September 16, 2026
Vol. XLVIII
Issue 37

DEPARTMENT OF STATE
Division of Administrative Rules

NEW YORK STATE REGISTER

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- Reclassification of Newtown Creek
- Municipal Consumer Warranty on Emergency Vehicles – Arbitration Program Regulations

Notice of Availability of State and Federal Funds

The last date for submission of public comments is indicated on each Notice of Proposed Rule Making. Unless a different date is specified by statute, the proposing agency must accept comments for at least: 60 days after the date of *Register* publication of a Notice of Proposed Rule Making or combined Notice of Emergency Adoption and Proposed Rule Making; and 45 days after publication of a Notice of Revised Rule Making or combined Notice of Emergency Adoption and Revised Rule Making. When a public hearing on a proposed rule is statutorily required: the hearing may not be held until at least 60 days after the publication date of the notice; and comments must be accepted for at least 5 days after the last required hearing. When a public comment period for a proposed rule is scheduled to end on a Saturday, Sunday or public holiday, comments are accepted through the next succeeding business day.

For notices published in this issue:
– the 60-day period expires on November 15, 2026
– the 45-day period expires on October 31, 2026

KATHY HOCHUL
GOVERNOR

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NEW YORK STATE DEPARTMENT OF STATE

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*The **New York State Register** (ISSN 0197 2472) is published weekly. Subscriptions are $80 per year for first class mailing and $40 per year for periodical mailing. The **New York State Register** is published by the New York State Department of State, One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231-0001. Periodical postage is paid at Albany, New York and at additional mailing offices.*

POSTMASTER: Send address changes to NY STATE REGISTER, the Department of State, Division of Administrative Rules, One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231-0001

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NEW YORK STATE
REGISTER

Be a part of the rule making process!

Public comment on proposed rules is encouraged and may be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address is printed in the rule making notice. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (dos.ny.gov/state-register) may send public comment via electronic mail to e-mail addresses that may be provided in Notices of Proposed Rule Making. This includes Proposed, Emergency/Proposed, Revised Proposed and Emergency/Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The State Administrative Procedure Act provides for a minimum 60-day public comment period after publication in the *Register* for Notices of Proposed Rule Making, and a 45-day public comment period for Notices of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date of the public comment period.

When a public comment period would end on a Saturday or Sunday, the agency accepts public comment through the following Monday; when the comment period ends on a public holiday, public comment will be accepted through the next succeeding business day. Agencies cannot adopt a proposed rule until the day after the conclusion of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Public comment may be sent via electronic mail to e-mail addresses that may appear in Notices of Proposed Rule Making. This includes Proposed, Emergency/Proposed, Revised Proposed and Emergency/Revised Proposed rule makings.

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RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Agriculture and Markets

NOTICE OF ADOPTION

Voluntary Program for the Production of Virus-Tested Plant Materials

I.D. No. AAM-25-26-00002-A
Filing No. 806
Filing Date: 2026-09-01
Effective Date: 2026-09-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Repeal of Part 150; addition of new Part 150 to Title 1 NYCRR.

Statutory authority: Agriculture and Markets Law, sections 18, 164 and 167

Subject: Voluntary Program for the Production of Virus-Tested Plant Materials.

Purpose: Amend the testing requirements from twice per growing season to once per growing season.

Text or summary was published in the June 24, 2026 issue of the Register, I.D. No. AAM-25-26-00002-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Christopher Logue, Director, Department of Agriculture and Market, 10B Airline Drive, Albany, NY 12235, (518) 457-2087, email: christopher.logue@agriculture.ny.gov

Assessment of Public Comment
The agency received no public comment.

PROPOSED RULE MAKING HEARING(S) SCHEDULED

Examination of Livestock, Domestic Animal Health Permits, Movement and Transfer of Horses, Vesicular Stomatitis

I.D. No. AAM-37-26-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 51.1, 61.1, 61.2, 61.3, 61.6, 64.1-64.9 and 67.1 of Title 1 NYCRR.

Statutory authority: Agriculture and Markets Law, sections 18, 72 and 74

Subject: Examination of Livestock, Domestic Animal Health Permits, Movement and Transfer of Horses, Vesicular Stomatitis.

Purpose: Update regulations based on current science to prevent unnecessary risk of spread of infectious disease.

Public hearing(s) will be held at: 1:30 p.m., Nov. 24, 2026 at Department of Agriculture and Markets, 10b Airline Dr., Albany, NY.

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Substance of proposed rule (Full text is posted at the following State website: https://agriculture.ny.gov/laws-regulations): Section 51.1 is amended to change "rectal" to "internal" and include specific agreements approved by the New York State Department of Agriculture and Markets.

Sections 61.1 is amended to add swine, cervidae, camelidae, sheep, goats, and other equidae to livestock categories where records must be kept by domestic animal health permit holders (DAHP).

Section 61.2(b) is amended to require DAHP holders to also record known electronic identification (EID) carried by the animal. Section 61.2(c) is amended to require recording of the physical location of the animal at the time of purchase by or consignment to the permit holder, or recording of the location prior to consignment at a livestock market, if that local is different from the address of owner. Section 61.2(e) is amended to include markings. Section 61.2(f) is amended to include "laboratory name, results" and "including all tag or other identifying numbers of any animals recorded on any tests". Section 61.2(g) is amended to include "or other equid."

Section 61.3 is amended to add "swine, cervidae, camelidae, sheep, goats, and other equidae," and to include adoption, lease, rescue placement, and gifting to forms of acquisition.

Section 61.6 is amended to add "swine, cervidae, camelidae, sheep, goats, and other equidae" and to increase the period of record retention from four to five years.

Section 64.1(a)(2) is amended to include "Category II USDA accredited," "electronically submitted" and to set a requirement that the certificate of veterinary inspection (CVI) is submitted within seven days. Section 64.1(a)(3) is repealed. Section 64.1(b) is added and requires any horse or other equid exported from the State of New York be accompanied by a certificate of CVI signed by a Category II USDA accredited veterinarian licensed and accredited by the State.

Section 64.2(a)-(c) are amended to remove references to the Equine Interstate Passport and expand the information required to be recorded on the CVI.

Section 64.2 (d) is added to read as follows: All Equine Interstate Passports (EIP) issued prior to the adoption of these regulations will be honored through the expiration date listed on the EIP.

Section 64.3(a) is repealed. Section 64.3(b) is amended to remove the subdivision letter.

Section 64.4(a) is amended to clarify the requirements for any foal under six months of age being imported without its equine infection anemia (EIA) test-negative dam. Section 64.4(c) is amended to substitute "United States Department of Agriculture" with "USDA." Section 64.4(d)(1) is amended to include "electronic identification number, if any." Section 64.4(d)(3) is amended to include "name, address, phone number, National Accreditation Number of veterinarian, and." Section 64.4(d)(4) is amended to include "laboratory accession number, test result, and." Section 64.1(d)(5) is added as follows: "if the EIA test was drawn in the State of New York, either a three-panel illustration for a paper submission or three unobscured digital photographs for electronic test records, both consisting of the animal's head, left side of the body, and right side of the body." Section 64.4(e)(1) is amended to change "dually licensed" to "Category II accredited." Section 64.4(f) is amended to change the requirement that test reports be maintained from 90 days to 12 months.

The title of Section 64.5 is amended to remove "horse slaughter" and rename the section "Importation for sale at an approved auction." Section 64.5 is further amended to remove all references to "horse slaughter" and to change "health certificate" to "certificate of veterinary inspection,"

Section 64.6 is amended to remove subdivision letter (a) and to include a requirement that vaccination be recorded on the CVI. Section 64.6(b) is repealed.

Section 64.7(a) is amended to clarify the requirements for any foal under six months of age being imported without its equine infection anemia (EIA) test-negative dam. Section 64.7(b) is amended to change "during the calendar year in which the movement takes place or in the preceding calendar year" to "the previous 12 months." Section 64.7(d)(1) is amended to include "electronic identification number." Section 64.7(2) is amended to include "and current address of animal at time of testing." Section 64.7(d)(5) is added as follows: "if the equine infectious anemia test was drawn in the State, either a three-panel illustration for a paper submission or three unobscured digital photographs for electronic test records, both consisting of the animal's head, left side of the body, and right side of the body." 64.7(e)(1) is amended to change "dually licensed" to "Category II USDA accredited."

Section 64.8(a) is amended to clarify the requirements for any foal under six months of age being imported without its equine infection anemia (EIA) test-negative dam. Section 64.8(c) is amended to add "an official laboratory." Section 64.8(c)(1) is amended to add "electronic identification number, if any." Section 64.8(c)(2) is amended to add "and current address of animal at time of testing." Section 64.8(c)(5) is added as follows: "laboratory accession number; and." Section 64.8(c)(6) is added as follows: "if the equine infectious anemia test was drawn in the State, either a three-panel illustration for a paper submission or three unobscured digital photographs for electronic test records, both consisting of the animal's head, left side of the body, and right side of the body." Section 64.8(d)(1) is amended to change "dually licensed" to "Category II USDA accredited." Section 64.8(e) is added as follows: "A new equine infectious anemia test must be drawn in the name of the new owner within 12 months of the sample date of the negative equine infectious anemia test received from the previous owner, regardless of date of transfer of ownership."

Section 64.9(a) removes all references to slaughter. Section 64.9(b) is added as follows: "Any horse or other equid moved from out-of-state to an approved auction with a current equine infectious anemia test result but no certificate of veterinary inspection may be moved without restriction, provided that the following conditions are met:

(1) the stockyard has been approved by the commissioner to receive horses or other equidae pursuant to this section and has agreed to comply with all the requirements of this section including, but not limited to, the maintenance of appropriate handling and restraint equipment, the reading of electronic identification devices (EID), and the conducting of physical examinations of any horse or other equid which arrive from out-of-state without a current certificate of veterinary inspection by a USDA Category II accredited veterinarian;

(2) the federally assigned premises identification numbers of all premises of origin of the animals shall be included on the owner-shipper statement, with the premises of origin being the farm or ranch where the animals originated and not a livestock market or dealer;

(3) if animals enter the State with individual, uniquely numbered EIDs, the EID numbers shall be included on the owner-shipper statement;

(4) prior to the required veterinary inspection and the preparation of an interstate certificate of veterinary inspection, any animal that enters under this section shall always be segregated from animals that originated in the State and from animals that entered the State with an interstate certificate of veterinary inspection; and

(5) prior to the release from segregation pens, a USDA Category II accredited veterinarian shall physically examine all animals in the pen and shall prepare an interstate certificate of veterinary inspection for those animals. If any animal shows signs of infectious, contagious or communicable disease that animal, and all animals exposed to that animal, shall be quarantined and, at the discretion of the commissioner, may be returned to the place of origin or be quarantined in isolation from all other animals at the owner's expense until the commissioner determines that the animals are not a threat to the State's livestock."

Section 64.9(c) is added to read as follows: "Upon arrival at an approved auction or livestock market, horses, or equidae that do not meet the provisions of sections 64.4, 64.7, or 64.8 of this Part, whether arriving from within the State or from another state, shall meet the following requirements:

(1) each animal is identified with a green equine waybill backtag applied to the left hip of the animal;

(2) if upon scanning the muzzle and both sides of the neck from the poll to withers with an International Organization for Standardization-compliant implanted EID reader, are found not to have EID, an official USDA approved Animal Identification Number implantable EID shall be placed in the left side of the neck in the nuchal ligament, halfway between the withers and the poll;

(3) the green equine waybill backtag will serve as a permit for a horse or other equid's movement to a single quarantine location and held in isolation away from other horses or equidae until negative equine infectious anemia test results are received. If an equine infectious anemia test is drawn by a USDA Category II accredited veterinarian pursuant to section 64.8 of this Part prior to movement from the market, buyers may purchase such equids identified with the equine waybill backtag and EID before equine infectious anemia test results are received. This equine infectious anemia test shall list the purchaser as the owner at time of sale;

(4) the EID and green backtag number must be typed or handwritten on the equine infectious anemia test sample submission; and

(5) the equine waybill backtag must remain affixed to the animal until the negative equine infectious anemia test results are received by the new owner."

Section 64.9(d) is added to read as follows: "Any horse or other equid seized by appropriate authorities may be exempt from the provisions of sections 64.7 or 64.8 of this Part provided that express permission to move was received by the commissioner, and:

(1) each animal is identified with a green equine waybill backtag applied to the left hip of the animal;

(2) if upon scanning the muzzle and both sides of the neck from the poll to withers with an International Organization for Standardization-compliant implanted EID reader, are found not to have EID, an official USDA-approved Animal Identification Number implantable EID shall be placed in the left side of the neck in the nuchal ligament, halfway between the withers and the poll, and a blood sample will be collected for equine infectious anemia testing;

(3) the green equine waybill backtag will serve as a permit for a horse or other equid's movement to a single quarantine location and held in isolation away from other horses and equidae until equine infectious anemia test results are received by the custodial agent of the seized horse or equid;

(4) the EID and green backtag number must be typed or handwritten on the equine infectious anemia test sample submission; and

(5) the equine waybill backtag must remain affixed to the animal until the negative results are received by the custodian of the horse or other equid."

Section 64.9(e) is added to read as follows: "Regulated entities shall comply with subdivisions (b), (c), and (d) of this section within one year of the adoption of this rulemaking."

Section 64.12 is repealed.

Section 67.1 is amended to remove "located within 10 miles of any place in which the disease vesicular stomatitis has been found to exist during the 30-day period" and "located within a county in which the disease vesicular stomatitis has been found to exist during the 14 days" is added.

Section 67.2 is amended to reduce the 30 day requirement to 14 days and adds "or electronically submitted" and "within seven days of issuance."

Text of proposed rule and any required statements and analyses may be obtained from: Division of Animal Industry, Department of Agriculture and Markets, 10B Airline Drive, Albany, NY 12233, (518) 457-3502, email: dai@agriculture.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: Five days after the last scheduled public hearing.

Regulatory Impact Statement

1. Statutory authority:

Agriculture and Market Law sections 18, 72, and 74 authorize the Commissioner of Agriculture and Markets (Commissioner) to promulgate rules

necessary to carry out the powers and duties of the Department of Agriculture and Markets (Department) as set forth in the Agriculture and Markets Law (AML).

2. Legislative objectives:

The statutory provisions pursuant to which these regulations are proposed are aimed at preventing infectious or communicable diseases affecting domestic animals from being brought into the State to control, suppress and eradicate such diseases and prevent the spread of infection and contagion.

3. Needs and benefits:

The proposed amendments will help the Department ensure that domestic animals in the State of New York (State) are free of infections, and/or are properly identified, traced and tested if diseased or exposed to specific infectious agents, including Equine Infectious Anemia (EIA) or other pathogens designated as infectious or communicable disease by the Commissioner. The proposed amendments will facilitate efficient animal tracing and reduce the spread of communicable diseases by: (1) aligning the regulations with current science, Federal and other State requirements for disease control and suppression, and (2) requiring more comprehensive recording of identification and testing information.

The proposed amendments will also benefit the State's livestock industry through better control and mitigation of communicable disease and the reduction of unnecessary barriers to movement that hinder commerce. Currently, regulations do not provide uniform traceability requirements for all species of livestock, subjecting cattle and equids to different regulatory standards from sheep, goats, cervids and swine, placing the animal industry in the State at unnecessary risk. The proposed amendment requiring veterinary oversight of internal exams of cattle at livestock markets is necessary to significantly decrease risk of infectious disease transmission that can occur when best practices are not being followed.

Clearer direction for Domestic Animal Health Permit (DAHP) holders is necessary for required recordkeeping and accurate traceability in the event of an infectious disease outbreak. These amendments specify that recordkeeping applies to all livestock species, and that Certificate of Veterinary Inspection (CVI) information must be recorded in a Department-issued logbook and retained by a DAHP holder for compliance with import and enhanced traceability.

Enforcement of EIA testing is difficult when multiple visually similar equids move through a single approved sale.

The proposed rule requires scanning equids for an implanted Electronic Identification Device (EID) and recording the EID information, if present. When an equid without a valid EIA test result and where no implanted EID is detected is sold at an approved sale, the equid must receive an implanted EID at market. The implanted EID and the USDA backtag must be recorded by the DAHP in the Department-issued logbook. The USDA backtag will serve as a limited permit for movement to any single quarantine location until EIA test results are received. Implanted EIDs will also aid efforts to enforce the new State laws banning the sale of equids for slaughter.

Under the proposed amendments, equids entering the State and going to an approved livestock auction or market with a current EIA test result, but with no CVI, will require only a post-entry health certificate prior to exiting the market, eliminating the requirement for a repeat EIA test.

The proposed amendment repeals regulatory provisions which are no longer relevant, including all references to equine slaughter and regional controls for Equine Viral Arteritis (EVA). As currently administered, the Equine Interstate Passport (EIP) puts equids at unnecessary risk for exposure to infectious diseases when movements are not properly recorded and requires the Department to expend resources for monitoring without the benefit of adequate tracing.

The proposed rule amends regulations for interstate movement regarding Vesicular Stomatitis Virus (VSV) by incorporating best scientific practices, by reducing the quarantine period from 30 to 14 days, both aligning with Federal guidelines and removing unnecessary movement regulations of VSV and EVA. These changes should facilitate commerce between states and decrease regulatory burden on the State's animal agricultural industry without increasing risk to animal health.

4. Costs:

a. Costs to regulated parties:

Under the proposed amendments, equids with unknown EIA status can be tested and sold at approved livestock markets and approved auctions. Post-entry CVIs may be written for equids imported lacking a CVI, saving owners the cost of a veterinary visit.

Currently, USDA-approved implantable EIDs can be purchased in bulk for less than $10 each. The cost incurred for EIDs is dependent on the volume of sales, and additional services provided by the market veterinarian. Costs may be passed on to sellers, as is the current practice for EIA testing and post-entry CVIs for other livestock species.

When required, the cost to owners will depend on duration of ownership and veterinarian fees, which are anticipated to be between $50 and $250 per equid.

Markets auctioning cattle have EID readers. Equid-only markets and DAHP holders subject to the proposed regulations must obtain EID readers or have a signed market agreement with a veterinary clinic with an EID reader. The Department anticipates that there is sufficient funding through the 2026-2027 USDA Traceability Cooperative Agreement to provide EID readers to all equid market veterinarians.

The proposed regulation adds swine, sheep, goats, camelids and cervids to the recording requirements for DAHPs. It may take DAHPs additional time to record these animals.

A majority of EIP users will save money by switching to cost-effective traditional CVIs.

b. Costs to the agency, the State, and local government for the implementation and continuation of the rule:

It is not anticipated that the State or any local government or agency will incur a cost upon adoption of the proposed rule. There will be no change in inspection procedures by the Department. The Department was provided EID readers free of charge by its Federal partner. These rules do not require change to procedures or enforcement by the Department, State, or local government, and will not increase the cost of enforcement.

c. Information, including the source(s) of such information and the methodology upon which the cost analysis is based:

The cost analysis provided above is based on a review of Department records and observations of the industry.

5. Local government mandates:

The proposed regulation does not impose any obligations on local governments.

6. Paperwork:

Currently, equids entering the State and moving directly to an approved livestock market or auction may enter the State with only an Owner-Shipper Statement. Specific EVA language is required for CVIs for equids entering the State from New England. Livestock entering the State from any VSV-positive state presently must have documentation on the entry CVI that the animal(s) has/have not been within 10 miles of an area with VSV during the prior 30 days. DAHP holders must record all purchases and sales of equids and cattle other than veal calves.

The proposed rule requires recording of implanted EID numbers on EIA test submissions; digital photographs on electronic EIA test submissions or drawings on paper EIA test submissions, when drawn in New York. Any equid that arrives from out-of-state will require a post-entry CVI if it arrives with a current EIA test result but no CVI. DAHP holders must record purchases and sales of all cattle, including veal calves, swine, sheep, goats, camelids, and cervids. Susceptible livestock arriving from states with VSV cases within the prior 14 days must have documentation that the animals are not from a positive county in that state.

The proposed rule requires those currently using EIPs to use 30-day CVIs for movements.

7. Duplication:

These regulatory changes will not duplicate any existing state or federal rule.

8. Alternatives:

The Department considered four alternatives:

i. The Department considered no change to the current regulations but rejected this due to the risk of infectious disease spreading through the movement of equids into the State without CVIs or EIA test results. Current regulations make it difficult to trace animals due to inconsistent and insufficient documentation for veal calves, swine, sheep, goats, camelids, and cervids. Current regulations of VSV do not adequately reflect current scientific information regarding transmission risk and regional EVA regulations unnecessarily burden equid movements. Current regulations conflict with the State's prohibition on the sale of equines for slaughter and are a source of confusion for regulated parties. The EIP program expends Department time and resources under the current regulations, with minimal benefit to the regulated community.

ii. The Department considered removing all exceptions to the EIA testing and CVIs for equids entering the State, moving on public roads, and transfer of ownership but rejected this alternative because of the anticipated substantial economic impact on the equine industry in the State due to:(1) increased requirements that would limit the number of equids that readily could move through auctions and markets, and (2) potential welfare concerns caused by limiting equine movements. This option would result in decreased infectious disease risk because all equids moving in the State would have health certifications. This option would increase demand for private veterinarians to draw EIA tests at individual locations.

iii. The Department considered a "permissive dealer model." Untested equids would receive a USDA backtag, be quarantined, and be EIA-tested within two business days of purchase by a DAHP holder. Imported equids would also be required to pass a veterinary health inspection within two business days of importation. Equids would be released with negative test

results or disposed of after a positive result. Individuals wishing to purchase or import equids of unknown EIA status would arrange with a DAHP holder the use of its backtags and equid quarantine facility. This would result in a substantial infectious disease risk due to an increased volume of equids entering and moving through the State with unknown health status; a substantial increased demand on private veterinarians for post-entry EIA tests and physical exams with short turn around; a substantially increased enforcement burden on the Department to monitor improperly imported equids; and an increased burden on DAHP holders. The Department rejected this alternative because it increases risk to the equine industry through decreased traceability, decreases compliance because veterinary capacity is limited and small volume dealers lack awareness of State regulations.

iv. The Department considered a "permissive market model" where equids with unknown EIA test status could move to approved livestock markets or auctions identified by a USDA backtag and having EIA tests drawn and results available within two days. Equids would be released from post-sale quarantine with negative test results or disposed of after a positive result. Imported equids coming into the State to approved sales would require a health inspection. The impact on the State's equine industry would include: continued infectious disease risk through import of equids with unknown EIA status to livestock markets and auctions, substantial increased demand on private market veterinarians for equine exams, increased regulatory burden for evaluation of post-entry physical exam paperwork, and increased responsibility for DAHPs to manage equids that fail physical exams at entry. The Department rejected this alternative because of increased demand for veterinary services, continued infectious disease risk, and increased burden on DAHPs.

9. Federal standards:

CVIs are required for interstate movement of covered livestock species by the Federal traceability statute, with specific exceptions (9 C.F.R. § 86.5(d)). Implanted EID and EIA testing is required federally for captured Bureau of Land Management mustangs (BLM IM2023-028).

There is no Federal requirement for limitation of interstate movement of animals from EVA or VSV exposed regions, only for importation. (9 C.F.R. § 93.315).

Federal law allows states to use alternative movement documents by mutual agreement (9 C.F.R. § 86(d)(4)).

10. Compliance schedule:

Regulated entities will be required to comply within 30 days of publication of the Notice of Adoption, except for subdivisions 67.4(b), (c), and (d), which will be effective one year after adoption This will allow regulated parties to obtain technology, update agreements with the Department, draw EIA tests for future sales, and ensure all veterinary practices doing equine green-tag sales have EID reader access. EIPs issued prior to the approval of this regulatory change (1 NYCRR Part 64.1) will be honored.

Regulatory Flexibility Analysis

1. Effect of rule:

This proposed rule will require those selling equines inter or intrastate at livestock markets/auctions, with unknown equine infectious anemia (EIA) status, to scan for current electronic identification devices (EIDs) and implant EIDs in all equines auctioned without known EIA status.

There are 13 approved livestock markets and several additional permitted equine auctions run by Domestic Animal Health Permit (DAHP) holders in New York State (State), each of which is a small business which could be affected by the proposed rule. Not all of these markets currently choose to sell equids with unknown EIA status. There are 27 livestock markets and auctions within the State that will be required to use an accredited veterinarian for internal exams of cattle or to enter into a specific agreement with the State.

