NEW YORK STATE OF OPPORTUNITY.
Building Standards and Codes

New York State
Department of State
Division of Building Standards and Codes
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https://dos.ny.gov

Filing to the State Fire Prevention & Building Code Council of More Stringent Local Energy Conservation Construction Code (Energy Code – Energy Law § 11-109)

INSTRUCTIONS TO FILER:

Complete this form to file a more stringent local energy conservation construction code than the State Energy Conservation Construction Code ("Energy Code") with the State Fire Prevention & Building Code Council ("Code Council") pursuant to Energy Law §11-109.

Please note that if the filing is submitted within thirty (30) days of the promulgation or adoption of the local code or amendments or revision thereof, then the Municipality may enforce such local code, amendment, or revision until and unless the Code Council determines that such local code, amendment, or revision is not more restrictive than the Energy Code. **If the filing is not submitted within such thirty (30) day time period, then the Municipality may not enforce such local code, amendment, or revision until and unless the Code Council determines that such local code, amendment, or revision is more restrictive than the Energy Code.**[1]

MUNICIPALITY INFORMATION:

This Filing relates to a local energy conservation construction code, or any amendment or revision thereof, promulgated or adopted by the following Municipality[2]: Town of Somers.

FILER INFORMATION:

This Filing is submitted by the Filer named below (the "Filer"):

☒ Filer is the Chief Executive Officer of the Municipality.

☐ The Municipality has no Chief Executive Officer. Filer is the Chairperson of the Legislative Body of the Municipality.

☐ Other (specify): _____

Name of Filer: Robert Scorrano

Title of Filer: ☐ Mayor ☒ Supervisor ☐ Chairperson of Legislative Body

☐ Other (specify) _____

Address: 335 Route 202
Somers, NY 10589

Telephone Number: (914) 277-3637

Fax Number: (___) ___-____

Email Address: supervisor@somersny.com

[1] *See* Energy Law §11-109(2).

[2] A "municipality" is a county, city, town, village, school district, or district corporation. *See* Energy Law §11-102(12).

LOCAL ENERGY CONSERVATION CONSTRUCTION CODE INFORMATION:

This Filing relates to the Municipality's local energy conservation construction code, or any amendments or revisions thereof, entitled: _____.

☒ A true and complete copy of the local energy conservation construction code, or any amendments or revisions thereof, is included herewith and labeled **Exhibit A**[3].

Date of promulgation or adoption of the Municipality's local energy conservation construction code, or any amendments or revisions thereof: April 21, 2022.

ADDITIONAL DOCUMENTATION:

List here any additional documentation. The Department of State strongly recommends that the Municipality provide a detailed description of (1) the local energy conservation construction code promulgated or adopted by the Municipality, or any amendments or revisions thereof; (2) the corresponding provisions imposed by the Energy Code; and (3) the reasons why the Municipality believes the provisions of the local energy conservation construction code promulgated or adopted by the Municipality, or any amendments or revisions thereof, are higher or more restrictive than the Energy Code.

Exhibit B _____ (document name)

Exhibit C _____ (document name)

Exhibit D _____ (document name)

Dated: May 31, 2022

_____
Signature of Filer

Robert Scorrano
Print or Type Name and Title of Filer

Please submit this Filing form, all exhibits, and any additional documentation to:

By email to: **energy.code.dev@dos.ny.gov**.
When submitting petitions via email, type "***Local Energy Code:***" in the subject line followed by the name of the Municipality and the Local Law # or Ordinance #. (*Example*: ***Local Energy Code: Town of Anywhere, Local Law #6 of 2022***). **Electronic submissions are strongly encouraged.**

Or by mail to: Assistant Director for Energy Code Services
NYS Department of State, Division of Building Standards and Codes
99 Washington Ave., Suite 1160
Albany, New York 12231

If you have questions concerning submission requirements, please call the Energy Code Services Unit at (518) 474-4073, e-mail at **energy.code.dev@dos.ny.gov** or fax at (518) 486-4487.

[3]Please consult with the Municipality's attorney when submitting a Filing more than thirty (30) days after promulgation or adoption of the local energy conservation construction code, or any amendment or revision thereof.

NEW YORK STATE DEPARTMENT OF STATE
41 STATE STREET
ALBANY, NY 12231

Local Law Filing

(Use this form to file a local law with the Secretary of State.)

Text of law should be given as amended. Do not include matter being eliminated and do not use italics or underlining to indicate new matter.

Town of Somers

Local Law No. 6 **of the year 20**22

A local law See below

Be it enacted by the of the Town Board
(Name of Legislative Body)

Town of Somers

A LOCAL LAW adding provisions for a local energy code under Chapter 75 of the Code of the Town of Somers;

BE IT ENACTED by the Town Board of the Town of Somers as follows:

Section 1. Recitals and Legislative Intent

The New York State Energy Conservation Construction Code (hereinafter referred to as the "State Energy Code") is adopted by the State Fire Prevention and Building Code Council (hereinafter referred to as the "Code Council") pursuant to Article 11 of the Energy Law.

The State Energy Code includes the provisions contained in Part 1240 of Title 19 of the New York Codes, Rules and Regulations (hereinafter referred to as "Part 1240") and the publications incorporated by reference in Part 1240.

The publications currently incorporated by reference in Part 1240 include, but are not limited to, the 2020 edition of the Energy Conservation Construction Code of New York State (hereinafter referred to as the "2020 ECCCNYS") and the 2016 edition of ASHRAE 90.1 (hereinafter referred to as "ASHRAE 90.1-2016").

The 2020 ECCCNYS is based on the 2018 edition of a publication entitled International

Energy Conservation Code (hereinafter referred to as the "2018 IECC").

The New York State Energy Research and Development Authority (hereinafter referred to as "NYSERDA") has issued a publication entitled NYStretch Energy Code 2020, Version 1.0, dated July 2019 (hereinafter referred to as "NYStretch"). NYStretch modifies (i.e., amends, replaces, replaces and renames, or adds to) certain sections, certain tables, and a certain appendix in the 2018 IECC; adds certain sections, certain tables, and certain appendices to the 2018 IECC; modifies (i.e., adds to, amends, or replaces) certain sections and certain tables in ASHRAE 90.1- 2016; and adds certain new sections to ASHRAE 90.1-2016.

Studies commissioned by NYSERDA indicate that modifying the State Energy Code in the manner contemplated by NYStretch can result in significant savings in energy usage and energy costs.

Article 11 of the Energy Law authorizes municipalities to adopt local energy conservation construction codes that are more stringent than the State Energy Code.

The Town of Somers desires to adopt as its local energy conservation construction code the State Energy Code as modified in the manner contemplated by NYStretch.

Section 2. Adoption of Local Energy Conservation Construction Code

The Town of Somers hereby adopts, as its local energy conservation construction code, the State Energy Code as modified in the manner contemplated by NYStretch. Such local energy conservation construction code shall consist of the provisions currently set forth in Part 1240 and in the publications currently incorporated by reference in Part 1240; provided, however, that the 2020 ECCCNYS and ASHRAE 90.1-2016 shall be deemed to be modified as follows:

(a) in each case where a section, table, or appendix in the 2018 IECC is modified by NYStretch, the corresponding section, table, or appendix in the 2020 ECCCNYS shall be deemed to be modified in the same manner;

(b) in each case where a section, table or appendix is added to the 2018 IECC by NYStretch, such section, table, or appendix shall be deemed to be added to the 2020 ECCCNYS, subject to the following exception: Section R403.6.2, which is added to the 2018 IECC by section 3.11 of NYStretch, shall not be deemed to be added to the 2020 ECCCNYS;

(c) each section or table in ASHRAE 90.1-2016 that is modified by NYStretch shall be deemed to be so modified; and

(d) each section added to ASHRAE 90.1-2016 by NYStretch shall be deemed to be added to ASHRAE 90.1-2016.

A copy of NYStretch is annexed hereto and made part hereof.

Section 3. Applicability

The local energy conservation construction code, as hereby adopted, shall be applicable to all

buildings constructed, substantially renovated, or altered in the Town of Somers on or after the effective date of this local law and to all additions to buildings in the Town of Somers made on or after the effective date of this local law. However, pursuant to Energy Law § 11-109(2):

(a) if a copy of this local law is filed with the New York State Department of State, Division of Building Standards and Codes, as Secretariat for the Code Council, within thirty days after adoption of this local law, and if the Code Council shall substantially determine that the local energy conservation construction code as hereby adopted is not more restrictive than the State Energy Code, then on and after the date of such determination the local energy conservation construction code as hereby adopted shall no longer be enforced and the State Energy Code shall be applicable and shall be enforced in the Town of Somers; and

(b) if a copy of this local law is not filed with the New York State Department of State, Division of Building Standards and Codes, as Secretariat for the Code Council, within thirty days after adoption of this local law, then the local energy conservation construction code as hereby adopted shall not be enforceable until and unless the Code Council shall determine that such local energy conservation construction code is more restrictive than the State Energy Code, and until and unless the Code Council shall make such determination the State Energy Code shall be applicable and shall be enforced in the Town of Somers.

Section 4. Severability

If any section, subsection, subdivision, paragraph, clause or phrase in this local law, or any part thereof, is for any reason held to be invalid or unconstitutional, such decision shall not affect the validity of the remaining sections or portions of this local law, or any part thereof. The Town Board hereby declares that it would have passed each section subsection, subdivision, paragraph, sentence, clause, or phrase of this local law, irrespective of the fact that one or more sections, subsections, subdivisions, paragraphs, sentences, clauses, or phrases may be declared invalid or unconstitutional.

Section 5. Authority

This local law is adopted pursuant to Energy Law §11-109(1) and Municipal Home Rule Law §10.

Section 7. Effective Date

This local law shall take effect upon filing with the Secretary of State pursuant to the Municipal Home Rule Law.

(Complete the certification in the paragraph that applies to the filing of this local law and strikeout that which is not applicable).

1. (Final adoption by local legislative body only).

I hereby certify that the local law annexed hereto, designated as local law No. 6 of 2022 of the ~~(County) (City)~~ (Town) ~~(Village)~~ of Somers was duly passed by the Town Board on April 21, 2022, in accordance with the applicable provisions of law.
(Name of Legislative Body)

2. (Passage by local legislative body with approval, no disapproval or repassage after disapproval by the Elective Chief Executive Officer*.

I hereby certify that the local law annexed hereto, designated as local law No. _____ of 2022 of the (County) (City) (Town) (Village) of _____ was duly passed by the _____ on _____ 2022, and was (approved) (not approved) (repassed after
(Name of Legislative Body)
disapproval) by the _____ and was deemed duly adopted on _____ 2022,
(Elective Chief Executive Officer)*
in accordance with the applicable provisions of law.

3. (Final adoption by referendum).

I hereby certify that the local law annexed hereto, designated as local law No. _____ of 2022 of the (County) (City) (Town) (Village) of _____ was duly passed by the _____ on _____ 2022, and was (approved) (not approved) (repassed after
(Name of Legislative Body)
disapproval) by the _____ on _____ 2022. Such local law was submitted
(Elective Chief Executive Officer)*
to the people by reason of a (mandatory) (permissive) referendum, and received the affirmative vote of a majority of the qualified electors voting thereon at the (general) (special) (annual) election held on _____ 2022, in accordance with the applicable provisions of law.

4. (Subject to permissive referendum and final adoption because no valid petition was filed requesting referendum).

I hereby certify that the local law annexed hereto, designated as local law No. _____ of 2022 of the (County) (City) (Town) (Village) of _____ was duly passed by the _____ on _____ 2022, and was (approved) (not approved) (repassed after
(Name of Legislative Body)
disapproval) by the _____ on _____ 2022. Such local law was subject to
(Elective Chief Executive Officer)*
permissive referendum and no valid petition requesting such referendum was filed as of _____ 2022, in accordance with the applicable provisions of law.

*Elective Chief Executive Officer means or includes the chief executive officer of a county elected on a county-wide basis or, if there be none, the chairperson of the county legislative body, the mayor of a city or village, or the supervisor of a town where such officer is vested with the power to approve or veto local laws or ordinances.

5. (City local law concerning Charter revision proposed by petition).

I hereby certify that the local law annexed hereto, designated as local law No. _____ of 2022 of the City of _____ having been submitted to referendum pursuant to the provisions of section (36)(37) of the Municipal Home Rule Law, and having received the affirmative vote of a majority of the qualified electors of such city voting thereon at the (special)(general) election held on _____ 2022, became operative.

6. (County local law concerning adoption of Charter).

I hereby certify that the local law annexed hereto, designated as local law No. _____ of 2022 of the County of _____ State of New York, having been submitted to the electors at the General Election of November _____ 2022, pursuant to subdivisions 5 and 7 of section 33 of the Municipal Home Rule Law, and having received the affirmative vote of a majority of the qualified electors of the cities of said county as a unit and a majority of the qualified electors of the towns of said county considered as a unit voting at said general election, became operative.

If any other authorized form of final adoption has been followed, please provide an appropriate certification).

I further certify that I have compared the preceding local law with the original on file in this office and that the same is a correct transcript therefrom and of the whole of such original local law, and was finally adopted in the manner indicated in paragraph 1, above.

Clerk of the County legislative body, City, Town or Village Clerk
or officer designated by local legislative body

(Seal)

Date: 5/12/2022

(Certification to be executed by County Attorney, Corporation Counsel, Town Attorney, Village Attorney or other authorized attorney of locality).

STATE OF NEW YORK
COUNTY OF WESTCHESTER

I, the undersigned, hereby certify that the foregoing local law contains the correct text and that all proper proceedings have been had or taken for the enactment of the local law annexed hereto.

Signature

Town Attorney
Title

~~County~~
~~City~~ of Somers
Town
~~Village~~
Date: 5/12/2022

J:\DOCS2\200\Somers\Environmental Regulations\210.046-DraftLocalLaw-Chapter170Amendments-Draft#4-Tracked.doc

NYStretch Energy Code — 2020

An Overlay of the 2018 International Energy Conservation Code and ASHRAE Standard 90.1-2016

Version 1.0 | July 2019

NEW YORK STATE OF OPPORTUNITY. | NYSERDA

PREFACE

The NYStretch Energy Code 2020 project was undertaken by NYSERDA to develop a pivotal tool for New York jurisdictions to support the State's energy and climate goals by accelerating the savings obtained through their local building energy codes. Authorities having jurisdiction have the legal ability to voluntarily adopt NYStretch-Energy.

The NYStretch Code was developed as a statewide model code to save more energy than New York's minimum code and to be readily adopted as a more stringent local standard to the ECCCNYS. It was developed with the following goals:

- Technically sound
- Thoroughly reviewed by stakeholders
- Written in code enforceable language
- Fully consistent with the 2018 IECC, ASHRAE 90.1-2016, and uniform codes

For communities that adopt it, the NYStretch Code will provide greater savings over the ECCCNYS for both residential and commercial buildings.

Marginal Markings

Solid vertical lines in the margins of Parts 1, 2, and 3 indicate a technical change from the requirements of 2018 IECC and ASHRAE 90.1-2016. Black, right-facing arrows in the left-hand margin indicate a deletion from the requirements.

Unaffected Provisions

The chapters, sections, tables, and other provisions in the 2018 IECC and ASHRAE 90.1-2016 not amended by NYStretch Code shall continue in full force and effect. Nothing in the NYStretch Code shall be construed as deleting all or part of any unaffected provision.

Severability

If any portion of the NYStretch Energy Code 2020, the 2018 IECC or ASHRAE 90.1-2016 is held by a court of a competent jurisdiction to be illegal or void, such holding shall not affect the validity of any other portion of the NYStretch Code, the 2018 IECC or ASHRAE 90.1-2016

Implied license / Use of NYStretch

While a jurisdiction may adopt one or both of the Commercial and Residential provisions, it is NYSERDA's desire, but not a rule, that the NYStretch be adopted as written. Changes to or deletions of the provisions contained herein may affect energy savings, cost savings, and enforceability. Jurisdictions are encouraged to contact NYSERDA codes@nyserda.ny.gov before considering any changes to the NYStretch.

DISCLAIMER

Version 1 of NYStretch Energy Code-2020 (NYStretch) is an overlay of the 2018 International Energy Conservation Code (2018 IECC) and ASHRAE Standard 90.1-2016 (ASHRAE). It does not reflect changes the New York State Fire Prevention and Code Council may adopt for the 2020 New York State Energy Conservation Construction Code (2020 NYS ECCC). Visit https://www.dos.ny.gov/DCEA/CodeUpdate.html for updates on the 2020 NYS ECCC.

Furthermore this version of NYStretch does not contain changes to it that New York City may adopt for the 2020 Energy Conservation Code of New York City (2020 ECC NYC). Visit https://www1.nyc.gov/site/buildings/codes/energy-conservation-code.page for updates on the 2020 ECC NYC.

It is NYSERDA's intent to release a version of NYStretch that will overlay the 2020 NYS ECCC upon release of that code by New York State Department of State.

Stringency of NYStretch

NYSERDA recognizes that there are differentials between the requirements of the IECC and ASHRAE paths in NYStretch. It is NYSERDA's intent to create two separate inclusive code books, one for the IECC paths and another for the ASHRAE paths and find and correct the differentials between those code provisions such that they are consistent with the intent and stringency of NYStretch. Until that time, where there is a differential between the paths, the more stringent of the requirements will prevail.

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ACKNOWLEDGEMENTS

NYSERDA gratefully thanks and acknowledges the following individuals who contributed to the development of the NYStretch Energy Code 2020:

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PART 1

1 Amendments to 2018 International Energy Conservation Construction Code Commercial Provisions

1.1 Amendments to Section C401.2 Application

C401.2 Application. *Commercial buildings* shall comply with one of the following compliance paths:

1. ASHRAE Compliance Path (prescriptive): The requirements of ASHRAE 90.1-2016 (as amended) Section 4.2.1.1(a). The building shall also comply with the following:
    a. The *building thermal envelope* opaque assembly requirements of Section C402.1.4.

