July 31, 2024
Vol. XLVI
Issue 31
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DEPARTMENT OF STATE
Division of Administrative Rules

NEW YORK STATE REGISTER

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The last date for submission of public comments is indicated on each Notice of Proposed Rule Making. Unless a different date is specified by statute, the proposing agency must accept comments for at least: 60 days after the date of *Register* publication of a Notice of Proposed Rule Making or combined Notice of Emergency Adoption and Proposed Rule Making; and 45 days after publication of a Notice of Revised Rule Making or combined Notice of Emergency Adoption and Revised Rule Making. When a public hearing on a proposed rule is statutorily required: the hearing may not be held until at least 60 days after the publication date of the notice; and comments must be accepted for at least 5 days after the last required hearing. When a public comment period for a proposed rule is scheduled to end on a Saturday, Sunday or public holiday, comments are accepted through the next succeeding business day.

For notices published in this issue:
– the 60-day period expires on September 29, 2024
– the 45-day period expires on September 14, 2024
– the 30-day period expires on August 30, 2024

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NEW YORK STATE DEPARTMENT OF STATE

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*The **New York State Register** (ISSN 0197 2472) is published weekly. Subscriptions are $80 per year for first class mailing and $40 per year for periodical mailing. The **New York State Register** is published by the New York State Department of State, One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231-0001. Periodical postage is paid at Albany, New York and at additional mailing offices.*

POSTMASTER: Send address changes to NY STATE REGISTER, the Department of State, Division of Administrative Rules, One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231-0001

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NEW YORK STATE
REGISTER

Be a part of the rule making process!

Public comment on proposed rules is encouraged and may be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address is printed in the rule making notice. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (dos.ny.gov/state-register) may send public comment via electronic mail to e-mail addresses that may be provided in Notices of Proposed Rule Making. This includes Proposed, Emergency/Proposed, Revised Proposed and Emergency/Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The State Administrative Procedure Act provides for a minimum 60-day public comment period after publication in the *Register* for Notices of Proposed Rule Making, and a 45-day public comment period for Notices of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date of the public comment period.

When a public comment period would end on a Saturday or Sunday, the agency accepts public comment through the following Monday; when the comment period ends on a public holiday, public comment will be accepted through the next succeeding business day. Agencies cannot adopt a proposed rule until the day after the conclusion of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Public comment may be sent via electronic mail to e-mail addresses that may appear in Notices of Proposed Rule Making. This includes Proposed, Emergency/Proposed, Revised Proposed and Emergency/Revised Proposed rule makings.

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AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Agriculture and Markets

NOTICE OF ADOPTION

Repeal of TB Testing Prior to Intrastate Movement of Deer Regulation, and Removal of Cross References to Said Regulation

I.D. No. AAM-16-24-00002-A
Filing No. 606
Filing Date: 2024-07-16
Effective Date: 2024-07-31

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Repeal of section 60.2; amendment of sections 60.6(a) and 60.9 of Title 1 NYCRR.

Statutory authority: Agriculture and Markets Law, sections 18 and 72

Subject: Repeal of TB testing prior to intrastate movement of deer regulation, and removal of cross references to said regulation.

Purpose: To remove a TB testing requirement in captive cervids moving within NY State.

Text or summary was published in the April 17, 2024 issue of the Register, I.D. No. AAM-16-24-00002-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Dr. Joy Bennett, DVM, Director, Animal Industry, Department of Agriculture and Markets, 10B Airline Drive, Albany, NY 12235, (518) 457-3502, email: joy.bennett@agriculture.ny.gov.

Assessment of Public Comment
The agency received no public comment.

Education Department

EMERGENCY RULE MAKING

Supervisor Requirements for Wholesalers Transfilling Medical Oxygen

I.D. No. EDU-18-24-00020-E
Filing No. 605
Filing Date: 2024-07-15
Effective Date: 2024-07-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 63.6 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 6504, 6507, 6801 and 6808; L. 2023, ch. 590

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: Chapter 590 of the Laws of 2023 (Chapter 590), effective April 22, 2024, amends the Education Law by establishing the specific supervisor requirements for individuals who supervise the transfilling of medical oxygen. Chapter 590 requires that any person responsible for supervising the transfilling of medical oxygen must be either: (1) a respiratory therapist certified by a national accrediting body; (2) a person holding a bachelor's degree in chemistry, microbiology, chemical engineering or a related field; (3) a person having two years of education beyond high school and two years of experience in the handling of compressed medical gases satisfactory to the State Board of Pharmacy; or (4) a person having training in accordance with the requirements established in section 211.25 of Title 21 of the Code of Federal Regulations (CFR). It is anticipated that these supervisory requirements will facilitate the identifying, hiring, and retaining of qualified supervisors for the transfilling of medical oxygen, which has become increasingly difficult for wholesalers who transfill medical oxygen. The proposed amendment of section 63.6 of the Commissioner's regulation implements Chapter 590 by requiring that any person responsible for supervising the transfilling of medical oxygen meet one of the criteria described above.

The proposed amendment was presented to the Professional Practice Committee for recommendation to the Full Board for emergency adoption at the April 2024 meeting of the Board of Regents, effective April 22, 2024. Since the Board of Regents meets at fixed intervals, the earliest the proposed rule could be adopted by regular (nonemergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5), would be the September 2024 Regents meeting. Furthermore, pursuant to SAPA section 203(1), the earliest effective date for the proposed rule, if adopted at the September meeting, would be September 25, 2024, the date the Notice of Adoption would be published in the State Register.

However, the emergency rule will expire on July 14, 2024. Therefore, a second emergency action is necessary at the June 2024 meeting, effective July 15, 2024, for the public health and preservation of the general welfare in order to conform the Commissioner's regulations to implement the requirements of Chapter 590, which became effective April 22, 2024, and to ensure that the emergency action at the April 2024 meeting remains continuously in effect until it can be permanently adopted.

It is anticipated that the proposed rule will be presented to the Board of

Regents for adoption as a permanent rule at the September 2024 Regents meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for state agency rule making. Because the emergency action will expire before the rule can take effect as a permanent rule after adoption at the September 2024 Regents meeting, an additional emergency action will also be presented at the September 2024 meeting.

Subject: Supervisor requirements for wholesalers transfilling medical oxygen.

Purpose: To implement Chapter 590 of the Laws of 2023.

Text of emergency rule: 1. Subdivision (c) of section 63.6 of the Regulations of the Commissioner of Education is amended to read as follows:

(c) Manufacturers, outsourcing facilities and wholesalers.

(1) …

(2) …

(3) Supervisor requirements for wholesalers transfilling medical oxygen. Wholesalers that transfill medical oxygen shall ensure any person responsible for supervising the transfilling of medical oxygen is either:

(i) a respiratory therapist certified by a national accrediting body;

(ii) a person holding a bachelor's degree in chemistry, microbiology, chemical engineering or a related field;

(iii) a person having two years of education beyond high school and two years of experience in the handling of compressed medical gases satisfactory to the state board of pharmacy; or

(iv) a person having training in accordance with the requirements established in section 211.25 of title 21 of the code of federal regulations.

(4) The supervisor of an establishment designated pursuant to paragraphs (1), [and] (2)*, and (3)* of this subdivision shall not be at the same time the supervisor of any other establishment registered by the board.

[(4)] *(5)* The size and facilities of a registered establishment shall be appropriate for the activities to be conducted therein. The area to be registered shall measure no less than 300 square feet. The registered area shall not be shared with or be devoted in part to any other business. The registered establishment shall be in compliance with at least the minimum requirements as provided in section 205.50 of title 21 of the Code of Federal Regulations (Code of Federal Regulations, 1991 edition, Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402: 1991--available at New York State Board of Pharmacy, [Room 3035, Cultural Education Center] *Office of the Professions, 89 Washington Ave., 2nd Floor,* Albany, NY [12230] *12234).*

[(5)] *(6)* Manufacturers, outsourcing facilities or wholesalers shall sell drugs and/or devices only to those purchasers authorized by law. Records of the receipt and disposition of all drugs and/or devices shall be maintained for a period of five years and shall be available to the department for review and copying upon request.

[(8)] *(7)* Certification of manufacturers and wholesalers for export purposes. Any registered manufacturer or wholesaler may be issued a certificate by the executive secretary of the State Board of Pharmacy or a designee of the Commissioner of Education, authenticating said registration and identifying the specified drugs and/or devices as articles regularly offered for sale in New York. The fee for each certificate shall be $5.

[(7)] *(8)* Additional requirements for outsourcing facilities.

(i) …

(ii) ….

(iii) ….

(iv) ….

(v) …

(vi) …

(vii) …

(viii) …

(ix) …

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. EDU-18-24-00020-EP, Issue of May 1, 2024. The emergency rule will expire September 12, 2024

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Section 207 of the Education Law grants general rule-making authority to the Board of Regents to carry into effect the laws and policies of the State relating to education.

Section 6504 of the Education Law authorizes the Board of Regents to supervise the admission to and regulation of the practice of the professions.

Paragraph (a) of subdivision (2) of section 6507 of the Education Law authorizes the Commissioner of Education to promulgate regulations in administering the admission to and the practice of the professions.

Section 6801 of the Education Law defines the practice of the profession of pharmacy.

Subdivision (9) of section 6808 of the Education Law, as added by Chapter 590 of the Laws of 2023, establishes the specific requirements for individuals who supervise the transfilling of medical oxygen, which requires that any person responsible for supervising the transfilling of medical oxygen must be either: (1) a respiratory therapist certified by a national accrediting body; (2) a person holding a bachelor's degree in chemistry, microbiology, chemical engineering or a related field; (3) a person having two years of education beyond high school and two years of experience in the handling of compressed medical gases satisfactory to the State Board of Pharmacy; or (4) a person having training in accordance with the requirements established in section 211.25 of Title 21 of the Code of Federal Regulations.

2. LEGISLATIVE OBJECTIVES:

The proposed rule is consistent with the above statutory authority and is necessary to implement Chapter 590 of the Laws of 2023 (Chapter 590), effective April 22, 2024. Chapter 590 amends section 6808 of the Education Law, by establishing the specific requirements for individuals who supervise the transfilling of medical oxygen. Chapter 590 requires that any person responsible for supervising the transfilling of medical oxygen must be either: (1) a respiratory therapist certified by a national accrediting body; (2) a person holding a bachelor's degree in chemistry, microbiology, chemical engineering or a related field; (3) a person having two years of education beyond high school and two years of experience in the handling of compressed medical gases satisfactory to the State Board of Pharmacy; or (4) a person having training in accordance with the requirements established in section 211.25 of Title 21 of the Code of Federal Regulations (CFR).

Wholesalers that transfill medical oxygen are finding it increasingly difficult to attract and retain individuals qualified to supervise the process of transfilling medical oxygen.[1] It is anticipated that these supervisory requirements will facilitate the identifying, hiring, and retaining of qualified supervisors for the transfilling of medical oxygen.

The proposed amendment of section 63.6 of the Commissioner's regulation implements Chapter 590 by requiring that any person responsible for supervising the transfilling of medical oxygen meet one of the criteria described above.[2]

3. NEEDS AND BENEFITS:

The purpose of the proposed rule is to implement Chapter 590 which amends section 6808 of the Education Law, by establishing the specific requirements for individuals who supervise the transfilling of medical oxygen. Chapter 590 requires that any person responsible for supervising the transfilling of medical oxygen must be either: (1) a respiratory therapist certified by a national accrediting body; (2) a person holding a bachelor's degree in chemistry, microbiology, chemical engineering or a related field; (3) a person having two years of education beyond high school and two years of experience in the handling of compressed medical gases satisfactory to the State Board of Pharmacy; or (4) a person having training in accordance with the requirements established in section 211.25 of Title 21 of the CFR.

Wholesalers that transfill medical oxygen are finding it increasingly difficult to attract and retain individuals qualified to supervise the process of transfilling medical oxygen.[3] It is anticipated that these supervisory requirements will facilitate the identifying, hiring, and retaining of qualified supervisors for the transfilling of medical oxygen.

4. COSTS:

(a) Costs to State government: There are no additional costs to state government.

(b) Costs to local government: There are no additional costs to local government.

(c) Cost to private regulated parties: There are no mandatory costs to private regulated parties.

(d) Cost to the regulatory agency: There are no additional costs to the Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed rule does not impose any program service, duty, responsibility, or other mandate on local governments.

6. PAPERWORK:

The proposed rule does not impose any reporting and recordkeeping requirements.

7. DUPLICATION:

There are no other state or federal requirements on the subject matter of the proposed rule. Therefore, the amendment does not duplicate other existing state or federal requirements.

8. ALTERNATIVES:

The proposed rule is necessary to implement Chapter 590, which establishes the specific requirements for individuals who supervise the

transfilling of medical oxygen in order to address the increasing difficulty that wholesalers who transfill medical oxygen are finding in attracting and retaining individuals qualified to supervise the process of transfilling medical oxygen. It is anticipated that these supervisory requirements will facilitate the identifying, hiring, and retaining of qualified supervisors for the transfilling of medical oxygen. There were no significant alternatives considered.

9. FEDERAL STANDARDS:

Since there are no applicable federal standards, the proposed rule does not exceed any minimum federal standards for the same or similar subject areas.

10. COMPLIANCE SCHEDULE:

The proposed rule will take effect on an emergency basis on April 22, 2024, the effective date of Chapter 590. It is anticipated that the proposed amendment will be presented to the Board of Regents for permanent adoption at the September 2024 Regents meeting, after publication in the State Register and the expiration of the 60-day public comment period required under the State Administrative Procedures Act. If adopted at the September meeting, the proposed rule will become effective as a permanent rule on September 25, 2024. The proposed amendment does not impose any compliance schedules on regulated parties beyond those imposed by statute.

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[1] Generally, transfilling means the process of transferring a gas, either in compressed or liquid form from one cylinder or container to another cylinder or container.

[2] The proposed rule also makes a technical amendment to update the location where a copy of the Code of Federal Regulations referenced in section 63.6 can be obtained.

[3] Generally, transfilling means the process of transferring a gas, either in compressed or liquid form from one cylinder or container to another cylinder or container.

Regulatory Flexibility Analysis

The purpose of the proposed rule is to implement Chapter 590 of the Laws od 2023 (Chapter 590), which establishes the specific requirements for individuals who supervise the transfilling of medical oxygen. Chapter 590 requires that any person responsible for supervising the transfilling of medical oxygen must be either: (1) a respiratory therapist certified by a national accrediting body; (2) a person holding a bachelor's degree in chemistry, microbiology, chemical engineering or a related field; (3) a person having two years of education beyond high school and two years of experience in the handling of compressed medical gases satisfactory to the State Board of Pharmacy; or (4) a person having training in accordance with the requirements established in section 211.25 of Title 21 of the CFR. The proposed rule implements Chapter 590 by requiring that any person responsible for supervising the transfilling of medical oxygen meet one of the criteria described above. It is anticipated that these supervisory requirements will facilitate the identifying, hiring, and retaining of qualified supervisors for the transfilling of medical oxygen.

The proposed rule will not impose any reporting, recordkeeping or other compliance requirements or costs or have any adverse economic impact on small businesses or local governments. Because it is evident from the proposed rule that it will not adversely affect small businesses or local governments, no affirmative steps were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required pursuant to section 202-b(3) of the State Administrative Procedure Act, and one has not been prepared.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule establishes supervisor requirements for the transfilling of medical oxygen and will apply to all New York State wholesalers who transfill medical oxygen including those who are located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less. Of the approximately 317 who are registered to practice in New York State, approximately 36 reported that their permanent address of record is in a rural county of New York State. It is unknown as to how many of these wholesalers transfill medical oxygen.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed rule implements Chapter 590 of the Laws of 2023 (Chapter 590), which establishes the specific requirements for individuals who supervise the transfilling of medical oxygen. Chapter 590 requires that any person responsible for supervising the transfilling of medical oxygen must be either: (1) a respiratory therapist certified by a national accrediting body; (2) a person holding a bachelor's degree in chemistry, microbiology, chemical engineering or a related field; (3) a person having two years of education beyond high school and two years of experience in the handling of compressed medical gases satisfactory to the State Board of Pharmacy; or (4) a person having training in accordance with the requirements established in section 211.25 of Title 21 of the Code of Federal Regulations (CFR).

The proposed rule implements Chapter 590 by requiring that any person responsible for supervising the transfilling of medical oxygen meet one of the criteria described above. It is anticipated that these supervisory requirements will facilitate the identifying, hiring, and retaining of qualified supervisors for the transfilling of medical oxygen.

he proposed amendment does not impose any reporting, recordkeeping, or other compliance requirements on New York State wholesalers who transfill medical oxygen, beyond those imposed by statute. Additionally, no professional services will be required as a result of the proposed rule.

3. COSTS:

The proposed rule will not impose any costs on any wholesalers who transfill medical oxygen, or other party.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to implement Chapter 590, which establishes the specific requirements for individuals who supervise the transfilling of medical oxygen. Additionally, the Department does not anticipate that the proposed rule will have any adverse impact on regulated parties/entities located in rural areas. Thus, the Department has determined that the proposed amendment's requirements should apply to all New York State wholesalers who transfill medical oxygen and individuals who supervise the transfilling of their medical oxygen. Therefore, alternative approaches for rural areas were not considered.

5. RURAL AREA PARTICIPATION:

Comments on the proposed rule were solicited from statewide organizations representing all parties having an interest in the practice of wholesalers, including the State Board for Pharmacy, and professional associations representing the pharmacy profession. These groups have members who live or work in rural areas.

Job Impact Statement

The purpose of the proposed rule is to implement Chapter 590 of the Laws of 2023, establishes the specific requirements for individuals who supervise the transfilling of medical oxygen. Chapter 590 requires that any person responsible for supervising the transfilling of medical oxygen must be either: (1) a respiratory therapist certified by a national accrediting body; (2) a person holding a bachelor's degree in chemistry, microbiology, chemical engineering or a related field; (3) a person having two years of education beyond high school and two years of experience in the handling of compressed medical gases satisfactory to the State Board of Pharmacy; or (4) a person having training in accordance with the requirements established in section 211.25 of Title 21 of the Code of Federal Regulations. The proposed rule implements Chapter 590 by requiring that any person responsible for supervising the transfilling of medical oxygen meet one of the criteria described above. It is anticipated that these supervisory requirements will facilitate the identifying, hiring, and retaining of qualified supervisors for the transfilling of medical oxygen.

The proposed rule will not have a substantial impact on jobs and employment opportunities. Because it is evident from the nature of the proposed rule, which implements specific statutory requirements and directives, that the proposed rule will have no impact on jobs or employment opportunities attributable to its adoption or only a positive impact, no further steps were needed to ascertain that fact, and none were taken. Accordingly, a job impact statement is not required pursuant to section 210-a(2)(a) of the State Administrative Procedure Act, and one was not prepared.

Assessment of Public Comment

The agency received no public comment.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Completion of the Free Application for Federal Student Aid (FAFSA) by Seniors Enrolled in School Districts

I.D. No. EDU-31-24-00004-EP

Filing No. 613

Filing Date: 2024-07-16

Effective Date: 2024-08-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 100.2 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305; L. 2024, ch. 56, Part C, section 1

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The Enacted State Budget for the 2024-25 fiscal year included a provision (Part C of Chapter 56 of the Laws of 2024), effective August 15, 2024, which, among other things, requires that each school district shall ensure verification from the parent or guardian of a student who is a senior (or from the student where the student is 18 years of age or older or legally emancipated) that the student either: (1) complete the Free Application for Federal Student Aid (FAFSA) or, if applicable, the Jose Peralta New York State Development, Relief, and Education for Alien Minors (DREAM) Act application; or (2) complete a waiver on a form promulgated by the Department indicating that the parent or guardian (or student, as applicable), understands the nature of the FAFSA or, if applicable, the DREAM Act application and has chosen not to file such application. The Department proposes to add a new subdivision (gg) to section 100.2 of the Commissioner's regulations to implement this new legislation.

Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the November 2024 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the November meeting, would be November 20, 2024, the date the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the July meeting, effective August 15, 2024, for the preservation of the general welfare to conform the Commissioner's regulations to Part C of Chapter 56 of the Laws of 2024, which takes effect August 15, 2024.

It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at the November 2024 Regents meeting, after publication of the proposed amendment in the State Register and the expiration of the 60-day public comment period required under the State Administrative Procedure Act. Because the emergency action will expire before the November 2024 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the September 2024 meeting.

Subject: Completion of the free application for Federal Student Aid (FAFSA) by seniors enrolled in school districts.

Purpose: To implement section 1 of Part C of ch. 56 of the Laws of 2024.

Text of emergency/proposed rule: Section 100.2 of the Regulations of the Commissioner of Education is amended by adding a new subdivision (qq) to read as follows:

Section 100.2 of the Regulations of the Commissioner of Education is amended by adding a new subdivision (qq) to read as follows:

(qq) FAFSA completion.

(1) Each school district shall:

(a) Ensure verification of one of the following from the parent or guardian of each student, or from the student if the student is 18 years of age or older or legally emancipated, during the school year in which the student is a senior enrolled in such school district:

(i) completion of either the Free Application for Federal Student Aid (FAFSA) for such student or, if applicable, the Jose Peralta New York State Development, Relief, and Education for Alien Minors (DREAM) Act application. Such verification of completion shall not require a parent, guardian, or student to identify which type of application was completed; or

(ii) completion of a waiver on a form promulgated by the Department indicating that the parent or guardian, or the student if the student is 18 years of age or older or legally emancipated, understands the nature of the FAFSA or, if applicable, the DREAM Act application and has chosen not to file an application pursuant to subparagraph (a) of this paragraph.

(b) Notify each high school senior enrolled in such school district, no less than two times during each school year, of the state-sponsored scholarships, financial aid, and assistance available to students attending college or post-secondary education.

(c) Provide referrals for support or assistance to complete the FAFSA or, if applicable, the DREAM Act application.

(2) On and after July 1, 2025, each school district shall annually report to the Department the following data for all seniors enrolled in such school district, aggregated by high school:

(i) the total number of students that have completed either the FAFSA or, if applicable, the DREAM Act application;

(ii) the number of students who completed a waiver pursuant to clause (ii) of subparagraph (a) of paragraph (1) of this subdivision; and

(iii) the total number of seniors enrolled.

(3) A student shall not be penalized or punished nor shall a student's ability to graduate be affected if the student's parent or guardian, or student if the student is 18 years of age or older or legally emancipated, does not fulfill the verification requirements prescribed in subparagraph (a) of paragraph (1) of this subdivision.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire October 13, 2024.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Angelique Johnson-Dingle, Deputy Commissioner, NYS Education Department, Office of P-12 Education, 55 Hanson Place, Brooklyn, NY 11217, (718) 722-2797, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

2. LEGISLATIVE OBJECTIVES:

Consistent with the above statutory authority, the proposed rule is necessary to implement section 1 of Part C of Chapter 56 of the Laws of 2024, which becomes effective August 15, 2024.

3. NEEDS AND BENEFITS:

The proposed regulation ensures that each school district ensure verification from the parent or guardian of a student who is a senior (or from the student where the student is 18 years of age or older or legally emancipated) that the student either: (1) complete the Free Application for Federal Student Aid (FAFSA) or, if applicable, the Jose Peralta New York State Development, Relief, and Education for Alien Minors (DREAM) Act application; or (2) complete a waiver on a form promulgated by the Department indicating that the parent or guardian (or student, as applicable), understands the nature of the FAFSA or, if applicable, the DREAM Act application and has chosen not to file such application. School districts must also notify each high school senior enrolled in such school district, no less than two times during each school year, of all state-sponsored scholarships, financial aid, and assistance available to students attending college or post-secondary education. Additionally, school districts must provide referrals for support or assistance to complete the FAFSA or, if applicable, the DREAM Act application.

The amendment also requires each school district to annually report to the Department certain data for all seniors enrolled in such district, including: (1) the total number of students who completed the FAFSA or, if applicable, the DREAM Act application; (2) the number of students who completed a waiver; and (3) the total number of seniors enrolled.

As a result, amendment to section 100.2 the Regulations of the Commissioner of Education are necessary to ensure conformity to Part C of Chapter 56 of the Laws of 2024.

4. COSTS:

(a) Costs to State government: The proposed rule does not impose any costs on State government.

(b) Costs to local government: The proposed rule does not impose any costs on local government.

(c) Cost to private regulated parties: The proposed rule does not impose any costs on private regulated parties.

(d) Cost to the regulatory agency: The proposed rule does not impose any costs on the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed rule does not impose any program service, duty, responsibility, or other mandate on local governments beyond those imposed by statute.

6. PAPERWORK:

The proposed rule imposes no new reporting or other paperwork requirements beyond those imposed by statute.

7. DUPLICATION:

The proposed rule does not duplicate any other existing State or federal requirements.

8. ALTERNATIVES:

The proposed rule is necessary to ensure conformity to section 1 of Part

C of Chapter 56 of the Laws of 2024. There are no significant alternatives to the proposed rule and none were considered.

9. FEDERAL STANDARDS:

There are no related federal standards. Since there are no applicable federal standards, the proposed rule does not exceed any minimum federal standards for the same or similar subject areas.

10. COMPLIANCE SCHEDULE:

If adopted as an emergency rule at the July 2024 Regents meeting, the emergency rule will become effective August 15, 2024. It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at the November 2024 Regents meeting, after publication of the proposed amendment in the State Register and the expiration of the 60-day public comment period required under the State Administrative Procedure Act. Because the emergency action will expire before the November 2024 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the September 2024 meeting. If adopted at the November 2024 meeting, the proposed amendment will become effective as a permanent rule on November 20, 2024.

Regulatory Flexibility Analysis

(a) Small Businesses:

The proposed rule requires each school district to ensure verification from the parent or guardian of a student who is a senior (or from the student where the student is 18 years of age or older or legally emancipated) that the student either: (1) complete the Free Application for Federal Student Aid (FAFSA) or, if applicable, the Jose Peralta New York State Development, Relief, and Education for Alien Minors (DREAM) Act application; or (2) complete a waiver on a form promulgated by the Department indicating that the parent or guardian (or student, as applicable), understands the nature of the FAFSA or, if applicable, the DREAM Act application and has chosen not to file such application. School districts must also notify each high school senior enrolled in such school district, no less than two times during each school year, of all state-sponsored scholarships, financial aid, and assistance available to students attending college or post-secondary education. Additionally, school districts must provide referrals for support or assistance to complete the FAFSA or, if applicable, the DREAM Act application.

The amendment also requires each school district to annually report to the Department certain data for all seniors enrolled in such district, including: (1) the total number of students who completed the FAFSA or, if applicable, the DREAM Act application; (2) the number of students who completed a waiver; and (3) the total number of seniors enrolled.

Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

(b) Local Governments:

1. EFFECT OF RULE:

The proposed rule applies to each of the 731 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

The proposed amendment updates the Commissioner's regulations to conform to section 1 of Part C of Chapter 56 of the Laws of 2024 in the Enacted State Budget for the 2024-2025 fiscal year. Chapter 56 requires that each school district ensure verification from the parent or guardian of a student who is a senior (or from the student where the student is 18 years of age or older or legally emancipated) that the student either: (1) complete the Free Application for Federal Student Aid (FAFSA) or, if applicable, the Jose Peralta New York State Development, Relief, and Education for Alien Minors (DREAM) Act application; or (2) complete a waiver on a form promulgated by the Department indicating that the parent or guardian (or student, as applicable), understands the nature of the FAFSA or, if applicable, the DREAM Act application and has chosen not to file such application. School districts must also notify each high school senior enrolled in such school district, no less than two times during each school year, of all state-sponsored scholarships, financial aid, and assistance available to students attending college or post-secondary education. Additionally, school districts must provide referrals for support or assistance to complete the FAFSA or, if applicable, the DREAM Act application.

Chapter 56 also requires each school district to annually report to the Department certain data for all seniors enrolled in such district, including: (1) the total number of students who completed the FAFSA or, if applicable, the DREAM Act application; (2) the number of students who completed a waiver; and (3) the total number of seniors enrolled.

The proposed rule conforms the Commissioner's regulations to the above requirements and does not impose any new compliance requirements on local governments, beyond those imposed by statute.

3. PROFESSIONAL SERVICES:

The proposed rule does not impose any additional professional service requirements on local governments.

4. COMPLIANCE COSTS:

The proposed rule does not impose any additional costs beyond those imposed by statute.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional technological requirements on local governments. Economic feasibility is addressed under the Compliance Costs section above.

6. MINIMIZING ADVERSE IMPACT:

The proposed merely implements the requirements of Chapter 56. Since it is evident that the proposed rule will not have any adverse economic impact on local governments, differing approaches as prescribed in SAPA 202-b(1) were not considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Copies of the proposed rule has been shared with school districts through the offices of the district superintendents of each supervisory district in the State and with the chief school officers of the five big city school districts for review and comment.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule will apply to each of the 731 public school districts in the State, including those located in the 44 counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square miles or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendment updates the Commissioner's regulations to conform to section 1 of Part C of Chapter 56 of the Laws of 2024 in the Enacted State Budget for the 2024-2025 fiscal year. Chapter 56 requires that each school district ensure verification from the parent or guardian of a student who is a senior (or from the student where the student is 18 years of age or older or legally emancipated) that the student either: (1) complete the Free Application for Federal Student Aid (FAFSA) or, if applicable, the Jose Peralta New York State Development, Relief, and Education for Alien Minors (DREAM) Act application; or (2) complete a waiver on a form promulgated by the Department indicating that the parent or guardian (or student, as applicable), understands the nature of the FAFSA or, if applicable, the DREAM Act application and has chosen not to file such application. School districts must also notify each high school senior enrolled in such school district, no less than two times during each school year, of all state-sponsored scholarships, financial aid, and assistance available to students attending college or post-secondary education. Additionally, school districts must provide referrals for support or assistance to complete the FAFSA or, if applicable, the DREAM Act application.

Chapter 56 also requires each school district to annually report to the Department certain data for all seniors enrolled in such district, including: (1) the total number of students who completed the FAFSA or, if applicable, the DREAM Act application; (2) the number of students who completed a waiver; and (3) the total number of seniors enrolled.

The proposed rule conforms the Commissioner's regulations to the above requirements and does not impose any new reporting, recordkeeping or other compliance requirements on regulated parties located in rural areas, nor require any professional services to implement.

3. COSTS:

The proposed rule does not impose any costs on regulated parties beyond those imposed by statute, including those located in rural areas.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to implement the requirements of Chapter 56 described above. The statutory requirements do not make exceptions for individuals who live or work in rural areas, nor does the Department anticipate that the proposed rule will have any adverse impact on regulated parties located in rural areas. Since it is evident that the proposed rule will not have any adverse economic impact on local governments, differing approaches as prescribed in SAPA 202-b(1) were not considered. Therefore, alternative approaches for regulated parties located in rural areas were not considered.

5. RURAL AREAS OF PARTICIPATION:

Copies of the proposed amendment have been provided to school districts through the offices of the district superintendents of each supervisory district in the State and to the chief school officers of the five big city school districts, as well as those located in rural areas.

Job Impact Statement

The proposed amendment updates the Commissioner's regulations to conform to section 1 of Part C of Chapter 56 of the Laws of 2024 in the Enacted State Budget for the 2024-2025 fiscal year. Chapter 56 requires that each school district ensure verification from the parent or guardian of a student who is a senior (or from the student where the student is 18 years of age or older or legally emancipated) that the student either: (1) complete the Free Application for Federal Student Aid (FAFSA) or, if applicable, the Jose Peralta New York State Development, Relief, and Education for

Alien Minors (DREAM) Act application; or (2) complete a waiver on a form promulgated by the Department indicating that the parent or guardian (or student, as applicable), understands the nature of the FAFSA or, if applicable, the DREAM Act application and has chosen not to file such application. School districts must also notify each high school senior enrolled in such school district, no less than two times during each school year, of all state-sponsored scholarships, financial aid, and assistance available to students attending college or post-secondary education. Additionally, school districts must provide referrals for support or assistance to complete the FAFSA or, if applicable, the DREAM Act application.

Chapter 56 also requires each school district to annually report to the Department certain data for all seniors enrolled in such district, including: (1) the total number of students who completed the FAFSA or, if applicable, the DREAM Act application; (2) the number of students who completed a waiver; and (3) the total number of seniors enrolled.

The proposed rule conforms the Commissioner's regulations to the above requirements.

Because it is evident from the nature of the proposed amendment that it will have no impact on jobs or employment opportunities attributable to its adoption or only a positive impact, no affirmative steps were needed to ascertain these facts, and none were taken. Accordingly, a job impact statement is not required pursuant to section 201-a(2)(a) of the State Administrative Procedure Act, and one has not been prepared.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Extending Flexibility for Incidental Teaching

I.D. No. EDU-31-24-00005-EP
Filing No. 614
Filing Date: 2024-07-16
Effective Date: 2024-07-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 80-5.3 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 305, 308, 3001, 3004 and 3009

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: At its December 2020 meeting, in response to the COVID-19 pandemic, the Board of Regents permanently adopted regulatory amendments that increase the amount of incidental teaching permitted in schools from up to five classroom hours a week to up to 10 classroom hours a week during the 2020-2021 school year. Through incidental teaching, certified teachers can teach a subject not covered by their certificate when no certified or qualified teachers are available after extensive and documented recruitment.

The Board of Regents permanently adopted regulatory amendments at its October 2021, September 2022, and September 2023 meetings to extend the flexibility for incidental teaching from the 2021-2022 through the 2023-2024 school years, respectively, to give districts another way to address staffing challenges. The Department now proposes to extend the flexibility for incidental teaching again through the 2024-2025 school year. This proposal enables school districts to address their continuing staffing challenges by providing them with flexibility in making teaching assignments.

Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (non-emergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the November 2024 Board of Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the November meeting, would be November 20, 2024, the date that the Notice of Adoption would be published in the State Register. Therefore, emergency action is necessary at the July 2024 meeting, for the preservation of the general welfare, to continue allowing certified teachers to teach up to 10 classroom hours a week in a subject area not covered by their certificate for the 2024-2025 school year to address teacher shortages. It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at its November 2024 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for State agency rulemaking. However, since the emergency action will expire before the November 2024 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the September 2024 Regents meeting.

Subject: Extending Flexibility for Incidental Teaching.

Purpose: Enable school districts to address ongoing staffing challenges by providing them with flexibility in making teaching assignments.

Text of emergency/proposed rule: 1. The opening paragraph of section 80-5.3 of the Regulations of the Commissioner of Education shall be amended to read as follows:

A superintendent of schools may assign a teacher to teach a subject not covered by such a teacher's certificate or license for a period not to exceed five classroom hours a week, and during the 2020-2021 through the [2023-2024] *2024-2025* school years for a period not to exceed ten classroom hours a week, when no certified or qualified teacher is available after extensive and documented recruitment, and provided that approval of the commissioner is obtained in accordance with the following requirements:

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire October 13, 2024.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: William P. Murphy, Deputy Commissioner, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 473-3781, email: OHERegcomments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law 208 grants general rule-making authority to the Regents to confer suitable certificates, diplomas and degrees on persons who satisfactorily meet the requirements prescribed.

Education Law 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law 308 authorizes the Commissioner to enforce any rule or direction of the Regents pertaining to the school system or any part thereof.

Education Law 3001 establishes the qualifications of teachers in the classroom.

Education Law 3004 authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to extend the flexibility for incidental teaching through the 2024-2025 school year that enables school districts to address ongoing staffing challenges by providing them with flexibility in making teaching assignments.

3. NEEDS AND BENEFITS:

At its December 2020 meeting, the Board of Regents permanently adopted regulatory amendments to increase the amount of incidental teaching permitted in schools from up to five classroom hours a week to up to 10 classroom hours a week during the 2020-2021 school year in response to the COVID-19 pandemic. Through incidental teaching, certified teachers can teach a subject not covered by their certificate when no certified or qualified teachers are available after extensive and documented recruitment.

The Board of Regents permanently adopted regulatory amendments at its October 2021, September 2022, and September 2023 meetings to extend the flexibility for incidental teaching to the 2021-2022, 2022-2023, and 2023-2024 school years, respectively, to give districts another way to address staffing challenges.

The Department now proposes to extend the flexibility for incidental teaching again through the 2024-2025 school year. This proposal enables school districts to address ongoing staffing challenges by providing them with flexibility in making teaching assignments.

4. COSTS:

a. Costs to State government: The amendment does not impose any costs on State government, including the State Education Department.

b. Costs to local government: The amendment does not impose any costs on local government.

c. Costs to private regulated parties: The amendment does not impose any costs on private regulated parties.

d. Costs to regulating agency for implementation and continued administration: See above.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment does not impose any additional program, service, duty, or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The proposed amendment is necessary to extend the flexibility for incidental teaching through the 2024-2025 school year that enables school districts to address ongoing staffing challenges by providing them with flexibility in making teaching assignments. Therefore, no alternatives were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at its November 2024 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for State agency rulemaking. However, since the emergency action will expire before the November 2024 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the September 2024 Regents meeting. If adopted at the November 2024 meeting, the proposed amendment will become effective as a permanent rule on November 20, 2024. Since the proposed amendment merely extends flexibility for incidental teaching through the 2024-2025 school year, the proposed amendment does not impose any compliance schedules on regulated parties.

Regulatory Flexibility Analysis

(a) Small businesses:

The purpose of the proposed rule is to extend the flexibility for incidental teaching through the 2024-2025 school year that enables school districts to address ongoing staffing challenges by providing them with flexibility in making teaching assignments.

The proposed rule does not have any adverse economic impact or impose any reporting, record keeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required pursuant to section 202-b(3)(a) of the State Administrative Procedure Act, and one has not been prepared.

(b) Local governments:

1. EFFECT OF RULE:

The proposed amendment applies to all 731 public school districts in the state.

2. COMPLIANCE REQUIREMENTS:

At its December 2020 meeting, the Board of Regents permanently adopted regulatory amendments to increase the amount of incidental teaching permitted in schools from up to five classroom hours a week to up to 10 classroom hours a week during the 2020-2021 school year in response to the COVID-19 pandemic. Through incidental teaching, certified teachers can teach a subject not covered by their certificate when no certified or qualified teachers are available after extensive and documented recruitment.

The Board of Regents permanently adopted regulatory amendments at its October 2021, September 2022, and September 2023 meetings to extend the flexibility for incidental teaching to the 2021-2022, 2022-2023, and 2023-2024 school years, respectively, to give districts another way to address staffing challenges.

The Department now proposes to extend the flexibility for incidental teaching again through the 2024-2025 school year. This proposal enables school districts to address ongoing staffing challenges by providing them with flexibility in making teaching assignments.

Therefore, the proposed rule does not impose any additional compliance requirements on local governments.

3. PROFESSIONAL SERVICES:

The proposed rule does not impose any additional professional services requirements on local governments.

4. COMPLIANCE COSTS:

The proposed amendment does not impose any costs on regulated parties.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed amendment does not impose any new technological requirements on school districts or charter schools. Economic feasibility is addressed in the Costs section above.

6. MINIMIZING ADVERSE IMPACT:

The proposed rule merely extends the flexibility for incidental teaching again through the 2024-2025 school year. This proposal enables school districts to address ongoing staffing challenges by providing them with flexibility in making teaching assignments. Therefore, the proposed rule will not have any adverse economic impact on regulated parties. No alternatives were considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Copies of the proposed amendment have been provided to school districts through the offices of the district superintendents of each supervisory district in the State and to the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

The purpose of the proposed rule is to extend the flexibility for incidental teaching through the 2024-2025 school year that enables school districts to address ongoing staffing challenges by providing them with flexibility in making teaching assignments.

The proposed rule will not have any adverse impact, nor impose any reporting, recordkeeping, or other compliance requirements on public or private entities in rural areas. Because it is evident from the nature of the proposed amendment that it does not adversely impact entities located in rural areas, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a rural area flexibility analysis is not required pursuant to section 202-bb(4)(a) of the State Administrative Procedure Act, and one has not been prepared.

Job Impact Statement

The purpose of the proposed rule is to extend the flexibility for incidental teaching through the 2024-2025 school year that enables school districts to address ongoing staffing challenges by providing them with flexibility in making teaching assignments.

Because it is evident from the nature of the proposed amendment that it will have no impact on jobs or employment opportunities attributable to its adoption or only a positive impact, no affirmative steps were needed to ascertain these facts, and none were taken. Accordingly, a job impact statement is not required pursuant to section 201-a(2)(a) of the State Administrative Procedure Act, and one has not been prepared.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Flexibilities for Supplementary Certificate/Supplementary Bilingual Education Extension Requirements

I.D. No. EDU-31-24-00006-EP

Filing No. 615

Filing Date: 2024-07-16

Effective Date: 2024-07-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of sections 80-2.9, 80-4.3 and 80-5.18 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 305, 308, 3001, 3004 and 3009

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The Board of Regents permanently adopted regulatory amendments at its January 2024 meetings to the Supplementary certificate requirements, in order to provide flexibility for certified teachers who apply for the Supplementary English to Speakers of Other Languages (ESOL) certificate, and for teachers who hold a valid Initial, Professional, or Permanent ESOL certificate and apply for a Supplementary certificate in another area, between September 12, 2023, and August 31, 2024. This flexibility allowed candidates to complete either the examination requirement or the enrollment and education requirements.

At these same meetings, the Board of Regents also permanently adopted regulatory amendments to the Supplementary Bilingual Education extension requirements, in order to create flexibility for certified teachers and certified pupil personnel services professionals who apply for such extension between September 12, 2023, and August 31, 2024.

The Department now proposes to extend the Supplementary certificate

and the Supplementary Bilingual Education extension requirements for one additional year, through August 31, 2025, in order to ensure that educators can continue to expeditiously obtain this certificate and extension to address this influx of students.

Since the Board of Regents meets at fixed intervals, the earliest that the proposed amendment could be adopted by regular (non-emergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the November 2024 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the November meeting, would be November 20, 2024, the date that the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the July 2024 meeting for the preservation of the general welfare to continue allowing flexibilities for the Supplementary certificate and Supplementary Bilingual Education extension requirements, in order to address staffing challenges due to the influx of recently arrived and asylum-seeking students.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at its November 2024 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for State agency rulemaking. However, since the emergency action will expire before the November 2024 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the September 2024 Regents meeting.

Subject: Flexibilities for supplementary certificate/supplementary bilingual education extension requirements.

Purpose: To extend flexibility for the Supplementary Bilingual Education Extension requirements in response to the influx of recently arrived and asylum-seeking students through August 31, 2025.

Text of emergency/proposed rule: 1. Subparagraph (iv) of paragraph (5) of subdivision (a) of section 80-2.9 of the Regulations of the Commissioner of Education is amended to read as follows:

(iv) For candidates who apply for a supplementary bilingual education extension in the pupil personnel service between September 12, 2023, and August 31, [2024] *2025*, in order to provide necessary supports to recently arrived and asylum-seeking students, the candidate may submit evidence of having achieved a satisfactory level of performance on the bilingual education assessment, if available, in lieu of matriculating in a registered program leading to a bilingual education extension and completing coursework as prescribed in clauses (c) and (d) of this subparagraph (iii) of this section.

2. Subparagraph (iv) of paragraph (4) of subdivision (a) of section 80-4.3 of the Regulations of the Commissioner of Education is amended to read as follows:

(iv) For candidates who apply for a supplementary bilingual education extension in the classroom teaching service and in the school counselor title between September 12, 2023, and August 31, [2024] *2025*, in order to provide necessary supports to recently arrived and asylum-seeking students, the candidate may submit evidence of having achieved a satisfactory level of performance on the bilingual education assessment, if available, in lieu of matriculating in a registered program leading to a bilingual education extension and completing coursework as prescribed in clauses (c) and (d) of subparagraph (iii) of this section.

3. Paragraph 7 of section 80-5.18 of the Regulations of the Commissioner of Education is amended to read as follows:

(7) For candidates who apply for a supplementary certificate in the English to speakers of other languages title, or who hold a valid certificate in the English to speakers of other languages title and apply for a supplementary certificate in another area, between September 12, 2023, and August 31, [2024] *2025*, in order to provide necessary supports to recently arrived and asylum-seeking students, the candidate shall either:

(i) ….

(ii) ….

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire October 13, 2024.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: William P. Murphy, Deputy Commissioner, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 473-3781, email: OHEREGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law 101 (not subdivided) charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law 208 grants general rule-making authority to the Regents to confer suitable certificates, diplomas and degrees on persons who satisfactorily meet the requirements prescribed.

Education Law 305 authorizes the Commissioner to enforce the educational policies of this State and execute all educational policies determined by the Regents and shall prescribe the licensing of teachers employed in this State.

Education Law 308 authorizes the Commissioner to enforce any rule or direction of the Regents pertaining to the school system or any part thereof.

Education Law 3001 establishes the qualifications of teachers in the classroom.

Education Law 3004 authorizes the Commissioner to promulgate regulations governing the certification requirements for teachers employed in public schools.

Education Law 3009 prohibits school districts from paying the salary of an unqualified teacher.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to extend the flexibility for the Supplementary certificate and the Supplementary Bilingual Education extension requirements to continue to address staffing challenges due to the influx of recently arrived and asylum-seeking students.

3. NEEDS AND BENEFITS:

In response to the potential shortage of educators needed to support the influx of ELLs in schools, the Board of Regents adopted amendments to the Supplementary certificate requirements to provide flexibility for certified teachers who apply for the Supplementary English to Speakers of Other Languages (ESOL) certificate, and for teachers who hold a valid Initial, Professional, or Permanent ESOL certificate and apply for a Supplementary certificate in another area through August 31, 2024. This flexibility allows candidates to complete either the examination requirement or the enrollment and education requirements. The Department proposes to extend this flexibility through August 31, 2025.

The Board of Regents also amended the Supplementary Bilingual Education extension requirements to create flexibility for certified teachers and certified pupil personnel services professionals who apply for such extension through August 31, 2024. This flexibility allows candidates to pass the Bilingual Education Assessment (BEA), if available, in lieu of matriculating in a registered program leading to a Bilingual Education extension and completing coursework. The Department similarly proposes to extend this flexibility through August 31, 2025. Additionally, the amendment permits a single renewal of the Supplementary Bilingual Education extension if a candidate obtains their first extension through this flexibility. This is designed to accommodate candidates who may decide to matriculate in a registered Bilingual Education extension program at a later date.

By allowing candidates to demonstrate that they have the knowledge and skills to provide the necessary supports to recently arrived and asylum-seeking students by passing a test instead of completing coursework, this flexibility provides a quicker pathway to obtaining the Supplementary certificate and/or Supplementary Bilingual Education extension. This pathway is also less costly than completing coursework, which can ease the financial barrier for candidates.

4. COSTS:

a. Costs to State government: The proposed amendment does not impose any costs on State government.

b. Costs to local government: The proposed amendment does not impose any costs on local government.

c. Costs to private regulated parties: The proposed amendment does not impose any costs on private regulated parties.

d. Costs to regulating agency: The proposed amendment does not impose any costs on the State Education Department for implementation and continued administration.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment merely extends the flexibility provided for the requirements to obtaining the Supplementary certificate and the Supplementary Bilingual Education extension to continue to address the increase in enrollment of students who are speakers of languages other than English. Therefore, the proposed amendment does not impose any additional program, service, duty or responsibility upon any local government.

6. PAPERWORK:

The proposed amendment does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:
The proposed amendment is necessary to extend the flexibility provided for the requirements to obtain the Supplementary certificate and the Supplementary Bilingual Education extension to continue to address the increase in enrollment of students who are speakers of languages other than English. No significant alternatives were considered.
9. FEDERAL STANDARDS:
Since there are no applicable federal standards, the proposed rule does not exceed any minimum federal standards for the same or similar subject areas.
10. COMPLIANCE SCHEDULE:
The proposed rule took effect as an emergency rule on July 16, 2024. . It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at the November 2024 Regents meeting, after publication of the proposed amendment in the State Register and the expiration of the 60-day public comment period required under the State Administrative Procedure Act. Because the emergency action will expire before the November 2024 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the September 2024 meeting. If adopted at the November 2024 meeting, the proposed amendment will become effective as a permanent rule on November 20, 2024.

Regulatory Flexibility Analysis

The proposed amendment is necessary to extend the flexibility provided for the requirements to obtain the Supplementary certificate and the Supplementary Bilingual Education extension to continue to address the increase in enrollment of students who are speakers of languages other than English.

The proposed rule will not have any adverse economic impact, nor impose any reporting, recordkeeping or other compliance requirements on small businesses or local governments. Because it is evident from the nature of the proposed amendment that it does not adversely affect small businesses or local governments, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory no regulatory flexibility analysis is not required pursuant to section 202-b(3)(a) of the State Administrative Procedure Act, and one has not been prepared.

Rural Area Flexibility Analysis

The proposed amendment is necessary to extend the flexibility provided for the requirements to obtain the Supplementary certificate and the Supplementary Bilingual Education extension to continue to address the increase in enrollment of students who are speakers of languages other than English.

The proposed rule will not have any adverse impact, nor imposed any reporting, recordkeeping or other compliance requirements on public or private entities in rural areas. Because it is evident from the nature of the proposed amendment that it does not adversely impact entities located in rural areas, no further measures were needed to ascertain that fact and none were taken. Accordingly, a rural area flexibility analysis is not required pursuant to section 202-bb(4)(a) of the State Administrative Procedure Act, and one has not been prepared.

Job Impact Statement

The proposed amendment is necessary to extend the flexibility provided for the requirements to obtain the Supplementary certificate and the Supplementary Bilingual Education extension to continue to address the increase in enrollment of students who are speakers of languages other than English.

Because it is evident from the nature of the proposed amendment that it will have no impact on jobs or employment opportunities attributable to its adoption or only a positive impact, no affirmative steps were needed to ascertain these facts and none were taken. Accordingly, a job impact statement is not required pursuant to section 201-a(2)(a) of the State Administrative Procedure Act, and one has not been prepared.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Special Education Due Process Hearings

I.D. No. EDU-31-24-00007-EP
Filing No. 616
Filing Date: 2024-07-16
Effective Date: 2024-07-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 200.5 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 3602-c, 4404 and 4410

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The Department proposes to clarify that parents of students who are parentally placed in nonpublic schools do not have the right under Education Law § 3602-c to file a due process complaint regarding the implementation of services recommended on an IESP regarding the rate at which a provider shall be reimbursed. Further, it is the Department's position that going to a due process hearing for payment for IESP services obtained by the parent unfairly imposes administrative and financial burdens on parents. The Department seeks to ensure that parents whose IESP services were not implemented receive prompt reimbursement at the local level.

Additionally, to provide predictability for the upcoming 2024-2025 school year, the Department proposes the adoption of this regulation on an emergency basis. Thus, beginning this year, disputes over the rates at which service providers will be paid or reimbursed must be filed as State complaints or pursued at the local level with the New NYCDOE.

Since the Board of Regents meets at fixed intervals, the earliest the proposed amendment could be adopted by regular (nonemergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the November 2024 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the November meeting, would be November 20, 2024, the date the Notice of Adoption would be published in the State Register.

Subject: Special education due process hearings.

Purpose: To clarify that parents of students who are parentally-placed in nonpublic schools do not have the right under Education Law § 3602-c to file a due process complaint regarding the rate at which a provider shall be reimbursed for the implementation of services recommended on an Individualized Education Services Plan (IESP).

Text of emergency/proposed rule: 1. Paragraph (1) of subdivision (i) of section 200.5 of the Regulations of the Commissioner of Education is amended to read as follows:

(1) A parent or school district may file a due process complaint with respect to any matter relating to the identification, evaluation, or educational placement of a student with a disability, a student suspected of having a disability, or the provision of free appropriate public education to such student. *This does not include disputes over the implementation of services in an individualized education services plan in the form of payment for services by a school district that were obtained by the parents of a student with a disability.* The party presenting the complaint, or the attorney representing such party shall provide a written due process complaint notice to the party, which shall include:

(i) …
(ii) …
(iii) …
(iv) …
(v) …

2. Paragraph (3) of subdivision (j) of section 200.5 of the Regulations of the Commissioner of Education is amended by adding a new subparagraph (xvii) to read as follows:

(xviii) Any determination of a student's then-current educational placement by a hearing officer shall be limited to the same general level and type of services that the child was receiving. This determination may not identify a specific school, provider, or rate of reimbursement.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire October 13, 2024.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Christopher Suriano, Assistant Commissioner, Education Department, Office of Special Education, 89 Washington Ave., 301M EB, Albany, NY 12234, (518) 473-2878, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:
Education Law § 101 charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 305(1) and (2) provides the Commissioner, as chief executive officer of the State's education system, with general supervision over all schools and institutions subject to the Education Law, or of any statute relating to education, with responsibility for executing all educational policies of the Board of Regents.

Education Law § 3602-c provides for the apportionment of moneys to school districts for the provision of services to pupils attending nonpublic schools.

Education Law § 4404 establishes the appeal procedures for students with disabilities. Subdivision (1) authorizes and requires the Commissioner to promulgate regulations relating to the qualifications, procedures and timelines for impartial hearings, as well as procedures for the suspension or revocation of impartial hearing officer certification for good cause.

Education Law § 4410 establishes school district duties for the education of preschool students with disabilities and the Department's responsibilities regarding special education programs for preschool students with disabilities. Section 4410(13) authorizes the Department to adopt regulations as to implement such section.

2. LEGISLATIVE OBJECTIVES:

Consistent with the above statutory authority, the proposed rule is necessary to clarify that parents of students who are parentally-placed in nonpublic schools do not have the right under Education Law § 3602-c to file a due process complaint regarding the rate at which a provider shall be reimbursed for the implementation of services recommended on an Individualized Education Services Plan (IESP).

3. NEEDS AND BENEFITS:

The Department proposes to clarify that parents of students who are parentally placed in nonpublic schools do not have the right under Education Law § 3602-c to file a due process complaint regarding the rate at which a provider shall be reimbursed for the implementation of services recommended on an IESP. Further, it is the Department's position that going to a due process hearing for payment for IESP services obtained by the parent unfairly imposes administrative and financial burdens on parents. The Department seeks to ensure that parents whose IESP services were not implemented receive prompt reimbursement at the local level.

NYCDOE has advised the Department that on or before the effective date of the rule clarification/well in advance of the start of the 10-month school year, NYCDOE will provide guidance as to how the clarification will impact families' experiences in securing services for their student. The guidance will address how the process will work under the revised rule, and, more importantly, the steps NYCDOE is taking to ensure appropriate services are available and offered to all students who need them. The guidance will also explain how cases that might have previously been filed as due process complaints in past years will be processed going forward. The NYCDOE Office of the General Counsel (OGC) is standing up a new unit, called the Enhanced Rate Equitable Services Unit (ERES Unit). ERES will receive and process all requests for enhanced rate equitable services where a parent or their representative has filed a parental notice of intent (PNI). More detailed information will follow in the guidance, but at this stage the public should know that it can communicate with the ERES Unit at this email address: EquitableServicesAssistance@schools.nyc.gov.

The Department will continue to work with the NYCDOE to ensure that it meets its obligations under the IDEA to provide equitable services to students with disabilities who are so entitled, including those parentally placed in nonpublic schools.

4. COSTS:

(a) Costs to State government: There are no additional costs to State government.

(b) Costs to local government: There are no additional costs to local government.

(c) Cost to private regulated parties: There are no additional costs to private regulated parties.

(d) Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed rule does not impose any program service, duty, responsibility, or other mandate on local governments.

6. PAPERWORK:

The proposed rule imposes no new reporting or other paperwork requirements beyond those imposed by statute.

7. DUPLICATION:

The proposed rule does not duplicate any other existing State or federal requirements.

8. ALTERNATIVES:

The proposed rule is necessary to clarify that parents of students who are parentally placed in nonpublic schools do not have the right under Education Law § 3602-c to file a due process complaint regarding the rate at which a provider shall be reimbursed for the implementation of services recommended on an IESP. There are no significant alternatives to the proposed rule available and none were considered.

9. FEDERAL STANDARDS:

The Individuals with Disabilities Education Act (IDEA) requires that an "equitable" share of each school district's IDEA funds be used to provide services to students with disabilities attending nonpublic schools (34 CFR § 300.138). School districts must locate, evaluate, and provide nonpublic school students with a "services plan that describes the specific special education and related services that the [district] will provide to the child in light of the services that [it] has determined... it will make available to parentally placed private school children with disabilities" (34 CFR § 300.138[b][1]). The service plan used in New York State is the IESP. New York State statute exceeds federal requirements by providing the parents of parentally placed students with disabilities the ability to file due process complaints in certain instances (Education law § 3602-c(2)(b)(1)). There is no federal requirement providing parents of parentally-placed students the ability to file such complaints.

10. COMPLIANCE SCHEDULE:

The proposed rule took effect as an emergency rule on July 16, 2024. It is anticipated that the proposed amendment will be presented for permanent adoption at the November 2024 Regents meeting, after publication of the proposed amendment in the State Register and expiration of the 60-day public comment period required under the State Administrative Procedure Act. Because the emergency action will expire before the November Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the September 2024 meeting. If adopted at the September 2024 meeting, the proposed amendment will become effective on September 25, 2024.

Regulatory Flexibility Analysis

(a) Small Businesses:

The purpose of the proposed rule is to clarify that parents of students who are parentally placed in nonpublic schools do not have the right under Education Law § 3602-c to file a due process complaint regarding the rate at which a provider shall be reimbursed for the implementation of services recommended on an Individualized Education Services Plan (IESP).

Because it is evident from the nature of the proposed amendments that they do not affect small businesses, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required pursuant to section 202-b(3)(a) of the State Administrative Procedure Act (SAPA), and one has not been prepared.

(b) Local Governments:

1. EFFECT OF RULE:

The purpose of the proposed amendment is to clarify that parents of students who are parentally placed in nonpublic schools do not have the right under Education Law § 3602-c to file a due process complaint regarding the rate at which a provider shall be reimbursed for the implementation of services recommended on an Individualized Education Services Plan (IESP). The proposed amendment applies to each of the 731 public school districts within the State.

2. COMPLIANCE REQUIREMENTS:

The Department proposes to clarify that parents of students who are parentally placed in nonpublic schools do not have the right under Education Law § 3602-c to file a due process complaint regarding the rate at which a provider shall be reimbursed for the implementation of services recommended on an IESP. Further, it is the Department's position that going to a due process hearing for payment for IESP services obtained by the parent unfairly imposes administrative and financial burdens on parents. The Department seeks to ensure that parents whose IESP services were not implemented receive prompt reimbursement at the local level. The proposed rule does not impose any reporting, recordkeeping, or other compliance requirements on local governments.

3. PROFESSIONAL SERVICES:

The proposed rule does not impose any professional service requirement on local governments.

4. COMPLIANCE COSTS:

The proposed amendment will not impose any additional program, service, duty, responsibility, or costs on local governments.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional technological requirements on school districts.

6. MINIMIZING ADVERSE IMPACT:

The purpose of the proposed rule is to clarify that parents of students who are parentally placed in nonpublic schools do not have the right under Education Law § 3602-c to file a due process complaint regarding the rate at which a provider shall be reimbursed for the implementation of services recommended on an IESP. Because of the nature of the proposed rule, the Department does not anticipate that it will have any adverse economic impact on local governments. Therefore, differing approaches as prescribed in SAPA § 202-b(1) were not considered.

7. LOCAL GOVERNMENT PARTICIPATION:

Copies of the proposed amendment have been provided to school districts through the offices of the district superintendents of each supervisory district in the State and to the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule will apply to each of the 731 public school districts in the State and all Impartial Hearing Officers (IHOs) certified by the State Education Department, including those located in the 44 counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square miles or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The purpose of the proposed rule is to clarify that parents of students who are parentally placed in nonpublic schools do not have the right under Education Law § 3602-c to file a due process complaint regarding the rate at which a provider shall be reimbursed for the implementation of services recommended on an Individualized Education Services Plan (IESP).

The proposed amendment does not impose any reporting, recordkeeping or other compliance requirements on regulated parties, including those located in rural areas. The proposed rule also does not impose any professional service requirements.

3. COSTS:

The proposed rule does not impose any additional costs to school districts or certified IHOs, including those located in rural areas.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to clarify that parents of students who are parentally placed in nonpublic schools do not have the right under Education Law § 3602-c to file a due process complaint regarding the rate at which a provider shall be reimbursed for the implementation of services recommended on an IESP. Because of the nature of the rule, the Department does not anticipate it will have any adverse economic impact on local governments or IHOs, including those located in rural areas. Therefore, alternative approaches for rural areas were not considered.

5. RURAL AREAS OF PARTICIPATION:

Copies of the proposed amendment have been provided to school districts through the offices of the district superintendents of each supervisory district in the State and to the chief school officers of the five big city school districts, as well as those located in rural areas.

Job Impact Statement

The purpose of the proposed rule is to clarify that parents of students who are parentally placed in nonpublic schools do not have the right under Education Law § 3602-c to file a due process complaint regarding the rate at which a provider shall be reimbursed for the implementation of services recommended on an Individualized Education Services Plan (IESP).

Because it is evident from the nature of the proposed amendment that it will have no impact on jobs or employment opportunities attributable to its adoption or only a positive impact, no affirmative steps were needed to ascertain these facts and none were taken. Accordingly, a job impact statement is not required pursuant to section 201-a(2)(a) of the State Administrative Procedure Act, and one has not been prepared.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Extending the Validity Period of Emergency COVID-19 Certificates

I.D. No. EDU-31-24-00008-EP

Filing No. 617

Filing Date: 2024-07-16

Effective Date: 2024-07-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 80-5.27 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 3001, 3004 and 3009

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The Board of Regents permanently adopted regulatory amendments at its December 2021 meeting to create Emergency COVID-19 certificates to address teaching shortages and related disruptions from the public health crisis.

The Department now proposes to extend the expiration date of Emergency COVID-19 certificates set to expire during the 2024-25 school year to August 31, 2025. This extension will allow candidates additional time to meet requirements for the next level certificate and give the Department time to complete certification reforms.

Since the Board of Regents meets at fixed intervals, the earliest that the proposed amendment could be adopted by regular (non-emergency) action after expiration of the 60-day public comment period provided for in the State Administrative Procedure Act (SAPA) sections 201(1) and (5) would be the November 2024 Regents meeting. Furthermore, pursuant to SAPA 203(1), the earliest effective date of the proposed rule, if adopted at the November meeting, would be November 20, 2024, the date that the Notice of Adoption would be published in the State Register.

Therefore, emergency action is necessary at the July 2024 meeting for the preservation of the general welfare to immediately extend the expiration date of Emergency COVID-19 certificates set to expire during the 2024-25 school year to August 31, 2025and address ongoing staffing challenges due in part to disruptions from the COVID-19 crisis.

It is anticipated that the proposed rule will be presented to the Board of Regents for adoption as a permanent rule at its November 2024 meeting, which is the first scheduled meeting after expiration of the 60-day public comment period mandated by SAPA for State agency rulemaking. However, since the emergency action will expire before the November 2024 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the September 2024 Regents meeting.

Subject: Extending the validity period of Emergency COVID-19 certificates.

Purpose: To extend the expiration date of any currently valid Emergency COVID-19 certificates by one additional year to August 31, 2025, allowing candidates extra time to complete the examination requirement(s) for their certificate and progress to the next level certificate.

Text of emergency/proposed rule: Section 80-5.27 of the Regulations of the Commissioner of Education is amended by adding a new subdivision (d) to read as follows:

(d) Notwithstanding any other provision of this section, any candidate who holds an Emergency COVID-19 certificate with an expiration date of August 31, 2024 or January 31, 2025 shall have the expiration date of such certificate extended to August 31, 2025.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire October 13, 2024.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email:legal@nysed.gov

Data, views or arguments may be submitted to: William P. Murphy, Deputy Commissioner, NYS Education Department, Office of Higher Education, 89 Washington Avenue, Room 975 EBA, Albany, NY 12234, (518) 473-3781, email: OHERegcomments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 continues existence of Education Department, with Board of Regents as its head, and authorizes Regents to appoint Commissioner of Education as Department's Chief Administrative Officer, which is charged with general management and supervision of all public schools and educational work of State.

Education Law § 207 empowers Regents and Commissioner to adopt rules and regulations to carry out State education laws and functions and duties conferred on the Department.

Education Law § 305 establishes the general powers and duties of the Commissioner of Education.

Education Law § 3001 prescribes the qualifications of teachers.

Education Law § 3004 directs the Commissioner of Educaiton to prescribe regulations governing the examination and certification of teachers employed in all public schools of the State.

Education Law § 3009 provides that unqualified teachers shall not be paid from school moneys.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to provide time for candidates to complete examination requirement(s) for their certificate and progress to the next level certificate, as well as provide the Department and Board of Regents time to consider reforming the educator certification process.

3. NEEDS AND BENEFITS:

At its May 2020 meeting, in response to the limited availability of test-

ing sites, educator shortages, and other disruptions occasioned by the COVID-19 crisis, the Board of Regents voted to add section 80-5.27 to the Commissioner's regulations as an emergency rule, thereby creating an Emergency COVID-19 certificate for candidates seeking certain certificates, extensions, and annotations. In response to feedback from the field and the ongoing COVID-19 crisis, the Board adopted revisions to this emergency rule several times, such as extending the validity period of the Emergency COVID-19 certificate from one year to two years and extending the deadline to apply and complete the requirements for such certificate on two occasions.

To earn the Emergency COVID-19 certificate, candidates must have completed all requirements for the non-emergency certificate, extension, or annotation sought, other than the examination requirement(s), on or before September 1, 2022. Candidates were required to apply for the non-emergency certificate, extension, or annotation on or before September 1, 2022. Candidates were also required to apply for the Emergency COVID-19 certificate in the same title as the certificate, extension, or annotation sought on or before September 1, 2022. This certificate is valid for two years. Accordingly, a large number of remaining valid Emergency COVID-19 certificates will expire during the 2024-25 school year.

The Department has heard from the field that many educators who hold an Emergency COVID-19 certificate are employed in public school districts and that the expiration of these certificates will create a hardship for these educators and exacerbate staffing challenges. Therefore, the Department now proposes to extend the validity period of any currently valid Emergency COVID-19 certificates set to expire during the 2024-25 school year by changing the expiration date of such certificates to August 31, 2025. This extension will allow candidates extra time to complete the examination requirement(s) for their certificate and progress to the next level certificate. Extending this flexibility will also provide time for the Department and Board of Regents to consider undertaking further reforms to the educator certification process, which, among other goals, will increase the supply of available and appropriately qualified educators.

4. COSTS:

a. Costs to State government: The amendment does not impose any costs on State government.

b. Costs to local government: The amendment does not impose any costs on local government.

c. Costs to private regulated parties: The amendment does not impose any costs on private regulated parties.

d. (d) Cost to the regulatory agency: There are no additional costs to the State Education Department.

5. LOCAL GOVERNMENT MANDATES:

The proposed rule does not impose any additional program service, duty, or responsibility upon any local government.

6. PAPERWORK:

The proposed rule does not impose any additional paperwork requirements.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or Federal requirements.

8. ALTERNATIVES:

The purpose of the proposed rule is to extend the expiration date of any currently valid Emergency COVID-19 certificates by one additional year to August 31, 2025. This extension will allow candidates extra time to complete the examination requirement(s) for their certificate and progress to the next level certificate. Extending this flexibility for one additional year will also provide time for the Department and Board of Regents to consider reforming the educator certification process, which, among other goals, will increase the supply of available and appropriately qualified educators. There are no significant alternatives to the proposed rule, and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

If adopted as an emergency rule at the July 2024 Regents meeting, the emergency rule will become effective July 16, 2024. It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at the November 2024 Regents meeting, after publication of the proposed amendment in the State Register and the expiration of the 60-day public comment period required under the State Administrative Procedure Act. Because the emergency action will expire before the November 2024 Regents meeting, it is anticipated that an additional emergency action will be presented for adoption at the September 2024 meeting. If adopted at the November 2024 meeting, the proposed amendment will become effective as a permanent rule on November 20, 2024. Since the proposed amendment merely extends the expiration date of any currently valid Emergency OCOVID-19 certificates by one additional year to August 31, 2025, Tte proposed amendment does not impose any compliance schedules on regulated parties.

Regulatory Flexibility Analysis

The purpose of the proposed rule is to extend the expiration date of any currently valid Emergency COVID-19 certificates by one additional year to August 31, 2025. This extension will allow candidates extra time to complete the examination requirement(s) for their certificate and progress to the next level certificate. Extending this flexibility for one additional year will also provide time for the Department and Board of Regents to consider reforming the educator certification process, which, among other goals, will increase the supply of available and appropriately qualified educators.

The proposed rule does not impose any new reporting, recordkeeping, or other compliance requirements on small businesses or local governments or have any adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed rule that it does not adversely affect small businesses or local governments, no further measures were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required pursuant to section 202-b(3)(a) of the State Administrative Procedure Act, and one has not been prepared.

Rural Area Flexibility Analysis

The purpose of the proposed rule is to extend the validity period of any currently valid Emergency COVID-19 certificates set to expire during the 2024-25 school year by changing the expiration date of such certificates to August 31, 2025. This extension will allow candidates extra time to complete the examination requirement(s) for their certificate and progress to the next level certificate. Extending this flexibility will also provide time for the Department and Board of Regents to consider undertaking further reforms to the educator certification process, which, among other goals, will increase the supply of available and appropriately qualified educators.

The proposed rule will not have any adverse impact, nor impose any reporting, recordkeeping or other compliance requirements on public or private entities in rural areas. Because it is evident from the nature of the proposed amendment that it does not adversely impact entities located in rural areas, no further measures were needed to ascertain that fact and none were taken. Accordingly, a rural area flexibility analysis is not required pursuant to section 202-bb(4)(a) of the State Administrative Procedure Act, and one has not been prepared.

Job Impact Statement

The purpose of the proposed rule is to extend the expiration date of any currently valid Emergency COVID-19 certificates by one additional year to August 31, 2025. This extension will allow candidates extra time to complete the examination requirement(s) for their certificate and progress to the next level certificate. Extending this flexibility for one additional year will also provide time for the Department and Board of Regents to consider reforming the educator certification process, which, among other goals, will increase the supply of available and appropriately qualified educators.

Because it is evident from the nature of the proposed amendment that it will have no impact on jobs or employment opportunities attributable to its adoption or only a positive impact, no affirmative steps were needed to ascertain these facts, and none were taken. Accordingly, a job impact statement is not required pursuant to section 201-a(2)(a) of the State Administrative Procedure Act, and one has not been prepared.

NOTICE OF ADOPTION

Dispensing Self-Administered Hormonal Contraceptives

I.D. No. EDU-09-24-00012-A

Filing No. 610

Filing Date: 2024-07-16

Effective Date: 2024-07-31

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Parts 63.16, 60.14 and 64.5(d) to Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 6504, 6507, 6527, 6801, 6806, 6902 and 6909; L, 2023, ch. 128

Subject: Dispensing self-administered hormonal contraceptives.

Purpose: To implement chapter 128 of the Laws of 2023.

Text or summary was published in the February 28, 2024 issue of the Register, I.D. No. EDU-09-24-00012-E.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2027,which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

1. COMMENT: A national reproductive and sexual health care organization and a New York City chapter of a national human rights association expressed support for the proposed rule to the extent that they augment accessibility to contraception.

DEPARTMENT RESPONSE: The comments were supportive. No changes are necessary.

2. COMMENT: Two national associations for physicians, two national reproductive and sexual health care organizations, and a New York City chapter of a national human rights association commented that pharmacists should be trained and required to verbally communicate to patients their right to opt out of provider notification.

DEPARTMENT RESPONSE: The proposed rule is consistent with the statute because when pharmacists provide patients with the required Department of Health (DOH) developed fact sheet, patients are advised that, among other things, they have the ability to opt out of practitioner notification. No changes are necessary.

3. COMMENT: A national association for physicians, two national reproductive and sexual health care organizations, and a New York City chapter of a national human rights association commented that the proposed rule should require additional training for pharmacists on the New York State Family Planning Benefit Program and information on the program should also be included within the documents that pharmacists are required to hand out to their patients.

DEPARTMENT RESPONSE: Training required under the proposed rule is consistent with the statute and sufficient for implementing the provisions of Chapter 128 of the Laws of 2023, as amended by Chapter 90 of the Laws of 2024 (Chapter 128). Additionally, after consultation with DOH, there are efforts underway to determine if this is a feasible option. This process will take time. When this process is completed, changes might be made to existing guidance and/or new guidance may be issued. However, the Department is obligated to amend its regulations to implement the statute as written. No changes to the proposed rule are necessary.

4. COMMENT: A national association for physicians, a national reproductive and sexual health care organization and a New York City chapter of a national human rights association commented that the training provided to pharmacists should be amended to include information on the need to keep a minor's use of contraception confidential.

