REW YORK STATE REGISTER

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on May 29, 2022
- the 45-day period expires on May 14, 2022
- the 30-day period expires on April 29, 2022

KATHY HOCHUL GOVERNOR

ROBERT J. RODRIGUEZ SECRETARY OF STATE

NEW YORK STATE DEPARTMENT OF STATE

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The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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- AAM -the abbreviation to identify the adopting agency
- 01 -the *State Register* issue number
- 96 -the year

00001 -the Department of State number, assigned upon receipt of notice.

E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Office of Alcoholism and Substance Abuse Services

EMERGENCY RULE MAKING

Masking Requirements in All OASAS Certified/Funded/ Otherwise Authorized Settings

I.D. No. ASA-52-21-00005-E Filing No. 159 Filing Date: 2022-03-10 Effective Date: 2022-03-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action: *Action taken:* Addition of Part 808 to Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 19.07(c), (e), 19.09(b),

19.21(b), 32.01, 32.07(a) and 32.21

Finding of necessity for emergency rule: Preservation of public health and public safety.

Specific reasons underlying the finding of necessity: The proposed emergency rule adds new Part 808 to require masks to be worn in all OASAS Certified, Funded, or otherwise authorized settings for staff, program participants and visitors. Such amendments are consistent with Centers for Disease Control and Prevention Guidance which was created to protect the health, safety and welfare of individuals and prevent further transmission and long-term illness or fatality from SARS-CoV-2 (COVID-19) pandemic. The addition of this rule is necessary to preserve public health and prevent further transmission of COVID-19 to all staff and patients in OASAS settings, as the pandemic continues to present an immediate

threat. OASAS originally submitted this rule in September 2021 as an emergency rulemaking, which can only be extended for periods of time but not made permanent. In December 2021, given the continued national public health emergency and the rising number of COVID-19 cases in New York State, OASAS submitted the rule as an emergency adoption and proposed rulemaking so that the rule can become final after receipt and assessment of public comment. At this time, OASAS is submitting only an emergency adoption to continue the effectiveness of the rule. Given the unpredictability of COVID-19, OASAS believes that masks are still required in these settings, given the substantial person-to-person interaction. OASAS will reassess in spring 2022 whether the rule should be adopted as a final rule.

Subject: Masking requirements in all OASAS certified/funded/otherwise authorized settings.

Purpose: To prevent the ongoing threat to public health of the spread of COVID-19 in OASAS settings.

Text of emergency rule: Addition of new Part 808 to Title 14 as follows: COVID-19 Mask Wearing Requirements in Certified, Funded or Otherwise Authorized Settings

Section 808.1 Background and Intent.

(a) The purpose of this Part is to provide a healthy environment for staff, patients, volunteers and visitors in combatting the SARS-CoV-2 (COVID-19) pandemic in New York State in certified, funded or otherwise authorized entities by mandating consistent with Office and Centers for Disease Control and Prevention Guidance.

Section 808.2 Legal Basis.

(a) Section 19.07(e) of the Mental Hygiene Law authorizes the Commissioner of the Office of Addiction Services and Supports ("the Commissioner") to adopt standards including necessary rules and regulations pertaining to substance use disorder services.

(b) Section 19.07(c) of the Mental Hygiene Law charges the Office with the responsibility for seeing that persons in need of treatment for substance use disorder services and their families receive high quality care and treatment, and that the personal and civil rights of persons receiving care, treatment and rehabilitation are adequately protected.

(c) Section 19.09(b) of the Mental Hygiene Law authorizes the Commissioner to adopt regulations necessary and proper to implement any matter under his or her jurisdiction.

(d) Section 19.21(b) of the Mental Hygiene Law requires the Commissioner to establish and enforce certification, inspection, licensing, and treatment standards for substance use disorder treatment facilities.

(e) Section 32.01 of the Mental Hygiene Law authorizes the Commissioner to adopt any regulation reasonably necessary to implement and effectively exercise the powers and perform the duties conferred by Article 32 of the Mental Hygiene Law.

(\mathring{f}) Section 32.07(\mathring{a}) of the Mental Hygiene Law authorizes the Commissioner to adopt regulations to effectuate the provisions and purposes of Article 32 of the Mental Hygiene Law.

(g) Section 32.21 of the Mental Hygiene Law authorizes the Commissioner to suspend, revoke, or limit an operating certificate and impose fines where a provider has failed to comply with the terms of its operating certificate or with the provisions of any applicable statute, rule, or regulation.

Section 808.3 Applicability.

(a) This Part shall apply to any program organized and operating pursuant to the provisions of this Title and certified, funded, or otherwise authorized by the Office as a provider of substance use disorder or gambling prevention, treatment or recovery services, including but not limited to the following:

(1) *Title 14 NYCRR Part 343 Alcohol Awareness Education Program for Youth;*

(2) Title 14 NYCRR Part 816 Substance Use Disorder Withdrawal and Stabilization Services, including Medically Supervised Outpatient Withdrawal and Stabilization Services;

(3) Title 14 NYCRR Part 817 Substance Use Disorder Residential Rehabilitation Services For Youth (RRSY);

(4) Title 14 NYCRR Part 818 Substance Use Disorder Inpatient Rehabilitation Services;

(5) Title 14 NYCRR Part 819 Chemical Dependence Residential Services, except for supportive living services provided in scatter sites;

(6) Title 14 NYCRR Part 820 Residential Services, except those services provided in non-congregate settings;

7) Title 14 NYCRR Part 822 Outpatient Treatment Services;

(8) Title 14 NYCRR Part 823 Child and Family Treatment and Support Services;

(9) Title 14 NYCRR Part 825 Integrated Outpatient Services;

(10) Title 14 NYCRR Part 1030.3 Drug-free prevention services;

(11) Services which are not certified but are funded or otherwise authorized by the Office.

Section 808.4 Definitions. (a) "Mask" means a face covering that fits snugly and covers both the nose and mouth.

(b) "Staff" shall include the director, employee or volunteer of a facility or provider agency, contract staff, students, or those who engage in activities that if they were to be infected with COVID-19 they would potentially expose patients to the disease.

Section 808.5 Masking Requirements.

(a) Effective immediately, all Programs shall have policies and procedure in place to ensure all staff, visitors and individuals receiving services regardless of vaccination status wear appropriate masks, consistent with any directives issued by the Office and consistent with guidance from the Centers for Disease Control and Prevention (CDC).

(b) Exemptions from mask wearing requirements for staff, individuals receiving services, and visitors.

(1) Masks are not required to be worn by:

(i) Children under two years of age;

(ii) A person with a disability who cannot wear a mask, or cannot safely wear a mask, for reasons related to the disability; or

(iii) A person for whom wearing a mask would create a risk to workplace health, safety, or job duty as determined by an Occupational Health and Safety Administration workplace risk assessment.

(2) Where a mask cannot be worn due to disability or a risk to workplace health, safety or job duty:

(i) A face shield should be used as an alternative whenever practical;

(ii) Any exemption from mask wearing must be based upon proof of disability or risk which is retained in a distinct recordkeeping system to assist with monitoring compliance.

Section 808.6 Penalties.

(a) Failure to comply with the requirements of this regulation may result in limitation to a provider's operating certification, including suspension or revocation, and the imposition of fines for each violation in accordance with Mental Hygiene Law Section 32.21.

Section 808.7 Severability.

If any provision of this Part or the application thereof to any person or circumstance is held invalid, such invalidity shall not affect other provision or applications of this Part which can be given effect without the invalid provision or applications, and to this end the provisions of this Part are declared to be severable.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. ASA-52-21-00005-EP, Issue of December 29, 2021. The emergency rule will expire May 8, 2022

Text of rule and any required statements and analyses may be obtained *from:* Kelly E Grace, Office of Addiction Services and Supports, 1450 Western Ave., Albany, NY 12203, (518) 366-7958, email: Kelly.Grace@oasas.ny.gov

Regulatory Impact Statement

1. Statutory Authority:

(a) Section 19.07(e) of the Mental Hygiene Law authorizes the Commissioner of the Office of Addiction Services and Supports ("the Commissioner") to adopt standards including necessary rules and regulations pertaining to substance use disorder services.

(b) Section 19.07(c) of the Mental Hygiene Law charges the Office with the responsibility for seeing that persons in need of treatment for substance use disorder services and their families receive high quality care and treatment, and that the personal and civil rights of persons receiving care, treatment and rehabilitation are adequately protected.

(c) Section 19.09(b) of the Mental Hygiene Law authorizes the Commissioner to adopt regulations necessary and proper to implement any matter under his or her jurisdiction.

(d) Section 19.21(b) of the Mental Hygiene Law requires the Commis-

sioner to establish and enforce certification, inspection, licensing, and treatment standards for substance use disorder treatment facilities.

(e) Section 32.01 of the Mental Hygiene Law authorizes the Commissioner to adopt any regulation reasonably necessary to implement and effectively exercise the powers and perform the duties conferred by Article 32 of the Mental Hygiene Law.

(f) Section 32.07(a) of the Mental Hygiene Law authorizes the Commissioner to adopt regulations to effectuate the provisions and purposes of Article 32 of the Mental Hygiene Law.

(g) Section 32.21 of the Mental Hygiene Law authorizes the Commissioner to suspend, revoke, or limit an operating certificate and impose fines where a provider has failed to comply with the terms of its operating certificate or with the provisions of any applicable statute, rule, or regulation.

2. Legislative Objectives: The legislature has authorized OASAS to establish standards and regulations governing the provision of addiction treatment services, including problem gambling services, as well as standards for providers seeking to offer such services.

3. Needs and Benefits: This regulation is added on an emergency basis consistent with other regulations contained in Title 14. This regulation is necessary to ensure the safety of those working, visiting and receiving services in programs certified, funded or otherwise authorized by OASAS from health impacts associated with the COVID-19 pandemic. The rule was originally submitted by OASAS as an emergency adoption, and OASAS wishes to continue the emergency adoption at this time, while continuing to assess whether the rule should be made permanent.

4. Costs: No additional administrative costs to the agency are anticipated and no additional costs to providers are anticipated.

5. Paperwork: Providers are required to develop policies and procedures to ensure implementation of these requirements within the program.

6. Local Government Mandates: There are no new local government mandates.

7. Duplication: This proposed rule does not duplicate, overlap, or conflict with any State or federal statute or rule.

8. Alternatives: The alternative is to remain silent on masking requirements which would result in inconsistent operation between programs and potentially endanger program participants and employees, volunteers, contractors, during the context of a global pandemic.

9. Federal Standards: This regulation does not conflict with federal standards.

10. Compliance Schedule: This emergency rulemaking will be effective upon filing with the Department of State. Public comment will be received, and upon review of the public comment the rule will be adopted as permanent.

Regulatory Flexibility Analysis

OASAS has determined that the rule will not impose any adverse economic impact or reporting, recordkeeping or other compliance requirements on small businesses or local governments because the proposed regulation does not impose any requirements on certified, funded or otherwise authorized providers that were not previously required under federal guidelines.

Rural Area Flexibility Analysis

OASAS has determined that the rule will not impose any adverse impact on rural areas or reporting, recordkeeping or other compliance requirements on public or private entities in rural areas because the proposed regulation does not impose any new requirements on prevention, treatment or recovery programs beyond those already recommended by federal guidance.

Job Impact Statement

OASAS is not submitting a Job Impact Statement for this rulemaking. OASAS does not anticipate a substantial adverse impact on jobs and employment opportunities because the proposed regulation does not impose any new employment requirements on prevention, treatment or recovery programs.

Assessment of Public Comment

The agency received no public comment.

Office of Cannabis Management

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Part 116 - Conditional Adult-Use Retail Dispensary

I.D. No. OCM-13-22-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule: *Proposed Action:* Addition of Part 116 to Title 9 NYCRR.

Froposed Action: Addition of Part 110 to Thile 9 N I

Statutory authority: Cannabis Law, section 13

Subject: Part 116 - Conditional Adult-Use Retail Dispensary.

Purpose: The proposed rule establishes the framework for a subset of retail licenses for the adult-use cannabis program in New York.

Substance of proposed rule (Full text is posted at the following State website: https://cannabis.ny.gov/cannabis-control-board-meetings): As required by sections 13 of the Cannabis Law, Chapter II of Subtitle B of Title 9 (Executive) of the Official Compilation of Codes, Rules and Regulations of the State of New York is amended, and a new Part 116 added, to be effective upon publication of a Notice of Adoption in the New York State Register.

§ 116.1 Definitions. Section 116.1 defines terms used in Part 116, including but not limited to "licensee", "conditional period", "eligible applicant", "fund", "justice involved" "qualifying business", and "true party of interest".

§ 116.2 Application for Conditional Adult-Use Retail Dispensary License. Establishes the application process under the Office of Cannabis Management for persons interested in applying for a conditional adult-use cannabis retail dispensary license. The section also provides for the information that an applicant will be required to include in their application for the license and that the applicant must provide notification to the Office of any changes to such information.

\$ 116.3 Attestations. Requires applicants to attest that they will, among other things, submit to the jurisdiction of New York courts, only obtain and sell adult-use cannabis product as permitted by the Cannabis Law and its rules and regulations, provide complete disclosure of required information, not attempt to conceal ownership or control over the operations, enter into a labor peace agreement with a bona fide labor organization, and comply with all applicable state and local laws, regulations and guidance.

§ 116.4 License Eligibility and Evaluation. Establishes the minimum requirements that an applicant must meet to be eligible for the conditional adult-use retail dispensary license. Establishes the criteria based on which the applicant will be evaluated to determine which applicant is issued a license. Establishes a process for selection of applicants in the event there are greater number of applicants than available licenses. § 116.5 Denials. Establishes the basis on which an application will be

§ 116.5 Denials. Establishes the basis on which an application will be denied a license, including, among others, failure to submit materials by the required deadline, failure to submit fingerprints, failure to comply with state, local and federal laws, revocation of cannabis license in other jurisdictions, delinquency in filing any required tax returns and paying any amounts owed related thereto, violation of Cannabis Law.

§ 116.6 Application for Renewal or Transition. Establishes the process under the Office of Cannabis Management by which licensees can renew their registration within the conditional period. Requires the submission of a renewal application. Provides an opportunity for a licensee to transition to a non-conditional adult-use retail dispensary license upon the expiration of the four-year conditional period.

§ 116.7 Requirements and Prohibitions for Conditional Adult-Use Retail Dispensary License. Lists requirements for conditional adult-use retail dispensary licensees, including ownership and control requirements, compliance with all terms and conditions of any agreements approved by the Cannabis Control Board, including any loan and lease agreements, and compliance with all local and state laws and regulations.

§ 116.8 Suspension, Revocation, and Surrender. Establishes the process for deeming a license surrendered in the event the licensee fails to comply with all state and local laws, regulations and guidance. Establishes the process for ceasing operations in the event licensee wishes to cease operating a conditional adult-use retail dispensary.

§ 116.9 Severability. Provides for the severability of the provisions in the regulations in the event any provision or its application is found to be invalid.

Text of proposed rule and any required statements and analyses may be obtained from: Diana Yang, New York State Office of Cannabis Management, 1220 Washington Avenue, Albany, NY 12226, (888) 626-5151, email: regulations@ocm.ny.gov

Data, views or arguments may be submitted to: Same as above. *Public comment will be received until:* 60 days after publication of this notice.

Regulatory Impact Statement

Statutory Authority

Section 13 of the Cannabis Law provides, in part, that the Cannabis Control Board (Board) shall propose such rules and regulations as the Board may deem necessary or proper to fully effectuate the provisions of the Cannabis Law. These proposed rules and regulations shall include the application, eligibility and licensing of applicants seeking to obtain a conditional adult-use retail dispensary license.

Legislative Objectives:

The Legislature intended to regulate, control, and tax cannabis, generate significant new revenue, make substantial investments in communities and people most impacted by cannabis criminalization, reduce participation of otherwise law-abiding citizens in the illicit market, end the racially disparate impact of existing cannabis laws, create new industries, and increase employment.

The proposed regulations accomplish this objective by establishing application requirements for initial and renewal licensure as a conditional adult-use retail dispensary (CAURD). This will, in turn, create retail dispensary spaces in New York State for the initial phase of adult-use retail dispensaries roll-out. The proposed regulations create a structure to define who eligible applicants are, the relationship they must have to being justice involved, and ensuring that there are no fraudulent activities by vetting all in the ownership structures, including true parties of interest, all while creating a process to determine eligibility and evaluate which eligible applicants would be placed in regional geographic locations, with considerations of preference and need. Finally, the proposed regulation outlines the process for renewal of the license, how to transition out of the conditional period and what requirements and prohibitions exist for running a conditional adult-use retail dispensary. The regulation details what constitutes a surrender of a license and what a licensee needs to do to cease operations.

Needs and Benefits:

The proposed rule establishes the framework necessary to address common challenges faced by adult-use cannabis programs in other states on providing access and opportunity in the market to those most impacted by the enforcement of the prohibition of cannabis. The common challenges faced by programs in other states tend to be: (1) access to capital; (2) access to real estate, and (3) timing into the market. The application process, eligibility and evaluation criteria, and requirements and prohibitions for a CAURD license seek to address each identified barrier and ensure that New York realizes the foundational social and economic equity goals of the Cannabis Law and Marihuana Regulation and Taxation Act (the Act).

These criteria, shaped in tandem with State partners, strike a balance of licensing those most impacted, while also giving an early licensing opportunity to entrepreneurs that have demonstrated capacity to operate a business in what stands to be a dynamic, complex regulatory environment and potentially hyper-competitive market.

The proposed rule establishes parameters around how individuals may apply and what is needed to be eligible to apply, for a CAURD. The objective is to provide a cohort of entrepreneurs, whose lives have been directly and significantly impacted by the disproportionate enforcement of cannabis prohibition but who have also demonstrated the ability to grow and run a business, the first opportunity to obtain a retail license in New York's legal adult-use cannabis market.

The CAURD license is a subset of the retail dispensary license but is distinguished from other retail license types in that it is only available to applicants who can meet the eligibility criteria and may receive support from New York State in the form of a loan or assistance finding and securing a retail dispensary location, if available.

Costs:

Costs for the Implementation of, and Continuing Compliance with the Regulation to the Regulated Entity:

There will be no costs associated with the proposed regulation to the regulated entity related to the application for licensure as a CAURD licensee. There is no application fee to initially apply for a CAURD license. Prior to the expiration of the first renewal period, the regulated entity will need to submit an application to renew the license but there is no renewal fee. Upon the expiration of the conditional period (which is four years from the date the CAURD license is granted), the regulated entity shall have an opportunity to transition to an adult-use retail dispensary as prescribed by the Office under forthcoming adult-use retail dispensary regulations, which are still in development.

Upon licensure, there will be costs related to the operation of a CAURD license imposed upon the regulated entity to comply with all the laws, rules and regulations governing adult-use retail dispensary licenses. Sections 72 and 85 of the Cannabis Law outline requirements for retail dis-

pensary licenses including but not limited to, identification checks to ensure all customers are 21 years or older, security provisions to prevent inversion or diversion of cannabis products, record keeping requirements, tax collection and remitting responsibilities and making public health educational materials available at retail dispensary locations.

Costs to State and Local Governments:

The proposed rule does not require the state or local government to perform any additional tasks beyond those contemplated under the Cannabis Law. Additionally, the Cannabis Law imposes a four percent tax on the sale of adult-use cannabis sold at a retail dispensary to be directed to the localities where the retail dispensary is located.

Costs to the Office of Cannabis Management: The Office of Cannabis Management anticipates the conditional adultuse retail dispensary program will require Office resources to support the application development and review and ongoing monitoring and compliance of licensees. Staff will be required to review and manage the applications submitted and enforce compliance associated with the conditional adult-use retail dispensaries once they are licensed. However, the Office anticipated these costs and is in line with the legislative intent of the Cannabis Law.

Local Government Mandates:

The proposed rule does not impose any new programs, services, duties or responsibilities on local government.

Paperwork:

The paperwork associated with processing applications for entities who wish to become CAURD licensees in New York State will include background information on the applicant and each individual associated with the ownership, management and financing of the applicant, among other requirements. It is anticipated that processing applications will be ongoing as applicants apply and renew. Additionally, applicants seeking prior written approval of the Office for changes to their operation or ownership structure will need to submit documents needed for the office to review and consider the request for approval or denial.

Paperwork will be associated with the maintenance of records for the applicant and licensee's operating procedures as well other records required. Applicants and licensees are required to continue to disclose any change to the information provided to the office at the time of application and after licenses are disclosed.

Duplication:

The proposed rule does not duplicate any existing State or federal requirements that are applicable to a conditional adult-use retail dispensary license.

Alternatives:

There were no significant alternatives proposals to be considered for the adoption of the proposed regulations to be considered during the regulatory process as the alternative would have been to do nothing and not establish this license or to establish a more flexible licensure schematic which did not have rules, but policy decisions. Doing nothing was not a significant alternative because there was a need to provide licenses for adult-use purposes to address the legislative intent to regulate, control, and tax cannabis, generate significant new revenue, make substantial investments in communities and people most impacted by cannabis criminalization, reduce participation of otherwise law-abiding citizens in the illicit market, end the racially disparate impact of existing cannabis laws, create new industries, and increase employment. To establish such a lofty ap-plication and licensure process, SAPA would have mandated that where there are hard and fast rules, the flexibility in establishing the procedure through guidance was also not a significant alternative because there were going to be things required of each applicant where there would be no flexibility

Federal Standards:

Federal requirements do not include provisions for a conditional adultuse retail dispensary licensure.

Compliance Schedule:

The proposed regulations will take effect upon publication of a Notice of Adoption in the New York State Register.

Regulatory Flexibility Analysis

Effect of Rule:

This proposed rule will allow conditional adult-use retail dispensaries to become licensed to sell cannabis products in New York State. Each conditional adult-use retail dispensary may operate one physical location within New York State. There are no costs to existing small business establishments or government entities in New York State.

Compliance Requirements:

Applicants who receive a conditional adult-use retail dispensary license will need to comply with all the laws, rules and regulations governing adult-use retail dispensary licenses. Sections 72 and 85 of the Cannabis Law outline requirements for retail dispensary licenses including but not limited to, identification checks to ensure all customers are 21 years or older, security provisions to prevent inversion or diversion of cannabis products, record keeping requirements, tax collection and remitting re-sponsibilities and making public health educational materials available at retail dispensary locations.

Professional Services:

No professional services will be required of small business entities and local governments.

Compliance Costs:

There are no small business entities which are currently licensed to operate a conditional adult-use retail dispensary in New York State. The proposed regulations do not impose an economic impact on any existing small business entity. Entities who wish to become licensed as a conditional adult-use retail dispensary in New York State will incur costs as sociated with the operation of a retail dispensary for cannabis product. These costs include but are not limited to record keeping requirements and security standards and protocols

Economic and Technological Feasibility:

This proposal is economically and technologically feasible. The operations of a retail dispensary do not impose any unique technological or economic challenges to small businesses or local governments compared to other similar industries such as a liquor store or pharmacy. In addition, the Marihuana Regulation and Taxation Act (MRTA) requires the conditional adult-use retail dispensary to collect and remit a 13% excise tax to the Commissioner of Tax and Finance. This tax will provide for a return of 9% to New York State and 4% to the localities in which the adult-use cannabis is dispensed. Of the 4%, the county retains 1%, and the town, city, or village retains 3%.

Minimizing Adverse Impact: These regulations will allow for the sale of adult-use cannabis to customers. The regulations permit for substantial investments in communities and people most impacted by cannabis criminalization, reduce illegal drug market, and increase employment. To minimize the potential for adverse effects associated with the sale of adult-use cannabis, towns, cities and villages were provided with the ability to opt-out of allowing dispensaries within their jurisdiction pursuant to the MRTA. Moreover, the regulations set-up the beginning of a regulatory framework to regulate the sale of adult-use cannabis products that requires public health protections including testing, labeling and packaging to minimize negative externalities of cannabis use. These regulations do not create an adverse impact to small business and local governments.

Small Business and Local Government Participation:

The proposed rule was developed in response to feedback from multiple organizations, state agencies, and advocates and who have provided feedback and suggestions for implementation of adult-use retail dispensaries and the state's general goals of providing access and opportunity to those most impacted by the enforcement of the prohibition of cannabis. There will be a 60-day public comment period with the regulations that will allow for additional comments to be considered.

Rural Area Flexibility Analysis

Types and Estimated Numbers of Rural Areas:

Outside of major cities and metropolitan population centers, the majority of counties in New York State contain rural areas. Entities that wish to become an entity licensed as a conditional adult-use retail dispensary may have up to one dispensary initially, and an additional two dispensaries thereafter as permitted by the Cannabis Law. Some of the dispensaries may be located in rural areas. The selection of conditional adult-use retail dispensaries will take into account geographic distribution to ensure the needs of consumers in rural areas are met.

Reporting, Recordkeeping and Other Compliance Requirements; and Professional Services:

There are no new reporting, recordkeeping or other compliance requirements imposed on rural areas as a result of the regulations. No new professional services will be required of rural areas. Compliance requirements will be limited to the entities who become licensed as a conditional adultuse retail dispensary.

Costs:

There are no compliance costs to existing establishments in rural areas since no new compliance activities are imposed upon them. Compliance costs will be limited to the entities who become licensed as a conditional adult-use retail dispensary.

Minimizing Adverse Impact:

The proposed rule will apply to individuals and entities who wish to operate a conditional adult-use retail dispensary. Applicants in rural areas of the state, as well as other areas of the state, will be able to obtain information regarding the license online and attend a videoconference to make the license requirement information easily accessible to all individuals and entities who wish to apply. Licensed conditional adult-use retail dispensaries will be located throughout the geographic regions to increase accessibility to consumer in rural areas.

Rural Area Participation:

The proposed rule was developed in response to feedback from multiple

organizations, state agencies, and advocates who have provided feedback and suggestions for the commencing the adult-use cannabis program. There will be a 60-day public comment period with the regulations that will allow for additional comments to be considered.

Job Impact Statement

A Job Impact Statement is not included because the Office has concluded that the proposed rule will not have a substantial adverse effect on jobs and employment opportunities. The proposed rule will allow for the opposite effect on jobs as new jobs will be created to support the activities created for conditional adult-use retail dispensaries.

Department of Civil Service

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-13-22-00015-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule: *Proposed Action:* Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Environmental Conservation, by adding thereto the positions of Assistant Regional Director Environmental Conservation (9).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov *Public comment will be received until:* 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-13-22-00016-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule: *Proposed Action:* Amendment of Appendix 1 of Title 4 NYCRR. *Statutory authority:* Civil Service Law, section 6(1) *Subject:* Jurisdictional Classification.

Subject: Julisticulonal Classification.

Purpose: To delete a position from and to classify a position in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Executive Department under the subheading "Gaming Commission," by deleting therefrom the position of Confidential Racing Aide and by increasing the number of positions of Special Assistant from 5 to 6.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov *Public comment will be received until:* 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P. Issue of January 5, 2022.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-13-22-00017-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Labor under the subheading "Workers' Compensation Board," by adding thereto the position of Data Analyst 4 (1).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov *Public comment will be received until:* 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-13-22-00018-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of Corrections and Community Supervision, by increasing the number of positions of Assistant Commissioner from 16 to 18.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov *Public comment will be received until:* 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-13-22-00019-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule: *Proposed Action:* Amendment of Appendix 2 of Title 4 NYCRR. *Statutory authority:* Civil Service Law, section 6(1) *Subject:* Jurisdictional Classification. *Purpose:* To add a subheading and classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of State, by adding thereto the subheading "Office of Renewable Energy Siting," and the positions of Renewable Energy Siting Specialist 1 (10), Renewable Energy Siting Specialist 2 (10), Renewable Energy Siting Specialist 3 (7) and Renewable Energy Siting Specialist 4 (5).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov *Public comment will be received until:* 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-13-22-00020-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendices 1 and 2 of Title 4 NYCRR. *Statutory authority:* Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class and to classify a position in the non-competitive class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of State, by increasing the number of positions of Associate Counsel from 4 to 5 and Program Associate from 1 to 3 and by adding thereto the position of Deputy Counsel; and

Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of State, by increasing the number of positions of Immigrant Community Specialist 2 from 5 to 6.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov *Public comment will be received until:* 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-13-22-00021-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Executive Department under the subheading "Statewide Financial System," by adding thereto the positions of Special Assistant (3).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov *Public comment will be received until:* 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-13-22-00022-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule: *Proposed Action:* Amendment of Appendix 2 of Title 4 NYCRR. *Statutory authority:* Civil Service Law, section 6(1) *Subject:* Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Westchester County under the subheading "Department of Laboratories and Research," by adding thereto the positions of øChief – Microbiological Services (1) and øDirector of Toxicology (1).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov *Public comment will be received until:* 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-22-00025-P, Issue of January 5, 2022.

Education Department

EMERGENCY RULE MAKING

Annual Visitation of Voluntarily Registered Nursery Schools and Kindergartens

I.D. No. EDU-48-21-00012-E Filing No. 161 Filing Date: 2022-03-11 Effective Date: 2022-03-11

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 125.1(d) and (e) of Title 8 NYCRR. Statutory authority: Education Law, sections 101, 207, 210, 3602 and 3602-e

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: At its February 2021 meeting, the Board of Regents permanently adopted amendments to section 125.1 of the Commissioner's regulations providing that Department staff must conduct mandatory visitations to voluntarily registered nursery schools and kindergartens "as soon as practicable" if prevented from doing so in the 2019-2020 or 2020-2021 school year(s) due to the COVID-19 crisis. Ordinarily, these inspections are required annually (8 NYCRR 125.1[c]). The Department extended the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2019-2020 and/or 2020-2021 school years due to COVID-19.

At its November 2021 meeting, the Board of Regents adopted emer-

gency amendments, effective November 16, 2021, to section 125.1(d) of the Commissioner's regulations extending the above flexibility to the 2021-2022 school year due to the ongoing challenges of the COVID-19 crisis. However, the proposed amendment inadvertently omitted corresponding amendments to section 125.1(e) of the Commissioner's regulations regarding extension of such schools' registration.

Therefore, at its January 2021 meeting, the Board of Regents took emer-gency action, effective January 11, 2022, to adopt revisions to the proposed rule to include an amendment to section 125.1(e) of the Commissioner's rule to include an amendment to section 125.1(e) of the Commissioner's regulations, extending the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2019-2020, 2020-2021, or 2021-2022 school years due to COVID-19. Additionally, the revised proposed rule provides that such registration shall be up for renewal in the 2022-2023 school year. A Notice of Emergency Adoption and Revised Rule Making was published in the State Register on January 26, 2022, for a 45-day public comment period.

Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 45-day public comment period required in the State Administrative Procedure Act (SAPA) section 201(4-a) for revised rule makings would be the April 2022 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earlier effective date of the proposed rule, if adopted at the April meeting, would be April 27, 2021, the date the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the January 2022 meeting for the preservation of the general welfare and public health in order to continue to provide flexibility for the annual visitation of voluntarily registered nursery schools and kindergartens during the 2021-2022 school year due to the COVID-19 crisis and to ensure the emergency action taken at the January 2022 Regents meeting remains continuously in effect.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at the April 2022 meeting, which is the first scheduled meeting after expiration of the 45-day public comment period mandated by SAPA for state agency revised rule making.

Subject: Annual visitation of voluntarily registered nursery schools and kindergartens.

Purpose: To extend flexibility for the annual visitation of voluntarily registered nursery schools and kindergartens to the 2021-2022 school year.

Text of emergency rule: Subdivisions (d) and (e) of section 125.1 of the Regulations of the Commissioner of Education is amended to read as follows:

(d) Where Department staff are unable to conduct an annual visit in accordance with subdivision (c) of this section in the 2019-2020, [or] 2020-2021, or 2021-2022 school [year] year(s) due to the COVID-19 crisis, Department staff shall conduct such visit as soon as practicable.

(e) Notwithstanding subdivision (b) of this section, any voluntarily registered school whose registration expires in the 2019-2020,[or] 2020-2021, or 2021-2022 school year, and does not receive a required on-site visit from Department staff due to the COVID-19 crisis pursuant to subdivision (d) of this section, shall have such registration extended, subject to revocation for cause, and such registration shall be up for renewal in the [2021-2022] 2022-2023 school year.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-48-21-00012-EP, Issue of December 1, 2021. The emergency rule will expire May 9, 2022

Text of rule and any required statements and analyses may be obtained *from:* Kirti Goswami, Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

. STATUTORY AUTHORITY:

Education Law § 101 continues the existence of the Education Department, with the Board of Regents as its head, and authorizes the Regents to appoint the Commissioner as chief administrative officer of the Department, which is charged with the general management and supervision of public schools and the educational work of the State.

Education Law § 207 grants general rule making authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Education Law § 210 empowers the Regents to register domestic and foreign institutions in terms of New York standards, and fix the value of degrees, diplomas and certificates issued by institutions of other states or countries and presented to entrance to schools, colleges and the professions in New York.

Education Law § 3602 provides for the apportionment of public moneys to school districts employing eight or more teachers.

Education Law § 3602-e authorizes and directs the Commissioner of

Education to award grants for the establishment and implementation of a prekindergarten program to serve eligible children. 2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to provide additional regulatory flexibility in response to the COVID-19 crisis by provide additional regulatory incromy in response mandatory visitations to voluntarily registered nursery schools and kindergartens "as soon as practicable" if prevented from doing so in the 2021-2022 school year due to the COVID-19 crisis. The proposed amendment also extends the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2021-2022 school year due to COVID-19, to hold such schools harmless for not receiving an annual visitation. Their registration will be up for renewal in the 2022-2023 school year.

3. NEEDS AND BENEFITS

At its February 2021 meeting, the Board of Regents permanently adopted amendments to Section 125.1 of the Commissioner's regulations providing that Department staff must conduct mandatory visitations to voluntarily registered nursery schools and kindergartens "as soon as practicable" if prevented from doing so in the 2019-2020 or 2020-2021 school year(s) due to the COVID-19 crisis. Ordinarily, these inspections are required annually (8 NYCRR 125.1[c]). The Department extended the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2019-2020 and/or 2020-2021 school years due to COVID-19.

The Department now proposes to amend section 125.1(d) of the Commissioner's regulations to extend this flexibility to the 2021-2022 school year due to the ongoing challenges of the COVID-19 crisis. Again, the Department will extend registrations for voluntarily registered nursery schools and kindergartens whose registration is up for renewal, and who do not have an on-site visit in the 2021-2022 school year.

Additionally, the Department proposes to amend section 125.1(e) of the Commissioner's regulations, extending the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2019-2020, 2020-2021, or 2021-2022 school years due to COVID-19. Additionally, the proposed rule provides that such registration shall be up for renewal in the 2022-2023 school year.

4. COSTS:

(a) Costs to State government: There are no additional costs to State government.

(b) Costs to local government: There are no additional costs to local government.

(c) Cost to private regulated parties: The proposed amendment does not impose any additional costs on regulated parties.

(d) Cost to the regulatory agency: The proposed amendment will not impose any additional costs on the Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed rule does not impose any program, service, duty or responsibilities on local governments.

6. PAPERWORK: The proposed rule imposes no new reporting requirements or other

paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or Federal requirements.

8. ALTERNATIVES:

The proposed rule is necessary to provide additional regulatory flexibility in response to the COVID-19 crisis by providing that Department staff must conduct mandatory visitations to voluntarily registered nursery schools and kindergartens "as soon as practicable" if prevented from do-ing so in the 2021-2022 school year due to the COVID-19 crisis. There were no significant alternatives considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated that the revised proposed amendment will be presented for permanent adoption at the April 2022 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 45-day public comment period required under the State Administrative Procedure Act for revised rule makings. Because the revised emergency action will expire before the April Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the February 2022 meeting. If adopted at the April meeting, the revised proposed amendment will become effective as a permanent rule on April 27, 2022. It is anticipated that regulated parties can achieve compliance with the proposed rule by its effective date.

Regulatory Flexibility Analysis

The purpose of the proposed revised rule is to provide additional regulatory flexibility in response to the COVID-19 crisis by providing that Department staff must conduct mandatory visitations to voluntarily registered nonpublic nursery schools and kindergartens "as soon as practicable" if prevented from doing so in the 2021-2022 school year due to the COVID-19 crisis. The proposed amendment also extends the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2021-2022 school year due to COVID-19, to hold such schools harmless for not receiving an annual visitation. Their registration will be up for renewal in the 2022-2023 school year.

The proposed revised rule does not impose any economic impact, or other compliance requirements on small businesses or local governments. Because it is evident from the nature of the proposed revised rule that it does not affect small businesses or local governments, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required and one has not been prepared.

Rural Area Flexibility Analysis

The purpose of the proposed revised rule is to provide additional regulatory flexibility in response to the COVID-19 crisis by providing that Department staff must conduct mandatory visitations to voluntarily registered nonpublic nursery schools and kindergartens "as soon as practicable" if prevented from doing so in the 2021-2022 school year due to the COVID-19 crisis. The proposed amendment also extends the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2021-2022 school year due to COVID-19, to hold such schools harmless for not receiving an annual visitation. Their registration will be up for renewal in the 2022-2023 school year.

Since the proposed revised rule merely provides flexibility for certain regulatory requirements during the COVID-19 crisis, the proposed amendment does not adversely impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed revised rule on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Job Impact Statement

The purpose of the proposed revised rule is to provide additional regulatory flexibility in response to the COVID-19 crisis by providing that Department staff must conduct mandatory visitations to voluntarily registered nonpublic nursery schools and kindergartens "as soon as practicable" if prevented from doing so in the 2021-2022 school year due to the COVID-19 crisis. The proposed amendment also extends the registration of nursery schools and kindergartens whose registration was up for renewal that did not have on-site visits in the 2021-2022 school year due to COVID-19, to hold such schools harmless for not receiving an annual visitation. Their registration will be up for renewal in the 2022-2023 school year.

Because it is evident from the nature of the proposed revised rule that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Assessment of Public Comment

The agency received no public comment.

EMERGENCY/PROPOSED RULE MAKING HEARING(S) SCHEDULED

Accelerated Due Process Procedures

I.D. No. EDU-13-22-00024-EP Filing No. 192 Filing Date: 2022-03-15 Effective Date: 2022-03-29

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of sections 200.5(j), 200.21(a); addition of section 200.5(o) to Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 3214, 4403, 4404, 4410; L. 2021, ch. 812

Finding of necessity for emergency rule: Preservation of general welfare. *Specific reasons underlying the finding of necessity:* In May 2019, the Department's Office of Special Education (OSE) imposed a compliance assurance plan (CAP) on the New York City Department of Education (NYCDOE). The CAP requires, among other actions, that the NYCDOE address the volume of due process special education complaints filed an-

nually, with the expectation that these actions would eventually lead to a reduction in due process complaint filings. Since January 2020, the Department, through its consultant Deusdedi Merced, has trained and certified 107 new impartial hearing officers (IHOs) to work exclusively in New York City (NYC). The purpose of these hiring's was to help address the volume of due process complaints in NYC. Despite this influx of hearing officers and the imposition of the CAP, the number of due process complaints awaiting IHO appointment continue to grow in NYC.

At the November 2021 Regents meeting, it was noted that in the 2020-2021 school year, 14,141 special education due process complaints were filed in NYC, as compared to 10,798 filings during the 2019-2020 school year. As of February 18, 2022, this volume of cases has resulted in a waitlist of approximately 4,049 due process complaints in NYC that do not yet have an IHO appointed. Chapter 812 of the Laws of 2021 (Chapter 812) was enacted to address

Chapter 812 of the Laws of 2021 (Chapter 812) was enacted to address the timely resolve of due process complaints. Chapter 812, effective March 29, 2022, amends Education Law § 4404 to permit immediate appointment of an IHO to due process complaints that have been on the waitlist for 196 days so that the IHO can issue an order based on a proposed order of relief submitted by the parent identifying appropriate and individualized programs and services for the student.

Therefore, the Department proposes to amend the Commissioner's regulations to conform such with Chapter 812. Specifically, the proposed rule amends section 200.5(j)(3)(i)(a) of the Commissioner's regulations to permit the immediate appointment of an IHO to conduct an accelerated review of a due process complaint. Also proposed is the addition of section 200.5(o) to the Commissioner's regulations which establishes the process by which a parent may request an accelerated review and indicates the timelines and procedures for an IHO to issue an accelerated order of relief or finding. Finally, the proposed amendment to section 200.21(a) of the Commissioner's regulations establishes the compensation rate for IHOs that have been appointed to accelerated due process cases.

Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the September 2022 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the September 2022 meeting, would be the date the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the March 2022 meeting for the preservation of the general welfare to immediately conform the Commissioner's regulations to Chapter 812 of the Laws of 2021.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at the September 2022 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for state agency rule making. However, since the emergency action will expire before the June 2022 Regents meeting, it is anticipated that additional emergency action will be presented for adoption at the May and July 2022 Regents meetings.

Subject: Accelerated due process procedures.

Purpose: To implement chapter 812 of the Laws of 2021.

Public hearing(s) will be held at: 4:00 p.m., June 8, 2022 via Zoom, Link: https://zoom.us/j/94999944349?pwd=MDhYbnV3WFR6dHRZU00 rakFDR0Yrdz09, Meeting ID: 949 9994 4349, Passcode: 452424, Call In: +1 646 558 8656 US (New York); 11:00 a.m., June 15, 2022 via Zoom, Link: https://zoom.us/j/91822156772?pwd=OHJUbjQw V3RPL3JUZXVDOXF4NmZuUT09, Meeting ID: 918 2215 6772, Passcode: 672220, Call In: +1 646 558 8656 US (New York)

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Text of emergency/proposed rule: 1. Clause (a) of subparagraph (i) of paragraph (3) of subdivision (j) of section 200.5 of the Regulations of the Commissioner of Education, is amended to read as follows:

(a)(1) The rotational selection process must be initiated immediately, but not later than two business days after receipt by the school district of the due process complaint notice or mailing of the due process complaint notice to the parent.

(2) Notwithstanding subclause (1) of this clause, pursuant to Education Law § 4404(1-a), if an impartial hearing officer is not appointed within one hundred ninety six days from receipt by the district of a due process complaint filed by the parent regarding the evaluation, educational placement, provision of a free appropriate public education to the student or in accordance with Education Law § 3602-c, an opportunity to seek accelerated relief pursuant to subdivision (o) of this section shall be provided. Temporary appointment of an impartial hearing officer to determine the student's placement during the pendency of a proceeding brought pursuant to this Part, consolidation of cases, or provision of an independent educational evaluation, or when a refiled case is assigned pursuant to subparagraph (iv) of paragraph (6) of this subdivision and subsequently placed back on the list of due process complaint notices awaiting appointment of an impartial hearing officer shall not constitute apparagraph above.

2. Section 200.5 of the Regulations of the Commissioner of Education is amended by adding a new subdivision (o) to read as follows:

(o) Accelerated review and order of relief.

(1) Pursuant to Education Law § 4404(1-a) a district shall notify a parent in writing no later than five business days after one hundred ninety days have elapsed since the filing of the due process complaint. Thereafter, the parent may request immediate appointment of an impartial hearing officer to undertake an accelerated review, under the following circumstances:

(i) the complaint does not involve a claim regarding initial identification as a student with a disability or a manifestation determination;

(ii) the parent requests initiation of accelerated review; and

(iii) the parent agrees that the review will be conducted based exclusively on the written record developed pursuant to this section.

(2) When accelerated review is sought, the district shall be deemed to have denied the student a free appropriate public education by virtue of the delay in the appointment of an impartial hearing officer. This finding is binding and shall not be subject to appeal to a State review officer of the State Education Department pursuant to subdivision (k) of this section.

(3) The accelerated review shall be conducted in place of the hearing procedures specified in subdivision (j) of this section and shall be conducted in accordance with the following schedule:

(i) Within one business day of receipt of a parent's request for accelerated review, an impartial hearing officer shall be appointed pursuant to subdivision (e) of section 200.2 of this Part to conduct the accelerated review.

(ii) Within two business days of appointment, the impartial hearing officer shall notify the parties via email of the schedule for the electronic submission by the parent of a proposed order of relief and supporting written documentation pursuant to this section; such documentation may include affidavits, affirmations, and/or declarations as well as exhibits.

(iii) The schedule must require completion of the parent's submission of all documentation via email to the impartial hearing officer and to the district's representative no later than 10 business days after the date of the impartial hearing officer's notification pursuant to subparagraph (ii) of this paragraph.

(iv) Within two business days after receipt of the parents' electronic submission, the school district may file objections to the proposed relief together with a proffer of any documentation it wishes to be permitted to enter into the record for review by the impartial hearing officer. The district's objections and any supporting documentation must be submitted via email to the impartial hearing officer and the parent.

(v) Within two business days after receipt of the school district's objections to the proposed relief, if any, the parent may submit a written response via email to the impartial hearing officer and to the district's representative.

(vi) Within two business days after receipt of the parent's response, if any, or two business days after receipt of the parents' proposed order and evidence, if no objections and supporting documentation are submitted, the impartial hearing officer shall determine what documents are to be admitted, and shall certify the record that forms the basis for the order of relief or finding.

(vii) Within two business days after certification of the record, the impartial hearing officer shall issue a final determination in the form of:

(a) the order of relief proposed by the parents;

(b) the order of relief proposed by the parents as modified by the impartial hearing officer based upon the written record; or

(c) a finding that no relief is warranted based upon the written record

(vii) If either party disagrees with the impartial hearing officer's order of relief or finding, they retain the right to appeal to a State review officer of the State Education Department consistent with paragraph two of this subdivision and with the procedures outlined in subdivision (k) of this section, except that a parent cannot appeal a final determination in the form of the order of relief proposed by the parent.

(4) School districts that have had due process complaint notices resolved pursuant to this subdivision shall report annually, on a form and in a format prescribed by the Commissioner, the number of complaints that sought accelerated relief, the nature of the particular relief sought, and the resolution of such complaints, to the governor, the Commissioner, the temporary president of the senate, the speaker of the assembly, the chair of the senate education committee, the chair of the senate city of New York education committee and the chair of the assembly education committee.

3. Subdivision (a) of section 200.21 of the Regulations of the Commissioner of Education is amended to read as follows:

(a) Impartial hearing officer rates. Commencing July 1, 1995, impartial hearing officers shall be compensated in an amount not to exceed the applicable rate prescribed in a schedule of maximum rates approved by the director of the Division of the Budget. *Provided, however, that any impartial hearing officers, other than impartial hearing officers assigned by a permanent, standing administrative tribunal in a city school district having a population of one million or more inhabitants, who are appointed to conduct an accelerated review as outlined in subdivision (o) of section 200.5 of this Part shall be compensated at a flat rate of \$500 per case.*

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire June 12, 2022.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Christopher Suriano, Assistant Commissioner, NYS Education Department, Office of Special Education, 89 Washington Avenue, Room 301M EB, Albany, NY 12234, (518) 473-2878, email: REGCOMMENTS@nysed.gov

Public comment will be received until: Five days after the last scheduled public hearing.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement 1. STATUTORY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and of all the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 305(1) authorizes the Commissioner to enforce laws relating to the State educational system and execute Regents educational policies. Section 305(2) provides the Commissioner with general supervision over schools and authority to advise and guide school district officers in their duties and the general management of their schools.

Education Law § 3214(3)(g) establishes the authority of an impartial hearing officer relating to a change in placement to interim alternative educational settings.

Education Law § 4403 outlines the Department's responsibilities regarding special education programs and services to students with disabilities. Section 4403(3) authorizes the Department to adopt regulations as the Commissioner deems in their best interest.

Education Law § 4404 establishes the appeal procedures for students with disabilities. Subdivision (1) authorizes and requires the Commissioner to promulgate regulations relating to the qualifications, procedures and timelines for impartial hearings, as well as procedures for the suspension or revocation of impartial hearing officer certification for good cause.

Education Law § 4410(7) provides that a parent may file a written request with the board of education for an impartial hearing with respect to any matter relating to the identification, evaluation or educational placement of, or provision of a free appropriate public education to preschool students with disabilities. Subdivision (14) authorizes the Commissioner to adopt regulations to implement such statute.

Chapter 812 of the Laws of 2021 amends section 4404 of the education law to permit immediate appointment of an IHO to due process complaints that have been on the waitlist for 196 days so that the IHO can issue an order based on a proposed order of relief submitted by the parent identifying appropriate and individualized programs and services for the student.

2. LEGISLATIVE OBJECTIVES:

Consistent with the above statutory authority, the proposed amendment implements Chapter 812 of the Laws of 2021 (Chapter 812) by: (1) permitting the immediate appointment of an Impartial Hearing Officer (IHO) to conduct an accelerated review of a due process complaint; (2) establishing a process by which a parent may request an accelerated review and timelines and procedures for an IHO to issue an accelerated order of relief or finding; and (3) establishing a compensation rate for IHOs that have been appointed to accelerated due process cases.

3. NEEDS AND BENEFITS:

In May 2019, the Department's Office of Special Education (OSE) imposed a compliance assurance plan (CAP) on the New York City

Department of Education (NYCDOE). The CAP requires, among other actions, that the NYCDOE address the volume of due process special education complaints filed annually, with the expectation that these actions would eventually lead to a reduction in due process complaint filings. Since January 2020, the Department, through its consultant Deusdedi Merced, has trained and certified an additional 107 new impartial hearing officers (IHOs) to work exclusively in New York City (NYC). The purpose of this training and certification of IHOs was to assist in addressing the volume of due process complaints in NYC. Despite this influx of IHOs and the imposition of the CAP, there are still thousands of complaints awaiting IHO appointment in NYC.

At the November 2021 Regents meeting, it was noted that in the 2020-2021 school year, 14,141 special education due process complaints were filed in NYC as compared to 10,798 filings during the 2019-2020 school year. As of February 18, 2022, the volume of cases has resulted in a waitlist of approximately 4,049 due process complaints in NYC that do not yet have an IHO appointed.

Chapter 812 of the Laws of 2021 (Chapter 812) was enacted to address the timely resolution of due process complaints. Chapter 812, effective March 29, 2022, amends Education Law § 4404 to permit immediate appointment of an IHO to due process complaints that have been on the waitlist for 196 days so that the IHO can issue an order based on a proposed order of relief submitted by the parent identifying appropriate and individualized programs and services for the student.

Therefore, the Department proposes to amend the Commissioner's regulations to conform such with Chapter 812. Specifically, the proposed rule amends section 200.5(j)(3)(i)(a) of the Commissioner's regulations to permit the immediate appointment of an IHO to conduct an accelerated review of a due process complaint. Also proposed is the addition of section 200.5(o) to the Commissioner's regulations which establishes the process by which a parent may request an accelerated review and indicates the timelines and procedures for an IHO to issue an accelerated order of relief or finding. Finally, the proposed amendment to section 200.21(a) of the Commissioner's regulations establishes the compensation rate for IHOs that have been appointed to accelerated due process cases.

4. COSTS:

(a) Costs to State government: There are no additional costs to State government beyond those inherent in statute.

(b) Costs to local government: The proposed amendment provides that impartial hearing officers, other than an impartial hearing officer assigned by a permanent, standing administrative tribunal in a city school district having a population of one million or more inhabitants, who are appointed to conduct an accelerated review will be compensated at a flat rate of \$500 per case

(c) Cost to private regulated parties: There are no additional costs to private regulated parties.

(d) Cost to the regulatory agency: There are no additional costs to the State Education Department.

LOCAL GOVERNMENT MANDATES:

The proposed amendment requires that school districts notify a parent in writing no later than 5 business days after 196 days has elapsed since the filing of a due process complaint and requires that within one business day of a receipt of a parent's request for accelerated review, an IHO shall be appointed. Additionally, consistent with Chapter 812, the proposed amendment provides that school districts that have due process complaint notices resolved pursuant to an accelerated review must report annually on the number of complaints that sought accelerated relief, the nature of the particular relief sought, and the resolution of such complaints to the Governor, the Commissioner and the Legislature.

6. PAPERWORK:

Consistent with Chapter 812, the proposed amendment provides that school districts that have due process complaint notices resolved pursuant to an accelerated review must report annually on the number of complaints that sought accelerated relief, the nature of the particular relief sought, and the resolution of such complaints to the Governor, the Commissioner and the Legislature.

7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or federal requirements.

8. ALTÉRNATIVES:

The proposed amendment is necessary to implement Chapter 812 of the Laws of 2021. There were no significant alternatives, and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards. 10. COMPLIANCE SCHEDULE:

The emergency rule will take effect March 29, 2022, the effective date of Chapter 812 of the Laws of 2021. It is anticipated that the proposed amendment will be presented to the Board of Regents for permanent adoption at its September 2022 meeting. If adopted at the September 2022 meeting, the proposed amendment will become effective on September 28, 2022. It is anticipated that regulated parties will be ably to comply with the proposed rule on its effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed amendment implements Chapter 812 of the Laws of 2021 (Chapter 812) by: (1) permitting the immediate appointment of an Impartial Hearing Officer (IHO) to conduct an accelerated review of a due process complaint; (2) establishing a process by which a parent may request an accelerated review and timelines and procedures for an IHO to issue an accelerated order of relief or finding; and (3) establish a compensation rate for IHOs that have been appointed to accelerated due process cases. Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments: 1. EFFECT OF RULE:

The proposed amendment applies to each of the 731 public school districts within the State.

2. COMPLIANCE REQUIREMENTS:

The proposed amendment requires that school districts notify a parent in writing no later than 5 business days after 196 days has elapsed since the filing of a due process complaint and requires that within one business day of a receipt of a parent's request for accelerated review, an IHO shall be appointed.

Additionally, consistent with Chapter 812, the proposed amendment provides that school districts that have due process complaint notices resolved pursuant to an accelerated review must report annually on the number of complaints that sought accelerated relief, the nature of the particular relief sought, and the resolution of such complaints to the Governor, the Commissioner and the Legislature. 3. PROFESSIONAL SERVICES:

The proposed amendment does not require any additional professional service requirement on local governments.

4. COMPLIANCE COSTS:

The proposed amendment provides that impartial hearing officers, other than an impartial hearing officer assigned by a permanent, standing administrative tribunal in a city school district having a population of one million or more inhabitants, who are appointed to conduct an accelerated review will be compensated at a flat rate of \$500 per case. 5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any technological requirements on local governments. See compliance costs section above regarding economic feasibility

6. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to implement Chapter 812 of the Laws of 2021. Therefore, no significant alternatives and none were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Two virtual public hearings will be conducted to obtain comment on the proposed rule. In addition, copies of the proposed amendment have been provided to school districts through the offices of the district superintendents of each supervisory district in the State and to the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

1. TYPES AND EŠTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to each of the 731 public school districts in the State and all Impartial Hearing Officers (IHOs) certified by the State Education Department, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less. 2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE

REQUIREMENTS; AND PROFESSIONAL SERVICES

The purpose of the proposed amendment is to implements Chapter 812 of the Laws of 2021 (Chapter 812) by: (1) permitting the immediate appointment of an Impartial Hearing Officer (IHO) to conduct an accelerated review of a due process complaint; (2) establishing a process by which a parent may request an accelerated review and timelines and procedures for an IHO to issue an accelerated order of relief or finding; and (3) establish a compensation rate for IHOs that have been appointed to accelerated due process cases

Specifically, the proposed amendment requires that school districts must notify a parent in writing no later than five business days after 196 days have elapsed since the filing of the due process complaint. Within one business day of receipt of a parent's request for accelerated review, an IHO must be appointed pursuant to conduct the accelerated review. Within two business days of appointment, the impartial hearing officer must notify

the parties via email of the schedule for the electronic submission by the parent of a proposed order of relief and supporting written documentation. The schedule must require completion of the parent's submission of all documentation via email to the impartial hearing officer and to the district's representative no later than 10 business days after the date of the impartial hearing officer's notification. Within two business days after receipt of the parents' electronic submission, the school district may file objections to the proposed relief together with a proffer of any documentation it wishes to be permitted to enter into the record for review by the impartial hearing officer. The district's objections and any supporting documentation must be submitted via email to the impartial hearing ofdistrict's objections to the proposed relief, if any, the parent may submit a written response via email to the impartial hearing officer and to the district's representative. Within two business days after receipt of the parent's response, if any, or two business days after receipt of the parents' proposed order and evidence, if no objections and supporting documenta-tion are submitted, the impartial hearing officer shall determine what documents are to be admitted, and shall certify the record that forms the basis for the order of relief or finding. Within two business days after certification of the record, the impartial hearing officer shall issue a final determination in the form of: the order of relief proposed by the parents; (b) the order of relief proposed by the parents as modified by the impartial hearing officer based upon the written record; or a finding that no relief is warranted based upon the written record.

Additionally, consistent with Chapter 812, the proposed amendment provides that school districts that have due process complaint notices resolved pursuant to an accelerated review must report annually on the number of complaints that sought accelerated relief, the nature of the particular relief sought, and the resolution of such complaints to the Governor, the Commissioner and the Legislature.

3. COSTS:

The proposed amendment provides that impartial hearing officers, other than an impartial hearing officer assigned by a permanent, standing administrative tribunal in a city school district having a population of one million or more inhabitants, who are appointed to conduct an accelerated review will be compensated at a flat rate of \$500 per case.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to implement Chapter 812 of the Laws of 2021. Because the statutory requirement upon which the proposed amendment is based applies to all schools in the State and all IHOs certified by the Department, it is not possible to establish differing compliance or reporting requirements or timetables or to exempt schools or IHOs in rural areas from coverage by the proposed amendment. Therefore, no significant alternatives and none were considered.

5. RURAL AREA PARTICIPATION:

Two virtual public hearings will be conducted to obtain comment on the proposed rule. In addition, copies of the proposed amendment have been provided to school districts through the offices of the district superintendents of each supervisory district in the State and to the chief school officers of the five big city school districts.

Job Impact Statement

The purpose of the proposed amendment is to implement Chapter 812 of the Laws of 2021 (Chapter 812) by: (1) permitting the immediate appointment of an Impartial Hearing Officer (IHO) to conduct an accelerated review of a due process complaint; (2) establishing a process by which a parent may request an accelerated review and timelines and procedures for an IHO to issue an accelerated order of relief or finding; and (3) establish a compensation rate for IHOs that have been appointed to accelerated due process cases.

Because it is evident from the nature of the proposed amendment that it will have no impact on jobs or employment opportunities attributable to its adoption or only a positive impact, no affirmative steps were needed to ascertain these facts and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

NOTICE OF ADOPTION

Licensure of Psychologists

I.D. No. EDU-48-21-00009-A Filing No. 195 Filing Date: 2022-03-15 Effective Date: 2022-03-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 52.10, 72.1, 72.2, 72.3, 72.4, 72.5; addition of section 72.8 to Title 8 NYCRR.

Statutory authority: Education Law, sections 202, 212, 6504, 6506(6), 6507(2)(a), 6509, 7601, 7601-a, 7603, 7604 and 7605

Subject: Licensure of Psychologists.

Purpose: To conform New York State's licensure requirements with national standards and create a pathway for licensure by endorsement.

Text or summary was published in the December 1, 2021 issue of the Register, I.D. No. EDU-48-21-00009-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Since publication of a Notice of Proposed Rule Making in the December 1, 2021 State Register, the State Education Department received the following comments:

1. COMMENT: A state psychologist association expressed support for several of the proposed amendments, such as the use of technology for supervision and allowing outside organizations to accredit doctoral programs.

DEPARTMENT RESPONSE: The Department appreciates the supportive comments as it works to improve the public's access to mental health care, while protecting the public, by implementing the proposed rule. This rule, among other things, permits applicants with doctoral degrees in psychology from accredited programs to meet the education requirements for licensure in this State. This will also eliminate the need for individual transcript review for such applicants and expedite the processing of their licensure applications. The comment is supportive of the proposed rule; therefore, no changes are necessary.

2. COMMENT: While acknowledging the Department's concern about improving access to mental health care in this State, a state psychologist association expressed concern about the proposed rule's licensure by endorsement provisions. The association stated that it is exploring a national compact for psychology (PSY/PACT) and it urged that the Department study that plan rather than permit licensure by endorsement. DEPARTMENT RESPONSE: The Department is reviewing various

DEPARTMENT RESPONSE: The Department is reviewing various options that would expedite licensure pathways for experienced psychologists who have met similar licensure requirements in another state, including licensure compact proposals, which would require legislative enactment. Such compacts generally require each jurisdiction to use the same language and requirements for licensure, which may include different requirements to those currently required for licensure in New York. Education Law § 6506(6) authorizes the Board of Regents to endorse a license issued by another state in psychology or any other profession. The proposed amendment requires 5 years of licensed practice in the other state, which exceeds the 2 years required under 8 NYCRR 59.6(b). Thus, applicants licensed by endorsement will be experienced and in good standing in the other jurisdiction. Based on the foregoing, no changes to the proposed rule are needed.

3. COMMENT: A state psychologist association is concerned that psychologists from outside of the State will not understand the needs of New York's diverse population.

DEPARTMENT RÉSPONSE: The proposed rule requires that applicants, for licensure by endorsement have at least 5 years of licensed practice in the other jurisdiction, which should provide a depth and breadth of knowledge and experience with different types of populations greater than that of less experienced psychologists. Licensure by endorsement simply provides an expedited licensure pathway for experienced psychologists who have met similar licensure requirements in another state and seek to practice in New York, either in person or through technology. Therefore, no changes to the proposed rule are needed.

4. COMMENT: A state psychologist association expressed concern that out-of-state psychologists would be more motivated to obtain New York licensure because of its higher insurance reimbursement rates rather than a desire to provide quality services to New Yorkers. DEPARTMENT RESPONSE: Article 153 of the Education Law

DEPARTMENT RESPONSE: Article 153 of the Education Law provides standards for licensure and defines the practice of the profession of psychology; it does not have any provisions relating to insurance reimbursement. Insurance reimbursement is not within the purview of the Department. Therefore, no changes to the proposed rule are needed.

5. COMMENT: A state psychologist association expressed concern about the lack of reciprocity in the proposed amendments' licensure by endorsement provisions.

DEPARTMENT RESPONSE: The Board of Regents and the Depart-

ment can only define and regulate licensure requirements and practice of the professions in this State and permitting licensure by endorsement in this State does not create a reciprocal licensure by endorsement obligation on any other state. However, once licensed in New York, a psychologist must practice the profession as defined in New York law and is subject to the disciplinary authority of the Board of Regents. Therefore, no changes to the proposed rule are needed.

6. COMMENT: A state psychologist association commented that there are other steps, besides licensure by endorsement, that could address access to care, such as reimbursement parity. DEPARTMENT RESPONSE: The comment is outside the scope of the

DEPARTMENT RESPONSE: The comment is outside the scope of the proposed rule because the Department does not establish or have the authority to establish insurance reimbursement policies or rates. Therefore, no changes to the proposed rule are needed.

7. COMMENT: A licensed psychologist, who expressed his support for the proposed rule's licensure by endorsement provisions, commented that he knows of no evidence that licensure by endorsement has been problematic to "home state" psychologists in other jurisdictions that allow it. DEPARTMENT RESPONSE: The Department appreciates the sup-

DEPARTMENT RESPONSE: The Department appreciates the supportive comment but is not in possession of evidence to refute or confirm the factual assertions therein. The comment is supportive of the proposed rule; therefore, no changes are needed.

8. COMMENT: A licensed psychologist commented that the role and function of the Department is to protect the public interest by maintaining the integrity of licensing practices and endorsement is aligned with that mission.

DEPARTMENT RESPONSE: The Department appreciates the supportive comments as it works to improve the public's access to mental health care, while protecting the public, by implementing the proposed rule which, among other things, permits licensure by endorsement. The comment is supportive of the proposed rule; therefore, no changes are needed.

9. COMMENT: A licensed psychologist identified endorsement as a positive step toward increasing the number of licensed psychologists and increasing access to care, citing his experience with endorsement in Michigan. He received that license in a week, allowing him to consult on a case in that state, adding that "Michigan got it right on endorsement and NY should, too." He disagreed with criticism of endorsement, stating that "NYSED is getting it right and we should stand behind them."

DEPARTMENT RESPONSE: The Department appreciates the supportive comments as it works to improve the public's access to mental health care, while protecting the public, by implementing the proposed rule which, among other things, permits licensure by endorsement. The comment is supportive of the proposed rule; therefore, no changes are necessary.

10. COMMENT: The Department received numerous form letters supporting the state psychologist association in exploring a reciprocity compact for licensed psychologists.

DEPARTMENT RESPONSE: The proposed rule's licensure by endorsement provisions provides an expedited licensure pathway for experienced psychologists, who are licensed and in good standing in another jurisdiction. The Board of Regents cannot unilaterally adopt a reciprocity compact by regulatory amendment. Only the Legislature and Governor can enact or amend laws, as necessary, to adopt a compact.

Additionally, compacts generally require participating states to have identical licensure requirements and may require additional fees, background checks and/or fingerprinting, and other provisions that are not part of the licensure or proposed licensure by endorsement processes in New York. Therefore, no changes to the proposed rule are necessary.

11. COMMENT: The Department received numerous form letters supporting the state psychologist association, noting that inter-jurisdictional laws vary among states and are in a state of evolution.

DEPÁRTMENT RESPONSE: A psychologist licensed in New York must practice in accordance with New York laws, rules and regulations, whether or not the psychologist and patient are in the same state. New York licensees, including those licenseed by endorsement, are subject to oversight by the Board of Regents. Licensees are responsible to know the applicable laws and regulations for each jurisdiction in which they practice. Therefore, no changes to the proposed rule are necessary.

12. COMMENT: The Department received numerous form letters supporting the state psychological association, which noted the unique aspects of New York's demographics, diverse population, and culture. These form letters also indicated that out-of-state providers must be cognizant of these factors and maintain the same high level of care to New York residents. New York State psychologists, like the association, would like to preserve their high standards in any agreement that allows for inter-jurisdictional practice.

DEPARTMENT RESPONSE: The Department recognizes and celebrates the diversity of New Yorkers, including psychologists and their clients. Licensure by endorsement is not an inter-jurisdictional agreement; it is an expedited pathway for individuals already licensed by a state with similar requirements and who have, at least, 5 years of licensed practice in that jurisdiction. Licensees must be competent in the practice of the profession, which includes providing culturally sensitive and appropriate services, whether initially licensed in New York or in another jurisdiction. Therefore, no changes to the proposed rule are necessary.

13. COMMENT: The Department received numerous form letters supporting the state psychologist association, advocating for reciprocity and fairness among jurisdictions, including scope of practice and reimbursement rates.

DEPARTMENT RESPONSE: Licensure by endorsement is not an interjurisdictional agreement, like a compact; it does not change the laws or policies of another licensing authority in another state nor address reimbursement issues. It provides an expedited licensure pathway for a psychologist licensed and in good standing in another jurisdiction and, once licensed, the psychologist must comply with New York laws and regulations. Therefore, no changes to the proposed rule are necessary.

14. COMMENT: A licensed psychologist, who strongly supports the proposed rule, expressed concern that excluding qualified, out-of-state applicants for licensure would be a detriment to people's urgent needs for mental health services, restricting rather than expanding the profession. Citing his extensive pro bono work with traumatized health care professionals, the commenter expressed the need for "all the help we can get" to provide mental health care.

DEPARTMENT RESPONSE: The Department appreciates the supportive comments as it works to improve the public's access to mental health care, while protecting the public, by implementing the proposed rule, which among other things, permits licensure by endorsement, which is an expedited licensure pathway for applicants who are licensed and in good standing in another jurisdiction. The comment is supportive of the proposed rule; therefore, no changes are necessary.

NOTICE OF ADOPTION

Definition of the Term "Year of Experience" for Permanent or Professional Certification

I.D. No. EDU-48-21-00010-A

Filing No. 193

Filing Date: 2022-03-15 Effective Date: 2022-03-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 80-1.1 of Title 8 NYCRR.

Statutory authority: Education Law, sections 14, 101, 207, 208, 305, 308, 3001, 3004 and 3009

Subject: Definition of the term "year of experience" for permanent or professional certification.

Purpose: To streamline the definition of "year of experience" for permanent or professional certification.

Text or summary was published in the December 1, 2021 issue of the Register, I.D. No. EDU-48-21-00010-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Since publication of the Notice of Proposed Rule Making in the State Register on December 1, 2021, the State Education Department received the following comment on the proposed amendment:

1. COMMENT: Several commenters fully support the proposal relating to the definition of a year of experience for Permanent or Professional certification. To highlight their support, one commenter noted that broadening the options would more fully encompass the experience that may be outside of the previously identified scope, giving teachers credit for their teaching time and thereby more effectively capturing their paid experience and moving their careers forward. Another commenter indicated that the current regulation has caused unnecessary confusion for districts trying to verify teaching experience for their staff and for BOCES Regional Certification Officers trying to determine what experience can be accepted and expressed that the proposed amendment will be helpful in expanding the types of experience that can be accepted and ultimately allow more teachers to earn their Professional certification. A third commenter stated that the suggested amendment will be helpful in eliminating the backlog in reviewing applications for certification and will support increasing the pool of teachers available to reduce the shortage in New York State. This commenter added that it will be the implementation of the regulation that will be as important as the amendment itself and believes that the Department would benefit from hearing the suggestions and needs of the districts in this regard.

and needs of the districts in this regard. DEPARTMENT RESPONSE: The comment is supportive of the proposed rule; therefore, no changes are necessary.

NOTICE OF ADOPTION

School Districts' Exemption from the Establishment of an Internal Audit Function

I.D. No. EDU-48-21-00011-A **Filing No.** 191

Filing Date: 2022-03-15 Effective Date: 2022-03-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 170.12 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 305 and 2116-b

Subject: School districts' exemption from the establishment of an internal audit function.

Purpose: To align the student enrollment number for eligibility for such exemption with the applicable statute.

Text or summary was published in the December 1, 2021 issue of the Register, I.D. No. EDU-48-21-00011-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Records Retention and Disposition Schedules

I.D. No. EDU-48-21-00013-A Filing No. 194 Filing Date: 2022-03-15 Effective Date: 2022-03-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 185.5, 185.15; repeal of sections 185.11, 185.12, 185.13, 185.14, Appendices H, I, J, K, L; addition of new Appendix L to Title 8 NYCRR.

Statutory authority: Education Law, section 207; Arts and Cultural Affairs Law, sections 57.19, 57.25 and 57.29

Subject: Records retention and disposition schedules.

Purpose: To revise records retention and disposition schedule LGS-1 and to remove superseded disposition schedules.

Substance of final rule: The Commissioner of Education proposes to amend sections 185.5, 185.15 and repeal section 185.11, 185.12, 185.13, and 185.14 of the Regulations of the Commissioner of Education and repeal appendices H, I, J, K, and L and add revised appendix L. The proposed amendment revises records retention and disposition schedule LGS-1 for use by cities, towns, villages, fire districts, counties, school districts, boards of cooperative educational services, teacher resource and computer training centers, county vocational education and extension boards, and other local governments; repeals superseded schedules; and makes conforming amendments.

The revisions to the records retention and disposition schedule LGS-1 include:

• a reduction of the retention period for state assessments from 2 years to 1 year (item 908 and 948c) and elimination of unused exams item (item 913);

• a reduction of the retention period for license plate reader data from 20 years to 1 year (item 1241) to align with NYS Municipal Police Training Council's License Plate Readers Model Policy (March 2021)¹;

ing Council's License Plate Readers Model Policy (March 2021)¹; • a reduction of retention periods for negative health screening reports and logs relating to COVID-19 and other screening programs from 1 year to 30 days (item 792);

• updates to cooperative extension records items, as proposed by Cornell University and NYS Office of Temporary and Disability Assistance, to reflect policy and program requirements (items 244-249);

• technical corrections, such as typographical errors (items 200, 575, 636, 644, 645, 948), truncation of text (item 291, 1234), clarification of text (Library/Library System section note, items 910 and 915), and omission of cross references to previous schedule items, (items 43, 254, and 513); and

 restoration of items (items 280b and 1036d) and heading (Public Safety: Law Enforcement: Motor Vehicles (including watercraft)) that appeared in previous schedules or memos which were inadvertently omitted.

peared in previous schedules or memos which were indivertently unitappeared in previous schedules or memos which were indivertently omitted. ¹The License Plate Readers Model Policy can be found at: https:// www.criminaljustice.ny.gov/crimnet/ojsa/standards/MPTC%20 LPR%20Model%20Policy%202021.pdf

Final rule as compared with last published rule: Nonsubstantial changes were made in section 185.5.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Revised Regulatory Impact Statement, Regulatory Flexibility Analysis and Rural Area Flexibility Analysis

Since publication of a Notice of Proposed Rule Making in the state Register on December 1, 2021, a non substantial revision was made to the rule, removing the reference to the January 1, 2021 date by which the governing body of local governments were required to adopt the new LGS-1 records retention and disposition schedule. Since this date has passed, the reference to such date is no longer needed in regulation. Therefore, the regulation will now reference the revised LGS-1 records retention and disposition schedule adopted by the governing body of each affected local government. This revision to the proposed rule does not necessitate any changes to the previously published Regulatory Impact Statement, Regulatory Flexibility Analysis.

Revised Job Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on December 1, 2021, the proposed rule was revised as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The revised proposed rule will not have a substantial impact on jobs and employment opportunities. Because it is evident from the nature of the revised proposed rule that it will not affect job and employment opportunities, no affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

PROPOSED RULE MAKING HEARING(S) SCHEDULED

Disability Classification "Emotional Disturbance"

I.D. No. EDU-13-22-00028-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 200.1(zz) and 200.4(j) of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 305, 4402 and 4403 *Subject:* Disability classification "emotional disturbance."

Purpose: To rename such disability classification to "emotional disability."

Public hearing(s) will be held at: 4:30 p.m., April 27, 2022 via Zoom, Link: https://zoom.us/j/91627203714?pwd=U3FVMERETkg 5NDJNZDJSQzAyMUo2UT09, Meeting ID: 916 2720 3714, Passcode: LxM5Xw, Call in: +19294362866,91627203714#,*246557# US (New York); 9:00 a.m., May 23, 2022 via Zoom, Link: https://zoom.us/j/ 97521696405?pwd=YTRDaE5PaUZTUWE2Yk5WM0FrdWVzQT09, Meeting ID: 975 2169 6405, Passcode: g5iX4x, Call in: +19294362866,97521696405#,*494917# US (New York)

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Text of proposed rule: 1. Paragraphs (1), (4), and (6) of subdivision (zz) of section 200.1 of the Regulations of the Commissioner of Education are amended to read as follows:

) Autism means a developmental disability significantly affecting verbal and nonverbal communication and social interaction, generally evident before age 3, that adversely affects a student's educational performance. Other characteristics often associated with autism are engagement in repetitive activities and stereotyped movements, resistance to environmental change or change in daily routines, and unusual responses to sensory experiences. The term does not apply if a student's educational performance is adversely affected primarily because the student has an emotional [disturbance] *disability* as defined in paragraph (4) of this subdivision. A student who manifests the characteristics of autism after age 3 could be diagnosed as having autism if the criteria in this paragraph are otherwise satisfied.

(4) Emotional [disturbance] disability means a condition exhibiting one or more of the following characteristics over a long period of time and to a marked degree that adversely affects a student's educational performance:

(i) an inability to learn that cannot be explained by intellectual, sensory, or health factors;

(ii) an inability to build or maintain satisfactory interpersonal relationships with peers and teachers;

(iii) inappropriate types of behavior or feelings under normal circumstances;

(iv) a generally pervasive mood of unhappiness or depression; or

(v) a tendency to develop physical symptoms or fears associated with personal or school problems. The term includes schizophrenia. The term does not apply to students who are socially maladjusted, unless it is determined that they have an emotional [disturbance] disability.

(6) Learning disability means a disorder in one or more of the basic psychological processes involved in understanding or in using language, spoken or written, which manifests itself in an imperfect ability to listen, think, speak, read, write, spell, or to do mathematical calculations, as determined in accordance with section 200.4(j) of this Part. The term includes such conditions as perceptual disabilities, brain injury, minimal brain dysfunction, dyslexia and developmental aphasia. The term does not include learning problems that are primarily the result of visual, hearing or motor disabilities, of an intellectual disability, of emotional [disturbance] disability, or of environmental, cultural or economic disadvantage.

2. Subparagraph (ii) of paragraph (3) of subdivision (j) of section 200.4 of the Regulations of the Commissioner of Education is amended to read as follows:

(ii) The CSE determines that its findings under this paragraph are not primarily the result of a visual, hearing, or motor disability; an intellectual disability; emotional [disturbance] disability; cultural factors; environmental or economic disadvantage; or limited English proficiency.

3. Clause (f) of subparagraph (i) of paragraph (5) of subdivision (j) of section 200.4 of the Regulations of the Commissioner of Education is amended to read as follows:

(f) the determination of the CSE concerning the effects of a visual, hearing, or motor disability; an intellectual disability; emotional [disturbance] disability; cultural factors; environmental or economic disadvantage; or limited English proficiency on the student's achievement level; and

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Christopher Suriano, Assistant Commissioner, Education Department, Office of Special Education, 89 Washington Avenue, Room 301M EB, Albany, NY 12234, (518) 473-2878, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

. STAŤUTÔRY AUTHORITY:

Education Law § 207 empowers Regents to adopt rules and regulations to carry out State education laws and functions and duties conferred on the Department.

Education Law § 305(1) authorizes the Commissioner to enforce laws relating to the State educational system and execute Regents educational policies. Section 305(2) provides the Commissioner with general supervi-sion over schools and authority to advise and guide school district officers

in their duties and the general management of their schools. Education Law § 4402 establishes school district duties regarding education of students with disabilities.

Education Law § 4403 establishes Department and school district responsibilities regarding special education programs and services to students with disabilities. § 4403(3) authorizes Commissioner to adopt regulations as deemed in their best interests

2. LEGISLATIVE OBJECTIVES:

Consistent with the above statutory authority, the proposed amendment is necessary to implement Regents policy to change the special education classification of "emotional disturbance" and replace such with the classification of "emotional disability."

3. NEEDS AND BENEFITS:

The Department recommends amendment of the special education clas-sification of "emotional disturbance." The Individuals with Disabilities Education Act 2004 (IDEA) is a federal law that makes available a free appropriate public education to eligible children with disabilities and ensures special education and related services to those children. Federal regulations define "child with a disability" as a child who meets one of thirteen classifications (34 CFR 300.8). One classification is "a serious emotional disturbance (referred to in this part as 'emotional disturbance') '. This regulation further defines the 13 classifications used in the definition of a "child with a disability," including "emotional disturbance.

Consistent with federal regulations, section 200.1(zz)(4) of the Commissioner's regulations defines "emotional disturbance" as follows:

Emotional disturbance means a condition exhibiting one or more of the following characteristics over a long period of time and to a marked degree that adversely affects a child's educational performance:

(i) an inability to learn that cannot be explained by intellectual, sensory, or health factors:

(ii) an inability to build or maintain satisfactory interpersonal relationships with peers and teachers;

(iii) inappropriate types of behavior or feelings under normal circumstances:

(iv) a general pervasive mood of unhappiness or depression; or

(v) a tendency to develop physical symptoms or fears associated with personal or school problems.

The term emotional disturbance includes schizophrenia. The term does not apply to children who are socially maladjusted, unless it is determined that they have an emotional disturbance.

Committees on special education (CSE) are required to identify a disability classification on students' individualized education programs (IEPs). However, special education and related services must be based solely on the student's individual needs, not the student's classification.

A survey of the 50 states reveals a range in the terminology used for the classification of emotional disturbance. Seven different terms are used by states as follows:

• The term "emotional disturbance" or "serious emotional disturbance" is used by 27 states, including New York State;
"Emotional disability" or "serious emotional disability" is used by 13

states:

• A variation of "emotional/behavioral disability or disorder" is used by six states:

• "Emotional impairment" is used by two states;

· "Behavior disorder" is used by one state; and

"Emotional regulation impairment" is used in one state.

The five territories of the United States use the terms emotional disturbance or serious emotional disturbance and emotional disabilities

In January 2020, the Board of Regents discussed replacing the term "emotional disturbance" in section 200.1(zz)(4) of the Commissioner's regulations. At that time, it was recommended that the Department seek stakeholder input on suggestions for replacement terminology. These efforts were delayed due to the COVID-19 pandemic. Beginning in spring 2021, the Office of Special Education (OSE) engaged stakeholders in a discussion regarding a change to the term "emotional disturbance." OSE consulted with the following organizations:

• The Commissioner's Advisory Panel for Special Education Services;

• OSE's Youth Advisory Panel;

- The Statewide Network for Special Education;
- The Staff/Curriculum Development Network of New York State;
- · The Council of Administrators of Special Education;

The Long Island Association of Special Education Administrators; and

Advocacy and parent organizations with coordination from the New York City Department of Education (NYCDOE).

The overwhelming consensus was that the term "disturbance" has a negative connotation and should not be used in New York State. In these discussions, the most frequently mentioned replacement terms included emotional disability, emotional dysregulation disability, emotional behavioral dysregulation, social emotional impairment, and emotional health impairment.

In October 2021, in consultation with the New York City Department of Education, OSE issued a Survey on Changing the Name of the Disability Classification: Emotional Disturbance, seeking suggestions from the public for replacement terminology. The survey was posted online from October 8 through November 12, 2021. OSE received 1,098 responses. When completing the survey, stakeholders were asked to select all of the constituency group(s) they represent. Of the respondents, 25 percent were a parent or family member of a child with a disability, individual with a disability, or a student with a disability who had an IEP.

Additionally, approximately 89 percent of respondents indicated that the term emotional disturbance had a negative connotation and supported a change in terminology. From a list of five potential replacement terms, (i.e. emotional disability, emotional dysregulation disability, emotional/ behavioral dysregulation, social emotional impairment and emotional health impairment), the top three proposed terms that were ranked first by stakeholders on a survey were emotional/behavioral dysregulation, social emotional impairment, and emotional disability. Other suggested terms included emotional behavioral impairment, emotional behavioral disability, behavioral impairment, mental health impairment, mental health disability, social emotional disability, and emotional regulation disability.

In response to stakeholder engagement discussions, the survey results, and the review of replacement terms used by other states and territories, the Department proposes to amend sections 200.1(zz) and 200.4(j) of the Commissioner's regulations to replace the term "emotional disturbance" with "emotional disability."

4. COSTS:

Cost to the State: None.

Costs to local government: There are no additional costs to local governments

Cost to private regulated parties: There are no additional costs to private regulated parties.

Cost to regulating agency for implementation and continued administration of this rule: None.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon local governments.

6. PAPERWORK:

The proposed amendment does not impose any reporting or other paperwork requirements. 7. DUPLICATION:

The proposed amendment does not duplicate existing State or federal requirements.

8. ALTERNATIVES:

The Department's Office of Special Education (OSE) engaged stakeholders in a discussion regarding a change to the term "emotional disturbance". The overwhelming consensus was that the term "distur-bance" has a negative connotation and should not be used in New York State. In these discussions, the most frequently mentioned replacement terms included emotional disability, emotional dysregulation disability, emotional behavioral dysregulation, social emotional impairment, and emotional health impairment.

In October 2021, in consultation with the New York City Department of Education, OSE issued a Survey on Changing the Name of the Disability Classification: Emotional Disturbance, seeking suggestions from the public for replacement terminology.

From a list of five potential replacement terms, (i.e. emotional disability, emotional dysregulation disability, emotional/behavioral dysregulation, social emotional impairment and emotional health impairment), the top three proposed terms that were ranked first by stakeholders on a survey were emotional/behavioral dysregulation, social emotional impairment, and emotional disability. Other suggested terms included emotional behavioral impairment, emotional behavioral disability, behavioral impairment, mental health impairment, mental health disability, social emotional disability, and emotional regulation disability. The Department considered all of the alternative terms, and ultimately, in response to stakeholder engagement discussions, the survey results, and the review of replacement terms used by other states and territories, the Department chose the term 'emotional disability" to replace the term "emotional disturbance'

9. FEDERAL STANDARDS

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated that the proposed amendment will be presented to the Board of Regents for permanent adoption at its July 2022 meeting. If adopted at the July 2022 meeting, the proposed amendment will become effective on July 27, 2022. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The purpose of the proposed amendment is to implement Regents policy to change the special education classification of "emotional disturbance" and replace such with the classification of "emotional disability.

Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments: 1. EFFECT OF RULE:

The proposed amendment applies to each of the 731 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

The Department recommends amendment of the special education classification of "emotional disturbance." The Individuals with Disabilities Education Act 2004 (IDEA) is a federal law that makes available a free appropriate public education to eligible children with disabilities and ensures special education and related services to those children. Federal regulations define "child with a disability" as a child who meets one of thirteen classifications (34 CFR 300.8). One classification is "a serious emotional disturbance (referred to in this part as 'emotional disturbance')". This regulation further defines the 13 classifications used in the defi-nition of a "child with a disability," including "emotional disturbance." In response to stakeholder engagement discussions, the survey results,

and the review of replacement terms used by other states and territories, the Department proposes to amend sections 200.1(zz) and 200.4(j) of the Commissioner's regulations to replace the term "emotional disturbance" with "emotional disability

3. PROFESSIONAL SERVICES:

The proposed amendment does not impose any additional professional service requirement on local governments.

4. COMPLIANCE COSTS

In general, the proposed amendment does not impose any additional costs on local governments.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

6. MINIMIZING ADVERSE IMPACT:

The purpose of the proposed amendment is to implement regents policy to change the special education classification of "emotional disturbance" and replace such with the classification of "emotional disability." Therefore, it is clear from the nature of the rule that

the proposed rule will have no adverse economic impact on local governments.

7. LOCAL GOVERNMENT PARTICIPATION:

Two virtual public hearings will be conducted to obtain comment on the proposed rule. Additionally, comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to all school districts in the State, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendment is necessary to implement regents policy to change the special education classification of "emotional disturbance" and replace such with the classification of "emotional disability." There proposed amendment does not impose any reporting, recordkeeping or other compliance requirements on districts located in rural areas nor does it impose any additional professional service requirement on such districts. 3. COSTŠ:

The proposed amendment does not impose any additional costs on districts, including those located in rural areas of the State. 4. MINIMIZING ADVERSE IMPACT:

The purpose of the proposed amendment is to implement regents policy to change the special education classification of "emotional disturbance" and replace such with the classification of "emotional disability."

Therefore, it is clear from the nature of the rule that the proposed rule will have no adverse on school districts, including those located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

Additionally, comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts, including those located in rural areas.

Job Impact Statement

The proposed rule is necessary is to implement Regents policy to change the special education classification of "emotional disturbance" and replace such with the classification of "emotional disability."

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

PROPOSED RULE MAKING HEARING(S) SCHEDULED

Special Education Due Process System Procedures

I.D. No. EDU-13-22-00029-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 200.2(e) and 200.5(j) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 3214, 4403, 4404 and 4410

Subject: Special education due process system procedures.

Purpose: To address the rotational selection process for assignment of IHOs to due process complaints; clarify language regarding IHO findings of fact and decisions; and address how IHOs must handle conflicts of interest.

Public hearing(s) will be held at: 3:00 p.m., June 8, 2022 via Zoom, Link: https://zoom.us/j/94999944349?pwd=MDhYbnV3WFR6dHRZU00 rakFDR0Yrdz09, Meeting ID: 949 9994 4349, Passcode: 452424, Call in: +1 646 558 8656 US (New York); 10:00 a.m., June 15, 2022 via Zoom, Link: https://zoom.us/j/91822156772?pwd=OHJUbjQw V3RPL3JUZXVDOXF4NmZuUT09, Meeting ID: 918 2215 6772, Passcode: 672220, Call in: +1 646 558 8656 US (New York)

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Text of proposed rule: 1. Subparagraph (ii) of paragraph (1) of subdivision (e) of section 200.2 of the Regulations of the Commissioner of Education is amended to read as follows:

(ii) available to serve in the district in hearings conducted pursuant to Education Law [, section] § 4404(1). Appointment of impartial hearing officers pursuant to Education Law [, section] § 4404(1), except as otherwise provided in this subparagraph, shall be made only from such list and in accordance with the rotation selection process prescribed herein and the timelines and procedures in section 200.5(j) of this Part. Such names will be listed in alphabetical order. Selection from such list shall be made on a rotational basis beginning with the first name appearing after the impartial hearing officer who last served or, in the event no impartial hearing officer on the list has served, beginning with the first name appearing on such list. Should that impartial hearing officer decline appointment, or if, within 24 hours, the impartial hearing officer fails to respond or is unreachable after reasonable efforts by the district that are documented and can be independently verified, each successive impartial hearing officer whose name next appears on the list shall be offered appointment, until such appointment is accepted. The name of any newly certified impartial hearing officer who is available to serve in the district shall be inserted into the list in alphabetical order. Provided, however, that in a city school district having a population of one million or more inhabitants:

(a) Any impartial hearing officers employed by, or reporting to, a permanent, standing administrative tribunal employing more than one impartial hearing officer shall be first in an alphabetical rotation when new cases are assigned, and any impartial hearing officer not employed by, or reporting to, a permanent standing administrative tribunal, will be listed in alphabetical order thereafter.

(b) Any certified impartial hearing officer available for appointment may accept more than one case at a time.

(c) Any permanent standing administrative tribunal employing more than one impartial hearing officer at a time may reassign cases between impartial hearing officers employed by, or reporting to such permanent standing administrative tribunal, to manage administrative needs such as workload distribution.

2. Subparagraph (v) of paragraph (5) of subdivision (j) of section 200.5 of the Regulations of the Commissioner of Education, is amended to read as follows:

(v) The impartial hearing officer shall determine when the record is closed and notify the parties of the date the record is closed. The deci-sion of the impartial hearing officer shall be based solely upon the record of the proceeding before the impartial hearing officer, and shall set forth the reasons and the factual basis for the determination. The decision shall reference the hearing record to support the findings of fact. The impartial hearing officer shall attach to the decision a list identifying each exhibit admitted into evidence. Such list shall identify each exhibit by date, number of pages and exhibit number or letter. In addition, the decision shall include an identification of all other items the impartial hearing officer has entered into the record. The decision shall also include a statement advising the parents and the board of education of the right of any party involved in the hearing to obtain a review of such a decision by the State review officer in accordance with subdivision (k) of this section. The decision of the impartial hearing officer shall be binding upon both parties unless appealed to the State review officer. Impartial hearing officers must sign and date their decisions as of the date the decision is being distributed and shall distribute the decision to the parties on that same day. This date shall also constitute the case closure date reported by a district to the Office of Special Education in the New York State Education Department.

3. Clause (c) of subparagraph (i) of paragraph (3) of subdivision (j) of section 200.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(c) The impartial hearing officer shall not accept appointment if [he or she is serving as the attorney in a due process complaint in the same school district or has served as the attorney in a due process complaint in the same school district within a two-year period of time preceding the offer of appointment; or if he or she is an individual with special knowledge or training with respect to the problems of children with disabilities who has accompanied and advised a party from the same school district in a due process complaint within a two-year period] the impartial hearing officer has a personal bias or prejudice concerning a party or a party's lawyer, has personal knowledge of facts that are in dispute in the case, has previously acted as an attorney for one of the parties to the matter in controversy, is likely to be a material witness in the matter in controversy, or has a personal or fiduciary interest in the matter. Additionally, an individual may not serve as an impartial hearing officer if he or she is simultaneously employed by: (1) a school district; or (2) a school or program serving students with disabilities placed by a school district committee on special education, nor may an individual employed by a school or program serving students with disabilities placed by a school district committee on special education serve as an impartial hearing officer for two years following the termination of such employment.

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Christopher Suriano, Assistant Commissioner, Education Department, Office of Special Education, 89 Washington Avenue, Room 301M EB, Albany, NY 12234, (518) 473-2878, email: REGCOMMENTS@nysed.gov

Public comment will be received until: Five days after the last scheduled public hearing.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STAŤUTÔRY AUTHORITY:

Education Law § 101 (not subdivided) charges the Department with the general management and supervision of all public schools and of all the educational work of the state.

Education Law § 207 (not subdivided) grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 305(1) authorizes the Commissioner to enforce laws relating to the State educational system and execute Regents educational policies. Section 305(2) provides the Commissioner with general supervision over schools and authority to advise and guide school district officers in their duties and the general management of their schools.

Education Law § 3214(3)(g) establishes the authority of an impartial

hearing officer relating to a change in placement to interim alternative educational settings.

Education Law § 4403 outlines the Department's responsibilities regarding special education programs and services to students with disabilities. Section 4403(3) authorizes the Department to adopt regulations as the Commissioner deems in their best interest.

Education Law § 4404 establishes the appeal procedures for students with disabilities. Subdivision (1) authorizes and requires the Commissioner to promulgate regulations relating to the qualifications, procedures and timelines for impartial hearings, as well as procedures for the suspension or revocation of impartial hearing officer certification for good cause.

Education Law § 4410(7) provides that a parent may file a written request with the board of education for an impartial hearing with respect to any matter relating to the identification, evaluation or educational placement of, or provision of a free appropriate public education to preschool students with disabilities. Subdivision (14) authorizes the Commissioner to adopt regulations to implement such statute.

2. LEGIŠLATIVE OBJECTIVES:

Consistent with the above statutory authority, the proposed amendment addresses the rotational selection process for assignment of Impartial Hearing Officers (IHOs) to due process complaints; defines the "date of decision" as it applies to impartial hearing officer findings of fact and decisions and aligns the date of decision with the distribution date and case closure date; and addresses how impartial hearing officers must handle conflicts of interest.

3. NEEDS AND BENEFITS:

In May 2019, the Department's Office of Special Education (OSE) imposed a compliance assurance plan (CAP) on the New York City Department of Education (NYCDOE). The CAP requires, among other actions, that the NYCDOE address the volume of due process special education complaints filed annually, with the expectation that these actions would eventually lead to a reduction in due process complaint filings. Since January 2020, the Department, through its consultant Deusdedi Merced, has trained and certified an additional 107 new impartial hearing officers (IHOs) to work exclusively in New York City (NYC). The purpose of this training and certification of IHOs was to assist in addressing the volume of due process complaints in NYC. Despite this influx of IHOs and the imposition of the CAP, there are still thousands of complaints awaiting IHO appointment in NYC.

At the November 2021 Regents meeting, it was noted that in the 2020-2021 school year, 14,141 special education due process complaints were filed in NYC as compared to 10,798 filings during the 2019-2020 school year. As of February 18, 2022, the volume of cases has resulted in a waitlist of approximately 4,049 due process complaints in NYC that do not yet have an IHO appointed.

In order to ensure that appointments of IHOs occurs as smoothly and efficiently as possible, the Department proposes to amend section 200.2(e) of the Commissioner's regulations to address the rotational selection process for assignment of IHOs to due process complaints. The proposed amendment provides that in New York City, any impartial hearing officers employed by, or reporting to, a permanent, standing administrative tribunal shall be first in an alphabetical rotation when new cases are assigned, and any other impartial hearing officer will be listed in alphabetical order thereafter. Additionally, this proposed amendment permits IHO's serving in New York City to accept more than one appointment at a time, and such tribunal may reassign cases between impartial hearing officers employed by or reporting to such tribunal to manage administrative needs.

Additionally, to provide clarification of terms and promote timeliness of impartial hearing decisions, the Department proposes to amend section 200.5(j)(5)(v) of the Commissioner's regulations to define the "date of decision" as it applies to impartial hearing officer findings of fact and decisions and aligns the date of decision with the distribution date and case closure date.

Finally, the Department proposes to amend section 200.5 (j)(3)(i)(c) of the Commissioner's regulations to address how impartial hearing officers must handle conflicts of interest. The proposed amendment provides that an IHO shall not accept appointment if the IHO has a personal bias or prejudice concerning a party or a party's lawyer, has personal knowledge of facts that are in dispute in the case, has previously acted as an attorney for one of the parties to the matter in controversy, is likely to be a material witness in the matter in controversy, has a personal or fiduciary interest in the matter. Additionally, an individual may not serve as an impartial hearing officer if he or she is simultaneously employed by: (1) a school district; or (2) a school or program serving students with disabilities placed by a school district committee on special education, nor may an individual employed by a school or program serving students with disabilities placed by a school district committee on special education serve as an impartial hearing officer for two years following the termination of such employment.

4. COSTS:

(a) Costs to State government: There are no additional costs to State government beyond those inherent in statute.

(b) Costs to local government: There are no additional costs to local government beyond those inherent in statute.

(c) Cost to private regulated parties: There are no additional costs to private regulated parties.

(d) Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed rule does not impose any program service, duty, responsibility, or other mandate on local governments.

6. PAPERWORK:

The proposed rule imposes no new reporting or other paperwork requirements beyond those imposed by statute.

7. DUPLICATION:

The proposed amendment does not duplicate any other existing State or federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to: address the rotational selection process for assignment of Impartial Hearing Officers (IHOs) to due process complaints; define the "date of decision" as it applies to impartial hearing officer findings of fact and decisions and aligns the date of decision with the distribution date and case closure date; and address how impartial hearing officers must handle conflicts of interest. There are no significant alternatives to the proposed rule available and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated that the proposed amendment will be presented to the Board of Regents for permanent adoption at its September 2022 meeting. If adopted at the September 2022 meeting, the proposed amendment will become effective on September 28, 2022. It is anticipated that regulated parties will be ably to comply with the proposed rule on its effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed amendment addresses the rotational selection process for assignment of Impartial Hearing Officers (IHOs) to due process complaints; defines the "date of decision" as it applies to impartial hearing officer findings of fact and decisions and aligns the date of decision with the distribution date and case closure date; and addresses how impartial hearing officers must handle conflicts of interest. Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The purpose of the proposed amendment is to address the rotational selection process for assignment of Impartial Hearing Officers (IHOs) to due process complaints; define the "date of decision" as it applies to impartial hearing officer findings of fact and decisions and aligns the date of decision with the distribution date and case closure date; and address how impartial hearing officers must handle conflicts of interest. The proposed amendment applies to each of the 731 public school districts within the State.

2. COMPLIANCE REQUIREMENTS:

The proposed amendment to section 200.2(e) of the Commissioner's regulations addresses the rotational selection process for assignment of IHOs to due process complaints. The proposed amendment provides that in New York City, any impartial hearing officers employed by, or reporting to, a permanent, standing administrative tribunal shall be first in an alphabetical rotation when new cases are assigned, and any other impartial hearing officer will be listed in alphabetical order thereafter. Additionally, this proposed amendment permits IHO's serving in New York City to accept more than one appointment at a time, and such tribunal may reassign cases between impartial hearing officers employed by or reporting to such tribunal to manage administrative needs.

Additionally, to provide clarification of terms and promote timeliness of impartial hearing decisions, the Department proposes to amend section 200.5(j)(5)(v) of the Commissioner's regulations to define the "date of decision" as it applies to impartial hearing officer findings of fact and decisions and aligns the date of decision with the distribution date and case closure date.

Finally, the Department proposes to amend section 200.5 (j)(3)(i)(c) of the Commissioner's regulations to address how impartial hearing officers must handle conflicts of interest. The proposed amendment provides that an IHO shall not accept appointment if the IHO has a personal bias or prejudice concerning a party or a party's lawyer, has personal knowledge of facts that are in dispute in the case, has previously acted as an attorney for one of the parties to the matter in controversy, is likely to be a material witness in the matter in controversy, has a personal or fiduciary interest in the matter. Additionally, an individual may not serve as an impartial hearing officer if he or she is simultaneously employed by: (1) a school district; or (2) a school or program serving students with disabilities placed by a school district committee on special education, nor may an individual employed by a school or program serving students with disabilities placed by a school district committee on special education serve as an impartial hearing officer for two years following the termination of such employment

employment. 3. PROFESSIONAL SERVICES:

The proposed amendment does not require any additional professional service requirement on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any additional program, service, duty, responsibility or costs on local governments.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any technological requirements on local governments. See compliance costs section above regarding economic feasibility.

6. MINIMIZING ADVERSE IMPACT:

The purpose of the proposed amendment is to address the rotational selection process for assignment of Impartial Hearing Officers (IHOs) to due process complaints; define the "date of decision" as it applies to impartial hearing officer findings of fact and decisions and aligns the date of decision with the distribution date and case closure date; and address how impartial hearing officers must handle conflicts of interest. Therefore, it is evident from the nature of the proposed rule that it will have no adverse economic impact on local governments.

7. LOCAL GOVERNMENT PARTICIPATION:

Two virtual public hearings will be conducted to obtain comment on the proposed rule. In addition, copies of the proposed amendment have been provided to school districts through the offices of the district superintendents of each supervisory district in the State and to the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

1. TYPES AND EŠTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to each of the 731 public school districts in the State and all Impartial Hearing Officers (IHOs) certified by the State Education Department, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less.

a population density of 150 per square mile or less. 2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendment to section 200.2(e) of the Commissioner's regulations addresses the rotational selection process for assignment of IHOs to due process complaints. The proposed amendment provides that in New York City, any impartial hearing officers employed by, or reporting to, a permanent, standing administrative tribunal shall be first in an alphabetical rotation when new cases are assigned, and any other impartial hearing officer will be listed in alphabetical order thereafter. Additionally, this proposed amendment permits IHO's serving in New York City to accept more than one appointment at a time, and such tribunal may reassign cases between impartial hearing officers employed by or reporting to such tribunal to manage administrative needs.

Additionally, to provide clarification of terms and promote timeliness of impartial hearing decisions, the Department proposes to amend section 200.5(j)(5)(v) of the Commissioner's regulations to define the "date of decision" as it applies to impartial hearing officer findings of fact and decisions and aligns the date of decision with the distribution date and case closure date.

Finally, the Department proposes to amend section 200.5 (j)(3)(i)(c) of the Commissioner's regulations to address how impartial hearing officers must handle conflicts of interest. The proposed amendment provides that an IHO shall not accept appointment if the IHO has a personal bias or prejudice concerning a party or a party's lawyer, has personal knowledge of facts that are in dispute in the case, has previously acted as an attorney for one of the parties to the matter in controversy, is likely to be a material witness in the matter in controversy, has a personal or fiduciary interest in the matter. Additionally, an individual may not serve as an impartial hearing officer if he or she is simultaneously employed by: (1) a school district; or (2) a school or program serving students with disabilities placed by a school district committee on special education, nor may an individual employed by a school or program serving students with disabilities placed by a school district committee on special education serve as an impartial hearing officer for two years following the termination of such employment

3. COMPLIANCE COSTS:

The proposed amendment does not impose any additional costs to school districts or certified IHOs, including those located in rural areas.

4. MINIMIZING ADVERSE IMPACT:

The purpose of the proposed amendment is to address the rotational selection process for assignment of Impartial Hearing Officers (IHOs) to due process complaints; define the "date of decision" as it applies to impartial hearing officer findings of fact and decisions and aligns the date of decision with the distribution date and case closure date; and address how impartial hearing officers must handle conflicts of interest. Therefore, it is evident from the nature of the proposed rule that it will have no adverse economic impact on local governments or IHOs, including those located in rural areas.

5. RURAL AREA PARTICIPATION:

Two virtual public hearings will be conducted to obtain comment on the proposed rule. In addition, copies of the proposed amendment have been provided to school districts through the offices of the district superintendents of each supervisory district in the State and to the chief school officers of the five big city school districts.

Job Impact Statement

The purpose of the proposed amendment is to address the rotational selection process for assignment of Impartial Hearing Officers (IHOs) to due process complaints; define the "date of decision" as it applies to impartial hearing officer findings of fact and decisions and aligns the date of decision with the distribution date and case closure date; and address how impartial hearing officers must handle conflicts of interest.

Because it is evident from the nature of the proposed amendment that it will have no impact on jobs or employment opportunities attributable to its adoption or only a positive impact, no affirmative steps were needed to ascertain these facts and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Substantially Equivalent Instruction for Nonpublic School Students

I.D. No. EDU-13-22-00025-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Addition of Part 130 to Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 215, 305(1), (2), 3204(1), (2), (3), 3205(1), 3210(2), 3233 and 3234

Subject: Substantially Equivalent Instruction for Nonpublic School Students.

Purpose: Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law.

Substance of proposed rule (Full text is posted at the following State website: http://www.counsel.nysed.gov/rules/full-text-indices): The proposed amendment adds a new Part 130 to the Commissioner's regulations as follows:

Section 130.1 defines terms.

Section 130.2 requires LSAs to make substantial equivalency determinations for all nonpublic schools within their geographical boundaries, except for nonpublic schools that are deemed substantially equivalent pursuant to section 130.3 or nonpublic schools for which the Commissioner is required to make a substantial equivalency determination pursuant to Education Law § 3204(2)(ii)-(iii). For schools that meet the statutory criteria for a Commissioner's determination, LSAs must review such schools for substantial equivalency and forward a recommendation to the Commissioner for his/her final determination.

Section 130.3 provides that a nonpublic school shall be deemed substantially equivalent if it annually delivers sufficient evidence to its LSA that it:

• Is a state-supported school for the blind and deaf; is a state-operated school; is a state-approved private special education school;

• Is registered by the Board of Regents (grades 1 through 8 of a nonpublic school that has a registered high school program will also be deemed substantially equivalent);

• Is accredited by a Department-approved accreditation organization that meets certain prescribed criteria;

• Has instruction approved by the U.S. government for instruction on a military base or service academy;

· Participates in the international baccalaureate program; and/or

• Regularly uses assessments approved by the Department.

Additionally, the Commissioner may request evidence submitted to the LSA. If the Commissioner determines that sufficient evidence has not been submitted, the Commissioner shall direct the LSA to conduct a review or submit a recommendation to the Commissioner, as applicable.

Section 130.4 provides that:

• New nonpublic schools that open on or after the effective date of the proposed regulation must be reviewed within the first two years of when the nonpublic school commences instruction and every seven years thereafter; and

• Existing nonpublic schools operating on the proposed regulation's effective date must be reviewed by the end of the 2024-2025 school year and every seven years thereafter.

If the LSA does not make sufficient progress toward reviewing nonpublic schools by the end of the 2023-2024 school year, and thereafter, the Commissioner may withhold public money consistent with Education Law § 3234.

