	-	-	-									-	-	-	-	0.0%
Other	-	-	-	-									-	-	-	-	0.0%
Fees, Licenses and Permits:																	
Business/Professional	-	-	-	-									-	-	-	-	0.0%
Civil	-	-	-	-									-	-	-	-	0.0%
Criminal	-	-	-	-									-	-	-	-	0.0%
Motor Vehicle	-	-	-	-									-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-									-	-	-	-	0.0%
Fines, Penalties and Forfeitures	0.8	0.3	0.8	1.5									-	3.4	3.3	0.1	3.0%
Interest Earnings	-	-	0.1	-									-	0.1	0.1	-	0.0%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-									-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-									-	-	-	-	0.0%
Issuance Fees	-	-	-	-									-	-	-	-	0.0%
Non Bond Related	-	-	-	-									-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-									-	-	-	-	0.0%
Rentals	-	-	-	-									-	-	-	-	0.0%
Revenues of State Departments:																	
Administrative Recoveries	0.1	-	-	-									-	0.1	0.1	-	0.0%
Commissions	-	-	-	-									-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-									-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-									-	-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-									-	-	-	-	0.0%
Rebates	7.8	9.3	8.5	8.1									-	33.7	35.6	(1.9)	-5.3%
Restitution and Settlements	0.1	-	-	-									-	0.1	-	0.1	100.0%
Student Loans	-	-	-	-									-	-	-	-	0.0%
All Other	-	0.3	-	0.3									-	0.6	1.6	(1.0)	-62.5%
Sales	-	-	-	-									-	-	-	-	0.0%
Tuition	-	-	-	-									-	-	-	-	0.0%
Total Miscellaneous Receipts	**12.4**	**51.1**	**0.2**	**11.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**75.4**	**77.0**	**(1.6)**	**-2.1%**
Federal Receipts	1,629.0	4,578.6	4,431.8	3,672.7									-	14,312.1	13,851.6	460.5	3.3%
Total Receipts	**1,641.4**	**4,629.7**	**4,432.0**	**3,684.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**14,387.5**	**13,928.6**	**458.9**	**3.3%**
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	324.1	443.8	231.2	226.9									-	1,226.0	1,469.0	(243.0)	-16.5%
Environment and Recreation	0.3	0.6	0.4	0.2									-	1.5	0.1	1.4	1,400.0%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT G

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
General Government	0.8	11.0	0.9	2.6									-	15.3	14.7	0.6	4.1%
Public Health:																	
Medicaid	1,558.6	2,561.3	2,931.0	2,752.4									-	9,803.3	9,082.0	721.3	7.9%
Other Public Health	118.6	117.3	400.0	254.4									-	890.3	503.4	386.9	76.9%
Public Safety	157.8	96.6	52.2	101.3									-	407.9	307.1	100.8	32.8%
Public Welfare	234.6	326.5	450.9	273.1									-	1,285.1	1,444.7	(159.6)	-11.0%
Support and Regulate Business	-	0.7	0.8	0.3									-	1.8	1.5	0.3	20.0%
Transportation	2.2	3.4	4.5	3.6									-	13.7	16.7	(3.0)	-18.0%
Total Local Assistance Grants	**2,397.0**	**3,561.2**	**4,071.9**	**3,614.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**13,644.9**	**12,839.2**	**805.7**	**6.3%**
Departmental Operations:																	
Personal Service	50.8	46.0	46.6	63.6									-	207.0	209.2	(2.2)	-1.1%
Non-Personal Service	57.3	77.3	110.7	72.2									-	317.5	303.6	13.9	4.6%
General State Charges	13.3	44.2	7.6	5.7									-	70.8	69.8	1.0	1.4%
Capital Projects	-	-	-	-									-	-	-	-	0.0%
Total Disbursements	**2,518.4**	**3,728.7**	**4,236.8**	**3,756.3**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**14,240.2**	**13,421.8**	**818.4**	**6.1%**
Excess (Deficiency) of Receipts over Disbursements	**(877.0)**	**901.0**	**195.2**	**(71.9)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**147.3**	**506.8**	**(359.5)**	**-129.1%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-	-	-									-	-	-	-	0.0%
Transfers to Other Funds	(160.0)	(23.8)	(85.9)	(186.9)									45.6	(411.0)	(441.3)	(30.3)	-6.9%
Total Other Financing Sources (Uses)	**(160.0)**	**(23.8)**	**(85.9)**	**(186.9)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**45.6**	**(411.0)**	**(441.3)**	**(30.3)**	**-6.9%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**$ (1,037.0)**	**$ 877.2**	**$ 109.3**	**$ (258.8)**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 45.6**	**$ (263.7)**	**$ 65.5**	**$ (329.2)**	**-502.6%**

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State Funds.

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT H

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 118.7	$ 414.6	$ 452.0	$ 215.8									$ 118.7	$ 65.1	$ 53.6	82.3%
RECEIPTS:																
Taxes:																
Personal Income Tax	1,679.6	580.2	1,201.2	680.5									4,141.5	3,566.4	575.1	16.1%
Consumption/Use Taxes:																
Sales and Use	479.9	465.4	639.7	496.8									2,081.8	1,958.9	122.9	6.3%
Total Consumption/Use Taxes	**479.9**	**465.4**	**639.7**	**496.8**	-	-	-	-	-	-	-	-	**2,081.8**	**1,958.9**	**122.9**	**6.3%**
Other Taxes:																
Real Estate Transfer	86.3	97.0	81.9	81.7									346.9	293.7	53.2	18.1%
Total Other Taxes	**86.3**	**97.0**	**81.9**	**81.7**	-	-	-	-	-	-	-	-	**346.9**	**293.7**	**53.2**	**18.1%**
Total Taxes	**2,245.8**	**1,142.6**	**1,922.8**	**1,259.0**	-	-	-	-	-	-	-	-	**6,570.2**	**5,819.0**	**751.2**	**12.9%**
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-									-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-									-	-	-	0.0%
Business/Professional	-	-	-	-									-	-	-	0.0%
Civil	-	-	-	-									-	-	-	0.0%
Criminal	-	-	-	-									-	-	-	0.0%
Motor Vehicle	-	-	-	-									-	-	-	0.0%
Recreational/Consumer	-	-	-	-									-	-	-	0.0%
Interest Earnings	-	-	-	-									-	0.1	(0.1)	-100.0%
Receipts from Municipalities	-	0.5	-	0.9									1.4	2.6	(1.2)	-46.2%
Rentals	-	-	-	-									-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	(8.3)	94.2	38.1	44.8									168.8	163.2	5.6	3.4%
Sales	-	-	-	-									-	-	-	0.0%
Total Miscellaneous Receipts	**(8.3)**	**94.7**	**38.1**	**45.7**	-	-	-	-	-	-	-	-	**170.2**	**165.9**	**4.3**	**2.6%**
Federal Receipts	-	-	-	1.6									1.6	1.5	0.1	6.7%
Total Receipts	**2,237.5**	**1,237.3**	**1,960.9**	**1,306.3**	-	-	-	-	-	-	-	-	**6,742.0**	**5,986.4**	**755.6**	**12.6%**
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.4	1.2	1.5	9.7									12.8	13.6	(0.8)	-5.9%
Debt Service, Including Payments On Financing Agreements	165.9	254.6	164.0	84.3									668.8	758.5	(89.7)	-11.8%
Total Disbursements	**166.3**	**255.8**	**165.5**	**94.0**	-	-	-	-	-	-	-	-	**681.6**	**772.1**	**(90.5)**	**-11.7%**
Excess (Deficiency) of Receipts over Disbursements	**2,071.2**	**981.5**	**1,795.4**	**1,212.3**	-	-	-	-	-	-	-	-	**6,060.4**	**5,214.3**	**846.1**	**16.2%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	526.9	76.8	148.4	429.2									1,181.3	1,175.0	6.3	0.5%
Transfers to Other Funds	(2,302.2)	(1,020.9)	(2,180.0)	(1,169.8)									(6,672.9)	(5,746.2)	926.7	16.1%
Total Other Financing Sources (Uses)	**(1,775.3)**	**(944.1)**	**(2,031.6)**	**(740.6)**	-	-	-	-	-	-	-	-	**(5,491.6)**	**(4,571.2)**	**(920.4)**	**-20.1%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**295.9**	**37.4**	**(236.2)**	**471.7**	-	-	-	-	-	-	-	-	**568.8**	**643.1**	**(74.3)**	**-11.6%**
Ending Fund Balance	$ 414.6	$ 452.0	$ 215.8	$ 687.5	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 687.5	$ 708.2	$ (20.7)	-2.9%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT I

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (724.4)	$ (795.0)	$ (955.8)	$ (526.5)									$ (724.4)	$ (628.7)	$ (95.7)	-15.2%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes:																
Auto Rental	3.0	0.1	17.7	0.1									20.9	19.6	1.3	6.6%
Motor Fuel	32.6	33.1	32.3	33.7									131.7	130.3	1.4	1.1%
Highway Use	13.4	10.3	13.0	12.8									49.5	47.9	1.6	3.3%
Total Consumption/Use Taxes	**49.0**	**43.5**	**63.0**	**46.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**202.1**	**197.8**	**4.3**	**2.2%**
Business Taxes:																
Corporation Franchise	-	-	-	-									-	-	-	0.0%
Corporation and Utilities	0.1	-	2.5	0.1									2.7	2.2	0.5	22.7%
Petroleum Business	50.8	49.8	51.4	53.6									205.6	219.4	(13.8)	-6.3%
Total Business Taxes	**50.9**	**49.8**	**53.9**	**53.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**208.3**	**221.6**	**(13.3)**	**-6.0%**
Other Taxes:																
Real Estate Transfer	-	-	11.9	11.9									23.8	23.8	-	0.0%
Total Other Taxes	**-**	**-**	**11.9**	**11.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**23.8**	**23.8**	**-**	**0.0%**
Total Taxes	**99.9**	**93.3**	**128.8**	**112.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**434.2**	**443.2**	**(9.0)**	**-2.0%**
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-									23.0	23.0	-	0.0%
Assessments:																
Business	9.1	8.6	7.7	10.6									36.0	32.9	3.1	9.4%
Fees, Licenses and Permits:																
Business/Professional	1.7	2.2	3.9	2.8									10.6	20.2	(9.6)	-47.5%
Civil	-	-	-	-									-	-	-	0.0%
Motor Vehicle	58.6	71.5	59.4	63.0									252.5	235.0	17.5	7.4%
Recreational/Consumer	-	-	0.4	0.2									0.6	-	0.6	100.0%
Fines, Penalties and Forfeitures	0.1	3.5	1.3	1.5									6.4	8.8	(2.4)	-27.3%
Interest Earnings	0.1	-	0.1	-									0.2	0.2	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	12.2	43.1	854.6	56.0									965.9	862.7	103.2	12.0%
Issuance Fees	-	-	-	-									-	-	-	0.0%
Non Bond Related	-	1.3	0.3	1.2									2.8	14.1	(11.3)	-80.1%
Receipts from Municipalities	0.1	0.1	-	-									0.2	0.9	(0.7)	-77.8%
Rentals	0.2	1.1	0.4	0.2									1.9	2.3	(0.4)	-17.4%
Revenues of State Departments:																
Administrative Recoveries	-	-	0.1	-									0.1	-	0.1	100.0%
Gifts, Grants and Donations	-	-	1.0	0.1									1.1	-	1.1	100.0%
Rebates	-	-	0.2	-									0.2	-	0.2	100.0%
Restitution and Settlements	0.6	0.2	0.7	0.3									1.8	2.2	(0.4)	-18.2%
All Other	1.2	0.6	1.7	3.7									7.2	4.6	2.6	56.5%
Sales	0.1	0.2	(0.1)	-									0.2	0.3	(0.1)	-33.3%
Total Miscellaneous Receipts	**84.0**	**132.4**	**954.7**	**139.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,310.7**	**1,207.2**	**103.5**	**8.6%**
Federal Receipts	101.0	69.7	195.8	203.9									570.4	573.4	(3.0)	-0.5%
Total Receipts	**284.9**	**295.4**	**1,279.3**	**455.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,315.3**	**2,223.8**	**91.5**	**4.1%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT I