The proposed amendments will not regulate local governments.

2. Compliance requirements:

The proposed rule requires inclusion of implanted EID numbers on EIA test submissions; digital photographs or drawings on electronic or paper EIA test submissions respectively, when drawn in the State. Any equid that arrives from out-of-state will require a post-entry CVI, if it arrives with a current EIA test result but no CVI. DAHP holders must record purchases and sales of all cattle, including veal calves, swine, sheep, goats, camelids and cervids.

Susceptible livestock arriving from states with vesicular stomatitis virus cases within the prior 14 days must have documentation that animals are not from a positive county in that state.

All EIA test results will be valid for a period of 12 months only. New equid owners must obtain an EIA test in their name if the previous expiring test result is in the name of a different owner.

Internal examinations of cattle at livestock markets will be required to be conducted by licensed veterinarians, or that the market or auction enter into a specific agreement with the Department.

3. Professional services:

Equine market owners and auction holders will need the professional services of a United State Department of Agriculture (USDA) Category II accredited veterinarian to supervise implantation of EIDs concurrent with the taking of EIA blood samples.

All markets and auctions currently selling horses with unknown EIA status have an established and documented relationship with a veterinarian who currently is responsible for drawing blood for these tests.

Livestock markets and auctions dealing in cattle will continue to require a licensed veterinarian, absent a specific agreement with the Department, for internal examination of cattle.

4. Compliance costs:

a. Costs to regulated parties:

Under the proposed amendments, equids with unknown EIA status can be tested and sold at approved livestock markets and approved auctions. Post-entry CVIs may be written for equids imported lacking a CVI, saving owners the cost of a veterinary visit.

Currently, USDA-approved implantable EIDs can be purchased in bulk for less than $10 each. The cost incurred for EIDs is dependent on the volume of sales, and additional services provided by the market veterinarian. Costs may be passed on to sellers, as is the current practice for EIA testing and post-entry CVIs for other livestock species.

Presently, new owners are not required to get an EIA test following purchase of an equid with a current EIA test result unless equids are transported on a public road or transferring ownership. The proposed regulatory amendments require that equids have an EIA test result in the name of the current owner within a year of the current result on which the animal was purchased. It also requires all equids on a public road to have a negative EIA test within the last 12 months. The total cost to owner depends on duration of ownership and veterinarian fees, which are anticipated to be between $50 and $250.

Markets auctioning cattle have EID readers and will not incur additional costs for readers. Equid-only markets and DAHP holders subject to the proposed regulations must obtain EID readers or have a signed market agreement with a veterinary clinic with an EID reader. The New York State Department of Agriculture and Markets (Department) anticipates meeting the need of all equid market veterinarians in 2026-2027 for EID readers through funding provided by the USDA Traceability Cooperative Agreement.

The proposed regulation adds veal calves, swine, sheep, goats, camelids and cervids to the recording requirements for DAHPs which may take additional time to record. The proposed regulation requires those currently using Equine Interstate Passports (EIPs) to use 30-day CVIs for movements, which will be a cost savings to the owner.

Based on available data, less than 150 EIPs were used to enter or exit the State in 2024 and only 12% recorded 10 or more movements. A majority of EIP users will save money by switching to cost-effective traditional CVIs.

There will be no additional cost for compliance with the proposed rule for internal examination of cattle at markets because market veterinarians will be able to perform these examinations.

b. Costs to the agency, the State, and local government for the implementation and continuation of the rule:

It is not anticipated that any State or local government or agency will incur a cost upon adoption of the proposed rule. There will be no change in inspection procedures by the Department. The Department was provided EID readers free of charge by its Federal partner. Neither the State nor local governments are required to supply devices or additional testing to comply with this proposed rule. The Department will not provide readers if there is no Federal funding. Once Federal funding is exhausted, any market who did not receive an EID reader through the program will be required to purchase a reader. The cost of EID readers starts at $400 per reader. These rules do not require change to procedures or enforcement by the Department, State, or local government, and will not increase the cost of enforcement. Adoption will result in a resource savings to Department staff because the Department will no longer process EIP applications. There will be no cost incurred for specific agreement with livestock markets regarding the internal examination of cattle.

c. Information, including the source(s) of such information and the methodology upon which the cost analysis is based:

The cost analysis provided above is based on a review of Department records and observations of the industry.

5. Economic and technological feasibility:

The proposed rules are economically feasible. For each equine to receive a microchip there is the cost of the microchip (<$10.00) and the cost of application of that microchip at the market (<$25). A post-entry CVI will cost somewhere between $20-$50. Veterinary costs are a necessary part of operating a market and are standard practice across markets and auctions which currently sell horses of unknown EIA status as "slaughter" animals. For other livestock species, veterinarians are contracted to markets currently to do post-entry certificates of veterinary inspection.

Whether these costs are borne by the market or the consignor/consignee will be determined at the level of the market/auction itself. Currently, post-entry CVIs are charged to buyers, and EIA testing is charged to sellers.

The rule is technologically feasible. This rule requires equine markets to scan for EIDs and for equine market veterinarians to apply EIDs to equines which do not have an EID at the time of EIA blood draw. The Department's Division of Animal Industry is prepared to supply EID readers to any of the approved livestock markets in the State that do not currently have a Department-issued EID reader while we have readers in stock. Readers can also be supplied to veterinarians who support approved annual auctions at different sites throughout the State, as needed. Replacement EID readers will cost markets approximately $400-800 and will last for multiple years. The rule would have no impact on local governments.

6. Minimizing adverse impact:

In conformance with State Administrative Procedure Act (SAPA) section 202-b(1), the proposed amendments were drafted to minimize economic impact and reporting requirements for all regulated parties, including small businesses. The Department has taken steps to implement a flexible timeline for compliance. Owners of existing equine markets and auctions will have until 12 months after this regulation is adopted to meet the EID requirements of the rule. In addition, Division of Animal Industry veterinary staff will be available to assist private veterinary clinics that are interested in performing services for equine market veterinarians and equine auction veterinarians by providing guidance and supplying EID readers while in stock through Federal funding.

7. Small business and local government participation:

The proposed rule will have no effect upon local government. The Department conducted outreach by providing the draft express terms of the proposed rule to the industry, breeding, racing, veterinary, recreational and other regulatory organizations that have as members, in whole or in part, owners of equine farms, equine dealers, equine trainers, racing industry, equine markets and rescues. Further, an advertisement will be placed in the "Busy Beaver of New York," to reach those who will be impacted by the adoption of these rules and do not utilize standard media or digital information; such organizations were so informed by electronic means and given until the close of the comment period to submit comments.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas:

The proposed rule change impacting approved equine markets and auctions will apply to 13 equine markets in the State of New York (State), all but two of which are in counties with populations of 200,000 or less. Oneida County has a population of 228,000, and a local population density in the Village of Vernon, where the Empire Vernon livestock market is located, is 207 people per square mile. Saratoga County has a population of 230,298 and a local population density in the Village of Saratoga Springs, where the Fasig-Tipton livestock market is located, is over 1000 people per square mile.[1]

The proposed rule change impacting internal examinations of cattle at livestock markets will apply to all 27 livestock auctions and markets in the State that sell cattle. Of the 27 auctions and markets, all but two are located within counties with populations of 200,000 or less.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

Currently, equids entering the State and moving directly to an approved livestock market or auction may enter the State with only an Owner-Shipper Statement. Specific Equine Viral Arteritis (EVA) language is required for certificate of veterinary inspection (CVIs) for equids entering the State from New England. Livestock entering the State from any Vesicular Stomatitis Virus (VSV)-positive state presently must have documentation on the entry CVI that the animal(s) has/have not been within 10 miles of an area with VSV during the prior 30 days. Domestic Animal Health Permit (DAHP) holders must record all purchases and sales of equids and cattle other than veal calves.

The proposed rule requires recording of implanted Electronic Identification Device (EID) numbers on equine infectious anemia (EIA) test submissions; digital photographs or drawings on electronic or paper EIA test submissions respectively, when drawn in the State. Any equid that arrives from out-of-state will require a post-entry CVI if it arrives with a current EIA test result but no CVI. DAHP holders must record purchases and sales of all cattle, including veal calves, swine, sheep, goats, camelids, and cervids. Susceptible livestock arriving from states with VSV cases within the prior 14 days must have documentation that animals are not from a positive county in that state.

There will be increased demand for veterinary professional services at approved livestock markets and auctions for post-entry health certifications and implantation of EID. However, this increase in time demand for veterinary professionals in rural areas is much less burdensome than if all equids arriving at livestock markets require a current EIA test result.

3. Costs:

a. Costs to regulated parties:

Under the proposed amendments, equids with unknown EIA status can be tested and sold at approved livestock markets and approved auctions. Post-entry CVIs may be written for equids imported lacking a CVI, saving owners the cost of a veterinary visit.

Currently, the United States Department of Agriculture (USDA)-approved implantable EIDs can be purchased in bulk for less than $10 each. The cost incurred for EIDs is dependent on the volume of sales, and additional services provided by the market veterinarian. Costs may be passed on to sellers, as is the current practice for EIA testing and post-entry CVIs for other livestock species.

Presently, new owners are not required to get an EIA test following purchase of an equid with a current EIA test result unless equids are transported on a public road or transferring ownership. The proposed regulation requires that equids have an EIA test result in the name of the current owner within a year of the current result on which the animal was purchased. It also requires all equids on a public road to have a negative EIA within the last 12 months. The total cost to owner depends on duration of ownership and veterinarian fees, which are anticipated to be between $50 and $250 per animal.

Markets auctioning cattle have EID readers. Equid-only markets and DAHP holders subject to the proposed regulations must obtain EID readers or have a signed market agreement with a veterinary clinic with an EID reader. The New York Department of Agriculture and Markets (Department) anticipates meeting the need of all equid market veterinarians in 2026-2027 for EID readers through funding provided by the USDA Traceability Cooperative Agreement. Once Federal funding is exhausted, any market who did not receive an EID reader through the program will be required to purchase a reader. The cost of EID readers starts at $400 per reader.

The proposed regulation adds veal calves, swine, sheep, goats, camelids, and cervids to the recording requirements for DAHPs which may take additional time to record. The proposed regulation requires those currently using Equine Interstate Passports (EIPs) to use 30-day CVIs for movements.

Based on available data, less than 150 EIPs were used to enter or exit the State in 2024 and only 12% recorded 10 or more movements. A majority of EIP users will save money by switching to cost-effective traditional CVIs.

b. Costs to the agency, the State, and local government for the implementation and continuation of the rule:

It is not anticipated that any State or local government or agency will incur a cost upon adoption of the proposed rule. There will be no change in inspection procedures by the Department. The Department was provided EID readers free of charge by its Federal partner. Neither the State nor local governments are required to supply devices or additional testing to comply with this proposed rule. The Department will not provide readers if there is no Federal funding. These rules do not require change to procedures or enforcement by the Department, State, or local government, and will not increase the cost of enforcement. Adoption will result in a resource savings to Department staff because the Department will no longer process EIP applications.

The change to internal examinations of cattle will not result in any additional costs to the Department, the State, or local government.

c. Information, including the source(s) of such information and the methodology upon which the cost analysis is based:

The cost analysis provided above is based on a review of Department records and observations of the industry.

4. Minimizing adverse impact:

The Department considered four alternatives to the proposed amendments:

i. The Department considered no change to the current regulations but rejected this due to risk of infectious disease spread through movement of equids into the State without CVIs or EIA test results. Current regulations make it difficult to trace animals due to inconsistent and insufficient documentation for veal calves, swine, sheep, goats, camelids, and cervids. The current regulation regarding internal exams of cattle at markets does not provide adequate oversight to prevent disease transmission between animals.

Current regulations of VSV are inconsistent with transmission risk. Regional EVA regulations unnecessarily burden equid movements. Current regulations conflict with the State's prohibition on the sale of equines for slaughter and are a source of confusion for regulated parties. The EIP program expends Department time and resources with minimal benefit to the regulated community.

ii. The Department considered removing all exceptions to the EIA testing and CVIs for equids entering the State, moving on public roads, and transfer of ownership but rejected this alternative because of the anticipated substantial economic impact on the equine industry in the State and

potential welfare concerns caused by limiting equine movements. This option would result in decreased infectious disease risk because all equids moving in the State would have health certifications. This option would increase demand for private veterinarians to draw EIA tests at individual locations.

iii. The Department considered a "permissive dealer model." Untested equids would receive a USDA backtag, be quarantined, and be EIA-tested within two business days of purchase by a DAHP holder. Imported equids would also be required to pass a veterinary health inspection within two business days of importation. Equids would be released with negative test results or disposed of after a positive result. Individuals wishing to purchase or import equids of unknown EIA status would be required to arrange with a DAHP holder the use of their backtags and equid quarantine facility. This would result in a substantial infectious disease risk due to an increased volume of equids entering and moving through the State with unknown health status; substantial increased demand on private veterinarians for post-entry EIA tests and physical exams with short turn around; substantial increased enforcement burden on the Department to monitor improperly imported equids; and an increased burden on DAHP holders. The Department rejected this alternative because it increases risk to the equine industry, decreases compliance due to the lack of available veterinary capacity and lack of awareness of State regulations among small volume sellers.

iv. The Department considered a "permissive market model" where equids with unknown EIA test status could move to approved livestock markets or auctions identified by a USDA backtag and have EIA tests drawn and results available within two days. Equids would be released from post-sale quarantine with negative test results or disposed of after a positive result. Imported equids coming into the State to approved sales would require a health inspection. The impact on the State's equine industry would include: continued infectious disease risk through import of equids with unknown EIA status to livestock markets and auctions, substantial increased demand on private market veterinarians for equine exams, increased regulatory burden for evaluation of post-entry physical exam paperwork, and increased responsibility for DAHPs to manage equids that fail physical exams at entry. The Department rejected this alternative because of increased demand for veterinary services, continued infectious disease risk, and increased burden on DAHPs.

5. Rural area participation:

(a) General notice of proposed rulemaking will be published in the State Register in accordance with the State Administrative Procedure Act (SAPA).

(b) Not all impacted parties use electronic media and, therefore, outreach by the Department will include mailing the proposed Express Terms to all DAHP holders and publication of a notice in the Busy Beaver New York publication with contact information for non-DAHP holders who would like information on the proposed rule.

Notifications, primarily by electronic means, will be sent to veterinarians, horse councils, equine breed associations, racing entities, EIA laboratories, New York Farm Bureau and USDA.

(c) A public hearing will be held concerning the proposed rule.

(d) The Department will provide the opportunity to submit comments by mail or email comments to allow for all interested parties to participate in the rulemaking process.

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[1] Population data source: www.census.gov

Job Impact Statement

1. Nature of impact:

The proposed rules are principally focused on updating regulations to monitor and control the spread of disease in New York State's livestock industry. Based on the proposed changes and information available, the Department of Agriculture and Markets (Department) has determined that these changes will not have a substantial adverse impact on jobs or employment opportunities.

2. Categories and numbers affected:

The proposed amendments focus on Equine Infectious Anemia (EIA) control and monitoring and will apply to the 13 approved livestock markets in New York State (State) that sell equines, as well as multiple single-event auctions held by individual Domestic Animal Health Permit (DAHP) holders where equines are auctioned with pending EIA status. Dealers or markets which decide to continue to sell equids with pending EIA status would be affected by the adoption of these amendments. The proposed amendments addressing internal cattle examinations will apply to 27 livestock markets and auctions.

3. Regions of adverse impact:

11 equine-only markets and two additional livestock markets are primarily located in rural areas throughout the State. There are no regions of the State where the proposed rulemaking would have an adverse impact on jobs or employment opportunities.

4. Minimizing adverse impact:

The proposed amendments are not expected to have a significant impact on employment. There will be increase demand for veterinary professional services at approved livestock markets and auctions for post-entry health certifications and implantation of electronic identification device (EID) . However, this increase is not expected to have an adverse impact.

The Department has taken steps to implement an extended timeline for compliance, which provides adequate time for those impacted to familiarize themselves with the new requirements and receive guidance from the Department's Division of Animal Industry veterinary staff. Owners of existing markets and auctions will have one year following the adoption of this regulation to meet the implantable EID requirements. In addition, Division of Animal Industry veterinary staff will answer questions and provide guidance to any individuals, employees, and others at markets and auctions who will be impacted by these changes, as well as private veterinary practices with signed market veterinary agreements with equine markets and auctions. The Department will also offer EIDs to markets and auctions as well as private veterinarians while Federal funding is available. The Department will assist markets seeking a specific agreement for internal examination of cattle.

5. Self-employment opportunities:

The proposed rulemaking does not have an adverse impact on self-employment opportunities.

Department of Environmental Conservation

PROPOSED RULE MAKING HEARING(S) SCHEDULED

Reclassification of Newtown Creek

I.D. No. ENV-37-26-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Part 890 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 3-0301, 15-0313 and 17-0301

Subject: Reclassification of Newtown Creek.

Purpose: Amend the classification of Newtown Creek from Class SD to Class I.

Public hearing(s) will be held at: 2:00 p.m., Nov. 16, 2026 at Department of Environmental Conservation, Region 2 Office, 8th Fl., Conference Rm. 834, 47-40 21st St., Long Island City, NY; and 2:00 p.m., Nov. 19, 2026 at virtual.

Instruction for virtual hearing registration and connecting to the virtual hearing via phone or internet can be found at: https://meetny-gov.webex.com/weblink/register/r967f4bb732a192068aec50722d8cc14e

Language interpretation services shall be made available to persons with limited English proficiency, including American Sign Language, at no cost. Requests must be received 10 calendar days before the meeting, but DEC will make every effort to fulfill requests received closer to the hearing date. Requests can be directed to the NYSDEC Division of Communication, Education, and Engagement by mail: NYSDEC, 625 Broadway, Albany, New York 12233-4500, e-mail: language@dec.ny.gov, or (518) 402-8028

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Text of proposed rule: Existing section of Part 890: No changes proposed.

Existing section 890.1 to 890.5: No changes proposed.

Existing section 890.6 Table I is AMENDED as to read as follows:

Item No.	Waters Index Number	Name	Description	Map Ref. No.	Class	Standards
*	*	*	*	*	*	*

54	LI 4 and tribs.	Newtown Creek or English Kills		S-24nw	[SD] *I*	[SD] *I*
*	*	*	*	*	*	*

Existing sections 890.7 to 890.10: No changes proposed.

Text of proposed rule and any required statements and analyses may be obtained from: Gwendolyn Wynkoop, DEC, 625 Broadway, 4th Floor, Albany, NY 12233, (518) 402-8194, email: WQSrulemakings@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: Five days after the last scheduled public hearing.

Additional matter required by statute: Pursuant to article 8 of the State Environmental Quality Review Act, a Full Environmental Assessment Form, a Negative Declaration, and a Coastal Assessment Form have been prepared and are on file.

Summary of Regulatory Impact Statement (Full text is posted at the following State website: https://dec.ny.gov/regulatory/regulations/proposed-emergency-recently-adopted-regulations):

The New York State Department of Environmental Conservation (the department) is proposing revision to New York's regulations in Title 6 New York Codes, Rules and Regulations (NYCRR) Part 890. The proposed rule amends the classification of Newtown Creek in Kings and Queens County, NY from Class SD to Class I. The reclassification of Newtown Creek is supported by a Use Attainability Analysis (UAA).

1. Statutory Authority

The statutory authority for the proposed rule is in Environmental Conservation Law (ECL) Articles 1, 3, 15, and 17. ECL § 3-0301(2)(a) provides that the Commissioner of the department may adopt regulations to carry out the purposes of the ECL, set forth in ECL § 1-0101. ECL § 15-0313(2) (a) directs the department to amend water quality classifications for the control of pollution of the state as provided in paragraph (c) of subdivision 7 of section 17-0301. ECL § 17-0301 provides that the department ''shall group the designated waters of the state into classes'' and further, that the department ''shall adopt and assign standards of quality and purity for each such classification necessary for the public use or benefit contemplated by such classification.'' ECL 17-0301(2) and, (4). Pursuant to this authority, this proposed rule amends the standards of quality and purity the department uses to protect the best uses of waters of the State.

2. Legislative Objectives

The legislative objectives related to the proposed rule are ''to conserve, improve and protect [the State's] natural resources and environment and to prevent, abate and control water, land, and air pollution, in order to enhance the health, safety and welfare of the people of the state and their overall economic and social wellbeing'' ECL 1-0101(1), and to ''maintain reasonable standards of purity of the waters of the state consistent with public health and public enjoyment thereof...'' ECL 17-0101.

This proposed rule is consistent with the Climate Leadership and Community Protection Act (CLCPA) as it prompts no immediate changes in current effluent limits and therefore is not anticipated to result in an increase in greenhouse gas emissions for additional wastewater treatment.

3. Needs and Benefits

The Clean Water Act (CWA) § 101(a)(2) states that "it is the national interim goal that wherever attainable, an interim goal of water quality which provides for the protection and propagation of fish, shellfish, and wildlife and provides for recreation in and on the water be achieved by July 1, 1983" (33 USC § 1251[a][2]).

Newtown Creek, waterbody segment 890-54, currently classified as Class SD and impacted by discharges from Combined Sewer Overflows (CSOs), will be revised to Class I under the proposed rule. The reclassification is needed to ensure compliance with the Clean Water Act and expand the best uses of these waters from fishing (survival only) to fishing (survival and propagation) and secondary contact recreation, thereby adding additional water quality protections. This reclassification brings WQS protective of fish survival and fish propagation to fulfill the CWA fishable goal. The Class I designation also requires WQS protective of secondary contact recreation (e.g., boating). In October 2023, the department adopted new Enterococci WQS for the protection of the secondary contact recreation best use in Class I waters. The secondary contact recreation WQS are an Enterococci 30-day geometric mean (GM) of 72 CFU/100 mL and a statistical threshold value (STV) of 266 CFU/100 mL, applicable on a year-round basis. The Class I designation does not include WQS protective of primary contact recreation (e.g., swimming) and therefore does not fully satisfy the CWA swimmable goal. However, this reclassification is supported by the "Newtown Creek Use Attainability Analysis" (NYC DEP, August 2026). The UAA and supporting Financial Capability Analysis (FCA) can be accessed for review at https://on.ny.gov/waterrm.

4. Costs

Reclassification of a waterbody results in the application of different WQS that must be considered when writing State Pollutant Discharge Elimination System (SPDES) permits. Thus, a classification change could lead to additional capital construction and/or operation and maintenance costs for individual permittees if their current permit limits and level of wastewater treatment would not meet the newly applied WQS, assuming a water quality-based effluent limitation (WQBEL) will be the applicable limit.

The department identified one municipal wastewater treatment facility and seven industrial facilities as discharging to waters subject to reclassification amendments in this proposal. After examination of all relevant SPDES permits, the department determined adoption of the proposed classification would not immediately change the effluent limits in any of those SPDES permits. The department also determined no-cost impact to any of those SPDES-permitted facilities, as all facilities are currently operating in a manner that is expected to meet the more stringent permit effluent limits associated with the proposed reclassification of Newtown Creek from Class SD to Class I.

The following statements are the result of the department's evaluations of financial impact of this rule on potentially affected entities:

(a) Costs to State Government

New costs to the department associated with this rulemaking are limited to the costs of advertising and conducting the public hearings.

(b) Costs to Local Governments

The proposed rule is not expected to impose any new or additional costs upon local government.

NYC's ongoing CSO control program is implemented through the development of Long-Term Control Plans (LTCPs) and is independent of this reclassification. The Newtown Creek segment, subject to reclassification from Class SD to I in the proposed rule, is impacted by CSOs. In accordance with CWA section 402(q), the LTCPs must meet the regulatory requirements of the United States Environmental Protection Agency's (USEPA) CSO Control Policy and must adhere to the terms of the 2005 Consent Order between the department and NYC (Case No. CO2-20000107-8), as modified in 2008, 2009, 2012, 2015, 2016, and 2017 (collectively the "Consent Order"), as well as NYC's SPDES permits, which refer to the Consent Order. LTCPs evaluate the cost-effectiveness of a range of control options/strategies, including up to 100% CSO capture. The department reviews and approves LTCPs based on WQS applicable at the time of submission. The yet to be completed city-wide cost of projects, detailed in the approved LTCPs, is $9.6 billion. The LTCPs will not be immediately opened or renegotiated to attain compliance with the proposed reclassification but will be assessed for compliance with any new WQS after completion of the LTCP and validation of LTCP controls through post-construction compliance monitoring (PCCM).