       EXCEPTION: *Semi-heated spaces* in compliance with ASHRAE 90.1-2016 (as amended) are not required to comply with Section C402.1.4.
    b. The *fenestration* requirements of Section C402.4.

       EXCEPTION: Semi-heated spaces in compliance with ASHRAE 90.1-2016 (as amended) are not required to comply with Section C402.4.3.
    c. The interior and exterior lighting power allowance requirements of Section C405.3.2 and Section C405.4.2, respectively.
    d. The requirements of Section C406 and tenant spaces shall comply with the requirements of Section C406.1.1.
    e. The requirements of Section C408 (note: in lieu of Section C408.4, the requirements of 5.9.2 prevail) and, if mandated by local ordinance, Appendix CC.
2. ASHRAE Compliance Path (Section 11): The requirements of ASHRAE 90.1-2016 (as amended) Section 4.2.1.1(b). The building shall also comply with Section C408 (note: in lieu of Section C408.4, the requirements of 5.9.2 prevail) and, if mandated by local ordinance, Appendix CC.
3. ASHRAE Compliance Path (Appendix G): The requirements of ASHRAE 90.1-2016 (as amended) 4.2.2.1(c). The building shall also comply with Section C408 (note: in lieu of Section C408.4, the requirements of 5.9.2 prevail) and, if mandated by local ordinance, Appendix CC.
4. Prescriptive Compliance Path: The requirements of Sections C402 through C406 and C408, and, if mandated by local ordinance, Appendix CC.

1.2 Amendments to Section C402.1 General (Prescriptive)

C402.1 General (Prescriptive). Building thermal envelope assemblies for buildings that are intended to comply with the code on a prescriptive basis in accordance with the compliance path described in Item 4 of Section C401.2, shall comply with the following:

1. The opaque portions of the building thermal envelope shall comply with the specific insulation requirements of Section C402.2 and the thermal requirements of the *U-, C- and F-factor*-based method of Section C402.1.4, or the component performance alternative of section C402.1.5.
2. Roof solar reflectance and thermal emittance shall comply with Section C402.3.
3. Fenestration in building envelope assemblies shall comply with Section C402.4.
4. Air leakage of building envelope assemblies shall comply with Section C402.5.

Alternatively, where buildings have a *vertical fenestration* area or skylight area exceeding that allowed in Section C402.4, the building and building thermal envelope shall comply with Section C401.2, Item 1 or Section C401.2, Item 2 or Section C401.2, Item 3.

Walk-in coolers, walk-in freezers, refrigerated warehouse coolers and refrigerated warehouse freezers shall comply with Section C403.10.1 or C403.10.2.

1.3 Replace Section C402.1.3 Insulation Component R-Value-Based Method

C402.1.3 (Reserved for jurisdictions choosing to allow the provisions of Appendix CB)

1.4 Amendments to Table C402.1.4 Opaque Thermal Envelope Assembly Maximum Requirements: U-Factor Method

Table C402.1.4
Opaque Thermal Envelope Assembly Maximum Requirements, U-Factor Method[a,b]

CLIMATE ZONE	4		5		6	
	All other	Group R	All other	Group R	All other	Group R
Roofs						
Insulation Entirely above roof deck	U-0.030	U-0.030	U-0.030	U-0.030	U-0.029	U-0.029
Metal buildings	U-0.035	U-0.035	U-0.035	U-0.035	U-0.028	U-0.026
Attic and other	U-0.020	U-0.020	U-0.020	U-0.020	U-0.019	U-0.019
Walls, above grade						
Mass[e]	U-0.099	U-0.086	U-0.086	U-0.076	U-0.076	U-0.067
Metal building	U-0.048	U-0.048	U-0.048	U-0.048	U-0.048	U-0.048
Metal framed	U-0.061	U-0.061	U-0.052	U-0.052	U-0.047	U-0.044
Wood framed and other[c]	U-0.061	U-0.061	U-0.048	U-0.048	U-0.048	U-0.046
Walls, below grade						
Below-grade wall[c]	C-0.119	C-0.092	C-0.119	C-0.092	C-0.092	C-0.063
Floors						
Mass[d]	U-0.057	U-0.051	U-0.057	U-0.051	U-0.051	U-0.051
Joist/framing	U-0.033	U-0.033	U-0.033	U-0.033	U-0.027[f]	U-0.027[f]
Slab-on-grade floors						
Unheated slabs	F-0.52	F-0.52	F-0.52	F-0.51	F-0.51	F-0.434
Heated slabs	F-0.63	F-0.63	F-0.63	F-0.63	F-0.63	F-0.63
Opaque doors						
Swinging	U-0.50	U-0.50	U-0.37	U-0.37	U-0.37	U-0.37
Garage door <14% glazing	U-0.31	U-0.31	U-0.31	U-0.31	U-0.31	U-0.31

For SI: 1 inch = 25.4 mm, 1 pound per square foot = 4.88 kg/m^2, 1 pound per cubic foot = 16 kg/m^3.
ci = Continuous insulation, NR = No Requirement, LS = Liner System.

a. Where assembly U-factors, C-factors, and F-factors are established in ANSI/ASHRAE/IESNA 90.1 Appendix A, such opaque assemblies shall be a compliance alternative where those values meet the criteria of this table, and provided that the construction, excluding the cladding system on walls, complies with the appropriate construction details from ANSI/ASHRAE/ISNEA 90.1 Appendix A.
b. Where U-factors have been established by testing in accordance with ASTM C1363, such opaque assemblies shall be a compliance alternative where those values meet the criteria of this table. The R-value of continuous insulation shall be permitted to be added to or subtracted from the original tested design.
c. Where heated slabs are below grade, below-grade walls shall comply with the U-factor requirements for above-grade mass walls.
d. "Mass floors" shall be in accordance with Section C402.2.3.
e. "Mass walls" shall be in accordance with Section C402.2.2.

1.5 Addition of New Section C402.1.4.2 Thermal Resistance of Mechanical Equipment Penetrations (Mandatory)

C402.1.4.2 Thermal resistance of mechanical equipment penetrations (Mandatory). When the total area of penetrations from mechanical equipment listed in Table C403.2.3(3) exceeds 1 percent of the opaque above-grade wall area, the mechanical equipment penetration area shall be calculated as a separate wall assembly with a default U-factor of 0.5.

Exception: Where mechanical equipment has been tested in accordance with testing standards approved by the authority having jurisdiction, the mechanical equipment penetration area may be calculated as a separate wall assembly with the U-factor as determined by such test.

1.6 Amendments to Section C402.2 Specific Building Thermal Envelope Insulation Requirements (Prescriptive)

C402.2 Specific building thermal envelope insulation requirements (Prescriptive). Insulation in building thermal envelope opaque assemblies shall comply with Sections C402.2.1 through C402.2.8 and Table C402.1.4.

1.7 Addition of New Section C402.2.8 Continuous Insulation (Mandatory)

C402.2.8 Continuous insulation (Mandatory). In new construction, structural elements of balconies and parapets that penetrate the *building thermal envelope*, shall comply with one of the following:

1. Structural elements penetrating the *building thermal envelope* shall be insulated with *continuous insulation* having a minimum thermal resistance of R-3.
2. Structural elements of penetrations of the *building thermal envelope* shall incorporate a minimum R-3 thermal break where the structural element penetrates the *building thermal envelope*.

1.8 Amendments to Section C402.4 Fenestration (Prescriptive)

C402.4 Fenestration (Prescriptive). Fenestration shall comply with Sections C402.4.1 through C402.4.5 and Table C402.4. Daylight responsive controls shall comply with this section and Section C405.2.3.

1.9 Amendments to Table C402.4 Building Envelope Fenestration Maximum U-Factor and SHGC Requirements

Table C402.4
Building Envelope Fenestration Maximum U-Factor and SHGC Requirements

CLIMATE ZONE	4	5	6
Vertical Fenestration			
U-Factor			
Fixed fenestration	0.36	0.36	0.34
Operable fenestration	0.43	0.43	0.41
All other vertical fenestration			
All fenestration	0.30	0.27	0.27
Entrance doors	0.77	0.77	0.77
SHGC			
PF < 0.2	0.36	0.38	0.40
0.2 ≤ PF < 0.5	0.43	0.46	0.48
PF ≥ 0.5	0.58	0.61	0.64
Skylights			
U-Factor	0.48	0.48	0.48
SHGC	0.38	0.38	0.38
PF = Projection Factor. a. U-factor and SHGC shall be rated in accordance with NFRC 100.			

1.10 Amendments to Section C402.5 Air Leakage--Thermal Envelope (Mandatory)

C402.5 Air leakage--thermal envelope (Mandatory). The *thermal envelope* of buildings shall comply with Section C402.5.9 or shall comply with Sections C402.5.1 through C402.5.8 and C408.4. New buildings not less than 25,000 square feet and not greater than 50,000 square feet, and less than or equal to 75 feet in height, shall show compliance through testing in accordance with Section C402.5.9.

1.11 Addition of New Section C402.5.9. Air Barrier Testing

C402.5.9 Air Barrier Testing. The *building thermal envelope* shall be tested in accordance with ASTM E779 at a pressure differential of 0.3 inch water gauge (75 Pa) or an equivalent method approved by the code official and shall be deemed to comply with the provisions of this section when the tested air leakage rate of the building thermal envelope is not greater than 0.40 cfm/ft^2 (2.0 L/s * m^2). Where the

compliance is based on such testing, the building shall also comply with Sections C402.5.5, C402.5.6, and C402.5.7. A report that includes the tested surface area, floor area, air by volume, stories above grade, and leakage rates shall be submitted to the code official and the building owner.

1.12 Amendments to Section C403.7.4 Energy Recovery Ventilation Systems (Mandatory)

C403.7.4 Energy recovery ventilation systems (Mandatory). Where the supply airflow rate of a fan system exceeds the values specified in Tables C403.7.4(1) and C403.7.4(2), the system shall include an energy recovery ventilation system. The energy recovery ventilation system shall be configured to provide a change in the enthalpy of the outdoor air supply of not less than 50 percent of the difference between the outdoor air and return air enthalpies, at design conditions. Where an air economizer is required, the energy recovery ventilation system shall include a bypass or controls that permit operation of the economizer as required by Section C403.5.

Exception: An energy recovery ventilation system shall not be required in any of the following conditions:

1. Where energy recovery systems are prohibited by the *International Mechanical Code*.
2. Laboratory fume hood systems that include not fewer than one of the following features:
   - 2.1 Variable-air-volume hood exhaust and room supply systems configured to reduce exhaust and makeup air volume to 50 percent or less of design values.
   - 2.2 Direct makeup (auxiliary) air supply equal to or greater than 75 percent of the exhaust rate, heated not warmer than 2°F (1.1°C) above room setpoint, cooled to not cooler than 3°F (1.7°C) below room setpoint, with no humidification added, and no simultaneous heating and cooling used for dehumidification control.
3. Systems serving spaces that are heated to less than 60°F (15.5°C) and that are not cooled.
4. Where more than 60 percent of the outdoor heating energy is provided from site-recovered or site-solar energy.
5. Heating energy recovery in Climate Zones 1 and 2.
6. Cooling energy recovery in Climate Zones 3C, 4C, 5B, 5C, 6B, 7, and 8.
7. Systems requiring dehumidification that employ energy recovery in series with the cooling coil.
8. Where the largest source of air exhausted at a single location at the building exterior is less than 75 percent of the design ventilation outdoor air flow rate. Multiple exhaust fans or outlets located within a 30-foot radius from the *outdoor air* supply unit shall be considered a single exhaust location.
9. Systems expected to operate less than 20 hours per week at the *outdoor air* percentage covered by Table C403.7.4(1).
10. Systems exhausting toxic, flammable, paint or corrosive fumes, or dust.
11. Commercial kitchen hoods used for collecting and removing grease vapors and smoke.

1.13 Amendments to Section C403.8.1 Allowable Fan Horsepower

C403.8.1 Allowable fan horsepower (Mandatory). Each HVAC system having a total fan system motor nameplate horsepower exceeding 5 hp (3.7 kW) at fan system design conditions shall not exceed the allowable *fan system motor nameplate hp* (Option 1) or *fan system bhp* (Option 2) shown in Table C403.8.1(1). This includes supply fans, exhaust fans, return/relief fans, and fan-powered terminal units associated with systems providing heating or cooling capability. Single-zone variable air volume systems shall comply with the constant volume fan power limitation.

Exceptions:

1. Hospital, vivarium and laboratory systems that utilize flow control devices on exhaust or return to maintain space pressure relationships necessary for occupant health and safety or environmental control shall be permitted to use variable volume fan power limitation.
2. Individual exhaust fans with motor nameplate horsepower of 1 hp (0.746 kW) or less are exempt from the allowable fan horsepower requirement.
3. Fans supplying air to active chilled beams.

1.14 Amendments to Table C403.8.1(1) Fan Power Limitation

Table C403.8.1(1)
Fan Power Limitation

	Limit	Constant volume	Variable volume
Option 1: Fan system motor nameplate hp	Allowable nameplate motor hp	hp $\leq$ CFM_s*0.0009	hp $\leq$ CFM_s* 0.0011
Option 2: Fan system bhp	Allowable fan system bhp	bhp $\leq$ CFM_s X 0.00088 + A	bhp $\leq$ CFM_s X 0.0010 + A

For SI: 1 bhp = 735.5 W, 1 hp = 745.5 W, 1 cfm = 0.4719 L/S
Where:
CFM_s = The maximum design supply airflow rate to conditioned spaces served by the system in cubic feet per minute.
hp = The maximum combined motor nameplate horsepower.
bhp = The maximum combined fan brake horsepower.
A = Sum of [PD X CFM_D/4131]
Where:
PD = Each applicable pressure drop adjustment from Table C403.8.1 (2) in. w.c.
CFM_D = The design airflow through each applicable device from Table C403.8.1(2) in cubic feet per minute.

1.15 Amendments to Section C405.2.1 Occupant Sensor Controls

C405.2.1 Occupant sensor controls. Occupant *sensor controls* shall be installed to control lights in the following space types:

1. Classrooms/lecture/training rooms.
2. Conference/meeting/multipurpose rooms.

3. Copy/print rooms.
4. Corridor/transition areas.
5. Dining areas.
6. Lounges/breakrooms.
7. Enclosed offices.
8. Open plan office areas.
9. Restrooms.
10. Storage rooms.
11. Locker rooms.
12. Other spaces 300 square feet (28 m^2) or less that are enclosed by floor-to-ceiling height partitions.
13. Warehouse storage areas.

1.16 Addition of New Section C405.2.1.4 Occupant Sensor Control Function for Egress Illumination

C405.2.1.4 Occupant sensor control function for egress illumination. In new buildings, luminaires serving the exit access and providing means of egress illumination required by Section 1008.1 of the *International Building Code*, including luminaires that function as both normal and emergency means of egress illumination shall be controlled by a combination of listed emergency relay and occupancy sensors, or signal from another building control system that automatically reduces the lighting power by 50 percent when unoccupied for longer than 15 minutes.

Exceptions:

1. Means of egress illumination serving the exit access that does not exceed 0.02 watts per square foot of building area is exempt from this requirement.
2. Emergency lighting designated to meet Section 1008.3 of the *International Building Code*.

1.17 Amendments to Section C405.2.3 Daylight Responsive Controls

C405.2.3 Daylight responsive controls. *Daylight-responsive controls* complying with Section C405.2.3.1 shall be provided to control the electric lights within *daylight zones* in the following spaces:

1. Spaces with a total of more than 100 watts of general lighting within sidelit zones complying with Section C405.2.3.2. General lighting does not include lighting that is required to have specific application control in accordance with Section C405.2.4.
2. Spaces with a total of more than 100 watts of general lighting within toplit zones complying with Section C405.2.3.3.

Exceptions: Daylight responsive controls are not required for the following:

1. Spaces in health care facilities where patient care is directly provided.

2. Lighting that is required to have specific application control in accordance with Section C405.2.4.
3. Sidelit zones on the first floor above grade in Group A-2 and Group M occupancies.
4. New buildings where the total connected lighting power calculated in accordance with Section C405.3.1 is not greater than the adjusted interior lighting power allowance (LPA_{adj}) calculated in accordance with Equation 4-9:

$$LPA_{adj} = [LPA_{norm} \times (1.0 - 0.4 \times UDZFA / TBFA)] \quad \textbf{(Equation 4-9)}$$

Where:

LPA_{adj} = Adjusted building interior lighting power allowance in watts.

LPA_{norm} = Normal building lighting power allowance in watts calculated in accordance with Section C405.3.2 and reduced in accordance with Section C406.3 where Option 2 of Section C406.1 is used to comply with the requirements of Section C406.

UDZFA = Uncontrolled daylight zone floor area is the sum of all sidelit and toplit zones, calculated in accordance with Sections C405.2.3.2 and C405.2.3.3, that do not have daylight responsive controls.

TBFA = Total building floor area is the sum of all floor areas included in the lighting power allowance calculation in Section C405.3.2.

1.18 Amendments to Section C405.2.3.2 Sidelit Zone

C405.2.3.2 Sidelit zone. The sidelit zone is the floor area adjacent to vertical *fenestration* that complies with all of the following:

1. Where the fenestration is located in a wall, the sidelit zone shall extend laterally to the nearest full-height wall, or up to 1.0 times the height from the floor to the top of the fenestration, and longitudinally from the edge of the fenestration to the nearest full-height wall, or up to 2 feet (610 mm), whichever is less, as indicated in Figure C405.2.3.2.
2. The area of the fenestration is not less than 24 square feet (2.23 m^2).
3. The distance from the fenestration to any building or geological formation that would block *access* to daylight is no greater than one-half of the height from the bottom of the fenestration to the top of the building or geologic formation.
4. The visible transmittance of the fenestration is not less than 0.20.

1.19 Amendments to Section C405.2.6 Exterior Lighting Controls

C405.2.6 Exterior lighting controls. Exterior lighting systems shall be provided with controls that comply with Sections C405.2.6.1 through C405.2.6.5. Decorative lighting systems shall comply with Sections C405.2.6.1, C405.2.6.2, and C405.2.6.4.

