April 29, 2020
Vol. XLII
Issue 17
Book 1 of 2

DEPARTMENT OF STATE
Division of Administrative Rules

NEW YORK STATE REGISTER

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- Immunizations and Communicable Diseases
- Debarment of Contractors

Financial Reports

State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

– the 60-day period expires on June 28, 2020
– the 45-day period expires on June 13, 2020
– the 30-day period expires on May 29, 2020

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NEW YORK STATE DEPARTMENT OF STATE

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Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM	-the abbreviation to identify the adopting agency
01	-the *State Register* issue number
96	-the year
00001	-the Department of State number, assigned upon receipt of notice.
E	-Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Education Department

EMERGENCY RULE MAKING

Annual Professional Performance Reviews of Classroom Teachers and Building Principals

I.D. No. EDU-43-19-00012-E
Filing No. 278
Filing Date: 2020-04-10
Effective Date: 2020-04-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Repeal of Subpart 30-2; renumbering of Subpart 30-3 to 30-2; addition of new Subpart 30-3 to Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 215, 305, 3009, 3012-d; L. 2019, ch. 59, part YYY

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The 2019-2020 Enacted Budget makes several changes to Education Law § 3012-d, which governs annual teacher and principal evaluations. The key changes include:

• Required Student Performance Measures

o Eliminates the requirement to use the State Growth Model for teachers of grades 4-8, building principals covering those grade levels, and high school principals (all of grades 9-12).

[] All educators would instead have a Student Learning Objective (SLO).

o Eliminates the requirement that State-created or administered assessments be used as the evidence for SLOs where they exist.

o The selection and use of the assessment(s) for an educator's SLO is now subject to collective bargaining, rather than district determined.

• Optional Student Performance Measures

o Eliminates the requirement that optional student performance measures be based either on a second State-provided growth score or a growth score based on a supplemental assessment that uses a State-provided or approved statistical growth model.

[] Instead, the Department will define optional measures of student performance based on State-created, administered, or approved assessments that districts may then collectively bargain to use.

o Where a school district collectively bargains to use optional student performance measures, the statutory amendments also eliminate the existing requirement that an educator receive a rating of Ineffective on their overall evaluation if their Student Performance Category rating is Ineffective.

The changes made to Education Law § 3012-d became effective on April 1, 2019 and the proposed amendment implements those requirements.

The proposed amendment was presented to the P-12 Education Committee for recommendation and to the Full Board for adoption as an emergency action at its October 2019 meeting, effective October 8, 2019. Following the required 60-day comment period provided for in the State Administrative Procedure Act (SAPA) Sections 202(1) and (5), the Department revised the proposed amendment in response to public comment. The proposed revised amendment was presented to the P-12 Education Committee for recommendation and to the Full Board for adoption as an emergency action at its February 2020 meeting, effective February 11, 2020. Because the Board of Regents meets at scheduled intervals, the earliest the revised proposed amendment could be presented for regular (nonemergency) adoption, after publication in the State Register and expiration of the 45-day public comment period, is the May 2020 Regents meeting. Furthermore, pursuant to SAPA 203(1) the earliest effective date of the proposed rule, if adopted at the May meeting, would be May 20, 2020, the date the Notice of Adoption would be published in the State Register. However, the February emergency rule will expire on April 10, 2020.

Therefore, an additional emergency action is necessary at the April 2020 meeting for the preservation of the general welfare in order to immediately conform Subpart 30 of the Rules of the Board of Regents with the amendments made to Education Law § 3012-d, as amended by Chapter 59 of the Laws of 2019 and to ensure that the emergency action taken at the February meeting remains in effect until the proposed rule can be permanently adopted.

It is anticipated that the proposed rule will be presented for adoption as a permanent rule at the May 2020 Regents meeting, which is the first scheduled meeting after the 45-day public comment period prescribed in SAPA for State agency revised rule makings.

Subject: Annual Professional Performance Reviews of Classroom Teachers and Building Principals.

Purpose: Necessary to implement part YYY of chapter 59 of the Laws of 2019.

Substance of emergency rule (Full text is posted at the following State website: http://www.counsel.nysed.gov/rules/full-text-indices): The 2019-2020 Enacted Budget makes several changes to Education Law § 3012-d, which governs annual teacher and principal evaluations. The key changes include:

• Required Student Performance Measures

o Eliminates the requirement to use the State Growth Model for teachers of grades 4-8, building principals covering those grade levels, and high school principals (all of grades 9-12).

[] All teachers would instead have a Student Learning Objective (SLO);

[] Building principals may have SLOs or as an alternative to SLOs, building principals may be evaluated based on an input model process using evidence of principal practice that promotes student growth related to the Leadership Standards.

o Eliminates the requirement that State-created or administered assessments be used as the evidence for SLOs where they exist.

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o Where a school district collectively bargains to use optional student performance measures, the statutory amendments also eliminate the existing requirement that an educator receive a rating of Ineffective on their overall evaluation if their Student Performance Category rating is Ineffective.

Although the Enacted Budget makes significant changes to the Student Performance Category of the evaluation system, it does not substantively change any other aspects of the current system, including:

• Requirements for teacher observations and principal school visits, including the requirement that at least one be conducted by an independent evaluator.

• Requirements for calculating overall ratings using the statutory matrix.

• Requirements for teacher and principal improvement plans for educators who receive an overall rating of Developing or Ineffective in the prior school year.

• Requirements for summative evaluation ratings to be a "significant factor" in all employment-related decisions.

The proposed rule conforms the regulations to the provisions of the 2019 legislation by making the following substantive changes to Subparts 30-2 and 30-3 of the Rules of the Board of Regents.

The existing Subpart 30-2, relating to evaluations conducted pursuant to Education Law § 3012-c, is repealed.

The existing Subpart 30-3 is renumbered to Subpart 30-2. The title of this new Subpart 30-2 and sections 30-2.1, 30-2.3, and 30-2.17 are amended to clarify that Subpart 30-2 only applies to APPRs conducted prior to the 2019-20 school year or those conducted pursuant to a collective bargaining agreement (CBA) entered into on or before April 12, 2019 which remains in effect on or after April 12, 2019 until a subsequent agreement is reached; provided further, however, that any assessments used in determining transition scores and ratings shall be used in determining scores and ratings pursuant to Subpart 30-2 instead of the grades three through eight English language arts and mathematics state assessments and/or any state growth model until the entry into a successor collective bargaining agreement.

A new Subpart 30-3 is added to implement the amended evaluation law.

Where practicable, existing requirements for teacher and principal evaluations are carried over in their entirety. Below is a description of the areas where substantive changes from existing requirements have been made to implement the provisions of Chapter 59 of the Laws of 2019.

Section 30-3.1 clarifies that the new evaluation system only applies to CBAs entered into after April 12, 2019. It further clarifies that nothing in the new Subpart shall be construed to abrogate any conflicting provisions of any CBA in effect on and after April 12, 2019 during the term of such agreement and until entry into a successor CBA; provided further, however, that any assessments used in determining transition scores and ratings shall be used in determining scores and ratings pursuant to Subpart 30-2 instead of the grades three through eight English language arts and mathematics state assessments and/or any state growth model until the entry into a successor collective bargaining agreement. As required by the Education Law, it further clarifies that APPRs shall be a significant factor for employment decisions and teacher and principal development, consistent with the requirements of the law. It also clarifies the unfettered right to terminate a probationary teacher or principal for any statutorily and constitutionally permissible reason.

Section 30-3.4 describes the standards and criteria for conducting APPRs of classroom teachers under the amended law. The law requires teachers to be evaluated based on two categories: the student performance category and the teacher observation category.

Section 30-3.5 describes the standards and criteria for conducting APPRs of building principals under the amended law. The law requires the Commissioner to establish a principal evaluation system that is aligned to the teacher evaluation system set forth in Education Law § 3012-d. To implement the law, the proposed amendment requires building principals to be evaluated based on two categories: the student performance category and the school visit category.

Section 30-3.16 describes a process which permits a district or BOCES to apply for a variance from one or more of the provisions of this Subpart to meet specific needs and circumstances of the district or BOCES so long as such plan remains consistent with the requirements of Education Law § 3012-d.

Section 30-3.17 provides for the severability of each section of this Subpart.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-43-19-00012-EP, Issue of October 23, 2019. The emergency rule will expire June 8, 2020.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, Education Department, 89 Washington Avenue, Room 148, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law 215 authorizes the Regents to visit and inspect any educational institution under its supervision in the state and to require reporting from such institutions.

Education Law 305(1) authorizes the Commissioner to enforce laws relating to the State educational system and execute Regents educational policies. Section 305(2) provides the Commissioner with general supervision over schools and authority to advise and guide school district officers in their duties and the general management of their schools.

Education Law 3012-d provides for the development and implementation of annual professional performance reviews of teachers and principals.

Chapter 59 of the Laws of 2019 amends provisions of Education Law 3012-d relating to the development and implementation of periodic professional performance reviews of teachers and principals.

2. LEGISLATIVE OBJECTIVES:

The proposed amendments to the requirements for Annual Professional Performance Review plans are consistent with the above statutory authority and are necessary to conform the Commissioner's Regulations to Part YYY of Chapter 59 of the laws of 2019. The purpose of the proposed amendment is to improve the quality of teaching and learning by ensuring that teachers and school leaders receive annual evaluations consistent with the State's Teaching and Leadership Standards leading to opportunities for professional growth and to meet the learning needs of their students.

3. NEEDS AND BENEFITS:

The 2019-2020 Enacted Budget makes several changes to Education Law § 3012-d, which governs annual teacher and principal evaluations. The key changes include:

• Required Student Performance Measures

o Eliminates the requirement to use the State Growth Model for teachers of grades 4-8, building principals covering those grade levels, and high school principals (all of grades 9-12).

[] All teachers would instead have a SLO;

[] Building principals may have SLOs or as an alternative to SLOs, building principals may be evaluated based on an input model process using evidence of principal practice that promotes student growth related to the Leadership Standards.

o Eliminates the requirement that State-created or administered assessments be used as the evidence for SLOs where they exist.

o The selection and use of the assessment(s) for an educator's SLO is now subject to collective bargaining, rather than district determined.

• Optional Student Performance Measures

o Eliminates the requirement that optional student performance measures be based either on a second State-provided growth score or a growth score based on a supplemental assessment that uses a State-provided or approved statistical growth model.

[] Instead, the Department will define optional measures of student performance based on State-created, administered, or approved assessments that districts may then collectively bargain to use.

o Where a school district collectively bargains to use optional student performance measures, the statutory amendments also eliminate the existing requirement that an educator receive a rating of Ineffective on their overall evaluation if their Student Performance Category rating is Ineffective.

Although the Enacted Budget makes significant changes to the Student Performance Category of the evaluation system, it does not substantively change any other aspects of the current system, including:

• Requirements for teacher observations and principal school visits, including the requirement that at least one be conducted by an independent evaluator.

• Requirements for calculating overall ratings using the statutory matrix.

• Requirements for teacher and principal improvement plans for educators who receive an overall rating of Developing or Ineffective in the prior school year.

• Requirements for summative evaluation ratings to be a "significant factor" in all employment-related decisions.

The proposed rule conforms the regulations to the provisions of the 2019 legislation by making the following substantive changes to Subparts 30-2 and 30-3 of the Rules of the Board of Regents.

The existing Subpart 30-2, relating to evaluations conducted pursuant to Education Law § 3012-c, is repealed.

The existing Subpart 30-3 is renumbered to Subpart 30-2. The title of this new Subpart 30-2 and sections 30-2.1, 30-2.3, and 30-2.17 are amended to clarify that Subpart 30-2 only applies to APPRs conducted prior to the 2019-20 school year or those conducted pursuant to a collective bargaining agreement (CBA) entered into on or before April 12, 2019 which remains in effect on or after April 12, 2019 until a subsequent agreement is reached; provided further, however, that any assessments used in determining transition scores and ratings shall be used in determining scores and ratings pursuant to Subpart 30-2 instead of the grades three through eight English language arts and mathematics state assessments and/or any state growth model until the entry into a successor collective bargaining agreement.

A new Subpart 30-3 is added to implement the amended evaluation law.

Where practicable, existing requirements for teacher and principal evaluations are carried over in their entirety. Below is a description of the areas where substantive changes from existing requirements have been made to implement the provisions of Chapter 59 of the Laws of 2019.

Section 30-3.1 clarifies that the new evaluation system only applies to CBAs entered into after April 12, 2019. It further clarifies that nothing in the new Subpart shall be construed to abrogate any conflicting provisions of any CBA in effect on and after April 12, 2019 during the term of such agreement and until entry into a successor CBA; provided further, however, that any assessments used in determining transition scores and ratings shall be used in determining scores and ratings pursuant to Subpart 30-2 instead of the grades three through eight English language arts and mathematics state assessments and/or any state growth model until the entry into a successor collective bargaining agreement. As required by the Education Law, it further clarifies that APPRs shall be a significant factor for employment decisions and teacher and principal development, consistent with the requirements of the law. It also clarifies the unfettered right to terminate a probationary teacher or principal for any statutorily and constitutionally permissible reason.

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Section 30-3.16 describes a process which permits a district or BOCES to apply for a variance from one or more of the provisions of this Subpart to meet specific needs and circumstances of the district or BOCES so long as such plan remains consistent with the requirements of Education Law § 3012-d.

Section 30-3.17 provides for the severability of each section of this Subpart.

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendments do not impose any costs on local government.

c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.

d. Costs to regulating agency for implementation and continued administration: The amendments do not impose any costs on the regulating agency for implementation and continued administration.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

Because the State believes that Annual Professional Performance Review plans are required across the State, no alternatives were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

A Notice of Emergency Adoption and Revised Rule Making will be published in the State Register on February 26, 2020. The proposed amendment will become effective as an emergency rule on February 11, 2020. It is anticipated that the revised proposed amendment will be presented for permanent adoption at the May 2020 Regents meeting, after publication of the revised proposed amendment in the State Register and expiration of the 45-day public comment period required under the State Administrative Procedure Act for revised rulemaking. If adopted at the May 2020 meeting, the revised proposed rule will become effective on May 20, 2020. Because the emergency regulation will expire before the May 2020 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the April Regents meeting.

Regulatory Flexibility Analysis

The purpose of the proposed amendment is to conform the Department's regulations to statutory amendments to Education Law 3012-d pursuant to Part YYY of Chapter 59 of the Laws of 2019. The purpose of the regulations is to improve the quality of teaching and learning by ensuring that teachers and school leaders receive annual evaluations consistent with the State's Teaching and Leadership Standards leading to opportunities for professional growth and to meet the learning needs of their students.

The 2019-2020 Enacted Budget makes several changes to Education Law § 3012-d, which governs annual teacher and principal evaluations. The key changes include:

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o Eliminates the requirement to use the State Growth Model for teachers of grades 4-8, building principals covering those grade levels, and high school principals (all of grades 9-12).

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• Requirements for summative evaluation ratings to be a "significant factor" in all employment-related decisions.

The proposed rule conforms the regulations to the provisions of the 2019 legislation by making the following substantive changes to Subparts 30-2 and 30-3 of the Rules of the Board of Regents.

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determining transition scores and ratings shall be used in determining scores and ratings pursuant to Subpart 30-2 instead of the grades three through eight English language arts and mathematics state assessments and/or any state growth model until the entry into a successor collective bargaining agreement.

A new Subpart 30-3 is added to implement the amended evaluation law.

Where practicable, existing requirements for teacher and principal evaluations are carried over in their entirety. Below is a description of the areas where substantive changes from existing requirements have been made to implement the provisions of Chapter 59 of the Laws of 2019.

Section 30-3.1 clarifies that the new evaluation system only applies to CBAs entered into after April 12, 2019. It further clarifies that nothing in the new Subpart shall be construed to abrogate any conflicting provisions of any CBA in effect on and after April 12, 2019 during the term of such agreement and until entry into a successor CBA; provided further, however, that any assessments used in determining transition scores and ratings shall be used in determining scores and ratings pursuant to Subpart 30-2 instead of the grades three through eight English language arts and mathematics state assessments and/or any state growth model until the entry into a successor collective bargaining agreement. As required by the Education Law, it further clarifies that APPRs shall be a significant factor for employment decisions and teacher and principal development, consistent with the requirements of the law. It also clarifies the unfettered right to terminate a probationary teacher or principal for any statutorily and constitutionally permissible reason.

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Section 30-3.16 describes a process which permits a district or BOCES to apply for a variance from one or more of the provisions of this Subpart to meet specific needs and circumstances of the district or BOCES so long as such plan remains consistent with the requirements of Education Law § 3012-d.

Section 30-3.17 provides for the severability of each section of this Subpart.

The amendment does not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed amendment that it does not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBER OF RURAL AREAS:

The proposed amendments apply to all school districts and BOCES in New York State, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendments are necessary to conform the Department's regulations to statutory amendments to Education Law 3012-d pursuant to Part YYY of Chapter 59 of the Laws of 2019. The purpose of the regulations is to improve the quality of teaching and learning by ensuring that teachers and school leaders receive annual evaluations consistent with the State's Teaching and Leadership Standards leading to opportunities for professional growth and to meet the learning needs of their students.

The 2019-2020 Enacted Budget makes several changes to Education Law § 3012-d, which governs annual teacher and principal evaluations. The key changes include:

• Required Student Performance Measures

o Eliminates the requirement to use the State Growth Model for teachers of grades 4-8, building principals covering those grade levels, and high school principals (all of grades 9-12).

[] All teachers would instead have a Student Learning Objective (SLO);

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o Eliminates the requirement that optional student performance measures be based either on a second State-provided growth score or a growth score based on a supplemental assessment that uses a State-provided or approved statistical growth model.

[] Instead, the Department will define optional measures of student performance based on State-created, administered, or approved assessments that districts may then collectively bargain to use.

o Where a school district collectively bargains to use optional student performance measures, the statutory amendments also eliminate the existing requirement that an educator receive a rating of Ineffective on their overall evaluation if their Student Performance Category rating is Ineffective.

Although the Enacted Budget makes significant changes to the Student Performance Category of the evaluation system, it does not substantively change any other aspects of the current system, including:

• Requirements for teacher observations and principal school visits, including the requirement that at least one be conducted by an independent evaluator.

• Requirements for calculating overall ratings using the statutory matrix.

• Requirements for teacher and principal improvement plans for educators who receive an overall rating of Developing or Ineffective in the prior school year.

• Requirements for summative evaluation ratings to be a "significant factor" in all employment-related decisions.

The proposed rule conforms the regulations to the provisions of the 2019 legislation by making the following substantive changes to Subparts 30-2 and 30-3 of the Rules of the Board of Regents.

The existing Subpart 30-2, relating to evaluations conducted pursuant to Education Law § 3012-c, is repealed.

The existing Subpart 30-3 is renumbered to Subpart 30-2. The title of this new Subpart 30-2 and sections 30-2.1, 30-2.3, and 30-2.17 are amended to clarify that Subpart 30-2 only applies to APPRs conducted prior to the 2019-20 school year or those conducted pursuant to a collective bargaining agreement (CBA) entered into on or before April 12, 2019 which remains in effect on or after April 12, 2019 until a subsequent agreement is reached; provided further, however, that any assessments used in determining transition scores and ratings shall be used in determining scores and ratings pursuant to Subpart 30-2 instead of the grades three through eight English language arts and mathematics state assessments and/or any state growth model until the entry into a successor collective bargaining agreement.

A new Subpart 30-3 is added to implement the amended evaluation law.

Where practicable, existing requirements for teacher and principal evaluations are carried over in their entirety. Below is a description of the areas where substantive changes from existing requirements have been made to implement the provisions of Chapter 59 of the Laws of 2019.

Section 30-3.1 clarifies that the new evaluation system only applies to CBAs entered into after April 12, 2019. It further clarifies that nothing in the new Subpart shall be construed to abrogate any conflicting provisions of any CBA in effect on and after April 12, 2019 during the term of such agreement and until entry into a successor CBA; provided further, however, that any assessments used in determining transition scores and ratings shall be used in determining scores and ratings pursuant to Subpart 30-2 instead of the grades three through eight English language arts and mathematics state assessments and/or any state growth model until the entry into a successor collective bargaining agreement. As required by the Education Law, it further clarifies that APPRs shall be a significant factor for employment decisions and teacher and principal development, consistent with the requirements of the law. It also clarifies the unfettered right to terminate a probationary teacher or principal for any statutorily and constitutionally permissible reason.

Section 30-3.4 describes the standards and criteria for conducting APPRs of classroom teachers under the amended law. The law requires teachers to be evaluated based on two categories: the student performance category and the teacher observation category.

Section 30-3.5 describes the standards and criteria for conducting APPRs of building principals under the amended law. The law requires the Commissioner to establish a principal evaluation system that is aligned to the teacher evaluation system set forth in Education Law § 3012-d. To implement the law, the proposed amendment requires building principals to be evaluated based on two categories: the student performance category and the school visit category.

Section 30-3.16 describes a process which permits a district or BOCES to apply for a variance from one or more of the provisions of this Subpart to meet specific needs and circumstances of the district or BOCES so long as such plan remains consistent with the requirements of Education Law § 3012-d.

Section 30-3.17 provides for the severability of each section of this Subpart.

3. COSTS:

The proposed amendment does not impose any additional costs on school districts and BOCES.

4. MINIMIZING ADVERSE IMPACT:

The Department is required by Education Law 3012-d to develop uniform standards for Annual Professional Performance Review plans across the State. Therefore, no alternatives were considered for those located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

Copies of the proposed amendments have been provided to the School Administrator Association of New York State and New York State United Teachers whose membership include educators and administrators who live or work in rural areas.

Job Impact Statement

The purpose of the proposed amendments to §§ 30-2 and 30-3 of the Rules of the Board of Regents is to update Annual Professional Performance Review (APPR) requirements for school districts and BOCES. Under existing regulations, school districts and BOCES are required to develop Annual Professional Performance Review plans. The purpose of these plans is to improve the quality of teaching and learning by ensuring that teachers and school leaders receive annual evaluations consistent with the State's Teaching and Leadership Standards leading to opportunities for professional growth and to meet the learning needs of their students.

The Department is proposing to revise the requirements for APPR plans to ensure that these regulations are consistent with statutory amendments to Education Law 3012-d pursuant to Chapter 59 of the Laws of 2019. The Department is also repealing and replacing certain regulations related to APPR plans for teachers and school leaders only relevant to Education Law 3012-c, which is only applicable to APPRs conducted prior to the 2016-17 school year.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Assessment of Public Comment

Following publication of the Notice of Emergency Adoption and Revised Rule Making on February 26,2020, the Department received the following comments on the proposed amendment:

1. COMMENT: A commenter writes in support of the revised proposed regulation noting that the revisions were responsive to the comments and recommendations the commenter made during the 60-day comment period after publication of the Notice of Emergency Adoption and Proposed Rulemaking on October 23, 2019. Specifically, the commenter notes that the revisions:

- Acknowledge that principal evaluations for APPR purposes need not be identical to teacher evaluations as long as the main components remained aligned.
- Allow principal evaluations to use an input model using evidence of practice for the student performance category and for the school visit category, a principal may have multiple sources of evidence incorporated into the protocols that reflect school leadership practices. They state that these revisions allow principal evaluations to include measures which will better assess their roles and responsibilities.
- Amend the process for submitting variances so that districts will be able to apply for variance without submitting their entire APPR plan and the request for a variance separately providing a more streamlined process.

The commenter continues to urge the Department to consider separating the approval process for teacher and principle evaluations noting that teacher and principal evaluations are separately bargained and are not necessarily conducted simultaneously.

Response: The Department agrees with this positive comment. With regard to having a separate approval process for teacher and principal evaluations, see the Department's response to comment #3 in Attachment D.

EMERGENCY RULE MAKING

ESSA Financial Transparency Reporting Requirements

I.D. No. EDU-04-20-00008-E

Filing No. 280

Filing Date: 2020-04-13

Effective Date: 2020-04-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 170.14 to Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 3614; Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act of 2015, 20 U.S.C, sections 6301 et seq. (Public Law, section 114-95, 129 STAT. 1802)

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The proposed addition of section 170.14 of the Regulations of the Commissioner of Education is necessary to implement the Every Student Succeeds Act (ESSA), which requires that every school district and charter school shall submit a financial transparency report for inclusion in its report card that provides "the per-pupil expenditures of Federal, State, and local funds, including actual personnel expenditures and actual non-personnel expenditures of Federal, State, and local funds, disaggregated by source of funds."

The proposed addition of section 170.14 of the Regulations of the Commissioner of Education implements the financial transparency reporting provisions of ESSA by requiring that school districts submit school-level expenditure data to the Department commencing on March 1, 2020 (using 2018-2019 school year data) and each March 1 thereafter (using the preceding school year's data). The Department has existing State-required charter school reporting data to satisfy the ESSA financial transparency reporting requirements for the 2018-2019 school year, and charter schools shall begin reporting for actual expenditures from the 2019-2020 school year on November 1, 2020 (using 2019-20 school year data) and each November 1 thereafter (using the preceding school year's data).

The proposed amendment was presented to the P-12 Education Committee for recommendation and to the Full Board for adoption as an emergency action at its January 2020 meeting, effective January 14, 2020. Since the Board of Regents meets at fixed intervals, the earliest the proposed rule can be presented for permanent adoption, after expiration of the required 60-day comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5), would be the May 2020 Regents meeting. Furthermore, pursuant to SAPA § 203(1), the earliest effective date of the proposed rule, if adopted at the May meeting, would be May 20, 2020, the date the Notice of Adoption would be published in the State Register.

The January emergency rule will expire on April 12, 2020. Therefore, emergency action is necessary at the April 2020 meeting for the preservation of the general welfare, in order to timely conform the Regulations of the Commissioner of Education to the financial transparency reporting provisions of ESSA, which requires such reporting to commence with the 2018-2019 school year data, and to ensure that procedures are in place for schools to timely submit their school-level expenditure data to the Department and also to ensure that the emergency adoption taken at the January meeting remains in effect until the proposed rule can be permanently adopted.

It is anticipated that the proposed rule will be presented for adoption as a permanent rule at the May 2020 Regents meeting, which is the first scheduled meeting after the 60-day public comment period prescribed in SAPA for State agency rule makings.

Subject: ESSA Financial Transparency Reporting Requirements.

Purpose: To implement financial transparency reporting requirements of ESSA.

Text of emergency rule: A new section 170.14 shall be added to the Regulations of the Commissioner, as follows:

§ 170.14 Every Students Succeeds Act (ESSA) Financial Transparency Reporting Requirements.

(a) Commencing on March 1, 2020 (using 2018-2019 school year data), and each March 1 thereafter (using the preceding school year's data), each school district shall be required to submit expenditure data for each school in the district to the Department in a form and manner prescribed by the Commissioner consistent with this section.

(b) For the 2018-2019 school year, charter schools will have fulfilled their ESSA reporting requirements pursuant to their reporting obligations under section 119.3 of this Title. Commencing on November 1, 2020 (using 2019-2020 school year data), and each November 1 thereafter (using the preceding school year's data), each charter school shall be required to submit school-level expenditure data to the Department in a manner and in a form prescribed by the Commissioner consistent with this section.

(c) The data provided in subdivisions (a) and (b) of this section will be used by the Department to develop an ESSA Financial Transparency Report, which shall display expenditures reported pursuant to subdivision (d) of this section on a per-pupil basis, and shall include other data already collected by the Department through other means, for each school district and charter school, to be included in the New York State report card as prescribed in subdivision (m) of section 100.2 of this Chapter.

(d) Such data shall be based, to the greatest extent possible, on actual expenditures as they occurred in each school in the previous school year and shall include, but not be limited to:

(1) total expenditures (except those described in paragraphs (4) and (5) of this subdivision), disaggregated by Federal funds and combined State and local funds;

(2) total expenditures (except those described in paragraphs (4) and (5) of this subdivision), disaggregated by the following categories of expenditures: instruction, administration, and all other expenditures;

(3) total expenditures (except those described in paragraphs (4) and (5) of this subdivision) in specific program areas, including but not limited to special education, English language learner/multi-lingual learner services, pupil services, BOCES services, community schools programs, and prekindergarten programs;

(4) expenditures excluded from the per-pupil calculation, including transportation expenditures; tuition payments made to charter schools and other entities; debt service expenditures; expenditures in the school food service fund, the public library fund, the debt service fund, the capital fund, the permanent fund, miscellaneous special revenue funds; expenditures for services provided to charter schools and nonpublic schools; and payments to community-based organizations for prekindergarten programs.

(5) Provided, however, that all amounts for all interfund transfers to and from each fund, and the private purpose trust fund shall not be included in this reporting.

(e) After the school-level data required in this section has been submitted to the Commissioner, the Department shall generate an ESSA Financial Transparency report for each school, school district, and charter school using the data submitted pursuant to paragraph (b) of this subdivision with other public school and district level data submitted to the Department. The Department shall also include on the report, if requested by the school district or charter school, a link to the school district's or charter school's website to further explain the data available on the ESSA Financial Transparency report.

(f) The 2018-19 school year reports shall be publicly available no earlier than June 1, 2020, and for the 2019-20 school year and each school year thereafter, such reports shall be available no earlier than April 1 of the subsequent school year.

(g) The expenditure data provided in paragraph (b) of this subdivision must align, where applicable and to the extent possible, with the data school districts provide in their ST-3 annual financial reports required pursuant to General Municipal Law § 30. Charter schools shall submit financial data in accordance with guidance developed by the Commissioner in consultation with the field.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-04-20-00008-EP, Issue of January 29, 2020. The emergency rule will expire June 11, 2020.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Section 101 of the Education law continues existence of Education Department, with Board of Regents as its head, and authorizes Regents to appoint Commissioner of Education as Department's Chief Administrative Officer, which is charged with general management and supervision of all public schools and educational work of State.

Section 207 of the Education Law grants general rule making authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Section 305(1) and (2) of the Education Law provide Commissioner, as chief executive officer of the State's education system, with general supervision over all schools and institutions subject to the Education Law, or any statute relating to education, and responsibility for executing all educational policies of the Regents.

Section 3614 of the Education Law requires school districts to annually submit to the commissioner and the director of the budget a detailed statement of the total funding allocation for each school in the district for the upcoming school budget year.

The Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act of 2015, 20 U.S.C. sections 6301 et seq. (Public Law 114-95, 129 STAT.1802).

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to establish criteria and procedures to ensure State and local educational agency compliance with the financial transparency reporting provisions of the Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act of 2015, 20 U.S.C. sections 6301 et seq. (Public Law 114-95, 129 STAT. 1802).

3. NEEDS AND BENEFITS:

The Every Student Succeeds Act (ESSA) requires that every school district and charter school will be required to submit a financial transparency report for inclusion in its report card that provides "the per-pupil expenditures of Federal, State, and local funds, including actual personnel expenditures and actual non-personnel expenditures of Federal, State, and local funds, disaggregated by source of funds." The United States Education Department has provided states with significant flexibility to develop these reports in a way that meets the individual needs of the state. As a result, every school district and charter school in New York State will report per pupil expenditures, disaggregated for each school, pursuant to the regulations and guidelines developed by the New York State Education Department (Department).

This requirement under ESSA will provide important opportunities for school districts, parents, taxpayers, the State, and other stakeholders to cultivate a better understanding of school finances and help spur local discussions about transparency, equity, accountability, confirmation of best practices, and opportunities for improvements in financial management and educational programming.

Over the past two years, the Department has undertaken a rigorous approach of outreach to stakeholders to develop a report template and uniform guidance in submitting expenditure data. Preliminary draft guidance was published in May 2019, and many stakeholders reviewed the document and provided additional feedback that has been reflected in this proposed regulation and the Department guidance.

The report will be divided into the following sections, which will provide the required expenditure data, as well as important contextual information, to inform the reader of each school's and school district's financial and student needs:

- District-level context: Provides district enrollment, student needs, and fiscal capacity;
- School-level context: Provides school enrollment, percentage of economically disadvantaged students, students with disabilities, and English language learners/multi-language learners (ELLs/MLLs); student ethnicity; certified teacher-to-student ratio; average years of teacher experience; and percentage of teachers with 0-3, 4-24, and 25 or more years of experience;
- Cost drivers: Provides student need, fiscal capacity, and regional costs;
- Total Per-Pupil Expenditures: School-level expenditures, compared to other schools with similar grades served across the State, county, and school district, where applicable;
- Per-Pupil Expenditures by Instruction/Administration/Other: School-level and district-level expenditures, including costs for classroom teachers, other instructional salaries and benefits, and administrative salaries and benefits;
- Per-Pupil Expenditures by Local/State and Federal funding sources: School-level and district-level expenditures, including details for Title I Part A, Title II Part A, Title III Part A, Title IV Part A, IDEA, and all other federal funds;
- Per-Pupil Expenditures in selected program detail areas: School-level and district-level expenditures, including special education, ELL/MLL services, pupil services, community school programs, BOCES services, and prekindergarten;
- Total Expenditures and Funds Excluded from Per-Pupil Expenditures: Total dollars expended, including items excluded from the per-pupil amounts such as transportation, charter school tuition, other tuition, debt service, and other categories;
- District Average Fringe Benefit Rates; and
- School district and charter school-developed website link: A link for readers of the report to go to the school district or charter school website for a page developed exclusively for providing additional important information related to this reporting.

School districts have begun reporting expenditure data, which will be combined with other contextual information already collected by the Department into a report that will be available on June 1, 2020. In subsequent years, the report publication date will be April 1.

The proposed amendment sets forth the financial reporting requirements that school districts and charter schools will be required to comply with to meet the requirements of the federal Every Student Succeeds Act (ESSA).

Commencing on March 1, 2020 (using 2018-2019 school year data) and each March 1 thereafter (using the preceding school year's data), school districts shall be required to submit certain school-level expenditure data to the Department in a manner and in a form prescribed by the Commissioner. Commencing on November 1, 2020 (using 2019-20 school year data) and each November 1 thereafter (using the preceding school year's data) charter schools shall be required to submit certain school level expenditure data to the Department in a manner and in a form prescribed by the Commissioner.[1] Such data will be used by the Department to develop an ESSA Financial Transparency Report for each school district and charter school.

4. COSTS:

(a) Costs to State government: There are no additional costs to State government.

(b) Costs to local government: There are no additional costs to local government.

(c) Cost to private regulated parties: The proposed amendment does not impose any additional costs on regulated parties.

(d) Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any program, service, duty, or responsibility on local governments beyond those imposed by State and federal statutes.

6. PAPERWORK:

Commencing on March 1, 2020 (using 2018-2019 school year data) and each March 1 thereafter (using the preceding school year's data), school districts shall be required to submit certain school-level expenditure data to the Department in a manner and in a form prescribed by the Commissioner. Commencing on November 1, 2020 (using 2019-20 school year data) and each November 1 thereafter (using the preceding school year's data) charter schools shall be required to submit certain school level expenditure data to the Department in a manner and in a form prescribed by the Commissioner.[2] Such data will be used by the Department to develop an ESSA Financial Transparency Report for each school district and charter school.

7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to establish criteria and procedures to ensure State and local educational agency compliance with the financial transparency reporting provisions of ESSA. The State and LEAs, including charter schools, are required to comply with the ESSA as a condition to receipt of federal funding under Title I of ESSA, as amended. There are no significant alternatives to the proposed amendment and none were considered.

9. FEDERAL STANDARDS:

The proposed amendment does not exceed any minimum standards of the federal government for the same or similar subject areas and is necessary to conform the Commissioner's Regulations to the financial transparency reporting provisions of ESSA.

10. COMPLIANCE SCHEDULE:

It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

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[1] Charter schools currently report actual expenditure data pursuant to section 119.3 of the Commissioner's regulations, which satisfies the ESSA financial transparency reporting requirements for the 2018-2019 school year. Charter schools shall begin reporting actual expenditures pursuant to the process outlined in the proposed amendment commencing in the 2019-2020 school year.

[2] Charter schools currently report actual expenditure data pursuant to section 119.3 of the Commissioner's regulations, which satisfies the ESSA financial transparency reporting requirements for the 2018-2019 school year. Charter schools shall begin reporting actual expenditures pursuant to the process outlined in the proposed amendment commencing in the 2019-2020 school year.

Regulatory Flexibility Analysis

Small Businesses:

The proposed rule is necessary to establish criteria and procedures relating to charter school financial transparency reporting to ensure State and local educational agency compliance with the Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act of 2015, 20 U.S.C. sections 6301 et seq. (Public Law 114-95, 129 STAT. 1802).

The Every Student Succeeds Act (ESSA) requires that every school district and charter school will be required to submit a financial transparency report for inclusion in its report card that provides "the per-pupil expenditures of Federal, State, and local funds, including actual personnel expenditures and actual non-personnel expenditures of Federal, State, and local funds, disaggregated by source of funds." The United States Education Department has provided states with significant flexibility to develop these reports in a way that meets the individual needs of the state. As a result, every school district and charter school in New York State will report per pupil expenditures, disaggregated for each school, pursuant to the regulations and guidelines developed by the New York State Education Department (Department).

Commencing on March 1, 2020 (using 2018-2019 school year data) and each March 1 thereafter (using the preceding school year's data), school districts shall be required to submit certain school-level expenditure data to the Department in a manner and in a form prescribed by the Commissioner. Commencing on November 1, 2020 (using 2019-20 school year data) and each November 1 thereafter (using the preceding school year's data) charter schools shall be required to submit certain school level expenditure data to the Department in a manner and in a form prescribed by the Commissioner.[1] Such data will be used by the Department to develop an ESSA Financial Transparency Report for each school district and charter school.

The proposed rule does not impose any adverse economic impact, reporting, record keeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Local Governments:

1. EFFECT OF RULE:

The propose amendment applies to each of the 695 public school districts in the State and each charter school in the State.

2. COMPLIANCE REQUIREMENTS:

See the response to Question #3, Needs and Benefits in the full Regulatory Impact Statement.

3. PROFESSIONAL SERVICES:

The proposed rule does not impose any additional professional service requirements on charter schools.

4. COMPLIANCE COSTS:

The proposed rule is necessary to conform the Commissioner's Regulations to the financial transparency reporting requirements of ESSA. The State and LEAs, including charter schools, are required to comply with ESSA as a condition to their receipt of federal funding under Title I of ESSA, as amended. The proposed rule does not impose any costs beyond those imposed by State and federal statutes.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional technological requirements on school districts or charter schools. Economic feasibility is addressed under the Compliance Costs section above.

6. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary to establish criteria and procedures relating to implementing the financial transparency reporting provisions of the Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act of 2015, 20 U.S.C. sections 6301 et seq. (Public Law 114-95, 129 STAT. 1802). The Every Student Succeeds Act (ESSA) requires that every school district and charter school will be required to submit a financial transparency report for inclusion in its report card that provides "the per-pupil expenditures of Federal, State, and local funds, including actual personnel expenditures and actual non-personnel expenditures of Federal, State, and local funds, disaggregated by source of funds." There were no significant alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts.

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[1] Charter schools currently report actual expenditure data pursuant to section 119.3 of the Commissioner's regulations, which satisfies the ESSA financial transparency reporting requirements for the 2018-2019 school year. Charter schools shall begin reporting actual expenditures pursuant to the process outlined in the proposed amendment commencing in the 2019-2020 school year.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to all school districts in the State, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendment is necessary to establish criteria and procedures to ensure State and local educational agency compliance with the financial transparency reporting provisions of the Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act of 2015, 20 U.S.C. sections 6301 et seq. (Public Law 114-95, 129 STAT. 1802).

The Every Student Succeeds Act (ESSA) requires that every school district and charter school will be required to submit a financial transparency report for inclusion in its report card that provides "the per-pupil expenditures of Federal, State, and local funds, including actual personnel

expenditures and actual non-personnel expenditures of Federal, State, and local funds, disaggregated by source of funds." The United States Education Department has provided states with significant flexibility to develop these reports in a way that meets the individual needs of the state. As a result, every school district and charter school in New York State will report per pupil expenditures, disaggregated for each school, pursuant to the regulations and guidelines developed by the New York State Education Department (Department).

Commencing on March 1, 2020 (using 2018-2019 school year data) and each March 1 thereafter (using the preceding school year's data), school districts shall be required to submit certain school-level expenditure data to the Department in a manner and in a form prescribed by the Commissioner. Commencing on November 1, 2020 (using 2019-20 school year data) and each November 1 thereafter (using the preceding school year's data) charter schools shall be required to submit certain school level expenditure data to the Department in a manner and in a form prescribed by the Commissioner.[1] Such data will be used by the Department to develop an ESSA Financial Transparency Report for each school district and charter school.

3. COMPLIANCE COSTS:

The proposed amendment does not impose any additional costs on the State, regulated parties, or the State Education Department, beyond those imposed by State and federal statutes.

4. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary to establish criteria and procedures relating to implementing financial transparency reporting provisions of the Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act of 2015, 20 U.S.C. sections 6301 et seq. (Public Law 114-95, 129 STAT. 1802). The Every Student Succeeds Act (ESSA) requires that every school district and charter school will be required to submit a financial transparency report for inclusion in its report card that provides "the per-pupil expenditures of Federal, State, and local funds, including actual personnel expenditures and actual non-personnel expenditures of Federal, State, and local funds, disaggregated by source of funds." There were no significant alternatives and none were considered.

5. RURAL AREA PARTICIPATION:

Copies of the proposed amendments have been provided to the Rural Schools Association for review and comment.

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[1] Charter schools currently report actual expenditure data pursuant to section 119.3 of the Commissioner's regulations, which satisfies the ESSA financial transparency reporting requirements for the 2018-2019 school year. Charter schools shall begin reporting actual expenditures pursuant to the process outlined in the proposed amendment commencing in the 2019-2020 school year.

Job Impact Statement

The purpose of the proposed rule is to establish criteria and procedures implementing financial transparency reporting provisions of the Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act of 2015, 20 U.S.C. sections 6301 et seq. (Public Law 114-95, 129 STAT. 1802). The Every Student Succeeds Act (ESSA) requires that every school district and charter school will be required to submit a financial transparency report for inclusion in its report card that provides "the per-pupil expenditures of Federal, State, and local funds, including actual personnel expenditures and actual non-personnel expenditures of Federal, State, and local funds, disaggregated by source of funds."

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Assessment of Public Comment

The agency received no public comment.

Department of Environmental Conservation

EMERGENCY RULE MAKING

Regulations Governing Commercial Fishing of Quota Managed Species

I.D. No. ENV-04-20-00004-E
Filing No. 276
Filing Date: 2020-04-10
Effective Date: 2020-04-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 40 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 11-0303, 13-0105, 13-0339, 13-0340, 13-0340-b, 13-0340-e and 13-0340-f

Finding of necessity for emergency rule: Preservation of public safety and general welfare.

Specific reasons underlying the finding of necessity: This rule making is necessary to prevent a lapse of the regulations currently in effect which were adopted for the preservation of the general welfare and public safety. The emergency rule established a Cooperative Multi-State Possession and Landing Program ("Program") which allows eligible commercial fishermen to: (1) possess weekly limits for quota-managed species for multiple cooperating states (NY, CT, and RI) onboard the vessel simultaneously while fishing, transiting or landing, and (2) in one trip land their weekly limits with the cooperating states. Prior to the adoption of the current emergency rule, a vessel could only possess a single state's limit, regardless of where they were authorized to land. In order to land fish in another state, the vessel would have to then travel back out to sea, repeat fishing operations, and steam back to shore. Under the current emergency rule, vessels remain restricted to landing a state's limit at the appropriate port but can land additional fish onboard in the ports of other states where they are permitted without having to make separate fishing trips.

Failure to readopt these amendments as an emergency measure would place New York's commercial fishermen at a disadvantage compared to fishermen in Connecticut and Rhode Island who will be able to possess multiple trip limits onboard their vessel at the same time.

Environmental Conservation Law § 13-0105(1)(b)(6) requires DEC to "minimize waste and reduce discard mortality of marine fishery resources." This Program satisfies this legislative directive and thus contributes to the general welfare and public safety of New York commercial fishermen by: (1) reducing discards (i.e. fish that are thrown overboard after being caught because the fishermen's harvest limit has been reached), (2) reducing fuel use, and (3) decreasing the risk associated with commercial fishing by eliminating the need for fishermen to undertake multiple trips to catch multiple state limits.

Subject: Regulations governing commercial fishing of quota managed species.

Purpose: To improve efficiency, reduce waste, and increase safety in marine commercial fisheries.

Text of emergency rule: 6 NYCRR Part 40 is amended to read as follows:

A new paragraph 40.1(a)(7) is added to read as follows:

(7) "Multi-state possession limit" means the sum of: (i) the commercial trip limit in New York State for a designated quota-based species and (ii) the commercial trip limit for the same species in cooperating states from which the Program participant has privileges to land the designated quota-based species.

A new paragraph 40.1(a)(8) is added to read as follows:

(8) "Cooperating states" means states other than New York that have enacted reciprocal rules enabling commercial fishermen licensed by that state to participate in the Program, and that have provided notice to the department of intent to cooperatively participate in the Program.

A new paragraph 40.1(a)(9) is added to read as follows:

(9) "Program" means the Cooperative Multi-State Possession and Landing Program, which at the discretion of the Department, allows the holder of a commercial food fish license, in accordance with this section, to simultaneously possess trip limits for the designated quota-based species for New York and other cooperating states and land the appropriate trip limit for that species in each of the cooperating states.

A new subdivision 40.1(y) is added to read as follows:

(y) Cooperative Multi-State Possession and Landing Program

(1) Eligibility:

(i) To be eligible to participate in the Program, a person must have:

(a) a valid marine commercial food fishing license issued by the department;

(b) a valid summer flounder commercial permit if the person intends to possess and land summer flounder;

(c) a current privilege to land the designated quota-based species in at least one cooperating state;

(d) no conviction or administrative penalty for violations of state commercial fishing regulations or laws within any cooperating state, or for violations of New York's commercial fishing regulations or laws, within the past three years; and

(e) demonstrated compliance with commercial fishing reporting requirements as provided in NYCRR 40.1(c)(1)(i) of this title.

(ii) To be eligible to land in New York State with a multi-state possession limit on board the vessel, the person shall have on board the vessel official documentation verifying their authorization to participate in the Program(s) of any cooperating state(s) in which the license holder plans to land the remainder of the multi-state possession limit.

(2) Applications.

(i) To be considered for admission into the Program, a person shall complete and submit an application form, issued by the department, to the Department's Division of Marine Resources. The application form may require the following information:

(a) name of applicant;

(b) address;

(c) license and permit numbers for commercial fishing licenses issued by the department, including those specific to summer flounder if necessary, and any cooperating states as applicable;

(d) name, make, model, vessel identification number, and home port of the commercial fishing vessel that will be used for all fishing conducted under the auspices of the Program;

(e) federal permit number;

(f) federal operator's license;

(g) New York port(s) of landing;

(h) port of landing in any cooperating state for which the applicant is privileged to land the quota-based species that is the subject of the Program;

(i) acknowledgement of all Program requirements to meet and maintain eligibility;

(j) acknowledgement that failure to comply with any Program requirement shall result in immediate revocation of the privilege to participate in the Program; and

(k) any other information that the Department determines is relevant to the applicant's eligibility to participate in the program.

(ii) The Program is only applicable to the quota-based species and period of time as specified by the department as part of the application form.

(3) Substantive requirements.

(i) If an applicant is deemed eligible to participate in the Program by the department, then the applicant shall be considered a Program participant, as confirmed by a letter from the department. Program participants must reapply annually to participate in the Program.

(ii) Program participants must strictly adhere to all applicable commercial fishing regulations, including the multi-state possession limit, as specified in 6 NYCRR Part 40, Marine Fish, and any applicable directives promulgated pursuant to the department's authority.

(iii) Each Program participant shall be granted a multi-state possession limit for the specified quota-based species at the beginning of the period designated by the Program.

(iv) Program participants will be subject to the following requirements during each fishing trip to maintain Program privileges:

(a) Program participants may only retain their multi-state possession limit when fishing on a permitted vessel associated with that Program participant's authorization;

(b) Program designated species catch intended for landing in New York and any cooperating state(s) must be stored such that the catch intended for each state is physically separated; catch intended for each state must be stored in separate totes and identified with a written sign as to the intended port of landing;

(c) all landings of the Program designated species in New York and any cooperating states must occur between the hours of 0600-2000; and

(d) Program participants intending to land summer flounder in New York must call the department (contact information will be provided in the application materials) at least two hours prior to landing, and provide intended port and facility of landing, estimated time of landing, total weight of summer flounder to be landed in New York; and intended ports of landing and total weight for any summer flounder to be landed in cooperating states.

(v) The department may revoke, in writing, the Program privileges of any Program participant should the department determine that such Program participant:

(a) has been issued an infraction, misdemeanor summons, or notice of violation(s) of any state or federal commercial fishing regulations;

(b) did not report landings as required in NYCRR 40.1(c)(1)(i) of this title;

(c) exceeded the multi-state possession limit that the Program participant has been granted;

(d) violated any provision of Article 13 of the New York Environmental Conservation Law or any Regulation of New York State Agencies promulgated thereunder including but not limited to this title; or

(e) has Program privileges revoked by a cooperating state.

(vi) In the event that a Program participant's privileges are revoked, the permitted vessel associated with that program participant's authorization is no longer eligible to participate in the Program.

(vii) Any Program participant, whose Program privileges have been revoked by the department, may, within 30 days of receipt of the revocation notice, submit a written appeal addressed to Director, Division of Marine Resources, NYS Department of Environmental Conservation, 205 N. Belle Mead Road, Suite 1, E. Setauket, NY 11733. Such appeal shall include a written statement addressing the grounds for revocation, as stated by the department in the revocation notice, and explaining why those grounds are not accurate. Should the Director determine that the revocation of Program privileges was appropriate, the former Program participant may not apply for admittance into the Program for a period of five years from the date of revocation.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. ENV-04-20-00004-EP, Issue of January 29, 2020. The emergency rule will expire June 8, 2020.

Text of rule and any required statements and analyses may be obtained from: John Maniscalco, Department of Environmental Conservation, Marine Resources, 205 N. Belle Mead Rd., Suite 1, East Setauket, NY 11733, (631) 444-0437, email: john.maniscalco@dec.ny.gov

Additional matter required by statute: The Department has determined that the Notice of Emergency Adoption is a Type II action and no further review is required pursuant to Article 8 of the ECL, the State Environmental Quality Review Act.

Regulatory Impact Statement

1. Statutory authority:

Environmental Conservation Law (ECL) sections 11-0303, 13-0105, 13-0339, 13-0340, 13-0340-b, 13-0340-e, and 13-0340-f authorize the New York State Department of Environmental Conservation (Department) to establish by regulation the open season, size, catch limits, possession and sale restrictions, and manner of taking for bluefish, fluke, scup, black sea bass, and all quota managed species.

2. Legislative objectives:

ECL section 13-0105 states that it shall be the policy of New York to maintain the long-term health and abundance of marine fisheries resources, providing, among other things, viable business opportunities for commercial fishing that minimizes waste and reduces the number of discards.

3. Needs and benefits:

This rule making would establish a Cooperative Multi-State Possession and Landing Program ("Program") which would allow eligible commercial fishermen to: (1) possess weekly limits for quota-managed species for multiple cooperating states (New York, Connecticut, and Rhode Island) onboard the vessel simultaneously while fishing, transiting or landing, and (2) in one trip land their weekly limits with the cooperating states. Currently, a vessel can only possess a single state's limit, regardless of where they are authorized to land. In order to land fish in another state, the vessel would have to then travel back out to sea, repeat fishing operations, and steam back to shore. Under the proposed rule, vessels would remain restricted to landing a state's limit at the appropriate port but could then land additional fish onboard in the ports of other states where they are permitted without having to make separate fishing trips.

Adoption of these amendments on an emergency basis is necessary in order to avoid placing New York's commercial fishermen at a disadvantage compared to commercial fishermen from Connecticut and Rhode Island who have already adopted the necessary regulations to implement the Program. The normal rule making process would not have these amendments adopted before the start of the Winter Period fishing season for fluke on January 1, 2020. Implementing this Program would benefit the general welfare by improving fishing opportunities for eligible commercial fishermen, minimizing safety risks by decreasing time spent at

sea, decrease discards (i.e. fish that have already been caught but must be thrown overboard due to a fishermen harvesting more than their daily trip limit), and burn less fuel.

4. Costs:

There are no new costs to state and local governments from this action. The Department will incur limited costs associated with both the implementation and administration of these rules.

5. Local government mandates:

The proposed rule does not impose any mandates on local government.

6. Paperwork:

None.

7. Duplication:

The amendment does not duplicate any state or federal requirement.

8. Alternatives:

"No action" alternative: failure to adopt the proposed regulations would result in some New York fishermen foregoing an opportunity to fish more efficiently while fishermen from Connecticut and Rhode Island benefit from similar programs implemented in their respective states.

9. Federal standards:

The amendments to Part 40 are in compliance with the Atlantic States Marine Fishery Commission (ASMFC) and Mid-Atlantic Fishery Management Council's (MAFMC) Fishery Management Plans for multiple quota managed species.

10. Compliance schedule:

Compliance with the proposed regulation is required upon the effective date of the rule. Regulated parties will be notified of the changes to the regulations through publication in the State Register, appropriate news releases, and through the Department's website.

Regulatory Flexibility Analysis

1. Effect of rule:

This rule making would establish a Cooperative Multi-State Possession and Landing Program ("Program") which would allow commercial fishermen to: (1) possess weekly limits for quota-managed species for multiple cooperating states (New York, Connecticut, and Rhode Island) onboard the vessel simultaneously while fishing, transiting or landing, and (2) in one trip, land their weekly limits with the cooperating states. Currently, a vessel can only possess a single state's limit, regardless of where they are authorized to land. In order to land fish in another state, the vessel would have to then travel back out to sea, repeat fishing operations, and steam back to shore. Under the proposed rule, vessels would remain restricted to landing a state's limit at the appropriate port but could then land additional fish onboard in the ports of other states where they are permitted without having to make separate fishing trips.

The Department would have the discretion to establish, and discontinue if necessary, a Program, with cooperating states that allows for possession and landing of a multi-state limit for a specific quota managed species during a specified portion of the year.

2. Compliance requirements:

None.

3. Professional services:

None.

4. Compliance costs:

None.

5. Economic and technological feasibility:

The proposed regulations would not require any expenditure on the part of affected businesses to comply with the changes.

6. Minimizing adverse impact:

This rule making would not impose any adverse impacts on the regulated community. This rulemaking would improve opportunities for eligible fishermen, reduce safety risks, decrease discards and burn less fuel.

7. Small business and local government participation:

The quota-based fisheries impacted by this proposed rule are jointly managed by?the Atlantic States Marine Fishery Commission (ASMFC)?and the Mid-Atlantic Fishery Management Council (MAFMC). Regulations adopted by the Department must be consistent with the compliance requirements of the Fishery Management Plans (FMPs) developed by ASMFC and MAFMC. The general public, including small businesses and local governments, were invited to submit comments during the FMP amendment process and will similarly be able to submit comments during this rule making.

8. For rules that either establish or modify a violation or penalties associated with a violation:

None.

9. Initial review of the rule, pursuant to SAPA § 207 as amended by L. 2012, ch. 462:

The Department will conduct an initial review of the rule within three years as required by SAPA § 207(1)(b).

Rural Area Flexibility Analysis

The Department of Environmental Conservation has determined that this rule will not impose an adverse impact on rural areas. This rule making only affects the marine and coastal district of the State; there are no rural areas within the marine and coastal district. Quota-based fisheries occur entirely within the marine and coastal district, and are not located adjacent to any rural areas of the State. The proposed rule will not impose any reporting, record keeping, or other compliance requirements on public or private entities in rural areas. Since no rural areas will be affected by the proposed amendments of 6 NYCRR Part 40, a Rural Area Flexibility Analysis is not required.

Job Impact Statement

1. Nature of impact:

This rule making would establish a Cooperative Multi-State Possession and Landing Program ("Program") which would allow commercial fishermen to: (1) possess weekly limits for quota-managed species for multiple cooperating states (New York, Connecticut, and Rhode Island) onboard the vessel simultaneously while fishing, transiting or landing, and (2) in one trip, land their weekly limits with the cooperating states. Currently, a vessel can only possess a single state's limit, regardless of where they are authorized to land. In order to land fish in another state, the vessel would have to then travel back out to sea, repeat fishing operations, and steam back to shore. Under the proposed rule, eligible vessels would remain restricted to landing a state's limit at the appropriate port but could then land additional fish onboard in the ports of other states where they are permitted without having to make separate fishing trips.

Implementing this Program would improve fishing opportunities for eligible commercial fishermen, minimize safety risks by decreasing time spent at sea, decrease discards, and burn less fuel.

2. Categories and numbers affected:

In 2018, New York State issued 910 Food Fish Licenses to state residents and 39 licenses to non-residents. Approximately half of the non-resident Food Fish Licenses went to residents of Connecticut and Rhode Island. The number of fishermen eligible to participate in the Program depends upon the species to be harvested, and to a lesser extent, the time of year in which the program is active.

The initial trial multi-state limit program would allow possession of fluke limits for multiple states during the winter fishery (January through April). In order to land fluke, a commercial fisherman must also hold a fluke permit in New York and at least one other cooperating state. This limits the possible number of participants to only those fishermen from New York, Connecticut and Rhode Island that possess multiple state fluke permits and a vessel that can fish during the stormier winter months; approximately 10 New York residents, 5 Connecticut residents, and 7 Rhode Island residents would be eligible to participate in the trial program. The existence of corporate Food Fish Licenses that include a Commercial Fluke Permit suggest that these numbers could see limited change. Future programs could impact other species or other fishing seasons.

3. Regions of adverse impact:

There are no anticipated regions of adverse impact. The proposed amendment would benefit residents of the marine and coastal district by increasing opportunity and efficiency for eligible fishermen while decreasing the amount of discarded fish and fuel used.

4. Minimizing adverse impact:

There are no anticipated adverse impacts. The Department will continue to carefully monitor commercial landings of all quota managed species, especially landings associated with a multi-state limit program, to ensure that overharvest does not occur.

5. Self-employment opportunities:

Commercial harvesters, marinas, seafood dealers and other related businesses are, in many cases, small businesses, owned and often operated by a single owner. The commercial fishing industry is mostly self-employed. This rule will not have a negative effect upon opportunities for businesses related to the commercial harvest of quota managed species such as fluke, scup, bluefish and black sea bass. Failure to adopt this rule making will prevent eligible New York commercial fishermen from taking advantage of the opportunity for increased efficiency and reduced waste that may be available to fishermen in other cooperating states.

6. Initial review of the rule, pursuant to SAPA § 207 as amended by L. 2012, ch. 462:

The Department will conduct an initial review of the rule within three years as required by SAPA § 207(b).

NOTICE OF ADOPTION

Black Bear Hunting

I.D. No. ENV-27-19-00003-A

Filing No. 275

Filing Date: 2020-04-09

Effective Date: 2020-04-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 31 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 11-0303, 11-0903 and 11-0907

Subject: Black Bear hunting.

Purpose: Expand bear hunting opportunities in Wildlife Management Unit 4W to reduce bear abundance.

Text or summary was published in the July 3, 2019 issue of the Register, I.D. No. ENV-27-19-00003-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jeremy Hurst, Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-4754, (518) 402-8883, email: jeremy.hurst@dec.ny.gov

Revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

The original Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement as published in the Notice of Proposed Rule Making, remain valid and do not need to be amended.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2022, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The Department received about two dozen comments on the proposed amendment to include Wildlife Management Unit (WMU) 4W in the early bear hunting season. Several comments simply expressed support for the proposal without explanation or justification. For other comments, we reviewed the substance and merit of the comment and provide a summary and response here.

Comment:

Several writers noted their support for the proposal and indicated their perception that the bear population and associated human-bear conflicts have increased in WMU 4W in recent years.

Response:

The Department agrees that data from WMU 4W suggest that the bear population has increased, and current harvest levels are inadequate to achieve the desired management objective of maintaining a moderate density bear population. However, levels of human-bear conflict have not increased substantially.

Comment:

One writer expressed opposition to the proposal, indicating that the "no change" alternative be adopted and claiming that there is no scientifically-based biological data demonstrating need for the season expansion.

Response:

The Department disagrees. Annual bear harvest density provides a metric for monitoring bear population trends, and harvest records in WMU 4W demonstrate that current harvest levels are inadequate to achieve the management objective of maintaining a moderate density population.

Comment:

One writer asked whether it would be possible to assist other states with renewing their bear populations, presumably suggesting that bears from WMU 4W be captured and released in other jurisdictions.

Response:

All states in eastern North America report stable or increasing bear populations. In the few states where bears are rare or largely absent (e.g., Rhode Island, Delaware), their management objectives do not call for population augmentation through bear relocation and release.

Comment:

One writer requested that all bear hunting be stopped.

Response:

The Department believes discontinuing bear hunting would be an unwise and irresponsible action, constituting a failure to uphold our public trust management responsibilities. Regulated black bear hunting is an important method of managing bear populations, allowing hunters to sustainably utilize the excess production of the bear population. Hunting provides additional benefit through the annual removal of some habituated bears which cause conflicts with humans and damage to agricultural crops or livestock production.

Comment:

One writer expressed the opinion that an early season in 4W is not warranted at this time, believing that the bear harvests observed statewide over the past 6 years represent a significant increase in harvest rate compared to the prior 20 years, and suggesting that this should be keeping the population from increasing. This writer also suggested that additional hunting related changes should not be made until the iSeeMammals Citizen Science project is fully implemented and providing greater insight about the bear population.

Response:

We appreciate the writer's concern, but it is inappropriate to ascribe statewide harvest trends to population analysis and management decisions of an individual WMU. Too, while iSeeMammals shows promise as a tool to collect information about bear presence and absence from participating citizen scientists for use in an integrated population modeling context, presently the iSeeMammals project does not have the intensity of use necessary for WMU level analysis. Existing metrics of bear abundance in 4W indicate that bear harvests are inadequate to achieve the management objective of maintaining a moderate density population.

Comment:

Several writers suggested additional modifications of bear hunting regulations to increase bear harvest, as intended with this rule change, including: allowing hunters to use bait to attract bears, creation of a spring bear hunting season, and expansion of the early bear hunting season into additional WMUs. Additionally, although this rule making did not propose anything beyond additional hunting opportunity in WMU 4W, one writer commented in opposition to potential alternative, non-proposed, bear hunting techniques (e.g., use of bait or dogs, cable restraints, spring seasons).

Response:

The use of bait or dogs to hunt black bears, while highly successful techniques to increase harvest rates of bears, are prohibited by New York State Law and thereby outside the Department's current ability to implement. While both the establishment of a spring bear hunting season and further expansion of early bear season opportunities are within the Department's purview, neither is necessary for population management at this time.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

The Department's Air Pollution Control Permitting Program

I.D. No. ENV-17-20-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Parts 200, 201, 212 and 621 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 1-0101, 3-0301, 19-0103, 19-0105, 19-0301, 19-0302, 19-0303, 19-0305, 19-0311, 70-0109, 71-2103, 71-2105; Clean Air Act (CAA or Act), sections 501-507 (title V)

Subject: The Department's air pollution control permitting program.

Purpose: The purpose of this rulemaking is to improve the clarity and consistency of the Department's air pollution permitting program.

Substance of proposed rule (Full text is posted at the following State website: http://www.dec.ny.gov/regulations/propregulations.html #public): The New York State Department of Environmental Conservation (Department) is proposing to revise its Operating Permit Program as set forth in Title 6 of the Official Compilation of Codes, Rules, and Regulations of the State of New York (6 NYCRR) Parts 200, General Provisions; 201, Permits and Registrations; 212, Process Operations; and 621, Uniform Procedures (collectively, Part 201).

The proposed revisions to Part 200 revise the definition of 'emergency power generating stationary internal combustion engine' to allow operation for more than 500 hours during a declared state disaster emergency as defined under Section 28 of the New York State Executive Law. It also modifies the definition of 'combustion installation' and adds the definitions of 'fossil fuel' and 'furnace', which are being removed from Paragraph 201-2.1(b).

The proposed revisions to Part 621 correct minor language inconsistences in Subdivision 621.4(g). The renewal application deadlines specified in Paragraph 621.11(a)(1) are being revised to be consistent with Part 201. Finally, the language of Subdivision 621.11(i) is being revised to be consistent with Part 201.

Subdivision 621.4(g) is revised to remove an outdated reference to 6 NYCRR Part 215. Subparagraph 621.4(g)(2)(ii) is revised to refer to air state facility permits and correct a reference to Part 201. Clauses 621.4(g)(2)(v)('a') and 621.4(g)(2)(v)('b') are deleted. Paragraph 621.11(a)(1) is revised to clarify the renewal application deadlines for air state facility, Title V facility, and Title IV facility permits. Subdivision 621.11(i) is revised to clarify the public noticing requirements for air permits containing emission caps.

Subdivision 212-1.4(a) is revised to clarify its requirements. Subdivision 212-1.4(k) is revised to address toxic emissions from the iron and steel industry. Paragraph 212-1-5(e)(2) is revised to include an alternative toxic impact assessment method. Table 2 in Section 212-2.2 is revised to

be consistent with Table 1 in Subpart 201-9 and to reflect the latest toxicological information. Table 6 in Subdivision 212-2.5(b) is revised to show permissible emission rates consistent with the formula presented in that Subdivision.

Subdivision 201-1.2(b) is revised and reworded to more clearly state its requirements. Sections 201-1.4, 201-1.5, 201-1.11, 201-1.12, and 201-1.13 are revised to more clearly state their requirements. Finally, a new Section 201-1.16 is added to specify certain criteria that owners or operators of research and development activities must meet to qualify for exemption from permitting requirements.

The definition of 'emergency' in Paragraph 201-2.1(b)(12) is revised to more clearly indicate situations that qualify as an emergency under Part 201. Paragraphs 201-2.1(b)(16) and 201-2.1(b)(17) are repealed and reserved. An extraneous sentence is removed from Paragraph 201-2.1(b)(20) to clarify its meaning. References to greenhouse gas emissions are removed from Paragraph 201-2.1(b)(21). Paragraph 201-2.1(b)(22) is revised to more clearly indicate situations that qualify as a malfunction under Part 201. Paragraph 201-2.1(b)(23) is repealed and reserved. Paragraph 201-2.1(b)(24) is revised to indicate that portable emission sources that remain at the same facility for 12 consecutive months will be treated as stationary emission sources. Paragraph 201-2.1(b)(26) is revised to include registrations. Paragraph 201-2.1(b)(29) is revised to more clearly indicate what qualifies as a temporary emission source. Finally, Paragraphs 201-2.1(b)(30), 201-2.1(b)(31), and 201-2.1(b)(32) are repealed.

Section 201-3.1 is revised to clarify its requirements and intent. Paragraph 201-3.2(c)(1) is revised to clarify the specific types of combustion installations that qualify for exemption. Paragraph 201-3.2(c)(6) is revised to remove engine test cells from the exempt activity. Paragraph 201-3.2(c)(13) is revised to clarify the emissions threshold for exempt graphic arts facilities. Paragraph 201-3.2(c)(21) is revised to remove liquid asphalt storage tanks from the exempt activity and add biodiesel storage tanks. Paragraph 201-3.2(c)(25) is revised to add liquid asphalt storage tanks to the exempt activity. Paragraph 201-3.2(c)(29) is revised to clarify which types of crushing operations qualify for exemption. Paragraph 201-3.2(c)(36) is revised to exclude plastic extrusion processes using halogenated polymers from the exempt activity. Paragraph 201-3.2(c)(40) is revised to clarify that laboratory operations producing commercial quantities of materials are not considered to be exempt. Paragraph 201-3.2(c)(44) is repealed and reserved. Paragraph 201-3.2(c)(46) is revised to include natural gas and methane fuel cells as an exempt activity. A new Paragraph 201-3.2(c)(49) is added to list covered manure storage exhausting to a flare or other appropriate control device as an exempt activity. A new Paragraph 201-3.2(c)(50) is added to list coffee roasting processes with a maximum operating capacity of less than 25 tons per year of green coffee beans as exempt activities. A new Paragraph 201-3.2(c)(51) is added to list process emission sources at breweries with total beer and malt liquor production less than 60,000 barrels per year as exempt activities. A new Paragraph 201-3.2(c)(52) is added to list process emission sources at wineries with total wine and brandy production less than 700,000 gallons per year as exempt activities. A new Paragraph 201-3.2(c)(53) is added to list process emission sources at distilleries with less than 10,000 distiller's bushels of grain input per year as exempt activities. A new Paragraph 201-3.2(c)(54) is added to list process emission sources at lumber drying kilns with an annual throughput of untreated wood less than 275,000 board feet as exempt activities.

Paragraph 201-3.3(c)(8) is revised to include nail salons as a trivial activity. Paragraph 201-3.3(c)(28) is repealed and replaced with an activity that applies to sub-slab depressurization systems. Paragraph 201-3.3(c)(29) is revised to clarify its intent. Paragraph 201-3.3(c)(30) is revised to clarify its intent. Paragraph 201-3.3(c)(41) is revised to remove tub grinders and construction and demolition waste crushers from the trivial activity. It is also revised to specifically exclude construction and demolition waste crushers and automotive and scrap metal shredding operations from the trivial activity. Paragraph 201-3.3(c)(63) is revised to more clearly indicate that the trivial activity does not apply to surface coating operations at woodworking facilities. Existing Paragraph 201-3.3(c)(84) is repealed and replaced with new language indicating that laser and plasma cutters and trimmers using appropriate emission control devices that do not emit hazardous air pollutants are considered trivial activities. Paragraph 201-3.3(c)(85) is repealed and reserved.

Paragraph 201-4.1(a)(2) is revised to replace the reference to 'persistent, bioaccumulative, and toxic compounds' with 'high toxicity air contaminants'. Further, Paragraph 201-4.1(a)(2) is revised to more clearly indicate that emissions of high toxicity air contaminants from combustion sources and exempt and trivial activities are not included in the facility wide total when determining air facility registration applicability. Subdivision 201-4.2(d) is revised to more clearly indicate that an air facility registration must be issued by the Department prior to the commencement of construction of a new or modified facility or emission source. Subdivision 201-4.2(f) is revised to indicate that the Department may withdraw or revoke air facility registrations in a manner that is consistent with the procedures set forth in 6 NYCRR Part 621. Paragraph 201-4.3(a)(1) is revised to more clearly state the identification information that must be provided with registration applications. Paragraph 201-4.3(a)(5) is revised to replace the reference to 'persistent, bioaccumulative, and toxic compounds' with 'high toxicity air contaminants'. Subdivision 201-4.4(a) is repealed. Subdivision 201-4.4(b) is renumbered as Subdivision 201-4.4(a) and revised to include a permit transfer application as part of the material that must be submitted following a change in facility ownership. Subdivision 201-4.5(a) is repealed and replaced with a new Subdivision 201-4.5(a) that more clearly states the purpose and applicability of cap-by-rule. Paragraph 201-4.5(j)(1) is renumbered as Subdivision 201-4.5(k).

Paragraph 201-5.1(a)(3) is revised to replace the reference to 'persistent, bioaccumulative, and toxic compounds' with 'high toxicity air contaminants'. Further, Paragraph 201-5.1(a)(3) is revised to more clearly indicate that emissions of high toxicity air contaminants from combustion sources and exempt and trivial activities are not included in the facility wide total when determining state facility permit applicability. Existing Subdivision 201-5.1(b) is revised to more clearly states that construction or modification may not commence until a state facility permit is issued by the Department. Paragraph 201-5.2(b)(8) is revised to replace the reference to 'persistent, bioaccumulative, and toxic compounds' with 'high toxicity air contaminants'. A new Subdivision 201-5.2(d) is added to state that renewal applications for state facility permits containing emissions caps are subject to public noticing requirements as described in 6 NYCRR Part 621. Subdivision 201-5.3(b) is revised to clarify which state facility permits are subject to recall as described in the Subdivision. Existing Section 201-5.4 is repealed and replaced with a new Section 201-5.4 that changes the modification procedures for state facility permits. The new Section 201-5.4 differentiates between significant and minor state facility permit modifications and establishes the necessary procedures for their review and issuance. Section 201-5.4 also describes appropriate procedures for certain changes at facilities holding a state facility permit that may be made without a permit modification in certain situations and following appropriate advance notification procedures. Finally, Section 201-5.4 allows the Department to require a permit modification for changes that would otherwise be subject to the advance notification provisions if an adverse environmental impact exists.

Subdivision 201-6.1(a) is revised to more clearly indicate that the described facilities may not be constructed or operated without first obtaining a Title V permit. Paragraph 201-6.2(a)(2) is revised to require the submission of a complete application prior to the commencement of construction of new or modified emission units that would make an existing facility subject to Title V permitting requirements. Paragraph 201-6.2(a)(2) is further revised to require the submission of a Title V permit application within one year of the commencement of operation of the new or modified emission units if the facility was issued an air state facility permit to construct. Subparagraph 201-6.2(d)(3)(i) is revised to replace the reference to 'persistent, bioaccumulative, and toxic compounds' with 'high toxicity air contaminants'. Subparagraph 201-6.4(c)(3)(i) is revised to require that electronic monitoring reports be submitted in a format acceptable to the Department. Existing Subdivision 201-6.4(f) is repealed and replaced with a new Subdivision 201-6.4(f) that more clearly describes operational flexibility requirements for Title V permits. The new Subdivision 201-6.4(f) outlines the procedures facility owners and operators must follow to establish alternate operating scenarios and operational flexibility protocols should they choose to do so. Subdivision 201-6.5(c) is revised to reference the emergency defense provisions of Section 201-1.5 as they are better described at that Section.

Subdivision 201-7.1(c) is revised to more clearly describe the information that must be included in applications proposing one or more emissions caps.

Existing Subpart 201-9 is repealed and replaced to be consistent with Table 2 in 6 NYCRR Part 212-2.2.

A new Subpart 201-10 is added to include a severability clause for Part 201.

Text of proposed rule and any required statements and analyses may be obtained from: Mark Lanzafame, P. E., NYSDEC, Division of Air Resources, 625 Broadway, Albany, NY 12233-3254, (518) 402-8403, email: air.regs@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: June 29, 2020.

Additional matter required by statute: Pursuant to Article 8 of the State Environmental Quality Review Act, a Short Environmental Assessment Form and a Coastal Assessment Form have been prepared and are on file.

Summary of Regulatory Impact Statement (Full text is posted at the following State website: http://www.dec.ny.gov/regulations/propregulations.html#public):

The New York State Department of Environmental Conservation (Department) is proposing revisions to its Operating Permitting Program

(OPP). The OPP sets forth the regulatory requirements and procedures for owners and operators of air contamination sources applying for and obtaining a permit or registration from the Department for the construction and operation of the source. The OPP is authorized under Section 19-0311 of the New York State Environmental Conservation Law (ECL) and implemented by the Department through Title 6 of the New York Compilation of Codes, Rules and Regulations (6 NYCRR) Parts 200, General Provisions; 201, Permits and Registrations; and 621, Uniform Procedures (collectively, Part 201). This rulemaking will revise Part 201 to clarify certain requirements, provide consistent implementation of the OPP across the state, streamline the air permitting process, and facilitate the ease of use and timely administration of the OPP. Additionally, this proposal will modify 6 NYCRR Section 212-2.2, Table 2 – High Toxicity Air Contaminant (HTAC) list, to be consistent with Table 1 of Subpart 201-9. Finally, this proposal will also make minor changes to 6 NYCRR Part 212 to improve the clarity of its requirements.

1. STATUTORY AUTHORITY

The statutory authority for these regulations is found in Sections 1-0101, 3-0301, 19-0103, 19-0105, 19-0301, 19-0302, 19-0303, 19-0305, 19-0311, 70-0109, 71-2103, and 71-2105 of the ECL, and Sections 501-507 (Title V) of the Clean Air Act (CAA or Act).

2. LEGISLATIVE OBJECTIVES

The CAA Amendments of 1990 established federal standards for state OPPs in order to fulfill the Act's environmental protection goals. Title V established standard requirements for state OPPs to ensure that major sources of air pollution complied with the requirements of the Act, along with any federal standards promulgated by EPA (Environmental Protection Agency) to protect air quality. Title V requires states to establish a permit program implementing the requirements of the Act and requires EPA to review and approve the program. The OPP must address both large and small sources of air pollution and provide a basis for implementing and enforcing federal and state regulations.

