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DEPARTMENT OF STATE
Division of Administrative Rules

NEW YORK STATE REGISTER

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- Investments in Operating Subsidiaries and Other Entities
- Standard Utility Allowances (SUAs) for the Supplemental Nutrition Assistance Program (SNAP)

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The last date for submission of public comments is indicated on each Notice of Proposed Rule Making. Unless a different date is specified by statute, the proposing agency must accept comments for at least: 60 days after the date of *Register* publication of a Notice of Proposed Rule Making or combined Notice of Emergency Adoption and Proposed Rule Making; and 45 days after publication of a Notice of Revised Rule Making or combined Notice of Emergency Adoption and Revised Rule Making. When a public hearing on a proposed rule is statutorily required: the hearing may not be held until at least 60 days after the publication date of the notice; and comments must be accepted for at least 5 days after the last required hearing. When a public comment period for a proposed rule is scheduled to end on a Saturday, Sunday or public holiday, comments are accepted through the next succeeding business day.

For notices published in this issue:
– the 60-day period expires on December 20, 2026
– the 45-day period expires on December 5, 2026

KATHY HOCHUL
GOVERNOR

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SECRETARY OF STATE

NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE
REGISTER

Be a part of the rule making process!

Public comment on proposed rules is encouraged and may be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address is printed in the rule making notice. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (dos.ny.gov/state-register) may send public comment via electronic mail to e-mail addresses that may be provided in Notices of Proposed Rule Making. This includes Proposed, Emergency/Proposed, Revised Proposed and Emergency/Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The State Administrative Procedure Act provides for a minimum 60-day public comment period after publication in the *Register* for Notices of Proposed Rule Making, and a 45-day public comment period for Notices of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date of the public comment period.

When a public comment period would end on a Saturday or Sunday, the agency accepts public comment through the following Monday; when the comment period ends on a public holiday, public comment will be accepted through the next succeeding business day. Agencies cannot adopt a proposed rule until the day after the conclusion of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-2731

Each paid subscription to the *New York State Register* includes one weekly issue for a full year and four "Quarterly Index" issues. The Quarterly is a cumulative list of actions that shows the status of every rule making action in progress or initiated within a calendar year.

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Public comment may be sent via electronic mail to e-mail addresses that may appear in Notices of Proposed Rule Making. This includes Proposed, Emergency/Proposed, Revised Proposed and Emergency/Revised Proposed rule makings.

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Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Office of Children and Family Services

NOTICE OF ADOPTION

Epinephrine Devices

I.D. No. CFS-26-26-00001-A
Filing No. 899
Filing Date: 2026-10-01
Effective Date: 2026-10-21

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Parts 414, 415, 416, 417, Subparts 418-1 and 418-2 of Title 18 NYCRR.

Statutory authority: Social Services Law, section 20; Public Health Law, section 3000-c

Subject: Epinephrine devices.

Purpose: To make conforming changes to statutory amendments made to PHL 3000-c, in accordance with Chapter 502 of the Laws of 2025.

Text or summary was published in the July 1, 2026 issue of the Register, I.D. No. CFS-26-26-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Nicholas Martin, Office of Children and Family Services, 52 Washington Street, Rensselaer, New York 12144, (518) 474-8310, email: regcomments@ocfs.ny.gov

Assessment of Public Comment

Assessment of Public Comment related to the Notice of Proposed Rulemaking to amend Title 18, Parts 414, 415, 416, 417, and Subparts 418-1 and 418-2 of the New York Codes, Rules and Regulations as published on July 1, 2026. This rule makes conforming changes to statutory amendments made to PHL § 3000-c, in accordance with Chapter 502 of the Laws of 2025, to achieve compliance and consistency with the changes in PHL § 3000-c regarding non-patient-specific epinephrine.

The New York State Office of Children and Family Services (OCFS) received three public comments in response to the proposed amendments. One comment by a child care provider asked if existing epinephrine devices may continue to be used, one praised the change to more general and comprehensive terms, and the other comment stated that the rulemaking process should be transparent. OCFS has reviewed the comments and determined all three comments were non-substantive and did not object to the proposed rule. OCFS will adopt all proposed amendments as published.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Virtual Casework Contacts

I.D. No. CFS-42-26-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 430.12 and 441.21 of Title 18 NYCRR.

Statutory authority: Social Services Law, sections 20(3)(d) and 34(3)(f)

Subject: Virtual Casework Contacts.

Purpose: To permit agencies to offer virtual casework visits to certain youth in foster care.

Text of proposed rule: Clause (b) of subparagraph (i) of paragraph (5) of subdivision (f) of section 430.12 of Title 18 NYCRR is amended to read as follows:

(b) [Face-to-face contacts with the youth on trial discharge must occur at least monthly, with such contact taking place where the youth resides at least once every 90 days.]*Monthly contact with the youth on trial discharge is required. Monthly contact must be conducted face-to-face unless the youth provides informed consent for virtual contact and virtual contact is determined appropriate for their needs in accordance with Part 441.21(c)(3)(iv) of this Title. There must be one face-to-face contact taking place at least once every 90 days regardless of whether the youth is participating in virtual contacts.*

Clauses (a), (b), (c), and (d) of subparagraph (ii) of paragraph (5) of subdivision (f) of section 430.12 of Title 18 NYCRR are amended to read as follows:

(a) Supervision includes at least monthly contact with the youth which may be conducted either face-to-face or [electronically]*virtually, with the youth's informed consent and in accordance with the needs of the youth*; however, at least one in-person contact must occur every 90 days.

(b) [If the youth is residing more than 50 miles away, contacts every 90 days may be made by electronic means if in person face-to-face contacts are not feasible.

(c)]If a youth has refused contact or cannot be located, monthly casework contact attempts must continue until the youth reaches age 21.

[(d)]*(c)* Services during supervision to age 21 must be consistent with the needs of the youth identified in the uniform case record which would enable the youth to support themselves and live independently. The district is responsible to provide referrals for all identified needed services. There must be sufficient follow-up efforts by the district to ensure that the youth has begun to receive the services for which they were referred.

Paragraph (1) of subdivision (c) of section 441.21 of Title 18 NYCRR is amended to read as follows:

(1) Casework contacts with the child is defined as individual or group face-to-face contacts*, or individual or group virtual contacts for youth*

ages 18 and older in accordance with paragraph (3)(iv) of this subdivision, between the case planner, or the caseworker assigned to the child, as directed by the case planner, or the case manager, and the child. The purpose of the contacts is to assess the child's current safety and well-being, to evaluate or reevaluate the child's permanency needs and permanency goal, and to guide the child towards a course of action aimed at resolving problems of a social, emotional or developmental nature that are contributing towards the reason(s) why such child is in foster care. Where a youth is parenting a child, as part of a parenting youth and child of parenting youth unit as defined in section 427.2 of this Title, efforts must be made to also see the child, children or infant of the parenting youth at each casework contact, to assess the safety and well-being of such child, children or infant of the parenting youth and to observe the parenting skills of the parenting youth. Such contact, assessment, and observations must be documented in the parenting youth's uniform case record.

Paragraph (3) of subdivision (c) of section 441.21 of Title 18 NYCRR is amended to read as follows:

(3)

(i) …

(ii) Where a child in foster care is residing in a setting located outside of the State of New York that is not a foster home or foster care facility, the monthly casework contact requirements set forth in paragraph (2) of this subdivision apply to the child, except that such contacts may occur by *virtua*l means [of videoconferencing, phone call, or other electronic means] appropriate for the needs of the youth.

(iii) …

(iv) In specific limited circumstances, the in-person requirement of casework face-to-face contacts may otherwise be satisfied by *virtual* means [of videoconferencing] to meet the requirement. Such circumstances are limited to *the following:*

(a) [those]*circumstances* that are beyond the control of the caseworker, child, or foster family, such as a declaration of an emergency that prohibits or strongly discourages person-to-person contact for public health reasons; a child or caseworker whose severe health condition warrants limiting person-to-person contact; and other similar public or individual health challenges. Even in the face of such challenges, agencies must continue to comply with the monthly casework contact requirement. Use of [videoconferencing] *virtual contact* under these limited, specified circumstances, must be in accordance with the time frame requirements established in paragraph (2) of this subdivision and must closely assess the child's safety at each [videoconference]*virtual contact*. The allowance of [videoconferencing] *virtual contac*t to meet the requirement is narrowly limited to the time frame during which the public or individual health challenge or issue renders it impossible or ill-advised to meet the in-person requirement and must be well-documented in the child's case record[.]*; or*

(b) youth in foster care who have attained the age of 18 years may choose between and receive either virtual or face-to-face casework contacts or a combination of both; however, all such youth except for those in a setting located outside of the State of New York that is not a foster home or foster care facility must receive at least one face-to-face contact every 90 days. Youth choosing to receive virtual contacts must provide informed consent in a form and manner prescribed by the Office of Children and Family Services. All youth in foster care must be provided the opportunity for virtual contacts upon attaining the age of 18 unless such virtual contacts would negatively impact the youth's health or safety. Any determination by the authorized agency to not offer, suspend, or terminate virtual contacts must be approved by a supervisor and documented in the youth's uniform case record.

Text of proposed rule and any required statements and analyses may be obtained from: Nicholas Martin, Office of Children and Family Services, 52 Washington Street, Rensselaer, New York 12144, (518) 474-8310, email: regcomments@ocfs.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority:

Section 20(3)(d) of the Social Services Law (SSL) gives the New York State Office of Children and Family Services (OCFS) the authority to establish rules and regulations to carry out its powers and duties pursuant to the SSL.

Section 34(3)(f) of the SSL authorizes the commissioner of OCFS to promulgate regulations establishing standards for the administration of public assistance and care within New York State.

Section 422(b)(17) of the Social Security Act effective October 1, 2025, permits agencies to develop and implement standards for virtual casework contacts with youth in foster care who have reached the age of 18 years.

2. Legislative objectives:

To permit agencies to develop and implement standards for virtual casework contacts for older youth in foster care and older youth who have been discharged from foster care and under the supervision of the local district of social services in certain circumstances and in accordance with the needs of the youth.

3. Needs and benefits:

The proposed amendments to regulation allow for agencies responsible for caseworker visits to offer virtual caseworker visits to youth in foster care who have attained the age of 18 years and who have provided informed consent for virtual visits, and for youth who have attained the age of 18 years who are discharged from foster care and under the supervision of the district.

The proposed amendments provide needed clarification to local departments of social services (LDSSs) and voluntary authorized agencies (VAs) regarding the use of virtual caseworker visits, thereby reducing administrative workload and helping to ensure youth in foster care have more normative, developmentally appropriate experiences.

OCFS is seeking this change based on feedback from the OCFS Youth Advisory Board and others with lived experience that in-person contacts for youth over age 18 can be impractical, invasive, and inconsistent with normative experiences. For example, older youth are often in a higher education setting in another state or county from their county of residence. In these situations, youth have reported that it is uncomfortable when a new caseworker has to "fill in" for their established caseworker due to their distance and proximity to their county of residence. Older youth tend to live more autonomous lives, and requiring regular in-person contacts can be inconvenient, embarrassing, and may serve to disincentivize them from remaining in foster care. The ability to allow such older youth the option to engage with their caseworkers via virtual visitation is likely to improve youth responsiveness to requests for such meetings from casework staff, instead of forcing them to meet program standards that were constructed with younger children in mind; i.e., mandating in-person contacts for all required caseworker visits.

4. Costs:

There is no cost associated with the proposed regulations. The changes included in the proposed regulations are supported by existing funding levels. As a result, OCFS anticipates that these proposed regulations will carry no additional State or local fiscal impact.

5. Local government mandates:

The proposed regulations would not impose any additional mandates on LDSSs.

6. Paperwork:

The proposed regulations would require LDSSs to maintain records of signed consent forms to receive virtual caseworker visits executed by the youth. There are no additional paperwork or reporting requirements associated with the proposed regulations.

7. Duplication:

The proposed regulations would not duplicate other State or Federal requirements.

8. Alternatives:

OCFS considered the alternative of maintaining the status quo; however, OCFS determined that updates were needed to provide clarification to LDSSs and VAs regarding the use of virtual caseworker visits, thereby reducing administrative workload and providing more normative experiences for older youth in foster care.

9. Federal standards:

The proposed regulations would not conflict with current Federal child welfare standards.

10. Compliance schedule:

Compliance with the proposed regulations would be required immediately upon publication of the Notice of Adoption in the State Register.

Regulatory Flexibility Analysis

The proposed amendments will not have an adverse impact on small businesses or local governments. The proposed regulations would have a positive impact on the 58 local departments of social services and the agencies with which they contract for services by increasing flexibility and reducing administrative burdens associated with monthly casework contacts for older youth in foster care. Therefore, a full Regulatory Flexibility Analysis for Small Businesses and Local Governments has not been prepared for the proposed regulations, because the Office of Children and Family Services has determined that the amendments will not impose any new reporting, recordkeeping, or other compliance requirements.

Rural Area Flexibility Analysis

The proposed regulations will have a positive impact on the 44 local departments of social services and 35 voluntary authorized agencies that are in rural areas. The proposed regulations would allow greater flexibility and reduced administrative burdens in permitting monthly virtual casework contacts for older youth in foster care in certain circumstances. Therefore, a full Rural Area Flexibility Analysis has not been prepared.

Job Impact Statement
The proposed amendments to regulation will not have a substantial adverse impact on jobs or employment opportunities in either public or private child welfare agencies. A full Job Impact Statement has not been prepared for the proposed regulations as there should be no resulting loss of jobs.

Delaware River Basin Commission

INFORMATION NOTICE

Notice of Proposed Rule Making and Public Hearing

SUMMARY: The Commission proposes to amend its Water Code and Comprehensive Plan to require water supply systems serving the public (purveyors) to report water use by their largest customers.

DATES: Written comments: Written comments will be accepted through 5:00 p.m. EST on Friday, December 4, 2026.

Public hearing: A public hearing will be held remotely via Zoom on Wednesday, November 4, 2026, beginning at 1:30 p.m. and ending no later than 3:00 p.m. EST. Details about accessing the hearing are available on the Commission's website, www.drbc.gov.

A notice including the public hearing date, time and location was posted on the Commission's website on October 6, 2026, and circulated that day directly to Commission notice subscribers interested in this subject matter. Members of the public may sign up through the Commission's website to receive direct notice via email of additions or changes to the information provided above.

ADDRESSES: To submit written comments: Written comments will be accepted until 5:00 p.m. EST on Friday, December 4, 2026, through the Commission's online public comment collection system at: https://hearing.drbc.commentinput.com?id=T95htQGAg. To request an exception from use of the online system based on lack of access to the Internet, please submit your request, along with your comment, to: Commission Secretary, DRBC, P.O. Box 7360, West Trenton, NJ 08628.

To register to speak at the public hearing: Those who wish to provide oral comment at a hearing must register in advance to do so. Registration will be through Zoom. A link to the Zoom registration for the public hearing is posted at www.drbc.gov. Online registration will remain open until 5:00 p.m. on the day prior to the hearing date or until all available speaking slots have been filled, whichever is earlier. Each person who wishes to provide oral comment may do so at only one public hearing. Registrations will be monitored, and if capacity is not adequate to accommodate all who wish to speak, additional opportunities may be added.

See SUPPLEMENTARY INFORMATION for details regarding the substance of written comments.

FOR FURTHER INFORMATION CONTACT: For information regarding the public hearings and submission of written comments, contact Kate Schmidt, Communications Specialist, at contact@drbc.gov (preferred) or 609-477-7205. For information concerning the substance of the proposed amendments, contact Chad Pindar, Manager of Water Resource Planning, at chad.pindar@drbc.gov (preferred) or 609-477-7248.

SUPPLEMENTARY INFORMATION: The Delaware River Basin Commission ("DRBC" or "Commission") is a Federal-interstate compact agency formed by the enactment of concurrent legislation by four states and the United States in 1961[1] to manage the water resources of the Delaware River Basin (the "Basin") without regard to political boundaries. The Commission's members are, ex officio, the governors of the basin states (Delaware, New Jersey, New York, and Pennsylvania) and the Division Engineer of the U.S. Army Corps of Engineers North Atlantic Division, who represents the United States. The Commission is not subject to the requirements of the New York State Administrative Procedure Act. This notice is published by the Commission for information purposes.