Exceptions:

1. Lighting for covered vehicle entrances and exits from buildings and parking structures where required for eye adaptation.
2. Lighting controlled from within dwelling units.

C405.2.6.1 (Daylight shutoff) is unchanged.

C405.2.6.2 (Decorative lighting shutoff) is unchanged.

C405.2.6.3 Lighting setback. Lighting not controlled in accordance with Section C405.2.6.2 shall be controlled so that the total wattage of such lighting is automatically reduced by not less than 50 percent by selectively switching off or dimming luminaires at one of the following times:

1. From not later than midnight to not earlier than 6 a.m.
2. From not later than one hour after business closing to not earlier than one hour before business opening.
3. During any time where activity has not been detected for 15 minutes or more.

C405.2.6.4 (Exterior time-switch control function) is unchanged.

1.20 Addition of New Section C405.2.6.5 Outdoor parking area lighting control

C405.2.6.5 Outdoor parking area lighting control. Outdoor parking area luminaires mounted 24' or less above the ground shall be controlled to automatically reduce the power of each luminaire by a minimum of 50 percent when no activity has been detected for at least 15 minutes. No more than 1500 W of lighting power shall be controlled together.

Exception: Outdoor parking areas with less than 1,000 watts of lighting.

1.21 Amendments to Table C405.3.2(1) Interior Lighting Power Allowances: Building Area Method

TABLE C405.3.2(1)
Interior Lighting Power Allowances: Building Area Method

BUILDING AREA TYPE	LPD (w/ft^2)
Automotive facility	0.64
Convention center	0.70
Courthouse	0.74
Dining: bar lounge/leisure	0.69
Dining: cafeteria/fast food	0.66
Dining: family	0.61
Dormitory [a, b]	0.52
Exercise center	0.65
Fire station [a]	0.50
Gymnasium	0.67
Health care clinic	0.68
Hospital [a]	0.86
Hotel/motel [a, b]	0.70
Library	0.78
Manufacturing facility	0.60
Motion picture theater	0.62
Multifamily [c]	0.49
Museum	0.68
Office	0.69
Parking garage	0.12
Penitentiary	0.67
Performing arts theater	0.85
Police station	0.68
Post office	0.62
Religious building	0.72
Retail	0.91
School/university	0.67
Sports arena	0.76
Town hall	0.72
Transportation	0.51

TABLE C405.3.2(1)

Interior Lighting Power Allowances: Building Area Method (continued)

BUILDING AREA TYPE	LPD (w/ft^2)
Warehouse	0.41
Workshop	0.83

a. Where sleeping units are excluded from lighting power calculations by application of Section R405.1, neither the area of the sleeping units nor the wattage of lighting in the sleeping units is counted.
b. Where dwelling units are excluded from lighting power calculations by application of R405.1, neither the area of the dwelling units nor the wattage of lighting in the dwelling units is counted.
c. Dwelling units are excluded. Neither the area of the dwelling units nor the wattage of lighting in the dwelling units is counted.

1.22 Amendments to Table C405.3.2(2) Interior Lighting Power Allowances: Space-By-Space Method

Table C405.3.2(2)
Interior Lighting Power Allowances: Space-by-Space Method

COMMON SPACE TYPES [a]	LPD (w/ft^2)
Atrium	
Less than 40 feet in height	0.023 per foot in total height
Greater than 40 feet in height	0.40 + 0.02 per foot in total height
Audience seating area	
In an auditorium	0.63
In a convention center	0.65
In a gymnasium	0.43
In a motion picture theater	0.64
In a penitentiary	0.28
In a performing arts theater	1.34
In a religious building	0.98
In a sports arena	0.42
Otherwise	0.40
Banking activity area	0.79
Breakroom (See Lounge/Breakroom)	
Classroom/lecture hall/training room	
In a penitentiary	1.06
Otherwise	0.74
Computer room	1.16
Conference/meeting/multipurpose room	0.93
Confinement cells	0.52
Copy/print room	0.50
Corridor	
In a facility for the visually impaired (and not used primarily by the staff) [b]	0.81
In a hospital	0.81
In a manufacturing facility	0.28
In a primary or secondary school (and not used primarily by the staff)	0.74
Otherwise	0.58
Courtroom	1.06

COMMON SPACE TYPES [a]	LPD (w/ft^2)
Dining area	
In bar/lounge or leisure dining	0.62
In cafeteria or fast food dining	0.53
In a facility for the visually impaired (and not used primarily by the staff) [b]	1.48
In family dining	0.54
In a penitentiary	0.72
Otherwise	0.53
Electrical/mechanical room	0.39
Emergency vehicle garage	0.41
Food preparation area	0.92
Guestroom [c, d]	0.75
Laboratory	
In or as a classroom	1.04
Otherwise	1.32
Laundry/washing area	0.43
Loading dock, interior	0.51
Lobby	
For an elevator	0.52
In a facility for the visually impaired (and not used primarily by the staff) [b]	2.03
In a hotel	0.68
In a motion picture theater	0.38
In a performing arts theater	0.82
Otherwise	0.9
Locker room	0.45
Lounge/breakroom	
In a healthcare facility	0.53
Otherwise	0.44
Office	
Enclosed	0.85
Open plan	0.78
Parking area, interior [i]	0.11
Pharmacy area	1.23
Restroom	
In a facility for the visually impaired (and not used primarily by the staff) [b]	0.81

COMMON SPACE TYPES [a]	LPD (w/ft^2)
Otherwise	0.75
Sales area	1.06
Seating area, general	0.38
Stairway (See space containing stairway)	
Stairwell	0.50
Storage room	0.43
Vehicular maintenance area	0.53
Workshop	1.09

BUILDING TYPE SPECIFIC SPACE TYPES [a]	LPD (w/ft^2)
Automotive (See Vehicular Maintenance Area above)	
Convention Center—exhibit space	0.69
Dormitory—living quarters [c, d]	0.46
Facility for the visually impaired [b]	
In a chapel (and not used primarily by the staff)	0.89
In a recreation room (and not used primarily by the staff)	1.53
Fire Station—sleeping quarters [c]	0.19
Gymnasium/fitness center	
In an exercise area	0.50
In a playing area	0.75
Healthcare facility	
In an exam/treatment room	1.16
In an imaging room	0.98
In a medical supply room	0.54
In a nursery	0.94
In a nurse's station	0.75
In an operating room	1.87
In a patient room [c]	0.45
In a physical therapy room	0.84
In a recovery room	0.89
Library	
In a reading area	0.77
In the stacks	1.20

BUILDING TYPE SPECIFIC SPACE TYPES [a]	LPD (w/ft^2)
Manufacturing facility	
In a detailed manufacturing area	0.86
In an equipment room	0.61
In an extra-high-bay area (greater than 50' floor-to-ceiling height)	0.73
In a high-bay area (25-50' floor-to-ceiling height)	0.58
In a low-bay area (less than 25' floor-to-ceiling height)	0.61
Museum	
In a general exhibition area	0.61
In a restoration room	0.77
Performing arts theater—dressing room	0.35
Post Office—Sorting Area	0.66
Religious buildings	
In a fellowship hall	0.54
In a worship/pulpit/choir area	0.98
Retail facilities	
In a dressing/fitting room	0.49
In a mall concourse	0.79
Sports arena—playing area	
For a Class I facility [e]	2.26
For a Class II facility [f]	1.45
For a Class III facility [g,j]	1.08
For a Class IV facility [h,j]	0.72
Transportation facility	
In a baggage/carousel area	0.40
In an airport concourse	0.31
At a terminal ticket counter	0.48
Warehouse—storage area	
For medium to bulky, palletized items	0.27
For smaller, hand-carried items	0.65

a. In cases where both a common space type and a building area specific space are listed, the building area specific space type shall apply.

b. A 'Facility for the Visually Impaired' is a facility that is licensed or will be licensed by local or state authorities for senior long-term care, adult daycare, senior support or people with special visual needs.

c. Where sleeping units are excluded from lighting power calculations by application of Section R405.1, neither the area of the sleeping units nor the wattage of lighting in the sleeping units is counted.

BUILDING TYPE SPECIFIC SPACE TYPES [a]	LPD (w/ft^2)
d. Where dwelling units are excluded from lighting power calculations by application of Section R405.1, neither the area of the dwelling units nor the wattage of lighting in the dwelling units is counted. e. Class I facilities consist of Professional facilities; and Semi-professional, Collegiate, or Club facilities with seating for 5,000 or more spectators. f. Class II facilities consist of Collegiate and Semi-professional facilities with seating for fewer than 5,000 spectators; Club facilities with seating for between 2,000 and 5,000 spectators; and Amateur League and High School facilities with seating for more than 2,000 spectators. g. Class III facilities consist of Club, Amateur League, and High School facilities with seating for 2,000 or fewer spectators. h. Class IV facilities consist of Elementary School and Recreational facilities, and Amateur League and High School facilities without provisions for spectators. i. The wattage of lighting in daylight transition zones and ramps without parking is excluded. j. Pool surfaces are excluded. Neither the surface area of the swimming or spa pool nor the wattage of the lighting serving them shall be counted.	

1.23 Amendments to Table C405.4.2(2) Lighting power allowances for building exteriors

Table C405.4.2(2)
Lighting Power Allowances for Building Exteriors

	LIGHTING ZONES			
	Zone 1	**Zone 2**	**Zone 3**	**Zone 4**
Base Site Allowance	350 W	400 W	500 W	900 W
Uncovered Parking Areas				
Parking areas and drives	0.03 W/ft^2	0.04 W/ft^2	0.05 W/ft^2	0.05 W/ft^2
Building Grounds				
Walkways and ramps less than 10 feet wide	0.5 W/linear foot	0.5 W/linear foot	0.6 W/linear foot	0.7 W/linear foot
Walkways and ramps 10 feet wide or greater, plaza areas special feature areas	0.10 W/ft^2	0.10 W/ft^2	0.11 W/ft^2	0.14 W/ft^2
Dining areas	0.65 W/ft^2	0.65 W/ft^2	0.75 W/ft^2	0.95 W/ft^2
Stairways	0.6 W/ft^2	0.7 W/ft^2	0.7 W/ft^2	0.7 W/ft^2
Pedestrian tunnels	0.12 W/ft^2	0.12 W/ft^2	0.14 W/ft^2	0.21 W/ft^2
Landscaping	0.03 W/ft^2	0.04 W/ft^2	0.04 W/ft^2	0.04 W/ft^2
Building Entrances and Exits				
Pedestrian and vehicular entrances and exits	12.6 W/linear foot of opening width	12.6 W/linear foot of opening width	20 W/linear foot of opening width	20 W/linear foot of opening width
Entry canopies	0.20 W/ft^2	0.25 W/ft^2	0.4 W/ft^2	0.4 W/ft^2
Loading docks	0.35 W/ft^2	0.35 W/ft^2	0.35 W/ft^2	0.35 W/ft^2
Sales Canopies				
Free-standing and attached	0.40 W/ft^2	0.40 W/ft^2	0.6 W/ft^2	0.7 W/ft^2
Outdoor Sales				
Open areas (including vehicle sales lots)	0.20 W/ft^2	0.20 W/ft^2	0.35 W/ft^2	0.50 W/ft^2
Street frontage for vehicle sales lots in addition to “open area” allowance	No allowance	7 W/linear foot	7 W/linear foot	21 W/linear foot

For SI: 1 foot = 304.8 mm, 1 watt per square foot = 1 W/0.0929 m^2.
W = watts

1.24 Addition of New Section C405.8.1.1 Power conversion system

C405.8.1.1 Power conversion system. New traction elevators with a rise of 75 feet or more in new buildings shall have a power conversion system that complies with Sections 405.8.1.1.1 through 405.8.1.1.3.

C405.8.1.1.1 Motor. Induction motors with a Class IE2 efficiency ratings, as defined by IEC EN 60034-30, or alternative technologies, such as permanent magnet synchronous motors that have equal or better efficiency, shall be used.

C405.8.1.1.2 Transmission. Transmissions shall not reduce the efficiency of the combined motor/transmission below that shown for the Class IE2 motor for elevators with capacities below 4,000 lbs. Gearless machines shall be assumed to have a 100 percent transmission efficiency.

C405.8.1.1.3 Drive. Potential energy released during motion shall be recovered with a regenerative drive that supplies electrical energy to the building electrical system.

1.25 Addition of New Section C405.9 Commercial Kitchen Equipment

C405.9 Commercial Kitchen Equipment. Commercial kitchen equipment shall comply with the minimum efficiency requirements of Tables C405.9(1) through table C405.9(5).

Table C405.9(1)
Minimum Efficiency Requirements: Commercial Fryers

	Heavy-Load Cooking Energy Efficiency	Idle Energy Rate	Test Procedure
Standard Open Deep-Fat Gas Fryers	≥ 50%	≤ 9,000 Btu/hr	ASTM Standard F1361-17
Standard Open Deep-Fat Electric Fryers	≥ 83%	≤ 800 watts	
Large Vat Open Deep-Fat Gas Fryers	≥ 50%	≤ 12,000 Btu/hr	ASTM Standard F2144-17
Large Vat Open Deep-Fat Electric Fryers	≥ 80%	≤ 1,100 watts	

Table C405.9(2)
Minimum Efficiency Requirements: Commercial Hot Food Holding Cabinets

Product Interior Volume (Cubic Feet)	Maximum Idle Energy Consumption Rate (Watts)	Test Procedure
0 < V < 13	≤ 21.5 V	ASTM Standard F2140-11
13 ≤ V < 28	≤ 2.0 V + 254.0	
28 ≤ V	≤ 3.8 V + 203.5	

Table C405.9(3)
Minimum Efficiency Requirements: Commercial Steam Cookers

Fuel Type	Pan Capacity	Cooking Energy Efficiency[a]	Idle Rate	Test Procedure
Electric Steam	3-pan	50%	400 watts	ASTM Standard F1484-18
	4-pan	50%	530 watts	
	5-pan	50%	670 watts	
	6-pan and larger	50%	800 watts	
Gas Steam	3-pan	38%	6,250 Btu/h	
	4-pan	38%	8,350 Btu/h	
	5-pan	38%	10,400 Btu/h	
	6-pan and larger	38%	12,500 Btu/h	

a. Cooking Energy Efficiency is based on heavy load (potato) cooking capacity

Table C405.9(4)
Minimum Efficiency Requirements: Commercial Dishwashers

Machine Type	High Temp Efficiency Requirements		Low Temp Efficiency Requirements		Test Procedure
	Idle Energy Rate[a]	Water Consumption[b]	Idle Energy Rate[a]	Water Consumption[b]	
Under Counter	≤ 0.50 kW	≤ 0.86 GPR	≤ 0.50 kW	≤ 1.19 GPR	ASTM Standard F1696-18
Stationary Single Tank Door	≤ 0.70 kW	≤ 0.89 GPR	≤ 0.60 kW	≤ 1.18 GPR	
Pot, Pan, and Utensil	≤ 1.20 kW	≤ 0.58 GPSF	≤ 1.00 kW	≤ 0.58 GPSF	
Single Tank Conveyor	≤ 1.50 kW	≤ 0.70 GPR	≤ 1.50 kW	≤ 0.79 GPR	
Multiple Tank Conveyor	≤ 2.25 kW	≤ 0.54 GPR	≤ 2.00 kW	≤ 0.54 GPR	ASTM Standard F1920-15
Single Tank Flight Type	Reported	GPH ≤ 2.975x + 55.00	Reported	GPH ≤ 2.975x + 55.00	
Multiple Tank Flight Type	Reported	GPH ≤ 4.96x + 17.00	Reported	GPH ≤ 4.96x + 17.00	

a. Idle results shall be measured with the door closed and represent the total idle energy consumed by the machine including all tank heater(s) and controls. Booster heater (internal or external) energy consumption should not be part of this measurement unless it cannot be separately monitored per US EPA Energy Star Commercial Dishwasher Specification Version 2.0.

b. GPR = gallons per rack; GPSF = gallons per square foot of rack; GPH = gallons per hour; x = sf of conveyor belt (i.e., W*L)/min (maximum conveyor speed).

Table C405.9(5)
Minimum Efficiency Requirements: Commercial Ovens

Fuel Type	Classification	Idle Rate	Cooking-Energy Efficiency, %	Test Procedure
Convection Ovens				
Gas	Full-Size	≤ 12,000 Btu/h	≥ 46	ASTM F1496 - 13
Electric	Half-Size	≤ 1.0 Btu/h	≥ 71	
	Full-Size	≤ 1.60 Btu/h		
Combination Ovens				
Gas	Steam Mode	≤ 200P[a]+6,511 Btu/h	≥ 41	ASTM F2861 - 17
	Convection Mode	≤ 150P[a]+5,425 Btu/h	≥ 56	
Electric	Steam Mode	≤ 0.133P[a]+0.6400 kW	≥ 55	
	Convection Mode	≤ 0.080P[a]+0.4989 kW	≥ 76	
Rack Ovens				
Gas	Single	≤ 25,000 Btu/h	≥ 48	ASTM F2093 - 18
	Double	≤ 30,000 Btu/h	≥ 52	

a. P = Pan Capacity: The number of steam table pans the combination oven is able to accommodate as per the ASTM F – 1495 – 05 standard specification.

1.26 Addition of New Section C405.10 Electric Vehicle Charging Station Capable

C405.10 Electric vehicle charging station capable. New parking garages and new parking lots powered by the energy services for a building, and with 10 or greater parking spaces, shall provide either:

1. Panel capacity and conduit for the future installation of minimum 208/240V 40-amp outlets for 5 percent of the total parking spaces and not less than two parking spaces; or
2. Minimum 208/240V 40-amp outlets for 5 percent of the total parking spaces and not less than two parking spaces.

1.27 Addition of New Section C405.11 Solar-Ready Zone

C405.11 Solar-ready zone (Mandatory). New *buildings* shall comply with the provisions of Appendix CA.

