NYS Department of State Division of Consumer Protection,
Utility Intervention Unit
Consulting Services for NYSEG and RG&E Electric 2025 Rate Cases

REQUEST FOR QUOTATIONS RFQ #*s 25-UIU-20, 25-UIU-21, 25-UIU-22, 25-UIU-23, 25-UIU-24, 25-UIU-25 and 25-UIU-26*

The responses to questions included herein are official responses by the Department of State (DOS) to questions submitted by potential proposers and are hereby incorporated into the Request for Quotations RFQ #s 25-UIU-20, 25-UIU-21, 25-UIU-22, 25-UIU-23, 25-UIU-24, 25-UIU-25 and 25-UIU-26 issued on July 17, 2025. In the event of any conflict between the Request for Quotes and these responses, the requirements or information contained in these responses will prevail.

Q1.

- **Does the New York Department of State Utility Intervention Unit (UIU) have a budget cap for this work? If yes, can the UIU please provide the budget cap?**
- **What's the maximum budget of each RFQ? What if the project requires more than the projected hours?**
- **If the UIU does not have a budget cap, how will the budget (i.e., contract amount) for this work be determined? Will it be based on the Quotation Sheet (Attachment 1) that distributes 175 billable hours among staff levels proposed by the Consultant?**

A1. Since this is a competitive procurement that includes an evaluation of cost, the budget allocated for this work cannot be disclosed. As stated in the RFQ, Section VIII, Part II, 2. and the Quotation Sheet, the estimated hours listed are for bid purposes only and are no guarantee of hours under this contract. The actual estimated hours to be worked will be determined during contract negotiation prior to execution and payment will be made for actual hours worked during the course of the contract. In their proposal, bidders should detail how the estimated 175 hours would be split among the staff titles proposed. Bidders should not propose anticipated total hours to be worked

Q2. The RFQ Part I - Technical Proposal, Part 1 (c) states that the proposal package "must include a sample listing of the Consultant's utility rate case work comparable to the project set forth in this RFQ showing three (3) years of experience," and that experience should be within the last 5 years. How many work samples should bidders include in the list? Do you want public testimony for all of them?

A2. There is no requirement for the number of work samples to include in the listing. As stated in Part I – Technical Proposal, Section 1d, "The Proposal Package must include the public version of filed testimony pertaining to the three (3) year sample listing provided in (c) above."

Q3. To prepare an informed and accurate quotation, we would appreciate it if you could provide more details regarding the scope of work and expectations for this engagement.

A3. There are no other details or expectations that can provided other than what is already in the RFQ. As stated in Section III, Scope of Work "The Contractor is expected to focus on issues that have the highest potential impact on consumers...."

Q4. What's the expected start date?

A4. UIU expects the winning contractor to start immediately upon execution of the final contract.

Q5. Why have you broken down in 6 RFQs and do you expect to hire up to six contractors? It makes common sense to hire one consulting firm for each PSC and Rochester.

A5. We expect to hire/award one contractor for each RFQ. Requirements dictate that providing for multiple awards expands opportunity for eligible entities interested in these bidding opportunities.

Q6. Is this project set aside for companies under Article 15A? If not, why?

A6. No, this project is not being let as an MWBE set aside. Certified vendors found through a search of the MWBE directory have been sent the RFQs for consideration.

Q7. Would you accept comparable experience in similar cases, if direct experience with the PSC projects is available?

A7. Yes