
NEW YORK STATE
REGISTER

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on September 26, 2021
- the 45-day period expires on September 11, 2021
- the 30-day period expires on August 27, 2021

**ANDREW M. CUOMO
GOVERNOR**

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SECRETARY OF STATE**

NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Office of Children and Family Services

EMERGENCY RULE MAKING

Maintenance Reimbursement for Residential CSE Programs When a Student Has Been Absent from the Program for More Than 15 Days

I.D. No. CFS-49-20-00006-E

Filing No. 863

Filing Date: 2021-07-13

Effective Date: 2021-07-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 628 of Title 18 NYCRR.

Statutory authority: Social Services Law, sections 20, 34 and 153

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: The Office of Children and Family Services (Office) finds that immediate emergency adoption of these regulations is necessary to protect the general welfare of children that are determined to be in need of highly specialized educational residential services by local school districts' Committee on Special Education (CSE), due to the intensity of their mental health, developmental or behavioral health needs. The purpose of this rule is to remove an existing regulatory barrier that precludes maintenance reimbursement for residential CSE programs when a student has been absent from the program for more than 15 days, in recognition that widespread, unanticipated absences

in excess of 15 days have occurred in residential CSE programs as a result of COVID-19 and the state of emergency declared in relation to the pandemic within New York.

Specifically, this rule would authorize reimbursement for residential CSE maintenance where there has been an absence in excess of 15 days and such absence was directly related to a state of emergency and determined by the local department of social services to be necessary for the health and safety of a child. Residential CSE providers receive tuition and maintenance reimbursement for the cost of the special education programs. The maintenance reimbursement attendant to the residential component of CSE placement are regulated by the Office and are made on a per-diem basis for the present year's care days but are reflective of the prior year's operating costs of the programs.

Sufficient capacity at residential CSE programs is crucial to maintain the availability of these placements for children who are determined by a CSE to need these services to receive a free and appropriate public education. This rule is required on an expedited basis to preserve the availability of residential CSE placements and to remove existing regulatory barriers to receiving reimbursement that the programs may rely on to continue to operate, as a result of an absence resulting from a state of emergency where the absence was necessary for the health and safety of a particular child.

Subject: Maintenance reimbursement for residential CSE programs when a student has been absent from the program for more than 15 days.

Purpose: Remove an existing regulatory barrier that precludes maintenance reimbursement for residential CSE programs.

Text of emergency rule: Paragraph (4) of subdivision (a) of section 628.3 of Title 18 of the Official Compilation of Codes, Rules and Regulations of the State of New York is amended to read as follows:

(4) Reimbursement of expenditures for care of a child in either direct or indirect care shall not include per diem costs of absences, except as follows:

(i) all weekend visits;
(ii) all school and religious holidays;
(iii) vacation--up to 15 days per calendar year, excluding weekend visits;

(iv) all organized school trips;
(v) detention--up to seven consecutive days;
(vi) running away--up to seven consecutive days;
(vii) home on trial--up to seven consecutive days;
(viii) absences due to hospitalization--up to 15 days per calendar year, except that in cases in which a child is diagnosed as having acquired immune deficiency syndrome (AIDS) or AIDS related complex (ARC) or has tested positive for human immune deficiency virus (HIV) or any infection with the probable causative agent of AIDS, the maximum number of absences per each episode of hospitalization for which reimbursement shall be available is as follows:

(a) up to 30 days of absence for children residing in a group home, group residence, agency boarding home, institution or approved residential school for the handicapped prior to the hospitalization; and

(b) up to 60 days of absence for children residing in a foster family boarding home prior to the hospitalization. Reimbursement in such cases shall include both administrative expenses and the pass-through payment to the foster parent;

(ix) visits to potential foster or adoptive parents--up to seven consecutive days per visit; [and]

(x) respite care and service provided pursuant to Part 435 of this Title[.]; and

(xi) in relation to absence of a child with a disability placed by a school district pursuant to section forty-four hundred five of the Education Law, where such absence was after April 1, 2020, and directly resulting from an emergency where a declaration of emergency has been issued by the state or federal government, and such absence is necessary for the health and safety of any child, as determined by the relevant local department of social services.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. CFS-49-20-00006-EP, Issue of December 9, 2020. The emergency rule will expire September 10, 2021.

Text of rule and any required statements and analyses may be obtained from: Frank J. Nuara, Associate Attorney, New York State Office of Children and Family Services, 52 Washington Street, Rensselaer, New York 12144, (914) 589-3096, email: regcomments@ocfs.ny.gov

Regulatory Impact Statement

1. Statutory authority:

The Office of Children and Family Services (OCFS) has the authority to regulate rates for residential placements of children through Social Services Law section 153. The regulatory change adds a limited additional consideration for when an absence from such residential placement would be reimbursable by the local department of social services (LDSS) and the applicable school district.

2. Legislative objectives:

The legislative objectives in enacting Social Services Law section 153 was to establish requirements to govern appropriate claiming, reimbursements, advances and disallowances of specified expenditures by LDSSs, including, among other things, maintenance costs for residential Committee on Special Education (CSE) placements.

3. Needs and benefits:

The purpose of this rule is to amend a specific provision in regulation that has created an impediment for non-profit providers that provide residential educational programs for children with disabilities in seeking reimbursement from LDSSs and school districts for services provided to these children throughout the pandemic. These children are determined to be in need of these highly specialized educational residential services due to the intensity of their mental health, developmental or behavioral health needs by local school districts' CSEs.

When the Governor issued the state of emergency in March, several parents made the decision to take their child home out of fear of them contracting the virus in the residential education setting. The majority of the not for profits continued providing remote services to the children and their families understanding that the children would be returning to their campuses when safe to do so. Due to OCFS's regulation limiting absences to 15 days, providers have been unsuccessful in their attempts to seek reimbursement resulting in an estimated \$12M loss that continues to accrue, according to data provided by the Council of Family and Child Caring Agencies (COFCCA).

Payment for these residential educational placements are made by the LDSS in the first instance and then the applicable local school district reimburses the LDSS a portion of these costs (approximately 47%). Because OCFS is not a party to the reimbursement, but rather merely the agency that sets and approves the reimbursement rate, OCFS proposes to amend the regulation such that a reimbursement for residential placement during this state of emergency may be authorized, but is not expressly mandated. The proposal would remove the barrier created by the previously narrow set of exceptions and allow the programs to seek reimbursement.

4. Costs:

This rule would not mandate any new costs or payments on the State, local governments, OCFS or regulated entities, but instead would remove barriers that would preclude payment for absences that have resulted from COVID-19 where failure to make these payments may result in an unanticipated savings for a LDSS or a local school district.

5. Local government mandates:

This change enacts no new mandates on local governments. Instead, this regulatory package will allow flexibility for the LDSS when faced with a state of emergency.

6. Paperwork:

It is anticipated that this rule, would at most, result in minimal new paperwork related to claiming requirements, if at all.

7. Duplication:

No duplication of requirements is associated with this rule.

8. Alternatives:

The possibility of not adopting any regulatory change was considered. In light of COVID-19, OCFS determined to pursue this path as the existing regulatory language was inflexible and has precluded any maintenance reimbursement for residential CSE placements where the absence exceeded 15 days, creating unique and unforeseeable fiscal challenges for residential CSE providers.

9. Federal standards:

This rule provides for appropriate care and services for children with special needs. This is not in excess of any federal standard.

10. Compliance schedule:

As this rule provides flexibility to existing regulatory requirements,

compliance and applicability of the rule is determined by the LDSS on a case by case, as needed, basis.

Regulatory Flexibility Analysis

1. Effect of rule:

This rule will provide flexibility that would allow for the absence of children with disabilities from residential programs during a state of emergency when such absence in is the best interests of the children and approved by the local department of social services.

The local government entities that are impacted are local departments of social services (LDSSs). There are 58 LDSSs in New York State. The small business that may be impacted are the non-profit programs that provide residential committee on special education (CSE) placements in New York State. It is estimated that there are seven residential CSE programs in the state that are small businesses.

The impact this rule will have on these entities is positive as the rule provides flexibility to extend the option to seek reimbursement for absences in the event of a national or state declaration of emergency.

2. Compliance requirements:

It is anticipated that there would be minimal record keeping or reporting associated with this rule.

3. Professional services:

There are no new professional services anticipated to be required as a result of this rule.

4. Compliance costs:

There are no new anticipated compliance costs associated with this rule.

5. Economic and technological feasibility:

There are no economic or technological issues with implementation rule.

6. Minimizing adverse impact:

This rule has been written to minimize adverse economic impacts on LDSSs and local school districts. Specifically, the rule removes a barrier to reimbursement for certain expenditures but does not mandate payment. Any payment a LDSS chooses to pay, pursuant to these regulatory provisions, would reduce unanticipated savings a LDSS or school district may have otherwise realized attendant to COVID-19.

7. Small business and local government participation:

OCFS is in regular communication with the non-profit entities and LDSSs impacted by this rule. The Council on Family and Child Caring Agencies (COFCCA), which is the umbrella organization representing some of the non-profit entities impacted by this rule, has strongly urged OCFS to remove the regulatory barrier that precluded reimbursements for residential CSE placements when a child had been absent for longer than 15 days due as a result of unanticipated absences resulting from COVID-19.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas:

This rule is applicable in all rural areas of the state. It is estimated that there are 34 residential CSE providers in New York State with 9 residential CSE providers estimated to be located in rural areas of the state. This rule does not impact any additional school districts beyond those that are already impacted by the existing statutory and regulatory provisions, which are applicable statewide, including in all rural areas.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

It is anticipated that there would be minimal new reporting, record keeping, or compliance requirements associated with this rule. There are also no new professional services anticipated to be required as a result of this rule.

3. Costs:

This rule does not mandate any new costs, but would authorize local departments of social services (LDSS) to make reimbursements for maintenance payments for residential Committee on Special Education (CSE) placements when a child has been absent from a program for more than 15 days when the absence was directly related to a state of emergency and determined to be necessary for the health and safety of the child. Any instance where a LDSS chooses to make reimbursement under the flexibility afforded by the rule would be reflective of costs that would have already been budgeted for and would have occurred absent a declared state of emergency. If a local district chooses to reimburse expenditures under the flexibility afforded by the rule, it may impact costs for local school districts, but such expenditures would have already been budgeted for.

4. Minimizing adverse impact:

There is no adverse economic impact for rural areas anticipated with this rule.

5. Rural area participation:

OCFS is in regular communication with the non-profit entities and LDSSs impacted by this rule. The Council on Family and Child Caring Agencies (COFCCA), which is the umbrella organization representing

some of the non-profit entities impacted by this rule, has repeatedly and strongly urged OCFS to take regulatory action on this issue to remove the barrier to reimbursement imposed by the existing regulation. OCFS has had several conversations with the State Education Department on these issues to preserve the availability of residential CSE services. This consultation encompasses impacted entities in both rural and non-rural areas of the state.

Job Impact Statement

1. Nature of impact:
It is anticipated that this rule will not have any impact on jobs and employment opportunities attendant to public and non-profit entities.
2. Categories and numbers affected:
It is anticipated that the rule does not impact any employment positions.
3. Regions of adverse impact:
There are no anticipated adverse impacts of this rule for any region of the state.
4. Minimizing adverse impact:
There are no anticipated adverse employment impacts associated with this rule. This rule provides flexibility in providing for additional days of absence from a residential placement when such absence is in the best interests of a child during a state of emergency and approved by the local county department of social services.
5. Self-employment opportunities:
There are no anticipated adverse impacts of this rule on any self-employment opportunities in the state

Assessment of Public Comment

The agency received no public comment since publication of the last assessment of public comment.

Department of Economic Development

**EMERGENCY/PROPOSED
RULE MAKING
NO HEARING(S) SCHEDULED**

New York City Musical and Theatrical Production Tax Credit Program

I.D. No. EDV-30-21-00002-EP

Filing No. 854

Filing Date: 2021-07-13

Effective Date: 2021-07-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Addition of Part 270 to Title 5 NYCRR.

Statutory authority: L. 2021, ch. 59, part PP, subpart B

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The New York City Musical and Theatrical Production Tax Credit program was enacted in response to the devastating impact of the COVID-19 pandemic on the arts, entertainment, tourism, and recreation sectors in New York State generally, and on the New York City tourism and musical and theatrical industries in particular. This new program will provide incentives to qualified New York City musical and theatrical production companies for productions that produce either paused or new performances shows during the next two years.

Arts, entertainment, and recreation has been one of the most impacted sectors in New York City and the sector where employment increases have been the slowest. According to recent statistics from the Department of Labor, employment in the “arts, entertainment and recreation” sector dropped by more than 66% from 87,000 in 2020 to about 34,100 in April 2021. A healthy, vibrant Broadway is essential to the recovery of New York’s tourism and hospitality industries. Prior to the pandemic, almost 250,000 people saw a Broadway show every week. Broadway supports nearly 97,000 local jobs and contributes over \$14 billion every year to the New York City economy. Broadway productions draw visitors to New York City from all around the country and the world, helping drive the regional tourism economy.

Due to the indoor venues of eligible shows and the close proximity of

patrons, actors, and crew, the reopening of large indoor recurring productions must be slower and more carefully planned than reopening for many other segments of the industry. Governor Cuomo has announced that Broadway productions are permitted to open; however, the financing of such large in-person events remains extremely challenging. As news of the New York City Musical and Theatrical Production Tax Credit has spread, industry plans have rebounded. Since the passage of the credit program, additional shows have been announced/planned for the fall and some are planning to open over this summer. The incentive this tax credit provides to Broadway is critical as the industry struggles to resume productions in a still highly uncertain economic environment and lingering public health threat. These regulations must be promulgated immediately so that the Department of Economic Development can launch the Program and begin accepting applications in advance of the first performances anticipated for June and August 2021, earlier than had initially been anticipated in September or November 2021.

Emergency adoption of this rule will enable the State to act to provide relief to New York City Musical and Theatrical Production Companies as they begin rehiring the thousands of New Yorkers who work directly in the industry and to help support the recovery of New York’s tourism and hospitality industries in the process. Pursuant to Chapter 59 of the Laws of 2021, the Commissioner of Economic Development is expressly authorized to promulgate regulations for this Program on an emergency basis.

Subject: New York City Musical and Theatrical Production Tax Credit program.

Purpose: To create the administrative processes for the New York City Musical and Theatrical Production Tax Credit program.

Substance of emergency/proposed rule (Full text is posted at the following State website: www.esd.ny.gov): The regulation contained in 5 NYCRR Part 270, which governs the New York City Musical and Theatrical Production Tax Credit Program, is summarized as follows:

The regulation begins by defining a host of key terms necessary to implement the tax credit program. These include, but are not limited to, the following terms:

- (1) certificate of conditional eligibility;
- (2) certificate of tax credit;
- (3) diversity and arts job training program plan;
- (4) New York State council on the arts contribution attestation;
- (5) ongoing production costs;
- (6) ongoing revenue prospectively after the end of the credit period;
- (7) net operating profits;
- (8) production start date;
- (9) public access and availability plan;
- (10) qualified musical and theatrical production;
- (11) qualified New York city musical and theatrical production company;
- (12) qualified production expenditure; and
- (13) qualified New York city production facility.

Next, the regulation sets forth the eligibility criteria and application process for the program. An applicant shall be required to complete both an initial and final application. The initial application shall include:

- (1) an attestation that they will participate in a diversity and arts job training program plan and an outline of their method(s) to do so;
- (2) an attestation that they will participate in a public access and availability plan and an outline of the ways they will ensure that their production is available and accessible for low-or no-cost to low income New Yorkers; and
- (3) an attestation that they intend to comply with the legal requirement to contribute to the New York state council on the arts cultural program fund if they meet certain criteria

An applicant must submit an initial application prior to their scheduled first public performance of the production. The Department shall evaluate the initial application for completeness and, after such review, notify the applicant of its eligibility and, if deemed eligible, issue them a certificate of conditional eligibility.

An applicant is then required to submit a final application to the Department within ninety (90) days of the end of their Credit Period. The Department shall approve or disapprove the final application based upon criteria set forth below. If the final application is approved, the Department shall issue a certificate of tax credit to the applicant (and provide a copy to the NYS Tax and Finance Department). If the final application is disapproved, the Department shall provide the applicant with a notice of disapproval stating the reasons why and a disapproved applicant may appeal such decision.

The regulation then sets forth the criteria for evaluation of final applications which include: (a) whether the application is complete; (b) whether the applicant did not knowingly provide false information; (c) whether the applicant actually produced a qualified musical or theatrical production that was performed in a qualified New York city production facility; and (d) whether the applicant met the specific requirements regarding diversity and low ticket sale programs as well as attested to complying with the contribution provisions of the law as applicable.

The regulation next details that the credit shall be the product of 25 percent and the sum of the qualified production expenditures paid for during the qualified New York city musical and theatrical production’s credit

period. The amount of the credit shall not exceed \$3 million dollars per qualified New York city musical and theatrical production for productions whose first performance is during the first year in which applications are accepted. For productions whose first performance is during the second year in which applications are accepted, such per production cap shall decrease to \$1.5 million per qualified New York city musical and theatrical production unless the Department determines that the New York City tourism economy has not sufficiently recovered based on analysis of key New York City economic indicators which shall include, but not be limited to, hotel occupancy rates, leisure and hospitality job rates, Metropolitan Transit Authority travel data, aviation data and other economic and travel metrics.

The regulation concludes with a series of sections which: 1) delineate that the overall fiscal cap on this two year program is one hundred (\$100) million dollars; 2) allow for a third party verification process for applications; 3) require record retention and diversity reporting; 4) create an appeal process for those disapproved applicants; and 5) authorize the Department of Economic Development and Department of Taxation and Finance to exchange information with each other for the purposes of this program.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire October 10, 2021.

Text of rule and any required statements and analyses may be obtained from: Thomas P Regan, NYS Department of Economic Development, 625 Broadway, Albany NY 12245, (518) 292-5120, email: thomas.regan@esd.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

STATUTORY AUTHORITY:

Chapter 59 of the Laws of 2021 allow the Commissioner of the Department of Economic Development (the "Department") to promulgate regulations establishing the application process for the New York City Musical and Theatrical Production Tax Credit Program. These regulations include provisions describing the application process, the due dates for such applications, the standards that will be used to evaluate the applications, the documentation that will be provided by applicants to substantiate to the department the amount of qualified production expenditures of such applicants, and such other provisions as deemed necessary and appropriate.

LEGISLATIVE OBJECTIVES:

The proposed/emergency rule gives effect to the intention of the legislature in adopting this new tax credit program which was to provide immediate financial incentives to qualified New York City musical and theatrical production companies for productions that produce either paused or new performances shows during the next two years.

NEEDS AND BENEFITS:

This proposed/emergency is necessary in order to create the administrative process for a new tax credit program enacted in response to the devastating impact of the COVID-19 pandemic on the arts, entertainment, tourism, and recreation sectors in New York State generally, and on the New York City tourism and musical and theatrical industries in particular.

Arts, entertainment, and recreation has been one of the most COVID-19 impacted sectors in New York City and the sector where employment increases have been the slowest. According to recent statistics from the Department of Labor, employment in the "arts, entertainment and recreation" sector dropped by more than 66% from 87,000 in 2020 to about 34,100 in April 2021. A healthy, vibrant Broadway is essential to the recovery of New York's tourism and hospitality industries. Broadway productions draw visitors to New York City from all around the country and the world, helping drive the regional tourism economy.

Due to the indoor venues of eligible shows and the close proximity of patrons, actors, and crew, the reopening of large indoor recurring productions must be slower and more carefully planned than reopening for many other segments of the industry. Broadway productions are permitted to open; however, the financing of such large in-person events remains extremely challenging. As news of the New York City Musical and Theatrical Production Tax Credit has spread, industry plans have rebounded. Since the passage of the credit program, additional shows have been announced/planned for the fall and some are planning to open over this summer. The incentive this tax credit provides to Broadway is critical as the industry struggles to resume productions in a still highly uncertain economic environment and lingering public health threat.

The proposed/emergency rule provides the administrative framework for this new tax credit program. Specifically, the regulation provides the needed definitions for the program along with the eligibility criteria. It describes the application process and sets forth the evaluation criteria for final applications. It details that the credit shall be the product of 25 percent and the sum of the qualified production expenditures paid for during the

qualified New York city musical and theatrical production's credit period and the credit shall not exceed \$3 million dollars per qualified New York city musical and theatrical production for productions whose first performance is during the first year in which applications are accepted. For productions whose first performance is during the second year in which applications are accepted, such per production cap shall decrease to \$1.5 million per qualified New York city musical and theatrical production unless the Department determines that the New York City tourism economy has not sufficiently recovered based on analysis of key New York City economic indicators which shall include, but not be limited to, hotel occupancy rates, leisure and hospitality job rates, Metropolitan Transit Authority travel data, aviation data and other economic and travel metrics.

The regulation concludes by delineating that the overall fiscal cap on the program is one hundred (\$100) million dollars and goes on to delineate the specifics of a third party verification process, a record retention/diversity reporting section, an appeal process for those disapproved applicants and the authorization for the Department of Economic Development and Department of Taxation and Finance to exchange information with each other for the purposes of this program.

COSTS:

I. Costs to private regulated parties (the business applicants): None. The proposed/emergency rule will not impose any additional costs to eligible business applicants.

II. Costs to the regulating agency for the implementation and continued administration of the rule: None.

III. Costs to the State government: None.

IV. Costs to local governments: None. The proposed/emergency rule will not impose any costs on local governments.

LOCAL GOVERNMENT MANDATES:

None. There are no local government mandates associated with this program.

PAPERWORK:

The proposed/emergency rule requires an application process and necessarily entails certain paperwork burdens including materials to be submitted as part of applications for tax credits, additional documents the Commissioner may request from applicants as part of his evaluation of applications, and certain records that must be maintained by program participants for auditing purposes.

DUPLICATION:

The proposed/emergency rule creates a new tax credit program and, accordingly, there is no risk of duplication in the adoption of the proposed/emergency rule.

ALTERNATIVES:

No alternatives were considered with regard to not creating a new rule in response to the statutory requirement. The rule is authorized by statute.

FEDERAL STANDARDS:

There are no federal standards applicable to this program; it is purely a state tax credit program. Therefore, the proposed rule does not exceed any federal standard.

COMPLIANCE SCHEDULE:

The affected agency (Department of Economic Development) and any applicants to this program will be able to achieve compliance with the regulation as soon as it is implemented.

Regulatory Flexibility Analysis

Participation in the New York City Musical and Theatrical Production tax credit program is entirely at the discretion of qualifying New York City musical and theatrical production companies. Neither statute nor the proposed/emergency rule impose any obligation on any local government or business entity to participate in the program. The proposed/emergency rule does not impose any adverse economic impact or compliance requirements on small businesses or local governments. In fact, the proposed/emergency rule may have a positive economic impact on small businesses.

Because it is evident from the nature of the proposed/emergency rule that it will have either no impact or a positive impact on small businesses and local government, no further affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required and one has not been prepared.

Rural Area Flexibility Analysis

The New York City Musical and Theatrical Production tax credit program is geared to incentivize musical and theatrical productions returning to New York city. As such, the proposed/emergency rule does not impose any special reporting, record keeping or other compliance requirements on private entities in rural areas. Therefore, the rule will not have a substantial adverse economic impact on rural areas nor on the reporting, record keeping or other compliance requirements on public or private entities in such rural areas. Accordingly, a rural area flexibility analysis is not required and one has not been prepared.

Job Impact Statement

The proposed/emergency rule creates the administrative process for the New York City Musical and Theatrical Production tax credit program. The

program aims to incentivize production companies to return musical and theatrical productions to New York City and help spur much needed tourism at this difficult economic time and will not have a substantial adverse impact on jobs and employment opportunities; rather, the program is intended to increase employment opportunities.

Because it is evident from the nature of the rulemaking that it will have either no impact or a positive impact on job and employment opportunities, no further affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Education Department

EMERGENCY RULE MAKING

Including Emergency Protocols in District-Wide School Safety Plans

I.D. No. EDU-17-21-00009-E

Filing No. 816

Filing Date: 2021-07-12

Effective Date: 2021-07-12

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 155.17(c) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 2801-a; L. 2020, ch. 168, as amended by L. 2021, ch. 30

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: On September 7, 2020 (Labor Day), Governor Cuomo signed into law Chapter 168 of the Laws of 2020 that requires public employers, including public school districts, to adopt a continuation of operations plan in the event that the Governor declares a public health emergency involving communicable disease. The legislation (S.8617-B / A.10832) amends subdivision 2 of section 2801-a of New York Education Law to require that district-wide school safety plans include protocols for responding to a declared public health emergency involving a communicable disease that are “substantially consistent” with the provisions of section 27-c of the Labor Law. A technical chapter amendment (S.01295 / A.009980) to the legislation became effective February 16, 2021 (Chapter 30 of the Laws of 2021). The proposed rule is necessary to conform the Commissioner’s regulations to Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021.

The proposed amendment was presented to the P-12 Education Committee for recommendation to the Full Board for adoption as an emergency rule at the April 2021 meeting of the Board of Regents. Since the Board of Regents meets at fixed intervals, the earliest the proposed rule can be presented for adoption, after expiration of the required 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5), would be the September 2021 Regents meeting. Further, pursuant to SAPA section 203(1), the earliest effective date of the proposed rule, if adopted at the September meeting, would be September 29, 2021, the date the Notice of Adoption would be published in the State Register. However, Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021 became effective September 7, 2020.

Therefore, a second emergency action is necessary at the June 2021 meeting for the preservation of the public health and the general welfare in order to immediately implement the requirements of Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021, which requires school districts to include protocols for responding to a declared State disaster emergency involving a communicable disease in their district-wide safety plans and to ensure the emergency action take at the April meeting remains continuously in effect until the rule can be permanently adopted.

It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at the September 2021 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 60-day public comment period required under the State Administrative Procedure Act. Because the emergency regulation will expire before the September 2021 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the July Regents meeting.

Subject: Including Emergency Protocols in District-Wide School Safety Plans.

Purpose: To implement chapter 168 of the Laws of 2020, as amended by chapter 30 of the Laws of 2021.

Text of emergency rule: Subdivision (c) of section 155.17 of the Regulations of the Commissioner of Education shall be amended to read as follows:

(c) District-wide school safety plans and building-level emergency response plans. District-wide school safety plans and building-level emergency response plans shall be designed to prevent or minimize the effects of violent incidents, *declared state disaster emergency involving a communicable disease or local public health emergency declaration* and other emergencies and to facilitate the coordination of schools and school districts with local and county resources in the event of such incidents or emergencies.

(1) District-wide school safety plans. A district-wide school safety plan shall be developed by the district-wide school safety team and shall include, but not be limited to:

- (i) . . .
- (ii) . . .
- (iii) . . .
- (iv) . . .
- (v) . . .
- (vi) . . .
- (vii) . . .
- (viii) . . .
- (ix) . . .
- (x) . . .
- (xi) . . .
- (xii) . . .
- (xiii) . . .
- (xiv) . . .
- (xv) . . .
- (xvi) . . .

(xvii) in the case of a school district, except in a school district in a city having more than one million inhabitants, a system for informing all educational agencies within such school district of a disaster; [and]

(xix) the designation of the superintendent, or superintendent’s designee, as the district chief emergency officer whose duties shall include, but not be limited to:

- (a) . . .
- (b) . . .
- (c) . . .
- (d) . . .
- (e) . . .
- (f) . . .
- (g) . . .

(h) ensure the completion and yearly update of building-level emergency response plans by the dates designated by the commissioner[.] ; and

(xx) ensure the development of protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-17-21-00009-EP, Issue of April 28, 2021. The emergency rule will expire September 9, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law §§ 305(1) and (2) provide Commissioner, as chief executive officer of the State’s education system, with general supervision over all schools and institutions subject to the Education Law, or any statute relating to education, and responsibility for executing all educational policies of the Regents.

Education Law § 2801-a requires the board of education or trustees of every school district, board of cooperative educational services, county vocational education and extension board, and the chancellor of the city

school district of the city of New York to adopt and amend a comprehensive district-wide school safety plan and building-level emergency response and management plan.

Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021, adds a new section 27-c to the labor law which requires public employers to adopt a plan for operations in the event of a declared public health emergency involving a communicable disease which shall include identification of essential personnel, needed personal protective equipment, staggering work shifts and providing necessary technology for telecommuting; and amends Education Law § 2801-a requiring school district-wide safety plans to include protocols for responding to a declared public health emergency involving a communicable disease that are substantially consistent with the provisions of Labor Law § 27-c.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to implement the provisions of Chapter 168 of the Laws of 2020 (Chapter 168), as amended by Chapter 30 of the laws of 2021 (Chapter 30) by requiring school district-wide safety plans to include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

3. NEEDS AND BENEFITS:

On September 7, 2020 (Labor Day), Governor Cuomo signed into law Chapter 168 of the Laws of 2020 that requires public employers, including public school districts, to adopt a continuation of operations plan in the event that the Governor declares a state disaster emergency involving a communicable disease. The legislation (S.8617-B / A.10832) amended subdivision 2 of section 2801-a of New York Education Law to require that District Safety Plans include protocols for responding to a declared state disaster emergency involving a communicable disease that are "substantially consistent" with the provisions of section 27-c of the Labor Law. A technical chapter amendment (S.01295 / A.009980) to the legislation became effective February 16, 2021 (Chapter 30 of the Laws of 2021).

As per section 27-c of the Labor Law, the operations plan must include, but is not limited to:

a) A list and description of the types of positions considered essential in the event of a State-ordered reduction of in-person workforce. For this purpose, essential is defined as required to be physically present at a work site to perform his or her job. Such designation may be changed at any time at the sole discretion of the employer.

b) A description of protocols the employer will follow in order for nonessential employees to telecommute including, but not limited to, facilitating or requesting the procurement, distribution, downloading, and installation of any needed technology, including software, data, and the transferring of office phone lines to work or personal cell phones as practicable or applicable to the workplace, and may include devices.

c) A description of how the employer will, to the extent possible, stagger work shifts of essential employees to reduce overcrowding on public transportation and at worksites.

d) Protocols the employer will implement to procure personal protective equipment (PPE), defined as equipment worn to minimize exposure to hazards, including gloves, masks, face shields, foot and eye protection, protective hearing devices, respirators, hard hats, and disposable gowns and aprons and, for essential employees, a quantity sufficient to provide PPE to each essential employee to meet his or her tasks and needs during any given work shift. A plan for storage of equipment and access to equipment must be included.

e) Protocols in the event an employee is exposed to a known case of the disease, exhibits symptoms of the disease, or tests positive for the disease to prevent the spread or contraction in the workplace. The protocols shall not violate any existing federal, state, or local law regarding sick leave or health information privacy and must include detailed actions to immediately and thoroughly disinfect the work area, common area surface and shared equipment. The protocols must also describe the employer policy on available leave in the event of the need of an employee to receive testing, treatment, isolation, or quarantine.

f) Protocols for documenting hours and work locations, including off-site visits, for essential employees. The protocol shall be designed only to aid in tracking of the disease and to identify exposed employees and contractors to facilitate the provision of any benefits which may be available.

g) Protocols for working with the employer's locality to identify sites for emergency housing for essential employees to further contain the spread of the communicable disease to the extent applicable to the needs of the workplace.

h) Protocols for implementing any other requirements determined by the Department of Health such as contact tracing or testing, social distancing, hand hygiene and disinfectant, or mask wearing.

The employer must consider and respond to recommendations received from the recognized or certified representatives of the employer's employ-

ees in writing, within a reasonable timeframe. A copy of the final version of the plan shall be published in a clear and conspicuous location, and in the employee handbook, and in a location accessible on either the employer's website or on the internet accessible by employees. No employer shall take retaliatory action or otherwise discriminate against any employee for making suggestions or recommendations regarding the content of the plan. "Retaliatory action" is defined as the discharge, suspension, demotion, or discrimination against any employee, or other adverse employment action taken against an employee in the terms and conditions of employment.

Therefore, to implement the provisions of Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021, the Department proposes to amend section 155.17 of the Commissioner's regulations to require that school district-wide safety plans include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government.

b. Costs to local government: The amendments do not impose any costs on local government beyond those imposed by statute.

c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties beyond those imposed by the statute.

d. Costs to regulating agencies for implementation and continued administration: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment is necessary to conform the Commissioner's regulations to Chapter 168, as amended by Chapter 30, and does not impose any additional program, service, duty or responsibility upon any local government beyond those inherent in the statute.

6. PAPERWORK:

The proposed amendment is necessary to implement Chapter 168, as amended by Chapter 30, and does not impose any specific recordkeeping, reporting or other paperwork requirements beyond those inherent in the statute. Consistent with the statute, the proposed amendment requires that school district-wide safety plans must include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

7. DUPLICATION:

The proposed amendments do not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to implement Chapter 168, as amended by Chapter 30. Therefore, no alternatives were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

The emergency rule will become effective April 13, 2021. It is anticipated that the proposed amendment will be presented to the Board of Regents for permanent adoption at its September 2021 meeting. If adopted at the September 2021 meeting, the proposed amendment will become effective as a permanent rule on September 29, 2021. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed amendment is necessary to implement Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021, and does not impose any adverse economic impact, reporting, record keeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

1. EFFECT OF RULE:

The proposed amendment applies to each of the 695 public school districts in the State. The purposes of the proposed amendment is to implement the provisions of Chapter 168 of the Laws of 2020 (Chapter 168), as amended by Chapter 30 of the laws of 2021 (Chapter 30) which requires school district-wide safety plans to include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

2. COMPLIANCE REQUIREMENTS:

The proposed amendment is necessary to timely implement Chapter 168, as amended by Chapter 30 and does not impose any additional compliance requirements beyond those inherent in the statute.

3. NEEDS AND BENEFITS:

On September 7, 2020 (Labor Day), Governor Cuomo signed into law Chapter 168 of the Laws of 2020 that requires public employers, including public school districts, to adopt a continuation of operations plan in the event that the Governor declares a state disaster emergency involving a communicable disease. The legislation (S.8617-B / A.10832) amended subdivision 2 of section 2801-a of New York Education Law to require that District Safety Plans include protocols for responding to a declared state disaster emergency involving a communicable disease that are “substantially consistent” with the provisions of section 27-c of the Labor Law. A technical chapter amendment (S.01295 / A.009980) to the legislation became effective February 16, 2021 (Chapter 30 of the Laws of 2021).

As per section 27-c of the Labor Law, the operations plan must include, but is not limited to:

a) A list and description of the types of positions considered essential in the event of a State-ordered reduction of in-person workforce. For this purpose, essential is defined as required to be physically present at a work site to perform his or her job. Such designation may be changed at any time at the sole discretion of the employer.

b) A description of protocols the employer will follow in order for nonessential employees to telecommute including, but not limited to, facilitating or requesting the procurement, distribution, downloading, and installation of any needed technology, including software, data, and the transferring of office phone lines to work or personal cell phones as practicable or applicable to the workplace, and may include devices.

c) A description of how the employer will, to the extent possible, stagger work shifts of essential employees to reduce overcrowding on public transportation and at worksites.

d) Protocols the employer will implement to procure personal protective equipment (PPE), defined as equipment worn to minimize exposure to hazards, including gloves, masks, face shields, foot and eye protection, protective hearing devices, respirators, hard hats, and disposable gowns and aprons and, for essential employees, a quantity sufficient to provide PPE to each essential employee to meet his or her tasks and needs during any given work shift. A plan for storage of equipment and access to equipment must be included.

e) Protocols in the event an employee is exposed to a known case of the disease, exhibits symptoms of the disease, or tests positive for the disease to prevent the spread or contraction in the workplace. The protocols shall not violate any existing federal, state, or local law regarding sick leave or health information privacy and must include detailed actions to immediately and thoroughly disinfect the work area, common area surface and shared equipment. The protocols must also describe the employer policy on available leave in the event of the need of an employee to receive testing, treatment, isolation, or quarantine.

f) Protocols for documenting hours and work locations, including off-site visits, for essential employees. The protocol shall be designed only to aid in tracking of the disease and to identify exposed employees and contractors to facilitate the provision of any benefits which may be available.

g) Protocols for working with the employer’s locality to identify sites for emergency housing for essential employees to further contain the spread of the communicable disease to the extent applicable to the needs of the workplace.

h) Protocols for implementing any other requirements determined by the Department of Health such as contact tracing or testing, social distancing, hand hygiene and disinfectant, or mask wearing.

The employer must consider and respond to recommendations received from the recognized or certified representatives of the employer’s employees in writing, within a reasonable timeframe. A copy of the final version of the plan shall be published in a clear and conspicuous location, and in the employee handbook, and in a location accessible on either the employer’s website or on the internet accessible by employees. No employer shall take retaliatory action or otherwise discriminate against any employee for making suggestions or recommendations regarding the content of the plan. “Retaliatory action” is defined as the discharge, suspension, demotion, or discrimination against any employee, or other adverse employment action taken against an employee in the terms and conditions of employment.

Therefore, to implement the provisions of Chapter 168 of the Laws of 2020, as amended by Chapter 30 of the Laws of 2021, the Department proposes to amend section 155.17 of the Commissioner’s regulations to require that school district-wide safety plans include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

4. PROFESSIONAL SERVICES:

The proposed rule does not impose any additional professional services requirements on local governments.

5. COMPLIANCE COSTS:

The proposed amendment is necessary to conform the Commissioner’s Regulations Chapter 168, as amended by Chapter 30 and does not impose any additional costs on the State, regulated parties, or the State Education Department, beyond those inherent in the statute.

6. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

7. MINIMIZING ADVERSE IMPACT:

The proposed amendment implements Chapter 168, as amended by Chapter 30 and applies to all school districts in the State. Accordingly, no alternatives were considered.

8. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule have been solicited from school districts through the offices of the district superintendents of each supervisory district in the State, and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to all school districts in the State, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendment is necessary to timely implement Chapter 168 of the Laws of 2020 (Chapter 168), as amended by Chapter 30 of the laws of 2021 (Chapter 30), and does not impose any specific recordkeeping, reporting or other paperwork requirements beyond those inherent in the statute. Consistent with the statute, the proposed amendment requires that school district-wide safety plans must include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

3. COSTS:

The proposed amendment is necessary to conform to Chapter 168, as amended by Chapter 30, and does not impose any additional costs on the State, regulated parties, or the State Education Department, beyond those inherent in the statute.

4. MINIMIZING ADVERSE IMPACT:

Because the statutory requirement applies to all schools in the State, it is not possible to establish differing compliance or reporting requirements or timetables or to exempt schools in rural areas from coverage by the proposed amendment.

5. RURAL AREA PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State, from the chief school officers of the five big city school districts, including those in rural areas.

Job Impact Statement

The proposed rule is necessary to implement Chapter 168 of the Laws of 2020, and Chapter 30 of the Laws of 2021 which requires school district-wide safety plans to include protocols for responding to a declared state disaster emergency involving a communicable disease that are substantially consistent with the provisions of section 27-c of the Labor Law.

Because it is evident from the nature of the proposed rule that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Assessment of Public Comment

The agency received no public comment.

**EMERGENCY
RULE MAKING**

Students’ Eligibility to Participate in Interscholastic Competition Until the Conclusion of the Interscholastic Spring Season

I.D. No. EDU-17-21-00010-E

Filing No. 853

Filing Date: 2021-07-12

Effective Date: 2021-07-12

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 135.4 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 803 and 3204
Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: Due to the COVID-19 crisis, the New York State Public High School Athletic Association has extended the interscholastic athletics spring season until June 30, 2021. The Department received inquiries on whether students who graduate prior to June 30, 2021 would be able to complete participation in their spring sports. Moreover, many of the student athletes in nonpublic schools graduate in May and/or early June, prior to the end of the spring interscholastic season. Currently, section 135.4 of the Commissioner's regulations does not permit participation in athletic competition after a student graduates. Commissioner's regulation § 135.4(b)(1) states that "[a] pupil shall be eligible for senior high school athletic competition in a sport during each of four consecutive seasons of such sport commencing with the pupil's entry into the ninth grade and prior to graduation..." The proposed amendment would permit eligible student athletes to participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students' graduation ceremony occurs prior to the end of the interscholastic athletic spring season.

The proposed amendment was presented to the P-12 Education Committee for recommendation to the Full Board for adoption as an emergency rule at the April 2021 meeting of the Board of Regents. Because the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action, pursuant to the requirements of the State Administrative Procedure Act, including publication in the State Register and expiration of a 60-day public comment period, is the September 2021 Regents meeting, and the earliest an adoption at such meeting could be made effective would be September 29, 2021, the date the Notice of Adoption would be published in the State Register. However, the April emergency rule will expire on July 11, 2021.

Therefore, a second emergency action is necessary at the June 2021 meeting, effective July 12, 2021, for the preservation of the general welfare in order to ensure that eligible students are able to participate in interscholastic competition until conclusion of the interscholastic athletic spring season and to ensure the emergency action taken at the April meeting remains continuously in effect until the rule can be permanently adopted.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at the September 2021 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by the State Administrative Procedure Act. However, since the emergency regulation will expire before the September 2021 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the July 2021 Regents meetings.

Subject: Students' Eligibility to Participate in Interscholastic Competition Until the Conclusion of the Interscholastic Spring Season.

Purpose: To ensure that eligible students are able to complete their interscholastic athletic spring season the year they graduate.

Text of emergency rule: 1. The opening paragraph of subclause (1) of clause (b) of subparagraph (ii) of paragraph (7) of subdivision (c) of section 135.4 of the Regulations of the Commissioner of Education is amended to read as follows:

(1) Duration of competition. A pupil shall be eligible for senior high school athletic competition in a sport during each of four consecutive seasons of such sport commencing with the pupil's entry into the ninth grade and prior to graduation, *except that otherwise eligible students graduating at the end of the spring semester may continue to participate in athletics until the conclusion of the spring interscholastic athletic season, or except as otherwise provided in this subclause, or except as authorized by a waiver granted under clause (d) of this subparagraph to a student with a disability, or as authorized for participation in inclusive athletic activities pursuant to clause (e) of this paragraph.* If a board of education has adopted a policy, pursuant to subclause (a)(4) of this subparagraph, to permit pupils in the seventh and eighth grades to compete in senior high school athletic competition, such pupils shall be eligible for competition during five consecutive seasons of a sport commencing with the pupil's entry into the eighth grade, or six consecutive seasons of a sport commencing with the pupil's entry into the seventh grade. A pupil enters competition in a given year when the pupil is a member of the team in the sport involved, and that team has completed at least one contest. A pupil shall be eligible for interschool competition in grades 9, 10, 11 and 12 until the last day of the school year in which he or she attains the age of 19, except as otherwise provided in subclause (a)(4) or clause (d) of this subparagraph, or in this subclause. The eligibility for competition of a pupil who has not attained the age of 19 years prior to July 1st may be extended under the following circumstances.

2. Subclause (2) of clause (b) of subparagraph (ii) of paragraph (7) of subdivision (c) of section 135.4 of the Regulations of the Commissioner of Education is amended to read as follows:

(2) Registration. A pupil shall be eligible for interschool competition or inclusive athletic activities in a sport during a semester, provided that he or she is a bona fide student, enrolled during the first 15 school days of such semester, is registered in the equivalent of three regular courses, is meeting the physical education requirement, *has not graduated from high school, except that otherwise eligible students graduating at the end of the spring semester may continue to participate in athletics until the conclusion of the spring interscholastic athletic season* and has been in regular attendance 80 percent of the school time, bona fide absence caused by personal illness excepted. Nothing in this clause shall be construed to preclude a chief school officer, athletic association, league or section from duly considering a request for an accommodation on behalf of an otherwise qualified student with a disability who is not registered in the equivalent of three regular courses as a result of his or her individualized education program under the Individuals with Disabilities Education Act or education plan approved under section 504 of the Rehabilitation Act of 1973 or the Americans with Disabilities Act.

3. Clauses (d) and (e) of subparagraph (ii) of paragraph (7) of subdivision (c) of section 135.4 of the Regulations of the Commissioner of Education is amended to read as follows:

(d) Waiver from the age requirement and four-year limitation for interschool athletic competition for students with disabilities in senior high school grades 9, 10, 11, and 12. For purposes of this clause, the term non-contact sport shall include swimming and diving, golf, track and field, cross country, rifle, bowling, gymnastics, skiing and archery, and any other such non-contact sport deemed appropriate by the Commissioner. A student with a disability, as defined in section 4401 of the Education Law, who has not yet graduated from high school, *except that otherwise eligible students graduating at the end of the spring semester may continue to participate in athletics until the conclusion of the spring interscholastic athletic season*, may be eligible to participate in a senior high school noncontact athletic competition under the following limited conditions:

(1) ...

(i) such student has not graduated from high school as a result of his or her disability delaying his or her education for one year or more;

(ii) ...

(iii) ...

(iv) ...

(2) ...

(e) Exemption from the age requirement and four-year limitation for inclusive athletic activities. A student who is enrolled in grades 9 through 12 and has not yet graduated from high school, *except that otherwise eligible students graduating at the end of the spring semester may continue to participate in athletics until the conclusion of the spring interscholastic athletic season*, may be eligible to participate in inclusive athletic activities under the following limited conditions:

(1) such student may be granted an exemption to the age requirement and four-year limitation prescribed in subclause (b)(1) of this subparagraph. An exemption shall only be granted upon a determination by the superintendent of schools or chief executive officer of the school or school system, as applicable, that the given student meets the following criteria:

(i) such student is a bona fide student of the high school for which the student wishes to participate in inclusive athletic activities and has not graduated from high school, *except that otherwise eligible students graduating at the end of the spring semester may continue to participate in athletics until the conclusion of the spring interscholastic athletic season*; and

(ii) ...

(iii) ...

(2) ...

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-17-21-00010-EP, Issue of April 28, 2021. The emergency rule will expire September 9, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 803 provides that all pupils above the age of eight years in all elementary and secondary schools shall receive physical education instruction under the direction of the Commissioner of Education as the regents may determine.

Education Law § 3204 provides that minors required to attend upon instruction pursuant to the Compulsory Education Law may attend at a public school or elsewhere and sets forth the requirements of such instruction.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to ensure that eligible students are able to complete their interscholastic athletic spring season during the year in which they graduate.

3. NEEDS AND BENEFITS:

Due to the COVID-19 crisis, the New York State Public High School Athletic Association has extended the interscholastic athletics spring season until June 30, 2021. The Department received inquiries on whether students who graduate prior to June 30, 2021 would be able to complete participation in their spring sports. Moreover, many of the student athletes in nonpublic schools graduate in May and/or early June, prior to the end of the spring interscholastic season. Currently, section 135.4 of the Commissioner’s regulations does not permit participation in athletic competition after a student graduates. Commissioner’s regulation § 135.4(b)(1) states that “[a] pupil shall be eligible for senior high school athletic competition in a sport during each of four consecutive seasons of such sport commencing with the pupil’s entry into the ninth grade and prior to graduation...”

Therefore, the Department proposes to amend section 135.4 of the Commissioner’s regulations to clarify that eligible student athletes may participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students’ graduation ceremony occurs prior to the end of the interscholastic athletic spring season. No other eligibility requirements are altered by this proposed amendment.

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government.

b. Costs to local government: The amendments do not impose any costs on local government.

c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.

d. Costs to regulating agencies for implementation and continued administration: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendments do not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendments do not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendments do not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to ensure that eligible students are able to complete their interscholastic athletic spring season during the year in which they graduate. There are no significant alternatives to the proposed amendment and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

The emergency rule will become effective April 13, 2021. It is anticipated that the proposed amendment will be presented to the Board of Regents for permanent adoption at its September 2021 meeting. If adopted at the September 2021 meeting, the proposed amendment will become effective as a permanent rule on September 29, 2021. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed rule amends section 135.4 of the Commissioner’s regulations to clarify that eligible student athletes may participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students’ graduation ceremony occurs prior to the end of the interscholastic athletic spring season. No other eligibility requirements are altered by this proposed amendment. The proposed amendments do not impose any adverse economic impact, reporting,

recordkeeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The proposed amendment applies to each of the 695 public school districts in the state. The purpose of the proposed rule is to clarify that eligible student athletes may participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students’ graduation ceremony occurs prior to the end of the interscholastic athletic spring season. No other eligibility requirements are altered by this proposed amendment.

2. COMPLIANCE REQUIREMENTS:

The proposed rule merely clarifies that eligible student athletes may participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students’ graduation ceremony occurs prior to the end of the interscholastic athletic spring season. No other eligibility requirements are altered by this proposed amendment. Thus, the proposed rule does not impose any additional program, service, duty or responsibility upon local governments.

3. PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any additional costs on local governments beyond.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

6. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to ensure that eligible students are able to complete their interscholastic athletic spring season during the year in which they graduate. Accordingly, no alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

Due to the COVID-19 crisis, the New York State Public High School Athletic Association has extended the interscholastic athletics spring season until June 30, 2021. The Department received inquiries on whether students who graduate prior to June 30, 2021 would be able to complete participation in their spring sports. Moreover, many of the student athletes in nonpublic schools graduate in May and/or early June, prior to the end of the spring interscholastic season. Currently, section 135.4 of the Commissioner’s regulations does not permit participation in athletic competition after a student graduates. Commissioner’s regulation § 135.4(b)(1) states that “[a] pupil shall be eligible for senior high school athletic competition in a sport during each of four consecutive seasons of such sport commencing with the pupil’s entry into the ninth grade and prior to graduation...”

Therefore, the Department proposes to amend section 135.4 of the Commissioner’s regulations to clarify that eligible student athletes may participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students’ graduation ceremony occurs prior to the end of the interscholastic athletic spring season. No other eligibility requirements are altered by this proposed amendment.

Thus, the proposed amendment does not adversely impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

Due to the COVID-19 crisis, the New York State Public High School Athletic Association has extended the interscholastic athletics spring season until June 30, 2021. The Department received inquiries on whether students who graduate prior to June 30, 2021 would be able to complete participation in their spring sports. Moreover, many of the student athletes in nonpublic schools graduate in May and/or early June, prior to the end of the spring interscholastic season. Currently, section 135.4 of the Commissioner’s regulations does not permit participation in athletic competition after a student graduates. Commissioner’s regulation § 135.4(b)(1) states that “[a] pupil shall be eligible for senior high school athletic competition in a sport during each of four consecutive seasons of such sport commencing with the pupil’s entry into the ninth grade and prior to graduation...”

Therefore, the Department proposes to amend section 135.4 of the Commissioner's regulations to clarify that eligible student athletes may participate in interscholastic sports and complete their spring season during the year in which they graduate, including when such students' graduation ceremony occurs prior to the end of the interscholastic athletic spring season. No other eligibility requirements are altered by this proposed amendment.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Assessment of Public Comment

The agency received no public comment.

EMERGENCY RULE MAKING

Extending the EdTPA Safety Net in Response to the COVID-19 Crisis

I.D. No. EDU-17-21-00012-E

Filing No. 814

Filing Date: 2021-07-12

Effective Date: 2021-07-12

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 80-1.5 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 210, 215, 3001, 3004 and 3009

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents adopted, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis.

At its February 2021 meeting, the Board of Regents permanently adopted amendments creating an edTPA safety net for candidates who: (i) complete a student teaching or similar clinical experience during the spring or summer 2020 terms while enrolled in a New York State registered teacher preparation program and could not complete their teacher performance assessment as a result of the COVID-19 crisis, as determined by the Dean or their designee overseeing the candidates' program; (ii) complete a student teaching or similar clinical experience during the 2020-2021 academic year while enrolled in a New York State registered teacher preparation program; (iii) complete a student teaching experience during the 2020-2021 academic year while enrolled in a comparable out-of-state teacher preparation program; or (iv) complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2020-2021 academic year, holding those harmless who cannot complete the edTPA as a result of the COVID-19 crisis. These candidates are able to take and pass either the Assessment of Teaching Skills-Written (ATS-W) or edTPA to satisfy the teacher performance assessment requirement for certification. Eligible candidates who choose to take the edTPA, but do not pass it, could take and pass the ATS-W or pursue the edTPA Multiple Measures Review Process (MMRP), if they qualify.

To provide additional flexibility related to the teacher performance assessment requirement, the Department is proposing to extend the edTPA safety net to candidates who complete a student teaching or similar clinical experience during the 2021-2022 academic year while enrolled in a New York State registered teacher preparation program or a comparable out-of-state teacher preparation program, or complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2021-2022 academic year. During the 2021-2022 academic year, candidates who are in the last year of their teacher preparation program will likely not have a typical student teaching experience as schools respond to the effects of the COVID-19 crisis, which may adversely impact their ability to complete the edTPA.

The proposed amendment was presented to the Higher Education Committee for recommendation to the Full Board for adoption as an emergency action at the April 2021 meeting of the Board of Regents. Because the Board of Regents meets at scheduled intervals, the earliest the

proposed amendments could be presented for regular (nonemergency) adoption, after publication in the State Register and expiration of the 60-day public comment period required in sections 201(1) and (5) of the State Administrative Procedure Act (SAPA) is the September 2021 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the September 2021 Regents meeting, would be September 29, 2021, the date the Notice of Adoption would be published in the State Register. However, the April emergency rule will expire on July 11, 2021.

Therefore, a second emergency action is necessary at the June 2021 meeting, effective July 12, 2021 for the preservation of the public health and general welfare in order to immediately provide additional flexibility related to the teacher performance assessment requirement in response to the COVID-19 crisis and to ensure the emergency action taken at the April meeting remains in effect until the rule can be permanently adopted.

It is anticipated that the proposed rule will be presented for adoption as a permanent rule at the September 2021 Regents meeting, which is the first scheduled meeting after the 60-day public comment period prescribed in SAPA for State agency rule making. Because the emergency action will expire before the September 2021 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the July 2021 Regents meeting.

Subject: Extending the edTPA Safety Net in Response to the COVID-19 Crisis.

Purpose: To extend the edTPA safety net in response to the COVID-19 crisis.

Text of emergency rule: Subparagraph (ii) of paragraph (1) of subdivision (c) of section 80-1.5 of the Regulations of the Commissioner of Education shall be amended to read as follows:

(ii) A candidate who: (i) completes a student teaching or similar clinical experience during the [Spring] *spring* 2020 or [Summer] *summer* 2020 terms while enrolled in a program registered pursuant to section 52.21 of this Title and could not complete their teacher performance assessment as a result of the COVID-19 crisis, as determined by the dean or his/her designee overseeing the candidate's program; (ii) completes a student teaching or similar clinical experience during the 2020-2021 or 2021-2022 academic [year] *years* while enrolled in a program registered pursuant to section 52.21 of this Title; (iii) completes a student teaching experience during the 2020-2021 or 2021-2022 academic [year] *years* while enrolled in a substantially equivalent teacher education program at a regionally accredited institution of higher education, or a higher education institution that the commissioner deems substantially equivalent, from another state or territory of the United States or the District of Columbia that leads to certification in the title and type of certificate sought in the jurisdiction where the higher education institution is located; or (iv) completes the teaching experience requirement for certification through the individual evaluation pathway during the 2020-2021 or 2021-2022 academic [year] *years*, may either:

(a) take and receive a satisfactory level of performance on the teacher performance assessment;

(b) take the written assessment of teaching skills by September 1, [2023] 2024 and receive a satisfactory score on such assessment; or

(c) for a candidate who takes and fails to achieve a satisfactory level of performance on the teacher performance assessment, may, in lieu of retaking such assessment:

(1) take the written assessment of teaching skills by September 1, [2023] 2024 and receive a satisfactory score on the written assessment of teaching skills after receipt of his/her score on the teacher performance assessment; or

(2) apply for a waiver of the edTPA requirement through the multiple-measures review process pursuant to subdivision (d) of this section, if he/she meets the eligibility requirements.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-17-21-00012-EP, Issue of April 28, 2021. The emergency rule will expire September 9, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 3001 establishes the qualifications of teachers in the classroom.

Education Law § 3003 authorizes the Commissioner to issue permanent certificates to school superintendents.

Education Law § 3004(1) authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law § 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to provide additional regulatory flexibility related to the teacher performance assessment requirement in response to the COVID-19 crisis.

3. NEEDS AND BENEFITS:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department adopted emergency regulations at the April, May, June, July, September, October, November, and December 2020 Board of Regents meetings to address numerous issues resulting from interruptions caused by the COVID-19 crisis, including numerous amendments providing flexibility for institutions of higher education, certificate holders, applicants for certification, and candidates in educator preparation programs. To address additional issues resulting from the interruptions caused by the COVID-19 crisis, the Department is proposing further emergency regulatory amendments relating to higher education.

At its February 2021 meeting, the Board of Regents permanently adopted amendments creating an edTPA safety net for candidates who: (i) complete a student teaching or similar clinical experience during the spring or summer 2020 terms while enrolled in a New York State registered teacher preparation program and could not complete their teacher performance assessment as a result of the COVID-19 crisis, as determined by the Dean or their designee overseeing the candidates' program; (ii) complete a student teaching or similar clinical experience during the 2020-2021 academic year while enrolled in a New York State registered teacher preparation program; (iii) complete a student teaching experience during the 2020-2021 academic year while enrolled in a comparable out-of-state teacher preparation program; or (iv) complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2020-2021 academic year, holding those harmless who cannot complete the edTPA as a result of the COVID-19 crisis. These candidates are able to take and pass either the Assessment of Teaching Skills-Written (ATS-W) or edTPA to satisfy the teacher performance assessment requirement for certification. Eligible candidates who choose to take the edTPA, but do not pass it, could take and pass the ATS-W or pursue the edTPA Multiple Measures Review Process (MMRP), if they qualify.