DEPARTMENT RESPONSE: The proposed rule's training requirements are consistent with Chapter 128, and sufficient to both implement it and protect patients. Based on this comment, the Department consulted with DOH on this issue and was informed that DOH intends to release frequently asked questions (FAQs) for pharmacists with appropriate guidance. No changes to the proposed rule are necessary.

5. COMMENT: A national association for physicians commented that training programs for pharmacists should incorporate role-playing exercises and training on "cultural competencies."

DEPARTMENT RESPONSE: The proposed rule identifies the training that is sufficient for pharmacists to dispense hormonal contraceptives in the manner contemplated by Chapter 128. Varied instruction methods may be used to achieve the training outcomes contemplated by the statute. No changes to the proposed rule are necessary.

6. COMMENT: Two national reproductive and sexual health care organizations and a New York City chapter of a national human rights association commented that the regulations need to state that pharmacists are permitted to dispense hormonal contraception to minors without parental consent.

DEPARTMENT RESPONSE: Although Chapter 128 does not provide specific information regarding patient age, if the pharmacist believes the person has the capacity to understand the questions being asked, the ability to answer them, and can understand the instructions of how to take the medications and side effects, the pharmacist can dispense the hormonal contraceptive to the person. The Department has been advised that FAQs under development by DOH will address this question and a link to these FAQs will be provided on the Department website. No changes in the proposed rule are necessary.

7. COMMENT: A national association for physicians and a New York City chapter of a national human rights association commented that additional guidance and best practices on how to obtain informed consent from a minor need to be developed through training, amendment of the standing order, or amendment of the regulation.

DEPARTMENT RESPONSE: The proposed rule is consistent with the statute. No changes are necessary.

8. COMMENT: A national association for physicians commented that patients must be verbally informed that the service is confidential.

DEPARTMENT RESPONSE: Chapter 128 does not require that patients be verbally informed that the service is confidential. However, DOH's self-screening patient intake form includes language regarding patient confidentiality. No changes are necessary.

9. COMMENT: Two national associations for physicians stated that pharmacists should be required to inform patients (particularly minors) of the risk that confidential information may be disclosed to an insurer in connection with the dispensing of hormonal contraception.

DEPARTMENT RESPONSE: The proposed rule is designed to implement Chapter 128, which is silent on issues relating to disclosure and insurance matters. However, DOH advised the Department that it is developing FAQs on subjects like these. The Department website will provide links to such guidance once it is issued. No changes to the proposed rule are necessary.

10. COMMENT: A national association for physicians, a national reproductive and sexual health care organization, and a New York City chapter of a national human rights association commented that information must be provided to patients in their preferred language.

DEPARTMENT RESPONSE: Chapter 128 does not address any obligation to provide the information regarding the dispensing of hormonal contraceptives in any particular language(s). However, Education Law § 6829 requires covered pharmacies, as defined in that section, to provide free, competent oral interpretation services to each individual with limited English proficiency who requests such services. DOH advised the Department that it is working on translations for forms and instructions that will be available to pharmacies. No changes to the proposed rule are necessary.

11. COMMENT: A national association for physicians commented that pharmacists should be required to advise their patients of the need to follow up with a health care provider within one to two months of starting the new hormonal contraceptive.

DEPARTMENT RESPONSE: The purpose of Chapter 128 is to increase access to hormonal contraceptives for patients who are unable to see a provider. Routine follow-ups are not a requirement for dispensing hormonal contraceptives. Thus, no changes are necessary.

12. COMMENT: A New York City chapter of a national human rights association commented that patients should be provided with a list of federally qualified health clinics, Title X clinics and other health practitioners in the area.

DEPARTMENT RESPONSE: The proposed rule is consistent with the statute. No changes are necessary.

NOTICE OF ADOPTION

Albert Shanker National Board for Professional Teaching Standards Certification Grant Program

I.D. No. EDU-13-24-00009-A

Filing No. 618

Filing Date: 2024-07-16

Effective Date: 2024-07-31

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 86.1, 86.2, 86.3 and 86.4 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207 and 3004-a

Subject: Albert Shanker National Board for Professional Teaching Standards Certification Grant Program.

Purpose: Support NBCT candidates seeking to renew their National Board Certification at the five-year expiration date.

Text or summary was published in the March 27, 2024 issue of the Register, I.D. No. EDU-13-24-00009-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2027, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

1. COMMENT: The commenter believes the amended rule will ensure equitable access for educators to apply for and achieve National Board Certification.

DEPARTMENT RESPONSE: The commenter is supportive of the proposed rule; therefore, no changes are necessary.

NOTICE OF ADOPTION

Student and Parent Notification of Advanced Coursework

I.D. No. EDU-13-24-00010-A
Filing No. 611
Filing Date: 2024-07-16
Effective Date: 2024-07-31

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 100.2 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207 and 817; L. 2023, ch. 355

Subject: Student and parent notification of advanced coursework.

Purpose: To implement chapter 355 of the Laws of 2023.

Text of final rule: Subdivision (ll) of section 100.2 of the Regulations of the Commissioner of Education is amended to read as follows:

(ll) [Grade promotion and placement policy.] *Notification of promotion and placement policies and advanced coursework.*

(1) Grade promotion and placement policy. Each school district shall adopt a grade promotion and placement policy that is consistent with sections 100.3(b)(2)(iv), 100.4(b)(2)(v) and (e)(6) of this Part, and annually notify the parents and persons in parental relation to the students attending such district of such policy along with an explanation of how the policy was developed. Such notification may be provided on the school district's website, if one exists, or as part of an existing informational document that is provided to parents and persons in parental relations.

(2) Notification of advanced coursework. Each school district and charter school shall annually notify students and parents by June 1 of each school year of the benefits and opportunities of participating in advanced coursework. For purposes of this subdivision, the term "advanced coursework" shall mean any middle, high school, or college level honors, gifted, accelerated, advanced placement, international baccalaureate, dual-enrollment, or concurrent-enrollment course, or a course that would offer the ability for a student to earn college credit and/or an industry-recognized certification.

Final rule as compared with last published rule: Nonsubstantial changes were made in section 100.2(ll)(2).

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email:legal@nysed.gov

Revised Regulatory Impact Statement, Regulatory Flexibility Analysis and Rural Area Flexibility Analysis

The above revisions to the proposed rule do not require any revisions to the previously published Regulatory Impact Statement, Regulatory Flexibility Analysis and Rural Area Flexibility Analysis.

Revised Job Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on March 27, 2024, the proposed rule was revised as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The aforementioned revisions do not require any changes to the previously published Statement in Lieu of Job Impact Statement since the proposed rule will not have a substantial impact on jobs and employment opportunities. Because it is evident from the nature of the revised proposed rule that it will not affect job and employment opportunities, no affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required pursuant to section 201-a(2)(a) of the State Administrative Procedure Act, and one has not been prepared.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2027, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Administration of Injectable Medications by Pharmacists for the Treatment of Mental Health and Substance Use Disorder

I.D. No. EDU-13-24-00011-A
Filing No. 609
Filing Date: 2024-07-16
Effective Date: 2024-07-31

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 63.17 to Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 6504, 6507, 6801, 6802; L. 2023, ch. 746

Subject: Administration of injectable medications by pharmacists for the treatment of mental health and substance use disorder.

Purpose: To implement Chapter 802 of the Laws of 2022, as amended by Chapter 746 of the Laws of 2023.

Text of final rule: 1. The Regulations of the Commissioner of Education are amended by adding a new section 63.17 to read as follows:

Section 63.17. Administering injectable medications pursuant to patient-specific prescriptions or patient-specific orders and emergency treatment of anaphylaxis

(a) Definitions. As used in this section:

(1) Injectable medication shall mean injectable medications approved by the Federal Food and Drug Administration for the treatment of mental health and substance use disorder.

(2) Certified pharmacy intern shall mean a pharmacy intern as defined in Education Law § 6806 who has met the requirements set forth in section 63.4(d) of this Part and has been issued a certificate of administration by the department pursuant to section 63.9(a)(3) of this Part.

(b) Injection of medications.

(1) Pursuant to Education Law § 6802(22), a certified pharmacist shall be authorized to administer injectable medications to patients for the treatment of mental health and substance use disorder provided that:

(i) the pharmacist has received training as prescribed in Education Law § 6801(3) and has been issued a certificate of administration issued by the department pursuant to section 63.9(a)(3) of this Part;

(ii) the administration of the injectable medication is conducted pursuant to a valid patient-specific prescription or patient-specific order;

(iii) the pharmacist has maintained continued competency regarding the populations served and medications available for administration; and

(iv) the injectable medications are approved by the Federal Food and Drug Administration for the treatment of mental health and substance use disorder.

(2) With the exception of a certified pharmacy intern, a certified pharmacist shall not delegate the administration of an injectable medication to another person. When administering an injectable medication, the certified pharmacy intern shall be under the immediate personal supervision of the certified pharmacist. Administration by a certified pharmacy intern shall not occur unless the patient has been informed and has consented to such administration. If the patient does not consent to the administration of an injectable medication by a certified pharmacy intern, a certified pharmacist shall be present to administer the injectable medication to the patient.

(3) Prior to administering an injectable medication each certified pharmacist shall:

(i) verify that the patient has already received an initial dose of the injectable medication and has been deemed eligible for maintenance treatment by their prescriber. Such eligibility shall be communicated by the prescriber in writing, electronically or via facsimile. Where such means of communication are not possible, a prescriber may communicate patient eligibility to a pharmacist verbally, provided that the pharmacist documents such communication within the patient's medication profile as defined in section 63.6(b)(7) of this Part;

(ii) inform the patient, or the person legally responsible for the patient when the patient is incapable of consenting to the administration of the injectable medication, of the injectable medication's common side effects, drug interactions, injection site reactions and other information that is routinely provided to patients upon the dispensing of a medication. Such information shall be provided orally and in writing;

(iii) assess the patient for any contraindications to the injectable medication;

(iv) be responsible for having emergency anaphylaxis treatment

agents, related syringes and needles available at the location where the injectable medication is being administered;

(v) obtain written consent from the patient to administer the injectable medication. If the patient is incapable of providing written consent, it may be obtained from the person legally responsible for the patient. For the purposes of this section, such consent shall be obtained in writing or electronically and noted within the patient's medication profile as defined in section 63.6(b)(7) of this Part;

(vi) provide the patient, or person legally responsible for the patient if the patient is not able to consent to the administration of the injectable medication, with written instructions regarding the course of action to be taken if an adverse reaction or contraindication occurs; and

(vii) provide an area that ensures patient privacy when administering the injectable medication.

(4) Reporting of injectable medications:

(i) the certified pharmacist or the pharmacist's designee shall notify the patient's prescriber within five days of administering the injectable medication. Such notification may occur via an electronic medical records system, an electronic prescribing technology or pharmacy records, facsimile, electronic transmission or other electronic means. If an electronic means is not available to communicate the administration of the injectable medication, a certified pharmacist or their designee may communicate the information via telephone. Such notification shall be documented in the patient's medication profile as defined in section 63.6(b)(7) of this Part.

(ii) in the event that the patient does not receive their scheduled injectable medication, the certified pharmacist shall notify the patient's prescriber within 72 hours after the injectable medication was scheduled to be administered.

(iii) If the patient experiences any side effects or adverse reactions to the medications the certified pharmacist shall notify the prescriber immediately and make themselves available to discuss the adverse reaction with the patient's prescriber.

(b) Anaphylaxis treatment agents.

(1) Each certified pharmacist who receives training as prescribed in Education Law § 6801(3) and is issued a certificate of administration pursuant to section 63.9(a)(3) of this Part shall be authorized to administer medications for the treatment of anaphylaxis to patients receiving injectable medications.

(2) each certified pharmacist who administers medication for the treatment of anaphylaxis to patients receiving an injectable medication shall follow the standards, procedures and reporting requirements for the administration of anaphylactic medication set forth in section 63.9(b) of this Part.

(c) Nothing in this section shall prevent a certified pharmacist from refusing to administer an injectable medication if, in their professional judgment, potential adverse effects, interactions, or other therapeutic complications could endanger the health of a patient.

Final rule as compared with last published rule: Nonsubstantial changes were made in section 63.17(c) and (d).

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Revised Regulatory Impact Statement

Since publication of a Notice of Emergency Adoption in the State Register on June 26, 2024, a non-substantial revision was made to the proposed regulation as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The non-substantial revisions do not require any changes to the previously published Statement in Lieu of Job Impact Statement since the non-substantial revisions will not have a substantial adverse impact on jobs and employment opportunities. Because it is evident from the nature of the proposed rule that it will not affect job and employment opportunities, no affirmative steps were needed to ascertain that fact, and none were taken. Accordingly, a job impact statement is not required, and one was not prepared.

Revised Regulatory Flexibility Analysis

Since the publication of the Notice of Emergency Adoption in the State Register on June 26, 2024, a non-substantial revision was made to the proposed regulation as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The above non-substantial revision does not require any changes to the previously published Statement in Lieu of Regulatory Flexibility Analysis for Small Businesses and Local Governments as the non-substantial revisions do not impose any new reporting, recordkeeping, or other compliance requirements, or have any adverse economic impact on small businesses or local governments. Because it is evident from the nature of the proposed rule that it will not adversely affect small businesses or local governments, no affirmative steps were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required pursuant to section 202-b(3)(a) of the State Administrative Procedure Act, and one has not been prepared.

Revised Rural Area Flexibility Analysis

Since the publication of a Notice of Emergency Adoption in the State Register on June 26, 2024, a non-substantial revision was made to the proposed regulation as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The above non-substantial revision does not require any changes to the previously published Rural Area Flexibility Analysis.

Revised Job Impact Statement

Since publication of a Notice of Emergency Adoption in the State Register on June 26, 2024, a non-substantial revision was made to the proposed regulation as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The non-substantial revisions do not require any changes to the previously published Statement in Lieu of Job Impact Statement since the non-substantial revisions will not have a substantial adverse impact on jobs and employment opportunities. Because it is evident from the nature of the proposed rule that it will not affect job and employment opportunities, no affirmative steps were needed to ascertain that fact, and none were taken. Accordingly, a job impact statement is not required, and one was not prepared.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2027, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

1. COMMENT: An association for psychiatrists commented that, since the proposed rule defines the terms "injectable medications" and "certified pharmacy interns," it should also define the term "licensed prescriber."

DEPARTMENT RESPONSE: The term "licensed prescriber" is generally understood by health care providers and in health care settings to mean a health care provider licensed to prescribe medication in New York. Similarly, Public Health Law § 4408(8)(vii) defines a "prescriber" as a health care provider licensed to prescribe medication or medical devices in this State. Therefore, no changes to the proposed rule are necessary. However, the Department will consider issuing guidance regarding this term.

2. COMMENT: An association for psychiatrists commented that pharmacists who refuse to administer a long-acting injectable medication to a patient due to potential adverse effects, interactions, or other therapeutic complications should be required to notify the prescriber contemporaneously or within 24 hours of such refusal.

DEPARTMENT RESPONSE: Chapter 802 of the Laws of 2022, as amended by Chapter 746 of the Laws of 2023, does not specify a timeframe in which pharmacists are required to notify a prescriber when a patient misses a dose. Thus, the proposed rule conforms with the statute. However, to provide the field with additional guidance regarding long-acting injectable medication administration, the Department has posted information on its pharmacy profession's frequently asked questions (FAQs) website advising that it is a best practice for pharmacists to notify the patient's prescriber as soon as possible when a dose is missed but no later than 72 hours after the medication was scheduled to be administered. See: https://www.op.nysed.gov/professions/pharmacist/frequently-asked-questions/Long-Acting-Injectable-Medication-Administration. Therefore, no changes to the proposed rule are necessary.

NOTICE OF ADOPTION

School Safety Plans

I.D. No. EDU-18-24-00022-A

Filing No. 612

Filing Date: 2024-07-16

Effective Date: 2024-07-31

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 155.17 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305 and 2801-a

Subject: School safety plans.

Purpose: To revise requirements related to emergency procedures.

Text of final rule: 1. Subdivision (a) of section 155.17 of the Regulations of the Commissioner of Education is amended to read as follows:

(a) Development of school safety plans. [Every] *By September first of each school year, every* board of education of a school district, every board of cooperative educational services and county vocational education and extension board, and the chancellor of the City School District of the City of New York shall adopt [by July 1, 2001, and shall update by July 1st for the 2002-2003 through the 2015-2016 school years and shall update and adopt by September 1st for the 2016-2017 school year and each subsequent September 1st thereafter,] a comprehensive district-wide school safety plan and building-level emergency response plans regarding crisis intervention and emergency response and management, and commencing with the 2023-2024 school year district-wide school safety plans shall include plans for the provision of remote instruction during any emergency school closure, provided that in the City School District of the City of New York, such plans shall be adopted by the chancellor of the city school district. Such plans shall be developed by a district-wide school safety team and a building-level emergency response team, as such terms are defined in subdivision (b) of this section and shall be in a form developed by the commissioner in consultation with the Division of Criminal Justice Services, the superintendent of the State Police and any other appropriate State agencies. Each district-wide school safety plan and building-level emergency response plan shall be reviewed by the appropriate school safety team on at least an annual basis and updated as needed.

2. Paragraphs (3), (12), (14), (15), (16), (17), and (18) of subdivision (b) of section 155.17 of the Regulations of the Commissioner of Education are amended to read as follows:

(3) Disaster means the occurrence or imminent threat of widespread or severe damage, illness, injury, or loss of life or property resulting from any [natural or manmade causes] *incident*, such as fire, flood, earthquake, hurricane, tornado, high water, landslide, mudslide, windstorm, wave action, epidemic, air contamination, drought, explosion, water contamination, chemical accident, communicable disease outbreak, war or civil disturbance.

(12) Building-level emergency response *planning* team means a building-specific team appointed by the building principal, in accordance with regulations or guidelines prescribed by the board of education, the chancellor in the case of New York City, or other governing body. The building-level emergency response planning team is responsible for the designation of the emergency response team and the development of the building-level emergency response plan and its required components. The building-level emergency response team shall include, but not be limited to, representatives of teacher, administrator, and parent organizations, school safety personnel, other school personnel *including bus drivers and monitors*, community members, local law enforcement officials, local ambulance, fire officials or other emergency response agencies, and any other representatives the school board, chancellor or other governing body deems appropriate.

(14) District-wide school safety team means a district-wide team appointed by the board of education, the chancellor in the case of New York City, or another governing board. The district-wide team shall include, but not be limited to, representatives of the school board, teacher, administrator, parent organizations, school safety personnel, and other school personnel *including bus drivers and monitors*. At the discretion of the board of education, or the chancellor in the case of the City of New York, a student may be allowed to participate on the safety team, provided however, that no portion of a confidential building-level emergency response plan shall be shared with such student nor shall such student be present when details of a confidential building-level emergency response plan or confidential portions of a district-wide emergency response strategy are discussed.

(15) Emergency response team means a building-specific team designated by the building-level emergency response *planning* team that is comprised of school personnel, law enforcement officials, fire officials, and representatives from local, regional, and/or State emergency response agencies and assists the school community in responding to a violent incident or emergency. In a school district in a city having a population of more than one million inhabitants, such emergency response team may be created on the district level with building-level participation, and such district shall not be required to establish a unique team for each of its schools.

(16) Post-incident response team means a building-specific team designated by the building-level emergency response *planning* team that includes appropriate school personnel, medical personnel, *school health professionals as defined in section 902 of the Education Law,* mental health counselors, and others who can assist the school community in coping with the aftermath of a violent incident or emergency. In a school district in a city having a population of more than one million inhabitants, such a post-incident response team may be created on the district level with building-level participation, and such district shall not be required to establish a unique team for each of its schools.

(17) School safety plan means a district-wide school safety plan or a building-level [school safety] *emergency response* plan.

(18) Serious violent incident means an incident of violent criminal conduct that is or appears to be, life-threatening and warrants the [evacuation of] *immediate response by* students and/or staff because of an imminent threat to their safety or health, including, but not limited to riot, hostage-taking kidnapping and/or the use or threatened use of a firearm, explosive, bomb, incendiary device, chemical or biological weapon, knife or other dangerous instrument capable of causing death or serious injury.

3. Subdivision (b) of section 155.17 of the Regulations of the Commissioner of Education is amended by adding three new paragraphs (20), (21) and (22) to read as follows:

(20) Trauma means an emotional response to a deeply distressing or disturbing experience such as, but not limited to, an act of violence, natural disaster, abuse, neglect, or loss.

(21) Trauma-informed means an understanding of trauma and how it affects the physical, emotional, and mental health of students and adults.

(22) Trauma-informed drills mean avoiding tactics in training or drills that may introduce or activate trauma, such as the use of props, actors, simulations, or other tactics intended to mimic a school shooting, incident of violence, or other emergency, or inclusion of developmentally or age-inappropriate content. Drills may inadvertently prompt a negative emotional or psychological response in staff or students because of previous exposure(s) to trauma.

4. Subdivision (c) of section 155.17 of the Regulations of the Commissioner of Education is amended to read as follows:

(c) District-wide school safety plans and building-level emergency response plans. District-wide school safety plans and building-level emergency response plans shall be designed to prevent or minimize the effects of violent incidents, declared state disaster emergency involving a communicable disease or local public health emergency declaration and other emergencies and to facilitate the coordination of schools and school districts with local and county resources in the event of such incidents or emergencies.

(1) District-wide school safety plans. A district-wide school safety plan shall be developed by the district-wide school safety team and shall include, but not be limited to:

(i) policies and procedures for responding to implied or direct threats of violence by students, teachers, other school personnel *including bus drivers and monitors*, and visitors to the school, including threats by students against themselves, which for this subdivision shall include suicide;

(ii) policies and procedures for responding to acts of violence by students, teachers, other school personnel, bus drivers and monitors, and visitors to the school, including consideration of zero-tolerance policies for school violence; …

(iii) appropriate prevention and intervention strategies, [such as] *which may include*:

(a) collaborative arrangements with State and local law enforcement officials, designed to ensure that school safety officers and other security personnel are adequately trained, including being trained to de-escalate potentially violent situations, and are effectively and fairly recruited;

(b) *where applicable, the establishment and/or participation of, school or district staff in a multi-disciplinary behavioral assessment team to assess whether certain exhibited behaviors or actions need intervention or other support, including a school or district-level behavioral assessment team or, if available, a county or regional threat assessment team. Where such teams are utilized, the district-wide school safety plan shall describe the school, district, or county team and its purpose, and annual staff training on safety and emergency procedures shall include information regarding the purpose and procedures of such team.*

(c) nonviolent conflict resolution training programs;

[(c)] *(d)* peer mediation programs and youth courts; and

[(d)] *(e)* extended day and other school safety programs;

(iv) …

(v) …

(vi) …

(vii) …

(viii) …

(ix) …

(x) …

(xi) …:

(xii) policies and procedures for the dissemination of informative materials regarding the early detection of potentially violent behaviors, including but not limited to the identification of family, community, and environmental factors to teachers, administrators, *school personnel including bus drivers and monitors,* parents [and] *or* other persons in parental relation to students of the school district or board, students and other persons deemed appropriate to receive such information;

(xiii) …

(xiv) procedures for review and the conduct of drills, [and other

exercises to test components of the emergency response plan, including the use of] tabletop exercises, *and information about emergency procedures and drills, including information about procedures and timeframes for notification of parents or persons in parental relation regarding drills and other emergency response training(s) that include students. At their discretion, schools and districts may participate in full-scale exercises* in coordination with local and county emergency responders and preparedness officials[;]. *Such procedures shall ensure that:*

(1) Drills conducted during the school day with students present shall be conducted in a trauma-informed, developmentally, and age-appropriate manner and shall not include props, actors, simulations, or other tactics intended to mimic a school shooting or other act of violence or emergency.

(2) At the time that drills are conducted, students and staff shall be informed that the activities being conducted are a drill. Provided, however, that students and staff shall not be informed in advance of evacuation drills.

(3) Tabletop exercises may be utilized by school and district safety teams as a training resource and may include a discussion-based activity for staff in an informal classroom or meeting-type setting to discuss their roles during an emergency and their responses to a sample emergency situation.

(4) Schools and districts that opt to participate in full-scale exercises in conjunction with local and county emergency responders and preparedness officials that include props, actors, simulations, or other tactics intended to mimic a school shooting or other act of violence or emergency shall not conduct such exercises on a regular school day or when school activities such as athletics are occurring on school grounds. Such exercises may not include students without written consent from parents or persons in parental relations.

(xv) …

(xvi) strategies for improving communication among students, [and] between students and staff and *between administration and parents or persons in parental relation regarding* reporting of potentially violent incidents, such as the establishment of youth-run programs, peer mediation, conflict resolution, creating a forum or designating a mentor for students concerned with bullying or violence and establishing anonymous reporting mechanisms for school violence;

(xvii) …

(xviii) …

(xix) the designation of the superintendent, or superintendent's designee, as the district chief emergency officer whose duties shall include, but not be limited to:

(a) …

(b) …

(c) …

(d) …

(e) …

(f) coordinate appropriate safety, security, and emergency training for district and school staff, including required training in the *district-wide school safety plan and building-level* emergency response [plan] *plan(s)*;

(g) ensure the conduct of required evacuation and lock-down drills *in a trauma-informed, developmentally, and age-appropriate manner that does not include props, actors, simulations, or other tactics intended to mimic a school shooting or other act of violence or emergency* in all district buildings as required by *section 807 of the* Education Law [section 807]; and

(h) …

(xx) …

(xxi) …

(2) Building-level emergency response plan. A building-level emergency response plan shall be developed by the building-level emergency response planning team, shall be kept confidential, including but not limited to the floor plans, blueprints, schematics, or other maps of the immediate surrounding area, and shall not be disclosed except to authorized department or school staff, and law enforcement officers, and shall include the following elements:

(i) …

(ii) …

(iii) floor plans, blueprints, schematics, or other maps of the school interior, school grounds, and road maps of the immediate surrounding area *that are clearly labeled and readily understandable to first responders navigating the building and grounds. At a minimum;*

(a) floor plans shall include the school name and address; a key to define any symbols used; a compass indicating North as well as labels indicating building entrances/exits with alphabetic or numeric identifiers assigned (e.g. "Door A" or "Entrance 2"); windows, interior doors, room numbers, common areas and administrative offices labeled by use; location of water, gas and electrical shutoffs; location of fire alarm panels, fire sprinkler control valves, and if applicable fire department key boxes; and location of emergency and security equipment; and

(b) area maps shall include the school name and address; a key to define any symbols used; a compass indicating North as well as labeled buildings, outbuildings, fields, parking lots, building entrances/exits with alphabetic or numeric identifiers assigned (e.g. "Door A" or "Entrance 2"); parking area and property entrances/exits; designated fire lanes and fire apparatus access roads, evacuation routes; street names; and emergency response areas.

(iv)…

(v) …

(vi) …

(vii) procedures for an annual review of the building-level emergency response plan and the conduct of drills and other exercises to test components of the building-level emergency response plan, including the use of tabletop exercises, in coordination with local, county, and state *law enforcement and* emergency responders and preparedness officials. *The purpose of the drills is to practice staff and student actions during an emergency. Except for evacuation drills, at the time that drills are conducted, students and staff shall be informed it is a drill. All drills shall:*

(a) be conducted in a trauma-informed, developmentally, and age-appropriate manner and shall not include props, actors, simulations, or other tactics intended to mimic a school shooting, incident of violence, or other emergency;

(b) occur after annual training in emergency procedures has been provided to students and staff;

(c) be completed on different dates, days of the week, and during different times of the school day; and

(d) parents or persons in parental relations shall be given advance notice of each drill being conducted within one week preceding any such drill.

(viii) …

(ix) …

(3)

(i) …

(ii) Each board of education, chancellor, or other governing body or officer shall ensure that each building-level emergency response plan and any amendments thereto, is submitted to the appropriate local law enforcement agency and the State Police within 30 days of its adoption, but no later than October [15th for the 2016-2017, 2017-2018,2018-2019 and 2019-2020 school years and] *1* for the 2020-2021 school year [and thereafter, such building-level emergency response plans must be submitted no later than October 1, 2020,] and each [subsequent] October 1st thereafter. Building-level emergency response plans shall be confidential and shall not be subject to disclosure under Article Six of the Public Officers Law or any other provision of law.

5. Subdivisions (f) and (h) of section 155.17 of the Regulations of the Commissioner of Education are amended to read as follows:

(f) Reporting.

(1) [Each superintendent] *Whenever the building-level emergency response plan is activated and results in the closing of a school building, the superintendent or their designee* shall notify the commissioner as soon as possible [whenever the emergency plan or building-level school safety plan is activated and results in the closing of a school building in the district,] and shall provide such information as the commissioner may require in a manner prescribed by the commissioner. In addition, school districts within a supervisory district [may] *shall* provide [such] *timely* notification [through] *to* the BOCES district superintendent [, who shall be responsible for notifying the commissioner]. Such information need not be provided for routine snow emergency days. [Provided, however, that for the 2020-21 and 2021-22 school years, districts shall provide such notification for snow emergency days, including those days converted to remote instruction under the 2020-21 and 2021-22 snow day pilot in accordance with the provisions of this subdivision.]

(2) Beginning in the 2022-2023 school year, each chief executive officer shall report to the Commissioner, no later than June 30 of each school year, on a form and format prescribed by the Commissioner, the results of the survey on student access to computing devices and access to internet connectivity required pursuant to subparagraph (xxi) of paragraph (1) of subdivision (c) of this section.

(h) [Fire and] *Evacuation, Lockdown, and* Emergency *Dismissal* Drills. *(1)* Each school district and board of cooperative educational services shall *practice emergency response procedures under its district-wide school safety plan and each of its building-level emergency response plans,*[at least once every school year, and] where possible in cooperation with local [county] *law enforcement,* emergency preparedness plan officials, [conduct one test of its emergency response procedures under each of its building-level emergency response plans, including sheltering, lock-down, or early dismissal, at a time not to occur more than 15 minutes earlier than the normal dismissal time.] *and other first responders as follows:*

(i) Evacuation drills shall be conducted with students at least eight times in each school year, six of which shall be held between September 1 and December 31 of each such year. Four drills shall be through the use of the fire escapes on buildings where fire escapes are provided or through the use of identified secondary means of egress, such as through different corridors, hallways, stairways, and exit doors. Drills shall be conducted at different times of the school day. Students shall be instructed in the procedure to be followed if a fire occurs during the lunch period or assembly, provided, however, that such additional instruction may be waived where a drill is held during the regular school lunch period or assembly. Four additional drills shall be held in each school year during the hours after sunset and before sunrise in school buildings in which students are provided with sleeping accommodations. At least two additional drills shall be held during summer school in buildings where summer school is conducted, and one such drill shall be held during the first week of summer school.

(ii) Lockdown drills shall be conducted with students at least four times in each school year, two of which shall be held between September 1 and December 31 of each such year. Lockdown drills shall be conducted at different times of the school day. Pupils shall be instructed in the procedure to be followed if an emergency occurs during a lunch period or assembly, provided however, that such additional instruction may be waived where a drill is held during the regular school lunch period or assembly.

(iii) One Emergency Dismissal drill shall be conducted to test emergency response procedures that require early dismissal, at a time not to occur more than 15 minutes earlier than the normal dismissal time.

[(1)] *(2)* Parents or persons in parental relations shall be notified at least one week prior to the *Emergency Dismissal* drill.

[(2)] *(3) Emergency Dismissal* drills shall test the usefulness of the communications and transportation system during emergencies.

[(3)] *(4)* The provisions of section 175.5(a) of this Title regarding the length of school day for State aid purposes shall not apply to school days in which less than the minimum number of hours is conducted because of an early dismissal *drill* pursuant to this subdivision.

Final rule as compared with last published rule: Nonsubstantial changes were made in section 155.17(c)(2) and (h)(2).

Text of rule and any required statements and analyses may be obtained from: Kirti Goswami, Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Revised Regulatory Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on May 1, 2024, the following non-substantial revisions were made to the proposed rule:

- for evacuation drills, students and staff do not need to be informed that the activities being conducted are a drill. This is consistent with the requirements in the New York State Fire Code;
- information that must be included in the district-wide school safety plan about a multi-disciplinary behavioral assessment team, behavioral assessment team, or a county or regional threat assessment team is only required if district staff are participating in such a team. It does not require that a district or school adopt such a team;
- drills must be conducted on different dates as well as days of the week, and times of day;
- notice to parents and those in parental relations regarding drills must be made within one week before each drill; and
- the term "school health personnel" is replaced with the term "school health professionals" as defined in Education Law § 902.

The above changes require that the NEEDS AND BENEFITS and PAPERWORK sections of the previously published Regulatory Impact Statement be revised to read as follows:

3. NEEDS AND BENEFITS:

Tragically, the occurrence of violence in schools continues to be a significant concern for parents, students, educators, and the public as well as first responders and other emergency personnel. The safety of New York's schools is of paramount importance to the Board of Regents, the Department and our State's elected officials. To inform policy decisions related to school safety, in 1999 the Board of Regents created the New York State Safe Schools Task Force (Task Force) following the horrific shooting and loss of 13 lives at Columbine High School. In January 2013, the Board reconvened the Task Force following the horrendous school shooting at Sandy Hook Elementary School that resulted in the death of 20 students and 6 educators in Newtown, CT in December 2012. The focus of the Task Force is to make recommendations to the Department and Board of Regents related to school safety.

Additionally, in 2013, the NYS SAFE Act amended the Education Law to require the creation of a School Safety Improvement Team (SSIT), comprised of representatives from the following four agencies and the Governor's Office: New York State Education Department, New York State Police, New York State Division of Homeland Security & Emergency Services and the New York State Division of Criminal Justice Services. The SSIT is tasked with making recommendations regarding issues related to school safety.

It remains essential to have a plan in place for how the school and community will respond in an emergency. Education Law § 2801-a and Commissioner's regulation § 155.17 require that each public school,[1] board of cooperative educational services (BOCES), and county vocational education and extension board (VEEB) develop, and annually update, a confidential building-level Emergency Response Plan (ERP) that details how school personnel and students would respond to different types of emergency situations that may occur in their school. In addition, each public school, BOCES, and VEEB must also develop, and annually update, a district-wide school safety plan that provides critical information to parents, educators, and the school community about the policies and procedures that are in place related to school safety. Students and staff must receive annual training on the emergency response procedures detailed in the plans.

In addition, Education Law § 807 requires that schools conduct at least eight evacuation and four lockdown drills each school year so that students and staff may practice the emergency procedures in the building-level ERP. The development of school and district plans, providing training to staff and students on the emergency procedures, and practicing them are critical components of emergency preparedness and school safety.

In recent years, concerns have been raised nationwide about the unintended trauma or harm to students, staff, and/or families that may result from drills that are not clearly communicated as being practice rather than an actual emergency. This is pronounced when a drill is conducted that includes elements to simulate a possible emergency.

Proposed Amendment

In June 2023 and December 2023, members of the Safe Schools Task Force and the School Safety Improvement Team recommended changes to the Commissioner's regulations. Therefore, consistent with such recommendations, the Department proposes the following amendments to section 155.17 of the regulations.

Definitions:

- The proposed rule defines the terms "trauma," "trauma-informed," and "trauma-informed drills."

District-wide School Safety Plans. The proposed rule amends the district-wide schools safety plan provisions of section 155.17 to:

- Explicitly reference bus drivers and monitors for the purpose of inclusion in the district-wide school safety team and certain policies and procedures in the district-wide plan.
- Where applicable, require that district-wide school safety plans include information regarding school or district level behavioral assessment team or county or regional threat assessment team.
- Notice to parents and those in parental relations regarding drills must be made within one week before each drill.
- Require that: (1) drills and training be conducted in a trauma-informed, developmentally and age-appropriate manner; (2) drills and training not include props, actors, simulations, or other tactics intended to mimic a school shooting, incident of violence, or other emergency; and (3) students and staff be informed when a school is conducting a drill.
- Provide requirements for schools and districts that opt to participate in full-scale exercises in conjunction with local and county emergency responders and preparedness officials. Exercises that include props, actors, or simulations or other tactics intended to mimic a school shooting or other act of violence or emergency may not be conducted on a regular school day and when school activities such as athletics are occurring on school grounds. In addition, such exercises cannot include students without written consent from parents or persons in parental relation.
- Identifying the district's policy for communicating potentially violent incidents to parents.

Building-level Emergency Response Plans. The proposed rule amends the building-level emergency response plan provisions of section 155.17 to:

- Require that floor plans and area maps that are submitted as part of every school's annual building-level emergency response plan submission to state and local law enforcement include the identification of certain critical information that would be needed by emergency responders in an emergency.
- Require that drills be: (1) conducted in a trauma-informed, developmentally and age-appropriate manner; not include props, actors, simulations, or other tactics intended to mimic a school shooting, incident of violence, or other emergency; and that students and staff be informed when a school is conducting a drill; (2) occur after annual training in emergency procedures has been provided to students and staff; and (3) be completed on different dates, days of the week, and during different times of the school day.

Reporting:

• The proposed regulation clarifies that in school districts with a supervisory district, the superintendent must provide timely notification to the BOCES district superintendent.

Evacuation and Lockdown Drills:

• The proposed regulation sets forth evacuation and lockdown drill requirements as provided in Education Law § 807 and requires that one emergency dismissal drill be conducted to test emergency response procedures that require early dismissal.

The amendments would take effect beginning with the 2024-25 school year.

6. PAPERWORK:

The proposed amendment requires updates to district-wide school safety plans and building-level emergency response plans as more fully set forth in the "NEEDS AND BENEFITS" section above. The updates to the district-wide school safety plan provisions include requiring: (1) schools to notify parents and persons in parental relation within one week before each drill; and (2) written consent from parents or persons in parental relation in order for students to participate in full-scale exercises in conjunction with local and county emergency responders and preparedness officials that include props, actors, or simulations or other tactics intended to mimic a school shooting or other act of violence or emergency.

The proposed amendment also requires that floor plans and area maps that are submitted as part of every school's annual building-level emergency response plan submission to state and local law enforcement include the identification of certain critical information that would be needed by emergency responders in an emergency.

Additionally, regarding reporting, the proposed amendment clarifies that in school districts with a supervisory district, the superintendent must provide timely notification to the BOCES district superintendent.

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[1] While Education Law § 2801-a and section 155.17 of the Commissioner's regulations do not explicitly reference charter schools, charter schools are public schools and are subject to these provisions as charter schools must meet the same safety requirements applicable to other public schools pursuant to Education Law § 2854(1)(b).

Revised Regulatory Flexibility Analysis

Since publication of a Notice of Proposed Rule Making in the State Register on May 1, 2024, non-substantial revisions were made to the proposed rule as set forth in the Revised Regulatory Impact Statement submitted herewith.

The above changes require that the COMPLIANCE REQUIREMENTS section of the previously published Regulatory Flexibility Analysis be revised to read as follows:

2. COMPLIANCE REQUIREMENTS:

In June 2023 and December 2023, members of the Safe Schools Task Force and the School Safety Improvement Team recommended changes to the Commissioner's regulations. Therefore, consistent with such recommendations, the Department proposes the following amendments to section 155.17 of the regulations.

Proposed Amendment

In June 2023 and December 2023, members of the Safe Schools Task Force and the School Safety Improvement Team recommended changes to the Commissioner's regulations. Therefore, consistent with such recommendations, the Department proposes the following amendments to section 155.17 of the regulations.

Definitions:

• The proposed rule defines the terms "trauma," "trauma-informed," and "trauma-informed drills."

District-wide School Safety Plans. The proposed rule amends the district-wide schools safety plan provisions of section 155.17 to:

• Explicitly reference bus drivers and monitors for the purpose of inclusion in the district-wide school safety team and certain policies and procedures in the district-wide plan.

• Where applicable, require that district-wide school safety plans include information regarding school or district level behavioral assessment team or county or regional threat assessment team.

• Notice to parents and those in parental relations regarding drills must be made within one week before each drill.

• Require that: (1) drills and training be conducted in a trauma-informed, developmentally and age-appropriate manner; (2) drills and training not include props, actors, simulations, or other tactics intended to mimic a school shooting, incident of violence, or other emergency; and (3) students and staff be informed when a school is conducting a drill.

• Provide requirements for schools and districts that opt to participate in full-scale exercises in conjunction with local and county emergency responders and preparedness officials. Exercises that include props, actors, or simulations or other tactics intended to mimic a school shooting or other act of violence or emergency may not be conducted on a regular school day and when school activities such as athletics are occurring on school grounds. In addition, such exercises cannot include students without written consent from parents or persons in parental relation.

• Identifying the district's policy for communicating potentially violent incidents to parents.

Building-level Emergency Response Plans. The proposed rule amends the building-level emergency response plan provisions of section 155.17 to:

• Require that floor plans and area maps that are submitted as part of every school's annual building-level emergency response plan submission to state and local law enforcement include the identification of certain critical information that would be needed by emergency responders in an emergency.

• Require that drills be: (1) conducted in a trauma-informed, developmentally and age-appropriate manner; not include props, actors, simulations, or other tactics intended to mimic a school shooting, incident of violence, or other emergency; and that students and staff be informed when a school is conducting a drill; (2) occur after annual training in emergency procedures has been provided to students and staff; and (3) be completed on different dates, days of the week, and during different times of the school day.

Reporting:

• The proposed regulation clarifies that in school districts with a supervisory district, the superintendent must provide timely notification to the BOCES district superintendent.

Evacuation and Lockdown Drills:

• The proposed regulation sets forth evacuation and lockdown drill requirements as provided in Education Law § 807 and requires that one emergency dismissal drill be conducted to test emergency response procedures that require early dismissal.

The amendments would take effect beginning with the 2024-25 school year.

Revised Rural Area Flexibility Analysis

Since publication of a Notice of Proposed Rule Making in the State Register on May 1, 2024, the proposed rule was revised as set forth in the Revised Regulatory Impact Statement submitted herewith.

Such revisions to the proposed rule do not require any revisions to the previously published Rural Area Flexibility Analysis.

Revised Job Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on May 1, 2024, the proposed rule was revised as set forth in the Revised Regulatory Impact Statement submitted herewith.

The aforementioned revisions do not require any changes to the previously published Statement in Lieu of Job Impact Statement since the revised proposed rule will not have a substantial impact on jobs and employment opportunities. Because it is evident from the nature of the revised proposed rule that it will not affect job and employment opportunities, no affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required pursuant to section 201-a(2)(a) of the State Administrative Procedure Act, and one has not been prepared.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2027, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

This assessment summarizes the comments received on the proposed rule, published May 1, 2024. Please refer to the full Assessment of Public Comment (APC) for the Department's complete assessment of public comment at: http://www.counsel.nysed.gov/rules/full-text-indices.

1. COMMENT:

Several commenters noted it would be preferable if the proposed change to the "Building Level Emergency Response Planning Team" removed the term "Response" from the name and changed the term to "Building Level Emergency Planning Team" rather than "Building Level Emergency Response Planning Team."

DEPARTMENT RESPONSE:

Since the term "Response" is in statute, the Department has maintained this term in the name of the team. No change is necessary.

2. COMMENT:

Several commenters expressed support for the new requirement that drills be trauma-informed but questioned the required parameters for notification regarding drills, expressing concern that drill efficacy may be compromised by providing specific details about when a drill is scheduled to occur. Several commenters asked for clarification regarding timelines for notification to parents and persons in parental relations regarding drills and other emergency response training that includes students.

DEPARTMENT RESPONSE:

The Department has made a non-substantial revision to the proposed rule language to require that parents or persons in parental relations receive

advance notice of each drill being conducted the week preceding any such drill.

3. COMMENT:

A commenter expressed concern about the requirement to include bus drivers and monitors as part of the district-wide school safety team because of staff shortages and limited availability of staff.

DEPARTMENT RESPONSE:

Education Law § 2801-a was amended to explicitly include bus drivers and monitors as required members of the district-wide school safety team. No change is necessary.

4. COMMENT:

A commenter argued that scenario-based active-shooter response training that includes props is not traumatizing.

DEPARTMENT RESPONSE:

Emerging research has demonstrated that "active shooter" trainings increase anxiety and stress among students, teachers, and parents. No revision is necessary.

5. COMMENT:

Several commenters asked for clarification about what staff are included in school health personnel and medical personnel.

DEPARTMENT RESPONSE:

The Department has made a non-substantial revision to the proposed rule to replace the term "school health personnel" with "school health professional" as defined in Education Law § 902.

6. COMMENT:

Several commenters asked whether a Behavioral Assessment Team is required, and, if such a team exists, whether training must be provided to staff annually.

DEPARTMENT RESPONSE:

The proposed rule does not require that a district create or utilize a Behavioral Assessment Team. However, if a Behavioral Assessment Team exists, the proposed rule requires that the district include information about the team in the district-wide plan and provide annual training to staff regarding the purpose and procedures of such a team. The Department made a non-substantial revision to the proposed rule to clarify this intent.

7. COMMENT:

Several Commenters asked for clarification regarding record retention requirements of notifications to parents.

DEPARTMENT RESPONSE:

Per the Records Retention Schedule for School Districts and BOCES, the District-Wide School Safety Plan and Building-Level Emergency Response Plans are to be retained permanently, and records related to plan development, adoption, and related records, including records of school safety teams, must be retained a minimum of 6 years.

8. COMMENT:

Several commenters requested additional information on trauma-informed drills and clarification of which drills must be trauma-informed.

DEPARTMENT RESPONSE:

The proposed amendment requires that all drills, even those that are not required by regulation, be trauma-informed. No change is necessary.

9. COMMENT:

Several comments were received regarding NYS Fire Code Requirement § 403.5.2, which requires that drills be conducted at different times of day to "avoid distinction between drills and actual fires." Commenters questioned if the portion of the proposed amendment regarding the announcement of evacuation drills in advance was contrary to the Fire Code requirement. In addition, commenters asked if a fire alarm is considered a "prop" and one commenter generally expressed concern about the announcement requirement.

DEPARTMENT RESPONSE:

Consistent with the New York State Fire Code, the Department has made a non-substantial revision to the proposed amendment to clarify that evacuation drills need not be announced in advance. The New York State Fire Code requires that the fire alarm be used in an evacuation drill, and it is not considered a prop.

10. COMMENT:

A number of commenters asked if a reunification drill is considered a full-scale exercise.

DEPARTMENT RESPONSE:

Determining if an event that includes practicing emergency procedures and/or protocols is a drill, or a functional exercise is a fact-specific determination. No change is necessary.

11. COMMENT:

Several commenters submitted concerns regarding the proposed requirements for additional content on area maps and floor plans that are required components of the building-level emergency response plan, and the time necessary to implement these changes.

DEPARTMENT RESPONSE:

The Department has developed and posted a resource to assist schools in updating their floor plans and area maps with the information that law enforcement and other first responders have indicated is essential in responding to a variety of emergency situations. The time and effort required to implement this requirement is worth spending given the importance of accurate and complete information about the building and grounds in an emergency. Thus, no revision is necessary.

12. COMMENT:

Several commenters expressed concern about differences between the required electronic format for submitting building-level emergency response plans that were adopted by the School Safety Improvement Team and in use since the 2017-18 school year that provides access to plans by law enforcement statewide and an earlier "stand-alone" template that was distributed by the School Safety Improvement Team.

DEPARTMENT RESPONSE:

The submitted comments are outside the scope of the proposed rule. Therefore, no revisions are necessary.

13. COMMENT:

Several commenters requested recommendations for emergency response procedures for transportation settings.

DEPARTMENT RESPONSE:

The submitted comments are outside the scope of the proposed rule. Therefore, no revisions are necessary.

14. COMMENT:

Commenters had concerns about the effective date of the proposed regulations (July 31, 2024) if permanently adopted.

RESPONSE:

While the effective date of the proposed amendments is July 31, 2024, in order to be in effect for the 2024-25 school year, the Department has taken steps to provide advance notification to schools and districts by distributing the proposed amendments to districts and schools in April and June 2024 and recommending that the changes be incorporated in plans as district and school safety teams are making annual plan revisions and updates in anticipation of permanent adoption.

15. COMMENT:

A number of comments were received from the same school district regarding the proposed requirement that all drills be trauma-informed and not include props, actors, simulations, or other tactics intended to mimic a school shooting or other act of violence or emergency. These commenters argue that the active shooter training provided to employees and students in grades 9-12 is essential to preparedness and request that training methods be left to local discretion.

DEPARTMENT RESPONSE:

See the Department's response to comment number 4 regarding the flexibility offered to local districts and schools.

16. COMMENT:

One commenter argued that additional requirements related to school and district safety plans and procedures should be implemented.

DEPARTMENT RESPONSE:

Most of the recommendations are outside the scope of the Department's authority or the proposed rule. The comment regarding maps is addressed in the Department's response to comment 11.

17. COMMENT:

Two commenters expressed support for the proposed amendment but stated that the amendment may be improved by adding additional requirements, including a requirement that school staff conduct an annual walk-through of the school building to ensure the accuracy of required maps and floor plans, and that required maps and floor plans be made available in an electronic format for law enforcement and other first responders.

DEPARTMENT RESPONSE:

While the proposed regulation does not explicitly require an annual walkthrough of the school building to ensure maps and floorplans are up-to-date, Education Law § 2801-a and the existing regulation do specifically require that the building-level emergency response plan be updated and submitted to state and local police annually. The annual electronic submission of building-level emergency response plans (ERPs) to state police requires that schools upload floor plans and area maps. State Police upload the electronic school ERPs including area maps and floor plans to New York's Integrated Justice Portal, eJusticeNY, a web-based platform that is accessible to all NY law enforcement agencies. Because existing regulation requires plans to be updated annually and the plans, maps, and floor plans to be submitted electronically, no revision is necessary.

18. COMMENT:

One commenter expressed the opinion that training methods should be a local decision rather than limited by regulation.

DEPARTMENT RESPONSE:

In recent years, concerns have been raised nationwide about the unintended

trauma or harm to students, staff, and/or families that may result from drills that include elements that simulate emergency conditions or from drills that are not communicated as being practiced rather than an actual emergency. See also the Department's response to comment number 4

regarding the flexibility offered to local districts and schools. No revision is necessary.

19. COMMENT:

Commenters expressed support for the proposed amendment but stated that the addition of a requirement that parents and persons in parental relations be expressly authorized to opt their child out from participating in drills is something the Department should consider by creating a workgroup to review the national legislative landscape on lockdown drill reform, including "opt-out" policies in other states, recommendations by the National Association of School Psychologists (NASP), the National Association of School Resource Officers, and Safe and Sound Schools (a non-profit school safety advocacy and resource center founded by parents who lost children in Sandy Hook Elementary School shooting).

DEPARTMENT RESPONSE:

Education Law § 807 requires that "[i]t shall be the duty of the principal or other person in charge of every public or private school or educational institution within the state… to instruct and train the pupils by means of drills, so that they may in a sudden emergency be able to respond appropriately in the shortest possible time and without confusion or panic." As such, the Commissioner lacks the authority to implement the requested change.

20. COMMENT: One commenter questioned differences in the proposed amendments and a communication from the Department to school and district administrators regarding implementation of the new requirements (https://www.nysed.gov/memo/student-support-services/recent-amendments-commissioners-regulations-ss15517) regarding notification to parents and persons in parental relation a minimum of one week prior to a drill. The commenter questioned why this notification was omitted from the proposed rule.

DEPARTMENT RESPONSE:

The Department has made a non-substantial revision to the proposed rule to clarify that notice to parents and those in parental relations regarding drills must be made within one week prior to each drill.

21. COMMENT:

Several commenters requested additional guidance on improving communication with parents and persons in parental relations.

DEPARTMENT RESPONSE:

The Department and the New York State Center for School Safety continue to develop guidance and resources for schools and districts, including parental communication resources. No revisions are necessary.

22. COMMENT:

One commenter referenced a requirement in New York City Chancellor's Regulation A-414 that School Safety Plan Committees conduct an annual meeting for parents and required committee members to include representatives beyond the minimum required by Education Law § 2801-a and Commissioner's Regulation § 155.17. The commenter also recommended alignment with the Commissioner's Regulation and the Chancellor's Regulation.

DEPARTMENT RESPONSE:

Education Law § 2801-a and Commissioner's Regulations set the minimum requirement for school district school safety requirements. School districts, such as New York City Public Schools, have the authority to establish additional requirements beyond the minimum established. No revision is necessary.

New York Gaming Facility Location Board

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Minimum Capital Investment for Additional Gaming Facility

I.D. No. GFB-31-24-00016-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 602.1 of Title 9 NYCRR.

Statutory authority: Racing, Pari-Mutuel Wagering and Breeding Law, sections 1306(5), (9) and 1321-e(1)

Subject: Minimum capital investment for additional gaming facility.

Purpose: To establish a minimum capital investment amount for additional gaming facilities.

Text of proposed rule: § 602.1. Gaming facility minimum capital investment.

(a) Title 2 licensees. For a gaming facility licensed pursuant to Racing, Pari-Mutuel Wagering and Breeding Law article 13, title 2, the [The] minimum capital investment for a gaming facility by zone and region*, as such zone and regions are defined in Racing, Pari-Mutuel Wagering and Breeding Law section 1310,* shall be:

[(a)] *(1)* In Zone Two, Region One (Counties of Columbia, Delaware, Dutchess, Greene, Orange, Sullivan and Ulster)[, as such zone and region are defined in section 1310 of the Racing, Pari-Mutuel Wagering and Breeding Law]:

[(1)] *(i)* $350,000,000 for a gaming facility in Dutchess or Orange Counties;

[(2)] *(ii)* $130,000,000 for a gaming facility in Columbia, Delaware, Greene, Sullivan or Ulster Counties, if no license is awarded for a gaming facility located in Dutchess or Orange Counties; and

[(3)] *(iii)* $100,000,000 for a gaming facility in Columbia, Delaware, Greene, Sullivan or Ulster Counties, if a license is awarded for a gaming facility located in Dutchess or Orange Counties.

[(b)] *(2)* $135,000,000 in Zone Two, Region Two (Counties of Albany, Fulton, Montgomery, Rensselaer, Saratoga, Schenectady, Schoharie and Washington)[, as such zone and region are defined in section 1310 of the Racing, Pari-Mutuel Wagering and Breeding Law].

[(c)] *(3)* In Zone Two, Region Five (Counties of Broome, Chemung (east of State Route 14), Schuyler (east of State Route 14), Seneca, Tioga, Tompkins, and Wayne (east of State Route 14))[, as such zone and region are defined in section 1310 of the Racing, Pari-Mutuel Wagering and Breeding Law, the following fees will apply to counties as designated below]:

[(1)] *(i)* $85,000,000 for a gaming facility in Broome, Chemung, Schuyler, Tioga or Tompkins Counties;

[(2)] *(ii)* $135,000,000 for a gaming facility in Wayne or Seneca Counties; and

[(3)] *(iii)* $70,000,000 for a gaming facility in Broome, Chemung, Schuyler, Tioga or Tompkins Counties, if a license is awarded for a gaming facility located in Wayne or Seneca Counties.

(b) Title 2-A licensees. For a gaming facility licensed pursuant to Racing, Pari-Mutuel Wagering and Breeding Law article 13, title 2-A, the minimum capital investment for a gaming facility shall be $500,000,000.

Text of proposed rule and any required statements and analyses may be obtained from: Kristen Buckley, New York State Gaming Commission, 1 Broadway Center, PO Box 7500, Schenectady, NY 12301, (518) 388-3332, email: sitingrules@nysemail.onmicrosoft.com

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTORY AUTHORITY: Racing, Pari-Mutuel Wagering and Breeding Law ("Racing Law") section 1306(1) and section 1321-b prescribe that the Gaming Facility Location Board, which is established by the Commission, shall issue a request for applications ("RFA") for applicants seeking one of the additional gaming facility licenses to develop and operate a gaming facility in New York State. On January 3, 2023, the Gaming Facility Location Board issued the RFA.

Racing Law sections 1306(5) and 1321-e(1) authorize the Board to determine the minimum capital investment for each unawarded gaming facility license.

Racing Law 1306(9) authorizes the Board to promulgate any rules and regulations that it deems necessary to carry out its responsibilities.

2. LEGISLATIVE OBJECTIVES: This rule making carries out the legislative objectives of the above referenced statutes by implementing the requirements of Racing Law section 1306(5) and section 1321-e(1).

3. NEEDS AND BENEFITS: This rule making is necessary to enable the Gaming Facility Location Board to carry out its statutory duty to establish the minimum capital investment for each unawarded gaming facility license to be issued by the Commission. Some stylistic amendments are also made to the existing rule to mirror Gaming Commission style conventions.

4. COSTS:

(a) Costs to the regulated parties for the implementation of and continuing compliance with the rules: An applicant chosen for gaming facility licensure will be required, by statute, to make at least the minimum capital investment in its gaming facility.

(b) Costs to the regulating agency, the State, and local government: The proposed rules will impose no additional costs on the Board, the State or local governments.

(c) The information, including the source or sources of such information, and methodology upon which the cost analysis is based: The cost estimates are based on the Gaming Commission's experience regulating racing and gaming activities within the State.

5. PAPERWORK: The proposed rules are not expected to impose any

significant paperwork requirements for gaming facility applicants and licensees.

6. LOCAL GOVERNMENT MANDATES: The proposed rules do not impose any mandatory program, service, duty, or responsibility upon local government because the licensing of gaming facilities is strictly a matter of State law.

7. DUPLICATION: The proposed rules do not duplicate, overlap or conflict with any existing State or federal requirements.

8. ALTERNATIVES: The Board is required to create these rules under Racing Law sections 1306(5) and 1321-e(1). The Board considered retaining existing minimum capital investment amounts for Title 2-A applicants in Zone Two (upstate counties) and setting minimum capital investment amounts by region or by county, but determined that setting a uniform minimum amount and allowing applicants to propose higher capital investment amounts as a part of their applications would best serve the competitive structure of the gaming facility application process.

9. FEDERAL STANDARDS: There are no federal standards applicable to the licensing of gaming facilities in New York because such licensing is solely in accordance with New York State law.

10. COMPLIANCE SCHEDULE: The Board anticipates that affected parties will be able to achieve compliance with the proposed rules upon the adoption of the rules.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

The proposed changes do not require a Regulatory Flexibility Analysis, Rural Area Flexibility Analysis or Job Impact Statement. There will be no adverse impact on small businesses, local governments, rural areas or jobs.

The proposed rules prescribe the minimum capital investment for an additional gaming facility license issued by the New York State Gaming Commission. It is not expected that any small business or local government will apply for a gaming facility license.

The proposal imposes no adverse economic impact or reporting, recordkeeping, or other compliance requirements on small businesses in rural or urban areas or on employment opportunities. The rules apply uniformly throughout the State to any applicant seeking a license to develop and operate an additional gaming facility in the State.

The proposal will not adversely impact small businesses, local governments, jobs, or rural areas. It does not require a full Regulatory Flexibility Analysis, Rural Area Flexibility Analysis, or Job Impact Statement.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

License Fee for Additional Gaming Facility

I.D. No. GFB-31-24-00017-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 601.1 of Title 9 NYCRR.

Statutory authority: Racing, Pari-Mutuel Wagering and Breeding Law, sections 1306(4), (9) and 1321-e(3)

Subject: License fee for additional gaming facility.

Purpose: To establish a license fee for additional gaming facilities.

Text of proposed rule: § 601.1. Gaming facility license fees.

(a) The license fee for a gaming facility license issued by the Gaming Commission pursuant to [subdivision 4 of section 1315 of the] Racing, Pari-Mutuel Wagering and Breeding Law *article 13, title 2* shall be as follows, unless a gaming facility licensee has agreed to pay an amount in excess of the fees listed below:

(1) in Zone Two, Region One (Counties of Columbia, Delaware, Dutchess, Greene, Orange, Sullivan and Ulster), as such zone and region are defined in [section 1310 of the] Racing, Pari-Mutuel Wagering and Breeding Law *section 1310*, the following fees will apply to counties as designated below:

(i) $70,000,000 for a gaming facility in Dutchess and Orange Counties;

(ii) $50,000,000 for a gaming facility in Columbia, Delaware, Greene, Sullivan and Ulster Counties, if no license is awarded for a gaming facility located in Dutchess or Orange Counties; and

(iii) $35,000,000 for a gaming facility in Columbia, Delaware, Greene, Sullivan and Ulster Counties, if a license is awarded for a gaming facility located in Dutchess or Orange Counties.

(2) $50,000,000 in Zone Two, Region Two (Counties of Albany, Fulton, Montgomery, Rensselaer, Saratoga, Schenectady, Schoharie and Washington), as such zone and region are defined in [section 1310 of the] Racing, Pari-Mutuel Wagering and Breeding Law *section 1310*;

(3) in Zone Two, Region Five (Counties of Broome, Chemung (east of State Route 14), Schuyler (east of State Route 14), Seneca, Tioga, Tompkins, and Wayne (east of State Route 14)), as such zone and region are defined in [section 1310 of the] Racing, Pari-Mutuel Wagering and Breeding Law *section 1310*, the following fees will apply to counties as designated below:

(i) $35,000,000 for a gaming facility in Broome, Chemung, Schuyler, Tioga or Tompkins Counties;

(ii) $50,000,000 for a gaming facility in Wayne or Seneca Counties; and

(iii) $20,000,000 for a gaming facility in Broome, Chemung, Schuyler, Tioga and Tompkins Counties, if a license is awarded for a gaming facility located in Wayne or Seneca Counties.

(b) The license fee for a gaming facility license issued by the Gaming Commission pursuant to Racing, Pari-Mutuel Wagering and Breeding Law article 13, title 2-A shall be $500,000,000, unless a gaming facility licensee has agreed to pay an amount in excess of that.

[(b)] *(c)* A gaming facility licensee shall pay the required license fee by electronic fund transfer according to directions issued by the Gaming Commission.

Text of proposed rule and any required statements and analyses may be obtained from: Kristen Buckley, New York State Gaming Commission, 1 Broadway Center, PO Box 7500, Schenectady, NY 12301, (518) 388-3332, email: sitingrules@nysemail.onmicrosoft.com

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTORY AUTHORITY: Racing, Pari-Mutuel Wagering and Breeding Law ("Racing Law") section 1306(1) and section 1321-b prescribe that the Gaming Facility Location Board, which is established by the Commission, shall issue a request for applications ("RFA") for applicants seeking one of the additional gaming facility licenses to develop and operate a gaming facility in New York State. On January 3, 2023, the Gaming Facility Location Board issued the RFA.

Racing Law sections 1306(4) and 1321-e(3) authorize the Board to determine a gaming facility license fee to be paid by an applicant.

Racing Law 1306(9) authorizes the Board to promulgate any rules and regulations that it deems necessary to carry out its responsibilities.

2. LEGISLATIVE OBJECTIVES: This rule making carries out the legislative objectives of the above referenced statutes by implementing the requirements of Racing Law section 1306(4) and section 1321-e(3).

3. NEEDS AND BENEFITS: This rule making is necessary to enable the Gaming Facility Location Board to carry out its statutory duty to prescribe the license fee for a gaming facility license issued by the Commission. Some stylistic amendments are also made to the existing rule to mirror Gaming Commission style conventions.

4. COSTS:

(a) Costs to the regulated parties for the implementation of and continuing compliance with the rules: An applicant chosen for gaming facility licensure will be required, by statute, to pay the license fee that is established.

(b) Costs to the regulating agency, the State, and local government: The proposed rules will impose no additional costs on the Board, the State or local governments.

(c) The information, including the source or sources of such information, and methodology upon which the cost analysis is based: The cost estimates are based on the Gaming Commission's experience regulating racing and gaming activities within the State.

5. PAPERWORK: The proposed rules are not expected to impose any significant paperwork requirements for gaming facility applicants and licensees.

6. LOCAL GOVERNMENT MANDATES: The proposed rules do not impose any mandatory program, service, duty, or responsibility upon local government because the licensing of gaming facilities is strictly a matter of State law.

7. DUPLICATION: The proposed rules do not duplicate, overlap or conflict with any existing State or federal requirements.

8. ALTERNATIVES: The Board is required to create these rules under Racing Law sections 1306(4) and 1321-e(3). Racing Law section 1321-e(3) requires a minimum license fee of $500,000,000. The Board considered setting a higher license fee, but determined that setting the fee at the statutory minimum and allowing applicants to propose paying a higher fee as a part of their applications would best serve the competitive structure of the gaming facility application process. The Board also considered setting variable license fees according to the term of the license or the level of capital investment proposed, or setting different license fees according to the location of the gaming facility, but determined that a flat fee for all applicants would best serve the competitive structure of the gaming facility application process.

9. FEDERAL STANDARDS: There are no federal standards applicable to the licensing of gaming facilities in New York because such licensing is solely in accordance with New York State law.

10. COMPLIANCE SCHEDULE: The Board anticipates that affected parties will be able to achieve compliance with the proposed rules upon the adoption of the rules.

Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

The proposed changes do not require a Regulatory Flexibility Analysis, Rural Area Flexibility Analysis or Job Impact Statement. There will be no adverse impact on small businesses, local governments, rural areas or jobs.

The proposed rules prescribe the license fee for an additional gaming facility license issued by the New York State Gaming Commission. It is not expected that any small business or local government will apply for a gaming facility license.

The proposal imposes no adverse economic impact or reporting, recordkeeping, or other compliance requirements on small businesses in rural or urban areas or on employment opportunities. The rules apply uniformly throughout the State to any applicant seeking a license to develop and operate an additional gaming facility in the State.

The proposal will not adversely impact small businesses, local governments, jobs, or rural areas. It does not require a full Regulatory Flexibility Analysis, Rural Area Flexibility Analysis, or Job Impact Statement.

Department of Labor

NOTICE OF ADOPTION

Injury and Illness Reporting and Recordkeeping Requirements

I.D. No. LAB-18-24-00002-A

Filing No. 604

Filing Date: 2024-07-15

Effective Date: 2024-07-31

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Repeal of sections 801.39, 801.41; addition of new sections 801.39 and 801.41 to Title 12 NYCRR.

Statutory authority: Labor Law, section 21(11)

Subject: Injury and Illness Reporting and Recordkeeping Requirements.

Purpose: To adopt updates to OSHA regulations regarding reporting and recordkeeping requirements as required by law.

Text or summary was published in the May 1, 2024 issue of the Register, I.D. No. LAB-18-24-00002-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Elizabeth Robins, Department of Labor, State Office Campus, Building 12, Albany, NY 12240, (518) 485-2191, email:regulations@labor.ny.gov

Assessment of Public Comment

The agency received no public comment.

Office of Mental Health

REVISED RULE MAKING
NO HEARING(S) SCHEDULED

Admission and Discharge Criteria for Psychiatric Inpatient Units of General Hospitals

I.D. No. OMH-04-24-00006-RP

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following revised rule:

Proposed Action: Amendment of Part 580 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 7.07, 7.09, 29.15, 31.04, 43.02; Social Services Law, sections 364 and 364-a

Subject: Admission and Discharge Criteria for Psychiatric Inpatient Units of General Hospitals.

Purpose: To standardize admissions and discharges.

Substance of revised rule (Full text is posted at the following State website: https://omh.ny.gov/omhweb /policy_and_regulations/): Part 580

In addition to technical amendments updating this regulation consistent with Title 14 and eliminating outdated terminology, the Proposed Rule amends Part 580 as follows:

Part 580.1. Background and Intent. Provides that it is the intention of this Part to provide for a person-centered process and that compliance with this Part shall ensure that services are collaborative in nature.

Part 580.2. Legal Base. No substantive edits.

Part 580.3. Definitions. Includes removing outdated references to behavioral health organizations and defines Managed Care (MCO), removes definition for concurrent review and defines utilization review. Provides uniform definition of Collateral, Credentialed Alcoholism and Substance Abuse Counselor (CASAC), creates a new definition of Individual with Complex Needs, Governing Body, Interventional Therapies, defines Intensive care management. Provides definitions for person-centered process, psychiatric advance directive, PSYCKES, shared decision making and SHIN-NY.

Part 580.4. Certification. No substantive edits.

Part 580.5. Organization and administration. Clarifies that policies and procedures must conform with confidentiality. Clarifies policies ensuring patient rights. Clarifies education programming requirements for school age children.

Part 580.6. Program.

-Clarifies that services shall be provided through a person-centered process with shared decision making and should be informed by the understanding that implicit bias may affect the assessment, diagnosis, treatment and discharge planning of Black, Indigenous, People of Color and other marginalized individuals.

-When an individual is admitted clinical staff shall in accordance with HIPAA and Mental Hygiene Law 33.13: review documentation of assessments, treatment, and other services provided in referring outpatient, emergency, or program and prior presentations; attempt to obtain collateral information on all admitted individuals; review information in PSYCKES regarding prior psychiatric and medical history; review information in any other available information network databases as may be required, regarding admitted individuals; and check to see if the individual has a Psychiatric Advance Directive (PAD) or other wellness plan and preferred contacts in PSYCKES.

-The following screenings shall take place on admission and documented in the individual's chart: suicide risk using a validated instrument, violence risk screening and assessment and inquiries about access to firearms or other weapons, substance use screenings using a validated instrument and a determination shall be made as to whether an individual has complex needs.

-Discharge plans shall reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs. For discharges of individuals with complex needs, the discharging unit shall provide a verbal clinical sign-out to the receiving outpatient treatment program and residential or other long-term care program on the day of discharge, or as soon as possible thereafter in accordance with section 33.13 of the Mental Hygiene Law. Prior to discharge, the hospital shall contact aftercare providers to schedule and confirm a follow up appointment to occur within seven calendar days following discharge. Where a hospital cannot identify an aftercare provider with an available appointment within seven calendar days, after documenting diligent efforts, such appointment should be scheduled for as soon as possible. Individuals with complex needs enrolled in outpatient or residential care management, must coordinate discharge plan details and timing with care managers. For individuals in need of intensive care management, staff shall make a referral to an intensive care management provider. All individuals shall be screened for suicidality prior to their discharge. When determining whether an individual is ready for discharge and the most appropriate discharge setting, the whole clinical presentation and history, as well as the availability of existing services in the individual's community, shall be considered. Individuals who meet criteria for any substance use disorder shall be offered pharmacological interventions, if appropriate, and referred to a new or existing provider who can continue their treatment for their substance use disorder. Individuals who require treatment with an antipsychotic medication but have history of difficulty with consistently taking oral medications, shall be considered through shared decision making, for treatment with a long-acting injectable medication.

Part 580.7. Staffing. No substantive edits.

Part 580.8. Premises. Clarifies Electroconvulsive therapy (ECT) may not be used as an emergency procedure. Clarifies existing rule that no facility shall use restraint or seclusion without a written plan for the use of

restraint or seclusion in accordance with section 526.4 of this Title. No facility shall use extraordinary risk procedures. Extraordinary risk procedures include, but are not limited to, experimental treatment modalities and aversive conditioning.

Part 580.9. Records and Statistics. Clarifies case records should include: a record of communication with family, outpatient providers, and other significant sources of collateral information; notes which relate to special circumstances and untoward incidents including but not limited to, the use of any seclusion or restraints; documentation of any referrals within the hospital or to another agency; and documentation of voluntary or involuntary status and other information as requested by the Office.

Part 580.10. Community Relations. No substantive edits.

Part 580.11 Managed Care Organizations. Conforming edits relating to outdated language.

Revised rule compared with proposed rule: Substantial revisions were made in sections 580.3(e), 580.6(b)(6), (5)(vi) and (c)(3).

Text of revised proposed rule and any required statements and analyses may be obtained from Sara Paupini, Office of Mental Health, 44 Holland Ave., Albany, NY 12229, (518) 474-1331, email: regs@omh.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Revised Regulatory Impact Statement

1. Statutory Authority: Section 7.07(c) of the Mental Hygiene Law charges the Office of Mental Health with the responsibility for seeing that persons with mental illness are provided with care and treatment, and that such care, treatment and rehabilitation is of high quality and effectiveness.

Sections 7.09 and 31.04 of the Mental Hygiene Law grant the Commissioner of Mental Health the power and responsibility to adopt regulations that are necessary and proper to implement matters under their jurisdiction, and to set standards of quality and adequacy of facilities, equipment, personnel, services, records and programs for the rendition of services for adults diagnosed with mental illness or children diagnosed with emotional disturbance, pursuant to an operating certificate.

Section 43.02 of the Mental Hygiene Law gives the Commissioner the authority to request from operators of facilities licensed by the Office of Mental Health such financial, statistical and program information as the Commissioner may determine to be necessary.

Sections 364 and 364-a of the Social Services Law give the Office of Mental Health responsibility for establishing and maintaining standards for medical care and services in facilities under its jurisdiction, in accordance with cooperative arrangements with the Department of Health.

Section 29.15 of the Mental Hygiene Law establishes requirements for the discharge or conditional release of patients from hospitals operated by the Office of Mental Health or from psychiatric inpatients services subject to licensure by this office.

2. Legislative Objectives: Articles 7 and 31 of the Mental Hygiene Law reflect the Commissioner's authority to establish regulations regarding mental health programs. The proposed rule furthers the legislative policy of providing high quality inpatient mental health services to individuals with mental illness and to require evidence based screenings to take place on admission and to require comprehensive discharge plans. Part 580 of Title 14 NYCRR sets forth standards for the certification, operation and organization of psychiatric inpatient units of general hospitals serving children and adults.