1.28 Addition of Section C405.12 Whole Building Energy Monitoring

C405.12 Whole building energy monitoring. Measurement devices shall be installed in new buildings to individually monitor energy use of each of the following types of energy supplied by a utility, energy provider, or plant that is not within the building:

1. Natural gas
2. Fuel oil
3. Propane
4. Steam
5. Chilled Water
6. Hot Water

Exceptions:

1. Buildings less than 25,000 square feet (2,325 m^2).
2. Group R buildings with less than 10,000 square feet of common area (930 m^2).
3. Fuel use for on-site emergency equipment.

1.29 Addition of Section C405.13 Whole Building Electrical Monitoring

C405.13 Whole building electrical monitoring. Each new building shall have a measurement device capable of recording electrical energy use every 60 minutes and the capability to report use on an hourly, daily, monthly, and annual basis. The measurement device shall be capable of retaining the recorded data for 36 months.

Exceptions:

1. Buildings less than 25,000 square feet (2,325 m^2).
2. *Group R* buildings with less than 10,000 square feet of common area (930 m^2).
3. Fuel use for on-site emergency equipment.

1.30 Replacement of Section C406.1 Requirements

C406.1 Requirements. Buildings shall comply with at least one of the following Sections.

1. More efficient HVAC equipment in accordance with Section C406.2.
2. Reduced lighting power in accordance with Section C406.3.
3. Enhanced digital lighting controls in accordance with Section C406.4.
4. Dedicated outdoor air systems with energy recovery ventilation in accordance with Section C406.5.
5. Enhanced envelope performance in accordance with Section C406.6.
6. Reduced air infiltration in accordance with Section C406.7.

1.31 Amendment to Section C406.1.1 Tenant Spaces

C406.1.1. Tenant spaces. Tenant spaces shall comply with Section C406.2, C406.3, C406.4 or C406.7. Alternatively, tenant spaces shall be in compliance with Section C406.5 or C406.6 where the entire building is in compliance.

Exception: Previously occupied tenant spaces that comply with this code using Section C501.

1.32 Replacement and Renaming of Section C406.5 On-Site Renewable Energy

C406.5 Dedicated outdoor air system. Buildings containing equipment or systems regulated by Section C403.3.4, C403.4.3, C403.4.4, C403.4.5, C403.6, C403.8.4, C403.8.5, C403.8.5.1, C403.9.1, C403.9.2, C403.9.3 or C403.9.4 shall be equipped with an independent ventilation system designed to provide not less than the minimum 100-percent outdoor air to each individual occupied space, as specified by the International Mechanical Code. The ventilation system shall be equipped with an energy recovery system meeting the requirements of Section C403.7.4, without exception (Note: C406.5 cannot be selected where ERV is prohibited by the *International Mechanical Code* or otherwise prohibited.) The HVAC system shall include supply-air temperature controls that automatically reset the supply-air temperature in response to representative building loads, or to outdoor air temperatures. The controls shall reset the supply-air temperature not less than 25 percent of the difference between the design supply-air temperature and the design room-air temperature.

1.33 Replacement and Renaming of Section C406.6 Dedicated Outdoor Air System

C406.6 Enhanced envelope performance. The thermal performance of the envelope shall demonstrate a 15 percent improvement compared to the requirements of Section C402.1.5.

1.34 Replacement and Renaming of Section C406.7 Reduced Energy Use in Service Water Heating

C406.7 Reduced air infiltration. Air infiltration shall be verified by whole building pressurization testing conducted in accordance with Section C402.5.9. The measured air leakage rate of the building envelope shall not exceed 0.25 cfm/ft^2 (2.0 L/s x m^2) under a pressure differential of 0.3 in. water (75 Pa), with the calculated surface area being the sum of the above and below grade building envelope. A report that includes the tested surface area, floor area, air by volume, stories above grade, and leakage rates shall be submitted to the code official and the building owner.

Exception: For buildings with more than 250,000 square feet (25 000 m^2) of conditioned floor area, air leakage testing need not be conducted on the whole building where testing is conducted on representative above-grade sections of the building. Tested areas shall total not less than 25 percent of the conditioned floor area and shall be tested in accordance with this section.

1.35 Replacement of Section C407 Total Building Performance

Section C407
Total Building Performance

C407.1 Scope. This section establishes criteria for compliance using total building performance. Buildings following the total building performance path must comply with ASHRAE 90.1-2016 (as amended), demonstrating compliance under Section 11 or Appendix G of such standard.

1.36 Amendments to Section C408.2 Mechanical Systems and Service Water-Heating Systems Commissioning and Completion Requirements

C408.2 Mechanical, renewable energy, and service water heating systems commissioning and completion requirements. This section is required when one of the following conditions is met:

1. The *building* is not less than 25,000 square feet (2,325 m^2).
2. The total mechanical equipment capacity being installed is greater than 480,000 Btu/h (140.7 kW) cooling capacity.
3. The combined *service water-heating* and space-heating capacity is greater than 600,000 Btu/h (175.8 kW).

Prior to passing the final mechanical and plumbing inspections, the *registered design professional or approved agency* shall provide evidence of systems *commissioning* and completion in accordance with the provisions of this section.

Construction document notes shall clearly indicate provisions for *commissioning* and completion requirements in accordance with this section and are permitted to refer to specifications for further requirements. Copies of all documentation shall be given to the owner or owner's authorized agent and made available to the *code official* upon request in accordance with Sections C408.2.4 and C408.2.5.

Mechanical systems, renewable energy, and *service water heating* systems shall include, at a minimum, the following systems (mechanical and/or passive) and associated controls:

1. Heating, cooling, air handling and distribution, ventilation, and exhaust systems, and their related air quality monitoring systems.
2. Air, water, and other energy recovery systems.
3. Manual or automatic controls, whether local or remote, on energy using systems including but not limited to temperature controls, setback sequences, and occupancy-based control, including energy management functions of the building management system.
4. Plumbing, including insulation of piping and associated valves, domestic and process water pumping, and mixing systems.
5. Mechanical heating systems and service water heating systems.
6. Refrigeration systems.

7. Renewable energy and energy storage systems where installed generating capacity is not less than 25kW.
8. Other systems, equipment and components that are used for heating, cooling or ventilation, and affect energy use.

C408.2.1 Commissioning Plan is unchanged.

1.37 Amendments to Section C408.2.2 Systems Adjusting and Balancing

C408.2.2 Systems adjusting and balancing. HVAC systems shall be balanced in accordance with ANSI/ASHRAE 111, "Testing, Adjusting, and Balancing of Building HVAC Systems" or other approved engineering standards.

C408.2.2.1 Air systems balancing is unchanged.

C408.2.2.2 Hydronic systems balancing is unchanged.

1.38 Addition of New Section C408.4 Air Barrier Commissioning

C408.4 Air barrier commissioning. Prior to passing final inspection, the registered design professional or approved agent shall provide evidence of air barrier commissioning and substantial completion in accordance with the provisions of sections C408.4.1 through C408.4.3.

C408.4.1 Documentation. Construction documents shall include documentation of the continuous air barrier components included in the design and a field inspection checklist that includes all requirements necessary for maintaining air barrier continuity and durability in accordance with Section C402.5.1.

C408.4.2 Field inspections. Reports from field inspections during project construction showing compliance with continuous air barrier requirements including proper material handling and storage, use of approved materials and material substitutes, proper material and surface preparation, and air barrier continuity shall be provided to the owner and, upon request, to the code official. Air barrier continuity shall be determined by testing or inspecting each type of unique air barrier joint or seam in the building envelope for continuity and defects.

C408.4.3 Report. A final commissioning report indicating compliance with the continuous air barrier requirements shall be provided to the building owner and, upon request, to the code official**.**

1.39 Addition of New Section C502.2.3.1 Commissioning

C502.2.3.1 Commissioning. New heating, cooling, and duct system components that are part of the addition and the controls that serve them shall comply with Sections C408.2.2, C408.2.3 and C408.2.5.

Exception: Mechanical systems in additions where the total mechanical equipment capacity of the building is less than 480,000 Btu/h (140.7 kW) cooling capacity and 600,000 Btu/h (175.8 kW) combined service water heating and space heating capacity.

1.40 Addition of New Section C502.2.4.1 Commissioning

C502.2.4.1 Commissioning. New service hot water system components that are part of the addition and the controls that serve them shall comply with Sections C408.2.2, C408.2.3, and C408.2.5.

Exception: Service hot water systems in additions where the combined service water heating and space heating capacity of the building is less than 600,000 Btu/h (175.8 kW).

1.41 Addition of New Section C502.3 Air Barriers

C502.3 Air barriers. The thermal envelope of additions shall comply with Sections C402.5.1 through C402.5.8.

1.42 Addition of New Section C503.3.4 Air Barriers

C503.3.4 Air barriers. The thermal envelope of alterations shall comply with Sections C402.5.1 through C402.5.8.

1.43 Addition of New Section C503.4.2 Commissioning

C503.4.2 Commissioning. New heating, cooling and duct system components that are part of the alteration and the controls that serve them shall comply with Sections C408.2.2, C408.2.3, and C408.2.5.

Exceptions: Mechanical systems in alterations where the total mechanical equipment capacity of the building is less than 480,000 Btu/h (140.7 kW) cooling capacity and 600,000 Btu/h (175.8 kW) combined service water heating and space heating capacity.

1.44 Addition of New Section C503.5.1 Commissioning

C503.5.1 Commissioning. New service hot water system components that are part of the alteration and the controls that serve them shall comply with Sections C408.2.2, C408.2.3, and C408.2.5.

> **Exception:** Service hot water systems in alterations where the combined service water heating and space heating capacity of the building is less than 600,000 Btu/h (175.8 kW).

1.45 Addition of New Appendix CB
Rated R-value of Insulation—Commercial

Appendix CB
Rated *R*-Value of Insulation – Commercial

The provisions contained in this appendix are not mandatory unless specifically referenced in the adopting ordinance.

Section CB101
Scope

CB101.1 General. These provisions shall be applicable for new construction where an Insulation R-value based method is required.

Section CB102
Insulation Component *R*-Value-Based Method

CB102.1 General. The opaque portions of the building thermal envelope shall comply with the specific insulation requirements of Section C402.2 and the thermal requirements of the R-value-based method of Section CB102.2.

CB102.2 Insulation component *R*-value-based method. *Building thermal envelope* opaque assemblies shall comply with the requirements of Sections C402.2 and C402.4 based on the *climate zone* specified in Chapter 3. For opaque portions of the *building thermal envelope* intended to comply on an insulation component *R-value* basis, the *R*-values for insulation shall be not less than that specified in Table CB102.2. Commercial buildings or portions of commercial buildings enclosing *Group R* occupancies shall use the R values from the "*Group R*" column of Table CB102.2. Commercial buildings or portions of commercial buildings enclosing occupancies other than *Group R* shall use the *R*-values from the "All other" column of Table CB102.2.

Table CB102.2

Opaque Thermal Envelope Insulation Component Minimum Requirements, R-Value Method[a, h]

CLIMATE ZONE	4 EXCEPT MARINE		5 AND MARINE 4		6	
	All other	Group R	All other	Group R	All other	Group R
Roofs						
Insulation Entirely above roof deck	R-33ci	R-33ci	R-33ci	R-33ci	R-33ci	R-33ci
Metal buildings[b]	R-19 + R-11 LS	R-19 + R-11 LS	R-19 + R-11 LS	R-19 + R-11 LS	R-30 + R-11 LS	R-30 + R-11 LS
Attic and other	R-53	R-53	R-53	R-53	R-53	R-53
Walls, above grade						
Mass[f]	R-11.4ci	R-13.3ci	R-13.3ci	R-15.2ci	R-15.2ci	R-15.2ci
Metal building	R-13 + R-13ci	R-13+ R-19.5ci	R-13+ R-19.5ci	R-13+ R-19.5ci	R-13+ R-19.5ci	R-13+ R-19.5ci

Metal framed	R-13 + R-8.5ci	R-13 + R-8.5ci	R-13 + R-11ci	R-13 + R-11ci	R-13+ R13.5ci	R-13+ R14.5ci
Wood framed and other	R-13 + R-4.5ci or R-19 + R-1.5ci	R-13 + R-4.5ci or R-19 + R-1.5ci	R-13 + R-9ci or R-19 + R-5ci	R-13 + R-9ci or R-19 + R-5ci	R-13 + R-9ci or R-19 + R-5ci	R-13 + R-9.5ci or R-19 + R-6ci
Walls, below grade						
Below-grade wall[c]	R-7.5ci	R-10ci	R-7.5ci	R-10ci	R-10ci	R-15ci
Floors						
Mass[d]	R-15ci	R-16.7ci	R-15ci	R-16.7ci	R-16.7ci	R-16.7ci
Joist/framing	R-30	R-30[e]	R-30[e]	R-30[e]	R-38	R-38
Slab-on-grade floors						
Unheated slabs	R-15 for 24" below	R-15 for 24" below	R-15 for 24" below	R-15 for 24" below	R-15 for 24" below	R-15 for 24" below
Heated slabs[g]	R-20 for 48" below + R-5 full slab	R-20 for 48" below + R-5 full slab	R-20 for 48" below + R-5 full slab	R-20 for 48" below + R-5 full slab	R-20 for 48" below + R-5 full slab	R-20 for 48" below + R-5 full slab
Opaque doors						
Non-Swinging	R-4.75	R-4.75	R-4.75	R-4.75	R-4.75	R-4.75

For SI: 1 inch = 25.4 mm, 1 pound per square foot = 4.88 kg/ m^2, 1 pound per cubic foot = 16 kg/m^3.
ci = Continuous insulation, NR = No Requirement, LS = Liner System.

a. Assembly descriptions can be found in ANSI/ASHRAE/IESNA Appendix A.

b. Where using R-value compliance method, a thermal spacer block shall be provided, otherwise use the U-factor compliance method in Table C402.1.4.

c. Where heated slabs are below grade, below-grade walls shall comply with the exterior insulation requirements for heated slabs.

d. "Mass floors" shall be in accordance with Section C402.2.3.

e. Steel floor joist systems shall be insulated to R-38.

f. "Mass walls" shall be in accordance with Section C402.2.2.

g. The first value is for perimeter insulation and the second value is for slab insulation. Perimeter insulation is not required to extend below the bottom of the slab.

h. Not applicable to garage doors. See Table C402.1.4.

1.46 Addition of New Appendix CC
Additional Power Distribution System Packages—Commercial

Appendix CC
Additional power distribution system packages – Commercial

The provisions contained in this appendix are not mandatory unless specifically referenced in the adopting ordinance.

Section CC101
Scope

CC101.1 General. These provisions shall be applicable for new construction where additional power distribution system packages are required.

Section CC102
Additional Power Distribution System Packages

CC102.1 General (Mandatory). New buildings shall comply with at least one of the following:

1. Additional *on-site renewable energy* in accordance with Section CC102.2.
2. Electrical energy monitoring in accordance with Section CC102.3.
3. Interoperable automated demand-response (AutoDR) infrastructure in accordance with Section CC102.4.
4. Electric vehicle charging stations in accordance with Section CC102.5.
5. Automatic receptacle controls in accordance with CC102.6.

CC102.2 On-site renewable energy. The total minimum rating of *on-site renewable energy* systems shall be one of the following:

1. Not less than 1.71 Btu/hr/ft^2 (5.4 w/m^2) or 0.50 w/ft^2 of conditioned floor area.
2. Not less than 3 percent of energy use within the building for mechanical, service hot water heating, and lighting regulated in Chapter 4 [CE].

CC102.3 Electrical energy monitoring. Buildings shall comply with Sections CC102.3.1 through CC102.3.4. Buildings shall be equipped to measure, monitor, record, and report electricity consumption data for each end-use category listed in Table CC102.3.1. For buildings with tenants, the end-uses in Table CC102.3.1 shall be separately monitored for the total building load and (excluding shared systems) for each individual tenant.

Exception:

1. Up to 10 percent of the load for each of the end uses shall be allowed to be from other electrical loads.
2. Individual tenant spaces that have their own utility services and meters and have less than 5,000 square feet (465 m^2) of conditioned floor area.

CC102.3.1 End-use metering categories. Meters or other approved measurement devices shall be provided to collect energy use data for each end-use category specified in Table CC102.3.1. These meters shall have the capability to collect energy consumption data for the whole building or for each separately metered portion of the building. Where multiple meters are used to measure any end-use category, the data acquisition system shall total all the energy used by that category. Not more than 5 percent of the measured load for each end-use category specified in Table CC102.3.1 shall be from a load not within that category.

TABLE CC102.3.1
ENERGY USE CATEGORIES

LOAD CATEGORY
HVAC systems
Interior lighting
Exterior lighting
Receptacle circuits
Total electrical energy

CC102.3.2 Meters. Meters and other measurement devices required by this Section shall be configured to automatically communicate energy consumption data to the data acquisition system required by Section CC102.3.3. Source meters shall be any digital-type meter. Lighting, HVAC, and other building systems that can monitor their energy consumption shall not require meters. Current sensors are an alternative to meters, provided they have a tested accuracy of +/-2 percent. Required metering systems and equipment shall be able to provide not less than hourly data that is fully integrated into the data acquisition system and produce a graphical energy report in accordance with Sections CC102.3.3 and CC102.3.4.

CC102.3.3 Data acquisition systems. A data acquisition system shall have the capability to store data from the required meters and other sensing devices for not less than 36 months. The data acquisition system shall be able to store real-time energy consumption data and provide hourly, daily, monthly, and yearly logged data for each end-use category required by Table CC102.3.1.

CC102.3.4 Graphical energy report. A permanent reporting mechanism shall be provided in the building that can be accessed by building operation and management personnel. The reporting mechanism shall be able to graphically provide the energy consumption data for each end-use category required by Table CC102.3.1 for not less than every hour, day, month and year for the previous 36 months.

CC102.4 Interoperable automated demand-response (AutoDR) infrastructure. The building controls shall be designed with automated demand-response (Auto-DR) infrastructure capable of receiving demand-response requests from the utility, electrical system operator, or third-party DR program provider, and of automatically implementing load adjustments to the HVAC and lighting systems.