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.1	0.1	0.1	0.3									0.6	14.0	(13.4)	-95.7%
Environment and Recreation	2.7	2.6	7.2	10.3									22.8	22.3	0.5	2.2%
General Government	9.1	4.2	5.9	15.0									34.2	5.8	28.4	489.7%
Public Health:																
Medicaid	-	-	-	-									-	-	-	0.0%
Other Public Health	3.6	4.9	5.2	8.3									22.0	19.0	3.0	15.8%
Public Safety	-	-	-	-									-	-	-	0.0%
Public Welfare	-	-	10.2	33.5									43.7	39.7	4.0	10.1%
Support and Regulate Business	21.2	60.0	59.8	94.6									235.6	46.6	189.0	405.6%
Transportation	31.0	31.1	72.1	30.7									164.9	223.9	(59.0)	-26.4%
Total Local Assistance Grants	**67.7**	**102.9**	**160.5**	**192.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**523.8**	**371.3**	**152.5**	**41.1%**
Departmental Operations:																
Personal Service	-	-	-	-									-	-	-	0.0%
Non-Personal Service	-	-	-	-									-	-	-	0.0%
General State Charges	-	-	-	-									-	-	-	0.0%
Capital Projects	288.9	426.1	451.3	568.4									1,734.7	1,634.3	100.4	6.1%
Total Disbursements	**356.6**	**529.0**	**611.8**	**761.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,258.5**	**2,005.6**	**252.9**	**12.6%**
Excess (Deficiency) of Receipts over Disbursements	**(71.7)**	**(233.6)**	**667.5**	**(305.4)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**56.8**	**218.2**	**(161.4)**	**-74.0%**
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-									-	-	-	0.0%
Transfers from Other Funds	77.8	148.0	(161.8)	207.8									271.8	(51.2)	323.0	630.9%
Transfers to Other Funds	(76.7)	(75.2)	(76.4)	(90.1)									(318.4)	(324.4)	(6.0)	-1.8%
Total Other Financing Sources (Uses)	**1.1**	**72.8**	**(238.2)**	**117.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(46.6)**	**(375.6)**	**329.0**	**87.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(70.6)**	**(160.8)**	**429.3**	**(187.7)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**10.2**	**(157.4)**	**167.6**	**106.5%**
Ending Fund Balance	**$ (795.0)**	**$ (955.8)**	**$ (526.5)**	**$ (714.2)**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ (714.2)**	**$ (786.1)**	**$ 71.9**	**9.1%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT I

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes																	
Auto Rental	$ 3.0	$ 0.1	$ 17.7	$ 0.1									$ -	$ 20.9	$ 19.6	$ 1.3	6.6%
Motor Fuel	32.6	33.1	32.3	33.7									-	131.7	130.3	1.4	1.1%
Highway Use	13.4	10.3	13.0	12.8									-	49.5	47.9	1.6	3.3%
Total Consumption/Use Taxes	49.0	43.5	63.0	46.6	-	-	-	-	-	-	-	-	-	202.1	197.8	4.3	2.2%
Business Taxes																	
Corporation Franchise	-	-	-	-									-	-	-	-	0.0%
Corporation and Utilities	0.1	-	2.5	0.1									-	2.7	2.2	0.5	22.7%
Petroleum Business	50.8	49.8	51.4	53.6									-	205.6	219.4	(13.8)	-6.3%
Total Business Taxes	50.9	49.8	53.9	53.7	-	-	-	-	-	-	-	-	-	208.3	221.6	(13.3)	-6.0%
Other Taxes																	
Real Estate Transfer	-	-	11.9	11.9									-	23.8	23.8	-	0.0%
Total Other Taxes	-	-	11.9	11.9	-	-	-	-	-	-	-	-	-	23.8	23.8	-	0.0%
Total Taxes	99.9	93.3	128.8	112.2	-	-	-	-	-	-	-	-	-	434.2	443.2	(9.0)	-2.0%
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-									-	23.0	23.0	-	0.0%
Assessments:																	
Business	9.1	8.6	7.7	10.6									-	36.0	32.9	3.1	9.4%
Fees, Licenses and Permits:																	
Business/Professional	1.7	2.2	3.9	2.8									-	10.6	20.2	(9.6)	-47.5%
Civil	-	-	-	-									-	-	-	-	0.0%
Motor Vehicle	58.6	71.5	59.4	63.0									-	252.5	235.0	17.5	7.4%
Recreational/Consumer	-	-	0.4	0.2									-	0.6	-	0.6	100.0%
Fines, Penalties and Forfeitures	0.1	3.5	1.3	1.5									-	6.4	8.8	(2.4)	-27.3%
Interest Earnings	0.1	-	0.1	-									-	0.2	0.2	-	0.0%
Receipts from Public Authorities:																	
Bond Proceeds	12.2	43.1	854.6	56.0									-	965.9	862.7	103.2	12.0%
Issuance Fees	-	-	-	-									-	-	-	-	0.0%
Non Bond Related	-	1.3	0.3	1.2									-	2.8	14.1	(11.3)	-80.1%
Receipts from Municipalities	0.1	0.1	-	-									-	0.2	0.9	(0.7)	-77.8%
Rentals	0.1	1.1	0.3	0.2									-	1.7	2.1	(0.4)	-19.0%
Revenues of State Departments:																	
Administrative Recoveries	-	-	0.1	-									-	0.1	-	0.1	100.0%
Gifts, Grants and Donations	-	-	1.0	0.1									-	1.1	-	1.1	100.0%
Rebates	-	-	0.2	-									-	0.2	-	0.2	100.0%
Restitution and Settlements	0.6	0.2	0.7	0.3									-	1.8	2.2	(0.4)	-18.2%
All Other	1.2	0.6	1.7	3.7									-	7.2	4.6	2.6	56.5%
Sales	-	0.1	-	-									-	0.1	0.3	(0.2)	-66.7%
Total Miscellaneous Receipts	83.8	132.3	954.7	139.6	-	-	-	-	-	-	-	-	-	1,310.4	1,207.0	103.4	8.6%
Federal Receipts	-	-	-	-									-	-	-	-	0.0%
Total Receipts	183.7	225.6	1,083.5	251.8	-	-	-	-	-	-	-	-	-	1,744.6	1,650.2	94.4	5.7%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT I

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	0.1	0.1	0.1	0.3									-	0.6	14.0	(13.4)	-95.7%
Environment and Recreation	2.7	2.6	7.2	10.3									-	22.8	22.3	0.5	2.2%
General Government	9.1	4.2	5.9	15.0									-	34.2	5.8	28.4	489.7%
Public Health:																	
Medicaid	-	-	-	-									-	-	-	-	0.0%
Other Public Health	3.6	4.9	5.2	8.3									-	22.0	19.0	3.0	15.8%
Public Safety	-	-	-	-									-	-	-	-	0.0%
Public Welfare	-	-	10.2	33.5									-	43.7	39.7	4.0	10.1%
Support and Regulate Business	21.2	60.0	59.8	94.6									-	235.6	46.6	189.0	405.6%
Transportation	4.4	3.2	32.5	6.8									-	46.9	47.0	(0.1)	-0.2%
Total Local Assistance Grants	**41.1**	**75.0**	**120.9**	**168.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**405.8**	**194.4**	**211.4**	**108.7%**
Departmental Operations:																	
Personal Service	-	-	-	-									-	-	-	-	0.0%
Non-Personal Service	-	-	-	-									-	-	-	-	0.0%
General State Charges	-	-	-	-									-	-	-	-	0.0%
Capital Projects	212.3	338.5	329.6	392.7									-	1,273.1	1,258.4	14.7	1.2%
Total Disbursements	**253.4**	**413.5**	**450.5**	**561.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,678.9**	**1,452.8**	**226.1**	**15.6%**
Excess (Deficiency) of Receipts over Disbursements	**(69.7)**	**(187.9)**	**633.0**	**(309.7)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**65.7**	**197.4**	**(131.7)**	**-66.7%**
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-	-	-	-									-	-	-	-	0.0%
Transfers from Other Funds	77.8	148.0	(161.8)	207.8									-	271.8	(51.2)	323.0	-630.9%
Transfers to Other Funds	(74.7)	(75.2)	(76.4)	(89.4)									-	(315.7)	(318.7)	(3.0)	-0.9%
Total Other Financing Sources (Uses)	**3.1**	**72.8**	**(238.2)**	**118.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(43.9)**	**(369.9)**	**326.0**	**88.1%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**$ (66.6)**	**$ (115.1)**	**$ 394.8**	**$ (191.3)**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 21.8**	**$ (172.5)**	**$ 194.3**	**112.6%**

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal Funds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-2016
(Amounts in millions)

EXHIBIT I

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	$ -	$ -	$ -	$ -									$ -	$ -	$ -	$ -	0.0%
Assessments:																	
Business	-	-	-	-									-	-	-	-	0.0%
Fees, Licenses and Permits:																	
Business/Professional	-	-	-	-									-	-	-	-	0.0%
Civil	-	-	-	-									-	-	-	-	0.0%
Motor Vehicle	-	-	-	-									-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-									-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-									-	-	-	-	0.0%
Interest Earnings	-	-	-	-									-	-	-	-	0.0%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-									-	-	-	-	0.0%
Issuance Fees	-	-	-	-									-	-	-	-	0.0%
Non Bond Related	-	-	-	-									-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-									-	-	-	-	0.0%
Rentals	0.1	-	0.1	-									-	0.2	0.2	-	0.0%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-									-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-									-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-									-	-	-	-	0.0%
All Other	-	-	-	-									-	-	-	-	0.0%
Sales	0.1	0.1	(0.1)	-									-	0.1	-	0.1	100.0%
Total Miscellaneous Receipts	**0.2**	**0.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**0.3**	**0.2**	**0.1**	**50.0%**
Federal Receipts	101.0	69.7	195.8	203.9									-	570.4	573.4	(3.0)	-0.5%
Total Receipts	**101.2**	**69.8**	**195.8**	**203.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**570.7**	**573.6**	**(2.9)**	**-0.5%**
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	-	-	-	-									-	-	-	-	0.0%
Environment and Recreation	-	-	-	-									-	-	-	-	0.0%
General Government	-	-	-	-									-	-	-	-	0.0%
Public Health:																	
Medicaid	-	-	-	-									-	-	-	-	0.0%
Other Public Health	-	-	-	-									-	-	-	-	0.0%
Public Safety	-	-	-	-									-	-	-	-	0.0%
Public Welfare	-	-	-	-									-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-									-	-	-	-	0.0%
Transportation	26.6	27.9	39.6	23.9									-	118.0	176.9	(58.9)	-33.3%
Total Local Assistance Grants	**26.6**	**27.9**	**39.6**	**23.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**118.0**	**176.9**	**(58.9)**	**-33.3%**
Departmental Operations:																	
Personal Service	-	-	-	-									-	-	-	-	0.0%
Non-Personal Service	-	-	-	-									-	-	-	-	0.0%
General State Charges	-	-	-	-									-	-	-	-	0.0%
Capital Projects	76.6	87.6	121.7	175.7									-	461.6	375.9	85.7	22.8%
Total Disbursements	**103.2**	**115.5**	**161.3**	**199.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**579.6**	**552.8**	**26.8**	**4.8%**
Excess (Deficiency) of Receipts over Disbursements	**(2.0)**	**(45.7)**	**34.5**	**4.3**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(8.9)**	**20.8**	**(29.7)**	**-142.8%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-	-	-									-	-	-	-	0.0%
Transfers to Other Funds	(2.0)	-	-	(0.7)									-	(2.7)	(5.7)	3.0	52.6%
Total Other Financing Sources (Uses)	**(2.0)**	**-**	**-**	**(0.7)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(2.7)**	**(5.7)**	**3.0**	**52.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**$ (4.0)**	**$ (45.7)**	**$ 34.5**	**$ 3.6**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ (11.6)**	**$ 15.1**	**$ (26.7)**	**-176.8%**

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State Funds.