Section 130.5 Provides that the superintendent or his or her designee must review all nonpublic schools in the LSA's geographic boundaries, including nonpublic schools that meet the criteria for a Commissioner's determination, except for nonpublic schools deemed substantially equivalent. For schools that meet the criteria for a Commissioner's final determination, the LSA conducts the review and makes a recommendation to the Commissioner for his or her final determination. Additionally, all reviews shall include at least one site visit to the nonpublic school by the LSA.

Section 130.6 sets forth a procedure for LSAs to render substantial equivalency determination as follows:

• Preliminary Determinations: If following its review, the superintendent or designee determines that the nonpublic school has not sufficiently demonstrated the substantial equivalence of instruction, the LSA must inform the nonpublic schools' administrators of the preliminary determination and the reason(s) for the determination; notify the Department; collaboratively develop, within 60 days, a timeline and plan with the nonpublic school for attaining substantial equivalency in an amount of time that is reasonable given the reasons identified in the review, provided that such timeline may not exceed the end of the next academic year following the year in which the preliminary determination is made; and continue services to the nonpublic school and students during such time period. No later than 60 days after the conclusion of that timeline, the LSA must render a final determination.

• Final Determinations:

o For school districts (other than the city school district of the City of New York) that have completed a review and preliminary determination, the superintendent or designee must make a recommendation to the board of education that a nonpublic school is deemed to be providing at least substantially equivalent instruction or be deemed to be not providing at least substantially equivalent instruction. After notification to the nonpublic school and providing the nonpublic school the opportunity to be heard, the LSA must vote on the superintendent's or designee's recommendation at a regularly scheduled board meeting.

o For the city school district of the City of New York, the Chancellor, after review and preliminary determination, shall render either a positive or negative substantial equivalency determination.

• Procedure After Final Determination:

o If the board renders a positive substantial equivalency determination, the LSA must provide written notification to the nonpublic school, the superintendent(s) of schools of each of the districts which have resident students enrolled in the nonpublic school, and the Department, which must post such determination on its website.

o If the board renders a negative substantial equivalence determination:

■ The nonpublic school will no longer be deemed a school that fulfills the requirements of Article 65 of the Education Law.

The LSA must provide written notification to the nonpublic schools and provide a letter for the nonpublic school to distribute to the parents or persons in parental relationship to the students attending the nonpublic school and the superintendent(s) of schools of each district which has resident students enrolled in the nonpublic school advising them of such determination.

The board must provide a reasonable timeframe for parents or persons in parental relationship to enroll their children in a different appropriate educational setting.

■ The LSA must notify the Department of the negative determination and its reasons, therefore.

Services to the nonpublic school and students must continue until the end of the reasonable timeframe.

■ Student records shall be managed consistent with section 104.2 of the Commissioner's regulations.

Section 130.7 requires LSAs to report a list of all nonpublic schools within the LSA's geographical boundaries by September 1, 2023 and each September 1 thereafter and requires LSAs to report the following information to the Department by December 1, 2023, and each December 1 thereafter:

• A list of all nonpublic schools in the LSA's boundaries that meet one of the substantial equivalency pathways;

• A list of all nonpublic schools in the LSA's boundaries that do not meet one of the substantial equivalency pathways and are subject to a Commissioner's final determination; and

• A list of the remaining nonpublic schools in the LSA's boundaries for which the LSA is responsible for making the final substantial equivalency determination.

This section also requires that by December 1, 2024, and each December 1 thereafter, attest to whether they have or have not yet made final substantial equivalency determinations and recommendations for each nonpublic school in their geographical area and the date on which such determination or recommendation was made or is anticipated to be made.

Section 130.8 provides that for nonpublic schools for which the Commissioner is required to make a final determination, the LSA must conduct a review in accordance with the regulation and forward its recommendation regarding substantial equivalency and all relevant documentation to support its recommendation to the Commissioner. The Commissioner will review the materials and recommendation submitted by the LSA and will provide the nonpublic school with an opportunity to present additional relevant materials and/or a written statement prior to rendering a determination. The proposed regulation sets forth procedures for when a school subject to a Commissioner's determination appears not to be substantially equivalent and for when the Commissioner renders a positive or negative substantial equivalency determination.

Section 130.9 provides that, when reviewing a nonpublic school for substantial equivalency, an LSA and the Commissioner, when he or she is responsible for making the final determination, must consider the following criteria:

• Instruction is given only by a competent teacher;

• English is the language of instruction for common branch subjects;

• Students who have limited English proficiency are provided with instructional programs enabling them to make progress toward English language proficiency;

• Accreditation materials from the last five years;

• The instructional program in the nonpublic school as a whole incorporates instruction in mathematics, science, English language arts, and social studies that is substantially equivalent to such instruction required to be provided in public schools pursuant to Education Law § 3204(3);

• Similar courses of instruction to the course of instruction required by law in public schools in: patriotism and citizenship; history, meaning, significance and effect of the provisions of the U.S. Constitution and the amendments thereto, the Declaration of Independence, the Constitution of the State of New York and the amendments thereto; instruction in New York State history and civics; instruction in physical education and kindred subjects; instruction in health education regarding alcohol, drugs, and tobacco abuse; instruction in highway safety and traffic regulation; instruction in fire drills and in fire and arson prevention, injury prevention, and life safety education; and instruction in hands-only cardiopulmonary resuscitation and the use of an automated external defibrillator; and

• For nonpublic schools meeting the criteria for Commissioner's final substantial equivalency determinations in Education Law § 3204(2)(ii)-(iii), the criteria enumerated in such statute.

Section 130.10 provides that reviews of nonpublic schools shall be: conducted in a manner that is respectful to the diversity of the nonpublic school community; based on objective criteria focused on whether students in the nonpublic school receive instruction that is at least substantially equivalent to instruction provided in public schools; cognizant of the rights of parents or persons in a parental relationship to choose among religious and independent schools; informed by and respectful of the cultural and religious beliefs and educational philosophy that may drive the curriculum in nonpublic schools and be integrated with academic content in the delivery of instruction.

Section 130.11 prescribes complaint procedures. Such section provides that the Commissioner, or his or her designee, may direct an LSA to investigate a nonpublic school if the Commissioner receives a complaint regarding the substantial equivalency of instruction at such nonpublic school, or if the Commissioner otherwise has reasonable suspicion to doubt the substantial equivalency of instruction at a nonpublic school. If an LSA does not investigate and decide such complaint, the Commissioner may withhold public money from such LSA consistent with Education Law § 3234 and section 130.14(b).

Section 130.12 of the proposed rule provides that persons considering themselves aggrieved by an LSA's substantial equivalency determination may file an appeal to the Commissioner within 30 days of the LSA's decision pursuant to Education Law § 310 and section 275.16 of the Commissioner's regulations. The Commissioner may stay such determination pending a final determination of such appeal pursuant to Education Law § 311 and section 276.1 of the Commissioner's regulations.

Section 130.13 provides that the Commissioner may request the records and/or documentation an LSA used to make a final substantial equivalency

determination, and the LSA must provide them to the Commissioner within 10 days of any such request. With respect to any nonpublic school against which a penalty pursuant to section 130.14(c) is being considered, the Commissioner may request that the LSA provide records and/or documentation that a nonpublic school has intentionally prohibited an LSA from conducting a review and records and/or documentation of the LSA's good faith efforts to review such nonpublic school. The LSA must provide such records and/or documentation to the Commissioner within 10 days of such request.

If the Commissioner's review of such records and/or documentation give rise to a substantial question as to whether the LSA's positive or negative substantial equivalency determination is or is not supported, or whether a penalty under section 130.14(c) is warranted, the Commissioner may initiate a review of whether the procedures in this Part were followed, whether the criteria in section 130.9 have or have not been satisfied, or whether a penalty against such non-public school pursuant to section 130.14 is warranted. This section prescribes procedures for such reviews and requires a written decision on the issues under review.

Section 130.14 prescribes penalties and enforcement for noncompliance, which include the penalties prescribed in Education Law § \$ 3233 and 3234. Additionally, it provides that if, after review, the Commissioner determines that a nonpublic school has intentionally prohibited an LSA from conducting a substantial equivalency review, the Commissioner may issue a written decision making a negative substantial equivalency determination.

Section 130.15 includes a severability provision.

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Christina Coughlin, Assistant Commissioner, NYS Education Department, SORIS, 89 Washington Avenue, Room 1078 EBA, Albany, NY 12234, (518) 474-7206, email: seregcomments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Summary of Regulatory Impact Statement (Full text is posted at the following State website: http://www.counsel.nysed.gov/rules/full-textindices):

1. STATUTORY AUTHORITY:

Education Law § 207 grants general rule-making authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Education Law § 215 authorizes the Commissioner to require schools and school districts to submit reports containing such information as the Commissioner shall prescribe.

Education Law § 305(1) empowers the Commissioner of Education to be the chief executive officer of the State system of education and the Board of Regents and authorizes the Commissioner to enforce laws relating to the educational system and to execute educational policies determined by the Board of Regents. Education Law § 305(2) authorizes the Commissioner to have general supervision over all schools subject to the Education Law.

Education Law § 3204(1) provides that minors required to attend upon instruction pursuant to the Compulsory Education Law may attend at a public school or elsewhere.

Education Law § 3204(2) requires, among other things, that instruction may be given only by a competent teacher, English shall be the language of instruction, and that instruction in nonpublic schools must be at least substantially equivalent to the instruction given to minors of like age and attainments at the public schools. Paragraph (ii) of that section requires the Commissioner to make final substantial equivalency determinations regarding (1) nonpublic elementary and middles schools that are nonprofit corporations, have a bi-lingual program, and have an educational program that extends from no later than nine a.m. until no earlier than four p.m. for grades one through three, and no earlier than five thirty p.m. for grades four through eight, on the majority of weekdays and (2) nonpublic high schools that are established for pupils in high school who have graduated from an elementary school that provides instruction as described in Education Law § 3204(2), are a non-profit corporation, have a bi-lingual program, and have an educational program that extends from no later than nine a.m. until no earlier than six p.m. on the majority of weekdays.

Education Law § 3204(3) provides for required courses of study in the public schools and authorizes the State Education Department to alter such required subjects of instruction.

Education Law § 3205(1) requires each child of compulsory school age to attend upon full time day instruction.

Education Law section § 3210(2) provides the amount and character of required attendance for nonpublic school students, with the exception that

a child may be permitted to attend for a shorter school day and/or year if the instruction received has been approved by the local school authorities as being substantially equivalent in amount and quality to that required by the Compulsory Education Law.

Education Law § 3233 provides penalties for violations of the Compulsory Education Law.

Éducation Law § 3234 gives the Commissioner authority to supervise enforcement of the Compulsory Education Law by withholding public school moneys for certain failures to enforce the Compulsory Education Law.

2. LEGISLATIVE OBJECTIVES:

Consistent with the above statutory authority, the proposed rule is necessary to provide guidance to local school authorities (LSAs) to assist them in fulfilling their responsibilities under Education Law § § 3204, 3205, and 3210 in determining whether students in nonpublic schools are receiving instruction that is at least substantially equivalent to the instruction being provided to students of like age and attainments at the public schools.

3. NEEDS AND BENEFITS:

The purpose of the proposed regulation is to provide guidance to local school authorities (LSAs) to assist them in fulfilling their responsibilities under Education Law §§ 3204, 3205, and 3210 in determining whether students in nonpublic schools are receiving instruction that is at least substantially equivalent to the instruction being provided to students of like age and attainments at the public schools. The intent of the substantial equivalency process is to ensure that all students receive the education to which they are entitled under the law.

For the complete Regulatory Impact Statement, please visit the following website: http://www.counsel.nysed.gov/rules/full-text-indices. 4. COSTS:

(a) Costs to State government: The proposed regulation does not impose additional costs on the State beyond what is already required by law.

(b) Costs to local government: The proposed rule is necessary to provide guidance to local school authorities (LSAs) to assist them in fulfilling their responsibilities under Education Law §§ 3204, 3205, and 3210 in determining whether students in nonpublic schools are receiving instruction that is at least substantially equivalent to the instruction being provided to students of like age and attainments at the public schools. The procedures and timelines set forth in the regulation for substantial equivalency reviews may necessitate the hiring of additional staff to comply with such. However, the Department believes that many LSAs will be able to utilize existing staff to fulfill such requirements and the Department developed a CO-SER by which an LSA may engage with a board of cooperative educational services to conduct substantial equivalency reviews, which may be used to offset any costs incurred by local governments to fulfill their statutory obligations under Education Law § § 3204, 3205, and 3210. Moreover, the proposed regulation provides pathways for nonpublic schools to demonstrate that they are providing substantially equivalent instruction without LSA reviews. LSAs do not have to conduct reviews for nonpublic schools that are deemed to be providing substantially equivalent instruction through one of these pathways, further offsetting costs incurred by LSAs. The Department is unable to estimate the exact cost of complying with the procedures and timelines set forth in the regulation for substantial equivalency reviews as LSAs have varying amounts of nonpublic schools within their geographical boundaries and will have varying numbers of nonpublic schools that will meet the requirements of a pathway rather than requiring a review.

The Department expects that any annual reporting requirements in the regulation would be necessary for the LSA to fulfill its existing statutory obligations.

(c) Costs to private regulated parties: Where a nonpublic school is preliminarily determined to not be providing instruction that is at least substantially equivalent to instruction provided at the public schools, such nonpublic school may need to expend resources necessary to ensure compliance with providing substantially equivalent instruction. This may include, but is not limited to, expenses related to hiring new staff, developing curriculum, and professional development. The Department is unable to estimate the exact cost of such expenses as nonpublic schools will have varying needs in relation to ensuring that their instruction is in compliance with statute and the proposed rule.

(d) Costs to regulating agency for implementation and continued administration of this rule: Pursuant to Education Law § 3204(2)(v) the Commissioner is responsible for making the substantial equivalency of instruction determinations for nonpublic schools that meet the requirements of Education Law § 3204(2)(ii) or (iii) and, for other schools, a determination may be appealed to the Commissioner under Education Law § 310. The Department anticipates the need to hire additional staff and expend additional resources to fulfill these statutory requirements, through the processes outlined in the proposed rule.

5. LOCAL GOVERNMENT MANDATES:

The proposed rule is necessary to direct LSAs to make determinations and recommendations to the Commissioner, as applicable, regarding the substantial equivalency of instruction in nonpublic schools in accordance with Education Law §§ 3204, 3205, and 3210. The proposed regulation:

• prescribes a timeline and procedure for substantial equivalency reviews and determinations;

• requires an LSA to consider the listed criteria when making a substantial equivalency determination or recommendation to the Commissioner; and

imposes an annual reporting requirement on LSAs.
 See Needs and Benefits and Paperwork sections for more information.

6. PAPERWORK: The proposed rule:

requires LSAs to send recommendations and supporting documents to the Commissioner regarding the substantial equivalency of instruction at

nonpublic schools that meet the criteria in Education Law § 3204(2); • requires LSAs to document and retain findings of substantial equivalence and non-substantial equivalence and provide written confirmation to the nonpublic school, the superintendent(s) of schools of each of the districts which have resident students enrolled in the nonpublic school, and the Department;

• requires LSAs to report a list of all nonpublic schools within the LSA's geographical boundaries by September 1, 2023 and each September 1 thereafter;

requires LSAs to report the following information to the Department by December 1, 2023, and each December 1 thereafter:

o A list of all nonpublic schools in the LSA's boundaries that meet one of the substantial equivalency pathways;

o A list of all nonpublic schools in the LSA's boundaries that do not meet one of the substantial equivalency pathways and are subject to a Commissioner's final determination; and

o A list of the remaining nonpublic schools in the LSA's boundaries for which the LSA is responsible for making the final substantial equivalency determination.

• requires that by December 1, 2024, and each December 1 thereafter, LSAs must attest to whether they have or have not yet made final substantial equivalency determinations and recommendations for each nonpublic school in their geographical area and the date on which such determination or recommendation was made or is anticipated to be made; and

• requires nonpublic schools to maintain a complete and accurate archive copy that includes detailed records of substantial equivalency determinations in the same manner as required for pupil academic records pursuant to 8 NYCRR 104.2.

7. DUPLICATION:

The proposed rule does not duplicate existing State or Federal regulations

8. ALTERNATIVES:

The Department consulted with stakeholders to consider the proposed rule as well as alternative proposals. Thus, many alternatives were considered in the development of the proposed regulation.

One concern raised by both public and private school communities is the requirement that LSAs make substantial equivalency determinations. However, except for nonpublic schools that fall within the criteria prescribed in the April 2018 amendment to Education Law § 3240, this obligation is placed upon LSAs by law. The proposed regulation balances this responsibility by creating pathways in which nonpublic schools may be deemed substantially equivalent absent a review, thereby alleviating some of this burden.

Additionally, private school communities noted that reviewers may lack understanding of the nonpublic culture and expressed concern that substantial equivalency requirements may conflict with religious beliefs. While the proposed rule focuses substantial equivalency reviews on core content areas and instruction specifically required by statute, it also requires that reviews be conducted in a manner that is sensitive and respectful of nonpublic school communities. The Department believes that the proposed rule will enable nonpublic schools to comply with the Compulsory Education Law while also maintaining their unique culture and beliefs in the delivery of instruction.

9. FEDERAL STANDARDS

There are no related Federal standards.

10. COMPLIANCE SCHEDULE:

The proposed rule requires that substantial equivalency of instruction determinations and recommendations be completed as follows:

• New nonpublic schools that open on or after the effective date of the proposed regulation must be reviewed within the first two years of when the nonpublic school commences instruction and every seven years thereafter; and

· Existing nonpublic schools operating on the proposed regulation's effective date must be reviewed by the end of the 2024-2025 school year and every seven years thereafter.

Additionally, the proposed rule requires LSAs to report a list of all nonpublic schools within the LSA's geographical boundaries by September 1, 2023 and each September 1 thereafter. It also requires LSAs to report the following information to the Department by December 1, 2023, and each December 1 thereafter:

• A list of all nonpublic schools in the LSA's boundaries that meet one of the substantial equivalency pathways; • A list of all nonpublic schools in the LSA's boundaries that do not

meet one of the substantial equivalency pathways and are subject to a Commissioner's final determination; and

• A list of the remaining nonpublic schools in the LSA's boundaries for which the LSA is responsible for making the final substantial equivalency determination.

The proposed rule requires that by December 1, 2024, and each December 1 thereafter, LSAs shall attest to whether they have or have not yet made final substantial equivalency determinations and recommendations for each nonpublic school in their geographical area and the date on which such determination or recommendation was made or is anticipated to be made.

It is anticipated that regulated parties will be able to comply with the proposed rule within such timeframes.

Summary of Regulatory Flexibility Analysis (Full text is posted at the following State website: http://www.counsel.nysed.gov/rules/full-textindices):

(a) Small businesses:

The proposed rule is necessary to provide guidance to local school authorities (LSAs) to assist them in fulfilling their responsibilities under Education Law §§ 3204, 3205, and 3210 in determining whether students in nonpublic schools are receiving instruction that is at least substantially equivalent to the instruction being provided to students of like age and attainments at the public schools.

Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local government:

The proposed rule is necessary to provide guidance to local school authorities (LSAs) to assist them in fulfilling their responsibilities under Education Law §§ 3204, 3205, and 3210 in determining whether students in nonpublic schools are receiving instruction that is at least substantially equivalent to the instruction being provided to students of like age and attainments at the public schools. 1. EFFECT OF RULE:

The proposed rule applies to each of the 731 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

The purpose of the proposed regulation is to provide guidance to local school authorities (LSAs) to assist them in fulfilling their responsibilities under Education Law § § 3204, 3205, and 3210 in determining whether students in nonpublic schools are receiving instruction that is at least substantially equivalent to the instruction being provided to students of like age and attainments at the public schools. The intent of the substantial equivalency process is to ensure that all students receive the education to which they are entitled under the law.

For the complete Regulatory Flexibility Analysis for Small Business and Local Government, please visit the following website: http:// www.counsel.nysed.gov/rules/full-text-indices.

3. PROFESSIONAL SERVICES:

The proposed amendment does not require any additional professional service requirement on local governments.

4. COMPLIANCE COSTS:

The proposed rule is necessary to provide guidance to local school authorities (LSAs) to assist them in fulfilling their responsibilities under Education Law §§ 3204, 3205, and 3210 in determining whether students in nonpublic schools are receiving instruction that is at least substantially equivalent to the instruction being provided to students of like age and attainments at the public schools. The procedures and timelines set forth in the regulation for substantial equivalency reviews may necessitate the hiring of additional staff to comply with such. However, the Department believes that many LSAs will be able to utilize existing staff to fulfill such requirements and the Department developed a CO-SER by which an LSA may engage with a board of cooperative educational services to conduct substantial equivalency reviews, which may be used to offset any costs incurred by local governments to fulfill their statutory obligations under Education Law §§ 3204, 3205, and 3210. Moreover, the proposed regulation provides pathways for nonpublic schools to demonstrate that they are providing substantially equivalent instruction without LSA reviews. LSAs do not have to conduct reviews for nonpublic schools that are deemed to be providing substantially equivalent instruction through one of these pathways, further offsetting costs incurred by LSAs. The Department is unable to estimate the exact cost of complying with the procedures and timelines set forth in the regulation for substantial equivalency reviews as LSAs have varying amounts of nonpublic schools within their geographical boundaries and will have varying numbers of nonpublic schools that will meet the requirements of a pathway rather than requiring a review.

The Department expects that any annual reporting requirements in the regulation would be necessary for the LSA to fulfill existing statutory obligations

. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The rule imposes no technological requirements on school districts. Costs are discussed under the "Compliance Costs" section above. 6. MINIMIZING ADVERSE IMPACT:

The Department consulted with stakeholders to consider the proposed rule as well as alternative proposals. Specifically, the Department engaged in a series of five online regional stakeholder engagement sessions. These included religious and independent school leaders and advocates, public school officials and their professional associations, scholars, and other advocates, as well as legislators and legislative staff. Another session included private school students, parents, and alumni in breakout rooms. In addition, Department staff conducted in-person conversations with religious communities that do not use the internet. Department staff also engaged in regular conversations on this topic with the Commissioner's Advisory Council for Religious and Independent Schools. Thus, many alternatives were considered in the development of the proposed regulation.

One of the concerns raised by both public and private school communi-ties is the requirement that LSAs make substantial equivalency determinations. However, with the exception of nonpublic schools that fall within the criteria prescribed in the April 2018 amendment to Education Law § 3240, this obligation is placed upon LSAs by law. Nevertheless, the proposed regulation balances this responsibility by creating pathways in which nonpublic schools may be deemed substantially equivalent absent a review, thereby alleviating some of this burden.

Additionally, private school communities noted that reviewers may lack understanding of the nonpublic culture and expressed concern that substantial equivalency requirements may conflict with religious beliefs. While the proposed rule focuses substantial equivalency reviews on core content areas and instruction specifically required by statute, it also requires that reviews be conducted in a manner that is sensitive and respectful of nonpublic school communities. This includes a focus on opportunities offered to nonpublic school students to acquire core skills and make academic progress. Nonpublic schools need not demonstrate perfect congruence between public and nonpublic school instruction. The Department believes that the proposed rule will enable nonpublic schools to comply with the Compulsory Education Law while also maintaining their unique culture and beliefs in the delivery of instruction.

LOCAL GOVERNMENT PARTICIPATION:

The Department engaged stakeholders in the development of the proposed regulation as described in the minimizing adverse impacts section. Additionally, Comments on the proposed rule have been solicited from school districts through the offices of the district superintendents of each supervisory district in the State, and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

1. TYPES AND EŠTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to all school districts in the State, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed rule directs LSAs to make determinations and recommendations to the Commissioner, as applicable, regarding the substantial equivalency of instruction in nonpublic schools in accordance with Education Law § § 3204, 3205, and 3210. Specifically, the proposed rule requires that substantial equivalency of instruction determinations and recommendations be completed as follows:

• New nonpublic schools that open on or after the effective date of the proposed regulation must be reviewed within the first two years of when the nonpublic school commences instruction and every seven years thereafter: and

· Existing nonpublic schools operating on the proposed regulation's effective date must be reviewed by the end of the 2024-2025 school year and every seven years thereafter.

Prior to commencing a substantial equivalency review, the LSA, after consulting with the nonpublic school, shall determine whether the Commissioner is responsible for making the final determination pursuant to Education Law § 3204(2)(ii) or (iii), or whether the LSA is responsible for making such final determination.

The proposed rule sets forth a procedure for LSAs to render substantial equivalency determination as follows:

· Preliminary Determinations: If following its review, the superintendent or his or her designee determines that the nonpublic school has not sufficiently demonstrated the substantial equivalence of instruction, the LSA must (1) inform the nonpublic schools' administrators of the preliminary determination and the reason(s) therefore; (2) notify the Department; (3) collaboratively develop, within 60 days, a timeline and plan with the nonpublic school for attaining substantial equivalency in an amount of time that is reasonable given the reasons identified in the review, provided that such timeline may not exceed the end of the next academic year following the year in which the preliminary determination is made; and (4) continue services to the nonpublic school and students during such time period. No later than 60 days after the conclusion of that timeline, the LSA must render a final determination.

Final Determinations:

o For school districts (other than the city school district of the City of New York) that have completed a review and preliminary determination, the superintendent or his or her designee must make a recommendation to the board of education that a nonpublic school is deemed to be providing at least substantially equivalent instruction or be deemed to be not providing at least substantially equivalent instruction. After notification to the nonpublic school, the LSA must vote on the superintendent's or designee's recommendation at a regularly scheduled board meeting.

o For the city school district of the City of New York, the Chancellor, after review and preliminary determination, shall render either a positive or negative substantial equivalency determination.

• Procedure After Final Determination:

o If the board renders a positive substantial equivalency determination, the LSA must provide written notification to the nonpublic school, the superintendent(s) of schools of each of the districts which have resident students enrolled in the nonpublic school, and the Department.

o If the board renders a negative substantial equivalence determination:

The nonpublic school will no longer be deemed a school that fulfills the requirements of Article 65 of the Education Law.

The LSA must provide written notification to the nonpublic schools and provide a letter for the nonpublic school to distribute to the parents or persons in parental relationship to the students attending the nonpublic school and the superintendent(s) of schools of each district which has resident students enrolled in the nonpublic school.

The board must provide a reasonable timeframe for parents or persons in parental relationship to enroll their children in a different appropriate educational setting.

The LSA must notify the Department of the negative determination and its reasons, therefore.

Services to the nonpublic school and students must continue until the end of the reasonable timeframe.

Student records shall be managed consistent with section 104.2 of the Commissioner's regulations.

For nonpublic schools for which the Commissioner is required to make a final determination, the LSA must conduct a review in accordance with the regulation and forward its recommendation regarding substantial equivalency and all relevant documentation to support its recommendation to the Commissioner.

When reviewing a nonpublic school for substantial equivalency, an LSA and the Commissioner, when he or she is responsible for making the final determination, must consider the following criteria:

Instruction is given only by a competent teacher;

English is the language of instruction for common branch subjects;

· Students who have limited English proficiency are provided with instructional programs enabling them to make progress toward English language proficiency;

Accreditation materials from the last five years;

The instructional program in the nonpublic school as a whole incorporates instruction in mathematics, science, English language arts, and social studies that is substantially equivalent to such instruction required to be provided in public schools pursuant to Education Law § 3204(3);

• Similar courses of instruction to the course of instruction required by law in public schools in: patriotism and citizenship; history, meaning, significance and effect of the provisions of the Constitution of the United States and the amendments thereto, the Declaration of Independence, the Constitution of the State of New York and the amendments thereto; instruction in New York State history and civics; instruction in physical education and kindred subjects; instruction in health education regarding alcohol, drugs, and tobacco abuse; instruction in highway safety and traffic regulation; instruction in fire drills and in fire and arson prevention, injury prevention, and life safety education; and instruction in hands-only cardiopulmonary resuscitation and the use of an automated external defibrillator; and

• For nonpublic schools meeting the criteria for Commissioner's final substantial equivalency determinations in Education Law § 3204(2)(ii)-(iii), the criteria enumerated in such statute.

The proposed rule requires LSAs to report a list of all nonpublic schools within the LSA's geographical boundaries by September 1, 2023 and each September 1 thereafter. It also requires LSAs to report the following information to the Department by December 1, 2023, and each December 1 thereafter:

• A list of all nonpublic schools in the LSA's boundaries that meet one of the substantial equivalency pathways;

• A list of all nonpublic schools in the LSA's boundaries that do not meet one of the substantial equivalency pathways and are subject to a Commissioner's final determination; and

• A list of the remaining nonpublic schools in the LSA's boundaries for which the LSA is responsible for making the final substantial equivalency determination.

The proposed rule requires that by December 1, 2024, and each December 1 thereafter, LSAs shall attest to whether they have or have not yet made final substantial equivalency determinations and recommendations for each nonpublic school in their geographical area and the date on which such determination or recommendation was made or is anticipated to be made.

The proposed rule requires nonpublic schools to maintain a complete and accurate archive copy that includes detailed records of substantial equivalency determinations in the same manner as required for pupil academic records pursuant to 8 NYCRR 104.2.

3. COSTS:

The procedures and timelines set forth in the regulation for substantial equivalency reviews may necessitate the hiring of additional staff by LSAs to comply with such, including LSAs located in rural areas. However, the Department believes that many LSAs will be able to utilize existing staff to fulfill such requirements and the Department developed a CO-SER by which an LSA may engage with a board of cooperative educational services to conduct substantial equivalency reviews, which may be used to offset any costs incurred by local governments to fulfill their statutory obligations under Education Law § § 3204, 3205, and 3210. Moreover, the proposed regulation provides pathways for nonpublic schools to demonstrate that they are providing substantially equivalent instruction without LSA reviews. LSAs do not have to conduct reviews for nonpublic schools that are deemed to be providing substantially equivalent instruction through one of these pathways, further offsetting costs incurred by LSAs. The Department is unable to estimate the exact cost of complying with the procedures and timelines set forth in the regulation for substantial equivalency reviews as LSAs have varying amounts of nonpublic schools within their geographical boundaries and will have varying numbers of nonpublic schools that will meet the requirements of a pathway rather than requiring a review.

Additionally, where nonpublic schools, including nonpublic schools located in rural areas, are preliminarily determined to not be providing instruction that is at least substantially equivalent to instruction provided at the public schools, such nonpublic schools may need to expend resources necessary to ensure compliance with providing substantially equivalent instruction. This may include, but is not limited to, expenses related to hiring new staff, developing curriculum, and professional development. The Department is unable to estimate the exact cost of such expenses as nonpublic schools will have varying needs in relation to ensuring that their instruction is in compliance with statute and the proposed rule.

4. MINIMIZING ADVERSE IMPACT:

The Department consulted with stakeholders to consider the proposed rule as well as alternative proposals. Specifically, the Department engaged in a series of five online regional stakeholder engagement sessions. These included religious and independent school leaders and advocates, public school officials and their professional associations, scholars, and other advocates, as well as legislators and legislative staff. Another session included private school students, parents, and alumni in breakout rooms. In addition, Department staff conducted in-person conversations with religious communities that do not use the internet. Department staff also engaged in regular conversations on this topic with the Commissioner's Advisory Council for Religious and Independent Schools. Thus, many alternatives were considered in the development of the proposed regulation.

One of the concerns raised by both public and private school communities is the requirement that LSAs make substantial equivalency determinations. However, with the exception of nonpublic schools that fall within the criteria prescribed in the April 2018 amendment to Education Law § 3240, this obligation is placed upon LSAs by law. Nevertheless, the proposed regulation balances this responsibility by creating pathways in which nonpublic schools may be deemed substantially equivalent absent a review, thereby alleviating some of this burden. Additionally, private school communities noted that reviewers may lack understanding of the nonpublic culture and expressed concern that substantial equivalency requirements may conflict with religious beliefs. While the proposed rule focuses substantial equivalency reviews on core content areas and instruction specifically required by statute, it also requires that reviews be conducted in a manner that is sensitive and respectful of nonpublic school communities. This includes a focus on opportunities offered to nonpublic school students to acquire core skills and make academic progress. Nonpublic schools need not demonstrate perfect congruence between public and nonpublic school instruction. The Department believes that the proposed rule will enable nonpublic schools to comply with the Compulsory Education Law while also maintaining their unique culture and beliefs in the delivery of instruction.

Because the statutory requirements upon which the proposed rule is based applies to the instruction received by all New York students elsewhere than at a public school, it is not possible to establish differing compliance or reporting requirements or timetables or to exempt schools in rural areas from coverage by the proposed amendment.

5. RURAL AREA PARTICIPATION

The Department engaged stakeholders in the development of the proposed regulation as described in the minimizing adverse impacts section. Additionally, comments on the proposed rule have been solicited from school districts through the offices of the district superintendents of each supervisory district in the State, and from the chief school officers of the five big city school districts, including those located in rural areas.

Job Impact Statement

The proposed rule is necessary to provide guidance to local school authorities (LSAs) to assist them in fulfilling their responsibilities under Education Law § § 3204, 3205, and 3210 in determining whether students in nonpublic schools are receiving instruction that is at least substantially equivalent to the instruction being provided to students of like age and at tainments at the public schools.

The proposed rule will not have a substantial adverse impact on jobs or employment opportunities. Because it is evident from the nature of the proposed rule that it will have no impact, or a positive impact, on jobs or employment opportunities, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Admission Requirements for Graduate-Level Teacher and Educational Leadership Programs

I.D. No. EDU-13-22-00026-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 52.21(b)(2)(i)(l) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 210, 210-a, 210-b, 305, 3001, 3004, 3006, 3009; L. 2021, chs. 620 and 626

Subject: Admission Requirements for Graduate-Level Teacher and Educational Leadership Programs.

Purpose: To align such admission requirements with chapters 630 and 626 of the Laws of 2021.

Text of proposed rule: Clause (l) of subparagraph (i) of paragraph (2) of subdivision (b) of section 52.21 of the Regulations of the Commissioner of Education is amended to read as follows:

(1) Minimum Selection Criteria by Graduate-Level Teacher and Educational Leadership Programs Commencing Instruction on or after July 1, 2016.

(1) Institutions with registered graduate level teacher and educational leadership programs shall adopt rigorous selection criteria geared to predicting a candidate's academic success in its program. These rigorous selection criteria shall include, but not be limited to, [a minimum score on the graduate record examination or a substantially equivalent admission examination, as determined by the institution, and] achievement of a cumulative grade point average of 3.0, or its equivalent, in the candidate's undergraduate program[; provided, however, that such graduate record examination or substantially equivalent admission examination requirement shall in no case apply to currently certified teachers or educational leaders who already hold a graduate degree].

(2) Each program may exempt [no more than 15] up to 50 percent of any incoming class of students from such selection criteria described in this subclause based on such student's demonstration of potential to positively contribute to the teaching and/or educational leader-

ship professions, as applicable. A program shall report to the Department the number of students admitted pursuant to such exemption and the selection criteria used for such exemptions.

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: William P. Murphy, Deputy Commissioner, NYS Education Department, Office of Higher Educa-tion, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 486-3633, email: OHEREGComments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

. STAŤUTÔRY AUTHORITY:

Education Law § 101 charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 210 authorizes the Regents to register domestic and foreign institutions in terms of New York standards.

Education Law § 210-a requires each institution registered by the Department with graduate-level teacher and leader education programs to adopt rigorous selection criteria, and provides for an exemption for such selection criteria.

Education Law § 210-b authorizes the Commissioner to suspend a graduate program's authority to admit new students if for three consecutive academic years, fewer than fifty percent of its students who have satisfactorily completed the program pass each examination that they have taken that is required for certification.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law § 3001 establishes the qualifications of teachers in the classroom.

Education Law § 3004 authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law § 3006 authorizes the Commissioner to issue such other certificates as the Regents rules shall prescribe.

Education Law § 3009 prohibits school districts from paying the salary of an unqualified teacher.

Chapter 620 of the Laws of 2021 amends Education Law § 210-a to remove the requirement for a minimum score on the graduate record examination or similar examination as part of admission requirements for graduate-level teacher and educational leader programs.

Chapter 626 of the Laws of 2021 amends Education Law § 210-a to increase the percentage of students from any incoming class who can be exempted from the admission requirements for graduate level teacher and educational leader programs from no more than fifteen percent to fifty percent.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to align the Commissioner's regulations with recent changes to section 210-a of the Education Law made by Chapter 620 and 626 of the Laws of 2021

3. NEEDS AND BENEFITS:

Chapter 620 of the Laws of 2021 removed the statutory requirement for a minimum score on the graduate record examination or a substantially equivalent admission examination, as determined by an institution of higher education, for admission to a graduate-level teacher or educational leadership program. Additionally, Chapter 626 of the Laws of 2021 increased the percentage of an incoming class that may be exempted from the required selection criteria for admission to a graduate-level teacher or educational leadership program from fifteen percent to fifty percent.

Therefore, the Department proposes to amend section 52.21 of the Commissioner's regulations to make conforming revisions consistent with these statutory changes.

4. COSTS

a. Costs to State government: The amendment does not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendment does not impose any costs on local government.

c. Costs to private regulated parties: The amendment does not impose any costs on private regulated parties.

Rule Making Activities

d. Costs to regulating agency for implementation and continued administration: See above

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK: The proposed amendment does not impose any additional paperwork

requirements. 7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to align the Commissioner's regulations with recent changes to section 210-a of the Education Law made by Chapter 620 and 626 of the Laws of 2021. Therefore, no alternatives were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards. 10. COMPLIANCE SCHEDULE:

Following the 60-day public comment period required under the State Administrative Procedure Act, it is anticipated that the proposed amend-ment will be presented to the Board of Regents for adoption at its July 2022 meeting. If adopted at the July meeting, the proposed amendment will become effective on July 27, 2022. It is anticipated that regulated parties will be able to comply with the proposed rule on its effective date.

Regulatory Flexibility Analysis

The proposed amendment applies to all graduate-level teacher and educational leader programs in the state including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less.

The purpose of the proposed amendment to section 52.21 of the Regulations of the Commissioner of Education is to align the Commissioner's regulations with recent changes to section 210-a of the Education Law regarding admission requirements for graduate-level teacher and educational leader programs.

Chapter 620 of the Laws of 2021 removed the statutory requirement for a minimum score on the graduate record examination or a substantially equivalent admission examination, as determined by an institution of higher education, for admission to a graduate-level teacher or educational leadership program. Additionally, Chapter 626 of the Laws of 2021 increased the percentage of an incoming class that may be exempted from the required selection criteria for admission to a graduate-level teacher or educational leadership program from fifteen percent to fifty percent.

Therefore, the Department proposes to amend section 52.21 of the Commissioner's regulations to make conforming revisions consistent with these statutory changes.

The amendments do not impose any new recordkeeping or other compliance requirements and will not have an adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed amendments that they will not affect small businesses or local governments, no further steps were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND EŠTIMATED NUMBERS OF RURAL AREAS:

The proposed amendment applies to all graduate-level teacher and educational leader programs in the state including those located in the 44 rural counties with fewer than 200,000 inhabitants and the 71 towns and urban counties with a population density of 150 square miles or less. 2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE

REQUIREMENTS; AND PROFESSIONAL SERVICES

The purpose of the proposed amendment to section 52.21 of the Regulations of the Commissioner of Education is to align the Commissioner's regulations with recent changes to section 210-a of the Education Law regarding admission requirements for graduate-level teacher and educational leader programs.

Chapter 620 of the Laws of 2021 removed the statutory requirement for a minimum score on the graduate record examination or a substantially equivalent admission examination, as determined by an institution of higher education, for admission to a graduate-level teacher or educational leadership program. Additionally, Chapter 626 of the Laws of 2021 increased the percentage of an incoming class that may be exempted from the required selection criteria for admission to a graduate-level teacher or educational leadership program from fifteen percent to fifty percent. Therefore, the Department proposes to amend section 52.21 of the Com-

missioner's regulations to make conforming revisions consistent with these statutory changes.

3. COSTS:

The proposed amendment does not impose any costs on graduate-level teacher and educational leader programs.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to align the Commissioner's regulations with recent changes to section 210-a of the Education Law made by Chapter 620 and 626 of the Laws of 2021. Such statutory changes apply to all graduate-level teacher and educational leader programs within the state. Therefore, no alternatives were considered for those programs located in rural areas of the State.

5. RURAL AREA PARTICIPATION:

Copies of the proposed amendment has been provided to the New York Association of Colleges for Teacher Education for review and comment.

Job Impact Statement

The purpose of the proposed amendment to section 52.21 of the Regulations of the Commissioner of Education is to align the Commissioner's regulations with recent changes to section 210-a of the Education Law regarding admission requirements for graduate-level teacher and educational leader programs.

Chapter 620 of the Laws of 2021 removed the statutory requirement for a minimum score on the graduate record examination or a substantially equivalent admission examination, as determined by an institution of higher education, for admission to a graduate-level teacher or educational leadership program. Additionally, Chapter 626 of the Laws of 2021 increased the percentage of an incoming class that may be exempted from the required selection criteria for admission to a graduate-level teacher or educational leadership program from fifteen percent to fifty percent.

Therefore, the Department proposes to amend section 52.21 of the Commissioner's regulations to make conforming revisions consistent with these statutory changes.

Because it is evident from the nature of the proposed amendment that it will have no impact on the existing number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Instructional Hour COVID-19 Waiver

I.D. No. EDU-13-22-00027-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 175.5(n) of Title 8 NYCRR. *Statutory authority:* Education Law, sections 101, 207, 305, 3602, 3604 and 3609-a

Subject: Instructional Hour COVID-19 Waiver.

Purpose: To extend the instructional hour COVID-19 waiver to the 2022-23 school year.

Text of proposed rule: (n) COVID-19 Executive Order(s) and Waivers.

(2) For the 2019-20, 2020-21, and 2021-22 school years, notwithstanding any other provision of this section to the contrary, any school district may be eligible for a waiver from the annual instructional hour requirement set forth in subdivision (c) of this section if the district is unable to meet such requirement as a result of an Executive Order(s) of the Governor pursuant to the [State] *state* of emergency declared for the COVID-19 crisis, [or] pursuant to Education Law § 3604(7), as amended by Chapter 107 of the Laws of 2020, or reopening procedures implemented as a result of the COVID-19 crisis, provided that such district meets the requirements outlined in subparagraphs (i) and (iii) of paragraph (1) of subdivision (m) of this section.

(3) For the 2022-23 school year, notwithstanding any other provision of this section, any school district may be eligible for a waiver from the short session deduction set forth in subdivision (j) of this section if the district is unable to meet the annual instructional hour requirement set forth in subdivision (c) of this section as a result of the COVID-19 crisis, provided that:

(i) the school district must be in session for at least 180 days in each school year, which shall include superintendents' conference days authorized under this section; and

(ii) the superintendent shall certify to the Department, on a form prescribed by the Commissioner, the specific cause of the district's inability to meet such requirement and an explanation as to why the district was unable to make up the required hours.

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Sean Giambattista, Direc-

tor, Education Department, Office of Education Finance, 89 Washington Avenue, Room 145EB, Albany, NY 12234, (518) 474-2977, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STAŤUTÔRY AUTHORITY:

Education Law § 101 codifies the Education Department, with Board of Regents as its head, and authorizes the Regents to appoint the Commissioner of Education, as Department's Chief Administrative Officer, which is charged with general management and supervision of all public schools and educational work of State.

Education Law § 207 empowers Regents to adopt rules and regulations to carry out State education laws and functions and duties conferred on the Department.

Éducation Law §§ 305(1) and (2) provide Commissioner, as chief executive officer of the State's education system, with general supervision over all schools and institutions subject to the Education Law, or any statute relating to education, and responsibility for executing all educational policies of the Regents.

Education Law § 3602 provides for the apportionment of public moneys to school districts employing eight or more teachers.

Education Law § 3604 provides the conditions under which districts are entitled to apportionment of state funds.

Education Law § 3609-a provides for the when and how apportioned moneys are payable commencing July 1, 2007.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to provide schools districts with notice that if they cannot meet the minimum instructional requirements due to safety and/or scheduling issues related to the ongoing coronavirus pandemic they can apply to the Commissioner for a single waiver from aid penalties for the 2022-2023 school year to avoid reductions to state funding.

3. NEEDS AND BENEFITS:

At its September 2021 meeting, the Board of Regents voted to permanently adopt amendments to section 175.5 of the Commissioner's regulations to provide that, for the 2021-2022 school year, school districts may be eligible for a waiver of the annual instructional hour requirement if they are unable to meet such requirement due to an Executive Order(s) of the Governor pursuant to the state of emergency declared for the COVID-19 crisis, or pursuant to Education Law § 3604(7), or due to reopening procedures implemented as a result of the COVID-19 crisis, so long as the district meets certain prescribed requirements.

Čoncerns have been raised by a small number of school districts that have indicated that due to safety issues and/or other scheduling challenges, they are unable to meet the minimum annual instructional hour requirements, the proposed amendment allows superintendents to apply to the Commissioner for a single waiver from aid penalties for the 2022-2023 school year to avoid reductions to state funding. The proposed amendment requires all superintendents who apply for a waiver to certify the specific cause of the district's inability to meet the annual instructional hour minimum and an explanation as to why the district was unable to make up the required hours.

During the waiver period, Department staff will work with school districts to identify and address any emerging issues, particularly those related to safety.

4. COSTS:

Cost to the State: None.

Costs to local government: There are no additional costs to local governments.

Cost to private regulated parties: There are no additional costs to private regulated parties.

Cost to regulating agency for implementation and continued administration of this rule: None.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty or responsibility upon local governments. The proposed amendment provides districts with regulatory flexibility by permitting them to apply for a waiver from aid penalties where such districts' are unable to meet the minimum instructional hour requirement as a result of the ongoing coronavirus pandemic in the 2022-2023 school year to avoid reductions to state funding.

6. PAPERWORK:

The proposed amendment requires all superintendents who apply for a waiver to certify the specific cause of the district's inability to meet the annual instructional hour minimum and an explanation as to why the district was unable to make up the required hours.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary for the preservation of the general welfare to provide schools districts with notice that if they cannot meet the minimum instructional requirements due to safety and/or scheduling issues they can apply to the Commissioner for a single waiver from related aid penalties for the 2022-2023 school year. Accordingly, no alternatives were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards. 10. COMPLIANCE SCHEDULE:

It is anticipated that the proposed amendment will be presented to the Board of Regents for permanent adoption at its July 2022 meeting. If adopted at the July 2022 meeting, the proposed amendment will become effective on July 27, 2022. It is anticipated that regulated parties will be able to comply with the proposed amendment by the effective date.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed amendment is necessary to provide schools districts with notice that if they cannot meet the minimum instructional requirements due to safety and/or scheduling issues related to the ongoing coronavirus pandemic, superintendents may apply to the Commissioner for a single waiver from aid penalties for the 2022-2023 school year to avoid reductions to state funding, and does not impose any adverse economic impact, reporting, record keeping or any other compliance requirements on small businesses.

Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The proposed amendment applies to each of the public school districts in the State which receive Foundation Aid.

2. COMPLIANCE REQUIREMENTS:

The proposed amendment is necessary to provide school districts with notice that if they cannot meet the minimum instructional requirements due to safety and/or scheduling related to the ongoing coronavirus pandemic, superintendents may apply to the Commissioner for a single waiver from aid penalties for the 2022-2023 school year to avoid reductions to state funding. The proposed amendment requires all superintendents who apply for a waiver must certify the specific cause of the district's inability to meet the annual instructional hour minimum and an explanation as to why the district was unable to make up the required hours.

3. NEEDS AND BENEFITS:

At its September 2021 meeting, the Board of Regents voted to permanently adopt amendments to section 175.5 of the Commissioner's regulations to provide that, for the 2021-2022 school year, school districts may be eligible for a waiver of the annual instructional hour requirement if they are unable to meet such requirement due to an Executive Order(s) of the Governor pursuant to the state of emergency declared for the COVID-19 crisis, or pursuant to Education Law § 3604(7), or due to reopening procedures implemented as a result of the COVID-19 crisis, so long as the district meets certain prescribed requirements.

Concerns have been raised by a small number of school districts that have indicated that due to safety issues and/or other scheduling challenges, they are unable to meet the minimum annual instructional hour requirements, the proposed amendment allows superintendents to apply to the Commissioner for a single waiver from aid penalties for the 2022-2023 school year to avoid reductions to state funding. The proposed amendment requires all superintendents who apply for a waiver must certify the specific cause of the district's inability to meet the annual instructional hour minimum and an explanation as to why the district was unable to make up the required hours

During the waiver period, Department staff will work with school districts to identify and address any emerging issues, particularly those related to safety

4. PROFESSIONAL SERVICES:

The proposed amendment does not require any additional professional service requirement on local governments.

5. COMPLIANCE COSTŠ

The proposed amendment does not impose any additional costs on local governments

6. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

7. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary for the preservation of the general welfare to provide schools districts with notice that if they cannot meet the minimum instructional requirements due to safety and/or scheduling issues they can apply to the Commissioner for a single waiver from re-lated aid penalties for the 2022-2023 school year. Therefore, the proposed rule will have no adverse economic impact on local governments.

8. LOCAL GOVERNMENT PARTICIPATION

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule applies to all school districts in the State which receive Foundation Aid, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less. 2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE

REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendment is necessary for the preservation of the general welfare to provide school districts with notice that if they cannot meet the minimum instructional requirements due to safety and/or scheduling related to the ongoing coronavirus pandemic, superintendents may apply to the Commissioner for a single waiver from aid penalties for the 2022-2023 school year to avoid reductions to state funding. The proposed amendment requires all superintendents who apply for a waiver must certify the specific cause of the district's inability to meet the annual instructional hour minimum and an explanation as to why the district was unable to make up the required hours.

3. COMPLIANCE COSTS:

The proposed amendment does not impose any additional costs on the State, regulated parties, or the State Education Department. 4. MINIMIZING ADVERSE IMPACT:

The proposed amendment provides school districts with notice that if they cannot meet the minimum instructional requirements due to safety and/or scheduling issues they can apply to the Commissioner for a single waiver from the aid penalties related to failure to meet this requirement. Because the statutory requirement upon which the proposed amendment is based applies to all schools in the State as defined in Education Law \$ 1125(10), it is not possible to establish differing compliance or reporting requirements or timetables or to exempt schools in rural areas from coverage by the proposed amendment.

5. RURAL AREA PARTICIPATION:

Comments on the proposed rule were solicited from school districts through the offices of the district superintendents of each supervisory district in the State and from the chief school officers of the five big city school districts, including those located in rural areas.

Job Impact Statement

The proposed rule is necessary for the preservation of the general welfare to provide schools districts with notice that if they cannot meet the minimum instructional requirements due to safety and/or scheduling issues related to the ongoing coronavirus pandemic, superintendents may apply to the Commissioner for a single waiver from aid penalties for the 2022-23 school year to avoid reductions to state funding.

Because it is evident from the nature of the proposed amendment that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Department of Environmental Conservation

NOTICE OF ADOPTION

Expanded Polystyrene Foam Container and Polystyrene Loose Fill Packaging Reduction

I.D. No. ENV-36-21-00003-A Filing No. 165 Filing Date: 2022-03-15 Effective Date: 30 days after filing

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action: Action taken: Addition of Part 353 to Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, art. 27, title 30, sections 27-3003(2), 1-0101, 3-0301

Subject: Expanded Polystyrene Foam Container and Polystyrene Loose Fill Packaging Reduction.

Purpose: Implementation of the expanded polystyrene foam container and loose fill packaging ban in ECL art. 27, title 30.

Text or summary was published in the September 8, 2021 issue of the Register, I.D. No. ENV-36-21-00003-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Amy Bloomfield, Department of Environmental Conservation, 21 South Putt Corners Rd., New Paltz, NY 12561, (845) 256-3177, email: amy.bloomfield@dec.ny.gov

Additional matter required by statute: Pursuant to Article 8 of the ECL, the State Environmental Quality Review Act, an Environmental Assessment Form, determination of significance (negative declaration), and Coastal Assessment Form have been prepared and are on file with the Department.

Summary of Revised Regulatory Impact Statement

Introduction

A new Title 30 of Article 27 of the Environmental Conservation Law (ECL), "Expanded polystyrene foam container and polystyrene loose fill packaging ban," went into effect on April 3, 2020, and established a ban on disposable food service containers that contain expanded polystyrene foam and polystyrene loose fill packaging. This rulemaking is intended to implement the provisions of the "Expanded polystyrene foam container and polystyrene loose fill packaging ban."

Effective January 1, 2022, no covered food service provider or store (retail or wholesale) is allowed to sell, offer for sale, or distribute disposable food service containers that contain expanded polystyrene foam in New York State. In addition, no manufacturer or store is allowed to sell, offer for sale, or distribute polystyrene loose fill packaging (commonly referred to as packing peanuts) in the state. "Covered food service provider" means a person engaged in the business of selling or distributing prepared food or beverages for on-premises or off-premises consumption. Title 30 preempts all local laws, ordinances or regulations governing the sale, offer for sale, or distribution of disposable food service containers containing expanded polystyrene foam and polystyrene loose fill packaging. However, there are exemptions for raw meat, pork, seafood, poultry, and fish, prepackaged foods filled or sealed prior to receipt at a covered food service provider, and food service containers made of rigid polystyrene. Title 30 also includes a financial hardship waiver component for covered food service providers and facilities that meet certain criteria. Defining terms such as "comparable costs" and "undue financial hardship and the details of the financial hardship waiver application are necessary to accomplish the intent of the ban.

1. Statutory Authority

The Department's statutory authority to undertake the development of regulations concerning the provisions of the expanded polystyrene foam container and polystyrene loose fill packaging ban in Title 30 of Article 27 of the ECL is found in ECL Sections 1-0101, 3-0301, and 27-3003.

2. Legislative Objectives

The legislative objectives for Title 30 build on the accomplishments of other laws that reduce the negative environmental and community impacts of problematic, disposable, single-use plastic items. Plastic pollution from expanded polystyrene foam has been detected in the environment in New York State, other parts of the United States, and globally. It is a top contributor to plastic pollution that persists in the environment and negatively affects natural resources, fish and wildlife habitats, and litters communities and natural areas. In addition, foam containers and loose fill packaging, such as packing peanuts, do not contribute to sustainable materials management solutions as they are not accepted in the majority of recycling programs in New York State because the foam is a low value and difficult to recycle material. The need to ban these materials is being recognized through a growing number of foam bans across the country, around the world, and through the voluntary discontinued use of foam products by large corporations.

3. Needs and Benefits

Title 30 is intended to move consumers, businesses, government agencies, and non-profits away from the wasteful and environmentally damaging practice of using disposable single-use expanded polystyrene foam containers and loose fill packaging.

4. Costs

While the regulations will not add any additional direct costs to the regulated parties, the requirements of the law itself and its ban on expanded polystyrene foam disposable food service containers and loose fill packaging may impact costs for regulated entities, including the possibility of increased costs for covered food service providers if the purchase and use

of food service containers that do not contain expanded polystyrene foam cost more than products containing expanded polystyrene foam. However, there are alternatives that many retail or online stores carry, some of which are cost competitive. Although expanded polystyrene foam containers and loose fill are common, a variety of alternatives are available, many of which are recyclable or compostable, biodegradable, or reusable, further increasing the environmental benefits of the expanded polystyrene foam container and loose fill ban and appealing to customers who are increas-ingly looking for safer, environmentally preferable options. A cost comparison analysis from 2015 by the Takoma Park Public Works Department (TPPWD) in Takoma, Maryland describes the various cost differences of different foam alternatives (See https://documentstakomapark.s3.amazonaws.com/public-works/polystyrene-ban/PW-20150624-cost-analysis-hand-out.pdf (last visited February 3, 2022)). In 2021, the New York State Center for Sustainable Materials Management released a 'Foam Container, Void Fill and Protective Packaging Alternative Guide' that includes more up to date pricing information for alternative containers and packaging (https://static1.squarespace.com/static/ 5ed7acc21164f903067ef486/t/61c228f5d7639650babd6717/

1640114424442/EPS+alt+guide_v1.0_d3_revision%5B90%5D.pdf (last visited February 3, 2022)). Some regulated entities may have an increased cost associated with alternative packaging, but these costs will vary depending on what alternative container or packaging material is chosen, what type of container or packaging needs to be replaced, (e.g., cups and plates), and the vendor chosen. The expanded polystyrene foam container and loose fill packaging ban also contains a financial hardship waiver provision if compliance would create an undue financial hardship for certain facilities and covered food service providers. The Part 353 regulations will not incur additional costs to the Department or local governments.

5. Local Government Mandates

The Part 353 regulations do not directly mandate the expenditure of funds by local governments and should not negatively affect local government operations. If a local government agency applies for a financial hardship waiver, the local government agency is responsible for applying for, renewing, and keeping on file any approved hardship waiver approval. Any county that enacts a polystyrene ban by local law, ordinance, or regulation that provides environmental protection equal to or greater than the state law can file a written declaration with the Department to continue implementing the local law. If a county files such a written declaration, that county is responsible for submitting the written declaration, keeping the declaration on file, and notifying the Department of any changes that occur to the local law, ordinance, or regulation. Neither of these options are required or mandated.

6. Paperwork

Additional paperwork will only be required for covered entities who apply for a financial hardship waiver or a county with a local law providing environmental protection equal to or greater than the provisions of Title 30 or state regulations, which chooses to file a written declaration with the Department.

7. Duplication

The Part 353 regulations do not duplicate any other federal or state requirements.

8. Alternatives

Several alternative approaches were considered prior to initiating this rulemaking. One approach was to allow implementation to unfold based on the language in the law. A second approach was to implement through issuance of guidance, such as a series of fact sheets or frequently asked questions. A third approach was to issue a program policy to establish program requirements. All three alternatives were rejected because without regulations, the three alternatives would be inadequate, would not carry the full legal authority of regulations, and the intent of the expanded polystyrene foam container and loose fill packaging ban will not be accomplished as intended.

9. Federal Standards

There are no federal standards related to a ban on expanded polystyrene foam containers or loose fill packaging.

10. Compliance Schedule Title 30 applies to regulated entities beginning January 1, 2022, pursuant to the law. The Part 353 regulations will become effective 30 days af-

ter filing with the Department of State.

Revised Regulatory Flexibility Analysis

INTRODUCTION

A new Title 30 of Article 27 of the Environmental Conservation Law (ECL), "Expanded polystyrene foam container and polystyrene loose fill packaging ban" established a ban on disposable food service containers that contain expanded polystyrene foam and polystyrene loose fill packaging, which went into effect on January 1, 2022. This rulemaking is intended to implement the provisions of the "Expanded polystyrene foam container and polystyrene loose fill packaging ban" by defining statutory terms such as "comparable costs" and "undue financial hardship," and set

ting forth the details of the financial hardship waiver application process, which are necessary to ensure the bans on expanded polystyrene foam containers and expanded polystyrene loose fill packaging are implemented in a consistent, efficient and effective manner. The regulations will also help ensure that regulated entities clearly understand their compliance obligations and the process for obtaining a financial hardship waiver. 1. EFFECT OF RULE

Part 353 implements Title 30 of ECL Article 27 of the ECL. The implementation of the regulations is not expected to have an adverse effect on small businesses or local governments, however the enactment of the law itself may affect some small businesses. Although the regulations will not add direct costs to the regulated parties, many of the entities affected by Title 30 may be small businesses.

Based on the categories of small businesses in the New York Small Business Economic Profile for 2018 by the U.S. Small Business Administration, Office of Advocacy, (https://www.sba.gov/sites/default/files/ advocacy/2018-Small-Business-Profiles-NY.pdf (last visited May 3, 2021), which provides data regarding small businesses in New York State, the categories which could potentially be impacted by the law include retail trade, accommodation and food services, healthcare and social assistance, wholesale trade, manufacturing, and educational services. These categories make up 620,380 New York State small businesses.

The number of these small businesses that could be affected by the law and regulations, because they currently manufacture, sell or distribute expanded polystyrene foam products that will be banned under the law, is unknown. However, the number of small business affected is very likely less than the total number of businesses documented in this report due to a few factors. For instance, many municipalities in New York already have local laws banning expanded polystyrene foam products, including the counties of Albany, Dutchess, Nassau, Putnam, Suffolk, Ulster, and Westchester, as well as New York City and the City of Oswego. Consequently, about sixty-six percent (66%) of the population in this state is already subject to a local law banning expanded polystyrene (EPS) foam products. There are also businesses that have voluntarily stopped using EPS foam containers and packaging. With the EPS foam ban in effect on January 1, 2022, the law and regulations apply equally to local governments, except for those which currently have a ban in place, as indicated in the law. The law does not apply in New York City because Title 30 does not apply in a city with a population of one million or more that has a local law in place restricting the sale, offer for sale, or distribution of EPS foam containers and polystyrene loose fill packaging. In addition, any local law, ordinance or regulation of any county will not be preempted if such local law provides environmental protection equal to or greater than Title 30 or the Part 353 regulations, and the county files a written declaration of its intent to administer and enforce such county law with the Department.

Although EPS foam is often chosen for its low cost, insulating, and cushioning properties, there are many alternatives to foam containers and packaging, some of which are cost competitive. Materials such as rigid plastic, paper, aluminum, plant fibers and starches, bioplastics, and reusable items, are all options that many retail or online stores carry as alternatives. Some covered food service providers and stores may have increased costs associated with alternative packaging, but these costs will vary depending on which alternative is chosen and the type of container or packaging being replaced. The regulations should have little to no effect on regulated parties who do not currently sell, offer for sale, or distribute banned items. With the ban in effect and with more entities purchasing alternative packaging, this may drive down the cost of alternatives. There are some alternatives that are similar in cost, while others are more costly. The law and regulations do not require specific alternatives to be used; the alternatives just cannot contain EPS foam. Contamination in the recycling stream caused by EPS foam containers and loose fill packaging could decrease, potentially reducing costs associated with contaminated recyclables and increasing the value and marketability of recyclables

To the extent that stores and manufacturers required to comply with Title 30 are small businesses, those stores and manufacturers will see a decline in sales of banned products within the state. Stores and manufactures that are small businesses that sell alternative containers and packaging could realize an increase in sales.

There are no specific requirements for local governments, unless a county chooses to file a written declaration with the Department, or a local government agency applies for a financial hardship waiver; however, neither of these actions are required.

2. COMPLIANCE REQUIREMENTS

With respect to the requirements related to Title 30, there will be a change in current business practices for covered food service providers, stores and manufacturers still selling, offering for sale or distributing banned products, as they must comply with the ban by January 1, 2022. The law and regulations do not require specific reporting or recordkeeping, except to the extent that covered food service providers and facilities who choose to apply for a financial hardship waiver will be required to complete an application for a waiver and if the waiver is approved, the approval must be retained and available for inspection at the facility or covered food service provider.

There are no specific compliance requirements for local governments related to Title 30 or Part 353 unless a county does not want its local law to be preempted and files a written declaration with the Department or a local agency applies for a financial hardship waiver. These actions may be pursued but are not required.

3. PROFESSIONAL SERVICES

There are no new professional services anticipated to be required for small businesses or local governments.

4. COMPLIANCE COSTS

As noted above, while the regulations will not add additional direct costs to small businesses or local governments, the enactment of the law itself may impact costs for regulated entities. Many of the entities affected may be small businesses.

With respect to the costs related to Title 30 for covered food service providers and stores that sell, offer for sale or distribute expanded polystyrene foam containers or loose fill packaging, there are a variety of alternative containers and packaging available, some of which are costcompetitive. Some covered food service providers and stores may have increased costs associated with alternative packaging, but these costs will vary depending on the alternative chosen. For example, switching to reusable containers can save money by reducing disposable food service ware costs, preventing litter, and lowering waste collection and disposal costs. The regulations should have little to no effect on regulated parties who do not currently sell, offer for sale, or distribute banned products. As more entities purchase alternatives, this may drive down the cost of alternatives. Some alternatives are similar in cost and some will cost more. In a 2015 cost analysis performed in Maryland, it was estimated that alternatives to expanded polystyrene foam will cost anywhere from \$0.04 cents to \$0.10 more per unit than polystyrene foam items; however, costs vary. (See https://takomaparkmd.gov/government/police/neighborhood-services/ polystyrene-ban/ (last visited February 3, 2022); https://documentstakomapark.s3.amazonaws.com/public-works/polystyrene-ban/PW-20150624-cost-for-business-fact-sheet.pdf (last visited February 3, 2022)). In 2021, the New York State Center for Sustainable Materials Management released a Foam Container, Void Fill and Protective Packaging Alternative Guide that includes more up to date pricing information for alternative containers and packaging (https://static1.squarespace.com/ static/5ed7acc21164f903067ef486/t/61c228f5d7639650babd6717/ 1640114424442/EPS+alt+guide_v1.0_d3_revision%5B90%5D.pdf (last visited February 3, 2022)). There is a wide array of alternative container and packaging options; some may cost more or less than other products, depending on the item, size, and material used. To the extent that stores and manufacturers required to comply with Title 30 are small businesses, those stores and manufacturers will see a decline in sales of banned items within New York State. Stores and manufactures that are small businesses that sell alternative containers and packaging could realize an increase in sales

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY

The requirements related to Title 30 prohibiting the sale, offer for sale or distribution of certain EPS foam containers and loose fill packaging are not anticipated to be a technological challenge since many alternative containers and packaging already exist today. With respect to economic impacts, some covered food service providers and stores may have increased costs associated with alternative packaging, but costs will vary depending on what alternative is chosen and the type of container or packaging being replaced. The regulations should have little to no effect on regulated parties who do not currently sell or distribute polystyrene foam products that will be banned. There are no economic or technological impacts anticipated for local governments related to the EPS foam container and loose fill packaging ban.

6. MINIMIZING ADVERSE IMPACTS

Any adverse economic impacts or additional costs associated with compliance with the implementation of Title 30 are the result of the Legislature's enactment of the ban on expanded polystyrene foam containers and polystyrene loose fill packaging. Part 353 will not result in any additional direct costs or additional adverse impacts to small businesses or local governments that will not already occur as a result of the law.

Part 353 will implement Title 30's financial hardship waiver provisions, which will help to minimize adverse impacts. Covered food service providers that meet certain criteria (have an annual gross income under 500,000 per location, do not operate 10 or more locations in New York State, and are not operated according to a franchise agreement), as well as facilities operated by a not-for-profit corporation or by a federal, state, or local government agency that provide food and meals to food insecure individuals, may request from the Department a renewable 12-month hardship waiver of the requirements of Title 30. Hardship waivers may be granted for one or more disposable food service containers to a covered food service provider that demonstrates there is no alternative product of comparable cost that is not composed of EPS foam and that the purchase or use of an alternative product would create an undue financial hardship.

The Department intends to undertake efforts to minimize potential impacts by engaging stakeholders through outreach, education, and guidance documents. Small businesses that are manufacturers of alternative containers and packaging could see an increase in sales and employment. 7. SMALL BUSINESS AND LOCAL GOVERNMENT PARTICIPA-

TION The Department held meetings with interested stakeholders, including

groups representing small businesses, as well as local governments, to explain the requirements of the law and collect feedback. The Department performed additional outreach and education, accepted public comments, and evaluated the feedback for input into the rulemaking. The regulations are intended to merely implement the requirements of the law.

8. CURE PERIOD OR OTHER OPPORTUNITY FOR AMELIORA-TIVE ACTION

This rule does not establish or modify a violation or penalties associated with a violation; the law establishes the penalties for violations in Section 71-2730 of Article 71 of the ECL. While the law went into effect immediately, the ban on expanded polystyrene foam containers and polystyrene loose fill packaging in Article 27, Title 30 took effect on January 1, 2022, as required by the law. The regulations will be in effect 30 days after filing with the Department of State.

9. INITIAL REVIEW OF RULE

The initial review of this rule shall occur no later than in the third calendar year after the year in which

the rule is adopted.

Revised Rural Area Flexibility Analysis

INTRODUCTION

A new Title 30 of Article 27 of the Environmental Conservation Law (ECL), "Expanded polystyrene foam container and polystyrene loose fill packaging ban" established a ban on disposable food service containers that contain expanded polystyrene foam and polystyrene loose fill packaging, which went into effect on January 1, 2022. This rulemaking is intended to implement the provisions of the "Expanded polystyrene foam container and polystyrene loose fill packaging ban" by defining statutory terms such as "comparable costs" and "undue financial hardship," and setting forth the details of the financial hardship waiver application process, which are necessary to ensure the bans on expanded polystyrene foam containers and expanded polystyrene loose fill packaging ar implemented in a consistent, efficient and effective manner. The regulations will also help ensure that regulated entities clearly understand their compliance obligations and the process for obtaining a financial hardship waiver.

1. TYPES AND NUMBERS OF RURAL AREAS

For purposes of this Rural Area Flexibility Analysis (RAFA), "rural area" means those portions of the state so defined by Executive Law section 481(7). SAPA section 102(10). Under Executive Law section 481(7), rural areas are defined as "counties within the state having less than two hundred thousand population, and the municipalities, individuals, institutions, communities, programs and such other entities or resources as are found therein. In counties of two hundred thousand or greater population, 'rural areas' means towns with population densities of one hundred fifty persons or less per square mile, and the villages, individuals, institutions, communities, programs and such other entities or resources as are found therein." There are 44 counties in New York State that have populations of less than 200,000 people and 71 towns in non-rural counties where the population densities are less than 150 people per square mile.

The regulations apply statewide (excluding New York City), including rural areas of the state. The law and regulations do not apply in cities with a population of one million or more that have a local polystyrene ban in place (this includes New York City). In addition, any local law, ordinance or regulation of any county will not be preempted if such local law provides environmental protection equal to or greater than Title 30 or the Part 353 regulations, and the county files a written declaration of its intent to administer and enforce such county law with the Department. All applicable areas of the state, including stores and covered food service providers, located in rural areas that sell, offer for sale or distribute expanded polystyrene (EPS) foam containers and stores and manufacturers located in rural areas that sell, offer for sale or distribute EPS loose fill packaging (commonly known as packing peanuts) could be affected directly or indirectly by the law and the rulemaking.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES

While the regulations will not add any mandatory reporting, recordkeeping, other compliance requirements, or the need for additional professional services to regulated entities, the enactment of the law itself requires regulated entities to comply with the ban on EPS foam disposable food service containers and loose fill packaging. Regulated entities are statewide, including in rural areas.

The additional administrative requirements only relate to those entities that elect to complete an application for a financial hardship waiver or counties that enact a polystyrene ban through a local law that provides environmental protection equal to or greater than the state law and choose to file a written declaration with the Department to continue to administer and enforce the county law. Title 30 provides that local polystyrene bans will be preempted by the state law, except for laws in counties that choose to file such written declaration. A financial hardship waiver is available for entities that meet certain requirements related to the prohibition on selling, offering for sale or distributing disposable food service containers that contain EPS foam. The hardship waiver is the only component of the regulations that would result in any additional administrative obligations, but the waiver is optional and not required under the Part 353 regulations. Additionally, a county that enacts a polystyrene ban by local law that provides environmental protection equal to or greater than the state law could choose to file a written declaration with the Department if it intends to continue administering and enforcing its local law. However, the writ-ten declaration is also optional, and, if pursued, would involve minimal paperwork

3. COSTS

While the regulations will not add any additional direct costs to regulated parties, the requirements of the law itself and its ban on EPS foam disposable food service containers and loose fill packaging may impact costs for regulated entities, including those in rural areas. Beginning January 1, 2022, the law prohibits covered food service providers and stores from selling, offering for sale, or distributing disposable food service containers that contain EPS foam in New York State. Depending on the type of container and alternative material chosen, alternative acceptable containers have the potential to cost more. Therefore, there is a possibility of increased costs for covered food service providers if the purchase and use of food service containers that do not contain EPS foam cost more than products containing EPS foam. In a 2015 cost analysis performed in Maryland, (See https://takomaparkmd.gov/government/ police/neighborhood-services/polystyrene-ban/ (last visited February 3, 2022); https://documents-takomapark.s3.amazonaws.com/public-works/ polystyrene-ban/PW-20150624-cost-for-business-fact-sheet.pdf (last visited February 3, 2022)) it was estimated that alternatives to EPS foam will cost anywhere from \$0.04 cents to \$0.10 more per unit than EPS foam items; however, costs vary. In 2021, the New York State Center for Sustainable Materials Management released a 'Foam Container, Void Fill and Protective Packaging Alternative Guide' that includes more up to date pricing information for alternative containers and packaging (https:// static1.squarespace.com/static/5ed7acc21164f903067ef486/t/ 61c228f5d7639d50babd6717/1640114424442/EPS+alt+guide_v1.0_

d3_revision%5B90%5D.pdf (last visited February 3, 2022)). Some regulated entities may have an increased cost associated with alternative packaging, but these costs will vary depending on what alternative container or packaging material is chosen, what type of container or packaging needs to be replaced, (e.g., cups and plates), and the vendor chosen. If retail and wholesale stores choose to provide alternatives in place of EPS foam containers, these could cost more for stores to purchase than EPS foam items. However, the likely increase in demand for alternative products could increase sales of alternative products, potentially offsetting any higher costs to purchase these items, and possibly generating increased profits from alternatives.

This prohibition applies across the state — in urban, suburban and rural areas — and variation in costs for different types of public and private entities in rural areas is not anticipated. Manufacturers of disposable food service containers that contain EPS foam do exist in some locations across New York State. However, the Department has not identified any manufacturers of banned products that are located in rural areas of the state who could realize decreased sales of banned products or decreased profits. There are no direct costs to manufactures and distributors from the regulations or the law itself. The law and regulations will be applied across the state equally, except for cities to which the law does not apply, or counties who file a written declaration to administer and enforce their own local law, according to the requirements in the state law.

Manufacturers and distributors of disposable food service containers that do not contain EPS foam could experience an increase in sales due to a likely shift to other containers by covered food service providers and stores to any of the various options currently available on the market. The law and regulations may also result in an increased focus on reusable containers as an alternative and a shift to a more circular system, which can also provide cost savings. This would again boost prospects for those businesses that provide alternatives. Switching to reusable containers as an alternative can save money by reducing disposable food service ware costs, prevent litter, and lower waste collection services.

Title 30 also contains a ban on polystyrene loose fill packaging. Beginning January 1, 2022, no manufacturer or store shall sell, offer for sale, or distribute polystyrene loose fill packaging in New York State. Similar to the above, alternative packaging may cost more. If stores replace EPS foam loose fill packaging with alternatives, it may cost more to purchase some of these items. However, demand for these items will likely increase, and increased sales of alternatives could offset any increased costs to purchase and provide alternative packaging options. In reviewing some existing available alternative packaging options, the Department found cost competitive options, with some even providing a cost savings. In addition, manufacturers, distributors, and stores offer product lines beyond EPS foam loose fill packaging, including alternatives or items that will not be banned under the law, which can continue to be sold in New York State after the ban goes into effect. No direct costs to manufactures and distributors from the regulations or the law itself are anticipated. The prohibitions within the legislation do not favor one industry over another but rather promote a shift to more environmentally preferable solutions to ensure a healthy future for all in New York State.

4. MINIMIZING ADVERSE IMPACTS

Part 353 will implement Title 30's financial hardship waiver provisions, which will help to minimize adverse impacts on facilities and covered food service providers in rural areas. Covered food service providers that meet certain criteria (have an annual gross income under \$500,000 per location, do not operate 10 or more locations in New York State, and are not operated according to a franchise agreement), as well as facilities operated by a not-for-profit corporation or by a federal, state, or local government agency that provide food and meals to food insecure individuals, may request from the Department a renewable 12-month hardship waiver of the requirements of Title 30. Hardship waivers may be granted for one or more disposable food service containers to a covered food service provider that demonstrates there is no alternative product of comparable cost that is not composed of EPS foam and that the purchase or use of an alternative product would create an undue financial hardship.

5. RURAL AREA PARTICIPATION

The Department held one widely-attended virtual public meeting, which was advertised all over the state and to which stakeholders from all over the state, including rural areas, were invited and also attended. This provided public and private interests in rural areas with the opportunity to participate in the rule making process without having to travel to an inperson meeting. Additional meetings, outreach, and education to stakeholders, including those in rural areas, were also performed to explain the requirements of the law and regulations. The Department accepted public comments and evaluated the feedback and comments for input into the rulemaking. The regulations are intended to merely implement and clarify the requirements of the law.

6. INITIAL REVIEW OF RULE

The initial review of this rule shall occur no later than in the third calendar year after the year in which the rule is adopted.

Revised Job Impact Statement

INTRODUCTION

A new Title 30 of Article 27 of the Environmental Conservation Law (ECL), "Expanded polystyrene foam container and polystyrene loose fill packaging ban" established a ban on disposable food service containers that contain expanded polystyrene foam and polystyrene loose fill packaging, which went into effect on January 1, 2022. This Part 353 rulemaking is intended to implement the provisions of the "Expanded polystyrene foam container and polystyrene loose fill packaging ban" by defining statutory terms such as "comparable costs" and "undue financial hardship," and setting forth the details of the financial hardship waiver application process, which are necessary to ensure the bans on expanded polystyrene foam containers and expanded polystyrene loose fill packaging are implemented in a consistent, efficient and effective manner. The regulations will also help ensure that regulated entities clearly understand their compliance obligations and the process for obtaining a financial hardship waiver.

1. NATURE OF IMPACT

The implementation of the Part 353 regulations is not expected to have an adverse effect on jobs or employment opportunities. Any job-related impacts associated with compliance with the implementation of Title 30 are the result of the Legislature's enactment of the ban on expanded polystyrene foam container and polystyrene loose fill packaging ban. Part 353 will not result in any additional direct costs or additional adverse impacts to jobs or employment opportunities that will not already occur as a result of the law.

Nothing in this rule is expected to result in diminished economic activity, which typically results in adverse impacts on employment opportunities. While the regulations will not add any additional direct costs to the regulated parties, the requirements of the law itself and its ban on expanded polystyrene (EPS) foam disposable food service containers and loose fill packaging could impact costs for regulated entities, which, in turn, could possibly have an effect on jobs.

Title 30 prohibits covered food service providers and stores from selling, offering for sale, or distributing disposable food service containers that contain EPS foam in New York State. With the ban on EPS foam products in effect as of January 1, 2022, demand for polystyrene containers is likely to decrease. Depending on the type of container and material type, acceptable alternative containers have the potential to cost more. Covered food service providers could experience an increase in costs if the purchase and use of food service containers that do not contain EPS foam cost more than products containing EPS foam. If increased food service providers contain costs are so high that food service providers are unable to retain current staffing levels or are unable to hire additional employees. However, this is not anticipated to be the case, as there are many alternatives to choose from, including those that are cost competitive. Additionally, this rule implements the financial hardship waiver providers may be granted a financial hardship waiver from the requirements of Title 30 for disposable food service containers.

While the regulations themselves will not directly affect jobs, the enactment of the law itself may affect jobs for manufacturers and distributors who sell, offer for sale, or distribute banned items in New York State, as there are manufacturers and distributors located in this state who manufacture and/or distribute banned polystyrene products. With the law in effect, these businesses will see reduced sales of banned polystyrene products in New York State. Any time a business reduces sales of some items, this could reduce profits, and this could have an impact on jobs. However, the Department has reviewed some of the containers and packaging offered by these manufactures and distributors, and both manufacturers and distributors offer a diverse array of products for sale, including items that are not banned under the law and can still be sold or distributed in New York State. They may also offer alternative containers and packaging that are allowed under the law and for which manufacturers and distributors may see an increase in sales now that the law is in effect. This could have a positive impact on employment opportunities for businesses that manufacture, sell, or distribute alternative containers and packaging. In addition, disposable food service containers containing EPS foam can be sold out of state, in states without a ban.

Manufacturers and distributors of disposable food service containers that do not contain EPS foam could experience an increase in sales as a result of the likely shift to other containers by covered food service providers and stores. Due to the many options currently available on the market, it is not anticipated that there will be a lack of supply of alternative disposable food service containers that do not contain EPS foam. The law and regulations may also result in an increased focus on reusable containers as an alternative and a shift to a more circular system. This would again boost prospects for those companies that do not sell disposable food service containers containing EPS foam. Switching to reusable containers as an alternative can save money by reducing disposable food service ware costs, preventing litter, and lowering fees for waste collection services.

Title 30 also contains a ban on polystyrene loose fill packaging. Beginning January 1, 2022, no manufacturer or store can sell, offer for sale, or distribute polystyrene loose fill packaging in New York State. Similar to the above, alternative packaging may cost more, but as explained below, this is not anticipated to have significant job-related impacts. Manufacturers, distributors, and stores that sell polystyrene loose fill packaging do exist in some locations in this state and they will likely experience a decline in sales of these products in New York State, which could negatively impact jobs. However, similar to the manufacturers of disposable food service containers that contain EPS foam, these companies appear to have product lines beyond polystyrene loose fill packaging that can still be sold in this state after the ban goes into effect. Manufacturers, distributors, and stores that sell acceptable alternative fill packaging could see an increase in sales due to a likely shift in purchasing for those that use fill packaging, which may also result in the need to expand production, and the need to hire more people. Some distributors currently advertise starch packing peanuts as the packing peanuts with the fastest sales growth for their business. In addition, the Department has reviewed available alternatives, and cost competitive alternatives exist, such as packing peanuts made from starch, with some alternatives even providing a cost savings compared to polystyrene loose fill packaging. Further, many available alternatives are biodegradable, recyclable, or made of recycled content, increasing the environmental benefits associated with the ban. The prohibitions within the legislation do not favor one industry over another, but rather promote a shift to more environmentally preferable solutions to ensure a healthy future for all in New York State.

2. CATEGORIES AND NUMBERS AFFECTED

While the implementation of the Part 353 regulations is not expected to have a substantial effect on jobs or employment opportunities, the categories of jobs that could be affected by the requirement of the law include: (1) covered food service providers (persons engaged on the business of selling or distributing prepared food or beverages for on-premises or off-premises consumption); (2) stores (retail or wholesale establishments other

than covered food service providers); (3) manufacturers or distributors of disposable food containers; and (4) manufacturers or distributors of polystyrene loose fill packaging. Covered food service providers include retail food stores, delicatessens; grocery stores; restaurants; cafeterias; coffee shops; hospitals, adult care facilities, and nursing homes; and elementary and secondary schools, colleges and universities. Title 30 and the regulations allow covered food service providers and certain facilities to apply for a financial hardship waiver, which would reduce any potential financial impacts to eligible covered food service providers and facilities.

Any job impacts would likely be experienced by manufacturers or distributors of disposable food service containers that contain EPS foam and manufactures of polystyrene loose fill packaging because these products will be banned from being sold or distributed under the law. The number of jobs or employment opportunities at these businesses that will be affected by the law and regulations is unknown, but it is estimated to be minimal. To the extent that any manufacturers and distributors of these products are located in New York, jobs could be negatively impacted if these entities rely heavily on the sale and distribution of food service containers and loose fill packaging made from EPS foam and do not have the ability to shift to, or increase, the production and distribution of other products. However, manufacturers of these products typically manufacture other packaging and products and could shift product development to those market opportunity areas over time. Manufacturers of reusable or disposable food service containers that do not contain polystyrene foam and other non-polystyrene packaging fill could experience an increase in sales due to purchasing shifts by regulated entities. Although it is difficult to predict the impact of the law and regulations on employment, there is potential for a small number of jobs to be created due to the need for increased alternatives to EPS foam disposable food service containers and polystyrene loose fill packaging

3. REGIONS OF ADVERSE IMPACT

Except for New York City, to which the Part 353 regulations do not apply because it has its own similar law banning expanded polystyrene foam containers and polystyrene loose fill packaging, all covered entities must adhere to the same requirements regardless of where they are located in this state. Therefore, there is no region of the state expected to be adversely impacted from the regulations more than other areas of the state.

4. MINIMIZING ADVERSE IMPACT

Section 27-3005 of the law outlines provisions related to a financial hardship waiver and applying for a waiver. The Part 353 regulations implement Title 30's financial hardship waiver provisions by addressing financial hardship waiver eligibility, applying for a waiver, approval criteria, and renewal of a waiver in order to assist in minimizing adverse impacts for those entities that meet the requirements outlined in Section 27-3005. Covered food service providers that meet certain criteria (have an annual gross income under \$500,000 per location, do not operate 10 or more locations in New York State, and are not operated according to a franchise agreement), as well as facilities operated by a not-for-profit corporation or by a federal, state, or local government agency that provide food and meals to food insecure individuals, may request from the Department a renewable 12-month hardship waiver of the requirements of Title 30. Hardship waivers may be granted for one or more disposable food service containers to a covered food service provider that demonstrates there is no alternative product of comparable cost that is not composed of EPS foam and that the purchase or use of an alternative product would create an undue financial hardship. In addition, the Department intends to undertake efforts to minimize any potential impacts by engaging stake-holders directly through outreach, education, and guidance documents.

5. SELF-EMPLOYMENT OPPORTUNITIES

The Part 353 regulations are not expected to negatively impact selfemployment opportunities and may drive entrepreneurial endeavors for those seeking to develop reusable food service containers, other allowable alternative food service containers, or non-polystyrene loose fill packaging.

6. INITIAL REVIEW OF RULE

The initial review of this rule shall occur no later than in the third calendar year after the year in which the rule is adopted.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

In September 2021, the New York State Department of Environmental Conservation (Department) proposed 6 NYCRR Part 353 Expanded Polystyrene Foam Container and Polystyrene Loose Fill Packaging Reduction regulations to implement the ban on expanded polystyrene (EPS) foam containers and polystyrene loose fill packaging. Notice of the proposed rulemaking appeared in the State Register and in the Department's Environmental Notice Bulletin on September 8, 2021. Public comments were received from September 8, 2021 through November 22, 2021, and a virtual public hearing was held on November 15, 2021. This Summary Assessment of Public Comment provides an overview of the comments received during the public comment period and the Department's responses. The full Assessment of Public Comment provides a response to the substantive comments raised during the public comment period. 6 NYCRR Part 353 implements Environmental Conservation Law

6 NYCRR Part 353 implements Environmental Conservation Law (ECL) Article 27, Title 30. The law and the regulations prohibit any person engaged in the business of selling or distributing prepared food or beverages for on- or off-premises consumption from selling, offering for sale, or distributing disposable food service containers that contain EPS foam in New York, beginning January 1, 2022. In addition, no manufacturer or store is allowed to sell, offer for sale, or distribute polystyrene loose fill packaging in the state.

The majority of commentors (199 out of 232) supported this rulemaking. Expanded polystyrene foam is a major contributor to environmental litter, which causes negative impacts to wildlife, waterways, and natural resources. EPS foam is lightweight, breaks apart easily, and does not readily biodegrade, rendering it persistent in the environment and susceptible to becoming microplastic pollution. Concerns were expressed by commenters that styrene is a chemical of concern for human health. In addition, EPS foam containers and loose fill packaging are not accepted by most recycling programs in New York State because the foam is difficult to recycle, easily contaminates the recycling stream, is often soiled, and has low value. The ban on EPS foam food service containers and loose fill packaging will serve to reduce the EPS foam products in New York State and the negative effects of EPS foam mentioned by commenters.

A few commenters oppose the ban for various reasons, including adverse financial impacts to local businesses. Several people commented that the Department should delay the effective date of the EPS foam ban due to the Covid-19 pandemic, worker shortages, and supply chain issues. Commenters asked that implementation be delayed in order for industry to build up inventory on acceptable alternatives to EPS foam. The comments on opposition to the requirements of the law are noted. However, the Department must implement the provisions of the "Expanded Polystyrene Foam Container and Polystyrene Loose Fill Packaging Ban" in Title 30 of Article 27 of the ECL. Implementation of the law cannot be delayed without legislative action, which is beyond the scope of this rulemaking. While the Department acknowledges the timing of the passage of this law coincided with the pandemic, some supply chain issues may exist, and some adjustments may be necessary, before the state ban went into effect, New York City, Long Island, and several other counties in New York State already had local EPS foam bans in place. Therefore, roughly 66% of the state's population has been under some type of EPS foam ban for the last several years.

Several commentors were concerned about the disposition or use of existing stock of EPS foam containers and being able to procure acceptable alternatives to EPS foam with current supply chain challenges. One commenter asked if there would be a grace period for businesses to use up existing inventory of banned EPS foam products. The law would need to be amended to allow for a grace period. However, covered food service providers and facilities that meet the eligibility criteria may apply for a financial hardship waiver to use up existing stock or if alternatives to EPS foam are cost prohibitive or unattainable.

Numerous commenters stated that alternatives to EPS foam containers and loose fill packaging are available. Another commenter requested the Department identify sources where covered food service providers and retailers can find environmentally preferrable alternatives to EPS foam products. Alternative container and packaging guidance materials, including an "Alternatives to Single-Use Expanded Polystyrene Foam Food and Beverage Containers and Expanded Polystyrene Loose Fill Packaging ("Packing Peanuts")" reference guide, are posted on the Department's website: https://www.dec.ny.gov/chemical/120762.html.

One commenter indicated support for the definition of "comparable cost" in the proposed regulations, but believed the definition of "undue financial hardship" is unnecessarily broad. Food service providers seeking a waiver may demonstrate an undue financial hardship by showing any one or more of the three criteria listed in 6 NYCRR Section 353-2.4(b). After evaluating this comment, the Department has determined that the criteria to establish an undue financial hardship are not too broad, and the three criteria listed collectively provide a reasonable and balanced approach for covered food providers to demonstrate an undue financial hardship. Another commenter noted that the Department's Regulatory Impact Statement (RIS) cites data from before the pandemic and is out of date, and they believe the current costs of alternatives is substantial compared to foam products and the price differential proposed in the waiver process. Since the Department's RIS for the proposed Part 353 regulations was published, new resources have become available, such as the New York State Center for Sustainable Materials Management's (CSMM) "Foam Container, Void Fill and Protective Packaging Alternative Guide" which was developed in 2021. The RIS has been revised to account for updated information.

Some commenters believe the ban does not go far enough and wanted all EPS foam to be banned, as well as other single use items. Several commenters also request that the financial hardship waiver provisions in the regulations be removed or used sparingly, while one commenter believes the waiver process is too burdensome, complicated and time consuming for struggling small businesses. These comments are noted; however, banning additional materials or products is outside the scope of this rulemaking. Since the financial hardship waiver provisions are specified in statute, and the Part 353 regulations implement the criteria established in the law, they will remain in the Part 353 regulatory provisions.

A few commenters believe there is confusion over whether a foodservice distributor, which usually falls under the definition of a "store," can continue to stock EPS foam products in their New York State warehouses for sales and delivery to locations outside the state and the issue should be addressed in the regulation. Another commenter is concerned that while the financial hardship waiver process allows for an end user with an approved financial hardship waiver to use EPS foam containers, the businesses with a waiver must procure that product from an out of state supplier, and this will impact New York state operated businesses who sell foam containers now and the potential alternatives. The law and the Part 353 regulations prohibit any covered food service provider or store from selling, offering for sale, or distributing EPS foam disposable food service containers in New York State; this ban applies to both in-state and out-ofstate stores that sell or distribute EPS foam containers in the state. The state law and the Part 353 regulations also do not allow for a "store" (retail or wholesale establishment) to sell or distribute EPS foam containers to a covered food service provider or facility with a financial hardship waiver unless such store also has a financial hardship waiver. Sales and distribution occurring outside of the state, the storage of EPS foam disposable food service containers or loose fill packaging for out-of-state sale or dis-tribution, and amendments to the law to allow for stores that are distributors to obtain financial hardship waivers are outside the scope of this rulemaking.

Several commenters indicate that more education and outreach is necessary, especially prior to enforcement action. The Department has conducted specific outreach to covered food service providers, retail and wholesale stores, manufacturers, municipalities and applicable trade associations, councils, and organizations. Education and outreach materials, including alternative container and packaging guidance mentioned above and other outreach materials are available on the Department's website. The Department also plans to continue with more public-facing outreach and education before taking enforcement action.

The Department has determined that the need to protect environmental resources is essential and a prohibition on the sale and distribution of EPS foam disposable food service containers and loose fill packaging products will help accomplish this objective. After careful consideration and evaluation of the public comments received on the proposed Part 353 regulations, the Department determined that changes to the proposed regulations were not necessary. The Department will implement the provisions of the "Expanded Polystyrene Foam Container and Polystyrene Loose Fill Packaging Ban" in Title 30 of Article 27 of the ECL and adopt the Part 353 regulations to ensure that the provisions of Title 30 are efficiently and effectively implemented in a coordinated and consistent manner and the legislative objectives are met. The Department understands there are challenges to implementing the law and regulations due to the pandemic and supply chain issues and will work with regulated entities to overcome these challenges through outreach and by providing educational resources.

NOTICE OF ADOPTION

Freshwater Fishing Regulation Simplification and Clean-Up

I.D. No. ENV-49-21-00009-A Filing No. 196 Filing Date: 2022-03-15 Effective Date: 2022-04-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action: Action taken: Amendment of Parts 10, 19 and 35 of Title 6 NYCRR. Statutory authority: Environmental Conservation Law, sections 3-0301, 11-0303, 11-0305, 11-0317, 11-1301, 11-1303, 11-1316 and 11-1319 Subject: Freshwater fishing regulation simplification and clean-up. Purpose: Eliminate unnecessary regulations, provide consistency and

align regulations with actual management intent.

Substance of final rule: The purpose of this rule making is to amend the

Department of Environmental Conservation's (DEC) general regulations governing sportfishing (6 NYCRR Part 10), use of bait fish and fish as bait (6 NYCRR Part 19), and clarifies waterbodies pertaining to the posses-sion, sale and use of bait fish (6 NYCRR Part 35). The following is a summary of the amendments that the DEC has proposed and adopted, including:

Trout, Lake Trout and Atlantic Salmon regulation changes

Separate trout in lakes and ponds into brook trout regulations and brown and rainbow trout regulations.

Establish a statewide brook trout regulation from April 1 to October 15 with a minimum length of none and a daily limit of 5.

Establish a statewide rainbow and brown trout in lakes and ponds regulation all year with a daily limit of 5 with no more than 2 longer than

Change the existing 31 county-wide lake and pond "trout" regulations in DEC Regions 6, 7, 8 and 9 to apply only to "brook trout." Change 12 existing waterbody trout special regulations to apply only to "brook trout."

Eliminate Nassau and Suffolk county-wide brown and rainbow trout regulations in ponds and lakes. Eliminate 123 waterbody special regulations for trout and revert to

statewide regulations.

Add trout, all year, Daily Limit-5 with no more than 2 longer than 12" special regulations to Park Station Pond, McDonald Pond, South Pond (Nassau County) and Belmont Lake.

Eliminate the Suffolk County tidal streams brown and rainbow trout regulation and establish brown and rainbow trout, April 1-October 15 with a daily limit of 5 with no more than 2 longer than 12" and an October 15 through March 31 catch and release season regulation on select Suffolk County tidal waters.

Add a brown and rainbow trout, April 1 through October 15, Daily Limit-3 with no more than 1 longer than 12" special regulation on Hards Lake and Carmans River from Hards Lake upstream to Cement Dam.

Align Trout regulation on Trout Pond (Delaware County), Huggins Lake, Crystal Lake, Hodge Pond, Alder Lake and Echo Lake to be trout, April 1 through September 30, Minimum Length 12", Daily Limit-2, artificial lures only, ice fishing prohibited.

Extend the trout harvest season on Pepacton Reservoir to October 15.

Eliminate 6 special regulations for lake trout and revert to statewide regulations.

Increase the lake trout daily limit to 3 on Schroon Lake, Schroon River from Schroon Lake upstream to Alder Meadow Road, and Schroon River from Schroon Lake downstream to Starbuckville Dam.

Expand the statewide Atlantic salmon season to all year.

Eliminate 43 special regulations for Atlantic salmon and revert to statewide regulations.

Inland Trout Stream regulation changes

Remove Rock Pond Outlet Stream and Thirteenth Lake Outlet from the list of waters where the Inland Trout Stream regulations do not apply.

Eliminate Carmans River catch-and-release season and extend the existing rainbow and brown trout regulation upstream to Yaphank Avenue.

Eliminate the Stocked-Extended regulations for Onondaga Creek and Otselic River.

Move/restore the downstream end point of the Genesee River catchand-release reach to 1.0 miles upstream of County Route 29 near York's Corners.

Clarify that the downstream endpoint of the Oriskany Creek Wild Premier reach is the Route 12B Bridge in Oriskany Falls.

Statewide and related species seasonal date changes

Change the statewide opening day of walleye, northern pike, pickerel and tiger muskellunge seasons to May 1.

Change the season opening date for walleye, northern pike, pickerel, muskellunge and tiger muskellunge to May 1 for any special regulations with a first Saturday in May opening date except border waters

Change the fishing prohibited closure end date to April 30 on 26 stream reaches.

Change the statewide opening date of muskellunge season to June 1.

Change the season opening date for muskellunge and tiger muskellunge to June 1 for any special regulations with a last Saturday in May season opening date.

Change the opening date of black bass harvest season to June 15.

Change the harvest season opening date for black bass to June 15 for any special regulations with a third Saturday in June season opening date.

Change the statewide closing date black bass catch and release season to June 14.

Change the end date of black bass catch and release season to June 14 for any special regulations with a Friday preceding the third Saturday in June end date.

Great Lakes regulation changes

Align northern pike, walleye and black bass season openers with new statewide opener.

Align season dates for muskellunge and tiger muskellunge to June 15 through December 15 on Lake Erie, Lake Ontario, Upper Niagara River, and Lower Niagara River.

Limit harvest of rainbow trout to 2 in the Lower Niagara River.

Eliminate redundant statewide regulations for yellow perch and sunfish for Great Lakes waters.

Clarify that jigs do not need a free-swinging hook on Great Lakes tributaries.

Eliminate the seasonal added weight restrictions from Lake Erie tributaries.

Eliminate special trout regulation for Skinner Creek.

Finger Lakes regulation changes Establish a "Walleye, all year, Minimum Length-12", Daily Limit-

none" special regulation on Skaneateles Lake.

Establish regulatory exclusion language for Finger Lakes tributaries.

Eliminate unnecessary reference to 10.1(b) for all other species in Fishing Regulations for Finger Lakes table.

Clarify that the seasonal fishing prohibited closure on North McMillian Creek and Conesus Inlet Fish and Wildlife Management Area includes the dam on Conesus Inlet.

Other species regulation changes

Eliminate statewide kokanee regulation.

Eliminate Nassau County county-wide regulations for crappie, sunfish, yellow perch and pickerel.

Expand Nassau County black bass catch and release season to all year and apply to only lakes and ponds.

Eliminate Suffolk County county-wide regulations for crappie, sunfish, yellow perch, and black bass. Eliminate Ulster County county-wide walleye regulation.

Eliminate Deep Pond chain pickerel special regulation.

Eliminate walleye special regulations on East Sidney Reservoir and DeRuyter Reservoir.

Eliminate redundant yellow perch and sunfish special regulations on Lake George

Change the start of the Fishing Prohibited season to March 16 on the Saranac River from Hough Brook at Union Falls Flow upstream to Franklin Falls Dam.

Eliminate Perch Lake special regulation. Add fishing prohibited March 16 through April 30 special regulation on Genesee River from downstream side of Mount Morris Dam downstream to first railroad bridge downstream of Route 36.

Eliminate pickerel and black bass special regulations on Bashakill Marsh.

Add Walleye, May 1 through March 15, Minimum Length-18", Daily Limit-3 regulation to Eric Canal and Clyde River downstream of Lock 26

on the Canal to confluence with Seneca River.

Border waters regulations

Clarify downstream endpoint of the fishing prohibited from boats section of the Ausable River.

Increase the muskellunge and tiger muskellunge size limit on Greenwood Lake to 44"

Baitfish regulation changes

Prohibit the use of European rudd as bait.

Update the baitfish prohibited waters list to include new primitive and wilderness areas, deleted specific ponds included in the new primitive and wilderness areas, added additional ponds, and corrected misspellings.

Prohibit the use of alewife as bait in Otsego Lake.

Allow the collection and use of baitfish on the Catch and Release section of the Hudson River from the Troy Dam upstream to Bakers Falls in the Village of Hudson Falls and tributaries in this section upstream to the first impassible barrier by fish and the Mohawk River below Route 32 bridge.

Clarify use and possession of smelt on Neversink Reservoir is prohibited.

Allow trout eggs produced in a hatchery under permit by the Department to be sold as bait.

Ice Fishing regulation changes

Simplify ice fishing regulations by permitting ice fishing unless specifically prohibited in New York except for Essex, Franklin, Fulton, Hamilton, Herkimer, Lewis, St. Lawrence, Warren, and Washington counties where the existing ice fishing is prohibited unless specifically permitted in waters inhabited by trout regulation will still apply.

Gear restriction changes

Eliminate regulations allowing snatching and spearing in select waters. Clarify that bowfishing is not permitted in waters where the harvest of carp is not permitted.

Allow the use of gaffs while bowfishing.

Waterbody definition changes

Combine 2 existing waterbodies into a single waterbody consisting of Onondaga Lake and the Three Rivers complex.

Correct any regulatory references to the defined Hudson River waterbody which was renumbered.

Regulation reorganization

Modify existing County regulation table (6 NYCRR 10.3(b)) by splitting into a lakes, ponds and associated tributaries table and a rivers and streams table with individual waters listed alphabetically by DEC Region. Regulations listed in 6 NYCRR 10.3(f) "Waters closed to all fishing"

were moved to appropriate locations in Great Lakes tributaries, Finger Lakes tributaries or the newly created rivers and streams table.

Clarifications Rename any references to landlocked salmon to Atlantic salmon.

Final rule as compared with last published rule: Nonsubstantial changes were made in sections 10.1(b), 10.2(a), 10.3(b) and (c).

Text of rule and any required statements and analyses may be obtained from: Gregory Kozlowski, Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-4753, (518) 402-8896, email: gregory.kozlowski@dec.ny.gov

Additional matter required by statute: Pursuant to Article 8 of the Environmental Conservation Law and the State Environmental Quality Review Act, a programmatic Generic Environmental Impact Statement pertaining to these actions is on file with the Department.

Revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Modification of the Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement that were published as a part of the Notice of Proposed Rule Making is unnecessary. The rule does not contain any substantial revisions, and nonsubstantial revisions to the rule text do not render the Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement inadequate or incomplete. The adopted rule merely removes provisions that appeared in the proposed rule that expanded the statewide season for Lake Trout and changed the statewide harvest limit of Brook Trout to 5 trout with no more than two over 12", thus retaining the status quo for these species. Because these provisions were removed, 23 existing Lake Trout special regulations and 16 existing special regulations associated with Brook Trout ponds that were proposed to be deleted will be retained. In addition, 31 county-wide regulations for trout in lakes and ponds and 12 individual lake and pond special regulations for trout were changed to Brook Trout regulations to retain the current regulatory framework for Brook Trout. The existing special regulation for Atlantic Salmon in Lake George will also be retained. Finally, certain changes to the sunfish regulations that were recently adopted under a separate, interceding rulemaking were added into the rule text to accurately reflect those recently adopted changes.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The full Assessment of Public Comments containing more detailed responses can be found at: https://www.dec.ny.gov/regulations/34113.html

Proposal: Brown and Rainbow Trout - Establish a Rainbow and Brown Trout in lakes and ponds regulation all year with a daily limit of 5 with no more than 2 longer than 12⁴

Themes: Comment themes included: the proposed regulation isn't restrictive enough to sustain quality holdover Brown and Rainbow Trout, the proposed regulation is too strict for anglers who catch more than two trout over 12 inches, a closed season is needed, and the proposed regulation should include a minimum size limit.

Response: Nearly all pond fisheries for Brown and Rainbow Trout in New York State depend on stocking and are managed as Put-and-Take or Put-Grow-and-Take waters; many are already managed with an all-year season. DEC considers a possession limit of five trout that may include two trout over 12" in length to be a balanced approach which provides anglers with the chance to harvest an ample number of fish while expanding opportunity for a greater number of anglers to enjoy catching larger trout. DEC will adjust its strategy for certain waters if evidence suggests that harvest is decreasing the abundance of holdover trout.

Proposal: Brook Trout - Establish a Brook Trout in lakes and ponds regulation from April 1 to October 15 with a daily limit of 5 with no more than 2 trout longer than 12".

Themes: Comment themes included: managing Brook Trout ponds under a single regulation is a step backward because of the diversity of pond characteristics, the proposed regulation isn't strict enough to protect Brook Trout in Adirondack ponds, the proposed regulation is unnecessarily restrictive for remote ponds, the proposal should include a minimum size limit to promote natural reproduction, and the proposed possession limit risks killing more trout than it will protect through hooking mortality and because it will encourage people to "cull" trout over 12". Response: DEC received comments both in favor and opposed to this

aspect of the proposal. Some commenters viewed the proposal as too restrictive while others recommended much more conservative regulations. On one side of the spectrum, anglers were unhappy with the 5 trout any size limit with only 2 over 12" regulation as it would restrain them from harvesting more than two large trout. Others felt that it would result in increased mortality due to culling or hooking mortality. More conservative anglers felt that even stricter harvest regulations, including no harvest, should be implemented, while others advocated a prohibition on the use of all bait. Others advocated for a conservative watershed approach based on strain, which would significantly increase the number of special regulations.

Although the proposal would have provided for consistency in harvest regulations between ponds and streams, DEC will not adopt the proposed change to the statewide regulation given the wide range of opinions received and because DEC will soon be updating is plan for management of brook trout ponds statewide. Thus, current regulations will be reevaluated when DEC updates its plan for managing brook trout ponds in the near future.

Proposal: Atlantic Salmon - Expand the statewide Atlantic Salmon season to all year.

Themes: Permitting year-round harvest of Atlantic Salmon will decrease abundance either directly from the numbers harvested or from the reproductive capacity lost when mature salmon are harvested pre-spawn.

Response: Due to poor or non-existent natural reproduction, almost all Atlantic Salmon waters are maintained through stocking and are already open to year-round harvest under special regulations. The proposed statewide regulation is a good fit for these waters and allows the elimination of 32 special regulations.

Proposal: Atlantic Salmon - eliminate the Lake George daily limit of 2 salmon with a minimum size of 18" regulation.