Buildings shall comply with the following:

1. HVAC systems shall be programmed to allow automatic centralized demand reduction in response to a signal from a centralized contact or software point.
2. HVAC equipment with variable speed control shall be programmed to allow automatic adjustment of the maximum speed of the equipment.
3. Lighting systems with central control shall be programmed to allow automatic reduction of total connected lighting power.

CC102.5 Electric vehicle charging stations. Not less than two electric vehicle charging stations at minimum 208/240V 40 amp shall be provided on the *building site*.

CC102.6 Automatic receptacle controls. The following receptacles shall be automatically controlled in accordance with Section CC102.6.1:

1. At least 50 percent of all 125 V, 15- and 20-amp receptacles in all private offices, conference rooms, rooms used primarily for printing and/or copying functions, break rooms, classrooms, and individual workstations.
2. At least 25 percent of branch circuit feeders installed for modular furniture not shown on the construction documents.

All controlled receptacles shall be permanently marked to visually differentiate them from uncontrolled receptacles and are to be uniformly distributed throughout the space. Plug-in devices shall not be used to comply with Section CC102.6.1.

Exceptions:

1. Receptacles specifically designated for equipment intended for continuous operation (24 hours/day, 365 days/year).
2. Spaces where an automatic shutoff would endanger occupant safety or security.

CC102.6.1 Automatic receptacle control function. Automatic receptacle controls shall comply with one of the following:

1. Automatically turn receptacles off at specific programmed times, and the occupant shall be able to manually override the control device for up to two hours. An independent program schedule shall be provided for controlled areas of not more than 5000 square feet and not more than one floor.
2. Be an occupant sensor to automatically turn receptacles off within 20 minutes of all occupants leaving a space.
3. Be an automated signal from another control or alarm system to automatically turn receptacles off within 20 minutes of all occupants leaving a space.

PART 2

2 Amendments to ASHRAE 90.1-2016

2.1 Addition to Section 3.2 Definitions

Baseline building source energy: the annual *source energy* use in units of BTU for a *building* design intended for use as a baseline for rating above-standard design or when using the *performance rating method* as an alternative path for minimum standard compliance in accordance with Section 4.2.1.1.

***On-site electricity generation systems*:** systems located at the *building* site that generate electricity, including but not limited to generators, combined heat and power systems, fuel cells, and *on-site renewable energy* systems.

Proposed building source energy: the annual *source energy* use in units of BTU for a *proposed design*.

Site Energy: The amount of fuel that is consumed on-site to operate a building.

Source Energy: the total amount of primary fuel that is required to operate a building incorporating transmission, delivery, and production losses. Source Energy is calculated by multiplying site energy of each fuel type by the conversion factors in Table 4.2.1.2.

2.2 Amendments to Section 4.2.1.1 New Buildings

4.2.1.1 New Buildings

New *buildings* shall comply with either the provisions of

a. Section 5, "*Building Envelope*"; Section 6, "Heating, Ventilating, and Air Conditioning"; Section 7, "*Service Water Heating*"; Section 8, "Power"; Section 9, "Lighting"; and Section 10, "*Other Equipment*," or
b. Section 11, "*Energy Cost Budget* Method,", or
c. Appendix G, "*Performance Rating Method*", using <u>one</u> of the following methods:

1. Performance Cost Index Method. When using Appendix G, the Performance Cost Index (PCI) shall be less than or equal to the Performance Cost Index Target (PCIt) when calculated in accordance with the following:

$$PCI_t = [BBUEC + (BPF_{cost} \times BBREC)]/BBP$$

Where

PCI = Performance Cost Index calculated in accordance with Section G1.2.

BBUEC = Baseline *Building* Unregulated *Energy* Cost, the portion of the annual *energy*

cost of a *Baseline building design* that is due to *unregulated energy use*.

BBREC = Baseline *Building* Regulated *Energy* Cost, the portion of the annual *energy* cost of a *Baseline building design* that is due to *regulated energy use*.

BPF_{cost} = *Building* Performance Factor from Table 4.2.1.1. For *building* area types not listed in Table 4.2.1.1 use "All others." Where a *building* has multiple *building* area types, the required BPF_{cost} shall be equal to the area-weighted average of the *building* area types.

BBP = *Baseline Building Performance*.

Regulated *energy* cost shall be calculated by multiplying the total *energy* cost by the ratio of *regulated energy* use to total *energy* use for each *fuel* type. Unregulated *energy* cost shall be calculated by subtracting regulated *energy* cost from total *energy* cost.

2. **Performance Source Energy Index Method.** When using Appendix G, the Performance *Source Energy* Index (PSEI) shall be less than or equal to the Performance Source Energy Index Target (PSEIt) when calculated in accordance with the following:

$$PSEIt = [BBUSE + (BPF_{source} \times BBRSE)]/BBSE$$

Where

PSEI = Performance Source Energy Index calculated in accordance with Section G1.2

BBUSE = Baseline building unregulated source energy use in units of BTU, the portion of the annual site energy of a baseline building design that is due to unregulated energy use multiplied by the site to source conversion ratios in Table 4.2.1.2 for each fuel type.

BBRSE = Baseline building regulated source energy use in units of BTU, the portion of the annual site energy of a baseline building design that is due to regulated energy use multiplied by the site to source conversion ratios in Table 4.2.1.2 for each fuel type.

BPF_{source} = Building Performance Factor from Table 4.2.1.3. For building area types not listed in Table 4.2.1.3 use "All others." Where a building has multiple building area types, the required BPF_{source} shall be equal to the area-weighted average of the building area types.

BBSE = *Baseline building source energy.*

2.3 Replacement of Table 4.2.1.1 *Building* Performance Factor

Table 4.2.1.1 *Building* Performance Factor (Cost) (BPF_{cost})

Building Area Type	4A	5A	6A
Office	.54	.54	.55
Retail	.45	.42	.44
School	.45	.46	.46
Hotel/motel	.62	.56	.56
Multifamily	.67	.67	.64
Healthcare/hospital	.54	.54	.51
Restaurant	.56	.55	.55
Warehouse	.42	.42	.46
All others	.53	.52	.52

2.4 Addition of Table 4.2.1.2 Site to Source Energy Conversion Ratios

Table 4.2.1.2 Site to Source Energy Conversion Ratios

Energy Type	New York Ratio
Electricity (Grid Purchase)	2.55
Electricity (*On-site Renewable Energy* Installation)	1.00
Natural Gas	1.05
Fuel Oil	1.01
Propane & Liquid Propane	1.01
Steam	1.20
Hot Water	1.20
Chilled Water, Coal, Wood, Other	1.00

2.5 Addition of Table 4.2.1.3 Building Performance Factor (Source) (BPF_{source})

Table 4.2.1.3 Building Performance Factor (BPF_{source})

Building Area Type	4A	5A	6A
Office	.55	.55	.56
Retail	.45	.42	.43
School	.45	.45	.45
Hotel/motel	.62	.56	.54
Multifamily	.68	.68	.65
Healthcare/hospital	.56	.56	.54
Restaurant	.63	.64	.63
Warehouse	.44	.46	.49
All others	.55	.54	.54

2.6 Addition of New Section 5.2.3 Additional Requirements to Comply with Section 11 and Appendix G

5.2.3 Additional Requirements to Comply with Section 11 and Appendix G

The *building* envelope in new buildings 50,000 square feet and greater shall comply with either:

1. Section 5.5, "Prescriptive Building Envelope Option," or
2. An envelope performance factor shall be calculated in accordance with 90.1 Appendix C, and buildings shall comply with one of the following:

   i. For multifamily, hotel/motel and dormitory building area types, the margin by which the *proposed envelope performance factor* exceeds the *base envelope performance factor* shall not be greater than 15 percent. For compliance with this requirement, the *base envelope performance factor* shall be calculated using metal framing operable windows. In *buildings* with window area accounting for 40 percent or more of the *gross wall* area, the SHGC of the *vertical fenestration* on east and west oriented façade may be reduced by the following multiplier to account for the permanent site shading from existing buildings or infrastructure.

   $M_{West} = 0.18 + 0.33/WWR$

   $M_{East} = 0.35 + 0.26/WWR$

   Where:

   M_{West} = SHGC multiplier for the West façade

   M_{East} = SHGC multiplier for the East façade

   WWR = the ratio of the proposed *vertical fenestration* area to the *gross wall* area in consistent units.

   The multiplier may be applied to the rated SHGC of the *vertical fenestration* which has at least 50 percent of the area located directly opposite of the shading surfaces and no higher from the street level than the difference between the shading surface height and the shading surface distance from the façade. *Orientation* must be determined following Section 5.5.4.5, Fenestration Orientation.

   ii. For all other *building* area types, the margin by which the proposed *envelope performance factor* exceeds the *base envelope performance factor* shall be not greater than 7 percent. For compliance with this requirement, the *base envelope performance factor* shall be calculated using metal framing fixed windows.

   iii. For mixed-use *buildings* the margin shall be calculated as the *gross wall area*-weighted average of i and ii.

2.7 Addition of New Section 5.4.1.1 Continuous Insulation

5.4.1.1 Continuous Insulation

In new construction, structural elements of balconies and parapets that penetrate the *building envelope*, shall comply with one of the following:

1. Structural elements penetrating the *building* thermal *envelope* shall be insulated with *continuous insulation* having a minimum thermal resistance of R-3.
2. Structural elements of penetrations of the *building* thermal *envelope* shall incorporate a minimum R-3 thermal break where the structural element penetrates the *building* thermal *envelope*.

2.8 Amendments to Section 5.4.3.1.3 Testing, Acceptable Materials, and Assemblies

5.4.3.1.3 Testing, Acceptable Materials, and Assemblies

The *building* shall comply with whole-*building* pressurization testing in accordance with Section 5.4.3.1.3(a) or with the *continuous air barrier* requirements in Section 5.4.3.1.3(b) or 5.4.3.1.3(c). New *buildings* not less than 25,000 square feet and not greater than 50,000 square feet, and less than or equal to 75 feet in height, must show compliance through testing in accordance with Section 5.4.3.1.3(a).

The remainder of 5.4.3.1.3 is unchanged.

2.9 Amendments to Section 5.5.3 Opaque Areas

5.5.3 Opaque Areas.

For all *opaque* surfaces except *doors*, compliance shall be demonstrated by one of the following two methods:

a. Minimum rated *R-value* of insulation for the *thermal resistance* of the added insulation in framing cavities and *continuous insulation* only. Specifications listed in Normative Appendix A for each *class of construction* shall be used to determine compliance.
b. Maximum *U-factor, C-factor, or F-factor* for the entire assembly. The values for typical *construction* assemblies listed in Normative Appendix A shall be used to determine compliance.

Exceptions to 5.5.3

1. For assemblies significantly different than those in Appendix A, calculations shall be performed in accordance with the procedures required in Appendix A.

2. For multiple assemblies within a single *class of construction* for a single *space-conditioning category*, compliance shall be shown for either (a) the most restrictive requirement or (b) an area-weighted average *U-factor, C-factor, or F-factor*.
3. When the total area of penetrations from mechanical equipment listed in Table 6.8.1-4 exceeds 1 percent of the *opaque above-grade wall* area, the mechanical equipment penetration area shall be calculated as a separate wall assembly with a default *U-factor* of 0.5, and compliance shall be shown with method b. Where mechanical equipment has been tested in accordance with testing standards, approved by the *authority having jurisdiction*, the mechanical equipment penetration area may be calculated as a separate wall assembly with the *U-factor* as determined by such test.

2.10 Amendments to Section 5.6.1.1 Subsection to 5.6 Building Envelope Trade-Off Option

5.6.1.1

All components of the *building envelope* shown on architectural drawings or installed in *existing buildings* shall be modeled in the *proposed design*. The *simulation program* model *fenestration* and *opaque building* envelope types and area shall be consistent with the *construction documents*. Any *building envelope* assembly that covers less than 5 percent of the total area of that assembly type (e.g., *exterior walls*) need not be separately described, provided it is similar to an assembly being modeled. If not separately described, the area of a *building envelope* assembly shall be added to the area of an assembly of that same type with the same *orientation* and thermal properties. When the total area of penetrations from mechanical equipment listed in Table 6.8.1-4 exceeds 1 percent of the *opaque above-grade wall* area, the mechanical equipment penetration area shall be calculated as a separate wall assembly with a default *U-factor* of 0.5.

Exception to 5.6.1.1

Where mechanical equipment has been tested in accordance with testing standards approved by the *authority having jurisdiction*, the mechanical equipment penetration area may be calculated as a separate wall assembly with the *U-factor* as determined by such test.

2.11 Amendments to Section 6.5.3.1.1 Allowable Fan Horsepower

6.5.3.1.1 Allowable Fan Horsepower**.**

Each *HVAC system* having a total *fan system motor nameplate horsepower* exceeding 5 hp at *fan system design conditions* shall not exceed the allowable *fan system motor nameplate horsepower* (Option 1) or fan *system* bhp (Option 2) as shown in Table 6.5.3.1-1. This includes supply fans, return/relief fans, exhaust fans, and fan-powered *terminal* units associated with *systems* providing heating or cooling capability that operate at *fan system design conditions*. Single-zone *VAV systems* shall comply with the constant-volume fan power limitation.

Exceptions to 6.5.3.1.1

1. Hospital, vivarium, and laboratory *systems* that use flow *control devices* on exhaust and/or return to maintain *space* pressure relationships necessary for occupant health and safety or environmental *control* may use variable-volume fan power limitation.
2. Individual exhaust fans with motor *nameplate horsepower* of 1 hp or less.
3. Fans supplying air to active chilled beams.

2.12 Amendments to Table 6.5.3.1-1 Fan Power Limitation

Table 6.5.3.1-1 Fan Power Limitation

	Limit	Constant volume	Variable volume
Option 1: Fan system motor nameplate hp	Allowable nameplate motor hp	hp $\leq$ CFM_S*0.0009	hp $\leq$ CFM_S* 0.0011
Option 2: Fan system bhp	Allowable fan system bhp	bhp $\leq$ CFM_S X 0.00088 + A	bhp $\leq$ CFM_S X 0.0010 + A

For SI: 1 bhp = 735.5 W, 1 hp = 745.5 W, 1 cfm = 0.4719 L/S

Where:

CFM_S = The maximum design supply airflow rate to conditioned spaces served by the system in cubic feet per minute.

hp = The maximum combined motor nameplate horsepower.

Bhp = The maximum combined fan brake horsepower.

A = Sum of [PD X CFM_D/4131]

Where:

PD = Each applicable pressure drop adjustment from Table 6.5.3.1-2 in in. of water

CFM_D = The design airflow through each applicable device from Table 6.5.3.1-2 in cubic feet per minute.

2.13 Amendments to Section 6.5.6.1 Exhaust Air Energy Recovery

6.5.6.1 Exhaust Air Energy Recovery.

Each fan *system* shall have an *energy* recovery *system* when the design supply fan airflow rate exceeds the value listed in Tables 6.5.6.1-1 and 6.5.6.1-2, based on the climate zone and percentage of *outdoor air* at design airflow conditions. Table 6.5.6.1-1 shall be used for all *ventilation systems* that operate less than 8,000 hours per year, and Table 6.5.6.1-2 shall be used for all ventilation systems that operate 8,000 or more hours per year.

Energy recovery *systems* required by this section shall result in an *enthalpy recovery ratio* of at least 50 percent. A 50 percent *enthalpy recovery ratio* shall mean a change in the enthalpy of the *outdoor air* supply equal to 50 percent of the difference between the *outdoor air* and entering exhaust air enthalpies at *design conditions*. Provision shall be made to bypass or *control* the *energy* recovery *system* to permit *air economizer* operation as required by Section 6.5.1.1.

Exceptions

1. Laboratory *systems* meeting Section 6.5.7.3.
2. *Systems* serving *spaces* that are not cooled and that are heated to less than 60°F.

3. Where more than 60 percent of the *outdoor air* heating *energy* is provided from *site-recovered energy* or *site-solar energy.*
4. Heating *energy* recovery in Climate Zones 0, 1, and 2.
5. Cooling *energy* recovery in Climate Zones 3C, 4C, 5B, 5C, 6B, 7, and 8.
6. Where the largest source of air exhausted at a single location at the building exterior is less than 75 percent of the design ventilation outdoor air flow rate, multiple exhaust fans or outlets located within a 30-foot radius from the outdoor air supply unit shall be considered a single exhaust location.
7. *Systems* requiring dehumidification that employ *energy* recovery in series with the cooling coil.
8. *Systems* expected to operate less than 20 hours per week at the *outdoor air* percentage covered by Table 6.5.6.1-1.

2.14 Addition of New Section 10.4.3.5 Power Conversion System

10.4.3.5 Power Conversion System

New traction elevators with a rise of 75 feet or more in new buildings shall have a power conversion system that complies with Sections 10.4.3.5.1 through 10.4.3.5.3.

10.4.3.5.1 Motor

Induction motors with a Class IE2 efficiency ratings, as defined by IEC EN 60034-30, or alternative technologies, such as permanent magnet synchronous motors that have equal or better efficiency, shall be used.

10.4.3.5.2 Transmission

Transmissions shall not reduce the efficiency of the combined motor/transmission for the Class IE2 motor for elevators with capacities below 4,000 lbs. Gearless machines shall be assumed to have a 100 percent transmission efficiency.

10.4.3.5.3 Drive

Potential energy released during motion shall be recovered with a regenerative drive that supplies electrical energy to the building electrical system.

2.15 Addition of New Section 10.4.6 Commercial Kitchen Equipment

10.4.6 Commercial Kitchen Equipment

Commercial kitchen equipment shall comply with the minimum efficiency requirements of Tables 10.4.6-1 through Table 10.4.6-5.