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT J

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 50.6	$ 77.1	$ 77.9	$ 60.3									$ 50.6	$ 62.5	$ (11.9)	-19.0%
RECEIPTS:																
Miscellaneous Receipts	4.6	4.5	4.6	7.2									20.9	29.8	(8.9)	-29.9%
Federal Receipts	2.5	2.1	2.1	2.1									8.8	17.4	(8.6)	-49.4%
Unemployment Taxes	222.9	161.1	168.8	197.4									750.2	820.1	(69.9)	-8.5%
Total Receipts	230.0	167.7	175.5	206.7	-	-	-	-	-	-	-	-	779.9	867.3	(87.4)	-10.1%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.4	0.3	0.5	0.5									1.7	1.9	(0.2)	-10.5%
Non-Personal Service	2.5	4.1	3.7	4.1									14.4	14.9	(0.5)	-3.4%
General State Charges	-	-	0.1	-									0.1	0.2	(0.1)	-50.0%
Unemployment Benefits	200.6	162.5	188.8	180.7									732.6	807.8	(75.2)	-9.3%
Total Disbursements	203.5	166.9	193.1	185.3	-	-	-	-	-	-	-	-	748.8	824.8	(76.0)	-9.2%
Excess (Deficiency) of Receipts over Disbursements	26.5	0.8	(17.6)	21.4	-	-	-	-	-	-	-	-	31.1	42.5	(11.4)	-26.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-									-	-	-	0.0%
Transfers to Other Funds	-	-	-	-									-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	26.5	0.8	(17.6)	21.4	-	-	-	-	-	-	-	-	31.1	42.5	(11.4)	-26.8%
Ending Fund Balance	$ 77.1	$ 77.9	$ 60.3	$ 81.7	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 81.7	$ 105.0	$ (23.3)	-22.2%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT K

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (196.7)	$ (225.9)	$ (218.1)	$ (225.9)									$ (196.7)	$ (72.7)	$ (124.0)	-170.6%
RECEIPTS:																
Miscellaneous Receipts	16.0	40.6	49.7	43.9									150.2	130.9	19.3	14.7%
Total Receipts	**16.0**	**40.6**	**49.7**	**43.9**	-	-	-	-	-	-	-	-	**150.2**	**130.9**	**19.3**	**14.7%**
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	7.4	6.6	7.4	9.1									30.5	32.3	(1.8)	-5.6%
Non-Personal Service	39.8	25.8	45.5	33.8									144.9	179.1	(34.2)	-19.1%
General State Charges	1.0	3.4	5.3	0.1									9.8	15.5	(5.7)	-36.8%
Total Disbursements	**48.2**	**35.8**	**58.2**	**43.0**	-	-	-	-	-	-	-	-	**185.2**	**226.9**	**(41.7)**	**-18.4%**
Excess (Deficiency) of Receipts over Disbursements	**(32.2)**	**4.8**	**(8.5)**	**0.9**	-	-	-	-	-	-	-	-	**(35.0)**	**(96.0)**	**61.0**	**63.5%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	3.0	3.0	0.8	0.7									7.5	7.2	0.3	4.2%
Transfers to Other Funds	-	-	(0.1)	-									(0.1)	(1.1)	(1.0)	-90.9%
Total Other Financing Sources (Uses)	**3.0**	**3.0**	**0.7**	**0.7**	-	-	-	-	-	-	-	-	**7.4**	**6.1**	**1.3**	**21.3%**
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	**(29.2)**	**7.8**	**(7.8)**	**1.6**	-	-	-	-	-	-	-	-	**(27.6)**	**(89.9)**	**62.3**	**69.3%**
Ending Fund Balance	$ (225.9)	$ (218.1)	$ (225.9)	$ (224.3)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ (224.3)	$ (162.6)	$ (61.7)	-37.9%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT L

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31 2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (16.9)	$ (0.4)	$ (7.6)	$ (8.4)									$ (16.9)	$ (3.9)	$ (13.0)	-333.3%
RECEIPTS:																
Miscellaneous Receipts	21.9	4.8	4.9	7.2									38.8	30.9	7.9	25.6%
Total Receipts	**21.9**	**4.8**	**4.9**	**7.2**	-	-	-	-	-	-	-	-	**38.8**	**30.9**	**7.9**	**25.6%**
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	4.9	4.8	4.8	7.3									21.8	20.7	1.1	5.3%
Non-Personal Service	0.5	0.9	0.9	0.8									3.1	3.1	-	0.0%
General State Charges	-	6.3	-	-									6.3	5.0	1.3	26.0%
Total Disbursements	**5.4**	**12.0**	**5.7**	**8.1**	-	-	-	-	-	-	-	-	**31.2**	**28.8**	**2.4**	**8.3%**
Excess (Deficiency) of Receipts over Disbursements	**16.5**	**(7.2)**	**(0.8)**	**(0.9)**	-	-	-	-	-	-	-	-	**7.6**	**2.1**	**5.5**	**261.9%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-									-	-	-	0.0%
Transfers to Other Funds	-	-	-	-									-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	-	-	-	-	-	-	-	-	**-**	**-**	**-**	**0.0%**
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	**16.5**	**(7.2)**	**(0.8)**	**(0.9)**	-	-	-	-	-	-	-	-	**7.6**	**2.1**	**5.5**	**261.9%**
Ending Fund Balance	$ (0.4)	$ (7.6)	$ (8.4)	$ (9.3)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ (9.3)	$ (1.8)	$ (7.5)	-416.7%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2015-16
(Amounts in millions)

EXHIBIT M

	2015									2016			4 Months Ended July 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2015	2014	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 11.5	$ 11.2	$ 11.2	$ 11.2									$ 11.5	$ 10.9	$ 0.6	5.5%
RECEIPTS:																
Miscellaneous Receipts	(0.3)	-	0.1	0.1									(0.1)	0.5	(0.6)	-120.0%
Total Receipts	(0.3)	-	0.1	0.1	-	-	-	-	-	-	-	-	(0.1)	0.5	(0.6)	-120.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	-	0.1	0.1									0.2	0.1	0.1	100.0%
Non-Personal Service	-	-	-	-									-	-	-	0.0%
General State Charges	-	-	-	-									-	-	-	0.0%
Total Disbursements	-	-	0.1	0.1	-	-	-	-	-	-	-	-	0.2	0.1	0.1	100.0%
Excess (Deficiency) of Receipts over Disbursements	(0.3)	-	-	-	-	-	-	-	-	-	-	-	(0.3)	0.4	(0.7)	-175.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-									-	-	-	0.0%
Transfers to Other Funds	-	-	-	-									-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(0.3)	-	-	-	-	-	-	-	-	-	-	-	(0.3)	0.4	(0.7)	-175.0%
Ending Fund Balance	$ 11.2	$ 11.2	$ 11.2	$ 11.2	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 11.2	$ 11.3	$ (0.1)	-0.9%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF JULY 2015
(Amounts in millions)

SCHEDULE 1

	BALANCE JULY 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2015
GENERAL FUND					
10000-10049-Local Assistance Account	$ -	$ 0.012	$ 1,644.185	$ 1,644.173	$ -
10050-10099-State Operations Account	10,992.792	3,015.569	2,747.228	(1,705.731)	9,555.402
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	71.004	-	1.240	-	69.764
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	27.562	27.562	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	**11,063.796**	**3,043.143**	**4,420.215**	**(61.558)**	**9,625.166**
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	2.201	0.013	0.007	-	2.207
20100-20299-Combined Expendable Trust	66.866	0.346	1.175	-	66.037
20300-20349-New York Interest on Lawyer Account	14.265	1.040	1.106	-	14.199
20350-20399-NYS Archives Partnership Trust	0.413	-	0.023	-	0.390
20400-20449-Child Performer's Protection	0.247	0.010	0.024	-	0.233
20450-20499-Tuition Reimbursement	5.080	0.218	0.227	(0.320)	4.751
20500-20549-New York State Local Government Records Management Improvement	3.399	0.753	0.229	(0.782)	3.141
20550-20599-School Tax Relief	2.144	-	0.451	-	1.693
20600-20649-Charter Schools Stimulus	2.997	-	0.206	-	2.791
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	234.730	524.961	592.379	(0.357)	166.955
20850-20899-Dedicated Mass Transportation Trust	74.939	53.450	69.570	-	58.819
20900-20949-State Lottery	532.002	310.200	2.849	-	839.353
20950-20999-Combined Student Loan	15.581	2.412	0.337	-	17.656
21000-21049-Sewage Treatment Program Mgmt. & Administration	(2.450)	-	0.286	-	(2.736)
21050-21149-Encon Special Revenue	(23.857)	6.718	8.429	-	(25.568)
21150-21199-Conservation	79.616	3.581	3.316	-	79.881
21200-21249-Environmental Protection and Oil Spill Compensation	22.759	2.516	2.528	(3.108)	19.639
21250-21299-Training and Education Program on OSHA	9.488	-	3.636	-	5.852
21300-21349-Lawyers' Fund for Client Protection	7.631	0.671	0.113	-	8.189
21350-21399-Equipment Loan for the Disabled	0.511	0.004	-	-	0.515
21400-21449-Mass Transportation Operating Assistance	240.721	110.775	140.669	-	210.827
21450-21499-Clean Air	(19.499)	3.468	2.975	-	(19.006)
21500-21549-New York State Infrastructure Trust	0.067	-	-	-	0.067
21550-21599-Legislative Computer Services	11.018	0.036	0.116	-	10.938
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	3.490	-	0.012	-	3.478
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	-	-	-	0.001
21850-21899-Arts Capital Revolving	0.821	0.001	-	-	0.822
21900-22499-Miscellaneous State Special Revenue	1,082.708	233.582	660.202	684.189	1,340.277

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF JULY 2015
(Amounts in millions)

SCHEDULE 1

	BALANCE JULY 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2015
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22500-22549-Court Facilities Incentive Aid	65.203	0.007	7.516	-	57.694
22550-22599-Employment Training	0.049	-	-	-	0.049
22650-22699-State University Income	855.356	347.375	525.490	147.999	825.240
22700-22749-Chemical Dependence Service	38.622	0.392	0.530	-	38.484
22750-22799-Lake George Park Trust	0.133	-	0.143	-	(0.010)
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	45.361	9.322	1.369	-	53.314
22850-22899-New York Great Lakes Protection	0.316	-	0.011	-	0.305
22900-22949-Federal Revenue Maximization	0.023	-	-	-	0.023
22950-22999-Housing Development	9.949	0.001	0.189	-	9.761
23000-23049-NYS/DOT Highway Safety Program	(6.724)	0.018	0.385	-	(7.091)
23050-23099-Vocational Rehabilitation	0.145	0.006	-	(0.032)	0.119
23100-23149-Drinking Water Program Management and Administration	(6.886)	-	0.430	-	(7.316)
23150-23199-NYC County Clerks' Operations Offset	(30.261)	-	3.086	-	(33.347)
23200-23249-Judiciary Data Processing Offset	3.806	3.158	2.687	-	4.277
23250-23449-IFR/CUTRA	132.817	1.247	5.920	-	128.144
23500-23549-USOC Lake Placid Training	0.119	0.001	-	-	0.120
23550-23599-Indigent Legal Services	125.191	10.490	1.217	-	134.464
23600-23649-Unemployment Insurance Interest and Penalty	16.705	1.199	0.274	-	17.630
23650-23699-MTA Financial Assistance Fund	86.092	130.712	103.310	2.198	115.692
23700-23749-New York State Commercial Gaming Fund	(3.586)	-	0.052	-	(3.638)
23750-23799-Medical Marihuana Trust Fund	15.760	-	-	-	15.760
40350-40399-State University Dormitory Income	155.675	9.149	-	(23.681)	141.143
TOTAL SPECIAL REVENUE FUNDS-STATE	**3,871.754**	**1,767.832**	**2,143.474**	**806.106**	**4,302.218**
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(3.673)	162.025	186.376	(0.165)	(28.189)
25100-25199-Federal Health and Human Services	219.423	3,194.177	3,211.451	(186.474)	15.675
25200-25249-Federal Education	(9.053)	172.877	188.161	(0.220)	(24.557)
25300-25899-Federal Miscellaneous Operating Grants	(151.657)	117.036	130.627	(0.044)	(165.292)
25900-25949-Unemployment Insurance Administration	82.140	21.771	22.310	-	81.601
25950-25999-Unemployment Insurance Occupational Training	1.916	-	0.546	-	1.370
26000-26049-Federal Employment and Training Grants	(0.360)	16.452	16.829	-	(0.737)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	**138.736**	**3,684.338**	**3,756.300**	**(186.903)**	**(120.129)**
TOTAL SPECIAL REVENUE FUNDS	**4,010.490**	**5,452.170**	**5,899.774**	**619.203**	**4,182.089**
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	126.976	35.945	-	99.726	262.647
40150-40199-General Debt Service	49.320	930.438	94.015	(504.773)	380.970
40250-40299-State Housing Debt Service	-	0.893	-	(0.893)	-
40300-40349-Department of Health Income	31.668	8.886	-	(10.301)	30.253
40400-40449-Clean Water/Clean Air	5.590	81.741	-	(75.719)	11.612
40450-40499-Local Government Assistance Tax	2.215	248.390	-	(248.628)	1.977
TOTAL DEBT SERVICE FUNDS	**215.769**	**1,306.293**	**94.015**	**(740.588)**	**687.459**

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF JULY 2015
(Amounts in millions)