Theme: The existing regulation is a better fit than the proposed statewide regulation given the slow growth characteristics and current abundance trends for Atlantic Salmon in Lake George

Response: On further review and consideration of the available information, DEC concurs with the comments received and will retain the existing special regulation.

Proposal: Lake Trout - Expand the statewide Lake Trout season to all year.

Theme: Allowing year-round lake trout fishing could destroy fishing quality through excessive harvest.

Response: Although the proposed regulation best serves New York's most significant inland lake trout fisheries, further review reveals that a number of waters would still require special regulations. Given the prevalence of these waters, the proposed regulation doesn't achieve the objective of substantially reducing special regulations while addressing the important management issues and therefore will not be adopted.

Walleye - Change statewide opening day of the season to May 1.

Themes: Adjusting the Walleye opening date to May 1 doesn't adequately protect spawning Walleye, conflicts with opening day of turkey season, doesn't allow all anglers to fish opening day if it is mid-week, and negatively impacts participation in a fishing derby.

Response: Walleye typically spawn in mid-March through early April in New York waters, often after ice-out when water temperatures are in the low to mid-40s. Opening day can occur on May 1 under the current regulation, therefore the proposed change does not pose added risk to Walleye fisheries

Walleye have a long open season, and angling quality doesn't diminish after opening day. Anglers who are not able to fish on a mid-week opening day can still have great success the following weekend. Additionally, tournaments for various fish species are held throughout their open seasons. Holding a derby the first Saturday in May is still an option under the proposed rule change.

Proposal: Walleye - Change the Great Lakes opening day of the season to May 1st.

Theme: Moving up the start of the Great Lakes regular season for Walleye at a time when male Walleye are still associated with spawning areas will have a negative impact on the population.

Response: A popular, but relatively limited, nighttime fishery for male Walleye already exists on and around spawning areas in the spring and extends into June in some areas. Given that opening day can occur on May 1 under the current regulation, the predominately male-only nature of this fishery, and the fact that fishing conditions are typically far from ideal on May 1 in some areas, the proposed change does not pose added risk to the Walleye population and fishery.

Proposal: Walleye - Eliminate Oneida Lake Walleye special regulation and revert to statewide regulations.

Themes: Changing the Walleye harvest limit from 3 to 5 for Oneida

Lake will negatively impact the fishery due to high fishing pressure. More restrictive harvest regulations should be considered such as slot limits or increased minimum size limits.

Response: Oneida Lake currently supports a very abundant adult Walleye population of over 1 million fish, the highest on record. Current Walleye harvest under the 3 fish/day limit is typically 50,000 - 60,000 fish/year. The projected total harvest under a 5 fish/day limit by Cornell research scientists ranges from 67,000 - 80,400, well below 10% of the overall adult population and shouldn't negatively impact the Walleye fishery. Also, recent data indicate that Walleye growth and condition are declining, and there is a concern that the population may now be negatively impacting the forego base in the left. able Walleye harvest is warranted for the health of both the Walleye and the forage base in Oneida Lake.

Proposal: Walleye - Establish a Walleye, all year, Minimum Length-12", Daily Limit-none regulation on Skaneateles Lake. Themes: Liberal Walleye regulations shouldn't be adopted for Skaneat-eles Lake. Walleye should be stocked there instead. Response: Skaneateles Lake has a long history of very successful and popular fisheries for Rainbow Trout and Atlantic Salmon, Walleye were

popular fisheries for Rainbow Trout and Atlantic Salmon. Walleye were recently illegally introduced to the lake and pose a risk to these fisheries due to predation on young trout and salmon and competition for available forage. Allowing liberal harvest of Walleye is an effort to limit their impact on these important coldwater fisheries.

Proposal: Bass - Change the statewide opening date for Black Bass harvest season to June 15th.

Themes: Black bass are still spawning on June 15, so the season should open later. Changing the opening date of black bass season to June 15 will allow bass tournaments to be held earlier, impacting the bass population by moving bass off nests and result in mid-week openers that will impact fishing related industries that benefit from a Saturday opener. Only tournament anglers want mid-week openers because there would be less boat traffic.

Response: In most of the state, bass fishing is open year-around, with a catch and release season in the winter and spring. There is no evidence that this has caused a detrimental impact to bass populations. Additionally, opening day can occur on June 15 under the current regulation, therefore the proposed change shouldn't pose added risk to bass fisheries.

Most of the larger tournaments are typically held on weekends and even if the harvest season opener is on a weekday, most tournaments scheduled for that week will likely be held on the following weekend (i.e., coinciding with the 3rd Saturday in June). Similarly, anglers, both recreational and tournament, will still be able to take advantage of the first weekend of the bass harvest season, supporting fishing related industries. Anglers will also be able take advantage of a mid-week opener if they desire less boat traffic.

Proposal: Bass - Change the Great Lakes opening date for Black Bass harvest season to June 15th.

Themes: Moving up the start of the Great Lakes regular season for black bass will lead to increased effort, including from Canadians where the season is closed; harvest; and tournament pressure targeting males guarding nests leading to negative population impacts.

Response: It is well established that the Smallmouth Bass spawning season in the Great Lakes can extend into July, well beyond the existing regular season start date. The addition of an average of three weekdays at the beginning of the season isn't likely to lead to a biologically significant increase in harvest.

The Canadian regular bass season already opens after the beginning of the current regular season for black bass in NY. The addition of an average of three weekdays at the beginning of the season isn't likely to lead to a significant increase in effort and harvest from Canadian anglers.

Proposal: Muskellunge - Change the statewide opening date for Muskellunge harvest season to June 1st.

Themes: Changing the opening day of Muskellunge to June 1 limits opportunity by shortening the season, moves opening day away from Memorial Day on most years, and impacts trips and rooms already booked for 2022.

Response: This proposed change was made to establish consistency among the opening dates for all sportfish seasons on hard dates. We believe that a June 1 opening date for the statewide Muskellunge season will be easy for anglers to remember and provides suitable protection for Muskellunge spawning in inland waters. The Department will utilize its enforcement discretion this year to allow for muskellunge fishing from May 28th until June 1st so previously planned trips over the 2022 Memorial Day weekend may still occur.

Proposal: Ice fishing - Allow ice fishing unless specifically prohibited in New York, except for 9 Adirondack counties, where the existing ice fishing regulation will still apply.

Themes: Anglers will be confused if they can ice fish in a pond that has both Brook Trout and either Brown or Rainbow Trout. Ice fishing should be prohibited in ponds inhabited by Brook Trout.

Response: Outside of nine Adirondack counties, there should be no confusion: ice fishing is permitted unless specifically prohibited. Within those nine Adirondack counties, the existing ice fishing regulation prohibiting ice fishing in waters inhabited by trout unless otherwise specifically permitted will remain in effect. Ice fishing will continue to be prohibited in Brook Trout ponds. Special regulations prohibiting ice fishing ice fishing rout unless otherwise are included in the proposed regulations.

New York State Gaming Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Removing the Requirement to Couple Entries with Jockeys with Relationships Among Them or With Other Participants in the Race

I.D. No. SGC-13-22-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 4025.10 and 4040.2 of Title 9 NYCRR.

Statutory authority: Racing, Pari-Mutuel Wagering and Breeding Law, sections 103(2), 104(1) and (19)

Subject: Removing the requirement to couple entries with jockeys with relationships among them or with other participants in the race.

Purpose: To enhance the integrity and safety of thoroughbred horse racing. *Text of proposed rule:* Subdivision (f) of section 4025.10 and section 4040.2 of 9 NYCRR would be amended to read as follows:

§ 4025.10. Limitations on entries.

* * *

(f) All horses trained or ridden by a spouse, parent, issue or member of a jockey's household [shall] *are not required to* be coupled in the betting with any horse ridden by such jockey.

§ 4040.2. Owning race horses forbidden.

No jockey, nor such jockey's spouse, parent, issue nor member of such jockey's household, shall be the owner of any race horse. [All horses trained or ridden by a spouse, parent, issue or member of a jockey's household shall be coupled in the betting with any horse ridden by such jockey.]

Text of proposed rule and any required statements and analyses may be obtained from: Kristen Buckley, New York State Gaming Commission, 1 Broadway Center, PO Box 7500, Schenectady, NY 12301, (518) 388-3332, email: gamingrules@gaming.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTÓRY AUTHORITY: The New York State Gaming Commission ("Commission") is authorized to promulgate these rules pursuant to Racing, Pari-Mutuel Wagering and Breeding Law ("Racing Law") Sections 103(2) and 104 (1) and (19). Pursuant to Section 103(2), the Commission is responsible for supervising, regulating and administering all horse racing and pari-mutuel wagering activities in the State. Subdivision (1) of Section 104 confers upon the Commission general jurisdiction over all gaming activities within the State and over the corporations, associations and persons engaged in such activities. Subdivision (19) of Section 104 authorizes the Commission to promulgate any rules and regulations that it deems necessary to carry out its responsibilities. 2. LEGISLATIVE OBJECTIVES: To enable the Commission to

2. LEGISLATIVE OBJECTIVES: To enable the Commission to enhance the integrity and safety of Thoroughbred horse racing and generate reasonable revenue for the support of government.

3. NEEDS AND BENEFITS: This rule making proposes to amend the Commission's regulations to remove the requirement to couple entries with jockeys with relationships among them or with other participants in the race. The Commission steward would retain the discretion to require coupling in any circumstances in which such steward concludes coupling is necessary in the public interest, which should continue to protect the interests of the wagering public in unusual or unforeseen circumstances in a particular race.

4. COSTS:

(a) Costs to the regulated parties for the implementation of and continuing compliance with these rules: These amendments will not add any new mandated costs to the existing rules.

(b) Costs to the regulating agency, the State, and local governments for the implementation of and continued administration of the rule: None anticipated. The amendments will not add any new costs. There will be no costs to local government because the Commission is the only governmental entity authorized to regulate Thoroughbred racing.

(c) The information, including the source or sources of such information, and methodology upon which the cost analysis is based: Experience of agency staff.

 LOCAL GOVERNMENT MANDATES: None. The Commission is the only governmental entity authorized to regulate Thoroughbred racing activities.

6. PAPERWORK: There will be no additional paperwork.

7. DUPLICATION: These rules do not duplicate, overlap or conflict with any existing State or federal requirements.

8. ALTERNATIVES: The Commission considered removing the requirement to couple entries with jockeys with relationships among them or with other participants in the race but requiring some form of notice or disclosure to bettors of relationships. Commission staff determined that such alternative would be impractical and inconsistent with wagering on out-of-state racing through simulcast betting and with sports wagering generally, where relationships among competitors may not be known or easily determined.

9. FEDERAL STANDARDS: There are no minimum standards of the Federal government for this or a similar subject area.

10. COMPLIANCE SCHEDULE: The Commission anticipates that the affected parties will be able to achieve compliance with these rules upon adoption.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and

The proposed changes do not require a Regulatory Flexibility Analysis, Rural Area Flexibility Analysis or Job Impact Statement. There will be no adverse impact on small businesses, local governments, rural areas or jobs.

The proposed rule making would remove the requirement to couple entries with jockeys with relationships among them or with other participants in the race.

The proposed rules will not impose any adverse economic impacts or reporting, recordkeeping or other compliance requirements on small businesses, local governments, rural areas or employment opportunities. No local government activities are involved.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Regulation of Charitable Gaming Games of Chance

I.D. No. SGC-13-22-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: This is a consensus rule making to amend Parts 4600, 4601, 4603, 4606, 4607, 4608, 4610, 4611, 4620, 4621, 4622, 4624; repeal sections 4608.13 and 4621.1 of Title 9 NYCRR.

Statutory authority: General Municipal Law, section 188-a(1), (9); Racing, Pari-Mutuel Wagering and Breeding Law, section 104(19)

Subject: Regulation of charitable gaming games of chance.

Purpose: To conform games of chance rules to current statutes and improve operations.

Substance of proposed rule (Full text is posted at the following State website: https://www.gaming.ny.gov/proposedrules.php): Amendments to section 4600.1 remove a prohibition against civic organizations being considered authorized organizations for games of chance, clarify that political action committees are not authorized organizations to conduct games of chance and eliminate verbatim repetition of statute.

An amendment to section 4601.1 makes a stylistic change.

Amendments to section 4603.8 eliminate verbatim repetition of statute, instead adding a cross-reference to statute.

An amendment to section 4606.12 adds a cross-reference to controlling statute.

Amendments to section 4607.10 eliminate verbatim repetition of statute, instead adding a cross-reference to controlling statute. An amendment to section 4607.21 adds a cross-reference to controlling statute.

Amendments to section 4608.2 eliminate verbatim repetition of statute and add cross-references to controlling statutes.

Amendments to section 4608.3 make stylistic and technical changes consistent with other Commission rules.

Amendments to section 4608.4 eliminate verbatim repetition of statute, add a cross-reference to controlling statute and make stylistic changes consistent with other Commission rules.

Amendments to section 4608.5 eliminate verbatim repetition of statute and add a cross-reference to controlling statute.

Amendments to section 4608.6 eliminate verbatim repetition of statute and add a cross-reference to controlling statute.

Amendments to section 4608.7 make stylistic and technical changes consistent with other Commission rules.

Amendments to section 4608.12 eliminate verbatim repetition of statute.

Section 4608.13 is repealed to eliminate verbatim repetition of statute.

Amendments to section 4610.1 make stylistic and technical changes consistent with other Commission rules.

Amendments to section 4610.2 make stylistic and technical changes consistent with other Commission rules.

Amendments to section 4611.1 eliminate specific prize limits and instead cross-reference statutory requirements, eliminate verbatim repetition of statute and make stylistic and technical changes consistent with other Commission rules.

An amendment to section 4611.2 eliminates a specific prize limit and instead cross-references statutory requirements.

Amendments to section 4620.10 eliminate specific prize-limits and instead cross-reference statutory requirements and adds a rule to describe play in merchandise wheel games.

Amendments to section 4620.19 eliminate specific prize limits and instead cross-reference statutory requirements, increase the retention period for winning bell jar tickets to one year and make stylistic and technical changes consistent with other Commission rules.

Amendments to section 4620.23 reduce the number of required raffle drums or receptacles for the game of search for the queen of hearts, provide that only monies collected from the sale of raffle tickets may be used to form the prize pool in the search for the queen of hearts game, make optional the number of drawings in certain weeks of the game of search for the queen of hearts, clarify that if a certain number of drawings are held, the winners search in the order in which their winning tickets were selected and make stylistic and technical changes consistent with other Commission rules.

Amendments to section 4622.12 eliminate specific prize limits and instead cross-references statutory requirements and make stylistic and technical changes consistent with other Commission rules.

An amendment to section 4622.20 makes restrictions on an individual's ability to play limited to the category of game in which a person has assisted in the management.

An amendment to section 4622.21 adds a cross-reference to controlling statute.

Amendments to section 4622.22 eliminate specific prize limits and instead cross-reference statutory requirements and make stylistic and technical changes consistent with other Commission rules.

Amendments to section 4622.23 clarify that alcohol sale and consumption is subject to applicable Alcoholic Beverage Control Law provisions and make stylistic and technical changes consistent with other Commission rules.

Amendments to section 4622.26 provide that games of chance advertising may not include misleading information or representations, consistent with the bingo advertising rule, and eliminate verbatim repetition of statute.

Amendments to section 4624.9 allow for electronic transfers of funds for payments to governmental entities, operating expenses and game suppliers.

Amendments to section 4624.17 clarify reporting requirements when an authorized organization ceases conducting games of chance or bingo and make stylistic and technical changes consistent with other Commission rules.

Amendments to section 4624.21 eliminate certain requirements in regard to donations by service and fraternal organizations, add cross-references to controlling statutes and make stylistic and technical changes consistent with other Commission rules.

Text of proposed rule and any required statements and analyses may be obtained from: Kristen Buckley, New York State Gaming Commission, 1 Broadway Center, PO Box 7500, Schenectady, NY 12301, (518) 388-3332, email: gamingrules@gaming.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Consensus Rule Making Determination

The adoption of this proposed revision to the charitable gaming rules of the New York State Gaming Commission would replace references to specific prize limits throughout the charitable gaming rules, eliminating the need to make multiple regulation amendments when the legislature amends prize limits; clarifies which organizations may conduct charitable gaming games of chance; describes game play for merchandise wheels; increases the retention period for winning bell jar tickets; amends certain aspects of the search for the queen of hearts game; modifies the play restrictions applicable to those assisting in the conduct of games; clarifies that alcoholic beverage laws apply to the conduct of games of chance; makes advertising regulation consistent with bingo advertising regulations; allows for electronic payment for certain expenses; clarifies final reporting requirements when an organization ceases to conduct charitable gaming; makes consistent the requirements for donations by service and fraternal organizations; eliminates verbatim repetition of statute; and adds cross-references to controlling statutes.

Due to the non-controversial nature of this amendment, no person is likely to object to the revisions proposed by this amendment.

Job Impact Statement

A job impact statement is not required for this consensus rulemaking proposal because the proposed amendment will not adversely affect jobs or employment opportunities.

The proposal will make only non-controversial amendments to various aspects of charitable gaming games of chance, which must be conducted by bona fide members of authorized organizations, who are not permitted to receive any remuneration for participating in the management or operation of such games.

The proposed amendments will not have an impact on jobs or employment opportunities and will not impose any adverse impact on jobs or employment opportunities.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Regulation of Charitable Gaming Raffles

I.D. No. SGC-13-22-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: This is a consensus rule making to amend Parts 4601, 4602, 4620 and 4624 of Title 9 NYCRR.

Statutory authority: General Municipal Law, section 188-a(1); Racing, Pari-Mutuel Wagering and Breeding Law, section 104(19)

Subject: Regulation of charitable gaming raffles.

Purpose: To conform raffles rules to current statutes and improve operations.

Substance of proposed rule (Full text is posted at the following State website: https://www.gaming.ny.gov/proposedrules.php): The updates to Parts 4601 and 4602 of Subchapter A and Parts 4620 and 4624 of Subchapter B of Title 9 of the NYCRR will allow the New York State Gaming Commission ("Commission") to implement various provisions of recent charitable gaming legislation and update various charitable gaming regulations.

Amendment to section 4601.1 eliminates verbatim repetition of statute, instead cross-referencing statutory requirements.

Amendments to section 4602.1 eliminate specific prize-limits, instead cross-reference statutory requirements, eliminate verbatim repetition of statute, delete language that is moved to a new section 4602.10 and make stylistic changes.

A new section 4602.10 contains regulation provisions in regard to penalties for underage participation in raffles. These provisions were formerly contained in section 4602.1(b)(3). This change better organizes the Part. Amendments to section 4620.22 set forth procedures to apply when an

Amendments to section 4620.22 set forth procedures to apply when an authorized organization seeks to sell raffle tickets or conduct a raffle outside its premises, eliminate specific prize limits and instead cross-reference statutory requirements, eliminate verbatim repetition of statute, add cross-references to controlling statutes and make stylistic and technical changes consistent with other Commission rules.

Amendments to section 4624.1 eliminate specific prize-limits and instead cross-reference statutory requirements and make stylistic and technical changes consistent with other Commission rules.

Amendment to section 4624.3 add cross-references to a controlling statute, eliminate verbatim repetition of statute and make language consistent with such statute and make stylistic and technical changes consistent with other Commission rules.

Amendments to section 4624.8 eliminate certain paperwork requirements for raffles and make stylistic and technical changes consistent with other Commission rules.

Text of proposed rule and any required statements and analyses may be obtained from: Kristen Buckley, New York State Gaming Commission, 1 Broadway Center, PO Box 7500, Schenectady, NY 12301, (518) 388-3332, email: gamingrules@gaming.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Consensus Rule Making Determination

The adoption of this proposed revision to the charitable gaming rules of the New York State Gaming Commission would eliminate verbatim repetition of statute; eliminate specific prize-limits, instead cross-referencing statutory requirements; reorganize one rule; set forth procedures to apply when an authorized organization seeks to sell raffle tickets or conduct a raffle outside its premises; eliminate certain paperwork requirements; and make stylistic and technical changes consistent with other Commission rules.

Due to the non-controversial nature of this amendment, no person is likely to object to the revisions proposed by this amendment.

Job Impact Statement

A job impact statement is not required for this consensus rulemaking proposal because the proposed amendment will not adversely affect jobs or employment opportunities.

The proposal will make only non-controversial amendments to various aspects of raffles, which must be conducted by bona fide members of authorized organizations, who are not permitted to receive any remuneration for participating in the management or operation of such games.

The proposed amendments will not have an impact on jobs or employment opportunities and will not impose any adverse impact on jobs or employment opportunities.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Racing License Hearing Requests and Service Methods

I.D. No. SGC-13-22-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 4002.9, 4101.24, 4205.1, 4300.6, 4500.2, 4500.3, 4550.3; addition of Part 5410 to Title 9 NYCRR.

Statutory authority: Racing, Pari-Mutuel Wagering and Breeding Law, sections 103(2), 104(1) and (19)

Subject: Racing license hearing requests and service methods.

Purpose: To enhance the fairness and efficiency of adjudicatory proceedings.

Text of proposed rule: Sections 4002.9, 4101.24, 4205.1, 4300.6, 4500.2, 4500.3 and 4550.3 of Part 4550 of 9 NYCRR would be amended and a new subchapter C and Part 5410 of Chapter V of 9 NYCRR would be added to read as follows:

§ 4002.9. Grounds for refusal, suspension, revocation.

* * *

(d) A denial of or refusal to issue a license shall be subject to adjudication in accordance with Racing, Pari-Mutuel Wagering and Breeding Law section 220 and the provisions of Part 4550 of this Chapter.

§ 4101.24. Occupational licenses.

(m) A denial of or refusal to issue a license shall be subject to adjudication in accordance with Racing, Pari-Mutuel Wagering and Breeding Law section 321 and the provisions of Part 4550 of this Chapter. * * *

§ 4205.1. License required.

(q) A denial of or refusal to issue a license shall be subject to adjudication in accordance with Racing, Pari-Mutuel Wagering and Breeding Law section 420 and the provisions of Part 4550 of this Chapter. * * *

§ 4300.6. License to provide totalisator services.

(e) In considering an application for a license, the application shall be reviewed and licenses shall be issued in accordance with the standards set

forth in Racing, Pari-Mutuel Wagering and Breeding Law Section 307(5)(a) and (b), which standards shall be applicable to the applicant entity as well as to the enumerated categories of individuals and entities set forth. Pending final determination of any question, the commission may issue a temporary license upon such terms and conditions as it may deem necessary, desirable or proper to effectuate the provisions of the Racing, Pari-Mutuel Wagering and Breeding Law and these rules. [The] *A denial of* or refusal to issue a license shall be subject to [appeal] *adjudica-tion*, in accordance with the provisions of Section 321 of the Racing, Pari-Mutuel Wagering and Breeding Law *and the provisions of Part 4550 of this Chapter*.

§ 4500.2. Licensing account wagering.

* * *

(i) A denial of or refusal to issue a license shall be subject to adjudication in accordance with the provisions of Part 4550 of this Chapter.

§ 4500.3. Multi-jurisdictional account wagering providers; additional provisions.

* * *

(f) A denial of or refusal to issue a license shall be subject to adjudication in accordance with the provisions of Part 4550 of this Chapter.

* * *

§ 4550.3. Notice of adjudicatory proceedings.

(a) Commencement of an adjudicatory proceeding. An adjudicatory proceeding shall be commenced by the commission's service of a notice of hearing [or order to show cause]. A hearing in any matter as to which the commission is required to hold an adjudicatory [hearing] proceeding or otherwise determines to [do so] conduct a hearing shall be held upon reasonable notice to each party[,] and shall be conducted at such place as the commission shall determine. [Notice of such hearing, may be served on the party or provided by certified mail addressed to the party involved at the party's last known address.]

(b) Contents of notice.

(1) [Such] A notice *of hearing* [or order to show cause] shall contain: (i) a statement of the time, place and nature of the hearing;

(ii) a statement of the legal authority and jurisdiction under which the hearing is to be held;

(iii) a reference to the particular section of the statutes and rules involved;

(iv) a short and plain statement of matters asserted;

(v) a statement that interpreter services shall be made available to deaf persons at no charge;

(vi) information concerning circumstances under which an adjournment may be granted;

(vii) the consequence of a failure to appear for a scheduled hearing or proceeding; and

(viii) a statement informing the parties of the right of each party to be represented by counsel, to testify, to produce witnesses, to present documentary evidence, and to examine opposing witnesses and evidence.

(2) Such notice of hearing [or order to show cause] may be amended or superseded:

(i) prior to the commencement of the hearing; or

(ii) after commencement of the hearing, as authorized by the hearing officer.

(3) A notice of appearance by any attorney representing the party shall be filed with the counsel to the commission. A written answer to the charges, if demanded in the notice, or at the option of the party notified, shall be filed at least five days before the hearing commences.

(c) Service of notice. Service of notice of hearing shall be sufficient if accomplished pursuant to section 5410.2 of Part 5410 of Subchapter C of Chapter V of this Subtitle.

(d) Time to request hearing. If the commission denies or refuses to grant a license applied for pursuant to this Chapter, such action shall be reviewable at a hearing before the commission:

(1) upon the applicant filing a written request for a hearing within 10 days of the commission's service of notice of the denial or refusal had been made pursuant subdivisions (a) through (d) or (f) of section 5410.2 of Part 5410 of Subchapter C of Chapter V of this Subtitle; or

(2) upon the applicant filing a written request for a hearing within 15 days of the commission's service of notice of the denial or refusal, if the commission's service of notice of the denial or refusal had been made pursuant to subdivision (e) of section 5410.2 of Part 5410 of Subchapter C of Chapter V of this Subtitle.

A license applicant who fails to file such a request within the time set forth in this subdivision shall have waived the applicant's right to have Subchapter C

[Office of Racing Promotion and Development] General PART 5410 General Section 5410.1 Duty to update address 5410.2 Service methods

§ 5410.1. Duty to update address.

A commission licensee or applicant for a license has a continuing duty to inform the commission of any change of address, including a change in an electronic mail address (if such person or entity provides an electronic mail address to the commission), in connection with an application or otherwise

§ 5410.2. Service methods.

Service of any notice of hearing or any action of the commission, including without limitation a determination of the commission in an adjudicatory proceeding, directed to a person or entity shall be sufficient if:

(a) delivered to the person or entity to be served;

(b) delivered to a person of suitable age and discretion at the last residential or business address the person or entity to be served provided to the commission;

(c) sent by private delivery services provider to the last residential or business address the person or entity to be served provided to the commission, so long as such provider obtains a signature upon delivery and the delivery is not returned by such provider to the commission;

(d) served on an attorney who represents the person or entity in the matter by first class mail, electronic mail to the last electronic mail address the attorney provided to the commission, or by any other means of communication authorized by such attorney;

(e) mailed by first class mail to the last residential or business address the person or entity to be served provided to the commission and sent by electronic mail to the last electronic mail address the person or entity to be served provided to the commission; or

(f) in such manner as the commission determines, if:

(1) the commission receives actual notice that the methods set forth in subdivision (e) of this section have both resulted in undeliverable service; or

(2) the commission otherwise determines that service pursuant to subdivisions (a) through (e) of this section is impracticable.

Text of proposed rule and any required statements and analyses may be obtained from: Kristen Buckley, New York State Gaming Commission, 1 Broadway Center, PO Box 7500, Schenectady, NY 12301, (518) 388-3332, email: gamingrules@gaming.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTÔRY AUTHORITY: The New York State Gaming Commission ("Commission") is authorized to promulgate these rules pursuant to Racing, Pari-Mutuel Wagering and Breeding Law ("Racing Law") Sections 103(2) and 104 (1) and (19). Pursuant to Section 103(2), the Commission is responsible for supervising, regulating and administering all horse racing and pari-mutuel wagering activities in the State. Subdivision (1) of Section 104 confers upon the Commission general jurisdiction over all gaming activities within the State and over the corporations, associations and persons engaged in such activities. Subdivision (19) of Section 104 authorizes the Commission to promulgate any rules and regulations that it deems necessary to carry out its responsibilities.

2. LEGISLATIVE OBJECTIVES: To enable the Commission to conduct adjudicatory proceedings in racing matters fairly and efficiently and establish standards for service of notices of hearing and actions by the Commission.

3. NEEDS AND BENEFITS: This rule making proposes to amend the Commission's regulations to establish a standard time period for requesting a hearing on horse racing license determinations, set forth acceptable service methods for the Commission, and set forth the duty of licensees to keep addresses updated for the Commission. Establishing a standard time period in regulation for requesting a hearing is necessary because the Racing, Pari-Mutuel Wagering and Breeding law does not provide for such a time period for challenging the denial of certain types of licenses and the Commission believes it is desirable to standardize hearing request time periods and assurances of finality of Commission decisions that are not challenged. Regulations on acceptable service methods are needed to put regulated parties on notice of how the Commission will communicate certain notices and actions to them and avoid unnecessary disputes about whether a notice has been delivered. In support of that goal, it is beneficial to establish a requirement that regulated parties keep the Commission updated about their current addresses, so unnecessary disputes about whether an address is current can be avoided.

4. COSTS:

(a) Costs to the regulated parties for the implementation of and continuing compliance with these rules: These amendments will not add any new mandated costs to the existing rules.

(b) Costs to the regulating agency, the State, and local governments for the implementation of and continued administration of the rule: None anticipated. The amendments will not add any new costs. There will be no costs to local government because the Commission is the only governmental entity authorized to regulate horse racing.

(c) The information, including the source or sources of such information, and methodology upon which the cost analysis is based: Experience of agency staff.
5. LOCAL GOVERNMENT MANDATES: None. The Commission is

 LOCAL GOVERNMENT MANDATES: None. The Commission is the only governmental entity authorized to regulate racing activities.
 PAPERWORK: There will be no additional paperwork.

7. DUPLICATION: These rules do not duplicate, overlap or conflict with any existing State or federal requirements.

with any existing State or federal requirements. 8. ALTERNATIVES: The alternative of not revising the rules pertaining to hearing requests and service methods was considered and rejected. Establishing a standard time period in regulation for requesting a hearing is necessary because the Racing, Pari-Mutuel Wagering and Breeding law does not provide for such a time period for challenging the denial of certain types of licenses and the Commission believes it is desirable to standardize hearing request time periods and assurances of finality of Commission decisions that are not challenged. Regulations on acceptable service methods are needed to put regulated parties on notice of how the Commission will communicate certain notices and actions to them and avoid unnecessary disputes about whether a notice has been delivered.

9. FEDERAL STANDARDS: There are no minimum standards of the Federal government for this or a similar subject area.

10. COMPLIANCE SCHEDULE: The Commission anticipates that the affected parties will be able to achieve compliance with these rules upon adoption.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

The proposed changes do not require a Regulatory Flexibility Analysis, Rural Area Flexibility Analysis or Job Impact Statement. There will be no adverse impact on small businesses, local governments, rural areas or jobs.

The proposed rule making would establish a standard time period for requesting a hearing on horse racing license determinations, set forth acceptable service methods for the Commission, and set forth the duty of licensees to keep addresses updated for the Commission.

The proposed rules will not impose any adverse economic impacts or reporting, recordkeeping or other compliance requirements on small businesses, local governments, rural areas or employment opportunities. No local government activities are involved.

Industrial Board of Appeals

NOTICE OF ADOPTION

Rules of Procedure and Practice for Administrative Hearings; Freedom of Information Law

I.D. No. IBA-45-21-00003-A Filing No. 163 Filing Date: 2022-03-14 Effective Date: 2022-03-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Repeal of Parts 65, 66; addition of new Part 65; amendment of Parts 68, 70, 71, 72 and 73 of Title 12 NYCRR.

Statutory authority: Labor Law, section 100(5)(a)

Subject: Rules of Procedure and Practice for administrative hearings; Freedom of Information Law.

Purpose: To update the Rules of Procedure and Practice for administrative review and to correct address for Freedom of Information Law.

Substance of final rule: This consensus rule making proposes the repeal of Parts 65 and 66 of Title 12 of the NYCRR to be replaced by a new Part 65 of Title 12 of the NYCRR to update rules of procedure and practice for administrative hearings and conform them to existing practice. The new Part 65 combines and consolidates the former Parts 65 and 66.

Subpart A of the rule sets forth the proceedings the rule applies to and defines words used within the rule and includes new rules defining document, pleading, petition, party, notice and proof of service. Subpart A also adds a new requirement that papers must be in the English language and provides that translations are required where an affidavit or exhibit is not in English. Subpart A also clarifies that interpreters are provided upon a timely request at no cost to the parties.

Subpart B of the rule describes the parties to proceedings under the rule and the method used by the Board for service and notice, clarifies notice to be provided for representation and permits for the dismissal of abandoned proceedings.

Subpart C of the rule governs papers, pleadings, and motions, and provides for the method of filing papers and their form, including electronic filing. Subpart C provides the procedure for filing a petition to commence a proceeding, for the commissioner of labor to respond to a petition and the amendment of pleadings. Subpart C also includes procedures for motion practice, stays and the redaction of certain personally identifying information.

Subpart D of the rule describes prehearing procedures and contains the procedure for prehearing discovery, including new rules providing for prehearing briefs in certain situations and allowing for appropriate relief for failure of a party to comply with discovery orders.

Subpart E of the rule governs the conduct of hearings, including new procedures for video-teleconference hearings and a provision that a party must pay reasonable costs for the failure to appear at a hearing as a condition of reopening a hearing.

Subpart F of the rule relates to decisions and post decision actions.

Subpart G contains miscellaneous provisions including conduct of persons appearing before the Board, a provision governing oral arguments and a procedure for an expedited proceeding.

The proposed consensus rule also amends sections 68.2, 70.1 and 72.1 of Title 12 of the NYCRR to conform references to the renumbered Part 65 (formerly Part 66); amends sections 70.5 and 71.6 of Title 12 of the NYCRR to conform language to a previous section expressly permitting electronic filing and notice; and amends section 73.2 of Title 12 of the NYCRR to correct the address of the principal office of the Industrial Board of Appeals for purposes of the Freedom of Information Law.

Final rule as compared with last published rule: Nonsubstantial changes were made in Parts 12, 65, sections 49(b), 65.7 and 65.17(b).

Text of rule and any required statements and analyses may be obtained from: Benjamin A. Shaw, Counsel, Industrial Board of Appeals, Harriman State Office Campus, Building 12, Room 183, Albany, New York 12240, (518) 474-4785, email: benjamin.shaw@industrialappeals.ny.gov

Revised Job Impact Statement

The Industrial Board of Appeals is not submitting a Job Impact Statement for this rulemaking as the Industrial Board of Appeals does not anticipate an adverse impact on jobs or employment opportunities. The proposed regulations update rules of procedure and practice for administrative hearings and conform them to existing practice, conform references to a renumbered part, conform language to a new section expressly permitting electronic filing, and corrects the address of the principal office of the Industrial Board of Appeals for purposes of the Freedom of Information Law. The rule does not eliminate any existing process, procedure, or program and will not have an adverse impact on jobs.

Assessment of Public Comment

Comments were received from one source, the New York State Department of Labor.

COMMENT: Proof of service should be amended to allow an email copied on the served party to constitute proof of service.

RESPONSE: The comment is noted and language will be added to the proposed rule for clarification on permitting proof of electronic service. This change is not substantive.

COMMENT: Failure to redact confidential personal information from documents should not result in the inability to participate, but rather prevent the document in question from being offered into evidence.

RESPONSE: The rule as written contemplates the intermediate measure of denying admission of unredacted documents. The rules contain a provision for the exclusion from a hearing of a participant behaving in a disobedient or contemptuous manner, such as refusing to redact personal information that is not necessary for the hearing. No changes have been made based on this comment.

COMMENT: The commentor requested clarification as to meaning of "electronically timestamped" and submits that such marking should only include "timestamps" that show service upon Board. RESPONSE: The rule contemplates the time stamp being the time indicated it was electronically delivered to the Board. Language will be added to the proposed rule for clarification. This change is not substantive.

COMMENT: The commentor asked for clarification regarding who is considered a "representative of petitioner's employee" in the proposed rule.

RESPONSE: This is the same language as used in the previous version of the rule and the meaning has not changed. No changes have been made based on this comment.

COMMENT: The proposed rule should be clarified that petitions cannot be amended to include things waived under Labor Law Section 101(2).

RESPONSE: No clarification is required. No changes have been made based on this comment.

COMMENT: The proposed rule should be clarified that pleadings other than petitions cannot be amended to include things waived under Labor Law Section 101(2).

RESPONSE: No clarification is required. No changes have been made based on this comment.

COMMENT: A greater amount of time than three days would be preferable for a litigant to request an extension of time to file a pleading or document.

RESPONSE: The time frame in the proposed rule permits opposing parties the opportunity to take a position on the request prior to the expiration of time. No change has been made based on this comment.

COMMENT: The rule should be clarified to exclude issues waived under Labor Law Section 101(2) from the curing of mistakes, omissions, defects and irregularities.

RESPONSE: No clarification is required. No changes have been made based on this comment.

COMMENT: Prehearing briefs may result in duplicative work. Petitioners should not be able to use prehearing briefs as a tool to litigate. Prehearing briefs should only apply to narrow legal issues.

RESPONSE: The comment is noted. No changes have been made based on this comment.

COMMENT: Time frame for response to a demand for bill of particulars should be 20 days, or 10 business days, not the 10 days in the regulation.

RESPONSE: The comment is noted. No changes have been made based on this comment.

COMMENT: Modify 10-day notice to state "where practicable" to allow for minor changes in the hearing notice regarding time and place.

RESPONSE: The new rule is nearly identical to the old rule, with the exception of the additional language "unless otherwise agreed by the parties." The purpose of the change is to accommodate the ordinary requests for minor changes based on the schedules of parties and witnesses. No change has been made based on this comment.

COMMENT: The Board should take inferences from the failure of a witness to answer a question at a hearing.

RESPONSE: The Board may already draw reasonable conclusions from the failure of a witness to answer a question. However, language will be added to the proposed rule for clarification. This change is not substantive.

COMMENT: The rule should be expanded to include the failure to attend other appearances, not just a hearing, as a waiver of rights.

RESPONSE: The comment is noted. No changes have been made based on this comment.

COMMENT: A reasonable time limit should be set for when applications for reconsideration can be made, especially since the opposing party has only 10 days to respond.

RESPONSE: The comment is noted. This is the same time frame as exists in the current rules. No changes have been made based on this comment.

COMMENT: The rule prohibiting the Commissioner of Labor from participating in the decision by the Board may conflict with Labor Law Section 100(8) by limiting the participation of the Commissioner of Labor as a party.

RESPONSE: The proposed rule is identical to the current rule in all but number. There is no basis to believe the rule would be interpreted in such a way. No changes have been made based on this comment.

Office of Mental Health

NOTICE OF ADOPTION

Establishment of Youth Assertive Community Treatment (ACT)

I.D. No. OMH-20-21-00006-A Filing No. 190 Filing Date: 2022-03-15 Effective Date: 2022-03-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 508 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 7.09, 31.04, 43.02; Social Services Law, sections 364 and 364-a

Subject: Establishment of Youth Assertive Community Treatment (ACT). *Purpose:* To include children in the populations eligible to receive ACT and other conforming changes.

Text of final rule: 14 NYCRR 508 is amended as follows:

508.2 Legal base.

(f) Section 365-m of the Social Services Law authorizes the Commissioner of the Office of Mental Health and the Commissioner of the[Office of Alcoholism and Substance Abuse Services] *Office of Addiction Services and Supports*, in consultation with the Department of Health, to contract with regional behavioral health organizations to provide administrative and management services for the provision of behavioral health services.

508.4 Definitions.

(a) Active client in assertive community treatment means an individual who:

(1) is admitted to an assertive community treatment program;

(2) has an individual treatment plan; and

(3) may or may not be currently receiving services.

(b) Adult means an individual 18 years old and over.

(c) Child means an individual up to 21 years of age. Individuals ages 18-21 years with mental illness may be considered for either Youth ACT or Adult ACT programs for the purposes of receiving services.

(d) Child Family Treatment and Support services means an array of six treatment, rehabilitative and support services to assist children and youth with mental health and/or behavioral challenges to function successfully within their homes and community, primarily provided in nontraditional settings including in the home or community settings.

(e) Children's Home and Community (HCBS) based services means services provided to individuals in the least restrictive environment possible by providing services and support to children and their families at home and in the community. HCBS are designed for people who, but for these services, would require an institutional level of care such as a long-term care facility or psychiatric inpatient care.

([c]f) Assertive community treatment (ACT) program means a comprehensive program of ACT treatment services established by the office to serve identified clients with serious mental illness *or serious emotional disturbance* who require intensive services in order to remain in the community.

([d]g) Assertive community treatment (ACT) services means a comprehensive and integrated combination of treatment, rehabilitation, case management, and support services primarily provided in the client's residence or other community locations by a mobile multi-disciplinary mental health treatment team.

[(e) Behavioral Health Organization or BHO means an entity selected by the Commissioner of the Office of Mental Health and the Commissioner of the Office of Alcoholism and Substance Abuse Services pursuant to section 365-m of the New York State Social Services Law to provide administrative and management services for the purposes of conducting concurrent review of behavioral health admissions to inpatient treatment settings, assisting in the coordination of behavioral health services, and facilitating the integration of such services with physical health care.]

([f]h) Collaterals means [persons who are:

(1) significant others or members of the client's family or household, academic or workplace setting who regularly interact with the client and

are directly affected by, or have the capability of affecting, [his or her] *their* condition; and

(2) identified in the client's treatment plan as having a role in treatment and/or are identified in the pre-admission notes as necessary for participation in the evaluation and assessment of the client prior to admission.]

A contact that shall occur with the recipient's family, and others significant in their life, that provide a direct benefit to the recipient and is conducted in accordance with, and for the purpose of, advancing the recipient's Service Plan; and for coordination of services with other educational, community service providers and medical providers.

([g]i) Commissioner means the Commissioner of Mental Health.

([h]*j*) Concurrent Review means the review of the clinical necessity for continued inpatient behavioral health services, resulting in a non-binding recommendation regarding the need for such continued inpatient services.

([i]k) Contact means a face-to-face interaction of at least 15 minutes duration where at least one ACT service is provided between an ACT team staff member and a client or collateral.

(1) Family means those members of the recipient's natural family, family of choice, or household who interact with the recipient and are directly affected by, or have the capability of affecting, the recipient's condition.

([j]m) Month means any time between and including the first and last days of any calendar month in a given year.

([k]n) Office means the Office of Mental Health.

([1]*o*) PROS services means personalized recovery oriented services provided pursuant to Part 512 of this Title.

([m]p) Provider of services means an individual, partnership, association, corporation, public agency, or a psychiatric center or institute licensed by the office which is legally responsible for the operation of an ACT program.

([n]q) Single point of access (SPOA) is an entity in each county that manages referrals to the ACT Program.

508.5 Standards pertaining to reimbursement.

(a) General requirements.

(1) ACT programs are required to be approved or certified by the office to provide ACT treatment services.

(2) ACT staff shall have responsibility for treatment, rehabilitation, case management, and support services for ACT clients.

(3) There shall be at least one direct care staff person for every 10 clients. Exceptions to the case ratio requirement may be allowed by the office.

(4) In no instance shall an individual be admitted to an ACT program while concurrently enrolled in an[intensive case management program, supportive case management program, blended intensive/supportive case management program, licensed outpatient clinic program] *Health Home Care Management*, [or] *licensed day treatment program for children*, or licensed continuing day treatment program as defined in Parts 506, 587 and 599 of this Title.

(5) An individual may be both an active ACT client and enrolled in a personalized recovery-oriented services (PROS) program, operating pursuant to Part 512 of this Title, for no more than three months within any 12-month period.

(6) Providers of ACT services shall furnish any and all information and records requested by the office, including, but not limited to, clientspecific, statistical, administrative, and fiscal information.

(7) A child may be both an active Youth ACT client and enrolled in CFTSS and/or HCBS 30 days prior to discharge from Youth ACT only as a transition from Youth ACT to an alternate or lower level of care.

(b) Reimbursement standards.

(1) Reimbursement shall be made only for services provided to persons who:

(i) meet the definition of persons with serious mental illness *or* serious emotional disturbance as set forth in section 1.03 of the Mental Hygiene Law;

(ii) have been referred or approved by the SPOA for enrollment in ACT services; and

(iii) are active clients of the ACT provider.

(2) Rates of payment shall be established on a prospective basis.

(3) Each rate of payment established under this Part shall be a monthly fee determined by the commissioner and approved by the Division of the Budget.

(4) Reimbursement for services provided to a client who is admitted to an ACT treatment program and active in ACT treatment services shall only be made for the client's participation in that program, except as otherwise provided in paragraph (c)(3) of this section.

(5) Reimbursement for clinic or continuing day treatment services

provided to a client, other than for pre-admission visits, will be deducted from the amount paid to the provider of ACT services.

(6) Reimbursement for services provided to clients who are receiving both ACT and *Child and Family Treatment and Support Services (CFTSS) or Home and Community Based Services (HCBS) or* PROS services as permitted by subdivision (a) of this section will be limited to the partial step-down payment rate specified in subdivision (c) of this section.

(7) No more than one client or collateral contact per day shall be allowed as a billable service, except that two contacts per day shall be allowed as a billable service if one contact is face-to-face with the client and the other contact is face-to-face with a collateral. The two contacts must occur separately.

(8) Reimbursement shall be made only for services identified and provided in accordance with an individual's treatment plan. The treatment plan shall develop, evaluate and revise, as needed, an individual's course of treatment based on the client's diagnosis, expressed desires, behavioral strengths and weaknesses, problems and service needs.

(9) Reimbursement for collateral contacts may be made for:

(i) contacts by ACT team members with collaterals; or

(ii) contacts by ACT team members with a group composed of collaterals of more than one client, for the purpose of goal-oriented problem solving, assessment of treatment strategies, *assisting family members for the benefit of the client* and provision of practical skills for assisting a client in the management of [his or her] *their* illness. No more than one collateral contact for any recipient shall be allowed as a billable service regardless of how many of [his or her] *their* collaterals participate in the session. The total number of individuals in any group shall not exceed six. (c) Reimbursement rate.

ACT treatment services shall be reimbursed at the following rates: full; partial step-down; and Inpatient. In no instance shall a program bill more than one rate code during the same month for the same individual.

(1) Reimbursement shall be made at the full payment rate for services provided to active clients who receive a minimum of six face-to-face contacts in a month, up to three of which may be collateral contacts.

(2) Reimbursement shall be made at the partial step-down payment rate for services provided to active clients who receive a minimum of two, but fewer than six, face-to-face contacts in a month.

(3) Reimbursement for services to ACT clients who are admitted for treatment to an inpatient facility and are anticipated to be discharged within 180 days of admission shall be made in accordance with section 508.7 of this Part.

[14 CRR-NY 508.9

508.9 Behavioral health organizations.

Providers shall cooperate with the designated regional behavioral health organizations and shall be authorized pursuant to Section 33.13(d) of the Mental Hygiene Law to exchange clinical information concerning clients with such organizations. Information so exchanged shall be limited to the minimum necessary in light of the reason for the disclosure. Such information shall be kept confidential and any limitations on the release of such information imposed on the party giving such information shall apply to the party receiving such information.]

Final rule as compared with last published rule: Nonsubstantial changes were made in section 508.4(h).

Text of rule and any required statements and analyses may be obtained from: Sara Paupini, Esq., Office of Mental Health, 44 Holland Ave, Albany, NY 12229, (518) 474-1331, email: regs@omh.ny.gov

Revised Regulatory Impact Statement

1. Statutory authority: Sections 7.09 and 31.04 of the Mental Hygiene Law give the Commissioner of the Office of Mental Health the power and responsibility to plan, establish and evaluate programs and services for the benefit of persons with mental illness and to adopt regulations that are necessary and proper to implement matters under his or her jurisdiction.

Sections 364 and 364-a of the Social Services Law give the Office of Mental Health responsibility for establishing and maintaining standards for medical care and services in facilities under its jurisdiction, in accordance with cooperative arrangements with the Department of Health.

Subdivision (a) of Section 43.02 of the Mental Hygiene Law provide that payments under the Medical Assistance Program for services approved by the Office of Mental Health shall be at rates certified by the Commissioner and approved by the Director of the Budget. Subdivision (b) of Section 43.02 of the Mental Hygiene Law gives the

Subdivision (b) of Section 43.02 of the Mental Hygiene Law gives the Commissioner the authority to request from operators of facilities licensed by the Office of Mental Health such financial, statistical and program information as the Commissioner may determine to be necessary.

Subdivision (c) of Section 43.02 of the Mental Hygiene Law gives the

Commissioner the authority to adopt rules and regulations relating to methodologies used in establishing schedules of rates of payment for services.

2. Legislative objectives: Articles 7 and 31 of the Mental Hygiene Law reflect the Commissioner's authority to establish regulations regarding mental health programs. The assertive community treatment (ACT) program is an important model of service provision for persons in the community with serious mental illness. The intent of this proposal is to include children in the populations eligible to receive ACT, identify services that children may receive as they transition from ACT, update the definition of family, update the definition of collateral and remove all language related to Behavioral Health Organizations 3. Needs and benefits: 14 NYCRR Part 508 establishes the standards

and methods for determining the rates of payment to assertive community treatment (ACT) programs for services provided to active clients. The amendments are designed to include children in the populations eligible to receive ACT and identify services children can access as they transition from ACT. The proposed amendments will allow eligible worth to receive from ACT. The proposed amendments will allow eligible youth to receive intensive treatment, which may prevent future psychiatric hospitalization. The proposed amendments would provide a definition for Child which clarifies that services are available for an individual up to 21 years of age and that Individuals ages 18-21 years with mental illness may be considered for either Youth ACT or Adult ACT programs for the purposes of receiv-ing services. The amendments define Child Family Treatment and Support services to mean an array of six treatment, rehabilitative and support services to assist children and youth with mental health and/or behavioral challenges to function successfully within their homes and community, primarily provided in nontraditional settings including in the home or community settings. Further, Children's Home and Community (HCBS) based services is defined to mean services provided to individuals in the least restrictive environment possible by providing services and support to children and their families at home and in the community. HCBS are designed for people who, but for these services, would requires an institutional level of care such as a long-term care facility or psychiatric inpatient care.

Additionally the rule is amended to remove all language related to Behavioral Health Organizations.

4. Costs:

(a) cost to State government: These regulatory amendments will not result in any additional costs to State government.

(b) cost to local government: These regulatory amendments will not result in any additional costs to local government.

(c) cost to regulated parties: These regulatory amendments will not result in any additional costs to regulated parties.

5. Local government mandates: These regulatory amendments will not result in any additional imposition of duties or responsibilities upon county, city, town, village, school or fire districts, rather simply provide an additional service option for eligible youth.

6. Paperwork: These regulatory amendments should not increase the paperwork requirements of providers.

7. Duplication: These regulatory amendments do not duplicate existing State or federal requirements.

8. Alternatives: The only alternative to the regulatory amendment which was considered was inaction. Since the new regulation serves to include youth as eligible for ACT, that alternative was necessarily rejected. The purpose is to provide intense in home services that would decrease the risk of future psychiatric hospitalizations for children.

9. Federal standards: The regulatory amendments do not exceed any minimum standards of the federal government for the same or similar subject areas.

10. Compliance schedule: This rulemaking will be effective upon publication of a Notice of Adoption in the State Register.

Revised Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis for Small Business and Local Governments is not submitted with this notice, because there will be no adverse economic impact upon small businesses or local governments as a result of this rule making. The rule serves to update existing regulations to include children in the populations eligible to receive ACT, identify services that children may receive as they transition from ACT, update the definition of family, and update the definition of collateral and remove all language related to Behavioral Health Organizations. The management of referrals to Youth ACT will be managed by the Local Government Unit (LGU). This would provide an additional option for services for the existing population of eligible youth, and while the amendments may increase overall ACT expenditures due to access, these costs are anticipated to be shifted from other service programs.

Revised Rural Area Flexibility Analysis

14 NYCRR Part 508 establishes the standards and methods for determining the rates of payment to assertive community treatment (ACT)

programs for services provided to clients. The intent of this proposal is to include children in the populations eligible to receive ACT, identify ser-vices that children may receive as they transition from ACT, update the definition of family, and update the definition of collateral and remove all language related to Behavioral Health Organizations. The management of referrals to Youth ACT will be managed by the Local Government Unit (LGU). This would provide an additional option for services for the existing population of eligible youth, and while the amendments may increase overall ACT expenditures due to access, these costs are anticipated to be shifted from other service programs. Therefore, a rural area flexibility analysis is not included in this rule making.

Revised Job Impact Statement

It is evident from the subject matter of the rulemaking that there will be no adverse impact upon jobs and employment opportunities. It is anticipated that there will be an increase in employment opportunities with the expansion of ACT teams that will primarily serve children.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2025, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Comment 1: A provider recommended that creative staffing structures should be permitted within the regulation to provide for family choice, which may include subcontracted service delivery or assigning staff from CFTSS and other community-based programs to Youth ACT team staff assignments when appropriate.

Response: Staffing is managed via guidance not regulation and the rate structure does not permit for subcontracting, all services delivered will be delivered by ACT team members.

Comment 2: A provider recommended defining a child as "any individual from 5-21 years of age." Response: No amendment necessary as child is currently defined to

include youth up to age 21.

Comment 3: A provider requested an amendment to the definition of contact to include the ability to deliver services via telehealth where appropriate, driven by child and family choice.

Response: Telehealth will be permitted in accordance with all regulations and will be addressed in guidance.

Comment 4: A provider requested an amendment to provide a 30 day period of eligibility and billing be afforded for both step up into Youth ACT and step down from Youth ACT to: Outpatient Clinic, CFTSS, HCBS, Children's Day Treatment and Partial Hospitalization.

Response: Comment reviewed and the amendment is not required, as a referral process currently exists to enroll youth.

Comment 5: Reference to staff ratios must be flexible based on the size of the designated Youth ACT team. Regulatory restriction of one direct care staff to ten clients based on the proposed program structure will be a major impediment to quality care.

Response: Staffing is based upon existing team model and rate requirements- this regulation is universal for ACT and also impacts the Adult ACT program. The concern will be further addressed in guidance.

Comment 6: A commentor requested that a definition of Transition Age Youth be added and such definition should include, that Contact means a face-to-face or telehealth interaction of at least 15 minutes duration, where at least one ACT service is provided between an ACT team staff member and a client or collateral.

Response: The concern will be further addressed in guidance. It should be noted that all telehealth allowances must comply with the appropriate regulation.

Comment 7: A commentor suggested removing reference to SPOA, or in the alternative recommended the management of referrals include the standard being established under Part 584 for residential treatment facilities and would expand the definition of "Commissioner's designee" to mean psychiatrist, psychiatric nurse practitioner, physician's assistant or LCSW under physician supervision.

Response: The Office determined the amendment to not be necessary as the clinical staff time on the team is limited and should be focused on the children/family enrolled in the program and it would be a potential conflict for the provider to be determining admission to the program they work for. Comment 8: A commentor suggested Part 508.5(a)(3) be amended to

provide that any flexibility be tied proportionately so that ratios are based upon the size of the program to avoid unsustainable financial burdens.

Response: No amendment determined to be necessary. The regulation is in alignment with Adult ACT and will be addressed further in guidance.

Comment 9: A commentor suggested Part 508.5(a)(4) be amended to address the concerns that the reimbursement provisions prohibit the ability to maintain contact with any aspect of a treatment plan that is being implemented by outpatient clinic staff.

Response: No amendment determined to be necessary. The concern will be addressed further in guidance, as each program type has a different billing methodology

Comment 10: A commentor suggested Part 508.5(a)(7) be amended for children in CFTSS, HCBS, partial hospitalization and children's Day Treatment as it does not align with the Adult PROS standard and instead introduces a separate standard that eliminates of dual enrollment for any purpose.

Response: No amendment determined to be necessary. The concern will be addressed further in guidance, as each program type has a different billing methodology and Medicaid billing requirements.

Comment 11: A commentor suggested Part 508.5(b)(1) be amended to provide an alternative to SPOA for referrals and approval in favor of such determinations being made by the commissioner's designee.

Response: No amendment determined to be necessary as SPOA will prioritize children's referrals and approvals and it is not feasible to substitute into the regulation the RTF admission process, which has different admission requirement and needs from ACT.

Comment 12: A commentor suggested Part 508.5(b)(5) be amended so that it better applies to the continuum of care and services available to children, supports trauma-informed transitions and sustains access to appropriate aspects of treatment being provided by alternative programs.

Response: No amendment determined to be necessary. The concern will be addressed further in guidance, as each program type has a different billing methodology.

Comment 13: In the interest of respecting youth in their decision making and identity, we urge the use of gender inclusive verbiage whenever possible. Recommendation: change "his or her" to "their".

Response: The clarifying recommendation will be incorporated into the rule.

Office for People with Developmental Disabilities

NOTICE OF ADOPTION

Community Transition Services

I.D. No. PDD-46-21-00015-A Filing No. 158 Filing Date: 2022-03-09 Effective Date: 2022-03-30

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 635-10.5 to Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.07, 13.09(b) and 16.00

Subject: Community Transition Services.

Purpose: To match Federal limitations and use gender neutral terminology.

Text or summary was published in the November 17, 2021 issue of the Register, I.D. No. PDD-46-21-00015-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Mary Beth Babcock, Office for People With Developmental Disabilities, 44 Holland Avenue, 3rd Floor, Albany, NY 12209, (518) 474-7700, email: rau.unit@opwdd.ny.gov

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment and an E.I.S. is not needed.

Assessment of Public Comment

The agency received no public comment.

Public Employment Relations Board

NOTICE OF WITHDRAWAL

Rules and Regulations to Effectuate the Purposes of the State Employment Relations Act (Labor Law Art. 20)

I.D. No. PRB-01-22-00006-W

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Notice of proposed rule making, I.D. No. PRB-01-22-00006-P, has been withdrawn from consideration. The notice of proposed rule making was published in the *State Register* on January 5, 2022.

Subject: Rules and regulations to effectuate the purposes of the State Employment Relations Act (Labor Law Art. 20).

Reason(s) for withdrawal of the proposed rule: To evaluate the significant number of comments received and determine if further amendment is called for.

Public Service Commission

PROPOSED RULE MAKING HEARING(S) SCHEDULED

Proposed Major Rate Increase in Con Edison's Delivery Revenues of Approximately \$500 Million (or 18.2% in Total Revenues)

I.D. No. PSC-13-22-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by Consolidated Edison Company of New York, Inc. (Con Edison) to make changes in the rates, charges, rules and regulations as contained in tariff schedule P.S.C. No. 9 — Gas.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Proposed major rate increase in Con Edison's delivery revenues of approximately \$500 million (or 18.2% in total revenues).

Purpose: To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Public hearing(s) will be held at: 10:30 a.m., July 6, 2022 and continuing daily as needed, via teleconference. (Evidentiary Hearing)*

*On occasion, the public evidentiary hearing date may be rescheduled or postponed. In that event, public notification of any subsequent scheduling changes will be available at the DPS Web Site (www.dps.ny.gov) under Case 22-G-0065.

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Substance of proposed rule: The Commission is considering a proposal, filed on January 28, 2022, by Consolidated Edison Company of New York, Inc. (Con Edison or the Company) to increase its gas delivery revenues for the rate year ending December 31, 2023, as contained in P.S.C. No. 9 – Gas. Con Edison is requesting an increase in annual gas delivery revenues of approximately \$500 million (28.1 percent in delivery revenues, or 18.2 percent in total revenues). The Company's requested increase in gas delivery revenues would result in an average bill increase of about \$37.88 (26.8 percent increase on the delivery bill, or 19.1 percent increase on the total bill) for a typical residential heating customer using 100 therms per month. The major drivers of the requested gas rate increase include property taxes, deferred costs, and an updated sales forecast. The initial suspension period for the proposed filing runs through June 26, 2022.

The full text of the proposal and the full record of the proceeding may

be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify in whole or in part, the action proposed and may also resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: Five days after the last scheduled public hearing.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-G-0065SP1)

PROPOSED RULE MAKING HEARING(S) SCHEDULED

Proposed Major Rate Increase in Con Edison's Delivery Revenues of Approximately \$1.2 Billion (or 11.2% in Total Revenues)

I.D. No. PSC-13-22-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by Consolidated Edison Company of New York, Inc. (Con Edison) to make changes in the rates, charges, rules and regulations as contained in tariff schedule P.S.C. Nos. 10 and 12 — Electricity.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Proposed major rate increase in Con Edison's delivery revenues of approximately \$1.2 billion (or 11.2% in total revenues).

Purpose: To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Public hearing(s) will be held at: 10:30 a.m., July 6, 2022 and continuing daily as needed, via teleconference. (Evidentiary Hearing)*

*On occasion, the public evidentiary hearing date may be rescheduled or postponed. In that event, public notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 22-E-0064.

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Substance of proposed rule: The Commission is considering a proposal, filed on January 28, 2022, by Consolidated Edison Company of New York, Inc. (Con Edison or the Company) to increase its electric delivery revenues for the rate year ending December 31, 2023, as contained in P.S.C. Nos. 10 and 12 – Electricity.

Con Edison is requesting an increase in annual electric delivery revenues of approximately \$1.2 billion (17.6 percent increase in delivery revenues, or 11.2 percent increase in total revenues). The Company's requested increase in electric delivery revenues would result in an average residential monthly delivery bill increase of \$20.90 (18.8 percent increase on the delivery bill, or 13.2 percent increase on the total bill) for a 600 kWh per month customer. The major drivers of the requested increase include property taxes, deferred costs, and an updated sales forecast. The Company's sales forecast would not have a bill impact because these costs have been already incorporated into bills through the existing revenue decoupling mechanism. The Company states that its proposed increase in its overall equity return reflects capital market conditions for raising money to fund needed investments. The initial suspension period for the proposed filing runs through June 26, 2022.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify in whole or in part, the action proposed and may also resolve related matters. Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: secretary@dps.ny.gov

Public comment will be received until: Five days after the last scheduled public hearing.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-E-0064SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Issuance of Securities and Other Forms of Indebtedness

I.D. No. PSC-13-22-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by the Brooklyn Union Gas Company d/b/a National Grid (KEDNY) requesting multi-year authority to issue up to \$1.8 billion in new long-term debt securities.

Statutory authority: Public Service Law, section 69

Subject: Issuance of securities and other forms of indebtedness.

Purpose: To provide funding for the construction of utility plant, refinancing maturing debt and other capital needs.

Substance of proposed rule: The Commission is considering a petition by The Brooklyn Union Gas Company d/b/a National Grid NY (KEDNY or the Company) filed on February 18, 2022, requesting authority to issue long-term indebtedness pursuant to Public Service Law Section 69. Specifically, the Company requests authority to issue up to \$1.8 billion in long-term debt securities through March 31, 2025. The proceeds from the sale of the securities would be used to finance the construction of utility plant, refinance maturing debt, refinance short-term debt, finance the capital needs of KEDNY, and for other authorized purposes.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0111SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Pole Attachment Rates

I.D. No. PSC-13-22-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule: *Proposed Action:* The Commission is considering a proposal filed by Niagara Mohawk Power Corporation d/b/a National Grid to modify its tariff schedule, P.S.C. No. 15 — Electricity, to update pole attachment rates. *Statutory authority:* Public Service Law, sections 65 and 66(12) *Subject:* Pole attachment rates.

Purpose: To provide just and reasonable pole attachment rates.

Substance of proposed rule: The Commission is considering a proposal filed by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid) on February 28, 2022, to amend its electric tariff schedule, P.S.C. No. 220 – Electricity.

National Grid proposes to update its pole attachment rates applicable to Cable System Operators, Telecommunication Carriers and Wireless Communication Carriers to \$16.75, per equivalent pole, based on 2021 data. The proposed amendment has an effective date of July 1, 2022.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0125SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Petition for Waiver of the Requirements of Opinion No. 76-17 and 16 NYCRR Part 96 Regarding Individual Metering of Living Units

I.D. No. PSC-13-22-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering Buffalo Neighborhood Stabilization Company, Inc. petition for waiver of the individual metering requirements pursuant to Opinion No. 76-17 and 16 NYCRR Part 96 for certain portions of West Side Homes located in Buffalo, NY.

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Petition for waiver of the requirements of Opinion No. 76-17 and 16 NYCRR Part 96 regarding individual metering of living units.

Purpose: To determine whether to authorize the waiver request while ensuring consumer and energy efficiency protections are in place.

Substance of proposed rule: The Commission is considering the petition by Buffalo Neighborhood Stabilization Company, Inc. (BNSC), filed on February 4, 2022, for waiver of the application of individual metering requirements to residential buildings located at 5 addresses in Buffalo, New York (625 West Ave., 146 Rhode Island St., 297 Parkdale Ave., 301 Parkdale Ave., and 225 W. Delavan Ave.), and within the service territory of Niagara Mohawk Power Corporation d/b/a National Grid. (National Grid). The requirements related to the waiver request are those established in Opinion No. 76-17 and 16 NYCRR Part 96.

These five residential buildings are newly constructed and part of a development known as "West Side Homes". The buildings will include the installation of rooftop solar, which is expected to offset between 22-80 percent of the buildings' projected load. The buildings contain 49 units of supportive housing and are intended to be used by persons who have experienced homelessness or have a diagnosis of serious mental illness, or families who have a head of household with diagnosed substance abuse. Of the 49 supportive living units 16 units will be available to persons whose income is at or below 30 percent of the area median income, and 33 units are available to persons whose income is at or below services will be available to all tenants throughout the duration of the tenancy.

By seeking a waiver of metering requirements to the individual living units at West Side Homes, BNSC requests authorization to take electric service from National Grid and to provide the residents of the five buildings that are the subject of the petition.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-E-0073SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Positive Revenue Adjustments Associated with Emergency Response, Damage Prevention and Leak Management for 2020

I.D. No. PSC-13-22-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition for rehearing filed by Consolidated Edison Company of New York, Inc. seeking a rehearing of an order issued December 22, 2021.

Statutory authority: Public Service Law, sections 5, 22 and 65

Subject: Positive revenue adjustments associated with emergency response, damage prevention and leak management for 2020.

Purpose: To consider a rehearing petition.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition (Petition) filed by Consolidated Edison Company of New York, Inc. (Con Edison or the Company), on January 21, 2022, requesting rehearing of the Commission's December 22, 2021 Order Granting Petition with Modification (Order).

The Order granted Con Edison's request to excuse the Company from a negative revenue adjustment (NRA) it would have incurred for failing to meet its main replacement target in 2020 due work suspensions and other COVID-19 related limitations. The Order also directed the Company to return Positive Rate Adjustment (PRA) revenues associated with emergency response, damage prevention and leak management to customers. Among other things, the Order noted that Con Edison could have real-located workforce resources from its main replacement program to other activities, thereby allowing the Company to exceed targets for those other measures. In addition, the Order noted that in 2020, Con Edison had far fewer leaks, responded to much fewer emergency reports and had significantly fewer one-call notifications when compared to the previous year.

In its petition, Con Edison argues that the Order: (1) improperly assumes that Con Edison could reallocate resources from main replacement programs to other activities; (2) does not contain sufficient evidence or analysis to indicate that lower levels of activity related to some performance measures in 2020 allowed the Company to exceed certain performance measure targets; (3) improperly rescinds PRAs earned in accordance with the terms of its current gas rate plan; and (4) did not provide Con Edison with proper notification of the action on PRAs.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Sec-

c- PROPOSED RULE MAKING

(19-G-0066SP5)

the State Administrative Procedure Act.

notice.

NO HEARING(S) SCHEDULED

retary, Public Service Commission, 3 Empire State Plaza, Albany, New

Public comment will be received until: 60 days after publication of this

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural

Statements and analyses are not submitted with this notice because the

proposed rule is within the definition contained in section 102(2)(a)(ii) of

York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Area Flexibility Analysis and Job Impact Statement

Issuance of Securities and Other Forms of Indebtedness

I.D. No. PSC-13-22-00012-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by KeySpan Gas East Corporation d/b/a National Grid (KEDLI) requesting multi-year authority to issue up to \$890 million in new long-term debt securities.

Statutory authority: Public Service Law, section 69

Subject: Issuance of securities and other forms of indebtedness.

Purpose: To provide funding for the construction of utility plant, refinancing maturing debt and other capital needs.

Substance of proposed rule: The Commission is considering a petition by KeySpan Gas East Corporation d/b/a National Grid (KEDLI) filed on February 18, 2022, requesting authority to issue long-term indebtedness pursuant to Public Service Law Section 69.

Specifically, the Company requests authority to issue up to \$890 million in long-term debt securities through March 31, 2025. The proceeds from the sale of such securities would be used to finance the construction of utility plant, refinance maturing debt, refinance short-term debt, finance the capital needs of KEDLI, and for other authorized purposes.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (22-M-0112SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Pole Attachment Rates

I.D. No. PSC-13-22-00013-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by Central Hudson Gas and Electric Corporation to modify its tariff schedule, P.S.C. No. 15 — Electricity, to update pole attachment rates.

Statutory authority: Public Service Law, sections 65 and 66(12) *Subject:* Pole attachment rates.

Purpose: To provide just and reasonable pole attachment rates.

Substance of proposed rule: The Commission is considering a proposal

filed by Central Hudson Gas & Electric Corporation (Central Hudson) on February 28, 2022, to amend its electric tariff schedule, P.S.C. No. 15 – Electricity.

Central Hudson proposes to update its pole attachment rates applicable to Cable System Operators, Telecommunication Carriers and Wireless Communication Carriers to \$25.34, per equivalent pole, based on 2021 data. The proposed amendment has an effective date of July 1, 2022.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, , (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(21-E-0124SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Petition to Develop and Construct Local Transmission Projects and to Allocate and Defer Associated Costs

I.D. No. PSC-13-22-00014-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Public Service Commission is considering the Petition Identifying Area of Concern Needs and Recommended Solutions filed on March 8, 2022.

Statutory authority: Public Service Law, sections 4, 5, 65 and 66

Subject: Petition to develop and construct local transmission projects and to allocate and defer associated costs.

Purpose: To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.

Substance of proposed rule: The New York State Public Service Commission (Commission) is considering a Petition Identifying Area of Concern Needs and Recommended Solutions (Petition) that was filed on March 8, 2022 by Central Hudson Gas & Electric Corporation, New York State Electric & Gas Corporation, Niagara Mohawk Power Corporation d/b/a National Grid, and Rochester Gas and Electric Corporation (collectively, the Upstate Utilities or Companies) to comply with the Commission's September 9, 2021 Order on Local Transmission and Distribution Planning Process and Phase 2 Project Proposals.

The Upstate Utilities request that the Commission authorize the development and construction of the transmission solution recommendations for each Area of Concern planning region identified in the petition (Phase 2A Projects). In addition, the Companies seek Commission approval for the use of regional cost allocation and recovery of associated costs through the New York Independent System Operator (NYISO) Tariff as proposed in the January 7, 2022 filing. In the petition, the Upstate Utilities also request Commission approval to defer for future recovery the associated costs that are not recovered through a NYISO Tariff or an existing rate plan, which are incremental operating expenses and related taxes associated with investments, return on capital investment (including initial and ongoing cost of removal), and depreciation associated with approved projects.

The Upstate Utilities indicate that the Phase 2A Projects are being developed to address needs on their local transmission systems related to renewable generation unbottling. According to the Companies, the proposed Phase 2A Projects would provide 3,528 MW of capacity headroom and over 30,500 GWh of energy headroom for renewable energy, which would be core jumpstart investments needed for the State to achieve its targets under the Climate Leadership and Community Protection Act. The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service website: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: John.Pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6517, email: Secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-E-0197SP11)

Office of Temporary and Disability Assistance

EMERGENCY RULE MAKING

2019 Novel Coronavirus (COVID-19) Masking Requirements in Congregate Shelters

I.D. No. TDA-01-22-00001-E Filing No. 164 Filing Date: 2022-03-15 Effective Date: 2022-03-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 304.3 to Title 18 NYCRR.

Statutory authority: Social Services Law, sections 17(a)-(b), (k), 20(2), (3)(d), (e), 34(3)(c), (f), 34(6) and 460-c

Finding of necessity for emergency rule: Preservation of public health, public safety and general welfare.

Specific reasons underlying the finding of necessity: The Office of Temporary and Disability Assistance (OTDA) finds that it is necessary for the preservation of the public health, public safety and the general welfare that these amendments be readopted on an emergency basis, as authorized by the State Administrative Procedure Act (SAPA) § 202(6). The 2019 Novel Coronavirus (COVID-19) pandemic remains a significant challenge in New York State. Since March 2020, more than 4,900,000 New Yorkers have been infected by the COVID-19 virus, and the disease has killed more than 69,500 New Yorkers. There is a concerning trend of circulation of the Delta and Omicron variants. Congregate shelters for persons experiencing homelessness are high-risk settings because persons placed in these facilities have frequent contact with other with shelter staff and other residents. This regulation is necessary to prevent the spread of the COVID-19 virus in congregate shelters for persons experiencing homelessness, and to help to ensure that persons placed in congregate shelters and shelter staff are less likely to contract COVID-19 and potentially suffer severe illness or death.

It is of great importance that operators of congregate shelters require all members of the shelter staff, shelter residents, visitors, and any other persons entering the facility to wear appropriate face coverings, consistent with directives issued by OTDA and guidance issued by the CDC and the New York State Department of Health.

It is noted that the regulatory amendments are being readopted pursuant to a Notice of Emergency Adoption due to time constraints. To preserve public health, public safety and general welfare, OTDA originally promulgated the emergency rule via a Notice of Emergency Adoption and Proposed Rule Making on December 15, 2021, and the emergency rule became effective on that date. The Notice of Emergency Adoption and Proposed Rule Making was published in the New York State Register on January 5, 2022 under I.D. No. TDA-01-22-00001-EP. OTDA accepted public comments on the current emergency rule through March 7, 2022. The current emergency rule expires on March 14, 2022

This first readoption of the current emergency rule is necessary in order to avoid a lapse in the current emergency rule, insofar as the expiration of the 60-day public comment period will not provide sufficient time for OTDA to publish a Notice of Adoption in the New York State Register before the current emergency rule expires on March 14, 2022.

Subject: 2019 Novel Coronavirus (COVID-19) masking requirements in congregate shelters.

Purpose: Protect the well-being of shelter staff and persons staying in congregate shelters

Text of emergency rule: Part 304 of Title 18 of the NYCRR is amended by adding a new § 304.3 to read as follows: § 304.3 2019 Novel Coronavirus (COVID-19) Masking Requirements

in Congregate Shelters.

(a) This section shall apply to congregate shelters for persons experiencing homelessness that are reimbursed directly or indirectly from State or State-administered grants or funds. For purposes of this section, the following definitions shall apply:

(1) "Congregate shelters" means all shelters for adults, smallcapacity shelters, shelters for adult families, and shelters for families, as those terms are defined in sections 491.2 and 900.2 of this Title, in which more than three unrelated persons share the same sleeping quarters.

(2) The "Office" means the State Office of Temporary and Disability Assistance.

(3) "Shelter staff" includes but is not limited to all shelter employees, volunteers, and contractors and subcontractors whose primary job responsibility is to work in the congregate shelter.

(b) Operators of congregate shelters subject to this section shall require all members of the shelter staff, shelter residents, visitors, and any other persons entering the facility to wear appropriate face coverings, consistent with directives issued by the Office and guidance issued by the Centers for Disease Control and Prevention (CDC) and the New York State Department of Health.

(c) Exemptions from face covering requirements. Face coverings are not required to be worn by:

(1) children under two years of age;

(2) persons with disabilities who cannot wear masks, or cannot safely wear masks, for reasons related to their disabilities, and

(3) persons for whom wearing a mask would create a risk to workplace health, safety, or job duty as determined by an Occupational Safety and Health Administration workplace risk assessment.

(d) Enforcement. The Office may take any of the enforcement actions set forth in sections 491.23(b) and 900.23(b) of this Title to assure compliance with the requirements of this section.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. TDA-01-22-00001-EP, Issue of January 5, 2022. The emergency rule will expire May 13, 2022

Text of rule and any required statements and analyses may be obtained from: Richard P. Rhodes, Jr., Office of Temporary and Disability Assistance, 40 North Pearl Street, 16C, Albany, NY 12243-0001, (518) 486-7503, email: richard.rhodesjr@otda.ny.gov

Regulatory Impact Statement

Statutory authority:

Social Services Law (SSL) § 17(a)-(b) and (k) provide, in part, that the Commissioner of the Office of Temporary and Disability Assistance (OTDA) shall "determine the policies and principles upon which public assistance, services and care shall be provided within the [S]tate both by the [S]tate itself and by the local governmental units ..." ', shall "make known his policies and principles to local social services officials and to public and private institutions and welfare agencies subject to his regulatory and advisory powers ...", and shall "exercise such other powers and perform such other duties as may be imposed by law.'

SSL § 20(2) provides, in part, that the OTDA shall "supervise all social services work, as the same may be administered by any local unit of government and the social services officials thereof within the state, advise them in the performance of their official duties and regulate the financial assistance granted by the state in connection with said work." Pursuant to SSL § 20(3)(d) and (e), OTDA is authorized to promulgate rules, regulations, and policies to fulfill its powers and duties under the SSL and "to withhold or deny State reimbursement, in whole or in part, from or to any social services district ["SSD"] or any city or town thereof, in the event of [their] failure... to comply with law, rules or regulations of [OTDA] relating to public assistance and care or the administration thereof.

SSL § 34(3)(c) requires OTDA's Commissioner to "take cognizance of the interests of health and welfare of the inhabitants of the [S]tate who

lack or are threatened with the deprivation of the necessaries of life and of all matters pertaining thereto." In addition, pursuant to SSL § 34(3)(d), OTDA's Commissioner must exercise general supervision over the work of all SSDs, and SSL § 34(3)(e) provides that OTDA's Commissioner must enforce the SSL and the State regulations within the State and in the local governmental units. Pursuant to SSL § 34(3)(f), OTDA's Commis-sioner must establish regulations for the administration of public assistance and care within the State by the SSDs and by the State itself, in ac-cordance with the law. Pursuant to SSL § 34(6), OTDA's Commissioner "may exercise such additional powers and duties as may be required for the effective administration of the department and of the [S]tate system of public aid and assistance.'

SSL § 460-c confers authority upon OTDA to "inspect and maintain supervision over all public and private facilities or agencies whether [S]tate, county, municipal, incorporated or not incorporated which are in receipt of public funds," which includes emergency shelters.

2. Legislative objectives:

It is the intent of the Legislature in enacting the above statutes that OTDA establish rules, regulations, and policies to provide for the health, safety and general welfare of vulnerable families and individuals who are placed in emergency shelters for persons or families experiencing homelessness.

3. Needs and benefits:

The 2019 Novel Coronavirus (COVID-19) pandemic remains a significant challenge in New York State. Since March 2020, more than 4,900,000 New Yorkers have been infected by the COVID-19 virus, and the disease has killed more than 69,500 New Yorkers. There is a concerning trend of circulation of the Delta and Omicron variants. Congregate shelters for persons experiencing homelessness are high-risk settings because persons placed in these facilities have frequent contact with shelter staff and other residents. This regulation is necessary to prevent the spread of the COVID-19 virus in congregate shelters for persons experiencing homelessness, and to help to ensure that persons placed in congregate shelters and shelter staff are less likely to contract COVID-19 and potentially suffer severe illness or death.

4. Costs:

To the extent that the regulatory amendments would require residents of congregate shelters and shelter staff, visitors and other persons entering the facility to wear face coverings, the amendments would not result in significant costs to the State, to local governments, or to the operators of regulated congregate shelters.

5. Local government mandates:

Only local governments that operate congregate shelters for persons experiencing homelessness would be impacted by the regulatory amendments. There are six congregate shelters in New York City (NYC) that are operated by the NYC Department of Homeless Services. There are no congregate shelters outside of NYC that are operated by a local government.

6. Paperwork:

There are no paperwork requirements.

7. Duplication:

The regulatory amendments do not duplicate, overlap, or conflict with any State or federal statute or rule.

8. Alternatives:

The alternative is to remain silent, which may result in additional exposure of shelter residents and staff to the COVID-19 virus, which could result in severe illness or death.

9. Federal standards:

This regulation does not conflict with federal standards.

10. Compliance schedule:

To preserve public health, public safety and general welfare, OTDA originally promulgated the emergency rule via a Notice of Emergency Adoption and Proposed Rule Making on December 15, 2021, and the emergency rule became effective on that date. The current emergency rule expires on March 14, 2022. Since the regulatory amendments are presently in effect, the State and the districts are already in compliance with the regulatory amendments.

Regulatory Flexibility Analysis

. Effect of rule:

The regulation will not impact local governments or small businesses unless they operate congregate shelters. For purposes of this RFASBLG, "small business" means "any business which is resident in this state, independently owned and operated, and employs one hundred or less individuals." (State Administrative Procedure Act § 102[8]). There are approximately 380 publicly- funded congregate shelters operating within the State. Six of those congregate shelters are in New York City (NYC) and are operated by the NYC Department of Homeless Services. Of the remaining congregate shelters operating within the State, and especially those located outside of NYC, many are independently owned and operated by entities that employ 100 or fewer individuals. If a local government or a small business does operate a congregate shelter, it will need to require that all members of the shelter staff, shelter residents, visitors and any other persons entering the facility wear appropriate face coverings. The face coverings need to be worn in a manner consistent with directives issued by the Office of Temporary and Disability Assistance (OTDA) and with guidance issued by the Centers for Disease Control and Prevention (CDC) and the New York State Department of Health.

2. Compliance requirements:

Operators of congregate shelters will need to periodically check their shelter staff, shelter residents, visitors and any other persons entering the facility to confirm that face coverings are being worn correctly.

3. Professional services:

Professional services are not required by this regulation.

4. Compliance costs:

There are no anticipated costs to local governments or small businesses as a result of this regulation. 5. Economic and technological feasibility:

It is not anticipated that there would be economic or technical impediments to the regulation.

6. Minimizing adverse impact:

Any adverse impacts are expected to be minimal and are outweighed by the regulation's health and safety benefits to residents and shelter staff.

7. Small business and local government participation:

The issues addressed by the regulation have existed since the formal declaration of the 2019 Novel Coronavirus (COVID-19) pandemic in March of 2020. Throughout the pandemic, OTDA has been in regular contact with the social services districts (districts) to help ensure the health and safety of residents and staff in congregate shelters. Districts have had an opportunity to express any concerns or questions throughout the COVID-19 pandemic. OTDA remains committed to continuing these relationships.

8. Cure period:

This regulation does not include a cure period given the serious threat the COVID-19 virus presents and the urgent need to have face coverings worn in congregate shelters.

Rural Area Flexibility Analysis

. Types and estimated numbers of rural areas:

For purposes of this RAFA, "rural area" means areas of the State defined by Executive Law § 481(7) (see State Administrative Procedure Act § 102[10]). Per Executive Law§ 481(7), rural areas are defined as "counties within the state having less than two hundred thousand population, and the municipalities, individuals, institutions, communities, programs and such other entities or resources as are found therein. In counties of two hundred thousand or greater population, 'rural areas' means towns with population densities of one hundred fifty persons or less per square mile, and the villages, individuals, institutions, communities, programs and such other entities or resources as are found therein." Based upon United States Census Bureau estimated county populations for 2010, there are: (a) 43 counties that have populations of less than 200,000; and (b) 11 counties with populations of over 200,000 that have towns with population densities of 150 persons or fewer per square mile. There are 28 congregate shelters operating in 13 of these counties: Cattaraugus County (3), Cayuga County (2), Chautauqua County (1), Chemung County (1), Franklin County (1), Oswego County (4), Otsego County (2), Rensselaer County (3), Schenectady County (4), Tompkins County (1), Ulster County (2), Warren County (1), and Yates County (3).2. Reporting, recordkeeping and other compliance requirements; and

professional services:

Operators of congregate shelters in rural areas will require all members of the shelter staff, shelter residents, visitors and any other persons entering the facility to wear appropriate face coverings. There are no reporting or recordkeeping requirements, and professional services will not be required.

3. Costs:

There are no anticipated costs to the State, local governments, or shelter operators as a result of this regulation.

4. Minimizing adverse impact:

Any adverse impacts are expected to be minimal and are outweighed by the regulation's health and safety benefits to residents and shelter staff.

5. Rural area participation:

Since the outset of the 2019 Novel Coronavirus (COVID-19) pandemic, the Office of Temporary and Disability Assistance (OTDA) has continuously worked with all social services districts operating congregate shelters for persons experiencing homelessness that are reimbursed directly or indirectly from State or State-administered grants or funds regarding actions to help minimize the spread of COVID-19. OTDA anticipates continuing these relationships.

Job Impact Statement

A JIS is not required for the regulatory amendments. It is apparent from the nature and purpose of the regulatory amendments that they would not have a substantial adverse impact on jobs and employment opportunities in the public and private sectors of the State. The regulatory amendments will not substantively affect the jobs of employees at the state or social service district (district) level. Readoption of the regulatory amendments will not impose any new annual costs or administrative burdens upon the districts.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Repeal of State Regulations Implementing the Limits on the Use of State Funds or State-Authorized Payments for Administrative Expenses and Executive Compensation Set Forth in Executive Order (EO) No. 38, Signed by Governor Andrew M. Cuomo on January 18, 2012

I.D. No. TDA-13-22-00023-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: This is a consensus rule making to repeal Part 315 of Title 18 NYCRR.

Statutory authority: Social Services Law, sections 17(a)-(b), (k) and 20(3)(d)

Subject: Repeal of State regulations implementing the limits on the use of State funds or State-authorized payments for administrative expenses and executive compensation set forth in Executive Order (EO) No. 38, signed by Governor Andrew M. Cuomo on January 18, 2012.

Purpose: To update state regulations consistent with the repeal, cancellation and revocation of EO No. 38 in its entirety pursuant to EO No. 6, signed by Governor Kathy Hochul on October 8, 2021.

Text of proposed rule: Part 315 of 18 NYCRR is REPEALED

Text of proposed rule and any required statements and analyses may be obtained from: Richard P. Rhodes, Jr., Office of Temporary and Disability Assistance, 40 North Pearl Street, 16C, Albany, NY 12243-0001, (518) 486-7503, email: richard.rhodesjr@otda.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Consensus Rule Making Determination

The Office of Temporary and Disability Assistance (OTDA) is proposing a rule to update state regulations consistent with the repeal, cancellation and revocation of Executive Order (EO) No. 38, signed by Governor Andrew M. Cuomo on January 18, 2012, in its entirety pursuant to EO No. 6, signed by Governor Kathy Hochul on October 8, 2021. Specifically, OTDA proposes to repeal Part 315 of Title 18 of the NYCRR, pertaining to "Limits on Administrative Expenses and Executive Compensation."

Because the regulatory purpose of OTDA's Part 315 regulations was to implement the limits on administrative expenses and executive compensation set forth in EO No. 38, now that this EO has been repealed, cancelled and revoked in its entirety, its implementing regulations as contained in Part 315 of Title 18 NYCRR must also be repealed. The proposed rule will help eliminate any confusion and render the state regulations consistent with the repeal, cancellation and revocation of EO No. 38 as effectuated by Governor Hochul's EO No. 6.

OTDA does not anticipate that any person will object to the proposed regulatory amendments contained in this consensus rule since the rule would render the state regulations consistent with Governor Hochul's EO No. 6 as discussed above.

Job Impact Statement

A JIS is not required for the proposed regulatory amendments. The proposed regulatory amendments would update state regulations to reflect that Executive Order (EO) No. 38 was repealed, cancelled and revoked in its entirety pursuant to EO No. 6, signed by Governor Kathy Hochul on October 8, 2021. It is apparent from the nature and purpose of the proposed regulatory amendments that they would not have a substantial adverse impact on jobs and employment opportunities in the public and private sectors of the State. The proposed regulatory amendments would not substantively affect the jobs of employees at the state or social service district (district) level. Adoption of the proposed regulatory amendments, which would merely update state regulations to reflect the repeal, cancellation and revocation of EO No. 38 in its entirety, would not impose any new annual costs or administrative burdens upon the districts.

Workers' Compensation Board

EMERGENCY RULE MAKING

Independent Livery Drivers and Independent Livery Driver Fund

I.D. No. WCB-52-21-00004-E Filing No. 162 Filing Date: 2022-03-14 Effective Date: 2022-03-14

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 309.3; addition of section 309.4 to Title 12 NYCRR.

Statutory authority: Workers' Compensation Law, sections 117 and 141 Finding of necessity for emergency rule: Preservation of public safety and general welfare.

Specific reasons underlying the finding of necessity: The amendment of 12 NYCRR 309.3 and addition of 309.4 is adopted as an emergency measure to ensure that there is no conflict between the Board's current regulatory language and the recent Cisnero Third Department case (195 A.D.3d 1344). The case essentially expanded the definition of independent livery drivers, and the Board is modifying the language of the regulation to conform to this decision. The addition of section 309.4 also ensures that premium is collected to cover the additional required coverage of the expanded definition of independent livery drivers. This emergency adoption also ensures these sections stay in effect until the proposal is permanently adopted.

Subject: Independent Livery Drivers and Independent Livery Driver Fund. *Purpose:* To implement the Cisnero Appellate Division case (194 A.D.3d 1344).

Text of emergency rule: Paragraph (1) of subdivision (a) of section 309.3 of Title 12 NYCRR is hereby amended to read as follows:

(a) Independent livery drivers.

(1) A livery driver is an independent livery driver when he or she is licensed to drive a livery by the appropriate governing taxi and limousine commission and is dispatched by an independent livery base with which he or she is affiliated. An independent livery driver also includes a person who is operating a vehicle that is affiliated with a member of the Black Car Operators' Injury Compensation Fund when that person is dispatched by an independent livery base.

A new section 309.4 of Title 12 NYCRR is hereby added to read as follows:

309.4 Annual contributions to the Fund.

(a) Annual contributions to the Fund by independent livery bases calculated using the number of liveries affiliated with each livery base pursuant to section 160-ccc of the Executive Law shall include independent livery drivers as defined in subdivision (a) of section 309.3 of this Title. Such calculation may be done at the discretion of the Fund using a per ride surcharge.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. WCB-52-21-00004-EP, Issue of December 29, 2021. The emergency rule will expire May 12, 2022.

Text of rule and any required statements and analyses may be obtained from: Heather MacMaster, Workers' Compensation Board, 328 State Street, Schenectady, NY 12305, (518) 486-9564, email: regulations@wcb.ny.gov

Regulatory Impact Statement

1. Statutory authority: Workers' Compensation Law (WCL) § 117(1) and 142 authorizes the Chair of the Workers' Compensation Board (Board) to adopt reasonable rules consistent with, and supplemental to, the provisions of the WCL.

2. Legislative objectives: The emergency adoption and permanent proposal seeks to implement the recent Third Department Appellate Division case, Cisnero v. Independent Livery Driver Benefit Fund, 195 A.D. 3D (Cisnero), which expanded the definition of independent livery driver.

3. Needs and benefits: The emergency adoption and permanent proposal is necessary to ensure that there is no conflict between the Cisnero decision and the current regulatory language, and to ensure that premium is collected to account for the additional coverage by the Independent Livery Driver Benefit Fund for this expanded definition. Conforming to the recent decision should decrease litigation and confusion surrounding this issue.

4. Costs: The Board anticipates that there will be a slight increased cost associated with the regulation since it expands the definition of independent livery driver to include a person who is operating a vehicle that is affiliated with a member of the Black Car Operators' Injury Compensation Fund when that person is dispatched by an independent livery base, and the addition of section 309.4 also accounts for this by ensuring that additional premium can be collected for this additional coverage. 5. Local government mandates: The proposed amendments do not

5. Local government mandates: The proposed amendments do not impose any additional program, service, duty, or responsibility upon any county, city, town, village, school district, fire district, or other special district.

6. Paperwork: There is no specific paperwork associated with this emergency adoption and proposal – it expands the definition of independent livery driver to conform to the Cisnero case.

7. Duplication: The proposal does not duplicate other regulatory initiatives.

8. Alternatives: An alternative would be to leave the current regulations in place and not update the regulatory language to conform to the Cisnero decision and leave the conflict in the regulatory language. However, this would leave a conflict between the regulation and the Appellate Division and could lead to increased litigation and confusion.

9. Federal standards: There are no applicable federal standards for this rule.

10. Compliance schedule: The emergency adoption takes effect immediately upon filing to ensure that the conflict is resolved.

Regulatory Flexibility Analysis

1. Effect of rule

The emergency adoption seeks to implement the recent Third Department Appellate Division case, Cisnero v. Independent Livery Driver Benefit Fund, 195 A.D. 3D (Cisnero), which expanded the definition of independent livery driver and keep this in effect until the proposal is permanently adopted.

2. Compliance requirements

The expanded definition of independent livery driver includes a person who is operating a vehicle that is affiliated with a member of the Black Car Operators' Injury Compensation Fund when that person is dispatched by an independent livery base. This requires these individuals to be covered for workers' compensation insurance.

3. Professional services

It is believed that no professional services will be needed by small businesses or local governments to comply with this emergency adoption and proposal.

4. Compliance costs

Compliance with the proposed regulations may slightly increase compliance costs on small businesses or local governments who are employers, since it expands the definition of independent livery driver to include a person who is operating a vehicle that is affiliated with a member of the Black Car Operators' Injury Compensation Fund when that person is dispatched by an independent livery base, and the addition of section 309.4 also accounts for this by ensuring that additional premium can be collected for this additional coverage.

5. Economic and technological feasibility

Compliance with the proposal is economically and technologically feasible for small businesses and local governments, because this emergency adoption and proposal simply expands the definition of independent livery driver.

6. Minimizing adverse impact

The emergency adoption and proposal is being filed to ensure that the recent Appellate Division case (Cisnero) is implemented and no conflict in the regulation exists and to avoid confusion and potential litigation.

7. Small business and local government participation

The Board does not have a small employer or municipality database but has sent an electronic communication describing the proposal to 4,634 subscribers (self-insured employers) for Board updates.

The Board will also duly consider all public comments received from small businesses or local governments during the public comment period.

Rural Area Flexibility Analysis 1. Types and estimated numbers of rural areas:

The emergency adoption and proposed rulemaking affects any employers of and independent livery drivers in all areas of New York State, including rural areas.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

The same compliance and recordkeeping requirements apply to rural

areas as metropolitan ones. The expanded definition of independent livery driver includes a person who is operating a vehicle that is affiliated with a member of the Black Car Operators' Injury Compensation Fund when that person is dispatched by an independent livery base. This requires these individuals to be covered for workers' compensation insurance.

No special professional services should be required by rural or any other areas.

3. Costs:

The Board anticipates the proposed regulations may slightly increase compliance costs for employers, since it expands the definition of independent livery driver to include a person who is operating a vehicle that is affiliated with a member of the Black Car Operators' Injury Compensation Fund when that person is dispatched by an independent livery base, and the addition of section 309.4 also accounts for this by ensuring that additional premium can be collected for this additional coverage.

4. Minimizing adverse impact:

The emergency adoption is being filed to ensure that the recent Appellate Division case (Cisnero) is implemented and no conflict in the regulation exists and to avoid confusion and potential litigation.

5. Rural area participation:

The Board will duly consider all public comments received from rural areas during the public comment period.

Job Impact Statement

A Job Impact Statement is not required because the emergency adoption and proposal will not have any impact on jobs or employment opportunities. The emergency adoption and proposal is updating the regulation to conform to a recent Third Department Appellate Division Case.

Assessment of Public Comment

The agency received no public comment.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS Subject Matter Location-Date-Time Agency I.D. No. **Education Department** EDU-13-22-00024-EP Zoom - June 8, 2022, 4:00 p.m. Accelerated due process procedures Link: https://zoom.us/j/94999944349?pwd= MDhYbnV3WFR6dHRZU00rakFDR0Yrdz09 Meeting ID: 949 9994 4349 Passcode: 452424 Call In: +1 646 558 8656 US (New York) Zoom - June 15, 2022, 11:00 a.m. Link: https://zoom.us/j/91822156772?pwd= OHJUbjQwV3RPL3JUZXVDOXF4NmZuUT09 Meeting ID: 918 2215 6772 Passcode: 672220 Call In: +1 646 558 8656 US (New York) The disability classification "emotional dis-EDU-13-22-00028-P..... Zoom - April 27, 2022, 4:30 p.m. Link: https://zoom.us/j/91627203714?pwd= turbance" U3FVMERETkg5NDJNZDJSQzAyMUo2UT09 Meeting ID: 916 2720 3714 Passcode: LxM5Xw Call in: +19294362866, 91627203714#, *246557# US (New York) Zoom - May 23, 2022, 9:00 a.m. Link: https://zoom.us/j/97521696405?pwd= YTRDaE5PaUZTUWE2Yk5WM0FrdWVzQT09 Meeting ID: 975 2169 6405 Passcode: g5iX4x Call in: +19294362866, 97521696405#, *494917# US (New York) EDU-13-22-00029-P..... Special education due process system Zoom – June 8, 2022, 3:00 p.m. Link: https://zoom.us/j/94999944349?pwd= procedures MDhYbnV3WFR6dHRZU00rakFDR0Yrdz09 Meeting ID: 949 9994 4349 Passcode: 452424 Call in: +1 646 558 8656 US (New York) Zoom - June 15, 2022, 10:00 a.m. Link: https://zoom.us/j/91822156772?pwd= OHJUbjQwV3RPL3JUZXVDOXF4NmZuUT09 Meeting ID: 918 2215 6772 Passcode: 672220 Call in: +1 646 558 8656 US (New York) **Environmental Conservation, Department of** ENV-51-21-00003-P..... Environmental Remediation Programs Electronic Webinar—April 5, 2022, 2:00 p.m. Electronic Webinar-April 7, 2022, 5:30 p.m.

Instructions on how to "join" the hearing webinar and provide an oral statement will be published on the Department's proposed regulations webpage for 6 NYCRR Part 375 by December 22, 2021. The proposed regulations webpage for 6 NYCRR Part 375 may be accessed at: https://www.dec.ny.gov/regulations/ propregulations.html

Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9764 and leave a message for Jenn Dawson. Please provide your first and last name, address, and telephone number and reference the Part 375 public comment hearing.

		The Department will provide interpreter ser- vices for hearing impaired persons, and language interpreter services for individuals with difficulty understanding or reading En- glish, at no charge upon written request submitted no later than March 22, 2022. The written request must be addressed to Deputy Commissioner, NYS DEC Office of Hearings and Mediation Services, 625 Broadway, 1st Floor, Albany, NY 12233-1550 or emailed to the Office of Hearings and Mediation Services at ohms@dec.ny.gov. Please include "Part 375 Public Comment Hearing" in the subject line.
Public Service Commission		The public comment period for Part 375 is open until 8:00 p.m., April 21, 2022. Com- ments may be entered during the hearing, e-mailed to derweb@dec.ny.gov, or mailed to NYS DEC, Division of Environmental Remediation, 625 Broadway, Albany, NY 12233, Attn: Jenn Dawson. Please include "Part 375 Comments" in the subject or memo line of the correspondence.
PSC-12-22-00010-P	Proposed major rate increase in Liberty SLG's gas revenues	Teleconference—July 18, 2022 and continuing daily as needed, 10:30 a.m. (Evidentiary Hearing)* *On occasion, a hearing may be rescheduled or postponed. In that event, public information notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case No. 21-G-0577.
PSC-13-22-00006-P	Proposed major rate increase in Con Edison's delivery revenues of approximately \$500 million (or 18.2% in total revenues)	Teleconference—July 6, 2022 and continuing daily as needed, 10:30 a.m. (Evidentiary Hearing)* *On occasion, a hearing may be rescheduled or postponed. In that event, public information notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case No. 22-G-0065.
PSC-13-22-00009-P	Proposed major rate increase in Con Edison's delivery revenues of approximately \$1.2 billion (or 11.2% in total revenues)	Teleconference—July 6, 2022 and continuing daily as needed, 10:30 a.m. (Evidentiary Hearing)* *On occasion, a hearing may be rescheduled or postponed. In that event, public information notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case No. 22-E-0064.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency	Issue	Year	Serial	Action
code	number	published	number	Code
AAM	01	12	00001	Р

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AGING, OFFICE F	OR THE		
AGE-11-22-00002-P	03/16/23	Limits on Administrative Expenses and Executive Compensation	To repeal guidelines regarding placing limitations on Administrative Expenses and Executive Compensation.
AGRICULTURE AN	ND MARKETS, DEP	ARTMENT OF	
AAM-23-21-00001-P	07/07/22	Regulated commodity labeling, packaging and method of sale requirements	Amend packaging, labeling & method of sale requirements for various commodities to align with industry & federal standards
AAM-52-21-00001-EP	12/29/22	Control of the Box Tree Moth (Cydalima perspectalis)	To help control the spread of the Box Tree Moth, which infests certain landscaping host plants, rendering them unmarketable
AAM-02-22-00002-EP	01/12/23	License to Grow Hemp and Hemp Research Authorizations	To implement the New York State Hemp Grower License program.
ALCOHOLISM AN	D SUBSTANCE AB	USE SERVICES, OFFICE OF	
ASA-27-21-00009-P	07/07/22	General provisions applicable to all OASAS programs	To identify those provisions that are required of all OASAS certified, funded or otherwise authorized programs
ASA-42-21-00010-P	10/20/22	Provision of problem gambling treatment and recovery services.	Identify the requirements for provision of problem gambling services.
ASA-52-21-00005-EP	12/29/22	Masking requirements in all OASAS certified/ funded/otherwise authorized settings	To prevent the ongoing threat to public health of the spread of COVID-19 in OASAS settings
ASA-11-22-00003-P	03/16/23	Patient rights in OASAS programs	Establish patient rights and provider obligations regarding patient rights in OASAS programs
ASA-11-22-00004-P	03/16/23	substance use disorder residential services	requirements for substance use disorder residential services
ASA-11-22-00005-P	03/16/23	Substance use disorder withdrawal and stabilization services	Requirements for providers of substance use disorder withdrawal and stabilization services

Action I chung	писл		1115 Register/March 50, 2022
Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ALCOHOLISM AN	ND SUBSTANCE AB	USE SERVICES, OFFICE OF	
ASA-11-22-00006-P	03/16/23	residential services	requirements for the delivery of residential services
ASA-11-22-00007-P	03/16/23	General provisions applicable to all programs certified, funded or otherwise authorized by OASAS	General provisions applicable to all programs certified, funded or otherwise authorized by OASAS
ASA-11-22-00008-P	03/16/23	Substance use disorder residential rehabilitation services for youth	to establish standards for substance use disorder residential rehabilitation services for youth
ASA-11-22-00009-P	03/16/23	substance use disorder inpatient rehabilitation	requirements for substance use disorder inpatient rehabilitation services
ASA-11-22-00010-P	03/16/23	general service standards for substance use disorder outpatient programs	general service standards for substance use disorder outpatient programs
ASA-11-22-00011-P	03/16/23	INCIDENT REPORTING IN OASAS CERTIFIED, LICENSED, FUNDED, OR OPERATED SERVICES	INCIDENT REPORTING IN OASAS CERTIFIED, LICENSED, FUNDED, OR OPERATED SERVICES
ASA-12-22-00005-P	03/23/23	Designated Services	To add new Adolescent Program Endorsement and new Ancillary Withdrawal Designation

AUDIT AND CONTROL, DEPARTMENT OF

AAC-09-22-00003-P	03/02/23	Electronic Contact	To provide that forms of electronic contact
			satisfy the written communication requirements
			under Abandoned Property Law

CANNABIS MANAGEMENT, OFFICE OF

OCM-46-21-00010-P	11/17/22	Part 115 - Personal Cultivation of Cannabis	Regulation to authorize the home cultivation of cannabis for certified medical cannabis patients
OCM-01-22-00026-P	01/05/23	Part 114 - Cannabinoid Hemp	To create a licensing framework for cannabinoid hemp processors and cannabinoid hemp retailers
OCM-10-22-00017-P	03/09/23	Part 113 - Medical Cannabis	The proposed rule established the framework for the medical cannabis program in New York State
OCM-13-22-00002-P	03/30/23	Part 116 - Conditional Adult-Use Retail Dispensary	The proposed rule establishes the framework for a subset of retail licenses for the adult-use cannabis program in New York.

CHILDREN AND FAMILY SERVICES, OFFICE OF

CFS-52-21-00003-EP	12/29/22	To establish minimum standards to control the spread of COVID-19 at residential congregate	
		programs.	programs.

CIVIL SERVICE, DEPARTMENT OF

CVS-01-22-00019-P	01/05/23	Family Sick Leave	To increase amount of annual family sick leave
		-	from fifteen (15) to twenty-five (25) days for

eligible M/C employees

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Action Pending Index

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, I	DEPARTMENT OF		
CVS-01-22-00020-P	01/05/23	Jurisdictional Classification	To classify a position in the exempt class.
CVS-01-22-00021-P	01/05/23	Jurisdictional Classification	To classify positions in the exemp class and to classify a subheading and positions in the non-competitive class
CVS-01-22-00022-P	01/05/23	Jurisdictional Classification	To delete a position from and classify a position in the non-competitive class
CVS-01-22-00023-P	01/05/23	Jurisdictional Classification	To delete a position from and classify a position in the exempt class.
CVS-01-22-00024-P	01/05/23	Jurisdictional Classification	To classify a position in the exempt class.
CVS-01-22-00025-P	01/05/23	Jurisdictional Classification	To classify positions in the exempt class
CVS-06-22-00001-P	02/09/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-06-22-00002-P	02/09/23	Jurisdictional Classification	To classify positions in the exempt class
CVS-06-22-00003-P	02/09/23	Jurisdictional Classification	To classify positions in the exempt class
CVS-06-22-00004-P	02/09/23	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-22-00005-P	02/09/23	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-06-22-00006-P	02/09/23	Jurisdictional Classification	To delete a position and to classify a position in the exempt class and to classify positions in the non-competitive class
CVS-06-22-00007-P	02/09/23	Jurisdictional Classification	To delete a position from and to add a subheading and classify positions in the non- competitive class
CVS-06-22-00008-P	02/09/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-09-22-00001-P	03/02/23	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2022
CVS-10-22-00001-P	03/09/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-10-22-00002-P	03/09/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-10-22-00003-P	03/09/23	Jurisdictional Classification	To delete a position from the non-competitive class
CVS-10-22-00004-P	03/09/23	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-10-22-00005-P	03/09/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-10-22-00006-P	03/09/23	Jurisdictional Classification	To classify positions in the non-competitive class

Action Pending Index

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, I	DEPARTMENT OF		
CVS-10-22-00007-P	03/09/23	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-10-22-00008-P	03/09/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-22-00015-P	03/30/23	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-13-22-00016-P	03/30/23	Jurisdictional Classification	To delete a position from and to classify a position in the exempt class.
CVS-13-22-00017-P	03/30/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-13-22-00018-P	03/30/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-13-22-00019-P	03/30/23	Jurisdictional Classification	To add a subheading and classify positions in the non-competitive class
CVS-13-22-00020-P	03/30/23	Jurisdictional Classification	To classify positions in the exempt class and to classify a position in the non-competitive class
CVS-13-22-00021-P	03/30/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-13-22-00022-P	03/30/23	Jurisdictional Classification	To classify positions in the non-competitive class

CORRECTION, STATE COMMISSION OF

CMC-34-21-00001-P	 Jail staffing requirements	To provide county governments and the City of New York an increased role and flexibility in determining officer staffing levels
		determining emeer staming levels

CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF

CCS-49-21-00001-P	12/08/22	Incarcerated Individual Correspondence Program	To further clarify facility mail processing procedures
CCS-07-22-00006-P	02/16/23	Forwarding Incarcerated Individual Mail	To further clarify facility mail forwarding processing procedures.
CCS-08-22-00007-EP	02/23/23	Disposition for violations of the conditions of release	To bring Board regulations into compliance with recent amendments to the Executive Law.