Articles 1 and 3 of the ECL define the legislative policy objective of reducing air pollution and providing clean air for the citizens of New York and provide authority for the Department to adopt and enforce regulations to accomplish these objectives. Additionally, Article 19 of the ECL was enacted to safeguard the air quality of the state from pollution, ensure the protection of public health and the welfare of the people of the State and, to that end, require the use of all available practical and reasonable methods to prevent and control air pollution in the State. In furtherance of these objectives, ECL Article 19 grants specific powers and duties to the Department, including the authority to promulgate regulations requiring sources of air pollution to obtain permits and registrations from the Department and the authority to control and abate new and existing sources of air pollution.

3. NEEDS AND BENEFITS

Need for Revisions to the OPP

On February 22, 2013, the Department adopted revisions to Part 201 which updated its requirements, improved its clarity and consistency, and made the air permitting process easier to implement. Despite the overall success of this rulemaking, the Department identified items that need additional clarification. The Department has received feedback from the regulated community regarding ways to improve implementation of Part 201. Accordingly, the Department is proposing to amend Parts 200, 201, 212, and 621 to ensure that the OPP is as efficient and up to date as possible, and that it is implemented consistently across the state.

Benefits of Air Permitting Program Revisions

Adopting the proposed revisions will allow the Department to further streamline the air permitting process and provide clarity to the requirements. As a result, the OPP will become more efficient and easier to implement, thus reducing the compliance burden on both the Department and regulated facilities. In addition, this proposal will allow the Department to better fulfill its mandate to protect the citizens and environment of the state by updating the list of HTACs to be consistent with the most recent toxicological data.

Proposed Amendments to Part 200

The Department is proposing to amend Part 200.1(cq) by revising the definition of 'emergency power generating stationary internal combustion engine' to allow emergency engines to operate more than 500 hours per year during a declared state of emergency. The Department did not intend to prohibit the operation of these engines during such an emergency. The Department is also proposing to amend Part 200.1(l) by revising the definition of 'combustion installation' to add "other solid, liquid, and gaseous fuels" to those listed. The Department is also proposing to relocate the definitions of the terms 'fossil fuel' and 'furnace' from Subpart 201-2 to Part 200.1.

Proposed Amendments to Part 621

Subdivision 621.4(g) will be revised to provide clarity and conform these provisions with the requirements of Part 201. Specifically, the proposed revisions will correct several minor inconsistencies in regulatory citations and language making it easier to understand and implement.

In addition, the proposal will correct an inconsistency with the renewal provisions for air state facility permits. Existing Paragraph 621.11(a)(1) states that renewal applications for non-major (i.e. state facility) air permits are due no later than 30 days prior to the expiration date of the permit. This is inconsistent with Subdivision 201-5.2(c), which states that renewal applications for state facility permits must be submitted no later than 180 days prior to the expiration date of the permit. It is the Department's intent that renewal applications for state facility permits be submitted as described in Subdivision 201-5.2(c).

Proposed Amendments to Part 201

Minor language revisions throughout Part 201 are being proposed. Further, the definitions of 'permit shield', 'Title V facility permit', 'Title V facility permit modification', and 'Title V facility permit revision' are being removed as those terms are more clearly described elsewhere within Part 201.

The proposal will add a new Section 201-1.16 addressing research and development (R&D) activities. These activities are currently exempt from permitting in situations where products are not being produced for commercial sale. An increase in R&D activities being conducted throughout the State necessitates a more thorough review of these activities and their emissions.

Several exempt and trivial activities will be updated to make it easier to determine whether an emission source qualifies for exemption. The Department is proposing a new exempt activity that applies to wood and lumber drying kilns processing 275,000 board feet or less of untreated wood on an annual basis. The Department is also proposing a new exempt activity that applies to coffee roasting processes with a maximum operating capacity of 3 kilograms or less per batch and 25 tons or less per year of green coffee beans. The proposed revisions also include three new exempt activities for certain small beverage alcohol production facilities. Finally, a new exemption for covered manure storage exhausting to a flare is proposed.

The proposed revisions to Section 201-3.2 will reduce the maximum size for exempt liquid asphalt storage tanks from 300,000 barrels (12,600,000 gallons) to 10,000 gallons and add biodiesel storage tanks to the exempt activity.

Finally, the Department is proposing to revise a trivial activity that applies to certain solid waste handling operations. This activity was revised during the February 2013 revisions to Part 201 to include tub grinders and construction and demolition waste crushers. It has become clear that both activities can be a significant source of nuisance dust and are therefore not appropriately classified as trivial activities.

Subdivision 201-4.5(a) will be clarified. The Department does not intend to prevent facility owners or operators from registering because they cannot cap-by-rule to avoid a state VOC RACT regulation. Such a facility could cap-by-rule in order to avoid major facility status while still complying with VOC RACT requirements.

Section 201-5.2 will be amended to state that state facility permits containing emissions caps must be publicly noticed when they are renewed or modified.

The modification procedures for state facility permits will be restructured. These new provisions mirror the modification provisions for Title V facility permits by addressing significant and minor modifications, allowing the Department to act more quickly on certain modification applications. Proposed Section 201-5.4 also includes provisions for changes that can be made at permitted facilities without a permit modification.

Subdivision 201-6.4(f) outlines the procedures for implementing operational flexibility at Title V facilities. This section will be revised to clarify its intent and simplify implementation.

The group of compounds listed as persistent, bioaccumulative and toxic compounds will be renamed as HTACs in order to be consistent with Part 212. This change does not affect the requirements for calculating HTAC emissions when determining what type of permit is required for a facility. Additionally, the Department has re-evaluated the list of HTACs based on the latest available data, resulting in several changes to the listed compounds.

Proposed Amendments to Part 212

The Department is proposing to update Table 2 – HTAC list, in Section 212-2.2 to be consistent with Table 1 in Subpart 201-9.

Subdivision 212-1.4(k) will be revised to address mercury and other toxic emissions from the iron and steel industry.

Paragraph 212-1.5(e) will be revised to simplify the toxic impact assessment requirements in certain situations.

4. COSTS

The Department does not anticipate any increase in costs to the regulated community as a result of this rulemaking. Affected facilities are already required to pay applicable fees, monitor operations, and operate pollution control equipment. Further, many of these facilities already employ the necessary staff required to complete these tasks. Any increase

in costs to the regulated community due to the HTAC list changes is expected to be minimal.

An informal survey of facility owners and operators conducted in 1996 by the New York State Environmental Facilities Corporation (EFC) determined the average cost of applying for a minor facility permit in two different regions of the state: downstate and upstate. When updated to 2017 dollars, the costs for state facility permit and registration applications in the downstate region ranged from $1,600 to $7,700 per emission point. In the upstate region, these costs are estimated at $1,900 to $4,300. Some facilities may choose to hire a consulting firm to assist with this process. The Department estimates that the cost of hiring a consulting firm is approximately $6,000.

5. PAPERWORK

The proposed changes are not expected to increase the amount of paperwork required from affected facilities.

6. LOCAL GOVERNMENT MANDATES

The proposed revisions do not create any local government mandates and are not expected to result in any additional burdens to State or local governments.

7. DUPLICATION

The proposal does not duplicate any state or federal regulations or statutes. The final rule will conform to the requirements of the Act and the ECL.

8. ALTERNATIVES

The only alternative to this proposal is to take no action, which will have several negative consequences. Confusing language will remain in the regulations, resulting in inconsistent implementation and a lengthier permitting process. The mass emission thresholds for HTACs will remain inconsistent with the current assessment of their relative risk, potentially requiring the owner or operator of a facility emitting these compounds to unnecessarily obtain a state facility permit at a greater cost.

9. FEDERAL STANDARDS

The proposed revisions to Part 201 are consistent with federal standards and fulfill the Department's obligations under the Act.

The proposed revisions to Part 212 use federal NESHAP standards as a floor and build upon them to ensure that all air toxic emissions are appropriately regulated.

10. COMPLIANCE SCHEDULE

The proposed revisions do not result in the establishment of any compliance schedules. The regulation will take effect 30 days after publication in the State Register.

Regulatory Flexibility Analysis

The New York State Department of Environmental Conservation (Department) is proposing several revisions to its Operating Permitting Program (OPP). The OPP sets forth the regulatory requirements and procedures to allow owners and operators of an air contamination source to apply for and obtain a permit or registration from the Department for the construction and operation of such sources. The OPP is authorized under Section 19-0311 of the New York State Environmental Conservation Law (ECL) and implemented by the Department through Title 6 of the New York Compilation of Codes, Rules and Regulations (6 NYCRR) Parts 200, General Provisions; 201, Permits and Registrations; and 621, Uniform Procedures (collectively, Part 201). This rulemaking will revise Part 201 to clarify certain requirements, provide consistent implementation of the OPP across the state, streamline the air permitting process, and facilitate the ease of use and timely administration of the OPP. Additionally, this proposal will modify 6 NYCRR Section 212-2.2, Table 2 – High Toxicity Air Contaminant (HTAC) list, to make it consistent with Table 1 of Subpart 201-9. Finally, this proposal will also make several minor changes to 6 NYCRR Part 212 to clarify its requirements.

1. EFFECT OF RULE

The proposed revisions to Part 201 are not expected to adversely affect small businesses and local governments. The owner or operator of an air emission source is required to obtain and comply with a permit or registration for that source. Small businesses and local governments are currently required to comply with this requirement under the existing Part 201. The proposed revisions will make the terms and conditions of Part 201 easier to understand and implement, simplifying the compliance process.

2. COMPLIANCE REQUIREMENTS

Small businesses and local governments that own or operate a non-exempt stationary emission source are currently required to complete and file an appropriate permit or registration application for the construction and operation of that facility. Once a permit or registration is issued, the facility owner or operator is required to comply with all terms and conditions of that permit or registration, and ensure that it accurately reflects facility operations. This requirement will not change as a result of these proposed revisions.

3. PROFESSIONAL SERVICES

Small businesses and local governments are able to comply with the requirements of Part 201 without contracting with any professional services. In some cases, however, small businesses and local governments may choose to hire a private consulting firm to assist them with meeting their obligations under Part 201. The decision to employ a consulting firm is voluntary, and any associated costs are incurred at the discretion of the affected facility.

4. COMPLIANCE COSTS

Compliance costs for small businesses and local governments are not expected to increase as a result of the proposed revisions. A more detailed analysis of the costs associated with this rulemaking is presented in the Regulatory Impact Statement.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY

The proposed revisions to Part 201 do not contain any additional technological requirements for affected facilities. Also, the Department does not expect a significant change in the economic feasibility of Part 201 as a result of these revisions. Affected facilities are currently required to obtain permits and registrations from the Department. Several thousand facilities of various sizes are currently operating in compliance with Part 201 throughout the State. This is expected to continue after these proposed revisions are promulgated.

6. MINIMIZING ADVERSE IMPACT

The proposed revisions to Part 201 are not expected to have an adverse impact on small businesses and local governments. New and existing facilities are already required to comply with the current version of Part 201, and the scope of the regulation is not changing as a result of the proposed revisions. These proposed revisions are intended to simplify the permitting process by making it easier to understand and more efficient.

To assist small businesses with environmental compliance, the Department provides free and confidential support through the Small Business Environmental Assistance Program (SBEAP), administered by the New York State Environmental Facilities Corporation. Interested facility owners and operators can contact SBEAP staff for free and confidential assistance filing permit and registration applications, as well as for advice and strategies for maintaining compliance with environmental regulations. This program provides small businesses with a cost saving option while ensuring that they are in compliance with the requirements of Part 201.

The proposed revisions will also add an alternative compliance option for facilities required to develop a Toxic Impact Assessment (TIA) pursuant to Paragraph 212-1.5(e)(2). This alternative compliance option would allow the facility owner or operator to demonstrate compliance with Part 212 by showing that the facility's emissions are below the applicable HTAC thresholds rather than by completing an air dispersion modeling analysis and TIA. As a result, the facility owner or operator would avoid the potentially high cost of performing the air dispersion modeling.

7. SMALL BUSINESS AND LOCAL GOVERNMENT PARTICIPATION

As stated above, small businesses and local governments are not expected to be directly affected by the proposed revisions to Part 201. The Department conducted stakeholder outreach to all facilities potentially affected by this proposal, including national and local associations and manufacturers, and have given stakeholders several opportunities to participate in the development of the proposed rule. Potentially affected entities, including those involved in small businesses and local governments, will have the opportunity to review and comment on the draft rulemaking in accordance with State rulemaking requirements, and all comments will be considered during the development of the proposed requirements.

8. CURE PERIOD OR AMELIORATIVE ACTION

No additional cure period or other opportunity for ameliorative action is included in this rulemaking. This proposal will not result in immediate violations or impositions of penalties for existing facilities.

9. INITIAL REVIEW

The initial review of these rules shall occur no later than the third calendar year after the year in which it is adopted.

Rural Area Flexibility Analysis

The New York State Department of Environmental Conservation (Department) is proposing several minor revisions to its Operating Permitting Program (OPP). The OPP sets forth the regulatory requirements and procedures to allow owners and operators of an air contamination source to apply for and obtain a permit or registration from the Department for the construction and operation of such sources. The OPP is authorized under Section 19-0311 of the New York State Environmental Conservation Law (ECL) and implemented by the Department through Title 6 of the New York Compilation of Codes, Rules and Regulations (6 NYCRR) Parts 200, General Provisions; 201, Permits and Registrations; and 621, Uniform Procedures (collectively, Part 201). This rulemaking will revise Part 201 to clarify certain requirements, provide consistent implementation of the OPP across the state, streamline the air permitting process, and facilitate the ease of use and timely administration of the OPP. Additionally, this proposal will modify 6 NYCRR Section 212-2.2, Table 2 – High Toxicity Air Contaminant (HTAC) list, to make it consistent with Table 1 of Subpart 201-9. Finally, this proposal will also make several minor changes to 6 NYCRR Part 212 to improve the clarity of its requirements.

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS

Part 201 applies to the owner or operator of any facility operating one or more stationary emission sources in New York State. Affected facilities range in scale from small industries with a handful of emission sources, to large scale industries with hundreds of emission sources. Affected facilities are located in communities throughout the state, including rural areas. The owner or operator of such a facility is already required to comply with the permitting and registration provisions of the existing Part 201. This proposal seeks to modify and update those provisions in order to make them easier to understand and implement. These changes are expected to result in increased efficiency at regulated facilities, potentially decreasing compliance costs. Accordingly, no adverse impacts on rural areas are anticipated due to this rulemaking.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES

Facility owners and operators that are subject to the requirements of Part 201 are required to obtain a facility permit or registration from the Department based on the facility's potential to emit. Once issued, the permit or registration contains terms and conditions that the facility owner or operator is required to adhere to in order to demonstrate continuous compliance with state and federal rules and regulations that apply to the operation of that facility. Part 201 applies to all facilities operating stationary emission sources, regardless of their location. The proposed revisions will increase the clarity and efficiency of the rule, making compliance easier and more efficient for facility owners and operators.

Owners and operators of facilities subject to Part 201 may choose to hire a private consulting firm to assist them with meeting their obligations under Part 201. The decision to employ a consulting firm is voluntary, and any associated costs are incurred at the discretion of the affected facility.

3. COSTS

A detailed analysis of the costs for complying with the requirements of Part 201 can be found in the Regulatory Impact Statement for this rulemaking and is incorporated here. The annualized compliance costs and application preparation costs described in that analysis are expected to be comparable to those of affected facilities located in rural areas. The proposed revisions to Part 201 will increase the clarity and efficiency of the air permitting program, potentially leading to cost savings over the current regulation.

4. MINIMIZING ADVERSE IMPACT

The Department does not anticipate any adverse impacts to rural areas as a result of this proposal. Permitting sources of air pollution regardless of ownership or location is necessary to ensure that they are operated in a way that protects the public health and the environment. In addition, the proposed revisions to Part 201 will make it easier for facility owners and operators to understand and comply with its requirements.

The proposed revisions will add a new exempt activity for covered manure storage systems equipped with flares. These systems are becoming increasingly prevalent at farms statewide as part of an effort to reduce methane emissions from their operations. As an exempt activity, such a system would not be required to obtain an air facility registration or air pollution control permit, making it easier for interested farms to purchase and install such a system. Additionally, this proposed exemption will eliminate any air pollution control permitting costs associated with a cover and flare system.

The proposed revisions will also add an alternative compliance option for facilities required to develop a Toxic Impact Assessment (TIA) pursuant to Paragraph 212-1.5(e)(2). This alternative compliance option would allow the facility owner or operator to demonstrate compliance with Part 212 by showing that the facility's emissions are below the applicable HTAC thresholds rather than by completing an air dispersion modeling analysis and TIA. As a result, the facility owner or operator would avoid the potentially high cost of performing the air dispersion modeling.

5. RURAL AREA PARTICIPATION

The Department conducted stakeholder outreach to all facilities potentially affected by this proposal, including those that are located in rural areas. Potentially affected entities, including those located in rural areas of the state, will have the opportunity to review and comment on the draft rulemaking in accordance with State rulemaking requirements, and all comments will be considered during the development of the proposed requirements.

Job Impact Statement

The New York State Department of Environmental Conservation (Department) is proposing several revisions to its Operating Permitting Program (OPP). The OPP sets forth the regulatory requirements and procedures to allow owners and operators of an air contamination source to apply for and obtain a permit or registration from the Department for the construction and operation of such sources. The OPP is authorized under Section 19-0311 of the New York State Environmental Conservation Law (ECL) and implemented by the Department through Title 6 of the New York Compilation of Codes, Rules and Regulations (6 NYCRR) Parts 200, General Provisions; 201, Permits and Registrations; and 621, Uniform Procedures (collectively, Part 201). This rulemaking will revise Part 201 to clarify certain requirements, provide consistent implementation of the OPP across the state, streamline the air permitting process, and facilitate the ease of use and timely administration of the OPP. Additionally, this proposal will modify 6 NYCRR Section 212-2.2, Table 2 – High Toxicity Air Contaminant (HTAC) list, to make it consistent with Table 1 of Subpart 201-9. Finally, this proposal will also make several minor changes to 6 NYCRR Part 212 to improve the clarity of its requirements.

1. NATURE OF IMPACT

The proposed revisions to Part 201 are not expected to have any measurable impacts on jobs or employment opportunities in the state. Affected facilities are already required to comply with the OPP, and currently do so with existing staff or by contracting with outside consulting firms. The proposed revisions will make the terms and conditions of Part 201 easier to understand and implement, simplifying the compliance process and allowing existing resources at affected facilities to be used more efficiently.

2. CATEGORIES AND NUMBERS AFFECTED

Facility owners and operators affected by Part 201 may choose to hire professional engineering staff to assist with the completion of permit applications, and ensure their facility meets its obligations under their permit. Most facilities already employ the necessary staff to meet these needs. Professional engineering consultants may be retained where dedicated staff is unavailable, but that decision will be made by the facility owner or operator. In addition, the proposed changes will increase the clarity and efficiency of the air permitting process, allowing technical staff and consultants to complete the necessary work more quickly and efficiently.

3. REGIONS OF ADVERSE IMPACT

The proposed revisions to Part 201 are not anticipated to have any adverse impacts on jobs or employment opportunities in the state. Accordingly, there are no regions of disproportionate or adverse impacts.

4. MINIMIZING ADVERSE IMPACT

The proposed revisions to Part 201 are not anticipated to have any adverse impacts on jobs or employment opportunities in the state. Facilities are already required to comply with the current version of Part 201, and the scope of the regulation is not changing as a result of the proposed revisions. These proposed revisions are intended to simplify the permitting process by making it easier to understand and more efficient.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Emission Statements

I.D. No. ENV-17-20-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Subpart 202-2 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 1-0101, 3-0301, 3-0303, 19-0103, 19-0105, 19-0107, 19-0301, 19-0302, 19-0303, 19-0305, 71-2103 and 71-2105

Subject: Emission Statements.

Purpose: The purpose of this rule making is to require electronic submittal of annual emission statements beginning in 2022.

Substance of proposed rule (Full text is posted at the following State website: http://www.dec.ny.gov/regulations/propregulations.html #public): The purpose of 6 NYCRR Subpart 202-2 is to establish the requirements for annual emission statements filed by facilities subject to Title V permits. The New York State Department of Environmental Conservation (DEC) is proposing revisions to Subpart 202-2 to require electronic submittal of annual emission statements. This requirement will be phased in beginning with Title V permits issued in 2021. A summary of the revisions to Subpart 202-2 are presented in this document.

Proposed changes to Section 202-2.1, "Applicability:" A new subdivision 202-2.1(c) is proposed that mandates the electronic submittal of emission statements. The new requirement will be included as an enforceable condition in new or renewed Title V permits issued after January 1, 2021. Further, by reporting year 2025, all emission statements will be subject to the electronic submittal requirement.

Proposed changes to Section 202-2.3, "Required contents of an emission statement:" The first sentence of paragraph 202-2.3(a)(1) will be revised to read: "(A) responsible official must sign a form or other legal instrument provided by the department to certify the emission statement information" (proposed new text underlined). In addition, subparagraph 202-2.3(a)(3)(ix) will be modified to require that the sum of the percent operation by season reported in emission statements must equal 100. Further, subparagraph 202-2.3(a)(3)(xii) will be modified to state that report-

ing of emissions for processes with source classification codes beginning with a 1 or a 2 is optional. If the facilities don't report emissions for these processes, DEC will calculate process-level emissions based upon the process-level fuel use reported by a facility. Subdivision 202-2.3(d) will be edited to state that facilities with Title V operating permits will be provided emission statement survey forms provided by the Department. Finally, subdivision 202-2.3(e) will be revised to require that facilities report emissions of SO2, primary PM2.5, primary PM10 for exempt sources during periodic inventory years in addition to the pollutants listed in the current version of the rule.

Proposed changes to Section 202-2.4, "Procedures:" Subdivision 202-2.4(a) will be repealed and replaced. New paragraph 202-2.4(a)(1) and 202-2.4(a)(2) will maintain the current April 15th deadline for submitting emissions statements until such time that a facility is subject to the electronic reporting requirement. New paragraph 202-2.4(a)(3) establishes the following deadlines for submitting emission statements under the new electronic submittal requirement:

a) March 15th of each year for facilities with three (3) or fewer processes listed in their Title V permit;

b) March 31st of each year for facilities with four (4) to six (6) processes listed in their Title V permit;

c) April 15th of each year for facilities with seven (7) to twelve (12) processes listed in their Title V permit; or

d) April 30th of each year for facilities with thirteen (13) or more processes listed in their Title V permit.

New paragraph 202-2.4(d) sets forth situations in which emission statements may be submitted via courier instead of electronic submittal:

a) when data cannot be labeled as confidential business information using the Department's electronic interface in accordance with 6 NYCRR Part 616; or

b) a facility receives permission from the Department after demonstrating a need to submit via courier due to a failure of the electronic reporting interface.

Subdivisions 202-2.4(b) and 202-2.4(c) were modified to account for the change in the due date for submitting emission statements from April 15th of each year to the dates provided in paragraph 202-2.4(a)(3).

Text of proposed rule and any required statements and analyses may be obtained from: Carlos Mancilla, P.E., Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3251, (518) 402-8396, email: air.regs@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: June 29, 2020.

Additional matter required by statute: Pursuant to Article 8 of the State Environmental Quality Review Act, a Short Environmental Assessment Form and a Coastal Assessment Form have been prepared and are on file.

Summary of Regulatory Impact Statement (Full text is posted at the following State website:http://www.dec.ny.gov/regulations/propregulations.html#public):

INTRODUCTION

The Department of Environmental Conservation (DEC) is proposing to revise Subpart 202-2 to require the electronic submission of annual Emission Statements beginning in 2022 (for calendar year 2021 emissions reporting) for facilities subject to Title V of the Clean Air Act (CAA). Electronic reporting has been available for Title V facilities since 2011 (for calendar year 2010 emissions reporting).

STATUTORY AUTHORITY

The statutory authority for the promulgation of 6 NYCRR Part 202-2 is found in the New York State Environmental Conservation Law (ECL), Sections 1-0101, 3-0301, 3-0303, 19-0103, 19-0105, 19-0107, 19-0301, 19-0302, 19-0303, 19-0305, 71-2103, and 71-2105.

LEGISLATIVE OBJECTIVES

Article 19 of the ECL was enacted to safeguard the air resources of New York from pollution and ensure protection of the public health and welfare, the natural resources of the state, and physical property by integrating industrial development with sound environmental practices. It is the policy of the state to require the use of all available, practical and reasonable methods to prevent and control air pollution in New York. To facilitate this objective, the Legislature granted specific powers and duties to the DEC, including the power to adopt and promulgate regulations to prevent, control and prohibit air pollution. The provisions cited above clearly provide the DEC with the requisite authority to create this regulation.

NEEDS AND BENEFITS

Background

Section 182(a)(3)(B) of the CAA required states to revise state implementation plans by November 15, 1990 to require owners or operators of stationary sources to submit emission statements on an annual basis beginning in 1993. The requirements for emission statements are set forth in EPA's Air Emissions Reporting Requirements rule (AERR, see 40 CFR 51). In order to implement the emissions statement requirements referenced above, the DEC adopted 6 NYCRR Subpart 202-2, "Emission Statements" on July 15, 1994. Subpart 202-2 was subsequently revised on April 29, 2005.

Pursuant to Section 202-2.4 of the current rule, emission statements must be submitted by April 15th of each year. Emission statements were submitted on paper only from 1993 through 2010. Since 2011 (for calendar year 2010 emissions reporting), facilities had the option of submitting emission statements via paper or electronically. In 2019 (for calendar year 2018 emissions reporting), 62 percent of facilities in New York subject to Title V of the CAA submitted their emission statement electronically.

The DEC's Division of Air Resources (DAR) must develop an estimate of the billable emissions from the prior year by June 1st of each year. For statements that have not been processed as of June 1st, emissions are estimated based on the emissions reported in the last emissions statement submitted or a facility's potential-to-emit if no prior statement has been submitted. This estimate is factored into the annual Operating Permit Program Fee Rule (6 NYCRR Subpart 482-2) which must be posted in the Environmental Notice Bulletin and filed with the DEC of State by July 1st of each year[1] or 30 days after the state budget is passed, whichever is later.

DEC issues invoices for fee bills to facilities subject to Title V of the CAA on or about September 1st of each year. In order to meet that deadline, all emission statements must be processed by August 1st of each year to allow sufficient time to conduct quality assurance audits and prepare invoices.

This proposed rulemaking will affect how facilities submit emission statements to the DEC beginning in 2022 for the 2021 reporting period. DEC is proposing to require that emission statements be submitted electronically. The compliance schedule and exceptions to the electronic reporting requirement are outlined below.

Electronic Reporting Schedule

The new electronic reporting requirement will apply to facilities issued a new or renewed Title V permit on or after January 1, 2021. The first reporting year in which the electronic reporting requirement will apply is the year of permit issuance. For example, a facility issued a new or renewed Title V permit during calendar year 2023 must submit emission statements electronically beginning in 2024 (for calendar year 2023 emissions reporting). All emission statements submitted in 2026 (for calendar year 2025 emissions reporting) and thereafter must be submitted electronically except under certain situations (see below).

The due dates for submitting an annual emission statement will be based on the number of processes listed in a Title V permit as follows:

1. March 15th of each year for facilities with 3 or fewer processes listed in a Title V permit;

2. March 31st of each year for facilities with 4 to 6 processes listed in a Title V permit;

3. April 15th of each year for facilities with 7 to 12 processes listed in a Title V permit; or

4. April 30th of each year for facilities with 13 or more processes listed in a Title V permit.

This schedule was established based upon a review of the number of processes listed in Title V permits as of February 28, 2019. The data generated during that review was divided into quartiles. It was assumed that, in general, the level of effort required to prepare an emission statement is directly proportional to the number of processes for which emissions are reported.

Facilities subject to electronic reporting may submit an emission statement via courier (e.g., United States Postal Service) under the following situations:

1. a facility cannot designate data (e.g., process-throughputs) considered confidential business information using the electronic reporting interface; or

2. a facility receives permission from the DEC due to a failure of the electronic interface or other related problems.

In cases where one of the above exemptions apply, the emission statement due date will continue to be based upon the above-referenced schedule.

Benefits

It is anticipated that after the first electronic submittal the process for submitting emission statements should be simpler with a lower overall cost. The first electronic submittal may take more time to complete than a paper copy depending on the complexity of the processes at a facility (e.g., some industrial processes emit dozens of pollutants). DEC is looking into ways to minimize this initial burden (e.g., DEC could pre-populate pollutants at the process level).

From the second year on, the list of pollutants for each process will be saved in the electronic reporting system. As a result, facilities would need only to enter process throughput and emissions data along with any facility process changes that occurred during the reporting year. DEC anticipates this will reduce the level of effort required to prepare and submit subsequent emission statements.

The DEC issued a policy document (DAR-11) on August 19, 2009 that describes the three roles facility staff (or consultants) may have in preparing, reviewing and submitting emissions statements electronically (see: http://www.dec.ny.gov/chemical/55796.html). The three roles are:

1. Responsible Official – The term 'responsible official' is defined at 6 NYCRR 201-2(b)(28) as a company officer, elected official or other person who has the authority to legally bind an entity which operates a facility subject to Part 201. A Responsible Official may enter data on the website and may submit a report to the DEC.

2. Report Editor – may enter data on the website but may not submit a report to the DEC.

3. Report Reviewer – has read-only access to the electronic website. A Report Reviewer may review data entered on the website, but may not revise the data.

The proposed rule would be beneficial to the DEC for a number of reasons including the following. First, electronic submittal and uploads to DEC's emissions database reduces the level of effort necessary to manually input data into the DEC's emissions inventory database. Second, the level of accuracy of the data uploaded into the emissions database would be greater because the manual input of data will be minimized. Third, the annual fee bill estimate would be more accurate because a higher percentage of statements would be processed prior to June 1st. Fourth, all the statements could be processed by the August 1st deadline in order to initiate the process of preparing invoices for the Title V fee bills. Finally, electronic storage of emission statements will simplify file management.

COSTS

It is estimated that the average cost to prepare and submit emission statements on paper is $900 per facility or six hours per facility. For the average facility, utilizing the current electronic reporting system for the first-time costs approximately $1200 (eight hours per facility), but these costs are expected to decrease to $450 (three hours per facility) for each time thereafter. It is expected that uploading data electronically will be much easier after the initial submission because subsequent emissions data can be uploaded via DEC-provided templates (Excel files). The simple payback period is estimated at 1.8 years.

The review and processing of paper emissions statements cost DEC approximately $480 (approximately six hours) per facility. The review of a similar report, submitted electronically, costs approximately $160 (approximately two hours per facility). Therefore, the annual savings for DEC are anticipated to be $43,200 per year ($320 times 135 facilities that currently submit paper copies of their emission statements).

LOCAL GOVERNMENT MANDATES

The rule in general, does not impose any program, service, duty, or responsibility on any county, city, town, village, school district, fire district or other special district. Some government entities operate Title V facilities and some of them already submit their emission statements electronically.

PAPERWORK

The rule revision changes the format in which emission statements are submitted from paper to electronic.

After the first emission statement is submitted electronically, the process of preparing an emission statement should be simpler than it was for paper submittals. The process of certifying an emission statement will be more complex because the responsible official for a facility must apply for and use an electronic signature.

DUPLICATION

The rule does not duplicate, overlap or conflict with any federal or state legal requirement.

ALTERNATIVES

1. No Action: The current process for submitting and processing emission statements would remain in place. DAR staff would try to encourage more facilities to submit electronically, but, without a regulatory requirement, it is not expected that the number of electronic filers would increase significantly.

2. Require Electronic Submittal of Emission Statements by April 15th beginning in 2022: There are two concerns with this scenario. First, there are approximately 135 facilities that would need to be enrolled into the electronic reporting system which constitutes a significant workload for the DEC. As a result, the DEC proposes to spread this workload over five (5) years in order to allow for a smooth transition to electronic reporting of emission statements. Second, staggered reporting dates, as set forth in the proposed rule, will minimize traffic on the server at any given time. If there is a single compliance date, server overloading could occur which would become a burden to the regulated community and the DEC.

FEDERAL STANDARDS

The rule does not exceed any minimum standards of the federal government for the submission of annual emission reports.

COMPLIANCE SCHEDULE

Electronic submittal of emission statements will be included as an enforceable condition in new or renewed Title V permits issued after January 1, 2021. For each Title V facility, the first reporting year the electronic submittal mandate will apply will be the reporting year in which the permit was issued or renewed. All emission statements submitted in 2026 (for calendar year 2025 emissions reporting) and thereafter must be submitted electronically.

The annual due dates for submitting an annual emission statement will be based on the number of processes listed in a Title V permit as follows:

1. March 15th of each year for facilities with 3 or fewer processes listed in a Title V permit;

2. March 31st of each year for facilities with 4 to 6 processes listed in a Title V permit;

3. April 15th of each year for facilities with 7 to 12 processes listed in a Title V permit; or

4. April 30th of each year for facilities with 13 or more processes listed in a Title V permit.

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[1] See Environmental Conservation Law Section 72-0303.

Regulatory Flexibility Analysis

The Department of Environmental Conservation (DEC) is proposing to revise Subpart 202-2 to require electronic submittal of annual Emission Statements beginning in 2022 (for calendar year 2021 emissions reporting) for facilities subject to Title V of the Clean Air Act (CAA). Emission statements were submitted on paper only from 1993 through 2010. Beginning in 2011 (for calendar year 2010 emissions reporting), facilities have an option of submitting emission statements via paper or electronically through the DEC's Air Compliance and Emissions (ACE) Electronic Reporting Tool. It is expected that electronic reporting will be beneficial for Title V facilities in the long term as well as the DEC. These benefits include reduced costs, processing time, improved accuracy and file management.

EFFECT OF THE RULE

This rule will apply to facilities subject to Title V permitting requirements. There are local governments (cities, towns and counties) and small businesses that own and operate Title V facilities that will have to submit their emission statements electronically instead of on paper. Facilities operated by local governments include wastewater treatment plants, municipal waste combustors, landfills and waste-to-energy facilities. Facilities owned and operated by small businesses include printing operations, small power plants, compressor stations and small manufacturing facilities.

COMPLIANCE REQUIREMENTS

This proposed rulemaking will affect how facilities submit emission statements to the DEC beginning in 2022 for the 2021 reporting period. The new electronic reporting requirement will apply to facilities issued a new or renewed Title V permit on or after January 1, 2021. The first reporting year in which the electronic reporting requirement will apply is the year of permit issuance. For example, a facility issued a new or renewed Title V permit during calendar year 2023 must submit emission statements electronically beginning in 2024 (for calendar year 2023 emissions reporting). All emission statements submitted in 2026 (for calendar year 2025 emissions reporting) and thereafter must be submitted electronically except under certain situations (see below).

The due dates for submitting an annual emission statement will be based on the number of processes listed in a Title V permit as follows:

1. March 15th of each year for facilities with 3 or fewer processes listed in a Title V permit;

2. March 31st of each year for facilities with 4 to 6 processes listed in a Title V permit;

3. April 15th of each year for facilities with 7 to 12 processes listed in a Title V permit; or

4. April 30th of each year for facilities with 13 or more processes listed in a Title V permit.

Facilities subject to electronic reporting may submit an emission statement via courier (e.g., United States Postal Service) under the following situations:

1. a facility cannot designate data (e.g., process-throughputs) that are considered confidential business information using the electronic reporting interface; or

2. a facility receives permission from the DEC due to a failure of the electronic interface or other related problems.

In cases where one of the above exemptions apply, the emission statement due date will still be based upon the above-referenced schedule.

PROFESSIONAL SERVICES

Many facilities hire consultants to prepare emission statements. These consultants (some of which are small businesses) will need to learn how to use the electronic reporting system. No adverse impacts to consultants are anticipated if the proposed rule is adopted. Small businesses and local governments are not required to hire consultants to prepare electronic emission statements to comply with this rule. Most Title V facilities that

submit emission statements electronically prepare their statements with in-house staff.

COMPLIANCE COSTS

It is estimated that the average cost to prepare and submit emission statements on paper is $900 per facility or six hours per facility.[1] For the average facility, utilizing the current electronic reporting system for the first-time costs approximately $1200 (eight hours per facility), but these costs are expected decrease to $450 (three hours per facility) for each time thereafter. It is expected that uploading data via the current electronic reporting system will be much easier after the initial submission because subsequent emissions data can be uploaded via templates (Excel files) provided by DEC. The simple payback period is estimated at 1.8 years.

The review and processing of paper emissions statements cost DEC approximately $480 (approximately six hours) per facility.[2] The review of a similar report, submitted electronically, costs approximately $160 (approximately two hours per facility). Therefore, the annual savings for DEC are anticipated to be $43,200 per year ($320 times 135 facilities that currently submit paper copies of their emission statements). In addition to these monetary savings for DEC and the regulated community, this proposal would eliminate the handling and storage of paper files.

ECONOMIC AND TECHNOLOGICAL FEASIBILITY

The technical and economic feasibility of submitting emission statements electronically are demonstrated by the electronic submission progression (see Table 1). Each year more facilities submit their emissions statements electronically while very few revert back to submitting statements on paper after submitting a statement electronically. This is an indication that those facilities that have submitted emissions statements electronically prefer the electronic mode of reporting over submitting paper reports to the DEC.

Table 1: SUBMISSION THRU ACE PROGRESSION

Year	Number Facilities Submitting	Number Facilities Submitting Electronically	Percent Facilities Submitting Electronically
2010	435	79	18
2011	430	121	28
2012	414	165	40
2013	401	171	43
2014	402	180	45
2015	390	192	49
2016	386	213	55
2017	375	218	58
2018	360	224	62

MINIMIZING ADVERSE IMPACT

There are three components incorporated into the proposed rule designed to reduce adverse impacts to facilities subject to the rule. First, applicability to the rule is staggered over a multi-year period to allow an orderly process for registering new users to the current electronic reporting system. Second, the due dates for submitting annual emission statements are staggered over the period of March 15th through April 30th of each year to reduce congestion on the server. Finally, exceptions to the electronic reporting requirement are included in the proposed rule based on feedback from stakeholders.

SMALL BUSINESS AND LOCAL GOVERNMENT PARTICIPATION

DEC held stakeholder meetings via webinars in May 2018 and August 2019. Representatives from Title V facilities and consultants, including any small business and local governments affected, that prepare emission statements were invited to participate on the webinars.

CURE PERIOD OR AMELIORATIVE ACTION

No additional cure period or other opportunity for ameliorative action is included in the revisions to Part 202-2. This proposal will not result in immediate violations or impositions of penalties for existing facilities. While revisions to Part 202-2 will become effective immediately after promulgation, to help reduce impacts on affected sources, the compliance period is phased in between 2022 and 2026.

INITIAL REVIEW

The initial review of this rule shall occur within the third year after this rule is adopted.

[1] Assuming a labor cost of $150 per hour. This is based on an informal survey of consultants that complete emission statements.

[2] Assumptions: Grade 24 pay rate of $97,448 per year and an overhead rate of 62.48 percent. Per: https://www.osc.state.ny.us/agencies/guide/MyWebHelp/#VII/9/9.htm

Rural Area Flexibility Analysis

INTRODUCTION

The Department of Environmental Conservation (DEC) is proposing to revise Subpart 202-2 to require electronic submission of annual Emission Statements beginning in 2022 (for calendar year 2021 emissions reporting) for facilities subject to Title V of the Clean Air Act (CAA). Electronic reporting has been available for Title V facilities since 2011 (for calendar year 2010 emissions reporting). It is expected that electronic reporting will be beneficial for Title V facilities in the long term as well as the DEC. These benefits include reduced costs and processing time, and improved accuracy and file management.

TYPES AND ESTIMATED NUMBERS OF RURAL AREAS AFFECTED

This rule will affect Title V facilities located in rural areas throughout New York State.

REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES

Section 182(a)(3)(B) of the CAA required states to revise State Implementation Plans by November 15, 1990 to require the owners or operators of stationary sources to submit emission statements on an annual basis beginning in 1993. The requirements for emission statements are set forth in EPA's Air Emissions Reporting Requirements rule (AERR, see 40 CFR 51). In order to implement the emissions statement requirements referenced above, the DEC adopted 6 NYCRR Subpart 202-2, "Emission Statements" on July 15, 1994. Subpart 202-2 was subsequently revised on April 29, 2005.

Emission statements were submitted on paper only from 1993 through 2010. Since 2011 (for calendar year 2010 emissions reporting), facilities had an option of submitting emission statements via paper or electronically through the DEC's Air Compliance and Emissions (ACE) Electronic Reporting Tool.

This proposed rulemaking will affect how facilities submit emission statements to the DEC beginning in 2022 (for calendar year 2021 emissions reporting). The new electronic reporting requirement will apply to facilities issued a new or renewed Title V permit after January 1, 2021. The first reporting year in which the electronic reporting requirement will apply is the year of permit issuance. For example, a facility issued a new or renewed Title V permit during calendar year 2023 must submit emission statements electronically beginning in 2024 (for calendar year 2023 emissions reporting). All emission statements submitted in 2026 (for calendar year 2025 emissions reporting) and thereafter must be submitted electronically except under certain situations (see below).

The due dates for submitting an annual emission statement will be based on the number of processes listed in a Title V permit as follows:

1. March 15th of each year for facilities with 3 or fewer processes listed in a Title V permit;
2. March 31st of each year for facilities with 4 to 6 processes listed in a Title V permit;
3. April 15th of each year for facilities with 7 to 12 processes listed in a Title V permit; or
4. April 30th of each year for facilities with 13 or more processes listed in a Title V permit.

Facilities subject to electronic reporting may submit an emission statement via courier (e.g., United States Postal Service) under the following situations:

1. a facility cannot designate data (e.g., process-throughputs) that are considered confidential business information using the electronic reporting interface; or
2. a facility receives permission from the DEC due to a failure of the electronic interface or other related problems.

In cases where one of the above exemptions apply, the emission statement due date will still be based upon the above-referenced schedule.

COSTS

It is estimated that the average cost to prepare and submit emission statements on paper is $900 per facility or six hours per facility.[1] For the average facility, utilizing the current electronic reporting system for the first-time costs approximately $1200 (eight hours per facility), but these costs are expected decrease to $450 (three hours per facility) for each time thereafter. It is expected that uploading data via current electronic reporting system will be much easier after the initial submission because subsequent emissions data can be uploaded via DEC-provided templates (Excel files). The simple payback period is estimated at 1.8 years.

The review and processing of paper emissions statements cost DEC approximately $480 (approximately six hours) per facility.[2] The review of a similar report, submitted electronically, costs approximately $160 (approximately two hours per facility). Therefore, the annual savings for DEC are anticipated to be $43,200 per year ($320 times 135 facilities that currently submit paper copies of their emission statements). In addition to these monetary savings for DEC and the regulated community, this proposal would eliminate the handling and storage of paper files in this context.

MINIMAZING ADVERSE IMPACT

There are three components incorporated into the proposed rule designed to reduce adverse impacts to facilities subject to the rule. First, applicability to the rule is staggered over a multi-year period to allow an orderly process for registering new users to the current electronic reporting system. Second, the due dates for submitting annual emission statements are staggered over the period of March 15th through April 30th of each year to reduce congestion on the server. Finally, exceptions to the electronic reporting requirement are included in the proposed rule based on feedback from stakeholders.

RURAL AREA PARTICIPATION

All Title V facilities, including those located in rural areas, had the opportunity to participate in the rule making process by participating in stakeholder webinars held in May 2018 and August 2019 and providing feedback to the DEC.

INITIAL REVIEW

The initial review of this rule shall occur no later than in the third calendar year after the year in which the rule is adopted.

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[1] Assuming a labor cost of $150 per hour. This is based on an informal survey of consultants that complete emission statements.

[2] Assumptions: Grade 24 pay rate of $97,448 per year and an overhead rate of 62.48 percent. Per: https://www.osc.state.ny.us/agencies/guide/MyWebHelp/#VII/9/9.htm

Job Impact Statement

INTRODUCTION

The Department of Environmental Conservation (DEC) is proposing to revise Subpart 202-2 to require electronic submissions of annual Emission Statements beginning in 2022 (for calendar year 2021 emissions reporting) for facilities subject to Title V of the Clean Air Act (CAA). Electronic reporting has been available for Title V facilities since 2010. It is expected that electronic reporting will be beneficial for Title V facilities in the long term and for the DEC as well.

NATURE OF IMPACT

There are no expected negative impacts on jobs or employment opportunities from these new revisions. The same staff that prepare paper copies of emission statements can prepare electronic reports.

CATEGORIES AND NUMBER OF JOBS OR EMPLOYMENT OPPORTUNITIES AFFECTED

There are several categories of employment opportunities affected, however no adverse impacts to those opportunities are anticipated. Among the categories affected are:

1. Consultants

Several facilities contract out emission statement development to consulting firms. These firms will still be able to create emissions statements in formats appropriate to submit through the DEC's electronic reporting system. There are some changes in format, but this is not expected to impact employment opportunities at consulting firms.

2. Facility Engineers, Environmental and Health Professionals

In most cases facility personnel create annual emission statements. This rule will change the way facilities report their emissions, but no changes in employment opportunities are anticipated.

3. DEC staff

The level of effort required to process emission statements will be reduced as a result of this rule. This will allow staff to address other DEC priorities. No impacts on employment opportunities at DEC are anticipated.

REGIONS OF ADVERSE IMPACT

The proposed rule revision will apply statewide. No adverse impacts to employment opportunities are anticipated in any region of the state.

MINIMIZING ADVERSE IMPACT

No measures have been taken to minimize any unnecessary adverse impact on existing jobs since no impacts are expected to occur from this rule revision.

SELF-EMPLOYMENT OPPORTUNITIES

No adverse impacts to self-employment opportunities are anticipated if the proposed rule revision is adopted.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

CO_2 Budget Trading Program

I.D. No. ENV-17-20-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Parts 200 and 242 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 1-0101, 1-0303, 3-0301, 19-0103, 19-0105, 19-0107, 19-0301, 19-0303, 19-0305, 71-2103 and 71-2105

Subject: CO_2 Budget trading program.

Purpose: To lower the emissions cap established under Part 242.

Substance of proposed rule (Full text is posted at the following State website: http://www.dec.ny.gov/regulations/propregulations.html #public): The New York State CO_2 Budget Trading Program, 6 NYCRR Part 242 (CO_2 Budget Trading Program or Part 242) is designed to reduce anthropogenic emissions of carbon dioxide (CO_2), a greenhouse gas (GHG), from CO_2 budget sources in an economically efficient manner. The proposed revisions to Part 242 include a proposed reduction in the annual CO_2 emission budgets, the creation of an Emissions Containment Reserve (ECR), the elimination of two offset categories, and an expansion of applicability to certain units that serve an electricity generator with a nameplate capacity equal to or greater than 15 MW.

The proposed revisions to Part 242 will reduce the annual base budgets by nearly 30 percent for the period 2020-2030. In particular, the proposed revisions to Section 242-5.1 establish that, for allocation year 2021, the Statewide CO_2 Budget Trading Program base budget will be 29,056,270 tons, decreasing thereafter as follows: to 28,175,777 tons in 2022, to 27,295,284 tons in 2023, to 26,414,791 in 2024, to 25,534,298 tons in 2025, to 24,653,805 tons in 2026, to 23,773,312 tons in 2027.

In addition to the proposed reduction in annual CO_2 Budget Trading Program base budgets, the proposed revisions to Part 242 also include a third adjustment for banked allowances. The Third Adjustment for Banked Allowances will adjust the budget for 100 percent of the pre-2021 vintage allowances held by market participants as of the end of 2020, that are in excess of the total quantity of 2018, 2019, and 2020 emissions. The third adjustment would be implemented over the five-year period of 2021-2025, after the size of the 2020 vintage private bank is determined.

The proposed Program revisions retain the Cost Containment Reserve (CCR), which helps provide additional flexibility and cost containment for the Program. While the proposed revisions to the Program retain the CCR, the revisions would modify the CCR trigger price and the maximum amount of CCR allowances available at auction each year. In particular, the CCR allowances will be triggered and released at auctions at $10.77 in 2020 and will increase to $13.00 starting in 2021. Each year after 2021, the CCR trigger price will increase by seven percent. If the trigger price is reached, up to 10 million additional CCR allowances will be available regionally for purchase at auction in 2020. Beginning in 2021, up to 10 percent of the regional cap of additional CCR allowances will be available for purchase at auction if the CCR trigger price is reached.

The proposed revisions to Part 242 also include the creation of the Emissions Containment Reserve (ECR), which will also help secure additional emissions reductions if prices fall below established ECR trigger prices. The ECR will only be triggered, and allowances withheld from auctions, if CO_2 emission reduction costs are lower than projected. The states implementing the ECR will withhold up to 10 percent of their respective annual base budgets per year. The ECR trigger price will start at $6.00 in 2021 and will increase by seven percent each year thereafter.

The proposed revisions to Part 242 will retain only the offset provisions for avoided methane emissions from agricultural manure management operations. While an individual state may choose to retain no, some, or all three eligible offset project categories, any offset allowances awarded by an individual state would remain fully fungible across all the participating states for compliance purposes.

The Department proposes to create the 2019 and 2020 program review allowance retirement set-aside account. The Department proposes to transfer 184,237 allowances from the 2020 annual adjusted budget allocated to the Energy Efficiency and Clean Energy Technology (EE&CET) Account into the 2019 and 2020 program review allowance retirement set-aside account to account for the 184,237 allowance increase to the 2019 base budget in Rhode Island's recently adopted regulation. The Department proposes to retire these allowances to keep the regional cap whole for the 2019 allocation year. The Department is proposing to take the 184,237 allowances from allocation year 2020. Similarly, the Department proposes to transfer 179,632 allowances from the 2020 annual adjusted budget allocated to the EE&CET Account into the 2019 and 2020 program review allowance retirement set-aside account to account for the 179,632 allowance increase to the 2020 base budget in Rhode Island's recently adopted regulation. The Department proposes to retire these allowances to keep the regional cap whole for the 2020 allocation year.

New York stakeholders raised concerns during the extensive outreach efforts that the cost of complying with RGGI might result in increased operation at units not subject to the regulatory provisions of Part 242, particularly at smaller units below the existing 25 megawatt (MW) applicability threshold. To address this concern, New York is also proposing to expand applicability under Part 242 to capture certain units that serve

an electricity generator with a nameplate capacity equal to or greater than 15 MW. This applicability expansion will apply to any unit 15 MW or greater that resides at an existing CO_2 budget source, and to any 15 MW unit that resides at a facility where there are two or more units with a nameplate capacity of 15 MW or larger.

Under the proposed revisions, the control periods will remain unchanged with a CO_2 allowance transfer deadline of March 1st of each year for interim compliance and every third year for control period compliance. The revised Program will require affected sources already subject to the Program to continue to comply.

The proposed Program revisions will retain the interim compliance obligation. In addition to demonstrating full compliance at the end of each three-year compliance period, regulated entities will continue to have to demonstrate that they are holding allowances equal to at least 50 percent of their emissions at the end of each of the first two years in each three-year compliance period. Units 15 MW and larger will be subject to both the interim control period and control period requirements on the later of January 1, 2021 or the date the unit commences operation.

The majority of the proceeds from the sale of New York's allowances will continue to be dedicated to strategic energy or consumer benefits, such as energy efficiency and clean energy technologies. The New York State Energy Research and Development Authority (NYSERDA) will continue to administer the energy efficiency and clean technology account pursuant to 21 NYCRR Part 507 (CO_2 Allowance Auction Program).

The Reserve Price is the minimum acceptable price for each CO_2 allowance in a specific auction. The reserve price is either the Minimum Reserve Price (MRP) or the CCR trigger price, depending on the level of demand for allowances at the auction. The proposed revisions to Part 242, would retain the existing CCR trigger price for 2020 and will set the new CCR trigger price at $13.00 starting in 2021. After 2021 the CCR trigger price will increase by seven percent each year thereafter.

The proposed revisions to Part 242 would maintain the amount of CO2 allowances allocated to the long-term contract set-aside accounts under the Program, while proposing to increase the size of the voluntary renewable energy market and eligible biomass set-aside by 200,000 allowances beginning in 2021. Accordingly, the Department will allocate 700,000 and 1,500,000 tons to the voluntary renewable energy market and eligible biomass set-aside and long-term contract set-aside accounts, respectively, from the CO_2 Budget Trading Program annual adjusted budget in 2020. Starting in 2021, the Department will allocate 900,000 and 1,500,000 tons to the voluntary renewable energy market and eligible biomass set-aside and long-term contract set-aside accounts, respectively, from the CO_2 Budget Trading Program annual adjusted budget. The 700,000 ton voluntary renewable energy market set-aside was calculated using information from the renewable energy market as it relates to the RPS with allowance for some market growth. The Department proposes to increase the size of the existing "voluntary renewable energy market set-aside" in subdivision 242-5.3(c) to account for anticipated increases in the voluntary renewable energy market in the next couple of years. This revision in conjunction with the revision from the previous rulemaking for Part 242 expands eligibility for retiring CO_2 allowances from the set-aside to include CO_2 budget sources that co-fire eligible biomass as a compliance mechanism should address concerns raised by voluntary market participants in the near term. The Department plans to evaluate the emission factor used in determining the number of allowances to retire on behalf of each voluntary renewable energy purchase applicant and the size of the newly expanded set-aside again in the next regional program review. The proposed expansion in the size of the set-aside in subdivision 242-5.3(c) addresses the likelihood that the set-aside will continue to be over-subscribed in the future. However, should the set-aside of 900,000 be over-subscribed, the Department maintains the proportional retirement provision in the set-aside, and any undistributed allowances from the set-aside may remain in the set-aside account for future retirement.

The proposed revisions to the Program maintain the existing provisions for voluntary renewable energy purchases. A voluntary renewable energy purchase is a purchase of electricity from renewable energy generation or from renewable energy attribute credits by a retail electricity customer on a voluntary basis. Renewable energy includes electricity generated from biomass, wind, solar thermal, photovoltaic, geothermal, hydroelectric facilities certified by the Low Impact Hydropower Institute, wave and tidal action, and fuel cells powered by renewable fuels. The renewable energy generation or renewable energy attribute credits related to such purchases may not be used by the generator or purchaser to meet any regulatory mandate, such as an RPS. The Department will continue to retire allowances under the voluntary renewable energy market and eligible biomass set-aside for voluntary renewable energy purchases.

Finally, the proposed revisions to Part 200 include updated references that are incorporated by reference into the proposed revisions to Part 242.

Text of proposed rule and any required statements and analyses may be obtained from: Laura Stevens, New York State Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-3251, (518) 402-8396, email: air.regs@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: June 29, 2020.

Additional matter required by statute: Pursuant to Article 8 of the State Environmental Quality Review Act, a Short Environmental Assessment Form, and a Coastal Assessment Form have been prepared and are on file.

Summary of Regulatory Impact Statement (Full text is posted at the following State website: http://www.dec.ny.gov/regulations/propregulations.html#public):

The Regional Greenhouse Gas Initiative (RGGI) is a cooperative, historic effort among New York and nine Participating States[1] and is the first mandatory, market-based carbon dioxide (CO_2) emissions reduction program in the United States. Recently, New York along with the Participating States, completed a comprehensive program review and announced a proposal to lower the regional emissions cap established under RGGI to approximately 75 million tons in 2021, declining 3.0 percent a year through 2030[2]. To implement the updated RGGI program in New York State, the Department of Environmental Conservation (Department) proposes to revise 6 NYCRR Part 242, CO2 Budget Trading Program (the Program) and 6 NYCRR Part 200, General Provisions.

The statutory authority to revise the Program to reduce the CO_2 emissions cap, provide for the budget adjustments, and add an emissions containment reserve derives primarily from the Department's authority to use all available practical and reasonable methods to prevent and control air pollution, as set out in the Environmental Conservation Law (ECL) at Sections 1-0101, 1-0303, 3-0301, 19-0103, 19-0105, 19-0107, 19-0301, 19-0303, 19-0305, 71-2103, 71-2105. The Allowance Auction Program (21 NYCRR Part 507) will also be revised by the New York State Energy Research and Development Authority (NYSERDA) as part of this rulemaking. The statutory sections that grant NYSERDA authority to implement the Allowance Auction Program, which were outlined in the Regulatory Impact Statement accompanying such rulemaking, are briefly outlined in the full Regulatory Impact Statement as background and context for the proposed Program revisions.

The warming climate represents an enormous environmental challenge for the State, because unabated, climate change will continue to have serious adverse impacts on the State's natural resources, public health and infrastructure. New York power plants represent approximately 13 percent of all GHG emissions in the State[3]. In 2018, New York power plants in the State subject to the Program burned fossil fuels to produce approximately 27.3 million tons of CO_2 into the atmosphere[4].

The Department complied with Sections 202-a, 202-b and 202-bb of the State Administrative Procedures Act through an extensive Regional program review process that included public participation by all Participating States. New York coordinated an additional stakeholder process to gather input from the public within its borders. New York and the Participating States had committed to a comprehensive program review during the initial development of RGGI and agreed to evaluate: program success; program impacts; additional emissions reductions; imports and emissions leakage; and offsets. On November 2012, after completion of the first extensive and comprehensive RGGI Program Review, the RGGI Participating States proposed program revisions to reduce the regional emissions cap to 91 million tons in 2014 and committed to reduce that level by 2.5 percent each year through 2020. Further, to account for the full bank of excess allowances at the end of 2014, additional cap adjustments were made over the course of the program from 2014 to 2018, and additional adjustments will be made over the 2019 to 2020 time period. The Participating States initiated program review in the fall of 2015 with the announcement of the first stakeholder meeting, and concluded the process in August 2017. New York conducted an in-state separate stakeholder process designed to provide updates on the status of the regional process and to afford additional opportunity for New York's stakeholders to provide comment.

Mitigating the impacts of a changing climate represents one of the most pressing environmental challenges for the State, the nation and the world. Extensive scientific data demonstrates the need for immediate worldwide action to reduce emissions from burning fossil fuels and supports the conclusion that great benefits will accrue if fossil fuel-fired emissions are reduced through programs like RGGI.

A naturally occurring greenhouse effect has regulated the earth's climate system for millions of years. CO_2 and other naturally occurring GHGs trap heat in our atmosphere, maintaining the average temperature of the planet approximately 60°F above what it would be otherwise. An enhanced greenhouse effect and associated climate change results as large quantities of anthropogenic GHGs, especially CO_2 from the burning of fossil fuels, are added to the atmosphere. Since the mid-1700's, atmospheric concentrations of GHGs have increased substantially due to human activities such as fossil fuel use and land-use change. Average atmospheric CO_2 concentrations exceeded 407 parts per million in 2018, which according to ice core data is higher than at any point in the past 800,000 years and the rate

of increase is 100 times faster than previous natural increases at the end of the last ice age[5].

The need for the reduction of CO_2 emissions through the proposed revisions to the Program is clearly supported by numerous direct impacts that have been observed in New York State and presented in the 2011 New York State ClimAID[6] assessment and the 2014 update to ClimAID[7]. Temperatures in New York State have risen during the twentieth century, with the greatest warming coming in recent decades - temperatures have risen on average 0.25°F per decade over the past century. This warming includes an increase in the number of extreme hot days (days at or above 90ºF) and a decrease in the number of cold days (days at or below 32ºF). Sea levels in the coastal waters of New York have been steadily rising over the twentieth century, chiefly as a result of thermal expansion of ocean waters, melting land ice and local changes in the height of land relative to the height of the continental land mass. Tide-gauge observations in New York indicate that rates of relative sea level rise were significantly greater than the global mean, ranging from 0.9 to 1.5 inches per decade.

Predictions of future impacts associated with emissions in New York further support the need for a substantial reduction in the CO_2 emissions cap. The 2011 New York State ClimAID assessment and 2014 update also examined how sea level rise, changes in precipitation patterns, and more frequent severe weather conditions will affect New York's economy, environment, community life and human health. ClimAID used regionalized climate projections to develop adaptation recommendations and is a climate change preparedness resource for planners, policymakers, and the public[8]. The ClimAID assessment and update predicted the following: Air temperatures are expected to rise across New York, by 2.0°F to 3.4°F by the 2020s, 4.1°F to 6.8°F by the 2050s, and 5.3°F to 10.1°F by the 2080s. Annual average precipitation in New York is projected to increase by up to five percent by the 2020s, up to 12 percent by the 2050s and up to 15 percent by the 2080s, with the greatest increases in the northern part of the State. The Department promulgated science-based projections of sea level rise based on the multiple scenarios identified in the 2014 ClimAID assessment and update. These projections identify the potential rise in sea level through 2100 in three coastal regions of the state[9].

Extreme climate events, such as heat waves and heavy rainstorms, significantly impact New York's communities and natural resources. Heat waves are expected to become more frequent, and storm-related coastal flooding is expected to increase with rising sea levels.

The need for the significantly reduced CO_2 emissions cap and budget adjustments are further supported by the ClimAID assessment[10] which provides predictions regarding the effects climate change will have on specific resources and communities in New York State. Rising air temperatures intensify the water cycle by driving increased evaporation and precipitation, resulting in altered patterns of precipitation including more rain falling in heavy events, often with longer dry periods in between. High water levels, strong winds, and heavy precipitation resulting from strong coastal storms already cause billions of dollars in damage and disrupt transportation and power distribution systems. Barrier islands are being dramatically altered by strong coastal storms, such as Hurricane Sandy, as ocean waters over wash dunes, create new inlets, and erode beaches Sea level rise will lead to more frequent and extensive coastal flooding, more frequent flooding, permanent inundation of low-lying areas, encroachment of saltwater into freshwater areas, and increased beach erosion. Loss of coastal wetlands reduces species diversity. Within the next several decades, New York State is likely to see widespread shifts in species composition in the State's forests and other natural landscapes. Lakes, streams, inland wetlands and associated aquatic species will be highly vulnerable to changes in the timing, supply, and intensity of rainfall and snowmelt, groundwater recharge and duration of ice cover. Increased summer heat stress will negatively affect cool-season crops and livestock unless farmers take adaptive measures such as shifting to more heat-tolerant crop varieties and improving cooling capacity of livestock facilities. A warmer climate would result in a different crop mix and decreased milk production[11] for New York's farmers. Demand for health services and the need for public health surveillance and monitoring will increase due to increasing rates of heat related illness and death, respiratory diseases, vector-borne diseases, and water- and food-borne illnesses. Over the next few decades, heat waves and heavy precipitation events are likely to increase transportation problems such as flooded streets and delays in mass transit. Communication service delivery is vulnerable to hurricanes, lightning, ice, snow, wind storms, and other extreme weather events, some of which are projected to change in frequency and/or intensity. Impacts of climate change on energy demand are likely to be more significant than impacts on supply. Climate change will adversely affect system operations, increase the difficulty of ensuring adequate supply during peak demand periods, and exacerbate problematic conditions, such as the urban heat island effect.

The proposed Program revisions will cap regional emissions at approximately 75 million tons annually beginning in 2021 and will reduce that level by 3.0 percent each year through 2030. Further, to account for the existing private bank of CO_2 emissions allowances already acquired at auction, and to help create a binding cap, the proposed Program revisions provide for a third budget (cap) adjustment. To provide flexibility and cost containment the proposed Program revisions retain the Cost Containment Reserve (CCR), and create an Emissions Containment Reserve (ECR). The proposed Program revisions retain the interim compliance obligation. The RGGI Model Rule revisions eliminated two offset categories, the "SF6 Offset Category" and the "End-Use Energy Efficiency Offsets Category" and updated and retained three offset categories. New York is proposing to only retain the offset provision for avoided methane emissions from agricultural management operations. Finally, to address stakeholder concerns, New York is proposing to expand applicability under Part 242 to capture certain units that serve an electricity generator with a nameplate capacity equal to or greater than 15 MW. This applicability expansion will apply to any unit 15 MW or greater that resides at an existing CO_2 budget source and to any 15 MW unit that resides at a facility where there are two or more units with a nameplate capacity of 15 MW or larger.

The Department, NYSERDA and the New York State Department of Public Service (DPS) analyzed costs and impacts associated with compliance with the proposed revisions to the Program. Under the Reference Case, without the revisions to the program, CO_2 allowance prices (the cost of complying with RGGI) are projected to increase through 2023 to $2.60/ton (2015 dollars) and then decline to the auction reserve price by 2026, as a result of added renewable generation, where they will remain through 2031. Under the Model Rule Policy Case, New York's wholesale electricity prices (including both energy and capacity costs) are projected to be $1.29/MWh (2015 dollars) higher in 2031 than the Reference Case, a three percent increase. A macro-economic impact study of the Program was also conducted. The study concluded that the economic impacts of RGGI on the economies of the participating states, including New York, were generally positive, albeit relatively small.

There will be costs associated with the administration of the Program. The Department will continue to incur staff costs associated with the implementation of the revised Program. NYSERDA will also continue to incur costs to administer and evaluate the use of auction proceeds from the Program. It should be noted, that the Department's costs and NYSERDA's administrative and evaluation rates are expected to remain unchanged as a result of the Program revisions. A significant portion of Program costs are allocated to the operation and administration of the CO_2 Allowance Tracking System (COATS) and conducting allowance auctions. It is anticipated that these costs will not change in the future.

Under the existing Program and the proposed revisions to the Program, the owners and operators of each source and each unit at the source shall retain the following documents for a period of ten years from the date the document is created: account certificate of representation form; emissions monitoring information; copies of all reports and compliance certifications; copies of all documents used to complete a permit application; copies of all documents used to complete a consistency application; and copies of all documents required as part of an auction application.

For each control period in which one or more units at a source are subject to the CO_2 budget emission limitation, the CO_2 authorized account representative of the source shall submit to the Department, a compliance certification report for each source covering all such units. This must be submitted by the March 1st following the relevant control period for all units subject to the Program.

The Department examined the "No Action" alternative which would leave the current Program in place and the Program cap and flexibility provisions within it would remain unchanged. Since the "No Action" alternative would leave the Program unchanged and would not address the results of the regional Program Review at the end of 2017 it was not selected. The Department also considered different regional emissions cap levels as additional alternatives, rather than the approximately 75 million ton regional emission cap that is proposed to be implemented under the revised Program. Lastly, flexibility provided for under the Program provided through the revision to the CCR and offset provisions, and the inclusion of the ECR were evaluated.

The proposed revisions to the Program are protective of public health and the environment in the absence of similar federal emission standards. The potential adverse impact to global air quality and New York State's environment from CO_2 emissions necessitates that New York State take action now to minimize CO_2 emissions that contribute to climate change. Due in part to the lack of a federal program, the Department has determined that fossil fuel-fired electricity generators must continue to reduce emissions of CO2 now.

The proposed revisions to the Program include an expansion of the applicability provisions of the current Program. The revised Program will require affected sources already subject to the Program to continue to comply. Units newly subject to the Program under the expansion to certain

units 15 MW and larger will be subject to the Program for compliance purposes beginning in 2021. The Program revisions retain the interim compliance obligation. Units 15 MW and larger that are subject to the Program will be subject to both the interim control period and control period requirements on the later of January 1, 2021 or the date the unit commences operation.

The proposed Program revisions also include minor revisions and updates to all references. Finally, The majority of the proceeds from the sale of New York's allowances will continue to be dedicated to strategic energy or consumer benefits, such as energy efficiency and clean energy technologies.

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[1] In addition to New York, the RGGI Participating States include: Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, Rhode Island, and Vermont. Additional states, including Pennsylvania and Virginia, have expressed interest in potentially becoming RGGI Participating States.

[2] The Participating States released the Updated Model Rule on August 23, 2017.

[3] NYSERDA 2019. New York State Greenhouse Gas Inventory 1990-2016. Available at: https://www.nyserda.ny.gov/About/Publications/EA-Reports-and-Studies/Energy-Statistics

[4] https://rggi-coats.org/eats/rggi

[5] World Meteorological Organization. Greenhouse Gas Bulletin. 2017. Report Number 13. Available at http://public.wmo.int/en/programmes/global-atmosphere-watch-programme

[6] Rosenzweig, C., W. Solecki, A. DeGaetano, M. O'Grady, S. Hassol, P. Grabhorn (Eds.). 'Responding to Climate Change in New York State: The ClimAID Integrated Assessment for Effective Climate Change Adaptation'. New York State Energy Research and Development Authority (NYSERDA). http://www.nyserda.ny.gov/climaid

[7] Horton, R., D. Bader, C. Rosenzweig, A. DeGaetano, and W. Solecki. 2014. Climate Change in New York State; Updating the 2011 ClimAID Climate Risk Information, New York State Energy Research and Development Authority (NYSERDA), Albany, New York.

[8] Rosenzweig, 'op.cit' 6 NYCRR Part 490, Projected Sea-level Rise.

[9] 6 NYCRR Part 490, Projected Sea-level Rise.

[10] Rosenzweig, 'op.cit.'

[11] Garcia, Alvaro. Dealing with Heat Stress in Dairy Cows. South Dakota Cooperative Extension Service. September 2002. Page 1.

Summary of Regulatory Flexibility Analysis (Full text is posted at the following State website: http://www.dec.ny.gov/regulations/propregulations.html#public):

The Regional Greenhouse Gas Initiative (RGGI) is a cooperative, historic effort among New York and nine Participating States[1] and is the first mandatory, market-based carbon dioxide (CO_2) emissions reduction program in the United States. Since its inception in 2008, RGGI has utilized a market-based mechanism to cap and cost-effectively reduce emissions that cause climate change. Recently, New York along with the Participating States, completed a comprehensive program review and announced a proposal to lower the regional emissions cap established under RGGI to approximately 75 million tons in 2021, declining 3.0 percent a year through 2030[2]. Accordingly, New York and the Participating States committed to propose revisions, pursuant to state-specific regulatory processes, to their respective CO_2 Budget Trading Programs to further reduce CO_2 emissions from power plants in the region. To implement the updated RGGI program in New York State, the Department of Environmental Conservation (Department) proposes to revise 6 NYCRR Part 242, CO_2 Budget Trading Program (the Program) and 6 NYCRR Part 200, General Provisions.

The only local governments affected by the proposed Program revisions are the Jamestown Board of Public Utilities (JBPU), which owns and operates the S. A. Carlson Generating Station (SACGS), and the Village of Freeport, which owns and operates the Freeport Power Plant No. 2. The emissions monitoring at both facilities currently meet the monitoring provisions of the proposed revisions. No additional monitoring costs will be incurred. The costs associated with their compliance with the proposed revisions will be similar to those incurred by other privately held sources. Their compliance costs in addition to those already incurred for compliance with the Program will depend upon their need to solicit professional services for compliance. No small businesses will be directly affected by the adoption of the proposed revisions.

The proposed Program revisions, capping regional CO_2 emissions at approximately 75 million tons annually beginning in 2021, represent a nearly 30 percent reduction in the regional cap for the period 2020-2030. After 2021, the cap will decline by 2.275 million tons annually. The proposed revisions provide a Third Adjustment for Banked Allowances which will adjust the budget for 100 percent of the pre-2021 vintage allowances held by market participants as of the end of 2020, that are in excess of the total quantity of 2018, 2019 and 2020 emissions. This adjustment will be implemented over the period 2021-2025, after the actual size of the 2020 vintage private bank is determined.

The proposed Program revisions retain the Cost Containment Reserve (CCR) and modifies the CCR trigger price and the maximum amount of CCR allowances available at auction each year. CCR allowances will be triggered and released at auctions at $10.77 in 2020, at $13.00 in 2021 and will increase by seven percent each year thereafter. If the trigger price is reached, up to 10 million additional CCR allowances will be available for purchase at auction in 2020, increasing to up to 10 percent of the regional cap of additional CCR allowances beginning in 2021.

The proposed program revisions create an Emissions Containment Reserve (ECR), which will help secure additional emissions reductions by withholding allowances from auction if prices fall below established trigger prices. The states implementing the ECR will withhold up to 10 percent of their annual base budgets per year. The ECR trigger price will start at $6.00 in 2021 and will increase by seven percent each year thereafter.

The proposed Program revisions retain the interim compliance obligation.

EFFECT ON SMALL BUSINESSES AND LOCAL GOVERNMENTS

No small businesses will be directly affected by the adoption of the proposed Program revisions.

COMPLIANCE REQUIREMENTS

As noted above, the JBPU and the Village of Freeport will need to comply with the proposed revisions to the Program, as described more fully in the Regulatory Impact Statement.

New York stakeholders raised concerns during our extensive outreach effort, that the cost of RGGI might result in increased operation at units not subject to the regulatory provisions of Part 242. To address this concern, New York is proposing to expand applicability under Part 242 to capture units that serve an electricity generator with a nameplate capacity equal to or greater than 15 megawatts (MW). that reside at an existing CO_2 budget source, and to 15 MW units that reside at a facility where there are two or more units with a nameplate capacity of 15 MW or larger.

The proposed Program revisions retain the interim compliance obligation. In addition to demonstrating full compliance at the end of each three-year compliance period, regulated entities will continue to have to demonstrate that they are holding allowances equal to at least 50 percent of their emissions at the end of each of the first two years in each three-year compliance period. The proposed Program revisions also include minor revisions and updates to all references. The majority of the proceeds from the sale of New York's allowances will continue to be dedicated to strategic energy or consumer benefits, such as energy efficiency and clean energy technologies.

PROFESSIONAL SERVICES

There are two local governments affected by the proposed revisions to the Program, the Jamestown Board of Public Utilities (JBPU) and the Village of Freeport, and like other privately held sources, they may need to solicit professional consultants and contractors for its compliance with the proposed revisions to the Program. The Department also confirmed that no capital improvements to plant operations will be needed for JBPU's or the Village of Freeport's compliance with the proposed Program revisions.

COSTS

In addition to the costs identified for regulated parties and the public, State and local governments will incur costs. The Jamestown Board of Public Utilities (JBPU and the Village of Freeport own and operate affected facilities. Emissions monitoring at both facilities currently meets the monitoring provisions of the Program, and no additional monitoring costs will be incurred under the proposed revisions. Just like any other owner or operator of any source subject to the Program, the JBPU and the Village of Freeport will need to purchase CO_2 allowances equal to the number of tons of CO_2 emitted. The Department limited the analysis of control costs to the purchase of allowances to comply with the Program and assumed that the costs of allowances will be between $5.96 in 2020 and $9.77 in 2031 (in 2015 $) per ton for CO_2 under the Program Case. To estimate total costs for SACGS under the Program, the Department reviewed 2013 through 2018 emissions from Jamestown's affected unit. During that time period, Jamestown's emissions ranged from a low of 71,255 tons to a high of 135,579 tons. An estimate of compliance costs, based on these emissions values, indicates that purchasing allowances to cover emissions will result in estimated costs between a low of $425,000 and a potential high of $1.3 million annually. To estimate total costs for Freeport Power Plant No. 2 under the Program, the Department reviewed 2013 through 2018 emissions from Freeport's affected unit. During that time period, Freeport's emissions ranged from a low of 23,662 tons to a high of 37,850 tons. An estimate of compliance costs, based on these emissions values, indicates that purchasing allowances to cover emissions will result in estimated costs between a low of $141,000 and a potential high of

$369,795 annually. These costs will eventually be passed on to the consumers of electricity from the JBPU and the Village of Freeport. These estimated compliance costs are costs associated with compliance with the Program overall, meaning that the incremental cost of compliance associated with the revisions to the Program would be less.

The JBPU and the Village of Freeport have a range of compliance options to comply. Since the program has a three-year control period with the compliance obligation at the end of the control period, the emission peaks associated with electricity generation will be averaged out and more long-term planning options will be available to SACGS and Freeport Power Plant No. 2. Although the proposed Program revisions retain the Interim Control Period, that will require JBPU and the Village of Freeport to cover 50 percent of their emissions in each of the first two years of a three-year control period, it is not anticipated that this interim requirement will significantly reduce the flexibility available to JBPU and the Village of Freeport. The JBPU and the Village of Freeport will also incur costs associated with the administration of the revised Program.

MINIMIZING ADVERSE IMPACTS

The proposed revisions do not directly affect small businesses. Only two local governments are affected by the proposed. The proposed Program revisions constitute an emissions allowance-based cap-and-trade program. Cap and trade systems are the most cost-effective means for implementing emission reductions from large stationary sources. By continuing to implement the Program and proposed Program revisions, the Department will minimize any associated adverse economic impacts on the JBPU and the Village of Freeport.