To provide additional flexibility related to the teacher performance assessment requirement, the Department is proposing to extend the edTPA safety net to candidates who complete a student teaching or similar clinical experience during the 2021-2022 academic year while enrolled in a New York State registered teacher preparation program or a comparable out-of-state teacher preparation program, or complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2021-2022 academic year. During the 2021-2022 academic year, candidates who are in the last year of their teacher preparation program will likely not have a typical student teaching experience as schools respond to the effects of the COVID-19 crisis, which may adversely impact their ability to complete the edTPA.

4. COSTS:

a. Costs to State government: The amendments do not impose any costs on State government.

b. Costs to local government: The amendments do not impose any costs on local government.

c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.

d. Costs to regulating agencies for implementation and continued administration: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendments do not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendments do not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendments do not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendments are necessary to provide additional regulatory flexibility related to the teacher performance assessment requirement in response to the COVID-19 crisis. There are no significant alternatives to the proposed amendment and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

The emergency rule will become effective April 13, 2021. It is anticipated that the proposed amendment will be presented to the Board of Regents for permanent adoption at its September 2021 meeting. If adopted at the September 2021 meeting, the proposed amendment will become effective as a permanent rule on September 29, 2021. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

The proposed amendment applies to all higher education institutions including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis.

At its February 2021 meeting, the Board of Regents permanently adopted amendments creating an edTPA safety net for candidates who: (i) complete a student teaching or similar clinical experience during the Spring or Summer 2020 terms while enrolled in a New York State registered teacher preparation program and could not complete their teacher performance assessment as a result of the COVID-19 crisis, as determined by the Dean or their designee overseeing the candidates' program; (ii) complete a student teaching or similar clinical experience during the 2020-2021 academic year while enrolled in a New York State registered teacher preparation program; (iii) complete a student teaching experience during the 2020-2021 academic year while enrolled in a comparable out-of-state teacher preparation program; or (iv) complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2020-2021 academic year, holding those harmless who cannot complete the edTPA as a result of the COVID-19 crisis. These candidates are able to take and pass either the Assessment of Teaching Skills-Written (ATS-W) or edTPA to satisfy the teacher performance assessment requirement for certification. Eligible candidates who choose to take the edTPA, but do not pass it, could take and pass the ATS-W or pursue the edTPA Multiple Measures Review Process (MMRP), if they qualify.

To provide additional flexibility related to the teacher performance assessment requirement, the Department is proposing to extend the edTPA safety net to candidates who complete a student teaching or similar clinical experience during the 2021-2022 academic year while enrolled in a New York State registered teacher preparation program or a comparable out-of-state teacher preparation program, or complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2021-2022 academic year. During the 2021-2022 academic year, candidates who are in the last year of their teacher preparation program will likely not have a typical student teaching experience as schools respond to the effects of the COVID-19 crisis, which may adversely impact their ability to complete the edTPA.

The amendment does not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed technical amendment that it will not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Rural Area Flexibility Analysis

The purpose of the proposed amendments to the Regulations of the Commissioner of Education is to provide additional regulatory flexibility related to the teacher performance assessment requirement in response to the COVID-19 crisis. On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the

entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis.

At its February 2021 meeting, the Board of Regents permanently adopted amendments creating an edTPA safety net for candidates who: (i) complete a student teaching or similar clinical experience during the Spring or Summer 2020 terms while enrolled in a New York State registered teacher preparation program and could not complete their teacher performance assessment as a result of the COVID-19 crisis, as determined by the Dean or their designee overseeing the candidates' program; (ii) complete a student teaching or similar clinical experience during the 2020-2021 academic year while enrolled in a New York State registered teacher preparation program; (iii) complete a student teaching experience during the 2020-2021 academic year while enrolled in a comparable out-of-state teacher preparation program; or (iv) complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2020-2021 academic year, holding those harmless who cannot complete the edTPA as a result of the COVID-19 crisis. These candidates are able to take and pass either the Assessment of Teaching Skills-Written (ATS-W) or edTPA to satisfy the teacher performance assessment requirement for certification. Eligible candidates who choose to take the edTPA, but do not pass it, could take and pass the ATS-W or pursue the edTPA Multiple Measures Review Process (MMRP), if they qualify.

To provide additional flexibility related to the teacher performance assessment requirement, the Department is proposing to extend the edTPA safety net to candidates who complete a student teaching or similar clinical experience during the 2021-2022 academic year while enrolled in a New York State registered teacher preparation program or a comparable out-of-state teacher preparation program, or complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2021-2022 academic year. During the 2021-2022 academic year, candidates who are in the last year of their teacher preparation program will likely not have a typical student teaching experience as schools respond to the effects of the COVID-19 crisis, which may adversely impact their ability to complete the edTPA.

Thus, the proposed amendment does not adversely impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a State disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents adopted, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis.

At its February 2021 meeting, the Board of Regents permanently adopted amendments creating an edTPA safety net for candidates who: (i) complete a student teaching or similar clinical experience during the Spring or Summer 2020 terms while enrolled in a New York State registered teacher preparation program and could not complete their teacher performance assessment as a result of the COVID-19 crisis, as determined by the Dean or their designee overseeing the candidates' program; (ii) complete a student teaching or similar clinical experience during the 2020-2021 academic year while enrolled in a New York State registered teacher preparation program; (iii) complete a student teaching experience during the 2020-2021 academic year while enrolled in a comparable out-of-state teacher preparation program; or (iv) complete the teaching experience requirement for certification through the Individual Evaluation pathway during the 2020-2021 academic year, holding those harmless who cannot complete the edTPA as a result of the COVID-19 crisis. These candidates are able to take and pass either the Assessment of Teaching Skills-Written (ATS-W) or edTPA to satisfy the teacher performance assessment requirement for certification. Eligible candidates who choose to take the edTPA, but do not pass it, could take and pass the ATS-W or pursue the edTPA Multiple Measures Review Process (MMRP), if they qualify.

To provide additional flexibility related to the teacher performance assessment requirement, the Department is proposing to extend the edTPA safety net to candidates who complete a student teaching or similar clinical experience during the 2021-2022 academic year while enrolled in a New York State registered teacher preparation program or a comparable out-of-state teacher preparation program, or complete the teaching experience requirement for certification through the Individual Evaluation

pathway during the 2021-2022 academic year. During the 2021-2022 academic year, candidates who are in the last year of their teacher preparation program will likely not have a typical student teaching experience as schools respond to the effects of the COVID-19 crisis, which may adversely impact their ability to complete the edTPA.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Assessment of Public Comment

The agency received no public comment.

EMERGENCY RULE MAKING

Two-Step Identification Process for Academic Services (AIS) for Students Who Will Be Enrolled in Grades 3-8 in 2021-22

I.D. No. EDU-25-21-00019-E

Filing No. 860

Filing Date: 2021-07-13

Effective Date: 2021-07-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 100.2(ee) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 308, 309 and 3204

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: At its December 2020 meeting, the Board of Regents voted to permanently adopt amendments to section 100.2(ee) of the Commissioner's regulations to provide that schools are not required to conduct the two-step identification prescribed for identification of students to receive academic intervention services (AIS) for the 2020-2021 school year due to the cancellation of State assessments for the 2019-2020 school year as a result of the COVID-19 crisis.

Due to the limited administration of State assessments in the 2020-2021 school year as a result of the COVID-19 crisis, the Department now proposes to amend section 100.2(ee) of the Commissioner's regulations to provide that schools may, but are not required to, conduct the two-step identification process for the 2021-2022 school year. For schools that opt not to conduct the two-step identification processes of the 2021-2022 school year, schools may make such identification based solely on the district-developed procedures for all students who will be enrolled in grades 3 through 8 for the 2021-22 school year.

The proposed amendment was presented to the P-12 Committee for recommendation and to the Full Board for adoption as an emergency action at the June 2021 meeting of the Board of Regents, effective June 8, 2021. Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the September 2021 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the September meeting, would be September 29, 2021, the date the Notice of Adoption would be published in the State Register.

However, the emergency rule will expire on September 5, 2021. Therefore, a second emergency action is necessary at the July 2021 meeting for the preservation of the public health and general welfare in order to immediately provide flexibility for the two-step identification process for academic intervention services (AIS) for the 2021-2022 school year in response to the COVID-19 crisis, and to ensure that the emergency action taken at the June meeting remains continuously in effect until the rule can be permanently adopted.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at its September 2021 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for State agency rule making.

Subject: Two-Step Identification Process for Academic Services (AIS) for Students Who Will Be Enrolled in Grades 3-8 in 2021-22.

Purpose: To provide flexibility for the 2-step AIS identification process for students enrolled in grades 3-8 in the 2021-22 school year.

Text of emergency rule: 1. Clause (f) of subparagraph (ii) of paragraph (2) of subdivision (ee) of section 100.2 of the Regulations of the Commissioner of Education is amended to read as follows:

(f) Notwithstanding any other provision of this subparagraph[, schools].

(i) *Schools shall not be required to conduct the two-step identification process prescribed in clauses (a) and (b) of this subparagraph for the 2020-21 school year due to the cancellation of State assessments for the 2019-20 school year [due to]. Schools may, but are not required to, conduct the two-step identification process prescribed in clauses (a) and (b) of this subparagraph for the 2021-22 school year due to the limited administration of State assessments in the 2020-21 school year as a result of the State of emergency declared by the Governor for the COVID-19 crisis.*

(ii) *For all students who will be enrolled in grades 3 through 8 for the 2020-21 school year, schools shall make such identification based solely on the district-developed procedures prescribed in clauses (b), (d) and (e) of this subparagraph. For schools that opt not to conduct the two-step identification process prescribed in clauses (a) and (b) of this subparagraph for the 2021-22 school year, schools may make such identification based solely on the district-developed procedures prescribed in clauses (b), (d), and (e) of this subparagraph for all students who will be enrolled in grades 3 through 8 for the 2021-22 school year.*

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-25-21-00019-EP, Issue of June 23, 2021. The emergency rule will expire September 10, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education law § 101 continues existence of Education Department, with Board of Regents as its head, and authorizes Regents to appoint Commissioner of Education as Department's Chief Administrative Officer, which is charged with general management and supervision of all public schools and educational work of State.

Education Law § 207 (not subdivided) empowers the Regents and Commissioner to adopt rules and regulations to carry out State education laws and functions and duties conferred on the Department.

Education Law § 308 empowers the Commissioner to cause to be instituted such proceedings and processes as may be necessary to properly enforce and give effect to any provision in the Education Law or in any other general or special law pertaining to the school system of the state or any part thereof or to any school district or city and to enforce any rule or direction of the regents.

Education Law § 309 provides that the schools of every union free school district and of every city in all their departments are subject to the visitation of the Commissioner and charges the Commissioner with the general supervision of their board of education and their management and conduct of all departments of instruction.

Education Law § 3204 provides that minors required to attend upon instruction pursuant to the Compulsory Education Law may attend at a public school or elsewhere and sets forth the requirements of such instruction.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to provide regulatory flexibility for the two-step identification process for the identification of grades 3 – 8 students to receive academic intervention services (AIS) due to the limited administration of State assessments in the 2020-21 school year as a result of the COVID-19 crisis.

3. NEEDS AND BENEFITS:

Ordinarily, schools are required to conduct a two-step identification process to identify those students in need of academic intervention services (AIS). At its December 2020 meeting, the Board of Regents adopted regulations providing that schools were not required to conduct this process during the 2020-2021 school year for grade 3-8 students due to the cancellation of State assessments (due, in turn, to COVID-19).

The Department now proposes to extend similar flexibility to the 2021-2022 school year due to the limited administration of State assessments during the 2020-2021 school year. Specifically, the Department proposes to amend section 100.2(ee) of the Commissioner's regulations to provide that schools may, but are not required to, conduct the two-step identification process for grade 3-8 students during the 2021-2022 school year. Those schools that do not utilize the two-step identification process may make an identification based solely on district-developed procedures applicable to all grade 3-8 students during the 2021-2022 school year.

4. COSTS:

(a) Costs to State government: There are no additional costs to State government.

(b) Costs to local government: There are no additional costs to local government.

(c) Cost to private regulated parties: The proposed amendment does not impose any additional costs on regulated parties.

(d) Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any program, service, duty, or responsibility on local governments beyond those imposed by State and federal statutes.

6. PAPERWORK:

The proposed rule imposes no new reporting requirements or other paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to provide regulatory flexibility for the two-step identification process for the identification of grades 3 – 8 students to receive academic intervention services (AIS) due to the limited administration of State assessments in the 2020-21 school year as a result of the COVID-19 crisis. There are no significant alternatives to the proposed amendment and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

The emergency action will take effect June 9, 2021. It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at the September 2021 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 60-day public comment period required under the State Administrative Procedure Act. Because the emergency action will expire before the September 2021 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the July 2021 meeting. If adopted at the September 2021 meeting, the proposed amendment will become effective on September 29, 2021. It is anticipated that the regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) **Small Businesses:** The purpose of the proposed rule is to provide regulatory flexibility for the two-step identification process for the identification of grades 3 – 8 students to receive academic intervention services (AIS) due to the limited administration of State assessments in the 2020-21 school year as a result of the COVID-19 crisis.

The proposed rule does not impose any adverse economic impact, reporting, record keeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local Governments:

1. EFFECT OF RULE:

The purpose of the proposed rule is to provide regulatory flexibility for the two-step identification process for the identification of grades 3 – 8 students to receive academic intervention services (AIS) due to the limited administration of State assessments in the 2020-21 school year as a result of the COVID-19 crisis. The proposed rule applies to each of the 731 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

Ordinarily, schools are required to conduct a two-step identification process to identify those students in need of academic intervention services (AIS). At its December 2020 meeting, the Board of Regents adopted regulations providing that schools were not required to conduct this process during the 2020-2021 school year for grade 3-8 students due to the cancellation of State assessments (due, in turn, to COVID-19).

The Department now proposes to extend similar flexibility to the 2021-2022 school year due to the limited administration of State assessments during the 2020-2021 school year. Specifically, the Department proposes to amend section 100.2(ee) of the Commissioner's regulations to provide that schools may, but are not required to, conduct the two-step identification process for grade 3-8 students during the 2021-2022 school year. Those schools that do not utilize the two-step identification process may make an identification based solely on district-developed procedures applicable to all grade 3-8 students during the 2021-2022 school year.

3. PROFESSIONAL SERVICES:

The proposed rule does not impose any additional professional service requirements on local governments.

4. COMPLIANCE COSTS:

The proposed rule does not impose any costs beyond those imposed by State and federal statutes.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional technological requirements on school districts. Economic feasibility is addressed under the Compliance Costs section above.

6. MINIMIZING ADVERSE IMPACT:

The proposed rule provides regulatory flexibility for the two-step identification process for the identification of grades 3 – 8 students to receive academic intervention services (AIS) due to the limited administration of State assessments in the 2020-21 school year as a result of the COVID-19 crisis. There are no significant alternatives to the proposed amendment and none were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

Ordinarily, schools are required to conduct a two-step identification process to identify those students in need of academic intervention services (AIS). At its December 2020 meeting, the Board of Regents adopted regulations providing that schools were not required to conduct this process during the 2020-2021 school year for grade 3-8 students due to the cancellation of State assessments (due, in turn, to COVID-19).

The Department now proposes to extend similar flexibility to the 2021-2022 school year due to the limited administration of State assessments during the 2020-2021 school year. Specifically, the Department proposes to amend section 100.2(ee) of the Commissioner's regulations to provide that schools may, but are not required to, conduct the two-step identification process for grade 3-8 students during the 2021-2022 school year. Those schools that do not utilize the two-step identification process may make an identification based solely on district-developed procedures applicable to all grade 3-8 students during the 2021-2022 school year.

Since the proposed amendment merely provides flexibility for certain regulatory requirements during the COVID-19 crisis, the proposed amendment does not adversely impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

Ordinarily, schools are required to conduct a two-step identification process to identify those students in need of academic intervention services (AIS). At its December 2020 meeting, the Board of Regents adopted regulations providing that schools were not required to conduct this process during the 2020-2021 school year for grade 3-8 students due to the cancellation of State assessments (due, in turn, to COVID-19).

The Department now proposes to extend similar flexibility to the 2021-2022 school year due to the limited administration of State assessments during the 2020-2021 school year. Specifically, the Department proposes to amend section 100.2(ee) of the Commissioner's regulations to provide that schools may, but are not required to, conduct the two-step identification process for grade 3-8 students during the 2021-2022 school year. Those schools that do not utilize the two-step identification process may make an identification based solely on district-developed procedures applicable to all grade 3-8 students during the 2021-2022 school year.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Assessment of Public Comment

The agency received no public comment.

**EMERGENCY/PROPOSED
RULE MAKING
NO HEARING(S) SCHEDULED**

Addressing the COVID-19 Crisis

I.D. No. EDU-30-21-00003-EP

Filing No. 861

Filing Date: 2021-07-13

Effective Date: 2021-07-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of sections 52.30, 63.9, 70.4, 74.6, 75.2, 75.5, 76.2, 79-9.3, 79-10.3, 79-11.3, 79-12.3, 80-5.3, 80-5.4, 83.5, 87.2, 87.5, 145-2.15, 155.17, 200.5, 200.6 and 279.15 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 210, 212, 301, 305, 308, 311, 661, 1709, 2801-a, 3001, 3001-d, 3004, 3004-c, 3009, 3035, 4402, 4403, 4404, 4410, 6501, 6504, 6506, 6507, 6527, 6802, 6909, 7404, 7704, 7904, 7904-a and 8206; L. 2020, ch. 110

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a state disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis.

As of June 25, 2021, the state of emergency is no longer in effect. However, the COVID-19 crisis continues to affect the State of New York. Therefore, to address additional issues resulting from the interruptions caused by the COVID-19 crisis and to provide additional regulatory flexibility, the Department is proposing further emergency regulatory amendments related to the following:

- Incidental teaching;
- Substitute teachers;
- Special education teaching assignments in grades 7-12;
- Ability-to-Benefit (ATB) test;
- Snow day reporting;
- Special Education due process hearings;
- Continuous experience requirements for speech language pathology, audiology, and occupational therapy;
- In person supervision experience requirements for licensed clinical social work, licensed master social work, mental health counseling, marriage and family counseling, creative arts therapy, and psychoanalysis;
- Authorizing licensed pharmacists to administer the COVID-19 vaccine;
- Examination timeframe requirements for Public Accounting applicants;
- Social work experience requirements;
- Part 83 and Part 87 appeals and procedures; and
- Office of State Review filings.

Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the October 2021 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the October meeting, would be November 3, 2021, the date the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the July 2021 meeting for the preservation of the general welfare and public health in order to immediately provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at its October 2021 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for State agency rule making. However, since the emergency action will expire before the September Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the September 2021 Regents meeting.

Subject: Addressing the COVID-19 crisis.

Purpose: To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis.

Substance of emergency/proposed rule (Full text is posted at the following State website: <http://www.counsel.nysed.gov/rules/full-text-indices/>): Higher Education:

Incidental Teaching:

At its December 2020 meeting, the Board of Regents permanently adopted regulatory amendments extending incidental teaching from up to five classroom hours a week to up to ten classroom hours a week during the 2020-2021 school year in response to the COVID-19 pandemic. This extension provided districts with additional flexibility in making teaching assignments, permitting certified teachers to teach a subject not covered by their certificate for up to ten classroom hours a week when no certified or qualified teachers are available after extensive and documented recruitment. The Department now proposes to extend incidental teaching from up to five classroom hours a week to up to ten classroom hours a week again during the 2021-2022 school year. This proposal continues to give districts another way to address staffing challenges exacerbated by the pandemic.

Substitute Teachers:

At its December 2020 meeting, the Board of Regents permanently adopted regulatory amendments allowing substitute teachers who did not hold a valid certificate and were not working towards certification, but held a high school diploma or its equivalent, to be employed by a school district or BOCES for more than 40 days during the 2020-2021 school year under the following circumstances in response to the pandemic:

- In extreme circumstances, these substitute teachers may be employed by the district or BOCES for up to an additional 50 days if the district superintendent or the superintendent certifies that the district or BOCES, as applicable, has conducted a good faith recruitment search for a properly certified candidate and determined that there are no available certified teachers that can perform the duties of such position.

- In rare circumstances, a district or BOCES may hire a substitute teacher beyond the 90 days, if a district superintendent or the superintendent attests that a good faith recruitment search has been conducted and that there are still no available certified teachers who can perform the duties of such position and that a particular substitute teacher is needed to work with a specific class or group of students until the end of the school year.

The Department is proposing to permit this flexibility again for substitute teachers who do not hold a valid certificate and are not working towards certification, but hold a high school diploma or its equivalent, during the 2021-2022 school year. This proposed extension enables districts to continually address the teacher shortages exacerbated by the pandemic with substitute teachers, if needed, subject to the same conditions as were in place last year.

Special Education Teaching Assignments in Grades 7-12:

The Department is proposing to allow teachers who hold a valid certificate in Students With Disabilities (Grades 1-6), Students With Disabilities (Grades 5-9) generalist, or Students With Disabilities (Grades 5-9) content specialist to teach students with disabilities in grades 7-12 during the 2021-2022 school year, provided that they otherwise meet all certification requirements for the teaching assignment. If these teachers instruct students with disabilities in grades 7-12 during the 2021-2022 school year, and they seek Professional certification in the future in the same title as the special education certificate held, they would be able to have the experience gained during this year count toward the Professional certificate experience requirement.

This one-year flexibility would enable districts to fill hard-to-staff middle and high school special education teaching assignments with teachers who have a background in special education. With this proposal, special education teachers who hold the certificate titles listed above would be able to provide special education programs and services to students with disabilities in grades 7-12 through collaboration and consultation with general education teachers as integrated co-teachers, consultant teachers, and/or resource room teachers providing supplemental instruction. If they do not also hold subject area certification in grades 7-12, they would not be able to teach a special class in grades 7-12 in a subject area.

Ability-to-Benefit (ATB) Test:

At its September 2020 Regents meeting, the Board permanently adopted amendments to section 145-2.15 of the Commissioner's regulations providing that where testing centers and institutions are unable to administer and proctor the ATB during the state of emergency declared by the Governor for the COVID-19 crisis, the test may be administered and proctored remotely in accordance with federal requirements. In order to continue to provide this flexibility beyond the expiration of the state of emergency for individuals impacted by the COVID-19 crisis, the Department proposes to remove the language tying such amendment to the state of emergency. ATB tests must still be administered and proctored in accordance with federal requirements.

P12:

Snow Day Reporting:

At its April 2021 meeting the Board of Regents permanently adopted amendments to section 155.17(f) of the Commissioner's regulations to require notification from superintendents whenever a school's emergency plan or building-level school safety plan is activated that results in the closing of a school building in the district for snow emergency days during the 2020-21 school year. Previously, such notification was not required for routine snow emergency days, however, due to the COVID-19 crisis, the State has shifted to allowing and/or requiring remote instruction by many districts.

In response the Department offered a pilot program in 2020-21 school year allowing school districts to shift to remote instruction for days that a district would have otherwise closed due to a snow emergency. The Department has extended this school day pilot program through the 2021-22 school year; therefore, regulatory amendments are needed to continue requiring the reporting of snow emergency days to the Department in the 2021-22 school year.

Special Education Due Process Hearings:

At its February 2021 meeting the Board of Regents permanently adopted amendments to section 200.5(j)(5)(i) to allow impartial hearing officers to extend due process cases for up to 60 days rather than 30 days during the state of emergency declared for the COVID-19 crisis. The Department now proposes to extend this regulatory flexibility beyond the state of emergency to provide impartial hearing officers with continued flexibility as the COVID-19 crisis continues to affect witnesses', administrators', and parents' availability to partake in due process hearings.

Professions:

Continuous Experience:

At its February 2021 meeting, the Board of Regents permanently adopted amendments to sections 75.2, 75.5, and 76.2 of the Commissioner's regulations to allow the Department to excuse the continuous experience requirements for speech language pathology, audiology, and occupational therapy where such continuous experience could not be completed due to the state of emergency declared by the Governor pursuant to an Executive Order for the COVID-19 crisis. Since the state of emergency is no longer in effect, the Department proposes to amend such sections to remove language referencing the state of emergency in order to continue to provide the above flexibility for individuals impacted by the COVID-19 crisis.

In-Person Supervision Experience Requirements:

Also at its February 2021 meeting the Board permanently adopted amendments to Sections 74.6(c)(1)(v), 74.6(d)(1)(v), 79-9.3(c), 79-10.3(d), 79-11.3(c), and 79-12.3(c) of the Commissioner's regulations granting an exemption for in-person supervision experience requirements for licensed clinical social work, licensed master social work, mental health counseling, marriage and family counseling, creative arts therapy, and psychoanalysis where such in-person supervision could not be completed due to the state of emergency declared by the Governor pursuant to an Executive Order for the COVID-19 crisis. Again, because the state of emergency is no longer in effect, the Department proposes to amend such sections to remove language referencing the state of emergency in order to continue to provide the above flexibility for individuals impacted by the COVID-19 crisis.

COVID-19 Vaccine Pharmacists Administration:

Chapter 110 of the Laws of 2020 (Chapter 110) amended the Education Law to authorize licensed pharmacists to immunize patients against COVID-19. Pharmacists may administer this immunization pursuant to either patient specific orders or non-patient specific orders. The proposed amendment conforms the Commissioner's regulations to Chapter 110 by adding the COVID-19 vaccine to the list of immunizations licensed pharmacists are authorized to administer pursuant to section 63.9 of the Commissioner's regulations.

Public Accounting Examination Timeframe:

Also at its February 2021 meeting, the Board permanently adopted amendments to section 70.4 of the Commissioner's regulations to allow the Department to accept passing examination scores from Public Accounting applicants that are outside the required 18-month examination window where such examinations could not be completed within 18 months due to the state of emergency declared by the Governor for the COVID-19 crisis. In order to continue this flexibility beyond the expiration of the state of emergency for individuals who continue to be impacted by the COVID-19 crisis, the Department now proposes to amend such section to remove the language linking such flexibility to the time period of the state of emergency.

Social Work Experience Requirements:

At its February 2021 meeting, the Board permanently adopted amendments to section 52.3 of the Commissioner's regulation to allow the Department to modify professional educational program requirements for professional licensure, to the extent authorized by law, if such requirements cannot be successfully completed due to the State of emergency declared by the Governor pursuant to an Executive Order for the COVID-19 crisis.

In order to continue this flexibility beyond the expiration of the state of emergency for the profession of Social Work, the Department proposes to amend section 52.30 of the Commissioner's regulations to permit the Department to modify, to the extent authorized by law, the field experience, practicums or other such experience requirements relative to registered programs for licensed master social work and licensed clinical social work recognized as leading to licensure in licensed master social work and licensed clinical social work for individuals impacted by the COVID-19 crisis.

Part 83 and Part 87 Appeals:

The Board permanently adopted amendments to provide flexibility relating to Part 83 and Part 87 appeals and procedures at its February 2021 meeting:

- Section 83.5 was amended to permit the Commissioner to excuse the filing timeframes relating to appeals to the Commissioner of a hearing officer's determination of good moral character where such late filings are

due to the state of emergency declared by the Governor pursuant to an Executive Order for the COVID-19 crisis;

- Section 87.5 was amended to permit: (i) the Department to excuse late submissions relating to due process procedures for prospective employees' clearance for employment; and (ii) the Commissioner's designee to excuse late submissions relating to appeals of the Department's determination to deny prospective school employees' clearance for employment where such submissions are late due to the state of emergency declared by the Governor pursuant to an Executive Order for the COVID-19 crisis; and

- Section 87.2(k)(2) was amended to add to the definition of "prospective school employee" any individual who will reasonably be expected to provide services which involve online communication or interaction directly to students under the age of 21 during the period of a school closure ordered pursuant to an Executive Order of the Governor pursuant to a state of emergency for the COVID-19 crisis.

Since this flexibility was specifically linked to the time period of the state of emergency declared for the COVID-19 crisis, and this state of emergency has been lifted, additional amendments are needed to continue to provide this flexibility as COVID-19 continues to affect the State of New York. Therefore, the Department proposes to remove the language tying the above flexibility to the state of emergency.

Office of State Review:

The Board of Regents permanently adopted a new section 279.15 of the Commissioner's regulations at its February 2021 meeting to provide that a State Review Officer may authorize certain filings through electronic means during the state of emergency declared by the Governor pursuant to an Executive Order for the COVID-19 crisis.

Since this flexibility was specifically linked to the time period of the state of emergency declared for the COVID-19 crisis, and this state of emergency has been lifted, additional amendments are needed to continue to provide this flexibility as COVID-19 continues to affect the State of New York. Therefore, the Department proposes to remove the language tying the above flexibility to the state of emergency.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire October 10, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Julia Patane, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 continues existence of Education Department, with Board of Regents as its head, and authorizes Regents to appoint Commissioner of Education as Department's Chief Administrative Officer, which is charged with general management and supervision of all public schools and educational work of State.

Education Law § 207 empowers Regents and Commissioner to adopt rules and regulations to carry out State education laws and functions and duties conferred on the Department.

Education Law § 208 empowers the Regents to confer by diploma honorary degrees as they deem proper, establish examinations and award and confer suitable certificates, diplomas and degrees on persons who meet the prescribed requirements for such.

Education Law § 210 empowers the Regents to register domestic and foreign institutions in terms of New York standards, and fix the value of degrees, diplomas and certificates issued by institutions of other states or countries and presented to entrance to schools, colleges and the professions in New York.

Education Law § 212 permits the Department to charge fees for the issuance of a qualifying certificate for admission to a professional school.

Education Law § 305 establishes the general powers and duties of the Commissioner of Education.

Education Law § 308 empowers the commissioner to cause to be instituted such proceedings and processes as may be necessary to properly enforce and give effect to any provision in the Education Law or in any other general or special law pertaining to the school system of the state or any part thereof or to any school district or city and to enforce any rule or direction of the regents.

Education Law § 311 enumerates the powers of the Commissioner in reference to appeals, petitions and proceedings.

Education Law § 611 establishes a liberty scholarship advisory committee.

Education Law § 1709 enumerates the powers and duties of boards of education of union free school districts.

Education Law § 2801 requires the board of education or trustees of every school district, board of cooperative educational services (BOCES), and county vocation extension boards to adopt and amend a code of conduct of the maintenance of order on school property.

Education Law § 2801-a requires the board of education or trustees of every school district, BOCSE, county vocational education and extension board, and the chancellor of the city school district of the city of New York to adopt and amend a comprehensive district-wide school safety plan and building-level emergency response and management plan.

Education Law § 3001 prescribes the qualifications of teachers.

Education Law § 3001-d provides that any nonpublic or private school may require, for the purposes of a criminal history record check, the fingerprinting of all prospective employees, who do not hold valid clearance pursuant to Education Law §§ 3035, 3004-b, 509-cc, or Vehicle and Traffic Law § 1209-d. Additionally, such schools may conditionally appoint a prospective employee.

Education Law § 3004 directs the Commissioner of Education to prescribe regulations governing the examination and certification of teachers employed in all public schools of the State.

Education Law § 3004-c provides that when an applicant for a teaching certification is denied, the applicant shall be afforded notice and the right to be heard an offer proof in opposition to such determination in accordance with the regulations of the Commissioner of Education.

Education Law § 3009 provides that unqualified teachers shall not be paid from school moneys.

Education Law § 3035 requires the Commissioner of Education to submit to the division of criminal justice services fingerprints of prospective employees and after receipt of a criminal history record, shall promptly notify the school whether the prospective employee is cleared for employment based upon his or her criminal history.

Education Law § 4402 establishes school district duties for the education of students with disabilities.

Education Law § 4403 outlines the Department's responsibilities regarding special education programs and services to students with disabilities. Section 4403(3) authorizes the Department to adopt regulations as the Commissioner deems in their best interest.

Education Law § 4404 establishes the appeal procedures for students with disabilities. Subdivision (1) authorizes and requires the Commissioner to promulgate regulations relating to the qualifications, procedures and timelines for impartial hearings, as well as procedures for the suspension or revocation of impartial hearing officer certification for good cause.

Education Law § 4410(7) provides that a parent may file a written request with the board of education for an impartial hearing with respect to any matter relating to the identification, evaluation or educational placement of, or provision of a free appropriate public education to preschool students with disabilities. Subdivision (14) authorizes the Commissioner to adopt regulations to implement the such statute.

Education Law § 6501 provides that the admission to practice of a profession in New York State is accomplished by a license being issued to a qualified applicant by the Department.

Education Law § 6504 authorizes the Board of Regents to supervise the admission to and regulation of the practice of the professions.

Education Law § 6506 requires the Board of Regents to supervise the admission to the practice of the professions.

Education Law § 6507 requires the Commissioner and the Department to administer the admission to and the practice of the professions.

Education Law § 6527(7), as amended by Chapter 110 of the Laws of 2020, authorizes physicians to issue patient-specific orders for COVID-19 to pharmacists.

Education Law § 6802(22), as amended by Chapter 110 of the Laws of 2020, adds vaccination to prevent COVID-19 to the list of immunizations certified pharmacist may administer.

Education Law § 6909(7), as amended by Chapter 110 of the Laws of 2020, authorizes nurse practitioners to issue patient-specific orders for COVID-19 to pharmacists.

Education Law § 7404 enumerates the requirements for licensure as a certified public accountant.

Education Law § 7704 enumerates the requirements for licensure as a licensed master social worker and licensed clinical social worker.

Education Law § 7904 enumerates the requirements for licensure as an occupational therapist.

Education Law § 7904-a enumerates the requirements for licensure as an occupational therapy assistant.

Education Law § 8206 enumerates the requirements for licensure as a speech-language pathologist and audiologist.

2. LEGISLATIVE OBJECTIVES:

The proposed amendments are consistent with the above statutory authority and are necessary to address numerous issues resulting from the interruptions caused by the COVID-19 crisis.

3. NEEDS AND BENEFITS:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a state disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis.

As of June 25, 2021, the state of emergency is no longer in effect. However, the COVID-19 crisis continues to affect the State of New York. Therefore, to address additional issues resulting from the interruptions caused by the COVID-19 crisis and to provide additional regulatory flexibility, the Department is proposing further emergency regulatory amendments related to the following:

- Incidental teaching;
- Substitute teachers;
- Special education teaching assignments in grades 7-12;
- Ability-to-Benefit (ATB) test;
- Snow day reporting;
- Special Education due process hearings;
- Continuous experience requirements for speech language pathology, audiology, and occupational therapy;
- In person supervision experience requirements for licensed clinical social work, licensed master social work, mental health counseling, marriage and family counseling, creative arts therapy, and psychoanalysis;
- Authorizing licensed pharmacists to administer the COVID-19 vaccine;
- Examination timeframe requirements for Public Accounting applicants;
- Social work experience requirements;
- Part 83 and Part 87 appeals and procedures; and
- Office of State Review filings.

4. COSTS:

- a. Costs to State government: The amendments do not impose any costs on State government.
- b. Costs to local government: The amendments do not impose any costs on local government.
- c. Costs to private regulated parties: The amendments do not impose any costs on private regulated parties.
- d. Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendments require that for the 2021-22 school year, school districts must notify the commissioner whenever a school building is closed for snow emergency days. Due to the COVID-19 crisis, the State has shifted to allowing and/or requiring remote instruction by many districts. In light of this, the Department has offered a pilot program to allow school districts to shift to remote instruction for days that a district would have otherwise closed due to a snow emergency for the 2020-21 and 2021-22 school years. The notification of these remote instruction snow days is necessary for the Department to review and evaluate the snow day pilot program for possible future extension.

6. PAPERWORK:

The proposed amendments require that for the 2021-22 school year, school districts must notify the commissioner whenever a school building is closed for snow emergency days. Due to the COVID-19 crisis, the State has shifted to allowing and/or requiring remote instruction by many districts. In light of this, the Department has offered a pilot program to allow school districts to shift to remote instruction for days that a district would have otherwise closed due to a snow emergency for the 2020-21 and 2021-22 school years. The notification of these remote instruction snow days is necessary for the Department to review and evaluate the snow day pilot program for possible future extension.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendments are necessary to address issues resulting from the COVID-19 crisis and to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. There are no significant alternatives to the proposed amendments, and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

If adopted as an emergency rule at the July 2021 Regents meeting, the emergency rule will become effective July 13, 2021. It is anticipated that

the proposed rule will be presented to the Board of Regents for permanent adoption at the October 2021 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 60-day public comment period required under the State Administrative Procedure Act. Because the emergency action will expire before the October 2021 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the September 2021 meeting. If adopted at the October 2021 meeting, the proposed amendment will become effective on November 3, 2021.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed rule relates to addressing issues resulting from the COVID-19 crisis and providing flexibility for certain regulatory requirements in response to the COVID-19 crisis. The proposed amendments do not impose any adverse economic impact, reporting, recordkeeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The purpose of the proposed amendments is to address issues resulting from the COVID-19 crisis and to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. The proposed amendments apply to each of the 731 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a state disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis.

As of June 25, 2021, the state of emergency is no longer in effect. However, the COVID-19 crisis continues to affect the State of New York. Therefore, to address additional issues resulting from the interruptions caused by the COVID-19 crisis and to provide additional regulatory flexibility, the Department is proposing further emergency regulatory amendments related to the following:

- Incidental teaching;
- Substitute teachers;
- Special education teaching assignments in grades 7-12;
- Ability-to-Benefit (ATB) test;
- Snow day reporting;
- Special Education due process hearings;
- Continuous experience requirements for speech language pathology, audiology, and occupational therapy;
- In person supervision experience requirements for licensed clinical social work, licensed master social work, mental health counseling, marriage and family counseling, creative arts therapy, and psychoanalysis;
- Authorizing licensed pharmacists to administer the COVID-19 vaccine;
- Examination timeframe requirements for Public Accounting applicants;
- Social work experience requirements;
- Part 83 and Part 87 appeals and procedures; and
- Office of State Review filings.

The proposed amendment does require that for the 2021-22 school year, school districts must notify the commissioner whenever a school building is closed for snow emergency days. Due to the COVID-19 crisis, the State has shifted to allowing and/or requiring remote instruction by many districts. In light of this, the Department has offered a pilot program to allow school districts to shift to remote instruction for days that a district would have otherwise closed due to a snow emergency for the 2020-21 and 2021-22 school years. The notification of these remote instruction snow days is necessary for the Department to review and evaluate the snow day pilot program for possible future extension.

3. PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any additional costs on local governments beyond those imposed by statute.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

6. MINIMIZING ADVERSE IMPACT:

The proposed amendments are necessary to provide flexibility for

certain regulatory requirements in response to the COVID-19 crisis. Accordingly, no alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to each public school district in the State, including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

On January 30, 2020, the World Health Organization designated the novel coronavirus, COVID-19, outbreak as a Public Health Emergency of International Concern. On March 7, 2020, the Governor of New York State declared a state disaster emergency for the entire State of New York pursuant to Executive Order 202. In response, the Department presented, and the Board of Regents voted to adopt, numerous emergency regulations to address issues resulting from interruptions caused by the COVID-19 crisis and to provide regulatory flexibility during the crisis.

As of June 25, 2021, the state of emergency is no longer in effect. However, the COVID-19 crisis continues to affect the State of New York. Therefore, to address additional issues resulting from the interruptions caused by the COVID-19 crisis and to provide additional regulatory flexibility, the Department is proposing further emergency regulatory amendments related to the following:

- Incidental teaching;
- Substitute teachers;
- Special education teaching assignments in grades 7-12;
- Ability-to-Benefit (ATB) test;
- Snow day reporting;
- Special Education due process hearings;
- Continuous experience requirements for speech language pathology, audiology, and occupational therapy;
- In person supervision experience requirements for licensed clinical social work, licensed master social work, mental health counseling, marriage and family counseling, creative arts therapy, and psychoanalysis;
- Authorizing licensed pharmacists to administer the COVID-19 vaccine;
- Examination timeframe requirements for Public Accounting applicants;
- Social work experience requirements;
- Part 83 and Part 87 appeals and procedures; and
- Office of State Review filings.

The proposed amendment does require that for the 2021-22 school year, school districts must notify the commissioner whenever a school building is closed for snow emergency days. Due to the COVID-19 crisis, the State has shifted to allowing and/or requiring remote instruction by many districts. In light of this, the Department has offered a pilot program to allow school districts to shift to remote instruction for days that a district would have otherwise closed due to a snow emergency for the 2020-21 and 2021-22 school years. The notification of these remote instruction snow days is necessary for the Department to review and evaluate the snow day pilot program for possible future extension.

3. COSTS:

The proposed amendment will not impose any additional costs on school districts located in rural areas beyond those imposed by statute.

4. MINIMIZING ADVERSE IMPACT:

The proposed rule is necessary to address issues resulting from the COVID-19 crisis and to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. Therefore, no alternatives were considered for school districts located in rural areas.

5. RURAL AREA PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State, from the chief school officers of the five big city school districts and from charter schools, including those in rural areas.

Job Impact Statement

The purpose of the proposed amendments is to address issues resulting from the COVID-19 crisis and to provide flexibility for certain regulatory requirements in response to the COVID-19 crisis. The proposed amendment provides flexibility related to the following:

- Incidental teaching;
- Substitute teachers;
- Special education teaching assignments in grades 7-12;
- Ability-to-Benefit (ATB) test;

- Snow day reporting;
- Special Education due process hearings;
- Continuous experience requirements for speech language pathology, audiology, and occupational therapy;
- In person supervision experience requirements for licensed clinical social work, licensed master social work, mental health counseling, marriage and family counseling, creative arts therapy, and psychoanalysis;
- Authorizing licensed pharmacists to administer the COVID-19 vaccine;
- Examination timeframe requirements for Public Accounting applicants;
- Social work experience requirements;
- Part 83 and Part 87 appeals and procedures; and
- Office of State Review filings.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Relates to Term Limits for Members of the Advisory Committee on Long-Term Clinical Clerkships

I.D. No. EDU-30-21-00004-EP

Filing No. 862

Filing Date: 2021-07-13

Effective Date: 2021-07-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 60.2 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 605, 6501, 6504, 6506, 6507, 6508, 6524, 6525, 6526, 6541 and 6548

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: At its June 2020 Regents meeting, the Board of Regents voted to adopt amendments to section 60.2 of the Commissioner's regulations. Section 60.2 provided that the members of the Advisory Committee on Long-Term Clinical Clerkships (Committee) are permitted to serve no more than two terms in succession, "except that a member may serve a succeeding third term if at least one of the preceding two terms was less than two years in duration." The June amendment removed such provision prohibiting members of the Committee from serving more than two terms in succession.

Due to a clerical error, the June 2020 amendments to the Commissioner's regulations filed with the Department of State inadvertently omitted other language regarding the staggering of terms of members of the committee that is unrelated to the above amendment and was not intended to be omitted. Therefore, the proposed necessary is necessary to resolve this clerical error by reinserting the unintentionally omitted language.

Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the October 2021 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earlier effective date of the proposed rule, if adopted at the October 2021 meeting, would be November 3, 2021 the date the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the July 2021 meeting for the preservation of the general welfare in order to immediately make a technical correction to ensure that the amendments to the Commissioner's regulations adopted at the April 2021 meeting are properly administered pursuant to the policies established by the Board of Regents.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at the October 201 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for state agency rule making. However, since the emergency action will expire before the October 2021 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the September Regents meeting.

Subject: Relates to term limits for members of the advisory committee on long-term clinical clerkships.

Purpose: To make a technical amendment relating to term limits for members of the advisory committee on long-term clinical clerkships.

Text of emergency/proposed rule: Subdivision (f) of section 60.2 of the Regulations of the Commissioner of Education is amended to read as follows:

(f) Establishment of Advisory Committee on Long-Term Clinical Clerkships.

(1) ...

(2) ...

(3) *Terms of members.* The terms of the members of the first committee appointed pursuant to subparagraphs (2)(v) through (viii) of this subdivision shall be so arranged that the terms of two members shall expire on June 30, 2013, the terms of two on June 30, 2014, and the terms of two on June 30, 2015, and the terms of two on June 30, 2016. Thereafter, all members appointed pursuant to subparagraphs (2)(v) through (viii) of this subdivision shall be appointed to serve a term of four years each, beginning with the first day of July next following the ending of the term to which each, respectively, is to succeed, except that an appointment to fill a vacancy created other than by the expiration of a term shall be for the unexpired term.

(4) Duties of the Advisory Committee on Long-Term Clinical Clerkships. The committee shall gather and study existing research on relevant issues, such as health workforce demands and trends, health workforce diversity and Board of Regents policy determinations. Based on such research and policy determinations, the committee shall:

(i) ...

(ii) ...

(iii) ...

(iv) ...

[4] (5) After consideration of the committee's recommendations, the Department shall make a recommendation to the Board of Regents as to whether an application for authorization to place students in a long-term clinical clerkship should be approved. Upon approval by the Board of Regents, the medical school shall be authorized to place students in long-term clinical clerkships in New York State pursuant to standards and/or limitations prescribed by the Board of Regents.

[5] (6) Until the Board of Regents approves the new standards and processes for approval for the placement of students in international medical schools in long-term clinical clerkships, schools currently approved for such purpose will continue to be subject to the current standards and processes prescribed in subdivision (c) of this section.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire October 10, 2021.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Sarah S. Benson, Deputy Commissioner, NYS Education Department, Office of the Professions, 89 Washington Avenue, 2nd Floor EB, West Wing, Albany, NY 12234, (518) 486-1727, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Section 207 of the Education Law grants general rulemaking authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Subdivisions (3) and (4) of section 605 of the Education Law authorize the Board of Regents to award professional education in medicine or dentistry scholarships and Regents physician shortage scholarships annually.

Subdivision (1) of section 6501 of the Education Law establishes the licensure requirements for the professions in Title VIII of the Education Law.

Section 6504 of the Education Law authorizes the Board of Regents to supervise the admission to and regulation of the practice of the professions.

Subdivision (1) of section 6506 of the Education Law authorizes the Board of Regents to supervise the admission to the practice of the professions and to promulgate rules to carry out such supervision.

Paragraph (a) of subdivision (2) of section 6507 of the Education Law authorizes the Commissioner of Education to promulgate regulations in administering the admission to and the practice of the professions.

Subdivision (1) of section 6508 authorizes the Board of Regents, on the recommendation of the Commissioner of Education to appoint a board for each profession for the purpose of assisting the Board of Regents and the Department on matters of professional licensing, practice, and conduct.

Section 6524 of the Education Law establishes the requirements for licensure as a physician.

Section 6525 of the Education Law establishes the limited permit requirements for applicants for licensure as physicians.

Section 6526 of the Education Law establishes the exemptions under persons may practice medicine within New York without a license.

Section 6541 of the Education Law establishes the requirements for licensure as a physician assistant.

Section 6548 of the Education Law establishes the requirements for registration as a specialist assistant.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment carries out the legislative intent of the aforementioned statutes that the Board of Regents and the Department regulate the admission to and the practice in the professions, as well as the Board of Regents' authority create the Advisory Committee on Long-Term Clinical Clerkships (Committee), establish the composition of the Committee, set the terms of the Committee members, define the duties of the Committee, and establish the procedure for consideration of the Committee's recommendations by the Department and the Board of Regents.

At its June 2020 Regents meeting, the Board of Regents voted to adopt amendments to section 60.2 of the Commissioner's regulations. Section 60.2 provided that the members of the Advisory Committee on Long-Term Clinical Clerkships (Committee) are permitted to serve no more than two terms in succession, "except that a member may serve a succeeding third term if at least one of the preceding two terms was less than two years in duration." The June amendment removed such provision prohibiting members of the Committee from serving more than two terms in succession.

Due to a clerical error, the June 2020 amendments to the Commissioner's regulations filed with the Department of State inadvertently omitted other language regarding the staggering of terms of members of the committee that is unrelated to the above amendment and was not intended to be omitted. Therefore, the proposed necessary is necessary to resolve this clerical error by reinserting the unintentionally omitted language.

3. NEEDS AND BENEFITS:

Due to a clerical error, the June 2020 amendments to the Commissioner's regulations filed with the Department of State concerning the Committee inadvertently omitted other language regarding the staggering of terms of members of the committee that is unrelated to the above amendment and was not intended to be omitted. Therefore, the proposed amendment is necessary to resolve this clerical error by reinserting the omitted language.

4. COSTS:

(a) Costs to State government. There are no additional costs to State government.

(b) Costs to local government. There are no additional costs to local government.

(c) Costs to private regulated parties. There are no additional costs to private regulated parties.

(d) Costs to the regulatory agency. There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any program, service, duty, or responsibility on local governments.

6. PAPERWORK:

The proposed amendment imposes no new forms, reporting requirements, or other recordkeeping or paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or federal requirements.

8. ALTERNATIVES:

The proposed amendment to section 60.2 of the Regulations of the Commissioner of Education is necessary to resolve a clerical error by reinserting language from the June 2020 amendment that was unintentionally omitted when the amendment was filed with the Department of State. There are no significant alternatives to the proposed amendment, and none were considered.

9. FEDERAL STANDARDS:

No Federal standards apply to the subject of this proposed rule making. The Federal government does not regulate the establishment of State advisory committees on clinical clerkships in medicine or their composition, the terms of their committee members or their committee duties. Since there are no applicable federal standards, the proposed amendment does not exceed any minimum federal standards for the same or similar subject areas.

10. COMPLIANCE SCHEDULE:

It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

At its June 2020 Regents meeting, the Board of Regents voted to adopt amendments to section 60.2 of the Commissioner's regulations. Section 60.2 provided that the members of the Advisory Committee on Long-

Term Clinical Clerkships (Committee) are permitted to serve no more than two terms in succession, "except that a member may serve a succeeding third term if at least one of the preceding two terms was less than two years in duration." The June amendment removed such provision prohibiting members of the Committee from serving more than two terms in succession.

Due to a clerical error, the June 2020 amendments to the Commissioner's regulations filed with the Department of State inadvertently omitted other language regarding the staggering of terms of members of the committee that is unrelated to the above amendment and was not intended to be omitted. Therefore, the proposed amendment is necessary to resolve this clerical error by reinserting the unintentionally omitted language.

The proposed amendment is applicable to all international medical schools seeking approval to place their students in long-term clinical clerkships in New York State. The proposed amendment will not impose any new reporting, recordkeeping or any other compliance requirements, or have any adverse economic impact, on small businesses or local governments. Because it is evident from the nature of the proposed amendment that it will not adversely affect small businesses or local governments, no affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required, and one has not been prepared.

Rural Area Flexibility Analysis

At its June 2020 Regents meeting, the Board of Regents voted to adopt amendments to section 60.2 of the Commissioner's regulations. Section 60.2 provided that the members of the Advisory Committee on Long-Term Clinical Clerkships (Committee) are permitted to serve no more than two terms in succession, "except that a member may serve a succeeding third term if at least one of the preceding two terms was less than two years in duration." The June amendment removed such provision prohibiting members of the Committee from serving more than two terms in succession.

Due to a clerical error, the June 2020 amendments to the Commissioner's regulations filed with the Department of State inadvertently omitted other language regarding the staggering of terms of members of the committee that is unrelated to the above amendment and was not intended to be omitted. Therefore, the proposed amendment is necessary to resolve this clerical error by reinserting the unintentionally omitted language.

The proposed amendment is applicable to all international medical schools seeking approval to place their students in long-term clinical clerkships in New York State. The proposed amendment does not impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural area flexibility analysis is not required and one has not been prepared.

Job Impact Statement

At its June 2020 Regents meeting, the Board of Regents voted to adopt amendments to section 60.2 of the Commissioner's regulations. Section 60.2 provided that the members of the Advisory Committee on Long-Term Clinical Clerkships (Committee) are permitted to serve no more than two terms in succession, "except that a member may serve a succeeding third term if at least one of the preceding two terms was less than two years in duration." The June amendment removed such provision prohibiting members of the Committee from serving more than two terms in succession.

Due to a clerical error, the June 2020 amendments to the Commissioner's regulations filed with the Department of State inadvertently omitted other language regarding the staggering of terms of members of the committee that is unrelated to the above amendment and was not intended to be omitted. Therefore, the proposed amendment is necessary to resolve this clerical error by reinserting the unintentionally omitted language.

The proposed amendment will not have a substantial adverse impact on jobs and employment opportunities. Because it is evident from the nature of the proposed amendment that it will not affect job and employment opportunities, no affirmative steps were needed to ascertain these facts and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

NOTICE OF ADOPTION

Relates to the Licensure Requirements for Land Surveyors

I.D. No. EDU-13-21-00011-A

Filing No. 855

Filing Date: 2021-07-13

Effective Date: 2021-07-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 52.49; amendment of sections 68.4, 68.6 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 6504, 6507, 7203, 7204, 7206-a; L 2019, ch. 322

Subject: Relates to the Licensure Requirements for Land Surveyors.

Purpose: To implement the provisions of chapter 322 of the Laws of 2019 relating to the Licensure Requirements for Land Surveyors.

Text or summary was published in the March 31, 2021 issue of the Register, I.D. No. EDU-13-21-00011-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2026, which is the 4th or 5th year after the year in which this rule is being adopted. This review period, justification for proposing same, and invitation for public comment thereon, were contained in a RFA, RAFA or JIS:

An assessment of public comment on the 4 or 5-year initial review period is not attached because no comments were received on the issue.

Assessment of Public Comment

Since publication of the Notice of the Emergency Adoption and Proposed Rule Making in the State Register on March 31, 2021, the State Education Department received the following comment on the proposed amendment.

1. COMMENT: A comment was submitted by the President of the New York State Association of Professional Land Surveyors ("NYSAPLS") on behalf of the organization. The comment expressed support for phasing out the all-experience pathway to licensure as a land surveyor in New York state. The comment further noted the support provided to the Department by NYSAPLS, which included information about coursework and curriculum for the education pathway to licensure as a land surveyor.

DEPARTMENT RESPONSE: The Department appreciates the supportive comments as it works to both protect the public and provide greater access to land surveying services to New Yorkers. Since the comment is supportive, no changes to the proposed rule are necessary.

NOTICE OF ADOPTION

Renaming the Languages Other Than English (LOTE) Learning Standards to "World Languages"

I.D. No. EDU-13-21-00012-A

Filing No. 851

Filing Date: 2021-07-13

Effective Date: 2021-07-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Parts 30 and 80, sections 52.21, 100.1, 100.2, 100.5, 100.7, 151-2.2, 154-2.2, 154-2.3 and 154-2.10 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 209, 210, 212, 215, 305, 815, 3204, 3205, 3001, 3004 and 3009

Subject: Renaming the Languages Other Than English (LOTE) learning standards to "World Languages".

Purpose: To rename the LOTE learning standards to "World Languages" and corresponding changes to the certificate title and tenure titles.

Text or summary was published in the March 31, 2021 issue of the Register, I.D. No. EDU-13-21-00012-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Since publication of a Notice of Proposed Rule Making in the State Register on March 31, 2021, the State Education Department (SED) received the following comments on the proposed amendment.

1. COMMENT:

One commenter expressed support for the change: "I support the proposed regulatory changes from LOTE to World Languages."

DEPARTMENT RESPONSE:

Since the comment is supportive, no change is necessary.

2. COMMENT:

One commenter expressed support for the change: "I support this proposed terminology since it is more global in scope. It removes the connotation of 'other' which insinuates that English is ours, and therefore, the best. Using the term 'World Languages' presents a mind-opening perspective to the validity and acceptance of vibrant cultures beyond our shores. Further, such a term exemplifies the concepts of global interaction and global commerce. Thank you for this opportunity to express my thoughts."

DEPARTMENT RESPONSE:

Since the comment is supportive, no change is necessary.

3. COMMENT:

One commenter expressed support for the change: "I write to express my wholehearted support for the name change from LOTE (Languages Other Than English) to World Languages. It is important to define our subject not by what we are not, but by what we are. In addition, it is quite difficult to communicate acronyms such as LOTE to the broader community, especially parents. Given the excellent new standards that are on their way to implementation, the time is right to align New York State with nationwide and worldwide practices and change the name to World Languages. Thank you for your support of language-learning."

DEPARTMENT RESPONSE:

Since the comment is supportive, no change is necessary.

NOTICE OF ADOPTION

Eligibility of School Psychology Candidates for the Internship Certificate

I.D. No. EDU-13-21-00013-A

Filing No. 815

Filing Date: 2021-07-13

Effective Date: 2021-07-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 80-5.9(a) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 210, 305, 3001, 3004 and 3009

Subject: Eligibility of School Psychology Candidates for the Internship Certificate.

Purpose: To allow candidates enrolled in registered school psychology programs to be eligible for the Internship certificate.

Text or summary was published in the March 31, 2021 issue of the Register, I.D. No. EDU-13-21-00013-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Revised Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 210 authorizes the Regents to register domestic and foreign institutions in terms of New York standards.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 3001 establishes the qualifications of teachers in the classroom.

Education Law § 3004 authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law § 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The purpose of the proposed amendment to section 80-5.9 of the Regulations of the Commissioner of Education relating to the eligibility of

School Psychology Candidates for the Internship Certificate rule, is to allow candidates who are enrolled in a registered school psychology program that includes an internship experience and who have completed at least one-half of the semester hour requirements for the program, to become eligible for the Internship certificate. To earn the certificate, they must receive an institutional recommendation for the certificate from their program and complete the fingerprint clearance.

3. NEEDS AND BENEFITS:

Currently, candidates who are enrolled in a registered teacher, school leadership, or school counseling preparation program that includes an internship experience and have completed at least one-half of the semester-hour requirements for the program are eligible for the Internship certificate. To earn the certificate, they must receive an institutional recommendation for the certificate from their program and complete the fingerprint clearance.

The Internship certificate enables candidates to work in a New York State public school in the area of the certificate title for their internship experience. Candidates who are enrolled in a registered school psychology program are not eligible for the Internship certificate. There is interest from the field for the Internship certificate to be available for this group of candidates.