SCHEDULE 1

	BALANCE JULY 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2015
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	28.412	181.710	153.298	-
30050-30099-Dedicated Highway and Bridge Trust	(84.557)	172.870	163.856	(84.280)	(159.823)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	133.159	1.603	4.609	-	130.153
30300-30349-New York State Canal System Development	4.078	0.138	0.241	-	3.975
30350-30399-Parks Infrastructure	(21.458)	-	13.115	-	(34.573)
30400-30449-Passenger Facility Charge	0.014	-	-	-	0.014
30450-30499-Environmental Protection	100.233	12.998	16.185	-	97.046
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.451	-	-	-	1.451
30650-30659-Rebuild and Renew New York Transportation Bond	45.892	-	-	(4.370)	41.522
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.576	-	-	-	5.576
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.814	-	-	-	2.814
30690-30699-Clean Water/Clean Air Bond	16.066	-	-	(4.221)	11.845
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(397.189)	203.896	199.584	(0.747)	(393.624)
31450-31499-Forest Preserve Expansion	0.899	-	-	-	0.899
31500-31549-Hazardous Waste Remedial	(72.814)	7.725	9.759	3.014	(71.834)
31650-31699-Suburban Transportation	0.507	-	-	-	0.507
31700-31749-Division for Youth Facilities Improvement	(7.149)	-	1.740	-	(8.889)
31800-31849-Housing Assistance	(13.973)	-	-	-	(13.973)
31850-31899-Housing Program	(140.099)	-	33.480	-	(173.579)
31900-31949-Natural Resource Damage	14.891	(0.003)	0.045	-	14.843
31950-31999-DOT Engineering Services	(12.616)	-	0.112	-	(12.728)
32200-32249-Miscellaneous Capital Projects	38.989	1.002	(0.224)	5.000	45.215
32250-32299-CUNY Capital Projects	(0.023)	-	-	-	(0.023)
32300-32349-Mental Hygiene Facilities Capital Improvement	(426.310)	27.044	12.032	-	(411.298)
32350-32399-Correction Facilities Capital Improvement	(54.950)	-	17.640	-	(72.590)
32400-32999-State University Capital Projects	273.448	0.029	85.676	-	187.801
33000-33049-NYS Storm Recovery Fund	(43.763)	-	0.415	-	(44.178)
33050-33099 Dedicated Infrastructure Investment Fund	101.925	-	21.069	50.000	130.856
TOTAL CAPITAL PROJECTS FUNDS	**(526.544)**	**455.714**	**761.044**	**117.694**	**(714.180)**
TOTAL GOVERNMENTAL FUNDS	**$ 14,763.511**	**$ 10,257.320**	**$ 11,175.048**	**$ (65.249)**	**$ 13,780.534**

STATE OF NEW YORK **SCHEDULE 2**
PROPRIETARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF JULY 2015
(Amounts in millions)

FUND TYPE	BALANCE JULY 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2015
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	$ 0.195	$ 0.003	$ 0.013	$ -	$ 0.185
50050-50099-State Exposition Special	1.326	1.549	0.739	-	2.136
50100-50299-Correctional Services Commissary	3.045	2.935	3.326	-	2.654
50300-50399-Agencies Enterprise	2.829	0.329	0.285	-	2.873
50400-50449-Sheltered Workshop	1.721	0.076	0.059	-	1.738
50450-50499-Patient Workshop	1.575	0.001	0.033	-	1.543
50500-50599-Mental Hygiene Community Stores	4.028	0.093	0.122	-	3.999
50650-50699-Unemployment Insurance Benefit	45.542	201.739	180.702	-	66.579
TOTAL ENTERPRISE FUNDS	**60.261**	**206.725**	**185.279**	**-**	**81.707**
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(73.093)	37.105	24.797	(0.278)	(61.063)
55050-55099-Agency Internal Service	(120.492)	2.061	10.738	1.026	(128.143)
55100-55149-Mental Hygiene Revolving	0.353	0.067	0.063	-	0.357
55150-55199-Youth Vocational Education	0.053	0.001	-	-	0.054
55200-55249-Joint Labor and Management Administration	1.035	-	0.089	-	0.946
55250-55299-Audit and Control Revolving	(2.749)	-	1.952	-	(4.701)
55300-55349-Health Insurance Revolving	(13.692)	1.114	1.225	(0.001)	(13.804)
55350-55399-Correctional Industries Revolving	(17.348)	3.534	4.128	(0.012)	(17.954)
TOTAL INTERNAL SERVICE FUNDS	**(225.933)**	**43.882**	**42.992**	**0.735**	**(224.308)**
TOTAL PROPRIETARY FUNDS	**$ (165.672)**	**$ 250.607**	**$ 228.271**	**$ 0.735**	**$ (142.601)**

STATE OF NEW YORK **SCHEDULE 3**
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF JULY 2015
(Amounts in millions)

FUND TYPE	BALANCE JULY 1, 2015	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JULY 31, 2015
PENSION TRUST FUNDS					
65000-65049-Common Retirement Administration	$ (8.445)	$ 7.206	$ 8.017	$ -	$ (9.256)
TOTAL PENSION TRUST FUNDS	**(8.445)**	**7.206**	**8.017**	**-**	**(9.256)**
PRIVATE PURPOSE TRUST FUNDS					
66000-66049-Agriculture Producers' Security	2.357	0.001	0.012	-	2.346
66050-66099-Milk Producers' Security	8.867	0.059	0.028	-	8.898
TOTAL PRIVATE PURPOSE TRUST FUNDS	**11.224**	**0.060**	**0.040**	**-**	**11.244**
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	15.987	1.252	-	-	17.239
60150-60199-Child Performer's Holding	0.285	0.001	0.026	-	0.260
60200-60249-Employees Health Insurance	784.345	724.540	735.425	-	773.460
60250-60299-Social Security Contribution	15.350	117.018	117.287	-	15.081
60300-60399-Employee Payroll Withholding	(7.577)	447.234	411.152	-	28.505
60400-60449-Employees Dental Insurance	8.926	6.813	7.220	-	8.519
60450-60499-Management Confidential Group Insurance	0.508	1.033	1.041	-	0.500
60500-60549-Lottery Prize	388.235	100.833	79.300	-	409.768
60550-60599-Health Insurance Reserve Receipts	0.120	-	-	-	0.120
60600-60799-Miscellaneous New York State Agency	1,706.606	56.429	67.001	-	1,696.034
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	25.853	4.519	4.467	-	25.905
60850-60899-CUNY Senior College Operating	43.557	162.206	142.485	-	63.278
60900-60949-Medicaid Management Information System (MMIS) Escrow	208.659	5,477.143	5,549.038	64.514	201.278
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	60.870	125.738	-	-	186.608
61100-61999-State University Federal Direct Lending Program	(0.061)	23.417	27.139	-	(3.783)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	**3,251.663**	**7,248.176**	**7,141.581**	**64.514**	**3,422.772**
TOTAL FIDUCIARY FUNDS	**$ 3,254.442**	**$ 7,255.442**	**$ 7,149.638**	**$ 64.514**	**$ 3,424.760**

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF JULY 2015
(Amounts in millions)

SCHEDULE 4

FUND TYPE	BALANCE JULY 1, 2015	RECEIPTS	DISBURSEMENTS	BALANCE JULY 31, 2015
ACCOUNTS				
70000-70049-Tobacco Settlement	$ 2.711	$ 0.001	$ -	$ 2.712
70050-70149-Sole Custody Investment (*)	1,753.849	6,622.994	6,621.432	1,755.411
70200-Comptroller's Refund	-	129.733	129.733	-
TOTAL ACCOUNTS	**$ 1,756.560**	**$ 6,752.728**	**$ 6,751.165**	**$ 1,758.123**

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of July 31, 2015, $8,958,961.99 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2016

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APR. 1, 2015	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING JULY 31, 2015	INTEREST DISBURSED	
		MONTH OF JULY	4 MONTHS ENDED JULY 31, 2015	MONTH OF JULY	4 MONTHS ENDED JULY 31, 2015		MONTH OF JULY	4 MONTHS ENDED JULY 31, 2015
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	$ 151,044,395.19	$ -	$ -	$ -	$ 38,222,657.05	$ 112,821,738.14	$ -	$ 1,335,827.83
Clean Water/Clean Air:								
Air Quality	9,754,327.60	-	-	-	2,185,305.07	7,569,022.53	222.65	57,849.07
Safe Drinking Water	-	-	-	-	-	-	-	-
Water	429,426,360.50	-	-	-	6,304,925.43	423,121,435.07	5,782.22	1,719,572.43
Solid Waste	42,810,106.09	-	-	-	1,703,185.85	41,106,920.24	2,401.11	71,665.46
Environmental Restoration	91,604,900.75	-	-	-	165,000.00	91,439,900.75	357.63	300,760.74
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	5,286,209.05	-	-	-	1,957,750.21	3,328,458.84	-	86,812.21
Environmental Quality Protection (1972):								
Air	2,986,458.23	-	-	-	2,488,893.87	497,564.36	-	74,222.35
Land and Wetlands	10,029,155.14	-	-	-	2,531,960.59	7,497,194.55	-	125,283.69
Water	40,929,864.30	-	-	-	6,869,066.87	34,060,797.43	-	526,418.88
Environmental Quality (1986):								
Land and Forests	20,036,912.74	-	-	-	2,353,238.06	17,683,674.68	554.03	91,202.97
Solid Waste Management	226,116,165.97	-	-	-	11,962,586.30	214,153,579.67	1,846.92	963,721.68
Housing:								
Low Cost	19,890,000.00	-	-	-	1,690,000.00	18,200,000.00	-	282,000.00
Middle Income	17,285,000.00	-	-	-	-	17,285,000.00	-	-
Park and Recreation Land Acquisition	9,270.05	-	-	-	-	9,270.05	-	-
Pure Waters	38,693,774.38	-	-	-	4,334,483.95	34,359,290.43	-	406,974.02
Rail Preservation Development	747,162.13	-	-	-	304,723.49	442,438.64	-	15,881.48
Rebuild and Renew New York Transportation:								
Highway Facilities	855,692,480.44	-	-	-	-	855,692,480.44	-	1,943,320.53
Canals and Waterways	17,492,917.89	-	-	-	-	17,492,917.89	-	80,885.11
Aviation	51,322,171.86	-	-	-	-	51,322,171.86	-	-
Rail and Port	82,762,796.20	-	-	-	-	82,762,796.20	-	-
Mass Transit - Dept. of Transportation	7,992,060.77	-	-	-	-	7,992,060.77	-	-
Mass Transit - Metropolitan Transportation Authority	877,031,335.39	-	-	-	-	877,031,335.39	-	3,428,828.28
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	1,808,681.40	-	-	-	-	1,808,681.40	-	2,649.85
Rapid Transit, Rail and Aviation	7,849,619.16	-	-	-	1,498,633.78	6,350,985.38	-	122,315.85
Transportation Capital Facilities:								
Aviation	9,069,490.58	-	-	-	1,602,875.60	7,466,614.98	-	106,374.79
Mass Transportation	78,383.65	-	-	-	39,713.88	38,669.77	-	2,014.23
Total General Obligation Bonded Debt	**$ 3,017,749,999.46**	**$ -**	**$ -**	**$ -**	**$ 86,215,000.00**	**$ 2,931,534,999.46**	**$ 11,164.56**	**$ 11,744,581.45**

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE FOUR MONTHS ENDED JULY 31, 2015

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE FUND (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS 4 MONTHS ENDED JULY 31 2015	COMBINED TOTALS 4 MONTHS ENDED JULY 31 2014	$ INCREASE/ (DECREASE)
Payments to Public Authorities:										
Payments to Public Authorities:										
City University Construction	$ -	$ 166,518,520	$ -	$ -	$ -	$ -	$ -	$ 166,518,520	$ 124,977,652	$ 41,540,868
Dormitory Authority:										
Albany County Airport	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DASNY Revenue Bond	-	-	-	-	-	-	-	-	119,556,619	(119,556,619)
David Axelrod Institute	-	-	-	-	-	-	-	-	-	-
Department of Health Facilities	-	-	14,100,513	-	-	-	-	14,100,513	14,101,613	(1,100)
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
Education	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
Health Care	-	-	-	-	-	-	-	-	-	-
Mental Health Facilities	-	-	-	-	-	-	-	-	-	-
OGS Parking	-	-	-	-	-	-	-	-	-	-
Sales Tax Revenue Bond	-	-	-	-	-	-	-	-	-	-
Secured Hospital Program	-	-	-	-	-	-	-	-	-	-
State Department of Education Facilities	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	-	-	-	-	13,224,924	-	13,224,924	14,456,854	(1,231,930)
Housing Finance Agency	-	-	-	-	-	-	-	-	-	-
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	365,825	(365,825)
Metropolitan Transportation Authority										
Transit and Commuter Rail Projects	-	42,041,932	-	-	-	-	-	42,041,932	37,811,550	4,230,382
Thruway Authority:										
Dedicated Highway and Bridge	-	306,348,764	-	-	-	-	-	306,348,764	310,489,668	(4,140,904)
Local Highway and Bridge	-	-	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-	-	-	-
Urban Development Corporation:										
Center for Industrial Innovation at RPI	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Program	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
Syracuse University Science and Technology Center	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	28,577,186	-	28,577,186	34,966,202	(6,389,016)
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	$ -	$ 514,909,216	$ 14,100,513	$ -	$ -	$ 41,802,110	$ -	$ 570,811,839	$ 656,725,983	$ (85,914,144)

STATE OF NEW YORK **SCHEDULE 6**
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF JULY 2015
AS REQUIRED OF THE STATE COMPTROLLER
(Amounts in millions)

	JULY 2015	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE JULY 2014
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	$ 14,268.7	$ 13,557.6	$ 7,448.2
AVERAGE YIELD (**)	0.134%	0.115%	0.128%
TOTAL INVESTMENT EARNINGS	$ 1.686	$ 5.311	$ 2.881