Although such impacts are not immediate, the department must still estimate costs for CSO-impacted waters to comply with the Enterococci WQS for the proposed reclassification using a percentage of CSO capture, a highly conservative approach with respect to water quality. The Newtown Creek LTCP requires 75% capture of CSO flow from the four largest outfalls, at an estimated cost of $3.42 billion (2026$). Water quality model projections show this level of capture may attain Class I WQS with occasional instances of exceedance. Estimating costs for these CSO-impacted waters to achieve any WQS changes associated with reclassification is difficult because pollutant concentrations at the overflows are unknown and variable, unlike a traditional SPDES outfall where the pollutant concentrations are monitored and the necessary controls to achieve compliance are easily calculated. The Newtown CSO control costs must be considered with other CSO mitigation costs impacting NYCDEP, as one project cannot consume all the budget to the detriment of water quality in other waterbody segments.

(c) Costs to Private Regulated Parties

The proposed rule is not expected to impose any new or additional costs on private regulated parties. See attachment 1 in full RIS for additional information regarding results of the department's evaluations of financial impact of this rule on all potentially affected parties.

(d) Costs to the Regulating Agency

New costs for the department associated with this proposed rule are limited to the costs of advertising and conducting the public hearings.

5. Local Government Mandates

As described in this document, the proposed rule will revise classifications which changes applicable WQS, which in turn will be considered in development of SPDES permits issued under Titles 7 and 8 of Article 17 of the ECL. When those permits are issued or renewed, any county, city, town, village, school district, fire district, or other special district with a SPDES-permitted discharge(s) will be responsible for complying with revised effluent limitations, if applicable, resulting from these proposed

regulatory updates. The department reviewed potentially affected permits and estimated no cost to comply with the proposed rule discussed above, assuming a WQBEL will be the applicable limit. Therefore, the proposed rule does not impose any additional program, service, duty, or responsibility upon any county, city, town, village, school district, fire district, or other special district.

6. Paperwork

The proposed rule is not expected to increase or decrease the number of significant SPDES permittees (for permit classifications see the DEC's Program Policy "DOW 1.2.2 Administrative Procedures and the Environmental Benefit Permit Strategy for Individual SPDES Permits"). As part of the SPDES program renewal process, all significant permittees are required to report monitoring data for pollutants not included in their SPDES permits. The WQS changes proposed through this reclassification would be included in that reporting but would be done so on paperwork already required under the SPDES program. The proposed rule does not require the submission of any new forms.

7. Duplication

Under Federal law, promulgation of surface WQS is primarily a state responsibility. EPA provides oversight and guidance and approves state WQS for surface water but does not promulgate WQS that apply nationwide.

8. Alternatives

An alternative to pursuing the proposed rule is to take no action. "No action" would fail to provide the desired water quality protections that would result from the upgraded classification. The "no action" alternative would fail to ensure that New York State regulations are consistent with Federal requirements. Additionally, EPA has threatened to over-file and promulgate more stringent regulations if DEC fails to act. The department rejected the "no action" alternative because it would not result in the needed upgrades as described above.

9. Federal Standards

This proposed rule complies with Federal standards for the classification of waters. There is no Federal minimum standard protective of secondary contact recreation during periods when primary contact recreation is not practiced and WQS protective of primary contact recreation are not in effect.

10. Compliance Schedule

In accordance with ECL § 3-0301(2)(a), the proposed rule will become effective 30 days after being filed with the Secretary of State for publication in the NYCRR. Any facility that is subject to the requirements of this rulemaking, if the WQBEL is the applicable limit, is expected to comply at the time that their SPDES permit is renewed or initially issued. If new, or modified, treatment is necessary to meet the proposed waterbody classification (see Costs sections), a compliance schedule may be included in the renewed SPDES permit on a case-by-case basis.

Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis for Small Business and Local Governments has not been prepared because the proposed rule will not impose an adverse economic impact on small businesses or local governments, nor will it impose reporting, recordkeeping, or other compliance requirements on small businesses or local governments. The New York State Department of Environmental Conservation (the department) is proposing revision to New York's regulations in Title 6 New York Codes, Rules and Regulations Part 890. The proposed rule amends the classification of Newtown Creek in Kings and Queens County, NY from Class SD to Class I, and is supported by a Use Attainability Analysis. Reclassification of a waterbody results in the application of different WQS that must be considered when writing State Pollutant Discharge Elimination System (SPDES) permits. The Newtown Creek segment, subject to reclassification from Class SD to I, is impacted by CSOs. The department identified one municipal wastewater treatment facility and seven industrial facilities as discharging to waters subject to reclassification amendments in this proposal. After examination of all relevant SPDES permits, the department determined adoption of the proposed classification would not immediately change the effluent limits in any of those SPDES permits. All of those facilities are currently operating in a manner that is expected to meet the more stringent permit effluent limits associated with the proposed reclassification of Newtown Creek. Estimating costs for these CSO-impacted waters to achieve any WQS changes associated with reclassification is difficult because pollutant concentrations at the overflows are unknown and variable, unlike a traditional SPDES outfall where the pollutant concentrations are monitored and the necessary controls to achieve compliance are easily calculated. Accordingly, the department determined a Regulatory Flexibility Analysis for Small Business and Local Governments is not required.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis has not been prepared because the proposed rule will not impose adverse impacts on rural areas as defined in New York State Administrative Procedure Act Section 102(10) and Executive Law section 481(7). The New York State Department of Environmental Conservation (the department) is proposing revision to New York's regulations in Title 6 New York Codes, Rules and Regulations Part 890. The proposed rule amends the classification of Newtown Creek, a waterbody located in Kings and Queens County, NY. The area impacted by the proposed rule does not fall under the definition of a rural area and will not impact any rural areas, nor will the proposed rule impose reporting, recordkeeping, or other compliance requirements on rural areas. Accordingly, the department determined that a Rural Area Flexibility Analysis is not required.

Job Impact Statement

A Job Impact Statement has not been prepared because the proposed rule will not have a substantial adverse impact on jobs and employment opportunities in New York State. The New York State Department of Environmental Conservation (the department) is proposing revision to New York's regulations in Title 6 New York Codes, Rules and Regulations Part 890. The proposed rule amends the classification of Newtown Creek in Kings and Queens County, NY from Class SD to Class I, and is supported by a Use Attainability Analysis. Reclassification of a waterbody results in the application of different WQS that must be considered when writing State Pollutant Discharge Elimination System (SPDES) permits. The department's review of SPDES permit limits and operational data across all listed types, from facilities discharging to waters proposed for reclassification, indicated no impact to any of the SPDES-permitted facilities. All of those facilities are currently operating in a manner that is expected to meet the more stringent permit effluent limits associated with the proposed reclassification of Newtown Creek. Therefore, the department determined that the proposed rule would not have a "substantial adverse impact on jobs and employment opportunities" as that term is defined in section 201-a(6)(c) of the New York State Administrative Procedure Act. Accordingly, the department determined that a Job Impact Statement is not required.

Division of Housing and Community Renewal

NOTICE OF ADOPTION

New York State Rural Rental Assistance Program (RRAP) State Regulations

I.D. No. HCR-41-25-00024-A
Filing No. 798
Filing Date: 2026-09-01
Effective Date: 2026-09-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 2800.2 to 2800.5 of Title 9 NYCRR.

Statutory authority: Public Housing Law, section 19; Private Housing Finance Law, art. 17-A

Subject: New York State Rural Rental Assistance Program (RRAP) State regulations.

Purpose: To update the Rural Rental Assistance Program regulations to conform with long-standing practice.

Text of final rule: Section 2800.2(a)(3)

(3) Commissioner means the Commissioner of Housing and Community Renewal *within their role as Commissioner or as Chief Executive Officer of the Housing Trust Fund Corporation*.

§ 2800.3 Rental assistance program

(a) Within the limits of available funds in the Rural Housing Assistance Fund created by article XVII-A, the commissioner will make, and contract to make, rental assistance payments to sponsors in order to provide housing for persons and families of low income in *projects that are or were* FmHA -assisted [projects].

(b) The [division] *commissioner* will select projects for funding from among eligible applicants based upon [its] *the commissioner's* evaluation of the proposed project in the light of the purposes and policy of article XVII-A. In making such selection, the [division] *commissioner* may rely upon the preapplication, and other documents submitted by the applicant

to, and information made available by FmHA; the [division] *commissioner* may also, to the extent [it] *the commissioner* deems appropriate, obtain additional information from the applicant or any other source. Without limiting the power of the commissioner under this section, funding shall be awarded *to eligible applicants*, [in general]*,* based upon*,but not limited to* the following criteria:

(1) a balanced distribution of program funds in respect to the need for adequate housing of low-income households in rural areas of New York State;

(2) the existence of a substantial low-income population in the area, relative to its total population;

(3) the scarcity of safe, affordable rental housing for persons of low income in the area;

(4) the existence of substantial unmet housing needs on the part of the elderly, larger families, or any other special population group in the area, and the potential role of the project in meeting such needs;

(5) the degree of community involvement in the proposed project, including but not limited to the participation in sponsorship by corporations funded under article XVII of the Private Housing Finance Law or other community-based not-for-profit organizations;

(6) the feasibility of the project for early implementation;

(7) the feasibility of funding to provide adequate coverage to maintain the buildings and provide necessary services for tenants;

([7]*8*) the degree to which rental assistance is needed to make the project available to low-income tenants;

([8]*9*) the cost of the subsidy relative to available cost containment measures, including but not limited to project design features and local real property tax abatement;

([9]*10*) the degree to which development*, redevelopment, or preservation* of the project may generate additional funding or other support for low-income housing or related programs in rural areas.

(c) The commissioner may utilize funds in the Rural Rental Housing Assistance Fund to cover administrative expenses incurred in the delivery of the program.

§ 2800.4 Procedure

(a) Based upon a determination of the extent of funds available, the commissioner will issue, from time to time, a notice of funding availability (NOFA)[. This NOFA will be published in newspapers of general circulation in the various rural regions of New York State and]*, which shall* contain the following:

(1) the approximate amount of funding *and units* available; and

(2) the general requirements for application submission.

(b) Applications received in response to NOFA will be processed *according to application and scoring procedures established by the commissioner in that NOFA.* [as follows:

(1) Applications received on or before the deadline for submission will be reviewed for completeness. All incomplete applications and any applications received after the deadline will be returned to the applicants.

(2) Applications held for further review will be evaluated taking into consideration FmHA processing as well as the criteria specified in section 2800.3 of this Part.

(3) Based upon 's evaluation, each application will be assigned a priority for funding.

(4) To the extent funds are available, the commissioner will select those applications with the highest priorities and issue to the appropriate applicants conditional commitments specifying the number of units by household type and amount of subsidy reserved for that project.

(5) Applicants with lesser priority will be held in a pipeline and will be considered for future funding if and when additional fundings become available. The applicants will be notified of their status and any conditions regarding future consideration.]

(c) Projects selected pursuant to subdivision (b) of this section for funding will be issued a notification of award of rental assistance. [Notifications of award of rental assistance are conditional upon obtaining FmHA approvals in a timely manner.] In connection with its processing, [DHCR] *the commissioner* will, to the maximum extent possible, rely on subsequent determinations made by FmHA, including but not limited to sponsor eligibility and project feasibility. The commitment made by [DHCR] *the commissioner* may be rescinded upon notification by FmHA of any negative findings which prevent an application from being further considered. The sponsor shall signify in writing its acceptance of rental assistance for the project and its agreement to comply with article XVII-A and these regulations, and to enter into a rental assistance contract upon completion of the project.

(d) Upon certification by FmHA of the completion, or substantial completion, of the project to the satisfaction of FmHA, the commissioner shall enter into a rental assistance contract with the sponsor.

§ 2800.5 Rental assistance payments

(a) For each unit with respect to which rental assistance is provided, the amount of such assistance for each month, or other rental payment period, shall be the difference between the project rent established pursuant to subdivision (b) of this section and the tenant rent payment established pursuant to subdivision (c) of this section.

(b)

(1) The initial project rent shall be set by FmHA in accordance with title V and its regulations and procedures.

(2) Applications for adjustment in project rents shall be submitted to and processed by FmHA in accordance with [exhibit C to subpart C of part 1930 of title 7 CFR, or other] applicable Federal regulation *or handbook*. The project rent shall be deemed adjusted upon transmittal to the [division] *commissioner* by FmHA of a copy of its letter of approval to the sponsor setting new rents.

(3) In the event a project is no longer receiving FmHA assistance, applications for adjustment in project rents shall be submitted to and processed by the Housing Trust Fund Corporation (HTFC). The project rent shall be deemed adjusted upon approval by the commissioner. When providing RRAP assistance to properties no longer receiving FmHA assistance, HTFC will apply the standards outlined in Direct Multi-Family Housing Loans and Grants at Title 7 CFR 3560, as incorporated by reference in subdivision (e) of this section, except as otherwise specified by the commissioner in the best interest of the state or where those regulations are inconsistent with State law.

(c) The tenant rent payment shall be an amount equal to the applicable percentage of income, as prescribed by [section 521 of title V and exhibit C to subpart E of part 1944 of title 7 CFR, or other] applicable Federal rental assistance regulations *or handbook*.

(d) Every rental assistance contract shall specify the aggregate maximum amount of assistance over the term of such contract and shall provide that upon payment of such amount, the contract shall terminate.

(e) The provisions of the Code of Federal Regulations which have been incorporated by reference in subdivision (b) of this section have been filed with the Office of the Secretary of State of the State of New York, the publication so filed being the booklet entitled: Code of Federal Regulations, Title 7, Part 3560, revised as of August 18, 2025, published by the Office of the Federal Register, National Archives and Records Administration. The regulations incorporated by reference may be examined at the Office of the Department of State, One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231 or can be directly obtained from the Superintendent of Documents, US Government Printing Office, Washington, D.C. 20402.

Final rule as compared with last published rule: Nonsubstantial changes were made in section 2800.3(d).

Text of rule and any required statements and analyses may be obtained from: Dan Buyer, New York State HCR, 620 Erie Blvd. W. Suite 312, Syracuse, NY 13204, (315) 706-9369, email: Daniel.Buyer@hcr.ny.gov

Revised Regulatory Impact Statement

New York State Homes and Community Renewal ("HCR"), an umbrella agency encompassing the Division of Homes and Community Renewal ("DHCR") and the Housing Trust Fund Corporation ("HTFC"), seeks to update State regulations for the New York State Rural Rental Assistance Program ("RRAP"). This program was created by the State Legislature as a companion to the federal Rural Development 515 ("RD 515") program currently administered by the U.S. Department of Agriculture ("USDA"). RRAP provides State-funded, project-based rental assistance to certain units within rural properties receiving federal RD 515 financing.

Currently, there are 247 RRAP properties, encompassing 5,047 units, with an average RRAP Housing Assistance Payments ("HAP") per month of $2,028,908. The total number of properties in New York under the RD 515 portfolio is 392, with 12,449 units.

The changes proposed here will update the regulations to reflect that HTFC is often designated to administer RRAP funds, while also clarifying rules regarding the selection and preservation of RRAP properties. The following rule changes are proposed:

a. clarify that RRAP funds may be administered by HTFC;

b. permit RRAP assistance to be provided to any property that is or was previously financed through the RD 515 program (or any predecessor programs) and permit HTFC to continue applying USDA RD 515/521 regulations except as otherwise specified by the Commissioner, in the best interest of the State, or where those regulations are inconsistent with State law;

c. allow surplus RRAP funds not used for rental assistance in the year allocated to be used in future years to pay for necessary administrative expenses, including direct staff costs; and

d. reflect current practices for a Notice of Funding Availabilities ("NOFA"), including elimination of the publication requirement in a newspaper of general circulation.

1. STATUTORY AUTHORITY:

In the 1980s, the State Legislature found that it was in the best interest of the State to assist local, rural housing providers in meeting the needs of low-income residents by supplementing federal assistance programs with

State rental assistance. Accordingly, New York State Private Housing Finance Law ("PHFL"), Article 17-A, Sections 1020 et seq., was enacted establishing RRAP under DHCR. The annual NYS budget allocation and the accompanying language periodically designates HTFC as the administrator of RRAP.

2. LEGISLATIVE OBJECTIVES:

Through the creation of RRAP, the State Legislature sought to address the lack of decent housing for low-income residents, specifically the elderly, in certain rural areas of the State where the normal operation of the private market was insufficient. The Legislature recognized that while numerous programs exist to provide housing, these programs are often targeted in areas of high population density. To address this need, the Legislature favored an approach that utilized locally based housing providers in rural areas while supplementing federal assistance through the Farmer's Home Administration Program (now the USDA).

The proposed regulations align and further these legislative objectives, permitting HTFC to continue funding low-income housing in rural areas of the State.

3. NEEDS AND BENEFITS:

HCR has not engaged in an extensive amendment process for RRAP in over fifteen years, but amendments are now necessary due to the aging out of the underlying federal program and its corresponding mortgages. Additional needs and benefits of the proposed regulations are highlighted below.

a. Periodic Program Administration Through HTFC

In the early 2010s, the annual State budget periodically began directing RRAP funds pass through HTFC instead of DHCR. HTFC is HCR's public benefit corporation responsible for the majority of HCR's federal programs. The administration of the program through a public benefit corporation provides for a more efficient and nimble allocation of resources. For example, HTFC issued NOFAs in 2018 and 2023, making 34 awards that added 559 units of rental assistance. Accordingly, the proposed regulatory change would update the rules to conform with long-standing practice.

b. Continuation of RRAP Assistance for Properties Currently or Previously Financed Through the RD 515 Program (or Any Predecessor Programs) and Continuation of Regulatory Oversight

Some properties financed by the RD 515 program benefit from the USDA's Section 521 Rural Rental Assistance ("RA") program (available through the USDA's Rural Development Housing and Community Facilities Programs office ("RD")). Properties often include a mix of units receiving no rental assistance, units receiving State-funded RRAP, and/or units receiving federally-funded RA. Units may not have more than one form of rental assistance. In 1982, DHCR executed a memorandum of understanding ("MOU") with the USDA establishing a relationship to administer RRAP and oversight. HTFC makes rental assistance payments directly to participating RD 515 property owners/agents and USDA staff monitor RD 515 properties, including both State and federally assisted units, for compliance with all applicable federal rules. The MOU and HTFC's RRAP contract with the owners automatically apply federal 515/521 rules to RRAP units.

Federal RA is contingent on the presence of the RD 515 mortgage, and RRAP is contingent on the existence of RA and the USDA's compliance and oversight framework. However, recent federal appropriation acts have included a pilot provision for "decoupling" - or the bifurcation of federal RA from the RD 515 mortgage – thereby allowing properties to continue receiving federal RA after their mortgage matures. By extension, this framework would appear to permit the USDA to continue administering RRAP pursuant to the MOU in those properties where the RD 515 mortgage matures but federal RA remains. The 21st Century ROAD to Housing Act, or "ROAD to Housing Act", H.R. 6644, 119th Cong. (2026), which went into effect July 11, 2026, would authorize 515/521 decoupling on a permanent basis for all RD 515 properties.

Many of the RD 515 properties in New York are now reaching mortgage maturity. Once these properties are out of the RD pipeline, RRAP assistance may cease, ending crucial rental assistance to at least 19 projects with a combined 309 units prior to the end of this decade, and more thereafter.

Even with the passage of the ROAD to Housing Act, State RRAP regulations will still be needed to make it feasible for HTFC to administer State rental assistance directly where the USDA is no longer able to do so. Of the 247 total RRAP projects, 131 of the properties in this portfolio either are: (1) located in areas no longer considered rural and therefore would not be eligible to continue receiving federal RA once their mortgage matures (even with decoupling), or (2) properties that would not be candidates for decoupling once their current mortgage matures because they presently have no units receiving federal RA. For these properties HCR will need sufficient resources and regulatory authority to administer State rental assistance directly with the owner.

The proposed regulations allow for the continuation of RRAP despite the expiration of the underlying RD 515 mortgage and RA, providing for funds (through rental assistance) to critical housing stock serving low-income residents in rural communities.

The proposed regulations also provide for the continuation of agency oversight through the adoption of the current federal RD rules and guidance, allowing for exceptions where federal rules are either inapplicable or not in the State's interest. To maintain consistency, additional personnel would be necessary to monitor properties for compliance as well as a more robust data system.

c. Unused Surplus RRAP Funds May Be Used in Future Years for Administrative Expenses Necessary to Administer the Program

The proposed regulation provides HCR with discretion to use surplus funds for administrative uses. To continue the RRAP program without USDA's oversight infrastructure, a policy framework, staffing plan and data system would require development. To maintain consistency, additional personnel would be required to monitor properties for compliance, to develop agency policies and procedures, and to procure a more robust data system. The proposed regulatory amendment allows the use of surplus RRAP funds to cover these expenses and continue program administration.

Finally, funds not used for administrative purposes could be used to add new RRAP units. This would assist in supplementing this critical source of housing for low-income seniors in rural areas.

d. Update the Regulations to Reflect Current NOFA Practices

The proposed regulation would reduce regulatory burden and conform the NOFA requirements for additional properties to reflect current agency practices.

4. COSTS:

a. Costs to Regulated Parties

There are no additional direct costs imposed on tenants or owners by these regulations as program administration will continue under framework set by USDA.

b. Costs to Agency

If RD does not decouple the federal mortgage from its rental assistance program for RD 515/521 properties in New York and, by extension, RD discontinues providing oversight to RRAP units, a solution would be required to continue administering RRAP funds unilaterally. Additional staff would be required for inspections and compliance monitoring, HCR would need to procure a new data system to facilitate monthly HAP, and possibly retain legal counsel to review policies and amend contracts, all of which would have associated expenses. While it is difficult to project those costs, costs may reach approximately $1.1 million per year. The cost, as proposed here, would be paid out of RRAP surplus funds.

c. Estimated Cost Methodology

As the program will continue to be run in conjunction with RD there are no significant initial costs associated with these regulations. In the event there is less involvement by RD there would be additional costs. At its most extreme, to operate RRAP without the assistance of RD, it is estimated that HTFC, utilizing those surplus funds, would need to hire the following staff: one program manager; supervisors for inspections and compliance monitoring; two inspectors, two compliance monitors, and one associate accountant, including salaries, fringe and indirect costs, this would cost at least $900,000 annually (contingent on future collectively bargained salary increases, seniority, etc.). Additionally, the program would require a more robust solution for managing data and facilitating monthly payments, requiring technology costs inclusive of initial software development and implementation. Technology costs can vary significantly, but based on a recent bid for Section 8, we estimate approximately $100,000 annually, not inclusive of initial software development and implementation. Finally, staff would incur expenses needed for supplies, equipment, and travel to perform inspections and on-site compliance monitoring, resulting in an additional $100,000 for travel, supplies, equipment, etc.

5. LOCAL GOVERNMENT MANDATES:

The proposed regulations will not impose any mandatory new program, service, duty, or responsibility upon any level of local government.

6. PAPERWORK:

The proposed regulations will not require additional reporting. On the contrary, the regulations will avoid the need for all new policy and procedures. If RD does not decouple the federal mortgage from its rental assistance program and, by extension, discontinues its oversight of RRAP units, then a solution would be required to continue administering RRAP funds unilaterally. In that event, we would need to draft and apply new policies and procedures, largely mirroring the current federal regulations, and establish HCR's own process for conducting inspections and supervisory visits (compliance monitoring).

7. DUPLICATION:

The proposed regulations are intentionally duplicative of certain federal standards because those standards in question may be expiring. If these standards expire, the regulations will not duplicate, overlap, or conflict

with any existing rules or legal requirements. Instead, they will facilitate a seamless transition from federal supervision to State supervision while ensuring the continued administration of RRAP.

Furthermore, the existing USDA MOU is designed to avoid duplication. By piggy-backing on the USDA RD's data management, compliance monitoring and regulatory framework, HCR can administer RRAP with only 1.5 full time employees – making this among the most efficient State programs. Moreover, as explained in Section 3.b. above, even if decoupling 521 RA from all RD 515 properties is authorized under ROAD to Housing Act, State RRAP regulations would remain necessary.

