



**Office of Planning
and Development**

Department of State 2024-2025 Grant Program Overview

An Office of New York Department of State

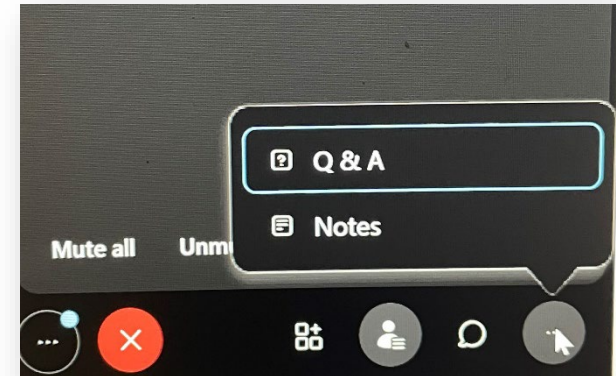
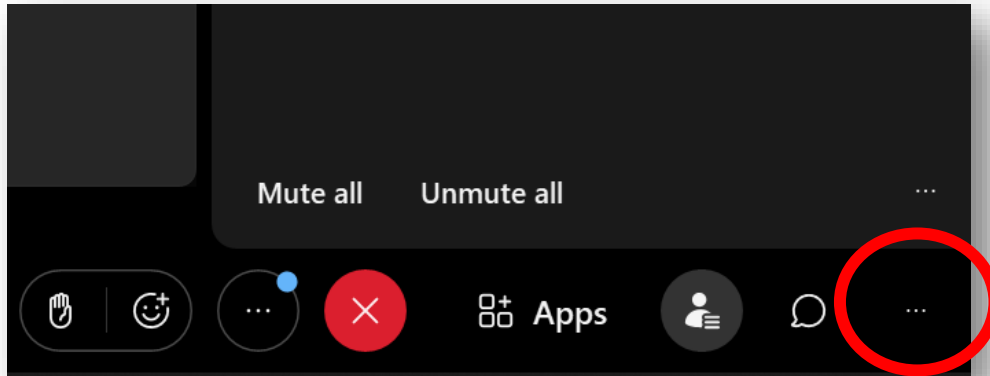
Agenda

- **Local Waterfront Revitalization Program**
- **Brownfield Opportunity Area Program**
- **Smart Growth Community Planning and Zoning Program**

Questions

Questions may be asked in the Q&A section of the webinar

- Click Ellipsis in bottom right corner
- Click Q & A
- Enter Question





**Office of Planning
and Development**

Environmental Protection Fund Local Waterfront Revitalization Program

Request for Applications and grant resources available at:
<https://dos.ny.gov/funding-bid-opportunities>

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Local Waterfront Revitalization Program (LWRP)

The Local Waterfront Revitalization Program offers local governments the opportunity to participate in the State's Coastal Management Program on a voluntary basis.

Municipalities are encouraged to prepare, adopt, and implement Local Waterfront Revitalization Programs which refine and implement the State's Coastal Management Program.



Eligible Applicants

- Villages, towns, or cities, located along New York's coasts or designated inland waterways as designated pursuant to Executive Law, Article 42.
- Counties with the written consent and acting on behalf of one or more villages, towns, or cities, located along New York's coasts or designated inland waterways

A map depicting eligible municipalities, coastal waterbodies, and designated inland waterways, the Coastal Waterbodies and Designated Inland Waterways List, and additional grant program information are available on the Department of State's website at <https://dos.ny.gov/funding-bid-opportunities>

Grant Categories

- **Preparing or Updating a Local Waterfront Revitalization Program (LWRP), including Updating an LWRP to be More Resilient to Climate Risks**
- **Preparing an LWRP Component, including a Watershed Management Plan**
- **Implementing an LWRP or LWRP Component**