CRIMINAL JUSTICE SERVICES, DIVISION OF

CJS-42-21-00004-EP	10/20/22	Professional Policing Standards	Implementation of the "New York State Professional Policing Act of 2021"

ECONOMIC DEVELOPMENT, DEPARTMENT OF

EDV-45-21-00001-P	11/10/22	Commercial Production Credit Program	Update regulations to include a third party verification process for application submissions.
EDV-05-22-00007-P	02/02/23	Post Production Tax Credit Program	Update regulations to clarify the taxable year in which a credit is allowable.

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Action Pending Index

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPA	RTMENT		
EDU-48-21-00008-P	02/17/23	Special education impartial hearing officers and the special education due process system procedures.	To address volume of special education due process complaints in the New York City due process system
EDU-48-21-00012-ERP	12/01/22	Annual visitation of voluntarily registered nursery schools and kindergartens.	To extend flexibility for the annual visitation of voluntarily registered nursery schools and kindergartens to the 2021-2022 SY.
EDU-52-21-00012-P	12/29/22	General Education Core in the Liberal Arts and Sciences requirements	To remove the General Education Core in the Liberal Arts and Sciences requirements for registered teacher preparation programs and Individual Evaluation Pathway to teacher certification
EDU-52-21-00013-P	12/29/22	The eacher performance assessment requirement for certification.	To modify the teacher performance assessment requirement by eliminating the requirement of the edTPA for certification
EDU-52-21-00014-ERP	12/29/22	Requirements for the Reissuance of an Initial Certificate	To remove the requirement that candidates complete 50 hours of CTLE and/or professional learning to obtain a reissuance
EDU-52-21-00015-EP	12/29/22	Administration of non-injectable glucagon in schools by trained unlicensed school personnel	To conform the Commissioner's regulations to Chapter 339 of the Laws of 2021
EDU-04-22-00008-EP	01/26/23	Cancellation of January 2022 administration of Regents Examinations	To provide regulatory flexibility in response to the cancellation of the January 2022 Regents examination administration.
EDU-04-22-00009-EP	01/26/23	Administration of Immunizations by Pharmacists.	To conform the Commissioner's regulation to Chapter 555 of the Laws of 2021 authorizing pharmacists to administer certain immunizations.
EDU-04-22-00010-EP	01/26/23	Assessment requirements for school district leader and school district business leader program completion, the institutional recommendation for Professional SDL and SDBL certification, and the institutional recommendation Transitional D certification.	Removes the requirement that SDL, SDBL, and Transitional D candidates pass the SDL and SDBL assessment, respectively, for program completion and the institutional recommendation for Professional and Transitional D certification
EDU-09-22-00013-P	03/02/23	Use of Therapeutic Pharmaceutical Agents by Certified Optometrists.	To add a new class of drugs, Rho kinase inhibitors, to the list of drugs that an optometrist certified to use phase two therapeutic pharmaceutical agents may use and prescribe to treat patients
EDU-09-22-00014-P	03/02/23	Content core requirements for candidates seeking an additional science certificate.	To revise the content core requirements in registered teacher preparation programs
EDU-09-22-00015-P	03/02/23	Literacy (All Grades) certificate	Establishes the Literacy (All Grades) certificate
EDU-09-22-00016-P	03/02/23	Board of education cooperative services regional technology plans.	To modernize the language and align requirements of the regional technology plans required to be submitted by BOCES.
EDU-13-22-00024-EP	06/15/23	Accelerated due process procedures.	To implement Chapter 812 of the Laws of 2021.
EDU-13-22-00025-P	03/30/23	Substantially Equivalent Instruction for Nonpublic School Students	Provide guidance to local school authorities to assist them in fulfilling their responsibilities under the Compulsory Ed Law

Action Pending Index

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEP	ARTMENT		
EDU-13-22-00026-P	03/30/23	Admission Requirements for Graduate-level Teacher and Educational Leadership Programs.	To align such admission requirements with Chapter 630 and 626 of the Laws of 2021.
EDU-13-22-00027-P	03/30/23	Instructional Hour COVID-19 Waiver	To extend the instructional hour COVID-19 waiver to the 2022-23 school year.
EDU-13-22-00028-P	05/23/23	The disability classification "emotional disturbance."	To rename such disability classification to "emotional disability."
EDU-13-22-00029-P	06/15/23	Special education due process system procedures	See attached.
ELECTIONS, STA	TE BOARD OF		
SBE-33-21-00010-P	08/18/22	Public Campaign Finance Program	Implementation of the Public Campaign Finance Program
SBE-39-21-00003-P		Required Debates for Statewide Candidates Participating in the Public Campaign Finance Program	Outlines Debate Requirements for Statewide Candidates Participating in the Public Campaign Finance Program
SBE-46-21-00001-P	11/17/22	Public Campaign Finance Board's Enforcement Procedure	Relates to how the Public Campaign Finance Board will enforce the public campaign finance provisions of the Election Law

ENVIRONMENTAL CONSERVATION, DEPARTMENT OF

ENV-16-21-00012-P	04/21/22	Regulations governing whelk management	To protect immature whelk from harvest and establish gear and reporting rules for marine resource protection and public safety
ENV-22-21-00001-EP	06/02/22	Peekamoose Valley Riparian Corridor	Protect public health, safety, general welfare and natural resources on the Peekamoose Valley Riparian Corridor
ENV-24-21-00008-P	08/17/22	Petroleum Bulk Storage (PBS)	To amend the PBS regulations, 6 NYCRR Part 613
ENV-24-21-00009-P	08/17/22	Chemical Bulk Storage (CBS)	To repeal existing 6 NYCRR Parts 596, 598 ,599 and replace with new Part 598; and amend existing Part 597; for the CBS program
ENV-37-21-00004-P	09/15/22	Deer Hunting	This rulemaking will allow counties to annually, by county law, "opt-out" of the late bow and/or muzzleloader deer seasons
ENV-49-21-00008-EP	12/08/22	Sanitary Condition of Shellfish Lands	To reclassify underwater shellfish lands to protect public health
ENV-51-21-00003-P	04/07/23	Environmental Remediation Programs	To amend 6 NYCRR Part 375, Environmental Remediation Programs.

FINANCIAL SERVICES, DEPARTMENT OF

*DFS-17-16-00003-P .

..... exempt Plan of Conversion by Commercial Travelers Mutual Insurance Company To convert a mutual accident and health insurance company to a stock accident and health insurance company

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action			
FINANCIAL SERVI	FINANCIAL SERVICES, DEPARTMENT OF					
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liabilty Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company			
DFS-42-21-00011-P	10/20/22	DISCLOSURE REQUIREMENTS FOR CERTAIN PROVIDERS OF COMMERCIAL FINANCING TRANSACTIONS	To provide new disclosure rules for small business financings			
DFS-44-21-00015-P	11/03/22	Compliance With Community Reinvestment Act Requirements	To provide new rules concerning data collection on extension of credit to women-owned and minority-owned businesses.			
DFS-47-21-00006-P	11/24/22	Minimum Standards for the Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure	To hold insurers, plans and HMOs responsible for inaccurate provider directory information and replies to insureds' inquiries.			
DFS-50-21-00016-P	12/15/22	Debt Collection by Third-Party Debt Collectors and Debt Buyers	To clarify and modify standards for debt collection practices in New York			
DFS-09-22-00018-P	03/02/23	Minimum Standards for the Form, Content and Sale of Health Insurance, Including Standards of Full and Fair Disclosure	To provide additional minimum standards for the content of health insurance identification cards in accordance with Federal law			
DFS-11-22-00001-P	03/16/23	Separate Accounts and Separate Account Contracts	To establish standards for separate accounts and separate account contracts.			

GAMING COMMISSION, NEW YORK STATE

SGC-02-22-00003-P	01/12/23	Regulation of charitable gaming Internet raffles.	To implement Internet raffles regulation as directed by statute.
SGC-13-22-00001-P	03/30/23	Removing the requirement to couple entries with jockeys with relationships among them or with other participants in the race.	To enhance the integrity and safety of thoroughbred horse racing.
SGC-13-22-00003-P	03/30/23	Regulation of charitable gaming games of chance.	To conform games of chance rules to current statutes and improve operations.
SGC-13-22-00004-P	03/30/23	Regulation of charitable gaming raffles.	To conform raffles rules to current statutes and improve operations.
SGC-13-22-00005-P	03/30/23	Racing license hearing requests and service methods.	To enhance the fairness and efficiency of adjudicatory proceedings.
HEALTH, DEPART	MENT OF		
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-05-21-00011-RP	05/04/22	Ingredient Disclosures for Vapor Products and E-Cigarettes	To provide for enhanced public awareness of the chemicals used in vapor products and electronic cigarettes

revenue on resident-facing staffing.

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HEALTH, DEPART	MENT OF		
HLT-46-21-00007-P	11/17/22	Minimum Staffing Requirements for Nursing Homes	Requiring minimum staffing levels for nursing homes
HLT-50-21-00001-EP	12/15/22	Prevention of COVID-19 Transmission by Covered Entities	To require covered entities to ensure their personnel are fully vaccinated against COVID-19 subject to certain exemptions.
HLT-50-21-00002-EP	12/15/22	Investigation of Communicable Disease; Isolation and Quarantine	Control of communicable disease.
HLT-50-21-00003-EP	12/15/22	Face Coverings for COVID-19 Prevention	To control and promote the control of communicable diseases to reduce their spread.
HLT-50-21-00004-EP	12/15/22	Personal Caregiving and Compassionate Caregiving Visitors in Nursing Homes (NH's) and Adult Care Facilities (ACF's)	To require NH's & ACF's to establish policies & procedures relating to personal caregiving & compassionate caregiving visitors.
HLT-01-22-00004-P	01/05/23	Prescription Refills	Limits Medicaid FFS prescriptions to a maximum of 12 fills within one year from the date the prescriber initiates a prescription
HLT-07-22-00010-P	02/16/23	Clinical Staffing in General Hospitals	Requires general hospitals to have clinical staffing committees and create clinical staffing plans
HLT-07-22-00011-P	02/16/23	Surge and Flex Health Coordination System	Provides authority to the Commissioner to direct certain actions and waive certain regulations in an emergency.
HLT-10-22-00009-P	03/09/23	Updated Retention Standards for Adult Care Facilities	To ensure admission and retention standards for adult care facilities are consistent with the Americans with Disabilities Act.
HLT-12-22-00001-P	03/23/23	Clinical Laboratories and Blood Banks	To allow for remote supervision and updates to provide concordance with NYSED law for qualifications of technical personnel
HLT-12-22-00002-P	03/23/23	Reporting of Acute HIV Infection	To require clinicians to report any case of acute HIV within 24 hours of diagnoses
HLT-12-22-00003-P	03/23/23	Telehealth Services	To ensure continuity of care of telehealth services provided to Medicaid enrollees
HUMAN RIGHTS,	DIVISION OF		
HRT-15-21-00005-RP	07/13/22	Notice of tenants' rights to reasonable modifications and accommodations for persons with disabilities	To comply with the requirements of Executive Law section 170-d
JOINT COMMISSI	ON ON PUBLIC ET	HICS, NEW YORK STATE	
JPE-06-22-00015-EP	02/09/23	Adjudicatory proceedings and appeals procedures for matters under the Commission's jurisdiction	To increase transparency of the Commission
LABOR, DEPARTI	MENT OF		
LAB-34-21-00002-EP	11/17/22	New York Health and Essential Rights Act (NY HERO Act)	Airborne Infectious Disease Exposure Prevention Standard
LAB-51-21-00007-P	02/09/23	Workplace Safety Committees	To comply with Labor Law 27-d(8) which requires that the Department adopt regulations.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LABOR, DEPARTI	IENT OF		
LAB-05-22-00008-EP	02/02/23	Unemployment Insurance Work Search	Permit unemployment claimants to use virtual and electronic methods to engage in systemic and sustained work search efforts.
LAW, DEPARTMEN	NT OF		
LAW-49-21-00016-P	12/08/22	Charities regulatory framework and the use of gendered pronouns therein	Removal of all references to gender pronouns and replacing them with the neutral pronoun, "they" or "their"
LONG ISLAND PO	WER AUTHORITY		
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
*LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory.	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets.
*LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
*LPA-37-20-00013-EP	exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
*LPA-12-21-00011-P	exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input
MENTAL HEALTH,	OFFICE OF		

OMH-33-21-00005-ERP	08/18/22	Establishes Crisis Stabilization Centers.	To establish standards for a Crisis Stabilization Center which provides a full range of psychiatric and substance use services.
OMH-40-21-00007-EP	10/06/22	COVID-19 Masking Program	To implement a COVID-19 mask program

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MENTAL HEALTH,	OFFICE OF		
OMH-43-21-00002-ERP	10/27/22	COVID-19 Vaccination Program	To implement a COVID-19 vaccination program in OMH Operated or Licensed Hospitals
OMH-48-21-00003-EP	12/01/22	Telehealth Expansion.	To establish regulations regarding the expansion of telehealth.
METROPOLITAN T	RANSPORTATION	AGENCY	
MTA-16-21-00004-EP	04/21/22	Requiring mask wearing when using the facilities and conveyances of the MTA and its operating affiliates and subsidiaries	To safeguard the public health and safety by adding a new all-agency rule requiring the use of masks in facilities and conveyances
MOTOR VEHICLES	S, DEPARTMENT O	F	
MTV-09-22-00017-P	03/02/23	Passenger and commercial registrations	Allows a passenger class registration for non- commercially used pickup trucks by removing the truck weight limitation
NIAGARA FALLS	WATER BOARD		
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
NFW-49-21-00010-EP	12/08/22	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders
OGDENSBURG BF	RIDGE AND PORT	AUTHORITY	
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PEOPLE WITH DE	VELOPMENTAL DI	SABILITIES, OFFICE FOR	
PDD-37-21-00001-P	09/15/22	Certified Residential Opportunities	To provide equity in opportunities for certified residential opportunities
PDD-40-21-00002-EP	10/06/22	Mandatory Face Coverings in OPWDD Certified Services	To protect public health
PDD-43-21-00003-ERP	10/27/22	COVID-19 vaccines	To require vaccinations in certain OPWDD settings
PDD-04-22-00007-P	01/26/23	Reportable Incidents	To remove administration of medical cannabis from the list of reportable incidents
PDD-07-22-00004-EP	02/16/23	Certification of the Facility Class Known as Individualized Residential Alternative	To increase IRA capacity in cases of emergent circumstances

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PEOPLE WITH DE		SABILITIES, OFFICE FOR	
PDD-07-22-00005-EP	02/16/23	General Purpose	To increase IRA capacity in cases of emergent circumstances
PDD-09-22-00005-P	03/02/23	Administrative Compensation	To repeal Part 645 as Executive Order 38 has sunset
PDD-10-22-00010-EP	03/09/23	Training Flexibilities	To provide flexibility in training requirements

POWER AUTHORITY OF THE STATE OF NEW YORK

*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service
			tariffs to streamline them/include additional
			required information

PUBLIC SERVICE COMMISSION

*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P	exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement

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PUBLIC SERVICE COMMISSION						
*PSC-14-04-00008-P	exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway			
*PSC-15-04-00022-P	exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY			
*PSC-21-04-00013-P	exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level			
*PSC-22-04-00010-P	exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter			
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues			
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters			
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement			
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement			
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement			
*PSC-28-04-00006-P	exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit			
*PSC-31-04-00023-P	exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form			
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates			
*PSC-35-04-00017-P	exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers			
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable			
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes			
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices			
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors			
*PSC-09-05-00009-P	exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer			

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY- Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs

County during the next 10 years Con Edison's procedure for providing customers access to their account information and consider Con Edison's implementation pla customers access to their account information and consider Con Edison's molecular to their account information "PSC-31-08-00005-P exempt Recovery of reasonable DRS costs from the the CMR To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referal program "PSC-33-08-00008-P exempt Noble Allegany's request for lightened To consider Context for the transfer or the Borough of Manhattan, To consider Noble Allegany's request for lightened regulation "PSC-33-08-00008-P exempt Noble Allegany's request for lightened To consider Noble Allegany's request for lightened regulation "PSC-33-08-00008-P exempt Land Transfer in the Borough of Manhattan, To consider Noble Allegany's request for lightened regulation as an electric corporator regulation PSC-39-08-00010-P exempt RG&E's economic development plan and Consider Mobie Allegany is request for lightened regulation at antifs "PSC-40-08-00010-P exempt Loans from regulated company to its parent To determine if the cash management programetrile scher part should be approved "PSC-41-08-00000-P exempt Annual Recondiliation of Gas Expenses and To determine if the cash management programetrile scher TV subsidiary should be approved "PSC-40-08-00010-P exempt Annual Recondiliation of Gas Expenses and To consider to not of adapt transfer of indirect ownership interests in nuclear generation facilities "PSC-40-08-00010-P exempt Arnual Recondiliation of Gas Expenses and To consider the transfer of the request of indirect ownership interests in nuclear generation facilities "PSC-40-08-00010-P exempt Arnual Reconditiestion facilities "PSC-40-08-00010-P exempt				
"PSC-25-08-00008-P exempt Report on Callable Load Opportunities Fider U report assessing callable load Opportunities "PSC-28-08-00004-P exempt Con Editarity procedure for providing cuting the net TO yeass "PSC-28-08-00004-P exempt Con Editarity procedure for providing cuting the net TO yeass "PSC-31-08-00025-P exempt Recovery of reasonable DRS costs from the Cost mitigation reserve (CMR) To authorize recovery of the DRS costs from the Cost mitigation reserve (CMR) "PSC-32-08-00009-P exempt The ESCO referral program for KEDNV to be To approve, reject or modify, in whole or in implemented by October 1, 2008 To consider Noble Allegary's request for lightened regulation as an electric caponation of the CMR regulation "PSC-33-08-00009-P exempt Noble Allegary's request for lightened regulation for transfer of real progen for KEDNV to be to consider Noble Allegary's request for lightened regulation for transfer of real progen for KEDNVPH "PSC-38-08-00019-P exempt Land Transfer in the Borough of Manhatan, to consider pation for the approval of RG&E's conomic development plan and tarifs "PSC-40-08-00010-P exempt Loans from regulated company to its parent To determine if the cash management progen for KEDNVPH "PSC-40-08-00010-P exempt Transfer of control of cable TV franchise To determine if the cash management program for KEDNVPH "PSC-40-08-00010-P <th>Agency I.D. No.</th> <th>Expires</th> <th>Subject Matter</th> <th>Purpose of Action</th>	Agency I.D. No.	Expires	Subject Matter	Purpose of Action
**PSC-28-08-00004-P exempt Con Edison's procedure for providing customers access to their account information **PSC-28-08-00004-P exempt Recovery of reasonable DRS costs from the cost infigation reserve (DMR) **PSC-38-08-00003-P exempt Recovery of reasonable DRS costs from the cost infigation reserve (DMR) **PSC-38-08-00003-P exempt The ESCO referral program for KEDNY to be program for KEDNY to be program To authorize recovery of the DRS costs from the Cost infigation reserve (DMR) **PSC-38-08-00008-P exempt The ESCO referral program for KEDNY to be program To consider Noble Allegary's request for lightened regulation as an electric corporator regulation **PSC-38-08-00019-P exempt Land Transfer in the Borough of Manhattan, New York To consider petition for transfer of real proper to consider Noble Allegary's request for lightened regulation as an electric corporator regulation of the approval of RG&E's economic development plan and tarifis *PSC-40-08-00010-P exempt Loans from regulated company to its parent To determine if the cash management program resulting for All-All-Record State of the cost recoveries *PSC-40-08-00010-P exempt Annual Reconcillation of Gas Expenses and Gas Cost Recoveries Transfer of control of cable TV franchise To determine if the cash management program of All-Record State of TV subialisation of Gas Cost Recoveries *PSC-40-08-00010-P exempt	PUBLIC SERVICE	COMMISSION		
customers access to their account information and timetable for providing customers access to their account information *PSC-31-08-00025-P exempt Recovery of reasonable DRS costs from the CMR *PSC-32-08-00009-P exempt The ESCO referral program for KEDNY to be implemented by October 1, 2008 To approve, reject or modify, in whole or in preserve (CMR) *PSC-33-08-00008-P exempt Noble Allegany's request for lightened regulation as an exectic corporation *PSC-36-08-00019-P exempt Noble Allegany's request for lightened regulation as an electric corporation *PSC-39-08-00010-P exempt RG&E's economic development plan and traffs *PSC-40-08-00010-P exempt Loans from regulated company to its parent To determine if the cash management program resulting in loans to the parent should be approved *PSC-41-08-00009-P exempt Transfer of control of cable TV franchise To determine if the transfer of control of Margaretwile's cable TV subsidiary should be approved *PSC-46-08-00010-P exempt Transfer of control of cable TV franchise To determine if the transfer of control of Margaretwile's cable TV subsidiary should be approved *PSC-41-08-00009-P exempt Annual Reconciliation of Gas Expenses and Gas Cost Recoveries To consider a patilion for the transfer of state transfer of target or indiffect ownership intherests in nuclear generation facilities	*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	opportunities in New York City and Westchester
cost miligation reserve (CMR) the CMR *PSC-32-08-00009-P	*PSC-28-08-00004-P	exempt		To consider Con Edison's implementation plan and timetable for providing customers access to their account information
implemented by October 1, 2008 part, REDNY's recommended ESCO referral program *PSC-33-08-00008-P exempt Noble Allegany's request for lightened To consider Noble Allegany's request for lightened regulation as an electric corporation *PSC-36-08-00019-P exempt Land Transfer in the Borough of Manhattan, New York To consider petition for transfer of real proper to NYPH *PSC-30-08-00010-P exempt RG&E's economic development plan and tariffs Consideration of the approval of RG&E's economic development plan and tariffs *PSC-40-08-00010-P exempt Loans from regulated company to its parent To determine if the transfer of control of many to its parent *PSC-41-08-00009-P exempt Transfer of control of cable TV franchise To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved *PSC-46-08-00008-P exempt Annual Reconcliliation of Gas Expenses and Gas Cost Recoveries To consider a petition for the transfer of the transfer of lightened equipment to the Village of Avon, New York *PSC-46-08-00008-P exempt Atransfer of indirect ownership interests in nuclear generation facilities To consider a petition for the transfer of indirect ownership interests in nuclear generation facilities *PSC-46-08-00008-P exempt A transfer of indirect ownership interests in nuclear generation facilities To approve, reject or modify the request of a	*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	2
regulation lightened regulation as an electric corporation *PSC-36-08-00019-P exempt Land Transfer in the Borough of Manhattan, New York To consider petition for transfer of real proper to NYPH *PSC-39-08-00010-P exempt RG&E's economic development plan and Lariffs Consideration of the approval of RG&E's economic development plan and tariffs *PSC-40-08-00010-P exempt Loans from regulated company to its parent To determine if the transfer of control of margarefulied cable TV subsidiary should be approved *PSC-41-08-00009-P exempt Transfer of control of cable TV franchise To determine if the transfer of control of Margarefulied cable TV subsidiary should be approved *PSC-43-08-00014-P exempt Annual Reconciliation of Gas Expenses and Gas Cost Recoveries The filings of various LDCs and municipalitie reguranting their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries *PSC-46-08-00008-P exempt Property transfer in the Village of Avon, New York To consider a petition for the transfer of stree lighting and attached equipment to the Village of Avon, New York *PSC-46-08-00010-P exempt A transfer of indirect ownership interests in nuclear generation facilities Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities *PSC-46-08-00010-P exempt The attachement of cellular antennae to an electric transmission tower <td>*PSC-32-08-00009-P</td> <td> exempt</td> <td></td> <td>part, KEDNY's recommended ESCO referral</td>	*PSC-32-08-00009-P	exempt		part, KEDNY's recommended ESCO referral
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tariffs economic development plan and tariffs *PSC-40-08-00010-P	*PSC-36-08-00019-P	exempt		To consider petition for transfer of real property to NYPH
*PSC-41-08-00009-P exempt Transfer of control of cable TV franchise To determine if the transfer of control of Margaretivilie's cable TV subsidiary should be approved *PSC-43-08-00014-P exempt Annual Reconciliation of Gas Expenses and Gas Cost Recoveries The filings of various LDCs and municipalitier regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries *PSC-46-08-00008-P exempt Property transfer in the Village of Avon, New York To consider a petition for the transfer of stree lighting and attached equipment to the Village of Avon, New York *PSC-46-08-00010-P exempt A transfer of indirect ownership interests in nuclear generation facilities Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities *PSC-46-08-00010-P exempt The attachment of cellular antennae to an electric transmission tower To approve, reject or modify the request for permission to tatch cellular antennae to an electric transmission tower *PSC-48-08-00005-P exempt A National Grid high efficiency gas heating from oil to natural gas To consider the request of Bay City Metering to master metering and submetering of electricity *PSC-48-08-00008-P exempt Petition for the master metering and submetering of electricity To consider the request of Bay City Metering to master meter & submeter electricity at 345 E. 81st St., New York, New York *PSC-48-08-00009-P exempt Petition for	*PSC-39-08-00010-P	exempt		
*PSC-43-08-00014-P	*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	
Gas Cost Recoveries regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries *PSC-46-08-00008-P	*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	Margaretville's cable TV subsidiary should be
York lighting and attached equipment to the Village of Avon, New York *PSC-46-08-00010-P	*PSC-43-08-00014-P	exempt		
*PSC-46-08-00014-P	*PSC-46-08-00008-P	exempt		To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
electric transmission tower permission to attach cellular antennae to an electric transmission tower *PSC-48-08-00005-P	*PSC-46-08-00010-P	exempt		indirect ownership interests in nuclear
*PSC-48-08-00008-P	*PSC-46-08-00014-P	exempt		permission to attach cellular antennae to an
*PSC-48-08-00009-P exempt Petition for the submetering of electricity at 345 E. 81st St., New York, New York *PSC-50-08-00018-P exempt Market Supply Charge A study on the implementation of a revised	*PSC-48-08-00005-P	exempt		
*PSC-50-08-00018-P exempt Market Supply Charge A study on the implementation of a revised	*PSC-48-08-00008-P	exempt		To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
	*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
	*PSC-50-08-00018-P	exempt	Market Supply Charge	

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	E COMMISSION		
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york I.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer- generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of- way management practices
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE			
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three- phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation

*PSC-19-11-00007-P exempt Utility price reporting requirements related to the Commission's utility electric commolity price reporting requirements related to the "Power to Choose" website Modify the Commission's utility electric commolity price reporting requirements related to the "Power to Choose" website *PSC-20-11-00012-P exempt Petition for the submetering of electricity at 122 West Street, Brooking, New York *PSC-20-11-00013-P exempt Determining the reascondenease of Nagara Mohawe Power Compation data National Grid are reasonable *PSC-22-11-00004-P exempt Whether to partitithe use of the Sensus acc/WAVE Grid use in residential gas meter applications *PSC-26-11-00007-P exempt Water rates and charges To approve an increase in annual revenues acc/WAVE Grid use in residential gas meter applications *PSC-26-11-00007-P exempt Petition for the submetering of electricity at To consider the request of by Hoosick Rive Avenue, in Berlin, New York *PSC-26-11-00007-P exempt Petition for the submetering of electricity at To consider the request of by Hoosick Rive Avenue, in Berlin, New York *PSC-26-11-00012-P exempt Petition for the submetering of electricity at To consider the request of by Hoosick Rive Avenue, in Berlin, New York *PSC-26-11-00012-P exempt Petition requirements To consider the request of by Hoosick Rive Tagy To Avenue, in Petiton requirements *PSC-26-11-00012-P<	8			
PSC-14-11-00009-P exempt Petition for the submetering of electricity To consider the request of 83-30 118th Street Kew Galdon, New York PSC-19-11-00007-P exempt Utility price reporting requirements related to the Commission's "Power to Choose" website Modify the Commission's utility electric to the "Power to Choose" website *PSC-20-11-00012-P exempt Petition for the submetering of electricity To consider the request of KMW Group LL *PSC-20-11-00013-P exempt Determining the reasonableness of Niagara Mohawk Power Corporation db/a National Grid are reasonable To determine if the make ready charges *PSC-22-11-00004-P exempt Whether to permit the use of the Sensus acc/WVE for use in residential gas meter To approve an increase in annual revenues applications. *PSC-26-11-00007-P exempt Water rates and charges To approve an increase in annual revenues about 252.29 or 50%. *PSC-26-11-00007-P exempt Petition for the submetering of electricity at consider the request of the softward strest applications. *PSC-26-11-00012-P exempt Petition for the submetering of electricity at consider the request of peneration requirements related to inspect on position and relations of the softward strest. *PSC-26-11-00012-P exempt Petition for the submetering of electricity at consider the request of peneration requirements relating to strest. *PSC-28-1	Agency I.D. No.	Expires	Subject Matter	Purpose of Action
 PSC-19-11-00007-P exempt Utility price reporting requirements related to the Commission's "Power to Choose" website PSC-20-11-00012-P exempt Petrion for the submetering of electricity To consider the request of KMW Group LL submeter electricity at 122 Vest Street, Brookin, New York PSC-20-11-00013-P exempt Determining the reasonableness of Niagara Mohaw Power Corporation advances of Niagara Mohaw Power Corporation ad	PUBLIC SERVICE	COMMISSION		
the Commission's "Power to Choose" website commodity proceeponting requirements reliver website 'PSC-20-11-00012-P exempt Petition for the submetering of electricity To consider the request of KMW Group LL submeter identicity at 122 West Street, Brookyn, New York 'PSC-20-11-00013-P exempt Determining the reasonableness of Niagara Mohawk Power Corporation db/a National Grid are reasonable 'PSC-22-11-00004-P exempt Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications To permit gas utilities in New York State to the Sensus accWAVE diaphragm gas mete about \$25,266 or 50%. 'PSC-26-11-00007-P exempt Water rates and charges To approve an increase in annual revenues about \$25,266 or 50%. 'PSC-26-11-00009-P exempt Petition for the submetering of electricity at consider the request of by Hoosick Rive requirements 'PSC-26-11-00012-P exempt Petition requesting the Commission reconsider To consider the requirements 'PSC-28-11-00011-P exempt Patition requesting the Commission regulations Par 226.8 To consider the requirements 'PSC-38-11-00011-P exempt Patition requesting the Commission regulations Par 226.8 To consider the requirements 'PSC-38-11-00001-P exempt Patition requesting mobile stray voltage Adopt additional mobile stray voltage testing requirements <td>*PSC-14-11-00009-P</td> <td> exempt</td> <td>Petition for the submetering of electricity</td> <td>To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York</td>	*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
 submeter electricity at 122 West Street, Brooklyn, New York *PSC-20-11-00013-P exempt PSC-22-11-00004-P exempt Whether to permit the use of the Sensus accWVE for use in residential gas meter applications *PSC-26-11-00007-P exempt Whether to permit the use of the Sensus accWVE for use in residential gas meter applications *PSC-26-11-00007-P exempt Water rates and charges *PSC-26-11-00009-P exempt Pettion for the submetering of electricity at commercial property *PSC-26-11-00012-P exempt Waiver of generation retirement notice requirements *PSC-26-11-00012-P exempt Waiver of generation retirement notice requirements *PSC-26-11-00011-P exempt Pettion requesting the Commission reconder its May 19, 2011 Order and conduct a hearing, and pettion to stay said Order. *PSC-36-11-00011-P exempt Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8 PSC-36-11-00011-P exempt Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8 Permit Consolidated Edison to conduct a inspecton program in lieu of testing the consideration and Rehearing. *PSC-38-11-00003-P exempt Yesc-38-11-00004-P exempt Yesc-38-11-00	*PSC-19-11-00007-P	exempt		commodity price reporting requirements related
Mohawk Pöwer Corporation d/b/a National Grid 's make ready charges National Grid are reasonable *PSC-22-11-00004-P	*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	
accWAVE for use in residential gas meter applications the Sensus accWAVE diaphragm gas meter applications *PSC-26-11-00007-P exempt Water rates and charges To approve an increase in annual revenues about \$25,266 or 50% *PSC-26-11-00009-P exempt Petition for the submetering of electricity at commercial property To consider the request of by Hoosick Rive Hardwoods, LLC to submeter electricity at Taylor Avenue, in Berlin, New York *PSC-26-11-00012-P exempt Petition requesting the Commission reconsider requirements To consider the request of by Hoosick Rive Hardwoods, LLC to submeter electricity at Taylor Avenue, in Berlin, New York *PSC-29-11-00011-P exempt Petition requesting the Commission reconsider thearing, and petition to stay said Order. To consider whether to grant or deny, in with recurrements *PSC-35-11-00011-P exempt Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8 Permit Consolidated Edison a requirements *PSC-36-11-00006-P exempt To consider expanding mobile stray voltage testing requirements Adopt additional mobile stray voltage testin requirements *PSC-38-11-00002-P exempt Portation and maintenance procedures pertaining to steam trap caps Adopt modified steam operation and maintenance procedures *PSC-40-11-00010-P exempt Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE) </td <td>*PSC-20-11-00013-P</td> <td> exempt</td> <td>Mohawk Power Corporation d/b/a National</td> <td></td>	*PSC-20-11-00013-P	exempt	Mohawk Power Corporation d/b/a National	
************************************	*PSC-22-11-00004-P	exempt	accWAVE for use in residential gas meter	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
commercial property Hardwoods, LLC to submeter electricity at Taylor Avenue, in Berlin, New York "PSC-26-11-00012-P exempt Waiver of generation retirement notice requirements Consideration of waiver of generation retirement notice requirements "PSC-29-11-00011-P exempt Petition requesting the Commssion reconsider in part, Windstream New York's Petition requirements To consider whether to grant or deny, in w/ or in part, Windstream New York's Petition Reconsideration and Rehearing. "PSC-36-11-00011-P exempt Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8 Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters "PSC-36-11-00006-P exempt To consider expanding mobile stray voltage testin requirements Adopt additional mobile stray voltage testin requirements "PSC-38-11-00002-P exempt Operation and maintenance procedures pertaining to steam trap caps Adopt additional mobile stray voltage testin requirements "PSC-38-11-00003-P exempt Waiver of certain provisions of the electric service tariffs of Con Edison Whether to partially modify its order requiring to steam require provision the electric service tariffs of Con Edison "PSC-40-11-00012-P exempt Granting of transfer of plant in-service to a regulated local exchange, Inc. (NYDE) To approve transfer and recovery of unamortized plant investment "PSC-42-11-00	*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
requirements retirement notice requirements *PSC-29-11-00011-P	*PSC-26-11-00009-P	exempt		To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
 its May 19, 2011 Order and conduct a hearing, and petition to stay said Order. *PSC-35-11-00011-P 	*PSC-26-11-00012-P	exempt		
waiver to commission regulations Part 226.8 inspection program in lieu of testing the accuracy of Category C meters *PSC-36-11-00006-P	*PSC-29-11-00011-P	exempt	its May 19, 2011 Order and conduct a	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-38-11-00002-P	*PSC-35-11-00011-P	exempt		inspection program in lieu of testing the
*PSC-38-11-00003-P	*PSC-36-11-00006-P	exempt		Adopt additional mobile stray voltage testing requirements
*PSC-40-11-00010-P	*PSC-38-11-00002-P	exempt		
*PSC-40-11-00012-P	*PSC-38-11-00003-P	exempt		Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-42-11-00018-P	*PSC-40-11-00010-P	exempt	carriers in the New York Data Exchange, Inc.	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-43-11-00012-P exempt Transfer of outstanding shares of stock of The Meadows at Hyde Park Water-Work	*PSC-40-11-00012-P	exempt		
of The Meadows at Hyde Park Water-Work	*PSC-42-11-00018-P	exempt		availability of affordable telecommunications
	*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC

*PSC-48-11-00007-P	exempt	Subject Matter Remedying miscalculations of delivered gas as between two customer classes Transfer of controlling interests in generation facilities from Dynegy to PSEG Petition for the submetering of electricity	Purpose of Action Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-47-11-00007-P *PSC-48-11-00007-P	exempt	as between two customer classes Transfer of controlling interests in generation facilities from Dynegy to PSEG	address inter-class delivery imbalances resulting from past Company miscalculations Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00007-P	exempt	as between two customer classes Transfer of controlling interests in generation facilities from Dynegy to PSEG	address inter-class delivery imbalances resulting from past Company miscalculations Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
	exempt	facilities from Dynegy to PSEG	interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P		Petition for the submetering of electricity	
	-		To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long- term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	exempt	Conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE			
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudsor to defer reporting obligations of the October 18 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P	exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P	exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P	exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P	exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P	exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P	exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P	exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-38-14-00005-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P	exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P	exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P	exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P	exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.

Agency I.D. No. PUBLIC SERVICE (*PSC-52-14-00019-P	Expires COMMISSION exempt	Subject Matter	Purpose of Action
*PSC-52-14-00019-P	exempt		
		Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY
*PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long- term debt of \$2.75 million
*PSC-18-15-00005-P	exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-29-15-00025-P	exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P	exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P	exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P	exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P	exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00011-P	exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P	exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P	exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-04-16-00012-P	exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P	exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P	exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P	exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P	exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00014-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P	exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P	exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P	exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P	exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P	exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P	exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P	exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P	exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P	exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-28-16-00017-P	exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P	exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P	exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit- cost evaluation.
*PSC-33-16-00001-EP	exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P	exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P	exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P	exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P	exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P	exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-18-17-00024-P	exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P	exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P	exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payour losses incurred in 2016.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-20-17-00008-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P	exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P	exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.
*PSC-22-17-00004-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P	exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P	exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-39-17-00011-P	exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-42-17-00010-P	exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P	exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P	exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P	exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P	exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-51-17-00011-P	exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-04-18-00005-P	exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity.
*PSC-05-18-00004-P	exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero- emission electric energy resources.
*PSC-06-18-00012-P	exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P	exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P	exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P	exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P	exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P	exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P	exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	exempt	Whether to impose consequences on Aspirity for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-24-18-00013-P	exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero- emission electric energy resources.
*PSC-28-18-00011-P	exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
*PSC-29-18-00008-P	exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low- income consumers
*PSC-29-18-00009-P	exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
*PSC-34-18-00016-P	exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-35-18-00003-P	exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00005-P	exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
*PSC-35-18-00006-P	exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00008-P	exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
*PSC-35-18-00010-P	exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
*PSC-39-18-00005-P	exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low- income customers.
*PSC-40-18-00014-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
*PSC-42-18-00011-P	exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
*PSC-42-18-00013-P	exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.
*PSC-44-18-00016-P	exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
*PSC-45-18-00005-P	exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-01-19-00013-P	exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.
*PSC-03-19-00002-P	exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
*PSC-04-19-00004-P	exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
*PSC-04-19-00011-P	exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
*PSC-06-19-00005-P	exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-07-19-00009-P	exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-07-19-00016-P	exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low- income customers.
*PSC-09-19-00010-P	exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
*PSC-12-19-00004-P	exempt	To test innovative pricing proposals on an opt- out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
*PSC-13-19-00010-P	exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
*PSC-19-19-00013-P	exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.
*PSC-20-19-00008-P	exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P	exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-31-19-00013-P	exempt	Implementation of Statewide Energy Benchmarking.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-32-19-00012-P	exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
*PSC-41-19-00003-P	exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges.	To provide qualifying residential customers with an optional three-part rate.
*PSC-44-19-00003-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00005-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00006-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00007-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-44-19-00009-P	exempt	Proposed revisions to Standby Service Rates and Buyback Service Rates.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-46-19-00008-P	exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York.	To promote and maintain renewable electric energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-46-19-00010-P	exempt	To test innovative rate designs on an opt-out basis.	To implement alternative innovative rate designs intended to assess customer behaviors in response to price signals
*PSC-08-20-00003-P	exempt	PSC regulation 16 NYCRR § § 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
*PSC-10-20-00003-P	exempt	The Commission's statewide low-income discount policy.	To consider modifications to certain conditions regarding utility low-income discount programs.
*PSC-12-20-00008-P	exempt	Delivery rates of Corning Natural Gas Corporation.	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020.
*PSC-15-20-00011-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators.
*PSC-16-20-00004-P	exempt	Disposition of a state sales tax refund.	To determine how much of a state sales tax refund should be retained by Central Hudson.
*PSC-18-20-00012-P	exempt	The purchase price of electric energy and capacity from customers with qualifying on- site generation facilities.	To revise the price to be paid by the Company under Service Classification No. 10. for qualifying purchases of unforced capacity
*PSC-18-20-00015-P	exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program.	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program.
*PSC-19-20-00004-P	exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements.
*PSC-19-20-00005-P	exempt	Cost recovery associated with Day-Ahead- DLM and Auto-DLM programs, and elimination of double compensation.	To provide cost recovery for new DLM programs and prevent double compensation to participating customers.
*PSC-19-20-00009-P	exempt	Cost recovery associated with Day-Ahead- DLM and Auto-DLM programs, and elimination of double compensation.	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity.
*PSC-25-20-00010-P	exempt	Whitepaper regarding energy service company financial assurance requirements.	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies.
*PSC-25-20-00016-P	exempt	Modifications to the Low-Income Affordability program.	To address the economic impacts of the COVID-19 pandemic.
*PSC-27-20-00003-P	exempt	To make the uniform statewide customer satisfaction survey permanent.	To encourage consumer protections and safe and adequate service.
*PSC-28-20-00022-P	exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
*PSC-28-20-00034-P	exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
*PSC-34-20-00005-P	exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers.	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE			
*PSC-38-20-00004-P	exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
*PSC-42-20-00008-P	exempt	Availability of gas leak information to the public safety officials.	Facilitate availability of gas leak information to public safety officials by gas corporations.
*PSC-43-20-00003-P	exempt	The use of \$50 million to support residential and commercial customers experiencing financial hardship	To consider whether the proposed support of ratepayers is in the public interest
*PSC-45-20-00003-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-46-20-00005-P	exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service.	To determine if approving the DPS Staff's recommendations is in the public interest.
*PSC-48-20-00005-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers.
*PSC-48-20-00007-P	exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-51-20-00007-P	exempt	Whitepaper on the ACOS method used by utilities in developing Standby and Buyback Service rates.	To standardize the utility ACOS methods and resulting rates, and to enable stand-alone energy storage systems.
*PSC-51-20-00009-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers.
*PSC-51-20-00014-P	exempt	Electric system needs and compensation for distributed energy resources.	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources.
*PSC-01-21-00004-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers.
*PSC-02-21-00006-P	exempt	Disposition of a sales tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
*PSC-04-21-00016-P	exempt	Request for a waiver.	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement.
*PSC-06-21-00009-P	exempt	Disposition of a property tax refund received by New York American Water, Inc.	To determine the disposition of tax refunds and other related matters.
*PSC-09-21-00002-P	exempt	Gas moratorium procedures	To consider procedures and criteria to minimize customer hardships in the unlikely event of a future gas moratorium
*PSC-09-21-00005-P	exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-09-21-00006-P	exempt	Long-term gas system planning.	To consider a process to review gas distribution utilities' long-term system planning.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-13-21-00016-P	exempt	Revised distribution strategies and reallocation of remaining funding.	To ensure the appropriate use of funding reserved for gas safety programs.
PSC-14-21-00003-RP	04/07/22	More specific requirements for Operator Qualification to work on pipelines. Allows applications for "special permits."	To make the provision of natural gas service safer in New York State with better qualified pipeline workers.
PSC-16-21-00006-P	exempt	The appropriate level of community credit capacity for distributed energy generation projects in the territory.	Consideration of an increase in the community credit capacity for distributed generation projects in the territory.
PSC-16-21-00007-P	exempt	Accounting-related rules for utilities implementing the Integrated Energy Data Resource.	To consider cost recovery of capital expenditures and budget allocations of costs between affiliated companies.
PSC-17-21-00005-P	exempt	Submetering equipment.	To consider use of submetering equipment and if it is in the public interest.
PSC-17-21-00006-P	exempt	Community Choice Aggregation and Community Distributed Generation.	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation.
PSC-17-21-00007-P	exempt	Utility studies of climate change vulnerabilities.	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities.
PSC-18-21-00004-P	exempt	Community Choice Aggregation programs.	To modify and improve Community Choice Aggregation programs in New York State.
PSC-18-21-00006-P	exempt	Community Choice Aggregation renewable products.	To consider waiving the locational and delivery requirements for RECs purchased to support renewable CCA products.
PSC-18-21-00008-P	exempt	RG&E's Economic Development Programs and exemption from funding limits.	To consider RG&E to grant up to \$5.25 million in ED funding to Project Block to the benefit of ratepayers.
PSC-19-21-00008-P	exempt	Community Choice Aggregation (CCA) and Community Distributed Generation (CDG).	To consider permitting Upstate Power, LLC to serve as a CCA administrator offering an opt- out CDG focused program.
PSC-19-21-00009-P	exempt	Major electric rate filing.	To consider an increase in O&R's electric delivery revenues.
PSC-19-21-00012-P	exempt	Major gas rate filing.	To consider an increase in O&R's gas delivery revenues.
PSC-20-21-00004-P	exempt	Regulatory approvals in connection with a 437 MW electric generating facility.	To ensure appropriate regulatory review, oversight, and action, consistent with the public interest.
PSC-21-21-00012-P	exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-21-21-00015-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-21-21-00019-P	exempt	Utility capital expenditure proposal.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	E COMMISSION		
PSC-22-21-00008-P	exempt	Cost allocation for project(s) to meet a Public Policy Transmission Need/Public Policy Requirement.	To address the cost allocation methodology for use by the New York Independent System Operator, Inc. (NYISO).
PSC-25-21-00005-P	exempt	Transfer of Penelec assets and franchise rights.	To consider the transfer of utility assets and franchise to be in Waverly ratepayer and public interest.
PSC-26-21-00010-P	exempt	Proposed acquisition of all shares of common stock of Corning Natural Gas Holding Corporation by ACP Crotona Corp.	To consider whether the acquisition of all shares of common stock of CNGH by ACP Crotona Corp. is in the public interest.
PSC-26-21-00011-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-28-21-00012-P	exempt	Transfer of ownership interests in a 55 megawatt natural gas-fired cogeneration facility located in North Tonawanda, NY.	To address the proposed transfer and any matters within the public interest.
PSC-28-21-00013-P	exempt	Elimination of internal audits of wholesale performance metrics.	To consider Verizon New York Inc.'s petition to eliminate requirements for certain internal audits.
PSC-28-21-00015-P	exempt	Proposals for active and passive managed charging programs for mass market EV customers.	To shift EV charging to moderate grid impacts and customer costs.
PSC-29-21-00004-P	exempt	Exemptions from utility standby rates for efficient combined heat and power projects.	To determine whether utility standby rate exemptions should be continued.
PSC-29-21-00009-P	exempt	Proposed pilot program to use AMI to disconnect electric service to customers during gas system emergencies.	To study the efficacy of using AMI to disconnect electric service during gas system emergencies.
PSC-30-21-00006-P	exempt	NYSERDA proposal regarding Clean Energy Standard backstop collection processes.	To ensure that NYSERDA has sufficient funds to make timely payments to generators pursuant to the Clean Energy Standard.
PSC-32-21-00002-P	exempt	The prohibition on ESCO service to low- income customers.	To consider whether Icon Energy, LLC d/b/a Source Power Company should be granted a waiver to serve low-income customers.
PSC-32-21-00003-P	exempt	Exemptions from utility standby rates for certain designated or environmentally advantageous technologies.	To harmonize standby rate exemptions statewide.
PSC-33-21-00008-P	exempt	Establishment of a Tapping and Connection Fee.	To consider whether the proposed fees are in the public interest.
PSC-33-21-00009-P	exempt	Banking of credits and switching between Community Distributed Generation and Remote Crediting projects.	To ensure just and reasonable rates charged to customers.
PSC-34-21-00004-P	exempt	CDG subscriber eligibility requirements.	To consider modifications to the CDG program eligibility requirements for certain Standby Service customers.
PSC-34-21-00006-P	exempt	Staff recommendations to address the financial impacts of the COVID-19 pandemic.	To consider measures to provide relief to those financially impacted by the COVID-19 pandemic.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-35-21-00004-P	exempt	Major gas rate filing.	To consider a proposed increase in Corning's gas delivery revenues of approximately \$5.8 million (20.4% in total revenues).
PSC-35-21-00009-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators.	To provide clarity and uniformity to the provision of gas service to electric generators in New York State.
PSC-36-21-00006-P	exempt	The Westchester Power Program.	To consider integration of Opt-out Community Distributed Generation into the Westchester Power program.
PSC-36-21-00007-P	exempt	Pension settlement payout losses incurred in 2020.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2020.
PSC-37-21-00009-P	exempt	Procedures necessary to implement Tax Law Section 187-q.	To establish procedures by which eligible utility- taxpayers can have the amounts of certain waived customer arrears certified.
PSC-37-21-00010-P	exempt	Zero emitting electric generating facilities that are not renewable energy systems.	To consider modifications to the Clean Energy Standard.
PSC-37-21-00011-P	exempt	Green Button Connect implementation.	To consider the proposed Green Button Connect User Agreement and Green Button Connect Onboarding Process document.
PSC-37-21-00012-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether Catalyst should be permitted to offer its Community Distributed Generation product to mass market customers.
PSC-37-21-00014-P	exempt	Consideration of Time Warner Cable Information Services (New York)'s Revised Implementation Plan and audit recommendations.	To ensure that recommendations issued in a management and operations audit are appropriately addressed and implemented.
PSC-38-21-00006-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
PSC-38-21-00007-P	exempt	Electric metering equipment.	To consider use of electric submeter and ensure that consumer bills will be based on accurate measurements of electric usage.
PSC-39-21-00006-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-39-21-00007-P	exempt	The proposed alternative method of account identification.	To facilitate secure customer data exchanges between the utility or provider and energy service entities.
PSC-40-21-00017-P	exempt	The Commission's Order Adopting Utility Energy Registry Modifications	To determine if the Commission committed errors of law or fact in its Order, or if new facts warrant a different result.
PSC-40-21-00021-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-43-21-00007-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates.

Agency I.D. No.	Expires	0.11.11.11	
	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-43-21-00008-P	exempt	Incremental demand side management programs.	To consider proposed demand side management programs and cost recovery.
PSC-44-21-00010-P	exempt	Petition to enter a long term loan agreement and to institute a surcharge for recovery.	To determine if the issuance of long term debt and a surcharge mechanism for recovery is in the public interest.
PSC-44-21-00012-P	exempt	Disposition of a New York State tax refund.	To determine the disposition of a tax refund obtained by New York American Water Company, Inc.
PSC-44-21-00014-P	exempt	Development of distribution and local transmission in accordance with the AREGCB Act.	To support distribution and local transmission investments necessary to achieve the the State's climate goals.
PSC-46-21-00013-P	exempt	ESCO Eligibility	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
PSC-46-21-00014-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-47-21-00003-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated.	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access.
PSC-47-21-00004-P	exempt	Proposed modifications to CDRP.	To consider revisions to CDRP, as well as conforming tariff revisions.
PSC-47-21-00005-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated.	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access.
PSC-48-21-00007-P	exempt	Verizon's Performance Assurance Plan.	To consider whether to retire the Performance Assurance Plan.
PSC-49-21-00011-P	exempt	Amendments to the SIR.	To more effectively interconnect distributed generation and energy storage systems 5 MW or less to the distribution system.
PSC-49-21-00012-P	exempt	Hosting capacity maps at investor-owned electric utilities.	To provide more useful information about the distribution system's hosting capacity.
PSC-49-21-00013-P	exempt	Amendments to the SIR and funding mechanisms.	To more equitably share costs among distributed generation and energy storage projects that require capital upgrades.
PSC-49-21-00014-P	exempt	A Tier 4 renewable energy certificate contract.	To increase renewable generation in New York city.
PSC-49-21-00015-P	exempt	A Tier 4 renewable energy certificate contract.	To increase renewable generation in New York city.
PSC-50-21-00005-P	exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-50-21-00006-P	exempt	Implementation of the Host Community Benefit Program.	To consider the proposed administration and implementation related to disbursement of customer bill credits.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-50-21-00008-P	exempt	Implementation of the Host Community Benefit Program.	To consider the proposed administration and implementation related to disbursement of customer bill credits.
PSC-50-21-00010-P	exempt	New York City's proposal to procure Tier 4 Renewable Energy Certificates.	To modify load serving entity compliance obligations under the Clean Energy Standard.
PSC-50-21-00011-P	exempt	Implementation of the Host Community Benefit Program.	To consider the proposed administration and implementation related to disbursement of customer bill credits.
PSC-50-21-00012-P	exempt	Implementation of the Host Community Benefit Program.	To consider the proposed administration and implementation related to disbursement of customer bill credits.
PSC-50-21-00013-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-50-21-00014-P	exempt	Submetering of electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency measures are in place.
PSC-51-21-00008-P	exempt	Distribution level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00009-P	exempt	Distribution-level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00010-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-51-21-00011-P	exempt	Distribution-level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00012-P	exempt	Distribution-level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00013-P	exempt	Distribution-level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00014-P	exempt	Distribution level demand response programs	More efficient demand response programs to gain operational efficiency and shave peak demand
PSC-51-21-00015-P	exempt	PSC Regulation 16 NYCRR 86.3(b)(2), and 88.4(a)(4)	To consider the Applicants requests relating to the content of their application for transmission line siting
PSC-51-21-00016-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-52-21-00006-P	exempt	Proposed tariff revisions to the Companies firm demand response programs for the 2021-2022 season.	To effectuate more efficient firm gas demand response programs to gain operational efficiency and shave peak demand.

Agency I.D. No. PUBLIC SERVICE CO PSC-52-21-00007-P		Subject Matter	Purpose of Action
PSC-52-21-00007-P .	tamexe		
	F	Clean Energy Standard Tier 1 Load Serving Entity Obligations.	To modify the Tier 1 Load Serving Entity obligations for compliance year 2023 and establish the obligation for year 2024.
PSC-52-21-00008-P .	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-52-21-00009-P .	exempt	Authorization to recover costs for 19 transmission projects and related mechanisms.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-52-21-00010-P .	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-01-22-00007-P .	exempt	Amendments to the SIR.	To more effectively interconnect distributed generation and energy storage systems 5 MW or less to the distribution system.
PSC-01-22-00008-P .	exempt	Proposed transfer of the Company's capital stock to the Purchaser.	To determine if transfer of the Company's capital stock to the Purchaser is in the public interest.
PSC-01-22-00009-P .	exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-01-22-00010-P .	exempt	Compensation of and incentives for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-01-22-00011-P .	exempt	Waiver of Article VII requirements.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-01-22-00012-P .	exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-01-22-00013-P .	exempt	Interconnection costs.	To consider a petition requesting relief from interconnection costs assigned by the interconnecting utility.
PSC-01-22-00014-P .	exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-01-22-00015-P .	exempt	Petition to enter into a lease agreement and impose a surcharge.	To consider entry into a lease agreement and to impose a surcharge.
PSC-01-22-00016-P .	exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-01-22-00017-P .	exempt	Establishment of the regulatory regime applicable to a renewable natural gas project.	To ensure appropriate regulation of a new gas corporation.
PSC-01-22-00018-P .	exempt	The New York State Reliability Council's establishment of an Installed Reserve Margin of 19.6%	To ensure adequate levels of Installed Capacity.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	E COMMISSION		
PSC-02-22-00004-P	exempt	Electric system needs and compensation for distributed energy resources.	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources.
PSC-02-22-00005-P	exempt	The electric utilities' 2022 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2022 Electric Emergency Response Plans.
PSC-02-22-00006-P	exempt	Green gas products.	To consider whether to extend the waiver permitting Family Energy, Inc. to serve existing customers on a green gas product.
PSC-02-22-00007-P	exempt	Proposed changes to Rider Z - SC 1 Innovative Pricing Pilot and Rider AA - SC 2 Innovative Pricing Pilot.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-03-22-00003-P	exempt	Proposal by electric utilities on a revised benefit cost analysis method.	To support distribution and local transmission investments necessary to achieve the the State's clean energy and climate goals.
PSC-03-22-00004-P	exempt	Proposal by electric utilities on a coordinated electric grid planning process.	To support distribution and local transmission investments necessary to achieve the the State's clean energy and climate goals.
PSC-04-22-00002-P	exempt	Con Edison's petition for specific non-pipeline alternative projects.	To provide for continued service reliability and to meet customer energy needs while addressing greenhouse gas reduction goals.
PSC-04-22-00003-P	exempt	Proposed sale of real property.	To determine if the proposed sale of real property is in the public interest.
PSC-04-22-00004-P	exempt	Extension of the State Universal Service Fund.	To continue to provide universal service at a reasonable rate in certain service territories.
PSC-04-22-00005-P	exempt	Petition to continue development and recover the costs of 23 local transmission projects.	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.
PSC-04-22-00006-P	exempt	Area code overlay as relief of the exhausting 845 area code.	To ensure performance in accordance with applicable telecommunications laws, regulations and standards and the public interest.
PSC-05-22-00001-P	exempt	Green gas products.	To consider an extension of the waiver permitting energy service companies to serve existing customers on green gas products.
PSC-05-22-00002-P	exempt	Notification concerning tax refunds.	To consider Verizon New York Inc.'s request to retain a portion of a property tax refund.
PSC-05-22-00003-P	exempt	Green gas products.	To consider an extension of the waiver permitting energy service companies to serve existing customers on a green gas product.
PSC-05-22-00004-P	exempt	Initial Tariff Schedule.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-05-22-00005-P	exempt	Disposition of a garbage and refuse tax refund.	To determine the disposition of tax refunds and other related matters.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	E COMMISSION		
PSC-05-22-00006-P	exempt	Green gas products.	To consider an extension of the waiver permitting energy service companies to serve existing customers on a green gas product.
PSC-06-22-00009-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-06-22-00010-P	exempt	Tariff rate modifications for PASNY Delivery Service.	To implement just and reasonable rates for distributed energy resources.
PSC-06-22-00011-P	exempt	Green gas products.	To consider whether to extend the waiver permitting American Power & Gas to serve existing customers on a green gas product.
PSC-06-22-00012-P	exempt	Retainment of property tax refunds.	To consider Verizon New York Inc.'s request to retain a portion of a property tax refund.
PSC-06-22-00013-P	exempt	The Commission's Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process.	To consider whether to extend the date to comply with the CES locational and delivery requirements when purchasing RECs.
PSC-06-22-00014-P	exempt	The regulatory regime applicable to a solar electric generating facility.	To ensure appropriate regulation of a new electric corporation.
PSC-07-22-00007-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-07-22-00008-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-07-22-00009-P	exempt	Notice of intent to submeter electricity and request for waiver of 16 NYCRR § 96.5(k)(3).	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-08-22-00002-P	exempt	Issuance of securities and other forms of indebtedness.	To provide funding for capital needs, including construction, and refinancing of maturing debt.
PSC-08-22-00003-P	exempt	Electric metering equipment.	To consider the use of equipment and ensure that consumer bills will be based on accurate measurements of electric usage.
PSC-08-22-00004-P	exempt	Debt financing arrangement.	To review the proposed financing and consider whether it is within the public interest.
PSC-08-22-00005-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-08-22-00006-P	exempt	Establishment of a lightened regulatory regime applicable to a battery storage project.	To ensure appropriate regulation of an electric corporation.
PSC-09-22-00006-P	exempt	Assessment of the need of the project for the the provision of safe and adequate service at just and reasonable rates.	To determine whether the project is necessary and whether the utility can begin cost recovery through a surcharge mechanism.
PSC-09-22-00007-P	exempt	Green gas products.	To consider whether to extend the waiver permitting Viridian Energy PA, LLC to serve existing customers on a green gas product.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-09-22-00008-P	exempt	Green gas products.	To consider whether to extend the waiver permitting Just Energy New York to serve existing customers on a green gas product.
PSC-09-22-00009-P	exempt	Establishing an alternative recovery mechanism for certain types of fees.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-22-00010-P	exempt	Green gas products.	To consider whether to extend the waiver permitting Alpha Gas & Electric to serve existing customers on a green gas product.
PSC-09-22-00011-P	exempt	Establishing an alternative recovery mechanism for certain types of fees.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-09-22-00012-P	exempt	Proposals to implement an Electric Vehicle Make Ready Surcharge for Street Lighting Customers.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-10-22-00011-P	exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-10-22-00012-P	exempt	Transfer of street lighting facilities.	To determine whether to authorize the transfer street of lighting facilities and the proper accounting for the transaction.
PSC-10-22-00013-P	exempt	Agreement for the provision of water service and waivers.	To consider whether the terms of a service agreement and requested waivers are in the public interest.
PSC-10-22-00014-P	exempt	Amendments to Outdoor Gas Lighting tariff provisions.	To eliminate the outdated provisions concerning the use of natural gas for decorative outdoor lighting.
PSC-10-22-00015-P	exempt	To assign certain easement interests and to transfer certain Central Hudson's property to Transco.	To consider whether the easement interests and transfer of certain Central Hudson property to Transco is in the public interest.
PSC-10-22-00016-P	exempt	Amendments to Outdoor Gas Lighting tariff provisions.	To eliminate the outdated provisions concerning the use of natural gas for decorative outdoor lighting.
PSC-11-22-00012-P	exempt	Policies, budgets, and targets to support space and water heating electrification programs.	To consider revised budgets and targets for Con Edison's New York Clean Heat Program.
PSC-11-22-00013-P	exempt	Modifications to the budget and application to disadvantaged communities, limits of plugs per station, and funding levels.	Increase electric vehicle charging infrastructure in disadvantaged communities and other locations.
PSC-12-22-00006-P	exempt	Electric metering equipment.	To consider use of electric metering equipment and ensure consumer bills are based on accurate measurements of electric usage.
PSC-12-22-00007-P	exempt	Expanded Solar For All Program for low- income customers.	To consider the appropriate design of an opt- out community solar program for low-income customers.

NYS Register/March 30, 2022

Action Pending Index

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-12-22-00008-P	exempt	Establishing an alternative recovery mechanism for certain types of fees.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-12-22-00009-P	exempt	Linemen expenses shortfall for October 2020 to September 2021.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-12-22-00010-P	exempt	Proposed major rate increase in Liberty SLG's gas revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-12-22-00011-P	exempt	Proposed filing clarifying provisions under the Excelsior Jobs Program.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-13-22-00006-P	exempt	Proposed major rate increase in Con Edison's delivery revenues of approximately \$500 million (or 18.2% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-13-22-00007-P	exempt	Issuance of securities and other forms of indebtedness.	To provide funding for the construction of utility plant, refinancing maturing debt and other capital needs.
PSC-13-22-00008-P	exempt	Pole attachment rates.	To provide just and reasonable pole attachment rates.
PSC-13-22-00009-P	exempt	Proposed major rate increase in Con Edison's delivery revenues of approximately \$1.2 billion (or 11.2% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-13-22-00010-P	exempt	Petition for waiver of the requirements of Opinion No. 76-17 and 16 NYCRR Part 96 regarding individual metering of living units.	To determine whether to authorize the waiver request while ensuring consumer and energy efficiency protections are in place.
PSC-13-22-00011-P	exempt	Positive revenue adjustments associated with emergency response, damage prevention and leak management for 2020.	To consider a rehearing petition.
PSC-13-22-00012-P	exempt	Issuance of securities and other forms of indebtedness.	To provide funding for the construction of utility plant, refinancing maturing debt and other capital needs.
PSC-13-22-00013-P	exempt	Pole attachment rates.	To provide just and reasonable pole attachment rates.
PSC-13-22-00014-P	exempt	Petition to develop and construct local transmission projects and to allocate and defer associated costs.	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.
STATE UNIVERSI	TY OF NEW YORK		
SUN-24-21-00002-EP	06/16/22	Gender Neutral Bathrooms	To conform with legislation requiring SUNY state-operated campuses to designate all single occupancy bathrooms as gender neutral

TAXATION AND FINANCE, DEPARTMENT OF

..... exempt Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith

To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021

single occupancy bathrooms as gender neutral

Action Pending Index		
Agency I.D. No.	Expires	5

Subject Matter

Purpose of Action

TAXATION AND FINANCE, DEPARTMENT OF

fue	Fuel use tax on motor fuel and diesel motor uel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period April 1, 2022 through June 30, 2021.
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TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF

TDA-51-21-00002-EP	12/22/22	Elderly Simplified Application Project (ESAP) for the Supplemental Nutrition Assistance Program (SNAP)	To simplify the SNAP eligibility process for certain elderly and/or disabled residents in New York State
TDA-01-22-00001-EP	01/05/23	2019 Novel Coronavirus (COVID-19) masking requirements in congregate shelters	Protect the well-being of shelter staff and persons staying in congregate shelters
TDA-13-22-00023-P	03/30/23	See attached Addendum #1	See attached Addendum #2

WORKERS' COMPENSATION BOARD

WCB-28-21-00009-RP	07/14/22	Telehealth	Provides the option for telehealth visits in some circumstances
WCB-03-22-00002-P	01/19/23	DME Fee Schedule	To update the DME fee schedule
WCB-09-22-00002-P	03/02/23	Intraoperative Neurophysiological Monitoring	To define IOM and clarify that remote IOM is prohibited except in very limited circumstances

RULE REVIEW

Department of Agriculture and Markets

Pursuant to Section 207 of the State Administrative Procedure Act, notice is hereby provided of the following rules, which the Department of Agriculture and Markets intends to review in 2022. Public comment on the continuation or modification of these regulations will be accepted until May 17, 2022. The original notice of proposed rulemaking for all listed rules required the preparation of a regulatory flexibility analysis, a rural area flexibility analysis, and/or a job impact statement. As appropriate, small businesses, local governments, and public and private interests in rural areas will be notified by the Department of the rule review for those rules that affect them or have the potential to affect them. All Section and Part references are to Title 1 of the New York Codes, Rules and Regulations.

Rules adopted in 1997:

Part 41, Requirements for the Transportation and Storage of Pasteurized Milk.

Statutory Authority: Agriculture and Markets Law sections 18, 255 and 257-a.

The continuation of this regulation is necessary to require that milk distributors maintain their vehicles, so milk is held at the proper temperature and under sanitary conditions.

Part 53, Requirements Governing Importation of Cattle.

Statutory Authority: Agriculture and Markets Law sections 18, 72, 74 and 76.

The continuation of this regulation is necessary to allow cattle originating from states with disease-free status to be imported into New York State without tuberculosis and brucellosis testing and to maintain the program which allows untested cattle to be fed for slaughter at pre-approved feed lots. The regulation was subsequently amended in 2008 to allow, under certain circumstances, the importation of cattle without a certificate of veterinary inspection if they are moved directly to a specifically approved stockyard or recognized slaughtering establishment. This regulation provides New York dairy and beef producers with greater access to replacement animals for their herds and New York livestock markets with additional business from increased consignment of out-of-state cattle.

Part 139, Asian Long Horned Beetle Quarantine.

Statutory Authority: Agriculture and Markets Law sections 18, 164 and 167.

The continuation of this regulation is necessary to preserve the Asian Long Horned Beetle quarantine in certain areas of New York City and Long Island. The quarantine area and list of regulated articles has been repeatedly modified to prevent the further spread of the beetle. The quarantine area was most recently modified in 2020 to lift approximately 58 square miles of Asian long horned beetle quarantine in Brooklyn and western Queens.

Section 220.5, Frequency of Inspection and Testing of Devices.

Statutory Authority: Agriculture and Markets Law sections 16, 18 and 179.

The continuation of this regulation is necessary to require that mu-

nicipal directors of weights and measures inspect and test commercial weighing and measuring devices at least once a year. Amendments to this regulation are being considered. See the 2022 Regulatory Agenda. Rules adopted in 2002:

Part 351, Animal Health Requirements for Admission to Fairs.

Statutory Authority: Agriculture and Markets Law sections 18, 31-b, 72, 74, and 287.

The continuation of this regulation is necessary to maintain health certification requirements for animals exhibited at fairs. Livestock exhibitions at fairs have the potential to facilitate the spread of communicable or infectious diseases among the domestic animal population of the state. The public is also exposed directly to these animals during the exhibitions, increasing the risk of transmission of certain diseases from animals to humans. The regulation was most recently amended in 2013 to require animal identification consistent with federal requirements; and to clarify and ease current regulatory requirements. Amendments to this regulation are being considered. See the 2022 Regulatory Agenda.

Rules adopted in 2007:

Part 68, Captive Cervid Health Requirements.

Statutory Authority: Agriculture and Markets sections 18(6), 72, and 74.

The continuation of this regulation is necessary to prevent further introduction of chronic wasting disease (CWD) into New York State and to permit detection and control if the disease were to arise in the State's captive cervid population. The rule was amended in 2014 to prohibit the movement of cervids susceptible to CWD into New York State. Section 68.3 was amended in 2019 to extend the current restrictions on the movement of cervids from August 1, 2018, until August 1, 2023.

Part 363, State Aid to Soil and Water Conservation Districts.

Statutory Authority: Soil and Water Conservation Districts Law sections 4 and 11-a.

The continuation of this regulation is necessary to provide a mechanism for the distribution of state financial aid to county soil and water conservation districts in conformance with Soil and Water Conservation Districts Law section 11-a.

Rules adopted in 2012:

Part 64, Movement and Transfer of Horses and Other Equidae.

Statutory Authority: Agriculture and Markets Law, sections 18(6), 72(3), 74(5) and (9).

Amendments to Sections 64.1, 64.2. 64.3 & 64.12 adopted in 2012 established the Equine Interstate Passport Program. The continuation of this regulation is necessary to facilitate the interstate movement of horses between participating states by means of documents commonly known as equine interstate passports or entry permits. States participating in these programs permit a qualified horse to enter their state for certain purposes if the horse is accompanied by a passport or permit issued under the authority of the regulatory authorities of another participating state.

Section 127.2, Golden Nematode Quarantine.

Statutory Authority: Agriculture and Markets Law, sections 18, 164 and 167.

The continuation of this regulation is necessary to address the eradication and management of the golden nematode and continue to prevent the spread of the nematode. The 2012 amendments modified the golden nematode quarantine by lifting the quarantine in specified portions of Genesee, Steuben, and Livingston Counties where surveys of potato, tomato and eggplant fields have been negative for golden nematode eases the regulatory burden on growers of host crops in those areas without compromising plant health. Amendments to this regulation are being considered. See the 2022 Regulatory Agenda.

Section 276.4, Sale of sliced cheese at farmers' markets.

Statutory Authority: Agriculture and Markets Law, sections 16, 18, 214-b, 251-z-4, and 251-z-9.

The continuation of this regulation is necessary to maintain the exemption for cheese makers who slice and package cheese for sale to consumers at farmers' markets from the requirement that they obtain a food processing license. Cheese is a popular item offered for sale at farmers' market. By eliminating this regulatory burden on cheese makers, they are more likely to offer their cheese for sale at farmers' markets thereby attracting additional consumers to the farmers' markets.

Rules adopted in 2019 (initial 3 - year review):

Part 142 Spotted Lanternfly ("SL").

Statutory Authority: Agriculture and Markets Law, sections 18, 164 and 167.

The continuation of this regulation is necessary to prevent SLinfested articles originating in or moving through areas in other states where SL is present from entering NYS. The regulation was subsequently amended in 2019 and 2020 to expand the quarantine area. Amendments to this regulation are being considered. See the 2022 Regulatory Agenda.

Part 224, Fuels for use in automobiles and motor-driven devices and equipment.

Statutory Authority: Agriculture and Markets Law, sections 16(1), 18(6), 179(3)(b), 192-a (1), 192-b (12) and 192-c (13).

The continuation of this rule is necessary to conform regulations with Federal requirements; to provide standards for, and relieve confusion in, the sale of new fuels. Amendments to this regulation are being considered. See the 2022 Regulatory Agenda.

Rules adopted in 2020 (initial 3 - year review):

Part 128, Control of the European Cherry Fruit Fly.

Statutory Authority: Agriculture and Markets Law, sections 18, 164 and 167.

The continuation of this rule is necessary to help control the spread of the European Cherry Fruit Fly (ECFF), which renders cherries unmarketable if they are infested. This regulation as originally adopted established regulated and quarantine areas consisting of Erie, Niagara, and Orleans Counties in their entirety. This regulation was amended in 2021 to eliminate all regulated areas; re-define the quarantine area as the counties of Erie, Monroe, Niagara, Orleans and Wayne in their entirety, and portions of Ontario County; and establish a systems approach for the movement of regulated articles (i.e., cherries, and host material including soil beneath the dripline of the host material) out of the quarantine area. The regulations help ensure that control measures are undertaken in the quarantine area, and that the ECFF will not spread beyond those areas via the movement of infested fruit, or through other host material.

Comments should be addressed to: Sarah R. Hall, Senior Attorney, Department of Agriculture and Markets, 10B Airline Drive, Albany, NY 12235, 518-457-6468, e-mail: Sarah.Hall@agriculture.ny.gov

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law [Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

1KMary, LLC 2736 Shepherds Glen, Wichita Falls, TX 76308 *State or country in which incorporated* — Texas

AG UK Residential Income Fund, L.P. c/o Angelo, Gordon & Co., L.P., 245 Park Ave., New York, NY 10167 *Partnership* — AG UKR Realty LLC

BG Harborone Apts, LLC 123 Town Square Pl., Jersey City, NJ 07310 State or country in which incorporated — South Carolina

BG SM Apts LLC 200 East Ave., Hicksville, NY 11801 State or country in which incorporated — North Carolina

Canton Domestic Investors LLC 340 S. Main St., Clawson, MI 48017 State or country in which incorporated — Michigan

Capstone - Austin II LLC 431 Office Park Dr., Birmingham, AL 35223 State or country in which incorporated — Delaware

CSW Luxor VI Wilmington, L.P. c/o Capital Solutions, Inc., 751 Arbor Way, Suite 210, Blue Bell, PA 19422 *Partnership* — Luxor VI GP, LLC

CX Foundry Yards Depositor, LLC 4890 W. Kennedy Blvd., Suite 200, Tampa, FL 33609 *State or country in which incorporated* — Delaware

CX Heritage, DST 4890 W. Kennedy Blvd., Suite 200, Tampa, FL 33609 *State or country in which incorporated* — Delaware

East Dallas PBR, LLC 5740 Prospect Ave., Dallas, TX 75206 State or country in which incorporated — Texas

Farmington Hills Domestic Investors LLC 340 S. Main St., Clawson, MI 48017 *State or country in which incorporated* — Michigan

Fourth Safe Harbor Holdings, LLC 6673 Doonberg Dr., Frisco, TX 75035 *State or country in which incorporated* — Alabama Harbert CH Arizona Investor, LP 2100 3rd Ave. N, Suite 600, Birmingham, AL 35203 *Partnership* — Harbert CH Arizona GP, LLC

Harrison Street Real Estate Partners VIII, L.P. 444 W. Lake St., Suite 2100, Chicago, IL 60606 *Partnership* — HSRE GP VIII, LLC

Heref V Merlin Co-Investor, L.P. 2100 3rd Ave. N, Suite 600, Birmingham, AL 35203 *Partnership* — Heref V Merlin Co-Investor GP, LLP

HSREP VIII Co-Investment, LP 444 W. Lake St., Suite 2100, Chicago, IL 60606 *Partnership* — HSREP GP VIII Co-Investment, LLC

HSREP VIII Feeder, L.P. 444 W. Lake St., Suite 2100, Chicago, IL 60606 *Partnership* — HSRE GP VIII, LLC

Hyperframe Inc. 904 Pardee St., Berkeley, CA 94710 State or country in which incorporated — Delaware

Inland Securities Corporation 2901 Butterfield Rd., Oak Brook, IL 60523 *State or country in which incorporated* — Delaware

JVM Multi-Family Premier Fund 5, LLC 903 Commerce Dr., Suite 250, Oak Brook, IL 60523 *State or country in which incorporated* — Delaware

JVM Realty Apartment Fund 9, LLC 903 Commerce Dr., Suite 250, Oak Brook, IL 60523 *State or country in which incorporated* — Delaware

LaSalle Investment Management Distributors, LLC 333 W. Wacker Dr., Suite 2300, Chicago, IL 60606 *State or country in which incorporated* — Delaware

Nighthawk Winchester, LLC 500 Westover Dr., Suite 15493, Sanford, NC 27330 *State or country in which incorporated* — Georgia

Park Canyon Investors, LLC 35216 Noel Pl., Fremont, CA 94536 State or country in which incorporated — Georgia

Takoma Place Holdings LLC 3816 12th St. NE, Washington, DC 20017 *State or country in which incorporated* — Maryland

Thrive Canopy Multifamily, LLC 1883 W. Royal Hunte Dr., Cedar City, UT 84720 *State or country in which incorporated* — Wyoming

Securities Offerings

Vivo Living Dublin LLC 16150 SW Upper Boones Ferry Rd., Portland, OR 97224 State or country in which incorporated — Delaware

Wikoff Color Corporation 1886 Merritt Rd., Fort Mill, SC, 29715 *State or country in which incorporated* — North Carolina

YS RE RAF I LLC 300 Park Ave., 15th Fl., New York, NY 10022 *State or country in which incorporated* — Delaware

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

REHABILITATE BUILDINGS DETERIORATING SURFACES Wende Correctional Facility Alden, Erie County

Sealed bids for Project No. M3104-C, comprising a contract for Construction Work, Rehabilitate Buildings Deteriorating Surfaces, Wende Correctional Facility, 3040 Wende Road, Alden (Erie County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Corrections and Community Supervision, until 2:00 p.m. on Wednesday, April 13, 2022 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$68,400 for C).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$2,000,000 and \$3,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Cook, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm with the lowest bid will be deemed the apparent low bidder.

X Project commenced design before January 1, 2020. Not subject to provision.

Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 904 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 11:30 a.m. on March 30, 2022, at 2990 Wende Road, Alden, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Allyson Youdsavage, (716-937-4200) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 72 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 3% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: http:// www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/ contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

PROVIDE STANDBY GENERATOR BUILDING Shawangunk Correctional Facility Wallkill, Ulster County

Sealed bids for Project Nos. 44991-C, 44991-H and 44991-E, comprising separate contracts for Construction Work, HVAC Work, and Electrical Work, Provide Standby Generator Building, Shawan-gunk Correctional Facility, 200 Quick Road, Wallkill (Ulster County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Corrections and Community Supervision, until 2:00 p.m. on Wednesday, April 13, 2022 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$52,200 for C, \$42,000 for H, and \$142,400 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$1,000,000 and \$2,000,000 for C, between \$1,000,000 and \$2,000,000 for H, between and between \$5,000,000 and \$6,000,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Cook, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for in-

flation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

X Project commenced design before January 1, 2020. Not subject to provision.

Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 539 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on March 30, 2022, at the Wallkill Field Office, 55 McKendrick Road, Wallkill, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Karen Flood (845-434-0214) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 72 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, 3% for the H trade contractor, and 6% for the E trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: http:// www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below

and then click on the project number: https://online.ogs.ny.gov/dnc/ contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

PROVIDE SUB-HQ/LOADER STORAGE Department of Transportation Region 8 Valatie, Columbia County

Sealed bids for Project Nos. 46040-C, 46040-H, 46040-P and 46040-E, comprising separate contracts for Construction Work, HVAC Work, Plumbing Work, and Electrical Work, Provide Sub-HQ & Loader Storage, Bldg. 4, DOT Region 8 Columbia County, 1005 Kinderhook Street, Valatie, NY (Columbia County), NY will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Transportation, until 2:00 p.m. on Wednesday, April 13, 2022 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$28,600 for C, \$2,500 for H, \$5,100 for P, and \$7,300 for E].

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for C, between \$25,000 and \$50,000 for H, between \$50,000 and \$100,000 for P, and between \$50,000 and \$100,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Cook, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

- X Project commenced design before January 1, 2020. Not subject to provision.
 - Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 203 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 12:30 p.m. on March 30, 2022, meet at the OGS Field Office, 50 East Court St. Hudson, NY and travel to site after the meeting (10 Rt. 9H ramp, Valatie, NY 12184). Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Daniel MacCormack (518-822-1931) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 48 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, 0% for the E trade contractor, 0% for the H trade contractor, and 0% for the P trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: http:// www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/ contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

PROVIDE SALT STORAGE BUILDINGS Various Department of Transportation Facilities Warsaw and East Rochester, Wyoming County

Sealed bids for Project Nos. 47094-C and 47094-E, comprising separate contracts for Construction Work, and Electrical Work, Provide Salt Storage Buildings, Various DOT Facilities, Warsaw and East Rochester (Wyoming County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Transportation, until 2:00 p.m. on Wednesday, April 6, 2022 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$66,700 for C, and \$16,900 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$1,000,000 and \$2,000,000 for C, and between \$100,000 and \$250,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Cook, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm with the lowest bid will be deemed the apparent low bidder.

- X Project commenced design before January 1, 2020. Not subject to provision.
- Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 164 days after the Agreement is approved by the Comptroller.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the apparent low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The only time prospective bidders will be allowed to visit the job

site to take field measurements and examine existing conditions of the project area will be at 8:00 a.m. on March 23, 2022, at 3879 South Warsaw Road, Route 19, Warsaw NY 14569 and proceeding to 938 W Linden Avenue, East Rochester, NY, 14445. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Lori Pautler (585-591-0356) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 48 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work and an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Electrical Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 3% for the C trade contractor, and 3% for the E trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: http:// www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/ contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

Advertisements for Bidders/Contractors

PROVIDE SALT STORAGE BUILDING Department of Transportation Region 9 Oxford, Chenango County

Sealed bids for Project Nos. 47124-C and 47124-E, comprising separate contracts for Construction Work and Electrical Work, Provide Salt Storage Building, DOT Region 9, Chenango County, Route 12, RD#3, Oxford (Chenango County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Transportation, until 2:00 p.m. on Wednesday, April 6, 2022 when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$43,500 for C and \$8,600 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$1,000,000 and \$2,000,000 for C, and between \$100,000 and \$250,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Cook, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm with the lowest bid will be deemed the apparent low bidder.

- Project commenced design before January 1, 2020. Not subject to provision.
- X Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 171 days after the Agreement is approved by the Comptroller.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the apparent low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The only time prospective bidders will be allowed to visit the job

site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. on March 24, 2022 at 4480 State Route 12 Oxford, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Matt Welch, (607-725-0642) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 48 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work and an overall goal of 20% for MWBE participation, 10% for Minority-Owned Business Enterprises ("MBE") participation and 10% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Electrical Work. The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 3% for the C trade contractor, and 3% for the E trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: http:// www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/ contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311 or visit our web site at:

www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for all services to comply with enacted statutory provisions. The following changes are proposed:

Institutional Services

The following is a clarification to the December 29th, 2021 noticed proposal to adjust Residential Treatment Facility (RTF) rates for providers to consider increased labor costs resulting from increases in the New York State minimum wage in the Remainder of State region. With clarification below, this increase includes rates for providers of all services.

All Services

The Department of Health will adjust rates to take into account increased labor costs resulting from statutorily required increases in the New York State minimum wage. Under the statute, increases in the minimum wage will be phased in over a number of years until the minimum wage is \$15 per hour in the Remainder of State region, and Medicaid rates will be adjusted in those years to account for such increases.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal years 2021/2022 and 2022/2023 is \$3,078,116 and \$12,312,459, respectively.

Providers:	SFY 2021-2022 (1/1/2022 - 3/31/ 2022)	SFY 2022-2023 (4/1/2022 - 3/31/ 2023)
Article 16 Freestanding Clinics	\$8,267	\$33,069
Article 28 Freestanding Clinics & Ambulatory Surgery Centers	\$3,475	\$13,900

Providers:	SFY 2021-2022 (1/1/2022 - 3/31/ 2022)	SFY 2022-2023 (4/1/2022 - 3/31/ 2023)
Assisted Living Programs	\$150,000	\$600,000
Certified Home Health Agencies	\$927	\$3,706
Article 28 Federally Qualified Health Centers (Freestanding Clinics)	\$437	\$1,748
Hospice	\$37,500	\$150,000
Hospital Inpatient	\$10,700	\$42,800
Intermediate Care Facili- ties	\$626,697	\$2,506,788
Nursing Homes	\$367,516	\$1,470,062
Personal Care	\$1,868,497	\$7,473,986
Residential Treatment Facilities	\$4,100	\$16,400
Totals:	\$3,078,116	\$12,312,459

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/ state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County 250 Church Street New York, New York 10018

Queens County, Queens Center 3220 Northern Boulevard Long Island City, New York 11101

Kings County, Fulton Center 114 Willoughby Street Brooklyn, New York 11201

Bronx County, Tremont Center 1916 Monterey Avenue Bronx, New York 10457

Richmond County, Richmond Center 95 Central Avenue, St. George Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for institutional, non-institutional and long-term care services to comply with statutory provisions. The following changes are proposed:

All Services

Effective on or after April 1, 2022, the Department of Health will adjust rates statewide to reflect a 5.4% percent Cost of Living Adjustment for the following Office of Mental Health (OMH), Office of Addiction Services and Supports (OASAS), and Office for People With Developmental Disabilities (OPWDD) State Plan Services: OMH Outpatient Services, OMH Clinic Services, OMH Rehabilitative Services, Children Family Treatment Support Services, Health Home Plus, Residential Treatment Facilities for Children and Youth, OASAS outpatient addiction services, OASAS freestanding (non-hospital) inpatient rehabilitation services, OASAS freestanding inpatient detox services, OASAS addiction treatment centers, OASAS Part 820 residential services, OASAS residential rehabilitation services for youth, Intermediate Care Facility (ICF/IDD), Day Treatment, Article 16 Clinic services, Specialty Hospital, Health Home Services Provided by Care Coordination Organizations, Independent Practitioner Services for Individual with Developmental Disabilities (IPSIDD), and **OPWDD** Crisis Services.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to the April 1, 2022, 5.4% percent Cost of Living Adjustment contained in the budget for State Fiscal Year 2023 is \$109.9 million.

Effective on or after April 1, 2022, Health care and mental hygiene worker bonuses will be provided to New York's essential front line health care and mental hygiene workers. These bonuses are intended to attract talented people into the profession and retain people who have been working during the COVID-19 Pandemic by rewarding them financially for their service.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for State Fiscal Year 2023 is \$1.85 billion.

Effective for dates of service on or after April 1, 2022, through March 31, 2024, all Medicaid rate-based claims will receive a 1% operating increase. Payments exempted from this increase, are as follows:

• Payments not subject to federal financial participation;

• Payments that would violate federal law including, but not limited to, hospital disproportionate share payments that would be in excess of federal statutory caps;

• Payments made by other state agencies including, but not limited to, those made pursuant to articles 16, 31 and 32 of the mental hygiene laws;

• Payments the state is obligated to make pursuant to court orders or judgments;

• Payments for which the non-federal share does not reflect any state funding; and

• At the discretion of the Commissioner of Health and the Director of the Budget, payments with regard to which it is determined that application of increases pursuant to this section would result, by operation of federal law, in a lower federal medical assistance percentage applicable to such payments.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for State Fiscal Year 2023 is \$165 million.

Effective for dates of service on or after April 1, 2022, the 1.5% uniform reduction for all non-exempt Department of Health state funds Medicaid payments will be restored.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for State Fiscal Year 2023 is \$280 million. Non-Institutional Services

Effective on or after April 1, 2022, this proposal continues the supplemental upper payment limit payments made to general hospitals, other than major public general hospitals under non-institutional services of \$339 million annually.

There is no change to the annual gross Medicaid expenditures as a result of this proposed amendment.

For state fiscal year beginning April 1, 2022, through March 31, 2023, this proposal continues hospital outpatient payment adjustments that increase the operating cost components of rates of payment for hospital outpatient and emergency departments on and after April 1, 2011, for public general hospitals other than those operated by the State of New York or the State University of New York, which are located in a city with a population of over one million. The amount to be paid will be up to \$287 million annually based on criteria and methodology set by the Commissioner of Health, which the Commissioner may periodically set through a memorandum of understanding with the New York City Health and Hospitals Corporation. Such adjustments shall be paid by means of one or more estimated payments. Payments may be added to rates of payment or made as aggregate payments.

There is no change to the annual gross Medicaid expenditures as a result of this proposed amendment.

For state fiscal year beginning April 1, 2022, through March 31, 2023, this proposal continues payment of up to \$5.4 million in additional annual Medicaid payments to county operated free-standing clinics, not including facilities operated by the New York City Health and Hospitals Corporation, for services provided by such DTC and those provided by a county operated freestanding mental health or substance abuse DTC. Distributions shall be based on each eligible facilities receiving payments for the base year two years prior to the rate year. The proportionate share payments may be added to rates of payment or made as aggregate payments to eligible facilities.

There is no change to the annual gross Medicaid expenditures as a result of this proposed amendment.

Effective April 1, 2022, and each state fiscal year thereafter, this amendment proposes to revise the final payment component of the calculation to account for claim runout. The current authority to make supplemental payments for services provided by physicians, nurse practitioners and physician assistants will continue.

There is no change to the annual gross Medicaid expenditures as a result of this proposed amendment.

Effective on or after April 1, 2022, payments in quarter-hour units for the following harm reduction services for people who actively use drugs provided at New York State Commissioner of Health waivered comprehensive harm reduction programs (community-based organizations) will be revised. The intention is to combat the opioid overdose crisis and reduce health care costs for people who use drugs. Harm reduction services improve population health and have been shown to reduce health care costs by preventing disease transmission (HIV, HBV, and HCV), injection site infections, emergency department and inpatient care from drug overdose, injury, and death. Comprehensive harm reduction programs are effective at engaging high-risk populations and serving as a bridge for entry into drug treatment and other health and social services.

Regional monthly rates will be established for New York City and the rest of the state and are based on the expected direct service costs in each region. Billable activities encompass those components of harm reduction attributable to direct client service, such as brief assessment and treatment planning, harm reduction counseling, linkage and navigation, medication management and treatment adherence counseling, psychoeducation support groups. Direct and indirect costs are budgeted as part of the rate. No funds shall be used to carry out the purchase or distribution of sterile needles or syringes for the hypodermic injection of any illegal drug.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for State Fiscal Year 2023 is \$34.6 million. Effective on or after April 1, 2022, this notice proposes to establish Medical Assistance coverage and rates of payment for crisis intervention services to stabilize and treat mental health and substance use disorder conditions, provided by mobile crisis teams and residential crisis settings for adults, as well as crisis stabilization centers for adults and children.

More specifically, crisis intervention services provided by multidisciplinary mobile crisis teams in accordance with Section 9813 of the American Rescue Plan Act provide an array of crisis intervention services, including telephonic triage for both adults and children, mobile crisis response, and mobile or telephonic follow-up services, in a variety of settings in the community.

Crisis intervention services provided in crisis stabilization centers will provide urgently needed immediate evaluation, treatment, and support services, including coordination with other mental health and substance use services, for children and adults experiencing or at risk of a mental health or substance use disorder crisis.

Crisis intervention services will also be provided in residential crisis settings, which are short-term, voluntary, non-IMD, sub-acute settings, and address a spectrum of acuity levels in which an individual may present in a mental health or substance use disorder crisis. Services stabilize crisis symptoms and restore functionality to enable transition back to the community and to prevent or reduce future psychiatric crises.

The estimated annual net aggregate increase in gross Medicaid expenditures related to this State Plan Amendment for State Fiscal Year 2023 is \$16M and for State Fiscal Year 2024 is \$44.5 million.

Effective on or after April 1, 2022, and for each State Fiscal Year thereafter, the State proposes to revise the method of distributing the funding for the Clinic Safety Net (CSN) distribution for comprehensive diagnostic and treatment centers that are other than Federally Qualified Health Centers (referred to as the non-FQHC CSN distribution).

There is no change to the annual gross Medicaid expenditures as a result of this proposed amendment.

Effective on or after April 1, 2022, the State proposes to enter into outcomes-based contract arrangements with drug manufacturers for drugs provided to Medicaid beneficiaries through supplemental rebate agreements.

The estimated annual net aggregate decrease in gross Medicaid expenditures attributable to this initiative contained in the budget for State Fiscal Year 2023 is (\$5 million).

Effective on or after April 1, 2022, this notice proposes to enhance (increase) state established reimbursement rates as follows:

Contingent upon CMS approval of the Spending Plan submitted by the state, established rates will be enhanced for state-plan approved private duty nursing (PDN) services for members 23 years of age and older by an additional 30 percent for the medically fragile training and experience and 45 percent for the private duty nursing directory starting April 1, 2022.

The estimated annual net aggregate increase in gross Medicaid expenditures as a result of the proposed amendments for PDN services for State Fiscal Year 2023 is \$38.9 million.

Effective on or after April 1, 2022, pursuant to the Centers for Medicare and Medicaid Services, Medicaid coverage must include routine patient costs for items and services furnished in connection with participation by beneficiaries in qualifying clinical trials. The Department will submit a State Plan Amendment for Medicaid to formalize federal approval of existing coverage in accordance with the requirements. Routine patient costs and qualifying clinical trials are defined in Section 1905(a)30 and Section 1905(gg) of the Social Security Act (the Act), respectively. This includes clinical trials in any clinical phase of development that is conducted in relation to the prevention, detection, or treatment of any serious or life-threatening disease or condition and is described in any of clauses (i)-(iii) of section 1905(gg) of the Act. Routine patient costs do not include any investigational item or service that is the subject of the qualifying clinical trial and is not otherwise covered outside of the clinical trial under the state plan, waiver, or demonstration project.

There is no estimated annual change to gross Medicaid expenditures as a result of this proposed amendment, since these benefits are already covered under long-standing NYS Medicaid policy.

Effective on or after April 1, 2022, pursuant to the Centers for Medicare and Medicaid Services, Alternative Benefit Plans (ABP) coverage must include routine patient costs for items and services furnished in connection with participation by beneficiaries in qualifying clinical trials. The Department will submit a State Plan Amendment for ABP to formalize federal approval of existing coverage in accordance with the requirements. Routine patient costs and qualifying clinical trials are defined in Section 1905(a)30 and Section 1905(gg) of the Social Security Act (the Act), respectively. This includes clinical trials in any clinical phase of development that is conducted in relation to the prevention, detection, or treatment of any serious or lifethreatening disease or condition and is described in any of clauses (i)-(iii) of section 1905(gg) of the Act. Routine patient costs do not include any investigational item or service that is the subject of the qualifying clinical trial and is not otherwise covered outside of the clinical trial under the state plan, waiver, or demonstration project.

There is no estimated annual change to gross Medicaid expenditures as a result of this proposed amendment, since these benefits are already covered under long-standing NYS Medicaid policy.

Effective April 1, 2022, the Medicaid Program is proposing to incentivize ABA provider enrollment and participation by increasing Medicaid reimbursement amounts, aligning fees with those paid by the Child Health Plus program. "Applied behavior analysis" or "ABA" is the design, implementation, and evaluation of environmental modifications, using behavioral stimuli and consequences, to produce socially significant improvement in human behavior, including the use of direct observation, measurement, and functional analysis of the relationship between environment and behavior. ABA services are provided to individuals who have a diagnosis of autism spectrum or related disorder. As of August 1, 2021, Medicaid began accepting enrollment of Licensed Behavior Analysts as independent practitioners to provide ABA to Medicaid members under age 21 with a diagnosis of Autism Spectrum Disorder or Rhett's Syndrome. However, Medicaid Managed Care Plans (MMC) and ABA providers indicated that the Medicaid reimbursement rate is below rates paid by CHP and commercial plans. Subsequently, very few ABA providers have been willing to enroll as Medicaid managed care and/or fee-for-service providers.

The estimated annual net aggregate increase in gross Medicaid expenditures as a result of the proposed amendment for State Fiscal Year 2023 is \$73.2 million.

Effective on or after April 1, 2022, this proposal to amend the State Plan to align with Subdivision 2 of section 365-a of the social services law, that authorizes clinical social workers, licensed pursuant to Article 154 of the Education law, to bill Medicaid directly for their services within their scope of practice, effective April 1, 2022.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for State Fiscal Year 2023 is \$24.2 million.

Effective on or after April 1, 2022, this proposal to amend the State Plan to align with Subdivision 2 of section 365-a of the social services law, that authorizes licensed mental health counselors and marriage and family therapists, licensed pursuant to Article 163 of the Education law, to bill Medicaid directly for their services within their scope of practice, effective April 1, 2022.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for State Fiscal Year 2023 is \$4.2 million.

Effective on or after July 1, 2022, Medicaid reimbursement rates for non-facility physician services will be updated to 70% of current Medicare rates. This update will apply to Evaluation & Management (E&M) and Medicine procedure codes. Most Medicaid physician reimbursement rates have not been updated since 2009 and New York Medicaid is currently reimbursing physicians, on average, at 45% of Medicare for E&M codes and 58% of Medicare for Medicine codes. Updating the Medicaid physician fee schedule is intended to increase the use of primary care and preventative services and reduced utilization of costlier downstream care. The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2022/2023 is \$9.8 million.

Effective on or after April 1, 2022, the Medicaid fee-for-service Schedule will be adjusted to increase the reimbursement rate for midwifery services such that midwives will be reimbursed at 95% of the physician fee-for-service schedule.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this action contained in the budget for state fiscal year 2022/2023 is \$2.8 million.

Effective on or after April 1, 2022, this notice proposes to enhance (increase) state established reimbursement rates as follows:

Contingent upon approval of the Fiscal Year 2023 State Budget, established rates will be enhanced for the top twenty (20) state-plan approved orthotics and prosthetics (O & P) for Fee-for Service (FFS) and managed care members from the current Medicaid rate to 80% of the Medicare reimbursement rate.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2023 is \$8 million.

Institutional Services

Effective on or after April 1, 2022, this proposal continues the supplemental upper payment limit payments made to general hospitals, other than major public general hospitals under institutional services of \$339 million annually.

There is no change to the annual gross Medicaid expenditures as a result of this proposed amendment.

For state fiscal year beginning April 1, 2022 through March 31, 2023, this proposal continues adjustments for hospital inpatient services provided on and after April 1, 2012, to public general hospitals, other than those operated by the State of New York or the State University of New York, located in a city with a population of over one million and receiving reimbursement of up to \$1.08 billion annually based on criteria and methodology set by the Commissioner of Health, which the Commissioner may periodically set through a memorandum of understanding with the New York City Health and Hospitals Corporation. Such adjustments shall be paid by means of one or more estimated payments. Payments to eligible public general hospitals may be added to rates of payment or made as aggregate payments.

There is no change to the annual gross Medicaid expenditures as a result of this proposed amendment.

For state fiscal year beginning April 1, 2022, through March 31, 2023, this proposal continues supplemental payments to State government owned hospitals. These payments will not exceed the upper payment limit for inpatient services provided by state government-owned hospitals when aggregated with other Medicaid payments.

There is no change to the annual gross Medicaid expenditures as a result of this proposed amendment.

Effective on or after April 1, 2022, this proposal would extend the requirement to rebase and update the Service Intensity Weights (SIWs) for the acute Diagnostic Related Group (DRG) hospital rates no less frequently than every four years from July 1, 2022, to on or after January 1, 2024. It also revises the requirement for the base year used for rebasing. The new base year may be more than four years prior to the first applicable rate period that utilizes such new base year.

There is no estimated annual change to gross Medicaid expenditures as a result of this proposed amendment.

Effective on or after April 1, 2022, additional medical assistance, known as, Intergovernmental Transfer (IGT) payments, for inpatient hospital services may be made to public general hospitals operated by the State of New York or the State University of New York, or by a county which shall not include a city with a population over one million, and those public general hospitals located in the counties of Westchester, Erie, or Nassau, up to one hundred percent (100%) of each such public hospital's medical assistance, and uninsured patient losses after all other medical assistance, including disproportionate share hospital (DSH) payments to such public general hospitals. Payments will be made by means of one or more estimated distributions initially based on the latest DSH audit results, which shall later be reconciled to such payment year's actual DSH audit uncompensated care costs. Payments may be added to rates of payment or made as aggregate payments. Such payments will continue April 1, 2022, through March 31, 2025.

There is no change to the annual gross Medicaid expenditures as a result of this proposed amendment.

Effective on and after April 1, 2022, through March 31, 2024, this notice provides for funding to distressed hospitals.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for State Fiscal Year 2023 is \$200 million.

Effective for days of service on or after April 1, 2022, The Department of Health will adjust inpatient psychiatric fee-for-service per diem rates of reimbursement for distinct exempt units specializing in inpatient psychiatric services, in Article 28 hospitals, by increasing the case mix neutral psychiatric statewide per diem base price to produce a full annual net aggregate increase in gross Medicaid expenditures of \$55 million. This State Plan Amendment is necessary to more adequately reimburse hospitals for providing these services and to better meet the community's mental health needs.

Long Term Care Services

Effective on or after April 1, 2022, this proposal continues additional payments to non-state government operated public residential health care facilities, including public residential health care facilities located in Nassau, Westchester, and Erie Counties, but excluding public residential health care facilities operated by a town or city within a county, in aggregate amounts of up to \$500 million. The amount allocated to each eligible public RHCF will be in accordance with the previously approved methodology, provided, however that patient days shall be utilized for such computation reflecting actual reported data. Payments to eligible RHCF's may be added to rates of payment or made as aggregate payments.

There is no change to the annual gross Medicaid expenditures as a result of this proposed amendment.

Effective on and after April 1, 2022, this notice provides for \$30 million annually in temporary rate adjustments to long term care providers that are undergoing closure, merger, consolidation, acquisition or restructuring themselves or other health care providers. These payments are authorized by current State statutory and regulatory provisions. The temporary rate adjustments will be reviewed and approved by the CINERGY Collaborative.

There is no change to the annual gross Medicaid expenditures as a result of this proposed amendment.

Effective on and after April 1, 2022, through March 31, 2024, this notice provides for temporary rate adjustments to long term care providers that are undergoing closure, merger, consolidation, acquisition or restructuring themselves or other health care providers. These payments are authorized by current State statutory and regulatory provisions.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for State Fiscal Year 2023 is \$200 million.

Eligibility

Effective January 1, 2023, the Medicaid program will eliminate the resource test for aged, blind and disabled applicants and recipients and raise the income eligibility level to 138% of the federal poverty level for aged, blind, disabled and other medically needy applicants and recipients.

The estimated net aggregate increase in gross Medicaid expenditures as a result of the proposed amendment for State Fiscal Year 2023 is \$10 million.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/ state_plans/status. In addition, approved SPA's beginning in 2011 are also available for viewing on this website.

Copies of the proposed State Plan Amendments will be on file in

each local (county) social services district and available for public review.

For the New York City district, copies will be available at the following places: New York County 250 Church Street

New York, New York 10018

Queens County, Queens Center 3220 Northern Boulevard Long Island City, New York 11101

Kings County, Fulton Center 114 Willoughby Street Brooklyn, New York 11201

Bronx County, Tremont Center 1916 Monterey Avenue Bronx, New York 10457

Richmond County, Richmond Center 95 Central Avenue, St. George Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE Department of State Notice of Review of Request for Brownfield Opportunity Area Conformance Determination Project: Alvista Rise Location: 147-25 94th Avenue Jamaica Brownfield Opportunity Area City of New York, Queens County

In accordance with General Municipal Law, Article 18 - C, Section 970-r, the Secretary of State designated the Jamaica Brownfield Opportunity Area, in the Bronx, on April 15, 2015. The designation of the Jamaica Brownfield Opportunity Area was supported by a Nomination or a comprehensive planning tool that identifies strategies to revitalize the area which is affected by one or more known or suspected brownfield sites.

Pursuant to New York State Tax Law, Article 1, Section 21, the eligible taxpayer(s) of a project site located in a designated Brownfield Opportunity Area may apply for an increase in the allowable tangible property tax credit component of the brownfield redevelopment tax credit if the Secretary of State determines that the project conforms to the goals and priorities established in the Nomination for a designated Brownfield Opportunity Area.

On October 5, 2021, J2 Owner LLC submitted a request for the Secretary of State to determine whether the project Alvista Rise, located at 147-25 94th Avenue, Queens, NY, which will be located within the designated Jamaica Brownfield Opportunity Area, conform to the goals and priorities identified in the Nomination that was prepared for the designated Jamaica Brownfield Opportunity Area.