By implementing these regulations, HCR is acting proactively to ensure that the agency has sufficient options to preserve RRAP assistance regardless of what happens at the federal level. The proposed regulation to apply RD rules to properties not currently under federal oversight would allow HCR to, at minimum, preserve RRAP assistance in the event that no additional federal action takes place.

8. ALTERNATIVES:

The proposed regulations were made to reduce burden on owners and residents. Given the current national political environment, the proposed regulation modifications provide the only practical solution that allows the agency to pivot to a program providing rental subsidies if the pending Federal legislation is not enacted.

9. FEDERAL STANDARDS:

The proposed amendments duplicate federal standards that are set to expire with the expiration of the RD 515 mortgage. After these standards expire, the regulations will take their place, providing a smooth transition for owners and residents utilizing RRAP.

10. COMPLIANCE SCHEDULE:

It will take approximately one year for regulated parties to achieve compliance with this rule. Owners may need to execute contract amendments or new contracts. Additionally, owners may need to adjust to procedures for HAP issuance, inspections, and compliance monitoring. However, adjustments are expected to be minimal.

Revised Regulatory Flexibility Analysis

New York State Homes and Community Renewal ("HCR"), an umbrella agency encompassing the Division of Homes and Community Renewal ("DHCR") and the Housing Trust Fund Corporation ("HTFC"), seeks to update State regulations for the New York State Rural Rental Assistance Program ("RRAP"). A Regulatory Flexibility Analysis is not submitted with this rule making proposal because the proposal will not "impose an adverse economic impact on small businesses or local governments" and " would not impose reporting, recordkeeping or other compliance requirements on small businesses or local governments" as defined in the State Administrative Procedure Act Section 202-b. The proposed changes to the RRAP regulations are not expected to have any such adverse impact and instead the proposed regulations will be a benefit to small businesses and local government by facilitating the continuation of RRAP assistance despite the anticipated expiration of the underlying Federal program.

Revised Rural Area Flexibility Analysis

New York State Homes and Community Renewal ("HCR"), an umbrella agency encompassing the Division of Homes and Community Renewal ("DHCR") and the Housing Trust Fund Corporation ("HTFC"), seeks to update State regulations for the New York State Rural Rental Assistance Program ("RRAP"). HCR does not expect that the proposed amendments to the regulations implementing RRAP would have an adverse impact in rural areas or on public or private entities in rural areas as defined in the State Administrative Procedure Act Section 202-bb. HCR does not believe that the proposed amendments would impose any additional reporting, recordkeeping or other compliance requirements on public or private entities in rural areas. In fact, the proposed amendments are meant to ensure that owners are not required to apply two sets of regulations, one State and one Federal, to the same property or population.

Revised Job Impact Statement

New York State Homes and Community Renewal ("HCR"), an umbrella agency encompassing the Division of Homes and Community Renewal ("DHCR") and the Housing Trust Fund Corporation ("HTFC"), seeks to update State regulations for the New York State Rural Rental Assistance Program ("RRAP"). HCR does not expect that the proposed amendments to the regulations implementing RRAP would have an impact on existing or future jobs and employment opportunities. A Job Impact Statement is not submitted with this rule making proposal because the proposal will not have a "substantial adverse impact on jobs or employment opportunities," defined in the State Administrative Procedure Act Section 201-a. The proposed changes to the RRAP regulations are not expected to have any such substantial adverse impact and most likely will have little to no impact on jobs or employment opportunities.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2029, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

A Notice of Proposed Rule Making for amendments to the Rural Rental Assistance Program (RRAP) regulations was published in the State Register on October 15, 2025. A public hearing on the proposed RRAP regulations was held on December 17, 2025. The Division of Housing and Community Renewal (DHCR) accepted written comments before, during and at least five days after the public hearing, in conformity with the State Administrative Procedure Act (SAPA). No oral comments were presented and received at the public hearing.

Comments were submitted by two housing advocacy organizations. A synopsis of the comments and DHCR's responses are discussed below.

1. RRAP funds should be preserved exclusively for rental assistance payments.

DHCR plans to deploy RRAP funding for administrative purposes, where consistent with law, only in the instance of there being a surplus of funds. RRAP funds are administered through a partnership with the U.S. Department of Agriculture via a Memorandum of Understanding. Should circumstances at the federal level necessitate a change to DHCR's role, it may be necessary to hire additional staff or procure services to ensure continuity of operations and compliance connected with the provision of continued rental assistance payouts. The proposed regulatory amendment will accordingly authorize, where consistent with law, the use of surplus RRAP funds to cover these expenses and continue program administration.

DHCR has considered the submitted comment regarding repairs and capital needs. While DHCR continues, from a programmatic point of view, to consider the possible use of excess funds for these purposes as appropriately adjunct to rental assistance, DHCR understands the concerns raised by housing advocacy organizations that new initiatives at this time increase the level of potential uncertainty related to the possible transition describe above. Accordingly, the proposed regulatory language pertaining to the utilization of funding for repairs and capital improvements has been rescinded.

Department of Law

NOTICE OF ADOPTION

Implementation of Part N of Chapter 36 of the Laws of 2019 and Chapter 696 of the Laws of 2022; 352-e(6) and 352-eeee(7) of General Business Law

I.D. No. LAW-19-26-00018-A

Filing No. 808

Filing Date: 2026-09-01

Effective Date: 2026-09-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 18.1, 18.3, 18.5, 18.8, 23.1, 23.3 and 23.5 of Title 13 NYCRR.

Statutory authority: General Business Law, sections 352-e(6), 352-eeee(7); L. 2019, ch. 36, part N; L. 2022, ch. 696

Subject: Implementation of Part N of Chapter 36 of the Laws of 2019 and Chapter 696 of the Laws of 2022; 352-e(6) and 352-eeee(7) of General Business Law.

Purpose: To update regulations in accordance with Part N of Chapter 36 of the Laws of 2019 and Chapter 696 of the Laws of 2022.

Substance of final rule: Substantive revisions were made in sections 18.1, 18.3, 18.5, 18.8, 23.1, 23.3, 23.5, and 23.8.

Final rule as compared with last published rule: Nonsubstantial changes were made in sections 18.3(c)(4), 23.3(c)(1) and (m)(6).

Text of rule and any required statements and analyses may be obtained from: Jackie Dischell, Bureau Chief, Office of the Attorney General of the State of New York, 28 Liberty Street, New York, NY 10005, (212) 416-8655, email: jackie.dischell@ag.ny.gov

Revised Regulatory Impact Statement, Revised Regulatory Flexibility Analysis and Revised Rural Area Flexibility Analysis

Non-substantive changes were made to the proposed rule text which do not necessitate any revision to the Regulatory Impact Statement, Regulatory Flexibility Analysis, or Rural Area Flexibility Analysis, as they have no substantive impact. The four (4) minor and non-substantive changes are explained in full below:

Subsection 18.1(e)(5)

A typographical error was corrected to move a bracket.
New section 18.3(c)(4)
The font is partially changed to bold to mirror the current use of bolded text in certain parts of the entire rule.
Subsection 23.3(c)(1)
The font is partially changed to bold to mirror the current use of bolded text in certain parts of the entire rule.
Subsections 23.3(m)(5)(v), now renumbered (6)(v)
In subsection 23.3(m)(6)(v) of the renumbered (m)(6), a scrivener's error misplaced some text which has now been corrected. The heading made clear to the reader that this was meant to be a mere renumbering.
Subsection 23.3(n)(7)
Clarifying language was added to mirror description of the scope of application so that it matches the explanation throughout the rule and does not cause the reader any confusion.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2029, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Municipal Consumer Warranty on Emergency Vehicles – Arbitration Program Regulations

I.D. No. LAW-37-26-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Addition of Part 304 to Title 13 NYCRR.

Statutory authority: General Municipal Law, art. 5-A, section 109-d

Subject: Municipal Consumer Warranty on Emergency Vehicles – Arbitration Program Regulations.

Purpose: To promote the independent, speedy, efficient and fair disposition of disputes concerning defective ambulances and fire vehicles.

Substance of proposed rule (Full text is posted at the following State website:https://ag.ny.gov/proposed-rules-for-emergency-vehicle-lemon-law-arbitration-program): The New York State Office of the Attorney General is authorized to promulgate rules to set forth the procedures for the operation of an alternative arbitration mechanism as required by GML section 109-d(3)(b)(i). The proposed rules are designed to promote the independent, speedy, efficient and fair disposition of disputes concerning defective ambulances and fire vehicles. The rule provisions are titled as follows:

13 NYCRR 304.1 Purpose
13 NYCRR 304.2 Definitions
13 NYCRR 304.3 Appointment of Administrator
13 NYCRR 304.4 Municipal Consumer's Request for Arbitration
13 NYCRR 304.5 Filing Date
13 NYCRR 304.6 Assignment of Arbitrator
13 NYCRR 304.7 Scheduling of Arbitration Hearings
13 NYCRR 304.8 Adjournments
13 NYCRR 304.9 Request for Additional Information or Documents
13 NYCRR 304.10 Representation by Counsel or Third Party
13 NYCRR 304.11 Interpreters
13 NYCRR 304.12 Hearing Procedure
13 NYCRR 304.13 Hearing on Documents Only
13 NYCRR 304.14 Defaults
13 NYCRR 304.15 Withdrawal or Settlement Prior to Decision
13 NYCRR 304.16 The Decision
13 NYCRR 304.17 Recordkeeping
13 NYCRR 304.18 Miscellaneous Provisions

Text of proposed rule and any required statements and analyses may be obtained from: Ned Terrace, Assistant Attorney General, Office of the Attorney General, Consumer Frauds Bureau, 28 Liberty Street, (212) 416-6319, email: ned.terrace@ag.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority: General Municipal Law § 109-d authorizes the New York Attorney General to promulgate this rule.

2. Legislative objectives: The Legislature wanted to give municipal consumers the option to submit a dispute concerning defective ambulances and fire trucks to an independent arbitrator approved by the Attorney General. This rule sets forth the procedures for the operation of such arbitration mechanism.

3. Need and benefits: General Municipal Law § 109-d provides that the Attorney General shall promulgate regulations establishing an alternate arbitration mechanism for the resolution of disputes concerning defective ambulances and fire trucks.

4. Costs: The rule may result in a minimal cost to the Office of the Attorney General. The municipal consumer requesting arbitration will also be required to pay a filing fee. The Attorney General shall prescribe the amount of these fees.

5. Local government mandates: This rule does not impose any program, service, duty or responsibility upon any county, city, town, village, school district, fire district or other special district.

6. Paperwork: The professional arbitration firm (the "Administrator") that the Attorney General will appoint to administer this arbitration program will be required to keep all records pertaining to each arbitration for at least two years. The Administrator will also be required to make the records of a particular arbitration available for inspection upon written request by a party to that arbitration and shall make records of all arbitrations available to the Attorney General upon request. The Administrator shall also maintain records and statistics for the arbitration program.

7. Duplication: N/A.

8. Alternatives: N/A.

9. Federal standards: This rule does not exceed any minimum standards of the federal government.

10. Compliance schedule: Each supplier of ambulances and fire trucks sold to a New York municipal consumer shall notify the Attorney General, in writing, within 10 days after the effective date of these regulations, of the name, address and telephone number of the person designated to receive notices pertaining to arbitration.

Regulatory Flexibility Analysis

The Attorney General determined that a Regulatory Flexibility Analysis for small businesses and local governments for the proposed rule is not necessary because the proposed rule will not have a substantial adverse impact on small businesses or local governments. While municipal consumers may elect arbitration under this rule, doing so would be the municipal consumer's decision and only if the municipal consumer believed that arbitration would be helpful.

Rural Area Flexibility Analysis

This rule will give municipal consumers, including municipal consumers in rural areas, the opportunity to submit claims for defective ambulances and fire vehicles to an independent arbitrator approved by the Attorney General as an alternative to the arbitration procedure made available through the supplier of ambulances and fire vehicles.

An RAFA is not required because this rule will not impose any adverse impact on rural areas or reporting, recordkeeping or other compliance requirements on public or private entities in rural areas.

Office of Mental Health

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Prior Approval Review Process

I.D. No. OMH-37-26-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Repeal of Part 551; addition of new Part 551 to Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 7.07, 7.09 and 31.04

Subject: Prior Approval Review Process.

Purpose: To update the Prior Approval Review Process.

Substance of proposed rule (Full text is posted at the following State website: https://omh.ny.gov/omhweb/policy_and_regulations/): In addition to technical updates and eliminating outdated terminology by repealing and replacing Part 551, highlights of the Proposed Rule include:

551.1 Background and intent. Updates language to establish minimum standards for seeking an operating certificate from the Office of Mental Health (the Office) which meets appropriate criteria for quality, safety and

fiscal viability and to support the efforts of local governmental units in the process of planning and funding local systems of mental health service. Expedites the review of projects that are in conformance with the Statewide Plan and establishes an efficient and timely process for the initial licensure of a program.

551.2 Legal base. No substantive changes.

551.3 Applicability. Clarifies applicability to any existing or proposed limited liability company, corporation or public or private agency proposing a project and that such does not apply to family care home providers or programs not required to obtain an operating certificate.

551.4 Definitions. Removes outdated language and updates definitions for capacity and alteration to a capital project. Updates the definition of caseload to census. Removes an outdated definition for governing authority, and clarifies the definition of inpatient program, licensed housing and outpatient program.

551.5 Project planning and consultation. Clarifies for all providers (new and existing) minimum core elements that must be demonstrated during the prior-consultation process before submitting an application. Applications that do not meet these requirements will be considered incomplete. An applicant may resubmit for a prior consultation after any deficiencies have been addressed. Removes reference to Intensive Psychiatric Rehabilitation Treatment program and added Children's Mental Health Rehabilitation Services. Adds language to allow the Office to request a Memorandum of Understanding (MOU) when a project is physically hosted by another service provider (e.g. school-based satellites). Revises the requirement for applicants to submit a letter of support to the Office and the Local Governmental Unit (LGU) to allow the Office to establish other forms of obtaining written support. Clarifies that the Office Field office should be contacted and to clarify that prior consultation is a process required for Prior Approval Review (PAR) applications. These changes are consistent with the current process.

551.6 Project subject to prior approval review. Clarifies that an Administrative Action is a project type that requires prior approval by the Office. This is consistent with current process. Provides terminology to allow the Office more flexibility in how a project that consists of two or more components is categorized. The decision will no longer be solely based on what affords reviewers the most time for review and instead will be determined on a case-by-case basis. Clarifies PAR timeframes identified in regulation begin when a complete PAR application is received. Updates the requirement that a newly licensed provider submit a Comprehensive Prior Approval Review (CPAR) to establish a new program if their existing program has been in operation for less than 12 months instead of 6 months, to align with the duration of an initial program's Operating Certificate. Aligns the cost for capital projects that require CPAR submission with the Department of Health (DOH). Adds language to allow the Office to reclassify a project based on the Office's determination that the scope or impact of the proposed action upon the local service system is substantial. Provides clarification that existing providers need to submit an Easy Prior Approval Review (E-Z PAR) to establish their first Office licensed school-based satellite. This is consistent with current Office regulations and practice. Reduces burden on providers by removing the requirement for Part 599 programs to submit to the Office to expand/reduce capacity allowing them to expand and reduce capacity as need exists. Such expansions and reductions must be consistent with the program's licensed service area and population served. Removes and replaces the outdated term clinic with Mental Health Outpatient Treatment and Rehabilitative Services (MHOTRS) and replaces the term caseload with the term capacity. Increased the threshold that triggers an E-Z PAR submission from 10% to 15%. Added the term monthly caseload which is the term used by PROS providers. Clarifies that a change in service area requires prior approval of the office consistent with current practice. Updates terminology to clarify that all new licensed housing projects require a PAR including those selected through an Office Request for Proposal (RFP) consistent with Office practice. Clarifies an E-Z PAR for closure is needed when an agency ceases operation, consistent with current practice.

Creates flexibility in determining when a capital project does not require submission of an EZ-PAR which provides that most outpatient programs, currently not licensed by the Office, do not require a PAR for a capital project, consistent with current practice. Outpatient programs undergoing a capital project in a space that is currently licensed will require prior-approval, in part to ensure there is a satisfactory plan for safety and continuity of services during renovations. Clarifies Comprehensive Psychiatric Emergency Programs (CPEP) do require submission of a PAR for a capital project, even if the space is not currently licensed. Clarifies that all capital projects require a site visit to allow the Office to ensure the space is suitable, regardless of whether a PAR was required. This is consistent with current practice. Clarifies that the Office requires submission and prior support for Administrative Action projects. Reduces burden on providers by removing the requirement for MHOTRS programs to submit an application to the Office to expand or reduce capacity where such expansions and reductions are consistent with the program's licensed service area and population served. Increases the threshold triggering an Administrative Action (AA) submission from under 10% to under 15%. Removes the ability for providers to "split" one main MHOTRS program into two sites, a main program and a satellite program, via an AA. The proposed regulations will allow all providers who currently have at least one Office licensed SBS to request to license additional Satellite Based Sites (SBS) via an AA, the Office's least intensive PAR process. Together, these changes will reduce confusion and burden on providers and the Office. Changes the type of project required for a transfer of stock to be based on whether the transfer is to an existing stockholder member or partner (AA) or new stockholder member or partner (E-Z PAR) instead of a set percentage. Provides the Office with the flexibility to reclassify an AA as an EZ-PAR or CPAR based on the complexity of a project, if needed. Where the complexity of a project requires additional reviewers or an LGU is against a proposed AA project, the Office may reclassify the project to an EZ-PAR to allow additional reviewers and information or on rare occasion may reclassify an Administrative Action project to a CPAR to allow for review by Behavioral Health Services Advisory Council (BHSAC), as needed.

551.7 Standards for approval of projects. Adds language to clearly state what the Office requires when it reviews an application for character and competency of owners, shareholders, voting members, directors, partners and members. Requires any applicant for an operating certificate organized as a Limited Liability or business corporation shall not have fewer than three members or shareholders, each holding at least a 10% voting interest. This addition maintains consistency with other requirements, such as those set forth in not-for-profit corporation law that requires three natural person members and allows for additional levels of accountability as well as program continuity of services, adequate governance controls, and a method of separation or mitigation of compliance, fiscal and operational authority. Clarifies that providers who have already been issued operating certificates prior to January 1, 2027, are deemed in compliance. If however, a provider chooses to change their existing legal entity structure at a later date, the provider would then be required to come into compliance with section 551.7(a)(1) requirements. Adds language to clarify the criteria the Office will consider when evaluating if the project will increase the availability of necessary services to the poor and the medically indigent. Adds language to clarify that a provider may not allow a third party to provide behavioral services under the provider's operating certificate, via a management or clinical services contract to clarify that an entity providing Article 31 services must be licensed by the Office.

551.8 Application for prior approval review – project scope. Clarifies that an applicant, currently licensed by the office, will be in good standing with the office and must demonstrate the ability to comply with regulatory requirements in currently licensed programs prior to the office approving such application. Revises outdated references to the Office's prior recertification process, to allow the Office to take into consideration a providers demonstrated ability to meet regulatory requirements and their current standing with the Office, when determining if a provider's PAR application should be approved. Clarifies that a provider can request an extension to submit missing information if they are unable to submit the information within the standard 10 business days allowed.

551.9 Review of application for prior approval. Clarifies that the Office will review the application for completeness of information. For E-Z PAR applications, a completeness review will be made within three business days and the applicant will be notified of any lack of information within five business days of receipt of the application. The applicant has 10 business days to provide the additional information and failure to provide such information within 10 business days, without an approved extension, will constitute withdrawal of the application. Clarifies that upon the receipt of a complete application, the office will notify the applicant that review has begun. For projects undergoing CPAR or E-Z PAR review, the office will notify all reviewers the application is ready for review and where a review is not able to be conducted within the timeframes outlined above, the office may provide a written acknowledgment of the receipt of the application and provide a statement of the approximate date when the application will be reviewed. Provides that prior to issuing a decision, the office may reclassify a project for review. Unless otherwise specified, project approvals will be time limited to six months. Prior to the end of six months, an applicant may request in writing an extension of the approval. An applicant who asks for an extension of the six-month period must demonstrate to the commissioner's satisfaction that the project under which the conditional approval was granted have not substantially changed and that the project is moving, or will move, forward toward implementation within one year from the requested extension. Clarifies that failure to respond on a timely basis to conditions of approval as required by this Part will result in the application being withdrawn.

551.10 Review of physical facility. Provides where there is no substan-

tial progress toward completion within six months from when conditional approval was issued or if a project falls six months behind the approved development schedule, conditional approval of a capital project may be rescinded or the application may be withdrawn at the discretion of the office unless an extension of the approval is requested in writing and granted.

551.11 Licensed Housing. Removes the requirement of the establishment of licensed housing developed through the Office's RFP process by entities currently operating licensed housing will not require submission of a PAR application.

551.12 Additional criteria for inpatient programs. Clarifies that the Commissioner may grant a waiver of Mental Hygiene Law Section 9.39 requirements for up to two, one year periods if certain conditions are met, but is not required to grant a waiver. This is consistent with current practice and the intent of the law.

551.13 Special requirements for eligibility for operating certificates applicable to corporations and limited liability companies. Provides clarification relating to the requirements for new providers, reducing waste by allowing providers to recognize upfront the requirements of their entity structure, which is anticipated to reduce submission of applications by applicants whose entity structure do not meet the minimum licensure requirements. Provides notice to the applicant of the minimum required for corporate structure and governance so as to ensure governance controls, continuity of program as well as fiscal and operational authority and accountability.

Text of proposed rule and any required statements and analyses may be obtained from: Sara Paupini, Office of Mental Health, 44 Holland Ave., Albany, NY 12229, (518) 474-1331, email: regs@omh.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory Authority: Section 7.07(c) of the Mental Hygiene Law charges the Office of Mental Health with the responsibility for seeing that persons with mental illness are provided with care and treatment, and that such care, treatment and rehabilitation is of high quality and effectiveness.

Sections 7.09 and 31.04 of the Mental Hygiene Law grant the Commissioner of Mental Health the power and responsibility to adopt regulations that are necessary and proper to implement matters under their jurisdiction, and to set standards of quality and adequacy of facilities, equipment, personnel, services, records, and programs for the rendition of services for adults diagnosed with mental illness or children diagnosed with emotional disturbance, pursuant to an operating certificate.

2. Legislative Objectives: Articles 7 and 31 of the Mental Hygiene Law reflect the Commissioner's authority to establish regulations regarding mental health programs. The proposed rule furthers the legislative policy of providing high quality mental health services to individuals with mental illness in a cost-effective manner. The repeal and replacement of 14 NYCRR Part 551 ensure that individuals with mental illness receive effective services to address their illness.

3. Needs and Benefits: 14 NYCRR Part 551 governs the Office of Mental Health's (OMH) Prior Approval Review (PAR) process. These regulations have not been updated in nearly 15 years and there has been an increase in the number and type of licensed programs demanding a more current and efficient PAR review process. These proposed changes incorporate provider and local government unit feedback over the past several years on the PAR process. The PAR process was established to ensure that new and existing providers that offer licensed mental health services meet quality, safety, and fiscal viability standards. The purpose of the regulation is to: Include local governmental units in the development of mental health services within their local mental health system, establish an efficient process for the licensure of new programs and ensure all providers applying for licensure are treated equally. OMH is proposing the revision of 14 NYCRR Part 551 to improve quality, efficiency, and equity in the PAR process. The proposed regulation will add language to allow OMH to move projects down a review level based on impact upon the local service system, complexity of the project, and expenditure of State/local resources. Language is added to clarify when a closure PAR is required for change of sponsor projects, and clarify which PAR is required relating to transfers of stock to be based on whether the transfer is to an existing or new stockholder member, or partner. The proposed rule clarifies the type of application required for capital projects. Further, the proposed rule will expand the expectation that providers notify OMH of any changes to their Articles of Incorporation to include Articles of Organization (for-profit providers) and bylaws (when it impacts their corporate structure), adds language to allow OMH to request memorandums of understanding (MOU) when a project is physically hosted by another service provider (e.g. school-based satellites), adds language to clarify that a letter of support must come from the local government unit where the program will be located, and adds language allowing OMH to withdrawal an application that has conditional approval if there has been no substantial progress and there have been no requests for an extension after one year.