Month-End Portfolio Balances

DESCRIPTION	JULY 2015 PAR AMOUNT	JULY 2014 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	$ 900.0	$ 500.0
REPURCHASE AGREEMENTS	26.7	474.6
COMMERCIAL PAPER	11,515.3	4,581.2
CERTIFICATES OF DEPOSIT/SAVINGS	1,469.4	3,953.0
0% COMPENSATING BALANCE CDs	5,155.0	4,953.0
	$ 19,066.4	**$ 14,461.8**

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2015-16

APPENDIX A

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31, 2015
OPENING CASH BALANCE	$ 14,124,710	$ 41,637,489	$ 141,013,682	$ 234,729,931									$ 14,124,710
RECEIPTS:													
Cigarette Tax	83,184,099	72,230,176	89,595,330	85,676,433									330,686,038
State Share of NYC Cigarette Tax	3,562,000	3,238,000	3,344,000	3,782,000									13,926,000
STIP Interest	24,861	21,536	29,065	36,958									112,420
Public Asset Transfers	-	-	-	-									-
Assessments	309,539,056	374,780,454	442,548,585	419,142,763									1,546,010,858
Fees	581,000	83,000	1,495,000	478,000									2,637,000
Rebates	3,396,937	-	967,527	15,598,038									19,962,502
Restitution and Settlements	-	-	-	-									-
Miscellaneous	65,000	-	-	247,000									312,000
Total Receipts	**400,352,953**	**450,353,166**	**537,979,507**	**524,961,192**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,913,646,818**
DISBURSEMENTS:													
Grants	353,036,557	348,765,808	440,699,008	589,058,681									1,731,560,054
Interest - Late Payments	-	2	63	24									89
Personal Service	833,823	398,373	537,119	983,435									2,752,750
Non-Personal Service	1,889,246	581,716	1,869,148	1,912,548									6,252,658
Employee Benefits/Indirect Costs	1,253,638	489,467	36,335	424,566									2,204,006
Total Disbursements	**357,013,264**	**350,235,366**	**443,141,673**	**592,379,254**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,742,769,557**
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-									-
Transfers to General Fund	-	-	-	-									-
Transfers to Revenue Bond Tax Fund	-	-	-	-									-
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	-	-	-									-
Empire State Stem Cell Trust Account	15,148,000	-	-	-									15,148,000
Transfers to SUNY Income Fund	678,910	741,607	1,121,585	356,558									2,898,660
Total Operating Transfers	**15,826,910**	**741,607**	**1,121,585**	**356,558**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**18,046,660**
Total Disbursements and Transfers	**372,840,174**	**350,976,973**	**444,263,258**	**592,735,812**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,760,816,217**
CLOSING CASH BALANCE	$ 41,637,489	$ 141,013,682	$ 234,729,931	$ 166,955,311	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 166,955,311

STATE OF NEW YORK **APPENDIX B**
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2015-2016

Program/Purpose	Appropriation Amount (*)	April	May	June	July	4 Months Ended July 31, 2015 (**)
AIDS INSTITUTE PROGRAM	**$ 75,016,000**					
COMMUNITY SERVICE PROG - HIGH RISK		$ -	$ -	$ -	$ -	$ -
HIV CLINICAL AND PROVIDER EDUCATION		-	-	-	-	-
HIV HEALTH CARE SUPPORTIVE SERVICES		-	-	-	-	-
HIV STD HEPATITIS C PREVENTION		-	-	-	-	-
INFANTS AND PREGNANT WOMEN		-	-	-	-	-
REGIONAL AND TARGETED		-	-	-	-	-
AUDIT, COLLECTION, AND ENFORCEMENT PROGRAM	**4,095,000**					
CIGARETTE STRIKE TASK FORCE		-	-	-	-	-
CENTER FOR COMMUNITY HEALTH PROGRAM	**144,408,082**					
ADEPHI UNIVRST CANC SPRT PRG		-	-	-	-	-
BRST CANCER HOTLINE - ADELPHI		-	-	-	-	-
CENTER FOR COMMUNITY HLTH		662,873	125,028	126,465	186,392	1,100,758
EVIDENCE BASED CANCER SVC		-	-	-	-	-
FAMILY PLANNING		-	-	-	-	-
HYPERTENSION PREVENTION TREATMENT		-	-	-	-	-
INDIAN HEALTH PROGRAM		(6)	-	(123)	-	(129)
LEAD POISONING PREVENTION		-	-	-	-	-
MATERNITY AND EARLY CHHOOD FOUNDATION		-	-	-	-	-
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		-	-	-	-	-
PRENATAL CARE ASSISTANCE PROGRAM		-	-	-	-	-
PUBLIC HEALTH CAMPAIGN		-	-	-	-	-
RAPE CRISIS		-	-	-	-	-
SCHOOL BASED HEALTH PROGRAM		-	-	-	-	-
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		-	-	-	-	-
TOBACCO ENFORCEMENT		-	-	-	-	-
TUBERCULOSIS		-	-	-	-	-
CHILD HEALTH INSURANCE PROGRAM	**986,150,400**					
CHILD HEALTH INSURANCE		22,000,021	23,585,979	23,848,768	23,041,788	92,476,556
COMMUNITY SUPPORT PROGRAM	**165,000**					
COMMUNITY SUPPORT		-	15,000	-	15,000	30,000
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	**273,574,000**					
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE		2,612,247	12,921,913	12,374,624	7,494,778	35,403,562
HEALTH CARE REFORM ACT PROGRAM	**1,829,386,084**					
AIDS DRUG ASSISTANCE		-	-	-	-	-
AMBULATORY CARE TRAINING		69,204	73,965	68,109	-	211,278
AREA HEALTH EDUCATION CENTER		-	-	-	-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS		-	-	-	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE		-	-	-	-	-
DIVERSITY IN MEDICINE		-	-	76,666	-	76,666
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		-	-	-	-	-
HCRA PAYOR/PROVIDER AUDITS		-	-	215,520	-	215,520
HEALTH FACILITY RESTRUCTURING DASNY		-	-	19,600,000	-	19,600,000
HEALTH WORKFORCE RETRAINING		302,210	405,756	901,623	23,519	1,633,108
INFERTILITY SERVICES GRANTS		-	374,793	-	24,608	399,401
MEDICAL INDEMNITY FUND		-	-	-	-	-
PART 405.4 HOSPITAL AUDITS		-	-	-	-	-
PART 405.4 HOSPITAL AUDITS NYCRR		226,371	-	64,677	-	291,048

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2015-2016

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April	May	June	July	4 Months Ended July 31, 2015 (**)
PAY FOR PERFORMANCE		-	-	-	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE		-	-	-	127,400,000	127,400,000
PHYSICIAN LOAN REPAYMENT		-	335,104	282,294	216,812	834,210
PHYSICIAN PRACTICE SUPPORT		-	200,000	53,540	100,000	353,540
PHYSICIAN WORKFORCE STUDIES		-	-	-	-	-
POISON CONTROL CENTERS		-	-	-	-	-
POOL ADMINISTRATION		187,751	-	444,328	397,083	1,029,162
ROSWELL PARK CANCER INSTITUTE		-	-	21,777,000	-	21,777,000
RPCI CANC RSRCH OPERATING COSTS		-	-	-	-	-
RURAL HEALTH CARE ACCESS		-	-	49,123	778,950	828,073
RURAL HEALTH NETWORK		145,107	803,403	538,948	120,642	1,608,100
SCHOOL BASED HEALTH CENTERS		-	-	-	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN		-	-	-	-	-
TOBACCO USE PREVENTION/CONTROL		-	-	-	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION		-	-	-	-	-
MEDICAL ASSISTANCE PROGRAM	**26,412,176,000**					
BREAST AND CERVICAL CANCER		-	-	-	-	-
DISABLED PERSONS		-	-	-	-	-
FAMILY HEALTH PLUS		-	-	-	-	-
FINANCIAL ASSISTANCE		-	-	-	-	-
HOME HEALTH RATE INCREASE		-	-	-	-	-
INPATIENT NURSING HOME PHARMACIES		-	-	-	-	-
MEDICAID INDIGENT CARE		89,790,790	66,086,034	31,500,000	97,583,421	284,960,245
MEDICAL ASSISTANCE		240,000,000	244,944,000	331,148,000	332,956,000	1,149,048,000
NYC MEDICAID		-	-	-	-	-
PHYSICIAN SERVICES		-	-	-	-	-
PRIMARY CARE CASE MANAGEMENT		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN NYC (***)		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)		-	-	-	-	-
SUPPLEMENTAL MEDICAL INSURANCE		-	-	-	-	-
OFFICE OF HEALTH INSURANCE PROGRAM	**9,664,200**					
OFFICE OF HEALTH INSURANCE		247,580	199,439	(90,626)	61,299	417,692
OFFICE OF HEALTH SYSTEMS MANAGEMENT	**47,052,200**					
OFFICE HEALTH SYSTEMS MANAGEMENT		1,448,271	906,576	1,285,677	2,334,061	5,974,585
OFFICE OF LONG TERM CARE	**8,582,001**					
ADULT HOME INITIATIVE		-	-	-	-	-
ENABLE AIR CONDITIONING		-	-	-	-	-
ENABLE QUALITY OF LIFE		-	-	-	-	-
QUALITY PROG ADULT CARE FACILITIES		-	-	-	-	-
TOTAL	**29,790,268,967**	**357,692,419**	**350,976,990**	**444,264,613**	**592,734,353**	**1,745,668,375**
Transfer to the General Fund - State Purposes Account (for administration of the program)	89,000					
Reclass of SUNY Hospital Disprop Share to Transfer		(678,910)	(741,607)	(1,121,585)	(356,558)	(2,898,660)
Reclass of SUNY Hospital Poison Control Centers to Transfer						
Reconciling Adjustment (P-Card and T-Card)		(245)	(17)	(1,355)	1,459	(158)
TOTAL APPROPRIATED AMOUNT	**$ 29,790,357,967**	**$ 357,013,264**	**$ 350,235,366**	**$ 443,141,673**	**$ 592,379,254**	**$ 1,742,769,557**

(*) Includes amounts appropriated in SFY 2015-16, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK **APPENDIX C**
SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - July 2015
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009(*)

Federal CFDA No.	Federal Agency	Program	July	Life-to-Date
Education				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	$ -	$ 5,824,761.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	-	10,057,887.00
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	-	399,900.00
84.033	Department of Education	Federal Work-Study Program	-	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	-	147,198,591.00
84.384	Department of Education	Statewide Data Systems, Recovery Act	61,282.08	19,314,513.13
84.385	Department of Education	Teacher Incentive Fund, Recovery Act	-	13,530,907.00
84.386	Department of Education	Education Technology State Grants, Recovery Act	-	53,551,200.24
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	-	6,039,255.00
84.388	Department of Education	School Improvement Grants, Recovery Act	-	260,866,068.00
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	-	906,803,696.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	-	25,694,044.00
84.391	Department of Education	Special Education Grants to States, Recovery Act	-	755,867,980.05
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	-	34,302,395.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	-	2,468,557,791.00
84.395	Department of Education	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act	26,661,895.18	613,457,965.03
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	-	527,364,018.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	-	856,884.00
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	-	2,297,731.00
84.410	Department of Education	Education Jobs Fund	-	616,479,620.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	-	411,249.00
		Total Education	**26,723,177.26**	**6,470,979,216.50**
Energy and Environment				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	-	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	-	763,000.00
66.039	Environmental Protection Agency	National Clean Diesel Emissions Reduction Program	-	1,000,000.00
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	-	1,635,086.95
66.454	Environmental Protection Agency	Water Quality Management Planning	-	4,132,731.39
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	-	432,564,200.00
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	-	86,811,000.00
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	-	9,212,000.00
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	-	395,730,364.84
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis	-	1,235,199.97
		Total Energy and Environment	**-**	**933,091,195.01**
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	-	4,891,302.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	-	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	-	4,148,718.00
		Total Food and Nutrition Services	**-**	**11,082,466.00**
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants and Children (WIC)	-	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	-	24,402,283.00
10.578	Department of Agriculture	WIC Grants To States (WGS)	-	4,172,768.48
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	-	26,951,329.00
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	-	26,406,387.04
93.563	Health and Human Services	Child Support Enforcement	-	101,131,818.69
93.658	Health and Human Services	Foster Care- Title IV-E	-	54,868,712.61
93.659	Health and Human Services	Adoption Assistance	-	60,062,684.00
93.708	Health and Human Services	ARRA - Head Start	-	5,577,399.87
93.712	Health and Human Services	ARRA - Immunization	-	4,275,750.26
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	-	96,785,640.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	-	723,023,290.00

STATE OF NEW YORK **APPENDIX C**
SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - July 2015
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009(*)

Federal CFDA No.	Federal Agency	Program	July	Life-to-Date
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	-	1,166,708.33
93.778	Health and Human Services	Medical Assistance Program (FMAP)	7,935,577.34	14,065,948,333.63
94.006	Corporation for National and Community Service	AmeriCorps	370,185.80	7,710,093.50
		Total Health and Social Services	**8,305,763.14**	**15,207,952,176.41**
Housing				
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	-	21,875,000.00
93.710	Health and Human Services	ARRA - Community Services Block Grant	-	85,384,063.91
		Total Housing	**-**	**107,259,063.91**
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	-	22,855,217.00
17.225	Department of Labor	Unemployment Insurance	522,180.53	16,668,956,417.15
17.235	Department of Labor	Senior Community Service - Employment Program	-	1,539,762.38
17.258	Department of Labor	Workforce Investment Act - Adult Program	-	31,516,111.00
17.259	Department of Labor	Workforce Investment Act - Youth Activities	-	71,526,360.00
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	-	70,633,412.47
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	-	1,112,175.14
		Total Labor	**522,180.53**	**16,868,139,455.14**
Public Protection				
11.558	Department of Commerce	State Broadband Data and Development Grant Program	-	8,288,986.96
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	-	7,416,726.40
16.588	Department of Justice	Violence Against Women Formula Grants	-	7,274,394.35
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	-	1,618,399.10
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	-	1,788,999.08
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	-	2,828,986.58
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	-	66,946,360.41
		Total Public Protection	**-**	**96,162,852.88**
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	-	932,335,656.74
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants	14,209,997.98	85,543,174.70
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	-	23,215,239.28
		Total Transportation	**14,209,997.98**	**1,041,094,070.72**
		TOTAL ARRA DISBURSEMENTS	**$ 49,761,118.91**	**$ 40,735,760,496.57**

(*)On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct Federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services.