SMALL BUSINESS AND LOCAL GOVERNMENT PARTICIPATION

The Participating States initiated the most recent program review in the fall of 2015 and concluded the process in August 2017. The Participating States and RGGI Incorporated (RGGI, Inc.)[3] conducted stakeholder meetings and webinars during this period, during which they obtained public input on a number of program elements. Prior to each stakeholder meeting, agency staff and RGGI, Inc. distributed pertinent material to the over 250 participants on the list serve and posted meeting documents on the RGGI, Inc. website. The stakeholder meetings were open to the public and all interested parties were encouraged to provide comment. The Draft Updated Model Rule was released to stakeholders for comment on August 23, 2017. On December 19, 2017, the Participating States released the final version of the Updated Model Rule, which contained additional updates based on stakeholder feedback received on the Draft Updated Model Rule.

New York conducted an in-state stakeholder process designed to provide updates on the status of the regional process and to afford additional opportunity for New York's stakeholders to provide comment. The Department held stakeholder meetings and sent list-serve notices to New York stakeholders announcing regional meetings and webinars. The input provided by stakeholders during both the regional and in-state processes have been considered and incorporated by the Department in developing the proposed revisions to the Program. The Department's records from those stakeholder meetings do not reflect that the JBPU or Freeport attended those meetings.

CURE PERIOD OF AMELIORATIVE ACTION

The proposed Program revisions will be effective beginning in 2021. The revised Program will require affected sources already subject to the Program to continue to comply, and units newly subject to the emission limitations under the expansion of the Program to certain units 15 MW beginning in 2021. No additional cure period or other additional opportunity for ameliorative action is included in the Program revisions. First, aside from the proposed applicability expansion to certain units 15 MW or larger, most sources that will be subject to the proposed Program revisions are already subject to the existing Program and have been since the regulation was initially promulgated in 2008 (or since they commenced operation). This includes the SACGS and Freeport Power Plant No. 2. Second, because of the cap-and-invest nature of the revisions to the Program which includes periodic compliance deadlines, sources have flexibility to emit any amount of CO_2 during a control period, provided such emissions are covered by an adequate amount of CO_2 allowances by the relevant CO_2 allowance transfer deadline. This is unchanged under the proposed revisions and will continue to provide sources with flexibility to comply with the proposed Program revisions. Finally, while the proposed Program revisions retain the interim compliance requirement, the first interim compliance period was in 2015 and subsequent interim compliance periods will continue to be each of the first two years of the three-year control period. For these reasons, no additional cure period or other additional opportunity for ameliorative action is necessary for the proposed Program revisions.

[1] In addition to New York, the RGGI Participating States include: Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, Rhode Island, and Vermont. Additional states, including Pennsylvania and Virginia, have expressed interest in potentially becoming RGGI Participating States.

[2] The Participating States released the Updated Model Rule on August 23, 2017.

[3] RGGI, Inc. is a 501(c)(3) non-profit corporation created to provide technical and administrative services to the Participating States.

Rural Area Flexibility Analysis

The Regional Greenhouse Gas Initiative (RGGI) is a cooperative, historic effort among New York and nine Participating States[1] and is the first mandatory, market-based carbon dioxide (CO_2) emissions reduction program in the United States. Since its inception in 2008, RGGI has utilized a market-based mechanism to cap and cost-effectively reduce emissions that cause climate change. Recently, New York along with the Participating States, completed a comprehensive program review and announced a proposal to lower the regional emissions cap established under RGGI to approximately 75 million tons in 2021, declining 3.0 percent a year through 2030[2]. Accordingly, New York and the Participating States committed to propose revisions, pursuant to state-specific regulatory processes, to their respective CO_2 Budget Trading Programs to further reduce CO_2 emissions from power plants in the region. To implement the updated RGGI program in New York State, the Department of Environmental Conservation (Department) proposes to revise 6 NYCRR Part 242, CO_2 Budget Trading Program (the Program) and 6 NYCRR Part 200, General Provisions.

The promulgation of the proposed revisions to Part 242 and the amendments to Part 200 will apply equally to affected sources statewide; rural areas will not be disproportionately impacted. The Department will implement the proposed Program revisions through a cap-and-invest program because allowance-based cap-and-invest systems are a cost-effective means for implementing emission reductions from stationary sources.

The regulatory flexibility inherent in a cap-and-investment program, as well as the flexibility provided under the revisions to the Program, including the Cost Containment Reserve (CCR) and Offset provisions, helps to ensure continued reliability and adequacy of the State's electricity supply, assists in the furtherance of public health, and is necessary for continued industrial development and preservation of physical property, while minimizing any potential adverse impacts of the revised Program on a statewide basis.

The Proposed Program Revisions

The proposed Program revisions, which will cap regional CO_2 emissions at approximately 75 million tons annually beginning in 2021, represent a nearly 30 percent reduction in the regional cap for the period 2020-2030. After 2021, the cap will decline by 2.275 million tons annually. Further, to account for the existing private bank of CO_2 emissions allowances already acquired at auction, and to help create a binding cap, the proposed Program revisions provide a budget adjustment for banked allowed. The Third Adjustment for Banked Allowances will adjust the budget for 100 percent of the pre-2021 vintage allowances held by market participants as of the end of 2020 that are in excess of the total quantity of 2018, 2019 and 2020 emissions. The third adjustment would be implemented over the five-year period of 2021-2025, after the size of the 2020 vintage private bank is determined.

The proposed Program revisions retain the CCR, which helps provide additional flexibility and cost containment for the Program. While the proposed revisions to the Program retain the CCR, the revisions would modify the CCR trigger price and the maximum amount of CCR allowances available at auction each year. In particular, the CCR allowances will be triggered and released at auctions at $10.77 in 2020 and will increase to $13.00 starting in 2021. Each year after 2021, the CCR trigger price will increase by seven percent. If the trigger price is reached, up to 10 million additional CCR allowances will be available for purchase at auction in 2020. Beginning in 2021, up to 10 percent of the regional base budgets of additional CCR allowances will be available for purchase at auction if the CCR trigger is reached.

The proposed program revisions create an Emissions Containment Reserve (ECR), which will also help secure additional emissions reductions if prices fall below established trigger prices. The ECR will only be triggered and allowances will be withheld from auctions if reduction costs are lower than projected. The states implementing the ECR will withhold up to 10 percent of their annual base budgets per year. The ECR trigger price will start at $6.00 in 2021 and will increase by seven percent each year thereafter.

New York stakeholders raised concerns during our extensive outreach effort, that the cost of RGGI might result in increased operation at units not subject to the regulatory provisions of Part 242. To address this concern, New York is also proposing to expand applicability under Part 242 to capture certain units that serve an electricity generator with a nameplate capacity equal to or greater than 15 megawatts (MW). This ap-

plicability expansion will apply to any unit 15 MW or greater that resides at an existing CO_2 budget source, and to any 15 MW unit that resides at a facility where there are two or more units with a nameplate capacity of 15 MW or larger.

Finally, the proposed Program revisions will retain the interim compliance obligation. In addition to demonstrating full compliance at the end of each three-year compliance period, regulated entities will continue to have to demonstrate that they are holding allowances equal to at least 50 percent of their emissions at the end of each of the first two years in each three-year compliance period. The proposed Program revisions also include minor revisions and updates to all references. The majority of the proceeds from the sale of New York's allowances will continue to be dedicated to strategic energy or consumer benefits, such as energy efficiency and clean energy technologies.

The nature of the proposed Program revisions, generally described above and discussed more thoroughly in the accompanying Regulatory Impact Statement (RIS), is such that they clearly will minimize any potential adverse impacts of the revised Program on a statewide basis, including any potential adverse impacts to rural areas.

TYPES AND NUMBERS OF RURAL AREAS AFFECTED

The promulgation of the proposed Program revisions and the amendments to Part 200, will apply equally to affected public and private sources statewide; rural areas will not be disproportionately impacted.

COMPLIANCE REQUIREMENTS

The proposed Program revisions include an expansion of the applicability provisions of the current Program. The revised Program will require affected sources already subject to the Program to continue to comply. Units newly subject to the Program under the proposed expansion to certain units 15 MW and larger will be subject to the Program for compliance purposes beginning in 2021. The Program revisions retain the interim compliance obligation. Units 15 MW and larger that are subject to the Program will be subject to both the interim control period and control period requirements on the later of January 1, 2021 or the date the unit commences operation.

COSTS

The Department, New York State Energy Research Development Authority (NYSERDA) and New York State Department of Public Service (DPS) analyzed costs, including statewide impacts to jobs, total Gross State Product and total Personal Income, associated with compliance with the proposed revisions to Part 242. As discussed below, this analysis concludes that the proposed Program revisions will not disproportionately affect sources in rural areas of the State and best enables the Department to balance the competing interests of the protection of the public health and welfare with continued industrial development on a statewide basis. By revising the Program, the Department is able to balance these competing interests and minimize any potential adverse impacts of the revised Program.

To evaluate the potential cost impacts of the reduced CO_2 emissions cap and budget adjustments, Integrated Planning Model (IPM®[3]) was used to compare a future case with the proposed Program (Program Case) to a Reference Case (Business as Usual scenario) to project how the regional electricity system would function if the Program remained unchanged and proposed revisions were not implemented. The modeling assumptions and input data were developed through a stakeholder process, including representatives from the electricity generation sector, business and industry, environmental advocates and consumer interest groups. Subsequently, modeling results were presented to stakeholders for review and comment throughout the development of the proposed Program revisions. For a greater explanation of NYSERDA's analysis and a summary of the (IPM®) modeling conducted by ICF International (ICF), see Regulatory Impact Statement pages 53-72.

A macroeconomic study of the Program was conducted to estimate the potential impact of the reduced CO_2 emissions cap, budget adjustments and the remainder of the proposed Program revisionson the economies of the Participating States. The study, using the REMI computer model, concluded that the impacts on the jobs, the economy and customer bills[4,5], in New York would be generally positive, albeit relatively small. The estimated cumulative, positive change in employment in New York associated with the proposed Program revisions will be about 23,234 additional job-years over the period 2017 to 2031. A job-year is equivalent to one person employed for one year. Further, the study estimates that the cumulative changes in New York's Gross State Product and Personal Income associated with the proposed Program revisions will be approximately $2.1 billion and $1.2 billion, respectively[6]. Although these cumulative changes are minimal, they represent positive impacts for total State employment, total Gross State Product and total Personal Income.

MINIMIZING ADVERSE IMPACT

The Department will implement the proposed Program revisions through a cap-and-invest program because allowance and market-based systems are a cost-effective means for implementing emission reductions from stationary sources. The regulatory flexibility inherent in a cap-and-invest program that allows for interstate trading of emission allowances will not disproportionately affect sources in rural areas of the State and best enables the Department to balance the competing interests of the protection of the public health and welfare with continued industrial development of the State. By revising the Program, the Department is further able to balance these competing interests and minimize any potential adverse employment impacts of the revised Program.

RURAL AREA PARTICIPATION

The Department complied with Sections 202-a, 202-b and 202-bb of the State Administrative Procedures Act through an extensive Regional program review process that included public participation by all Participating States. New York coordinated an additional stakeholder process to gather input from the public within its borders. New York and the Participating States had committed to a comprehensive program review during the initial development of RGGI and agreed to evaluate: program success; program impacts; additional emissions reductions; imports and emissions leakage; and offsets.

New York conducted an in-state stakeholder process designed to provide updates on the status of the regional process and to afford additional opportunity for New York's stakeholders to provide comment. The Department held stakeholder meetings and sent list-serve notices to New York stakeholders announcing regional meetings and webinars. The input provided by stakeholders during the in-state process have been considered and incorporated by the Department in developing the proposed revisions to the Program.

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[1] In addition to New York, the RGGI Participating States include: Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, Rhode Island, and Vermont. Additional states, including Pennsylvania and Virginia, have expressed interest in potentially becoming RGGI Participating States.

[2] The Participating States released the Updated Model Rule on August 23, 2017.

[3] IPM® is a nationally recognized modeling tool used by the U.S. Environmental Protection Agency (EPA), state energy and environmental agencies, and private sector firms such as utilities and generation companies.

[4] ''RGGI Program Review: REMI modeling Results, Inputs and Draft Results from MRPS Case Run,'' by ICF, December 2017. https://www.rggi.org/sites/default/files/Uploads/Program-Review/12-19-2017/REMI_2017_12_19.pdf

[5] https://www.rggi.org/sites/default/files/Uploads/Program-Review/9-25-2017/Customer_Bills_Results_Overview_09_25_17.pdf

[6] This is provided in 2015 dollars, calculated as the present value of estimated annual changes over the period 2017 to 2031, discounted at three percent per year to account for the time-value of money.

Job Impact Statement

NATURE OF IMPACT

The Regional Greenhouse Gas Initiative (RGGI) is a cooperative, historic effort among New York and nine Participating States[1] and is the first mandatory, market-based carbon dioxide ($CO_2$2) emissions reduction program in the United States. Since its inception in 2008, RGGI has utilized a market-based mechanism to cap and cost-effectively reduce emissions that cause climate change. Recently, New York along with the Participating States, completed a comprehensive program review and announced a proposal to lower the regional emissions cap established under RGGI to approximately 75 million tons in 2021, declining 3.0 percent a year through 2030[2]. Accordingly, New York and the Participating States committed to propose revisions, pursuant to state-specific regulatory processes, to their respective CO_2 Budget Trading Programs to further reduce CO_2 emissions from power plants in the region. To implement the updated RGGI program in New York State, the Department of Environmental Conservation (Department) proposes to revise 6 NYCRR Part 242, CO_2 Budget Trading Program (Part 242 or the Program) and 6 NYCRR Part 200, General Provisions.

The Department, New York State Energy Research Development Authority (NYSERDA) and the New York State Department of Public Service (DPS) analyzed costs, including impacts to jobs, total Gross State Product and total Personal Income, associated with compliance with the proposed revisions to Part 242. As discussed below, this analysis concludes that the proposed revisions to the Program will not have an adverse impact on jobs and employment opportunities in New York. At the direction of New York and Participating States, Northeast States Coordinated Air Use Management (NESCAUM) conducted a macroeconomic impact study called "Regional Economic Models, Inc. Policy InsightTM (REMI). The macroeconomic results reflect the potential impacts associated with the proposed revisions to the program (including the investment of auction

proceeds in an estimated portfolio of energy efficiency, clean energy and carbon abatement programs). The study concluded that the economic impacts of RGGI on the economies of the Participating States, including New York, were generally positive, albeit relatively small. For example, the cumulative changes in New York's Gross State Product and Personal Income associated with the proposed revisions to the Program will be about $2.1 billion and $1.2 billion, respectively (2015 dollars, calculated as the present value of estimated annual changes over the period 2017 to 2031, discounted at three percent per year to account for the time-value of money). The cumulative change in employment in New York associated with the Program will be about 23,234 job-years over the period 2017 to 2031. A job-year is equivalent to one person employed for one year.

The proposed Program revisions, which will cap regional CO_2 emissions at approximately 75 million tons annually beginning in 2021, represent a nearly 30 percent reduction in the regional cap for the period 2020-2030. After 2021, the cap will decline by 2.275 million tons annually. Further, to account for the existing private bank of CO_2 emissions allowances already acquired at auction, and to help create a binding cap, the proposed Program revisions provide a budget adjustment. The Third Adjustment for Banked Allowances will adjust the budget for 100 percent of the pre-2021 vintage allowances held by market participants as of the end of 2020, that are in excess of the total quantity of 2018, 2019, and 2020 emissions. The third adjustment would be implemented over the five-year period of 2021-2025, after the size of the 2020 vintage private bank is determined.

The proposed revisions to Part 242 also retain the Cost Containment Reserve (CCR), which helps provide additional flexibility and cost containment for the Program. While the proposed revisions to the Program retain the CCR, the revisions would modify the CCR trigger price and the maximum amount of CCR allowances available at auction each year. In particular, the CCR allowances will be triggered and released at auctions at $10.77 in 2020 and will increase to $13.00/ton starting in 2021. Each year after 2021, the CCR trigger price will increase by seven percent. If the trigger price is reached, up to 10 million additional CCR allowances will be available for purchase at auction in 2020. Beginning in 2021, up to 10 percent of the regional cap of additional CCR allowances will be available for purchase at auction if the CCR trigger price is reached.

The proposed Program revisions create an Emissions Containment Reserve (ECR), which will also help secure additional emissions reductions if prices fall below established ECR trigger prices. The ECR will only be triggered, and allowances withheld from auctions, if CO_2 emission reduction costs are lower than projected. The states implementing the ECR will withhold up to 10 percent of their respective annual base budgets per year. The ECR trigger price will start at $6.00 in 2021 and will increase by seven percent each year thereafter.

The proposed model rule revisions eliminated two offset categories, the "SF6 Offset Category" and the "End-Use Energy Efficiency Offsets Category". The proposed model rule revisions also updated and retained three offset categories that some states may continue to implement. While an individual state may choose to retain no, some, or all three eligible offset project categories, any offset allowances awarded by an individual state would remain fully fungible across all the participating states for compliance purposes. In the revisions to Part 242, New York is proposing to only retain the offset provisions for avoided methane emissions from agricultural manure management operations.

Finally, the proposed Program revisions will retain the interim compliance obligation. In addition to demonstrating full compliance at the end of each three-year compliance period, regulated entities will continue to have to demonstrate that they are holding allowances equal to at least 50 percent of their emissions at the end of each of the first two years in each three-year compliance period. The proposed Program revisions also include minor revisions and updates to all references. The majority of the proceeds from the sale of New York's allowances will continue to be dedicated to strategic energy or consumer benefits, such as energy efficiency and clean energy technologies.

New York stakeholders raised concerns during our extensive outreach effort, that the cost of RGGI might result in increased operation at units not subject to the regulatory provisions of Part 242. To address this concern, New York is also proposing to expand applicability under Part 242 to capture certain units that serve an electricity generator with a nameplate capacity equal to or greater than 15 megawatts (MW). This applicability expansion will apply to any unit 15 MW or greater that resides at an existing CO_2 budget source, and to any 15 MW unit that resides at a facility where there are two or more units with a nameplate capacity of 15 MW or larger.

The nature of the proposed Program revisions, generally described above and discussed more thoroughly in the accompanying Regulatory Impact Statement, is such that they clearly will not have an adverse impact on jobs and employment opportunities.

CATEGORIES AND NUMBERS AFFECTED

As indicated above, the Department, NYSERDA and DPS analyzed costs, including impacts to jobs, total Gross State Product and total Personal Income, associated with compliance with the proposed revisions to Part 242. Modeling analysis and review was coordinated by RGGI Inc. and New York staff, and included input from energy and environmental representatives from the Participating States and each regional Independent Systems Operator.

To evaluate potential cost impacts of the reduced CO^2 emissions cap and budget adjustments, Integrated Planning Model (IPM®)[3] modeling conducted by ICF International (ICF) was used to compare a future case with the proposed Program revisions (Program Case) to a Reference Case (Business as Usual scenario) to project how the regional electricity system would function if the Program remained unchanged and proposed revisions were not implemented. The modeling assumptions and input data were developed with input from a stakeholder process, including representatives from the electricity generation sector, business and industry, environmental advocates and consumer interest groups. Subsequently, modeling results were presented to stakeholders for review and comment throughout the development of the proposed Program revisions. For a greater explanation of NYSERDA's analysis and a summary of the IPM® modeling conducted by ICF, see Regulatory Impact Statement pages 53-72.

Utilizing New York's Investments of RGGI Allowance Proceeds and output data from IPM®, the REMI macroeconomic study estimates that the impact of the reduced CO_2 emissions cap, budget adjustments and the remainder of the proposed Program revisions on jobs, the economy and electricity customer bills[4,5], in New York will be very small and generally positive. The REMI study estimates the cumulative change in employment in New York associated with the Program revisions will be about 23,234 job-years over the period 2017 to 2031. A job-year is equivalent to one person employed for one year.

Further, the REMI study estimates that the cumulative changes in New York's Gross State Product and Personal Income associated with the proposed revisions to the Program will be about $2.1 billion and $1.2 billion, respectively (2015 dollars, calculated as the present value of estimated annual changes over the period 2017 to 2031, discounted at three percent per year to account for the time-value of money).

REGIONS OF ADVERSE IMPACT

A Statewide analysis of the impacts of these revisions on electricity prices in New York State was performed. Under the Model Rule Policy Case, New York's wholesale electricity prices (including both energy and capacity costs) are projected to be $1.29/MWh (2015 dollars) higher in 2031 than the Reference Case, a three percent increase. While wholesale electricity prices are projected to increase, the energy savings realized as a result of New York's application of 35 percent of proceeds to energy efficiency projects offsets that increase and results in projected decreases in bills over time. For a typical New York residential customer (using 530 kWh per month), the projected increase in wholesale electricity prices in 2031 translates into a monthly retail bill decrease of about 0.4 percent or a $0.36 savings. In 2020, the projected increase in wholesale electricity prices translates into a monthly residential retail bill increase of about 0.1 percent or $0.05. For commercial customers, the projected retail price impact of RGGI is about 0.0 percent in 2016 and -1.3 percent in 2031 (-$0.13 and -$5.93 per month, respectively). For industrial customers, the projected retail price impact of RGGI is about -0.7 percent in 2020 and -5.7 percent in 2031.

MINIMIZING ADVERSE IMPACT

The Department will implement the proposed Program revisions through a cap-and-invest program because allowance-based cap-and-invest systems are a cost-effective means for implementing emission reductions from large stationary sources. The regulatory flexibility inherent in a cap-and-invest program, as well as the flexibility provided under the revisions to the Program, including the CCR and Offset provisions, helps to ensure continued reliability and adequacy of the State's electricity supply, assists in the furtherance of public health, and is necessary for continued industrial development and preservation of physical property, while minimizing any potential adverse employment impacts.

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[1] In addition to New York, the RGGI Participating States include: Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, Rhode Island, and Vermont. Additional states, including Pennsylvania and Virginia, have expressed interest in potentially becoming RGGI Participating States.

[2] The Participating States released the Updated Model Rule on August 23, 2017.

[3] IPM® is a nationally recognized modeling tool used by the U.S. Environmental Protection Agency (EPA), state energy and environmental agencies, and private sector firms such as utilities and generation companies.

[4] ''RGGI Program Review: REMI modeling Results, Inputs and Draft Results from MRPS Case Run,'' by ICF, December 2017. https://www.rggi.org/sites/default/files/Uploads/Program-Review/12-19-2017/REMI_2017_12_19.pdf

[5] https://www.rggi.org/sites/default/files/Uploads/Program-Review/9-25-2017/Customer_Bills_Results_Overview_09_25_17.pdf

Department of Financial Services

NOTICE OF ADOPTION

Minimum Standards for Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure

I.D. No. DFS-51-19-00015-A

Filing No. 288

Filing Date: 2020-04-14

Effective Date: 2020-07-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 52 (Regulation 62) of Title 11 NYCRR.

Statutory authority: Financial Services Law, sections 202, 302; Insurance Law, sections 301, 3216, 3217, 3221, 4303; L. 2019, ch. 57, part J, subpart D

Subject: Minimum Standards for Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure.

Purpose: Clarifying discriminatory activities prohibited by and coverages included within preventive care and screenings under the Insurance Law.

Text or summary was published in the December 18, 2019 issue of the Register, I.D. No. DFS-51-19-00015-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Colleen Rumsey, Department of Financial Services, One Commerce Plaza, Albany, NY 12231, (518) 474-0154, email: Colleen.Rumsey@dfs.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2023, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The New York State Department of Financial Services (the "Department") received comments from an association that represents insurers and health maintenance organizations ("issuers") requesting changes to or clarifications of the regulation.

Comment: The commenter sought clarification that Section 52.75(a)(1) of the regulation addresses policy form provisions while paragraph (4) addresses claim denials.

Response: The commenter's observation is correct and supported by a plain reading of the text. Thus, the Department did not make any changes to the regulation in response to this comment.

Comment: The commenter stated that, although issuers have removed gender edits when a diagnosis code indicates gender dysphoria is present in accordance with Insurance Circular Letter No. 12 (2017), the regulation would require the removal of all gender edits. The commenter also stated that the regulation may necessitate the review of CMS's National Correct Coding Initiative standards and the Department may need to issue formal guidance to issuers. The commenter observed that issuers do not necessarily request additional information to determine an insured's eligibility prior to denying a claim. The commenter further stated that the regulation would potentially impact any gender edits currently in use that comply with national coding standards. Finally, the commenter also alleged that the regulation conflicts with Medicare claims processing guidance.

Response: The regulation requires an issuer that receives a claim from an insured of one gender or sex for a service that is typically or exclusively provided to an individual of another gender or sex to take reasonable steps, including requesting additional information, to determine whether the insured is eligible for the services prior to denying the claim. The regulation is consistent with the guidance previously provided by the Department in Insurance Circular Letter No. 12 (2017). A gender edit is a coding determination that results in the automatic denial of a claim due to a conflict between the gender and diagnosis or procedure codes. The regulation neither requires the removal of all gender edits nor requires an issuer to request additional information for all claims. Rather, it requires issuers to take reasonable steps to determine whether the insured is eligible for the services prior to denying the claim, which may include requesting additional information. The regulation does not preclude the use of gender edits that are consistent with national coding standards, CMS's National Correct Coding Initiative, or Medicare claims processing guidance, but requires an issuer to take reasonable steps to ensure that transgender individuals are protected from automatic denials. The Department expects issuers to comply with the regulation as they have already complied with the Department guidance issued in 2017. Thus, the Department did not make any changes to the regulation in response to these comments.

Comment: The commenter noted that New York City allows a gender neutral "X" to be listed on a birth certificate and noted that if New York State added gender "X" to enrollment transactions, issuers could remove edits that may inadvertently impact transgender individuals without losing gender edits for other erroneous claims.

Response: It is the Department's understanding that an enrollment transaction is the electronic transfer of enrollment information between an issuer and an employer or other entity, such as the New York State of Health, the Official Health Plan Marketplace. Enrollment transactions are outside the purview of the regulation. Thus, the Department did not make any changes to the regulation in response to this comment.

Comment: The commenter requested clarification regarding coverage for preexposure prophylaxis with effective antiretroviral therapy for persons who are at high risk of HIV acquisition. The commenter stated that the regulation requires such coverage for "high-risk populations," irrespective of the United States Preventive Services Task Force ("USPSTF") recommendation and requested the means to identify a high-risk population should the USPSTF rating or description change.

Response: The regulation requires an issuer to provide coverage for preventive care and screenings pursuant to Insurance Law Sections 3216(i)(17)(E), 3221(l)(8)(E) and (F), and 4303(j)(3), including coverage for preexposure prophylaxis with effective antiretroviral therapy to insureds who are at high risk of HIV acquisition. Insurance Law Sections 3216(i)(17)(E), 3221(l)(8)(E) and (F), and 4303(j)(3) require coverage for evidence-based items or services for preventive care and screenings that have in effect a rating of "A" or "B" in the current recommendations of the USPSTF. The USPSTF recommendation regarding preexposure prophylaxis, which has received an "A" rating, states that that clinicians should offer preexposure prophylaxis with effective antiretroviral therapy to persons who are at high-risk of HIV acquisition. The recommendation statement provides further detail regarding individuals who are at high-risk of HIV acquisition. As the proposed regulation cites to Insurance Law Sections 3216(i)(17)(E), 3221(l)(8)(E) and (F), and 4303(j)(3), issuers should use the USPSTF recommendation statement, including any updated statement, when determining which individuals are considered at high-risk of HIV acquisition. The Insurance Law already requires issuers to provide coverage for preexposure prophylaxis in this manner. The regulation merely codifies a specific current USPSTF recommendation as referenced in the Insurance Law. Thus, the Department did not make any changes to the regulation in response to this comment.

Comment: The commenter noted that the regulation requires policies to cover preventive care and screenings specified in any recommendation or guideline described in the Insurance Law through the last day of the "policy year," even if the recommendation or guideline changes during the policy year. The commenter noted that continuing a preventive service recommendation through the end of the policy year for individual comprehensive health insurance may be achievable as that coverage runs on a calendar year basis. The commenter noted that the same requirement would pose logistical difficulties for the Essential Plan or small group comprehensive health insurance policies given that the plan year is not required to run on a calendar year basis. The commenter recommended that issuers have flexibility to subject any claim with a date of service after the recommendation or guideline change to the new requirements.

Response: The regulation requires a policy that provides coverage for preventive care and screenings specified in any recommendation or guideline described in Insurance Law Sections 3216(i)(17)(E), 3221(l)(8)(E) and (F), and 4303(j)(3) to provide coverage through the last day of the policy year, even if the recommendation or guideline changes during the policy year. This requirement is consistent with the minimum standards for coverage of preventive care set forth in 45 C.F.R. Section 147.130(b)(2)(i). Additionally, the regulation is consistent with 11 NYCRR Sections 52.17(a)(25)(ii) and 52.18(a)(8)(ii), which prohibit an issuer from making a unilateral modification to an existing hospital, surgical, or medical expense insurance policy except at the time of coverage renewal. Therefore, the Department did not make any changes to the regulation in response to this comment.

Department of Health

EMERGENCY RULE MAKING

Immunizations and Communicable Diseases

I.D. No. HLT-17-20-00004-E
Filing No. 277
Filing Date: 2020-04-10
Effective Date: 2020-04-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 66 of Title 10 NYCRR.

Statutory authority: Public Health Law, sections 201 and 206

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: Where compliance with routine administrative procedures would be contrary to public interest, the State Administrative Procedure Act (SAPA) § 202(6) empowers state agencies to adopt emergency regulations necessary for the preservation of public health, safety, or general welfare. In this case, compliance with SAPA for filing of this regulation on a non-emergency basis, including the requirement for a period of time for public comment, cannot be met because to do so would be detrimental to the health and safety of the general public.

The 2019 Coronavirus (COVID-19) is a disease that causes mild to severe respiratory symptoms, including fever, cough, and difficulty breathing. People infected with COVID-19 have had symptoms ranging from those that are mild (like a common cold) to severe pneumonia that requires medical care in a hospital and can be fatal. According to Johns Hopkins' Coronavirus Resource Center, to date, there have been over 1.3 million cases and 76,501 deaths worldwide, with a disproportionate risk of severe illness for older adults and/or those who have serious underlying medical health conditions.

COVID-19 was found to be the cause of an outbreak of illness in Wuhan, Hubei Province, China in December 2019. Since then, the situation has rapidly evolved throughout the world, with many countries, including the United States, quickly progressing from the identification of travel-associated cases to person-to-person transmission among close contacts of travel-associated cases, and finally to widespread community transmission of COVID-19.

On January 30, 2020, the World Health Organization (WHO) designated the COVID-19 outbreak as a Public Health Emergency of International Concern. On a national level, the Secretary of Health and Human Services determined on January 31, 2020 that as a result of confirmed cases of COVID-19 in the United States, a public health emergency existed and had existed since January 27, 2020, nationwide. Subsequently, on March 13, 2020, President Donald J. Trump declared a national emergency in response to COVID-19, pursuant to Section 501(b) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

New York State first identified cases on March 1, 2020 and has since become the national epicenter of the outbreak. On March 7, 2020, with widespread transmission rapidly increasing within certain areas of the state, Governor Andrew M. Cuomo issued an Executive Order declaring a state disaster emergency to aid in addressing the threat COVID-19 poses to the health and welfare of New York State residents and visitors. With 159,937 confirmed cases and 7,067 deaths, as of April 9, 2020, which accounts for approximately 42% of all deaths nationwide, New York State is currently the most impacted state in the nation.

As part of ongoing efforts to address this pandemic, Governor Cuomo has issued (and continues to issue) Executive Orders to implement measures aimed at limiting the spread and/or mitigating the impact of COVID-19 within the state. Specifically, several Executive Orders were issued to require closures of certain non-essential businesses, such as barber shops, tattoo and piercing parlors, places of amusement, gyms, movie theaters, video and casino gaming, restaurants (excepting take-out/off premise consumption), and indoor common portions of retail shopping malls with an excess of 100,000 square feet of retail space. On March 22, 2020, Governor Cuomo announced the implementation of "New York State on Pause," a 10-point policy to ensure the uniform public health and safety of New Yorkers. As part of implementing this policy, Executive Order 202.8 ordered the reduction of the in-person workforce of non-essential work locations by 100%, and Executive Order 202.10 ordered the cancellation/postponement of non-essential gatherings of any size for any reason. Despite these orders, there have been several issues identified with the public's lack of compliance and adherence to social distancing protocols.

Given the emergent nature of the COVID-19 outbreak, these emergency regulations are necessary to implement social distancing measures to control the spread of communicable disease, in situations in which the Governor has declared a state disaster emergency.

Subject: Immunizations and Communicable Diseases.

Purpose: To control and promote the control of communicable diseases to reduce their spread.

Text of emergency rule: The title of Part 66 is amended as follows:

Immunizations *and Communicable Diseases*

A new Subpart 66-3, titled COVID-19 Emergency Regulations, is added to read as follow:

66-3.1 Duration and Applicability

The provision of this Subpart shall apply for the duration of any state disaster emergency declared pursuance to sections 28 and 29-a of the Executive Law related to the outbreak of COVID-19 in New York State.

66-3.2 Non-essential gatherings prohibited

There shall be no non-essential gatherings of any number of individuals for any reason at any location in the state, including but not limited to parties, celebrations or other social events, congregate services of worship and funeral services. Provided, however, that such restrictions shall not apply to any essential business, as defined by the New York State Department of Economic Development d/b/a Empire State Development (ESD), pursuant to the authority provided in Executive Order 202.6.

66-3.3 Business operations

(a) All businesses and not-for-profit entities in the state shall utilize, to the maximum extent possible, any telecommuting or work from home procedures that they can safely utilize. Each employer shall reduce the in-person workforce at any work locations by 100%, provided that any essential business or entity providing essential services or functions shall not be subject to such in-person restrictions. An entity providing essential services or functions whether to an essential business or a non-essential business shall not be subjected to the in-person work restriction, but may operate at the level necessary to provide such service or function.

(b) Any business not otherwise described herein may be deemed essential after requesting an opinion from the Empire State Development Corporation, which shall review and grant such request, should it determine that it is in the best interest of the state to have the workforce continue at full capacity in order to properly respond to this disaster.

(c) Essential businesses include, but are not limited to, the following: essential health care operations including but not limited to any entity or individual licensed, certified, registered or otherwise approved pursuant to Articles 5, 28, 30, 35, 36 or 40 of the Public Health Law, or Articles 131, 131-B, 131-C, 137, 139, 140, 153, 154, 163, 164 or 165 of the Education Law, and any other research or laboratory services; essential infrastructure including utilities, telecommunication, airports and transportation infrastructure, and hotels and places of accommodation; essential manufacturing, including food processing and pharmaceuticals; essential retail including grocery stores and pharmacies; essential services including trash collection, mail, and shipping services; news media; banks and related financial institutions; providers of basic necessities to economically disadvantaged populations; construction; vendors of essential services necessary to maintain the safety, sanitation and essential operations of residences or other essential businesses; vendors that provide essential services or products, including logistics and technology support, child care and services needed to ensure the continuing operation of government agencies and provide for the health, safety and welfare of the public.

(d) Essential business shall not include the following, provided that this list is not exclusive:

(1) Barbershops, hair salons, tattoo or piercing parlors and related personal care services, including nail technicians, cosmetologists and estheticians, and businesses that provide electrolysis and laser hair removal services.

(2) Any places of public amusement, whether indoors or outdoors, including but not limited to, locations with amusement rides, carnivals, amusement parks, water parks, aquariums, zoos, arcades, fairs, children's play centers, funplexes, theme parks, bowling alleys, family and children's attractions.

(3) Any facilities authorized to conduct video lottery gaming, or casino gaming.

(4) Any gyms, fitness centers or fitness classes.

(5) Any movie or live theaters.

(e) All restaurants and bars shall cease serving patrons food or beverages on premises, and shall only serve food or beverages for off-premises consumption which may include take-out or delivery services.

(f) All indoor common portions of retail shopping malls with in excess of 100,000 square feet of retail space available for lease shall remain closed. Any stores located within such shopping malls, which have their own external entrances open to the public, separate from the general mall entrance, and which are essential businesses may remain open, provided that any restaurant shall limit itself to take out or delivery food services, and that any interior entrances to common areas of the mall remain closed and locked.

66-3.4 Penalties

A violation of any provision of this Subpart is subject to all civil and criminal penalties as provided for by law. Individuals who violate this Subpart are subject to a maximum fine of $1,000 for each violation. For purposes of civil penalties, each day that there is a non-essential gathering or that a business operates in a manner inconsistent with the Subpart shall constitute a separate violation under this Subpart.

This notice is intended to serve only as an emergency adoption, to be valid for 90 days or less. This rule expires July 8, 2020.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

Regulatory Impact Statement

Statutory Authority:

The statutory authority for adding a new Subpart 66-3 is sections 201 and 206 of the Public Health Law.

Legislative Objectives:

The legislative objective of PHL § 201 includes authorizing the New York State Department of Health ("Department") to control and promote the control of communicable diseases to reduce their spread. Likewise, the legislative objective of PHL § 206 includes authorizing the Commissioner of Health to take cognizance of the interests of health and life of the people of the state, and of all matters pertaining thereto and exercise the functions, powers and duties of the department prescribed by law, including control of communicable diseases.

Needs and Benefits:

The 2019 Coronavirus (COVID-19) is a disease that causes mild to severe respiratory symptoms, including fever, cough, and difficulty breathing. People infected with COVID-19 have had symptoms ranging from those that are mild (like a common cold) to severe pneumonia that requires medical care in a hospital and can be fatal. According to Johns Hopkins' Coronavirus Resource Center, to date, there have been over 1.3 million cases and 76,501 deaths worldwide, with a disproportionate risk of severe illness for older adults and/or those who have serious underlying medical health conditions.

COVID-19 was found to be the cause of an outbreak of illness in Wuhan, Hubei Province, China in December 2019. Since then, the situation has rapidly evolved throughout the world, with many countries, including the United States, quickly progressing from the identification of travel-associated cases to person-to-person transmission among close contacts of travel-associated cases, and finally to widespread community transmission of COVID-19.

On January 30, 2020, the World Health Organization (WHO) designated the COVID-19 outbreak as a Public Health Emergency of International Concern. On a national level, the Secretary of Health and Human Services determined on January 31, 2020 that as a result of confirmed cases of COVID-19 in the United States, a public health emergency existed and had existed since January 27, 2020, nationwide. Subsequently, on March 13, 2020, President Donald J. Trump declared a national emergency in response to COVID-19, pursuant to Section 501(b) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

New York State first identified cases on March 1, 2020 and has since become the national epicenter of the outbreak. On March 7, 2020, with widespread transmission rapidly increasing within certain areas of the state, Governor Andrew M. Cuomo issued an Executive Order declaring a state disaster emergency to aid in addressing the threat COVID-19 poses to the health and welfare of New York State residents and visitors. With 159,937 confirmed cases and 7,067 deaths, as of April 9, 2020, which accounts for approximately 42% of all deaths nationwide, New York State is currently the most impacted state in the nation.

As part of ongoing efforts to address this pandemic, Governor Cuomo has issued Executive Orders to implement measures aimed at limiting the spread and/or mitigating the impact of COVID-19 within the state. Specifically, several Executive Orders were issued to require closures of certain non-essential businesses, such as barber shops, tattoo and piercing parlors, places of amusement, gyms, movie theaters, video and casino gaming, restaurants (excepting take-out/off premise consumption), and indoor common portions of retail shopping malls with an excess of 100,000 square feet of retail space. On March 22, 2020, Governor Cuomo announced the implementation of "New York State on Pause," a 10-point policy to ensure the uniform public health and safety of New Yorkers. As part of implementing this policy, Executive Order 202.8 ordered the reduction of the in-person workforce of non-essential work locations by 100%, and Executive Order 202.10 ordered the cancellation/postponement of non-essential gatherings of any size for any reason. Despite these orders, there have been several issues identified with the public's lack of compliance and adherence to social distancing protocols.

Due to this situation, these regulations are necessary to implement social distancing measures to control the spread of communicable disease, in situations in which the Governor has declared a state disaster emergency.

COSTS:

Costs to Regulated Parties:

The purpose of this regulation is to codify certain provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders. Accordingly, this regulation imposes no additional costs to regulated parties.

Costs to Local and State Governments:

State and local government are authorized to enforce civil and criminal penalties related to the violation of these regulations, and there may be some cost of enforcement. Notably, pursuant to section 12-b of the PHL, any person who willfully violates these regulations may be subject to criminal penalties including imprisonment not exceeding one year, or a fine not exceeding $10,000, or by both.

Paperwork:

This regulation imposes no addition paperwork.

Local Government Mandates:

This regulation imposes no additional local mandates beyond what has already been required pursuant to Executive Orders.

Duplication:

The purpose of this regulation is to codify certain provision regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders. There is no duplication of federal law.

Alternatives:

The Department believes that promulgation of this regulation will facilitate increased awareness and more effective enforcement, compared to any alternate course of action.

Federal Standards:

States and local governments have primary authority for controlling disease within their respective jurisdictions. Accordingly, there are no federal statutes or regulations that apply to disease control within NYS.

Compliance Schedule:

The regulations will become effective upon filing with the Department of State.

Regulatory Flexibility Analysis

Effect on Small Business and Local Government:

As part of ongoing efforts to address the COVID-19 pandemic, Governor Cuomo has issued Executive Orders to implement measures aimed at limiting the spread and/or mitigating the impact of COVID-19 within the state, that have a significant impact on small business and local government. Specifically, several Executive Orders were issued to require closures of certain non-essential businesses, such as barber shops, tattoo and piercing parlors, places of amusement, gyms, movie theaters, video and casino gaming, restaurants (excepting take-out/off premise consumption), and indoor common portions of retail shopping malls with an excess of 100,000 square feet of retail space. Further, pursuant to Executive Order 202.4, schools were ordered to close as of March 18, 2020, which has been extended in two-week increments, with the Governor most recently extending school closures through April 29, 2020. On March 22, 2020, Governor Cuomo announced the implementation of "New York State on Pause," a 10-point policy to ensure the uniform public health and safety of New Yorkers. As part of implementing this policy, Executive Order 202.8 ordered the reduction of the in-person workforce of non-essential work locations by 100%, and Executive Order 202.10 ordered the cancellation/postponement of non-essential gatherings of any size for any reason.

As the purpose of these regulations is to codify existing obligations imposed pursuant to the above referenced Executive Orders, the effect of this regulation is expected to be minimal.

Compliance Requirements:

These regulations codify existing obligations imposed pursuant to the above referenced Executive Orders and are intended to enforce these social distancing measures to control the spread of communicable disease. To comply with these regulations, small businesses that are non-essential must reduce their in-person work force by 100%.

Professional Services:

It is not expected that any professional services will be needed to comply with this rule.

Compliance Costs:

The purpose of this regulation is to codify certain provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders. Accordingly, this regulation imposes no additional costs to regulated parties.

Economic and Technological Feasibility:
There are no economic or technological impediments to the rule changes.
Minimizing Adverse Impact:
As the proposed regulations codify existing provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders, any adverse impacts are expected to be minimal.
Small Business and Local Government Participation:
Due to the emergent nature of COVID-19, small business and local governments were not consulted.

Rural Area Flexibility Analysis

Type and Estimated Numbers of Rural Areas:
While this rule applies uniformly throughout the state, including rural areas, for the purposes of this Rural Area Flexibility Analysis (RAFA), "rural area" means areas of the state defined by Exec. Law § 481(7) (SAPA § 102(10)). Per Exec. Law § 481(7), rural areas are defined as "counties within the state having less than two hundred thousand population, and the municipalities, individuals, institutions, communities, and programs and such other entities or resources found therein. In counties of two hundred thousand or greater population 'rural areas' means towns with population densities of one hundred fifty persons or less per square mile, and the villages, individuals, institutions, communities, programs and such other entities or resources as are found therein."
The following 43 counties have a population of less than 200,000 based upon the United States Census estimated county populations for 2010:

Allegany County	Greene County	Schoharie County
Cattaraugus County	Hamilton County	Schuyler County
Cayuga County	Herkimer County	Seneca County
Chautauqua County	Jefferson County	St. Lawrence County
Chemung County	Lewis County	Steuben County
Chenango County	Livingston County	Sullivan County
Clinton County	Madison County	Tioga County
Columbia County	Montgomery County	Tompkins County
Cortland County	Ontario County	Ulster County
Delaware County	Orleans County	Warren County
Essex County	Oswego County	Washington County
Franklin County	Otsego County	Wayne County
Fulton County	Putnam County	Wyoming County
Genesee County	Rensselaer County	Yates County
	Schenectady County	

The following counties of have population of 200,000 or greater, and towns with population densities of 150 person or fewer per square mile, based upon the United States Census estimated county populations for 2010:

Albany County	Monroe County	Orange County
Broome County	Niagara County	Saratoga County
Dutchess County	Oneida County	Suffolk County
Erie County	Onondaga County	

Reporting, recordkeeping, and other compliance requirements; and professional services:
These regulations codify existing obligations imposed pursuant to several Executive Orders and are intended to enforce these social distancing measures to control the spread of communicable disease. Specifically, several Executive Orders were issued to require closures of certain non-essential businesses, such as barber shops, tattoo and piercing parlors, places of amusement, gyms, movie theaters, video and casino gaming, restaurants (excepting take-out/off premise consumption), and indoor common portions of retail shopping malls with an excess of 100,000 square feet of retail space. Further, pursuant to Executive Order 202.4, schools were ordered to close as of March 18, 2020, which has been extended in two-week increments, with the Governor most recently extending school closures through April 29, 2020. On March 22, 2020, Governor Cuomo announced the implementation of "New York State on Pause," a 10-point policy to ensure the uniform public health and safety of New Yorkers. As part of implementing this policy, Executive Order 202.8 ordered the reduction of the in-person workforce of non-essential work locations by 100%, and Executive Order 202.10 ordered the cancellation/postponement of non-essential gatherings of any size for any reason. All of these provisions apply equally to rural areas of the state.

Compliance Costs:
The purpose of this regulation is to codify certain provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders. Accordingly, this regulation imposes no additional costs to regulated parties.
Economic and Technological Feasibility:
There are no economic or technological impediments to the rule changes.
Minimizing Adverse Impact:
As the proposed regulations codify existing provisions regarding social distancing that have been issued by Governor Andrew M. Cuomo through several Executive Orders, any adverse impacts are expected to be minimal.
Rural Area Participation:
Due to the emergent nature of COVID-19, parties representing rural areas were not consulted.

Job Impact Statement

The Department of Health has determined that this regulatory change, on its own, will not have a substantial adverse impact on jobs and employment, based upon its nature and purpose.

Metropolitan Transportation Agency

EMERGENCY RULE MAKING

Debarment of Contractors

I.D. No. MTA-23-19-00006-E
Filing No. 287
Filing Date: 2020-04-14
Effective Date: 2020-04-14

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Part 1004 to Title 21 NYCRR.

Statutory authority: Public Authorities Law, sections 1265(5), 1266(4) and 1279-h

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: This emergency rule making is necessary to immediately implement the recent amendment to the Public Authorities Law, enacted as part of the 2020 Budget, which added a new section 1279-h. That new statutory provision, effective immediately on enactment on April 12, 2019 and applicable to all contracts in effect on or entered into after that date, requires the Metropolitan Transportation Authority to establish "pursuant to regulation" a process for debarment of contractors under certain circumstances specified in the statute.

Subject: Debarment of contractors.

Purpose: To comply with Public Authorities Law, section 1279-h, which requires the MTA to establish a debarment process for contractors.

Text of emergency rule: A new Part 1004 is added to read as follows:

Section 1004.1 Purpose

(a) This Part establishes rules and regulations governing the debarment of contractors by the Metropolitan Transportation Authority and its subsidiaries and affiliates, as required by Section 1279-h of the Public Authorities Law, which was enacted on and made effective immediately as of April 12, 2019. Once adopted, it shall apply to all contracts that were in effect on, or entered into after, April 12, 2019.

(b) Nothing in this Part shall preclude or otherwise limit the Authority, as defined below, from assessing the responsibility of any bidder, contractor, subcontractor, or supplier pursuant to its All-Agency Responsibility Guidelines or from prohibiting any bidder, contractor, subcontractor, or supplier found to be not responsible from responding to new and future contract solicitations or from being awarded new and future contracts or subcontracts.

Section 1004.2 Definitions

As used in this Part, the following terms shall have the following meanings unless otherwise specified:

(a) Authority means the Metropolitan Transportation Authority, the Long Island Rail Road Company, the Metro-North Commuter Railroad Company, the Staten Island Rapid Transit Operating Authority, MTA Bus Company, MTA Capital Construction Company, the New York City Transit Authority, the Manhattan and Bronx Surface Transit Operating Authority,

or the Triborough Bridge and Tunnel Authority, or any combination thereof, as the case may be.

(b) Contract means an enforceable agreement including a task order entered into by a contractor and the Authority for goods or services, including without limitation construction services.

(c) Contractor means any person, partnership, firm, corporation, or association, including any consultant, supplier or vendor, with whom the Authority has entered into a construction, consultant, equipment, supply, or services contract, but shall not include the federal government, a state agency, any public authority or public benefit corporation, or any unit of local government.

(d) Debar or debarment means the prohibition of a contractor from responding to any contract solicitation of or entering into any contract with the Authority for five years from a final debarment determination as provided in section 1004.6 of this Part.

(e) Contract Modification means amendments, change orders, additional work orders, or modifications with respect to a contract that are executed in accordance with the terms and conditions of such contract.

(f) Substantially Complete, unless otherwise defined in the contract at issue, means the contractor's completion of the work as necessary for the Authority's beneficial use of the applicable project or improvements or the Authority's acceptance of those goods or services required to be delivered by a deadline.

(g) Total adjusted time frame means (1) with respect to all work under a contract, the period of time that a contract provides for a contractor to substantially complete the work, as may have been extended or reduced by one or more contract modifications, and (2) with respect to contracts for goods or services, as to any portion of the goods or services that must be delivered by a deadline, the period of time that the contract provides for such delivery, as may have been extended or reduced by one or more contract modifications.

(h) Total adjusted contract value means the original awarded amount of the contract plus or minus the aggregate net amount of all contract modifications.

Section 1004.3 Grounds for Debarment

(a) The Authority, including all contracting personnel therein, must debar a contractor if it makes a final determination that the contractor has:

(1) (i) failed to substantially complete all the work within the total adjusted time frame by more than ten percent of the total adjusted time frame, or (ii) failed to progress the work in a manner so that it will be substantially complete within ten percent of the total adjusted time frame and has refused or in the opinion of the Authority is unable to accelerate the work so that it will be substantially complete within ten percent of the total adjusted time frame, and such refusal or failure is an event of default under the contract; or (iii) with respect to contracts for goods or services, as to any portion of the goods or services that must be delivered by a deadline, materially failed to deliver such goods or services by more than ten percent of the total adjusted time frame.

(2) asserted a claim or claims for payment of additional amounts beyond the total adjusted contract value and one or more of such claims are determined to be invalid under the contract's dispute resolution process or if no such process is specified in the contract in a final determination made by the chief engineer or otherwise by the Authority, and together the sum of any such invalid claims exceeds by ten percent or more the total adjusted contract value.

(3) The Authority, including all contracting personnel therein, must commence a debarment procedure where there is any evidence that any specific provision referenced in provision (a)(1) and (a)(2) have been violated, and the Authority and its contracting personnel have no discretion to excuse or justify violations of any provision referenced in provision (a)(1) and (a)(2).

Section 1004.4 Notice of Intent to Debar and Written Response

(a) Upon the occurrence of one or both of the circumstances set forth in section 1004.3 of this Part, the Authority shall provide a written notice of intent to debar to the contractor, advising the contractor that it will hold a hearing to make a final determination as to whether a ground for debarment exists. At a minimum, the notice of intent to debar shall:

(1) state the facts upon which the Authority made its preliminary finding that one or both statutory grounds for debarment exists, including the basis for determining as provided in section 1004.4 of this Part that the contractor failed to timely Substantially Complete or the Authority's calculation of costs arising from claims determined to be invalid, and

(2) provide the contractor 30 calendar days after the date of the notice of intent to debar to respond.

(b) A contractor's written response must address each of the factual statements made by the Authority in its notice of intent to debar and state in detail any defenses including but not limited to force majeure.

(c) After submission by the contractor of a written response within the time permitted, or after the failure by the contractor to submit a written response within such time, a debarment hearing will be held, as provided in section 1004.5 of this Part.

(d) Subject to section 1004.1(b) of this Part, a contractor who has received a notice of intent to debar may respond to other contract solicitations issued by the Authority pending the hearing and a final debarment determination, if any; provided, however, that if the Authority awards such contractor a new contract or contracts after having provided the contractor a notice of intent to debar, and such contractor is later debarred by the Authority pursuant to such notice, the Authority must view such debarment as cause for termination under such new contract or contracts and thereupon terminate any such new contracts for cause.

Section 1004.5 Debarment Hearing

(a) A debarment hearing shall be conducted within:

(1) 21 calendar days from the Authority's receipt of a contractor's written response to a notice of intent to debar or within such further reasonable time that the authority shall proscribe; or

(2) 14 calendar days after the date the contractor's response was due, if no response is received from the contractor within the deadline.

(b) A recording or transcript of the debarment hearing shall be made.

(c) The debarment hearing shall be conducted by a panel of at least three managerial level employees of the MTA designated by majority vote of the Authority's board; provided that no employee who has taken part in the award of any Authority contract to such contractor or overseen such contractor's performance on any Authority contract may serve on a panel considering the debarment of such contractor.

(d) A contractor shall have the right to appear by and be represented by counsel at the debarment hearing and any hearings in connection with other proceedings conducted pursuant to this Part.

(e) A contractor at the debarment hearing may assert any and all defenses to debarment including without limitation force majeure.

(f) If a contractor fails to appear at a debarment hearing, the panel may proceed with the hearing on the basis of the record before it and reach a final determination without providing for any further appearance or submission by the contractor.

Section 1004.6 Final Debarment Determination

(a) After the hearing is completed, the panel shall determine if one or both of the grounds for debarment as set forth in section 1004.3 of this Part exists.

(b) The panel's determination shall be set forth in writing. If the final debarment determination is that one or both of the grounds for debarment exist, the contractor shall be debarred for five years from the date of the final debarment determination. The panel may, in its discretion, also debar any of (1) the contractor's parent(s), subsidiaries and affiliates; (2) any joint venture (including its individual members) and any other form of partnership (including its individual members) that includes a contractor or a contractor's parent(s), subsidiaries, or affiliates of a contractor, (3) a contractor's directors, officers, principals, managerial employees, and any person or entity with a ten percent or more interest in a contractor; (4) any legal entity controlled, or ten percent or more of which is owned or controlled, by a contractor, or by any director, officer, principal, managerial employee of contractor, or by any person or entity with a 10 percent or greater interest in contractor, including without limitation any new entity created after the date of the notice of intent to debar.

(c) The panel's determination shall be timely submitted to the board of the Authority for ratification. The board of the Authority shall review such determination and either: (i) ratify the determination or, (ii) remit the determination to the panel for further consideration of facts or circumstances identified in the remission. The facts or circumstances identified in the remission shall be reviewed by the panel who shall then, after reconsideration, make a determination. Such determination shall then be resubmitted to the Authority board for ratification or nullification. Upon initial Authority board ratification of a panel determination, or Authority board ratification or nullification of a panel determination made after reconsideration, such determination shall be deemed final.

(d) Timely and complete compliance with each and all of the requirements of this Part shall be a precondition to any legal challenge that the contractor may be permitted to bring arising out of its debarment pursuant to Section 1279-h of the Public Authorities Law.

(e) Pursuant to Executive Order 192, the Authority shall notify the New York State Office of General Services of any final debarment determination within five days of the date thereof.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. MTA-23-19-00006-EP, Issue of June 5, 2019. The emergency rule will expire June 12, 2020.

Text of rule and any required statements and analyses may be obtained from: Peter Sistrom, Metropolitan Transportation Authority, 2 Broadway, 9th Floor, New York, NY 10004, (212) 878-7176, email: psistrom@mtahq.org

Regulatory Impact Statement

Statutory Authority:

Section 1266(4) of the Public Authorities Law provides that the Metropolitan Transportation Authority (MTA) may establish rules and regulations as it may deem necessary, convenient, or desirable for the use and operation of any transportation facility and related services operated by the MTA. Newly enacted Section 1279-h of the Public Authorities Law, enacted on April 12, 2019 and effective immediately, directs the MTA to establish pursuant to regulation a debarment process for its contractors.

Legislative Objectives:

The Legislature enacted the new Section 1279-h of the Public Authorities Law as part of the 2020 Budget. It requires the MTA to establish a process that will debar for five years any contractor who either fails to substantially complete the work within the time frame set by the contract, or in any subsequent change order, by more than ten percent of the contract term, or whose disputed work exceeds ten percent or more of the total contract cost where claimed costs are deemed to be invalid pursuant to the contractual dispute resolution process. And the statute requires that the debarment process ensures that contractors have notice and an opportunity to be heard including the opportunity to present as a defense acts such as force majeure. The proposed rule accords with this legislative objective by establishing a process for debarment of contractors.

Needs and Benefits:

The proposed rule is necessary to implement the newly enacted Section 1279-h of the Public Authorities, which expressly requires the MTA to establish a debarment process and specifies the circumstances under which MTA must debar a contractor. Contractors who are significantly late in performing their contractual work or in meeting contractual delivery dates or who assert substantial and unjustified claims for payment should not be allowed to compete to be awarded new contracts.

Costs:

(a) Regulated parties. This proposal does not impose new costs on contractors. It provides for process for determining whether factual circumstances exist, which the Legislature has determined require debarment. The proposed rule establishes a process to ensure that contractors are provided notice and an opportunity to be heard.

(b) Local government. The proposed rule will impose no costs on local governments.

(c) MTA. The MTA will use existing resources including its existing procurement and legal staff to undertake debarments of contractors.

Paperwork:

The proposed rule will require the MTA to develop a notice to inform contractors that they might be debarred.

Local Government Mandates:

The proposed rule does not impose any new programs, services, duties, or responsibilities on local government.

Duplication:

The proposed rule does not duplicate, overlap, or conflict with any State or Federal rule.

Alternatives:

The Legislature has expressly directed the MTA to establish by regulation a debarment process for its contractors, so MTA has not considered not doing so.

Federal Standards:

The proposed rule does not exceed any Federal minimum standards.

Compliance Schedule:

There is no compliance schedule imposed by this proposed rule. Once adopted, it will be effective immediately and will apply to contracts in effect on, or entered into after, the effective date of Section 1279-h of the Public Authorities Law, which was April 12, 2019.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A regulatory flexibility analysis for small business and local governments, a rural area flexibility analysis, and a job impact statement are not required for this rule making proposal because it will not adversely affect small businesses, local governments, rural areas, or jobs.

This proposed rule making will allow the Metropolitan Transportation Authority to debar a contractor under specified statutorily proscribed circumstances after giving such contractor notice and opportunity to be heard. Due to its narrow focus, this proposed rule will not impose an adverse economic impact or reporting, record keeping, or other compliance requirements on small businesses or local governments in rural or urban areas or on jobs and employment opportunities.

Assessment of Public Comment

On May 22, 2019, the MTA submitted to the Secretary of State a Notice of Emergency Adoption and Proposed Rulemaking; it was published in the New York State Register on June 5. The emergency rule, which was identical to the proposed rule and added a new Part 1004 to Title 21 of the Official Compilation of Codes, Rules and Regulations of the State of New York, became effective upon filing. The MTA re-adopted the same emergency rule on August 19, October 18, December 16, 2019, February 14, 2020, and has now re-adopted the same emergency rule on April 14, 2020.

Meanwhile, in the 60-day period for public comment following publication in the New York State Register, the MTA received nearly 50 separate comments totaling over 200 pages. Commenters included:

- 17 trade associations representing, among others, general contractors, sureties, electrical contractors, architectural and engineering consultants, and subcontractors
- 27 individual firms that have and continue to do construction-related work for the MTA including Bombardier, John G. Civetta & Sons, Schindler Elevator, Siemens Mobility, Skanska, STV Companies, Syska Hennessy, TC Electric, and Tutor Perini
- 5 other commenters, including the Citizens Budget Commission and the Partnership for New York City

Many of these commenters called debarment of contractors who are late or over budget an unduly harsh and punitive consequence. The MTA, they say, has effective contractual remedies and uses them, sometimes unfairly. According to these commenters, debarment should be used only for fraud or criminal activity. Many predicted that few contractors will bid on MTA projects going forward and those who do will increase their bid prices.

Many commenters asserted that whatever new debarment procedure the MTA adopts should lawfully apply only to contracts entered after the debarment statute, Public Authorities Law § 1279-h, took effect in April 2019. Otherwise, they assert, their existing contracts would be impaired, which they asserted would be both unconstitutional and unfair because their bid prices did not consider the added risk of debarment.

Several commenters urged MTA to exclude participants in the MTA's Small Business Mentoring Program.

Other commenters objected to the proposed rule for being inflexible, asserting that it would give the MTA no discretion to consider what they said were "mitigating factors."

Some commenters objected that "invalid claim" is not defined in the proposed rule and so MTA has too much discretion to debar contractors for submitting in good faith payment claims that are denied by the MTA's dispute resolution process. Others suggested that it was unfair to hold contractors accountable for payment claims by their subcontractors that they are contractually obligated to forward to MTA whether they believe them valid.

Other commenters objected to the provision allowing a hearing panel to debar not only a contractor but affiliated entities and individuals.

MTA continues to evaluate these public comments but has not yet decided whether to adopt the proposed rule or to revise it and therefore is not ready, and is not required, to fully assess and respond to all the comments. Since the filing of the Notice of Emergency Adoption on February 14, 2020, the MTA has received no further public comments.

Office for People with Developmental Disabilities

NOTICE OF ADOPTION

Creates Extended Treatment Units

I.D. No. PDD-06-20-00009-A

Filing No. 289

Filing Date: 2020-04-14

Effective Date: 2020-04-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 681.1 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.07, 13.09(b) and 16.00

Subject: Creates Extended Treatment Units.

Purpose: To provide services and supports for individuals in crisis.

Text or summary was published in the February 12, 2020 issue of the Register, I.D. No. PDD-06-20-00009-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Mary Beth Babcock, Office For People With Developmental Disabilities, 44 Holland Ave., 3rd Fl., Albany, NY 12229, (518) 474-7700, email: rau.unit@opwdd.ny.gov

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment and an E.I.S. is not needed.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2023, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Partial Waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process

I.D. No. PSC-17-20-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed on March 27, 2020 by Alpha Gas & Electric, LLC for a partial waiver of the Commission's Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process, issued December 12, 2019.

Statutory authority: Public Service Law, sections 5(1)(b), 65(1), (2), (3), 66(1), (2), (3), (5) and (8)

Subject: Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.

Purpose: To consider whether Alpha Gas & Electric, LLC should be permitted to offer its Green Gas Program to mass market customers.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on March 27, 2020 by Alpha Gas & Electric, LLC (Alpha) for a partial waiver of the Commission's Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process, issued on December 12, 2019 in Case 15-M-0127, et al. (December 2019 Order).

The December 2019 Order, among other things, limited the types of products that energy service companies (ESCOs) can offer to New York mass market customers to those products that: (1) include a guaranteed savings over the utility price, as reconciled on an annual basis; (2) are for a fixed-rate commodity product that is priced at no more than 5% greater than the trailing 12-month average utility supply rate; and, (3) are for a renewably sourced electric commodity product that (a) has a renewable mix that is at least 50% greater than the ESCO's current Renewable Energy Standard (RES) obligation, and (b) the ESCO complies with the RES locational and delivery requirements when procuring Renewable Energy Credits (RECs) or entering into bilateral contracts for renewable commodity supply. Additionally, the December 2019 Order allowed for an additional product to be offered by Agway Energy Services, LLC (Agway) who provides customers with its EnergyGuard service. The December 2019 Order provided a limited opportunity for other ESCOs to petition the Commission for the opportunity to sell a product/service similar to EnergyGuard.

Alpha requests that it be permitted to offer a "green gas" product as a value-added premium product to its customers seeking to decarbonize their energy profile (Green Gas Program). According to Alpha, this product provides specific, credible, and quantifiable energy-related value in a monetary and non-monetary sense to customers, and simultaneously advances the New York's clean energy policy objectives. Alpha asserts that its Green Gas Program would promote and encourage New York customers to voluntarily decarbonize their energy usage in support of personal, commercial, or state clean energy objectives. Additionally, Alpha contends that because this product is a "bona-fide value-added product," a partial waiver from the December 2019 Order to offer this product to its customers is appropriate.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-M-0127SP12)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Proposed Filing to Provide Credits for AMI Non-Residential Customer Sided Meters

I.D. No. PSC-17-20-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by Consolidated Edison Company of New York, Inc. to revise P.S.C. No. 10—Electricity, to provide a credit to non-residential customers that install Advanced Metering Infrastructure communication equipment.

Statutory authority: Public Service Law, sections 65 and 66

Subject: Proposed filing to provide credits for AMI non-residential customer sided meters.

Purpose: To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Substance of proposed rule: The Commission is considering a proposal filed by Consolidated Edison Company of New York, Inc. (Con Edison or the Company) on April 3, 2020, to amend its electric tariff schedule, P.S.C. No. 10, to provide a credit to non-residential customers that install Advanced Metering Infrastructure (AMI) communication equipment.

Specifically, Con Edison proposes to provide these non-residential customers an annual $50 credit for allowing the Company to install equipment on the customer's side of the meter to enable AMI meters to communicate with Con Edison. The proposed payment is intended to compensate the customer both for the minimal power usage and any inconvenience caused by the equipment. The Company only anticipates needing to use this arrangement in limited circumstances, approximately 500 to 2,000 buildings, where meters are located in areas within a building that are far out of range of existing communications equipment. The proposed amendments have an effective date of September 1, 2020.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0154SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Tariff Provisions for Non-Firm Demand Response Service Classes

I.D. No. PSC-17-20-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition for rehearing, filed by the City of New York on December 16, 2019, of the Commission's Order Approving Tariff Amendments with Modifications issued on November 15, 2019.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Tariff provisions for Non-Firm Demand Response service classes.

Purpose: To consider appropriate tariff provisions for non-compliant Non-Firm Demand Response service customers.

Substance of proposed rule: The Commission is considering a petition for rehearing filed by the City of New York (City) on December 16, 2019. The petition seeks rehearing of the November 15, 2019 Order Approving Tariff Amendments with Modifications in this proceeding. Therein, the Commission approved amendments, with modifications, to KeySpan Gas East Corporation d/b/a National Grid's (KEDLI) gas tariff schedule, P.S.C. No. 1. In its petition, the City requests that the Commission reconsider the Order's approved definition of Critical Care Customers for KEDLI's Non-Firm Demand Response Service Classes.

In the petition, the City objects to the Commission's rejection of its proposed broader definition of Critical Care Customers, arguing that the Order fails to provide any explanation as to why the narrower definition proposed by KEDLI was more appropriate. The City further argues that the Order failed to adequately consider and include the entire realm of customer types that provide essential public services. Specifically, the City asserts that the definition should adopt the list of critical facilities identified in its Climate Resiliency Design Guidelines, posted at https://www1.nyc.gov/assets/orr/pdf/NYC_Climate_Resiliency_Design_Guidelines_v3-0.pdf. Lastly, the City asserts that the definition adopted in the Order includes ambiguities that may make it difficult for KEDLI to properly implement its tariff.

The full text of the City's petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-G-0370SP2)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Tariff Provisions for Non-Firm Demand Response Service Classes

I.D. No. PSC-17-20-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition for rehearing, filed by the City of New York on December 16, 2019, of the Commission's Order Approving Tariff Amendments with Modifications issued on November 15, 2019.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Tariff provisions for Non-Firm Demand Response service classes.

Purpose: To consider appropriate tariff provisions for non-compliant Non-Firm Demand Response service customers.

Substance of proposed rule: The Commission is considering a petition for rehearing filed by the City of New York (City) on December 16, 2019. The petition seeks rehearing of the November 15, 2019 Order Approving Tariff Amendments with Modifications in this proceeding. Therein, the Commission approved amendments, with modifications, to The Brooklyn Union Gas Company d/b/a National Grid NY's (KEDNY) gas tariff schedule, P.S.C.

No. 12. In its petition, the City requests that the Commission reconsider the Order's approved definition of Critical Care Customers for KEDNY's Non-Firm Demand Response Service Classes.

In the petition, the City objects to the Commission's rejection of its proposed broader definition of Critical Care Customers, arguing that the Order fails to provide any explanation as to why the narrower definition proposed by KEDNY was more appropriate. The City further argues that the Order failed to adequately consider and include the entire realm of customer types that provide essential public services. Specifically, the City asserts that the definition should adopt the list of critical facilities identified in its Climate Resiliency Design Guidelines, posted at https://www1.nyc.gov/assets/orr/pdf/NYC_Climate_Resiliency_Design_Guidelines_v3-0.pdf. Lastly, the City asserts that the definition adopted in the Order includes ambiguities that may make it difficult for KEDNY to properly implement its tariff.

The full text of the City's petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-G-0371SP2)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Tariff Provisions for Interruptible and Off-Peak Firm Service Gas Customers

I.D. No. PSC-17-20-00012-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition for rehearing, filed by the City of New York on December 16, 2019, of the Commission's Order Approving Tariff Amendments with Modification issued on November 15, 2019.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Tariff provisions for Interruptible and Off-Peak Firm Service Gas Customers.

Purpose: To consider appropriate tariff provisions for non-compliant Interruptible and Off-Peak Firm Gas Customers.

Substance of proposed rule: The Commission is considering a petition for rehearing filed by the City of New York (City) on December 16, 2019. The petition seeks rehearing of the November 15, 2019 Order Approving Tariff Amendments with Modifications in this proceeding and 18-G-0565. Therein, the Commission approved amendments, with modifications, to Consolidated Edison Company of New York, Inc.'s (Con Edison) gas tariff schedule, P.S.C. No. 9. The City requests that the Commission reconsider the Order's approved definition of Critical Care Customers for Con Edison's Interruptible and Off-Peak Firm Service Programs.

In the petition, the City objects to the Commission's rejection of its proposed broader definition of Critical Care Customers, arguing that the Order fails to provide any explanation as to why Con Edison's more narrow definition was more appropriate. The City further argues that the Order failed to adequately consider and include the entire realm of customer types that provide essential public services. Specifically, the City asserts that the definition should adopt the list of critical facilities identified in its Climate Resiliency Design Guidelines, posted at https://www1.nyc.gov/assets/orr/pdf/NYC_Climate_Resiliency_Design_Guidelines_v3-0.pdf. Lastly, the City asserts that the definition adopted in the Order includes ambiguities that may make it difficult for Con Edison to properly implement its tariff.