4. Costs:

(a) Cost to State government: These regulatory repeal and replacements will not result in any additional costs to State government.

(b) Cost to local government: These regulatory repeal and replacements will not result in any additional costs to local government.

(c) Cost to regulated parties: These regulatory repeal and replacements will not result in any additional costs to those regulated parties.

5. Local Government Mandates: These regulatory repeal and replacements will not result in any additional imposition of duties or responsibilities upon county, city, town, village, school, or fire districts. The regulations promote more efficient review and coordination of prior approval reviews.

6. Paperwork: No substantial increase in paperwork is anticipated as a result of the repeal and replacement of 14 NYCRR Part 551.

7. Duplication: These regulatory repeal and replacements do not duplicate existing State or federal requirements.

8. Alternatives: No alternatives were considered, as these repeal and replacements are designed to simplify the PAR process, and have been developed with feedback from regulated parties.

9. Federal Standards: The regulatory repeal and replacements do not exceed any minimum standards of the federal government for the same or similar subject areas.

10. Compliance Schedule: This rulemaking will be effective upon publication of a Notice of Adoption in the State Register.

Regulatory Flexibility Analysis

No regulatory flexibility analysis is required pursuant to section 202-b(3)(a) of the State Administrative Procedure Act. The proposed repeal and replacement does not impose any additional adverse economic impact on small businesses or local governments, and it does not impose any additional reporting, recordkeeping or other compliance requirements on small businesses or local governments.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis for the repeal and replacement of 14 NYCRR Part 551 is not required because the amendments will not impose any adverse impact or significant reporting, recordkeeping or other compliance requirements on public or private entities in rural areas. There are no professional services, capital, or other compliance costs imposed on public or private entities in rural areas as a result of the proposed amendments.

Job Impact Statement

A Job Impact Statement for the repeal and replacement of 14 NYCRR Part 551 is not being submitted because it is apparent from the nature and purposes of the amendments that they will not have a substantial adverse impact on jobs and/or employment opportunities.

Public Service Commission

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Sale of Certain Real Property to Sunrise Mall Holdings II LLC

I.D. No. PSC-37-26-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Liberty Utilities (New York Water) Corp. to sell certain real property located in the Town of Oyster Bay within the bounds of Nassau County, New York to Sunrise Mall Holdings II LLC for $3,250,000.

Statutory authority: Public Service Law, section 89-h(1)

Subject: Sale of certain real property to Sunrise Mall Holdings II LLC.

Purpose: To consider whether the sale of certain real property to the Sunrise Mall Holdings II LLC is in the public interest.

Substance of proposed rule: The Commission is considering a petition (Petition) filed by Liberty Utilities (New York Water) Corp. (Liberty NYW or the Company) filed on August 13, 2026, for the sale of certain real property located in the Town of Oyster Bay within the boundaries of Nassau County, New York (the Property) to Sunrise Mall Holding II LLC (the Buyer). Liberty NYW requests the Commission's approval of the transaction pursuant to Public Service Law § 89-h.

Liberty NYW is a water-work corporation that provides metered water service to approximately 127,000 customers in Nassau, Orange, Putnam, Sullivan, Ulster, Washington, and Westchester County. The Property comprises of approximately 10.31 acres of non-continuous land, containing buildings, parking lots, and internal roadways which functioned as a former mall property as part of a larger set of parcels. The Property contains an existing well and related pipe facilities that Liberty NYW owns and operates. The Company would be transferring the Property to the Buyer at a price of $3,250,000 in consideration for the transfer. The Purchase and Sale Contract (Sale Agreement) dated May 4, 2026, outlines the terms between the Company and the Buyer for sale of the Property. These terms include a requirement that the Buyer execute an agreement to establish a perpetual easement granting certain real property rights within the Property to Liberty NYW.

The Petition states this easement agreement would establish an "Easement Area" of approximately 6.5 acres, granting Liberty NYW rights necessary to operate and maintain the well and related pipe facilities the Company currently owns and operates on the Property; prohibit certain land uses and contaminant sources in approximately 4 acres of land nearby the Easement Area; and enable Liberty NYW to expand the water plant in the Easement Area. The Petition also states that the Buyer would continue to pay costs and expenses associated with the Easement Ara, including property taxes.

Thus, the Petition states the transfer would have no negative impact on the Company's operation of its well and related pipe facilities on the land and would allow the Company to extend water facilities on the Property without increasing its property tax or other expenses. Further, the Petition states Liberty NYW would allocate 90% of the net after-tax gains from the proposed transfer to Liberty NYW's shareholders and 10% to the Company's customers, while customers have not borne any costs or supported returns on the Property through rates. As such, the Petition asserts the proposed transfer would not impact the Company's provision of safe and adequate service and is otherwise in the public interest.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: beth.faranda@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(26-W-0545SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Financial Support for the Copenhagen Hydroelectric Facility

I.D. No. PSC-37-26-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Patriot Hydro Funding, LLC on June 23, 2026, seeking financial support for the 3.3 megawatt Copenhagen hydroelectric facility, which is located in Copenhagen, New York.

Statutory authority: Public Service Law, sections 4(1), 5(1), (2), 65(1), 66(1), (2) and (5); New York Energy Law, section 6-104(5)(b)

Subject: Financial support for the Copenhagen hydroelectric facility.

Purpose: To consider whether a contract award is needed to maintain the facility's renewable output and what level of support is needed.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition, filed on June 23, 2026, seeking financial support under Tier 2 of the Commission's Renewable Energy Standard (the Maintenance Tier) for the 3.3 megawatt (nameplate) Copenhagen hydroelectric facility, located in Village of Copenhagen, Lewis County, New York (the Facility). The Facility is directly owned by Copenhagen Hydro, LLC, while its affiliate, Patriot Hydro Funding, LLC (Patriot Hydro), is an indirect owner and direct manager. Patriot Hydro requests that a contract be awarded for the Facility, which has seen increased operating and maintenance expenses due to several required repairs such as: (1) ongoing National Grid and New York Independent System Operator, Inc. (NYISO) interconnection requirements; and (2) the investigation, repair, and replacement of damaged components on Units 1 and 2, including bearings, shafts, runners, and other related equipment, following mechanical failures experienced in early 2026. The petition states that these projects are necessary to maintain compliance with interconnection requirements and to restore the units to safe and reliable operation. Patriot Hydro requests a ten year contract award of $47.29 per MWh for each Renewable Energy Certificate that the Facility creates associated with its positive environmental attributes.

The Maintenance Tier, which the Commission adopted in Case 15-E-0302 on August 1, 2016, and has been subsequently modified, is intended to support certain existing baseline small hydroelectric and wind facilities that demonstrate financial need, and that would cease operations and no longer produce positive emissions attributes without such financial support. Upon review of the relevant inputs used to calculate the award, including changes to those inputs, the Commission may adjust the award price requested upward or downward. The Commission may also seek to standardize the formula to be utilized in computing the maintenance tier award.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: http://www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve other related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: beth.faranda@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(17-E-0603SP21)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Transfers of Ownership Interests in an Interstate Natural Gas Pipeline

I.D. No. PSC-37-26-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition by Alliance Energy Group, LLC, and Linger Energy Holdings, LLC (LEH), requesting approval to transfer 100% of LEH's membership interests in Alliance Energy Transmissions—Syracuse, LLC.

Statutory authority: Public Service Law, sections 4(1), 5(1), (2) and 70

Subject: Transfers of ownership interests in an interstate natural gas pipeline.

Purpose: To consider whether the proposed ownership transfers are in the public interest.

Substance of proposed rule: The Public Service Commission (Commis-

sion) is considering a petition filed by Alliance Energy Group, LLC (AEG), and Linger Energy Holdings, LLC (LEH) on June 22, 2026, requesting approval, pursuant to Section 70 of the Public Service Law, to transfer of 100% of LEH's membership interests in Alliance Energy Transmissions–Syracuse, LLC (AET-Syracuse). AET-Syracuse owns an approximately 9.5-mile intrastate natural gas pipeline that supplies gas transportation services to Syracuse University, which is a non-residential customer. The proposed transfer would consist of the transfer of all of LEH's membership interests in AET-Syracuse back to AEG as an intermediary holding company, making AEG the sole member of AET-Syracuse. AEG would then make a donative transfer of all the membership interests in AET-Syracuse to One World Community Foundation, Inc. (OWCF). Following the proposed transaction, AET-Syracuse would continue to provide gas transportation pursuant to its existing transportation agreement, while AEG would continue to be the guarantor of the gas transportation services agreement. The same personnel would operate and maintain the gas transmission line under existing agreements. The petition also requests that the Commission continue to apply the lightened regulatory regime previously approved for AET-Syracuse after the transfers are completed.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: http://www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve other related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: beth.faranda@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(26-G-0441SP1)

State University of New York

NOTICE OF ADOPTION

Tuition Liability and Refunds

I.D. No. SUN-22-26-00019-A
Filing No. 807
Filing Date: 2026-09-01
Effective Date: 2026-09-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 302.2(b)(6) of Title 8 NYCRR.

Statutory authority: Education Law, section 355(2)(b) and (h)

Subject: Tuition liability and refunds.

Purpose: Amend existing regulations to provide increased flexibility to address student tuition liability in case of undue hardship.

Text or summary was published in the June 3, 2026 issue of the Register, I.D. No. SUN-22-26-00019-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Lisa S. Campo, State University of New York, H. Carl McCall SUNY Building, Albany, NY 12246, (518) 320-1400, email: Lisa.Campo@SUNY.edu

Assessment of Public Comment

The agency received no public comment.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Agriculture and Markets, Department of		
AAM-37-26-00002-P	Examination of Livestock, Domestic Animal Health Permits, Movement and Transfer of Horses, Vesicular Stomatitis	Department of Agriculture and Markets, 10b Airline Dr., Albany, NY—November 24, 2026, 1:30 p.m.
Environmental Conservation, Department of		
ENV-29-26-00001-P	Regulations Governing Recreational Fishing for Atlantic Cod	Virtual—September 22, 2026, 2:00 p.m.
ENV-37-26-00006-P	Reclassification of Newtown Creek	Department of Environmental Conservation, Region 2 Office, 8th Fl., Conference Rm. 834, 47-40 21st St., Long Island City, NY—November 16, 2026, 2:00 p.m. Virtual—November 19, 2026, 2:00 p.m. Instruction for virtual hearing registration and connecting to the virtual hearing via phone or internet can be found at: https://meetny-gov.webex.com/weblink/register/r967f4bb732a192068aec50722d8cc14e
Labor, Department of		
LAB-30-26-00001-P	Tree Maintenance and Removal	Department of Labor, W.A. Harriman Campus, Bldg. 12, Albany, NY—October 1, 2026, 10:00 a.m.
Public Service Commission		
PSC-26-26-00003-P	Proposed Major Rate Increase in Liberty's Total Annual Revenues by Approximately $38.11 Million	Department of Public Service, 19th Fl. Board Rm., Three Empire State Plaza, Albany, NY—November 2, 2026 and continuing daily as needed*, 10:30 a.m. *On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 26-W-0358.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	**01**	**12**	**00001**	**P**

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AGRICULTURE AND MARKETS, DEPARTMENT OF			
AAM-37-26-00002-P	11/24/27	Examination of Livestock, Domestic Animal Health Permits, Movement and Transfer of Horses, Vesicular Stomatitis	Update regulations based on current science to prevent unnecessary risk of spread of infectious disease.
ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF			
ASA-42-25-00002-RP	10/22/26	State level certification of Certified Community Behavioral Health Centers (CCBHCs) in New York by OMH and OASAS	To provide for oversight of CCBHCs by the Offices (OMH and OASAS)
ASA-45-25-00001-P	11/12/26	General service standards for substance use disorder outpatient programs	To clarify certain services in the regulation and bring children and family treatment and support services into Part 822
ASA-24-26-00005-P	06/17/27	Establishment, Incorporation and Certification of Providers of Addiction Services.	Standards related to certification of providers of addiction services.
ASA-26-26-00009-P	07/01/27	Incident reporting in OASAS certified, funded, operated programs (836) and OASAS general provisions (800).	To replace outdated terminology, correct for inconsistencies, and clarify definitions.
CHILDREN AND FAMILY SERVICES, OFFICE OF			
CFS-46-25-00014-P	11/19/26	Diligence of efforts requirements and permanency planning for youth in foster care	To provide greater clarity and specificity for permanency planning requirements for youth in foster care
CFS-19-26-00002-P	05/13/27	Kinship foster boarding home standards	To establish separate, more flexible standards for approval of kinship foster homes
CFS-26-26-00001-P	07/01/27	Epinephrine devices	To make conforming changes to statutory amendments made to PHL 3000-c, in accordance with Chapter 502 of the Laws of 2025.
CFS-29-26-00002-P	07/22/27	Child Care Substitute Pools	To implement SSL 390-n and outline the process to place substitute caregivers at licensed and registered child care programs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-27-26-00001-P	07/08/27	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-27-26-00002-P	07/08/27	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-27-26-00003-P	07/08/27	Jurisdictional Classification	To classify a position in the exempt class.
CVS-27-26-00004-P	07/08/27	Jurisdictional Classification	To delete a position from and to classify a position in the exempt class.
CVS-27-26-00005-P	07/08/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-27-26-00006-P	07/08/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-27-26-00007-P	07/08/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-27-26-00008-P	07/08/27	Jurisdictional Classification	To classify a position in the exempt class.
CVS-27-26-00009-P	07/08/27	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class
CVS-27-26-00010-P	07/08/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-31-26-00003-P	08/05/27	Jurisdictional Classification	To delete a position from and to classify a position in the exempt class.
CVS-31-26-00004-P	08/05/27	Jurisdictional Classification	To classify a position in the exempt class.
CVS-31-26-00005-P	08/05/27	Jurisdictional Classification	To classify a position in the exempt class.
CVS-31-26-00006-P	08/05/27	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class
CVS-31-26-00007-P	08/05/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-31-26-00008-P	08/05/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-31-26-00009-P	08/05/27	Jurisdictional Classification	To classify positions in the non-competitive class
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-24-26-00017-P	06/17/27	Fixed Camera Coverage	Establish regulations for providing fixed camera coverage in department facilities.
CCS-32-26-00001-P	08/12/27	Watertown Correctional Facility	Repeal section pertaining the Watertown Correctional Facility which was closed in 2021.
CCS-33-26-00002-P	08/19/27	Records Access	Update Department Personal Privacy regulations to clarify existing procedures and reflect current organizational responsibilities
CCS-33-26-00003-P	08/19/27	Department Records	Update Department FOIL regulations to clarify existing procedures and reflect current organizational responsibilities.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-04-26-00004-P	01/28/27	Staff development (probation)	The proposed amendments make necessary updates to modernize the regulations involving staff development
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-14-26-00020-P	04/08/27	Empire State Independent Film Production Tax Credit Program	To create the administrative process of this tax credit program
EDV-14-26-00021-P	04/08/27	Empire State Film Production Tax Credit Program	To update the administrative process of this tax credit program
EDV-17-26-00001-P	04/29/27	New York State Minority and Women-owned Business Enterprise (MWBE) Program	Amendments to the implementation of the MWBE Program
EDV-32-26-00005-P	08/12/27	Digital Gaming Media Production Tax Credit Program	To update the additional administrative process of this tax credit program and conform to statute
EDV-35-26-00002-P	09/02/27	Empire State Post Production Tax Credit Program	To update the additional administrative process of this tax credit program and conform to statute
EDUCATION DEPARTMENT			
EDU-42-25-00014-P	10/22/26	Special education due process hearings	Clarifying the due process rights of parents of students who parentally-place their children in nonpublic schools
EDU-17-26-00006-P	04/29/27	Licensure requirements for certified public accountants in New York State	To implement chapter 530 of the Laws of 2025 and make other technical amendments
EDU-17-26-00007-P	04/29/27	Administration of the grade 5 science assessment	To reflect that the administration of the Elementary-level science test has moved from grade 4 to grade 5
EDU-22-26-00015-P	06/03/27	State Financial Aid Eligibility	To align the Commissioner's regulations with Chapter 606.
EDU-22-26-00016-P	06/03/27	Experience requirements for speech-language pathology	To align NY's experience requirements for speech-language pathology with national standards
EDU-22-26-00017-EP	06/03/27	State Professional Standards and Practices Board for Teaching	Ensure that PSPB membership is consistent with regulatory requirements, revises full-time experience requirement for members.
EDU-22-26-00018-EP	06/03/27	Shared pharmacy services.	To conform the Commissioner's regulations with Chapter 491 of the Laws of 2024.
EDU-26-26-00007-EP	07/01/27	Supplementary Certificate and Supplementary Bilingual Education Extension Requirements	Provide flexibility for Supplementary Certificate/ Supplementary Bilingual Education Extension reqs. due to influx of students
EDU-26-26-00008-P	07/01/27	Supervision of physical therapist assistants	To implement Chapter 549 by updating on-site supervision requirements
EDU-30-26-00006-EP	07/29/27	Temporary charitable practice authorizations	See attached.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-30-26-00007-P	07/29/27	See attached.	To ensure that teacher candidates are prepared to teach evidence-based literacy instruction.
EDU-30-26-00008-P	07/29/27	Receivership under the Every Student Succeeds Act (ESSA) Accountability System.	See attached.
EDU-30-26-00009-P	07/29/27	Requirements for Coaches of Interscholastic Athletic Teams	Update outdated coaching license regs, simplify licensing, standardize education reqs to reduce burdens and improve consistency
ELECTIONS, STATE BOARD OF			
SBE-10-26-00002-EP	03/11/27	Providing conflict of interest regulations for local board of elections employees	To ensure the bipartisan, standardized, transparent, application of conflicts of interest rules at boards of elections
SBE-24-26-00022-P	06/17/27	Transmission timing for sending certain voter registration information from county Boards of Election to the State Board	To extend the reporting requirement time frame to ease the administrative burden on the county board of elections
SBE-24-26-00023-P	06/17/27	Fair Campaign Code Proceedings	To provide clarity on required time frames for filings and procedures for administrative hearings under the code
SBE-24-26-00024-P	06/17/27	Write-in votes	To determine the validity of write-in votes
SBE-24-26-00025-P	06/17/27	Ballot drop boxes	Provides procedures and requirements for ballot drop boxes
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-06-26-00009-P	02/11/27	Coolwater Sportfishing Regulations	Coolwater sportfishing regulations must be amended to eliminate regulations no longer needed and protect vulnerable populations
ENV-12-26-00004-P	05/28/27	Amend the existing regulations to include changes needed based on recent statutory revisions.	To require larger generators of food waste to donate edible food and recycle food waste if facilities exist to manage.
ENV-21-26-00002-EP	05/27/27	Black Sea Bass Recreational Fishing	To decrease the minimum size limit and implement an earlier season start date for recreational Black Sea Bass.
ENV-24-26-00007-P	06/17/27	Leashed Tracking Dogs	Leashed Tracking Dog regulations will be amended to remove unnecessary application fees and to correct name of the Division.
ENV-26-26-00002-P	09/02/27	treatment and disposal of landfill leachate	establish requirements for the treatment of leachate generated at MSW and C&D debris landfills with leachate collection systems
ENV-28-26-00001-EP	07/15/27	Sanitary Condition of Shellfish Lands.	To reclassify underwater shellfish lands to protect public health and general welfare.
ENV-29-26-00001-P	09/22/27	Regulations governing recreational fishing for Atlantic Cod	To reduce fishing mortality on Atlantic Cod and maintain consistency with federal rules
ENV-36-26-00001-P	09/09/27	Propagation and sale of fur-bearing animals	Consensus rule making, repeal obsolete rule.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-37-26-00006-P	11/19/27	Reclassification of Newtown Creek	Amend the classification of Newtown Creek from Class SD to Class I
ETHICS AND LOBBYING IN GOVERNMENT, COMMISSION ON			
ELG-28-26-00002-P	07/15/27	Amendment to the Statement of Registration Fee	Amends 19 NYCRR 943.10 to ensure consistency with Legislative Law section 1-a.
ELG-32-26-00004-P	08/12/27	Outside activity restrictions and approval procedures	To provide clarity by adding definitions and eliminating ambiguity.
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liabilty Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-23-26-00013-P	06/10/27	Risk-Based Capital	To eliminate the 1/1/27 sunset date to make permanent the treatment of shares of an eligible exchange traded fund as bonds.
DFS-24-26-00002-P	06/17/27	Confidentiality Protocols for Victims of Domestic Violence and Endangered Individuals	To ensure that insurers have confidentiality protocols in place for domestic violence victims and endangered individuals
DFS-28-26-00003-P	07/15/27	Implementation of Banking Law Article 14-B	This rule implements Article 14-B of the Banking Law.
DFS-29-26-00003-P	07/22/27	Issuance of Payment Stablecoins	To provide for the regulation of payment stablecoin issuers.
DFS-31-26-00001-P	08/05/27	Special Risk Insurance	To revise the regulation to conform with Insurance Law article 63 and to make other technical amendments.
DFS-36-26-00002-P	09/09/27	Flexible Rating for Nonbusiness Automobile and For Hire Motor Vehicle Insurance Policies	To conform to new Insurance Law section 2356(d) and amended section 2350
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-29-25-00001-RP	10/21/26	Special Needs Assisted Living Residence (SNALR) Voucher Program	To establish the guidelines surrounding the SNALR Voucher Program for Persons with Dementia.
HLT-46-25-00015-P	11/19/26	Children's Camps	To protect the health and safety of children who attend children's overnight, summer day and traveling summer day camps.
HLT-04-26-00005-P	01/28/27	Personal Care Services (PCS) and Consumer Directed Personal Care Services (CDPCS)	Allows Licensed Practical Nurses in addition to Registered Nurses to perform initial assessments for PCS & CDPCS in NYS Medicaid