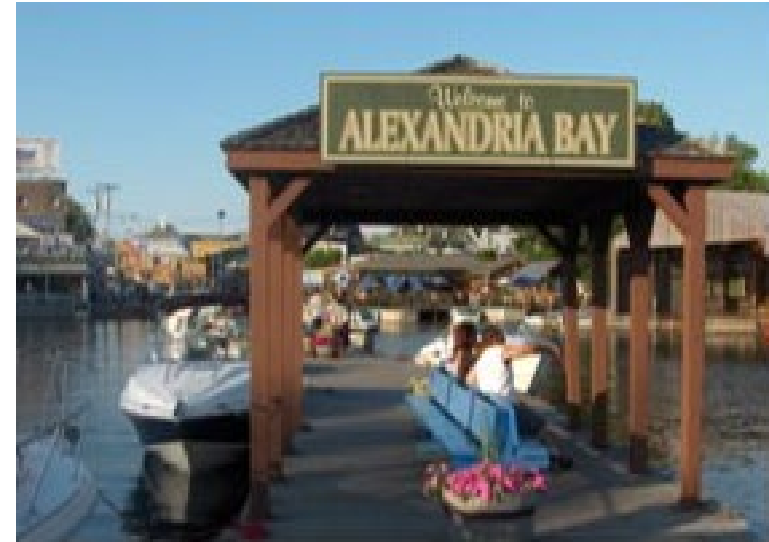
For general planning, such as preparation of an LWRP or Watershed management Plan, an applicant must be a village, town, city, or county with the consent and on behalf of one or more villages, towns or cities located along New York's coast or a designated inland waterway.

For project-specific planning, feasibility, design, and/or marketing, the eligible applicant must be currently preparing or have an approved LWRP or LWRP Component and the proposed project must be located within the plan boundary.

For construction projects, the eligible applicant must have an approved or substantially complete LWRP or relevant completed LWRP Component and the proposed project must be located within the plan boundary.

Preparing or Updating a Local Waterfront Revitalization Program (LWRP)

- Preparation of an LWRP is a principal means of implementing the State's Coastal Management Program and plays a significant role in addressing relevant issues for a given region or place, bringing to bear the resources and authorities of local governments and engaging the public in the management and use of resources having an ecological, physical, social, visual, or economic relationship to the waterfront
- Preparation of an LWRP engages the public in the management and use of waterfront resources



LWRP Required Elements

- I. LWRP Revitalization Area Boundary
- II. Inventory and Analysis
- III. Local Waterfront Revitalization Policies
- IV. Proposed Land and Water Uses and Proposed Projects
- V. Techniques for Local Implementation
- VI. Federal and State Actions and Programs Likely to Affect Implementation
- VII. Local Commitment and Consultation



LWRP Preparation Webinar & Guidance Manual:

<https://dos.ny.gov/local-waterfront-revitalization-program>

Updating an LWRP to be More Resilient to Climate Risks

A community that has an LWRP which considers current and future climate hazards, and plans accordingly, is more resilient. The DOS Resilience Principles provide a straightforward way for communities and practitioners to understand and apply different concepts that form resilience.



Preparing an LWRP Component, including a Watershed Management Plan

LWRPs may be advanced in stages to address the most time sensitive and geographically significant priorities and policies.

- An LWRP Component may focus on one or more topic(s) or section(s) of an LWRP or its waterfront, provided that the program constitutes a discrete and cohesive, yet comprehensive, treatment of the subject or subjects addressed, which may be related to environmental, social, regional growth management, or economic conditions.
- An LWRP Component may focus on one or more State coastal policies that are relevant to the particular conditions and priorities in the municipality, address a significant geographic portion of the municipality's waterfront, or both.

Preparing a Watershed Management Plan

Applicants may seek funding to prepare a watershed management plan, including Nine Element plans, to protect and restore specific waterbodies by identifying and prioritizing land uses and capital projects to reduce point and nonpoint source pollution, and protect or restore water quality, tributary corridors and aquatic habitats.

Funds are available for the preparation and implementation of watershed plans that identify and assess key assets, problems, threats, and municipal land use development controls and practices, emphasizing water quality improvement, protection, and restoration.

Water Resources Management Guidance available at: <https://dos.ny.gov/water-resources-management>



Implementing an LWRP or LWRP Component

NEW YORK DEPARTMENT OF STATE

This brochure was prepared with funding provided by the New York State Department of State under Title 11 of the Environmental Protection Fund.

TOP 10 Paddling Destinations in Clifton-Fine

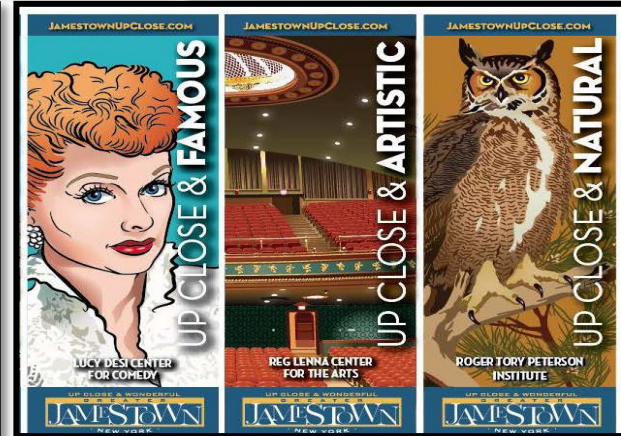
Special Thanks
Towns of Clifton & Fine and Clifton-Fine Smart Growth Committee.