The Department is proposing to allow candidates who are enrolled in a registered school psychology program that includes an internship experience and have completed at least one-half of the semester-hour requirements for the program to be eligible for the Internship certificate. The proposed amendment would allow these candidates to work in a school district or BOCES in the area of school psychology for their internship experience if they apply for and receive the Internship certificate.

4. COSTS:

a. Costs to State government: The amendment does not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendment does not impose any costs on local government.

c. Costs to private regulated parties: The amendment will impose a \$50 fee on applicants for an Internship certificate who are enrolled in a graduate school psychology program. This is the same fee collected for all other college-recommended certificates pursuant to section 3006 of the Education Law.

d. Costs to regulating agency for implementation and continued administration: See above.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

Because the proposed amendment is necessary to allow candidates who are enrolled in a registered school psychology program that includes an internship experience and have completed at least one-half of the semester-hour requirements for the program to be eligible for the Internship certificate, no alternatives were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

A Notice of Proposed Rule Making will be published in the State Register on March 31, 2021. Following the 60-day public comment period required under the State Administrative Procedure Act, it is anticipated that the proposed amendment will be presented to the Board of Regents for adoption at its July 2021 meeting. If adopted at the July 2021 meeting, the proposed amendment will become effective on July 28, 2021.

Revised Regulatory Flexibility Analysis

The proposed amendment applies to all higher education institutions including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

The purpose of the proposed amendment to section 80-5.9 of the Regulations of the Commissioner of Education relating to the eligibility of School Psychology Candidates for the Internship Certificate, is to allow candidates who are enrolled in a registered school psychology program that includes an internship experience and who have completed at least one-half of the semester hour requirements for the program, to become eligible for the Internship certificate. To earn the certificate, they must receive an institutional recommendation for the certificate from their program and complete the fingerprint clearance. The proposal would allow these candidates to be work in a school district or BOCES in the area of school psychology for their internship experience if they apply for and receive the Internship certificate.

The amendment does not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed technical amendment that it will not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required and one has not been prepared.

Revised Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed amendment applies to all higher education institutions including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

Currently, candidates who are enrolled in a registered teacher, school leadership, or school counseling preparation program that includes an internship experience and have completed at least one-half of the semester-hour requirements for the program are eligible for the Internship certificate. To earn the certificate, they must receive an institutional recommendation for the certificate from their program and complete the fingerprint clearance.

The Internship certificate enables candidates to work in a New York State public school in the area of the certificate title for their internship experience. Candidates who are enrolled in a registered school psychology program are not eligible for the Internship certificate. There is interest from the field for the Internship certificate to be available for this group of candidates.

The Department is proposing to allow candidates who are enrolled in a registered school psychology program that includes an internship experience and have completed at least one-half of the semester-hour requirements for the program to be eligible for the Internship certificate. The proposal would allow these candidates to work in a school district or BOCES in the area of school psychology for their internship experience if they apply for and receive the Internship certificate.

3. COSTS:

The amendment will impose a \$50 fee on applicants for an Internship certificate who are enrolled in a graduate school psychology program. This is the same fee collected for all other college-recommended certificates pursuant to section 3006 of the Education Law.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment will enable candidates to have increased opportunities to fulfill their internship experiences while working in the New York State public school system. No alternatives were considered for those institutions located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

Copies of the proposed amendments have been provided to the New York Association of Colleges for Teacher Education for review and comment.

Revised Job Impact Statement

The purpose of the proposed amendment to section 80-5.9 of the Regulations of the Commissioner of Education relating to the eligibility of School Psychology Candidates for the Internship Certificate rule, is to allow candidates who are enrolled in a registered school psychology program that includes an internship experience and who have completed at least one-half of the semester hour requirements for the program, to become eligible for the Internship certificate. To earn the certificate, they must receive an institutional recommendation for the certificate from their program and complete the fingerprint clearance. The proposed amendment would allow these candidates to work in a school district or BOCES in the area of school psychology for their internship experience if they apply for and receive the Internship certificate.

Because it is evident from the nature of the proposed amendment that it will have no impact on the existing number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Since publication of the Notice of Proposed Rule Making in the State Register on March 31, 2021, the State Education Department (Department) received the following comments on the proposed amendments. Below is an assessment of the public comments received.

1. COMMENT: Commenter notes that the Department has made available an "Internship Certificate" for school psychology candidates in the past. While Commenter supports the availability of an Internship Certificate

for these candidates, it is unclear to them if this certification is a mandatory certification for all school psychology internships or an optional certification. The commenter wants the Internship certificate to be made available as an option for school psychology candidates for their internship placement.

Commenter suggests that the Internship requirement of "at least one-half of the semester hour requirement for the program" is counter to national training standards for school psychologists. Commenter states that the internship is viewed as a culminating activity for master's-level/specialist-level programs, or as part of an internship sequence completed partially through the training program and at the end of doctoral programs in school psychology, and the issuance of the Internship certificate should be based on institutional recommendations without a delineation of a percentage of the program completed.

Commenter states that a \$50 fee for the Internship Certificate places an unfair financial burden or may serve as a barrier to access the certificate for school psychology candidates. Commenter describes that feedback from graduate students surveyed indicated that it would represent another expense in addition to the multitude of expenses associated with their graduate program. Commenter recommends removing the Internship certificate application fee.

Commenter explains that the phrasing "employed by a school district or BOCES as a school psychologist" within the "Needs and Benefits" and "Job Impact" sections of the Proposed Rule Making Notice is unclear and problematic because school psychology interns are not "employed" by a school district or BOCES and do not carry the title of "school psychologists." Commenter notes that interns are placed within the internship setting through a mutual agreement or contract between the registered program in school psychology and the school district or other setting. Commenter states that while some internships are associated with some type of monetary stipend, this is not general practice throughout the state nor does it represent an employment arrangement. Commenter wants the Department to clearly indicate that the internship placement in school psychology does not represent an "employment" agreement for the intern and use of the title of "school psychologist" is reserved for professionals who have met all of the training and experience requirements for certification within New York State.

DEPARTMENT RESPONSE: The proposed amendment would allow candidates who are enrolled in a registered school psychology program that includes an internship experience, and have completed at least one-half of the semester-hour requirements for the program, to be eligible for the Internship certificate. The Internship certificate would be an option for candidates enrolled in graduate school psychology programs that include an internship. Candidates who are employed in a New York public school during their internship would need to hold the Internship certificate, whereas candidates who are not employed in a New York public school during their internship would not need to hold the Internship certificate.

In the past, the Internship certificate was incorrectly made available for school psychology candidates in the TEACH system despite the fact that section 80-5.9 of the Commissioner's regulations did not state that school psychology candidates were eligible for the Internship certificate. The proposed amendment to this section would make school psychology candidates eligible for the Internship certificate, which would appropriately permit school psychology candidates to apply for it in the TEACH system.

Section 5.9 states that a candidate who has competed at least one-half of the semester hour requirement for the school psychology program may, at the request of the institution, be issued an Internship certificate. As such, institutions ultimately decide if the candidate would be eligible for the Internship certificate by deciding whether or not to submit a recommendation for the Internship certificate for the candidate to the Department (via TEACH) based on their own criteria. The requirement of one-half of the semester hour requirement is set as a minimum threshold for all programs listed in the regulation across the state.

Education Law section 3006 establishes fees that the Commissioner of Education shall charge for certificates. These fees help cover the Department's costs to process certificate applications. The \$50 fee for the Internship certificate application is the same application fee collected for all other college-recommended certificates pursuant to Education Law section 3006.

The Internship certificate enables candidates to work in a New York State public school in the area of the certificate title for their internship experience. In response to that portion of the comment regarding the internship placement, the Department clarified in the Regents item proposal and within the supporting documents of the Adoption Notice that the proposed amendment would allow school psychology candidates to work in a school district or BOCES in the area of school psychologist for their internship experience if they apply for and receive the Internship certificate. Therefore, no changes to the proposed rule are necessary.

2. COMMENT: Commenter states that school psychology programs in

New York do not believe that an Internship certificate for school psychology candidates is necessary at this time. Commenter notes that an optional Internship Certificate for school psychologists has historically been available to school psychology students via TEACH prior to the current year and was not viewed as a necessary or useful element of the internship placement process by training programs.

Commenter states that it now appears that school psychology trainees are being included in the current proposal for mandatory Internship certificates designed for teachers, school leaders, and school counselors. Commenter believes that the requested requirement for an Internship certificate did not originate within the discipline of school psychology and requests that the proposal be tabled. Commenter adds that the proposal does not specify if the Internship Certificate will be required for all trainees or optional and recommends that the certificate remain optional.

Commenter states that the inclusion of a 50% program completion threshold as the internship qualification requirement does not reflect current internship eligibility requirements within the discipline of school psychology. School psychology programs across the country and in New York require that a minimum of 54 credit hours be completed prior to the internship. If made available as an optional offering to programs in New York, Commenter recommends that programs maintain autonomy in determining candidate eligibility for the certificate, or that a minimum credit hour threshold of 54 credits be established, and suggests that regulatory language be included indicating that applicants for the (optional) certificate must attain the 54 hours as part of an approved school psychology training program.

Commenter describes that if the current proposal is intended to require all trainees to obtain an Internship certificate, the \$50 administrative fee may pose an undue burden on some applicants, be viewed as prohibitive, and have particular impact on the positive momentum school psychology programs have experienced in the recruitment and retention of students who are from financially disadvantaged groups.

Commenter notes that the reference to the title of “school psychologist” in the proposed amendments is problematic, as interns in school psychology, regardless of whether paid or unpaid, may not use the title “school psychologist” and are not “employed” by districts and other settings. Commenter believes that the title “school psychologist” may only be used by individuals who have graduated from an approved master’s program in school psychology. Commenter points out while some interns provide unpaid services to school districts as part of the internship experience and others are paid by their supervising districts, neither situation constitutes “employment” by a district and in cases where a stipend is provided, an additional short-term contract may be signed between the district and the intern reflecting service expectations and payment details. Commenter suggests that if a title is required to be associated with the certificate, the title of “school psychologist intern” would be appropriate.

DEPARTMENT RESPONSE: Please see response to Comment #1. In addition, the Department received a request from some New York State school psychology programs to allow school psychology candidates to be eligible for the Internship certificate. They did not request to make the Internship certificate mandatory for candidates in school psychology internships. As such, the proposal is not requiring the Internship certificate for school psychology internships and continues to make the certificate an option for eligible candidates. Therefore, no changes to the proposed rule are necessary.

NOTICE OF ADOPTION

Addressing the COVID-19 Crisis

I.D. No. EDU-13-21-00014-A
Filing No. 852
Filing Date: 2021-07-13
Effective Date: 2021-07-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 100.4, 100.10 and 175.5 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 209, 305, 1704, 3204, 3205, 3210, 3212, 3602 and 3604

Subject: Addressing the COVID-19 Crisis.

Purpose: To provide regulatory flexibility in response to the COVID-19 crisis.

Text or summary was published in the March 31, 2021 issue of the Register, I.D. No. EDU-13-21-00014-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Including Emergency Protocols in District-Wide School Safety Plans

I.D. No. EDU-17-21-00009-A
Filing No. 856
Filing Date: 2021-07-13
Effective Date: 2021-07-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 155.17(c) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 2801-a; L. 2020, ch. 168, as amended by L. 2021, ch. 30

Subject: Including Emergency Protocols in District-Wide School Safety Plans.

Purpose: To implement chapter 168 of the Laws of 2020, as amended by chapter 30 of the Laws of 2021.

Text or summary was published in the April 28, 2021 issue of the Register, I.D. No. EDU-17-21-00009-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Students’ Eligibility to Participate in Interscholastic Competition Until the Conclusion of the Interscholastic Spring Season

I.D. No. EDU-17-21-00010-A
Filing No. 857
Filing Date: 2021-07-13
Effective Date: 2021-07-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 135.4 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 803 and 3204

Subject: Students’ Eligibility to Participate in Interscholastic Competition Until the Conclusion of the Interscholastic Spring Season.

Purpose: To ensure that eligible students are able to complete their interscholastic athletic spring season the year they graduate.

Text or summary was published in the April 28, 2021 issue of the Register, I.D. No. EDU-17-21-00010-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION**Extending the EdTPA Safety Net in Response to the COVID-19 Crisis**

I.D. No. EDU-17-21-00012-A

Filing No. 859

Filing Date: 2021-07-13

Effective Date: 2021-07-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 80-1.5 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 210, 215, 3001, 3004 and 3009

Subject: Extending the edTPA Safety Net in Response to the COVID-19 Crisis.

Purpose: To extend the edTPA safety net in response to the COVID-19 crisis.

Text or summary was published in the April 28, 2021 issue of the Register, I.D. No. EDU-17-21-00012-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Since publication of the Notice of Proposed Rulemaking in the State Register on April 28, 2021, the State Education Department (the "Department") received the following comments on the proposed amendments.

1. COMMENT: Commenter describes that the pandemic may be lifting, but schools and faculty will still be playing many roles to support learners in the coming years. As such, commenter states that the edTPA must not be a mandate at this time and does not make sense, and the edTPA safety net must be upheld.

DEPARTMENT RESPONSE: Because the comment is supportive of the extension of the edTPA safety net through the 2021-2022 academic year, no response is necessary.

2. COMMENT: Commenter supports the extension of the edTPA safety net through the 2021-2022 academic year and advocates for the eventual sunset of the consequential use of edTPA as a teacher certification requirement. Commenter states that although the Assessment of Teaching Skills-Written (ATS-W) is not truly a performance-based assessment, it serves to hold the place as such until a suitable, valid, reliable and equitable performance-based assessment becomes available in New York State and that the ATS-W is significantly less dubious and harmful than edTPA.

Commenter indicates that candidates who completed their teacher preparation programs during the 2013-2014 academic year and later were subjected to the new edTPA certification requirement and that the state average of candidates who passed certification exams over the three academic years from 2010-2013 compared with 2013-2016 fell by 10 percentage points to 83% using Title II records. Commenter also explains that researchers have found that edTPA remains dubious regarding its validity and reliability, and New York researchers have long documented it has been harmful to teacher candidates.

DEPARTMENT RESPONSE: The comment is supportive of the extension of the edTPA safety net through the 2021-2022 academic year. The Commenter did not describe how they calculated the state average certification exam pass rates for candidates during each three-year timeframe referenced. The Department therefore cannot confirm if the pass rates are accurate. In addition, many factors impacted average certification exam pass rates during the timeframes referenced, including that there were different sets of certification exams required during the 2010-2013 and 2013-2016 time periods. Nevertheless, this portion of the comment, as well as the portion of the comment relating to the teacher performance assessment requirement for certification are outside the scope of the proposed regulation. Therefore, no changes to the proposed rule are needed.

Department of Labor**EMERGENCY
RULE MAKING****New York State Excluded Worker Fund**

I.D. No. LAB-30-21-00011-E

Filing No. 867

Filing Date: 2021-07-15

Effective Date: 2021-07-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Adoption of 12 NYCRR Part 704.

Statutory authority: State Administrative Procedure Act, section 202(6); L. 2021, ch. 59, part EEE

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: This emergency regulation is necessary to implement Part EEE of Chapter 59 of the Laws of 2021, a \$2.1 billion program created in the New York State Fiscal Year 2022 Budget called the Excluded Worker Fund (EWF). Once fully implemented, EWF will provide cash payments to workers that have suffered income loss due to the COVID-19 public health and economic crisis, but are ineligible for traditional Unemployment Insurance or other federal financial benefits due to their immigration status or other factors. The economic impact of COVID-19 has resulted in significant financial insecurity for workers in New York State, including populations that lost their sources of income but cannot meet the eligibility requirements for benefits in Article 18 of the New York State Labor Law, Title II of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, the Continued Assistance for Unemployed Workers Act of 2020, or Title IX of the American Rescue Plan Act.

An unprecedented number of workers suffered employment loss in the past year -- since March 2020, the New York State Department of Labor paid over \$94 billion in unemployment benefits to approximately 4.7 million New Yorkers. Benefits paid out throughout the pandemic have allowed New Yorkers to continue to provide for their families and themselves, but this figure does not include workers that would be eligible for EWF. These workers have not only been unable to work during the disaster emergency caused by COVID-19, but as a result of not having access to the same economic assistance offered to other workers, have had to endure extreme financial insecurity.

This regulation will allow the Department of Labor to implement EWF as quickly and efficiently as possible, while maintaining program integrity, to provide needed financial relief in the form of lump-sum payments to working New Yorkers who have been unable to access other relief programs during the pandemic. Providing such relief quickly and without undue delay is necessary to the public health, safety, and the general welfare by ensuring that excluded workers can meet their financial needs amid the COVID-19 public health and economic crisis. Further, as required by Section 2(5)(o) of Part EEE of Chapter 59 of the Laws of 2021, the Office of the Attorney General approved the Department of Labor's regulations implementing the Excluded Worker Fund on July 12, 2021.

Subject: New York State Excluded Worker Fund.

Purpose: Implementation of the Excluded Worker Fund in accordance with Part EEE of Chapter 59 of the Laws of 2021.

Substance of emergency rule (Full text is posted at the following State website: <https://dol.ny.gov/EWF>): This proposed rule making creates a new Part 704 in Chapter X of Title 12 of the NYCRR.

Section 704.1 addresses Excluded Worker Fund (EWF) application materials generally. This section allows the Commissioner of Labor to set the form, time, and manner that application documents must be provided, as well as allows the Commissioner to contact an applicant or purported source of a document for clarification if necessary.

Section 704.2 addresses work-related earnings or household income loss. This section defines terms used in this Part and within Part EEE of Chapter 59 of the Laws of 2021, including enumerating specific requirements for applicants applying for EWF benefits based on the death or disability of a breadwinner or major source of income for a household.

Section 704.3 lists documents, and corresponding point system values,

that the Commissioner will accept as proof of identity in addition to the documents already listed in paragraph (b) of subsection 5 of section 2 of Part EEE of Chapter 59 of the Laws of 2021.

Section 704.4 lists documents that the Commissioner will accept as proof of residency in addition to the documents already listed in paragraph (a) of subsection 5 of section 2 of Part EEE of Chapter 59 of the Laws of 2021.

Section 704.5 addresses proof of work-related eligibility. This section lists documents, and corresponding point system values, that the Commissioner will accept as proof of work-related eligibility for the benefits in paragraphs (a) and (b) of subsection 3 of section 2 of Part EEE of Chapter 59 of the Laws of 2021. Applicants must establish at least 5 points of proof for the \$15,600 (less taxes) EWF benefit and at least 3 points for the \$3,200 (less taxes) EWF benefit.

This notice is intended to serve only as an emergency adoption, to be valid for 90 days or less. This rule expires October 12, 2021.

Text of rule and any required statements and analyses may be obtained from: Michael Paglialonga, Esq., NYS Department of Labor, State Office Campus, Building 12, Room 509, Albany, NY 12240, (518) 485-2191, email: regulations@labor.ny.gov

Additional matter required by statute: Approval was provided by the Office of the Attorney General on July 12, 2021, pursuant to Section 2(5)(o) of Part EEE of Chapter 59 of the Laws of 2021.

Regulatory Impact Statement

Statutory Authority: State Administrative Procedure Act (SAPA) § 202(6) and Part EEE of Chapter 59 of the Laws of 2021.

Legislative Objectives: Part EEE of Chapter 59 of the Laws of 2021 created a \$2.1 billion program called the Excluded Worker Fund (EWF) to provide cash payments to workers who have suffered income loss due to the COVID-19 pandemic but are ineligible for Unemployment Insurance or related federal benefits due to their immigration status or other factors. Low-income workers who can provide sufficient documentation to establish work-related eligibility and residency in the state, and meet all other statutory requirements, may be eligible for lump-sum payments in the amount of \$15,600 or \$3,200 (less taxes). To qualify for EWF payments, workers must show that they (1) lived in New York State before March 27, 2020, and continue to live in New York State; (2) are not eligible for and did not receive unemployment insurance or any other COVID-19 income relief or other specified benefits from the state or federal government; (3) earned less than \$26,208 in the 12 months prior to April 2021; and (4) lost income after February 23, 2020 and during the benefit period from March 27, 2020 through April 1, 2021 due to unemployment, partial employment, or inability to work due to a disability.

Applicants may also be eligible if they became responsible for a majority of their household income due to death or disability of the head of household.

Part EEE of Chapter 59 of the Laws of 2021 (hereinafter the EWF statute) become effective immediately upon enactment on April 19, 2021. Before EWF can be fully implemented, paragraph (n) of subsection 5 of section 2 of the EWF statute requires the Commissioner of Labor to promulgate regulations to effectuate section 2. Specifically, she must assign point values to each document that an applicant may provide to prove work-related eligibility pursuant to paragraph (m) of subsection 5. The Commissioner can only make a determination that an applicant has demonstrated work-related eligibility pursuant to paragraph (m) of subsection 5 if the applicant presents proof meeting the regulations.

Further, the EWF statute allows the Commissioner to establish, by regulation, alternative documents that sufficiently demonstrate an applicant's qualification for the benefits described in paragraph (a) of subsection 3 of section 2, provided that such additional documents clearly demonstrate that the applicant was employed and received monetary earnings for a period of greater than six weeks in the six month period prior to the date the applicant certifies that he or she became eligible for benefits pursuant to the EWF statute.

To ensure that state funds are adequately protected against fraud and abuse, the EWF statute prohibits the Commissioner from approving the payment of any EWF benefits until these regulations have been approved by the Attorney General, whose approval must be published together with such regulations in the State Register. On July 12, 2021, the Office of the Attorney General approved these regulations implementing the Excluded Worker Fund and a letter from Jennifer Levy, First Deputy Attorney General, is included in this filing package.

Needs and Benefits: This emergency regulation is necessary to implement EWF. Once fully implemented, EWF will provide cash payments to workers that have suffered income loss due to the COVID-19 public health and economic crisis, but are ineligible for traditional Unemployment Insurance or other federal financial benefits due to their immigration status or other factors. The economic impact of COVID-19 has resulted in significant financial insecurity for workers in New York State, including

populations that lost their sources of income but cannot meet the eligibility requirements for benefits in Article 18 of the New York State Labor Law, Title II of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, the Continued Assistance for Unemployed Workers Act of 2020, or Title IX of the American Rescue Plan Act.

An unprecedented number of workers suffered employment loss in the past year -- since March 2020, the New York State Department of Labor paid over \$94 billion in unemployment benefits to approximately 4.7 million New Yorkers. Benefits paid out throughout the pandemic have allowed New Yorkers to continue to provide for their families and themselves, but this figure does not include workers that would be eligible for EWF. These workers have not only been unable to work during the disaster emergency caused by COVID-19, but as a result of not having access to the same economic assistance offered to other workers, have had to endure extreme financial insecurity.

This rule will allow the Department of Labor to implement EWF as quickly and efficiently as possible, while maintaining program integrity, to provide needed financial relief in the form of lump-sum payments to working New Yorkers who have been unable to access other relief programs during the pandemic. Providing such relief quickly and without undue delay is necessary to the public health, safety, and the general welfare by ensuring that excluded workers can meet their financial needs amid the COVID-19 public health and economic crisis.

Costs: The Department estimates that there will be no significant costs to the regulated community, to the Department of Labor, or to state and local governments to implement this regulation. The regulation can be implemented within the Department of Labor's existing resources, including resources made available by the Fiscal Year 2022 State Budget specifically for EWF program administration.

Local Government Mandates: None.

Paperwork: This regulation enumerates documents that applicants for EWF may provide to the Commissioner of Labor to establish eligibility, in addition to the documents listed in the EWF statute.

Duplication: This regulation does not duplicate, overlap, or conflict with any other state or federal requirements.

Alternatives: There were no significant alternatives considered.

Federal Standards: There are no federal standards that overlap with this regulation.

Compliance Schedule: This emergency regulation shall become effective upon the date specified within the filing with the Department of State.

Regulatory Flexibility Analysis

Effect of Rule: The purpose and intent of this emergency regulation is to provide immediate financial relief to working New Yorkers that lost their sources of income during the COVID-19 pandemic, but cannot meet the eligibility requirements for benefits in Article 18 of the New York State Labor Law, Title II of the Coronavirus Aid, Relief, and Economic Security (CARES) Act, the Continued Assistance for Unemployed Workers Act of 2020, or Title IX of the American Rescue Plan Act. The Department anticipates this regulation will have no negative impact on small businesses or local governments.

Compliance Requirements: Small businesses and local governments will not have to undertake any new reporting, recordkeeping, or other affirmative act in order to comply with this regulation.

Professional Services: No professional services would be required to effectuate the purposes of this regulation.

Compliance Costs: The Department estimates that there will be no costs to small businesses or local governments to implement this regulation.

Economic and Technological Feasibility: The regulation does not require any use of technology to comply.

Minimizing Adverse Impact: The Department does not anticipate that this regulation will adversely impact small businesses or local governments. Since no adverse impact to small businesses or local governments will be realized, it was unnecessary for the Department to consider approaches for minimizing adverse economic impacts as suggested in State Administrative Procedure Act § 202-b(1).

Small Business and Local Government Participation: The Department does not anticipate that this regulation will have an adverse economic impact upon small businesses or local governments, nor will it impose new reporting, recordkeeping, or other compliance requirements upon them.

Initial review of the rule pursuant to SAPA § 207: Initial review of this regulation shall occur no later than the third calendar year in which it is adopted.

Rural Area Flexibility Analysis

Types and estimated numbers of rural areas: The Department anticipates that this regulation will have a positive or neutral impact upon all areas of the state; there is no adverse impact anticipated upon any rural area of the state resulting from adoption of this regulation.

Reporting, recordkeeping and other compliance requirements: This

regulation will not impact reporting, recordkeeping or other compliance requirements.

Professional services: No professional services will be required to comply with this regulation.

Costs: The Department estimates that there will be no new or additional costs to rural areas to implement this regulation.

Minimizing adverse impact: The Department does not anticipate that this regulation will have an adverse impact upon any region of the state. As such, different requirements for rural areas were not necessary.

Rural area participation: The Department does not anticipate that the regulation will have an adverse economic impact upon rural areas nor will it impose new reporting, recordkeeping, or other compliance requirements.

Job Impact Statement

Nature of Impact: The Department projects there will be no adverse impact on jobs or employment opportunities in the State of New York as a result of this emergency regulation.

Categories and numbers affected: The Department does not anticipate that this regulation will have an adverse impact on jobs or employment opportunities in any category of employment.

Regions of adverse impact: The Department does not anticipate that this regulation will have an adverse impact upon jobs or employment opportunities statewide or in any particular region of the state.

Minimizing adverse impact: Since the Department does not anticipate any adverse impact upon jobs or employment opportunities resulting from this regulation, no measures to minimize any unnecessary adverse impact on existing jobs or to promote the development of new employment opportunities are required.

Self-employment opportunities: The Department does not foresee a measurable impact upon opportunities for self-employment resulting from adoption of this regulation.

Initial review of the rule pursuant to SAPA § 207: Initial review of this regulation shall occur no later than the third calendar year in which it is adopted.

Assessment of Public Comment

The agency received no public comment.

State Liquor Authority

NOTICE OF ADOPTION

Minimum Curriculum Requirements for Alcohol Training and Awareness Program (ATAP) Schools

I.D. No. LQR-12-21-00005-A

Filing No. 864

Filing Date: 2021-07-13

Effective Date: 2021-07-28

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 106.3(l) to Title 9 NYCRR.

Statutory authority: Alcoholic Beverage Control Law, section 18(10)

Subject: Minimum curriculum requirements for Alcohol Training and Awareness Program (ATAP) schools.

Purpose: To add information regarding sexual violence prevention to minimum curriculum requirements for ATAP schools.

Text or summary was published in the March 24, 2021 issue of the Register, I.D. No. LQR-12-21-00005-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Paul Karamanol, Senior Attorney, State Liquor Authority, 80 South Swan Street, Suite 900, Albany, NY 12210, (518) 474-3114, email: paul.karamanol@sla.ny.gov

Initial Review of Rule

As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2026, which is no later than the 5th year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Transfer of Street Lighting Facilities

I.D. No. PSC-30-21-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Niagara Mohawk Power Corporation d/b/a National Grid to transfer certain street lighting facilities in the Town of Johnstown to the Town of Johnstown.

Statutory authority: Public Service Law, sections 5, 65, 66 and 70(1)

Subject: Transfer of street lighting facilities.

Purpose: To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on July 2, 2021 by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid), requesting authorization to transfer certain street lighting facilities located in the Town of Johnstown (Town) to the Town.

The original cost of the facilities was approximately \$126,191 and the net book value of the assets is \$51,644 as of April 30, 2021. National Grid proposes to transfer the street lighting facilities to the Town for approximately \$55,859, which includes the net book value of the assets as well as transition and transaction costs. National Grid explains that the agreement between it and the Town provides that the purchase price will be adjusted (up or down) to reflect the actual net book value at the date of the closing.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0370SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

NYSERDA Proposal Regarding Clean Energy Standard Backstop Collection Processes

I.D. No. PSC-30-21-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Public Service Commission is considering a petition filed by the New York State Energy Research and Development Authority (NYSERDA) on July 1, 2021 proposing a backstop collections process under the Clean Energy Standard.

Statutory authority: Public Service Law, sections 4(1), 5(1), (2), 66(2), 66-p; New York Energy Law, section 6-104(5)(b)

Subject: NYSERDA proposal regarding Clean Energy Standard backstop collection processes.

Purpose: To ensure that NYSERDA has sufficient funds to make timely payments to generators pursuant to the Clean Energy Standard.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed by the New York State Energy Research and Development Authority (NYSERDA) proposing a backstop collections process under the Clean Energy Standard (CES) program (Petition).

The Commission's November 17, 2016 Order Approving Administrative Cost Recovery, Standardized Agreements and Backstop Principles directed the development of a backstop mechanism to ensure that NYSEDA has sufficient funds to make timely payments to generators with whom it has contracted with under the CES program. Additionally, the Commission's October 15, 2020 Order Adopting Modifications to Clean Energy Standard directed that a backstop mechanism be developed to address the existing deficit in the Zero Emissions Credit (ZEC) program. The petition proposes principles and processes for effectuating a financial backstop mechanism.

NYSERDA proposes to, in the short-term, use any available Clean Energy Fund (CEF) cash balances during any Renewable Energy Certificate (REC) compliance year to fund REC purchases from the generating facilities not recovered through REC payments or Alternative Compliance Payments (ACPs) collected from Load Serving Entities (LSEs) so long as such cash balances are available based on NYSEDA's CEF cash flow projections. NYSEDA states that it has been using CEF cash balances to cover ZEC program deficits to date. If its proposal for a backstop mechanism is adopted, NYSEDA proposes to seek recovery of cumulative ZEC program deficits, which totaled \$28,515,346 for the first three compliance years ending March 31, 2019. For future compliance years, NYSEDA proposes to seek recovery of any ZEC program deficits at the end of each compliance year.

NYSERDA represents that it currently prepares and files in the Commission's Document Matter Management (DMM) system an annual financial report for the REC and ZEC programs, prepared on a calendar year basis, summarizing program revenues, expenses, and any surplus/deficit for the year, and on a cumulative basis. NYSEDA proposes to include any backstop collections received by NYSEDA to provide a reconciliation against REC/ZEC program costs and resulting cash balances in these reports.

For the REC program, NYSEDA proposes that a backstop collection could be triggered if either: (1) NYSEDA's annual financial report shows a cumulative program deficit; or (2) NYSEDA's forecast for the REC program demonstrates that it may not be able to satisfy contractual obligations to make payments to generators properly that cannot be satisfied with short-term CEF cash balances or the timing and amount of projected revenues from REC sales and ACPs. In instances where a backstop collection is triggered, NYSEDA proposes to prepare and file with the Department of Public Service in the DMM system a summary explaining the actual/forecasted deficit, and the amount allocable to each Electric Distribution Company, including the New York Power Authority (NYPA) and the Long Island Power Authority (LIPA), based on its most recent load, obtained from Department of Public Service Staff (Staff).

For the ZEC program, once a ZEC compliance year, ending March 31, is reconciled with final verified load data, NYSEDA proposes to prepare a final accounting report for such compliance year summarizing: (1) final ZEC payments made to generators; (2) NYSEDA's ZEC program administrative costs and allocable NYS Cost Recovery Fee; (3) ZEC Payments collected from LSEs; (4) any interest earnings on invested ZEC program cash balances; and (5) the resulting surplus/deficit for such compliance year. If a ZEC compliance year final accounting report results in a deficit, NYSEDA proposes to prepare and file with the Department of Public Service in the DMM system a filing summarizing the deficit for that compliance year, and the amount allocable to each Electric Distribution Company, including NYPA and LIPA, based on its most recent load, obtained from Staff.

If a REC/ZEC compliance year results in a net surplus, NYSEDA proposes that surplus be carried forward to the subsequent compliance year. Upon NYSEDA's filing of a backstop collection request for the REC or ZEC program, NYSEDA proposes that such filing would be reviewed by Staff for compliance with any requirements established by the Commission, including ensuring that NYSEDA's request is sufficiently documented and that any forecast assumptions are reasonable. NYSEDA proposes that this review be completed within 30 days of filing by NYSEDA, and that Staff file its conclusion in the DMM system and notify NYSEDA and each Electric Distribution Company.

NYSERDA further proposes that each Electric Distribution Company commence any backstop collections as soon as is practicable and that such amounts be collected over a period not to exceed twelve billing periods. Additionally, NYSEDA proposes that each Electric Distribution Company make payment to NYSEDA on a period no less frequently than quarterly, and pay based on amounts collected from customers through such period. NYSEDA notes that Electronic Distribution Companies should not be responsible for making payment to NYSEDA before it has collected such amounts from customers. Finally, NYSEDA proposes that

each Electric Distribution Company be responsible for truing up its volumetric collections and making any tariff or other filings as required.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-E-0302SP47)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Submetering of Electricity and Waiver Requests

I.D. No. PSC-30-21-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of Odyssey House, Inc. to submeter electricity at 52-54 East 126th Street, East Harlem, New York and waiver requests of 16 NYCRR Part 96 and 16 NYCRR section 96.5(k)(3).

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Submetering of electricity and waiver requests.

Purpose: To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent filed by Odyssey House, Inc. (Owner) on January 7, 2021, seeking authority to submeter electricity at new income-based rental building located at 52-54 East 126th Street, East Harlem, New York, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison). The Owner also submitted a request to waive the individual metering requirements, found in 16 NYCRR Part 96 for 15 units reserved for homeless individuals with a mental illness ("Supportive" units), and the requirement for an energy audit for buildings where 20 percent or more of the residents receive income-based housing assistance, found in 16 NYCRR § 96.5(k)(3).

The Owner requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its residents. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96. The Commission is also considering the Owner's request for waiver of the individual metering requirements found in 16 NYCRR Part 96, which allows for master metering of "Assisted Living Facilities" that are certified by New York State Department of Health (DOH). The Owner states that Supportive units are similarly situated to those found in a DOH certified Assisted Living Facility, except that it lacks the DOH certification and therefore, the waiver is appropriate in this case. The Commission is also considering the Owner's request for waiver of 16 NYCRR § 96.5(k)(3), which requires proof that an energy audit has been conducted when 20 percent or more of the residents receive income-based housing assistance. The Owner states that, because the building is new construction, it must comply with the current New York State Energy Conservation Construction Code, which provides strict energy conservation requirements for new and renovated buildings, including the design and construction of energy-efficient building envelopes, mechanical, lighting and power systems and therefore, an energy audit is not appropriate in this case.

The full text of the notice of intent, waiver requests, and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0036SP1)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Adjustments to the the Daily Delivery Service Program

I.D. No. PSC-30-21-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by Consolidated Edison Company of New York, Inc. to modify its Gas Tariff Schedule P.S.C. No. 9—Gas, Regarding Adjustments to the Daily Delivery Service Program.

Statutory authority: Public Service Law, sections 65 and 66(12)

Subject: Adjustments to the the Daily Delivery Service Program.

Purpose: To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Substance of proposed rule: The Public Service Commission (Commission) is considering a proposal filed by the Consolidated Edison Company of New York, Inc. (Con Edison or the Company) on June 28, 2021, to amend its gas tariff schedule, P.S.C. No. 9 – Gas, regarding the Daily Delivery Service (DDS) program. Con Edison proposes to modify the identifying names of the city gates under the Baseload Service to reflect both the city gate delivery point and the pipeline on which delivery of Baseload Service is scheduled. The existing tariff identifies only the pricing zones where the city gates are located.

The proposed modifications to the city gate names under the Baseload Service under the DDS program are as follows: Tennessee – White Plains; Texas Eastern – Lower Manhattan; Iroquois – Hunts Point; Transco – Manhattan.

Upon approval of the proposed amendments, the Company would update its Gas Sales and Transportation Operating Procedures (GTOP) Manual to reflect the city gate names shown above. The proposed amendments have an effective date of November 1, 2021. The Company also seeks waiver of the requirement of newspaper publication because the proposed amendments are minor.

The full text of the proposal and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-G-0363SP1)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Submetering of Electricity and Waiver Request

I.D. No. PSC-30-21-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of 111 Varick, LLC, to submeter electricity at 111 Varick Street, New York, New York and request for waiver of the requirement of an energy audit pursuant to 16 NYCRR section 96.5(k)(3).

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Submetering of electricity and waiver request.

Purpose: To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent filed by 111 Varick, LLC, on January 8, 2021, seeking authority to submeter electricity at a new market rate an income-based rental building located at 111 Varick Street, New York, New York 10013, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison). On May 27, 2021, the petitioner requested a waiver of 16 NYCRR § 96.5(k)(3), the requirement for an energy audit for buildings where 20 percent or more of the residents receive income-based housing assistance.

In the notice of intent, 111 Varick, LLC, requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its tenants. Submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96. The Commission is also considering the Owner's request for waiver of 16 NYCRR § 96.5(k)(3), which requires proof that an energy audit has been conducted when 20 percent or more of the residents receive income-based housing assistance. The Owner states that, because the building is new construction, it must comply with the current New York State Energy Conservation Construction Code, which provides strict energy conservation requirements for new and renovated buildings, including the design and construction of energy-efficient building envelopes, mechanical, lighting and power systems and therefore, an energy audit is not appropriate in this case.

The full text of the notice of intent, waiver request, and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0039SP1)

**PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED**

Transfer of Street Lighting Facilities

I.D. No. PSC-30-21-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Niagara Mohawk Power Corporation d/b/a National Grid to transfer certain street lighting facilities in the Town of Webb to the Town of Webb.

Statutory authority: Public Service Law, sections 5, 65, 66 and 70(1)

Subject: Transfer of street lighting facilities.

Purpose: To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on July 2, 2021 by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid), requesting authorization to transfer certain street lighting facilities located in the Town of Webb (Town) to the Town.

The original cost of the facilities was approximately \$266,750 and the net book value of the assets is \$140,411, as of April 30, 2021. National Grid proposes to transfer the street lighting facilities to the Town for approximately \$149,935, which reflects the net book value of the assets, transition and transaction costs, and a one-time price reduction of \$235. The one-time price reduction will be fully absorbed by shareholders.. National Grid explains that the agreement between it and the Town provides that the purchase price will be adjusted (up or down) to reflect the actual net book value at the date of the closing.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0369SP1)

State University of New York

EMERGENCY RULE MAKING

Holiday Leave

I.D. No. SUN-17-21-00014-E

Filing No. 817

Filing Date: 2021-07-12

Effective Date: 2021-07-12

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 337.13(a) of Title 8 NYCRR.

Statutory authority: Education Law, sections 353 and 355

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: On October 14, 2020, Governor Andrew M. Cuomo signed into law legislation (S.8598/A.10628) designating Juneteenth, the nineteenth day of June, as an official public holiday in New York State. The new law celebrates Juneteenth, a day which commemorates the end to slavery and celebrates Black and African American freedom and achievements, while encouraging continuous self-development and respect for all cultures.

Amendment of these regulations needs to proceed on an emergency basis due to the upcoming date of the newly designated holiday.

Subject: Holiday Leave.

Purpose: To designate Juneteenth as a holiday for SUNY employees.

Text of emergency rule: Section 337.13 Holiday Leave

(a) A calendar year or college year employee shall be eligible to observe the following days prescribed by law as holidays: New Year’s Day, Martin Luther King Day, Lincoln’s Birthday, Washington’s Birthday, Memorial

Day, Juneteenth, Independence Day, Labor Day, Columbus Day, Election Day, Veterans’ Day, Thanksgiving Day and Christmas Day. The college chief administrative officer may designate two holidays as floating holidays in lieu of the holidays set forth above. The college chief administrative officer may designate an alternate date on which one of the holidays is to be observed. If a second holiday is designated the employee may select a date on which to observe the second holiday, with the approval of the employee’s supervisor and consistent with the operating needs of the campus. The employee must observe such second holiday before the beginning of the next academic year. The college chief administrative officer’s designation shall be announced following consultation with the certified representative of employees in the professional service in September of the academic year.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. SUN-17-21-00014-EP, Issue of April 28, 2021. The emergency rule will expire September 9, 2021.

Text of rule and any required statements and analyses may be obtained from: Lisa S. Campo, State University of New York, H. Carl McCall SUNY Building, Albany, NY 12246, (518) 320-1400, email: Lisa.Campo@SUNY.edu

Regulatory Impact Statement

1. Statutory authority: Education Law §§ 353 and 355 authorizes the State University Trustees to make rules and regulations relating to the administration, supervision and coordination of state-operated institutions, including the appointment and management of employees.

2. Legislative objectives: The present measure makes amendments to the State University of New York regulations regarding holiday leave for employees.

3. Needs and benefits: On October 14, 2020, Governor Cuomo signed into law legislation designating Juneteenth as an official public holiday in New York State (Chapter 249 of the Laws of 2020). This amendment is necessary to comply with said law.

4. Costs: None.

5. Local government mandates: None.

6. Paperwork: None.

7. Duplication: None.

8. Alternatives: There are no viable alternatives.

9. Federal standards: There are no related Federal standards.

10. Compliance schedule: Immediate.

Regulatory Flexibility Analysis

No regulatory flexibility analysis is submitted with this notice because the proposed rule does not impose any requirements on small businesses and local governments. This proposed rule making will not impose any adverse economic impact on small businesses and local governments or impose any reporting, recordkeeping or other compliance requirements on small businesses and local governments.

Rural Area Flexibility Analysis

No rural area flexibility analysis is submitted with this notice because the proposed rule does not impose any requirements on rural areas. The rule will not impose any adverse economic impact on rural areas or impose any reporting, recordkeeping, professional services or other compliance requirements on rural areas.

Job Impact Statement

No job impact statement is submitted with this notice because the proposed rule does not impose any adverse economic impact on existing jobs, employment opportunities, or self-employment. This regulation governs holiday leave for employees of State University of New York and will not have any adverse impact on the number of jobs or employment.

Assessment of Public Comment

The agency received no public comment.

EMERGENCY RULE MAKING

Appointment of Employees; Eligibility

I.D. No. SUN-17-21-00015-E

Filing No. 813

Filing Date: 2021-07-09

Effective Date: 2021-07-09

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 335.4(d) of Title 8 NYCRR.

Statutory authority: Education Law, sections 353 and 355

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The COVID-19 disease outbreak has caused significant disruption to the careers of SUNY faculty, and most significantly to those faculty working toward achievement of continuing appointment (tenure). Given this significant disruption, SUNY adopted emergency regulations on May 6, 2020, to allow for a one-time, added year of service for all non-tenured faculty in specified academic ranks as of that date. The emergency rule was finalized on January 20, 2021. To respond to the ongoing health crisis, this emergency regulation would allow for the same one-time added year of service to eligible non-tenured faculty hired between May 6, 2020 and June 30, 2021. Amendment of these regulations needs to proceed on an emergency basis in the face of the ongoing public health crisis to allow for timely implementation of these changes and to provide necessary flexibility to our faculty in this uncertain time.

Subject: Appointment of Employees; Eligibility.

Purpose: To allow for the addition of one year to the service limits for faculty hired between May 20, 2020 and June 30, 2021.

Text of emergency rule: Section 335.4(d). Eligibility.

(5) Each employee who was hired between May 6, 2020 and June 30, 2021 and appointed to a position of academic rank, who has not yet attained continuing appointment status, and who is not currently under review, shall have an automatic one-year extension of the time to continuing appointment without change in title, full-time equivalent or other employment status. Any employee in a position of academic rank who has not yet attained continuing appointment status may opt out of the automatic one-year extension referenced above and be considered for continuing appointment based on their original schedule by submitting a request, in writing, to their Department Chair, with copy to their Dean and Provost. Timelines for such submission shall be determined at each campus. These provisions shall not apply to any employee in a position of academic rank who has already been provided with a notice of non-renewal.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. SUN-17-21-00015-EP, Issue of April 28, 2021. The emergency rule will expire September 6, 2021.

Text of rule and any required statements and analyses may be obtained from: Lisa S. Campo, State University of New York, H. Carl McCall SUNY Building, Albany, NY 12246, (518) 320-1400, email: Lisa.Campo@SUNY.edu

Regulatory Impact Statement

1. Statutory authority: Education Law §§ 353 and 355 authorizes the State University Trustees to make rules and regulations relating to appointment of employees.

2. Legislative objectives: The present measure makes amendments to the State University of New York regulations regarding appointment of employees.

3. Needs and benefits: Given the significant disruption caused by the COVID-19 disease outbreak, the amendments are necessary to provide necessary flexibility to our faculty in this uncertain time. This amendment would allow for the addition of one year to the service limits before attaining continuing appointment for non-tenured faculty hired between May 6, 2020 and June 30, 2021. The one-year extension would be automatic, but eligible employees may opt out of the extension and be considered for continuing appointment based on their original schedule by written request.

4. Costs: None.

5. Local government mandates: None.

6. Paperwork: None.

7. Duplication: None.

8. Alternatives: There are no viable alternatives.

9. Federal standards: There are no related Federal standards.

10. Compliance schedule: Immediate.

Regulatory Flexibility Analysis

No regulatory flexibility analysis is submitted with this notice because the proposed rule does not impose any requirements on small businesses and local governments. This proposed rule making will not impose any adverse economic impact on small businesses and local governments or impose any reporting, recordkeeping or other compliance requirements on small businesses and local governments.

Rural Area Flexibility Analysis

No rural area flexibility analysis is submitted with this notice because the proposed rule does not impose any requirements on rural areas. The rule

will not impose any adverse economic impact on rural areas or impose any reporting, recordkeeping, professional services or other compliance requirements on rural areas.

Job Impact Statement

No job impact statement is submitted with this notice because the proposed rule does not impose any adverse economic impact on existing jobs, employment opportunities, or self-employment. This regulation governs appointment of employees for State University of New York and will not have any adverse impact on the number of jobs or employment.

Assessment of Public Comment

The agency received no public comment.

Workers' Compensation Board

EMERGENCY RULE MAKING

Allowing Telemedicine in Some Circumstances, Supersede Previous Emergency Adoption

I.D. No. WCB-30-21-00001-E

Filing No. 822

Filing Date: 2021-07-13

Effective Date: 2021-07-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 325-1.8, 329-1.3, 329-4.2, 333.2 and 348.2 of Title 12 NYCRR.

Statutory authority: Workers' Compensation Law, sections 117 and 142

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: This amendment is adopted as an emergency measure because the Board wants to avoid health and safety risks that can be avoided through social distancing due to the outbreak of COVID-19, by allowing telemedicine in some circumstances, and to supersede the previous emergency adoption addressing this topic. Additionally, the Board has seen these emergency measures work efficiently and effectively to provide care for injured workers, and plans to adopt a permanent regulation addressing when telehealth may be used to benefit injured workers, and wants to keep the current telemedicine rules in effect during the regulatory process for the permanent telehealth proposal.

Subject: Allowing telemedicine in some circumstances, supersede previous emergency adoption.

Purpose: To allow telemedicine in some circumstances due to COVID-19 and keep in effect during permanent telehealth proposal process.

Text of emergency rule: Section 325-1.8 of Title 12 NYCRR is hereby amended to read as follows:

325-1.8 Emergency medical aid and telemedicine.

(a) In the event of a serious accident requiring immediate emergency medical aid, an ambulance or any physician may be called to give first aid treatment.

(b) Telemedicine, using two-way audio and visual electronic communication, or treatment via telephone, may be used by authorized providers where medically appropriate for social distancing purposes due to the outbreak of COVID-19 during the state of emergency in accordance with the Department of Health COVID-19 Medicaid Guidance and Guidance issued by the Centers for Medicare and Medicaid Services, or irrespective of purely social distancing considerations, in the clinical judgment of the authorized provider, the potential risk of COVID-19 infection to an individual patient outweighs any real or perceived incremental benefit derived from an in-person versus remote or virtual appointment. The authorized provider shall indicate on their report that such assessment was done using telemedicine by use of modifier 95 and indicating a place of service as 11, or telephonically by indicating place of service as 02. The provider shall also confirm the employee's identity as well as provide basic information about the services the employee is receiving by telephone or telemedicine.

New subdivisions (c) and (d) of section 329-1.3 of Title 12 NYCRR is hereby added to read as follows:

(c) When medically appropriate, authorized providers who utilize the

Official New York State Workers' Compensation Fee Schedule may, when telemedicine is used in accordance with section 325-1.8 of this Title and any applicable Medical Treatment Guideline, bill using the applicable Evaluation and Management codes (99212-99214) using modifier 95 and indicating 11 as the place of service. Modifiers 1B and 1D are available when services are rendered by telemedicine using two-way audio and visual communication. When services are rendered by telephone only in accordance with section 325-1.8 of this Title, the authorized provider shall indicate 02 as the place of service. Modifiers 1B and 1D are not available when services are rendered by telephone with no visual component.

(d) Providers with the following rating codes may bill the following psychotherapy codes in conjunction with Evaluation and Management codes:

(i) New patient Evaluation and Management: 99201-99204;

(ii) Psychotherapy combination codes and crisis codes: 90832-90834, 90836-90840, 90853;

A new subdivision (d) of section 329-4.2 of Title 12 NYCRR is hereby added to read as follows:

(d) When medically appropriate, authorized physical therapists and occupational therapists shall use Common Procedural Technology (CPT) code 99212 using modifier 95 and indicating 11 as the place of service when treatment is rendered by telemedicine using two-way audio and visual communication, and indicating 02 as the place of service when treatment is rendered by telephone only. Treatment in accordance with section 325-1.8 of this Title and using these codes shall be limited to one unit per patient per day, up to two treatments per week during the thirty days following injury, and up to one treatment per week thereafter.

New subdivision (c) of section 333.2 of Title 12 NYCRR is hereby added to read as follows:

(c) When medically appropriate, authorized providers, including psychologists and licensed clinical social workers, shall use a Common Procedural Technology (CPT) therapy code (90832, 90834, or 90837) for services delivered by telemedicine in accordance with section 325-1.8 of this Title using modifier 95 and indicating 11 as the place of service for therapy by telemedicine using two-way audio and visual communication. Modifiers 1B and 1D are available when services are rendered by telemedicine using two-way audio and visual communication. When services are rendered by telephone only in accordance with section 325-1.8 of this Title, the authorized provider shall indicate 02 as the place of service. Modifiers 1B and 1D are not available when services are rendered by telephone with no visual component. Group therapy: 90853. Group therapy is limited to a maximum of 20 participants and does not require that every participant in the group therapy session be a workers' compensation claimant.

A new subdivision (c) of section 348.2 of Title 12 NYCRR is hereby amended to read as follows:

(c) When medically appropriate, authorized chiropractors shall use Common Procedural Technology (CPT) code 99212 using modifier 95 and indicating 11 as the place of service when treatment is rendered by telemedicine using two-way audio and visual communication, and indicating 02 as the place of service when treatment is rendered by telephone only. Treatment in accordance with section 325-1.8 of this Title and using these codes shall be limited to one unit per patient per day, up to two treatments per week during the thirty days following injury, and up to one treatment per week thereafter.

This notice is intended to serve only as an emergency adoption, to be valid for 90 days or less. This rule expires October 10, 2021.

Text of rule and any required statements and analyses may be obtained from: Heather MacMaster, New York State Workers' Compensation Board, Office of General Counsel, 328 State Street, Schenectady, NY 12305, (518) 486-9564, email: regulations@wcb.ny.gov

Regulatory Impact Statement

1. Statutory authority: WCL § 117(1) authorizes the Chair of the Workers' Compensation Board (Board) to adopt reasonable rules consistent with, and supplemental to, the provisions of the WCL.

2. Legislative objectives: The emergency adoption allows telemedicine visits in some circumstances due to the outbreak of COVID-19, and to keep telemedicine in effect during the regulatory process for a permanent proposal regarding telehealth.

3. Needs and benefits: For social distancing purposes to avoid furthering the outbreak of COVID-19, the emergency adoption allows telemedicine visits in some circumstances to avoid in person visits to authorized providers when medically appropriate, consistent with guidance issued by the Center for Medicaid and Medicare Services. This regulation will permit physicians, nurse practitioners, physician assistants, psychologists, licensed clinical social workers, chiropractors, physical therapists and occupational therapists to treat using telehealth due to COVID-19.

Additionally, the Board has seen these emergency measures work efficiently and effectively to provide care for injured workers, and plans to

adopt a permanent regulation addressing when telehealth may be used to benefit injured workers, and wants to keep the current telemedicine rules in effect during the regulatory process for the permanent telehealth proposal.

4. Costs: The emergency adoption will have no impact on costs.

5. Local government mandates: The proposed amendments do not impose any program, service, duty, or responsibility upon any county, city, town, village, school district, fire district, or other special district.

6. Paperwork: The emergency adoption requires authorized providers to indicate in their report that the services rendered were through telemedicine due to the outbreak of COVID-19.

7. Duplication: The emergency adoption does not duplicate other regulatory initiatives.

8. Alternatives: An alternative would be to not file an emergency adoption addressing telemedicine, and not keep it in effect during the public comment period and regulatory process for a permanent telehealth proposal. However, this poses a health risk to both the authorized providers and the claimants being treated, and social distancing, where medically appropriate, is a better alternative due to the outbreak of COVID-19. Additionally, not keeping telemedicine in effect would cause confusion and could negatively impact injured workers relying on telemedicine in some instances for their care.

9. Federal standards. There are no applicable Federal Standards.

10. Compliance schedule: The emergency adoption takes effect immediately upon filing but does not require telemedicine – it offers it as a tool to assist with social distancing in some circumstances. Any provider rendering services using telemedicine must comply with the emergency adoption, including the reporting requirements.

Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis is not required because the emergency adoption will not have any adverse economic impact or impose any new reporting, recordkeeping or other compliance requirements on small businesses or local governments. The emergency adoption provides for telemedicine visits in some circumstances due to the outbreak of COVID-19 and to keep telemedicine in effect during the regulatory process for a permanent proposal regarding telehealth.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis is not required because the emergency adoption will not have any impact rural areas. The emergency adoption provides for telemedicine visits in some circumstances due to the outbreak of COVID-19 and to keep telemedicine in effect during the regulatory process for a permanent proposal regarding telehealth.

Job Impact Statement

A Job Impact Statement is not required because the emergency adoption will not have any impact on jobs or employment opportunities. The emergency adoption provides for telemedicine visits in some circumstances due to the outbreak of COVID-19 and to keep telemedicine in effect during the regulatory process for a permanent proposal regarding telehealth.

**HEARINGS SCHEDULED
FOR PROPOSED RULE MAKINGS**

Agency I.D. No.	Subject Matter	Location—Date—Time
Environmental Conservation, Department of		
ENV-24-21-00008-P	Petroleum Bulk Storage (PBS)	<p>Electronic webinar—August 17, 2021, 2:00 p.m. and 6:00 p.m. Instructions on how to “join” the hearing webinar and provide an oral statement will be published on the Department’s proposed regulations webpage for 6 NYCRR Parts 597, 598, and 613 by June 16, 2021. The proposed regulations webpage for 6 NYCRR Parts 597, 598, and 613 may be accessed at: https://www.dec.ny.gov/regulations/proregulations.html Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Parts 597, 598, and 613 public comment hearing. The Department will provide interpreter services for hearing impaired persons, and language interpreter services for individuals with difficulty understanding or reading English, at no charge upon written request submitted no later than July 27, 2021. The written request must be addressed to Chief ALJ James T. McClymonds, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to Chief ALJ McClymonds at ohms@dec.ny.gov.</p>
ENV-24-21-00009-P	Chemical Bulk Storage (CBS)	<p>Electronic webinar—August 17, 2021, 2:00 p.m. and 6:00 p.m. Instructions on how to “join” the hearing webinar and provide an oral statement will be published on the Department’s proposed regulations webpage for 6 NYCRR Parts 597, 598, and 613 by June 16, 2021. The proposed regulations webpage for 6 NYCRR Parts 597, 598, and 613 may be accessed at: https://www.dec.ny.gov/regulations/proregulations.html Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Parts 597, 598, and 613 public comment hearing. The Department will provide interpreter services for hearing impaired persons, and language interpreter services for individuals with difficulty understanding or reading English, at no charge upon written request submitted no later than July 27, 2021. The written request must be addressed to Chief ALJ James T. McClymonds, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to Chief ALJ McClymonds at ohms@dec.ny.gov.</p>
ENV-26-21-00003-P	Product Stewardship and Product Labeling	<p>Electronic webinar—September 8, 2021, 10:00 a.m. and 2:00 p.m.</p>

Instructions on how to “join” the hearing webinar and provide an oral statement will be published on the Department’s proposed regulations webpage for 6 NYCRR Part 368 by June 30, 2021. The proposed regulations webpage for 6 NYCRR Part 368 may be accessed at: <https://www.dec.ny.gov/regulations/propregulations.html>

Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Part 368 public comment hearing.