The full text of the City's petition and the full record of the proceeding

may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-G-0191SP2)

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Education Department		
EDU-11-20-00013-P	Special education impartial hearing officers and the special education due process system procedures	1411 Broadway, New York, NY—May 18, 2020, 10:00 a.m. ACCES/VR, 80 Wolf Rd., Suite 200, Albany, NY—June 11, 2020, 10:00 a.m. Monroe 2–Orleans BOCES, 160 Wallace Way, Bldg. 9, Rochester, NY—June 11, 2020, 10:00 a.m.
Long Island Power Authority		
LPA-09-20-00009-P	LIPA's tariff for buy-back service (Service Classification No. 11)	Rose Caracappa Auditorium, William H Rogers Bldg. #20, 725 Veterans Memorial Hwy., Smithtown, NY—May 4, 2020, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—May 4, 2020, 2:00 p.m.
LPA-09-20-000010-P	To update and implement latest requirements for ESCOs proposing to do business within the authority's service territory	Rose Caracappa Auditorium, William H Rogers Bldg. #20, 725 Veterans Memorial Hwy., Smithtown, NY—May 4, 2020, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—May 4, 2020, 2:00 p.m.
LPA-09-20-000011-P	The modification of service classification No. 13 - Negotiated Contracts	Rose Caracappa Auditorium, William H Rogers Bldg. #20, 725 Veterans Memorial Hwy., Smithtown, NY—May 4, 2020, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—May 4, 2020, 2:00 p.m.
LPA-09-20-000012-P	The smart grid small generator interconnection procedures	Rose Caracappa Auditorium, William H Rogers Bldg. #20, 725 Veterans Memorial Hwy., Smithtown, NY—May 4, 2020, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—May 4, 2020, 2:00 p.m.
LPA-09-20-000013-P	The Long Island choice provisions of the authority's tariff	Rose Caracappa Auditorium, William H Rogers Bldg. #20, 725 Veterans Memorial Hwy., Smithtown, NY—May 4, 2020, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—May 4, 2020, 2:00 p.m.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	**01**	**12**	**00001**	**P**

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AGING, OFFICE FOR THE			
AGE-34-19-00014-P	08/20/20	Limits on Administrative Expenses and Executive Compensation	To bring this rule into compliance with current law in New York State
AGRICULTURE AND MARKETS, DEPARTMENT OF			
AAM-12-20-00006-P	03/25/21	Calibrating and testing of certain weights and measures standards and devices.	To allow the Dept. to increase the fees it charges in calibrating and testing certain weights & measures standards and devices.
ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF			
ASA-10-20-00002-P	03/11/21	Credentialing of addiction professionals	Outlines the regulatory requirements for persons seeking credentialing as an addiction professional
AUDIT AND CONTROL, DEPARTMENT OF			
AAC-07-20-00012-P	02/18/21	Approval of contracts made by MTA and the NYCTA	Modify existing time frames for the approval of MTA and NYCTA contracts to conform with the MTA Reform and Traffic Mobility Act
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-39-19-00005-ERP	09/24/20	Implement federal statutory requirements to include enhanced background checks, annual inspections, annual training and safety	Implement federal statutory requirements to include enhanced background checks, annual inspections, annual training and safety
CFS-39-19-00007-ERP	09/24/20	Implement statutory requirements to include enhanced background checks, annual inspections, annual training and safety.	Implement statutory requirements to include enhanced background checks, annual inspections, annual training and safety.
CFS-42-19-00002-P	10/15/20	Permissible disclosure of records maintained by OCFS.	To amend existing regulations regarding the permissible disclosure of records by OCFS.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-46-19-00002-P	11/12/20	Behavioral health services, elimination of room isolation and authority to operate de-escalation rooms	To implement standards for behavioral health services and the operation of de-escalation rooms and to eliminate room isolation
CFS-49-19-00001-P	12/03/20	Limits on executive compensation	To remove the soft cap limit on executive compensation
CFS-04-20-00009-P	01/28/21	Host Family Homes	The proposed regulations would establish standards for the approval and administration of host family homes.
CFS-06-20-00021-EP	02/11/21	Categories of relatives eligible to become a foster parent of a child in non-relative foster care.	Regulations are necessary to comply with legislative changes to Family Court Act section 1028-a by Ch. 434 of L. 2019.
CIVIL SERVICE, DEPARTMENT OF			
CVS-25-19-00006-P	06/18/20	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-34-19-00011-P	08/20/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-42-19-00010-P	10/15/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-42-19-00014-P	10/15/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-42-19-00020-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00021-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00023-P	10/15/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-42-19-00024-P	10/15/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-45-19-00003-P	11/05/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-45-19-00004-P	11/05/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-45-19-00005-P	11/05/20	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class
CVS-45-19-00006-P	11/05/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-45-19-00007-P	11/05/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
CVS-45-19-00009-P	11/05/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00002-P	12/17/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-51-19-00003-P	12/17/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-51-19-00004-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00005-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00006-P	12/17/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-51-19-00007-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00008-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00009-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00010-P	12/17/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-51-19-00011-P	12/17/20	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-51-19-00012-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00013-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-51-19-00014-P	12/17/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00003-P	01/21/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-03-20-00004-P	01/21/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-03-20-00005-P	01/21/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-03-20-00006-P	01/21/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-03-20-00007-P	01/21/21	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-06-20-00001-P	02/11/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-06-20-00002-P	02/11/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-06-20-00003-P	02/11/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-20-00004-P	02/11/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-20-00005-P	02/11/21	Jurisdictional Classification	To delete positions from the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-06-20-00006-P	02/11/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-06-20-00007-P	02/11/21	Jurisdictional Classification	To delete a position from and classify a position in the exempt class and to delete positions from the non-competitive class
CVS-06-20-00008-P	02/11/21	Jurisdictional Classification	To delete a subheading and positions from and to classify a subheading and positions in the exempt and non-competitive classes
CVS-13-20-00002-P	04/01/21	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2020
CVS-13-20-00009-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00010-P	04/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00011-P	04/01/21	Jurisdictional Classification	To delete positions from the exempt class
CVS-13-20-00012-P	04/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00013-P	04/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00014-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00015-P	04/01/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-13-20-00016-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00017-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00018-P	04/01/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-20-00019-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt class
CVS-13-20-00020-P	04/01/21	Jurisdictional Classification	To delete positions in the non-competitive class
CVS-13-20-00021-P	04/01/21	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-20-00022-P	04/01/21	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-20-00023-P	04/01/21	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-13-20-00024-P	04/01/21	Jurisdictional Classification	To classify positions in the exempt and the non-competitive classes.
CVS-13-20-00025-P	04/01/21	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CORRECTION, STATE COMMISSION OF			
CMC-35-19-00002-P	08/27/20	Disciplinary and administrative segregation of inmates in special housing.	Prohibit the segregation of vulnerable inmates, and to standardize allowable uses and duration of special housing segregation.
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-21-19-00014-P	05/21/20	Adolescent Offender Facilities	To reclassify two existing correctional facilities to adolescent offender facilities.
CCS-35-19-00001-P	08/27/20	Special Housing Units	Revisions have been made in order to be in compliance with new laws regarding special housing units and solitary confinement use
CCS-50-19-00002-P	12/10/20	Raise the Age	To update each correctional facility's regulation as a direct result of the Raise the Age legislation
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-30-19-00010-ERP	07/23/20	Use of Force	Set forth use of force reporting and recordkeeping procedures
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-09-20-00007-P	03/04/21	Minority and Women-Owned Business Enterprise Program	Update the regulations of the Division of Minority and Women's Business Development
EDV-10-20-00001-P	03/11/21	Empire state entertainment diversity job training development program	To implement the administrative processes for the entertainment diversity job training development program
EDUCATION DEPARTMENT			
EDU-17-19-00008-P	07/08/20	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population
EDU-27-19-00010-P	07/02/20	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
EDU-43-19-00012-ERP	10/22/20	Annual Professional Performance Reviews of Classroom Teachers and Building Principals	Necessary to implement part YYY of chapter 59 of the Laws of 2019
EDU-52-19-00007-ERP	12/23/20	Update Provisions Relating to Pupil Transportation	To update provisions of the Commissioner's Regulations relating to pupil transportation
EDU-04-20-00005-P	01/28/21	Residency Program Requirement for Dental Licensure	Adds dental anesthesiology to the list of accredited residency programs in a specialty of dentistry.
EDU-04-20-00006-P	01/28/21	Financial Transparency and Data Reporting Requirements for Charter Schools	To establish criteria and procedures relating to charter financial transparency reporting to ensure compliance with ESSA.
EDU-04-20-00007-P	01/28/21	Time Extension of Initial, Transitional and Provisional Certificates	To provide educators with a Time Extension after the issuance of their first Initial or Provisional certificate.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-04-20-00008-EP	01/28/21	ESSA Financial Transparency Reporting Requirements.	To implement financial transparency reporting requirements of ESSA.
EDU-08-20-00007-P	02/25/21	The Practice of Psychology, Social Work and Mental Health Practitioner Professions	To implement part Y of chapter 57 of the laws of 2018
EDU-08-20-00008-P	02/25/21	The Composition of the Professional Standards and Practices Board for Teaching (PSPB)	To require the PSPB to have at least four members who are practicing, certified school building or district administrator
EDU-08-20-00009-P	02/25/21	Term Limits for Members of the Advisory Committee on Long-Term Clinical Clerkships	To remove the two term limit for committee members to most effectively advise the Board of Regents and the Department
EDU-11-20-00013-P	03/23/21	Special Education Impartial Hearing Officers and the Special Education Due Process System Procedures	To address volume of special education due process complaints in the New York City due process system
EDU-11-20-00014-P	03/18/21	Local Government Records Management	To issue a new records retention and disposition schedule LGS-1
EDU-11-20-00015-EP	03/18/21	Military Ballots for School District and School District Public Library Elections, Budget, and Referenda	To implement chapter 489 of the Laws of 2019 which added section 2018-d to the Education Law
EDU-11-20-00016-P	03/18/21	Eligibility Requirements for Loan Forgiveness and Grant Programs	Conforms Commissioner's regulations to the DREAM Act relating to student's eligibility for loan forgiveness and grant programs
EDU-16-20-00002-EP	04/22/21	Addressing the COVID-19 Crisis.	To iprovide flexibility for certain regulatory requirements in response to the COVID-19 crisis.
ELECTIONS, STATE BOARD OF			
SBE-02-20-00002-P	01/14/21	Combine the 10 Day Post Primary Filing with the July 15th disclosure statement	This amendment combines the 10 Day Post Primary Filing with the July 15th disclosure statement that political committees file
ELECTRIC GENERATION SITING AND THE ENVIRONMENT, NEW YORK STATE BOARD ON			
EGS-09-20-00001-EP	03/04/21	Regulations Implementing Article 10 of the Public Service Law - Definitions.	To amend the regulatory definitions of "modification" and "revision" of an Article 10 application for a CECPN.
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-36-19-00003-P	11/07/20	Stationary Combustion Installations	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and lower emission standards.
ENV-37-19-00003-P	09/10/20	Clarifying determination of jurisdiction under the Endangered and Threatened Fish and Wildlife regulations	To improve the review of projects by removing some project types that are known not to cause harm from the review stream
ENV-43-19-00006-P	01/07/21	Class I and Class SD waters	To clarify best usages of Class I and SD waters were/are "secondary contact recreation and fishing" and "fishing," respectively

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-43-19-00010-P	01/06/21	Repeal and replace 6 NYCRR Part 622 and amend 6 NYCRR Part 624, Part 621 and Part 620	To incorporate procedural and legal developments, develop consistency & reflect current practice in DEC hearings
ENV-53-19-00016-P	03/09/21	Certain substances that contain hydrofluorocarbons, highly-potent greenhouse gases	Remove greenhouse gas emission sources that endanger public health and the environment
ENV-04-20-00004-EP	01/28/21	Regulations governing commercial fishing of quota managed species.	To improve efficiency, reduce waste, and increase safety in marine commercial fisheries.
ENV-05-20-00001-P	04/10/21	Use of Ultra Low Sulfur Diesel Fuel and Best Available Retrofit Technology for Heavy Duty Vehicles	Updating to meet with statutory deadline
ENV-05-20-00002-P	04/10/21	Sulfur-in Fuel Limitations	Limit sulfur in liquid and solid fuels throughout NYS
ENV-06-20-00018-P	04/16/21	The repeal and replacement of 6 NYCRR Part 230 Gasoline Dispensing Sites and Transport Vehicles	To further reduce harmful volatile organic compounds (VOCs) emitted into the atmosphere.
ENV-06-20-00019-P	04/16/21	Consumer Products	Reduce Volatile Organic Compound emissions from Consumer Products - those products used in the average household.
ENV-06-20-00020-P	04/16/21	New Source Review requirements for proposed new major facilities and major modifications to existing facilities.	To conform to federal NSR rule requirements and related court rulings, correct typographical errors, and clarify rule language.
ENV-11-20-00002-P	03/18/21	Brookfield Trail System	To protect public safety and natural resources on the Brookfield Trail System
ENV-11-20-00004-EP	03/18/21	Management of sharks, squid and Atlantic cod	To revise regulations concerning size, trip, and possession limits for sharks, squid and Atlantic cod
ENV-12-20-00001-EP	03/25/21	Regulations governing commercial fishing of Tautog (blackfish).	To revise regulations concerning the commercial harvest of Tautog in New York State.
ENV-14-20-00005-P	04/08/21	Chronic wasting disease.	Rectify an errant subdivision reference and reinstate sections of Part 189 that were inadvertently removed by a clerical error.
ENV-15-20-00015-EP	04/15/21	Regulations governing commercial and recreational fishing for striped bass.	To amend 6 NYCRR Parts 10 and 40 pertaining to commercial and recreational regulations for striped bass.
ENV-17-20-00005-P	04/29/21	The above referenced Parts make up the Department's air pollution control permitting program.	The purpose of this rulemaking is to improve the clarity and consistency of the Department's air pollution permitting program
ENV-17-20-00006-P	04/29/21	Emission Statements	The purpose of this rule making is to require electronic submittal of annual emission statements beginning in 2022.
ENV-17-20-00007-P	04/29/21	CO2 Budget trading program	To lower the emissions cap established under Part 242.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liabilty Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-33-19-00004-P	08/13/20	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To set forth minimum standards for the content of health insurance identification cards.
DFS-43-19-00017-P	10/22/20	INDEPENDENT DISPUTE RESOLUTION FOR EMERGENCY SERVICES AND SURPRISE BILLS	To require notices and consumer disclosure information related to surprise bills and bills for emergency service to be provided
DFS-48-19-00002-P	11/26/20	SUPERINTENDENT'S REGULATIONS: INFORMATION SUBJECT TO CONFIDENTIAL TREATMENT	Provide rules concerning publication or disclosure of information subject to confidential treatment
DFS-07-20-00015-P	02/18/21	Audited Financial Statements	To require insurers meeting a certain premium threshold to establish and maintain an internal audit function.
DFS-08-20-00011-P	02/25/21	Public Access to Department Records	To update regulations regarding public access to records of the Department of Financial Services
DFS-09-20-00008-P	03/04/21	Enterprise Risk Management and Own Risk and Solvency Assessment; Group-Wide Supervision	To authorize the Superintendent to act as the group-wide supervisor for an internationally active insurance groups
DFS-11-20-00001-P	03/18/21	Corporate Governance	To require an authorized insurer to adopt a corporate governance framework and file an annual disclosure
DFS-12-20-00002-EP	03/25/21	Reverse Mortgage Loans	To implement newly enacted Real Property Law section 280-b as soon as it goes into effect.
GAMING COMMISSION, NEW YORK STATE			
SGC-01-20-00006-P	01/07/21	Permit Thoroughbred horses with digital tattoos to race in New York	To promote the integrity of racing and derive a reasonable return for government
SGC-07-20-00002-P	02/18/21	Allow claimant to void claim of lame horse	To enhance horse health and safety in thoroughbred racing
SGC-07-20-00003-P	02/18/21	Spanish 21, a blackjack variant to be offered in commercial casinos.	To set forth the practices and procedures for the operation of Spanish 21 as a casino table game.
SGC-07-20-00004-P	02/18/21	Relating to the provision of social security numbers.	Limit collection of social security numbers.
SGC-07-20-00014-P	02/18/21	Thoroughbred pick-five and pick-six wagers.	To improve the pick-five and pick-six wagers in thoroughbred racing.
SGC-11-20-00012-P	03/18/21	Joint injections in Thoroughbred racing	To improve integrity, health and safety of Thoroughbred horse racing

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GENERAL SERVICES, OFFICE OF			
GNS-40-19-00005-P	10/01/20	Facility Use	To add "plastic knuckles" and remove "gravity knife" from the definition of "deadly weapon"
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
HLT-30-19-00006-RP	07/23/20	Maximum Contaminant Levels (MCLs)	Incorporating MCLs for perfluorooctanoic acid (PFOA), perfluorooctanesulfonic acid (PFOS) and 1,4-dioxane.
HLT-36-19-00006-P	09/03/20	Limits on Executive Compensation	Removes "Soft Cap" prohibition on covered executive salaries.
HLT-40-19-00004-P	10/01/20	Drug Take Back	To implement the State's drug take back program to provide for the safe disposal of drugs
HLT-46-19-00003-P	11/12/20	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
HLT-47-19-00008-P	11/19/20	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.
HLT-47-19-00009-P	11/19/20	Empire Clinical Research Investigator Program (ECRIP)	To expand the types of & change the time frames for past research grants that qualify staff to supervise the ECRIP project.
HLT-51-19-00001-P	12/17/20	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
HLT-53-19-00001-P	12/30/20	Prohibition on the Sale of Electronic Liquids with Characterizing Flavors	To prohibit the sale of electronic liquids with characterizing flavors
HLT-53-19-00011-P	12/30/20	Cardiac Services	To amend existing Certificate of Need requirements for approval of adult cardiac surgery centers.
HLT-53-19-00012-P	12/30/20	Consumer Directed Personal Assistance Program Reimbursement	To establish a program to pay home care services & establish a methodology framework for the payment of FI administrative costs.
HLT-04-20-00002-P	01/28/21	Reducing Annual Tuberculosis Testing of Health Care Workers	To replace annual tuberculosis testing of health care workers.
HLT-04-20-00003-P	01/28/21	Applied Behavior Analysis	To include Applied Behavior Analysis in the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) benefit.
HLT-04-20-00011-P	01/28/21	Nursing Home Case Mix Rationalization	To authorize the Department of Health to change the case mix acuity process for all nursing homes.
HLT-04-20-00012-P	01/28/21	State Aid for Public Health Services: Counties and Cities	Clarifying State Aid payments for maintaining a cooling tower program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
HLT-08-20-00001-EP	02/25/21	Communicable Diseases Reporting and Control - Adding Severe or Novel Coronavirus	To require physicians, hospitals, nursing homes, D&TCs and clinical laboratories to report instances of severe or novel coronavirus
HLT-11-20-00003-P	03/18/21	Adult Day Health Care (ADHC)	To allow for reimbursement of real property leases in certain situations when used for operations of an ADHC program
HOUSING AND COMMUNITY RENEWAL, DIVISION OF			
HCR-21-19-00019-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation o flow-income housing tax credits.
HOUSING FINANCE AGENCY			
HFA-21-19-00020-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
HUMAN RIGHTS, DIVISION OF			
HRT-27-19-00002-P	07/02/20	Gender Identity or Expression Discrimination	To conform the Division's regulations with Executive Law as amended by Chapter 8 of the Laws of New York 2019.
LABOR, DEPARTMENT OF			
LAB-46-19-00004-P	11/12/20	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
LAB-03-20-00012-P	01/21/21	Minimum Wage Tip Allowances	Amendment of regulations governing tip allowances in the Miscellaneous Industries Wage Order
LAW, DEPARTMENT OF			
LAW-15-20-00017-P	04/15/21	investment advisers defined under GBL § 359-eee	Harmonize regulations with federal law and national standards and facilitate anti-fraud activities and modernize registration.
LAW-15-20-00018-P	04/15/21	Brokers, dealers and salespersons defined under GBL § 359-e	Harmonize regulations with federal law and national standards and facilitate anti-fraud activities and modernize registration.
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
LPA-47-19-00017-P	exempt	VDER, net metering, and community distributed generation	To update the Authority's tariff for consistency with the Public Service Commission, Department of Public Service, and CLCPA.
LPA-09-20-00009-P	exempt	LIPA's Tariff for Buy-Back Service (Service Classification No. 11)	To add a new Feed-In Tariff to supply the newly proposed Solar Communities program
LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
LPA-09-20-00011-P	exempt	The modification of Service Classification No. 13 - Negotiated Contracts	To update the Authority's Tariff and authorize a negotiated contract with the Suffolk County Department of Public Works
LPA-09-20-00012-P	exempt	The Smart Grid Small Generator Interconnection Procedures	To be consistent with the New York State Standardized Interconnection Requirements
LPA-09-20-00013-P	exempt	The Long Island Choice provisions of the Authority's Tariff.	To enable CCA formation within the Long Island Choice Program.
MENTAL HEALTH, OFFICE OF			
OMH-46-19-00005-P	11/12/20	Definition of "Case record, clinical record, medical record or patient record"	To clarify that the agency does not consider the provision to apply to the definition of "record" as set forth in MHL 9.01
OMH-47-19-00001-P	11/19/20	Limits on Executive Compensation	To eliminate "soft cap" restrictions on compensation.
OMH-12-20-00003-P	03/25/21	Uncompensated care funds issued pursuant to the Indigent Care Program.	To ensure the appropriate allocation of uncompensated care funds.
METROPOLITAN TRANSPORTATION AGENCY			
MTA-23-19-00006-EP	06/04/20	Debarment of contractors	To comply with Public Authorities Law, section 1279-h, which requires the MTA to establish a debarment process for contractors
MOTOR VEHICLES, DEPARTMENT OF			
MTV-07-20-00005-P	02/18/21	Electronic transmission of data by dismantlers and scrap processors	To establish procedures for the electronic transmission of data by dismantlers and scrap processors

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MOTOR VEHICLES, DEPARTMENT OF			
MTV-12-20-00005-P	03/25/21	Establishes an Internet Prelicensing Course.	conforms regulation with statute.
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-02-04-00008-P	exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable

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PUBLIC SERVICE COMMISSION			
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts

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PUBLIC SERVICE COMMISSION			
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-07-00007-P	exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the $5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income $5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to $50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	exempt	Approval of a contract for $250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of $250,000 for tank repairs
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about $25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than $100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of “misleading or deceptive conduct” in the Commission’s Uniform Business Practices	To consider the definition of “misleading or deceptive conduct” in the Commission’s Uniform Business Practices
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of $94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P	exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P	exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P	exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P	exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-14-00009-P	exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P	exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P	exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P	exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P	exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P	exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-14-00004-P	..... exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	..... exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P	..... exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P	..... exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	..... exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P	..... exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	..... exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	..... exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	..... exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	..... exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	..... exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	..... exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	..... exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million
*PSC-18-15-00005-P	..... exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	..... exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	..... exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-23-15-00005-P	..... exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	..... exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	..... exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P	..... exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	..... exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P	..... exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P	..... exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P	..... exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	..... exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	..... exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	..... exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	..... exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P	..... exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	..... exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P	..... exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P	..... exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	..... exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P	..... exempt	Modification of the EDP	To consider modifying the EDP

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-16-00005-P	exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P	exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P	exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P	exempt	Continued deferral of approximately $16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately $16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P	exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P	exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P	exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P	exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P	exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P	exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P	exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P	exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-16-00025-P	exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P	exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P	exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P	exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P	exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP	exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P	exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P	exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P	exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P	exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P	exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P	exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-17-00026-P	exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P	exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payour losses incurred in 2016.
*PSC-20-17-00008-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P	exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P	exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P	exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P	exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P	exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P	exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P	exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P	exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P	exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P	exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-51-17-00011-P	exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P	exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P	exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P	exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P	exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P	exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P	exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P	exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P	exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P	exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	exempt	Whether to impose consequences on Aspirity for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P	exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P	exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P	exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-18-00016-P	exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P	exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P	exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P	exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P	exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P	exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P	exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P	exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P	exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P	exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P	exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-47-18-00008-P	exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
*PSC-01-19-00004-P	exempt	Advanced Metering Infrastructure.	To determine whether Niagara Mohawk Power Corporation d/b/a National Grid should implement advanced metering infrastructure.
*PSC-01-19-00013-P	exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P	exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-04-19-00004-P	exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P	exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P	exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
*PSC-07-19-00009-P	exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-07-19-00016-P	exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P	exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
*PSC-12-19-00004-P	exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P	exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
PSC-19-19-00013-P	exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
PSC-19-19-00014-P	exempt	Establishment of the regulatory regime applicable to an approximately 124 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 124 MW electric generating facility.
PSC-19-19-00016-P	exempt	Establishment of the regulatory regime applicable to an approximately 242 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 242 MW electric generating facility.
PSC-20-19-00008-P	exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
PSC-20-19-00010-P	exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
PSC-20-19-00015-P	exempt	Establishment of the regulatory regime applicable to an approximately 105.8 MW electric generating facility	Consideration of a lightened regulatory regime for an approximately 105.8 MW electric generating facility
PSC-23-19-00005-P	exempt	Proposed major rate increase in SWNY's annual base revenues of approximately $31.5 million (or 19.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00011-P	exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-31-19-00013-P	exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-31-19-00015-P	exempt	Proposed major rate increase in KEDNY's gas delivery revenues by $236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-19-00016-P	exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately $49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-32-19-00008-P	exempt	Compensation of distributed energy resources	To ensure just and reasonable rates, including compensation, for distributed energy resources
PSC-32-19-00010-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-32-19-00012-P	exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
PSC-32-19-00013-P	exempt	Disposition of tax refunds received by New York American Water Company, Inc.	To determine the disposition of tax refunds and other related matters
PSC-34-19-00015-P	exempt	Major electric rate filing.	To consider a proposed increase in RG&E's electric delivery revenues of approximately $31.7 million (or 4.1% in total revenues).
PSC-34-19-00016-P	exempt	Major gas rate filing.	To consider a proposed increase in RG&E's gas delivery revenues of approximately $5.8 million (or 1.4% in total revenues).
PSC-34-19-00017-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-34-19-00018-P	exempt	Major electric rate filing.	To consider a proposed increase in NYSEG's electric delivery revenues of approximately $156.7 million (10.4% in total revenues).
PSC-34-19-00020-P	exempt	Major gas rate filing.	To consider a proposed increase in NYSEG's gas delivery revenues of approximately $6.3 million (or 1.4% in total revenues).
PSC-36-19-00009-P	exempt	Minor rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-36-19-00011-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-38-19-00002-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-39-19-00018-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-39-19-00020-P	exempt	Initial Tariff Schedule, P.S.C. No. 1 - Water.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-41-19-00003-P	exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-43-19-00014-P	exempt	Petition for the use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-43-19-00015-P	exempt	Modifications to the Gas Cost Factor and Daily Delivery Service Programs.	To consider a rehearing petition filed by Consolidated Edison Company of New York, Inc. and Orange and Rockland Utilities, Inc.
PSC-44-19-00003-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00004-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00005-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00006-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00007-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-44-19-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-44-19-00009-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-45-19-00011-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-45-19-00012-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-45-19-00013-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-46-19-00008-P	exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.
PSC-46-19-00010-P	exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
PSC-47-19-00011-P	exempt	Waiver of National Grid's code of conduct to allow for use of its name.	To determine if it is in the public interest to allow for the use of National Grid's name in the weatherization program.
PSC-48-19-00005-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-19-00006-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-19-00007-P	exempt	Extension of the State Universal Service Fund.	To continue to provide universal service at a reasonable rate in certain service territories.
PSC-50-19-00004-P	exempt	Petition to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-50-19-00006-P	exempt	Compensation of and incentives for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-52-19-00001-P	exempt	SUEZ Water New York Inc.'s acquisition of 100% of Heritage Hills Water Works Corporation's assets.	To determine if the proposed acquisition is in the public interest.
PSC-52-19-00003-P	exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-19-00005-P	exempt	Compensation of and rates for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-52-19-00006-P	exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
PSC-53-19-00006-P	exempt	To amend the terms to which the customer must abide when discontinuing gas service.	To ensure safe and adequate service at just and reasonable rates charged to customers without preferences.
PSC-53-19-00007-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-01-20-00008-P	exempt	Request for waiver of 16 NYCRR § 96.5(k)(3).	To consider the request for waiver of the energy audit requirement requirement per 16 NYCRR 96.5(k)(3).
PSC-01-20-00010-P	exempt	Proposed transfer of water supply assets and dissolution of the Company.	To determine whether the transfer of water supply assets to the Town of North Collins is in the public interest.
PSC-02-20-00005-P	exempt	The 2020 Electric Emergency Response Plans for electric utilities subject to the provisions of PSL § 25-a	To consider the adequacy of the 2020 Electric Emergency Response Plans
PSC-02-20-00006-P	exempt	The application of the earnings sharing mechanism related to a partial year period.	To consider O&R's petition to modify the application of the earnings sharing mechanism.
PSC-03-20-00008-P	exempt	Authority to issue and sell unsecured debt obligations	To consider the Company's request for authority to issue and sell unsecured debt obligations
PSC-03-20-00009-P	exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
PSC-03-20-00010-P	exempt	Waivers of certain tariff provisions and terms of an agreement for the provision of service	Whether a proposed agreement for provision of service by Saratoga Water Services, Inc. is in the public interest
PSC-04-20-00010-P	exempt	Petition for waiver of the requirements of Opinion No. 76-17 and 16 NYCRR Part 96 regarding individual metering of living units.	To consider the petition of Comunilife Woodhull HDFC for waiver of Opinion No. 76-17 and 16 NYCRR Part 96.
PSC-04-20-00014-P	exempt	Transfer of the Indian Point site, nuclear waste, and decommissioning and site restoration funds from Entergy to Holtec.	To protect the public interest.
PSC-05-20-00003-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-05-20-00004-P	exempt	A statewide Make-Ready Program that that would provide incentives to deploy EVSE&I to charge light duty electric vehicles (EV).	To deploy the infrastructure needed to meet the State's goals of 850,000 EVs by 2025 and recommend appropriate utility roles.
PSC-05-20-00005-P	exempt	Wireline and wireless pole attachment rates.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-05-20-00006-P	exempt	Waiver of pipeline reassessment completion deadline while adequate tools to conduct inspection are found.	To ensure the safety of the Clove Lake Segment Pipeline with the use of adequate reassessment tools.
PSC-05-20-00007-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-06-20-00012-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-06-20-00013-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-06-20-00014-P	exempt	A program for the procurement of Renewable Energy Certificates from existing renewable resources.	To purchase Renewable Energy Certificates and maintain the State's baseline of existing renewable resources.
PSC-06-20-00015-P	exempt	New Tariff Schedule, P.S.C. No. 3 - Water and waiver of rate setting authority.	To provide the rates, rules, and regulations under which water service will be provided to the customers of the system.
PSC-06-20-00016-P	exempt	Notice of intent to submeter electricity and waiver of energy audit.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-06-20-00017-P	exempt	Petitions for rehearing, reconsideration, clarification and stay of the December 12, 2019 Order.	To determine whether the Commission should grant, deny, or modify the relief sought and actions proposed by Petitioners
PSC-07-20-00006-P	exempt	Discontinuation of a program administered by Con Edison and removal of the program from the tariff.	Consider discontinuation of the Smart AC Kit Program.
PSC-07-20-00007-P	exempt	Procurement of environmental attributes associated with offshore wind resources.	To achieve the State's renewable and clean energy goals.
PSC-07-20-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-07-20-00009-P	exempt	Transfer of street lighting facilities.	To consider whether the transfer of certain street lighting facilities is in the public interest.
PSC-07-20-00010-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-07-20-00011-P	exempt	Transfer of street lighting facilities.	To consider the transfer of street lighting facilities to the Town of Fallsburg.
PSC-08-20-00002-P	exempt	Transfer of stock resulting in a complete ownership transfer.	To determine whether the proposed transfer is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-08-20-00003-P	exempt	PSC regulation 16 NYCRR § § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-08-20-00004-P	exempt	Waiver of provisions and service agreement.	To consider if the waiver and the proposed terms of a service agreement are in the public interest.
PSC-08-20-00005-P	exempt	The use funding for certain pipeline safety programs.	To ensure appropriate use of funds reserved for gas safety programs.
PSC-08-20-00006-P	exempt	To establish procedures and modify terminology for Underground Residential Distribution Systems.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-20-00002-P	exempt	Request for waiver of 16 NYCRR 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-09-20-00003-P	exempt	Proposed transfer of the Company's assets to the Town and dissolution of the Company.	To determine if transfer of the water system to the Town of North Greenbush is in the public interest.
PSC-09-20-00004-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-09-20-00005-P	exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-09-20-00006-P	exempt	Petition for the use of an electric meter in submetering applications.	Whether to permit the use of the GG electric meter in submetering applications in New York State.
PSC-10-20-00003-P	exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
PSC-10-20-00004-P	exempt	Recovery of extraordinary repair expenses and establishment of an escrow account.	To consider if the proposed escrow account is in the public interest.
PSC-10-20-00005-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Family Energy, Inc. should be granted a waiver to offer two "green gas" products to mass market customers.
PSC-10-20-00006-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-11-20-00006-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-11-20-00007-P	exempt	Deferral and recovery of incremental costs and establishment of an extraordinary repair escrow account.	To consider deferring costs related to water main leak repairs for subsequent recovery and establishment of an escrow account.
PSC-11-20-00008-P	exempt	Revisions to the proration tariff language.	To consider revisions to the proration tariff language under Leaf 18.1, 18 61 to 64 and Leaf 69.
PSC-11-20-00009-P	exempt	Proposed transfer of water supply assets.	To determine whether the transfer of assets from Whitlock to NYAW is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-11-20-00010-P	exempt	The proposed transfer of ownership interests in an existing transmission line.	Consideration of whether the proposed transfer is in the public interest.
PSC-11-20-00011-P	exempt	Application of the Public Service Law to owners of a proposed 345 kilovolt (kV) transmission line providing wholesale services.	To determine whether to apply a lightened regulatory regime to the owners of a proposed 345 kV transmission line.
PSC-12-20-00007-P	exempt	Request for waiver of tariff provision.	Consideration of a request for waiver of tariff provision.
PSC-12-20-00008-P	exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
PSC-12-20-00009-P	exempt	Clarify language regarding treatment of multi-unit buildings in CDG projects.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-12-20-00010-P	exempt	Direct Energy, LLC's Green Gas Products.	To consider whether Direct Energy, LLC should be allowed to offer two Green Gas Products to mass market customers.
PSC-12-20-00011-P	exempt	Sale of facilities.	To consider whether the sale of facilities is in the public interest.
PSC-12-20-00012-P	exempt	Transfer of street lighting facilities.	Consideration of petition by NYSEG for transfer of assets to the City of Ithaca.
PSC-12-20-00013-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00014-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00015-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00016-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00017-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00018-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00019-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00020-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-12-20-00021-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-12-20-00022-P	exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-13-20-00006-P	exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-13-20-00007-P	exempt	Request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate energy efficiency protections are in place.
PSC-13-20-00008-P	exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Village of Lancaster.
PSC-15-20-00001-EP	exempt	Suspension of tariff fees associated with certain suspended activities and services.	To assist customers in a time of hardship.
PSC-15-20-00002-EP	exempt	Postponement of a rate increase.	To assist customers in a time of hardship.
PSC-15-20-00003-EP	exempt	Postponement of a rate increase.	To assist customers in a time of hardship.
PSC-15-20-00004-EP	exempt	Postponement of the annual update of the low income discount credits.	To assist customers in a time of hardship.
PSC-15-20-00005-EP	exempt	Postponement of delivery rate and System Improvement Charge (SIC) increases and implementation of a make whole surcharge.	To assist customers in a time of hardship.
PSC-15-20-00006-EP	exempt	Suspension of tariff fees associated with certain suspended activities and services.	To assist customers in a time of hardship.
PSC-15-20-00011-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
PSC-15-20-00012-P	exempt	Tariff filing.	To ensure that the utility provides safe, adequate, and reliable service at just and reasonable rates.
PSC-15-20-00013-P	exempt	Ownership of New York American Water Company, Inc.	To consider whether a proposed transfer of ownership of New York American Water Company, Inc. is in the public interest.
PSC-15-20-00014-P	exempt	Tariff filing.	To ensure that the utility provides safe, adequate, and reliable service at just and reasonable rates.
PSC-15-20-00016-EP	exempt	Amendment of tariff modifying cash-out rules and potential penalties.	To ensure gas system reliability and safety.
PSC-16-20-00001-EP	exempt	Suspension of deadline in Standard Interconnection Requirements (SIR).	To prevent unnecessary cancellation of distributed generation and energy storage system projects based on the State of Emergency

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-16-20-00003-P	exempt	Proposal to implement a competitive procurement process for participation in mulit-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00004-P	exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
PSC-16-20-00005-P	exempt	Proposal to implement a competitive procurement process for participation in mulit-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00006-P	exempt	Proposal to implement a competitive procurement process for participation in mulit-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00007-P	exempt	Proposed plan to implement a competitive procurement process for participation in mulit-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00008-P	exempt	Extension of the ESA between New York State Electric & Gas Corporation and Nucor Steel Auburn, Inc.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preference.
PSC-16-20-00009-P	exempt	Proposal to implement a competitive procurement process for participation in mulit-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-16-20-00010-P	exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Clifton Park.
PSC-16-20-00011-P	exempt	Proposal to implement a competitive procurement process for participation in mulit-year DLM and auto-DLM programs.	To establish a multi-year and auto-DLM programs that will improve demand response program offerings.
PSC-17-20-00008-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Alpha Gas & Electric, LLC should be permitted to offer its Green Gas Program to mass market customers.
PSC-17-20-00009-P	exempt	Proposed filing to provide credits for AMI non-residential customer sided meters.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-17-20-00010-P	exempt	Tariff provisions for Non-Firm Demand Response service classes.	To consider appropriate tariff provisions for non-compliant Non-Firm Demand Response service customers.
PSC-17-20-00011-P	exempt	Tariff provisions for Non-Firm Demand Response service classes.	To consider appropriate tariff provisions for non-compliant Non-Firm Demand Response service customers.
PSC-17-20-00012-P	exempt	Tariff provisions for Interruptible and Off-Peak Firm Service Gas Customers.	To consider appropriate tariff provisions for non-compliant Interruptible and Off-Peak Firm Gas Customers.
STATE, DEPARTMENT OF			
DOS-42-19-00001-P	10/15/20	Real estate advertisements	To update current regulations concerning real estate advertisements
DOS-02-20-00003-P	01/14/21	Enhanced fair housing provisions	To provide additional notices and other enhancements relating to fair housing and the Human Rights Law

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
STATE UNIVERSITY OF NEW YORK			
SUN-53-19-00002-P	12/30/20	Proposed amendments to the traffic and parking regulations at State University of New York College at Old Westbury	Amend existing regulations to update traffic and parking regulations
SUN-53-19-00005-P	12/30/20	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
SUN-14-20-00001-P	04/08/21	Proposed amendments to the traffic and parking regulations at State University of New York System Administration.	Amend existing regulations to update traffic and parking regulations.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-02-20-00001-EP	01/14/21	Property tax levy limits for school districts in relation to certain costs resulting from capital local expenditures	To implement Education Law 2023-a relating to certain costs resulting from capital local expenditures of school districts
TAF-07-20-00013-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period April 1, 2020 through June 30, 2020.
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-16-20-00012-P	04/22/21	New York State Combined Application Project (NYSCAP)	To implement the NYSCAP, a new combined application project for recipients of Supplemental Security Income benefits, who have been designated as Live-Alone by the Social Security Administration and the State-funded SSI State Supplement Program
THRUWAY AUTHORITY, NEW YORK STATE			
THR-01-20-00003-P	01/07/21	Toll rate adjustments on the New York State Thruway system.	To provide for toll rate adjustments necessary to support the Authority's financial obligations.
WORKERS' COMPENSATION BOARD			
WCB-31-19-00018-RP	07/30/20	Medical Treatment Guidelines	Add guidelines for treatment of hip and groin, foot and ankle, elbow and occupational interstitial lung disease
WCB-37-19-00002-P	09/10/20	Applications for Reopenings	Clarify the process for reopening a case that has been previously closed
WCB-11-20-00005-EP	03/18/21	Updating the prescription drug formulary	To update the prescription drug formulary in response to continuous feedback

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

NOTICE OF ANNULMENT OF DISSOLUTION OF CERTAIN BUSINESS CORPORATIONS

Under the Provisions of Section 203-a of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following corporations, which were duly dissolved in the manner prescribed by Section 203-a of the Tax Law, have complied with the provisions of Subdivision (7) of Section 203-a of the Tax Law, annulling all of the proceedings theretofore taken for the dissolution of each such corporation. The appropriate entries have been made on the records of the Department of State.

COUNTY: BRONX

ENTITY NAME: CEMITAS PUEBLA REST. INC.
REINSTATE: 03/12/20
DIS BY PROC: 10/26/16

ENTITY NAME: ERAS PROPERTIES INC.
REINSTATE: 02/13/20
DIS BY PROC: 06/29/16

ENTITY NAME: MERNOB PROPERTIES, INC.
REINSTATE: 02/14/20
DIS BY PROC: 06/29/16

ENTITY NAME: NANCY FASHION CORP.
REINSTATE: 01/22/20
DIS BY PROC: 04/25/12

ENTITY NAME: PAN-AMERICAN ENTERPRISES INC.
REINSTATE: 02/06/20
DIS BY PROC: 06/29/16

ENTITY NAME: SANTOS FISH MARKET, INC.
REINSTATE: 03/09/20
DIS BY PROC: 08/31/16

ENTITY NAME: THE SARMIENTO SALES TEAM INC.
REINSTATE: 02/11/20
DIS BY PROC: 10/26/16

COUNTY: BROOME

ENTITY NAME: REALTY 121 USA INC.
REINSTATE: 03/24/20
DIS BY PROC: 01/26/11

COUNTY: DUTCHESS

ENTITY NAME: ACTIVE BEVERAGE, INC.
REINSTATE: 02/06/20
DIS BY PROC: 07/29/09

ENTITY NAME: BERISHA BROTHERS, INC.
REINSTATE: 01/22/20
DIS BY PROC: 10/28/09

ENTITY NAME: RED HOOK PLUMBING AND HEATING, INC.
REINSTATE: 01/24/20
DIS BY PROC: 06/25/03

COUNTY: ERIE

ENTITY NAME: BUFFALO RESTORATION INC.
REINSTATE: 03/05/20
DIS BY PROC: 06/26/02

ENTITY NAME: R. KLINGENSMITH TREE DIGGING & EXCAVATION, INC.
REINSTATE: 01/07/20
DIS BY PROC: 01/25/12

ENTITY NAME: REALAND INC.
REINSTATE: 01/30/20
DIS BY PROC: 08/31/16

ENTITY NAME: SAMLAND INC.
REINSTATE: 01/08/20
DIS BY PROC: 10/26/16

ENTITY NAME: 437 ELLICOTT STREET, INC.
REINSTATE: 03/06/20
DIS BY PROC: 06/26/02

COUNTY: KINGS

ENTITY NAME: ACHIEVE PLUS STAR INC.
REINSTATE: 01/15/20
DIS BY PROC: 10/26/16

ENTITY NAME: APPROVED BROKERAGE CORP.
REINSTATE: 03/05/20
DIS BY PROC: 10/26/16

ENTITY NAME: BARTOSZ TRAVEL, INC.
REINSTATE: 03/23/20
DIS BY PROC: 06/29/16

ENTITY NAME: BEDFORD 169 INC.
REINSTATE: 02/18/20
DIS BY PROC: 10/26/16

ENTITY NAME: CHEN & ZHANG REALTY INC.
REINSTATE: 02/21/20
DIS BY PROC: 06/29/16

ENTITY NAME: CJK 194 BLEECKER INC.
REINSTATE: 02/07/20
DIS BY PROC: 08/31/16

ENTITY NAME: COFFEE AND BEYOND INC.
REINSTATE: 03/03/20
DIS BY PROC: 10/26/16

ENTITY NAME: DMR REALTY, INC.
REINSTATE: 03/10/20
DIS BY PROC: 10/28/09

ENTITY NAME: G N P BROKERAGE US INC.
REINSTATE: 01/28/20
DIS BY PROC: 04/27/11

ENTITY NAME: HIBACHI GLATT INC.
REINSTATE: 01/02/20
DIS BY PROC: 10/26/16

ENTITY NAME: JOINT APPROACH HOUSING DEVELOPMENT FUND CORPORATION
REINSTATE: 02/26/20
DIS BY PROC: 06/25/03

ENTITY NAME: KOSHER CREPERIE COMPANY INC.
REINSTATE: 01/09/20
DIS BY PROC: 10/26/16

ENTITY NAME: KOSTA INTERIORS INC.
REINSTATE: 03/17/20
DIS BY PROC: 08/31/16

ENTITY NAME: MARLBORO CAPITAL CORP.
REINSTATE: 01/03/20
DIS BY PROC: 03/25/81

ENTITY NAME: NY REINSULATION, INC.
REINSTATE: 02/14/20
DIS BY PROC: 07/27/11

ENTITY NAME: PUBLIC INSURANCE BROKERS INC.
REINSTATE: 01/10/20
DIS BY PROC: 03/26/97

ENTITY NAME: PUERTO VIEJO REST. CORP.
REINSTATE: 01/28/20
DIS BY PROC: 08/31/16

ENTITY NAME: ROSAS BAKERY CORP.
REINSTATE: 03/31/20
DIS BY PROC: 10/26/16

ENTITY NAME: ROSEBERT A. INC.
REINSTATE: 03/02/20
DIS BY PROC: 10/26/16

ENTITY NAME: SAKEER DELI & GROCERY CORP.
REINSTATE: 02/24/20
DIS BY PROC: 06/29/16

ENTITY NAME: TRIPLE FIVE SOUL, INC.
REINSTATE: 01/22/20
DIS BY PROC: 10/26/11

ENTITY NAME: WILLIAMSBURG 167 CORP.
REINSTATE: 02/18/20
DIS BY PROC: 10/26/16

ENTITY NAME: 1093 RUTLAND ROAD CORP.
REINSTATE: 03/10/20
DIS BY PROC: 06/26/02

ENTITY NAME: 20 KOCIUSZKO INC.
REINSTATE: 03/10/20
DIS BY PROC: 01/27/10

ENTITY NAME: 2606 TILDEN AVENUE CORPORATION
REINSTATE: 03/20/20
DIS BY PROC: 06/27/01

ENTITY NAME: 293 THROOP AVENUE BROOKLYN CORP.
REINSTATE: 02/26/20
DIS BY PROC: 10/26/16

ENTITY NAME: 3W GLOBAL CORP.
REINSTATE: 03/04/20
DIS BY PROC: 08/31/16

ENTITY NAME: 702 HANCOCK CORP.
REINSTATE: 01/16/20
DIS BY PROC: 06/30/04

COUNTY: MONROE

ENTITY NAME: AP BISHOP ENTERPRISES, INC.
REINSTATE: 02/13/20
DIS BY PROC: 08/31/16

ENTITY NAME: DAVA DAVE ENTERPRISES, INC.
REINSTATE: 02/04/20
DIS BY PROC: 09/28/94

ENTITY NAME: NAM THANH CORPORATION
REINSTATE: 02/07/20
DIS BY PROC: 06/27/01

COUNTY: NASSAU

ENTITY NAME: AMERICAN MEDICAL INITIATIVES, P.C.
REINSTATE: 03/02/20
DIS BY PROC: 10/26/16

ENTITY NAME: BROOKHAVEN NURSERY, INC.
REINSTATE: 02/13/20
DIS BY PROC: 10/28/09

ENTITY NAME: EBEN-EZER MEDICAL ASSOCIATES P.C.
REINSTATE: 02/19/20
DIS BY PROC: 12/29/99

ENTITY NAME: EVERGREEN SERVICES NEW YORK INC.
REINSTATE: 03/17/20
DIS BY PROC: 10/26/16

ENTITY NAME: HELENE M. MAIR, PHD, PSYCHOLOGIST, P.C.
REINSTATE: 01/03/20
DIS BY PROC: 06/29/16

ENTITY NAME: HENRY ST AUTO BODY INC.
REINSTATE: 02/20/20
DIS BY PROC: 08/31/16

ENTITY NAME: J.A.G AIR CONDITIONER CORP.
REINSTATE: 02/21/20
DIS BY PROC: 08/31/16

ENTITY NAME: JERUSALEM-MERRICK GASOLINE CORP.
REINSTATE: 01/27/20
DIS BY PROC: 06/27/01

ENTITY NAME: MODCO SUPPLY INC.
REINSTATE: 01/24/20
DIS BY PROC: 08/31/16

ENTITY NAME: PONEGRA REALTY CORP.
REINSTATE: 01/14/20
DIS BY PROC: 10/26/16

ENTITY NAME: SANDUNE REALTY CORP.
REINSTATE: 03/02/20
DIS BY PROC: 04/29/09

ENTITY NAME: SPEEDY'S FAST & CLEAN CORP.
REINSTATE: 02/05/20
DIS BY PROC: 08/31/16

ENTITY NAME: TAHMC ENTERTAINMENT, INC.
REINSTATE: 01/29/20
DIS BY PROC: 06/29/16

ENTITY NAME: TOWN AUTO REPAIR INC.
REINSTATE: 02/24/20
DIS BY PROC: 10/26/16

COUNTY: NEW YORK

ENTITY NAME: ACCORD REALTY SERVICES, INC.
REINSTATE: 02/11/20
DIS BY PROC: 06/29/16

ENTITY NAME: ATSUKO, INC.
REINSTATE: 01/31/20
DIS BY PROC: 10/26/11

ENTITY NAME: BROADWAY WEST ENTERPRISES LTD.
REINSTATE: 02/20/20
DIS BY PROC: 06/29/16

ENTITY NAME: COLLABORATION SQUARED LTD.
REINSTATE: 02/14/20
DIS BY PROC: 08/31/16

ENTITY NAME: CONSCIOUS ENTERPRISE, INC.
REINSTATE: 02/11/20
DIS BY PROC: 10/26/11

ENTITY NAME: ECOVERT PHARMA INC.
REINSTATE: 01/22/20
DIS BY PROC: 08/31/16

ENTITY NAME: FAROL INC.
REINSTATE: 03/25/20
DIS BY PROC: 10/26/11

ENTITY NAME: FIRST NEW YORK REALTY CO., INC.
REINSTATE: 03/31/20
DIS BY PROC: 10/26/16

ENTITY NAME: GEMINI CUT GLASS COMPANY, INC.
REINSTATE: 02/06/20
DIS BY PROC: 06/29/16

ENTITY NAME: GLOBAL MEDIA CORPORATION
REINSTATE: 01/07/20
DIS BY PROC: 01/27/10

ENTITY NAME: GTECH TECHNOLOGY INC.
REINSTATE: 01/29/20
DIS BY PROC: 08/31/16

ENTITY NAME: JOSEPHINE'S SPA CORP.
REINSTATE: 03/17/20
DIS BY PROC: 01/27/10

ENTITY NAME: JPIG CORP.
REINSTATE: 02/07/20
DIS BY PROC: 09/27/95

ENTITY NAME: KATSELNIK & KATSELNIK GROUP, INC.
REINSTATE: 01/08/20
DIS BY PROC: 10/26/16

ENTITY NAME: MING & KAY ENTERPRISE INC.
REINSTATE: 03/13/20
DIS BY PROC: 07/28/10

ENTITY NAME: O.W.J.E. REALTY CO. INC.
REINSTATE: 02/27/20
DIS BY PROC: 12/29/99

ENTITY NAME: PRMIT INC.
REINSTATE: 03/31/20
DIS BY PROC: 08/31/16

ENTITY NAME: QUISQUEYA TRAVEL INC
REINSTATE: 01/21/20
DIS BY PROC: 10/26/16

ENTITY NAME: SALIMAR2 CORP.
REINSTATE: 02/07/20
DIS BY PROC: 01/25/12

ENTITY NAME: THE BURNS GROUP, INC.
REINSTATE: 02/11/20
DIS BY PROC: 01/27/10

ENTITY NAME: THE HONEYCOMB CORPORATION
REINSTATE: 01/08/20
DIS BY PROC: 07/28/10

ENTITY NAME: TRAJECTORY INC.
REINSTATE: 03/17/20
DIS BY PROC: 06/29/16

ENTITY NAME: 118 MADISON STREET CORP.
REINSTATE: 01/02/20
DIS BY PROC: 06/29/16

ENTITY NAME: 151ST ST. DISCOUNT LIQUORS, INC.
REINSTATE: 02/14/20
DIS BY PROC: 04/25/12

COUNTY: ORANGE

ENTITY NAME: PARESH CORP.
REINSTATE: 03/09/20
DIS BY PROC: 01/26/11

COUNTY: PUTNAM

ENTITY NAME: ARGONNE LAND CO., INC.
REINSTATE: 01/21/20
DIS BY PROC: 06/29/16

ENTITY NAME: IMP. DEVELOPMENT CORP.
REINSTATE: 03/17/20
DIS BY PROC: 03/24/93

COUNTY: QUEENS

ENTITY NAME: CATHERINA REALTY INC.
REINSTATE: 03/05/20
DIS BY PROC: 06/26/02

ENTITY NAME: CM REALTY HOLDINGS CORP.
REINSTATE: 03/03/20
DIS BY PROC: 06/25/03

ENTITY NAME: D & A CONSTRUCTION 1 CORP.
REINSTATE: 01/24/20
DIS BY PROC: 10/26/16

ENTITY NAME: ELITE REALTY OF USA INC.
REINSTATE: 02/24/20
DIS BY PROC: 10/26/16

ENTITY NAME: EUROPA HAIR DESIGN INC.
REINSTATE: 02/05/20
DIS BY PROC: 06/29/16

ENTITY NAME: F.A.E.P. AUTO REPAIR INC.
REINSTATE: 03/13/20
DIS BY PROC: 08/31/16

ENTITY NAME: HARRISON & HARRISON, INC.
REINSTATE: 01/29/20
DIS BY PROC: 10/26/16

ENTITY NAME: IP PHONE SYSTEM INC.
REINSTATE: 01/06/20
DIS BY PROC: 08/31/16

ENTITY NAME: ISOLINA LUXURY CORP.
REINSTATE: 03/05/20
DIS BY PROC: 08/31/16

ENTITY NAME: JUMANJI INC.
REINSTATE: 03/26/20
DIS BY PROC: 08/31/16

ENTITY NAME: KEN DESIGN STUDIO INC.
REINSTATE: 01/02/20
DIS BY PROC: 07/27/11

ENTITY NAME: MARKELLA CORP.
REINSTATE: 03/09/20
DIS BY PROC: 10/27/10

ENTITY NAME: ONE 100 STREET CORPORATION
REINSTATE: 01/15/20
DIS BY PROC: 08/31/16

ENTITY NAME: ONE 32ND STREET CORPORATION
REINSTATE: 03/26/20
DIS BY PROC: 10/26/16

ENTITY NAME: SABIH INTERNATIONAL INC.
REINSTATE: 03/25/20
DIS BY PROC: 10/26/11

ENTITY NAME: SILVER STAR AUTO EXPRESS, INC.
REINSTATE: 01/30/20
DIS BY PROC: 01/27/10

ENTITY NAME: STANLEY SCHUSTERMAN INC.
REINSTATE: 03/11/20
DIS BY PROC: 10/26/16

ENTITY NAME: STRUCTURE TRADING CORP.
REINSTATE: 02/04/20
DIS BY PROC: 06/29/16

COUNTY: RENSSELAER

ENTITY NAME: DOCSONS, INC.
REINSTATE: 01/06/20
DIS BY PROC: 09/29/93

COUNTY: RICHMOND

ENTITY NAME: DAD LTD.
REINSTATE: 03/12/20
DIS BY PROC: 06/29/16

ENTITY NAME: HILLA FORKLIFT SERVICE INC.
REINSTATE: 03/19/20
DIS BY PROC: 08/31/16

COUNTY: ROCKLAND

ENTITY NAME: ARJONA HOLDING INC.
REINSTATE: 01/08/20
DIS BY PROC: 10/26/16

ENTITY NAME: JOHN MURRAY MOBIL, INC.
REINSTATE: 01/23/20
DIS BY PROC: 07/27/11

ENTITY NAME: MICHAEL SASSO PLUMBING INC.
REINSTATE: 03/04/20
DIS BY PROC: 10/26/16

ENTITY NAME: MITCHELL/ROBERTS INC.
REINSTATE: 02/27/20
DIS BY PROC: 06/29/16

ENTITY NAME: NEW CITY MAINTENANCE INC.
REINSTATE: 01/02/20
DIS BY PROC: 10/26/16

COUNTY: SCHENECTADY

ENTITY NAME: STACEY JEAN, INC.
REINSTATE: 02/24/20
DIS BY PROC: 01/26/11

COUNTY: SUFFOLK

ENTITY NAME: IMAN CORP.
REINSTATE: 03/24/20
DIS BY PROC: 01/27/10

ENTITY NAME: M & D ALL HOME IMPROVEMENTS, INC.
REINSTATE: 03/30/20
DIS BY PROC: 10/26/16

ENTITY NAME: MICHAEL J. GALLAGHER, INC.
REINSTATE: 03/13/20
DIS BY PROC: 08/31/16

ENTITY NAME: REACH FOR IT MEDIA, INC.
REINSTATE: 03/09/20
DIS BY PROC: 10/26/16

ENTITY NAME: SHOREHAM SWEETS, INC.
REINSTATE: 02/03/20
DIS BY PROC: 06/29/16

ENTITY NAME: TWIN FORKS AUTO GROUP, INC.
REINSTATE: 02/06/20
DIS BY PROC: 06/29/16

COUNTY: SULLIVAN

ENTITY NAME: LAKE LOUISE, INC.
REINSTATE: 02/18/20
DIS BY PROC: 06/29/16

COUNTY: TOMPKINS

ENTITY NAME: PERFORMANCE SADDLERY, INC.
REINSTATE: 03/30/20
DIS BY PROC: 06/29/16

COUNTY: ULSTER

ENTITY NAME: CWD II MANAGEMENT CORP.
REINSTATE: 02/05/20
DIS BY PROC: 04/29/09

ENTITY NAME: JOHNNY ON THE SPOT DISPOSAL SERVICE, INC.
REINSTATE: 03/13/20
DIS BY PROC: 10/26/16

COUNTY: WESTCHESTER

ENTITY NAME: CHICKENS GONE WILD, INC.
REINSTATE: 02/12/20
DIS BY PROC: 06/29/16

ENTITY NAME: HIGEER, INC.
REINSTATE: 03/11/20
DIS BY PROC: 01/25/12

ENTITY NAME: PALRO REALTY CORP.
REINSTATE: 02/28/20
DIS BY PROC: 01/26/11

ENTITY NAME: SKILLCRAFT INTERIORS LTD.
REINSTATE: 02/19/20
DIS BY PROC: 04/27/11

ENTITY NAME: STR INC.
REINSTATE: 03/27/20
DIS BY PROC: 04/27/11

ENTITY NAME: T & T BROADWAY DELI INC.
REINSTATE: 01/21/20
DIS BY PROC: 06/29/16

COUNTY: WYOMING

ENTITY NAME: BROEDERS MACHINE COMPANY LIMITED
REINSTATE: 02/10/20
DIS BY PROC: 09/23/98

NOTICE OF ANNULMENT
OF DISSOLUTION OF CERTAIN MEMBERSHIP CORPORATION(S)

Under the Provisions of Section 1012 of the Not-for-Profit Corporation Law, As Amended

On the fifteenth of October, nineteen hundred fifty-two, the following corporation(s) was/were dissolved in the manner prescribed by Section 57 of the Membership Corporations Law, as amended.

The Secretary of State hereby provides notice that the following corporation(s) has/have filed in the Department a certificate pursuant to Section 1012, subdivision (a), of the Not-for-Profit Corporation Law annulling all of the proceedings theretofore taken for the dissolution of each such corporation. The appropriate entries have been made on the records of the Department of State.

COUNTY: KINGS

ENTITY NAME: LOYAL FAMILY CIRCLE INC.
REINSTATE: 02/25/20
DIS BY PROC: 10/15/52

NOTICE OF ERRONEOUS INCLUSION
IN DISSOLUTION BY PROCLAMATION OF CERTAIN BUSINESS CORPORATIONS

Under the Provisions of Section 203-a of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following corporations were erroneously included in proclamations declaring certain business corporations dissolved. The State Tax Commission has duly certified to the Secretary of State that the names of these corporations were erroneously included in such proclamations. The appropriate entries have been made on the records of the Department of State.

COUNTY:

ENTITY NAME: SOFIA LOGISTICS CORP.
REINSTATE: 02/27/20
DIS BY PROC: 08/31/16

COUNTY: NEW YORK

ENTITY NAME: ACCA US INCORPORATED
REINSTATE: 01/08/20
DIS BY PROC: 08/31/16

ENTITY NAME: HEAVENLY GROUP INC.
REINSTATE: 02/18/20
DIS BY PROC: 10/26/16

ENTITY NAME: J.T. JOBBAGY, INC.
REINSTATE: 01/02/20
DIS BY PROC: 10/26/16

ENTITY NAME: REMESAS MI PUEBLO, INC.
REINSTATE: 01/17/20
DIS BY PROC: 08/31/16

COUNTY: ORANGE

ENTITY NAME: MILLPOND EQUITIES INC.
REINSTATE: 03/19/20
DIS BY PROC: 06/29/16

ENTITY NAME: UNITED TAXI TRANSPORTATION INC.
REINSTATE: 02/26/20
DIS BY PROC: 10/26/16

COUNTY: QUEENS

ENTITY NAME: BEAUTY & GRACE, INC.
REINSTATE: 03/02/20
DIS BY PROC: 08/31/16

COUNTY: RICHMOND

ENTITY NAME: S.I. SPEED INC.
REINSTATE: 02/12/20
DIS BY PROC: 07/27/11

ENTITY NAME: VALERIE J. CAMACHO, P.C.
REINSTATE: 01/07/20
DIS BY PROC: 07/27/11

COUNTY: WESTCHESTER

ENTITY NAME: DUB SOFTWARE GROUP INC.
REINSTATE: 02/21/20
DIS BY PROC: 08/31/16

ENTITY NAME: YONKERS FOOD & GAS, INC.
REINSTATE: 03/03/20
DIS BY PROC: 10/26/16

NOTICE OF ERRONEOUS INCLUSION
IN ANNULMENT OF AUTHORITY OF CERTAIN FOREIGN CORPORATIONS

Under the Provisions of Section 203-b of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following foreign corporations were erroneously included in proclamations declaring their authority to do business in this state annulled. The State Tax Commission has duly certified to the Secretary of State that the names of the following foreign corporations were erroneously included in such proclamations. The appropriate entries have been made on the records of the Department of State.

COUNTY: MONROE

ENTITY NAME: ADAMS MAGNETIC PRODUCTS CO.
JURIS: ILLINOIS
REINSTATE: 03/05/20
ANNUL OF AUTH: 10/26/11

ENTITY NAME: USAIRPORTS SERVICES, INC.
JURIS: DELAWARE
REINSTATE: 02/25/20
ANNUL OF AUTH: 10/26/16

COUNTY: NEW YORK

ENTITY NAME: LITTLE WHITE DOG, INC.
JURIS: CALIFORNIA
REINSTATE: 02/11/20
ANNUL OF AUTH: 10/26/16

ENTITY NAME: SASCHA PFLAEGING PHOTOGRAPHY INC.
JURIS: VIRGINIA
REINSTATE: 03/30/20
ANNUL OF AUTH: 08/31/16

COUNTY: ROCKLAND

ENTITY NAME: WHG HOTEL MANAGEMENT, INC.
JURIS: DELAWARE
REINSTATE: 03/05/20
ANNUL OF AUTH: 08/31/16

NOTICE OF CANCELLATION OF
ANNULMENT OF AUTHORITY OF CERTAIN FOREIGN CORPORATIONS

Under the Provisions of Section 203-b of the Tax Law, As Amended

The Secretary of State hereby provides notice that the following foreign corporations, which had their authority to do business in this state annulled in the manner prescribed by Section 203-b of the Tax Law, have complied with the provisions of subdivision (7) of Section 203-b of the Tax Law, annulling all of the proceedings theretofore taken for the annulment of authority of each such corporation. The appropriate entries have been made on the records of the Department of State.

COUNTY: ALBANY

ENTITY NAME: CENTURY ADVISORY SERVICES, INC.
FICT NAME: CENTURY RISK ADVISORS
JURIS: FLORIDA
REINSTATE: 02/13/20
ANNUL OF AUTH: 10/26/16

ENTITY NAME: KEY BENEFIT ADMINISTRATORS INC.
FICT NAME: KBA
JURIS: INDIANA
REINSTATE: 02/11/20
ANNUL OF AUTH: 04/25/12

ENTITY NAME: LUMBRA, ROBINSON & ASSOCIATES, INC.
JURIS: FLORIDA
REINSTATE: 02/26/20
ANNUL OF AUTH: 01/26/11

COUNTY: BRONX

ENTITY NAME: UNIVERSAL CONNECTIVITY, INC.
JURIS: DELAWARE
REINSTATE: 03/02/20
ANNUL OF AUTH: 12/27/00

COUNTY: DUTCHESS

ENTITY NAME: SKETCH N BUILD INC.
JURIS: NEW JERSEY
REINSTATE: 02/12/20
ANNUL OF AUTH: 06/29/16

COUNTY: MONROE

ENTITY NAME: AXTELL'S, INC.
JURIS: PENNSYLVANIA
REINSTATE: 01/02/20
ANNUL OF AUTH: 08/31/16

COUNTY: NEW YORK

ENTITY NAME: ESSAR INC.
FICT NAME: ESSAR (U.S.A.)
JURIS: DELAWARE
REINSTATE: 01/28/20
ANNUL OF AUTH: 01/25/12

ENTITY NAME: ICQ (USA), INC.
JURIS: DELAWARE
REINSTATE: 01/06/20
ANNUL OF AUTH: 08/31/16

ENTITY NAME: JAKOB'S JEWELERS, INC.
JURIS: TEXAS
REINSTATE: 03/10/20
ANNUL OF AUTH: 08/31/16

ENTITY NAME: JAYCO ENTERPRISES, INC.
JURIS: GEORGIA
REINSTATE: 02/25/20
ANNUL OF AUTH: 08/31/16

ENTITY NAME: M. DAVIS AND COMPANY, INC.
JURIS: DELAWARE
REINSTATE: 03/13/20
ANNUL OF AUTH: 08/31/16

ENTITY NAME: STANDARD FUSEE CORPORATION
JURIS: DELAWARE
REINSTATE: 03/11/20
ANNUL OF AUTH: 01/26/11

ENTITY NAME: THE PRODUCERS GROUP, INC.
FICT NAME: TPG AGENCY
JURIS: LOUISIANA
REINSTATE: 02/03/20
ANNUL OF AUTH: 10/26/16

COUNTY: ORANGE

ENTITY NAME: PROJECT INTEGRATION, INC.
JURIS: SOUTH CAROLINA
REINSTATE: 03/04/20
ANNUL OF AUTH: 10/26/16

COUNTY: ROCKLAND

ENTITY NAME: M.S.P. CONSTRUCTION CORPORATION
JURIS: NEW JERSEY
REINSTATE: 02/19/20
ANNUL OF AUTH: 10/26/16

COUNTY: SARATOGA

ENTITY NAME: REJUVENESS PHARMACEUTICALS, INC.
JURIS: DELAWARE
REINSTATE: 03/02/20
ANNUL OF AUTH: 06/29/16

COUNTY: WESTCHESTER

ENTITY NAME: INTERNATIONAL BRIDGE TECHNOLOGIES INC.
JURIS: CALIFORNIA
REINSTATE: 01/09/20
ANNUL OF AUTH: 10/27/10

PUBLIC NOTICE

Department of Civil Service

PURSUANT to the Open Meetings Law, the New York State Civil Service Commission hereby gives public notice of the following:

Please take notice that the regular monthly meeting of the State Civil Service Commission for May 2020 will be conducted on May 13 and May 14 commencing at 10:00 a.m. This meeting will be conducted at NYS Media Services Center, Suite 146, South Concourse, Empire State Plaza, Albany, NY with live coverage available at https://www.cs.ny.gov/commission/.

For further information, contact: Office of Commission Operations, Department of Civil Service, Empire State Plaza, Agency Bldg. One, Albany, NY 12239 (518) 473-6598

PUBLIC NOTICE

New York City Deferred Compensation Plan

The New York City Deferred Compensation Plan (the "Plan") is seeking qualified vendors to provide international growth equity investment management services for the International Equity Fund (the "Fund") investment option of the Plan. The objective of the Fund is to provide exposure to the broad international equity market. Qualified vendors that do not currently provide product capabilities to eVestment must submit product information to NEPC, LLC at the following e-mail address: acohen@nepc.com. Please complete the submission of product information no later than 4:30 P.M. Eastern Time on May 20, 2020.

Consistent with the policies expressed by the City, proposals from certified minority-owned and/or women-owned businesses or proposals that include partnering arrangements with certified minority-owned and/or women-owned firms are encouraged. Additionally, proposals from small and New York City-based businesses are also encouraged.

PUBLIC NOTICE

New York City
Deferred Compensation Plan & NYCE IRA

The New York City Deferred Compensation Plan & NYCE IRA (the "Plan") is seeking proposals from qualified vendors to provide master custodial services to the City of New York Deferred Compensation Plan. The Request for Proposals ("RFP") will be available beginning on Wednesday, March 18, 2020. Responses are due no later than 4:30 p.m. Eastern Time on Thursday, May 28, 2020. To obtain a copy of the RFP, please visit the Plan's web site at www1.nyc.gov/site/olr/about/about-rfp.page and download and review the applicable documents.

If you have any questions, please submit them by fax to Georgette Gestely, Director, at (212) 306-7376. Consistent with the policies expressed by the City, proposals from certified minority-owned and/or women-owned businesses or proposals that include partnering arrangements with certified minority-owned and/or women-owned firms are encouraged. Additionally, proposals from small and New York City-based businesses are also encouraged.

PUBLIC NOTICE

Department of State
F-2020-0180
Date of Issuance – April 29, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State

Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2020-0180, Merrill Roth is proposing to construct a 48 foot by 8 foot floating dock parallel to shore that will be accessed by a 30 foot by 5 foot gangway. The floating dock will be attached to shore by two steel stiff arms. The project is located at 78 Brick Row Extension, Athens, NY 12015 on the Hudson River.

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2020-0180Roth.pdf

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or May 29, 2020.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2020-0216 (DA)
Date of Issuance – April 29, 2020

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The National Oceanic and Atmospheric Administration (NOAA), Office of Coast Survey has determined that the proposed activity will be undertaken in a manner consistent to the maximum extent practicable with the enforceable policies of the New York State Coastal Management Program. The applicant's consistency determination and accompanying supporting information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue in Albany, New York.

The National Oceanic and Atmospheric Administration's Office of Coast Survey plans to conduct a hydrographic survey of a 160 (SNM) square nautical mile area located in Gardiners Bay and South Shore of Long Island, New York, beginning on or about July 14, 2020 through September 21, 2020. The NOAA ship Thomas Jefferson will leave port from Newport, Rhode Island and proceed to the survey location shown in Appendix A with multibeam echo sounders and side scan sonar.

The applicant's consistency certification and supporting information are available for review at: http://www.dos.ny.gov/opd/programs/pdfs/F-2020-0216ConsistencyCert.pdf

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 from the date of publication of this notice, or, May 14, 2020.