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Please Practice
Leave No Trace
Center for Outdoor Ethics LNT.org

Brochure design by Vanessa N. Kierschick

ST. LAWRENCE COUNTY, NY



2024 Program Priorities - Preparing Resilient Communities

- Projects that preserve, restore, and/or enhance natural landscapes that protect water quality and catalyze waterfront revitalization and projects that lead to the preservation or restoration of natural landscapes such as: forests, floodplains and wetlands, dunes, estuaries, marshes, riparian zones, riverine systems, and shellfish restoration. Projects may include:
 - Natural and nature-based shoreline stabilization
 - Living shorelines
 - Vegetated buffers
 - Culvert rightsizing
 - Natural sediment transport restoration
 - Runoff reduction and/or reduction of watershed imperviousness and preservation of groundwater infiltration



2024 Program Priorities Continued

- stormwater projects that address water quality and green infrastructure such as raingardens, bioretention areas, stormwater green streets, and porous pavement
- project to make public facilities, including parks, trails, blueway trails, more resilient to potential severe weather and flooding impacts including water quality improvements
- mitigate barriers to diadromous species migration



Eligible Costs:

- Personal Services – including direct salaries, wages, fringe benefits for activities related to project work by municipal employees, including project management, capacity building, and grant administration.

- Non-Personal Services project related supplies, materials, and equipment, necessary travel, consultant/contractual services for direct project related costs, project management, capacity building, limited grant administration, and other goods and services required to complete the project.

Grant administration may not exceed 15% of the award amount or \$50,000, whichever is less.

Ineligible Costs:

- Indirect or overhead costs of the municipality
- Salaries and expenses of elected officials
- Fund raising events/expenses
- Federal funding (including but not limited to ARPA, CDBG, FHWA, FEMA, U.S. FWS BIG)
- Other EPF awards (including but not limited to WQIP, BOA, Smart Growth, OPRHP EPF)
- Land acquisition (except as noted in the RFA)
- Taxes, insurance, fines, deficit funding
- Loan or Bond interest and associated fees
- Contingency costs
- Lobbying expenses
- Cost incurred prior to contract start date or outside of the contract term
- Costs not adequately justified or that do not directly support the project

Local Match Requirements

- **State assistance 75%, local match 25%**
- **If the project is located in an environmental justice community or disadvantaged community, then State assistance 85%, local match 15%**

For the purposes of determining local match rates, refer to Potential Environmental Justice Area and Disadvantaged Communities (DAC) mapping available at: <https://dos.ny.gov/funding-bid-opportunities>.

Applicants must identify the amount, type and source of eligible local match in the grant application and demonstrate that it has been secured.

Determining State Funding Request

Multiply the total project cost times the % State funding (either 0.75 or 0.85)=
State Funds Requested

For example, if the total eligible project cost is \$200,000 with 75% state funding, the State Funds Requested would be \$150,000 and the Local Match would be \$50,000.

- $\$200,000 * 0.75 = \$150,000$ State Funds Requested
- $\$200,000 * 0.85 = \$170,000$ State Funds Requested for projects meeting Environmental Justice or Disadvantaged Community criteria described in RFA

Minimum State EPF LWRP funding request is \$50,000 per application

Maximum State EPF LWRP funding request is \$2 million per application

LWRP Evaluation Criteria

LWRP Priorities for 2024 (6 points)

Projects to prepare a planning or feasibility study and/or design to:

- preserve, restore, and/or enhance natural landscapes that protect water quality and catalyze waterfront revitalization
- Advance stormwater projects that address water quality and green infrastructure
- Make public facilities more resilient
- Mitigate barriers to diadromous species migration

Public Outreach & Engagement (4 points)

Local Capacity & Previous Performance (4 points)

Public Benefit (20 points combined)

- Regional Significance (4 points)
- Local Significance (4 points)
- Waterfront Public Access (4 points)
- Natural Resources (4 points)
- Economic Value (4 points)

Resiliency & Sustainability (6 points)

Implementation (16 points)

- Scope of Work
- Project Readiness & Time Frame

Disadvantaged and Underserved Communities (4 points)

Evaluation of Budget and Cost (20 points)

REDC Endorsement (20 points)



**Office of Planning
and Development**

Environmental Protection Fund Brownfield Opportunity Areas Program

Request for Applications and grant resources available at:
<https://dos.ny.gov/funding-bid-opportunities>

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Brownfield Opportunity Area Program (BOA)

- Provides funding and technical assistance for projects that establish or implement strategies for community redevelopment of areas affected by known or suspected brownfields.
- Transforms known and suspected brownfields from liabilities to community assets that spur community and economic redevelopment.