Background

Water use data are critical for effective management of the Basin's water resources. They are needed to develop consumptive use estimates, project water use trends, establish water budgets, assess water resource needs, and evaluate the effectiveness of water conservation programs. The Commission established annual reporting requirements for water supply systems serving the public (also herein, "purveyors") in 1986 and updated them in 2001 and 2009. The requirements apply to "source data," consisting of monthly withdrawal or bulk purchase information for each system, and "service area data," which identify water uses by category as residential, commercial, institutional, industrial, bulk sale, non-revenue, unaccounted for, or other. See Delaware River Basin Water Code (hereinafter, "Water Code"), secs. 2.50.3 A.1.a.—b.

The proposed amendments would require water supply systems serving the public to furnish the Commission with a third class of supplemental water use data—large customer data. These data would help DRBC characterize the water needs of the largest users that obtain their water from purveyors rather than withdrawing it directly from a surface water or ground water source. The data would contribute to a clearer picture of how the Basin's water resources are used.

Because the proposed amendments include a new section 2.50.1, "Definitions," current sections 2.50.1 through 2.50.3 are to be re-numbered 2.50.2 through 2.50.4. The substantive new requirements appear in proposed new subsection 2.50.4 A.1.c. Additional non-substantive amendments are proposed to the introductory paragraphs of current subsections 2.50.3 A.1., "Annual Reporting Requirements – Water Supply Systems Serving the Public" and A.2., "Annual Reporting Requirements – Other Withdrawals," and to current subsection 2.50.3 B., solely for purposes of consistency and clarification. Changes to the latter subsection, in particular, are proposed to continue to ensure that DRBC's annual reporting requirements do not compel entities to submit the same data to both DRBC and state agencies.

Currently, approximately 300 purveyors in the Basin report source and service area water use to Basin state agencies in accordance with the Water Code provisions cited above. Working closely with our member state agencies, the Commission is able to access, compile, and analyze approximately 30 years of source and service area data from each state for purposes of identifying and projecting water use trends Basin-wide. The Commission now seeks to better understand the role of large customers of water supply systems serving the public in water use across the Basin. It also wishes to better quantify consumptive uses of water—those uses that do not involve return of the water to a surface or ground water source after its use but instead involve evaporative loss or export of the water from the Basin.

Excluding out-of-basin diversions, withdrawals by purveyors in 2024 comprised the second largest water use and second largest consumptive water use in the Delaware River Basin, equal to approximately 15 percent (833 million gallons per day ("mgd")) of total water use and 28.5 percent (83 mgd) of consumptive water use.[2] For decades, the Commission has applied a consumptive use coefficient of ten percent (10%) to water withdrawn from the Basin by most water supply systems serving the public. Data obtained as a result of the proposed amendments would help the Commission determine whether its consumptive use coefficient for water supply systems serving the public remains accurate.

A clearer understanding of water use by large customers of water supply systems serving the public is particularly important in helping develop a more accurate profile of consumptive use in the Basin and evaluating whether current drought management measures and contingency plans will be adequate in the future.

The Commission expects that under the amended rule, a subset of the approximately 300 water supply systems serving the public that are subject to the rule will be required to identify large customers supplied by their systems. The incremental burden on these purveyors is expected to be manageable, as most are already accustomed to furnishing source and service area data electronically to the Commission's respective member states in accordance with subsections 2.50.3 A.1.a. and b. of the Water Code, adopted in 1986, and to providing DRBC with an annual "water audit" report in accordance with section 2.1.8 of the Water Code, adopted in 2012. Data collected pursuant to the proposed amendments would be reported electronically in a manner similar to that currently used by purveyors to report water audit data to the Commission.

Specifically, the proposed amendments would require the owner or operator of each water supply system serving the public with a withdrawal of 100,000 gallons per day ("gpd") or more as an average during any 30-day period to report the following data for each customer to which the system supplies an amount greater than or equal to 50,000 gpd as a 30-day average through one or more proximate connections: the calendar year for which the data are reported; the DRBC docket number and state permit number for the reporting entity; the identification number assigned by the reporting entity to the customer served by the connection(s); the amount of water supplied monthly to the customer for each of the twelve months for which data are reported; the type or sector to which the customer belongs; and the NAICS code.

The Commission proposes to collect monthly data for large customers beginning with calendar year 2026 data, to be submitted in June 2027. If a purveyor's practice is to bill less frequently than monthly, then less frequent use data for 2026 and any portion of 2027 that precedes the effective date of the final rule would be accepted. Regardless of their current billing practices, all purveyors subject to the rule would be

required to report monthly large customer water use for each month following the rule's effective date. Accordingly, if the rule becomes effective in May 2027, the purveyor's report for 2026 (to be submitted in June 2027) may include less-than-monthly data for all of 2026. The purveyor's report for 2027 (to be submitted in 2028) may include less-than-monthly water use data for the months of January through April of 2027 but must include monthly data for each large customer for the months of May through December 2027.

The Commission further proposes to amend section 410.1(c) of title 18 of the Code of Federal Regulations by replacing the date of incorporation by reference that appears there with respect to the Water Code (March 6, 2023), with the date on which the Commission would adopt a final rule pursuant to this proposal.

The text of the proposed amendments is available on the Commission's website at https://www.nj.gov/drbc/meetings/proposed/notice_water-reporting.html.

Incorporation by Reference.

• The Water Code of the Delaware River Basin, including section 2.50, is incorporated by reference into the Code of Federal Regulations at 18 C.F.R. § 410 and into the New York Codes, Rules and Regulations at 21 NYCRR CH. XVIII, Subch. E, Part. 890, Conservation, Development and Utilization of Delaware River Basin Water Resources.

Public Process

Substance of comments. The Commission welcomes and will consider comments that concern the potential impacts of the proposed amendments on the conservation, utilization, development, management and control of the water and related resources of the Delaware River Basin and on public participation in the Commission's water resource management activities. In particular, the Commission seeks comment on the burden the proposed rule would impose on water supply systems serving the public and large customers.

Non-digitized, voluminous materials such as books, journals and collections of documents will not be accepted. Digital submissions of articles and websites must be accompanied by a statement containing citations to the specific findings or conclusions the commenter wishes to reference.

Submission of written comments. Written comments along with any attachments should be submitted through the Commission's web-based comment system (https://drbc.commentinput.com/?id=kciDptC8h) until 5 p.m. on Friday, December 4, 2026. All materials should be provided in searchable formats, preferably in.pdf searchable text. Notably, a picture scan of a document may not result in searchable text. Comments received through any method other than the designated on-line method, including via email, fax, postal/delivery services or hand delivery, will not be considered or included in the rulemaking record unless accompanied by a written request for an exception based on lack of access to the web-based comment system. Such requests and accompanying materials may be sent to: Commission Secretary, DRBC, P.O. Box 7360, West Trenton, NJ 08628.

Public hearing. To ensure an orderly process and to provide access to interested parties regardless of their geographic location, the Commission is conducting its public hearing virtually. Attendance at the hearings is not limited and requires no registration. However, to eliminate uncertainty on the part of attendees about whether they will have an opportunity to provide oral comment, those who wish to speak at a hearing must register in advance to do so, using the link on the Commission's website. Registrations will be monitored, and if capacity is not adequate to accommodate all who wish to speak, additional opportunities may be added. Key elements of the procedure are as follows:

• Online registration to speak at a public hearing will remain open until 5 p.m. on the day immediately before each hearing date.

• Each person who wishes to provide oral comment may do so at only one public hearing.

• Speaking time will be limited to approximately three minutes per speaker.

• Elected government officials and their staff members will have the opportunity to identify themselves when registering to attend a hearing.

• Attendance at the public hearing is not limited and requires no advance registration.

• Written and oral comments will receive equal consideration.

The Commission appreciates the public's participation and input on this matter.

Translation Services for Rulemaking Materials. The Commission will consider requests for translation of this document and the proposed rule text into languages other than English. To request translation of documents related to this rulemaking, please send an e-mail to translate@drbc.gov. Please specify both the requested language and the requested documents.

More Information. Detailed and up-to-date information about the rulemaking, including proposed rule text, a frequently asked questions document, public notices, and links for submitting written comments and for registering online to speak at the scheduled public hearing can be found on the Commission website, www.drbc.gov.

For the reasons set forth in the preamble, the Delaware River Basin Commission proposes to amend its Water Code (incorporated by reference in the Code of Federal Regulations at 18 C.F.R. Part 410 and into the New York Codes, Rules and Regulations at 21 NYCRR CH. XVIII, Subch. E, Part. 890) as set forth below.

DELAWARE RIVER BASIN COMMISSION

ARTICLE 2—CONSERVATION, DEVELOPMENT AND UTILIZATION OF DELAWARE RIVER BASIN WATER RESOURCES

[21 NYCRR Ch. XVIII, SUBCH. E, Part 890, § 890.52]

2.50 WATER METERING

2.50.1 Definitions. For purposes of this Section 2.50:

A. "Large Customer" means a customer of a "water supply system serving the public" (a "purveyor") as defined herein, that takes 50,000 gallons per day ("gpd") or more as a 30-day average from such purveyor through one or more "proximate connections" as defined herein; provided, however, that for purveyors invoicing only quarterly, for calendar year 2026 and any portion of calendar year 2027 that precedes the effective date of Section 2.50.4 A.1.c. hereof, "large customer" shall mean a customer that purchases 50,000 gpd or more as a 90-day average.

B. "Large Customer Service Data" means the information to be reported annually, as set forth in Section 2.50.4 A.1.c., provided, however, that for any water supply system serving the public (purveyor), as defined herein, that invoices customers on a quarterly basis, the "amount supplied monthly for each of 12 months (MG)" for calendar year 2026 and any portion of calendar year 2027 that precedes the effective date of Section 2.50.4 A.1.c., shall be calculated by dividing the customer's quarterly use by three. After the effective date of Section 2.50.4 A.1.c, the "amount supplied monthly for each of 12 months (MG)" shall mean the actual quantity supplied by month, in millions of gallons.

C. "Proximate connections" means service connections of a water supply system serving the public (purveyor) that may be metered separately but that serve a single customer at a single location, facility, or campus.

D. "Water supply system serving the public" or "purveyor" means any water utility—public or private—that delivers water to customers. The terms "water supply system serving the public" and "purveyor" are used interchangeably throughout Section 2.50.

2.50.2[1] Service Metering (Resolution No. 87-7 Revised, amended by Resolution No. 2001-8).

A. Owners of water supply systems serving the public (purveyors) in the Basin that distribute water supplies in excess of an average of 100,000 gallons per day during any 30-day period shall install, or require to be installed, water meters incident to the provision or maintenance of service at the retail level.

B. (1) Meters shall be installed so as to record water use at all service connections; (2) water furnished for fire suppression purposes shall be exempt from metering provided that fire suppression (sprinkler) systems are equipped with detector check or flow detection devices; and (3) water removed from fire hydrants shall be exempt from metering unless otherwise required by a purveyor, or state or local government.

C. Water use shall be recorded or measured by means of a metering device that conforms to the performance specifications of the American Water Works Association. Purveyors shall adopt and implement a program for periodic maintenance, calibration, and replacement of meters to ensure meter accuracy.

D. Water charges collected by purveyors shall be based in part on metered usage.

E. It is recommended that, at least once a year, each purveyor: (1) provide each metered residential customer with information on savings available through water conservation; and (2) explain different methods of residential water conservation and their cost-effectiveness, and the availability of water conservation devices.

F. Installation of meters at existing unmetered connections shall be completed within ten years of the effective date of this regulation.

G. To avoid duplication of effort and to insure proper enforcement of this regulation, the Executive Director is hereby authorized to enter into administrative agreements with the Delaware Department of Natural Resources and Environmental Control, New Jersey Department of Environmental Protection, New York Department of Health, Pennsylvania Department of Environmental Protection, and other state agencies where appropriate, authorizing such agencies to administer and enforce the provisions of this regulation to the extent practicable and to

adopt such rules and regulations of procedure as may be necessary to insure the proper administration and enforcement of this regulation. In the absence of an administrative agreement, the Commission shall serve as the administration and enforcement agency for this regulation.

2.50.*3*[2] Source metering, recording and reporting (Resolution No. 86-12, amended by Resolution No. 2001-8).

A. Each person, firm, corporation, or other entity whose cumulative daily average withdrawal(s) from the surface and/or ground waters of the Basin from any surface water intake, spring, or well, or any combination of surface water intakes, springs, or wells operated as a system, exceeds 100,000 gallons per day during any 30-day period shall meter or measure and record their withdrawals and report such withdrawals to the designated agency. Withdrawals shall be measured by means of an automatic continuous recording device, flow meter, or other method, and shall be measured to within five percent of actual flow. Exception to the five percent performance standard, but no greater than ten percent, may be granted for surface water withdrawals by the designated agency if maintenance of the five percent performance standard is not technically feasible or economically practicable. Meters or other methods of measurement shall be subject to approval and inspection by the designated agency as to type, method, installation, maintenance, calibration, reading, and accuracy. Withdrawals shall at a minimum be recorded on a daily basis for public water supply use and on a biweekly basis for all other water uses and reported as monthly totals annually. More frequent recording or reporting may be required by the designated agency or the Commission.

B. The following water uses and operations are exempt from the metering or measurement requirements of subsection (A): agricultural irrigation; snowmaking; dewatering incidental to mining and quarrying; and dewatering incidental to construction. Persons engaged in such withdrawals in excess of 100,000 gallons per day during any 30-day period shall record the pumping rates and the dates and elapsed hours of operation of any well or pump used to withdraw water and report such information as required in subsection (A).

C. The following are the designated agencies for the purposes of this regulation: Delaware Department of Natural Resources and Environmental Control; New Jersey Department of Environmental Protection; and Pennsylvania Department of Environmental Protection. The Delaware River Basin Commission shall serve as the designated agency for administering and enforcing this regulation in the New York portion of the Basin.

D. Pursuant to Section 11.5 of the Compact, the designated agencies shall administer and enforce programs for metering, recording and reporting of water withdrawals, in accordance with this regulation and any applicable state regulations.

E. This regulation shall be effective January 1, 1987.

2.50.*4*[3] Reporting Requirements (Resolutions Nos. 2001-8*,* [and] 2009-1*, and 2027-_*).