3. Needs and Benefits: The proposed rule will require that inpatient psychiatric units of general hospitals follow comprehensive standards for admission and discharge of individuals from psychiatric inpatient units. These amendments were considered after a multi-year quality collaborative with hospitals (the High Risk Quality Collaborative) developed consensus statements to reduce the risk of suicide, violence, and opioid overdose and extensive outreach to hospitals, ambulatory community providers and other stakeholders leading to the development of a guidance document which was shared with the field for comment. Pursuant to the Commissioner's general oversight of persons with mental illness to ensure those individuals are provided with care, treatment and rehabilitation is of a high quality and effectiveness, these changes were necessary to ensure the proper and appropriate delivery of services through fostering linkages with community care providers. These changes ensure that treatment will be provided with more of a person-centered approach, leading to more accurate treatment and successful discharges that allow individuals to prolong community tenure. The proposed rule will add new requirements for comprehensive screenings of suicide, violence, and substance use and provides a definition for complex needs. These evaluations and standards for admission, coordination with community providers, and discharge planning should help improve patient outcomes; reduce the risk of post-discharge overdose, self-harm, and violence; and reduce the risk of readmission and disconnection from care.

These amendments include provisions to: remove outdated references to behavioral health organizations and define Managed Care (MCO), remove definition for concurrent review and define utilization review. It provides uniform definition of Collateral, Credentialed Alcoholism and Substance Abuse Counselor (CASAC), creates a new definition of Individual with Complex Needs, Governing Body, Interventional Therapies, and defines Intensive care management. The rule provides definitions for person-centered process, psychiatric advance directive, PSYCKES, shared decision making and SHIN-NY. It clarifies that policies and procedures must conform with confidentiality, larifies that services shall be provided through a person-centered process with shared decision making and should be informed by the understanding that implicit bias may affect the assessment, diagnosis, treatment and discharge planning of Black, Indigenous, People of Color and other marginalized individuals. The proposal provides admission requirements which shall include an enhanced review of documentation including assessments, treatment, and other services provided in referring outpatient, emergency, or program and prior presentations; collateral information in PSYCKES and any other available information network and a review to see if the individual has a Psychiatric Advance Directive (PAD) or other wellness plan and preferred contacts in PSYCKES. Screenings on admission and documented in the individual's chart will include: suicide risk using a validated instrument, violence risk screening and assessment and inquiries about access to firearms or other weapons, substance use screenings using a validated instrument and a determination shall be made as to whether an individual has complex needs. Discharge plans shall reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs. Enhanced discharge procedures for discharges of individuals with complex needs, including communications with the receiving outpatient treatment program and residential or other long-term care program on the day of discharge, contact with aftercare providers to schedule and confirm a follow up appointment to occur within seven calendar days following discharge. Individuals with complex needs enrolled in outpatient or residential care management, must coordinate discharge plan details and timing with care managers.

4. Costs:

(a) Cost to State government: These regulatory amendments will not result in any additional costs to the State government. Hospitals already have staff that are responsible for conducting evaluation and treatment, doing discharge planning, and maintaining relationships with community agencies. These proposed regulations will not add a requirement for further staff; they clarify and standardize what is already expected of staff. Facilities can use the same electronic medical records they currently use.

(b) Cost to local government: These regulatory amendments will not result in any additional costs to local government.

(c) Cost to regulated parties: These regulatory amendments will not result in any additional costs to those regulated parties.

5. Local Government Mandates: These regulatory amendments will not result in any additional imposition of duties or responsibilities upon county, city, town, village, school or fire districts.

6. Paperwork: No substantial increase in paperwork is anticipated as a result of the amendments to 14 NYCRR Part 580. Hospitals have staff that are responsible for conducting evaluation and treatment, doing discharge planning, and maintaining relationships with community agencies. These proposed regulations clarify and standardize what is already expected of staff. Facilities can use the same electronic medical records they currently use.

7. Duplication: These regulatory amendments do not duplicate existing State or federal requirements.

8. Alternatives: No alternatives were considered, as these amendments seek to ensure that psychiatric inpatient settings provide safe, comprehensive, and evidence based treatment. These amendments were considered after a multi-year quality collaborative with hospitals (the High Risk Quality Collaborative) developed consensus statements to reduce the risk of suicide, violence, and opioid overdose and extensive outreach to hospitals, ambulatory community providers, and other stakeholders leading to the development of a guidance document which was shared with the field for comment. Pursuant to the Commissioner's general oversight of persons with mental illness to ensure those individuals are provided with care, treatment and rehabilitation is of a high quality and effectiveness, these changes were necessary to ensure the proper and appropriate delivery of services through fostering linkages with community care providers. These changes ensure that treatment will be provided with more of a person-centered approach, leading to more accurate treatment and successful discharges that allow individuals to prolong community tenure. New standards for discharge planning will ensure that individuals will be discharged to an appropriate setting according to their needs. Discharge planning will include confirmed, scheduled outpatient appointments. For individuals with complex needs and who have a care manager, inpatient staff will coordinate plan details and timing with care managers. All individuals should be referred for outpatient mental health services which are person-

centered, recovery-oriented designed to help individuals achieve and maintain recovery from mental health conditions by treating the symptoms of those conditions and restoring skills which have been lost due to the onset of mental illness and which are necessary for individuals to manage and cope with the symptoms and behaviors associated with mental health conditions and function successfully in the community. New standards for discharge planning will ensure that individuals will be discharged to an appropriate setting according to their needs. Discharge planning will include confirmed, scheduled outpatient appointments For individuals with complex needs and who have a care manager, inpatient staff will coordinate plan details and timing with care managers. All individuals should be referred for outpatient mental health services which are person-centered, recovery-oriented designed to help individuals achieve and maintain recovery from mental health conditions by treating the symptoms of those conditions and restoring skills which have been lost due to the onset of mental illness and which are necessary for individuals to manage and cope with the symptoms and behaviors associated with mental health conditions and function successfully in the community.

9. Federal Standards: The regulatory amendments do not exceed any minimum standards of the federal government for the same or similar subject areas.

10. Compliance Schedule: This rulemaking will be effective upon publication of a Notice of Adoption in the State Register.

Revised Regulatory Flexibility Analysis

No regulatory flexibility analysis is required pursuant to section 202-(b)(3)(a) of the State Administrative Procedure Act. The proposed amendment does not impose an adverse economic impact on small businesses or local governments, and it does not impose reporting, record keeping or other compliance requirements on small businesses or local governments.

Revised Rural Area Flexibility Analysis

The amendments to Part 580 14 NYCRR will require that inpatient psychiatric units of general hospitals follow comprehensive standards for admission and discharge of patients from psychiatric inpatient units. The proposed rule will add new requirements for evidence-based standards for screenings and creates standards for safe discharges. The amendments will not impose any adverse economic impact on rural areas; therefore, a Rural Flexibility Analysis is not submitted with this notice.

Revised Job Impact Statement

A job impact statement is not needed as this proposed rule requires that inpatient units of general hospitals follow comprehensive standards for admission and discharge of patients from psychiatric inpatient units in an effort to coordinate appropriate care and reduce the amount of unnecessary and reoccurring visits. There will be no adverse impact on jobs and employment opportunities as a result of the proposed amendments to 14 NYCRR Part 580.

Assessment of Public Comment

This summary reflects the responses of the New York State Office of Mental Health (OMH) to the main comments submitted by the public regarding the adoption of amendments to 14 NYCRR Part 580. The proposed rule furthers the legislative policy of providing high quality inpatient mental health services to individuals with mental illness and to require standard screenings and assessments to take place on admission, require active coordination with community and residential providers, and to require comprehensive discharge plans. The proposed rulemaking was published in the January 24, 2024, State Register on page 18 (Issue #24). The public comment period ended March 25, 2024.

During preparation of the revised rule making, OMH incorporated suggestions made by the public based on the comments received. A full Assessment of Public Comment is available for review on the OMH website.

Highlights of the public comments received include:

Requesting a revision to the definition of Individual with Complex Needs due to several commentors finding the proposed definition overbroad. In response to these comments the Office has revised this definition to narrow the scope and ease the identification of individuals identified under this definition.

Comments stating the requirement to review other sources of information where available presents challenges for hospitals and is not useful for clinical decision making purposes. The Office clarified that the regulation only obligates staff to check available databases. If front end staff do not have access to SHIN-NY, then there is no obligation to check such network. The Office respectfully disagrees with the assertion that such verification is not clinically useful. The ability to view any relevant background information about the individual will assist in coordinating discharge planning and ensures staff receives the most accurate background of the presenting individual.

A Commentor noted that the requirements of these regulations should extend to non-hospital providers and payers to avoid communication gaps and support timely information sharing. Proposed guidance relating to this concern has been developed and has been released to stakeholders for further feedback. These will inform any proposed rulemaking issued by the Office.

A Commentor stated that the follow up care appointment requirements are a challenge for the system, and expressed concern about the proposed regulation as it relates to scheduling and confirming follow- up appointments within 7 days before discharge, particularly for weekend or evening discharges. The Office stated that communicating with outpatient, care coordination and residential providers is the current standard. Existing regulation requires licensed mental health clinics to offer appointments to individuals leaving hospitals within 5 days of discharge. The proposed network adequacy requirement is 10 days and applies to all patients, including those not presently admitted to hospitals or in CPEPs. For individuals admitted to hospitals or in CPEPs, the Office strongly believes they need to be seen within 7 days. Some flexibility is provided for within the regulation, which provides that after making diligent efforts, where a hospital cannot identify an aftercare provider with an available appointment within seven calendar days, the hospital should document its efforts and schedule the appointment for as soon as possible thereafter.

A Commentor expressed concerns that the violence screening assessment will encourage the finding of non-existent violent tendencies and have a disproportionate effect on certain populations. The Office has reviewed the comment and find the amendment to be unnecessary as the rule presently provides that all services must be informed by the understanding that implicit bias may affect the assessment, diagnosis, treatment and discharge planning of Black, Indigenous, People of Color and other marginalized individuals. Additional information will be provided through guidance.

REVISED RULE MAKING NO HEARING(S) SCHEDULED

Admission and Discharge Criteria for Comprehensive Psychiatric Emergency Programs

I.D. No. OMH-04-24-00007-RP

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following revised rule:

Proposed Action: Amendment of Part 590 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 7.07, 7.09, 29.15, 31.04, 43.02; Social Services Law, sections 364 and 364-a

Subject: Admission and Discharge Criteria for Comprehensive Psychiatric Emergency Programs.

Purpose: To standardize admissions and discharges.

Substance of revised rule (Full text is posted at the following State website: https://omh.ny.gov/omhweb/policy_and_regulations/): Part 590

In addition to technical amendments updating this regulation consistent with Title 14 and eliminating outdated terminology, the Proposed Rule amends Part 590 as follows:

Part 590.1. Background and Intent. Provides that it is the intention of this Part to provide for a person-centered process and that compliance with this Part shall ensure that services are collaborative in nature.

Part 590.2. Legal Base. No substantive edits.

Part 590.3. Applicability. No substantive edits.

Part 590.4. Definitions. Includes removing outdated references to behavioral health organizations and defines Managed Care (MCO), removes definition for concurrent review and defines utilization review. Provides uniform definition of Collateral, Credentialed Alcoholism and Substance Abuse Counselor, (CASAC), certified recovery peer advocate, certified or credentialed family peer advocate, certified or credentialed youth peer advocate, creates a new definition of Individual with Complex Needs, Governing Body, Interventional Therapies, defines Intensive care management. Provides definitions for person-centered process, psychiatric advance directive, PSYCKES, shared decision making and SHIN-NY.

Part 590.5. Certification. No substantive edits.

Part 590.6. Organization and administration. Clarifies that services may not be denied individuals solely on the basis of multiple diagnoses or a diagnosis of HIV infection, other chronic medical comorbidity, history of suicide attempt, history of violence, criminal and juvenile justice system involvement, personality disorder, substance use disorder, or intellectual or developmental disability.

Part 590.7. Emergency service plan. Clarifies that the total number of extended observation beds must be approved by the office.

Part 590.8. Admission and discharge procedures.

-Clarifies that services shall be provided through a person-centered process with shared decision making and should be informed by the understanding that implicit bias may affect the assessment, diagnosis, treatment

and discharge planning of Black, Indigenous, People of Color and other marginalized individuals.

-Clarifies that any person receiving a triage and referral visit must be examined by a staff physician or psychiatric nurse practitioner within six hour after being received into the CPEP.

-When an individual is admitted clinical staff shall in accordance with HIPAA and Mental Hygiene Law 33.13: review documentation of assessments, treatment, and other services provided in referring outpatient, emergency, or program and prior presentations; attempt to obtain collateral information on all admitted individuals unless the presentation is due to a non-emergent reason; review information in PSYCKES regarding prior psychiatric and medical history; review information in any other available information network databases regarding admitted individuals; and check to see if the individual has a Psychiatric Advance Directive (PAD) or other wellness plan and preferred contacts in PSYCKES.

-The following screenings shall take place on admission and documented in the individual's chart: suicide risk using a validated instrument, violence risk screening and assessment and inquiries about access to firearms or other weapons, substance use screenings using a validated instrument and a determination shall be made as to whether an individual has complex needs.

-Discharge plans shall reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs. When determining whether an individual is ready for discharge the whole clinical presentation and history, as well as the availability of existing services and supports in the individual's community, must be considered. This includes if an individual resides in a residential program licensed by the office or supportive housing. The discharge plan shall be developed through shared decision making in a person-centered process and must reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs. For discharges of individuals with complex needs, the CPEP must provide a verbal clinical sign-out to the receiving outpatient treatment program and the residential program licensed or funded by the office or Office of Addiction Services and Supports, Office for Persons with Developmental Disabilities or the Department of Health where the individual will reside after discharge The CPEP must send a discharge summary detailing the presenting history of present illness (HPI), hospital course, and other relevant information to the outpatient, residential, or long-term care program within seven days of discharge, in accordance with section 33.13 of the Mental Hygiene Law. If the individual is enrolled in outpatient, residential care management, or has an active AOT order, CPEP staff must coordinate discharge plan details and timing with care managers. Prior to discharge, and in accordance with section 33.13 of the Mental Hygiene Law, the CPEP shall schedule and confirm a follow up appointment with an identified provider to occur within seven calendar days following discharge or document such efforts and secure an appointment for as soon as possible thereafter. A referral to a walk-in intake clinic is insufficient to meet this requirement. When an appointment for mental health services cannot be made within seven calendar days, crisis outreach teams or other available comprehensive psychiatric emergency program staff shall provide crisis outreach until the initial appointment occurs and such services shall be reimbursed pursuant to section 591.4 of this Title. All individuals must be screened for suicidality prior to their discharge. Discharge of individuals with an elevated risk of violence must include, to every extent possible, close collaboration with current and new outpatient treatment providers, residential providers if applicable, and the county DCS if applicable to incorporate strategies to address violence risk factors and access to weapons into the overall discharge plan in accordance with section 33.13 of the Mental Hygiene Law. Individuals who meet criteria for any substance use disorder shall be offered pharmacological interventions, if appropriate, and referred to a new or existing provider who can continue treatment for their substance use disorder.

Part 590.9. Services. Clarifies that if a triage and referral visit is not conducted, a full emergency service shall be performed.

Part 590.10. Staffing. Clarifies when providing crisis outreach at a site other than the emergency room of the comprehensive psychiatric emergency program a member of the professional staff may respond alone if such need is determined for an initial crisis outreach visit. For crisis outreach provided as a follow up to an initial crisis outreach visit or CPEP admission, a member of the staff may respond alone if such need is determined. This service maybe provided by professional staff, staff possessing a bachelor's degree or staff with a peer certification or credential working within their scope of practice.

Part 590.11. Special treatment procedures. No substantive edits.

Part 590.12. Case records. Clarifies that peer specialists, recovery peer advocates, family peer advocate or youth peer advocate may have access to case records. Clarifies that housing providers should be attempted to be contacted as part of collateral documentation.

Part 590.13. Premises. Provides that extended observation beds may be located outside the CPEP upon authorization by the Office of Mental Health.

Part 590.14. Statistical records and reports. No substantive edits.

Revised rule compared with proposed rule: Substantial revisions were made in sections 590.4(a)(2), (b)(7), 590.7(b)(5), 590.8(f)(3), (4) and (h)(2).

Text of revised proposed rule and any required statements and analyses may be obtained from Sara Paupini, Office of Mental Health, 44 Holland Ave., Albany, NY 12229, (518) 474-1331, email: regs@omh.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Revised Regulatory Impact Statement

1. Statutory Authority: Section 7.07(c) of the Mental Hygiene Law charges the Office of Mental Health with the responsibility for seeing that persons with mental illness are provided with care and treatment, and that such care, treatment and rehabilitation is of high quality and effectiveness.

Sections 7.09 and 31.04 of the Mental Hygiene Law grant the Commissioner of Mental Health the power and responsibility to adopt regulations that are necessary and proper to implement matters under their jurisdiction, and to set standards of quality and adequacy of facilities, equipment, personnel, services, records and programs for the rendition of services for adults diagnosed with mental illness or children diagnosed with emotional disturbance, pursuant to an operating certificate.

Section 43.02 of the Mental Hygiene Law gives the Commissioner the authority to request from operators of facilities licensed by the Office of Mental Health such financial, statistical and program information as the Commissioner may determine to be necessary.

Sections 364 and 364-a of the Social Services Law give the Office of Mental Health responsibility for establishing and maintaining standards for medical care and services in facilities under its jurisdiction, in accordance with cooperative arrangements with the Department of Health.

Section 29.15 of the Mental Hygiene Law establishes requirements for the discharge or conditional release of patients from hospitals operated by the Office of Mental Health or from psychiatric inpatients services subject to licensure by this office.

2. Legislative Objectives: Articles 7 and 31 of the Mental Hygiene Law reflect the Commissioner's authority to establish regulations regarding mental health programs. The proposed rule furthers the legislative policy of providing high quality inpatient mental health services to individuals with mental illness and to require standard screenings and assessments to take place on admission, require active coordination with community and residential providers, and to require comprehensive discharge plans. Part 590 of Title 14 NYCRR sets forth standards for the certification, operation and organization of comprehensive psychiatric emergency programs (CPEP).

3. Needs and Benefits: The proposed rule will require that CPEPs follow comprehensive standards for admission and discharge of individuals. These amendments were considered after a multi-year quality collaborative with hospitals (the High Risk Quality Collaborative) developed consensus statements to reduce the risk of suicide, violence, and opioid overdose and extensive outreach to hospitals, ambulatory community providers and other stakeholders leading to the development of a guidance document which was shared with the field for comment. Pursuant to the Commissioner's general oversight of persons with mental illness to ensure those individuals are provided with care, treatment and rehabilitation is of a high quality and effectiveness, these changes were necessary to ensure the proper and appropriate delivery of services through fostering linkages with community care providers. These changes ensure that treatment will be provided with more of a person-centered approach, leading to more accurate treatment and successful discharges that allow individuals to prolong community tenure.

The proposed rule will remove outdated references to behavioral health organizations and defines Managed Care (MCO), removes the definition for concurrent review and defines utilization review. The rule provides uniform definitions of Collateral, Credentialed Alcoholism and Substance Abuse Counselor, (CASAC), certified recovery peer advocate, certified or credentialed family peer advocate, certified or credentialed youth peer advocate; creates a new definition of Individual with Complex Needs, Governing Body, Interventional Therapies, and Intensive care management. The rule also provides definitions for person-centered process, psychiatric advance directive, PSYCKES, shared decision making and SHIN-NY and clarifies that services shall be provided through a person-centered process with shared decision making and should be informed by the understanding that implicit bias may affect the assessment, diagnosis, treatment and discharge planning of Black, Indigenous, People of Color and other marginalized individuals. The proposal clarifies that any person receiving a triage and referral visit must be examined by a staff physician or psychiatric nurse practitioner within six hour after being

received into the CPEP. It provides admission requirements which shall include an enhanced review of documentation including assessments, treatment, and other services provided in referring outpatient, emergency, or program and prior presentations; collateral information in PSYCKES and any other available information network and a review to see if the individual has a Psychiatric Advance Directive (PAD) or other wellness plan and preferred contacts in PSYCKES. Screenings on admission and documented in the individual's chart will include: suicide risk using a validated instrument, violence risk screening and assessment and inquiries about access to firearms or other weapons, substance use screenings using a validated instrument and a determination shall be made as to whether an individual has complex needs. When determining whether an individual is ready for discharge the whole clinical presentation and history, as well as the availability of existing services and supports in the individual's community, must be considered. This includes if an individual resides in a residential program licensed by the office or supportive housing. The discharge plan shall be developed through shared decision making in a person-centered process and must reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs. For discharges of individuals with complex needs, the CPEP must provide a verbal clinical sign-out to the receiving outpatient treatment program and the residential or long-term care program licensed or funded by the office or another office within the department or the Department of Health where the individual will reside.

If the individual is enrolled in outpatient, residential care management, or has an active AOT order, CPEP staff must coordinate discharge plan details and timing with care managers. MCO must be notified prior to discharge, of individuals with complex needs who are eligible but not yet enrolled, or who are in need of care management or intensive care management. Prior to discharge, and in accordance with section 33.13 of the Mental Hygiene Law, the CPEP shall schedule and confirm a follow up appointment with an identified provider to occur within seven calendar days following discharge or document such efforts and secure an appointment for as soon as possible thereafter. A referral to a walk-in intake clinic is insufficient to meet this requirement. When an appointment for mental health services cannot be made within seven calendar days, crisis outreach teams or other available comprehensive psychiatric emergency program staff shall provide crisis outreach until the initial appointment occurs and such services shall be reimbursed pursuant to section 591.4 of Title 14. All individuals must be screened for suicidality prior to their discharge. Individuals who meet criteria for any substance use disorder shall be offered pharmacological interventions, if appropriate, and referred to a new or existing provider who can continue treatment for their substance use disorder. Individuals who require treatment with an antipsychotic medication but have history of difficulty with consistently taking oral medications, shall be considered for treatment, through shared decision making, with a long-acting injectable medication. The proposal also clarifies that if a triage and referral visit is not conducted, a full emergency service shall be performed and that when providing crisis outreach at a site other than the emergency room of the comprehensive psychiatric emergency program a member of the professional staff may respond alone if such need is determined for an initial crisis outreach visit. For crisis outreach provided as a follow up to an initial crisis outreach visit or CPEP admission, a member of the staff may respond alone if such need is determined. This service maybe provided by professional staff, staff possessing a bachelor's degree or staff with a peer certification or credential working within their scope of practice.

4. Costs:

(a) Cost to State government: These regulatory amendments will not result in any additional costs to the State government. CPEP's already have staff that are responsible for conducting evaluation and treatment, doing discharge planning, and maintaining relationships with community agencies. These proposed regulations will not add a requirement for further staff; they clarify and standardize what is already expected of staff. Facilities can use the same electronic medical records they currently use.

(b) Cost to local government: These regulatory amendments will not result in any additional costs to local government.

(c) Cost to regulated parties: These regulatory amendments will not result in any additional costs to those regulated parties.

5. Local Government Mandates: These regulatory amendments will not result in any additional imposition of duties or responsibilities upon county, city, town, village, school or fire districts.

6. Paperwork: No substantial increase in paperwork is anticipated as a result of the amendments to 14 NYCRR Part 590. CPEPs have staff that are responsible for conducting evaluation and treatment, doing discharge planning, and maintaining relationships with community agencies. These proposed regulations clarify and standardize what is already expected of staff. Facilities can use the same electronic medical records they currently use.

7. Duplication: These regulatory amendments do not duplicate existing State or federal requirements.

8. Alternatives: No alternatives were considered, as these amendments seek to ensure that CPEPs provide safe, comprehensive, and evidence based treatment. These amendments were considered after a multi-year quality collaborative with hospitals (the High Risk Quality Collaborative) developed consensus statements to reduce the risk of suicide, violence, and opioid overdose and extensive outreach to hospitals, ambulatory community providers, and other stakeholders leading to the development of a guidance document which was shared with the field for comment. Pursuant to the Commissioner's general oversight of persons with mental illness to ensure those individuals are provided with care, treatment and rehabilitation is of a high quality and effectiveness, these changes were necessary to ensure the proper and appropriate delivery of services through fostering linkages with community care providers. These changes ensure that treatment will be provided with more of a person-centered approach, leading to more accurate treatment and successful discharges that allow individuals to prolong community tenure. New standards for discharge planning will ensure that individuals will be discharged to an appropriate setting according to their needs. Discharge planning will include confirmed, scheduled outpatient appointments. For individuals with complex needs and who have a care manager, inpatient staff will coordinate plan details and timing with care managers. All individuals should be referred for outpatient mental health services which are person-centered, recovery-oriented designed to help individuals achieve and maintain recovery from mental health conditions by treating the symptoms of those conditions and restoring skills which have been lost due to the onset of mental illness and which are necessary for individuals to manage and cope with the symptoms and behaviors associated with mental health conditions and function successfully in the community.

9. Federal Standards: The regulatory amendments do not exceed any minimum standards of the federal government for the same or similar subject areas.

10. Compliance Schedule: This rulemaking will be effective upon publication of a Notice of Adoption in the State Register.

Revised Regulatory Flexibility Analysis

No regulatory flexibility analysis is required pursuant to section 202-(b)(3)(a) of the State Administrative Procedure Act. The proposed amendment does not impose an adverse economic impact on small businesses or local governments, and it does not impose reporting, record keeping or other compliance requirements on small businesses or local governments.

Revised Rural Area Flexibility Analysis

The amendments to Part 590 of title 14 NYCRR will require that operation of comprehensive psychiatric emergency programs follow comprehensive standards for admission and discharge of patients. The proposed rule will add new requirements for evidence-based standards for screenings and creates standards for safe discharges. The amendments will not impose any adverse economic impact on rural areas; therefore, a Rural Flexibility Analysis is not submitted with this notice.

Revised Job Impact Statement

A job impact statement is not needed as this proposed rule requires that comprehensive psychiatric emergency programs follow comprehensive standards for admission and discharge of patients in an effort to coordinate appropriate care and reduce the amount of unnecessary and reoccurring visits. There will be no adverse impact on jobs and employment opportunities as a result of the proposed amendments to 14 NYCRR Part 590.

Assessment of Public Comment

Part 590 Summary of the Assessment of Public Comment

This summary reflects the responses of the New York State Office of Mental Health (OMH) to the main comments submitted by the public regarding the adoption of amendments to 14 NYCRR Part 590. The proposed rule furthers the legislative policy of providing high quality inpatient mental health services to individuals with mental illness and to require standard screenings and assessments to take place on admission, require active coordination with community and residential providers, and to require comprehensive discharge plans. The proposed rulemaking was published in the January 24, 2024, State Register on page 18 (Issue #24). The public comment period ended March 25, 2024.

During preparation of the revised rule making, OMH incorporated suggestions made by the public based on the comments received. A full Assessment of Public Comment is available for review on the OMH website.

Highlights of the public comments received include:

- Requesting a revision to the definition of Individual with Complex Needs due to several commentors finding the proposed definition overbroad. In response to these comments the Office has revised this definition to narrow the scope and ease the identification of individuals identified under this definition.
- Comments stating the requirement to review other sources of information where available presents challenges for hospitals and is not useful for clinical decision making purposes. The Office clarified that the regula-

tion only obligates staff to check available databases. If front end staff do not have access to SHIN-NY, then there is no obligation to check such network. The Office respectfully disagrees with the assertion that such verification is not clinically useful. The ability to view any relevant background information about the individual will assist in coordinating discharge planning and ensures staff receives the most accurate background of the presenting individual.

• A Commentor noted that the requirements of these regulations should extend to non-hospital providers and payers to avoid communication gaps and support timely information sharing. Proposed guidance relating to this concern has been developed and has been released to stakeholders for further feedback. These will inform any proposed rulemaking issued by the Office.

• A Commentor stated that the follow up care appointment requirements are a challenge for the system, and expressed concern about the proposed regulation as it relates to scheduling and confirming follow- up appointments within 7 days before discharge, particularly for weekend or evening discharges. The Office stated that communicating with outpatient, care coordination and residential providers is the current standard. Existing regulation requires licensed mental health clinics to offer appointments to individuals leaving hospitals within 5 days of discharge. The proposed network adequacy requirement is 10 days and applies to all patients, including those not presently admitted to hospitals or in CPEPs. For individuals admitted to hospitals or in CPEPs, the Office strongly believes they need to be seen within 7 days. Some flexibility is provided for within the regulation, which provides that after making diligent efforts, where a hospital cannot identify an aftercare provider with an available appointment within seven calendar days, the hospital should document its efforts and schedule the appointment for as soon as possible thereafter.

• A Commentor expressed concerns that the violence screening assessment will encourage the finding of non-existent violent tendencies and have a disproportionate effect on certain populations. The Office has reviewed the comment and find the amendment to be unnecessary as the rule presently provides that all services must be informed by the understanding that implicit bias may affect the assessment, diagnosis, treatment and discharge planning of Black, Indigenous, People of Color and other marginalized individuals. Additional information will be provided through guidance.

• A Commentor stated the proposed regulation should require outreach to homeless shelters or local agency that oversees homeless shelters for people who report that they reside in a shelter, and should require the determination of housing status prior to discharge. The Office has reviewed the comment and finds the amendments to be unnecessary as the proposed regulations the discharge plan will be developed through shared decision making in a person-centered process and reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs.

REVISED RULE MAKING
NO HEARING(S) SCHEDULED

Admission and Discharge Criteria for Hospitals for Persons with Mental Illness

I.D. No. OMH-04-24-00008-RP

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following revised rule:

Proposed Action: Amendment of Part 582 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 7.07, 7.09, 29.15, 31.04, 43.02; Social Services Law, sections 364 and 364-a

Subject: Admission and Discharge Criteria for Hospitals for Persons with Mental Illness.

Purpose: To standardize admissions and discharges.

Substance of revised rule (Full text is posted at the following State website: https://omh.ny.gov/omhweb/policy_and_regulations/): Part 582

In addition to technical amendments updating this regulation consistent with Title 14 and eliminating outdated terminology, the Proposed Rule amends Part 582 as follows:

Part 582.1. Background and Intent. Provides that it is the intention of this Part to provide for a person-centered process and that compliance with this Part shall ensure that services are collaborative in nature.

Part 582.2. Legal Base. Removes references to Social Services Law § 365m.

Part 582.3. Definitions. Includes removing outdated references to behavioral health organizations and defines Managed Care (MCO), removes definition for concurrent review and defines utilization review. Provides uniform definition of Collateral, Credentialed Alcoholism and Substance Abuse Counselor (CASAC), creates a new definition of Individual with Complex Needs, Governing Body, Interventional Therapies, defines Intensive care management. Provides definitions for person-centered process, psychiatric advance directive, PSYCKES, shared decision making and SHIN-NY.

Part 582.4. Certification. No substantive edits.

Part 582.5. Organization and administration. Clarifies that policies and procedures must conform with confidentiality. Clarifies policies ensuring patient rights. Clarifies education programming requirements for school age children.

Part 582.6. Program.

-Clarifies that services shall be provided through a person-centered process with shared decision making and should be informed by the understanding that implicit bias may affect the assessment, diagnosis, treatment and discharge planning of Black, Indigenous, People of Color and other marginalized individuals.

-When an individual is admitted clinical staff shall in accordance with HIPAA and Mental Hygiene Law 33.13: review documentation of assessments, treatment, and other services provided in referring outpatient, emergency, or program and prior presentations; attempt to obtain collateral information on all admitted individuals; review information in PSYCKES regarding prior psychiatric and medical history; review information in any other available information network databases regarding admitted individuals; and check to see if the individual has a Psychiatric Advance Directive (PAD) or other wellness plan and preferred contacts in PSYCKES.

-The following screenings shall take place on admission and documented in the individual's chart: suicide risk using a validated instrument, violence risk screening and assessment and inquiries about access to firearms or other weapons, substance use screenings using a validated instrument and a determination shall be made as to whether an individual has complex needs.

-Discharge plans shall reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs. For discharges of individuals with complex needs, the discharging unit shall provide a verbal clinical sign-out to the receiving outpatient treatment program and residential or other long-term care program on the day of discharge, or as soon as possible thereafter in accordance with section 33.13 of the Mental Hygiene Law. Prior to discharge, the hospital shall contact aftercare providers to schedule and confirm a follow up appointment to occur within seven calendar days following discharge. Where a hospital cannot identify an aftercare provider with an available appointment within seven calendar days, after documenting diligent efforts, such appointment should be scheduled for as soon as possible. Individuals with complex needs enrolled in outpatient or residential care management, must coordinate discharge plan details and timing with care managers. For individuals in need of intensive care management, staff shall make a referral to an intensive care management provider. All individuals shall be screened for suicidality prior to their discharge. When determining whether an individual is ready for discharge and the most appropriate discharge setting, the whole clinical presentation and history, as well as the availability of existing services in the individual's community, shall be considered. Individuals who meet criteria for any substance use disorder shall be offered pharmacological interventions, if appropriate, and referred to a new or existing provider who can continue their treatment for their substance use disorder. Individuals who require treatment with an antipsychotic medication but have history of difficulty with consistently taking oral medications, shall be considered through shared decision making, for treatment with a long-acting injectable medication.

Part 582.7. Staffing. No substantive edits.

Part 582.8. Premises. Clarifies Electroconvulsive therapy (ECT) may not be used as an emergency procedure. Clarifies existing rule that no facility shall use restraint or seclusion without a written plan for the use of restraint or seclusion in accordance with section 526.4 of this Title. No facility shall use extraordinary risk procedures. Extraordinary risk procedures include, but are not limited to, experimental treatment modalities and aversive conditioning.

Part 582.9. Records and Statistics. Clarifies case records should include: a record of communication with family, outpatient providers, and other significant sources of collateral information; notes which relate to special circumstances and untoward incidents including but not limited to, the use of any seclusion or restraints; documentation of any referrals within the hospital or to another agency; and documentation of voluntary or involuntary status and other information as requested by the Office.

Part 582.10. Community Relations. No substantive edits.

Part 582.11 Managed Care Organizations. Conforming edits relating to outdated language.

Revised rule compared with proposed rule: Substantial revisions were made in sections 582.3(g), 582.6(b)(4)(vi), (5), (c)(3) and (9).

Text of revised proposed rule and any required statements and analyses may be obtained from Sara Paupini, Office of Mental Health, 44 Holland Ave., Albany, NY 12229, (518) 474-1331, email: regs@omh.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 45 days after publication of this notice.

Revised Regulatory Impact Statement

1. Statutory Authority: Section 7.07(c) of the Mental Hygiene Law charges the Office of Mental Health with the responsibility for seeing that persons with mental illness are provided with care and treatment, and that such care, treatment and rehabilitation is of high quality and effectiveness.

Sections 7.09 and 31.04 of the Mental Hygiene Law grant the Commissioner of Mental Health the power and responsibility to adopt regulations that are necessary and proper to implement matters under their jurisdiction, and to set standards of quality and adequacy of facilities, equipment, personnel, services, records and programs for the rendition of services for adults diagnosed with mental illness or children diagnosed with emotional disturbance, pursuant to an operating certificate.

Section 43.02 of the Mental Hygiene Law gives the Commissioner the authority to request from operators of facilities licensed by the Office of Mental Health such financial, statistical and program information as the Commissioner may determine to be necessary.

Sections 364 and 364-a of the Social Services Law give the Office of Mental Health responsibility for establishing and maintaining standards for medical care and services in facilities under its jurisdiction, in accordance with cooperative arrangements with the Department of Health.

Section 29.15 of the Mental Hygiene Law establishes requirements for the discharge or conditional release of patients from hospitals operated by the Office of Mental Health or from psychiatric inpatients services subject to licensure by this office.

2. Legislative Objectives: Articles 7 and 31 of the Mental Hygiene Law reflect the Commissioner's authority to establish regulations regarding mental health programs. The proposed rule furthers the legislative policy of providing high quality inpatient mental health services to individuals with mental illness and to require standard screenings and assessments to take place on admission, require active coordination with community and residential providers, and to require comprehensive discharge plans. Part 582 of Title 14 NYCRR sets forth standards for the certification, operation and organization of psychiatric inpatient hospitals.

3. Needs and Benefits: The proposed rule will require that freestanding psychiatric facilities, certified by the Office of Mental Health will follow comprehensive standards for admission and discharge of individuals. These amendments were considered after a multi-year quality collaborative with hospitals (the High Risk Quality Collaborative) developed consensus statements to reduce the risk of suicide, violence, and opioid overdose and extensive outreach to hospitals, ambulatory community providers and other stakeholders leading to the development of a guidance document which was shared with the field for comment. Pursuant to the Commissioner's general oversight of persons with mental illness to ensure those individuals are provided with care, treatment and rehabilitation is of a high quality and effectiveness, these changes were necessary to ensure the proper and appropriate delivery of services through fostering linkages with community care providers. These changes ensure that treatment will be provided with more of a person-centered approach, leading to more accurate treatment and successful discharges that allow individuals to prolong community tenure. The proposed rule will add new requirements for comprehensive screenings of suicide, violence, and substance use and provides a definition for complex needs. These evaluations and standards for admission, coordination with community providers, and discharge planning should help improve patient outcomes; reduce the risk of post-discharge overdose, self-harm, and violence; and reduce the risk of readmission and disconnection from care.

These amendments include provisions to: remove outdated references to behavioral health organizations and define Managed Care (MCO), remove definition for concurrent review and define utilization review. It provides uniform definition of Collateral, Credentialed Alcoholism and Substance Abuse Counselor (CASAC), creates a new definition of Individual with Complex Needs, Governing Body, Interventional Therapies, and defines Intensive care management. The rule provides definitions for person-centered process, psychiatric advance directive, PSYCKES, shared decision making and SHIN-NY. It clarifies that policies and procedures must conform with confidentiality, clarifies that services shall be provided through a person-centered process with shared decision making and should be informed by the understanding that implicit bias may affect the assessment, diagnosis, treatment and discharge planning of Black, Indigenous, People of Color and other marginalized individuals. The proposal provides admission requirements which shall include an enhanced review of documentation including assessments, treatment, and other services provided in referring outpatient, emergency, or program and prior presentations; collateral information in PSYCKES and any other available information network and a review to see if the individual has a Psychiatric Advance Directive (PAD) or other wellness plan and preferred contacts in PSYCKES. Screenings on admission and documented in the individual's chart will include: suicide risk using a validated instrument, violence risk screening and assessment and inquiries about access to firearms or other weapons, substance use screenings using a validated instrument and a determination shall be made as to whether an individual has complex needs. Discharge plans shall reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs. Enhanced discharge procedures for discharges of individuals with complex needs, including communications with the receiving outpatient treatment program and residential or other long-term care program on the day of discharge, contact with aftercare providers to schedule and confirm a follow up appointment to occur within seven calendar days following discharge. Individuals with complex needs enrolled in outpatient or residential care management, must coordinate discharge plan details and timing with care managers.

4. Costs:

(a) Cost to State government: These regulatory amendments will not result in any additional costs to the State government. Stand alone psychiatric hospitals already have staff that are responsible for conducting evaluation and treatment, doing discharge planning, and maintaining relationships with community agencies. These proposed regulations will not add a requirement for further staff, they clarify and standardize what is already expected of staff. Facilities can use the same electronic medical records they currently use.

(b) Cost to local government: These regulatory amendments will not result in any additional costs to local government.

(c) Cost to regulated parties: These regulatory amendments will not result in any additional costs to those regulated parties.

5. Local Government Mandates: These regulatory amendments will not result in any additional imposition of duties or responsibilities upon county, city, town, village, school or fire districts.

6. Paperwork: No substantial increase in paperwork is anticipated as a result of the amendments to 14 NYCRR Part 582. Hospitals have staff that are responsible for conducting evaluation and treatment, doing discharge planning, and maintaining relationships with community agencies. These proposed regulations clarify and standardize what is already expected of staff. Facilities can use the same electronic medical records they currently use.

7. Duplication: These regulatory amendments do not duplicate existing State or federal requirements.

8. Alternatives: No alternatives were considered, as these amendments seek to to ensure that psychiatric inpatient settings provide safe, comprehensive, and evidence based treatment. These amendments were considered after a multi-year quality collaborative with hospitals (the High Risk Quality Collaborative) developed consensus statements to reduce the risk of suicide, violence, and opioid overdose and extensive outreach to hospitals, ambulatory community providers, and other stakeholders leading to the development of a guidance document which was shared with the field for comment. Pursuant to the Commissioner's general oversight of persons with mental illness to ensure those individuals are provided with care, treatment and rehabilitation is of a high quality and effectiveness, these changes were necessary to ensure the proper and appropriate delivery of services through fostering linkages with community care providers. These changes ensure that treatment will be provided with more of a person-centered approach, leading to more accurate treatment and successful discharges that allow individuals to prolong community tenure. New standards for discharge planning will ensure that individuals will be discharged to an appropriate setting according to their needs. Discharge planning will include confirmed, scheduled outpatient appointments. For individuals with complex needs and who have a care manager, inpatient staff will coordinate plan details and timing with care managers. All individuals should be referred for outpatient mental health services which are person-centered, recovery-oriented designed to help individuals achieve and maintain recovery from mental health conditions by treating the symptoms of those conditions and restoring skills which have been lost due to the onset of mental illness and which are necessary for individuals to manage and cope with the symptoms and behaviors associated with mental health conditions and function successfully in the community.

9. Federal Standards: The regulatory amendments do not exceed any minimum standards of the federal government for the same or similar subject areas.

10. Compliance Schedule: This rulemaking will be effective upon publication of a Notice of Adoption in the State Register.

Revised Regulatory Flexibility Analysis

No regulatory flexibility analysis is required pursuant to section 202-(b)(3)(a) of the State Administrative Procedure Act. The proposed amendment does not impose an adverse economic impact on small businesses or local governments, and it does not impose reporting, record keeping or other compliance requirements on small businesses or local governments.

Revised Rural Area Flexibility Analysis

The amendments to Part 582 14 NYCRR will require that operation of hospitals for persons with mental illness follow comprehensive standards for admission and discharge of patients from such facilities. The proposed rule will add new requirements for evidence-based standards for screenings and creates standards for safe discharges. The amendments will not impose any adverse economic impact on rural areas; therefore, a Rural Flexibility Analysis is not submitted with this notice.

Revised Job Impact Statement

A job impact statement is not needed as this proposed rule requires that hospitals for persons with mental illness, follow comprehensive standards for admission and discharge of patients in an effort to coordinate appropriate care and reduce the amount of unnecessary and reoccurring visits. There will be no adverse impact on jobs and employment opportunities as a result of the proposed amendments to 14 NYCRR Part 582.

Assessment of Public Comment

Comment 1: A Commentor recommended further review of addressing the complex needs of individuals with co-occurring disorders including Intellectual Developmental Disabilities.

Response 1: The comment was reviewed by the Office. Additional information will be provided through guidance.

Comment 2: A Commenter requested the Office broaden the definition of providers who are authorized to provide MHL 9.58 removals.

Response 2: The comment was reviewed by the Office and no amendment was determined to be necessary as the comment is outside the scope of the rule.

Comment 3: A Commenter requested the Office increase the number of teams available in the community.

Response 3: The comment was reviewed by the Office and no amendment was determined to be necessary as the comment is outside the scope of the rule.

Comment 4: A Commentor requested the Office clarify the definition of "harm to self."

Response 4: The comment was reviewed by the Office. The language proposed is consistent with other programs, and as such, no amendments were made. Additional guidance recently provided by the Office clarifying the Article 9 "harm to self" standard addresses the concern.

Comment 5: A Commentor requested the Office mandate hospitals to use the totality of a patient's history to make a determination of treatment.

Response 5: The Office has reviewed the comment and find the amendment to be unnecessary as the rule presently provides for a person-centered process with shared decision making and requires a more comprehensive review for admission and discharge determinations.

Comment 6: A Commentor requested the Office require hospitals to provide outpatient treatment programs.

Response 6: The comment was reviewed by the Office and no amendment was determined to be necessary as the comment is outside the scope of the rule.

Comment 7: A Commentor with lived experience recommended an increase in the budget for youth and young adult mental health.

Response 7: The comment was reviewed by the Office and no amendment was determined to be necessary as the comment is outside the scope of the rule.

Comment 8: A Commentor with lived experience shared their support for the proposed rule as it relates to more efficient communication between providers.

Response 8: The comment was reviewed by the Office and no amendment was determined to be necessary. The Office appreciates the comments from individuals and family members with lived experience.

Comment 9: A Commentor expressed support for the goal of improving discharge planning, screenings for social determinants of health and the presence of complex conditions, and supports the increased collaboration with community organizations, which will support more person-centered care.

Response 9: The comment was reviewed by the Office and no amendment was determined to be necessary.

Comment 10: A Commentor expressed concerns that the violence screening assessment will encourage the finding of non-existent violent tendencies and have a disproportionate effect on certain populations.

Response 10: The Office has reviewed the comment and find the amendment to be unnecessary as the rule presently provides that all services must be informed by the understanding that implicit bias may affect the assessment, diagnosis, treatment and discharge planning of Black, Indigenous, People of Color and other marginalized individuals. Additional information will be provided through guidance.

Comment 11: A Commentor recommended discharge guidance to encourage connection with per support services, housing services and referrals to a local Clubhouse program or recovery center.

Response 11: Response 51: The comment was reviewed by the Office. Additional information will be provided through guidance.

Comment 12: A Commentor recommended amendments to Part 580.6(c)(9) language concerning discharge of ''individuals with elevated risk of violence'' to be more general or reserved for guidance and technical assistance.

Response 12: The Office has taken the recommendation to revise the language.

Comment 13: A Commentor stated the proposed regulation should require staff to determine the person's housing status before discharge, where the individual resides in supportive housing, the hospital should be directed to contact the housing provider to determine whether the person can return there or whether alternative housing needs to be arranged. OMH should establish a point of contact at each field Office who can provide contact information for supportive housing providers, including the name of the program director.

Response 13: The comment was reviewed by the Office and no amendment was determined to be necessary as the concern is addressed by the proposed regulation. The discharge plan will be developed through shared decision making in a person-centered process and reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs.

Comment 14: A Commentor stated the proposed regulation should require outreach to homeless shelters or local agency that oversees homeless shelters for people who report that they reside in a shelter.

Response 14: The comment was reviewed by the Office and no amendment was determined to be necessary as the concern is addressed by the proposed regulation. As required in the proposed regulations, the discharge plan will be developed through shared decision making in a person-centered process and reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs.

Comment 15: A Commentor stated the regulations should require completion of a supportive housing application for persons who are unhoused or unstably housed and agree to receive assistance as part of the discharge planning process.

Response 15: The comment was reviewed by the Office and no amendment was determined to be necessary as the concern is addressed by the proposed regulation. The discharge plan will be developed through shared decision making in a person-centered process and reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs.

Comment 16: A Commentor stated the regulations should require completion of Medicaid Transportation applications for people who report that they cannot use public transportation for medical reasons.

Response 16: The comment was reviewed by the Office and no amendment was determined to be necessary as the concern is addressed by the proposed regulation. The discharge plan will be developed through shared decision making in a person-centered process and reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs.

Comment 17: A Commentor stated the regulations should require hospitals to provide information about crisis respite centers as an option for stepdown care.

Response 17: The comment was reviewed by the Office and no amendment was determined to be necessary as the concern is addressed by the proposed regulation The discharge plan will be developed through shared decision making in a person-centered process and reflect individual strengths and level of social support and address psychiatric, substance use disorder, chronic medical, and social needs.

Comment 18: A Commentor stated the regulations should require care coordination referrals for people who are not already linked to a care coordination provider.

Response 18: The comment was reviewed by the Office and no amendment was determined to be necessary as the concern is addressed by the proposed regulation where it is not outside the scope of the regulation. Additional information will be provided through guidance.

Comment 19: A Commentor stated the regulations should encourage communication with criminal legal system supports when appropriate. When a person reports having a pending criminal case and requests assistance contacting their attorney or being on probation or parole supervision and requests assistance contacting the supervising officer, hospital staff should assist the person in obtaining contact information for the relevant attorney, probation officer, or parole officer.

Response 19: The Office has reviewed the comment and agrees with the assessment.

Office for People with Developmental Disabilities

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Pathway to Employment

I.D. No. PDD-31-24-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Subpart 635-10 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.07, 13.09(b) and 16.00

Subject: Pathway to Employment.

Purpose: To update the pathway to employment regulations as NY becomes an employment first State.

Substance of proposed rule (Full text is posted at the following State website: https://opwdd.ny.gov/regulations-guidance/current-proposed-regulations): • Repeal existing subdivision 635-10.4(h) and replace as follows:

(h) Pathway to Employment.

Pathway to Employment is a time-limited, person-centered, comprehensive career and vocational planning and support service. The goal of this service is to help individuals in developing a vocational plan with an identified career path to obtain competitive integrated employment in the future. This habilitative service uses an individualized career and vocational planning process to identify the individual's vocational strengths and goals, which includes community vocational experiences and support services.

The outcome of Pathway to Employment is a career, vocational and transition plan document which includes the next steps for achieving self-employment or competitive, integrated employment at or above the State or Federal minimum wage.

(1) Allowable Services.

The Pathway to Employment service consists of specific allowable services. Allowable services must be provided directly to and/or on behalf of an individual. This may include providing the services remotely if that is not the only service delivery method. Allowable Pathway to Employment services include:

(i) vocational assessment, discovery activities, person-centered planning, job-related experiential learning, and career exploration;

(ii) assessment and instruction in the use of remote and assistive technology to increase independence in the workplace;

iii) development and planning community-based vocational experiences (paid or unpaid), including analysis, customization, carving, and negotiating, training or orienting employers on behalf of an individual or group of individuals;

(iv) training, orientation, and systematic instruction including career-specific skills instruction prior to and during the community-based vocational experiences;

(v) job coaching;

(vi) planning for self-employment, including identifying skills that could be used to start a business, identifying business training and technical assistance that could be utilized in achieving self-employment goals;

(vii) communication and meeting with the individual, businesses, staff delivering or coordinating services for the individual, family members and the individual's circle of support and instructors to address challenges, discuss supports or coordinate Pathway to Employment services;

(viii) review of an individual's records and other documentation for career planning;

(ix) observation and assessment of an individual's interactions and routines at home, in the community and within other services or programs for the purpose of assessment and career planning;

(x) staff travel to and from allowable Pathway to Employment activities, including staff travel time to deliver Pathway to Employment services directly or on behalf of an individual;

(xi) travel training related to accessing employment;

(xii) development of social skills, job retention strategies, and other workplace skills that enable the individual to be successfully integrated into the vocational setting (e.g., social interactions, maintaining relationships with co-workers and supervisors, job readiness, how to attend to task, problem-solving, increasing independence, and communication skills);

(xiii) benefits support, advisement and asset development;

(xiv) planning for employment opportunities or vocational experience sites on behalf of an individual or group of individuals;

(xv) documentation of the delivery and support of all Pathway to Employment services, preparation of service-related documentation and completion of the comprehensive career and vocational plan;

(xvi) planning the delivery of all allowable Pathway to Employment services;

(xvii) managing the delivery of all allowable Pathway to Employment services;

(xviii) communication, reports or meetings with OPWDD staff regarding outcomes for individuals receiving Pathway to Employment services, report preparation, and other requested information;

(xix) staff time to attend and travel to OPWDD Innovations Training; and

(xx) other activities with prior approval from OPWDD.

(2) Eligibility for Pathway for Employment.

To be eligible for Pathway to Employment services, the individual must:

(i) require habilitative services including employment-related learning, volunteer and vocational experiences;

(ii) express an interest in preparing for competitive integrated employment or self-employment and have this identified in their Life Plan;

(iii) be enrolled in the Home and Community-based Services (HCBS) Waiver; and

(iv) not have met or exceeded the lifetime total of Pathway to Employment service hours cap (see paragraph (3) of this subdivision.

(3) Limits on Pathway to Employment.

(i) Pathway to Employment is time-limited. Eligible individuals may be approved for Pathway to Employment for up to 12 months or 425 hours, whichever occurs first.

(ii) In unique circumstances, the Pathway to Employment provider may request OPWDD approval for additional time and/or hours of this service. This request must be made in the form and format specified by OPWDD.

(iii) In these unique circumstances, OPWDD may consider requests for approval for additional time and/or hours of Pathway to Employment. OPWDD will determine if these requests are reasonable considering the services provided, community-based vocational experiences, best interests of the individual, and any other relevant criteria as determined by OPWDD.

(iv) In any event, an individual's Pathway to Employment services are capped and must not exceed a total of 24 months or 556 hours (whichever occurs first) over their lifetime.

(4) Pathway to Employment Service Provision Time.

Pathway to Employment services may be provided during both the day and/or evening on both weekdays and weekends.

(5) Individual and Group Provision of Pathway to Employment.

Pathway to Employment may be provided to one (1) individual or a group of two – five (2-5) individuals, except for training related to gaining vocational skills or career exploration. Related training may be delivered to a group of no more than 15 individuals. Individuals may move to and from individual and group sessions based on the individual's needs.

(6) Community-Based Vocational Experiences.

(i) Individuals receiving Pathway to Employment services must participate in community-based vocational experiences to inform the development of the Career, Vocational, and Transition Plan. Community-based vocational experiences must be delivered in integrated settings alongside members of the broader community.

(ii) Community-based vocational experiences may be paid or unpaid. Applicable Federal and/or State Department of Labor laws, rules, regulations, and guidance applies to both paid and unpaid community experiences.

(iii) If the individual is paid for their community-based vocational experience, then they must earn minimum wage at or above the applicable county, State or Federal minimum wage.

(iv) If an individual is provided 100 or more hours of Pathway to Employment services, there must be at least:

(a) 30% of those hours must include community-based vocational experiences. This must be documented in the individual's Career, Vocational, and Transition Plan.; and

(b) three (3) different community-based vocational experiences.

(v) In exceptional circumstances where the individual is provided more than 100 hours of Pathway to Employment services, OPWDD may grant an exception to allow fewer than:

(a) 30% community-based vocational experience hours; and/or

(b) three (3) community-based vocational experiences.

(7) Career, Vocational, and Transition Plan.

(i) A Career, Vocational, and Transition Plan is a comprehensive plan that identifies an individual's career path to help them obtain competitive integrated employment.

(ii) Providers must complete a Career, Vocational, and Transition Plan for each individual enrolled in the service.

(iii) Career, Vocational, and Transition Plans must be completed in the form and format specified by OPWDD.

(iv) Career, Vocational, and Transition Plans must be submitted to OPWDD no later than 90 days after the individual's disenrollment from Pathway to Employment.

(v) Individuals are entitled to a copy of their final Career, Vocational and Transition Plan as submitted to OPWDD. Providers must provide a copy to the individual no later than 14 days from their request.

• Repeal existing subdivision 635-10.5(ad) and replace as follows:

(ad) Pathway to Employment:

(1) Reimbursement.

Reimbursement is contingent on the individual and service provision meeting the requirements listed in subdivision 635-10.4(h) of this Subpart.

(2) Unit of service.

Pathway to Employment is an hourly service and is reimbursed in 15-minute increments. When there is a break in service delivery during a single day, the service provider must combine, for billing purposes, the durations of the continuous periods/sessions of each specific type (individual or group) of service provision. The duration of each individual session within a day must be combined, but individual and group sessions provided in the same day must not be combined.

(3) Rounding.

Only the total minutes of the specific billing category (individual or group) for the day may be rounded up to the next 15-minute increment. If there are multiple periods of service during the day, the provider must combine the total minutes for the specific billing category (individual or group) for the day and may not round each specific service period separately. The provider should determine how many 15-minute increments were provided for each specific service. If there are additional minutes of the specific service provided that do not meet the full 15-minute increment, the additional minutes may be rounded to one additional 15-minute increment if there were 10-14 minutes of additional service.

(4) Billable service time.

Billable service time is:

(i) time when staff are providing Pathway to Employment allowable services listed in section 635-10.4(h)(1) of this Subpart in accordance with the individual's Life Plan and Pathway to Employment Staff Action Plan; and

(ii) time when service provider is developing the Pathway to Employment staff action plan.

(5) Restrictions on billable service time.

(i) Allowable Pathway to Employment services provided without the individual present may be delivered when the individual is simultaneously receiving another direct Medicaid service including a Pathway to Employment service provided directly to the individual.

(ii) Allowable Pathway to Employment services provided with the individual present must not be delivered when the individual is simultaneously receiving another direct Medicaid service except when the Pathway to Employment Services staff is observing and not providing a direct service.

(iii) An exception is the provision of Medicaid Care Management (CM), which may be provided simultaneously with allowable activities that involve service when the individual is present.

(iv) Transportation to another Medicaid Waiver service that includes transportation in the rate may not be billed under Pathway to Employment services.

(6) Staff Training Requirements.

(i) All staff providing Pathway to Employment services must complete required OPWDD approved Pathway to Employment and supported employment-related training. This includes:

(a) initial training. 24 hours of initial training completed no later than December 31st two (2) years after the staff's date of hire or transfer to Pathway to Employment service; and

(b) annual training. Six (6) additional hours of training annually, starting January 1st of the following calendar year after the completion of initial training. For staff hired prior to the effective date of this regulation, they must complete the six (6) hours of annual training no later than December 31, 2025. OPWDD may grant extensions or waivers under extenuating circumstances.

(7) Documentation.

(i) The service provider must maintain service documentation and a monthly summary to show that an individual received Pathway to Employment services in accordance with their Life Plan and Staff Action Plan.

(ii) For each continuous Pathway to Employment service session, the service provider must document at least one allowable service delivered during the Pathway to Employment service session.

(iii) The service provider must complete and submit the Pathway to Employment Career, Vocational and Transition Plan as specified in paragraph 635-10.4(h)(7) of this Subpart.

(iv) The service provider must report semi-annually on Pathway to Employment data and related requested information in a form and format specified by OPWDD.

(8) Use of funds.

The Pathway to Employment service provider must ensure that Medicaid revenue billed and received for the provision of the Pathway to Employment service is not used to pay wages to an individual receiving the service.

Text of proposed rule and any required statements and analyses may be obtained from: Mary Beth Babcock, Office for People With Developmental Disabilities, 44 Holland Ave., Albany, NY 12209, (518) 474-7700, email: rau.unit@opwdd.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment and an E.I.S. is not needed.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. Statutory Authority:

a. OPWDD has the statutory responsibility to provide and encourage the provision of appropriate programs, supports, and services in the areas of care, treatment, habilitation, rehabilitation, and other education and training of persons with intellectual and developmental disabilities, as stated in the New York State (NYS) Mental Hygiene Law Section 13.07.

b. OPWDD has the authority to adopt rules and regulations necessary and proper to implement any matter under its jurisdiction as stated in the NYS Mental Hygiene Law Section 13.09(b).

c. OPWDD has the statutory authority to adopt regulations concerned with the operation of programs and the provision of services, as stated in the NYS Mental Hygiene Law Section 16.00. The regulation also ensures compliance by OPWDD certified and operated residences with the proper provision of services. Supported Employment is a program provided by OPWDD.

2. Legislative Objectives: The proposed regulations further legislative objectives embodied in sections 13.07, 13.09(b), and 16.00 of the Mental Hygiene Law. The regulation amends Subparts 635-10 regarding Pathway to Employment.

3. Needs and Benefits: The proposed regulation repeals and replaces 14 NYCRR 635-10.4(h) and 635-10.5(ad) to define what Pathway to Employment Services are, expand the types of allowable services providers can bill for, set eligibility criteria for individuals to access Pathway to Employment services, set limits of Pathway to Employment services, and describe when such services may be delivered. This section was re-ordered in order to match other employment and vocational services. As a result, the allowable services are more understandable and more clearly defined for recipients and providers.

Additionally, the regulations require community-based vocational experiences for individuals receiving Pathway to Employment services, an integral part of assisting an individual identify a career path. The regulations require the completion of a Career, Vocational, and Transition Plan which will help the individual identify the service(s) needed for them to gain competitive integrated employment. The provider community has been a large part of the development of these regulations which eases some administrative burden. Additionally, the new regulations reduce administrative tasks by streamlining documentation and eliminating tracking of indirect hours which will help individuals get quality vocational services in a timelier manner. Lastly, the regulation sets requirements about provider billing, staff training requirements, and documentation of service provision. These changes will help support providers and individuals with developmental disabilities seeking employment as New York becomes an employment-first state.

4. Costs: The proposed regulation is expected to have no additional costs to OPWDD. There will be no increase in costs to local governments. Furthermore, OPWDD expects that there will be no cost to private regulated parties as a result of this regulation. While providers will be able to choose to bill for a wider array of services, the services have been reclassified and the services are not expected to add costs to OPWDD or Medicaid.

5. Local Government Mandates: There are no new requirements imposed by the rule on any county, city, town, village; or school, fire, or other special district. Additionally, no local government units provide these services.

6. Paperwork: Providers will not experience an increase in paperwork as a result of the proposed regulations. The regulation does not require

providers to complete additional paperwork but allows more services to be billable.

7. Duplication: The proposed regulations do not duplicate any existing State or Federal requirements on this topic.

8. Alternatives: OPWDD has discussed these changes internally and with providers. These discussions included considerations about what activities would help support individuals in their advancement towards employment. It was determined that amending the regulation was needed to allow for more billable activities for the provider, as well as updating information about the requirements for community based vocational assessments. This section was re-ordered in order to match other employment and vocational services. As a result, the allowable services are more understandable and more clearly defined for recipients and providers.

9. Federal Standards: The proposed amendments do not exceed any minimum standards of the Federal government for the same or similar subject areas.

10. Compliance Schedule: These regulations will become effective upon publication of a Notice of Adoption in the New York State Register. OPWDD expects that providers will be in compliance with the proposed requirements at the time of their effective date and estimates that no compliance schedule will be necessary.

Regulatory Flexibility Analysis

A regulatory flexibility analysis for small businesses and local governments is not being submitted because the proposed regulation will not impose any adverse economic impact or reporting, record keeping or other compliance requirements on small businesses or local governments. There are no professional services, capital, or other compliance costs imposed on small businesses or local governments as a result of these amendments.

The proposed regulation repeals and replaces 14 NYCRR 635-10.4(h) and 635-10.5(ad) to define what Pathway to Employment Services are, expand the types of allowable services providers can bill for, set eligibility criteria for individuals to access Pathway to Employment services, set limits of Pathway to Employment services, and describe when such services may be delivered. The regulations further require community-based vocational experiences for individuals receiving Pathway to Employment services and requires the completion of a Career, Vocational, and Transition Plan which helps individuals navigate their work towards their employment goals. Lastly, the regulation sets requirements about provider billing, staff training requirements, and documentation of service provision.

The regulation as proposed will not result in costs or new compliance requirements for regulated parties. Thus, the regulation will not have any adverse effects on providers of small business and local governments.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis for this amendment is not being submitted because the regulation will not impose any adverse impact or significant reporting, record keeping or other compliance requirements on public or private entities in rural areas. There are no professional services, capital, or other compliance costs imposed on public or private entities in rural areas as a result of the proposed regulation.

The proposed regulation repeals and replaces 14 NYCRR 635-10.4(h) and 635-10.5(ad) to define what Pathway to Employment Services are, expand the types of allowable services providers can bill for, set eligibility criteria for individuals to access Pathway to Employment services, set limits of Pathway to Employment services, and describe when such services may be delivered. The regulations further require community-based vocational experiences for individuals receiving Pathway to Employment services and requires the completion of a Career, Vocational, and Transition Plan which helps individuals navigate their work towards their employment goals. Lastly, the regulation sets requirements about provider billing, staff training requirements, and documentation of service provision.

The regulation will not result in an adverse impact on rural communities because the regulation applies to all communities equally. The regulation will have a positive impact on jobs and employment opportunities in New York State. The proposed regulation will not result in costs or new compliance requirements for regulated parties and consequently, the amendments will not have any adverse effects on providers in rural areas and local governments.

Job Impact Statement

A Job Impact Statement for the proposed regulation is not being submitted because it is apparent from the nature and purpose of the regulation that it will not have a substantial adverse impact on jobs and/or employment opportunities.

The proposed amendments repeal and replace 14 NYCRR 635-10.4(h) and 635-10.5(ad) to define what Pathway to Employment Services are, expand the types of allowable services providers can bill for, set eligibility criteria for individuals to access Pathway to Employment services, set limits of Pathway to Employment services, and describe when such services may be delivered. The regulations further require community-based vocational experiences for individuals receiving Pathway to Employment services and requires the completion of a Career, Vocational, and Transition Plan which helps individuals navigate their work towards their employment goals. Lastly, the regulation sets requirements about provider billing, staff training requirements, and documentation of service provision. The regulation is limited solely to Pathway to Employment services. The regulation will not have a substantial impact on jobs or employment opportunities in New York State because the regulation expands the services providers are allowed to bill for thus creating more opportunities to offer Pathway to Employment services.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Support Decision Making

I.D. No. PDD-31-24-00014-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Addition of Part 634; amendment of Parts 624, 629, 633, 635, 636, 679; repeal of section 681.13 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.07, 13.09(b), 13.15(a), 16.00 and art. 82

Subject: Support Decision Making.

Purpose: To effectuate the adoption of supported decision-making practices within the OPWDD service system.

Substance of proposed rule (Full text is posted at the following State website: https://opwdd.ny.gov/regulations-guidance/current-proposed-regulations): The enclosed regulations proposed at 14 NYCRR Part 634 contain rules necessary to implement New York Mental Hygiene Law (MHL) Article 82 for adults who receive or are eligible to receive services that are operated, certified, funded, or approved by the Office for People With Developmental Disabilities (OPWDD), and ensure the appropriate adoption of supported decision-making practices within the OPWDD service system.

Specifically, as required by MHL §§ 82.05, 82.09, 82.11, and 82.12, section 634.4 contains regulations defining and prescribing the supported decision-making facilitation process. Additionally, section 634.4 requires the authorization of supported decision-making facilitators by OPWDD, provides that certain supported decision-making agreements that were facilitated and executed prior to the passage of Article 82 and adoption of these regulations are given legal recognition, and ensures that facilitation may not be discriminatorily denied to those individuals who wish to make use of it.

Section 634.5 includes rules to implement Article 82's provisions related to the duties, responsibilities, and eligibility of supporters under both formal and informal supported decision-making agreements. These primarily relate to requirements and prohibitions on supporter conduct, but also cover eligibility criteria for supporters. The rules related to eligibility criteria include expanded explanation of certain areas of Article 82, such as: the possible interactions of a supporter's responsibilities and those of other roles that they might hold (e.g., health care agent, agent under a power of attorney, representative payee, health care surrogate under New York Surrogate's Court Procedure Act (SCPA) § 1750-b or Public Health Law (PHL) Article 29-CC, fiduciary, mandated reporter, custodian, or available resource under the Social Services Law); clarification that supporters are not precluded from serving based on citizenship or immigration status; and the role of agencies providing services to a decision-maker to determine the appropriateness of a staff member also serving as a supporter.

Section 634.6 implements Article 82 requirements related to the form that a formal supported decision-making agreement must take, and includes various clarifications related to the differences in applicability and enforceability between informal and formal supported decision-making agreements.

Section 634.7 applies the explicit standard of rebuttable presumption of capacity for individuals who wish to explore and enter into a supported decision-making agreement, as required under MHL § 82.03. This section also contains clarifications necessary to implement this presumption in the context of existing standards for capacity in other OPWDD regulations, and rules outlining the limits of the presumption of capacity and the terms under which it can be challenged or rebutted.

Section 634.8 primarily concerns implementation of the requirement that supporters be entitled to certain forms of notice, as provided by MHL § 82.13. This section also provides the mechanism by which concerned parties, including supporters, family members of a decision-maker, or the

operator of a facility or service operated or certified by OPWDD, may notify OPWDD and the Mental Hygiene Legal Service (MHLS) of their concerns related to a supported decision-making agreement, a supporter, or a decision made pursuant to a supported decision-making agreement.

Section 634.9 primarily sets forth rules implementing the provisions of MHL § 82.11, regarding the legal effect of decisions made in accordance with a formal supported decision-making agreement, and the extent to which third parties, including OPWDD and its providers, are required to honor such decisions. This section also includes rules intended to clarify the limits of a party's obligations under Article 82, including the circumstances under which such party may delay or refuse to honor a decision made under a formal supported decision-making agreement, and the assurances such party is permitted to require from a decision-maker in the form of an additional attestation(s) executed by the decision-maker, as permitted by MHL §§ 82.10(d) and 82.11(c).

Section 634.10 sets forth the responsibilities of providers of care management services, including Care Coordination Organizations, with respect to implementation of Article 82. These responsibilities include the training of care managers and relevant staff on the development, use, and purposes of supported decision-making; ensuring appropriate counseling of individuals on supported decision-making and other alternatives to adult guardianship; and appropriate maintenance of records of individuals who make use of supported decision-making.

Section 633.99 includes regulatory definitions specific to Part 634, including "decision-maker," "facilitation," "supported decision-making," "formal supported decision-making agreement," "informal supported decision-making agreement," and "supporter."

In addition to the addition of Part 634, implementation of Article 82 requires amendment to several existing sections of Chapter XIV of Title 14. These amendments fall primarily into two categories:

(1) Changes to notice provisions throughout Chapter XIV to include the requirement that applicable notice be made to supporters who are indicated as entitled to notice under a supported decision-making agreement.

(2) Changes to numerous sections of Chapter XIV where reference is made to a person's capacity or lack thereof. In those sections, amendments have been made to ensure that when assessing a person's capacity to make a decision, consent, or act on their own behalf, due consideration is always paid to the supports and accommodations available under an informal supported decision-making agreement. Additional amendments are also made in those sections to clarify that in all cases where a decision-maker has executed a formal supported decision-making agreement that provides for support in the area that would reasonably be understood to cover a decision in question, third parties may only seek consent from (or accept the decision of) the decision-maker themselves, unless one of the enumerated exceptions applies. These changes are essential to ensure that supported decision-making agreements are given the appropriate level of legal weight under OPWDD's numerous surrogate decision-making structures to align with the text and spirit of Article 82.

Additional amendments to existing regulations also include: enumeration of the right to use supported decision-making, whether formally or informally, under OPWDD's statement of rights at section 633.4; rules relating to supporters' need for information access to assist the decision-maker in gathering, interpreting, and understanding information pertinent to a given decision (subject to privacy rules); the addition of relevant supported decision-making terms to respective glossary sections in Chapter XIV; and rules intended to provide clarity regarding the interplay between Article 82 and SCPA § 1750-b governing end-of-life and major medical decision-making for certain individuals with intellectual and developmental disabilities.

Text of proposed rule and any required statements and analyses may be obtained from: Mary Beth Babcock, Office for People With Developmental Disabilities, 44 Holland Avenue, Albany, NY 12229, (518) 474-7700, email: rau.unit@opwdd.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment and an E.I.S. is not needed.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. Statutory Authority:

a. The Office for People With Developmental Disabilities (OPWDD) has the statutory responsibility to provide and encourage the provision of appropriate programs, supports, and services in the areas of care, treatment, habilitation, rehabilitation, and other education and training of persons with intellectual and developmental disabilities, as stated in the New York State (NYS) Mental Hygiene Law (MHL) Section 13.07.

b. OPWDD has the statutory authority to adopt rules and regulations necessary and proper to implement any matter under its jurisdiction as stated in the NYS MHL Section 13.09(b).

c. OPWDD has the statutory authority to adopt regulations concerned with the operation of programs and the provision of services, as stated in the NYS MHL Section 16.00. The regulation also ensures compliance by OPWDD certified and operated residences with the proper provision of services.

d. OPWDD has the statutory authority and obligation to adopt regulations concerned with the creation of supported decision-making agreements, and provision of supported decision-making facilitation services, as stated in NYS MHL Article 82.

2. Legislative Objectives: The proposed regulations further legislative objectives embodied in MHL sections 13.07, 13.09(b), 16.00, and Article 82. The regulations amend Title 14 New York Codes Rules and Regulations (NYCRR) Parts 624, 629, 633, 635, 634, 636, 679, and repeal Section 681.13 in order to implement MHL Article 82 for adults who receive or are eligible to receive services that are operated, certified, funded, or approved by OPWDD, and ensure the appropriate adoption of supported decision-making practices within the OPWDD service system.