BOA Program Empowers Communities

- Address a range of problems posed by multiple known or suspected brownfield sites.
- Build community consensus on the future uses for the area, with an emphasis on strategic sites that are known or suspected brownfields.
- Establish sustainable goals and objectives for area-wide revitalization and for redevelopment of underutilized and potential brownfield properties.
- Strengthen and direct development towards existing neighborhoods and preserve open space.
- Make re-development decisions that are equitable, fair, and will create an environment that adapts to ecological disturbances.
- Identify and establish the multi-agency and private-sector partnerships necessary to leverage assistance and investments to revitalize downtowns, neighborhoods, and communities.
- Address environmental justice concerns and promote environmental equity in areas that may be burdened by negative environmental consequences; and
- Engage in activities to implement the community's vision after BOA Designation by NYS.

BOA Program: A Multi-Step Process for Revitalization

1

Pre-Planning

County-wide or large geographical inventory and pre-analysis to identify areas of potential brownfield and underutilized land concentrations

2

Planning

Community-driven strategic planning process to develop a vision and strategy for redevelopment of known or suspected brownfields
Potential for DOS technical and financial assistance

3

Designation

BOA Plan finalized and submitted to Secretary of State for BOA Designation.

4

Implementation

BCP tax credits
Pre-development grants
Priority for NYS grants
Private investment Opportunities
Phase II ESA grants

Eligible Applicants

Municipalities

- Towns/Villages
- Counties
- Local Public Authorities
- Supervisory District
- District Corporation
- Public Benefit Corps
- School Districts
- Special Improvement Districts
- Indian Nations/Tribes

Community-Based Organizations

- Not-For-Profit corporation {501(c)(3)}
- Mission to promote reuse of brownfield sites or community revitalization
- At least 25% of Board of Directors reside in the area
- Community has demonstrated financial need
- Brownfield Opportunity Area Program: Community Based Organization Affirmation (2024)

New York City Community Boards

Defined and described in Section 2800 of the New York City Charter.

Applicants are encouraged to work in partnership & jointly apply for funding

BOA Eligible Grant Activities

Pre-Planning: Brownfield Identification, Inventory + Preliminary Analysis

Complete a Brownfield affected site inventory and preliminary analysis to advance future BOA Planning

Planning

Develop or update a community-supported plan for revitalization culminating in designation by the Secretary of State

Predevelopment Activities

Targeted activities that help move projects from concept to completion in State-Designated Brownfield Opportunity Areas.

Phase II Environmental Site Assessments

Formal assessment of a property's environmental condition to guide future land use decisions under the BOA Program within a State-Designated BOA.

County-wide Pre-Planning: Brownfield identification and Preliminary Analysis

- Identification, inventory, and preliminary analysis of known or suspected brownfields and other underutilized sites.
- Potential outcome is to centrally categorize and identify clusters of brownfield affected areas for future planning.
- A holistic approach for long-term economic development and revitalization.



Planning: Development or Update of a BOA Plan

- Build community consensus around a vision for revitalization.
- Provide a roadmap to return dormant brownfield sites back to productive use.
- Create sustainable goals and objectives for area-wide revitalization and for redevelopment of strategic sites.
- Address environmental justice concerns and promote environmental equity.
- Culminates in a request for BOA Designation to the Secretary of State.



Guidance describing requirements for BOA Designation is available at:

https://www.dos.ny.gov/opd/programs/pdfs/BOA/BOA_Designation_Guidance

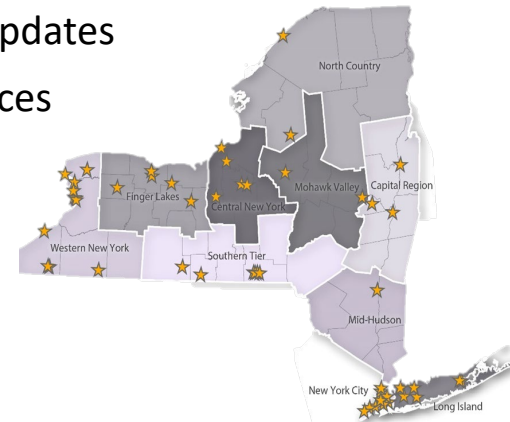
Predevelopment Activities*

Analyses, Plans and Studies:

- Development of design, engineering, and/or construction plans and specifications
- Environmental analyses and studies
- Housing and economic studies, analyses, and reports
- Building conditions studies
- Infrastructure analyses
- Renewable energy feasibility studies

Professional Services to Advance Redevelopment:

- Development and implementation of marketing strategies
- Zoning and regulatory updates
- Legal and financial services
- Real estate services
- Public outreach



* Projects *must* be located within a State-Designated BOA. A list of designated BOAs is available at: <https://dos.ny.gov/brownfield-redevelopment>

*Phase II Environmental Site Assessments (SA)**

- Site is one or more areas of environmental concern and may include more than one contiguous tax parcel.
- Site must have definitive and identifiable boundaries.
- Must meet the criteria and conditions stated in the *BOA Site Assessments: Guidance for Applicants*.
- A SA must advance the goals and priorities for revitalization and redevelopment of the BOA area.



* Projects must be located within a State-Designated BOA. A list of designated BOAs is available at: <https://dos.ny.gov/brownfield-redevelopment>

Phase II Environmental Site Assessment (SA) Supplement

- A SA Supplement is required to be completed in its entirety and submitted with the CFA application for each site for which Phase II Environmental Site Assessment funding is being requested.
- SA supplements will be evaluated on a pass/fail basis for eligibility as outlined in the RFA and in the SA guidance.
- The SA Supplement and guidance is available at <https://dos.ny.gov/funding-bid-opportunities>



Award Limitations

Maximum grant award is \$500,000 per application

Minimum grant award is \$100,000 per application.

State assistance awarded and paid to a grant recipient will not exceed 90% of the total eligible project cost.

Eligible Costs:

Personal Services: Direct salaries, wages, and fringe benefits of employees of the applicant for activities related to project work, including project management and grant administration.

Non-Personal Services – Consultant or contractual services for direct project related costs, project management, limited grant administration, project-related supplies and materials, light refreshments for required public outreach events, necessary travel, and other goods and services required to complete the project.

Ineligible Costs:

- Indirect or overhead costs
- Salaries and other expenses of elected officials
- Costs incurred outside of the contract term
- Costs that are not adequately justified or that do not directly support the project
- Other Environmental Protection Fund awards

BOA Evaluation Criteria

- Presence/Impact of Known or Suspected Brownfields OR Strategic Opportunities to Advance Community Vision (<12pts)
- Economic Distress (<6pts)
- Local Capacity & Partnerships (<6pts)
- Public & Stakeholder Support (<6pts)
- Economic Value (<8pts)
- Environmental Value (<6pts)
- Public Value (<6pts)
- Scope of Work (<10pts)
- Evaluation of Budget & Cost (<20pts)

The Department of State Office of Planning and Development awards up to 80 points.

REDC endorsement awards up to 20 points depending on the degree to which the proposed project advances the REDC Strategic Plan.



**Office of Planning
and Development**

Environmental Protection Fund Smart Growth Community Planning and Zoning Program

Request for Applications and grant resources available at:
<https://dos.ny.gov/funding-bid-opportunities>

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Smart Growth Program

The Smart Growth Program offers municipalities the opportunity to plan and zone for their community by addressing all aspects of Smart Growth.



What is Smart Growth?

An Approach

“*Smart Growth*” is an approach to community planning that integrates what are known as the “3 Es”—**Economy**, **Equity**, and **Environment**, with an emerging fourth E—**Energy**

Based on

Smart Growth Principles

Economy

- ✓ Mix of Land-Uses
- ✓ Range of Housing Opportunities and Choices
- ✓ Infill Development
- ✓ Density
- ✓ Walkable/Bikeable Neighborhood Design
- ✓ Well-Planned Public Spaces
- ✓ Placemaking

Environment

- ✓ Resiliency
- ✓ Climate Change
- ✓ Protection of Natural Resources
- ✓ Green Infrastructure

Equity

- ✓ Collaborative Decision Making
- ✓ Social Diversity and Integration
- ✓ Variety of Mobility Choices

Energy

- ✓ Clean Energy Generation/Building Decarbonization

Eligible Applicants

- Villages, Towns, Cities or Counties
- Counties, Regional Planning entities or Not-for-Profits
 - with the consent and acting on behalf of one or more municipalities



Eligible Project Types



New or updated
Comprehensive Plans for a
town, village, or city



New or updated
Comprehensive Plans for an
entire county



New or updated Zoning
Regulations for a town,
village, or city



A zoning amendment which
implements an adopted Area
Plan or Comprehensive Plan



New or updated Area Plans
for part of a town, village, or
city

Overview of Planning Activities

The **Comprehensive Plan** is a high-level guiding document that sets a vision for a **community**. **Area Plans** supplement the comp plan by providing direction for development in specific areas. **Together**, they inform the development of **zoning ordinances**, but do not establish zoning.