The Department will provide interpreter services for hearing impaired persons, and language interpreter services for individuals with difficulty understanding or reading English, at no charge upon written request submitted no later than Wednesday, August 18, 2021. The written request must be addressed to ALJ Olivieri, DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Fl., Albany, NY 12233-1550 or emailed to ALJ Olivieri at ohms@dec.ny.gov

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	01	12	00001	P

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
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AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-21-21-00021-EP 05/26/22	Control of the European Cherry Fruit Fly	To help control the spread of the European Cherry Fruit Fly (ECFF), which renders cherries unmarketable if they are infested
AAM-23-21-00001-P 07/07/22	Regulated commodity labeling, packaging and method of sale requirements	Amend packaging, labeling & method of sale requirements for various commodities to align with industry & federal standards

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

*ASA-28-20-00013-RP 10/22/21	Patient Rights	To set-forth the minimum regulatory requirements for patient rights in OASAS certified, funded or otherwise authorized programs
*ASA-28-20-00016-RP 10/22/21	Designated Services	To set-forth the minimum regulatory requirements for certified programs to seek an Office designation
ASA-27-21-00009-P 07/07/22	General provisions applicable to all OASAS programs	To identify those provisions that are required of all OASAS certified, funded or otherwise authorized programs

CHILDREN AND FAMILY SERVICES, OFFICE OF

*CFS-04-20-00009-RP 12/13/21	Host Family Homes	The proposed regulations would establish standards for the approval and administration of host family homes.
CFS-49-20-00006-EP 12/09/21	Maintenance reimbursement for residential CSE programs when a student has been absent from the program for more than 15 days.	Remove an existing regulatory barrier that precludes maintenance reimbursement for residential CSE programs.
CFS-27-21-00001-EP 07/07/22	To clarify the authorization and payment requirements of Part 415; to revise the sliding fee scale for families	To clarify the authorization and payment requirements of Part 415; to revise the sliding fee scale for families

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-27-21-00003-P	07/07/22	For licensed and registered child care programs to comply with the anaphylaxis policy issued by NYS Department of Health	For licensed and registered child care programs to comply with the anaphylaxis policy issued by NYS Department of Health
CIVIL SERVICE, DEPARTMENT OF			
*CVS-13-20-00015-P	09/22/21	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
*CVS-13-20-00016-P	09/22/21	Jurisdictional Classification	To classify positions in the exempt class
*CVS-24-20-00008-P	09/22/21	Jurisdictional Classification	To classify positions in the non-competitive class
*CVS-28-20-00005-P	09/22/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-32-20-00005-P	09/22/21	Jurisdictional Classification	To classify a position in the exempt class
CVS-10-21-00005-P	03/10/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-21-00005-P	03/31/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-21-00006-P	03/31/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-21-00007-P	03/31/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-21-00008-P	03/31/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-14-21-00001-P	04/07/22	Juneteenth Holiday	To add Juneteenth to the listing of holidays in the Attendance Rules
CVS-14-21-00002-P	04/07/22	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2021
CVS-19-21-00003-P	05/12/22	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-19-21-00004-P	05/12/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-19-21-00005-P	05/12/22	Jurisdictional Classification	To delete a position from and classify positions in the non-competitive class
CVS-23-21-00006-P	06/09/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-23-21-00007-P	06/09/22	Jurisdictional Classification	To classify a position in the exempt class
CVS-23-21-00008-P	06/09/22	Jurisdictional Classification	To delete positions from the exempt class
CVS-23-21-00009-P	06/09/22	Jurisdictional Classification	To classify a subheading and positions in the exempt class
CVS-27-21-00004-P	07/07/22	Jurisdictional Classification	To classify positions in the exempt class
CVS-27-21-00005-P	07/07/22	Jurisdictional Classification	To classify a position in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-27-21-00006-P	07/07/22	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-27-21-00007-P	07/07/22	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-27-21-00008-P	07/07/22	Jurisdictional Classification	To classify positions in the non-competitive class
COMMISSIONER OF PILOTS, BOARD OF			
COP-24-21-00011-P	exempt	Rate increases for pilot services	To offset costs being incurred by pilots by failure of users to properly assess assistance needed from pilots
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-34-20-00001-P	09/14/21	Family Reunion Program	To clarify for logic and consistency, and make additional changes to the current Family Reunion Program
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-48-20-00001-P	12/02/21	Employee Training Incentive Program	To update the administrative processes for the ETIP program
EDV-30-21-00002-EP	07/28/22	New York City Musical and Theatrical Production Tax Credit program	To create the administrative processes for the New York City Musical and Theatrical Production Tax Credit program
EDUCATION DEPARTMENT			
*EDU-17-19-00008-P	09/22/21	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population
*EDU-27-19-00010-P	07/24/21	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law
*EDU-20-20-00008-ERP	11/16/21	Addressing the COVID-19 Crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-08-21-00002-P	02/24/22	The Definition of the Term "University"	To clarify and broaden the definition of the term "university"
EDU-17-21-00011-P	04/28/22	Education Law 310 Appeals to the Commissioner and Initiation Conduct of Proceedings for the Removal of School Officers	To make technical changes and other clarifying amendments to section 310 appeal procedures and requirements
EDU-21-21-00006-P	05/26/22	Civic Readiness Pathway to Graduation and the New York State Seal of Civic Readiness	To establish the civic readiness pathway to graduation and the New York State Seal of Civic Readiness
EDU-21-21-00007-EP	05/26/22	Providing flexibility for hands-only CPR instruction, the NYS Seal of Bilingualism, and health examinations and certificates	To provide regulatory flexibility in response to the COVID-19 crisis

Action Pending Index

NYS Register/July 28, 2021

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-21-21-00008-P	05/26/22	Requirements for Chiropractic Education Programs and Education Requirements for Licensure as a Chiropractor	To conform the Commissioner's regulations to national education standards for postsecondary education
EDU-21-21-00009-P	05/26/22	School Counselor Bilingual & Supplementary Bilingual Education Extension & Registration Requirements	To create the bilingual education extension, supplementary bilingual education extension, and registration requirements for programs leading to the bilingual education extension for initial and professional school counselor certificates
EDU-21-21-00010-P	05/26/22	Adding the Doctor of Business Administration (D.B.A.) Degree and Master of Theological Studies (M.T.S.) Degree in New York State	To add the D.B.A. degree and M.T.S. degree in New York State
EDU-25-21-00014-P	06/23/22	Permanent School Counselor Certificate Requirements	To allow candidates who have an expired Provisional School Counselor certificate and apply for a Permanent School Counselor certificate prior to February 2, 2023
EDU-25-21-00015-P	06/23/22	Extending the Instructional Hour COVID-19 Waiver to the 2021-22 School Year	To provide additional regulatory flexibility by extending the instructional hour COVID-19 waiver to the 2021-22 school year
EDU-25-21-00016-P	06/23/22	Mandatory Peer Review Program in the Profession of Public Accountancy	To conform to the national peer review program standards to enhance enforcement efforts to help ensure the quality of attest services provided by New York public accounting firms
EDU-25-21-00017-P	06/23/22	Financial Viability, Accountability, and Evaluating Academic Progress in Licensed Private Career Schools & Certified ESL Schools	To establish standards of financial viability, accountability, and evaluating academic progress in licensed private career schools and certified English as a second language schools
EDU-25-21-00018-EP	06/23/22	Permitting the Dignity for All Students Act (DASA) Training to be Provided Entirely Online Due to the COVID-19 Crisis	To permit DASA training to be provided entirely online through December 31, 2021 due to the COVID-19 crisis
EDU-25-21-00019-EP	06/23/22	Two-Step Identification Process for Academic Services (AIS) for Students Who Will Be Enrolled in Grades 3-8 in 2021-22	To provide flexibility for the 2-step AIS identification process for students enrolled in grades 3-8 in the 2021-22 school year
EDU-30-21-00003-EP	07/28/22	Addressing the COVID-19 crisis	To provide flexibility for certain regulatory requirements in response to the COVID-19 crisis
EDU-30-21-00004-EP	07/28/22	Relates to term limits for members of the advisory committee on long-term clinical clerkships	To make a technical amendment relating to term limits for members of the advisory committee on long-term clinical clerkships
ELECTIONS, STATE BOARD OF			
SBE-13-21-00015-P	03/31/22	Implementation of Cyber Security Requirements for Local Boards of Elections	Requires that that every county board of elections adhere to a minimum level of cyber-security standards
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-03-21-00010-P	03/23/22	Application of Site-Specific Criteria to Class I and Class SD Waters	Add site-specific criteria to Class I and SD waters to provide additional water quality protection of the existing best uses

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-04-21-00008-P	04/07/22	Food Donation and Food Scraps Recycling	Required by Title 22 of Article 27, the rule increases food donation and the recycling of food scraps through composting
ENV-12-21-00004-P	03/24/22	Public use of Wildlife Management Areas, and areas with special regulations	To ensure that public use of WMAs and other sites does not interfere or conflict with intended purposes of those areas
ENV-16-21-00012-P	04/21/22	Regulations governing whelk management	To protect immature whelk from harvest and establish gear and reporting rules for marine resource protection and public safety
ENV-19-21-00001-P	07/20/22	Set monitoring, operational and reporting requirements for the oil and natural gas sector	Reduce emissions of methane and volatile organic compounds from the oil and natural gas sector
ENV-22-21-00001-EP	06/02/22	Peekamoose Valley Riparian Corridor	Protect public health, safety, general welfare and natural resources on the Peekamoose Valley Riparian Corridor
ENV-23-21-00011-P	06/09/22	Deer and Bear Hunting	Strategically increase antlerless deer harvest, expand hunting hours, simplify bear hunting seasons, and enhance hunter safety
ENV-24-21-00007-P	06/16/22	Amendments to permit requirements for trapping fisher and marten in New York State	To remove the requirement for a special fisher trapping permit, and to simplify marten trapping requirements
ENV-24-21-00008-P	08/17/22	Petroleum Bulk Storage (PBS)	To amend the PBS regulations, 6 NYCRR Part 613
ENV-24-21-00009-P	08/17/22	Chemical Bulk Storage (CBS)	To repeal existing 6 NYCRR Parts 596, 598, 599 and replace with new Part 598; and amend existing Part 597; for the CBS program
ENV-26-21-00003-P	09/08/22	Product Stewardship and Product Labeling	Expand, strengthen and clarify existing regulations to establish consistency with federal and state requirements
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-49-20-00011-P	12/09/21	Credit for Reinsurance	To conform to covered agreements entered into between the US and EU and the US and UK, and implement NAIC models.
DFS-14-21-00007-P	04/07/22	Public Adjusters	To update the rule regarding public adjusters, including to conform to Chapter 546 of the Laws of 2013
DFS-27-21-00018-P	07/07/22	Brokers, Agents and Certain Other Licensees - General	To set forth classes licensees must complete to fulfill part of the 15 hour credit hours required by Ins Law Art. 21

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
GAMING COMMISSION, NEW YORK STATE			
SGC-34-20-00009-P	08/26/21	Qualification time in harness racing	To improve harness pari-mutuel wagering and generate reasonable revenue for the support of government
SGC-50-20-00007-P	12/16/21	Contactless payment methods for chances in charitable gaming	To promote public health and support of organizations authorized to operate games of chance
SGC-09-21-00014-P	03/03/22	Lasix administrations on race day	To enhance the safety and integrity of pari-mutuel racing
SGC-29-21-00010-P	07/21/22	Amendment of video lottery gaming regulations	To amend the video lottery gaming regulations to reflect amendments to Tax Law 1612
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-36-19-00006-P	09/22/21	Limits on Executive Compensation	Removes "Soft Cap" prohibition on covered executive salaries.
*HLT-46-19-00003-P	09/22/21	Tanning Facilities	To prohibit the use of indoor tanning facilities by individuals less than 18 years of age
*HLT-47-19-00008-P	09/22/21	Hospital Medical Staff - Limited Permit Holders	To repeal extra years of training required for limited permit holders to work in New York State hospitals.
*HLT-51-19-00001-P	09/22/21	Women, Infants and Children (WIC) Program	To support implementation of eWIC; clarify rules for violations, penalties & hearings & conform vendor authorization criteria.
*HLT-04-20-00003-RP	10/22/21	Applied Behavior Analysis	To include Applied Behavior Analysis in the Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) benefit.
*HLT-11-20-00003-P	09/22/21	Adult Day Health Care (ADHC)	To allow for reimbursement of real property leases in certain situations when used for operations of an ADHC program
*HLT-27-20-00006-P	09/22/21	Medicaid Managed Care State Fair Hearings and External Appeals Processes and Standards	To address & clarify rules of procedure & presentation of evidence for Medicaid managed care fair hearings & external appeals
*HLT-28-20-00019-RP	09/22/21	Personal Care Services (PCS) and Consumer Directed Personal Assistance Program (CDPAP)	To implement a revised assessment process and eligibility criteria for PCS and CDPAP
HLT-31-20-00012-EP	exempt	Hospital Non-comparable Ambulance Acute Rate Add-on	Prevents duplicate claiming by Article 28 hospitals for the ambulance add-on regarding participation in the program
HLT-38-20-00006-P	09/23/21	Medicaid Transportation Program	Medicaid payment standards for emergency ambulance providers participating in an Emergency Triage, Treat & Transport (ET3) model
HLT-45-20-00002-RP	11/10/21	Cannabinoid Hemp	To create a licensing framework for cannabinoid hemp processors and cannabinoid hemp retailers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
HLT-05-21-00011-P	02/03/22	Ingredient Disclosures for Vapor Products and E-Cigarettes	To provide for enhanced public awareness of the chemicals used in vapor products and electronic cigarettes
HLT-09-21-00009-EP	03/03/22	Surrogacy Programs and Assisted Reproduction Service Providers	To license and regulate surrogacy programs
HLT-19-21-00002-EP	05/12/22	Meeting Space in Transitional Adult Homes	Establish criteria for suitable meeting space to ensure privacy in conversations and submit a compliance plan to the Department
HLT-22-21-00003-P	06/02/22	Reducing Biannual Testing of Adult Care Facility Staff	To remove the requirement for biannual testing of adult care workers
HLT-22-21-00004-P	06/02/22	Hospice Residence Rates	To authorize Medicaid rate of payment to increase the Hospice Residence reimbursement rates by 10 percent
HLT-22-21-00005-P	06/02/22	Stroke Services	Amend transition period for existing stroke centers to allow the Dept. to extend the three year transition period, if necessary
HLT-22-21-00009-P	06/02/22	Managed Care Organizations (MCOs)	To maintain the contingent reserve requirement at 7.25% through 2022 applied to Medicaid Managed Care, HIV SNP & HARP programs
HLT-22-21-00010-P	06/02/22	Labeling Requirements Concerning Vent-Free Gas Space Heating Appliances	To adjust the current labeling requirements for unvented gas space heating appliances
HLT-28-21-00018-P	07/14/22	Public Water Systems	To correct typographic & minor technical errors to obtain primacy for the implementation of federal drinking water regulations
HUMAN RIGHTS, DIVISION OF			
HRT-15-21-00005-P	04/14/22	Notice of tenants' rights to reasonable modifications and accommodations for persons with disabilities	To comply with the requirements of Executive Law section 170-d
JOINT COMMISSION ON PUBLIC ETHICS, NEW YORK STATE			
JPE-21-21-00002-P	05/26/22	Records access	To update regulations governing records access
LABOR, DEPARTMENT OF			
*LAB-46-19-00004-P	09/22/21	NY State Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the NY State Public Employee Occupational Safety and Health Standards
LAB-49-20-00012-P	12/09/21	Sick Leave Requirements	To provide definitions and standards for the sick leave requirements contained in Section 196-b of the Labor Law
LAB-05-21-00003-EP	02/03/22	Unemployment Insurance (UI) definition of "day of total unemployment"	To prevent an additional financial burden on UI claimants seeking part-time work opportunities and help employers obtain talent
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
*LPA-41-02-00005-P exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
*LPA-09-20-00010-P exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
*LPA-28-20-00033-EP exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
LPA-37-20-00013-EP exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
LPA-12-21-00011-P exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input
LONG ISLAND RAILROAD COMPANY			
LIR-39-20-00005-ERP 09/30/21	Requiring wearing masks over the nose and mouth when using terminals, stations, and trains operated by Long Island Rail Road	To safeguard the public health and safety on terminals, stations and trains operated by Long Island Rail Road
MANHATTAN AND BRONX SURFACE TRANSIT OPERATING AUTHORITY			
MBA-39-20-00007-EP 09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by the MaBSTOA	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system
MENTAL HEALTH, OFFICE OF			
OMH-09-21-00001-EP 03/03/22	Redesigning Residential Treatment Facilities (RTF)	To provide clarity and provide uniformity relating to RTF's and to implement Chapter 58 of the Laws of 2020
OMH-15-21-00001-EP 04/14/22	Residential treatment facility leave of absence	Update requirements for leave of absence in RTFs; Implement State Plan Amendments effective 7/1/18 (attachment 4.16C (pg 2 & 3))

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MENTAL HEALTH, OFFICE OF			
OMH-20-21-00006-P	05/19/22	Establishment of Youth Assertive Community Treatment (ACT)	To include children in the populations eligible to receive ACT and other conforming changes
METRO-NORTH COMMUTER RAILROAD			
MCR-39-20-00004-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations, and trains operated by Metro-North Railroad	To safeguard the public health and safety by amending the rules to require use of masks when using Metro-North facilities
METROPOLITAN TRANSPORTATION AGENCY			
MTA-39-20-00009-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using the facilities and conveyances operated by MTA Bus Company	To safeguard the public health and safety by amending rules to require use of masks when using MTA Bus facilities and conveyance
MTA-16-21-00004-EP	04/21/22	Requiring mask wearing when using the facilities and conveyances of the MTA and its operating affiliates and subsidiaries	To safeguard the public health and safety by adding a new all-agency rule requiring the use of masks in facilities and conveyances
MOTOR VEHICLES, DEPARTMENT OF			
MTV-21-21-00001-P	05/26/22	Dealer Document Fee	Raises the dealer document fee from \$75 to \$175
MTV-26-21-00002-P	06/30/22	Relicensing after revocation	Conforms regulation with Departmental longstanding practice of not issuing a proposed denial of license applications
NEW YORK CITY TRANSIT AUTHORITY			
NTA-39-20-00006-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using facilities and conveyances operated by NYC Transit Authority	To safeguard the public health and safety by amending existing rules to require use of masks when using the transit system
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit

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POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-27-21-00017-P exempt	Rates for the Sale of Power and Energy	To maintain the system's integrity. This increase in rates is not the result of an Authority rate increase to the Village
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY

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PUBLIC SERVICE COMMISSION			
*PSC-21-04-00013-P exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program

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PUBLIC SERVICE COMMISSION			
*PSC-20-05-00028-P exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment

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PUBLIC SERVICE COMMISSION			
*PSC-34-06-00009-P exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P exempt	Submetering of electricity rehearing	To seek reversal

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PUBLIC SERVICE COMMISSION			
*PSC-42-07-00012-P exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-32-08-00009-P exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-53-08-00012-P exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-09-00011-P exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-29-09-00011-P exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-10-00009-P exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-10-00005-P exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-11-00013-P exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00007-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-12-00009-P exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-13-00009-P exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-13-00015-P exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-14-00012-P exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-14-00020-P exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-38-14-00012-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-10-15-00008-P exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-15-00021-P exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-14-16-00008-P exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-33-16-00005-P exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-17-00018-P exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-51-17-00011-P exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-18-00015-P exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P exempt	Whether to impose consequences on Aspurity for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-28-18-00011-P exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
*PSC-35-18-00003-P exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-18-00008-P exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-40-18-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-01-19-00013-P exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
*PSC-04-19-00004-P exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
*PSC-07-19-00009-P exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-07-19-00016-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
*PSC-09-19-00010-P exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-12-19-00004-P exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
*PSC-20-19-00008-P exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-31-19-00013-P exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00015-P exempt	Proposed major rate increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-31-19-00016-P exempt	Proposed major rate increase in KEDLI's gas delivery revenues of approximately \$49.4 million (or 4.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-32-19-00012-P exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-41-19-00003-P exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
*PSC-44-19-00003-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00005-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00006-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00007-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-44-19-00009-P exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-46-19-00008-P exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.
*PSC-46-19-00010-P exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
*PSC-52-19-00006-P exempt	Authorization to defer pension settlement losses.	To address the ratemaking related to the pension settlement losses.
*PSC-03-20-00009-P exempt	Changes to the Utility Energy Registry	To determine appropriate rules for data availability
*PSC-08-20-00003-P exempt	PSC regulation 16 NYCRR § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
*PSC-10-20-00003-P exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
*PSC-12-20-00008-P exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
*PSC-15-20-00011-P exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
*PSC-15-20-00013-P exempt	Ownership of New York American Water Company, Inc.	To consider whether a proposed transfer of ownership of New York American Water Company, Inc. is in the public interest.
*PSC-16-20-00004-P exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
*PSC-18-20-00012-P exempt	The purchase price of electric energy and capacity from customers with qualifying on-site generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity
*PSC-18-20-00015-P exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
*PSC-19-20-00004-P exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.
*PSC-19-20-00005-P exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.
*PSC-19-20-00009-P exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
*PSC-21-20-00008-P exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
*PSC-23-20-00008-P exempt	Disposition of sales tax refund and other related matters.	To consider the appropriate allocation of the sales tax refund proceeds while balancing ratepayer and shareholder interests.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-20-00010-P exempt	Whitepaper regarding energy service company financial assurance requirements.	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies.
*PSC-25-20-00016-P exempt	Modifications to the Low-Income Affordability program.	To address the economic impacts of the COVID-19 pandemic.
*PSC-27-20-00003-P exempt	To make the uniform statewide customer satisfaction survey permanent.	To encourage consumer protections and safe and adequate service.
*PSC-28-20-00022-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-28-20-00034-P exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
PSC-31-20-00008-P exempt	Submetering of electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-34-20-00004-P exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-34-20-00005-P exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers.	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory.
PSC-38-20-00004-P exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
PSC-40-20-00004-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-40-20-00006-P exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-41-20-00010-P exempt	Disposition of a \$50 million municipal tax refund	To consider a disposition of a municipal tax refund for customer and company benefit
PSC-42-20-00006-P exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$41.8 million (or 9.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-42-20-00008-P exempt	Availability of gas leak information to the public safety officials.	Facilitate availability of gas leak information to public safety officials by gas corporations.
PSC-42-20-00009-P exempt	Proposed major rate increase in National Grid's delivery revenues of approximately \$100.4 million (or 3.2% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-43-20-00003-P exempt	The use of \$50 million to support residential and commercial customers experiencing financial hardship	To consider whether the proposed support of ratepayers is in the public interest
PSC-44-20-00007-P exempt	Establishment of the regulatory regime applicable to an approximately 90.5 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 90.5 MW electric generating facility.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-44-20-00009-P exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-45-20-00003-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-45-20-00004-P exempt	Major gas rate filing	To consider an increase in Central Hudson's gas delivery revenues
PSC-45-20-00005-P exempt	Major electric rate filing	To consider an increase in Central Hudson's electric delivery revenues
PSC-46-20-00005-P exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service.	To determine if approving the DPS Staff's recommendations is in the public interest.
PSC-48-20-00005-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers.
PSC-48-20-00007-P exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-49-20-00007-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-51-20-00006-P exempt	Notice of intent to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-51-20-00007-P exempt	Whitepaper on the ACOS method used by utilities in developing Standby and Buyback Service rates.	To standardize the utility ACOS methods and resulting rates, and to enable stand-alone energy storage systems.
PSC-51-20-00009-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers.
PSC-51-20-00010-P exempt	Petition to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-51-20-00014-P exempt	Electric system needs and compensation for distributed energy resources.	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources.
PSC-52-20-00002-P exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-52-20-00003-P exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-52-20-00004-P exempt	Use of pipeline refund.	To consider how a pipeline refund of \$2.26 million will be utilized by National Fuel.
PSC-52-20-00008-P exempt	Transfer of a natural gas pipeline and the associated certificate, and application of lightened and incidental regulation.	To determine whether the requested transfers and regulatory treatment are consistent with the law and the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-52-20-00011-P exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-01-21-00004-P exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers.
PSC-01-21-00006-P exempt	A debt financing arrangement with respect to an electric transmission line under development.	To review the proposed financing and consider whether it is within the public interest.
PSC-01-21-00007-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-02-21-00006-P exempt	Disposition of a sales tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-03-21-00006-P exempt	Comprehensive study to identify distribution and transmission investments in accordance with the AREGCB Act.	To support distribution and local transmission investments necessary to achieve the State's climate goals.
PSC-04-21-00016-P exempt	Request for a waiver.	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement.
PSC-04-21-00017-P exempt	Funding and management of the the Clean Energy Fund portfolio.	To review NYSERDA's proposed modifications to the Clean Energy Fund portfolio and determine whether the changes are acceptable.
PSC-04-21-00020-P exempt	NFG's Implementation Plan and audit recommendations.	To consider to implement the management audit recommendations.
PSC-05-21-00004-P exempt	Alternative proposal for net crediting billing.	To facilitate development of and participation in Community Distributed Generation projects.
PSC-05-21-00005-P exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime for the owner of an approximately 100 MW electric generating facility.
PSC-05-21-00006-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-05-21-00007-P exempt	Petition to amend bill estimation procedures.	To consider the petition of Central Hudson Gas & Electric Corporation to amend its current bill estimation procedures.
PSC-05-21-00008-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-05-21-00012-P exempt	The electric utilities' 2021 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2021 Electric Emergency Response Plans.
PSC-06-21-00009-P exempt	Disposition of a property tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-06-21-00011-P exempt	Petiton to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-07-21-00005-P exempt	Staff Whitepaper recommending modifications to the utility's energy affordability program.	To consider updates and enhancements to the utility's low-income energy affordability program.
PSC-07-21-00007-P exempt	Conditioned pre-approval of stock transactions of regulated entities.	To consider allowing stock transactions within statutory parameters without Commission approval for individual transactions.
PSC-08-21-00003-P exempt	Utility-owned ESR participation in the New York Independent System Operator, Inc. (NYISO) administered wholesale markets.	To consider if National Grid should use a ESR in NYISO markets, and whether any conditions are appropriate for such use.
PSC-08-21-00006-P exempt	Transfer of street lighting facilities.	To determine whether to transfer street lighting facilities and the proper accounting for the transaction.
PSC-09-21-00002-P exempt	Gas moratorium procedures	To consider procedures and criteria to minimize customer hardships in the unlikely event of a future gas moratorium
PSC-09-21-00003-P exempt	Proposed filing to modify language to reflect upgrades being made to its Legacy Customer Information System.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-21-00004-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-09-21-00005-P exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-21-00006-P exempt	Long-term gas system planning.	To consider a process to review gas distribution utilities' long-term system planning.
PSC-09-21-00007-P exempt	Proposed filing to modify language to reflect upgrades being made to its Legacy Customer Information System.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-10-21-00007-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-10-21-00008-P exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-11-21-00003-P exempt	NYSEG and RG&E's petition for a waiver of its customer service quality performance.	To determine if NYSEG and RG&E's petition for waiver is in the public interest.
PSC-11-21-00004-P exempt	Pre-authorization to transfer certain cybersecurity related equipment to other utilities participating in the CMA program.	To enhance the reliability of the energy system by enabling transfers of certain equipment to other participating utilities.
PSC-11-21-00005-P exempt	Peition concerning tariff amendments regarding billing of transformer losses.	To ensure that the National Grid tariff contains appropriate provisions for the billing of transformer losses.
PSC-12-21-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-12-21-00009-P exempt	Transfer of ownership interests and facilities associated with three nuclear generating units, funds, and storage facilities.	To ensure appropriate regulatory review, oversight, and action concerning the proposed transfer to serve the public interest.
PSC-13-21-00016-P exempt	Revised distribution strategies and reallocation of remaining funding.	To ensure the appropriate use of funding reserved for gas safety programs.
PSC-13-21-00018-P exempt	Compensation of and incentives for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-13-21-00019-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-21-00020-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-21-00021-P exempt	Headroom analyses of local transmission and distribution system to support additional renewable energy generation.	To support distribution and local transmission investments necessary to achieve the the State's climate goals.
PSC-13-21-00022-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-21-00023-P exempt	Petition for the use of steam metering equipment.	To ensure that consumer bills are based on accurate measurements of steam usage.
PSC-14-21-00003-P 04/07/22	More specific requirements for Operator Qualification to work on pipelines. Allows applications for "special permits."	To make the provision of natural gas service safer in New York State with better qualified pipeline workers.
PSC-15-21-00006-P exempt	Proposed sale of the Company's stock to the Buyers.	To determine if sale of the Company's stock to the Buyers is in the public interest.
PSC-15-21-00007-P exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime.
PSC-15-21-00009-P exempt	Proposed filing to account for the acquisition of DTI by EGTS.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-21-00010-P exempt	Proposed filing to account for the acquisition of DTI by EGTS.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-15-21-00011-P exempt	Tariff rate modifications for net metered distributed energy resources.	To implement just and reasonable rates for distributed energy resources.
PSC-16-21-00005-P exempt	Tier 2 Maintenance Tier Program of the Renewable Energy Standard.	To promote and maintain renewable electric energy resources.
PSC-16-21-00006-P exempt	The appropriate level of community credit capacity for distributed energy generation projects in the territory.	Consideration of an increase in the community credit capacity for distributed generation projects in the territory.
PSC-16-21-00007-P exempt	Accounting-related rules for utilities implementing the Integrated Energy Data Resource.	To consider cost recovery of capital expenditures and budget allocations of costs between affiliated companies.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-16-21-00008-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-16-21-00009-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-16-21-00010-P exempt	Petition to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-16-21-00011-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-17-21-00002-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-17-21-00003-P exempt	Notice of intent to submeter electricity and waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-17-21-00004-P exempt	Waiver of tariff rules.	To consider whether a waiver of tariff rules is just and reasonable and in the public interest.
PSC-17-21-00005-P exempt	Submetering equipment.	To consider use of submetering equipment and if it is in the public interest.
PSC-17-21-00006-P exempt	Community Choice Aggregation and Community Distributed Generation.	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation.
PSC-17-21-00007-P exempt	Utility studies of climate change vulnerabilities.	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities.
PSC-17-21-00008-P exempt	Issuance of securities and other forms of indebtedness.	To provide funding for capital needs, including construction, refinancing of maturing debt.
PSC-18-21-00004-P exempt	Community Choice Aggregation programs.	To modify and improve Community Choice Aggregation programs in New York State.
PSC-18-21-00005-P exempt	Proposed transfer of the Company's capital stock to the Purchaser.	To determine if transfer of the Company's capital stock to the Purchaser is in the public interest.
PSC-18-21-00006-P exempt	Community Choice Aggregation renewable products.	To consider waiving the locational and delivery requirements for RECs purchased to support renewable CCA products.
PSC-18-21-00007-P exempt	System modernization tracker (SMT) recovery period amendment.	To determine whether to extend the recovery period associated with the SMT's leak prone pipe replacement costs.
PSC-18-21-00008-P exempt	RG&E's Economic Development Programs and exemption from funding limits.	To consider RG&E to grant up to \$5.25 million in ED funding to Project Block to the benefit of ratepayers.
PSC-19-21-00006-EP exempt	Waiver of a tariff rule.	To financially assist customers in a time of hardship.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-19-21-00007-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-19-21-00008-P exempt	Community Choice Aggregation (CCA) and Community Distributed Generation (CDG).	To consider permitting Upstate Power, LLC to serve as a CCA administrator offering an opt-out CDG focused program.
PSC-19-21-00009-P exempt	Major electric rate filing.	To consider an increase in O&R's electric delivery revenues.
PSC-19-21-00010-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-19-21-00011-P exempt	Debt financing arrangement.	To review the proposed financing and consider whether authorization is within the public interest.
PSC-19-21-00012-P exempt	Major gas rate filing.	To consider an increase in O&R's gas delivery revenues.
PSC-19-21-00013-P exempt	The proposed transfer of ownership interests and debt financing arrangement related to certain electric generating facilities.	To determine whether the proposed transfer of ownership interests and financing arrangement are in the public interest.
PSC-20-21-00003-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-20-21-00004-P exempt	Regulatory approvals in connection with a 437 MW electric generating facility.	To ensure appropriate regulatory review, oversight, and action, consistent with the public interest.
PSC-21-21-00012-P exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-21-21-00013-P exempt	The Competitive Tier 2 program adopted in the Commission's Order Adopting Modifications to the Clean Energy Standard.	To determine the process for the resale of environmental attributes procured under the Competitive Tier 2 program.
PSC-21-21-00014-P exempt	Transfer of excess development rights associated with utility property.	To determine whether to authorize the transfer of excess development rights associated with utility property.
PSC-21-21-00015-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-21-21-00016-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-21-21-00017-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-21-21-00018-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-21-21-00019-P exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-21-21-00020-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-22-21-00006-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-22-21-00007-P exempt	The applicable regulatory regime under the Public Service Law for the owner of a merchant electric generating facility.	Consideration of a lightened regulatory regime for the owner of an approximately 7.6 mile, 13 kV AC electric cable.
PSC-22-21-00008-P exempt	Cost allocation for project(s) to meet a Public Policy Transmission Need/Public Policy Requirement.	To address the cost allocation methodology for use by the New York Independent System Operator, Inc. (NYISO).
PSC-23-21-00002-P exempt	Waiver for allocation of natural gas to commercial and industrial economic development customers.	To provide commercial and industrial economic development customers access to natural gas.
PSC-23-21-00003-P exempt	Petitions for rehearing of the Order Adopting a Data Access Framework and Establishing Further Process.	To consider modifications and/or clarifications to the Order Adopting a Data Access Framework and Establishing Further Process.
PSC-23-21-00004-P exempt	Establishing an alternative recovery mechanism for certain types of fees.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-23-21-00005-P exempt	Issuance of securities and other forms of indebtedness.	To provide funding for capital needs, including construction, refinancing of maturing debt.
PSC-23-21-00010-P 06/09/22	Technical amendments of state regulations and administrative corrections.	To make the provisions of natural gas service safer in New York State.
PSC-24-21-00005-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-25-21-00004-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-25-21-00005-P exempt	Transfer of Penelec assets and franchise rights.	To consider the transfer of utility assets and franchise to be in Waverly ratepayer and public interest.
PSC-25-21-00006-P exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Newfield.
PSC-25-21-00007-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-25-21-00008-P exempt	NYSERDA and National Grid's proposed Expanded Solar For All Program for low-income customers.	To consider the authorization and appropriate design of an opt-out community solar program for low-income customers.
PSC-25-21-00009-P exempt	Hydroelectric facility located in Carthage, New York.	To promote and maintain renewable electric energy resources.
PSC-25-21-00010-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-25-21-00011-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
PSC-25-21-00012-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-25-21-00013-P exempt	Negative revenue adjustments for gas main replacements targets in 2020.	To promote and ensure safety and reliability enhancements for utility infrastructure replacement.
PSC-26-21-00004-P exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-26-21-00005-P exempt	Proposed revisions to tariff schedule.	To consider tariff revisions to cancel leaves associated with the Energy Smart Community Rate Pilot.
PSC-26-21-00006-P exempt	Transfer of street lighting facilities.	To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.
PSC-26-21-00007-P exempt	Petition to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-26-21-00008-P exempt	Transfer of street lighting facilities.	To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.
PSC-26-21-00009-P exempt	Transfer of street lighting facilities.	To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.
PSC-26-21-00010-P exempt	Proposed acquisition of all shares of common stock of Corning Natural Gas Holding Corporation by ACP Crotona Corp.	To consider whether the acquisition of all shares of common stock of CNGH by ACP Crotona Corp. is in the public interest.
PSC-26-21-00011-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-26-21-00012-P exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest.	To consider whether the proposed service agreement and requested waivers of Commission rules are in the public interest.
PSC-26-21-00013-P exempt	Transfer of street lighting facilities.	To determine whether to transfer street of lighting facilities and the proper accounting for the transaction.
PSC-27-21-00010-P exempt	Changes to PSL Section 66-p relating to billing information for residential rental premises.	To establish provisions as necessary to effectuate PSL Section 66-p.
PSC-27-21-00011-P exempt	The prohibition on ESCO service to low-income customers.	To consider whether NOCO Electric, LLC and NOCO Natural Gas, LLC should be granted a waiver to serve low-income customers.
PSC-27-21-00012-P exempt	Waiver request to reset NUG Rider rate on one day's notice.	To determine whether NUG Rider rates should be reset on one day's notice.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-27-21-00013-P exempt	Support for a hydroelectric facility located in Black Brook, New York.	To consider financial support to promote and maintain an existing renewable electric energy resource.
PSC-27-21-00014-P exempt	PSC Regulation 16 NYCRR 86.3(a)(1), (a)(2), (b)(2), 86.4(b), 88.4(a)(4) and 85-2.3(c).	To consider the applicants requests relating to the content of their application for transmission line siting.
PSC-27-21-00015-P exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities to the Town of Lockport.
PSC-28-21-00011-P exempt	Transfer of street lighting facilities.	To consider the transfer of street lighting facilities to the Town of Ithaca.
PSC-28-21-00012-P exempt	Transfer of ownership interests in a 55 megawatt natural gas-fired cogeneration facility located in North Tonawanda, NY.	To address the proposed transfer and any matters within the public interest.
PSC-28-21-00013-P exempt	Elimination of internal audits of wholesale performance metrics.	To consider Verizon New York Inc.'s petition to eliminate requirements for certain internal audits.
PSC-28-21-00014-P exempt	Proposed agreement for the provision of water service.	To consider whether a proposed service agreement and requested waivers of Commission rules are in the public interest.
PSC-28-21-00015-P exempt	Proposals for active and passive managed charging programs for mass market EV customers.	To shift EV charging to moderate grid impacts and customer costs.
PSC-28-21-00016-P exempt	Transfer of Suez Water New York Inc.'s parent company to Veolia Environment S.A.	To determine if the proposed transfer is the public interest.
PSC-29-21-00003-P exempt	Proposed revisions to the Companies firm demand response programs for the 2021 - 2022 winter season.	To determine whether to authorize the Companies' proposed firm gas demand response programs and associated tariff leaves.
PSC-29-21-00004-P exempt	Exemptions from utility standby rates for efficient combined heat and power projects.	To determine whether utility standby rate exemptions should be continued.
PSC-29-21-00005-P exempt	Use of pipeline refund.	To consider how a pipeline refund of \$2.26 million will be utilized by National Fuel.
PSC-29-21-00006-P exempt	Transfer of street lighting facilities.	To consider the transfer of street lighting facilities to the Town of Horseheads.
PSC-29-21-00007-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-29-21-00008-P exempt	Proposed agreement for provision of service by Saratoga Water Services, Inc.	To consider whether a proposed service agreement and requested waivers of Commission rules are in the public interest.
PSC-29-21-00009-P exempt	Proposed pilot program to use AMI to disconnect electric service to customers during gas system emergencies.	To study the efficacy of using AMI to disconnect electric service during gas system emergencies.
PSC-30-21-00005-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-30-21-00006-P exempt	NYSERDA proposal regarding Clean Energy Standard backstop collection processes.	To ensure that NYSERDA has sufficient funds to make timely payments to generators pursuant to the Clean Energy Standard.
PSC-30-21-00007-P exempt	Submetering of electricity and waiver requests.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-30-21-00008-P exempt	Adjustments to the the Daily Delivery Service Program.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-30-21-00009-P exempt	Submetering of electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-30-21-00010-P exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer of street lighting facilities and the proper accounting for the transaction.
STATE, DEPARTMENT OF			
DOS-05-21-00013-P 02/03/22	Requirements and procedures related to filing, review and publication of financial reports filed with the Department of State	To provide procedures related to the filing, review and publication of financial reports filed with the Department of State
DOS-19-21-00014-P 07/15/22	Minimum standards for administration and enforcement of the Uniform Code and Energy Code	To revise the minimum standards applicable to a program for administration and enforcement of the Uniform Code and Energy Code
STATE UNIVERSITY OF NEW YORK			
*SUN-53-19-00005-P 09/22/21	Proposed amendments to the traffic and parking regulations at State University Agricultural and Technical College at Morrisville	Amend existing regulations to update traffic and parking regulations
*SUN-29-20-00004-EP 09/22/21	State basic financial assistance for the operating expenses of community colleges under the program of SUNY and CUNY	To modify limitations formula for basic State financial assistance and remove an operating support "floor"
*SUN-29-20-00005-EP 09/22/21	Student Assembly Elections, Student Assembly Officers, Campus Government Elections, Student Activity Fees	To postpone voting on student activity fees and elections of Student Assembly representatives and officers until Fall 2020
SUN-17-21-00014-EP 04/28/22	Holiday Leave	To designate Juneteenth as a holiday for SUNY employees
SUN-17-21-00015-EP 04/28/22	Appointment of Employees; Eligibility	To allow for the addition of one year to the service limits for faculty hired between May 20, 2020 - June 30, 2021
SUN-20-21-00005-EP 05/19/22	State basic financial assistance for the operating expenses of community colleges under the programs of SUNY and CUNY	To modify limitations formula for basic State financial assistance and establish a funding floor
SUN-24-21-00002-EP 06/16/22	Gender Neutral Bathrooms	To conform with legislation requiring SUNY state-operated campuses to designate all single occupancy bathrooms as gender neutral

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
STATE UNIVERSITY OF NEW YORK			
SUN-28-21-00010-EP	07/14/22	College Fees	To increase the college fee charged at the four University Centers of SUNY
SUN-28-21-00017-EP	07/14/22	State University of New York Tuition and Fee Schedule	To amend the Tuition and Fees Schedule of the State University of New York effective for the Fall 2021 semester
STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY			
SIR-39-20-00008-EP	09/30/21	Requiring mask wearing covering the nose and mouth when using terminals, stations and trains operated by SIRTOA.	To safeguard the public health and safety by amending rules to require the use of masks when using terminals and stations.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
TAF-21-21-00005-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period July 1, 2021 through September 30, 2021
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-13-21-00010-P	03/31/22	Establishment of parentage	To amend state regulations for the establishment of paternity to reflect federal and recently-enacted state statutory requirements, to coordinate and update terminology used by the Child Support Program, and to conform regulatory citations with state laws
TDA-26-21-00014-EP	06/30/22	Camp fees	To conform state regulations to statutory requirement effectuated by Chapter 126 of the Laws of 2021, signed by the Governor on June 11, 2021 and effective June 30, 2021, allowing camp fees for children in family assistance or safety net assistance cases
TRANSPORTATION, DEPARTMENT OF			
TRN-14-21-00004-P	04/07/22	Regulation of commercial motor carriers in New York State	The rule making updates Title 49 CFR provisions incorporated by reference pursuant to regulation of commercial motor carriers
WORKERS' COMPENSATION BOARD			
*WCB-28-20-00003-EP	07/24/21	Adding COVID-19 diagnosis by a health care provider as a serious health condition for purposes of Paid Family Leave	To clarify that employees may take PFL to care for a family member with COVID-19
WCB-42-20-00004-P	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-42-20-00005-RP	10/21/21	Medical Treatment Guidelines	To add PTSD and acute stress disorder, and major depressive disorder MTGs
WCB-06-21-00013-P	02/10/22	Medical Treatment Guidelines	To update back, neck, shoulder, knee, and NAP MTGs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
WORKERS' COMPENSATION BOARD			
WCB-13-21-00002-EP	03/31/22	Ambulatory surgery services fees	To update fees for ambulatory surgery services fees, especially due to the COVID-19 pandemic
WCB-13-21-00009-P	03/31/22	Updating the prescription drug formulary prior authorization process	To include medical marijuana in the prior authorization process
WCB-15-21-00003-P	04/14/22	Medical Treatment Guidelines	To update the NAP MTGs
WCB-21-21-00011-P	05/26/22	PFL intermittent leave	To clarify the number of intermittent leave days eligible employees can take
WCB-26-21-00001-P	06/30/22	Payment of medical bills and disputes	To require all objections to medical bills be made simultaneously and make process more efficient
WCB-28-21-00008-P	07/14/22	DME Fee Schedule	To correct codes ad update DME fee schedule
WCB-28-21-00009-P	07/14/22	Telehealth	Provides the option for telehealth visits in some circumstances

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

REPLACE HOT WATER HEATING PUMP Cayuga Correctional Facility Moravia, Cayuga County

Sealed bids for Project No. M3154-H, comprising a contract for HVAC Work, Replace Hot Water Heating Pump, Cayuga Correctional Facility, 2202 NY-38A, Moravia (Cayuga County) NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Correctional Services, until 2:00 p.m. on Wednesday, August 11, 2021 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$13,100 for H).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$100,000 and \$250,000 for H.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller (“Restricted Period”) to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewycky, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any

State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

_____ Project commenced design before January 1, 2020. Not subject to provision.

X Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 164 days after the Agreement is approved by the Comptroller.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises (“MBE”) participation and 15% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses (“SDVOBs”). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs’ participation under this contract as follows, 3% for the H trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction’s plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

**REPLACE
WATER PUMPS/CONTROL SYSTEM
Manhattan Psychiatric Center
New York, New York County**

Sealed bids for Project No. 47008-C, comprising a contract for Construction Work Replace Water Pumps & Control System, Manhattan Psychiatric Center, 600 E. 125th Street, New York (New York County) NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, August 11, 2021, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$37,000 for C).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller (“Restricted Period”) to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupos, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and

reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

_____ Project commenced design before January 1, 2020. Not subject to provision.

X Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 360 days after the Agreement is approved by the Comptroller.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises (“MBE”) participation and 15% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses (“SDVOBs”). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs’ participation under this contract as follows: 6% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction’s plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

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For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

**REHABILITATE
FLOORS 11/12
Shirley Chisholm State Office Building
Brooklyn, Kings County**

Sealed bids for Project No. 47086-C, comprising a contract for Construction Work, Rehabilitate Floors 11 & 12, Shirley Chisholm

State Office Building, 55 Hanson Place (Kings County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of General Services, until 2:00 p.m. on Wednesday, August 11, 2021, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$53,600 for C).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract value of \$1,429,403 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller (“Restricted Period”) to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are John Pupons, Jessica Hoffman and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Acting Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <https://ogs.ny.gov/ACPL/>

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

Project commenced design before January 1, 2020. Not subject to provision.

Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 184 days after the Agreement is approved by the Comptroller.

No pre-bid site visits have been scheduled for this project and prospective bidders are not allowed to visit the project site or facility buildings and grounds to take measurements or examine existing conditions.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members

and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises (“MBE”) participation and 15% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses (“SDVOBs”). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs’ participation under this contract as follows: 6% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make “good faith efforts” to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction’s plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: <http://www.bidexpress.com>

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE

Division of Criminal Justice Services
DNA Subcommittee

Pursuant to Public Officers Law section 104, the Division of Criminal Justice Services gives notice of a meeting of the New York State DNA Subcommittee to be held on:

Date: August 6, 2021
Time: 10:00a.m. - 12:00p.m.

Primary Video Conference Site: New York State Division of Criminal Justice Services, Alfred E. Smith Office Building, CrimeStat Room 118, 80 South Swan Street, Albany, NY

Web Streaming information:

The webcast information for this meeting will be posted on the Division of Criminal Justice website under the Newsroom, Open Meeting/Webcasts.

<https://www.criminaljustice.ny.gov/pio/openmeetings.htm>

Secondary/Video Conference Sites:

- Hans Rosling Center for Population Health, 3980 15th Avenue NE, Seattle, WA 98195
- 4031 University Dr, Ste 100, Fairfax, VA 22030
- Room I-342B SHM, 333 Cedar Street, New Haven CT 06520
- Shapiro Bldg, Rm. 5044, 70 Francis Street, Boston, MA 02115

PUBLIC NOTICE

New York City Deferred Compensation Plan
and NYCE IRA

The New York City Deferred Compensation Plan & NYCE IRA (the "Plan") is seeking proposals from qualified vendors to provide Auditing Services for the City of New York Deferred Compensation Plan. The Request for Proposals ("RFP") will be available beginning on Wednesday, July 28, 2021. Responses are due no later than 4:30 p.m. Eastern Time on Wednesday, August 25, 2021. To obtain a copy

of the RFP, please visit the Plan's website at www1.nyc.gov/site/olr/about/about-rfp.page and download and review the applicable documents.

If you have any questions, please email them to Georgette Gestely, Director, at RPreTax@nyceplans.org.

Consistent with the policies expressed by the City, proposals from certified minority-owned and/or women-owned businesses or proposals that include partnering arrangements with certified minority-owned and/or women-owned firms are encouraged. Additionally, proposals from small and New York City-based businesses are also encouraged.

PUBLIC NOTICE

Department of State
Notice of Review of Request for
Brownfield Opportunity Area
Conformance Determination
Project: 140 Chandler Street

Location: City of Buffalo Tonawanda Street Corridor
Brownfield Opportunity Area, City of Buffalo, Erie County

In accordance with General Municipal Law, Article 18 - C, Section 970-r, the Secretary of State designated the Tonawanda Street Corridor Brownfield Opportunity Area, in the City of Buffalo, on November 27, 2017. The designation of the Tonawanda Street Corridor Brownfield Opportunity Area was supported by a Nomination or a comprehensive planning tool that identifies strategies to revitalize the area which is affected by one or more known or suspected brownfield sites.

Pursuant to New York State Tax Law, Article 1, Section 21, the eligible taxpayer(s) of a project site located in a designated Brownfield Opportunity Area may apply for an increase in the allowable tangible property tax credit component of the brownfield redevelopment tax credit if the Secretary of State determines that the project conforms to the goals and priorities established in the Nomination for a designated Brownfield Opportunity Area.

On February 25th, 2019, 140 Chandler Street, LLC submitted a request for the Secretary of State to determine whether the 140 Chandler Street which will be located within the designated Tonawanda Street Corridor Brownfield Opportunity Area, conform to the goals and priorities identified in the Nomination that was prepared for the designated Tonawanda Street Corridor Brownfield Opportunity Area.

The public is permitted and encouraged to review and provide comments on the request for conformance. For this purpose, the full application for a conformance determination is available online at: https://dos.ny.gov/system/files/documents/2021/07/boa-conformance-request_140-chandler-street-site.pdf

Comments must be submitted no later than September 3rd, 2021, either by mail to: Christopher Bauer, Department of State, Office of Planning and Development, 65 Court St., Suite 208, Buffalo, NY 14202, or by email to: chris.bauer@dos.ny.gov

PUBLIC NOTICE

Department of State
F-2021-0275

Date of Issuance – July 28, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-0275, the applicant, Joseph Prestigiaco, is proposing to relocate an existing floating dock and an existing boat lift and lengthen existing dock by 10 feet to have access to deeper waters without the need to dredge. This project is located at 1372 Bay Shore Boulevard, Town of Irondequoit, Monroe County, Irondequoit Bay.

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2021/07/f-2021-0275.pdf>

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

- Irondequoit Bay and Creek Significant Fish and Wildlife Habitat: https://dos.ny.gov/system/files/documents/2020/03/irondequoit_bay_creek.pdf
- Town of Irondequoit Local Waterfront Revitalization Program: <https://dos.ny.gov/system/files/documents/2019/04/town-irondequoit.pdf>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or August 27, 2021.

Comments should be addressed to the New York State Department of State, Office of Planning and Development and Community Infrastructure, ATTN: Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Avenue, Albany, New York 12231. Telephone (518) 474-6000. Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0521

Date of Issuance – July 28, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0521, The Town of Ontario is proposing to construct a new boat launch to replace an existing boat launch facility that is in poor condition. The project would involve the demolition of and removal an existing concrete retaining wall which extends from the top of the existing ramp ~160' out into the water and area immediately landward of the retaining wall. Demolition and removal of the existing 45' x 60' boat ramp (~107cy). Removal of existing rip rap from two areas in the vicinity of the ramp with the material retained for reuse in the project. Construction of the new cast in place concrete retaining wall running 170 linear feet. Construct a new cast in place concrete boat ramp 62' x 62' (~150cy below Ordinary High Water)

placed on a 6" of crushed subbase material (75cy). The salvaged rip rap would be used in a 10' wide area along 62 linear feet area adjacent to the new boat ramp and placed generally in-place or landward of the pre-construction grade. An 8' wide gravel path will be placed above the new retaining wall as well as other miscellaneous upland improvements. All in water work would be completed within the confines of a cofferdam and/or silt curtain.

The stated purpose of the proposed work is to construct a new boat launch facility to replace the existing facility that is in poor condition as a result of age and recent high water events.

The work is proposed for the Bear Creek Harbor Boat Launch located at 2205 Lake Road in the Town of Ontario, Wayne County.

The applicant's consistency certification and supporting information are available for review at: <https://dos.ny.gov/system/files/documents/2021/07/f-2021-0521publicnotice.pdf>

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, August 27, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0567

Date of Issuance – July 28, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2021-0567, The Stuyvesant Yacht Club proposes to replace the existing fixed pier and upgrade existing yacht club by replacing mooring with floating docks and wave attenuators and removing the existing travel lift piers. The project is located at 10 Centre St., City Island, Bronx County, Eastchester Bay.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2021-07_f-2021-0567_stuyvesant_yacht_club_app.pdf

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

- New York City Local Waterfront Revitalization Program

<https://dos.ny.gov/location/new-york-city-local-waterfront-revitalization-program>

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or August 27, 2021.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington

Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2021-0602

Date of Issuance - July 28, 2021

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2021-0602, Joseph and Mary Ellen Logiudice, is proposing the construction of a 4' x 40' ramp up to a 4' x 110' fixed dock with a 4' x 40' "L" section at the end. Construction of a 4' x 40' lower platform with a 5' x 4' access platform and a 4' x 16' ramp. Installation of three 2 pile dolphins. Installation of water and electrical service. The project is located at 10994 N. Bayview Road, Town of Southold, Suffolk County, Southold Bay.

The stated purpose of the proposed action is to berth and service the upland owner's boat.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2021_07_f-2021-0602_logiudice_app

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or August 27, 2021.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development & Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0157 Matter of Edna Guilor, AIA, 17 Ravine Road, Great Neck, NY 11023 for variances concerning, plumbing fixture requirements at an existing building located at 800 Northern Boulevard, Town of North Hempstead, County of Nassau, State of New York.

2021-0177 Matter of Michael Mallia, 499 Jericho Turnpike, Suite 202, Mineola, NY 11501 for a variance concerning, accessibility requirements. Involved is an existing building located at 94 Denton Avenue, Town of North Hempstead, County of Nassau, State of New York.

2021-0236 Matter of Jean Kenedy, 172 Cambridge Drive, Copiague, NY 11726 for a variance concerning, swimming pool barrier require-

ments accessory to an existing dwelling located at 346 Goef Drive, Town of Hempstead, County of Nassau, State of New York.

2021-0239 Matter of Scott Tirone, 75 Albertson Avenue, Albertson, NY 11507 for a variance concerning, plumbing fixture spacing requirements. Involved is an existing dwelling located at, 116 North Court, Town of North Hempstead, County of Nassau, State of New York.

2021-0255 Matter of Armin Bartian, 275 Northern Boulevard, Great Neck, NY 11021 for variances concerning, ceiling height requirements at an existing building located at 275 Northern Boulevard, Town of North Hempstead, County of Nassau, State of New York.

2021-0256 Matter of Bais Torah Utefillah, 401 Hempstead Avenue, West Hempstead, NY 11552 for a variance concerning, accessibility requirements. Involved is an existing building located AT 401 Hempstead Avenue, Town of Hempstead, County of Nassau, State of New York.

2021-0275 Matter of John Lagoudes, 707 Route 110, Farmingdale, NY 11735 for a variance concerning, basement ceiling height requirements in an existing dwelling located at 88 Algonquin Avenue, Town of Oyster Bay, County of Nassau, State of New York.

2021-0281 Matter of Gold Coast Table Tennis, LLC, 23 Lincoln Road, Great Neck, NY 11021 for a variance concerning, plumbing fixture requirements. Involved is an existing building located at, 52 Main Street, Town of North Hempstead, County of Nassau, State of New York.

2021-0323 Matter of Michael Bonacasa, 338 Saint Marks Avenue, Bellmore, NY 11710 for a variance concerning, freeboard elevation requirements. Involved is an existing building located at, 650 Thomas Avenue, Town of Hempstead, County of Nassau, State of New York.

2021-0324 Matter of Norman Wax, 158 Irving Place, Woodmere, NY 11598 for a variance concerning, flood elevation requirements. Involved is an existing dwelling located at 553 Woodmere Boulevard, Town of Hempstead, County of Nassau, State of New York.

2021-0328 Matter of Lester Katz, 671 Ibsen Street, Woodmere, NY 11598 for a variance concerning, flood elevation requirements in an existing dwelling located at 568 Church Avenue, Town of Hempstead, County of Nassau, State of New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0357 Matter of Melissa Butler, P.O. Box 543, Shoreham, NY 11786, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one-family dwelling located at 48 Lincoln Road, Patchogue, Town of Brookhaven, NY 11772, County of Suffolk, State of New York.

2021-0358 Matter of Dynamic Design & Permits, Inc., Deborah Yelvington, 20 Waterford Drive, Wheatley Heights, NY 11798, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one-family dwelling located at 28 Farmington Lane, Melville, Town Of Huntington, NY 11747, County of Suffolk, State of New York.

2021-0359 Matter of Gray Architectural SVS, P.C., Chris Gray, 2401 Capri Place, N. Bellmore, NY 11710, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one-family dwelling located at Five Roxbury Road, Village Of Rockville Centre, NY 11570, County of Nassau, State of New York.

2021-0360 Matter of Eagle Design, Dennis Oliver, 924 Newbridge

Road, Bellmore, NY 11710, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one-family dwelling located at 117 Booth Street, Inc., Village of Hempstead, NY 11550, County of Nassau, State of New York.