3. Needs and Benefits: The proposed regulation amends various Parts of Title 14 NYCRR to set forth new rules to implement provisions related to supported decision-making facilitation services; the duties, responsibilities, and eligibility of supporters under both formal and informal supported decision-making agreements; the differences between informal and formal agreements; the application of the presumption of capacity for individuals considering entering into such agreements, notice requirements for eligible supporters; and the responsibilities of providers of care management services, as well as adding necessary definitions. MHL Article 82 was passed with the intention of ensuring that supported decision-making as a less restrictive alternative to adult guardianship was made available in New York State, as it has in approximately nineteen other states. When used appropriately, supported decision-making allows people with certain disabilities to maintain decision-making authority in their lives, which otherwise may not have been possible without these additional supports. The rule ensures the appropriate adoption of supported decision-making practices within the OPWDD service system. The regulation will result in new compliance requirements for some providers of services for individuals entering into such agreements. However, the compliance measures would be minimal and not overly burdensome for most providers, as it would require only providers of care management services to complete training on counseling individuals on supported decision-making and appropriate maintenance of records. Other providers of services are only required to meet the newly proposed regulatory standards to honor the decision-making authority protected or maintained by formal supported decision-making agreements, and otherwise adhere to the existing requirements in MHL Article 82. OPWDD's promulgation of this regulation is required by MHL Article 82 and necessary to effectuate and operationalize the requirements contain therein.

4. Costs:

a. Costs to the Agency and to the State and its local governments:

There is no anticipated impact on Medicaid expenditures as a result of the proposed regulations.

These regulations will not have any fiscal impact on local governments, as the contribution of local governments to Medicaid has been capped. Chapter 58 of the Laws of 2005 places a cap on the local share of Medicaid costs and local governments are already paying for Medicaid at the capped level.

There are no anticipated costs to OPWDD in its role as a provider of services to comply with the new requirements.

b. Costs to private regulated parties: There are no anticipated costs to most regulated providers to comply with the proposed regulations. The amendments/additions simply require providers to implement provisions related to the duties, responsibilities, and eligibility of supporters under both formal and informal supported decision-making agreements. There are some minor anticipated additional costs to providers of care management services, as a result of additional training and record keeping provisions contained in the regulation.

5. Local Government Mandates: This regulation would not apply to local government units. There are no new requirements imposed by the rule on any other county, city, town, village; or school, fire, or other special district.

6. Paperwork: Providers may experience a slight increase in paperwork because of the proposed regulations. Care managers will be required to keep records regarding an enrollee using a supported decision-making arrangement.

7. Duplication: The proposed regulations do not duplicate any existing State or Federal requirements on this topic.

8. Alternatives: OPWDD did not consider any other alternatives to the proposed regulations. The regulations are necessary to effectuate the requirements of MHL Article 82.

9. Federal Standards: The proposed amendments do not exceed any minimum standards of the federal government for the same or similar subject areas.

10. Compliance Schedule: These regulations will become effective upon publication of a Notice of Adoption in the New York State Register. The proposed regulations were discussed with and reviewed by representatives of providers and other stakeholder groups in advance of this proposal. OPWDD expects that providers will be in compliance with the proposed requirements at the time of their effective date.

Regulatory Flexibility Analysis

1. Effect of rule:

a. This regulation would apply to all adults who receive or are eligible to receive services that are operated, certified, funded, or approved by the Office for People With Developmental Disabilities (OPWDD), and to providers of those services. It ensures the appropriate adoption of supported decision-making practices within the OPWDD service system. Only providers of care management services have specific obligations under this regulation greater than those imposed by the regulation's enabling statute, Mental Hygiene Law (MHL) Article 82.

2. Compliance requirements: These rules will require additional training and recording requirements by some providers in order to ensure program integrity. Any other entities that provide relevant services will be required to follow OPWDD regulations as they have been required to do so previously, and meet their statutory obligations under MHL Article 82. For providers of care management services, there will be training requirements regarding the development, use, and purposes of supported decision-making; ensuring appropriate counseling of individuals on supported decision-making and other alternatives to adult guardianship; and requirements regarding the appropriate maintenance of records of individuals who make use of supported decision-making. None of the providers or care management services subject to these additional training and record-keeping requirements are operated by local governments or small businesses. Except for providers of care management services, providers of services overseen by OPWDD are only required to meet their statutory obligations under MHL Article 82, including those to honor the decision-making authority protected or maintained by formal supported decision-making agreements. This regulation operationalizes the requirements contained in Article 82, but does not place upon these other providers new regulatory requirements.

3. Professional services: This rule would not require additional professional services.

4. Compliance costs:

a. There are no additional compliance costs for local governments or small businesses imposed by this regulation greater than those of its enabling statute, MHL Article 82.

5. Economic and technological feasibility: The entities required to comply with these rules are expected to already have the technological capability to comply. There are no extra costs associated with achieving compliance.

6. Minimizing adverse impact: There will be no adverse impact as a result of these rules because the rule applies to all providers of these services regardless of size. With the exception of those aforementioned requirements placed on providers of care management service, this regulation does not impose requirements greater than those of its enabling statute, MHL Article 82.

7. Small business and local government participation: This regulation would not apply to local governments, and does not impose upon local governments or small businesses any requirements greater than those of its enabling statute, MHL Article 82.

8. Establishment or modification of penalties: The regulation does not modify or establish any new penalties.

9. Initial review of the rule: OPWDD will review the rule within the third calendar year after filing.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis for these amendments is not being submitted because the regulation will not impose any adverse impact or significant reporting, record keeping or other compliance requirements on public or private entities in rural areas. There are no professional services, capital, or other compliance costs imposed on public or private entities in rural areas due to the proposed regulation.

The proposed regulation amends Title 14 New York Codes Rules and Regulations (NYCRR) Parts 634, 624, 629, 633, 635, 636, 679, and repeals Section 681.13 in order to implement Mental Hygiene Law (MHL) Article 82 for adults who receive or are eligible to receive services that are operated, certified, funded, or approved by the Office for People With Developmental Disabilities (OPWDD), and ensure the appropriate adoption of supported decision-making practices within the OPWDD service system. The regulation will result in new compliance requirements for some providers of services for individuals entering into supported decision-making agreements. However, the compliance measures would be minimal and not overly burdensome for most providers. The regulation will require only providers of care management services to complete training on counseling individuals on supported decision-making and to appropriately maintain records of individuals using supported decision-making. Other providers of services are only required to meet their statutory obligations under MHL Article 82, including those to honor the decision-making authority protected or maintained by formal supported decision-making agreements. This regulation operationalizes the requirements contained in Article 82, but does not place upon these other providers new regulatory requirements.

Only one provider of care management services is headquartered in a rural county; however, the record keeping and other compliance requirements imposed on that provider by this regulation are minimal and are not anticipated to increase or decrease overtime costs or other overall staffing costs for existing employees, or to necessitate the hiring of additional staff. Additionally, the proliferation of supported decision-making may lead to opportunities for achieving greater administrative efficiency by providers of care management with clients who make effective use of supported decision-making agreements by reducing the need to expend staff resources to identify appropriate surrogates and seek surrogate consent or assent therefrom. Therefore, the amendments will not have any adverse effects on providers in rural areas.

Job Impact Statement

A Job Impact Statement for the proposed regulation is not being submitted because it is apparent from the nature and purpose of the regulation that they will not have a substantial adverse impact on jobs and/or employment opportunities.

The proposed regulation amends Title 14 New York Codes Rules and Regulations (NYCRR) Parts 634, 624, 629, 633, 635, 636, 679, and repeals Section 681.13 in order to implement Mental Hygiene Law (MHL) Article 82 for adults who receive or are eligible to receive services that are operated, certified, funded, or approved by the Office for People With Developmental Disabilities (OPWDD), and ensure the appropriate adoption of supported decision-making practices within the OPWDD service system. The regulation will result in new compliance requirements for some providers of services for individuals entering into such agreements. However, the compliance measures will be minimal and not overly burdensome for most providers. The regulation will require only providers of care management services to complete training on counseling individuals on supported decision-making and to appropriately maintain records of individuals using supported decision-making. Other providers of services are only required to meet their statutory obligations under MHL Article 82, including those to honor the decision-making authority protected or maintained by formal supported decision-making agreements. This regulation operationalizes the requirements contained in Article 82, but does not place upon these other providers new regulatory requirements. The regulation will not have a substantial impact on jobs or employment opportunities in New York State.

Public Service Commission

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

To Modify the Provisions of the System Benefits Charge to Allow for Interim Updates

I.D. No. PSC-31-24-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by NYSEG to revise its tariff schedule, PSC No. 120 and 121 — Electricity, and RG&E to revise its tariff schedule, PSC No. 18 and 19 — Electricity, to modify the provisions of the System Benefits Charge.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: To modify the provisions of the System Benefits Charge to allow for interim updates.

Purpose: To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Substance of proposed rule: The Commission is considering a proposal filed by the New York State Electric & Gas Corporation (NYSEG) and the Rochester Gas and Electric Corporation (RG&E) (collectively, the

Companies) on June 13, 2024, to amend their electric tariff schedules, P.S.C. No. 120 and 121 for NYSEG, and P.S.C. No. 18 and 19 for RG&E, to modify the provisions of the System Benefits Charge (SBC).

The Companies propose to modify the timing of when updates can be submitted to the Department of Public Service for the SBC. SBC recovers costs associated with clean energy activities conducted by the New York State Energy Research and Development Authority (NYSERDA) and energy efficiency programs implemented by the Company. Currently, the Companies file their SBC statements annually to become effective January 1st of each year. The Companies are proposing to remove the annual requirement restriction and allow for interim updates to the SBC as provided by NYSERDA. The Companies state that the modifications will provide a more timely recovery of additional costs identified and billed by NYSERDA, and ultimately will mitigate customer bill impacts. The Companies proposed tariff amendments have an effective date of November 1, 2024.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify in whole or in part, the action proposed and may also resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(24-E-0366SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Notice of Intent to Submeter Electricity and Waiver Request

I.D. No. PSC-31-24-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the notice of intent of Gowanus President Owner, LLC to submeter electricity at 499 President Street, Brooklyn, New York, and request for waiver of the requirement of an energy audit pursuant to 16 NYCRR § 96.5(k)(3).

Statutory authority: Public Service Law, sections 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Notice of intent to submeter electricity and waiver request.

Purpose: To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Substance of proposed rule: The Commission is considering the notice of intent filed by Gowanus President Owner, LLC (Owner) on April 22, 2024, seeking authority to submeter electricity to a new rental building with fair-market and affordable units at 499 President Street, Brooklyn, New York 11215, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison). The Owner also requested a waiver of 16 NYCRR § 96.5(k)(3), the requirement for an energy audit for buildings where 20 percent or more of the residents receive income-based housing assistance.

In the notice of intent, Gowanus President Owner, LLC requests authorization to take electric service from Con Edison and then distribute and meter that electricity to its residents. Once approved by the Commission, submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The Commission is also considering the Owner's request for a waiver of 16 NYCRR § 96.5(k)(3), which requires proof that an energy audit has been conducted when 20 percent or more of the residents receive income-based housing assistance. The Owner states that, because the building is new construction, it must comply with the current New York State Energy Conservation Construction Code, which provides strict energy conservation requirements for new and renovated buildings, including the design and construction of energy-efficient building envelopes, mechanical, lighting and power systems, and therefore, an energy audit is not necessary in this case.

The full text of the notice of intent and waiver request and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(24-E-0242SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

IEDR User Agreements, Data Security Agreement, Self Attestation, and Green Button Connect Onboarding Process

I.D. No. PSC-31-24-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by the New York State Energy Research and Development Authority and E Source, LLC for three User Agreements and other documents related to the Integrated Energy Data Resource.

Statutory authority: Public Service Law, sections 5(1)(b), 65(1), (2), (3), 66(1), (2), (3), (5) and (8)

Subject: IEDR User Agreements, Data Security Agreement, Self Attestation, and Green Button Connect Onboarding Process.

Purpose: To ensure that proposed agreements comply with the Commission's Data Access Framework requirements.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed by the New York State Energy Research and Development Authority (NYSERDA) and E Source, LLC (E Source) on May 28, 2024 for three Integrated Energy Data Resource (IEDR) Green Button Connect (GBC) User Agreements, an IEDR Data Security Agreement (DSA) and Self Attestation (SA), and an IEDR GBC onboarding process document.

On February 11, 2021, the Commission issued the Order Implementing an Integrated Energy Data Resource (IEDR Order), directing the development of an IEDR platform to collect, integrate, and provide broad and appropriate access to energy-related information on one statewide platform. On April 15, 2021, the Commission issued the Order Adopting a Data Access Framework and Establishing Further Process (DAF Order), establishing a uniform Data Access Framework to govern the requirements for energy service entities (ESE) to access and protect energy-related information from data custodians.

In accordance with the IEDR Order and the DAF Order, NYSERDA and E Source seek Commission approval of three separate User Agreements delineating responsibilities between E Source and IEDR Platform users: (1) an IEDR Terms of Use, which would establish the general terms of use for the IEDR Platform, the treatment of data, permitted use of the data, confidentiality requirements, and ownership of intellectual property; (2) a GBC User Agreement, to be entered into

by and between E Source and ESEs seeking to utilize the IEDR GBC module; and (3) an Account Holder Authorization and Agreement, to be entered into by and between E Source and a utility customer account holder seeking to share their data with a third party via the GBC application incorporated into the IEDR Platform. NYSERDA and E Source also seek clarification that: (1) Commission-regulated data custodians will supply the requisite and/or agreed upon data in compliance with the Commission's adopted data quality and integrity standards; (2) to the extent data is obtained from data suppliers not subject to Commission jurisdiction, the IEDR will not be required to the Commission's data quality and integrity standards; and (3) to the extent data is stored and compiled within the IEDR platform, the User Agreements include an IEDR "use case specific" approach to comply with data quality and integrity categories as set forth in the DAF Order.

NYSERDA and E Source further seek Commission approval of an IEDR DSA and SA that meets the minimum cybersecurity privacy protection requirements set forth in the Commission's October 17, 2019 Order Establishing Minimum Cybersecurity and Privacy Protections and Making Other Findings. The proposed IEDR DSA and SA are modeled on the existing, Commission-approved DSA/SA, with modifications to provide ESEs appropriate IEDR specific context before entering the agreement, and to account for the fact that the IEDR is not being operated by a regulated utility. NYSERDA and E Source request Commission approval for the IEDR DSA/SA to be executed via an electronic "scrollwrap" agreement.

NYSERDA and E Source also seek Commission approval of an IEDR GBC onboarding process, which sets forth the steps for: (i) creating an IEDR account; (ii) confirming that an ESE is registered with the Department of Public Service; (iii) entering into the DSA/SA; and (iv) receiving approval for access to the production environment.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-M-0082SP13)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Proposal to Modify the Auto- and Term- DLM Program Procurement Mechanism

I.D. No. PSC-31-24-00012-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by the Indicated Utilities to modify certain requirements for procurements of Auto- and Term-Dynamic Load Management (DLM) program resources.

Statutory authority: Public Service Law, sections: 5(1), (2), 65(1), (5), 66(1), (12), (14) and 74

Subject: Proposal to modify the Auto- and Term- DLM program procurement mechanism.

Purpose: To consider alternative, utility-specific procurement methodologies to increase participation in the DLM program.

Substance of proposed rule: The Public Service Commission (Commission) is considering a proposal filed on May 14, 2024, by Central Hudson Gas & Electric Corporation (Central Hudson), New York State Electric & Gas Corporation (NYSEG), Niagara Mohawk Power Corporation d/b/a National Grid (National Grid), Orange & Rockland Utilities, Inc. (O&R), and Rochester Gas & Electric Corporation (RG&E) (collectively, the Indicated Utilities) seeking modifications to the procurement methodology for the Auto- and Term- Dynamic Load Management (DLM) program resources.

On July 2, 2024, the Indicated Utilities filed a supplement to its May 14, 2024 filing, laying out additional information regarding the proposed modifications (collectively, the Proposal). The proposal was filed in compliance with the Order Approving Modifications to Dynamic Load Management Program Procurements (DLM Modification Order), which was issued on March 15, 2024.

The Auto- and Term- DLM program was established in compliance with the Commission's Order Establishing Term-Dynamic Load Management and Auto-Dynamic Load Management Program Procurements and Associated Cost-Recovery (DLM Procurement Order), which was issued September 17, 2020 in Case 18-E-0130. The DLM Procurement Order directed that both the Auto- and Term-DLM programs use a sealed-bid, pay-as-bid auction mechanism.

The DLM Modification Order acknowledged that modifying the DLM procurement methodology presents an opportunity to explore methods to increase customer participation. The DLM Modification Order directed the Indicated Utilities to file a supplemental proposal outlining the alternative procurement mechanisms under consideration, as well as the benefits and drawbacks of each alternative. The proposal notes that the Auto- and Term- DLM programs are valuable tools for achieving long-term peak reduction and system reliability, but states that the Indicated Utilities have received limited or no bids in the first three DLM procurement cycles (2021/2022, 2023, and 2024 Vintage Years). In the proposal, the Indicated Utilities identify the benefits and drawbacks of the current sealed-bid, pay-as-bid method, a uniform clearing price method, and the fixed price published value of capacity proposal method. Ultimately, the Utilities propose the option of allowing DLM procurements using the fixed price, published value of capacity system, in addition to the current sealed bid, pay-as-bid method. The fixed price method would be used as an additional option for procurements and would not supplant the sealed bid, pay-as-bid method. The proposal indicates that the fixed price method would be developed using benefit-cost analysis (BCA) that incorporates estimated costs and benefits of that Vintage Year's Program to model a fixed price of capacity. The alternative mechanism would continue to adhere to the cost-effectiveness standards that apply to the current model. The proposal states that this alternative procurement mechanism would help spur market participation by providing transparency to stakeholders. The Indicated Utilities note that each individual utility would be able to select either the current sealed-bid, pay-as-bid method, or the fixed price method to accommodate for different markets.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(18-E-0130SP15)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Transfer in Ownership of Battery Energy Storage System Company

I.D. No. PSC-31-24-00013-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the petition of Holtsville Energy Storage, LLC, Savion, LLC, and East Point Energy, LLC, requesting for the transfer of ownership interests of Holtsville from Savion to East Point.

Statutory authority: Public Service Law, sections 5, 64, 65, 66 and 70

Subject: Transfer in ownership of battery energy storage system company.

Purpose: To determine if the transfer is in the public interest.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on June 28, 2024 by Holtsville Energy Storage, LLC (Holtsville), Savion, LLC (Savion), and East Point Energy, LLC (East Point) (collectively, the Petitioners), for the proposed transfer of one hundred percent ownership interests in Holtsville from Savion (the seller), to East Point (the buyer).

The Petitioners state that Holtsville is a company formed to develop, own, and operate the 110 MW battery energy storage system facility to be located in the Hamlet of Holtsville, Town of Brookhaven, Suffolk County, New York known as the Holtsville Project.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(24-E-0378SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Deferral of Incremental Expenses Beyond Utility's Control for Future Recovery in Rates

I.D. No. PSC-31-24-00015-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Corning Natural Gas Corporation requesting authorization to defer interest costs incurred by the Company from July 1, 2022 to June 30, 2025, over and above the level currently authorized in rates.

Statutory authority: Public Service Law, sections 65(1), 66(9) and (12)

Subject: Deferral of incremental expenses beyond utility's control for future recovery in rates.

Purpose: To determine the ratemaking treatment for Corning's incremental interest costs since base rates were last set by the Commission.

Substance of proposed rule: The Public Service Commission is considering a petition filed on June 14, 2024 by Corning Natural Gas Corporation (Corning), requesting authority to defer, for later recovery, interest costs incurred from July 1, 2022 to June 30, 2025 over and above the level included in the rates established pursuant to the Public Service Commission's (the "Commission") Order Adopting Terms of Joint Proposal and Establishing Rate Plan and Approving Merger, issued June 16, 2022 in Cases 21-G-03941 and 21-G-0260.

Corning estimates the amount to be deferred to be $1,808,964, and it proposes to defer this amount, together with associated income tax effects, to Account 186, Miscellaneous Deferred Debits. Corning reasons that it has met the criteria for Commission authorization for deferred accounting for expenses: the expenses are incremental to the amount allowed in currently authorized rates; the incremental amount is material to earning, extraordinary in nature; and the utility is not over-earning.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, modify, or reject, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(24-G-0363SP1)

State University of New York

EMERGENCY/PROPOSED
RULE MAKING
NO HEARING(S) SCHEDULED

State Basic Financial Assistance for the Operating Expenses of Community Colleges Under the Programs of SUNY and CUNY

I.D. No. SUN-31-24-00002-EP

Filing No. 607

Filing Date: 2024-07-16

Effective Date: 2024-07-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of sections 602.8(c) and 602.13 of Title 8 NYCRR.

Statutory authority: Education Law, sections 355(1)(c) and 6304(1)(b); L. 2024, ch. 53

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: The State University of New York finds that immediate adoption of amendments to the Code of Standards and Procedures for the Administration and Operation of Community Colleges (the Code) is necessary for the preservation of the general welfare and that compliance with the requirements of subdivision 1 Section 202 of the State Administrative Procedures Act ("SAPA") would be contrary to the public interest.

Chapter 53 of the Laws of 2024 (the "Aid to Localities Budget Bill") requires amendments to the existing funding formula for State financial assistance for operating expenses of community colleges of the State and City Universities of New York, to allow for proper calculations of funding amounts for the 2022/23 academic year. The amended funding formula is to be developed jointly with the City University of New York, subject to the approval of the Director of the Budget. The Aid to Localities Budget Bill also increases the prior operating funding "floor" from a "98 percent floor" to a "100 percent floor", which ensures that no community college will see a decline in Base Operating Aid on a year-to-year basis.

Amendments to the Code on an emergency basis for the 2024-2025 fiscal year are necessary to:

1. provide timely State operating assistance to public community colleges of the State and City Universities of New York; and to
2. obtain the necessary revenue to maintain essential staffing levels, program quality, and accessibility.

Compliance with the provision of subdivision 1 of Section 202(6) of SAPA would be contrary to the public interest. The requirements of subdivision (1) of Section 202(6) of SAPA would not allow implementation of the State fiscal assistance provided in the Aid to Localities Budget Bill in time for the 2024-2025 community college fiscal year.

Subject: State basic financial assistance for the operating expenses of community colleges under the programs of SUNY and CUNY.

Purpose: To set assistance levels for basic State financial assistance and allocate incremental new monies provided in the State Budget.

Text of emergency/proposed rule: Section 602.8(c)

(c) Basic State financial assistance.

(1) Full-opportunity colleges. The basic State financial assistance for community colleges, implementing approved full-opportunity programs, shall be the lowest of the following:

(i) two-fifths (40%) of the net operating budget of the college, or campus of a multiple-campus college, as approved by the State University Board of Trustees;

(ii) two-fifths (40%) of the net operating costs of the college, or campus of a multiple campus college; or

(iii) for the [2022/23] *2024/25* college fiscal year and thereafter, the total of the following:

(a) the greater of:

(1) the budgeted or actual number (whichever is less) of full-time equivalent students enrolled in programs eligible for State financial assistance multiplied by $2,997; or

(2) 100 percent of the amount of basic State financial assistance for community colleges preliminarily approved by the State University of New York Board of Trustees for the preceding academic year; [and] plus

(b) an allocation provided from the total $8,000,000 provided for additional operating services and expenses for community colleges, pursuant to a plan approved by the State University Board of Trustees and further approved by the director of the New York State Budget, to support the operating costs of said approved plan and allocation; and

[(b)] *(c)* up to one half (50 percent) of rental costs for physical space.

(2) Non-full-opportunity colleges. The basic State financial assistance for community colleges not implementing approved full-opportunity programs shall be the lowest of the following:

(i) one third (33 percent) of the net operating budget of the college, or campus of a multiple-campus college, as approved by the State University trustees;

(ii) one third (33 percent) of the net operating costs of the college, or campus of a multiple-campus college; or

(iii) for the college fiscal year 2022/23 and thereafter, the total of the following:

(a) the greater of:

(1) the budgeted or actual number (whichever is less) of full-time equivalent students enrolled in programs eligible for State financial assistance multiplied by $2,497; or

(2) 100 percent of the amount of basic State financial assistance for community colleges preliminarily approved by the State University of New York Trustees for the preceding academic year; and

(b) up to one half (50 percent) of rental cost for physical space.

(3) Notwithstanding the provisions of paragraphs (1) and (2) of this subdivision, a community college or a new campus of a multiple-campus community college in the process of formation shall be eligible for basic State financial assistance in the amount of one-third of the net operating budget or one-third of the net operating costs, whichever is the lesser, for those colleges not implementing an approved full opportunity program plan, or two fifths of the net operating budget or two fifths of the net operating costs, whichever is the lesser, for those colleges implementing an approved full opportunity program, during the organization year and the first two fiscal years in which students are enrolled.

Section 602.13

(a) Pursuant to Section 30 of the General Municipal Law, the annual financial report shall be certified by the chief fiscal officer of the college and shall be filed with the State Comptroller within 60 days after the close of the college fiscal year. The chief fiscal officer is the college treasurer for purposes of this certification.

(b) The annual financial report shall be in compliance with Article 126 of the Education Law, this Subchapter, and the manual for community college business offices, and on the forms and in accordance with instructions promulgated by the State Comptroller.

(c) The State University of New York, which shall also receive copies of the annual financial report, shall review the annual financial report for the purpose of the initial determination of the State liability.

(d) Any overpayment in State financial assistance for operating costs, as determined in the review of the annual financial report, shall be deducted from the second quarterly advance payment of State aid for the succeeding college fiscal year, provided, however, that in no case shall the amount of basic State financial assistance for operating costs received in [the 2022/23] any academic year be less than 100 percent of the amount of basic State financial assistance for operating costs approved by the State University Board of Trustees for the preceding academic year, excluding a) any adjustments made for reimbursement for appropriate rental costs *and b) any special allocations made to a college pursuant to a plan approved by the State University Board of Trustees and further approved by the director of the New York State Budget, further pursuant to any appropriations made by the State to support such plan.*

(e) Any underpayment in State financial assistance for operating costs, as determined in the review of the annual financial report, shall be processed in accordance with Sections 602.3 and 602.9 of this Part.

(f) The college shall be subject to audit by the Office of the State Comptroller for the purpose of the final determination of the State liability.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire October 13, 2024.

Text of rule and any required statements and analyses may be obtained from: Lisa S. Campo, State University of New York, H. Carl McCall SUNY Building, Albany, NY 12246, (518) 320-1400, email: Lisa.Campo@SUNY.edu

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

This is a technical amendment to implement the provisions of the 2024/25 Enacted Budget bill. The amendment allows for the provision of State financial assistance for operating expenses of community colleges operating under the program of the State University of New York and the City University of New York.

Regulatory Flexibility Analysis

This is a technical amendment to implement the provisions of the 2024-2025 Budget Bill. The amendment provides for the provision of State financial assistance for operating expenses of community colleges operating under the program of the State University of New York and the City University of New York. It will have no impact on small businesses and local governments.

Rural Area Flexibility Analysis

This is a technical amendment to implement the provisions of the 2024-2025 Budget Bill. The amendment provides for the provision of State financial assistance for operating expenses of community colleges operating under the program of the State University of New York and the City University of New York. This rule making will have no impact on rural areas or the recordkeeping or other compliance requirements on public or private entities in rural areas.

Job Impact Statement

No job impact statement is submitted with this notice because the adoption of this rule does not impose any adverse economic impact on existing jobs, employment opportunities, or self-employment. This rule making governs the financing of community colleges operating under the program of the State University and will not have any adverse impact on the number of jobs or employment opportunities in the state.

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

State University of New York Tuition and Fee Schedule

I.D. No. SUN-31-24-00003-EP

Filing No. 608

Filing Date: 2024-07-16

Effective Date: 2024-07-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Repeal of section 302.1(b); addition of new section 302.1(b) to Title 8 NYCRR.

Statutory authority: Education Law, section 355(2)(b) and (h)

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: Amendment of these regulations needs to proceed on an emergency basis because increases to tuition are intended to be effective for the Fall 2024 semester. Billing for these new tuition rates occurs during the summer of 2024; therefore, notice of the new rates needs to occur as soon as possible.

Subject: State University of New York Tuition and Fee Schedule.

Purpose: To repeal the existing Tuition and Fees Schedule and replace with a new one to be effective for the Fall 2024 semester.

Text of emergency/proposed rule: *Section 302.1. Tuition and fees at State-operated units of State University.*

* * * * *

(b) Tuition charges, as listed in the following table for categories of students, terms, and programs and as modified, amplified, or explained in footnotes 1 through 12, are effective with the 2024 Fall term and thereafter.

		Charge per Semester		Charge per Semester credit hour[1] Special Students	
	Category/Charges[12]	New York State residents	Out-of-State residents	New York State residents	Out-of-State residents
(1)	Students enrolled in degree-granting undergraduate programs leading to an associate degree and non-degree granting programs of at least one regular academic term in duration which have been approved as eligible for Tuition Assistance Program Awards[10]	$3,535 $3,535[2]	$6,005[3] $5,530[4] $5950[5] $6,100[6] $8,490[7] $4,240[8] See Subschedule A[9]	$295	$500[3] $461[4] $496[5] $508[6] $708[7] $353[8] See Subschedule A[9]
(2)	Students enrolled in degree-granting undergraduate programs leading to a baccalaureate degree and non-degree granting programs of at least one regular academic term in duration which have been approved as eligible for Tuition Assistance Program Awards	$3,535 $3,535[2]	$5,305[11] $4,240[8] See Subschedule A[9]	$295	$442[11] $353[8] See Subschedule A[9]
(3)	Students enrolled in graduate programs (other than Master of Business Administration, Architecture, Social Work or Physician's Assistant) leading to a Master's, Doctoral, or equivalent degree	$5,655	$6,785[8] $8,485[11] See Subschedule A[9]	$471	$565[8] $707[11] See Subschedule B[9]
(4)	Students enrolled in a graduate program leading to a Doctorate of Audiology	$5,710	See Subschedule C[9]	$476	See Subschedule C[9]
(5)	Students enrolled in a graduate program leading to a Master of Business Administration (MBA)	$7,615	$9,140[8] See Subschedule B[9]	$635	$762[8] See Subschedule B[9]
(6)	Students enrolled in a graduate program leading to a Master of Architecture	$7,310	See Subschedule B[9]	$609	See Subschedule B[9]
(7)	Students enrolled in a graduate program leading to a Master of Social Work	$6,540	$7,850[8] See Subschedule B[9]	$545	$654[8] See Subschedule B[9]
(8)	Students enrolled in a graduate program leading to a Doctor of Social Work	$9,600	See Subschedule C[9]	$800	See Subschedule C[9]
(9)	Students enrolled in a graduate program leading to a Master of Health Administration	$6,985	$8,380[8] See Subschedule B[9]	$582	$698[8] See Subschedule B[9]
(10)	Students enrolled in the professional program of pharmacy	$13,225	See Subschedule C[9]	$1,102	See Subschedule C[9]
(11)	Students enrolled in the professional program of law	$13,085	See Subschedule C[9]	$1,090	See Subschedule C[9]
(12)	Students enrolled in the professional program of medicine	$22,825	See Subschedule C[9]	$1,902	See Subschedule C[9]
(13)	Students enrolled in the professional program of dentistry	$19,290	See Subschedule C[9]	$1,608	See Subschedule C[9]
(14)	Students enrolled in the professional program of physical therapy	$12,195	See Subschedule C[9]	$1,016	See Subschedule C[9]
(15)	Students enrolled in the professional program of optometry	$15,815	See Subschedule C[9]	$1,318	See Subschedule C[9]
(16)	Students enrolled in the professional program of physician assistant	$8,110	See Subschedule B[9]	$676	See Subschedule B[9]
(17)	Students enrolled in the professional programs of Doctor of Nursing practice	$12,560	$15,370[8] See Subschedule C[9]	$1,047	$1,281[8] See Subschedule C[9]
(18)	Students enrolled in the professional programs of Doctor of Occupational Therapy	$12,195	$14,630[8] See Subschedule C[9]	$1,016	$1,219[8] See Subschedule C[9]

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1 *The Chancellor shall determine the equivalent of a credit hour.*

2 *In accordance with Part G of Chapter 56 of the Laws of 2022, students who are both eligible for, and recipients of, an Excelsior Scholarship from the State of New York are to be charged the resident undergraduate rate of tuition approved by the Board of Trustees in the 2023/24 academic year.*

3 *In accordance with chapter 309 of the Laws of 1996, and enabling action by the Board of Trustees, the Colleges of Technology at Alfred, Canton, Cobleskill, Delhi, and Morrisville are authorized to charge a lower rate for non-resident students enrolled in degree-granting programs leading to an associate degree or in non-degree granting programs. This reduced rate does not apply to those students enrolled in degree-granting programs leading to a baccalaureate degree. Alfred is authorized to charge the rate noted effective with the fall 2024 term.*

4 *In accordance with chapter 309 of the Laws of 1996, and enabling action by the Board of Trustees, the Colleges of Technology at Alfred, Canton, Cobleskill, Delhi, and Morrisville are authorized to charge a lower rate for non-resident students enrolled in degree-granting programs leading to an associate degree or in non-degree granting programs. This reduced rate does not apply to those students enrolled in degree-granting programs leading to a baccalaureate degree. Delhi is authorized to charge the rate noted effective with the fall 2024 term.*

5 *In accordance with chapter 309 of the Laws of 1996, and enabling action by the Board of Trustees, the Colleges of Technology at Alfred, Canton, Cobleskill, Delhi, and Morrisville are authorized to charge a lower rate for non-resident students enrolled in degree-granting programs leading to an associate degree or in non-degree granting programs. This reduced rate does not apply to those students enrolled in degree-granting programs leading to a baccalaureate degree. Canton is authorized to charge the rate noted effective with the fall 2024 term.*

6 *In accordance with chapter 309 of the Laws of 1996, and enabling action by the Board of Trustees, the Colleges of Technology at Alfred, Canton, Cobleskill, Delhi, and Morrisville are authorized to charge a lower rate for non-resident students enrolled in degree-granting programs leading to an associate degree or in non-degree granting programs. This reduced rate does not apply to those students enrolled in degree-granting programs leading to a baccalaureate degree. Morrisville is authorized to charge the rate noted effective with the fall 2024 term.*

7 *In accordance with chapter 309 of the Laws of 1996, and enabling action by the Board of Trustees, the Colleges of Technology at Alfred, Canton, Cobleskill, Delhi, and Morrisville are authorized to charge a lower rate for non-resident students enrolled in degree-granting programs leading to an associate degree or in non-degree granting programs. This reduced rate does not apply to those students enrolled in degree-granting programs leading to a baccalaureate degree. Cobleskill is authorized to charge the rate noted effective with the fall 2024 term.*

8 *In accordance with chapter 437 of the Laws of 2015, the Board of Trustees is authorized to establish a new category of tuition for non-resident students enrolled in distance learning courses at SUNY.*

9 *In accordance with Chapter 56 of the Laws of 2023, the Board of Trustees is authorized to set competitive categories for non-resident (undergraduate, graduate, and professional) tuition charges, effective with the fall 2024 term. Campuses with programs not listed on the non-resident sub-schedules are authorized to charge the rate of 120% of the resident rate for non-resident students enrolled in the same program effective with the Fall 2024 term.*

10 *In accordance with chapter 309 of the Laws of 1996, and enabling action by the Board of Trustees, the Colleges of Technology at Alfred, Canton, Cobleskill, Delhi, and Morrisville are authorized to charge this lower rate for special students (part-time) enrolled in degree-granting programs leading to an associate degree or in non-degree granting programs and taking classes at off-campus locations or during the summer or winter intercessions. This reduced rate does not apply to those students enrolled in degree-granting programs leading to a baccalaureate degree.*

[11] *As authorized by the Board of Trustees (2010-081), Maritime College is authorized to charge up to this rate for non-resident students from states and commonwealths considered to be in-region (Alabama, Connecticut, Delaware, Florida, Georgia, Louisiana, Mississippi, Maryland, New Jersey, North Carolina, Pennsylvania, Puerto Rico, Rhode Island, South Carolina, Virginia, and Washington D.C.).*

[12] *These regulations reflect current understanding of the pertinent laws of New York State guiding the setting of tuition rates at the State University of New York State-operated institutions. In cases wherein the laws of New York State may be in conflict with information provided herein, the laws of New York State will take precedence.*

State University of New York (SUNY)
2023/24 State-operated Tuition Rates
Sub-schedule A: Non-resident Undergraduate

Sub-schedule A

		Tuition Rates	
Campus	*Annual*	*Semester*	*Per Credit*
University at Albany	*$25,660*	*$12,830*	*$1,069*
University at Binghamton	*26,160*	*13,080*	*1,090*
University at Buffalo	*26,860*	*13,430*	*1,119*
University at Stony Brook	*26,860*	*13,430*	*1,119*
Downstate Medical Center	*18,360*	*9,180*	*765*
Alfred State	*17,490*	*8,745*	*729*
Brockport	*14,140*	*7,070*	*589*
Buffalo State	*17,490*	*8,745*	*729*
Canton	*17,490*	*8,745*	*729*
Cobleskill	*16,980*	*8,490*	*708*
Cortland	*16,980*	*8,490*	*708*
Delhi	*16,980*	*8,490*	*708*
Empire State	*16,980*	*8,490*	*708*
Environmental Science and Forestry	*18,900*	*9,450*	*788*
Farmingdale	*16,980*	*8,490*	*708*
Fredonia	*17,490*	*8,745*	*729*
Geneseo	*17,310*	*8,655*	*721*
Morrisville	*17,490*	*8,745*	*729*
New Paltz	*16,980*	*8,490*	*708*
Old Westbury	*16,980*	*8,490*	*708*
Oneonta	*17,490*	*8,745*	*729*
Oswego	*16,980*	*8,490*	*708*
Plattsburgh	*16,980*	*8,490*	*708*
Potsdam	*16,980*	*8,490*	*708*
Purchase	*16,980*	*8,490*	*708*
SUNY Poly	*18,720*	*9,360*	*780*
Upstate Medical Center	*18,360*	*9,180*	*765*
Maritime	*17,310*	*8,655*	*721*

State University of New York (SUNY)
2023/24 State-operated Tuition Rates
Sub-schedule B: Non-resident Masters & Specialized Masters

Sub-schedule B

		Tuition Rates	
Campus	*Annual*	*Semester*	*Per Credit*
Graduate / PHD			
University at Albany	*$23,560*	*$11,780*	*$982*
University at Binghamton	*23,790*	*11,895*	*991*
University at Buffalo	*24,260*	*12,130*	*1,011*
University at Stony Brook	*24,490*	*12,245*	*1,020*
Downstate Medical Center	*23,790*	*11,895*	*991*
Brockport	*13,570*	*6,785*	*565*
Buffalo State	*23,790*	*11,895*	*991*
Cortland	*23,100*	*11,550*	*963*
Delhi	*23,100*	*11,550*	*963*
Empire State	*23,790*	*11,895*	*991*
Environmental Science and Forestry	*24,490*	*12,245*	*1,020*
Farmingdale	*23,100*	*11,550*	*963*
Fredonia	*23,790*	*11,895*	*991*
Geneseo	*23,530*	*11,765*	*980*
New Paltz	*23,100*	*11,550*	*963*
Old Westbury	*23,100*	*11,550*	*963*
Oneonta	*23,790*	*11,895*	*991*
Optometry	*23,100*	*11,550*	*963*
Oswego	*23,790*	*11,895*	*991*
Plattsburgh	*23,100*	*11,550*	*963*
Potsdam	*23,100*	*11,550*	*963*
Purchase	*23,100*	*11,550*	*963*
SUNY Poly	*23,790*	*11,895*	*991*
Upstate Medical Center	*24,260*	*12,130*	*1,011*
Maritime	*23,550*	*11,775*	*981*
Masters of Business Administration			
University at Albany	*$24,880*	*$12,440*	*$1,037*
University at Binghamton	*25,120*	*12,560*	*1,047*
University at Buffalo	*26,220*	*13,110*	*1,093*
University at Stony Brook	*25,610*	*12,805*	*1,067*
Brockport	*18,000*	*9,000*	*750*
Empire State	*25,120*	*12,560*	*1,047*
New Paltz	*24,390*	*12,195*	*1,016*
Oswego	*25,120*	*12,560*	*1,047*
SUNY Poly	*25,120*	*12,560*	*1,047*
Masters of Health Administration			
University at Stony Brook	*$24,490*	*$12,245*	*$1,020*
Downstate Medical Center	*23,790*	*11,895*	*991*
Master of Architecture			
University at Buffalo	*$26,520*	*$13,260*	*$1,105*
Master of Social Work			
University at Albany	*$22,430*	*$11,215*	*$935*
University at Binghamton	*22,650*	*11,325*	*944*
University at Buffalo	*22,650*	*11,325*	*944*
University at Stony Brook	*22,650*	*11,325*	*944*
Brockport	*15,700*	*7,850*	*654*
Physician Assistant			
University at Stony Brook	*$32,230*	*$16,115*	*$1,343*
Downstate Medical Center	*30,880*	*15,440*	*1,287*
Upstate Medical Center	*30,730*	*15,365*	*1,280*

State University of New York (SUNY) 2023/24 State-operated Tuition Rates Sub-schedule C: Non-resident Professional Rates *Sub-schedule C*

		Tuition Rates	
Campus	*Annual*	*Semester*	*Per Credit*
Dental			
University at Buffalo	*$64,850*	*$32,425*	*$2,702*
University at Stony Brook	*64,850*	*32,425*	*2,702*
Doctor of Audiology			
University at Buffalo	*$24,020*	*$12,010*	*$1,001*
Doctor of Nursing Practice			
University at Binghamton	*$32,480*	*$16,240*	*$1,353*
University at Buffalo	*32,800*	*16,400*	*1,367*
University at Stony Brook	*32,800*	*16,400*	*1,367*
Brockport	*32,160*	*16,080*	*1,340*
Downstate Medical Center	*32,160*	*16,080*	*1,340*
SUNY Poly	*32,160*	*16,080*	*1,340*
Upstate Medical Center	*32,800*	*16,400*	*1,367*
Doctor of Occupational Therapy			
University at Stony Brook	*$30,700*	*$15,350*	*$1,279*
University at Binghamton	*30,700*	*15,350*	*1,279*
Downstate Medical Center	*30,700*	*15,350*	*1,279*
Doctor of Physical Therapy			
University at Binghamton	*$31,310*	*$15,655*	*$1,305*
University at Buffalo	*32,240*	*32,240*	*1,343*
University at Stony Brook	*32,240*	*32,240*	*1,343*
Downstate Medical Center	*30,700*	*15,350*	*1,279*
Upstate Medical Center	*30,700*	*15,350*	*1,279*
Doctor of Social Work			
University at Buffalo	*$25,450*	*$12,725*	*$1,060*
Law			
University at Buffalo	*$31,160*	*$15,580*	*$1,298*
Medical			
University at Buffalo	*$67,110*	*$33,555*	*$2,796*
University at Stony Brook	*67,110*	*33,555*	*2,796*
Downstate Medical Center	*67,110*	*33,555*	*2,796*
Upstate Medical Center	*67,110*	*33,555*	*2,796*
Optometry			
Optometry	*$51,660*	*$25,830*	*$2,153*
Pharmacy			
University at Binghamton	*$37,140*	*$18,570*	*$1,548*
University at Buffalo	*38,250*	*19,125*	*1,594*

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire October 13, 2024.

Text of rule and any required statements and analyses may be obtained from: Lisa S. Campo, State University of New York, H. Carl McCall SUNY Building, Albany, NY 12246, (518) 320-1400, email: Lisa.Campo@SUNY.edu

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory Authority: Education Law, Sections 355(2)(b) and 355(2)(h). Section 355(2)(b) authorizes the State University Trustees to make and amend rules and regulations for the overall governance of the State University and institutions therein. Section 355(2)(h) authorizes the State University Trustees to regulate the admission of students, tuition charges, other fees and charges, curricula, and all other matters pertaining to the operation and administration of each State-operated institution of the State University.

2. Legislative Objectives: The present measure reflects Board of Trustees actions taken at their June 4, 2024, meeting that are in accordance with Section 355 of Education Law and Part D of Chapter 56 of the Laws of 2024 and support the continued operations of SUNY campuses.

3. Needs and Benefits: The present measure institutes competitive tuition rates for non-resident students enrolled in undergraduate, graduate, and professional programs.

4. Costs: Tuition rates for nonresident students in undergraduate, graduate programs, and professional, have been increased based on each campus's peer institutions, and other acceptable methodologies.

5. Local Government Mandates: There are no local government mandates. The amendment does not affect students enrolled in the community colleges operating under the program of the State University of New York.

6. Paperwork: No parties will experience any new reporting responsibilities. SUNY publications and documents containing notices regarding costs of attendance will need to be revised to reflect these changes.

7. Duplication: None.

8. Alternatives: Other modification levels were considered, however, there is no acceptable alternative to the proposed changes when considering competitiveness, programmatic needs, and anticipated costs.

9. Federal Standards: None.

10. Compliance Schedule: The amendment to the tuition schedule will go into effect for the fall 2024 semester.

Regulatory Flexibility Analysis

No regulatory flexibility analysis is submitted with this notice because the proposed rule does not impose any requirements on small businesses and local governments. This proposed rule making will not impose any adverse economic impact on small businesses and local governments or impose any reporting, recordkeeping or other compliance requirements on small businesses and local governments.

Rural Area Flexibility Analysis

No rural area flexibility analysis is submitted with this notice because the proposed rule does not impose any requirements on rural areas. The rule will not impose ¬any adverse economic impact on rural areas or impose any reporting, record-keeping, professional services or other compliance requirements on rural areas.

Job Impact Statement

No job impact statement is submitted with this notice because the proposed rule does not impose any adverse economic impact on existing jobs, employment opportunities, or self-employment. These regulations govern tuition charges for State University of New York and will not have any adverse impact on the number of jobs or employment.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Environmental Conservation, Department of		
ENV-28-24-00025-P	6 NYCRR Part 664, Fresh Water Wetlands Jurisdiction and Classification	Virtual via Webex (see DEC Website)—September 10, 2024, 1:00 p.m. Virtual via Webex (see DEC Website)—September 10, 2024, 6:00 p.m. Department of Environmental Conservation, 625 Broadway, Albany, NY—September 12, 2024, 1:00 p.m.
ENV-29-24-00006-P	Criteria for the Decommissioning of Radioactive Material Licensed Sites	Virtual via Webex—September 17, 2024, 2:00 p.m. Virtual via Webex—September 17, 2024, 6:00 p.m. Instructions on how to "join" the hearing webinar and provide an oral statement will be published on the Department's proposed regulations webpage for 6 NYCRR Part 384 by July 17, 2024. The proposed regulations webpage for 6 NYCRR Part 384 may be accessed at: https://www.dec.ny.gov/regulations/propregulations.html Persons who wish to receive the instructions by mail or telephone may call the Department at (518) 402-9003. Please provide your first and last name, address, and telephone number and reference the Part 384 public comment hearing. Interpreter services shall be made available to deaf persons, and translator services shall be made available to persons with limited English proficiency, at no charge for either service, upon written request. Requests should be received by September 4, 2024, but DEC will make every effort to fulfill requests received closer to the hearing date. Requests can be directed to the NYSDEC Division of Communication, Education, and Engagement, either by mail (address: NYSDEC, 625 Broadway, Albany, New York 12233-4500), or by e-mail (language@dec.ny.gov).
Long Island Power Authority		
LPA-29-24-00003-P	IEDR Limitation of Liability	H. Lee Dennison Building, Hauppauge, NY—September 16, 2024, 10:00 a.m. Long Island Power Authority, Uniondale, NY—September 16, 2024, 6:00 p.m.
Public Service Commission		
PSC-21-24-00005-P	Climate Change Resilience Plan and Climate Resilience Cost Recovery Surcharge	Rochester, exact location TBD—August 6, 2024, 5:30 p.m. (Public Statement Hearing)* *On occasion, the public statement hearing date may be rescheduled or postponed and the location may not yet be determined. In that event, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 22-E-0222.
PSC-21-24-00008-P	Climate Change Resilience Plan and Climate Resilience Cost Recovery Surcharge	Syracuse, exact location TBD—August 6, 2024, 11:30 a.m. (Public Statement Hearing)*

		Buffalo, exact location TBD—August 7, 2024, 3:00 p.m. (Public Statement Hearing)* Albany, exact location TBD—August 20, 2024, 1:00 p.m. (Public Statement Hearing)* *On occasion, the public statement hearing date may be rescheduled or postponed and the location may not yet be determined. In that event, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 22-E-0222.
PSC-21-24-00011-P	Climate Change Resilience Plan and Climate Resilience Cost Recovery Surcharge	Binghamton, exact location TBD—August 13, 2024, 3:00 p.m. (Public Statement Hearing)* Ithaca, exact location TBD—August 14, 2024, 11:00 a.m. (Public Statement Hearing)* *On occasion, the public statement hearing date may be rescheduled or postponed and the location may not yet be determined. In that event, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 22-E-0222.
PSC-21-24-00014-P	Climate Change Resilience Plan and Climate Resilience Cost Recovery Surcharge	Poughkeepsie, exact location TBD—July 31, 2024, 11:00 a.m. (Public Statement Hearing)* *On occasion, the public statement hearing date may be rescheduled or postponed and the location may not yet be determined. In that event, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 22-E-0222.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	**01**	**12**	**00001**	**P**

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AGRICULTURE AND MARKETS, DEPARTMENT OF			
AAM-20-24-00001-P	05/15/25	Maximum Permitted Fees	The proposed amendment will increase the permitted fees for a variety of weights and measures and weighing and measuring devices
AAM-22-24-00003-EP	05/29/25	Control of the European Cherry Fruit Fly (ECFF)	To expand the ECFF quarantine to include additional counties
AAM-22-24-00010-P	05/29/25	Amendment to good manufacturing practices in the manufacture and distribution of commercial feed	Detailing the good manufacturing practices, hazard analysis, and risk-based preventative controls for all commercial feed
AAM-26-24-00001-P	06/26/25	Golden Nematode (Globodera Rostochiensis) Quarantine	To lift the Golden Nematode quarantine in portions of the
ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF			
*ASA-24-23-00021-RP	09/11/24	Voluntary certification of Recovery Residences in NYS	This Part establishes requirements for recovery residences certified by the Office of Addiction Services and Supports (OASAS)
CANNABIS MANAGEMENT, OFFICE OF			
OCM-15-24-00012-P	04/10/25	Adult use regulations	To add provisions relating to the activities which are regulated by the adult use regulations
OCM-22-24-00002-EP	exempt	Empowers the Office to take further enforcement actions against unlicensed cannabis activity	To address the illicit cannabis activity and take action to enforce the Cannabis Law
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-36-23-00023-P	09/05/24	Preventive Housing Subsidy	To increase the preventive services housing subsidy for foster children living independently from $300.00 to $725.00 a month

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-18-24-00023-P	05/01/25	Trafficking Victims	To conform regulations to Public Law 117-348, The Trafficking Victims Prevention and Protection Reauthorization Act of 2022
CIVIL SERVICE, DEPARTMENT OF			
CVS-19-24-00006-P	05/08/25	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-19-24-00007-P	05/08/25	Jurisdictional Classification	To classify positions in the exempt class
CVS-19-24-00008-P	05/08/25	Jurisdictional Classification	To classify a position in the exempt class
CVS-19-24-00009-P	05/08/25	Jurisdictional Classification	To classify positions in the exempt class
CVS-19-24-00010-P	05/08/25	Jurisdictional Classification	To classify positions in the exempt class and to classify positions in the non-competitive class
CVS-19-24-00011-P	05/08/25	Jurisdictional Classification	To classify positions in the exempt class
CVS-19-24-00012-P	05/08/25	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-19-24-00013-P	05/08/25	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-19-24-00014-P	05/08/25	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class
CVS-19-24-00015-P	05/08/25	Jurisdictional Classification	To classify positions in the exempt class
CVS-19-24-00016-P	05/08/25	Jurisdictional Classification	To classify a position in the exempt class
CVS-19-24-00017-P	05/08/25	Jurisdictional Classification	To classify a position in the exempt class and to delete positions from and classify positions in the non-competitive class
CVS-19-24-00018-P	05/08/25	Jurisdictional Classification	To delete positions from and to classify positions in the exempt class
CVS-24-24-00001-P	06/12/25	Jurisdictional Classification	To classify a position in the exempt class
CVS-24-24-00002-P	06/12/25	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-24-00003-P	06/12/25	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-24-24-00004-P	06/12/25	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-24-24-00005-P	06/12/25	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-24-00006-P	06/12/25	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-24-00007-P	06/12/25	Jurisdictional Classification	To classify positions in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-24-24-00008-P	06/12/25	Jurisdictional Classification	To delete positions from and classify position in the non-competitive class
CVS-24-24-00009-P	06/12/25	Jurisdictional Classification	To classify a position in the exempt class
CVS-24-24-00010-P	06/12/25	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-24-00011-P	06/12/25	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-24-00012-P	06/12/25	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-24-24-00014-P	06/12/25	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-24-00015-P	06/12/25	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-24-00016-P	06/12/25	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-24-00017-P	06/12/25	Jurisdictional Classification	To classify positions in the exempt class
CVS-24-24-00018-P	06/12/25	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-24-00019-P	06/12/25	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-24-24-00020-P	06/12/25	Jurisdictional Classification	To classify a position in the exempt class
CVS-27-24-00011-P	07/03/25	Jurisdictional Classification	To delete positions from the non-competitive class.
CVS-28-24-00001-P	07/10/25	Jurisdictional Classification	To classify positions in the exempt class.
CVS-28-24-00002-P	07/10/25	Jurisdictional Classification	To classify a position in the exempt class and to classify a position in the non-competitive class
CVS-28-24-00003-P	07/10/25	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-28-24-00004-P	07/10/25	Jurisdictional Classification	To classify a position in the exempt class and to classify positions in the non-competitive class
CVS-28-24-00005-P	07/10/25	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-28-24-00006-P	07/10/25	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-28-24-00007-P	07/10/25	Jurisdictional Classification	To classify a position in the exempt class.
CVS-28-24-00008-P	07/10/25	Jurisdictional Classification	To classify a position in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-28-24-00009-P	07/10/25	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-28-24-00010-P	07/10/25	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-28-24-00011-P	07/10/25	Jurisdictional Classification	To classify a position in the exempt class.
CVS-28-24-00012-P	07/10/25	Jurisdictional Classification	To classify a position in the exempt class and to classify positions in the non-competitive class
CVS-28-24-00013-P	07/10/25	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-28-24-00014-P	07/10/25	Jurisdictional Classification	To classify a position in the exempt class and to classify a position in the non-competitive class
CVS-28-24-00015-P	07/10/25	Jurisdictional Classification	To classify positions in the exempt class.
CVS-28-24-00016-P	07/10/25	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-28-24-00017-P	07/10/25	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class
CVS-28-24-00018-P	07/10/25	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-28-24-00019-P	07/10/25	Jurisdictional Classification	To classify a position in the exempt class and to classify positions in the non-competitive class
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-16-24-00004-P	04/17/25	Registration of certain firearms, rifles, shotguns, finished frames or receivers, and unfinished frames or receivers	To provide for a registry of firearms, shotguns, finished or unfinished frames or receivers serialized per Penal Law 265.07
CJS-25-24-00002-EP	06/18/25	Firearm Licensing Appeals	Set forth an appeal process for when there is a denial of a firearms application, renewal, or recertification, or revocation
EDUCATION DEPARTMENT			
EDU-48-23-00013-RP	11/28/24	Charter school financing	See attached.
EDU-18-24-00020-EP	05/01/25	Supervisor requirements for wholesalers transfilling medical oxygen	To implement Chapter 590 of the Laws of 2023
EDU-18-24-00021-P	05/01/25	Emergency response definitions for school safety plans	To standardize language and terminology related to emergency procedures
EDU-21-24-00015-P	05/22/25	See attached.	See attached.
EDU-21-24-00016-P	05/22/25	Special education due process hearings	See attached.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-21-24-00017-P	05/22/25	Mixed competition and extra class athletic activities	Sets parameters for male and female students to participate on the same interschool athletic team
EDU-21-24-00018-EP	05/22/25	Posthumous high school diplomas	See attached.
EDU-26-24-00011-P	06/26/25	Requires a doctoral degree in physical therapy for licensure	To Conform the Commissioner's regulations to Chpater 594 of the Laws of 2023
EDU-31-24-00004-EP	07/31/25	Completion of the free application for Federal Student Aid (FAFSA) by seniors enrolled in school districts	To implement section 1 of Part C of Chpater 56 of the Laws of 2024.
EDU-31-24-00005-EP	07/31/25	Extending Flexibility for Incidental Teaching.	Enable school districts to address ongoing staffing challenges by providing them with flexibility in making teaching assignments
EDU-31-24-00006-EP	07/31/25	Flexibilities for supplementary certificate/ supplementary bilingual education extension requirements.	See attached.
EDU-31-24-00007-EP	07/31/25	Special education due process hearings.	See attached.
EDU-31-24-00008-EP	07/31/25	Extending the validity period of Emergency COVID-19 certificates.	See attached.
ELECTIONS, STATE BOARD OF			
SBE-30-24-00001-EP	07/24/25	Adds email or telephone number to the information required to be captured on a contribution card.	To assist in the verification, auditing, and processing of contributions in order to pay public matching funds.
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-46-23-00007-P	01/16/25	Subpart 220-1, Portland Cement Plants Subpart 220-3, Asphalt Pavement Manufacturing Plants	220-1 will be updated to reflect current Federal requirements. 220-3 will established control requirements for asphalt plants
ENV-49-23-00007-P	02/05/25	1,4-Dioxane Limits for Household Cleansing, Personal Care, and Cosmetic Products	Implement the maximum allowable concentrations of 1,4-dioxane as set forth in Article 35 and Article 37 of the ECL
ENV-02-24-00006-P	03/13/25	Update to Part 494 Hydrofluorocarbon Standards and Reporting	Reduce greenhouse gas emissions as required by the Climate Leadership and Community Protection Act
ENV-02-24-00007-P	03/14/25	Uses of fluorinated greenhouse gases including sulfur hexafluoride in gas-insulated electrical equipment	Reduce greenhouse gas emissions as required by the Climate Leadership and Community Protection Act
ENV-07-24-00016-P	05/15/25	Environmental Remediation Programs - State Superfund Program, Brownfield Cleanup Program, and Environmental Restoration Program	To amend the Environmental Remediation Program regulations, 6 NYCRR Part 375
ENV-08-24-00011-P	04/22/25	Science-based State sea level rise projections	To establish a common source of sea-level rise projections for consideration in relevant programs and decision-making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-09-24-00003-P	05/02/25	Repeal and replace 6 NYCRR Part 624, Permit Hearing Procedures, and amend 6 NYCRR Part 621, Part 622 and Subpart 750-1	To incorporate procedural and legal developments, develop consistency & reflect current practice in DEC permit hearings
ENV-15-24-00001-P	04/10/25	Fishing tournament permitting and reporting system	To establish a permitting and reporting system for black bass fishing tournaments
ENV-16-24-00003-EP	04/17/25	Regulations governing recreational fishing of Scup and Summer Flounder	To reduce the recreational harvest of Scup and Summer Flounder in New York
ENV-16-24-00009-P	06/18/25	transportation of radioactive materials	establish regulations consistent with the Nuclear Regulatory Commission regulations adopted in 2012, 2015, 2019, 2020, and 2021
ENV-17-24-00003-P	04/24/25	Hemlock Canadice-State Forest	Protection of public safety, natural resources and providing for public use
ENV-18-24-00024-P	07/09/25	Listing process, jurisdiction determination and permit procedures pretaining to Endangered and Threatened species	To repromulgate previous amendments made in 2010 and 2021 in accordance with court decision requiring public hearing
ENV-21-24-00001-EP	05/22/25	Regulations governing recreational fishing for Atlantic Striped Bass	To amend recreational fishing regulations for Atlantic Striped Bass
ENV-24-24-00021-P	06/12/25	Sporting License Issuance and Use	To enable a $1 and $2 fee for the printing and/or mailing of plain paper hunting, fishing, and trapping licenses
ENV-25-24-00001-EP	06/18/25	Sanitary Condition of Shellfish Lands	To reclassify underwater shellfish lands to protect public health and general welfare
ENV-28-24-00020-P	07/10/25	Trout Stream Fishing Regulations	To align select trout stream regulations with DECs Trout Stream Management Plan and amend tidal trout regulations.
ENV-28-24-00025-P	09/12/25	6 NYCRR Part 664, Freshwater Wetlands Jurisdiction and Classification	To implement 2022 amendments to Environmental Conservation Law Article 24
ENV-29-24-00006-P	09/17/25	criteria for the decommissioning of radioactive material licensed sites	ensure DEC's regulations are equivalent to and compatible with regulations of the Nuclear Regulatory Commission.
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liabilty Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-05-24-00001-P	01/30/25	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure, et al.	To ensure that accident, hospital indemnity, and travel insurance are not misleading and provide substantial economic value
DFS-08-24-00001-P	02/20/25	Network Adequacy and Access Standards	To establish network adequacy and access standards and other protections to improve access to behavioral health services

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
FINANCIAL SERVICES, DEPARTMENT OF			
DFS-13-24-00003-P	03/27/25	Definitions, licensing of PBMs, contracting with network pharmacies, acquisition of PBMs, consumer protections, and audits	Establish definitions, licensing, contracting with pharmacies, acquisition of PBMs, consumer protections, and audit regulations
DFS-29-24-00007-P	07/17/25	Principle-Based Reserving	To adopt the 2024 Valuation Manual.
GAMING COMMISSION, NEW YORK STATE			
*SGC-29-23-00004-P	07/18/24	Attending veterinarian examinations in Thoroughbred racing	To decrease the risks of injury to racehorses
SGC-25-24-00003-P	06/18/25	Whip use in harness racing	To promote the health and safety of racehorses
GAMING FACILITY LOCATION BOARD, NEW YORK			
GFB-31-24-00016-P	07/31/25	Minimum capital investment for additional gaming facility.	To establish a minimum capital investment amount for additional gaming facilities.
GFB-31-24-00017-P	07/31/25	License fee for additional gaming facility.	To establish a license fee for additional gaming facilities.
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
HLT-43-23-00009-P	10/24/24	Nursing Home Rate Appeal Prioritization Guidelines	To amend current appeal submission and processing requirements
HLT-49-23-00001-RP	12/05/24	Hospital Cybersecurity Requirements	To create cybersecurity program requirements at all Article 28 regulated facilities
HLT-02-24-00008-P	01/09/25	Network Adequacy and Access Standards for Behavioral Health Services	To establish network adequacy and access standards for behavioral health services
HLT-08-24-00004-P	02/20/25	General Hospital Emergency Services Behavioral Health	Hospital emergency depts to establish policies&procedures to ident., assess, refer patients with behavioral health presentations
HLT-15-24-00003-P	04/10/25	Ionizing Radiation	Compatibility with federal standards and modernization to reflect current technology
HLT-19-24-00019-P	05/08/25	Reproductive Health Care Standards	Reconciliation with Article 25-a of the Public Health Law and alignment with evidence-based clinical guidelines
HLT-19-24-00020-P	05/08/25	Adult Home Admission and Reporting Requirements	To clarify the pre-admission screening process and strengthen the reporting of residents with serious mental illness diagnoses
HLT-20-24-00008-P	05/15/25	In-Person Medical Evaluation Requirements and Exceptions for Controlled Substance Prescribing	To clarify patient evaluation requirements with regards to the issuance of a controlled substance prescription
HLT-23-24-00001-P	06/05/25	Disease Outbreak Investigation and Response Clarifications	Authorizes NYSDOH to provide flexibilities to LHDs to prioritize reportable diseases that need to be fully investigated

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
HLT-26-24-00012-P	06/26/25	Provider Enrollment and Collection of Patient Consent to Access Medicaid Confidential Data in the SHIN-NY	To clarify that providers of medical goods and services, rather than the QEs, are required to enroll in the Medicaid program
HLT-27-24-00001-P	07/03/25	Contingent Reserve Requirements for Managed Care Organizations (MCOs)	Maintains the contingent reserve requirement at 7.25% through 2025 applied to the Medicaid Managed Care, HIV SNP & HARP programs
HLT-28-24-00021-P	07/10/25	Emergency Medical Services Equipment Requirements for Certified Ambulance and Emergency Ambulance Service Vehicles	To update requirements to meet current industry standards that address patient and provider safety & manufacturing guidelines.
HIGHER EDUCATION SERVICES CORPORATION			
ESC-23-24-00004-P	06/05/25	New York State District Attorney and Indigent Legal Services Attorney Loan Forgiveness Program	To implement section 679-e of the Education Law
LABOR, DEPARTMENT OF			
LAB-37-23-00003-P	09/12/24	Pay Transparency in Job Advertisements	To increase pay transparency in job advertisements pursuant to Labor Law § 194-b
LAB-22-24-00011-P	05/29/25	Public Work Contractor Registry	To implement the public work contractor registry required by Labor Law § 220-i
LAW, DEPARTMENT OF			
LAW-24-24-00023-P	06/12/25	Preclearance under the New York Voting Rights Act (“NYVRA”)	Clarify elements of the NYVRA preclearance process; provide guidance to regulated jurisdictions
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority’s tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority’s outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority’s Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority’s Tariff for Electric Service	To effectuate the outcome of the Public Service Commission’s proceeding on electric vehicle supply equipment
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority’s Tariff for Electric Service	To effectuate the outcome of the Public Service Commission’s proceeding on the NY Energy Storage Roadmap

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
*LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets
*LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
*LPA-37-20-00013-EP	exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
*LPA-12-21-00011-P	exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input
*LPA-17-22-00012-P	exempt	COVID-19 arrears forgiveness and low-income customer discount eligibility	To implement an arrears forgiveness program and expand low-income customer discount eligibility
*LPA-17-22-00014-P	exempt	LIPA's delivery service adjustment cost recovery rider	To ensure recovery of T&D property tax expenses consistent with the LIPA Reform Act, at the lowest cost to LIPA customers
LPA-39-23-00025-P	exempt	The Small Generator Interconnection Procedures in the Authority's Tariff fo	To update the small generator interconnection procedures consistent with Public Service Commission guidance
LPA-46-23-00011-P	exempt	12-month Bill Protection Guarantee	To broaden applicability of Bill Protection Guarantee to all customers that enroll in Rate Code 194 or 195 by last migration
LPA-29-24-00003-P	exempt	IEDR Limitation of Liability	LIPA proposes to modify the Tariff to limit liability consistent with tariff amendments filed by the Joint Utilities.
MENTAL HEALTH, OFFICE OF			
OMH-35-23-00001-P	08/29/24	COVID-19 Vaccination Program	To Repeal Part 557
OMH-36-23-00030-P	09/05/24	Use of Telehealth in Crisis Stabilization Centers	To establish regulations regarding the use of Telehealth in Crisis Stabilization Centers
OMH-04-24-00007-RP	01/23/25	Admission and Discharge Criteria for Comprehensive Psychiatric Emergency Programs	To standardize admissions and discharges
OMH-04-24-00008-RP	01/23/25	Admission and Discharge Criteria for Hospitals for Persons with Mental Illness	To standardize admissions and discharges
OMH-15-24-00002-P	04/10/25	Prior Approval Review Process	To update the Prior Approval Review Process
OMH-16-24-00001-P	04/17/25	To clarify reimbursement methodologies	To provide for reimbursement methodologies which comply with parity laws
OMH-18-24-00001-P	05/01/25	To provide programs the flexibility in the provisions of both medical and mental health services	To raise the limitation on the total number of annual visits for which a program licensed solely under Article 31 may provide

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MOTOR VEHICLES, DEPARTMENT OF			
MTV-36-23-00031-RP	12/04/24	Point System & Licensing or Relicensing After Revocation Action	To assign a point value for alcohol related convictions & increase point values and negative units for certain violations
MTV-21-24-00002-P	05/22/25	Safety Equipment Exemptions	To allow use of properly functioning video mirrors on a commercial motor vehicle as an alternative to the 2 rear view mirrors
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
*NFW-52-22-00004-EP	exempt	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders
NIAGARA FRONTIER TRANSPORTATION AUTHORITY			
NFT-25-24-00004-P	06/18/25	Procurement Guidelines of the Niagara Frontier Transportation Authority and Niagara Frontier Transit Metro System, Inc.	To amend Procurement Guidelines to reflect clarifying provisions and change signing authority level
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-29-24-00002-P	07/17/25	Specialty Hospitals	To clarify requirements and better meet needs of individuals with I/DD seeking treatment at specialty hospitals
PDD-31-24-00001-P	07/31/25	Pathway to Employment	To update the pathway to employment regulations as NY becomes an employment first state.
PDD-31-24-00014-P	07/31/25	Support Decision Making	To effectuate the adoption of supported decision-making practices within the OPWDD service system.
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information

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PROSECUTORIAL CONDUCT, COMMISSION ON			
CPC-17-24-00010-P	04/24/25	Operating Rules and Procedures	To provide the operating rules and procedures for the Commission on Prosecutorial Conduct
PUBLIC EMPLOYMENT RELATIONS BOARD			
PRB-29-24-00001-P	07/17/25	Clarification of PERB's Rules of Procedure.	To clarify that Section 263.34 of PERB's Rules of Procedure comports with the First Amendment and relevant case law.
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P	exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY

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PUBLIC SERVICE COMMISSION			
*PSC-21-04-00013-P	exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program

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PUBLIC SERVICE COMMISSION			
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment

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PUBLIC SERVICE COMMISSION			
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P	exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal

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PUBLIC SERVICE COMMISSION			
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR

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PUBLIC SERVICE COMMISSION			
*PSC-32-08-00009-P	exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds

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PUBLIC SERVICE COMMISSION			
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts	To permit electric utilities in New York State to use the Elster REX2

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PUBLIC SERVICE COMMISSION			
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Vernon and TW Telecom of New York L.P.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and TW Telecom of New York L.P.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the $5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income $5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to $50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify, in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify, in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-10-00005-P	exempt	Approval of a contract for $250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of $250,000 for tank repairs
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about $25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than $100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund
*PSC-28-13-00014-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC)	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s)
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of $94,000	To consider allowing Knolls Water Company to enter into a long-term loan agreement
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures	To improve gas safety performance
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator	To consider waiver of RG&E's tariffed definition of emergency generator

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid
*PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established	To balance the need for the information necessary to support a robust market with customer privacy concerns
*PSC-28-14-00014-P	exempt	Petition to transfer systems, franchises and assets	To consider the Comcast and Charter transfer of systems, franchise and assets
*PSC-30-14-00023-P	exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter
*PSC-30-14-00026-P	exempt	Petition for a waiver to master meter electricity	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive, Albany, NY
*PSC-31-14-00004-P	exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-36-14-00009-P	exempt	Modification to the Commission's Electric Safety Standards	To consider revisions to the Commission's Electric Safety Standards
*PSC-38-14-00003-P	exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program
*PSC-38-14-00004-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn
*PSC-38-14-00005-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2
*PSC-38-14-00007-P	exempt	Whether to expand Con Edison's low income program to include Medicaid recipients	Whether to expand Con Edison's low income program to include Medicaid recipients
*PSC-38-14-00008-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn
*PSC-38-14-00010-P	exempt	Inter-carrier telephone service quality standard and metrics and administrative changes	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines
*PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers
*PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1
*PSC-40-14-00011-P	exempt	Late Payment Charge	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
*PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY
*PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-40-14-00015-P	exempt	Late Payment Charge	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
*PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line
*PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY
*PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program
*PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-15-00024-P	exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million
*PSC-18-15-00005-P	exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	exempt	Notice of Intent to Submeter electricity	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York
*PSC-29-15-00025-P	exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	exempt	Development of a Community Solar Demonstration Project	To approve the development of a Community Solar Demonstration Project
*PSC-33-15-00009-P	exempt	Remote net metering of a demonstration community net metering program	To consider approval of remote net metering of a demonstration community net metering program
*PSC-33-15-00012-P	exempt	Remote net metering of a Community Solar Demonstration Project	To consider approval of remote net metering of a Community Solar Demonstration Project
*PSC-34-15-00021-P	exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-15-00014-P	exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements
*PSC-44-15-00028-P	exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	exempt	Whitepaper on Implementing Lightened Ratemaking Regulation	Consider Whitepaper on Implementing Lightened Ratemaking Regulation
*PSC-48-15-00011-P	exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016	Consider the proposed retirement of Huntley Units 67 and 68
*PSC-50-15-00006-P	exempt	The reduction of rates	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	exempt	Notice of Intent to submeter electricity	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York
*PSC-51-15-00010-P	exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility
*PSC-04-16-00012-P	exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station
*PSC-04-16-00013-P	exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic
*PSC-06-16-00013-P	exempt	Continued deferral of approximately $16,000,000 in site investigation and remediation costs	To consider the continued deferral of approximately $16,000,000 in site investigation and remediation costs
*PSC-06-16-00014-P	exempt	MEGA's proposed demonstration CCA program	To consider MEGA's proposed demonstration CCA program
*PSC-14-16-00008-P	exempt	Resetting retail markets for ESCO mass market customers	To ensure consumer protections with respect to residential and small non-residential ESCO customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-16-00013-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00014-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00015-P	exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process	To ensure consumer protections for ESCO customers
*PSC-18-16-00016-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00018-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-20-16-00008-P	exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP)	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP)
*PSC-20-16-00010-P	exempt	Deferral and recovery of incremental expense	To consider deferring costs of conducting leak survey and repairs for subsequent recovery
*PSC-20-16-00011-P	exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device
*PSC-25-16-00009-P	exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018	To extend the time period between the Companies' third-party assessments of customer personally identifiable information
*PSC-25-16-00025-P	exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel
*PSC-25-16-00026-P	exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications
*PSC-28-16-00017-P	exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework	To determine appropriate rules for and calculation of the distributed generation reliability credit
*PSC-29-16-00024-P	exempt	Participation of NYPA customers in surcharge-funded clean energy programs	To consider participation of NYPA customers in surcharge-funded clean energy programs
*PSC-32-16-00012-P	exempt	Benefit-Cost Analysis Handbooks	To evaluate proposed methodologies of benefit-cost evaluation
*PSC-33-16-00001-EP	exempt	Use of escrow funds for repairs	To authorize the use of escrow account funds for repairs
*PSC-33-16-00005-P	exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges
*PSC-35-16-00015-P	exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-36-16-00004-P	exempt	Recovery of costs for installation of electric service	To consider the recovery of costs for installation of electric service