The public is permitted and encouraged to review and provide comments on the request for conformance. For this purpose, the full application for a conformance determination is available online at: https://dos.ny.gov/system/files/documents/2022/03/application_147-25_94th-avenue_jamaica.pdf

Comments must be submitted no later than April 30th, 2022, either by mail to: Kevin Garrett, Department of State, Office of Planning and Development, 123 William St., #20-163, New York, NY 10038, or by email to: kevin.garrett@dos.ny.gov

PUBLIC NOTICE

Department of State Notice of Review of Request for Brownfield Opportunity Area Conformance Determination Project: The Arches Location: Port Morris Harlem Riverfront Brownfield Opportunity Area City of New York, Bronx County

In accordance with General Municipal Law, Article 18 - C, Section 970-r, the Secretary of State designated the Port Morris Harlem Riverfront Brownfield Opportunity Area, in the Bronx, on April 9, 2015. The designation of the Port Morris Harlem Riverfront Brownfield Opportunity Area was supported by a Nomination or a comprehensive planning tool that identifies strategies to revitalize the area which is affected by one or more known or suspected brownfield sites.

Pursuant to New York State Tax Law, Article 1, Section 21, the eligible taxpayer(s) of a project site located in a designated Brownfield Opportunity Area may apply for an increase in the allowable tangible property tax credit component of the brownfield redevelopment tax credit if the Secretary of State determines that the project conforms to the goals and priorities established in the Nomination for a designated Brownfield Opportunity Area.

On July 30, 2021, Deegan 135 Realty LLC submitted a request for the Secretary of State to determine whether The Arches Project, which will be located within the designated Port Morris Harlem Riverfront Brownfield Opportunity Area, conform to the goals and priorities identified in the Nomination that was prepared for the designated Port Morris Harlem Riverfront Brownfield Opportunity Area.

The public is permitted and encouraged to review and provide comments on the request for conformance. For this purpose, the full application for a conformance determination is available online at: https://dos.ny.gov/system/files/documents/2022/03/2021-07-30-finalboa-conformance-application-with-attachments-for-deegan-135realty-llc.pdf

Comments must be submitted no later than March 30th, 2022, either by mail to: Kevin Garrett, Department of State, Office of Planning and Development, 123 William St., #20-163, New York, NY 10038, or by email to: kevin.garrett@dos.ny.gov

PUBLIC NOTICE

Department of State F-2022-0058, F-2022-0130 through F-2022-0140 Date of Issuance – March 30, 2022

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2022-0058, F-2022-0130, F-2022-0131, F-2022-0132, F-2022-0133, F-2022-0134, F-2022-0135, F-2022-0136, F-2022-0137, F-2022-0138, F-2022-0139, and F-2022-0140, the consultant, Andrew Baird at First Coastal Corp., is proposing a living shoreline along twelve contiguous properties. The shoreline design will contain the following parts: a 12' wide emergent rock sill ranging from 40' to 65' long consisting of approx. 110 cubic yards of stone per property to be placed 58 feet seaward of the rock core dune; 94 cubic yards of clean sand fill landward of rock sill per property; 750 square feet of spartina planting 12" O.C. per property for 6 of the homes; additional 18"

of rock placement on the existing rock core dune of the other 6 properties, totaling approx. 12 cubic yards per residence; and sand placement on top of rock core dune ranging from 70 cubic yards to 150 cubic yards. Additionally, 5 properties are proposing to install elevated walkways/stairs to the beach. This project is located at 674, 676, 678, 680, 682, 684, 686, 688, 690, 692, 694 and 696 Dune Road, Village of West Hampton Dunes, Suffolk County, Moriches Bay.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/ documents/2022/03/f-2022-0058app.pdf

https://dos.ny.gov/system/files/documents/2022/03/f-2022-0130app.pdf

https://dos.ny.gov/system/files/documents/2022/03/f-2022-0131app.pdf

https://dos.ny.gov/system/files/documents/2022/03/f-2022-0132app.pdf

https://dos.ny.gov/system/files/documents/2022/03/f-2022-0133app.pdf

https://dos.ny.gov/system/files/documents/2022/03/f-2022-0134app.pdf

https://dos.ny.gov/system/files/documents/2022/03/f-2022-0135app.pdf

https://dos.ny.gov/system/files/documents/2022/03/f-2022-0136app.pdf

https://dos.ny.gov/system/files/documents/2022/03/f-2022-0137app.pdf

https://dos.ny.gov/system/files/documents/2022/03/f-2022-0138app.pdf

https://dos.ny.gov/system/files/documents/2022/03/f-2022-0139app.pdf

https://dos.ny.gov/system/files/documents/2022/03/f-2022-0140app.pdf

or at https://dos.ny.gov/public-notices

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

• Moriches Bay Significant Coastal Fish and Wildlife Habitats: https://dos.ny.gov/system/files/documents/2020/03/moriches_bay.pdf

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or April 29, 2022.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State F-2022-0094

Date of Issuance – March 30, 2022

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2022-0094-Clark Scheerens Trust proposes to install a 30' dock extension to an existing 52' dock at 7041 Sunder Drive, Huron.

Town of Huron, Wayne County, Sodus Bay

The stated purpose of the proposed action is to extend out into deeper water to moor boats.

The applicant's consistency certification and supporting informa-

tion are available for review at: https://dos.ny.gov/system/files/ documents/2022/03/F-2022-0094-consistcert.pdf or at https:// dos.ny.gov/public-notices

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or April 29, 2022.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

F-2022-0097

Date of Issuance – March 30, 2022

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2022-0097- Michael Chietro-proposes to construct a new 5' x 50' over water wood pier 10 Dock Street, Patchogue.

Town of Brookhaven, Suffolk County, Patchogue Bay

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/ documents/2022/03/f-2022-0097consistcert.pdf or at https:// dos.ny.gov/public-notices

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or April 29, 2022.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR @dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State F-2022-0104

Date of Issuance – March 30, 2022

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with

and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2022-0104 The Nature Conservancy proposes to construct a 7-car parking lot at Wolf Swamp Preserve 150 Milestone Brook Road, Southampton.

Town of Southampton, Suffolk County, Big Fresh Pond

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/ documents/2022/03/f-2022-0104consistcert.pdf or at https:// dos.ny.gov/public-notices

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s): alewife_creek_big_little_fresh_ponds.pdf (ny.gov)

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or April 29, 2022.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State F-2022-0119

Date of Issuance - March 30, 2022

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2022-0119, Clean Path New York LLC proposes to conduct sediment survey activities beginning Q2 2022. Drilled cores, vibracores, and surface grab samples would be collected at discrete locations in the lower Hudson, Harlem, and East Rivers.

The stated purpose of the proposed action is to support the delivery of Tier 4 -eligible renewable electricity to New York City via a new high-voltage direct current (HVDC) transmission link.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/ documents/2022/03/f-2022-0119cleanpath.pdf or at https:// dos.ny.gov/public-notices

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or April 14, 2022.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

F-2022-0120 Date of Issuance – March 30, 2022

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2022-0120-Eric Berliner, 31 Seacrest, LLC, proposes to extend pier and install 2-boat lifts adjacent to pier at 31 Seacrest Drive, Lloyd Harbor.

Town of Oyster Bay, Nassau County, Huntington Bay

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/ documents/2022/03/f-2022-0120consistcert.pdf or at https:// dos.ny.gov/public-notices

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or April 29, 2022.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State F-2022-0150

Date of Issuance – March 30, 2022

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2022-0150, Sharon Colman is proposing to construct 8' x 8' platform landward with an attached 4' x 82' fixed dock with and steps, a 30'' x 14' aluminum ramp and a 6' x 20' floating dock supported with 4 piles. The site is located on Mud Creek at 6 Grove Street, Center Moriches, NY, 11934.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/ documents/2022/03/f-2022-0150colman.pdf or at https://dos.ny.gov/ public-notices

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or April 29, 2022.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State

Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2022-0110 in the Matter of The Helmes Group, LLP, Peter J. Helmes, 184 Katonah Avenue, Katonah, NY 10536, for a variance concerning safety requirements, including a vertical platform lift. Involved is a new building, two stories in height located at 220 South Bedford Road, Town Of Bedford, NY 10549, County of Westchester, State of New York.

2022-0115 in the Matter of Management & Organization Support Services, LLC, Marion Anderson, 90 Garth Road, Scarsdale, NY 10583, for a variance concerning safety requirements, including a sprinkler system. Involved is a three story institutional building located at 65 Main Street, Village of Tuckahoe, NY 10707, County of Westchester, State of New York.

2022-0116 in the Matter of SI Design, Sid Schlomann, Three Petersville Road, Mount Kisco, NY 10549, for a variance concerning safety requirements, including basement stairs. Involved is a one family dwelling located at 135 Pleasant Avenue, Village of Pleasantville, NY 10570, County of Westchester, State of New York.

2022-0117 in the Matter of Cusato Management Corp., Joseph Cusato, 89 Boway Road, South Salem, NY 10590, for a variance concerning safety requirements, including an installed hot tub. Located at 89 Boway Road, Town of Lewisboro, NY 10590, County of Westchester, State of New York.

PUBLIC NOTICE

Department of State

Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2022-0119 in the matter of Azhar Butt, 625 Albany Street, Utica New York 13501, for a variance concerning multiple code issues at an existing Multiple Residence occupancy, three stories in height containing six units, located at 625 Albany Street, City of Utica, County of Onieda, State of New York.

PUBLIC NOTICE

Department of State

Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2022-0126 In the matter of John Snyder R.A. of John Snyder Architects PLLC, Suite 204, 700 Cascadilla Street, Ithaca, New York 14850, for a variance concerning multiple code issues for additions and renovation to an existing new automobile retail sales occupancy, three stories in height, located at 959 Hiawatha Boulevard, City of Syracuse, County of Onondaga, State of New York.

PUBLIC NOTICE

Susquehanna River Basin Commission Projects Approved for Consumptive Uses of Water

SUMMARY: This notice lists the projects approved by rule by the Susquehanna River Basin Commission during the period set forth in "DATES."

DATES: February 1-28, 2022

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries may be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists the projects, described below, receiving approval for the consumptive use of water pursuant to the Commission's approval by rule process set forth in 18 CFR § 806.22(e) and 18 CFR § 806.22(f) for the time period specified above:

Water Source Approval - Issued Under 18 CFR 806.22(f):

1. Chesapeake Appalachia, L.L.C.; Pad ID: Samantha; ABR-201501006.R1; Forkston Township, Wyoming County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: February 2, 2022.

2. Pennsylvania General Energy Company, L.L.C.; Pad ID: Huckleberry Pad C; ABR-202202001; Union Township, Tioga County, Pa.; Consumptive Use of Up to 4.5000 mgd; Approval Date: February 8, 2022.

3. Chesapeake Appalachia, L.L.C.; Pad ID: Dan Ellis; ABR-20100210.R2; Monroe Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: February 16, 2022.

4. Seneca Resources Company, LLC; Pad ID: McClure 527; ABR-201001043.R2; Rutland Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: February 16, 2022.

5. EXCO Resources (PA), LLC; Pad ID: Edkin Hill Unit; ABR-201412004.R1; Shrewsbury Township, Sullivan County, Pa.; Consumptive Use of Up to 2.0000 mgd; Approval Date: February 16, 2022.

6. SWN Production Company, LLC.; Pad ID: MacGeorge Well Pad; ABR-201202011.R2; Silver Lake Township, Susquehanna County, Pa.; Consumptive Use of Up to 4.9990 mgd; Approval Date: February 16, 2022.

7. Chief Oil & Gas, LLC; Pad ID: Wright A Drilling Pad #1; ABR-201202004.R2; Canton Township, Bradford County, Pa.; Consumptive Use of Up to 2.0000 mgd; Approval Date: February 18, 2022.

8. Chief Oil & Gas, LLC; Pad ID: L & L Construction A Drilling Pad #1; ABR-201202014.R2; Overton Township, Bradford County, Pa.; Consumptive Use of Up to 2.0000 mgd; Approval Date: February 18, 2022.

9. Repsol Oil & Gas USA, LLC; Pad ID: HEMLOCK VALLEY (05 265); ABR-201201035.R2; Pike Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: February 18, 2022.

10. Coterra Energy Inc.; Pad ID: FoltzJ P2; ABR-201702003.R1; Brooklyn Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: February 18, 2022.

11. BKV Operating, LLC; Pad ID: Trecoske North Pad; ABR-

201201023.R2; Silver Spring Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: February 23, 2022.

12. Repsol Oil & Gas USA, LLC; Pad ID: DCNR 587 (02 009); ABR-20100220.R2; Ward Township, Tioga County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: February 23, 2022.

13. Diversified Oil & Gas, LLC; Pad ID: Rhodes Well Pad; ABR-201201018.R2; Gamble Township, Lycoming County, Pa.; Consumptive Use of Up to 3.6000 mgd; Approval Date: February 23, 2022.

14. SWN Production Company, LLC; Pad ID: TONYA WEST; ABR-201201026R2; New Milford Township, Susquehanna County, Pa.; Consumptive Use of Up to 4.9990 mgd; Approval Date: February 23, 2022.

15. Chesapeake Appalachia, L.L.C.; Pad ID: Welles 5; ABR-20100217.R2; Terry Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: February 24, 2022.

16. EQT ARO LLC; Pad ID: Mallory Group Pad A; ABR-202202002; Plunketts Creek Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: February 28, 2022.

17. Seneca Resources Company, LLC; Pad ID: Sharretts 805; ABR-201001043.R2; Clymer Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: February 28, 2022.

18. Seneca Resources Company, LLC; Pad ID: Burt 518; ABR-20100221.R2; Richmond Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: February 28, 2022.

19. Coterra Energy Inc.; Pad ID: BerryD P1; ABR-20100215.R2; Dimock Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: February 28, 2022.

20. Coterra Energy Inc.; Pad ID: RussoB P1; ABR-20100231.R1; Springville Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: February 28, 2022.

21. Repsol Oil & Gas USA, LLC; Pad ID: LONGNECKER(03 008) G; ABR-20100223.R2; Columbia Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: February 28, 2022.

22. Repsol Oil & Gas USA, LLC; Pad ID: BOOR (03 015) J; ABR-20100232.R2; Columbia Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: February 28, 2022.

23. Range Resources – Appalachia, LLC; Pad ID: Bobst Mtn Hunting Club 30H-33H; ABR-201202017.R2; Cogan House Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: February 28, 2022.

Authority: Public Law 91-575, 84 Stat. 1509 et seq., 18 CFR parts 806 and 808.

Dated: March 9, 2022

Jason E. Oyler,

General Counsel and Secretary to the Commission.

PUBLIC NOTICE

Susquehanna River Basin Commission Projects Approved for Minor Modifications

SUMMARY: This notice lists the minor modifications approved for a previously approved project by the Susquehanna River Basin Commission during the period set forth in "DATES."

DATES: February 1-28, 2022

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries may be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists previously approved projects, receiving approval of minor modifications, described below, pursuant to 18 CFR 806.18 or to Commission Resolution Nos. 2013-11 and 2015-06 for the time period specified above: Minor Modification Issued Under 18 CFR § 806.18

1. Brymac, Inc. – Mountain View Country Club, Docket No. 20211212, Harris Township, Centre County, Pa.; modification approval to add the University Area Joint Authority as an additional source of water for consumptive use; Approval Date: February 7, 2022.

Authority: Public Law 91-575, 84 Stat. 1509 et seq., 18 CFR parts 806 and 808.

Dated: March 9, 2022.

Jason E. Oyler,

General Counsel and Secretary to the Commission

EXECUTIVE ORDERS

Executive Order No. 3.5: Continuing the Declaration of Disaster Emergency.

WHEREAS, pursuant to Executive Order 211, issued July 6, 2021, a statewide disaster was declared across the State due to gun violence;

NOW, THEREFORE, I, KATHY HOCHUL, Governor of the State of New York, by virtue of the authority vested in me by the Constitution and Article 2-B of the Executive Law, York, do hereby extend the state disaster emergency as set forth in Executive Order 211, as continued in Executive Order 3.4, and continue the terms, conditions, and suspensions contained in Executive Order 211, until March 22, 2022.

(L.S.)

GIVEN under my hand and the Privy Seal of the State in the City of Albany this twentieth day of February in the year two thousand twenty-two.

BY THE GOVERNOR /S/ Kathy Hochul /s/ Karen Persichilli Keogh Secretary to the Governor

Executive Order No. 4.6: Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York.

WHEREAS, there are staffing shortages in hospitals and other healthcare facilities and they are expected to continue;

WHEREAS, severe understaffing in hospitals and other healthcare facilities is expected to continue to affect the ability to provide critical care and to adequately serve vulnerable populations;

WHEREAS, there is an immediate and critical need to supplement staffing to assure healthcare facilities can provide care;

NOW, THEREFORE, I, Kathy Hochul, Governor of the State of New York, by virtue of the authority vested in me by the Constitution of the State of New York and Section 28 of Article 2-B of the Executive Law, do hereby extend the state disaster emergency as set forth in Executive Order 4, as continued in Executive Order 4.5, and continue the terms, conditions, and suspensions contained in Executive Orders 4, 4.1, 4.2, 4.3, 4.4, and 4.5 through March 31, 2022.

March 31, 2022.

(L.S.)

GIVEN under my hand and the Privy Seal of the State in the City of Albany this first day of March in the year two thousand twentytwo.

BY THE GOVERNOR /S/ Kathy Hochul /s/ Karen Persichilli Keogh Secretary to the Governor

Executive Order No. 14: Directing State Agencies and Authorities to Divest Public Funds Supporting Russia.

WHEREAS, Russia has engaged in an unjustified and unprovoked attack on the sovereign nation of Ukraine;

WHEREAS, the State of New York is home to the largest Ukrainian population in the United States and is proud of the special relationship it has with the nation of Ukraine;

WHEREAS, New York stands firmly with Ukraine and strongly condemns Russia's actions against Ukraine;

WHEREAS, the State will not permit its own investment activity, whether directly or indirectly, to aid Russia, or any other entity, as it commits these human rights violations and atrocities in violation of the rights of the Ukrainian people;

WHEREAS, this Order is a testament to the values and economic strength of the State of New York, which has the 10th highest Gross Domestic Product in the world, an amount higher than that of Russia;

WHEREAS, protecting New York from financing discrimination against the Ukrainian people is a compelling State interest;

NOW, THEREFORE, I, Kathy Hochul, Governor of the State of New York do hereby order, effective until such time as the sanctions imposed by the federal government are no longer in effect, as follows:

1. Definitions:

(L.S.)

a. "Affected State Entities" means (i) all agencies and departments over which the Governor has executive authority, and (ii) all public-benefit corporations, public authorities, boards, and commissions, for which the Governor appoints the Chair, the Chief Executive, or the majority of Board Members, except for the Port Authority of New York and New Jersey.

b. "Russian entity" means an institution or company that is headquartered in Russia or has its principal place of business in Russia.

c. "Supporting entity" means any institution or company providing assistance to the Russian government in its campaign to invade the sovereign country of Ukraine, either through in-kind support or for-profit.

2. All Affected State Entities shall review all investments and all contracts for commodities, services, technology and construction to determine if the Affected State Entity has any investments in or contracts with Russian and supporting entities.

3. All Affected State Entities are directed, to the extent practicable:

a. to divest their money and assets from any investment in any institution or company that is determined to be a Russian or supporting entity and to refrain from making any investments in such entities in the future; and

b. to terminate any contracts with an institution or company that is determined to be a Russian or supporting entity and to refrain from entering into any new contracts with such entities in the future.

4. Notwithstanding the foregoing, an Affected State Entity may invest in or contract with a Russian or supporting entity provided that the head of the Affected State Entity makes a determination in writing that the investment or contract is necessary for the Affected State Entity to perform its functions and that no suitable investment or contractual alternatives exist.

> GIVEN under my hand and the Privy Seal of the State in the City of Albany this twentyseventh day of February in the year two thousand twenty-two.

BY THE GOVERNOR /S/ Kathy Hochul /s/ Karen Persichilli Keogh Secretary to the Governor

FINANCIAL REPORTS



Depositories for the Funds of the State of New York

Month End: February 28, 2022

Prepared by the Division of the Treasury Investments, Cash Management and Accounting Operations

maldt

Michael R Schmidt Commissioner

ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Unemployment Insurance Funding Account	Key Bank	10,795,193.63
Occupational Training Act Funding Account	Key Bank	73,330.78
PIT Special Refund Account General Checking	JPMorgan Chase Bank, N.A. Key Bank	(135,203,133.55) (844,289,226.84)
Direct Deposit Account TOTAL	Wells Fargo	<u>157,977,91</u> (154,438,235.94)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account 01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL	Bank of America, N.A.	5,000.00
Albany		
SLA Investigations Account	Key Bank	2,000.00
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
NY ISO Account	Key Bank	No report received
SNY Office of General Services State of New York Elicensing	JPMorgan Chase Bank, N.A. Key Bank	No report received No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New York OGS Fecty cash	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	1,706.75
Key Advantage Account	Key Bank	87,624.50
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	2,029,070.98
NYSP CTIU Confidential Fund	Key Bank	3,900.00
SIU Confidential Fund Account	Key Bank	5,422.31
Special Fund	Key Bank	133,354.26 1,309,587.51
State Police Receipts Account Troop A Batavia - Petty Cash	Bank of America, N.A. Bank of America, N.A.	1,509,587.51
Troop A Batavia-Confidential	Bank of America, N.A.	3,299.72
Troop B Confidential	Key Bank	6,500.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	3,351.30
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	3,000.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential Troop F Petty Cash	JPMorgan Chase Bank, N.A.	2,500.00 1,000.00
Troop G Loudonville Conf	JPMorgan Chase Bank, N.A. Bank of America, N.A.	7,482.89
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	1,000.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,503.28
Troop L Confidential Fund	Bank of America, N.A.	7,449.04
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	17,725.82
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	9,420.52
01077 - OFFICE OF HOMELAND SECURITY	Channen Canal Trust	No ware to a second
Academy Of Fire Science 01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL	Chemung Canal Trust	No report received
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	13,325.13
Revenue Account	JPMorgan Chase Bank, N.A.	33,388.24
01090 - DIVISION OF HUMAN RIGHTS	<u> </u>	
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	7,430.07
NYS Flex Spending	Key Bank	4,089,089.10
State of New York LMC Petty Cash Account	Key Bank	1,868.77
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS	Kay Danle	2 000 02
Agency Advance Account 01300 - ADIRONDACK PARK AGENCY	Key Bank	2,000.00
General Fund	Community Bank	2.00
Petty Cash	Community Bank	3,924.20
01400 - CRIME VICTIMS COMPENSATION BOARD	Sommericy built	5,521.20

01400 - CRIME VICTIMS COMPENSATION BOARD

145,300.00 9,352.34 1,550.00 389.66 2,900.00 5,500,822.80 2,000.00

No report received No report received 245,276.64 0.00 No report received 97,261.91 356,055.32 162,915.18 51,100.87 433,549.19 9,368,413.84 7,634,579.09 45,260.22 1,790,904.89 4,265,107.60 313,035.16 1,906,819.19 503,580.36 3,963,453.25 4,721,454.47 103,418.75 1,338,421.49 79,763.61 12,081,465.26 50,075.00 8,036.41 . 167,441.95 No report received 9,767,105.02 0.00 3,076.42 46,556.28 885,183.51 988,919.15 101,142.98 118,202.50 70,420.16 9,024.00 36,779.50 22,497.24 262,905.57 9,329.36 2,644,538.91 1,256.50 1,931.04 , 27,486.59 184,192.05 52,102.11 26,212,646.22 298,265.00 279,565.25 3,247,340.91 No report received 756,940.17 50,712.19 8,160,496.24 967.77 56,041.76 21,982,119.39 7,247,636.16 3,837,000.00 0.00

Crime V	ictims	JPMorgan Chase Bank, N.A.
Emerge	ncy Claims	Key Bank
Petty Ca	sh Account	Key Bank
REST/SI	JBROG Escrow Account	Key Bank
01490 - DIVISI	ON OF CRIMINAL JUSTICE SERVICES	
	Account	Bank of America, N.A.
	int Fee Account	Bank of America, N.A.
	COMMISSION OF CORRECTION	
	Account	Bank of America, N.A.
	BOARD OF ELECTIONS	K . D . I
	Account FOR PREVENTION OF DOMESTIC VIOLENCE	Key Bank
	vention Domestic Violence	Bank of America, N.A.
	OF THE STATE COMPTROLLER	bank of America, N.A.
Admissi		Bank of America, N.A.
	se Cannabis	Wells Fargo Bank
Advance	e for Travel Account	Key Bank
Alcohol	Beverage	Bank of America, N.A.
Alcoholi	c Bev Control License	Wells Fargo Bank
Alcoholi	c Beverage Control License	M&T Bank
Alcoholi	c Beverage Tax Collections	Wells Fargo Bank
	ients Bulk	JPMorgan Chase Bank, N.A.
	ients Receivable	JPMorgan Chase Bank, N.A.
	ients Receivable (EFT)	Wells Fargo Bank
-	And Wrestling Tax	Bank of America, N.A.
	1 Corporation Tax 1 Estate Tax	JPMorgan Chase Bank, N.A.
	1 Estate Tax 1 Highway Use	JPMorgan Chase Bank, N.A.
Check 2 Check 2		JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.
	1 Real Estate Transfer	JPMorgan Chase Bank, N.A.
	ales Tax	JPMorgan Chase Bank, N.A.
	e Stamp Tax (EFT)	Wells Fargo Bank
	e Stamp Tax Split	JPMorgan Chase Bank, N.A.
	e Tax Tobacco Products	Bank of America, N.A.
Congest	ion Surcharge	Wells Fargo Bank
Corpora	tion Tax	Wells Fargo Bank
Corpora	tion Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.
Employe	er Compensation Expense	Wells Fargo Bank
	everage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank
	ty Cash Acct	Key Bank
Estimate		JPMorgan Chase Bank, N.A.
Gift Tax		Bank of America, N.A.
	us Waste	Key Bank
-	/ Use - Permits & Reg. / Use Truck Mileage Tax (EFT)	Bank of America, N.A. Wells Fargo Bank
	River-Black River	Bank of America, N.A.
	River-Black River	Community Bank
	ar Registrations & Renewals (EFT)	Wells Fargo Bank
	el Use Tax (EFT)	Wells Fargo Bank
IFTA/Os	car Renewals (EFT)	Wells Fargo Bank
IFTA-De	cal/Permit Fee Acct.	Bank of America, N.A.
IFTA-Fu		Bank of America, N.A.
Justice		Key Bank
	17 NYS T&F Pari Mutuel	Key Bank
	18 NYS T&F Off Track	Key Bank
	n Taxicab Trip Tax (EFT)	Wells Fargo Bank
	Marijuana Ta× Collections ommuter Trans. Mobility	Bank of America, N.A. JPMorgan Chase Bank, N.A.
	burg Bridge & Port	Community Bank
-	xcise Tax	Wells Fargo Bank
	rough Entity Tax	Wells Fargo Bank
	I Income Tax	Wells Fargo Bank
	m Business Tax (EFT)	Wells Fargo Bank
	m Products Tax	Bank of America, N.A.
,	sh Account	Key Bank
PIT Bull		JPMorgan Chase Bank, N.A.
Port Of		Key Bank
	ix - MCTMT	Wells Fargo Bank
	IX - Petroleum Business Tax	Wells Fargo Bank
	x - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank
	x - Withholding	Wells Fargo Bank
	ate Transfer Tax	Key Bank
	e Holding	First Niagara Bank
Sales Ta		JPMorgan Chase Bank, N.A.

Sales Tax	Wells Fargo Bank	616.27
SUNY Concentration	First Niagara Bank	0.00
TNC Assessment (EFT)	Wells Fargo Bank	1,193.63
Troy Debt Service Reserve Fund	Bank of America, N.A.	135,154.76
Uncashed Winning Tickets	Bank of America, N.A.	49,975.49
Withholding	Wells Fargo Bank	949,453.88
Withholding Tax	JPMorgan Chase Bank, N.A.	182,454,380.96
Cash Advance Accounts		
Advance For Travel Account	Key Bank	No report received
ERS Petty Cash Acct	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	4,783.96
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	155,050.84
NYS Employer Contributions	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System	,,,,,	
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	7.28
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	12,720.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life	st froiguit of according from a	0.00
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts	St Horgan chase barry have	0.00
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire	st thought on also burnly the a	0.00
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.47
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	6.00
03000 - DEPARTMENT OF LAW	Semolgan chase bank, N.A.	0.00
	Key Bank	No report received
Albany Filing Fees Account Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A. Key Bank	No report received
Attorney General Account	,	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	5,828,767.61
National Global Settlement	JPMorgan Chase Bank, N.A.	191,278.16
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	28,544.76
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	4,646.39
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	3,478.00
Petty Cash Account Dist Off	Bank of America, N.A.	19,957.30
Petty Cash New York City	JPMorgan Chase Bank, N.A.	706.52
Public Information Office	Key Bank	33.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	5,516.62
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,253,293.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	10,910,335.00
Finger Print Account	JPMorgan Chase Bank, N.A.	10,800.00
05005 - OCA OFFICE OF BUDGET & FINANCE	_ ,	,
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		,
Client Security Fund - Bail	Key Bank	1,723,438.22
Lawyers Fund For Client Protection - Bail	Key Bank	702.18
Petty Cash	Key Bank	2,266.97
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Financial Reports

05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	15.07
Clerk Of The Court Of Appeals	Key Bank	0.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	43,400.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	56,204.00
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT	·····	,
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	71,138.50
	St Horgan Chase bank, N.A.	/1,130.50
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT	K B I	10 561 70
Third Dept Civil Fees Acct - Revenue	Key Bank	10,561.70
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	14,753.25
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	4,742.39
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	5,501.14
Glen Cove City Court Built	Wells Fargo Bank	24,112.00
	Weist argo bank	21,112.00
Long Beach City Court		70.454.00
Long Beach City Court Revenue	Wells Fargo Bank	78,151.00
Long Beach Court Bail	Wells Fargo Bank	101,063.24
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	26,346.52
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	177,147.73
Nassau District Court-Civil	-	
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	29,740.18
Nassau Surrogate	Weis Fuige built	25,710.10
	Wells Farga Bank	244 170 25
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	344,179.25
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	27,347.95
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	123,310.00
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	177,944.02
Suffolk District Court Criminal Fines	Cicibanik	177,511.02
	Citikardı	100 010 55
Suffolk County District Court Criminal Fines	Citibank	126,613.55
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	40,510.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	1,716,154.47
Harlem Community Justice Court	u ,	
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	30,596.68
Kings Civil Court- Civil	St Horgan Chase bank, N.A.	50,550.00
		016 533 00
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	916,577.09
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	119,942.29
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	667,159.85
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	101,913.81
05215 - NYC-CRIMINAL COURT		,0.01
Bronx Criminal Court- Criminal Court	10Manual Chang Bank M A	121 (27 00
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	121,697.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	3,809.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	177,581.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	28,284.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	33,825.00
Queens County Criminal Court	St Horgen chube burny n.m.	55,525.00
	IDMessee Char- D N A	FC 700 00
Queens Criminal Court	JPMorgan Chase Bank, N.A.	56,780.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	23,090.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	15,619.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	9,999.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	2,463.00
05235 - SUPREME COURT - KINGS COUNTY	J,	_,
Kings Co Supreme		
range of supreme		

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	IDManage Chase Bank N.A.	2 000 54
Supreme Court Kings County-Revenue 05240 - SUPREME COURT - QUEENS COUNTY	JPMorgan Chase Bank, N.A.	3,000.51
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	8,584.79
05250 - NEW YORK COUNTY CLERK		700 440 50
New York Co Clerk Revenue Account 05255 - BRONX COUNTY CLERK	JPMorgan Chase Bank, N.A.	780,448.50
Bronx County Clerk		
Bronx Crty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	616,293.45
05260 - KINGS COUNTY CLERK	,	,
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,206,456.50
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	853,502.37
05270 - RICHMOND COUNTY CLERK Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	8,136,216.39
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	85,856.00
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate	IDManage Charas Banks N A	05.056.00
Bronx Surrogate Court Revenue Acct 05285 - KINGS COUNTY SURROGATES COURT	JPMorgan Chase Bank, N.A.	85,856.00
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	659,171.00
05290 - QUEENS COUNTY SURROGATES COURT		,
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	158,723.75
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	23,341.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil) Albany City Civil - Revenue	Wells Fargo Bank	1,892.00
Albany City Court - (Crim-Bail)	Weistargobank	1,052.00
Albany City Criminal - Bail	Wells Fargo Bank	13,588.41
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	23,151.18
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part	Trustee Benk	0.00
Albany City Court Civil - Revenue Albany City Court - Crim	Trustco Bank	0.00
Albany City Court-Crim	Wells Fargo Bank	5,899.64
Albany City Court - Traffic-Bail	· · · · · · · · · · · · · · · · · · ·	-,
Albany City Traffic - Bail	Wells Fargo Bank	1,300.17
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	0.00
Albany Police Court	Kee Beele	0.00
Albany Police Court Bail Account Albany Surrogates Court	Key Bank	0.00
Albany County Surrogates Court Revenue	Wells Fargo Bank	23,042.50
Cohoes City Court		,
Cohoes City Court Bail	Key Bank	3,665.00
Cohoes City Court Fees/Fines Account	Key Bank	9,362.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	2,386.00
Greene Surrogate	Wells Fargo Bank	6 810 00
Greene Surrogate-Revenue Hudson City Court	Wells Fargo ballk	6,812.00
Hudson City Bail	Wells Fargo Bank	28,305.00
Hudson City Revenue	Wells Fargo Bank	3,853.00
Kingston City Court	-	
Kingston City Court Bail	Wells Fargo Bank	4.00
Kingston City Court Revenue	Wells Fargo Bank	29,661.54
Rensselaer City Court		
Rensselaer City Court - Bail Rensselaer City Court - Revenue	Wells Fargo Bank Wells Fargo Bank	5,006.61 5,612.72
Rensselaer Court - Revenue Rensselaer County Surrogate	Worst algo Dalik	3,012./2
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	22,911.00
Schoharie Surrogate Court	-	
Schoharie Surrogates Court Revenue	NBT Bank	0.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	4,499.59

Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	21,681.45
Troy Police Court Bail Account	Bank of America, N.A.	11,989.58
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	385.00
Ulster County Surrogate Court - Revenue	Wells Fargo Bank	20,319.25
Watervliet City Court Watervliet City Court - Bail	Wells Fargo Bank	38,845.77
Watervliet City Court - Berenue	Wells Fargo Bank	19,059.50
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		19,009.00
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	16,951.13
Amsterdam City Court - Revenue	Key Bank	5,929.00
Clinton County Surrogates		
Clinton County Surrogates - Revenue	NBT Bank	451.00
Essex County Surrogate	Champlein National	495.75
Essex Co Surrogate Clerk - Revenue Franklin County Surrogate	Champlain National	495./5
Franklin Co Surrogate Court - Revenue	Key Bank	30.00
Fulton County Surrogate	,	
Fulton County Surrogate's Court	Key Bank	2,708.00
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	6,893.00
Glens Falls City Court Bail Acct	Glens Falls National	15,715.99
Gloversville City Court	NPT Deal	22 210 41
Gloversville City Court Bail Gloversville City Court Revenue	NBT Bank NBT Bank	23,219.41 7,089.00
Hamilton Surrogate	NOT DAIL	7,005.00
Hamilton Surrogate - Revenue	Community Bank	0.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	15,576.07
Johnstown City Court Fines/Fees - Revenue	Key Bank	7,447.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	0.00
Mechanicville City Ct Revenue Acct	TD Bank	3,520.00
Montgomery County Surrogate Montgomery County Surrogates Court - Revenue	NBT Bank	1,671.00
Ogdensburg City Court		1,0/1.00
Ogdensburg City Court Int Bail	Community Bank	2,510.04
Ogdensburg City Court Revenue	Community Bank	1,569.09
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	11,702.13
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	12,025.00
Saratoga County Surrogate	Palletan Caa National Pank	4 271 00
Saratoga County Surrogate - Revenue Saratoga Springs City Court	Ballston Spa National Bank	4,271.00
Saratoga Springs Bail Account	The Adirondack Trust Company	30,521.23
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	12,472.72
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	45,278.20
Schenectady City Court Revenue	Bank of America, N.A.	44,410.84
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	6,785.00
St. Lawrence Co Surrogate Revenue	Community Pank	1 522 00
St. Lawrence County Surrogate - Revenue Warren County Surrogate	Community Bank	1,532.00
Warren County Surrogate Court - Revenue	TD Bank	2,375.00
Washington Surrogates		-,
Washington Surrogate Revenue	TD Bank	657.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	29,172.22
Fulton City Court Revenue Herkimer Surrogate	Key Bank	7,594.65
Herkimer Surrogate - Revenue	Partners Trust	166.00
Jefferson Surrogates		100.00
Jefferson Co Surrogate Revenue	Key Bank	10,198.25
Lewis County	•	,
Lewis County Clerk	Community Bank	2,574.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	1,317.00
Little Falls City Court	MOT DI	27 252 22
Little Falls City Court Bail	M&T Bank	27,350.00
Little Falls City Court Revenue	M&T Bank	1,900.98

Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	21,249.00
Onondaga County Surrogates	. ,	,
Onondaga Surrogate Court - Revenue	Alliance Bank	17,445.16
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	23,529.17
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	12,193.00
Oswego Surrogate Court Oswego County Surrogate Court - Revenue	Key Park	10,198.25
Rome City Court	Key Bank	10,196.25
City Court Of Rome Bail Account - Bail	NBT Bank	36,324.94
Rome City Court - Revenue	NBT Bank	17,260.58
Sherrill City Court		,
Sherrill City Court 5th Jud Dist - Bail	NBT Bank	101.00
Sherrill City Court Fees - Revenue	NBT Bank	220.00
Syracuse City Court		
Syracuse City Court - Bail	NBT Bank	230,748.00
Syracuse City Court - Fees - Revenue	NBT Bank	24,904.26
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	99,030.47
Utica City Court Revenue Account	Key Bank	18,143.01
Watertown City Court		407 566 00
Watertown City Court Bail	Key Bank	137,566.80 12,803.50
Watertown City Court Fees & Fines - Revenue 05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION	Key Bank	12,803.50
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	56,918.75
Binghamton City Court Revenue	M&T Bank	16,896.52
Broome Surrogates		,
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	597.00
Chemung County Surrogates	-	
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	446.00
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	286.00
Cortland City Court		
Court City Court Bail	NBT Bank	8,485.13
Court City Court- Revenue	NBT Bank	6,662.30
Cortland County Surrogates		coo oo
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	689.00
Delaware County Surrogates Delaware County Surrogate - Revenue	Delaware National Bank	1,115.99
Elmira City Court		1,113.55
Elmira City Court - Revenue Account	Chemung Canal Trust	15,319.72
Elmira City Court Bail	Chemung Canal Trust	82,183.46
Ithaca City Court		,
Ithaca City Court	Tompkins Community Bank	8,450.00
Ithaca City Court Revenue	Tompkins Community Bank	6,215.00
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	2,700.25
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	5,767.98
Norwich City Court Revenue Acct	NBT Bank	1,718.00
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	10,851.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	10,316.00
Oneonta City Court Oneonta City Court - Revenue	Community Bank	2,731.00
Oneonta City Court Bail Account	Community Bank	5,550.00
Otsego County Surrogates	communicy bank	5,550.00
Otsego County Surrogates Court - Revenue	Key Bank	(417.00)
Schuyler County Surrogates		(11)100)
Schuyler County Surrogates Court	Community Bank	287.00
Tioga County Surrogates	,	
Tioga Surrogates Court - Revenue	M&T Bank	1,316.00
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	No report received
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	25,111.00
Auburn City Court Fees & Fines - Revenue	Key Bank	6,697.00
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	24,281.60
Canandaigua City Court Revenue	Canandaigua National Bank	6,166.00
Cayuga County Surrogates		

Cayuga Surrogate Court	Wells Fargo Bank	46.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	12,254.54
Corning City Court - Revenue Geneva City Court	Wells Fargo Bank	3,248.02
Geneva City Court Bail Account	Wells Fargo Bank	12,568.22
Geneva City Court Revenue Account	Wells Fargo Bank	6,080.50
Hornell City Court	5	
Hornell City Court Bail Account	Community Bank	4,353.03
Hornell City Court Revenue	Community Bank	1,328.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	592.00
Monroe County Surrogates 7th District Monroe Surrogate	Wells Fargo Bank	28,777.00
Ontario County Surrogates	Wells Fargo bark	26,///.00
Ontario Surrogate Court	Wells Fargo Bank	2,794.00
Rochester City Court		_,
Rochester City Court Bail Account	M&T Bank	458,643.75
Rochester City Revenue	M&T Bank	17,650.92
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	20.00
Steuben County Surrogates		4 410 00
7th District Steuben Surrogate Wayne County Surrogates	Wells Fargo Bank	4,410.00
Wayne Surrogate Court	Wells Fargo Bank	2,501.00
Yates County Surrogates		2,001.00
Yates Surrogate Court	Wells Fargo Bank	0.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	1,871.00
Batavia City Court		00.045.00
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	23,915.09
ST of NY Office of The State Comptroller Batavia City Court REVENUE BUFFALO CITY COURT	Wells Fargo Bank	7,143.00
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	163,599.40
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	29,956.44
Cattaraugus County Surrogates	5	,
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	2,012.00
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	805.00
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	15,757.35
ST of NY Office of The State Comptroller Dunkirk Revenue Account Erie - Buffalo County Law Library	Wells Fargo Bank	3,035.00
Sur Ct Lib At Buffalo - Revenue	M&T Bank	42.40
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	15,212.15
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	929.00
Jamestown City Court		
St of NY Office of the State Comptroller Jamestown City Court Bail Account	Wells Fargo Bank	6,508.61
St of NY Office of the State Comptroller Jamestown City Court Revenue Account	Wells Fargo Bank	6,464.00
Lackawanna City Court Lackawanna City Court Bail Account	Key Bank	13,601.82
Lackawanna City Court Ban Account	Key Bank	23,818.00
Lockport City Court	itoj bant	20,010.00
St of NY Office of the State Comptroller Lockport City Court Bail Account	Wells Fargo Bank	24,337.93
St of NY Office of the State Comptroller Lockport City Court Revenue Account	Wells Fargo Bank	5,976.00
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	3,972.00
Niagara Falls City Court		00 0 <i>1</i> 0 50
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	29,042.59
No. Tonawanda City Court	Wells Fargo Bank	23,811.10
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	100,992.82
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	31,794.52
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	1,722.50
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	4,603.01
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	1,173.00
Salamanca City Court		
St of NY Office of the State Comptroller Salamanca City Court Bail Account	Wells Fargo Bank	2,514.76
St of NY Office of the State Comptroller Salamanca City Court Revenue Account	Wells Fargo Bank	4,498.34

Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	40,569.63
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	10,897.41
Wyoming County Surrogates	Mulla Favaa Daali	222.00
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court 05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION	Wells Fargo Bank	332.00
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	11,381.19
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	3,631.00
Dutchess County Surrogates Court	10Maurra Chasa Baali N.A	40 501 75
Dutchess County Surrogate Court - Revenue Middletown City Court	JPMorgan Chase Bank, N.A.	49,591.75
Middletown City Bail Escrow - Bail	Wells Fargo Bank	98,529.13
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue	Wells Fargo Bank	39,467.42
Mt Vernon City Court		150 000 00
Mt Vernon City Court State Bail Mt Vernon City Court State Revenue	Wells Fargo Bank Wells Fargo Bank	150,362.90 58,922.61
New Rochelle City Court	Worst argo bank	50,522.01
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	320,218.78
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	30,231.00
Newburgh City Court		45 755 04
Newburgh Bail Account	Wells Fargo Bank Wells Fargo Bank	45,755.01 24,080.36
Newburgh City Court Revenue Orange County Surrogates Court	Weis Fargo Dank	24,060.30
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	2,765.50
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	86,678.09
Peekskill City Court	JDM-wave Charas Banks N.A.	74 407 54
Peekskill City Court - Bail Port Jervis City Court	JPMorgan Chase Bank, N.A.	74,487.51
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	6,122,94
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	8,516.00
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	38,717.36
Poughkeepsie City Court -Revenue	Wells Fargo Bank	19,799.96
Putnam Co Surrogate's Court Putnam Co Surrogates Court	Putnam County National Bank	14,424.25
Rockland County Surrogates Court	r atham county hadolidi bank	11,121.25
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	12,885.84
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	11,251.04
City Of Rye Fines And Fees - Revenue Westchester County Surrogates Court	JPMorgan Chase Bank, N.A.	24,817.66
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	132,301.09
White Plains City Court	-	,
White Plains City Court Bail Account	Sterling Bank	0.00
White Plains City Court Bail Account	Wells Fargo Bank	69,145.52
White Plains City Court Vehicle And Traffic Acct - Revenue White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank Wells Fargo Bank	0.00 91,074.94
Yonkers City Court	Wells Falgo Dalik	91,0/4.94
Yonkers City Bail Account - Bail	Wells Fargo Bank	394,851.77
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	71,843.90
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	61,440.80
Agency Advance Account Agriculture Producers Sec Fund	Key Bank Key Bank	10,000.00 40,237.68
Animal Population Control Account	Key Bank	14,217.91
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	61,778.25
Dairy Industry Services Account	Key Bank	5,019.51
Dairy Promotion Order Fund	Key Bank	0.00
Milk Producers Security Fund NYS Farmers Market Program	Key Bank Key Bank	38,625.19 416,445.82
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	12,993.03
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	11,486.27
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.48
Plants Industry Account Dride of NV	Key Bank Key Bank	24,305.14
Pride of NY Weights & Measures Account	Key Bank Key Bank	6,266.98 13,316.47
NYS Dept Agriculture & Markets	INCY DATIN	15,510.47
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	46,172.91

State Fair		
NYS Fair Operating Account	Solvay Bank	212,539.09
NYS Fair Special Account	Solvay Bank	232.08
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account Examination Application Fees Account	Bank of America, N.A. Key Bank	393.00 2,347.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	78,440,315.60
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		-,,
Petty Cash And Travel Advance Account	Key Bank	250.01
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Conservation Petty Cash Account	M&T Bank	30,000.00
DEC/Exchange Account	M&T Bank	30,430.74
ENCON License Issuing Office	M&T Bank JPMorgan Chase Bank, N.A.	40.00 7,206.20
ENCON/Montauk Point Feasibility Study ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	22,923.46
Hunting Trapping & Fishing Account	M&T Bank	17,366.22
Lockbox Account	Wells Fargo Bank	146,680.49
NY Conservationist	Bank of America, N.A.	, 11,554.70
Program Fee	JPMorgan Chase Bank, N.A.	24,997.80
Revenue Account	Bank of America, N.A.	1,598,265.04
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,265,999.62
State of New York	Key Bank	92,292.60
Region 1		
Marine Permit Account	People's United Bank	133,473.25
Region 3	1-# DI	0.00
Beaverkill & Mongaup Pond	Jeff Bank Bank of America, N.A.	0.00 5.00
Revenue Region 3 Account Region 4	bank of America, N.A.	5.00
Bear Spring Revenue Account	Wayne Bank	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 5		
- Campsite Revenue Account	Glens Falls National	439.10
Land & Forest Region 5W	TD Bank	18,242.48
NYS Conservation	Glens Falls National	26.00
Recreation (Warrensburg)	City National Bank & Trust	315.66
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	921.01
Tree Nursery	Bank of America, N.A.	101,917.00
Region 6 Fish & Wildlife Watertown	Kov Paple	126.92
Lands & Forest District #7	Key Bank Community Bank	1,578.90
Lands & Forests District #6	Community Bank	0.00
Lands And Forests District 10	M&T Bank	21.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,210.79
Employee Benefit Fund	Five Star Bank	9,005.48
General Cash Fund	Five Star Bank	489.50
Inmate Occupational Therapy Fund	Five Star Bank	123,347.84
Spendable Fund	Five Star Bank	688,355.89
10010 - AUBURN CORRECTIONAL FACILITY	Kee Dee '	0.050.55
Advance Account Certificate of Deposit	Key Bank Bank of America, N.A.	2,950.27 15,021.20
Inmate Occupational Therapy Fund	Key Bank	251,118.93
Innate Occupational metapy rund	Key Bank	31,904.86
Misc Revenue	Key Bank	55,809.29
10020 - CLINTON CORRECTIONAL FACILITY	,	,
Advance Account	Key Bank	11,945.00
Employee Benefit Fund	Key Bank	9,220.23
General Fund	Key Bank	1,173.00
Inmate Funds	Key Bank	195,638.33
Inmate Occupational Therapy Acct	Key Bank	86,195.68
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Facility Advance	Key Bank	6,250.72
General Fund Inmate Fund	Key Bank Key Bank	0.00 372,125.22
Miscellaneous Account	Key Bank	35,829.83
Occupational Therapy	Key Bank	72,564.74
,	,	, 2,00, 1

10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	4,505.25
Employee Benefits	M&T Bank	13,909.41
Inmate Spending Account	M&T Bank	815,768.95
Misc Receipts	M&T Bank	9,879.32
Occupational Therapy Account	M&T Bank	70,793.47
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,122.80
Employee Benefit	Key Bank	2,495.46
Inmate Occupational Therapy Fund	Key Bank	24,723.01
Inmates Fund Account	Key Bank	289,545.50
Misc. Receipts	Key Bank	16,042.00
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	No report received
General Fund	Key Bank	No report received
Inmates Money Market	Key Bank	No report received
Inmates Now Checking	Key Bank	No report received
Occupational Therapy Fund	Key Bank	No report received
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	3,480.27
Employee Benefit Fund	Bank of America, N.A.	12,677.66
Inmate Funds	Bank of America, N.A.	79,662.63
Misc Receipts	Bank of America, N.A.	5,372.11
Occupational Therapy	Bank of America, N.A.	22,034.17
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Webster Bank	905.09
Employee Benefit Fund	Webster Bank	16,286.82
Inmate Deposit Now Account	Webster Bank	528,827.32
Inmate Occupational Therapy Account	Webster Bank	48,327.71
Misc. Receipts	Webster Bank	6,641.93
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	2,386.08
Employee Benefit Fund	Chemung Canal Trust	32,604.67
Inmates Fund	Chemung Canal Trust	380,534.77
Miscellaneous Receipts	Chemung Canal Trust	4,313.62
Occupational Therapy Fund	Chemung Canal Trust	27,214.25
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	5,321.94
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	6,722.13
Inmate Funds	JPMorgan Chase Bank, N.A.	146,994.10
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	23,353.42
Misc. Receipts	JPMorgan Chase Bank, N.A.	489.50
Occupational Therapy 10130 - COXSACKIE CORRECTIONAL FACILITY	JPMorgan Chase Bank, N.A.	76,045.34
	National Pank of Covcachio	2 1 52 40
Agency Advance Account Employee Benefits Fund	National Bank of Coxsackie National Bank of Coxsackie	2,153.40 30,201.32
Inmates Fund	National Bank of Coxsackie	0.50 74444
Misc. Revenue	National Bank of Coxsackie	253,744.14 465.00
Occupational Therapy Acct	National Bank of Coxsackie	52,313.92
10140 - WOODBOURNE CORRECTIONAL FACILITY	National bank of Coxsachie	52,515.52
WCF Agency Advance	Jeff Bank	989.74
WCF General Fund	Jeff Bank	21,671.43
WCF Inmate Fund	Jeff Bank	96,597.05
WCF Occupational Therapy Fund	Jeff Bank	68,651.27
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		00,031.27
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
Inmate Escrow Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Special Account	Key Bank	No report received
10170 - QUEENSBORO CORRECTIONAL FACILITY	iter series	
Agency Advance Account	JPMorgan Chase Bank, N.A.	No report received
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds Account	JPMorgan Chase Bank, N.A.	No report received
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	No report received
	g,	

	Savings Account	JPMorgan Chase Bank, N.A.	No report received
10230	- ADIRONDACK CORRECTIONAL FACILITY		5 7 1 7 0 5
	ADK QWL	Community Bank	5,717.85
	Agency Advance	Community Bank	670.00
	Employee Benefit Fund	Community Bank	2,604.97
	General Fund	Community Bank	155.25
	Inmate Funds	Community Bank	22,848.53
	Inmate Occupational Therapy Fund	Community Bank	782.94
10240	- DOWNSTATE CORRECTIONAL FACILITY		
	Agency Advance	JPMorgan Chase Bank, N.A.	2,168.66
	Employee Recreational Funds	JPMorgan Chase Bank, N.A.	9,408.87
	Inmate Fund	JPMorgan Chase Bank, N.A.	148,027.48
	Misc. Receipts	JPMorgan Chase Bank, N.A.	14,942.90
	Occupational Therapy	JPMorgan Chase Bank, N.A.	43,893.02
10250 ·	- TACONIC CORRECTIONAL FACILITY		
	Employee Benefit Fund	JPMorgan Chase Bank, N.A.	4,211.67
	Inmate Funds	JPMorgan Chase Bank, N.A.	110,433.04
	Misc. Revenue	JPMorgan Chase Bank, N.A.	934.48
	Money Market	JPMorgan Chase Bank, N.A.	30,994.78
	Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	19,316.26
	Taconic Advance Account	JPMorgan Chase Bank, N.A.	2,890.00
10270	- HUDSON CORRECTIONAL FACILITY		
	Advance Account	Key Bank	4,863.51
	Employee Benefit Fund Account	Key Bank	2,650.18
	Inmate Funds account	Key Bank	74,414.50
	Inmate Occupational Therapy Account	Key Bank	5,969.30
	Miscellaneous Receipts Account	Key Bank	6,220.00
10290	- OTISVILLE CORRECTIONAL FACILITY	,	
	Cash Advance	Jeff Bank	407.60
	General Fund	Jeff Bank	3,848.42
	Inmate Funds	Jeff Bank	263,431.05
	Inmate Occupational Therapy	Jeff Bank	56,473.41
10300 -	- ROCHESTER CORRECTIONAL FACILITY	Join Daine	00,00001
	Employee Recreation Fund	M&T Bank	580.93
	Inmate Deposit Account	M&T Bank	156,748.06
	Work Release Advance Account	M&T Bank	6,923.83
10320	- EDGECOMBE CORRECTIONAL FACILITY	Fiel Bank	0,925.05
10520	Agency Advance	JPMorgan Chase Bank, N.A.	No report received
	Employee Benefit Account	JPMorgan Chase Bank, N.A.	No report received
	Inmate Cash Account	JPMorgan Chase Bank, N.A.	No report received
		JPMorgan Chase Bank, N.A.	
	Misc. Receipts Account		No report received
	Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	No report received
10250	Work Release Account	JPMorgan Chase Bank, N.A.	No report received
10220 -	- OGDENSBURG CORRECTIONAL FACILITY	Community Bonk	No const received
	Agency Advance Account	Community Bank	No report received
	EBF	Community Bank	No report received
	Inmate Fund	Community Bank	No report received
	Inmate Savings	Community Bank	No report received
	Misc. Receipts	Community Bank	No report received
	Occupational Therapy	Community Bank	No report received
10370 ·	- FIVE POINTS CORRECTIONAL FACILITY		
	Consolidated Advance	Five Star Bank	1,250.00
	EBF Checking	Five Star Bank	41,939.95
	EBF Savings	Five Star Bank	30,851.61
	Inmate Spendable	Five Star Bank	133,012.33
	Misc Receipts	Five Star Bank	967.18
	Occupational Therapy	Five Star Bank	53,886.74
10390 ·	- MOHAWK CORRECTIONAL FACILITY		
	Agency Advance	Key Bank	1,670.97
	Employee Benefit Fund Checking	Bank of America, N.A.	34,358.38
	Inmate Funds Checking	Key Bank	279,428.21
	Inmate Funds Savings	Key Bank	314,525.02
	Miscellaneous Revenue	Key Bank	10,034.49
	Occupational Therapy	Key Bank	26,219.30
10430	- WENDE CORRECTIONAL FACILITY		
	Consolidated Advance	Alden State Bank	3,637.93
	Employee Benefit Account	Alden State Bank	32,420.07
	Inmates Funds	Alden State Bank	150,745.50
	Misc. Receipts	Alden State Bank	6,595.77
	Occupational Therapy	Alden State Bank	53,733.65
10441	- DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		-,
	fice of Nutritional Services		
51	Miscellaneous Receipts	Key Bank	28,346.49
10460	- GROVELAND CORRECTIONAL FACILITY	,	

	Agency Advance Account	Five Star Bank	1,940.00
	Employee Commission Account	Five Star Bank	11,469.19
	Inmate Funds Account	Five Star Bank	348,943.35
	Miscellaneous Receipts Account	Five Star Bank	1,038.03
	Occupational Therapy Account	Five Star Bank	17,071.33
10470	- COLLINS CORRECTIONAL FACILITY		
	Agency Advance	Community Bank	4,435.00
	Employee Activities	Community Bank	48,777.23
	Inmate Fund Checking	Community Bank	379,856.32
	Miscellaneous Revenue	Community Bank	9,701.74
	Occupational Therapy	Community Bank	16,665.50
10480	- MID-STATE CORRECTIONAL FACILITY		
	Agency Advance	Key Bank	931.35
	Employee Benefit Fund	Bank of America, N.A.	29,747.03
	Inmate Funds	Key Bank	310,484.22
	Misc. Revenue	Key Bank	1,749.25
	Occupational Therapy	Key Bank	46,506.56
10490	- MARCY CORRECTIONAL FACILITY		
	Agency Advance Account	Key Bank	2,924.69
	Employee Benefit Fund Account	Bank of America, N.A.	42,036.04
	Inmate Fund Account	Key Bank	191,874.55
	Misc receipts Acct	Key Bank	150.75
	NYS DOCS Marcy CORR Facility	Key Bank	206,843.24
	Occupational Therapy fund Acct	Key Bank	39,212.27
10501	- CENTRAL PHARMACY		
	NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510	- MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
	Moriah Shock Incarceration Advance Acct	Glens Falls National	0.00
	Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	50.00
	Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,564.41
	Moriah Shock Misc Receipts	Glens Falls National	0.00
	NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	231.46
10530	- FRANKLIN CORRECTIONAL FACILITY		
	Advance Account	Key Bank	No report received
	Employee Benefit Account	Key Bank	No report received
	Inmate Funds	Key Bank	No report received
	Inmate Occupational Therapy	Key Bank	No report received
	Misc. Receipts	Key Bank	No report received
10540	- ALTONA CORRECTIONAL FACILITY		
	Cons Adv Travel Petty Cash	NBT Bank	3,437.94
	Employees Vending Benefit	NBT Bank	7,861.25
	Inmates Funds	NBT Bank	27,511.56
	Misc Revenues General Fund	NBT Bank	0.00
	Occupational Therapy	NBT Bank	4,389.34
10550	- CAYUGA CORRECTIONAL FACILITY		
	Agency Advance	First National Bank of Groton	846.75
	Employee Benefit Fund	First National Bank of Groton	
	Inmate Occupational Therapy Account		4,485.74
		First National Bank of Groton	32,665.33
	Inmate Spendable	First National Bank of Groton	32,665.33 209,444.36
	Misc Receipts		32,665.33
10560	Misc Receipts - BARE HILL CORRECTIONAL FACILITY	First National Bank of Groton First National Bank of Groton	32,665.33 209,444.36 4,082.19
10560	Misc Receipts BARE HILL CORRECTIONAL FACILITY Agency Advance	First National Bank of Groton First National Bank of Groton Key Bank	32,665.33 209,444.36 4,082.19 1,853.23
10560	Misc Receipts - BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund	First National Bank of Groton First National Bank of Groton Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50
10560	Misc Receipts • BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85
10560	Misc Receipts • BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00
	Misc Receipts • BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85
	Misc Receipts • BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy • RIVERVIEW CORRECTIONAL FACILITY	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Key Bank Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58
	Misc Receipts • BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy • RIVERVIEW CORRECTIONAL FACILITY Agency Advance Account	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71
	Misc Receipts • BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy • RUVERVIEW CORRECTIONAL FACILITY Agency Advance Account Inmate Accounts	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31
	Misc Receipts • BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy • RIVERVIEW CORRECTIONAL FACILITY Agency Advance Account Inmate Accounts Inmate Savings Account	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31 125,660.92
	Misc Receipts • BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy • RIVERVIEW CORRECTIONAL FACILITY Agency Advance Account Inmate Accounts Inmate Savings Account Miscellaneous Receipts Account	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31 125,660.92 10,487.69
10570	Misc Receipts • BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy • RIVERVIEW CORRECTIONAL FACILITY Agency Advance Account Inmate Accounts Inmate Savings Account Miscellaneous Receipts Account Occupational Therapy	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31 125,660.92
10570	Misc Receipts • BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy • RIVERVIEW CORRECTIONAL FACILITY Agency Advance Account Inmate Savings Account Miscellaneous Receipts Account Occupational Therapy • CAPE VINCENT CORRECTIONAL FACILITY	First National Bank of Groton First National Bank of Groton Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31 125,660.92 10,487.69 17,165.20
10570	Misc Receipts • BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy • RIVERVIEW CORRECTIONAL FACILITY Agency Advance Account Inmate Accounts Inmate Savings Account Occupational Therapy • CAPE VINCENT CORRECTIONAL FACILITY Advance Account	First National Bank of Groton First National Bank of Groton Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479,71 41,131.31 125,660.92 10,487.69 17,165.20 1,900.00
10570	Misc Receipts BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Savings Account Miscellaneous Receipts Cocupational Therapy RUVERVIEW CORRECTIONAL FACILITY Agency Advance Account Inmate Savings Account Occupational Therapy CAPE VINCENT CORRECTIONAL FACILITY Advance Account Employee Benefit Fund	First National Bank of Groton First National Bank of Groton Key Bank Key Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479,71 41,131.31 125,660.92 10,487.69 17,165.20 1,900.00 43,954.04
10570	Misc Receipts • BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy • RIVERVIEW CORRECTIONAL FACILITY Agency Advance Account Inmate Accounts Inmate Savings Account Miscellaneous Receipts Account Occupational Therapy • CAPE VINCENT CORRECTIONAL FACILITY Advance Account Employee Benefit Fund Inmate Occupation Therapy Acct	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Community Bank Community Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31 125,660.92 10,487.69 17,165.20 1,900.00 43,954.04 31,565.01
10570	Misc Receipts BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Immate Spendable Funds Miscellaneous Receipts Occupational Therapy RUPENTEW CORRECTIONAL FACILITY Agency Advance Account Inmate Savings Account Occupational Therapy CAPE VINCENT CORRECTIONAL FACILITY Advance Account Employee Benefit Fund Inmate Cocupation Therapy Acct Inmate Savings	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Community Bank Community Bank Community Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31 125,660.92 10,487.69 17,165.20 1,900.00 43,954.04 31,565.01 141,777.40
10570	Misc Receipts BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy RUVERVIEW CORRECTIONAL FACILITY Agency Advance Account Inmate Savings Account Miscellaneous Receipts Account Occupational Therapy CAPE VINCENT CORRECTIONAL FACILITY Advance Account Employee Benefit Fund Inmate Occupation Therapy Acct Inmate Savings Inmate Savings Inmate Spendable Account	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Community Bank Community Bank Community Bank Community Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31 125,660.92 10,487.69 17,165.20 1,900.00 43,954.04 31,565.01 141,777.40 80,417.76
10570 10580	Misc Receipts	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Community Bank Community Bank Community Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31 125,660.92 10,487.69 17,165.20 1,900.00 43,954.04 31,565.01 141,777.40
10570 10580	Misc Receipts BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Immate Savings	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Community Bank Community Bank Community Bank Community Bank Community Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479,71 41,131.31 125,660.92 10,487.69 17,165.20 1,900.00 43,954.04 31,565.01 141,777.40 80,417.76 2,259.27
10570 10580	Misc Receipts BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Inmate Spendable Funds Miscellaneous Receipts Occupational Therapy	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Community Bank Community Bank Community Bank Community Bank Community Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31 125,660.92 10,487.69 17,165.20 1,900.00 43,954.04 31,565.01 141,777.40 80,417.76 2,259.27 3,700.00
10570 10580	Misc Receipts BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Immate Spendable Funds Miscellaneous Receipts Cocupational Therapy	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Community Bank Community Bank Community Bank Community Bank Community Bank Community Bank Community Bank Community Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31 125,660.92 10,487.69 17,165.20 1,900.00 43,954.04 31,565.01 141,777.40 80,417.76 2,259.27 3,700.00 14,032.58
10570 10580	Misc Receipts	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Community Bank Community Bank Community Bank Community Bank Community Bank Community Bank Community Bank Community Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31 125,660.99 17,165.20 1,900.00 43,954.04 31,565.01 141,777.40 80,417.76 2,259.27 3,700.00 14,032.58 53,119.74
10570 10580	Misc Receipts BARE HILL CORRECTIONAL FACILITY Agency Advance Employee Benefit Fund Immate Spendable Funds Miscellaneous Receipts Cocupational Therapy	First National Bank of Groton First National Bank of Groton Key Bank Key Bank Community Bank Community Bank Community Bank Community Bank Community Bank Community Bank Community Bank Community Bank	32,665.33 209,444.36 4,082.19 1,853.23 7,517.50 88,657.85 881.00 8,006.58 1,479.71 41,131.31 125,660.92 10,487.69 17,165.20 1,900.00 43,954.04 31,565.01 141,777.40 80,417.76 2,259.27 3,700.00 14,032.58

	Miscellaneous Revenue	Community Bank	1,419.79
	Occupational Therapy	Community Bank	11,372.68
10610 -	ULSTER CORRECTIONAL FACILITY		
	Agency Advance	M&T Bank	1,244.02
	Employee Benefit Fund	M&T Bank	7,598.60
	Inmate Fund	M&T Bank	222,339.19
	Misc Receipts	M&T Bank	554.43
	Occupational Therapy	M&T Bank	3,162.94
10630 -	SOUTHPORT CORRECTIONAL FACILITY		
	Advance Account	Chemung Canal Trust	800.00
	Employee Benefit Fund	Chemung Canal Trust	5,597.52
	Inmate Funds Account	Chemung Canal Trust	92,648.65
	Misc. Receipts Account	Chemung Canal Trust	440.00
	Occupational Therapy Account	Chemung Canal Trust	29,161.87
10640 -	ORLEANS CORRECTIONAL FACILITY		
	Agency Advance	Bank of America, N.A.	9,250.00
	Employee Benefit Fund	Bank of America, N.A.	9,845.75
	Inmate Funds	Bank of America, N.A.	59,083.26
	Miscellaneous Receipts	Bank of America, N.A.	17,971.29
	Occupational Therapy	Bank of America, N.A.	20,240.11
10650 -	WASHINGTON CORRECTIONAL FACILITY		
	Advance Account	Key Bank	2,450.00
	EBF Account	Key Bank	17,226.95
	General Account	Key Bank	1.75
	Inmate Account	Key Bank	109,165.81
	Inmate Funds Account Certificate Of Deposit	Glens Falls National	79,346.88
	Occupational Therapy Account	Key Bank	17,498.72
10660 -	WYOMING CORRECTIONAL FACILITY		
	Agency Advance	Five Star Bank	6,273.00
	Employee Benefit Fund	Five Star Bank	16,436.71
	Inmate Occupational Therapy	Five Star Bank	33,573.53
	Inmate Spendable	Five Star Bank	300,677.52
	Misc. Receipts Account	Five Star Bank	9,337.03
10670 -	GREENE CORRECTIONAL FACILITY		
	Consolidated Advance	National Bank of Coxsackie	1,969.85
	Employee Benefit Fund	National Bank of Coxsackie	26,866.83
	Inmate Accounts	National Bank of Coxsackie	62,666.20
	Misc. Receipts	National Bank of Coxsackie	0.00
	Occupational Therapy	National Bank of Coxsackie	39,851.36
10680 -	SHAWANGUNK CORRECTIONAL FACILITY		
	Consolidated Advance Account	Key Bank	No report received
	Inmates Funds Account	Key Bank	No report received
	Misc. Receipts Account	Key Bank	No report received
	Occupational Therapy Acct	Key Bank	No report received
10690 -	SULLIVAN CORRECTIONAL FACILITY		
	Consolidated Advance	Key Bank	2,075.95
	Inmate Checking	Key Bank	256,285.45
	Inmate Savings	Key Bank	100,514.37
	Miscellaneous	Key Bank	11,415.84
	Occupational Therapy	Key Bank	35,487.82
10810 -	GOUVERNEUR CORRECTIONAL FACILITY		
	Agency Advance	Community Bank	1,723.36
	Inmate Occupational Therapy	Community Bank	17,985.86
	Inmate Savings	Community Bank	205,054.76
	Inmate Spendable Fund	Community Bank	104,837.61
	Misc Receipts	Community Bank	12,411.33
10820 -	WILLARD DRUG TREATMENT CENTER		
	Consolidated Advance	Community Bank	0.00
	Employee Benefit Fund	Community Bank	0.00
	Inmate Funds	Community Bank	0.00
	Inmate Occupational Therapy	Community Bank	0.00
	Misc Receipts	Community Bank	0.00
10840 -	UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
	Advance Account	Key Bank	1,607.91
	Facility Committees	Key Bank	12,911.05
	Inmate Fund	Key Bank	228,644.62
	Inmate Occupational Therapy Fund	Key Bank	15,635.04
	Miscellaneous Account	Key Bank	95.00
10850 -	HALE CREEK ASACTC		
	Consolidated Advance	Key Bank	338.85
	Employee Benefit Fund	Bank of America, N.A.	9,967.55
	Inmate Funds	Key Bank	93,555.31
	Misc Receipts	Key Bank	0.00
	Occupational Therapy	Key Bank	17,998.17

10000			
10890 -	CORRECTIONS AND COMMUNITY SUPERVISION Asset Forfeiture Special Rev Acct	Bank of America, N.A.	18,908.69
	Parole Supervision Fee	Wells Fargo Bank	25,519.56
10916 -	CENTRAL OFFICE - INDUSTRIES		20,017.00
	Div of Ind Petty Cash Acct	Key Bank	4,000.00
	Div of Ind Revenue Acct	Key Bank	268,211.83
11000 -	EDUCATION DEPARTMENT		
	Consolidated Advance Account	Key Bank	No report received
	Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
	Revenue Account	Key Bank	No report received
11100 -	NYS HIGHER EDUCATION SERVICES CORPORATION		
	Federal Student Loan Suspense	US Bank	42,418.27
	NYS HESC-Federal Student Loan Suspense	Key Bank	514.28
	Operating Operating	Key Bank	428,752.64
	Operating Retail Lockbox	US Bank US Bank	2,424,472.30 152,831.00
	Wholesale Lockbox	US Bank	1,020,174.96
11260 -	BATAVIA SCHOOL FOR THE BLIND	05 bank	1,020,17 1.50
	Misc. Receipts	M&T Bank	No report received
	Petty Cash	M&T Bank	No report received
	Student Spending Account	Bank of America, N.A.	No report received
11270 -	ROME SCHOOL FOR THE DEAF		
	Miscellaneous Receipts	NBT Bank	23,620.87
	Petty Cash	NBT Bank	2,000.00
	Student Activity Fund	NBT Bank	14,847.08
11280 -	ARCHIVES PARTNERSHIP TRUST		
	Endowment	Janney Montgomery Scott LLC	5,340,842.78
	Endowment - Special Account	Janney Montgomery Scott LLC	No report received
12000	Trust's Board Project Account DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION	Key Bank	129,285.54
12000 -	CSA Rebate Account	Bank of America, N.A.	(0.01)
	DOH EPIC Lockbox Acct	Wells Fargo Bank	43,475.30
	DOH Main Cash Advance	Key Bank	15,762.20
	Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
	Early Intervention - Provider Payments Escrow	Key Bank	25,646.35
	Early Intervention - State Funds	Key Bank	23,863.06
	EPIC Co Pay Account	Bank of America, N.A.	0.00
	Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	270,952.13
	EPIC EFT Acct	Bank of America, N.A.	0.00
	EPIC Master Funding Acct	Bank of America, N.A.	3,244,928.97
	EPIC Provider Receipt Account	Bank of America, N.A.	0.00
	EPIC Refund Acct eWIC	Bank of America, N.A.	0.00
	ICR Audit Fees Account	Wells Fargo Bank Bank of America, N.A.	3,440.44 18,449.82
	Indian Health Disbursement Account	Bank of America, N.A.	0.00
	Indian Health-Master Acct	Bank of America, N.A.	15,162.03
	Medicaid	Key Bank	6,179,692.26
	Medicaid Audit Recoveries Acct	Key Bank	0.00
	Medicaid Insurance Recoveries Acct	Bank of America, N.A.	815,300.92
	Nurses Aide Fees (Prometric)	Bank of America, N.A.	61,891.45
	Nursing Home Fees Account	Bank of America, N.A.	No report received
	NYS DOH CLEP Revenue	Key Bank	52,390.90
	OBRA Drug Rebate Program Acct	Bank of America, N.A.	2,882,475.05
	SPARCS	Key Bank	863,498.41
12010 -	ROSWELL PARK MEMORIAL INSTITUTE		2 454 460 04
12020	Office Of Patient Accounts HELEN HAYES HOSPITAL	M&T Bank	3,454,169.94
12030 -	Misc. Receipts	JPMorgan Chase Bank, N.A.	404,158.69
	Petty Cash Account	JPMorgan Chase Bank, N.A.	12,952.19
	Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,650.22
12120 -	NYS VETERANS HOME-OXFORD		-,
	Agency Advance	NBT Bank	8,742.79
	Exchange Account	NBT Bank	13,741.71
	Maintenance Fund	NBT Bank	60,243.57
	NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	534,088.97
	Resident Custodial Account	NBT Bank	16,777.07
	Resident Custodial Account	NBT Bank	12,808.26
	Resident Custodial Account	NBT Bank	8,248.66
	Resident Custodial Account	NBT Bank	17,182.63
	Resident Custodial Account Resident Custodial Account	NBT Bank NBT Bank	4,396.81 5,325.63
	Resident Custodial Account	NBT Bank	4,650.31
12150 -	NYS VETERANS HOME-ST ALBANS		.,555.51
	NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	119,442.21
		5 ,	,

591,317.50 54,328.97 3,498.43 354.67 419,315.50 66,427.23 No report received 125,572.01 No report received

229.59 276.00 166.00 27,986.00 214,687.55 1,348,192.13 253,311.02 1,479,692.84 150,612.62 5,056,205.72 1,000,000.00 236,922,607.59 5,809.47 4,025.00 6,105,533.70 52,034.35 417,195.15 15,410.00 1,037,563.30 42,113.14 19,625.03 226,920.00 No report received No report received No report received No report received No report received

285.80 24,547.73 2,644,538.91 9,329.36 12,957.29 26,800.00 16,231.02 26,407.38 252,453.23 40.45 12,689.63 12,640.86 6,560.31 121,626.85 (5,360.98) 779,270.70 809.67 526,286.73 17,941,477.47 15,000.00 No report received No report received

St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.
St Albans Vet Home Maintenance Acct	NBT Bank
12180 - WESTERN NEW YORK VETERANS HOME	
Advance Account Exchange Account	Bank of America, N.A. Bank of America, N.A.
Maintenance Account	NBT Bank
Resident Funds	Bank of America, N.A.
12190 - VETERANS HOME AT MONTROSE	bank of stinding, rist
Agency Advance Account	Bank of America, N.A.
Maintenance Acct	NBT Bank
Residence Account	Bank of America, N.A.
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL	
Albany Confidential Account	Key Bank
Albany Petty Cash Account	Key Bank
NYC Confidential Account	JPMorgan Chase Bank, N.A.
14000 - DEPARTMENT OF LABOR	Key Burd
Agency Advance Account	Key Bank
Exchange Account Fee And Permit Account	Bank of America, N.A. Key Bank
Min Wage & Claim Funding Acct	Key Bank
Minimum Wage & Wage Claim Acct	Key Bank
Misc Receipts	Bank of America, N.A.
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.
UI Fund ACH Transactions	Wells Fargo Bank
14010 - WORKERS COMPENSATION BOARD	-
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.
16000 - PUBLIC SERVICE COMMISSION	
Cable Account	Key Bank
Petty Cash Account	Key Bank
Special Fee Account	Key Bank
17000 - NYS DEPARTMENT OF TRANSPORTATION	
Main Office Advance For Travel	Key Bank
Republic Airport, Long Island	10Mauren Chasa Daula N.A.
Republic Airport Revenue Acct 19000 - DEPARTMENT OF STATE	JPMorgan Chase Bank, N.A.
Atheltic	M&T Bank
Licensing Revenue Account	JPMorgan Chase Bank, N.A.
Main	M&T Bank
Petty Cash Account	Key Bank
Summons	M&T Bank
19001 - TUG HILL COMMISSION	
Agency Advance Account	Key Bank
19002 - LAKE GEORGE PARK COMMISSION	
Petty Cash Account	Glens Falls National
Revenue Transfer Account	Glens Falls National
19005 - COMMISSION ON PUBLIC INTEGRITY	
JCOPE Petty Cash Account	Bank of America, N.A.
JCOPE Revenue Account	Bank of America, N.A.
20000 - DEPARTMENT OF TAXATION & FINANCE	IDMergen Chees Bank N.A.
IFTA Funding	JPMorgan Chase Bank, N.A.
IFTA Funding Misc Tax Account - Exchange	Bank of America, N.A.
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848)	Bank of America, N.A. Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847)	Bank of America, N.A. Key Bank Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A.
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847)	Bank of America, N.A. Key Bank Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT)	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT)	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax 20050 - NEW YORK STATE GAMING COMMISSION	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax 20050 - NEW YORK STATE GAMING COMMISSION Charitable Gaming Account Commercial Gaming Revenue Account Custody Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank Key Bank US Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax 20050 - NEW YORK STATE GAMING COMMISSION Charitable Gaming Account Commercial Gaming Revenue Account Custody Account Fingerprint Concentration Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank Key Bank US Bank Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax 20050 - NEW YORK STATE GAMING COMMISSION Charitable Gaming Account Commercial Gaming Revenue Account Custody Account Fingerprint Concentration Account License Revenue Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank Key Bank US Bank Key Bank Bank of America, N.A.
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax 20050 - NEW YORK STATE GAMING COMMISSION Charitable Gaming Account Commercial Gaming Revenue Account Custody Account Fingerprint Concentration Account License Revenue Account Lottery Concentration Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Bank of America, N.A. Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax 20050 - NEW YORK STATE GAMING COMMISSION Charitable Gaming Account Commercial Gaming Revenue Account Custody Account Fingerprint Concentration Account License Revenue Account Lottery Concentration Account Lottery Prize Payment Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Bank of America, N.A. Key Bank Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax 20050 - NEW YORK STATE GAMING COMMISSION Charitable Gaming Account Commercial Gaming Revenue Account Custody Account Fingerprint Concentration Account License Revenue Account Lottery Prize Payment Account Lottery Prize Payment Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Bank of America, N.A. Key Bank Key Bank Key Bank Key Bank Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax 20050 - NEW YORK STATE GAMING COMMISSION Charitable Gaming Account Commercial Gaming Revenue Account Custody Account Fingerprint Concentration Account License Revenue Account Lottery Concentration Account Lottery Vize Payment Account Lottery Subscriptions Account Lottery Subscriptions Account Petty Cash Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Bank of America, N.A. Key Bank Key Bank Key Bank Key Bank Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax 20050 - NEW YORK STATE GAMING COMMISSION Charitable Gaming Account Commercial Gaming Revenue Account Custody Account Fingerprint Concentration Account License Revenue Account Lottery Concentration Account Lottery Prize Payment Account Lottery Subscriptions Account Petty Cash Account Petty Cash Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank US Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tex 20050 - NEW YORK STATE GAMING COMMISSION Charitable Gaming Account Commercial Gaming Revenue Account Custody Account Fingerprint Concentration Account License Revenue Account Lottery Concentration Account Lottery Prize Payment Account Lottery Prize Payment Account Petty Cash Account Petty Cash Account Racing Refund Account Video Gaming Revenue Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Bank of America, N.A. Key Bank Key Bank Key Bank Key Bank Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax 20050 - NEW YORK STATE GAMING COMMISSION Charitable Gaming Account Commercial Gaming Revenue Account Custody Account Fingerprint Concentration Account License Revenue Account Lottery Concentration Account Lottery Prize Payment Account Lottery Prize Payment Account Lottery Subscriptions Account Petty Cash Account Racing Refund Account Video Gaming Revenue Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax 20050 - NEW YORK STATE GAMING COMMISSION Charitable Gaming Account Commercial Gaming Account Custody Account Custody Account Fingerprint Concentration Account License Revenue Account Lottery Concentration Account Lottery Prize Payment Account Lottery Subscriptions Account Petty Cash Account Video Gaming Revenue Account Video Gaming Revenue Account	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank US Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank
IFTA Funding Misc Tax Account - Exchange Off Track Betting Tax (MAC 848) Pari Mutuel Betting Tax (MAC 847) Petty Cash Tax Preparer Registration Fee (EFT) Waste Tire Fee (EFT) Waste Tire Tax 20050 - NEW YORK STATE GAMING COMMISSION Charitable Gaming Account Commercial Gaming Revenue Account Custody Account Custody Account Fingerprint Concentration Account License Revenue Account Lottery Prize Payment Account Lottery Prize Payment Account Lottery Subscriptions Account Petty Cash Account Petty Cash Account Video Gaming Revenue Account 2012 - WELFARE INSPECTOR GENERAL Confidential Fund	Bank of America, N.A. Key Bank Key Bank Bank of America, N.A. Wells Fargo Bank JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Bank of America, N.A. Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank

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	Key Beels	N
Petty Cash 21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY	Key Bank	No report received
NYSERDA Greenbank MAC 26	JPMorgan Chase Bank, N.A.	No report received
NYSERDA Main Checking MAC 30	JPMorgan Chase Bank, N.A.	(3,447,868.28)
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT	Community Barris	110 040 70
Checking- General Fund Acct. Checking- Petty Cash Fund	Community Bank Community Bank	119,049.78 5,000.00
Hudson River General Acct	Bank of America, N.A.	750,189.67
Money Market	Bank of America, N.A.	0.50
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL	Key Deels	0.00
Office Of The State Inspector General Pass Thru Account OSIG Petty Cash Account	Key Bank Key Bank	0.00 1,201.42
Albany	Rey Bullik	1,201.12
Office of the Inspector General Confidential	Bank of America, N.A.	30,000.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	700.00 1,050.50
Petty Cash Account Petty Cash Fund	Key Bank JPMorgan Chase Bank, N.A.	1,050.50
21940 - NYS FINANCIAL CONTROL BOARD	striotgan enace barry ta a	1,200.11
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Abany Central Main Acct Albany Central Main Acct	Wells Fargo Bank	240,742.75
Albany Central Main Acct	Wells Falgo Dalik	240,742.75
Exchange	Wells Fargo Bank	4,848.50
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	30,655.45
Albany TVB Sub (Albany Central Office)	Walls Forge Papir	E9 240 72
Adjudication Account Albany-Region 3	Wells Fargo Bank	58,249.72
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received
Andirondack Mountains County Fee Account	10Mergan Chaco Bank N A	100 110 26
Buffalo-Region 5	JPMorgan Chase Bank, N.A.	128,119.36
MV- Buffalo Investigator & Subpoena	M&T Bank	996.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	276,226.03
Catskill Mountains County Fee Account	JPMorgan Chase Bank, N.A.	110,869.75
Central Leatherstocking	Si Horgan endse banky n.a.	110,005.75
County Fee Account	JPMorgan Chase Bank, N.A.	133,778.29
Chautaqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	91,098.07
Chautauqua County Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	0.00
Concentration (DO)(OSC) Concentration (DO)(OSC)	Key Bank	1.010.458.80
Confidential Fund (Albany Central Office)		1,010,100100
Confidential Fund	Bank of America, N.A.	5,980.02
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account Customer Service Counter (Albany)	JPMorgan Chase Bank, N.A.	No report received
NYS DMV CSC	Wells Fargo Bank	50,443.75
D.O. Credit Card (Albany Central Office)		,
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation Downstate	M&T Bank	No report received
Revenue Account - Downstate	Wells Fargo Bank	568,641.06
Eric County Revenue	-	,
County Fee Account	JPMorgan Chase Bank, N.A.	50,000.00
Finger Lakes First	IDMessee Chass Devis N.A.	65 106 16
County Fee Acct Finger Lakes Second	JPMorgan Chase Bank, N.A.	65,426.19
County Fee Acct	JPMorgan Chase Bank, N.A.	116,804.13
Genesee County	Jan 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 19	,
Genesee County Clerk - DMV	Bank of Castile	No report received

Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	65,681.60
IRP (Albany Central Office) International Registration	M&T Bank	50,000.00
International Registration	Wells Fargo Bank	506,048.36
IRP Exchange (Albany Central Office)	troit raige bank	000,010,00
Irp Internet Office - Dept. MV	M&T Bank	387,347.60
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	115,071.50
Long Island/Staten Island DO	Wells Forge Bank	6 51 964 10
Long Island/Staten Island DO Long Island/Staten Island JP	Wells Fargo Bank	651,864.12
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	50,229.84
Nassau Region 1	<u> </u>	,
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct Oneida County	JPMorgan Chase Bank, N.A.	72,279.62
DMV Oneida County Fee Account	Bank of Utica	56,350.93
Oneida County Fee Account	NBT Bank	108,508.18
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	13,510.82
Queens-Region 6		0.500.00
Confidential - Queens Rockland/Westchester DO	JPMorgan Chase Bank, N.A.	3,538.00
Rockland/Westchester DO	Wells Fargo Bank	90,640.98
Search Exchange (Albany Central Office)		
MV Search	Key Bank	52,477.61
Search Exchange (Albany Central Office)		
MV Search Syracuse-Region 4	Wells Fargo Bank	59,173.40
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway	,	
County Fee Acct	JPMorgan Chase Bank, N.A.	249,810.81
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	19,536.78
Travel Advance (Albany Central Office) Travel Advance	Bank of America, N.A.	1,000.00
TVB Acct	,	-,
TVB Acct	Wells Fargo Bank	100,480.59
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts Upstate DO	JPMorgan Chase Bank, N.A.	No report received
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	60,750.86
Utica D.O.		
Exchange Account	Bank of Utica	0.00
Revenue Utica	Bank of Utica	1,297,375.50
Yonkers-Region 2		
Safety Sup Automotive FAC INSP 25000 - OFFICE OF CHILDREN & FAMILY SERVICES	JPMorgan Chase Bank, N.A.	No report received
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	No report received
Brookwood Cash Advance	Key Bank	No report received
Brookwood Resid Residential Cash	Key Bank	No report received
Check Exchange	Bank of America, N.A. Bank of America, N.A.	No report received
CO Independent Living Acct Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A. Bank of America, N.A.	No report received No report received
Finger Lakes Res Ctr Residents Cash	Key Bank	No report received
Fingerlakes Res Ctr Cash Advance	Key Bank	No report received
Goshen Cash Advance	Bank of America, N.A.	No report received
Goshen Residents Account	Bank of America, N.A.	No report received
Harriet Tubman Advance Account Harriet Tubman Residents' Account	Key Bank Key Bank	No report received No report received
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	No report received
Highland Res Ctr Residents Acct	Bank of America, N.A.	No report received
Industry Advance Account	JPMorgan Chase Bank, N.A.	No report received
Industry Res Account	JPMorgan Chase Bank, N.A.	No report received
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	No report received
MacCormick Cash Advance MacCormick Residents' Account	Tompkins County Trust Tompkins County Trust	No report received No report received
Macconnex Residents Account	Bank of America, N.A.	No report received
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	No report received

	NYS OCFS Salary Advance Account	Bank of America, N.A.	No report received
	Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	No report received
	SCR Credit Card Revenue Account	Bank of America, N.A.	No report received
	State Central Register	Bank of America, N.A.	No report received
	Taberg Cash Advance	NBT Bank	No report received
	Taberg Residents Account	NBT Bank	No report received
27000 ·	OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
	Exchange Account	Key Bank	572.40
	Title IV D Of Social Security	Key Bank	1,164,287.68
	Travel Advance	Key Bank	10,001.14
28010	SUNY ALBANY		10 511 051 05
	Fee Account	Key Bank	10,541,264.25
	Loan Services Center Account	Key Bank	159,608.80
20020	Petty Cash/Travel Advance SUNY BINGHAMTON	Key Bank	No report received
20020	SUNY Binghamton	M&T Bank	544,618.30
	SUNY Binghamton - Controlled Disb	M&T Bank	No report received
28030	SUNY BIIGHTHON CONTINUED BIB	Her bank	No report received
20030	Controlled Disbursement Account	Bank of America, N.A.	No report received
	General Revenue Account	Bank of America, N.A.	No report received
	General Revenue Account	Key Bank	1,824,140.25
	Imprest Account	Key Bank	No report received
28050 -	SUNY STONY BROOK	,	
	Central Funding	JPMorgan Chase Bank, N.A.	14,713,310.42
	Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
	Fees Depository	JPMorgan Chase Bank, N.A.	3,054,111.42
	LISVH Fees Depository	JPMorgan Chase Bank, N.A.	293,491.93
	LISVH Fees Depository	Sterling Bank	138,166.78
	LISVH Residence Fund	Sterling Bank	459,896.71
	Payroll Advance	JPMorgan Chase Bank, N.A.	9,551.31
	SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	No report received
	Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	204,689.26
	SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	262,838.98
	SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	851,990.09
	University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	22,331,948.46
	University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,741.89
28100	SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
	Center Revenue	JPMorgan Chase Bank, N.A.	128,879.41
	EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	No report received
	Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
	Hospital Revenue	JPMorgan Chase Bank, N.A.	2,004,234.93
	LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	No report received
	LICH Controlled Disbursement LICH Depository	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	No report received 43,466.79
28110	LICH Controlled Disbursement LICH Depository Student Refunds	JPMorgan Chase Bank, N.A.	No report received
28110	LICH Controlled Disbursement LICH Depository Student Refunds • SUNY HEALTH SCIENCE CENTER AT SYRACUSE	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	No report received 43,466.79 No report received
28110	LICH Controlled Disbursement LICH Depository Student Refunds • SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank	No report received 43,466.79 No report received 27,780.31
28110	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received
28110	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58
	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received
	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23
	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96
	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23
	LLCH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Key Bank Key Bank M&T Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93
28150	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct Controlled Disb	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Key Bank Key Bank M&T Bank M&T Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received
28150	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct Controlled Disb Special Account	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Key Bank Key Bank M&T Bank M&T Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received
28150	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct Controlled Disb Special Account Supy BUFFALO STATE COLLEGE	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Key Bank Key Bank M&T Bank M&T Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00
28150	LLCH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct Controlled Disb Special Account Supre Just Fallo STATE COLLEGE Controlled Disb	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received
28150	LLCH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REDC Account Concentration Acct Controlled Disb Special Account SUNY BUFFALO STATE COLLEGE Controlled Disb Controlled Disb Dept Public Safety	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received No report received
28150 · 28160 ·	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct Controlled Disb Special Account SUNY BUFFALO STATE COLLEGE Controlled Disb Dept Public Safety Special Grant Account Students Acct Office SUNY CORTLAND	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received No report received No report received 2,073.14 106,724.58
28150 - 28160 - 28170 -	LLCH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Controlled Disb Special Account SUNY BUFFALO STATE COLLEGE Controlled Disb Dept Public Safety Special Grant Account Students Acct Office SUNY SUME ACCOUNT Sute Students Acct Office SUNY SUFFALO STATE COLLEGE Controlled Disb Sudents Acct Office SUNY SUFFALO STATE COLLEGE Sute Students Acct Office SUNY SUFFALO STATE COLLEGE Sute Sute Students Acct Office SUNY SUFFALO STATE COLLEGE Sute Sute Students Acct Office SUNY SUME SUME SUME SUME SUME SUME SUME SUME	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received No report received No report received 2,073.14
28150 - 28160 - 28170 -	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REDC Account Concentration Acct Controlled Disb Special Account SUNY BUFFALO STATE COLLEGE Controlled Disb Special Grant Account Students Acct Office SUNY CORTLAND Students Acct Office SUNY CORTLAND General Checking Account	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received No report received 2,073.14 106,724.58 27,336.35
28150 - 28160 - 28170 -	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct Controlled Disb Special Account SUNY BUFFALO STATE COLLEGE Controlled Disb Dept Public Safety Special Grant Account Students Acct Office SUNY CORTLAND General Checking Account SUNY REDONIA Controlled Disb	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank Key Bank Key Bank Key Bank	No report received 43,466.79 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received No report received No report received 2,073.14 106,724.58 27,336.35
28150 - 28160 - 28170 - 28180 -	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Controlled Disb Special Account Controlled Disb Dept Public Safety Special Grant Account Students Acct Office SUNY CORTLAND General Checking Account SUNY FREDONIA Controlled Disb Suny FREDONIA Controlled Disb	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received No report received 2,073.14 106,724.58 27,336.35
28150 - 28160 - 28170 - 28180 -	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct Controlled Disb Special Account SUNY UFFALO STATE COLLEGE Controlled Disb Dep Public Safety Special Grant Account Students Act Office SUNY CORTLAND General Checking Account Controlled Disb Depository Account SUNY REDONIA	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank Key Bank Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received No report received 2,073.14 106,724.58 27,336.35 No report received (31,130.74)
28150 - 28160 - 28170 - 28180 -	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Controlled Disb Special Account SUNY BUFFALO STATE COLLEGE Controlled Disb Dept Public Safety Special Grant Account Students Acct Office SUNY CORTLAND General Checking Account Controlled Disb Depository Account SUNY FREDONIA Controlled Disb Depository Account	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank Key Bank Key Bank Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received 2,073.14 106,724.58 27,336.35 No report received (31,130.74) No report received
28150 - 28160 - 28170 - 28180 - 28190 -	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Controlled Disb Special Account SUNY BUFFALO STATE COLLEGE Controlled Disb Dept Public Safety Special Grant Account Students Acct Office SUNY CORTLAND General Checking Account SUNY FREDONIA Controlled Disb Suny FREDONIA SUNY FREDONIA Controlled Disb Suny FREDONIA Controlled Disb Suny GRESEO Controlled Disb	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank Key Bank Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received No report received 2,073.14 106,724.58 27,336.35 No report received (31,130.74)
28150 - 28160 - 28170 - 28180 - 28190 -	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct Controlled Disb Special Account SUNY BUFFALO STATE COLLEGE Controlled Disb Dept Public Safety Special Grant Account Students Acct Office SUNY CORTLAND General Checking Account Controlled Disb Suny REDONIA Controlled Disb Depository Account SUNY GENESEO Controlled Disb Depository Account SUNY GENESEO Controlled Disb Depository Account SUNY GENESEO SUNY GENESEO SUNY OLD WESTBURY	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank Key Bank Key Bank Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received 2,073.14 106,724.58 27,336.35 No report received (31,130.74) No report received 100,588.35
28150 - 28160 - 28170 - 28180 - 28190 - 28200 -	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Controlled Disb Special Account Controlled Disb Dept Public Safety Special Grant Account Students Acct Office SUNY CORTLAND General Checking Account Controlled Disb Depository Account Controlled Disb Super Sublic Safety Special Grant Account SUNY CORTLAND General Checking Account Controlled Disb Dept Sublic Safety Special Grant Account SUNY CORTLAND General Checking Account SUNY CORTLAND Controlled Disb Depository Account SUNY GENESEO Controlled Disb Depository Account State Fees SUNY GENESU Local Depository	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank Key Bank Key Bank Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received 2,073.14 106,724.58 27,336.35 No report received (31,130.74) No report received
28150 - 28160 - 28170 - 28180 - 28190 - 28200 -	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct Controlled Disb Special Account SUNY BUFFALO STATE COLLEGE Controlled Disb Dept Public Safety Special Grant Account Sunder Safety Special Grant Account Controlled Disb Dept Public Safety Special Grant Account Controlled Disb Special Grant Account SUNY REDONIA Controlled Disb Dept Suby REDONIA Controlled Disb Special Grant Account SUNY REDONIA Controlled Disb Dept Suby REDONIA Controlled Disb Special Count SUNY REDONIA Controlled Disb Special Count SUNY REDONIA Controlled Disb Depository Account State Fees SUNY GENESEO Controlled Disb State Fees SUNY OLD WESTBURY Local Depository SUNY NEW PALTZ	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received 2,073.14 106,724.58 27,336.35 No report received (31,130.74) No report received 100,588.35 126,321.08
28150 - 28160 - 28170 - 28180 - 28190 - 28200 -	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct Controlled Disb Special Account SunY BUFFALO STATE COLLEGE Controlled Disb Special Grant Account Students Acct Office SUNY CORTLAND General Checking Account SUNY REDONIA Controlled Disb Depository Account SUNY GENESEO Controlled Disb Special Checking Account SUNY CORTLAND General Checking Account SUNY CORTLAND Controlled Disb Depository Account SUNY CORTLAND SUNY CORTLAND Controlled Disb Depository Account SUNY REDONIA Controlled Disbursement Account State Fees SUNY OLD WESTBURY Local Depository SUNY KW PALTZ Disbursement Account	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank Key Bank	No report received 43,466.79 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received 2,073.14 106,724.58 27,336.35 No report received (31,130.74) No report received 100,588.35 126,321.08
28150 - 28160 - 28170 - 28180 - 28190 - 28200 - 28200 - 28210 -	LLCH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY ROCKPORT Brockport-REOC Account Controlled Disb Special Account SunY BUFFALO STATE COLLEGE Controlled Disb Dept Public Safety Special Grant Account Students Acct Office SUNY CORTLAND General Checking Account Controlled Disb Depository Account SUNY FREDONIA Controlled Disb Depository Account State Fees SUNY OL WESTBURY Local Depository SUNY FWEV PALTZ Disbursement Account State Revenue	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank	No report received 43,466.79 No report received 27,780.31 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received 2,073.14 106,724.58 27,336.35 No report received (31,130.74) No report received 100,588.35 126,321.08
28150 - 28160 - 28170 - 28180 - 28190 - 28200 - 28200 - 28210 -	LICH Controlled Disbursement LICH Depository Student Refunds SUNY HEALTH SCIENCE CENTER AT SYRACUSE College Revenue Controlled Disbursement Hospital Revenue Parking SUNY BROCKPORT Brockport-REOC Account Concentration Acct Controlled Disb Special Account SunY BUFFALO STATE COLLEGE Controlled Disb Special Grant Account Students Acct Office SUNY CORTLAND General Checking Account SUNY REDONIA Controlled Disb Depository Account SUNY GENESEO Controlled Disb Special Checking Account SUNY CORTLAND General Checking Account SUNY CORTLAND Controlled Disb Depository Account SUNY CORTLAND SUNY CORTLAND Controlled Disb Depository Account SUNY REDONIA Controlled Disbursement Account State Fees SUNY OLD WESTBURY Local Depository SUNY KW PALTZ Disbursement Account	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank Key Bank Key Bank M&T Bank M&T Bank M&T Bank M&T Bank M&T Bank Key Bank	No report received 43,466.79 No report received 8,848,650.58 540,298.23 4,453.96 126,190.93 No report received 1,000.00 No report received 2,073.14 106,724.58 27,336.35 No report received (31,130.74) No report received 100,588.35 126,321.08

	Revenue Account	NBT Bank	5,946,973.73
28230 -	SUNY OSWEGO		
	Controlled Disbursement	Key Bank	No report received
	General Revenue	Key Bank	302,700.78
	Imprest Account	Key Bank	No report received
28240 -	SUNY PLATTSBURGH		
	General Revenue	TD Bank	No report received
28250 -	SUNY POTSDAM Control Disbursement Account	Key Bank	No report received
	State Fee Reconciliation Account	Key Bank	166,550.68
28260 -	SUNY PURCHASE	Rey Dalik	100,330.08
20200	General Income Fund	Key Bank	81,706.35
28270 -	SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME	Key Burk	01,700.00
	Advance Account	Bank of America, N.A.	No report received
	Controlled Disbursement Account	Bank of America, N.A.	No report received
	Revenue	Bank of America, N.A.	. 39,588.35
28280 -	SUNY EMPIRE STATE COLLEGE		
	Concentration Account	Key Bank	137,212.80
	Distribution Center Account	Key Bank	124,073.52
	International Wire Account	Key Bank	2,565.00
	Zero Balance Controlled Disbursement Account	Key Bank	No report received
28350 -	SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
	Fees Account	Community Bank	814,941.36
28360 -	SUNY COLLEGE OF TECHNOLOGY AT CANTON		
	Community Cash Deposits	NBT Bank	135,793.38
	Income Fund	Key Bank	190,451.32
	International Program Account	Key Bank	37,521.36
28370 -	SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL	K D I	
20200		Key Bank	No report received
20300 -	SUNY COLLEGE OF TECHNOLOGY AT DELHI General Revenue	Delaware National Bank	2,964,029.01
	Petty Cash Fund	Delaware National Bank	No report received
28390 -	SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE	Delaware National Bank	no report received
20070	Income Fund	Citibank	1,730,177.01
28400 -	SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		-,,
	Income Fund	Key Bank	459,024.52
	Revenue Account	NBT Bank	No report received
28550 -	SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
	Agency Advance	Key Bank	No report received
	Controlled Disb	Key Bank	No report received
	ESF/GSA	Key Bank	No report received
	Forestry	Community Bank	180.78
	Regular Account	Key Bank	33,369.39
	Student Government	Key Bank	No report received
28570 -	SUNY MARITIME COLLEGE		
	Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	No report received
	Cruise Account	JPMorgan Chase Bank, N.A.	No report received
	Revenue Deposit Account Revenue EFT Account	JPMorgan Chase Bank, N.A.	7,656.74
28580	SUNY COLLEGE OF OPTOMETRY	JPMorgan Chase Bank, N.A.	34,375.00
20300 -	General Revenue	JPMorgan Chase Bank, N.A.	91,304.85
	Medical Transportation	JPMorgan Chase Bank, N.A.	364.38
28650 -	SUNY CENTRAL SYSTEM ADMINISTRATION	st thongart on doo barriy tu, a	001100
	ASC	Key Bank	10,000.00
	NYS Iso	Key Bank	6,698,000.00
	Revenue	Key Bank	81,086.92
37000 -	DEPARTMENT OF FINANCIAL SERVICES		
	Confidential Investigations	JPMorgan Chase Bank, N.A.	10,000.00
	Confidential Investigations	JPMorgan Chase Bank, N.A.	11,202.85
	Fire Tax Account (Main)	Key Bank	9,892,537.76
	Fire Tax Payment	Key Bank	0.00
	General Assessment Account	JPMorgan Chase Bank, N.A.	6,781,440.97
	General Fund	Key Bank	225,806.23
	Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	4,467,567.84
	Miscellaneous Account	JPMorgan Chase Bank, N.A.	108,141.76
	Paid Family Leave	JPMorgan Chase Bank, N.A.	0.00
	Petty Cash	Key Bank	5,757.84
40010	Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,002.06
49010 -	SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION	Clong Falls National	30,000 55
	Revenue (SA)	Glens Falls National	29,990.55
40020	SARATOGA REGION CONTRACTORS BID ACCOUNT LONG ISLAND STATE PARK COMMISSION	Key Bank	0.00
	Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	76,813.93
	Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	208,854.94
		J ,	,

Revenue (LI) 49030 - GENESEE STATE PARK COMMISSION Contractors Bid (GE) Revenue (GE) 49040 - NIAGARA FRONTIER STATE PARK COMMISSION Contractors Bid (NIA) Revenue (NIA) 49050 - PALISADES INTERSTATE STATE PARK COMMISSION Contractors Bid (PA) 49070 - OFFICE OF PARKS & RECREATION Main Office - Change Fund Main Office Account (ALB) **OPRHP** Concentration Account Petty Cash (ALB) Revenue (NI, GE, AL, CE, TA) Revenue (NYC, CE, LI, PA, TA) Revenue (SA, LI, GE, NI, CE, TA) Revenue (SA, NI, PA, CE, TA, TI) Revenue (various) Statewide Campsite/Cabin Revenue Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI) Statewide Housing Security Deposits 49090 - FINGER LAKES STATE PARK COMMISSION Revenue (FL) Revenue (FL-Multi) Revenue (FL-Multi-Facilities) 49100 - ALLEGANY STATE PARK COMMISSION Contractors Bid (AL) Regional Account (AL) Revenue (AL) 49120 - CENTRAL NEW YORK STATE PARK COMMISSION Revenue (CE, SA, TI) 49140 - THOUSAND ISLANDS STATE PARK COMMISSION Revenue (TI) Revenue (TI-Multi) 50000 - OFFICE OF MENTAL HEALTH Consolidated Advance Iterim Assistance Agreement OMH Medication Grant Program Acct Reimbursement Account 50010 - GREATER BINGHAMTON HEALTH CENTER Agency Advance Account Facility Holding Account Patient Cash Funds Patients Cash Account Security Deposit 50020 - KINGSBORO PSYCHIATRIC CENTER Advance Account Family Care Holding Account Medicaid Outpatient Travel Patient Cash Acct (MM) Patient Checking Account Patient Savings Account Security Deposit Urban Oasis/EBT 50030 - BUFFALO PSYCHIATRIC CENTER Advance Account Faciity Holding Patient Cash Checking **50080 - MANHATTAN PSYCHIATRIC CENTER** Advance Account CD General Fund Checking Patient Cash Checking Patients Money Market Social Service Tokens 50110 - ROCHESTER PSYCHIATRIC CENTER Agency Advance Facility Holding Patients Cash Account Patients Fund Savings

50120 - ST LAWRENCE PSYCHIATRIC CENTER

Facility Advance Account

People's United Bank	28,226.60
Bank of Castile Bank of Castile	62,730.57 81,969.10
Key Bank Evans National Bank	68.40 108,697.85
JPMorgan Chase Bank, N.A.	6,807.08
Key Bank	214,135.00
Key Bank	1,500.00
Key Bank	73,962.50
Key Bank	29,420.50
M&T Bank	33,677.91
JPMorgan Chase Bank, N.A.	63,261.92
Bank of America, N.A.	8,679.70
Key Bank	3,775,379.89
-	
Wells Fargo Bank	76,680.28
JPMorgan Chase Bank, N.A.	614,366.01
Key Bank	226,966.32
Key Bank	152,530.82
Savannah Bank Community Bank	45,911.22 1,084.75
	,
Tompkins County Trust	143,455.93
Five Star Bank	2,027.57
Five Star Bank	171,401.09
Five Star Bank	76,300.89
NBT Bank	55,203.58
Citizens Bank	49,109.18
Community Bank	983.01
Daula of Augustica, N.A.	64 527 40
Bank of America, N.A.	61,537.18
Bank of America, N.A.	0.00
Bank of America, N.A.	No report received
Bank of America, N.A.	8,125,354.67
JPMorgan Chase Bank, N.A.	4,970.80
JPMorgan Chase Bank, N.A.	9,979.42
JPMorgan Chase Bank, N.A.	451,007.79
JPMorgan Chase Bank, N.A.	478,731.71
JPMorgan Chase Bank, N.A.	3,516.83
JEMOIGAN CHASE BAIK, N.A.	5,510.65
Banco Popular	8,831.49
Banco Popular	14,290.87
Banco Popular	283,702.90
Banco Popular	735.48
Banco Popular	449,036.12
Citibank	1,595.65
Banco Popular	335,186.30
Banco Popular	2,489.57
Banco Popular	60,697.32
Key Bank	25,653.48
Key Bank	20,225.03
Key Bank	393,704.97
Sterling Bank	No report received
Hudson Valley National Bank	No report received
Hudson Valley National Bank	No report received
,	
Hudson Valley National Bank	No report received
Hudson Valley National Bank	No report received
Hudson Valley National Bank	No report received
Key Bank	22,006.10
Key Bank	7,056.62
Key Bank	298,743.00
Key Bank	199,270.55
Community Bank	14,880.66
	1,000.00

Faci	ility Holding Account	Community Bank	14,432.00
	ients Cash Account	Community Bank	72,473.48
	ients Cash Savings	Community Bank	232,947.47
	EDMOOR PSYCHIATRIC CENTER	11000	60.070.04
	rance Account	HSBC HSBC	68,870.31
	tificate Of Deposit	HSBC	150,000.00
	tificate Of Deposit	HSBC	250,000.00 18,369.22
	ding Account dicaid Travel Account	HSBC	0.00
	ney Management Account	HSBC	809,556.73
	ient Cash Account	HSBC	50,467.88
	It Holding Account	HSBC	1,100.00
	CKLAND PSYCHIATRIC CENTER		1,100100
	hange	JPMorgan Chase Bank, N.A.	126,260.59
	ding Account	JPMorgan Chase Bank, N.A.	627,207.67
	estment CD A	Sterling Bank	100,000.00
	estment CD B	Sterling Bank	100,000.00
	estment CD B	Sterling Bank	No report received
Inve	estment CD C	Sterling Bank	100,000.00
Inve	estment CD D	Sterling Bank	100,000.00
Inve	estment CD G	Sterling Bank	100,000.00
Inve	estment CD I	Sterling Bank	100,000.00
Patie	ient Cash Account	JPMorgan Chase Bank, N.A.	50,640.23
Patie	ient Cash Checking Account	JPMorgan Chase Bank, N.A.	1,797,949.06
Patie	ient Cash Savings Account	JPMorgan Chase Bank, N.A.	793,751.96
50180 - NYS	S PSYCHIATRIC INSTITUTE		
Don	nation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	6,009.89
Gen	neral	JPMorgan Chase Bank, N.A.	81,305.29
	ty Cash	JPMorgan Chase Bank, N.A.	459.87
	HARD H HUTCHINGS PSYCHIATRIC CENTER		
	rance Account	Key Bank	No report received
	nts Count	Key Bank	No report received
	ding Account	Key Bank	No report received
	GRIM PSYCHIATRIC CENTER		25.025.40
	ility Advance Account	JPMorgan Chase Bank, N.A.	36,026.19
	ility Holding Acct	JPMorgan Chase Bank, N.A.	185,152.04
	ient Cash	JPMorgan Chase Bank, N.A.	1,080,129.09
	HAWK VALLEY PSYCHIATRIC CENTER	Key Benk	15 277 00
	rance Fund	Key Bank Key Bank	15,377.00 13,511.91
	ility Holding ient Cash Checking	Key Bank	58,637.80
	ient Cash Savings	Key Bank	28,934.42
	urity Deposit	Key Bank	7,274.05
	DNX PSYCHIATRIC CENTER		7,271.00
CD		JPMorgan Chase Bank, N.A.	250,000.00
	isolidated Advance	JPMorgan Chase Bank, N.A.	17,821.00
	c. Receipts	JPMorgan Chase Bank, N.A.	27,306.58
	ients Cash MM	JPMorgan Chase Bank, N.A.	84,402.93
	ients Checking Acct	JPMorgan Chase Bank, N.A.	419,939.93
50340 - NAT	THAN KLINE INSTITUTE		
Pett	ty Cash Account	JPMorgan Chase Bank, N.A.	No report received
50350 - KIR	BY FORENSIC PSYCHIATRIC CENTER		
Con	nsolidated Advance Account	Hudson Valley National Bank	No report received
Hold	ding Account	Hudson Valley National Bank	No report received
	ient Cash Account	Hudson Valley National Bank	No report received
	ITRAL NY PSYCHIATRIC CENTER		
-	ency Advance Account	Key Bank	9,731.05
Gen	neral Fund	Key Bank	0.02
	ient Checking	Key Bank	85,819.02
	ient Savings	Key Bank	296,545.30
	urity Deposit	Key Bank	2,743.52
	D-HUDSON FORENSIC PSYCHIATRIC CENTER		
	ility Advance Account	Key Bank	11,464.64
	ility Holding Account	Key Bank	4,831.44
	ients Account	Key Bank	238,646.27
	JTH BEACH PSYCHIATRIC CENTER	10Mergan Chase Parts N A	10 711 60
-	ency Advance Checking	JPMorgan Chase Bank, N.A.	12,711.63
	ility Holding Checking	JPMorgan Chase Bank, N.A.	18,992.89
	ients Cash Checking	JPMorgan Chase Bank, N.A.	665,645.69
	DNX CHILDRENS PSYCHIATRIC CENTER neral Fund	1PMorgan Chase Bank N A	11,054.55
	erai Fund STERN NY CHILDRENS PSYCHIATRIC CENTER	JPMorgan Chase Bank, N.A.	11,034.33
	ency Advance	Key Bank	2,625.00
	hange Account	Key Bank	1,300.00
EXC		,	1,000.00

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JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. HSBC HSBC Chemung Canal Trust Chemung Chemung Ca	23,480.95 5,250.00 No report received 11,194.00 4,763.58 100,000.00 11,031.22 1,874.35 47,279.23 320,551.93 1,550.33 258,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. HSBC HSBC Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Key Bank Key Bank Key Bank Key Bank Key Bank Bank of America, N.A. Bank of America, N.A.	5,250.00 No report received 11,194.00 4,763.58 100,000.00 11,031.22 1,874.35 47,279.23 320,551.93 1,550.33 258,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
JPMorgan Chase Bank, N.A. HSBC HSBC Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Key Bank Key Bank Key Bank Key Bank Key Bank Bank of America, N.A. Bank of America, N.A.	No report received 11,194.00 4,763.58 100,000.00 100,000.00 11,031.22 1,874.35 47,279.23 320,551.93 1,550.33 2,58,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
HSBC HSBC Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Key Bank Key Bank Key Bank Key Bank Key Bank Key Bank Bank of America, N.A. Bank of America, N.A.	11,194.00 4,763.58 100,000.00 110,000.00 11,031.22 1,874.35 47,279.23 320,551.93 1,550.33 258,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
HSBC Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Key Bank Key Bank Key Bank Key Bank Key Bank Bank of America, N.A.	4,763.58 100,000.00 1100,000.00 11,031.22 1,874.35 47,279.23 320,551.93 1,550.33 258,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
HSBC Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Key Bank Key Bank Key Bank Key Bank Key Bank Bank of America, N.A.	4,763.58 100,000.00 110,01.22 1,874.35 47,279.23 320,551.93 1,550.33 258,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Key Bank Key Bank Key Bank Key Bank Key Bank Bank of America, N.A.	100,000.00 100,000.00 11,031.22 1,874.35 47,279.23 320,551.93 1,550.33 258,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Key Bank Key Bank Key Bank Key Bank Key Bank Bank of America, N.A. Bank of America, N.A.	100,000.00 11,031.22 1,874.35 47,279.23 320,551.93 1,550.33 258,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Key Bank Key Bank Key Bank Key Bank Key Bank Bank of America, N.A. Bank of America, N.A.	100,000.00 11,031.22 1,874.35 47,279.23 320,551.93 1,550.33 258,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Chemung Canal Trust Key Bank Key Bank Key Bank Key Bank Key Bank Bank of America, N.A.	11,031.22 1,874.35 47,279.23 320,551.93 1,550.33 258,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
Chemung Canal Trust Chemung Canal Trust Key Bank Key Bank Key Bank Key Bank Key Bank Bank of America, N.A.	47,279.23 320,551.93 1,550.33 258,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
Chemung Canal Trust Key Bank Key Bank Key Bank Key Bank Key Bank Bank of America, N.A. Bank of America, N.A.	320,551.93 1,550.33 258,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
Key Bank Key Bank Key Bank Key Bank Key Bank Bank of America, N.A. Bank of America, N.A.	1,550.33 258,797.99 166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
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Key Bank Key Bank Key Bank Bank of America, N.A. Bank of America, N.A.	166,775.54 394,595.92 397,258.90 No report received 5,296,364.41
Key Bank Key Bank Bank of America, N.A. Bank of America, N.A.	394,595.92 397,258.90 No report received 5,296,364.41
Key Bank Bank of America, N.A. Bank of America, N.A.	397,258.90 No report received 5,296,364.41
Bank of America, N.A.	5,296,364.41
Bank of America, N.A.	5,296,364.41
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JPMorgan Chase Bank, N.A.	N
JPMorgan Chase Bank, N.A.	
10Manuary Change Devils NLA	No report received
JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	No report received No report received
JPMorgan Chase Bank, N.A.	No report received
JPMorgan Chase Bank, N.A.	No report received
JPMorgan Chase Bank, N.A.	No report received
Key Bank	42,407.49
Key Bank	637,216.60
Adirondack Bank	2,120,155.94
Key Bank	3,418,732.48
M&T Bank	59,489.78
M&T Bank	175.00
JPMorgan Chase Bank, N.A.	1,500,000.00
M&T Bank	501,669.52
The Adirondack Trust Company	1,842,359.51
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JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	No report received No report received
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	No report received
JPMorgan Chase Bank, N.A.	
	No report received
JPMorgan Chase Bank, N.A.	No report received
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JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank The Adirondack Trust Company Key Bank	No report received
JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank The Adirondack Trust Company Key Bank Key Bank	No report received
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JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank The Adirondack Trust Company Key Bank Key Bank The Adirondack Trust Company The Adirondack Trust Company The Adirondack Trust Company M&T Bank Key Bank M&T Bank Community Bank	No report received No report received
JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank The Adirondack Trust Company Key Bank Key Bank The Adirondack Trust Company The Adirondack Trust Company The Adirondack Trust Company M&T Bank Key Bank M&T Bank Community Bank Adirondack Bank M&T Bank	No report received No report received
JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank The Adirondack Trust Company Key Bank Key Bank The Adirondack Trust Company The Adirondack Trust Company The Adirondack Trust Company M&T Bank Key Bank M&T Bank Community Bank Adirondack Bank M&T Bank JPMorgan Chase Bank, N.A.	No report received No report received
JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank The Adirondack Trust Company Key Bank Key Bank The Adirondack Trust Company The Adirondack Trust Company The Adirondack Trust Company M&T Bank Key Bank M&T Bank Community Bank Adirondack Bank M&T Bank JPMorgan Chase Bank, N.A.	No report received No report received
JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank The Adirondack Trust Company Key Bank Key Bank The Adirondack Trust Company The Adirondack Trust Company The Adirondack Trust Company M&T Bank Key Bank M&T Bank Community Bank Adirondack Bank M&T Bank JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	No report received No report received
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JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. Key Bank The Adirondack Trust Company Key Bank Key Bank The Adirondack Trust Company The Adirondack Trust Company The Adirondack Trust Company M&T Bank Key Bank M&T Bank Community Bank Adirondack Bank M&T Bank JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	No report received No report received
	Key Bank The Adirondack Trust Company The Adirondack Trust Company The Adirondack Trust Company M&T Bank Key Bank M&T Bank Community Bank Adirondack Bank M&T Bank JPMorgan Chase Bank, N.A.