2021-0361 Matter of Eagle Design, Dennis Oliver, 924 Newbridge Road, Bellmore, NY 11710, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one-family dwelling located at 428 Avalon Place, East Meadow, Town of Hempstead, NY 11554, County of Nassau, State of New York.

PUBLIC NOTICE

Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2021-0365 Matter of D. Powers Consulting Inc., Diane Powers Imbimbo, 769 Pelham Road - 3C, New Rochelle, NY 10805, for a variance concerning safety requirements, including the ceiling height and the required height under a girder/soffit. Involved is an existing one-family dwelling located at 150 President Street, Village of Lynbrook, NY 11563, County of Nassau, State of New York.

PUBLIC NOTICE

Department of Taxation and Finance Tax Law Section 1111 Annual Adjustment Calculation on the Base Retail Price on Cigarettes

Pursuant to the provisions of § 1111(j)(2) of the Tax Law, the Commissioner of Taxation and Finance is required to give public notice of the base retail price adjustment calculation and the resulting base retail price of cigarettes for purposes of establishing the prepaid sales tax on cigarettes imposed by § 1103 of the Tax Law. Section 1111(j) of the Tax Law provides that the base retail price of cigarettes shall be adjusted each year by a factor based upon the manufacturers' list price for a carton of standard brand cigarettes. The base retail price adjustment factor for the period July 1, 2020, through June 30, 2021, is 1.032. The base retail price adjustment calculation results in a base retail price of cigarettes effective September 1, 2021, as follows:

Package of twenty (20) cigarettes:	$\$11.327 \times 1.032 = \11.689
For each additional five (5) cigarettes:	$\$ 2.829 \times 1.032 = \$ 2.920$

The base retail price is adjusted annually, to take effect the first day of September.

For further information, including rates for previous periods, contact: Ann V. Fiorello, Taxpayer Guidance Division, Department of Taxation & Finance, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4157

EXECUTIVE ORDERS

Executive Order No. 210: Expiration of Executive Orders 202 and 205.

WHEREAS, on March 7, 2020, I issued Executive Order Number 202, declaring a disaster emergency in the State of New York in response to the COVID-19 pandemic;

WHEREAS, on June 25, 2020, I issued Executive Order Number 205, requiring the Commissioner of Health to issue a travel advisory implementing quarantine restrictions on travelers arriving in the State of New York;

WHEREAS, the State of New York successfully flattened the curve of COVID-19 cases in New York; and has undertaken a cautious, incremental, and evidence-based approach to reopening the State of New York;

WHEREAS, the State of New York successfully slowed the transmission of COVID-19 from almost 11,000 new cases a day, at the peak of the pandemic, to less than 300 new cases a day;

WHEREAS, the State of New York administered more than 20,650,000 doses of COVID-19 vaccine, and more than 71% of adults in the State have received at least one dose of the vaccine;

WHEREAS, the State of New York went from having the highest infection rate in the Country to one of the lowest, with a current seven-day rolling average positivity rate below 0.4%;

WHEREAS, the Declarations of the Secretary of the United States Department Health and Human Services issued pursuant to the federal Public Readiness and Emergency Preparedness (PREP) Act remain in effect and continue to provide authorizations and exemptions for many professions and activities related to the ongoing COVID-19 emergency response including, allowing an expanded list of professionals to administer vaccine or to administer COVID-19 testing;

WHEREAS, the Centers for Disease Control continue their guidance for unvaccinated individuals to wear masks, and for all rider on public transit and in other sensitive settings; and

WHEREAS, it has been determined that Executive Orders 202 through 202.111 and Executive Orders 205 through 205.3 are no longer necessary.

NOW, THEREFORE, I, ANDREW M. CUOMO, Governor of the State of New York, by virtue of the authority vested in me by the Constitution and laws of the State of New York, do hereby order that upon due consideration, deliberation and review, Executive Orders 202 through 202.111 and Executive Orders 205 through 205.3 are hereby rescinded effective June 25, 2021.

(L.S.)

GIVEN under my hand and the Privy Seal of the State in the City of Albany this twenty-fourth day of June the year two thousand twenty-one.

BY THE GOVERNOR

/S/ Andrew M. Cuomo

/s/ Melissa DeRosa

Secretary to the Governor

FINANCIAL REPORTS



**Department of
Taxation and Finance**

Depositories for the Funds of the State of New York

Month End: June 30, 2021

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

A handwritten signature in black ink, appearing to read "M R Schmidt".

Michael R Schmidt
Commissioner

ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER

Unemployment Insurance Funding Account	Key Bank	12,809,832.21
Occupational Training Act Funding Account	Key Bank	72,452.35
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(196,961,155.55)
General Checking	Key Bank	(1,746,467,697.33)
Direct Deposit Account	Wells Fargo	<u>170,346.80</u>
TOTAL		(216,183,889.05)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	2,000.00
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
NY ISO Account	Key Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York Elicensing	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New Your OGS Escrow	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	1,638.98
Key Advantage Account	Key Bank	87,618.67
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	3,614,261.88
NYSP CTIU Confidential Fund	Key Bank	4,000.00
SIU Confidential Fund Account	Key Bank	7,171.05
Special Fund	Key Bank	108,739.29
State Police Receipts Account	Bank of America, N.A.	283,639.64
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,000.00
Troop A Batavia-Confidential	Bank of America, N.A.	2,305.68
Troop B Confidential	Key Bank	4,671.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	3,955.27
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	3,000.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	7,480.28
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	924.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,252.36
Troop L Confidential Fund	Bank of America, N.A.	7,030.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	13,371.82
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	9,381.61
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	No report received
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	23,564.53
Revenue Account	JPMorgan Chase Bank, N.A.	962,272.42
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	7,430.07
NYS Flex Spending	Key Bank	366,745.11
State of New York LMC Petty Cash Account	Key Bank	2,000.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	No report received
01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	2.00
Petty Cash	Community Bank	3,824.28
01400 - CRIME VICTIMS COMPENSATION BOARD		

Crime Victims	JPMorgan Chase Bank, N.A.	150,000.00
Emergency Award Account	M&T Bank	0.00
Emergency Claims	Key Bank	(1,090.73)
Petty Cash Account	Key Bank	1,550.00
REST/SUBROG Escrow Account	Key Bank	389.66
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,825.00
Fingerprint Fee Account	Bank of America, N.A.	3,177,255.86
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	2,974.69
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	35,741.84
Alcoholic Bev Control License	Wells Fargo Bank	150,268.58
Alcoholic Beverage Control License	M&T Bank	0.00
Alcoholic Beverage Tax Collections	Wells Fargo Bank	294,960.08
Assessments Bulk	JPMorgan Chase Bank, N.A.	100,258.83
Assessments Receivable	JPMorgan Chase Bank, N.A.	5,120,732.46
Assessments Receivable (EFT)	Wells Fargo Bank	334,564.89
Boxing And Wrestling Tax	Bank of America, N.A.	178.52
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	329,407.19
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	2,279,072.38
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	130,022.84
Check 21 PIT	JPMorgan Chase Bank, N.A.	742,960.85
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	913,851.43
Check Sales Tax	JPMorgan Chase Bank, N.A.	1,275,426.21
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	3,293,349.62
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	393,104.03
Cigarette Tax Tobacco Products	Bank of America, N.A.	144,959.66
Congestion Surcharge	Wells Fargo Bank	60,781.19
Corporation Tax	Wells Fargo Bank	949.01
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	67,814.90
Educational Charitable Account	Wells Fargo Bank	1.00
Employer Compensation Expense	Wells Fargo Bank	27,143.51
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	204,758.15
ERS Petty Cash Acct	Key Bank	No report received
Estimated Tax	JPMorgan Chase Bank, N.A.	3,430,912.63
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	5,644.05
Highway Use - Permits & Reg.	Bank of America, N.A.	23,044.02
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	561,406.87
Hudson River-Black River	Bank of America, N.A.	532,839.93
Hudson River-Black River	Community Bank	271,074.31
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	35,350.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	110,205.63
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	38,709.00
IFTA-Fuel Use	Bank of America, N.A.	23,527.21
Justice Court	Key Bank	255,857.82
Mac #847 NYS T&F Pari Mutuel	Key Bank	6,636.78
Mac #848 NYS T&F Off Track	Key Bank	1,399,571.52
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	0.00
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	1,933.50
Medical Marijuana Tax Collections	Bank of America, N.A.	1,342.94
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	(51,496.08)
Ogdensburg Bridge & Port	Community Bank	222,904.62
Opioid Excise Tax	Wells Fargo Bank	50,586.59
Personal Income Tax	Wells Fargo Bank	110,864.94
Petroleum Business Tax (EFT)	Wells Fargo Bank	311,245.43
Petroleum Products Tax	Bank of America, N.A.	3,226,977.76
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	677,174.00
Port Of Oswego	Key Bank	9,133.68
Promptax - MCTMT	Wells Fargo Bank	10,353,873.05
Promptax - Petroleum Business Tax	Wells Fargo Bank	233.41
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	47.68
Promptax - Withholding	Wells Fargo Bank	159,080,044.89
Real Estate Transfer Tax	Key Bank	2,090.64
Revenue Holding	First Niagara Bank	3,710,000.00

Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	630,807.69
SUNY Concentration	First Niagara Bank	607,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,474.77
Troy Debt Service Reserve Fund	Bank of America, N.A.	980,118.23
Uncashed Winning Tickets	Bank of America, N.A.	49,975.49
Withholding	Wells Fargo Bank	596.27
Withholding Tax	JPMorgan Chase Bank, N.A.	1,639,656.17
Cash Advance Accounts		
Advance For Travel Account	Key Bank	400.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,800.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	43.94
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	20,914.34
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	7.01
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.81
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	6,093,504.11
National Global Settlement	JPMorgan Chase Bank, N.A.	34,211,577.46
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	28,869.76
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,097.64
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	4,000.00
Petty Cash Account Dist Off	Bank of America, N.A.	19,974.06
Petty Cash New York City	JPMorgan Chase Bank, N.A.	722.56
Public Information Office	Key Bank	33.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	46,927.53
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	628,100.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	5,291,735.00
Finger Print Account	JPMorgan Chase Bank, N.A.	150.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,537,798.46
Lawyers Fund For Client Protection - Bail	Key Bank	702.14
Petty Cash	Key Bank	3,279.87

05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	15.07
Clerk Of The Court Of Appeals	Key Bank	2,610.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	67,200.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	42,901.00
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	73,575.50
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	14,260.00
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	14,812.50
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	6,082.00
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	3,000.40
Glen Cove City Court Revenue	Wells Fargo Bank	23,167.00
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	97,229.00
Long Beach Court Bail	Wells Fargo Bank	46,026.06
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	28,177.16
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	190,134.05
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	26,130.23
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	307,414.25
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	37,059.85
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	132,916.75
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	278,162.82
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	165,490.65
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	29,750.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	905,253.72
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	905,253.72
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	765,851.86
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	87,304.70
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	478,666.91
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	45,155.83
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	136,373.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	14,510.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	176,910.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	93,945.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	5,971.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	54,513.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	35,232.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	19,233.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	10,824.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	2,029.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		

Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	4,659.59
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	9,547.93
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	1,489,634.33
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	648,180.97
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,324,178.25
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	906,739.17
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	10,933,494.91
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	129,179.00
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	93,783.75
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	700,720.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	84,205.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	14,554.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	5,003.94
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	12,588.56
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	33,218.00
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	4,560.34
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,300.16
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	13,695.00
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	913.00
Cohoes City Court Fees/Fines Account	Key Bank	8,269.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	2,457.00
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	2,526.00
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	27,340.15
Hudson City Revenue	Wells Fargo Bank	7,553.51
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	0.00
Kingston City Court Revenue	Wells Fargo Bank	26,434.22
Rensselaer City Court		
Rensselaer City Court - Bail	Wells Fargo Bank	5,055.07
Rensselaer City Court - Revenue	Wells Fargo Bank	2,652.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	18,884.50
Schoharie Surrogate Court		
Schoharie Surrogates Court Revenue	NBT Bank	69.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	1,843.00
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	16,666.46

Troy Police Court Bail Account	Bank of America, N.A.	7,190.02
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	16,305.25
Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	41,265.64
Watervliet City Court - Revenue	Wells Fargo Bank	18,923.21
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	26,102.20
Amsterdam City Court - Revenue	Key Bank	16,822.47
Clinton County Surrogates		
Clinton County Surrogates - Revenue	NBT Bank	3,561.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	4,836.50
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	2,078.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	2,143.09
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	14,524.00
Glens Falls City Court Bail Acct	Glens Falls National	11,157.87
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	35,431.53
Gloversville City Court Revenue	NBT Bank	4,953.00
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	32.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	3,076.02
Johnstown City Court Fines/Fees - Revenue	Key Bank	7,502.60
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	150.04
Mechanicville City Ct Revenue Acct	TD Bank	2,417.00
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	329.50
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	9,981.08
Ogdensburg City Court Revenue	Community Bank	2,057.00
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	12,451.28
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	10,165.11
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	4,482.50
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	25,220.92
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	9,411.47
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	84,049.35
Schenectady City Court Revenue	Bank of America, N.A.	36,375.98
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	0.00
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	2,269.75
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	539.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	1,572.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	24,308.20
Fulton City Court Revenue	Key Bank	5,312.40
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	144.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	3,433.25
Lewis County		
Lewis County Clerk	Community Bank	1,131.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	233.00
Little Falls City Court		
Little Falls City Court Bail	M&T Bank	2,000.00
Little Falls City Court Revenue	M&T Bank	3,038.78
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	8,345.00
Oneida County Surrogates		

Oneida County Surrogate Court Revenue	The Adirondack Trust Company	21,234.75
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	23,374.41
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	21,658.17
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	13,830.47
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	1,518.00
Rome City Court		
City Court Of Rome Bail Account - Bail	NBT Bank	6,061.00
Rome City Court - Revenue	NBT Bank	17,272.00
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	NBT Bank	1.00
Sherrill City Court Fees - Revenue	NBT Bank	410.00
Syracuse City Court		
Syracuse City Court - Bail	NBT Bank	299,753.00
Syracuse City Court - Fees - Revenue	NBT Bank	38,537.50
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	74,041.57
Utica City Court Revenue Account	Key Bank	28,848.16
Watertown City Court		
Watertown City Court Bail	Key Bank	44,980.66
Watertown City Court Fees & Fines - Revenue	Key Bank	16,550.00
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	46,852.00
Binghamton City Court Revenue	M&T Bank	17,546.74
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	9,008.00
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	3,818.50
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	704.25
Cortland City Court		
Court City Court Bail	NBT Bank	17,629.26
Court City Court- Revenue	NBT Bank	5,926.99
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	804.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	1,150.75
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	6,744.20
Elmira City Court Bail	Chemung Canal Trust	54,963.60
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	No report received
Ithaca City Court Revenue	Tompkins County Trust	No report received
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	1,873.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	34,372.00
Norwich City Court Revenue Acct	NBT Bank	2,995.01
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	10,862.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	13,628.00
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	10,727.30
Oneonta City Court Bail Account	Community Bank	0.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	457.00
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	No report received
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	739.75
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	3,888.00
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	36,163.00
Auburn City Court Fees & Fines - Revenue	Key Bank	9,396.25
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	18,802.48
Canandaigua City Court Revenue	Canandaigua National Bank	7,960.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	2,350.00

Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	3,755.49
Corning City Court - Revenue	Wells Fargo Bank	4,541.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	14,401.59
Geneva City Court Revenue Account	Wells Fargo Bank	5,376.00
Hornell City Court		
Hornell City Court Bail Account	Community Bank	10,069.10
Hornell City Court Revenue	Community Bank	2,278.50
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	400.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	31,828.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	1,811.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	452,877.80
Rochester City Revenue	M&T Bank	33,236.22
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	1,072.00
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	13,991.25
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	1,732.00
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	6.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	No report received
BUFFALO CITY COURT		
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
St of NY Office of the State Comptroller Jamestown City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Jamestown City Court Revenue Account	Wells Fargo Bank	No report received
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Lockport City Court Revenue Account	Wells Fargo Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	No report received
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	No report received
No. Tonawanda City Court		
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	No report received
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	No report received

Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
St of NY Office of the State Comptroller Salamanca City Court Bail Account	Wells Fargo Bank	No report received
St of NY Office of the State Comptroller Salamanca City Court Revenue Account	Wells Fargo Bank	No report received
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	16,131.31
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	4,738.00
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	40,821.25
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	111,120.30
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue	Wells Fargo Bank	31,738.29
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	110,892.31
Mt Vernon City Court State Revenue	Wells Fargo Bank	24,487.50
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	301,006.00
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	50,707.60
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	17,997.70
Newburgh City Court Revenue	Wells Fargo Bank	13,283.85
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	18,902.50
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	17,975.00
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	51,907.73
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	16,617.00
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	9,327.00
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	28,929.33
Poughkeepsie City Court -Revenue	Wells Fargo Bank	28,965.01
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	14,295.75
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	16,519.59
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	751.01
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	41,945.00
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	79,665.84
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	0.00
White Plains City Court Bail Account	Wells Fargo Bank	70,019.08
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	0.00
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	85,810.51
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	582,835.28
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	83,795.40
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	37,707.48
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	24,562.68
Animal Population Control Account	Key Bank	18,571.17
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	4,907.97
Dairy Industry Services Account	Key Bank	12,065.80
Dairy Promotion Order Fund	Key Bank	0.00
Milk Producers Security Fund	Key Bank	52,284.17
NYS Farmers Market Program	Key Bank	416,445.82
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	87.93
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	12,598.70
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.43

Plants Industry Account	Key Bank	37,634.91
Pride of NY	Key Bank	6,266.98
Weights & Measures Account	Key Bank	35,768.97
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	11,371.74
State Fair		
NYS Fair Operating Account	Solvay Bank	34,102.75
NYS Fair Special Account	Solvay Bank	231.52
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	613.00
Examination Application Fees Account	Key Bank	647.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	36,960,395.74
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	993.47
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	494,095.96
Conservation Petty Cash Account	M&T Bank	7,918.00
DEC/Exchange Account	M&T Bank	13,037.98
ENCON License Issuing Office	M&T Bank	2,693.22
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,205.73
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,921.96
Hunting Trapping & Fishing Account	M&T Bank	21,633.56
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	154,330.10
Lockbox Account	Wells Fargo Bank	149,730.03
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,329.19
NY Conservationist	Bank of America, N.A.	22,061.48
Program Fee	JPMorgan Chase Bank, N.A.	11,011.57
Revenue Account	Bank of America, N.A.	161,208.36
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,916.55
State of New York	Key Bank	105,549.02
Region 1		
Marine Permit Account	People's United Bank	22,165.24
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	3,659.98
Revenue Region 3 Account	Bank of America, N.A.	5,826.28
Region 4		
Bear Spring Revenue Account	Wayne Bank	305.25
Region 4	Greene County Commercial Bank	4,008.50
Region 4 Camping	NBT Bank	657.00
Region 5		
Campsite Revenue Account	Glens Falls National	1,077.65
Land & Forest Region 5W	TD Bank	36,820.93
NYS Conservation	Glens Falls National	595.25
Recreation (Warrensburg)	City National Bank & Trust	4,478.16
Region 5	Citizens Bank	549.34
Region 5	NBT Bank	38,566.89
Tree Nursery	Bank of America, N.A.	3,357.00
Region 6		
Fish & Wildlife Watertown	Key Bank	126.92
Lands & Forest District #7	Community Bank	0.00
Lands & Forests District #6	Community Bank	175.00
Lands And Forests District 10	M&T Bank	6,078.92
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,700.00
CD Spendable	Five Star Bank	220,000.00
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	9,160.49
General Cash Fund	Five Star Bank	273.05
Inmate Occupational Therapy Fund	Five Star Bank	96,676.20
Spendable Fund	Five Star Bank	1,550,436.68
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Key Bank	No report received
Certificate of Deposit	Bank of America, N.A.	No report received
Inmate Occupational Therapy Fund	Key Bank	No report received
Inmate Spendable Account	Key Bank	No report received
Misc Revenue	Key Bank	No report received
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	13,000.00

Employee Benefit Fund	Key Bank	9,946.40
General Fund	Key Bank	3,856.34
Inmate Funds	Key Bank	402,440.48
Inmate Occupational Therapy Acct	Key Bank	66,840.63
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	100,000.00
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Facility Advance	Key Bank	6,238.00
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	679,194.02
Inmate Fund Savings Account	Glens Falls National	52,500.00
Miscellaneous Account	Key Bank	14,322.79
Occupational Therapy	Key Bank	54,030.67
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	4,420.49
Employee Benefits	M&T Bank	9,986.86
Inmate Spending Account	M&T Bank	903,799.63
Inmates Account	M&T Bank	463,150.73
Misc Receipts	M&T Bank	21,556.64
Occupational Therapy Account	M&T Bank	55,309.87
10060 - WALKKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,550.00
Employee Benefit	Key Bank	2,079.78
Inmate Occupational Therapy Fund	Key Bank	29,694.29
Inmate Savings Account	Key Bank	88,195.69
Inmates Fund Account	Key Bank	224,474.86
Misc. Receipts	Key Bank	16,851.43
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,970.00
General Fund	Key Bank	30,303.76
Inmates Money Market	Key Bank	287,845.45
Inmates Now Checking	Key Bank	1,560,614.31
Occupational Therapy Fund	Key Bank	141,826.59
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	No report received
Employee Benefit Fund	Bank of America, N.A.	No report received
Inmate Funds	Bank of America, N.A.	No report received
Inmate Funds Savings	Bank of America, N.A.	No report received
Misc Receipts	Bank of America, N.A.	No report received
Occupational Therapy	Bank of America, N.A.	No report received
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	2,204.69
Employee Benefit Fund	Sterling Bank	16,282.22
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	726,745.32
Inmate Occupational Therapy Account	Sterling Bank	50,712.99
Misc. Receipts	Sterling Bank	3,884.45
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	2,591.44
Employee Benefit Fund	Chemung Canal Trust	28,906.08
Inmate CD Account	M&T Bank	213,994.60
Inmates Fund	Chemung Canal Trust	930,828.10
Miscellaneous Receipts	Chemung Canal Trust	1,136.57
Occupational Therapy Fund	Chemung Canal Trust	28,237.33
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	4,329.07
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	5,711.47
Inmate Funds	JPMorgan Chase Bank, N.A.	246,603.47
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	23,319.43
Misc. Receipts	JPMorgan Chase Bank, N.A.	281.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	69,899.45
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	647.62
Employee Benefits Fund	National Bank of Coxsackie	30,621.72
Inmates Fund	National Bank of Coxsackie	295,681.84

Inmates Fund Savings Acct	National Bank of Coxsackie	187,674.21
Misc. Revenue	National Bank of Coxsackie	183.35
Occupational Therapy Acct	National Bank of Coxsackie	65,299.22
10140 - WOODBOURNE CORRECTIONAL FACILITY		
CD - Inmate Funds	First National Bank of Jeffersonville	No report received
CD - Inmate Funds	First National Bank of Jeffersonville	No report received
WCF Agency Advance	Jeff Bank	No report received
WCF General Fund	Jeff Bank	No report received
WCF Inmate Fund	Jeff Bank	No report received
WCF Occupational Therapy Fund	Jeff Bank	No report received
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
Inmate Escrow Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Special Account	Key Bank	No report received
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	6,559.99
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	10,010.15
Inmate Funds Account	JPMorgan Chase Bank, N.A.	458,124.79
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	787.01
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	2,050.12
Savings Account	JPMorgan Chase Bank, N.A.	15,649.27
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	5,717.85
Agency Advance	Community Bank	665.00
Employee Benefit Fund	Community Bank	6,683.71
General Fund	Community Bank	15.75
Inmate Funds	Community Bank	60,273.84
Inmate Occupational Therapy Fund	Community Bank	811.06
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,297.35
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	15,945.70
Inmate Fund	JPMorgan Chase Bank, N.A.	608,812.46
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	80,475.88
Misc. Receipts	JPMorgan Chase Bank, N.A.	18,832.18
Occupational Therapy	JPMorgan Chase Bank, N.A.	69,602.84
10250 - TACONIC CORRECTIONAL FACILITY		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	3,613.13
Inmate Funds	JPMorgan Chase Bank, N.A.	105,804.35
Misc. Revenue	JPMorgan Chase Bank, N.A.	716.29
Money Market	JPMorgan Chase Bank, N.A.	30,992.75
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	18,916.35
Taconic Advance Account	JPMorgan Chase Bank, N.A.	992.73
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	No report received
Employee Benefit Fund Account	Key Bank	No report received
Inmate Funds Account	Key Bank	No report received
Inmate Key Advantage Account	Key Bank	No report received
Inmate Occupational Therapy Account	Key Bank	No report received
Miscellaneous Receipts Account	Key Bank	No report received
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	889.20
General Fund	Jeff Bank	3,010.74
Inmate Funds	Jeff Bank	403,880.07
Inmate Occupational Therapy	Jeff Bank	54,213.84
Inmate Savings	Jeff Bank	51,377.53
Inmate Savings CD	Hometown Bank	78,896.83
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,506.86
Employee Recreation Fund	M&T Bank	1,245.84
Inmate Deposit Account	M&T Bank	75,214.40
Misc Fees	M&T Bank	453.00
Work Release Advance Account	M&T Bank	5,254.69
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	2,460.00
Employee Benefit Account	JPMorgan Chase Bank, N.A.	1,358.63
Inmate Cash Account	JPMorgan Chase Bank, N.A.	84,164.70
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,351.93
Work Release Account	JPMorgan Chase Bank, N.A.	No report received
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	3,000.00
EBF	Community Bank	7,357.17

Inmate Fund	Community Bank	69,883.29
Inmate Savings	Community Bank	90,248.29
Misc. Receipts	Community Bank	90.86
Occupational Therapy	Community Bank	12,884.25
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	975.63
EBF Checking	Five Star Bank	44,306.07
EBF Savings	Five Star Bank	30,848.50
Inmate Spendable	Five Star Bank	448,620.81
Misc Receipts	Five Star Bank	1,835.64
Occupational Therapy	Five Star Bank	46,125.51
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,900.77
Employee Benefit Fund Checking	Bank of America, N.A.	32,136.67
Inmate Funds Checking	Key Bank	522,160.24
Inmate Funds Savings	Key Bank	314,504.08
Miscellaneous Revenue	Key Bank	3,389.32
Occupational Therapy	Key Bank	19,903.06
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	3,809.34
Employee Benefit Account	Alden State Bank	29,234.73
Inmates Funds	Alden State Bank	653,994.00
Misc. Receipts	Alden State Bank	12,309.37
Occupational Therapy	Alden State Bank	40,609.71
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	11,283.38
10450 - GOWANDA CORRECTIONAL FACILITY		
Inmate Funds	Community Bank	0.00
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	No report received
Employee Commission Account	Five Star Bank	No report received
Inmate Funds Account	Five Star Bank	No report received
Inmate Funds Account - Savings	Five Star Bank	No report received
Miscellaneous Receipts Account	Five Star Bank	No report received
Occupational Therapy Account	Five Star Bank	No report received
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,850.00
Employee Activities	Community Bank	49,422.13
Inmate Fund Checking	Community Bank	652,345.87
Miscellaneous Revenue	Community Bank	8,423.26
Occupational Therapy	Community Bank	15,715.67
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	No report received
Employee Benefit Fund	Bank of America, N.A.	No report received
Inmate Funds	Key Bank	No report received
Inmate Savings	Key Bank	No report received
Misc. Revenue	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	3,977.09
Employee Benefit Fund Account	Bank of America, N.A.	21,438.89
Inmate Fund Account	Key Bank	520,948.40
Misc receipts Acct	Key Bank	602.88
NYS DOCS Marcy CORR Facility	Key Bank	206,831.06
Occupational Therapy fund Acct	Key Bank	47,238.34
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	4,139.74
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,840.05
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	60,792.47
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,758.06
Employee Benefit Account	Key Bank	17,920.76
Inmate Funds	Key Bank	224,199.30
Inmate Occupational Therapy	Key Bank	17,652.28
Misc. Receipts	Key Bank	2,248.62
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	3,605.97
Employees Vending Benefit	NBT Bank	7,517.49
Inmates Funds	NBT Bank	94,083.11

Misc Revenues General Fund	NBT Bank	635.37
Occupational Therapy	NBT Bank	4,192.53
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	434.23
Cert Of Deposit	First National Bank of Groton	87,630.01
Employee Benefit Fund	First National Bank of Groton	5,818.11
Inmate Occupational Therapy Account	First National Bank of Groton	29,253.97
Inmate Spendable	First National Bank of Groton	290,237.37
Misc Receipts	First National Bank of Groton	1,768.96
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,815.01
Employee Benefit Fund	Key Bank	6,422.00
Inmate Spendable Funds	Key Bank	207,777.39
Miscellaneous Receipts	Key Bank	890.00
Occupational Therapy	Key Bank	9,827.18
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	No report received
Inmate Accounts	Key Bank	No report received
Inmate Savings Account	Key Bank	No report received
Miscellaneous Receipts Account	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,900.00
Employee Benefit Fund	Community Bank	42,780.97
Inmate Occupation Therapy Acct	Community Bank	35,032.24
Inmate Savings	Community Bank	141,758.52
Inmate Spendable Account	Community Bank	189,330.26
Miscellaneous Receipts Account	Community Bank	4,684.63
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	13,425.38
Inmate Funds	Community Bank	72,460.70
Inmate Funds - Sav	Community Bank	84,668.44
Miscellaneous Revenue	Community Bank	930.86
Occupational Therapy	Community Bank	550.97
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,379.46
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	6,697.69
Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	251,564.57
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,308.26
Misc Receipts	M&T Bank	1,144.24
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	3,296.11
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	418.32
Employee Benefit Fund	Chemung Canal Trust	13,493.11
Inmate Funds Account	Chemung Canal Trust	78,043.64
Misc. Receipts Account	Chemung Canal Trust	69.40
Occupational Therapy Account	Chemung Canal Trust	25,879.67
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	No report received
Employee Benefit Fund	Bank of America, N.A.	No report received
Inmate Funds	Bank of America, N.A.	No report received
Inmate Savings	Bank of America, N.A.	No report received
Miscellaneous Receipts	Bank of America, N.A.	No report received
Occupational Therapy	Bank of America, N.A.	No report received
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,450.00
EBF Account	Key Bank	19,402.61
General Account	Key Bank	0.00
Inmate Account	Key Bank	245,119.88
Inmate Funds Account Certificate Of Deposit	Glens Falls National	79,267.37
Inmate Savings Account	Key Bank	14,370.34
Occupational Therapy Account	Key Bank	14,850.61
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,297.35
Employee Benefit Fund	Five Star Bank	10,868.23
Inmate Occupational Therapy	Five Star Bank	39,637.65
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00

Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Spendable	Five Star Bank	524,493.74
Misc. Receipts Account	Five Star Bank	8,936.15
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	2,000.00
Employee Benefit Fund	National Bank of Coxsackie	24,554.57
Inmate Accounts	National Bank of Coxsackie	186,980.72
Inmate Savings	National Bank of Coxsackie	496,926.44
Misc. Receipts	National Bank of Coxsackie	100.96
Occupational Therapy	National Bank of Coxsackie	49,680.65
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,300.00
Inmate Funds	Key Bank	150,395.26
Inmates Funds Account	Key Bank	290,196.36
Misc. Receipts Account	Key Bank	6,274.75
Occupational Therapy Acct	Key Bank	38,577.10
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	2,273.49
Inmate Checking	Key Bank	437,933.96
Inmate Savings	Key Bank	100,507.68
Miscellaneous	Key Bank	16,270.75
Occupational Therapy	Key Bank	38,807.38
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	1,330.99
Inmate Occupational Therapy	Community Bank	16,654.22
Inmate Savings	Community Bank	200,024.72
Inmate Spendable Fund	Community Bank	125,177.68
Misc Receipts	Community Bank	12,592.80
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	976.81
Employee Benefit Fund	Community Bank	11,770.40
Inmate Funds	Community Bank	42,619.23
Inmate Occupational Therapy	Community Bank	10,256.96
Misc Receipts	Community Bank	198.25
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	1,900.00
Facility Committees	Key Bank	15,988.15
Inmate Fund	Key Bank	220,911.94
Inmate Occupational Therapy Fund	Key Bank	11,527.79
Miscellaneous Account	Key Bank	162.70
10850 - HALE CREEK ASACTC		
Consolidated Advance	Key Bank	No report received
Employee Benefit Fund	Bank of America, N.A.	No report received
Inmate Funds	Key Bank	No report received
Inmate Interest Bearing Account	Key Bank	No report received
Misc Receipts	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	12,808.69
Parole Supervision Fee	Wells Fargo Bank	31,787.31
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	3,985.00
Div of Ind Revenue Acct	Key Bank	221,013.89
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
Federal Student Loan Suspense	US Bank	52,975.61
NYS HESC-Federal Student Loan Suspense	Key Bank	1,033.31
Operating	Key Bank	794,434.03
Operating	US Bank	2,063,684.20
Retail Lockbox	US Bank	155,038.29
Wholesale Lockbox	US Bank	801,264.63
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	969.43
Petty Cash	M&T Bank	3,103.30
Student Spending Account	Bank of America, N.A.	8,471.05
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	22,225.22
Petty Cash	NBT Bank	2,000.00
Student Activity Fund	NBT Bank	15,180.78
11280 - ARCHIVES PARTNERSHIP TRUST		

Endowment	Janney Montgomery Scott LLC	5,685,569.28
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	178,370.66
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
CSA Rebate Account	Bank of America, N.A.	1,812,803.52
DOH EPIC Lockbox Acct	Wells Fargo Bank	29,085.95
DOH Main Cash Advance	Key Bank	17,096.13
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	30,849.42
Early Intervention - State Funds	Key Bank	20,031.45
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	270,652.43
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	2,546,069.16
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	51,150.46
General Account	M&T Bank	39,119.75
ICR Audit Fees Account	Bank of America, N.A.	45,980.08
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	702.57
Medicaid	Key Bank	317.16
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	346,920.29
Nurses Aide Fees (Prometric)	Bank of America, N.A.	36,701.40
Nursing Home Fees Account	Bank of America, N.A.	50,828.65
NYS DOH CLEP Revenue	Key Bank	5,383.91
OBRA Drug Rebate Program Acct	Bank of America, N.A.	73,279,863.96
SPARCS	Key Bank	50,225.52
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	1,895,154.46
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	542,903.30
Petty Cash Account	JPMorgan Chase Bank, N.A.	10,103.27
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,648.34
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	8,724.54
Exchange Account	NBT Bank	12,934.11
Maintenance Fund	NBT Bank	268,127.66
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	472,452.65
Resident Custodial Account	NBT Bank	3,053.10
Resident Custodial Account	NBT Bank	10,496.20
Resident Custodial Account	NBT Bank	5,544.36
Resident Custodial Account	NBT Bank	1,140.85
Resident Custodial Account	NBT Bank	4,481.93
Resident Custodial Account	NBT Bank	2,771.05
Resident Custodial Account	NBT Bank	12,331.78
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	107,649.74
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	676,745.60
St Albans Vet Home Maintenance Acct	NBT Bank	2,587,147.29
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	3,544.70
Exchange Account	Bank of America, N.A.	14,127.68
Maintenance Account	NBT Bank	167,389.25
Resident Funds	Bank of America, N.A.	57,973.45
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	No report received
Maintenance Acct	NBT Bank	959,088.26
Residence Account	Bank of America, N.A.	No report received
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	No report received
Albany Petty Cash Account	Key Bank	No report received
NYC Confidential Account	JPMorgan Chase Bank, N.A.	No report received
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	28,001.00
Exchange Account	Bank of America, N.A.	2,800.31
Fee And Permit Account	Key Bank	1,209,773.98
Min Wage & Claim Funding Acct	Key Bank	206,206.00
Minimum Wage & Wage Claim Acct	Key Bank	895,495.46
Misc Receipts	Bank of America, N.A.	476,422.36
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	251,712,332.45
UI Fund ACH Transactions	Wells Fargo Bank	1,000,000.00
14010 - WORKERS COMPENSATION BOARD		

DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	69,099,348.63
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	5,802.28
Petty Cash Account	Key Bank	3,890.44
Special Fee Account	Key Bank	10,952.79
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	1,575,516.88
Main Office Advance For Travel	Key Bank	49,865.00
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	130,688.05
19000 - DEPARTMENT OF STATE		
Athletic	M&T Bank	No report received
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Main	M&T Bank	No report received
Petty Cash Account	Key Bank	No report received
Summons	M&T Bank	No report received
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account		
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Glens Falls National	No report received
JCOPE Revenue Account		
20000 - DEPARTMENT OF TAXATION & FINANCE		
IFTA Funding	Bank of America, N.A.	No report received
Misc Tax Account - Exchange	Bank of America, N.A.	No report received
Off Track Betting Tax (MAC 848)	JPMorgan Chase Bank, N.A.	67,227.54
Pari Mutuel Betting Tax (MAC 847)	Bank of America, N.A.	267,483.44
Petty Cash	Key Bank	1,399,571.52
Tax Preparer Registration Fee (EFT)	Key Bank	6,636.78
Waste Tire Fee (EFT)	Bank of America, N.A.	15,000.00
Waste Tire Tax	Wells Fargo Bank	33,100.00
20050 - NEW YORK STATE GAMING COMMISSION	Wells Fargo Bank	1,391,972.39
Charitable Gaming Account	JPMorgan Chase Bank, N.A.	47,363.02
Commercial Gaming Revenue Account		
Custody Account	Key Bank	223,529.92
Fingerprint Concentration Account	Key Bank	0.00
License Revenue Account	US Bank	12,689.04
Lottery Concentration Account	Key Bank	13,890.86
Lottery Prize Payment Account	Bank of America, N.A.	4,812.06
Lottery Subscriptions Account	Key Bank	0.00
Petty Cash Account	Key Bank	2,500.00
Racing Refund Account	Key Bank	589,126.90
Video Gaming Revenue Account	Key Bank	775.62
21012 - WELFARE INSPECTOR GENERAL	Key Bank	1,561,061.64
Confidential Fund	Key Bank	6,540,456.34
Confidential Fund		
Petty Cash	Bank of America, N.A.	15,000.00
21110 - OFFICE OF REGULATORY REFORM	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY		
NYSERDA Greenbank MAC 26	Key Bank	No report received
NYSERDA Main Checking MAC 30	Key Bank	No report received
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	JPMorgan Chase Bank, N.A.	No report received
Checking- Petty Cash Fund	JPMorgan Chase Bank, N.A.	(4,515,083.59)
Hudson River General Acct		
Money Market	Community Bank	271,074.31
Petty Cash Fund	Community Bank	5,000.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL	Bank of America, N.A.	559,413.50
Office Of The State Inspector General Pass Thru Account	Bank of America, N.A.	0.38
OSIG Petty Cash Account	Bank of America, N.A.	6,500.00
Albany		
Office of the Inspector General Confidential	Key Bank	0.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT	Key Bank	1,350.00
Petty Cash Account		
Petty Cash Account	Bank of America, N.A.	30,000.00
Petty Cash Fund		
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	700.00
23000 - DEPARTMENT OF MOTOR VEHICLES	Key Bank	990.50
Albany Central Main Acct	JPMorgan Chase Bank, N.A.	1,692.96
Albany Central Main Acct	JPMorgan Chase Bank, N.A.	No report received
	Wells Fargo Bank	1,342,639.73

Albany Central Main Exchange Exchange	Wells Fargo Bank	23,082.85
Albany Central Office Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	18,325.45
Albany TVB Sub (Albany Central Office) Adjudication Account	Wells Fargo Bank	36,687.89
Administrative Adj	M&T Bank	No report received
Albany-Region 3 Confidential Inv Subpoena - Albany	Key Bank	1,395.00
Allegany-Belmont County Clerk Fee Allegany	Steuben Trust Co.	161.20
Andironack Mountains County Fee Account	JPMorgan Chase Bank, N.A.	114,149.81
Buffalo-Region 5 MV- Buffalo Investigator & Subpoena	M&T Bank	1,017.00
Capital Saratoga Revenue County Fee Account	JPMorgan Chase Bank, N.A.	270,154.72
Catskill Mountains County Fee Account	JPMorgan Chase Bank, N.A.	124,344.05
Central Leatherstocking County Fee Account	JPMorgan Chase Bank, N.A.	53,377.29
Chautauqua-Steuben County Fee Acct	JPMorgan Chase Bank, N.A.	50,000.00
Chautauqua County Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Concentration (CTY)(OSC) Concentration (CTY)(OSC)	Key Bank	241,183.04
Concentration (DO)(OSC) Concentration (DO)(OSC)	Key Bank	6,069,666.26
Confidential Fund (Albany Central Office) Confidential Fund	Bank of America, N.A.	2,031.00
CTY Credit Card (Albany Central Office) County Office Credit Card Account	JPMorgan Chase Bank, N.A.	1,040,299.45
Customer Service Counter (Albany) NYS DMV CSC	Wells Fargo Bank	3,016.10
D.O. Credit Card (Albany Central Office) District Office Credit Card Account	JPMorgan Chase Bank, N.A.	3,566,968.50
DMV Division Of Field Investigations - Albany Central Office Field Investigation	M&T Bank	No report received
Downstate Revenue Account - Downstate	Wells Fargo Bank	594,049.93
Eric County Revenue County Fee Account	JPMorgan Chase Bank, N.A.	50,000.00
Finger Lakes First County Fee Acct	JPMorgan Chase Bank, N.A.	50,921.17
Finger Lakes Second County Fee Acct	JPMorgan Chase Bank, N.A.	70,832.10
Genesee County Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley County Fee Acct	JPMorgan Chase Bank, N.A.	54,901.57
IRP (Albany Central Office) International Registration	M&T Bank	1,713,682.96
International Registration	Wells Fargo Bank	165,928.98
IRP Exchange (Albany Central Office) Irp Internet Office - Dept. MV	M&T Bank	373,434.85
Kiosk Kiosk Account	JPMorgan Chase Bank, N.A.	92,840.00
Long Island/Staten Island DO Long Island/Staten Island DO	Wells Fargo Bank	551,445.09
Long Island/Staten Island JP Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	51,162.50
Nassau Region 1 Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier County Fee Acct	JPMorgan Chase Bank, N.A.	92,379.93
Oneida County DMV Oneida County Fee Account	Bank of Utica	No report received
Oneida County Fee Account	NBT Bank	No report received
Petty Cash (Albany Central Office)		

Petty Cash	Bank of America, N.A.	16,682.67
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	3,424.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	80,687.84
Search Exchange (Albany Central Office)		
MV Search	Key Bank	21,811.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	119,803.96
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	1,374.00
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	269,900.97
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	6,507.70
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	1,000.00
TVB Acct		
TVB Acct	Wells Fargo Bank	100,343.42
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	472,405.51
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	71,888.63
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	3,589,204.40
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	650.51
Brookwood Cash Advance	Key Bank	1,722.18
Brookwood Resid Residential Cash	Key Bank	18,332.05
Check Exchange	Bank of America, N.A.	64.95
CO Independent Living Acct	Bank of America, N.A.	1,570.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	700.00
Columbia Girls Secure Center-Youth Savings	Key Bank	1,122.04
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	3,490.82
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,300.00
Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	12,254.93
Harriet Tubman Advance Account	Key Bank	500.00
Harriet Tubman Residents' Account	Key Bank	169.00
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,553.36
Highland Res Ctr Residents Acct	Bank of America, N.A.	8,316.34
Industry Advance Account	JPMorgan Chase Bank, N.A.	2,374.89
Industry Res Account	JPMorgan Chase Bank, N.A.	2,938.25
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Tompkins County Trust	1,912.22
MacCormick Residents' Account	Tompkins County Trust	25,656.00
Medicaid Reimbursement Exchange	Bank of America, N.A.	30,201.66
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	16,068.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	16,621.83
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	700.00
Red Hook Res Ctr Resident Cash	Key Bank	74.17
Red Hook Resid Ctr Cash Advance	Key Bank	300.00
SCR Credit Card Revenue Account	Bank of America, N.A.	23,675.00
State Central Register	Bank of America, N.A.	34,014.60
Taberg Cash Advance	NBT Bank	758.85
Taberg Residents Account	NBT Bank	711.84
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	20.70
Title IV D Of Social Security	Key Bank	3,860,767.78
Travel Advance	Key Bank	10,001.14
28010 - SUNY ALBANY		
Fee Account	Key Bank	153,744.82
Loan Services Center Account	Key Bank	174,509.12
Petty Cash/Travel Advance	Key Bank	No report received
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	171,583.84
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	0.00

General Revenue Account	Bank of America, N.A.	0.00
General Revenue Account	Key Bank	414,006.12
Imprest Account	Key Bank	0.00
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	912,858.79
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	8,401,980.09
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	3,536,821.27
LISVH Fees Depository	Sterling Bank	301,780.04
LISVH Residence Fund	Sterling Bank	437,176.56
Payroll Advance	JPMorgan Chase Bank, N.A.	312.08
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	501,061.79
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	1,015,646.55
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	867,101.92
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	7,255,669.06
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,831.26
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	667,522.27
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	1,007,386.81
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	43,466.79
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	120,786.05
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	8,186,856.88
Parking	Key Bank	319,015.72
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	838.45
Concentration Acct	M&T Bank	178,081.90
Controlled Disb	M&T Bank	0.00
Special Account	M&T Bank	1,000.00
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	No report received
Dept Public Safety	M&T Bank	No report received
Special Grant Account	M&T Bank	3,773.14
Students Acct Office	M&T Bank	54,708.42
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	167,464.70
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	56,745.82
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	No report received
State Fees	Key Bank	71,437.19
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	46,578.97
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	No report received
State Revenue	Key Bank	142,485.71
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	No report received
Revenue Account	NBT Bank	234,347.26
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	No report received
General Revenue	Key Bank	246,131.28
Imprest Account	Key Bank	No report received
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	1,258,036.62
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	No report received
State Fee Reconciliation Account	Key Bank	184,069.32
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	69,024.32
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	No report received
Controlled Disbursement Account	Bank of America, N.A.	No report received
Revenue	Bank of America, N.A.	78,398.72
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	No report received

Distribution Center Account	Key Bank	No report received
International Wire Account	Key Bank	No report received
Zero Balance Controlled Disbursement Account	Key Bank	No report received
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	341,870.27
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	124,509.53
Income Fund	Key Bank	135,553.51
International Program Account	Key Bank	37,521.36
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	31,573.73
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	55,403.29
Petty Cash Fund	Delaware National Bank	No report received
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	482,466.18
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	523,610.52
Revenue Account	NBT Bank	11,007.67
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	0.00
Controlled Disb	Key Bank	0.00
ESF/GSA	Key Bank	0.00
Forestry	Community Bank	180.78
Regular Account	Key Bank	46,256.68
Student Government	Key Bank	0.00
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	30,000.00
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	148,193.02
Revenue EFT Account	JPMorgan Chase Bank, N.A.	10,549.00
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	207,022.59
Medical Transportation	JPMorgan Chase Bank, N.A.	138.88
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,142,512.56
Revenue	Key Bank	42,741.12
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,678.82
Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
Fire Tax Account (Main)	Key Bank	37,696.20
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	2,383.44
General Fund	Key Bank	163,263.03
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	602,722.68
Miscellaneous Account	JPMorgan Chase Bank, N.A.	172,346.81
Paid Family Leave	JPMorgan Chase Bank, N.A.	290,183.75
Petty Cash	Key Bank	9,658.14
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,008.46
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Revenue (SA)	Glens Falls National	47,476.41
SARATOGA REGION CONTRACTORS BID ACCOUNT	Key Bank	88,231.68
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	76,813.93
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	176,240.60
Revenue (LI)	People's United Bank	53,273.60
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	47,945.79
Revenue (GE)	Bank of Castile	114,414.05
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	68.40
Revenue (NIA)	Evans National Bank	35,366.63
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	6,967.32
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	12,310.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	835,712.17
Petty Cash (ALB)	Key Bank	30,153.20
Revenue (NI, GE, AL, CE, TA)	M&T Bank	220,343.13
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	308,914.76
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	55,610.49
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	19,516.46

Revenue (various)	Wells Fargo Bank	64,299.31
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	1,285.95
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	647,509.36
Statewide Housing Security Deposits	Key Bank	149,008.07
49090 - FINGER LAKES STATE PARK COMMISSION		
Revenue (FL)	Savannah Bank	48,763.35
Revenue (FL-Multi)	Community Bank	8,211.69
Revenue (FL-Multi-Facilities)	Tompkins County Trust	174,971.36
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	2,015.00
Regional Account (AL)	Five Star Bank	137,389.53
Revenue (AL)	Five Star Bank	43,004.52
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Revenue (CE, SA, TI)	NBT Bank	53,091.41
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	800.70
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	35,740.35
Revenue (TI-Multi)	Community Bank	7,728.86
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	49,021.96
Iterim Assistance Agreement	Bank of America, N.A.	No report received
OMH Medication Grant Program Acct	Bank of America, N.A.	311.56
Reimbursement Account	Bank of America, N.A.	No report received
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	5,572.88
Facility Holding Account	JPMorgan Chase Bank, N.A.	10,113.64
Patient Cash Funds	JPMorgan Chase Bank, N.A.	450,978.23
Patients Cash Account	JPMorgan Chase Bank, N.A.	539,696.50
Security Deposit	JPMorgan Chase Bank, N.A.	4,589.65
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	2,272.65
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	251,783.02
Medicaid Outpatient Travel	Banco Popular	272.05
Patient Cash Acct (MM)	Banco Popular	546,300.00
Patient Checking Account	Citibank	12,095.12
Patient Savings Account	Banco Popular	335,060.07
Security Deposit	Banco Popular	2,489.41
Urban Oasis/EBT	Banco Popular	56,832.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	27,357.10
Facility Holding	Key Bank	4,984.78
Patient Cash Checking	Key Bank	531,923.65
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	5,226.41
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	15,113.92
Patient Cash Checking	Hudson Valley National Bank	1,141,388.37
Patients Money Market	Hudson Valley National Bank	72,911.27
Social Service Tokens	Hudson Valley National Bank	33,970.52
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	21,353.20
Facility Holding	Key Bank	10,880.32
Patients Cash Account	Key Bank	275,794.75
Patients Fund Savings	Key Bank	262,906.54
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	16,476.36
Facility Holding Account	Community Bank	20,134.26
Patients Cash Account	Community Bank	65,350.76
Patients Cash Savings	Community Bank	282,332.62
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	38,334.60
Certificate Of Deposit	HSBC	150,000.00
Certificate Of Deposit	HSBC	250,000.00
Holding Account	HSBC	16,476.26
Medicaid Travel Account	HSBC	No report received
Money Management Account	HSBC	780,036.79
Patient Cash Account	HSBC	166,292.92
Rent Holding Account	HSBC	0.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Exchange	JPMorgan Chase Bank, N.A.	121,299.09
Holding Account	JPMorgan Chase Bank, N.A.	483,284.15
Investment CD A	Sterling Bank	100,000.00

Investment CD B	Sterling Bank	100,000.00
Investment CD C	Sterling Bank	100,000.00
Investment CD D	Sterling Bank	100,000.00
Investment CD G	Sterling Bank	100,000.00
Investment CD I	Sterling Bank	100,000.00
Patient Cash Account	JPMorgan Chase Bank, N.A.	51,766.21
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	1,713,281.07
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	192,043.13
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	3,914.21
General	JPMorgan Chase Bank, N.A.	66,175.86
Petty Cash	JPMorgan Chase Bank, N.A.	813.69
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	15,783.98
Clients Count	Key Bank	176,630.40
Holding Account	Key Bank	22,320.48
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	50,042.00
Facility Holding Acct	JPMorgan Chase Bank, N.A.	203,110.85
Patient Cash	JPMorgan Chase Bank, N.A.	1,205,416.98
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	10,078.72
Facility Holding	Key Bank	80.87
Patient Cash Checking	Key Bank	53,035.77
Patient Cash Savings	Key Bank	28,932.49
Security Deposit	Key Bank	7,773.53
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	35,829.47
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,199.58
Patients Cash MM	JPMorgan Chase Bank, N.A.	88,271.20
Patients Checking Acct	JPMorgan Chase Bank, N.A.	443,045.63
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	12,024.58
Holding Account	Hudson Valley National Bank	28,265.94
Patient Cash Account	Hudson Valley National Bank	157,756.20
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	9,654.54
General Fund	Key Bank	0.02
Patient Checking	Key Bank	48,520.90
Patient Savings	Key Bank	356,523.59
Security Deposit	Key Bank	3,743.34
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	11,761.52
Facility Holding Account	Key Bank	2,852.92
Patients Account	Key Bank	177,912.65
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	5,000.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	15,319.20
Facility Holding Checking	JPMorgan Chase Bank, N.A.	15,788.23
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	586,944.32
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	10,826.05
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	788.59
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	210.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	36,785.60
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,460.00
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Queens Adv Acct	HSBC	10,875.00
Queens Childrens Account	HSBC	8,159.58
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	10,521.23
General Fund Account	Chemung Canal Trust	3,603.70

IMMA	Chemung Canal Trust	46,897.98
Patients Fund Account	Chemung Canal Trust	367,457.96
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	No report received
Facility Holding Account	Key Bank	No report received
Parking Garage Account	Key Bank	No report received
Patients Cash Checking Account	Key Bank	No report received
Patients Cash Savings Account	Key Bank	No report received
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	1,704,671.30
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	34,818.48
Clients Account	Key Bank	197,791.68
Consumer Cash - Savings	Adirondack Bank	3,259,062.41
Consumer Cash - Savings	Key Bank	3,418,504.89
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	61,496.63
General Fund	M&T Bank	447.49
Patients Cash Checking	M&T Bank	1,628,109.23
500 Balltown Rd Schenectady, NY		
TDOSO Representative Payee Savings Acct	The Adirondack Trust Company	2,712,083.75
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	No report received
CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	Adirondack Bank	No report received
Patient Property Funds	M&T Bank	No report received
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	584,709.22
Consumers Money Management	JPMorgan Chase Bank, N.A.	687,334.17
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	167,667.56
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	22,307.11
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	6,601.62
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	36,012.71
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	26,943.82
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Metro NY DDSO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	681,430.52
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,798.32
Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.10

Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Money Market Account	JPMorgan Chase Bank, N.A.	307,119.64
Patient Fund Account	JPMorgan Chase Bank, N.A.	697,732.34
Petty Cash Fund	JPMorgan Chase Bank, N.A.	13,780.35
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	2,800.00
Creedmoor PNA Account	JPMorgan Chase Bank, N.A.	0.00
Patient Fees	Bank of America, N.A.	686,958.07
Revenue Account	Bank of America, N.A.	45,006.06
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	1,033.74
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	11,353.51
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	50,185.70
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,681.16
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,345.50
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,915.54
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	23,780.88

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer

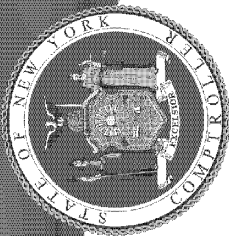
FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 06/30/2021

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 06/30/2021
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0232 Upstate Community Colleges, Series 2005B	Key Bank	8,675.75
DEPARTMENT OF FINANCIAL SERVICES		
0001 Property/Casualty Insurance Security Fund	Key Bank	451,099.16
0002 Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	2,424,623.33
0003 Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	1,507,077.92
DORMITORY AUTHORITY OF THE STATE OF NEW YORK		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	0.00
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	6,419.30
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	301,645.14
0149 State Advances Repayment Account	Bank of America, N.A.	(1,761.84)
HOMELESS HOUSING ASSISTANCE CORPORATION		
0320 Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	11,839,925.22
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION		
0315 The Egg	Key Bank	33,500.02
NEW YORK CONVENTION CENTER		
0300 Operating Fund	JPMorgan Chase Bank, N.A.	12,145,163.65
NEW YORK JOB DEVELOPMENT AUTHORITY		
0036 Special Purpose Fund	Bank of America, N.A.	395,461.15
0371 Series H Commercial Paper	Bank of America, N.A.	1,427,163.37
0389 Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	339,964.48
0423 Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424 Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426 Agriculture Loan Program	Key Bank	383,432.85
NYS AFFORDABLE HOUSING CORPORATION		
0491 Disbursement Account	JPMorgan Chase Bank, N.A.	576,403.97
0520 Development Account	Key Bank	2,093,598.22
0522 Repayment Account	Key Bank	929,401.68
0523 Recapture Account	Key Bank	215,156.65
0880 Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF HEALTH		
0004 Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(55,216,837.56)
NYS DEPARTMENT OF TAXATION AND FINANCE		
0510 Excelsior Linked Deposit Fund	Key Bank	0.27
0600 World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	137,056.69
0625 Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	26,814.66
0626 Criminal Investigation Division	Key Bank	250,564.97
0778 PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800 NYS IRS PIT offset account	Key Bank	0.00
0827 Stock Transfer Incentive Fund	Key Bank	1,231,633.08
0847 Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848 Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS HOUSING FINANCE AGENCY		
0252 Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	206,163.64
0254 Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	7,335,247.37
0267 Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	87,646.12
0274 NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	1,228,865.65
0283 Small Owner's Assistance Program Account	Key Bank	75,277.71
0285 Public Purpose Account	Key Bank	16,766,357.22
0286 Disbursement Account	Key Bank	4,700,251.47
0287 Infrastructure Development Fund	Key Bank	197,817.52
0288 Mobile Home Cooperative Fund	Key Bank	23,793.33
0292 Homeless Housing Initiatives	Key Bank	199,854.21
0294 Housing Plan Fund	Key Bank	28,240,984.98
0301 HFA - Subsidy Repayment Account	Key Bank	4,037,528.65
0305 HPD Disbursement Fund	Key Bank	1,227,149.59
0879 Payroll Account	JPMorgan Chase Bank, N.A.	95,509.65
NYS HOUSING TRUST FUND CORPORATION		
0458 Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	1,143,095.60
0460 Escrow Account	M&T Bank	1,125,999.45
0461 Housing Modernization Account	M&T Bank	770,401.95