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
HLT-07-26-00004-P	02/18/27	Chemical Analyses of Blood, Urine, Breath or Saliva for Alcoholic Content	To update, clarify, and simplify certain requirements.
HLT-09-26-00001-P	03/04/27	Standards for Tissue Banks and Nontransplant Anatomic Banks, and conforming changes.	Update to reflect current standards, practices & federal requirements; include additional protections for donors and recipients.
HLT-11-26-00001-P	03/18/27	Radiology Services	To define portable radiology as a type of mobile radiology.
HLT-22-26-00001-P	06/03/27	Medical Aid in Dying (MAID) Physician Reporting Requirements	To establish reporting requirements for physicians who use MAID so that the Department can determine utilization & compliance.
HLT-24-26-00010-P	06/17/27	Nurse Aide Training Program	To change the number of training hours for the Nurse Aide Training Program.
HLT-24-26-00011-P	06/17/27	Streamlining Medicaid Provider Recordkeeping Requirements	To eliminate unnecessary requirements and simplify and clarify recordkeeping requirements for health care providers.
HLT-24-26-00012-P	06/17/27	Updating Medicaid provider Electronic Orders and Forms	To explicitly authorize provider use of electronic orders and forms.
HLT-25-26-00011-P	06/24/27	Organ Donation Conforming Changes	To add close friend to list of those that may consent to an anatomical gift. Patients may be placed on multiple transplant lists
HLT-27-26-00011-P	07/08/27	Communicable Disease Reporting, Definitions and Specimen Collection	To update and clarify requirements for communicable disease reporting, definitions, and specimen collection.
HLT-29-26-00012-P	07/22/27	Community Paramedicine Programs	To establish rules and regulations relative to the practice of community paramedicine in New York State.
HOMELAND SECURITY AND EMERGENCY SERVICES, DIVISION OF			
HES-22-26-00002-P	06/03/27	Modifying reporting year and deadlines for reports under the Volunteer Firefighter Enhanced Cancer Disability Benefits Program	To encourage compliance, consistency, enhanced accuracy of reports from fire districts, departments, and/or companies
HUMAN RIGHTS, DIVISION OF			
HRT-24-26-00008-P	06/17/27	Hearing and settlement procedures.	To revise hearing and settlement procedures to reflect current practice and promote equitable efficiency.
HRT-34-26-00002-P	08/26/27	Requests for Division records and investigation files.	To revise and modernize procedures to request Division records and investigation files.
LABOR, DEPARTMENT OF			
LAB-35-26-00003-P	09/02/27	Alternate Apprentice to Journeyworker Ratios	Allow temporary variances from minimum apprentice/journeyworker ratios to meet the demand for skilled labor across the State.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LAW, DEPARTMENT OF			
LAW-04-26-00014-P	01/28/27	Price gouging	Define abnormal disruptions of the market arising from stress of weather and convulsions of nature
LAW-27-26-00012-P	07/08/27	Resolution of conflicts of interest arising from OAG's dual roles in investigating/prosecuting and defending State officers.	See attached.
LAW-37-26-00007-P	09/16/27	Municipal Consumer Warranty on Emergency Vehicles – Arbitration Program Regulations	To promote the independent, speedy, efficient and fair disposition of disputes concerning defective ambulances and fire vehicles
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap
*LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets
*LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
*LPA-37-20-00013-EP	exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
*LPA-12-21-00011-P	exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input
*LPA-17-22-00012-P	exempt	COVID-19 arrears forgiveness and low-income customer discount eligibility	To implement an arrears forgiveness program and expand low-income customer discount eligibility
*LPA-17-22-00014-P	exempt	LIPA's delivery service adjustment cost recovery rider	To ensure recovery of T&D property tax expenses consistent with the LIPA Reform Act, at the lowest cost to LIPA customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
*LPA-39-23-00025-P	exempt	The Small Generator Interconnection Procedures in the Authority's Tariff	To update the small generator interconnection procedures consistent with Public Service Commission guidance
*LPA-46-23-00011-P	exempt	12-month Bill Protection Guarantee	To broaden applicability of Bill Protection Guarantee to all customers that enroll in Rate Code 194 or 195 by last migration
*LPA-39-24-00010-P	exempt	Standby and Buyback Service Rates	LIPA Staff propose to modify the Tariff to better integrate DERs to the grid with updated and improved Standby and Buyback rates
*LPA-45-24-00007-P	exempt	Retail Energy Storage Procurement and Residential Energy Storage Programs	To clarify how costs associated with Retail Energy Storage Procurement and Residential Energy Storage Programs are recovered
LPA-13-26-00009-P	exempt	Electric Vehicle Phase-In Rates ("EVPIR") for behind-the-meter storage that is not paired with a distributed generator.	To allow customers with behind-the-meter storage that is not paired with a distributed generator to participate in its EVPIR.
LPA-26-26-00006-P	exempt	Small Generator Interconnection Procedures (SGIP)	To modify the SGIP to align with Statewide SIR by incorporating Appendix S
MENTAL HEALTH, OFFICE OF			
OMH-13-26-00002-P	04/01/27	Mental Health Outpatient Treatment and Rehabilitative Services (MHOTRS).	Provides increased flexibilities for MHOTRS and provides regulatory clarification.
OMH-24-26-00013-P	06/17/27	Repeals COVID requirements.	To Repeal Part 557.
OMH-24-26-00018-P	06/17/27	Administrative Compensation.	To Repeal Part 513 as Executive Order 38 has sunset.
OMH-37-26-00001-P	09/16/27	Prior Approval Review Process.	To update the Prior Approval Review Process.
MOTOR VEHICLES, DEPARTMENT OF			
MTV-09-26-00003-P	03/04/27	Drivers' Licenses - classifications, endorsements and restrictions	Adds a new "J" license endorsement for escort vehicle operation.
MTV-18-26-00002-P	05/06/27	Alcohol & Drug Rehabilitation Programs	Fee increase for participation in the IDP
MTV-25-26-00001-P	06/24/27	Point System	To add points for violating the unlawful solicitation of ground transportation services at an airport
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
*NFW-52-22-00004-EP	exempt	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
NIAGARA FALLS WATER BOARD			
NFW-50-25-00003-EP	12/17/26	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders.
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-50-25-00004-EP	12/17/26	Clarifying what facilities OPWDD can designate an Intermediate Care Facility for Individuals with Intellectual Disabilities.	To allow specialty hospitals to be certified as an intermediate care facility for individuals with intellectual disabilities.
PDD-52-25-00001-P	12/31/26	Article 16 Clinic Services Eligibility	Clarify eligibility requirements for services at Article 16 clinics.
PDD-24-26-00015-P	06/17/27	Personal Allowance Receipts	To allow more flexibility for providers when administering personal allowances.
PDD-24-26-00016-P	06/17/27	Representative Payee	To allow more flexibility for providers relating to representative payee funds and responsibilities.
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PUBLIC EMPLOYMENT RELATIONS BOARD			
PRB-31-26-00014-P	08/05/27	Rules and regulations to effectuate the purpose of the State Employment Relations Act (Labor Law Art. 20).	To enact procedures for electronic signatures and to update procedures applicable in PERB's private sector jurisdiction.
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-15-04-00022-P	exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies

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PUBLIC SERVICE COMMISSION			
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.

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PUBLIC SERVICE COMMISSION			
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower

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PUBLIC SERVICE COMMISSION			
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Vernon and TW Telecom of New York L.P.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and TW Telecom of New York L.P.
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the $5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income $5 Bill Credit Program

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PUBLIC SERVICE COMMISSION			
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to $50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify, in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify, in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	exempt	Approval of a contract for $250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of $250,000 for tank repairs
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about $25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than $100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-32-13-00009-P	exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC)	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s)
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of $94,000	To consider allowing Knolls Water Company to enter into a long-term loan agreement
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures	To improve gas safety performance
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator	To consider waiver of RG&E's tariffed definition of emergency generator
*PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid
*PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established	To balance the need for the information necessary to support a robust market with customer privacy concerns
*PSC-28-14-00014-P	exempt	Petition to transfer systems, franchises and assets	To consider the Comcast and Charter transfer of systems, franchise and assets
*PSC-30-14-00023-P	exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter
*PSC-30-14-00026-P	exempt	Petition for a waiver to master meter electricity	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive, Albany, NY
*PSC-31-14-00004-P	exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-36-14-00009-P	exempt	Modification to the Commission's Electric Safety Standards	To consider revisions to the Commission's Electric Safety Standards
*PSC-38-14-00003-P	exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-38-14-00004-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn
*PSC-38-14-00005-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2
*PSC-38-14-00007-P	exempt	Whether to expand Con Edison's low income program to include Medicaid recipients	Whether to expand Con Edison's low income program to include Medicaid recipients
*PSC-38-14-00008-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn
*PSC-38-14-00010-P	exempt	Inter-carrier telephone service quality standard and metrics and administrative changes	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines
*PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2
*PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers
*PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1
*PSC-40-14-00011-P	exempt	Late Payment Charge	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
*PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY
*PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-40-14-00015-P	exempt	Late Payment Charge	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
*PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line
*PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY
*PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program
*PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million
*PSC-18-15-00005-P	exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-15-00008-P	exempt	Notice of Intent to Submeter electricity	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York
*PSC-29-15-00025-P	exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	exempt	Development of a Community Solar Demonstration Project	To approve the development of a Community Solar Demonstration Project
*PSC-33-15-00009-P	exempt	Remote net metering of a demonstration community net metering program	To consider approval of remote net metering of a demonstration community net metering program
*PSC-33-15-00012-P	exempt	Remote net metering of a Community Solar Demonstration Project	To consider approval of remote net metering of a Community Solar Demonstration Project
*PSC-34-15-00021-P	exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements
*PSC-44-15-00028-P	exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	exempt	Whitepaper on Implementing Lightened Ratemaking Regulation	Consider Whitepaper on Implementing Lightened Ratemaking Regulation
*PSC-48-15-00011-P	exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016	Consider the proposed retirement of Huntley Units 67 and 68
*PSC-50-15-00006-P	exempt	The reduction of rates	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	exempt	Notice of Intent to submeter electricity	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York
*PSC-51-15-00010-P	exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-04-16-00007-P	exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility
*PSC-04-16-00012-P	exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station
*PSC-04-16-00013-P	exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic
*PSC-06-16-00013-P	exempt	Continued deferral of approximately $16,000,000 in site investigation and remediation costs	To consider the continued deferral of approximately $16,000,000 in site investigation and remediation costs
*PSC-06-16-00014-P	exempt	MEGA's proposed demonstration CCA program	To consider MEGA's proposed demonstration CCA program
*PSC-14-16-00008-P	exempt	Resetting retail markets for ESCO mass market customers	To ensure consumer protections with respect to residential and small non-residential ESCO customers
*PSC-18-16-00013-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00014-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00015-P	exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process	To ensure consumer protections for ESCO customers
*PSC-18-16-00016-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00018-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-20-16-00008-P	exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP)	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP)
*PSC-20-16-00010-P	exempt	Deferral and recovery of incremental expense	To consider deferring costs of conducting leak survey and repairs for subsequent recovery
*PSC-20-16-00011-P	exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device
*PSC-25-16-00009-P	exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018	To extend the time period between the Companies' third-party assessments of customer personally identifiable information
*PSC-25-16-00026-P	exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications
*PSC-28-16-00017-P	exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework	To determine appropriate rules for and calculation of the distributed generation reliability credit

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-29-16-00024-P	exempt	Participation of NYPA customers in surcharge-funded clean energy programs	To consider participation of NYPA customers in surcharge-funded clean energy programs
*PSC-32-16-00012-P	exempt	Benefit-Cost Analysis Handbooks	To evaluate proposed methodologies of benefit-cost evaluation
*PSC-33-16-00001-EP	exempt	Use of escrow funds for repairs	To authorize the use of escrow account funds for repairs
*PSC-33-16-00005-P	exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges
*PSC-35-16-00015-P	exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-40-16-00025-P	exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP)	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements
*PSC-47-16-00009-P	exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-20-17-00010-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel
*PSC-24-17-00006-P	exempt	Development of the Utility Energy Registry	Improved data access
*PSC-34-17-00011-P	exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-42-17-00010-P	exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report	To consider NFGD's petition for rehearing
*PSC-50-17-00017-P	exempt	New Wave Energy Corp.'s petition for rehearing	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	exempt	Application of the Public Service Law to DER suppliers	To determine the appropriate regulatory framework for DER suppliers
*PSC-50-17-00019-P	exempt	Transfer of utility property	To consider the transfer of utility property
*PSC-50-17-00021-P	exempt	Disposition of tax refunds and other related matters	To consider the disposition of tax refunds and other related matters

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-51-17-00011-P	exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project
*PSC-04-18-00005-P	exempt	Notice of intent to submeter electricity	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity
*PSC-05-18-00004-P	exempt	Lexington Power's ZEC compliance obligation	To promote and maintain renewable and zero-emission electric energy resources
*PSC-07-18-00015-P	exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades	To consider AEC's petition requesting resolution of their billing dispute with National Grid
*PSC-11-18-00004-P	exempt	New York State Lifeline Program	To consider TracFone's petition seeking approval to participate in Lifeline
*PSC-13-18-00015-P	exempt	Eligibility of an ESCO to market to and enroll residential customers	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension
*PSC-13-18-00023-P	exempt	Reconciliation of property taxes	To consider NYAW's request to reconcile property taxes
*PSC-14-18-00006-P	exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	exempt	Petition for use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-24-18-00013-P	exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements	To promote and maintain renewable and zero-emission electric energy resources
*PSC-29-18-00008-P	exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00016-P	exempt	Deferral of pre-staging and mobilization storm costs	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs
*PSC-35-18-00003-P	exempt	Con Edison's 2018 DSIP and BCA Handbook Update	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00005-P	exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers
*PSC-35-18-00006-P	exempt	National Grid's 2018 DSIP and BCA Handbook Update	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00008-P	exempt	Central Hudson's 2018 DSIP and BCA Handbook Update	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-18-00010-P	exempt	O&R's 2018 DSIP and BCA Handbook Update	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider
*PSC-39-18-00005-P	exempt	Participation in New York State Lifeline Program	To encourage enhanced services for low-income customers
*PSC-40-18-00014-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018
*PSC-42-18-00013-P	exempt	Petition for clarification and rehearing of the Smart Solutions Program Order	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity
*PSC-01-19-00013-P	exempt	Order of the Commission related to caller ID unblocking	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County
*PSC-03-19-00002-P	exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings	To reduce damage to underground utility facilities by requiring certain training and approving training curricula
*PSC-04-19-00004-P	exempt	Con Edison's petition for the Gas Innovation Program and associated budget	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals
*PSC-04-19-00011-P	exempt	Update of revenue targets	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues
*PSC-06-19-00005-P	exempt	Consideration of the Joint Utilities' proposed BDP Program	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects
*PSC-07-19-00009-P	exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements	To insure the provision of safe and adequate energy service at just and reasonable rates
*PSC-07-19-00016-P	exempt	Participation in New York State Lifeline Program	To encourage enhanced services for low-income customers
*PSC-09-19-00010-P	exempt	Non-pipeline alternatives report recommendations	To consider the terms and conditions applicable to gas service
*PSC-20-19-00008-P	exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-31-19-00013-P	exempt	Implementation of Statewide Energy Benchmarking	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-32-19-00012-P	exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-41-19-00003-P	exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges	To provide qualifying residential customers with an optional three-part rate
*PSC-46-19-00008-P	exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York	To promote and maintain renewable electric energy resources
*PSC-10-20-00003-P	exempt	The Commission's statewide low-income discount policy	To consider modifications to certain conditions regarding utility low-income discount programs
*PSC-12-20-00008-P	exempt	Delivery rates of Corning Natural Gas Corporation	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020
*PSC-16-20-00004-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by Central Hudson
*PSC-18-20-00015-P	exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program
*PSC-19-20-00004-P	exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements
*PSC-25-20-00010-P	exempt	Whitepaper regarding energy service company financial assurance requirements	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies
*PSC-25-20-00016-P	exempt	Modifications to the Low-Income Affordability program	To address the economic impacts of the COVID-19 pandemic
*PSC-27-20-00003-P	exempt	To make the uniform statewide customer satisfaction survey permanent	To encourage consumer protections and safe and adequate service
*PSC-28-20-00022-P	exempt	Compensation of distributed energy resources	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-28-20-00034-P	exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
*PSC-34-20-00005-P	exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory
*PSC-38-20-00004-P	exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-20-00008-P	exempt	Availability of gas leak information to the public safety officials	Facilitate availability of gas leak information to public safety officials by gas corporations
*PSC-45-20-00003-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-46-20-00005-P	exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service	To determine if approving the DPS Staff's recommendations is in the public interest

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-48-20-00005-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers
*PSC-48-20-00007-P	exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-51-20-00009-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers
*PSC-51-20-00014-P	exempt	Electric system needs and compensation for distributed energy resources	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources
*PSC-01-21-00004-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers
*PSC-04-21-00016-P	exempt	Request for a waiver	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement
*PSC-09-21-00005-P	exempt	Utility capital expenditure proposal	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-13-21-00016-P	exempt	Revised distribution strategies and reallocation of remaining funding	To ensure the appropriate use of funding reserved for gas safety programs
*PSC-17-21-00005-P	exempt	Submetering equipment	To consider use of submetering equipment and if it is in the public interest
*PSC-17-21-00006-P	exempt	Community Choice Aggregation and Community Distributed Generation	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation
*PSC-17-21-00007-P	exempt	Utility studies of climate change vulnerabilities	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities
*PSC-18-21-00006-P	exempt	Community Choice Aggregation renewable products	To consider waiving the locational and delivery requirements for RECs purchased to support renewable CCA products
*PSC-19-21-00008-P	exempt	Community Choice Aggregation (CCA) and Community Distributed Generation (CDG)	To consider permitting Upstate Power, LLC to serve as a CCA administrator offering an opt-out CDG focused program
*PSC-20-21-00004-P	exempt	Regulatory approvals in connection with a 437 MW electric generating facility	To ensure appropriate regulatory review, oversight, and action, consistent with the public interest
*PSC-21-21-00019-P	exempt	Utility capital expenditure proposal	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-29-21-00009-P	exempt	Proposed pilot program to use AMI to disconnect electric service to customers during gas system emergencies	To study the efficacy of using AMI to disconnect electric service during gas system emergencies

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-32-21-00002-P	exempt	The prohibition on ESCO service to low-income customers	To consider whether Icon Energy, LLC d/b/a Source Power Company should be granted a waiver to serve low-income customers
*PSC-37-21-00010-P	exempt	Zero emitting electric generating facilities that are not renewable energy systems	To consider modifications to the Clean Energy Standard
*PSC-37-21-00011-P	exempt	Green Button Connect implementation	To consider the proposed Green Button Connect User Agreement and Green Button Connect Onboarding Process document
*PSC-37-21-00012-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Catalyst should be permitted to offer its Community Distributed Generation product to mass market customers
*PSC-38-21-00006-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-39-21-00007-P	exempt	The proposed alternative method of account identification	To facilitate secure customer data exchanges between the utility or provider and energy service entities
*PSC-47-21-00003-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access
*PSC-47-21-00005-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access
*PSC-50-21-00006-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-50-21-00008-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-50-21-00011-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-50-21-00012-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-05-22-00001-P	exempt	Green gas products	To consider an extension of the waiver permitting energy service companies to serve existing customers on green gas products
*PSC-13-22-00011-P	exempt	Positive revenue adjustments associated with emergency response, damage prevention and leak management for 2020	To consider a rehearing petition
*PSC-14-22-00008-P	exempt	An opt-out community distributed generation program	To establish the program rules for offering community distributed generation on and opt-out basis in New York State
*PSC-18-22-00002-P	exempt	NYSEG and RG&E's petition for a waiver of its 2021 customer service quality performance	To determine if NYSEG and RG&E's petition for waiver is in the public interest

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-19-22-00022-P	exempt	Modification of Con Edison's electric tariff	To either eliminate or waive a provision of the Standby Service Offset Tariff
*PSC-21-22-00007-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Atlantic Energy, LLC should be permitted to offer its LED Lighting product to mass market customers
*PSC-21-22-00008-P	exempt	Cybersecurity requirements	Modify the framework to ensure the protection of utility systems and customer data from cyber events
*PSC-21-22-00011-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Atlantic Energy, LLC should be permitted to offer its Smart Home Program product to mass market customers
*PSC-30-22-00009-P	exempt	Establishment of the regulatory regime applicable to a battery storage project	To ensure appropriate regulation of an electric corporation
*PSC-32-22-00023-P	exempt	Bioenergy generation in New York	To consider compensation for bioenergy generation
*PSC-33-22-00009-P	exempt	Use of electric metering equipment	To consider use of electric metering equipment and ensure consumer bills are based on accurate measurements of electric usage
*PSC-38-22-00004-P	exempt	Establishment of the regulatory regime applicable to a battery storage project	To ensure appropriate regulation of an electric corporation
*PSC-42-22-00010-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00011-P	exempt	Gas system planning	To consider cost recovery procedures and an incentive mechanism for non-pipeline alternatives
*PSC-42-22-00012-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00013-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00014-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00015-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00016-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00017-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00019-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-46-22-00010-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-04-23-00008-P	exempt	Updates to guidance for electric utility Distributed System Implementation Plans (DSIPs)	Development of updated guidance and directives for utility DSIPs for improving utility planning and operations functions
*PSC-05-23-00001-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00002-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00004-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00005-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00006-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00009-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00012-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00014-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00015-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-17-23-00003-P	exempt	Issuance of securities and other forms of indebtedness	To determine if the issuance of funding for capital needs and a surcharge mechanism is in the public interest
*PSC-18-23-00001-P	exempt	A request for waiver of negative revenue adjustments	Whether it is in the public interest to waive the negative revenue adjustments for NYSEG and RGE
*PSC-25-23-00003-P	exempt	Community Choice Aggregation	To determine the appropriate requirements to be placed on Community Choice Aggregation solicitations and service agreements
*PSC-26-23-00010-P	exempt	Petition to modify the SIC tariff statement	To consider whether amending the SIC mechanism is in the public interest
*PSC-27-23-00006-P	exempt	A proposed methodology for annual greenhouse gas emissions inventory reporting	To consider whether the proposed Green House Gas Inventory Report will provide sufficient emissions information
*PSC-27-23-00013-P	exempt	The proposed Greenhouse Gas Emissions Reduction Pathways Study	To consider whether the proposed Study is sufficient and whether to proceed with the Study
*PSC-27-23-00015-P	exempt	The Tier 4 renewable energy certificate purchase and sale agreement modifications	To consider modification to the existing Tier 4 renewable energy certificate purchase and sale agreement
*PSC-31-23-00001-P	exempt	A petition for a special permit exemption from odorization requirements	To determine if the granting of the special permit is in the public interest

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-23-00008-P	exempt	Proposed transfer of capital stock	To determine if the transfer of capital stock is in the public interest
*PSC-35-23-00007-P	exempt	EV Commercial Managed Charging Program Implementation Plan	To consider the deployment of an EV CMCP Implementation Plan in the Central Hudson service territory
*PSC-35-23-00011-P	exempt	EV Commercial Managed Charging Program Implementation Plan	To consider the deployment of an EV CMCP Implementation Plan in the National Grid service territory
*PSC-35-23-00015-P	exempt	EV Commercial Managed Charging Program Implementation Plan	To consider the deployment of an EV CMCP Implementation Plan in the NYSEG/RG&E service territories
*PSC-35-23-00020-P	exempt	Reconciliation mechanism	To limit any further near-term customer bill impacts
*PSC-38-23-00002-P	exempt	Program-wide adjustments to renewable energy certificate contracts	To consider modification to existing renewable energy certificate contracts in light of increased project costs
*PSC-40-23-00029-P	exempt	The applicable regulatory regime for the owner/operator of an approximately 200 megawatt solar electric generating facility	Consideration of whether a requested lightened regulatory regime is in accordance with the Public Service Law and precedent
*PSC-41-23-00007-P	exempt	Gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-42-23-00011-P	exempt	Transfer of a Certificate of Environmental Compatibility and Public Need for a natural gas pipeline	To determine whether the request for the transfer is consistent with the law and in the public interest
*PSC-47-23-00003-P	exempt	The prohibition on service to low-income customers by energy service companies (ESCOs)	To consider extending the waiver of the prohibition
*PSC-05-24-00003-P	exempt	Application of the Public Service Law (PSL) to a merchant developer and owner of a proposed solar facility	To ensure an applicable regulatory regime under the PSL that is consistent with the public interest
*PSC-05-24-00004-P	exempt	Waiver of the requirements of velocity steam meter testing for 2023	To consider whether to provide relief from the velocity meter testing requirements for 2023 due to testing facility shutdown
*PSC-11-24-00020-P	exempt	Appointment of a temporary operator for a water works corporation	To determine if appointment of a temporary operator of a water utility is necessary to provide safe and adequate service
*PSC-11-24-00021-P	exempt	Petition for the use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-14-24-00013-P	exempt	Petition to modify the submeter approval order	To ensure adequate consumer protections are in place
*PSC-14-24-00015-P	exempt	Petition to modify the submeter approval order	To ensure adequate consumer protections are in place
*PSC-18-24-00008-P	exempt	Partnership for the Urban Revitalization in Western New York Program revisions	To consider and review proposed program modifications