NYS Register/March 30, 2022

Financial Reports

		JDMerron Chass Bank N A	7,649.03
E1 400	Thomas Shirtz Community Service SUNMOUNT DDSO	JPMorgan Chase Bank, N.A.	7,049.03
51420 -	Certificate of Deposit	Community Bank	No report received
	Residents Fund	Community Bank	No report received
	Sunmount Advance Account	Community Bank	No report received
E1 420 -	INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES	Confindinty Bank	No report received
51430 -	Petty Cash	JPMorgan Chase Bank, N.A.	No report received
E1 4E0	METRO NY DDSO	JPMorgan Chase Bank, N.A.	No report received
51450 -	Consolidated Acct	JPMorgan Chase Bank, N.A.	47,087.29
	Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	28,899.63
	Mannatan DDSO Cient Casin Metro NY DDSOO REP PAYEE ACCOUNT		28,899.03 506,040.17
	Patients Cash Account	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	18,798.32
	Patients Cash Account Patients Money Market Account	JPMorgan Chase Bank, N.A. JPMorgan Chase Bank, N.A.	18,798.32
E1 470	BERNARD M FINESON DDSO	JPMOIGHT CHASE BAIK, N.A.	0.10
51470-	Money Market Account	JPMorgan Chase Bank, N.A.	307,139.79
	Patient Fund Account	JPMorgan Chase Bank, N.A.	270,507.81
E1700	Petty Cash Fund FINGER LAKES DDSO	JPMorgan Chase Bank, N.A.	9,971.74
51/60 -	Advance Account	JPMorgan Chase Bank, N.A.	No report received
	F.L. Newark Resident Checking	Community Bank	No report received No report received
		,	
	Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received No report received
	FL Vending Machine Account General Account	Community Bank JPMorgan Chase Bank, N.A.	No report received
	Geneseo Client Cash Kelsey Trust Fund	Bank of America, N.A. Bank of America, N.A.	No report received No report received
	Mary Moore Trust Fund Monroe Resident Checking	Bank of America, N.A. JPMorgan Chase Bank, N.A.	No report received No report received
	Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
	Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
E1040	BROOME DDSO	JPHOIGAN Chase bank, N.A.	No report received
51940 -	Broome DDSO	M&T Bank	No report received
	Broome DDSO - Advance Account	M&T Bank	No report received
	Broome DDSO - General Fund	M&T Bank	No report received
	Disabled Individuals Savings	Key Bank	No report received
	Disabled Individuals Savings	M&T Bank	No report received
	OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
E2000	OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES	Mai Dalik	No report received
53000 -	Agency Advance Acct	Key Bank	2,800.00
	Creedmoor PNA Account	JPMorgan Chase Bank, N.A.	2,800.00
	Patient Fees	Bank of America, N.A.	123,533.85
	Revenue Account	Bank of America, N.A.	(185.94)
E2020 -	KINGSBORO ALCOHOLISM TREATMENT CENTER	Darik of Afficia, N.A.	(105.54)
55020 -	Petty Cash Advance Account	Bank of America, N.A.	No report received
70000 -	CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION	Bark of Affenda, N.A.	No report received
70000 -	CUNY Admin Imprest Cash Account	Citibank	10,822.44
70030 -	CUNY HUNTER COLLEGE	Citibalik	10,022.11
70050-	Travel Petty Cash Account	Citibank	48,435.70
70060 -	CUNY JOHN JAY COLLEGE	Citibalik	-0,-33.70
70000 -	CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	16,250.00
70070 -	CUNY LEHMAN COLLEGE	Citibank	10,250.00
/00/0 -	Lehman College	Citibank	4,345.50
70090 -	CUNY YORK COLLEGE	Citibalik	-,5-5.50
70000 -	York College Imprest Funds	Citibank	11,288.64
	York College Travel Advance Fund	Citibank	817.00
70100 -	CUNY COLLEGE OF STATEN ISLAND	GRIDAIIA	017.00
70100 -	CSI Imprest Cash	TD Bank	4,917.19
70120 -	CUNY NYC COLLEGE OF TECHNOLOGY	LD Dallk	7,217.19
/0120-	NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150	CUNY SCHOOL OF LAW		5,000.00
/0130 -	CUNY School OF LAW	TD Bank	29,138.71
		I D Dalik	23,130./1

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance Christopher Curtis Deputy Commissioner and State Treasurer

FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE IS THE SOLE CUSTODIAN WITH BALANCES AS OF 02/28/2022

ACCOUNT	T DESCRIPTION	DEPOSITORY	BALANCE AS OF 02/28/2022
	ITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0232	Upstate Community Colleges, Series 2005B	Key Bank	5,448,438.25
	IENT OF FINANCIAL SERVICES		
0001	Property/Casualty Insurance Security Fund	Key Bank	1,209,683.74
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	494,688.14
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	505,562.70
	DRY AUTHORITY OF THE STATE OF NEW YORK		
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	0.00
0104 0105	Lincoln Medical and Mental Health Center Project Construction Account Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank Key Bank	838.05 303.345.14
0105	State Advances Repayment Account	Bank of America, N.A.	(1,761.84)
		,	., ,
0320	SS HOUSING ASSISTANCE CORPORATION Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	960.00
NELSON	A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION		
0315	The Egg	Key Bank	42,524.47
NEW YOF	RK CONVENTION CENTER		
0300	Operating Fund	JPMorgan Chase Bank, N.A.	18,157,505.23
NEW YOF	RK JOB DEVELOPMENT AUTHORITY		
0036	Special Purpose Fund	Bank of America, N.A.	1,060,532.09
0371	Series H Commercial Paper	Bank of America, N.A.	434,055.46
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	340,553.73
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424 0426	Escrow Account for Pluritec USA Inc.	Key Bank Key Bank	19,782.38 406,256.00
	Agriculture Loan Program	Key balk	400,230.00
	DRDABLE HOUSING CORPORATION	IDMerson Chase Bank N A	210 282 10
0491 0520	Disbursement Account Development Account	JPMorgan Chase Bank, N.A. Key Bank	210,283.10 132,167.20
0520	Repayment Account	Key Bank	909,921.47
0523	Recapture Account	Key Bank	98,467.10
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEP	ARTMENT OF HEALTH		
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(2,112,907.37)
NYS DEP	ARTMENT OF TAXATION AND FINANCE		
0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	149,073.54
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,053.15
0626	Criminal Investigation Division	Key Bank	229,603.97
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800 0827	NYS IRS PIT offset account Stock Transfer Incentive Fund	Key Bank Key Bank	0.00 1,201,259.94
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	1,201,235.51
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS HOU	ISING FINANCE AGENCY		
02.52	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	206,627.74
02.54	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	1,533,671.83
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	87,646.12
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	643,069.79
0283	Small Owner's Assistance Program Account	Key Bank	75,887.92
0285	Public Purpose Account	Key Bank	5,783,279.89
0286	Disbursement Account	Key Bank	4,125,733.97
0287 0288	Infrastructure Development Fund Mobile Home Cooperative Fund	Key Bank Key Bank	197,817.52 24,033.82
0288	Homeless Housing Initiatives	Key Bank	24,053.82 200,389.04
02.92	Housing Plan Fund	Key Bank	27,918,147.16
0301	HFA - Subsidy Repayment Account	Key Bank	4,324,419.45
0305	HPD Disbursement Fund	Key Bank	999,684.19
0879	Payroll Account	JPMorgan Chase Bank, N.A.	183,090.33
NYS HOU	ISING TRUST FUND CORPORATION		
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	1,143,095.60
0460	Escrow Account	M&T Bank	1,125,999.45
0461	Housing Modernization Account	M&T Bank	770,401.95

0462	General Custodial Account	M&T Bank	68,375,030.86
0465	Home Program Account	M&T Bank	10,345,035.21
0466	Homes for Working Families Account	M&T Bank	8,905,367.34
0467	Section 8 Administrative Account	M&T Bank	9,087,527.85
0469	OCR Community Miscellaneous Programs Account	M&T Bank	8,131,144.83
0470	HCV Main Account	Bank of America, N.A.	71,611,604.57
0471	Empire State Relief Fund	M&T Bank	472,816.46
0472	Master Escrow Account	Bank of America, N.A.	8,281.02
0473	Family Self-Sufficiency Account	Bank of America, N.A.	4,222,868.15
0475	Reserve Account	Bank of America, N.A.	107,444.90
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	9,924,437.75
0891	Small Cities Community Development Block Grant Program	M&T Bank	8,365.10
0892	Disaster Recovery Initiative Account	M&T Bank	326,858.18
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,385,893.08
0899	HTFC Storm Recovery Lockbox	US Bank	40,968.71
NYS TEAC	CHERS RETIREMENT SYSTEM		
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	4,230,786.70
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	2,669,095.26
STATE IN	SURANCE FUND		
0053	State Insurance Fund	Bank of America, N.A.	65,470,702.91
0054	State Insurance Fund	Bank of America, N.A.	17,681,477.18
0055	State Insurance Fund	Bank of America, N.A.	13,455,442.68
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	28,448.41
STATE UN	IVERSITY CONSTRUCTION FUND		
0034	Income Fund	Key Bank	1,149,009.96
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,806.05
0870	Deductions Account	Key Bank	187,837.45

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance

Christopher Curtis Deputy Commissioner and State Treasurer



THOMAS P. DINAPOLI

THOMAS P. DINAPOLI STATE COMPTROLLER	F ACCOUNTING		2 3 4 4 6 6 6 6 7 6 7 6 9 9 10 11 11 12 6 13 6 14 13 14 15 16 17 18 19 19 10 11 12 13 14 15 16 17 17 18 19 19 10	23322		in Fund Balances 39 Fund Balances 42 and Balances 43 and Balances 43 ind Balances 43 ind Balances 44 ind Balances 44 ind Balances 44 ind Balances 45 ind Balances 46 ind Balances 51 ind Balances 53 hents 53
STATE OF NEW YORK OFFICE OF OPERATIONS DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION	COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING February 28, 2022	TABLE OF CONTENTS Disbursements and Changes in Fund Balances	Exhibit A Exhibit A Supplemental Exhibit A Supplemental Exhibit D Supplemental Exhibit D Governmental Exhibit D State Operating Exhibit D State Operating Exhibit D State Operating Exhibit D State Operating Exhibit D Special Revonue Exhibit D Capital Projects Exhibit D Capital Projects State and Federa Governmental Funds - Budgetary Basis - Financial Plan and Actual - State Operating Governmental Funds - Budgetary Basis - Financial Plan and Actual - State Operating Governmental Funds - Budgetary Basis - Financial Plan and Actual - State Operating Governmental Funds - Budgetary Basis - Financial Plan and Actual - Capital Projects State and Federa Governmental Funds - State Operating Governmental Funds - State Operating Governmental Funds - State Operating Governmental Funds - State Operating	Disbursements and Changes in Fund Balances General Fund - Statement of Cash Flow Special Revenue Funds Combined - Statement of Cash Flow Special Revenue Funds State - Statement of Cash Flow Special Revenue Funds State - Statement of Cash Flow Debt Service Funds - Statement of Cash Flow Capital Projects Funds State - Statement of Cash Flow Internal Service Funds - Statement of Cash Flow Frietprise Funds - Statement of Cash Flow Internal Service Funds - Statement of Cash Flow		Schedule 1 Schedule 2 Schedule 3 Schedule 4 Schedule 5 Schedule 6 Dett Service Funds - Fitament of Cash Receipts and Disbursements Appendix C Appendix C
	COMPTROLLER'S MO	Combined Statements of Cash Receipts,	Exhibit A Exhibit A Supplemental Exhibit A Notes Exhibit C Governmental Exhibit D Governmental Exhibit D Special Revenue Exhibit D Special Revenue State/Federal Exhibit D Detex Exhibit D Detex Exhibit D Detex Exhibit D Capital Projects Exhibit D Capital Projects Exhibit E Constal Projects Exhibit E Covernmental Cash Flow - State Operating	Combining Statements of Cash Receipts Exhibit G State Exhibit G State Exhibit H Exhibit H Exhibit I State Exhibit L Exhibit K Exhibit K Exhibit K Exhibit K	Supplementary Schedules	Schedule 1 Schedule 2 Schedule 3 Schedule 5 Schedule 5 Appendix A Appendix D Appendix D Appendix C Appendix C

	GEN	GENERAL	SPECIAL	SPECIAL REVENUE	DEBT	DEBT SERVICE	CAPITAL F	CAPITAL PROJECTS	· 1	TOTAL GOVERNMENTAL FUNDS	ITAL FUNDS		YEAR OVER YEAR	AR
	MONTH OF FEB. 2022	11 MOS. ENDED FEB. 28, 2022	MONTH OF FEB. 2022	11 MOS. ENDED FEB. 28, 2022	MONTH OF FEB. 2022	11 MOS. ENDED FEB. 28, 2022	MONTH OF FEB. 2022	11 MOS. ENDED FEB. 28, 2022	MONTH OF FEB. 2022	11 MOS. ENDED FEB. 28, 2022	MONTH OF FEB. 2021	11 MOS. ENDED FEB. 28, 2021	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS: Dereonal Income Tev (4)	5 30453	\$ 300167	ų	C 1 866.1	\$ 2046.2	\$ 37.787.3	ų	ų	5 6 AD7 6	\$ 65 561 G	¢ 5383.8	\$ 51 635 D	\$ 13 979 F	200 20
SB)			134.8				48.5	÷ 562.2						21.5%
Business Taxes	154.3	11.875.8	58.5	1.813.5	82.7	5.613.0	44.7	539.4	340.2	19.841.7	155.3	6.403.6	13.438.1	209.9%
Other Taxes	126.7	1.314.9			139.9	1.427.3	11.9	107.2	278.5	2,849.4	290.7	2.321.6	527.8	22.7%
Miscellaneous Receipts	120.4	1,926.2	1,876.3	17,503.8	40.5	407.3	587.8	3,882.6	2,625.0	23,719.9	2,158.5	27,891.2	(4,171.3)	-15.0%
Federal Receipts			5,299.4	85,356.1	34.6	67.8	225.0	1.789.3	5,559.0	87,213.2	3,260.2	71,334.9	15,878.3	22.3%
Total Receipts	3,766.4	50,315.7	7,369.0	108,354.5	4,207.8	51,465.7	917.9	6,880.7	16,261.1	217,016.6	12,432.6	174,263.5	42,753.1	24.5%
DISBURSEMENTS:														
Local Assistance Grants:														
Education	936.5	19,149.9	7.09.7	10,926.6	'		6.3	144.1	1,652.5	30,220.6	1,873.0	25,941.5	4,279.1	16.5%
Environment and Recreation	0.1	6.5	1.1	5.4	•	ļ	11.4	303.3	12.6	315.2	9.5	155.9	159.3	102.2%
General Government	41.2	976.2	23.7	718.6	•	•	26.4	426.5	91.3	2,121.3	79.5	5,711.9	(3,590.6)	-62.9%
Public Health:														
Medicaid	1,287.1	17,433.1	3,894.8	47,414.2			•		5,181.9	64,847.3	3,082.8	58,553.7	6,293.6	10.7%
Other Public Health	44.5	2,012.1	751.5	7,983.1		•	127.2	648.5	923.2	10,643.7	823.5	9,336.3	1,307.4	14.0%
Public Safety	12.5	189.6	52.0	1,878.1			2:1	97.4	66.6	2,165.1	314.8	2,092.3	72.8	3.5%
Public Welfare	118.1	4,523.9	606.8	6,904.3	•		67.2	585.3	792.1	12,013.5	925.2	6,182.6	5,830.9	94.3%
Support and Regulate Business	23.4	741.6	7.9	70.8			10.5	578.1	41.8	1,390.5	145.8	673.1	717.4	106.6%
Transportation	13.5	122.6	89.1	3,631.0	•		229.3	2,506.4	331.9	6,260.0	89.8	5,282.1	977.9	18.5%
Total Local Assistance Grants	2,476.9	45,155.5	6,136.6	79,532.1	•	•	480.4	5,289.6	9,093.9	129,977.2	7,343.9	113,929.4	16,047.8	14.1%
Departmental Operations:														
Personal Service	712.2	7,305.7	466.5	6,305.0					1,178.7	13,610.7	1,042.1	13,310.3	300.4	2.3%
Non-Personal Service	484.2	2,735.1	472.0	4,999.9	5.0	8.4			961.2	7,743.4	575.0	6,412.4	1,331.0	20.8%
General State Charges	490.3	7,118.4	92.4	1,949.3					582.7	9,067.7	596.8	7,704.6	1,363.1	17.7%
Debt Service, Including Payments on														
ements				42.3	773.3	2,223.5		i	773.3	2,265.8	878.8	3,570.6	(1,304.8)	-36.5%
Capital Projects (1)							577.7	6,640.1	577.7	6,640.1	547.2	6,388.0	252.1	3.9%
Total Disbursements	4,163.6	62,314.7	7,167.5	92,828.6	778.3	2,231.9	1,058.1	11,929.7	13,167.5	169,304.9	10,983.8	151,315.3	17,989.6	11.9%
Excess (Deficiency) of Receipts over Disbursements	(397.2)	(11,999.0)	201.5	15,525.9	3,429.5	49,233.8	(140.2)	(5,049.0)	3,093.6	47,711.7	1,448.8	22,948.2	24,763.5	107.9%
OTHER FINANCING SOURCES (USES):														
Đ		•	•		•	•			•					0.0%
Transfers from Other Funds (2)	3,375.0	-	25.5	2.259.0	6.99	1,537.1	16.1	4.797.4	3,483.1	53,673.9	3,703.5	32,326.9	21,347.0	66.0% CE 201
Transfers to Other Funds	(C:U2)	0.201.4) 0.200.0	(110.0)	(1.010.1)	(0.040.0)	(0707674)	(4.9)	(7.140)	(0.104.0)	(0.00/,00)	(1:60/(0)	(079470)	2.022.12	%7°CQ
	C.46C,C	01,803.0	(1.08)	(40.3	(c-117(c)	(42,063.4)	711	4,443.1	(4.1)	(31.4)	(0°C)	(6.112)	C.021	0/1.9C
Excess (Deficiency) of Receipts and Other Financing Sources over														
Disbursements and Other Financing Uses	2,957.3	25,804.0	108.4	16,271.2	152.2	6,144.4	(129.0)	(599.3)	3,088.9	47,620.3	1,443.2	22,730.3	24,890.0	109.5%
Beginning Fund Balances (Deficits) (3)	32,007.5	9,160.8	26,832.1	10,669.3	6,057.2	65.0	(1,614.3)	(1,144.0)	63,282.5	18,751.1	35,571.9	14,284.8	4,466.3	31.3%
Ending Fund Balances (Deficits)	\$ 34.964.8	\$ 34,964.8	\$ 26.940.5	\$ 26.940.5	\$ 6.209.4	\$ 6.209.4	\$ (1.743.3)	\$ (1.743.3)	\$ 66.371.4	\$ 66.371.4	\$ 37.015.1	\$ 37.015.1	\$ 29.356.3	79.3%
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EXHIBIT A

STATE OF NEW YORK GOVERNMENTAL FUNDS COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES (amounts in millions)

EXHIBI

NYS Register/March 30, 2022

STATE OF NEW YORK GOVERNMENTAL FUNDS-STATE OPERATING (*) COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES (amounts in millions)

		GEN	GENERAL	STATE SPECIA	AL REVENUE (**)	DEBT	DEBT SERVICE		TOTAL STATE	TOTAL STATE OPERATING FUNDS	IDS		
	I	MONTH OF FEB: 2022	11 MOS. ENDED	MONTH OF FEB. 2022	MONTH OF 11 MOS. ENDED FEB 2022 FEB 28 2022	MONTH OF FEB. 2022	11 MOS. ENDED FEB. 28. 2022	MONTH OF FEB. 2022	11 MOS. ENDED FEB. 28. 2022	MONTH OF FEB. 2021	11 MOS. ENDED FEB. 28. 2021	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:	I											(popping)	
Personal Income Tax (4)	() S	3,046.3	\$ 30,916.2	۰ ج	\$ 1,866.1	\$ 3,046.3	\$ 32,782.3	\$ 6,092.6	\$ 65,564.6	\$ 5,383.8	\$ 51,635.0	\$ 13,929.6	27.0%
Consumption/Use Taxes		318.7	4,282.6	134.8	1,815.0	863.8	11,168.0	1,317.3	17,265.6	1,148.4	14,203.1	3,062.5	21.6%
Business Taxes		154.3	11,875.8	58.5	1,813.5	82.7	5,613.0	295.5	19,302.3	118.4	5,913.9	13,388.4	226.4%
Other Taxes		126.7	1,314.9	,	,	139.9	1,427.3	266.6	2,742.2	278.8	2,214.4	527.8	23.8%
Miscellaneous Receipts		120.4	1,926.2	1,858.5	17,331.4	40.5	407.3	2,019.4	19,664.9	1,784.2	22,519.5	(2,854.6)	-12.7%
Federal Receipts		1			29.1	34.6	67.8	34.6	96.9	7.6	51.9	45.0	86.7%
Total Receipts		3,766.4	50,315.7	2,051.8	22,855.1	4,207.8	51,465.7	10,026.0	124,636.5	8,721.2	96,537.8	28,098.7	29.1%
UISBURSEIMEN I S. and Angebras Grante:													
Education Education		936 5	10 140 0		5 116 A	,		1049.8	24 766 3	1 583 0	23 045 B	1 220 5	5 306
Environment and Recreation		0.000			4.0			1 1	10.001	5.000°.	0.040,04	3 2	220 6%
General Government		11.0	0.0	0. 	175.0			50 Z	1 151 /	1.90	9.7 5	173.0	17 8%
		1	7.016	0.01	7.071				t	t.07	0.110	6.01	0/0.71
Madicaid.		1 787 1	17 433 1	561 2	5 243 A			1 851 3	22 GTE 5	1 101 0	18 752 0	3 001 5	30 D.C.
		1.102,1	1,004,01	1.400	1.044.0			1.00,1	22,010.0	1.131.1	0.201.01	0,424.0	0/ p. 07
Other Public Health		0.44.0	.7	12.2	1,003.6	1		110./	3,0T0.2	242.3	2,845.3	1/0.4	6.U%
Public Safety		12.5		14.6	230.9			27.1	420.5	32.1	243.0	177.5	73.0%
Public Welfare		118.1	4	(1.7)	1.1	•		116.4	4,525.0	403.4	2,375.6	2,149.4	90.5%
Support and Regulate Business		23.4	741.6	7.3	61.3	'		30.7	802.9	15.0	119.8	683.1	570.2%
Transportation	I	13.5	122.6	84.5	3,585.1		-	98.0	3,707.7	15.8	3,019.2	688.5	22.8%
Total Local Assistance Grants		2,476.9	45,155.5	874.9	15,421.4	•		3,351.8	60,576.9	3,513.2	51,381.6	9,195.3	17.9%
Departmental Operations:													
Personal Service		712.2	7,305.7	413.1	4,590.7	I	'	1,125.3	11,896.4	1,043.0	10,955.1	941.3	8.6%
Non-Personal Service		484.2		293.3	2,651.1	5.0	8.4	782.5	5,394.6	1,308.3	4,906.2	488.4	10.0%
General State Charges		490.3	7,118.4	58.7	975.9	1	'	549.0	8,094.3	548.3	6,387.2	1,707.1	26.7%
Debt Service, Including Payments on													
Financing Agreements		1	,			773.3	2,223.5	773.3	2,223.5	878.8	3,468.4	(1,244.9)	-35.9%
Capital Projects	I	'											0.0%
Total Disbursements	I	4,163.6	62,314.7	1,640.0	23,639.1	778.3	2,231.9	6,581.9	88,185.7	7,291.6	77,098.5	11,087.2	14.4%
Excess (Deficiency) of Receipts													
over Disbursements	I	(397.2)	(11,999.0)	411.8	(784.0)	3,429.5	49,233.8	3,444.1	36,450.8	1,429.6	19,439.3	17,011.5	87.5%
:ES (NSES):													
Transfers from Other Funds (2)	~	3,375.0	45,080.4	35.9	2,779.1	66.5	1,537.1	3,477.4	49,396.6	3,137.3	29,631.3	19,765.3	66.7%
		(20.5)		(39.9)	(294.1)	(3,343.8)	(44,626.5)	(3,404.2)	(52,198.0)	(3,530.6)	(30,596.1)	21,601.9	70.6%
Total Other Financing Sources (Uses)	1	3,354.5	37,803.0	(4.0)	2,485.0	(3,277.3)	(43,089.4)	73.2	(2,801.4)	(393.3)	(964.8)	(1,836.6)	-190.4%
Excess (Deficiency) of Receipts													
and Other Financing Sources over													
Disbursements and Other Financing Uses		2,967.3	25,804.0	407.8	1,701.0	152.2	6,144.4	3,517.3	33,649.4	1,036.3	18,474.5	15,174.9	82.1%
Beginning Fund Balances (Deficits) (3)	~	32,007.5	9,160.8	7,001.8	5,708.6	6,057.2	65.0	45,066.5	14,934.4	31,846.5	14,408.3	526.1	3.7%
Ending Fund Balances (Dericits)	~∥	34,964.8	\$ 34,964.8	\$ 1,409.6	\$ 7,409.6	\$ 6,209.4	\$ 6,209.4	\$ 48,583.8	\$ 48,583.8	\$ 32,882.8	\$ 32,882.8	¢ 15, /U1.U	41.1%

(*) <u>State Operating Funds</u> are comprised of the General Fund. State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds. (**) Eliminations between Special Revenue - State and Federal Funds are not included.

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 Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The anounts shown below represent disbursements to be and the funder in future months from the sources indicated.

Urban Development Corporation (Correctional Facilities)	\$302.8 million
Urban Development Corporation (Youth Facilities)	15.8
Housing Finance Agency (HFA)	507.6
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	526.2
Dormitory Authority and State University Income Fund	695.6
Federal Capital Projects	887.9
State bond and note proceeds	124.4

Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows

General Debt Service Fund	350.2
Banking Services Account	36.9
Building Administration Account	8.0
Business Services Center	30.0
Centralized Tech Services	11.5
Charter School Stimulus	4.8
Court Facilities Incentive Aid Fund	93.4
Dedicated Highway & Bridge Trust Fund	49.5
Dedicated Infrastructure Investment Fund	185.0
Dedicated Mass Transportation (Non MTA)	3.8
Dedicated Mass Transportation - Railroad Account	6.6
Dedicated Mass Transportation - Transit Authority Account	36.7
Entertainment Diversity Job Training Development	1.8
Environmental Protection Fund	28.0
Health Insurance Revolving Fund	12.0
Mass Transportation Financial Assistance	244.3
Mass Transportation Operating Assistance Fund	37.0
Medical Cannabis Health Operation and Oversight	6.2
New York Central Business District Trust Fund	138.9
New York City County Clerks' Operations Offset	2.1
Recruitment Incentive	2.6
Spinal Cord Injury Account	8.5
State Fair Receipts	7.0
State University Income Fund	1,308.3

Also included in the General Fund are transfers representing payments for patients residing in Stateoperated health, mental hygiene and State University facilities to Debt Service funds (\$13.2m), and the State University Income Fund (\$230.8m).

\$72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expanses, during the current fiscal quarter. As of February 28, 2022 - pursuant to a certification of the Budget Directorthe reserve amount is (\$58,80), which was funded by a transfer from the General Fund.

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Special Revenue Funds. "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$1,100.8m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, SUNY Capital Projects Fund (+\$2.0m) and All Other Capital Projects (\$105.0m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Administration Adjudication Account	\$1.4 m	million
Business & Licensing Services Account	2.3	
Clean Air	6.1	
Encon Special Revenue	6.8	
Federal Employment & Training Grants	2.0	
Federal Health and Human Services Fund	66.2	
Federal USDA/Food and Nutrition	19.4	
Fingerprint Identification Technology Account	9.4	
HESC Insurance Premium Account	9.1	
Miscellaneous State Special Revenue Fund	6.8	
MTA Operating Assistance	1.3	
Nursing Home Receivership Account	1.0	
Patron Services Account	1.5	
Professional Medical Conduct Account	1.6	
Public Service Account	5.0	
State Lottery Fund	3.6	
State Police Motor Vehicle Law	76.4	
Statewide Public Safety Communications	8.9	
System and Technology Account	3.4	
SUNY Income Fund	21.7	
Training and Education Program on OSHA	1.9	
Unemployment Insurance Administration	26.4	
Unemployment Insurance, Interest & Penalty	11.6	
Workers' Compensation Board	12.0	

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund Local Government Assistance Tax Fund	\$30,875.9 million 3,720.9	million
Sales Tax Revenue Bond Tax Fund	7,141.7	
Clean Water/Clean Air Fund	1,382.8	
Mental Health Services Fund	1,372.7	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$132.5m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$273.6m) and the General Debt Service Fund - Lease Purchase (\$74.1m).

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GOVERNMENTAL FUNDS FOOTNOTES

 Pursuant to FY 2022 Enacted Budget Legislation (Chapter 50), IFR/CUTRA (City University Tutition Reimbursement Account) Fund and CUNY Senior College Operating Fund have beb been realessified from Special Revenue State Funds and Agenty Funds, respectively, to Enterprise Funds. As a result of this change, the beginning cash balances in the Special Revenue State Funds and the Agency Funds have been reduced by \$171.8m and \$10.6m, respectively, and the Enterprise Funds and the Agency Funds have been reduced by \$171.8m and \$10.6m, respectively, and the Enterprise Funds have been increased by \$182.4m. Additionally, the College Savings Account within the Miscellaneous State Special Revenue Funds was reclassified to the Private Purpose Trust Funds. As a result of this change, the beginning balance of the Special Revenue State Funds has been reduced by an additional \$25.7m and Private Purpose Trust Funds increased by \$25.7m. 4. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$1,866.1m) as of February 28, 2022.

EXHIBIT A NOTES February 2022

	ENTE	ENTERPRISE		INTERNA	INTERNAL SERVICE		TOTAL PROPR	TOTAL PROPRIETARY FUNDS		YEAR OVER YEAR	R YEAR
	MONTH OF FEB. 2022	11 MOS. FEB. 28	MOS. ENDED -EB. 28, 2022	MONTH OF FEB. 2022	11 MOS. ENDED FEB. 28, 2022	FEB. 2022	11 MOS. ENDED FEB. 28, 2022	MONTH OF FEB. 2021	11 MOS. ENDED FEB. 28, 2021	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS: Miscellaneous Receipts	\$ 203.3	÷	2.652.4	\$ 91.1	\$ 506.3	\$ 294.4	\$ 3.158.7	\$ 51.3	\$ 439.0	\$ 2.719.7	619.5%
Federal Receipts	18.5		23,947.1					4	50	0	-53.0%
Unemployment Taxes	215.9		2,918.1	ı	'	215.9	2,918.1	494.3		(11,720.8)	-80.1%
Total Receipts	437.7	~	9,517.6	91.1	506.3	528.8	30,023.9	5,507.4	65,983.5	(35,959.6)	-54.5%
DISBURSEMENTS: Departmental Operations:											
Personal Service	129.5		1,510.3	10.4	118.1	139.9	1,628.4	10.4	135.1	1,493.3	1,105.3%
Non-Personal Service	29.5		397.5	46.7	427.1	76.2	824.6	52.1	462.8	361.8	78.2%
General State Charges	57.3		672.7	5.0	57.6	62.3	730.3	4.7	58.1	672.2	1,157.0%
Unemployment Benefits	233.9		26,968.0		•	233.9	26,968.0	5,455.9	65,535.5	(38,567.5)	-58.8%
Total Disbursements	450.2	3	29,548.5	62.1	602.8	512.3	30,151.3	5,523.1	66,191.5	(36,040.2)	-54.4%
Excess (Deficiency) of Receipts Over Disbursements	(12.5)		(30.9)	29.0	(96.5)	16.5	(127.4)	(15.7)	(208.0)	80.6	38.8%
OTHER FINANCING SOURCES (USES): Transfers from Other Funds			7.0	4.9	100.3	4.9	107.3	5.6	<u>98.5</u>	8.8 8.8	8.9%
Transfers to Other Funds				(0.2)	(5.2)	(0.2)	(5.2)	(0.1)	(3.4)	1.8	52.9%
Total Other Financing Sources (Uses)			2.0	4.7			102.1	5.5		7.0	7.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other					3					5	
Financing Uses	(12.5)		(23.9)	33.7	(1.4)	21.2	(25.3)	(10.2)	(112.9)	87.6	11.6%
Beginning Fund Balances (Deficits)	316.6		328.0								86.7%
Ending Fund Balances (Deficits)	\$ 304.1	\$	304.1	\$ (364.9)	\$ (364.9)	\$ (60.8)	\$ (60.8)	\$ (380.7)	\$ (380.7)	\$ 319.9	84.0%

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EXHIBIT B

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STATE OF NEW YORK PROPRIETARY FUNDS COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES (amounts in millions)

YEAR OVER YEAR	ise/ % Increase/ se) Decrease	40.8 35.9% 40.8 35.9%	4.7 7.0% 30.1 248.8% 8.2 21.5% 43.0 36.8%	(2.2) -66.7%	- 0.0% - 0.0%	(2.2) -66.7%	<u>27.0 204.5%</u> 24.8 250.5%
YEA	\$ Increase/ (Decrease)	φ	, % %				\$
	11 MOS. ENDED FEB. 28, 2021	\$ 113.6 113.6	66.7 12.1 38.1 116.9	(3.3)		(3.3)	13.2 \$ 9.9
ST FUNDS	MONTH OF FEB. 2021	\$ 12.7 12.7	5.6 1.2 <u>3.5</u> 10.3	2.4		2.4	7.5 \$ 9.9
TOTAL TRUST FUNDS	11 MOS. ENDED FEB. 28, 2022	\$ 154.4 154.4	71.4 42.2 46.3 159.9	(5.5)		(5.5)	40.2 \$ 34.7
	MONTH OF FEB. 2022	\$ 30.9 30.9	5.8 29.2 3.6 3.8.6	(1.7)	• • • • •	(7.7)	42.4 \$ 34.7
URPOSE	11 MOS. ENDED FEB. 28, 2022	\$ 50 50 50 50 50 50 50 50 50 50 50 50 50	0.4 0.1 0.7	5.1		5.1	40.2 \$ 45.3
PRIVATE PURPOSE	MONTH OF FEB. 2022	\$ 0.6 0.6	0.1 0.1	0.5		0.5	44.8 \$ 45.3
NOI	11 MOS. ENDED FEB. 28, 2022	\$ 148.6 148.6	71.0 42.1 46.1 1 59.2	(10.6)		(10.6)	\$ (10.6)
PENSION	MONTH OF FEB. 2022	\$ 30.3 30.3	5.7 29.2 3.6 3.6	(8.2)		(8.2)	(2.4) \$ (10.6)
		RECEIPTS: Miscellaneous Receipts Total Receipts	DISBURSEMENTS: Departmental Operations: Personal Service Non-Personal Service General State Charges Total Disbursements	Excess (Deficiency) of Receipts Over Disbursements	OTHER FINANCING SOURCES (USES): Transfers from Other Funds Transfers to Other Funds Total Other Financing Sources (Uses)	Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	Beginning Fund Balances (Deficits) Ending Fund Balances (Deficits)

EXHIBIT C

STATE OF NEW YORK TRUST FUNDS COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES (amounts in millions)

STATE OF NEW YORK BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL FISCAL YEAR 2021-2022 FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2022 (amounts in millions)	ACTUAL 8, 2022				EXHIBIT D
		ALL	ALL GOVERNMENTAL FUNDS		
	Enacted Financial	Updated Financial		Actual Over/ (Under) Enacted	Actual Over/ (Under) Updated
	Plan (*)	Plan (**)	Actual	Financial Plan	Financial Plan
RECEIPTS: Taxes:					
Personal Income	\$ 56,450.0	\$ 64,414.0	\$ 65,564.6	\$ 9,114.6	\$ 1,150.6
Consumption/Use Business	16,961.0 6,961.0	17,760.0 19,743.0	17,827.8 19,841.7	1,241.8 12,880.7	67.86 98.7
Other	2,126.0	2,670.0	2,849.4	723.4	179.4
Miscellaneous Kecelpts Federal Receipts	23,442.0 89,389.0	23,681.U 88,679.0	23,719.9 87,213.2	277.9	38.9 (1,465.8)
Total Receipts	194,954.0	216,947.0	217,016.6	22,062.6	69.69
DISBURSEMENTS:					
Local Assistance Grants Denartmental Omerations	136,820.0 21 898 0	133,703.0	129,977.2 21 354 1	(6,842.8) (543 9)	(3,725.8) (168 q)
General State Charges	9,111.0	9,144.0	9,067.7	(43.3)	(76.3)
Debt Service	2,447.0	2,265.0	2,265.8	(181.2)	0.8
Total Disbursements	177,994.0	173,436.0	169,304.9	(8,689.1)	(4,131.1)
Excess (Deficiency) of Receipts over Disbursements	16,960.0	43,511.0	47,711.7	30,751.7	4,200.7
OTHER FINANCING SOURCES (USES): Bond and Note Proceeds. net					
Transfers from Other Funds Transfers to Other Funds	43,434.0 (43 538 0)	54,101.0 (54,189.0)	53,673.9 (53-765-3)	10,239.9 10 227 3	(427.1) (423 7)
Total Other Financing Sources (Uses)	(104.0)	(88.0)	(91.4)	12.6	(3.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	16,856.0	43,423.0	47,620.3	30,764.3	4,197.3
Fund Balances (Deficits) at April 1 Fund Balances (Deficits) at February 28, 2022	18,751.0 \$ 35,607.0	18,751.0 \$ 62,174.0	18,751.1 \$ 66,371.4	0.1 \$ 30,764.4	0.1 \$ 4,197.4
 Source: 2021-22 Enacted Financial Plan dated May 25, 2021. (**) Source: 2022-23 Executive Budget with 30-day amendments dated February 18, 2022. 	25, 2021. endments dated Februa	ry 18, 2022.			

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			STATE OPERATING FILINDS (***)		(***)	
	Enacted Einancial	Updated Financial			Actual Over/ (Under) Fnacted	Actual Over/ (Under) IIndated
	Plan (*)	Plan (**)	Actual	_	Financial Plan	Financial Plan
RECEIPTS:						
Taxes:						
Personal Income Consumption/I lee	\$ 56,450.0 16,035,0	5 64,414.0 17 209 0	÷	65,564.6 17 265 6	\$ 9,114.6 1 230.6	\$ 1,150.6
Business	6.412.0	19.204.0		19,302.3	12.890.3	5.96 5.36
Other	2.018.0	2.563.0		2.742.2	724.2	179.2
Miscellaneous Receipts	17,041.0	19,652.0		9,664.9	2,623.9	12.5
Federal Receipts	356.0	100.0		96.9	(259.1)	(3.1)
Total Receipts	98,312.0	123,142.0		,636.5	26,324.5	1,494.5
DISBURSEMENTS:						
Local Assistance Grants	63,463.0	61,998.0		60,576.9	(2,886.1)	(1,421.1)
Departmental Operations	17,566.0	17,346.0		17,291.0	(275.0)	(55.0)
General State Charges	8,709.0	8,175.0		8,094.3 2 2 2 2 E	(614.7) (181.6)	(80.7)
Capital Projects	0.00t.14	~ 1		0.044	(2:101) -	· `
Total Disbursements	92,143.0	89,742.0		88,185.7	(3,957.3)	(1,556.3)
Excess (Deficiency) of Receipts						
over Disbursements	6,169.0	33,400.0		36,450.8	30,281.8	3,050.8
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds	39,762.0	48,971.0			9,634.6	425.6
Transfers to Other Funds Total Other Einsmeine Sources (Ileas)	(41,270.0)	(51,924.0)		(52,198.0) (****)	10,928.0	274.0
	(1,000.0)	(2,000		<u>, vu i + j</u>	(+:007:1)	0.00
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements						
and Other Financing Uses	4,661.0	30,447.0		33,649.4	28,988.4	3,202.4
Fund Balances (Deficits) at April 1			4	14,934.4		
Fund Balances (Deficits) at February 28, 2022	\$ 19,595.0	\$ 45,381.0	÷	48,583.8	\$ 28,988.8	\$ 3,202.8

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FISCAL YEAR 2021-2022 FISCAL YEAR 2021-2022 FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2022 (amounts in millions)								
				GENERAL FUND	QN			
	Enacted Financial Plan (*)		Updated Financial Plan (**)	Actual		Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updateo Financial F	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:								
l axes:		ŧ	0.110.00	-		0,000,	÷	
Personal Income	0.002,02	^	30,341.0	30,916.2 4 262 5	₽	4,661.2	^	7.0/0
Consumption/Use	4,015.0		4,2/U.U 11 783 0	4,202.0	٥	203.0 7 034 8		9.7L
Dusiness	4,041.0		1 241 0	0710,11	o o	185.0		0.75 73 0
Ourer Miscellaneous Receipts	1,123.0		1,841.0	1,314.9	20	444.2		85.2
Federal Receipts	ı		ı			ı		Î
Transfers From:								
Revenue Bond Tax Fund	22,391.0		30,267.0	30,875.9	<u>م</u> د	8,484.9		608.9 0.00
Sales Tax In excess of LGAC / STRBF DEDT Service Real Fetate Tayes in evress of CM///A Debt Service	9,997.U 840.D		10,824.0 1 279.0	10,862.6	٥«	0.000 8.023		30.0 103.8
All Other	2.259.0		2.247.0	1.959.1	2 -	(299.9)		(287.9)
Total Receipts and Other Financing Sources	73.207.0		94.093.0	95.396.1	 	22.189.1		1.303.1
	0.046		0.000 (10	0000	 :			
DISBURSEMENTS:								
Local Assistance Grants	48,330.0		46,482.0	45,155.5	5	(3,174.5)	Ξ	(1,326.5)
Departmental Operations General State Charnes	11,202.0 7 760 0		10,009.0 7 172 0	10,040.8 7 118 4	8.4	(1,161.2) (641.6)		31.8 (53.6)
Conoral Otato Ortangeo Tranefore To:			0.7		Ţ			(0.00)
Debt Service	409.0		353.0	350.2	12	(28.8)		(2.8)
Capital Projects	3,628.0		5,014.0	4,683.1	5	1,055.1		(330.9)
State Share Medicaid	•		I	244.0	(***) 0''	244.0		244.0
SUNY Operations Other Purnoses	1,086.0 898.0		1,311.0 935.0	1,308.3 691.8	ຕຸແ	222.3 (206 2)		(2.7) (243.2)
Total Disbursements and Other Financing Uses	73.313.0		71.276.0	69.592.1	ן א ר	(3.720.9)		(1.683.9)
Exress (Definiency) of Receints and Other	~							
Financing Sources over Disbursements								
and Other Financing Uses	(106.0)		22,817.0	25,804.0	o.	25,910.0	(2,987.0
Fund Balances (Deficits) at April 1	9,161.0		9,161.0	9,160.8		(0.2)		(0.2)
Fund Balances (Deficits) at February 28, 2022	\$ 9,055.0	\$	31,978.0	\$ 34,964.8	8. 8.	25,909.8	\$	2,986.8

Source: 2022-23 Executive Budget with 30-day amondments dated February 18, 2022.
 Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

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	FISCAL YEAR 2021-2022 FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2022 (amounts in millions)													
						SPE	CIAL RE	SPECIAL REVENUE FUNDS	SONU:					
	- u	Enacted Financial Plan (*)	- ~	Updated Financial Plan (**)		Actual	Eliminations	ations	Total	_	Actual Over/ (Under) Enacted Financial Plan	ual er/ (er) ted al Plan	Finan C O A	Actual Over/ (Under) Updated Financial Plan
RECEIPTS: Taxes:														
Personal Income	÷	1,970.0	φ	1,866.0	ŝ	1,866.1	Ф		\$		\$	(103.9)	φ	0.1
Consumption/Use Business		1,701.0		1,810.0 1 834 0		1,815.0 1 813.5				1,815.0 1 813.5		114.0 242 5		5.0 (205)
Miscellaneous Receipts		15,455.0		17,602.0		17,503.8			17.	17.503.8		2.048.8		(98.2)
Federal Receipts		87,145.0		86,619.0		85,356.1		•	85	85,356.1	-	(1,788.9)	Ū	(1,262.9)
Transfers from Other Funds (***)		2,406.0		2,779.0		2,779.1		(520.1)	2	2,259.0		(147.0)		(520.0)
Total Receipts and Other Financing Sources		110,248.0		112,510.0		111,133.6		(520.1)	110	110,613.5		365.5		(1,896.5)
DISBURSEMENTS: Local Assistance Grants		82,557.0		81,647.0		79,532.1			19,	79,532.1	-	(3,024.9)		(2,114.9)
Departmental Operations		10,681.0		11,506.0		11,304.9		•	1	11,304.9		623.9		(201.1)
General State Charges		1,351.0		1,972.0		1,949.3		•	~	1,949.3 47.2		598.3 0.3		(22.7)
Capital Projects		44.0		44.0 -		6.7 4 C				6, 7 4 0, 7		°. '		
Transfers to Other Funds (***)		2,281.0		2,181.0		2,033.8		(520.1)	1	1,513.7		(767.3)		(667.3)
Total Disbursements and Other Financing Uses		96,912.0		97,348.0		94,862.4		(520.1)	94	94,342.3		(2,569.7)		(3,005.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		13,336.0		15,162.0		16,271.2			16,	16,271.2		2,935.2		1,109.2
Fund Balances (Deficits) at April 1		10,669.0		10,669.0		10,669.3		•	10,	10,669.3		0.3		0.3
Fund Balances (Deficits) at February 28, 2022	ŝ	24,005.0	ŝ	25,831.0	Ś	26,940.5	ŝ	.	\$ 26,	26,940.5	\$	2,935.5	φ	1,109.5
 Source: 2021-22 Enacted Financial Plan dated May 25, 2021. Source: 2022-23 Executive Budget with 30-day amendments dated February 18, 2022. Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. 	i dated F en Speci	-ebruary 18, 20: ial Revenue - Si	22. tate an	d Federal Funds										

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STATE OF NEW YORK BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL FISCAL YEAR 2021-2022 FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2022 (amounts in millions)

			STATE SPECI	STATE SPECIAL REVENUE FUNDS	IDS				FEDERAL SPECI	FEDERAL SPECIAL REVENUE FUNDS	DS		
	Enacted Financial Plan (*)	ed Sial	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ Updated Financial Plan		Enacted Financial Plan (*)	Updated Financial Plan (**)	Δctual	Actual Over/ (Under) Enacted Financial Plan	Actual Over [/] (Under) Updated Financial Plan	l
						ļ							
RECEIPTS:													
axes:													
Personal Income	Ф	1,970.0 \$	1,866.0	\$ 1,866.1	ф	Ф	ь	-	· ·	۰ د	ج	б	
Consumption/Use		1,701.0	1,810.0	1,815.0	114.0				•				
Business		1,571.0	1,834.0	1,813.5						•			
Miscellaneous Receipts	1	15,225.0	17,412.0	17,331.4	0	4 (80.6)		230.0	190.0	172.4	(57.6)	E	(17.6)
Federal Receipts		320.0	31.0	29.1				86,825.0	86,588.0	85,327.0	(1,498.0)	(1,26	(1,261.0)
Transfers from Other Funds		2,406.0	2,779.0	2,779.1	373.1								
Total Receipts and Other Financing Sources	Ň	23,193.0	25,732.0	25,634.2	2,441.2	2 (97.8)		87,055.0	86,778.0	85,499.4	(1,555.6)	(1,27	(1,278.6)
DISBURSEMENTS													
Local Assistance Grants	4	15,133.0	15,516.0	15,421.4	288.4			67,424.0	66,131.0	64,110.7	(3,313.3)	(2.02	20.3)
Departmental Operations		6,349.0	7,329.0	7,241.8		87.2)		4,332.0	4,177.0	4,063.1	(268.9)	(11	(113.9)
General State Charges		949.0	1,003.0	975.9	26.9			402.0	969.0	973.4	571.4		4.4
Debt Service								42.0	42.0	42.3	0.3		0.3
Capital Projects			•	•									
Transfers to Other Funds		361.0	405.0	294.1	(6.99)	9) (110.9)		1,920.0	1,776.0	1,739.7	(180.3)	0	(36.3)
Total Disbursements and Other Financing Uses	3	22,792.0	24,253.0	23,933.2	1,141.2	2 (319.8)		74,120.0	73,095.0	70,929.2	(3,190.8)	(2,16	(2,165.8)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements													
and Other Financing Uses		401.0	1,479.0	1,701.0	1,300.0	0 222.0		12,935.0	13,683.0	14,570.2	1,635.2	88	887.2
Fund Balances (Deficits) at April 1		5,708.0	5,708.0	5,708.6	0.6	6.0		4,961.0	4,961.0	4,960.7	(0.3)	-	(0.3)
Fund Balances (Deficits) at February 28, 2022	\$	6,109.0 \$	7,187.0	\$ 7,409.6	\$ 1,300.6	5 \$ 222.6	÷	17,896.0 \$	18,644.0 \$	\$ 19,530.9	\$ 1,634.9	\$ 86	886.9

Source: 2021-22 Enacted Financial Plan dated May 25, 2021. Source: 2022-23 Executive Budget with 30-day amendments dated February 18, 2022.

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Enact Financ Plan 10,	ק ק		DEBT SERVICE FUNDS	NDS	
ncome \$ 10,000 100 100 100 100 100 100 100 100	<u>,</u> , , , , , , , , , , , , , , , , , ,				
ncome \$ 28, tion/Use 10,	*	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
ncome \$ 28, tion/Use 10,					
nal Income \$ 28, umption/Use \$ 10, ess					
10	28,225.0 \$	32,207.0	\$ 32,782.3	\$ 4,557.3	\$ 575.3
	10,321.0	11,129.0	11,168.0	847.0	39.0
	I	5,587.0	5,613.0	5,613.0	26.0
	889.0	1,322.0	1,427.3	538.3	105.3
sceipts	334.0	399.0	407.3	73.3	8.3
	36.0	69.0	67.8	31.8	(1.2)
Transfers from Other Funds 1,8	1,869.0	1,575.0	1,537.1	(331.9)	(37.9)
Total Receipts and Other Financing Sources 41,6	41,674.0	52,288.0	53,002.8	11,328.8	714.8
DISBURSEMENTS:					
Operations	15.0	8.0	8.4	(9.9)	0.4
	405.0	2,223.0	2,223.5	(181.5)	0.5
Transfers to Other Funds 34,8	34,888.0	43,906.0	44,626.5	9,738.5	720.5
Total Disbursements and Other Financing Uses	37,308.0	46,137.0	46,858.4	9,550.4	721.4
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,366.0	6,151.0	6,144.4	1,778.4	(6.6)
Fund Balances (Deficits) at April 1	65.0	65.0	65.0	I	
ary 28, 2022 \$	4,431.0 \$	6,216.0	\$ 6,209.4	\$ 1,778.4	\$ (6.6)

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.
 (**) Source: 2022-23 Executive Budget with 30-day amendments dated February 18, 2022.

EXHIBIT D

STATE OF NEW YORK

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STATE OF NEW YORK BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL FISCAL YEAR 2021-2022 FOR ELEVEN MONTHS ENDED FEBRUARY 28, 2022 (amounts in millions)												EXHIBIT D	Q
						CAPIT	CAPITAL PROJECTS FUNDS	S FUN	SS				
		Enacted Financial Plan (*)	q T I I I I	Updated Financial Plan (**)	Actual		Eliminations		Total	Actual Over/ Under) Enacted Financial Plan		Actual Over/ (Under) Updated Financial Plan	ial er) ted I Plan
RECEIPTS:													
Taxes:													
Consumption/Use	θ	551.0	φ	551.0	ት ት	562.2 \$	'	\$	562.2	\$		φ	11.2
Business		549.0 108.0		539.0	23 ÷	539.4 107 2			539.4 107.2		(9.6)		4.0
Vincellance Boosiste		0.001 A 171 O		0.001	200	2.101	•		7.101	ć			1 Q 1 Q
Miscellarieous Receipts Fadaral Parainte		0,1/1,0		0,009.U	0 7 7 0	0,002.0 1 780 3			0.2002.0	''''''''''''''''''''''''''''''''''''''	(418.7)		0.04 701 7)
Bond and Note Proceeds. net		0.0001,2			-	2.2			2.00 / ·		(-	
Transfers from Other Funds		3,672.0		5,130.0	4,75	4,797.4	'		4,797.4	,	1,125.4	Ū	(332.6)
Total Receipts and Other Financing Sources		13,259.0		12,157.0	11,678.1	8.1 8.1			11,678.1	(1,	(1,580.9)		(478.9)
DISBURSEMENTS:													
Local Assistance Grants		5,933.0		5,574.0	2,28	5,289.6			5,289.6		(643.4)		(284.4)
Capital Projects		/,/18.0		6,801.0	6,640.1	640.1	'		6,640.1	(1)	(1,U/7.9)		(160.9)
I ransfers to Uther Funds		- 0.040.U		403.0	 ہ	 :	'		1.140		(0.0) 		(141.0)
Total Disbursements and Other Financing Uses		13,999.0		12,864.0	12,277.4	7.4	•		12,277.4	(1,	(1,721.6) _		(586.6)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(740.0)		(0.707)	(26	(599.3)	·		(599.3)		140.7		107.7
Fund Balances (Deficits) at April 1		(1,144.0)		(1,144.0)	(1,14	(1,144.0)	•		(1,144.0)				
Fund Balances (Deficits) at February 28, 2022	φ	(1,884.0)	\$	(1,851.0)	\$ (1,74	1,743.3) \$		₩ .	(1,743.3)	ŝ	140.7	\$	107.7
 (*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021. (**) Source: 2022-23 Executive Budget with 30-day amendments dated February 18, 2022. 	dated Fe	əbruary 18, 2022.											

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			STATE	STATE CAPITAL PROJECTS FUNDS	CTS FUNDS			Ŀ	EDERAL CAPIT	FEDERAL CAPITAL PROJECTS FUNDS	SUND		
					Actual Over <i>f</i>	Actual Over/					Actual Over/	Actual Over/	
	ш	Enacted	Updated		(Under)	(Under)	Enacted		Updated		(Under)	(Under)	
	ک ۳	Financial Plan (*)	Financial Plan (**)	Actual	Enacted Financial Plan	Updated Financial Plan			Inancial Plan (**)	Actual	Enacted Financial Plan	Updated Financial Plan	اء
RECEIPTS:													
Taxes:													
Consumption/Use	69	551.0 \$		\$ 562.	Ь	\$	1.2 \$	s '	\$	•	' \$	\$	
Business		549.0	539.0	539.4			0.4						
Other		108.0	107.0	107.			0.2						
Miscellaneous Receipts		6,171.0	3,839.0	3,882.	9 (2,288.1)		3.9	,		(0.3)	(0.3)	0	(3)
Federal Receipts		2.0	12.0	2.0			(10.0) 2;	2,206.0	1,979.0	1,787.3	(418.7)	(191.7)	5
Bond and Note Proceeds, net			•	'									
Transfers from Other Funds		3,658.0	5,433.0	4,795.3	3 1,137.3		(637.7)	14.0	(303.0)	2.1	(11.9)	305.1	-
Total Receipts and Other Financing Sources		11,039.0	10,481.0	9,889.0	0 (1,150.0)	0) (592.0)		2,220.0	1,676.0	1,789.1	(430.9)	113.1	5
DISBURSEMENTS:													
Local Assistance Grants		5,314.0	4,898.0	4,628.				619.0	676.0	660.7	41.7	(15	(6.)
Capital Projects		6,300.0	5,468.0	5,204.5	(1,0		(263.5) 1,	1,418.0	1,333.0	1,435.6	17.6	102.6	9
Transfers to Other Funds		349.0	490.0	347.	3 (1.7)		2.7	(1.0)	(1.0)	0.4	1.4	-	4
Total Disbursements and Other Financing Uses		11,963.0	10,856.0	10,180.7	7 (1,782.3)		(675.3) 2,	2,036.0	2,008.0	2,096.7	60.7	88	88.7
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements													
and Other Financing Uses		(924.0)	(375.0)	(291.7)	7) 632.3		83.3	184.0	(332.0)	(307.6)	(491.6)	24	24.4
Fund Balances (Deficits) at April 1		(562.0)	(562.0)	(263.7)				(582.0)	(582.0)	(580.3)	1.7	L.	2
Fund Balances (Deficits) at February 28, 2022	φ	(1,486.0) \$	(937.0)	\$ (855.	4) \$ 630.6	s	81.6 \$	(398.0) \$	(914.0) \$	(887.9)	\$ (489.9)	\$ 26	26.1

Source: 2021-22 Enacted Financial Plan dated May 25, 2021. Source: 2022-23 Executive Budget with 30-day amendments dated February 18, 2022.

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EXHIBIT D

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(amounts in millions)														EXHIBIT E
	GEI	GENERAL	SPECIA	_		DEBT SERVICE	CAPITAL	CAPITAL PROJECTS		TOTAL GOVER	TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR	t YEAR
	MONTH OF FEB. 2022	11 MOS. ENDED FEB. 28, 2022	MONTH OF FEB. 2022	711 MOS. ENDED FEB. 28, 2022	MONTH OF FEB. 2022	11 MOS. ENDED FEB. 28, 2022	MONTH OF FEB. 2022	11 MOS. ENDED FEB. 28, 2022	MONTH OF FEB. 2022	11 MOS. ENDED FEB. 28, 2022	MONTH OF FEB. 2021	11 MOS. ENDED FEB. 28, 2021	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	\$ 6,450.8	\$ 46,920.9	' s	' S	' \$	۰ ج	' ഗ	' S	\$ 6,450.8	s	\$ 5,272.3	\$ 39,134.6	\$ 7,786.3	19.9%
Estimated Payments	243.1	21,476.0	'	'					243.1		155.9	16,313.8	5,162.2	31.6%
Returns	113.3	4,303.2	'	•	'		'		113.3	4	64.0	3,340.7	962.5	28.8%
State/City Offsets	(45.6)	(986.1)	'		•	•	'	•	(45.6)		(20.7)	(1,088.1)	(102.0)	-9.4%
Other (Assessments/LLC)	156.6	1,324.0	•	•	•	•	•	•	156.6		104.1	1,070.2	253.8	23.7%
Gross Receipts	6,918.2	73,038.0		•	•	•	•	•	6,918.2	73,038.0	5,535.6	58,771.2	14,266.8	24.3%
Transfers to School Tax Relief Fund	•	(1,866.1)		1,866.1	'		'	•	•	•	•			0.0%
Transfers to Revenue Bond Tax Fund	(3,046.3)	(32,782.3)	'	'	3,046.3	32,782.3								0.0%
Less: Refunds Issued	(825.6)	(7,473.4)			- 910 0	-		•	(825.6)	(7,473.4) ec cea e	(151.8)	(7,136.2)	337.2	4.7%
l otal	3,040.3	30,976,05		1,800.1	3,040.3	32,182.3	•	•	0.260,0		2,383.8	0.050,10	13, 329.0	%n.17
CONSUMPTION/USE TAXES														
Sales and Use	288.4	3,721.9	~	1,035.1	863.8	11,168.0	'		1,232.3	15,4	1,070.4	12,847.9	3,077.1	24.0%
Auto Rental		•			'		0.3	68.6	0.4			50.5	36.9	73.1%
Cigarette/Tobacco Products	18.7	275.3	,	U	1	ı	'		62.2	0,	56.3	947.0	(44.9)	4.7%
Cannabis	•		0.0		•	•	•		0.0		0.8	8.0	4.0	50.0%
Motor Fuel			10.2	2 97.9	'		37.9	361.7	48.1		31.9	390.6	69.0	17.7%
Alcoholic Beverage	11.6	256.9		•		•	'	•	11.6		13.9	252.3	4.6	1.8%
Highway Use			0.1	1.8	'	•	10.3	131.9	10.4	-	10.8	125.5	8.2	6.5%
Vapor Excise		•	(0.1	1) 22.6	'				(0.1)		•	25.5	(2.9)	-11.4%
Opioid Excise	•	28.5			•	•	•	•	'			29.9	(1.4)	4.7%
Total	318.7	4,282.6	134.8	1,815.0	863.8	11,168.0	48.5	562.2	1,365.8	17,827.8	1,184.1	14,677.2	3,150.6	21.5%
BUSINESS TAXES														
Corporation Franchise	57.6	4,601.9		÷.	'	•	'		7.67	5	92.6	3,693.6	2,076.7	56.2%
Corporation and Utilities	2.4	260.8	(0.1)	_	'		'	5.8	1.4		(14.9)	349.2	(15.0)	4.3%
Insurance	6.5	1,378.4		=	'	•	'	•	8.0	÷.	21.7	1,352.4	183.8	13.6%
Bank	5.2	21.7	0.7	0.4	'	•	'	•	5.9		(12.6)	142.9	(120.8)	-84.5%
Pass-Through Entity	82.6	5,613.0			82.7	5,613.0	1	•	165.3	1	•		11,226.0	100.0%
Petroleum Business			35.2				44./	533.6	6.6/		0.89	865.5	8/.4	10.1%
l otal	124.3	8.C/8,IT	28.2	1,813.0	82.1	0,613.0	44.	4.850	340.2	19,841./	5.001	0,4U3.0	13,438.1	709.9%
OTHER TAXES														
Real Property Gains	•	•		•	•	•	•	•			•		•	0.0%
Estate and Gift	126.3	1,294.8			'		'		126.3	÷	202.7	1,452.7	(157.9)	-10.9%
Pari-Mutuel	0.3	12.4	'		'		'		0.3		0.8	9.5	2.9	30.5%
Real Estate Transfer			'		139.7	1,421.0	11.9	107.2	151.6	1,5,	87.0	856.3	671.9	78.5%
Racing and Combative Sports	•	1.4	'		•	•					•	0.1	1.3	1,300.0%
Employer Compensation Expense Tax	0.1	6.3		•	0.2	6.3	•	•	0.3		0.2	3.0	8.6	320.0%
Total	126.7	1,314.9		•	139.9	1,427.3	11.9	107.2	278.5	2,849.4	290.7	2,321.6	527.8	22.7%
Total Tax Receipts	\$ 3,646.0	\$ 48,389.5	\$ 193.3	3 \$ 5,494.6	\$ 4,132.7	\$ 50,990.6	\$ 105.1	\$ 1,208.8	\$ 8,077.1	\$ 106,083.5	\$ 7,013.9	\$ 75,037.4	\$ 31,046.1	41.4%

STATE OF NEW YORK GOVERNMENTAL FUNDS COMPARATIVE SCHEDULE OF TAX RECEIPTS (amounts in millions)

$ \begin{array}{cccccccccccccccccccccccccccccccccccc$		2021 APRIL		JUNE	1	A	EPTEMBER	ă	OVEMBER	ш	2022 JANUARY	8	MARCH	3	Months 20	oruary 28 \$ Increase/ % (Decrease) D	
Image: constrained by the co	Beginning Fund Balance	\$ 18,751.1	\$ 24,932.2	39,815.2	\$ 41,256.4	\$ 41,722.0	\$ 41,672.8	\$ 43,570.8	\$ 43,028.0	\$ 41,967.4	\$ 56,543.3	\$ 63,282.5		\$ 18,751.1	\$ 14,284.8	\$ 4,466.3	
$ \left(\begin{array}{cccccccccccccccccccccccccccccccccccc$	RECEIPTS: Taxes:																
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Personal Income Tax: Withholdings	3,601.8	3,217.7	3,702.6	3,408.2	3,778.4	3,634.8	3,459.6	3,963.5	5,963.5	5,740.0	6,450.8		46,920.9	39, 134.6	7,786.3	
$ \left(\begin{array}{cccccccccccccccccccccccccccccccccccc$	Estimated Payments	3,342.2	6,128.7	2,740.7	147.1	131.1	3,241.9	187.8	119.9	516.3	4,677.2	243.1		21,476.0	16,313.8	5,162.2	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Returns State/City Offsets	813.0	2, 184.3 (264.7)	(21.6)	0.00 (31.8)	09.9 (19.1)	(28.1)	5/8.5 (242.8)	(44.8)	4.44 (6.4)	6.1c	(45.6)		4, 303.2 (986.1)	3, 34U.7 (1,088.1)	102.0)	
$ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \$	Other (Assessments/LLC) Gross Receipts	7.808.7	87.2 - 11.353.2 -	6.672.6	91.9 3.680.4	112.4 4.062.7	104.2 - 7.030.3 -	118.1 - 4.101.2 -	121.4	121.7 6.641.0	145.1	156.6	.	1,324.0 73.038.0	1,070.2 58.771.2	253.8	
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Transfers to School Tax Relief Fund Transfers to Bounding Bond Tay Fund																
	Refunds Issued	(1,282.9)	(1,520.2)	(852.0)	(315.8)	(261.3)	(580.5)	(724.8)	(654.1)	(354.7)	(101.5)	(825.6)		(7,473.4)	(7, 136.2)	337.2	
	Total Personal Income Tax Consumption/Use Taxes:	6,525.8	9,833.0	5,820.6	3,364.6	3,801.4	6,449.8		3,581.1	6,286.3	10,433.0	6,092.6	·	65,564.6	51,635.0	13,929.6	
	Sales and Use	1,297.4	1,261.4	1,711.7	1,382.9	1,334.8	1,739.7		1,381.9	1,756.3	1,483.9	1,232.3		15,925.0	12,847.9	3,077.1	
	Auto Rental Cigarette/Tobacco Products	9.1	- 76.6	9.06 9.06	0.1 85.4	(0.1) 88.3	34.0 82.8		0.0 78.9	28.5 82.2	- 68.5	62.2		87.4 902.1	50.5 947.0	36.9 (44.9)	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Cannabis	1.5	Ŧ	Ŧ	0.9	÷	1.0		1.0	12	Ŧ	0.9		12.0	8.0	4.0	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Motor Fuel Alcoholic Beverage	23.0	40.3 21.8	46.6 21.7	44.5 29.7	46.1 22.7	47.8 25.3		29.9 23.3	47.6 24.2	30.4 30.5	48.1 11.6		459.6 256.9	390.6 252.3	69.0 4.6	
	Highway Use	14.6	10.5	11.6	12.2	13.3	10.7		13.6	12.9	11.3	10.4		133.7	125.5	8.2	
Monto Monto <th< td=""><th>Vapor Excise Oniziel Excise</th><td>0.2</td><td>' c</td><td>6.6</td><td>0.2</td><td>•</td><td>7.8</td><td></td><td>0.1</td><td>7.7</td><td>0.1</td><td>(0.1)</td><td></td><td>22.6</td><td>25.5</td><td>(29)</td></th<>	Vapor Excise Oniziel Excise	0.2	' c	6.6	0.2	•	7.8		0.1	7.7	0.1	(0.1)		22.6	25.5	(29)	
	Upped Excise Total Consumption/Use Taxes	1,477.7	1,411.8	1,912.5	1,563.4	1,506.2	1,949.1	1,518.2	1,528.7	1,959.6	1,634.8	1,365.8		17,827.8	14,677.2	3,150.6	
	Business Taxes:	1002	1000		0710	u g			i G	0000	ç	, , , ,		6 011 3	4 609 c	E and c	
	Corporation Franchise Corporation and Utilities	/06.4 51.0	1.1	1,452.1 83.2	2/4.0	(10) (10)	1,477.1	92.U 1.4	(c.0c) 0.9	1,016.7	(0.1)	1.4		5,770.3 334.2	349.2	4.0/6.7 (15.0)	
	Insurance	64.2	57.3	373.2	15.9	52.8	453.6	19.7	38.2	436.0	17.3	8.0		1,536.2	1,352.4	183.8	
Math Ball Ball <th< td=""><th>Bank Deep Threateh Cather</th><td>17.3</td><td>(20.1)</td><td>10.2</td><td>0.2</td><td>•</td><td>(0.7)</td><td>9.6</td><td>(0.5)</td><td>0.3</td><td></td><td>5.9</td><td></td><td>22.1</td><td>142.9</td><td>(120.8)</td></th<>	Bank Deep Threateh Cather	17.3	(20.1)	10.2	0.2	•	(0.7)	9.6	(0.5)	0.3		5.9		22.1	142.9	(120.8)	
Theory Test 2015 2014 <	Petroleum Business	- 689	- 90.2	- 92.6	- -	- 95.8	- 77.5	- 92.5	92.0	77.9	86.6	79.9		952.9	- 865.5	87.4	
	Total Business Taxes	969.8	234.5	2,011.3	381.4	176.1	2,111.7	215.1	80.3	12,094.9	1,226.4	340.2		19,841.7	6,403.6	13,438.1	
	Umer laxes: Real Property Gains													,	•		
	Estate and Gift Pari-Minnel	119.8	117.0	108.9	103.1	100.6	108.7	183.2	92.5	111.1 8.0	123.6 0.8	126.3 0.3		1,294.8	1,452.7 9.6	(157.9)	
<th between="" column="" form="" law="" of="" td="" th<="" the=""><th>Real Estate Transfer</th><td>97.4</td><td>110.0</td><td>127.0</td><td>143.9</td><td>151.9</td><td>145.3</td><td>144.0</td><td>131.3</td><td>146.7</td><td>179.1</td><td>151.6</td><td></td><td>1,528.2</td><td>856.3</td><td>671.9</td></th>	<th>Real Estate Transfer</th> <td>97.4</td> <td>110.0</td> <td>127.0</td> <td>143.9</td> <td>151.9</td> <td>145.3</td> <td>144.0</td> <td>131.3</td> <td>146.7</td> <td>179.1</td> <td>151.6</td> <td></td> <td>1,528.2</td> <td>856.3</td> <td>671.9</td>	Real Estate Transfer	97.4	110.0	127.0	143.9	151.9	145.3	144.0	131.3	146.7	179.1	151.6		1,528.2	856.3	671.9
	Racing and Combative Sports Employer Commercation Evenue Tay		. 0	. 6	· 2	0.1	0.2	0.1	80	0.7	0.1 F 1	. 6		1.4	0.1	1.3 9.6	
	Total Other Taxes	218.7	228.3	237.2	248.5	255.0	256.2	328.7	226.0	263.6	308.7	278.5	·	2,849.4	2,321.6	527.8	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Total Taxes	9,192.0	11,707.6	9,981.6	5,557.9	5,738.7	10,766.8	5,438.4	5,416.1	20,604.4	13,602.9	8,077.1	•	106,083.5	75,037.4	31,046.1	
	Miscellaneous Receipts:																
	Abandoned Property: Abandoned Property	1.3	1.0	8.0	0.9	10.9	101.2	1.0	226.3	1.0	0.9	40.2		385.5	381.9	3.6	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Bottle Bill Assessments:	1.0	0.3	31.1	2.0	0.2	34.9	1.5	0.7	29.3	0.9	0.1		102.0	108.3	(6.3)	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Business Madical Care	79.2 404 E	48.3 F33.0	67.4 544 0	101.9 5263	37.2 F36.1	35.8 530.4	99.96 7 14 7	17.6	176.4	41.4 611.0	31.6 500 0		736.7 5 955 0	726.6 5.416.3	10.1	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Public Utilities	1.5		0.7		0.0	44.4	(1.0)	(12.2)	0.8	(0.1)	0.1		34.6	46.1	(11.6)	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Other Fees, Licenses and Permits:	•	0.1		0.1	0.1	0.1	0.1	0.3	(0.2)	(8.0)	0.1		(0.1)	0.2	(6.0)	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Alcohol Beverage Control Licensing	5.3	5.5	7.0	6.2	6.2	5.0	5.6	4.3	3.8	9.9	5.1		63.9 7 r	44.7	19.2	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Audut rees Business/Professional	53.9	49.1	127.1	52.2	65.4	131.7	58.9	62.3	126.9	83.0	50.4		6:098	2.2 851.7	9.2	
$ \begin{bmatrix} 1200 & 1125 & 1300 & 103 & 1200 & 1310 & 874 & 772 & 1067 & 913 & 1027 & 11020 & 11030 \\ 614 & 633 & 875 & 893 & 800 & 1553 & 782 & 1003 & 751 & 1435 & 11004 & 9657 \\ 914 & 426 & 872 & 113 & 365 & 102 & 103 & 751 & 1435 & 11004 & 9657 \\ 915 & 235 & 2399 & 1119 & 365 & 102 & 169 & 298 & 92 & 273 & 12000 \\ 916 & 2315 & 2399 & 1119 & 365 & 102 & 169 & 298 & 92 & 273 & 12000 \\ 916 & 2315 & 2399 & 1119 & 166 & 200 & 1224 & 1640 & 1828 & 2273 & 12000 \\ 916 & 913 & 924 & 203 & 102 & 169 & 298 & 92 & 2733 & 12000 \\ 916 & 913 & 924 & 203 & 1024 & 1640 & 1828 & 2273 & 10004 & 9657 \\ 916 & 913 & 924 & 203 & 2032 & 2054 & 1940 & 1828 & 2293 & 2001 \\ 916 & 913 & 924 & 915 & 922 & 254 & 1940 & 1828 & 2293 & 2001 \\ 916 & 913 & 913 & 914 & 15 & 61 & 913 & 913 & 9013 & 9013 & 9013 \\ 917 & 21 & 22 & 49 & 33 & 15 & 61 & 913 & 313 & 9013 & 731 & 430 \\ 917 & 91 & 91 & 91 & 91 & 91 & 91 & 91 $	Criminal	25.9	28.2	18.9 0.9	19.9 0.3	26.0	23.4	20.2	27.9 0.6	19.2	16.7	16.1 0.3		242.4 6.7	179.0 4.6	63.4 21	
644 533 675 663 753 751 1435 10044 6657 6657 904 253 119 264 129 330 160 153 751 1435 10044 6657 6657 166 119 2665 1273 2004 2665 1104 8657 1206 1104 8657 1206 1104 8657 12004 8657 12066 1104 8657 12066 1204 8657 12004 8657 12066 1204 8657 12004 8657 12066 1204 8657 12066 1204 8657 12066 1204 8657 12066 1204 8657 12066 1204 8657 12066 1204 8657 12066 1204 8657 1206 1204 8657 12066 1204 8657 1206 1204 8657 1206 1204 8657 1206 1204 8657 1206 1204 8657	Motor Vehicle	129.0	112.6	139.8	103.6	120.6	131.1	87.4	77.2	106.7	91.3	103.7		1,202.9	1, 135.4	67.5	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Recreational/Consumer Fines. Penalties and Forfeitures	68.4 50.4	53.3 42.6	87.5 52.2	58.3 11.9	93.0 26.4	155.3 27.9	79.2 24.8	130.5 53.0	60.3 10.4	75.1 76.9	143.5 11.9		1,004.4 388.4	645.7 886.6	358.7 (498.2)	
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Gaming:															(m	
· · <th>Casino Lotterv(**)</th> <td>34.2</td> <td>14.2 213.5</td> <td>17.1 238.9</td> <td>35.7 181.9</td> <td>15.2 186.8</td> <td>19.3 240.9</td> <td>35.5 192.4</td> <td>203.2</td> <td>16.9 225.4</td> <td>29.8 194.0</td> <td>9.2 182.8</td> <td></td> <td>237.3</td> <td>120.0 2.068.6</td> <td>117.3 190.7</td>	Casino Lotterv(**)	34.2	14.2 213.5	17.1 238.9	35.7 181.9	15.2 186.8	19.3 240.9	35.5 192.4	203.2	16.9 225.4	29.8 194.0	9.2 182.8		237.3	120.0 2.068.6	117.3 190.7	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Mobile Sports(**)								150.0	50.0	46.6	44.9		291.5		291.5	
67 22 49 33 15 69 34 15 61 35 331 731 438 784 05 30 2270 278 3281 8792 06 5820 1173 5007 26654 8,9429 (5 27 23 1,7 220 06 - 188 1,1 252 35 40 777 1161 	video Lottery Interest Earnings	10.4 5.6	8.11 4.4	4.0 10	8.15 4.15	6.18 4.7	38.4 4.2	4.4	/0.0 5.3	4.5	6.1 6.1	8.3		56.3	113.4	01.2 (57.1)	
784 0.5 3.0 227.0 27.8 328.1 879.2 0.6 588.0 117.3 500.7 286.5.4 8,942.9 (5. - - - - 5 - 8 27 28 27 28 27 17.3 500.7 286.5.4 8,942.9 (5. - - - 5 - 18 27 2.8 27 4.0 27.0 366.7 165.1 115.1 <t< td=""><th>Receipts from Municipalities Receipts from Public Authorities</th><td>6.7</td><td>2.2</td><td>4.9</td><td>3.3</td><td>1.5</td><td>6.9</td><td>3.4</td><td>1.5</td><td>6.1</td><td>3.5</td><td>33.1</td><td></td><td>73.1</td><td>43.8</td><td>29.3</td></t<>	Receipts from Municipalities Receipts from Public Authorities	6.7	2.2	4.9	3.3	1.5	6.9	3.4	1.5	6.1	3.5	33.1		73.1	43.8	29.3	
27 2.8 27 2.9 1.7 220 0.8 . 6.9 2.7 2.8 2.7 4.0 27.0 365 27 2.3 1.7 220 0.8 . 185 1.1 252 3.5 . 77.7 115.1 -47	Bond Proceeds	78.4	0.5	3.0	237.0	27.6	328.1	979.2	0.6	583.0	117.3	500.7		2,855.4	8,842.9	(5,987.5)	
	Cost Recovery Assessments Issuance Fees	- 2.7	- 2.3	- 1.7	5.9 22.0	- 0.6		8.9 18.6	2.7	2.8 25.2	2.7 3.5	4.0		27.0	36.6 115.1	(9.6) (37.4)	
								ĺ									

19.9% 19.9% 28.9% 28.9% 27.9% 27.9% 27.9% 27.9% 27.9% 27.9% 17.7% 17

% Increase/ Decrease 31.3% 0.9% -5.8% 1.4% 1.4% 1.5.8% 1.5.8% 1.13.6% 4.5.7% 5.5.6% 3.1.1% 5.5.6% 97.8% 97.8% 97.8% 97.8% 1.1% 5.5.6% -5.6.2% 97.8% 5.5.6% -5.6.2% 97.8% 5.5.6% -5.5.5% -5.5.5% 97.8% 5.5.5% -5.5% -5

11 Months Ended February 28	\$ Increase/ % (Decrease) [64.6 (0.4) 170.5 234.5					3,044.4 (399.1) 13.7 7 (4.6)					27,891.2 (4,171.3)	71,334.9 15,878.3	174,263.5 42,753.1	25 941 5 4 279 1	155.9 159.3		58,553.7 6,293.6 0.336.3 1.307.4	2.092.3 72.8			113,929.4 16,047.8	13,310.3 300.4	6,412.4 1,331.0		3,570.6 (1,304.8) 6,388.0 252.1	
11 Months	2022 2021	64.2 405.0	236.4	21.7	228.0 47.6	139.6	2,645.3	81.2	22.3	8.777.8		23,719.9	87,213.2	217,016.6 17		315.2		64,847.3				129,977.2		7,743.4		2,265.8 6,640.1	
	MARCH																					 · 					
	RY FEBRUARY	3.5 2.4 36.1 70.0					224.0 214.3					2,054.2 2,625.0	5,735.6 5,559.0	92.7 16,261.1	3 429 1 1 652 5			5,547.1 5,181.9 even		990.8 792.1		11,562.7 9,093.9	14.5 1,178.7	820.7 961.2		7.6 773.3 558.8 577.7	
	2022 DECEMBER JANUARY	34.7 24.7					233.6 2						10,405.7 5,7	33,753.6 21,392.7		139.3		6,885.9 5,5				15,568.4 11,5		729.7 8		209.2 649.8 5	
	NOVEMBER DE	8.6 28.3	8	0.9	- 10	31.3	278.7 03	10.0	5.0	30.7	313	2,024.5	6,009.7	13,450.3	2 181 5	11.7	97.3	5,868.9	107.8	701.4	240.5 756 a	10,785.7	1,432.3	690.5	829.8	26.0 744.7	
	ER OCTOBER	4.3 1.8 86.5 79.3					251.4 210.2 14.5 15.4					2,778.0	7,202.8	15,419.2		16.0		14 6,538.7				12,954.7		673.3		12 9.2 11 601.5	
	AUGUST SEPTEMBER	0.3 4					205.9 251					1,784.3 2,618.2	5,986.7 7,537.7	13,509.7 20,922.7	14183 4.3697	32.7 15.8		5,876.2 5,505.4				10,183.7 14,658.9	1,113.3 1,486	726.9 690.6		307.7 742.2 699.6 710.1	
	JULY AU	4.6 3.8	98	0.6	- 0	5.0	293.3 12 D	6.5	1.9	71.4	 5.6.6	1,923.3	5,880.5	13,361.7	1 902 5	16.0	440.4	4,699.1 006.1	220.6	1,005.5		9,828.9	1,336.7	516.0	645.8	7.2 554.2	
	JUNE	0.8	36.0	0.2		t ei G	214.5 13.8	0.6	1.3	71.8	51.2	1,870.7	7,485.1	19,337.4	4 076 2	19.8	504.4	6,549.9	196.1	905.0	359.4	14,577.9	1,167.5	839.1	625.3	20.5 631.4	
	MAY	25 28	9.2	0.8		5.7	223.6 o.f	11.1	5.6	63.6	416.0	1,568.7	18,246.2	31,522.5	4 402 4	9.6	130.2	5,695.1	139.4	356.7	63.5	11,956.9	1,182.3	576.0	2,367.0	40.5 514.1	
	2021 APRIL	0.7	45.8	0.9	- ' 2 C	0,4	295.8	5 T	2.4	87.3	2.4 (75.7)	1,729.5	7,164.2	18,085.7	810.6	29.4	36.9	6,499.1 651.0	128.1	230.2	26.7 307.6	8,805.5	1.158.2	519.4	895.5	122.4 398.2	
STATE OF NEW YORK GOVERNMENTAL FUNDS (*) STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions)		Non Bond Related Rentals	Revenues of State Departments: Administrative Recoveries	Commissions	Commissions - Asset Conversion Gifts Grants and Donations	Units, Clarics and Domatories Indirect Cost Recoveries	Patient/Client Care Reimbursement	Restitution and Settlements	Student Loans	All Other	Colles Tuition	Total Miscellaneous Receipts	Federal Receipts	Total Receipts	DISBURSEMENTS: Local Assistance Grants: Education	Environment and Recreation	General Government Public Health:	Medicaid Other Builtin Loalth	Public Safety	Public Welfare	Support and Regulate Business Tranenortation	Total Local Assistance Grants	Departmental Operations: Personal Service	Non-Personal Service	General State Charges Del¥ Service Including Payments on	Financing Agreements Capital Projects	

% Increase/ Decrease -0.6% 137.5% 39.5% 13.0% 6.8% -6.8% -13.1% -3.3% -7.4% -3.3% -3.3% -4.8% -4.8%

109.5%

24,890.0 \$ 29,356.3

22,730.3 37,015.1

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66,371.4

ŝ

s

66,371.4

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\$ 63,282.5

ŝ

\$ 41,967.4

ŝ

\$ 43,570.8

\$ 41,672.8

41,722.0

ŝ 41,256.4

s 14,883.0 39,815.2

ŝ

ŝ

Ending Fund Balance

6,181.1 24,932.2

Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses

18

3,088.9

6,739.2

14,575.9 56,543.3

(1,060.6)

(542.8) 43,028.0

1,898.0

(49.2)

465.6

1,441.2

47,620.3

79.3%

107.9%

0.0% 66.0% 65.2% 58.1%

21,347.0 21,220.5 126.5

32,326.9 (32,544.8<u>)</u>

53,673.9 (53,765.3)

3,483.1 (3,487.8)

2,192.6 (2,195.6)

10,583.2 (10,588.3)

3,625.2 (3,627.1) (1.9)

3,450.8 (3,453.7)

5,770.3 (5,775.0)

3,037.4 (3,056.6)

3,531.7 (3,539.0)

6,454.2 (6,488.7)

6,200.6 (6,203.3) (2.7)

5,344.8 (5,350.2)

OTHER FINANCING SOURCES (USES): Bond and Note Proceeds (net) Transfers from Other Funds Transfers to Other Funds Total Other Financing Sources (Uses)

(4.7)

(3.0)

(5.1)

(2.9)

(4.7)

(19.2)

(2.3)

(34.5)

(5.4)

(217.9)

(91.4)

16.5% 102.2% -62.9%

22.3% 24.5% 10.7% 14.0% 94.3% 18.5% 14.1%

2.3% 20.8% 17.7%

-36.5% 3.9% 11.9%

17,989.6 24,763.5

151,315.3 22,948.2

169,304.9

13,167.5

14,650.5

14,509.0 (1,058.7)

15,959.1

19,020.0 1,902.7

13,539.7

12,888.8

16,636.8 14,885.7

11,899.2

6,186.5

Excess (Deficiency) of Receipts over Disbursements Total Disbursements

209.2 649.8 19,172.6

20.5 631.4 17,861.7 1,475.7

47,711.7

3,093.6

6,742.2

14,581.0

(539.9)

(30.0)

472.9

reclassed in December and Capital Projects Funds combined. Ireanse fees paid by Mobile Sports Vagering vendors. November Mobile Sports collections temporarily deposited to Lottery were (*) Governmental Funds includes General, Special Revenue, Debt Service : (**) November and December Mobile Sports collections represent one-time.