A. Annual Reporting Requirements.

1. Water Supply Systems Serving the Public. *Subject to Section 2.50.4 B., t*[T]he owner(s) of each water supply system serving the public and [subject to]*required to comply with* subsection 2.50.*2*[1], subsection 2.50.*3*[2], [and] *or* the Ground Water Protected Area Regulations for Southeastern Pennsylvania shall report the following data on an annual basis to the designated agency.

a. Source Data

i. Withdrawal Data. The following data shall be reported for each withdrawal source separately (i.e., each well or surface water intake):

– Year
– Facility Identification Code (State Code)
– State Permit Number
– Facility Name
– Monthly Withdrawal Amount (MG)

ii. Bulk Purchases from Other Suppliers. The following data shall be reported for each bulk purchase separately:

– Bulk Supplier Name
– Bulk Supplier's Source Name(s)
– Monthly Bulk Purchase Amount (MG)

b. Service Area Data. The following data shall be reported separately for each county served:

i. Service Area Name(s)

ii. Total Annual Water Use by Category (MG). All usage shall be reported according to the following categories:

– Residential metered (including apartment complexes)
– Commercial metered
– Institutional metered
– Industrial metered
– Bulk Sales
– Other metered (Specify)
– Non-revenue water, including unbilled authorized consumption, apparent losses, and real losses computed in accordance with Section 2.1.8 D. of this Water Code
– Unaccounted for water (defined as the amount of water entering the distribution system minus the amount of water delivered through service meters)[3]
– Total

c. Large Customer Service Data. Commencing with data for calendar year 2026, the following shall be reported separately for each "large customer" as defined in Section 2.50.1, on a form prescribed by the Executive Director:

– Year
– DRBC Docket Number
– State Permit Number or Facility ID
– Customer Facility ID
– Customer Facility Name
– Amount supplied monthly for each of 12 months (MG)
– Customer type/sector
– NAICS code

2. Other Withdrawals. *If a* [Each] person, firm, corporation, or [other] entity*, other than* [except] *a* water supply system[s] serving the public *is* subject to *the* requirements *of* [under] subsection 2.50.*3*[2] *hereof* [and] *or to* the Ground Water Protected Area Regulations for Southeastern Pennsylvania*, the entity* shall report the *below* [following] data on an annual basis to the designated agency. The data shall be reported for each withdrawal source [separately] (i.e., each well or surface water intake) *separately*. Changes to any other information required *by* [under] Section *2.50.4* A. [hereof above,] shall also be reported. All information required *by* [under] Section *2.50.4* A. [above] shall be [completed] *reported* for new withdrawals *beginning with* [for] the first year of operation.

a. Year
b. Facility Identification Code (State Code)
c. State Permit Number
d. Facility Name
e. Monthly Withdrawal Amount (MG)
f. Evaporative Loss Amount (MG) (if known)
g. Product Use Amount (MG) (if known)
h. Estimated Monthly Wastewater Discharge Amount (MG)

B. [To avoid duplication of effort and to insure proper enforcement of this regulation, the Executive Director is hereby authorized to enter into administrative agreements with the following designated agencies: Delaware Department of Natural Resources and Environmental Control, New Jersey Department of Environmental Protection, New York Department of Health, Pennsylvania Department of Environmental Protection, and other state agencies where appropriate, authorizing such agencies to administer and enforce the provisions of this regulation and to adopt such rules and regulations of procedure as may be necessary to insure proper administration and enforcement of this regulation. In the absence of an administrative agreement, the Commission shall serve as the designated agency. Designated agencies are encouraged to implement and maintain automated data collection and reporting systems to facilitate the efficient transfer of data to the Delaware River Basin Commission. The administrative agreements shall specify the format for data submission.] *To avoid duplication of effort, data to be reported under Section 2.50.4 of the Water Code shall be submitted to the state agency, if any, tasked with implementing and maintaining automated data collection and reporting systems, and which possesses the capability and authority to efficiently transfer these data to the Delaware River Basin Commission. In any instance in which no member state agency performs the necessary data collection and transfer functions, data subject to the Annual Reporting Requirements set forth in Section 2.50.4 A. hereof shall be reported directly to the Delaware River Basin Commission in such manner as the Executive Director may prescribe. Because state agencies and functions may periodically change, the Executive Director shall maintain and make available to all entities subject to the Annual Reporting Requirements of Section 2.50.4 A. an up-to-date list identifying the agency to which required data are to be reported within each member state.*

For the reasons set forth in the preamble, the Delaware River Basin Commission proposes to amend title 18, chapter III, subchapter A[BG1.1][PB1.2][BG1.3][PB1.4] of the Code of Federal Regulations as follows:

18 C.F.R. PART 410-BASIN REGULATIONS; WATER CODE AND ADMINISTRATIVE MANUAL—

PART III WATER QUALITY REGULATIONS

§ 410.1 Water Code and Water Quality Regulations.

The Water Code and Water Quality Regulations as incorporated by reference in 18 CFR Part 410 (*effective date of final rule*)[(2022)], are hereby incorporated by reference and made part of this title.

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[1] United States Public Law 87-328, Approved Sept. 27, 1961, 75 Statutes at Large 688; 53 Delaware Laws, Ch. 71, Approved May 26, 1961; New Jersey Laws of 1961, Ch. 13, Approved May 1, 1961; New York Laws of 1961, Ch. 148, Approved March 17, 1961; Pennsylvania Acts of 1961, Act. No. 268, Approved July 7, 1961; commonly known as the "Delaware River Basin Compact," and referred to hereinafter as the "Compact."

[2] Delaware River Basin Commission. (June 2026). Water Resources Program FY 2027-2029. Sec. 1.2.2. Available at: https://www.nj.gov/drbc/library/documents/WRPFY27-29.pdf

[3] Further breakdown of unaccounted for water can be provided. For example, estimated fire hydrant use, other unmetered public uses, and leakage losses.

Education Department

NOTICE OF ADOPTION

Supplementary Certificate and Supplementary Bilingual Education Extension Requirements

I.D. No. EDU-26-26-00007-A

Filing No. 956

Filing Date: 2026-10-06

Effective Date: 2026-10-21

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 80-2.9, 80-4.3 and 80-5.18 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 305, 308, 3001, 3004 and 3009

Subject: Supplementary Certificate and Supplementary Bilingual Education Extension Requirements.

Purpose: Provide flexibility for Supplementary Certificate/Supplementary Bilingual Education Extension requirements due to influx of students.

Text or summary was published in the July 1, 2026 issue of the Register, I.D. No. EDU-26-26-00007-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Joshua Dingman, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2029, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Supervision of Physical Therapist Assistants

I.D. No. EDU-26-26-00008-A

Filing No. 955

Filing Date: 2026-10-06

Effective Date: 2026-11-21

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 77.6 of Title 8 NYCRR.

Statutory authority: Education Law, sections 207, 6504, 6506, 6507 and 6738; L. 2025, ch. 549

Subject: Supervision of physical therapist assistants.

Purpose: To implement Chapter 549 by updating on-site supervision requirements.

Text or summary was published in the July 1, 2026 issue of the Register, I.D. No. EDU-26-26-00008-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Joshua Dingman, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2029, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Following the publication of a Notice of Proposed Rule Making in the State Register on July 1, 2026, the State Education Department received the following comments on the proposed regulation:

1. COMMENT: The Department received three comments in support of the proposed rule from: (1) a New York State healthcare association representing non-profit and public hospitals, nursing homes, home care agencies and other health care organizations; (2) an Association of Early Intervention, Pre-K, Special Education and School Age Special Education agencies providing services throughout New York State; and (3) an Association representing physical therapists, physical therapist assistants and physical therapy students.

DEPARTMENT RESPONSE: Since these comments are supportive of the proposed rule, no response is necessary.

AMENDED
NOTICE OF ADOPTION

Licensure Requirements for Certified Public Accountants in New York State

I.D. No. EDU-17-26-00006-AA

Filing No. 898

Filing Date: 2026-09-30

Effective Date: 2026-11-21

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 52.13, 70.2, 70.3, 70.4, 70.5 and 70.7 of Title 8 NYCRR.

Amended action: This action amends the rule that was filed with the Secretary of State on September 15, 2026, to be effective September 30, 2026, File No. 17006. The notice of adoption, I.D. No. EDU-17-26-00006-A, was published in the September 30, 2026 issue of the *State Register*.

Statutory authority: Education Law, sections 207, 6504, 6506, 6507, 7404 and 7406; L. 2025, ch. 530

Subject: Licensure requirements for certified public accountants in New York State.

Purpose: To implement chapter 530 of the Laws of 2025 and make other technical amendments.

Substance of amended rule: Chapter 530 of the Laws of 2025 (Chapter 530), effective November 21, 2026, amends the Education Law by modifying sections 7404 and 7406. Section 7404 establishes and defines the licensure requirements for the certified public accountant (CPA) profession in New York State and section 7406, among other things, sets forth the requirements of practice privileges for out-of-state CPAs.

Chapter 530 also specifies the education, experience, and examination requirements for two pathways to initial CPA licensure. The current pathway to CPA licensure is codified.[1] Additionally, Chapter 530 adds an alternative route to licensure by allowing candidates to earn a bachelor's degree or higher with at least 120 semester hours with two years of relative experience and passage of the CPA examination.

Moreover, Chapter 530 amends the requirements for out-of-state CPAs to obtain a practice privilege.[2] Specifically, the law removes the requirement that out-of-state CPAs be licensed by another state which the Board of Regents has determined to have substantially equivalent licensure requirements, or whose individual licensure qualifications are verified by the Department to be substantially equivalent to New York State's requirement. Currently, a CPA may obtain the privilege if the CPA is licensed and in good standing in another state, meets the New York State education and experience requirements, and has passed the CPA licensing examination.

Finally, Chapter 530 removes gender specific terminology.

Proposed Amendment

The proposed amendment implements Chapter 530 by amending section 52.13 to prescribe program registration requirements for the new alternative 120 semester hour pathway described above. The new 120 semester hour pathway mirrors the core coursework requirements of the 150-semester hour pathway. Specifically, the new pathway will require five courses in accounting (cost and/or managerial accounting, taxation, auditing, financial accounting and reporting, and accounting information systems), and five courses in business (information systems, economics, business law, finance, and data analytics). The new 120 semester hour pathway will also reduce the overall number of required accounting and business credits by six semester hours each (to twenty-seven accounting credits and thirty business credits).

Additionally, the proposed rule amends sections 70.2 (professional study of public accountancy), 70.3 (experience requirements), 70.4 (licensing examinations) to refer to and contemplate the new 120 semester hour pathway.

The proposed rule also amends section 70.7 (practice by certain out-of-state individuals and firms) to conform with Chapter 530. Additionally, consistent with Chapter 530, gender specific pronouns are removed and replaced with the phrase "such certified public accountant."

The proposed amendment also makes additional technical and policy-based amendments. First, sections 70.2 and 70.3 are amended to remove baccalaureate or higher degree programs in accountancy that are accredited by an acceptable accrediting agency from meeting the educational requirements for licensure.[3] These provisions refer to accreditation by the Association to Advance Collegiate Schools of Business (AACSB). AACSB does not approve programs based on a specific set of course work. Thus, the Department cannot rely on this accrediting body to ensure that applicants are meeting the specific education requirements prescribed in the Commissioner's regulations. Therefore, the Department will no longer accept this programmatic accreditation body after the transition to the new 150 credit pathway outlined in section 52.13(c) of the Commissioner's regulations.

Second, in September 2022, the Department adopted amendments to section 70.3 of the Commissioner's regulations removing the provision which allows public accountants (PAs) to certify acceptable experience for CPAs[4], however, another provision in this section which allows PAs to supervise experience was not similarly removed. Therefore, this amendment makes this change consistent with the September 2022 amendments.

Finally, the proposed rule amends the continuing education requirements for licensure by endorsement[5] in section 70.5 by removing the requirement that the applicant meet the continuing education requirements of the state where the applicant's principal place of business is located in the year prior to submission of their application, to instead require a satisfactory amount of continuing education acceptable to the Department, as recommended by the State Board for Public Accountancy.

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[1] Currently, initial CPA licensure candidates must complete 150 hours of higher education, one year of experience, and pass the CPA examination to obtain a CPA license.

[2] A practice privilege allows CPAs that are licensed and in good standing in another state, and whose principal place of business is not in this State, to practice in New York State without obtaining a license if they meet certain criteria prescribed in section 70.7 of the Commissioner's regulations.

[3] CPA programs will either need to be registered by the Department or determined to be substantially equivalent to such registered programs.

[4] New York State no longer issues PAs licenses. No new PA licenses have been issued since the early 1960s and there are currently only seven public accountants licensed in New York State.

[5] CPA licensure by endorsement allows CPAs licensed and in good standing in another state or country to become licensed in New York State if they have met certain criteria prescribed in section 70.5 of the Commissioner's regulations.

Text of amended rule and any required statements and analyses may be obtained from: Joshua Dingman, NYS Education Department, Office of Counsel, 89 Washington Avenue, (518) 474-6400, email: legal@nysed.gov

Revised Regulatory Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on April 29, 2026, the effective date of the proposed rule has been revised to reflect that the rule will take effect on November 21, 2206, which is the effective date of Chapter 530 of the Laws of 2025.

The above revisions to the proposed rule do not require any revisions to the previously published Regulatory Impact Statement.

Revised Regulatory Flexibility Analysis

The purpose of the proposed amendment is to implement Chapter 530 of the Laws of 2025 (Chapter 530), which, effective November 21, 2026, amends the Education Law by modifying sections 7404 and 7406. Section 7404 establishes and defines the licensure requirements for the certified public accountant (CPA) profession in New York State and section 7406, among other things, sets forth the requirements of practice privileges for out-of-state CPAs.

Chapter 530 specifies the education, experience, and examination requirements for two pathways to initial CPA licensure. The current pathway to CPA licensure is codified. Chapter 530 also adds an alternative route to licensure by allowing candidates to earn a bachelor's degree or higher with at least 120 semester hours plus two years of relative experience and passage of the CPA examination.

Moreover, Chapter 530 amends the requirements for out-of-state CPAs to obtain a practice privilege. Specifically, the law removes the requirement that out-of-state CPAs be licensed by another state which the Board of Regents has determined to have substantially equivalent licensure requirements, or whose individual licensure qualifications are verified by the Department to be substantially equivalent to New York's, by replacing them with experiential and examination qualifications. Currently, an out-of-state CPA may only obtain the privilege if they are licensed and in good standing in another state, meet the New York education and experience requirements, and have passed the CPA licensing examination. Finally, Chapter 530 removes gender specific terminology.

The proposed amendment conforms the Regulations of the Commissioner of Education to Chapter 530 by amending section 52.13 to prescribe program registration requirements for the new alternative 120-semester hour pathway described above. The new 120-semester hour pathway mirrors the core coursework requirements of the 150-semester hour pathway. Specifically, the new pathway will require five courses in accounting (cost and/or managerial accounting, taxation, auditing, financial accounting and reporting, and accounting information systems), and five courses in business (information systems, economics, business law, finance, and data analytics). The new 120-semester hour pathway will also reduce the overall number of required accounting and business credits by six semester hours each (to 27 accounting credits and 30 business credits).

Additionally, the proposed rule amends sections 70.2 (professional study of public accountancy), 70.3 (experience requirements), and 70.4 (licensing examinations) to refer to and contemplate the new 120-semester hour pathway.

The proposed rule also amends section 70.7 (practice by certain out-of-state individuals and firms) to conform with Chapter 530. Finally, Chapter 530 removes gender specific terminology.

The proposed amendment also makes additional technical and policy-based amendments. First, section 70.2 and 70.3 are amended to remove baccalaureate or higher degree programs in accountancy that are accredited by an acceptable accrediting agency from meeting the educational requirements for licensure. These provisions refer to accreditation by the Association to Advance Collegiate Schools of Business (AACSB). AACSB does not approve programs based on a specific set of course work. Thus, the Department cannot rely on this accrediting body to ensure that applicants are meeting the specific education requirements prescribed in the Commissioner's regulations. Therefore, the Department will no longer accept this programmatic accreditation body after the transition to the new 150-credit pathway outlined in section 52.13(c) of the Commissioner's regulations.

In September 2022, the Department adopted amendments to section 70.3 of the Commissioner's regulations removing the provision which allows public accountants (PAs) to certify acceptable experience for CPAs; however, another provision in this section, which allows PAs to supervise experience, was not similarly removed. Therefore, this amendment makes this change consistent with the September 2022 amendments.

The proposed rule amends the continuing education requirements for licensure by endorsement in section 70.5 by removing the requirement that the applicant meet the continuing education requirements of the state where the applicant's principal place of business is located in the year prior to submission of their application, to instead require a satisfactory amount of continuing education acceptable to the Department, as recommended by the State Board for Public Accountancy.

The proposed amendment will not impose any reporting, recordkeeping, or other compliance requirements or costs, or have an adverse impact on small businesses or local governments. Because it is evident from the nature of the proposed amendment that it will not affect small businesses or local governments, no affirmative steps were needed to ascertain that fact, and none were taken. Accordingly, a regulatory flexibility analysis for small businesses and local governments is not required, and one has not been prepared.

Revised Rural Area Flexibility Analysis

The purpose of the proposed amendment is to implement Chapter 530 of the Laws of 2025 (Chapter 530), which, effective November 21, 2026, amends the Education Law by modifying sections 7404 and 7406. Section

7404 establishes and defines the licensure requirements for the certified public accountant (CPA) profession in New York State and section 7406, among other things, sets forth the requirements of practice privileges for out-of-state CPAs.

Chapter 530 specifies the education, experience, and examination requirements for two pathways to initial CPA licensure. The current pathway to CPA licensure is codified. Chapter 530 also adds an alternative route to licensure by allowing candidates to earn a bachelor's degree or higher with at least 120 semester hours plus two years of relative experience and passage of the CPA examination.

Moreover, Chapter 530 amends the requirements for out-of-state CPAs to obtain a practice privilege. Specifically, the law removes the requirement that out-of-state CPAs be licensed by another state which the Board of Regents has determined to have substantially equivalent licensure requirements, or whose individual licensure qualifications are verified by the Department to be substantially equivalent to New York's, replacing them with experiential and examination qualifications. Currently, an out-of-state CPA may only obtain the privilege if they are licensed and in good standing in another state, meet the New York education and experience requirements, and have passed the CPA licensing examination. Finally, Chapter 530 removes gender specific terminology.

The proposed amendment conforms the Regulations of the Commissioner of Education to Chapter 530 by amending section 52.13 to prescribe program registration requirements for the new alternative 120-semester hour pathway described above. The new 120-semester hour pathway mirrors the core coursework requirements of the 150-semester hour pathway. Specifically, the new pathway will require five courses in accounting (cost and/or managerial accounting, taxation, auditing, financial accounting and reporting, and accounting information systems), and five courses in business (information systems, economics, business law, finance, and data analytics). The new 120-semester hour pathway will also reduce the overall number of required accounting and business credits by six semester hours each (to 27 accounting credits and 30 business credits).