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2015-16

	1st Quarter APRIL - JUNE	2015 JULY	2015-16
OPENING CASH BALANCE	**$ 270,482,263.50**	**$ 304,918,267.37**	**$ 270,482,263.50**
RECEIPTS:			
Patient Services	763,706,269.23	281,221,705.35	1,044,927,974.58
Covered Lives	272,043,413.27	89,389,330.27	361,432,743.54
Provider Assessments	25,296,971.02	8,958,290.19	34,255,261.21
1% Assessments	89,656,125.28	28,771,990.48	118,428,115.76
DASNY- MOE/Recast receivables	-	-	-
Interest Income	50,263.22	20,635.49	70,898.71
Unassigned	(115,989.64)	1,537.00	(114,452.64)
Total Receipts	**1,150,637,052.38**	**408,363,488.78**	**1,559,000,541.16**
PROGRAM DISBURSEMENTS:			
Poison Control Centers	-	-	-
School Based Health Center Grants	-	-	-
ECRIP Distributions	-	-	-
Total Program Disbursements	**-**	**-**	**-**
Excess (Deficiency) of Receipts over Disbursements	**1,150,637,052.38**	**408,363,488.78**	**1,559,000,541.16**
OTHER FINANCING SOURCES (USES):			
Transfers From Other Pools:			
Medicaid Disproportionate Share	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	10,665,903.00	3,700,628.00	14,366,531.00
Transfers From State Funds:			
HCRA Resources Fund	-	-	-
Total Other Financing Sources	**10,665,903.00**	**3,700,628.00**	**14,366,531.00**
Transfers To Other Pools:			
Medicaid Disproportionate Share	-	-	-
Health Facility Assessment Fund	-	-	-
Transfers To State Funds:			
HCRA Resources Fund	(969,904,008.80)	(289,111,930.08)	(1,259,015,938.88)
Indigent Care Fund (matched)	(152,741,057.90)	(128,302,143.20)	(281,043,201.10)
Indigent Care Fund (non-matched)	(4,221,884.81)	(1,727,813.68)	(5,949,698.49)
Total Other Financing Uses	**(1,126,866,951.51)**	**(419,141,886.96)**	**(1,546,008,838.47)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**34,436,003.87**	**(7,077,770.18)**	**27,358,233.69**
CLOSING CASH BALANCE	**$ 304,918,267.37**	**$ 297,840,497.19**	**$ 297,840,497.19**

Source: HCRA - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2015-16

	1st Quarter APRIL - JUNE	2015 JULY	2015-16
OPENING CASH BALANCE	**$ 575.10**	**$ 875.58**	**$ 575.10**
RECEIPTS:			
Interest Income	1,444.25	609.54	2,053.79
Total Receipts	**1,444.25**	**609.54**	**2,053.79**
PROGRAM DISBURSEMENTS:			
Indigent Care	(153,576,647.58)	(129,034,492.88)	(282,611,140.46)
High Need Indigent Care	-	-	-
Other	(2,550,705.45)	-	(2,550,705.45)
Total Program Disbursements	**(156,127,353.03)**	**(129,034,492.88)**	**(285,161,845.91)**
Excess (Deficiency) of Receipts over Disbursements	**(156,125,908.78)**	**(129,033,883.34)**	**(285,159,792.12)**
OTHER FINANCING SOURCES (USES):			
Transfers From Other Pools:			
Public Goods Pool	-	-	-
Health Facility Assessment Fund	-	-	-
Transfers From State Funds:			
HCRA Resources Indigent Care - Matched	76,370,528.95	64,151,071.60	140,521,600.55
HCRA Resources Indigent Care - Unmatched	3,386,295.13	732,349.68	4,118,644.81
HCRA Resources Indigent Care - ATB	-	-	-
Federal DHHS Fund	76,370,528.95	64,151,071.60	140,521,600.55
Other	-	-	-
Total Other Financing Sources	**156,127,353.03**	**129,034,492.88**	**285,161,845.91**
Transfers To Other Pools:			
Public Goods Pool	-	-	-
Health Facility Assessment Fund	-	-	-
Transfers To State Funds:			
HCRA Resources Fund Indigent Care Acct	(1,143.77)	(875.58)	(2,019.35)
Total Other Financing Uses	**(1,143.77)**	**(875.58)**	**(2,019.35)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**300.48**	**(266.04)**	**34.44**
CLOSING CASH BALANCE	**$ 875.58**	**$ 609.54**	**$ 609.54**

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2015-2016
(Amounts in thousands)

APPENDIX F

	2015 APRIL	2015 MAY	2015 JUNE	2015 JULY	2015 AUGUST	2015 SEPTEMBER	2015 OCTOBER	2015 NOVEMBER	2015 DECEMBER	2016 JANUARY	2016 FEBRUARY	2016 MARCH	2015-2016 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	$ -	$ -	$ -	$ -									$ -
Education - EXCEL	8,242	-	9,944	306									18,492
Department of Health - All Other	-	3	10	-									13
Community Enhancement Facilities Assistance Program (CEFAP)	175	2	45	-									222
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	414	325	379	288									1,406
Multi-modal	-	-	-	-									-
GenNYsis	-	-	-	-									-
CUNY Senior Colleges	26,448	4,466	30,982	21,399									83,295
CUNY Community Colleges	2,403	1,286	2,860	1,947									8,496
SUNY Dormitories	3,892	5,459	5,340	7,400									22,091
Upstate Community Colleges	4,831	1,796	3,971	3,481									14,079
Mental Health	7,792	3,356	14,319	6,519									31,986
Developmental Disabilities	1,634	813	1,576	2,756									6,779
Alcoholism and Substance Abuse	297	47	400	221									965
Brooklyn Court Officer Training Academy	21	204	1,262	412									1,899
TOTAL DORMITORY AUTHORITY	**56,149**	**17,757**	**71,088**	**44,729**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**189,723**
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	5,812	-	-									5,812
Community Capital Assistance Program (CCAP)	-	2,033	19	-									2,052
Empire Opportunity	-	-	-	-									-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-									-
State Facilities and Equipment	-	4,655	-	-									4,655
TOTAL EMPIRE STATE DEVELOPMENT CORP	**-**	**12,500**	**19**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**12,519**
TOTAL OFF-BUDGET	**$ 56,149**	**$ 30,257**	**$ 71,107**	**$ 44,729**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 202,242**

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX G

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	April 30, 2015	May 31, 2015	June 30, 2015	Change	July 31, 2015	
	GENERAL FUND						
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	$ -	$ -	$ -	$ -	$ -	(***)
	TOTAL GENERAL FUND	-	-	-	-	-	
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	185,691,943.88	229,836,281.57	240,337,621.14	(4,007,555.63)	236,330,065.51	
30101	REHAB/REPAIR MARITIME	-	-	-	-	-	
30102	D21RVE- MARITIME	-	-	-	-	-	
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-	
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	5,665,756.91	1,588,184.12	4,126,597.34	(1,428,373.88)	2,698,223.46	
30105	REHAB/REPAIR ALBANY	-	-	-	-	-	
30106	D01RVE- ALBANY	-	-	-	-	-	
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-	
30108	D07RVE- BINGHAMTON	-	-	-	-	-	
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-	
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-	
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-	
30112	D13RVE- STONYBROOK	-	-	-	-	-	
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-	
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-	
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-	
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-	
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-	
30118	D02RVE- BROCKPORT	-	-	-	-	-	
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-	
30120	D03RVE -SUB BUFFALO	-	-	-	-	-	
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-	
30122	D04RVE- CORTLAND	-	-	-	-	-	
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-	
30124	D05RVE- FREDONIA	-	-	-	-	-	
30125	REHAB/REPAIR GENESEO	-	-	-	-	-	
30126	D06RVE- GENESEO	-	-	-	-	-	
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-	
30128	D31RVE- OLD WESTBURY	-	-	-	-	-	
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-	
30130	D08RVE- NEW PALTZ	-	-	-	-	-	
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-	
30132	D09RVE- ONEONTA	-	-	-	-	-	
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-	
30134	D10RVE- OSWEGO	-	-	-	-	-	
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-	
30136	D11RVE- PLATTSBURGH	-	-	-	-	-	
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-	
30138	D12RVE- POTSDAM	-	-	-	-	-	
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-	
30140	D29RVE- PURCHASE	-	-	-	-	-	
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-	
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-	
30143	REHAB/REPAIR ALFRED	-	-	-	-	-	
30144	D22RVE- ALFRED	-	-	-	-	-	
30145	REHAB/REPAIR CANTON	-	-	-	-	-	
30146	D23RVE- CANTON	-	-	-	-	-	
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-	
30148	D24RVE- COBLESKILL	-	-	-	-	-	
30149	REHAB/REPAIR DELHI	-	-	-	-	-	
30150	D25RVE- DELHI	-	-	-	-	-	
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-	
30152	D26RVE- FARMINGDALE	-	-	-	-	-	
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-	
30154	D27RVE- MORRISVILLE	-	-	-	-	-	
30351	STATE PARK INFRASTRUCTURE	92,884,081.10	101,398,801.29	21,458,466.25	13,114,528.95	34,572,995.20	
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-	
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-	
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-	
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-	
31506	HAZARDOUS WASTE CLEAN UP	134,680,573.01	143,061,508.39	79,430,900.48	5,838,954.66	85,269,855.14	
31701	YOUTH FACILITIES IMPROVEMENT	8,756,072.92	10,660,987.56	7,149,132.06	1,740,192.18	8,889,324.24	
31801	HOUSING ASSISTANCE	13,972,846.05	13,972,846.05	13,972,846.05	-	13,972,846.05	
31851	HOUSING PROG FD-HSG TR FD CORP	12,733,101.42	12,733,101.42	12,733,101.42	27,130,526.00	39,863,627.42	
31852	HOUSING PROG FD AFFORD HSG CORP	32,666,509.62	32,666,509.62	32,666,509.62	6,320,000.00	38,986,509.62	
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	84,725,928.96	84,746,642.18	94,991,429.91	29,668.76	95,021,098.67	
31854	HOUSING PROG FD-HFA	-	-	-	-	-	