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-24-00005-P	exempt	The calculation of NYSEG's Percent of Estimated Bills of the Customer Service Performance Indicator metric for January 2024	Whether it is in the public interest to grant certain exemptions in the January 2024 Percent of Estimated Bills for NYSEG
*PSC-26-24-00002-P	exempt	Energy Service Company Home Warranty Products	To consider proposed consumer protections on Energy Service Company Home Warranty Products
*PSC-26-24-00009-P	exempt	LPP replacement targets	To update LPP replacement mileage to reflect increasing cost while working within Corning's authorized budget
*PSC-26-24-00010-P	exempt	BTU content levels	To reflect the BTU range during the non-heating months due to gas supplied by Corning's new renewable natural gas projects
*PSC-27-24-00003-P	exempt	Net Metering Rules	To consider the proper treatment of banked remote net metering credits
*PSC-30-24-00002-P	exempt	Petition for a special permit exemption and extension	To determine whether to grant the special permit relating to certain class locations and extend the 18-month period until 2026
*PSC-31-24-00011-P	exempt	IEDR User Agreements, Data Security Agreement, Self Attestation, and Green Button Connect Onboarding Process	To ensure that proposed agreements comply with the Commission's Data Access Framework requirements
*PSC-32-24-00030-P	exempt	Petition for the use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-32-24-00037-P	exempt	Rehearing if the order denying authority to recover incremental costs to implement a new CSS above the $421 million cap	To determine whether to authorize temporary accounting treatment for the capital costs in excess of the $421 million cap
*PSC-33-24-00001-P	exempt	Customer required transformers and associated equipment	To establish provisions to ensure safe and reliable service for all customers
*PSC-34-24-00012-P	exempt	Deferral of costs associated with the development of Utility Thermal Energy Networks	To determine the appropriate funding for the development of a proposed Utility Thermal Energy Network Pilot Project
*PSC-40-24-00003-P	exempt	Proposed Earnings Adjustment Mechanisms for Calendar Year 2024	To determine if certain EAMs from the prior rate plan should continue, with updated targets and financial awards
*PSC-41-24-00024-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-44-24-00005-P	exempt	Petition for rehearing	To challenge reporting requirements and sale of environmental attributes for proposed renewable natural gas facilities
*PSC-45-24-00005-P	exempt	Gas metering equipment	To consider use of gas metering equipment and ensure that consumer bills will be based on accurate measurements of gas usage
*PSC-47-24-00007-P	exempt	The Clean Energy Standard's zero emission by 2024 target	To establish definitions of key terms and an evaluation process for achieving the zero emissions by 2040 target

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-48-24-00006-P	exempt	The Westchester Power Community Choice Aggregation (CCA) Program.	To consider integration of Opt-out Community Distributed Generation into the Westchester Power CCA program.
*PSC-52-24-00012-P	exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any potential electric transmission needs for referral to the NYISO to solicit, evaluate, and select solutions for.
*PSC-52-24-00015-P	exempt	Utility integrated storage as a transmission and distribution asset.	To consider the Joint Utilities' study and proposal of the use of energy storage as a transmission and distribution asset.
*PSC-05-25-00010-P	exempt	To examine and determine the accuracy of all apparatus for testing and proving the accuracy of water meters.	To ensure that consumer bills are based on accurate measurements of water usage.
*PSC-05-25-00017-P	exempt	Proposal for replacement of Con Edison's Rider Q program with Locational Variant Daily As-Used Demand Pricing Rates.	To provide customers with locational variant daily as-used demand pricing.
*PSC-08-25-00009-P	exempt	Con Edison proposes to extend survey and inspection intervals for indoor gas service lines.	To approve, deny, or modify Con Edison's proposal to extend survey and inspection intervals for indoor gas service lines
*PSC-09-25-00006-P	exempt	To review a pilot proposal and associated budgets.	To assess whether the proposed pilot program impact is in the public interest.
*PSC-11-25-00003-P	exempt	Implementation of an exemption from the All-Electric Buildings Act, which prohibits certain use of fossil-fueled equipment.	To consider a reasonableness standard for exempting certain new buildings from the All-Electric Buildings Act.
*PSC-12-25-00028-P	exempt	The calculation of certain negative revenue adjustments associated with customer service performance for 2023 and 2024	To determine if approving NYSEG and RG&E's petition is in the public interest.
*PSC-13-25-00002-P	exempt	Standby Service rates and Buyback Service rates.	To establish updated Standby Service and Buyback Service rates that are just and reasonable.
*PSC-17-25-00006-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
*PSC-21-25-00005-P	exempt	Transfer of ownership interests in a 55 megawatt natural gas-fired cogeneration facility located in North Tonawanda, NY.	To address the transfer and any matters within the public interest.
*PSC-22-25-00008-P	exempt	Transfer of franchises or stocks.	To consider if replacement of Argo GP by an Apollo-controlled entity is subject to § 70, or in the public interest under § 70.
*PSC-23-25-00002-P	exempt	Promulgate rules and regulations to require the trimming of vines on utility poles.	To establish minimum requirements regarding vine management.
*PSC-26-25-00008-P	exempt	Petition to extend a water works corporation's escrow account.	To determine if the extension of Emerald Green's escrow account is in the public interest.
*PSC-27-25-00019-P	exempt	Proposed early deployment decarbonization projects and low carbon fuels pilot program.	To address the need for and cost recovery of early deployment decarbonization projects and low carbon fuels pilot program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-28-25-00002-P	exempt	O&R's proposal for a Gas DR Pilot and associated budgets.	To promote gas system reliability by encouraging gas demand reductions during peak gas demand days.
*PSC-28-25-00003-P	exempt	Con Edison's proposal for a Gas DR Pilot and associated budgets.	To promote gas system reliability by encouraging gas demand reductions during peak gas demand days.
*PSC-29-25-00005-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-30-25-00001-P	exempt	NOCO Electric, LLC and NOCO Natural Gas, LLC's smart monitoring product.	To consider whether the smart monitoring product should be offered to mass-market customers.
*PSC-32-25-00002-P	exempt	Proposed upstream transfer related to electric plant and steam plant.	To consider whether the proposed transfer of electric and steam plant is in the public interest.
*PSC-34-25-00003-P	exempt	The Rockefeller Center UTEN Pilot Proposal filings provided by Con Edison.	To consider whether it is in the public interest to authorize Con Edison to proceed with the Rockefeller Center Pilot Project.
*PSC-34-25-00004-P	exempt	The Haverstraw UTEN Pilot Proposal filings provided by O&R.	To consider whether it is in the public interest to authorize O&R to proceed with the Haverstraw Pilot Project.
*PSC-34-25-00006-P	exempt	The Mount Vernon UTEN Pilot Proposal filings provided by Con Edison.	To consider whether it is in the public interest to authorize Con Edison to proceed with the Mount Vernon Pilot Project.
*PSC-34-25-00007-P	exempt	The Brooklyn UTEN Pilot Proposal filings provided by KEDNY.	To consider whether it is in the public interest to authorize KEDNY to proceed with the Brooklyn Pilot Project.
*PSC-34-25-00009-P	exempt	The Syracuse UTEN Pilot Proposal filings provided by National Grid.	To consider whether it is in the public interest to authorize National Grid to proceed with the Syracuse Pilot Project.
*PSC-34-25-00010-P	exempt	The Chelsea UTEN Pilot Proposal filings provided by Con Edison.	To consider whether it is in the public interest to authorize Con Edison to proceed with the Chelsea Pilot Project.
*PSC-34-25-00011-P	exempt	Thermal Energy Network Pilot Proposal Customer Protection Plan filings provided by New York State Electric & Gas Corporation.	Consider the Thermal Energy Network Customer Protection Plan filing.
*PSC-35-25-00005-P	exempt	Modifications to the Make-Ready Program to incentivize EV supply equipment developers to build EV charging stations.	To consider the modification of plug designations in the EV Make-Ready program.
*PSC-35-25-00006-P	exempt	Consideration of the Audit Implementation Plans and audit recommendations.	To ensure that recommendations issued in a management and operations audit are appropriately addressed and implemented.
*PSC-35-25-00008-P	exempt	Establishment of the regulatory regime applicable to the owner and operator of a solar generation project.	To ensure appropriate regulation of an independent power producer participating in wholesale electric markets.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-25-00003-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-39-25-00006-P	exempt	Design and implementation of a Commercial Managed Charging Program within the Upstate Utilities' service territories.	To improve the efficacy and participation in the Upstate Commercial Managed Charging Programs.
PSC-39-25-00012-P	exempt	Interconnection costs.	To consider a filing requesting relief from interconnection costs assigned by National Grid.
PSC-39-25-00015-P	exempt	Modifications to the Managed Charging Program to increase adoption of electric vehicle (EV) supply equipment and infrastructure.	To incentivize managed EV charging to encourage EV adoption to meet the State's EV adoption goals.
PSC-40-25-00006-P	exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-42-25-00004-P	exempt	Bulk energy storage programs.	To consider proposed methodologies for estimating market revenue for long duration, 12+ hour, battery energy storage resources.
PSC-42-25-00005-P	exempt	Implementation of the Host Community Benefit Program.	To consider a proposal for administering customer bill credits funded by the owner of a major renewable energy facility.
PSC-43-25-00003-P	exempt	Extension of the State Universal Service Fund.	To continue to provide universal service at a reasonable rate in certain service territories.
PSC-43-25-00005-P	exempt	The Build-Ready Program under the Clean Energy Standard	To consider discontinuation of the Build-Ready Program as a ratepayer-funded program.
PSC-48-25-00003-P	exempt	Approval of the proposed cost apportionment.	To ensure a fair division of costs among customers on line extensions without passing costs to the general body of ratepayers.
PSC-48-25-00004-P	exempt	Proposed tariff modification.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-49-25-00001-P	exempt	The Ithaca UTEN Pilot Proposal filing provided by NYSEG.	To consider whether it is in the public interest to authorize NYSEG to proceed with the Ithaca Pilot Project.
PSC-52-25-00002-P	exempt	The computation of certain components used to compensate Distributed Energy Resources (DERs).	To consider revisions to the Demand Reduction Value and Locational System Relief Value compensation elements for DERs.
PSC-52-25-00014-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-01-26-00004-P	exempt	The November 13, 2025 Order Adopting Modifications to the Uniform Business Practices.	To consider the Retail Energy Supply Association's request for rehearing.
PSC-01-26-00005-P	exempt	The Troy UTEN Pilot Proposal filings provided by National Grid.	To consider whether it is in the public interest to authorize National Grid to proceed with the Troy Pilot Project.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-01-26-00007-P	exempt	The November 13, 2025 Order Adopting Modifications to the Uniform Business Practices.	To consider the M&R Energy Resources Corp.'s request for rehearing.
PSC-02-26-00007-P	exempt	Modification of monthly administrative fee charged to submetered tenants.	To ensure just and reasonable submetering charges and appropriate consumer protections.
PSC-04-26-00009-P	exempt	Proposed major rate increase in Con Edison's annual revenues by approximately $66 million.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-04-26-00010-P	exempt	A hearing on the Commission's obligations under the Renewable Energy Program.	To determine whether a hearing should be held to evaluate whether the Renewable Energy Program should be modified or suspended.
PSC-05-26-00002-P	exempt	Transfer of street lighting facilities to the Village of New Square.	To consider whether the transfer of street lighting facilities to the Village of New Square is in the public interest.
PSC-05-26-00004-P	exempt	Hydro Development Group Acquisition, LLC, Hailesboro 4 hydroelectric project located in Hailesboro, New York.	To promote and maintain renewable electric energy resources.
PSC-05-26-00005-P	exempt	Hydro Development Group Acquisition, LLC, Hailesboro 6 hydroelectric project located in Hailesboro, New York.	To promote and maintain renewable electric energy resources.
PSC-05-26-00006-P	exempt	Hydro Development Group Acquisition, LLC, Hailesboro 3 hydroelectric project located in Gouverneur, New York.	To promote and maintain renewable electric energy resources.
PSC-06-26-00012-P	exempt	A debt financing arrangement with respect to a solar photovoltaic electric generation facility providing wholesale services.	To consider the requested financing arrangement, and if approved, what regulatory conditions should apply.
PSC-07-26-00001-P	exempt	A process for offering flexible interconnection service options.	To provide rules that ensure safe and adequate service at just and reasonable rates.
PSC-07-26-00002-P	exempt	A process for considering approval of specific meter socket adapter devices.	To provide rules that ensure safe and adequate service at just and reasonable rates.
PSC-08-26-00002-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-09-26-00015-P	exempt	The rules and procedures for interconnecting energy storage facilities.	To ensure interconnection rules and procedures are just and reasonable and consistent with the public interest.
PSC-10-26-00003-P	exempt	Petition dated February 6, 2026 for temporary waiver of 16 NYCRR Section 96.6(j) to provide bills within 30-days.	Whether to grant, deny or modify, in whole or in part, the temporary waiver requested in the petition.
PSC-10-26-00004-P	exempt	Petition dated February 6, 2026 for temporary waiver of 16 NYCRR Section 96.6(j) to provide bills within 30-days.	Whether to grant, deny or modify, in whole or in part, the temporary waiver requested in the petition.
PSC-10-26-00005-P	exempt	Petition dated February 6, 2026 for temporary waiver of 16 NYCRR Section 96.6(j) to provide bills within 30-days.	Whether to grant, deny or modify, in whole or in part, the temporary waiver requested in the petition.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-10-26-00006-P	exempt	Petition dated February 6, 2026 for temporary waiver of 16 NYCRR Section 96.6(j) to provide bills within 30-days.	Whether to grant, deny or modify, in whole or in part, the temporary waiver requested in the petition.
PSC-12-26-00015-P	exempt	Petition to submeter electricity & waiver request	To ensure adequate submetering equipment, consumer protections, and energy efficiency improvements are in place
PSC-13-26-00004-P	exempt	Gas meter test standard.	To consider approval of the Model 6 transfer prover, with 2M and 10 M reference standard meters.
PSC-15-26-00003-P	exempt	Pole attachment charges.	To provide pole attachment services at just and reasonable rates.
PSC-15-26-00006-P	04/15/27	Billing requirements for gas, electric, steam, and water service.	To implement changes to billing requirements for gas, electric, steam, and water service.
PSC-16-26-00001-P	exempt	Approval of issuance of long-term debt and surcharge to collect debt service costs.	To ensure that the expenditures funded by the loan are reasonably required for the stated purpose, water system improvements.
PSC-17-26-00002-P	exempt	The Poughkeepsie UTEN Pilot Proposal filings provided by Central Hudson.	To consider whether it is in the public interest to authorize Central Hudson to proceed with the Poughkeepsie Pilot Project.
PSC-17-26-00003-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-17-26-00004-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-17-26-00005-P	exempt	Petition to submeter electricity & waiver request.	To ensure adequate submetering equipment, consumer protections, and energy efficiency improvements are in place.
PSC-19-26-00016-P	exempt	Proposed pilot program for residential gas demand response.	To consider and review the proposed pilot program.
PSC-19-26-00017-P	exempt	Petition requesting modification of a directive in a Commission Order.	To determine whether the requested relief is reasonable and in the public interest.
PSC-20-26-00003-P	exempt	Modify terms of service.	To determine whether to authorize the implementation of a Partially Interruptible Service Class Pilot.
PSC-21-26-00003-P	exempt	The calculation of certain negative revenue adjustments associated with customer service performance for 2025.	To determine if approving NYSEG and RG&E's petition is in the public interest.
PSC-21-26-00004-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-21-26-00005-P	exempt	Petition to modify the Submeter Approval Order.	To ensure adequate consumer protections are in place.
PSC-21-26-00007-P	exempt	Minor electric rate filing to increase annual electric base revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-21-26-00008-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-23-26-00003-P	exempt	Proposal to develop local transmission projects and analysis of potential bulk system needs.	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.
PSC-23-26-00004-P	exempt	Renewable Energy Development under the Clean Energy Standard.	Development of a Clean Energy Zone Program.
PSC-23-26-00005-P	exempt	Petition to submeter electricity & waiver request.	To ensure adequate submetering equipment, consumer protections, and energy efficiency improvements are in place.
PSC-23-26-00006-P	exempt	Approval of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-23-26-00007-P	exempt	Petition for authorization to utilize CWIP in rate base for Phase 2 Transmission Projects.	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.
PSC-23-26-00009-P	exempt	Petition to submeter electricity & waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-23-26-00010-P	exempt	Proposal to develop local transmission projects and analysis of potential bulk system needs.	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.
PSC-24-26-00019-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-24-26-00020-P	exempt	Ownership of Reserve Gas Co., Inc. and Alden Aurora Gas., Inc.	To consider whether the proposed transfers of Reserve Gas Co., Inc. and Alden Aurora Gas., Inc. are in the public interest.
PSC-24-26-00021-P	exempt	Transfer of the commercial building and parcels of land.	To determine whether to authorize the transfer of the properties and the proper accounting for the transaction.
PSC-25-26-00004-P	06/24/27	Technical amendments of state regulations.	To ensure the safe and adequate operation of pipelines in New York State.
PSC-25-26-00005-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-25-26-00006-P	exempt	Disbursement of funds a utility company receives to its customers.	To defer and disburse settlement proceeds received from 3M Company and DuPont for the benefit of customers .
PSC-25-26-00007-P	exempt	Extension of current base tariff rates.	To ensure safe and adequate gas service at just and reasonable rates.
PSC-25-26-00008-P	exempt	Implementation of a non-pipe alternatives framework.	To consider whether the proposed non-pipe alternatives framework and the use of incentives is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-25-26-00009-P	exempt	Applicable regulatory regime under the Public Service Law for the owner/operator of a solar-powered generation facility	To determine whether a lightened regulatory regime for Tracy Solar Energy Center, LLC is consistent with the public interest
PSC-25-26-00010-P	exempt	Buffalo UTEN Pilot Proposal filings provided by NFG	To consider whether it is in the public interest to authorize NFG to proceed with the Buffalo Pilot Project
PSC-26-26-00003-P	exempt	Proposed major rate increase in Liberty's total annual revenues by approximately $38.11 million.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-26-26-00004-P	exempt	The CBC charge, which is used to recover the costs for certain energy efficiency and other public policy benefit programs.	To clarify the applicability of the CBC charge to customers installing certain distributed energy resources.
PSC-26-26-00005-P	exempt	Approval of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-27-26-00013-P	exempt	General Business Law § § 349-d(9) and (10).	To consider the cost recovery and other mechanisms necessary to implement General Business Law § § 349-d(9) and (10).
PSC-27-26-00014-P	exempt	Approval of Implementation Plan	To approve Frontier Communications Parent, Inc's Audit Implementation Plan and order its implementation.
PSC-27-26-00015-P	exempt	The proposed statewide criteria for the establishment of heat islands within the utilities' service territories.	To establish the boundaries of heat islands for which residential customers receive expanded protections during extreme heat.
PSC-27-26-00016-P	exempt	Issuance of promissory notes and authorization to enter into credit agreements.	To provide funding for capital needs.
PSC-28-26-00004-P	exempt	Whether to grant authority to Con Edison to transfer its 28.81 percent equity interest in Honeoye to NFG.	Whether to grant, deny or modify, in whole or in part, the requested authority to transfer the stock of Honeoye to NFG.
PSC-28-26-00005-P	exempt	Approval of gas metering equipment.	To consider use of gas metering equipment and ensure consumer bills are accurate measurements of gas usage.
PSC-28-26-00006-P	exempt	Notice of tax refunds received by RG&E.	To ensure disposition of tax refunds in a just and reasonable manner.
PSC-28-26-00007-P	exempt	Notice and disposition of tax refunds received by RG&E.	To ensure the disposition of tax refunds in a just and reasonable manner.
PSC-29-26-00004-P	exempt	Revised modifications establishing proposed rates in NYSEG's gas tariff schedules.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-29-26-00005-P	exempt	Central Hudson's Gas and Electric Corporation's proposal for a Gas Demand Response Pilot and associated budgets.	To promote gas system reliability by encouraging gas demand reductions during peak gas demand days.
PSC-29-26-00006-P	exempt	Proposed revisions to firm gas demand response programs for the 2026 - 2027 winter season and going forward.	To determine if the proposed modifications to firm gas demand response programs are in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-29-26-00007-P	exempt	Waiver of certain Commission requirements related to the distribution of telephone directories.	To ensure performance in accordance with applicable telecommunications laws, regulations, and standards and the public interest.
PSC-29-26-00008-P	exempt	Revised modifications establishing proposed rates in RG&E's electric tariff schedules.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-29-26-00009-P	exempt	Revised modifications establishing proposed rates in RG&E's gas tariff schedule.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-29-26-00010-P	exempt	Issuance of securities and other forms of indebtedness.	To provide funding for capital needs.
PSC-29-26-00011-P	exempt	Revised modifications establishing proposed rates in NYSEG's electric tariff schedules.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-30-26-00002-P	exempt	Issuance of long-term securities and other forms of indebtedness.	To provide funding for capital needs.
PSC-30-26-00003-P	exempt	Proposed merger of entities upstream of lightly regulated merchant generating facilities in New York.	To consider whether the proposed transfer is in the public interest.
PSC-30-26-00004-P	exempt	Progress and status of the Clean Energy Standard program toward renewable and zero emissions targets.	To consider progress of the Clean Energy Standard and further achievement of State clean energy goals.
PSC-30-26-00005-P	exempt	The Index Renewable Energy Certificate (REC) settlement structure, used as part of Clean Energy Standard (CES) procurements.	To consider changes to the Index REC settlement structure used under CES procurements.
PSC-31-26-00010-P	exempt	Modification of Innovative Pricing Pilot to establish new rate classes for residential and small commercial customers.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-26-00011-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment, consumer protections, and energy efficiency improvements are in place.
PSC-31-26-00012-P	exempt	A financing arrangement with respect to a proposed solar generating facility.	To consider the requested financing arrangement, and if approved, what regulatory conditions should apply.
PSC-31-26-00013-EP	exempt	Amendment of Con Edison's electric tariffs to allow the utility to provide 345 kilovolt service.	To allow 345 kilovolt service to Empire Offshore Wind, LLC to perform testing and to provide Station Service. to Empire Wind.
PSC-32-26-00006-P	exempt	Consideration of the Audit Implementation Plan.	To ensure that recommendations issued in a management and operations audit are appropriately addressed and implemented.
PSC-32-26-00007-P	exempt	The prohibition on service to low-income customers by Energy Service Companies (ESCOs)	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs
PSC-32-26-00008-P	exempt	Procurement activities related to energy storage projects and to ensure reliability.	To consider whether Con Edison's procurement activities and other actions are adequate and appropriate to ensure reliability.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-32-26-00009-P	exempt	Proposed transfer of ownership interests upstream concerning the Indian Point site under section 70 of the Public Service Law	To consider whether the proposed transfer is in the public interest
PSC-33-26-00004-P	exempt	Potential modifications to, and clarifications of, the Statewide Solar for All (S-SFA) Program.	To ensure proper administration of the S-SFA and provide low-income customers with bill credits from community solar projects.
PSC-35-26-00004-P	exempt	Rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-35-26-00005-P	exempt	Permanent waiver of tariff provision.	To ensure the requested waiver is reasonable and allows for the provision of safe and adequate service.
PSC-35-26-00006-P	exempt	Gas metering equipment.	To consider use of gas metering equipment and ensure that consumer bills will be based on accurate measurements of gas usage.
PSC-35-26-00007-P	exempt	Clean Energy Standard administration.	To authorize the funding necessary for continued implementation of the Clean Energy Standard in 2027.
PSC-35-26-00008-P	exempt	Petition for rehearing.	To consider a petition for rehearing.
PSC-35-26-00009-P	exempt	New York State Reliability Council reliability rules and measurements.	To consider revisions to various rules and measurements of the New York State Reliability Council used to support safe and reliable electric service.
PSC-35-26-00010-P	exempt	The applicable regulatory regime under the Public Service Law for the owner/operator of a battery energy storage facility.	To determine whether a lightened regulatory regime for Zenobe Burns, LLC is consistent with the public interest.
PSC-36-26-00003-P	exempt	Request of NYISO to incur indebtedness.	To ensure that debt financing is used reasonably and appropriately.
PSC-37-26-00003-P	exempt	Sale of certain real property to Sunrise Mall Holdings II LLC	To consider whether the sale of certain real property to the Sunrise Mall Holdings II LLC is in the public interest.
PSC-37-26-00004-P	exempt	Financial support for the Copenhagen hydroelectric facility.	To consider whether a contract award is needed to maintain the facility's renewable output and what level of support is needed.
PSC-37-26-00005-P	exempt	Transfers of ownership interests in an interstate natural gas pipeline.	To consider whether the proposed ownership transfers are in the public interest.
STATE, DEPARTMENT OF			
DOS-20-26-00002-EP	07/22/27	Minimum standards for administration and enforcement of the Uniform Code.	To revise such standards to include procedures requiring documentation of compliance with 10 NYCRR, Subpart 67-5.
STATE UNIVERSITY OF NEW YORK			
SUN-25-26-00012-P	06/24/27	Certificates of residence for nonresident community college students.	To allow for a schedule of late fees, and exemptions thereof, and a reconciliation process to ensure proper billing.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
STATE UNIVERSITY OF NEW YORK			
SUN-30-26-00010-EP	07/29/27	State basic financial assistance for the operating expenses of community colleges under the programs of SUNY and CUNY.	To set assistance levels for basic State financial assistance and allocate incremental new monies provided in the State Budget.
SUN-30-26-00011-EP	07/29/27	State University of New York Tuition and Fee Schedule	To repeal the existing Tuition and Fees Schedule and replace with a new one to be effective for the Fall 2026 semester.
TAXATION AND FINANCE, DEPARTMENT OF			
*TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
*TAF-17-24-00002-EP	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2024 through March 31, 2024
TAF-34-26-00001-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period October 1, 2026 through December 31, 2026.
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-04-26-00011-P	01/28/27	Hotel/motel facilities used as temporary housing placements for persons and families experiencing homelessness	To ensure PA applicants or recipients that receive THA for hotel/motel facility placements receive supportive services like those currently available to PA applicants or recipients that receive THA for shelter placements
TDA-24-26-00003-P	06/17/27	Increases the maximum threshold at which social services districts may waive recovery of certain overpayments.	To increase the maximum threshold of certain overpayment recoveries in order to minimize adverse impacts and undue hardship.
TDA-24-26-00004-P	06/17/27	Eliminates the credibility assessment requirement in the domestic violence assessment process	See attached addendum
TRANSPORTATION, DEPARTMENT OF			
TRN-35-26-00001-P	09/02/27	Divisible Load Permitting	To allow for the issuance of divisible load permits to applicants utilizing vehicles validly registered in any jurisdiction.
TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY			
TBA-24-26-00001-P	08/17/27	Amend vehicle limits as to height and weight and set Weigh-in-Motion (WIM) violation monitoring with flat-rate per violation.	To amend the height and weight limitations for vehicles on TBTA facilities and set $650 flat rate for WIM violations.
VICTIM SERVICES, OFFICE OF			
OVS-12-26-00003-P	03/25/27	NYS Office of Victim Services (OVS) Victim Compensation Program and Victim Assistance Grants	To align OVS's rules and regulations with recent amendments to Article 22 of the Executive Law and enhance aid to crime victims.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
WORKERS' COMPENSATION BOARD			
WCB-02-26-00001-RP	01/14/27	Medical Fee Schedules	To update the medical fee schedules used for treatment in workers' compensation claims.
WCB-03-26-00003-RP	01/21/27	Depositions	To update the deposition process.
WCB-25-26-00003-P	06/24/27	Listing contact information for information requests, payment of fees, providing notices, and location of records for the Board	To update outdated addresses, websites, and contact information and correct typographical errors.
WCB-31-26-00002-P	08/05/27	Practice committees and medical appeals unit	To repeal the regulations on these topics as they are outdated, pursuant to the EXPRESSNY initiative.
WCB-32-26-00002-P	08/12/27	Certification of question by Workers' Compensation Law judge to the Board	Repeal this section as outdated pursuant to EXPRESSNY initiative
WCB-32-26-00003-P	08/12/27	Third-party recovery	Repeal this part as outdated and superseded by case law as part of EXPRESSNY initiative
WCB-33-26-00005-P	08/19/27	Paid Family Leave for construction employees	Updating Paid Family Leave regulations to conform with bill adding new eligibility timeline for certain construction employees