Additionally, the proposed rule amends sections 70.2 (professional study of public accountancy), 70.3 (experience requirements), and 70.4 (licensing examinations) to refer to and contemplate the new 120-semester hour pathway.

The proposed rule also amends section 70.7 (practice by certain out-of-state individuals and firms) to conform with Chapter 530.

The proposed amendment makes additional technical and policy-based amendments. First, section 70.2 and 70.3 are amended to remove baccalaureate or higher degree programs in accountancy that are accredited by an acceptable accrediting agency from meeting the educational requirements for licensure. These provisions refer to accreditation by the Association to Advance Collegiate Schools of Business (AACSB). AACSB does not approve programs based on a specific set of course work. Thus, the Department cannot rely on this accrediting body to ensure that applicants are meeting the specific education requirements prescribed in the Commissioner's regulations. Therefore, the Department will no longer accept this programmatic accreditation body after the transition to the new 150-credit pathway outlined in section 52.13(c) of the Commissioner's regulations.

In September 2022, the Department adopted amendments to section 70.3 of the Commissioner's regulations removing the provision which allows public accountants (PAs) to certify acceptable experience for CPAs; however, another provision in this section, which allows PAs to supervise experience, was not similarly removed. Therefore, this amendment makes this change consistent with the September 2022 amendments.

The proposed rule amends the continuing education requirements for licensure by endorsement in section 70.5 by removing the requirement that the applicant meet the continuing education requirements of the state where the applicant's principal place of business is located in the year prior to submission of their application, to instead require a satisfactory amount of continuing education acceptable to the Department, as recommended by the State Board for Public Accountancy.

These standards supersede the prior standards and are designed to encourage more prospective CPA licensure applicants to apply for licensure in New York State, which could result in greater access for New Yorkers to CPA services in this State. These standards will apply to all prospective New York State CPA licensure applicants and are part of a nationwide movement to create more flexible standards to promote CPA licensure throughout the country. Thus, the proposed amendment does not adversely impact entities in rural areas of New York State. Accordingly, no further steps were needed to ascertain the impact of the proposed amendment on entities in rural areas and none were taken. Thus, a rural flexibility analysis is not required and one has not been prepared.

Revised Job Impact Statement

It is not anticipated that the proposed amendment will impact jobs or employment opportunities. This is because the proposed amendment implements Chapter 530 of the Laws of 2025 (Chapter 530), which, effective November 21, 2026, amends the Education Law by modifying sections 7404 and 7406. Section 7404 establishes and defines the licensure requirements for the certified public accountant (CPA) profession in New York State, and section 7406, among other things, sets forth the requirements of practice privileges for out-of-state CPAs.

Chapter 530 specifies the education, experience, and examination requirements for the two pathways to initial CPA licensure. The current pathway to CPA licensure is codified. Chapter 530 also adds an alternative route to licensure by allowing candidates to earn a bachelor's degree or higher with at least 120 semester hours plus two years of relative experience and passage of the CPA examination.

Moreover, Chapter 530 amends the requirements for out-of-state CPAs to obtain a practice privilege. Specifically, the law removes the requirement that out-of-state CPAs be licensed by another state which the Board of Regents has determined to have substantially equivalent licensure requirements, or whose individual licensure qualifications are verified by the Department to be substantially equivalent to New York's, replacing them with experiential and examination qualifications. Currently, an out-of-state CPA may only obtain the privilege if they are licensed and in good standing in another state, meet the New York education and experience requirements, and have passed the CPA licensing examination. Finally, Chapter 530 removes gender specific terminology.

The proposed rule also amends the continuing education requirements for licensure by endorsement in section 70.5 by removing the requirement that the applicant meet the continuing education requirements of the state where the applicant's principal place of business is located in the year prior to submission of their application, to instead require a satisfactory amount of continuing education acceptable to the Department, as recommended by the State Board for Public Accountancy. The proposed rule is specifically designed to encourage and assist more prospective CPAs to become licensed in New York, which would result in greater access for New Yorkers to CPA services.

The proposed amendment will not have a substantial adverse impact on jobs and employment opportunities. Because it is evident from the nature of the proposed amendment that it will have no impact on jobs or employment opportunities attributable to its adoption or only a positive impact, no affirmative steps were needed to ascertain these facts and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Universal Prekindergarten (UPK) Program

I.D. No. EDU-42-26-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Subpart 151-1 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 208, 305, 308, 3602-e; L. 2026, ch. 56, part A

Subject: Universal Prekindergarten (UPK) Program.

Purpose: Ensuring the regulations are consistent with statutory changes to the UPK program and to reflect additional policy changes.

Substance of proposed rule (Full text is posted at the following State website: https://www.counsel.nysed.gov/rules/full-text-indices): The 2026-27 Enacted State Budget (sections 4 through 9 of Part A of Chapter 56 of the Laws of 2026 (Chapter 56) made significant changes to the State's Universal Prekindergarten program (UPK). These changes include: a higher per pupil rate for many districts, the ability for districts to expand UPK seats, and the repeal of statewide universal full-day pre-kindergarten (section 3602-ee of the Education Law). Additionally, beginning in the 2028-2029 school year, school districts will be required to provide UPK for any interested eligible students.

Therefore, the Department proposes to amend Subpart 151-1 of the Commissioner's regulations, consistent with Chapter 56. The Department also proposes making additional policy and technical amendments to Subpart 151-1 as described below.

Sections 151-1.1 and 151-1.2

- Sections 151-1.1 and 151-1.2 are amended to include reference to three-year-old children as eligible for UPK, consistent with Education Law § 3602(3).
- Section 151-1.2 is amended to:

o update definitions, consistent with Education Law § 3602, to require that UPK plans include specific goals and a timetable for implementation; and

o add definitions for Emergent Multilingual Learners (EMLs) and direct contract agencies.

• Direct contract agencies are referenced throughout Subpart 151-1 as eligible recipients of UPK funding and subject to its provisions.

Section 151-1.3

• Subdivision (a), curriculum, planning and implementation, is amended to reflect expectations of evidence-based and scientifically-based reading instruction, consistent with section 100.3(c) of the Commissioner's regulations.

• Subdivision (b), screening, progress monitoring and data-based decision making, is amended to update terminology, remove outdated provisions, and update reporting requirements.

• Subdivision (c), health and nutrition, is updated to ensure that UPK programs:

o support students' nutritional needs by ensuring the provision of a nutritional snack at no cost to children. Such programs must provide breakfast and/or lunch at no cost to children who would otherwise be unable to access such meals, including children experiencing homelessness or food insecurity.

o follow statewide protocols for diapering and toileting, consistent with section 136.10 of the Commissioner's regulations.

• Subdivision (d), class size, is amended to:

o remove provisions applicable during the COVID pandemic;

o provide for a waiver of maximum class sizes for programs with unique characteristics or to promote inclusion of preschool students with disabilities or those experiencing temporary housing or are homeless; and

o provide for a waiver from the requirement to have a paraprofessional where the class size is 10 children or less.

• Subdivision (d), staff qualifications, is amended to clarify terminology.

• Subdivision (f), fiscal and programmatic oversight, is amended to clarify the responsibility of school districts for ensuring compliance by all collaborating eligible agencies.

• Subdivision (g), professional learning, is amended to clarify that professional learning opportunities must be made available to personnel of both the school district and collaborating eligible agencies and shall support program quality, alignment with kindergarten through grade three learning goals, inclusive practices, and culturally and linguistically responsive instruction.

• Subdivision (h), parental involvement, is amended to update procedures to promote the active and ongoing engagement of parents and/or guardians in their child's education.

• Subdivision (i), support services, is amended to update requirements for support services to children and their families necessary to support the child's participation in the UPK program and ensure a successful transition to kindergarten.

• Subdivision (j), transition to kindergarten, is added to set forth requirements for school districts and direct contract agencies.

Section 151-1.4

• Subdivision (a) is amended to reflect that by the 2028-29 school year, all state-funded four-year-old UPK programs must operate on a full-time basis.

• Subdivision (c) is amended to reflect that by the 2028-29 school year, all eligible 4-year olds must able to attend a UPK program in their district.

• Subdivision (d) is amended to update requirements for integration of children with disabilities. Districts and direct contract agencies must adopt a policy that promotes the participation, inclusion, and integration of prekindergarten students with disabilities in curriculum and instruction.

• Subdivision (e) is amended to require that districts and direct contract agencies adopt policies that promote the participation, inclusion, and integration of EMLs in curriculum and instruction.

Section 151-1.5

Section 151-1.5 regarding the UPK application process is amended to update terminology and to remove outdated provisions.

Section 151-1.6

Section 151-1.6 regarding the competitive process is amended to update terminology and procedures for such process.

Section 151-1.7

Section 151-1.7 is amended to make a technical correction.

Text of proposed rule and any required statements and analyses may be obtained from: Joshua Dingman, NYS Education Department, Office of Counsel, 89 Washington Ave., Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Erik Sweet, NYS Education Department, Office of Early Learning, 89 Washington Ave., Room 5W EB, Albany, NY 12234, (518) 473-0650, email: regcomments@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law § 101 charges the Department with the general management and supervision of all public schools and all of the educational work of the state.

Education Law § 207 grants general rule-making authority to the Regents to carry into effect State educational laws and policies.

Education Law § 208 grants general rule-making authority to the Regents to confer suitable certificates, diplomas and degrees on persons who satisfactorily meet the requirements prescribed.

Education Law § 305(1) and (2) provide that the Commissioner, as chief executive officer of the State's education system, with general supervision over all schools and institutions subject to the Education Law, or of any statute relating to education, and responsibility for executing all educational policies of the Board of Regents.

Education Law § 308 empowers the commissioner to cause to be instituted such proceedings and processes as may be necessary to property enforce and give effect to any provision in the Education Law or in any other general or special law pertaining to the school system of the state or any part thereof or to any school district or city and to enforce any rule or direction of the regents.

Education Law § 3602-e authorizes and directs the Commissioner of Education to award grants for the establishment and implementation of a universal prekindergarten (UPK) program to serve eligible children.

Sections 4 through 9 of Part A of Chapter 56 of the Laws of 2026 (Chapter 56) amended Education Law § 3602-e to update definitions, provide a higher per pupil rate for many school districts, the ability for districts to expand UPK seats, and the repeal of statewide universal full-day pre-kindergarten (Education Law § 3602-ee). Additionally, the amendments provide that beginning in the 2028-2029 school year, school districts will be required to provide UPK for any interested eligible students.

2. LEGISLATIVE OBJECTIVES:

The proposed rule is consistent with the above statutory authority and is necessary to align the Commissioner's regulations with the amendments to the UPK Program in Chapter 56 of the Laws of 2026. The proposed rule is also necessary to make additional policy and technical amendments to the UPK program as described more fully in the Needs and Benefits section below.

3. NEEDS AND BENEFITS:

The 2026-27 Enacted State Budget (sections 4 through 9 of Part A of Chapter 56 of the Laws of 2026 (Chapter 56) made significant changes to the State's UPK program. These changes include: a higher per pupil rate for many districts, the ability for districts to expand UPK seats, and the repeal of statewide universal full-day pre-kindergarten (section 3602-ee of the Education Law). Additionally, beginning in the 2028-2029 school year, school districts will be required to provide UPK for any interested eligible students.

Therefore, the Department proposes to amend Subpart 151-1 of the Commissioner's regulations, consistent with Chapter 56. The Department also proposes making the following additional policy and technical amendments to Subpart 151-1:

Sections 151-1.1 and 151-1.2

• Sections 151-1.1 and 151-1.2 are amended to include reference to three-year-old children as eligible for UPK, consistent with Education Law § 3602(3).

• Section 151-1.2 is amended to:

o update definitions, consistent with Education Law § 3602, to require that UPK plans include specific goals and a timetable for implementation; and

o add definitions for Emergent Multilingual Learners (EMLs) and direct contract agencies.

• Direct contract agencies are referenced throughout Subpart 151-1 as eligible recipients of UPK funding and subject to its provisions.

Section 151-1.3

• Subdivision (a), curriculum, planning and implementation, is amended to reflect expectations of evidence-based and scientifically-based reading instruction, consistent with section 100.3(c) of the Commissioner's regulations.

• Subdivision (b), screening, progress monitoring and data-based decision making, is amended to update terminology, remove outdated provisions, and update reporting requirements.

• Subdivision (c), health and nutrition, is updated to ensure that UPK programs:

o support students' nutritional needs by ensuring the provision of a nutritional snack at no cost to children. Such programs must provide breakfast and/or lunch at no cost to children who would otherwise be unable to access such meals, including children experiencing homelessness or food insecurity.

o follow statewide protocols for diapering and toileting, consistent with section 136.10 of the Commissioner's regulations.

• Subdivision (d), class size, is amended to:

o remove provisions applicable during the COVID pandemic;

o provide for a waiver of maximum class sizes for programs with

unique characteristics or to promote inclusion of preschool students with disabilities or those experiencing temporary housing or are homeless; and

o provide for a waiver from the requirement to have a paraprofessional where the class size is 10 children or less.

• Subdivision (d), staff qualifications, is amended to clarify terminology.

• Subdivision (f), fiscal and programmatic oversight, is amended to clarify the responsibility of school districts for ensuring compliance by all collaborating eligible agencies.

• Subdivision (g), professional learning, is amended to clarify that professional learning opportunities must be made available to personnel of both the school district and collaborating eligible agencies and shall support program quality, alignment with kindergarten through grade three learning goals, inclusive practices, and culturally and linguistically responsive instruction.

• Subdivision (h), parental involvement, is amended to update procedures to promote the active and ongoing engagement of parents and/or guardians in their child's education.

• Subdivision (i), support services, is amended to update requirements for support services to children and their families necessary to support the child's participation in the UPK program and ensure a successful transition to kindergarten.

• Subdivision (j), transition to kindergarten, is added to set forth requirements for school districts and direct contract agencies.

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• Subdivision (a) is amended to reflect that by the 2028-29 school year, all state-funded four-year-old UPK programs must operate on a full-time basis.

• Subdivision (c) is amended to reflect that by the 2028-29 school year, all eligible 4-year olds must able to attend a UPK program in their district.

• Subdivision (d) is amended to update requirements for integration of children with disabilities. Districts and direct contract agencies must adopt a policy that promotes the participation, inclusion, and integration of pre-kindergarten students with disabilities in curriculum and instruction.

• Subdivision (e) is amended to require that districts and direct contract agencies adopt policies that promote the participation, inclusion, and integration of EMLs in curriculum and instruction.

Section 151-1.5

Section 151-1.5 regarding the UPK application process is amended to update terminology and to remove outdated provisions.

Section 151-1.6

Section 151-1.6 regarding the competitive process is amended to update terminology and procedures for such process.

Section 151-1.7

Section 151-1.7 is amended to make a technical correction.

4. COSTS:

(a) Costs to State government: The proposed rule does not impose any costs on State government.

(b) Costs to local government: Consistent with Chapter 56, the proposed rule requires that school districts must provide UPK program access to all interested eligible students. The proposed rule in this respect does not impose any costs beyond those imposed by statute. Additionally, pursuant to Chapter 56 and there is no longer a preset maximum number of four-year-old UPK students that can generate UPK funding. Therefore, districts can receive aid for each UPK student served.

The current regulation provides that all UPK programs must provide a nutritional mean and/or snack (for programs operating less than three hours, and appropriate meals and snacks (for programs operating more than three hours) to ensure the nutritional needs of the children are met. The proposed rule amends his provision to provide that UPK programs must provide a nutritional snack to support children's nutritional needs at no cost, provided that school districts shall ensure that breakfast and/or lunch is provided at no const to children who would otherwise be unable to access such meals, including children experiencing homelessness or food insecurity. Therefore, any costs incurred by local governments due to the proposed rule would be less than costs imposed by the current regulatory language. Districts may use their UPK allocations to pay for the costs of required nutritional snacks and/or meals.

The proposed rule also clarifies that professional learning opportunities must be made available to personnel of both the school district and collaborating eligible agencies and shall support program quality, alignment with kindergarten through grade three learning goals, inclusive practices, and culturally and linguistically responsive instruction. While providing such professional learning opportunities could impose costs on districts and direct contract agencies- such costs would be offset by their UPK allocation. Providing professional learning opportunities to all personnel of UPK programs ensures equity of training for all UPK programs regardless of location.