	2021 APRIL			JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	Ľ۵	JANUARY	FEBRUARY	MARCH	2022	2021	2021 (Decrease)	Decrease
	\$ 14,934	.4 \$ 18,/53.4	5.469,02	23,094.9	\$ 24,196.0		\$ 20,030.3	9.1.42,62 \$	\$ 24,1/7.9	C.820,16 \$	\$ 43,066.5		\$ 14,934.4		1.02C &	8.J.F
	6 109 109 107 107 107 107 107 107 107 107 107 107		3,702.6 2,740.7		3,778.4 131.1 50.0	3,634.8 3,241.9	3,459.6 187.8	3,963.5 119.9	5,963.5 516.3	5,740.0 4,677.2	6,450.8 243.1		46,920.9 21,476.0	39,134.6 16,313.8	7,786.3 5,162.2	19.9% 31.6%
	(202) 7,808	·		(31.8) 91.9 3,680.4	(19.1) (112.4 4,062.7	(28:1) (28:1) 104:2 7,030.3	242.8) (242.8) 118.1 4,101.2	(44.8) (44.8) 121.4 4,235.2	44.4 (4.9) 121.7 6,641.0	01.0 (79.7) 145.1 10,534.5	(45.6) (45.6) 156.6 6,918.2		4, 304.0 (986.1) 1, 324.0 73,038.0	1,098.1) (1,088.1) 1,070.2 58,771.2	253.8 253.8 14,266.8	28.8% -9.4% 23.7% 24.3%
	(1.282			(315.8)	(261.3)	(580.5)				(101.5)	(825.6)		. (7.473.4)		337.2	0.0% 0.0%
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	6,525			3,364.6	3,801.4	6,449.8	3,376.4	3,581.1	6,286.3	10,433.0	6,092.6	•	65,564.6	51,635.0	13,929.6	27.0%
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	1,297		1,711.7 5.1	1,382.9 -	1,334.8 -	1,739.7 7.7	1,343.7 -	1,381.9 (0.1)	1,755.3 6.2	1,483.9	1,232.3 0.1		15,925.0 18.8	12,847.9 8.7	3,077.1 10.1	24.0% 116.1%
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	8-		90.6 1.1	85.4 0.9	88.3 1.1	82.8 1.0	88.3 1.1	78.9	82.2 1.2	68.5 1.1	62.2 0.9		902.1 12.0	947.0 8.0	(44.9) 4.0	-4.7% 50.0%
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	23.1		9.9 21.7	9.5 29.7	9.7 22.7	10.2 25.3	9.5 23.1	6.5 23.3	10.1 24.2	6.5 30.5	10.2		97.9 256.9	83.4 252.3	14.5	17.4%
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	00		6.6	0.1	• •	0.1 7.8	0.2	0.7	0.3	0.2	0.1		1.8 22.6	0.4 25.5	1.4 (2.9)	350.0% -11.4%
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	1,434		1,846.7	7.5 1,516.2	1,456.6	1,874.6	5.2 1,471.1	1,492.3	1,887.2	9.0 1,599.8	1,317.3		28.5 17,265.6	29.9 14,203.1	3,062.5	-4.7%
	392		1,452.1	274.0	28.5	1,477.1	92.0	(50.3)	1,316.6	226.2	79.7		5,770.3	3,693.6	2,076.7	56.2%
	4.9		81.8 373.2	(6.2) 15.9	(0.9) 52.8	103.0 453.6	1.2	38.2	99.1 436.0 	(0.9)	1.4 8.0		328.4 1,536.2	342.3	(13.9)	-4.1% 13.6%
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	2			- 07		().U) -	0 9	(9.0) -	0.3 10,163.4	- 897.3	5.9 165.3		22.1	142.9	(120.8) 11,226.0	-84.5% 100.0%
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	9 <u>7</u> 8		40.6	43.7 327.6	42.2	33.8 2,066.8	40.7 163.1	40.5 28.8	34.0 12,049.4	38.1 1,178.0	35.2 295.5		419.3 19,302.3	382.7 5,913.9	36.6	9.6% 226.4%
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$.		.			.		0.0%
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	11		108.9	1.1	2.1	108.7	183.2	92.5	0.8	123.6	0.3		1,294.8	1,452.7	(157.9) 2.9	-10.9% 30.5%
	D. I		1.611	1.22.0	0.1	0.2	0.1	0.8	0.1.0	0.1	1.861		1.421.0	0.1	9.1.0 5.1	1,300.0%
	518		225.3	236.6	243.1	244.2	316.8	214.1	4.9 251.7	296.8	0.3 266.6	.	2,742.2	3.0 2,214.4	527.8	320.0%
	9,107		9,850.5	5,445.0	5,623.7	10,635.4	5,327.4	5,316.3	20,474.6	13,507.6	7,972.0		104,874.7	73,966.4	30,908.3	41.8%
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$																
			0.8 8.1	0.9	10.9 0.2	101.2 34.9	1.0	226.3 0.7	1.0 29.3	0.9 0.9	40.2 0.1		385.5 79.0	381.9 85.3	3.6 (6.3)	0.9%
	8		61.3	93.5	20.1	29.7	92.2	3.8	170.2	34.4	18.5		592.5	585.3	7.2	1.2%
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	484		544.9 0.7	526.3	536.1 0.1	530.4 44.4	514.7 (0.7)	527.4 (12.2)	555.1 0.8	511.9 (0.1)	589.8 0.1		5,855.0 34.6	5,416.3 46.1	438.7 (11.5)	8.1% -24.9%
55 7.0 62 62 63 7.0 62 63 63 7.1 62 60 56 43 39 98 51 60 53 54 53 53 54 53 53 54 53 54 53 54 53 54 53 54 53				0.1	0.1	0.1	0.1	0.3	(0.2)	(0.8)	0.1		(0.1)	0.2	(0.3)	-150.0%
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	ţ,		7.0	62	6.2	5.0	5.6	4.3	3.8	6.9	5.1		63.9 2 E	44.7	19.2	43.0%
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	22		125.0	50.8 20.8	56.9	124.2	55.6 20.7	60.6 77.0	125.0	82.9	49.4		830.4	824.9	222	0.7%
3.4 792 376 616 716 32.1 25.1 64.6 88.3 52.9 94.62 94.62 4.4 86.0 57.9 22.6 145.1 74.2 75.0 143.5 94.62 94	1-		60	0.3	0.5	0.3	104	9.0	7.61	2.1	0.3		6.7	4.6	212	45.7%
414 491 96 230 281 216 510 7.4 756 105 329 142 171 367 152 133 365 102 7.4 756 106 329 142 171 367 152 133 365 102 2169 329 2156 2389 1612 162 162 162 258 225 273 23 2 19 1619 1668 2409 162.4 164.0 162.8 2373 2 7 7 7 6 164.0 162.8 2373 2 7 9 1019 82.4 162.0 76.4 46.6 216.8 215.5	2 1 29 29 29		79.2 86.0	37.6 57.9	61.6 92.6	71.6 145.1	32.1 74.2	25.1 128.4	54.6 56.6	38.3 75.0	52.9 143.5		546.2 976.6	528.7 625.5	17.5 351.1	3.3% 56.1%
142 171 367 162 163 365 102 164 237.3 273 166 236 162 163 365 102 164 237.3 273 273 273 273 273 273 273 273 273 273 273 273 274 <	47		49.1	9.6	23.0	26.1	21.6	51.0	7.4	75.6	10.6		362.9	863.0	(500.1)	-57.9%
7 1 1 2 16.0 56.0 46.6 44.9 231.5 718 1019 82.4 81.5 76.0 50.0 46.6 44.9 231.5 718 817 76.0 50.0 50.0 50.0 46.6 231.5 718 3.7 3.7 78.5 76.5 69.8 71.6 231.5 718 3.7 3.7 3.7 3.4 3.5 54.8 54.8 718 3.7 3.4 1.5 3.4 3.5 46.8 71.6 54.8 72.8 3.3 1.4 6.8 3.4 1.5 81 3.3 33.1 75.8 72.8 3.3 1.4 6.8 3.4 1.5 81 3.3 3.31 75.8 72.8 3.3 3.4 1.5 81 3.3 3.31 75.8	195		17.1 238.9	36.7 181.9	15.2 186.8	19.3 240.9	35.5 192.4	10.2 203.2	16.9 225.4	29.8 194.0	9.2 182.8		237.3 2,259.3	120.0 2,068.6	117.3 190.7	97.8% 9.2%
38 37 37 34 36 43 35 46 66 7 7 <th>42</th> <td></td> <td>- 101</td> <td>- 40</td> <td>, 18</td> <td>, ao</td> <td>- 76 9</td> <td>150.0 76.5</td> <td>50.0 87 7</td> <td>46.6 69 B</td> <td>44.9 71 6</td> <td></td> <td>291.5</td> <td></td> <td>291.5 581 2</td> <td>100.0%</td>	42		- 101	- 40	, 1 8	, ao	- 76 9	150.0 76.5	50.0 87 7	46.6 69 B	44.9 71 6		291.5		291.5 581 2	100.0%
			3.6	3.7	3.7	3.4	3.6	4.9 6.4 7	3.5	9 9 9 9 9	6.6		45.8 72.8	9.96	(50.8)	-52.6%
	,		1	8			5	2		8				7 200 7	14 600 45	

STATE OF NEW YORK GOVERNMENTAL FUNDS STATEMENT OF CASH FLOW - STATE OPERATING (*) FISCAL YEAR 2021-2022 (amounts in millions) 19

STATE OF NEW YORK	GOVERNMENTAL FUNDS	STATEMENT OF CASH FLOW - STATE OPERATING (*)	FISCAL YEAR 2021-2022	(amounts in millions)
STATE O	GOVERN	STATEM	FISCAL Y	(amounts

														11 Months Ended February 28	ebruary 28	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2022	2021	\$ Increase/ (Decrease)	% Increase/ Decrease
Cost Recovery Assessments	.	.	. 	5.9	.		8.9	2.7	2.8	2.7	4.0		27.0	36.6	(9.6)	-26.2%
Issuance Fees	2.7	2.3	1.7	22.0	0.6	. :	18.6	₽:	25.2	3.5	• ;		7.77	115.1	(37.4)	-32.5%
Non Bond Kelated Rentals	42.0	2.0	- 6	0.6 0.0	16.5	85.0	0.8	25.1	19.7	2.0	2:4 66.7		381.2	45.4	6.1 227.6	13.1%
Revenues of State Departments:																
Administrative Recoveries	45.8	9.2	36.0	8.6	9.1	23.5	8.7	8.5	61.0	8.3	17.7		236.4	169.5	6.99 0.1	39.5%
Commissions	0.9	0.8	0.2	9.6	0.4	5.1	0.7	0.9	14.4	1.2	0.3		21.7	19.2	1100	13.0%
Giffs. Grants and Donations	- 0.7	- 0.8	- 0.5	- 0.3	- 0.5	- 17	- 0	12.5	0.3	9.0 9.0	- 0.6		18.5	34.5	(16.0)	-46.4%
Indirect Cost Recoveries	4.9	5.7	6.3	5.0	6.0	7.6	5.3	14.3	7.5	7.9	7.0		77.5	67.4	10.1	15.0%
Patient/Client Care Reimbursement	295.8	223.6	214.5	293.3	205.9	251.4	210.2	278.7	233.6	224.0	214.3		2,645.3	3,044.4	(399.1)	-13.1%
Rebates	4.7	2.1	6.8	4.8	9.0 9	7.5	80 i	2.6	0.7	6.4	4.2		54.6	53.5	1.1	2:1%
Restitution and Settlements Shident Loans	2.1	8.8	4.4	0.6	9.1 1 4	9.0 C F	112	1.2	1.5	8.0 (9.0)	3.5 7.1		53.U	/0/	(22)	-30.0%
All Other	84.7	60.2	0.65	6.69	74.4	33.2	72.4	28.5	69.4	124.6	55.4		731.7	506.0	226.7	44.9%
Sales	2.3	0.5	1.7	1.3	0.9	3.0	8.5	2.0	1.3	0.6	3.9		26.0	14.0	12.0	85.7%
Tuition Total Miscellaneous Receipts	1,534.9	41.6	51.3 1,744.1	56.6 1,590.4	204.0 1,648.8	2,173.1	1,693.6	31.3	2,063.5	1,850.5	362.9 2,019.4	.	1,225.4 19,664.9	1,287.0 22,519.5	(61.6) (2,854.6)	-4.8%
Federal Receipts	0.2			1.6	28.8	(0.3)	34.4		10.5	(12.9)	34.6		96.9	51.9	45.0	86.7%
	0.019.01	0 200 00			0 100 1	1 000 01	1 220 5	1 000 F	001300	C are ar	0 200 07		3 808 101	0 203 90	2 000 00	10.4 00
I OIGI KECEIDIS	10,042.3	9' /thnici	0.4%C,11	n. /cn' /	C'LOC'/	12,808.2	1,000.4	1.062,1	0.846,22	7'6+6'61		•	124,030.3	80,001.8	79/0307	29.1.%
DISBURSEMENTS:																
Education	524.3	3.896.3	3.163.3	1.505.5	723.5	4.010.2	1.556.1	1.778.8	2.961.7	3.096.8	1.049.8		24.266.3	23.045.8	1.220.5	5.3%
Environment and Recreation	0.1	1.9	4.1	0.6	0.8	0.2	0.1	0.5	0.5	1.0	11		10.9	3.4	7.5	220.6%
General Government	13.0	66.6	456.5	(20.3)	59.3	119.3	36.4	56.4	264.6	38.9	60.7		1,151.4	977.5	173.9	17.8%
Medicaid	3,127.9	1,954.6	2,221.1	1,436.8	1,895.5	1,794.8	2,278.1	2,194.2	2,192.3	1,729.9	1,851.3		22,676.5	18,752.0	3,924.5	20.9%
Other Public Health	85.2	149.3	587.8	212.2	244.3	435.5	262.1	230.9	541.5	150.2	116.7		3,015.7	2,845.3	170.4	6.0%
Public Safety	23.8 43.5	49.6	39.4 201 a	310.0	33.4 343.4	40.6	58.9 1 E 1 1 2	44.7	30.1 464 D	54.9 26: 1	27.1		420.5	243.0	2 149.4	73.0%
Support and Regulate Business	0.01 1.0	9.2	211.8	26.7	13.8	27.9	224.3	213.6	26.5	13.1	30.7		4,02.9 802.9	119.8	683.1	570.2%
Transportation	208.5	430.1	298.6	299.8	434.9	271.9	283.2	537.9	775.1	69.7	98.0		3,707.7	3,019.2	688.5	22.8%
Total Local Assistance Grants Denartmental Overations	4,031.6	6,658.1	7,266.9	3,798.1	3,748.9	7,643.8	6,213.4	5,168.4	7,256.3	5,439.6	3,351.8	•	60,576.9	51,381.6	9,195.3	17.9%
Personal Service	1,107.2	1,131.4	709.8	1,272.6	1,060.8	1,415.6	1,044.4	1,343.1	635.3	1,050.9	1,126.3		11,896.4	10,955.1	941.3	8.6%
Non-Personal Service	362.5	470.3	374.0	364.9	511.8	485.6	436.8	463.2	493.0	650.0	782.5		5,394.6	4,906.2	488.4	10.0%
General State Charges Deht Service Including Payments on	870.1	2,340.0	468.9	537.2	468.4	200.8	594.0	779.1	237.1	549.7	549.0		8,094.3	6,387.2	1, 707.1	26.7%
Financing Agreements Financing Agreements Canital Projecte	122.4	40.5	(21.8)	7.2	307.7	742.2	9.2	26.0	209.2	7.6	773.3		2,223.5	3,468.4	(1,244.9)	-35.9% 0.0%
																200
Total Disbursements	6,493.8	10,640.3	8,797.8	5,980.0	6,097.6	10,988.0	8,297.8	7,779.8	8,830.9	7,697.8	6,581.9	•	88,185.7	77,098.5	11,087.2	14.4%
Excess (Deficiency) of Receipts over Disbursements	4,148.5	2,407.5	2,796.8	1,057.0	1,203.7	1,820.2	(1,242.4)	(549.7)	13,717.7	7,647.4	3,444.1		36,450.8	19,439.3	17,011.5	87.5%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**) Transfers to Other Funds (**)	4,886.9 (5.216.4)	5,968.8 (6,175.4)	5,640.2 (6.296.4)	3,452.7 (3,408.0)	2,475.6 (2,996.2)	5,351.0 (5,414.6)	3,154.5 (3,306.5)	3,045.5 (3,559.8)	10,083.2 (10,350.3)	1,860.8 (2.070.2)	3,477.4 (3,404.2)		49,396.6 (52,198.0)	29,631.3 (30,596.1)	19,765.3 21,601.9	66.7% 70.6%
	19000	19 90C)	1 10 10		18 0C3/	(a ca)	50	6 113)	(* E90)	10000	50 71		2000	10 1901	19 900 F	100 411
ioral Outer Fillaricing Sources (Uses)	(0.870)	(0:007)	(7:000)		(0:02C)	- (0°C0)	(n.zel)	(c.+ic)	(1.102)	(+:602)	7.61		(2,001.4)	(304.0)	(1,630.0)	-130.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,819.0	2,200.9	2,140.6	1,101.7	683.1	1,756.6	(1,394.4)	(1,064.0)	13,450.6	7,438.0	3,517.3		33,649.4	18,474.5	15,174.9	82.1%
					- 010 PO				1 000 20							
Ending Fund Balance	\$ 18,/03.4	6.408,02 ¢	\$ 23,094.9	\$ 24,190.0	\$ 24,8/9./	\$ 20,030.3	e.142,62 ¢	\$ 24,1/1.9	C.820,16 ¢	\$ 40,000.0	\$ 48,383.8		\$ 48,383.8	\$ 32,882.8	0.10/,cr &	41.1%

(7) <u>State Operating Funds</u> are comprised of the General Fund, State Special Revenue Funds supported by activ from dedicated revenue sources (including peranging transfers from Federa I (mds) and Debt Service Funds. (") Eliminations between Stata and Federal Special Revenue Funds are not included. (") Norweit and December Mobile Sports oblications spreasent on-thine liters fees paid by Mobile Sports Vertice.

matoris serviees siste art researt speara freevane trunk are not microta are restant speara are not microta are are not microta are not micro

% Increase/	2.4%	19.9% 28.8% 28.8% 23.7% 23.7% 24.7% 21.7% 29.9%	-38.1% 0.0% -5.8% 0.0% 1.8% 0.0% -3.0%	58.8% 0.3% 13.3% -82.9% 100.0% 163.8%	0.0% -10.9% 30.5% 1,300.0% 320.0% -10.2% 33.1%	-0.2% -7.4% 0.0% 0.0% 200.0%	43.0% 0.5% 0.5% 35.9% 20.0% 13.4.8% 13.4.4%13.4.4% 13.4.4% 13.4.4%13.4.4% 13.4.4% 13.4.4%13.4.4% 13.4.4% 13.4.4%13.4.4% 13.4.4%14.4.4% 14.4.4%14.4.4% 14.4.4%14.4.4% 14.4.4%14.4.4% 14.4.4%14.4.4% 14.4.4%14.4.4% 14.4.4%14.4.4% 14.4.4%14.4.4% 14.4.4%14.4.4% 14.4.4%14.4.4%14.4.4% 14.4.4%14.4.4% 14.4.4%14.4.4% 14.4.4%14.4.4% 14.4.4%14.4.4%14.4.4% 14.4.4%14.4.4%14.4.4%14.4.4% 14.4.4%14.4% 14.4.4%14.4% 14.4.4%14.4%14.4% 14.4%14.4% 14.4%14.4%14.4% 14.4%14.4%14.4% 14.4%14.4%14.4%14.4% 14.4%14% 14.4%14.4%14%14	-100.0% -100.0% -34.7% 6.7% 6.7% 6.4% 100.0%
	1	7,786.3 5,162.2 962.5 (102.0) 253.8 14,266.8 (142.5) 537.4 337.2 7,107.3	(2,282.0) - (16.9) - - - - - - - -	1,704.5 0.7 161.3 (105.0) 5,613.0 7,374.5	(157.9) 2.9 1.3 4.8 (148.9) 12,027.2	0 (5, 0 (6, 0 (0, 0 (1, 0 (1, 0)) (1, 0) (1,	19.2 	(4,500.0) (16.2) (37.4) (3.2) (3.2) (3.2) (3.2) (3.2) (3.2) (3.2) (3.1) (3.1) (3.1) (1.1)(
\$ Increase/	8,944.2	39, 134, 6 39, 134, 6 3, 340, 7 3, 340, 7 3, 340, 7 1, 088, 1) 1, 088, 1) 1, 088, 1, 1, 088, 1, 2, 187, 5, 2, 180, 2, 23, 308, 9 23, 308, 9	6,013.9 292.2 292.3 252.3 252.3 252.3 258.3 6,588.3	2,897.4 280.1 1,217.1 1,217.1 126.7 4,501.3	1,452.7 9.5 0.1 1.5 1,463.8 36,362.3	371.1 85.3 85.3 85.9 0.2	44.7 135.9 1.0 1.0 283.1 283.1 749.1 36.7 0.1	4,500.0 16.2 107.9 30.4 48.1
	8.08	46,920.9 21,476.0 4,930.2 (986.1) 7,304.0 7,304.0 7,304.0 7,304.0 7,373.3 0,916.2 30,916.2	3,721.9 275.3 275.3 256.9 29.5 4,282.6	4,601.9 260.8 1,378.4 21.7 5,613.0 1,875.8	1,294.8 12.4 12.4 1.4 6.3 1.314.9	370.4 79.0 32.9 32.9 0.6	63.9 - 184.7 184.7 267.3 21.6 268.0 10.2 0.1	70.5 7.05 1.1.6 1.1.6 1.1.2 1.1.2 1.2.6
				.				
	\$ 32,007.5	6,450,8 243,1 113,3 (45,6) (45,6) 156,6 (3,046,3) (3,046,3) (3,046,3)	288.4 	57.6 2.4 6.5 15, 3 15, 3	126.3 0.3 0.1 126.7 3,646.0	0.55 0.1 1 0 1.	5, 410 010 23 25 25 25 25 25 25 25 25 25 25 25 25 25	0
2022	\$ 30,659.7	5,740.0 4,677.2 51.9 (17.8.7) 145.1 10,534.5 (11850.0) (11850.0) (11.850.0) (101.5) 3,366.5	347.0 22.3 2.5 30.5 408.8 1	186.0 (0.4) 19.0 448.7 653.3	123.6 0.8 0.1 0.1 127.1 4,555.7		9.9 15.3 12.4 9.6 9.1 1.2 1.2 1.2 1.2	
	17,184.8	5,963.5 516.3 44.4 (4.9) (121.7 (14.1) (34.1) (364.1) (364.1) (364.1) (364.1)	411.3 26.5 24.2 461.0	1,069.5 78.4 386.0 0.2 5,081.7	111.1 0.8 0.1 114.4 10,320.2		3.8 13.2 33.3 1.2 2.2 2.2 2.2 2.2 2.2 2.2 2.2 2.2 2.2	25.2 27.2 0.2 17.7
	\$ 18,118.8 \$	3,963.5 119.9 75.2 (44.8) 121.4 4,235.2 (1,790.5) (1,789.8)	323.3 23.8 23.5 370.4 . · · 23.3	(77.4) 0.8 34.8 (0.4) 	2.5 1.1 0.8 0.2 94.6 2,212.6	225.0 0.7 2.3	4 - 00 00 00 00 00 00 00 00 00 00 00 00 00	
	\$ 19,953.7	3,459.6 187.8 578.5 578.5 187.8 (142.8) 1181. (142.8) (12) (12) (12) (128.2) (124.8) (124.8)	314.6 	48.5 11.0 11.0 11.0 11.0 10.1	183.2 1.0 0.1 0.1 184.5 2,312.4	, t. , , , , , , , , , , , , , , , , , ,	5, 6, 1, 1, 2, 2, 6, 6, 9, 1, 2, 1,	18.6 0 2
	ser lember \$ 15,789.3	3,634.8 3,241.9 77.5 (28.1) 104.2 7,030.3 (300.5) (500.5) 3,224.9	408.0 26.7 25.3 4 90.0	1,216.4 84.1 403.5 3.9 3.9 1,707.9	108.7 1.7 0.2 1.0.2 10.8	100.0 34.9 2.7	5.0 17.7 17.7 29.7 20.1 0.6 0.1	
	\$ 15,601.1	3,778.4 131.1 58.9 (13.1) 112.4 4,062.7 (1,900.7) (281.3)	313.4 26.0 22.7 22.7 22.7 2.7 2.7 2.7 2.7	19.9 (0.8) 48.0 67.1	100.6 2.1 0.1 0.1 102.9 2,432.8	0.2 6.1 7.2 7 7 7 7 7 7 0	6.2 9.44 0.1 2.7 0.27 0.50 0.5	
	5 15,464.4	3,408.2 147.1 65.0 (31.8) 91.9 3,680.4 (1,682.3) (316.8) (316.8)	324.5 25.6 29.7 387.3 387.3	213.6 1.5 13.4 0.2 228.7	103.1 1.1 1.1 10.2 104.4 2,402.7	0 2. 0.1	8, 2700 17,480 880 880 880 880 880 880 880	21.5 1 0 1 1.2 1 1.2 1 1.2 1.2 1.2 1.2 1.2 1.2 1.2 1.2 1.2 1.5 1.2 1.5 1.2 1.5 1.5 1.5 1.5 1.5 1.5 1.5 1.5 1.5 1.5
	. 6.5	3,702.6 2,740.7 139.6 (131.6) 1(13.6)(403.0 25.7 21.7 21.7 450.4	1,187.8 63.7 328.4 6.5 1,586.4	1.1 1.1 1.1 1.1 1.1 1.1 1.1 1.1 1.1 1.1	- 88 - 44 - 1 1 - 1 1 - 1 1 - 1	7.0 33.9 0.1 37.2 37.2 0.5 7.2 1 .5 7.2 1 .5 7.2 1 .5 7.2 1 .5 7.2 1 .5 7.2 .0 7.2 .0 7.2 .0 7.2 .0 7.2 .0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0 7.0	15.2 (0.4)
	7.6	3217.7 8,128.7 2,184.3 2,184.3 (84.7) 87.2 1,353.2 (1,520.2) 4,916.5 4,916.5	286.6 24.8 24.8 21.8 342.3 7	66.2 0.6 54.8 (17.1) 	117.0 1.1	-0 -8-0	5.5 2.07 0.1 15.6 0.8 0.8 0.8 0.8 0.6 0.6 0.6 0.6 0.6	0.23
2021	8	3,601.8 3,342.2 913.6 (203.0) 154.1 7,808.7 (1,222.9) (1,222.9) (1,222.9)	292.8 	613.8 28.6 73.0 14.2	119.8 113.8 1.3 0.1 121.2	0.1 . 1 4.0 8	5, 4, 200 1, 4, 200 1, 4, 200 1, 4, 4, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,	

EXHIBITF

% Increase/ Decrease	-53.8%	0.0%	-34.0%	-/1.9%	-100.0%	16.4%	8.3%	1,200.0% 18.0%		%9·0-	67.0%	90.5%	849.6% 43.7%	22.4%	14.8% 8.7%	27.3%	21.3%	-47.2%	72.1%	12/.2% 96.4%	24.7%	63.5%	-47.5%	16.4%	93.5%	1000	126.6%
\$ Increase/ (Decrease)	(0.7)	25.1	(1.6)	(4,927.1)	(0.2)	7,099.9	1,473.8	6.0 148.9	10010	(12.2)	76.1	2,148.9 000 r	663.5 37.3	8,262.4	941.2 219.9	1,525.1	10,948.6	(3,848.7)	12,939.0	6,081.0 678.6	387.7	1,716.3	(237.9) 26.6	315.7	18,266.7		14,418.0
2021	1.3	147.3	4.7	0,803.3	0.2	43,215.8	17,676.1	0.5 827.3		2,024.3	113.5	2,375.0	/8.1 85.3	36,893.1	6,364.5 2,515.2	5,593.3	51,366.1	(8,150.3)	17,936.9	4, /81.6 704.2	1,571.4	(2,704.3)	(500.4)	(1,928.4)	19,536.3		11,386.0
2022	9.0	172.4	3.1	1,926.2		50,315.7	19,149.9	6.5 976.2		2,012.1	189.6	4,523.9	127.6	45,155.5	7,306.7 2,736.1	7,118.4	62,314.7	(11,999.0)	30,875.9	10,862.6	1,959.1	(4,420.6)	(262.5)	(2,244.1)	37,803.0		25.804.0
MARCH				•																					•		•
FEBRUARY	0.1	- 6	2.9	120.4		3,766.4	936.5	41 2	- 200 -	44.5	12.5	118.1	13.5	2,476.9	712.2 484.2	490.3	4,163.6	(397.2)	2,137.9	832.0	268.9	(15.3)	 16.4	(20.6)	3,354.5		2.957.3
2022 JANUARY	•	- 57.5		7.171		4,727.4	1, 133.2	21.9	0.000	5'88 88.5	37.2	284.9	3.1	2,832.7	666.7 381.2	487.3	4,367.9	359.5	201.1	1,019.9	134.7	(291.7)	(90.0)	(26.6)	988.3		1.347.8
DECEMBER	0.3	20.9	- 000	238.9		10,559.1	2,834.3	- 249.9		409.8	10.0	463.6	116	5,751.8	216.5 270.5	52.2	6,291.0	4,268.1	8, 227.4	1,196.6	214.5	(455.2)	(16.5)	(115.9)	9,206.8		13.474.9
NOVEMBER	•	(14.1)		311.3		2,523.9	1,664.4	20.0		145.1	9.1	110.7	212.6	3,739.1	872.9 208.8	644.7	5,465.5	(2,941.6)	1,615.4	933.0	115.2	(591.5)	(14.0) F 1	(171.5)	2,007.6		(034.0)
OCTOBER	•	36.7		107.3		2,419.7	1,437.9	34.3	F UUL F	1,702.1	41.1	1,514.0	0.222	5,217.4	665.4 228.6	531.2	6,642.6	(4,222.9)	1,688.4	90/.0 128.6	113.2	(240.7)	(990) (990)	(010)	2,388.0		(1,834.9)
SEPTEMBER	•	- (14.4)		C.0C2	(0.3)	5,759.8	1,656.9	109.4	0 000 1	317.5	19.4	943.2	1.51	4,382.2	820.2 248.1	611.9	6,062.4	(302.6)	3,306.7	1,203.2 129.8	433.7	(414.2)	(16.5)	(163.9)	4,467.0		4,164.4
AUGUST	•	22.9	- 007	9771		2,555.3	723.3	34.2		113.6	10.5	343.2	711.7	2,693.7	673.4 240.9	402.4	4,010.4	(1,455.1)	1,149.9	912.8 136.6	115.3	(573.0)		(106.0)	1,643.3		188.2
JULY	•	10.0		134.0	0.1	2,556.8	1,505.5	(37.0)	(a. 14)	156.4	6.5	318.8	2.4	2,971.3	863.2 118.9	419.2	4,372.6	(1,815.8)	1,411.3	946.3	187.7	(296.3)	- ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '	(369.5)	1,952.5		136.7
JUNE	0.2	- 1.6		131.0		5,188.2	2,835.3	4.0 450.8	0.002.7	400.8	9.2	283.8	210.4		382.2 183.0	392.3	6,966.3	(1,778.1)	2,958.2	1,361./	173.1	(715.6)	(100.5)	(917.2)	2,886.0		1.107.9
MAY	•	23.1	-	140.1		5,621.6	3,899.2	1.7	0.000	102.1	29.6	100.3	0.7	5,704.1	725.4 234.2	2,276.6	8,940.3	(3,318.7)	4,916.9	110.0	94.8	(341.4)	- 10	(34.1)	5,457.6		2.138.9
2021 APRIL		20.1	0.1	6.271	0.2	4,637.5	523.4	- 6 6		47.9	4.5	43.3	0.0	3,377.5	707.6 136.7	810.3	5,032.1	(394.6)	3,262.7	8/0.0 8/96.8	108.0	(485.7)	167.61	(227.8)	3,451.4		3,056.8

Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses Ending Fund Balance

Fotal Other Fine Sources (Uses

DTHER FINANCING SOURCES (USES):

Transfers from Revenue Bond Ta Transfers from LQAC / STRBTF Transfers from UXUCA Fund Transfers from Other Funds Transfers to State Capital Project Transfers to State Capital Project Transfers to Caereal Debt Servic Transfers to All Other State Func

Excess (Deficiency) of Receipts over Disbursements

Personal Service Non-Personal Service General State Charges Total Disbursements

Total Local

Education Education Environment and Recr General Government Public Health Medicaid Other Public Health Other Public Velatre Public Welatre Support and Regulate B

Federal Receipts Total Receipts ISBURSEMENTS: Local Assistance Grant

Financial Reports

EXHIBITF

3

STATE OF NEW YORK GENERAL FUND STATEMAT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions) Restitution and Settlements Sudent Loans All Other Sales Total Miscellaneous Receipts

7.3 142 12.3 8.9 9.8 7.2 0.2 0.9 9.6 9.1 1.4 1.4 9.6 8.5 60.4 5.4 9.6 8.5 60.4 5.4 0.5 1.3 1.4 5.4 0.5 1.3 60.4 5.4 0.5 1.3 60.4 5.4 0.5 1.3 66.4 20.40	201 201 201 201 201 201 201 201	0.03 6.9 1228 1228 1224 1224 1224 122 1210 121 121 121 121 121 121 121 12		58 4.8 6 14.3 1.4 5 12.8 0.4 5 12.8 1.0 5.4 12.8 1.0 5.7 12.8 1.0 5.7 12.8 1.0 5.7 12.8 1.0 5.7 12.8 1.0 5.7 12.8 1.0 5.7 12.8 1.0 5.7 3.7 1.0 5.7 3.8 1.0 5.7 3.7 1.2 5.7 3.7 1.2 5.7 3.8 1.2 2.7 9.9 6.0 1.4 10.1 1.2 1.1 11.1 1.1 1.1 1.1 11.1 1.1 1.1 1.1 11.1 1.1 1.1 1.1 11.1 1.1 1.1 1.1 11.1 1.1 1.1 1.1 11.1 1	0.5 0.4 6 0.5 0.4 6 0.5 0.4 5 17.5 13.9 5.3 17.5 13.4 5.3 17.5 13.4 5.4 17.5 13.4 5.4 17.5 13.4 5.4 17.5 13.4 5.4 17.5 13.4 5.6 17.5 13.6 5.7 17.2 14.5 5.7 17.2 2.4 12.5 17.2 2.4 13.5 18.5 2.7 2.8 19.5 14.4 14.5 17.2 2.4 14.5 1.1 1.1 14.5 1.1 1.3 14.5 1.1 1.1 11.3 1.1 1.1 11.3 1.1 1.1 11.3 1.1 1.3 1.3 1.1 1.3 1.3 1.1 1.3 <th>$\begin{array}{cccccccccccccccccccccccccccccccccccc$</th> <th>$\begin{array}{cccccccccccccccccccccccccccccccccccc$</th> <th></th>	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	
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33

SEPTEIMBER OCTOBER MONEMBER DECEN 2,704.5 752.6 516.2 0.4 2,704.5 752.6 516.2 0.4 2,704.5 755.6 3.17.1 5 260.2 3.8 4.756.5 4.317.1 5 261.3 4.756.5 4.317.1 5 5 205.2 753.6 4.317.1 5 5 5 205.3 753.6 7.31.1 5 5 7 5 5 7 7 5 5 7 7 3 2 2 7 3 2 2 2 2 2 2 7 3 2								
$\begin{array}{ c c c c c c c c c c c c c c c c c c c$			2022	2	Intra-Fund Transfer	11 Months En	11 Months Ended February 28 S Increase/ %	% Increase/
394 (45) 2704.5 752.6 516.2 516.2 0.8 0.8 2.0 3.8 415.5 415.5 415.5 455.2 35.8 2.6.0 3.8 415.5 415.5 415.5 3.00.4 4.44.2 4.42.2 4.55.6 4.317.1 96.1 3.00.7 64.9 7.89.3 66.5 61.3 96.1 3.00.7 64.9 1.305.6 61.3 96.1 96.1 3.02.5 415.5 274.5 97.1 96.1 96.1 3.02.5 415.5 274.5 97.1 96.1 96.1 3.02.5 415.5 274.5 97.1 96.1 96.1 3.02.5 415.5 274.5 97.1 97.4 196.1 3.02.5 445.5 473.7 97.4 473.7 196.4 3.02.5 106.1 170.0 97.1 106.1 116.1 116.1 3.02.5 106.1 170.0 97.1 106.1	SEPTEMBER	NOVEMBER	'n	ARY FEBRUARY	MARCH Eliminations (*)	2022 2021		Decrease
39.0 64.6 2704.5 752.6 516.2 455.2 35.8 26.0 38 415. 455.2 35.8 26.0 38 415. 700.4 444.2 8412.8 455.6 613.1 700.4 744.2 8412.8 455.6 613.1 700.4 744.2 8412.8 655.6 613.1 700.7 841.3 1339.5 717.1 96.1 630.8 415.5 277.5 840.5 617.4 630.8 65.1 1339.5 714.4 96.1 630.8 66.1 416.5 734.6 96.1 630.8 1339.5 714.6 734.4 96.1 877.5 439.7 66.6 734.6 66.1 473.7 873.5 66.6 734.6 66.1 734.6 759.4 873.5 90.1 130.0 90.1 196.1 166.1 744.5 100.1 100.1 100.1 100.1								
0.6 0.8 0.2 0.4 465.2 30.8 26.0 38 47.56.5 43.17.1 3.703.4 4.44.2 4.192.8 4.756.5 4.317.1 3.703.4 4.44.2 4.192.8 4.756.5 4.317.1 3.703.4 4.44.2 4.192.8 4.756.5 4.317.1 3.52.1 303.2 1.339.5 1.77.1 97.10 3.52.1 4.93.7 205.4 1.93.7 97.10 3.53.1 1.318.5 7.34.6 4.317 97.10 3.53.1 1.318.5 7.34.6 4.317 97.10 3.53.2 4.93.7 4.93.7 59.4 4.11 3.53.2 4.93.7 4.93.7 59.4 4.11 3.54.4 4.93.7 4.93.7 59.4 4.11 3.55.6 1.06.1 1.20.0 90.1 1.06.1 1.06.1 3.55.5 4.03.7 4.03.7 59.4 4.11 1.166.1 1.11 3.53.2 1.06.1				2.291.2 709.7		10.926.6 8.146.5		34.1%
46.2 35.8 26.0 38 415 3700.4 444.2 4756.6 43171 3700.4 444.2 476.6 43171 3700.4 789.1 414.2 456.2 43171 3700.4 789.1 4192.8 456.5 631.71 302.1 691.0 1,395.4 667.4 951.4 302.2 415.5 1,49.5 30.0 30.0 302.2 415.6 7,346.4 637.4 951.4 302.5 4,15.6 9,665.1 7,346.4 637.4 302.5 4,15.6 9,665.1 433.7 667.4 302.5 4,45.3 106.1 120.0 90.1 196.1 7,446.3 465.6 433.7 433.7 433.4 433.4 302.6 7,446.3 120.0 90.1 196.1 196.1 7,445.3 130.0 130.0 130.4 196.1 196.1 7,445.3 130.0 130.0 130.0						5.4 3.9		38.5%
3700A 4442 4182 4756 43171 700A 7831 8442 4182 4756 43171 700A 7831 862 7656 43171 961 2007 853 2062 7566 43171 961 2016 6313 2062 7566 43171 961 913 915 2756 930 913 913 913 915 73464 931 916 910 913 913 9143 916 1321 910 916 2266 1961 1200 9416 4337 994 14 2266 1961 1200 914 196.1 166.1 166.1 166.1 166.1 166.1 166.1 166.1 166.1 166.1 166.1 166.1 166.1 166.1 166.2 167.6 172.6 172.6 172.6 172.6 172.6 172.6 172.6 172.6 172.6			37.0	18.7 23.7		718.6 4,368.4		-83.6%
2000 7831 664.3 661.2 612.4 613.4 6		7	5 120 7			47 414 2 44 840 7		5.7%
2017 6913 7052 7151 961 2024 6913 13956 7171 961 302 4155 2775 939 30 30 302 4155 2775 939 30 30 453 4155 2775 9364 913 30 302.5 4156 2775 9374 5034 30 302.5 4399 6651 4337 5034 4735 302.4 4705 90.1 106.1 130.0 106.1 166.1 166.1 166.1 106.1		•	924.1	675.0 751.5		7,983,1 6,836,0	0 1.147.1	16.8%
63.21 63.1 63.0 1.389 717.1 5700 3.6 5.3 416.5 5.3 149.5 910.7 159.6 6.383.2 6.317.6 5.3 1.415.5 910.7 570.0 570.0 6.383.2 416.5 9.66.5 9.66.7 9.69.7 0.697.4 8.25.4 439.9 66.61 100.0 44.6 473.5 693.4 2.641.3 8.443.3 10.691.4 8.314.8 7.399.4 1 1 7.441.3 8.443.3 10.691.4 8.314.8 7.399.4 1 1 7.441.3 8.443.3 10.691.4 8.314.8 7.399.4 1 1 7.441.3 8.445.3 10.611.4 8.314.8 7.399.4 1 1 7.441.3 8.445.3 10.611.4 8.314.8 7.399.4 1 1 7.65.3 10.611.4 10.610.4 8.314.8 7.399.4 1 1 831.4 178.5 10.61.1 <t< td=""><td></td><td></td><td>213.0</td><td></td><td></td><td></td><td></td><td>-2.6%</td></t<>			213.0					-2.6%
30.5 (30.5) 41.5 (4.5) 14.9 (27.5) 30 (27.5) 30 (4.5) 30 (27.5) 30 (4.5) 30 (4.5) <td>6,L</td> <td></td> <td>738.1</td> <td></td> <td></td> <td></td> <td></td> <td>109.0%</td>	6,L		738.1					109.0%
								45.7%
6,386.3 7,120.6 9,655.0 7,344.4 6,573.4 27.3.5 4,39.7 666.1 4,33.7 599.4 38.7.5 4,39.7 460.5 7,44.6 7,95.4 38.7.5 4,39.7 460.5 4,40.5 90.1 165.1 256.6 106.1 120.0 90.1 165.1 165.1 7,41.9 2,445.3 10.81.4 6,145.4 7,349.4 165.1 7,41.9 6,145.3 10,81.4 6,314.8 7,349.4 165.1 7,65.9 611.7 10,401.0 586.8 (426.9) 1 653.2 109.4 183.0 119.8 205.5 205.5 653.2 109.4 183.0 166.8) 122.6 205.5 241.9 30.7 16.83.0 105.4 122.5 205.4 165.0 16.83.0 16.83.0 132.5 205.4 205.4				73.2 89.1				21.4%
473.5 439.9 666.1 433.7 599.4 226.4 106.1 130.0 444.6 473.5 226.5 106.1 130.0 90.1 185.1 749.3 10,891.4 8,914.8 7,399.4 1 749.3 10,891.4 8,314.8 7,399.4 1 769.9 10,891.4 8,314.8 7,399.4 1 769.9 10,891.4 8,314.8 7,399.4 1 769.9 10,891.4 8,314.8 7,399.4 1 769.9 10,891.4 8,314.8 7,399.4 1 769.9 10,991.4 8,314.8 7,399.4 1 769.9 109.6 19.0 119.5 206.5 830.1 119.9 119.9 206.5 112.5 94.1 100.7 146.9 10.6 112.5 165.0 16.1 16.1 10.4 12.5				8,528.0 6,136.6	•	79,532.1 72,467.4		9.7%
382.4 478.7 440.5 444.6 473.5 225.6 106.1 120.0 90.1 186.1 7.491.3 8,014.5 90.1 186.1 186.1 7.491.3 8,145.3 10,091.4 8,314.8 7,399.4 1 7.491.3 8,014.4 8,314.8 7,399.4 1 1 7.491.3 10,091.4 8,314.8 7,399.4 1 1 7.591 10,101.4 8,314.8 7,399.4 1 1 7.591 10,101.4 8,314.8 7,399.4 1 1 7.591 10,101.4 8,314.8 7,399.4 1 1 175.5 1139.0 1139.0 205.5 205.5 205.5 205.5 211.9 30.7 1(6.3) 1(6.3) 105.8 125.5 205.4 1 125.5 205.4 1 1 205.5 205.4 1 1 205.5 205.5 205.5 205.5 205.4 1 205.5 </td <td></td> <td></td> <td>1 175 3</td> <td></td> <td></td> <td></td> <td></td> <td>.9 7 W.</td>			1 175 3					.9 7 W.
Z56 106.1 120.0 90.1 166.1			458.9	439.5 472.0		4,999.9 3,848.0	0 1,151.9	29.9%
<td></td> <td></td> <td>621.5</td> <td></td> <td></td> <td></td> <td></td> <td>-7.7%</td>			621.5					-7.7%
· ·			,			42.3 102.2	2 (59.9)	-58.6%
7,481.3 9,143.3 10,891.4 8,744.3 7,890.4 10 (76.9) (611.3) (1,00.6) 566.3 (426.9) 1 (78.1) (611.3) (1,00.6) 566.3 (426.9) 1 (78.1) (1,00.6) 193.0 193.0 (175.6) 205.5 1 (351.3) (78.1) (193.0) (175.6) 205.5 1 1 241.9 30.7 (16.8) (16.8) 122.5 1 1 165.0 (14.7.4) 530.0 (36.4) 1	 	 . 		· 				%0.0
(8.9) (811.2) (1,00.6) 586.3 (426.9) 1 583.2 103.4 (18.0) 119.8 205.5 205.5 583.2 103.4 (18.0) (175.6) 205.5 205.5 241.9 30.7 (16.8) (16.8) 122.5 122.5 165.0 (581.0) (1,71.4) 530.0 (304.4) 1			10,951.6	9,514.2 7,167.5		92,828.6 85,474.7	7,353.9	8.6%
533.2 103.4 183.0 119.8 205.5 (351.3) (78.7) (193.9) (175.6) (33.0) 241.9 30.7 (16.8) (66.8) 172.5 165.0 (581.0) (1,07.4) 530.0 (304.4) 1			1,485.5	(239.9) 201.5		15,525.9 4,480.3	3 11,045.6	246.5%
- 241.9 30.7 [16.8] [16.8] 122.5 165.0 152.5 165.0 165.0 1504.4 1			155.7 (285.7)	53.8 35.9 (185.5) (129.0) -	(520.1)	2,259.0 1,928.7 (1.513.7) (1.760.1)	7 330.3 (246.4)	17.1% -14.0%
165.0 (581.0) (1.077.4) 530.0 (384.4)			(130.0)	(131.7) (93.1)	•	745.3 168.6	6 576.7	342.1%
	(581.0) (1,077.4)	530.0 (304.4)	1,355.5	(371.6) 108.4		16,271.2 4,648.9	9 11,622.3	250.0%
27,116.0 \$ 27,281.0 \$ 26,700.0 \$ 25,622.6 \$ 26,152.6 \$ 25,848.2 \$ 27,203.7	26,700.0 \$ 25,622.6	26,152.6 \$ 25,848.2	27,203.7 \$	26,832.1 \$ 26,940.5	• •	\$ 26,940.5 \$ 10,961.0	0 \$ 15,979.5	145.8%

() Infra-Eucl transfer eliminations represent transfers between Special Revenue-State and Federal Funds.
(') November and December Mobile Sports collections represent one-time license fees paid by Mobile Sports Wagering vendors. November Mobile Sports collections temporarily deposited to Lottery were reclassed in December.

	2021 APRIL	MAY	7	7	AU	E	OCTOBER	NOVEMBER	DECEMBER	`₹	FEBRUARY	MARCH	20	2021		e Increaser (Decrease)
Beginning Fund Balance	\$ 5,708.6	\$ 6,329.3	\$ 6,294.9	\$ 7,392.8	\$ 8,049.4	\$ 8,108.3	\$ 6,498.4	\$ 6,808.5	\$ 6,491.9	\$ 6,625.6	\$ 7,001.8		\$ 5,708.6	\$ 5,400.7	s	307.9
										0010 1			1000 1	00000		1015
Personal Income Tax Consumption/Use Taxes:		•						0.0		0.068,1			1,600.1	2,006.0		(0.241)
Sales and Use	109.2	80.5	101.6	86.9	83.3	109.0		89.6	111.6	97.0	80.1		1,035.1	824.5		210.6
Cigarette/Tobacco Products	(0.7)	51.8	0.1.9 64.9	59.8	62.3	56.1		55.1	56.7	46.2	43.5		626.8	654.8		(28.0
Cannabis Motor Firel	1.5	1.1 8.6	1.1	0.9	1.1	1.0	1.1 9.5	1.0	112	1.1 8.5	0.9		12.0 97 9	8.0 83.4		4.0
Alcoholic Beverage	±+:	; .	; ,	3 • 3	; •	1 + 3		3 • 3	3 • 3	3 • 3	1 - 1		2 - 2	t -		2
Highway Use Vapor Excise	0.1		- 9.9	0.1		0.1 7.8		0.7	0.3	0.1	0.1)		1.8	25.5		1.4
Total Consumption/Use Taxes	187.7	142.0	189.2	157.4	156.4	191.9		152.9	193.8	151.1	134.8	·	1,815.0	1,605.3	3	2.60
Corporation Franchise	154.6	39.8	264.3	60.4	8.6	260.7		27.1	247.1	40.2	22.1		1,168.4	796.2		372.2
Corporation and Juntes Insurance	(8.8)	0.5 2.5		2.5	(U.1) 4.8	50.1		3.4	20.0	(c.n) (1.1)	(1.0)		157.8	135.3		22.5
Bank Petroleum Business Taves	3.1 30.7	(3.0) 39.8 70.6	ľ	43.7	42.2	(4.6) 33.8 358.0	0.5 40.7	(0.1) 40.5 74 0	0.1 34.0 364.0	38.1 76.4	0.7 35.2 59.5		0.4 419.3 4 243 5	16.2 382.7 1.442.6		(15.8) 36.6
Total Tayes	386.6	224.6		256.3	241.9	550.8		1947	559.8	2 UZ7 2	103.3	.	5 494.6	5 0.26 5		468.1
				0.004					0.000	4	200		ottot la	0.04050		
Miscellaneous Receipts: Abandoned Property:			0	Ċ						0						-
Abandoned Property Assessments:	8	n.r	8.0	8.D	0.9	7.1			n.r	R.U	7.6		1.61	8.01		4 5
Business Medical Care	63.7 482 7	5.1	61.3 540.7	93.5 576.3	20.1 530.0	29.7	92.2 514.7	3.8 525.1	170.2 551.6	34.4 503.4	18.5 589.8		592.5 5 822 1	585.3 53774		7.2
Public Utilities	1.5	'	0.7	1	0.1	44.4			0.8	(0.1)	0.1		34.6	46.1		11.5
other Fees, Licenses and Permits:	•		'	•		L.D			(n.s)	(n.8)			(//n)	•		è.
Audit Fees Business/Professional	38.6	- 26.7	- 18	0.7 38.6	1.5	0.1		- 200	0.2 82.0	- 67.6	45.3		2.5 676.7	2.2 622.3		0.3
	5.1	5.4	5.0	4.0	6.5	5.7		4.8	6.0	4.0	5.1		57.7	43.1		14.6
Criminal Motor Vehicle	0.9 29.6	27.8	24.3	16.9	0.4 29.6	41.9		31.9	21.3	28.7	22.6		288.9	3.6		1.9 23.9
Recreational/Consumer Finas Panaltics and Forfaitures	65.7 6.1	49.6 R 7	83.0 11 a	56.5 5.8	89.9 11 D	143.1 6.0	72.9	124.2	55.4 5.7	73.2	141.5		955.0 94 a	616.3 113 G	8	338.7
		5		5	-	5		5	4	2	0		b t			0
Casino Lottery(*)	34.2 199.5	14.2 213.5	17.1 238.9	35.7 181.9	15.2 186.8	19.3	35.5 192.4	10.2 203.2	16.9 225.4	29.8 194.0	9.2 182.8		237.3 2,259.3	2,068.6	÷ #	117.3
Mobile Sports(*)	- 75 0		1010		- 10	- oo		150.0	50.0	46.6	44.9		291.5		61 1	91.5
video Lottery rest Earnings	3.5	3.2	3.1	3.2	3.2	2.8	2.9	3.5	2.7	3.4	4.1		35.6	970.1 90.5	n u	54.9
Receipts from Municipalities	6.7	1.9	4.9	3.2	1.4	5.1		1.2	6.0	3.3	33.1		70.2			30.5
septs from Public Authonaes: Bond Proceeds	,		,	ı		1							,	,		
Cost Recovery Assessments Issuance Fees	- 20	- 22	- 1	5.9	• •		6.8	2.7	2.8	2.7	4.0		27.0	20.4		6.6
Non Bond Related	0.2	, '		4.6	0.3	4.3			2:1	2.0	2.4		25.3	16.0		9.3
Rentals	41.8	1.9	0.6	2.1	16.4	84.9			19.5	35.4	66.6		379.6	152.1	22	2.75
renues or state Departments. Administrative Recoveries	45.0	8.9	20.8	8.4	8.6				43.3	6.7	17.5		185.2	121.4	Ū	33.8
Commissions Commissions - Asset Conversion	0.5	0.6	9.0	9.0	0.4				14.4 178.0	0.8 0.02	0.7		20.6 228.0	19.2	+	100
Gifts, Grants and Donations	0.7	- 0.8	0.5	0.3	0.2				0.3	0.5	0.6		5.9	34.5		28.6
Indirect Cost Recoveries Patient/Client Care Reimbursement	214.3	- 178.7		204.1	- 195.8	0.1 204.2	- 210.0	4.5 219.1	- 214.9	- 196.3	0.6 168.2		5.2 2.226.7	2.703.7	(4)	5.2
Rebates	4.7	0.5		5.2	1.2				3.7	6.4	2.8		48.5	46.8	: '	12
Restitution and Settlements Student Loans	1.2	9.8 5.6	7.2	0.6	U.9 1.4				1.5	5.8 (0.6)	3.5		52.4 22.3	74.4		(22.0) (21.0)
All Other	64.6	37.1		59.9	51.4				48.5	67.1 0.6	46.3		559.2	357.7	2	115
	(75.7)	41.6	51.3		204.0				2.7	182.3	362.9		1,225.4	1,287.0		01:0 11:0
Total Miscellaneous Receipts	1,319.2	1,253.6	1,565.3		1,512.1	-			1,815.3	1,630.2	1,858.5	•	17,331.4	15,305.9	2,0	25.5
Federal Receipts	•				0.1		34.4	•	9.0	(14.4)	•		29.1	(12.9)		42.0
														_		

NYS Register/March 30, 2022

EXHIBIT G

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STATE OF NEW YORK SPECIAL REVENUE EUNDS - STATE STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions)

STATE OF NEW YORK SPECIAL REVENUE FUNDS - STATE STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amourts in millions)														11 Months Ended Enhristy 28	Echanisa - 28	EXHIBIT G
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2022	2021	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS: Local Accidance Grante																
Education	0.9	(2.9)	328.0		0.2	2.353.3	118.2	114.4	127.4	1.963.6	113.3		5.116.4	5.369.7	(253.3)	4.7%
Environment and Recreation	0.1	0.2	0.1	0.5	0.7	0.2		0.2	0.5	0.9	1.0		4,4	2.9	1.5	51.7%
General Government	10.1	18.0	5.7	16.7	25.1	9.9	2.1	36.4	14.7	17.0	19.5		175.2	150.2	25.0	16.6%
Public Health:										-						
Medicaid	386.9	461.4	421.9	441.1	463.5	472.2	496.0	642.4	427.1	466.7	564.2		5,243,4		204.4	4.1%
Other Public Health	37.3	47.2	187.0	55.8	130.7	118.0	76.2	85.8	131.7	61.7	72.2		1,003.6	821.0	182.6	22.2%
	0.0	0.02	20.2	C.I.I	8.77	7.17	2.0	0.00	70.1		0 f		8.062		4.101	10.5%
Public Vvertare Sumort and Baculata Rusinase	7.0	7.0	0.0 F	- 7	2.1	14.8	7 F 0 C	1.0	101	7.0	().()		- F	0.0	0.0 8 a t	83.3% 47 Det
Cupport and Negulate pushiess Transportation	1001	2.2	4 - 10 202 2	4-1 2000	1.0	9718	C 28C	517 B	13.1	1:02	 		1.10	2 033 0	13.0 RE1 7	0/ D- 74
Total Local Assistance Grants	654.1	954.0	1.258.1	826.8	1.055.2	3.261.6	0'966	1.429.3	1.504.5	2.606.9	874.9	.	15.421.4	14.488.5	932.9	6.4%
Departmental Operations:																
Personal Service	399.6	406.0	327.6	409.4	387.4	595.4	379.0	470.2	418.8	384.2	413.1		4,590.7	4,590.6	0.1	0.0%
Non-Personal Service	225.6	233.9	216.8	231.3	263.6	235.3	208.1	252.2	222.2	268.8	293.3		2,651.1	2,341.8	309.3	13.2%
General State Charges	59.8	63.4	76.6	118.0	66.0	88.9	62.8	134.4	184.9	62.4	58.7		975.9	793.9	182.0	22.9%
								ĺ								8,0.0
Total Disbursements	1,339.1	1,657.3	1,879.1	1,585.5	1,772.2	4,181.2	1,645.9	2,286.1	2,330.4	3,322.3	1,640.0	•	23,639.1	22,214.8	1,424.3	6.4%
Excess (Deficiency) of Receipts over Disbursements	366.7	(182.1)	246.9	72.5	(48.1)	(1,756.9)	206.1	(525.3)	53.7	370.7	411.8		(784.0)	(1,895.3)	1,111.3	58.6%
OTHER FINANCING SOURCES (USES): Transfers from Other Funds Transfers to Other Funds	263.5 (9.5)	151.0 (3.3)	908.3 (57.3)	593.2 (9.1)	109.4 (2.4)	183.0 (36.0)	119.8 (15.8)	205.5 3.2	155.7 (75.7)	53.8 (48.3)	35.9 (39.9)		2,779.1 (294.1)	2,506.4 (344.0)	272.7 (49.9)	10.9% -14.5%
Total Other Financing Sources (Uses)	254.0	147.7	851.0	584.1	107.0	147.0	104.0	208.7	80.0	5.5	(4:0)		2,485.0		322.6	14.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	620.7	(34.4)	1,097.9	656.6	58.9	(1,609.9)	310.1	(316.6)	133.7	376.2	407.8		1,701.0	267.1	1,433.9	536.8%
Ending Fund Balance	\$ 6,329.3	\$ 6,294.9	\$ 7,392.8	\$ 8,049.4	\$ 8,108.3	\$ 6,498.4	\$ 6,808.5	\$ 6,491.9	\$ 6,625.6	\$ 7,001.8	\$ 7,409.6	s	\$ 7,409.6	\$ 5,667.8	\$ 1,741.8	30.7%
					Manufacture Martin											

(*) November and December Mobile Sports collections represent one-time lisense fees paid by Mobile Sports Wagering vendors. November Mobile Sports collections temporarily deposited to Lottery were reclassed in December.

Financial Reports

(amounts in millions)																	
	2021			L		101018		110120			2022				11 Months L	11 Months Ended February 28 \$ Increase	% Increase/
Beginning Fund Balance	AFRIL \$ 4,960.7	- •	9:0	' ∞	N		\$ 18,591.7	\$ 19,124.2	\$ 19,344.1	\$ 19,356.3	\$ 20,578.1	1	MARCH	\$ 4,960.7	\$ 911.4	t \$ 4,049.3	- Decrease -444.3%
RECEIPTS:																	
Miscellaneous Receipts:																	
Abandoned Property. Abandoned Property		,	,	,			•		•	•				'	•	'	0.0%
Assessments: Rusiness	5	55	35.6	01	00	110	10	t.	7.8		1	74		719	67.8	41	609
Medical Care	· ·	3.	2	5 '	2 -	2 '	; '	<u>,</u> ,	2.			ţ.,				r	%0.0
Public Utilities			,				'		'					•	'	•	0.0
Other Fees Licenses and Dermits:						•	'			'	•	'		'	'		.0.0
Business/Professional			,		,	,	,	,	'		'				'		0.0
Civil			,	,	,	,	'	,	'	'	'	•			'	•	0.05
Criminal		,													'		0.0
Motor Vehicle			'			'			'		'			'	'	•	000
Fines, Penalties and Forfeitures	. 0	0.4	0.4	- 0.3	0.3	0.3	0.4	0.5	0.6	0.5	0.5	0.5		4.7	' ⁷		80.8%
Interest Earnings	0	0.6	0.5	0.7	0.8	0.9	0.8	0.8	1.0	1.0	1.4			10.2	15.0	Ĩ	
Receipts from Municipalities										'					1		
Receipts if on Proceeds			,	,	,	,	,	,	,	,	,	,			'	'	000
Cost Recovery Assessments			,			i		1	1	1					1		0.0
Issuance Fees			,		•	•	•	•	'	'	'	'		•	'	'	0.0%
Non Bond Related										'					1	•	0.0% %00
Kentals Bevenues of State Denartments:						·			'					•			.n.n
Administrative Recoveries							,		,	'	•	,		'			
Commissions			,	,		,	'	•	'	'	'	'		•	'		
Gifts, Grants and Donations							•		•		0.2	•		0.2			
Intuited Cost Recoveries Patient/Client Care Reimhursement																	
Rebates	9	6.6	7.4	7.0	7.1	6.8	7.0	7.0	6.7	7.6	7.3	7.9		78.4			
Restitution and Settlements			,		,	,	'	,	'	'	'						
Student Loans		' 0	• •	÷,	' (' 0	' 0	' 0	' 2	'	' 0			' r	' (• 3	%0.0
All Other Sales	· ·	Ŋ.	<u> </u>	3 .	ŗ,	n '	- - '	8. '			C			<u> </u>			
Tuition		,												•			
Total Miscellaneous Receipts	13.3		45.4	9.2	10.7	21.0	8.4	10.5	16.2	9.1	10.8	17.8	•	172.4	172.5	(0.1)	
Federal Receipts	7,158.4		18,222.9	7,375.0	5,735.7	5,788.5	7,398.1	7,039.1	5,695.5	10,043.9	5,570.5	5,299.4		85,327.0	69,463.0	15,864.0	22.8%
Total Receipts	2'121'2		18,268.3	7,384.2	5,746.4	5,809.5	7,406.5	7,049.6	5,711.7	10,053.0	5,581.3	5,317.2	•	85,499.4	69,635.5	15,863.9	22.8%

EXHIBIT G	% Increase/ Decrease		109.2%	0.0%	-87.1%	6 0%	16.0%	-8.4%	109.0%	37.7%	-20.9%	10.6%	-27.2%	55.9%	-26.1%	-58.6%	0.0%	9.4%		155.8%	%U U	-12.7%	-12.7%		232.5%	269.0%
d Eahrison 28	\$ Increase/ (Decrease)		3.033.4		(3,674.8)	7 360 1	964.5	(150.9)	3,600.0	2.6	(12.1)	6,131.8	(640.9)	842.6	(344.0)	(50 0)	(a:a-)	5.929.6		9,934.3		(254.1)	(254.1)		10,188.4	\$ 14,237.7
44 Months Ended Exhining 28	2021		2.776.8	1.0	4,218.2	30 801 7	6.015.0	1,798.1	3,303.2	6.9	58.0	57,978.9	2.355.2	1,506.2	1,317.4	102.2		63.259.9		6,375.6		(1,993.8)	(1,993.8)		4,381.8	\$ 5,293.2
	2022		5.810.2	1.0	543.4	42 17D 8	6.979.5	1,647.2	6,903.2	9.5	45.9	64,110.7	1.714.3	2,348.8	973.4	5 <i>0</i> 4	-	69.189.5		16,309.9		(1,739.7)	(1,739.7)		14,570.2	\$ 19,530.9
	MARCH																	.					•		•	\$
	FEBRUARY		596.4	0.1	4.2	3 330 6	679.3	37.4	608.5	0.6	4.6	5,261.7	53.4	178.7	33.7			5.527.5		(210.3)		(89.1)	(89.1)		(299.4)	\$ 19,530.9
	2022 JANUARY		327.6		1.7	3817.2	613.3	454.2	703.5	0.1	3.5	5,921.1	63.6	170.7	36.5			6.191.9		(610.6)		(137.2)	(137.2)		(747.8)	\$ 19,830.3
	DECEMBER		799.1	0.1	22.3	4 693 6	792.4	192.9	737.7	•	3.3	7,241.4	706.5	236.7	436.6			8.621.2		1,431.8		(210.0)	(210.0)		1,221.8	\$ 20,578.1
	NOVEMBER		401.8	0.2	5.1	3 674 7	526.6	60.5	569.3	2.0	5.9	5,246.1	89.2	227.3	50.7			5.613.3		98.4		(86.2)	(86.2)		12.2	\$ 19,356.3
	OCTOBER		644.4	'	1.7	4 760 G	584.0	138.3	716.9	0.7	3.8	6,350.4	54.7	236.5	27.3			6.668.9		380.7		(160.8)	(160.8)		219.9	\$ 19,344.1
	SEPTEMBER		351.2		16.1	3 710 6	746.3	184.0	1,389.4	0.1	5.7	6,403.4	70.7	205.0	31.1			6.710.2		696.3		(163.8)	(163.8)		532.5	\$ 19,124.2
	AUGUST		684.4	0.1	10.7	3 080 7	627.4	62.4	690.8	3.2	5.7	6,065.4	52.5	215.1	40.1			6.373.1		(563.6)		(76.3)	(76.3)		(639.9)	\$ 18,591.7
	JULY		384.0	0.1	438.5	3 267 3	652.2	197.2	632.1	2.2	3.4	5,572.0	64.1	151.1	108.6			5.895.8		(149.4)		(342.2)	(342.2)		(491.6)	\$ 19,231.6
	JUNE		867.4	'	10.0	4 328 8	726.7	128.4	496.1	0.4	4.1	6,561.9	457.7	465.1	156.4	473	2	7,683.4		(299.2)		(185.4)	(185.4)		(484.6)	\$ 19,723.2
	MAY		481.1	0.1	21.9	3 740 5	509.7	88.0	200.4	•	3.5	5,045.2	50.9	105.7	27.0			5.228.8	·	13,039.5		(132.3)	(132.3)		12,907.2	\$ 20,207.8
	2021 APRIL		272.8	0.3	11.2	3 371 2	521.6	103.9	158.5	0.2	2.4	4,442.1	51.0	156.9	25.4			4.675.4		2,496.3	'	(156.4)	(156.4)		2,339.9	\$ 7,300.6
STATE OF NEW YORK SPECIAL REVENUE FUNDS - FEDERAL STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions)		DISBURSEMENTS:	Local Assistance Grants: Education	Environment and Recreation	General Government	Public Health: Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Local Assistance Grants	Departmental Operations: Personal Service	Non-Personal Service	General State Charges	Uebt Service, Including Payments on Financing Arreements	Capital Projects	Total Disbursements		Excess (Deficiency) of Receipts over Disbursements	OTHER FINANCING SOURCES (USES): Transfers from Other Funds	Transfers to Other Funds	Total Other Financing Sources (Uses)	Excess (Deficiency) of Receipts and Other Financing Sources over	Disbursements and Other Financing Uses	Ending Fund Balance

EXHIBIT H	% Increase/ Decrease 2.5%	27.0%	85.8% 85.8%	100.0% 100.0%	89.7% 320.0% 90.2 %	56.5%	%0 ^{.0}	0.0%	0.0 %0.0	%0.0 %0.0	%0.0	-100.0% -19.4%	-100.0%	13.6% 100.0%	0.0%	20%	55.9%	-82.9%	-35.9%	-36.6%	67.0%	-27.9% 80.0%	-90.1%	-9.9%	-9.8%	
	d February 28 \$ Increase/ (Decrease) \$ 1.6	6,964.8	5,158.5 5,158.5	5,613.0 5,613.0	671.9 4.8 676.7	18,413.0						(0.4) (0.6)	(0.4)	48.3 0.1	47.0	3.7	18,463.2	(40.8)	(1,244.9)	(1,285.7)	19,748.9	(593.7) 19,832.2	(20,425.9)	(677.0)	\$ (675.4)	
	11 Months Ended February 28 2021 \$ Increase/ 2021 (Decrease) \$ 63.4 \$ 1.6	25,817.5	6,009.5 6,009.5		749.1 1.5 750.6	32,577.6		i				0.4 3.1	0.4	356.4	360.3	64.6	33,002.5	49.2	3,468.4	3,517.6	29,484.9	2,130.8 (24,794.3)	(22,663.5)	6,821.4	\$ 6,884.8	
	2022	32,782.3	11,168.0 11,168.0	5,613.0 5,613.0	1,421.0 6.3 1,427.3	50,990.6						2.5		404.7 0.1	407.3	67.8	51,465.7	8.4	2,223.5	2,231.9	49,233.8	1,537.1 (44,626.5)	(43,089.4)	6,144.4	\$ 6,209.4	
	MARCH		.		'	ı											.			'			'		' \$	
	FEBRUARY \$ 6,057.2	3,046.3	863.8 863.8	82.7 82.7	139.7 0.2 139.9	4,132.7		ı				1 1		40.5	40.5	34.6	4,207.8	5.0	773.3	778.3	3,429.5	66.5 (3,343.8)	(3,277.3)	152.2	\$ 6,209.4	
	2022 JANUARY \$ 343.2	5,216.5	1,039.9 1,039.9	448.6 448.6	167.2 2.5 169.7	6,874.7	,							48.6	48.6	- - 	6,924.8	, ,	7.6	7.6	6,917.2	287.6 (1,490.8)	(1,203.2)	5,714.0	\$ 6,057.2	
	DECEMBER \$ 501.2	3,143.2	1,232.4 1,232.4	5,081.7 5,081.7	134.8 2.5 137.3	9,594.6	,	ı				- 1.0		9.2	6.9	- - -	9,605.4	0.3	209.2	209.5	9,395.9	157.6 (9,711.5)	(9,553.9)	(158.0)	\$ 343.2	
	NOVEMBER \$ 314.6	1,790.5	969.0 969.0		119.4 0.1 119.5	2,879.0		1				- 0.3		66.1	- 66.4		2,945.4	2.2	26.0	28.2	2,917.2	60.5 (2,791.1)	(2,730.6)	186.6	\$ 501.2	
	OCTOBER \$ 184.2	1,688.2	942.8 942.8		132.1 0.2 132.3	2,763.3	,					1 1		20.4	20.4		2,783.7	0.1	9.2	9.3	2,774.4	197.5 (2,841.5)	(2,644.0)	130.4	\$ 314.6	29
	SEPTEMBER \$ 982.1	3,224.9	1,222.7 1,222.7		133.3 0.1 133.4	4,581.0	,	ı				- 1.7		41.4	43.1	, 	4,624.1	22	742.2	744.4	3,879.7	94.6 (4,772.2)	(4,677.6)	(797.9)	\$ 184.2	
	AUGUST \$ 546.1	1,900.7	938.1 938.1		140.0 0.2 140.2	2,979.0	,	1				1 1		14.1 0.1	14.2	28.7	3,021.9	7.3	307.7	315.0	2,706.9	51.6 (2,322.5)	(2,270.9)	436.0	\$ 982.1	
	JULY \$ 237.7	1,682.3	971.5 971.5		132.0 0.2 132.2	2,786.0	1	I				0.1		34.6 -	34.7	- 	2,822.2	14.7	7.2	21.9	2,800.3	185.5 (2,677.4)	(2,491.9)	308.4	\$ 546.1	
	JUNE \$ 302.9	2,910.3	1,207.1 1,207.1		115.1 0.1 115.2	4,232.6								47.8	47.8		4,280.4	(25.8)	(21.8)	(47.6)	4,328.0	133.8 (4,527.0)	(4,393.2)	(65.2)	\$ 237.7	
	MAY \$ 206.5	4,916.5	885.3 885.3		110.0 0.1 110.1	5,911.9	,	1				- 0.3		38.8	39.1		5,951.0	52	40.5	42.7	5,908.3	6.0 (5,817.9)	(5,811.9)	96.4	\$ 302.9	
	2021 APRIL \$ 65.0	3,262.9	895.4 895.4		97.4 0.1 97.5	4,255.8						1 1		43.2	43.2		4,299.0	0.2	122.4	122.6	4,176.4	295.9 (4,330.8)	(4,034.9)	141.5	\$ 206.5	
STATE OF NEW YORK DEBT SERVICE FUNDS STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions)	Beginning Fund Balance	RECEIPTS: Taxes: Personal Income Tax	Consumption/Use Laxes: Sales and Use Total Consumption/Use Taxes	pusiness rakes. Pass-Through Entity Total Business Taxes	Other Taxes: Real Estate Transfer Employer Compensation Expense Tax Total Other Taxes	Total Taxes	Miscellaneous Receipts: Assessments: Medical Carte	Fees, Licenses and Permits: Alcohol Beverage Control Licensing	Business/Professional Civil	Criminal Motor Vehicle	Recreational/Consumer	Interest Earnings Receipts from Municipalities	Receipts notin rubit Authornes. Bond Proceeds Partels	Revenues of State Departments: Patient/Client Care Reimbursement All Other	Sales Total Miscellaneous Receints	Federal Receipts	Total Receipts	DISBURSEMENTS: Departmental Operations: Non-Personal Service	Debt Service, Including Payments on Financing Agreements	Total Disbursements	Excess (Deficiency) of Receipts over Disbursements	OTHER FINANCING SOURCES (USES): Transfers from Other Funds Transfers to Other Funds	Total Other Financing Sources (Uses)	Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	Ending Fund Balance	

	1000									000			Tranefar			C Increaced	0/ Increased
	APRIL	MAY	JUNE	JULY A	AUGUST S	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	Eliminations (*)	2022	2021	(Decrease)	Decrease
Beginning Fund Balance	S (1,144.0)	\$ (1,121.8)	\$ (1,346.9)	\$ (1,561.7) \$	\$ (1,706.2) \$	\$ (1,798.6)	\$ (2,189.7)	\$ (1,558.0)	\$ (1,566.8)	\$ (1,663.3)	\$ (1,614.3)			\$ (1,144.0)	\$ (1,034.9)	\$ (109.1)	-10.5%
RECEIPTS: Taxes:																	
Consumption/Use Taxes:	Ċ		17.5	č	(10)	, ar		10			6			9 09 9	0 17	0 80	64 10
Motor Fuel	26.9	31.7	36.7	35.0	36.4	37.6	34.7	23.4	37.5	23.9	37.9			361.7	307.2	54.5	17.7%
Highway Use	14.5	10.5	11.6	12.1	13.3	10.6	12.4	12.9	12.6	11.1	10.3			131.9	125.1	6.8	5.4%
Total Consumption/Use Taxes	43.5	42.2	65.8	47.2	49.6	74.5	47.1	36.4	72.4	35.0	48.5	•		562.2	474.1	88.1	18.6%
Comoration Franchise																	6U U
Corporation and Utilities	3.1		1,4	(1.5)	(0.1)	1.2	0.2		1.6	(0.1)				5.8	6.9	(1.1)	-15.9%
Petroleum Business	38.2	50.4	52.0	55.3	53.6	43.7	51.8	51.5	43.9	48.5				533.6	482.8	50.8	10.5%
Total Business Taxes	41.3	50.4	53.4	53.8	53.5	44.9	52.0	51.5	45.5	48.4				539.4	489.7	49.7	10.1%
Other Taxes: Bool Ectato Transfer			011	0	110	0.01	0	017	011	0 11	0 7			0 2 0 1	0 2 0 1		700.0
Total Other Taxes			11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	11.9			107.2	107.2		0.0%
Total Taxes	84.8	92.6	131.1	112.9	115.0	131.4	111.0	99.8	129.8	95.3	105.1			1,208.8	1,071.0	137.8	12.9%
Miscellaneous Receipts:																	
Bottle Bill		•	23.0								•			23.0	23.0		%0.0
Assessments. Business	10.0	7.6	6.0	6.4	6.1	6.0	6.4	6.0	6.2	5.9	5.7		,	72.3	73.5	(1.2)	-1.6%
Fees, Licenses and Permits:	:	!			:	;		!			:						
Dusiriess/Froressional Civil	<u>;</u> ,	2 -	- ' '	<u>+</u> ,	C '	c. ,	0. '	2 '	י אַ	5 '	<u>-</u> ,			C:DC	0.02	7:0 -	0.0
Motor Vehicle	79.2	69.1	60.6	66.0	59.0	59.5	55.3	52.1	52.1	53.0	50.8		•	656.7	606.7	50.0	8.2%
Recreational/Consumer	1.5	2.9	1.5	0.4	4.0	10.2	5.0	2.1	3.7	0.1	' 0			27.8	20.2	7.6	37.69
Fines, Penalties and Forteltures Interest Farnings	c :7	9.0 1 U	8.7	7.N	55	4	2.1	4	c.7	0.1	8.0			8.02	81	(1.5)	-83.39
Receipts from Municipalities					0.1			•		0.2	•		•	0.3	6.0	(0.6)	-66.7%
Receipts from Public Authorities:	4 01	0.5	0.0	0 200	9 24	1 000	0.020	9	0 002	C 1 1 1	1 003			7 965 4	3070 7	(1 102 I)	00 80
build Floceeds Issuance Fees	+:o/	с	0.°	0.162	0.12	- 220.1	7.9/8	P: -	 -	c. 1 -				+.000,2	C.24C,4	(1.704,1)	%7.#?-
Non Bond Related	0.5	2.5	0.8				1.0		5.4	1.5				11.7	18.2	(6.5)	-35.7%
Rentals	3.3	0.8	1.4	1.6	1.2	1.5	1.9	3.2	5.0	0.6	3.3		1	23.8	16.9	6.9	40.89
Kevenues of State Departments: Administrative Becoveries		,	1	,		1	,		1								70 U
Gifts Grants and Donations	18	13	5.9	0.4		12	53	0.4	31	50	25			23.9	11	12.8	115.3
Indirect Cost Recoveries	•	1	1	,	1	12.7	5.1	17.0	5.7	6.8	14.8			62.1	1	62.1	100.0%
Rebates	•	1	ı	0.1	(0.1)		0.1	'	'					0.1	0.1	•	0.0
Restitution and Settlements	0.3	1.3	1.6	5.9	1.9	4.1	= :	7.9	10	1.0	3.0		'	28.2	12.0	16.2	135.0%
All Other Sales	2.4 0.1	י <u>מ</u>		2,	0.1	4.4	6.3		<u>?</u> ,	4	7.c				24.2	6.3	2.100.0%
Total Miscellaneous Receipts	181.3	90.5	117.4	322.2	114.5	436.7	1,073.9	94.5	670.9	192.9	587.8		.	3,882.6	5,199.2	(1,316.6)	-25.3%
Federal Receipts	5.6	23.3	110.1	143.2	169.4	139.9	129.3	314.2	351.3	178.0	225.0		•	1,789.3	1,820.0	(30.7)	-1.7%
Total Receipts	271.7	206.4	358.6	578.3	398.9	708.0	1,314.2	508.5	1,152.0	466.2	917.9			6,880.7	8,090.2	(1,209.5)	-15.0%

EXHIBIT I

STATE OF NEW YORK CAPITAL PROJECTS FUNDS - COMBINED STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions)

													Intra-Fund		11 Months Ended February 28	d February 28	
	2021 APRIL	МАҮ	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	Transfer Eliminations (*)	2022	2021	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS: Local Assistance Grants:																	
Education	13.5	25.0	45.5	13.0	10.4	8.3	15.2	0.9	1.3	4.7	6.3			144.1	118.9	25.2	21.2%
Environment and Recreation	29.0	7.6	15.7	15.3	31.8	15.6	15.9	11.0	138.7	11.3	11.4			303.3	151.5	151.8	100.2%
General Government	12.7	41.7	37.9	22.2	30.8	59.9	28.2	35.8	111.7	19.2	26.4		•	426.5	516.2	(89.7)	-17.4%
Public Health:																	
Medicaid	•					•	•	'	•	•				•	•	•	0.0%
Other Public Health	45.1	29.7	80.9	41.7	35.0	36.8	41.5	62.2	65.0	83.4	127.2			648.5	476.0	172.5	36.2%
Public Safety	0.4	1.8	28.3	5.4	2.2	0.7	14.2	2.6	33.3	6.4	2.1			97.4	51.2	46.2	90.2%
Public Welfare	28.2	55.8	124.6	54.6	12.5	39.4	38.3	20.7	141.8	22	67.2			585.3	503.8	81.5	16.2%
Support and Regulate Business	21.2	54.3	147.2	39.5	33.2	104.8	39.5	24.9	7.8.7	24.3	10.5			578.1	546.4	31.7	5.8%
Transportation	181.7	37.7	269.0	267.1	213.5	346.2	198.1	213.1	500.2	50.5	229.3			2,506.4	2,204.9	301.5	13.7%
Total Local Assistance Grants	331.8	253.6	749.1	458.8	369.4	611.7	390.9	371.2	1,070.7	202.0	480.4	•	.	5,289.6	4,568.9	720.7	15.8%
Departmental Operations:																	
Personal Service																	0.0%
Non-Personal Service	•	'			,	•	'	'	•	•	•		•		•	•	0.0%
General State Charges	•	•	•			•	•	•	•	•				•	•	•	0.0%
Capital Projects	398.2	514.1	631.4	554.2	699.6	710.1	601.5	744.7	649.8	558.8	577.7		1	6,640.1	6,388.0	252.1	3.9%
Total Disbursements	730.0	7.67.7	1.380.5	1.013.0	1.069.0	1.321.8	992.4	1.115.9	1.720.5	760.8	1.058.1			11.929.7	10.956.9	972.8	8.9%
Excess (Deficiency) of Receipts over Disbursements	(458.3)	(561.3)	(1,021.9)	(434.7)	(670.1)	(613.8)	321.8	(607.4)	(568.5)	(294.6)	(140.2)			(5,049.0)	(2,866.7)	(2,182.3)	-76.1%
OTHER FINANCING SOURCES (USES): Bond and Note Proceeds (net)					'		'			'				1			
I ransfers from Other Funds Transfers to Other Funds	488.9 (8.4)	344.3 (8.1)	830.9 (23.8)	(8.7)	6.99 (8.9)	434.1 (211.4)	320.4 (10.5)	608.3 (9.7)	516.5 (44.5)	352.4 (8.8)	16.1 (4.9)			4,797.4 (347.7)	3,2/3.3 (532.6)	1,524.1 (184.9)	46.6% -34.7%
Total Other Financing Sources (Uses)	480.5	336.2	807.1	290.2	277.7	222.7	309.9	598.6	472.0	343.6	11.2	•	•	4,449.7	2,740.7	1,709.0	62.4%
Excess (Deficiency) of Receipts and Other Financing Sources over		11 200	10 PPC		11 000	TE FOCT	1 100	69	13 900	00	10 0011			10 0037	10 9017	10 0211	975 <i>6</i> 0
	7777	(1.622)	(214.0)	(c.#+1)	(92:4)	(1.1.60)	031.6	(0.0)	(c.oc)	49.0	10:671)	•	·	(creec)	(0.021)	(6.6.1+)	9/ 0.0 /0-
Ending Fund Balance	\$ (1,121.8)	\$ (1,346.9)	\$ (1,561.7)	\$ (1,706.2)	\$ (1,798.6)	\$ (2,189.7)	\$ (1,558.0)	\$ (1,566.8)	\$ (1,663.3)	\$ (1,614.3)	\$ (1,743.3)	S	۲	\$ (1,743.3)	\$ (1,160.9)	\$ (582.4)	-50.2%
(2) http://www.com/article.com/article.com/article/artic	- Charles - Carlos -	Presented of the second	Sudarel Frinds														

(") Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

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STATE OF NEW YORK CAPITAL PROJECTS FUNDS - COMBINED STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions)

														11 Months En	11 Months Ended February 28	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2022	2021	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (563.7)	\$ (494.1)	\$ (618.8)	\$ (705.9)	\$ (804.0)	\$ (893.1)	\$ (1,184.5)	\$ (459.6)	\$ (606.8)	\$ (730.7)	\$ (729.2)		\$ (563.7)	\$ (472.2)	\$ (91.5)	-19.4%
RECEIPTS: Taxes:																
Consumption/Use Taxes	č		1	č		0.00		2			0		0.00			101 10
Auto Kental Motor Fuel	26.9	31.7	6.71 36.7	0.1 35.0	(0.1) 36.4	26.3 37.6	34.7	23.4	37.5	23.9	37.9		68.6 361.7	307.2	26.8	64.1% 17.7%
Highway Use	14.5	10.5	11.6	12.1	13.3	10.6	12.4	12.9	12.6	11.1	10.3		131.9	125.1	6.8	5.4%
Total Consumption/Use Taxes	43.5	42.2	65.8	47.2	49.6	74.5	47.1	36.4	72.4	35.0	48.5	.	562.2	474.1	88.1	18.6%
Business Taxes																20 C
Colputation and Hilitico	- °			11.51	÷ ę	- ÷			- -	- ÷ ;						15.00%
Corporation and Curries Petroleum Business	38.2	50.4	52.0	(1.J) 56.3	(0.1) 53.6	43.7	51.8	51.5	43.9	(0.1) 48.5	44.7		533.6	482.8	50.8	10.5%
Total Business Taxes	41.3	50.4	53.4	53.8	53.5	44.9	52.0	51.5	45.5	48.4	44.7		539.4	489.7	49.7	10.1%
Other Taxes Real Estate Transfer			11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	11.9		107.2	107.2	I	0.0%
Total Other Taxes			11.9	11.9	11.9	12.0	11.9	11.9	11.9	11.9	11.9	.	107.2	107.2		0.0%
Total Taxes	84.8	92.6	131.1	112.9	115.0	131.4	111.0	99.8	129.8	95.3	105.1		1,208.8	1,071.0	137.8	12.9%
Miscellaneous Receipts: Abandoned Property:																
Bottle Bill Accessments		•	23.0			•	•	•		•			23.0	23.0	•	0.0%
Assessments: Business	10.0	7.6	6.0	6.4	6.1	6.0	6.4	6.0	6.2	5.9	5.7		72.3	73.5	(1.2)	-1.6%
Fees, Licenses and Permits: Business/Brothering	6	1	ć	7	4	76	с с	L F	0	č	c 7		30.6	76 o	10	12 002
Civil	<u>5</u> '	2 -	- ·	5.	C:0 '	<u>,</u>	р -	2 •	<u>.</u> .		2				10	0.0%
Motor Vehicle	79.2	69.1	60.6	66.0	59.0	59.5	55.3	52.1	52.1	53.0	50.8		656.7	606.7	50.0	8.2%
Recreational/Consumer Fines Penalties and Forfaitures	1.5 7.5	2.0	1.5 8 0	4.0	0.4 4 t	10.2	5.0	21	3.7	0.1	, «		27.8 20.8	20.2	7.6	37.6% -10%
Interest Earnings	0.4	0.0	Di '	2 - 1		<u>.</u>		<u>!</u> ,	2 -	0.1			0.03	0,00	(1.5)	-83.3%
Receipts from Municipalities		ı	ı	ı	0.1	ı	'		ı	0.2			0.3	0.0	(0.0)	-66.7%
Receipts from Public Authorities: Bond Proceeds	78.4	0.5	3.0	237.0	27.6	328.1	979.2	0.6	583.0	117.3	500.7		2.855.4	4.342.5	(1.487.1)	-34.2%
Issuance Fees			1	ı	1	1		•	1	•				1	-	0.0%
Non Bond Related	0.5	2.5	0.8			• 3	1.0	· •	5.4	1.5	· •		11.7	18.2	(6.5)	-35.7%
Revenues of State Departments:	<u>5.</u> 5	0.0	4.	0.T	7.1	C.	<u>.</u>	3.2	D.C	0.0	0°0		23.8	1.01	1.1	47.8%
Administrative Recoveries			,	,	,					,			,	,		0.0%
Gifts, Grants and Donations	1.8	1.3	2.9	0.4		1.2	5.3	0.4	3.1	5.0	2.5		23.9	11.1	12.8	115.3%
Indirect Cost Recoveries	•			•]	• •	12.8	5.1	17.2	5.8	6.7	14.8		62.4			100.0%
Rebates	- ° °	' +	' '	L.D.	(F.n)	• :	L. 1		' ,	' č	' c		L.D.	L Ç		0.U%
All Other	2.4	<u>;</u> 0;	11.7	0.1	6.9 6.5	4.4		21	5.1 C.1	1.4	5.2		39.1	24.2		61.6%
Sales	0.1	,	'	1	0.1	0.1	6.3	'	'	,	•		6.6	0.2		3,200.0%
Total Miscellaneous Receipts	181.3	90.5	117.4	322.2	114.5	436.8	1,073.9	94.7	671.0	192.8	587.8	•	3,882.9	5,198.3	Ξ	-25.3%
Federal Receipts	•	•	•	2.3	2.2	14.8	(8.1)	0.6	•	0.2	(10.0)		2.0	2.1	(0.1)	-4.8%
Total Receipts	266.1	183.1	248.5	437.4	231.7	583.0	1.176.8	195.1	800.8	288.3	682.9		5.093.7	6.271.4	(1.177.7)	-18.8%
																:

EXHIBIT I

STATE OF NEW YORK CAPITAL PROJECTS FINDS - STATE STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions)

														11 Months End	11 Months Ended February 28	
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2022	2021	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants: Education	135	75.0	45.5	13.0	10.4	6 8 8 3	15.2	90	13	4.7	63		144 1	118.9	75.7	21.2%
Environment and Recreation	0.01	7.6	15.7	15.3	31.8	15.6	15.9	110	37.3	113	11.4		196.9	1515	45.4	30.0%
General Government	12.7	41.7	37.9	22	30.8	59.9	28.2	35.8	111.7	19.2	26.4		426.5	516.2	(89.7)	-17.4%
Public Health:																
Medicaid		•			•		•	•								0.0%
Other Public Health	45.1	29.7	77.2	41.7	35.0	36.2	41.5	62.2	65.0	83.4	51.4		568.4	473.9	94.5	19.9%
Public Safety	0.4	1.8	7.1	5.4	2.2	0.7	3.5	2.6	4.3	6.4	2.1		36.5	46.8	(10.3)	-22.0%
Public Welfare	28.2	55.8	124.6	54.6	12.5	39.4	38.3	20.7	141.8	2.2	67.2		585.3	503.8	81.5	16.2%
Support and Regulate Business	21.2	54.3	147.2	39.5	33.2	104.8	39.5	24.9	78.7	24.3	10.5		578.1	546.4	31.7	5.8%
Transportation	175.8	21.0	224.4	215.4	184.2	285.1	165.0	179.6	458.7	4.9	179.0		2,093.1	1,705.8	387.3	22.7%
Total Local Assistance Grants	325.9	236.9	679.6	407.1	340.1	550.0	347.1	337.7	893.8	156.4	354.3	.	4,628.9	4,063.3	565.6	13.9%
Departmental Operations:																
Personal Service	•	•	•	•	'	•	•	•	•	•	•		•	•	•	0.0%
Non-Personal Service		•						•		•						0.0%
General State Charges	•	•	•	•	•	•	•	•		•				•	•	0.0%
Capital Projects	351.1	407.1	463.4	418.6	558.4	547.1	414.7	603.2	500.9	474.0	466.0		5,204.5	5,341.5	(137.0)	-2.6%
Total Disbursements	677.0	644.0	1,143.0	825.7	898.5	1,097.1	761.8	940.9	1,394.7	630.4	820.3		9,833.4	9,404.8	428.6	4.6%
Excess (Deficiency) of Receipts over Disbursements	(410.9)	(460.9)	(894.5)	(388.3)	(666.8)	(514.1)	415.0	(745.8)	(593.9)	(342.1)	(137.4)		(4,739.7)	(3,133.4)	(1,606.3)	-51.3%
			4	farma d	for a state								1	for an end of		
OTHER FINANCING SOURCES (USES): Bond and Note Proceeds (net)																0.0%
Transfers from Other Funds Transfers to Other Funds	488.9 (8.4)	344.3 (8.1)	830.9 (23.5)	298.9 (8.7)	586.6 (8.9)	434.1 (211.4)	320.4 (10.5)	608.3 (9.7)	514.4 (44.4)	352.4 (8.8)	16.1 (4.9)		4,795.3 (347.3)	3,585.8 (532.6)	1,209.5 (185.3)	33.7% -34.8%
Total Other Financing Sources (Uses)	480.5	336.2	807.4	290.2	577.7	222.7	309.9	598.6	470.0	343.6	11.2	•	4,448.0	3,053.2	1,394.8	45.7%
Excess (Deficiency) of Receipts and																
Other Financing Sources over Disbursements and Other Financing Uses	69.6	(124.7)	(87.1)	(98.1)	(89.1)	(291.4)	724.9	(147.2)	(123.9)	1.5	(126.2)		(291.7)	(80.2)	(211.5)	-263.7%
Ending Fund Balance	\$ (494.1)	\$ (618.8)	\$ (705.9)	\$ (804.0)	\$ (893.1)	\$ (1,184.5)	\$ (459.6)	\$ (606.8)	\$ (730.7)	\$ (729.2)	\$ (855.4)	۲	\$ (855.4)	\$ (552.4)	\$ (303.0)	-54.9%

EXHIBIT I

STATE OF NEW YORK CAPITAL PROJECTS FINDS - STATE STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions)

2021									2022				11 Months Er	11 Months Ended February 28 \$ Increase/	% Increase
APRIL \$ (580.3)	(527.7) S	JUNE \$ (728.1)	JULY \$ (855.8)	AUGUST \$ (902.2)	SEPTEMBER \$ (905.5)	OCTOBER \$ (1,005.2)	NOVEMBER \$ (1,098.4)	DECEMBER \$ (960.0)	JANUARY F \$ (932.6)	FEBRUARY \$ (885.1)	MARCH	2022 \$ (580.3)	2021 \$ (562.7)	(Decrease) \$ (17.6)	Decrease -3.1%
										,					0.0%
I			T				ı	ī		ī		1	T		0.0%
		•				•									0.0
															0.0
•	•	•	•	•				•					•	1	0.0
															%0.0 %0.0
															0.0
															0.0% 0.0%
													- 0	- 0	0.0
													5		
															0.0% 0.0%
					(0.1) -		(0.2)	(0.1)	- 1.1			(0.3)		(0.3)	-100.0
								ı		·			' ?		0.0
Total Miscellaneous Receipts	· ·	(0.1)		(0.2)	(0.1)	0.1	· ·	.	(0.3)	0.0	(1.2)	-100.0%
5.6	23.3	110.1	140.9	167.2	125.1	137.4	313.6	351.3	177.8	235.0		1,787.3	1,817.9	(30.6)	-1.7%
5.6	23.3	110.1	140.9	167.2	125.0	137.4	313.4	351.2	177.9	235.0		1,787.0	1,818.8	(31.8)	-1.7%
								- 106.4				- 106.4		- 106.4	0.0%
														•	0.0
	•	- 6			- o					-			' č		0.0%
		21.2			· ·	10.7		29.0		-		6.09	4.4	56.5	1,284.1
															0.0
5.9	16.7	44.6 69.5	51.7	29.3	61.1 61.7	33.1	33.5	41.5 176 a	45.6 45.6	50.3		413.3 660.7	499.1 505.6	(85.8)	-17.2%
															d
															000
47.1	- 107.0	- 168.0	- 135.6	- 141.2	- 163.0	- 186.8	- 141.5	- 148.9	- 84.8	- 111.7		1,435.6	- 1.046.5	- 389.1	0.0% 37.2%
53.0	123.7	237.5	187.3	170.5	224.7	230.6	175.0	325.8	130.4	237.8	.	2,096.3	1,552.1		35.1%
(47.4)	(100.4)	(127.4)	(46.4)	(3.3)	(99.7)	(93.2)	138.4	25.4	47.5	(2.8)		(309.3)	266.7	(576.0)	-216.0%
OTHER FINANCING SOURCES (USES): Transfers from Other Funds						'		21				2.1		2.1	100.0%
'	' 	(0.3)	'	'	' 		'	(1.0)	'	'		(0.4)	(312.5)		-88-
Total Other Financing Sources (Uses)		(0.3)	•	•	•	•	•	2.0			•	1.7	(312.5)	314.2	100.5%
xcess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses (47.4)	(100.4)	(127.7)	(46.4)	(3.3)	(99.7)	(93.2)	138.4	27.4	47.5	(2.8)		(307.6)	(45.8)	(261.8)	-571.6%
\$ 1627.71	(F 00L) e														

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STATE OF NEW YORK ENTERPRISE FUNDS STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022	
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2021									2022				11 Months End	11 Months Ended February 28 \$ Increase/	% Increase/
APRIL MAY JUNE \$ 328.0 \$ 330.9 \$ 267.0	ا¢ ا	1-	JULY \$ 283.6	AUGUST \$ 287.1	SEPTEMBER \$ 230.7	0CT0BER 1 \$ 269.1	\$ 293.8	DECEMBER \$ 265.1	S 279.2	FEBRUARY \$ 316.6	MARCH	2022 \$ 328.0	2021 \$ 29.7	(Decrease) \$ 298.3	Decrease 1,004.4%
261.8 200.8 211.8 4,691.4 4,264.1 5,008.2 218.5 313.6 390.8		ا ۔ ا	257.9 3,973.1 315.0	195.1 4,570.9 350.5	307.7 1,186.4 239.4	253.8 111.2 196.2	196.9 50.0 215.9	317.6 45.1 205.7	245.7 28.2 256.6	203.3 18.5 215.9		2,652.4 23,947.1 2,918.1	61.7 50,905.6 14,638.9	2,590.7 (26,958.5) (11,720.8)	4,198.9% -53.0% -80.1%
5,171.7 4,778.5 5,610.8		ا اس	4,546.0	5,116.5	1,733.5	561.2	462.8	568.4	530.5	437.7	'	29,517.6	65,606.2	(36,088.6)	-55.0%
128.3 126.8 112.0 22.1 32.8 112.0 54.3 58.7 50.8 4.967.1 4,624.0 5,396.3	112.0 35.1 50.8 5,396.3		187.2 21.1 47.9	113.1 40.7 92.6 4,926.5	131.0 91.7 51.0 1,421.4	149.7 21.3 58.5 307.0	132.5 37.4 54.9 266.7	188.2 28.5 87.6 250.0	112.0 37.2 59.1 284.8	129.5 29.5 57.3 233.9		1,510.3 397.5 672.7 26,968.0	11.4 47.6 1.6 65,535.5	1,498.9 349.9 671.1 (38.567.5)	13, 148, 2% 735, 1% 41, 943, 7% -58, 8%
5,171.8 4,842.4 5,594.2 4,5	5,594.2		4,546.5	5,172.9	1,695.1	536.5	491.5	554.3	493.1	450.2		29,548.5	65,596.1	(36,047.6)	-55.0%
(0.1) (63.9) 16.6		,	(0.5)	(56.4)	38.4	24.7	(28.7)	14.1	37.4	(12.5)		(30.9)	10.1	(41.0)	-405.9%
3.0	· ·		0									7.0	3.0	4.0	133.3% 0.0%
3.0	-		4.0	•	·	·	·	•	·		•	7.0	3.0	4.0	133.3%
2.9 (63.9) 16.6			3.5	(56.4)	38.4	24.7	(28.7)	14.1	37.4	(12.5)		(23.9)	13.1	(37.0)	-282.4%
\$ 330.9 \$ 267.0 \$ 283.6 \$	\$ 283.6		287.1	\$ 230.7	\$ 269.1	\$ 293.8	\$ 265.1	\$ 279.2	\$ 316.6	\$ 304.1	' \$	\$ 304.1	\$ 42.8	\$ 261.3	610.5%

EXHIBIT J

ЕХНІВІТ К	- 28 se/ % Increase se)Decrease	0) -22.2%	0 34.2%	0 34.2%	6) -4.5% 9 2.9% 1	4 1.2%	6 55.8%	8 5.0% 8 52.9%	0 3.3%	6 <u>98.9%</u>	6 13.8%
	d February 28 \$ Increase/ (Decrease)	\$ (66.0)	129.0	129.0	(0) (1) (1) (1) (1) (1) (1) (1) (1) (1) (1	7.4	121.6	4.8 1.8	3.0	124.6	\$ 58.6
	11 Months Ended February 28 \$ Increase/ 2021 (Decrease)	\$ (297.5)	377.3	377.3	123.7 415.2 56.5	595.4	(218.1)	95.5 (3.4)	92.1	(126.0)	\$ (423.5)
	2022	\$ (363.5)	506.3	506.3	118.1 427.1 57.6	602.8	(96.5)	100.3 (5.2)	95.1	(1.4)	\$ (364.9)
	MARCH										\$
	FEBRUARY	\$ (398.6)	91.1	91.1	10.4 5.0	62.1	29.0	4.9 (0.2)	4.7	33.7	\$ (364.9)
	2022 JANUARY	\$ (378.7)	40.2	40.2	9.9 48.6 4.6	63.1	(22.9)	3.0	3.0	(19.9)	\$ (398.6)
	DECEMBER	\$ (369.0)	47.4	47.4	10.5 44.6 7.1	62.2	(14.8)	5.6 (0.5)	5.1	(2.7)	\$ (378.7)
	NOVEMBER	\$ (362.1)	51.6	51.6	16.3 36.4 7.8	60.5	(8.9)	2.0	2.0	(6.9)	\$ (369.0)
		\$ (347.5)	31.0	31.0	9.7 34.6 4.1	48.4	(17.4)	2.8	2.8	(14.6)	\$ (362.1)
	SEPTEMBER	\$ (336.3)	50.1	50.1	14.3 47.3 4.4	66.0	(15.9)	4.7	4.7	(11.2)	\$ (347.5)
		\$ (344.8)	32.4	32.4	, 10.3 8.7 9.7	54.3	(21.9)	30.4	30.4	8 .5	\$ (336.3)
	JULY	\$ (339.0)	38.6	38.6	11.4 32.1 4.2	47.7	(9.1)	3.4 (0.1)	3.3	(5.8)	\$ (344.8)
	JUNE	\$ (398.2)	41.4	41.4	0,00,00 0,00,00	16.2	25.2	38.3 (4.3)	34.0	59.2	\$ (339.0)
	MAY	\$ (349.4)	27.3	27.3	11.7 61.6 5.5	78.8	(51.5)	2.7	2.7	(48.8)	\$ (398.2)
	2021 APRIL	\$ (363.5)	55.2	55.2	9.7 2.7 2.7	43.5	11.7	2.5 (0.1)	2.4	14.1	\$ (349.4)
STATE OF NEW YORK INTERNAL SERVICE FUNDS STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions)		Beginning Fund Balance	RECEIPTS: Miscellaneous Receipts	Total Receipts	DISBURSEMENTS: Departmental Operations: Personal Service Non-Personal Service General State Charges	Total Disbursements	Excess (Deficiency) of Receipts over Disbursements	OTHER FINANCING SOURCES (USES): Transfers from Other Funds Transfers to Other Funds	Total Other Financing Sources (Uses)	Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	Ending Fund Balance

VTE OF NEW YORK	VSION TRUST FUNDS	TEMENT OF CASH FLOW	CAL YEAR 2021-2022	ounts in millions)	

EXHIBIT L	February 28 \$ Increase/ % Increase (Decrease) Decrease \$ 1.1 100.0%	1 31.3% 31.3%	6.8% 247.9% 21.3%	<u>)</u> -211.8%	0.0% 0.0% 0.0%	<u>)</u> -211.8% 135.6%
	d February 2 \$ Increase/ (Decrease) \$ 1.1	35.4 35.4	4.5 30.0 8.1 42.6	(7.2)		(7.2) \$ (6.1)
	11 Months Ended February 28 5 Increasel 5 C21 2021 \$ 1.1	113.2 113.2	68.5 12.1 38.0 116.6	(3.4)	• • •	(3.4) \$ (4.5)
	11 2022 \$ -	148.6 148.6	71.0 42.1 46.1 159.2	(10.6)	• • •	(10.6) \$ (10.6)
	MARCH			,		۰ ۱ ب
	FEBRUARY \$ (2.4)	30.3 30.3	5.7 29.2 3.6 38.5	(8.2)	· · ·	(8.2) \$ (10.6)
	2022 JANUARY FE \$ 1.2 \$	10.7 10.7	7.6 1.9 14.3	(3.6)	· · ·	(3.6) \$ (2.4)
	ECEMBER (6.6)	22.1 22.1	5.8 5.7 14.3	7.8	· · ·	7.8
	NOVEMBER DE	8.4 8.4	91 90 153 90	(6.9)	· · •	(6.9) \$
	october No \$ - \$	10.2 10.2	5.6 0.9 9. 9	0.3	 	% 0.3 0.3
	SEPTEMBER OC \$ (1.7) \$	14.4 14.4	8.3 0.9 3.5 12.7	1.7	 • •	
	AUGUST <u>SEP</u> \$ (4.8) \$	13.0 13.0	0 0 0 0 0 0 0 0 0	3.1	 . .	3.1 (1.7) \$
	<u>JULY</u> (2.2) \$	8.6 8.6	60 1.4 1.2 1.2	(2.6)	 . .	(2.6) (4.8) \$
	° ,	11.9	5.8 6.6 14.1	(2.2)	· · ·	(2.2)
	MAY \$ (2.4) \$	13.1 13.1	5.6 1.2 3.9 10.7	2.4	· · ·	2.4
	2021 APRIL \$ - 3	ນ ບິ	5.9 0.6 8 3	(2.4)	· · •	(2.4) \$ (2.4)
STATE OF NEW YORK PENSION TRUST FUNDS STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions)	Beginning Fund Balance	RECEIPTS: Miscellaneous Receipts Total Receipts	DISBURSEMENTS: Departmental Operations: Personal Service Non-Personal Service General State Charges Total Disbursements	Excess (Deficiency) of Receipts over Disbursements	OTHER FINANCING SOURCES (USES): Transfers from Other Funds Transfers to Other Funds Total Other Financing Sources (Uses)	Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses Ending Fund Balance

STATE OF NEW YORK PRINATE PURPOSE TRUST FUNDS STATEMENT OF CASH FLOW FISCAL YEAR 2021-2022 (amounts in millions)															ΕX	EXHIBIT M
Beelinning Fund Balance	2021 APRIL \$ 40.2	MAY \$ 40.3	JUNE \$ 40.5	JULY \$ 41.7	AUGUST \$ 42.3	SEPTEMBER \$ 42.1	OCTOBER \$ 42.6	NOVEMBER \$ 44.0	R DECEMBER	2022 JANUARY 4 \$ 44.8	FEBRUARY \$ 44.8	MARCH	11 h 2022 \$ 40.2	11 Months Ended February 28 \$ Increase! % 2021 (Decrease! % \$ 14.3 \$ 25.9	1 February 28 \$ Increase/ % Increase (Decrease) Decrease \$ 25.9 181.1%	6 Increase Decrease 181.1%
RECEIPTS: Miscellaneous Receipts	0.1	0.2	<u>د</u> :	0.7	(0.2)	0.6	1.5		0.5		0.6		5.8	0.4	5.4	1,350.0%
Total Receipts	0.1	0.2	1.3	0.7	(0.2)	0.6	1.5	0.5	5 0.5	- -	0.6	' '	2.8	0.4	5.4	1,350.0%
DISBURSEMENTS: Departmental Operations:																
Personal Service Non-Personal Service				0.1		0.1	- 0.1		0.1	- ·	0.1		0.4	0.2	0.2 0.1	100.0% 100.0%
General State Charges		'	0.1	•		'		0.1	- -				0.2	0.1	0.1	100.0%
Total Disbursements	•	•	0.1	0.1	•	0.1	0.1	0.1	1 0.1	+	0.1	 	0.7	0.3	0.4	133.3%
Excess (Deficiency) of Receipts over Disbursements	0.1	0.2	1.2	0.6	(0.2)	0.5	1.4	0.4	4 0.4	4 	0.5		5.1	0.1	5.0	5,000.0%
OTHER FINANCING SOURCES (USES): Transfers from Other Funds									ı							0.0%
Transfers to Other Funds Total Other Financing Sources (Uses)			•		 	· '	· ·	 	<u> </u>	. ·	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Sover Distinitements and Other Financing Uses	6	0	-	900	- 60					'		.	- - -	6	C	5 000 0%
Ending Fund Balance	\$ 40.3	\$ 40.5	\$ 41.7	\$ 42.3	\$ 42.1	\$ 42.6	\$ 44.0	\$	\$	8 \$ 44.8	\$ 45.3 \$	<u></u> •	\$ 45.3	\$ 14.4	\$ 30.9	214.6%

STATE OF NEW YORK GOVERNMENTAL FUNDS SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR 2021-2022 FOR THE MONTH OF FEBRUARY 2022 (amounts in millions)	BALANCE FEBRUARY 1, 2022	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	SCHEDULE 1 BALANCE FEBRUARY 28, 2022
GENERAL FUND 10000-10049-Local Assistance Account 10050-10099-State Operations Account 10100-10149-Tax Stabilization Reserve	\$ 31,980.551 -	\$ 0.039 3,766.406 -	\$ 2,476.705 1,686.792 -	\$ 2,476.666 877.847	\$ 34,938.012 -
10150-10199-Contingency Reserve 10200-10249-Universal Pre-K Reserve 10250-10299-Community Projects 10300-10349-Rainy Day Reserve Fund	- - 26.959 -		- - 0.169 -		- - 26.790 -
10400-10449-Refund Reserve Account 10500-10549-Fringe Benefits Escrow 10550-10599-Tobacco Revenue Guarantee					
TOTAL GENERAL FUND	32,007.510	3,766.445	4,163.666	3,354.513	34,964.802
SPECIAL REVENUE FUNDS-STATE 20000-20099-Mental Health Gifts and Donations 20100-20299-Combined Expendable Trust 20300-20349-New York Interest on Lawver Account	0.816 62.092 119.012	- 0.564 2.655	0.007 0.580 0.926		0.809 62.076 120.741
20350-20399-NYS Archives Partnership Trust 20400-2049-Child Performer's Protection 20450-20499-Tution Reimbursement 20400-20409-Lution V-ock enert Lond Comment	0.118 0.361 8.880	- 0.005 0.210	0.035 0.038 0.229	(0.013) - (0.024)	0.070 0.328 8.837
20500-20545-New Tork black Excert Overnment Necous Management Improvement 20550-20599-School Tax Relief 20600-20649-Charter Schools Stimulus	8.522 0.458 1.211	0.850 - 0.001	0.342 - -	(0.084) - -	8.946 0.458 1.212
2000-20049-HCRA Resources 20800-20849-HCRA Resources 20850-2099-Dedicated Mass Transportation Trust 20900-20949-State Lottery 20950-20999-Combined Student Loan	- 191.009 62.167 (53.367) 7.324	- 572.930 45.562 254.489 1.497	- 569.124 50.888 115.669 0.766	- (1.136) - -	- 193.679 56.841 85.453 8.055
21000-21049-Sewage Treatment Program Mgmt. & Administration 21050-21149-Encon Special Revenue 21150-21199-Conservation 21200-21249-Environmental Protection and Oil Spill Compensation	(3.703) 0.029 111.302 15.931	- 4.047 1.166 3.647	0.043 6.567 2.583 1.552	 (3.006)	(3.746) (2.491) 109.885 15.020
21250-21299-Training and Education Program on OSHA 21300-21399-Lawyers' Fund for Cleint Protection 21360-21399-Equipment Loan for the Disabled 21400-21449-Mass Transportation Operating Assistance 21450-21499-Clean Air	8.590 12.380 0.519 238.107 (34.350)	0.001 0.581 0.582 0.002 113.012 2.300 2.300	3.266 0.013 - 2.1.467 3.184	- - - 0.080)	5.325 12.948 0.521 329.572 (35.234)
21500-21549-New York State Infrastructure Trust 21550-21599-Legislative Computer Services 21650-21649-Biodiversity Stewardship and Research 21650-21699-Combined Non-Expendable Trust 21700-21139-Musical Instrument Revolving 21850-21799-Musical Instrument Revolving 21850-21899-Miscellaneous State Special Revenue	0.071 12.520 - 0.468 - 0.618 1,969136	0.127 0.127 - - - 176.197	0.090 0.090 - - 248 244		0.071 12.557 - - - 1,929.158
22500-22549-Court Facilities Incentive Aid	40.323	0.003	12.258	•	28.068

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STATE OF NEW YORK GOVERNMENTAL FUNDS SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR 2021-2022 FOR THE MONTH OF FEBRUARY 2022					SCHEDULE 1
	BALANCE FEBRUARY 1, 2022	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE FEBRUARY 28, 2022
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.053				0.053
22650-22699-State University Income	1,994.599	683.300	563.481	15.178	2,129.596
22700-22749-Chemical Dependence Service	6.254	0.400	1.331		5.323
22750-22799-Lake George Park Trust	0.590		0.068		0.522
22800-22849-State Police Motor Vehicle Law Entorcement and Motor Vehicle Theft and Insurance Fraud Dravention	80.011	8 673	0 762	(110 02)	48 011
WOUD VERICIE THEIL AND INSURANCE FRAUM PLEVERINUM 22850-22809-New York Great Lakes Protection	0.458	c/0.0	0.013	(110.8c) -	11 8.04 0 445
22900-22949-Federal Revenue Maximization	0.024		2 -		0.024
22950-22999-Housing Development	9.322	0.002	(1.688)		11.012
23000-23049-NYS/DOT Highway Safety Program	(19.106)	(0.002)	0.215		(19.323)
23050-23099-Vocational Rehabilitation 23100-23140. Drinking Weter Droggen Management and	0.016				0.016
20100-20149-Dillining water Frogram management and Administration	(5.351)				(5.351)
23150-23199-NYC County Clerks' Operations Offset	(55.886)	31.816	2.389		(26.459)
23200-23249-Judiciary Data Processing Offset	86.772	6.073	3.802		89.043
23500-23549-USOC Lake Placid Training	0.260	0.002			0.262
23550-23599-Indigent Legal Services	693.977	25.261	18.236	•	701.002
23600-23649-Unemployment Insurance Interest and Penaity	13.421	0.000	0.002		GBU: 11.
23030-23039-WEAT FILIATICAL ASSISTATION FUTU 23700-23749-New York State Commercial Gamind Fund	114 535	9.477	0.333	-	113.402
23750-23799-Medical Cannabis Trust Fund	26.175	0.932	0.092		27.015
23800-23899-Dedicated Miscellaneous State Special Revenue	7.001	0.353	0.046	(0:030)	7.278
24800-24849-NYS Cannabis Revenue	(1.079)		0.499		(1.578)
24850-24899-Health Care Transformation	496.125	0.037	•	•	496.162
24900-24949-Charitable Gitts Trust Fund 24050 24054 Internative Endoce Sports	/GU.U 25 0 30	- 0.657		•	1.00.0 26.570
24955-24959-Mobile Sports Wagering	246.628	44.855			291.483
40350-40399-State University Dormitory Income	385.083	59.546		(20.469)	424.160
TOTAL SPECIAL REVENUE FUNDS-STATE	7,001.789	2,051.863	1,640.077	(3.981)	7,409.594
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(40.882)	396.349	426.199	(0.458)	(71.190)
25100-25199-Federal Health and Human Services	5,954.224	4,454.138	4,456.5/6	(85.099)	7806.687
25200-25249-Federal Education 25300-25899_25951-Federal Miscellaneous Onerating Grants	(00.00U) 13 879 557	113 763	000.110 737 570	(3.402)	(141.001) 13.769.563
25900-25949-Unemployment Insurance Administration	112.604	37.271	36.412		113.463
25950, 25952-25999-Unemployment Insurance Occupational Training	(0.520)	0.446	0.443		(0.517)
26000-26049-Federal Employment and Training Grants	(5.859)	13.167 E 217 00E	12.701	- 100 0101	(5.393)
	+70.000/01	000.110.0	0,921.430	(e10.00)	202000101
TOTAL SPECIAL REVENUE FUNDS	26,832.113	7,368.948	7,167.515	(93.000)	26,940.546
DEBT SERVICE FUNDS 40000-400049-Debt Beduction Reserve					
40100-40149-Mental Health Services	136.890	27,997	0.100	(149.631)	15.156
40150-40199-General Debt Service	5,878.898	3,739.527	778.181	(2,678.762)	6,161.482
40250-40299-State Housing Debt Service	ı	0.003		(0.003)	
40300-40349-Department of Health Income	23.256	12.555	·	(9.350)	26.461
40400-40449-Clean Water/Clean Air 40450-40499-I oral Government Assistance Tax	10.101	787 938		(150.161) (856.782)	0.231
TOTAL DEBT SERVICE FUNDS	6,057.225	4,207.767	778.281	(3,277.321)	6,209.390

ridge Trust enabilitation and Repair stem Development					
CAPITAL PROJECTS FUNDS 30000-30049-State Capital Projects 30050-30099-Dedicated Highway and Bridge Trust 30500-30299-SUNY Residence Halls Rehabilitation and Repair 30300-30349-New York State Canal System Development 30350-30349-Passenger Facility Charge	BALANCE FEBRUARY 1, 2022	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE FEBRUARY 28, 2022
2000-20045-21dite Capital Frogeds 30100-30299-Dedicated Highway and Bridge Trust 30100-30299-SUNY Residence Halls Rehabilitation and Repair 30300-30399-Parks Infrastructure 30360-30399-Parks Infrastructure 30400-3049-Passenger Facility Charge		E0E 406	620 757	1E 761	
3000-3029-Concreted ingitings and progen treet 30300-30299-SUNY Residence Halls Rehabilitation and Repair 30300-30399-Parks Infrastructure 30360-30399-Parks Infrastructure 30400-3049-Passenger Facility Charge	- 121 042	002-000 158 467	/c/.U2c	19.201	-
30300-30349-New York State Canal System Development 30350-30399-Parks Infrastructure 30400-3049-Passenger Facility Charge	151.553	0.013	4.438	(001.0) -	147.128
30350-30399-Parks Infrastructure 30400-3049-Passenger Facility Charge	18.182	0.014			18.196
30400-30449-Passenger Facility Charge	(38.622)		8.227		(46.849)
	0.015				0.015
30450-30499-Environmental Protection	87.636	12.671	19.098	ı	81.209
30500-30549-Clean Water/Clean Air Implementation	- 161				- 1910
30610-30619-Park and Recreation Land Acculisition Bond	to:				-
30620-30629-Pure Waters Bond	0.668		•		0.668
30630-30639-Transportation Capital Facilities Bond	3.328				3.328
30640-30649-Environmental Quality Protection Bond	1.419				1.419
30650-30659-Rebuild and Renew New York Transportation Bond	17.210				17.210
30660-30669-Transportation Infrastructure Renewal Bond	4.255				4.255
	5.550	·		•	5.550
JUDGU-JUDGY-ACCERTATED CAPACITY AND TRANSPORTATION	2 778				2 778
30690-30699-Clean Water/Clean Air Bond	1.428				1.428
30700-30709-State Housing Bond			•		
30710-30719-Smart Schools Bond		•			
30900-30949-Rail Preservation and Development Bond					
31350-31449-Federal Capital Projects	(885.071)	235.012	237.816	•	(887.875)
31450L-31499-F0rest Preserve Expansion 31500L-31540LHazardous Wasta Remedial	1.U83 (86 385)	3 111	- 10,079)	- (0.261)	1.083 456)
31650-31699-Suburban Transportation	0.540	5 '	(c. 10:0)		0.540
31700-31749-Division for Youth Facilities Improvement	(15.25)	I	0.535		(15.760)
31800-31849-Housing Assistance	(12.942)	I		ı	(12.942)
31850-31899-Housing Program	(443.394)	ı	64.190		(507.584)
31900-31949-Natural Resource Damage	17.524	0.001	0.059	•	17.466
31950-31999-DOT Engineering Services	(11.952)				(11.952)
32200-32249-Miscellaneous Capital Projects	152.562	1.414	3.236	3.914	154.654
32300-32299-CUNY Capital Projects 32300-32340 Mental Hurdiana Facilitiae Canital Immeriament	0.060 /510 300)	0.002 0.387	- 16 736		0.Ub2 (576.348)
32350-32399-Correction Facilities Capital Improvement	(214.981)	0.002	27.805		(302.784)
32400-32999-State University Capital Projects	68.178	1.404	3.126	(4.720)	61.736
33000-33049-NYS Storm Recovery Fund	(63.284)	•	1.711	•	(64.995)
33050-33099 Dedicated Infrastructure Investment Fund	72.768		18.937		53.831
TOTAL CAPITAL PROJECTS FUNDS	(1,614.312)	917.989	1,058.064	11.089	(1,743.298)
TOTAL GOVERNMENTAL FUNDS	63.282.536	\$ 16.261.149	\$ 13.167.526	\$ (4.719)	\$ 66.371.440

STATE OF NEW YORK PROPRIETARY FUNDS SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR 2021-2022 FOR THE MONTH OF FEBRUARY 2022 (amounts in millions)									ŏ	SCHEDULE 2	
FUND TYPE	BAL	BALANCE FEBRUARY 1, 2022	RECE	RECEIPTS	DISBURSEMENTS	EMENTS	OTHER FINANCING SOURCES (USES)	ER CING 8 (USES)	BAL FEBRUAI	BALANCE FEBRUARY 28, 2022	
ENTERPRISE FUNDS											
23250-23439-CUNY Senior College Program 50000-50043-Youth Commissary 50050-50039-State Exposition Special 50100-50299-Correctional Services Commissary 50300-50399-Agencies Enterprise 50400-50449-Sheltered Workshop 50450-50499-Patient Workshop 50450-50699-Mental Hygiene Community Stores 50650-50599-Mental Hygiene Community Stores 50650-50699-Unemployment Insurance 60850-60899-CUNY Senior College Operating TOTAL ENTERPRISE FUNDS	θ	238.059 0.099 0.902 3.784 18.192 2.125 2.125 2.125 2.125 2.091 4.818 13.093 33.468 33.468	φ	15.478 0.005 0.259 2.700 0.541 0.011 0.011 0.045 234.371 184.240 437.650	ю	7.664 0.008 0.635 3.160 1.382 0.012 0.013 0.013 0.013 0.013 0.013 0.013 0.013 0.013 0.013	θ		ю	245.873 0.096 0.526 3.324 17.351 2.113 2.113 2.113 2.113 2.113 2.113 2.113 2.113 2.113 2.113 2.113 2.113 2.113 2.113 2.113 2.113 3.04.141	
INTERNAL SERVICE FUNDS											
55000-55049-Centralized Services 55050-55099-Agency Internal Service 55100-55149-Mental Hygiene Revolving 55150-55199-Youth Vocational Education 55200-55299-Joint Labor and Management Administration 55200-55299-Audit and Control Revolving 55300-55349-Health Insurance Revolving 55350-55399-Correctional Industries Revolving 55350-55399-Correctional Industries Revolving		(89.129) (189.459) (0.135) (0.135) 0.046 0.492 (77.079) (35.641 23.222 0.020 0.634 29.233 2.331 2.331 91.081		35.783 17.271 0.058 - 3.984 1.308 3.616 62.087		1.205 3.586 - - - (0.072) - - 4.719		(88.066) (179.922) (0.173) 0.046 1.059 (51.902) (8.562) (37.365) (364.885)	
TOTAL PROPRIETARY FUNDS	¢	(81.967)	ф	528.731	ф	512.227	ф	4.719	φ	(60.744)	

STATE OF NEW YORK					SCHEDULE 3	ULE 3
FIDUCIARY FUNDS SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES FISCAL YEAR 2021-2022 FOR THE MONTH OF FEBRUARY 2022 (amounts in millions)	UND BALANCES					
EUND TYPE	BALANCE FEBRUARY 1, 2022	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE FEBRUARY 28, 2022	2022
PENSION TRUST FUNDS 65000-65049-Common Retirement Administration	\$ (2.360)	\$ 30.308	\$ 38.575	۰ ب	\$	(10.627)
TOTAL PENSION TRUST FUNDS	(2.360)	30.308	38.575		5	(10.627)
PRIVATE PURPOSE TRUST FUNDS						
22022-College Savings Account	29.902	0.478	0.041	ı		30.339
66000-66049-Agriculture Producers' Security 66050-66099-Milk Producers' Security	3.101 11.799	0.056	0.018 0.015		-	3.083 11.840
TOTAL PRIVATE PURPOSE TRUST FUNDS	44.802	0.534	0.074	•	4	45.262
AGENCY FUNDS						
60050-60149-School Capital Facilities Financing Reserve	5.765	0.259	·			6.024
60150-60199-Child Performer's Holding	0.573	0.018			č	0.591
60200-60249-Employees Health Insurance 60250-60200. Social Security Contribution	029.700,1 029.701	8/9.320 104 190	1,134.261 104 170		Σ Σ	802.684 15 424
60300-60399-Employee Payroll Withholding	(2.753)	385.338	383.124			(0.539)
60400-60449-Employees Dental Insurance	33.794	4.017	5.565		()	2.246
60450-60499-Management Confidential Group Insurance	0.885	0.708	0.785		I	0.808
00000-00049-Lottery Prize RASSA-RASGQ-Health Insurance Reserve Reserve	/ 00.329	111.660	c7.1.7./	1	7/	5.804
60600-60799-Miscellaneous New York State Agency	1.085.903	297.013	290.177		1.09	1 092 739
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	28.332	4.999	5.000			28.331
60900-60949-Medicaid Management Information System (MMIS) Escrow	713.293	5,987.677	5,904.154	ı	32	796.816
60950-60999-Special Education		- 1000	I	•	•	
6100-61999-State University of New York Revenue Collection 61100-61999-State University Federal Direct Lending Program	443.436 (96.896)	(29/.959) 197.051	- 122.725			(22.570)
62000-62049-SSI SSP Payment Escrow	-	1	I			
TOTAL AGENCY FUNDS	3,985.699	7,680.291	8,022.095	I	3,64	3,643.895
TOTAL FIDUCIARY FUNDS	\$ 4,028.141	\$ 7,711.133	\$ 8,060.744	ج	\$ 3,67	3,678.530

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SCHEDULE 4	BALANCE FEBRUARY 28, 2022	2.891 308.038 2,372.225 - 2,683.154	s, in part, that upon ritable Foundation - in was also subject le custody account irces Fund (20800-
Ň	B FEBRL	φ φ	hapter 1 require: isterred to a Cha c. This conversion deposit in the so to HCRA Resou
	DISBURSEMENTS	303.527 2,696.751 171.795 3,172.073	or-profit corporation. C nd" and 5 percent tran eover by WellPoint, In Asset Fund". In plus interest) is on o available for transfer
	DISBL	φ φ	poration to a fr ublic Asset Fu approved a take d to the "Public orofft corporatic hese funds are
	RECEIPTS	- 273.418 2,438.452 171.795 2,883.665	rom a not-for-profit col d designated as the "F e Cross, Blue Shield) a rporation be transferre t value of the not-for- rector of the Budget, t
	R	φ	d Blue Shield f ferred to a fun is Empire Blue ot-for-profit co the fair marke ction of the Di
	BALANCE FEBRUARY 1, 2022	2.891 338.147 2,630.524 - 2,971.562	hoice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon ne not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation. MellChoice, inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account of the State's 95 percent share of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800- the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800- the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-
UTS .	FEBRU	φ	n Choice, d/b/a ff the not-for-pr f WellChoice, ercent of the fa nce of the Stat nce of the Insurat
STATE OF NEW YORK SOLE CUSTODY AND INVESTMENT ACCOUNTS STATEMENT OF CASH RECEIPTS AND DISBURSEMENT FISCAL YEAR 2021-2022 FOR THE MONTH OF FEBRUARY 2022 (amounts in millions)	FUND TYPE	ACCOUNTS 70000-70049-Tobacco Settlement 70093, 70095, 70300-70301-MTA State Assistance 70050-70149-Sole Custody Investment (*) 70200-Comptroller's Refund Account TOTAL ACCOUNTS	(1) Includes Public Asset Fund resources: Chapter 1 of the Laws of 2002 automorized the conversion of Empire Health Choice, dbla Empire Bue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 55 percent transferred to a Charitable Foundation- as set forth in Section 7317 of the Insurance Law. On December 78, 2005. Well/Choice, Inc. (previously known as Empire Blue Cross. Blue Shield) approved a takeover by WellPoint, Inc. This conversion was allos subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund". As of February 28, 2022, 89,551,73396 (representing the remaining balance of the fair market value of the not-for-profit corporation but ansister to the same Chapter 1 requirements of assigning assets representing be nervaling balance of the fair market value of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account that of February 28, 2022, 89,551,73396 (representing the remaining balance of the fair market value of the Intercence of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20600- 2084).