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-16-00025-P	exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP)	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements
*PSC-47-16-00009-P	exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P	exempt	Implementation of the four EAMs	To consider the implementation of EAMs for RG&E
*PSC-02-17-00012-P	exempt	Implementation of the four EAMs	To consider the implementation of EAMs for NYSEG
*PSC-18-17-00024-P	exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist
*PSC-18-17-00026-P	exempt	Revisions to the Dynamic Load Management surcharge	To consider revisions to the Dynamic Load Management surcharge
*PSC-20-17-00008-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel
*PSC-20-17-00010-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel
*PSC-21-17-00013-P	exempt	The establishment and implementation of Earnings Adjustment Mechanisms	To consider the establishment and implementation of Earnings Adjustment Mechanisms
*PSC-22-17-00004-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	exempt	Development of the Utility Energy Registry	Improved data access
*PSC-26-17-00005-P	exempt	Notice of Intent to submeter electricity	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York
*PSC-34-17-00011-P	exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-17-00005-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-39-17-00011-P	exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan
*PSC-42-17-00010-P	exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report	To consider NFGD's petition for rehearing
*PSC-48-17-00015-P	exempt	Low Income customer options for affordable water bills	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs
*PSC-50-17-00017-P	exempt	New Wave Energy Corp.'s petition for rehearing	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	exempt	Application of the Public Service Law to DER suppliers	To determine the appropriate regulatory framework for DER suppliers
*PSC-50-17-00019-P	exempt	Transfer of utility property	To consider the transfer of utility property
*PSC-50-17-00021-P	exempt	Disposition of tax refunds and other related matters	To consider the disposition of tax refunds and other related matters
*PSC-51-17-00011-P	exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project
*PSC-04-18-00005-P	exempt	Notice of intent to submeter electricity	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity
*PSC-05-18-00004-P	exempt	Lexington Power's ZEC compliance obligation	To promote and maintain renewable and zero-emission electric energy resources
*PSC-06-18-00012-P	exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P	exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P	exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades	To consider AEC's petition requesting resolution of their billing dispute with National Grid
*PSC-11-18-00004-P	exempt	New York State Lifeline Program	To consider TracFone's petition seeking approval to participate in Lifeline
*PSC-13-18-00015-P	exempt	Eligibility of an ESCO to market to and enroll residential customers	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension
*PSC-13-18-00023-P	exempt	Reconciliation of property taxes	To consider NYAW's request to reconcile property taxes
*PSC-14-18-00006-P	exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-18-00010-P	exempt	Petition for use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-18-18-00009-P	exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P	exempt	Whether to impose consequences on Aspirity for its non-compliance with Commission requirements	To ensure the provision of safe and adequate energy service at just and reasonable rates
*PSC-24-18-00013-P	exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements	To promote and maintain renewable and zero-emission electric energy resources
*PSC-28-18-00011-P	exempt	Storm Hardening Collaborative Report	To ensure safe and adequate gas service
*PSC-29-18-00008-P	exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00015-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and energy efficiency protections are in place
*PSC-34-18-00016-P	exempt	Deferral of pre-staging and mobilization storm costs	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs
*PSC-35-18-00003-P	exempt	Con Edison's 2018 DSIP and BCA Handbook Update	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00005-P	exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers
*PSC-35-18-00006-P	exempt	National Grid's 2018 DSIP and BCA Handbook Update	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00008-P	exempt	Central Hudson's 2018 DSIP and BCA Handbook Update	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00010-P	exempt	O&R's 2018 DSIP and BCA Handbook Update	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider
*PSC-39-18-00005-P	exempt	Participation in New York State Lifeline Program	To encourage enhanced services for low-income customers
*PSC-40-18-00014-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018
*PSC-42-18-00011-P	exempt	Voluntary residential beneficial electrification rate design	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-18-00013-P	exempt	Petition for clarification and rehearing of the Smart Solutions Program Order	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity
*PSC-45-18-00005-P	exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-01-19-00013-P	exempt	Order of the Commission related to caller ID unblocking	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County
*PSC-03-19-00002-P	exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings	To reduce damage to underground utility facilities by requiring certain training and approving training curricula
*PSC-04-19-00004-P	exempt	Con Edison's petition for the Gas Innovation Program and associated budget	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals
*PSC-04-19-00011-P	exempt	Update of revenue targets	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues
*PSC-06-19-00005-P	exempt	Consideration of the Joint Utilities' proposed BDP Program	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects
*PSC-07-19-00009-P	exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements	To insure the provision of safe and adequate energy service at just and reasonable rates
*PSC-07-19-00016-P	exempt	Participation in New York State Lifeline Program	To encourage enhanced services for low-income customers
*PSC-09-19-00010-P	exempt	Non-pipeline alternatives report recommendations	To consider the terms and conditions applicable to gas service
*PSC-13-19-00010-P	exempt	New Commission requirements for gas company operator qualification programs	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities
*PSC-19-19-00013-P	exempt	Proposed merger of three water utilities into one corporation	To determine if the proposed merger is in the public interest
*PSC-20-19-00008-P	exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P	exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-31-19-00013-P	exempt	Implementation of Statewide Energy Benchmarking	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-32-19-00012-P	exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-39-19-00018-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-41-19-00003-P	exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges	To provide qualifying residential customers with an optional three-part rate
*PSC-46-19-00008-P	exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York	To promote and maintain renewable electric energy resources
*PSC-10-20-00003-P	exempt	The Commission's statewide low-income discount policy	To consider modifications to certain conditions regarding utility low-income discount programs
*PSC-12-20-00008-P	exempt	Delivery rates of Corning Natural Gas Corporation	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020
*PSC-15-20-00011-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators	To provide clarity and uniformity to the provision of gas service to electric generators
*PSC-16-20-00004-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by Central Hudson
*PSC-18-20-00015-P	exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program
*PSC-19-20-00004-P	exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements
*PSC-19-20-00005-P	exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation	To provide cost recovery for new DLM programs and prevent double compensation to participating customers
*PSC-19-20-00009-P	exempt	Cost recovery associated with Day-Ahead-DLM and Auto-DLM programs, and elimination of double compensation	To consider revisions to P.S.C. No. 10 - Electricity, and P.S.C. No. 12 - Electricity
*PSC-25-20-00010-P	exempt	Whitepaper regarding energy service company financial assurance requirements	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies
*PSC-25-20-00016-P	exempt	Modifications to the Low-Income Affordability program	To address the economic impacts of the COVID-19 pandemic
*PSC-27-20-00003-P	exempt	To make the uniform statewide customer satisfaction survey permanent	To encourage consumer protections and safe and adequate service
*PSC-28-20-00022-P	exempt	Compensation of distributed energy resources	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-28-20-00034-P	exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
*PSC-34-20-00005-P	exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-38-20-00004-P	exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-20-00008-P	exempt	Availability of gas leak information to the public safety officials	Facilitate availability of gas leak information to public safety officials by gas corporations
*PSC-45-20-00003-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-46-20-00005-P	exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service	To determine if approving the DPS Staff's recommendations is in the public interest
*PSC-48-20-00005-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers
*PSC-48-20-00007-P	exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-51-20-00009-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers
*PSC-51-20-00014-P	exempt	Electric system needs and compensation for distributed energy resources	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources
*PSC-01-21-00004-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers
*PSC-04-21-00016-P	exempt	Request for a waiver	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement
*PSC-09-21-00005-P	exempt	Utility capital expenditure proposal	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-13-21-00016-P	exempt	Revised distribution strategies and reallocation of remaining funding	To ensure the appropriate use of funding reserved for gas safety programs
*PSC-17-21-00005-P	exempt	Submetering equipment	To consider use of submetering equipment and if it is in the public interest
*PSC-17-21-00006-P	exempt	Community Choice Aggregation and Community Distributed Generation	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation
*PSC-17-21-00007-P	exempt	Utility studies of climate change vulnerabilities	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities
*PSC-18-21-00006-P	exempt	Community Choice Aggregation renewable products	To consider waiving the locational and delivery requirements for RECs purchased to support renewable CCA products
*PSC-19-21-00008-P	exempt	Community Choice Aggregation (CCA) and Community Distributed Generation (CDG)	To consider permitting Upstate Power, LLC to serve as a CCA administrator offering an opt-out CDG focused program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-21-00004-P	exempt	Regulatory approvals in connection with a 437 MW electric generating facility	To ensure appropriate regulatory review, oversight, and action, consistent with the public interest
*PSC-21-21-00019-P	exempt	Utility capital expenditure proposal	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-29-21-00009-P	exempt	Proposed pilot program to use AMI to disconnect electric service to customers during gas system emergencies	To study the efficacy of using AMI to disconnect electric service during gas system emergencies
*PSC-32-21-00002-P	exempt	The prohibition on ESCO service to low-income customers	To consider whether Icon Energy, LLC d/b/a Source Power Company should be granted a waiver to serve low-income customers
*PSC-35-21-00009-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators	To provide clarity and uniformity to the provision of gas service to electric generators in New York State
*PSC-36-21-00006-P	exempt	The Westchester Power Program	To consider integration of Opt-out Community Distributed Generation into the Westchester Power program
*PSC-37-21-00010-P	exempt	Zero emitting electric generating facilities that are not renewable energy systems	To consider modifications to the Clean Energy Standard
*PSC-37-21-00011-P	exempt	Green Button Connect implementation	To consider the proposed Green Button Connect User Agreement and Green Button Connect Onboarding Process document
*PSC-37-21-00012-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Catalyst should be permitted to offer its Community Distributed Generation product to mass market customers
*PSC-38-21-00006-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-39-21-00007-P	exempt	The proposed alternative method of account identification	To facilitate secure customer data exchanges between the utility or provider and energy service entities
*PSC-47-21-00003-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access
*PSC-47-21-00005-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access
*PSC-50-21-00006-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-50-21-00008-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-50-21-00011-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-50-21-00012-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-05-22-00001-P	exempt	Green gas products	To consider an extension of the waiver permitting energy service companies to serve existing customers on green gas products
*PSC-13-22-00011-P	exempt	Positive revenue adjustments associated with emergency response, damage prevention and leak management for 2020	To consider a rehearing petition
*PSC-14-22-00008-P	exempt	An opt-out community distributed generation program	To establish the program rules for offering community distributed generation on and opt-out basis in New York State
*PSC-18-22-00002-P	exempt	NYSEG and RG&E's petition for a waiver of its 2021 customer service quality performance	To determine if NYSEG and RG&E's petition for waiver is in the public interest
*PSC-19-22-00022-P	exempt	Modification of Con Edison's electric tariff	To either eliminate or waive a provision of the Standby Service Offset Tariff
*PSC-20-22-00009-P	exempt	Modify lease of utility property	To determine whether to authorize the extension and amendment of the lease of the Volney-Marcy transmission line
*PSC-20-22-00011-P	exempt	Establishment of the regulatory regime applicable to a wind electric generating facility	To ensure appropriate regulation of a new electric corporation
*PSC-21-22-00007-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Atlantic Energy, LLC should be permitted to offer its LED Lighting product to mass market customers
*PSC-21-22-00008-P	exempt	Cybersecurity requirements	Modify the framework to ensure the protection of utility systems and customer data from cyber events
*PSC-21-22-00011-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Atlantic Energy, LLC should be permitted to offer its Smart Home Program product to mass market customers
*PSC-24-22-00007-P	exempt	St. Lawrence Gas' petition for a waiver of its 2021 service quality performance	To determine if St. Lawrence Gas' petition for waiver is in the public interest
*PSC-26-22-00008-P	exempt	Compensation under the Value of Distributed Energy Resources tariff	To consider compensation mechanisms for legacy baseline hydroelectric and other renewable energy resources
*PSC-30-22-00009-P	exempt	Establishment of the regulatory regime applicable to a battery storage project	To ensure appropriate regulation of an electric corporation
*PSC-32-22-00022-P	exempt	Establishment of the regulatory regime applicable to a wind electric generating facility	To ensure appropriate regulation of a new electric corporation
*PSC-32-22-00023-P	exempt	Bioenergy generation in New York	To consider compensation for bioenergy generation
*PSC-33-22-00008-P	exempt	Gas moratorium consumer protections	To consider protections for existing and prospective customers should a utility institutes a moratorium on new gas service

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-33-22-00009-P	exempt	Use of electric metering equipment	To consider use of electric metering equipment and ensure consumer bills are based on accurate measurements of electric usage
*PSC-34-22-00005-P	exempt	Transfer of a Certificate of Environmental Compatibility and Public Need	Consideration of whether the proposed transfer is in the public interest
*PSC-38-22-00004-P	exempt	Establishment of the regulatory regime applicable to a battery storage project	To ensure appropriate regulation of an electric corporation
*PSC-42-22-00010-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00011-P	exempt	Gas system planning	To consider cost recovery procedures and an incentive mechanism for non-pipeline alternatives
*PSC-42-22-00012-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00013-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00014-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00015-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00016-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00017-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00019-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-44-22-00003-P	exempt	Proposed draft tariff amendments	To document and refine moratorium management procedures that seek to minimize hardships in the event a future moratorium occurs
*PSC-46-22-00010-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-48-22-00003-P	exempt	Gas moratorium customer protections	To consider protections to minimize customer hardships in the unlikely event of a future gas moratorium
*PSC-04-23-00008-P	exempt	Updates to guidance for electric utility Distributed System Implementation Plans (DSIPs)	Development of updated guidance and directives for utility DSIPs for improving utility planning and operations functions
*PSC-04-23-00009-P	exempt	Gas metering equipment	To consider use of volume corrector and ensure that consumer bills will be based on accurate measurements of gas usage
*PSC-05-23-00001-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-05-23-00002-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00004-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00005-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00006-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00009-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00012-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00014-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00015-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-13-23-00022-P	exempt	The applicable regulatory regime under the Public Service Law for the owner of an energy storage facility	Consideration of a lightened regulatory regime for the owner of an approximately 150 MW energy storage facility
*PSC-15-23-00002-P	exempt	Community Choice Aggregation	To determine if Mid-Hudson Energy Transition Inc. should operate as a Community Choice Aggregation Administrator
*PSC-16-23-00010-P	exempt	Marginal Cost of Service studies	To identify appropriate inputs and methodologies for preparing Marginal Cost of Service studies
*PSC-17-23-00002-P	exempt	Tariff filing	To consider whether the proposed tariff revisions are in the public interest
*PSC-17-23-00003-P	exempt	Issuance of securities and other forms of indebtedness	To determine if the issuance of funding for capital needs and a surcharge mechanism is in the public interest
*PSC-18-23-00001-P	exempt	A request for waiver of negative revenue adjustments	Whether it is in the public interest to waive the negative revenue adjustments for NYSEG and RGE
*PSC-19-23-00022-P	exempt	Disposition of a New York State sales and use tax refund	To determine the just and reasonable disposition of tax refunds
*PSC-21-23-00006-P	exempt	Community Choice Aggregation	To determine if ProjectEconomics d/b/a PowerMarket shall operate as a Community Choice Aggregation Administrator
*PSC-24-23-00023-P	exempt	Deferral of costs for later collection from ratepayers	To determine whether it is reasonable to authorize the deferral of costs associated with a gas demand response pilot program
*PSC-25-23-00003-P	exempt	Community Choice Aggregation	To determine the appropriate requirements to be placed on Community Choice Aggregation solicitations and service agreements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-23-00006-P	exempt	Community Choice Aggregation	To determine the appropriate requirements to be placed on Community Choice Aggregation outreach and education plans
*PSC-25-23-00007-P	exempt	Termination of the PPI Program and deployment of the EVLMTI Program in the Joint Utilities' service territories	To consider the transition from the PPI to the EVLMTI program including design characteristics and program operations
*PSC-25-23-00008-P	exempt	Long-term gas system planning for Con Edison and O&R	To consider and review long-term gas system planning for Con Edison and O&R
*PSC-25-23-00009-P	exempt	Community Choice Aggregation	To determine if Local Power LLC shall operate as a Community Choice Aggregation Administrator
*PSC-26-23-00010-P	exempt	Petition to modify the SIC tariff statement	To consider whether amending the SIC mechanism is in the public interest
*PSC-27-23-00006-P	exempt	A proposed methodology for annual greenhouse gas emissions inventory reporting	To consider whether the proposed Green House Gas Inventory Report will provide sufficient emissions information
*PSC-27-23-00013-P	exempt	The proposed Greenhouse Gas Emissions Reduction Pathways Study	To consider whether the proposed Study is sufficient and whether to proceed with the Study
*PSC-27-23-00015-P	exempt	The Tier 4 renewable energy certificate purchase and sale agreement modifications	To consider modification to the existing Tier 4 renewable energy certificate purchase and sale agreement
*PSC-28-23-00024-P	exempt	Proposed major rate increase	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-28-23-00025-P	exempt	Proposed major rate increase	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-29-23-00007-P	exempt	Reconciliation mechanism	To limit any further near-term customer bill impacts
PSC-31-23-00001-P	exempt	A petition for a special permit exemption from odorization requirements	To determine if the granting of the special permit is in the public interest
PSC-31-23-00002-P	exempt	Proposed major rate increase	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-34-23-00008-P	exempt	Proposed transfer of capital stock	To determine if the transfer of capital stock is in the public interest
PSC-35-23-00007-P	08/29/24	EV Commercial Managed Charging Program Implementation Plan	To consider the deployment of an EV CMCP Implementation Plan in the Central Hudson service territory
PSC-35-23-00011-P	08/29/24	EV Commercial Managed Charging Program Implementation Plan	To consider the deployment of an EV CMCP Implementation Plan in the National Grid service territory
PSC-35-23-00012-P	08/29/24	EV Phase-In Rate	To consider if the EV Phase-In Rate is a near-term solution in the O&R service territory

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-35-23-00013-P	08/29/24	EV Phase-In Rate	To consider if the EV Phase-In Rate is a near-term solution in the National Grid service territory
PSC-35-23-00014-P	08/29/24	EV Phase-In Rate	To consider if the EV Phase-In Rate is a near-term solution in the Central Hudson service territory
PSC-35-23-00015-P	08/29/24	EV Commercial Managed Charging Program Implementation Plan	To consider the deployment of an EV CMCP Implementation Plan in the NYSEG/RG&E service territories
PSC-35-23-00017-P	exempt	EV Phase-In Rate	To consider if the EV Phase-In Rate is a near-term solution in the Con Edison service territory
PSC-35-23-00020-P	exempt	Reconciliation mechanism	To limit any further near-term customer bill impacts
PSC-35-23-00022-P	08/29/24	EV Phase-In Rate	To consider if the EV Phase-In Rate is a near-term solution in the NYSEG and RG&E service territories
PSC-38-23-00002-P	exempt	Program-wide adjustments to renewable energy certificate contracts	To consider modification to existing renewable energy certificate contracts in light of increased project costs
PSC-40-23-00029-P	exempt	The applicable regulatory regime for the owner/operator of an approximately 200 megawatt solar electric generating facility	Consideration of whether a requested lightened regulatory regime is in accordance with the Public Service Law and precedent
PSC-40-23-00030-P	exempt	Proposed major rate increase in electric delivery revenues	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-40-23-00034-P	exempt	Proposed major rate increase in gas delivery revenues	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-41-23-00007-P	exempt	Gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
PSC-42-23-00009-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-42-23-00011-P	exempt	Transfer of a Certificate of Environmental Compatibility and Public Need for a natural gas pipeline	To determine whether the request for the transfer is consistent with the law and in the public interest
PSC-42-23-00012-P	exempt	Long-term gas system planning	To consider and review long-term gas system planning
PSC-42-23-00013-P	exempt	Proposed sale and transfer of a water system and its assets	To consider whether the terms of the sale are in public interest
PSC-45-23-00002-P	exempt	Minor rate filing	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-45-23-00004-P	exempt	Minor rate filing	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-46-23-00004-P	exempt	Petition to submeter electricity and waiver request	To ensure adequate submetering equipment, consumer protections, and energy efficiency protections are in place
PSC-46-23-00009-P	exempt	Pole attachment charges and waiver of newspaper publication	To revise Con Edison's tariffed charges for pole attachments and to waive newspaper publication of the new rates
PSC-47-23-00003-P	exempt	The prohibition on service to low-income customers by energy service companies (ESCOs)	To consider extending the waiver of the prohibition
PSC-48-23-00005-P	exempt	Community Distributed Generation	To consider expanding the Net Crediting program to volumetric community distributed generation projects
PSC-48-23-00006-P	exempt	The Utility Energy Registry	To consider the transition of community scale energy usage data to the Integrated Energy Data Resource
PSC-48-23-00007-P	exempt	Petition to amend bill estimation procedures for AM	To have more accurate billing & reduce adjustments
PSC-51-23-00004-P	exempt	Proposed revisions related to to the Integrated Energy Data Resource platform	To ensure consistency between utility tariffs and the Commission's orders regarding the Integrated Energy Data Resource
PSC-52-23-00009-P	exempt	Minor water rate filing to increase annual water revenues	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-01-24-00015-P	exempt	Proposed major rate increase	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-01-24-00017-P	exempt	Recommendations for changes to current pole attachment rules	To determine if amending the existing pole attachment rules is necessary
PSC-01-24-00018-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-02-24-00002-P	exempt	Water metering equipment	To ensure that consumer bills will be based on accurate measurements of water usage
PSC-02-24-00004-P	exempt	Water rates and charges	To ensure customers are provided safe and adequate service at just and reasonable rates
PSC-02-24-00005-P	exempt	Water metering equipment	To ensure that consumer bills will be based on accurate measurements of water usage
PSC-03-24-00005-P	exempt	Request to defer cost of a Cost of Service and Rate Model Study	To determine whether FIEC can defer the cost of a Cost of Service and Rate Model Study
PSC-03-24-00008-P	exempt	Pole attachment charges	To provide pole attachment services at just and reasonable rates
PSC-04-24-00004-P	exempt	Interconnection rules for distributed generation related to cost estimates, cost sharing, refunds, and construction thresholds	To provide interconnection rules that ensure safe and adequate service at just and reasonable rates

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-05-24-00002-P	exempt	Minor rate filing by Warwick Water Corporation	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preference
PSC-05-24-00003-P	exempt	Application of the Public Service Law (PSL) to a merchant developer and owner of a proposed solar facility	To ensure an applicable regulatory regime under the PSL that is consistent with the public interest
PSC-05-24-00004-P	exempt	Waiver of the requirements of velocity steam meter testing for 2023	To consider whether to provide relief from the velocity meter testing requirements for 2023 due to testing facility shutdown
PSC-06-24-00005-P	exempt	Minor rate filing to increase annual revenues	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-06-24-00006-P	exempt	Electric metering equipment	To consider use of metering equipment and ensure that consumer bills will be based on accurate measurements of electric usage
PSC-06-24-00007-P	exempt	LED streetlights in the Village of Cambridge	To consider whether the use of LED streetlights in the Village of Cambridge requires changes
PSC-07-24-00017-P	exempt	Policies, budgets, and targets for energy efficiency and building electrification portfolios for Non-LMI customers	To establish a portfolio and policy framework for Non-LMI energy efficiency and building electrification
PSC-07-24-00018-P	exempt	Policies, budgets, and targets for energy efficiency and building electrification for Non-Low- to Moderate-Income customers	To establish a portfolio and policy framework for Non-Low- to Moderate-Income energy efficiency and building electrification
PSC-07-24-00019-P	exempt	Policies, budgets, and targets for energy efficiency and building electrification portfolios for Non-LMI customers	To establish a portfolio and policy framework for Non-LMI energy efficiency and building electrification
PSC-07-24-00020-P	exempt	Policies, budgets, and targets for energy efficiency and building electrification portfolios for Non-LMI customers	To establish a portfolio and policy framework for Non-LMI energy efficiency and building electrification
PSC-07-24-00022-P	exempt	Policies, budgets, and targets for energy efficiency and building electrification portfolios for Non-LMI customers	To establish a portfolio and policy framework for Non-LMI energy efficiency and building electrification
PSC-07-24-00024-P	exempt	Energy efficiency and building electrification programs	To implement potential change to energy efficiency programs
PSC-07-24-00025-P	exempt	Policies, budgets, and targets for energy efficiency and building electrification portfolios for LMI customers	To establish portfolio and policy framework for LMI energy efficiency and building electrification programs
PSC-07-24-00026-P	exempt	Community Distributed Generation	To consider CDG billing and crediting performance metrics and associated negative revenue adjustments
PSC-07-24-00027-P	exempt	Policies, budgets, and targets for energy efficiency and building electrification portfolios for Non-LMI customers	To establish a portfolio and policy framework for Non-LMI energy efficiency and building electrification
PSC-07-24-00028-P	exempt	Policies, budgets, and targets for energy efficiency and building electrification portfolios for LMI customers	To establish portfolio and policy framework for LMI energy efficiency and building electrification programs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-07-24-00029-P	exempt	Energy efficiency and building electrification programs	To implement potential changes to building electrification program
PSC-07-24-00030-P	exempt	Policies, budgets, and targets for energy efficiency and building electrification portfolios for LMI customers	To establish portfolio and policy framework for LMI energy efficiency and building electrification programs
PSC-07-24-00031-P	exempt	Extend the period of time in the calculation of the Loss Factor for Lost and Unaccounted for Gas	To ensure safe and adequate service at just and reasonable rates to customers without undue preferences
PSC-07-24-00032-P	exempt	Policies, budgets, and targets for energy efficiency and building electrification portfolios for Non-LMI customers	To establish a portfolio and policy framework for Non-LMI energy efficiency and building electrification
PSC-07-24-00033-P	exempt	Policies, budgets, and targets for energy efficiency and building electrification portfolios for Non-LMI customers	To establish a portfolio and policy framework for Non-LMI energy efficiency and building electrification
PSC-08-24-00006-P	exempt	Petition for termination of temporary operator role and cost recovery	To determine if termination of a temporary operator and recovery of costs is in the public interest
PSC-08-24-00007-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-08-24-00009-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators	To provide clarity and uniformity to the provision of gas service to electric generators in New York State
PSC-08-24-00010-P	exempt	Economic development programs	To consider whether it is in the public interest for National Grid to use deferred credits for economic development programs
PSC-09-24-00007-P	exempt	The Renewable Energy Access and Community Help Program	To provide bill credits to low-and moderate-income end-use electricity consumers in disadvantaged communities
PSC-09-24-00009-P	exempt	Compensation of and incentives for distributed energy resources	To encourage the development of and ensure just and reasonable rates for distributed energy resources
PSC-09-24-00010-P	exempt	Establishment of annual headroom reporting date	To consider the timing for reporting information on existing electric system limitations and available capacity
PSC-10-24-00002-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-10-24-00003-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-10-24-00004-P	exempt	Remote electric service disconnection and reconnection charges	To ensure that charges assessed to utility customers are just and reasonable
PSC-10-24-00005-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-10-24-00006-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-10-24-00007-P	exempt	Rules regarding Utility Thermal Energy Networks	To ensure that Utility Thermal Energy Networks operate safely and adequately and provide service at just and reasonable rates
PSC-11-24-00019-P	exempt	Minor rate filing to increase annual revenues	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-11-24-00020-P	exempt	Appointment of a temporary operator for a water works corporation	To determine if appointment of a temporary operator of a water utility is necessary to provide safe and adequate service
PSC-11-24-00021-P	exempt	Petition for the use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
PSC-11-24-00023-P	exempt	Proposals to clarify the acceptable methods of payments from customers	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-12-24-00001-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-12-24-00002-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-12-24-00003-P	exempt	The proposed transfer of certain interconnection equipment associated with an electric corporation	To consider whether the proposed transfer is in the public interest
PSC-12-24-00004-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-12-24-00005-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-12-24-00006-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-13-24-00004-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-13-24-00005-P	exempt	Long-term gas system planning	To consider and review long-term gas system planning
PSC-13-24-00006-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-13-24-00008-P	exempt	Clean Energy Standard administration	To remedy Clean Energy Standard program deficits
PSC-14-24-00013-P	exempt	Petition to modify the submeter approval order	To ensure adequate consumer protections are in place
PSC-14-24-00014-P	exempt	Petition for waiver of the requirements in Opinion No. 76-17 and 16 NYCRR Part 96 regarding individual metering of living units	To determine whether to authorize the waiver request while ensuring consumer and energy efficiency protections are in place
PSC-14-24-00015-P	exempt	Petition to modify the submeter approval order	To ensure adequate consumer protections are in place

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-15-24-00004-P	exempt	Baseline period modification for the commercial managed charging EAM for program year 2024	To consider a modified peak avoidance baseline and baseline enrollment period and a shortened enrollment baseline growth period
PSC-15-24-00005-P	exempt	Recovery of costs to cure tax liabilities	To determine if Liberty should recover the costs to cure certain tax liabilities of Arbor Hills Waterworks, Inc.
PSC-15-24-00006-P	exempt	Pole attachment charges update	To provide pole attachment services at just and reasonable rates
PSC-15-24-00007-P	exempt	Proposal to modify the MRP related to EV supply equipment requirements, and incentive eligibility requirements	To modify aspects of the MRP in order to avoid disruptions to EV charging infrastructure deployment
PSC-16-24-00007-P	exempt	Energy service company contract changes and renewals	To consider modifications to the Uniform Business Practices to reflect changes to General Business Law Section 349-d
PSC-16-24-00008-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-17-24-00005-P	exempt	Petition for surcharge to recover the cost of a water main installation to six customers	To determine whether recovery of main installation costs via surcharge is in the public interest
PSC-17-24-00006-P	exempt	Notice of intent to submeter electricity and waiver request	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-17-24-00007-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-17-24-00008-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-17-24-00009-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-18-24-00004-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-18-24-00005-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-18-24-00006-P	exempt	Issuance of securities and other forms of indebtedness	To provide funding for capital needs, including construction, and refinancing of maturing debt
PSC-18-24-00007-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-18-24-00008-P	exempt	Partnership for the Urban Revitalization in Western New York Program revisions	To consider and review proposed program modifications
PSC-18-24-00009-P	exempt	Electric metering equipment	To ensure that consumer bills are based on accurate measurements of electric usage
PSC-18-24-00010-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-18-24-00011-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-18-24-00012-P	exempt	Modifications of the Immediate Solutions Programs including less stringent data reporting requirements and modification process	To consider modifications to the Immediate Solutions Programs to increase customer engagement and program flexibility
PSC-18-24-00013-P	exempt	Proposed major rate increase in electric delivery revenues	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-18-24-00014-P	exempt	The applicable regulatory regime for the owner and operator of a solar-powered generating facility	To determine whether a lightened regulatory regime for Bear Ridge is consistent with the PSL
PSC-18-24-00015-P	exempt	The prohibition on service to low-income customers by ESCOs	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs
PSC-18-24-00016-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-18-24-00017-P	exempt	Waiver of 16 NYCRR Sections 86.3(a)(2), 86.3(b)(2), and 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
PSC-18-24-00018-P	exempt	Proposed major rate increase in gas delivery revenues	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-18-24-00019-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-19-24-00001-P	exempt	Transfer of certain street lighting facilities	To consider whether the transfer of street lighting facilities is in the public interest
PSC-19-24-00002-P	exempt	Electric utility economic development program	To ensure the utility operates its economic development program without undue preference and spending is just and reasonable
PSC-19-24-00003-P	exempt	Gas metering equipment	To consider use of volume corrector and ensure that consumer bills are based on accurate measurements of gas usage
PSC-19-24-00004-P	exempt	Issuance of securities and other forms of indebtedness	To provide funding for capital needs, including construction, and refinancing of maturing debt
PSC-19-24-00005-P	exempt	A debt financing arrangement with respect to an energy storage project	To consider a financing arrangement and what regulatory conditions should apply
PSC-20-24-00002-P	exempt	Notice of intent to submeter electricity and waiver request	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-20-24-00003-P	exempt	Tariff proposal and financing petition	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-20-24-00004-P	exempt	Energy affordability and electrification of heat and hot water for low-income customers	The design and implementation of the Energy Affordability Guarantee pilot

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-20-24-00005-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-20-24-00006-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-20-24-00007-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-21-24-00005-P	exempt	Climate Change Resilience Plan and climate resiliency cost recovery surcharge	To make infrastructure resilient to climate change and to determine an appropriate cost recovery mechanism
PSC-21-24-00006-P	exempt	Climate Change Resilience Plan and climate resiliency cost recovery surcharge	To make infrastructure resilient to climate change and to determine an appropriate cost recovery mechanism
PSC-21-24-00007-P	exempt	Electric metering equipment	To ensure that consumer bills are based on accurate measurements of electric usage
PSC-21-24-00008-P	exempt	Climate Change Resilience Plan and climate resiliency cost recovery surcharge	To make infrastructure resilient to climate change and to determine an appropriate cost recovery mechanism
PSC-21-24-00009-P	exempt	Climate Change Resilience Plan and climate resiliency cost recovery surcharge	To make infrastructure resilient to climate change and to determine an appropriate cost recovery mechanism
PSC-21-24-00010-P	exempt	Electric metering equipment	To ensure that consumer bills are based on accurate measurements of electric usage
PSC-21-24-00011-P	exempt	Climate Change Resilience Plan and climate resiliency cost recovery surcharge	To make infrastructure resilient to climate change and to determine an appropriate cost recovery mechanism
PSC-21-24-00012-P	exempt	Minor water rate filing to increase annual revenues	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-21-24-00013-P	exempt	Notice of intent to submeter electricity and waiver request	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-21-24-00014-P	exempt	Climate Change Resilience Plan and climate resiliency cost recovery surcharge	To make infrastructure resilient to climate change and to determine an appropriate cost recovery mechanism
PSC-22-24-00005-P	exempt	Minor electric rate filing to increase annual electric revenues	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-22-24-00006-P	exempt	Petition for transfer of assets and dissolution of water works corporation	To determine if the transfer of Saratoga's assets to the Town and Saratoga's dissolution is in the public interest
PSC-22-24-00007-P	exempt	Electric metering equipment	To ensure that consumer bills are based on accurate measurements of electric usage
PSC-22-24-00008-P	exempt	Petition for rehearing, reconsideration, and clarification of provisions in the DLM Order related to performance payments	To clarify the directives of the DLM Order as to National Grid's obligation to make performance payments to certain customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-22-24-00009-P	exempt	The applicable regulatory regime for the owner and operator of a solar-powered generation facility	To determine whether a lightened regulatory regime is consistent with the PSL
PSC-23-24-00002-P	exempt	Petition for waiver of the requirements of Opinion No. 76-17 and 16 NYCRR Part 96 regarding individual metering of living units	To determine whether to authorize the waiver request while ensuring consumer and energy efficiency protections are in place
PSC-23-24-00003-P	exempt	Compensation under the Value of Distributed Energy Resources tariff	To consider eligibility for a combined heat and power generation facility to receive Value Stack compensation
PSC-24-24-00022-P	exempt	Minor electric rate filing to increase annual electric revenues	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-25-24-00005-P	exempt	The calculation of NYSEG's Percent of Estimated Bills of the Customer Service Performance Indicator metric for January 2024	Whether it is in the public interest to grant certain exemptions in the January 2024 Percent of Estimated Bills for NYSEG
PSC-25-24-00006-P	exempt	Transfer of ownership of cable television facilities and eight municipal cable television franchises	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
PSC-25-24-00007-P	exempt	Long-term gas system planning	To consider and review long-term gas system planning
PSC-26-24-00002-P	exempt	Energy Service Company Home Warranty Products	To consider proposed consumer protections on Energy Service Company Home Warranty Products
PSC-26-24-00003-P	exempt	Petition for clarification of and a determination of compliance	To ensure adequate consumer protections are in place
PSC-26-24-00004-P	exempt	Petition for clarification of and a determination of compliance	To ensure adequate consumer protections are in place
PSC-26-24-00005-P	exempt	Stock transactions of regulated entities	To consider stock transactions within statutory parameters for individual transactions
PSC-26-24-00006-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-26-24-00007-P	exempt	Petition for determination of compliance	To ensure adequate consumer protections are in place
PSC-26-24-00008-P	exempt	Petition for clarification of and a determination of compliance	To ensure adequate consumer protections are in place
PSC-26-24-00009-P	exempt	LPP replacement targets	To update LPP replacement mileage to reflect increasing cost while working within Corning's authorized budget
PSC-26-24-00010-P	exempt	BTU content levels	To reflect the BTU range during the non-heating months due to gas supplied by Corning's new renewable natural gas projects
PSC-27-24-00002-P	07/03/25	Technical amendments and additions to state regulations.	To ensure the safe and adequate operation of pipelines in New York State.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-27-24-00003-P	exempt	Net Metering Rules.	To consider the proper treatment of banked remote net metering credits.
PSC-27-24-00004-P	exempt	Proposals to establish notification requirements for billing delays and adjusted bills.	To ensure proper customer notification of billing delays or adjustments is provided.
PSC-27-24-00005-P	exempt	Long-term gas system planning.	To consider the appropriate process to review two small utilities' long-term gas system plans.
PSC-27-24-00006-P	exempt	Deferral of costs associated with the development of UTEN.	To determine the appropriate funding for the development of proposed UTEN pilot projects.
PSC-27-24-00007-P	exempt	Electric Reliability Performance Metrics.	The ascertainment of accurate electric reliability performance metrics for NYSEG and RG&E.
PSC-27-24-00008-P	exempt	Area code overlay.	To ensure performance in accordance with applicable telecommunications laws, regulations and standards, and the public interest.
PSC-27-24-00009-P	exempt	Proposed transfer of the Company's assets to the Purchaser, an increase in rates, and request for rate setting exemption.	To determine whether transfer of the Company's assets and rate setting exemption are in the public interest.
PSC-28-24-00022-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-28-24-00023-P	exempt	Deferral of costs associated with the development of UTEN.	To determine the appropriate funding for the development of proposed UTEN pilot projects.
PSC-28-24-00024-P	exempt	A debt financing arrangement with respect to an offshore wind project	To consider the requested financing arrangement and what regulatory conditions should apply.
PSC-29-24-00004-P	exempt	Acquisition of the remaining stocks of Avangrid Inc.	To determine whether to authorize the acquisition of all the outstanding shares of Avangrid.
PSC-29-24-00005-P	exempt	Proposal to modify O&R's EV MRP.	To avoid disruptions to EV charging infrastructure deployment in the O&R territory.
PSC-30-24-00002-P	exempt	Petition for a special permit exemption and extension.	To determine whether to grant the special permit relating to certain class locations and extend the 18-month period until 2026.
PSC-30-24-00003-P	exempt	Proposed revisions to firm gas demand response programs for the 2024 - 2025 winter season and going forward.	To determine if the proposed modifications to firm gas demand response programs are in the public interest.
PSC-30-24-00004-P	exempt	A debt financing arrangement with respect to a proposed solar generating facility.	To consider the requested financing arrangement and what regulatory conditions should apply.
PSC-30-24-00005-P	exempt	Automated meter reading technology.	To consider and review reasonableness of proposal and cost recovery.
PSC-30-24-00006-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-30-24-00007-P	exempt	Proposed revisions to firm gas demand response programs for the 2024 - 2025 winter season and going forward.	To determine if the proposed modifications to firm gas demand response programs are in the public interest.
PSC-30-24-00008-P	exempt	The Clean Energy Standard	To consider the proposals to modify the Clean Energy Standard for further achievement of State clean energy goals.
PSC-31-24-00009-P	exempt	To modify the provisions of the System Benefits Charge to allow for interim updates.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-24-00010-P	exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-31-24-00011-P	exempt	IEDR User Agreements, Data Security Agreement, Self Attestation, and Green Button Connect Onboarding Process	To ensure that proposed agreements comply with the Commission's Data Access Framework requirements.
PSC-31-24-00012-P	exempt	Proposal to modify the Auto- and Term- DLM program procurement mechanism.	To consider alternative, utility-specific procurement methodologies to increase participation in the DLM program.
PSC-31-24-00013-P	exempt	Transfer in ownership of battery energy storage system company.	To determine if the transfer is in the public interest.
PSC-31-24-00015-P	exempt	Deferral of incremental expenses beyond utility's control for future recovery in rates.	To determine the ratemaking treatment for Corning's incremental interest costs since base rates were last set by the Commission.
STATE, DEPARTMENT OF			
DOS-34-23-00010-P	08/22/24	Rules for natural organic reduction operations, facilities, and certification of operators	To provide rules for natural organic reduction operations, facilities, and certification of operators
DOS-13-24-00002-P	03/27/25	Appearance Enhancement Licensure and Permanent Dyeing of Eyebrow and Eyelash Hair	To amend the rule to allow dyeing of eyebrow and eyelash hair in accordance with federal regulation
STATE UNIVERSITY OF NEW YORK			
SUN-40-23-00004-EP	10/03/24	Appointment of Employees and Leave of Absence for Employees in the Professional Service	Revise to comport with provisions of the collective bargaining agreement between the State and United University Professions
SUN-31-24-00002-EP	07/31/25	State basic financial assistance for the operating expenses of community colleges under the programs of SUNY and CUNY.	To set assistance levels for basic State financial assistance and allocate incremental new monies provided in the State Budget.
SUN-31-24-00003-EP	07/31/25	State University of New York Tuition and Fee Schedule	To repeal the existing Tuition and Fees Schedule and replace with a new one to be effective for the Fall 2024 semester.
TAXATION AND FINANCE, DEPARTMENT OF			
*TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-17-24-00002-EP	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2024 through March 31, 2024
TAF-22-24-00001-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period July 1, 2024 through September 30, 2024
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-12-24-00007-P	03/20/25	See attached Addendum #2	See attached Addendum #3
TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY			
TBA-52-23-00001-P	exempt	A proposal to establish a new toll rate schedule for use of the central business district under the CBDTP operated by TBTA	A proposal to reduce traffic congestion in a manner that will generate revenue for future transportation improvements
TBA-22-24-00004-P	05/29/25	Toll violation administrative fees with respect to TBTA facilities and Congestion Pricing Central Business District	Relating to 2024 legislative changes concerning payment and enforcement of toll violation fees
VICTIM SERVICES, OFFICE OF			
OVS-21-24-00004-P	05/22/25	Limits on administrative expenses and executive compensation pursuant to Executive Order (EO) 38	As EO 38 has been discontinued, the purpose of this rule is to repeal regulations implementing EO 38

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

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State or country in which incorporated — Delaware

1914 Holding Company
715 Kirk Dr., Kansas City, MO 64102
State or country in which incorporated — Delaware

Aje Investments II, LLC
20 Old Possum Park Rd., Newark, DE 19711
State or country in which incorporated — Delaware

Back Nine Apartment Investors Fund III, LP
2718 W. Roscoe, Suite 100A, Chicago, IL 60618
State or country in which incorporated — Delaware

Eagle Point Securities LLC
600 Steamboat Rd., Suite 202, Greenwich, CT 06830
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ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

REPLACE
MESS HALL ROOF
Otisville Correctional Facility
Otisville, Orange County

Sealed bids for Project Nos. M3120-C, M3120-H, and M3120-E, comprising separate contracts for Construction Work, HVAC Work, and Electrical Work, Replace Mess Hall Roof, Building 120, Otisville Correctional Facility, 57 Sanitorium Avenue, Otisville (Orange County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Corrections and Community Supervision, until 2:00 p.m. on Wednesday, August 14, 2024, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of $37,900 for C, $19,600 for H, and $11,400 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $1,000,000 and $2,000,000 for C, between $250,000 and $500,000 for H, and between $100,000 and $250,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Kimberly Belden, Catherine Skaczkowski, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over $5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is $1,628,283 or less, adjusted annually for inflation as of March 1, 2024. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

__ Project commenced design before January 1, 2020. Not subject to provision.

XX Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 323 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. on August 1, 2024, at Otisville Correctional Facility, 57 Sanatorium Avenue, at the main facility gate parking lot, Otisville, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Rob Sagala (914) 443-0906 a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 72 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for the Construction Trade and the HVAC Trade, and an overall goal of 0% for MWBE participation, 0% for Minority-Owned Business Enterprises ("MBE") participation and 0% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for the Electrical Trade. The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 3 of the Veteran's Services Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, 3% for the E trade contractor, and 3% for the H trade contractor, based on the current

availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing, downloading, and Electronic Bidding from OGS Design & Construction's Electronic Bidding service, Bid Express.

Registration along with viewing, downloading, and electronic bidding can be accessed at the following link: http://www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number:

https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

EXPAND
SEED LABORATORY
State Office Campus
Albany, Albany County

Sealed bids for Project No. 47402-C, comprising a contract for Construction Work, Expand Seed Laboratory, Room 309, Food Laboratory and Weights & Measures Laboratory, State Office Campus Building 6, 1220 Washington Avenue, Albany, NY (Albany County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Agriculture & Markets, until 2:00 p.m. on Wednesday, August 14, 2024, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of $13,900 for C).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $100,000 and $250,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Kimberly Belden, Catherine Skaczkowski, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over $5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is $1,628,283 or less, adjusted annually for inflation as of March 1, 2024. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

__ Project commenced design before January 1, 2020. Not subject to provision.

XX Project commenced design on or after January 1, 2020. Subject to provision.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 2:00 p.m. on August 1, 2024, at the State Office Building Campus, Building 6, 1220 Washington Avenue, Albany, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Steve Koval (518-486-2759) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 48 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 3 of the Veterans' Services Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 3% for the C trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing, downloading, and Electronic Bidding from OGS Design & Construction's Electronic Bidding service, Bid Express.

Registration along with viewing, downloading, and electronic bidding can be accessed at the following link: http://www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number:

https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

NOTICE OF AVAILABILITY
OF STATE AND FEDERAL FUNDS

Division of Housing and Community Renewal
Housing Trust Fund Corporation
Hampton Plaza, 38-40 State Street
Albany, NY 12207

PERSONS/FIRMS SPONSORING THE CONSTRUCTION AND/OR REHABILITATION OF AFFORDABLE HOUSING FOR LOW, MODERATE AND MIDDLE-INCOME PERSONS/ HOUSEHOLDS

Low-Income Housing Trust Fund Program (HTF); Rural and Urban Community Investment Fund Program (CIF); Supportive Housing Opportunity Program (SHOP); Public Housing Preservation Program (PHP); Middle Income Housing Program (MIHP); Senior Housing Program (SENR); HOME Program (HOME); New Construction Program (NCP); LGBTQ+ Affirming Senior Housing Program (SENR); Clean Energy Initiative Program (CEI); federal Low-Income Housing Tax Credit Program (LIHTC) and New York State Low-Income Housing Tax Credit Program (SLIHC)

DESCRIPTION:

New York State Homes and Community Renewal (HCR) announces the availability of funding under the Low-Income Housing Trust Fund Program (HTF); Rural and Urban Community Investment Fund Program (CIF); Supportive Housing Opportunity Program (SHOP); Public Housing Preservation Program (PHP); Middle Income Housing Program (MIHP); Senior Housing Program (SENR); HOME Program (HOME); New Construction Program (NCP); LGBTQ+ Affirming Senior Housing Program (SENR) and Clean Energy Initiative Program (CEI).

HCR also announces the availability of tax credits under the federal Low-Income Housing Tax Credit Program (LIHTC) and New York State Low-Income Housing Tax Credit Program (SLIHC).

The 2024 HCR Multifamily Finance 9% Request for Proposals (RFP) for site-specific multi-family and stand-alone non-residential project applications seeking funding under these programs was made available on July 25, 2024. The RFP and application materials, including application submission instructions, is available on the HCR website at https://hcr.ny.gov/multifamily.

APPLICATION DEADLINES:

Applications for 2024 projects must be completed and submitted by 11:59 PM ET on September 18, 2024.

A $3,000 application fee is required for each LIHTC or SLIHC application with the following exception: not-for-profit applicants (or their wholly-owned subsidiaries) that will be sole general partner of the partnership/project owner or sole managing member of the limited liability company/project owner may request a deferral of payment until the time of credit allocation.

2024 FUNDS AVAILABLE:

Approximately up to $42 million in HTF funds; $10 million in CIF funds; $65 million in SHOP funds; $10 million in PHP funds; $12 million in MIHP funds; $20 million in SENR funds; $10 million in HOME funds; $31 million in New Construction Program (NCP); $4 million in LGBTQ+ Affirming Senior Housing Program (SENR) and $8 million in CEI funds, subject to availability of appropriations. HCR expects to have approximately up to $40 million available for LIHTC reservations. HCR also expects to have approximately up to $12 million available for SLIHC reservations.

HCR APPLICATION PRESENTATION

HCR will post a Power Point presentation of project application requirements, process, and additional guidance on approximately August 8, 2024, which will be available on the HCR website at https://hcr.ny.gov/multifamily.

MISCELLANEOUS
NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE
Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for Health Home Services Provided by Care Coordination Organization services to comply with the Fiscal Year 2025 Enacted Budget. The following changes are proposed:

Non-Institutional Services

Effective on or after August 1, 2024, the reimbursements for Health Home Services Provided by Care Coordination Organizations (CCO's) will be reduced in accordance with the Fiscal Year 2025 Enacted Budget.

The estimated net aggregate decrease in gross Medicaid expenditures attributable to this initiative for State Fiscal Year 2025 is ($12.7M).

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa_inquiries@health.ny.gov

PUBLIC NOTICE
Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to comply with Social Security Act Section 1905(a)(12), 42 Code Federal Regulation Section 440.120. The following changes are proposed:

Non-Institutional Services

Effective on or after August 1, 2024, the New York State Plan will allow the coverage of certain imported drugs deemed medically necessary per 21 United States Code Section 381(d)(1)(B).

There is no estimated change to the annual gross Medicaid expenditures as a result of this proposed amendment.

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact: Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY 12210, spa-inquiries@health.ny.gov

PUBLIC NOTICE

Department of State
Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2024-0104 Matter of EXP, Volodymyr Lytvyn, 1170 Route 22, Suite 103, Bridgewater, NJ 08807, for a variance concerning safety requirements, including dead-end corridors. Involved is an elevated signal tower building known as Beach 105th Station located at Beach 105th Street and Rockaway Freeway in the Borough of Queens, City of New York, State of New York.

2024-0332 Matter of Emerald Point Developers, LLC, 3850 Buffalo Road, Rochester, NY 14624, for a variance concerning safety requirements, including fire apparatus access roads. Involved is an addition to an existing building located at 3841 Buffalo Road, Town of Ogden, County of Monroe, State of New York.

2024-0340 Matter of MTA Construction and Development, 2 Broadway, 8th Floor, New York, NY 10004, for a variance concerning safety requirements, including Wide Aisle Gates. Involved is an existing transit station, known as the Kingsbridge Road Station, located in the City of New York, Borough of the Bronx, County of Bronx, State of New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2024- 0341 Matter of Blue Line 9 Inc., Angel Aponte, 1330 Washington Avenue, Bayshore, NY 11706, for a variance concerning safety requirements, including height under projection. Involved is an existing dwelling located at 149 Hilltop Drive, Town of Brentwood, County of Suffolk, State of New York.

2024-0342 Matter of Captain Permit, Mike Arato, 245 NY-109, Suite D, West Babylon, NY 11704, for a variance concerning safety requirements, including basement ceiling height requirements. Involved is an existing dwelling located at 50 Sunset Blvd.; Town of Oyster Bay, County of Nassau, State of New York.

2024-0344 Matter of Jose David Ventura, 52 Long Drive, Hempstead, NY 11550, for a variance concerning safety requirements, including basement ceiling height requirements. Involved is an existing dwelling located at 52 Long Drive, Village of Hempstead, County of Nassau, State of New York.

2024-0346 Matter of Captain Permit, Mike Arato, 245 NY-109, Suite D, West Babylon, NY 11704, for a variance concerning safety requirements, including ceiling height requirements. Involved is an existing dwelling located at 703 Provost Avenue, Town of Brookhaven, County of Suffolk, State of New York.

2024-0348 Matter of Arpitha Chakalakal, 1653 Highland Ave., New Hyde Park, NY 11040, for a variance concerning safety requirements, including basement ceiling height requirements. Involved is an existing dwelling located at 1653 Highland Avenue, Town of North Hempstead, County of Nassau, State of New York.

PUBLIC NOTICE

Susquehanna River Basin Commission
General Permit Notice

SUMMARY: This notice lists General Permits approved by the Susquehanna River Basin Commission during the period set forth in DATES.

DATES: June 1-30, 2024

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax (717) 238-2436; e-mail: joyler@srbc.gov. Regular mail inquiries may be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists General Permits for projects, described below, pursuant to 18 CFR § 806.17(c)(4), for the time period specified above.

1. Lear Corporation Pine Grove – Penn Dye and Finishing Plant, General Permit Approval of Coverage No. GP-01-20240606, Pine Grove Borough, Schuylkill County, Pa.; groundwater remediation system withdrawal approved up to 0.297 mgd (30-day average); Approval Date: June 13, 2024.

Authority: Public Law 91-575, 84 Stat. 1509 et seq., 18 CFR parts 806 and 808.

Dated: July 11, 2024.

Jason E. Oyler,

General Counsel and Secretary to the Commission.

PUBLIC NOTICE

Susquehanna River Basin Commission
Projects Approved for Consumptive Uses of Water

SUMMARY: This notice lists Approvals by Rule for projects by the Susquehanna River Basin Commission during the period set forth in DATES.

DATES: June 1 - 30, 2024.

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries may be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists the projects, described below, receiving approval for the consumptive use of water pursuant to the Commission's approval by rule process set forth in 18 CFR § 806.22(e) and (f) for the time period specified above.

Water Source Approval - Issued Under 18 CFR 806.22(e):

1. Cargill Cocoa & Chocolate, Inc. - Hazleton Plant; ABR-202406002; Hazle Township, Luzerne County, Pa.; Consumptive Use of Up to 0.0800 mgd; Approval Date: June 14, 2024.

2. Hershey Creamery Co. – Middletown Manufacturing, ABR-202406003; Lower Swatara Township, Dauphin County, Pa.; Consumptive Use of Up to 0.0500 mgd; Approval Date: June 14, 2024.

Water Source Approval - Issued Under 18 CFR 806.22(f):

1. RENEWAL - Chesapeake Appalachia, L.L.C.; Pad ID: Chancellor; ABR-20090532.R3; Asylum Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: June 5, 2024.

2. RENEWAL - Chesapeake Appalachia, L.L.C.; Pad ID: Corbett Unit Pad; ABR-201905006.R1; Overton Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: June 5, 2024.

3. RENEWAL - Chesapeake Appalachia, L.L.C.; Pad ID: James Barrett; ABR-20090530.R3; Asylum Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: June 5, 2024.

4. RENEWAL - Chesapeake Appalachia, L.L.C.; Pad ID: John Barrett; ABR-20090529.R3; Asylum Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: June 5, 2024.

5. RENEWAL - Chesapeake Appalachia, L.L.C.; Pad ID: Judd; ABR-20090534.R3; Monroe Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: June 5, 2024.

6. RENEWAL - Chesapeake Appalachia, L.L.C.; Pad ID: May; ABR-20090528.R3; Granville Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: June 5, 2024.

7. RENEWAL - Chesapeake Appalachia, L.L.C.; Pad ID: Clapper; ABR-20090533.R3; Auburn Township, Susquehanna County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: June 6, 2024.

8. Coterra Energy Inc.; Pad ID: DefargesJ P1; ABR-202406001; Auburn Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: June 13, 2024.

9. RENEWAL - SWN Production Company, LLC; Pad ID: RU-55 CONKLIN; ABR-201204018.R2; New Milford Township, Susquehanna County, Pa.; Consumptive Use of Up to 4.9990 mgd; Approval Date: June 13, 2024.

10. RENEWAL - Seneca Resources Company, LLC; Pad ID: Shughart 490; ABR-201403004.R2; Richmond Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: June 21, 2024.

11. RENEWAL - Seneca Resources Company, LLC; Pad ID: Shughart 534; ABR-201403003.R2; Richmond Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: June 21, 2024.

12. RENEWAL - SWN Production Company, LLC; Pad ID: O'Brien Pad; ABR-201205012.R2; Jackson Township, Susquehanna County, Pa.; Consumptive Use of Up to 4.9990 mgd; Approval Date: June 21, 2024.

13. RENEWAL - Repsol Oil & Gas USA, LLC; Pad ID: ALEXANDER (01 124); ABR-201905003.R1; Armenia Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: June 24, 2024.

14. RENEWAL - Coterra Energy Inc.; Pad ID: GrasavageE P1; ABR-201403014.R2; Jessup Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: June 25, 2024.

15. RENEWAL - Coterra Energy Inc.; Pad ID: HawkJ P1; ABR-201403013.R2; Auburn and Springville Townships, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: June 25, 2024.

16. RENEWAL - Repsol Oil & Gas USA, LLC; Pad ID: HARRIS (01 004) M; ABR-20090508.R3; Armenia Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: June 25, 2024.

17. RENEWAL - Repsol Oil & Gas USA, LLC; Pad ID: PHINNEY (01 006) J; ABR-20090510.R3; Troy Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: June 25, 2024.

18. RENEWAL - Seneca Resources Company, LLC; Pad ID: Tice 653; ABR-201403002.R2; Richmond Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: June 25, 2024.

19. RENEWAL - Chesapeake Appalachia, L.L.C.; Pad ID: Harris #1H; ABR-20090556.R3; Burlington Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: June 28, 2024.

20. RENEWAL - Chesapeake Appalachia, L.L.C.; Pad ID: Iceman; ABR-201205016.R2; Wilmot Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: June 28, 2024.

21. RENEWAL - SWN Production Company, LLC; Pad ID: Lepley Pad - TI-04; ABR-201405006.R2; Liberty Township, Tioga County, Pa.; Consumptive Use of Up to 4.9990 mgd; Approval Date: June 28, 2024.

22. RENEWAL - SWN Production Company, LLC; Pad ID: Powers Pad Site; ABR-20090511.R3; Forest Lake Township, Susquehanna County, Pa.; Consumptive Use of Up to 4.9990 mgd; Approval Date: June 28, 2024.

Authority: Public Law 91-575, 84 Stat. 1509 et seq., 18 CFR parts 806 and 808.

Jason E. Oyler,

General Counsel and Secretary to the Commission.

Dated: July 11, 2024.

PUBLIC NOTICE

Department of Taxation and Finance
Tax Law Section 1111 Annual Adjustment
Calculation on the Base Retail Price on Cigarettes

Pursuant to the provisions of Tax Law section 1111(j)(2), the Commissioner of Taxation and Finance is required to give public notice of the base retail price adjustment calculation and the resulting base retail price of cigarettes for purposes of establishing the prepaid sales tax on cigarettes imposed by Tax Law section 1103. Tax Law section 1111(j) provides that the base retail price of cigarettes shall be adjusted each year by a factor based upon the manufacturers' list price for a carton of standard brand cigarettes. The base retail price adjustment factor for the period July 1, 2023, through June 30, 2024, is 1.140. The base retail price adjustment calculation results in a base retail price of cigarettes effective September 1, 2024, as follows:

Package of twenty (20) cigarettes:	$12.788 x 1.140 = $14.578
For each additional five (5) cigarettes:	$3.194 x 1.140 = $ 3.641

The base retail price is adjusted annually, to take effect the first day of September.

For further information, including rates for previous periods, contact: Carolyn Caponi, Taxpayer Guidance Division, Department of Taxation and Finance, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4134

FINANCIAL REPORTS

NEW YORK STATE | Department of Taxation and Finance

Depositories for the Funds of the State of New York

Month End: June 30, 2024

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Amanda Hiller
Acting Commissioner

ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION AND FINANCE AND THE NEW YORK STATE COMPTROLLER		0.00
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	No report received
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	42,367.20
NY ISO Account	Key Bank	8,196,022.15
State of New York Elicensing	Key Bank	27,867.12
State of New York OGS Petty Cash	Key Bank	246,904.53
State of New Your OGS Escrow	Key Bank	1,070,433.13
01060 - DIVISION OF STATE POLICE		
Div Headquarters - Petty Cash	Key Bank	1,697.55
Key Advantage Account	Key Bank	4,437.81
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	5,000.00
NYSP CTIU Confidential Fund	Key Bank	4,000.00
SA Interest Bearing Account	Key Bank	2,905,521.02
SIU Confidential Fund Account	Key Bank	30,000.00
Special Fund	Key Bank	179,973.99
State Police Receipts Account	Bank of America, N.A.	243,698.85
Troop A Batavia - Petty Cash	Bank of America, N.A.	491.24
Troop A Batavia-Confidential	Bank of America, N.A.	5,177.86
Troop B Confidential	Key Bank	10,500.00
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	9,800.00
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	8,500.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	2,000.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	2,500.00
Troop G Loudonville Conf	Bank of America, N.A.	7,456.75
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	1,000.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,598.25
Troop L Confidential Fund	Bank of America, N.A.	12,431.20
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	111,290.40
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	8,120.63
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	92,994.00
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	36,275.13
Revenue Account	JPMorgan Chase Bank, N.A.	750,631.62
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
NYS Flex Spending	Key Bank	523,741.06
OER Panel Administration Escrow Account	Key Bank	6,630.07
State of New York LMC Petty Cash Account	Key Bank	1,940.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,602.38
01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	11,502.00
Petty Cash	Community Bank	3,847.70
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	148,878.38
Emergency Claims	Key Bank	(9,286.32)
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,810.84
Fingerprint Fee Account	Bank of America, N.A.	2,400,010.75

01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	0.00
Adult-Use Cannabis	Wells Fargo Bank	66,773.96
Advance for Travel Account	Key Bank	No report received
Alcohol Beverage	Bank of America, N.A.	61,641.14
Alcoholic Bev Control License	Wells Fargo Bank	210,053.02
Alcoholic Beverage Control License	M&T Bank	78,727.48
Alcoholic Beverage Tax Collections	Wells Fargo Bank	5,831.40
Assessments Bulk	JPMorgan Chase Bank, N.A.	100,568.23
Assessments Receivable	JPMorgan Chase Bank, N.A.	2,972,015.09
Assessments Receivable (EFT)	Wells Fargo Bank	658,008.35
Boxing And Wrestling Tax	Bank of America, N.A.	50,862.99
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	3,520,942.03
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	19,099,334.26
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	260,796.95
Check 21 PIT	JPMorgan Chase Bank, N.A.	551,079.15
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	169,779.49
Check Sales Tax	JPMorgan Chase Bank, N.A.	2,320,383.49
Cigarette Floor Tax	JPMorgan Chase Bank, N.A.	20,691.11
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	490,996.65
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	495.34
Cigarette Tax Tobacco Products	Bank of America, N.A.	767,870.64
Congestion Surcharge	Wells Fargo Bank	72,517.72
Corporation Tax	Wells Fargo Bank	65,386.38
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	192,123.00
Employer Compensation Expense	Wells Fargo Bank	131,314.36
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	207,972.05
ERS Petty Cash Acct	Key Bank	No report received
Estimated Tax	JPMorgan Chase Bank, N.A.	4,975,212.33
Gift Tax	Bank of America, N.A.	35,700.00
Hazardous Waste	Key Bank	14,900.25
Highway Use - Permits & Reg.	Bank of America, N.A.	11,453.50
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	402,677.97
Hudson River-Black River	Bank of America, N.A.	287,877.96
Hudson River-Black River	Community Bank	183,645.29
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	36,080.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	43,060.39
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	34,514.00
IFTA-Fuel Use	Bank of America, N.A.	25,802.91
Justice Court	Key Bank	194,128.95
Mac #847 NYS T&F Pari Mutuel	Key Bank	67,791.49
Mac #848 NYS T&F Off Track	Key Bank	1,169,553.42
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	1,901.50
Medical Marijuana Tax Collections	Bank of America, N.A.	1,320.49
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	27,724.14
Ogdensburg Bridge & Port	Community Bank	160,043.34
Opioid Excise Tax	Wells Fargo Bank	142,617.97
Pass-Through Entity Tax	Wells Fargo Bank	1,520,230.48
Personal Income Tax	Wells Fargo Bank	123,931.97
Petroleum Business Tax (EFT)	Wells Fargo Bank	308,883.68
Petroleum Products Tax	Bank of America, N.A.	2,124,369.77
Petty Cash Account	Key Bank	No report received
PIT Bulk	JPMorgan Chase Bank, N.A.	848,772.17
Port Of Oswego	Key Bank	30,795.76
Promptax - MCTMT	Wells Fargo Bank	1,816,133.13
Promptax - Petroleum Business Tax	Wells Fargo Bank	352.52
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	549.85
Promptax - Withholding	Wells Fargo Bank	4,449,961.15
Real Estate Transfer Tax	Key Bank	306,484.09
Revenue Holding	First Niagara Bank	4,349,832.61
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	108,408.98
SNY PIT Special Refund Account	Wells Fargo Bank	1,251.00
SUNY Concentration	First Niagara Bank	419,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,212.31
Troy Debt Service Reserve Fund	Bank of America, N.A.	3,657.50
Uncashed Winning Tickets	Bank of America, N.A.	50,501.03

Withholding	Wells Fargo Bank	86,515.32
Withholding Tax	JPMorgan Chase Bank, N.A.	24,619,741.76
Cash Advance Accounts		
Advance For Travel Account	Key Bank	No report received
ERS Petty Cash Acct	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	23,445,703.78
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	1,347,990.30
NYS Employer Contributions	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	423.80
Employees Retirement System - EFT AP	JPMorgan Chase Bank, N.A.	11,181,867.09
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	1,727.74
Retirement Police & Firemen's - EFT AP	JPMorgan Chase Bank, N.A.	1,281,308.94
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	8,139,326.06
National Global Settlement	JPMorgan Chase Bank, N.A.	9,108,485.08
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	39,025.00
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	4,480.11
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	4,000.00
Petty Cash Account Dist Off	Bank of America, N.A.	18,017.60
Petty Cash New York City	JPMorgan Chase Bank, N.A.	741.52
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	131,209.11
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,227,529.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	9,432,465.00
Finger Print Account	JPMorgan Chase Bank, N.A.	6,150.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	22,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	2,252,345.63
Lawyers Fund For Client Protection - Bail	Key Bank	716.74
Petty Cash	Key Bank	4,725.00
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	15.07
Clerk Of The Court Of Appeals	Key Bank	4,680.00
05072 - STATE BOARD OF LAW EXAMINERS		

State Board Of Law Examiners Fee	Key Bank	49,650.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	34,443.00
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	95,022.00
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	11,880.00
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	8,303.75
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	4,835.00
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	No report received
Glen Cove City Court Revenue	Wells Fargo Bank	No report received
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	No report received
Long Beach Court Bail	Wells Fargo Bank	No report received
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	No report received
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	No report received
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	No report received
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	No report received
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	M&T Bank	64,364.50
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	190,856.00
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	254,879.37
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	154,576.50
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	13,506.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	585,364.07
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	7,448.04
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	651,674.79
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	3,379,218.91
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	474,927.28
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	441,474.57
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	0.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	6,583.00
Kings County Criminal Court		
Kings County Criminal Court	JPMorgan Chase Bank, N.A.	11,043.00
Kings Criminal Court	Citibank	0.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	0.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	6,842.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	0.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	17,666.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	0.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	3,353.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	5,361.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	8,361.00
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		

Queens County Supreme Court	JPMorgan Chase Bank, N.A.	15,470.20
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	12,000.00
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	765,288.00
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	652,638.85
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,184,433.40
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	828,966.75
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	3,747,535.93
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	78.93
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	166,016.25
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	264,896.50
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	183,007.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	130,900.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	13,336.39
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	291.00
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	13,373.26
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	79,891.00
Albany Traffic Court - Bail	Bank of America, N.A.	0.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	0.00
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	3,517.34
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	1,301.19
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Albany Surrogates Court		
Albany County Surrogates Court Revenue	Wells Fargo Bank	6,085.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	3.00
Cohoes City Court Fees/Fines Account	Key Bank	9,031.00
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	4,613.00
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	3,173.00
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	23,593.79
Hudson City Revenue	Wells Fargo Bank	10,731.01
Kingston City Court		
Kingston City Court Bail	Wells Fargo Bank	28,500.00
Kingston City Court Revenue	Wells Fargo Bank	23,092.70
Rensselaer City Court		
Rensselaer City Court - Bail	Wells Fargo Bank	6,519.18
Rensselaer City Court - Revenue	Wells Fargo Bank	4,665.53
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	4,285.00
Schoharie Surrogate Court		
Schoharie Surrogates Court Revenue	NBT Bank	0.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	7,094.25
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	24,437.92
Troy Police Court Bail Account	Bank of America, N.A.	16,928.66
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Wells Fargo Bank	6,974.00

Watervliet City Court		
Watervliet City Court - Bail	Wells Fargo Bank	581.57
Watervliet City Court - Revenue	Wells Fargo Bank	17,867.52
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	41,079.89
Amsterdam City Court - Revenue	Key Bank	12,906.50
Clinton County Surrogates		
Clinton County Surrogates - Revenue	NBT Bank	2,590.50
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	2,582.75
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	1,181.50
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	1,872.25
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	8,200.90
Glens Falls City Court Bail Acct	Glens Falls National	24,889.60
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	31,130.51
Gloversville City Court Revenue	NBT Bank	4,769.38
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	0.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	39,331.77
Johnstown City Court Fines/Fees - Revenue	Key Bank	2,490.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	86,446.01
Mechanicville City Ct Revenue Acct	TD Bank	6,817.00
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	665.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	0.01
Ogdensburg City Court Revenue	Community Bank	1,107.09
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	31,232.00
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	12,940.50
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	5,931.00
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	44,520.50
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	15,921.15
Schenectady City Court		
Schenectady City Court- Bail	Key Bank	75,384.40
Schenectady City Court Revenue	Key Bank	40,687.35
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	2,623.75
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	5,989.25
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	2,794.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	2,212.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	35,256.83
Fulton City Court Revenue	Key Bank	5,860.00
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	210.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	19,913.00
Lewis County		
Lewis County Clerk	Community Bank	100.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	4,607.00
Little Falls City Court		
Little Falls City Court Bail	M&T Bank	9,210.00
Little Falls City Court Revenue	M&T Bank	1,528.00
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	12,641.50
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	No report received
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	48,998.13

Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	12,413.50
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	1,479.00
Rome City Court		
City Court Of Rome Bail Account - Bail	NBT Bank	35,672.00
Rome City Court - Revenue	NBT Bank	18,549.99
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	NBT Bank	0.00
Sherrill City Court Fees - Revenue	NBT Bank	938.00
Syracuse City Court		
Syracuse City Court - Bail	NBT Bank	189,287.88
Syracuse City Court - Fees - Revenue	NBT Bank	24,048.70
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	89,455.74
Utica City Court Revenue Account	Key Bank	18,522.43
Watertown City Court		
Watertown City Court Bail	Key Bank	43,857.07
Watertown City Court Fees & Fines - Revenue	Key Bank	10,857.00
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	41,312.00
Binghamton City Court Revenue	M&T Bank	12,301.95
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	8,084.25
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	2,551.75
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	2,445.00
Cortland City Court		
Court City Court Bail	NBT Bank	34,280.54
Court City Court- Revenue	NBT Bank	6,211.50
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	764.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	1,195.25
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	10,477.64
Elmira City Court Bail	Chemung Canal Trust	13,602.20
Ithaca City Court		
Ithaca City Court	Tompkins Community Bank	37,573.00
Ithaca City Court Revenue	Tompkins Community Bank	13,058.00
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	3,708.00
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	4,947.63
Norwich City Court Revenue Acct	NBT Bank	1,963.10
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	29,126.00
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	5,085.00
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	8,189.00
Oneonta City Court Bail Account	Community Bank	18,427.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	1,879.25
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	684.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	1,322.00
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	518.75
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	28,716.00
Auburn City Court Fees & Fines - Revenue	Key Bank	9,376.00
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	10,301.31
Canandaigua City Court Revenue	Canandaigua National Bank	10,698.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	3,817.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	18,825.67
Corning City Court - Revenue	Wells Fargo Bank	3,056.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	17,775.44