(c) Cost to private regulated parties: As stated above in the cost to local governments sections, any costs incurred by private regulated parties due to the proposed rule would be less than costs imposed by the current regulatory language. Eligible agencies collaborating with districts to offer UPK programs may utilize UPK funds to pay for the costs of required nutritional snacks and/or meals for students.

(d) Cost to the regulatory agency: The proposed rule does not impose any costs on the State Education Department for implementation and continued administration.

5. LOCAL GOVERNMENT MANDATES:

All program services, duties, responsibilities or other mandates imposed by the proposed rule are fully described in the Needs and Benefits section above.

6. PAPERWORK:

The proposed rule requires school districts to issue a publicly posted request for proposal seeking eligible agencies to provide universal prekindergarten programs.

7. DUPLICATION:

There are no other state or federal requirements on the subject matter of the proposed rule. Therefore, the amendment does not duplicate other existing state or federal requirements.

8. ALTERNATIVES:

The proposed rule is necessary to align the Commissioner's regulations with the amendments to the UPK Program in Chapter 56 of the Laws of 2026. The proposed rule is also necessary to make additional policy and technical amendments to the UPK program as described more fully in the Needs and Benefits section above. There are no significant alternatives to the proposed rule and none were considered.

9. FEDERAL STANDARDS:

There are no related federal standards. Since there are no applicable federal standards, the proposed rule does not exceed any minimum federal standards for the same or similar subject areas.

10. COMPLIANCE SCHEDULE:

It is anticipated that the proposed rule will be presented to the Board of Regents for permanent adoption at the February 2027 meeting. If adopted at the February 2027 Regents meeting, the proposed amendment will become effective as a permanent rule on July 1, 2027. It is anticipated that regulated parties will be able to comply with the proposed rule on its effective date.

Regulatory Flexibility Analysis

1. EFFECT OF RULE:

The proposed rule applies to each of the 731 public school districts in the State and all direct contract agencies within the State, as well as any eligible agencies which collaborate with school districts to provide UPK programming.

2. COMPLIANCE REQUIREMENTS:

The 2026-27 Enacted State Budget (sections 4 through 9 of Part A of Chapter 56 of the Laws of 2026 (Chapter 56) made significant changes to the State's UPK program. These changes include: a higher per pupil rate for many districts, the ability for districts to expand UPK seats, and the repeal of statewide universal full-day pre-kindergarten (section 3602-ee of the Education Law). Additionally, beginning in the 2028-2029 school year, school districts will be required to provide UPK for any interested eligible students.

Therefore, the Department proposes to amend Subpart 151-1 of the Commissioner's regulations, consistent with Chapter 56. The Department also proposes making the following additional policy and technical amendments to Subpart 151-1:

Sections 151-1.1 and 151-1.2

• Sections 151-1.1 and 151-1.2 are amended to include reference to three-year-old children as eligible for UPK, consistent with Education Law § 3602(3).

• Section 151-1.2 is amended to:

o update definitions, consistent with Education Law § 3602, to require that UPK plans include specific goals and a timetable for implementation; and

o add definitions for Emergent Multilingual Learners (EMLs) and direct contract agencies.

• Direct contract agencies are referenced throughout Subpart 151-1 as eligible recipients of UPK funding and subject to its provisions.

Section 151-1.3

• Subdivision (a), curriculum, planning and implementation, is amended to reflect expectations of evidence-based and scientifically-based reading instruction, consistent with section 100.3(c) of the Commissioner's regulations.

• Subdivision (b), screening, progress monitoring and data-based decision making, is amended to update terminology, remove outdated provisions, and update reporting requirements.

• Subdivision (c), health and nutrition, is updated to ensure that UPK programs:

o support students' nutritional needs by ensuring the provision of a nutritional snack at no cost to children. Such programs must provide breakfast and/or lunch at no cost to children who would otherwise be unable to

access such meals, including children experiencing homelessness or food insecurity.

o follow statewide protocols for diapering and toileting, consistent with section 136.10 of the Commissioner's regulations.

• Subdivision (d), class size, is amended to:

o remove provisions applicable during the COVID pandemic;

o provide for a waiver of maximum class sizes for programs with unique characteristics or to promote inclusion of preschool students with disabilities or those experiencing temporary housing or are homeless; and

o provide for a waiver from the requirement to have a paraprofessional where the class size is 10 children or less.

• Subdivision (d), staff qualifications, is amended to clarify terminology.

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• Subdivision (h), parental involvement, is amended to update procedures to promote the active and ongoing engagement of parents and/or guardians in their child's education.

• Subdivision (i), support services, is amended to update requirements for support services to children and their families necessary to support the child's participation in the UPK program and ensure a successful transition to kindergarten.

• Subdivision (j), transition to kindergarten, is added to set forth requirements for school districts and direct contract agencies.

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• Subdivision (a) is amended to reflect that by the 2028-29 school year, all state-funded four-year-old UPK programs must operate on a full-time basis.

• Subdivision (c) is amended to reflect that by the 2028-29 school year, all eligible 4-year olds must able to attend a UPK program in their district.

• Subdivision (d) is amended to update requirements for integration of children with disabilities. Districts and direct contract agencies must adopt a policy that promotes the participation, inclusion, and integration of prekindergarten students with disabilities in curriculum and instruction.

• Subdivision (e) is amended to require that districts and direct contract agencies adopt policies that promote the participation, inclusion, and integration of EMLs in curriculum and instruction.

Section 151-1.5

Section 151-1.5 regarding the UPK application process is amended to update terminology and to remove outdated provisions.

Section 151-1.6

Section 151-1.6 regarding the competitive process is amended to update terminology and procedures for such process.

Section 151-1.7

Section 151-1.7 is amended to make a technical correction.

3. PROFESSIONAL SERVICES:

The proposed rule does not impose any professional services requirements on local governments or small businesses.

4. COMPLIANCE COSTS:

[BF1.1][JP1.2][ES1.3]Consistent with Chapter 56, the proposed rule requires that school districts must provide UPK program access to all interested eligible students. The proposed rule in this respect does not impose any costs beyond those imposed by statute. Additionally, pursuant to Chapter 56 and there is no longer a preset maximum number of four-year-old UPK students that can generate UPK funding. Therefore, districts can receive aid for each UPK student served.

The current regulation provides that all UPK programs must provide a nutritional mean and/or snack (for programs operating less than three hours, and appropriate meals and snacks (for programs operating more than three hours) to ensure the nutritional needs of the children are met. The proposed rule amends his provision to provide that UPK programs must provide a nutritional snack to support children's nutritional needs at no cost, provided that school districts shall ensure that breakfast and/or lunch is provided at no const to children who would otherwise be unable to access such meals, including children experiencing homelessness or food insecurity. Therefore, any costs incurred by school districts, direct contract agencies, or collaborating eligible agencies would be less than costs imposed by the current regulatory language. Districts and collaborating agencies may use their UPK grant allocations to pay for the costs of required nutritional snacks and/or meals.

The proposed rule also clarifies that professional learning opportunities must be made available to personnel of both the school district and collaborating eligible agencies and shall support program quality, alignment with kindergarten through grade three learning goals, inclusive practices, and culturally and linguistically responsive instruction. While providing such professional learning opportunities could impose costs on districts and direct contract agencies- such costs would be offset by their UPK allocation. Providing professional learning opportunities to all personnel of UPK programs ensures equity of training for all UPK programs regardless of location.

5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional technological requirements on school districts. Economic feasibility is addressed under the Compliance Costs section above.

6. MINIMIZING ADVERSE IMPACT:

The proposed rule aligns the Commissioner's regulations with the amendments to the UPK Program in Chapter 56 of the Laws of 2026. The proposed rule is also necessary to make additional policy and technical amendments to the UPK program as described more fully in the Compliance Requirements section above. The proposed rule will not have any adverse economic impact on local governments or small businesses beyond those imposed by statute. Additionally, there are no significant alternatives to the proposed amendment, and none were considered. Moreover, Chapter 56 provides for UPK allocations that can be utilized any costs incurred by regulated parties.

7. SMALL BUSINESS AND LOCAL GOVERNMENT PARTICIPATION:

A copy of the proposed rule has been shared with school districts through the offices of the district superintendents of each supervisory district in the State and with the chief school officers of the five big city school districts for review and comment. Additionally, a copy of the proposed rule has been shared with direct contract agencies, and current eligible agencies that collaborate with school districts to offer UPK programming.

Rural Area Flexibility Analysis

1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:

The proposed rule will apply to each of the 731 public school districts in the State, all direct contract agencies, as well as collaborating eligible agencies, including those located in the 44 counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square miles or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The 2026-27 Enacted State Budget (sections 4 through 9 of Part A of Chapter 56 of the Laws of 2026 (Chapter 56) made significant changes to the State's Universal Prekindergarten program (UPK). These changes include: a higher per pupil rate for many districts, the ability for districts to expand UPK seats, and the repeal of statewide universal full-day prekindergarten (section 3602-ee of the Education Law). Additionally, beginning in the 2028-2029 school year, school districts will be required to provide UPK for any interested eligible students.

Therefore, the Department proposes to amend Subpart 151-1 of the Commissioner's regulations, consistent with Chapter 56. The Department also proposes making the following additional policy and technical amendments to Subpart 151-1:

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• Sections 151-1.1 and 151-1.2 are amended to include reference to three-year-old children as eligible for UPK, consistent with Education Law § 3602(3).

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• Subdivision (h), parental involvement, is amended to update procedures to promote the active and ongoing engagement of parents and/or guardians in their child's education.
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Section 151-1.5 regarding the UPK application process is amended to update terminology and to remove outdated provisions.

Section 151-1.6

Section 151-1.6 regarding the competitive process is amended to update terminology and procedures for such process.

Section 151-1.7

Section 151-1.7 is amended to make a technical correction.

3. COSTS:

Consistent with Chapter 56, the proposed rule requires that school districts must provide UPK program access to all interested eligible students. The proposed rule in this respect does not impose any costs beyond those imposed by statute. Additionally, pursuant to Chapter 56 and there is no longer a preset maximum number of four-year-old UPK students that can generate UPK funding. Therefore, districts can receive aid for each UPK student served.

The current regulation provides that all UPK programs must provide a nutritional mean and/or snack (for programs operating less than three hours, and appropriate meals and snacks (for programs operating more than three hours) to ensure the nutritional needs of the children are met. The proposed rule amends his provision to provide that UPK programs must provide a nutritional snack to support children's nutritional needs at no cost, provided that school districts shall ensure that breakfast and/or lunch is provided at no const to children who would otherwise be unable to access such meals, including children experiencing homelessness or food insecurity. Therefore, any costs incurred by school districts, direct contract agencies, or collaborating eligible agencies, including those located in rural areas, would be less than costs imposed by the current regulatory language. Districts and collaborating agencies may use their UPK grant allocations to pay for the costs of required nutritional snacks and/or meals.

The proposed rule also clarifies that professional learning opportunities must be made available to personnel of both the school district and collaborating eligible agencies and shall support program quality, alignment with kindergarten through grade three learning goals, inclusive practices, and culturally and linguistically responsive instruction. While providing such professional learning opportunities could impose costs on districts and direct contract agencies- such costs would be offset by their UPK allocation. Providing professional learning opportunities to all personnel of UPK programs ensures equity of training for all UPK programs regardless of location.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to align the Commissioner's regulations with the amendments to the UPK Program in Chapter 56 of the Laws of 2026. The proposed rule is also necessary to make additional policy and technical amendments to the UPK program as described more fully in the Reporting, Recordkeeping and Other Compliance Requirements section above. The Department does not anticipate that the proposed rule will have any adverse impact on regulated parties/entities located in rural areas beyond those imposed by statute. Moreover, Chapter 56 provides for UPK allocations that can be utilized any costs incurred by regulated parties. Therefore, alternative approaches prescribed in SAPA § 202-bb(2) for rural areas were not considered.

5. RURAL AREA PARTICIPATION:

A copy of the proposed rule has been shared with school districts through the offices of the district superintendents of each supervisory district in the State, including those located in rural areas, for review and comment. Additionally, a copy of the proposed rule has been shared with direct contract agencies, and current eligible agencies that collaborate with school districts to offer UPK programming, including those located in rural areas, for review and comment.

Job Impact Statement

The purpose of the proposed rule is to align the Commissioner's regulations with the amendments to the Universal Prekindergarten (UPK) Program in Chapter 56 of the Laws of 2026. The proposed rule is also necessary to make additional policy and technical amendments to the UPK program.

Because it is evident from the nature of the proposed amendment that it will have no impact on jobs or employment opportunities attributable to its adoption or only a positive impact, no affirmative steps were needed to ascertain these facts, and none were taken. Accordingly, a job impact statement is not required pursuant to section 201-a(2)(a) of the State Administrative Procedure Act, and one has not been prepared.

Department of Financial Services

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Legalization of Foreign Documents; Application for a Change of Location or a Change of Designation of Principal Office

I.D. No. DFS-42-26-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Supervisory Policy G 7.1, Supervisory Procedure G 105.2 of Title 3 NYCRR.

Statutory authority: Banking Law, sections 14, 28, 200, 203, 221-a, 221-c, 221-e; Financial Services Law, sections 202 and 302

Subject: Legalization of Foreign Documents; Application for a Change of Location or a Change of Designation of Principal Office.

Purpose: To streamline and modernize requirements for applications and notices.

Text of proposed rule: Supervisory Policy G 7.1 is amended to read as follows:

§ 7.1 General statement

From time to time, documents executed in foreign countries must be submitted to the department. Such documents include *an* application[s] by a foreign banking corporation for a license to open a branch*,* [or] agency*, or representative office* in New York, *an* appointment of *a* New York branch manager*,* as well as certifications as to paid-in-capital stock, surplus fund*,* and undivided profits*.* Such requirements are found, for example, in [article V of the New York State Banking Law] *Supervisory Procedure* [CB104] *FB 101.3(p)* and Supervisory Procedure *FB 102.3(o).*

On October 15, 1981, the United States became a party to the Hague Convention Abolishing the Requirement of Legalization for Foreign Public Documents *(the "Hague Convention")*. The [convention] *Hague Convention* simplified the procedure for having a foreign document legalized. Each country which is a party to the [convention] *Hague Convention* designates the authority or authorities who may certify public documents. A certification, also known as an apostille, is affixed to the document by the competent local authority of the foreign country where the document *has* originated. *An e-apostille is a type of apostille issued in electronic form and signed by electronic signature with a digital certificate.* The

form of the apostille is set forth in section 7.2 of this supervisory policy in the same form as set forth in the [convention] *Hague Convention*. Further legalization of documents will not be required for submission to the [New York State Banking Department] *department* in the case of documents *bearing an apostille, including an e-apostille, validly issued by a competent local authority and* originating in countries [which] *that* are parties to the [convention] *Hague Convention*.

Supervisory Procedure G 105.2 is amended to read as follows:

§ 105.2 Application

The application submitted to the New York City [Office] *office* of the *department* [Department of Financial Services] at the address set forth in section 1.1 of Supervisory Policy G 1, of this Title should state the reason for any proposed change, should include addresses of the offices affected by any such proposed change, and should be accompanied by a copy of the resolution of the board of directors[/], trustees*, or other governing board of the applicant (its "governing board")* authorizing such application *certified by the secretary or other officer of the applicant responsible for maintaining the minutes of the proceedings of such governing board (its "secretary") or a statement so certified that such a resolution has been passed.* [(Banking organizations subject to Article III, VI, or X of the Banking Law eligible for the expedited branch application process may submit a statement that a resolution has been passed in lieu of submitting a copy.)]

In lieu of such a resolution or statement so certified by its secretary, an applicant may provide:

(a) a copy of the articles of organization, bylaws, or other governing document of the applicant ("governing document") certified by its secretary, which governing document contains a provision granting one or more officers, directors, or trustees of the applicant (specified by name or office) the authority to authorize such application together with the appropriate authorization executed by the person or persons so specified in such governing document;

(b) an analogous resolution of a group composed of members of such governing board that has been authorized to act on behalf of such governing board by the governing document of the applicant ("board committee") together with a certificate of the secretary attesting to both the resolution adopted by such board committee and the authority of such board committee to adopt such resolution on behalf of the governing board; or

(c) a resolution of the governing board or such board committee certified by the secretary as specified above granting one or more officers, directors, or trustees of the applicant (specified by name or office) the authority to authorize such application together with the appropriate authorization executed by persons so specified in such resolution.