0462	General Custodial Account	M&T Bank	68,375,030.86
0465	Home Program Account	M&T Bank	10,345,035.21
0466	Homes for Working Families Account	M&T Bank	8,905,367.34
0467	Section 8 Administrative Account	M&T Bank	9,087,527.85
0469	OCR Community Miscellaneous Programs Account	M&T Bank	8,131,144.83
0470	HCV Main Account	Bank of America, N.A.	71,611,604.57
0471	Empire State Relief Fund	M&T Bank	472,816.46
0472	Master Escrow Account	Bank of America, N.A.	8,281.02
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,222,868.15
0475	Reserve Account	Bank of America, N.A.	107,444.90
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	9,924,437.75
0891	Small Cities Community Development Block Grant Program	M&T Bank	8,365.10
0892	Disaster Recovery Initiative Account	M&T Bank	326,858.18
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,385,893.08
0899	HTFC Storm Recovery Lockbox	US Bank	40,968.71
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	1,501,827.92
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	2,847,550.26
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	58,417,741.46
0054	State Insurance Fund	Bank of America, N.A.	17,010,780.25
0055	State Insurance Fund	Bank of America, N.A.	19,094,126.74
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	25,202.56
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	1,111,535.71
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,806.05
0870	Deductions Account	Key Bank	276,358.41

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer



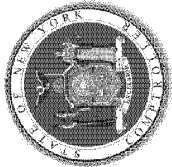
Office of the NEW YORK STATE
COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

JUNE 2021

NYS Comptroller
THOMAS P. DINAPOLI

Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation



THOMAS P. DINAPOLI
STATE COMPTROLLER

STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

June 30, 2021

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EXHIBIT A

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR	
	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Personal Income Tax	\$ 2,910.3	\$ 11,089.7	\$ -	\$ -	\$ 2,910.3	\$ 11,089.7	\$ -	\$ -	\$ 4,369.5	\$ 6,633.9	\$ 13,945.5	156.9%
Consumption/Use Taxes	450.4	1,143.8	189.2	518.9	1,207.1	2,897.8	65.8	151.5	1,368.7	3,349.5	1,452.5	43.4%
Business Taxes	1,586.4	2,420.5	371.5	650.0	-	-	53.4	145.1	1,193.9	1,513.0	1,702.6	112.5%
Other Taxes	110.1	349.5	-	-	115.2	322.8	11.9	11.9	198.1	429.4	254.8	59.3%
Miscellaneous Receipts	131.0	443.6	1,574.5	4,206.0	47.8	130.1	117.4	389.2	1,877.7	5,168.9	(5,465.9)	-51.4%
Federal Receipts	-	0.2	7,375.0	32,756.3	-	-	110.1	139.0	7,485.1	32,895.5	10,305.2	45.6%
Total Receipts	5,188.2	15,447.3	9,510.2	38,131.2	4,280.4	14,530.4	356.6	836.7	20,227.3	47,150.9	21,794.7	46.2%
DISBURSEMENTS:												
Local Assistance Grants:												
Education	2,835.3	7,257.9	1,195.4	1,947.3	-	-	45.5	84.0	3,964.9	9,246.8	42.4	0.5%
Environment and Recreation	4.0	5.7	0.1	0.8	-	-	15.7	52.3	14.0	22.2	36.6	164.9%
General Government	450.8	502.3	15.7	76.9	-	-	37.9	92.3	543.7	609.9	61.6	10.1%
Public Health:												
Medicaid	1,799.2	6,033.4	4,750.7	12,710.7	-	-	-	-	6,082.5	16,592.4	2,151.7	13.0%
Other Public Health	400.8	550.8	913.7	2,029.5	-	-	80.9	155.7	990.4	2,221.4	514.6	23.2%
Public Safety	9.2	43.3	158.6	389.8	-	-	28.3	30.5	163.3	322.2	141.4	43.9%
Public Welfare	263.8	427.4	496.6	855.9	-	-	124.6	208.6	388.7	818.2	673.7	82.3%
Support and Regulate Business	210.4	224.4	1.8	4.5	-	-	147.2	222.7	35.9	59.1	350.5	353.7%
Transportation	15.3	47.2	287.4	900.0	-	-	269.0	488.4	72.6	253.5	1,182.1	466.3%
Total Local Assistance Grants	6,008.8	15,090.4	7,820.0	19,915.4	-	-	748.1	1,334.5	12,245.0	30,185.7	5,154.6	17.4%
Departmental Operations:												
Personal Service	382.2	1,815.2	785.3	1,692.8	-	-	-	-	1,116.4	3,821.8	(313.8)	-8.2%
Non-Personal Service	183.0	553.9	681.9	1,404.0	(25.8)	(23.4)	-	-	504.4	1,505.5	429.0	28.5%
General State Charges	392.3	3,479.2	233.0	408.6	-	-	-	-	2,621.5	3,551.7	336.1	9.5%
Debt Service, including Payments on Financing Agreements	-	-	42.3	42.3	(21.8)	141.1	-	-	28.9	88.9	94.5	106.3%
Capital Projects	6,966.3	20,938.7	9,562.5	22,463.1	(47.6)	117.7	631.4	1,543.7	681.8	1,597.6	(53.9)	-3.4%
Total Disbursements	14,778.1	(5,491.4)	(52.3)	15,668.1	4,328.0	14,412.7	(1,021.9)	(2,041.5)	3,028.3	6,399.7	16,148.2	252.3%
Excess (Deficiency) of Receipts over Disbursements	(9,590.0)	10,958.7	9,662.5	22,463.1	(1,047.6)	117.7	1,380.5	2,878.2	(1,342.6)	40,751.2	5,646.5	13.9%
OTHER FINANCING SOURCES (USES):												
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	4,598.1	14,737.4	891.4	1,162.4	133.8	435.7	830.9	1,664.1	4,185.9	7,107.4	10,892.2	153.3%
Transfers to Other Funds	(1,712.1)	(2,942.4)	(225.8)	(383.8)	(4,527.0)	(14,675.7)	(23.8)	(40.3)	(4,240.9)	(7,168.3)	10,873.9	151.7%
Total Other Financing Sources (Uses)	2,886.0	11,795.0	665.6	778.6	(4,393.2)	(14,240.0)	807.1	1,623.8	(53.0)	(60.9)	18.3	30.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(6,704.1)	(4,536.7)	9,096.9	21,685.0	(5,440.8)	237.7	1,572.6	(377.7)	(1,725.6)	40,690.3	16,166.5	255.0%
Beginning Fund Balances (Deficits)	1,107.9	6,303.6	613.3	16,446.7	(65.2)	172.7	(214.8)	(417.7)	2,973.3	6,338.8	14,284.8	31.3%
Ending Fund Balances (Deficits)	(5,596.2)	(8,233.1)	8,483.6	27,131.7	(5,507.0)	410.4	(1,346.9)	(1,144.0)	17,650.3	20,623.6	20,623.6	100.0%

EXHIBIT A
SUPPLEMENTAL

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS				% Increase/ Decrease	
	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020		\$ Increase/ (Decrease)
RECEIPTS:												
Personal Income Tax	\$ 2,910.3	\$ 11,089.7	\$ -	\$ 2,910.3	\$ 11,089.7	\$ 5,820.6	\$ 22,719.4	\$ 4,368.5	\$ 8,633.9	\$ 13,645.5	\$ 156.9%	
Consumption/Use Taxes	460.4	1,143.8	189.2	518.9	2,987.8	1,846.7	4,650.5	1,341.3	3,240.5	1,410.0	43.5%	
Business Taxes	1,586.4	2,420.5	371.5	650.0	-	1,957.9	3,070.5	1,145.3	1,405.6	1,664.9	118.4%	
Other Taxes	110.1	349.5	-	115.2	322.8	-	672.3	186.2	417.5	254.8	61.0%	
Miscellaneous Receipts	131.0	443.6	4,138.1	4,711.8	130.1	1,744.1	4,711.8	5,133.0	8,886.0	(4,174.2)	-47.0%	
Federal Receipts	-	0.2	-	-	-	-	0.2	4.1	-	(3.9)	-95.1%	
Total Receipts	5,188.2	15,447.3	2,126.0	5,307.0	14,530.4	11,594.6	35,284.7	12,178.4	22,587.6	12,697.1	56.2%	
DISBURSEMENTS:												
Local Assistance Grants:												
Education	2,835.3	7,257.9	326.0	326.0	-	3,163.3	7,583.9	3,409.1	8,228.5	(644.6)	-7.8%	
Environment and Recreation	4.0	5.7	0.1	0.4	-	4.1	6.1	-	0.4	5.7	1,425.0%	
General Government	450.8	502.3	5.7	33.8	-	456.5	536.1	500.0	520.7	15.4	3.0%	
Public Health:												
Medicaid	1,799.2	6,033.4	421.9	1,270.2	-	2,221.1	7,303.6	2,497.4	5,012.9	2,290.7	45.7%	
Other Public Health	400.8	550.8	187.0	271.5	-	587.8	822.3	307.2	473.5	348.8	73.7%	
Public Safety	9.2	43.3	30.2	69.5	-	39.4	112.8	4.5	36.5	76.3	209.0%	
Public Welfare	283.8	427.4	0.5	0.9	-	284.3	428.3	61.4	296.6	131.7	44.4%	
Support and Regulate Business	210.4	222.4	1.4	3.9	-	211.8	226.3	9.0	20.7	205.6	983.2%	
Transportation	15.3	47.2	283.3	890.0	-	298.6	937.2	18.5	121.9	815.3	668.8%	
Total Local Assistance Grants	6,008.8	15,090.4	1,258.1	2,866.2	-	7,266.9	17,956.6	6,807.1	14,711.7	3,244.9	22.1%	
Departmental Operations:												
Personal Service	382.2	1,815.2	327.6	1,133.2	-	709.8	2,948.4	955.5	3,535.2	(586.8)	-16.6%	
Non-Personal Service	183.0	553.9	216.8	676.3	(23.4)	374.0	1,206.8	335.8	1,252.0	(45.2)	-3.6%	
General State Charges	382.3	3,479.2	76.6	199.8	-	468.9	3,679.0	2,582.6	3,465.4	213.6	6.2%	
Debt Service, Including Payments on Financing Agreements	-	-	-	-	141.1	(21.8)	141.1	28.9	88.9	52.2	58.7%	
Capital Projects	-	-	-	-	-	-	-	-	-	-	0.0%	
Total Disbursements	6,966.3	20,938.7	1,875.1	4,875.5	(47.6)	8,797.8	25,831.9	10,709.9	23,063.2	2,878.7	12.5%	
Excess (Deficiency) of Receipts over Disbursements	(1,778.1)	(5,491.4)	246.9	431.5	14,412.7	2,796.8	9,352.8	1,468.5	(465.6)	9,818.4	2,108.8%	
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	4,588.1	14,737.4	908.3	1,322.8	133.8	5,640.2	16,495.9	3,796.1	7,410.1	9,085.8	122.6%	
Transfers to Other Funds	(1,712.1)	(2,942.4)	(57.3)	(70.1)	(14,675.7)	(6,236.4)	(17,688.2)	(4,202.1)	(6,747.6)	10,940.6	162.1%	
Total Other Financing Sources (Uses)	2,876.0	11,795.0	851.0	1,252.7	(14,240.0)	(656.2)	(1,192.3)	(406.0)	682.5	(1,854.8)	-280.0%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,107.9	6,303.6	1,097.9	1,684.2	(2,827.3)	2,140.6	8,160.5	1,062.5	1,963.9	7,963.6	4,044.5%	
Beginning Fund Balances (Deficits)	14,356.5	9,160.8	6,294.9	5,708.6	302.9	20,954.3	14,934.4	13,542.7	14,408.3	526.1	3.7%	
Ending Fund Balances (Deficits)	\$ 15,464.4	\$ 15,464.4	\$ 7,392.8	\$ 7,392.8	\$ 237.7	\$ 23,094.9	\$ 23,094.9	\$ 14,605.2	\$ 14,605.2	\$ 8,489.7	68.1%	

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$249.1 million
Urban Development Corporation (Youth Facilities)	17.5
Housing Finance Agency (HFA)	560.8
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	399.1
Dormitory Authority and State University Income Fund	615.3
Federal Capital Projects	855.8
State bond and note proceeds	100.1

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund *Transfers to Other Funds* are as follows:	\$1,542.7 million
State Capital Projects Fund	120.1
General Debt Service Fund	8.5
Banking Services Account	30.0
Business Services Center	5.0
Centralized Tech Services	4.8
Charter School Stimulus	38.4
Court Facilities Incentive Aid Fund	16.5
Dedicated Highway & Bridge Trust Fund	70.0
Dedicated Infrastructure Investment Fund	1.3
Dedicated Mass Transportation (Non MTA)	2.2
Dedicated Mass Transportation - Railroad Account	12.2
Dedicated Mass Transportation - Transit Authority Account	14.0
Environmental Protection Fund	97.7
Mass Transportation Financial Assistance	23.8
Mass Transportation Operating Assistance Fund	37.9
New York Central Business District Trust Fund	2.1
New York City County Clerks' Operations Offset	2.6
Recruitment Incentive	2.8
Spinal Cord Injury Account	3.0
State Fair Receipts	885.2
State University Income Fund	885.2

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$1.6m), and the State University Income Fund (\$18.9m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of June 30, 2021 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

**EXHIBIT A NOTES
JUNE 2021**

Special Revenue Funds *Transfers To Other Funds* includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$292.7m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and All Other Capital Projects (\$20.7m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Administration Adjudication Account	\$14 million
Clean Air	3.1
Ercos Special Revenue	1.7
Federal Health and Human Services Fund	5.1
Federal USDA/Food and Nutrition	1.3
Fingerprint Identification Technology Account	9.4
HESC Insurance Premium Account	5.0
Miscellaneous State Special Revenue Fund	4.9
Nursing Home Receivership Account	1.0
Professional Medical Conduct Account	1.1
Public Service Account	2.1
Statewide Public Safety Communications	3.4
System and Technology Account	1.9
SUNY Income Fund	5.1
Unemployment Insurance Administration	13.7
Workers' Compensation Board	6.4

Debt Service Funds *Transfers To Other Funds* includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$11,137.8 million
Local Government Assistance Tax Fund	994.2
Sales Tax Revenue Bond Tax Fund	1,917.6
Clean Water/Clean Air Fund	311.9
Mental Health Services Fund	282.8

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$31.5m).

Capital Projects Funds *Transfers To Other Funds* includes transfers to the General Fund (\$18.5m) and the General Debt Service Fund - Lease Purchase (\$21.7m).

3. Pursuant to FY 2022 Enacted Budget Legislation (Chapter 50), IFR/CUTRA (City University Tuition Reimbursement Account) Fund and CUNY Senior College Operating Fund have both been reclassified from Special Revenue State Funds and Agency Funds, respectively, to Enterprise Funds. As a result of this change, the beginning cash balances in the Special Revenue State Funds and the Agency Funds have been reduced by \$171.6m and \$10.6m, respectively, and the Enterprise Funds have been increased by \$162.4m.

Additionally, the College Savings Account within the Miscellaneous State Special Revenue Funds was reclassified to the Private Purpose Trust Funds. As a result of this change, the beginning balance of the Special Revenue State Funds has been reduced by an additional \$25.7m and Private Purpose Trust Funds increased by \$25.7m.

EXHIBIT B

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS			YEAR OVER YEAR	
	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease	
RECEIPTS:											
Miscellaneous Receipts	\$ 211.8	\$ 674.4	\$ 41.4	\$ 253.2	\$ 798.3	\$ 41.3	\$ 41.3	\$ 97.8	\$ 700.5	716.3%	
Federal Receipts	5,008.2	13,963.7	-	5,008.2	13,963.7	10,834.5	10,834.5	19,411.8	(5,448.1)	-28.1%	
Unemployment Taxes	390.8	922.9	-	390.8	922.9	2,631.7	2,631.7	6,717.3	(5,794.4)	-86.3%	
Total Receipts	5,610.8	15,561.0	41.4	5,652.2	15,684.9	13,507.5	13,507.5	28,226.9	(10,542.0)	-40.2%	
DISBURSEMENTS:											
Departmental Operations:											
Personal Service	112.0	367.1	3.9	115.9	392.4	12.1	12.1	40.0	352.4	881.0%	
Non-Personal Service	35.1	90.1	5.8	40.9	188.6	88.7	88.7	114.5	74.1	64.7%	
General State Charges	50.8	163.8	6.5	57.3	178.5	8.8	8.8	19.2	159.3	829.7%	
Unemployment Benefits	5,396.3	14,987.4	-	5,396.3	14,987.4	13,469.5	13,469.5	26,124.1	(11,136.7)	-42.6%	
Total Disbursements	5,594.2	15,608.4	16.2	5,610.4	15,746.9	13,579.1	13,579.1	28,297.8	(10,550.9)	-40.1%	
Excess (Deficiency) of Receipts Over Disbursements	16.6	(47.4)	25.2	41.8	(62.0)	(71.6)	(71.6)	(70.9)	8.9	12.6%	
OTHER FINANCING SOURCES (USES):											
Transfers from Other Funds	-	3.0	38.3	38.3	46.5	55.0	55.0	58.8	(12.3)	-20.9%	
Transfers to Other Funds	-	-	(4.3)	(4.3)	(4.4)	-	-	-	4.4	100.0%	
Total Other Financing Sources (Uses)	-	3.0	34.0	34.0	42.1	55.0	55.0	58.8	(16.7)	-28.4%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	16.6	(44.4)	59.2	75.8	(19.9)	(16.6)	(16.6)	(12.1)	(7.8)	-64.5%	
Beginning Fund Balances (Deficits)	267.0	328.0	(398.2)	(131.2)	(35.5)	(263.3)	(263.3)	(267.8)	232.3	86.7%	
Ending Fund Balances (Deficits)	\$ 283.6	\$ 283.6	\$ (339.0)	\$ (55.4)	\$ (55.4)	\$ (279.9)	\$ (279.9)	\$ (279.9)	\$ 224.5	80.2%	

EXHIBIT C

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS			YEAR OVER YEAR		
	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2020	3 MOS. ENDED JUNE 30, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 11.9	\$ 30.9	\$ 1.3	\$ 1.6	\$ 13.2	\$ 32.5	\$ 5.5	\$ 19.6	\$ 12.9	65.8%
Total Receipts	<u>11.9</u>	<u>30.9</u>	<u>1.3</u>	<u>1.6</u>	<u>13.2</u>	<u>32.5</u>	<u>5.5</u>	<u>19.6</u>	<u>12.9</u>	<u>65.8%</u>
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	5.8	17.3	-	-	5.8	17.3	5.6	19.4	(2.1)	-10.8%
Non-Personal Service	1.5	3.3	-	-	1.5	3.3	0.6	1.9	1.4	73.7%
General State Charges	6.8	12.5	0.1	0.1	6.9	12.6	5.2	12.2	0.4	3.3%
Total Disbursements	<u>14.1</u>	<u>33.1</u>	<u>0.1</u>	<u>0.1</u>	<u>14.2</u>	<u>33.2</u>	<u>11.4</u>	<u>33.5</u>	<u>(0.3)</u>	<u>-0.9%</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>(2.2)</u>	<u>(2.2)</u>	<u>1.2</u>	<u>1.5</u>	<u>(1.0)</u>	<u>(0.7)</u>	<u>(5.9)</u>	<u>(13.9)</u>	<u>13.2</u>	<u>95.0%</u>
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>0.0%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(2.2)</u>	<u>(2.2)</u>	<u>1.2</u>	<u>1.5</u>	<u>(1.0)</u>	<u>(0.7)</u>	<u>(5.9)</u>	<u>(13.9)</u>	<u>13.2</u>	<u>95.0%</u>
Beginning Fund Balances (Deficits)	-	-	40.5	40.2	40.5	40.2	5.2	13.2	27.0	204.5%
Ending Fund Balances (Deficits)	<u>(2.2)</u>	<u>(2.2)</u>	<u>\$ 41.7</u>	<u>\$ 41.7</u>	<u>\$ 39.5</u>	<u>\$ 39.5</u>	<u>\$ (0.7)</u>	<u>\$ (0.7)</u>	<u>\$ 40.2</u>	<u>5,742.9%</u>

EXHIBIT D

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR THREE MONTHS ENDED JUNE 30, 2021
 (amounts in millions)

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 18,596.0	\$ -	\$ 22,179.4	\$ 3,583.4	\$ -
Consumption/Use	4,231.0	-	4,802.0	571.0	-
Business	2,611.0	-	3,215.6	604.6	-
Other	609.0	-	684.2	75.2	-
Miscellaneous Receipts	5,347.0	-	5,168.9	(178.1)	-
Federal Receipts	34,670.0	-	32,895.5	(1,774.5)	-
Total Receipts	66,064.0	-	68,945.6	2,881.6	-
DISBURSEMENTS:					
Local Assistance Grants	37,361.0	-	35,340.3	(2,020.7)	-
Departmental Operations	5,549.0	-	5,442.5	(106.5)	-
General State Charges	3,958.0	-	3,887.8	(70.2)	-
Debt Service	226.0	-	183.4	(42.6)	-
Capital Projects	1,705.0	-	1,543.7	(161.3)	-
Total Disbursements	48,799.0	-	46,397.7	(2,401.3)	-
Excess (Deficiency) of Receipts over Disbursements	17,265.0	-	22,547.9	5,282.9	-
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	15,625.0	-	17,999.6	2,374.6	-
Transfers to Other Funds	(15,671.0)	-	(18,042.2)	2,371.2	-
Total Other Financing Sources (Uses)	(46.0)	-	(42.6)	3.4	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	17,219.0	-	22,505.3	5,286.3	-
Fund Balances (Deficits) at April 1	18,751.0	-	18,751.1	0.1	-
Fund Balances (Deficits) at June 30, 2021	\$ 35,970.0	\$ -	\$ 41,256.4	\$ 5,286.4	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR THREE MONTHS ENDED JUNE 30, 2021
 (amounts in millions)

EXHIBIT D

STATE OPERATING FUNDS (**)					
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 18,596.0	\$ -	\$ 22,179.4	\$ 3,583.4	\$ -
Consumption/Use	4,093.0	-	4,650.5	557.5	-
Business	2,474.0	-	3,070.5	596.5	-
Other	597.0	-	672.3	75.3	-
Miscellaneous Receipts	4,834.0	-	4,711.8	(122.2)	-
Federal Receipts	68.0	-	0.2	(67.8)	-
Total Receipts	30,662.0	-	35,284.7	4,622.7	-
DISBURSEMENTS:					
Local Assistance Grants	18,871.0	-	17,956.6	(914.4)	-
Departmental Operations	4,790.0	-	4,155.2	(634.8)	-
General State Charges	3,800.0	-	3,679.0	(121.0)	-
Debt Service	184.0	-	141.1	(42.9)	-
Capital Projects	-	-	-	-	-
Total Disbursements	27,645.0	-	25,931.9	(1,713.1)	-
Excess (Deficiency) of Receipts over Disbursements	3,017.0	-	9,352.8	6,335.8	-
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	14,193.0	-	16,495.9 (**)	2,302.9	-
Transfers to Other Funds	(15,213.0)	-	(17,688.2) (***)	2,475.2	-
Total Other Financing Sources (Uses)	(1,020.0)	-	(1,192.3)	(172.3)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,997.0	-	8,160.5	6,163.5	-
Fund Balances (Deficits) at April 1	14,934.0	-	14,934.4	0.4	-
Fund Balances (Deficits) at June 30, 2021	\$ 16,931.0	\$ -	\$ 23,094.9	\$ 6,163.9	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.
 (**) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
 (***) Eliminations between Special Revenue - State and Federal Funds are not included.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR THREE MONTHS ENDED JUNE 30, 2021
(amounts in millions)

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 9,298.0	\$ -	\$ 11,089.7	\$ 1,791.7	\$ -
Consumption/Use	1,019.0	-	1,143.8	124.8	-
Business	1,935.0	-	2,420.5	485.5	-
Other	336.0	-	349.5	13.5	-
Miscellaneous Receipts	402.0	-	443.6	41.6	-
Federal Receipts	-	-	0.2	0.2	-
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	9,298.0	-	11,137.8	1,839.8	-
Sales Tax in excess of LGAC / STRBF Debt Service	2,538.0	-	2,911.8	373.8	-
Real Estate Taxes in excess of CW/CA Debt Service	243.0	-	311.9	68.9	-
All Other	481.0	-	375.9	(105.1)	-
Total Receipts and Other Financing Sources	25,550.0	-	30,184.7	4,634.7	-
DISBURSEMENTS:					
Local Assistance Grants	15,870.0	-	15,090.4	(779.6)	-
Departmental Operations	2,914.0	-	2,369.1	(544.9)	-
General State Charges	3,599.0	-	3,479.2	(119.8)	-
Transfers To:					
Debt Service	163.0	-	120.1	(42.9)	-
Capital Projects	1,423.0	-	1,643.2	220.2	-
State Share Medicaid	-	-	20.5	20.5	-
SUNY Operations	730.0	-	885.2	155.2	-
Other Purposes	314.0	-	273.4	(40.6)	-
Total Disbursements and Other Financing Uses	25,013.0	-	23,881.1	(1,131.9)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	537.0	-	6,303.6	5,766.6	-
Fund Balances (Deficits) at April 1	9,161.0	-	9,160.8	(0.2)	-
Fund Balances (Deficits) at June 30, 2021	\$ 9,698.0	\$ -	\$ 15,464.4	\$ 5,766.4	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.
(**) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

EXHIBIT D

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR THREE MONTHS ENDED JUNE 30, 2021
 (amounts in millions)

		SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	
RECEIPTS:								
Taxes:								
Personal Income	-	\$ -	-	\$ -	-	\$ -	\$ -	
Consumption/Use	461.0	-	518.9	-	518.9	57.9	-	
Business	539.0	-	650.0	-	650.0	111.0	-	
Miscellaneous Receipts	4,339.0	-	4,206.0	-	4,206.0	(133.0)	-	
Federal Receipts	34,323.0	-	32,756.3	-	32,756.3	(1,566.7)	-	
Transfers from Other Funds (**)	1,109.0	-	1,322.8	(160.4)	1,162.4	53.4	-	
Total Receipts and Other Financing Sources	40,771.0	-	39,454.0	(160.4)	39,293.6	(1,477.4)	-	
DISBURSEMENTS:								
Local Assistance Grants	20,519.0	-	18,915.4	-	18,915.4	(1,603.6)	-	
Departmental Operations	2,637.0	-	3,096.8	-	3,096.8	459.8	-	
General State Charges	359.0	-	408.6	-	408.6	49.6	-	
Debt Service	42.0	-	42.3	-	42.3	0.3	-	
Capital Projects	-	-	-	-	-	-	-	
Transfers to Other Funds (**)	515.0	-	544.2	(160.4)	383.8	(131.2)	-	
Total Disbursements and Other Financing Uses	24,072.0	-	23,007.3	(160.4)	22,846.9	(1,225.1)	-	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	16,699.0	-	16,446.7	-	16,446.7	(252.3)	-	
Fund Balances (Deficits) at April 1	10,669.0	-	10,669.3	-	10,669.3	0.3	-	
Fund Balances (Deficits) at June 30, 2021	\$ 27,368.0	\$ -	\$ 27,116.0	\$ -	\$ 27,116.0	(252.0)	\$ -	

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

EXHIBIT D

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR THREE MONTHS ENDED JUNE 30, 2021
 (amounts in millions)

	STATE SPECIAL REVENUE FUNDS				FEDERAL SPECIAL REVENUE FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan
RECEIPTS:								
Taxes:								
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	461.0	-	518.9	57.9	-	-	-	-
Business	539.0	-	650.0	111.0	-	-	-	-
Miscellaneous Receipts	4,263.0	-	4,138.1	(124.9)	76.0	-	67.9	(8.1)
Federal Receipts	68.0	-	-	(68.0)	34,255.0	-	32,756.3	(1,498.7)
Transfers from Other Funds	1,109.0	-	1,322.8	213.8	-	-	-	-
Total Receipts and Other Financing Sources	6,440.0	-	6,629.8	189.8	34,331.0	-	32,824.2	(1,506.8)
DISBURSEMENTS:								
Local Assistance Grants	3,001.0	-	2,866.2	(134.8)	17,518.0	-	16,049.2	(1,468.8)
Departmental Operations	1,878.0	-	1,809.5	(68.5)	759.0	-	1,287.3	528.3
General State Charges	201.0	-	199.8	(1.2)	158.0	-	208.8	50.8
Debt Service	-	-	-	-	42.0	-	42.3	0.3
Capital Projects	84.0	-	70.1	(13.9)	431.0	-	474.1	43.1
Transfers to Other Funds	-	-	-	-	-	-	-	-
Total Disbursements and Other Financing Uses	5,164.0	-	4,945.6	(218.4)	18,906.0	-	18,061.7	(846.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,276.0	-	1,684.2	408.2	15,423.0	-	14,762.5	(660.5)
Fund Balances (Deficits) at April 1	5,708.0	-	5,708.6	0.6	4,961.0	-	4,960.7	(0.3)
Fund Balances (Deficits) at June 30, 2021	\$ 6,984.0	\$ -	\$ 7,392.8	\$ 408.8	\$ 20,384.0	\$ -	\$ 19,723.2	\$ (660.8)

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

EXHIBIT D

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR THREE MONTHS ENDED JUNE 30, 2021
 (amounts in millions)

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 9,298.0	\$ -	\$ 11,089.7	\$ 1,791.7	\$ -
Consumption/Use	2,613.0	-	2,987.8	374.8	-
Other	261.0	-	322.8	61.8	-
Miscellaneous Receipts	169.0	-	130.1	(38.9)	-
Federal Receipts	-	-	-	-	-
Transfers from Other Funds	524.0	-	435.7	(88.3)	-
Total Receipts and Other Financing Sources	12,865.0	-	14,966.1	2,101.1	-
DISBURSEMENTS:					
Departmental Operations	(2.0)	-	(23.4)	(21.4)	-
Debt Service	184.0	-	141.1	(42.9)	-
Transfers to Other Funds	12,499.0	-	14,675.7	2,176.7	-
Total Disbursements and Other Financing Uses	12,681.0	-	14,793.4	2,112.4	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	184.0	-	172.7	(11.3)	-
Fund Balances (Deficits) at April 1	65.0	-	65.0	-	-
Fund Balances (Deficits) at June 30, 2021	\$ 249.0	\$ -	\$ 237.7	\$ (11.3)	\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR THREE MONTHS ENDED JUNE 30, 2021
(amounts in millions)

CAPITAL PROJECTS FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	138.0	\$ -	151.5	\$ -	151.5	\$ 13.5	\$ -
Business	137.0	-	145.1	-	145.1	8.1	-
Other	12.0	-	11.9	-	11.9	(0.1)	-
Miscellaneous Receipts	437.0	-	389.2	-	389.2	(47.8)	-
Federal Receipts	347.0	-	139.0	-	139.0	(208.0)	-
Bond and Note Proceeds, net	-	-	-	-	-	-	-
Transfers from Other Funds	1,432.0	-	1,664.1	-	1,664.1	232.1	-
Total Receipts and Other Financing Sources	2,503.0	-	2,500.8	-	2,500.8	(2.2)	-
DISBURSEMENTS:							
Local Assistance Grants	972.0	-	1,334.5	-	1,334.5	362.5	-
Capital Projects	1,705.0	-	1,543.7	-	1,543.7	(161.3)	-
Transfers to Other Funds	27.0	-	40.3	-	40.3	13.3	-
Total Disbursements and Other Financing Uses	2,704.0	-	2,918.5	-	2,918.5	214.5	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(201.0)	-	(417.7)	-	(417.7)	(216.7)	-
Fund Balances (Deficits) at April 1	(1,144.0)	-	(1,144.0)	-	(1,144.0)	-	-
Fund Balances (Deficits) at June 30, 2021	\$(1,345.0)	-\$ -	\$(1,561.7)	-\$ -	\$(1,561.7)	\$(216.7)	-\$ -

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

EXHIBIT D

STATE OF NEW YORK
 BUDGETARY BASIS -- FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR THREE MONTHS ENDED JUNE 30, 2021
 (amounts in millions)

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan
RECEIPTS:								
Taxes:								
Consumption/Use	\$ 138.0	\$ -	\$ 151.5	\$ 13.5	\$ -	\$ -	\$ -	\$ -
Business	137.0	-	145.1	8.1	-	-	-	-
Other	12.0	-	11.9	(0.1)	-	-	-	-
Miscellaneous Receipts	437.0	-	389.2	(47.8)	-	-	-	-
Federal Receipts	-	-	-	-	347.0	-	139.0	(208.0)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-
Transfers from Other Funds	1,431.0	-	1,664.1	233.1	1.0	-	(1.0)	-
Total Receipts and Other Financing Sources	2,155.0	-	2,361.8	206.8	348.0	-	139.0	(209.0)
DISBURSEMENTS:								
Local Assistance Grants	863.0	-	1,242.4	379.4	109.0	-	92.1	(16.9)
Capital Projects	1,429.0	-	1,221.6	(207.4)	276.0	-	322.1	46.1
Transfers to Other Funds	28.0	-	40.0	12.0	(1.0)	-	0.3	1.3
Total Disbursements and Other Financing Uses	2,320.0	-	2,504.0	184.0	384.0	-	414.5	30.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(165.0)	-	(142.2)	22.8	(36.0)	-	(275.5)	(239.5)
Fund Balances (Deficits) at April 1	(662.0)	-	(563.7)	(1.7)	(582.0)	-	(580.3)	1.7
Fund Balances (Deficits) at June 30, 2021	\$(727.0)	\$(-)	\$(705.9)	\$ 21.1	\$(618.0)	\$(-)	\$(855.8)	\$(237.8)

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

EXHIBIT E

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR	
	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	MONTH OF JUNE 2021	3 MOS. ENDED JUNE 30, 2021	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX												
Withholding	\$ 3,702.6	\$ 10,522.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,096.3	\$ 9,211.9	\$ 1,310.2	14.2%
Estimated Payments	2,740.7	12,211.6	-	-	-	-	-	-	1,493.0	10,436.1	10,436.1	587.8%
Returns	139.6	3,237.5	-	-	-	-	-	-	260.9	724.7	2,512.8	346.7%
State/City Offsets	(21.6)	(489.3)	-	-	-	-	-	-	(56.4)	(168.0)	321.3	191.3%
Other Assessments/LLC	111.3	352.6	-	-	-	-	-	-	63.0	230.5	122.1	53.0%
Gross Receipts	6,672.6	25,834.5	-	-	-	-	-	-	4,854.8	11,774.6	14,059.9	119.4%
Transfers to School Tax Relief Fund	(2,910.3)	(11,089.7)	-	-	-	-	-	-	(486.3)	(3,140.7)	514.4	0.0%
Transfers to Revenue Bond Tax Fund	(652.0)	(3,855.1)	-	-	2,910.3	11,089.7	-	-	(486.3)	(3,140.7)	514.4	0.0%
Less: Refunds Issued	2,910.3	11,089.7	-	-	2,910.3	11,089.7	-	-	4,365.5	8,633.9	13,545.5	156.9%
CONSUMPTION USE TAXES												
Sales and Use	403.0	991.4	101.6	291.3	1,207.1	2,987.8	-	-	1,210.0	2,970.2	1,400.3	48.8%
Auto Rental	-	-	5.1	4.9	-	17.5	-	-	13.5	12.6	11.9	94.4%
Cigarette/Tobacco Products	25.7	79.1	64.9	166.4	-	-	-	-	86.1	258.9	6.6	2.5%
Medical Marijuana	-	-	1.1	3.7	-	-	-	-	0.7	1.8	1.9	105.6%
Motor Fuel	-	-	9.9	25.7	-	36.7	-	-	31.5	83.2	37.8	45.4%
Alcoholic Beverage	21.7	66.5	-	-	-	-	-	-	22.8	70.9	(4.4)	-6.2%
Highway Use	-	-	-	0.1	-	11.6	-	-	11.6	12.4	3.8	11.6%
Vapor Excise	-	-	6.6	6.8	-	-	-	-	11.7	11.8	(6.0)	-42.4%
Opioid Excise	-	6.8	-	-	-	-	-	-	6.8	7.2	(0.4)	-5.6%
Total	450.4	1,143.8	189.2	518.9	1,207.1	2,987.8	65.8	151.5	1,388.7	3,345.5	1,452.5	43.4%
BUSINESS TAXES												
Corporation Franchise	1,187.8	1,867.8	264.3	458.7	-	-	-	-	557.5	677.2	1,648.3	243.5%
Corporation and Utilities	63.7	92.9	18.1	37.9	-	1.4	4.5	-	94.9	98.5	35.8	36.0%
Insurance	328.4	456.2	44.8	38.5	-	-	-	-	364.0	440.7	54.0	12.3%
Bank	6.5	3.6	3.7	3.8	-	-	-	-	10.2	91.8	(84.4)	-92.7%
Petroleum Business	-	-	40.6	111.1	-	52.0	140.6	-	86.7	193.8	57.9	29.8%
Total	1,586.4	2,420.5	371.3	650.0	-	53.4	148.1	-	1,193.9	1,513.0	1,702.6	112.5%
OTHER TAXES												
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	108.9	345.7	-	-	-	-	-	-	147.3	272.0	73.7	27.1%
Pan-Mineral	1.1	3.5	-	-	-	-	-	-	0.8	1.7	1.8	105.9%
Real Estate Transfer	-	-	-	-	115.1	322.5	11.9	-	49.8	155.4	178.0	115.2%
Racing and Exhibitions	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Employer Compensation Expense Tax	0.1	0.3	-	-	0.1	0.3	-	-	0.2	0.2	0.4	200.0%
Total	110.1	349.5	-	-	115.2	322.8	11.9	-	498.1	428.4	254.8	59.3%
Total Tax Receipts	\$ 5,057.2	\$ 15,003.5	\$ 560.7	\$ 1,168.9	\$ 4,232.6	\$ 14,400.3	\$ 131.1	\$ 308.5	\$ 7,148.2	\$ 13,925.8	\$ 16,955.4	121.8%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)**

	2021												3 Months Ended June 30		% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2021	
Beginning Fund Balance	\$ 18,791.1	\$ 24,822.2	\$ 39,815.2										\$ 14,284.8	\$ 4,486.3	31.3%
Receipts:															
Taxes:															
Personal Income Tax:															
Withholdings	3,601.6	3,217.7	3,702.6										9,211.9	1,310.2	14.2%
Estimated Payments	3,342.2	6,129.7	2,740.7										1,775.5	10,436.1	597.6%
Returns	913.6	2,184.3	139.6										724.7	2,512.8	346.7%
State/City Offsets	(203.0)	(384.7)	(21.6)										(168.0)	321.3	191.3%
Other (Assessments/LC)	154.1	87.2	111.3										122.1	122.1	53.0%
Gross Receipts	7,868.7	11,935.2	6,672.6										11,774.6	14,059.9	119.4%
Transfers to School Tax Relief Fund													-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,262.0)	(1,520.2)	655.0										(3,145.7)	514.4	0.0%
Total Personal Income Tax	6,526.7	9,833.0	5,823.0										8,653.0	13,545.5	156.9%
Consumption/Use Taxes:															
Sales and Use	1,297.4	1,261.4	1,711.7										2,870.2	1,400.3	49.8%
Auto Rental	1.9	-	22.6										12.6	11.9	94.4%
Cigarette/Tobacco Products	98.3	76.6	90.6										6.6	2.5%	
Medical Marijuana	1.5	1.1	1.1										1.9	105.6%	
Motor Fuel	34.1	40.3	46.6										83.2	37.8	45.4%
Alcoholic Beverage	23.0	21.8	21.7										70.9	(4.4)	-6.2%
Highway Use	14.6	10.5	11.6										32.9	3.8	11.8%
Vapor/Ecige	0.2	-	5.6										1.8	(5.0)	-42.4%
Other	-	0.1	-										1.6	-	0.0%
Total Consumption/Use Taxes	1,477.7	1,411.8	1,912.5										3,345.5	1,432.5	43.4%
Business Taxes:															
Corporation Franchise	768.4	106.0	1,452.1										677.2	1,649.3	243.5%
Corporation and Utilities	51.0	1.1	83.2										96.5	35.8	36.0%
Insurance	64.2	57.3	373.2										440.7	54.0	12.3%
Bank	17.3	(20.1)	10.2										101.8	(84.4)	-92.7%
Petroleum Business	68.8	90.2	92.6										163.9	57.9	29.9%
Total Business Taxes	968.8	234.5	2,011.3										1,515.0	1,702.6	112.5%
Other Taxes:															
Real Property/Gains	119.8	117.0	106.9										-	-	0.0%
Gift	1.3	1.1	1.1										272.0	73.7	27.1%
Fair-Market	97.4	110.0	127.0										1.7	1.8	105.9%
Real Estate Transfer	-	-	-										155.4	179.0	115.2%
Racing and Exhibitions	-	-	-										0.1	(0.1)	-100.0%
Employer Compensation Expense Tax	0.2	0.2	0.2										0.2	0.4	200.0%
Total Other Taxes	218.7	228.3	237.2										251.7	254.8	56.3%
Total Taxes	9,192.0	11,707.6	9,851.6										13,925.8	16,955.4	121.8%
Miscellaneous Receipts:															
Abandoned Property	1.3	1.0	0.8										3.1	-	0.0%
Unclaimed Property	1.0	0.3	31.1										21.6	10.8	50.0%
Raffle Bill															
Assessments:															
Business	79.2	48.3	67.4										206.6	(13.7)	-6.6%
Medical Care	484.5	533.9	544.9										1,544.1	19.2	1.2%
Public Utilities	1.5	-	0.7										0.5	1.7	340.0%
Other	-	0.1	-										0.1	-	0.0%
Fees, Licenses and Permits:															
Alcohol Beverage Control Licensing	5.3	5.5	7.0										9.8	9.8	122.5%
Audit Fees													0.1	(0.1)	-100.0%
Business/Professional:	52.8	49.1	127.1										258.9	(26.8)	-10.4%
Charitable	2.0	2.0	0.8										3.1	1.0	47.7%
Criminal	1.0	0.3	0.8										7.0	1.2	17.1%
Motor Vehicle	129.0	112.5	139.8										157.5	223.8	142.1%
Recreational/Consumer	68.4	53.3	87.5										129.6	79.6	162.8%
Fines, Penalties and Forfeitures	50.4	42.6	52.2										352.9	(207.7)	-58.9%
Gaming:															
Casino	34.2	14.2	17.1										65.5	65.5	100.0%
Lottery	189.5	213.5	238.9										472.9	179.0	37.9%
Video Lottery	75.9	77.9	101.9										60.3	255.1	42,516.7%
Receipts from Municipalities:															
Interest Earnings	5.6	4.4	4.3										14.3	(48.0)	-76.3%
Receipts from Public Authorities:													15.7	(1.9)	-12.1%
Board Proceeds	6.7	2.2	4.9										5.8	15.7	268.1%
Cost Recovery Assessments	78.4	0.5	3.0										5,983.6	(5,901.7)	-98.6%
Issuance Fees	2.7	2.3	1.7										27.4	(20.7)	-75.5%
Non Bond Related	0.7	2.5	0.8										9.8	(5.8)	-59.2%
Rentals	45.3	2.8	10.5										103.1	103.1	231.7%

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021												3 Months Ended June 30		% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020		
Revenues of State Departments:																
Administrative Revenues	45.8	9.2	36.0										91.0	58.2	31.8	53.7%
Commissions	0.8	0.6	0.2										1.9	0.5	1.4	20.0%
Asset Conversion													8.0	30.7	(22.7)	0.0%
Gifts, Grants and Donations	2.5	2.1	3.4										16.9	18.3	(1.4)	-7.9%
Indirect Cost Recoveries	4.9	5.7	6.3										733.9	1,249.9	(516.0)	-41.3%
Patient/Client Care Reimbursement	295.8	223.6	214.5										34.6	36.3	(1.7)	-4.7%
Rebates	11.3	9.5	13.8										21.6	8.2	13.4	163.4%
Restitution and Settlements	1.5	11.1	9.0										9.3	10.7	(1.4)	-13.1%
Student Loans	2.4	5.6	1.3										222.7	21.2	201.5	950.5%
All Other	87.3	63.6	71.8										4.6	3.7	0.9	24.3%
Sales	2.4	0.5	1.7										17.2	23.0	(5.8)	-25.2%
Tuition	(75.2)	(41.9)	51.3										5,168.2	10,634.8	(5,466.6)	-51.4%
Total Miscellaneous Receipts	1,729.2	1,286.7	1,378.2										32,865.5	22,560.3	10,305.2	46.6%
Federal Receipts	7,164.2	18,246.2	7,485.1										68,945.6	47,150.9	21,794.7	46.2%
Total Receipts	18,065.7	31,532.5	19,337.4										9,246.8	9,246.8	0.0%	
DISBURSEMENTS:																
Local Assistance Grants:																
Education	810.6	4,402.4	4,076.2										58.8	22.2	36.6	164.9%
Environment and Recreation	29.4	9.6	19.9										671.5	609.9	61.6	10.1%
General Government	36.9	150.2	504.4										18,744.1	16,592.4	2,151.7	13.0%
Health	6,498.1	5,695.1	6,549.9										2,736.0	2,221.4	514.6	23.2%
Medicaid	651.9	698.7	1,395.4										463.6	322.2	141.4	43.9%
Other Public Health	128.1	139.4	196.1										1,481.9	819.2	673.7	82.3%
Public Safety	230.2	358.7	905.0										449.6	96.1	353.5	363.7%
Public Welfare	26.7	63.5	359.4										1,435.6	253.5	1,182.1	468.3%
Support and Regulate Business	392.6	(471.3)	571.7										35,340.3	30,185.7	5,154.6	17.1%
Transportation	8,805.5	11,956.9	14,577.9										3,508.0	3,821.8	(313.8)	-8.2%
Total Local Assistance Grants	1,188.2	1,182.3	1,167.5										1,505.5	1,505.5	0.0%	
Departmental Operations:																
Personal Service	519.4	576.0	635.1										3,867.8	3,551.7	316.1	9.5%
Non-Personal Service	865.5	2,367.0	625.3										183.4	86.9	94.5	106.3%
Capital State Charges	122.4	40.5	20.5										1,543.7	1,597.6	(53.9)	-3.4%
Debt Service	398.2	514.1	631.4										46,397.7	40,751.2	5,646.5	13.9%
Financing Agreements																
Capital Projects	11,869.2	16,636.8	17,881.7										25,547.9	6,963.7	16,148.2	232.3%
Total Disbursements	6,186.5	14,885.7	1,473.7										7,107.4	7,107.4	0.0%	
Excess (Deficiency) of Receipts over Disbursements	5,344.8	6,200.6	6,454.2										10,892.2	10,892.2	0.0%	
OTHER FINANCING SOURCES (USES):																
Borrowings (Net)	(5,360.2)	(6,203.3)	(6,485.7)										(18,042.2)	(7,168.3)	10,873.9	151.7%
Transfers from Other Funds																
Transfers to Other Funds	(5.4)	(2.7)	(34.9)										(42.0)	(60.9)	18.3	30.0%
Total Other Financing Sources (Uses)	6,181.1	14,883.0	1,441.2										25,545.3	6,338.8	16,166.5	255.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$ 24,922.2	\$ 39,915.2	\$ 41,295.4										\$ 41,266.4	\$ 20,623.6	\$ 20,623.8	100.0%
Ending Fund Balance																

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 STATEMENT OF CASH FLOW - STATE OPERATING (*)
 FISCAL YEAR 2021-2022
 (amounts in millions)

RECEIPTS:	2021												3 Months Ended June 30				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2020	2021	\$ Increase/ (Decrease)	% Increase/ Decrease	
Beginning Fund Balance	\$ 14,934.4	\$ 18,753.4	\$ 20,854.3										\$ 14,408.3	\$ 14,934.4	\$ 526.1	3.7%	
Taxes:																	
Personal Income Tax:																	
Municipal	3,601.8	3,217.7	3,702.6										9,211.9	10,522.1	1,310.2	14.2%	
Estimated Payments	3,342.2	6,128.7	2,740.7										1,775.5	1,775.5	10,436.1	587.8%	
Returns	813.6	2,184.3	139.6										724.7	3,237.5	2,512.8	346.7%	
State/City Offsets	(203.0)	(284.7)	(21.6)										(489.3)	(489.3)	(188.0)	191.3%	
Other (Assessments/LLC)	164.1	67.2	111.3										230.5	362.9	122.1	53.0%	
Gross Receipts	7,888.7	11,552.2	6,872.6										11,774.9	28,934.5	14,059.9	119.4%	
Transfers to School Tax Relief Fund	-	-	-										-	-	-	0.0%	
Transfers to Statewide Bond Tax Fund	(1,262.9)	(1,520.2)	(852.0)										(3,140.7)	(3,655.1)	(514.4)	0.0%	
Refunds Issued	6,528.3	9,833.0	3,320.6										8,633.0	22,179.4	13,545.5	156.9%	
Total Personal Income Tax																	
Consumption/Use Taxes:																	
Sales and Use	1,287.4	1,261.4	1,711.7										2,870.2	4,270.5	1,400.3	48.8%	
Auto Rental	(0.2)	-	5.1										1.8	4.9	3.1	172.2%	
Tobacco/Cigarettes	96.5	76.5	99.5										263.5	263.5	1.8	0.7%	
Medical Marijuana	1.5	1.1	1.1										1.6	3.2	1.6	105.6%	
Motor Fuel	7.2	8.6	9.9										17.8	25.7	7.9	44.4%	
Alcoholic Beverage	23.0	21.8	21.7										66.5	70.9	4.4	-6.2%	
Highway Use	0.1	-	-										0.1	0.1	0.0	0.0%	
Vapor Excise	0.2	-	6.6										11.8	6.8	(5.0)	-42.4%	
Opium Excise	8.7	0.1	0.1										7.2	8.9	1.7	23.6%	
Total Consumption/Use Taxes	1,432.2	1,348.8	1,848.7										3,240.3	4,650.5	1,410.0	43.3%	
Business, Trade and Franchise Taxes:																	
Corporation Franchise	768.4	1,066.0	1,452.1										677.2	2,326.5	1,649.3	243.5%	
Cooperation and Utilities	47.9	1.1	81.8										100.3	130.8	30.5	30.4%	
Insurance	64.2	57.3	373.2										440.7	484.7	54.0	12.3%	
Bank	17.3	(20.1)	10.2										101.8	11.4	(90.4)	-82.7%	
Petroleum Business	30.7	38.8	38.8										85.8	111.1	25.3	29.8%	
Total Business Taxes	968.5	1,142.1	1,957.9										1,465.0	3,070.5	1,664.9	113.4%	
Other Taxes:																	
Real Property Gains	-	-	-										-	-	-	0.0%	
Estate and Gift	119.8	117.0	108.9										272.0	345.7	73.7	27.1%	
Pan-Mutual	1.3	1.1	1.1										1.7	3.5	1.8	105.9%	
Real Estate Transfer	97.4	110.0	115.1										143.5	322.5	179.0	124.7%	
Racing and Exhibitions	-	-	-										0.1	0.6	(0.1)	-100.0%	
Employment Security Expense Tax	0.2	0.2	0.2										0.1	0.1	0.0	0.0%	
Total Other Taxes	218.7	228.3	225.3										417.3	672.3	254.8	61.0%	
Total Taxes	9,107.2	11,615.0	9,850.5										13,697.5	30,572.7	16,875.2	123.2%	
Miscellaneous Receipts:																	
Abandoned Property	1.3	1.0	0.9										3.1	3.1	-	0.0%	
Charitable Donations	1.0	0.3	8.1										21.0	8.4	(12.2)	-56.5%	
Assessments:																	
Business	63.7	5.1	61.3										130.1	130.1	(16.8)	-11.4%	
Medical Care	484.5	533.9	544.9										1,544.1	1,563.3	19.2	1.2%	
Public Utilities	1.5	-	0.7										0.5	0.1	(1.4)	-28.0%	
Other	-	0.1	-										0.1	0.1	-	0.0%	
Fees, Licenses and Permits:																	
Alcohol Beverage Control/Licensing	5.3	5.5	7.0										8.0	17.8	9.8	122.5%	
Audit Fees	-	-	-										0.1	-	(0.1)	-100.0%	
Business/Professional	52.8	47.4	125.0										251.4	225.0	(26.4)	-10.5%	
Civil	25.9	28.2	18.9										13.1	73.0	59.9	457.3%	
Criminal	1.0	0.3	0.9										2.2	2.2	1.0	83.3%	
Motor Vehicle	48.8	43.4	79.2										172.4	172.4	28.0	16.3%	
Professional/Consumer	5.8	5.8	5.8										5.8	5.8	-	0.0%	
Fees, Recitals and Forfeitures	47.5	41.4	48.1										346.3	138.0	(208.3)	-60.2%	
Gaming:																	
Casino	34.2	14.2	17.1										-	65.5	65.5	100.0%	
Lottery	198.5	213.5	238.9										472.9	651.9	179.0	37.9%	
Video Lottery	75.9	77.9	101.9										50.7	255.7	255.1	503.5%	
Interest Earnings	5.0	3.8	3.6										12.4	13.8	1.4	11.3%	
Proceeds from Sale of Public Utilities	6.7	2.2	4.9										15.8	15.8	-	0.0%	
Proceeds from Public Authorities:																	
Bond Proceeds	-	-	-										-	-	(4,500.0)	-100.0%	
Cost Recovery Assessments	-	-	-										-	-	-	0.0%	

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2021-2022
(amounts in millions)**

	2021	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022	JANUARY	FEBRUARY	MARCH	3 Months Ended June 30	\$ Increase/ (Decrease)	% Increase/ Decrease
Issuance Fees	2.7	2.3	1.7	-	-	-	-	-	-	-	6.7	27.4	9.2	27.4	(20.7)	-75.5%	
Non-Bond Related	0.2	-	0.2	-	-	-	-	-	-	-	0.2	0.2	9.2	(9.0)	-97.8%		
Rentals	42.0	2.0	9.1	-	-	-	-	-	-	-	53.1	(48.2)	101.3	101.3	210.2%		
Revenues of State Departments:																	
Recoveries	46.8	9.2	36.0	-	-	-	-	-	-	-	91.0	59.2	31.8	31.8	53.7%		
Commissions	0.9	0.8	0.2	-	-	-	-	-	-	-	1.9	0.5	1.4	(0.5)	-280.0%		
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Gifts, Grants and Donations	0.7	0.8	0.5	-	-	-	-	-	-	-	2.0	24.2	(22.2)	(22.2)	-91.7%		
Indirect Cost Recoveries	4.9	5.7	6.3	-	-	-	-	-	-	-	16.9	18.3	(1.4)	(1.4)	-7.7%		
Patient/Care Reimbursement	289.9	233.6	214.5	-	-	-	-	-	-	-	733.9	1,249.9	(516.0)	(516.0)	-41.3%		
Revolving Funds	1.2	1.2	1.2	-	-	-	-	-	-	-	3.6	1.6	1.6	1.6	133.3%		
Revolving and Settlements	1.2	6.8	7.4	-	-	-	-	-	-	-	18.6	14.6	13.5	13.5	275.5%		
Student Loans	2.4	5.6	1.3	-	-	-	-	-	-	-	9.3	10.7	(1.4)	(1.4)	-13.1%		
All Other	84.7	60.2	59.0	-	-	-	-	-	-	-	203.9	6.5	197.4	197.4	3,036.9%		
Sales	2.3	0.5	1.7	-	-	-	-	-	-	-	4.5	3.7	0.8	0.8	21.6%		
Tuition	(75.7)	41.8	51.3	-	-	-	-	-	-	-	17.2	23.0	(5.8)	(5.8)	-25.2%		
Total Miscellaneous Receipts	1,534.9	1,432.8	1,744.1	-	-	-	-	-	-	-	4,711.8	3,866.0	(4,174.2)	(4,174.2)	-47.0%		
Federal Receipts	0.2	-	-	-	-	-	-	-	-	-	0.2	4.1	-	(3.9)	-95.1%		
Total Receipts	10,642.3	13,047.8	11,594.6	-	-	-	-	-	-	-	35,284.7	22,587.6	12,697.1	12,697.1	56.2%		
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	524.3	3,896.3	3,163.3	-	-	-	-	-	-	-	7,569.9	8,228.5	(644.6)	(644.6)	-7.8%		
Education and Recreation	1.1	0.9	0.4	-	-	-	-	-	-	-	2.4	0.9	1.5	(1.4)	-1435.0%		
General Government	13.0	66.6	466.5	-	-	-	-	-	-	-	536.1	520.7	15.4	15.4	3.0%		
Public Health:																	
Medicaid	3,127.9	1,854.6	2,221.1	-	-	-	-	-	-	-	7,303.6	5,012.8	2,290.7	2,290.7	45.7%		
Other Public Health	86.2	149.3	587.8	-	-	-	-	-	-	-	473.5	348.8	73.7	73.7	16.7%		
Public Safety	23.8	49.6	39.4	-	-	-	-	-	-	-	112.8	36.5	76.3	76.3	208.0%		
Public Works	46.5	100.0	200.0	-	-	-	-	-	-	-	360.5	200.0	160.5	160.5	43.3%		
Sports and Recreational	4.3	9.2	211.8	-	-	-	-	-	-	-	238.3	20.0	20.0	20.0	893.2%		
Transportation	206.5	430.1	239.6	-	-	-	-	-	-	-	937.2	421.9	815.3	815.3	668.8%		
Total Local Assistance Grants	4,031.6	6,636.1	7,266.9	-	-	-	-	-	-	-	17,956.6	14,711.7	3,244.9	3,244.9	22.1%		
Departmental Operations:																	
Personal Service	1,107.2	1,314.4	709.8	-	-	-	-	-	-	-	2,948.4	3,535.2	(586.8)	(586.8)	-16.6%		
Non-Personal Service	362.5	470.3	374.0	-	-	-	-	-	-	-	1,206.8	1,252.0	(45.2)	(45.2)	-3.6%		
Capital State Changes	870.1	2,340.0	468.9	-	-	-	-	-	-	-	3,678.0	3,465.4	212.6	212.6	6.2%		
Debt Sales	122.4	40.5	(21.8)	-	-	-	-	-	-	-	141.1	88.9	52.2	52.2	56.7%		
Financing Agreements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Total Disbursements	6,453.8	10,640.3	8,797.8	-	-	-	-	-	-	-	25,931.9	23,053.2	2,878.7	2,878.7	12.5%		
Excess (Deficiency) of Receipts over Disbursements	4,188.5	2,407.5	2,796.8	-	-	-	-	-	-	-	9,352.8	(465.0)	9,818.4	9,818.4	2,105.8%		
OTHER FINANCING SOURCES (USES):																	
Transfers to Other Funds (*)	4,895.9	5,698.8	5,640.2	-	-	-	-	-	-	-	16,495.9	7,410.1	9,085.8	9,085.8	123.6%		
Transfers to Other Funds (**)	(5,216.4)	(6,175.4)	(6,296.4)	-	-	-	-	-	-	-	(17,688.2)	(6,747.6)	10,940.6	10,940.6	162.1%		
Total Other Financing Sources (Uses)	(320.5)	(206.6)	(656.2)	-	-	-	-	-	-	-	(1,192.3)	662.5	(1,854.8)	(1,854.8)	-280.0%		
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,868.0	2,200.9	2,140.6	-	-	-	-	-	-	-	8,160.5	196.9	7,963.6	7,963.6	4,044.5%		
Ending Fund Balance	\$ 18,753.4	\$ 20,954.3	\$ 20,044.9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,094.9	\$ 14,665.2	\$ 8,489.7	\$ 8,489.7	58.1%		