Comments should be addressed to: Department of State, Office of Coastal, Local Government and Community Sustainability, One Commerce Plaza, 99 Washington Ave., Suite, 1010, Albany, NY 12231, (518) 474-6000; Fax (518) 474-6572

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

FINANCIAL REPORTS

Depositories for the Funds of the State of New York

Month End: March 31, 2020

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Michael R Schmidt
Commissioner

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 03/31/2020
ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Unemployment Insurance Funding Account	Key Bank	1,528,407.26
Occupational Training Act Funding Account	Key Bank	(7,862.00)
Unemployment Insurance Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(183,093,698.26)
General Checking	Key Bank	(732,066,748.11)
Direct Deposit Account	Wells Fargo	47,095.59
TOTAL		(202,439,682.97)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,891.08
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	36,545.39
NY ISO Account	Key Bank	9,000,022.13
OGS Binghamton Office Bldg	Key Bank	136.54
SNY Office of General Services	JPMorgan Chase Bank, N.A.	1,585.36
State of New York OGS Escrow II	Key Bank	2,817,894.73
State of New York OGS Petty Cash	Key Bank	294,496.45
State of New Your OGS Escrow	Key Bank	84,436.89
State of NY Office Of General Services	Key Bank	4,588.76
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	2,481.75
Key Advantage Account	Key Bank	82,970.30
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	548,487.92
NYSP CTIU Confidential Fund	Key Bank	3,100.00
SIU Confidential Fund Account	Key Bank	8,106.15
Special Fund	Key Bank	48,848.48
State Police Receipts Account	Bank of America, N.A.	258,648.38
Troop A Batavia - Petty Cash	Bank of America, N.A.	900.00
Troop A Batavia-Confidential	Bank of America, N.A.	3,500.00
Troop B Confidential	Key Bank	4,687.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	3,076.27
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	3,000.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	7,499.80
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	740.75
Troop K Poughkeepsie-Confidential	Bank of Millbrook	455.31
Troop L Confidential Fund	Bank of America, N.A.	7,500.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	13,371.82
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	9,317.03
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	62,045.16
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	10,934.53
Revenue Account	JPMorgan Chase Bank, N.A.	215,788.90
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	3,830.07
NYS Flex Spending	Key Bank	61,659.79
State of New York LMC Petty Cash Account	Key Bank	2,000.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	No report received
01300 - ADIRONDACK PARK AGENCY		

General Fund	Community Bank	2.00
Petty Cash	Community Bank	3,220.71
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	130,866.46
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	14,068.50
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,897.00
FBI Fee Account	Bank of America, N.A.	4,278.21
Fingerprint Fee Account	Bank of America, N.A.	2,500.00
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	0.00
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	6,578,577.70
Alcoholic Bev Control License	Wells Fargo Bank	13,453.28
Alcoholic Beverage Control License	M&T Bank	147,773.88
Assessments Bulk	JPMorgan Chase Bank, N.A.	403,111.11
Assessments Receivable	JPMorgan Chase Bank, N.A.	5,582,804.54
Assessments Receivable (EFT)	Wells Fargo Bank	288,313.62
Boxing And Wrestling Tax	Bank of America, N.A.	0.00
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	25,901,370.24
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	30,980,367.34
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	1,555,442.75
Check 21 PIT	JPMorgan Chase Bank, N.A.	561,152.82
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	789,504.70
Check Sales Tax	JPMorgan Chase Bank, N.A.	1,138,442.24
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	0.00
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	12,751,046.72
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	413,442.88
Cigarette Tax Tobacco Products	Bank of America, N.A.	1,035,767.93
Congestion Surcharge	Wells Fargo Bank	0.00
Corporation Tax	Wells Fargo Bank	12,666,890.35
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	949,169.11
Educational Chartable Account	Wells Fargo Bank	0.00
Employer Compensation Expense	Wells Fargo Bank	551.38
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	203,691.26
ERS Petty Cash Acct	Key Bank	No report received
Estate Tax	Bank of America, N.A.	0.00
Estimated Tax	JPMorgan Chase Bank, N.A.	12,076,199.24
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	40,332.48
Health Charitable Account	Wells Fargo Bank	0.00
Highway Use - Permits & Reg.	Bank of America, N.A.	132.00
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	1,009,572.57
Hudson River-Black River	Bank of America, N.A.	168,734.04
Hudson River-Black River	Community Bank	17,150.34
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	1,527.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	8,433.80
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	563.00
IFTA-Fuel Use	Bank of America, N.A.	6,106.54
Income Tax - LLC	JPMorgan Chase Bank, N.A.	34,725.00
Justice Court	Key Bank	271,261.13
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	0.00
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	1,445.00
Medical Marijuana Tax Collections	Bank of America, N.A.	27,832.32
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	90,781.64
Ogdensburg Bridge & Port	Community Bank	43,483.34
Opioid Excise Tax	Wells Fargo Bank	0.00
Personal Income Tax	Wells Fargo Bank	8,055,435.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	(4,037.73)
Petroleum Products Tax	Bank of America, N.A.	126,023.04
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	622,670.00
Port Of Oswego	Key Bank	(205,142.21)
Promptax - MCTMT	Wells Fargo Bank	6,541,644.73

Promptax - Petroleum Business Tax	Wells Fargo Bank	475.23
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	182.96
Promptax - Withholding	Wells Fargo Bank	120,159,677.42
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	1,541.68
Real Estate Transfer Tax	Key Bank	688,030.49
Revenue Holding	First Niagara Bank	0.00
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	2,406,471.58
SUNY Concentration	First Niagara Bank	0.00
TNC Assessment (EFT)	Wells Fargo Bank	0.00
Troy Debt Service Reserve Fund	Bank of America, N.A.	7,070,833.37
Uncashed Winning Tickets	Bank of America, N.A.	0.00
Unclaimed Funds	JPMorgan Chase Bank, N.A.	5,745,479.16
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	2,337.76
Withholding	Wells Fargo Bank	8,009,026.71
Withholding Tax	JPMorgan Chase Bank, N.A.	4,398,301.64
Cash Advance Accounts		
Advance For Travel Account	Key Bank	800.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	2,850.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	11,439.48
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	1,205,266.53
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	84.50
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	6,378.07
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	2,033.21
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	3.98
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	8,998,697.38
National Global Settlement	JPMorgan Chase Bank, N.A.	6,541,629.72
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	39,260.00
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,293.88
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	4,000.00
Petty Cash Account Dist Off	Bank of America, N.A.	19,583.01
Petty Cash New York City	JPMorgan Chase Bank, N.A.	722.56
Public Information Office	Key Bank	0.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	18,486.92
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	1,978.03
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	378,000.00

Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	6,058,000.00
Finger Print Account	JPMorgan Chase Bank, N.A.	2,425.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	467.50
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,311,111.81
Lawyers Fund For Client Protection - Bail	Key Bank	700.79
Petty Cash	Key Bank	1,970.69
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	65.07
Clerk Of The Court Of Appeals	Key Bank	2,115.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	32,500.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	52,507.50
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	61,571.50
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	No report received
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	9,270.00
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	4,186.30
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	No report received
Glen Cove City Court Revenue	Wells Fargo Bank	No report received
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	No report received
Long Beach Court Bail	Wells Fargo Bank	No report received
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	No report received
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	No report received
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	No report received
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	No report received
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	32,073.60
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	43,792.00
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	140,648.71
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	152,344.83
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	13,450.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	134,269.00
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	1,106.25
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	192,333.50
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	86,952.00
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	72,860.25
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	32,152.75
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	58,497.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	34,447.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	525.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	38,375.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	41,861.00
Queens County Criminal Court		

Queens Criminal Court	JPMorgan Chase Bank, N.A.	58,913.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	54,195.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	34,883.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	16,729.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	765.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	6,226.00
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	7,322.21
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	643,004.75
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	424,953.00
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	825,020.85
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	560,362.58
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	4,386,457.24
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	116.71
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	818.00
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	8,626.25
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	9,623.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	19,850.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	0.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	7,955.00
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	12,033.42
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	146,633.00
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	12,236.00
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.16
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	13,763.50
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	5,996.10
Cohoes City Court Fees/Fines Account	Key Bank	5,825.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	3,003.00
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	3,863.00
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	29,177.60
Hudson City Court Bail Acct	Trustco Bank	0.00
Hudson City Court Revenue Acct - Revenue	Trustco Bank	0.00
Hudson City Revenue	Wells Fargo Bank	8,838.60
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	26,709.98
Kingston City Court Revenue	Wells Fargo Bank	8,801.00

Rensselaer City Court		
Rensselaer City Court - Bail	Wells Fargo Bank	0.00
Rensselaer City Court - Revenue	Wells Fargo Bank	4,598.00
Rensselaer City Court Bail Acct	Key Bank	3,210.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	11,100.75
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	0.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	75.00
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	78,345.40
Troy Police Court Bail Account	Bank of America, N.A.	12,510.50
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	1,247.00
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	47,912.69
Watervliet City Court - Revenue	Wells Fargo Bank	14,000.30
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	No report received
Amsterdam City Court - Revenue	Key Bank	No report received
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	No report received
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	No report received
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	No report received
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	No report received
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	No report received
Glens Falls City Court Bail Acct	Glens Falls National	No report received
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	No report received
Gloversville City Court Revenue	NBT Bank	No report received
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	No report received
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	No report received
Johnstown City Court Fines/Fees - Revenue	Key Bank	No report received
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	No report received
Mechanicville City Ct Revenue Acct	TD Bank	No report received
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	No report received
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	No report received
Ogdensburg City Court Revenue	Community Bank	No report received
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	No report received
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	No report received
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	No report received
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	No report received
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	No report received
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	No report received
Schenectady City Court Revenue	Bank of America, N.A.	No report received
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	No report received
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	No report received
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	No report received
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	No report received
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	1,876.10
Fulton City Court Revenue	Key Bank	7,699.00
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	60.00

Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	2,947.00
Lewis County		
Lewis County Clerk	Community Bank	No report received
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	1,125.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	750.00
Little Falls City Court Revenue	M&T Bank	1,892.00
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	8,320.00
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	8,996.00
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	824.00
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	10,178.57
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	6,996.00
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	3,376.75
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	1,099.25
Rome City Court - Revenue	Bank of America, N.A.	17,678.50
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	0.00
Sherrill City Court Fees - Revenue	Alliance Bank	275.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	111,807.00
Syracuse City Court - Fees - Revenue	Alliance Bank	9,647.01
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	37,130.82
Utica City Court Revenue Account	Key Bank	14,917.00
Watertown City Court		
Watertown City Court Bail	Key Bank	70,424.82
Watertown City Court Fees & Fines - Revenue	Key Bank	9,625.00
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	47,710.00
Binghamton City Court Revenue	M&T Bank	10,623.55
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	0.00
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	0.00
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	0.00
Cortland City Court		
Court City Court Bail	NBT Bank	13,039.22
Court City Court- Revenue	NBT Bank	2,201.00
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	292.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	0.00
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	9,381.04
Elmira City Court Bail	Chemung Canal Trust	12,647.85
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	4,324.00
Ithaca City Court Revenue	Tompkins County Trust	3,882.00
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	0.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	200.00
Norwich City Court Revenue Acct	NBT Bank	1,398.00
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	3,915.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	10,230.00
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	5,148.00
Oneonta City Court Bail Account	Community Bank	5,454.50
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	298.00
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	0.00
Tioga County Surrogates		

Tioga Surrogates Court - Revenue	M&T Bank	0.00
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	0.00
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	12,436.55
Auburn City Court Fees & Fines - Revenue	Key Bank	9,485.50
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	17,173.47
Canandaigua City Court Revenue	Canandaigua National Bank	9,941.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	0.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	0.00
Corning City Court - Revenue	Wells Fargo Bank	0.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	10,665.21
Geneva City Court Revenue Account	Wells Fargo Bank	7,486.00
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	3,750.16
Hornell City Court Revenue	Steuben Trust Co.	3,453.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	75.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	10,962.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	742.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	223,386.60
Rochester City Revenue	M&T Bank	18,730.50
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	12.00
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	1,867.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	578.00
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	1,081.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
Allegany County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
Batavia City Bail Account	M&T Bank	No report received
Batavia City Court Revenue	M&T Bank	No report received
Buffalo City Court		
Buffalo City Bail Account	M&T Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
Dunkirk City - Revenue	Key Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara City Court - Criminal		

City Court Of Niagara Falls Criminal - Revenue	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received
No. Tonawanda City Court		
N. Tonawanda City Court Bail	M&T Bank	No report received
N. Tonawanda City Court Revenue	M&T Bank	No report received
Olean City Court		
Olean City Court Bail Account	Community Bank	No report received
Olean City Court Revenue Account	Community Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Tonawanda City Court Bail	M&T Bank	No report received
Tonawanda City Court Revenue	M&T Bank	No report received
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	No report received
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	No report received
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	No report received
Middletown City Court		
Middletown City Bail Escrow - Bail	JPMorgan Chase Bank, N.A.	No report received
Middletown City Bail Escrow - Bail	Wells Fargo Bank	No report received
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	No report received
Middletown City Court Revenue	Wells Fargo Bank	No report received
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	No report received
Mt Vernon City Court State Revenue	Wells Fargo Bank	No report received
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	No report received
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	No report received
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	No report received
Newburgh City Court Revenue	Wells Fargo Bank	No report received
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	No report received
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	No report received
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	No report received
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	No report received
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	No report received
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	No report received
Poughkeepsie City Court -Revenue	Wells Fargo Bank	No report received
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	No report received
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	No report received
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	No report received
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	No report received
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	JPMorgan Chase Bank, N.A.	No report received
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	No report received
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	No report received
White Plains City Court Bail Account	Wells Fargo Bank	No report received
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	No report received
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	No report received
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	No report received
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	No report received

06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	16,315.98
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	1,187.00
Animal Population Control Account	Key Bank	40,223.67
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	17,521.67
Dairy Industry Services Account	Key Bank	25,210.80
Dairy Promotion Order Fund	Key Bank	0.00
Milk Producers Security Fund	Key Bank	46,251.81
NYS Farmers Market Program	Key Bank	432,776.24
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	103.60
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	14,074.61
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.23
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	27,868.66
Pride of NY	Key Bank	6,266.99
Sour Cherry Marketing Fund	Key Bank	0.00
Weights & Measures Account	Key Bank	20,546.18
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	1,081.11
State Fair		
NYS Fair Operating Account	Solvay Bank	112,941.26
NYS Fair Special Account	Solvay Bank	231.20
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	5,468.00
Examination Application Fees Account	Key Bank	10,027.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	5,970,191.08
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	783.90
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	34,258.02
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	493,895.57
Conservation Petty Cash Account	M&T Bank	30,000.00
DEC/Exchange Account	M&T Bank	20,483.53
ENCON License Issuing Office	M&T Bank	2,497.50
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,204.84
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,919.13
Hunting Trapping & Fishing Account	M&T Bank	80,256.78
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	156,200.06
Lockbox Account	Wells Fargo Bank	157,341.59
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,329.05
NY Conservationist	Bank of America, N.A.	18,873.54
Program Fee	JPMorgan Chase Bank, N.A.	13,537.49
Revenue Account	Bank of America, N.A.	14,973.17
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,403.15
State of New York	Key Bank	201,487.74
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	11,166.82
Region 1		
Marine Permit Account	Bank of Smithtown	44,406.15
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	0.00
Revenue Region 3 Account	Bank of America, N.A.	0.00
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	11.65
Land & Forest Region 5W	TD Bank	17,366.93
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	313.91
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	57,642.75
Tree Nursery	Bank of America, N.A.	43,984.70
Region 6		
Fish & Wildlife Watertown	Key Bank	121.92
Lands & Forest District #7	Community Bank	25.00
Lands & Forests District #6	Community Bank	25.00

Lands And Forests District 10	M&T Bank	21.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,560.34
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	6,545.16
General Cash Fund	Five Star Bank	11,511.47
Inmate Occupational Therapy Fund	Five Star Bank	56,337.37
Inmate Savings Account	Five Star Bank	254,564.05
Spendable Fund	Five Star Bank	315,229.41
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,463.03
Certificate of Deposit	Bank of America, N.A.	90,000.00
Certificate of Deposit	Bank of America, N.A.	15,000.00
Inmate Occupational Therapy Fund	Key Bank	20,625.88
Inmate Spendable Account	Key Bank	157,670.42
Inmate Spendable Savings CD	Key Bank	252,617.07
Misc Revenue	Key Bank	44,675.65
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	12,861.00
Employee Benefit Fund	Key Bank	13,606.67
General Fund	Key Bank	595.41
Inmate Funds	Key Bank	257,532.19
Inmate Funds Money Market Account	Key Bank	684,318.81
Inmate Occupational Therapy Acct	Key Bank	55,675.88
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,321.87
Inmate Occupational Therapy Fund	Key Bank	17,327.45
Inmate Spendable Funds	Key Bank	67,938.87
Inmate Spendable Savings Account	Key Bank	60,670.19
Miscellaneous Receipts	Key Bank	16,350.72
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Facility Advance	Key Bank	6,250.72
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	148,634.04
Inmate Fund Savings Account	Glens Falls National	52,500.00
Miscellaneous Account	Key Bank	11,386.07
Occupational Therapy	Key Bank	19,934.11
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	3,129.45
Employee Benefits	M&T Bank	9,204.19
Inmate Spending Account	M&T Bank	766,965.73
Inmates Account	M&T Bank	462,899.56
Inmates Benefit	M&T Bank	32,222.73
Misc Receipts	M&T Bank	20,208.56
Occupational Therapy Account	M&T Bank	16,014.61
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	No report received
Employee Benefit	Key Bank	No report received
Inmate Occupational Therapy Fund	Key Bank	No report received
Inmate Savings Account	Key Bank	No report received
Inmates Fund Account	Key Bank	No report received
Misc. Receipts	Key Bank	No report received
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,142.59
General Fund	Key Bank	15,829.24
Inmates Money Market	Key Bank	287,809.55
Inmates Now Checking	Key Bank	578,443.29
Occupational Therapy Fund	Key Bank	117,113.34
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	3,926.05
Employee Benefit Fund	Bank of America, N.A.	10,301.97
Inmate Funds	Bank of America, N.A.	96,763.22
Inmate Funds Savings	Bank of America, N.A.	154,096.70

Misc Receipts	Bank of America, N.A.	8,292.51
Occupational Therapy	Bank of America, N.A.	29,358.36
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	2,741.29
Employee Benefit Fund	Sterling Bank	15,535.87
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	514,469.47
Inmate Occupational Therapy Account	Sterling Bank	38,937.92
Misc. Receipts	Sterling Bank	3,879.32
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	2,340.85
Employee Benefit Fund	Chemung Canal Trust	27,848.14
Inmate CD Account	M&T Bank	213,765.90
Inmates Fund	Chemung Canal Trust	455,726.62
Miscellaneous Receipts	Chemung Canal Trust	217.10
Occupational Therapy Fund	Chemung Canal Trust	27,412.42
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	4,881.77
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	9,102.82
Inmate Funds	JPMorgan Chase Bank, N.A.	128,455.07
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	22,872.64
Misc. Receipts	JPMorgan Chase Bank, N.A.	1,161.50
Occupational Therapy	JPMorgan Chase Bank, N.A.	63,402.91
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	No report received
Employee Benefits Fund	National Bank of Coxsackie	No report received
Inmates Fund	National Bank of Coxsackie	No report received
Inmates Fund Savings Acct	National Bank of Coxsackie	No report received
Misc. Revenue	National Bank of Coxsackie	No report received
Money Market Acct	National Bank of Coxsackie	No report received
Occupational Therapy Acct	National Bank of Coxsackie	No report received
10140 - WOODBOURNE CORRECTIONAL FACILITY		
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	190,000.00
WCF Agency Advance	Jeff Bank	1,532.29
WCF General Fund	Jeff Bank	19,288.74
WCF Inmate Fund	Jeff Bank	182,874.49
WCF Occupational Therapy Fund	Jeff Bank	31,616.96
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
Inmate Escrow Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Special Account	Key Bank	No report received
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	7,107.23
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	8,936.55
Inmate Funds Account	JPMorgan Chase Bank, N.A.	374,208.13
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	2,262.49
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	2,248.49
Savings Account	JPMorgan Chase Bank, N.A.	15,640.84
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	8,471.95
Agency Advance	Community Bank	644.81
Diversity Management	Community Bank	493.07
Employee Benefit Fund	Community Bank	2,040.06
General Fund	Community Bank	323.75
Inmate Funds	Community Bank	2,610.31
Inmate Occupational Therapy Fund	Community Bank	7,482.87
Inmate Savings Money Market	Community Bank	0.00
Make A Difference Day	Community Bank	0.00
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	No report received
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Fund	JPMorgan Chase Bank, N.A.	No report received
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	No report received
Misc. Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
10250 - TACONIC CORRECTIONAL FACILITY		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	3,543.51
Inmate Funds	JPMorgan Chase Bank, N.A.	87,004.09
Misc. Revenue	JPMorgan Chase Bank, N.A.	1,023.48
Money Market	JPMorgan Chase Bank, N.A.	30,980.18

Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	17,787.51
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,200.00
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,876.07
Employee Benefit Fund Account	Key Bank	5,583.87
Inmate Funds Account	Key Bank	92,469.09
Inmate Key Advantage Account	Key Bank	50,355.83
Inmate Occupational Therapy Account	Key Bank	9,438.43
Miscellaneous Receipts Account	Key Bank	4,174.62
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	1,050.00
General Fund	Jeff Bank	2,486.17
Inmate Funds	Jeff Bank	199,463.45
Inmate Occupational Therapy	Jeff Bank	35,997.44
Inmate Savings	Jeff Bank	51,330.83
Inmate Savings CD	Hometown Bank	77,801.90
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	No report received
Employee Recreation Fund	M&T Bank	No report received
Inmate Deposit Account	M&T Bank	No report received
Inmate Occupational Therapy	M&T Bank	No report received
Misc Fees	M&T Bank	No report received
Work Release Advance Account	M&T Bank	No report received
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	432.66
Employee Benefit Account	JPMorgan Chase Bank, N.A.	2,457.06
Inmate Cash Account	JPMorgan Chase Bank, N.A.	41,736.58
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,859.02
Work Release Account	JPMorgan Chase Bank, N.A.	No report received
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	2,976.44
EBF	Community Bank	6,050.09
Inmate Fund	Community Bank	85,639.48
Inmate Savings	Community Bank	45,235.97
Misc. Receipts	Community Bank	188.46
Occupational Therapy	Community Bank	17,843.98
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	894.15
EBF Checking	Five Star Bank	36,488.94
EBF Savings	Five Star Bank	31,760.74
Inmate Savings	Five Star Bank	257,918.28
Inmate Spendable	Five Star Bank	191,121.28
Misc Receipts	Five Star Bank	1,051.52
Occupational Therapy	Five Star Bank	29,567.57
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,660.75
Employee Benefit Fund Checking	Bank of America, N.A.	27,827.98
Inmate Funds Checking	Key Bank	385,516.33
Inmate Funds Savings	Key Bank	314,464.86
Miscellaneous Revenue	Key Bank	1,045.98
Occupational Therapy	Key Bank	22,349.93
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	No report received
Employee Benefit Account	Alden State Bank	No report received
Inmate Savings	Alden State Bank	No report received
Inmates Funds	Alden State Bank	No report received
Misc. Receipts	Alden State Bank	No report received
Occupational Therapy	Alden State Bank	No report received
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	8,572.20
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,865.87
Employee Benefit Fund	Community Bank	12,296.34
Inmate Funds	Community Bank	186,754.77
Inmate Funds Savings	Community Bank	259,752.40
Miscellaneous Revenue	Community Bank	3,568.43
Occupational Therapy	Community Bank	24,428.86
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,825.14
Employee Commission Account	Five Star Bank	13,866.08
Inmate Funds Account	Five Star Bank	149,414.43
Inmate Funds Account - Savings	Five Star Bank	108,049.07

Miscellaneous Receipts Account	Five Star Bank	1,014.41
Occupational Therapy Account	Five Star Bank	19,859.22
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,318.49
Employee Activities	Community Bank	45,749.39
Inmate Fund Checking	Community Bank	166,852.62
Inmate Savings	Community Bank	130,543.35
Miscellaneous Revenue	Community Bank	4,974.95
Occupational Therapy	Community Bank	16,058.32
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	869.50
Employee Benefit Fund	Bank of America, N.A.	31,847.02
Inmate Funds	Key Bank	271,486.22
Inmate Savings	Key Bank	198,741.50
Misc. Revenue	Key Bank	378.85
Occupational Therapy	Key Bank	46,379.61
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	3,856.56
Employee Benefit Fund Account	Bank of America, N.A.	8,052.67
Inmate Fund Account	Key Bank	179,895.03
Misc receipts Acct	Key Bank	1,620.00
NYS DOCS Marcy CORR Facility	Key Bank	206,805.26
Occupational Therapy fund Acct	Key Bank	46,103.08
10500 - NYC CENTRAL ADMINISTRATION		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	0.00
Misc Receipts	JPMorgan Chase Bank, N.A.	509.17
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	2,737.33
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,605.00
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	65,652.64
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,594.70
Employee Benefit Account	Key Bank	15,013.23
Inmate Funds	Key Bank	88,174.42
Inmate Occupational Therapy	Key Bank	11,032.78
Inmate Savings	Key Bank	217,686.29
Misc. Receipts	Key Bank	1,843.00
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	No report received
Employees Vending Benefit	NBT Bank	No report received
Inmates Funds	NBT Bank	No report received
Misc Revenues General Fund	NBT Bank	No report received
Occupational Therapy	NBT Bank	No report received
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	No report received
Cert Of Deposit	First National Bank of Groton	No report received
Employee Benefit Fund	First National Bank of Groton	No report received
Inmate Occupational Therapy Account	First National Bank of Groton	No report received
Inmate Spendable	First National Bank of Groton	No report received
Misc Receipts	First National Bank of Groton	No report received
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,785.90
Employee Benefit Fund	Key Bank	7,836.13
Inmate Spendable Funds	Key Bank	122,323.10
Key Public Money Market Checking	Key Bank	268,977.05
Miscellaneous Receipts	Key Bank	1,353.54
Occupational Therapy	Key Bank	16,690.18
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	No report received
Inmate Accounts	Key Bank	No report received
Inmate Savings Account	Key Bank	No report received
Miscellaneous Receipts Account	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	40,209.06
Inmate Occupation Therapy Acct	Community Bank	33,022.34
Inmate Savings	Community Bank	151,723.33
Inmate Spendable Account	Community Bank	126,164.09
Miscellaneous Receipts Account	Community Bank	5,943.48

10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	10,648.06
Inmate Funds	Community Bank	120,756.52
Inmate Funds - Sav	Community Bank	94,657.27
Miscellaneous Revenue	Community Bank	2,233.32
Occupational Therapy	Community Bank	1,365.35
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,731.22
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	7,046.99
Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	200,469.31
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,302.08
Misc Receipts	M&T Bank	2.75
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	3,818.85
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	332.88
Employee Benefit Fund	Chemung Canal Trust	15,372.18
Inmate Funds	Chemung Canal Trust	81,729.06
Inmate Funds Account	Chemung Canal Trust	59,893.05
Misc. Receipts Account	Chemung Canal Trust	202.75
Occupational Therapy Account	Chemung Canal Trust	26,118.79
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	2,068.62
Employee Benefit Fund	Bank of America, N.A.	9,519.62
Inmate Funds	Bank of America, N.A.	91,155.73
Inmate Savings	Bank of America, N.A.	108,108.17
Miscellaneous Receipts	Bank of America, N.A.	16,668.54
Occupational Therapy	Bank of America, N.A.	26,971.89
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,393.77
EBF Account	Key Bank	6,907.13
General Account	Key Bank	0.00
Inmate Account	Key Bank	106,290.32
Inmate Funds Account Certificate Of Deposit	Glens Falls National	78,991.11
Inmate Savings Account	Key Bank	14,368.54
Occupational Therapy Account	Key Bank	30,272.64
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,272.66
Employee Benefit Fund	Five Star Bank	10,282.15
Inmate Occupational Therapy	Five Star Bank	43,053.23
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	51,787.28
Inmate Savings-Certificate of Deposit	Five Star Bank	25,000.00
Inmate Spendable	Five Star Bank	229,739.02
Misc. Receipts Account	Five Star Bank	8,226.03
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	1,845.12
Inmate Accounts	National Bank of Coxsackie	150,867.28
Inmate Savings	National Bank of Coxsackie	248,685.82
Misc. Receipts	National Bank of Coxsackie	881.54
Occupational Therapy	National Bank of Coxsackie	66,016.19
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	No report received
Inmate Funds	Key Bank	No report received
Inmates Funds Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Occupational Therapy Acct	Key Bank	No report received
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	2,273.63
Inmate Checking	Key Bank	108,014.85
Inmate Savings	Key Bank	100,495.15
Miscellaneous	Key Bank	7,573.27
Occupational Therapy	Key Bank	27,623.80
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	2,230.00
Inmate Occupational Therapy	Community Bank	25,991.17
Inmate Savings	Community Bank	194,977.40

Inmate Spendable Fund	Community Bank	118,622.55
Misc Receipts	Community Bank	11,510.53
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	917.87
Employee Benefit Fund	Community Bank	11,340.04
Inmate Funds	Community Bank	84,998.71
Inmate Occupational Therapy	Community Bank	11,572.87
Misc Receipts	Community Bank	13.30
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	534.93
Facility Committees	Key Bank	19,312.54
Inmate Fund	Key Bank	136,016.95
Inmate Fund Savings	Key Bank	0.00
Inmate Occupational Therapy Fund	Key Bank	3,665.37
Miscellaneous Account	Key Bank	47.70
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	675.30
Employee Benefit Fund	Bank of America, N.A.	9,698.34
Inmate Funds	Key Bank	63,812.43
Inmate Interest Bearing Account	Key Bank	15,004.68
Misc Receipts	Key Bank	80.00
Occupational Therapy	Key Bank	30,106.07
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	307,462.76
Parole Supervision Fee	Wells Fargo Bank	22,798.88
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	5,763.00
Div of Ind Revenue Acct	Key Bank	720,333.77
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
NYS HESC-Federal Student Loan Suspense	Key Bank	No report received
Operating	Key Bank	No report received
Retail Lockbox	US Bank	No report received
TAP	Key Bank	No report received
Wholesale Lockbox	US Bank	No report received
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	1,606.92
Petty Cash	M&T Bank	3,413.47
Student Spending Account	Bank of America, N.A.	11,086.03
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	3,932.82
Petty Cash	NBT Bank	2,070.00
Student Activity Fund	NBT Bank	14,480.22
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	4,119,754.72
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	247,114.21
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
Conf Narcotic Investigation	M&T Bank	4,794.01
Consolidated Advance Acct	M&T Bank	10,282.29
CSA Rebate Account	Bank of America, N.A.	2,384,425.96
DOH EPIC Lockbox Acct	Wells Fargo Bank	154,300.75
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	2,627,419.66
Early Intervention - State Funds	Key Bank	17,276.30
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	1,943.08
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	759,047.47
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	10,940.34
General Account	M&T Bank	51,070.31
ICR Audit Fees Account	Bank of America, N.A.	10,996.08
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	7,288.44
Medicaid	Key Bank	28,991,001.66
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	27,674,051.95
Nurses Aide Fees (Prometric)	Bank of America, N.A.	36,137.04
Nursing Home Fees Account	Bank of America, N.A.	57,939.82

NYS DOH CLEP Revenue	Key Bank	130,534.16
OBRA Drug Rebate Program Acct	Bank of America, N.A.	92,557,281.85
SPARCS	Key Bank	99,256.87
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	6,506,260.92
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	367,491.45
Petty Cash Account	JPMorgan Chase Bank, N.A.	13,917.85
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	6,229.71
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	2,779.03
Exchange Account	NBT Bank	11,425.74
Maintenance Fund	NBT Bank	441,568.97
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	220,577.30
Resident Custodial Account	NBT Bank	1,660.36
Resident Custodial Account	NBT Bank	8,717.77
Resident Custodial Account	NBT Bank	5,071.62
Resident Custodial Account	NBT Bank	8,302.78
Resident Custodial Account	NBT Bank	8,717.68
Resident Custodial Account	NBT Bank	5,188.05
Resident Custodial Account	NBT Bank	1,120.30
Resident Custodial Account	NBT Bank	119.80
Resident Custodial Account	NBT Bank	2,297.11
Resident Custodial Account	NBT Bank	481.02
Resident Custodial Account	NBT Bank	2,536.52
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	16,158.30
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	806,325.42
St Albans Vet Home Maintenance Acct	NBT Bank	1,763,136.06
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	5,538.28
Exchange Account	Bank of America, N.A.	4,517.39
Maintenance Account	NBT Bank	223,223.85
Resident Funds	Bank of America, N.A.	50,816.17
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	8,219.01
Maintenance Acct	NBT Bank	382,232.10
Residence Account	Bank of America, N.A.	661,510.55
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	254.59
Albany Petty Cash Account	Key Bank	467.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	27,925.00
Exchange Account	Bank of America, N.A.	42,342.86
Fee And Permit Account	Key Bank	1,078,169.72
Min Wage & Claim Funding Acct	Key Bank	300,094.44
Minimum Wage & Wage Claim Acct	Key Bank	1,404,175.12
Misc Receipts	Bank of America, N.A.	100,000.00
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	3,090,742.92
UI Fund ACH Transactions	Wells Fargo Bank	100,000.00
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	199,802,171.93
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
Special Fee Account	Key Bank	No report received
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	No report received
Driver Improvement Program (DIP)	Key Bank	No report received
Main Office Advance For Travel	Key Bank	No report received
PARTNERS DOT -HOOCS	Key Bank	No report received
Revenue Unit	Key Bank	No report received
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	No report received
19000 - DEPARTMENT OF STATE		
Atheltic	M&T Bank	12,210.80
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	328,617.78
Main	M&T Bank	117,356.03
Petty Cash Account	Key Bank	17,770.00
Summons	M&T Bank	947,963.30
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		

Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	500.00
JCOPE Revenue Account	Bank of America, N.A.	15,925.07
20000 - DEPARTMENT OF TAXATION & FINANCE		
IFTA Funding	JPMorgan Chase Bank, N.A.	4,860.41
Misc Tax Account - Exchange	Bank of America, N.A.	58,195.36
Petty Cash	Bank of America, N.A.	13,662.02
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	39,200.00
Waste Tire Fee (EFT)	Wells Fargo Bank	1,889,672.50
Waste Tire Tax	JPMorgan Chase Bank, N.A.	20,515.30
20020 - DEPARTMENT OF TAXATION & FINANCE		
Commercial Gaming Revenue Account	Key Bank	No report received
Commercial Gaming Revenue Account	Key Bank	No report received
20050 - NEW YORK STATE GAMING COMMISSION		
Charitable Gaming Account	Key Bank	199,202.73
Commercial Gaming Revenue Account	Key Bank	0.00
Custody Account	US Bank	12,682.07
Fingerprint Concentration Account	Key Bank	18,048.06
License Revenue Account	Bank of America, N.A.	47,920.06
Lottery Concentration Account	Key Bank	74,545.43
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	879,022.90
Petty Cash Account	Key Bank	921.17
Racing Refund Account	Key Bank	695,864.89
Video Gaming Revenue Account	Key Bank	249,779.58
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	28,751.83
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	572,610.55
Money Market	Bank of America, N.A.	0.30
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	56,204.23
OSIG Petty Cash Account	Key Bank	1,557.88
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	27,700.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	338.91
Petty Cash Account	Key Bank	795.08
Petty Cash Fund	JPMorgan Chase Bank, N.A.	1,042.67
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Abany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	219,227.54
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	27,753.83
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	31,396.61
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	9,309.53
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	1,500.00
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	24,222.34
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	38,955.81
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	1,164.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	19,146.10
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	31,722.31
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	5,126.71

Chautaqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	79,864.57
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	0.00
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	78,155.50
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	5,087.00
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	79,299.61
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	21,884.55
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	2,763,989.85
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	30,571.15
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	176,631.49
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	64,782.93
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	3,026.21
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	70,408.58
IRP (Albany Central Office)		
International Registration	M&T Bank	10,454.91
International Registration	Wells Fargo Bank	765,934.87
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	2.39
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	25,418.23
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	1,008.00
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	2,145.62
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	23,132.16
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	51,842.42
Oneida County Fee Account	NBT Bank	64,552.01
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	14,147.09
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	4,500.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	33,075.60
Search Exchange (Albany Central Office)		
MV Search	Key Bank	16,557.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	43,541.07
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	912.00
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	71,798.50
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	729,497.79
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	1,000.00
TVB Acct		
TVB Acct	Wells Fargo Bank	37,664.07
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	491,381.61

Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	(300.65)
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	1,994,623.92
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	692.20
Brookwood Cash Advance	Key Bank	1,702.58
Brookwood Resid Residential Cash	Key Bank	16,406.13
Check Exchange	Bank of America, N.A.	64.95
CO Independent Living Acct	Bank of America, N.A.	2,000.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	700.00
Columbia Girls Secure Center-Youth Savings	Key Bank	1,681.77
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	4,165.17
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,298.00
Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	5,812.49
Harriet Tubman Advance Account	Key Bank	500.00
Harriet Tubman Residents' Account	Key Bank	716.10
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,595.21
Highland Res Ctr Residents Acct	Bank of America, N.A.	3,106.84
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	1,954.00
Industry Res Account	JPMorgan Chase Bank, N.A.	5,438.06
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	362.00
MacCormick Cash Advance	Tompkins County Trust	1,966.05
MacCormick Residents' Account	Tompkins County Trust	4,619.93
Medicaid Reimbursement Exchange	Bank of America, N.A.	78,055.25
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	15,464.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	15,021.83
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	600.00
Red Hook Res Ctr Resident Cash	Key Bank	143.35
Red Hook Resid Ctr Cash Advance	Key Bank	300.00
SCR Credit Card Revenue Account	Bank of America, N.A.	81,450.00
State Central Register	Bank of America, N.A.	64,893.60
Taberg Cash Advance	NBT Bank	758.85
Taberg Residents Account	NBT Bank	727.84
Youth Leadership Academy	NBT Bank	838.33
Youth Leadership Cash Advance	NBT Bank	705.00
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	576.70
Title IV D Of Social Security	Key Bank	9,924,758.05
Travel Advance	Key Bank	10,001.14
28010 - SUNY ALBANY		
Fee Account	Key Bank	471,804.30
Loan Services Center Account	Key Bank	178,428.61
Petty Cash/Travel Advance	Key Bank	0.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	447,444.73
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	0.00
General Revenue Account	Key Bank	134,609.97
Imprest Account	Key Bank	0.00
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	883,268.61
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	2,422,637.84
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	285,195.49
LISVH Fees Depository	Sterling Bank	292,777.03
LISVH Residence Fund	Sterling Bank	363,970.65
Payroll Advance	JPMorgan Chase Bank, N.A.	6,853.46
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	0.00
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	6,567,795.19
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	818,533.28
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	9,745,169.20
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,909.35
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		

Center Revenue	JPMorgan Chase Bank, N.A.	36,373.40
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	316,849.18
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	35,882.96
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	23,025.51
Controlled Disbursement	Key Bank	1,264.50
Hospital Revenue	Key Bank	1,264,676.28
Parking	Key Bank	37,237.47
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	3,877.46
Concentration Acct	M&T Bank	52,985.12
Controlled Disb	M&T Bank	0.00
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	3,623.14
Students Acct Office	M&T Bank	65,670.61
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	99,107.19
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	50,849.05
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	77,238.57
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	78,863.25
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
State Revenue	Key Bank	59,228.24
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	0.00
Revenue Account	NBT Bank	2,153,961.31
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	351,899.66
Imprest Account	Key Bank	0.00
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	805,065.87
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	0.00
State Fee Reconciliation Account	Key Bank	220,542.16
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	86,633.58
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	0.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	144,276.94
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	68,463.75
Distribution Center Account	Key Bank	34,206.41
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	1,756,905.15
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	263,335.26
Income Fund	Key Bank	34,650.20
International Program Account	Key Bank	37,521.36
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	36,239.30
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	112,813.53
Petty Cash Fund	Delaware National Bank	0.00
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	688,364.31
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	479,277.36
Revenue Account	NBT Bank	11,007.67
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		

Agency Advance	Key Bank	0.00
Controlled Disb	Key Bank	0.00
ESF/GSA	Key Bank	0.00
Forestry	Community Bank	596.12
Regular Account	Key Bank	31,247.35
Student Government	Key Bank	0.00
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	0.00
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	49,807.67
Revenue EFT Account	JPMorgan Chase Bank, N.A.	8,075.01
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	96,265.83
Medical Transportation	JPMorgan Chase Bank, N.A.	1,167.88
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	2,500,000.00
Revenue	Key Bank	142,805.16
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,967.00
Fire Tax Account (Main)	Key Bank	5,077.14
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	13,398.32
General Fund	Key Bank	68,931.60
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	5,986,552.91
Miscellaneous Account	JPMorgan Chase Bank, N.A.	396,023.66
Paid Family Leave	JPMorgan Chase Bank, N.A.	0.00
Petty Cash	Key Bank	6,000.00
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,012.41
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Revenue (SA)	Glens Falls National	45,490.91
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	87,398.68
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	67,356.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	183,020.61
Revenue (LI)	People's United Bank	30,731.73
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	43,136.00
Revenue (GE)	Bank of Castile	47,008.38
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	3,762.89
Revenue (NIA)	Evans National Bank	29,499.35
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	4,573.29
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	116,885.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	52,720.85
Petty Cash (ALB)	Key Bank	29,843.20
Revenue (NI, GE, AL, CE, TA)	M&T Bank	35,632.31
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	57,366.03
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	48,972.52
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	1,703.95
Revenue (various)	Wells Fargo Bank	48,827.86
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	1,638.69
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	309,411.30
Statewide Housing Security Deposits	Key Bank	130,639.81
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	20,357.78
Revenue (FL)	Savannah Bank	40,335.62
Revenue (FL-Multi)	Community Bank	589.16
Revenue (FL-Multi-Facilities)	Tompkins County Trust	165,818.66
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	868.00
Regional Account (AL)	Five Star Bank	132,139.27
Revenue (AL)	Five Star Bank	55,576.92
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	20,721.27
Revenue (CE, SA, TI)	NBT Bank	39,953.04
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	714.46
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		

Revenue (TI)	Citizens Bank	23,764.56
Revenue (TI-Multi)	Community Bank	128.02
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	15,357.00
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	9,579.75
Reimbursement Account	Bank of America, N.A.	No report received
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	8,176.00
Facility Holding Account	JPMorgan Chase Bank, N.A.	11,029.28
Patient Cash Funds	JPMorgan Chase Bank, N.A.	450,795.36
Patients Cash Account	JPMorgan Chase Bank, N.A.	232,039.49
Security Deposit	JPMorgan Chase Bank, N.A.	2,680.48
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	No report received
Family Care	Banco Popular	No report received
Holding Account	Banco Popular	No report received
Medicaid Outpatient Travel	Banco Popular	No report received
Patient Cash Acct (MM)	Banco Popular	No report received
Patient Checking Account	Citibank	No report received
Patient Savings Account	Banco Popular	No report received
Security Deposit	Banco Popular	No report received
Urban Oasis/EBT	Banco Popular	No report received
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	46,855.35
Faciity Holding	Key Bank	12,863.50
Patient Cash Checking	Key Bank	58,296.51
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	3,718.74
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	8,643.13
Patient Cash Checking	Hudson Valley National Bank	771,291.35
Patients Money Market	Hudson Valley National Bank	72,851.01
Social Service Tokens	Hudson Valley National Bank	33,947.79
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	18,500.34
Facility Holding	Key Bank	47,717.87
Patients Cash Account	Key Bank	87,858.30
Patients Fund Savings	Key Bank	269,185.51
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	19,099.00
Facility Holding Account	Community Bank	0.00
Patients Cash Account	Community Bank	23,130.83
Patients Cash Savings	Community Bank	202,423.39
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	68,210.66
Certificate Of Deposit	HSBC	150,000.00
Certificate Of Deposit	HSBC	250,000.00
Holding Account	HSBC	15,320.89
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	448,933.60
Patient Cash Account	HSBC	137,874.55
Rent Holding Account	HSBC	1,931.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Exchange	JPMorgan Chase Bank, N.A.	120,893.25
Holding Account	JPMorgan Chase Bank, N.A.	55,241.35
INVESTMENT CD A	Sterling Bank	100,000.00
INVESTMENT CD B	Sterling Bank	100,000.00
INVESTMENT CD C	Sterling Bank	100,000.00
INVESTMENT CD D	Sterling Bank	100,000.00
INVESTMENT CD G	Sterling Bank	100,000.00
INVESTMENT CD I	Sterling Bank	100,000.00
Patient Cash Account	JPMorgan Chase Bank, N.A.	50,000.00
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	136,082.38
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	1,018,065.00
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	4,559.21
General	JPMorgan Chase Bank, N.A.	24,291.32
Petty Cash	JPMorgan Chase Bank, N.A.	1,654.57
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	12,979.42
Clients Count	Key Bank	118,759.02
Holding Account	Key Bank	16,511.92
50200 - PILGRIM PSYCHIATRIC CENTER		

Facility Advance Account	JPMorgan Chase Bank, N.A.	61,550.80
Facility Holding Acct	JPMorgan Chase Bank, N.A.	189,982.74
Patient Cash	JPMorgan Chase Bank, N.A.	735,837.11
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	13,810.20
Facility Holding	Key Bank	1,527.57
Patient Cash Checking	Key Bank	17,760.74
Patient Cash Savings	Key Bank	28,928.88
Security Deposit	Key Bank	8,522.59
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	16,019.77
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,118.38
Patients Cash MM	JPMorgan Chase Bank, N.A.	88,185.42
Patients Checking Acct	JPMorgan Chase Bank, N.A.	364,604.51
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	5,810.19
Holding Account	Hudson Valley National Bank	32,923.96
Patient Cash Account	Hudson Valley National Bank	114,598.85
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	6,637.40
General Fund	Key Bank	8,011.69
Patient Checking	Key Bank	27,462.19
Patient Savings	Key Bank	251,490.28
Security Deposit	Key Bank	3,820.53
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	10,106.51
Facility Holding Account	Key Bank	2,959.25
Patients Account	Key Bank	196,897.63
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	4,965.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	18,759.26
Facility Holding Checking	JPMorgan Chase Bank, N.A.	12,957.96
Family Care Checking	JPMorgan Chase Bank, N.A.	549,493.04
Patients Cash Checking	JPMorgan Chase Bank, N.A.	No report received
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	10,802.05
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	1,426.99
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	153.50
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	50,689.23
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,130.20
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	19,875.00
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Money Management Account	HSBC	3,573.89
Queens Adv Acct	HSBC	10,898.88
Queens Childrens Account	HSBC	6,351.69
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	12,595.50
General Fund Account	Chemung Canal Trust	5,109.95
IMMA	Chemung Canal Trust	46,175.71
Patients Fund Account	Chemung Canal Trust	303,655.16
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	19,521.19
Facility Holding Account	Key Bank	108,641.12
Parking Garage Account	Key Bank	219,150.35
Patients Cash Checking Account	Key Bank	155,866.79
Patients Cash Savings Account	Key Bank	397,182.91
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	4,424,532.35
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received

Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	35,120.00
Clients Account	Key Bank	611,158.06
Consumer Cash - Savings	Adirondack Bank	265,075.30
Consumer Cash - Savings	Key Bank	3,416,423.71
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	63,219.57
General Fund	M&T Bank	1,533.38
Patients Cash Checking	M&T Bank	1,694,508.45
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	1,062,172.08
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	No report received
CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	Adirondack Bank	No report received
Patient Property Funds	M&T Bank	No report received
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	377,190.87
Consumers Money Management	JPMorgan Chase Bank, N.A.	687,055.42
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	218,236.67
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	22,307.11
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	4,000.05
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	3,000.00
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	25,913.71
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	26,015.60
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Metro NY DDSOO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	496,105.17
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,798.32
Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.10
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Bernard Fineson DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Money Market Account	JPMorgan Chase Bank, N.A.	306,995.07
Patient Fund Account	JPMorgan Chase Bank, N.A.	393,700.38
Petty Cash Fund	JPMorgan Chase Bank, N.A.	14,802.11
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received

Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	2,800.00
Creedmoor PNA Account	JPMorgan Chase Bank, N.A.	0.00
Patient Fees	Bank of America, N.A.	1,919,317.71
Revenue Account	Bank of America, N.A.	4,705.06
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	No report received
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	10,242.81
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	46,020.00
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,500.00
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,326.78
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,910.89
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	27,079.29

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE IS THE SOLE CUSTODIAN WITH BALANCES AS OF 03/31/2020

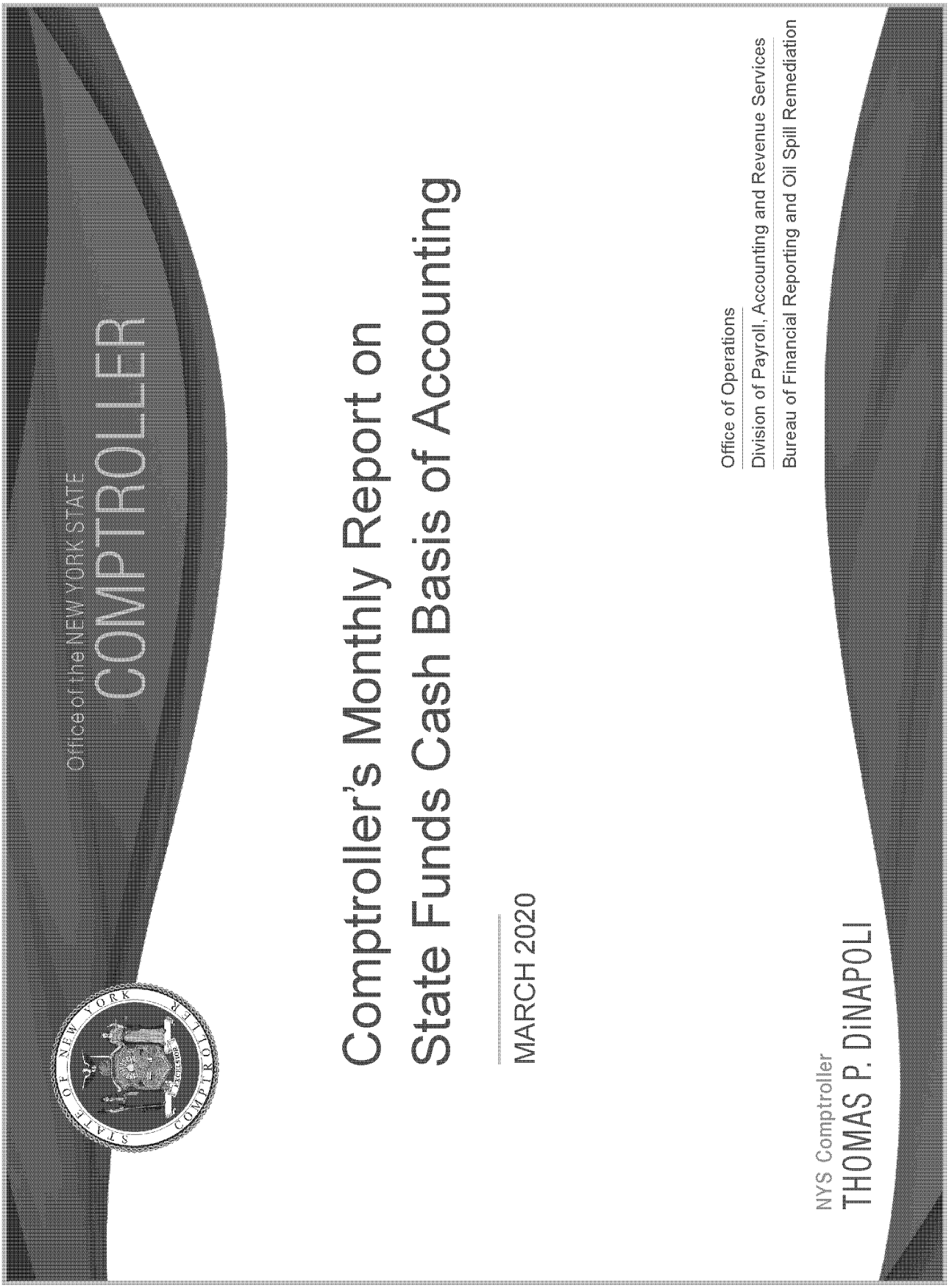
ACCOUNT DESCRIPTION		DEPOSITORY	BALANCE AS OF 03/31/2020
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND			
0231	Upstate Community Colleges, Series 2005A	Key Bank	0.00
0232	Upstate Community Colleges, Series 2005B	Key Bank	510,220.87
DORMITORY AUTHORITY OF THE STATE OF NEW YORK			
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	1,291.07
0070	Hospital and Nursing Home Project Operating Fund	Key Bank	0.00
0104	Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	16,767.06
0105	Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	303,335.88
0149	State Advances Repayment Account	Bank of America, N.A.	0.00
1202	DA DFRF MAC #1202 Biinghamton	Key Bank	1,751,203.51
1203	DA DFRF MAC #1203 Oneonta	Key Bank	145.55
1205	DA DFRF MAC #1205 Delhi	Key Bank	773.42
1206	DA DFRF MAC #1206 Buffalo Univ	Key Bank	558.45
1207	DA DFRF MAC #1207 Buffalo College	Key Bank	234.05
1208	DA DFRF MAC #1208 Alfred	Key Bank	110.81
1209	DA DFRF Mac #1209 Fredonia	Key Bank	71.06
1211	DA DFRF Mac #1211 Upstate Medical	Key Bank	234.13
1212	DA DFRF Mac #1212 Oswego	Key Bank	522.79
1214	DA DFRF Mac #1214 Cortland	Key Bank	451.44
1215	DA DFRF MAC #1215 Stony Brook	Key Bank	7,981,517.41
1216	DA DFRF MAC #1216 Old Westbury	Key Bank	76.03
1217	DA DFRF MAC #1217 Farmingdale	Key Bank	709.74
1218	DA DFRF MAC #1218 Downstate Med	Key Bank	235.94
1219	DA DFRF MAC #1219 Maritime	Key Bank	769.88
1221	DA DFRF MAC #1221 Brockport	Key Bank	675.28
1222	DA DFRF MAC #1222 Geneseo	Key Bank	916.78
1223	DA DFRF MAC #1223 Purchase	Key Bank	1,070.77
1224	DA DFRF MAC #1224 New Paltz	Key Bank	699.82
1225	DA DFRF MAC #1225 Canton	Key Bank	675.45
1226	DA DFRF MAC #1226 Plattsburgh	Key Bank	142.61
1227	DA DFRF MAC #1227 Potsdam	Key Bank	930.89
1228	DA DFRF MAC #1228 Morrisville	Key Bank	123.41
1229	DA DFRF MAC #1229 SUNYIT	Key Bank	891.57
1230	DA DFRF MAC #1230 Cobleskill	Key Bank	742.11
1239	DA DFRF MAC #1239 Albany	Key Bank	618.05
1240	Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202	DA OMRR MAC #2202 Binghamton	Key Bank	1,000.46
2203	DA OMRR MAC #2203 Oneonta	Key Bank	3,446.67
2205	DA OMRR MAC #2205 Delhi	Key Bank	1,000.44
2206	DA OMRR MAC #2206 Buffalo Univ	Key Bank	1,000.44
2207	DA OMRR MAC #2207 Buffalo College	Key Bank	1,000.19
2208	DA OMRR MAC #2208 Alfred	Key Bank	1,224.65
2209	DA OMRR MAC #2209 Fredonia	Key Bank	1,000.45
2211	DA OMRR MAC #2211 Upstate Medical	Key Bank	1,000.44
2212	DA OMRR MAC #2212 Oswego	Key Bank	1,000.40
2214	DA OMRR MAC #2214 Cortland	Key Bank	1,000.51
2215	DA OMRR MAC #2215 Stony Brook	Key Bank	1,000.31
2216	DA OMRR MAC #2216 Old Westbury	Key Bank	1,236.47
2217	DA OMRR MAC #2217 Farmingdale	Key Bank	1,020.09
2218	DA OMRR MAC #2218 Downstate Med	Key Bank	1,000.00
2219	DA OMRR MAC #2219 Maritime	Key Bank	1,220.44
2221	DA OMRR MAC #2221 Brockport	Key Bank	1,154.28
2222	DA OMRR MAC #2222 Geneseo	Key Bank	1,145.28
2223	DA OMRR MAC #2223 Purchase	Key Bank	1,000.32
2224	DA OMRR MAC #2224 New Paltz	Key Bank	1,000.34
2225	DA OMRR MAC #2225 Canton	Key Bank	1,019.77
2226	DA OMRR MAC #2226 Plattsburgh	Key Bank	1,009.53
2227	DA OMRR MAC #2227 Potsdam	Key Bank	1,215.34
2228	DA OMRR MAC #2228 Morrisville	Key Bank	740,926.70
2229	DA OMRR MAC #2229 SUNYIT	Key Bank	1,000.26
2230	DA OMRR MAC #2230 Cobleskill	Key Bank	1,199.23
2239	DA OMRR MAC #2239 Albany	Key Bank	1,605.10
2240	DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	1,014.55
HOMELESS HOUSING ASSISTANCE CORPORATION			
0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	4,454,699.51
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION			

0315	The Egg	Key Bank	245,143.30
NEW YORK CONVENTION CENTER			
0300	Operating Fund	JPMorgan Chase Bank, N.A.	5,006,249.12
NEW YORK JOB DEVELOPMENT AUTHORITY			
0036	Special Purpose Fund	Bank of America, N.A.	1,125,977.16
0371	Series H Commercial Paper	Bank of America, N.A.	1,397,343.65
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	337,642.56
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426	Agriculture Loan Program	Key Bank	28,731.51
NYS AFFORDABLE HOUSING CORPORATION			
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	622,589.79
0520	Development Account	Key Bank	6,428,809.54
0521	Development Account II	Key Bank	0.00
0522	Repayment Account	Key Bank	1,043,704.06
0523	Recapture Account	Key Bank	46,685.43
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF HEALTH			
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(835,873.53)
NYS DEPARTMENT OF TAXATION AND FINANCE			
0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	99,388.88
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	27,553.21
0626	Criminal Investigation Division	Key Bank	344,431.25
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	8,365,848.31
0827	Stock Transfer Incentive Fund	Key Bank	1,099,123.82
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY			
0028	Green Jobs - Green New York Fund	Bank of America, N.A.	0.00
0030	NYS Energy Research and Development Authority	Bank of America, N.A.	66,041,322.81
NYS HOUSING FINANCE AGENCY			
0032	Operating Fund	Key Bank	0.00
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	203,111.75
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	1,746,530.37
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	0.00
0266	Community Related Programs Operating Account	Key Bank	0.00
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	62,646.12
0270	Claims Repayment Account	Key Bank	0.00
0271	Pre-Bond Revenue Account	Key Bank	0.00
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	962,454.36
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	0.00
0283	Small Owner's Assistance Program Account	Key Bank	74,031.52
0285	Public Purpose Account	Key Bank	5,016,232.00
0286	Disbursement Account	Key Bank	3,833,651.65
0287	Infrastructure Development Fund	Key Bank	197,817.52
0288	Mobile Home Cooperative Fund	Key Bank	23,302.18
0290	Low Rent Lease Account	Key Bank	0.00
0292	Homeless Housing Initiatives	Key Bank	198,761.96
0294	Housing Plan Fund	Key Bank	4,132,395.86
0301	HFA - Subsidy Repayment Account	Key Bank	176,152.12
0305	HPD Disbursement Fund	Key Bank	2,071,568.92
0879	Payroll Account	JPMorgan Chase Bank, N.A.	125,999.24
NYS HOUSING TRUST FUND CORPORATION			
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	966,526.60
0460	Escrow Account	M&T Bank	2,516,408.08
0461	Housing Modernization Account	M&T Bank	1,412,208.46
0462	General Custodial Account	M&T Bank	66,743,875.49
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	8,117,813.00
0466	Homes for Working Families Account	M&T Bank	3,568,615.51
0467	Section 8 Administrative Account	M&T Bank	21,010,824.02
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	5,457,784.53
0470	HCV Main Account	Bank of America, N.A.	14,526,466.63
0471	Empire State Relief Fund	M&T Bank	548,168.90
0472	Master Escrow Account	Bank of America, N.A.	0.00

0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,002,256.46
0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	1,548,506.01
0476	Voucher Fee Account	Bank of America, N.A.	0.00
0477	Five-Year Mainstream Account	Bank of America, N.A.	0.00
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	3,855,068.89
0891	Small Cities Community Development Block Grant Program	M&T Bank	627,531.00
0892	Disaster Recovery Initiative Account	M&T Bank	238.84
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	687,558.29
0899	HTFC Storm Recovery Lockbox	US Bank	44,580.44
NYS INSURANCE DEPARTMENT			
0001	Property/Casualty Insurance Security Fund	Key Bank	133,177.79
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	503,028.90
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	516,216.92
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	10,646,750.08
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	3,211,751.00
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	29,018,325.93
0054	State Insurance Fund	Bank of America, N.A.	12,556,352.24
0055	State Insurance Fund	Bank of America, N.A.	17,894,551.95
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	24,991.45
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	1,027,390.24
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,763.74
0870	Deductions Account	Key Bank	312,129.34
0871	Bio-Tech Incubator at Farmingdale	Key Bank	0.00
WORKERS COMPENSATION BOARD			
0006	Fund for Reopened Cases	Bank of America, N.A.	0.00
0012	WCB Asset Transfer Account	Bank of America, N.A.	0.00
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	0.00
008K	Special Fund for Disability Benefits	Key Bank	42,429.43

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*


Office of the NEW YORK STATE
COMPTROLLER
STATE OF NEW YORK
COMPTROLLER
Comptroller's Monthly Report on
State Funds Cash Basis of Accounting
MARCH 2020
Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation
NYS Comptroller
THOMAS P. DiNAPOLI

STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DiNAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
March 31, 2020

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR			
		MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2019	12 MOS. ENDED MAR. 31, 2019	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax	(7)	$ 1,838.5	$ 24,646.0	$ 34.6	$ 2,183.7	$ 1,873.1	$ 26,829.7	$ -	$ -	$ 3,746.2	$ 53,659.4	$ 3,559.1	$ 48,087.4	$ 5,572.0	11.6%
Consumption/Use Taxes	(4)	658.1	8,037.8	127.5	1,915.7	620.5	7,436.5	57.1	632.0	1,463.2	18,022.0	1,500.0	17,356.3	665.7	3.8%
Business Taxes		1,937.5	6,369.8	375.6	1,959.6	-	-	51.7	666.4	2,364.8	8,995.8	2,076.6	7,912.1	1,083.7	13.7%
Other Taxes	(3)	47.9	1,087.1	-	-	75.8	1,005.7	11.9	119.1	135.6	2,211.9	121.0	2,221.6	(9.7)	-0.4%
Miscellaneous Receipts	(4)	359.1	3,159.3	1,444.9	19,278.8	32.5	476.9	1,933.5	6,550.8	3,770.0	29,465.8	3,511.3	31,184.7	(1,718.9)	-5.5%
Federal Receipts		-	0.3	4,338.8	62,896.9	-	73.8	138.1	2,109.0	4,476.9	65,080.0	4,682.4	61,344.3	3,735.7	6.1%
Total Receipts		**4,841.1**	**43,300.3**	**6,321.4**	**88,234.7**	**2,601.9**	**35,822.6**	**2,192.3**	**10,077.3**	**15,956.7**	**177,434.9**	**15,450.4**	**168,106.4**	**9,328.5**	**5.5%**
DISBURSEMENTS:															
Local Assistance Grants:	(3,4)														
Education		9,679.9	28,101.5	605.7	9,569.3	-	-	7.2	175.8	10,292.8	37,846.6	10,595.4	37,838.7	7.9	0.0%
Environment and Recreation		0.4	3.2	0.7	5.8	-	-	23.9	417.1	25.0	426.1	45.9	437.2	(11.1)	-2.5%
General Government		75.9	1,048.9	6.1	273.0	-	-	63.0	850.1	145.0	2,172.0	265.7	2,256.6	(84.6)	-3.7%
Public Health:															
Medicaid		(154.9)	17,566.5	2,856.6	45,924.0	-	-	-	-	2,701.7	63,490.5	2,645.5	59,753.1	3,737.4	6.3%
Other Public Health		386.0	2,366.6	910.4	7,526.7	-	-	74.4	555.4	1,370.8	10,448.7	1,096.6	10,375.1	73.6	0.7%
Public Safety		23.0	176.8	161.3	1,580.1	-	-	10.7	96.8	195.0	1,853.7	124.2	1,593.5	260.2	16.3%
Public Welfare		114.2	2,318.8	950.9	4,861.0	-	-	45.0	413.1	1,110.1	7,592.9	1,518.1	8,076.5	(483.6)	-6.0%
Support and Regulate Business		34.4	170.8	2.7	64.5	-	-	46.2	879.0	83.3	1,114.3	176.6	1,328.0	(213.7)	-16.1%
Transportation		0.2	110.2	43.7	3,437.1	-	-	138.3	1,625.8	182.2	5,173.1	356.4	5,773.1	(600.0)	-10.4%
Total Local Assistance Grants		**10,159.1**	**51,863.3**	**5,538.1**	**73,241.5**	**-**	**-**	**408.7**	**5,013.1**	**16,105.9**	**130,117.9**	**16,824.4**	**127,431.8**	**2,686.1**	**2.1%**
Departmental Operations:															
Personal Service		641.7	8,939.8	464.2	5,786.7	-	-	-	-	1,105.9	14,726.5	1,091.8	14,324.7	401.8	2.8%
Non-Personal Service		839.6	3,114.0	347.2	4,326.9	7.6	36.2	-	-	1,194.4	7,477.1	628.3	6,764.0	713.1	10.5%
General State Charges		472.6	7,453.7	94.8	1,303.1	-	-	-	-	567.4	8,756.8	552.4	8,624.7	132.1	1.5%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	2,639.4	4,916.1	-	-	2,639.4	4,916.1	4,200.6	6,698.6	(1,782.5)	-26.6%
Capital Projects	(1)	-	-	-	-	-	-	532.1	6,985.3	532.1	6,985.3	611.6	7,031.2	(45.9)	-0.7%
Total Disbursements		**12,113.0**	**71,370.8**	**6,444.3**	**84,658.2**	**2,647.0**	**4,952.3**	**940.8**	**11,998.4**	**22,145.1**	**172,979.7**	**23,909.1**	**170,875.0**	**2,104.7**	**1.2%**
Excess (Deficiency) of Receipts over Disbursements		**(7,271.9)**	**(28,070.5)**	**(122.9)**	**3,576.5**	**(45.1)**	**30,870.3**	**1,251.5**	**(1,921.1)**	**(6,188.4)**	**4,455.2**	**(8,458.7)**	**(2,768.6)**	**7,223.8**	**260.9%**
OTHER FINANCING SOURCES (USES):															
Bond Proceeds (net)		-	-	-	-	-	-	-	-	-	-	132.9	132.9	(132.9)	-100.0%
Transfers from Other Funds	(2)	4,864.8	35,906.8	112.0	2,269.2	1,029.9	3,742.2	(504.1)	3,546.5	5,502.6	45,464.7	5,282.5	38,730.2	6,734.5	17.4%
Transfers to Other Funds	(2)	388.0	(6,097.8)	(1,263.1)	(3,376.0)	(3,932.0)	(34,613.9)	(715.4)	(1,522.4)	(5,522.5)	(45,610.1)	(5,373.3)	(38,868.5)	6,741.6	17.3%
Total Other Financing Sources (Uses)		**5,252.8**	**29,809.0**	**(1,151.1)**	**(1,106.8)**	**(2,902.1)**	**(30,871.7)**	**(1,219.5)**	**2,024.1**	**(19.9)**	**(145.4)**	**42.1**	**(5.4)**	**(140.0)**	**-2,592.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**(2,019.1)**	**1,738.5**	**(1,274.0)**	**2,469.7**	**(2,947.2)**	**(1.4)**	**32.0**	**103.0**	**(6,208.3)**	**4,309.8**	**(8,416.6)**	**(2,774.0)**	**7,083.8**	**255.4%**
Beginning Fund Balances (Deficits)		**10,963.3**	**7,205.7**	**7,586.1**	**3,842.4**	**3,010.6**	**64.8**	**(1,066.9)**	**(1,137.9)**	**20,493.1**	**9,975.0**	**18,391.6**	**12,749.0**	**(2,774.0)**	**-21.8%**
Ending Fund Balances (Deficits)		**$ 8,944.2**	**$ 8,944.2**	**$ 6,312.1**	**$ 6,312.1**	**$ 63.4**	**$ 63.4**	**$ (1,034.9)**	**$ (1,034.9)**	**$ 14,284.8**	**$ 14,284.8**	**$ 9,975.0**	**$ 9,975.0**	**$ 4,309.8**	**43.2%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT A
SUPPLEMENTAL

		GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
		MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2019	12 MOS. ENDED MAR. 31, 2019	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:													
Personal Income Tax	(7)	$ 1,838.5	$ 24,646.0	$ 34.6	$ 2,183.7	$ 1,873.1	$ 26,829.7	$ 3,746.2	$ 53,659.4	$ 3,559.1	$ 48,087.4	$ 5,572.0	11.6%
Consumption/Use Taxes	(4)	658.1	8,037.8	127.5	1,915.7	620.5	7,436.5	1,406.1	17,390.0	1,446.3	16,711.6	678.4	4.1%
Business Taxes		1,937.5	6,369.8	375.6	1,959.6	-	-	2,313.1	8,329.4	2,016.7	7,242.4	1,087.0	15.0%
Other Taxes	(3)	47.9	1,087.1	-	-	75.8	1,005.7	123.7	2,092.8	109.1	2,102.5	(9.7)	-0.5%
Miscellaneous Receipts	(4)	359.1	3,159.3	1,432.9	19,063.8	32.5	476.9	1,824.5	22,700.0	1,892.0	23,485.6	(785.6)	-3.3%
Federal Receipts		-	0.3	(4.1)	(12.9)	-	73.8	(4.1)	61.2	0.2	72.6	(11.4)	-15.7%
Total Receipts		**4,841.1**	**43,300.3**	**1,966.5**	**25,109.9**	**2,601.9**	**35,822.6**	**9,409.5**	**104,232.8**	**9,023.4**	**97,702.1**	**6,530.7**	**6.7%**
DISBURSEMENTS:													
Local Assistance Grants:	(3,4)												
Education		9,679.9	28,101.5	294.7	6,040.8	-	-	9,974.6	34,142.3	10,108.7	33,845.4	296.9	0.9%
Environment and Recreation		0.4	3.2	0.6	4.6	-	-	1.0	7.8	0.9	7.9	(0.1)	-1.3%
General Government		75.9	1,048.9	1.5	219.0	-	-	77.4	1,267.9	105.5	1,180.8	87.1	7.4%
Public Health:													
Medicaid		(154.9)	17,566.5	164.1	5,674.7	-	-	9.2	23,241.2	(604.8)	20,372.0	2,869.2	14.1%
Other Public Health		386.0	2,366.6	406.9	1,224.4	-	-	792.9	3,591.0	481.7	3,436.7	154.3	4.5%
Public Safety		23.0	176.8	18.5	186.8	-	-	41.5	363.6	66.2	379.2	(15.6)	-4.1%
Public Welfare		114.2	2,318.8	(0.3)	3.5	-	-	113.9	2,322.3	697.7	2,783.5	(461.2)	-16.6%
Support and Regulate Business		34.4	170.8	2.7	56.5	-	-	37.1	227.3	35.6	233.1	(5.8)	-2.5%
Transportation		0.2	110.2	40.8	3,378.1	-	-	41.0	3,488.3	171.6	3,938.2	(449.9)	-11.4%
Total Local Assistance Grants		**10,159.1**	**51,863.3**	**929.5**	**16,788.4**	**-**	**-**	**11,088.6**	**68,651.7**	**11,063.1**	**66,176.8**	**2,474.9**	**3.7%**
Departmental Operations:													
Personal Service		641.7	8,939.8	415.3	5,149.9	-	-	1,057.0	14,089.7	1,041.4	13,687.3	402.4	2.9%
Non-Personal Service		839.6	3,114.0	199.9	2,928.0	7.6	36.2	1,047.1	6,078.2	456.0	5,370.2	708.0	13.2%
General State Charges		472.6	7,453.7	68.7	969.5	-	-	541.3	8,423.2	524.9	8,203.8	219.4	2.7%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	2,639.4	4,916.1	2,639.4	4,916.1	4,200.6	6,698.6	(1,782.5)	-26.6%
Capital Projects		-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements		**12,113.0**	**71,370.8**	**1,613.4**	**25,835.8**	**2,647.0**	**4,952.3**	**16,373.4**	**102,158.9**	**17,286.0**	**100,136.7**	**2,022.2**	**2.0%**
Excess (Deficiency) of Receipts over Disbursements		**(7,271.9)**	**(28,070.5)**	**353.1**	**(725.9)**	**(45.1)**	**30,870.3**	**(6,963.9)**	**2,073.9**	**(8,262.6)**	**(2,434.6)**	**4,508.5**	**-185.2%**
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2)	4,864.8	35,906.8	151.0	2,779.5	1,029.9	3,742.2	6,045.7	42,428.5	5,452.5	37,127.6	5,300.9	14.3%
Transfers to Other Funds	(2)	388.0	(6,097.8)	(1,140.7)	(1,743.7)	(3,932.0)	(34,613.9)	(4,684.7)	(42,455.4)	(4,328.9)	(35,938.3)	6,517.1	18.1%
Total Other Financing Sources (Uses)		**5,252.8**	**29,809.0**	**(989.7)**	**1,035.8**	**(2,902.1)**	**(30,871.7)**	**1,361.0**	**(26.9)**	**1,123.6**	**1,189.3**	**(1,216.2)**	**-102.3%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**(2,019.1)**	**1,738.5**	**(636.6)**	**309.9**	**(2,947.2)**	**(1.4)**	**(5,602.9)**	**2,047.0**	**(7,139.0)**	**(1,245.3)**	**3,292.3**	**264.4%**
Beginning Fund Balances (Deficits)		**10,963.3**	**7,205.7**	**6,037.3**	**5,090.8**	**3,010.6**	**64.8**	**20,011.2**	**12,361.3**	**19,500.3**	**13,606.6**	**(1,245.3)**	**-9.2%**
Ending Fund Balances (Deficits)		**$ 8,944.2**	**$ 8,944.2**	**$ 5,400.7**	**$ 5,400.7**	**$ 63.4**	**$ 63.4**	**$ 14,408.3**	**$ 14,408.3**	**$ 12,361.3**	**$ 12,361.3**	**$ 2,047.0**	**16.6%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

EXHIBIT A NOTES
March 2020

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	$316.0	million
Urban Development Corporation (Youth Facilities)	21.2	
Housing Finance Agency (HFA)	216.3	
Housing Assistance Fund	12.9	
Dormitory Authority (Mental Hygiene)	355.8	
Dormitory Authority and State University Income Fund	813.4	
Federal Capital Projects	562.7	
State bond and note proceeds	150.6	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	$1,384.0	million
General Debt Service Fund	735.8	
Banking Services Account	39.8	
Building Administration Account	9.5	
Business Service Center Account	8.1	
Centralized Tech Services Account	11.5	
Certificates of Participation Account	9.6	
Charter School Stimulus Fund	4.8	
Combined Expendable Trust	1.4	
Correctional Facilities Capital Improvement Fund	105.9	
Correctional Industries Revolving Fund	20.8	
Court Facilities Incentive Aid Fund	113.7	
Dedicated Highway & Bridge Trust Fund	397.5	
Dedicated Infrastructure Investment Fund	1,211.0	
Dedicated Mass Transportation - Railroad Account	9.4	
Dedicated Mass Transportation - Transit Authority Account	52.3	
Dedicated Mass Transportation - (Non-MTA)	5.4	
Environmental Protection Fund	28.0	
Federal Salary Sharing Account	2.0	
Hazardous Waste Oversight & Assistance Account	1.8	
Health Insurance Revolving Fund	7.9	
Housing Debt Service Fund	1.5	
Indigent Legal Services	22.1	
Medical Marihuana Health Operation and Oversight	4.6	
MTA Financial Assistance Fund	244.3	
MTA Operating Assistance Fund	39.8	
Neighborhood Work Project Account	1.0	
NY Central Business District Trust Fund	112.5	
NYC County Courts Operating Account	3.2	
Recruitment Incentive Fund	2.1	
Rome School for Deaf	1.0	
Spinal Cord Injury Account	8.5	
SUNY - Income Fund	1,179.4	

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds ($10.3m), and the State University Income Fund ($305.7m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of March 31, 2020 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund ($1,453.8m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Services Fund ($91.7m), Medicaid Management Information System Escrow Fund ($57.3m), SUNY Capital Projects Fund ($79.9m), State Capital Projects Fund ($205.6m) and All Other Capital Projects ($132.6m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Business & Licensing Services Account	$3.8	million
Chemical Dependence Service Fund	101.3	
Criminal Justice Improvement Account	22.1	
Encon Special Revenue Fund	6.4	
Federal Dept. of Health & Human Services Fund	96.4	
Federal Education Fund	1.6	
Federal Employment & Training Grants	2.0	
Federal Operating Grants Fund	3.5	
Federal USDA/Food and Nutrition Services Fund	42.4	
Fingerprint Identification Technology Account	15.6	
Health Care Transformation Fund	710.3	
HESC Insurance Premium Account	11.4	
Legal Services Assist Account	17.1	
Miscellaneous Other Special Revenue Funds	6.2	
Motor Vehicle Theft & Insurance Fraud Account	1.4	
MTA Operating Assistance	1.5	
NYC Assessment Account	22.9	
Parking Account	2.1	
Professional Education Services Account	2.8	
Public Service Account	5.6	
State Central Register	3.5	
State Lottery Fund	5.5	
State Police Motor Vehicle Law Enforcement Fund	112.4	
Statewide Public Safety Communications	28.3	
SUNY Income Fund	54.1	
Surplus Property Account	3.0	
System and Technology Account	5.3	
Training and Education Program on OSHA	2.3	
Transportation Surplus Property Account	1.8	
Unemployment Insurance Administration Fund	31.0	
Unemployment Insurance, Interest & Penalty	11.6	
Vital Records Management Fund	2.7	
Workers Comp Account	12.3	

GOVERNMENTAL FUNDS FOOTNOTES

EXHIBIT A NOTES
March 2020

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	$25,862.4	million
Local Government Assistance Tax Fund	3,416.6	
Sales Tax Revenue Bond Tax Fund	2,761.9	
Clean Water/Clean Air Fund	951.1	
Mental Health Services Fund	1,466.4	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health ($155.4m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund ($86.4m), the General Debt Service Fund - Lease Purchase ($471.8m), and the Revenue Bond Tax Fund ($964.3m).

3. Part UU of Section 11 of Chapter 59 of the Laws of 2018 amended section 805(b) of the tax law, whereby the receipts from the metropolitan commuter transportation mobility tax will be paid into the metropolitan transportation authority finance fund pursuant to statute but without appropriation. The result is that neither the mobility tax receipts nor the related grant disbursements to the MTA are recorded in the State funds. The MTA mobility tax activity is now reported in Schedule 4 as part of the MTA State Assistance fund group.

4. Part FF of Chapter 58 of the Laws of 2019 amended paragraphs (b-1) and (c-3) of subdivision two of section 503 of the vehicle and traffic law, article 29-a of the tax law, article 17-c of the vehicle and traffic law and section 1166-a of the tax law, whereby the receipts from the various taxes and fees will be paid into the metropolitan transportation authority special assistance fund pursuant to statute but without appropriation. The activity is now reported in Schedule 4 as part of the MTA State Assistance fund group.

5. Part NNN of Chapter 59 of the Laws of 2018 added Tax Law, Article 29-C on April 1, 2018, with collection of the for-hire congestion surcharge scheduled to begin on January 1, 2019. Amounts collected will be paid into the NYC transportation fund pursuant to statute but without appropriation. The result is that neither the surcharge nor the related disbursements to MTA are recorded in State Funds. The for-hire congestion surcharge activity is reported in Schedule 4 as part of the MTA State Assistance fund group.

6. Part OOO of Chapter 59 of the Laws of 2019 added to and amended Tax Law Article 31. Section 1402-b added an additional real estate transfer tax to residential property over $2 million, in cities with a population of over 1 million. Section 1421(b) of the tax law was amended directing these taxes be remitted to the MTA pursuant to statute but without appropriation. The result is that neither the tax nor the related disbursements to the MTA are recorded in State Funds. This activity is reported in Schedule 4 as part of the MTA State Assistance fund group.

7. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were ($2,183.7m) as of March 31, 2020.