Text of proposed rule and any required statements and analyses may be obtained from: Federica Giordano, New York State Department of Financial Services, One State Street, New York, NY 10004, (212) 480-6415, email: Federica.Giordano@dfs.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority: Banking Law (or "BL") §§ 14, 28, 200, 203, 221-a, 221-c, and 221-e; Financial Services Law (or "FSL") §§ 202 and 302.

BL § 14 sets forth certain powers of the Superintendent of Financial Services (the "Superintendent") under the BL, including the power to "make, alter and amend orders, rules and regulations not inconsistent with law."

BL § 28 establishes that a banking organization or foreign corporation must submit an application in proper form to the Superintendent for leave to change its place of business or designation of principal office.

BL § 200 provides that foreign banking corporations must receive a license issued by the Superintendent prior to engaging in the business of a foreign branch or agency in New York State.

BL § 203 establishes that a foreign banking corporation may make an application to the Superintendent to change its place of business in New York as designated in its license.

BL § 221-a provides that foreign banking corporations must receive a license issued by the Superintendent prior to engaging in the business of a foreign representative office in New York State.

BL § 221-c provides that the application for a license to operate a representative office of a foreign banking corporation office shall be in the form prescribed by the Superintendent.

BL § 221-e establishes that a representative office of a foreign banking corporation shall notify the Superintendent of a change in location.

FSL § 202 establishes the office of the Superintendent and provides the Superintendent with broad rights, powers, duties, and discretion with respect to matters under the Financial Services Law, the Banking Law, and the Insurance Law.

FSL § 302 sets forth the power of the Superintendent to prescribe, withdraw or amend rules and regulations involving financial products and services, including effectuating and interpreting the provisions of the Financial Services Law, the Banking Law, and the Insurance Law, and in governing the procedures to be followed in the practice of the Department of Financial Services (the "Department").

2. Legislative objectives: BL § 14 and FSL §§ 202 and 302 grant the Superintendent broad powers to prescribe policies and procedures for information submitted in connection with applications and notices.

BL §§ 200 and 221-a require foreign banking corporations to receive a license prior to operating a foreign branch, agency, or representative office in New York State. BL § 221-c provides that the application for a license to operate a representative office of a foreign banking corporation office shall be in the form prescribed by the Superintendent. Applications for licensure by foreign banking corporations and other applications to the Department will contain foreign documents that require legalization. SP G 7.1 sets forth the Superintendent's policy on the legalization of foreign documents, and the amended SP G 7.1 clarifies that the Department accepts e-apostilles.

BL § 28 establishes procedures for the grant or denial of an application by a banking organization to change its place of business or its designation of a principal office "upon the receipt by the superintendent of a written application in proper form. . . ." Similarly, BL § 203 allows a foreign branch or agency to apply to the Superintendent to change its place of business in New York. BL § 221-e requires that a representative office of a foreign banking corporation notify the Superintendent of a change in location. SP G 105.2 prescribes the proper form of such applications and notices. The proposed amended SP G 105.2 will better align the required application with industry practice and improve the efficiency with which a banking organization or a branch, agency, or representative office of a foreign banking corporation may change its place of business or designation of principal office as authorized by BL §§ 28, 203, and 221-e.

3. Needs and benefits: The proposed amendments to the supervisory policy and procedure modernize and streamline the current policy and procedure.

With respect to SP G 7.1, the proposed amendment clarifies that the Department accepts e-apostilles. An e-apostille is an apostille issued in electronic form and signed by electronic signature with a digital certificate. The amended SP G 7.1 conforms the Department's verification requirement to current practice for verification of foreign documents and allows regulated entities the ability to use cheaper and more convenient e-apostilles.

The current version of SP G 105.2 states that an application for a change of location or a change of designation of principal office "should be accompanied by a copy of the resolution of the board of directors/trustees authorizing such application." Boards of directors, trustees, or other governing boards ("Governing Boards") at large organizations generally do not pass a specific resolution to authorize each such change in location or designation. Usually, a Governing Board delegates the authority to approve a change of location or designation of principal office to an officer, director, trustee, or committee of the Governing Board. The proposed amended SP G 105.2 will better reflect standard industry practice and increase efficiency by removing the need for frequent waivers while ensuring applications and notices for a change of location or designation of principal office are appropriately authorized.

4. Costs: The proposed amendment to SP G 7.1 will not increase and may reduce costs for regulated entities. The proposed amendment to SP G 7.1 will not increase costs to the Department.

The proposed amendment to SP G 105.2 will not increase and may decrease costs on regulated entities. The proposed amendment will reduce costs to the Department by decreasing the number of waivers that must be approved by the Department.

5. Local government mandates: Neither the amended supervisory policy nor supervisory procedure will impose new mandates on any county, city, town, village, school district, fire district, or other special district.

6. Paperwork: No new forms will be required by the amended SP G 7.1. The proposed amended SP G 105.2 will permit but not require alternative forms of evidence to demonstrate that an application or notice for a change of location or designation of principal office is authorized by the appropriate Governing Board.

7. Duplication: The proposed amendments do not duplicate, overlap, or conflict with any other regulations.

8. Alternatives: With respect to SP G 7.1, the Department could choose not to amend the current supervisory policy. The Department believes the amended SP G 7.1 provides regulated entities with more clarity and will reduce confusion.

With respect to SP G 105.2, the Department could choose not to amend the current supervisory procedure. The Department could issue waivers for individual applications or notices to permit banking organizations and foreign branches, agencies, and representative offices to submit docu-

ments in lieu of a resolution of the board of directors. This option would burden the Department with processing individual waiver requests and increase processing times for applications and notices. Broadening acceptable forms of evidence in SP G 105.2 is preferable to issuing waivers regularly. Alternatively, the Department could require that Governing Boards of banking organizations or foreign banking corporations authorize by resolution any change of location or designation of principal office and refuse to consider waivers. This option would burden banking organizations and foreign banking corporations by forcing Governing Boards to use scarce time to pass resolutions for relocations without a countervailing benefit.

The Department could eliminate the requirement to show appropriate authorization by the Governing Board or its delegatee, but an elimination of the requirement would allow a change of location or designation of principal office without establishing that an appropriate authority had approved the change. The Department believes the proposed amended SP G 105.2 improves the efficiency of the application and notice process while ensuring that changes of location or designation of principal office are appropriately authorized by the relevant Governing Board.

9. Federal standards: With respect to SP G 7.1, the amended supervisory policy is consistent with the Hague Convention Abolishing the Requirement of Legalization for Foreign Public Documents, to which the United States is a party.

With respect to SP G 105.2, the amended supervisory introduces no conflict with Federal law. The Federal Deposit Insurance Corporation (the "FDIC") promulgated regulations at 12 C.F.R. § 303.42 that require an insured state-chartered bank that is not a member of the Federal Reserve System to submit a filing when changing the location of its main office. The FDIC is not the primary regulator of New York state-chartered institutions, and the regulatory power of the FDIC is tied to the insured deposits held by a banking organization. The Department, as the primary regulator of New York state-chartered banks, requires submission of documents that demonstrate that the appropriate authority in the banking organization has approved the change of location or designation of principal office.

10. Compliance schedule: The amended supervisory policy and the amended supervisory procedure will be effective immediately upon publication of the Notice of Adoption in the State Register.

Regulatory Flexibility Analysis

SP G 7.1 sets forth the Superintendent's policy on the legalization of foreign documents, which is only relevant in the normal course of business to branches, agencies, and representative offices of foreign banking corporations. Branches, agencies, and representative offices of foreign banking corporations are not counted as small businesses as they are not autonomous entities but rather offices of large, multi-national banks. In the unlikely event that a small business may need to legalize a foreign document in its dealings with the Department of Financial Services (the "Department"), the effect of the amended supervisory policy is to clarify that both physical and e-apostilles are acceptable to the Department. An e-apostille is a type of apostille issued in electronic form and signed by electronic signature with a digital certificate. The amended supervisory policy therefore adds no new compliance requirements for small businesses.

The proposed amended SP G 105.2 expands the range of permissible documents that the Department will accept for an application for a change of location or designation of principal office of a banking organization. The current version of SP G 105.2 states that an application for a change of location or a change of designation of principal office "should be accompanied by a copy of the resolution of the board of directors, trustees, or other governing boards authorizing such application." Boards of directors, trustees, or other governing boards ("Governing Boards") often do not pass a resolution to authorize each change of location or designation of principal office. Usually, a Governing Board delegates the authority to approve a change of location or designation of principal office to an officer, director, trustee, or committee of the Governing Board. The proposed amended supervisory procedure will better reflect standard industry practice and increase efficiency by removing the need for frequent waivers while ensuring applications and notices are appropriately authorized. All banking organizations that are small businesses and file an application under the Banking Law § 28 should supply the required documents. The amended supervisory procedure merely expands the range of permissible documents that the Department will accept and adds no new compliance requirements.

Neither the proposed amendment to SP G 7.1 nor proposed amendment to SP G 105.2 affects local governments because the proposals do not apply to any local government.

Rural Area Flexibility Analysis

The proposed amended SP G 7.1 clarifies that the Department of Financial Services (the "Department") accepts e-apostilles. An e-apostille is an apostille issued in electronic form and signed by electronic signature with a digital certificate. This amendment conforms the Department's verification requirement to current practice for verification of foreign documents and allows regulated entities the ability to use cheaper and more convenient e-apostilles. Supervisory procedures and applications that refer to the legalization of foreign documents apply only to foreign banking corporations, none of which operate in rural areas of the State. Therefore, aside from exceptional circumstances, the amended SP G 7.1 will not affect regulated entities in rural areas of the State. For the regulated entities to which the proposed amended SP G 7.1 applies, the amendment does not increase the regulatory burden and merely clarifies that e-apostilles are acceptable to the Department. Accordingly, there is expected to be no impact on regulated entities operating in rural area markets.

The proposed amended SP G 105.2 expands the range of permissible documents that the Department will accept for an application for a change of location or designation of principal office of a banking organization. The current version of the procedure states that an application "should be accompanied by a copy of the resolution of the board of directors/trustees authorizing such application." Boards of directors, trustees, or other governing boards ("Governing Boards") often do not pass a resolution to authorize each change of location or designation of principal office. Usually, a Governing Board delegates the authority to approve a change of location or designation of principal office to an officer, director, trustee, or committee of the Governing Board. The proposed amended SP G 105.2 will better reflect standard industry practice and increase efficiency by removing the need for frequent waivers while ensuring applications and notices for a change of location or designation of principal office are appropriately authorized. The proposed amendment adds no additional reporting, recordkeeping or other compliance requirements and will not require a banking organization to engage professional services. The amendment will not impose any adverse impact on regulated entities operating in rural area markets.

Job Impact Statement

The proposed amendments to SP G 7.1 and SP G 105.2 will not adversely impact jobs or employment opportunities in New York State.

The amended SP G 7.1 clarifies that the Department of Financial Services (the "Department") accepts e-apostilles. An e-apostille is a type of apostille issued in electronic form and signed by electronic signature with a digital certificate. The proposed amendment includes no policy change that will adversely impact jobs or employment opportunities.

The proposed amended SP G 105.2 expands the range of permissible documents that the Department accepts for a notice of or an application for a change of location or designation of principal office of a banking organization or a branch, agency, or representative office of a foreign banking corporation. The current version of the procedure states that an application "should be accompanied by a copy of the resolution of the board of directors/trustees authorizing such application." Boards of directors, trustees, or other governing boards ("Governing Boards") often do not pass a resolution to authorize each change of location or designation of principal office. Usually, a Governing Board delegates the authority to approve a change of location or designation of principal office to an officer, director, trustee, or committee of the Governing Board. The proposed amended SP G 105.2 will better reflect standard industry practice and increase efficiency by removing the need for frequent waivers while ensuring applications and notices for a change of location or designation of principal office are appropriately authorized.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Investments in Operating Subsidiaries and Other Entities

I.D. No. DFS-42-26-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Repeal of Part 14; addition of new Part 14 to Title 3 NYCRR.

Statutory authority: Financial Services Law, sections 202, 302; Banking Law, sections 10, 14, 96, 97 and 201-a

Subject: Investments in Operating Subsidiaries and Other Entities.

Purpose: To reduce the number of pre-approval applications and other notices that other regulated entities must file to make investments.

Substance of proposed rule (Full text is posted at the following State website: https://www.dfs.ny.gov/industry_guidance/regulatory_activity/banking): The Department of Financial Services ("Department") provides this description of the subject, purpose, and substance of the new version of 3

NYCRR Part 14 proposed by the Department. The full text of the new rule is available at: https://www.dfs.ny.gov/industry_guidance/regulatory_activity/banking under the caption "Proposed Regulations."

Section 97(4-a) of the New York Banking Law ("BL") authorizes the Superintendent to adopt regulations to permit banks and trust companies to own or make investments in the stock and other equity of subsidiaries engaged in the transaction of any business in which a bank or trust company may engage directly (defined as "operating subsidiaries," in the regulation) and to invest in corporations organized pursuant to section 25(a) of the Federal Reserve Act ("Edge Act subsidiaries"). Banks and trust companies may also make investments in the capital stock or other equity interests of other entities if specifically authorized by the Superintendent pursuant to BL section 97(5). Part 14 implements the Superintendent's authority to authorize investments in operating subsidiaries and Edge Act subsidiaries pursuant to BL section 97(4-a) and to establish the procedures to make applications to invest in the capital stock or other equity interests of other entities pursuant to BL section 97(5).

The following is a summary of the most notable changes from the previous regulation:

a. The following entities are proposed to be excluded from the definition of operating subsidiary for purposes of Part 14 and will not be subject to filings with the Department under Section 14.2 or 14.3 of Part 14. This approach aligns with BL section 97.4-a, current Part 14, and treatment of national banks' operating subsidiaries.

i. A subsidiary in which the bank has acquired, in good faith, shares through foreclosure on collateral, by way of compromise of a doubtful claim, or to avoid a loss in connection with a debt previously contracted;

ii. A trust formed for purposes of securitizing assets held by the bank as part of its banking business and any affiliated entity formed to issue related debt securities or hold the bank's residual interest in the securitization trust;

iii. A subsidiary formed to hold investments in community development entities or projects that have been approved by the Superintendent (or, if applicable, were self-certified by the bank) pursuant to Section 6.5 of Part 6 of the General Regulations of the Superintendent; and

iv. A subsidiary formed to own or operate real or personal property for use as bank premises.

For purposes of the proposed Part 14 the term "bank" is defined as a New York state-chartered bank, as defined in BL section 2(1), a New York state-chartered trust company, as defined in BL section 2(2), and a branch or agency of a foreign bank licensed under BL section 201-a.

b. Additional investments in previously approved operating subsidiaries or operating subsidiaries previously reported in a filing under Part 14 would not be notified to the Department. (Section 14.2(c)(1)). A similar approach is taken with respect to additional investments in certain entities other than operating subsidiaries. (Section 14.3(b)).

c. No prior or after-the-fact notice would be required for a well-managed and well-capitalized bank setting up an operating subsidiary that would engage only in activities that the bank has previously reported to the Department in a prior operating subsidiary. (Section 14.2(c)(2)).

d. Only after-the-fact notice would be required for a well-managed and well-capitalized bank setting up an operating subsidiary that would only engage in activities substantively the same as "previously approved activities." In proposed Part 14, "previously approved activities" are listed in Section 14.2(b)(2) and for future changes in approved activities, proposed Part 14 would allow the Superintendent to expand "previously approved activities" by updating a list of activities on the Department's website and notifying regulated entities about the update. (Section 14.1(b)(4)). The Department will not amend the list of approved activities without proposing a formal amendment to Part 14.

To qualify for after-the-fact notice filings, well managed and well capitalized banks would no longer be required to be free of current enforcement orders; instead well managed and well capitalized banks would be required to receive a management rating of 1 or 2 (or risk management rating of 1 or 2 in the case of branches and agencies of foreign banks) as well as a composite rating of 1 or 2 to take advantage of after-the-fact notices. Current Part 14 only looks to the composite rating. In proposing this change, the Department found that a serious enforcement problem would already be reflected in the management and composite ratings, whereas an existence of old enforcement orders may not reflect the current state of the institution. In the Department's view, the proposed approach strikes the desired supervisory balance.

e. The proposed regulation creates a new Section 14.4 specifically to address tax equity financing ("TEF"). The new Section 14.4 defines tax equity finance transaction as a transaction in which a bank provides equity financing to fund a project or projects that generate(s) tax credits or other tax benefits, and the use of an equity-based structure allows the transfer of those credits and other tax benefits to the bank. TEFs take the form of equity investments for tax purposes but are substantively loans to the facilities.