Key Partners in Planning

- ✓ Local Government
- ✓ Boards and Committees
- ✓ Community members
- ✓ Neighboring municipalities
- ✓ State agencies
- ✓ Federal Agencies

Comprehensive Plan

- ✓ Developed by community, with input from the public
- ✓ Sets a vision for a community's future
- ✓ Approved by Local Gov't
- ✓ Guides zoning regulations, local decision making, and community development

Area Plan

- ✓ Developed by community, with input from the public
- ✓ Provides supplemental guidance for the comp plan in a specific area
- ✓ Reviewed and approved by Local Gov't

Zoning Ordinance

- ✓ Developed by community, with input from the public
- ✓ Organizes and regulates how land is used
- ✓ Approved by Local Gov't
- ✓ Zoning ordinances **MUST** be consistent with an adopted comprehensive plan

Comprehensive Planning



Benefits of Comprehensive Planning

- Proactive approach to planning
- Based on a shared vision
- Attracts resources



Area Planning

In-depth analysis of a specific area

- ↳ Community creates vision for the area's future
- ↳ Current conditions are analyzed
- ↳ Framework for future growth and investment is created



Zoning Ordinances

- Regulates land use
- Promotes predictable development
- Protects public health and safety
- Creates a strong foundation for future growth



Eligible Activities

- Preparing the Plan and/or zoning ordinance
- Holding and facilitating community forums and public outreach
- Coordinating with other governmental entities
- SEQR and environmental review and
- Project management



Award Limitations

- There is a **minimum** State assistance request of \$75,000
- There is no maximum award value
 - Required to submit a clear and justified budget
- Award may not exceed 90% of the total eligible cost of the project.
- Applicant must provide the remaining 10%.

Eligible costs:

- Personal Services – including direct salaries, wages, and fringe benefits of grantee employees for activities related to project work (project management and grant administration).
- Non-Personal Services –including consultant/contractual services for direct project related costs, project management, and limited grant administration; project related supplies and materials; necessary travel; and other goods and services required to complete the project.

Ineligible Costs:

- Indirect or overhead costs
- Salaries and other expenses of elected officials
- Costs incurred outside of the contract term
- Costs that are not adequately justified or that do not directly support the project

Evaluation Criteria

Demonstration of Need and Indicators of Economic Distress (up to 10 points)



Demonstration of Smart Growth Commitment (up to 6 points)



Public Engagement and Commitment (up to 14 points)



Integration of Smart Growth Principles (up to 36 points)



Scope of Work (up to 18 points)



Time Frame/Readiness (up to 6 points)



Local Capacity (up to 6 points)



Evaluation of Budget and Cost (up to 24 points)

Questions?

Questions and Answers

Questions must be submitted in writing to by **June 17th** with subject line “24-Program-XX RFA Questions”

Program	Reference RFA #	Email
Local Waterfront Revitalization Program	24-LWRP-03	lwrp@dos.ny.gov
Brownfield Opportunity Area	24-BOA-04	opd@dos.ny.gov
Community Planning and Zoning	24-OPDSG-05	opd@dos.ny.gov

Responses will be posted on July 9th at <https://dos.ny.gov/funding-bid-opportunities>

Regional Workshops

Workshop Date	Region	Registration
Wednesday, May 22, 2024	North Country	Register
Tuesday, May 28, 2024	Mohawk Valley	Register
Wednesday, May 29, 2024	New York City	Register
Thursday, May 30, 2024	Capital Region	To be announced.
Thursday, June 6, 2024	Finger Lakes	Register
Monday, June 10, 2024	Long Island	Register
Tuesday, June 11, 2024	Mid-Hudson	Register
Wednesday, June 12, 2024	Southern Tier	Register
Thursday, June 13, 2024	Western NY	Register
Thursday, June 20, 2024	Central NY	Register

For schedule and registration visit: <https://regionalcouncils.ny.gov/2024-regional-workshops>

Application Deadline
July 31 at 4pm

Thank you!