Table 10.4.6-1: Minimum Efficiency Requirements: Commercial Fryers

	Heavy-Load Cooking Energy Efficiency	Idle Energy Rate	Test Procedure
Standard Open Deep-Fat Gas Fryers	≥50%	≤ 9,000 Btu/hr	ASTM Standard F1361-17
Large Vat Open Deep-Fat Gas Fryers	≥ 50%	≤ 12,000 Btu/hr	
Standard Open Deep-Fat Electric Fryers	≥ 83%	≤ 800 watts	ASTM Standard F2144-17
Large Vat Open Deep-Fat Electric Fryers	≥ 80%	≤ 1,100 watts	

Table 10.4.6-2: Minimum Efficiency Requirements: Commercial Hot Food Holding Cabinets

Product Interior Volume (Cubic Feet)	Maximum Idle Energy Consumption Rate (Watts)	Test Procedure
0 < V < 13	≤ 21.5 V	ASTM Standard F2140-11
13 ≤ V < 28	≤ 2.0 V + 254.0	
28 ≤ V	≤ 3.8 V + 203.5	

Table 10.4.6-3: Minimum Efficiency Requirements: Commercial Steam Cookers

Fuel Type	Pan Capacity	Cooking Energy Efficiency[a]	Idle Rate	Test Procedure
Electric Steam	3-pan	50%	400 watts	ASTM Standard F1484-18
	4-pan	50%	530 watts	
	5-pan	50%	670 watts	
	6-pan and larger	50%	800 watts	
Gas Steam	3-pan	38%	6,250 Btu/h	
	4-pan	38%	8,350 Btu/h	
	5-pan	38%	10,400 Btu/h	
	6-pan and larger	38%	12,500 Btu/h	

a. Cooking Energy Efficiency is based on heavy load (potato) cooking capacity

Table 10.4.6-4: Minimum Efficiency Requirements: Commercial Dishwashers

Machine Type	High Temp Efficiency Requirements		Low Temp Efficiency Requirements		Test Procedure
	Idle Energy Rate[a]	Water Consumption[b]	Idle Energy Rate[a]	Water Consumption[b]	
Under Counter	≤ 0.50 kW	≤ 0.86 GPR	≤ 0.50 kW	≤ 1.19 GPR	ASTM Standard F1696-18 ASTM Standard F1920-15
Stationary Single Tank Door	≤ 0.70 kW	≤ 0.89 GPR	≤ 0.60 kW	≤ 1.18 GPR	
Pot, Pan, and Utensil	≤ 1.20 kW	≤ 0.58 GPSF	≤ 1.00 kW	≤ 0.58 GPSF	
Single Tank Conveyor	≤ 1.50 kW	≤ 0.70 GPR	≤ 1.50 kW	≤ 0.79 GPR	
Multiple Tank Conveyor	≤ 2.25 kW	≤ 0.54 GPR	≤ 2.00 kW	≤ 0.54 GPR	
Single Tank Flight Type	Reported	GPH ≤ 2.975x + 55.00	Reported	GPH ≤ 2.975x + 55.00	
Multiple Tank Flight Type	Reported	GPH ≤ 4.96x + 17.00	Reported	GPH ≤ 4.96x + 17.00	

a. Idle results shall be measured with the door closed and represent the total idle energy consumed by the machine including all tank heater(s) and controls. Booster heater (internal or external) energy consumption should not be part of this measurement unless it cannot be separately monitored per US EPA Energy Star Commercial Dishwasher Specification Version 2.0

b. GPR = gallons per rack; GPSF = gallons per square foot of rack; GPH = gallons per hour; x = sf of conveyor belt (i.e., W*L)/min (maximum conveyor speed).

Table 10.4.6-5: Minimum Efficiency Requirements: Commercial Ovens

Fuel Type	Classification	Idle Rate	Cooking-Energy Efficiency, %	Test Procedure
Convection Ovens				
Gas	Full-Size	≤ 12,000 Btu/h	≥ 46	ASTM F1496 - 13
Electric	Half-Size	≤ 1.0 Btu/h	≥ 71	
	Full-Size	≤ 1.60 Btu/h		
Combination Ovens				
Gas	Steam Mode	≤ 200P[a] +6,511 Btu/h	≥ 41	ASTM F2861 - 17
	Convection Mode	≤ 150P[a] +5,425 Btu/h	≥ 56	
Electric	Steam Mode	≤ 0.133P[a] +0.6400 kW	≥ 55	
	Convection Mode	≤ 0.080P[a] +0.4989 kW	≥ 76	
Rack Ovens				
Gas	Single	≤ 25,000 Btu/h	≥ 48	ASTM F2093 - 18
	Double	≤ 30,000 Btu/h	≥ 52	

a. P = Pan Capacity: The number of steam table pans the combination oven is able to accommodate as per the ASTM F – 1495 – 05 standard specification.

2.16 Addition of New Section 10.4.7 Electric Vehicle Charging Station Capable

10.4.7 Electric vehicle charging station capable**.**

New parking garages and new parking lots powered by the energy services for a building, and with 10 or more parking spaces, shall provide either:

1. Panel capacity and conduit for the future installation of minimum 208/240V 40-amp outlets for 5 percent of the total parking spaces and not less than two parking spaces; or
2. Minimum 208/240V 40-amp outlets for 5 percent of the total parking spaces and not less than two parking spaces.

2.17 Addition of New Section 10.4.8 Solar-Ready Zone

10.4.8 Solar-ready zone (Mandatory)

Comply with the provisions of Appendix CA of 2018 IECC (as amended).

2.18 Amendments to Section 11.2 Compliance

11.2 Compliance**.**

Compliance with Section 11 will be achieved if

a. All requirements of Sections 5.4, 6.4, 7.4, 8.4, 9.4, and 10.4, and Section C408 and Appendix CC (if mandated by local ordinance) of the 2018 IECC (as amended) are met;
b. The *design energy cost*, as calculated in Section 11.5, does not exceed the building *energy use budget,* as calculated by the *simulation program* described in Section 11.4, and
c. The *energy efficiency* level of components specified in the *building* design meet or exceed the *efficiency* levels used to calculate the design energy cost; and
d. In new buildings 50,000 square feet and greater, an envelope performance factor shall be calculated in accordance with 90.1 Appendix C, and buildings shall comply with one of the following:

    i. For multifamily, hotel/motel and dormitory building area types, the margin by which the *proposed envelope performance factor* exceeds the *base envelope performance factor* shall not be greater than 15 percent. For compliance with this requirement, the *base envelope performance factor* shall be calculated using metal framing operable windows. In buildings with window area accounting for 40 percent or more of the wall area, the SHGC of the *vertical fenestration* on east and west oriented façade may be reduced by the following multiplier to account for the permanent site shading from existing buildings or infrastructure.

$M_{West} = 0.18 + 0.33/WWR$
$M_{East} = 0.35 + 0.26/WWR$
Where:
M_{West} = SHGC multiplier for the West facade
M_{East} = SHGC multiplier for the East facade
WWR = the ratio of the proposed *vertical fenestration* area to the *gross wall area* in consistent units.

The multiplier may be applied to the rated SHGC of the *vertical fenestration* which has at least 50 percent of the area located directly opposite of the shading surfaces and no higher from the street level than the difference between the shading surface height and the shading surface distance from the façade. Orientation must be determined following Section 5.5.4.5.

ii. For all other buildings area types, the margin by which the proposed *envelope performance factor* exceeds the *base envelope performance factor* shall be not greater than 7 percent. For compliance with this requirement, the *base envelope performance factor* shall be calculated using metal framing fixed windows.

iii. For mixed-use buildings, the margin shall be calculated as the *gross wall area*-weighted average of options *a* and *b*.

2.19 Amendments to Section 11.4.3.2 Annual Energy Costs

11.4.3.2 Annual Energy Costs**.**

The *design energy cost* and *energy cost budget* shall be determined using rates for *purchased energy* (such as electricity, gas, oil, propane, steam, and chilled water) that are approved by the *adopting authority*. Where *on-site renewable energy* or *site-recovered energy* is used, the *budget building design* shall be based on the *energy* source used as the backup *energy* source, or electricity if no backup *energy* source has been specified. Where the proposed design includes electricity generated from sources other than *on-site renewable energy,* the baseline design shall include the same generation system.

2.20 Amendments to Table 11.5.1
Modeling Requirements for Calculating Design Energy Cost and Energy Cost Budget

Table 11.5.1 Modeling Requirements for Calculating Design Energy Cost and Energy Cost

Proposed Design **(Column A)** ***Design Energy Cost*** **(DEC)**	***Budget Building Design*** **(Column B)** ***Energy Cost Budget*** **(ECB)**
1. Design Model	
a. The simulation model of the *proposed design* shall be consistent with the design documents, including proper accounting of *fenestration* and *opaque* envelope types and area; interior lighting power and *controls*; *HVAC system* types, sizes, and *controls*; and *service water-heating systems* and *controls*. b. All *conditioned spaces* in the *proposed design* shall be simulated as being both heated and cooled, even if no cooling or heating *system* is being installed. Temperature and humidity *control set points* and schedules, as well as *temperature control throttling range*, shall be the same for *proposed design* and *baseline building design*. c. When the *Energy Cost Budget* Method is applied to *buildings* in which *energy*-related features have not yet been designed (e.g., a *lighting system*), those yet-to-be-designed features shall be described in the *proposed design* so that they minimally comply with applicable mandatory and prescriptive requirements from Sections 5 through 10. Where the *space* classification for a *building* is not known, the *building* shall be categorized as an office *building*.	The *budget building design* shall be developed by modifying the *proposed design* as described in this table. Except as specifically instructed in this table, all *building systems* and *equipment* shall be modeled identically in the *budget building design* and *proposed design*.
2. Additions and Alterations	
It is acceptable to demonstrate compliance using *building* models that exclude parts of the *existing building*, provided all of the following conditions are met: a. Work to be performed under the current permit application in excluded parts of the *building* shall meet the requirements of Sections 5 through 10. b. Excluded parts of the *building* are served by *HVAC systems* that are entirely separate from those serving parts of the *building* that are included in the *building* model. c. Design *space* temperature and *HVAC system* operating *set points* and schedules on either side of the boundary between included and excluded parts of the *building* are identical. d. If a declining block or similar utility rate is being used in the analysis and the excluded and included parts of the *building* are on the same utility meter, the rate shall reflect the utility block or rate for the *building* plus the addition.	Same as *proposed design*.

Table 11.5.1 Modeling Requirements for Calculating Design Energy Cost and Energy Cost *(Continued)*

Proposed Design **(Column A)** ***Design Energy Cost*** **(DEC)**	***Budget Building Design*** **(Column B)** ***Energy Cost Budget*** **(ECB)**
3. Space Use Classification	
The *building* area type or *space* type classifications shall be chosen in accordance with Section 9.5.1 or 9.6.1. The user or designer shall specify the *space* use classifications using either the *building* area type or *space* type categories but shall not combine the two types of categories within a single permit application. More than one *building* area type category may be used for a *building* if it is a mixed-use facility.	Same as *proposed design*.
4. Schedules	
The schedule types listed in Section 11.4.1.1(b) shall be required input. The schedules shall be typical of the *proposed design* as determined by the designer and approved by the *authority having jurisdiction*. Required schedules shall be identical for the *proposed design* and *budget building design*.	Same as *proposed design*.

Table 11.5.1 Modeling Requirements for Calculating Design Energy Cost and Energy Cost *(Continued)*

Proposed Design **(Column A)** ***Design Energy Cost*** **(DEC)**	***Budget Building Design*** **(Column B)** ***Energy Cost Budget*** **(ECB)**
5. Building Envelope	
All components of the *building envelope* in the *proposed design* shall be modeled as shown on architectural drawings or as installed for *existing building envelopes*. **Exceptions:** The following *building* elements are permitted to differ from architectural drawings. 1. Any *building envelope* assembly that covers less than 5 percent of the total area of that assembly type (e.g., exterior walls) need not be separately described. If not separately described, the area of a *building envelope* assembly must be added to the area of the adjacent assembly of that same type. When the total area of penetrations from mechanical equipment listed in Table 6.8.1-4 exceeds 1 percent of the *opaque* above-grade wall area, the mechanical equipment penetration area shall be calculated as a separate wall assembly with a default U-factor of 0.5. Where mechanical equipment has been tested in accordance with testing standards approved by the *authority having jurisdiction*, the mechanical equipment penetration area may be calculated as a separate wall assembly with the U-factor as determined by such test. 2. Exterior surfaces whose azimuth *orientation* and tilt differ by no more than 45 degrees and are otherwise the same may be described as either a single surface or by using multipliers. 3. The exterior *roof* surface shall be modeled using the aged solar *reflectance* and thermal *emittance* determined in accordance with Section 5.5.3.1.1(a). Where aged test data are unavailable, the *roof* surface shall be modeled with a solar *reflectance* of 0.30 and a thermal *emittance* of 0.90. 4. Manually operated *fenestration* shading devices, such as blinds or shades, shall not be modeled. Permanent shading devices, such as fins, overhangs, and lightshelves, shall be modeled.	The *budget building design* shall have identical *conditioned floor area* and identical exterior dimensions and orientations as the *proposed design*, except as follows: a. *Opaque* assemblies, such as *roof, floors, doors*, and *walls*, shall be modeled as having the same *heat capacity* as the *proposed design* but with the minimum *U-factor* required in Table C402.1.4 for new buildings or additions and Section C503.3 for alterations. *Opaque* assemblies in semi-heated spaces shall be modeled as having the same *heat capacity* as the *proposed design* but with the minimum *U-factor* required in Section 5.5. b. The exterior *roof* surfaces shall be modeled with a solar *reflectance* and thermal *emittance* as required in Section 5.5.3.1.1(a). All other *roofs*, including *roofs* exempted from the requirements in Section 5.5.3.1.1, shall be modeled the same as the *proposed design*. c. No shading projections are to be modeled; *fenestration* shall be assumed to be flush with the *wall* or *roof*. If the *fenestration area* for new *buildings* or additions exceeds the maximum allowed by Section 5.5.4.2, the area shall be reduced proportionally along each exposure until the limit set in Section 5.5.4.2 is met. If the *vertical fenestration* area facing west or east of the *proposed design* exceeds the area limit set in Section 5.5.4.5 then the *energy cost budget* shall be generated by simulating the *budget building design* with its actual *orientation* and again after rotating the entire *budget building design* 90, 180, and 270 degrees and then averaging the results. *Fenestration* U-factor shall be equal to the criteria from Table C402.4 for the appropriate climate, and the *SHGC* shall be equal to the criteria from C402.4 for the appropriate climate. For portions of those tables where there are no *SHGC* requirements, the *SHGC* shall be equal to that determined in accordance with Section C3.6(c). The *VT* shall be equal to that determined in accordance with Section C3.6(c). The *fenestration* model for *building envelope alterations* shall reflect the limitations on area, *U-factor*, and *SHGC* as described in Section 5.1.3. **Exceptions:** When trade-offs are made between an addition and an *existing building*, as described in the exception to Section 4.2.1.2, the *building envelope* assumptions for the *existing building* in the *budget building design* shall reflect existing conditions prior to any revisions that are part of this permit.

Table 11.5.1 Modeling Requirements for Calculating Design Energy Cost and Energy Cost *(Continued)*

Proposed Design **(Column A)** ***Design Energy Cost*** **(DEC)**	***Budget Building Design*** **(Column B)** ***Energy Cost Budget*** **(ECB)**
6. Lighting	
Lighting power in the *proposed design* shall be determined as follows: a. Where a complete *lighting system* exists, the actual lighting power for each *thermal* block shall be used in the model. b. Where a *lighting system* has been designed, lighting power shall be determined in accordance with Sections 9.1.3 and 9.1.4. c. Where no lighting exists or is specified, lighting power shall be determined in accordance with the *Building* Area Method for the appropriate *building area type*. d. *Lighting system* power shall include all *lighting system* components shown or provided for on plans (including *lamps*, *ballasts*, task *fixtures*, and furniture-mounted *fixtures*). e. The lighting schedules in the *proposed design* shall reflect the mandatory *automatic* lighting *control* requirements in Section 9.4.1 (e.g., programmable *controls* or occupancy sensors) **Exception:** *Automatic* daylighting controls required by Section 9.4.1 shall be modeled directly in the proposed design or through schedule adjustments determined by a daylighting analysis approved by the building official. f. *Automatic* lighting *controls* included in the *proposed design* but not required by Section 9.4.1 may be modeled directly in the *building* simulation or be modeled in the building simulation through schedule adjustments determined by a separate analysis approved by the *authority having jurisdiction*. As an alternative to modeling such lighting controls, the *proposed design* lighting power may be reduced for each *luminaire* under *control* by dividing the rated lighting power of the *luminaire* by the factor (1 + ΣCF), where ΣCF indicates the sum of all applicable *control* factors (CF) per Section 9.6.3 and Table 9.6.3.	a. Lighting power in the *budget building design* shall be determined using the same categorization procedure (*Building* Area Method or Space-by-Space Method) and categories as the *proposed design* with lighting power set equal to the maximum allowed for the corresponding method and category in Tables C405.3.2(1) and C405.3.2(2). Additional interior lighting power for nonmandatory *controls* allowed under Section 9.6.3 shall not be included in the *budget building design*. b. Power for *fixtures* not included in the lighting power calculation shall be modeled identically in the *proposed design* and *budget building design*. c. Mandatory *automatic* lighting *controls* required by Section 9.4.1 shall be modeled the same as the *proposed design*.
7. Thermal Blocks – HVAC Zones Designed	
Where *HVAC zones* are defined on HVAC design drawings, each *HVAC zone* shall be modeled as a separate *thermal block*. **Exceptions:** Different *HVAC zones* may be combined to create a single *thermal block* or identical *thermal blocks* to which multipliers are applied, provided all of the following conditions are met: 1. The *space*-use classification is the same throughout the *thermal block*. 2. All *HVAC zones* in the *thermal* block that are adjacent to glazed *exterior walls* and glazed *semiexterior walls* face the same *orientation* or their orientations are within 45 degrees of each other. 3. All of the zones are served by the same *HVAC system* or by the same kind of *HVAC system*.	Same as *proposed design*.