STATE OF NEW YORK DEBT SERVICE FUNDS STATEMENT OF DIRECT STATE DEBT ACTIVITY FISCAL YEAR 2021-2022	стигу							SCHEDULE 5
	ļ	DEBT	DEBT ISSUED	DEBT N	DEBT MATURED			
PURPOSE	DEBT OUTSTANDING APRIL 1, 2021	MONTH OF FEBRUARY	11 MONTHS ENDED FEBRUARY 28, 2022	MONTH OF FEBRUARY	11 MONTHS ENDED FEBRUARY 28, 2022	DEBT OUTSTANDING FEBRUARY 28, 2022	INTEREST DISBURSED MONTH OF 11 MONTH FEBRUARY FEBRUARY	T DISBURSED 11 MONTHS ENDED FEBRUARY 28, 2022
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 9,992,174	69	69	\$ 710,896	\$ 1,531,170	\$ 8,461,004	\$ 74,673	\$ 295,727
Clean Water/Clean Air: Air Quality Safa Drinkinn Water	1,321,445			168,641	202,567	1,118,878	19,532 -	40,083
Clear Uniting Press Clear Water Solid Waste Environmental Restoration	277,661,899 11,083,955 36,701,555			8,298,119 3,612,228 1,406,199	17,651,707 3,776,986 2,726,630	260,010,192 7,306,969 33,974,925	1,591,482 164,192 101,214	6,440,826 379,211 677,291
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	1,022,456	,			263,713	758,743		38,507
Environmental Quality (1972): Air Land and Wetlands Water	- 4,267,512 5,538,761			- 38,973 54,586	- 98,890 1,774,586	4, 168,622 3,764,175	- 5,294 27,911	- 110,743 154,641
Environmental Quality (1986). Land Acquisition/Development/Restoration/Forests Solid Waste Management	2,692,870 83,003,304			363,652 5,932,564	570,573 13,722,862	2,122,297 69,280,442	15,831 374,905	69,734 2,253,474
Housing: Low Income Middle Income	4,115,000 1,795,000				1,280,000	4,115,000 515,000	1 1	
Park and Recreation Land Acquisition	I	,	I	,	ı	I	ı	T
Pure Waters	13,485,946	ı	I	221,215	1,103,148	12,382,798	88,110	397,979
Rail Preservation Development	I	1	I	ı		1		ı
Rebuild and Renew New York Transportation: Highway Facilities Canals and Waterways Aviation Rail and Port Mass Transt- Dept of Transportation Mass Transit - Metropolitan Transportation Authority	562,450,682 7620,311 7,620,311 40,7624,631 90,201,189 13,929,540 691,109,424			20,875,687 517,821 922,495 4,1202,550 287,693 5,980,000	27,343,939 1,050,337 1,022,495 4,122,560 287,653 12,850,080	535,106,743 6,569,974 39,542,196 86,080 13,641,847 678,259,344	2,896,898 5976 384,491 1,028,449 43,2946 43,2946 4,960,429	11,014,119 223,945 928,060 2,351,475 315,208 15,174,906
Rebuild New York-Transportation Infrastructure Renewal: Highways, Parkways, and Bridges Rapid Transt, Rail and Avlation	357,668 1,563,392		1 1		17,446 393,136	340,222 1,170,256	1 1	8,537 64,954
Smart Schools Bond Act	306,942,604	ı	I	10,403,681	10,403,681	296,538,923	1,768,345	7,728,225
Transportation Capital Facilities: Aviation Mass Transportation	1,648,621 -				837,811 -	810,810 -		- - -
Total General Obligation Bonded Debt	\$ 2,168,969,999	\$	' \$	\$ 63,915,000	\$ 102,930,000	\$ 2,066,039,999	\$ 13,605,425	\$ 48,727,924

STATE OF NEW YORK DEBT SERVICE FUNDS FINANCING AGREEMENTS FOR THE ELEVEN MONTHS ENDED FEBRUARY 28, 2022	ARY 28, 2022									SCHEDULE 5a
Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES ^ମ (40100-40149)	REVENUE BOND TAX ⁽¹⁾ (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINE 11 MONTHS END 2022	COMBINED TOTALS 11 MONTHS ENDED FEBRUARY 28 2022 2022	\$ INCREASE/ (DECREASE)
Payments to Public Authorities: City University Construction	۰ به	\$ 27,593,459		\$	\$	۰ چ	\$	\$ 27,593,459	\$ 7,115,904	\$ 20,477,555
Commony Autronny: Consolidated Service Contract Refunding DASNY Revenue Bond	1 1					- 1,418,764,811	- 29,531,110	1,448,295,921	2,722,914,193	(1.274,618,272)
					10,043,312			10,043,312	8,334,220	1,709,092
secured Hospital Program SUNY Community Colleges		10,879,525						1.02/.200 10.879.525	8,347,200	(z3,604,709) 2,532,325
SUNY Educational Facilities	ı	105,416,691		ı	ı	I		105,416,691	25,819,812 17 766 762	79,596,879
Environmentar radiines corporation Housing Finance Agency		- 1.772,122						1,772,122	15,828,552	(14,056,430)
Local Government Assistance Corporation										
Transit and Commuter Rail Projects		•		'	'					
Thruway Authority: Dedicated Highway and Bridge	,	73,929,920	'		'		'	73,929,920	106,686,534	(32,756,614)
Local Highway and Bridge Transnortation						- 4 675 750		- 4 675 750	- 17 821 175	(13 145 425)
Urban Development Corporation: Clarkeevelopments										
Columbia Univer July Columbia Univer. Telecommunications Center										
Consolidated Service Contract Refunding		2,180,971						2,180,971	23,405,116	(21,224,145)
Correctional Facilities	•									
Debt Reduction Reserve						361 261 266		361 261 266	- 286.525.473	- 74 735 793
University Facilities Grant 95 Refunding			•	'	'		•		11,603	(11,603)
Financing Obligations	, \$	\$ 223,400,256	\$ 24,122,878	۲ ج	\$ 10,043,312	\$ 1,784,701,827	\$ 29,531,110	\$ 2,071,799,383	\$ 3,290,776,262	\$ (1,218,976,879)
(*) Debt Service does not include interest paid on Revenue Anticipation Notes (General Purpose), Series 2020A and 2020B that were reimbursed from the Coronavirus Relief Fund within the Special Revenue Federal Funds.	evenue Anticipation Note	es (General Purpose), Series 2	2020A and 2020B that were r	eimbursed from the Corol	navirus Relief Fund within	the Special Revenue				

STATE OF NEW YORK SUMMARY OF THE OPERATING FUND INVESTMENTS FOR THE MONTH OF FEBRUARY 2022 AS REQUIRED OF THE STATE COMPTROLLER (amounts in millions)		SCHEDULE 6
MONTH OF F FEBRUARY 2022	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)		
AVERAGE DAILY INVESTMENT BALANCE (**) \$ 71,778.8 \$	49,156.9	\$ 30,560.7 0.10102
T EARNINGS \$	31.975	\$ 55.260
<u>Month-End Portfolio Balances</u>		
— [FEBRUARY 2022 PAR AMOUNT	EBRUAF PAR AN
GOVT. AGENCY BILLS/NOTES REPLIRCHASE AGREEMENTS	44,845.6 99.9	\$ 19,240.9 119.0
GOVT. SPONSORED AGENCIES	700.00	700.0
COMMERCIAL PAPER CERTIFICATES OF DEPOSIT/SAVINGS	23,938.0 2 843 9	20,601.9 2331.6
0% COMPENSATING BALANCE CDS	843.0	723.0
Ϋ́	73,270.4	\$ 43,716.4
(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking	orized to invest and STIP) represents ard deposit in the State	d keep invested all n accounting s's General Checking

(**) Does not include 0% Compensating Balance CDs.

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or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity

authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be

account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is

		STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT FISCAL YEAR 2021-2022	ENTS BY ACCOUNT														
1 1 14,84,37 5 14,44,74,700 5 74,664,57 5 74,664,57 5 74,664,57 5 74,664,57 5 74,664,57 5 74,664,57 5 74,664,57 5 74,664,57 5 74,664,57 5 74,664,57 5 74,664,57 5 74,664,57 5 74,664,57 75,666 6 6 75,760,75 75,760,7	1 1		2021 APRIL	МАҮ	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	11 Mont Februar	11 Months Ended February 28, 2022	
$ \label{eq: here} \mbox{here} here$	Interfact 67/16/7 61/23/70 64/07/14 64/23/20 61/14/14 64/23/20 61/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/14/20 63/16/20 63/16/20 63/14/20 63/16/20	OPENING CASH BALANCE													s	15,864,357	
$ \ \ \ \ \ \ \ \ \ \ \ \ \ $	Image: bit is a constant of con																
$ \label{eq: here} \mbox{ here} here$	$ \ \ \ \ \ \ \ \ \ \ \ \ \ $	RECEIPTS:															
$ \ \ \ \ \ \ \ \ \ \ \ \ \ $	$ \ \ \ \ \ \ \ \ \ \ \ \ \ $	Cigarette Iax	69,/08,587	61,/33,/0/	64, 925, / 84	59,829,609	62, 332, 930	56,116,441	60,603,823	56,171,362	56,695,601	46,219,041	43,469,943			626, 806, 828	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	State Share of NYC Cigarette Lax Vance Evolue Tay	1,648,000	1,455,000	1,274,000 6,603,432	1,6/6,000	1,506,000	7 791 035	1,121,000	1,251,000	7344010	1,450,000 66 997	1,68 913)			72 583 745	
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	447/36/1 477/86/1 477/86/1 477/86/1 467/86/2	STIP Interest	25,976	16.935	19.037	14.171	14.291	22.003	21,927	23.224	17.882	22.547	33.493			231.486	
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Public Asset Transfers															
47.000 77.000 77.000 664.70 196.000 177.000 660.00 87.000 660.00 87.00	49.000 7.000 7.000 87.000 1.74.000 89.000 1.74.000 89.000 1.74.000 89.000 1.74.000 89.000 1.74.000 89.000 1.74.000 99.00.000 1.74.000	Assessments	414, 748, 474	457,225,416	477,819,714	458,852,224	457,886,237	461,408,108	440,416,406	460,846,426	481,249,233	419,487,378	525,687,286			5,055,626,902	
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	4 640 66 265,256 6 64,366 5 005,077 1 (11,17) 7,555 61 6 37,721 234,869 5 036,275 2 334,489 2 336,216 6 386,276 5 346,369 5 366,276 5 34,489 5 37,500,276 5 34,669 5 37,600,276 7 44,761,496 7 47,264 6 35,256 7 34,369 7 37,660,176 7 47,361,66	Fees	279,000	75,000	757,099	897,000	1,566,000	618,000	127,000	95,000	1,745,000	878,000	178,000			7,215,099	
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Rebates	4,640,806	263,285	6,664,296	5,005,057	1,011,717	7,553,581	8,367,221	234,849	3,693,215	6,386,632	2,331,448			46, 152, 107	
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	491,246,076 50,266,271 50,466,175 50,466	Restitution and Settlements		•	•	'	•	•	•		•	•	•				
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Miscellaneous		1,104	3,026,622	8,171	18,066		5,921	1		47,043				3, 106, 928	
388.710.67 471.820.47 537.845.31 431.40.487 553.22.087 516.122.456 506.441.410 652.461.539 473.851.403 457.423.405 87.47 87.47 87.47 87.47 1.361 1.361 3.280 1.541.63 3.280 1.57.345 3	388.71067 471,820,45 651,365,16 471,400,47 552,2207 161,122,46 560,441,410 652,461,569 457,423,465 661,304,669 561,304,669 561,304,669 561,304,669 561,304,669 561,304,669 561,304,669 561,304,669 561,304,669 561,304,669 501,327 501,32	Total Receipts	491,246,076	510,810,731	561,089,984	526,403,723	524, 143, 657	535,135,168	511,323,838	517,662,182	552,896,841	474,597,638	572,930,257	•		6,778,240,095	
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	388.71087 1/120147 557.1637 4114087 55.22087 516.112.461 55.12.268 516.112.66 517.140 55.12.66 61.04.66 55.12.66 61.04.66 55.12.66 61.04.66 55.12.66 61.04.66 55.12.66 61.04.66 55.03<	DISBURSEMENTS:															
47 108 153 1080 153 1080 153 1080 153 103 232 240 200 232 </td <td>$\frac{1}{14^{2}} = \frac{1}{14^{2}} + 1$</td> <td>Grants</td> <td>389,370,867</td> <td>471,920,457</td> <td>537,645,318</td> <td>431,140,487</td> <td>525, 222, 097</td> <td>516,132,496</td> <td>505,441,410</td> <td>652,481,638</td> <td>474, 351, 409</td> <td>457,423,405</td> <td>561,304,569</td> <td></td> <td></td> <td>5,522,434,153</td>	$ \frac{1}{14^{2}} = \frac{1}{14^{2}} + 1$	Grants	389,370,867	471,920,457	537,645,318	431,140,487	525, 222, 097	516,132,496	505,441,410	652,481,638	474, 351, 409	457,423,405	561,304,569			5,522,434,153	
Bit Mark	Cuts 273-43 (5677)(16 (77)(26) 7319-46 (767)(16 (77)(26) 7319-46 (767)(16 (77)(26) 7319-46 (767)(16 (77)(26) 7319-46 (767)(16 (77)(26) 7319-46 (767)(16 (77)(16) 7319-36 (767)(16 (74)(16) 7302 (20) (74)(16) 23012.02 (76)(17)(16) 2012.02 (76)(17)(16) 2012.02 (76)(17)(17) 2012.02 (76)(17)(17) 2012.02 2012.125 (76)(16) 2012.125 (76)(16) 2013.131 <td>Interest - Late Payments</td> <td>47</td> <td>108</td> <td>157</td> <td>1,060</td> <td>1,583</td> <td>1,361</td> <td>(610)</td> <td>3,280</td> <td>5,406</td> <td>231</td> <td>537</td> <td></td> <td></td> <td>13,160</td>	Interest - Late Payments	47	108	157	1,060	1,583	1,361	(610)	3,280	5,406	231	537			13,160	
Cold Ext of TOM Cold TOM TOM <thcold th="" tom<=""> Cold TOM Cold TOM<td>64b 952.45 951.70 (137.16) (137.70 (136.47) (137.70 (136.47) (136.47) (136.47) (136.48) (136.47) (136</td><td>Personal Service</td><td>927,463</td><td>929,434</td><td>521,245</td><td>742,436</td><td>1,060,813</td><td>1,319,948</td><td>265,068</td><td>1,568,618</td><td>1,515,144</td><td>280,220</td><td>2,011,235</td><td></td><td></td><td>11, 141, 624</td></thcold>	64b 952.45 951.70 (137.16) (137.70 (136.47) (137.70 (136.47) (136.47) (136.47) (136.48) (136.47) (136	Personal Service	927,463	929,434	521,245	742,436	1,060,813	1,319,948	265,068	1,568,618	1,515,144	280,220	2,011,235			11, 141, 624	
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Other Description Descriprint Description <th< td=""><td>Non-Personal Service</td><td>952,452</td><td>6,137,767</td><td>6,694,728</td><td>2,687,862</td><td>5,697,716</td><td>4,428,571</td><td>1,026,703</td><td>8,754,698</td><td>3,543,066</td><td>3,548,552</td><td>5, 136, 187</td><td></td><td></td><td>48,608,302</td></th<>	Non-Personal Service	952,452	6,137,767	6,694,728	2,687,862	5,697,716	4,428,571	1,026,703	8,754,698	3,543,066	3,548,552	5, 136, 187			48,608,302	
an. Act. No.2 an. Art. Jow Jow ant. Act. No.3 ane. Art. Jow Jow ant. Art. Jow Jow Jow ant. Art. Jow	International control of an angle of a standard o	Employee Benefits/Indirect Costs	5//,U34	108,186	/3/,800	148,953	799 ¹ 00	/11,32/	1/4,942	954,8/9	1,220,407	/RL'/9L	578 1 / 9 200 101 021			6,6U8,1U4	
e fund Tar Fund	Find 1,10i 6,2000 6,220,17i 5,221 5,221 6,220 7,121 1,121 1,121 1,121 1,121 1,121 1,121 1,121 1,121 1,121 1,121 1,121 1,121 1,121 1,132	I otal Dispursements	391,827,923	00C'60C'6/8	242,249,248	434,/20,/98	532, 644, U91	507'56C'77C		003,/03,113	480,030,432	401,419,0U0	009,124,301	•		0,088,800,343	
F fund : 1104 6220,17 : : 5221 (6,20,88) : : (1,91) : (1,91) : : : 1 :	F fund 1104 02.000 6.230,171 5.821 6.200 809 304.668 1,101 2.200 809 1,101 2.200 809 1,101 2.200 809 2.200 809 1,101 2.200 809 2.200 809 2.200 809 2.200 809 2.200 809 2.200 809 2.200 809 2.200 809 2.200 809 2.200 809 2.200 809 2.200 809 2.200 809 2.200 800 2.20	OPERATING TRANSFERS:															
The fund 1.5 1	Tark fund Tark fund <thtark fund<="" th=""> <thtark fund<="" th=""> <thtark fund<="" th=""></thtark></thtark></thtark>	Transfers to Capital Projects Fund	•		- 000 008	-	•	•	- 01	- 000 000 9/		- 107 T	•			-	
Special Revenue Fund. 305,891 340,322 340,322 340,322 340,322 340,302 2,000,000 <t< td=""><td>Accurate funct 368,150 30,221 30,221 30,221 30,200 30,162 30,000 2,000,00 1,154,150 1,154,150 1,154,150 1,135,680 1,1135,680 1,1136,680 1,1136,680<!--</td--><td>Transfers to Revenue Rond Tax Fund</td><td></td><td>5</td><td>-</td><td></td><td></td><td></td><td></td><td>(ppp'n77'n)</td><td></td><td></td><td></td><td></td><td></td><td>-</td></td></t<>	Accurate funct 368,150 30,221 30,221 30,221 30,200 30,162 30,000 2,000,00 1,154,150 1,154,150 1,154,150 1,135,680 1,1135,680 1,1136,680 1,1136,680 </td <td>Transfers to Revenue Rond Tax Fund</td> <td></td> <td>5</td> <td>-</td> <td></td> <td></td> <td></td> <td></td> <td>(ppp'n77'n)</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>-</td>	Transfers to Revenue Rond Tax Fund		5	-					(ppp'n77'n)						-	
Count 206,891 · 94,322 · · 200,000 200,000 2000,000 <t< td=""><td>Count 256.861 303.261 90.322 115.412 2000 000 2.000 000 1.05.668 1.15.458 <th 1.<="" td=""><td>Transfers to Miscellaneous Special Revenue Fund:</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></th></td></t<>	Count 256.861 303.261 90.322 115.412 2000 000 2.000 000 1.05.668 1.15.458 <th 1.<="" td=""><td>Transfers to Miscellaneous Special Revenue Fund:</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></th>	<td>Transfers to Miscellaneous Special Revenue Fund:</td> <td></td>	Transfers to Miscellaneous Special Revenue Fund:														
are Account 206,e10 322,415 483,016 112,200 300,000 200,000 300,000 300,000 300,000 300,000 300,000 300,000 300,000 300,000 300,000 300,000 300,000 300,000 300,000 300,000 300,000 306,000	Int Accurt 208.00 5.5.01 322.413 3.5.5.01 483.01 1.5.5.5.00 32.000 3.5.5.01 34.6.50 1.5.6.5.01 3.00000 3.5.5.01 1.000000 3.5.5.6.16 1.000000 3.5.5.6.16 1.000000 3.5.5.6.16 1.000000 3.5.5.6.16 1.000000 3.5.5.6.16 1.000000 3.5.5.6.16 1.000000 3.5.5.6.16 1.05.6.500 3.5.5.6.16 1.136.5.68 <th 1.136.5.68<="" td=""><td>Administration Program Account</td><td>326,891</td><td></td><td>340,322</td><td></td><td>•</td><td>•</td><td>•</td><td>758,199</td><td>•</td><td></td><td></td><td></td><td></td><td>1,425,412</td></th>	<td>Administration Program Account</td> <td>326,891</td> <td></td> <td>340,322</td> <td></td> <td>•</td> <td>•</td> <td>•</td> <td>758,199</td> <td>•</td> <td></td> <td></td> <td></td> <td></td> <td>1,425,412</td>	Administration Program Account	326,891		340,322		•	•	•	758,199	•					1,425,412
Fund 248.01 332.413 493.018 113.240 391.827 350.003 350.01 343.416 175.423 175.424 175.444 175	$\frac{1}{1000} = \frac{1000}{35501} = \frac{332,417}{333501} = \frac{433,018}{135504} = \frac{119,240}{33160} = \frac{391,627}{33103} = \frac{330,003}{33504} = \frac{33,430}{148} = \frac{13,850}{3416} = \frac{1,0,423}{113569} = \frac{1,0,423}{113569} = \frac{1,0,508}{113569} = \frac{1,0,100}{113569} = \frac{1,0,100}{113569} = \frac{1,0,100}{113569} = \frac{1,0,000}{113569} = \frac{1,000}{113569} = \frac{1,000}{11356} = \frac{1,000}{$	Empire State Stem Cell Trust Account									3,000,000	2,000,000				5,000,000	
eta <u>avaran avaran avaran aranan aranan</u> and Tansfes <u>322,354,24</u> 4,79,900,082 <u>547,024,582</u> 447,069,299 <u>533,005,718</u> 522,913,706 <u>507,233,477</u> 658,646,532 443,78,802 463,596,219	and Transfers <u>20,561,414</u> <u>147,0100</u> <u>5 145,664,657</u> <u>5 169,720,0451</u> <u>5 26,61,607</u> <u>6 26,203,214,4130</u> <u>5 11,14,805,665</u> <u>6 162,050 <u>141,664,56</u> <u>5 22,69,410</u> <u>5 12,69,410</u> <u>5 17,269,410</u> <u>5 17,169,105</u> <u>5 19,109,105</u> <u>5 19,105,105</u> <u>5 19,105</u> <u>5 19,105,105</u> </u>	Iransters to SUNY Income Fund Total Departing Transfore	208,610	332,413	483,018	119,290	391,627	320,003	320,003	346,620	438,812	1 / 5,423 2 4 76 644	1,135,598			4,2/1,41/	
and Transfers 392,363,424 479,902,082 547,024,588 441,069,259 533,055,718 522,913,706 507,233,472 658,646,953 484,378,802 453,596,219	and Transfers <u>382,363,424</u> <u>479,903,082</u> <u>517,004,368</u> <u>411,069,259</u> <u>533,05718</u> <u>523,913706</u> <u>507,233,437</u> <u>658,646,333</u> <u>484,378,802</u> <u>463,596,219</u> <u>570,236,949</u> · · · · · · · · · · · · · · · · · · ·	iotai Operaniig Italistels	100'000	10,000	0+0'07+'1	10+0+0*0	170'1 60	000'070	+76'070	10,110,100	0 10 10 10	+10'011'7	000'001'1			C11/610/11	
	\$ 114/74/000 \$ 145,644,657 \$ 149,720,053 \$ 245,034,517 \$ 246,162,456 \$ 248,335,918 \$ 252,474,319 \$ 111,489,568 \$ 180,007,607 \$ 191,009,026 \$ 2	Total Disbursements and Transfers	392, 363,424	479,903,083	547,024,588	441,069,259	533,035,718	522,913,706	507,233,437	658,646,933	484,378,802	463,596,219	570,259,949	•		5,600,425,118	
111,47,00 $145,654,667$ $159,720,053$ $245,054,517$ $206,162,456$ $248,383,918$ $225,474,319$ $5111,429,568$ $5180,007,607$ $5191,009,026$ 5		CLOSING CASH BALANCE	\$ 114,747,009											\$	s	193,679,334	

CENTER FOR COMMUNITY HEALTH PROGRAM	Appropriation Amount (*) \$ 8,827,000.00	February 11 Mom	11 Months Ended February 28, 2022 (**) 2,484,806.63
CENTER FOR COMMUNITY HLTH	8,827,000.00	234,818.23	2,484,806.63
CHILD HEALTH INSURANCE PROGRAM	1,901,406,000.00	42,097,479.13	629,757,844.98
CHILD HEALTH INSURANCE	1,901,406,000.00	42,097,479.13	629,757,844.98
	120,000.00		
CUMMUNIT SUFFURI EL DEDLY BLARMACELITICAL INS COVERAGE BPG	234 330 000 00	- 6 767 673 75	- 00 766 736 70
	234,330,000.00	6, 101, 003, 10 6 767 673 75	98, 735, 735, 79
	1.240.915.059.03	25.031.943.49	281.742.183.95
AIDS DRUG ASSISTANCE	82,100,000.00	5,000,000.00	25,000,000.00
AMBULATORY CARE TRAINING	3,537,000.00		(2,105.47)
AREA HEALTH EDUCATION CENTER	3,387,000.00	1	
COMMISSIONER EMERGENCY DISTRIBUTIONS	5,800,000.00		
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	108,800,000.00	11,253,284.00	33,790,134.50
DIVERSITY IN MEDICINE	4,784,000.00	231,000.00	/31,416.6/
בומדורב טבוועוט הבטבארטה ווערט וומבועו (בטגוד) אסמע מאיסס למפטעותבם מנותובים	0,000,000 000 000 000 000 000 000 000 0	-	3 836 351 11
HEALTH FACH TROVIDEN ADDID HEALTH FACH ITY RESTRICTLIRING DASNY	39,200,000 000		
HEALTH WORKFORCE RETRAINING	18.320.000.00		248.288.42
INFERTILITY SERVICES GRANTS	5,733,000.00	9,312.50	467,219.73
MEDICAL INDEMNITY FUND	52,000,000.00		52,000,000.00
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	180,665.65	831,280.84
PHY SICIAN EXCESS MEDICAL MALPRACTICE	207,200,000.00		81,869,248.00
PHYSICIAN LOAN REPAYMENT	36,258,000.00	265,124.42	6,482,972.22
PHYSICIAN WORKFORCE STUDIES	974,000.00	299,140.00	487,000.00
POISON CONIROL CENTERS	8, 720,000.00	1,/13,/69.40	1,/13,/69.40
POUL ADMINIS IRATION POORAELLI PAPK CANICED INISTITUTE	00.000,002,6	495,405.85	2,862,471.68
POSMELL PARA CANCEN INSTITUTE POSMET DAPK COMBREHENSIVE CANCER CENTER	03,420,000.00	I	00.000.000
RURAL HEALTH CARE ACCESS	15 950 000 00		
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	18.820,000.00	3.060.241.67	8.276.133.52
RURAL HEALTH CARE GRANTS	2,200,000.00		
RURAL HEALTH NETWORK	11.610,000.00	ı	I
SCHOOL BASED HEALTH CENTERS	4,230,000.00	2,085,000.00	2,085,000.00
SCHOOL BASED HEALTH CLINICS-POOL ADMN	8,460,000.00		
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
	28,799,805,000.00	490,255,870.12	4,540,444,216.62
HOME HEALTH RATE INCREASE MEDICAID INDIGENT CARE		44,500,000.00 65 555 870 10	44,300,000.00 665 744 246 62
	23.129.205.000.00	250.000.000.00	3.700.000.000.000
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	120,500,000.00	120,500,000.00
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	9,900,000.00	9,900,000,00
NEW YORK STATE OF HEALTH	85,091,000.00	3,343,887.85	26,548,425.78
NEW YORK STATE OF HEALTH ADMINISTRATION	85,091,000.00	3,343,887.85	26,548,425.78
	1,834,000.00	,	
OFFICE OF HEALTHINGURANUCE	1,004,000.00 35 923 055 00	- 1 604 663 91	- 9 953 940 41
OFFICE HEALTH SYSTEMS MANAGEMENT	35 923 055 00	1 604 663 91	9 953 940 41
REVENUE, PROCESSING & RECONCILIATION	8, 190,000.00	922,833.47	3,389,909.07
REVENUE, PROCESSING & RECONCILIATION	8, 190,000.00	922,833.47	3,389,909.07
TOTAL	32,316,441,114.03	570,259,169.95	5,593,077,062.73
Reclass of SUNY Hospital Disprop Share to Transfer		(216,497.55)	(3,352,316.81)
Reclass of SUNY Hospital Poison Control Centers to Transfer		(919,100.00)	(919.100.00)
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer		770 57	
		10.011	(00.000)

APPENDIX B

STATE OF NEW YORK HCRA RESOURCES FUND STATEMENT OF PROGRAM DISBURSEMENTS FISCAL YEAR 2021-2022 49

(*) Includes amounts appropriated in SFY 2021-22. as well as prior year appropriations that were reappropriated.
(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries. services and expenses (**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries. services and expenses (**) Full thin is: NVC Personal Care Workcore Recutiment and Retention Rates Grants.

STATE OF NEW YORK STATEMENT OF CASH FLOW - PUBLIC GOODS POOL FISCAL YEAR 2021-2022								APPENDIX C
	A	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	8	2022 JANUARY	2022 FEBRUARY	2021-2022
OPENING CASH BALANCE	\$	293,876,869.61	\$ 340,535,703.17	* \$ 347,835,282.30	30 \$	342,755,057.12	\$ 442,912,048.54	\$ 293,876,869.61
RECEIPTS: Patient Services Covered Lives Provider Assessments 1% Assessments		989,188,683,61 249,351,336,48 26,801,341,23 119,091,702.00	989,460,559,24 245,107,517,30 25,160,359,60 112,444,913.00	976,844,384.69 246,716,559,78 26,225,064,52 114,095,331.00	0 2 2 8 9	385,278,547,60 84,763,694,06 8,126,032.70 36,383,603.00	215,678,997.78 49,950,745,44 4,216,026,58 39,606,245,00	3,556,451,172.92 875,889,833.06 90,528,824.63 421,621,794.00
DASNY- MOE/Recast receivables Interest Income Unassigned Total Receipts		3,690.30 (357,587.66) 1,384,079,165.96	3,685,63 (876,908.00) 1,371,300,126.77	3,735.71 (42,675.31) 1,363,842,380.39	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	1,324.08 348,519.94 514,901,721.38	1,234.87 498,484.64 309,951,734.31	13,670-59 (430,166.39) 4, 944,075,128.8 1
PROGRAM DISBURSEMENTS: Poison Control Centers School Based Heath Center Grants ECRIP Distributions Total Program Disbursements							(2,400,000.00) - [2,400,000.00]	(2,400,000.00) - [2,400,000.00]
Excess (Deficiency) of Receipts over Disbursements		1,384,079,165.96	1,371,300,126.77	1,363,842,380.39	6	514,901,721.38	307,551,734.31	4,941,675,128.81
OTHER FINANCING SOURCES (USES): Transfers From Other Pools: Medicaid Disproportionate Share Health Facility Assessment Fund - Hospital Quality Contribution Transfers From State Funds: HCRA Resources Fund Total Other Financing Sources		12,373,155.00 	14,145,926.00 14,145,926.00 14,145,926.00	0 13,589,354.00 13,589,354.00 13,589,384.00	00 00	4,742,637.00 4,742,637.00	4,069,601.00 2,400,000.00 6,469,601.00	48,920,673.00 2,400,0000.00 61,320,673.00
Transfers To Other Pools: Medicaid Disproportionate Share Health Facility Assessment Fund Transfers To State Funds: HCRA Resources Fund Indigent Care Fund - Matched Indigent Care Fund - Ummatched Total Other Financing Uses		- - (1,349,793,487.40) - (1,349,793,487.40)	- - (1,378,146,473.64) - - (1,378,146,473.64)	- - - - - - - - - - -	<u>11</u>	- - (419,487,366,96) - - (419,487,366,96)	(525,687,300,93) (525,687,300,93) (525,687,300.93)	- (5,055,626,588.50) (5,055,626,588.50) (5,055,626,588.50)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		46,658,833.56	7,299,579.13	(5,080,225.18)	(8)	100,156,991.42	(211,665,965.62)	(62,630,786.69)
CLOSING CASH BALANCE	⇔	340,535,703.17	\$ 347,835,282.30	\$ 342,755,057.12	2 2	442,912,048.54	\$ 231,246,082.92	\$ 231,246,082.92
Source: HCRA - Office of Pool Administration								

APPENDIX D	022	55,655.52	291.61 291.61	(618,816,142.59) 	(656,524,218.84)	- - 45,361,401.84 357,550,728.42	681,572,662.40	- (354.11) (25.062,820.22) (25,063,174.33)	(14,730.77)	40,924.75
АРРЕ	2021-2022	ۍ ج		(618,81 (37,70 (656.57	(656,52	278,66 45,36 357,55	681,57	(25,06 (25,06	1)	\$
		33,664.81	19.84 19.84	38.81) - 33.11) -	52.08)	- - 73.14 48.99	24.02	(25.28) 386.72)	7,259.94	40,924.75
	2022 FEBRUARY	33,6((68,404,388.81) (5,079,683.11) (73,484.071,97)	(73,484,052.08)	- - 24,849,601.89 17,250,173.14 31,884,648.99	73,984,424.02	- - (25.28) (493.086.72) (493.112.00)	7,21	40,93
		ŝ								÷
	2022 JANUARY	25.23	11.57 11.57	(49,473,603.97) (49,473,603.97) 6,155,756,46 (43,317,647,54)	(43,317,835.94)	- - 21,669,438.54 (6,122,116,93) 27,804,165,43	43,351,487.04	- - (11.52) (11.52)	33,639.58	33,664.81
	اق ا	36 \$	୍ମ <mark>ଜ୍</mark> ମାନ୍ତ୍ର		 2 E	23 8 8 25	 g	 	33)	% ম
	3rd Quarter OCTOBER - DECEMBER	41,266.86	117.39 117.39	(181,013,218.41) (48,090,360 (229,103,579,10)	(229,103,461.71)	- - 92,021,163.06 43,532,111,18 118,072,816,52	253,626,090.76	- - (105.68) (24,563,765.00) (24,563,870.68)	(41,241.63)	25.23
	1	27 \$	 200	89) 41 48)	 2 2	31 () 31 ()	 ∞	<u>57</u>	 8	ջ։ Տ
	2nd Quarter JULY - SEPTEMBER	\$ 33,659.27	79.56 79.56	(160,215,655.89) 9,467,931,41 1150,747,724.84	(150,747,644.92)	- 70,174,457,28 (9,460,308,71) 90,041,198,61	150,755,347.18	- - (94.67) -	7,607.59	\$ 41,266.86
ONATE SHARE	1st Quarter APRIL - JUNE	55,655.52	63.25 63.25	(159,709,275.51) (162,011.93) (159,871,287,441)	(159,871,224.19)	- - 69,945,871.37 161,543.16 89,747,898.87	159,855,313.40	(116.96) (5,968.50) (6,085.460)	(21,996.25)	33,659.27
PORTIC	`∢	\$								÷
STATE OF NEW YORK STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE FISCAL YEAR 2021-2022		OPENING CASH BALANCE	RECEIPTS: Interest Income Total Receipts	PROGRAM DISBURSEMENTS: Indigent Care High Need Indigent Care Other The Dronarm Dishursements	Excess (Deficiency) of Receipts over Disbursements	OTHER FINANCING SOURCES (USES): Transfers From Other Pools: Public Goods Pool Health Facility Assessment Fund Transfers From State Funds: HCRA Resources Indigent Care - Unmatched HCRA Resources Indigent Care - Unmatched Federal DHHS Fund	Other Total Other Financing Sources	Transfers To Other Pools: Public Goods Pool Health Facility Assessment Fund Transfers To State Funds: HCRA Resources Fund Indigent Care Acct CSRA Inc (eMedNY) General Fund Total Other Financing Uses	Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	CLOSING CASH BALANCE

Source: HCRA - Office of Pool Administration

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Financial Reports

STATE OF NEW YORK SUMMARY OF OFF-BUDGET SPENDING REPORT FISCAL YEAR 2021-2022 (amounts in thousands)													APPENDIX E
	2021 APRIL	2021 MAY	2021 JUNE	2021 JULY	2021 AUGUST	2021 SEPTEMBER	2021 OCTOBER	2021 NOVEMBER	2021 DECEMBER	2022 JANUARY	2022 FEBRUARY	2022 MARCH	2021-2022 TOTAL
DORMITORY AUTHORITY: Education - All Other	ю	י ب	ب	י ب	י ب	2	\$	ч (У)	' ھ	Υ	' ب		۷ \$
Education - EXCEL Department of Health - All Other	34	2,058 -	1,281 -	1 1	192 -		- 172 -				3,401 -		7,138 -
Community Enhancement Facilities Assistance Program (CEFAP) Regional Development:				70									20
Multi model	70	181	554	125	411	543	-	213	551	426	84		3,158
muterinoual GenNYsis													
CUNY Senior Colleges		'	'	'			•	•					
CONT CONTINUING CONSURTS Brooklyn Court Officer Training Academy	- 12		42 -										' 8
TOTAL DORMITORY AUTHORITY	125	2,239	1,877	195	603	550	172	213	551	426	3,485	'	10,436
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Community Capital Assistance Program (CCAP)													
Empire Opportunity	'	'		'	'			ı		'	'		,
Community Enhancement Facilities Assistance Program (CEFAP)	1	ı	I	I	1			1	1	I	1		1
State Facilities and Equipment TOTAL EMPIRE STATE DEVELOPMENT CORP	'	'	' '	'	' ' 		' ' 			· ·	' '	'	'
TOTAL OFF-BUDGET	\$ 125	\$ 2,239	\$ 1,877	\$ 195	\$ 603	\$ 550	0 \$ 172	\$ 213	\$ 551	\$ 426	\$ 3,485	, 2	\$ 10,436
The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public	or organizing	and present	ing the abo	ve schedul	e of 'Off Bu	dget Spending	'. Such repo	inted disbursen	tents are draw	n from unau	dited financia	l data provi	ded by public
) nas no reć	ason to pelle		ormation to	be unreliable,	It is importa		these program	n alspursemt	ents are inar	ncea with pu	ublic authority

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported unsumentations are summaning and presenting the above schedule of 'Off Budget Spending'. Such reported unsumentations are summaning the state Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bund proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

	February 28, 2022	(***) <u>- \$</u>																																			- 46 849 231 90	-		- 124,405,912.88	15,760,942.72 12,941,967.06	338,242,983.12 42,902,924.85
	Cnange																							ı			1								·		- 8 226 197 64			- (3,125,465.11)	535,481.74 -	61,301,068.00 2,889,674.00
	January 31, 2022	, , ,									,													,						,					,		- 38 623 034 26	1		- 127,531,377.99	15,225,460.98 12,941,967.06	276,941,915.12 40,013,250.85
	December 31, 2021																							ı			1								·		- 30.372.688.77	1		- 126,962,739.31	14,021,084.15 12,941,967.06	276,741,915.12 40,013,250.85
ANDING(*)	November 30, 2021	s) 									10,846.13													,											,		- 98 297 010 66	-		-	13,144,259.09 12,941,967.06	164,211,671.12 35,747,601.85
STATE OF NEW YORK SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)	GENERAL FUND	STATE OPERATIONS AND LOCAL ASSISTANCE TOTAL GENERAL FUND	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS	HIGHWAT AND BRIDGE CAPITAL AVIATION PURPOSE ACCOUNT	REHAB/REPAIR MARITIME D21RVE- MARITIME	D36RVE- CENTRAL ADMIN	KESIDENCE HALL CAMPUS LET BOND PROCEEDS REHAB/REPAIR ALBANY			REHAB/REPAIR BUFFALO UNIVERSITY D28RVE- SUNY BUFFALO		DI3KVE-SIONTBROOK REHAB/REPAIR BROOKLYN	D14RVE - HSC BROOKLYN DEHAB/DEDAID SYDACHSE	D15RVE- HSC SYRACUSE	REHAB/REPAIR BROCKPORT		D03RVE -SUB BUFFALO REHAB/REPAIR CORTLAND	D04RVE- CORTLAND BEHAR/PEDAID EPENONIA	DOSRVE- FREDONIA	REHAB/REPAIR GENESEO D06RVE- GENESEO	REHAB/REPAIR OLD WESTBURY	USITIVE- OLD WESIEDART REHAB/REPAIR NEW PALTZ	D08RVE- NEW PALTZ REHAR/REPAIR ONFONTA	DOGRVE-ONEONTA	REHAB/REPAIR OSWEGO D10RVE- OSWEGO	UTITIVE- FLATTSBURGH REHAB/REPAIR POTSDAM	D12RVE-POTSDAM DEHAR/DEDAID DIDCHASE	D29RVE- PURCHASE	REHAB/REPAIR FOR UTICA/ROME		UZZKVE- ALFREU REHAB/REPAIR CANTON	D23RVE- CANTON REHAB/REPAIR COBLESKILL	D24RVE-COBLESKILL	REHAB/REPAIR DELHI D25RVF- DFI HI		UZ6KVE- FARMINGUALE REHAB/REPAIR MORRISVILLE	D27RVE- MORRISVILLE STATE PARK INFRASTRIJCTIJRF	CW/CA IMPLEMENTATION DEC	ΖZ	CW/CA IMPLEMENTATION EFC HAZARDOUS WASTE CLEAN UP	YOUTH FACILITIES IMPROVEMENT HOUSING ASSISTANCE	HOUSING PROG FD-HSG TR FD CORP HOUSING PROG FD AFFORD HSG CORP
	SFSFund	10050	FOOOC	30053	30101 30102	30103	30104 30105	30106 30107	30108 30108						30117 30118	30119	30120 30121	30122 30123	30124	30125 30126									30141 30147	30143	30145 30145				30151	30152 30153	30154 30351	30501	30503	30504 31506	31701 31801	31851 31852

P		November 30, 2021	December 31, 2021	January 31, 2022	Change	February 28, 2022
31854 HG 31951 HI	HOUSING PROG FD-HFA HIGHWAY FAC PURPOSE	- 11.951.597.85	- 11,951,597.85	- 11.951.597.85		11.951.59
	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00		153,750.00
32215 U	CAPITAL PROJECT MISC GIFTS	- 8,203,064.74	- 8,409,446.98	- 871,640.88	529,972.93	1,401,613.81
	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION OPWDD-STATE FACII ITLES PRE 12/99					
32302 D8	DSAS-COMMUINTY FACILITIES		,		,	
	OMH-COMMUNITY FACILITIES OPWIDD-COMMUNITY FACILITIES	77,796,107.43	80,298,236.15 -	83,407,116.75 -	2,117,629.44 -	85,524,746.19 -
	ASAS-COMMUNITY FACILITIES	189,059,165.24	191,795,526.81	192,805,526.81	5,866,200.00	198,671,726.81
32306 D/ 32307 D/	DASNY - OMH ADMIN DASNY - ORMIDI ADMIN	- 10 350 802 32	- 10 350 803 33	- 10 350 807 37	- 1 057 050 00	11 416 85
	DASNY - ORWED ADMIN DASNY - OASAS ADMIN	2,694,963.09	2,694,963.09	2,694,963.09	- -	2,694,963.09
	MH -STATE FACILITIES	161,899,042.03	179,148,757.59	184,699,364.83	6,924,467.92	191,623,83
32310 OF 32311 OF	OPWDD -STATE FACILITIES DASAS -STATE FACILITIES	40,143,820.33 3 707 368 73	46,728,883.16 4 128 130 13	46,728,883.16 4 128 130 13	- (116 538 58)	46.728.88
	DRR. FACILITIES CAPITAL IMPROVEMENT			-	-	
32352 DC	DOCSREHABILITATION PROJECTS	219,417,630.81	250,612,191.11	274,980,887.00	27,803,341.67	302,784,228.67
	STORM: FOR THE OWNER ACTION FOR THE OWNER ACTION TO THE OWNER ACTION TO THE OWNER ACTION TO THE OWNER AT A THE OWNE	62,049,446,20 1,363,340,664,90	63,143,325.53 1,477,208,966.23	63,284,319.34 1,514,073,698.67	1,710,836.20 115,719,915.85	64,995,155.54 1,629,793,614.52
	STATE SPECIAL REVENUE FUNDS					
	DCAL GOVERNMENT RECORDS MGMT					
		91,899,347.52	10,608,313.86	46,718,722.17	42,097,479.13	88,816,201.30
20901 EF	EPIC PREMIUM ACCOUN I LOTTERY-EDUCATION	- 984.870.301.83	735.023.939.65	763.849.519.46	4,258,226.09 (153.875.504.33)	5,/33,466.15 609.974.015.13
	VLT EDUCATION	1			-	
	ENVIR FAC CORP ADM ACCT	-	- 200 200 0	-	-	01 012 0
21061 H/		-	- -	c1.000,207,c		0,740,104.01 -
	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	199.99	199.99	1,655,399.98		1,655,399.98
21066 EN	FEDERAL GRAN IS INDIRECT COST RECOVERT ACCOUNT ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	2,886,735.88 3,814,428.48	1,208,567,54 4,131,874.87	4,600,980.00	675,590.48)	2,486,457.95
	ENCON-RECREATION			-	-	
21077 PU	PUBLIC SAFETY RECOVERY ACCOUNT ENVIRONMENTAL RECULATORY	- 68 601 775 88	- 70 830 107 21	- 70 604 564 80	- -	- 70 601 071 43
	AURONMENTAL RESOLUTION I ATURAL RESOURCES ACCOUNT	16,220,707.06	16,435,553.77	16,858,151.85	131,607.10	16,989,758.95
	MINED LAND RECLAMATION ACCT		•			
	GREAT LAKES RESTORATION INTITATIVE ALIDIT AND CONTPOL OIL SPILT	- 22	- 11 REN GE		- 100	- 100
		-	2,472.17		0.01	0.01
	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	241.99	263,807.94	9,117.13	(9,116.62)	
	UL SPILL COMPENSATION LICENSE FEF SURCHARGES					
	JBLIC TRANSPORTATION SYSTEMS				2,601,264.09	2,601,264.09
	METROPOLITAN MASS TRANSPORTATION	-	41,402,799.07			
21451 OF	OPERATING PERMIT PROGRAM MOBILE SOLIDCE	36,038,137.69	36,313,483.46	36,705,377.37	574,070.93	37,279,448.30
	HEALTH-SPARC'S					
		5,860,760.75	8,272,332.33	8,197,791.66	(1,740,346.25)	6,457,445.41
	MENTAL HYGIENE PATIENT INCOME ACCOUNT		-	-	-	10 002
	FINANUAL CUN IRUE BUARD RACING REGUI ATION ACCOUNT	550,154.19 4 378 390 34	211,838.34 4 890 956 76	4 486 907 59	100,040.01	529,016,46 4 692 902 05
	SU DORM INCOME REIMBURSE	159.688.77	47.534.07	54.645.01	472.174.06	526.81
21945 CF	CRIMINAL JUSTICE IMPROVEMENT					
	ENV LAB REF FEE					
21961 TF 21962 CL	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT CLINICAL LAB FEE	250,429.56 10.985.774.82	263,765.07 12.000.993.06	274,713.52 10.673.728.39	97,808.50 30.289.92	372,522.02 10.704.018.31
	INDIRECT COST RECOVERY					
	MULTI - AGENCY TRAINING ACCOUNT					
22003 BE	ELL JAR COLLECTION ACCOUNT	I		•	•	
	DUSIRT AND UTILIT SERVICE FAL PROPERTY DISPOSITION					
	PARKING ACCOUNT	209,659.58	123,298.75	210,505.81	411,199.29	621,705.10
22008 CC 22009 AS	COURTS SPECIAL GRANTS ASRESTOS SAFETY TRAINING	- 60.302.00	- 89 893 08	- 98 037 67	- (17 860 02)	- 80 172 60
		00:100	00000	10:100:00	(=0.000))	2.00
						•

APPENDIX F

Statical Statica		ACCOUNT TITLE INVESTMENT SERVICES	November 30, 2021 -	December 31, 2021 -	January 31, 2022 -	Change -	February 28, 2022
Control Control <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>							
Control Control <t< td=""><td></td><td>FINANCIAL OVERSIGHT REGULATION INDIAN GAMING</td><td>104,482,744.65</td><td>1,122,472.91 104,910,935.77</td><td>289,109.16 105,850,455.94</td><td>335,394.30 367,356.13</td><td>624,503. 106,217,812. </td></t<>		FINANCIAL OVERSIGHT REGULATION INDIAN GAMING	104,482,744.65	1,122,472.91 104,910,935.77	289,109.16 105,850,455.94	335,394.30 367,356.13	624,503. 106,217,812.
ENTROL Control Control <thcontrol< th=""> <thcontrol< th=""> <thco< td=""><td></td><td>KOME SCHOOL FOR THE DEAF DSP-SEIZED ASSETS</td><td>5,1/1,204,62 -</td><td>5,962,603.01 -</td><td>6,388,591.69 -</td><td>96.242,542</td><td>r.218,134. -</td></thco<></thcontrol<></thcontrol<>		KOME SCHOOL FOR THE DEAF DSP-SEIZED ASSETS	5,1/1,204,62 -	5,962,603.01 -	6,388,591.69 -	96.242,542	r.218,134. -
mtr. construction 333.6461 4.13.6461 4.23.6461 4.23.6461 2.22.6141 2.20.061 2.22.6141 2.20.061 2.22.61411 2.22.6141 2.22.61411		ADMINISTRATIVE ADJUDICATION FEDFRAI SALARY SHARING	38,098,201.83 1.315 723 85	41,616,770.67 1 513 258 27	38,963,095.51 1 632 543 08	591,170.97 289.952.88	39,554,266. 1 922 495 9
Control Marcel Section 369.465 400.44.75 423.64.52 200.000 Control Marcel Control Flection 369.46.55 400.44.57 423.64.52 200.000 Control Marcel Control Flection 369.46.55 57.02.000 35.07.45 30.02.000 Control Marcel Control Flection 369.46.55 36.07.47 37.07.200 30.07.47 Control Marcel Control Flection 41.07.27.25 36.07.04.45 40.04.4.57 30.02.02.000 Control Marcel Control 36.07.07.17 36.07.07.000 36.07.07.17 30.07.07.17 30.07.07.17 Control Marcel Control 36.07.07.000 36.07.07.000 36.07.07.17 30.07.07.000 30.07.07.17 Control Marcel Control 36.07.07.000 36.07.07.000 30.07.07.12 30.07.07.000 30.07.07.000 Control Marcel Control 36.07.07.000 36.07.07.000 30.07.07.000 30.07.07.000 30.07.07.000 30.07.07.000 Control Marcel Control 36.07.07.000 36.07.07.000 30.07.07.000 30.07.07.000 30.07.07.000 30.07.07.000 30.07.07.000 30.07.07.000 30.07.07.000 </td <td></td> <td>NYC ASSESSMENT ACCT</td> <td></td> <td></td> <td></td> <td>1</td> <td></td>		NYC ASSESSMENT ACCT				1	
0.003 MONTINGES CENTRECIPER 3.004.500 4.004.04.17 4.034.64.2 2.200.06 0.004 MONTINGES CENTRECIPER 5.004.061 5.004.061 5.004.062 2.004.061 0.004 MONTINGES CENTRECIPER 5.004.061 5.004.061 5.004.061 5.004.061 5.004.062 2.004.061 0.004 MONTINGES CENTRECIPER 5.004.061 5.004.061 5.004.061 5.004.061 6.074.71 0.004 MONTING FRECORD 0.004.061 2.0001.061 5.004.061 6.074.71 5.004.061 0.004 MONTING FRECORD 0.004.061 0.004.061 2.0001.061 5.004.061 6.074.71 0.004 MONTING FRECORD 0.004.061 0.004.061 0.004.061 0.004.061 6.074.71 0.004 MONTING FRECORD 0.004.061 0.004.061 0.004.061 0.004.061 0.004.061 0.004 MONTING FRECORD 0.004.061 0.004.061 0.004.061 0.004.061 0.004.061 0.004 MONTING FRECORD 0.004.061 0.004.061 0.004.061 0.004.061 0.004.061 0.004.061 0.004.061 0.004.061 0.004.061		CULIURAL EDUCATION ACCOUNT LOCAL SERVICE ACCOUNT					
Constructions 15.7.055.000 15.7.055.000 15.7.055.000 15.7.055.000 Constructions 15.7.055.000 15.7.055.000 15.7.055.000 11.1.1.51.51 Constructions 15.7.055.000 15.7.055.000 11.1.1.51.51 11.1.1.51.51 Constructions 15.7.055.000 15.7.055.000 11.1.1.51.51 11.1.1.51.51 Constructions 15.7.050.000 15.7.050.000 15.7.050.000 11.1.1.51.51 Constructions 15.7.050.000 15.7.050.000 15.7.050.000 11.1.1.51.51 Constructions 15.7.050.000 15.7.050.000 15.8.07.000 15.8.07.000 15.8.07.000 Constructions 15.7.050.000 15.8.07.000		DHCR MORTGAGE SERVICES	3,858,546.65	4,050,484.57	4,226,864.52	202,908.89	4,429,773.
CMM CONCERNIES/INCOME		HOUSING INDIRECT COST RECOVERY DHCR-HOUSING CREDIT AGENCY APPLY FEE	- 15,412,821.99	- 15,705,990.68	- 15,874,696.22	- 640,734.71	- 16,515,430.93
DEFERSION AND MATCHING 0 (14.7) 10 (4.4) 20 (4.6) (12.2 (4.6) DEFERSION AND MATCHING 0 (4.7) 10 (4.4) 20 (4.6) (12.2 (4.6) DEFERSION AND MATCHING 0 (4.7) 20 (4.7) 20 (4.7) (12.2 (4.6) DEFENSION AND MATCHING 0 (4.7) 20 (4.7) 20 (4.7) (12.2 (4.6) DEFENSION AND MATCHING 0 (4.7) 20 (4.7) 20 (4.7) (12.2 (4.6) DEFENSION AND MATCHING 0 (4.7) 20 (4.7) 20 (4.7) (12.2 (4.6) DATE CONCERNING 0 (4.7) 20 (4.7) 20 (4.7) 20 (4.7) (12.2 (4.6) DATE CONCERNING 0 (4.7) 20 (4.7) 20 (4.7) 20 (4.7) (12.2 (4.7) DATE CONCERNING 0 (4.7) 2 (4.7) 2 (4.7) 2 (4.7) (12.2 (4.7) DATE CONCERNING 0 (4.7) 2 (4.7) 2 (4.7) 2 (4.7) 2 (4.7) DATE CONCERNING 0 (4.7) 2 (4.7) 2 (4.7) 2 (4.7) 2 (4.7) DATE CONCERNING 0 (4.7) 2 (4.7) 2 (4.7) 2 (4.7)		LOW INCOME HOUSING CREDIT MONITORING					
REFERE CONFERENCE AND MANUNE 1014.17 10.44.44 207.62.56 (12.256.04) REFERE CONFERENCE AND MANUNE ENUID 000000 2007.000 2007.000 2007.000 2007.000 11.561.23 RASE CONFERENCE AND AND MEET ENUID 000000 2007.000		MONTROSE VETERAN'S HOME					
Retriction 2.37,010 2.87,000 2.87,000 111.561.34 Retriction 2.30,010 2.82,010 2.82,010 2.82,010 1.58,01 Retriction 2.00,010 2.83,000 2.66,000 2.		DEFERRED COMPENSATION ADMIN	60,184.78 11 379 223 79	130,454.94	207,982.66	(122,395.04)	85,587.1
TW REDCA INTERPLATE COUNT 2371 56 0 1115 51 1115 51 TW REDCA INTERPLATE PROCOUNT 2371 56 0 1115 51 1115 51 SERVICE AND COUNT 2375 56 0 2667 100 2668 5661 1883 73 SERVICE AND COUNT 2300 40 0 2687 500 2688 5661 1883 73 1883 73 SERVICE AND COUNT 2300 40 0 2300 40 0 2300 40 0 248 77 20 2300 40 0 SERVICE AND COUNT 2300 40 0 2300 40 0 2300 40 0 248 77 20 2300 40 0 248 77 72 SERVICE AND COUNT 2300 40 0 2300 40 0 2300 40 0 2300 40 0 248 77 72 248 77 72 248 77 72 248 77 72 248 77 72 248 77 72 248 77 72 248 77 72 2500 40 0 250 50 </td <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>							
Bit Report Report France 2000 000 2000		TAX REVENUE ARREARAGE ACCOUNT	- 267 606 63				- 226 662 6
Sub OMMERSING 20.65/000 20.65/000 20.65/000 20.65/000 168.13 VATE POLICE 20.65/000 20.65/000 20.65/000 20.65/000 168.13 VATE POLICE 20.05/000 20.66/000 20.66/000 20.66/000 20.66/000 20.66/000 20.66/000 <td></td> <td></td> <td></td> <td>-</td> <td>-</td> <td>+7.100,111</td> <td>110,001,2</td>				-	-	+7.100,111	110,001,2
OFF THE RELATION 533,534 13,87,73,4 19,161,76 20,000		S.U. NON-RESIDENT REV. OFFSET	20,685,966.00	20,687,030.80	20,688,396.61	1,689.13	20,690,085.
DOI DRIMING WATE FROEM 1892.734 1910.7128 210.372.0 210.327.2 210.372.0 210.327.2 210.327.2 210.327.2 210.327.2 210.327.2 210.327.2 210.327.2 210.327.2 210.323.0 210.327.2 210.327.2 210.323.0 210.323.0 210.327.2 210.323.0 210.323.0 210.323.0 210.323.0 210.327.2 210.323.0 210.323.0 210.327.2 210.327.2 210.323.0 <td></td> <td>LARE GEURGE PARK IRUSI FUND STATE POLICE MV ENFORCE</td> <td></td> <td></td> <td></td> <td></td> <td></td>		LARE GEURGE PARK IRUSI FUND STATE POLICE MV ENFORCE					
Instruction S3004070 S3004070 S3004070 S300477 S307719 Instruction S3004070 S3004070 S3004070 S3004070 S300477 S307719 Instruction S3004070 S3004070 S3004070 S3004070 S3004070 S3017219 Instruction S3015274 S1977234 S1977234 S1977234 S317319 Instruction S3015274 S3015274 S3017234 S317319 S317319 Instruction S3015274 S3017000 S309400 S347520 S3417500 S301731 Instruction S301500 S3475200 S3475200 S3475500 S		DOT - HIGHWAY SAFETY PRGM	18,852,773.45	19,181,264.45	19,106,178.99	216,976.20	19,323,155.
Construction Construction<		DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70		5,350,949.
HIGHWIGH 3.287/6 3.287/6 3.287/6 1.33.287/6 FEDERAL FLORE 00AL STATE SECURE CONTRACTION 3.287/6 1.34.266.233/6 3.287/6 NOVA STATE SECURE CONTRACTION 00AL STATE SECURE CONTRACTION 3.287/6 1.34.267.610/2 1.34.267.610/2 NOVA STATE SECURE CONTRACTION 00AL STATE SECURE CONTRACTION 3.287/6 1.34.267.610/2 1.34.665.236/6 1.36.761.60/2 1.37.666.236/6 1.37.666.236/6 1.37.666.236/6 1.37.666.236/6 1.37.67.60/2 1.37.67.60/2 1.36.67.761.00 1.37.67.60/2 1.37.666.236/6 1.37.67.60/2 1.37.67/2 1.37.67/2 </td <td></td> <td>NTCCC UPERALING OF SET COMMERCIAL GAMING REGULATION</td> <td>21,367,022.54</td> <td>21,790,712,59</td> <td>22,096,327.34</td> <td>(29,42/,742.10) 333,173.19</td> <td>20,428,723. 22,429,500.</td>		NTCCC UPERALING OF SET COMMERCIAL GAMING REGULATION	21,367,022.54	21,790,712,59	22,096,327.34	(29,42/,742.10) 333,173.19	20,428,723. 22,429,500.
Marka Several Restance Funds 9.22.95 3.2.267.66 3.2.267.66 1.2.32.267.66 1.2.30.766 1.2.30.766 1.2.30.766 1.2.30.766 1.2.30.766 1.2.30.766 1.2.30.766 1.2.30.776 2.3.66 1.2.30.776 2.3.66 1.2.32.776 2.3.66 1.2.32.726 2.3.66 1.2.32.726 2.3.66 1.2.32.726 2.3.66 1.2.32.726 2.3.66 1.2.32.726 2.3.66 1.2.32.726 2.3.66 1.2.32.726 2.3.66 1.2.32.726 2.3.66 1.2.32.726 2.3.66 1.2.32.726 2.3.66 2.3.66 2.3.26 <td></td> <td>HIGHWAY USE TAX ADMIN</td> <td>•</td> <td>•</td> <td>•</td> <td>•</td> <td>•</td>		HIGHWAY USE TAX ADMIN	•	•	•	•	•
TOTA STATE SECIAL REVENUES FUNDS 1.232.280.481.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.280.461.30 1.232.461.00 2.862.280.461.22.37 2.862.280.461.22.37 2.862.280.461.22.37 2.862.280.461.22.37 2.862.280.461.22.37 2.862.280.461.22.37 2.862.280.461.22.37 2.862.280.461.22.37 2.862.280.461.22.37 2.862.280.461.22.360.47.37 2.862.280.47.37		NYS SECURE CHOICE ADMIN FANTASY SPORTS ADMINISTRATION	- 98.229.26	- 73.767.86	33 267 86		- 296 25
FEDERAL FUND FEDERAL FUND 12.317/002.78 4656.366.77 2664.152.37 FEDERAL HEALTH AND HUMAN SERVICES FUND 83.336.716.19 30.33005.06 66.786.377.85 746.294.458.53 FEDERAL HEALTH AND HUMAN SERVICES FUND 83.336.716.19 30.33005.06 56.788.377.85 746.294.458.53 FEDERAL HEALTH AND HUMAN SERVICES FUND 83.336.716.19 30.33005.06 56.783.376.86 746.279.473 FEDERAL LEVENT AND HUMAN SERVICES FUND 83.336.716.19 83.336.716.19 37.3302.66 56.783.706.85 746.294.456.53 FEDERAL CAPTA NOD MAIN TRANING GRANTS FUND 83.336.716.19 37.3302.66 37.3302.66 37.3302.66 264.406.67.57.441 10.530.457 11.67.523.45 10.67.523.36 466.27.94.10 10.67.523.36 466.27.94.10 10.66.738.15 10.66.738.15 10.67.523.36 466.27.94.10 10.67.523.36 466.27.94.10 10.67.523.36 466.27.94.10 10.67.523.36 466.27.94.10 10.67.523.36 10.66.738.45 10.67.523.36 10.67.523.36 10.67.523.36 10.67.523.36 10.67.523.36 10.67.523.36 10.67.523.36 10.67.523.36 10.67.523.36 10.67.523.36		TOTAL STATE SPECIAL REVENUE FUNDS	1,542,662,837.81	1,254,251,184.70	1,282,283,464.33	(129,167,765.02)	1,153,115,699.3
FEDERA LUSAMFOON DIVITIFION SERVICES FUND FEDERA LUSAMFOON DIVITIFION SERVICES FUND FEDERA LUSAMFOON DIVITIFION SERVICES FUND FEDERA LUCATION GRAVITS FUND SERVICES FUND MILTARY ADD MANITS FUND MILTARY ADD MA		FEDERAL FUNDS					
FERENAL EDUCATION GRANTE FUND C13036867 T14173654 T18076530 T1807630	6 g	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND FEDERAL HEALTH AND HI IMAN SERVICES FUND	152,301,080.24 838 385 718 19	53,717,092.78 310 939 085 04	44,636,395.87 506 788 317 88	29,694,152.37 (346 219 433 85)	74,330,548.2
Constraint Constraint <thconstraint< th=""> Constraint Constrai</thconstraint<>	34	FEDERAL EDUCATION GRANTS FUND	61,286,986.76	115,976,230.87	71,181,799.54	71,807,628.38	142,989,427.9
G 8./53.322.80 8./53.322.80 88.364571 8.0,53.22.80 8./53.322.80 101.329.433158 8.0,53.22.80 101.329.433158 8.0,53.22.80 16.53.66 8.0,53.22.80 16.53.66 8.0,53.45.60 16.53.66 8.0,53.22.80 16.53.66 8.65.34.60 16.53.66 8.13.51.61 16.53.66 101.239.43315 103.324.50 16.53.66 103.326	66	FEDERAL OPERATING GRANTS FUND	528,907,457.65	584,367,368.08	530,270,196.39	(48,176,555.92)	482,093,640.47
FEDERAL CAPTAL PROJECTS FUND 103:323.453 103:323.443 103:323.453 103:3		MILLIARY AND NAVAL AFFAIKS DEPARTMENT OF TRANSPORTATION	872 994 769 18	842 231 775 78	804 642 784 10	- 2 694 505 68	807 337 289
Insumuce administration 36.336 fill of all states 277 36.336 fill states 277 36.337 fill states 277 36.377 fill states 277 36.376 fill sta	49	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	109,152,004.57	111,260,271.34	101,329,453.15	109,739.15	101,439,192.
FILENAL UNMENT ADDIMINION 747.373 (b) 33.134 (b) 33.134 (c) 33.131 (c)	4 0	UNEMPLOYMENT INSURANCE ADMINISTRATION	39,830,610.20	81,346,923.77	70,933,467.98	486,384.60	71,419,852
Total EDERAL FUNDS 2619,565,970.04 2,110,420,201.54 2,144,891,172.66 (290,068,041.37) 1,1 AGENCY FUNDS AGENCY FUNDS 2,144,891,172.66 (290,068,041.37) 1,1 MMIS - STATE AND CHARANCE ACCT MMIS - STATE ACCOUNT CHARANCE ACCOUNT CHARANCE ACCT MMIS - STATE ACCOUNT CHARANCE ACCT MMIS - STATE ACCOUNT CHARANCE ACT ACT MIS - STATE ACCOUNT CHARANCE ACT MIS - STATE ACCT MIS -	64	DOL EMPLOYMENT AND TRAINING GRANTS	7,472,378.95	1,236,067.11	5,858,896.37	(466,097.38)	431, 303.12 5, 392, 798.99
1.311.531.89 1.344.051.42 1.396.692.11 (159.026.91) 3551.011.44 346.714.64 1.396.692.11 (17.341.25) 3551.011.44 346.714.64 1.55,580.59 (17.341.25) 365.547.33 1.590,766.06 1.756.380.59 (17.341.25) 915.942.58 836.639.01 738.222.68 42.141.36 1.124.992.77 66.738.20 56.751.54 5.746.01 29.327.74 66.738.20 56.751.54 5.730.09 29.327.74 1.175.925.81 14.441.318.33 5.3430.46 1.124.992.77 1.175.925.81 11.66.738.20 5.3430.46 1.124.992.74 1.175.925.81 14.441.318.33 5.3430.46 1.124.992.74 1.175.925.81 14.441.318.33 5.3430.46 1.124.992.74 1.176.905.174.48 14.687.395.63 5.3430.49 1.124.992.74 1.176.905.174.48 14.687.395.63 5.3430.49 1.124.992.74 1.176.905.174.48 14.687.395.63 5.938.099.49 1.124.992.74 1.176.905.174.48 14.687.395.63 5.938.099.49 1.135.972.41 1.168.7395.53 5.938.099.49 1.135.972.41 1.666.174.48 14.441.318.33 14.441.316.33 1.135.972.44 1.656.32 14.441.318.33		TOTAL FEDERAL FUNDS	2,619,565,970.04	2,110,420,201.54	2,144,891,172.66	(290,068,041.97)	1,854,823,130.
1.311.531.89 1.344.051.42 1.386.682.11 (159.026.91) 551.011.44 3.67.74.64 357.688.88 (17.341.26) 351.011.45 3.67.74.64 357.688.88 (17.341.26) 351.011.45 3.67.74.64 1.556.380.59 (17.341.26) 1.662.543.33 1.690.766.06 1.756.380.59 (17.341.26) 815.942.58 835.839.01 738.222.68 42.141.98 2.33.774 66.738.20 55.751.34 5.716.01 1.124.992.77 1.175.925.91 53.2399.90 53.430.46 2.4141.173.54 1.756.17.33 53.430.46 5.340.46 1.35.907.282.14 15.102.319.22 14.441.318.33 5.3430.46 1.463.759 3.716.01 3.467.395.63 5.3430.46 1.5907.282.14 15.102.319.22 14.441.318.33 (614.127.47) 1.68.345.734 165.165.33 2.18.73.220 1.455.931.16		HFAI		'	,		,
1.311.531.89 1.344.051.42 1.398.682.11 (159.026.91) 3551.011.44 346.714.64 357.685.58 (17.341.26) 3551.011.44 346.714.64 357.685.58 (17.341.26) 3551.011.44 346.714.64 357.685.58 (17.341.26) 815.842.58 836.839.01 7.36.222.68 42.141.98 815.842.58 836.839.01 738.222.68 42.141.98 2.3.222.68 1.124.922.74 66.738.20 56.751.64 5.716.01 1.124.922.74 1.65.738.20 55.714.61 5.340.46 5.716.01 1.124.922.74 1.65.738.20 56.751.64 5.340.46 5.340.46 1.124.922.74 1.65.739.563 5.938.099.49 5.716.01 1.124.922.74 1.75.605.174.48 14.667.395.63 5.938.099.49 1.13.907.262.14 15.102.319.22 14.441.318.33 14.45.747.1 168.545.70 161.759.20 14.85.322.00 14.45.347.6 168.545.70 161.759.70 14.85.45.7 14.85.45.7		MMIS - STATE AND FEDERAL					
1311,531.89 1.344,051.42 1.396,689.21 (159,026.91) 351,011.14 346,714.64 537,888.89 (17,341.29) 351,011.14 346,714.64 537,888.89 (17,341.29) 815,942.58 856,839.01 738,222.68 (17,541.29) 815,942.58 856,839.01 738,222.68 (17,5,381.7) 29,327.74 66,738.20 56,551.54 5,716.01 1,175,54 1,750,525.91 63,430.46 53,430.46 24,141,775.4 1,756,525.91 63,231.66 53,430.46 24,141,775.4 1,750,625 5,938.09 5,938.09 13,907,282,14 15,102,319,22 14,441.318.33 146,4127.47) 168,5204,4 165,1653 24,131.63 146,4127.47)		TOTAL AGENCY FUNDS					•
1,11,531.89 1,344.051.42 1,386.802.11 (150.025.91) 351.011.44 346.714.64 355.768.658 (173.41.26) 1,662,543.33 1,690,766.06 1,556,380.588 (173.41.26) 815.942.58 836.039.01 738,222.688 42,141.88 815.942.58 836.639.01 738,222.68 42,141.88 815.942.58 836.639.01 738,222.68 42,141.88 815.942.58 836.639.01 738,222.68 42,141.88 28.327.74 66.738.20 56.751.54 5,746.01 1.175.492.77 1.175.925.91 632.2399.90 5,938.099.49 24.141.175.44 15,602.319.22 14,413.83.322.90 146.177.47 168.520.4 15,162.319.22 144.41.318.33 146.172.47 168.520.4 165.165.3 24,373.222.90 145.165.331.16 168.520.4 165.165.3 24,373.222.90 145.165.331.16		ENTERPRISE FUND					
T.662,34.33 T.600,766.06 T.56,30.09 T.56,30.09 T.56,30.09 815,942.58 836,039.01 738,222.68 42,141.98 815,942.58 836,639.01 738,222.68 42,141.98 28,327.74 66,738.20 56,751.54 5,716.01 1,175,44 1,175,925.91 63,430.46 5,340.46 24,141,175,44 1,750,015.44 5,340.46 5,340.04 24,141,175,54 17,606.174.49 14,687,395.63 5,938.099.49 13,907,282,14 15,102.319.22 14,413.18.33 14,417.747 168,520,4 165,165.33 24,1318.33 14,417.747		OGS CONVENTION CENTER ACCOUNT EMPIDE PLATA CIET SUCD	1,311,531.89	1,344,051.42 346.714.64	1,398,692.11 357,688,58	(159,026.91)	1,239,665.
815,942.58 836,839.01 738,222.68 42,141,98 23,327,74 66,738,20 56,751,54 57,161 1,124,992,77 1,175,925,91 65,738,20 55,54 24,141,175,54 17,606,174,48 14,687,395,63 5,938,099,49 24,141,175,54 15,102,319,22 14,441,318,33 (614,127,47) 13,907,282,14 15,102,319,22 14,441,318,33 (614,127,47) 168,3270,44 165,165,93 718,173,22 01 (145,5381)6			1,662,543.33	1,690,766.06	1,756,380.69	(176,368.17)	1,580,012.
815.942.58 836.839.01 736.222.68 42.141.98 29.327.74 66.738.20 56.751.44 5.716.01 11.24.992.77 11.15.925.91 65.2999.90 53.430.46 24.141.175.54 17.606.174.48 14.867.395.63 5.3430.46 13.907.262.14 15.102.319.22 14.441.318.33 (614.127.47) 15.907.262.14 15.165.97 145.165.93 216.01							
815.942.58 835.9.01 7.38.22.68 42.141.98 29.27.74 66.738.20 56.751.54 5.716.01 1.124.992.77 1.175.925.91 65.73.898.80 5.34.30.46 24.141.175.34 1.77.606.174.46 14.667.395.63 5.938.099.49 13.907.262.14 15.102.319.22 14.441.318.33 (614.127.47) 15.907.262.14 15.165.93 718.73.922 90 14.45.341.6		CENTRALIZED SERVICES-FLEET MGMT					
29.327.74 66.738.20 56.751.4 5.716.01 1.124.992.77 1.175.925.91 65.23.895.80 5.3.430.46 24.141.175.34 1.7506.174.46 14.667.395.63 5.938.099.49 13.907.262.14 15.102.319.22 14.441.316.33 (614.127.47) 14.697.322.90 1.455.381.16 168.320.44 165.165.93 218.125.93 (18.594.57)			815,942.58	836,839.01	738,222.68	42,141.98	780,364.
29.327.74 66.738.20 56.751.4 5.716.01 1.124.992.77 1.175.925.91 65.22.989.80 5.3.430.46 24.141.175.34 1.7506.174.46 14.667.395.63 5.938.099.49 13.907.262.14 15.102.319.22 14.441.316.33 (614.127.47) 15.907.262.14 15.165.33 718.73.322.90 1.455.331.16 168.327.04 155.155.93 718.173.53 (18.594.57)		CENTRALIZED SERVICES-REAL PROPERTY-LABOR					1
1,124,992.77 1,175,925.91 632,989.80 5,343.46 24,141,175.54 17,606,174,48 14,687,395.63 5,938,099,49 13,907,282.14 15,102,219,22 14,441,318,33 (614,127,47) 168,320,44 165,165,93 243,322,90 14,653,331,6 168,320,44 165,165,93 241,312,232,90 14,653,331,6		CENTRALIZED SERVICES PERSONAL PROPERTY CENTRALIZED SERVICES PERSONAL PROPERTY	29.327.74	66.738.20	56.751.54	5.716.01	62.467
24,141,1/5.34 1/.006,1/4,48 14,667,395.05 5,98,099,49 13,907,262,14 15,102,219,22 14,441,316,33 (61,4,127,47) 16,68,320,44 165,165,93 218,125,203 (14,55,83,16 168,320,44 165,165,93 218,125,93 (14,56,83)		CENTRALIZED SERVICES CONSTRUCTION SERVICES	1,124,992.77	1,175,925,91	632,989.80	53,430.46	686,420.
13.907.282.14 15.102.319.22 14.441.318.33 (614.127.47) 4.873.222 00 14.65.341.16 168.320.44 165.165.93 218.123.23 (18.564.57)		CENTRALIZED SERVICES-PASNY CENTRALIZED SERVICES-ADMIN SUPPORT	24,141,1/3,54	17,606,174.48 -	14,687,395.63	5,938,099.49	20,625,495.
4,8/3,922,90 1,435,381,16 168,320,44 165,165,93 218,123,93 (18,594,57)		CENTRALIZED SERVICES DESIGN AND CONSTR	13,907,262.14	15,102,319.22	14,441,318.33	(614,127.47)	13,827,190.
		CENTRALIZED SERVICES-INSURANCE CENTRALIZED SERVICES-SECURITY CARD ACCESS	-	- 165 165 03	4,873,922.90	1,435,381.16 /18.504.57)	6,309,304.

APPENDIX F

SFS Fund	ACCOUNT TITLE	November 30, 2021	December 31, 2021	January 31, 2022	Change	February 28, 2022
55014	CENTRALIZED SERVICES-FOOD SERVICES					
55015	CENTRALIZED SERVICES-HOMER FOLKS					
55016	CENTRALIZED SERVICES-IMMICS	946,556.87	869,756.28	1,239,295.06	25,122.96	1,264,418.02
55017	DOWNSTATE WAREHOUSE	172.020.32	257.960.81	329.054.65	34,238,38	363,293,03
55018	BUILDING ADMINISTRATION			,		
55019	I FASE SPACE INITIATIVE					
55020	OGS ENTERPRISE CONTRACTING ACCT	75 067 705 32	67 045 169 77	69 115 748 00	(7 871 694 55)	61 244 053 45
55021	NYS MEDIA CENTER	13 020 264 80	13 250 443 67	13 801 075 17	524 510 45	14 375 585 67
55022	BUSINESS SERVICES CENTER	19.785.930.89	22.249.319.36	24.419.971.05	2.186.304.41	26.606.275.46
55052	ARCHIVES RECORD MGMT LS.		47.577.70	94.476.06	104.619.74	199.095.80
55053	FEDERAL SINGLE AUDIT					
55055	CIVIL SERVICE ADMINISTRATION ACCOUNT					
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG			,	1	
55057	RANKING SERVICES ACCOUNT	3 166 80	41 989 51	115 176 91	(111 202 71)	3 974 20
55058	CULTURAL RESOURCE SURVEY	6 135 647 08	6 499 110 75	3 134 154 30	(1 493 494 90)	1 640 659 40
55059	NEIGHBOR WORK PROJECT	10.852.954.39	10.651,663.94	9.099.524.47	2.588.607.16	11.688.131.63
55060	AUTOMATIC/PRINT CHARGBACKS	1	1	I	I	
55061	OFT NYT ACCT			,		
55062	DATA CENTER ACCOUNT	84.873.400.14	84,594,981,57	84,594,981,57	(10.687,690,56)	73.907.291.01
55066	CYBER SECURITY INTRUSION ACCT	1.261.584.27	1.261.584.27	1.261.584.27		1.261.584.27
55067	DOMESTIC VIOLENCE GRANT	132.522.17	159.026.75	185.761.73	22.515.43	208.277.16
55069	CENTRALIZED TECHNOLOGY SERVICES	99.736.918.56	105.825.486.90	118.346.807.54	(3.442.499.08)	114.904.308.46
55071	LABOR CONTACT CENTER ACCT	970 792 07	1.313.421.37	1 551 394 93	(712.963.57)	838 431 36
55072	HUMAN SERVICES CONTACT CNTR ACCT	1.282.748.15	1.400.530.28	2.183.633.40	(80.767.63)	2.102.865.77
55073	TAX CONTACT CENTER ACCT				i	
55074	CIVIL RECOVERIES ACCT					
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	10,123,834.30	9.644.005.91	9,905,727.02	221.054.06	10,126,781.08
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	59,775,102,93	64.228.797.17	67,173,706.07	(25,398,797,88)	41.774.908.19
55300	HEALTH INSURANCE INTERNAL SERVICE	•	•	•	560,929.38	560,929.38
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	7.725.360.69	7.832.398.86	7.916.576.08	84.177.22	8.000.753.30
55350	CORR INDUSTRIES INTERNAL SERVICE	32.946.219.83	35.065.034.65	36.080.198.04	1.284,846,43	37.365.044.47
	TOTAL INTERNAL SERVICE FUNDS	464,999,748.79	467,191,422.27	486,197,571.13	(35,320,138.20)	450,877,432.93
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 5,992,231,764.87	\$ 5,310,762,540.80	\$ 5,429,202,287.48	\$ (339,012,397.51)	\$ 5,090,189,889.97

exceed available revenues during the fiscal year. Generally, temporary loans are replad from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group. (*) Temporary loans to federal fund are applicably reinbursed within 2.3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a relimbursement claim from the U.S. Treasury. (***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

Financial Reports

APPENDIX F

STATE OF NEW YORK DEDICATED INFRASTRUCTURE INVESTMENT FUND(') STATEMENT OF RECEIPTS AND DISBURSEMENTS FISCAL YEAR 2021-2022													APPENDIX G
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	11 Months Ended February 28, 2022
OPENING CASH BALANCE	\$ 96,722,524	\$ 83,609,252	\$ 39,931,404	\$ 84,394,170	\$ 74,940,119	\$ 56,499,178	\$ 39,300,502	\$ 95,669,098	\$ 74,343,891	\$ 32,480,141	\$ 72,768,081		\$ 96,722,524
RECEIPTS: Transfers from General Fund (**) Other	- 1,407		- 70,000,000				65,000,000 -			- -			185,000,000 1,407
Total Receipts	1,407	.	70,000,000				65,000,000	.		50,000,000			185,001,407
DISBURSEMENTS:													
Affordable and Homeless Housing	731,174	1,659,409	2,836,458	1,124,218	1,913,433	2,199,742	1,589,959	1,685,270	3,080,980	1,930,703	3,010,438		21,761,784
Broadband Initiative Downtown Revitatization	4,U/b,555 379 374	25,384,346	8,629,425 407 573	1,424,U23 20.085	6,832,125 450 719	269,424 400 042	716715 216715		15,316,188 152 472	70 873	/,/2/,UU6 1.460.012		71,5U5,251 5 117 601
Empire State Poverty Reduction Initiatives	-	1,446,891	415,672	632,562	514,408	240,587	683,280	189,071	11,384,686	179,938	86,037		15,773,132
Health Care / Hospital Initiatives	192,187	415,645		672,776		665,222	298,056	186,259		532,975	777,062		3,740,183
Intormation Technology/Intrastructure for behavioral ociences Infrastructure Improvements	 v	276.017	1.910.168	28.159	- 1.640.711	2.489.128	49.283	2.775.440	3.463.583	101.290	- 619.841		13.353.620
Jacob Javits Center Expansion				•		-							
Life Sciences Initiative Municipal Restructuring / Consolidation Competition	- 606.923	- 2.912.068	994,530 843.903	1,437,634 12.017	49,999 1.256.401	2,500,000 364.844	- 1.120.551	347.292	3,000,000 11.418	49,684 2.358,883	99,546 528.812		8,131,393 10.363.112
Penn Station Access	-			'							-		
Resiliency, Mitigation, Security and Emergency Response Southern Tier / Hudson Valley Farm Initiative	- (101.562)		- 338.083		(338) (149 466)		- 11 260	(2,693) -	(1,207) 75 772	- 144 634	- (875,000)		(4,238) (656 279)
Thruway Stabilization Program	-		-		-	'	-	,	-	-	-		-
Transformative Economic Development Projects	866,444	1,948,402	2,911,422	1,491,953	283,784	260,226	2,639,993	3,021,771	8,333	250,000	4,164,165		17,846,493
i ransporation Capital Plan Upstate Revitalization Program	6,363,584	8,182,744	6,250,000	2,601,624	5,649,665	7,719,461	1,913,159	11,952,235	5,371,525	3,517,631	1,439,049		- 60,960,677
Total Disbursements	13,114,679	43,677,848	25,537,234	9,454,051	18,440,941	17,198,676	8,631,404	21,325,207	41,863,750	9,712,060	18,936,969		227,892,819
OPERATING TRANSFERS: Transfers to General Fund													
Total Operating Transfers	'		•	•	•							•	
Total Disbursements and Transfers	13,114,679	43,677,848	25,537,234	9,454,051	18,440,941	17,198,676	8,631,404	21,325,207	41,863,750	9,712,060	18,936,969		227,892,819
CLOSING CASH BALANCE	\$ 83,609,252	\$ 39,931,404	\$ 84,394,170	\$ 74,940,119	\$ 56,499,178	\$ 39,300,502	\$ 95,669,098	\$ 74,343,891	\$ 32,480,141	\$ 72,768,081	\$ 53,831,112	ج	\$ 53,831,112

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b $^{(m)}$ Pursuant to Section 93(b) of the State Finance Law

		FEBRUARY 2022		1	11 MONTHS ENDED FEBRUARY 28	8
	<u>Department of Health</u>	Other State Agencies	February	<u>Department of Health</u>	Other State Agencies	<u>Year to Date</u>
Adult State Share Medicaid	ب	\$ 57,105,758.00 \$	57,105,758.00	۰ ج	\$ 268,358,055.00 \$	268,358,055.00
State Share Medicaid	17,366,410.00	5,533,241.24	22,899,651.24	119,442,913.00	9,111,412.06	128,554,325.06
Medical Assistance (OPWDD)		406,520,997.00	406,520,997.00		2,094,338,114.79	2,094,338,114.79
Medical Assistance Administration	4,496,112.92	,	4,496,112.92	51,806,611.05	336,272,689.00	388,079,300.05
Traumatic Brain Injury Services	760,000.00	,	760,000.00	10,370,183.89		10,370,183.89
Nursing Home Transition & Diversion	150.13	,	150.13	897,588.70		897,588.70
Reducing Maternal Mortality	1,181,749.00		1,181,749.00	1,630,341.46		1,630,341.46
New York Connects		3, 702, 943. 40	3,702,943.40	I	16,728,752.19	16,728,752.19
Facilitated Enrollment	T			3,135,471.53	T	3,135,471.53
Managed Long-Term Care Ombudsman	558,069.42	,	558,069.42	5,002,273.23		5,002,273.23
General Hospitals Safety-Net Providers	38,011,003.00		38,011,003.00	298,289,564.00		298,289,564.00
AIDS Epidemic	1,082,276.83	'	1,082,276.83	10,782,133.08		10,782,133.08
Expanding Caregiver Support Services	1,625,445.94	,	1,625,445.94	19,623,133.19		19,623,133.19
Provide Affordable Housing	1,113,067.94	8,214,007.14	9,327,075.08	22,502,955.45	19,642,981.74	42,145,937.19
Community Provider Network	9,541,446.75	,	9,541,446.75	23,031,996.75	I	23,031,996.75
Inpatient Services	67,859,236.20	,	67,859,236.20	466,357,553.60		466,357,553.60
Patient Centered Medical Homes	57,153,849.01		57,153,849.01	115,785,985.50		115,785,985.50
Outpatient & Emergency Room Services	(307,036.23)	- (1	(307,036.23)	187,545,632.96	I	187,545,632.96
Clinic Services	15,451,352.45	,	15,451,352.45	187,386,668.28	I	187,386,668.28
Nursing Home Services	86,122,275.17	1	86,122,275.17	1,198,168,951.67	I	1,198,168,951.67
Other Long Term Care Services	(302,802,119.57)	- ()	(302,802,119.57)	1,993,862,287.51	I	1,993,862,287.51
Managed Care Services	248,382,283.89	,	248,382,283.89	4,527,515,553.15	I	4,527,515,553.15
Pharmacy Services	13,638,725.77	1	13,638,725.77	152,221,015.80	I	152,221,015.80
Transportation Services	9,169,076.55		9,169,076.55	119,393,377.51	I	119,393,377.51
Dental Services	213,250.93		213,250.93	3,002,010.49	I	3,002,010.49
Non-Institutional & Other	524,917,995.44	10,662,500.00	535,580,495.44	5,225,422,894.51	29,726,440.00	5,255,149,334.51
Medical Services State Facilities	76,000,243.77	1	76,000,243.77	1,076,985,440.96	ı	1,076,985,440.96
CSEA Family Health Plus Buy In	49,937.56	,	49,937.56	1,917,874.79		1,917,874.79
Medical Assistance (HCRA)	250,000,000.00		250,000,000.00	3,700,000,000.00	I	3,700,000,000.00
Personal Care Workforce Recruitment and Retention	130,400,000.00		130,400,000.00	130,400,000.00	I	130,400,000.00
Home Health Rate Increase	44,300,000.00	,	44,300,000.00	44,300,000.00	I	44,300,000.00
Indigent Care	65,555,870.12		65,555,870.12	665,744,216.62	ı	665,744,216.62
Provider Assessments	74,161,000.00		74,161,000.00	706,290,000.00	ı	706,290,000.00
Additional DSH Payments SUNY		-		165,561,662.36		165,561,662.36
T0TAL ^(**)	1,436,001,672.99	491,739,446.78	1,927,741,119.77	21,234,376,291.04	2,774,178,444.78	24,008,554,735.82
Reclassification of Medical Assistance payments for care and treatment of patients at State- operated health, mential hygiene and State University facilities to Transfers.	(76,417,678.68)	-	(76,417,678.68)	(1,332,028,698.38)	,	(1,332,028,698.38)

TOTAL REPORTED MEDICAID

⁽¹⁾ General Fund and State Special Revenue Funds only. These amounts do not include Medical Assistance spending for State Operations. These amounts are not comparable to Medicaid Global Cap spending.

Department of Health regularly reclassifies spending between programs. and therefore amounts for any individual program may be restated by DOH. "source: Statewide Financial System

APPENDIX H

22,676,526,037.44

2,774,178,444.78

19,902,347,592.66 \$

1,851,323,441.09

491,739,446.78

1,359,583,994.31

STATE OF NEW YORK MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS⁽⁷⁾

FISCAL YEAR 2021-2022

		FEBRUARY 2022		11 MO	11 MONTHS ENDED FEBRUARY 28	8
	Department of Health	Other State Agencies	<u>February</u>	Department of Health	Other State Agencies	<u>Year to Date</u>
Medical Assistance & Survey Certification Program	\$ 6,833,552.00	\$ '	6,833,552.00	\$ 129,886,206.11	\$ '	129,886,206.11
Medical Assistance Administration	126,377.00		126,377.00	13,091,867.42	281,336,226.00	294,428,093.42
Inpatient Services	263,238,211.66		263,238,211.66	4,294,439,297.11	1	4,294,439,297.11
Outpatient & Emergency Room Services	27,281,656.81		27,281,656.81	451,442,551.50		451,442,551.50
Clinic Services	62,973,469.67		62,973,469.67	637,896,527.82		637,896,527.82
Nursing Home Services	158,316,113.14		158,316,113.14	1,705,795,028.83		1,705,795,028.83
Other Long Term Care Services	1,347,922,090.38		1,347,922,090.38	16,016,504,356.31		16,016,504,356.31
Managed Care Services	1,361,799,315.04		1,361,799,315.04	18,585,598,497.81		18,585,598,497.81
Pharmacy Services	35,222,549.51	,	35,222,549.51	387,578,660.12		387,578,660.12
Transportation Services	41,974,578.40		41,974,578.40	476,052,168.32		476,052,168.32
Dental Services	618,046.44		618,046.44	8,724,157.22		8,724,157.22
Non-Institutional & Other	120,174,939.04		120,174,939.04	(215,698,370.93)	35,003,522.00	(180,694,848.93)
Medical Services State Facilities	1,126,933.00		1,126,933.00	600,285,208.41		600,285,208.41
Additional DSH Payments SUNY				212,433,000.64		212,433,000.64
TOTAL ^(**)	3,427,607,832.09		3,427,607,832.09	43,304,029,156.69	316,339,748.00	43,620,368,904.69
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	(\$96,969,860.68)		(89.969,860.68)	(1,449,540,311.13)		(1,449,540,311.13)
TOTAL REPORTED MEDICAID ^(***)	\$ 3,330,637,971.41	\$ - \$	3,330,637,971.41	\$ 41,854,488,845.56	\$ 316,339,748.00 \$	42,170,828,593.56

only.
Funds
Federal
Revenue
Special
ε

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Clobal Cap spending. ⁽¹⁾ Source: Statewide Financial System ⁽¹¹⁾ Reported Medicaid spending does not include the Basic Health Plan.

APPENDIX I

STATE OF NEW YORK MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS $^{(\prime)}$

FISCAL YEAR 2021-2022