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2019	12 MOS. ENDED MAR. 31, 2019	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 4.8	$ 80.7	$ 63.7	$ 589.3	$ 68.5	$ 670.0	$ 94.1	$ 592.7	$ 77.3	13.0%
Federal Receipts	1.2	16.8	-	-	1.2	16.8	0.9	15.1	1.7	11.3%
Unemployment Taxes	357.4	2,284.7	-	-	357.4	2,284.7	176.3	2,013.6	271.1	13.5%
Total Receipts	**363.4**	**2,382.2**	**63.7**	**589.3**	**427.1**	**2,971.5**	**271.3**	**2,621.4**	**350.1**	**13.4%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	0.9	17.1	11.1	126.2	12.0	143.3	15.3	115.7	27.6	23.9%
Non-Personal Service	3.2	57.5	79.2	489.2	82.4	546.7	70.0	522.1	24.6	4.7%
General State Charges	0.1	1.6	3.8	56.3	3.9	57.9	5.0	74.8	(16.9)	-22.6%
Unemployment Benefits	359.9	2,303.4	-	-	359.9	2,303.4	176.3	2,027.8	275.6	13.6%
Total Disbursements	**364.1**	**2,379.6**	**94.1**	**671.7**	**458.2**	**3,051.3**	**266.6**	**2,740.4**	**310.9**	**11.3%**
Excess (Deficiency) of Receipts Over Disbursements	**(0.7)**	**2.6**	**(30.4)**	**(82.4)**	**(31.1)**	**(79.8)**	**4.7**	**(119.0)**	**39.2**	**32.9%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	0.5	0.5	37.1	109.6	37.6	110.1	60.0	116.0	(5.9)	-5.1%
Transfers to Other Funds	-	-	(17.7)	(22.0)	(17.7)	(22.0)	(17.4)	(28.5)	(6.5)	-22.8%
Total Other Financing Sources (Uses)	**0.5**	**0.5**	**19.4**	**87.6**	**19.9**	**88.1**	**42.6**	**87.5**	**0.6**	**0.7%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(0.2)**	**3.1**	**(11.0)**	**5.2**	**(11.2)**	**8.3**	**47.3**	**(31.5)**	**39.8**	**126.3%**
Beginning Fund Balances (Deficits)	**29.9**	**26.6**	**(286.5)**	**(302.7)**	**(256.6)**	**(276.1)**	**(323.4)**	**(244.6)**	**(31.5)**	**-12.9%**
Ending Fund Balances (Deficits)	**$ 29.7**	**$ 29.7**	**$ (297.5)**	**$ (297.5)**	**$ (267.8)**	**$ (267.8)**	**$ (276.1)**	**$ (276.1)**	**$ 8.3**	**3.0%**

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2019	12 MOS. ENDED MAR. 31, 2019	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 21.8	$ 145.2	$ 0.2	$ 1.5	$ 22.0	$ 146.7	$ 34.5	$ 145.5	$ 1.2	0.8%
Total Receipts	**21.8**	**145.2**	**0.2**	**1.5**	**22.0**	**146.7**	**34.5**	**145.5**	**1.2**	**0.8%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	7.9	70.4	-	0.2	7.9	70.6	7.3	69.4	1.2	1.7%
Non-Personal Service	2.7	34.4	-	-	2.7	34.4	16.0	31.3	3.1	9.9%
General State Charges	1.8	38.5	0.1	0.2	1.9	38.7	0.3	44.5	(5.8)	-13.0%
Total Disbursements	**12.4**	**143.3**	**0.1**	**0.4**	**12.5**	**143.7**	**23.6**	**145.2**	**(1.5)**	**-1.0%**
Excess (Deficiency) of Receipts Over Disbursements	**9.4**	**1.9**	**0.1**	**1.1**	**9.5**	**3.0**	**10.9**	**0.3**	**2.7**	**900.0%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**0.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**9.4**	**1.9**	**0.1**	**1.1**	**9.5**	**3.0**	**10.9**	**0.3**	**2.7**	**900.0%**
Beginning Fund Balances (Deficits)	**(10.5)**	**(3.0)**	**14.2**	**13.2**	**3.7**	**10.2**	**(0.7)**	**9.9**	**0.3**	**3.0%**
Ending Fund Balances (Deficits)	**$ (1.1)**	**$ (1.1)**	**$ 14.3**	**$ 14.3**	**$ 13.2**	**$ 13.2**	**$ 10.2**	**$ 10.2**	**$ 3.0**	**29.4%**

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWELVE MONTHS ENDED MARCH 31, 2020
(amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 52,150.0	$ 53,016.0	$ 53,659.4	$ 1,509.4	$ 643.4
Consumption/Use	18,308.0	18,148.0	18,022.0	(286.0)	(126.0)
Business	8,585.0	8,986.0	8,995.8	410.8	9.8
Other	2,262.0	2,240.0	2,211.9	(50.1)	(28.1)
Miscellaneous Receipts	29,013.0	29,701.0	29,465.8	452.8	(235.2)
Federal Receipts	64,794.0	66,162.0	65,080.0	286.0	(1,082.0)
Total Receipts	**175,112.0**	**178,253.0**	**177,434.9**	**2,322.9**	**(818.1)**
DISBURSEMENTS:					
Local Assistance Grants	132,530.0	131,441.0	130,117.9	(2,412.1)	(1,323.1)
Departmental Operations	21,785.0	21,788.0	22,203.6	418.6	415.6
General State Charges	9,133.0	9,007.0	8,756.8	(376.2)	(250.2)
Debt Service	5,166.0	5,166.0	4,916.1	(249.9)	(249.9)
Capital Projects	8,413.0	8,507.0	6,985.3	(1,427.7)	(1,521.7)
Total Disbursements	**177,027.0**	**175,909.0**	**172,979.7**	**(4,047.3)**	**(2,929.3)**
Excess (Deficiency) of Receipts over Disbursements	**(1,915.0)**	**2,344.0**	**4,455.2**	**6,370.2**	**2,111.2**
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	444.0	389.0	-	(444.0)	(389.0)
Transfers from Other Funds	44,335.0	44,935.0	45,464.7	1,129.7	529.7
Transfers to Other Funds	(44,497.0)	(45,080.0)	(45,610.1)	1,113.1	(530.1)
Total Other Financing Sources (Uses)	**282.0**	**244.0**	**(145.4)**	**(427.4)**	**(389.4)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(1,633.0)**	**2,588.0**	**4,309.8**	**5,942.8**	**1,721.8**
Fund Balances (Deficits) at April 1	**9,975.0**	**9,975.0**	**9,975.0**	**-**	**-**
Fund Balances (Deficits) at March 31, 2020	**$ 8,342.0**	**$ 12,563.0**	**$ 14,284.8**	**$ 5,942.8**	**$ 1,721.8**

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
(**) Source: 2020-21 Executive Budget with 30-day amendments dated February 24, 2020.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWELVE MONTHS ENDED MARCH 31, 2020
(amounts in millions)

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 52,150.0	$ 53,016.0	$ 53,659.4	$ 1,509.4	$ 643.4
Consumption/Use	17,672.0	17,505.0	17,390.0	(282.0)	(115.0)
Business	7,920.0	8,308.0	8,329.4	409.4	21.4
Other	2,143.0	2,121.0	2,092.8	(50.2)	(28.2)
Miscellaneous Receipts	20,958.0	21,760.0	22,700.0	1,742.0	940.0
Federal Receipts	75.0	75.0	61.2	(13.8)	(13.8)
Total Receipts	**100,918.0**	**102,785.0**	**104,232.8**	**3,314.8**	**1,447.8**
DISBURSEMENTS:					
Local Assistance Grants	68,471.0	70,324.0	68,651.7	180.7	(1,672.3)
Departmental Operations	19,684.0	19,711.0	20,167.9	483.9	456.9
General State Charges	8,796.0	8,681.0	8,423.2	(372.8)	(257.8)
Debt Service	5,166.0	5,166.0	4,916.1	(249.9)	(249.9)
Capital Projects	-	-	-	-	-
Total Disbursements	**102,117.0**	**103,882.0**	**102,158.9**	**41.9**	**(1,723.1)**
Excess (Deficiency) of Receipts over Disbursements	**(1,199.0)**	**(1,097.0)**	**2,073.9**	**3,272.9**	**3,170.9**
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	40,791.0	41,399.0	42,428.5 (****)	1,637.5	1,029.5
Transfers to Other Funds	(40,920.0)	(41,304.0)	(42,455.4) (****)	1,535.4	1,151.4
Total Other Financing Sources (Uses)	**(129.0)**	**95.0**	**(26.9)**	**102.1**	**(121.9)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(1,328.0)**	**(1,002.0)**	**2,047.0**	**3,375.0**	**3,049.0**
Fund Balances (Deficits) at April 1	**12,362.0**	**12,362.0**	**12,361.3**	**(0.7)**	**(0.7)**
Fund Balances (Deficits) at March 31, 2020	**$ 11,034.0**	**$ 11,360.0**	**$ 14,408.3**	**$ 3,374.3**	**$ 3,048.3**

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
(**) Source: 2020-21 Executive Budget with 30-day amendments dated February 24, 2020.
(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWELVE MONTHS ENDED MARCH 31, 2020
(amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 23,899.0	$ 24,333.0	$ 24,646.0	$ 747.0	$ 313.0
Consumption/Use	8,209.0	8,123.0	8,037.8	(171.2)	(85.2)
Business	6,104.0	6,400.0	6,369.8	265.8	(30.2)
Other	1,113.0	1,112.0	1,087.1	(25.9)	(24.9)
Miscellaneous Receipts	2,857.0	2,979.0	3,159.3	302.3	180.3
Federal Receipts	-	-	0.3	0.3	0.3
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	24,636.0	24,918.0	25,862.4	1,226.4	944.4
Sales Tax in excess of LGAC / STRBF Debt Service	6,426.0	6,435.0	6,178.5	(247.5)	(256.5)
Real Estate Taxes in excess of CW/CA Debt Service	973.0	952.0	951.1	(21.9)	(0.9)
All Other	2,900.0	3,080.0	2,914.8	14.8	(165.2)
Total Receipts and Other Financing Sources	**77,117.0**	**78,332.0**	**79,207.1**	**2,090.1**	**875.1**
DISBURSEMENTS:					
Local Assistance Grants	52,100.0	53,573.0	51,863.3	(236.7)	(1,709.7)
Departmental Operations	11,911.0	11,738.0	12,053.8	142.8	315.8
General State Charges	7,716.0	7,626.0	7,453.7	(262.3)	(172.3)
Transfers To:					
Debt Service	550.0	517.0	735.8	185.8	218.8
Capital Projects	3,191.0	3,182.0	3,128.1	(62.9)	(53.9)
State Share Medicaid	-	-	316.0 (***)	316.0	316.0
SUNY Operations	1,185.0	1,185.0	1,179.4	(5.6)	(5.6)
Other Purposes	1,204.0	1,190.0	738.5	(465.5)	(451.5)
Total Disbursements and Other Financing Uses	**77,857.0**	**79,011.0**	**77,468.6**	**(388.4)**	**(1,542.4)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(740.0)**	**(679.0)**	**1,738.5**	**2,478.5**	**2,417.5**
Fund Balances (Deficits) at April 1	**7,206.0**	**7,206.0**	**7,205.7**	**(0.3)**	**(0.3)**
Fund Balances (Deficits) at March 31, 2020	**$ 6,466.0**	**$ 6,527.0**	**$ 8,944.2**	**$ 2,478.2**	**$ 2,417.2**

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
(**) Source: 2020-21 Executive Budget with 30-day amendments dated February 24, 2020.
(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWELVE MONTHS ENDED MARCH 31, 2020
(amounts in millions)

EXHIBIT D

	SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	$ 2,176.0	$ 2,176.0	$ 2,183.7	$ -	$ 2,183.7	$ 7.7	$ 7.7
Consumption/Use	1,895.0	1,877.0	1,915.7	-	1,915.7	20.7	38.7
Business	1,816.0	1,908.0	1,959.6	-	1,959.6	143.6	51.6
Miscellaneous Receipts	17,909.0	18,601.0	19,278.8	-	19,278.8	1,369.8	677.8
Federal Receipts	62,491.0	63,859.0	62,896.9	-	62,896.9	405.9	(962.1)
Transfers from Other Funds (***)	2,385.0	2,387.0	2,779.5	(510.3)	2,269.2	(115.8)	(117.8)
Total Receipts and Other Financing Sources	**88,672.0**	**90,808.0**	**91,014.2**	**(510.3)**	**90,503.9**	**1,831.9**	**(304.1)**
DISBURSEMENTS:							
Local Assistance Grants	75,053.0	72,947.0	73,241.5	-	73,241.5	(1,811.5)	294.5
Departmental Operations	9,828.0	10,012.0	10,113.6	-	10,113.6	285.6	101.6
General State Charges	1,417.0	1,381.0	1,303.1	-	1,303.1	(113.9)	(77.9)
Capital Projects	-	-	-	-	-	-	-
Transfers to Other Funds (***)	3,351.0	3,546.0	3,886.3	(510.3)	3,376.0	25.0	(170.0)
Total Disbursements and Other Financing Uses	**89,649.0**	**87,886.0**	**88,544.5**	**(510.3)**	**88,034.2**	**(1,614.8)**	**148.2**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(977.0)**	**2,922.0**	**2,469.7**	**-**	**2,469.7**	**3,446.7**	**(452.3)**
Fund Balances (Deficits) at April 1	**3,842.0**	**3,842.0**	**3,842.4**	**-**	**3,842.4**	**0.4**	**0.4**
Fund Balances (Deficits) at March 31, 2020	**$ 2,865.0**	**$ 6,764.0**	**$ 6,312.1**	**$ -**	**$ 6,312.1**	**$ 3,447.1**	**$ (451.9)**

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
(**) Source: 2020-21 Executive Budget with 30-day amendments dated February 24, 2020.
(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWELVE MONTHS ENDED MARCH 31, 2020
(amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	$ 2,176.0	$ 2,176.0	$ 2,183.7	$ 7.7	$ 7.7	$ -	$ -	$ -	$ -	$ -
Consumption/Use	1,895.0	1,877.0	1,915.7	20.7	38.7	-	-	-	-	-
Business	1,816.0	1,908.0	1,959.6	143.6	51.6	-	-	-	-	-
Miscellaneous Receipts	17,707.0	18,377.0	19,063.8	1,356.8	686.8	202.0	224.0	215.0	13.0	(9.0)
Federal Receipts	1.0	1.0	(12.9)	(13.9)	(13.9)	62,490.0	63,858.0	62,909.8	419.8	(948.2)
Transfers from Other Funds	2,373.0	2,375.0	2,779.5	406.5	404.5	12.0	12.0	-	(12.0)	(12.0)
Total Receipts and Other Financing Sources	**25,968.0**	**26,714.0**	**27,889.4**	**1,921.4**	**1,175.4**	**62,704.0**	**64,094.0**	**63,124.8**	**420.8**	**(969.2)**
DISBURSEMENTS:										
Local Assistance Grants	16,371.0	16,751.0	16,788.4	417.4	37.4	58,682.0	56,196.0	56,453.1	(2,228.9)	257.1
Departmental Operations	7,727.0	7,935.0	8,077.9	350.9	142.9	2,101.0	2,077.0	2,035.7	(65.3)	(41.3)
General State Charges	1,080.0	1,055.0	969.5	(110.5)	(85.5)	337.0	326.0	333.6	(3.4)	7.6
Capital Projects	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds	1,360.0	1,322.0	1,743.7	383.7	421.7	1,991.0	2,224.0	2,142.6	151.6	(81.4)
Total Disbursements and Other Financing Uses	**26,538.0**	**27,063.0**	**27,579.5**	**1,041.5**	**516.5**	**63,111.0**	**60,823.0**	**60,965.0**	**(2,146.0)**	**142.0**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(570.0)**	**(349.0)**	**309.9**	**879.9**	**658.9**	**(407.0)**	**3,271.0**	**2,159.8**	**2,566.8**	**(1,111.2)**
Fund Balances (Deficits) at April 1	**5,091.0**	**5,091.0**	**5,090.8**	**(0.2)**	**(0.2)**	**(1,249.0)**	**(1,249.0)**	**(1,248.4)**	**0.6**	**0.6**
Fund Balances (Deficits) at March 31, 2020	**$ 4,521.0**	**$ 4,742.0**	**$ 5,400.7**	**$ 879.7**	**$ 658.7**	**$ (1,656.0)**	**$ 2,022.0**	**$ 911.4**	**$ 2,567.4**	**$ (1,110.6)**

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
(**) Source: 2020-21 Executive Budget with 30-day amendments dated February 24, 2020.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWELVE MONTHS ENDED MARCH 31, 2020
(amounts in millions)

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 26,075.0	$ 26,507.0	$ 26,829.7	$ 754.7	$ 322.7
Consumption/Use	7,568.0	7,505.0	7,436.5	(131.5)	(68.5)
Other	1,030.0	1,009.0	1,005.7	(24.3)	(3.3)
Miscellaneous Receipts	394.0	404.0	476.9	82.9	72.9
Federal Receipts	74.0	74.0	73.8	(0.2)	(0.2)
Transfers from Other Funds	3,483.0	3,639.0	3,742.2	259.2	103.2
Total Receipts and Other Financing Sources	**38,624.0**	**39,138.0**	**39,564.8**	**940.8**	**426.8**
DISBURSEMENTS:					
Departmental Operations	46.0	38.0	36.2	(9.8)	(1.8)
Debt Service	5,166.0	5,166.0	4,916.1	(249.9)	(249.9)
Transfers to Other Funds	33,430.0	33,908.0	34,613.9	1,183.9	705.9
Total Disbursements and Other Financing Uses	**38,642.0**	**39,112.0**	**39,566.2**	**924.2**	**454.2**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(18.0)**	**26.0**	**(1.4)**	**16.6**	**(27.4)**
Fund Balances (Deficits) at April 1	**65.0**	**65.0**	**64.8**	**(0.2)**	**(0.2)**
Fund Balances (Deficits) at March 31, 2020	**$ 47.0**	**$ 91.0**	**$ 63.4**	**$ 16.4**	**$ (27.6)**

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
(**) Source: 2020-21 Executive Budget with 30-day amendments dated February 24, 2020.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWELVE MONTHS ENDED MARCH 31, 2020
(amounts in millions)

EXHIBIT D

	CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	$ 636.0	$ 643.0	$ 632.0	$ -	$ 632.0	$ (4.0)	$ (11.0)
Business	665.0	678.0	666.4	-	666.4	1.4	(11.6)
Other	119.0	119.0	119.1	-	119.1	0.1	0.1
Miscellaneous Receipts	7,853.0	7,717.0	6,550.8	-	6,550.8	(1,302.2)	(1,166.2)
Federal Receipts	2,229.0	2,229.0	2,109.0	-	2,109.0	(120.0)	(120.0)
Bond and Note Proceeds, net	444.0	389.0	-	-	-	(444.0)	(389.0)
Transfers from Other Funds	3,532.0	3,524.0	3,845.5	(299.0)	3,546.5	14.5	22.5
Total Receipts and Other Financing Sources	**15,478.0**	**15,299.0**	**13,922.8**	**(299.0)**	**13,623.8**	**(1,854.2)**	**(1,675.2)**
DISBURSEMENTS:							
Local Assistance Grants	5,377.0	4,921.0	5,013.1	-	5,013.1	(363.9)	92.1
Capital Projects	8,413.0	8,507.0	6,985.3	-	6,985.3	(1,427.7)	(1,521.7)
Transfers to Other Funds	1,586.0	1,552.0	1,821.4	(299.0)	1,522.4	(63.6)	(29.6)
Total Disbursements and Other Financing Uses	**15,376.0**	**14,980.0**	**13,819.8**	**(299.0)**	**13,520.8**	**(1,855.2)**	**(1,459.2)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**102.0**	**319.0**	**103.0**	**-**	**103.0**	**1.0**	**(216.0)**
Fund Balances (Deficits) at April 1	**(1,138.0)**	**(1,138.0)**	**(1,137.9)**	**-**	**(1,137.9)**	**0.1**	**0.1**
Fund Balances (Deficits) at March 31, 2020	**$ (1,036.0)**	**$ (819.0)**	**$ (1,034.9)**	**$ -**	**$ (1,034.9)**	**$ 1.1**	**$ (215.9)**

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
(**) Source: 2020-21 Executive Budget with 30-day amendments dated February 24, 2020.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWELVE MONTHS ENDED MARCH 31, 2020
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	$ 636.0	$ 643.0	$ 632.0	$ (4.0)	$ (11.0)	$ -	$ -	$ -	$ -	$ -
Business	665.0	678.0	666.4	1.4	(11.6)	-	-	-	-	-
Other	119.0	119.0	119.1	0.1	0.1	-	-	-	-	-
Miscellaneous Receipts	7,853.0	7,717.0	6,549.7	(1,303.3)	(1,167.3)	-	-	1.1	1.1	1.1
Federal Receipts	5.0	5.0	4.6	(0.4)	(0.4)	2,224.0	2,224.0	2,104.4	(119.6)	(119.6)
Bond and Note Proceeds, net	444.0	389.0	-	(444.0)	(389.0)	-	-	-	-	-
Transfers from Other Funds	3,927.0	3,919.0	3,845.5	(81.5)	(73.5)	(395.0)	(395.0)	-	395.0	395.0
Total Receipts and Other Financing Sources	**13,649.0**	**13,470.0**	**11,817.3**	**(1,831.7)**	**(1,652.7)**	**1,829.0**	**1,829.0**	**2,105.5**	**276.5**	**276.5**
DISBURSEMENTS:										
Local Assistance Grants	4,671.0	4,215.0	4,218.4	(452.6)	3.4	706.0	706.0	794.7	88.7	88.7
Capital Projects	7,318.0	7,412.0	5,915.6	(1,402.4)	(1,496.4)	1,095.0	1,095.0	1,069.7	(25.3)	(25.3)
Transfers to Other Funds	1,574.0	1,541.0	1,522.3	(51.7)	(18.7)	12.0	11.0	299.1	287.1	288.1
Total Disbursements and Other Financing Uses	**13,563.0**	**13,168.0**	**11,656.3**	**(1,906.7)**	**(1,511.7)**	**1,813.0**	**1,812.0**	**2,163.5**	**350.5**	**351.5**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**86.0**	**302.0**	**161.0**	**75.0**	**(141.0)**	**16.0**	**17.0**	**(58.0)**	**(74.0)**	**(75.0)**
Fund Balances (Deficits) at April 1	**(633.0)**	**(633.0)**	**(633.2)**	**(0.2)**	**(0.2)**	**(505.0)**	**(505.0)**	**(504.7)**	**0.3**	**0.3**
Fund Balances (Deficits) at March 31, 2020	**$ (547.0)**	**$ (331.0)**	**$ (472.2)**	**$ 74.8**	**$ (141.2)**	**$ (489.0)**	**$ (488.0)**	**$ (562.7)**	**$ (73.7)**	**$ (74.7)**

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
(**) Source: 2020-21 Executive Budget with 30-day amendments dated February 24, 2020.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2020	12 MOS. ENDED MAR. 31, 2020	MONTH OF MAR. 2019	12 MOS. ENDED MAR. 31, 2019	$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	$ 4,549.3	$ 43,118.3	$ -	$ -	$ -	$ -	$ -	$ -	$ 4,549.3	$ 43,118.3	$ 4,321.9	$ 41,084.1	$ 2,034.2	5.0%
Estimated Payments	125.2	17,025.0	-	-	-	-	-	-	125.2	17,025.0	123.5	14,009.9	3,015.1	21.5%
Returns	194.3	3,482.1	-	-	-	-	-	-	194.3	3,482.1	223.1	2,748.3	733.8	26.7%
State/City Offsets	(71.4)	(1,117.3)	-	-	-	-	-	-	(71.4)	(1,117.3)	(70.8)	(1,135.3)	(18.0)	-1.6%
Other (Assessments/LLC)	144.7	1,357.4	-	-	-	-	-	-	144.7	1,357.4	151.2	1,332.8	24.6	1.8%
Gross Receipts	**4,942.1**	**63,865.5**	**-**	**-**	**-**	**-**	**-**	**-**	**4,942.1**	**63,865.5**	**4,748.9**	**58,039.8**	**5,825.7**	**10.0%**
Transfers to School Tax Relief Fund	(34.6)	(2,183.7)	34.6	2,183.7	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,873.1)	(26,829.7)	-	-	1,873.1	26,829.7	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(1,195.9)	(10,206.1)	-	-	-	-	-	-	(1,195.9)	(10,206.1)	(1,189.8)	(9,952.4)	253.7	2.5%
Total	**1,838.5**	**24,646.0**	**34.6**	**2,183.7**	**1,873.1**	**26,829.7**	**-**	**-**	**3,746.2**	**53,659.4**	**3,559.1**	**48,087.4**	**5,572.0**	**11.6%**
CONSUMPTION/USE TAXES														
Sales and Use	625.2	7,446.4	51.1	1,049.1	620.5	7,436.5	-	-	1,296.8	15,932.0	1,335.0	15,127.5	804.5	5.3%
Auto Rental	-	-	6.7	19.6	-	-	16.8	87.5	23.5	107.1	21.4	130.0	(22.9)	-17.6%
Cigarette/Tobacco Products	20.3	313.0	50.8	722.2	-	-	-	-	71.1	1,035.2	74.2	1,107.7	(72.5)	-6.5%
Medical Marihuana	-	-	0.4	5.7	-	-	-	-	0.4	5.7	0.3	3.9	1.8	46.2%
Motor Fuel	-	-	8.1	108.2	-	-	30.3	403.6	38.4	511.8	41.5	528.1	(16.3)	-3.1%
Alcoholic Beverage	12.6	259.0	-	-	-	-	-	-	12.6	259.0	18.9	262.4	(3.4)	-1.3%
Highway Use	-	-	-	0.5	-	-	10.0	140.9	10.0	141.4	7.8	145.0	(3.6)	-2.5%
Vapor Excise	-	-	10.4	10.4	-	-	-	-	10.4	10.4	-	-	10.4	100.0%
Opioid Excise	-	19.4	-	-	-	-	-	-	-	19.4	-	-	19.4	100.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	0.9	51.7	(51.7)	-100.0%
Total	**658.1**	**8,037.8**	**127.5**	**1,915.7**	**620.5**	**7,436.5**	**57.1**	**632.0**	**1,463.2**	**18,022.0**	**1,500.0**	**17,356.3**	**665.7**	**3.8%**
BUSINESS TAXES														
Corporation Franchise	990.0	3,791.1	215.9	1,033.2	-	-	-	-	1,205.9	4,824.3	1,023.5	4,296.6	527.7	12.3%
Corporation and Utilities	224.2	518.2	62.4	172.0	-	-	3.5	14.6	290.1	704.8	254.2	672.5	32.3	4.8%
Insurance	706.6	2,052.6	71.1	253.3	-	-	-	-	777.7	2,305.9	700.9	1,836.8	469.1	25.5%
Bank	16.7	7.9	(11.2)	(7.8)	-	-	-	-	5.5	0.1	0.1	(59.0)	59.1	-100.2%
Petroleum Business	-	-	37.4	508.9	-	-	48.2	651.8	85.6	1,160.7	97.9	1,165.2	(4.5)	-0.4%
Total	**1,937.5**	**6,369.8**	**375.6**	**1,959.6**	**-**	**-**	**51.7**	**666.4**	**2,364.8**	**8,995.8**	**2,076.6**	**7,912.1**	**1,083.7**	**13.7%**
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	47.7	1,070.2	-	-	-	-	-	-	47.7	1,070.2	53.9	1,068.3	1.9	0.2%
Pari-Mutuel	0.1	13.9	-	-	-	-	-	-	0.1	13.9	1.0	15.4	(1.5)	-9.7%
Real Estate Transfer	-	-	-	-	75.7	1,004.7	11.9	119.1	87.6	1,123.8	66.1	1,135.3	(11.5)	-1.0%
Racing and Exhibitions	-	2.0	-	-	-	-	-	-	-	2.0	-	2.6	(0.6)	-23.1%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Employer Compensation Expense Tax	0.1	1.0	-	-	0.1	1.0	-	-	0.2	2.0	-	-	2.0	100.0%
Total	**47.9**	**1,087.1**	**-**	**-**	**75.8**	**1,005.7**	**11.9**	**119.1**	**135.6**	**2,211.9**	**121.0**	**2,221.6**	**(9.7)**	**-0.4%**
Total Tax Receipts	**$ 4,482.0**	**$ 40,140.7**	**$ 537.7**	**$ 6,059.0**	**$ 2,569.4**	**$ 35,271.9**	**$ 120.7**	**$ 1,417.5**	**$ 7,709.8**	**$ 82,889.1**	**$ 7,256.7**	**$ 75,577.4**	**$ 7,311.7**	**9.7%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 9,975.0**	**$ 18,267.7**	**$ 10,925.1**	**$ 13,728.6**	**$ 12,775.1**	**$ 13,740.6**	**$ 14,310.2**	**$ 14,340.0**	**$ 12,090.9**	**$ 14,900.3**	**$ 19,628.0**	**$ 20,493.1**	**$ 9,975.0**	**$ 12,749.0**	**$ (2,774.0)**	**-21.8%**
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,237.1	3,220.1	2,922.3	3,365.8	2,933.7	2,851.1	3,270.6	2,981.8	4,054.3	5,045.6	4,686.6	4,549.3	43,118.3	41,084.1	2,034.2	5.0%
Estimated Payments	6,843.2	112.6	2,396.4	118.7	98.7	2,742.0	170.9	93.5	379.8	3,842.2	101.8	125.2	17,025.0	14,009.9	3,015.1	21.5%
Returns	2,286.9	75.1	54.1	40.8	45.1	65.5	564.2	42.5	24.6	25.7	63.3	194.3	3,482.1	2,748.3	733.8	26.7%
State/City Offsets	(296.9)	(31.1)	(25.9)	(21.0)	(20.9)	(47.2)	(475.4)	(59.5)	(17.7)	(9.6)	(40.7)	(71.4)	(1,117.3)	(1,135.3)	(18.0)	-1.6%
Other (Assessments/LLC)	170.3	105.2	96.2	89.3	95.7	91.7	104.3	121.6	134.6	87.2	116.6	144.7	1,357.4	1,332.8	24.6	1.8%
Gross Receipts	**12,240.6**	**3,481.9**	**5,443.1**	**3,593.6**	**3,152.3**	**5,703.1**	**3,634.6**	**3,179.9**	**4,575.6**	**8,991.1**	**4,927.6**	**4,942.1**	**63,865.5**	**58,039.8**	**5,825.7**	**10.0%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Refunds Issued	(3,025.2)	(997.3)	(233.2)	(262.0)	(243.8)	(871.7)	(1,056.2)	(710.5)	(287.6)	(91.9)	(1,230.8)	(1,195.9)	(10,206.1)	(9,952.4)	253.7	2.5%
Total Personal Income Tax	**9,215.4**	**2,484.6**	**5,209.9**	**3,331.6**	**2,908.5**	**4,831.4**	**2,578.4**	**2,469.4**	**4,288.0**	**8,899.2**	**3,696.8**	**3,746.2**	**53,659.4**	**48,087.4**	**5,572.0**	**11.6%**
Consumption/Use Taxes:																
Sales and Use	1,201.6	1,178.8	1,589.5	1,238.2	1,243.6	1,628.2	1,238.3	1,250.6	1,586.8	1,360.9	1,118.7	1,296.8	15,932.0	15,127.5	804.5	5.3%
Auto Rental	3.5	0.3	19.5	0.1	0.1	41.0	(7.3)	0.1	26.2	-	0.1	23.5	107.1	130.0	(22.9)	-17.6%
Cigarette/Tobacco Products	89.2	85.2	83.8	106.8	92.2	87.7	93.8	84.1	96.2	79.3	65.8	71.1	1,035.2	1,107.7	(72.5)	-6.5%
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5	0.4	0.5	0.6	0.5	0.5	0.4	5.7	3.9	1.8	46.2%
Motor Fuel	46.0	44.2	46.1	39.2	47.9	43.2	47.3	41.5	39.9	42.4	35.7	38.4	511.8	528.1	(16.3)	-3.1%
Alcoholic Beverage	20.0	20.9	26.0	25.6	19.5	23.5	19.1	24.5	20.4	34.6	12.3	12.6	259.0	262.4	(3.4)	-1.3%
Highway Use	14.2	10.7	9.9	14.5	10.7	11.1	14.3	11.0	13.8	12.2	9.0	10.0	141.4	145.0	(3.6)	-2.5%
Vapor Excise	-	-	-	-	-	-	-	-	-	-	-	10.4	10.4	-	10.4	100.0%
Opioid Excise	-	-	-	-	-	-	-	-	-	16.9	2.5	-	19.4	-	19.4	100.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	-	-	-	51.7	(51.7)	-100.0%
Total Consumption/Use Taxes	**1,375.0**	**1,340.5**	**1,775.3**	**1,424.9**	**1,414.4**	**1,835.2**	**1,405.9**	**1,412.3**	**1,783.9**	**1,546.8**	**1,244.6**	**1,463.2**	**18,022.0**	**17,356.3**	**665.7**	**3.8%**
Business Taxes:																
Corporation Franchise	376.7	(69.0)	901.2	146.3	-	941.3	98.8	108.9	1,030.6	153.8	(70.2)	1,205.9	4,824.3	4,296.6	527.7	12.3%
Corporation and Utilities	43.0	1.2	109.5	0.4	(1.7)	141.0	2.9	(0.6)	119.4	(1.3)	0.9	290.1	704.8	672.5	32.3	4.8%
Insurance	141.8	5.0	403.2	56.8	(1.5)	436.8	1.4	25.3	457.8	1.4	0.2	777.7	2,305.9	1,836.8	469.1	25.5%
Bank	145.3	(121.1)	(21.1)	(0.2)	(0.3)	(1.4)	(3.1)	(41.8)	13.8	(0.4)	24.9	5.5	0.1	(59.0)	59.1	100.2%
Petroleum Business	100.4	99.7	103.8	93.8	107.0	100.7	107.4	93.0	91.3	98.0	80.0	85.6	1,160.7	1,165.2	(4.5)	-0.4%
Total Business Taxes	**807.2**	**(84.2)**	**1,496.6**	**297.1**	**103.5**	**1,618.4**	**207.4**	**184.8**	**1,712.9**	**251.5**	**35.8**	**2,364.8**	**8,995.8**	**7,912.1**	**1,083.7**	**13.7%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	79.7	57.3	62.2	124.7	41.8	53.8	163.2	87.2	143.6	93.5	115.5	47.7	1,070.2	1,068.3	1.9	0.2%
Pari-Mutuel	0.9	1.1	1.6	1.2	2.2	2.1	1.0	1.1	1.0	0.7	0.9	0.1	13.9	15.4	(1.5)	-9.7%
Real Estate Transfer	82.9	86.0	98.6	130.8	90.7	97.2	85.2	99.7	86.1	94.8	84.2	87.6	1,123.8	1,135.3	(11.5)	-1.0%
Racing and Exhibitions	0.2	0.1	0.3	0.2	0.1	-	0.4	0.6	0.1	(0.1)	0.1	-	2.0	2.6	(0.6)	-23.1%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Employer Compensation Expense Tax	0.1	0.1	-	0.2	0.2	0.1	0.2	0.1	0.4	0.5	(0.1)	0.2	2.0	-	2.0	100.0%
Total Other Taxes	**163.8**	**144.6**	**162.7**	**257.1**	**135.0**	**153.2**	**250.0**	**188.7**	**231.2**	**189.4**	**200.6**	**135.6**	**2,211.9**	**2,221.6**	**(9.7)**	**-0.4%**
Total Taxes	**11,561.4**	**3,885.5**	**8,644.5**	**5,310.7**	**4,561.4**	**8,438.2**	**4,441.7**	**4,255.2**	**8,016.0**	**10,886.9**	**5,177.8**	**7,709.8**	**82,889.1**	**75,577.4**	**7,311.7**	**9.7%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	2.0	1.2	0.9	1.2	5.6	31.0	36.2	215.9	1.1	0.9	25.5	140.8	462.3	505.0	(42.7)	-8.5%
Bottle Bill	0.2	0.3	31.2	0.3	0.1	39.1	0.3	0.3	23.2	0.6	0.1	21.7	117.4	120.8	(3.4)	-2.8%
Assessments:																
Business	81.0	82.0	103.1	60.2	63.2	76.8	78.7	43.3	80.3	101.7	52.2	85.5	908.0	853.9	54.1	6.3%
Medical Care	625.7	523.9	509.0	571.3	518.5	544.1	531.2	472.5	529.4	578.0	535.2	465.3	6,404.1	6,007.7	396.4	6.6%
Public Utilities	5.1	-	0.7	-	0.9	44.2	(6.0)	(1.7)	0.8	-	11.0	35.7	90.7	85.4	5.3	6.2%
Other	-	0.2	0.1	-	0.2	-	-	0.1	0.1	0.2	0.1	0.1	1.1	2.8	(1.7)	-60.7%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.7	6.0	5.4	6.0	6.9	7.0	7.4	7.2	5.5	5.7	5.2	5.0	73.0	73.9	(0.9)	-1.2%
Audit Fees	-	0.8	1.5	-	0.1	0.2	-	-	-	-	-	-	2.6	2.1	0.5	23.8%
Business/Professional:	60.5	60.6	131.5	65.0	46.9	132.0	80.9	71.8	108.5	88.8	43.4	119.4	1,009.3	943.3	66.0	7.0%
Civil	28.6	18.0	22.9	22.6	17.8	34.5	20.5	22.2	33.4	16.0	29.8	33.3	299.6	293.6	6.0	2.0%
Criminal	0.5	0.5	1.2	0.2	0.6	1.3	0.5	0.4	1.0	1.2	0.2	1.7	9.3	10.9	(1.6)	-14.7%
Motor Vehicle	126.2	130.8	105.7	119.8	116.3	94.6	116.5	94.4	116.0	123.4	102.3	123.5	1,369.5	1,533.5	(164.0)	-10.7%
Recreational/Consumer	55.4	47.2	67.3	67.5	95.4	134.3	70.7	71.4	62.5	66.0	131.8	63.5	933.0	807.4	125.6	15.6%
Fines, Penalties and Forfeitures	628.7	224.6	136.0	50.8	31.9	39.2	88.2	37.8	44.4	36.7	19.1	48.9	1,386.3	1,538.8	(152.5)	-9.9%
Gaming:																
Casino	31.4	18.8	19.7	37.7	15.3	20.0	36.8	13.3	17.6	35.7	15.2	11.0	272.5	256.4	16.1	6.3%
Lottery	218.4	262.0	202.3	225.7	170.2	176.3	220.1	177.8	180.0	221.5	170.2	308.7	2,533.2	2,551.2	(18.0)	-0.7%
Video Lottery	76.6	88.5	63.2	89.5	76.5	77.5	94.6	72.7	70.6	99.0	79.1	55.9	943.7	959.5	(15.8)	-1.6%
Interest Earnings	44.0	38.8	44.9	36.0	39.4	35.8	36.9	34.2	27.9	27.2	32.8	32.7	430.6	344.4	86.2	25.0%
Receipts from Public Authorities:																
Bond Proceeds	200.8	2.8	146.4	155.3	79.2	499.1	1,588.2	23.6	328.3	519.4	11.9	1,853.7	5,408.7	6,516.0	(1,107.3)	-17.0%
Cost Recovery Assessments	-	-	-	-	5.1	28.1	13.5	-	6.0	(12.2)	3.0	(1.4)	42.1	41.1	1.0	2.4%
Issuance Fees	2.0	1.0	15.1	7.0	-	0.8	14.8	3.5	9.5	29.8	-	5.9	89.4	95.2	(5.8)	-6.1%
Non Bond Related	4.2	4.8	4.7	13.4	-	26.7	24.9	25.3	3.0	25.4	3.1	3.9	139.4	91.1	48.3	53.0%

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Receipts from Municipalities	26.4	3.2	6.6	4.4	2.6	6.1	4.6	2.3	6.0	3.4	3.5	35.6	104.7	344.2	(239.5)	-69.6%
Rentals	40.2	29.1	9.1	30.2	2.6	1.4	66.4	24.9	23.5	49.3	87.6	41.3	405.6	453.1	(47.5)	-10.5%
Revenues of State Departments:																
Administrative Recoveries	1.9	2.8	24.7	17.7	4.6	21.9	26.7	27.6	32.0	15.9	32.3	20.7	228.8	223.8	5.0	2.2%
Commissions	0.6	0.6	0.6	0.6	0.2	0.8	0.7	0.8	82.3	(66.9)	7.3	(0.1)	27.5	15.3	12.2	79.7%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	468.0	-	-	468.0	1,068.0	(600.0)	-56.2%
Gifts, Grants and Donations	10.9	1.5	3.1	0.9	0.9	0.3	3.7	2.0	3.1	0.9	14.9	1.1	43.3	114.4	(71.1)	-62.2%
Indirect Cost Recoveries	5.6	5.2	8.7	8.3	7.2	5.8	7.0	6.2	6.6	9.9	8.4	11.9	90.8	97.6	(6.8)	-7.0%
Patient/Client Care Reimbursement	239.4	194.9	198.1	240.8	208.3	264.5	208.1	183.5	247.1	135.4	340.6	189.7	2,650.4	2,496.8	153.6	6.2%
Rebates	12.3	11.5	14.7	22.1	11.7	12.1	17.7	14.5	11.5	13.3	12.2	14.1	167.7	160.8	6.9	4.3%
Restitution and Settlements	7.8	1.7	1.4	1.1	6.1	0.6	3.0	3.9	12.2	25.6	0.9	(26.8)	37.5	200.6	(163.1)	-81.3%
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9	2.3	2.2	10.8	2.5	3.8	5.9	66.7	90.6	(23.9)	-26.4%
All Other	52.9	56.0	47.1	57.8	56.7	82.5	60.8	44.2	45.7	60.1	68.9	51.3	684.0	533.3	150.7	28.3%
Sales	5.2	0.8	1.2	2.7	1.7	2.5	0.9	1.7	1.4	1.5	1.5	2.6	23.7	26.1	(2.4)	-9.2%
Tuition	52.0	48.1	34.9	45.0	240.0	309.9	137.5	37.5	14.1	261.8	352.6	7.9	1,541.3	1,726.1	(184.8)	-10.7%
Total Miscellaneous Receipts	**2,659.7**	**1,871.3**	**1,971.5**	**1,968.6**	**1,834.4**	**2,761.9**	**3,594.3**	**1,737.3**	**2,145.4**	**2,945.7**	**2,205.7**	**3,770.0**	**29,465.8**	**31,184.7**	**(1,718.9)**	**-5.5%**
Federal Receipts	6,242.0	4,959.2	5,803.8	3,275.4	6,082.3	5,663.8	5,708.8	5,394.3	6,605.8	5,728.8	5,138.9	4,476.9	65,080.0	61,344.3	3,735.7	6.1%
Total Receipts	**20,463.1**	**10,716.0**	**16,419.8**	**10,554.7**	**12,478.1**	**16,863.9**	**13,744.8**	**11,386.8**	**16,767.2**	**19,561.4**	**12,522.4**	**15,956.7**	**177,434.9**	**168,106.4**	**9,328.5**	**5.5%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,263.9	4,574.0	2,811.5	1,910.2	925.1	5,084.1	1,451.3	2,138.2	2,784.8	3,365.8	1,244.9	10,292.8	37,846.6	37,838.7	7.9	0.0%
Environment and Recreation	25.4	33.7	2.7	14.9	14.4	18.9	15.6	12.2	14.2	233.3	15.8	25.0	426.1	437.2	(11.1)	-2.5%
General Government	60.3	159.7	734.4	63.0	87.5	132.4	163.7	72.1	384.8	87.9	81.2	145.0	2,172.0	2,256.6	(84.6)	-3.7%
Public Health:																
Medicaid	6,412.0	6,151.7	4,857.9	4,905.7	5,506.5	5,060.5	5,679.8	5,947.1	4,996.3	6,153.4	5,117.9	2,701.7	63,490.5	59,753.1	3,737.4	6.3%
Other Public Health	724.4	682.4	1,162.1	802.3	782.4	954.2	803.0	591.2	1,049.0	825.6	701.3	1,370.8	10,448.7	10,375.1	73.6	0.7%
Public Safety	156.9	178.2	70.6	87.9	99.6	103.7	169.4	217.2	133.1	239.2	202.9	195.0	1,853.7	1,593.5	260.2	16.3%
Public Welfare	240.1	215.8	537.8	452.8	374.1	706.5	1,491.0	1,057.6	247.2	672.8	487.1	1,110.1	7,592.9	8,076.5	(483.6)	-6.0%
Support and Regulate Business	34.1	164.8	204.6	67.8	67.7	74.4	60.8	66.7	121.6	36.4	132.1	83.3	1,114.3	1,328.0	(213.7)	-16.1%
Transportation	305.0	477.1	354.6	335.4	438.0	839.1	364.1	540.4	1,027.2	120.7	189.3	182.2	5,173.1	5,773.1	(600.0)	-10.4%
Total Local Assistance Grants	**9,222.1**	**12,637.4**	**10,736.2**	**8,640.0**	**8,295.3**	**12,973.8**	**10,198.7**	**10,642.7**	**10,758.2**	**11,735.1**	**8,172.5**	**16,105.9**	**130,117.9**	**127,431.8**	**2,686.1**	**2.1%**
Departmental Operations:																
Personal Service	1,139.8	1,717.6	1,127.7	1,191.0	1,253.3	1,115.1	1,440.2	1,129.8	1,145.1	1,241.7	1,119.3	1,105.9	14,726.5	14,324.7	401.8	2.8%
Non-Personal Service	474.1	576.3	515.9	519.5	578.2	593.0	647.4	514.4	524.7	683.3	655.9	1,194.4	7,477.1	6,764.0	713.1	10.5%
General State Charges	813.6	2,460.6	454.6	499.0	543.0	572.7	665.9	565.7	539.3	566.5	508.5	567.4	8,756.8	8,624.7	132.1	1.5%
Debt Service, Including Payments on Financing Agreements	72.4	121.1	230.3	45.1	74.5	433.2	48.5	74.9	412.2	44.6	719.9	2,639.4	4,916.1	6,698.6	(1,782.5)	-26.6%
Capital Projects	434.1	528.1	536.5	600.7	750.3	582.6	710.7	705.0	577.0	551.8	476.4	532.1	6,985.3	7,031.2	(45.9)	-0.7%
Total Disbursements	**12,156.1**	**18,041.1**	**13,601.2**	**11,495.3**	**11,494.6**	**16,270.4**	**13,711.4**	**13,632.5**	**13,956.5**	**14,823.0**	**11,652.5**	**22,145.1**	**172,979.7**	**170,875.0**	**2,104.7**	**1.2%**
Excess (Deficiency) of Receipts over Disbursements	**8,307.0**	**(7,325.1)**	**2,818.6**	**(940.6)**	**983.5**	**593.5**	**33.4**	**(2,245.7)**	**2,810.7**	**4,738.4**	**869.9**	**(6,188.4)**	**4,455.2**	**(2,768.6)**	**7,223.8**	**260.9%**
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	132.9	(132.9)	-100.0%
Transfers from Other Funds	6,203.6	2,681.3	4,839.5	3,268.8	2,912.1	4,741.3	1,641.5	2,363.8	4,008.5	4,969.2	2,332.5	5,502.6	45,464.7	38,730.2	6,734.5	17.4%
Transfers to Other Funds	(6,217.9)	(2,698.8)	(4,854.6)	(3,281.7)	(2,930.1)	(4,765.2)	(1,645.1)	(2,367.2)	(4,009.8)	(4,979.9)	(2,337.3)	(5,522.5)	(45,610.1)	(38,868.5)	6,741.6	17.3%
Total Other Financing Sources (Uses)	**(14.3)**	**(17.5)**	**(15.1)**	**(12.9)**	**(18.0)**	**(23.9)**	**(3.6)**	**(3.4)**	**(1.3)**	**(10.7)**	**(4.8)**	**(19.9)**	**(145.4)**	**(5.4)**	**(140.0)**	**-2,592.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**8,292.7**	**(7,342.6)**	**2,803.5**	**(953.5)**	**965.5**	**569.6**	**29.8**	**(2,249.1)**	**2,809.4**	**4,727.7**	**865.1**	**(6,208.3)**	**4,309.8**	**(2,774.0)**	**7,083.8**	**255.4%**
Ending Fund Balance	**$ 18,267.7**	**$ 10,925.1**	**$ 13,728.6**	**$ 12,775.1**	**$ 13,740.6**	**$ 14,310.2**	**$ 14,340.0**	**$ 12,090.9**	**$ 14,900.3**	**$ 19,628.0**	**$ 20,493.1**	**$ 14,284.8**	**$ 14,284.8**	**$ 9,975.0**	**$ 4,309.8**	**43.2%**

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2019-2020
(amounts in millions)

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 12,361.3**	**$ 18,718.2**	**$ 12,243.5**	**$ 14,049.7**	**$ 14,506.1**	**$ 14,735.4**	**$ 14,633.6**	**$ 14,770.1**	**$ 12,595.0**	**$ 14,023.2**	**$ 19,009.1**	**$ 20,011.2**	**$ 12,361.3**	**$ 13,606.6**	**$ (1,245.3)**	**-9.2%**
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,237.1	3,220.1	2,922.3	3,365.8	2,933.7	2,851.1	3,270.6	2,981.8	4,054.3	5,045.6	4,686.6	4,549.3	43,118.3	41,084.1	2,034.2	5.0%
Estimated Payments	6,843.2	112.6	2,396.4	118.7	98.7	2,742.0	170.9	93.5	379.8	3,842.2	101.8	125.2	17,025.0	14,009.9	3,015.1	21.5%
Returns	2,286.9	75.1	54.1	40.8	45.1	65.5	564.2	42.5	24.6	25.7	63.3	194.3	3,482.1	2,748.3	733.8	26.7%
State/City Offsets	(296.9)	(31.1)	(25.9)	(21.0)	(20.9)	(47.2)	(475.4)	(59.5)	(17.7)	(9.6)	(40.7)	(71.4)	(1,117.3)	(1,135.3)	(18.0)	-1.6%
Other (Assessments/LLC)	170.3	105.2	96.2	89.3	95.7	91.7	104.3	121.6	134.6	87.2	116.6	144.7	1,357.4	1,332.8	24.6	1.8%
Gross Receipts	**12,240.6**	**3,481.9**	**5,443.1**	**3,593.6**	**3,152.3**	**5,703.1**	**3,634.6**	**3,179.9**	**4,575.6**	**8,991.1**	**4,927.6**	**4,942.1**	**63,865.5**	**58,039.8**	**5,825.7**	**10.0%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Refunds Issued	(3,025.2)	(997.3)	(233.2)	(262.0)	(243.8)	(871.7)	(1,056.2)	(710.5)	(287.6)	(91.9)	(1,230.8)	(1,195.9)	(10,206.1)	(9,952.4)	253.7	2.5%
Total Personal Income Tax	**9,215.4**	**2,484.6**	**5,209.9**	**3,331.6**	**2,908.5**	**4,831.4**	**2,578.4**	**2,469.4**	**4,288.0**	**8,899.2**	**3,696.8**	**3,746.2**	**53,659.4**	**48,087.4**	**5,572.0**	**11.6%**
Consumption/Use Taxes:																
Sales and Use	1,201.6	1,178.8	1,589.5	1,238.2	1,243.6	1,628.2	1,238.3	1,250.6	1,586.8	1,360.9	1,118.7	1,296.8	15,932.0	15,127.5	804.5	5.3%
Auto Rental	-	-	-	-	-	7.3	-	-	5.6	-	-	6.7	19.6	49.0	(29.4)	-60.0%
Cigarette/Tobacco Products	89.2	85.2	83.8	106.8	92.2	87.7	93.8	84.1	96.2	79.3	65.8	71.1	1,035.2	1,107.7	(72.5)	-6.5%
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5	0.4	0.5	0.6	0.5	0.5	0.4	5.7	3.9	1.8	46.2%
Motor Fuel	9.6	9.4	9.5	8.8	10.1	9.1	10.0	8.6	8.5	8.9	7.6	8.1	108.2	111.0	(2.8)	-2.5%
Alcoholic Beverage	20.0	20.9	26.0	25.6	19.5	23.5	19.1	24.5	20.4	34.6	12.3	12.6	259.0	262.4	(3.4)	-1.3%
Highway Use	-	0.1	0.1	-	-	0.1	-	0.1	-	-	0.1	-	0.5	(1.6)	2.1	131.3%
Vapor Excise	-	-	-	-	-	-	-	-	-	-	-	10.4	10.4	-	10.4	100.0%
Opioid Excise	-	-	-	-	-	-	-	-	-	16.9	2.5	-	19.4	-	19.4	100.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	-	-	-	51.7	(51.7)	-100.0%
Total Consumption/Use Taxes	**1,320.9**	**1,294.8**	**1,709.4**	**1,379.9**	**1,365.8**	**1,756.4**	**1,361.6**	**1,368.4**	**1,718.1**	**1,501.1**	**1,207.5**	**1,406.1**	**17,390.0**	**16,711.6**	**678.4**	**4.1%**
Business Taxes:																
Corporation Franchise	376.7	(69.0)	901.2	146.3	-	941.3	98.8	108.9	1,030.6	153.8	(70.2)	1,205.9	4,824.3	4,296.6	527.7	12.3%
Corporation and Utilities	39.7	1.3	107.6	0.4	(1.7)	137.9	2.8	(0.7)	116.7	(1.3)	0.9	286.6	690.2	657.2	33.0	5.0%
Insurance	141.8	5.0	403.2	56.8	(1.5)	436.8	1.4	25.3	457.8	1.4	0.2	777.7	2,305.9	1,836.8	469.1	25.5%
Bank	145.3	(121.1)	(21.1)	(0.2)	(0.3)	(1.4)	(3.1)	(41.8)	13.8	(0.4)	24.9	5.5	0.1	(59.0)	59.1	100.2%
Petroleum Business	44.1	43.9	45.4	41.3	47.0	43.9	47.2	40.8	39.8	43.1	35.0	37.4	508.9	510.8	(1.9)	-0.4%
Total Business Taxes	**747.6**	**(139.9)**	**1,436.3**	**244.6**	**43.5**	**1,558.5**	**147.1**	**132.5**	**1,658.7**	**196.6**	**(9.2)**	**2,313.1**	**8,329.4**	**7,242.4**	**1,087.0**	**15.0%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	79.7	57.3	62.2	124.7	41.8	53.8	163.2	87.2	143.6	93.5	115.5	47.7	1,070.2	1,068.3	1.9	0.2%
Pari-Mutuel	0.9	1.1	1.6	1.2	2.2	2.1	1.0	1.1	1.0	0.7	0.9	0.1	13.9	15.4	(1.5)	-9.7%
Real Estate Transfer	82.9	86.0	86.7	118.9	78.8	85.3	73.2	87.8	74.2	82.9	72.3	75.7	1,004.7	1,016.2	(11.5)	-1.1%
Racing and Exhibitions	0.2	0.1	0.3	0.2	0.1	-	0.4	0.6	0.1	(0.1)	0.1	-	2.0	2.6	(0.6)	-23.1%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Employer Compensation Expense Tax	0.1	0.1	-	0.2	0.2	0.1	0.2	0.1	0.4	0.5	(0.1)	0.2	2.0	-	2.0	100.0%
Total Other Taxes	**163.8**	**144.6**	**150.8**	**245.2**	**123.1**	**141.3**	**238.0**	**176.8**	**219.3**	**177.5**	**188.7**	**123.7**	**2,092.8**	**2,102.5**	**(9.7)**	**-0.5%**
Total Taxes	**11,447.7**	**3,784.1**	**8,506.4**	**5,201.3**	**4,440.9**	**8,287.6**	**4,325.1**	**4,147.1**	**7,884.1**	**10,774.4**	**5,083.8**	**7,589.1**	**81,471.6**	**74,143.9**	**7,327.7**	**9.9%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	2.0	1.2	0.9	1.2	5.6	31.0	36.2	215.9	1.1	0.9	25.5	140.8	462.3	505.0	(42.7)	-8.5%
Bottle Bill	0.2	0.3	8.2	0.3	0.1	39.1	0.3	0.3	23.2	0.6	0.1	21.7	94.4	97.8	(3.4)	-3.5%
Assessments:																
Business	66.4	33.6	94.2	49.2	43.9	68.3	68.1	28.8	72.6	91.8	38.3	76.1	731.3	682.8	48.5	7.1%
Medical Care	625.7	523.9	509.0	571.3	518.5	544.1	531.2	472.5	529.4	578.0	535.2	465.3	6,404.1	6,007.7	396.4	6.6%
Public Utilities	5.1	-	0.7	-	0.9	44.2	(6.0)	(1.7)	0.8	-	11.0	35.7	90.7	85.4	5.3	6.2%
Other	-	0.2	0.1	-	0.2	-	-	0.1	0.1	0.2	0.1	0.1	1.1	2.8	(1.7)	-60.7%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.7	6.0	5.4	6.0	6.9	7.0	7.4	7.2	5.5	5.7	5.2	5.0	73.0	73.9	(0.9)	-1.2%
Audit Fees	-	0.8	1.5	-	0.1	0.2	-	-	-	-	-	-	2.6	2.1	0.5	23.8%
Business/Professional	59.7	55.0	127.8	62.5	36.2	130.7	79.7	69.8	107.9	88.2	41.1	119.0	977.6	910.8	66.8	7.3%
Civil	28.6	18.0	22.9	22.6	17.8	34.5	20.5	22.2	33.4	16.0	29.8	33.3	299.6	293.6	6.0	2.0%
Criminal	0.5	0.5	1.2	0.2	0.6	1.3	0.5	0.4	1.0	1.2	0.2	1.7	9.3	10.9	(1.6)	-14.7%
Motor Vehicle	59.2	61.5	40.3	59.0	52.7	34.2	59.1	35.9	63.7	63.5	40.9	70.8	640.8	818.7	(177.9)	-21.7%
Recreational/Consumer	55.2	47.1	67.3	67.5	95.4	131.3	57.9	72.6	62.5	50.1	131.7	63.5	902.1	771.3	130.8	17.0%
Fines, Penalties and Forfeitures	625.7	221.8	133.2	48.7	29.5	36.5	85.9	32.3	42.7	34.7	18.2	44.7	1,353.9	1,505.3	(151.4)	-10.1%
Gaming:																
Casino	31.4	18.8	19.7	37.7	15.3	20.0	36.8	13.3	17.6	35.7	15.2	11.0	272.5	256.4	16.1	6.3%
Lottery	218.4	262.0	202.3	225.7	170.2	176.3	220.1	177.8	180.0	221.5	170.2	308.7	2,533.2	2,551.2	(18.0)	-0.7%
Video Lottery	76.6	88.5	63.2	89.5	76.5	77.5	94.6	72.7	70.6	99.0	79.1	55.9	943.7	959.5	(15.8)	-1.6%
Interest Earnings	41.4	35.0	41.6	32.9	35.3	32.2	33.8	30.4	25.0	24.1	28.1	29.0	388.8	315.6	73.2	23.2%
Receipts from Public Authorities:																

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2019-2020
(amounts in millions)

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	22.0	(22.0)	-100.0%
Cost Recovery Assessments	-	-	-	-	5.1	28.1	13.5	-	6.0	(12.2)	3.0	(1.4)	42.1	41.1	1.0	2.4%
Issuance Fees	2.0	1.0	15.1	7.0	-	0.8	14.8	3.5	9.5	29.8	-	5.9	89.4	95.2	(5.8)	-6.1%
Non Bond Related	0.7	4.7	4.3	13.5	-	26.7	4.5	-	2.6	23.1	2.2	2.8	85.1	85.3	(0.2)	-0.2%
Receipts from Municipalities	26.1	3.2	6.3	4.4	2.3	5.9	4.4	1.9	5.9	2.1	2.8	35.3	100.6	343.0	(242.4)	-70.7%
Rentals	39.3	28.3	8.6	29.6	1.0	0.7	65.7	24.1	23.1	48.2	87.4	38.7	394.7	440.3	(45.6)	-10.4%
Revenues of State Departments:																
Administrative Recoveries	1.9	2.8	24.7	17.7	4.6	21.9	26.7	27.6	32.0	15.9	32.3	20.7	228.8	223.8	5.0	2.2%
Commissions	0.6	0.6	0.6	0.6	0.2	0.8	0.7	0.8	82.3	(66.9)	7.3	(0.1)	27.5	15.3	12.2	79.7%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	468.0	-	-	468.0	1,068.0	(600.0)	-56.2%
Gifts, Grants and Donations	0.8	1.0	2.8	0.3	0.6	-	0.3	0.3	0.3	0.5	0.7	1.2	8.8	108.4	(99.6)	-91.9%
Indirect Cost Recoveries	6.5	5.2	8.7	8.3	7.2	5.8	7.0	6.2	6.6	9.9	8.4	8.4	88.2	93.2	(5.0)	-5.4%
Patient/Client Care Reimbursement	239.4	194.9	198.1	240.8	208.3	264.5	208.1	183.5	247.1	135.4	340.6	189.7	2,650.4	2,496.8	153.6	6.2%
Rebates	4.6	2.7	6.1	13.4	3.6	3.8	9.6	6.4	3.2	5.3	4.0	4.7	67.4	57.1	10.3	18.0%
Restitution and Settlements	7.6	1.2	1.0	1.0	5.9	0.5	0.5	3.6	5.7	24.4	0.8	(27.3)	24.9	190.6	(165.7)	-86.9%
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9	2.3	2.2	10.8	2.5	3.8	5.9	66.7	90.6	(23.9)	-26.4%
All Other	49.0	55.2	45.8	43.3	44.3	77.6	56.3	39.8	40.0	53.2	64.6	48.2	617.3	514.5	102.8	20.0%
Sales	1.0	0.8	1.2	2.7	1.7	2.5	0.8	1.5	1.3	1.4	1.3	1.6	17.8	23.5	(5.7)	-24.3%
Tuition	52.0	48.1	34.9	45.0	240.0	309.9	137.5	37.5	14.1	261.8	352.6	7.9	1,541.3	1,726.1	(184.8)	-10.7%
Total Miscellaneous Receipts	**2,340.8**	**1,727.0**	**1,706.2**	**1,709.4**	**1,632.2**	**2,168.8**	**1,878.8**	**1,589.4**	**1,727.6**	**2,313.6**	**2,081.7**	**1,824.5**	**22,700.0**	**23,485.6**	**(785.6)**	**-3.3%**
Federal Receipts	-	-	(0.9)	1.7	53.6	0.3	0.1	0.2	-	(25.3)	35.6	(4.1)	61.2	72.6	(11.4)	-15.7%
Total Receipts	**13,788.5**	**5,511.1**	**10,211.7**	**6,912.4**	**6,126.7**	**10,456.7**	**6,204.0**	**5,736.7**	**9,611.7**	**13,062.7**	**7,201.1**	**9,409.5**	**104,232.8**	**97,702.1**	**6,530.7**	**6.7%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	917.2	3,980.2	2,539.1	1,611.6	680.8	4,854.3	1,230.2	1,890.8	2,433.9	3,045.8	983.8	9,974.6	34,142.3	33,845.4	296.9	0.9%
Environment and Recreation	0.2	0.1	0.6	(0.1)	0.3	1.0	0.7	0.3	0.7	0.7	2.3	1.0	7.8	7.9	(0.1)	-1.3%
General Government	27.1	34.4	576.7	22.4	58.0	71.2	33.2	51.1	232.4	30.8	53.2	77.4	1,267.9	1,180.8	87.1	7.4%
Public Health:																
Medicaid	3,295.5	2,470.5	1,759.2	1,903.0	1,759.5	1,915.8	2,120.2	2,341.5	1,734.4	2,245.7	1,686.7	9.2	23,241.2	20,372.0	2,869.2	14.1%
Other Public Health	210.3	153.0	440.7	261.2	276.9	267.2	277.2	143.1	382.9	223.6	162.0	792.9	3,591.0	3,436.7	154.3	4.5%
Public Safety	30.7	27.8	28.6	28.0	33.0	41.8	28.5	22.5	34.2	(19.8)	66.8	41.5	363.6	379.2	(15.6)	-4.1%
Public Welfare	88.7	85.7	181.0	128.9	180.7	170.0	424.8	460.9	119.2	171.1	197.4	113.9	2,322.3	2,783.5	(461.2)	-16.6%
Support and Regulate Business	7.1	14.4	7.0	27.8	24.5	11.7	22.4	21.0	19.0	4.7	30.6	37.1	227.3	233.1	(5.8)	-2.5%
Transportation	68.3	426.6	279.4	274.3	388.4	327.1	279.8	498.9	739.5	62.5	102.5	41.0	3,488.3	3,938.2	(449.9)	-11.4%
Total Local Assistance Grants	**4,645.1**	**7,192.7**	**5,812.3**	**4,257.1**	**3,402.1**	**7,660.1**	**4,417.0**	**5,430.1**	**5,696.2**	**5,765.1**	**3,285.3**	**11,088.6**	**68,651.7**	**66,176.8**	**2,474.9**	**3.7%**
Departmental Operations:																
Personal Service	1,090.5	1,651.8	1,072.6	1,146.7	1,205.6	1,064.2	1,364.4	1,083.1	1,092.2	1,193.1	1,068.5	1,057.0	14,089.7	13,687.3	402.4	2.9%
Non-Personal Service	404.0	500.0	419.5	437.9	472.7	406.6	514.3	431.6	399.2	540.4	504.9	1,047.1	6,078.2	5,370.2	708.0	13.2%
General State Charges	792.2	2,436.5	420.6	463.4	518.5	548.2	639.9	539.5	513.8	529.8	479.5	541.3	8,423.2	8,203.8	219.4	2.7%
Debt Service, Including Payments on Financing Agreements	72.4	121.1	230.3	45.1	74.5	433.2	48.5	74.9	412.2	44.6	719.9	2,639.4	4,916.1	6,698.6	(1,782.5)	-26.6%
Capital Projects	-	-	0.1	-	(0.1)	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	**7,004.2**	**11,902.1**	**7,955.4**	**6,350.2**	**5,673.3**	**10,112.3**	**6,984.1**	**7,559.2**	**8,113.6**	**8,073.0**	**6,058.1**	**16,373.4**	**102,158.9**	**100,136.7**	**2,022.2**	**2.0%**
Excess (Deficiency) of Receipts over Disbursements	**6,784.3**	**(6,391.0)**	**2,256.3**	**562.2**	**453.4**	**344.4**	**(780.1)**	**(1,822.5)**	**1,498.1**	**4,989.7**	**1,143.0**	**(6,963.9)**	**2,073.9**	**(2,434.6)**	**4,508.5**	**185.2%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	5,706.6	2,417.0	4,298.6	3,019.8	2,505.3	4,021.1	2,373.2	1,883.8	3,514.4	4,658.8	1,984.2	6,045.7	42,428.5	37,127.6	5,300.9	14.3%
Transfers to Other Funds (**)	(6,134.0)	(2,500.7)	(4,748.7)	(3,125.6)	(2,729.4)	(4,467.3)	(1,456.6)	(2,236.4)	(3,584.3)	(4,662.6)	(2,125.1)	(4,684.7)	(42,455.4)	(35,938.3)	6,517.1	18.1%
Total Other Financing Sources (Uses)	**(427.4)**	**(83.7)**	**(450.1)**	**(105.8)**	**(224.1)**	**(446.2)**	**916.6**	**(352.6)**	**(69.9)**	**(3.8)**	**(140.9)**	**1,361.0**	**(26.9)**	**1,189.3**	**(1,216.2)**	**-102.3%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**6,356.9**	**(6,474.7)**	**1,806.2**	**456.4**	**229.3**	**(101.8)**	**136.5**	**(2,175.1)**	**1,428.2**	**4,985.9**	**1,002.1**	**(5,602.9)**	**2,047.0**	**(1,245.3)**	**3,292.3**	**264.4%**
Ending Fund Balance	**$ 18,718.2**	**$ 12,243.5**	**$ 14,049.7**	**$ 14,506.1**	**$ 14,735.4**	**$ 14,633.6**	**$ 14,770.1**	**$ 12,595.0**	**$ 14,023.2**	**$ 19,009.1**	**$ 20,011.2**	**$ 14,408.3**	**$ 14,408.3**	**$ 12,361.3**	**$ 2,047.0**	**16.6%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT F

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 7,205.7**	**$ 11,968.7**	**$ 5,221.6**	**$ 6,593.0**	**$ 6,614.3**	**$ 6,886.4**	**$ 9,049.8**	**$ 8,815.2**	**$ 6,571.4**	**$ 8,179.7**	**$ 10,818.0**	**$ 10,963.3**	**$ 7,205.7**	**$ 9,445.0**	**$ (2,239.3)**	**-23.7%**
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,237.1	3,220.1	2,922.3	3,365.8	2,933.7	2,851.1	3,270.6	2,981.8	4,054.3	5,045.6	4,686.6	4,549.3	43,118.3	41,084.1	2,034.2	5.0%
Estimated Payments	6,843.2	112.6	2,396.4	118.7	98.7	2,742.0	170.9	93.5	379.8	3,842.2	101.8	125.2	17,025.0	14,009.9	3,015.1	21.5%
Returns	2,286.9	75.1	54.1	40.8	45.1	65.5	564.2	42.5	24.6	25.7	63.3	194.3	3,482.1	2,748.3	733.8	26.7%
State/City Offsets	(296.9)	(31.1)	(25.9)	(21.0)	(20.9)	(47.2)	(475.4)	(59.5)	(17.7)	(9.6)	(40.7)	(71.4)	(1,117.3)	(1,135.3)	(18.0)	-1.6%
Other (Assessments/LLC)	170.3	105.2	96.2	89.3	95.7	91.7	104.3	121.6	134.6	97.2	116.6	144.7	1,357.4	1,332.8	24.6	1.8%
Gross Receipts	**12,240.6**	**3,481.9**	**5,443.1**	**3,593.6**	**3,152.3**	**5,703.1**	**3,634.6**	**3,179.9**	**4,575.6**	**8,991.1**	**4,927.6**	**4,942.1**	**63,865.5**	**58,039.8**	**5,825.7**	**10.0%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-	(0.2)	(3.0)	(38.5)	(2,107.4)	-	(34.6)	(2,183.7)	(2,423.1)	(239.4)	-9.9%
Transfers to Revenue Bond Tax Fund	(4,607.7)	(1,242.3)	(2,604.9)	(1,665.8)	(1,454.3)	(2,415.7)	(1,289.2)	(1,234.7)	(2,144.0)	(4,449.6)	(1,848.4)	(1,873.1)	(26,829.7)	(24,043.7)	2,786.0	11.6%
Refunds Issued	(3,025.2)	(997.3)	(233.2)	(262.0)	(243.8)	(871.7)	(1,056.2)	(710.5)	(287.6)	(91.9)	(1,230.8)	(1,195.9)	(10,206.1)	(9,952.4)	253.7	2.5%
Total Personal Income Tax	**4,607.7**	**1,242.3**	**2,605.0**	**1,665.8**	**1,454.2**	**2,415.7**	**1,289.0**	**1,231.7**	**2,105.5**	**2,342.2**	**1,848.4**	**1,838.5**	**24,646.0**	**21,620.6**	**3,025.4**	**14.0%**
Consumption/Use Taxes:																
Sales and Use	539.3	551.4	743.5	579.8	581.8	761.9	579.0	584.5	742.1	635.5	522.4	625.2	7,446.4	7,090.8	355.6	5.0%
Auto Rental	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cigarette/Tobacco Products	26.0	25.8	26.5	31.4	28.3	28.3	28.3	26.3	27.3	24.0	20.5	20.3	313.0	327.5	(14.5)	-4.4%
Motor Fuel	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Alcoholic Beverage	20.0	20.9	26.0	25.6	19.5	23.5	19.1	24.5	20.4	34.6	12.3	12.6	259.0	262.4	(3.4)	-1.3%
Highway Use	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Vapor Excise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Opioid Excise	-	-	-	-	-	-	-	-	-	16.9	2.5	-	19.4	-	19.4	100.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Consumption/Use Taxes	**585.3**	**598.1**	**796.0**	**636.8**	**629.6**	**813.7**	**626.4**	**635.3**	**789.8**	**711.0**	**557.7**	**658.1**	**8,037.8**	**7,680.7**	**357.1**	**4.6%**
Business Taxes:																
Corporation Franchise	274.1	(75.0)	725.8	109.4	(21.4)	791.3	71.5	69.7	855.7	122.7	(122.7)	990.0	3,791.1	3,409.7	381.4	11.2%
Corporation and Utilities	16.3	1.8	84.1	0.2	(0.8)	105.5	2.0	(1.9)	87.1	(1.0)	0.7	224.2	518.2	495.3	22.9	4.6%
Insurance	127.4	(3.6)	354.7	50.9	2.3	387.8	2.7	25.6	395.1	2.3	0.8	706.6	2,052.6	1,637.7	414.9	25.3%
Bank	125.4	(104.7)	(21.5)	(0.3)	(0.2)	(1.0)	(2.9)	(36.6)	13.9	(0.5)	19.6	16.7	7.9	(41.4)	49.3	119.1%
Petroleum Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Business Taxes	**543.2**	**(181.5)**	**1,143.1**	**160.2**	**(20.1)**	**1,283.6**	**73.3**	**56.8**	**1,351.8**	**123.5**	**(101.6)**	**1,937.5**	**6,369.8**	**5,501.3**	**868.5**	**15.8%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	79.7	57.3	62.2	124.7	41.8	53.8	163.2	87.2	143.6	93.5	115.5	47.7	1,070.2	1,068.3	1.9	0.2%
Pari-Mutuel	0.9	1.1	1.6	1.2	2.2	2.1	1.0	1.1	1.0	0.7	0.9	0.1	13.9	15.4	(1.5)	-9.7%
Real Estate Transfer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Racing and Exhibitions	0.2	0.1	0.3	0.2	0.1	-	0.4	0.6	0.1	(0.1)	0.1	-	2.0	2.6	(0.6)	-23.1%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Employer Compensation Expense Tax	0.1	-	-	0.1	0.1	-	0.1	0.1	0.2	0.2	-	0.1	1.0	-	1.0	100.0%
Total Other Taxes	**80.9**	**58.5**	**64.1**	**126.2**	**44.2**	**55.9**	**164.7**	**89.0**	**144.9**	**94.3**	**116.5**	**47.9**	**1,087.1**	**1,086.3**	**0.8**	**0.1%**
Total Taxes	**5,817.1**	**1,717.4**	**4,608.2**	**2,589.0**	**2,107.9**	**4,568.9**	**2,153.4**	**2,012.8**	**4,392.0**	**3,271.0**	**2,421.0**	**4,482.0**	**40,140.7**	**35,888.9**	**4,251.8**	**11.8%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.9	0.1	-	0.3	4.8	30.2	35.0	215.0	-	-	24.0	139.4	449.7	493.6	(43.9)	-8.9%
Bottle Bill	0.2	0.3	8.2	0.3	0.1	39.1	0.3	0.3	23.2	0.6	0.1	21.7	94.4	97.8	(3.4)	-3.5%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Medical Care	-	4.2	4.3	3.8	2.6	1.9	2.5	3.4	7.0	4.5	3.7	7.5	45.4	40.9	4.5	11.0%
Public Utilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other	-	0.1	0.1	-	0.1	-	-	0.1	-	0.1	0.1	0.1	0.7	0.8	(0.1)	-12.5%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.7	6.0	5.4	6.0	6.9	7.0	7.4	7.2	5.5	5.7	5.2	5.0	73.0	73.9	(0.9)	-1.2%
Audit Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Business/Professional	11.1	18.1	29.8	15.0	3.4	35.1	30.3	16.2	30.8	41.4	4.7	27.7	263.6	214.7	48.9	22.8%
Civil	25.0	13.4	17.8	18.4	15.1	26.7	15.7	17.1	28.1	11.5	24.7	29.7	243.2	237.9	5.3	2.2%
Criminal	0.2	0.1	0.1	0.2	0.2	0.1	0.1	0.1	0.2	0.1	0.2	0.2	1.8	1.7	0.1	5.9%
Motor Vehicle	33.2	34.9	11.6	35.5	24.4	24.2	33.8	10.1	40.8	28.4	18.2	47.2	342.3	317.2	25.1	7.9%
Recreational/Consumer	1.2	1.5	1.9	1.6	1.4	2.1	1.3	1.5	1.8	0.8	2.4	1.7	19.2	17.4	1.8	10.3%
Fines, Penalties and Forfeitures	618.1	154.6	26.5	43.9	19.7	28.7	72.0	24.2	24.6	28.3	14.1	33.8	1,088.5	1,346.8	(258.3)	-19.2%
Interest Earnings	21.8	16.8	19.8	13.1	13.9	12.8	14.0	12.6	11.0	9.5	13.2	15.8	174.3	134.4	39.9	29.7%
Receipts from Public Authorities:																
Cost Recovery Assessments	-	-	-	-	5.1	5.0	7.9	-	2.1	-	0.4	1.2	21.7	20.7	1.0	4.8%
Issuance Fees	-	-	10.9	7.0	-	0.8	14.8	3.5	9.5	29.8	-	5.9	82.2	88.0	(5.8)	-6.6%
Non Bond Related	0.1	-	-	-	-	25.0	0.1	-	-	-	-	0.2	25.4	25.1	0.3	1.2%
Receipts from Municipalities	16.7	-	-	-	-	-	0.1	-	-	-	-	-	16.8	200.1	(183.3)	-91.6%
Rentals	0.3	0.1	0.2	0.3	0.1	0.2	0.2	(0.4)	0.7	0.1	0.3	0.1	2.2	6.2	(4.0)	-64.5%
Revenues of State Departments:																
Administrative Recoveries	0.5	1.0	20.1	0.1	0.3	18.2	0.5	0.3	16.1	0.1	0.1	18.3	75.6	73.1	2.5	3.4%
Commissions	0.1	0.2	-	0.2	(0.2)	-	-	0.1	-	0.5	-	(0.5)	0.4	-	0.4	100.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	0.3	(0.3)	-100.0%
Indirect Cost Recoveries	5.6	5.2	8.7	8.3	7.2	5.8	7.0	6.2	6.6	9.9	8.4	7.6	86.5	93.1	(6.6)	-7.1%
Patient/Client Care Reimbursement	(7.3)	2.7	5.7	(70.7)	59.9	5.6	(16.8)	2.7	7.9	(102.6)	79.8	(10.7)	(43.8)	(52.3)	8.5	16.3%
Rebates	(0.6)	1.7	(0.6)	(0.2)	2.5	-	-	2.6	(0.9)	(0.1)	2.0	-	6.4	2.6	3.8	146.2%