Table 11.5.1 Modeling Requirements for Calculating Design Energy Cost and Energy Cost *(Continued)*

Proposed Design **(Column A)** ***Design Energy Cost*** **(DEC)**	***Budget Building Design*** **(Column B)** ***Energy Cost Budget*** **(ECB)**
8. Thermal Blocks – HVAC Zones Not Designed	
Where the *HVAC zones* and *systems* have not yet been designed, *thermal blocks* shall be defined based on similar internal load densities, occupancy, lighting, thermal and space temperature schedules, and in combination with the following: a. Separate *thermal blocks* shall be assumed for interior and perimeter *spaces*. Interior *spaces* shall be those located more than 15 ft from an *exterior wall* or *semiexterior* wall. Perimeter *spaces* shall be those located closer than 15 ft from an *exterior wall* or *semiexterior wall*. A separate thermal zone does not need to be modeled for areas adjacent to *semiexterior walls* that separate *semiheated space* from *conditioned space*. b. Separate *thermal blocks* shall be assumed for *spaces* adjacent to glazed *exterior walls* or glazed *semiexterior walls*; a separate zone shall be provided for each *orientation*, except that orientations that differ by no more than 45 degrees may be considered to be the same *orientation*. Each zone shall include all *floor* area that is 15 ft or less from a glazed perimeter *wall*, except that *floor* area within 15 ft of glazed perimeter *walls* having more than one *orientation* shall be divided proportionately between zones. c. Separate *thermal blocks* shall be assumed for *spaces* having *floors* that are in contact with the ground or exposed to ambient conditions from zones that do not share these features. d. Separate *thermal* blocks shall be assumed for *spaces* having *roof* assemblies from zones that do not share these features.	Same as *proposed design*.
9. Thermal Blocks – Multifamily *Residential Buildings*	
Residential spaces shall be modeled using one *thermal block* per *space* except that those facing the same orientations may be combined into one *thermal block*. Corner units and units with *roof* or *floor* loads shall only be combined with units sharing these features.	Same as *proposed design*.

Table 11.5.1 Modeling Requirements for Calculating Design Energy Cost and Energy Cost *(Continued)*

***Proposed Design* (Column A)** ***Design Energy Cost* (DEC)**	***Budget Building Design* (Column B)** ***Energy Cost Budget* (ECB)**
10. HVAC Systems	
The *HVAC system* type and all related performance parameters, such as *equipment* capacities and efficiencies, in *the proposed design* shall be determined as follows: a. Where a complete *HVAC system* exists, the model shall reflect the actual *system* type using actual component capacities and efficiencies. b. Where an *HVAC system* has been designed, the HVAC model shall be consistent with design documents. Mechanical *equipment* efficiencies shall be adjusted from actual *design conditions* to the standard rating conditions specified in Section 6.4.1 if required by the simulation model. Where *efficiency* ratings include supply fan energy, the *efficiency* rating shall be adjusted to remove the supply fan *energy* from the *efficiency* rating in the *budget building design*. The equations in Section 11.5.2 shall not be used in the *proposed design*. The *proposed design HVAC system* shall be modeled using *manufacturers'* full- and part- load data for the *HVAC system* without fan power. c. Where no heating *system* exists, or no heating *system* has been specified, the heating *system* shall be modeled as *fossil fuel*. The *system* characteristics shall be identical to the *system* modeled in the *budget building design*. *d.* Where no cooling *system* exists, or no cooling *system* has been specified, the cooling *system* shall be modeled as an air-cooled *single-zone system*, one unit per *thermal block*. The *system* characteristics shall be identical to the *system* modeled in the *budget building design.*	The *HVAC system* type and related performance parameters for the *budget building design* shall be determined from Figure 11.5.2, the *system* descriptions in Table 11.5.2-1 and accompanying notes, and in accord with rules specified in Section 11.5.2(a) through 11.5.2(k).

Table 11.5.1 Modeling Requirements for Calculating Design Energy Cost and Energy Cost *(Continued)*

Proposed Design **(Column A)** ***Design Energy Cost*** **(DEC)**	***Budget Building Design*** **(Column B)** ***Energy Cost Budget*** **(ECB)**
11. Service Water-Heating Systems	
The *service water-heating system* type and all related performance parameters, such as *equipment* capacities and *efficiencies*, in the *proposed design* shall be determined as follows: a. Where a complete *service water-heating system exists*, the model shall reflect the actual *system* type using actual component capacities and efficiencies. b. Where a *service water-heating system* has been designed, the *service water-heating model* shall be consistent with design documents. c. Where no *service water-heating system* exists or is specified, no *service water heating* shall be modeled.	The *service water-heating system* type in the *budget building design* shall be identical to the *proposed design*. The *service water-heating system* performance of the *budget building design* shall meet the requirements of Section C404.2, and where applicable the requirements of C404.2.1 and C404.2.2, without exception. **Exceptions:** 1. If the *service water heating system* type is not listed in Table C404.2, it shall be identical to the *proposed design*. 2. Where Section 7.5.1 or 7.5.2 applies, the *boiler* shall be split into a separate *space*-heating *boiler* and *hot-water heater*. 3. For 24-hour facilities that meet the prescriptive criteria for use of condenser heat recovery *systems* described in Section 6.5.6.2, a *system* meeting the requirements of that section shall be included in the *baseline building design*, regardless of the exceptions to Section 6.5.6.2. If a condenser heat recovery *system* meeting the requirements described in Section 6.5.6.2 cannot be modeled, the requirement for including such a *system* in the actual *building* shall be met as a prescriptive requirement in accordance with Section 6.5.6.2 and no heat recovery *system* shall be included in the *proposed design* or *budget building design*.
12. Miscellaneous Loads	
Receptacle, motor, and *process loads* shall be modeled and estimated based on the *building area type* or *space* type category and shall be assumed to be identical in the *proposed* and *budget building designs*. These loads shall be included in simulations of the *building* and shall be included when calculating the *energy cost budget* and *design energy cost*. All end-use load components within and associated with the *building* shall be modeled, unless specifically excluded by Sections 13 and 14 of Table 11.5.1, including exhaust fans, parking garage *ventilation* fans, exterior *building* lighting, swimming *pool* heaters and pumps, elevators and escalators, refrigeration *equipment*, and cooking *equipment*.	Receptacle, motor, and *process loads* shall be modeled and estimated based on the *building area type* or *space* type category and shall be assumed to be identical in the *proposed design* and *budget building design*. These loads shall be included in simulations of the *building* and shall be included when calculating the *energy cost budget* and *design energy cost*. All end-use load components within and associated with the *building* shall be modeled, unless specifically excluded by Sections 13 and 14 of Table 11.5.1, including exhaust fans, parking garage *ventilation* fans, exterior *building* lighting, swimming *pool* heaters and pumps, elevators and escalators, refrigeration *equipment*, and cooking *equipment*.

Table 11.5.1 Modeling Requirements for Calculating Design Energy Cost and Energy Cost *(Continued)*

Proposed Design **(Column A)** ***Design Energy Cost*** **(DEC)**	***Budget Building Design*** **(Column B)** ***Energy Cost Budget*** **(ECB)**
13. Modeling Exceptions	
All elements of the *proposed design building envelope*, HVAC, *service water heating*, lighting, and electrical *systems* shall be modeled in the *proposed design* in accordance with the requirements of Sections 1 through 12 of Table 11.5.1. **Exceptions:** Components and *systems* in the *proposed design* may be excluded from the simulation model provided that 1. component *energy* use does not affect the *energy* use of *systems* and components that are being considered for trade-off and 2. the applicable prescriptive requirements of Sections 5.5, 6.5, 7.5, and either 9.5 or 9.6 applying to the excluded components are met.	None
14. Modeling Limitations to the *Simulation Program*	
If the *simulation program* cannot model a component or *system* included in the *proposed design*, one of the following methods shall be used with the approval of the *authority having jurisdiction*: a. Ignore the component if the *energy* impact on the trade-offs being considered is not significant. b. Model the component substituting a thermodynamically similar component model. c. Model the *HVAC system* components or *systems* using the *budget building design*'s *HVAC system* in accordance with Section 10 of Table 11.5.1. Whichever method is selected, the component shall be modeled identically for both the *proposed design* and *budget building design*.	Same as *proposed design*.

2.21 Amendments to Section G1.2.1 Mandatory Provisions

G1.2.1 Mandatory Provisions.

This *performance rating method* requires conformance with the following provisions:

1. All requirements of Sections 5.4, 6.4, 7.4, 8.4, 9.4, 10.4, and Sections C408 and Appendix CC (if mandated by local ordinance) of the 2018 IECC (as amended) shall be met. These sections contain the mandatory provisions of the standard and are prerequisites for this rating method.
2. The interior lighting power shall not exceed the *interior lighting power allowance* determined using either Tables G3.7 or G3.8 and the methodology described in Sections 9.5.1 and 9.6.1.

2.22 Amendments to Section G1.2.2 Performance Rating Calculation

G1.2.2 Performance Rating Calculation**.**

The performance of the *proposed design* is calculated by either the provisions of G1.2.2.1 Performance Cost Index or G1.2.2.2 Performance Source Energy Index.

2.23 Addition of New Section G1.2.2.1 Performance Cost Index

G1.2.2.1 Performance Cost Index**.**

The performance of the proposed design is calculated in accordance with provisions of this appendix using the following formula:

Performance Cost Index =
Proposed building performance / *Baseline building performance*

Both the *proposed building performance* and the *baseline building performance* shall include all end-use load components within and associated with the building when calculating the Performance Cost Index.

2.24 Addition of New Section G1.2.2.2 Performance Source Energy Index

G1.2.2.2 Performance Source Energy Index**.**

The performance of the proposed design is calculated in accordance with provisions of this appendix using the following formula:

Performance Source Energy Index =
Proposed building source energy / *Baseline building source energy*

Both the *proposed building source energy* and the *baseline building source energy* shall include all end-use load components within and associated with the building when calculating the Performance Source Energy Index.

2.25 Amendments to Section G2.4.1 On-site Renewable Energy and Site-Recovered Energy

G2.4.1 On-site Renewable Energy and Site-Recovered Energy**.**

Site-recovered energy shall not be considered *purchased energy* and shall be subtracted from the *proposed design energy* consumption prior to calculating the *proposed building performance*. *On-site renewable energy* generated by *systems* included on the *building* permit used by the *building* shall be subtracted from the *proposed design energy* consumption prior to calculating the *proposed building performance* or *proposed building source energy*. The reduction in *proposed*

building performance or *proposed building source energy* associated with *on-site renewable energy* systems shall not exceed 5 percent of the calculated *baseline building performance* or *baseline building source energy*, respectively.

2.26 Amendments to Section G2.4.2 Annual Energy Costs

G2.4.2 Annual Energy Costs.

The *design energy cost* and baseline *energy* cost shall be determined using either actual rates for *purchased energy* or State average *energy* prices published by DOE's Energy Information Administration (EIA) for commercial *building* customers, but rates from different sources may not be mixed in the same project. Where *on-site renewable energy* or *site-recovered energy* is used, the *baseline building design* shall be based on the *energy* source used as the backup *energy* source, or the baseline *system energy* source in that category if no backup *energy* source has been specified. Where the proposed design includes electricity generated from sources other than *on-site renewable energy,* the baseline design shall include the same generation system.

2.27 Amendments to Table G3.1 Modeling Requirements for Calculating Proposed and Baseline Building Performance (No. 5 Building Envelope)

Table G3.1 Modeling Requirements for Calculating Proposed and *Baseline Building Performance*

No.	Proposed Building Performance	Baseline Building Performance
5. Building Envelope		
a.	All components of the *building envelope* in the *proposed design* shall be modeled as shown on architectural drawings or as built for *existing building envelopes*. **Exceptions:** The following *building* elements are permitted to differ from architectural drawings: 1. All uninsulated assemblies (e.g., projecting balconies, perimeter edges of intermediate *floor* stabs, concrete *floor* beams over parking garages, *roof* parapet) shall be separately modeled using either of the following techniques: a. Separate model of each of these assemblies within the *energy* simulation model. b. Separate calculation of the *U-factor* for each of these assemblies. The *U-factors* of these assemblies are then averaged with larger adjacent surfaces using an area-weighted average method. This average *U-factor* is modeled within the *energy* simulation model. Any other *building envelope* assembly that covers less than 5% of the total area of that assembly type (e.g., *exterior walls*) need not be separately described,	Equivalent dimensions shall be assumed for each *building envelope* component type as in the *proposed design*; i.e., the total gross area of *walls* shall be the same in the *proposed design* and *baseline building design*. The same shall be true for the areas of roofs, *floors,* and *doors,* and the exposed perimeters of concretes slabs on *grade* shall also be the same in the *proposed design* and *baseline building design*. The following additional requirements shall apply to the modeling of the *baseline building design*. a. ***Orientation.*** The *baseline building performance* shall be generated by simulating the *building* with its actual *orientation* and again after rotating the entire *building* 90, 180, and 270 degrees, then averaging the results. The *building* shall be modeled so that it does not shade itself. **Exceptions:** 1. If it can be demonstrated to the satisfaction of the *rating authority* that the *building orientation* is dictated by site considerations. 2. *Buildings* where the *vertical fenestration area* on each *orientation* varies by less than 5

provided that it is similar to an assembly being modeled. If not separately described, the area of a *building envelope* assembly shall be added to the area of an assembly of that same type with the same *orientation* and thermal properties. When the total area of penetrations from mechanical equipment listed in Table 6.8.1-4 exceeds 1% of the *opaque above-grade wall* area, the mechanical equipment penetration area shall be calculated as a separate wall assembly with a default *U-factor* of 0.5. Where mechanical equipment has been tested in accordance with testing standards approved by the *authority having jurisdiction*, the mechanical equipment penetration area may be calculated as a separate *wall* assembly with the *U-factor* as determined by such test.

2. Exterior surfaces whose azimuth *orientation* and tilt differ by less than 45 degrees and are otherwise the same may be described as either a single surface or by using multipliers.
3. The exterior *roof* surface shall be modeled using the aged solar *reflectance* and thermal *emittance* determined in accordance with Section 5.5.3.1.1(a). Where aged test data are unavailable, the *roof* surface may be modeled with a reflectance of 0.30 and a thermal *emittance* of 0.90.
4. *Manual fenestration* shading devices, such as blinds or shades, shall be modeled or not modeled the same as in the *baseline building design*. Automatically controlled *fenestration* shades or blinds shall be modeled. Permanent shading devices, such as fins, overhangs, and light shelves shall be modeled.
5. Automatically controlled *dynamic glazing* may be modeled. Manually controlled *dynamic glazing* shall use the average of the minimum and maximum *SHGC* and *VT*.

b. *Infiltration* shall be modeled using the same methodology, air leakage rate, and adjustments for weather and *building* operation in both the *proposed design* and the *baseline building design*. These adjustments shall be made for each simulation time step and must account for but not be limited to weather conditions and *HVAC system* operation, including strategies that are intended to positively pressurize the *building*. The air leakage rate of the *building envelope* (I_{75Pa}) at a *fixed building* pressure differential of 0.3 in. of water shall be 0.4 cfm/ft^2. The air leakage rate of the *building envelope* shall be converted to appropriate units for the *simulation program* using one of the methods in Section G3.1.1.4.

Exceptions: When whole-*building* air leakage testing, in accordance with ASTM E779, is specified during design and completed after *construction*, the *proposed design* air percent.

b. ***Opaque* Assemblies**. *Opaque* assemblies used for new *buildings, existing buildings, or* additions shall conform with assemblies detailed in Appendix A and shall match the appropriate assembly maximum *U-factors* in Tables G3.4-1 through G3.4-8:

- Roofs--Insulation entirely above deck (A2.2).
- *Above-grade walls*--Steel-*framed* (A3.3).
- *Below-grade walls*--Concrete block (A4).
- *Floors*--*Steel-joist* (A5.3).
- *Slab-on-grade floors* shall match the *F-factor* for unheated slabs from the same tables (A6).
- *Opaque door* types shall be of the same type of *constructions* as the *proposed design* and conform to the *U-factor* requirements from the same tables (A7).

c. ***Vertical Fenestration Areas.*** For *building* area types included in Table G3.1.1-1, *vertical fenestration areas* for new *buildings* and additions shall equal that in Table G3.1.1-1 based on the area of gross *above-grade walls* that separate *conditioned spaces* and *semiheated spaces* from the exterior. Where a *building* has multiple *building* area types, each type shall use the values in the table. The *vertical fenestration* shall be distributed on each face of the *building* in the same proportion as in the *proposed design*. For *building* areas not shown in Table G3.1.1-1, *vertical fenestration area* for new *buildings* and additions shall equal that in the *proposed design* or 40% of gross *above-grade wall* area, whichever is smaller, and shall be distributed on each face of the *building* in the same proportions in the *proposed design*. The *fenestration area* for an *existing building* shall equal the existing *fenestration area* prior to the proposed work and shall be distributed on each face of the *building* in the same proportions as the *existing building*. For portions of those tables where there are no *SHGC* requirements, the *SHGC* shall be equal to that determined in accordance with Section C3.6(c).

d. ***Vertical Fenestration* Assemblies.** *Fenestration* for new *buildings, existing buildings,* and additions shall comply with the following:

- *Fenestration U-factors* shall match the appropriate requirements in Tables G3.4-1 through G3.4-8 for the applicable glazing percentage for $\mathbf{U}_{all}$.
- *Fenestration SHGCs* shall match the appropriate requirements in Tables G3.4-1 through G3.4-8 using the value for $SHGC_{all}$ for the applicable

leakage rate of the *building envelope* shall be as measured.	vertical glazing percentage. • All *vertical fenestration* shall be assumed to be flush with the *exterior* wall, and no shading projections shall be modeled. • *Manual* window shading devices such as blinds or shades are not required to be modeled. e. ***Skylights* and Glazed Smoke Vents.** *Skylight* area shall be equal to that in the *proposed design* or #%, whichever is smaller. If the *skylight* area of the *proposed design* is greater than 3%, baseline *skylight* area shall be decreased by an identical percentage in all *roof* components in which *skylights* are located to reach 3%. *Skylight orientation* and tilt shall be the same as in the *proposed design*. *Skylight U-factor* and *SHGC* properties shall match the appropriate requirements in Tables G3.4-1 through G3.4-8 using the value and the applicable *skylight* percentage. f. ***Roof* Solar *Reflectance* and Thermal *Emittance.*** The exterior *roof* surfaces shall be modeled using a solar *reflectance* of 0.30 and a thermal *emittance* of 0.90. g. ***Roof* Albedo.** All *roof* surfaces shall be modeled with a reflectivity of 0.30.