Geneva City Court Revenue Account	Wells Fargo Bank	8,554.00
Hornell City Court		
Hornell City Court Bail Account	Community Bank	6,215.00
Hornell City Court Revenue	Community Bank	1,848.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	636.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	29,140.50
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	7,871.75
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	375,886.64
Rochester City Revenue	M&T Bank	20,240.48
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	1,862.50
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	2,574.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	3,153.00
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	104.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
ALLEGANY COUNTY SURROGATES COURT		
ST of NY Office of The State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	2,123.00
Batavia City Court		
ST of NY Office of The State Comptroller Batavia City Court Bail	Wells Fargo Bank	5,813.02
ST of NY Office of The State Comptroller Batavia City Court REVENUE	Wells Fargo Bank	11,224.99
BUFFALO CITY COURT		
ST of NY Office of The State Comptroller Buffalo City Court Bail Account	Wells Fargo Bank	291,795.44
ST of NY OFFICE OF THE STATE COMPTROLLER BUFFALO CITY COURT REVENUE ACCOUNT	Wells Fargo Bank	40,068.72
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	6,345.00
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	6,345.00
Dunkirk City Court		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	5,805.14
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	2,924.00
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	100.20
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	45,854.00
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	2,423.00
Jamestown City Court		
St of NY Office of the State Comptroller Jamestown City Court Bail Account	Wells Fargo Bank	7,581.23
St of NY Office of the State Comptroller Jamestown City Court Revenue Account	Wells Fargo Bank	6,177.16
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	13.00
Lackawanna City Court Revenue Account	Key Bank	36,382.97
Lockport City Court		
St of NY Office of the State Comptroller Lockport City Court Bail Account	Wells Fargo Bank	11,956.21
St of NY Office of the State Comptroller Lockport City Court Revenue Account	Wells Fargo Bank	11,828.17
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	9,718.00
Niagara Falls City Court		
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS BAIL ACCOUNT	Wells Fargo Bank	26,414.52
ST of NY OFFICE OF THE STATE COMPTROLLER NIAGARA FALLS REVENUE ACCOUNT	Wells Fargo Bank	21,873.74
No. Tonawanda City Court		
ST of NY Office of The State Comptroller No. Tonawanda City Court Bail	Wells Fargo Bank	16,491.95
ST of NY Office of The State Comptroller No. Tonawanda Revenue	Wells Fargo Bank	53,434.52
Olean City Court		
ST of NY Office of The State Comptroller Olean City Court Bail	Wells Fargo Bank	5.06
ST of NY Office of The State Comptroller Olean City Court Revenue	Wells Fargo Bank	2,841.00
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	2,084.00
Salamanca City Court		
St of NY Office of the State Comptroller Salamanca City Court Bail Account	Wells Fargo Bank	12.17
St of NY Office of the State Comptroller Salamanca City Court Revenue Account	Wells Fargo Bank	7,161.00
Tonawanda City Court		
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	16,709.67
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	26,767.30
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	1,968.00
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		

Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	10,285.50
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	6,454.00
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	47,200.25
Middletown City Court		
Middletown City Bail Escrow - Bail	Wells Fargo Bank	165,793.67
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Middletown City Court Revenue	Wells Fargo Bank	28,754.86
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	37,795.42
Mt Vernon City Court State Revenue	Wells Fargo Bank	23,003.51
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	297,586.72
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	39,229.00
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	27,395.57
Newburgh City Court Revenue	Wells Fargo Bank	48,539.55
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	12,035.25
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	0.00
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	0.00
Peekskill City Court Bail	Wells Fargo Bank	104,440.73
Peekskill City Court Revenue	Wells Fargo Bank	34,519.00
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	4,723.00
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	12,817.00
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	93,911.42
Poughkeepsie City Court -Revenue	Wells Fargo Bank	18,084.56
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	15,132.25
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	8,315.34
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	11,018.11
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	24,328.00
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	Wells Fargo Bank	37,204.81
White Plains City Court		
White Plains City Court Bail Account	Wells Fargo Bank	149,081.57
White Plains City Court Vehicle And Traffic Acct - Revenue	Wells Fargo Bank	110,274.81
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	329,823.16
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	76,963.02
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	8,893.96
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	19,648.43
Animal Population Control Account	Key Bank	40,020.40
Consumer Food Industry Account	Key Bank	46,856.25
Dairy Industry Services Account	Key Bank	18,148.89
Milk Producers Security Fund	Key Bank	13,926.84
NYS Farmers Market Program	Key Bank	329,865.56
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	124.31
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	19,800.99
Plants Industry Account	Key Bank	42,473.54
Weights & Measures Account	Key Bank	47,335.09
NYS Dept Agriculture & Markets		
Farm Products	Key Bank	42,003.87
State Fair		
NYS Fair Operating Account	Solvay Bank	47,149.83
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	2,640.00
Examination Application Fees Account	Bank of America, N.A.	143.00
Examination Application Fees Account	Key Bank	252.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,063.06
NYS Department of Civil Service	US Bank	15,180,284.88
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	No report received
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		

Conservation Petty Cash Account	M&T Bank	8,268.00
DEC/Exchange Account	M&T Bank	12,652.45
Hunting Trapping & Fishing Account	M&T Bank	14,959.92
Lockbox Account	Wells Fargo Bank	107,589.86
Program Fee	JPMorgan Chase Bank, N.A.	103,662.00
Revenue Account	Bank of America, N.A.	148,223.01
State of New York	Key Bank	260,832.10
Region 1		
Marine Permit Account	M&T Bank	21,399.53
Region 3		
Beaverkill & Mongaup Pond	Jeff Bank	3,517.89
Revenue Region 3 Account	Bank of America, N.A.	2,253.87
Region 4		
Bear Spring Revenue Account	Wayne Bank	618.00
Region 4	Greene County Commercial Bank	16,665.50
Region 4 Camping	NBT Bank	1,770.00
Region 5		
Campsite Revenue Account	Glens Falls National	14,461.35
Land & Forest Region 5W	TD Bank	18,242.48
NYS Conservation	Glens Falls National	3,463.07
Recreation (Warrensburg)	City National Bank & Trust	8,253.91
Region 5	Citizens Bank	10,696.41
Region 5	NBT Bank	9,899.58
Tree Nursery	Bank of America, N.A.	35.00
Region 6		
Fish & Wildlife Watertown	Key Bank	112.92
Lands & Forest District #7	Community Bank	0.00
Lands & Forests District #6	Community Bank	3,602.48
Lands And Forests District 10	M&T Bank	2,119.49
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	2,994.81
Employee Benefit Fund	Five Star Bank	10,638.18
General Cash Fund	Five Star Bank	13,188.98
Inmate Occupational Therapy Fund	Five Star Bank	198,589.09
Spendable Fund	Five Star Bank	541,267.36
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,563.08
Incarcerated Individual Account	Key Bank	145,208.49
Inmate Occupational Therapy Fund	Key Bank	27,977.91
Misc Revenue	Key Bank	44,475.24
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	12,291.52
Employee Benefit Fund	Key Bank	8,609.39
General Fund	Key Bank	0.00
Incarcerated Individual Funds	Key Bank	289,581.15
Inmate Occupational Therapy Acct	Key Bank	123,193.42
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	25,000.00
Facility Advance	Key Bank	5,524.68
General Fund	Key Bank	50.00
Inmate Fund	Key Bank	55,979.39
Miscellaneous Account	Key Bank	24,450.64
Occupational Therapy	Key Bank	53,156.01
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	20,316.67
Employee Benefits	M&T Bank	12,624.09
Inmate Spending Account	M&T Bank	433,888.25
Misc Receipts	M&T Bank	49,394.28
Occupational Therapy Account	M&T Bank	124,699.01
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,217.58
Employee Benefit	Key Bank	3,103.01
Inmate Occupational Therapy Fund	Key Bank	29,976.06
Inmates Fund Account	Key Bank	308,240.96
Misc. Receipts	Key Bank	15,250.47
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
Quality Work Life	JPMorgan Chase Bank, N.A.	No report received
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	No report received

General Fund	Key Bank	No report received
Incarcerated Individual Checking	Key Bank	No report received
Occupational Therapy Fund	Key Bank	No report received
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	3,978.77
Employee Benefit Fund	Bank of America, N.A.	11,074.94
Inmate Funds	Bank of America, N.A.	92,996.00
Misc Receipts	Bank of America, N.A.	20,655.61
Occupational Therapy	Bank of America, N.A.	36,949.94
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
AGENCY ADVANCE	Key Bank	1,322.71
EMPLOYEE BENEFIT FUND	Key Bank	21,643.07
IDNOW	Key Bank	223,485.91
IOTF	Key Bank	42,596.45
MISC RECEIPTS	Key Bank	5,705.50
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	5,328.36
Employee Benefit Fund	Chemung Canal Trust	49,901.44
Inmates Fund	Chemung Canal Trust	281,960.00
Miscellaneous Receipts	Chemung Canal Trust	485.75
Occupational Therapy Fund	Chemung Canal Trust	59,360.86
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	3,664.84
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	9,343.21
Inmate Funds	JPMorgan Chase Bank, N.A.	139,251.25
Misc. Receipts	JPMorgan Chase Bank, N.A.	369.66
Occupational Therapy	JPMorgan Chase Bank, N.A.	81,505.33
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	3,530.20
Employee Benefits Fund	National Bank of Coxsackie	35,344.14
INCARCERATED INDIVIDUALS ACCOUNT	National Bank of Coxsackie	85,839.42
Misc. Revenue	National Bank of Coxsackie	18,976.69
Occupational Therapy Acct	National Bank of Coxsackie	29,916.51
10140 - WOODBOURNE CORRECTIONAL FACILITY		
WCF Agency Advance	Jeff Bank	2,906.16
WCF General Fund	Jeff Bank	7,283.29
WCF Incarcerated Individual Funds	Jeff Bank	64,892.55
WCF Occupational Therapy Fund	Jeff Bank	71,677.64
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	23,977.83
Employee Benefit Fund	Key Bank	25,407.74
Inmate Escrow Account	Key Bank	346,375.78
Misc. Receipts Account	Key Bank	176,887.70
Special Account	Key Bank	1,300.00
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	3,769.88
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	3,279.55
Inmate Funds Account	JPMorgan Chase Bank, N.A.	978,618.25
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	818.84
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	3,484.60
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	3,596.77
Agency Advance	Community Bank	1,150.34
Employee Benefit Fund	Community Bank	447.27
General Fund	Community Bank	1,138.00
Inmate Funds	Community Bank	45,877.79
Inmate Occupational Therapy Fund	Community Bank	1,479.66
10250 - TACONIC CORRECTIONAL FACILITY		
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	3,635.02
Inmate Funds	JPMorgan Chase Bank, N.A.	121,096.68
Misc. Revenue	JPMorgan Chase Bank, N.A.	1,711.92
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	19,474.87
Taconic Advance Account	JPMorgan Chase Bank, N.A.	4,383.22
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,452.59
Employee Benefit Fund Account	Key Bank	3,813.48
Inmate Funds account	Key Bank	117,794.49
Inmate Occupational Therapy Account	Key Bank	2,715.23
Miscellaneous Receipts Account	Key Bank	4,620.59
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	4,213.06
Employee Benefit Fund	Jeff Bank	6,884.40
General Fund	Jeff Bank	4,173.84
Inmate Funds	Jeff Bank	102,164.54

Inmate Occupational Therapy	Jeff Bank	54,930.51
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	2,068.78
Employee Benefit Account	JPMorgan Chase Bank, N.A.	670.77
Inmate Cash Account	JPMorgan Chase Bank, N.A.	62,064.03
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,054.45
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	M&T Bank	1,421.46
EBF Checking	M&T Bank	75,946.72
Inmate Spendable	M&T Bank	215,713.15
Misc Receipts	M&T Bank	462.35
Occupational Therapy	M&T Bank	75,575.02
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	No report received
Employee Benefit Fund Checking	Bank of America, N.A.	No report received
Inmate Funds Checking	Key Bank	No report received
Miscellaneous Revenue	Key Bank	No report received
Occupational Therapy	Key Bank	No report received
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	7,783.81
Employee Benefit Account	Alden State Bank	25,469.19
Inmates Funds	Alden State Bank	171,873.37
Misc. Receipts	Alden State Bank	342.00
Occupational Therapy	Alden State Bank	76,348.99
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	8,938.15
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	5,576.27
Employee Commission Account	Five Star Bank	11,689.84
Inmate Funds Account	Five Star Bank	300,980.29
Miscellaneous Receipts Account	Five Star Bank	531.54
Occupational Therapy Account	Five Star Bank	18,179.16
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	6,310.10
Employee Activities	Community Bank	45,147.26
Incarcerated Individual checking account	Community Bank	454,231.89
Miscellaneous Revenue	Community Bank	15,135.91
Occupational Therapy	Community Bank	20,311.04
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	4,656.28
Employee Benefit Fund	Bank of America, N.A.	17,784.25
Inmate Funds	Key Bank	362,744.73
Misc. Revenue	Key Bank	5,492.85
Occupational Therapy	Key Bank	41,733.65
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	5,217.20
Employee Benefit Fund Account	Bank of America, N.A.	24,768.81
Inmate Fund Account	Key Bank	116,547.68
Misc receipts Acct	Key Bank	1,209.25
Occupational Therapy fund Acct	Key Bank	46,457.69
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,814.10
Employee Benefit Account	Key Bank	10,856.01
Incarcerated Individuals Funds	Key Bank	178,260.52
Inmate Occupational Therapy	Key Bank	16,966.14
Misc. Receipts	Key Bank	2,010.88
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	4,001.23
Employees Vending Benefit	NBT Bank	12,131.21
Inmates Funds	NBT Bank	82,265.82
Misc Revenues General Fund	NBT Bank	1,188.26
Occupational Therapy	NBT Bank	6,373.56
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	3,749.24
Employee Benefit Fund	First National Bank of Groton	9,433.12
Inmate Occupational Therapy Account	First National Bank of Groton	15,186.64
Inmate Spendable	First National Bank of Groton	220,444.16
Misc Receipts	First National Bank of Groton	612.50
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,096.59

Employee Benefit Fund	Key Bank	9,009.72
Incarcerated Individual Spendable Funds	Key Bank	341,278.19
Miscellaneous Receipts	Key Bank	256.81
Occupational Therapy	Key Bank	18,567.82
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,208.87
Inmate Accounts	Key Bank	74,406.10
Miscellaneous Receipts Account	Key Bank	16,939.08
Occupational Therapy	Key Bank	37,020.10
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	5,605.76
Employee Benefit Fund	Community Bank	34,914.23
Inmate Occupation Therapy Acct	Community Bank	21,458.09
Inmate Spendable Account	Community Bank	156,358.33
Miscellaneous Receipts Account	Community Bank	793.13
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	No report received
Employee Benefit Fund	Community Bank	No report received
Inmate Funds	Community Bank	No report received
Inmate Funds - Sav	Community Bank	No report received
Miscellaneous Revenue	Community Bank	No report received
Occupational Therapy	Community Bank	No report received
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	3,830.68
Employee Benefit Fund	M&T Bank	6,351.04
Inmate Fund	M&T Bank	127,018.25
Misc Receipts	M&T Bank	57.00
Occupational Therapy	M&T Bank	25,457.68
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	4,751.22
Employee Benefit Fund	Bank of America, N.A.	7,609.05
Inmate Funds	Bank of America, N.A.	233,583.26
Miscellaneous Receipts	Bank of America, N.A.	21,358.11
Occupational Therapy	Bank of America, N.A.	19,131.21
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,160.93
EBF Account	Key Bank	12,895.36
General Account	Key Bank	0.00
Inmate Account	Key Bank	113,076.41
Occupational Therapy Account	Key Bank	20,257.05
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	7,050.14
Employee Benefit Fund	Five Star Bank	16,945.88
Inmate Occupational Therapy	Five Star Bank	45,546.05
Inmate Spendable	Five Star Bank	289,198.37
Misc. Receipts Account	Five Star Bank	8,363.71
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	4,685.67
Employee Benefit Fund	National Bank of Coxsackie	25,007.62
Inmate Accounts	National Bank of Coxsackie	194,664.22
Misc. Receipts	National Bank of Coxsackie	0.00
Occupational Therapy	National Bank of Coxsackie	19,563.15
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,350.78
Inmates Funds Account	Key Bank	296,232.06
Misc. Receipts Account	Key Bank	12,051.58
Occupational Therapy Acct	Key Bank	77,352.46
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Key Bank	1,702.85
Inmate Checking	Key Bank	176,600.60
Miscellaneous	Key Bank	15,365.71
Occupational Therapy	Key Bank	26,405.13
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	No report received
Incarcerated Individual Occupational Therapy	Community Bank	No report received
Incarcerated Individual Spendable Fund	Community Bank	No report received
Misc Receipts	Community Bank	No report received
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	919.47
Facility Committees	Key Bank	12,601.96
Inmate Fund	Key Bank	284,032.81
Inmate Occupational Therapy Fund	Key Bank	33,124.72
Miscellaneous Account	Key Bank	216.07
10850 - HALE CREEK ASACTC		

Consolidated Advance	Key Bank	800.00
Employee Benefit Fund	Key Bank	10,844.11
Incarcerated Individual Funds	Key Bank	36,269.74
Incarcerated Individual Occupational Therapy	Key Bank	13,749.73
Misc Receipts	Key Bank	0.20
10890 - CORRECTIONS AND COMMUNITY SUPERVISION		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	18,908.69
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	3,985.00
Div of Ind Revenue Acct	Key Bank	211,384.85
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
NYS HESC-Federal Student Loan Suspense	Key Bank	5,866.12
Operating	Key Bank	1,578,333.34
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	No report received
Petty Cash	M&T Bank	No report received
Student Spending Account	Bank of America, N.A.	No report received
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	22,693.89
Petty Cash	NBT Bank	1,930.00
Student Activity Fund	NBT Bank	14,179.56
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	5,570,827.60
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	260,129.03
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
CSA Rebate Account	Bank of America, N.A.	244,390.84
DOH EPIC Lockbox Acct	Wells Fargo Bank	22,361.04
DOH Main Cash Advance	Key Bank	21,630.57
DOH Main Revenue	Key Bank	838,855.73
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	372,550.24
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	19,399.15
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	4,906,570.45
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	6,105.05
ICR Audit Fees Account	Bank of America, N.A.	91,031.82
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	14,456.15
Medicaid	Key Bank	1,115,094.76
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	278,443.72
Nurses Aide Fees (Prometric)	Bank of America, N.A.	62,999.76
Nursing Home Fees Account	Bank of America, N.A.	60,643.27
NYS DOH CLEP Revenue	Key Bank	19,482.55
OBRA Drug Rebate Program Acct	Bank of America, N.A.	66,723,361.81
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	3,005,760.72
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	300,317.21
Petty Cash Account	JPMorgan Chase Bank, N.A.	5,985.95
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	5,549.64
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	8,604.70
Exchange Account	NBT Bank	55,205.74
Maintenance Fund	NBT Bank	458,429.33
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	756,343.63
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	46,471.40
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	675,714.11
St Albans Vet Home Maintenance Acct	NBT Bank	2,409,854.58
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	4,380.00
Exchange Account	Bank of America, N.A.	12,161.64
Maintenance Account	NBT Bank	391,914.25
Resident Funds	Bank of America, N.A.	90,009.40
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	66,838.81

Maintenance Acct	NBT Bank	1,075,220.81
Residence Account	Bank of America, N.A.	245,718.25
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	229.59
Albany Petty Cash Account	Key Bank	271.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	27,400.00
Exchange Account	Bank of America, N.A.	456,277.78
Fee And Permit Account	Key Bank	796,608.40
Min Wage & Claim Funding Acct	Key Bank	180,768.49
Minimum Wage & Wage Claim Acct	Key Bank	802,252.85
Misc Receipts	Bank of America, N.A.	287,531.21
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	10,296,430.75
UI Employer Credit Card Payments	Key Bank	0.00
UI Fund ACH Transactions	Wells Fargo Bank	4,000,000.00
UI Refund Account (Disbursements)	JPMorgan Chase Bank, N.A.	0.00
SCO Building 12, Rm 464, Albany NY		
Minimum Wage Wage Claim (Disbursement)	Key Bank	0.00
UI Claimant Credit Card Payment	Key Bank	0.00
14010 - WORKERS COMPENSATION BOARD		
DTF/WCB MAC 14	JPMorgan Chase Bank, N.A.	137,552,824.25
16000 - PUBLIC SERVICE COMMISSION		
Cable Account	Key Bank	5,976.51
Petty Cash Account	Key Bank	3,763.10
Special Fee Account	Key Bank	10,773.20
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Main Office Advance For Travel	Key Bank	50,100.83
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	161,801.03
19000 - DEPARTMENT OF STATE		
Athletic Revenue	M&T Bank	310,788.00
Corp Cash & Check/Codes/Cemetery Revenue	M&T Bank	61,609.53
Lic Revenue / Corp Credit Card Revenue	JPMorgan Chase Bank, N.A.	833,217.25
Petty Cash Account	Key Bank	18,745.37
Summons - NYC only	M&T Bank	89,680.00
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	287.75
JCOPE Revenue Account	Bank of America, N.A.	43,006.43
20000 - DEPARTMENT OF TAXATION & FINANCE		
Misc Tax Account - Exchange	Bank of America, N.A.	662,075.50
Off Track Betting Tax (MAC 848)	Key Bank	1,169,553.42
Pari Mutuel Betting Tax (MAC 847)	Key Bank	67,791.49
Petty Cash	Bank of America, N.A.	15,000.00
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	48,300.00
Waste Tire Fee (EFT)	Wells Fargo Bank	19,091.58
Waste Tire Tax	JPMorgan Chase Bank, N.A.	13,840.35
20050 - NEW YORK STATE GAMING COMMISSION		
Charitable Gaming Account	Key Bank	89,076.31
Commercial Gaming Revenue Account	Key Bank	29.78
Custody Account	US Bank	18,918.73
Fingerprint Concentration Account	Key Bank	10,275.86
License Revenue Account	Bank of America, N.A.	1,687.06
Lottery Concentration Account	Key Bank	8,073.08
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	619,563.36
Petty Cash Account	Key Bank	673.02
Racing Refund Account	Key Bank	1,784,449.55
Video Gaming Revenue Account	Key Bank	13,053,652.26
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	15,000.00
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21190 - NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY		
NYSERDA Greenbank MAC 26	JPMorgan Chase Bank, N.A.	1,862,524.51
NYSERDA Main Checking MAC 30	JPMorgan Chase Bank, N.A.	0.00
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		

Checking- General Fund Acct.	Community Bank	186,161.61
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	154,742.68
Money Market	Bank of America, N.A.	16.96
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Pass Thru Account	Key Bank	0.00
OSIG Petty Cash Account	Key Bank	1,467.66
Albany		
Office of the Inspector General Confidential	Bank of America, N.A.	30,000.00
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	640.00
Petty Cash Account	Key Bank	989.89
Petty Cash Fund	JPMorgan Chase Bank, N.A.	1,720.44
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
23000 - DEPARTMENT OF MOTOR VEHICLES		
Abany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	703,654.52
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	40,161.79
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	24,681.95
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	13,080.16
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	418,691.24
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	870.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	182,615.06
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	156,886.04
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	126,649.23
Chautaqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	226,899.66
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	27,175.90
Holding Acct-Chautauqua County	Key Bank	103,658.07
Holding Acct-Chautauqua County	M&T Bank	30,932.50
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	952,000.00
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	1,852,616.64
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	No report received
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	1,069,979.64
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	58,106.46
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	321,665.86
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	79,614.85
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	181,986.36
Genesee County		
Genesee County Clerk - DMV	M&T Bank	41,523.59
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	407,795.03
IRP (Albany Central Office)		
International Registration	M&T Bank	1,964,909.19
International Registration	Wells Fargo Bank	354,269.02

IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	No report received
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	40,775.00
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	490,926.47
Long Island/Staten Island JP		
Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	0.00
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	125,859.75
NYC North		
NYC North	Wells Fargo Bank	153,851.29
NYC South		
NYC South	Wells Fargo Bank	103,066.93
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	No report received
Oneida County Fee Account	NBT Bank	No report received
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	No report received
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	4,500.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	72,835.45
Search Exchange (Albany Central Office)		
MV Search	Key Bank	592.00
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	29,991.06
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	407,507.67
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	23,603.61
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	No report received
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	No report received
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	124,844.88
Utica D.O.		
Revenue Utica	Bank of Utica	1,722,968.41
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	200.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	2,682.40
Brookwood Cash Advance	Key Bank	177.37
Brookwood Resid Residential Cash	Key Bank	25,377.54
Check Exchange	Bank of America, N.A.	126,889.93
Finger Lakes Res Ctr Residents Cash	Key Bank	18,408.04
Fingerlakes Res Ctr Cash Advance	Key Bank	100.00
Goshen Cash Advance	Bank of America, N.A.	300.00
Goshen Residents Account	Bank of America, N.A.	29,057.79
Harriet Tubman Advance Account	Key Bank	300.00
Harriet Tubman Residents' Account	Key Bank	6,032.20
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	240.36
Highland Res Ctr Residents Acct	Bank of America, N.A.	28,529.41
Industry Advance Account	JPMorgan Chase Bank, N.A.	227.51
Industry Res Account	JPMorgan Chase Bank, N.A.	41,031.72
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Key Bank	372.39
MacCormick Residents' Account	Key Bank	27,004.76
Medicaid Reimbursement Exchange	Bank of America, N.A.	33,614.36
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	28,013.90
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	200.00
SCR Credit Card Revenue Account	Bank of America, N.A.	215,700.00
State Central Register	Bank of America, N.A.	79,316.85
Taberg Cash Advance	NBT Bank	271.85
Taberg Residents Account	NBT Bank	2,898.02
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	20.70
Title IV D Of Social Security	Key Bank	1,034,033.61

Travel Advance	Key Bank	10,000.58
28010 - SUNY ALBANY		
Fee Account	Key Bank	600,946.24
Loan Services Center Account	Key Bank	3,037.48
28020 - SUNY BINGHAMTON		
SUNY Binghamton	Key Bank	480,819.30
SUNY Binghamton - Controlled Disb	Key Bank	0.00
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Key Bank	0.00
Dental Clinic	Key Bank	0.00
General Revenue Account	Key Bank	426,301.72
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	758,890.29
Controlled Disbursement	JPMorgan Chase Bank, N.A.	-
Fees Depository	JPMorgan Chase Bank, N.A.	9,984,002.94
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	641,139.22
LISVH Fees Depository	Sterling Bank	398,176.79
LISVH Residence Fund	Sterling Bank	675,290.29
Payroll Advance	JPMorgan Chase Bank, N.A.	11,233.45
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	-
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	-
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	383,386.80
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	603,072.11
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	14,940,875.89
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	790.41
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	1,223,845.30
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	9,630,684.37
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	43,428.18
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	72,363.33
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	10,596,335.22
Parking	Key Bank	566,526.34
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	3,036.99
Concentration Acct	M&T Bank	119,628.93
Controlled Disb	M&T Bank	0.00
Special Account	M&T Bank	1,000.00
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	0.00
Special Grant Account	M&T Bank	3,423.14
Students Acct Office	M&T Bank	118,766.45
28170 - SUNY CORTLAND		
Cash Disbursement Account	Key Bank	0.00
General Checking Account	Key Bank	172,869.34
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	71,173.17
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	89,559.09
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	80,561.75
SUNY College at Old Wesbury	JPMorgan Chase Bank, N.A.	0.00
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
State Revenue	Key Bank	176,472.40
28220 - SUNY ONEONTA		
COIL Wires Account	NBT Bank	0.00
Revenue Account	NBT Bank	851,632.95
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	76,480.71
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	1,161,267.73
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	0.00
State Fee Reconciliation Account	Key Bank	197,599.50
28260 - SUNY PURCHASE		

General Income Fund	Key Bank	167,259.35
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	57,402.37
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	300,688.67
Distribution Center Account	Key Bank	0.00
International Wire Account	Key Bank	1,715.00
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	359,651.36
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	60,498.39
Income Fund	Key Bank	83,708.04
International Program Account	Key Bank	0.00
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	26,406.28
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	93,549.01
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	1,528,693.99
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	354,420.19
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	0.00
Controlled Disb	Key Bank	0.00
Forestry	Community Bank	180.78
Regular Account	Key Bank	65,032.53
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	100,000.00
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	27,513.28
Revenue EFT Account	JPMorgan Chase Bank, N.A.	13,927.00
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	201,463.05
Medical Transportation	JPMorgan Chase Bank, N.A.	65.43
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	4,900,000.00
Revenue	Key Bank	262,586.83
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	10,000.00
Confidential Investigations	JPMorgan Chase Bank, N.A.	10,198.05
Covid Risk Adj A/C	JPMorgan Chase Bank, N.A.	0.00
Fire Tax Account (Main)	Key Bank	73,073.16
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	5,266.60
General Fund	Key Bank	47,879.73
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	985,849.17
Miscellaneous Account	JPMorgan Chase Bank, N.A.	192,814.97
Paid Family Leave	JPMorgan Chase Bank, N.A.	0.03
Petty Cash	Key Bank	6,000.00
Pharmacy Benefits Program	JPMorgan Chase Bank, N.A.	5,193,141.09
Virtual Currency	JPMorgan Chase Bank, N.A.	9,639,659.24
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	140,783.08
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Revenue (SA)	Glens Falls National	43,561.00
49020 - LONG ISLAND STATE PARK COMMISSION		
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	228,888.05
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	79,732.39
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	0.00
Revenue (NIA)	Evans National Bank	39,939.73
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	7,070.15
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	7,025.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	Key Bank	465,281.94
Petty Cash (ALB)	Key Bank	30,000.00
Revenue (NI, GE, AL, CE, TA)	M&T Bank	25,949.65
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	150,698.30
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	84,878.10

Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	13,989.19
Revenue (various)	Wells Fargo Bank	85,474.87
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	542,786.78
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	542,970.51
Statewide Housing Security Deposits	Key Bank	172,215.09
49090 - FINGER LAKES STATE PARK COMMISSION		
Revenue (FL)	Savannah Bank	53,413.53
Revenue (FL-Multi)	Community Bank	39,787.11
Revenue (FL-Multi-Facilities)	Tompkins County Trust	339,764.74
49100 - ALLEGANY STATE PARK COMMISSION		
Regional Account (AL)	Five Star Bank	220,106.96
Revenue (AL)	Five Star Bank	69,152.49
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Revenue (CE, SA, TI)	NBT Bank	46,235.66
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	30,594.20
Revenue (TI-Multi)	Community Bank	19,380.45
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	16,401.74
OMH Medication Grant Program Acct	Bank of America, N.A.	3,900.69
Reimbursement Account	Bank of America, N.A.	685,656.07
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	9,452.00
Facility Holding Account	JPMorgan Chase Bank, N.A.	19,715.61
Patient Cash Funds	JPMorgan Chase Bank, N.A.	464,526.01
Patients Cash Account	JPMorgan Chase Bank, N.A.	610,406.00
Security Deposit	JPMorgan Chase Bank, N.A.	1,785.93
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	2,803.00
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	363,633.09
Medicaid Outpatient Travel	Banco Popular	7,764.89
Patient Cash Acct (MM)	Banco Popular	204,021.69
Patient Checking Account	Citibank	6,320.17
Patient Savings Account	Banco Popular	337,119.66
Security Deposit	Banco Popular	2,490.15
Urban Oasis/EBT	Banco Popular	71,125.91
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	36,717.35
Facity Holding	Key Bank	0.00
Patient Cash Checking	Key Bank	363,982.53
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Bank of America, N.A.	3,639.16
General fund	Bank of America, N.A.	22,150.81
Patient Cash Account	Bank of America, N.A.	1,305,442.50
Token Account	Bank of America, N.A.	31,974.80
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	28,912.07
Facility Holding	Key Bank	21,775.32
Patients Cash Account	Key Bank	182,340.06
Patients Fund Savings	Key Bank	311,579.88
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	18,559.18
Facility Holding Account	Community Bank	17,939.18
Patients Cash Account	Community Bank	206,778.57
Patients Cash Savings	Community Bank	266,563.55
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	69,718.78
Facility Holding	JPMorgan Chase Bank, N.A.	16,936.37
Patient Account	JPMorgan Chase Bank, N.A.	1,191,069.69
Rent Account	JPMorgan Chase Bank, N.A.	0.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	67,091.13
Exchange	JPMorgan Chase Bank, N.A.	126,812.78
Holding Account	JPMorgan Chase Bank, N.A.	213,929.02
Hudson River	JPMorgan Chase Bank, N.A.	140,717.40
Investment CD A	Webster Bank	No report received
Investment CD B	Sterling Bank	No report received
Investment CD B	Webster Bank	No report received
Investment CD C	Webster Bank	No report received
Investment CD D	Webster Bank	No report received
Investment CD G	Webster Bank	No report received
Investment CD I	Webster Bank	No report received
Patient Cash Account	JPMorgan Chase Bank, N.A.	303,058.98

Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	814,378.96
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	859,703.26
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	11,129.03
General	JPMorgan Chase Bank, N.A.	153,085.68
Petty Cash	JPMorgan Chase Bank, N.A.	1,857.73
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	15,055.13
Clients Count	Key Bank	177,756.37
Holding Account	Key Bank	38,655.89
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	45,656.00
Facility Holding Acct	JPMorgan Chase Bank, N.A.	131,925.14
Patient Cash	JPMorgan Chase Bank, N.A.	877,502.99
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	7,628.17
Facility Holding	Key Bank	1,096.87
Patient Cash Checking	Key Bank	74,224.92
Patient Cash Savings	Key Bank	29,324.09
Security Deposit	Key Bank	8,892.67
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	20,864.16
Misc. Receipts	JPMorgan Chase Bank, N.A.	28,280.09
Patients Cash MM	JPMorgan Chase Bank, N.A.	86,939.77
Patients Checking Acct	JPMorgan Chase Bank, N.A.	634,498.74
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Advance Account	Bank of America, N.A.	5,527.55
Holding Account	Bank of America, N.A.	28,018.78
Patient Cash Account	Bank of America, N.A.	139,117.82
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	10,683.46
General Fund	Key Bank	206.25
Patient Checking	Key Bank	81,619.15
Patient Savings	Key Bank	179,497.04
Security Deposit	Key Bank	2,689.40
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	16,025.00
Facility Holding Account	Key Bank	810.50
Patients Account	Key Bank	335,650.58
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	12,262.90
Facility Holding Checking	JPMorgan Chase Bank, N.A.	31,967.67
Patients Cash Checking	JPMorgan Chase Bank, N.A.	759,421.47
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	11,055.00
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	2,375.00
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	385.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	21,333.65
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,140.00
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	22,117.89
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Queens Adv Acct	JPMorgan Chase Bank, N.A.	10,885.00
Queens Childrens Account	JPMorgan Chase Bank, N.A.	10,069.58
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	9,255.68
General Fund Account	Chemung Canal Trust	1,455.00
IMMA	Chemung Canal Trust	48,595.22
Patients Fund Account	Chemung Canal Trust	218,696.33
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	14,223.35
Facility Holding Account	Key Bank	152,028.07
Parking Garage Account	Key Bank	138,868.64
Patients Cash Checking Account	Key Bank	597,386.45
Patients Cash Savings Account	Key Bank	402,608.89
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	12,841,068.33

51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	30,300.49
Clients Account	Key Bank	219,299.96
Consumer Cash - Savings	Adirondack Bank	5,116,350.76
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	34,642.38
General Fund	M&T Bank	18,557.48
Patient Fund CD	JPMorgan Chase Bank, N.A.	1,500,000.00
Patients Cash Checking	M&T Bank	793,537.95
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	1,359,513.02
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	68,903.92
CD-Patient Property Funds	Key Bank	3,432,355.43
Exchange Account	M&T Bank	121,439.72
General Account	Community Bank	53,446.89
Patient Property Funds	Adirondack Bank	1,740,200.73
Patient Property Funds	M&T Bank	546,242.83
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	440,469.06
Consumers Money Management	JPMorgan Chase Bank, N.A.	707,982.36
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	182,856.02
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	7,843.88
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	885,324.64
Residents Fund	Community Bank	529,230.85
Sunmount Advance Account	Community Bank	35,197.94
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	71,206.86
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	1,163.48
Metro NY DDSOO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	259,362.28
Patients Cash Account	JPMorgan Chase Bank, N.A.	44,843.39
Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Patient Fund Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Fund	JPMorgan Chase Bank, N.A.	No report received
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	106,727.90
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	702,923.48
FL Vending Machine Account	Community Bank	21,501.01
General Account	JPMorgan Chase Bank, N.A.	1,308.79
Kelsey Trust Fund	Bank of America, N.A.	5,158.73
Mary Moore Trust Fund	Bank of America, N.A.	20,035.14
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received

Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	2,800.00
Patient Fees	Bank of America, N.A.	803,352.04
Revenue Account	Bank of America, N.A.	1,517.31
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	825.07
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	10,524.88
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	46,950.64
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	15,958.67
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,345.50
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,288.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,918.04
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	21,120.81

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE IS THE SOLE CUSTODIAN WITH BALANCES AS OF 06/30/2024

ACCOUNT	DESCRIPTION	DEPOSITORY	BALANCE AS OF 6/30/2024
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND			
0232	Upstate Community Colleges, Series 2005B	Key Bank	0.00
DEPARTMENT OF FINANCIAL SERVICES			
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	263.28
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	803.46
DORMITORY AUTHORITY OF THE STATE OF NEW YORK			
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	(255,817,230.38)
0104	Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	424.58
0105	Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	48.44
0149	State Advances Repayment Account	Bank of America, N.A.	(1,761.84)
HOMELESS HOUSING ASSISTANCE CORPORATION			
0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	2,225,837.29
NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION			
0315	The Egg	Key Bank	65,866.22
NEW YORK CONVENTION CENTER			
0300	Operating Fund	JPMorgan Chase Bank, N.A.	6,454,336.59
NEW YORK JOB DEVELOPMENT AUTHORITY			
0036	Special Purpose Fund	Bank of America, N.A.	(799.70)
0371	Series H Commercial Paper	Bank of America, N.A.	(280.57)
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	55,959.83
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426	Agriculture Loan Program	Key Bank	1,238,848.18
NYS AFFORDABLE HOUSING CORPORATION			
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	73,282.93
0520	Development Account	Key Bank	472,850.59
0522	Repayment Account	Key Bank	269,664.27
0523	Recapture Account	Key Bank	37,170.26
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF HEALTH			
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	12,012,073.78
NYS DEPARTMENT OF TAXATION AND FINANCE			
0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	411,845.28
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,475.00
0626	Criminal Investigation Division	Key Bank	264,445.86
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0778	PIT Exchange	Wells Fargo	0.00
0800	NYS IRS PIT offset account	Key Bank	0.00
0827	Stock Transfer Incentive Fund	Key Bank	(1,645,419.43)
NYS HOUSING FINANCE AGENCY			
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	371,967.66
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	19,319,435.33
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	156,054.92
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	143,069.79
0283	Small Owner's Assistance Program Account	Key Bank	161,878.39
0285	Public Purpose Account	Key Bank	19,186,751.43
0286	Disbursement Account	Key Bank	1,200,376.95
0287	Infrastructure Development Fund	Key Bank	98,767.52
0288	Mobile Home Cooperative Fund	Key Bank	787,429.37
0292	Homeless Housing Initiatives	Key Bank	580,854.72
0294	Housing Plan Fund	Key Bank	14,451,517.37
0301	HFA - Subsidy Repayment Account	Key Bank	17,013,032.05
0305	HPD Disbursement Fund	Key Bank	999,684.19
0879	Payroll Account	JPMorgan Chase Bank, N.A.	181,511.72
NYS HOUSING TRUST FUND CORPORATION			
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	1,143,095.60
0460	Escrow Account	M&T Bank	1,125,999.45
0461	Housing Modernization Account	M&T Bank	770,401.95
0462	General Custodial Account	M&T Bank	68,375,030.86
0465	Home Program Account	M&T Bank	10,345,035.21

0466	Homes for Working Families Account	M&T Bank	8,905,367.34
0467	Section 8 Administrative Account	M&T Bank	9,087,527.85
0469	OCR Community Miscellaneous Programs Account	M&T Bank	8,131,144.83
0471	Empire State Relief Fund	M&T Bank	472,816.46
0475	Reserve Account	Bank of America, N.A.	2,451,592.64
0891	Small Cities Community Development Block Grant Program	M&T Bank	8,365.10
0892	Disaster Recovery Initiative Account	M&T Bank	326,858.18
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	2,385,893.08
0899	HTFC Storm Recovery Lockbox	US Bank	40,968.71
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	No report received
0052	Main Account	JPMorgan Chase Bank, N.A.	No report received
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	No report received
STATE INSURANCE FUND			
0053	SIF - WCF	JPMorgan Chase Bank, N.A.	12,867,521.98
0053	State Insurance Fund	Bank of America, N.A.	1,041,857.22
0054	State Insurance Fund	Bank of America, N.A.	63,294.88
0054	SIF - DBF	JPMorgan Chase Bank, N.A.	1,328,736.88
0055	State Insurance Fund - ATF	JPMorgan Chase Bank, N.A.	2,136,789.66
0055	State Insurance Fund	Bank of America, N.A.	18,590.99
0861	SIF - DBF Tax Escrow	JPMorgan Chase Bank, N.A.	31,685.61
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	2,647,161.26
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	31,806.05
0870	Deductions Account	Key Bank	143,948.42

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

New York State Comptroller THOMAS P. DiNAPOLI

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

JUNE 2024

OFFICE OF OPERATIONS
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation

STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DiNAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

June 30, 2024

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2023	3 MOS. ENDED JUNE 30, 2023	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax	$ 2,552.1	$ 8,128.4	$ -	$ -	$ 2,552.1	$ 8,128.4	$ -	$ -	$ 5,104.2	$ 16,256.8	$ 4,994.6	$ 14,537.6	$ 1,719.2	11.8%
Consumption/Use Taxes	968.1	2,487.9	208.5	594.9	923.0	2,346.8	65.7	155.4	2,165.3	5,585.0	2,148.4	5,444.5	140.5	2.6%
Business Taxes	3,265.0	4,699.6	429.2	843.2	1,545.3	1,640.4	56.2	156.0	5,295.7	7,339.2	4,785.9	6,712.6	626.6	9.3%
Other Taxes	112.5	418.9	-	-	69.4	248.4	25.7	25.7	207.6	693.0	187.9	935.1	(242.1)	-25.9%
Miscellaneous Receipts	379.8	1,217.0	1,790.4	5,436.2	55.1	144.1	98.3	1,690.7	2,323.6	8,488.0	3,140.1	7,563.4	924.6	12.2%
Federal Receipts	-	-	7,737.9	23,181.4	-	7.1	190.7	607.8	7,928.6	23,796.3	11,590.6	28,204.0	(4,407.7)	-15.6%
Total Receipts	**7,277.5**	**16,951.8**	**10,166.0**	**30,055.7**	**5,144.9**	**12,515.2**	**436.6**	**2,635.6**	**23,025.0**	**62,158.3**	**26,847.5**	**63,397.2**	**(1,238.9)**	**-2.0%**
DISBURSEMENTS:														
Local Assistance Grants:														
Education	2,653.8	9,847.4	1,156.9	2,310.3	-	-	23.9	38.0	3,834.6	12,195.7	3,969.7	11,144.4	1,051.3	9.4%
Environment and Recreation	0.1	0.5	0.1	0.4	-	-	32.9	47.2	33.1	48.1	12.1	108.0	(59.9)	-55.5%
General Government	402.1	479.9	10.0	62.2	-	-	48.8	95.1	460.9	637.2	512.4	723.3	(86.1)	-11.9%
Public Health:														
Medicaid	1,238.5	7,381.9	5,009.8	14,105.9	-	-	-	-	6,248.3	21,487.8	7,153.8	25,993.5	(4,505.7)	-17.3%
Other Public Health	389.5	628.1	1,902.8	4,191.2	-	-	23.1	100.5	2,315.4	4,919.8	1,462.3	3,525.0	1,394.8	39.6%
Public Safety	62.7	106.1	170.3	399.1	-	-	2.2	5.6	235.2	510.8	859.1	2,150.2	(1,639.4)	-76.2%
Public Welfare	423.5	940.2	944.5	2,124.4	-	-	5.7	121.6	1,373.7	3,186.2	807.9	2,327.0	859.2	36.9%
Support and Regulate Business	14.0	80.0	2.9	5.1	-	-	54.9	140.3	71.8	225.4	89.2	315.7	(90.3)	-28.6%
Transportation	19.0	65.9	365.9	1,067.7	-	-	112.4	179.1	497.3	1,312.7	491.1	1,269.5	43.2	3.4%
Total Local Assistance Grants	**5,203.2**	**19,530.0**	**9,563.2**	**24,266.3**	**-**	**-**	**303.9**	**727.4**	**15,070.3**	**44,523.7**	**15,357.6**	**47,556.6**	**(3,032.9)**	**-6.4%**
Departmental Operations:														
Personal Service	808.2	2,643.7	491.5	1,558.5	-	-	-	-	1,299.7	4,202.2	1,367.5	3,967.0	235.2	5.9%
Non-Personal Service	247.5	718.0	399.1	1,203.3	0.1	1.3	-	-	646.7	1,922.6	662.5	1,707.5	215.1	12.6%
General State Charges	548.0	1,908.8	104.8	324.3	-	-	-	-	652.8	2,233.1	611.1	3,300.5	(1,067.4)	-32.3%
Debt Service, Including Payments on Other Financing Arrangements	-	-	-	-	4.8	53.9	-	-	4.8	53.9	4.9	68.6	(14.7)	-21.4%
Capital Projects (1)	-	-	-	-	-	-	751.2	2,019.2	751.2	2,019.2	816.9	1,971.3	47.9	2.4%
Total Disbursements	**6,806.9**	**24,800.5**	**10,558.6**	**27,352.4**	**4.9**	**55.2**	**1,055.1**	**2,746.6**	**18,425.5**	**54,954.7**	**18,820.5**	**58,571.5**	**(3,616.8)**	**-6.2%**
Excess (Deficiency) of Receipts over Disbursements	**470.6**	**(7,848.7)**	**(392.6)**	**2,703.3**	**5,140.0**	**12,460.0**	**(618.5)**	**(111.0)**	**4,599.5**	**7,203.6**	**8,027.0**	**4,825.7**	**2,377.9**	**49.3%**
OTHER FINANCING SOURCES (USES):														
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds (2)	5,213.5	12,812.2	840.0	1,503.1	99.4	553.1	803.5	195.0	6,956.4	15,063.4	5,720.8	13,514.3	1,549.1	11.5%
Transfers to Other Funds (2)	(1,647.0)	(1,709.5)	(171.5)	(591.1)	(5,150.3)	(12,767.8)	(21.1)	(32.8)	(6,989.9)	(15,101.2)	(5,723.0)	(13,522.0)	1,579.2	11.7%
Total Other Financing Sources (Uses)	**3,566.5**	**11,102.7**	**668.5**	**912.0**	**(5,050.9)**	**(12,214.7)**	**782.4**	**162.2**	**(33.5)**	**(37.8)**	**(2.2)**	**(7.7)**	**(30.1)**	**-390.9%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,037.1**	**3,254.0**	**275.9**	**3,615.3**	**89.1**	**245.3**	**163.9**	**51.2**	**4,566.0**	**7,165.8**	**8,024.8**	**4,818.0**	**2,347.8**	**48.7%**
Beginning Fund Balances (Deficits)	**45,547.8**	**46,330.9**	**24,134.2**	**20,794.8**	**260.8**	**104.6**	**(1,430.8)**	**(1,318.1)**	**68,512.0**	**65,912.2**	**62,748.9**	**65,955.7**	**(43.5)**	**-0.1%**
Ending Fund Balances (Deficits)	**$ 49,584.9**	**$ 49,584.9**	**$ 24,410.1**	**$ 24,410.1**	**$ 349.9**	**$ 349.9**	**$ (1,266.9)**	**$ (1,266.9)**	**$ 73,078.0**	**$ 73,078.0**	**$ 70,773.7**	**$ 70,773.7**	**$ 2,304.3**	**3.3%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS - STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT A
SUPPLEMENTAL

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2023	3 MOS. ENDED JUNE 30, 2023	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Personal Income Tax	$ 2,552.1	$ 8,128.4	$ -	$ -	$ 2,552.1	$ 8,128.4	$ 5,104.2	$ 16,256.8	$ 4,994.6	$ 14,537.6	$ 1,719.2	11.8%
Consumption/Use Taxes	968.1	2,487.9	208.5	594.9	923.0	2,346.8	2,099.6	5,429.6	2,086.5	5,286.5	143.1	2.7%
Business Taxes	3,265.0	4,699.6	429.2	843.2	1,545.3	1,640.4	5,239.5	7,183.2	4,730.6	6,551.6	631.6	9.6%
Other Taxes	112.5	418.9	-	-	69.4	248.4	181.9	667.3	162.2	909.4	(242.1)	-26.6%
Miscellaneous Receipts	379.8	1,217.0	1,718.4	5,165.3	55.1	144.1	2,153.3	6,526.4	2,599.1	5,872.9	653.5	11.1%
Federal Receipts	-	-	-	0.1	-	7.1	-	7.2	1.0	4.2	3.0	71.4%
Total Receipts	7,277.5	16,951.8	2,356.1	6,603.5	5,144.9	12,515.2	14,778.5	36,070.5	14,574.0	33,162.2	2,908.3	8.8%
DISBURSEMENTS:												
Local Assistance Grants:												
Education	2,653.8	9,847.4	283.1	283.4	-	-	2,936.9	10,130.8	3,101.2	9,095.4	1,035.4	11.4%
Environment and Recreation	0.1	0.5	-	0.2	-	-	0.1	0.7	0.1	0.5	0.2	40.0%
General Government	402.1	479.9	7.1	52.9	-	-	409.2	532.8	459.8	583.9	(51.1)	-8.8%
Public Health:												
Medicaid	1,238.5	7,381.9	550.5	1,443.9	-	-	1,789.0	8,825.8	2,945.1	10,142.4	(1,316.6)	-13.0%
Other Public Health	389.5	628.1	332.1	551.8	-	-	721.6	1,179.9	501.0	859.7	320.2	37.2%
Public Safety	62.7	106.1	20.5	71.6	-	-	83.2	177.7	31.0	80.3	97.4	121.3%
Public Welfare	423.5	940.2	0.2	0.2	-	-	423.7	940.4	344.3	736.2	204.2	27.7%
Support and Regulate Business	14.0	80.0	1.0	3.2	-	-	15.0	83.2	9.1	37.3	45.9	123.1%
Transportation	19.0	65.9	354.5	1,045.3	-	-	373.5	1,111.2	362.0	1,066.0	45.2	4.2%
Total Local Assistance Grants	5,203.2	19,530.0	1,549.0	3,452.5	-	-	6,752.2	22,982.5	7,753.6	22,601.7	380.8	1.7%
Departmental Operations:												
Personal Service	808.2	2,643.7	434.1	1,378.0	-	-	1,242.3	4,021.7	1,312.1	3,795.1	226.6	6.0%
Non-Personal Service	247.5	718.0	275.1	846.2	0.1	1.3	522.7	1,565.5	(134.1)	745.2	820.3	110.1%
General State Charges	548.0	1,908.8	78.8	223.3	-	-	626.8	2,132.1	563.7	3,195.3	(1,063.2)	-33.3%
Debt Service, Including Payments on Other Financing Arrangements	-	-	-	-	4.8	53.9	4.8	53.9	4.9	68.6	(14.7)	-21.4%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	6,806.9	24,800.5	2,337.0	5,900.0	4.9	55.2	9,148.8	30,755.7	9,500.2	30,405.9	349.8	1.2%
Excess (Deficiency) of Receipts over Disbursements	470.6	(7,848.7)	19.1	703.5	5,140.0	12,460.0	5,629.7	5,314.8	5,073.8	2,756.3	2,558.5	92.8%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds (2)	5,213.5	12,812.2	1,142.8	1,838.5	99.4	553.1	6,455.7	15,203.8	5,433.6	13,470.9	1,732.9	12.9%
Transfers to Other Funds (2)	(1,647.0)	(1,709.5)	(52.8)	(56.4)	(5,150.3)	(12,767.8)	(6,850.1)	(14,533.7)	(5,573.6)	(13,188.4)	1,345.3	10.2%
Total Other Financing Sources (Uses)	3,566.5	11,102.7	1,090.0	1,782.1	(5,050.9)	(12,214.7)	(394.4)	670.1	(140.0)	282.5	387.6	137.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,037.1	3,254.0	1,109.1	2,485.6	89.1	245.3	5,235.3	5,984.9	4,933.8	3,038.8	2,946.1	96.9%
Beginning Fund Balances (Deficits)	45,547.8	46,330.9	11,018.3	9,641.8	260.8	104.6	56,826.9	56,077.3	50,828.8	52,723.8	3,353.5	6.4%
Ending Fund Balances (Deficits)	$ 49,584.9	$ 49,584.9	$ 12,127.4	$ 12,127.4	$ 349.9	$ 349.9	$ 62,062.2	$ 62,062.2	$ 55,762.6	$ 55,762.6	$ 6,299.6	11.3%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	$247.7	million
Urban Development Corporation (Youth Facilities)	27.6	
Housing Finance Agency (HFA)	688.4	
Housing Assistance Fund	12.9	
Dormitory Authority (Mental Hygiene)	639.0	
Dormitory Authority and State University Income Fund	1,599.5	
Federal Capital Projects	473.3	
State bond and note proceeds	268.8	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	($172.4)	million
General Debt Service Fund	27.0	
Banking Services Account	7.5	
Business Service Center	29.2	
Centralized Technology Services Account	5.0	
Correctional Facilities Capital Improvement	2.2	
Court Facilities Incentive Aid Fund	67.3	
Dedicated Highway Bridge Trust Fund	16.5	
Dedicated Infrastructure Investment Fund	140.0	
Dedicated Mass Transportation (Non MTA)	1.3	
Dedicated Mass Transportation - Railroad Account	2.2	
Dedicated Mass Transportation - Transit Authority Account	12.2	
Environmental Protection Fund	67.7	
Hazardous Waste Cleanup Account	37.1	
Mass Transportation Financial Assistance	97.7	
Mass Transportation Operating Assistance Fund	29.5	
Mental Hygiene Facilities Capital Improvement Fund	52.1	
New York Central Business District Trust Fund	39.0	
New York City County Clerks' Operations Offset	3.1	
Recruitment Incentive Account	2.6	
State Parks Infrastructure	23.6	
State University Income Fund	895.5	

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds ($3.0m), and the State University Income Fund ($320.5m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of June 30, 2024 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund ($510.3m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, SUNY Capital Projects Fund ($7.3m) and All Other Capital Projects ($21.0m).

Business and Licensing Services Account	$1.0	million
Federal Employment and Training Grants	1.0	
Federal Health and Human Services Fund	8.5	
HESC Insurance Premium Account	5.1	
Miscellaneous State Special Revenue Fund	3.9	
Professional Medical Conduct Account	1.1	
Public Service Account	2.5	
State Lottery Fund	1.9	
Statewide Public Safety Communications Account	2.0	
System and Technology Account	2.3	
Training and Education Program on OSHA	1.1	
Unemployment Insurance Administration	13.8	
Workers' Compensation Board Account	6.1	

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	$9,777.6	million
Sales Tax Revenue Bond Tax Fund	2,165.9	
Clean Water/Clean Air Fund	239.8	
Mental Health Services Fund	553.2	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health ($31.3m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund ($19.4m) and the General Debt Service Fund - Lease Purchase ($13.5m).

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2023	3 MOS. ENDED JUNE 30, 2023	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 271.3	$ 761.2	$ 54.5	$ 121.2	$ 325.8	$ 882.4	$ 380.7	$ 967.1	$ (84.7)	-8.8%
Federal Receipts	1.2	4.5	-	-	1.2	4.5	2.6	8.3	(3.8)	-45.8%
Unemployment Taxes	200.5	685.0	-	-	200.5	685.0	140.5	382.2	302.8	79.2%
Total Receipts	473.0	1,450.7	54.5	121.2	527.5	1,571.9	523.8	1,357.6	214.3	15.8%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	127.1	460.5	11.3	34.4	138.4	494.9	194.3	491.6	3.3	0.7%
Non-Personal Service	51.6	122.2	56.7	149.8	108.3	272.0	62.5	239.9	32.1	13.4%
General State Charges	58.2	185.1	5.6	15.5	63.8	200.6	62.3	190.5	10.1	5.3%
Unemployment Benefits	201.7	689.4	-	-	201.7	689.4	205.0	491.4	198.0	40.3%
Total Disbursements	438.6	1,457.2	73.6	199.7	512.2	1,656.9	524.1	1,413.4	243.5	17.2%
Excess (Deficiency) of Receipts Over Disbursements	34.4	(6.5)	(19.1)	(78.5)	15.3	(85.0)	(0.3)	(55.8)	(29.2)	-52.3%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	37.3	41.6	37.3	41.6	2.3	7.8	33.8	433.3%
Transfers to Other Funds	-	-	(3.8)	(3.8)	(3.8)	(3.8)	(0.1)	(0.1)	3.7	3,700.0%
Total Other Financing Sources (Uses)	-	-	33.5	37.8	33.5	37.8	2.2	7.7	30.1	390.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	34.4	(6.5)	14.4	(40.7)	48.8	(47.2)	1.9	(48.1)	0.9	1.9%
Beginning Fund Balances (Deficits)	607.1	648.0	(30.5)	24.6	576.6	672.6	418.8	468.8	203.8	43.5%
Ending Fund Balances (Deficits)	$ 641.5	$ 641.5	$ (16.1)	$ (16.1)	$ 625.4	$ 625.4	$ 420.7	$ 420.7	$ 204.7	48.7%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	TRUST(*)		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2023	3 MOS. ENDED JUNE 30, 2023	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 26.9	$ 62.4	$ 0.9	$ 2.7	$ 27.8	$ 65.1	$ 29.6	$ 52.1	$ 13.0	25.0%
Total Receipts	**26.9**	**62.4**	**0.9**	**2.7**	**27.8**	**65.1**	**29.6**	**52.1**	**13.0**	**25.0%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	6.3	22.2	0.1	0.2	6.4	22.4	6.0	22.0	0.4	1.8%
Non-Personal Service	2.0	4.3	-	-	2.0	4.3	3.0	8.7	(4.4)	-50.6%
General State Charges	4.1	16.5	-	0.1	4.1	16.6	3.8	16.5	0.1	0.6%
Total Disbursements	**12.4**	**43.0**	**0.1**	**0.3**	**12.5**	**43.3**	**12.8**	**47.2**	**(3.9)**	**-8.3%**
Excess (Deficiency) of Receipts Over Disbursements	**14.5**	**19.4**	**0.8**	**2.4**	**15.3**	**21.8**	**16.8**	**4.9**	**16.9**	**344.9%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**0.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**14.5**	**19.4**	**0.8**	**2.4**	**15.3**	**21.8**	**16.8**	**4.9**	**16.9**	**344.9%**
Beginning Fund Balances (Deficits)	**1,567.4**	**1,562.5**	**61.6**	**60.0**	**1,629.0**	**1,622.5**	**1,296.9**	**1,308.8**	**313.7**	**24.0%**
Ending Fund Balances (Deficits)	**$ 1,581.9**	**$ 1,581.9**	**$ 62.4**	**$ 62.4**	**$ 1,644.3**	**$ 1,644.3**	**$ 1,313.7**	**$ 1,313.7**	**$ 330.6**	**25.2%**

(*) Includes Common Retirement Administration and Retiree Health Benefit Trust.

STATE OF NEW YORK **EXHIBIT D**
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2024-2025
FOR THREE MONTHS ENDED JUNE 30, 2024
(amounts in millions)

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 16,062.0	$ -	$ 16,256.8	$ 194.8	$ -
Consumption/Use	5,577.0	-	5,585.0	8.0	-
Business	6,921.0	-	7,339.2	418.2	-
Other	720.0	-	693.0	(27.0)	-
Miscellaneous Receipts	8,500.0	-	8,488.0	(12.0)	-
Federal Receipts	23,483.0	-	23,796.3	313.3	-
Total Receipts	**61,263.0**	**-**	**62,158.3**	**895.3**	**-**
DISBURSEMENTS:					
Local Assistance Grants	44,661.0	-	44,523.7	(137.3)	-
Departmental Operations	6,354.0	-	6,124.8	(229.2)	-
General State Charges	2,224.0	-	2,233.1	9.1	-
Debt Service	53.0	-	53.9	0.9	-
Capital Projects	2,605.0	-	2,019.2	(585.8)	-
Total Disbursements	**55,897.0**	**-**	**54,954.7**	**(942.3)**	**-**
Excess (Deficiency) of Receipts over Disbursements	**5,366.0**	**-**	**7,203.6**	**1,837.6**	**-**
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	15,530.0	-	15,063.4	(466.6)	-
Transfers to Other Funds	(15,574.0)	-	(15,101.2)	472.8	-
Total Other Financing Sources (Uses)	**(44.0)**	**-**	**(37.8)**	**6.2**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**5,322.0**	**-**	**7,165.8**	**1,843.8**	**-**
Fund Balances (Deficits) at April 1	**65,912.0**	**-**	**65,912.2**	**0.2**	**-**
Fund Balances (Deficits) at June 30, 2024	**$ 71,234.0**	**$ -**	**$ 73,078.0**	**$ 1,844.0**	**$ -**

(*) Source: 2024-25 Enacted Budget dated May 24, 2024.

STATE OF NEW YORK **EXHIBIT D**
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2024-2025
FOR THREE MONTHS ENDED JUNE 30, 2024
(amounts in millions)

	STATE OPERATING FUNDS (**)				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 16,062.0	$ -	$ 16,256.8	$ 194.8	$ -
Consumption/Use	5,420.0	-	5,429.6	9.6	-
Business	6,771.0	-	7,183.2	412.2	-
Other	694.0	-	667.3	(26.7)	-
Miscellaneous Receipts	6,135.0	-	6,526.4	391.4	-
Federal Receipts	8.0	-	7.2	(0.8)	-
Total Receipts	**35,090.0**	**-**	**36,070.5**	**980.5**	**-**
DISBURSEMENTS:					
Local Assistance Grants	23,942.0	-	22,982.5	(959.5)	-
Departmental Operations	5,746.0	-	5,587.2	(158.8)	-
General State Charges	2,135.0	-	2,132.1	(2.9)	-
Debt Service	53.0	-	53.9	0.9	-
Capital Projects	-	-	-	-	-
Total Disbursements	**31,876.0**	**-**	**30,755.7**	**(1,120.3)**	**-**
Excess (Deficiency) of Receipts over Disbursements	**3,214.0**	**-**	**5,314.8**	**2,100.8**	**-**
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	15,041.0	-	15,203.8 (***)	162.8	-
Transfers to Other Funds	(14,767.0)	-	(14,533.7) (***)	233.3	-
Total Other Financing Sources (Uses)	**274.0**	**-**	**670.1**	**396.1**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**3,488.0**	**-**	**5,984.9**	**2,496.9**	**-**
Fund Balances (Deficits) at April 1	**56,077.0**	**-**	**56,077.3**	**0.3**	**-**
Fund Balances (Deficits) at June 30, 2024	**$ 59,565.0**	**$ -**	**$ 62,062.2**	**$ 2,497.2**	**$ -**

(*) Source: 2024-25 Enacted Budget dated May 24, 2024.
(**) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(***) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2024-2025
FOR THREE MONTHS ENDED JUNE 30, 2024
(amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 8,031.0	$ -	$ 8,128.4	$ 97.4	$ -
Consumption/Use	2,477.0	-	2,487.9	10.9	-
Business	4,486.0	-	4,699.6	213.6	-
Other	444.0	-	418.9	(25.1)	-
Miscellaneous Receipts	1,089.0	-	1,217.0	128.0	-
Federal Receipts	-	-	-	-	-
Transfers From:					
Revenue Bond Tax Fund	9,493.0	-	9,777.6	284.6	-
Sales Tax in excess of STRBF Debt Service	2,149.0	-	2,165.9	16.9	-
Real Estate Taxes in excess of CW/CA Debt Service	240.0	-	239.8	(0.2)	-
All Other	727.0	-	628.9	(98.1)	-
Total Receipts and Other Financing Sources	**29,136.0**	**-**	**29,764.0**	**628.0**	**-**
DISBURSEMENTS:					
Local Assistance Grants	20,551.0	-	19,530.0	(1,021.0)	-
Departmental Operations	3,370.0	-	3,361.7	(8.3)	-
General State Charges	1,869.0	-	1,908.8	39.8	-
Transfers To:					
Debt Service	24.0	-	27.0	3.0	-
Capital Projects	477.0	-	166.8	(310.2)	-
State Share Medicaid	-	-	323.5 (**)	323.5	-
SUNY Operations	908.0	-	895.5	(12.5)	-
Other Purposes	742.0	-	296.7	(445.3)	-
Total Disbursements and Other Financing Uses	**27,941.0**	**-**	**26,510.0**	**(1,431.0)**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,195.0**	**-**	**3,254.0**	**2,059.0**	**-**
Fund Balances (Deficits) at April 1	**46,331.0**	**-**	**46,330.9**	**(0.1)**	**-**
Fund Balances (Deficits) at June 30, 2024	**$ 47,526.0**	**$ -**	**$ 49,584.9**	**$ 2,058.9**	**$ -**

(*) Source: 2024-25 Enacted Budget dated May 24, 2024.
(**) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK **EXHIBIT D**
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2024-2025
FOR THREE MONTHS ENDED JUNE 30, 2024
(amounts in millions)

	SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Consumption/Use	609.0	-	594.9	-	594.9	(14.1)	-
Business	822.0	-	843.2	-	843.2	21.2	-
Miscellaneous Receipts	5,186.0	-	5,436.2	-	5,436.2	250.2	-
Federal Receipts	22,711.0	-	23,181.4	-	23,181.4	470.4	-
Transfers from Other Funds (**)	1,861.0	-	1,838.5	(335.4)	1,503.1	(357.9)	-
Total Receipts and Other Financing Sources	**31,189.0**	**-**	**31,894.2**	**(335.4)**	**31,558.8**	**369.8**	**-**
DISBURSEMENTS:							
Local Assistance Grants	22,764.0	-	24,266.3	-	24,266.3	1,502.3	-
Departmental Operations	2,983.0	-	2,761.8	-	2,761.8	(221.2)	-
General State Charges	355.0	-	324.3	-	324.3	(30.7)	-
Debt Service	-	-	-	-	-	-	-
Capital Projects	-	-	-	-	-	-	-
Transfers to Other Funds (**)	875.0	-	926.5	(335.4)	591.1	(283.9)	-
Total Disbursements and Other Financing Uses	**26,977.0**	**-**	**28,278.9**	**(335.4)**	**27,943.5**	**966.5**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,212.0**	**-**	**3,615.3**	**-**	**3,615.3**	**(596.7)**	**-**
Fund Balances (Deficits) at April 1	**20,794.0**	**-**	**20,794.8**	**-**	**20,794.8**	**0.8**	**-**
Fund Balances (Deficits) at June 30, 2024	**$ 25,006.0**	**$ -**	**$ 24,410.1**	**$ -**	**$ 24,410.1**	**$ (595.9)**	**$ -**

(*) Source: 2024-25 Enacted Budget dated May 24, 2024.
(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2024-2025
FOR THREE MONTHS ENDED JUNE 30, 2024
(amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Consumption/Use	609.0	-	594.9	(14.1)	-	-	-	-	-	-
Business	822.0	-	843.2	21.2	-	-	-	-	-	-
Miscellaneous Receipts	4,950.0	-	5,165.3	215.3	-	236.0	-	270.9	34.9	-
Federal Receipts	1.0	-	0.1	(0.9)	-	22,710.0	-	23,181.3	471.3	-
Transfers from Other Funds	1,861.0	-	1,838.5	(22.5)	-	-	-	-	-	-
Total Receipts and Other Financing Sources	**8,243.0**	**-**	**8,442.0**	**199.0**	**-**	**22,946.0**	**-**	**23,452.2**	**506.2**	**-**
DISBURSEMENTS:										
Local Assistance Grants	3,391.0	-	3,452.5	61.5	-	19,373.0	-	20,813.8	1,440.8	-
Departmental Operations	2,375.0	-	2,224.2	(150.8)	-	608.0	-	537.6	(70.4)	-
General State Charges	266.0	-	223.3	(42.7)	-	89.0	-	101.0	12.0	-
Debt Service	-	-	-	-	-	-	-	-	-	-
Capital Projects	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds	88.0	-	56.4	(31.6)	-	787.0	-	870.1	83.1	-
Total Disbursements and Other Financing Uses	**6,120.0**	**-**	**5,956.4**	**(163.6)**	**-**	**20,857.0**	**-**	**22,322.5**	**1,465.5**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**2,123.0**	**-**	**2,485.6**	**362.6**	**-**	**2,089.0**	**-**	**1,129.7**	**(959.3)**	**-**
Fund Balances (Deficits) at April 1	**9,642.0**	**-**	**9,641.8**	**(0.2)**	**-**	**11,152.0**	**-**	**11,153.0**	**1.0**	**-**
Fund Balances (Deficits) at June 30, 2024	**$ 11,765.0**	**$ -**	**$ 12,127.4**	**$ 362.4**	**$ -**	**$ 13,241.0**	**$ -**	**$ 12,282.7**	**$ (958.3)**	**$ -**

(*) Source: 2024-25 Enacted Budget dated May 24, 2024.