APPENDIX G

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	April 30, 2015	May 31, 2015	June 30, 2015	Change	July 31, 2015
31951	HIGHWAY FAC PURPOSE	12,615,952.17	12,615,952.17	12,615,952.17	111,599.09	12,727,551.26
32213	NY RACING ACCOUNT	1,255,000.00	1,255,000.00	1,255,000.00	(1,101,250.00)	153,750.00
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUINTY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	119,060,085.35	121,355,001.85	119,073,145.01	4,651,658.90	123,724,803.91
32304	OASAS-COMMUNITY FACILITIES	-	-	-	-	-
32305	OPWDD-COMMUNITY FACILITIES	173,524,511.82	174,124,035.68	175,033,035.68	1,921,655.02	176,954,690.70
32306	DASNY - OMH ADMIN	29,756,256.27	30,005,302.07	32,109,463.21	59,434.62	32,168,897.83
32307	DASNY - OPWDD ADMIN	2,772,711.62	2,772,711.62	6,293,211.62	(1,303,191.46)	4,990,020.16
32308	DASNY - OASAS ADMIN	165,276.03	564,776.03	564,776.03	(134,465.37)	430,310.66
32309	OMH -STATE FACILITIES	94,613,093.09	101,140,877.48	105,939,190.04	4,541,246.96	110,480,437.00
32310	OPWDD -STATE FACILITIES	-	-	-	-	-
32311	OASAS -STATE FACILITIES	1,797,798.02	1,853,445.96	2,030,027.55	108,202.92	2,138,230.47
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	51,446,414.92	78,584,428.84	55,542,496.79	17,640,189.97	73,182,686.76
33001	STORM RECOVERY ACCOUNT	43,372,491.81	43,763,264.04	43,763,264.04	414,583.72	44,177,847.76
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	**1,102,156,404.97**	**1,198,699,657.94**	**1,061,086,166.41**	**75,647,605.41**	**1,136,733,771.82**
	STATE SPECIAL REVENUE FUNDS					
20451	TUITION REIMBURSEMENT FUND	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	16,644,204.18	40,230,182.81	64,078,671.33	(64,078,671.33)	-
20818	EPIC PREMIUM ACCOUNT	-	11,102,592.79	21,014,690.14	(21,014,690.14)	-
20901	LOTTERY-EDUCATION	-	-	-	-	-
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	-	2,240,337.61	2,450,056.52	286,039.76	2,736,096.28
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	-	-	-	694,245.21	694,245.21
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,124,506.60	3,683,656.22	3,823,842.80	171,665.52	3,995,508.32
21067	ENCON-RECREATION	10,663,502.94	10,261,250.53	10,704,324.31	13,830.65	10,718,154.96
21077	PUBLIC SAFETY RECOVERY ACCOUNT	506.59	506.59	3,008.47	2,063.00	5,071.47
21081	ENVIRONMENTAL REGULATORY	28,465,614.96	30,534,725.84	30,374,624.21	127,725.78	30,502,349.99
21082	NATURAL RESOURCES ACCOUNT	19,294,891.50	19,790,854.43	19,410,241.78	(145,655.44)	19,264,586.34
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	41,791.91	157,025.40	204,212.57	59,420.02	263,632.59
21202	HEALTH DEPT OIL SPILL	35,382.25	48,638.57	62,033.89	19,888.19	81,922.08
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	999,524.65	2,899,154.95	3,676,013.43	1,224,655.43	4,900,668.86
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	4,510,133.61	5,645,979.15	3,202,747.35	(3,202,747.35)	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	47,963,705.32	(47,963,705.32)	-
21451	OPERATING PERMIT PROGRAM	20,427,075.27	21,189,479.50	21,818,460.44	568,248.54	22,386,708.98
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARCS	-	-	-	-	-
21903	OPWDD PROVIDER OF SERVICE	321,786.22	681,554.37	1,003,829.45	299,347.03	1,303,176.48
21907	MENTAL HYGIENE PROGRAM	38,966,818.47	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	48,873,470.30	(48,873,470.30)	-
21911	FINANCIAL CONTROL BOARD	267,508.72	373,176.98	473,892.90	(323,648.31)	150,244.59
21912	RACING REGULATION ACCOUNT	5,763,318.67	6,270,169.82	6,874,660.84	(351,269.43)	6,523,391.41
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	13,230,746.72	13,749,733.35	14,498,859.56	231,250.57	14,730,110.13
21937	SU DORM INCOME REIMBURSE	2,689,227.33	518,843.98	392,883.23	(212,367.85)	180,515.38
21943	ENERGY RESEARCH ACCOUNT	8,099,167.41	8,099,167.41	8,099,167.41	-	8,099,167.41
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21950	FINGERPRINT IDENTIFICATION AND TECH ACCOUNT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21962	CLINICAL LAB FEE	15,276,106.93	15,457,868.97	15,769,080.39	(1,750,017.15)	14,019,063.24
21978	INDIRECT COST RECOVERY	-	-	804,797.46	1,461,348.33	2,266,145.79
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	507,509.47	513,036.23	536,195.63	3,389.36	539,584.99
22007	PARKING ACCOUNT	771,841.20	114,422.32	597,393.83	(94,760.98)	502,632.85
22009	ASBESTOS SAFETY TRAINING	69,336.53	126,812.83	148,990.97	(112,185.77)	36,805.20
22032	BATAVIA SCHOOL FOR THE BLIND	7,868,539.37	7,179,949.97	7,916,266.81	130,855.14	8,047,121.95
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	172,789.77	622,669.46	796,412.68	(542,600.04)	253,812.64
22046	REGULATION INDIAN GAMING	63,614,984.97	64,244,429.70	64,850,539.21	866,180.55	65,716,719.76

APPENDIX G

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	April 30, 2015	May 31, 2015	June 30, 2015	Change	July 31, 2015	
22053	ROME SCHOOL FOR THE DEAF	2,031,702.52	1,900,253.77	2,678,997.29	160,495.67	2,839,492.96	
22054	DSP-SEIZED ASSETS	-	-	-	-	-	
22055	ADMINISTRATIVE ADJUDICATION	14,771,741.87	8,516,884.87	9,581,410.00	(7,677,133.57)	1,904,276.43	
22056	FEDERAL SALARY SHARING	43,908.28	151,126.47	256,196.89	174,682.21	430,879.10	
22062	NYC ASSESSMENT ACCT	-	-	-	-	-	
22063	CULTURAL EDUCATION ACCOUNT	3,616,562.90	2,510,010.08	3,812,729.87	(726,275.73)	3,086,454.14	
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-	
22085	DHCR MORTGAGE SERVICES	4,071,932.28	4,412,690.04	4,776,993.34	(371,202.56)	4,405,790.78	
22087	DMV-COMPULSORY INS PRGM	-	-	-	-	-	
22090	HOUSING INDIRECT COST RECOVERY	1,663,377.08	1,875,626.38	5,025,149.69	332,746.09	5,357,895.78	
22094	ACCIDENT PREVENTION COURSE PROGRAM	-	-	-	-	-	
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	-	-	-	-	-	
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-	
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-	
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-	
22151	DEFERRED COMPENSATION ADMIN	75,268.99	133,722.83	153,579.58	71,106.12	224,685.70	
22156	RENT REVENUE OTHER - NYC	-	800,325.85	6,493,569.96	3,168,756.97	9,662,326.93	
22158	RENT REVENUE	641,029.70	881,398.77	1,000,155.29	(74,266.99)	925,888.30	
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-	
22654	S.U. NON-RESIDENT REV. OFFSET	19,400,563.98	19,402,351.35	19,404,277.21	2,045.92	19,406,323.13	
22802	STATE POLICE MV ENFORCE	-	-	-	-	-	
23001	DOT - HIGHWAY SAFETY PRGM	6,670,976.63	6,934,568.60	6,723,994.23	367,493.64	7,091,487.87	
23101	EFC DRINKING WATER PROGRAM	-	-	-	-	-	
23102	DOH DRINKING WATER PROGRAM	6,188,667.32	6,494,911.53	6,886,141.83	429,759.18	7,315,901.01	
23151	NYCCC OPERATING OFFSET	22,539,915.77	28,011,892.03	30,261,134.80	3,086,020.64	33,347,155.44	
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-	
23702	COMMERCIAL GAMING REGULATION	2,097,597.04	3,362,178.61	3,586,116.04	52,368.32	3,638,484.36	
	TOTAL STATE SPECIAL REVENUE FUNDS	**346,640,060.60**	**351,124,160.96**	**501,063,519.25**	**(183,509,040.42)**	**317,554,478.83**	
	FEDERAL FUNDS						
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	44,391,190.90	19,640,836.54	20,937,452.75	25,660,810.91	46,598,263.66	
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	953,794,423.79	183,318,089.07	570,131,054.47	(325,819,218.30)	244,311,836.17	
25200-25249	FEDERAL EDUCATION GRANTS FUND	17,575,027.10	15,857,795.16	10,093,930.70	15,532,592.01	25,626,522.71	
25300-25899	FEDERAL OPERATING GRANTS FUND	376,275,648.30	304,019,592.08	292,585,338.71	4,200,343.48	296,785,682.19	
31351	MILITARY AND NAVAL AFFAIRS	6,834,152.75	6,830,600.75	6,795,295.75	(3,045.50)	6,792,250.25	
31354	DEPARTMENT OF TRANSPORTATION	349,946,238.25	384,729,868.11	345,705,728.89	(16,057,111.23)	329,648,617.66	
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	36,071,587.06	45,503,024.62	50,050,392.47	14,410,474.38	64,460,866.85	
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	1,232,096.50	17,035,280.65	1,232,096.50	467,750.42	1,699,846.92	
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	-	-	-	-	-	
26000-26049	DOL EMPLOYMENT AND TRAINING GRANTS	1,281,101.26	444,940.39	360,321.33	7,052,201.77	7,412,523.10	
	TOTAL FEDERAL FUNDS	**1,787,401,465.91**	**977,380,027.37**	**1,297,891,611.57**	**(274,555,202.06)**	**1,023,336,409.51**	(**)
	AGENCY FUNDS						
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-	
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-	
	TOTAL AGENCY FUNDS	**-**	**-**	**-**	**-**	**-**	
	ENTERPRISE FUND						
50318	OGS CONVENTION CENTER ACCOUNT	-	-	-	-	-	
	TOTAL ENTERPRISE FUND	**-**	**-**	**-**	**-**	**-**	
	INTERNAL SERVICE FUNDS						
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	926,773.96	(25,304.15)	901,469.81	
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-	
55003	CENTRALIZED SERVICES-PRINTING	3,480,606.94	3,392,698.46	3,097,886.64	275,837.99	3,373,724.63	
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	196,535.76	-	-	-	-	
55005	CENTRALIZED SERVICES-DONATED FOODS	630,128.62	332,045.49	-	-	-	
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-	
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	1,532,701.20	1,868,554.73	1,873,693.03	(123,893.84)	1,749,799.19	
55008	CENTRALIZED SERVICES-PASNY	31,189,721.56	14,450,052.13	11,567,836.36	(5,453,349.40)	6,114,486.96	
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-	
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	4,605,333.17	-	-	-	-	
55011	CENTRALIZED SERVICES-INSURANCE	2,060,379.17	2,218,997.44	3,162,862.72	539,696.97	3,702,559.69	
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	33,852.14	82,008.83	75,184.45	(3,267.50)	71,916.95	
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-	
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-	
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-	
55016	CENTRALIZED SERVICES-IMMICS	26,961.54	26,961.54	26,961.54	-	26,961.54	
55017	DOWNSTATE WAREHOUSE	66,930.68	29,021.73	168,731.77	(49,608.66)	119,123.11	
55018	BUILDING ADMINISTRATION	-	-	-	-	-	
55019	LEASE SPACE INITIATIVE	-	-	-	-	-	
55020	OGS ENTERPRISE CONTRACTING ACCT	74,838,818.33	73,644,834.79	71,099,641.94	(8,669,659.34)	62,429,982.60	

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	April 30, 2015	May 31, 2015	June 30, 2015	Change	July 31, 2015
55021	NYS MEDIA CENTER	2,700,957.32	2,869,187.51	3,090,615.84	253,000.96	3,343,616.80
55022	BUSINESS SERVICES CENTER	142,068.34	154,979.92	42,979.56	432,516.31	475,495.87
55052	ARCHIVES RECORD MGMT I.S.	-	-	84,554.79	(84,554.79)	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55055	CIVIL SERVICE LAW:SEC. 11 ADMIN	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	132,522.39	231,034.71	25,456.65	44,376.10	69,832.75
55058	CULTURAL RESOURCE SURVEY	2,411,728.49	2,585,407.68	3,145,937.41	230,076.40	3,376,013.81
55059	NEIGHBOR WORK PROJECT	10,387,053.52	10,612,500.04	10,483,127.03	322,012.64	10,805,139.67
55060	AUTOMATIC/PRINT CHARGBACKS	-	-	114,604.11	2,112,765.51	2,227,369.62
55061	OFT NYT ACCT	4,818,658.90	4,823,494.64	2,568,541.58	(191,852.78)	2,376,688.80
55062	DATA CENTER ACCOUNT	44,030,354.25	45,707,299.18	45,708,585.37	406,251.22	46,114,836.59
55066	CYBER SECURITY INTRUSION ACCT	1,481,019.57	1,471,257.02	1,452,195.39	-	1,452,195.39
55067	DOMESTIC VIOLENCE GRANT	242,751.98	109,172.30	150,922.60	(7,009.18)	143,913.42
55069	CENTRALIZED TECHNOLOGY SERVICES	43,755,891.16	57,311,034.99	71,491,572.81	4,883,940.75	76,375,513.56
55071	LABOR CONTACT CENTER ACCT	346,692.87	534,688.60	577,532.72	(275,988.81)	301,543.91
55072	HUMAN SERVICES CONTACT CNTR ACCT	-	381,607.45	404,331.72	324,495.71	728,827.43
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	2,468,423.73	2,903,653.84	3,078,994.17	243,606.77	3,322,600.94
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	-	-	-	1,378,713.86	1,378,713.86
55300	HEALTH INSURANCE INTERNAL SERVICE	8,446,973.92	9,146,650.55	9,493,552.22	(56,033.37)	9,437,518.85
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	3,787,591.67	4,066,187.44	4,198,746.70	167,459.47	4,366,206.17
55350	CORR INDUSTRIES INTERNAL SERVICE	16,420,108.29	19,073,658.68	17,347,696.78	606,059.00	17,953,755.78
	TOTAL INTERNAL SERVICE FUNDS	**260,234,765.51**	**258,026,989.69**	**265,459,519.86**	**(2,719,712.16)**	**262,739,807.70**
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	**$ 3,496,432,696.99**	**2,785,230,835.96**	**$ 3,125,500,817.09**	**$ (385,136,349.23)**	**$ 2,740,364,467.86**