PART 3

3 Amendments to 2018 International Energy Conservation Construction Code Residential Provisions

3.1 Amendments to Section 401.2

R401.2 Compliance**.** Projects shall comply with one of the following:

1. The provisions of Sections R401 through R404.
2. The provisions of Sections R401 through R404 and the provisions of Section R408 (passive house).
3. The provisions of Section R406 (ERI).
4. For *Group* R-2*, Group* R-3 *and Group* R-4 *buildings*, the provisions of Section R405 (simulated performance) and the provisions of Sections R401 through R404 labeled "Mandatory." The building energy cost shall be equal to or less than 80 percent of the standard reference design building.

3.2 Amendments to Table R402.1.2 Insulation and fenestration requirements by component

Table R402.1.2
Insulation and Fenestration Requirements by Component[a]

Climate Zone	Fenestration U-factor[h]	Skylight U-factor[h]	Glazed fenestration SHGC[h]	Ceiling R-Value	Wood Frame Wall[b,c] R-Value	Mass Wall[d] R-Value	Floor R-Value	Basement Wall[e] R-Value	Slab[f] R-Value and Depth	Crawl Space Wall[e] R-Value
4	0.27	0.50	0.4	49	21 int. or 20+5 or 13+10	15/20	30[g]	15/19	10,4 ft	15/19
5	0.27	0.50	NR	49	21 int. or 20+5 or 13+10	15/20	30[g]	15/19	10,4 ft	15/19
6	0.27	0.50	NR	49	20+5 or 13+10	15/20	30[g]	15/19	10,4 ft	15/19

NR = Not Required
For SI: 1 foot = 304.8 mm.

a. R-values are minimums. U-factors and SHGC are maximums. Where insulation is installed in a cavity that is less than the label or design thickness of the insulation, the installed R-value of the insulation shall be not less than the R-value specified in the table.
b. Int. (intermediate framings) denotes standard framing 16 inches on center. Headers shall be insulated with a minimum of R-10 insulation.
c. The first value is cavity insulation, the second value is continuous insulation. Therefore, as an example, "13+10" means R-13 cavity insulation plus R-10 continuous insulation.
d. Mass walls shall be in accordance with Section R402.2.5. The second R-value applies when more than half the insulation is on the interior of the mass wall.
e. 15/19 means R-15 continuous insulation on the interior or exterior of the home or R-19 cavity insulation at the interior of the basement wall.
f. R-10 continuous insulation shall be provided under the full slab area of a heated slab in addition to the required slab edge insulation R-value for slabs as indicated in the table. The slab edge insulation for heated slabs shall not be required to extend below the slab.
g. Alternatively, insulation sufficient to fill the framing cavity and providing not less than an *R*-value of R-19.
h. The fenestration *U*-factor column excludes skylights. The SHGC column applies to all glazed fenestration.

3.3 Amendments to Table R402.1.4 Equivalent U-factors

Table R402.1.4
Equivalent U-factors[a]

Climate Zone	Fenestration U-factor	Skylight U-factor	Ceiling U-factor	Frame Wall U-factor	Mass Wall U-factor[b]	Floor U-factor	Basement Wall U-factor	Crawl Space Wall U-factor
4	0.27	0.50	0.026	0.045	0.056	0.033	0.050	0.042
5	0.27	0.50	0.026	0.045	0.056	0.033	0.050	0.042
6	0.27	0.50	0.026	0.045	0.056	0.033	0.050	0.042

a. Nonfenestration U-factors shall be obtained from measurement, calculation or an approved source.
b. Mass wall shall be in accordance with Section R402.2.5. Where more than half the insulation is on the interior, the mass wall U-factor shall not exceed 0.056.

3.4 Amendments to Section R402.2.2 Ceilings without attic spaces

R402.2.2 Ceiling without attic spaces. Where Section R402.1.2 requires insulation R-values greater than R-38 in the ceiling and the design of the roof/ceiling assembly does not allow sufficient space for the required insulation, the minimum required insulation R-value for such roof/ceiling assemblies shall be R-38. Insulation shall extend over the top of the wall plate to the outer edge of such plate and shall not be compressed. This reduction of insulation from the requirements of Section R402.1.2 shall be limited to 500 square feet (46 m^2) or 20 percent of the total insulated ceiling area, whichever is less. This reduction shall not apply to the U-factor alternative approach in Section R402.1.4 and the Total UA alternative in Section R402.1.5.

3.5 Amendments to Section R402.4.1.1 Installation

R402.4.1.1 Installation. The components of the *building thermal envelope* as indicated in Table R402.4.1.1 shall be installed in accordance with the manufacturer's instruction and the criteria indicated in Table R402.4.1.1 as applicable to the method of construction. An approved agency shall inspect all components and verify compliance. The inspection shall include an open wall visual inspection of all components included in Table R402.4.1.1 and shall be installed so that the insulation material uniformly fills each cavity side-to-side and top-to-bottom, without substantial gaps or voids around obstructions, and is split, installed, or fitted tightly around wiring and other penetrations in the cavity. No more than 2 percent of the total insulated area shall be compressed below the thickness required to attain the labeled R-value or contain gaps or voids in the insulation.

3.6 Amendments to Section R403.3 Ducts

R403.3 Ducts. All ducts and air handlers shall be installed in accordance with Section R403.3.1 through R403.3.8, where applicable. The duct system in new buildings and additions shall be located in a conditioned space in accordance with Sections R403.3.7 (1) and R403.3.7 (2).

3.7 Addition of New Section R403.3.8 Duct system sizing (Mandatory)

R403.3.8 Duct system sizing (Mandatory). Ducts shall be sized in accordance with ACCA Manual D based on calculations made in accordance with Sections R403.7 and R403.8.

3.8 Amendments to Section R403.5 Service hot water systems

R403.5 Service hot water systems. Energy conservation measures for service hot water systems shall be in accordance with Sections R403.5.1 through R403.5.5

3.9 Amendments to Section R403.5.4 Drain water heat recovery units

R403.5.4 Drain water heat recovery units. Drain water heat recovery units shall have a minimum efficiency of 40 percent if installed for equal flow or a minimum efficiency of 52 percent if installed for unequal flow. Vertical drain water heat recovery units shall comply with CSA B55.2 and be tested and labeled in accordance with CSA B55.1 or IAPMO 346. Sloped drain water heat recovery units shall comply with IAPMO PS 92 and be tested and labeled in accordance with IAPMO 346. Potable water-side pressure loss of drain water heat recovery units shall be less than 3 psi for individual units connected to one or two showers. Potable water-side pressure loss of drain water heat recovery units shall be less than 2 psi for individual units connected to three or more showers.

3.10 Addition of New Section R403.5.5 Supply of heated water

R403.5.5 Supply of heated water. In new *buildings*, heated water supply piping shall be in accordance with one of the following:

> **R403.5.5.1 Maximum allowable pipe length method.** The maximum allowable pipe length from the nearest source of heated water to the termination of the fixture supply pipe shall be in accordance with the maximum pipe length in Table R403.5.5.1. Where the length contains more than one size of pipe, the largest size shall be used for determining the maximum allowable length of the piping in Table R403.5.5.1.

R403.5.5.2 Maximum allowable pipe volume method. The water volume in the piping shall be calculated in accordance with Section R403.5.5.2.1. The maximum volume of hot or tempered water in the piping to public lavatory faucets shall be 2 ounces. For fixtures other than public lavatory faucets, the maximum volume shall be 64 ounces for hot or tempered water from a water heater or boiler; and 24 ounces for hot or tempered water from a circulation loop pipe or an electrically heat-traced pipe. The water volume in the piping shall be calculated in accordance with Section R403.5.5.2.1.

R403.5.5.2.1 Water volume determination. The volume shall be the sum of the internal volumes of pipe, fittings, valves, meters and manifolds between the source of hot water and the termination of the fixture supply pipe. The volume shall be determined from the "Volume" column of Table R403.5.5.1. The volume contained within fixture shutoff valves, flexible water supply connectors to a fixture fitting, or within a fixture fitting shall not be included in the water volume determination. Where hot or tempered water is supplied by a circulation loop pipe or a heat-traced pipe, the volume shall include the portion of the fitting on the branch pipe that supplies water to the fixture.

Table R403.5.5.1
Pipe Volume and Maximum Piping Lengths

Nominal Pipe or Tube Size (inch)	VOLUME (Liquid Ounces Per Foot Length)	Maximum Pipe or Tube Length		
		System without a circulation loop or heat-traced line (feet)	System with a circulation loop or heat-traced line (feet)	Lavatory faucets – public (metering and nonmetering (feet)
1/4[a]	0.33	50	16	6
5/16[a]	0.5	50	16	4
3/8[a]	0.75	50	16	3
1/2	1.5	43	16	2
5/8	2	32	12	1
3/4	3	21	8	0.5
7/8	4	16	6	0.5
1	5	13	5	0.5
1 1/4	8	8	3	0.5
1 1/2	11	6	2	0.5
2 or larger	18	4	1	0.5

a. The flow rate for ¼-inch size pipe or tube is limited to 0.5 gallons per minute; for 5/16-inch size, it is limited to 1 gpm; for 3/8-inch size, it is limited to 1.5 gpm.

R403.5.5.3 Drain water heat recovery units. New buildings shall include a drain water heat recovery unit that captures heat from at least one shower, and such drain water heat recovery unit must have a minimum efficiency of 40 percent if installed for equal flow or a minimum efficiency of 52 percent if installed for unequal flow. Vertical drain water heat recovery units shall comply with CSA B55.2 and be tested and labeled in accordance with CSA B55.1 or IAPMO 346. Sloped drain water heat recovery units shall comply with IAPMO PS 92 and be tested and labeled in accordance with IAPMO 346. Potable water-side pressure loss of drain water heat recovery units shall be less than 3 psi for individual units connected to one or two showers.

Potable water-side pressure loss of drain water heat recovery units shall be less than 2 psi for individual units connected to three or more showers.

R403.5.5.4 Recirculation Systems. Projects shall include a recirculation system with no more than 0.5-gallon (1.9 liter) storage. The storage limit shall be measured from the point where the branch feeding the fixture branches off the recirculation loop to the fixture. Recirculation systems must be based on an occupant-controlled switch or an occupancy sensor, installed in each bathroom, which is located beyond a 0.5-gallon stored-volume range from the water heater.

3.11 Addition of New Section R403.6.2 Balanced and HRV/ERV systems (Mandatory)

R403.6.2 Balanced and HRV/ERV systems (Mandatory). In new buildings, every dwelling unit shall be served by a heat recovery ventilator (HRV) or energy recovery ventilator (ERV) installed per manufacturer's instructions. The HRV/ERV must be sized adequately for the specific application, which will include the building's conditioned area, and number of occupants.

Exception: In Climate Zone 4, a balanced *ventilation* system designed and installed according to the requirements of Section M1507.3 of the 2015 International Residential Code (IRC) that uses the return side of the building's heating and/or cooling system air handler to supply outdoor air, shall be permitted to comply with this section. When the outdoor air supply is ducted to the heating and/or cooling system air handler, the mixed air temperature shall not be less than that permitted by the heating equipment manufacturer's installation instructions. Heating and/or cooling system air handlers used to distribute outdoor air shall be field-verified to not exceed an efficacy of 45 W/CFM if using furnaces for heating and 58 W/CFM if using other forms of heating. In the balanced system design, an equivalent exhaust air flow rate shall be provided simultaneously by one or more exhaust fans, located remotely from the source of supply air. The balanced system's exhaust and supply fans shall be interlocked for operation, sized to provide equivalent air flow at a rate greater than or equal to that determined by IRC Table M1507.3.3(1) and shall have their fan capacities adjusted for intermittent run time per Table M1507.3.3(2). Continuous operation of the balanced *ventilation* system shall not be permitted.

3.12 Addition of New Section R403.6.3 Verification

R403.6.3 Verification. Installed performance of the mechanical *ventilation* system shall be tested and verified by an *approved agency* and measured using a flow hood, flow grid, or other airflow measuring device in accordance with Air Conditioning Contractors of America (ACCA) HVAC Quality Installation Verification Protocols – ANSI/ACCA 9QIvp-2016.

3.13 Amendments to Section R404.1 Lighting equipment (Mandatory)

R404.1 Lighting equipment (Mandatory). Not less than 90 percent of the permanently installed lighting fixtures shall use lamps with an efficacy of at least 65 lumens per watt or have a total luminaire efficacy of at least 45 lumens per watt.

R404.1.1 Lighting equipment (Mandatory). Fuel gas lighting systems shall not have continuously burning pilot lights.

3.14 Addition of New Section R404.2 Electrical power packages (Mandatory)

R404.2 Electrical power packages (Mandatory). New buildings shall comply with the following:

1. Solar-ready zone. Detached one and two-family dwellings and townhouses where the conditioned space is greater than 1,400 square feet shall comply with the requirements of Appendix RA.
2. Electrical Vehicle Service Equipment Capable. Detached one or two-family dwellings and townhouses with parking area provided on the *building site* shall provide a 208/240V 40-amp outlet for each dwelling unit or panel capacity and conduit for the future installation of such an outlet. Outlet or conduit termination shall be adjacent to the parking area. For residential occupancies where there is a common parking area, provide either:
   a. Panel capacity and conduit for the future installation of 208/240V 40-amp outlets for 5 percent of the total parking spaces, but not less than one outlet, or
   b. 208/240V 40-amp outlets for 5 percent of the total parking spaces, but not less than one outlet.

3.15 Amendments to Table R406.4 Maximum Energy Rating Index

Table R406.4
Maximum Energy Rating Index

Climate Zone	Energy Rating Index[a]
4	50
5	50
6	50

a. Where *on-site renewable energy* is included for compliance using the ERI analysis of Section R406.4, the building shall meet the mandatory requirements of Section R406.2, and the building thermal envelope shall be greater than or equal to the levels of efficiency and SHGC in Table R402.1.2 or R402.1.4 of the 2015 *International Energy Conservation Code.*

3.16 Addition of New Section R408 Passive House

Section R408
Passive House

R408.1 General**.** *Buildings* shall comply with either Section R408.1.1 or R408.1.2 and shall comply with Section R408.2.

R408.1.1. Passive House Institute US (PHIUS) Approved Software. PHIUS+. Passive Building Standard - North America, where Specific Space Heat Demand and (sensible only) Cooling Demand, as modeled and field-verified by a Certified Passive House Consultant, is less than or equal to 9kBTU/ft2/year. The *dwelling unit* shall also be tested with a blower door and found to exhibit no more than 0.05 CFM50/ft² or 0.08 CFM75/ft² of air leakage.

R408.1.2 Passive House Institute (PHI) Approved Software. Passive House Institute: Low Energy Building Standard, where Specific Space Heating and (sensible only) Cooling Demand is less than or equal to 9.5 kBTU/ft^2/year, as modeled and field-verified by a Certified Passive House Consultant. The *dwelling unit* shall also be tested with a blower door and found to exhibit an *infiltration* rate of no more than 1.0 air changes per hour under a pressure of 50 Pascals.

R408.2 Documentation

1. If using the PHIUS software:
    a. Prior to the issuance of a building permit, the following items must be provided to the *code official*:
        i. A list of compliance features; and
        ii. A statement that the estimated Specific Space Heat Demand is “based on plans.”
    b. Prior to the issuance of a certificate of occupancy, the following item must be provided to the *code official*:
        i. A copy of the final report submitted on a form that is approved to document compliance with PHIUS+ standards. Said report must indicate that the finished building achieves a Certified Passive House Consultant verified Specific Space Heat Demand of less than or equal to 9 kBTU/ft2/year.

2. If using the PHI software:

    a. Prior to the issuance of a building permit, the following items must be provided to the *code official*:

        i. A list of compliance features; and

        ii. A statement that the estimated Specific Space Heating and Cooling Demand is "based on plans."

    b. Prior to the issuance of a certificate of occupancy, the following item must be provided to the *code official*:

        i. A copy of the final report submitted on a form that is approved to document compliance with PHI standards. Said report must indicate that the finished building achieves a Certified Passive House Consultant verified Specific Space Heating or Cooling Demand is less than or equal to 9.5 kBTU/ft^2/year.

3.17 Amendments to "ACCA" in Chapter 6 Referenced Standards

Manual D—16: Residential Duct Systems

R403.3.8

Manual J—16: Residential Load Calculation Eighth Edition

R403.7

Manual S—14: Residential Equipment Selection

R403.7

3.18 Addition of a new entry for "IAPMO" to Chapter 6 Referenced Standards

IAPMO **International Association of Plumbing and Mechanical Officials**
4755 E. Philadelphia St.
Ontario, CA 91761

IAPMO IGC 346:2017 Test Method for Measuring the Performance of Drain Water Heat Recovery Units

R403.5.4.3

IAPMO PS 92-2013: Heat Exchangers and Indirect Water Heaters

R403.5.4.3

3.19 Addition of a new entry for "PHI" to Chapter 6 Referenced Standards

PHI **Passive House Institute**
Rheistrasse 44/46
64283 Darmstadt, Germany

PHI 2016: Low Energy Building Standard, Version 9f
R408.1

3.20 Addition of a New Entry for "PHIUS" to Chapter 6 Referenced Standards

PHIUS **Passive House Institute US**
116 West Illinois Street, Suite 5E
Chicago, IL 60654, USA

PHIUS+ 2015: Passive Building Standard – North America
R408.1

State of New York
Andrew M. Cuomo, Governor

New York State Energy Research and Development Authority
Richard L. Kauffman, Chair | Alicia Barton, President and CEO