STATE OF NEW YORK **EXHIBIT D**
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2024-2025
FOR THREE MONTHS ENDED JUNE 30, 2024
(amounts in millions)

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 8,031.0	$ -	$ 8,128.4	$ 97.4	$ -
Consumption/Use	2,334.0	-	2,346.8	12.8	-
Business	1,463.0	-	1,640.4	177.4	-
Other	250.0	-	248.4	(1.6)	-
Miscellaneous Receipts	96.0	-	144.1	48.1	-
Federal Receipts	7.0	-	7.1	0.1	-
Transfers from Other Funds	571.0	-	553.1	(17.9)	-
Total Receipts and Other Financing Sources	**12,752.0**	**-**	**13,068.3**	**316.3**	**-**
DISBURSEMENTS:					
Departmental Operations	1.0	-	1.3	0.3	-
Debt Service	53.0	-	53.9	0.9	-
Transfers to Other Funds	12,528.0	-	12,767.8	239.8	-
Total Disbursements and Other Financing Uses	**12,582.0**	**-**	**12,823.0**	**241.0**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**170.0**	**-**	**245.3**	**75.3**	**-**
Fund Balances (Deficits) at April 1	**104.0**	**-**	**104.6**	**0.6**	**-**
Fund Balances (Deficits) at June 30, 2024	**$ 274.0**	**$ -**	**$ 349.9**	**$ 75.9**	**$ -**

(*) Source: 2024-25 Enacted Budget dated May 24, 2024.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2024-2025
FOR THREE MONTHS ENDED JUNE 30, 2024
(amounts in millions)

EXHIBIT D

	CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	$ 157.0	$ -	$ 155.4	$ -	$ 155.4	$ (1.6)	$ -
Business	150.0	-	156.0	-	156.0	6.0	-
Other	26.0	-	25.7	-	25.7	(0.3)	-
Miscellaneous Receipts	2,129.0	-	1,690.7	-	1,690.7	(438.3)	-
Federal Receipts	765.0	-	607.8	-	607.8	(157.2)	-
Bond and Note Proceeds, net	-	-	-	-	-	-	-
Transfers from Other Funds	489.0	-	195.0	-	195.0	(294.0)	-
Total Receipts and Other Financing Sources	**3,716.0**	**-**	**2,830.6**	**-**	**2,830.6**	**(885.4)**	**-**
DISBURSEMENTS:							
Local Assistance Grants	1,346.0	-	727.4	-	727.4	(618.6)	-
Capital Projects	2,605.0	-	2,019.2	-	2,019.2	(585.8)	-
Transfers to Other Funds	20.0	-	32.8	-	32.8	12.8	-
Total Disbursements and Other Financing Uses	**3,971.0**	**-**	**2,779.4**	**-**	**2,779.4**	**(1,191.6)**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(255.0)**	**-**	**51.2**	**-**	**51.2**	**306.2**	**-**
Fund Balances (Deficits) at April 1	**(1,317.0)**	**-**	**(1,318.1)**	**-**	**(1,318.1)**	**(1.1)**	**-**
Fund Balances (Deficits) at June 30, 2024	**$ (1,572.0)**	**$ -**	**$ (1,266.9)**	**$ -**	**$ (1,266.9)**	**$ 305.1**	**$ -**

(*) Source: 2024-25 Enacted Budget dated May 24, 2024.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2024-2025
FOR THREE MONTHS ENDED JUNE 30, 2024
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	$ 157.0	$ -	$ 155.4	$ (1.6)	$ -	$ -	$ -	$ -	$ -	$ -
Business	150.0	-	156.0	6.0	-	-	-	-	-	-
Other	26.0	-	25.7	(0.3)	-	-	-	-	-	-
Miscellaneous Receipts	2,085.0	-	1,690.6	(394.4)	-	44.0	-	0.1	(43.9)	-
Federal Receipts	-	-	-	-	-	765.0	-	607.8	(157.2)	-
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	489.0	-	195.0	(294.0)	-	-	-	-	-	-
Total Receipts and Other Financing Sources	**2,907.0**	**-**	**2,222.7**	**(684.3)**	**-**	**809.0**	**-**	**607.9**	**(201.1)**	**-**
DISBURSEMENTS:										
Local Assistance Grants	1,136.0	-	645.3	(490.7)	-	210.0	-	82.1	(127.9)	-
Capital Projects	2,090.0	-	1,593.3	(496.7)	-	515.0	-	425.9	(89.1)	-
Transfers to Other Funds	20.0	-	32.4	12.4	-	-	-	0.4	0.4	-
Total Disbursements and Other Financing Uses	**3,246.0**	**-**	**2,271.0**	**(975.0)**	**-**	**725.0**	**-**	**508.4**	**(216.6)**	**-**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(339.0)**	**-**	**(48.3)**	**290.7**	**-**	**84.0**	**-**	**99.5**	**15.5**	**-**
Fund Balances (Deficits) at April 1	**(745.0)**	**-**	**(745.3)**	**(0.3)**	**-**	**(572.0)**	**-**	**(572.8)**	**(0.8)**	**-**
Fund Balances (Deficits) at June 30, 2024	**$ (1,084.0)**	**$ -**	**$ (793.6)**	**$ 290.4**	**$ -**	**$ (488.0)**	**$ -**	**$ (473.3)**	**$ 14.7**	**$ -**

(*) Source: 2024-25 Enacted Budget dated May 24, 2024.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2024	3 MOS. ENDED JUNE 30, 2024	MONTH OF JUNE 2023	3 MOS. ENDED JUNE 30, 2023	$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholdings	$ 3,816.3	$ 12,665.3	$ -	$ -	$ -	$ -	$ -	$ -	$ 3,816.3	$ 12,665.3	$ 4,133.5	$ 11,857.0	$ 808.3	6.8%
Estimated Payments	1,571.7	7,018.0	-	-	-	-	-	-	1,571.7	7,018.0	1,299.6	6,285.9	732.1	11.6%
Returns	68.8	2,324.3	-	-	-	-	-	-	68.8	2,324.3	85.5	2,313.6	10.7	0.5%
State/City Offsets	(37.8)	(562.9)	-	-	-	-	-	-	(37.8)	(562.9)	(49.4)	(628.5)	(65.6)	-10.4%
Other (Assessments/LLC)	117.2	485.0	-	-	-	-	-	-	117.2	485.0	128.3	545.0	(60.0)	-11.0%
Gross Receipts	**5,536.2**	**21,929.7**	**-**	**-**	**-**	**-**	**-**	**-**	**5,536.2**	**21,929.7**	**5,597.5**	**20,373.0**	**1,556.7**	**7.6%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(2,552.1)	(8,128.4)	-	-	2,552.1	8,128.4	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(432.0)	(5,672.9)	-	-	-	-	-	-	(432.0)	(5,672.9)	(602.9)	(5,835.4)	(162.5)	-2.8%
Total	**2,552.1**	**8,128.4**	**-**	**-**	**2,552.1**	**8,128.4**	**-**	**-**	**5,104.2**	**16,256.8**	**4,994.6**	**14,537.6**	**1,719.2**	**11.8%**
CONSUMPTION/USE TAXES														
Sales and Use	923.6	2,349.1	125.4	379.8	923.0	2,346.8	-	-	1,972.0	5,075.7	1,963.8	4,946.0	129.7	2.6%
Auto Rental	-	-	7.8	10.0	-	-	22.4	28.5	30.2	38.5	28.5	40.0	(1.5)	-3.8%
Cigarette/Tobacco Products	19.6	67.6	42.1	151.1	-	-	-	-	61.7	218.7	71.0	220.3	(1.6)	-0.7%
Cannabis	-	-	18.9	23.1	-	-	-	-	18.9	23.1	3.6	5.3	17.8	335.8%
Motor Fuel	-	-	9.6	25.6	-	-	35.3	94.1	44.9	119.7	38.6	118.3	1.4	1.2%
Peer-to-Peer Car Sharing	0.4	0.4	0.1	0.1	-	-	-	-	0.5	0.5	0.5	(0.8)	1.3	162.5%
Alcoholic Beverage	24.5	65.3	-	-	-	-	-	-	24.5	65.3	26.0	68.1	(2.8)	-4.1%
Highway Use	-	-	-	0.1	-	-	8.0	32.8	8.0	32.9	10.1	34.3	(1.4)	-4.1%
Vapor Excise	-	-	4.6	5.1	-	-	-	-	4.6	5.1	6.1	6.6	(1.5)	-22.7%
Opioid Excise	-	5.5	-	-	-	-	-	-	-	5.5	0.2	6.4	(0.9)	-14.1%
Total	**968.1**	**2,487.9**	**208.5**	**594.9**	**923.0**	**2,346.8**	**65.7**	**155.4**	**2,165.3**	**5,585.0**	**2,148.4**	**5,444.5**	**140.5**	**2.6%**
BUSINESS TAXES														
Corporation Franchise	1,177.0	2,357.8	307.3	613.5	-	-	-	-	1,484.3	2,971.3	1,589.4	2,876.6	94.7	3.3%
Corporation and Utilities	66.3	85.0	16.3	33.3	-	-	1.3	4.5	83.9	122.8	78.1	120.7	2.1	1.7%
Insurance	478.7	617.9	62.5	77.0	-	-	-	-	541.2	694.9	485.2	670.0	24.9	3.7%
Bank	(2.2)	(1.5)	0.4	0.5	-	-	-	-	(1.8)	(1.0)	(0.4)	1.8	(2.8)	-155.6%
Pass-Through Entity	1,545.2	1,640.4	-	-	1,545.3	1,640.4	-	-	3,090.5	3,280.8	2,538.2	2,768.4	512.4	18.5%
Petroleum Business	-	-	42.7	118.9	-	-	54.9	151.5	97.6	270.4	95.4	275.1	(4.7)	-1.7%
Total	**3,265.0**	**4,699.6**	**429.2**	**843.2**	**1,545.3**	**1,640.4**	**56.2**	**156.0**	**5,295.7**	**7,339.2**	**4,785.9**	**6,712.6**	**626.6**	**9.3%**
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	111.0	414.6	-	-	-	-	-	-	111.0	414.6	77.3	651.8	(237.2)	-36.4%
Pari-Mutuel	1.3	3.6	-	-	-	-	-	-	1.3	3.6	1.3	3.7	(0.1)	-2.7%
Real Estate Transfer	-	-	-	-	69.3	247.9	25.7	25.7	95.0	273.6	109.0	278.5	(4.9)	-1.8%
Racing and Combative Sports	-	0.2	-	-	-	-	-	-	-	0.2	0.1	0.1	0.1	100.0%
Employer Compensation Expense Tax	0.2	0.5	-	-	0.1	0.5	-	-	0.3	1.0	0.2	1.0	-	0.0%
Total	**112.5**	**418.9**	**-**	**-**	**69.4**	**248.4**	**25.7**	**25.7**	**207.6**	**693.0**	**187.9**	**935.1**	**(242.1)**	**-25.9%**
Total Tax Receipts	**$ 6,897.7**	**$ 15,734.8**	**$ 637.7**	**$ 1,438.1**	**$ 5,089.8**	**$ 12,364.0**	**$ 147.6**	**$ 337.1**	**$ 12,772.8**	**$ 29,874.0**	**$ 12,116.8**	**$ 27,629.8**	**$ 2,244.2**	**8.1%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 65,912.2	$ 72,246.0	$ 68,512.0										$ 65,912.2	$ 65,955.7	$ (43.5)	-0.1%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	4,294.5	4,554.5	3,816.3										12,665.3	11,857.0	808.3	6.8%
Estimated Payments	5,344.2	102.1	1,571.7										7,018.0	6,285.9	732.1	11.6%
Returns	2,160.0	95.5	68.8										2,324.3	2,313.6	10.7	0.5%
State/City Offsets	(480.0)	(45.1)	(37.8)										(562.9)	(628.5)	(65.6)	-10.4%
Other (Assessments/LLC)	231.9	135.9	117.2										485.0	545.0	(60.0)	-11.0%
Gross Receipts	**11,550.6**	**4,842.9**	**5,536.2**	-	-	-	-	-	-	-	-	-	**21,929.7**	**20,373.0**	**1,556.7**	**7.6%**
Transfers to School Tax Relief Fund	-	-	-										-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-										-	-	-	0.0%
Refunds Issued	(4,251.2)	(989.7)	(432.0)										(5,672.9)	(5,835.4)	(162.5)	-2.8%
Total Personal Income Tax	**7,299.4**	**3,853.2**	**5,104.2**	-	-	-	-	-	-	-	-	-	**16,256.8**	**14,537.6**	**1,719.2**	**11.8%**
Consumption/Use Taxes:																
Sales and Use	1,560.8	1,542.9	1,972.0										5,075.7	4,946.0	129.7	2.6%
Auto Rental	8.0	0.3	30.2										38.5	40.0	(1.5)	-3.8%
Cigarette/Tobacco Products	87.6	69.4	61.7										218.7	220.3	(1.6)	-0.7%
Cannabis	2.7	1.5	18.9										23.1	5.3	17.8	335.8%
Motor Fuel	37.5	37.3	44.9										119.7	118.3	1.4	1.2%
Peer-to-Peer Car Sharing	-	-	0.5										0.5	(0.8)	1.3	162.5%
Alcoholic Beverage	19.1	21.7	24.5										65.3	68.1	(2.8)	-4.1%
Highway Use	13.5	11.4	8.0										32.9	34.3	(1.4)	-4.1%
Vapor Excise	(0.1)	0.6	4.6										5.1	6.6	(1.5)	-22.7%
Opioid Excise	5.3	0.2	-										5.5	6.4	(0.9)	-14.1%
Total Consumption/Use Taxes	**1,734.4**	**1,685.3**	**2,165.3**	-	-	-	-	-	-	-	-	-	**5,585.0**	**5,444.5**	**140.5**	**2.6%**
Business Taxes:																
Corporation Franchise	1,306.7	180.3	1,484.3										2,971.3	2,876.6	94.7	3.3%
Corporation and Utilities	30.0	8.9	83.9										122.8	120.7	2.1	1.7%
Insurance	143.0	10.7	541.2										694.9	670.0	24.9	3.7%
Bank	0.8	-	(1.8)										(1.0)	1.8	(2.8)	-155.6%
Pass-Through Entity	45.4	144.9	3,090.5										3,280.8	2,768.4	512.4	18.5%
Petroleum Business	82.9	89.9	97.6										270.4	275.1	(4.7)	-1.7%
Total Business Taxes	**1,608.8**	**434.7**	**5,295.7**	-	-	-	-	-	-	-	-	-	**7,339.2**	**6,712.6**	**626.6**	**9.3%**
Other Taxes:																
Real Property Gains	-	-	-										-	-	-	0.0%
Estate and Gift	183.8	119.8	111.0										414.6	651.8	(237.2)	-36.4%
Pari-Mutuel	1.2	1.1	1.3										3.6	3.7	(0.1)	-2.7%
Real Estate Transfer	83.0	95.6	95.0										273.6	278.5	(4.9)	-1.8%
Racing and Combative Sports	0.2	-	-										0.2	0.1	0.1	100.0%
Employer Compensation Expense Tax	0.4	0.3	0.3										1.0	1.0	-	0.0%
Total Other Taxes	**268.6**	**216.8**	**207.6**	-	-	-	-	-	-	-	-	-	**693.0**	**935.1**	**(242.1)**	**-25.9%**
Total Taxes	**10,911.2**	**6,190.0**	**12,772.8**	-	-	-	-	-	-	-	-	-	**29,874.0**	**27,629.8**	**2,244.2**	**8.1%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.5	1.0	1.0										3.5	3.3	0.2	6.1%
Bottle Bill	0.4	-	17.2										17.6	39.2	(21.6)	-55.1%
Assessments:																
Business	99.6	90.5	79.4										269.5	136.4	133.1	97.6%
Medical Care	666.4	622.7	700.7										1,989.8	1,846.5	143.3	7.8%
Public Utilities	3.7	(0.1)	0.9										4.5	1.4	3.1	221.4%
Other	0.1	-	-										0.1	0.2	(0.1)	-50.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.0	4.3	4.6										13.9	17.8	(3.9)	-21.9%
Audit Fees	-	0.1	0.8										0.9	2.3	(1.4)	-60.9%
Business/Professional	65.3	48.1	114.7										228.1	226.8	1.3	0.6%
Civil	20.5	12.1	33.3										65.9	45.2	20.7	45.8%
Criminal	0.3	0.4	0.7										1.4	1.2	0.2	16.7%
Motor Vehicle	109.8	131.3	86.7										327.8	366.6	(38.8)	-10.6%
Recreational/Consumer	60.1	47.6	83.0										190.7	173.9	16.8	9.7%
Fines, Penalties and Forfeitures	47.7	42.8	33.8										124.3	109.0	15.3	14.0%
Gaming:																
Casino	42.2	16.3	13.0										71.5	102.0	(30.5)	-29.9%
Lottery	205.3	227.2	179.2										611.7	592.8	18.9	3.2%
Mobile Sports	92.3	104.7	69.2										266.2	197.0	69.2	35.1%
Video Lottery	81.1	94.2	76.9										252.2	247.6	4.6	1.9%
Interest Earnings	381.8	344.9	370.8										1,097.5	966.0	131.5	13.6%
Receipts from Municipalities	6.6	2.1	5.4										14.1	15.4	(1.3)	-8.4%
Receipts from Public Authorities:																
Bond Proceeds	103.0	1,321.3	0.1										1,424.4	1,071.7	352.7	32.9%
Cost Recovery Assessments	0.3	7.9	-										8.2	0.4	7.8	1,950.0%

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Issuance Fees	3.4	3.4	(0.7)										6.1	2.7	3.4	125.9%
Non Bond Related	5.0	(0.3)	4.4										9.1	18.0	(8.9)	-49.4%
Rentals	47.6	28.7	8.2										84.5	49.1	35.4	72.1%
Revenues of State Departments:																
Administrative Recoveries	9.4	9.8	48.2										67.4	60.4	7.0	11.6%
Commissions	1.7	0.3	0.5										2.5	1.5	1.0	66.7%
Commissions - Asset Conversion	-	-	-										-	-	-	0.0%
Gifts, Grants and Donations	7.4	4.4	3.5										15.3	26.1	(10.8)	-41.4%
Indirect Cost Recoveries	6.2	23.5	16.1										45.8	54.7	(8.9)	-16.3%
Patient/Client Care Reimbursement	367.6	298.4	281.4										947.4	757.6	189.8	25.1%
Rebates	11.5	9.5	13.3										34.3	38.0	(3.7)	-9.7%
Restitution and Settlements	16.3	2.5	1.7										20.5	8.0	12.5	156.3%
Student Loans	1.1	2.0	0.8										3.9	10.2	(6.3)	-61.8%
All Other	80.4	85.3	47.4										213.1	303.3	(90.2)	-29.7%
Sales	0.9	0.7	2.1										3.7	4.1	(0.4)	-9.8%
Tuition	(16.5)	41.8	25.3										50.6	67.0	(16.4)	-24.5%
Total Miscellaneous Receipts	**2,535.0**	**3,629.4**	**2,323.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**8,488.0**	**7,563.4**	**924.6**	**12.2%**
Federal Receipts	8,295.8	7,571.9	7,928.6										23,796.3	28,204.0	(4,407.7)	-15.6%
Total Receipts	**21,742.0**	**17,391.3**	**23,025.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**62,158.3**	**63,397.2**	**(1,238.9)**	**-2.0%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	2,520.4	5,840.7	3,834.6										12,195.7	11,144.4	1,051.3	9.4%
Environment and Recreation	7.7	7.3	33.1										48.1	108.0	(59.9)	-55.5%
General Government	68.1	108.2	460.9										637.2	723.3	(86.1)	-11.9%
Public Health:																
Medicaid	7,498.3	7,741.2	6,248.3										21,487.8	25,993.5	(4,505.7)	-17.3%
Other Public Health	1,213.2	1,391.2	2,315.4										4,919.8	3,525.0	1,394.8	39.6%
Public Safety	100.1	175.5	235.2										510.8	2,150.2	(1,639.4)	-76.2%
Public Welfare	717.9	1,094.6	1,373.7										3,186.2	2,327.0	859.2	36.9%
Support and Regulate Business	113.6	40.0	71.8										225.4	315.7	(90.3)	-28.6%
Transportation	104.8	710.6	497.3										1,312.7	1,269.5	43.2	3.4%
Total Local Assistance Grants	**12,344.1**	**17,109.3**	**15,070.3**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**44,523.7**	**47,556.6**	**(3,032.9)**	**-6.4%**
Departmental Operations:																
Personal Service	1,370.3	1,532.2	1,299.7										4,202.2	3,967.0	235.2	5.9%
Non-Personal Service	489.4	786.5	646.7										1,922.6	1,707.5	215.1	12.6%
General State Charges	685.4	894.9	652.8										2,233.1	3,300.5	(1,067.4)	-32.3%
Debt Service, Including Payments on Other Financing Arrangements	31.6	17.5	4.8										53.9	68.6	(14.7)	-21.4%
Capital Projects	484.5	783.5	751.2										2,019.2	1,971.3	47.9	2.4%
Total Disbursements	**15,405.3**	**21,123.9**	**18,425.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**54,954.7**	**58,571.5**	**(3,616.8)**	**-6.2%**
Excess (Deficiency) of Receipts over Disbursements	**6,336.7**	**(3,732.6)**	**4,599.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**7,203.6**	**4,825.7**	**2,377.9**	**49.3%**
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-										-	-	-	0.0%
Transfers from Other Funds	5,491.4	2,615.6	6,956.4										15,063.4	13,514.3	1,549.1	11.5%
Transfers to Other Funds	(5,494.3)	(2,617.0)	(6,989.9)										(15,101.2)	(13,522.0)	1,579.2	11.7%
Total Other Financing Sources (Uses)	**(2.9)**	**(1.4)**	**(33.5)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(37.8)**	**(7.7)**	**(30.1)**	**-390.9%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**6,333.8**	**(3,734.0)**	**4,566.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**7,165.8**	**4,818.0**	**2,347.8**	**48.7%**
Ending Fund Balance	**$ 72,246.0**	**$ 68,512.0**	**$ 73,078.0**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 73,078.0**	**$ 70,773.7**	**$ 2,304.3**	**3.3%**

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
GOVERNMENTAL FUNDS - STATE OPERATING (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 56,077.3	$ 60,412.0	$ 56,826.9										$ 56,077.3	$ 52,723.8	$ 3,353.5	6.4%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	4,294.5	4,554.5	3,816.3										12,665.3	11,857.0	808.3	6.8%
Estimated Payments	5,344.2	102.1	1,571.7										7,018.0	6,285.9	732.1	11.6%
Returns	2,160.0	95.5	68.8										2,324.3	2,313.6	10.7	0.5%
State/City Offsets	(480.0)	(45.1)	(37.8)										(562.9)	(628.5)	(65.6)	-10.4%
Other (Assessments/LLC)	231.9	135.9	117.2										485.0	545.0	(60.0)	-11.0%
Gross Receipts	**11,550.6**	**4,842.9**	**5,536.2**	-	-	-	-	-	-	-	-	-	**21,929.7**	**20,373.0**	**1,556.7**	**7.6%**
Transfers to School Tax Relief Fund	-	-	-										-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-										-	-	-	0.0%
Refunds Issued	(4,251.2)	(989.7)	(432.0)										(5,672.9)	(5,835.4)	(162.5)	-2.8%
Total Personal Income Tax	**7,299.4**	**3,853.2**	**5,104.2**	-	-	-	-	-	-	-	-	-	**16,256.8**	**14,537.6**	**1,719.2**	**11.8%**
Consumption/Use Taxes:																
Sales and Use	1,560.8	1,542.9	1,972.0										5,075.7	4,946.0	129.7	2.6%
Auto Rental	2.1	0.1	7.8										10.0	8.8	1.2	13.6%
Cigarette/Tobacco Products	87.6	69.4	61.7										218.7	220.3	(1.6)	-0.7%
Cannabis	2.7	1.5	18.9										23.1	5.3	17.8	335.8%
Motor Fuel	7.9	8.1	9.6										25.6	25.6	-	0.0%
Peer-to-Peer Car Sharing	-	-	0.5										0.5	(0.8)	1.3	162.5%
Alcoholic Beverage	19.1	21.7	24.5										65.3	68.1	(2.8)	-4.1%
Highway Use	-	0.1	-										0.1	0.2	(0.1)	-50.0%
Vapor Excise	(0.1)	0.6	4.6										5.1	6.6	(1.5)	-22.7%
Opioid Excise	5.3	0.2	-										5.5	6.4	(0.9)	-14.1%
Total Consumption/Use Taxes	**1,685.4**	**1,644.6**	**2,099.6**	-	-	-	-	-	-	-	-	-	**5,429.6**	**5,286.5**	**143.1**	**2.7%**
Business Taxes:																
Corporation Franchise	1,306.7	180.3	1,484.3										2,971.3	2,876.6	94.7	3.3%
Corporation and Utilities	26.8	8.9	82.6										118.3	114.1	4.2	3.7%
Insurance	143.0	10.7	541.2										694.9	670.0	24.9	3.7%
Bank	0.8	-	(1.8)										(1.0)	1.8	(2.8)	-155.6%
Pass-Through Entity	45.4	144.9	3,090.5										3,280.8	2,768.4	512.4	18.5%
Petroleum Business	36.7	39.5	42.7										118.9	120.7	(1.8)	-1.5%
Total Business Taxes	**1,559.4**	**384.3**	**5,239.5**	-	-	-	-	-	-	-	-	-	**7,183.2**	**6,551.6**	**631.6**	**9.6%**
Other Taxes:																
Real Property Gains	-	-	-										-	-	-	0.0%
Estate and Gift	193.8	119.8	111.0										414.6	651.8	(237.2)	-36.4%
Pari-Mutuel	1.2	1.1	1.3										3.6	3.7	(0.1)	-2.7%
Real Estate Transfer	83.0	95.6	69.3										247.9	252.8	(4.9)	-1.9%
Racing and Combative Sports	0.2	-	-										0.2	0.1	0.1	100.0%
Employer Compensation Expense Tax	0.4	0.3	0.3										1.0	1.0	-	0.0%
Total Other Taxes	**268.6**	**216.8**	**181.9**	-	-	-	-	-	-	-	-	-	**667.3**	**909.4**	**(242.1)**	**-26.6%**
Total Taxes	**10,812.8**	**6,098.9**	**12,625.2**	-	-	-	-	-	-	-	-	-	**29,536.9**	**27,285.1**	**2,251.8**	**8.3%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.5	1.0	1.0										3.5	3.3	0.2	6.1%
Bottle Bill	0.4	-	17.2										17.6	16.2	1.4	8.6%
Assessments:																
Business	87.0	41.5	73.9										202.4	68.2	134.2	196.8%
Medical Care	666.4	622.7	700.7										1,989.8	1,846.5	143.3	7.8%
Public Utilities	3.7	(0.1)	0.9										4.5	1.4	3.1	221.4%
Other	0.1	-	-										0.1	0.2	(0.1)	-50.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.0	4.3	4.6										13.9	17.8	(3.9)	-21.9%
Audit Fees	-	0.1	0.8										0.9	2.3	(1.4)	-60.9%
Business/Professional	63.9	45.6	112.9										222.4	220.4	2.0	0.9%
Civil	20.5	12.1	33.3										65.9	45.2	20.7	45.8%
Criminal	0.3	0.4	0.7										1.4	1.2	0.2	16.7%
Motor Vehicle	55.4	73.2	22.4										151.0	177.3	(26.3)	-14.8%
Recreational/Consumer	59.8	47.2	81.7										188.7	171.9	16.8	9.8%
Fines, Penalties and Forfeitures	44.6	38.8	30.6										114.0	97.4	16.6	17.0%
Gaming:																
Casino	42.2	16.3	13.0										71.5	102.0	(30.5)	-29.9%
Lottery	205.3	227.2	179.2										611.7	592.8	18.9	3.2%
Mobile Sports	92.3	104.7	69.2										266.2	197.0	69.2	35.1%
Video Lottery	81.1	94.2	76.9										252.2	247.6	4.6	1.9%
Interest Earnings	308.6	280.7	304.5										893.8	745.7	148.1	19.9%
Receipts from Municipalities	6.6	1.6	5.4										13.6	15.4	(1.8)	-11.7%

STATE OF NEW YORK
GOVERNMENTAL FUNDS - STATE OPERATING (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Receipts from Public Authorities:																
Bond Proceeds	-	-	-										-	-	-	0.0%
Cost Recovery Assessments	0.3	7.9	-										8.2	0.4	7.8	1,950.0%
Issuance Fees	3.4	3.4	(0.7)										6.1	2.7	3.4	125.9%
Non Bond Related	4.6	0.3	3.9										8.8	15.8	(7.0)	-44.3%
Rentals	46.7	26.0	6.3										79.0	45.6	33.4	73.2%
Revenues of State Departments:																
Administrative Recoveries	9.4	9.8	44.0										63.2	60.4	2.8	4.6%
Commissions	1.7	0.3	0.5										2.5	1.5	1.0	66.7%
Commissions - Asset Conversion	-	-	-										-	-	-	0.0%
Gifts, Grants and Donations	7.3	0.6	3.3										11.2	21.3	(10.1)	-47.4%
Indirect Cost Recoveries	-	14.9	6.6										21.5	21.7	(0.2)	-0.9%
Patient/Client Care Reimbursement	367.6	298.4	281.4										947.4	757.6	189.8	25.1%
Rebates	3.1	0.4	4.7										8.2	13.3	(5.1)	-38.3%
Restitution and Settlements	15.9	2.4	1.3										19.6	7.0	12.6	180.0%
Student Loans	1.1	2.0	0.8										3.9	10.2	(6.3)	-61.8%
All Other	79.3	83.5	45.1										207.9	274.8	(66.9)	-24.3%
Sales	0.6	0.7	1.9										3.2	3.8	(0.6)	-15.8%
Tuition	(16.5)	41.8	25.3										50.6	67.0	(16.4)	-24.5%
Total Miscellaneous Receipts	**2,269.2**	**2,103.9**	**2,153.3**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**6,526.4**	**5,872.9**	**653.5**	**11.1%**
Federal Receipts	7.5	(0.3)	-										7.2	4.2	3.0	71.4%
Total Receipts	**13,089.5**	**8,202.5**	**14,778.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**36,070.5**	**33,162.2**	**2,908.3**	**8.8%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,860.9	5,333.0	2,936.9										10,130.8	9,095.4	1,035.4	11.4%
Environment and Recreation	0.1	0.5	0.1										0.7	0.5	0.2	40.0%
General Government	39.3	84.3	409.2										532.8	583.9	(51.1)	-8.8%
Public Health:																
Medicaid	3,962.6	3,074.2	1,789.0										8,825.8	10,142.4	(1,316.6)	-13.0%
Other Public Health	178.3	280.0	721.6										1,179.9	859.7	320.2	37.2%
Public Safety	42.7	51.8	83.2										177.7	80.3	97.4	121.3%
Public Welfare	72.3	444.4	423.7										940.4	736.2	204.2	27.7%
Support and Regulate Business	57.8	10.4	15.0										83.2	37.3	45.9	123.1%
Transportation	72.1	665.6	373.5										1,111.2	1,066.0	45.2	4.2%
Total Local Assistance Grants	**6,286.1**	**9,944.2**	**6,752.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**22,982.5**	**22,601.7**	**380.8**	**1.7%**
Departmental Operations:																
Personal Service	1,308.8	1,470.6	1,242.3										4,021.7	3,795.1	226.6	6.0%
Non-Personal Service	439.4	603.4	522.7										1,565.5	745.2	820.3	110.1%
General State Charges	685.2	820.1	626.8										2,132.1	3,195.3	(1,063.2)	-33.3%
Debt Service, Including Payments on Other Financing Arrangements	31.6	17.5	4.8										53.9	68.6	(14.7)	-21.4%
Capital Projects	-	-	-										-	-	-	0.0%
Total Disbursements	**8,751.1**	**12,855.8**	**9,148.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**30,755.7**	**30,405.9**	**349.8**	**1.2%**
Excess (Deficiency) of Receipts over Disbursements	**4,338.4**	**(4,653.3)**	**5,629.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**5,314.8**	**2,756.3**	**2,558.5**	**92.8%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	5,214.0	3,534.1	6,455.7										15,203.8	13,470.9	1,732.9	12.9%
Transfers to Other Funds (**)	(5,217.7)	(2,465.9)	(6,850.1)										(14,533.7)	(13,188.4)	1,345.3	10.2%
Total Other Financing Sources (Uses)	**(3.7)**	**1,068.2**	**(394.4)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**670.1**	**282.5**	**387.6**	**137.2%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4,334.7**	**(3,585.1)**	**5,235.3**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**5,984.9**	**3,038.8**	**2,946.1**	**96.9%**
Ending Fund Balance	**$ 60,412.0**	**$ 56,826.9**	**$ 62,062.2**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 62,062.2**	**$ 55,762.6**	**$ 6,299.6**	**11.3%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT F

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 46,330.9**	**$ 49,055.0**	**$ 45,547.8**										**$ 46,330.9**	**$ 43,450.6**	**$ 2,880.3**	**6.6%**
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	4,294.5	4,554.5	3,816.3										12,665.3	11,857.0	808.3	6.8%
Estimated Payments	5,344.2	102.1	1,571.7										7,018.0	6,285.9	732.1	11.6%
Returns	2,160.0	95.5	68.8										2,324.3	2,313.6	10.7	0.5%
State/City Offsets	(480.0)	(45.1)	(37.8)										(562.9)	(628.5)	(65.6)	-10.4%
Other (Assessments/LLC)	231.9	135.9	117.2										485.0	545.0	(60.0)	-11.0%
Gross Receipts	**11,550.6**	**4,842.9**	**5,536.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**21,929.7**	**20,373.0**	**1,556.7**	**7.6%**
Transfers to School Tax Relief Fund	-	-	-										-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(3,649.7)	(1,926.6)	(2,552.1)										(8,128.4)	(7,268.8)	859.6	11.8%
Refunds Issued	(4,251.2)	(989.7)	(432.0)										(5,672.9)	(5,835.4)	(162.5)	-2.8%
Total Personal Income Tax	**3,649.7**	**1,926.6**	**2,552.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**8,128.4**	**7,268.8**	**859.6**	**11.8%**
Consumption/Use Taxes:																
Sales and Use	703.1	722.4	923.6										2,349.1	2,287.1	62.0	2.7%
Auto Rental	-	-	-										-	-	-	0.0%
Cigarette/Tobacco Products	26.7	21.3	19.6										67.6	68.0	(0.4)	-0.6%
Motor Fuel	-	-	-										-	-	-	0.0%
Peer-to-Peer Car Sharing	-	-	0.4										0.4	(1.0)	1.4	140.0%
Alcoholic Beverage	19.1	21.7	24.5										65.3	68.1	(2.8)	-4.1%
Highway Use	-	-	-										-	-	-	0.0%
Vapor Excise	-	-	-										-	-	-	0.0%
Opioid Excise	5.3	0.2	-										5.5	6.4	(0.9)	-14.1%
Total Consumption/Use Taxes	**754.2**	**765.6**	**968.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,487.9**	**2,428.6**	**59.3**	**2.4%**
Business Taxes:																
Corporation Franchise	1,064.0	116.8	1,177.0										2,357.8	2,325.7	32.1	1.4%
Corporation and Utilities	11.0	7.7	66.3										85.0	75.6	9.4	12.4%
Insurance	126.2	13.0	478.7										617.9	589.7	28.2	4.8%
Bank	0.7	-	(2.2)										(1.5)	1.6	(3.1)	-193.8%
Pass-Through Entity	22.7	72.5	1,545.2										1,640.4	1,384.2	256.2	18.5%
Petroleum Business	-	-	-										-	-	-	0.0%
Total Business Taxes	**1,224.6**	**210.0**	**3,265.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**4,699.6**	**4,376.8**	**322.8**	**7.4%**
Other Taxes:																
Real Property Gains	-	-	-										-	-	-	0.0%
Estate and Gift	183.8	119.8	111.0										414.6	651.8	(237.2)	-36.4%
Pari-Mutuel	1.2	1.1	1.3										3.6	3.7	(0.1)	-2.7%
Real Estate Transfer	-	-	-										-	-	-	0.0%
Racing and Combative Sports	0.2	-	-										0.2	0.1	0.1	100.0%
Employer Compensation Expense Tax	0.2	0.1	0.2										0.5	0.5	-	0.0%
Total Other Taxes	**185.4**	**121.0**	**112.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**418.9**	**656.1**	**(237.2)**	**-36.2%**
Total Taxes	**5,813.9**	**3,023.2**	**6,897.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**15,734.8**	**14,730.3**	**1,004.5**	**6.8%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.4	-	-										0.4	0.5	(0.1)	-20.0%
Bottle Bill	0.4	-	17.2										17.6	16.2	1.4	8.6%
Assessments:																
Business	-	0.3	-										0.3	-	0.3	100.0%
Medical Care	2.8	1.4	5.9										10.1	5.5	4.6	83.6%
Public Utilities	-	-	-										-	-	-	0.0%
Other	-	-	-										-	0.1	(0.1)	-100.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.0	4.3	4.6										13.9	17.8	(3.9)	-21.9%
Audit Fees	-	-	-										-	-	-	0.0%
Business/Professional	22.4	4.5	33.9										60.8	72.2	(11.4)	-15.8%
Civil	16.9	8.7	27.4										53.0	33.1	19.9	60.1%
Criminal	0.1	0.1	0.1										0.3	0.2	0.1	50.0%
Motor Vehicle	44.0	57.3	3.8										105.1	67.6	37.5	55.5%
Recreational/Consumer	1.5	0.9	2.7										5.1	3.5	1.6	45.7%
Fines, Penalties and Forfeitures	35.7	28.2	26.1										90.0	68.8	21.2	30.8%
Gaming:																
Mobile Sports	5.0	-	-										5.0	5.0	-	0.0%
Interest Earnings	238.3	217.3	227.2										682.8	572.3	110.5	19.3%
Receipts from Municipalities	-	0.1	-										0.1	-	0.1	100.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-										-	-	-	0.0%
Cost Recovery Assessments	-	-	-										-	-	-	0.0%
Issuance Fees	-	-	0.7										0.7	-	0.7	100.0%
Non Bond Related	-	-	-										-	-	-	0.0%
Rentals	0.1	0.2	0.4										0.7	0.4	0.3	75.0%
Revenues of State Departments:																
Administrative Recoveries	0.4	0.5	18.2										19.1	17.9	1.2	6.7%
Commissions	1.6	0.1	0.2										1.9	1.2	0.7	58.3%
Gifts, Grants and Donations	-	-	-										-	-	-	0.0%
Indirect Cost Recoveries	-	14.9	6.6										21.5	21.6	(0.1)	-0.5%

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT F

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Patient/Client Care Reimbursement	36.6	3.6	5.3										45.5	(79.9)	125.4	156.9%
Rebates	(0.2)	-	1.7										1.5	2.1	(0.6)	-28.6%
Restitution and Settlements	8.3	0.3	0.1										8.7	0.1	8.6	8,600.0%
Student Loans	-	-	-										-	-	-	0.0%
All Other	32.0	43.2	(2.3)										72.9	162.1	(89.2)	-55.0%
Sales	-	-	-										-	-	-	0.0%
Total Miscellaneous Receipts	**451.3**	**385.9**	**379.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,217.0**	**988.3**	**228.7**	**23.1%**
Federal Receipts	-	-	-										-	-	-	0.0%
Total Receipts	**6,265.2**	**3,409.1**	**7,277.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**16,951.8**	**15,718.6**	**1,233.2**	**7.8%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,860.6	5,333.0	2,653.8										9,847.4	8,777.9	1,069.5	12.2%
Environment and Recreation	0.1	0.3	0.1										0.5	0.3	0.2	66.7%
General Government	28.7	49.1	402.1										479.9	534.2	(54.3)	-10.2%
Public Health:																
Medicaid	3,573.6	2,569.8	1,238.5										7,381.9	8,721.8	(1,339.9)	-15.4%
Other Public Health	95.3	143.3	389.5										628.1	584.7	43.4	7.4%
Public Safety	21.1	22.3	62.7										106.1	22.1	84.0	380.1%
Public Welfare	72.3	444.4	423.5										940.2	734.2	206.0	28.1%
Support and Regulate Business	57.4	8.6	14.0										80.0	33.5	46.5	138.8%
Transportation	-	46.9	19.0										65.9	58.8	7.1	12.1%
Total Local Assistance Grants	**5,709.1**	**8,617.7**	**5,203.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**19,530.0**	**19,467.5**	**62.5**	**0.3%**
Departmental Operations:																
Personal Service	838.0	997.5	808.2										2,643.7	2,492.7	151.0	6.1%
Non-Personal Service	166.7	303.8	247.5										718.0	(66.0)	784.0	1,187.9%
General State Charges	670.5	690.3	548.0										1,908.8	2,969.7	(1,060.9)	-35.7%
Total Disbursements	**7,384.3**	**10,609.3**	**6,806.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**24,800.5**	**24,863.9**	**(63.4)**	**-0.3%**
Excess (Deficiency) of Receipts over Disbursements	**(1,119.1)**	**(7,200.2)**	**470.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(7,848.7)**	**(9,145.3)**	**1,296.6**	**14.2%**
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	3,672.8	2,007.3	4,097.5										9,777.6	8,656.3	1,121.3	13.0%
Transfers from STRBTF	620.2	672.3	873.4										2,165.9	2,123.3	42.6	2.0%
Transfers from CW/CA Fund	78.6	94.6	66.6										239.8	245.1	(5.3)	-2.2%
Transfers from Other Funds	152.9	300.0	176.0										628.9	479.7	149.2	31.1%
Transfers to State Capital Projects	(193.4)	945.2	(579.4)										172.4	(179.3)	(351.7)	-196.2%
Transfers to All Other Capital Projects	(90.0)	(50.0)	(199.2)										(339.2)	(151.3)	187.9	124.2%
Transfers to General Debt Service	(23.9)	(3.1)	-										(27.0)	(38.4)	(11.4)	-29.7%
Transfers to All Other State Funds	(374.0)	(273.3)	(868.4)										(1,515.7)	(1,256.8)	258.9	20.6%
Total Other Financing Sources (Uses)	**3,843.2**	**3,693.0**	**3,566.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**11,102.7**	**9,878.6**	**1,224.1**	**12.4%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**2,724.1**	**(3,507.2)**	**4,037.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**3,254.0**	**733.3**	**2,520.7**	**343.7%**
Ending Fund Balance	**$ 49,055.0**	**$ 45,547.8**	**$ 49,584.9**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 49,584.9**	**$ 44,183.9**	**$ 5,401.0**	**12.2%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT G

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 20,794.8	$ 24,032.8	$ 24,134.2										$ -	$ 20,794.8	$ 23,940.2	$ (3,145.4)	-13.1%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	-	-	-										-	-	-	-	0.0%
Consumption/Use Taxes:																	
Sales and Use	155.7	98.7	125.4										-	379.8	374.5	5.3	1.4%
Auto Rental	2.1	0.1	7.8										-	10.0	8.8	1.2	13.6%
Cigarette/Tobacco Products	60.9	48.1	42.1										-	151.1	152.3	(1.2)	-0.8%
Cannabis	2.7	1.5	18.9										-	23.1	5.3	17.8	335.8%
Motor Fuel	7.9	8.1	9.6										-	25.6	25.6	-	0.0%
Peer-to-Peer Car Sharing	-	-	0.1										-	0.1	0.2	(0.1)	-50.0%
Alcoholic Beverage	-	-	-										-	-	-	-	0.0%
Highway Use	-	0.1	-										-	0.1	0.2	(0.1)	-50.0%
Vapor Excise	(0.1)	0.6	4.6										-	5.1	6.6	(1.5)	-22.7%
Total Consumption/Use Taxes	**229.2**	**157.2**	**208.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**594.9**	**573.5**	**21.4**	**3.7%**
Business Taxes:																	
Corporation Franchise	242.7	63.5	307.3										-	613.5	550.9	62.6	11.4%
Corporation and Utilities	15.8	1.2	16.3										-	33.3	38.5	(5.2)	-13.5%
Insurance	16.8	(2.3)	62.5										-	77.0	80.3	(3.3)	-4.1%
Bank	0.1	-	0.4										-	0.5	0.2	0.3	150.0%
Petroleum Business	36.7	39.5	42.7										-	118.9	120.7	(1.8)	-1.5%
Total Business Taxes	**312.1**	**101.9**	**429.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**843.2**	**790.6**	**52.6**	**6.7%**
Total Taxes	**541.3**	**259.1**	**637.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,438.1**	**1,364.1**	**74.0**	**5.4%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	1.1	1.0	1.0										-	3.1	2.8	0.3	10.7%
Assessments:																	
Business	93.8	84.2	73.9										-	251.9	118.3	133.6	112.9%
Medical Care	663.6	621.3	694.8										-	1,979.7	1,841.0	138.7	7.5%
Public Utilities	3.7	(0.1)	0.9										-	4.5	1.4	3.1	221.4%
Other	0.1	-	-										-	0.1	0.1	-	0.0%
Fees, Licenses and Permits:																	
Audit Fees	-	0.1	0.8										-	0.9	2.3	(1.4)	-60.9%
Business/Professional	41.5	41.1	79.0										-	161.6	148.2	13.4	9.0%
Civil	3.6	3.4	5.9										-	12.9	12.1	0.8	6.6%
Criminal	0.2	0.3	0.6										-	1.1	1.0	0.1	10.0%
Motor Vehicle	11.4	15.9	18.6										-	45.9	109.7	(63.8)	-58.2%
Recreational/Consumer	58.3	46.3	79.0										-	183.6	168.4	15.2	9.0%
Fines, Penalties and Forfeitures	10.0	11.4	5.1										-	26.5	30.3	(3.8)	-12.5%
Gaming:																	
Casino	42.2	16.3	13.0										-	71.5	102.0	(30.5)	-29.9%
Lottery	205.3	227.2	179.2										-	611.7	592.8	18.9	3.2%
Mobile Sports	87.3	104.7	69.2										-	261.2	192.0	69.2	36.0%
Video Lottery	81.1	94.2	76.9										-	252.2	247.6	4.6	1.9%
Interest Earnings	139.3	123.6	139.6										-	402.5	385.3	17.2	4.5%
Receipts from Municipalities	6.6	1.1	5.2										-	12.9	15.4	(2.5)	-16.2%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-										-	-	-	-	0.0%
Cost Recovery Assessments	0.3	7.9	-										-	8.2	0.4	7.8	1,950.0%
Issuance Fees	3.4	3.4	(1.4)										-	5.4	2.7	2.7	100.0%
Non Bond Related	4.6	0.3	3.9										-	8.8	15.8	(7.0)	-44.3%
Rentals	46.6	25.8	5.9										-	78.3	45.2	33.1	73.2%
Revenues of State Departments:																	
Administrative Recoveries	9.0	9.3	25.8										-	44.1	42.5	1.6	3.8%
Commissions	0.1	0.2	0.3										-	0.6	0.3	0.3	100.0%
Commissions - Asset Conversion	-	-	-										-	-	-	-	0.0%
Gifts, Grants and Donations	7.4	0.6	3.6										-	11.6	22.0	(10.4)	-47.3%
Indirect Cost Recoveries	-	-	-										-	-	0.1	(0.1)	-100.0%
Patient/Client Care Reimbursement	286.6	250.9	221.2										-	758.7	706.2	52.5	7.4%
Rebates	11.7	9.5	11.6										-	32.8	35.9	(3.1)	-8.6%
Restitution and Settlements	7.6	2.1	1.2										-	10.9	6.9	4.0	58.0%
Student Loans	1.1	2.0	0.8										-	3.9	10.2	(6.3)	-61.8%
All Other	47.4	40.3	47.6										-	135.3	113.3	22.0	19.4%
Sales	0.6	0.7	1.9										-	3.2	3.8	(0.6)	-15.8%
Tuition	(16.5)	41.8	25.3										-	50.6	67.0	(16.4)	-24.5%
Total Miscellaneous Receipts	**1,859.0**	**1,786.8**	**1,790.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**5,436.2**	**5,043.0**	**393.2**	**7.8%**
Federal Receipts	8,035.2	7,408.3	7,737.9										-	23,181.4	27,531.2	(4,349.8)	-15.8%
Total Receipts	**10,435.5**	**9,454.2**	**10,166.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**30,055.7**	**33,938.3**	**(3,882.6)**	**-11.4%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT G

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	655.4	498.0	1,156.9										-	2,310.3	2,322.0	(11.7)	-0.5%
Environment and Recreation	0.1	0.2	0.1										-	0.4	0.2	0.2	100.0%
General Government	11.5	40.7	10.0										-	62.2	55.0	7.2	13.1%
Public Health:																	
Medicaid	3,924.7	5,171.4	5,009.8										-	14,105.9	17,271.7	(3,165.8)	-18.3%
Other Public Health	1,076.2	1,212.2	1,902.8										-	4,191.2	2,798.2	1,393.0	49.8%
Public Safety	77.3	151.5	170.3										-	399.1	2,124.2	(1,725.1)	-81.2%
Public Welfare	610.0	569.9	944.5										-	2,124.4	1,311.4	813.0	62.0%
Support and Regulate Business	0.4	1.8	2.9										-	5.1	157.4	(152.3)	-96.8%
Transportation	81.1	620.7	365.9										-	1,067.7	1,022.8	44.9	4.4%
Total Local Assistance Grants	**6,436.7**	**8,266.4**	**9,563.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**24,266.3**	**27,062.9**	**(2,796.6)**	**-10.3%**
Departmental Operations:																	
Personal Service	532.3	534.7	491.5										-	1,558.5	1,474.3	84.2	5.7%
Non-Personal Service	322.7	481.5	399.1										-	1,203.3	1,771.8	(568.5)	-32.1%
General State Charges	14.9	204.6	104.8										-	324.3	330.8	(6.5)	-2.0%
Debt Service, Including Payments on																	
Other Financing Arrangements	-	-	-										-	-	-	-	0.0%
Capital Projects	-	-	-										-	-	-	-	0.0%
Total Disbursements	**7,306.6**	**9,487.2**	**10,558.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**27,352.4**	**30,639.8**	**(3,287.4)**	**-10.7%**
Excess (Deficiency) of Receipts over Disbursements	**3,128.9**	**(33.0)**	**(392.6)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,703.3**	**3,298.5**	**(595.2)**	**-18.0%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	389.2	306.5	1,142.8										(335.4)	1,503.1	1,276.6	226.5	17.7%
Transfers to Other Funds	(280.1)	(172.1)	(474.3)										335.4	(591.1)	(362.4)	228.7	63.1%
Total Other Financing Sources (Uses)	**109.1**	**134.4**	**668.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**912.0**	**914.2**	**(2.2)**	**-0.2%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**3,238.0**	**101.4**	**275.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**3,615.3**	**4,212.7**	**(597.4)**	**-14.2%**
Ending Fund Balance	**$ 24,032.8**	**$ 24,134.2**	**$ 24,410.1**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 24,410.1**	**$ 28,152.9**	**$ (3,742.8)**	**-13.3%**

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT G

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 9,641.8	$ 11,009.1	$ 11,018.3										$ 9,641.8	$ 9,113.8	$ 528.0	5.8%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-										-	-	-	0.0%
Consumption/Use Taxes:																
Sales and Use	155.7	98.7	125.4										379.8	374.5	5.3	1.4%
Auto Rental	2.1	0.1	7.8										10.0	8.8	1.2	13.6%
Cigarette/Tobacco Products	60.9	48.1	42.1										151.1	152.3	(1.2)	-0.8%
Cannabis	2.7	1.5	18.9										23.1	5.3	17.8	335.8%
Motor Fuel	7.9	8.1	9.6										25.6	25.6	-	0.0%
Peer-to-Peer Car Sharing	-	-	0.1										0.1	0.2	(0.1)	-50.0%
Alcoholic Beverage	-	-	-										-	-	-	0.0%
Highway Use	-	0.1	-										0.1	0.2	(0.1)	-50.0%
Vapor Excise	(0.1)	0.6	4.6										5.1	6.6	(1.5)	-22.7%
Total Consumption/Use Taxes	229.2	157.2	208.5	-	-	-	-	-	-	-	-	-	594.9	573.5	21.4	3.7%
Business Taxes																
Corporation Franchise	242.7	63.5	307.3										613.5	550.9	62.6	11.4%
Corporation and Utilities	15.8	1.2	16.3										33.3	38.5	(5.2)	-13.5%
Insurance	16.8	(2.3)	62.5										77.0	80.3	(3.3)	-4.1%
Bank	0.1	-	0.4										0.5	0.2	0.3	150.0%
Petroleum Business	36.7	39.5	42.7										118.9	120.7	(1.8)	-1.5%
Total Business Taxes	312.1	101.9	429.2	-	-	-	-	-	-	-	-	-	843.2	790.6	52.6	6.7%
Total Taxes	541.3	259.1	637.7	-	-	-	-	-	-	-	-	-	1,438.1	1,364.1	74.0	5.4%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.1	1.0	1.0										3.1	2.8	0.3	10.7%
Assessments:																
Business	87.0	41.2	73.9										202.1	68.2	133.9	196.3%
Medical Care	663.6	621.3	694.8										1,979.7	1,841.0	138.7	7.5%
Public Utilities	3.7	(0.1)	0.9										4.5	1.4	3.1	221.4%
Other	0.1	-	-										0.1	0.1	-	0.0%
Fees, Licenses and Permits:																
Audit Fees	-	0.1	0.8										0.9	2.3	(1.4)	-60.9%
Business/Professional	41.5	41.1	79.0										161.6	148.2	13.4	9.0%
Civil	3.6	3.4	5.9										12.9	12.1	0.8	6.6%
Criminal	0.2	0.3	0.6										1.1	1.0	0.1	10.0%
Motor Vehicle	11.4	15.9	18.6										45.9	109.7	(63.8)	-58.2%
Recreational/Consumer	58.3	46.3	79.0										183.6	168.4	15.2	9.0%
Fines, Penalties and Forfeitures	8.9	10.6	4.5										24.0	28.6	(4.6)	-16.1%
Gaming:																
Casino	42.2	16.3	13.0										71.5	102.0	(30.5)	-29.9%
Lottery	205.3	227.2	179.2										611.7	592.8	18.9	3.2%
Mobile Sports	87.3	104.7	69.2										261.2	192.0	69.2	36.0%
Video Lottery	81.1	94.2	76.9										252.2	247.6	4.6	1.9%
Interest Earnings	70.0	63.4	77.3										210.7	173.1	37.6	21.7%
Receipts from Municipalities	6.6	1.1	5.2										12.9	15.4	(2.5)	-16.2%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-										-	-	-	0.0%
Cost Recovery Assessments	0.3	7.9	-										8.2	0.4	7.8	1,950.0%
Issuance Fees	3.4	3.4	(1.4)										5.4	2.7	2.7	100.0%
Non Bond Related	4.6	0.3	3.9										8.8	15.8	(7.0)	-44.3%
Rentals	46.6	25.8	5.9										78.3	45.2	33.1	73.2%
Revenues of State Departments:																
Administrative Recoveries	9.0	9.3	25.8										44.1	42.5	1.6	3.8%
Commissions	0.1	0.2	0.3										0.6	0.3	0.3	100.0%
Commissions - Asset Conversion	-	-	-										-	-	-	0.0%
Gifts, Grants and Donations	7.3	0.6	3.3										11.2	21.3	(10.1)	-47.4%
Indirect Cost Recoveries	-	-	-										-	0.1	(0.1)	-100.0%
Patient/Client Care Reimbursement	286.6	250.9	221.2										758.7	706.2	52.5	7.4%
Rebates	3.3	0.4	3.0										6.7	11.2	(4.5)	-40.2%
Restitution and Settlements	7.6	2.1	1.2										10.9	6.9	4.0	58.0%
Student Loans	1.1	2.0	0.8										3.9	10.2	(6.3)	-61.8%
All Other	47.3	40.3	47.4										135.0	112.7	22.3	19.8%
Sales	0.6	0.7	1.9										3.2	3.8	(0.6)	-15.8%
Tuition	(16.5)	41.8	25.3										50.6	67.0	(16.4)	-24.5%
Total Miscellaneous Receipts	1,773.2	1,673.7	1,718.4	-	-	-	-	-	-	-	-	-	5,165.3	4,753.0	412.3	8.7%
Federal Receipts	0.4	(0.3)	-										0.1	0.1	-	0.0%
Total Receipts	2,314.9	1,932.5	2,356.1	-	-	-	-	-	-	-	-	-	6,603.5	6,117.2	486.3	7.9%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT G

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.3	-	283.1										283.4	317.5	(34.1)	-10.7%
Environment and Recreation	-	0.2	-										0.2	0.2	-	0.0%
General Government	10.6	35.2	7.1										52.9	49.7	3.2	6.4%
Public Health:																
Medicaid	389.0	504.4	550.5										1,443.9	1,420.6	23.3	1.6%
Other Public Health	83.0	136.7	332.1										551.8	275.0	276.8	100.7%
Public Safety	21.6	29.5	20.5										71.6	58.2	13.4	23.0%
Public Welfare	-	-	0.2										0.2	2.0	(1.8)	-90.0%
Support and Regulate Business	0.4	1.8	1.0										3.2	3.8	(0.6)	-15.8%
Transportation	72.1	618.7	354.5										1,045.3	1,007.2	38.1	3.8%
Total Local Assistance Grants	**577.0**	**1,326.5**	**1,549.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**3,452.5**	**3,134.2**	**318.3**	**10.2%**
Departmental Operations:																
Personal Service	470.8	473.1	434.1										1,378.0	1,302.4	75.6	5.8%
Non-Personal Service	272.7	298.4	275.1										846.2	809.5	36.7	4.5%
General State Charges	14.7	129.8	78.8										223.3	225.6	(2.3)	-1.0%
Capital Projects	-	-	-										-	-	-	0.0%
Total Disbursements	**1,335.2**	**2,227.8**	**2,337.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**5,900.0**	**5,471.7**	**428.3**	**7.8%**
Excess (Deficiency) of Receipts over Disbursements	**979.7**	**(295.3)**	**19.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**703.5**	**645.5**	**58.0**	**9.0%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	389.2	306.5	1,142.8										1,838.5	1,610.3	228.2	14.2%
Transfers to Other Funds	(1.6)	(2.0)	(52.8)										(56.4)	(47.0)	9.4	20.0%
Total Other Financing Sources (Uses)	**387.6**	**304.5**	**1,090.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,782.1**	**1,563.3**	**218.8**	**14.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,367.3**	**9.2**	**1,109.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,485.6**	**2,208.8**	**276.8**	**12.5%**
Ending Fund Balance	**$ 11,009.1**	**$ 11,018.3**	**$ 12,127.4**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 12,127.4**	**$ 11,322.6**	**$ 804.8**	**7.1%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT G

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 11,153.0	$ 13,023.7	$ 13,115.9										$ 11,153.0	$ 14,826.4	$ (3,673.4)	-24.8%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-										-	-	-	0.0%
Assessments:																
Business	6.8	43.0	-										49.8	50.1	(0.3)	-0.6%
Medical Care	-	-	-										-	-	-	0.0%
Public Utilities	-	-	-										-	-	-	0.0%
Other	-	-	-										-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-										-	-	-	0.0%
Civil	-	-	-										-	-	-	0.0%
Criminal	-	-	-										-	-	-	0.0%
Motor Vehicle	-	-	-										-	-	-	0.0%
Recreational/Consumer	-	-	-										-	-	-	0.0%
Fines, Penalties and Forfeitures	1.1	0.8	0.6										2.5	1.7	0.8	47.1%
Interest Earnings	69.3	60.2	62.3										191.8	212.2	(20.4)	-9.6%
Receipts from Municipalities	-	-	-										-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-										-	-	-	0.0%
Cost Recovery Assessments	-	-	-										-	-	-	0.0%
Issuance Fees	-	-	-										-	-	-	0.0%
Non Bond Related	-	-	-										-	-	-	0.0%
Rentals	-	-	-										-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-										-	-	-	0.0%
Commissions	-	-	-										-	-	-	0.0%
Gifts, Grants and Donations	0.1	-	0.3										0.4	0.7	(0.3)	-42.9%
Indirect Cost Recoveries	-	-	-										-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-										-	-	-	0.0%
Rebates	8.4	9.1	8.6										26.1	24.7	1.4	5.7%
Restitution and Settlements	-	-	-										-	-	-	0.0%
Student Loans	-	-	-										-	-	-	0.0%
All Other	0.1	-	0.2										0.3	0.6	(0.3)	-50.0%
Sales	-	-	-										-	-	-	0.0%
Tuition	-	-	-										-	-	-	0.0%
Total Miscellaneous Receipts	85.8	113.1	72.0	-	-	-	-	-	-	-	-	-	270.9	290.0	(19.1)	-6.6%
Federal Receipts	8,034.8	7,408.6	7,737.9										23,181.3	27,531.1	(4,349.8)	-15.8%
Total Receipts	8,120.6	7,521.7	7,809.9	-	-	-	-	-	-	-	-	-	23,452.2	27,821.1	(4,368.9)	-15.7%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT G

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	655.1	498.0	873.8										2,026.9	2,004.5	22.4	1.1%
Environment and Recreation	0.1	-	0.1										0.2	-	0.2	100.0%
General Government	0.9	5.5	2.9										9.3	5.3	4.0	75.5%
Public Health:																
Medicaid	3,535.7	4,667.0	4,459.3										12,662.0	15,851.1	(3,189.1)	-20.1%
Other Public Health	993.2	1,075.5	1,570.7										3,639.4	2,523.2	1,116.2	44.2%
Public Safety	55.7	122.0	149.8										327.5	2,066.0	(1,738.5)	-84.1%
Public Welfare	610.0	569.9	944.3										2,124.2	1,309.4	814.8	62.2%
Support and Regulate Business	-	-	1.9										1.9	153.6	(151.7)	-98.8%
Transportation	9.0	2.0	11.4										22.4	15.6	6.8	43.6%
Total Local Assistance Grants	**5,859.7**	**6,939.9**	**8,014.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**20,813.8**	**23,928.7**	**(3,114.9)**	**-13.0%**
Departmental Operations:																
Personal Service	61.5	61.6	57.4										180.5	171.9	8.6	5.0%
Non-Personal Service	50.0	183.1	124.0										357.1	962.3	(605.2)	-62.9%
General State Charges	0.2	74.8	26.0										101.0	105.2	(4.2)	-4.0%
Debt Service, Including Payments on																
Other Financing Arrangements	-	-	-										-	-	-	0.0%
Capital Projects	-	-	-										-	-	-	0.0%
Total Disbursements	**5,971.4**	**7,259.4**	**8,221.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**21,452.4**	**25,168.1**	**(3,715.7)**	**-14.8%**
Excess (Deficiency) of Receipts over Disbursements	**2,149.2**	**262.3**	**(411.7)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,999.8**	**2,653.0**	**(653.2)**	**-24.6%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-										-	-	-	0.0%
Transfers to Other Funds	(278.5)	(170.1)	(421.5)										(870.1)	(649.1)	221.0	34.0%
Total Other Financing Sources (Uses)	**(278.5)**	**(170.1)**	**(421.5)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(870.1)**	**(649.1)**	**221.0**	**34.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,870.7**	**92.2**	**(833.2)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,129.7**	**2,003.9**	**(874.2)**	**-43.6%**
Ending Fund Balance	**$ 13,023.7**	**$ 13,115.9**	**$ 12,282.7**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 12,282.7**	**$ 16,830.3**	**$ (4,547.6)**	**-27.0%**

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT H

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 104.6**	**$ 347.9**	**$ 260.8**										**$ 104.6**	**$ 159.4**	**$ (54.8)**	**-34.4%**
RECEIPTS:																
Taxes:																
Personal Income Tax	3,649.7	1,926.6	2,552.1										8,128.4	7,268.8	859.6	11.8%
Consumption/Use Taxes:																
Sales and Use	702.0	721.8	923.0										2,346.8	2,284.4	62.4	2.7%
Total Consumption/Use Taxes	**702.0**	**721.8**	**923.0**	-	-	-	-	-	-	-	-	-	**2,346.8**	**2,284.4**	**62.4**	**2.7%**
Business Taxes:																
Pass-Through Entity	22.7	72.4	1,545.3										1,640.4	1,384.2	256.2	18.5%
Total Business Taxes	**22.7**	**72.4**	**1,545.3**	-	-	-	-	-	-	-	-	-	**1,640.4**	**1,384.2**	**256.2**	**18.5%**
Other Taxes:																
Real Estate Transfer	83.0	95.6	69.3										247.9	252.8	(4.9)	-1.9%
Employer Compensation Expense Tax	0.2	0.2	0.1										0.5	0.5	-	0.0%
Total Other Taxes	**83.2**	**95.8**	**69.4**	-	-	-	-	-	-	-	-	-	**248.4**	**253.3**	**(4.9)**	**-1.9%**
Total Taxes	**4,457.6**	**2,816.6**	**5,089.8**	-	-	-	-	-	-	-	-	-	**12,364.0**	**11,190.7**	**1,173.3**	**10.5%**
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-										-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-										-	-	-	0.0%
Business/Professional	-	-	-										-	-	-	0.0%
Civil	-	-	-										-	-	-	0.0%
Criminal	-	-	-										-	-	-	0.0%
Motor Vehicle	-	-	-										-	-	-	0.0%
Recreational/Consumer	-	-	-										-	-	-	0.0%
Interest Earnings	0.3	-	-										0.3	0.3	-	0.0%
Receipts from Municipalities	-	0.4	0.2										0.6	-	0.6	100.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-										-	-	-	0.0%
Rentals	-	-	-										-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	44.4	43.9	54.9										143.2	131.3	11.9	9.1%
All Other	-	-	-										-	-	-	0.0%
Sales	-	-	-										-	-	-	0.0%
Total Miscellaneous Receipts	**44.7**	**44.3**	**55.1**	-	-	-	-	-	-	-	-	-	**144.1**	**131.6**	**12.5**	**9.5%**
Federal Receipts	7.1	-	-										7.1	4.1	3.0	73.2%
Total Receipts	**4,509.4**	**2,860.9**	**5,144.9**	-	-	-	-	-	-	-	-	-	**12,515.2**	**11,326.4**	**1,188.8**	**10.5%**
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	-	1.2	0.1										1.3	1.7	(0.4)	-23.5%
Debt Service, Including Payments on Other Financing Arrangements	31.6	17.5	4.8										53.9	68.6	(14.7)	-21.4%
Total Disbursements	**31.6**	**18.7**	**4.9**	-	-	-	-	-	-	-	-	-	**55.2**	**70.3**	**(15.1)**	**-21.5%**
Excess (Deficiency) of Receipts over Disbursements	**4,477.8**	**2,842.2**	**5,140.0**	-	-	-	-	-	-	-	-	-	**12,460.0**	**11,256.1**	**1,203.9**	**10.7%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	300.3	153.4	99.4										553.1	356.2	196.9	55.3%
Transfers to Other Funds	(4,534.8)	(3,082.7)	(5,150.3)										(12,767.8)	(11,515.6)	1,252.2	10.9%
Total Other Financing Sources (Uses)	**(4,234.5)**	**(2,929.3)**	**(5,050.9)**	-	-	-	-	-	-	-	-	-	**(12,214.7)**	**(11,159.4)**	**(1,055.3)**	**-9.5%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**243.3**	**(87.1)**	**89.1**	-	-	-	-	-	-	-	-	-	**245.3**	**96.7**	**148.6**	**153.7%**
Ending Fund Balance	**$ 347.9**	**$ 260.8**	**$ 349.9**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 349.9**	**$ 256.1**	**$ 93.8**	**36.6%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT I

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (1,318.1)	$ (1,189.7)	$ (1,430.8)										$ -	$ (1,318.1)	$ (1,594.5)	$ 276.4	17.3%
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	5.9	0.2	22.4										-	28.5	31.2	(2.7)	-8.7%
Motor Fuel	29.6	29.2	35.3										-	94.1	92.7	1.4	1.5%
Highway Use	13.5	11.3	8.0										-	32.8	34.1	(1.3)	-3.8%
Total Consumption/Use Taxes	49.0	40.7	65.7	-	-	-	-	-	-	-	-	-	-	155.4	158.0	(2.6)	-1.6%
Business Taxes:																	
Corporation Franchise	-	-	-										-	-	-	-	0.0%
Corporation and Utilities	3.2	-	1.3										-	4.5	6.6	(2.1)	-31.8%
Petroleum Business	46.2	50.4	54.9										-	151.5	154.4	(2.9)	-1.9%
Total Business Taxes	49.4	50.4	56.2	-	-	-	-	-	-	-	-	-	-	156.0	161.0	(5.0)	-3.1%
Other Taxes:																	
Real Estate Transfer	-	-	25.7										-	25.7	25.7	-	0.0%
Total Other Taxes	-	-	25.7	-	-	-	-	-	-	-	-	-	-	25.7	25.7	-	0.0%
Total Taxes	98.4	91.1	147.6	-	-	-	-	-	-	-	-	-	-	337.1	344.7	(7.6)	-2.2%
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	-										-	-	23.0	(23.0)	-100.0%
Assessments:																	
Business	5.8	6.0	5.5										-	17.3	18.1	(0.8)	-4.4%
Fees, Licenses and Permits:																	
Business/Professional	1.4	2.5	1.8										-	5.7	6.4	(0.7)	-10.9%
Civil	-	-	-										-	-	-	-	0.0%
Motor Vehicle	54.4	58.1	64.3										-	176.8	189.3	(12.5)	-6.6%
Recreational/Consumer	0.3	0.4	1.3										-	2.0	2.0	-	0.0%
Fines, Penalties and Forfeitures	2.0	3.2	2.6										-	7.8	9.9	(2.1)	-21.2%
Interest Earnings	3.9	4.0	4.0										-	11.9	8.1	3.8	46.9%
Receipts from Municipalities	-	0.5	-										-	0.5	-	0.5	100.0%
Receipts from Public Authorities:																	
Bond Proceeds	103.0	1,321.3	0.1										-	1,424.4	1,071.7	352.7	32.9%
Issuance Fees	-	-	-										-	-	-	-	0.0%
Non Bond Related	0.4	(0.6)	0.5										-	0.3	2.2	(1.9)	-86.4%
Rentals	0.9	2.7	1.9										-	5.5	3.5	2.0	57.1%
Revenues of State Departments:																	
Administrative Recoveries	-	-	4.2										-	4.2	-	4.2	100.0%
Gifts, Grants and Donations	-	3.8	(0.1)										-	3.7	4.1	(0.4)	-9.8%
Indirect Cost Recoveries	6.2	8.6	9.5										-	24.3	33.0	(8.7)	-26.4%
Rebates	-	-	-										-	-	-	-	0.0%
Restitution and Settlements	0.4	0.1	0.4										-	0.9	1.0	(0.1)	-10.0%
All Other	1.0	1.8	2.1										-	4.9	27.9	(23.0)	-82.4%
Sales	0.3	-	0.2										-	0.5	0.3	0.2	66.7%
Total Miscellaneous Receipts	180.0	1,412.4	98.3	-	-	-	-	-	-	-	-	-	-	1,690.7	1,400.5	290.2	20.7%
Federal Receipts	253.5	163.6	190.7										-	607.8	668.7	(60.9)	-9.1%
Total Receipts	531.9	1,667.1	436.6	-	-	-	-	-	-	-	-	-	-	2,635.6	2,413.9	221.7	9.2%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT I

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	4.4	9.7	23.9										-	38.0	44.5	(6.5)	-14.6%
Environment and Recreation	7.5	6.8	32.9										-	47.2	107.5	(60.3)	-56.1%
General Government	27.9	18.4	48.8										-	95.1	134.1	(39.0)	-29.1%
Public Health:																	
Medicaid	-	-	-										-	-	-	-	0.0%
Other Public Health	41.7	35.7	23.1										-	100.5	142.1	(41.6)	-29.3%
Public Safety	1.7	1.7	2.2										-	5.6	3.9	1.7	43.6%
Public Welfare	35.6	80.3	5.7										-	121.6	281.4	(159.8)	-56.8%
Support and Regulate Business	55.8	29.6	54.9										-	140.3	124.8	15.5	12.4%
Transportation	23.7	43.0	112.4										-	179.1	187.9	(8.8)	-4.7%
Total Local Assistance Grants	**198.3**	**225.2**	**303.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**727.4**	**1,026.2**	**(298.8)**	**-29.1%**
Departmental Operations:																	
Personal Service	-	-	-										-	-	-	-	0.0%
Non-Personal Service	-	-	-										-	-	-	-	0.0%
General State Charges	-	-	-										-	-	-	-	0.0%
Capital Projects	484.5	783.5	751.2										-	2,019.2	1,971.3	47.9	2.4%
Total Disbursements	**682.8**	**1,008.7**	**1,055.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,746.6**	**2,997.5**	**(250.9)**	**-8.4%**
Excess (Deficiency) of Receipts over Disbursements	**(150.9)**	**658.4**	**(618.5)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(111.0)**	**(583.6)**	**472.6**	**81.0%**
OTHER FINANCING SOURCES (USES):																	
Bond and Note Proceeds (net)	-	-	-										-	-	-	-	0.0%
Transfers from Other Funds	285.0	(893.5)	803.5										-	195.0	377.1	(182.1)	-48.3%
Transfers to Other Funds	(5.7)	(6.0)	(21.1)										-	(32.8)	(18.2)	14.6	80.2%
Total Other Financing Sources (Uses)	**279.3**	**(899.5)**	**782.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**162.2**	**358.9**	**(196.7)**	**-54.8%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**128.4**	**(241.1)**	**163.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**51.2**	**(224.7)**	**275.9**	**122.8%**
Ending Fund Balance	**$ (1,189.7)**	**$ (1,430.8)**	**$ (1,266.9)**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ (1,266.9)**	**$ (1,819.2)**	**$ 552.3**	**30.4%**

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT I

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (745.3)	$ (740.4)	$ (939.6)										$ (745.3)	$ (1,114.7)	$ 369.4	33.1%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	5.9	0.2	22.4										28.5	31.2	(2.7)	-8.7%
Motor Fuel	29.6	29.2	35.3										94.1	92.7	1.4	1.5%
Highway Use	13.5	11.3	8.0										32.8	34.1	(1.3)	-3.8%
Total Consumption/Use Taxes	49.0	40.7	65.7	-	-	-	-	-	-	-	-	-	155.4	158.0	(2.6)	-1.6%
Business Taxes																
Corporation Franchise	-	-	-										-	-	-	0.0%
Corporation and Utilities	3.2	-	1.3										4.5	6.6	(2.1)	-31.8%
Petroleum Business	46.2	50.4	54.9										151.5	154.4	(2.9)	-1.9%
Total Business Taxes	49.4	50.4	56.2	-	-	-	-	-	-	-	-	-	156.0	161.0	(5.0)	-3.1%
Other Taxes																
Real Estate Transfer	-	-	25.7										25.7	25.7	-	0.0%
Total Other Taxes	-	-	25.7	-	-	-	-	-	-	-	-	-	25.7	25.7	-	0.0%
Total Taxes	98.4	91.1	147.6	-	-	-	-	-	-	-	-	-	337.1	344.7	(7.6)	-2.2%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-										-	23.0	(23.0)	-100.0%
Assessments:																
Business	5.8	6.0	5.5										17.3	18.1	(0.8)	-4.4%
Fees, Licenses and Permits:																
Business/Professional	1.4	2.5	1.8										5.7	6.4	(0.7)	-10.9%
Civil	-	-	-										-	-	-	0.0%
Motor Vehicle	54.4	58.1	64.3										176.8	189.3	(12.5)	-6.6%
Recreational/Consumer	0.3	0.4	1.3										2.0	2.0	-	0.0%
Fines, Penalties and Forfeitures	2.0	3.2	2.6										7.8	9.9	(2.1)	-21.2%
Interest Earnings	3.9	4.0	4.0										11.9	8.1	3.8	46.9%
Receipts from Municipalities	-	0.5	-										0.5	-	0.5	100.0%
Receipts from Public Authorities:																
Bond Proceeds	103.0	1,321.3	0.1										1,424.4	1,071.7	352.7	32.9%
Issuance Fees	-	-	-										-	-	-	0.0%
Non Bond Related	0.4	(0.6)	0.5										0.3	2.2	(1.9)	-86.4%
Rentals	0.9	2.7	1.9										5.5	3.5	2.0	57.1%
Revenues of State Departments:																
Administrative Recoveries	-	-	4.2										4.2	-	4.2	100.0%
Gifts, Grants and Donations	-	3.8	(0.1)										3.7	4.1	(0.4)	-9.8%
Indirect Cost Recoveries	6.2	8.6	9.5										24.3	33.0	(8.7)	-26.4%
Rebates	-	-	-										-	-	-	0.0%
Restitution and Settlements	0.4	0.1	0.4										0.9	1.0	(0.1)	-10.0%
All Other	1.0	1.8	2.1										4.9	27.9	(23.0)	-82.4%
Sales	0.3	-	0.1										0.4	0.3	0.1	33.3%
Total Miscellaneous Receipts	180.0	1,412.4	98.2	-	-	-	-	-	-	-	-	-	1,690.6	1,400.5	290.1	20.7%
Federal Receipts	-	-	-										-	0.1	(0.1)	-100.0%
Total Receipts	278.4	1,503.5	245.8	-	-	-	-	-	-	-	-	-	2,027.7	1,745.3	282.4	16.2%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT I