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT F

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Restitution and Settlements	-	-	0.2	-	-	0.1	0.1	0.2	5.3	0.1	-	0.1	6.1	112.7	(106.6)	-94.6%
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	8.1	18.3	(11.6)	4.1	0.6	10.1	19.5	7.5	6.9	8.3	4.8	7.1	83.7	39.1	44.6	114.1%
Sales	0.1	-	(0.3)	0.2	-	-	-	-	-	-	-	-	-	0.3	(0.3)	-100.0%
Total Miscellaneous Receipts	**741.0**	**279.3**	**158.8**	**87.4**	**168.1**	**278.7**	**245.8**	**330.5**	**227.2**	**77.0**	**206.4**	**359.1**	**3,159.3**	**3,586.1**	**(426.8)**	**-11.9%**
Federal Receipts	-	-	-	-	0.1	0.2	0.1	0.1	-	(0.2)	-	-	0.3	0.1	0.2	200.0%
Total Receipts	**6,558.1**	**1,996.7**	**4,767.0**	**2,676.4**	**2,276.1**	**4,847.8**	**2,399.3**	**2,343.4**	**4,619.2**	**3,347.8**	**2,627.4**	**4,841.1**	**43,300.3**	**39,475.1**	**3,825.2**	**9.7%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	917.0	3,979.7	2,189.8	1,611.4	679.3	2,342.9	1,082.3	1,741.4	2,250.1	791.0	836.7	9,679.9	28,101.5	28,090.5	11.0	0.0%
Environment and Recreation	0.1	0.1	0.1	-	0.2	0.8	0.7	0.1	0.2	0.2	0.3	0.4	3.2	3.6	(0.4)	-11.1%
General Government	1.7	15.7	574.9	2.2	45.5	48.3	19.1	30.9	205.3	10.4	19.0	75.9	1,048.9	1,010.8	38.1	3.8%
Public Health:																
Medicaid	3,296.6	1,588.6	1,393.9	1,320.2	1,073.3	1,308.3	1,650.5	1,933.4	1,300.1	1,662.0	1,194.5	(154.9)	17,566.5	14,689.8	2,876.7	19.6%
Other Public Health	150.2	120.3	336.0	217.7	111.8	213.0	220.9	101.5	282.6	119.8	106.8	386.0	2,366.6	2,516.1	(149.5)	-5.9%
Public Safety	10.6	13.4	12.5	18.5	12.9	24.8	12.2	10.2	21.8	6.2	10.7	23.0	176.8	183.0	(6.2)	-3.4%
Public Welfare	87.8	84.9	180.5	128.4	179.8	170.7	424.4	459.7	119.6	171.5	197.3	114.2	2,318.8	2,779.0	(460.2)	-16.6%
Support and Regulate Business	6.0	7.7	5.7	22.0	21.1	7.3	20.9	7.1	13.0	3.5	22.1	34.4	170.8	168.7	2.1	1.2%
Transportation	-	23.9	14.1	0.2	23.9	(0.1)	-	24.1	11.4	-	12.5	0.2	110.2	303.8	(193.6)	-63.7%
Total Local Assistance Grants	**4,470.0**	**5,834.3**	**4,707.5**	**3,320.6**	**2,147.8**	**4,116.0**	**3,431.0**	**4,308.4**	**4,204.1**	**2,764.6**	**2,399.9**	**10,159.1**	**51,863.3**	**49,745.3**	**2,118.0**	**4.3%**
Departmental Operations:																
Personal Service	688.8	1,071.8	679.0	697.3	853.2	676.0	805.2	684.6	684.2	794.0	664.0	641.7	8,939.8	8,719.3	220.5	2.5%
Non-Personal Service	159.0	249.2	218.9	200.9	211.3	188.9	256.5	147.6	178.8	233.6	229.7	839.6	3,114.0	2,622.1	491.9	18.8%
General State Charges	728.2	2,349.3	364.9	384.8	422.6	477.0	573.4	409.8	408.7	447.4	415.0	472.6	7,453.7	7,138.6	315.1	4.4%
Total Disbursements	**6,046.0**	**9,504.6**	**5,970.3**	**4,603.6**	**3,634.9**	**5,457.9**	**5,066.1**	**5,550.4**	**5,475.8**	**4,239.6**	**3,708.6**	**12,113.0**	**71,370.8**	**68,225.3**	**3,145.5**	**4.6%**
Excess (Deficiency) of Receipts over Disbursements	**512.1**	**(7,507.9)**	**(1,203.3)**	**(1,927.2)**	**(1,358.8)**	**(610.1)**	**(2,666.8)**	**(3,207.0)**	**(856.6)**	**(891.8)**	**(1,081.2)**	**(7,271.9)**	**(28,070.5)**	**(28,750.2)**	**679.7**	**2.4%**
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	4,607.3	1,126.2	2,606.0	1,658.3	1,476.3	2,682.8	1,288.8	960.3	2,147.3	2,950.3	1,048.6	3,310.2	25,862.4	21,345.8	4,516.6	21.2%
Transfers from LGAC / STRBTF	452.0	302.7	835.6	501.5	490.2	747.8	493.9	494.1	654.2	572.4	163.3	470.8	6,178.5	5,765.6	412.9	7.2%
Transfers from CW/CA Fund	70.3	86.0	85.4	114.3	74.1	80.7	68.6	83.1	69.6	78.2	67.7	73.1	951.1	956.3	(5.2)	-0.5%
Transfers from Other Funds	49.4	110.2	118.6	248.4	118.4	70.3	84.2	67.1	154.6	520.3	362.6	1,010.7	2,914.8	3,001.6	(86.8)	-2.9%
Transfers to State Capital Projects	(248.8)	(406.3)	(393.8)	(201.8)	(402.8)	(586.9)	812.1	(394.2)	(286.0)	(208.6)	(310.0)	1,243.1	(1,384.0)	(305.5)	1,078.5	353.0%
Transfers to All Other Capital Projects	(250.0)	-	(216.5)	(50.0)	(70.0)	(116.5)	(100.0)	(98.0)	(186.5)	(100.0)	(50.0)	(506.6)	(1,744.1)	(1,582.5)	161.6	10.2%
Transfers to General Debt Service	(134.7)	(10.7)	7.0	(132.1)	16.6	28.2	(129.9)	20.2	(5.2)	(213.4)	29.0	(210.8)	(735.8)	(786.1)	(50.3)	-6.4%
Transfers to All Other State Funds	(294.6)	(447.3)	(467.6)	(190.1)	(71.9)	(132.9)	(85.5)	(169.4)	(83.1)	(69.1)	(84.7)	(137.7)	(2,233.9)	(1,884.3)	349.6	18.6%
Total Other Financing Sources (Uses)	**4,250.9**	**760.8**	**2,574.7**	**1,948.5**	**1,630.9**	**2,773.5**	**2,432.2**	**963.2**	**2,464.9**	**3,530.1**	**1,226.5**	**5,252.8**	**29,809.0**	**26,510.9**	**3,298.1**	**12.4%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,763.0**	**(6,747.1)**	**1,371.4**	**21.3**	**272.1**	**2,163.4**	**(234.6)**	**(2,243.8)**	**1,608.3**	**2,638.3**	**145.3**	**(2,019.1)**	**1,738.5**	**(2,239.3)**	**3,977.8**	**177.6%**
Ending Fund Balance	**$ 11,968.7**	**$ 5,221.6**	**$ 6,593.0**	**$ 6,614.3**	**$ 6,886.4**	**$ 9,049.8**	**$ 8,815.2**	**$ 6,571.4**	**$ 8,179.7**	**$ 10,818.0**	**$ 10,963.3**	**$ 8,944.2**	**$ 8,944.2**	**$ 7,205.7**	**$ 1,738.5**	**24.1%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 3,842.4	$ 7,002.4	$ 6,268.9	$ 7,986.6	$ 6,880.6	$ 7,461.3	$ 6,241.5	$ 5,866.6	$ 5,599.5	$ 6,862.8	$ 7,175.4	$ 7,586.1	$ -	$ 3,842.4	$ 4,302.1	$ (459.7)	-10.7%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	-	-	-	-	-	-	0.2	3.0	38.5	2,107.4	-	34.6	-	2,183.7	2,423.1	(239.4)	-9.9%
Consumption/Use Taxes:																	
Sales and Use	124.1	76.4	102.8	79.1	80.3	104.8	81.0	82.1	102.9	90.4	74.1	51.1	-	1,049.1	963.1	86.0	8.9%
Auto Rental	-	-	-	-	-	7.3	-	-	5.6	-	-	6.7	-	19.6	49.0	(29.4)	-60.0%
Cigarette/Tobacco Products	63.2	59.4	57.3	75.4	63.9	59.4	65.5	57.8	68.9	55.3	45.3	50.8	-	722.2	780.2	(58.0)	-7.4%
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5	0.4	0.5	0.6	0.5	0.5	0.4	-	5.7	3.9	1.8	46.2%
Motor Fuel	9.6	9.4	9.5	8.8	10.1	9.1	10.0	8.6	8.5	8.9	7.6	8.1	-	108.2	111.0	(2.8)	-2.5%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Highway Use	-	0.1	0.1	-	-	0.1	-	0.1	-	-	0.1	-	-	0.5	(1.6)	2.1	131.3%
Vapor Excise	-	-	-	-	-	-	-	-	-	-	-	10.4	-	10.4	-	10.4	100.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	-	-	-	-	51.7	(51.7)	-100.0%
Total Consumption/Use Taxes	197.4	145.7	170.2	163.8	154.7	181.2	156.9	149.1	186.5	155.1	127.6	127.5	-	1,915.7	1,957.3	(41.6)	-2.1%
Business Taxes:																	
Corporation Franchise	102.6	6.0	175.4	36.9	21.4	150.0	27.3	39.2	174.9	31.1	52.5	215.9	-	1,033.2	886.9	146.3	16.5%
Corporation and Utilities	23.4	(0.5)	23.5	0.2	(0.9)	32.4	0.8	1.2	29.6	(0.3)	0.2	62.4	-	172.0	161.9	10.1	6.2%
Insurance	14.4	8.6	48.5	5.9	(3.8)	49.0	(1.3)	(0.3)	62.7	(0.9)	(0.6)	71.1	-	253.3	199.1	54.2	27.2%
Bank	19.9	(16.4)	0.4	0.1	(0.1)	(0.4)	(0.2)	(5.2)	(0.1)	0.1	5.3	(11.2)	-	(7.8)	(17.6)	9.8	55.7%
Petroleum Business	44.1	43.9	45.4	41.3	47.0	43.9	47.2	40.8	39.8	43.1	35.0	37.4	-	508.9	510.8	(1.9)	-0.4%
Total Business Taxes	204.4	41.6	293.2	84.4	63.6	274.9	73.8	75.7	306.9	73.1	92.4	375.6	-	1,959.6	1,741.1	218.5	12.5%
Total Taxes	401.8	187.3	463.4	248.2	218.3	456.1	230.9	227.8	531.9	2,335.6	220.0	537.7	-	6,059.0	6,121.5	(62.5)	-1.0%
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	1.1	1.1	0.9	0.9	0.8	0.8	1.2	0.9	1.1	0.9	1.5	1.4	-	12.6	11.4	1.2	10.5%
Assessments:																	
Business	70.9	72.7	94.4	52.0	54.6	68.5	70.4	35.3	72.7	93.4	43.8	76.2	-	804.9	752.9	52.0	6.9%
Medical Care	625.7	519.7	504.7	567.5	515.9	542.2	528.7	469.1	522.4	573.5	531.5	457.8	-	6,358.7	5,966.8	391.9	6.6%
Public Utilities	5.1	-	0.7	-	0.9	44.2	(6.0)	(1.7)	0.8	-	11.0	35.7	-	90.7	85.4	5.3	6.2%
Other	-	0.1	-	-	0.1	-	-	(0.0)	0.1	0.1	-	-	-	0.4	2.0	(1.6)	-80.0%
Fees, Licenses and Permits:																	
Audit Fees	-	0.8	1.5	-	0.1	0.2	-	-	-	-	-	-	-	2.6	2.1	0.5	23.8%
Business/Professional	48.6	36.9	98.0	47.5	32.8	95.6	49.4	53.6	77.1	46.8	36.4	91.3	-	714.0	696.1	17.9	2.6%
Civil	3.6	4.6	5.1	4.2	2.7	7.8	4.8	5.1	5.3	4.5	5.1	3.6	-	56.4	55.7	0.7	1.3%
Criminal	0.3	0.4	1.1	-	0.4	1.2	0.4	0.3	0.8	1.1	-	1.5	-	7.5	9.2	(1.7)	-18.5%
Motor Vehicle	26.0	26.6	28.7	23.5	28.3	10.0	25.3	25.8	22.9	35.1	22.7	23.6	-	298.5	501.5	(203.0)	-40.5%
Recreational/Consumer	54.0	45.6	65.4	65.9	94.0	129.2	56.6	71.1	60.7	49.3	129.3	61.8	-	882.9	753.9	129.0	17.1%
Fines, Penalties and Forfeitures	8.3	68.0	107.2	5.2	10.5	8.2	14.3	8.5	18.5	6.8	4.5	11.1	-	271.1	165.9	105.2	63.4%
Gaming:																	
Casino	31.4	18.8	19.7	37.7	15.3	20.0	36.8	13.3	17.6	35.7	15.2	11.0	-	272.5	256.4	16.1	6.3%
Lottery	218.4	262.0	202.3	225.7	170.2	176.3	220.1	177.8	180.0	221.5	170.2	308.7	-	2,533.2	2,551.2	(18.0)	-0.7%
Video Lottery	76.6	88.5	63.2	89.5	76.5	77.5	94.6	72.7	70.6	99.0	79.1	55.9	-	943.7	959.5	(15.8)	-1.6%
Interest Earnings	20.9	21.0	23.8	21.7	24.0	21.8	21.9	20.5	16.1	16.9	17.8	15.6	-	242.0	195.5	46.5	23.8%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	22.0	(22.0)	-100.0%
Cost Recovery Assessments	-	-	-	-	-	23.1	5.6	-	3.9	(12.2)	2.6	(2.6)	-	20.4	20.4	-	0.0%
Issuance Fees	2.0	1.0	4.2	-	-	-	-	-	-	-	-	-	-	7.2	7.2	-	0.0%
Non Bond Related	0.6	4.7	4.3	13.5	-	1.7	4.4	-	2.6	23.1	2.2	2.6	-	59.7	60.2	(0.5)	-0.8%
Receipts from Municipalities	9.4	2.7	6.3	3.6	2.3	5.9	3.9	1.8	5.9	2.1	1.7	34.4	-	80.0	139.1	(59.1)	-42.5%
Rentals	39.0	28.2	8.4	29.3	0.9	0.5	65.5	24.5	22.4	48.1	87.1	38.6	-	392.5	434.1	(41.6)	-9.6%
Revenues of State Departments:																	
Administrative Recoveries	1.4	1.8	4.6	17.6	4.3	3.7	26.2	27.3	15.9	15.8	32.2	2.4	-	153.2	150.7	2.5	1.7%
Commissions	0.5	0.4	0.6	0.4	0.4	0.8	0.7	0.7	82.3	(67.4)	7.3	0.4	-	27.1	15.3	11.8	77.1%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	468.0	-	-	-	468.0	1,068.0	(600.0)	-56.2%
Gifts, Grants and Donations	0.8	1.0	2.8	0.3	0.6	-	0.3	0.3	0.3	0.5	0.7	1.2	-	8.8	108.1	(99.3)	-91.9%
Indirect Cost Recoveries	0.9	-	-	-	-	-	-	-	-	-	-	0.8	-	1.7	0.1	1.6	1,600.0%
Patient/Client Care Reimbursement	204.3	156.2	154.9	222.3	106.2	243.2	193.8	155.9	187.7	200.2	230.2	169.3	-	2,224.2	2,123.2	101.0	4.8%
Rebates	12.9	9.7	15.2	22.3	9.2	12.1	17.7	11.9	12.4	13.4	10.2	13.1	-	160.1	157.7	2.4	1.5%
Restitution and Settlements	7.6	1.2	0.8	1.0	5.9	0.4	0.4	3.4	0.4	24.3	0.8	(27.4)	-	18.8	77.9	(59.1)	-75.9%
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9	2.3	2.2	10.8	2.5	3.8	5.9	-	66.7	90.6	(23.9)	-26.4%
All Other	41.2	36.9	57.6	39.2	43.8	67.5	36.8	33.5	35.9	45.1	60.5	41.5	-	539.5	478.8	60.7	12.7%
Sales	0.9	0.8	1.5	2.5	1.7	2.5	0.8	1.5	1.3	1.5	1.3	1.6	-	17.9	23.2	(5.3)	-22.8%
Tuition	52.0	48.1	34.9	45.0	240.0	309.9	137.5	37.5	14.1	261.8	352.6	7.9	-	1,541.3	1,726.1	(184.8)	-10.7%
Total Miscellaneous Receipts	1,571.9	1,462.6	1,521.3	1,545.8	1,444.1	1,885.7	1,614.4	1,252.8	1,462.6	2,211.4	1,861.3	1,444.9	-	19,278.8	19,668.2	(389.4)	-2.0%
Federal Receipts	6,203.7	4,816.3	5,671.6	3,118.1	5,873.4	5,484.0	5,367.9	5,209.9	6,447.1	5,383.0	4,983.1	4,338.8	-	62,896.9	58,920.6	3,976.3	6.7%
Total Receipts	8,177.4	6,466.2	7,656.3	4,912.1	7,535.8	7,825.8	7,213.2	6,690.5	8,441.6	9,930.0	7,064.4	6,321.4	-	88,234.7	84,710.3	3,524.4	4.2%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	337.7	591.1	611.4	288.1	233.8	2,698.8	354.6	378.6	509.8	2,554.9	404.8	605.7	-	9,569.3	9,595.3	(26.0)	-0.3%
Environment and Recreation	0.2	0.1	0.5	(0.1)	0.2	0.5	0.1	0.2	0.7	0.5	2.2	0.7	-	5.8	7.1	(1.3)	-18.3%
General Government	27.4	22.2	10.5	21.4	24.2	25.0	16.2	22.6	38.9	22.2	36.3	6.1	-	273.0	231.1	41.9	18.1%
Public Health:																	
Medicaid	3,115.4	4,563.1	3,464.0	3,585.5	4,433.2	3,752.2	4,029.3	4,013.7	3,696.2	4,491.4	3,923.4	2,856.6	-	45,924.0	45,063.3	860.7	1.9%
Other Public Health	543.4	520.5	805.5	508.6	652.9	721.0	509.6	455.4	721.8	617.4	560.2	910.4	-	7,526.7	7,507.9	18.8	0.3%
Public Safety	136.3	164.9	58.8	69.3	86.4	66.9	156.0	192.2	109.5	188.3	190.2	161.3	-	1,580.1	1,334.6	245.5	18.4%
Public Welfare	152.3	120.0	271.2	318.4	185.2	493.0	1,063.4	514.8	114.3	402.4	275.1	950.9	-	4,861.0	4,953.2	(92.2)	-1.9%
Support and Regulate Business	1.4	7.9	1.5	6.6	5.7	5.2	1.9	15.8	6.0	1.1	8.7	2.7	-	64.5	72.3	(7.8)	-10.8%
Transportation	72.3	408.5	269.7	278.1	369.0	330.5	284.0	479.5	734.6	70.9	96.3	43.7	-	3,437.1	3,688.0	(250.9)	-6.8%
Total Local Assistance Grants	**4,386.4**	**6,398.3**	**5,493.1**	**5,075.9**	**5,990.6**	**8,093.1**	**6,415.1**	**6,072.8**	**5,931.8**	**8,349.1**	**5,497.2**	**5,538.1**	**-**	**73,241.5**	**72,452.8**	**788.7**	**1.1%**
Departmental Operations:																	
Personal Service	451.0	645.8	448.7	493.7	400.1	439.1	635.0	445.2	460.9	447.7	455.3	464.2	-	5,786.7	5,605.4	181.3	3.2%
Non-Personal Service	314.4	324.8	294.4	310.0	360.1	402.6	390.3	364.6	344.4	449.5	424.6	347.2	-	4,326.9	4,104.2	222.7	5.4%
General State Charges	85.4	111.3	89.7	114.2	120.4	95.7	92.5	155.9	130.6	119.1	93.5	94.8	-	1,303.1	1,486.1	(183.0)	-12.3%
Capital Projects	-	-	0.1	-	(0.1)	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	**5,237.2**	**7,480.2**	**6,326.0**	**5,993.8**	**6,871.1**	**9,030.5**	**7,532.9**	**7,038.5**	**6,867.7**	**9,365.4**	**6,470.6**	**6,444.3**	**-**	**84,658.2**	**83,648.5**	**1,009.7**	**1.2%**
Excess (Deficiency) of Receipts over Disbursements	**2,940.2**	**(1,014.0)**	**1,330.3**	**(1,081.7)**	**664.7**	**(1,204.7)**	**(319.7)**	**(348.0)**	**1,573.9**	**564.6**	**593.8**	**(122.9)**	**-**	**3,576.5**	**1,061.8**	**2,514.7**	**236.8%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	308.3	585.3	566.5	215.4	160.2	168.6	119.5	185.7	111.9	84.1	123.0	151.0	(510.3)	2,269.2	1,905.5	363.7	19.1%
Transfers to Other Funds	(88.5)	(304.8)	(179.1)	(239.7)	(244.2)	(183.7)	(174.7)	(104.8)	(422.5)	(336.1)	(306.1)	(1,302.1)	510.3	(3,376.0)	(3,427.0)	(51.0)	-1.5%
Total Other Financing Sources (Uses)	**219.8**	**280.5**	**387.4**	**(24.3)**	**(84.0)**	**(15.1)**	**(55.2)**	**80.9**	**(310.6)**	**(252.0)**	**(183.1)**	**(1,151.1)**	**-**	**(1,106.8)**	**(1,521.5)**	**414.7**	**-27.3%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**3,160.0**	**(733.5)**	**1,717.7**	**(1,106.0)**	**580.7**	**(1,219.8)**	**(374.9)**	**(267.1)**	**1,263.3**	**312.6**	**410.7**	**(1,274.0)**	**-**	**2,469.7**	**(459.7)**	**2,929.4**	**637.2%**
Ending Fund Balance	**$ 7,002.4**	**$ 6,268.9**	**$ 7,986.6**	**$ 6,880.6**	**$ 7,461.3**	**$ 6,241.5**	**$ 5,866.6**	**$ 5,599.5**	**$ 6,862.8**	**$ 7,175.4**	**$ 7,586.1**	**$ 6,312.1**	**$ -**	**$ 6,312.1**	**$ 3,842.4**	**$ 2,469.7**	**64.3%**

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 5,090.8	$ 6,434.7	$ 6,338.1	$ 7,095.2	$ 7,277.1	$ 7,104.7	$ 5,276.8	$ 5,350.5	$ 5,061.3	$ 4,858.4	$ 5,609.6	$ 6,037.3	$ 5,090.8	$ 4,008.5	$ 1,082.3	27.0%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	-	0.2	3.0	38.5	2,107.4	-	34.6	2,183.7	2,423.1	(239.4)	-9.9%
Consumption/Use Taxes:																
Sales and Use	124.1	76.4	102.8	79.1	80.3	104.8	81.0	82.1	102.9	90.4	74.1	51.1	1,049.1	963.1	86.0	8.9%
Auto Rental	-	-	-	-	-	7.3	-	-	5.6	-	-	6.7	19.6	49.0	(29.4)	-60.0%
Cigarette/Tobacco Products	63.2	59.4	57.3	75.4	63.9	59.4	65.5	57.8	68.9	55.3	45.3	50.8	722.2	780.2	(58.0)	-7.4%
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5	0.4	0.5	0.6	0.5	0.5	0.4	5.7	3.9	1.8	46.2%
Motor Fuel	9.6	9.4	9.5	8.8	10.1	9.1	10.0	8.6	8.5	8.9	7.6	8.1	108.2	111.0	(2.8)	-2.5%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Highway Use	-	0.1	0.1	-	-	0.1	-	0.1	-	-	0.1	-	0.5	(1.6)	2.1	131.3%
Vapor Excise	-	-	-	-	-	-	-	-	-	-	-	10.4	10.4	-	10.4	100.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	-	-	-	51.7	(51.7)	-100.0%
Total Consumption/Use Taxes	**197.4**	**145.7**	**170.2**	**163.8**	**154.7**	**181.2**	**156.9**	**149.1**	**186.5**	**155.1**	**127.6**	**127.5**	**1,915.7**	**1,957.3**	**(41.6)**	**-2.1%**
Business Taxes																
Corporation Franchise	102.6	6.0	175.4	36.9	21.4	150.0	27.3	39.2	174.9	31.1	52.5	215.9	1,033.2	886.9	146.3	16.5%
Corporation and Utilities	23.4	(0.5)	23.5	0.2	(0.9)	32.4	0.8	1.2	29.6	(0.3)	0.2	62.4	172.0	161.9	10.1	6.2%
Insurance	14.4	8.6	48.5	5.9	(3.8)	49.0	(1.3)	(0.3)	62.7	(0.9)	(0.6)	71.1	253.3	199.1	54.2	27.2%
Bank	19.9	(16.4)	0.4	0.1	(0.1)	(0.4)	(0.2)	(5.2)	(0.1)	0.1	5.3	(11.2)	(7.8)	(17.6)	9.8	55.7%
Petroleum Business	44.1	43.9	45.4	41.3	47.0	43.9	47.2	40.8	39.8	43.1	35.0	37.4	508.9	510.8	(1.9)	-0.4%
Total Business Taxes	**204.4**	**41.6**	**293.2**	**84.4**	**63.6**	**274.9**	**73.8**	**75.7**	**306.9**	**73.1**	**92.4**	**375.6**	**1,959.6**	**1,741.1**	**218.5**	**12.5%**
Total Taxes	**401.8**	**187.3**	**463.4**	**248.2**	**218.3**	**456.1**	**230.9**	**227.8**	**531.9**	**2,335.6**	**220.0**	**537.7**	**6,059.0**	**6,121.5**	**(62.5)**	**-1.0%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.1	1.1	0.9	0.9	0.8	0.8	1.2	0.9	1.1	0.9	1.5	1.4	12.6	11.4	1.2	10.5%
Assessments:																
Business	66.4	33.6	94.2	49.2	43.9	68.3	68.1	28.8	72.6	91.8	38.3	76.1	731.3	682.8	48.5	7.1%
Medical Care	625.7	519.7	504.7	567.5	515.9	542.2	528.7	469.1	522.4	573.5	531.5	457.8	6,358.7	5,966.8	391.9	6.6%
Public Utilities	5.1	-	0.7	-	0.9	44.2	(6.0)	(1.7)	0.8	-	11.0	35.7	90.7	85.4	5.3	6.2%
Other	-	0.1	-	-	0.1	-	-	(0.0)	0.1	0.1	-	-	0.4	2.0	(1.6)	-80.0%
Fees, Licenses and Permits:																
Audit Fees	-	0.8	1.5	-	0.1	0.2	-	-	-	-	-	-	2.6	2.1	0.5	23.8%
Business/Professional	48.6	36.9	98.0	47.5	32.8	95.6	49.4	53.6	77.1	46.8	36.4	91.3	714.0	696.1	17.9	2.6%
Civil	3.6	4.6	5.1	4.2	2.7	7.8	4.8	5.1	5.3	4.5	5.1	3.6	56.4	55.7	0.7	1.3%
Criminal	0.3	0.4	1.1	-	0.4	1.2	0.4	0.3	0.8	1.1	-	1.5	7.5	9.2	(1.7)	-18.5%
Motor Vehicle	26.0	26.6	28.7	23.5	28.3	10.0	25.3	25.8	22.9	35.1	22.7	23.6	298.5	501.5	(203.0)	-40.5%
Recreational/Consumer	54.0	45.6	65.4	65.9	94.0	129.2	56.6	71.1	60.7	49.3	129.3	61.8	882.9	753.9	129.0	17.1%
Fines, Penalties and Forfeitures	7.6	67.2	106.7	4.8	9.8	7.8	13.9	8.1	18.1	6.4	4.1	10.9	265.4	158.5	106.9	67.4%
Gaming:																
Casino	31.4	18.8	19.7	37.7	15.3	20.0	36.8	13.3	17.6	35.7	15.2	11.0	272.5	256.4	16.1	6.3%
Lottery	218.4	262.0	202.3	225.7	170.2	176.3	220.1	177.8	180.0	221.5	170.2	308.7	2,533.2	2,551.2	(18.0)	-0.7%
Video Lottery	76.6	88.5	63.2	89.5	76.5	77.5	94.6	72.7	70.6	99.0	79.1	55.9	943.7	959.5	(15.8)	-1.6%
Interest Earnings	19.4	18.2	21.5	19.7	20.9	19.2	19.8	17.6	14.0	14.6	13.9	12.7	211.5	177.7	33.8	19.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	22.0	(22.0)	-100.0%
Cost Recovery Assessments	-	-	-	-	-	23.1	5.6	-	3.9	(12.2)	2.6	(2.6)	20.4	20.4	-	0.0%
Issuance Fees	2.0	1.0	4.2	-	-	-	-	-	-	-	-	-	7.2	7.2	-	0.0%
Non Bond Related	0.6	4.7	4.3	13.5	-	1.7	4.4	-	2.6	23.1	2.2	2.6	59.7	60.2	(0.5)	-0.8%
Receipts from Municipalities	9.4	2.7	6.3	3.6	2.3	5.9	3.9	1.8	5.9	2.1	1.7	34.4	80.0	139.1	(59.1)	-42.5%
Rentals	39.0	28.2	8.4	29.3	0.9	0.5	65.5	24.5	22.4	48.1	87.1	38.6	392.5	434.1	(41.6)	-9.6%
Revenues of State Departments:																
Administrative Recoveries	1.4	1.8	4.6	17.6	4.3	3.7	26.2	27.3	15.9	15.8	32.2	2.4	153.2	150.7	2.5	1.7%
Commissions	0.5	0.4	0.6	0.4	0.4	0.8	0.7	0.7	82.3	(67.4)	7.3	0.4	27.1	15.3	11.8	77.1%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	468.0	-	-	468.0	1,068.0	(600.0)	-56.2%
Gifts, Grants and Donations	0.8	1.0	2.8	0.3	0.6	-	0.3	0.3	0.3	0.5	0.7	1.2	8.8	108.1	(99.3)	-91.9%
Indirect Cost Recoveries	0.9	-	-	-	-	-	-	-	-	-	-	0.8	1.7	0.1	1.6	1,600.0%
Patient/Client Care Reimbursement	204.3	156.2	154.9	222.3	106.2	243.2	193.8	155.9	187.7	200.2	230.2	169.3	2,224.2	2,123.2	101.0	4.8%
Rebates	5.2	1.0	6.7	13.6	1.1	3.8	9.6	3.8	4.1	5.4	2.0	4.7	61.0	54.5	6.5	11.9%
Restitution and Settlements	7.6	1.2	0.8	1.0	5.9	0.4	0.4	3.4	0.4	24.3	0.8	(27.4)	18.8	77.9	(59.1)	-75.9%
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9	2.3	2.2	10.8	2.5	3.8	5.9	66.7	90.6	(23.9)	-26.4%
All Other	40.9	36.9	57.4	39.2	43.7	67.5	36.7	32.3	33.1	44.9	59.8	41.1	533.5	475.3	58.2	12.2%
Sales	0.9	0.8	1.5	2.5	1.7	2.5	0.8	1.5	1.3	1.4	1.3	1.6	17.8	23.1	(5.3)	-22.9%
Tuition	52.0	48.1	34.9	45.0	240.0	309.9	137.5	37.5	14.1	261.8	352.6	7.9	1,541.3	1,726.1	(184.8)	-10.7%
Total Miscellaneous Receipts	**1,557.2**	**1,411.2**	**1,509.6**	**1,531.9**	**1,421.4**	**1,874.2**	**1,601.4**	**1,233.7**	**1,448.9**	**2,198.8**	**1,842.6**	**1,432.9**	**19,063.8**	**19,466.1**	**(402.3)**	**-2.1%**
Federal Receipts	-	-	(0.9)	0.1	18.3	0.1	-	0.1	-	(26.7)	0.2	(4.1)	(12.9)	(1.1)	(11.8)	-1,072.7%
Total Receipts	**1,959.0**	**1,598.5**	**1,972.1**	**1,780.2**	**1,658.0**	**2,330.4**	**1,832.3**	**1,461.6**	**1,980.8**	**4,507.7**	**2,062.8**	**1,966.5**	**25,109.9**	**25,586.5**	**(476.6)**	**-1.9%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.2	0.5	349.3	0.2	1.5	2,511.4	147.9	149.4	183.8	2,254.8	147.1	294.7	6,040.8	5,754.9	285.9	5.0%
Environment and Recreation	0.1	-	0.5	(0.1)	0.1	0.2	-	0.2	0.5	0.5	2.0	0.6	4.6	4.3	0.3	7.0%
General Government	25.4	18.7	1.8	20.2	12.5	22.9	14.1	20.2	27.1	20.4	34.2	1.5	219.0	170.0	49.0	28.8%
Public Health:																
Medicaid	(1.1)	881.9	365.3	582.8	686.2	607.5	469.7	408.1	434.3	583.7	492.2	164.1	5,674.7	5,682.2	(7.5)	-0.1%
Other Public Health	60.1	32.7	104.7	43.5	165.1	54.2	56.3	41.6	100.3	103.8	55.2	406.9	1,224.4	920.6	303.8	33.0%
Public Safety	20.1	14.4	16.1	9.5	20.1	17.0	16.3	12.3	12.4	(26.0)	56.1	18.5	186.8	196.2	(9.4)	-4.8%
Public Welfare	0.9	0.8	0.5	0.5	0.9	(0.7)	0.4	1.2	(0.4)	(0.4)	0.1	(0.3)	3.5	4.5	(1.0)	-22.2%
Support and Regulate Business	1.1	6.7	1.3	5.8	3.4	4.4	1.5	13.9	6.0	1.2	8.5	2.7	56.5	64.4	(7.9)	-12.3%
Transportation	68.3	402.7	265.3	274.1	364.5	327.2	279.8	474.8	728.1	62.5	90.0	40.8	3,378.1	3,634.4	(256.3)	-7.1%
Total Local Assistance Grants	**175.1**	**1,358.4**	**1,104.8**	**936.5**	**1,254.3**	**3,544.1**	**986.0**	**1,121.7**	**1,492.1**	**3,000.5**	**885.4**	**929.5**	**16,788.4**	**16,431.5**	**356.9**	**2.2%**
Departmental Operations:																
Personal Service	401.7	580.0	393.6	449.4	352.4	388.2	559.2	398.5	408.0	399.1	404.5	415.3	5,149.9	4,968.0	181.9	3.7%
Non-Personal Service	244.3	248.5	198.0	228.4	254.6	216.2	257.2	281.8	218.9	306.6	273.6	199.9	2,928.0	2,710.4	217.6	8.0%
General State Charges	64.0	87.2	55.7	78.6	95.9	71.2	66.5	129.7	105.1	82.4	64.5	68.7	969.5	1,065.2	(95.7)	-9.0%
Capital Projects	-	-	0.1	-	(0.1)	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	**885.1**	**2,274.1**	**1,752.2**	**1,692.9**	**1,957.1**	**4,219.7**	**1,868.9**	**1,931.7**	**2,224.1**	**3,788.6**	**1,628.0**	**1,613.4**	**25,835.8**	**25,175.1**	**660.7**	**2.6%**
Excess (Deficiency) of Receipts over Disbursements	**1,073.9**	**(675.6)**	**219.9**	**87.3**	**(299.1)**	**(1,889.3)**	**(36.6)**	**(470.1)**	**(243.3)**	**719.1**	**434.8**	**353.1**	**(725.9)**	**411.4**	**(1,137.3)**	**-276.4%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	308.3	585.3	566.5	215.4	160.2	168.6	119.5	185.7	111.9	84.1	123.0	151.0	2,779.5	2,521.6	257.9	10.2%
Transfers to Other Funds	(38.3)	(6.3)	(29.3)	(120.8)	(33.5)	(107.2)	(9.2)	(4.8)	(71.5)	(52.0)	(130.1)	(1,140.7)	(1,743.7)	(1,850.7)	(107.0)	-5.8%
Total Other Financing Sources (Uses)	**270.0**	**579.0**	**537.2**	**94.6**	**126.7**	**61.4**	**110.3**	**180.9**	**40.4**	**32.1**	**(7.1)**	**(989.7)**	**1,035.8**	**670.9**	**364.9**	**54.4%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,343.9**	**(96.6)**	**757.1**	**181.9**	**(172.4)**	**(1,827.9)**	**73.7**	**(289.2)**	**(202.9)**	**751.2**	**427.7**	**(636.6)**	**309.9**	**1,082.3**	**(772.4)**	**-71.4%**
Ending Fund Balance	**$ 6,434.7**	**$ 6,338.1**	**$ 7,095.2**	**$ 7,277.1**	**$ 7,104.7**	**$ 5,276.8**	**$ 5,350.5**	**$ 5,061.3**	**$ 4,858.4**	**$ 5,609.6**	**$ 6,037.3**	**$ 5,400.7**	**$ 5,400.7**	**$ 5,090.8**	**$ 309.9**	**6.1%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (1,248.4)	$ 567.7	$ (69.2)	$ 891.4	$ (396.5)	$ 356.6	$ 964.7	$ 516.1	$ 538.2	$ 2,004.4	$ 1,565.8	$ 1,548.8	$ (1,248.4)	$ 293.6	$ (1,542.0)	-525.2%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	4.5	39.1	0.2	2.8	10.7	0.2	2.3	6.5	0.1	1.6	5.5	0.1	73.6	70.1	3.5	5.0%
Medical Care	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	0.7	0.8	0.5	0.4	0.7	0.4	0.4	0.4	0.4	0.4	0.4	0.2	5.7	7.4	(1.7)	-23.0%
Interest Earnings	1.5	2.8	2.3	2.0	3.1	2.6	2.1	2.9	2.1	2.3	3.9	2.9	30.5	17.8	12.7	71.3%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Commissions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rebates	7.7	8.7	8.5	8.7	8.1	8.3	8.1	8.1	8.3	8.0	8.2	8.4	99.1	103.2	(4.1)	-4.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	0.3	-	0.2	-	0.1	-	0.1	1.2	2.8	0.2	0.7	0.4	6.0	3.5	2.5	71.4%
Sales	-	-	-	-	-	-	-	-	-	0.1	-	-	0.1	0.1	-	0.0%
Tuition	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts	**14.7**	**51.4**	**11.7**	**13.9**	**22.7**	**11.5**	**13.0**	**19.1**	**13.7**	**12.6**	**18.7**	**12.0**	**215.0**	**202.1**	**12.9**	**6.4%**
Federal Receipts	6,203.7	4,816.3	5,672.5	3,118.0	5,855.1	5,483.9	5,367.9	5,209.8	6,447.1	5,409.7	4,982.9	4,342.9	62,909.8	58,921.7	3,988.1	6.8%
Total Receipts	**6,218.4**	**4,867.7**	**5,684.2**	**3,131.9**	**5,877.8**	**5,495.4**	**5,380.9**	**5,228.9**	**6,460.8**	**5,422.3**	**5,001.6**	**4,354.9**	**63,124.8**	**59,123.8**	**4,001.0**	**6.8%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	337.5	590.6	262.1	287.9	232.3	187.4	206.7	229.2	326.0	300.1	257.7	311.0	3,528.5	3,840.4	(311.9)	-8.1%
Environment and Recreation	0.1	0.1	-	-	0.1	0.3	0.1	-	0.2	-	0.2	0.1	1.2	2.8	(1.6)	-57.1%
General Government	2.0	3.5	8.7	1.2	11.7	2.1	2.1	2.4	11.8	1.8	2.1	4.6	54.0	61.1	(7.1)	-11.6%
Public Health:																
Medicaid	3,116.5	3,681.2	3,098.7	3,002.7	3,747.0	3,144.7	3,559.6	3,605.6	3,261.9	3,907.7	3,431.2	2,692.5	40,249.3	39,381.1	868.2	2.2%
Other Public Health	483.3	487.8	700.8	465.1	487.8	666.8	453.3	413.8	621.5	513.6	505.0	503.5	6,302.3	6,587.3	(285.0)	-4.3%
Public Safety	116.2	150.5	42.7	59.8	66.3	49.9	139.7	179.9	97.1	214.3	134.1	142.8	1,393.3	1,138.4	254.9	22.4%
Public Welfare	151.4	119.2	270.7	317.9	184.3	493.7	1,063.0	513.6	114.7	402.8	275.0	951.2	4,857.5	4,948.7	(91.2)	-1.8%
Support and Regulate Business	0.3	1.2	0.2	0.8	2.3	0.8	0.4	1.9	-	(0.1)	0.2	-	8.0	7.9	0.1	1.3%
Transportation	4.0	5.8	4.4	4.0	4.5	3.3	4.2	4.7	6.5	8.4	6.3	2.9	59.0	53.6	5.4	10.1%
Total Local Assistance Grants	**4,211.3**	**5,039.9**	**4,388.3**	**4,139.4**	**4,736.3**	**4,549.0**	**5,429.1**	**4,951.1**	**4,439.7**	**5,348.6**	**4,611.8**	**4,608.6**	**56,453.1**	**56,021.3**	**431.8**	**0.8%**
Departmental Operations:																
Personal Service	49.3	65.8	55.1	44.3	47.7	50.9	75.8	46.7	52.9	48.6	50.8	48.9	636.8	637.4	(0.6)	-0.1%
Non-Personal Service	70.1	76.3	96.4	81.6	105.5	186.4	133.1	82.8	125.5	142.9	151.0	147.3	1,398.9	1,393.8	5.1	0.4%
General State Charges	21.4	24.1	34.0	35.6	24.5	24.5	26.0	26.2	25.5	36.7	29.0	26.1	333.6	420.9	(87.3)	-20.7%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	**4,352.1**	**5,206.1**	**4,573.8**	**4,300.9**	**4,914.0**	**4,810.8**	**5,664.0**	**5,106.8**	**4,643.6**	**5,576.8**	**4,842.6**	**4,830.9**	**58,822.4**	**58,473.4**	**349.0**	**0.6%**
Excess (Deficiency) of Receipts over Disbursements	**1,866.3**	**(338.4)**	**1,110.4**	**(1,169.0)**	**963.8**	**684.6**	**(283.1)**	**122.1**	**1,817.2**	**(154.5)**	**159.0**	**(476.0)**	**4,302.4**	**650.4**	**3,652.0**	**561.5%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	(50.2)	(298.5)	(149.8)	(118.9)	(210.7)	(76.5)	(165.5)	(100.0)	(351.0)	(284.1)	(176.0)	(161.4)	(2,142.6)	(2,192.4)	(49.8)	-2.3%
Total Other Financing Sources (Uses)	**(50.2)**	**(298.5)**	**(149.8)**	**(118.9)**	**(210.7)**	**(76.5)**	**(165.5)**	**(100.0)**	**(351.0)**	**(284.1)**	**(176.0)**	**(161.4)**	**(2,142.6)**	**(2,192.4)**	**(49.8)**	**-2.3%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,816.1**	**(636.9)**	**960.6**	**(1,287.9)**	**753.1**	**608.1**	**(448.6)**	**22.1**	**1,466.2**	**(438.6)**	**(17.0)**	**(637.4)**	**2,159.8**	**(1,542.0)**	**3,701.8**	**240.1%**
Ending Fund Balance	**$ 567.7**	**$ (69.2)**	**$ 891.4**	**$ (396.5)**	**$ 356.6**	**$ 964.7**	**$ 516.1**	**$ 538.2**	**$ 2,004.4**	**$ 1,565.8**	**$ 1,548.8**	**$ 911.4**	**$ 911.4**	**$ (1,248.4)**	**$ 2,159.8**	**173.0%**

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT H

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 64.8**	**$ 314.8**	**$ 683.8**	**$ 361.5**	**$ 614.7**	**$ 744.3**	**$ 307.0**	**$ 604.4**	**$ 962.3**	**$ 985.1**	**$ 2,581.5**	**$ 3,010.6**	**$ 64.8**	**$ 153.1**	**$ (88.3)**	**-57.7%**
RECEIPTS:																
Taxes:																
Personal Income Tax	4,607.7	1,242.3	2,604.9	1,665.8	1,454.3	2,415.7	1,289.2	1,234.7	2,144.0	4,449.6	1,848.4	1,873.1	26,829.7	24,043.7	2,786.0	11.6%
Consumption/Use Taxes:																
Sales and Use	538.2	551.0	743.2	579.3	581.5	761.5	578.3	584.0	741.8	635.0	522.2	620.5	7,436.5	7,073.6	362.9	5.1%
Total Consumption/Use Taxes	**538.2**	**551.0**	**743.2**	**579.3**	**581.5**	**761.5**	**578.3**	**584.0**	**741.8**	**635.0**	**522.2**	**620.5**	**7,436.5**	**7,073.6**	**362.9**	**5.1%**
Other Taxes:																
Real Estate Transfer	82.9	86.0	86.7	118.9	78.8	85.3	73.2	87.8	74.2	82.9	72.3	75.7	1,004.7	1,016.2	(11.5)	-1.1%
Employer Compensation Expense Tax	-	0.1	-	0.1	0.1	0.1	0.1	-	0.2	0.3	(0.1)	0.1	1.0	-	1.0	100.0%
Total Other Taxes	**82.9**	**86.1**	**86.7**	**119.0**	**78.9**	**85.4**	**73.3**	**87.8**	**74.4**	**83.2**	**72.2**	**75.8**	**1,005.7**	**1,016.2**	**(10.5)**	**-1.0%**
Total Taxes	**5,228.8**	**1,879.4**	**3,434.8**	**2,364.1**	**2,114.7**	**3,262.6**	**1,940.8**	**1,906.5**	**2,960.2**	**5,167.8**	**2,442.8**	**2,569.4**	**35,271.9**	**32,133.5**	**3,138.4**	**9.8%**
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	0.2	-	0.3	0.1	0.5	0.2	-	0.2	-	-	1.0	0.5	3.0	3.5	(0.5)	-14.3%
Receipts from Municipalities	-	0.5	-	0.8	-	-	0.4	0.1	-	-	1.1	0.9	3.8	3.8	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	42.4	36.0	37.5	89.2	42.2	15.7	31.1	24.9	51.5	37.8	30.6	31.1	470.0	425.9	44.1	10.4%
All Other	-	-	-	-	-	-	0.1	-	-	-	-	-	0.1	0.1	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Total Miscellaneous Receipts	**42.6**	**36.5**	**37.8**	**90.1**	**42.7**	**15.9**	**31.6**	**25.2**	**51.5**	**37.8**	**32.7**	**32.5**	**476.9**	**433.4**	**43.5**	**10.0%**
Federal Receipts	-	-	-	1.6	35.2	-	-	-	-	1.6	35.4	-	73.8	73.6	0.2	0.3%
Total Receipts	**5,271.4**	**1,915.9**	**3,472.6**	**2,455.8**	**2,192.6**	**3,278.5**	**1,972.4**	**1,931.7**	**3,011.7**	**5,207.2**	**2,510.9**	**2,601.9**	**35,822.6**	**32,640.5**	**3,182.1**	**9.7%**
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.7	2.3	2.6	8.6	6.8	1.5	0.6	2.2	1.5	0.2	1.6	7.6	36.2	37.7	(1.5)	-4.0%
Debt Service, Including Payments on Financing Agreements	72.4	121.1	230.3	45.1	74.5	433.2	48.5	74.9	412.2	44.6	719.9	2,639.4	4,916.1	6,698.6	(1,782.5)	-26.6%
Total Disbursements	**73.1**	**123.4**	**232.9**	**53.7**	**81.3**	**434.7**	**49.1**	**77.1**	**413.7**	**44.8**	**721.5**	**2,647.0**	**4,952.3**	**6,736.3**	**(1,784.0)**	**-26.5%**
Excess (Deficiency) of Receipts over Disbursements	**5,198.3**	**1,792.5**	**3,239.7**	**2,402.1**	**2,111.3**	**2,843.8**	**1,923.3**	**1,854.6**	**2,598.0**	**5,162.4**	**1,789.4**	**(45.1)**	**30,870.3**	**25,904.2**	**4,966.1**	**19.2%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	219.3	206.6	86.5	281.9	186.1	270.9	318.2	93.5	376.8	453.5	219.0	1,029.9	3,742.2	3,536.7	205.5	5.8%
Transfers to Other Funds	(5,167.6)	(1,630.1)	(3,648.5)	(2,430.8)	(2,167.8)	(3,552.0)	(1,944.1)	(1,590.2)	(2,952.0)	(4,019.5)	(1,579.3)	(3,932.0)	(34,613.9)	(29,529.2)	5,084.7	17.2%
Total Other Financing Sources (Uses)	**(4,948.3)**	**(1,423.5)**	**(3,562.0)**	**(2,148.9)**	**(1,981.7)**	**(3,281.1)**	**(1,625.9)**	**(1,496.7)**	**(2,575.2)**	**(3,566.0)**	**(1,360.3)**	**(2,902.1)**	**(30,871.7)**	**(25,992.5)**	**(4,879.2)**	**-18.8%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**250.0**	**369.0**	**(322.3)**	**253.2**	**129.6**	**(437.3)**	**297.4**	**357.9**	**22.8**	**1,596.4**	**429.1**	**(2,947.2)**	**(1.4)**	**(88.3)**	**86.9**	**98.4%**
Ending Fund Balance	**$ 314.8**	**$ 683.8**	**$ 361.5**	**$ 614.7**	**$ 744.3**	**$ 307.0**	**$ 604.4**	**$ 962.3**	**$ 985.1**	**$ 2,581.5**	**$ 3,010.6**	**$ 63.4**	**$ 63.4**	**$ 64.8**	**$ (1.4)**	**-2.2%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT I

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (1,137.9)	$ (1,018.2)	$ (1,249.2)	$ (1,212.5)	$ (1,334.5)	$ (1,351.4)	$ (1,288.1)	$ (946.2)	$ (1,042.3)	$ (1,127.3)	$ (946.9)	$ (1,066.9)		$ (1,137.9)	$ (1,151.2)	$ 13.3	1.2%
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	3.5	0.3	19.5	0.1	0.1	33.7	(7.3)	0.1	20.6	-	0.1	16.8	-	87.5	81.0	6.5	8.0%
Motor Fuel	36.4	34.8	36.6	30.4	37.8	34.1	37.3	32.9	31.4	33.5	28.1	30.3	-	403.6	417.1	(13.5)	-3.2%
Highway Use	14.2	10.6	9.8	14.5	10.7	11.0	14.3	10.9	13.8	12.2	8.9	10.0	-	140.9	146.6	(5.7)	-3.9%
Total Consumption/Use Taxes	**54.1**	**45.7**	**65.9**	**45.0**	**48.6**	**78.8**	**44.3**	**43.9**	**65.8**	**45.7**	**37.1**	**57.1**	**-**	**632.0**	**644.7**	**(12.7)**	**-2.0%**
Business Taxes:																	
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Corporation and Utilities	3.3	(0.1)	1.9	-	-	3.1	0.1	0.1	2.7	-	-	3.5	-	14.6	15.3	(0.7)	-4.6%
Petroleum Business	56.3	55.8	58.4	52.5	60.0	56.8	60.2	52.2	51.5	54.9	45.0	48.2	-	651.8	654.4	(2.6)	-0.4%
Total Business Taxes	**59.6**	**55.7**	**60.3**	**52.5**	**60.0**	**59.9**	**60.3**	**52.3**	**54.2**	**54.9**	**45.0**	**51.7**	**-**	**666.4**	**669.7**	**(3.3)**	**-0.5%**
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	11.9	-	119.1	119.1	-	0.0%
Total Other Taxes	**-**	**-**	**11.9**	**11.9**	**11.9**	**11.9**	**12.0**	**11.9**	**11.9**	**11.9**	**11.9**	**11.9**	**-**	**119.1**	**119.1**	**-**	**0.0%**
Total Taxes	**113.7**	**101.4**	**138.1**	**109.4**	**120.5**	**150.6**	**116.6**	**108.1**	**131.9**	**112.5**	**94.0**	**120.7**	**-**	**1,417.5**	**1,433.5**	**(16.0)**	**-1.1%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-	-	-	-	-	-	-	-	-	-	23.0	23.0	-	0.0%
Assessments:																	
Business	10.1	9.3	8.7	8.2	8.6	8.3	8.3	8.0	7.6	8.3	8.4	9.3	-	103.1	101.0	2.1	2.1%
Fees, Licenses and Permits:																	
Business/Professional	0.8	5.6	3.7	2.5	10.7	1.3	1.2	2.0	0.6	0.6	2.3	0.4	-	31.7	32.5	(0.8)	-2.5%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	67.0	69.3	65.4	60.8	63.6	60.4	57.4	58.5	52.3	59.9	61.4	52.7	-	728.7	714.8	13.9	1.9%
Recreational/Consumer	0.2	0.1	-	-	-	3.0	12.8	(1.2)	-	15.9	0.1	-	-	30.9	36.1	(5.2)	-14.4%
Fines, Penalties and Forfeitures	2.3	2.0	2.3	1.7	1.7	2.3	1.9	5.1	1.3	1.6	0.5	4.0	-	26.7	26.1	0.6	2.3%
Interest Earnings	1.1	1.0	1.0	1.1	1.0	1.0	1.0	0.9	0.8	0.8	0.8	0.8	-	11.3	11.0	0.3	2.7%
Receipts from Public Authorities:																	
Bond Proceeds	200.8	2.8	146.4	155.3	79.2	499.1	1,588.2	23.6	328.3	519.4	11.9	1,853.7	-	5,408.7	6,494.0	(1,085.3)	-16.7%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	3.5	0.1	0.4	(0.1)	-	-	20.4	25.3	0.4	2.3	0.9	1.1	-	54.3	5.8	48.5	836.2%
Receipts from Municipalities	0.3	-	0.3	-	0.3	0.2	0.2	0.4	0.1	1.3	0.7	0.3	-	4.1	1.2	2.9	241.7%
Rentals	0.9	0.8	0.5	0.6	1.6	0.7	0.7	0.8	0.4	1.1	0.2	2.6	-	10.9	12.8	(1.9)	-14.8%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	10.1	0.5	0.3	0.6	0.3	0.3	3.4	1.7	2.8	0.4	14.2	(0.1)	-	34.5	6.0	28.5	475.0%
Indirect Cost Recoveries	(0.9)	-	-	-	-	-	-	-	-	-	-	3.5	-	2.6	4.4	(1.8)	-40.9%
Rebates	-	0.1	0.1	-	-	-	-	-	-	-	-	1.0	-	1.2	0.5	0.7	140.0%
Restitution and Settlements	0.2	0.5	0.4	0.1	0.2	0.1	2.5	0.3	6.5	1.2	0.1	0.5	-	12.6	10.0	2.6	26.0%
All Other	3.6	0.8	1.1	14.5	12.3	4.9	4.4	3.2	2.9	6.7	3.6	2.7	-	60.7	15.3	45.4	296.7%
Sales	4.2	-	-	-	-	-	0.1	0.2	0.1	-	0.2	1.0	-	5.8	2.5	3.3	132.0%
Total Miscellaneous Receipts	**304.2**	**92.9**	**253.6**	**245.3**	**179.5**	**581.6**	**1,702.5**	**128.8**	**404.1**	**619.5**	**105.3**	**1,933.5**	**-**	**6,550.8**	**7,497.0**	**(946.2)**	**-12.6%**
Federal Receipts	38.3	142.9	132.2	155.7	173.6	179.6	340.8	184.3	158.7	344.4	120.4	138.1	-	2,109.0	2,350.0	(241.0)	-10.3%
Total Receipts	**456.2**	**337.2**	**523.9**	**510.4**	**473.6**	**911.8**	**2,159.9**	**421.2**	**694.7**	**1,076.4**	**319.7**	**2,192.3**	**-**	**10,077.3**	**11,280.5**	**(1,203.2)**	**-10.7%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT I

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	9.2	3.2	10.3	10.7	12.0	42.4	14.4	18.2	24.9	19.9	3.4	7.2	-	175.8	152.9	22.9	15.0%
Environment and Recreation	25.1	33.5	2.1	15.0	14.0	17.6	14.8	11.9	13.3	232.6	13.3	23.9	-	417.1	426.5	(9.4)	-2.2%
General Government	31.2	121.8	149.0	39.4	17.8	59.1	128.4	18.6	140.6	55.3	25.9	63.0	-	850.1	1,014.7	(164.6)	-16.2%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	30.8	41.6	20.6	76.0	17.7	20.2	72.5	34.3	44.6	88.4	34.3	74.4	-	555.4	351.1	204.3	58.2%
Public Safety	10.0	(0.1)	(0.7)	0.1	0.3	12.0	1.2	14.8	1.8	44.7	2.0	10.7	-	96.8	75.9	20.9	27.5%
Public Welfare	-	10.9	86.1	6.0	9.1	42.8	3.2	83.1	13.3	98.9	14.7	45.0	-	413.1	344.3	68.8	20.0%
Support and Regulate Business	26.7	149.2	197.4	39.2	40.9	61.9	38.0	43.8	102.6	31.8	101.3	46.2	-	879.0	1,087.0	(208.0)	-19.1%
Transportation	232.7	44.7	70.8	57.1	45.1	508.7	80.1	36.8	281.2	49.8	80.5	138.3	-	1,625.8	1,781.3	(155.5)	-8.7%
Total Local Assistance Grants	**365.7**	**404.8**	**535.6**	**243.5**	**156.9**	**764.7**	**352.6**	**261.5**	**622.3**	**621.4**	**275.4**	**408.7**	**-**	**5,013.1**	**5,233.7**	**(220.6)**	**-4.2%**
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	434.1	528.1	536.4	600.7	750.4	582.6	710.7	705.0	577.0	551.8	476.4	532.1	-	6,985.3	7,031.2	(45.9)	-0.7%
Total Disbursements	**799.8**	**932.9**	**1,072.0**	**844.2**	**907.3**	**1,347.3**	**1,063.3**	**966.5**	**1,199.3**	**1,173.2**	**751.8**	**940.8**	**-**	**11,998.4**	**12,264.9**	**(266.5)**	**-2.2%**
Excess (Deficiency) of Receipts over Disbursements	**(343.6)**	**(595.7)**	**(548.1)**	**(333.8)**	**(433.7)**	**(435.5)**	**1,096.6**	**(545.3)**	**(504.6)**	**(96.8)**	**(432.1)**	**1,251.5**	**-**	**(1,921.1)**	**(984.4)**	**(936.7)**	**-95.2%**
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	132.9	(132.9)	-100.0%
Transfers from Other Funds	509.2	412.4	633.5	260.6	486.3	752.3	(532.5)	494.6	508.4	322.9	480.9	(483.1)	(299.0)	3,546.5	2,218.7	1,327.8	59.8%
Transfers to Other Funds	(45.9)	(47.7)	(48.7)	(48.8)	(69.5)	(253.5)	(222.2)	(45.4)	(88.8)	(45.7)	(168.8)	(736.4)	299.0	(1,522.4)	(1,353.9)	168.5	12.4%
Total Other Financing Sources (Uses)	**463.3**	**364.7**	**584.8**	**211.8**	**416.8**	**498.8**	**(754.7)**	**449.2**	**419.6**	**277.2**	**312.1**	**(1,219.5)**	**-**	**2,024.1**	**997.7**	**1,026.4**	**102.9%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**119.7**	**(231.0)**	**36.7**	**(122.0)**	**(16.9)**	**63.3**	**341.9**	**(96.1)**	**(85.0)**	**180.4**	**(120.0)**	**32.0**	**-**	**103.0**	**13.3**	**89.7**	**674.4%**
Ending Fund Balance	**$ (1,018.2)**	**$ (1,249.2)**	**$ (1,212.5)**	**$ (1,334.5)**	**$ (1,351.4)**	**$ (1,288.1)**	**$ (946.2)**	**$ (1,042.3)**	**$ (1,127.3)**	**$ (946.9)**	**$ (1,066.9)**	**$ (1,034.9)**	**$ -**	**$ (1,034.9)**	**$ (1,137.9)**	**$ 103.0**	**9.1%**

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT I

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (633.2)	$ (446.5)	$ (699.4)	$ (636.7)	$ (771.8)	$ (804.6)	$ (758.6)	$ (373.3)	$ (491.0)	$ (579.7)	$ (481.7)	$ (500.1)	$ (633.2)	$ (568.4)	$ (64.8)	-11.4%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	3.5	0.3	19.5	0.1	0.1	33.7	(7.3)	0.1	20.6	-	0.1	16.8	87.5	81.0	6.5	8.0%
Motor Fuel	36.4	34.8	36.6	30.4	37.8	34.1	37.3	32.9	31.4	33.5	28.1	30.3	403.6	417.1	(13.5)	-3.2%
Highway Use	14.2	10.6	9.8	14.5	10.7	11.0	14.3	10.9	13.8	12.2	8.9	10.0	140.9	146.6	(5.7)	-3.9%
Total Consumption/Use Taxes	**54.1**	**45.7**	**65.9**	**45.0**	**48.6**	**78.8**	**44.3**	**43.9**	**65.8**	**45.7**	**37.1**	**57.1**	**632.0**	**644.7**	**(12.7)**	**-2.0%**
Business Taxes																
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Corporation and Utilities	3.3	(0.1)	1.9	-	-	3.1	0.1	0.1	2.7	-	-	3.5	14.6	15.3	(0.7)	-4.6%
Petroleum Business	56.3	55.8	58.4	52.5	60.0	56.8	60.2	52.2	51.5	54.9	45.0	48.2	651.8	654.4	(2.6)	-0.4%
Total Business Taxes	**59.6**	**55.7**	**60.3**	**52.5**	**60.0**	**59.9**	**60.3**	**52.3**	**54.2**	**54.9**	**45.0**	**51.7**	**666.4**	**669.7**	**(3.3)**	**-0.5%**
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	11.9	119.1	119.1	-	0.0%
Total Other Taxes	**-**	**-**	**11.9**	**11.9**	**11.9**	**11.9**	**12.0**	**11.9**	**11.9**	**11.9**	**11.9**	**11.9**	**119.1**	**119.1**	**-**	**0.0%**
Total Taxes	**113.7**	**101.4**	**138.1**	**109.4**	**120.5**	**150.6**	**116.6**	**108.1**	**131.9**	**112.5**	**94.0**	**120.7**	**1,417.5**	**1,433.5**	**(16.0)**	**-1.1%**
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-	-	-	-	-	-	-	23.0	23.0	-	0.0%
Assessments:																
Business	10.1	9.3	8.7	8.2	8.6	8.3	8.3	8.0	7.6	8.3	8.4	9.3	103.1	101.0	2.1	2.1%
Fees, Licenses and Permits:																
Business/Professional	0.8	5.6	3.7	2.5	10.7	1.3	1.2	2.0	0.6	0.6	2.3	0.4	31.7	32.5	(0.8)	-2.5%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	67.0	69.3	65.4	60.8	63.6	60.4	57.4	58.5	52.3	59.9	61.4	52.7	728.7	714.8	13.9	1.9%
Recreational/Consumer	0.2	0.1	-	-	-	3.0	12.8	(1.2)	-	15.9	0.1	-	30.9	36.1	(5.2)	-14.4%
Fines, Penalties and Forfeitures	2.3	2.0	2.3	1.7	1.7	2.3	1.9	5.1	1.3	1.6	0.5	4.0	26.7	26.1	0.6	2.3%
Interest Earnings	1.1	1.0	1.0	1.1	1.0	1.0	1.0	0.9	0.8	0.8	0.8	0.8	11.3	11.0	0.3	2.7%
Receipts from Public Authorities:																
Bond Proceeds	200.8	2.8	146.4	155.3	79.2	499.1	1,588.2	23.6	328.3	519.4	11.9	1,853.7	5,408.7	6,494.0	(1,085.3)	-16.7%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	3.5	0.1	0.4	(0.1)	-	-	20.4	25.3	0.4	2.3	0.9	1.1	54.3	5.8	48.5	836.2%
Receipts from Municipalities	0.3	-	0.3	-	0.3	0.2	0.2	0.4	0.1	1.3	0.7	0.3	4.1	1.2	2.9	241.7%
Rentals	0.8	0.8	0.4	0.5	1.6	0.6	0.6	0.7	0.4	1.0	0.2	2.4	10.0	12.0	(2.0)	-16.7%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	10.1	0.5	0.3	0.6	0.3	0.3	3.4	1.7	2.8	0.4	14.2	(0.1)	34.5	6.0	28.5	475.0%
Indirect Cost Recoveries	(0.9)	-	-	-	-	-	-	-	-	-	-	3.5	2.6	4.4	(1.8)	-40.9%
Rebates	-	0.1	0.1	-	-	-	-	-	-	-	-	1.0	1.2	0.5	0.7	140.0%
Restitution and Settlements	0.2	0.5	0.4	0.1	0.2	0.1	2.5	0.3	6.5	1.2	0.1	0.5	12.6	10.0	2.6	26.0%
All Other	3.6	0.8	1.1	14.5	12.3	4.9	4.4	3.2	2.9	6.7	3.6	2.7	60.7	15.3	45.4	296.7%
Sales	4.2	-	-	-	-	-	0.1	0.2	0.1	-	-	1.0	5.6	2.2	3.4	154.5%
Total Miscellaneous Receipts	**304.1**	**92.9**	**253.5**	**245.2**	**179.5**	**581.5**	**1,702.4**	**128.7**	**404.1**	**619.4**	**105.1**	**1,933.3**	**6,549.7**	**7,495.9**	**(946.2)**	**-12.6%**
Federal Receipts	-	-	-	-	-	2.3	-	-	-	-	-	2.3	4.6	5.0	(0.4)	-8.0%
Total Receipts	**417.8**	**194.3**	**391.6**	**354.6**	**300.0**	**734.4**	**1,819.0**	**236.8**	**536.0**	**731.9**	**199.1**	**2,056.3**	**7,971.8**	**8,934.4**	**(962.6)**	**-10.8%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT I

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	9.2	3.2	10.3	10.7	12.0	42.4	14.4	18.2	24.9	19.9	3.4	7.2	175.8	152.9	22.9	15.0%
Environment and Recreation	25.1	33.5	2.1	15.0	14.0	17.6	14.8	11.9	13.3	61.6	13.3	19.5	241.7	249.4	(7.7)	-3.1%
General Government	31.2	121.8	149.0	39.4	17.8	59.1	128.4	18.6	140.6	55.3	25.9	63.0	850.1	1,014.7	(164.6)	-16.2%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	30.8	41.6	20.6	75.6	17.7	19.4	34.8	34.1	44.6	88.4	34.3	70.6	512.5	303.5	209.0	68.9%
Public Safety	10.0	(0.1)	(10.8)	-	0.3	2.0	1.2	6.4	1.8	44.7	2.0	5.3	62.8	32.3	30.5	94.4%
Public Welfare	-	10.9	86.1	6.0	9.1	42.8	3.2	83.1	13.3	98.9	14.7	45.0	413.1	344.3	68.8	20.0%
Support and Regulate Business	26.7	149.2	197.4	39.2	40.9	61.9	38.0	43.8	102.6	31.8	101.3	46.2	879.0	1,087.0	(208.0)	-19.1%
Transportation	192.7	7.1	23.2	19.3	5.8	476.3	29.6	4.8	222.5	9.9	8.1	84.1	1,083.4	1,331.6	(248.2)	-18.6%
Total Local Assistance Grants	**325.7**	**367.2**	**477.9**	**205.2**	**117.6**	**721.5**	**264.4**	**220.9**	**563.6**	**410.5**	**203.0**	**340.9**	**4,218.4**	**4,515.7**	**(297.3)**	**-6.6%**
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	368.7	444.7	435.8	496.3	632.0	465.7	590.5	582.8	480.8	500.7	428.6	489.0	5,915.6	5,805.7	109.9	1.9%
Total Disbursements	**694.4**	**811.9**	**913.7**	**701.5**	**749.6**	**1,187.2**	**854.9**	**803.7**	**1,044.4**	**911.2**	**631.6**	**829.9**	**10,134.0**	**10,321.4**	**(187.4)**	**-1.8%**
Excess (Deficiency) of Receipts over Disbursements	**(276.6)**	**(617.6)**	**(522.1)**	**(346.9)**	**(449.6)**	**(452.8)**	**964.1**	**(566.9)**	**(508.4)**	**(179.3)**	**(432.5)**	**1,226.4**	**(2,162.2)**	**(1,387.0)**	**(775.2)**	**-55.9%**
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	132.9	(132.9)	-100.0%
Transfers from Other Funds	509.2	412.4	633.5	260.6	486.3	752.3	(532.5)	494.6	508.4	322.9	480.9	(483.1)	3,845.5	2,543.0	1,302.5	51.2%
Transfers to Other Funds	(45.9)	(47.7)	(48.7)	(48.8)	(69.5)	(253.5)	(46.3)	(45.4)	(88.7)	(45.6)	(66.8)	(715.4)	(1,522.3)	(1,353.7)	168.6	12.5%
Total Other Financing Sources (Uses)	**463.3**	**364.7**	**584.8**	**211.8**	**416.8**	**498.8**	**(578.8)**	**449.2**	**419.7**	**277.3**	**414.1**	**(1,198.5)**	**2,323.2**	**1,322.2**	**1,001.0**	**75.7%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**186.7**	**(252.9)**	**62.7**	**(135.1)**	**(32.8)**	**46.0**	**385.3**	**(117.7)**	**(88.7)**	**98.0**	**(18.4)**	**27.9**	**161.0**	**(64.8)**	**225.8**	**348.5%**
Ending Fund Balance	**$ (446.5)**	**$ (699.4)**	**$ (636.7)**	**$ (771.8)**	**$ (804.6)**	**$ (758.6)**	**$ (373.3)**	**$ (491.0)**	**$ (579.7)**	**$ (481.7)**	**$ (500.1)**	**$ (472.2)**	**$ (472.2)**	**$ (633.2)**	**$ 161.0**	**25.4%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT I

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (504.7)	$ (571.7)	$ (549.8)	$ (575.8)	$ (562.7)	$ (546.8)	$ (529.5)	$ (572.9)	$ (551.3)	$ (547.6)	$ (465.2)	$ (566.8)	$ (504.7)	$ (582.8)	$ 78.1	13.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	0.1	-	0.1	0.1	-	0.1	0.1	0.1	-	0.1	-	0.2	0.9	0.8	0.1	12.5%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-	0.2	-	0.2	0.3	(0.1)	-33.3%
Total Miscellaneous Receipts	**0.1**	**-**	**0.1**	**0.1**	**-**	**0.1**	**0.1**	**0.1**	**-**	**0.1**	**0.2**	**0.2**	**1.1**	**1.1**	**-**	**0.0%**
Federal Receipts	38.3	142.9	132.2	155.7	173.6	177.3	340.8	184.3	158.7	344.4	120.4	135.8	2,104.4	2,345.0	(240.6)	-10.3%
Total Receipts	**38.4**	**142.9**	**132.3**	**155.8**	**173.6**	**177.4**	**340.9**	**184.4**	**158.7**	**344.5**	**120.6**	**136.0**	**2,105.5**	**2,346.1**	**(240.6)**	**-10.3%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	171.0	-	4.4	175.4	177.1	(1.7)	-1.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	0.4	-	0.8	37.7	0.2	-	-	-	3.8	42.9	47.6	(4.7)	-9.9%
Public Safety	-	-	10.1	0.1	-	10.0	-	8.4	-	-	-	5.4	34.0	43.6	(9.6)	-22.0%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	40.0	37.6	47.6	37.8	39.3	32.4	50.5	32.0	58.7	39.9	72.4	54.2	542.4	449.7	92.7	20.6%
Total Local Assistance Grants	**40.0**	**37.6**	**57.7**	**38.3**	**39.3**	**43.2**	**88.2**	**40.6**	**58.7**	**210.9**	**72.4**	**67.8**	**794.7**	**718.0**	**76.7**	**10.7%**
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	65.4	83.4	100.6	104.4	118.4	116.9	120.2	122.2	96.2	51.1	47.8	43.1	1,069.7	1,225.5	(155.8)	-12.7%
Total Disbursements	**105.4**	**121.0**	**158.3**	**142.7**	**157.7**	**160.1**	**208.4**	**162.8**	**154.9**	**262.0**	**120.2**	**110.9**	**1,864.4**	**1,943.5**	**(79.1)**	**-4.1%**
Excess (Deficiency) of Receipts over Disbursements	**(67.0)**	**21.9**	**(26.0)**	**13.1**	**15.9**	**17.3**	**132.5**	**21.6**	**3.8**	**82.5**	**0.4**	**25.1**	**241.1**	**402.6**	**(161.5)**	**-40.1%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	(175.9)	-	(0.1)	(0.1)	(102.0)	(21.0)	(299.1)	(324.5)	(25.4)	-7.8%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**(175.9)**	**-**	**(0.1)**	**(0.1)**	**(102.0)**	**(21.0)**	**(299.1)**	**(324.5)**	**(25.4)**	**-7.8%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(67.0)**	**21.9**	**(26.0)**	**13.1**	**15.9**	**17.3**	**(43.4)**	**21.6**	**3.7**	**82.4**	**(101.6)**	**4.1**	**(58.0)**	**78.1**	**(136.1)**	**-174.3%**
Ending Fund Balance	$ (571.7)	$ (549.8)	$ (575.8)	$ (562.7)	$ (546.8)	$ (529.5)	$ (572.9)	$ (551.3)	$ (547.6)	$ (465.2)	$ (566.8)	$ (562.7)	$ (562.7)	$ (504.7)	$ (58.0)	-11.5%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT J

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 26.6	$ 26.6	$ 26.9	$ 27.3	$ 27.8	$ 27.1	$ 39.3	$ 33.4	$ 31.1	$ 28.9	$ 30.4	$ 29.9	$ 26.6	$ 24.6	$ 2.0	8.1%
RECEIPTS:																
Miscellaneous Receipts	4.8	6.1	5.1	5.5	6.7	17.2	9.9	5.7	4.6	6.0	4.3	4.8	80.7	61.6	19.1	31.0%
Federal Receipts	1.1	1.0	0.9	1.0	0.9	0.9	1.0	1.0	5.9	1.0	0.9	1.2	16.8	15.1	1.7	11.3%
Unemployment Taxes	187.5	139.2	134.9	186.0	160.6	150.3	147.0	151.2	220.3	227.7	222.6	357.4	2,284.7	2,013.6	271.1	13.5%
Total Receipts	193.4	146.3	140.9	192.5	168.2	168.4	157.9	157.9	230.8	234.7	227.8	363.4	2,382.2	2,090.3	291.9	14.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.3	0.6	0.3	0.3	0.4	0.4	8.2	2.5	1.3	1.0	0.9	0.9	17.1	6.0	11.1	185.0%
Non-Personal Service	3.6	5.1	4.5	4.4	7.2	4.3	7.8	4.8	4.6	3.7	4.3	3.2	57.5	53.5	4.0	7.5%
General State Charges	0.1	-	0.1	0.1	-	0.1	-	0.7	0.2	0.1	0.1	0.1	1.6	1.0	0.6	60.0%
Unemployment Benefits	189.4	140.3	135.6	187.2	161.3	151.4	147.8	152.2	226.9	228.4	223.0	359.9	2,303.4	2,027.8	275.6	13.6%
Total Disbursements	193.4	146.0	140.5	192.0	168.9	156.2	163.8	160.2	233.0	233.2	228.3	364.1	2,379.6	2,088.3	291.3	13.9%
Excess (Deficiency) of Receipts over Disbursements	-	0.3	0.4	0.5	(0.7)	12.2	(5.9)	(2.3)	(2.2)	1.5	(0.5)	(0.7)	2.6	2.0	0.6	30.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	0.5	0.5	-	0.5	100.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	0.5	0.5	-	0.5	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	-	0.3	0.4	0.5	(0.7)	12.2	(5.9)	(2.3)	(2.2)	1.5	(0.5)	(0.2)	3.1	2.0	1.1	55.0%
Ending Fund Balance	$ 26.6	$ 26.9	$ 27.3	$ 27.8	$ 27.1	$ 39.3	$ 33.4	$ 31.1	$ 28.9	$ 30.4	$ 29.9	$ 29.7	$ 29.7	$ 26.6	$ 3.1	11.7%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT K

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (302.7)	$ (293.4)	$ (318.6)	$ (293.7)	$ (284.4)	$ (296.5)	$ (278.3)	$ (288.7)	$ (290.7)	$ (291.3)	$ (264.4)	$ (286.5)	$ (302.7)	$ (269.2)	$ (33.5)	-12.4%
RECEIPTS:																
Miscellaneous Receipts	33.2	32.5	42.3	56.6	37.9	61.7	43.4	57.0	41.9	62.3	56.8	63.7	589.3	531.1	58.2	11.0%
Total Receipts	33.2	32.5	42.3	56.6	37.9	61.7	43.4	57.0	41.9	62.3	56.8	63.7	589.3	531.1	58.2	11.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	9.7	14.0	9.6	9.7	9.9	9.6	14.0	9.6	9.5	10.7	8.8	11.1	126.2	109.7	16.5	15.0%
Non-Personal Service	24.4	56.3	5.9	34.7	36.4	34.1	41.8	48.1	29.7	28.1	70.5	79.2	489.2	468.6	20.6	4.4%
General State Charges	4.1	4.9	6.6	5.2	4.6	4.6	1.6	4.7	4.5	7.3	4.4	3.8	56.3	73.8	(17.5)	-23.7%
Total Disbursements	38.2	75.2	22.1	49.6	50.9	48.3	57.4	62.4	43.7	46.1	83.7	94.1	671.7	652.1	19.6	3.0%
Excess (Deficiency) of Receipts over Disbursements	(5.0)	(42.7)	20.2	7.0	(13.0)	13.4	(14.0)	(5.4)	(1.8)	16.2	(26.9)	(30.4)	(82.4)	(121.0)	38.6	31.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	14.3	17.5	4.7	2.3	1.3	5.3	3.6	3.6	4.4	10.7	4.8	37.1	109.6	116.0	(6.4)	-5.5%
Transfers to Other Funds	-	-	-	-	(0.4)	(0.5)	-	(0.2)	(3.2)	-	-	(17.7)	(22.0)	(28.5)	(6.5)	-22.8%
Total Other Financing Sources (Uses)	14.3	17.5	4.7	2.3	0.9	4.8	3.6	3.4	1.2	10.7	4.8	19.4	87.6	87.5	0.1	0.1%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	9.3	(25.2)	24.9	9.3	(12.1)	18.2	(10.4)	(2.0)	(0.6)	26.9	(22.1)	(11.0)	5.2	(33.5)	38.7	115.5%
Ending Fund Balance	$ (293.4)	$ (318.6)	$ (293.7)	$ (284.4)	$ (296.5)	$ (278.3)	$ (288.7)	$ (290.7)	$ (291.3)	$ (264.4)	$ (286.5)	$ (297.5)	$ (297.5)	$ (302.7)	$ 5.2	1.7%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT L