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities that are financed through the State General Fund, State Special Revenue Funds, Federal funds and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

EXHIBIT F

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021												3 Months Ended June 30	% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH			2020
Beginning Fund Balance	\$ 9,160.8	\$ 12,217.6	\$ 14,336.5										\$ 8,944.2	\$ 216.6	2.4%
RECEIPTS:															
Taxes:															
Personal Income Tax:															
Withholdings	3,601.8	3,217.7	3,702.6										9,211.9	1,310.2	14.2%
Estimated Payments	3,342.2	6,128.7	2,740.7										1,755.5	10,436.1	587.6%
Returns	913.6	2,184.3	138.6										724.7	2,512.8	346.7%
State City Offsets	(203.0)	(284.7)	(11.9)										(685.3)	351.3	11.3%
Other (e.g., LLC)	7,888.7	11,353.2	6,672.6										11,741.6	14,059.9	119.4%
Gross Receipts	7,888.7	11,353.2	6,672.6										11,741.6	14,059.9	119.4%
Transfers to School Tax Relief Fund	(3,382.9)	(4,916.5)	(2,910.3)										(4,316.9)	6,772.8	156.9%
Transfers to Revenue Bond Tax Fund	(1,282.9)	(1,520.2)	(652.0)										(3,140.7)	5,144.4	16.4%
Refunds Issued	3,292.9	4,916.5	2,910.3										4,317.0	6,772.7	156.9%
Total Personal Income Tax	3,292.9	4,916.5	2,910.3										4,317.0	6,772.7	156.9%
Current and Use Taxes:															
Auto Rental	282.8	295.6	403.0										1,337.2	(345.6)	-25.9%
Cigarette/Tobacco Products	28.6	24.8	25.7										78.6	0.5	0.6%
Motor Fuel	-	-	-										-	-	0.0%
Alcoholic Beverage	23.0	21.8	21.7										70.9	(4.4)	-6.2%
Highway Use	-	-	-										-	-	0.0%
Retail Excise	-	-	-										-	-	0.0%
Open Space	6.7	0.1	-										7.2	(0.4)	-5.6%
Total Consumption/Use Taxes	357.1	342.3	450.4										1,463.9	(350.1)	-23.4%
Business Taxes:															
Corporation Franchise	613.8	66.2	1,187.8										513.3	1,354.5	283.9%
Corporation and Utilities	28.6	0.6	63.7										84	84	9.9%
Insurance	73.0	54.8	328.4										385.3	60.9	15.4%
Parole/Bond Business	14.2	(17.1)	0.3										81.0	(65.4)	-8.0%
Total Business Taxes	729.6	104.5	1,580.4										1,043.6	1,340.4	121.1%
Other Taxes:															
Real Property Gains	-	-	-										-	-	0.0%
Estate and Gift	119.8	117.0	108.9										272.0	73.7	27.1%
Parish/Religious	1.3	1.1	1.1										1.7	1.9	16.5%
Parish/Religious Transfer	-	-	-										-	-	0.0%
Racing and Exhibitions	-	-	-										-	(0.1)	-100.0%
Employer Compensation Expense Tax	0.1	0.1	0.1										0.1	0.2	200.0%
Total Other Taxes	121.2	118.2	110.1										273.9	75.6	27.6%
Total Receipts	4,164.8	5,481.5	5,057.2										7,164.9	7,838.6	109.4%
Miscellaneous Receipts:															
Abandoned Property	0.4	-	-										0.4	-	0.0%
Bottle Bill	1.0	0.3	8.1										21.6	(12.2)	-56.5%
Business:															
Medical Care	1.8	3.8	4.2										9.8	4.1	71.9%
Public Utilities	-	-	-										-	-	0.0%
Other	-	0.1	-										0.1	-	0.0%
Fees, Licenses and Permits:															
Alcohol Beverage Control Licensing	5.3	5.5	7.0										17.8	8.0	125.5%
Alcohol Beverage Control	14.0	20.7	33.9										68.6	46.3	48.2%
Business/Professional	20.8	22.8	13.9										4.9	52.6	1,073.5%
Criminal	0.1	0.1	0.1										0.3	-	0.0%
Motor Vehicle	20.2	15.6	54.9										(22.2)	112.9	508.6%
Recreational/Consumer	1.2	0.8	3.0										5.0	4.9	4,900.0%
Fines, Penalties and Forfeitures	41.4	32.7	30.2										328.6	(218.3)	-38.5%
Inheritance	1.5	0.6	0.5										2.9	(20.9)	-88.2%
Receipts from Municipalities	-	-	-										-	-	0.0%
Receipts from Public Authorities:															
Bond Proceeds	-	-	-										-	(4,500.0)	-100.0%
Cost Recovery Assessments	-	-	-										-	-	0.0%
Insurance Fees	-	-	-										20.2	(20.2)	-100.0%
Non-Bond Related	0.2	0.1	0.1										0.4	-	0.0%
Revenues of State Departments:															
Administrative Recoveries	0.8	0.3	15.2										16.3	(1.1)	-6.3%
Commissions	0.4	0.2	(0.4)										0.2	0.1	100.0%
Grants and Donations	-	-	-										-	-	0.0%
Indirect Cost Recoveries	4.9	5.7	6.3										18.3	(1.4)	-7.7%
Patient Care Reimbursement	38.3	6.1	(56.4)										(100.0)	(86.2)	-118.8%
Restitution and Settlements	-	-	1.6										0.6	0.4	60.0%
Total Miscellaneous Receipts	-	-	0.2										0.2	-	0.0%

EXHIBIT F

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021												2022		% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	44.8	14.2	0.0%
All Other	20.1	23.1	1.6	-	-	-	-	-	-	-	-	-	44.8	14.2	215.5%
Sales	172.5	145.1	131.0	-	-	-	-	-	-	-	-	-	443.6	5,043.5	-91.2%
Total Miscellaneous Receipts	172.5	145.1	131.0	-	-	-	-	-	-	-	-	-	443.6	5,043.5	-91.2%
Federal Receipts	0.2	-	-	-	-	-	-	-	-	-	-	-	0.2	0.2	100.0%
Total Receipts	4,637.5	5,671.6	5,198.2	-	-	-	-	-	-	-	-	-	15,447.3	12,288.4	26.5%
DISBURSEMENTS:															
Local Assistance Grants:															
Education	523.4	3,899.2	2,836.3	-	-	-	-	-	-	-	-	-	7,257.9	7,896.4	-8.1%
Environment and Recreation	-	1.7	4.0	-	-	-	-	-	-	-	-	-	5.7	0.1	5,600.0%
General Government	2.9	48.6	450.8	-	-	-	-	-	-	-	-	-	502.3	501.2	0.2%
Public Health:															
Medicaid	2,744.0	1,693.2	1,789.2	-	-	-	-	-	-	-	-	-	6,093.4	3,976.9	51.6%
Other Public Health	47.9	102.1	409.6	-	-	-	-	-	-	-	-	-	550.8	361.0	56.9%
Child Support	4.5	28.6	9.2	-	-	-	-	-	-	-	-	-	4.6	38.7	841.3%
Public Welfare	43.3	100.3	283.8	-	-	-	-	-	-	-	-	-	427.4	298.3	44.2%
Support and Regulate Business	5.0	7.0	210.4	-	-	-	-	-	-	-	-	-	222.4	15.9	1,288.7%
Transportation	9.5	22.4	16.3	-	-	-	-	-	-	-	-	-	47.2	0.1	47,100.0%
Total Local Assistance Grants	3,377.5	5,704.1	6,008.3	-	-	-	-	-	-	-	-	-	15,090.4	13,043.5	13.7%
Departmental Operations:															
Personal Services	707.6	725.4	382.2	-	-	-	-	-	-	-	-	-	1,815.2	2,149.6	-15.6%
Non-Personal Services	136.7	234.2	188.0	-	-	-	-	-	-	-	-	-	673.4	673.4	-17.7%
General State Charges	810.3	2,276.6	392.3	-	-	-	-	-	-	-	-	-	3,479.2	3,302.6	5.3%
Total Disbursements	5,032.1	8,940.3	6,966.3	-	-	-	-	-	-	-	-	-	20,938.7	19,171.1	9.2%
Excess (Deficiency) of Receipts over Disbursements	(394.6)	(3,318.7)	(1,778.1)	-	-	-	-	-	-	-	-	-	(5,491.4)	(6,982.7)	21.1%
OTHER FINANCING SOURCES (USES):															
Transfers from Revenue Bond Tax Fund	3,262.7	4,916.9	2,998.2	-	-	-	-	-	-	-	-	-	11,137.8	4,310.1	158.4%
Transfers from LGAC / STRBTF	870.0	660.1	1,351.7	-	-	-	-	-	-	-	-	-	2,911.8	1,904.6	189.1%
Transfers from CWICA Fund	86.8	110.0	115.1	-	-	-	-	-	-	-	-	-	311.8	129.7	140.5%
Transfers from Other Funds	109.0	94.8	173.1	-	-	-	-	-	-	-	-	-	375.9	352.0	6.8%
Transfers to State Capital Projects	(485.7)	(341.4)	(715.6)	-	-	-	-	-	-	-	-	-	(1,542.7)	284.1	643.0%
Transfers to All Other Capital Projects	(182.6)	21.3	(100.9)	-	-	-	-	-	-	-	-	-	(100.5)	(30.5)	233.5%
Transfers to All Other State Funds	(227.8)	(54.1)	(817.2)	-	-	-	-	-	-	-	-	-	(1,178.1)	66.0	2,634.6%
Total Other Financing Sources (Uses)	3,451.4	5,457.6	2,886.0	-	-	-	-	-	-	-	-	-	11,765.0	4,882.1	141.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,056.8	2,138.9	1,107.9	-	-	-	-	-	-	-	-	-	6,305.6	(2,886.0)	403.0%
Ending Fund Balance	\$ 12,217.6	\$ 14,356.5	\$ 15,464.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 15,464.4	\$ 6,803.6	125.3%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2021												2022		3 Months Ended June 30		% Increase/Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	Intra-Fund Eliminations (1)	2021	2020	\$ Increase/Decrease	
Beginning Fund Balance	\$ 10,669.3	\$ 13,029.9	\$ 26,502.7											\$ 10,669.3	\$ 6,312.1	\$ 4,357.2	66.0%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Consumption/Use Taxes:																	
Sales and Use	109.2	80.5	101.6											291.3	197.1	94.2	47.8%
Auto Rental	(0.2)	5.1	5.1											4.9	1.8	3.1	172.2%
Cigarette/Tobacco Products	69.7	51.9	64.9											188.7	189.3	5	2.6%
Motor Fuel	7.2	8.6	9.9											25.7	17.8	7.9	44.4%
Alcoholic Beverage	-	-	-											-	-	-	0.0%
Highway Use	0.1	-	-											0.1	0.1	0.0%	
Vapor/Electronic Cigarette Use	187.7	142.0	189.2											319.9	410.0	160.0	50.0%
Business Taxes:																	
Corporation Franchise	154.6	39.8	264.3											458.7	163.9	294.8	179.9%
Corporation and Utilities	19.3	0.5	18.1											37.9	15.8	22.1	139.9%
Insurance	(8.9)	2.5	44.9											38.5	45.4	(6.9)	-15.2%
Professional Services	30.7	39.9	40.6											111.1	85.6	25.5	29.8%
Petroleum Business	188.9	78.6	371.5											324.3	324.3	0.0%	0.0%
Total Business Taxes	388.6	221.6	590.7											1,188.9	738.2	450.7	59.8%
Miscellaneous Receipts:																	
Abandoned Property	0.9	1.0	0.8											2.7	2.7	-	0.0%
Assessments:																	
Business	69.2	40.7	61.4											171.9	191.7	(19.8)	-10.6%
Corporate Income Tax	482.2	50.7	50.7											1,553.3	1,538.4	14.9	1.0%
Public Utilities	1.5	-	0.7											2.2	0.5	1.7	340.0%
Other	-	-	-											-	-	-	0.0%
Fees, Licenses and Permits:																	
Audit Fees	38.6	26.7	91.1											165.4	206.2	(40.8)	-24.6%
Business/Professional	5.1	5.4	5.0											15.5	8.2	7.3	89.0%
Civil	0.9	0.2	0.8											1.9	0.9	1.0	111.1%
Criminal	29.6	27.8	24.3											81.7	51.2	30.5	59.6%
Motor Vehicle	65.7	49.6	63.0											198.3	79.0	119.3	151.0%
Recreational/Consumer	6.5	3.1	12.2											27.8	17.4	10.4	59.8%
Gaming, Penalties and Forfeitures	34.2	14.2	17.1											65.5	65.5	0.0%	0.0%
Casino	199.5	213.5	238.9											651.9	472.9	179.0	37.9%
Lottery	75.9	77.9	101.9											255.7	0.6	255.1	42,516.7%
Video Lottery	4.1	3.7	3.8											11.6	35.7	(24.1)	-61.5%
Interest Earnings	6.7	1.9	4.9											13.5	16.2	(2.7)	-16.2%
Reimbursements from Public Authorities:																	
Bond Proceeds	-	-	-											-	-	-	0.0%
Cost Recovery Assessments	2.7	2.3	1.7											6.7	7.2	(0.5)	-6.9%
Issuance Fees	41.8	1.9	9.0											52.7	(48.5)	101.3	208.4%
Bond Refilled	-	-	-											-	-	-	0.0%
Revenues of State Departments:																	
Administrative Recoveries	45.0	8.9	20.8											74.7	41.8	32.9	78.7%
Commissions	0.5	0.6	0.6											1.7	0.4	1.3	325.0%
Grants and Donations	0.7	0.8	0.5											2.0	24.2	(22.2)	-91.7%
Indirect Cost Recoveries	214.3	178.7	221.1											614.1	1,094.5	(480.4)	-43.9%
Rebates	11.3	7.9	14.2											33.4	35.5	(2.1)	-5.9%
Patent/Client Care Reimbursement	1.2	5.6	1.2											9.3	10.7	(1.4)	-13.1%
Student Loan	64.8	38.6	58.5											161.9	(7.1)	169.0	2,380.3%
All Other	2.2	0.5	1.7											4.4	1.9	2.5	131.6%
Sales	(75.7)	41.6	51.3											17.2	23.0	(5.8)	-25.2%
Tuition	1,322.5	1,299.0	1,374.5											4,286.0	3,871.0	415.0	10.7%
Total Miscellaneous Receipts	7,189.4	18,222.9	7,375.0											22,234.0	22,234.0	0.0	0.0%
Federal Receipts	8,877.5	19,743.5	9,510.2											38,131.2	26,787.2	11,344.0	42.3%

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021												2020		% Increase/ Decrease		
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	Intra-Fund Eliminations (1)	2021		2020	
DISBURSEMENTS:																	
Local Assistance Grants:																	
Environment and Recreation	273.7	478.2	1,195.4											1,333.5	1,333.5	613.8	46.0%
General Government	0.4	0.3	0.1											0.5	0.3	0.3	60.0%
Public Health:	21.3	39.9	15.7											25.9	51.0	51.0	196.9%
Medicaid	3,758.1	4,251.9	4,750.7											12,715.7	12,512.3	59.2	0.8%
Public Health	158.0	156.0	158.6											1,191.5	1,191.5	27.2	1.4%
Public Safety	133.2	108.0	158.6											313.8	313.8	76.0	24.2%
Public Welfare	156.7	200.6	496.6											855.9	414.4	441.5	106.5%
Support and Regulate Business	0.5	2.2	1.8											7.5	(3.0)	44.1	106.5%
Transportation	201.4	411.2	287.4											1,523.9	1,523.9	757.2	57.7%
Total	3,893.2	5,393.2	7,326.0											16,353.5	16,353.5	2,322.2	14.2%
Departmental Operations:																	
Personal Service	450.6	456.9	785.3											1,672.2	1,672.2	20.6	1.2%
Non-Personal Service	382.5	339.6	681.9											819.1	584.9	234.2	71.4%
General State Charges	85.2	90.4	233.0											249.1	199.5	49.6	64.0%
Debt Service, including Payments on Prior Obligations	-	-	42.3											-	-	42.3	100.0%
Capital Projects	-	-	-											-	-	-	0.0%
Total Disbursements	6,014.5	6,886.1	9,562.5											22,465.1	19,353.0	3,112.1	16.2%
Excess (Deficiency) of Receipts over Disbursements	2,863.0	12,857.4	(52.3)											15,668.1	7,453.6	8,214.5	110.2%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	263.5	151.0	908.3											1,162.4	1,162.4	57.0	5.2%
Transfers to Other Funds	(169.5)	(139.5)	(242.7)											(335.3)	(335.3)	(16.0)	-3.7%
Total Other Financing Sources (Uses)	97.6	15.4	665.6											778.6	707.0	71.6	10.1%
Excess (Deficiency) of Receipts and Disbursements and Other Financing Uses	2,960.6	12,872.8	613.3											16,446.7	8,160.6	8,286.1	101.5%
Ending Fund Balance	\$ 13,629.9	\$ 26,502.7	\$ 27,116.0	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 27,116.0	\$ 14,472.7	\$ 12,643.3	87.4%

(1) Intra-Fund transfer eliminations represent transfers between Special Revenue State and Federal Funds.

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2022												3 Months Ended June 30	% Increase/Decrease		
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH			2020	2021
Beginning Fund Balance	\$ 5,708.6	\$ 6,329.3	\$ 6,294.9										\$ 5,400.7	\$ 5,708.6	\$ 307.9	5.7%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Consumption/Use Taxes	109.2	80.5	101.6	-	-	-	-	-	-	-	-	-	197.1	291.3	94.2	47.8%
Auto Rental	(0.2)	-	5.1	-	-	-	-	-	-	-	-	-	4.8	4.9	3.1	172.2%
Cigarette/Tobacco Products	69.7	51.8	64.9	-	-	-	-	-	-	-	-	-	180.3	186.4	6.1	3.4%
Medical Marijuana	1.5	1.1	1.1	-	-	-	-	-	-	-	-	-	3.7	3.7	1.9	105.6%
Alcoholic Beverage	7.2	8.6	9.9	-	-	-	-	-	-	-	-	-	17.8	23.7	7.9	44.4%
Highway Use	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Vapor Excise	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.1	0.0	0.0%
Total Consumption/Use Taxes	187.7	142.0	189.2	6.6	-	-	-	-	-	-	-	-	410.7	515.9	105.2	42.4%
Business Taxes	154.6	39.8	284.3	-	-	-	-	-	-	-	-	-	163.9	458.7	294.8	179.9%
Corporation Franchise	18.3	0.5	18.1	-	-	-	-	-	-	-	-	-	15.8	37.9	22.1	139.9%
Corporation and Utilities	(8.9)	2.5	44.8	-	-	-	-	-	-	-	-	-	45.4	(6.9)	-15.2%	
Insurance	3.7	(3.0)	3.7	-	-	-	-	-	-	-	-	-	14.6	14.6	0.0%	
Bank	30.7	79.6	47.6	-	-	-	-	-	-	-	-	-	89.6	111.0	21.4%	
Petroleum Business	185.9	79.6	371.5	-	-	-	-	-	-	-	-	-	325.5	325.5	0.0%	
Total Business Taxes	386.6	221.6	560.7	-	-	-	-	-	-	-	-	-	736.2	1,168.9	432.7	58.8%
Miscellaneous Receipts:																
Abandoned Property	0.9	1.0	0.8	-	-	-	-	-	-	-	-	-	2.7	2.7	-	0.0%
Assessments:																
Business	63.7	5.1	61.3	-	-	-	-	-	-	-	-	-	148.9	100.1	(63.9)	-41.1%
Public Care	482.7	530.1	540.7	-	-	-	-	-	-	-	-	-	1,539.5	1,539.5	15.7	1.0%
Public Utilities	1.5	-	0.7	-	-	-	-	-	-	-	-	-	0.5	2.2	1.7	340.0%
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Audit Fees	-	-	-	-	-	-	-	-	-	-	-	-	0.1	-	(0.1)	-100.0%
Business/Professional	38.6	26.7	91.1	-	-	-	-	-	-	-	-	-	205.1	156.4	(48.7)	-23.7%
Civil	5.1	5.4	5.0	-	-	-	-	-	-	-	-	-	6.2	7.3	89.0%	
Criminal	0.9	0.2	0.8	-	-	-	-	-	-	-	-	-	0.9	1.0	11.1%	
Motor Vehicle	28.9	27.8	24.3	-	-	-	-	-	-	-	-	-	51.2	30.5	-59.6%	
Recreational/Consumer	69.7	48.9	63.3	-	-	-	-	-	-	-	-	-	148.3	148.3	0.0%	
Fines, Penalties and Forfeitures	6.1	8.7	11.9	-	-	-	-	-	-	-	-	-	16.7	10.0	-59.9%	
Gaming:																
Casino	34.2	14.2	17.1	-	-	-	-	-	-	-	-	-	65.5	65.5	100.0%	
Lottery	199.5	213.5	238.9	-	-	-	-	-	-	-	-	-	472.9	651.9	179.0	37.9%
Video Lottery	75.9	77.9	101.9	-	-	-	-	-	-	-	-	-	0.6	255.7	255.1	42,516.7%
Interest Earnings	3.5	3.2	3.1	-	-	-	-	-	-	-	-	-	27.4	9.8	(71.6)	-84.2%
Receipts from Municipalities	6.7	1.9	4.9	-	-	-	-	-	-	-	-	-	15.2	13.5	(11.7)	-41.2%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Charter Fees	2.7	2.3	1.7	-	-	-	-	-	-	-	-	-	7.2	6.7	(0.5)	-6.9%
License Fees	0.2	-	-	-	-	-	-	-	-	-	-	-	9.2	0.2	(9.0)	-97.8%
Non Bond Related	41.8	1.9	9.0	-	-	-	-	-	-	-	-	-	(48.6)	52.7	101.3	208.4%
Revenues of State Departments:																
Administrative Recoveries	45.0	8.9	20.8	-	-	-	-	-	-	-	-	-	41.8	74.7	41.8	78.7%
Commissions	0.5	0.6	0.6	-	-	-	-	-	-	-	-	-	0.4	1.7	1.3	325.0%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	0.7	0.8	0.5	-	-	-	-	-	-	-	-	-	24.2	2.0	(22.2)	-91.7%
Indirect Cost Recoveries	21.3	178.7	221.1	-	-	-	-	-	-	-	-	-	1,094.5	614.1	(480.4)	-43.9%
Patient/Care Reimbursement	4.2	9.6	7.2	-	-	-	-	-	-	-	-	-	14.7	14.7	0.0%	
Residuals and Settlements	1.2	9.8	7.2	-	-	-	-	-	-	-	-	-	18.2	18.2	0.0%	
Student Loans	2.4	5.6	1.3	-	-	-	-	-	-	-	-	-	10.7	9.3	(1.4)	-13.1%
All Other	64.6	37.1	57.4	-	-	-	-	-	-	-	-	-	(7.7)	159.1	166.8	2,166.2%
Sales	2.2	0.5	1.7	-	-	-	-	-	-	-	-	-	4.4	1.9	2.5	131.6%
Tuition	(75.7)	41.6	51.3	-	-	-	-	-	-	-	-	-	23.0	17.2	(5.8)	-25.2%
Total Miscellaneous Receipts	1,318.2	1,253.6	1,565.3	-	-	-	-	-	-	-	-	-	3,738.7	4,138.1	398.4	10.7%
Federal Receipts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Receipts	1,705.8	1,475.2	2,126.0	-	-	-	-	-	-	-	-	-	4,479.0	5,307.0	828.0	18.5%

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2022												3 Months Ended June 30		% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020		
DISBURSEMENTS:																
Local Assistance Grants	0.9	(2.9)	328.0										328.0	332.1	(6.1)	-1.8%
Education	0.1	0.2	0.1										0.3	0.3	0.1	33.3%
Environment and Recreation	10.1	16.0	5.7										33.8	19.5	14.3	73.3%
General Government																
Public Health	386.9	461.4	421.9										1,270.2	1,033.0	237.2	23.0%
Medicaid	37.3	47.2	167.0										271.5	122.5	149.0	121.6%
Other Public Health	19.3	20.0	30.2										69.5	31.9	37.6	117.9%
Public Safety	0.2	0.2	0.5										0.9	0.3	0.6	200.0%
Public Welfare	0.3	2.2	1.4										3.9	4.8	(0.9)	-16.8%
Support and Regulate Business	198.0	407.7	283.3										890.0	121.8	768.2	650.7%
Transportation	658.1	954.0	1,258.1										2,886.2	1,866.2	1,020.0	72.0%
Total Local Assistance Grants	990.6	486.0	337.6										1,133.2	1,385.6	(252.4)	-18.2%
Departmental Operations:																
General State Services	226.6	233.9	216.8										1,376.3	565.6	116.7	19.6%
Non-Public Services	59.8	63.4	76.6										189.8	162.8	27.0	22.7%
Capital Projects	-	-	-										-	-	-	0.0%
Total Disbursements	1,339.1	1,657.3	1,879.1										4,875.5	3,780.2	1,095.3	29.0%
Excess (Deficiency) of Receipts over Disbursements	366.7	(182.1)	246.9										431.5	898.8	(267.3)	-38.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	263.5	151.0	908.3										1,322.8	1,161.6	161.2	13.9%
Transfers to Other Funds	(8.5)	(3.3)	(67.3)										(70.1)	(14.7)	55.4	376.9%
Total Other Financing Sources (Uses)	255.0	147.7	851.0										1,252.7	1,146.9	105.8	9.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	621.7	(34.4)	1,097.9										1,684.2	1,845.7	(161.5)	-8.8%
Ending Fund Balance	\$ 6,328.3	\$ 6,284.9	\$ 7,392.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,392.8	\$ 7,246.4	\$ 146.4	2.0%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2022												% Increase/ Decrease			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		3 Months Ended June 30 2020 (Decrease)		
Beginning Fund Balance	\$ 4,980.7	\$ 7,300.6	\$ 20,207.8										\$ 4,980.7	\$ 911.4	\$ 4,048.3	-444.3%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessed Property	5.5	35.6	0.1										41.2	44.8	(3.6)	-8.0%
Business:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Medical Care	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Criminal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	0.4	0.4	0.3										1.1	0.7	0.4	57.1%
Fines, Penalties and Forfeitures	0.6	0.5	0.7										1.8	8.3	(6.5)	-78.3%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Commissions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Patent/Client Care Reimbursement	6.6	7.4	7.0										21.0	23.9	(2.9)	-12.1%
Rebates	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Student Loans	0.2	1.5	1.1										2.8	0.6	2.2	366.7%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Tuition	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts	13.3	45.4	9.2										67.9	78.3	(10.4)	-13.3%
Federal Receipts	7,158.4	18,222.9	7,375.0										32,759.3	22,229.9	10,529.4	47.4%
Total Receipts	7,171.7	18,268.3	7,384.2										32,824.2	22,308.2	10,516.0	47.1%

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2022												3 Months Ended June 30		% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020		
DISBURSEMENTS:																
Local Assistance Grants:																
Education	272.8	481.1	867.4	-	-	-	-	-	-	-	-	-	1,001.4	619.9	61.9%	
Environment and Recreation	0.3	0.1	-	-	-	-	-	-	-	-	-	-	0.2	0.2	100.0%	
General Government	11.2	21.9	10.0	-	-	-	-	-	-	-	-	-	6.4	36.7	573.4%	
Public Health:																
Medicaid	3,371.2	3,740.5	4,328.8	-	-	-	-	-	-	-	-	-	11,490.5	11,579.5	-1.2%	
Other Public Health	521.6	509.7	728.7	-	-	-	-	-	-	-	-	-	1,638.0	1,338.2	7.5%	
Public Welfare	103.9	88.0	128.4	-	-	-	-	-	-	-	-	-	320.3	38.4	13.8%	
Public Works	158.5	200.4	486.1	-	-	-	-	-	-	-	-	-	855.0	414.1	105.5%	
Support and Regulate Business	0.2	-	0.4	-	-	-	-	-	-	-	-	-	0.6	2.7	(2.1)	
Transportation	2.4	3.5	4.1	-	-	-	-	-	-	-	-	-	10.0	(1.0)	-77.8%	
Total Local Assistance Grants	4,442.1	5,045.2	6,561.9	-	-	-	-	-	-	-	-	-	16,049.2	14,927.0	1,122.2	
Departmental Operations:																
Personal Service	51.0	50.9	457.7	-	-	-	-	-	-	-	-	-	559.6	286.6	95.3%	
Non-Personal Service	196.9	105.7	465.1	-	-	-	-	-	-	-	-	-	727.7	474.2	187.1%	
General State Charges	25.4	-	156.4	-	-	-	-	-	-	-	-	-	208.8	86.3	122.5	
Other Service, Including Payments on	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Capital Projects	-	-	42.3	-	-	-	-	-	-	-	-	-	42.3	42.3	100.0%	
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Total Disbursements	4,675.4	5,228.8	7,683.4	-	-	-	-	-	-	-	-	-	17,867.6	15,553.4	2,034.2	
Excess (Deficiency) of Receipts over Disbursements	2,496.3	13,039.5	(289.2)	-	-	-	-	-	-	-	-	-	15,236.6	6,754.8	8,481.8	
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Transfers to Other Funds	(156.4)	(132.3)	(185.4)	-	-	-	-	-	-	-	-	-	(474.1)	(439.9)	34.2	
Total Other Financing Sources (Uses)	(156.4)	(132.3)	(185.4)	-	-	-	-	-	-	-	-	-	(474.1)	(439.9)	34.2	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,339.9	12,907.2	(484.6)	-	-	-	-	-	-	-	-	-	14,762.5	6,314.9	8,447.6	
Ending Fund Balance	7,300.6	20,207.8	19,723.2	19,723.2	19,723.2	19,723.2	19,723.2	19,723.2	19,723.2	19,723.2	19,723.2	19,723.2	19,723.2	12,496.9	172.9%	

EXHIBIT H

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2022												2021	3 Months Ended June 30	% Increase/ Decrease	% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ 65.0	\$ 206.5	\$ 302.9										\$ 65.0	\$ 63.4	\$ 1.6	2.5%
RECEIPTS:																
Taxes:																
Personal Income Tax	3,262.9	4,916.5	2,910.3										11,089.7	4,316.9	6,772.8	156.9%
Consumption/Use Taxes:																
Sales and Use	895.4	885.3	1,207.1										2,987.8	1,335.9	1,651.9	123.7%
Total Consumption/Use Taxes	895.4	885.3	1,207.1										2,987.8	1,335.9	1,651.9	123.7%
Other Taxes:																
Real Estate Transfer	97.4	110.0	115.1										322.5	143.5	179.0	124.7%
Employer Compensation Expense Tax	0.1	0.1	0.1										0.3	0.1	0.2	200.0%
Total Other Taxes	97.5	110.1	115.2										322.8	143.6	179.2	124.8%
Total Taxes	4,255.8	5,911.9	4,232.6										14,400.3	5,786.4	8,603.9	148.4%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-										-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-										-	-	-	0.0%
Business/Professional	-	-	-										-	-	-	0.0%
Civil	-	-	-										-	-	-	0.0%
Criminal	-	-	-										-	-	-	0.0%
Motor Vehicle	-	-	-										-	-	-	0.0%
Recreational/Consumer	-	-	-										-	-	-	0.0%
Interest Earnings	-	-	-										-	-	-	0.0%
Receipts from Municipalities	-	0.3	-										0.3	0.2	(0.2)	-100.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-										-	-	-	0.0%
Rentals	-	-	-										-	-	-	0.0%
Revenues of State Departments:																
Patent/Client Care Reimbursement	43.2	38.8	47.8										129.8	103.2	26.6	25.8%
All Other	-	-	-										-	-	-	0.0%
Sales	-	-	-										-	-	-	0.0%
Total Miscellaneous Receipts	43.2	39.1	47.8										130.1	103.8	26.3	25.3%
Federal Receipts																
Total Receipts	4,299.0	5,951.0	4,280.4										14,530.4	5,900.2	8,630.2	146.3%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.2	2.2	(25.8)										(23.4)	13.0	(36.4)	-280.0%
Debt Service, Including Payments on Financing Agreements	122.4	40.5	(21.8)										141.1	88.9	52.2	58.7%
Total Disbursements	122.6	42.7	(47.6)										117.7	101.9	15.8	15.5%
Excess (Deficiency) of Receipts over Disbursements	4,176.4	5,908.3	4,328.0										14,412.7	5,798.3	8,614.4	148.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	295.9	6.0	133.8										435.7	449.5	(13.8)	-3.1%
Transfers to Other Funds	(4,330.8)	(5,817.9)	(4,527.0)										(14,675.7)	(5,816.0)	8,859.7	152.3%
Total Other Financing Sources (Uses)	(4,034.9)	(5,811.9)	(4,393.2)										(14,240.0)	(5,366.5)	(8,873.5)	-165.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	141.5	96.4	(65.2)										172.7	431.8	(258.1)	-60.0%
Ending Fund Balance	\$ 206.5	\$ 302.9	\$ 237.7										\$ 237.7	\$ 485.2	\$ (257.5)	-52.0%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021												3 Months Ended June 30		% Increase/ Decrease		
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	Eliminations (*)	2021		2020	
Beginning Fund Balance	\$ (1,144.0)	\$ (1,121.8)	\$ (1,346.9)											\$ (1,144.0)	\$ (1,034.9)	\$ (109.1)	-10.5%
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	2.1	-	17.5	-	-	-	-	-	-	-	-	-	-	19.6	10.8	8.8	81.5%
Motor Fuel	26.9	31.7	36.7	-	-	-	-	-	-	-	-	-	-	95.3	65.4	29.9	45.7%
Highway Use	14.5	10.5	11.6	-	-	-	-	-	-	-	-	-	-	36.6	32.8	3.8	11.6%
Total Consumption/Use Taxes	43.5	42.2	65.8											151.5	109.0	42.5	39.0%
Business Taxes:																	
Corporation Franchise	3.1	-	1.4	-	-	-	-	-	-	-	-	-	-	4.5	(0.6)	5.3	66.2%
Petroleum Business	38.2	50.4	52.0	-	-	-	-	-	-	-	-	-	-	140.6	109.2	32.4	29.6%
Total Business Taxes	41.3	50.4	53.4											145.1	107.4	37.7	35.1%
Other Taxes:																	
Real Estate Transfer	-	-	11.9	-	-	-	-	-	-	-	-	-	-	11.9	11.9	-	0.0%
Total Other Taxes	-	-	11.9											11.9	11.9	-	0.0%
Total Taxes	84.8	92.6	131.1											308.5	228.3	80.2	35.1%
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-	-	-	-	-	-	-	-	-	-	23.0	-	23.0	100.0%
Assessments:																	
Business	10.0	7.6	6.0	-	-	-	-	-	-	-	-	-	-	23.6	16.9	6.7	39.6%
Fees, Licenses and Permits:																	
Business/Professional	1.3	1.7	2.1	-	-	-	-	-	-	-	-	-	-	5.1	5.5	(0.4)	-7.3%
Motor Vehicle	79.2	69.1	60.6	-	-	-	-	-	-	-	-	-	-	208.9	128.5	80.4	62.8%
Recreational/Consumer	1.5	2.9	1.5	-	-	-	-	-	-	-	-	-	-	5.9	0.5	5.4	1,080.0%
Fines, Penalties and Forfeitures	2.5	0.8	2.8	-	-	-	-	-	-	-	-	-	-	6.1	5.9	0.2	3.4%
Interest Earnings	-	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	1.3	(1.2)	-92.3%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	0.1	(0.1)	-100.0%
Receipts from Public Authorities:																	
Bond Proceeds	78.4	0.5	3.0	-	-	-	-	-	-	-	-	-	-	81.9	1,483.6	(1,401.7)	-94.5%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	0.5	2.5	0.8	-	-	-	-	-	-	-	-	-	-	3.8	0.6	3.2	533.3%
Rentals	3.3	0.8	1.4	-	-	-	-	-	-	-	-	-	-	5.5	3.7	1.8	48.6%
Revenues of State Departments:																	
Administrative Recoveries	1.8	-	2.9	-	-	-	-	-	-	-	-	-	-	-	6.5	(0.5)	-7.0%
Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rebates	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	0.3	1.3	1.6	-	-	-	-	-	-	-	-	-	-	3.2	3.3	(0.1)	-3.0%
All Other	2.4	1.9	11.7	-	-	-	-	-	-	-	-	-	-	16.0	14.1	1.9	13.5%
Sales	0.1	-	11.4	-	-	-	-	-	-	-	-	-	-	0.1	-	0.1	100.0%
Total Miscellaneous Receipts	181.3	96.5	117.4											389.2	1,670.5	(1,281.3)	-76.7%
Federal Receipts	5.6	23.3	110.1	-	-	-	-	-	-	-	-	-	-	139.0	356.3	(217.3)	-61.0%
Total Receipts	271.7	206.4	358.6											836.7	2,255.1	(1,418.4)	-62.9%

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021												3 Months Ended June 30			% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	
													2020	2020	(Decrease)	
DISBURSEMENTS:																
Local Assistance Grants:																
Education	13.5	25.0	45.5	-	-	-	-	-	-	-	-	-	84.0	16.9	67.1	387.0%
Environment and Recreation	28.0	7.6	15.7	-	-	-	-	-	-	-	-	-	52.3	21.6	30.7	142.1%
General Government	1.27	41.7	37.9	-	-	-	-	-	-	-	-	-	92.3	82.8	9.5	11.5%
Public Health:																
Medicaid	-	45.1	29.7	80.9	-	-	-	-	-	-	-	-	155.7	118.1	37.6	31.8%
Other Public Health	0.4	1.8	28.3	-	-	-	-	-	-	-	-	-	30.5	3.8	26.7	702.8%
Public Welfare	28.2	53.8	124.9	-	-	-	-	-	-	-	-	-	205.6	107.5	101.1	84.0%
Support and Regulate Business	21.2	57.3	142.9	-	-	-	-	-	-	-	-	-	207.5	127.2	80.3	63.1%
Total Local Assistance Grants	181.7	377.7	788.0	-	-	-	-	-	-	-	-	-	488.4	120.6	367.8	305.0%
Total Local Assistance Grants	331.8	253.6	748.1	-	-	-	-	-	-	-	-	-	1,334.5	547.0	787.5	144.0%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	398.2	514.1	831.4	-	-	-	-	-	-	-	-	-	1,543.7	1,587.6	(53.9)	-3.4%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	730.0	767.7	1,380.5	-	-	-	-	-	-	-	-	-	2,878.2	2,144.6	733.6	34.2%
Excess (Deficiency) of Receipts over Disbursements	(458.3)	(567.3)	(1,021.9)	-	-	-	-	-	-	-	-	-	(2,041.5)	110.5	(2,152.0)	-1,947.5%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	488.9	344.3	830.9	-	-	-	-	-	-	-	-	-	1,684.1	(246.5)	1,910.6	775.1%
Transfers to Other Funds	(8.4)	(8.1)	(23.8)	-	-	-	-	-	-	-	-	-	(40.3)	(37.0)	3.3	8.5%
Total Other Financing Sources (Uses)	480.5	336.2	807.1	-	-	-	-	-	-	-	-	-	1,623.8	(283.5)	1,907.3	672.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	22.2	(225.1)	(214.8)	-	-	-	-	-	-	-	-	-	(417.7)	(173.0)	(244.7)	-41.5%
Ending Fund Balance	\$ (1,121.8)	\$ (1,346.9)	\$ (1,561.7)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,581.7)	\$ (1,207.9)	\$ (353.8)	-28.9%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	3 Months Ended June 30												% Increase/ Decrease			
	2022											2020				
	2021	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY			FEBRUARY	MARCH	
Beginning Fund Balance		\$ (563.7)	\$ (494.1)	\$ (618.8)										\$ (472.2)	\$ (91.5)	-19.4%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	2.1	-	-	17.5										10.8	8.8	81.5%
Motor Fuel	26.9	31.7	36.7	95.3										65.4	29.9	45.7%
Highway Use	14.5	10.5	11.6	36.6										32.8	3.8	11.6%
Total Consumption/Use Taxes	43.5	42.2	65.8	151.5										109.0	42.5	39.0%
Business Taxes																
Corporation Franchise	-	-	-	-												0.0%
Corporation and Utilities	3.1	-	1.4	4.5										(0.8)	5.3	662.5%
Petroleum Business	38.2	50.4	52.0	140.6										108.2	32.4	29.9%
Total Business Taxes	41.3	50.4	53.4	145.1										107.4	37.7	35.1%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9										11.9	-	0.0%
Total Other Taxes	-	-	11.9	11.9										11.9	-	0.0%
Total Taxes	84.8	92.6	131.1	308.5										228.3	80.2	35.1%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	23.0										-	23.0	100.0%
Assessments:																
Business	10.0	7.6	6.0	23.6										16.9	6.7	39.6%
Fees, Licenses and Permits:																
Business/Professional	1.3	1.7	2.1	5.1										5.5	(0.4)	-7.3%
Civil	-	-	-	-										-	-	0.0%
Motor Vehicle	79.2	69.1	60.6	208.9										128.5	80.4	62.6%
Recreational/Consumer	1.5	2.9	1.5	5.9										0.5	5.4	1,080.0%
Fines, Penalties and Forfeitures	2.5	0.8	2.8	6.1										5.9	0.2	3.4%
Interest Earnings	-	0.1	-	0.1										1.3	(1.2)	-92.3%
Receipts from Municipalities	-	-	-	-										0.1	(0.1)	-100.0%
Receipts from Public Authorities:																
Bond Proceeds	78.4	0.5	3.0	81.9										1,483.6	(1,401.7)	-94.5%
Issuance Fees	-	-	-	-										-	-	0.0%
Non Bond Related	0.5	2.5	0.8	3.8										0.6	3.2	533.3%
Rentals	3.3	0.8	1.4	5.5										3.5	2.0	57.1%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-										-	-	0.0%
Gifts, Grants and Donations	1.8	1.3	2.9	6.0										6.5	(0.5)	-7.7%
Indirect Cost Recoveries	-	-	-	-										-	-	0.0%
Rentals	0.3	1.3	1.6	3.2										3.3	(0.1)	-3.0%
Restitution and Settlements	2.4	1.9	11.7	16.0										14.1	1.9	13.5%
All Other	0.1	-	-	0.1										-	0.1	100.0%
Total Miscellaneous Receipts	181.3	90.5	117.4	398.2										1,670.3	(1,281.1)	-76.7%
Federal Receipts																
Total Receipts	286.1	183.1	248.5	697.7										1,898.6	(1,200.9)	-63.3%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT I

	3 Months Ended June 30												% Increase/ Decrease	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2020 (Decrease)
DISBURSEMENTS:														
Local Assistance Grants:														
Education	13.5	25.0	45.5	-	-	-	-	-	-	-	-	-	16.9	67.1
Environment and Recreation	29.0	7.6	15.7	-	-	-	-	-	-	-	-	-	21.6	30.7
General Government	12.7	41.7	37.9	-	-	-	-	-	-	-	-	-	82.8	9.5
Public Health:														
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Public Health	45.1	28.7	77.2	-	-	-	-	-	-	-	-	-	118.1	33.9
Public Safety	0.4	1.8	7.1	-	-	-	-	-	-	-	-	-	0.7	8.6
Public Welfare	28.2	56.8	124.6	-	-	-	-	-	-	-	-	-	107.5	101.1
Support and Regulate Business	21.2	54.3	147.2	-	-	-	-	-	-	-	-	-	75.7	147.0
Transportation	175.8	21.0	224.4	-	-	-	-	-	-	-	-	-	421.2	399.7
Total Local Assistance Grants	325.9	236.9	679.6	-	-	-	-	-	-	-	-	-	444.8	797.6
Departmental Operations:														
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-
General State Charges	351.1	407.1	463.4	-	-	-	-	-	-	-	-	-	1,327.4	(105.8)
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	677.0	644.0	1,143.0	-	-	-	-	-	-	-	-	-	1,772.2	691.8
Excess (Deficiency) of Receipts over Disbursements	(410.9)	(460.9)	(894.5)	-	-	-	-	-	-	-	-	-	126.4	(1,892.7)
OTHER FINANCING SOURCES (USES):														
Bond and Note Proceeds (net)	-	344.3	830.9	-	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	488.9	(8.1)	(23.5)	-	-	-	-	-	-	-	-	-	(246.5)	1,910.6
Transfers to Other Funds	(8.4)	(8.1)	(23.5)	-	-	-	-	-	-	-	-	-	(37.0)	3.0
Total Other Financing Sources (Uses)	480.5	336.2	807.4	-	-	-	-	-	-	-	-	-	(283.5)	1,907.6
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	69.6	(124.7)	(87.1)	-	-	-	-	-	-	-	-	-	(157.1)	14.9
Ending Fund Balance	\$ (494.1)	\$ (618.8)	\$ (705.9)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (629.3)	\$ (76.6)

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2022												3 Months Ended June 30		% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020		
Beginning Fund Balance	\$ (580.3)	\$ (627.7)	\$ (728.1)										\$ (580.3)	\$ (562.7)	(17.6)	-3.1%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Land Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Land Use Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts														0.2	(0.2)	-100.0%
Federal Receipts	5.6	23.3	110.1										139.0	356.3	(217.3)	-61.0%
Total Receipts	5.6	23.3	110.1										139.0	356.5	(217.5)	-61.0%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Safety	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	100.0%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	583.9%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	5.9	16.7	44.6										67.2	89.1	(31.9)	-32.2%
Total Local Assistance Grants	5.9	16.7	44.6										67.2	89.1	(31.9)	-32.2%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	47.1	107.0	188.0										322.1	270.2	51.9	19.2%
Total Disbursements	53.0	123.7	237.5										414.2	372.4	41.8	11.2%
Excess (Deficiency) of Receipts over Disbursements	(47.4)	(100.4)	(127.4)										(275.2)	(15.9)	(259.3)	-1,630.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	(0.3)										(0.3)	-	0.3	100.0%
Total Other Financing Sources (Uses)	-	-	(0.3)										(0.3)	-	0.3	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(47.4)	(100.4)	(127.7)										(275.5)	(15.9)	(259.6)	-1,632.7%
Ending Fund Balance	\$ (627.7)	\$ (728.1)	\$ (855.8)										\$ (855.8)	\$ (578.6)	(277.2)	-47.9%

EXHIBIT J

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2022												3 Months Ended June 30		% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020		
Beginning Fund Balance	\$ 328.0	\$ 330.9	\$ 267.0										\$ 328.0	\$ 297	\$ 298.3	1,004.4%
RECEIPTS:																
Miscellaneous Receipts	261.8	200.8	211.8										674.4	15.4	659.0	4,279.2%
Federal Receipts	4,691.4	4,264.1	5,008.2										13,863.7	19,411.8	(5,448.1)	-28.1%
Unemployment Taxes	218.5	313.6	380.8										922.9	6,717.3	(5,794.4)	-86.3%
Total Receipts	5,171.7	4,778.5	5,610.8										15,561.0	26,144.5	(10,583.5)	-40.5%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	128.3	126.8	112.0										367.1	3.4	363.7	10,687.1%
Non-Personal Service	22.1	32.9	35.1										90.1	13.9	76.2	548.2%
General State Charges	54.3	58.7	50.8										163.8	0.5	163.3	32,660.0%
Unemployment Benefits	4,867.1	4,624.0	5,386.3										14,887.4	26,124.1	(11,136.7)	-42.6%
Total Disbursements	5,171.8	4,842.4	5,594.2										15,608.4	26,141.9	(10,533.5)	-40.3%
Excess (Deficiency) of Receipts over Disbursements	(0.1)	(63.9)	16.6										(47.4)	2.6	(50.0)	-1,923.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	3.0	-	-										3.0	3.0	-	0.0%
Transfers to Other Funds	-	-	-										-	-	-	0.0%
Total Other Financing Sources (Uses)	3.0	-	-										3.0	3.0	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	2.9	(63.9)	16.6										(44.4)	5.6	(50.0)	-892.9%
Ending Fund Balance	\$ 330.9	\$ 267.0	\$ 283.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 283.6	\$ 35.3	\$ 248.3	703.4%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT K

	3 Months Ended June 30												% Increase (Decrease)	% Increase (Decrease)	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH			2020 \$
Beginning Fund Balance	\$ (363.5)	\$ (349.4)	\$ (398.2)										\$ (297.5)	\$ (66.0)	-22.2%
RECEIPTS:															
Miscellaneous Receipts	55.2	27.3	41.4										82.4	41.5	50.4%
Total Receipts	55.2	27.3	41.4										82.4	41.5	50.4%
DISBURSEMENTS:															
Departmental Operations:															
Personal Service	9.7	11.7	3.9										36.6	(11.3)	-30.9%
Non-Personal Service	91.1	61.6	5.8										100.6	(2.1)	-2.1%
General State Charges	2.7	5.5	6.5										18.7	(4.0)	-21.4%
Total Disbursements	43.5	78.8	16.2										155.9	(17.4)	-11.2%
Excess (Deficiency) of Receipts over Disbursements	11.7	(51.5)	25.2										(73.5)	58.9	80.1%
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	2.5	2.7	38.3										55.8	(12.3)	-22.0%
Transfers to Other Funds	(0.1)	-	(4.3)										-	4.4	100.0%
Total Other Financing Sources (Uses)	2.4	2.7	34.0										55.8	(16.7)	-29.9%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	14.1	(48.8)	59.2										(17.7)	42.2	238.4%
Ending Fund Balance	\$ (349.4)	\$ (398.2)	\$ (339.0)										\$ (315.2)	\$ (23.8)	-7.6%

EXHIBIT L

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	2021												2022			3 Months Ended June 30	% Increase/Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	(Decrease)		
Beginning Fund Balance	\$ -	\$ (2.4)	\$ -	-	-	-	-	-	-	-	-	-	\$ -	\$ (1.1)	\$ 1.1	100.0%	
RECEIPTS:																	
Miscellaneous Receipts	5.9	13.1	11.9	-	-	-	-	-	-	-	-	-	30.9	19.2	11.7	60.9%	
Total Receipts	5.9	13.1	11.9	-	-	-	-	-	-	-	-	-	30.9	19.2	11.7	60.9%	
DISBURSEMENTS:																	
Departmental Operations:																	
Personal Service	5.9	5.6	5.8	-	-	-	-	-	-	-	-	-	17.3	19.4	(2.1)	-10.8%	
Non-Personal Service	0.6	1.2	1.5	-	-	-	-	-	-	-	-	-	3.3	1.9	1.4	73.7%	
General State Charges	1.8	3.9	6.8	-	-	-	-	-	-	-	-	-	12.5	12.1	0.4	3.3%	
Total Disbursements	8.3	10.7	14.1	-	-	-	-	-	-	-	-	-	33.1	33.4	(0.3)	-0.9%	
Excess (Deficiency) of Receipts over Disbursements	(2.4)	2.4	(2.2)	-	-	-	-	-	-	-	-	-	(2.2)	(14.2)	12.0	84.5%	
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(2.4)	2.4	(2.2)	-	-	-	-	-	-	-	-	-	(2.2)	(14.2)	12.0	84.5%	
Ending Fund Balance	\$ (2.4)	\$ -	\$ (2.2)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (2.2)	\$ (15.3)	\$ 13.1	85.6%	

EXHIBIT M

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

	3 Months Ended June 30												\$ Increase/ (Decrease)	% Increase/ Decrease	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH			2020 \$
Beginning Fund Balance	\$ 40.2	\$ 40.3	\$ 40.5										\$ 14.3	\$ 25.9	181.1%
RECEIPTS:															
Miscellaneous Receipts	0.1	0.2	1.3										0.4	1.2	300.0%
Total Receipts	0.1	0.2	1.3										0.4	1.2	300.0%
DISBURSEMENTS:															
Departmental Operations:															
Personal Service	-	-	-										-	-	0.0%
Non-Personal Service	-	-	-										-	-	0.0%
General State Charges	-	-	0.1										0.1	-	0.0%
Total Disbursements	-	-	0.1										0.1	-	0.0%
Excess (Deficiency) of Receipts over Disbursements	0.1	0.2	1.2										1.5	1.2	400.0%
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	-	-	-										-	-	0.0%
Transfers to Other Funds	-	-	-										-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-										-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	0.2	1.2										1.5	1.2	400.0%
Ending Fund Balance	\$ 40.3	\$ 40.5	\$ 41.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14.6	\$ 27.1	185.6%

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF JUNE 2021
(amounts in millions)

	BALANCE JUNE 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2021
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.027	\$ 6,008.684	\$ 6,008.657	\$ -
10650-10099-State Operations Account	14,327.903	5,188.228	957.363	(3,122.744)	15,436.024
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	28.638	-	0.276	-	28.362
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	14,356.541	5,188.255	6,966.323	2,885.913	15,464.386
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.807	0.003	-	-	0.810
20100-20299-Combined Expendable Trust	62.829	0.518	0.555	0.547	63.339
20300-20349-New York Interest on Lawyer Account	117.946	2.685	5.167	-	115.464
20350-20399-NYS Archives Partnership Trust	(0.029)	-	0.032	0.300	0.239
20400-20449-Child Performer's Protection	0.729	0.003	0.057	(0.011)	0.664
20450-20499-Tuition Reimbursement	8.379	0.281	0.228	-	8.432
20500-20549-New York State Local Government Records Management Improvement	7.263	0.921	0.443	(0.300)	7.441
20550-20599-School Tax Relief	0.002	-	-	-	0.002
20600-20649-Charter Schools Stimulus	0.578	-	-	4.837	5.415
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	145.655	561.090	545.599	(1.426)	159.720
20850-20899-Dedicated Mass Transportation Trust	67.305	52.413	52.249	15.665	83.134
20900-20949-State Lottery	764.148	341.029	328.207	(0.435)	776.535
20950-20999-Combined Student Loan	14.023	1.369	0.071	-	15.321
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.329)	-	0.041	-	(3.370)
21050-21149-Encon Special Revenue	0.609	7.584	6.753	(1.704)	(0.264)
21150-21199-Conservation	98.615	3.149	3.070	-	98.694
21200-21249-Environmental Protection and Oil Spill Compensation	11.478	5.376	1.555	(2.782)	12.517
21250-21299-Training and Education Program on OSHA	3.396	10.000	1.897	(0.869)	10.630
21300-21349-Lawyers' Fund for Client Protection	9.425	1.058	0.077	-	10.406
21350-21399-Equipment Loan for the Disabled	0.530	0.001	0.004	-	0.527
21400-21449-Mass Transportation Operating Assistance	314.761	451.917	217.826	20.022	568.874
21450-21499-Clean Air	(32.718)	2.514	2.870	(3.079)	(36.153)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.579	0.084	0.069	-	12.594
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.469	-	0.001	-	0.468
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	-	0.001	-	-	0.611
21900-22499-Miscellaneous State Special Revenue	0.662	257.947	160.022	(4.190)	1,739.394
22500-22549-Court Facilities Incentive Aid	1,645.659	0.003	5.994	-	42.681
	48.672				

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF JUNE 2021
(amounts in millions)