The Department has not previously adopted regulations specifically addressing TEF. To minimize regulatory burdens, establish clear expectations, and to maintain competitiveness of state-chartered and licensed entities, the Department's practice has been to follow the parameters codified in the regulations adopted by the Office of the Comptroller of the Currency (the "OCC") at 12 C.F.R. § 7.1025 in considering applications by the Department's regulatees to engage in TEF. Section 14.4 of the proposed regulations codifies the Department's existing practices.

f. The proposed regulation also codifies two guidance letters issued by the New York State Banking Department, predecessor of the Department. The Guidance Letter dated June 4, 2001 (Foreign Branches and Agencies Establishing Operating Subsidiaries) states that Part 14 applies to operating subsidiaries of licensed New York branches and agencies of foreign banks. The Guidance Letter dated June 16, 2003 (Clarification of Part 14 Notification and Filings), clarifies that Part 14 applies to investments in various legal persons, such as limited liability companies and business trusts, among others, and not just to subsidiaries organized as corporations.

Section 14.1 sets forth the purpose of the new regulation and provides a list of definitions.

Section 14.2 establishes prior approval and after-the-fact notice procedures for banks seeking to establish or acquire an operating subsidiary or Edge Act subsidiary.

Section 14.2(b)(4) provides a list of 33 activities that qualify for after-the-fact notice procedure. The list of 33 activities is based on the current Part 14 and incorporates additional activities from the OCC's current list of approved activities. The proposed regulations provide that once the Superintendent has authorized a previously approved activity and published that activity on the Department's website, the Superintendent will not remove that particular activity from the authorized list or make substantial changes to the content or description of that activity, except through public notice with the opportunity to comment.

Section 14.2(c) provides that a bank making an additional investment of the book value no more than 1% of the bank's capital and surplus in an operating subsidiary previously approved by the Superintendent or reported to the Superintendent by the bank in a filing under Part 14 is not required to seek prior approval of such additional investment or provide after-the-fact notice of such additional investment, provided there is no change in the activities of the operating subsidiary. A well-capitalized and well-managed bank may acquire or establish a new operating subsidiary, make an additional investment of the book value no more than 1% of the bank's capital and surplus in such an operating subsidiary, or perform a new activity in an existing operating subsidiary without prior approval or after-the-fact notice if it meets the enumerated requirements.

Section 14.3 provides that a bank making equity investments in entities other than operating subsidiaries and Edge Act subsidiaries is required to submit a written notice to the Superintendent no later than 10 days after making the investment if the bank can make the representations and the certifications required by the section. A bank is required to seek the Superintendent's approval or a waiver if it is unable to make some of the required representations or the certifications in Section 14.3(a)(1). A bank making additional investments in an entity already approved by the Superintendent in a prior application is not required to seek a prior approval of such additional investment or provide after-the-fact notice of such investment provided the additional investment's book value does not exceed 1% of the bank's capital and surplus and the bank can meet the enumerated requirements. This section codifies the Department's established practice and closely tracks the same requirements imposed on national banks for non-controlling investments.

Section 14.4 adopts new provisions covering tax equity financings ("TEF"). The new Section 14.4 defines tax equity finance transaction as a transaction in which a bank provides equity financing to fund a project or projects that generate(s) tax credits or other tax benefits, and the use of an equity-based structure allows the transfer of those credits and other tax benefits to the bank. TEFs take the form of equity investments for tax purposes but are substantively loans to the facilities. If a financing qualifies under the terms of Section 14.4, then a prior approval under Part 14 is required only for the first transaction of a particular type done by a bank. Subsequent transactions of a similar type will only need to be notified to the Department. Section 14.4 (b) defines terms only applicable to tax equity financings and not to other parts of the proposed regulation.

Section 14.5 outlines the informational requirements for prior review applications and most after-the-fact notices.

Text of proposed rule and any required statements and analyses may be obtained from: Catherine Doll, New York State Department of Financial Services, One State Street, 20th Floor, New York, NY 10004, (212) 480-2282, email: Catherine.Doll@dfs.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. Statutory Authority: Banking Law (or "BL") §§ 10, 14, 96(1), 97(4-a), 97(5), and 201-a; Financial Services Law (or "FSL") §§ 202 and 302.

BL § 10 sets forth a declaration of policy, including that banking institutions will be regulated by the Department of Financial Services (the "Department") in a manner to insure safe and sound conduct and maintain public confidence.

BL § 14 references, without limitation, the policy of BL § 10 and sets forth certain powers of the Superintendent of Financial Services ("Superintendent") under the BL, including the power to "make, alter and amend orders, rules and regulations not inconsistent with law" and, under certain enumerated circumstances, to "make variations from the requirements" of the BL, provided such variations are "in harmony with the spirit of the law."

BL § 96(1) enumerates the powers that a bank or trust company possesses to engage in the business of banking.

BL § 97(4-a) provides that banks and trust companies have the power to invest in subsidiary corporations and limited liability companies, limited partnerships, business trusts, or similar legal persons ("subsidiaries") that they control for the purposes enumerated in the statute. Most notably, BL § 97(4-a)(f) allows such subsidiaries to engage in an activity in which a bank is authorized to engage directly. The authority granted to banks and trust companies is subject to any restrictions the Superintendent may provide.

BL § 97(5) permits banks and trust companies to purchase other equity interests in corporations, limited liability companies, limited partnerships, business trusts, or similar legal persons, as permitted by the laws of New York and regulations promulgated by the Superintendent.

BL § 201-a provides that foreign banking corporations licensed to operate branches and agencies in New York may exercise the powers set forth in BL § 200 and BL § 201.

FSL § 202 establishes the office of the Superintendent and provides the Superintendent with broad rights, powers, duties, and discretion with respect to matters under the Financial Services Law, the Banking Law, and the Insurance Law.

FSL § 302 sets forth the power of the Superintendent to prescribe, withdraw or amend rules and regulations involving financial products and services, including effectuating and interpreting the provisions of the Financial Services Law, the Banking Law, and the Insurance Law, and in governing the procedures to be followed in the practice of the Department.

2. Legislative Objectives: BL § 97(4-a) authorizes banks and trust companies, subject to restrictions imposed by the Superintendent, to own or make investments in the stock and other equity of subsidiaries that they control and that are engaged in the transaction of any business in which a bank or trust company may engage directly (defined as "operating subsidiaries" in the proposed regulation) and to invest in corporations organized pursuant to § 25(a) of the Federal Reserve Act ("Edge Act subsidiaries"). Banks and trust companies may also make investments in capital stock or other equity interests of other entities if specifically authorized by the Superintendent pursuant to BL § 97(5). Part 14 implements the Superintendent's authority to authorize investments in operating subsidiaries and Edge Act subsidiaries pursuant to BL § 97(4-a) and establishes the procedures banks and trust companies must follow to make applications to invest in the capital stock or other equity interests of other entities pursuant to BL § 97(5).

3. Needs and Benefits: The filing requirements imposed on regulated entities should be commensurate to the risks posed by the transactions in which each entity is engaged. New York banks and trust companies should not be burdened with application or notice requirements that are unnecessary. Part 14, however, is outdated, requiring substantial filings for most investments made by a bank or trust company, regardless of the risk posed by the investment or the condition of the bank making the investment. Part 14 has lagged behind Federal regulatory requirements, which have evolved over time to require a more simplified notice and/or approval process. To begin to address these outdated requirements, the Department issued a Wild Card order under BL § 12–a (the "Wild Card Order") on May 25, 2025, permitting regulated entities to make simplified filings under Part 14 in a manner equivalent to the filings permitted for national banks under 12 C.F.R. § 5.34. The Wild Card Order is available at: https://www.dfs.ny.gov/industry_guidance/wild_card_activities.

The proposal adopts a new Part 14 to minimize regulatory burdens, establish clear expectations, and maintain competitiveness of state-chartered and licensed entities. Substantive provisions of the Wild Card Order are being incorporated into this proposal and, upon its adoption, the Wild Card Order will be rescinded. These changes will also reduce the number of hours the Department's personnel use to evaluate filings required under the former Part 14, which should improve the service these companies and the public generally receive from the Department.

The following is a summary of the most notable changes to the regulation:

a. The following entities are proposed to be excluded from the definition of operating subsidiary for purposes of Part 14 and will not be subject to filings with the Department under Section 14.2 or 14.3. This approach aligns with the Banking Law § 97.4-a, current Part 14, and treatment of national banks' operating subsidiaries.

i. A subsidiary in which the bank has acquired, in good faith, shares through foreclosure on collateral, by way of compromise of a doubtful claim, or to avoid a loss in connection with a debt previously contracted;

ii. A trust formed for purposes of securitizing assets held by the bank as part of its banking business and any affiliated entity formed to issue related debt securities or hold the bank's residual interest in the securitization trust;

iii. A subsidiary formed to hold investments in community development entities or projects that have been approved by the Superintendent (or, if applicable, were self-certified by the bank) pursuant to Section 6.5 of Part 6 of the General Regulations of the Superintendent; and

iv. A subsidiary formed to own or operate real or personal property for use as bank premises.

For purposes of the proposed Part 14, the term "bank" is defined as a New York state-chartered bank, New York state-chartered trust company, or New York licensed branch or agency of a foreign bank.

b. Additional investments in previously approved operating subsidiaries or operating subsidiaries previously reported in a filing under Part 14, if the book value does not exceed 1% of the bank's capital and surplus, would not require a new notice to the Department. (Section 14.2(c)(1)). A similar approach is taken with respect to additional investments in certain entities other than operating subsidiaries. (Section 14.3(b)).

c. No prior or after-the-fact notice would be required for a well-managed and well-capitalized bank setting up an operating subsidiary that would engage only in activities that the bank has previously reported to the Department in a prior operating subsidiary. (Section 14.2(c)(2)).

d. Only after-the-fact notice would be required for a well-managed and well-capitalized bank setting up an operating subsidiary that would only engage in activities substantively the same as "previously approved activities." In proposed Part 14, "previously approved activities" are listed in Section 14.2(b)(2) and for future changes in approved activities, proposed Part 14 would allow the Superintendent to expand "previously approved activities" by updating a list of activities on the Department's website and notifying regulated entities about the update. (Section 14.1(b)(4)). The Department will not reduce the list of approved activities without proposing a formal amendment to Part 14.

To qualify for after-the-fact notice filings, well managed and well capitalized banks would no longer be required to be free of current enforcement orders; instead, such banks would be required to receive a management rating of 1 or 2 (or risk management rating of 1 or 2 in the case of branches and agencies of foreign banks) as well as a composite rating of 1 or 2 to take advantage of after-the-fact notices. Current Part 14 only looks to the composite rating. In proposing this change, the Department found that a serious enforcement problem would already be reflected in the management and composite ratings, whereas the existence of old enforcement orders may not reflect the current state of the institution. In the Department's view, the proposed approach strikes the desired supervisory balance.

e. The proposed regulation creates a new Section 14.4 specifically to address tax equity financing ("TEF"). TEF is defined as a transaction in which a bank provides equity financing to fund a project that generates tax credits or other tax benefits, and the use of an equity-based structure allows the transfer of those credits and other tax benefits to the bank. TEFs take the form of equity investments for tax purposes but are substantively loans to the facilities.

The Department has not previously adopted regulations specifically addressing TEF. To minimize regulatory burdens, establish clear expectations, and to maintain competitiveness of state-chartered and licensed entities, the Department's practice has been to follow the parameters adopted by the Office of the Comptroller of the Currency (the "OCC") in its TEF regulation, 12 C.F.R. § 7.1025, when considering TEF applications. In substance, the proposed Section 14.4 codifies the Department's existing practices for new TEF investments and reduces or eliminates filing burdens for subsequent TEF investments of a similar kind.

4. Costs: The proposed changes to Part 14 should reduce costs for regulated entities without impairing the Department's supervisory oversight of these institutions. Costs are not expected to increase for the State, Department, or any local government.

5. Local Government Mandates: This regulation will impose no new mandates on any county, city, town, village, school district, fire district or other special district.

6. Paperwork: No new forms will be required by the proposed

regulation. The new version of Part 14 should reduce the paperwork burden for both regulated entities and the Department.

7. Duplication: The proposed amendments do not duplicate, overlap, or conflict with any other regulations. The new version of Part 14 replaces a prior version of Part 14 and will make the Wild Card Order moot.

8. Alternatives: The Department could choose not to amend the current regulation. It could choose to issue additional wild card orders to reduce regulatory burdens on a sporadic, piecemeal basis. Guidance letters and industry letters could marginally simplify procedures under the existing Part 14. The Department believes a new regulation is far more efficient and beneficial to regulated entities.

9. Federal Standards: The OCC has promulgated regulations at 12 C.F.R. § 5.34 under the National Bank Act § 24 that set forth authorized activities and application or notice procedures for national banks, and Federal branches or agencies of foreign banks, engaging in activities through an operating subsidiary. In furtherance of § 24, the OCC regulations provide that a national bank may conduct activities in an operating subsidiary that are permissible for a national bank to engage in directly either as part of, or incidental to, the business of banking, as determined by the OCC. The OCC has discretion to authorize activities beyond those specifically enumerated in § 24.

Under the Federal Deposit Insurance Act § 24, an insured state bank may not engage as principal in any type of activity that is not permissible for a national bank. The Federal Deposit Insurance Corporation promulgated regulations at 12 C.F.R. § 362 that similarly provide that a subsidiary of an insured state bank may not engage as principal in any activity that is not of a type permissible for a subsidiary of a national bank, and may not directly or indirectly acquire or retain as principal any equity investment of a type that is not permissible for a national bank unless one of the exceptions applies.

BL §§ 97(4-a) and 97(5) are consistent with the Federal law. Part 14 also is promulgated to be consistent with the Federal law.

10. Compliance Schedule: The new Part 14 will be effective immediately upon publication of the Notice of Adoption in the State Register.

Regulatory Flexibility Analysis

Part 14 was last substantively amended in the 1990s. It currently imposes application requirements that are often outdated and require substantial filings regardless of the risk posed by a potential investment. This proposal makes extensive changes to the existing Part 14 to limit filing requirements, among other things, for certain investments, including those that banks and trust companies enter into in the ordinary course of business or investments in entities engaged in activities that have been previously approved by the Superintendent. The proposal also limits filing requirements for banks and trust companies that are well-managed and well-capitalized. Overall, the proposal streamlines and rationalizes the filings required by Part 14 to limit filings for investments that pose less risk to the ability of a bank or trust company to operate in a safe and sound manner while maintaining application and notice requirements for other investments. This proposal updates Part 14 to keep pace with federal regulatory requirements, which have evolved over time to require a more simplified notice or application process for investments that pose less risk to the ability of a bank or trust company to operate in a safe and sound manner.

All banks and trust companies that are small businesses will have to comply with the notice and application requirements imposed by the new Part 14. The Department notes, however, that the proposed Part 14 substantially reduces regulatory burdens on banks and trust companies. To the extent that a bank or trust company is a small business and is making an investment that is subject to the reduced notice or application requirements imposed by the proposed Part 14, the proposal would reduce the compliance requirement imposed on such bank or trust company as compared to what is required by the current Part 14. To the extent that a bank or trust company is a small business and is not making an investment that is subject to the reduced notice or application requirements imposed by the proposed Part 14, the proposed Part 14 imposes no new or additional reporting requirement over what is required by the current Part 14. Accordingly, the overall impact of replacing current Part 14 with the proposed Part 14 would be to reduce the compliance and filing requirements imposed on small businesses that are subject to Part 14.

No local government will have to undertake any reporting, recordkeeping, or other affirmative acts to comply with the regulation.

Rural Area Flexibility Analysis

The proposed Part 14 substantially reduces regulatory burdens on banks and trust companies. To the extent that a bank or trust company operating in a rural area makes an investment that is subject to the reduced notice or application requirements imposed by the proposed Part 14, the proposal would reduce the compliance requirement imposed on such bank or trust company as compared to what is required by the current Part 14. To the extent that a bank or trust company operating in a rural area makes an investment that is subject to the reduced notice or application requirements imposed by the proposed Part 14, the proposed Part 14 imposes no new or additional reporting requirement over what is required by the current Part 14. Accordingly, the overall impact of replacing current Part 14 with the proposed Part 14 would be to reduce the compliance and filing requirements imposed on banks and trust companies operating in rural area markets.