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 60, Part I, Section 1 and 1A, of the Laws of 2015-16. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix G are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Except for DOT-Highways see note (**), temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND (*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2015-16

APPENDIX H

	2015 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2016 JANUARY	FEBRUARY	MARCH	4 Months Ended July 31, 2015
OPENING CASH BALANCE	$ -	$ -	$ -	$ 101,925,000									$ -
RECEIPTS:													
Transfers from General Fund (**)	-	-	101,925,000	50,000,000									151,925,000
Total Receipts	-	-	101,925,000	50,000,000	-	-	-	-	-	-	-	-	151,925,000
DISBURSEMENTS:													
Grants:													
Health Care / Hospital Initiatives	-	-	-	-									-
Upstate Revitalization Program	-	-	-	-									-
Capital Projects:													
Broadband Initiative	-	-	-	-									-
Infrastructure Improvements	-	-	-	-									-
Municipal Restructuring	-	-	-	-									-
Penn Station Access	-	-	-	-									-
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-									-
Southern Tier / Hudson Valley Farm Initiative	-	-	-	-									-
Thruway Stabilization Program	-	-	-	21,068,936									21,068,936
Transformative Economic Development Projects	-	-	-	-									-
Total Disbursements	-	-	-	21,068,936	-	-	-	-	-	-	-	-	21,068,936
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-									-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	-	-	-	21,068,936	-	-	-	-	-	-	-	-	21,068,936
CLOSING CASH BALANCE	$ -	$ -	$ 101,925,000	$ 130,856,064	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 130,856,064

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

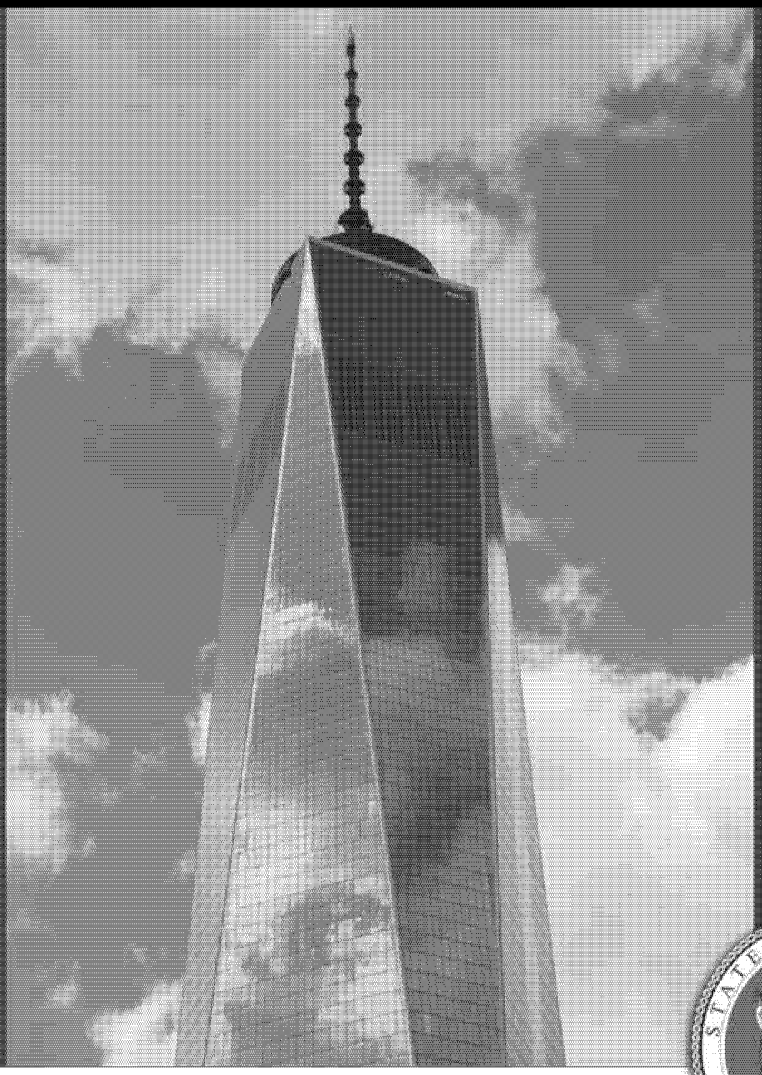
(**) Pursuant to Chapter 60, Laws of 2015-16, Part I

STATE OF NEW YORK

Interim Financial Report
Governmental Funds

For the three months ended June 30, 2015

(Pursuant to Section 8(9a) of the State Finance Law)



Thomas P. DiNapoli, State Comptroller

Bureau of Financial Reporting and Oil Spill Remediation

STATE OF NEW YORK
Combined Balance Sheet
Governmental Funds
June 30, 2015
(Amounts in thousands)(Unaudited)

	General	Federal Special Revenue	Special Revenue	Debt Service	Capital Projects
ASSETS:					
Cash and investments	$ 10,580,154	$ 303,507	$ 3,211,712	$ 1,808,013	$ 2,461,487
Receivables, net of allowances for uncollectibles:					
Taxes	5,967,585	-	146,581	1,846,196	59,266
Due from Federal government	-	7,272,455	-	3,701	547,350
Other	1,224,956	574,318	699,045	96,570	146,723
Due from other funds	2,538,223	65,907	54,984	265,396	80,891
Other assets	131,167	5,643	8,600	-	7,186
Total assets	$ 20,442,085	$ 8,221,830	$ 4,120,922	$ 4,019,876	$ 3,302,903
LIABILITIES:					
Tax refunds payable	$ 5,359,566	$ -	$ 115,886	$ 1,472,433	$ 24,644
Accounts payable	156,858	32,838	3,093	237	287,205
Accrued liabilities	2,755,695	2,996,719	29,756	9,998	81,280
Payable to local governments	2,968,996	2,785,239	3,617,534	77,096	48,525
Due to other funds	2,965,475	773,802	126,879	254,765	1,511,554
Pension contributions payable	302,318	-	-	-	-
Unearned revenues	79,871	399,368	-	4,009	516
Total liabilities	14,588,779	6,987,966	3,893,148	1,818,538	1,953,724
DEFERRED INFLOWS OF RESOURCES	758,963	1,223,382	119,465	122,612	15,059
FUND BALANCES:					
Restricted	-	10,482	199,488	1,667,143	232,519
Committed	482,330	-	1,517,979	411,583	1,505,215
Assigned	6,787,126	-	2,474,897	-	51,891
Unassigned	(2,175,113)	-	(4,084,055)	-	(455,505)
Total fund balances	5,094,343	10,482	108,309	2,078,726	1,334,120
Total liabilities, deferred inflows of resources and fund balances	$ 20,442,085	$ 8,221,830	$ 4,120,922	$ 4,019,876	$ 3,302,903

See accompanying notes to the financial statements.

STATE of NEW YORK
Combined Statement of Revenues, Expenditures and Changes in Fund Balances
Governmental Funds
For the Three Months Ended June 30, 2015
(Amounts in thousands)(Unaudited)

	General	Federal Special Revenue	Special Revenue	Debt Service	Capital Projects
REVENUES:					
Taxes	$ 9,795,036	$ -	$ 1,394,765	$ 5,519,215	$ 287,886
Federal grants	116	13,758,046	-	-	385,014
Miscellaneous	973,573	38,382	1,114,871	102,682	135,105
Total revenues	10,768,725	13,796,428	2,509,636	5,621,897	808,005
EXPENDITURES:					
Local assistance grants	12,888,635	12,124,512	6,877,195	-	335,989
State operations	3,439,951	432,280	108,955	3,888	-
Debt service, including payments on financing arrangements	-	-	-	1,855,359	-
Capital construction	-	-	-	-	1,103,788
Total expenditures	16,328,586	12,556,792	6,986,150	1,859,247	1,439,777
Excess (deficiency) of revenues over expenditures	(5,559,861)	1,239,636	(4,476,514)	3,762,650	(631,772)
OTHER FINANCING SOURCES (USES):					
Transfers from other funds	6,092,449	-	1,032,889	1,731,886	33,931
Transfers to other funds	(1,740,635)	(1,241,749)	(332,833)	(5,990,007)	(234,800)
Financing arrangements issued	-	-	-	-	445,710
Premiums on bonds issued	-	-	-	-	53,916
Net other financing sources (uses)	4,351,814	(1,241,749)	700,056	(4,258,121)	298,757
Special item - State Insurance Fund reserve release	250,000	-	-	-	-
Net change in fund balances	(958,047)	(2,113)	(3,776,458)	(495,471)	(333,015)
Fund balances at beginning of period	6,052,390	12,595	3,884,767	2,574,197	1,667,135
Fund balances at June 30, 2015	$ 5,094,343	$ 10,482	$ 108,309	$ 2,078,726	$ 1,334,120

See accompanying notes to the financial statements.

STATE OF NEW YORK
Notes to Financial Statements
June 30, 2015 (Unaudited)

NOTE 1
The accounting policies and methods of estimating and accumulating financial data for preparation of the June 30, 2015 interim financial statements for governmental fund types are similar to those used to prepare the March 31, 2015 governmental fund financial statements.

NOTE 2
Within the governmental funds, transfers to other funds exceeded transfers from other funds by $649 million. Subsidies to the State University of New York and the Senior Colleges of the City University of New York totaling $1,442 million are reported as transfers to other funds offset by $793 million in Enterprise Funds subsidies which are reported as transfers from other funds.

NOTE 3
The following table presents a reconciliation of the budgetary cash basis operating results for the three months ended June 30, 2015 as reported in the State Register, with the operating results for Governmental Fund Types prepared in accordance with generally accepted accounting principles (amounts in thousands):

	General	Federal Special Revenue	Special Revenue	Debt Service	Capital Projects
Excess (deficiency) of receipts and other financing sources over (under) disbursements and other financing uses	$ 3,764,300	$ (50,500)	$ 1,399,200	$ 97,100	$ 197,900
Entity differences:					
Receipts and other financing sources over (under) disbursements and other financing uses for funds and accounts not included in the cash basis financial plan	(1,497,483)	(40,849)	(321,262)	(1,151,867)	(639,782)
Perspective differences:					
Receipts and other financing sources over (under) disbursements and other financing uses of the Miscellaneous Special Account, Infrastructure Trust Account, Child Performer's Protection Account and Charter Schools Stimulus Account, which are treated as Special Revenue Funds in the financial plan and as part of the General Fund for GAAP reporting	230,715	-	(230,715)	-	-
College and University Funds	-	-	(150,562)	-	294,688
Lottery Fund	-	-	(506,114)	-	-
Temporary interfund cash loans	(528,707)	46,025	(11,823)	-	494,505
Basis of accounting differences:					
To adjust for revenue accruals	(2,991,371)	907,481	(731,582)	(1,215,436)	(173,050)
To adjust for expenditure accruals	64,499	(864,270)	(3,223,600)	1,774,732	(507,276)
Net change in fund balances	**$ (958,047)**	**$ (2,113)**	**$ (3,776,458)**	**$ (495,471)**	**$ (333,015)**

STATE OF NEW YORK
Notes to Financial Statements
June 30, 2015 (Unaudited) (cont'd)

NOTE 4

During the three months ended June 30, 2015 there were no debt refunding issues.

NOTE 5

Significant transactions or other events within the control of management that are either unusual in nature or infrequent in occurrence are reported as special items in the governmental fund financial statements. Reforms to Workers' Compensation Law effective January 1, 2014, changed the basis for determining assessments and charges resulting in the elimination of reserves. Likewise, Chapter 57 of the Laws of 2013 requires that the amount of the eliminated reserves are to be transferred by the State Insurance Fund to the Workers' Compensation Board for distribution to the General Fund in specified amounts over a series of fiscal years. The State Insurance Fund has transferred $1.75 billion to the Workers' Compensation Board of which $1.5 billion has been released to the General Fund as of June 30, 2015. The remaining $250 million will be released in future fiscal years and has been reported as deferred inflows of resources.

New York State Office of the State Comptroller

Thomas P. DiNapoli, State Comptroller

Office of Operations – Division of Payroll, Accounting and Revenue Services

John C. Traylor, Executive Deputy Comptroller

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David J. Hasso, CPA, CGFM, CGMA, Assistant Comptroller

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