	BALANCE JUNE 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2021
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	1,612.693	344.595	515.993	781.317	2,222.612
22700-22749-Chemical Dependence Service	5.871	0.228	0.021	-	6.078
22750-22799-Lake George Park Trust	1.173	-	0.108	-	1.065
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	35.025	9.256	0.067	-	44.214
22850-22899-New York Great Lakes Protection	0.575	-	0.011	-	0.564
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	10.734	-	0.195	-	10.539
23000-23049-NYS/DOT Highway Safety Program	(17.418)	0.106	0.062	-	(17.374)
23050-23099-Vocational Rehabilitation	0.064	-	-	-	0.064
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(33.788)	-	3.387	-	(37.175)
23200-23249-Judiciary Data Processing Offset	61.120	9.513	3.859	-	66.774
23500-23549-USOC Lake Placid Training	0.249	0.003	-	-	0.252
23550-23599-Indigent Legal Services	540.021	40.480	8.660	-	571.841
23600-23649-Unemployment Insurance Interest and Penalty	25.056	0.001	0.018	(0.029)	25.010
23650-23699-MTA Financial Assistance Fund	115.341	0.007	12.625	61.475	164.198
23700-23749-New York State Commercial Gaming Fund	30.193	18.073	0.565	(0.002)	47.699
23750-23799-Medical Marijuana Trust Fund	20.591	1.028	0.564	(0.045)	21.010
23800-23899-Dedicated Miscellaneous State Special Revenue	5.223	0.327	0.052	-	5.498
24850-24899-Health Care Transformation	254.692	0.013	-	-	254.705
24900-24949-Charitable Gifts Trust Fund	0.005	-	-	-	0.005
24950-24999-Interactive Fantasy Sports	21.089	0.507	-	-	21.596
40350-40399-State University Dormitory Income	311.168	1.881	-	(18.365)	294.684
TOTAL SPECIAL REVENUE FUNDS-STATE	6,294.917	2,125.964	1,879.026	850.926	7,392.781
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(29.044)	216.511	221.091	(1.301)	(34.925)
25100-25199-Federal Health and Human Services	3,533.187	5,903.233	5,594.828	(158.660)	3,682.932
25200-25249-Federal Education	(25.943)	786.081	776.670	(10.889)	(27.421)
25300-25399-Federal Miscellaneous Operating Grants	16,630.679	395.212	1,020.830	(0.031)	16,005.030
25900-25949-Unemployment Insurance Administration	107.480	62.918	53.703	(13.621)	103.074
25950, 25952-25999-Unemployment Insurance Occupational Training	(0.397)	0.003	0.040	-	(0.434)
26000-26049-Federal Employment and Training Grants	(8.231)	20.361	16.311	(0.837)	(5.018)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	20,207.731	7,683.473	7,683.473	(185.339)	19,723.238
TOTAL SPECIAL REVENUE FUNDS	26,502.648	9,510.283	9,562.499	665.587	27,116.019
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	50.983	19.092	0.144	58.056	127.987
40150-40199-General Debt Service	64.630	3,715.087	(47.755)	(3,751.531)	75.941
40250-40299-State Housing Debt Service	-	-	-	-	-
40300-40349-Department of Health Income	17.274	28.700	-	(12.183)	33.791
40400-40449-Clean Water/Clean Air	-	115.162	-	(115.162)	-
40450-40499-Local Government Assistance Tax	170.000	402.354	-	(572.354)	-
TOTAL DEBT SERVICE FUNDS	302.887	4,280.395	(47.611)	(4,393.174)	237.719

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF JUNE 2021
(amounts in millions)

	BALANCE JUNE 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2021
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	1,586	717,207	715,621	-
30050-30099-Dedicated Highway and Bridge Trust	100,898	188,964	143,857	(5,145)	140,860
30100-30299-SUNY Residence Halls Rehabilitation and Repair	117,183	0,006	0,742	9,350	125,797
30300-30349-New York State Canal System Development	14,176	-	-	-	14,176
30350-30399-Parks Infrastructure	(52,789)	0,014	13,267	-	(66,042)
30400-30449-Passenger Facility Charge	0,015	-	-	-	0,015
30450-30499-Environmental Protection	73,733	37,418	25,053	14,000	100,098
30500-30549-Clean Water/Clean Air Implementation	0,164	-	-	-	0,164
30600-30609-Energy Conservation Thru Improved Transportation Bond	-	-	-	-	-
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0,668	-	-	-	0,668
30630-30639-Transportation Capital Facilities Bond	3,328	-	-	-	3,328
30640-30649-Environmental Quality Protection Bond	1,419	-	-	-	1,419
30650-30659-Rebuild and Renew New York Transportation Bond	17,210	-	-	-	17,210
30660-30669-Transportation Infrastructure Renewal Bond	4,255	-	-	-	4,255
30670-30679-1986 Environmental Quality Bond Act	5,550	-	-	-	5,550
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2,778	-	-	-	2,778
30690-30699-Clean Water/Clean Air Bond	1,428	-	-	-	1,428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(728,109)	110,097	237,518	(0,285)	(855,815)
31450-31499-Forest Preserve Expansion	1,083	-	-	-	1,083
31500-31549-Hazardous Waste Remedial	(77,747)	12,454	7,185	(0,564)	(73,042)
31650-31699-Suburban Transportation	0,540	-	-	-	0,540
31700-31749-Division for Youth Facilities Improvement	(16,050)	-	1,428	-	(17,478)
31800-31849-Housing Assistance	(12,942)	-	-	-	(12,942)
31850-31899-Housing Program	(459,057)	-	121,785	-	(580,842)
31900-31949-Natural Resource Damage	18,009	0,023	0,053	-	17,979
31950-31999-DOT Engineering Services	(11,971)	-	(0,019)	-	(11,952)
32200-32249-Miscellaneous Capital Projects	140,403	4,802	6,392	3,395	142,208
32250-32299-CUNY Capital Projects	0,054	0,004	-	-	0,058
32300-32349-Mental Hygiene Facilities Capital Improvement	(368,281)	3,190	33,991	-	(398,082)
32350-32399-Correction Facilities Capital Improvement	(218,757)	-	30,316	-	(249,073)
32400-32999-State University Capital Projects	111,098	0,006	10,077	0,791	101,818
33000-33049-NYS Storm Recovery Fund	(55,103)	-	6,160	-	(61,263)
33050-33099-Dedicated Infrastructure Investment Fund	39,931	-	25,537	-	84,394
TOTAL CAPITAL PROJECTS FUNDS	(1,346,883)	358,564	1,380,549	807,163	(1,561,705)
TOTAL GOVERNMENTAL FUNDS	\$ 39,815,193	\$ 19,337,497	\$ 17,861,760	\$ (34,511)	\$ 41,256,419

SCHEDULE 2

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2021-2022
 FOR THE MONTH OF JUNE 2021
 (amounts in millions)

FUND TYPE	BALANCE JUNE 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2021
ENTERPRISE FUNDS					
23250-23449-CUNY Senior College Program	\$ 176.014	\$ 22.001	\$ 5.810	-	\$ 192.205
50000-50049-Youth Commissary	0.116	0.003	0.011	-	0.108
50050-50099-State Exposition Special	3.101	0.068	0.211	-	2.958
50100-50299-Correctional Services Commissary	4.267	4.178	4.330	-	4.115
50300-50399-Agencies Enterprise	11.666	2.871	2.154	-	12.383
50400-50449-Sheltered Workshop	2.248	-	0.012	-	2.236
50450-50499-Patient Workshop	2.097	0.017	0.019	-	2.095
50500-50599-Mental Hygiene Community Stores	4.828	0.103	0.084	-	4.847
50650-50699-Unemployment Insurance	16.233	5,398.903	5,396.291	-	18.845
60850-60899-CUNY Senior College Operating	46.470	182.618	185.259	-	43.829
TOTAL ENTERPRISE FUNDS	267.040	5,610.762	5,594.181	-	283.621
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(104.576)	28.733	28.279	29.264	(74.858)
55050-55099-Agency Internal Service	(199.319)	9.685	(12.767)	7.921	(168.946)
55100-55149-Mental Hygiene Revolving	0.099	0.038	0.056	-	0.081
55150-55199-Youth Vocational Education	0.062	-	0.008	-	0.054
55200-55249-Joint Labor and Management Administration	0.680	-	0.102	-	0.578
55250-55299-Audit and Control Revolving	(44.925)	-	3.548	(0.011)	(48.484)
55300-55349-Health Insurance Revolving	(15.001)	-	1.394	(2.912)	(19.307)
55350-55399-Correctional Industries Revolving	(35.214)	2.917	(4.463)	(0.265)	(28.099)
TOTAL INTERNAL SERVICE FUNDS	(398.194)	41.373	16.157	33.997	(338.981)
TOTAL PROPRIETARY FUNDS	\$ (131.154)	\$ 5,652.135	\$ 5,610.338	\$ 33.997	\$ (55.360)

SCHEDULE 3

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR 2021-2022
 FOR THE MONTH OF JUNE 2021
 (amounts in millions)

FUND TYPE	BALANCE JUNE 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2021
PENSION TRUST FUNDS					
65000-65049-Common Retirement Administrator	\$ (0.004)	\$ 11.961	\$ 14.160	\$ -	\$ (2.203)
TOTAL PENSION TRUST FUNDS	(0.004)	11.961	14.160	-	(2.203)
PRIVATE PURPOSE TRUST FUNDS					
22022-College Savings Account	25.694	1.283	0.008	-	26.969
66000-66049-Agriculture Producers' Security	3.226	-	0.005	-	3.221
66050-66099-Milk Producers' Security	11.553	(0.039)	0.018	-	11.496
TOTAL PRIVATE PURPOSE TRUST FUNDS	40.473	1.244	0.031	-	41.686
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	16.524	0.137	-	0.514	17.175
60150-60199-Child Performer's Holding	0.553	0.020	-	-	0.573
60200-60249-Employees Health Insurance	1,108,790	1,108,730	1,075,087	-	1,093,433
60250-60299-Social Security Contribution	15,075	99,627	99,604	-	15,098
60300-60399-Employee Payroll Withholding	40,385	368,741	393,306	-	15,820
60400-60449-Employees Dental Insurance	41,186	4,780	7,054	-	38,912
60450-60499-Management Confidential Group Insurance	1,593	0.693	0.920	-	1,366
60500-60549-Lottery Prize	559,959	116,301	121,544	-	554,716
60550-60599-Health Insurance Reserve Receipts	-	-	-	-	-
60600-60799-Miscellaneous New York State Agency	946,415	784,114	779,188	-	951,341
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	28,412	9,797	6,201	-	32,008
60900-60949-Medicaid Management Information System (MMIS) Escrow	58,215	8,081,794	6,411,373	-	1,728,636
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collector	104,015	16,832	-	-	120,847
61100-61999-State University Federal Direct Lending Program	(5,512)	23,392	18,382	-	(0,502)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	2,866,610	10,614,958	8,912,659	0,514	4,569,423
TOTAL FIDUCIARY FUNDS	\$ 2,907,079	\$ 10,628,163	\$ 8,926,850	\$ 0,514	\$ 4,608,906

SCHEDULE 4

STATE OF NEW YORK
 SOLE CUSTODY AND INVESTMENT ACCOUNTS
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2021-2022
 FOR THE MONTH OF JUNE 2021
 (amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE JUNE 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE JUNE 30, 2021</u>
ACCOUNTS				
70000-70049-Tobacco Settlement	\$ 2,890	\$ -	\$ -	\$ 2,890
70093, 70095, 70300-70301-MTA State Assistance	252,755	218,395	270,027	201,123
70050-70149-Sole Custody Investment (*)	2,582,193	3,191,884	3,495,094	2,278,983
70200-Comptroller's Refund Account	-	252,911	252,911	-
TOTAL ACCOUNTS	\$ 2,837,838	\$ 3,663,190	\$ 4,018,032	\$ 2,482,996

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund". As of June 30, 2021, \$9,547,834.04 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

SCHEDULE 5

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2021-2022

PURPOSE	DEBT OUTSTANDING APRIL 1, 2021		DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING JUNE 30, 2021		INTEREST DISBURSED	
			MONTH OF JUNE	3 MONTHS ENDED JUNE 30, 2021	MONTH OF JUNE	3 MONTHS ENDED JUNE 30, 2021	MONTH OF JUNE	3 MONTHS ENDED JUNE 30, 2021	MONTH OF JUNE	3 MONTHS ENDED JUNE 30, 2021
GENERAL OBLIGATION BONDED DEBT:										
Accelerated Capacity and Transportation Improvements	\$	9,992,174	\$	-	\$	-	\$	785,635	\$	866
Clean Water/Clean Air:										
Air Quality		1,321,445		-		-		-		509
Safe Drinking Water		-		-		-		-		-
Clean Water		277,661,899		-		-		9,125,472		5,703
Solid Waste		11,083,955		-		-		163,906		13
Environmental Restoration		36,701,555		-		-		165,000		22,924
Energy Conservation Through Improved Transportation:										
Rapid Transit and Rail Freight		1,022,456		-		-		283,713		-
Environmental Quality (1972):										
Air		4,267,512		-		-		25,000		-
Land and Wetlands		5,538,761		-		-		715,000		873
Water		-		-		-		-		-
Environmental Quality (1986):										
Land Acquisition/Development/Restoration/Forests		2,692,870		-		-		202,816		102
Solid Waste Management		83,003,304		-		-		7,383,811		10,162
Housing:										
Low Income		4,115,000		-		-		-		-
Middle Income		1,795,000		-		-		-		-
Park and Recreation Land Acquisition		-		-		-		-		-
Pure Waters		13,485,946		-		-		833,700		1,206
Rail Preservation Development		-		-		-		-		-
Rebuild and Renew New York Transportation:										
Highway Facilities		562,450,682		-		-		-		161,706
Canals and Waterways		7,620,311		-		-		-		13,313
Aviation		40,464,691		-		-		40,464,691		-
Rail and Port		90,201,189		-		-		-		-
Mass Transit - Dept. of Transportation		13,929,540		-		-		-		-
Mass Transit - Metropolitan Transportation Authority		691,109,424		-		-		-		144,362
Rebuild New York Transportation Infrastructure Renewal:										
Highways, Parkways, and Bridges		357,668		-		-		-		436
Rapid Transit, Rail and Aviation		1,563,392		-		-		393,136		-
Smart Schools Bond Act		306,942,604		-		-		-		-
Transportation Capital Facilities:										
Aviation		1,648,621		-		-		837,811		-
Mass Transportation		-		-		-		-		-
Total General Obligation Bonded Debt	\$	2,168,969,999	\$	-	\$	-	\$	20,895,000	\$	362,175
										\$
										2,539,872

SCHEDULE 8a

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE THREE MONTHS ENDED JUNE 30, 2021

	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40700-40749)	REVENUE BOND TAX (*) (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								2021	2020	
Special Contractual Financing Obligations:										
Payments to Public Authorities:										
City University Construction	\$ -	\$ 21,210,856	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 21,210,856	\$ -	\$ 21,210,856
Dormitory Authority:										
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	(42,277,500)	-	(42,277,500)
DASNY Revenue Bond	-	-	-	-	(42,277,500)	-	-	12,067,914	12,802,026	(734,112)
Department of Health Facilities	-	-	12,067,914	-	-	-	-	(144,167)	1,723,959	(1,868,126)
Mental Health Facilities	-	-	-	-	(144,167)	-	-	-	-	-
Secured Hospital Program	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	10,879,525	-	-	-	-	-	10,879,525	8,347,200	2,532,325
SUNY Educational Facilities	-	92,082,231	-	-	-	-	-	92,082,231	-	92,082,231
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	430,631	(430,631)
Housing Finance Agency	-	-	-	-	-	-	-	-	-	-
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:										
Dedicated Highway and Bridge	-	21,665,250	-	-	-	-	-	21,665,250	32,113,906	(10,448,656)
Local Highway and Bridge	-	-	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-	-	-	-
Urban Development Corporation:										
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia University: Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	2,180,971	-	-	-	-	-	2,180,971	3,068,008	(887,037)
Cornell University: Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	-	-	-	11,603	(11,603)
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 148,018,833	\$ 12,067,914	\$ -	\$ (144,167)	\$ (42,277,500)	\$ -	\$ 117,665,080	\$ 98,497,333	\$ 59,167,747

(*) Debt Service does not include interest paid on Revenue Anticipation Notes (General Purpose), Series 2020A and 2020B that were reimbursed from the Coronavirus Relief Fund within the Special Revenue Federal Funds.

STATE OF NEW YORK
 SUMMARY OF THE OPERATING FUND INVESTMENTS
 FOR THE MONTH OF JUNE 2021
 AS REQUIRED OF THE STATE COMPTROLLER
 (amounts in millions)

SCHEDULE 6

	MONTH OF JUNE 2021	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 43,846.0	\$ 35,529.0	\$ 24,914.7
AVERAGE YIELD (**)	0.047%	0.060%	0.431%
TOTAL INVESTMENT EARNINGS	\$ 1,723	\$ 5,372	\$ 25,615

SHORT TERM INVESTMENT POOL (*)

	MONTH OF JUNE 2021	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 43,846.0	\$ 35,529.0	\$ 24,914.7
AVERAGE YIELD (**)	0.047%	0.060%	0.431%
TOTAL INVESTMENT EARNINGS	\$ 1,723	\$ 5,372	\$ 25,615

Month-End Portfolio Balances

	JUNE 2021 PAR AMOUNT	JUNE 2020 PAR AMOUNT
DESCRIPTION		
GOVT. AGENCY BILLS/NOTES	\$ 29,163.5	\$ 12,185.4
REPURCHASE AGREEMENTS	107.9	21.5
GOVT. SPONSORED AGENCIES	615.0	867.4
COMMERCIAL PAPER	15,775.7	10,188.5
CERTIFICATES OF DEPOSIT/SAVINGS	3,209.5	2,961.7
0% COMPENSATING BALANCE CDs	923.0	48.0
	\$ 49,794.6	\$ 26,272.5

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

APPENDIX A

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2021-2022

	2021	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022	JANUARY	FEBRUARY	MARCH	3 Months Ended June 30, 2021
OPENING CASH BALANCE	\$ 15,984,357	\$ 114,747,009	\$ 145,654,657											\$ 15,984,357
RECEIPTS:														
Cigarette Tax	86,708,587	51,733,707	64,925,784											186,368,078
State Share of NYC Cigarette Tax	1,648,000	1,459,000	1,274,000											4,381,000
Vapor Excise Tax	195,233	36,284	6,603,432											6,834,949
STIP Interest	25,978	16,935	19,037											61,948
Public Asset Transfers														
Adjustments	414,748,424	457,225,416	477,819,714											1,349,783,604
Fees	279,000	75,000	757,059											1,111,059
Rebates	4,640,808	263,285	6,654,286											11,568,387
Restitution and Settlements														
Miscellaneous		1,104	3,026,822											3,027,926
Total Receipts	487,246,076	519,810,731	391,069,384											1,583,146,781
DISBURSEMENTS:														
Grants	388,370,867	471,920,457	537,646,318											1,398,536,642
Interest - Late Payments		108	157											312
Personal Service	927,493	929,434	521,245											2,378,142
Non-Personal Service	922,462	6,137,167	6,989,726											13,758,847
Employment Costs	184													184
Total Disbursements	391,827,923	479,569,566	545,559,248											1,416,986,733
OPERATING TRANSFERS:														
Transfers to Capital Projects Fund														
Transfers to General Fund		1,104	602,000											603,104
Transfers to Revenue Bond Trust Fund														
Transfers to Special Revenue Fund:														
Administration Program Account	326,891		340,322											667,213
Empire State Stem Cell Trust Account	208,610		485,018											1,024,041
Transfers to SUNY Income Fund	535,501	333,317	1,425,340											2,994,398
Total Operating Transfers	392,363,424	479,903,083	547,024,588											1,419,291,095
Total Disbursements and Transfers														
CLOSING CASH BALANCE	\$ 114,747,009	\$ 145,654,657	\$ 156,720,053											\$ 159,720,053

APPENDIX B

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2021-2022

Program/Purpose	Appropriation Amount (*)	June	3 Months Ended June 30th, 2021 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	8,827,000.00	185,219.33	580,701.38
CENTER FOR COMMUNITY HLTH	8,827,000.00	185,219.33	580,701.38
CHILD HEALTH INSURANCE PROGRAM	1,901,406,000.00	102,073,823.45	172,210,151.38
CHILD HEALTH INSURANCE	1,901,406,000.00	102,073,823.45	172,210,151.38
COMMUNITY SUPPORT PROGRAM	120,000.00	-	-
COMMUNITY SUPPORT	120,000.00	-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	234,330,000.00	13,210,389.34	26,772,875.35
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	234,330,000.00	13,210,389.34	26,772,875.35
HEALTH CARE REFORM ACT PROGRAM	1,240,915,059.03	73,160,311.90	74,597,680.43
AIDS DRUG ASSISTANCE	82,100,000.00	-	-
AMBULATORY CARE TRAINING	3,537,000.00	-	-
AREA HEALTH EDUCATION CENTER	3,387,000.00	-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS	5,800,000.00	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	108,800,000.00	-	-
DIVERSITY IN MEDICINE	4,782,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	6,890,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	-
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	-
HEALTHY SERVICES RETRAINING	18,320,000.00	-	-
INFERTILITY SERVICES GRANTS	5,733,000.00	-	-
MEDICAL INDEMNITY FUND	52,000,000.00	17,688.54	17,688.54
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	207,200,000.00	-	-
PHYSICIAN LOAN REPAYMENT	36,260,000.00	-	-
PHYSICIAN WORKFORCE STUDIES	974,000.00	748,696.71	859,596.91
POISON CONTROL CENTERS	8,720,000.00	-	-
POOL ADMINISTRATION	5,300,000.00	-	-
ROSWELL PARK CANCER INSTITUTE	89,426,000.00	9,491,000.00	9,491,000.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	15,950,000.00	-	-
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	18,820,000.00	948,926.65	1,231,581.18
RURAL HEALTH CARE GRANTS	2,200,000.00	-	-
RURAL HEALTH NETWORK	11,610,000.00	-	-
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,799,805,000.00	353,293,863.74	1,133,637,748.85
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	4,387,400,000.00	53,293,863.74	158,637,748.85
MEDICAL ASSISTANCE	23,129,205,000.00	300,000,000.00	975,000,000.00
PSNL CRE WKRKR RECR & RETEN NYC (**)	67,200,000.00	-	-
PSNL CRE WKRKR RECR & RETEN ROS (***)	85,091,000.00	-	-
NEW YORK STATE OF HEALTH	85,091,000.00	3,029,913.64	7,343,001.33
NEW YORK STATE OF HEALTH ADMINISTRATION	85,091,000.00	3,029,913.64	7,343,001.33
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	35,795,000.00	663,634.10	2,413,510.58
OFFICE OF HEALTH SYSTEMS MANAGEMENT	35,795,000.00	663,634.10	2,413,510.58
REVENUE PROCESSING & RECONCILIATION	8,190,000.00	465,111.20	465,111.20
REVENUE PROCESSING & RECONCILIATION	8,190,000.00	465,111.20	465,111.20
TOTAL	32,316,313,059.03	546,082,266.70	1,418,020,778.50
Reclass of SUNY Hospital Disprop Share to Transfer	(483,018.17)	-	(1,024,040.99)
Reclass of SUNY Hospital Poison Control Centers to Transfer	-	-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer	-	-	-
Reconciling Adjustment (P-Card and T-Card)	-	-	-
TOTAL REPORTED AMOUNT	\$ 32,316,313,059.03	\$ 545,599,248.53	\$ 1,416,996,737.51

(*) Includes amounts appropriated in SFY 2021-22, as well as prior year appropriations that were reappropriated.
 (**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
 (***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
 (****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2021-2022

	2021 APRIL	2021 MAY	2021 JUNE	2021-2022
OPENING CASH BALANCE	\$ 293,876,869.61	\$ 362,354,032.88	\$ 222,324,636.26	\$ 293,876,869.61
RECEIPTS:				
Patient Services	340,332,824.17	221,110,669.72	427,745,189.72	989,188,683.61
Covered Lives	79,187,489.66	53,273,772.73	116,890,074.09	249,351,336.48
Provider Assessments	10,011,629.87	6,473,113.22	10,316,598.14	26,801,341.23
1% Assessments	37,193,623.00	40,071,668.00	41,826,411.00	119,091,702.00
DASNY- MOE/Recast receivables	-	-	-	-
Interest Income	923.44	1,404.98	1,361.88	3,690.30
Unassigned	12,280,036.82	(8,011,991.97)	(4,625,632.51)	(357,587.66)
Total Receipts	479,006,526.96	312,918,636.68	592,154,002.32	1,384,079,165.96
PROGRAM DISBURSEMENTS:				
Poison Control Centers	-	-	-	-
School Based Health Center Grants	-	-	-	-
ECRIP Distributions	-	-	-	-
Total Program Disbursements	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	479,006,526.96	312,918,636.68	592,154,002.32	1,384,079,165.96
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	4,219,028.00	4,277,353.00	3,876,774.00	12,373,155.00
Transfers From State Funds:				
HCRA Resources Fund	-	-	-	-
Total Other Financing Sources	4,219,028.00	4,277,353.00	3,876,774.00	12,373,155.00
Transfers To Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund	(414,748,391.69)	(457,225,386.30)	(477,819,709.41)	(1,349,793,487.40)
Indigent Care Fund - Matched	-	-	-	-
Indigent Care Fund - Unmatched	-	-	-	-
Total Other Financing Uses	(414,748,391.69)	(457,225,386.30)	(477,819,709.41)	(1,349,793,487.40)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	68,477,163.27	(140,029,396.62)	118,211,066.91	46,658,833.56
CLOSING CASH BALANCE	\$ 362,354,032.88	\$ 222,324,636.26	\$ 340,535,703.17	\$ 340,535,703.17

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2021-2022

	2021 APRIL	2021 MAY	2021 JUNE	2021-2022
OPENING CASH BALANCE	\$ 55,655.52	\$ 1,038,442.84	\$ 1,136,039.84	\$ 55,655.52
RECEIPTS:				
Interest Income	29.82	4.80	28.63	63.25
Total Receipts	<u>29.82</u>	<u>4.80</u>	<u>28.63</u>	<u>63.25</u>
PROGRAM DISBURSEMENTS:				
Indigent Care	(52,898,838.24)	-	(106,810,437.27)	(159,709,275.51)
High Need Indigent Care	-	-	-	-
Other	(31,119.03)	-	(130,892.90)	(162,011.93)
Total Program Disbursements	<u>(52,929,957.27)</u>	<u>-</u>	<u>(106,941,330.17)</u>	<u>(159,871,287.44)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(52,929,927.45)</u>	<u>4.80</u>	<u>(106,941,301.54)</u>	<u>(159,871,224.19)</u>
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers From State Funds:				
HCRF Resources Indigent Care - Matched	23,169,691.15	-	46,776,180.22	69,945,871.37
HCRF Resources Indigent Care - Unmatched	1,019,927.37	97,622.02	(956,006.23)	161,543.16
Federal DHHS Fund	29,729,147.09	-	60,018,751.78	89,747,898.87
Other	-	-	-	-
Total Other Financing Sources	<u>53,918,765.61</u>	<u>97,622.02</u>	<u>105,838,925.77</u>	<u>159,855,313.40</u>
Transfers To Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRF Resources Fund Indigent Care Acct	(82.34)	(29.82)	(4.80)	(116.96)
CSRA Inc (eMedNY) General Fund	(5,968.50)	-	-	(5,968.50)
Total Other Financing Uses	<u>(6,050.84)</u>	<u>(29.82)</u>	<u>(4.80)</u>	<u>(6,085.46)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>982,787.32</u>	<u>97,597.00</u>	<u>(1,102,380.57)</u>	<u>(21,996.25)</u>
CLOSING CASH BALANCE	\$ 1,038,442.84	\$ 1,136,039.84	\$ 33,659.27	\$ 33,659.27

Source: HCRF - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2021-2022
(amounts in thousands)

	2021 APRIL	2021 MAY	2021 JUNE	2021 JULY	2021 AUGUST	2021 SEPTEMBER	2021 OCTOBER	2021 NOVEMBER	2021 DECEMBER	2022 JANUARY	2022 FEBRUARY	2022 MARCH	2021-2022 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	\$ -	-	-	-	-	-	-	-	-	-	\$ -
Education - EXCEL	34	2,058	1,281	-	-	-	-	-	-	-	-	-	3,373
Department of Health - All Other	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Regional Development	70	181	554	-	-	-	-	-	-	-	-	-	805
Community Capital Assistance Program (CCAP)/RESTORE	-	-	-	-	-	-	-	-	-	-	-	-	-
Multi-modal	-	-	-	-	-	-	-	-	-	-	-	-	-
GenNYsis	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Community Colleges	-	-	-	-	-	-	-	-	-	-	-	-	-
Brooklyn Court Officer Training Academy	21	-	42	-	-	-	-	-	-	-	-	-	63
TOTAL DORMITORY AUTHORITY	125	2,239	1,877	-	-	-	-	-	-	-	-	-	4,241
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 125	\$ 2,239	\$ 1,877	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,241

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006, this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	March 31, 2021	April 30, 2021	May 31, 2021	Change	June 30, 2021
10050	GENERAL FUND					
	STATE OPERATIONS AND LOCAL ASSISTANCE					
	TOTAL GENERAL FUND	\$ -	\$ -	\$ -	\$ -	\$ -
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	44,223,561.21				
30053	AVIATION PURPOSE ACCOUNT					
30101	REHAB/REPAIR MARITIME					
30102	D21RVE- MARITIME					
30103	D36RVE- CENTRAL ADMIN					
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS					
30105	REHAB/REPAIR ALBANY					
30106	D01RVE- ALBANY					
30107	REHAB/REPAIR BINGHAMTON					
30108	D07RVE- BINGHAMTON					
30109	REHAB/REPAIR BUFFALO UNIVERSITY					
30110	D28RVE- SUNY BUFFALO					
30111	REHAB/REPAIR STONYBROOK					
30112	D13RVE- STONYBROOK					
30113	REHAB/REPAIR BROOKLYN					
30114	D14RVE- HSC BROOKLYN					
30115	REHAB/REPAIR SYRACUSE					
30116	D15RVE- HSC SYRACUSE					
30117	REHAB/REPAIR BROCKPORT					
30118	D02RVE- BROCKPORT					
30119	REHAB/REPAIR BUFFALO COLLEGE					
30120	D03RVE- SUB BUFFALO					
30121	REHAB/REPAIR CORTLAND					
30122	D04RVE- CORTLAND					
30123	REHAB/REPAIR FREDONIA					
30124	D05RVE- FREDONIA					
30125	REHAB/REPAIR GENESE					
30126	D06RVE- GENESE					
30127	REHAB/REPAIR OLD WESTBURY					
30128	D31RVE- OLD WESTBURY					
30129	REHAB/REPAIR NEW PALTZ					
30130	D08RVE- NEW PALTZ					
30131	REHAB/REPAIR ONEONTA					
30132	D09RVE- ONEONTA					
30133	REHAB/REPAIR OSWEGO					
30134	D10RVE- OSWEGO					
30135	REHAB/REPAIR PLATTSBURGH					
30136	D11RVE- PLATTSBURGH					
30137	REHAB/REPAIR POTSDAM					
30138	D12RVE- POTSDAM					
30139	REHAB/REPAIR PURCHASE					
30140	D38RVE- PURCHASE					
30141	REHAB/REPAIR FOR UTICA/ROME					
30142	D27RVE- CAMPUS RESERVE					
30143	REHAB/REPAIR ALFRED					
30144	D22RVE- ALFRED					
30145	REHAB/REPAIR CANTON					
30146	D23RVE- CANTON					
30147	REHAB/REPAIR COBLESKILL					
30148	D24RVE- COBLESKILL					
30149	REHAB/REPAIR DELHI					
30150	D25RVE- DELHI					
30151	REHAB/REPAIR FARMINGDALE					
30152	D26RVE- FARMINGDALE					
30153	REHAB/REPAIR MORRISVILLE					
30154	D27RVE- MORRISVILLE					
30351	STATE PARK INFRASTRUCTURE	35,978,959.86	44,344,122.55	52,788,638.26	13,253,534.94	66,042,173.20
30501	CW/CA IMPLEMENTATION DEC					
30502	CW/CA IMPLEMENTATION STATE					
30503	CW/CA IMPLEMENTATION ERDA					
30504	CW/CA IMPLEMENTATION EFC					
31506	HAZARDOUS WASTE CLEAN UP	110,319,683.26	112,538,894.40	116,450,882.14	(5,062,450.50)	111,388,431.64
31701	YOUTH FACILITIES IMPROVEMENT	14,290,480.45	15,254,626.20	16,049,720.35	1,428,105.76	17,477,826.11
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	230,626,177.47	246,990,070.47	297,002,529.47	114,067,039.40	411,069,568.87
31852	HOUSING PROG FD AFFORD HSG CORP	40,966,709.72	42,099,810.72	44,300,432.72	2,017,914.30	46,318,347.02
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	116,146,517.14	116,146,517.14	118,046,517.14	5,700,000.00	123,746,517.14
31854	HOUSING PROG FD-HEA					
31951	HIGHWAY FAC PURPOSE	11,970,753.74	11,970,753.74	11,970,753.74	(19,160.88)	11,951,592.86

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	March 31, 2021	April 30, 2021	May 31, 2021	June 30, 2021	Change
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	153,750.00	-
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	7,469,744.20	7,836,672.15	8,112,431.99	6,528,054.87	(1,594,377.12)
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	73,198,521.63	74,197,329.52	73,714,789.24	74,666,080.73	951,301.49
32303	OMH-COMMUNITY FACILITIES	-	-	-	-	-
32304	OPWDD-COMMUNITY FACILITIES	187,018,085.14	187,018,085.14	187,514,640.21	187,964,640.21	450,000.00
32305	OASAS-COMMUNITY FACILITIES	-	-	-	-	-
32306	DASNY - OMH ADMIN	4,369,852.32	4,369,852.32	7,693,352.32	7,893,352.32	2,089,088.09
32307	DASNY - OPWDD ADMIN	1,483,213.09	1,483,213.09	2,089,088.09	2,089,088.09	2,089,088.09
32308	DASNY - OASAS ADMIN	131,339,974.32	134,956,619.31	85,519,143.10	109,095,443.82	23,576,300.72
32309	OMH - STATE FACILITIES	38,382,326.77	40,308,539.77	23,803,234.64	29,210,987.12	5,407,752.48
32310	OPWDD-STATE FACILITIES	5,085,981.17	-	1,908,201.77	664,381.01	2,572,582.78
32311	CORR - FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32351	DOCS-REHABILITATION PROJECTS	169,126,625.24	186,857,753.51	218,757,324.00	249,072,740.98	30,315,416.98
32352	CORR - FACILITIES CAPITAL CLOSURE	-	-	-	-	-
32353	STORM RECOVERY ACCOUNT	54,211,590.81	54,211,590.81	55,103,285.40	61,263,748.10	6,160,462.70
33001	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,279,340,982.52	1,293,680,167.90	1,334,120,681.64	1,531,446,802.92	197,326,221.28
STATE SPECIAL REVENUE FUNDS						
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	31,613,636.11	68,982,649.25	-	(68,982,649.25)
20818	EPIC PREMIUM ACCOUNT	-	-	6,008,330.20	-	(6,008,330.20)
20901	LOTTERY-EDUCATION	-	-	-	-	-
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	3,233,406.10	3,282,937.51	3,328,861.66	3,370,147.32	41,285.64
21002	ENCON ADMIN ACCT	-	-	-	-	-
21061	HAZARDOUS BULK STORAGE	3,324,198.99	3,324,198.99	199.99	199.99	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	290,745.42	975,534.74	1,240,266.30	2,215,821.04	1,240,266.30
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	4,255,831.32	4,427,268.46	3,641,613.59	3,845,719.60	204,106.01
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	-	-	-	-	-
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	61,475,682.73	62,613,090.42	67,483,108.01	67,530,934.74	47,826.73
21081	ENVIRONMENTAL REGULATORY	15,270,489.03	15,578,428.14	15,910,342.77	15,847,704.98	37,362.21
21082	NATURAL RESOURCES ACCOUNT	-	-	-	-	-
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	380.00	-	-	11,279.96	11,279.96
21202	HEALTH DEPT OIL SPILL	-	-	-	-	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	-	-	-	-
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	34,391,499.53	34,889,721.83	35,472,561.11	36,121,080.76	648,499.65
21451	OPERATING PERMIT PROGRAM	-	-	-	31,799.56	31,799.56
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARC'S	6,070,043.81	6,011,299.08	10,730,951.71	9,386,771.55	(1,344,180.16)
21905	THRUWAY AUTHORITY ACCT	-	-	-	-	-
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	FINANCIAL CONTROL BOARD	684,380.92	160,199.90	276,738.02	509,423.54	232,885.52
21911	RACING REGULATION BOARD	4,317,928.13	4,334,865.74	4,595,349.49	4,973,721.60	378,372.11
21912	SU DORM INCOME REIMBURSE	0.00	325,193.12	298,255.24	215,711.72	(82,543.52)
21937	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21945	ENV LAB REF FEE	-	-	-	-	-
21959	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	469,022.32	544,350.50	590,137.13	540,292.31	(49,844.82)
21961	CLINICAL LAB FEE	9,017,407.88	9,264,614.23	9,167,591.59	9,993,580.00	825,968.41
21978	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22003	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22004	REAL PROPERTY DISPOSITION	-	-	-	-	-
22006	PARKING ACCOUNT	728,613.54	693,940.12	761,775.90	610,893.40	(150,882.50)
22007	COURTS SPECIAL GRANTS	-	-	-	-	-
22008	ASBESTOS SAFETY TRAINING	21,922.83	21,675.06	25,883.13	18,000.51	(7,882.62)
22009	CAMP SMITH BULLETING ACCOUNT	-	-	-	-	-
22017	BATAVIA SCHOOL FOR THE BLIND	6,403,680.71	6,948,191.08	7,384,116.02	7,665,544.01	281,427.99
22032	INVESTMENT SERVICES	-	-	-	-	-
22034	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22036	-	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(**)

SFS Fund	ACCOUNT TITLE	March 31, 2021	April 30, 2021	May 31, 2021	Change	June 30, 2021
22039	FINANCIAL OVERSIGHT	912,116.67	265,113.54	544,925.19	385,744.77	930,689.96
22046	REGULATION INDIAN GAMING	99,016,730.17	99,027,328.85	100,110,631.33	863,689.81	100,974,321.14
22054	ROME SCHOOL FOR THE DEAF	2,008,085.58	2,552,311.18	2,955,381.27	376,431.44	3,331,812.71
22055	DSP-SEIZED ASSETS	456,634.47	415,180.10	250,177.40	(185,631.60)	64,545.50
22056	ADMINISTRATIVE ADJUDICATION	45,116,781.97	45,664,184.26	44,471,672.44	2,113,885.40	46,586,537.84
22062	FEDERAL SALARY SHARING	33,192.73	143,371.44	254,619.77	237,712.63	492,332.40
22063	NYC ASSESSMENT ACCT	-	-	-	-	-
22066	CULTURAL EDUCATION ACCOUNT	2,933,306.11	2,019,940.87	497,945.06	(497,945.06)	-
22078	LOCAL SERVICE ACCOUNT	2,148,824.54	2,314,374.97	2,510,434.63	202,716.22	2,713,150.85
22085	DHCR MORTGAGE SERVICES	11,348,416.23	11,184,434.94	11,568,966.01	1,093,701.44	12,662,667.45
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	-	-	-	-	-
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	123,734.27	175,783.99	227,833.71	(108,833.48)	119,000.23
22156	RENT REVENUE OTHER - NYC	25,265,067.05	-	1,037,121.41	(1,037,121.41)	-
22168	TAX REVENUE ARREARAGE ACCOUNT	1,274,628.73	1,380,848.23	1,487,294.56	110,176.59	1,597,471.15
22240	NY'S MEDICAL INDEMNITY FUND ACCOUNT	20,677,507.03	20,679,183.84	20,680,573.21	1,065.04	20,681,638.25
22246	BEHAVIORAL HEALTH PARITY COMPLIANCE FUND	-	-	-	-	-
22654	S.U. NON-RESIDENT REV. OFFSET	-	-	-	-	-
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	16,991,456.00	17,103,295.73	17,418,324.64	(44,804.60)	17,373,720.04
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	26,596,681.85	30,964,112.48	33,788,325.41	3,387,143.24	37,175,468.65
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	22,271,880.50	22,578,280.41	22,955,448.38	376,537.76	23,330,986.14
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NY'S SECURE CHOICE ADMIN	-	-	-	-	-
24851	FANTASY SPORTS ADMINISTRATION	75,811.44	79,187.93	86,742.51	90.34	86,832.85
	TOTAL STATE SPECIAL REVENUE FUNDS	431,205,924.88	446,222,589.17	501,731,375.90	(65,289,534.26)	436,441,841.64
25000-25099	FEDERAL FUNDS					
25100-25199	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	12,583,217.42	47,831,392.71	32,164,293.16	5,508,319.31	37,672,612.47
25200-25249	FEDERAL HEALTH AND HUMAN SERVICES FUND	2,444,991,733.28	2,773,668,560.10	2,074,401,245.20	990,645,001.19	1,198,046,246.39
25250-25299	FEDERAL EDUCATION GRANTS FUND	19,664,226.45	113,713,785.02	29,688,984.82	1,893,591.15	31,582,575.77
25300-25699	FEDERAL DHHS BLOCK GRANTS	486,966,335.85	478,024,709.09	551,180,523.29	(12,572,040.88)	538,608,482.41
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31350-31449	DEPARTMENT OF TRANSPORTATION	537,821,805.97	586,763,381.82	664,512,322.53	147,540,905.50	832,053,228.03
25800-25849	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	105,640,539.40	104,069,927.22	107,943,021.16	26,833,480.72	134,776,501.88
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	71,611,428.44	68,159,548.45	100,378,036.83	4,042,082.45	104,420,129.28
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	503,270.00	68,356,545.45	397,086.50	35,175.66	432,562.15
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	7,198,328.48	9,239,350.43	8,230,466.71	(3,212,952.74)	5,017,513.97
	TOTAL FEDERAL FUNDS	3,695,734,606.95	4,190,594,442.00	4,730,649,902.66	1,160,714,172.35	2,891,384,073.01
60201	AGENCY FUNDS					
60901	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
50318	ENTERPRISE FUND	989,160.13	1,011,732.28	1,099,108.96	(7,278.05)	1,098,830.91
50327	OGS CONVENTION CENTER ACCOUNT	283,602.52	286,117.64	298,898.22	7,505.07	306,403.29
	EMPIRE PLAZA GIFT SHOP	1,272,762.65	1,297,849.92	1,398,007.48	7,227.02	1,405,234.20
	TOTAL ENTERPRISE FUND	1,272,762.65	1,297,849.92	1,398,007.48	7,227.02	1,405,234.20
55001	INTERNAL SERVICE FUNDS					
55002	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55003	CENTRALIZED SERVICES-DATA PROCESSING	1,016,572.91	756,614.45	877,066.91	(63,991.32)	813,075.59
55004	CENTRALIZED SERVICES-PRINTING	-	-	-	-	-
55005	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	53,223.28	56,163.59	78,397.74	(41,011.92)	37,385.82
55006	CENTRALIZED SERVICES-DONATED FOODS	2,247,705.48	2,177,143.91	2,263,304.75	(199,376.94)	2,063,927.81
55007	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	13,467,362.65	2,236,402.81	15,703,765.46
55008	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	-	-	-	-	-
55009	CENTRALIZED SERVICES-PAGNY	-	-	-	-	-
55010	CENTRALIZED SERVICES-ADMIN SUPPORT	8,473,715.65	7,291,826.24	8,451,574.70	484,294.51	8,935,869.21
55011	CENTRALIZED SERVICES-DESIGN AND CONSTR	5,640,113.46	4,501,300.48	4,846,317.21	328,096.51	5,174,413.72
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	186,190.71	162,615.71	158,114.32	17,147.00	176,261.32
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	March 31, 2021	April 30, 2021	May 31, 2021	Change	June 30, 2021
55015	CENTRALIZED SERVICES-HOMER FOLKS	1,382,226.22	1,156,582.70	-	(23,202.85)	-
55016	CENTRALIZED SERVICES-IMMICS	421,903.79	327,653.49	1,053,944.34	(133,728.03)	1,030,741.49
55017	DOWNSTATE WAREHOUSE	-	-	368,346.36	-	234,618.33
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	73,938,006.68	73,742,950.64	77,587,460.35	(1,496,728.62)	76,090,731.73
55021	NYS MEDIA CENTER	11,910,214.54	12,097,551.92	12,069,820.87	(663,298.56)	11,396,522.31
55022	BUSINESS SERVICES CENTER	31,650,237.51	33,631,143.23	36,012,277.93	(28,830,045.50)	7,182,232.43
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	70,888.26	70,888.26
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55055	CIVIL SERVICE ADMINISTRATION ACCOUNT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	3,482,424.24	727,271.32	68,236.67	(52,472.32)	15,764.35
55058	CULTURAL RESOURCE SURVEY	11,370,666.21	3,795,110.47	4,025,778.66	333,670.25	4,359,448.91
55059	NEIGHOR WORK PROJECT	-	11,651,447.84	11,436,704.24	(139,710.56)	11,296,993.68
55060	AUTOMATIC/PRINT CHARGEBACKS	-	1,124,459.62	2,464,974.17	1,161,942.70	3,658,916.87
55061	OFT NYT ACCT	-	-	-	-	-
55062	DATA CENTER ACCOUNT	91,579,457.26	91,579,457.26	91,579,457.26	(584,568.75)	90,994,888.51
55065	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55066	DOMESTIC VIOLENCE GRANT	98,665.34	123,410.05	147,132.11	(73,244.61)	73,887.50
55067	CENTRALIZED TECHNOLOGY SERVICES	93,610,709.16	107,734,772.69	116,563,463.82	(28,002,320.09)	88,661,163.73
55071	LABOR CONTACT CENTER ACCT	1,506,372.33	1,591,719.03	234,617.22	741,701.35	976,316.37
55072	HUMAN SERVICES CONTACT CNTR ACCT	3,327,243.37	3,905,662.21	4,396,820.46	(4,596,820.46)	-
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	3,178,985.26	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	8,774,835.01	8,950,573.49	9,182,130.07	302,060.26	9,484,210.33
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	31,770,860.42	33,325,687.25	35,742,442.95	3,257,481.80	38,999,924.75
55300	HEALTH INSURANCE INTERNAL SERVICE	6,244,995.48	7,207,258.93	7,994,425.28	2,160,884.16	10,175,309.44
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	6,873,883.93	6,933,184.83	7,006,964.72	2,124,491.08	9,131,455.80
55350	CORR INDUSTRIES INTERNAL SERVICE	32,577,741.51	33,239,184.94	35,214,467.23	(7,115,790.89)	28,098,676.34
	TOTAL INTERNAL SERVICE FUNDS	432,497,992.24	448,993,820.56	484,884,209.26	(58,797,230.73)	426,086,978.53
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 5,840,052,471.21	\$ 6,380,783,869.55	\$ 4,052,784,176.64	\$ 1,233,960,855.66	\$ 5,286,745,032.30

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part III, Section 1, of the Laws of 2021-22. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the reported cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

(****) Temporary Loans are authorized pursuant to Subdivision 3 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21.

APPENDIX G

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2021-2022

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30, 2021
OPENING CASH BALANCE													
RECEIPTS:													
Transfers from General Fund (**)	-	-	70,000,000	-	-	-	-	-	-	-	-	-	70,000,000
Other	1,407	-	-	-	-	-	-	-	-	-	-	-	1,407
Total Receipts	1,407	-	70,000,000	-	-	-	-	-	-	-	-	-	70,001,407
DISBURSEMENTS:													
Affordable and Homeless Housing	731,174	1,659,409	2,836,458	-	-	-	-	-	-	-	-	-	5,227,041
Broadband Initiative	4,076,555	25,384,346	8,629,425	-	-	-	-	-	-	-	-	-	38,090,326
Downtown Revitalization	378,374	1,452,326	407,573	-	-	-	-	-	-	-	-	-	2,239,273
Empire State Poverty Reduction Initiatives	-	1,446,891	415,672	-	-	-	-	-	-	-	-	-	1,862,563
Health Care / Hospital Initiatives	192,187	415,645	-	-	-	-	-	-	-	-	-	-	607,832
Information Technology/Infrastructure for Behavioral Sciences	-	276,017	1,910,168	-	-	-	-	-	-	-	-	-	2,186,185
Infrastructure Improvements	-	-	-	-	-	-	-	-	-	-	-	-	-
Jacob Javits Center Expansion	-	-	994,530	-	-	-	-	-	-	-	-	-	994,530
Life Sciences Initiative	-	2,912,068	843,903	-	-	-	-	-	-	-	-	-	4,362,894
Municipal Restructuring / Consolidation Competition	606,923	-	-	-	-	-	-	-	-	-	-	-	-
Penn. Station Access	-	-	-	-	-	-	-	-	-	-	-	-	-
Realieny, Mitigation, Security and Emergency Response	-	-	338,083	-	-	-	-	-	-	-	-	-	236,521
Southern Hudson Valley Farm Initiative	(101,562)	-	-	-	-	-	-	-	-	-	-	-	-
Troy Station	866,444	1,948,402	2,911,422	-	-	-	-	-	-	-	-	-	5,726,268
Transferrable Economic Development Projects	-	-	-	-	-	-	-	-	-	-	-	-	-
Transportation Capital Plan	6,363,584	8,182,744	6,250,000	-	-	-	-	-	-	-	-	-	20,796,328
Upstate Revitalization Program	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	13,114,679	43,677,848	25,537,234	-	-	-	-	-	-	-	-	-	82,329,761
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	13,114,679	43,677,848	25,537,234	-	-	-	-	-	-	-	-	-	82,329,761
CLOSING CASH BALANCE	\$ 83,609,252	\$ 39,931,404	\$ 84,394,170	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 84,394,170

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b
(**) Pursuant to Section 93(b) of the State Finance Law

APPENDIX H

STATE OF NEW YORK
MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS⁽¹⁾
FISCAL YEAR 2021-2022

	JUNE 2021		3 MONTHS ENDED JUNE 30		Year to Date
	Department of Health	Other State Agencies	June	Department of Health	
Adult State Share Medicaid	\$ -	\$ 66,135,005.00	\$ 66,135,005.00	\$ -	\$ 66,135,005.00
State Share Medicaid	41,824,204.00	11,729,288.08	53,553,492.08	41,824,204.00	11,370,252.31
Medical Assistance (OP/MDD)	-	510,269,411.00	510,269,411.00	-	510,401,749.79
Medical Assistance Administration	9,900,117.34	61,843,951.00	71,744,068.34	17,086,605.98	61,847,324.00
Population Health Improvement	-	-	-	-	-
Traumatic Brain Injury Services	1,068,326.21	-	1,068,326.21	2,766,376.98	-
Nursing Home Transition & Diversion	-	-	-	-	-
Reducing Maternal Mortality	-	-	-	17,380.46	-
New York Connects	-	632,011.94	632,011.94	-	3,027,852.22
Facilitated Enrollment	432,626.62	-	432,626.62	938,626.47	-
Emergency Medical Transportation	-	-	-	-	-
Managed Long-Term Care Ombudsman	-	-	-	749,303.84	-
Major Academic Pool	-	-	-	-	-
Women's Health & Multiple Births	-	-	-	-	-
Vital Access Program (OASAS)	-	-	-	-	-
Vital Access Program (OMH)	-	-	-	-	-
Vital Access Provider Services	-	-	-	-	-
General Hospitals Safety-Net Providers	-	-	-	-	-
Rural Transportation	-	-	-	-	-
AIDS Epidemic	2,157,693.47	-	2,157,693.47	3,773,575.86	-
Fluoridation Systems	-	-	-	-	-
Expanding Caregiver Support Services	138,017.48	-	138,017.48	3,333,568.78	-
Provide Affordable Housing	2,575,652.63	2,542,916.38	5,118,569.01	6,050,849.72	4,004,453.38
Health Homes Establishment	-	-	-	-	-
Community Provider Network	-	-	-	2,143,687.50	-
Inpatient Services	62,124,315.07	-	62,124,315.07	144,575,229.78	-
Patient Centered Medical Homes	578,400.75	-	578,400.75	578,400.75	-
Outpatient & Emergency Room Services	16,578,347.03	-	16,578,347.03	47,020,138.19	-
Clinic Services	20,579,522.80	-	20,579,522.80	62,566,720.48	-
Nursing Home Services	99,820,165.34	-	99,820,165.34	302,922,591.94	-
Other Long Term Care Services	(33,526,073.21)	-	(33,526,073.21)	1,465,541,844.11	-
Managed Care Services	517,378,333.41	-	517,378,333.41	1,655,929,755.78	-
Pharmacy Services	16,295,634.61	-	16,295,634.61	41,966,922.84	-
Transportation Services	12,751,646.05	-	12,751,646.05	35,442,888.85	-
Dental Services	376,047.57	-	376,047.57	924,872.65	-
Non-Institutional & Other	378,470,228.37	154,172.00	378,624,400.37	1,562,729,003.08	494,304.00
Medical Services State Facilities	204,546,987.19	-	204,546,987.19	309,976,708.88	-
CSEA Family Health Plus Buy In	-	-	-	-	-
DC37 & Teamster Local 858	-	-	-	-	-
Medical Assistance (HCRA)	300,000,000.00	-	300,000,000.00	975,000,000.00	-
Indigent Care	53,293,863.74	-	53,293,863.74	158,637,748.85	-
Provider Assessments	69,034,000.00	-	69,034,000.00	137,546,000.00	-
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-
Home Health Rate Increase (HCRA)	-	-	-	-	-
Additional DSH Payments SUNY	-	-	-	-	-
TOTAL⁽¹⁾	1,776,398,056.47	653,306,755.40	2,429,704,810.87	6,980,043,005.77	657,280,940.70
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(208,593,441.82)	-	(208,593,441.82)	(333,682,578.27)	-
TOTAL REPORTED MEDICAID	\$ 1,567,804,614.65	\$ 653,306,755.40	\$ 2,221,111,369.05	\$ 6,646,360,427.50	\$ 657,280,940.70

⁽¹⁾ General Fund and State Special Revenue Funds only. These amounts do not include Medical Assistance spending for State Operations. Department of Health regularly reclassifies spending between programs, and therefore amounts for any individual program may be restated by DOH. ⁽²⁾Source: Statewide Financial System

APPENDIX I

STATE OF NEW YORK
 MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS^(*)
 FISCAL YEAR 2021-2022

	JUNE 2021			3 MONTHS ENDED JUNE 30			Year to Date
	Department of Health	Other State Agencies	June	Department of Health	Other State Agencies		
Medical Assistance & Survey Certification Program	\$ 16,679,370.39	\$ -	\$ 16,679,370.39	\$ 39,500,625.20	\$ -	\$ 39,500,625.20	
Medical Assistance Administration	1,045.00	30,040,744.00	30,041,789.00	193,362.79	52,756,386.00	52,949,728.79	
Partnership Plan	-	-	-	-	-	-	
Inpatient Services	316,635,823.56	-	316,635,823.56	1,132,321,313.19	-	1,132,321,313.19	
Outpatient & Emergency Room Services	44,418,233.90	-	44,418,233.90	109,962,717.67	-	109,962,717.67	
Clinic Services	67,096,425.13	-	67,096,425.13	175,105,402.65	-	175,105,402.65	
Nursing Home Services	144,745,259.97	-	144,745,259.97	390,698,818.14	-	390,698,818.14	
Other Long Term Care Services	2,206,874,482.07	-	2,206,874,482.07	4,838,397,085.00	-	4,838,397,085.00	
Managed Care Services	1,530,715,789.65	-	1,530,715,789.65	4,682,770,257.35	-	4,682,770,257.35	
Pharmacy Services	41,191,703.98	-	41,191,703.98	108,055,222.68	-	108,055,222.68	
Transportation Services	49,842,026.43	-	49,842,026.43	127,405,442.68	-	127,405,442.68	
Dental Services	1,061,756.53	-	1,061,756.53	2,767,738.57	-	2,767,738.57	
Non-Institutional & Other	(76,141,323.18)	5,901,444.00	(70,239,879.18)	40,248,323.80	6,285,430.00	46,533,753.80	
Medical Services State Facilities	74,491,480.01	-	74,491,480.01	50,474,745.51	-	50,474,745.51	
Additional DSH Payments SUNY	-	-	-	-	-	-	
TOTAL ^(**)	4,417,612,073.44	35,942,188.00	4,453,554,261.44	11,697,901,055.23	59,041,796.00	11,756,942,851.23	
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	(124,708,381.73)	-	(124,708,381.73)	(316,446,250.46)	-	(316,446,250.46)	
TOTAL REPORTED MEDICAID ^(***)	\$ 4,292,903,691.71	\$ 35,942,188.00	\$ 4,328,845,879.71	\$ 11,381,454,804.77	\$ 59,041,796.00	\$ 11,440,496,600.77	

^(*) Special Revenue Federal Funds only.
 These amounts do not include Medical Assistance spending for State Operations.
 These amounts are not comparable to Medicaid Global Cap spending.
^(**) Source: Statewide Financial System
^(***) Reported Medicaid spending does not include the Basic Health Plan.

APPENDIX

The following Appendix was filed with a Notice of Emergency Adoption pertaining to New York State Excluded Worker Fund,

I.D. LAB-30-21-00011-E published in this issue of the State Register.



STATE OF NEW YORK
OFFICE OF THE ATTORNEY GENERAL

LETITIA JAMES
ATTORNEY GENERAL

EXECUTIVE OFFICE

July 12, 2021

Roberta Reardon
Commissioner
New York State Department of Labor
Harriman State Office Campus
Building 12, Room 500
Albany, NY 12240

Dear Commissioner:

As required by statute, Section 2(5)(o) of Part EEE of Chapter 59 of the Laws of 2021, the Office of the Attorney General has reviewed and approved the Department of Labor's regulations implementing the Excluded Worker Fund.

Sincerely,

A handwritten signature in black ink, appearing to read "Jennifer Levy", enclosed in a rectangular box with a dotted border.

Jennifer Levy
First Deputy Attorney General