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

RENOVATE
ARMORY
State Armory
Yonkers, Westchester County

Sealed bids for Project Nos. 47477-C, 47477-H, 47477-P and 47477-E, comprising separate contracts for Construction Work, HVAC Work, Plumbing Work, and Electrical Work, Renovate Armory, State Armory, 2 Quincy Place, Yonkers (Westchester County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Division of Military and Naval Affairs, until 2:00 p.m. on Wednesday, September 23, 2026, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of $270,900 for C, $80,600 for H, $53,400 for P, and $81,600 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $10,000,000 and $15,000,000 for C, between $2,000,000 and $3,000,000 for H, between $1,000,000 and $2,000,000 for P, and between $2,000,000 and $3,000,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Megan Whaley, Catherine Skaczkowski, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. For inquires related specifically to Minority and Women-Owned Business Enterprises (MWBE) and to Service-Disabled Veteran Owned Businesses (SDVOB) provisions of this procurement, the designated contact is Tryphina Ramsey, telephone (518) 486-9284 or email OGS.sm.MWBE@ogs.ny.gov. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over $5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is $1,737,918 or less, adjusted annually for inflation as of March 1, 2026. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

__ Project commenced design before January 1, 2020. Not subject to provision.

XX Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 631 days after the Agreement is approved by the Comptroller.

As a condition of award, within five (5) days of receipt of the proposed Contract Agreement from the State, the apparent low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on September 10, 2026, at State Armory, 2 Quincy Place, Yonkers, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Allison Krebs-Fogel, (914-941-1122) a minimum of 24 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 24 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 3 of the Veteran's Services Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as

protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, 3% for the E trade contractor, 3% for the H trade contractor, and 3% for the P trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

Downloading Bidding and Contract Documents for this project, along with the submission of Bidder Questions and Electronic Bidding are available through OGS Design & Construction's electronic bidding service, Bid Express at the following link: http://www.bidexpress.com

For questions about registration or Bid Express technical issues, e-mail support@bidexpress.com, or call Bid Express support (888) 352-2439.

For all other questions, email DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, use the link below and then click on the project number:

https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

NOTICE OF AVAILABILITY
OF STATE AND FEDERAL FUNDS

Division of Housing and Community Renewal
Office of Resilient Homes and Communities
641 Lexington Ave.
New York, NY 10022

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS (CDFIS) OR OTHER LENDING INSTITUTIONS LICENSED BY THE NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES

Climate Change Mitigation and Adaptation Retrofit Program (Resilient Retrofits)

Purpose

New York State Office of Resilient Homes and Communities ("RHC") as part of New York State Homes and Community Renewal ("HCR") invites eligible applicants to apply to administer the Climate Change Mitigation and Adaptation Retrofit Program ("Resilient Retrofits"). The Resilient Retrofits program awards low-to-middle income homeowners up to $50,000 to help make repairs and upgrades to their homes that increase resiliency in the event of flooding and other weather-related disasters.

Through this Notice of Funding Availability ("NOFA"), RHC plans to make up to twenty million dollars ($20,000,000) available to eligible applicants for the distribution and administration of program funds.

Applications must be submitted via email to RHC_NOFA_Applications@hcr.ny.gov no later than 5:00 PM (EDT) on October 23rd, 2026. Awardee must expend grant funds within two (2) years, with the potential for an extension depending on the availability of funds and at the discretion of RHC staff.

Background

In 2023, RHC launched a $10 million pilot program to provide homeowners across New York State with access to a half amortizing loan and half forgivable loan (grant) product to make proactive resiliency improvements to their homes. The goal of the program was to ensure that homeowners are less likely to experience displacement in the aftermath of a weather event due to these fortification and resiliency improvements.

Between 2024 and 2026, RHC made an additional $22 million in awards and expanded the number of program administrators. As a result, the Resilient Retrofits program has helped more than 600 homeowners make their homes more resilient to storms, flood events, and other extreme weather events.

Program Overview

In Round 4 of the Resilient Retrofits program, RHC aims to commit additional funding to the program to enable more New York homeowners to make their homes resilient. Under this NOFA, HCR is making up to $20 million in grant funding available to qualified local program administrators.

Awardees will provide a half amortizing loan and half forgivable loan (grant) to low- and middle- income single-family homeowners whose homes have or are likely to sustain flood damage or impacts from extreme weather events, i.e., heavy rainfall, flash floods, etc. Homeowners who qualify under this program may apply a portion of awarded funds to decrease their greenhouse gas (GHG) consumption by improving the energy efficiency of the home and/or electrification of the home's heating and cooling systems in conjunction with implementing flood resilient measures.

Eligible scopes of work under this program may include but are not limited to closing off living-space below the base-flood elevation (BFE), installing flood vents and back-water valves, adding better insulation to the envelope of the home, installing energy efficient fixtures and appliances, and replacing aged, fossil fuel combustion heating and cooling systems with electric cold climate heat pumps. Onsite generation paired with these measures, such as solar panels or battery with battery back-up may also be included.

Program administrators are required to conduct homeowner outreach, loan underwriting, loan origination, loan servicing, grant administration, construction management, and program management. Applicants selected under this NOFA may sub-contract or partner with other entities to successfully administer the program.

Eligible Applicants

Eligible applicants for Resilient Retrofits must be Community Development Financial Institutions ("CDFIs") or other lending institutions licensed by the New York State Department of Financial Services.

Respondents under this NOFA must propose and demonstrate capacity to serve a specific geographic area within NY State and provide evidence that they:

(1) Have significant experience in underwriting, originating, and servicing small balance loans and/or grants.

(2) Have experience assisting homeowners with home improvements and has or can hire construction management services to oversee contract scopes and project completion.

(3) Have, or can hire, experience in reviewing scopes of work on single family homes related to resiliency and energy efficiency retrofitting. Applicants with experience in home energy auditing will be positively weighted.

(4) Have a plan to identify a sufficient number of single-family homeowners who would benefit from flood mitigation, energy efficiency, and/or energy electrification retrofits to their homes ("Program Participants") within a specified geography.

(5) Have a plan to subcontract with other housing counseling and/or community-based organizations who have a presence in flood-prone communities. These organizations should have expressed an interest in conducting outreach to potential Program Participants and providing case management and/or housing counseling to Program Participants.

Eligible Activities

Applicants selected by RHC to administer Resilient Retrofits (the "Awardee") will be expected to administer the program in accordance with the Resilient Retrofits program Term Sheet. Awardees may work with RHC program staff to create a work plan, which may include, but is not limited to:

- Underwriting, originating, and servicing construction loans and grants to eligible Program Participants.
- Hiring partner organizations and professional services, including engineers, to conduct on-site assessments of the flood risk of homes and provide a written report giving recommendations for home improvements, as well as energy auditors to conduct on-site energy assessments of the homes, both before and after retrofits.
- Building or leveraging existing relationships with local, county, regional, and state officials, as well as civic and homeowner associations, to identify potential Program Participants in flood prone communities.

• Conducting meetings with Program Participants to gather applications and supporting documentation such as proof of ownership, local flood zone information, and income documentation.

• Assisting Program Participants in hiring building contractors to perform the home improvement work.

• Assisting Program Participants in accessing homeownership counseling and understanding their financing options on an as needed basis.

• Managing and providing oversight of the construction scopes and change orders.

• Providing program status updates and feedback to RHC staff to guide the program development and assess its success, including regular financial reporting in respect to portfolio performance.

Eligible Costs

Under this program, loans to homeowners will carry a 3% interest rate which is intended to cover both loan origination and servicing fees. Awardees under this program will be required to service the loans for the full term, which may be 5 years or 10 years.

Applicants are required to provide a program budget in their application.

Proposed budgets should consider:

• Loan/grant proceeds must make up 90% of the overall budget requested by the applicant. RHC staff may determine that the repayment of loans should be recycled to assist more eligible Program Participants or repaid to RHC.

• Up to ten percent (10%) of the budget requested can be for start-up program delivery costs, including:

o Time and materials for program management and administration.

o Case management and housing counseling costs.

o Professional service costs such as engineering, design, auditing, and construction management services.

o Marketing and outreach expenses.

Applicants must operate the program on a cost-incurred or reimbursement basis. RHC may advance funds only under extenuating circumstances and at its sole discretion.

Please note that applicants who are also awarded funding under HCR's Green Small Buildings Program may combine such funding with Resilient Retrofits for homeowners eligible under both programs.

Proposal Costs and Materials

RHC will not be held liable for any cost incurred by the applicant for work performed in the preparation, production, or submission of a proposal in response to this NOFA. All proposal materials and information submitted as part of the application shall become the property of RHC. No materials, curricula, media or other content will be returned to the applicant.

Evaluation and Selection Criteria

Proposals will be examined for completeness and eligibility. Incomplete proposals and those that do not meet eligibility requirements may be rejected as ineligible. Complete proposals and those that meet eligibility requirements will be reviewed and rated. The maximum rating is 100 points.

The following evaluation criteria will apply in scoring each application.

1. Organizational Background and Experience (maximum 20 points):

Measures the overall functionality of the organization and its relevance to the work that would be performed under the grant, if awarded. Organizations who have, or can hire, expertise in construction management, particularly as it relates to flood mitigation and energy efficiency retrofits as well as the installation of electric heat pumps are preferred. Relevant experience in lending and servicing loans will be preferred, along with construction management, floodplain management, and energy efficiency/electrification of single-family homes. of single- family homes.

2. Ability to Staff and Administer Grant (maximum 30 points):

Measures the ability of the organization to dedicate staff and resources towards the administration of the grant, if awarded. Proposals that identify the geographic region they have competency to cover and demonstrate an ability to hire staff or allocate current staff time towards administering the grant, if awarded, as well as sub-contracting with qualified resiliency management firms or organizations that can help meet term sheet requirements are preferred.

3. Organizational Capacity & Readiness to Proceed (maximum 30 points):

Measures the extent to which the applicant has organized the proposal and assembled enough resources to complete the project within the defined geographic scope and demonstrates the ability to achieve the goals and objectives of the program in a manner that is timely, effective and on budget. Proposals that document adequate organizational structures, identifying staff, and procedures to implement the proposed project without delay will receive high scores.

4. Ability to Generate Demand (maximum 20 points):

Organizations that demonstrate the ability to identify Program Participants are preferred. Organizations that can demonstrate an interest from local organizations and/or local governments that are willing to provide outreach and case management support are preferred. Organizations who include marketing and outreach proposals in their application are preferred.

Submission Guidelines

Please apply using the Resilient Retrofit Application Form which can be found on our website. Attach the application form, along with additional required attachments requested, and submit the application package to RHC_NOFA_Applications@hcr.ny.gov by the stated deadline. All completed applications will be reviewed and scored.

Information Webinar

An informational webinar will be held on September 24th, 2026, at 10:00AM EDT to discuss the terms of the NOFA. Please use the following link to register for the webinar: https://meetny-gov.webex.com/weblink/register/r1f720b68f389219aca40efde0cdaa3ab.

Application and Award Timeline

Issuance of NOFA	Friday, August 28, 2026
Information Webinar	Thursday, September 24, 2026 at 10:00 a.m. EDT
Deadline for Submission of Proposals	Friday, October 23, 2026 at 5:00 p.m. EDT
Revised Proposal Period and Interview(s) (if needed)	October 26th - November 6, 2026
Anticipated Preliminary Award Selection (award(s) will be contingent on HTFC Board approval, which will occur after this date)	Monday, November 30, 2026

RHC further reserves the right to:

• Waive any requirement contained in this NOFA or revise the terms or schedules or extend this NOFA at their discretion.

• Communicate with an applicant for the purpose of addressing clerical and mathematical errors in applications.

• Not to issue an award or contract to any applicant if it has been determined that the applicant is not in compliance with existing contracts and has not taken satisfactory steps to remedy such non-compliance. Activities that commence prior to contract execution and environmental review will not be eligible for reimbursement.

• Award all, more than identified, a portion of, or none of the available funds based upon funding availability, feasibility of the applications received, the competitiveness of the applications, an applicant's ability to meet RHC criteria for funding, the applicant's ability to advance the State's housing goals, and RHC's assessment of cost reasonableness. RHC reserves the right to award all, a portion of, or none of the application's requested amount, and further reserves the right to review an application requesting funds as an application for funding under other programs for which the proposed activity is eligible, and to change or disallow aspects of the applications received.

• Change or disallow aspects of the applications received and may

make such changes an expressed condition of its commitment to providing funding for proposed activities. Award of funds does not confirm eligibility of all activities included in the application proposal.

Notification of changes in connection with this NOFA will be posted and made available to all interested parties via https://hcr.ny.gov/funding-opportunities

Award Recommendations and HTFC Board Approval

Awards are recommended based on available funding, proposal quality and project feasibility as determined by the review and rating of an application. The evaluation and selection criteria are detailed in the NOFA. Recommendations are advanced to the Housing Trust Fund Corporation ("HTFC") Board for consideration, and the Resilient Retrofits award must be approved by the HTFC Board prior to the execution of a grant agreement.

Application Status and Notification

Applicants will receive one of the following notifications in response to their application:

• Incomplete: Application presents potentially eligible projects but provides insufficient information. Applicants will be provided with an opportunity to submit additional documentation.

• Non-Award Notification: Application presents an incomplete, non-competitive, not viable project and will not receive an award under this NOFA.

• Preliminary Award Notification: Application presents a complete, eligible, competitive and feasible project. The project has been recommended to and approved by HTFC Board of Directors for funding.

Program Grant Agreements

The Awardee may be asked to revise parts of their proposal prior to entering into a grant agreement. After any required revisions are submitted and approved, a final program grant agreement will be executed. The program grant agreement will require that Awardees commit and disburse all funds and meet all program goals within two (2) years, with a full grant agreement term of five (5) years to allow for recycling of repaid homeowner loan funds to new eligible Program Participants. Program performance schedule may be extended at the discretion of RHC staff.

An applicant should not apply if the project will not begin within a reasonable time after receiving an executed grant agreement or will not be able to complete the project within the term. Funds remaining at the end of the term are subject to de-obligation and reallocation.

Regulations, Guidance, and Applicant Obligations

A. Equal Employment Opportunity/Minority and Women Owned Business and Affirmative Action

Under Article 15A of the New York State Executive Law and Article 3 of the New York State Veterans Law, all award recipients and their contractors are required to comply with the equal employment opportunity provisions of Section 312 of that Article. Also, all contractors and awardees are required to make affirmative efforts to ensure that New York State Certified Minority and Women- Owned Business Enterprises are afforded opportunities for meaningful participation in projects funded by RHC pursuant to Section 313 of the Article.

B. Environmental Review

Prior to the formal commitment or expenditure of funds, the environmental effects of each program activity must be assessed in accordance with the State Environmental Quality Review Act ("SEQRA") at 6 NYCRR Part 617.

C. Compliance with all NYS Requirements

By applying, the applicant acknowledges that, if awarded, it will be solely responsible for ongoing compliance with any, and all State requirements related to the details outlined in this NOFA. The requirements included in this NOFA are not necessarily comprehensive, and it is the successful applicant's responsibility to remain knowledgeable of, and comply with, any new or revised rules that are adopted during the life of the contract.

Questions

Any questions regarding this NOFA or the application process should be directed to: RHC_NOFA_Applications@hcr.ny.gov

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE

Department of State
F-2026-0317
Date of Issuance – September 16, 2026

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2026-0317, Desiree Rastegar is proposing to install a new 5'x380' fixed pier with open grate fiberglass decking, supported by (76) timber piles, open grate fiberglass 10'x10' pier head, supported by (15) timber piles, 4'x36' aluminum gangway, (2) 8'x20' floats, supported by (5) timber piles, (2) timber mooring piles, (2) timber piles for PWC lifts and (1) timber piles for a boat lift.

237 Dock Lane, Village of Kings Point, Town of North Hempstead, Nassau County, Manhasset Bay.

The stated purpose of the proposed action is to provide access to water, to moor and store boat and Personal Watercraft (PWC).

The applicant's consistency certification and supporting information are available for review at:

https://dos.ny.gov/f-2026-0317a

https://dos.ny.gov/f-2026-0317b or at https://dos.ny.gov/public-notices

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area:

https://dos.ny.gov/system/files/documents/2020/03/manhasset_bay.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or October 16, 2026.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2026-0347
Date of Issuance – September 16, 2026

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2026-0347, Craig A. Terpening is proposing to construct a 5-foot high by 30-foot long shoreline rip rap wall with 2-3-foot diameter rip rap stone (above Ordinary High Water (OHW)), construct a 5-foot high by 60-foot long stacked stone wall (above OHW), add approximately 14 cubic yards of protective toe stone at the base of both wall sections below OHW, a boat ramp consisting of two 14-foot by 8-foot precast concrete panels below OHW (total 14 cubic yards) and a 14-foot by 60-foot poured in place concrete slab above OHW, and dredge a 20-foot by 30-foot by 1-foot deep (approximately 22 cubic yards) area near the boat ramp for placement of a 4-foot by 60-foot seasonal aluminum dock and a 10-foot by 15-foot seasonal aluminum boat lift. Dredge spoils will be disposed of upland at Clayton Sand & Gravel, 37517 CR 4, Clayton, NY. The proposal is for the owner's property located at 12192 Benson Point Dr. along Guffin Bay in the Town of Lyme, Jefferson County.

The stated purpose of the proposed action is to stabilize an eroded bank and provide access for water-dependent recreation.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/f-2026-0347 or at https://dos.ny.gov/public-notices

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or October 16, 2026.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2026-0350
Date of Issuance – September 16, 2026

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2026-0350, Suffolk County Department of Public Works is proposing to reconstruct a failed dam including the installation of a new spillway and integral fish passage. The proposal includes raising the existing earthen dam to a minimum elevation of 28.50 (NAVD88), installing ~840lf of permanent epoxy coated steel sheeting along the centerline of the dam, installing a pool and weir style fish passage/spillway (132'-9″ long) comprised of permanent steel sheeting walls and weirs with concrete cap, installing a 133' long overflow weir feeding an overflow 8'x16' precast concrete box culvert, installing a 24″ diameter low level outlet drain, and the placement of 620cy of heavy stone fill downstream of the new spillway. The applicant has indicated the proposal would disturb 13,619 square feet of freshwater wetland and create 15, 632 square feet of freshwater wetland.

The project is located within Blydenburgh County Park in the town of Smithtown, Suffolk County with a portion of the work extending into Caleb Smith State Park Preserve. The proposed dam would be located on the Nissequogue River and would re-impound an area that was known as New Mill Pond or Stump Pond.

The stated purpose of the proposed action is to "restore and improve upon the existing earthen dam at New Mill Pond and install a new spillway/fish passage to convey flow and allow for the target species to pass the spillway structure into the upstream water body."

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/f-2026-0350 and https://dos.ny.gov/f-2026-0350plans or at https://dos.ny.gov/public-notices

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or October 16, 2026.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2026-0381 through F-2026-0386
Date of Issuance – September 16, 2026

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2026-0381 (Joseph Settineri), F-2026-0382 (Cary Crane), F-2026-0383 (Mary Craig), F-2026-0384 (Michael Levine), F-2026-0385 (Michael Levine), and F-2026-0386 (Joshua Raskin), the applicants are proposing the construction of a series of (4) 70' long V-shaped offshore breakwaters consisting of 2099 cubic yards of stone, spaced 60' apart and backfilled with 260 cubic yards of sand and planted spartina intertidal marsh, and the placement of approx. 815 cubic yards of sand to create a 12' wide dune to be planted with beach grass along the entire length of the shoreline of all 6 contiguous properties. This project is located at 660, 662, 664, 666, 668, and 670 Dune Road, Village of West Hampton Dunes, Suffolk County, Moriches Bay.

The stated purpose of the proposed action is to protect, restore, and stabilize approximately 466 feet of shoreline along Moriches Bay.

The applicants' consistency certifications and supporting information is available for review at: https://dos.ny.gov/f-2026-0381, https://dos.ny.gov/f-2026-0382, https://dos.ny.gov/f-2026-0383, https://dos.ny.gov/f-2026-0384, https://dos.ny.gov/f-2026-0385, https://dos.ny.gov/f-2026-0386, or at https://dos.ny.gov/public-notices

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s):

- Moriches Bay Significant Coastal Fish and Wildlife Habitats: https://dos.ny.gov/system/files/documents/2020/03/moriches_bay.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or October 16, 2026.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2026-0392
Date of Issuance – September 16, 2026

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2026-0392, Michael Burt is proposing to construct a stacked limestone wall (3' high x 56' long – 2 courses of stone) even with the front of an existing boathouse. Back fill the stone wall with gravel to create a flat shelf for winter storage of seasonal docks and boat lifts. Protect the face of the wall with 6″-8″ toe stone (2' rise: 4' run) to dissipate wave energy. Construct a 4' diameter x 12' deep concrete tile shore well (above OHW mark).

26984 Fire Rd 5, Town of Lyme, Jefferson County, Chaumont Bay, Lake Ontario.

The stated purpose of the proposed action is to "construct a stepped limestone block wall with toe stone protection. Construct a shore well behind MHW mark."

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/f-2026-0392 or at https://dos.ny.gov/public-notices

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or October 16, 2026.

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