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (3.0)	$ (7.4)	$ (11.8)	$ (16.5)	$ (22.6)	$ (2.0)	$ (7.0)	$ (3.2)	$ (8.0)	$ 0.1	$ (6.1)	$ (10.5)	$ (3.0)	$ (2.0)	$ (1.0)	-50.0%
RECEIPTS:																
Miscellaneous Receipts	5.3	7.8	5.1	5.1	30.0	5.2	12.7	5.3	36.3	5.3	5.3	21.8	145.2	144.0	1.2	0.8%
Total Receipts	5.3	7.8	5.1	5.1	30.0	5.2	12.7	5.3	36.3	5.3	5.3	21.8	145.2	144.0	1.2	0.8%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	5.3	7.7	5.4	5.0	5.1	5.3	7.7	5.3	5.3	5.1	5.3	7.9	70.4	69.3	1.1	1.6%
Non-Personal Service	1.1	1.1	1.1	1.3	1.1	1.6	1.0	1.5	19.5	1.4	1.0	2.7	34.4	31.3	3.1	9.9%
General State Charges	3.3	3.4	3.3	4.9	3.2	3.3	0.2	3.3	3.4	5.0	3.4	1.8	38.5	44.4	(5.9)	-13.3%
Total Disbursements	9.7	12.2	9.8	11.2	9.4	10.2	8.9	10.1	28.2	11.5	9.7	12.4	143.3	145.0	(1.7)	-1.2%
Excess (Deficiency) of Receipts over Disbursements	(4.4)	(4.4)	(4.7)	(6.1)	20.6	(5.0)	3.8	(4.8)	8.1	(6.2)	(4.4)	9.4	1.9	(1.0)	2.9	290.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(4.4)	(4.4)	(4.7)	(6.1)	20.6	(5.0)	3.8	(4.8)	8.1	(6.2)	(4.4)	9.4	1.9	(1.0)	2.9	290.0%
Ending Fund Balance	$ (7.4)	$ (11.8)	$ (16.5)	$ (22.6)	$ (2.0)	$ (7.0)	$ (3.2)	$ (8.0)	$ 0.1	$ (6.1)	$ (10.5)	$ (1.1)	$ (1.1)	$ (3.0)	$ 1.9	63.3%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT M

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31 2020	2019	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 13.2	$ 13.4	$ 13.5	$ 13.6	$ 13.7	$ 13.8	$ 13.8	$ 13.9	$ 14.0	$ 14.1	$ 14.1	$ 14.2	$ 13.2	$ 11.9	$ 1.3	10.9%
RECEIPTS:																
Miscellaneous Receipts	0.2	0.1	0.1	0.2	0.1	0.1	0.1	0.1	0.2	-	0.1	0.2	1.5	1.5	-	0.0%
Total Receipts	0.2	0.1	0.1	0.2	0.1	0.1	0.1	0.1	0.2	-	0.1	0.2	1.5	1.5	-	0.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	-	-	0.1	-	-	-	-	0.1	-	-	-	0.2	0.1	0.1	100.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	0.1	-	-	-	-	-	0.1	0.2	0.1	0.1	100.0%
Total Disbursements	-	-	-	0.1	-	0.1	-	-	0.1	-	-	0.1	0.4	0.2	0.2	100.0%
Excess (Deficiency) of Receipts over Disbursements	0.2	0.1	0.1	0.1	0.1	-	0.1	0.1	0.1	-	0.1	0.1	1.1	1.3	(0.2)	-15.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.2	0.1	0.1	0.1	0.1	-	0.1	0.1	0.1	-	0.1	0.1	1.1	1.3	(0.2)	-15.4%
Ending Fund Balance	$ 13.4	$ 13.5	$ 13.6	$ 13.7	$ 13.8	$ 13.8	$ 13.9	$ 14.0	$ 14.1	$ 14.1	$ 14.2	$ 14.3	$ 14.3	$ 13.2	$ 1.1	8.3%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF MARCH 2020
(amounts in millions)

SCHEDULE 1

	BALANCE MARCH 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2020
GENERAL FUND					
10000-10049-Local Assistance Account	$ -	$ (0.344)	$ 10,158.861	$ 10,159.205	$ -
10050-10099-State Operations Account	10,932.370	4,841.440	1,953.821	(13,819.989)	-
10100-10149-Tax Stabilization Reserve	-	-	-	1,257.763	1,257.763
10150-10199-Contingency Reserve	-	-	-	20.624	20.624
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	30.945	-	0.290	-	30.655
10300-10349-Rainy Day Reserve Fund	-	-	-	1,217.544	1,217.544
10400-10449-Refund Reserve Account	-	-	-	6,417.639	6,417.639
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	**10,963.315**	**4,841.096**	**12,112.972**	**5,252.786**	**8,944.225**
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.821	0.002	-	-	0.823
20100-20299-Combined Expendable Trust	68.133	0.569	0.045	1.372	70.029
20300-20349-New York Interest on Lawyer Account	99.000	3.822	0.287	-	102.535
20350-20399-NYS Archives Partnership Trust	0.045	-	0.031	(0.010)	0.004
20400-20449-Child Performer's Protection	0.099	0.007	0.046	(0.010)	0.050
20450-20499-Tuition Reimbursement	8.034	0.263	0.316	(0.085)	7.896
20500-20549-New York State Local Government Records Management Improvement	4.163	0.499	0.354	(0.845)	3.463
20550-20599-School Tax Relief	0.218	34.588	34.806	-	-
20600-20649-Charter Schools Stimulus	1.248	0.002	-	4.837	6.087
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	216.061	483.183	536.892	(146.647)	15.705
20850-20899-Dedicated Mass Transportation Trust	58.360	46.473	40.011	20.006	84.828
20900-20949-State Lottery	(97.747)	364.987	149.363	(0.357)	117.520
20950-20999-Combined Student Loan	16.730	1.926	0.223	-	18.433
21000-21049-Sewage Treatment Program Mgmt. & Administration	(4.120)	0.876	0.064	-	(3.308)
21050-21149-Encon Special Revenue	2.249	11.727	6.807	(1.476)	5.693
21150-21199-Conservation	84.522	1.503	2.758	-	83.267
21200-21249-Environmental Protection and Oil Spill Compensation	25.455	5.961	1.656	(3.204)	26.556
21250-21299-Training and Education Program on OSHA	6.292	0.007	3.813	(0.856)	1.630
21300-21349-Lawyers' Fund for Client Protection	10.666	0.868	0.102	-	11.432
21350-21399-Equipment Loan for the Disabled	0.542	0.001	-	-	0.543
21400-21449-Mass Transportation Operating Assistance	11.024	405.355	1.172	(0.571)	414.636
21450-21499-Clean Air	(32.171)	2.256	3.385	-	(33.300)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.140	0.126	0.032	-	12.234
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.467	-	-	-	0.467
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	(0.001)	-	-	-
21850-21899-Arts Capital Grants	0.980	0.002	-	-	0.982
21900-22499-Miscellaneous State Special Revenue	1,451.224	248.319	250.062	(71.785)	1,377.696
22500-22549-Court Facilities Incentive Aid	10.211	0.023	1.711	-	8.523

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF MARCH 2020
(amounts in millions)

SCHEDULE 1

	BALANCE MARCH 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2020
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.052	-	-	-	0.052
22650-22699-State University Income	1,699.841	235.655	433.228	(13.413)	1,488.855
22700-22749-Chemical Dependence Service	11.773	0.146	1.781	-	10.138
22750-22799-Lake George Park Trust	0.123	-	(0.047)	-	0.170
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	54.419	9.893	5.728	(37.774)	20.810
22850-22899-New York Great Lakes Protection	0.393	0.001	0.012	-	0.382
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	10.554	0.014	(0.050)	-	10.618
23000-23049-NYS/DOT Highway Safety Program	(14.373)	0.293	0.250	-	(14.330)
23050-23099-Vocational Rehabilitation	0.053	0.006	-	-	0.059
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(58.443)	32.468	2.003	-	(27.978)
23200-23249-Judiciary Data Processing Offset	50.467	5.071	2.071	-	53.467
23250-23449-IFR/CUTRA	203.688	10.239	9.612	-	204.315
23500-23549-USOC Lake Placid Training	0.292	0.007	-	-	0.299
23550-23599-Indigent Legal Services	437.686	24.324	10.221	-	451.789
23600-23649-Unemployment Insurance Interest and Penalty	31.691	0.564	0.261	(0.042)	31.952
23650-23699-MTA Financial Assistance Fund	102.187	0.115	-	12.500	114.802
23700-23749-New York State Commercial Gaming Fund	118.249	11.120	113.370	-	15.999
23750-23799-Medical Marihuana Trust Fund	7.674	0.413	0.431	4.279	11.935
23800-23899-Dedicated Miscellaneous State Special Revenue	3.285	0.199	0.607	(0.021)	2.856
24850-24899-Health Care Transformation	1,024.336	1.293	-	(710.332)	315.297
24900-24949-Charitable Gifts Trust Fund	95.522	0.123	-	-	95.645
24950-24999-Interactive Fantasy Sports	19.207	0.400	0.017	-	19.590
40350-40399-State University Dormitory Income	289.235	20.830	-	(45.266)	264.799
TOTAL SPECIAL REVENUE FUNDS-STATE	**6,037.302**	**1,966.518**	**1,613.431**	**(989.700)**	**5,400.689**
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(13.379)	213.052	256.675	(0.808)	(57.810)
25100-25199-Federal Health and Human Services	1,740.386	3,635.765	4,117.812	(145.117)	1,113.222
25200-25249-Federal Education	(37.310)	276.347	251.193	(3.072)	(15.228)
25300-25899-Federal Miscellaneous Operating Grants	(264.969)	178.344	166.167	(0.077)	(252.869)
25900-25949-Unemployment Insurance Administration	131.761	32.572	25.805	(11.554)	126.974
25950-25999-Unemployment Insurance Occupational Training	(0.454)	0.336	0.396	-	(0.514)
26000-26049-Federal Employment and Training Grants	(7.235)	18.483	12.858	(0.798)	(2.408)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	**1,548.800**	**4,354.899**	**4,830.906**	**(161.426)**	**911.367**
TOTAL SPECIAL REVENUE FUNDS	**7,586.102**	**6,321.417**	**6,444.337**	**(1,151.126)**	**6,312.056**
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	20.908	10.426	-	(0.249)	31.085
40150-40199-General Debt Service	2,683.563	2,183.402	2,366.571	(2,500.394)	-
40250-40299-State Housing Debt Service	-	0.898	0.980	0.082	-
40300-40349-Department of Health Income	22.427	20.843	-	(10.967)	32.303
40400-40449-Clean Water/Clean Air	3.641	75.678	-	(79.319)	-
40450-40499-Local Government Assistance Tax	280.021	310.650	279.482	(311.189)	-
TOTAL DEBT SERVICE FUNDS	**3,010.560**	**2,601.897**	**2,647.033**	**(2,902.036)**	**63.388**

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF MARCH 2020
(amounts in millions)

SCHEDULE 1

	BALANCE MARCH 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2020
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	1,523.550	476.732	(1,046.818)	-
30050-30099-Dedicated Highway and Bridge Trust	(20.532)	468.154	136.624	(344.473)	(33.475)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	145.520	0.186	3.582	6.714	148.838
30300-30349-New York State Canal System Development	13.340	0.719	-	-	14.059
30350-30399-Parks Infrastructure	(33.696)	0.001	10.256	-	(43.951)
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015
30450-30499-Environmental Protection	98.109	13.157	38.582	-	72.684
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419
30650-30659-Rebuild and Renew New York Transportation Bond	17.329	-	-	-	17.329
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.551	-	-	-	5.551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(566.762)	135.989	110.856	(21.063)	(562.692)
31450-31499-Forest Preserve Expansion	1.078	0.001	-	-	1.079
31500-31549-Hazardous Waste Remedial	(74.817)	1.562	8.532	1.315	(80.472)
31650-31699-Suburban Transportation	0.537	0.001	-	-	0.538
31700-31749-Division for Youth Facilities Improvement	(20.065)	0.070	1.240	-	(21.235)
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)
31850-31899-Housing Program	(175.732)	-	44.855	4.328	(216.259)
31900-31949-Natural Resource Damage	17.196	0.022	0.104	-	17.114
31950-31999-DOT Engineering Services	(11.969)	-	-	-	(11.969)
32200-32249-Miscellaneous Capital Projects	102.244	1.628	12.176	23.078	114.774
32250-32299-CUNY Capital Projects	0.014	0.003	-	-	0.017
32300-32349-Mental Hygiene Facilities Capital Improvement	(383.783)	46.574	19.576	0.960	(355.825)
32350-32399-Correction Facilities Capital Improvement	(375.964)	0.451	46.335	105.863	(315.985)
32400-32999-State University Capital Projects	181.858	(0.112)	4.359	(0.398)	176.989
33000-33049-NYS Storm Recovery Fund	(47.969)	-	1.693	-	(49.662)
33050-33099 Dedicated Infrastructure Investment Fund	60.471	0.372	25.287	50.957	86.513
TOTAL CAPITAL PROJECTS FUNDS	**(1,066.929)**	**2,192.328**	**940.789**	**(1,219.537)**	**(1,034.927)**
TOTAL GOVERNMENTAL FUNDS	**$ 20,493.048**	**$ 15,956.738**	**$ 22,145.131**	**$ (19.913)**	**$ 14,284.742**

STATE OF NEW YORK
PROPRIETARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF MARCH 2020
(amounts in millions)

SCHEDULE 2

FUND TYPE	BALANCE MARCH 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2020
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	$ 0.101	$ 0.001	$ (0.002)	$ -	$ 0.104
50050-50099-State Exposition Special	0.451	0.122	(0.513)	0.500	1.586
50100-50299-Correctional Services Commissary	3.601	3.612	3.456	-	3.757
50300-50399-Agencies Enterprise	7.974	0.729	1.054	-	7.649
50400-50449-Sheltered Workshop	2.216	0.021	0.017	-	2.220
50450-50499-Patient Workshop	1.795	0.200	0.073	-	1.922
50500-50599-Mental Hygiene Community Stores	4.928	0.132	0.113	-	4.947
50650-50699-Unemployment Insurance	8.807	358.609	359.924	-	7.492
TOTAL ENTERPRISE FUNDS	**29.873**	**363.426**	**364.122**	**0.500**	**29.677**
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(90.145)	41.898	62.591	(1.034)	(111.872)
55050-55099-Agency Internal Service	(110.501)	13.631	17.671	2.553	(111.988)
55100-55149-Mental Hygiene Revolving	(0.010)	0.063	0.055	-	(0.002)
55150-55199-Youth Vocational Education	0.085	-	0.001	-	0.084
55200-55249-Joint Labor and Management Administration	0.675	-	0.077	-	0.598
55250-55299-Audit and Control Revolving	(35.924)	4.268	5.856	(0.025)	(37.537)
55300-55349-Health Insurance Revolving	(11.317)	0.025	0.346	(2.626)	(14.264)
55350-55399-Correctional Industries Revolving	(39.359)	3.770	7.498	20.545	(22.542)
TOTAL INTERNAL SERVICE FUNDS	**(286.496)**	**63.655**	**94.095**	**19.413**	**(297.523)**
TOTAL PROPRIETARY FUNDS	**$ (256.623)**	**$ 427.081**	**$ 458.217**	**$ 19.913**	**$ (267.846)**

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF MARCH 2020
(amounts in millions)

SCHEDULE 3

FUND TYPE	BALANCE MARCH 1, 2020	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MARCH 31, 2020
PENSION TRUST FUNDS					
65000-65049-Common Retirement Administration	$ (10.542)	$ 21.814	$ 12.417	$ -	$ (1.145)
TOTAL PENSION TRUST FUNDS	**(10.542)**	**21.814**	**12.417**	**-**	**(1.145)**
PRIVATE PURPOSE TRUST FUNDS					
66000-66049-Agriculture Producers' Security	2.937	0.054	0.017	-	2.974
66050-66099-Milk Producers' Security	11.245	0.093	0.019	-	11.319
TOTAL PRIVATE PURPOSE TRUST FUNDS	**14.182**	**0.147**	**0.036**	**-**	**14.293**
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	24.693	0.062	-	-	24.755
60150-60199-Child Performer's Holding	0.529	0.002	-	-	0.531
60200-60249-Employees Health Insurance	965.912	980.145	975.100	-	970.957
60250-60299-Social Security Contribution	15.055	102.554	102.548	-	15.061
60300-60399-Employee Payroll Withholding	40.414	375.512	416.936	-	(1.010)
60400-60449-Employees Dental Insurance	25.546	0.033	7.037	-	18.542
60450-60499-Management Confidential Group Insurance	0.477	0.646	0.745	-	0.378
60500-60549-Lottery Prize	652.113	(67.891)	86.547	-	497.675
60550-60599-Health Insurance Reserve Receipts	0.145	-	-	-	0.145
60600-60799-Miscellaneous New York State Agency	896.780	1,092.969	1,063.980	-	925.769
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	29.678	8.101	6.877	-	30.902
60850-60899-CUNY Senior College Operating	13.783	256.641	237.662	-	32.762
60900-60949-Medicaid Management Information System (MMIS) Escrow	267.935	4,828.796	3,640.325	-	1,456.406
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	141.202	(86.070)	-	-	55.132
61100-61999-State University Federal Direct Lending Program	(2.511)	20.614	18.815	-	(0.712)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	**3,071.751**	**7,512.114**	**6,556.572**	**-**	**4,027.293**
TOTAL FIDUCIARY FUNDS	**$ 3,075.391**	**$ 7,534.075**	**$ 6,569.025**	**$ -**	**$ 4,040.441**

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2019-2020
FOR THE MONTH OF MARCH 2020
(amounts in millions)

SCHEDULE 4

FUND TYPE	BALANCE MARCH 1, 2020	RECEIPTS	DISBURSEMENTS	BALANCE MARCH 31, 2020
ACCOUNTS				
70000-70049-Tobacco Settlement	$ 2.876	$ 0.004	$ -	$ 2.880
70093, 70095, 70300-70301-MTA State Assistance (*)	270.976	224.252	287.216	208.012
70050-70149-Sole Custody Investment (**)	2,295.862	6,523.872	6,271.986	2,547.748
70200-Comptroller's Refund Account	-	148.081	148.081	-
TOTAL ACCOUNTS	$ 2,569.714	$ 6,896.209	$ 6,707.283	$ 2,758.640

(*) See Footnotes

(**) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of March 31, 2020, $9,515,082.87 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2019-2020

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2019	DEBT ISSUED (*) MONTH OF MARCH	DEBT ISSUED (*) 12 MONTHS ENDED MARCH 31, 2020	DEBT MATURED MONTH OF MARCH	DEBT MATURED 12 MONTHS ENDED MARCH 31, 2020	DEBT OUTSTANDING MARCH 31, 2020	INTEREST DISBURSED MONTH OF MARCH	INTEREST DISBURSED 12 MONTHS ENDED MARCH 31, 2020
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	$ 16,747,925	$ -	$ 155,155	$ -	$ 5,457,617	$ 11,445,463	$ -	$ 631,951
Clean Water/Clean Air:								
Air Quality	2,465,600	-	(12,617)	-	657,629	1,795,354	-	70,903
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	321,372,381	-	2,863,627	1,622,535	25,640,517	298,595,491	1,116,341	12,026,942
Solid Waste	22,144,792	-	33,541	23,708	5,890,743	16,287,590	4,031	893,036
Environmental Restoration	46,724,919	-	(27,114)	2,969,301	6,627,358	40,070,447	468,485	1,785,718
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,352,815	-	-	-	154,061	1,198,754	900	56,567
Environmental Quality (1972):								
Air	6,247	-	-	-	3,063	3,184	-	250
Land and Wetlands	5,870,169	-	(795)	556,558	929,513	4,939,861	124,810	275,481
Water	10,826,301	-	109,856	89,618	4,565,354	6,370,803	38,388	341,697
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	6,831,632	-	9,798	58,309	1,531,885	5,309,545	24,813	287,074
Solid Waste Management	107,613,085	-	287,704	952,226	15,908,042	91,992,747	316,033	4,175,595
Housing:								
Low Income	8,500,000	-	-	800,000	2,660,000	5,840,000	99,600	342,600
Middle Income	6,225,000	-	-	-	2,190,000	4,035,000	80,718	200,855
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	17,772,576	-	367,188	18,579	2,641,435	15,498,329	28,593	634,869
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	641,322,676	-	6,303,945	18,730,878	46,968,395	600,658,226	6,487,793	24,252,002
Canals and Waterways	11,884,363	-	-	1,488,083	2,464,683	9,419,680	131,475	483,265
Aviation	42,044,726	-	1,372,382	412,856	2,327,660	41,089,448	254,998	1,408,597
Rail and Port	94,745,141	-	3,009,919	1,022,103	4,930,815	92,824,245	364,237	3,125,938
Mass Transit - Dept. of Transportation	13,915,297	-	(39,774)	955,474	1,706,789	12,168,734	256,584	625,325
Mass Transit - Metropolitan Transportation Authority	721,891,399	-	11,348,989	14,851,008	28,077,077	705,163,311	4,699,546	26,877,030
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	741,418	-	(1,804)	147,780	185,622	553,992	15,238	34,427
Rapid Transit, Rail and Aviation	2,597,617	-	-	-	555,054	2,042,563	-	112,617
Smart Schools Bond Act	179,020,225	-	-	8,275,984	17,713,092	161,307,133	2,222,442	8,701,207
Transportation Capital Facilities:								
Aviation	3,018,695	-	-	-	928,596	2,090,099	2,925	116,514
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	**$ 2,285,634,999**	**$ -**	**$ 25,780,000**	**$ 52,975,000**	**$ 180,715,000**	**$ 2,130,699,999**	**$ 16,737,950**	**$ 87,460,460**

(*) Includes the net effect of the October 2019 refunding transaction of $914,300,000, which was used to refund $888,520,000 of previously issued general obligation bonds.

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE TWELVE MONTHS ENDED MARCH 31, 2020

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS 12 MONTHS ENDED MARCH 31 2020	COMBINED TOTALS 12 MONTHS ENDED MARCH 31 2019	$ INCREASE/ (DECREASE)
Payments to Public Authorities:										
City University Construction	$ -	$ 193,123,569	$ -	$ -	$ -	$ -	$ -	$ 193,123,569	$ 106,556,550	$ 86,567,019
Dormitory Authority:										
Consolidated Service Contract Refunding	-	65,375,063	-	-	-	-	-	65,375,063	57,690,325	7,684,738
DASNY Revenue Bond	-	-	-	-	-	1,006,737,539	956,344,623	1,963,082,162	3,126,315,557	(1,163,233,395)
Department of Health Facilities	-	-	26,157,902	-	-	-	-	26,157,902	26,132,003	25,899
Mental Health Facilities	-	-	-	-	7,095,969	-	-	7,095,969	112,061,495	(104,965,526)
Secured Hospital Program	-	31,720,944	-	-	-	-	-	31,720,944	25,540,485	6,180,459
SUNY Community Colleges	-	5,928,700	-	-	-	-	-	5,928,700	4,586,528	1,342,172
SUNY Educational Facilities	-	103,616,675	-	-	-	-	-	103,616,675	89,305,250	14,311,425
Environmental Facilities Corporation	-	-	-	-	-	17,269,722	-	17,269,722	33,203,788	(15,934,066)
Housing Finance Agency	-	28,949,685	-	-	-	1,907,968	-	30,857,653	44,751,324	(13,893,671)
Local Government Assistance Corporation	-	-	-	300,784,859	-	-	-	300,784,859	423,548,180	(122,763,321)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	35,457,621	(35,457,621)
Thruway Authority:										
Dedicated Highway and Bridge	-	448,180,780	-	-	-	-	-	448,180,780	199,621,712	248,559,068
Local Highway and Bridge	-	21,772,000	-	-	-	-	-	21,772,000	73,484,650	(51,712,650)
Transportation	-	-	-	-	-	151,377,875	-	151,377,875	206,811,200	(55,433,325)
Urban Development Corporation:										
Clarkson University	-	448,350	-	-	-	-	-	448,350	1,023,950	(575,600)
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	2,777,000	(2,777,000)
Consolidated Service Contract Refunding	-	78,864,907	-	-	-	-	-	78,864,907	170,064,584	(91,199,677)
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	362,000	(362,000)
Correctional Facilities	-	9,781,500	-	-	-	-	-	9,781,500	20,607,865	(10,826,365)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	1,190,706,819	-	1,190,706,819	1,635,554,143	(444,847,324)
University Facilities Grant 95 Refunding	-	1,770,144	-	-	-	-	-	1,770,144	985,972	784,172
Total Disbursements for Special Contractual Financing Obligations	**$ -**	**$ 989,532,317**	**$ 26,157,902**	**$ 300,784,859**	**$ 7,095,969**	**$ 2,367,999,923**	**$ 956,344,623**	**$ 4,647,915,593**	**$ 6,396,442,182**	**$ (1,748,526,589)**

STATE OF NEW YORK **SCHEDULE 6**
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF MARCH 2020
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

	MONTH OF MARCH 2020	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	$ 25,023.5	$ 20,308.1	$ 17,647.6
AVERAGE YIELD (**)	1.531%	2.028%	2.221%
TOTAL INVESTMENT EARNINGS	$ 31.847	$ 417.550	$ 379.425

Month-End Portfolio Balances

DESCRIPTION	MARCH 2020 PAR AMOUNT	MARCH 2019 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	$ 1,876.3	$ 1,161.1
REPURCHASE AGREEMENTS	151.6	30.7
COMMERCIAL PAPER	16,509.0	12,790.9
CERTIFICATES OF DEPOSIT/SAVINGS	2,943.7	2,961.2
0% COMPENSATING BALANCE CDs	78.0	168.0
	$ 21,558.6	**$ 17,111.9**

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2019-2020

APPENDIX A

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31, 2020
OPENING CASH BALANCE	$ -	$ 543,140,525	$ 283,562,198	$ 300,393,092	$ 420,298,155	$ 136,965,831	$ 79,863,323	$ 152,028,064	$ 223,908,390	$ 213,101,187	$ 230,456,814	$ 216,061,137	$ -
RECEIPTS:													
Cigarette Tax	63,203,605	59,370,644	57,339,173	75,425,457	63,846,255	59,381,304	65,507,143	57,797,138	68,931,372	55,243,103	45,314,058	50,878,516	722,237,768
State Share of NYC Cigarette Tax	2,069,000	2,175,000	1,928,000	1,929,000	2,141,000	1,867,000	2,219,000	1,792,000	1,701,000	1,978,000	1,596,000	1,927,715	23,322,715
Vapor Excise Tax	-	-	-	-	-	-	-	-	-	-	5,455	10,400,000	10,405,455
STIP Interest	469,440	785,843	1,583,166	1,015,764	1,230,923	1,065,269	821,677	676,684	571,892	562,232	820,770	648,159	10,251,819
Public Asset Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Assessments	533,324,883	443,523,673	423,216,328	494,280,029	436,582,470	465,018,162	453,744,940	389,283,657	448,018,093	481,298,926	446,061,871	413,769,135	5,428,122,167
Fees	404,000	903,000	2,574,000	312,000	94,000	987,000	280,000	47,000	2,545,000	579,000	97,000	999,000	9,821,000
Rebates	4,761,424	362,419	6,001,122	13,400,488	1,044,971	3,626,158	9,624,921	3,786,088	3,599,685	5,019,882	1,677,311	4,560,730	57,465,199
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Receipts	**604,232,352**	**507,120,579**	**492,641,789**	**586,362,738**	**504,939,619**	**531,944,893**	**532,197,681**	**453,382,567**	**525,367,042**	**544,681,143**	**495,572,465**	**483,183,255**	**6,261,626,123**
DISBURSEMENTS:													
Grants	57,388,500	753,490,762	467,314,025	461,209,200	773,350,315	580,722,220	449,489,176	368,204,270	531,251,298	519,162,242	459,898,198	522,845,453	5,944,325,659
Interest - Late Payments	1,007	72	16	1,654	331	105	1,003	55	1,871	2,155	375	177	8,821
Personal Service	541,446	1,589,857	1,742,462	1,042,316	944,621	1,006,723	1,635,676	1,037,444	392,768	1,371,806	1,223,023	989,849	13,517,991
Non-Personal Service	1,746,620	3,414,743	4,043,595	3,156,138	2,726,729	2,156,664	7,461,050	3,537,859	4,073,856	5,203,488	12,474,057	12,272,589	62,267,388
Employee Benefits/Indirect Costs	345,719	445,042	1,682,906	662,367	602,434	591,118	630,960	829,183	220,952	1,158,044	628,572	784,402	8,581,699
Total Disbursements	**60,023,292**	**758,940,476**	**474,783,004**	**466,071,675**	**777,624,430**	**584,476,830**	**459,217,865**	**373,608,811**	**535,940,745**	**526,897,735**	**474,224,225**	**536,892,470**	**6,028,701,558**
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-	-	-	-	-	91,304,000	91,304,000
Transfers to General Fund	-	-	26,968	-	-	-	-	-	233,500	-	-	228,414	488,882
Transfers to Revenue Bond Tax Fund	-	-	-	-	3,027,025	3,754,806	-	-	-	-	33,457,925	47,959,805	88,199,561
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	350,000	-	386,000	-	-	-	385,000	-	-	331,139	-	1,452,139
Empire State Stem Cell Trust Account	-	6,661,750	-	-	6,661,750	-	-	6,661,750	-	-	-	6,661,750	26,647,000
Transfers to SUNY Income Fund	1,068,535	746,680	1,000,923	-	958,738	815,765	815,075	846,680	-	427,781	1,954,853	493,413	9,128,443
Total Operating Transfers	**1,068,535**	**7,758,430**	**1,027,891**	**386,000**	**10,647,513**	**4,570,571**	**815,075**	**7,893,430**	**233,500**	**427,781**	**35,743,917**	**146,647,382**	**217,220,025**
Total Disbursements and Transfers	**61,091,827**	**766,698,906**	**475,810,895**	**466,457,675**	**788,271,943**	**589,047,401**	**460,032,940**	**381,502,241**	**536,174,245**	**527,325,516**	**509,968,142**	**683,539,852**	**6,245,921,583**
CLOSING CASH BALANCE	**$ 543,140,525**	**$ 283,562,198**	**$ 300,393,092**	**$ 420,298,155**	**$ 136,965,831**	**$ 79,863,323**	**$ 152,028,064**	**$ 223,908,390**	**$ 213,101,187**	**$ 230,456,814**	**$ 216,061,137**	**$ 15,704,540**	**$ 15,704,540**

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2019-20

APPENDIX B

Program/Purpose	Appropriation Amount (*)	March	12 Months Ended March 31, 2020 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	**$8,752,000.00**	**$215,873.73**	**$3,026,120.45**
CENTER FOR COMMUNITY HLTH	8,752,000.00	215,873.73	3,026,120.45
CHILD HEALTH INSURANCE PROGRAM	**1,478,644,000.00**	**372,973,803.52**	**746,609,362.17**
CHILD HEALTH INSURANCE	1,478,644,000.00	372,973,803.52	746,609,362.17
COMMUNITY SUPPORT PROGRAM	**180,000.00**	**-**	**60,000.00**
COMMUNITY SUPPORT	180,000.00	-	60,000.00
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	**426,154,811.52**	**10,951,666.63**	**112,251,022.53**
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	426,154,811.52	10,951,666.63	112,251,022.53
HEALTH CARE REFORM ACT PROGRAM	**2,160,773,305.03**	**21,659,298.03**	**362,682,583.78**
AIDS DRUG ASSISTANCE	205,250,000.00	-	20,000,000.00
AMBULATORY CARE TRAINING	13,520,000.00	352,265.65	1,606,516.71
AREA HEALTH EDUCATION CENTER	7,063,000.00	-	1,331,284.10
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	3,693,412.57	7,675,351.89
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	272,000,000.00	13,149,967.00	54,400,000.00
DIVERSITY IN MEDICINE	5,232,000.00	455,327.00	1,744,000.00
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	13,780,000.00	-	3,444,996.00
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	3,806,000.00
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	19,600,000.00
HEALTH WORKFORCE RETRAINING	210,010,300.00	1,020,699.15	6,334,995.69
INFERTILITY SERVICES GRANTS	24,781,746.00	15,964.53	861,607.62
MEDICAL INDEMNITY FUND	208,000,000.00	-	52,000,000.00
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	168,653.35	786,036.90
PHYSICIAN EXCESS MEDICAL MALPRACTICE	382,200,000.00	-	105,100,000.00
PHYSICIAN LOAN REPAYMENT	34,465,000.00	1,037,348.51	8,693,469.35
PHYSICIAN LOAN REPAYMENT AND PRACTICE SUPPORT	1,000,000.00	-	-
PHYSICIAN PRACTICE SUPPORT	27,825,000.00	-	-
PHYSICIAN WORKFORCE STUDIES	3,954,200.00	-	487,000.00
POISON CONTROL CENTERS	8,440,000.00	-	1,642,909.72
POOL ADMINISTRATION	5,300,000.00	1,243,888.00	2,650,000.00
ROSWELL PARK CANCER INSTITUTE	102,606,000.00	-	51,303,000.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	34,550,000.00	401,145.79	7,801,910.44
RURAL HEALTH NETWORK	22,990,000.00	120,626.48	5,068,505.36
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	2,115,000.00
SCHOOL BASED HEALTH CLINICS-POOL ADMN	8,460,000.00	-	4,230,000.00
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	**28,338,830,000.00**	**120,456,254.63**	**4,752,800,982.56**
HOME HEALTH RATE INCREASE	300,000,000.00	-	50,000,000.00
MEDICAID INDIGENT CARE	5,349,000,000.00	62,456,254.63	916,600,982.56
MEDICAL ASSISTANCE	21,806,630,000.00	58,000,000.00	3,639,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	816,000,000.00	-	136,000,000.00
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	11,200,000.00
NEW YORK STATE OF HEALTH	**53,398,000.00**	**9,129,287.84**	**40,619,122.33**
NEW YORK STATE OF HEALTH ADMINISTRATION	53,398,000.00	9,129,287.84	40,619,122.33
OFFICE OF HEALTH INSURANCE PROGRAM	**1,834,000.00**	**-**	**-**
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	**60,490,924.85**	**1,838,935.16**	**15,611,841.97**
OFFICE HEALTH SYSTEMS MANAGEMENT	60,490,924.85	1,838,935.16	15,611,841.97
OFFICE OF LONG TERM CARE	**2,477,800.00**	**-**	**-**
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE, PROCESSING & RECONCILIATION	**8,190,000.00**	**160,542.50**	**4,168,871.48**
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	160,542.50	4,168,871.48
TOTAL	**32,539,724,841.40**	**537,385,662.04**	**6,037,829,907.27**
Reclass of SUNY Hospital Disprop Share to Transfer		(493,412.82)	(9,128,443.23)
Reclass of SUNY Hospital Poison Control Centers to Transfer		-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer		-	-
Reconciling Adjustment (P-Card and T-Card)		220.88	94.00
TOTAL REPORTED AMOUNT	**$ 32,539,724,841.40**	**$ 536,892,470.10**	**$ 6,028,701,558.04**

(*) Includes amounts appropriated in SFY 2019-20, as well as prior year appropriations that were reappropriated.
(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2019-20

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2020 JANUARY	2020 FEBRUARY	2020 MARCH	2019-20
OPENING CASH BALANCE	**$ 284,899,772.70**	**$ 217,136,341.70**	**$ 349,275,252.28**	**$ 362,704,232.36**	**$ 333,687,033.82**	**$ 176,074,499.32**	**$ 284,899,772.70**
RECEIPTS:							
Patient Services	901,482,254.94	1,104,136,349.72	906,738,478.92	311,479,097.10	198,380,338.48	415,885,507.30	3,838,102,026.46
Covered Lives	257,819,074.40	303,187,529.45	261,374,155.15	84,047,137.43	55,082,569.15	118,533,486.20	1,080,043,951.78
Provider Assessments	33,710,660.47	38,718,319.01	27,667,375.62	9,255,798.32	5,844,413.85	11,764,564.06	126,961,131.33
1% Assessments	101,375,334.00	108,247,260.00	107,445,313.00	34,115,797.00	35,874,233.00	40,618,498.00	427,676,435.00
DASNY- MOE/Recast receivables	-	-	-	-	-	-	-
Interest Income	214,148.16	222,300.29	127,867.18	38,925.17	29,150.50	22,083.29	654,474.59
Unassigned	25,420,354.00	(25,438,913.00)	2,006,523.00	9,104,156.12	(11,147,586.38)	3,405,113.27	3,349,647.01
Total Receipts	**1,320,021,825.97**	**1,529,072,845.47**	**1,305,359,712.87**	**448,040,911.14**	**284,063,118.60**	**590,229,252.12**	**5,476,787,666.17**
PROGRAM DISBURSEMENTS:							
Poison Control Centers	-	-	(2,400,000.00)	-	-	-	(2,400,000.00)
School Based Health Center Grants	-	-	(4,230,000.00)	-	-	-	(4,230,000.00)
ECRIP Distributions	-	-	(3,444,996.00)	-	-	-	(3,444,996.00)
Total Program Disbursements	**-**	**-**	**(10,074,996.00)**	**-**	**-**	**-**	**(10,074,996.00)**
Excess (Deficiency) of Receipts over Disbursements	**1,320,021,825.97**	**1,529,072,845.47**	**1,295,284,716.87**	**448,040,911.14**	**284,063,118.60**	**590,229,252.12**	**5,466,712,670.17**
OTHER FINANCING SOURCES (USES):							
Transfers From Other Pools:							
Medicaid Disproportionate Share	-	-	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	12,273,811.00	12,910,960.00	12,842,986.00	4,239,323.00	4,382,154.00	3,660,556.00	50,309,790.00
Transfers From State Funds:							
HCRA Resources Fund	-	-	10,074,996.00	-	-	-	10,074,996.00
Total Other Financing Sources	**12,273,811.00**	**12,910,960.00**	**22,917,982.00**	**4,239,323.00**	**4,382,154.00**	**3,660,556.00**	**60,384,786.00**
Transfers To Other Pools:							
Medicaid Disproportionate Share	-	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-	-
Transfers To State Funds:							
HCRA Resources Fund	(1,211,212,716.72)	(1,061,871,734.43)	(1,136,233,577.68)	(394,234,112.13)	(384,548,582.10)	(356,869,995.64)	(4,544,970,718.70)
Indigent Care Fund - Matched	(189,777,239.46)	(325,895,043.33)	(168,654,356.44)	(82,349,151.89)	(61,745,762.88)	(62,381,496.22)	(890,803,050.22)
Indigent Care Fund - Unmatched	930,888.21	(22,078,117.13)	114,215.33	(4,714,168.66)	236,537.88	234,493.48	(25,276,150.89)
Total Other Financing Uses	**(1,400,059,067.97)**	**(1,409,844,894.89)**	**(1,304,773,718.79)**	**(481,297,432.68)**	**(446,057,807.10)**	**(419,016,998.38)**	**(5,461,049,919.81)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(67,763,431.00)**	**132,138,910.58**	**13,428,980.08**	**(29,017,198.54)**	**(157,612,534.50)**	**174,872,809.74**	**66,047,536.36**
CLOSING CASH BALANCE	**$ 217,136,341.70**	**$ 349,275,252.28**	**$ 362,704,232.36**	**$ 333,687,033.82**	**$ 176,074,499.32**	**$ 350,947,309.06**	**$ 350,947,309.06**

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2019-20

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2020 JANUARY	2020 FEBRUARY	2020 MARCH	2019-20
OPENING CASH BALANCE	$ 2,224.49	$ 1,198.39	$ 9,008.14	$ 1,493.26	$ 4,063.85	$ 584.51	$ 2,224.49
RECEIPTS:							
Interest Income	4,789.67	11,549.28	2,275.18	4,063.85	584.51	84.12	23,346.61
Total Receipts	**4,789.67**	**11,549.28**	**2,275.18**	**4,063.85**	**584.51**	**84.12**	**23,346.61**
PROGRAM DISBURSEMENTS:							
Indigent Care	(189,777,239.46)	(223,008,636.27)	(168,828,419.44)	(85,507,670.89)	(61,745,762.88)	(61,678,837.22)	(790,546,566.16)
High Need Indigent Care	-	-	-	-	-	-	-
Other	945,583.86	(120,704,277.72)	2,596,349.33	168,868.34	236,537.88	234,386.48	(116,522,551.83)
Total Program Disbursements	**(188,831,655.60)**	**(343,712,913.99)**	**(166,232,070.11)**	**(85,338,802.55)**	**(61,509,225.00)**	**(61,444,450.74)**	**(907,069,117.99)**
Excess (Deficiency) of Receipts over Disbursements	**(188,826,865.93)**	**(343,701,364.71)**	**(166,229,794.93)**	**(85,334,738.70)**	**(61,508,640.49)**	**(61,444,366.62)**	**(907,045,771.38)**
OTHER FINANCING SOURCES (USES):							
Transfers From Other Pools:							
Public Goods Pool	-	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-	-
Transfers From State Funds:							
HCRA Resources Indigent Care - Matched	94,888,619.73	162,947,521.68	84,327,178.22	41,174,575.95	30,872,881.44	31,190,748.11	445,401,525.13
HCRA Resources Indigent Care - Unmatched	(930,888.21)	20,125,941.66	(114,215.33)	2,989,650.66	(236,537.88)	(234,493.48)	21,599,457.42
HCRA Resources Indigent Care - ATB	-	-	-	-	-	-	-
Federal DHHS Fund	94,888,619.73	162,947,521.65	84,327,178.22	41,174,575.94	30,872,881.44	31,190,748.11	445,401,525.09
Other	-	-	-	-	-	-	-
Total Other Financing Sources	**188,846,351.25**	**346,020,984.99**	**168,540,141.11**	**85,338,802.55**	**61,509,225.00**	**62,147,002.74**	**912,402,507.64**
Transfers To Other Pools:							
Public Goods Pool	-	-	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-	-	-
Transfers To State Funds:							
HCRA Resources Fund Indigent Care Acct	(20,511.42)	(2,311,810.53)	(2,317,861.06)	(1,493.26)	(4,063.85)	(702,552.00)	(5,358,292.12)
Total Other Financing Uses	**(20,511.42)**	**(2,311,810.53)**	**(2,317,861.06)**	**(1,493.26)**	**(4,063.85)**	**(702,552.00)**	**(5,358,292.12)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(1,026.10)**	**7,809.75**	**(7,514.88)**	**2,570.59**	**(3,479.34)**	**84.12**	**(1,555.86)**
CLOSING CASH BALANCE	**$ 1,198.39**	**$ 9,008.14**	**$ 1,493.26**	**$ 4,063.85**	**$ 584.51**	**$ 668.63**	**$ 668.63**

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2019-2020
(amounts in thousands)

APPENDIX E

	2019 APRIL	2019 MAY	2019 JUNE	2019 JULY	2019 AUGUST	2019 SEPTEMBER	2019 OCTOBER	2019 NOVEMBER	2019 DECEMBER	2020 JANUARY	2020 FEBRUARY	2020 MARCH	2019-2020 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	$ -	$ 21	$ -	$ 25	$ 1	$ -	$ 12	$ -	$ 10	$ 3	$ -	$ 20	$ 92
Education - EXCEL	-	2,109	221	2,703	2,354	1,566	789	-	202	-	-	552	10,496
Department of Health - All Other	-	-	-	-	51	3	-	-	-	1	-	3	58
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	647	1,183	11	788	309	590	242	368	677	387	414	1,880	7,496
Multi-modal	37	-	-	-	-	-	-	-	-	-	-	-	37
GenNYsis	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	19,134	18,022	14,341	23,762	10,916	28,143	20,932	9,312	27,400	36,283	14,788	28,791	251,824
CUNY Community Colleges	2,965	2,554	975	5,101	780	5,980	4,606	3,607	5,800	3,449	1,715	6,805	44,337
SUNY Dormitories	-	-	-	-	-	-	-	-	-	-	-	-	-
Upstate Community Colleges	-	-	-	-	-	-	-	-	-	-	-	-	-
Mental Health	9,238	13,563	4,697	25,605	5,907	28,243	14,194	4,498	18,956	7,468	3,558	10,809	146,736
Developmental Disabilities	1,109	1,614	462	2,044	682	2,065	1,870	1,865	2,315	2,173	868	2,022	19,089
Alcoholism and Substance Abuse	158	274	190	302	304	671	162	233	429	215	116	267	3,321
Brooklyn Court Officer Training Academy	23	36	-	192	-	780	39	2	1	-	-	1	1,074
TOTAL DORMITORY AUTHORITY	**33,311**	**39,376**	**20,897**	**60,522**	**21,304**	**68,041**	**42,846**	**19,885**	**55,790**	**49,979**	**21,459**	**51,150**	**484,560**
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL EMPIRE STATE DEVELOPMENT CORP	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
TOTAL OFF-BUDGET	**$ 33,311**	**$ 39,376**	**$ 20,897**	**$ 60,522**	**$ 21,304**	**$ 68,041**	**$ 42,846**	**$ 19,885**	**$ 55,790**	**$ 49,979**	**$ 21,459**	**$ 51,150**	**$ 484,560**

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	December 31, 2019	January 31, 2020	February 29, 2020	Change	March 31, 2020	
	GENERAL FUND						
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	$ -	$ -	$ -	$ -	$ -	(***)
	TOTAL GENERAL FUND	-	-	-	-	-	
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	160,459,011.65	101,356,877.40	37,142,927.36	28,657,300.09	65,800,227.45	
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-	
30101	REHAB/REPAIR MARITIME	-	-	-	-	-	
30102	D21RVE- MARITIME	-	-	-	-	-	
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-	
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-	
30105	REHAB/REPAIR ALBANY	-	-	-	-	-	
30106	D01RVE- ALBANY	-	-	-	-	-	
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-	
30108	D07RVE- BINGHAMTON	-	-	-	-	-	
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-	
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-	
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-	
30112	D13RVE- STONYBROOK	-	-	-	-	-	
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-	
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-	
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-	
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-	
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-	
30118	D02RVE- BROCKPORT	-	-	-	-	-	
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-	
30120	D03RVE -SUB BUFFALO	-	-	-	-	-	
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-	
30122	D04RVE- CORTLAND	-	-	-	-	-	
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-	
30124	D05RVE- FREDONIA	-	-	-	-	-	
30125	REHAB/REPAIR GENESEO	-	-	-	-	-	
30126	D06RVE- GENESEO	-	-	-	-	-	
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-	
30128	D31RVE- OLD WESTBURY	-	-	-	-	-	
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-	
30130	D08RVE- NEW PALTZ	-	-	-	-	-	
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-	
30132	D09RVE- ONEONTA	-	-	-	-	-	
30133	REHAB/REPAIR OSWEGO	145,370.66	116,987.84	-	-	-	
30134	D10RVE- OSWEGO	-	-	-	-	-	
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-	
30136	D11RVE- PLATTSBURGH	-	-	-	-	-	
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-	
30138	D12RVE- POTSDAM	-	-	-	-	-	
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-	
30140	D29RVE- PURCHASE	-	-	-	-	-	
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-	
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-	
30143	REHAB/REPAIR ALFRED	-	-	-	-	-	
30144	D22RVE- ALFRED	-	-	-	-	-	
30145	REHAB/REPAIR CANTON	-	-	-	-	-	
30146	D23RVE- CANTON	-	-	-	-	-	
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-	
30148	D24RVE- COBLESKILL	-	-	-	-	-	
30149	REHAB/REPAIR DELHI	-	-	-	-	-	
30150	D25RVE- DELHI	-	-	-	-	-	
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-	
30152	D26RVE- FARMINGDALE	-	-	-	-	-	
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-	
30154	D27RVE- MORRISVILLE	-	-	-	-	-	
30351	STATE PARK INFRASTRUCTURE	102,762,251.53	18,832,336.55	33,696,298.19	10,254,737.13	43,951,035.32	
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-	
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-	
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-	
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-	
31506	HAZARDOUS WASTE CLEAN UP	170,744,464.19	105,066,298.92	109,780,460.28	7,170,629.93	116,951,090.21	
31701	YOUTH FACILITIES IMPROVEMENT	16,625,871.31	18,445,349.22	20,065,381.50	1,169,123.35	21,234,504.85	
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06	
31851	HOUSING PROG FD-HSG TR FD CORP	-	-	11,601,171.55	23,918,820.55	35,519,992.10	
31852	HOUSING PROG FD AFFORD HSG CORP	62,246,340.15	62,246,340.15	52,744,957.74	1,751,262.00	54,496,219.74	
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	135,658,911.98	151,408,911.98	111,678,379.93	14,857,000.00	126,535,379.93	
31854	HOUSING PROG FD-HFA	-	-	-	-	-	
31951	HIGHWAY FAC PURPOSE	11,969,463.99	11,969,463.99	11,969,463.99	-	11,969,463.99	

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	December 31, 2019	January 31, 2020	February 29, 2020	Change	March 31, 2020
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	160,034.24	594,984.35	1,567,485.41	(854,755.77)	712,729.64
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUINTY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	125,288,703.86	128,573,474.09	125,826,369.55	(11,270,628.01)	114,555,741.54
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	183,312,447.64	182,694,881.12	187,446,381.12	(2,271,613.90)	185,174,767.22
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	2,895,339.49	2,891,378.39	4,005,578.39	-	4,005,578.39
32308	DASNY - OASAS ADMIN	883,591.20	883,591.20	883,591.20	-	883,591.20
32309	OMH -STATE FACILITIES	91,113,558.66	67,717,251.42	73,421,725.22	(19,248,286.91)	54,173,438.31
32310	OPWDD -STATE FACILITIES	5,575,843.38	7,021,897.38	11,021,897.38	-	11,021,897.38
32311	OASAS -STATE FACILITIES	119,787.38	119,787.38	119,787.38	-	119,787.38
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	304,140,709.46	340,430,808.72	375,963,356.20	(59,978,288.08)	315,985,068.12
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	50,141,798.57	50,492,394.66	47,969,060.20	1,692,702.30	49,661,762.50
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	**1,437,339,216.40**	**1,263,958,731.82**	**1,229,999,989.65**	**(4,151,997.32)**	**1,225,847,992.33**
	STATE SPECIAL REVENUE FUNDS					
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	85,137,572.74	146,015,200.01	33,645,558.65	(33,645,558.65)	-
20818	EPIC PREMIUM ACCOUNT	1,661,511.82	8,404,887.74	-	-	-
20901	LOTTERY-EDUCATION	868,816,291.61	667,698,197.24	507,696,125.07	(507,696,125.07)	-
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,965,524.62	4,055,490.85	4,119,954.43	(811,803.18)	3,308,151.25
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,200.00	1,672,200.00	3,310,799.99	(1,638,700.00)	1,672,099.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	251,602.83	2,513,420.31	-	-	-
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,007,448.72	4,268,525.68	4,048,057.01	214,644.05	4,262,701.06
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	55,106,075.88	54,736,966.36	55,561,681.90	(320,622.77)	55,241,059.13
21082	NATURAL RESOURCES ACCOUNT	13,338,043.81	13,612,567.52	13,721,671.85	339,900.08	14,061,571.93
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	-	-	-	-
21202	HEALTH DEPT OIL SPILL	-	-	-	-	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	573.66	4,541.48	6,781.28	(6,781.28)	-
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	4,278,535.34	(4,278,535.34)	-
21402	METROPOLITAN MASS TRANSPORTATION	358,742,781.71	249,617,851.74	132,616,107.59	(132,616,107.59)	-
21451	OPERATING PERMIT PROGRAM	28,958,353.10	29,684,305.95	30,389,579.15	675,367.92	31,064,947.07
21452	MOBILE SOURCE	5,505,463.36	1,952,029.98	1,781,901.39	453,296.43	2,235,197.82
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	12,171,679.42	6,674,357.69	6,706,917.23	4,226,642.71	10,933,559.94
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	638,271.39	949,013.99	1,148,338.60	186,845.78	1,335,184.38
21912	RACING REGULATION ACCOUNT	2,473,872.03	2,111,712.85	2,624,081.74	69,423.54	2,693,505.28
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	-	-	-	-	-
21937	SU DORM INCOME REIMBURSE	130,609.92	196,416.20	382,157.03	(382,157.03)	-
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	392,187.75	443,090.56	476,704.53	(68,437.63)	408,266.90
21962	CLINICAL LAB FEE	10,898,480.76	9,824,252.13	10,390,513.57	(1,050,846.72)	9,339,666.85
21978	INDIRECT COST RECOVERY	-	-	324,002.82	(324,002.82)	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	-	-	-	-	-
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	-	-
22032	BATAVIA SCHOOL FOR THE BLIND	14,615,364.59	15,294,564.95	16,199,657.39	(7,585,660.13)	8,613,997.26
22034	INVESTMENT SERVICES	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	December 31, 2019	January 31, 2020	February 29, 2020	Change	March 31, 2020	
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-	
22039	FINANCIAL OVERSIGHT	893,363.42	1,228,822.38	1,502,379.10	281,569.86	1,783,948.96	
22046	REGULATION INDIAN GAMING	87,394,247.57	87,928,101.56	88,805,936.35	1,118,037.95	89,923,974.30	
22053	ROME SCHOOL FOR THE DEAF	6,013,689.05	6,911,998.05	7,509,287.68	(4,144,492.19)	3,364,795.49	
22054	DSP-SEIZED ASSETS	1,936,614.55	1,595,189.30	1,540,135.32	(30,164.37)	1,509,970.95	
22055	ADMINISTRATIVE ADJUDICATION	15,557,323.95	19,334,200.03	22,579,568.61	1,761,463.93	24,341,032.54	
22056	FEDERAL SALARY SHARING	1,487,860.68	1,622,403.61	1,843,648.95	(1,843,648.95)	-	
22062	NYC ASSESSMENT ACCT	-	-	-	-	-	
22063	CULTURAL EDUCATION ACCOUNT	6,487,072.15	6,241,592.54	4,838,271.78	(664,773.97)	4,173,497.81	
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-	
22085	DHCR MORTGAGE SERVICES	15,913,892.71	16,065,282.10	16,217,304.36	(745,496.34)	15,471,808.02	
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-	
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	8,004,967.57	7,514,507.15	7,788,873.66	(1,784,118.59)	6,004,755.07	
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-	
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-	
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-	
22151	DEFERRED COMPENSATION ADMIN	125,254.96	188,949.67	68,311.50	52,462.36	120,773.86	
22156	RENT REVENUE OTHER - NYC	-	-	-	-	-	
22158	RENT REVENUE	-	-	-	-	-	
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-	
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	248,436.34	349,203.64	432,650.19	96,679.42	529,329.61	
22654	S.U. NON-RESIDENT REV. OFFSET	20,525,439.94	20,555,112.18	20,584,135.81	26,078.89	20,610,214.70	
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-	
22802	STATE POLICE MV ENFORCE	-	-	-	-	-	
23001	DOT - HIGHWAY SAFETY PRGM	14,771,072.30	14,852,458.31	14,373,503.44	(43,225.51)	14,330,277.93	
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70	
23151	NYCCC OPERATING OFFSET	53,519,944.22	55,941,074.32	58,442,602.32	(30,464,583.56)	27,978,018.76	
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-	
23702	COMMERCIAL GAMING REGULATION	16,869,883.76	17,270,317.05	17,664,647.74	352,746.82	18,017,394.56	
23800	INTERSTATE RECIPROCITY FOR POST SEC DIST ED	-	-	-	-	-	
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-	
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-	
24951	FANTASY SPORTS ADMINISTRATION	-	-	-	3,015.01	3,015.01	
	TOTAL STATE SPECIAL REVENUE FUNDS	**1,723,583,922.59**	**1,482,679,754.82**	**1,098,971,333.07**	**(720,287,666.94)**	**378,683,666.13**	
	FEDERAL FUNDS						
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	15,287,528.46	10,840,969.80	15,803,929.59	44,177,618.17	59,981,547.76	
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	638,635,412.45	481,027,379.27	138,635,981.76	2,625,287,112.96	2,763,923,094.72	
25200-25249	FEDERAL EDUCATION GRANTS FUND	40,635,158.21	77,236,262.54	41,365,362.44	(22,413,476.44)	18,951,886.00	
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-	
25300-25899	FEDERAL OPERATING GRANTS FUND	459,719,203.03	419,720,914.28	448,773,504.79	2,840,531.60	451,614,036.39	
31351	MILITARY AND NAVAL AFFAIRS	8,756,661.66	8,753,932.66	8,753,932.66	-	8,753,932.66	
31354	DEPARTMENT OF TRANSPORTATION	460,128,296.86	382,515,866.87	482,160,255.04	(2,508,472.06)	479,651,782.98	
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	108,833,062.72	103,766,767.21	106,207,733.66	(2,059,345.40)	104,148,388.26	
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	10,564,973.51	11,204,557.99	10,884,570.87	(2,790,712.00)	8,093,858.87	
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	477,958.99	445,238.99	394,014.99	200,266.00	594,280.99	
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	7,394,685.30	1,369,253.20	7,234,549.24	(4,826,416.19)	2,408,133.05	
	TOTAL FEDERAL FUNDS	**1,750,432,941.19**	**1,496,881,142.81**	**1,260,213,835.04**	**2,637,907,106.64**	**3,898,120,941.68**	(**)
	AGENCY FUNDS						
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-	
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-	
	TOTAL AGENCY FUNDS	**-**	**-**	**-**	**-**	**-**	
	ENTERPRISE FUND						
50318	OGS CONVENTION CENTER ACCOUNT	347,999.18	347,191.44	381,123.91	(159,274.38)	221,849.53	
50327	EMPIRE PLAZA GIFT SHOP	296,041.12	306,381.38	297,784.79	(104,156.74)	193,628.05	
	TOTAL ENTERPRISE FUND	**644,040.30**	**653,572.82**	**678,908.70**	**(263,431.12)**	**415,477.58**	
	INTERNAL SERVICE FUNDS						
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-	
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-	
55003	CENTRALIZED SERVICES-PRINTING	1,337,430.77	1,219,006.10	1,287,490.42	91,577.87	1,379,068.29	
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-	
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	132,128.31	132,128.31	
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-	
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	3,517,515.48	3,710,936.11	3,954,989.22	510,014.86	4,465,004.08	
55008	CENTRALIZED SERVICES-PASNY	13,148,748.30	456,186.08	8,967,706.36	3,175,615.40	12,143,321.76	
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-	
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	16,224,958.73	13,638,101.92	16,734,912.11	5,058,815.40	21,793,727.51	
55011	CENTRALIZED SERVICES-INSURANCE	-	627,294.42	-	2,841,070.34	2,841,070.34	
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	193,452.30	191,527.80	235,265.80	(1,820.50)	233,445.30	
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-	

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	December 31, 2019	January 31, 2020	February 29, 2020	Change	March 31, 2020
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-IMMICS	1,628,566.47	1,237,731.03	1,282,801.41	266,089.67	1,548,891.08
55017	DOWNSTATE WAREHOUSE	271,861.11	458,480.02	576,428.86	(59,209.81)	517,219.05
55018	BUILDING ADMINISTRATION	4,406,719.06	2,505,794.55	2,743,467.78	1,490,923.17	4,234,390.95
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	63,815,776.94	59,295,302.16	53,448,438.65	2,066,996.53	55,515,435.18
55021	NYS MEDIA CENTER	7,273,044.60	7,509,318.18	7,746,156.33	(508,753.51)	7,237,402.82
55022	BUSINESS SERVICES CENTER	20,106,078.50	22,237,794.95	24,357,023.76	2,558,875.00	26,915,898.76
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	103,251.56	103,251.56
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	488,933.07	22,148.00	207,692.60	(207,692.60)	-
55058	CULTURAL RESOURCE SURVEY	2,049,970.42	2,371,227.91	2,012,005.44	367,248.38	2,379,253.82
55059	NEIGHBOR WORK PROJECT	10,770,306.65	11,300,567.74	11,176,504.94	46,882.23	11,223,387.17
55060	AUTOMATIC/PRINT CHARGBACKS	841,311.13	2,323,977.62	-	-	-
55061	OFT NYT ACCT	2,239,828.23	2,240,424.54	2,236,677.51	(7,566.80)	2,229,110.71
55062	DATA CENTER ACCOUNT	45,074,847.53	40,374,377.45	40,374,377.45	1,518,830.06	41,893,207.51
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	120,045.45	102,200.65	103,714.04	(53,902.08)	49,811.96
55069	CENTRALIZED TECHNOLOGY SERVICES	51,711,438.23	50,620,780.06	70,706,182.42	(3,227,039.46)	67,479,142.96
55071	LABOR CONTACT CENTER ACCT	824,767.45	408,738.30	-	24,533.09	24,533.09
55072	HUMAN SERVICES CONTACT CNTR ACCT	331,482.26	1,414,190.08	-	-	-
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	12,046,041.31	12,297,199.10	11,861,156.47	(3,091,989.99)	8,769,166.48
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	17,975,061.23	20,648,070.56	24,062,774.37	4,704,705.72	28,767,480.09
55300	HEALTH INSURANCE INTERNAL SERVICE	19,276,421.81	9,074,851.27	9,602,357.74	885,954.68	10,488,312.42
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	1,472,850.68	1,604,672.50	1,714,421.78	2,061,525.28	3,775,947.06
55350	CORR INDUSTRIES INTERNAL SERVICE	35,040,896.93	36,953,626.60	39,359,048.73	(16,816,719.74)	22,542,328.99
	TOTAL INTERNAL SERVICE FUNDS	**333,449,938.91**	**306,106,109.97**	**336,013,178.46**	**3,930,343.06**	**339,943,521.52**
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	**$ 5,245,450,059.39**	**$ 4,550,279,312.24**	**$ 3,925,877,244.92**	**$ 1,917,134,354.32**	**$ 5,843,011,599.24**

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part TTT, Section 1, of the Laws of 2019-20. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2019-2020

APPENDIX G

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	12 Months Ended March 31, 2020
OPENING CASH BALANCE	$ 16,298,833	$ 228,595,088	$ 67,983,418	$ 126,634,302	$ 99,294,725	$ 98,280,268	$ 131,509,913	$ 78,080,573	$ 39,558,861	$ 42,917,918	$ 58,304,153	$ 60,470,621	$ 16,298,833
RECEIPTS:													
Transfers from General Fund (**)	250,000,000	-	200,000,000	50,000,000	70,000,000	100,000,000	100,000,000	70,000,000	170,000,000	100,000,000	50,000,000	50,957,000	1,210,957,000
Other	-	-	-	-	-	-	-	-	-	-	-	372,985	372,985
Total Receipts	250,000,000	-	200,000,000	50,000,000	70,000,000	100,000,000	100,000,000	70,000,000	170,000,000	100,000,000	50,000,000	51,329,985	1,211,329,985
DISBURSEMENTS:													
Affordable and Homeless Housing	-	1,460,000	18,258,090	-	1,574,403	25,407,411	1,811,458	78,558,285	1,076,930	37,388,423	-	146,368	165,681,368
Broadband Initiative	1,906,857	22,713,440	5,454,209	12,367,387	1,905,302	6,084,561	13,381,336	770,343	6,031,925	12,338,912	2,274,816	9,259,686	94,488,774
Downtown Revitalization	-	-	518,406	151,311	161,511	63,445	192,519	377,686	1,548,901	82,082	(2,734,534)	125,894	487,221
Health Care / Hospital Initiatives (***)	1,376,741	66,909,227	2,205,139	5,379,040	1,033,482	2,853,386	2,108,372	(26,657,805)	(25,673,320)	4,096,771	1,360,776	503,420	35,495,229
Empire State Poverty Reduction Initiatives	1,174,678	643,317	1,630,974	1,884,546	638,637	2,373,357	1,747,976	998,069	4,022,033	6,403,014	1,339,357	2,892,987	25,748,945
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-	-	-	-	-	-	-	-
Infrastructure Improvements	-	298,303	597,554	604,723	62,372	3,874	215,679	-	771,428	844,697	334,961	-	3,733,591
Jacob Javits Center Expansion	-	-	87,959,899	-	-	-	77,053,217	-	106,264,892	-	-	-	271,278,008
Life Sciences Initiative	-	-	-	-	500,000	4,420	-	-	2,500,000	1,000,000	200,000	1,775,636	5,980,056
Municipal Restructuring / Consolidation Competition	1,257,796	1,322,544	884,297	584,993	1,230,887	68,308	161,331	1,527,320	1,252,791	1,520,754	11,552,422	4,452,526	25,815,969
Penn Station Access	-	-	-	-	-	-	-	-	-	-	-	-	-
Resiliency, Mitigation, Security and Emergency Response	32,703	4,101,595	100,755	8,723	(953)	-	(14,140)	-	-	-	-	-	4,228,683
Southern Tier / Hudson Valley Farm Initiative	10,000	73,340	4,871	20,000	173,311	-	1,277,455	4,191	155,951	521,801	-	51,109	2,292,029
Thruway Stabilization Program	15,988,205	29,132,267	20,630,646	42,058,113	49,057,748	25,685,242	42,024,591	40,235,996	29,622,000	14,314,804	13,298,589	-	322,048,201
Transformative Economic Development Projects	5,122,897	8,707,914	1,701	4,492,613	2,754,194	2,500,000	1,104	3,107,627	5,602,004	2,610,519	275	264,932	35,165,780
Transporation Capital Plan	-	-	-	(3,626)	-	-	-	-	-	-	-	-	(3,626)
Upstate Revitalization Program	10,833,868	25,249,723	3,102,575	9,791,754	11,923,563	1,726,351	13,468,442	9,600,000	33,465,408	3,491,988	20,206,870	5,814,834	148,675,376
Total Disbursements	37,703,745	160,611,670	141,349,116	77,339,577	71,014,457	66,770,355	153,429,340	108,521,712	166,640,943	84,613,765	47,833,532	25,287,392	1,141,115,604
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	37,703,745	160,611,670	141,349,116	77,339,577	71,014,457	66,770,355	153,429,340	108,521,712	166,640,943	84,613,765	47,833,532	25,287,392	1,141,115,604
CLOSING CASH BALANCE	$ 228,595,088	$ 67,983,418	$ 126,634,302	$ 99,294,725	$ 98,280,268	$ 131,509,913	$ 78,080,573	$ 39,558,861	$ 42,917,918	$ 58,304,153	$ 60,470,621	$ 86,513,214	$ 86,513,214

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

(***) November and December disbursement amounts include repayment of a loan pursuant to Public Health Law §2815.

STATE OF NEW YORK **APPENDIX H**

MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS(*)

FISCAL YEAR 2019-2020

	MARCH 2020			12 MONTHS ENDED MARCH 31		
	Department of Health	Other State Agencies	March	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	$ -	$ 22,357,259.00	**$ 22,357,259.00**	$ -	$ 282,642,391.00	**$ 282,642,391.00**
State Share Medicaid	11,037,902.00	(27,805,965.53)	**(16,768,063.53)**	192,211,535.00	(18,550,477.41)	**173,661,057.59**
Medical Assistance (OPWDD)	-	1,270,042,216.00	**1,270,042,216.00**	-	1,333,389,500.00	**1,333,389,500.00**
Medical Assistance Administration	7,333,672.62	27,460,402.00	**34,794,074.62**	61,951,956.43	468,472,002.00	**530,423,958.43**
Population Health Improvement	1,033,672.66	-	**1,033,672.66**	5,006,573.99	-	**5,006,573.99**
Traumatic Brain Injury Services	1,136,820.44	-	**1,136,820.44**	11,448,033.73	-	**11,448,033.73**
Nursing Home Transition & Diversion	285,896.62	-	**285,896.62**	1,641,423.68	-	**1,641,423.68**
Reducing Maternal Mortality	54,616.79	-	**54,616.79**	819,666.46	-	**819,666.46**
New York Connects	-	4,891,508.87	**4,891,508.87**	-	26,526,371.45	**26,526,371.45**
Facilitated Enrollment	586,310.82	-	**586,310.82**	3,789,752.55	-	**3,789,752.55**
Emergency Medical Transportation	750,000.00	-	**750,000.00**	3,000,000.00	-	**3,000,000.00**
Managed Long-Term Care Ombudsman	177,189.39	-	**177,189.39**	5,066,417.36	-	**5,066,417.36**
Major Academic Pool	-	-	**-**	24,500,000.00	-	**24,500,000.00**
Women's Health & Multiple Births	(15,000.00)	-	**(15,000.00)**	-	-	**-**
Vital Access Program (OASAS)	(5,770,000.00)	-	**(5,770,000.00)**	-	-	**-**
Vital Access Program (OMH)	1,262,193.50	-	**1,262,193.50**	13,213,289.50	-	**13,213,289.50**
Vital Access Provider Services	1,290,426.50	-	**1,290,426.50**	1,290,426.50	-	**1,290,426.50**
General Hospitals Safety-Net Providers	15,866,660.00	-	**15,866,660.00**	127,486,395.00	-	**127,486,395.00**
Rural Transportation	-	-	**-**	4,000,000.00	-	**4,000,000.00**
AIDS Epidemic	1,077,390.76	-	**1,077,390.76**	14,142,157.75	-	**14,142,157.75**
Fluoridation Systems	43,632.96	-	**43,632.96**	1,564,763.69	-	**1,564,763.69**
Expanding Caregiver Support Services	2,145,274.57	-	**2,145,274.57**	24,785,400.84	-	**24,785,400.84**
Provide Affordable Housing	3,046,536.01	6,340,539.84	**9,387,075.85**	19,024,536.95	27,982,715.58	**47,007,252.53**
Health Homes Establishment	44,348.33	-	**44,348.33**	1,031,430.32	-	**1,031,430.32**
Community Provider Network	15,866,218.00	-	**15,866,218.00**	51,299,355.50	-	**51,299,355.50**
Inpatient Services	(172,741,065.50)	-	**(172,741,065.50)**	762,208,899.99	-	**762,208,899.99**
Patient Centered Medical Homes	-	-	**-**	101,865,435.71	-	**101,865,435.71**
Outpatient & Emergency Room Services	62,300,520.37	-	**62,300,520.37**	253,538,283.18	-	**253,538,283.18**
Clinic Services	21,265,905.28	-	**21,265,905.28**	286,959,643.96	-	**286,959,643.96**
Nursing Home Services	62,889,508.66	-	**62,889,508.66**	495,056,459.62	-	**495,056,459.62**
Other Long Term Care Services	(1,184,714,426.72)	-	**(1,184,714,426.72)**	8,628,732,379.30	-	**8,628,732,379.30**
Managed Care Services	(336,408,949.65)	-	**(336,408,949.65)**	2,910,390,295.98	-	**2,910,390,295.98**
Pharmacy Services	15,292,901.14	-	**15,292,901.14**	255,475,336.07	-	**255,475,336.07**
Transportation Services	18,475,913.17	-	**18,475,913.17**	235,119,115.23	-	**235,119,115.23**
Dental Services	534,780.77	-	**534,780.77**	8,984,765.12	-	**8,984,765.12**
Non-Institutional & Other	(148,098,530.50)	571,175.00	**(147,527,355.50)**	1,045,186,055.45	24,568,441.00	**1,069,754,496.45**
Medical Services State Facilities	76,641,300.86	-	**76,641,300.86**	1,463,603,788.92	-	**1,463,603,788.92**
CSEA Family Health Plus Buy In	164,293.75	-	**164,293.75**	2,722,128.34	-	**2,722,128.34**
DC37 & Teamster Local 858	2,800,000.00	-	**2,800,000.00**	2,800,000.00	-	**2,800,000.00**
Medical Assistance (HCRA)	58,000,000.00	-	**58,000,000.00**	3,639,000,000.00	-	**3,639,000,000.00**
Indigent Care	62,456,254.63	-	**62,456,254.63**	916,600,982.56	-	**916,600,982.56**
Provider Assessments	44,148,984.00	-	**44,148,984.00**	930,999,999.33	-	**930,999,999.33**
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	**-**	136,000,000.00	-	**136,000,000.00**
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	**-**	11,200,000.00	-	**11,200,000.00**
Home Health Rate Increase (HCRA)	-	-	**-**	50,000,000.00	-	**50,000,000.00**
Additional DSH Payments SUNY	165,567,416.50	-	**165,567,416.50**	181,057,916.50	-	**181,057,916.50**
TOTAL(**)	**(1,194,171,431.27)**	**1,303,857,135.18**	**109,685,703.91**	**22,884,774,600.51**	**2,145,030,943.62**	**25,029,805,544.13**
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(100,562,256.60)		(100,562,256.60)	(1,788,682,435.14)		(1,788,682,435.14)
TOTAL REPORTED MEDICAID	**$ (1,294,733,687.87)**	**$ 1,303,857,135.18**	**$ 9,123,447.31**	**$ 21,096,092,165.37**	**$ 2,145,030,943.62**	**$ 23,241,123,108.99**

(*) General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
Department of Health regularly reclassifies spending between programs,
and therefore amounts for any individual program may be restated by DOH.
(**)Source: Statewide Financial System

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STATE OF NEW YORK

APPENDIX I

MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS(*)

FISCAL YEAR 2019-2020

	MARCH 2020			12 MONTHS ENDED MARCH 31		
	Department of Health	Other State Agencies	March	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	$ 15,337,249.71	$ -	**$ 15,337,249.71**	$ 147,083,070.41	$ -	**$ 147,083,070.41**
Medical Assistance Administration	36,759.00	43,533,147.00	**43,569,906.00**	50,018,244.72	427,104,104.00	**477,122,348.72**
Partnership Plan	287,265,565.02	-	**287,265,565.02**	1,065,838,212.24	-	**1,065,838,212.24**
Inpatient Services	412,445,500.28	-	**412,445,500.28**	5,258,567,208.94	-	**5,258,567,208.94**
Outpatient & Emergency Room Services	98,515,460.21	-	**98,515,460.21**	1,267,932,195.43	-	**1,267,932,195.43**
Clinic Services	76,901,423.05	-	**76,901,423.05**	779,172,882.18	-	**779,172,882.18**
Nursing Home Services	157,619,396.45	-	**157,619,396.45**	2,833,065,345.61	-	**2,833,065,345.61**
Other Long Term Care Services	1,458,455,547.66	-	**1,458,455,547.66**	10,104,696,074.54	-	**10,104,696,074.54**
Managed Care Services	1,076,264,645.95	-	**1,076,264,645.95**	14,394,054,097.93	-	**14,394,054,097.93**
Pharmacy Services	38,779,623.64	-	**38,779,623.64**	1,328,394,584.48	-	**1,328,394,584.48**
Transportation Services	64,233,024.69	-	**64,233,024.69**	435,218,232.40	-	**435,218,232.40**
Dental Services	1,604,438.83	-	**1,604,438.83**	81,539,518.41	-	**81,539,518.41**
Non-Institutional & Other	(97,058,271.12)	(1,743,845.00)	**(98,802,116.12)**	3,577,669,517.15	26,790,317.00	**3,604,459,834.15**
Medical Services State Facilities	(3,698,402.00)	-	**(3,698,402.00)**	1,203,492,332.49	-	**1,203,492,332.49**
Additional DSH Payments SUNY	-	-	**-**	15,490,500.00	-	**15,490,500.00**
TOTAL(**)	**3,586,701,961.37**	**41,789,302.00**	**3,628,491,263.37**	**42,542,232,016.93**	**453,894,421.00**	**42,996,126,437.93**
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	(935,942,767.45)		(935,942,767.45)	(2,746,787,594.55)		(2,746,787,594.55)
TOTAL REPORTED MEDICAID(***)	**$ 2,650,759,193.92**	**$ 41,789,302.00**	**$ 2,692,548,495.92**	**$ 39,795,444,422.38**	**$ 453,894,421.00**	**$ 40,249,338,843.38**

(*) Special Revenue Federal Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.

(**) Source: Statewide Financial System

(***) Reported Medicaid spending does not include the Basic Health Plan.