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	4.4	9.7	23.9										38.0	44.5	(6.5)	-14.6%
Environment and Recreation	7.5	6.7	32.9										47.1	36.5	10.6	29.0%
General Government	27.9	18.4	48.8										95.1	134.1	(39.0)	-29.1%
Public Health:																
Medicaid	-	-	-										-	-	-	0.0%
Other Public Health	41.7	34.7	23.1										99.5	78.0	21.5	27.6%
Public Safety	1.7	1.7	2.2										5.6	3.9	1.7	43.6%
Public Welfare	35.6	80.3	5.7										121.6	281.4	(159.8)	-56.8%
Support and Regulate Business	54.4	29.1	54.8										138.3	124.6	13.7	11.0%
Transportation	2.5	2.5	95.1										100.1	104.3	(4.2)	-4.0%
Total Local Assistance Grants	**175.7**	**183.1**	**286.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**645.3**	**807.3**	**(162.0)**	**-20.1%**
Departmental Operations:																
Personal Service	-	-	-										-	-	-	0.0%
Non-Personal Service	-	-	-										-	-	-	0.0%
General State Charges	-	-	-										-	-	-	0.0%
Capital Projects	377.1	620.1	596.1										1,593.3	1,541.2	52.1	3.4%
Total Disbursements	**552.8**	**803.2**	**882.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,238.6**	**2,348.5**	**(109.9)**	**-4.7%**
Excess (Deficiency) of Receipts over Disbursements	**(274.4)**	**700.3**	**(636.8)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(210.9)**	**(603.2)**	**392.3**	**65.0%**
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-										-	-	-	0.0%
Transfers from Other Funds	285.0	(893.5)	803.5										195.0	377.1	(182.1)	-48.3%
Transfers to Other Funds	(5.7)	(6.0)	(20.7)										(32.4)	(18.2)	14.2	78.0%
Total Other Financing Sources (Uses)	**279.3**	**(899.5)**	**782.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**162.6**	**358.9**	**(196.3)**	**-54.7%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**4.9**	**(199.2)**	**146.0**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(48.3)**	**(244.3)**	**196.0**	**80.2%**
Ending Fund Balance	**$ (740.4)**	**$ (939.6)**	**$ (793.6)**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ (793.6)**	**$ (1,359.0)**	**$ 565.4**	**41.6%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT I

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (572.8)	$ (449.3)	$ (491.2)										$ (572.8)	$ (479.8)	$ (93.0)	-19.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-										-	-	-	0.0%
Assessments:																
Business	-	-	-										-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-										-	-	-	0.0%
Civil	-	-	-										-	-	-	0.0%
Motor Vehicle	-	-	-										-	-	-	0.0%
Recreational/Consumer	-	-	-										-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-										-	-	-	0.0%
Interest Earnings	-	-	-										-	-	-	0.0%
Receipts from Municipalities	-	-	-										-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-										-	-	-	0.0%
Issuance Fees	-	-	-										-	-	-	0.0%
Non Bond Related	-	-	-										-	-	-	0.0%
Rentals	-	-	-										-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-										-	-	-	0.0%
Gifts, Grants and Donations	-	-	-										-	-	-	0.0%
Indirect Cost Recoveries	-	-	-										-	-	-	0.0%
Restitution and Settlements	-	-	-										-	-	-	0.0%
All Other	-	-	-										-	-	-	0.0%
Sales	-	-	0.1										0.1	-	0.1	100.0%
Total Miscellaneous Receipts	**-**	**-**	**0.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**0.1**	**-**	**0.1**	**100.0%**
Federal Receipts	253.5	163.6	190.7										607.8	668.6	(60.8)	-9.1%
Total Receipts	**253.5**	**163.6**	**190.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**607.9**	**668.6**	**(60.7)**	**-9.1%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-										-	-	-	0.0%
Environment and Recreation	-	0.1	-										0.1	71.0	(70.9)	-99.9%
General Government	-	-	-										-	-	-	0.0%
Public Health:																
Medicaid	-	-	-										-	-	-	0.0%
Other Public Health	-	1.0	-										1.0	64.1	(63.1)	-98.4%
Public Safety	-	-	-										-	-	-	0.0%
Public Welfare	-	-	-										-	-	-	0.0%
Support and Regulate Business	1.4	0.5	0.1										2.0	0.2	1.8	900.0%
Transportation	21.2	40.5	17.3										79.0	83.6	(4.6)	-5.5%
Total Local Assistance Grants	**22.6**	**42.1**	**17.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**82.1**	**218.9**	**(136.8)**	**-62.5%**
Departmental Operations:																
Personal Service	-	-	-										-	-	-	0.0%
Non-Personal Service	-	-	-										-	-	-	0.0%
General State Charges	-	-	-										-	-	-	0.0%
Capital Projects	107.4	163.4	155.1										425.9	430.1	(4.2)	-1.0%
Total Disbursements	**130.0**	**205.5**	**172.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**508.0**	**649.0**	**(141.0)**	**-21.7%**
Excess (Deficiency) of Receipts over Disbursements	**123.5**	**(41.9)**	**18.3**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**99.9**	**19.6**	**80.3**	**409.7%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-										-	-	-	0.0%
Transfers to Other Funds	-	-	(0.4)										(0.4)	-	0.4	100.0%
Total Other Financing Sources (Uses)	**-**	**-**	**(0.4)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(0.4)**	**-**	**0.4**	**100.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**123.5**	**(41.9)**	**17.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**99.5**	**19.6**	**79.9**	**407.7%**
Ending Fund Balance	$ (449.3)	$ (491.2)	$ (473.3)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ (473.3)	$ (460.2)	$ (13.1)	-2.8%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT J

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 648.0	$ 869.7	$ 607.1										$ 648.0	$ 510.4	$ 137.6	27.0%
RECEIPTS:																
Miscellaneous Receipts	462.9	27.0	271.3										761.2	855.6	(94.4)	-11.0%
Federal Receipts	1.7	1.6	1.2										4.5	8.3	(3.8)	-45.8%
Unemployment Taxes	274.7	209.8	200.5										685.0	382.2	302.8	79.2%
Total Receipts	739.3	238.4	473.0	-	-	-	-	-	-	-	-	-	1,450.7	1,246.1	204.6	16.4%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	138.6	194.8	127.1										460.5	457.8	2.7	0.6%
Non-Personal Service	33.7	36.9	51.6										122.2	120.6	1.6	1.3%
General State Charges	68.6	58.3	58.2										185.1	173.2	11.9	6.9%
Unemployment Benefits	276.7	211.0	201.7										689.4	491.4	198.0	40.3%
Total Disbursements	517.6	501.0	438.6	-	-	-	-	-	-	-	-	-	1,457.2	1,243.0	214.2	17.2%
Excess (Deficiency) of Receipts over Disbursements	221.7	(262.6)	34.4	-	-	-	-	-	-	-	-	-	(6.5)	3.1	(9.6)	-309.7%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-										-	2.0	(2.0)	-100.0%
Transfers to Other Funds	-	-	-										-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	2.0	(2.0)	-100.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	221.7	(262.6)	34.4	-	-	-	-	-	-	-	-	-	(6.5)	5.1	(11.6)	-227.5%
Ending Fund Balance	$ 869.7	$ 607.1	$ 641.5	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 641.5	$ 515.5	$ 126.0	24.4%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT K

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30 2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 24.6	$ (26.9)	$ (30.5)										$ 24.6	$ (41.6)	$ 66.2	159.1%
RECEIPTS:																
Miscellaneous Receipts	27.8	38.9	54.5										121.2	111.5	9.7	8.7%
Total Receipts	27.8	38.9	54.5	-	-	-	-	-	-	-	-	-	121.2	111.5	9.7	8.7%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	11.3	11.8	11.3										34.4	33.8	0.6	1.8%
Non-Personal Service	70.9	22.2	56.7										149.8	119.3	30.5	25.6%
General State Charges	-	9.9	5.6										15.5	17.3	(1.8)	-10.4%
Total Disbursements	82.2	43.9	73.6	-	-	-	-	-	-	-	-	-	199.7	170.4	29.3	17.2%
Excess (Deficiency) of Receipts over Disbursements	(54.4)	(5.0)	(19.1)	-	-	-	-	-	-	-	-	-	(78.5)	(58.9)	(19.6)	-33.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	2.9	1.4	37.3										41.6	5.8	35.8	617.2%
Transfers to Other Funds	-	-	(3.8)										(3.8)	(0.1)	3.7	3,700.0%
Total Other Financing Sources (Uses)	2.9	1.4	33.5	-	-	-	-	-	-	-	-	-	37.8	5.7	32.1	563.2%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(51.5)	(3.6)	14.4	-	-	-	-	-	-	-	-	-	(40.7)	(53.2)	12.5	23.5%
Ending Fund Balance	$ (26.9)	$ (30.5)	$ (16.1)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ (16.1)	$ (94.8)	$ 78.7	83.0%

STATE OF NEW YORK
TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT L

	2024									2025			3 Months Ended June 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 1,562.5	$ 1,568.2	$ 1,567.4										$ 1,562.5	$ 1,255.7	$ 306.8	24.4%
RECEIPTS:																
Miscellaneous Receipts	12.3	23.2	26.9										62.4	50.1	12.3	24.6%
Total Receipts	**12.3**	**23.2**	**26.9**	-	-	-	-	-	-	-	-	-	**62.4**	**50.1**	**12.3**	**24.6%**
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	6.3	9.6	6.3										22.2	21.8	0.4	1.8%
Non-Personal Service	0.3	2.0	2.0										4.3	8.7	(4.4)	-50.6%
General State Charges	-	12.4	4.1										16.5	16.4	0.1	0.6%
Total Disbursements	**6.6**	**24.0**	**12.4**	-	-	-	-	-	-	-	-	-	**43.0**	**46.9**	**(3.9)**	**-8.3%**
Excess (Deficiency) of Receipts over Disbursements	**5.7**	**(0.8)**	**14.5**	-	-	-	-	-	-	-	-	-	**19.4**	**3.2**	**16.2**	**506.3%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-										-	-	-	0.0%
Transfers to Other Funds	-	-	-										-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	-	-	-	-	-	-	-	-	-	**-**	**-**	**-**	**0.0%**
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	**5.7**	**(0.8)**	**14.5**	-	-	-	-	-	-	-	-	-	**19.4**	**3.2**	**16.2**	**506.3%**
Ending Fund Balance	$ 1,568.2	$ 1,567.4	$ 1,581.9	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 1,581.9	$ 1,258.9	$ 323.0	25.7%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2024-2025
(amounts in millions)

EXHIBIT M

	2024									2025			3 Months Ended June 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2024	2023	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 60.0	$ 60.8	$ 61.6										$ 60.0	$ 53.1	$ 6.9	13.0%
RECEIPTS:																
Miscellaneous Receipts	0.9	0.9	0.9										2.7	2.0	0.7	35.0%
Total Receipts	0.9	0.9	0.9	-	-	-	-	-	-	-	-	-	2.7	2.0	0.7	35.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.1	-	0.1										0.2	0.2	-	0.0%
Non-Personal Service	-	-	-										-	-	-	0.0%
General State Charges	-	0.1	-										0.1	0.1	-	0.0%
Total Disbursements	0.1	0.1	0.1	-	-	-	-	-	-	-	-	-	0.3	0.3	-	0.0%
Excess (Deficiency) of Receipts over Disbursements	0.8	0.8	0.8	-	-	-	-	-	-	-	-	-	2.4	1.7	0.7	41.2%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-										-	-	-	0.0%
Transfers to Other Funds	-	-	-										-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.8	0.8	0.8	-	-	-	-	-	-	-	-	-	2.4	1.7	0.7	41.2%
Ending Fund Balance	$ 60.8	$ 61.6	$ 62.4	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 62.4	$ 54.8	$ 7.6	13.9%

STATE OF NEW YORK **SCHEDULE 1**
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2024-2025
FOR THE MONTH OF JUNE 2024
(amounts in millions)

	BALANCE JUNE 1, 2024	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2024
GENERAL FUND					
10000-10049-Local Assistance Account	$ -	$ 0.036	$ 5,203.187	$ 5,203.151	$ -
10050-10099-State Operations Account	44,022.541	7,277.516	1,603.768	(1,636.633)	48,059.656
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	25.314	-	0.089	-	25.225
10300-10349-Rainy Day Reserve Fund	1,500.000	-	-	-	1,500.000
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	**45,547.855**	**7,277.552**	**6,807.044**	**3,566.518**	**49,584.881**
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.882	0.004	-	-	0.886
20100-20299-Combined Expendable Trust	66.066	2.200	0.629	-	67.637
20300-20349-New York Interest on Lawyer Account	449.302	21.775	2.109	-	468.968
20350-20399-NYS Archives Partnership Trust	0.230	-	0.029	-	0.201
20400-20449-Child Performer's Protection	0.155	0.008	0.047	0.577	0.693
20450-20499-Tuition Reimbursement	12.139	0.429	0.301	-	12.267
20500-20549-New York State Local Government Records Management Improvement	8.417	1.031	0.761	-	8.687
20550-20599-School Tax Relief	-	-	-	-	-
20600-20649-Charter Schools Stimulus	8.488	0.040	-	-	8.528
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	339.535	656.290	793.108	(1.143)	201.574
20850-20899-Dedicated Mass Transportation Trust	54.965	54.718	46.200	15.665	79.148
20900-20949-State Lottery	1,359.031	257.099	285.823	(1.854)	1,328.453
20950-20999-Combined Student Loan	13.288	0.844	0.099	-	14.033
21000-21049-Sewage Treatment Program Mgmt. & Administration	0.178	-	0.047	-	0.131
21050-21149-Encon Special Revenue	15.103	3.617	8.135	-	10.585
21150-21199-Conservation	119.965	3.183	2.720	-	120.428
21200-21249-Environmental Protection and Oil Spill Compensation	4.986	1.323	1.357	(1.496)	3.456
21250-21299-Training and Education Program on OSHA	9.029	0.051	4.440	(1.137)	3.503
21300-21349-Lawyers' Fund for Client Protection	12.343	1.078	0.074	-	13.347
21350-21399-Equipment Loan for the Disabled	0.549	0.004	-	-	0.553
21400-21449-Mass Transportation Operating Assistance	1,216.525	540.280	295.786	22.975	1,483.994
21450-21499-Clean Air	(42.580)	3.665	2.705	-	(41.620)
21500-21549-New York State Infrastructure Trust	0.078	-	-	-	0.078
21550-21599-Legislative Computer Services	13.949	0.110	0.133	-	13.926
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.504	0.001	-	-	0.505
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	0.694	0.004	-	-	0.698
21900-22499-Miscellaneous State Special Revenue	2,625.472	312.084	279.518	1.082	2,659.120
22500-22549-Court Facilities Incentive Aid	65.029	0.316	4.679	-	60.666

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2024-2025
FOR THE MONTH OF JUNE 2024
(amounts in millions)

SCHEDULE 1

	BALANCE JUNE 1, 2024	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2024
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22550-22599-Employment Training	0.057	-	-	-	0.057
22650-22699-State University Income	1,904.401	323.178	555.688	1,024.317	2,696.208
22700-22749-Chemical Dependence Service	2.436	0.035	0.264	-	2.207
22750-22799-Lake George Park Trust	1.088	0.003	0.224	-	0.867
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	105.430	2.693	0.281	-	107.842
22850-22899-New York Great Lakes Protection	0.594	0.003	0.021	-	0.576
22900-22949-Federal Revenue Maximization	0.026	-	-	-	0.026
22950-22999-Housing Development	3.992	0.018	-	-	4.010
23000-23049-NYS/DOT Highway Safety Program	(24.182)	0.136	(0.160)	-	(23.886)
23050-23099-Vocational Rehabilitation	0.114	0.001	-	-	0.115
23100-23149-Drinking Water Program Management and Administration	0.001	-	-	-	0.001
23150-23199-NYC County Clerks' Operations Offset	(34.296)	-	2.862	-	(37.158)
23200-23249-Judiciary Data Processing Offset	15.303	8.138	7.972	-	15.469
23500-23549-USOC Lake Placid Training	0.338	0.005	-	-	0.343
23550-23599-Indigent Legal Services	945.242	38.554	16.277	-	967.519
23600-23649-Unemployment Insurance Interest and Penalty	54.841	2.988	0.002	-	57.827
23650-23699-MTA Financial Assistance Fund	126.416	0.693	13.008	61.857	175.958
23700-23749-New York State Commercial Gaming Fund	33.288	13.254	1.865	-	44.677
23750-23799-Medical Cannabis Trust Fund	9.698	0.978	0.375	(0.069)	10.232
23800-23899-Dedicated Miscellaneous State Special Revenue	302.363	2.871	6.756	-	298.478
24800-24849-NYS Cannabis Revenue	2.092	18.428	2.851	(0.073)	17.596
24850-24899-Health Care Transformation	379.390	1.722	-	-	381.112
24900-24949-Charitable Gifts Trust Fund	0.128	0.001	-	-	0.129
24950-24954-Interactive Fantasy Sports	43.438	0.489	0.042	-	43.885
24955-24959-Mobile Sports Wagering	393.813	69.246	-	-	463.059
40350-40399-State University Dormitory Income	397.943	12.530	-	(30.688)	379.785
TOTAL SPECIAL REVENUE FUNDS-STATE	**11,018.276**	**2,356.118**	**2,337.028**	**1,090.013**	**12,127.379**
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(46.229)	267.163	288.991	(0.828)	(68.885)
25100-25199-Federal Health and Human Services	8,867.398	6,676.643	6,948.361	(405.648)	8,190.032
25200-25249-Federal Education	(62.077)	674.022	733.543	(0.220)	(121.818)
25300-25899, 25951-Federal Miscellaneous Operating Grants	4,190.101	130.931	200.400	(0.005)	4,120.627
25900-25949-Unemployment Insurance Administration	175.184	38.030	32.228	(13.847)	167.139
25950, 25952-25999-Unemployment Insurance Occupational Training	(0.489)	-	0.011	-	(0.500)
26000-26049-Federal Employment and Training Grants	(7.994)	23.163	17.984	(1.049)	(3.864)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	**13,115.894**	**7,809.952**	**8,221.518**	**(421.597)**	**12,282.731**
TOTAL SPECIAL REVENUE FUNDS	**24,134.170**	**10,166.070**	**10,558.546**	**668.416**	**24,410.110**
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	102.553	25.521	-	(10.705)	117.369
40150-40199-General Debt Service	131.680	5,020.505	4.830	(4,966.425)	180.930
40250-40299-State Housing Debt Service	-	0.128	-	(0.128)	-
40300-40349-Department of Health Income	25.577	29.408	-	(7.020)	47.965
40400-40449-Clean Water/Clean Air	0.977	69.323	-	(66.640)	3.660
40450-40499-Local Government Assistance Tax	-	-	-	-	-
TOTAL DEBT SERVICE FUNDS	**260.787**	**5,144.885**	**4.830**	**(5,050.918)**	**349.924**

STATE OF NEW YORK **SCHEDULE 1**
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2024-2025
FOR THE MONTH OF JUNE 2024
(amounts in millions)

	BALANCE JUNE 1, 2024	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2024
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	(0.093)	579.040	579.133	-
30050-30099-Dedicated Highway and Bridge Trust	27.229	208.374	146.998	(3.073)	85.532
30100-30299-SUNY Residence Halls Rehabilitation and Repair	139.069	0.660	4.579	14.538	149.688
30300-30349-New York State Canal System Development	14.027	0.062	-	-	14.089
30350-30399-Parks Infrastructure	(152.875)	-	20.231	23.593	(149.513)
30400-30449-Passenger Facility Charge	0.017	-	-	-	0.017
30450-30499-Environmental Protection	408.225	30.294	29.539	17.718	426.698
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	-	-	-	-	-
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	0.737	-	-	-	0.737
30650-30659-Rebuild and Renew New York Transportation Bond	6.362	-	-	-	6.362
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.550	-	-	-	5.550
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30720-30729-Clean Water, Clean Air, and Green Jobs Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(491.144)	190.676	172.461	(0.368)	(473.297)
31450-31499-Forest Preserve Expansion	1.178	0.005	-	-	1.183
31500-31549-Hazardous Waste Remedial	(98.777)	2.754	9.412	36.551	(68.884)
31650-31699-Suburban Transportation	0.587	0.003	-	-	0.590
31700-31749-Division for Youth Facilities Improvement	(26.060)	-	1.530	-	(27.590)
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)
31850-31899-Housing Program	(682.888)	-	5.556	-	(688.444)
31900-31949-Natural Resource Damage	26.779	0.138	0.284	-	26.633
31950-31999-DOT Engineering Services	(12.016)	-	-	-	(12.016)
32200-32249-Miscellaneous Capital Projects	148.345	2.689	2.717	1.107	149.424
32250-32299-CUNY Capital Projects	0.105	0.003	-	-	0.108
32300-32349-Mental Hygiene Facilities Capital Improvement	(654.625)	0.602	37.020	52.061	(638.982)
32350-32399-Correction Facilities Capital Improvement	(220.296)	-	29.615	2.185	(247.726)
32400-32999-State University Capital Projects	100.160	0.305	2.904	8.985	106.546
33000-33049-NYS Storm Recovery Fund	(33.464)	-	-	-	(33.464)
33050-33099 Dedicated Infrastructure Investment Fund	63.960	-	13.111	50.000	100.849
TOTAL CAPITAL PROJECTS FUNDS	**(1,430.804)**	**436.472**	**1,054.997**	**782.430**	**(1,266.899)**
TOTAL GOVERNMENTAL FUNDS	**$ 68,512.008**	**$ 23,024.979**	**$ 18,425.417**	**$ (33.554)**	**$ 73,078.016**

STATE OF NEW YORK
PROPRIETARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2024-2025
FOR THE MONTH OF JUNE 2024
(amounts in millions)

SCHEDULE 2

FUND TYPE	BALANCE JUNE 1, 2024	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2024
ENTERPRISE FUNDS					
23250-23449-CUNY Senior College Program	$ 375.029	$ 4.625	$ 5.237	$ -	$ 374.417
50000-50049-Youth Commissary	0.169	0.012	0.003	-	0.178
50050-50099-State Exposition Special	13.249	1.359	0.687	-	13.921
50100-50299-Correctional Services Commissary	3.875	3.767	4.323	-	3.319
50300-50399-Agencies Enterprise	16.331	3.160	2.900	-	16.591
50400-50449-Sheltered Workshop	1.934	0.009	0.017	-	1.926
50450-50499-Patient Workshop	2.252	0.111	0.047	-	2.316
50500-50599-Mental Hygiene Community Stores	6.569	0.079	0.101	-	6.547
50650-50699-Unemployment Insurance	147.647	214.672	201.661	-	160.658
60850-60899-CUNY Senior College Operating	40.014	245.205	223.573	-	61.646
TOTAL ENTERPRISE FUNDS	**607.069**	**472.999**	**438.549**	**-**	**641.519**
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	4.116	32.595	37.018	29.401	29.094
55050-55099-Agency Internal Service	18.363	19.758	26.664	6.893	18.350
55100-55149-Mental Hygiene Revolving	0.152	0.029	0.031	-	0.150
55150-55199-Youth Vocational Education	0.051	-	-	-	0.051
55200-55249-Joint Labor and Management Administration	0.494	0.003	0.155	(0.001)	0.341
55250-55299-Audit and Control Revolving	(74.847)	-	4.976	(0.065)	(79.888)
55300-55349-Health Insurance Revolving	2.380	-	1.104	(2.674)	(1.398)
55350-55399-Correctional Industries Revolving	18.792	1.997	3.595	-	17.194
TOTAL INTERNAL SERVICE FUNDS	**(30.499)**	**54.382**	**73.543**	**33.554**	**(16.106)**
TOTAL PROPRIETARY FUNDS	**$ 576.570**	**$ 527.381**	**$ 512.092**	**$ 33.554**	**$ 625.413**

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2024-2025
FOR THE MONTH OF JUNE 2024
(amounts in millions)

SCHEDULE 3

FUND TYPE	BALANCE JUNE 1, 2024	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JUNE 30, 2024
TRUST FUNDS					
65000-65049-Common Retirement Administration	$ (7.751)	$ 19.744	$ 12.396	$ -	$ (0.403)
65050-65099-Retiree Health Benefit Trust	1,575.177	7.149	-	-	1,582.326
TOTAL TRUST FUNDS	**1,567.426**	**26.893**	**12.396**	**-**	**1,581.923**
PRIVATE PURPOSE TRUST FUNDS					
22022-College Savings Account	45.132	0.706	0.008	-	45.830
66000-66049-Agriculture Producers' Security	3.727	0.017	0.022	-	3.722
66050-66099-Milk Producers' Security	12.778	0.106	0.016	-	12.868
TOTAL PRIVATE PURPOSE TRUST FUNDS	**61.637**	**0.829**	**0.046**	**-**	**62.420**
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	5.211	1.000	-	-	6.211
60150-60199-Child Performer's Holding	0.671	0.003	-	-	0.674
60200-60249-Employees Health Insurance	1,091.741	1,012.308	1,227.111	-	876.938
60250-60299-Social Security Contribution	15.395	114.329	114.681	-	15.043
60300-60399-Employee Payroll Withholding	30.821	413.427	413.537	-	30.711
60400-60449-Employees Dental Insurance	16.519	5.121	6.421	-	15.219
60450-60499-Management Confidential Group Insurance	0.483	0.889	0.990	-	0.382
60500-60549-Lottery Prize	718.339	94.308	80.118	-	732.529
60550-60599-Health Insurance Reserve Receipts	-	-	-	-	-
60600-60799-Miscellaneous New York State Agency	986.625	665.190	655.838	-	995.977
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	30.441	3.106	3.072	-	30.475
60900-60949-Medicaid Management Information System (MMIS) Escrow	296.483	8,187.566	8,192.543	-	291.506
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	93.262	30.089	-	-	123.351
61100-61999-State University Federal Direct Lending Program	(0.644)	26.207	28.005	-	(2.442)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	**3,285.347**	**10,553.543**	**10,722.316**	**-**	**3,116.574**
TOTAL FIDUCIARY FUNDS	**$ 4,914.410**	**$ 10,581.265**	**$ 10,734.758**	**$ -**	**$ 4,760.917**

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2024-2025
FOR THE MONTH OF JUNE 2024
(amounts in millions)

SCHEDULE 4

FUND TYPE	BALANCE JUNE 1, 2024	RECEIPTS	DISBURSEMENTS	BALANCE JUNE 30, 2024
ACCOUNTS				
70000-70049-Tobacco Settlement	$ 3.144	$ 0.014	$ -	$ 3.158
70093, 70095, 70300-70301-MTA State Assistance	368.700	283.492	390.276	261.916
70050-70149-Sole Custody Investment (*)	3,138.151	3,213.597	3,682.053	2,669.695
70200-Comptroller's Refund Account	-	222.310	222.310	-
TOTAL ACCOUNTS	**$ 3,509.995**	**$ 3,719.413**	**$ 4,294.639**	**$ 2,934.769**

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of June 30, 2024, $10,433,748.70 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2024-2025

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2024	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING JUNE 30, 2024	INTEREST DISBURSED	
		MONTH OF JUNE	3 MONTHS ENDED JUNE 30, 2024	MONTH OF JUNE	3 MONTHS ENDED JUNE 30, 2024		MONTH OF JUNE	3 MONTHS ENDED JUNE 30, 2024
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	$ 5,385,493	$ -	$ -	$ -	$ 888,356	$ 4,497,137	$ -	$ 22,209
Clean Water/Clean Air:								
Air Quality	951,679	-	-	-	-	951,679	-	-
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	209,986,794	-	-	-	3,810,419	206,176,375	-	521,008
Solid Waste	5,552,356	-	-	-	46,183	5,506,173	-	11,751
Environmental Restoration	27,048,223	-	-	-	-	27,048,223	-	-
Clean Water/Clean Air and Green Jobs:								
Flood Restoration and Risk Reduction	-	-	-	-	-	-	-	-
Open Space Land Conservation and Recreation	-	-	-	-	-	-	-	-
Climate Change Mitigation	-	-	-	-	-	-	-	-
Water Quality Improvement and Resilient Infrastructure	-	-	-	-	-	-	-	-
NY Natural Resources	-	-	-	-	-	-	-	-
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	331,484	-	-	-	35,012	296,472	-	5,283
Environmental Quality (1972):								
Air	-	-	-	-	-	-	-	-
Land and Wetlands	2,204,392	-	-	-	-	2,204,392	-	-
Water	3,971,764	-	-	-	-	3,971,764	-	-
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	1,465,404	-	-	-	71,069	1,394,335	-	1,777
Solid Waste Management	39,918,356	-	-	-	5,330,134	34,588,222	-	133,253
Housing:								
Low Income	-	-	-	-	-	-	-	-
Middle Income	-	-	-	-	-	-	-	-
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	13,992,307	-	-	-	197,186	13,795,121	-	54,228
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	411,556,396	-	-	-	-	411,556,396	-	-
Canals and Waterways	3,095,343	-	-	-	-	3,095,343	-	-
Aviation	35,700,358	-	-	-	-	35,700,358	-	-
Rail and Port	73,213,386	-	-	-	-	73,213,386	-	-
Mass Transit - Dept. of Transportation	8,617,236	-	-	-	-	8,617,236	-	-
Mass Transit - Metropolitan Transportation Authority	869,575,895	-	-	-	-	869,575,895	-	-
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	3,187	-	-	-	-	3,187	-	-
Rapid Transit, Rail and Aviation	414,817	-	-	-	144,269	270,548	-	8,676
Smart Schools Bond Act	414,592,531	-	-	-	-	414,592,531	-	-
Transportation Capital Facilities:								
Aviation	147,599	-	-	-	107,372	40,227	-	3,137
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	**$ 2,127,725,000**	**$ -**	**$ -**	**$ -**	**$ 10,630,000**	**$ 2,117,095,000**	**$ -**	**$ 761,322**

STATE OF NEW YORK
DEBT SERVICE FUNDS
OTHER FINANCING ARRANGEMENTS
FOR THE THREE MONTHS ENDED JUNE 30, 2024

SCHEDULE 5a

Special Contractual Financing Arrangements:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS 3 MONTHS ENDED JUNE 30 2024	2023	$ INCREASE/ (DECREASE)
Payments to Public Authorities:								
City University Construction	$ -	$ 3,733,497	$ -	$ -	$ -	$ 3,733,497	$ 12,559,844	$ (8,826,347)
Dormitory Authority:								
DASNY Revenue Bond	-	-	-	333,975	-	333,975	333,975	-
Department of Health Facilities	-	-	9,290,714	-	-	9,290,714	11,392,339	(2,101,625)
Secured Hospital Program	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	15,710,475	-	-	-	15,710,475	15,308,960	401,515
Thruway Authority:								
Dedicated Highway and Bridge	-	13,415,976	-	-	-	13,415,976	13,646,622	(230,646)
Transportation	-	-	-	-	-	-	-	-
Urban Development Corporation:								
Debt Reduction Reserve	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Arrangements	**$ -**	**$ 32,859,948**	**$ 9,290,714**	**$ 333,975**	**$ -**	**$ 42,484,637**	**$ 53,241,740**	**$ (10,757,103)**

STATE OF NEW YORK **SCHEDULE 6**
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF JUNE 2024
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

	MONTH OF JUNE 2024	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	$ 80,719.0	$ 80,576.8	$ 77,900.2
AVERAGE YIELD (**)	5.376%	5.371%	4.973%
TOTAL INVESTMENT EARNINGS	$ 354.400	$ 1,073.567	$ 962.195

Month-End Portfolio Balances

DESCRIPTION	JUNE 2024 PAR AMOUNT	JUNE 2023 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	$ 53,703.3	$ 59,928.0
REPURCHASE AGREEMENTS	239.9	54.6
GOVT. SPONSORED AGENCIES	800.0	-
COMMERCIAL PAPER	24,868.9	17,380.7
CERTIFICATES OF DEPOSIT/SAVINGS	4,108.0	3,481.9
0% COMPENSATING BALANCE CDs	78.0	3.0
	$ 83,798.1	**$ 80,848.2**

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2024-2025

APPENDIX A

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30, 2024
OPENING CASH BALANCE	$ 55,427,502	$ 315,092,587	$ 339,534,909										$ 55,427,502
RECEIPTS:													
Cigarette Tax	60,905,091	48,135,627	42,074,105										151,114,823
State Share of NYC Cigarette Tax	529,000	1,033,000	1,131,000										2,693,000
Vapor Excise Tax	(137,104)	628,714	4,642,150										5,133,760
STIP Interest	4,196,479	1,958,354	3,420,360										9,575,193
Assessments	575,085,207	539,679,973	601,769,585										1,716,534,765
Fees	74,000	135,000	900,000										1,109,000
Rebates	2,350,919	228,240	2,352,537										4,931,696
Restitution and Settlements	-	-	-										-
Administrative Recoveries	-	-	-										-
Miscellaneous	281,896	302	-										282,198
Total Receipts	**643,285,488**	**591,799,210**	**656,289,737**	-	-	-	-	-	-	-	-	-	**1,891,374,435**
DISBURSEMENTS:													
Grants	382,022,602	557,313,581	787,407,509										1,726,743,692
Interest - Late Payments	7	23,858	579										24,444
Personal Service	1,042,773	1,042,371	563,027										2,648,171
Non-Personal Service	109,537	7,603,536	4,340,725										12,053,798
Employee Benefits/Indirect Costs	-	1,373,542	796,380										2,169,922
Total Disbursements	**383,174,919**	**567,356,888**	**793,108,220**	-	-	-	-	-	-	-	-	-	**1,743,640,027**
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-										-
Transfers to General Fund	-	-	508,091										508,091
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	-	-										-
Empire State Stem Cell Trust Account	-	-	-										-
Transfers to SUNY Income Fund	445,484	-	634,441										1,079,925
Total Operating Transfers	**445,484**	**-**	**1,142,532**	-	-	-	-	-	-	-	-	-	**1,588,016**
Total Disbursements and Transfers	**383,620,403**	**567,356,888**	**794,250,752**	-	-	-	-	-	-	-	-	-	**1,745,228,043**
CLOSING CASH BALANCE	$ 315,092,587	$ 339,534,909	$ 201,573,894	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 201,573,894

STATE OF NEW YORK **APPENDIX B**
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2024-2025

Program/Purpose	Appropriation Amount (*)	June	3 Months Ended June 30, 2024 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	**$ 7,680,000.00**	**$ 269,482.59**	**$ 881,084.33**
CENTER FOR COMMUNITY HLTH	7,680,000.00	269,482.59	881,084.33
CHILD HEALTH INSURANCE PROGRAM	**4,291,974,000.00**	**317,285,940.71**	**458,172,470.57**
CHILD HEALTH INSURANCE	4,291,974,000.00	317,285,940.71	458,172,470.57
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	**306,151,000.00**	**4,805,737.00**	**11,099,853.55**
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	306,151,000.00	4,805,737.00	11,099,853.55
HEALTH CARE REFORM ACT PROGRAM	**1,645,838,059.03**	**816,017.18**	**74,179,942.38**
AIDS DRUG ASSISTANCE	132,750,000.00	-	(1,293,542.00)
AMBULATORY CARE TRAINING	**3,537,000.00**	**-**	**-**
AREA HEALTH EDUCATION CENTER	9,262,000.00	-	88,764.59
COMMISSIONER EMERGENCY DISTRIBUTIONS	46,040,600.00	60,736.92	84,157.88
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	108,800,000.00	-	-
DIVERSITY IN MEDICINE	5,238,000.00	-	988,499.90
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	6,890,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,727,000.00	-	68,195.50
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	-
HEALTH WORKFORCE RETRAINING	18,320,000.00	-	-
INFERTILITY SERVICES GRANTS	7,644,000.00	-	166,180.14
MEDICAL INDEMNITY FUND	162,000,000.00	-	58,000,000.00
NURSE LOAN REPAYMENT	8,500,000.00	-	-
NYS WORKFORCE INNOVATION CTR	50,240,000.00	116,310.43	348,067.42
PART 405.4 HOSPITAL AUDITS NYCRR	1,775,000.00	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	262,100,000.00	-	-
PHYSICIAN LOAN REPAYMENT	83,852,000.00	120,000.00	160,000.00
PHYSICIAN WORKFORCE STUDIES	974,000.00	127,930.00	127,930.00
POISON CONTROL CENTERS	11,120,000.00	-	-
POOL ADMINISTRATION	5,586,000.00	-	-
ROSWELL PARK CANCER INSTITUTE	110,926,000.00	-	13,865,750.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	15,950,000.00	-	-
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	28,230,000.00	185,106.49	1,034,116.61
RURAL HEALTH CARE GRANTS	3,300,400.00	205,933.34	541,822.34
RURAL HEALTH NETWORK	11,610,000.00	-	-
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	**25,401,343,000.00**	**466,969,453.52**	**1,189,632,185.70**
HOME HEALTH RATE INCREASE	250,000,000.00	-	-
MEDICAID INDIGENT CARE	3,326,300,000.00	66,969,453.52	139,632,185.70
MEDICAL ASSISTANCE	21,089,043,000.00	400,000,000.00	1,050,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	680,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	56,000,000.00	-	-
NEW YORK STATE OF HEALTH	**92,975,000.00**	**1,870,997.86**	**7,083,060.80**
NEW YORK STATE OF HEALTH ADMINISTRATION	92,975,000.00	1,870,997.86	7,083,060.80
OFFICE OF HEALTH INSURANCE PROGRAM	**1,834,000.00**	**-**	**-**
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	**84,382,000.00**	**1,359,242.57**	**3,306,241.09**
OFFICE HEALTH SYSTEMS MANAGEMENT	84,382,000.00	1,359,242.57	3,306,241.09
REVENUE, PROCESSING & RECONCILIATION	**8,545,000.00**	**365,688.40**	**365,688.40**
REVENUE, PROCESSING & RECONCILIATION	8,545,000.00	365,688.40	365,688.40
TOTAL	**31,840,722,059.03**	**793,742,559.83**	**1,744,720,526.82**
Reclass of SUNY Hospital Disprop Share to Transfer		(634,441.29)	(1,079,924.80)
Reclass of SUNY Hospital Poison Control Centers to Transfer		-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer		-	-
Reconciling Adjustment (P-Card and T-Card)		100.63	(575.37)
TOTAL REPORTED AMOUNT	**$ 31,840,722,059.03**	**$ 793,108,219.17**	**$ 1,743,640,026.65**

(*) Includes amounts appropriated in SFY 2024-25, as well as prior year appropriations that were reappropriated.
(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2024-2025

	2024 APRIL	2024 MAY	2024 JUNE	2024-2025
OPENING CASH BALANCE	$ 338,356,206.65	$ 429,881,706.69	$ 509,262,537.11	$ 338,356,206.65
RECEIPTS:				
Patient Services	494,639,326.29	460,214,926.09	293,674,040.85	1,248,528,293.23
Covered Lives	136,249,154.95	97,105,498.18	52,968,656.73	286,323,309.86
Provider Assessments	12,689,869.88	9,378,400.05	7,214,379.03	29,282,648.96
1% Assessments	41,428,139.00	38,013,369.00	46,778,184.00	126,219,692.00
DASNY- MOE/Recast receivables	-	-	-	-
Interest Income	460,158.27	424,176.08	482,833.34	1,367,167.69
Unassigned	(23,140,854.67)	8,490,503.15	(7,023,331.85)	(21,673,683.37)
Total Receipts	**662,325,793.72**	**613,626,872.55**	**394,094,762.10**	**1,670,047,428.37**
PROGRAM DISBURSEMENTS:				
Poison Control Centers	-	-	-	-
School Based Health Center Grants	-	-	-	-
ECRIP Distributions	-	-	-	-
Total Program Disbursements	**-**	**-**	**-**	**-**
Excess (Deficiency) of Receipts over Disbursements	**662,325,793.72**	**613,626,872.55**	**394,094,762.10**	**1,670,047,428.37**
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	4,278,872.00	5,433,931.00	5,842,679.00	15,555,482.00
Transfers From State Funds:				
HCRA Resources Fund	-	-	-	-
Total Other Financing Sources	**4,278,872.00**	**5,433,931.00**	**5,842,679.00**	**15,555,482.00**
Transfers To Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund	(575,079,165.68)	(539,679,973.13)	(601,769,584.91)	(1,716,528,723.72)
Total Other Financing Uses	**(575,079,165.68)**	**(539,679,973.13)**	**(601,769,584.91)**	**(1,716,528,723.72)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**91,525,500.04**	**79,380,830.42**	**(201,832,143.81)**	**(30,925,813.35)**
CLOSING CASH BALANCE	$ 429,881,706.69	$ 509,262,537.11	$ 307,430,393.30	$ 307,430,393.30

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2024-2025

	2024 APRIL	2024 MAY	2024 JUNE	2024-2025
OPENING CASH BALANCE	**$ 6,040.86**	**$ -**	**$ -**	**$ 6,040.86**
RECEIPTS:				
Interest Income	-	-	16,055.60	16,055.60
Total Receipts	**-**	**-**	**16,055.60**	**16,055.60**
PROGRAM DISBURSEMENTS:				
Indigent Care	-	-	(140,483,796.27)	(140,483,796.27)
High Need Indigent Care	-	-	-	-
Other	-	-	1,409,712.45	1,409,712.45
Total Program Disbursements	**-**	**-**	**(139,074,083.82)**	**(139,074,083.82)**
Excess (Deficiency) of Receipts over Disbursements	**-**	**-**	**(139,058,028.22)**	**(139,058,028.22)**
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers From State Funds:				
HCRA Resources Indigent Care - Matched	-	-	70,241,898.15	70,241,898.15
HCRA Resources Indigent Care - Unmatched	-	-	(1,409,712.45)	(1,409,712.45)
Federal DHHS Fund	-	-	70,241,898.12	70,241,898.12
Other	-	-	-	-
Total Other Financing Sources	**-**	**-**	**139,074,083.82**	**139,074,083.82**
Transfers To Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund Indigent Care Acct	(6,040.86)	-	-	(6,040.86)
CSRA Inc (eMedNY) General Fund	-	-	-	-
Total Other Financing Uses	**(6,040.86)**	**-**	**-**	**(6,040.86)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(6,040.86)**	**-**	**16,055.60**	**10,014.74**
CLOSING CASH BALANCE	**$ -**	**$ -**	**$ 16,055.60**	**$ 16,055.60**

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2024-2025
(amounts in thousands)

APPENDIX E

	2024 APRIL	2024 MAY	2024 JUNE	2024 JULY	2024 AUGUST	2024 SEPTEMBER	2024 OCTOBER	2024 NOVEMBER	2024 DECEMBER	2025 JANUARY	2025 FEBRUARY	2025 MARCH	2024-2025 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	$ -	$ -	$ -										$ -
Education - EXCEL	-	-	-										-
Department of Health - All Other	-	-	-										-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-										-
Community Capital Assistance Program (CCAP)/RESTORE	-	-	-										-
Brooklyn Court Officer Training Academy	-	-	-										-
TOTAL DORMITORY AUTHORITY	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	March 31, 2024	April 30, 2024	May 31, 2024	Change	June 30, 2024	
	GENERAL FUND						
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	$ -	$ -	$ -	$ -	$ -	(***)
	TOTAL GENERAL FUND	-	-	-	-	-	
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	44,362,891.82	-	6,196,100.99	(6,196,100.99)	-	
30101	REHAB/REPAIR MARITIME	-	-	-	-	-	
30102	D21RVE- MARITIME	-	-	-	-	-	
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-	
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-	
30105	REHAB/REPAIR ALBANY	-	-	-	-	-	
30106	D01RVE- ALBANY	-	-	-	-	-	
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-	
30108	D07RVE- BINGHAMTON	-	-	-	-	-	
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-	
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-	
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-	
30112	D13RVE- STONYBROOK	-	-	-	-	-	
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-	
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-	
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-	
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-	
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-	
30118	D02RVE- BROCKPORT	-	-	-	-	-	
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-	
30120	D03RVE -SUB BUFFALO	-	-	-	-	-	
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-	
30122	D04RVE- CORTLAND	-	-	-	-	-	
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-	
30124	D05RVE- FREDONIA	-	-	-	-	-	
30125	REHAB/REPAIR GENESEO	-	-	-	-	-	
30126	D06RVE- GENESEO	-	-	-	-	-	
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-	
30128	D31RVE- OLD WESTBURY	-	-	-	-	-	
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-	
30130	D08RVE- NEW PALTZ	-	-	-	-	-	
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-	
30132	D09RVE- ONEONTA	-	-	-	-	-	
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-	
30134	D10RVE- OSWEGO	-	-	-	-	-	
30135	REHAB/REPAIR PLATTSBURGH	71,774.47	-	-	-	-	
30136	D11RVE- PLATTSBURGH	-	-	-	-	-	
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-	
30138	D12RVE- POTSDAM	-	-	-	-	-	
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-	
30140	D29RVE- PURCHASE	-	-	-	-	-	
30141	REHAB/REPAIR FOR UTICA/ROME	20,176.48	20,268.32	20,357.62	92.40	20,450.02	
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-	
30143	REHAB/REPAIR ALFRED	-	-	-	-	-	
30144	D22RVE- ALFRED	-	-	-	-	-	
30145	REHAB/REPAIR CANTON	-	-	-	-	-	
30146	D23RVE- CANTON	-	-	-	-	-	
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-	
30148	D24RVE- COBLESKILL	-	-	-	-	-	
30149	REHAB/REPAIR DELHI	-	-	-	-	-	
30150	D25RVE- DELHI	-	-	-	-	-	
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-	
30152	D26RVE- FARMINGDALE	-	-	-	-	-	
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-	
30154	D27RVE- MORRISVILLE	-	-	-	-	-	
30351	STATE PARK INFRASTRUCTURE	129,793,542.38	136,488,285.83	152,875,485.00	(3,362,225.21)	149,513,259.79	
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-	
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-	
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-	
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-	
31506	HAZARDOUS WASTE CLEAN UP	148,031,256.04	151,593,144.51	162,947,165.36	(29,499,899.60)	133,447,265.76	
31701	YOUTH FACILITIES IMPROVEMENT	20,547,828.56	22,682,155.44	26,059,496.67	1,530,801.52	27,590,298.19	
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06	
31851	HOUSING PROG FD-HSG TR FD CORP	212,292,338.15	247,660,421.51	329,472,383.51	5,556,000.00	335,028,383.51	
31852	HOUSING PROG FD AFFORD HSG CORP	63,062,793.25	63,062,793.25	63,062,793.25	-	63,062,793.25	
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	290,645,171.53	290,645,171.53	290,645,171.53	-	290,645,171.53	
31854	HOUSING PROG FD-HFA	-	-	-	-	-	
31951	HIGHWAY FAC PURPOSE	12,015,920.55	12,015,920.55	12,015,920.55	-	12,015,920.55	
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00	

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	March 31, 2024	April 30, 2024	May 31, 2024	Change	June 30, 2024	
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-	
32215	IT CAPITAL FINANCING ACCT	1,063.25	1,068.08	1,072.79	2,498.05	3,570.84	
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-	
32230	DFS IT MODERNIZATION CAP ACCOUNT	-	-	-	13,411.90	13,411.90	
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-	
32302	DSAS-COMMUINTY FACILITIES	-	-	-	-	-	
32303	OMH-COMMUNITY FACILITIES	132,128,610.20	138,801,364.20	142,490,030.47	3,725,417.99	146,215,448.46	
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-	
32305	OASAS-COMMUNITY FACILITIES	261,190,141.46	261,885,341.54	264,460,341.54	3,531,972.89	267,992,314.43	
32306	DASNY - OMH ADMIN	-	-	-	-	-	
32307	DASNY - OPWDD ADMIN	9,672,598.21	9,672,598.21	13,483,098.21	-	13,483,098.21	
32308	DASNY - OASAS ADMIN	332,548.15	1,406,798.15	1,406,798.15	-	1,406,798.15	
32309	OMH -STATE FACILITIES	176,850,234.13	186,000,188.84	207,373,228.59	(21,310,474.34)	186,062,754.25	
32310	OPWDD -STATE FACILITIES	28,660,388.21	31,134,897.05	33,243,379.11	(1,942,359.70)	31,301,019.41	
32311	OASAS -STATE FACILITIES	5,635,796.38	5,941,585.45	6,614,833.93	353,654.42	6,968,488.35	
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-	
32352	DOCS-REHABILITATION PROJECTS	161,297,594.03	178,770,424.00	220,296,455.59	27,430,217.60	247,726,673.19	
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-	
33001	STORM RECOVERY ACCOUNT	33,464,424.38	33,464,424.38	33,464,424.38	-	33,464,424.38	
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	**1,743,172,808.69**	**1,784,342,567.90**	**1,979,224,254.30**	**(20,166,993.07)**	**1,959,057,261.23**	
	STATE SPECIAL REVENUE FUNDS						
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-	
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-	
20810	CHILD HEALTH INSURANCE	-	60,427,067.89	133,036,807.25	(133,036,807.25)	-	
20818	EPIC PREMIUM ACCOUNT	-	-	-	-	-	
20901	LOTTERY-EDUCATION	-	-	-	-	-	
20904	VLT EDUCATION	-	-	-	-	-	
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-	
21002	ENCON ADMIN ACCT	-	-	-	-	-	
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-	
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	418.66	418.66	418.66	-	418.66	
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	-	-	547,044.65	694,570.85	1,241,615.50	
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	593,102.59	95,340.58	340,308.87	125,049.71	465,358.58	
21067	ENCON-RECREATION	-	-	-	-	-	
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-	
21081	ENVIRONMENTAL REGULATORY	86,934,611.03	86,798,889.60	89,455,811.10	4,070,792.26	93,526,603.36	
21082	NATURAL RESOURCES ACCOUNT	2,959,044.23	3,129,707.43	3,627,431.40	69,666.45	3,697,097.85	
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-	
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-	
21201	AUDIT AND CONTROL OIL SPILL	-	-	-	-	-	
21202	HEALTH DEPT OIL SPILL	-	-	1,813.63	(1,813.63)	-	
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	-	5,597.34	10,110.49	15,707.83	
21204	OIL SPILL COMPENSATION	-	-	-	-	-	
21205	LICENSE FEE SURCHARGES	-	-	-	-	-	
21206	DEPT OF LAW OIL SPILL	-	-	-	-	-	
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-	
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-	-	
21451	OPERATING PERMIT PROGRAM	46,001,692.11	46,492,015.47	47,292,480.77	471,053.85	47,763,534.62	
21452	MOBILE SOURCE	-	-	-	-	-	
21902	HEALTH-SPARC'S	-	-	-	-	-	
21905	THRUWAY AUTHORITY ACCT	-	-	-	13,589.48	13,589.48	
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-	(****)
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-	(****)
21911	FINANCIAL CONTROL BOARD	769,234.67	97,572.60	462,311.95	222,428.13	684,740.08	
21912	RACING REGULATION ACCOUNT	2,852,569.82	2,535,556.11	2,353,546.90	166,593.94	2,520,140.84	
21937	SU DORM INCOME REIMBURSE	-	104,548.92	-	312,832.63	312,832.63	
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-	
21959	ENV LAB REF FEE	-	-	-	-	-	
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	356,373.75	340,673.06	347,129.99	(119,739.98)	227,390.01	
21962	CLINICAL LAB FEE	12,457,473.29	12,234,418.61	12,522,540.97	650,686.78	13,173,227.75	
21978	INDIRECT COST RECOVERY	-	-	1,551,595.47	1,876,097.60	3,427,693.07	
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-	
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-	
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-	
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-	
22007	PARKING ACCOUNT	3,311,003.15	2,912,444.20	3,182,142.70	137,243.26	3,319,385.96	
22008	COURTS SPECIAL GRANTS	-	-	-	-	-	
22009	ASBESTOS SAFETY TRAINING	-	-	-	-	-	
22032	BATAVIA SCHOOL FOR THE BLIND	9,555,448.71	10,157,517.19	10,748,136.99	(1,400,054.50)	9,348,082.49	
22034	INVESTMENT SERVICES	-	-	-	-	-	
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-	
22039	FINANCIAL OVERSIGHT	1,078,531.05	164,525.13	675,094.55	288,551.70	963,646.25	
22046	REGULATION INDIAN GAMING	123,947,272.26	124,400,867.52	125,596,553.33	720,597.77	126,317,151.10	

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	March 31, 2024	April 30, 2024	May 31, 2024	Change	June 30, 2024	
22053	ROME SCHOOL FOR THE DEAF	5,866,776.50	4,220,304.85	5,268,317.72	(1,766,199.58)	3,502,118.14	
22054	DSP-SEIZED ASSETS	-	-	-	-	-	
22055	ADMINISTRATIVE ADJUDICATION	60,863,051.49	60,097,326.28	60,136,205.67	3,468,861.61	63,605,067.28	
22062	NYC ASSESSMENT ACCT	-	-	-	-	-	
22063	CULTURAL EDUCATION ACCOUNT	1,750,529.06	1,348,292.34	3,218,979.45	(1,118,745.10)	2,100,234.35	
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-	
22085	DHCR MORTGAGE SERVICES	-	-	-	-	-	
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-	
22099	VOTING MACHINE EXAMINATIONS ACCOUNT	-	-	-	-	-	
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	16,907,855.17	16,872,963.83	17,259,277.73	84,660.91	17,343,938.64	
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-	
22134	RESTITUTION ACCOUNT	-	-	-	-	-	
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-	
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-	
22151	DEFERRED COMPENSATION ADMIN	132,140.82	-	71,694.90	57,091.69	128,786.59	
22156	RENT REVENUE OTHER - NYC	-	-	-	-	-	
22158	RENT REVENUE	-	-	-	-	-	
22165	TRANSPORTATION AVIATION ACCOUNT	-	-	-	-	-	
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-	
22211	NEW YORK STATE CAMPAIGN FINANCE FUND ACCOUNT	-	-	5,200,652.18	2,588,374.05	7,789,026.23	
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	3,288,036.66	3,350,300.94	3,494,379.41	163,150.51	3,657,529.92	
22246	BEHAVIORAL HEALTH PARITY COMPLIANCE FUND	-	-	-	-	-	
22255	PHARMACY BENEFIT MANAGER REGULATORY FUND	3,403,116.38	3,545,090.15	4,026,992.20	400,624.33	4,427,616.53	
22262	VIRTUAL CURRENCY FUND	9,551,052.20	10,017,593.56	11,321,048.90	799,291.48	12,120,340.38	
22654	S.U. NON-RESIDENT REV. OFFSET	22,296,205.33	22,397,561.38	22,496,062.19	102,029.95	22,598,092.14	
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-	
23001	DOT - HIGHWAY SAFETY PRGM	23,623,209.05	23,879,221.02	24,181,644.55	(295,410.45)	23,886,234.10	
23102	DOH DRINKING WATER PROGRAM	-	-	-	-	-	
23151	NYCCC OPERATING OFFSET	29,295,597.23	29,087,822.86	34,295,698.98	2,862,599.65	37,158,298.63	
23701	COMMERCIAL GAMING REVENUE ACCOUNT	-	-	-	-	-	
23702	COMMERCIAL GAMING REGULATION	26,818,934.91	26,995,204.98	27,218,253.11	382,858.28	27,601,111.39	
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-	
23806	NYS SECURE CHOICE ADMIN	1,065,824.27	1,112,802.91	1,200,486.38	62,483.61	1,262,969.99	
24800	NEW YORK STATE CANNABIS REVENUE FUND	6,868,150.49	3,824,546.07	6,420,579.57	(6,420,579.57)	-	
24951	FANTASY SPORTS ADMINISTRATION	151,652.75	152,942.66	110,362.54	42,277.30	152,639.84	
24955	MOBILE SPORTS WAGERING FUND	-	-	-	-	-	
	TOTAL STATE SPECIAL REVENUE FUNDS	**502,698,907.63**	**556,793,536.80**	**657,667,402.00**	**(123,315,181.79)**	**534,352,220.21**	
	FEDERAL FUNDS						
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	76,372,478.31	57,039,170.95	49,846,741.36	22,447,649.21	72,294,390.57	
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	2,053,304,861.38	897,221,440.88	267,770,816.64	929,998,901.33	1,197,769,717.97	
25200-25249	FEDERAL EDUCATION GRANTS FUND	66,742,861.00	72,453,314.96	68,352,372.52	54,564,924.34	122,917,296.86	
25300-25899	FEDERAL OPERATING GRANTS FUND	427,395,650.09	425,571,673.97	394,868,891.88	112,073,716.77	506,942,608.65	
31354	DEPARTMENT OF TRANSPORTATION	354,293,433.32	333,267,299.07	378,359,400.40	(18,686,445.38)	359,672,955.02	
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	251,662,723.60	148,442,304.16	144,971,019.34	838,748.89	145,809,768.23	
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	39,344,309.87	32,437,173.88	37,646,869.01	(5,147,640.31)	32,499,228.70	
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	507,222.52	493,280.55	486,758.01	14,108.00	500,866.01	
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	10,323,366.80	3,605,065.13	7,994,285.58	(4,129,904.64)	3,864,380.94	
	TOTAL FEDERAL FUNDS	**3,279,946,906.89**	**1,970,530,723.55**	**1,350,297,154.74**	**1,091,974,058.21**	**2,442,271,212.95**	(**)
	AGENCY FUNDS						
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	196,633,231.94	-	95,163,221.39	95,163,221.39	
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-	
	TOTAL AGENCY FUNDS	**-**	**196,633,231.94**	**-**	**95,163,221.39**	**95,163,221.39**	
	ENTERPRISE FUND						
50318	OGS CONVENTION CENTER ACCOUNT	748,424.45	753,267.32	579,396.01	66,353.27	645,749.28	
50327	EMPIRE PLAZA GIFT SHOP	362,365.19	359,248.10	378,614.14	44,847.84	423,461.98	
50651	INTEREST ASSESSMENT ACCOUNT	-	-	-	-	-	
	TOTAL ENTERPRISE FUND	**1,110,789.64**	**1,112,515.42**	**958,010.15**	**111,201.11**	**1,069,211.26**	
	INTERNAL SERVICE FUNDS						
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-	
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-	
55003	CENTRALIZED SERVICES-PRINTING	337,538.61	292,004.01	384,348.47	(34,188.88)	350,159.59	
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	1,080,028.87	1,226,170.62	1,349,154.24	180,102.27	1,529,256.51	
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-	
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	93,828.12	92,888.41	95,558.97	(600.00)	94,958.97	
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	1,756,847.73	1,599,214.48	1,628,409.95	441,964.57	2,070,374.52	
55008	CENTRALIZED SERVICES-PASNY	-	1,887,248.15	3,961,006.98	361,681.46	4,322,688.44	
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-	
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	14,872,110.39	15,403,821.58	12,145,734.84	(644,234.99)	11,501,499.85	
55011	CENTRALIZED SERVICES-INSURANCE	86,697.46	-	856,925.69	(856,925.69)	-	
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	255,230.08	232,417.08	228,754.08	(1,209.00)	227,545.08	

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	March 31, 2024	April 30, 2024	May 31, 2024	Change	June 30, 2024
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-IMMICS	192,953.00	295,289.73	482,832.89	(88,853.91)	393,978.98
55017	DOWNSTATE WAREHOUSE	471,054.04	505,340.07	536,196.24	(235,896.91)	300,299.33
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	20,028,757.92	15,140,500.51	15,191,176.97	892,749.73	16,083,926.70
55021	NYS MEDIA CENTER	6,040,325.93	6,048,245.74	6,723,773.44	29,752.17	6,753,525.61
55022	BUSINESS SERVICES CENTER	2,445,922.12	4,816,905.41	7,405,083.36	(7,405,083.36)	-
55052	ARCHIVES RECORD MGMT I.S.	717,422.69	772,138.12	925,528.91	131,882.07	1,057,410.98
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55055	CIVIL SERVICE ADMINISTRATION ACCOUNT	3,376,517.61	3,073,779.52	2,015,607.68	2,004,323.84	4,019,931.52
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	-	27,816.36	1,190.50	79,774.30	80,964.80
55058	CULTURAL RESOURCE SURVEY	4,732,403.54	4,922,946.16	5,359,726.15	238,564.63	5,598,290.78
55059	NEIGHBOR WORK PROJECT	-	-	938,923.38	(118,235.16)	820,688.22
55060	AUTOMATIC/PRINT CHARGBACKS	-	556,325.49	2,533,868.15	1,198,553.93	3,732,422.08
55061	OFT NYT ACCT	-	-	-	-	-
55062	DATA CENTER ACCOUNT	7,599,983.41	7,599,983.41	7,451,996.41	-	7,451,996.41
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	560,481.55	603,536.80	643,431.12	39,821.13	683,252.25
55069	CENTRALIZED TECHNOLOGY SERVICES	-	-	-	-	-
55071	LABOR CONTACT CENTER ACCT	217,976.13	320,772.59	664,436.63	(402,618.68)	261,817.95
55072	HUMAN SERVICES CONTACT CNTR ACCT	2,473,000.11	3,222,341.84	4,697,210.65	(1,618,415.42)	3,078,795.23
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	6,388,454.95	6,499,625.00	6,813,308.10	208,409.78	7,021,717.88
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	61,621,388.89	63,806,344.07	68,033,882.18	4,833,144.78	72,867,026.96
55300	HEALTH INSURANCE INTERNAL SERVICE	-	-	-	1,557,799.76	1,557,799.76
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	-	79,819.82	-	-	-
55350	CORR INDUSTRIES INTERNAL SERVICE	-	-	-	-	-
	TOTAL INTERNAL SERVICE FUNDS	136,610,507.42	140,287,059.24	152,329,650.25	792,262.42	153,121,912.67
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	$ 5,663,539,920.27	$ 4,649,699,634.85	$ 4,140,476,471.44	$ 1,044,558,568.27	$ 5,185,035,039.71

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part XX, Section 1, of the Laws of 2024-25.
The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance.
Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.
The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments.
Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

(****) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part PP, Section 1, of the Laws of 2023-24.

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2024-2025

APPENDIX G

	2024 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2025 JANUARY	FEBRUARY	MARCH	3 Months Ended June 30, 2024
OPENING CASH BALANCE	$ 29,144,124	$ 66,528,477	$ 63,960,269										$ 29,144,124
RECEIPTS:													
Transfers from General Fund (**)	90,000,000	-	50,000,000										140,000,000
Other	-	-	-										-
Total Receipts	**90,000,000**	**-**	**50,000,000**	-	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**140,000,000**
DISBURSEMENTS:													
Affordable and Homeless Housing	-	-	-										-
Broadband Initiative	1,547,276	-	1,236,649										2,783,925
Downtown Revitalization	998,067	409,807	-										1,407,874
Empire State Poverty Reduction Initiatives	-	-	-										-
Health Care / Hospital Initiatives	-	-	-										-
Infrastructure Improvements	8,206	-	-										8,206
Life Sciences Initiative	1,004,500	445,000	911,366										2,360,866
Municipal Restructuring / Consolidation Competition	509,576	808,810	1,276,147										2,594,533
Orchard Park Stadium	48,469,000	-	-										48,469,000
Penn Station Access	-	-	-										-
Resiliency, Mitigation, Security and Emergency Response	-	-	-										-
Southern Tier / Hudson Valley Farm Initiative	42,280	(10,711)	620,187										651,756
Transformative Economic Development Projects	37,529	103,489	4,651,243										4,792,261
Transportation Capital Plan	-	-	-										-
Upstate Revitalization Program	(787)	811,813	4,415,391										5,226,417
Total Disbursements	**52,615,647**	**2,568,208**	**13,110,983**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**68,294,838**
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-										-
Total Operating Transfers	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**
Total Disbursements and Transfers	**52,615,647**	**2,568,208**	**13,110,983**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**68,294,838**
CLOSING CASH BALANCE	$ 66,528,477	$ 63,960,269	$ 100,849,286	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 100,849,286

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

STATE OF NEW YORK **APPENDIX H**

MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS(*)

FISCAL YEAR 2024-2025

	JUNE 2024			3 MONTHS ENDED JUNE 30		
	Department of Health	Other State Agencies	June	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	$ -	$ 84,633,913.00	$ 84,633,913.00	$ -	$ 84,633,913.00	$ 84,633,913.00
State Share Medicaid	30,328,144.00	19,054,167.57	49,382,311.57	30,328,144.00	20,732,794.36	51,060,938.36
Medical Assistance Administration	13,556,098.98	76,957,220.00	90,513,318.98	40,636,839.53	109,529,340.00	150,166,179.53
Medical Assistance (OPWDD)	-	283,238,822.00	283,238,822.00	-	283,238,822.00	283,238,822.00
Traumatic Brain Injury Services	987,458.12	-	987,458.12	5,922,199.99	-	5,922,199.99
Nursing Home Transition & Diversion	-	-	-	1,842,000.00	-	1,842,000.00
Reducing Maternal Mortality	-	-	-	(10,653.23)	-	(10,653.23)
New York Connects	-	1,892,457.80	1,892,457.80	-	2,917,651.71	2,917,651.71
Vital Access Provider Services	-	-	-	-	-	-
Facilitated Enrollment	-	-	-	-	-	-
Managed Long-Term Care Ombudsman	-	-	-	792,331.94	-	792,331.94
General Hospitals Safety-Net Providers	10,800,000.00	-	10,800,000.00	14,003,000.00	-	14,003,000.00
AIDS Epidemic	574,495.93	-	574,495.93	2,171,598.07	-	2,171,598.07
Expanding Caregiver Support Services	2,161,996.64	-	2,161,996.64	4,250,318.15	-	4,250,318.15
Provide Affordable Housing	973,027.51	1,477,049.00	2,450,076.51	5,919,961.57	3,173,015.50	9,092,977.07
Community Provider Network	666,901.50	-	666,901.50	2,467,573.48	-	2,467,573.48
Inpatient Services	80,813,076.35	-	80,813,076.35	295,637,424.97	-	295,637,424.97
Patient Centered Medical Homes	-	-	-	-	-	-
Outpatient & Emergency Room Services	16,442,553.94	-	16,442,553.94	57,004,557.09	-	57,004,557.09
Clinic Services	24,154,818.03	-	24,154,818.03	71,463,508.80	-	71,463,508.80
Nursing Home Services	117,503,918.53	-	117,503,918.53	374,934,742.52	-	374,934,742.52
Other Long Term Care Services	(188,633,019.69)	-	(188,633,019.69)	1,844,027,241.28	-	1,844,027,241.28
Managed Care Services	(188,327,754.05)	-	(188,327,754.05)	948,322,512.20	-	948,322,512.20
Pharmacy Services	146,887,450.51	-	146,887,450.51	453,064,476.31	-	453,064,476.31
Transportation Services	23,914,336.02	-	23,914,336.02	75,275,059.67	-	75,275,059.67
Dental Services	249,421.63	-	249,421.63	820,237.71	-	820,237.71
Non-Institutional & Other	733,481,296.81	221,446.00	733,702,742.81	2,726,413,234.04	1,149,241.00	2,727,562,475.04
Medical Services State Facilities	89,669,259.55	-	89,669,259.55	465,452,595.76	-	465,452,595.76
CSEA Family Health Plus Buy In	193,026.25	-	193,026.25	575,149.26	-	575,149.26
Medical Assistance (HCRA)	400,000,000.00	-	400,000,000.00	1,050,000,000.00	-	1,050,000,000.00
Indigent Care	66,969,453.52	-	66,969,453.52	139,632,185.70	-	139,632,185.70
Provider Assessments	84,167,000.00	-	84,167,000.00	255,362,000.00	-	255,362,000.00
Ryan White Clinics	1,490,675.00	-	1,490,675.00	2,942,449.00	-	2,942,449.00
Additional DSH Payments SUNY	294,023,841.98	-	294,023,841.98	294,023,841.98	-	294,023,841.98
TOTAL(**)	**1,763,047,477.06**	**467,475,075.37**	**2,230,522,552.43**	**9,163,274,529.79**	**505,374,777.57**	**9,668,649,307.36**
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(441,459,022.75)	-	(441,459,022.75)	(842,805,364.54)	-	(842,805,364.54)
TOTAL REPORTED MEDICAID	**$ 1,321,588,454.31**	**$ 467,475,075.37**	**$ 1,789,063,529.68**	**$ 8,320,469,165.25**	**$ 505,374,777.57**	**$ 8,825,843,942.82**

(*) General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
Department of Health regularly reclassifies spending between programs,
and therefore amounts for any individual program may be restated by DOH.

(**) Source: Statewide Financial System

STATE OF NEW YORK **APPENDIX I**

MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS(*)

FISCAL YEAR 2024-2025

	JUNE 2024			3 MONTHS ENDED JUNE 30		
	Department of Health	Other State Agencies	June	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	$ 29,480,743.33	$ -	$ **29,480,743.33**	$ 62,744,857.18	$ -	$ **62,744,857.18**
Medical Assistance Administration	70,764.00	74,631,991.00	**74,702,755.00**	230,330.50	100,140,554.00	**100,370,884.50**
Inpatient Services	401,977,245.50	-	**401,977,245.50**	1,090,442,339.87	-	**1,090,442,339.87**
Outpatient & Emergency Room Services	31,253,339.82	-	**31,253,339.82**	115,136,912.63	-	**115,136,912.63**
Clinic Services	56,618,322.12	-	**56,618,322.12**	172,914,056.48	-	**172,914,056.48**
Nursing Home Services	142,511,035.50	-	**142,511,035.50**	456,843,729.61	-	**456,843,729.61**
Other Long Term Care Services	1,377,352,740.76	-	**1,377,352,740.76**	4,942,110,165.40	-	**4,942,110,165.40**
Managed Care Services	1,016,553,411.41	-	**1,016,553,411.41**	3,469,406,268.56	-	**3,469,406,268.56**
Pharmacy Services	542,982,895.43	-	**542,982,895.43**	1,812,012,417.84	-	**1,812,012,417.84**
Transportation Services	64,418,376.40	-	**64,418,376.40**	204,485,778.22	-	**204,485,778.22**
Dental Services	527,119.03	-	**527,119.03**	1,756,856.16	-	**1,756,856.16**
Non-Institutional & Other	(22,637,255.95)	5,442,973.00	**(17,194,282.95)**	(80,705,614.51)	7,684,263.00	**(73,021,351.51)**
American Rescue Plan	478,648,641.79		**478,648,641.79**	478,648,641.79		**478,648,641.79**
Medical Services State Facilities	461,075,889.81	-	**461,075,889.81**	477,700,889.81	-	**477,700,889.81**
Additional DSH Payments SUNY	294,023,842.02	-	**294,023,842.02**	294,023,842.02	-	**294,023,842.02**
TOTAL(**)	**4,874,857,110.97**	**80,074,964.00**	**4,954,932,074.97**	**13,497,751,471.56**	**107,824,817.00**	**13,605,576,288.56**
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	(495,646,268.77)	-	(495,646,268.77)	(943,568,759.26)	-	(943,568,759.26)
TOTAL REPORTED MEDICAID(***)	**$ 4,379,210,842.20**	**$ 80,074,964.00**	**$ 4,459,285,806.20**	**$ 12,554,182,712.30**	**$ 107,824,817.00**	**$ 12,662,007,529.30**

(*) Special Revenue Federal Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.

(**) Source: Statewide Financial System

(***) Reported Medicaid spending does not include the Basic Health Plan.