NYS Department of State Division of Consumer Protection
Utility Intervention Unit
Consulting Services for KEDNY & KEDLI 2026 Rate Cases

REQUEST FOR QUOTATIONS RFQ #s 26-UIU-23, 26-UIU-24, 26-UIU-25

The responses to questions included herein are official responses by the State to questions submitted by potential proposers and are hereby incorporated into the Request for Quotations RFQ #s 26-UIU-23, 26-UIU-24, 26-UIU-25 issued on April 9, 2026. In the event of any conflict between the Request for Quotes and these responses, the requirements or information contained in these responses will prevail.

Q1. Teaming / subcontractor experience- May a proposer satisfy the required subject-matter experience, including utility rate-case modeling and expert-testimony experience, through proposed subcontractors or team members, where the prime contractor provides overall project management and coordination?

A1. Proposers may satisfy the required subject-matter experience through the use of subcontractors, however the proposer must provide the majority, or more than 50 percent, of the work detailed in the contract work plan throughout the course of the contract. Please see Section XV.C., Subcontracting, in the RFQ for additional requirements.

Q2. Experience of key personnel from prior employers - May the proposal rely on comparable utility rate-case work, filed testimony, and Commission orders performed by proposed key personnel while at prior employers, provided those individuals are committed to this engagement?

A2. Yes

Q3. Bidding on multiple RFQs - If a firm submits proposals for more than one of these RFQs, may the same key personnel be proposed across multiple RFQs? If so, are there any specific expectations regarding demonstrating staff availability and capacity across assignments?

A3. Yes. The only expectation would be that the vendor would demonstrate in their proposal the ability to complete the required tasks on which they are bidding.

Q4. Comparable experience from other jurisdictions - For the required sample listing of comparable utility rate-case work and filed testimony, will experience before public utility commissions outside New York be considered acceptable if the subject matter is directly comparable?

A4. Yes. UIU is seeking Respondents with an extensive background participating and testifying in utility rate cases before a Public Service Commission ("Commission") to provide technical advice, guidance, and analysis to the UIU**,**

Q5. 175-hour quotation sheet - The quotation sheet requires pricing based on 175 billable hours for bid purposes. Please confirm whether actual hours may differ materially from 175, and if so, how additional authorized work would be handled contractually.

A5. As stated in the RFQ, Section VIII, Part II, 2. and the Quotation Sheet, the estimated hours listed are for bid purposes only and are no guarantee of hours under this contract. The actual estimated hours to be worked will be determined during contract negotiation prior to execution and payment will be made for actual hours worked during the course of the contract. In their proposal, bidders should detail how the estimated 175 hours would be split among the staff titles proposed. Bidders should not propose anticipated total hours to be worked.

Q6. Travel and in-person appearances - Because the RFQs state that travel is not anticipated and will not be separately reimbursed, should proposers assume that any required in-person appearance to sponsor testimony must be included within the proposed hourly rates?

A6. No in-person appearances are anticipated under this contract.

Q7. MWBE / SDVOB participation approach - Where the proposer expects to self-perform most of the work because of the specialized expert nature of the engagement, should the proposer submit MWBE and SDVOB utilization plans reflecting limited participation, or does the Department prefer waiver documentation at the time of proposal submission?

A7. There is no requirement to submit any MWBE forms with this response. MWBE goals will be assessed once the contract is awarded and any documentation needed from the vendor will be requested at that time.

Q8. Proposal format - Other than the requirements stated in the RFQs, are there any page limits, formatting requirements, or preferred organizational conventions for the Technical Proposal?

A8. No