Job Impact Statement

The repeal of the existing Part 14 and the adoption of the proposed Part 14 should not adversely impact jobs or employment opportunities in New York State. The purpose of the proposal is to replace an existing regulation with a less burdensome investment application and notification requirement than the one imposed by the existing regulation. The new Part 14 is intended to modernize and rationalize existing notification and approval processes for regulatory filings under Part 14 of the Superintendent's Regulations and to promote competitiveness of the New York banking charter while maintaining reporting requirements for more complex or novel investments. The new regulation is expected to reduce the number of hours spent by regulated entities in connection with regulatory filings.

Department of Health

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Nursing Home Bed Census Reporting Requirements and Platform

I.D. No. HLT-42-26-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of section 415.32 of Title 10 NYCRR.

Statutory authority: Public Health Law, section 2803(2)

Subject: Nursing Home Bed Census Reporting Requirements and Platform.

Purpose: To modify nursing home census reporting requirements by transitioning from weekly to monthly reporting.

Text of proposed rule: The title of section 415.32 of Part 415 is amended to read as follows:

[Weekly] *Monthly* bed census data survey

Section 415.32 of Part 415 is amended to read as follows:

(a) Definitions. As used in this section, the following terms shall have the following meanings:

(1) "Communications Directory" (Directory) shall mean a listing of all organizations with access to the [HCS] *Health Commerce System (HCS)*, ordered by type, and including the identity of and contact information for individuals at each organization who:

(i) perform specific job functions identified by the Department; and/or

(ii) have access to perform certain data exchange functions on the HCS.

* * *

[(3) "Health Commerce System" (HCS) shall mean the Department's secure Internet portal used for communications and information exchange with organizations including nursing homes and other health care providers or any successor system used for such information exchange as required by the Department.

(4) "Health Electronic Reporting Data System" (HERDS) shall mean the data reporting application on the HCS that houses the Survey or any successor system used for such reporting as required by the Department.

(5)] *(3)* "Nursing Home Data Reporter" shall mean the name of the role in the Directory that provides access to an individual designated by a nursing home to *complete the Survey described in subdivision (b) of this section.* [use HERDS.

(6) "Nursing Home Weekly Bed Census Survey" (Survey) shall mean an electronic survey used by each nursing home to report its bed census to the Department using HERDS.

(7) "Role" shall mean the term used to indicate in the Directory the specific job functions and HCS data exchange functions assigned to individuals by each organization.]

(b) Submission of Surveys.

(1) Each nursing home shall complete [the] *a* Survey [on HERDS] *in the form and format specified by the department,* on a [weekly] *monthly* basis by indicating, for each category of bed, the total number of certified or approved beds and the number of those beds that are available. The Survey shall be submitted on a [weekly] *monthly* basis by individuals at the nursing home who are assigned to [the] *any of the following roles:* Nursing Home Data Reporter [role within the Directory]*, HCS Coordinator, Director of Nursing, Nursing Home Administrator, or Nursing Home Operator*.

(2) Nursing homes shall report bed census data reflecting the [weekly] *monthly* census taken [every] *on the first* Wednesday *of every month* at 12:00 a.m. The nursing home's designated Nursing Home Data Reporter*, HCS Coordinator, Director of Nursing, Nursing Home Administrator, or Nursing Home Operator* shall enter and transmit the survey census data to the [Department]*department* between *the first* Wednesday *of every month* at 12:01 a.m. and the following Tuesday at 11:59 p.m. [Instructions for the Survey will be available on the HCS.

(c) Designation of Nursing Home Data Reporters. Nursing homes shall, through their HCS Coordinators, designate a sufficient number of Nursing Home Data Reporters to ensure that the Survey is submitted to the Department in a timely manner.]

Text of proposed rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

Statutory Authority:

Public Health Law section 2803(2) provides that the Public Health and Health Planning Council shall adopt rules and regulations, subject to the approval of the Commissioner of Health, governing the standards and procedures followed by nursing homes which, at a minimum, must meet Federal standards.

Legislative Objectives:

Public Health Law Article 28 aims to protect the health of New York State residents by ensuring the efficient provision of high-quality health services at a reasonable cost.

Needs and Benefits:

This regulation reduces administrative burden on providers while allowing the Department of Health (Department) to maintain the critical data necessary to fulfill its mandate. Specifically, accurate bed occupancy data ensures the Department can monitor significant shifts in nursing home populations and respond effectively during natural disasters or public health emergencies.

The Department is making these regulatory amendments to implement a reasonable and flexible approach to collecting bed census data. Specifically, this regulation removes the definitions and references to specific data reporting platforms and requires reporting in the "form and format specified by the Department," and reduces the frequency of submission of the bed census data from weekly to monthly and makes associated conforming changes.

The regulatory changes will allow the Department flexibility should it revise reporting platforms in the future as technology and operations evolve. Specifics pertaining to reporting methods will be outlined in interpretive guidance and instructions. Further, these amendments are expected to result in operational efficiencies for providers by reducing staff time dedicated to weekly reporting. This regulation continues to ensure that bed availability and census data remain accurate and actionable, directly improving health, safety, and continuity of care for New York State nursing home residents, without limiting the Department's authority to collect information in real time in the event of an emergency.

Costs:

Costs for the Implementation of and Continuing Compliance with the Regulation to the Regulated Entity:

There will be no additional cost to the regulated entities for the implementation of or continuing compliance with the regulation. Conversely, this regulation will greatly reduce the administrative burden of weekly reporting.

Costs to State and Local Governments:

There will be no costs to the State or local governments.

Costs to the Department of Health:

There will be no costs to the Department of Health.

Local Government Mandates:

There will be no duty or responsibility imposed on local governments as the result of this rule.

Paperwork:

Nursing homes are already expected to submit bed census information. This regulation will reduce the frequency of required submissions.

Duplication:

This rule does not duplicate, overlap, or conflict with any other legal requirements of the State or Federal government.

Alternatives:

The Department evaluated maintaining existing weekly reporting requirements but concluded that amending the regulations will reduce administrative burden. If the Department needs more current information on bed census in the event of an emergency, the Department will reach out to facilities directly.

Federal Standards:

The regulation does not conflict with any minimum standards of the Federal government for the same or similar subject areas.

Compliance Schedule:

This regulation will go into effect upon publication of a Notice of Adoption in the New York State Register.

Regulatory Flexibility Analysis

No Regulatory Flexibility Analysis is required pursuant to section 202-(b)(3)(a) of the State Administrative Procedure Act. This regulation will not have a substantial adverse impact on small businesses or local governments. Conversely, the amendments will provide administrative relief to nursing homes that constitute small businesses and local health departments.

Rural Area Flexibility Analysis

No Rural Area Flexibility Analysis is required pursuant to section 202-bb(4)(a) of the State Administrative Procedure Act. This regulation will not have an impact on nursing homes located in rural areas any differently than in any other areas. Conversely, the amendments will provide administrative relief to nursing homes in rural areas.

Job Impact Statement

No Job Impact Statement is required pursuant to section 201-a(2)(a) of the State Administrative Procedure Act. No adverse impact on jobs and employment opportunities is expected as a result of this regulation.

Department of Law

NOTICE OF ADOPTION

Resolution of Conflicts of Interest Arising from OAG's Dual Roles in Investigating/Prosecuting and Defending State Officers

I.D. No. LAW-27-26-00012-A

Filing No. 896

Filing Date: 2026-09-30

Effective Date: 2026-10-21

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Part 800 to Title 13 NYCRR.

Statutory authority: Executive Law, section 70-b, art. 5

Subject: Resolution of conflicts of interest arising from OAG's dual roles in investigating/prosecuting and defending State officers.

Purpose: To establish processes and procedures (i) to enable the Agency, where the Attorney General desires, to both investigate/prosecute and defend State actors involved in a death subject to the Office of Special Investigation's jurisdiction consistent with the Agency's obligations under the Rules of Professional Conduct and (ii) to restrict the disclosure of non-public records, communications, and information between the Divisions of State Counsel and Regional Offices, on the one hand, and the Office of Special investigation, on the other.

Text or summary was published in the July 8, 2026 issue of the Register, I.D. No. LAW-27-26-00012-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Joshua Katz, Office of the New York State Attorney General, 28 Liberty Street, New York, NY 10005, (212) 416-6303, email: joshua.katz@ag.ny.gov

Assessment of Public Comment

The agency received no public comment.

Public Service Commission

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Waiver of Tariff Rules and a Related Commission Regulation

I.D. No. PSC-42-26-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition by Rush-Henrietta Development, LLC for waiver of Niagara Mohawk Power Corporation d/b/a National Grid's tariff rules and a related regulation regarding the extension of electric lines.

Statutory authority: Public Service Law, sections 65 and 66

Subject: Waiver of tariff rules and a related Commission regulation.

Purpose: To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on September 28, 2026, by Rush-Henrietta Development, LLC (Petitioner), requesting a one-year extension of the five-year period required by Commission regulation and applicable utility tariffs for the Petitioner to energize residential housing units for Southern View Estates, Section 2 located on Marissa Beth Way, adjacent to #1120 Rush-Henrietta Townline Road located in West Henrietta, Monroe County, New York (Development) to recover deposits held by utilities (Development Period).

The Commission's regulations at Title 16 of the New York Codes, Rules and Regulations (NYCRR) § 100.3, provide that a non-residing applicant for utility service must provide a deposit to the utility equal to the estimated cost of construction. National Grid's electric tariff, PSC 220 Rule 16.6, incorporates the Commission's regulation. National Grid's gas tariff, PSC 219, Rule 10.4 provides substantially similar requirements for non-residing applicants requesting the extension of gas facilities. The deposit is returned to the applicant, on a pro rata basis, as each lot is sold and new customers take service from the utility. Sixteen NYCRR § 100.3(b) provides that any portion of the deposit remaining unrefunded five years after the date the utility is first ready to render service shall be retained by the utility.

National Grid has been ready to supply the Development with electric and gas service since January 28, 2022. National Grid states the Petitioner provided National Grid with a letter of credit for the refundable portion of the contribution totaling $32,016 and the remaining balance as of September 1, 2026, is $8,004. The five-year development period will expire on January 28, 2027, at which point National Grid would be entitled to call upon the remaining value of the letter of credit. The Petitioner requests a waiver of National Grid's tariff rule and the related Commission regulation to allow a one-year extension of the development period. The Petitioner states they have been negatively affected due to the impacts of the COVID-19 pandemic, which they state delayed commencement of the Development Period. On March 23, 2020, New York State enacted the NY ON PAUSE Order in response to the COVID-19 Pandemic. The Pause Order included a construction moratorium, which interrupted the 2020 construction season until June 8, 2020.

The full text of the petition and the full record of the proceeding may be viewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: beth.faranda@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(26-M-0645SP1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Establishment of the Regulatory Regime Applicable to Natural Gas-Fired Generating Facilities

I.D. No. PSC-42-26-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by Indeck-Oswego Limited Partnership and Indeck Energy Services of Silver Springs, Inc. L.L.C., for approval of lightened regulatory regimes related to electric generation in New York.

Statutory authority: Public Service Law, sections 2(12), (13), 5(1)(b), 64-69, 69-a, 70-72, 72-a, 105-114, 114-a, 115, 117, 118, 119-b and 119-c

Subject: Establishment of the regulatory regime applicable to natural gas-fired generating facilities.

Purpose: To ensure appropriate regulation of electric corporations.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on September 11, 2026 by Indeck-Oswego Limited Partnership (Indeck-Oswego) and Indeck Energy Services of Silver Springs, Inc. L.L.C. (Indeck Silver Springs) (together, Petitioners), requesting an order confirming that Petitioners are subject to regulation as electric corporations under a lightened regulatory regime.

The petition explains that Indeck-Oswego owns and operates a 50 megawatt (MW) natural gas-fired generation facility in Oswego, Oswego County, New York (Oswego Facility), while Indeck Silver Springs owns and operates a 55 MW natural gas-fired generation facility in Silver Springs, Wyoming County, New York (Silver Springs Facility). The petition explains that both the Oswego and Silver Springs Facilities were originally certified as qualifying facilities (QF) pursuant to the Public Utility Regulatory Policies Act of 1978 (PURPA) and sold electricity to their respective utilities pursuant to long-term contracts, and the facilities were therefore exempt from Commission regulation under the Public Service Law (PSL). Petitioners explain that the Oswego and Silver Springs Facilities now sell energy, capacity, and ancillary services into the wholesale market for competitive generation in New York and the surrounding regions, and both Indeck-Oswego and Indeck Silver Springs now qualify as electric corporations subject to Commission regulation. Accordingly, Petitioners request that the Commission confirm that they will be regulated under lightened regulatory regimes similar to those imposed on other independent power producers engaged in the selling of electric energy exclusively at wholesale.

In a separate but related petition filed in Case 26-E-0612 on September 11, 2026, Indeck Energy Services, Inc. (the upstream owner of both Indeck-Oswego and Indeck Silver Springs) and MRP IAC Holdco LLC seek a declaratory ruling that the transfer of upstream ownership interests in various companies owning and operating electric generation facilities and related assets in New York (including both the Oswego Facility and the Silver Springs Facility) does not require further review under PSL § 70. In the alternative, Indeck Energy Services, Inc. and MRP IAC Holdco LLC request that the Commission approve the transfer pursuant to PSL § 70.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: beth.faranda@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Sec-

retary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(26-E-0609SP1)

Office of Temporary and Disability Assistance

EMERGENCY/PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Standard Utility Allowances (SUAs) for the Supplemental Nutrition Assistance Program (SNAP)

I.D. No. TDA-42-26-00001-EP

Filing No. 954

Filing Date: 2026-10-01

Effective Date: 2026-10-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Proposed Action: Amendment of section 387.12(f)(3)(v)(a)-(c) of Title 18 NYCRR.

Statutory authority: Social Services Law, sections 17(a)-(b), (k), 20(3)(d) and 95; 7 United States Code, section 2014(e)(6)(C); 7 Code of Federal Regulations, section 273.9(d)(6)(iii)

Finding of necessity for emergency rule: Preservation of public health and general welfare.

Specific reasons underlying the finding of necessity: It is of great importance that the annually-adjusted standard utility allowances (SUAs) for the Supplemental Nutrition Assistance Program (SNAP) are applied to SNAP benefit calculations effective October 1, 2026, and thereafter until new amounts eventually are established. The new SUA amounts for heating/cooling, utilities and telephone must be implemented by the October 1, 2026 deadline. The use of outdated SUAs could result in fiscal penalties by the federal government against the State. These emergency amendments protect the public health and general welfare by setting forth the annually-adjusted SUAs effective as of October 1, 2026.

For New York to continue the State option to use the SUA in lieu of the actual utility cost portion of SNAP household shelter expenses, new allowances must be in place. Otherwise, the State may be forced to use the actual utility cost portion of the shelter expenses of each SNAP household. This policy would result in all 58 social services districts (districts) in New York State having to require approximately 1.6 million SNAP households to provide verification of the actual utility cost portions of their shelter expenses. This policy would create a tremendous burden on both districts as well as recipient households. This burden on the districts and 1.6 million SNAP households could result in significant harm to the health and welfare of these households.

It is noted that the regulatory amendments are being promulgated pursuant to a combined Notice of Emergency Adoption and Proposed Rule Making, instead of a Notice of Proposed Rule Making, due to time constraints. Therefore, an emergency adoption is necessary to help ensure that the new SUAs are effective on October 1, 2026. Although these regulations are being promulgated on an emergency basis to protect the public health and general welfare, OTDA will receive public comments on its combined Notice of Emergency Adoption and Proposed Rule Making until 60 days after publication of this notice.

Subject: Standard Utility Allowances (SUAs) for the Supplemental Nutrition Assistance Program (SNAP).

Purpose: These regulatory amendments set forth the annually-adjusted SUAs as of 10/01/2026.

Text of emergency/proposed rule: Clauses (a)-(c) of subparagraph (v) of paragraph (3) of subdivision (f) of § 387.12 of Title 18 NYCRR are amended to read as follows:

(a) The standard allowance for heating/cooling consists of the costs for heating and/or cooling the residence, electricity not used to heat or cool the residence, cooking fuel, sewage, trash collection, water fees, fuel for heating hot water and basic service for one telephone. The standard allowance for heating/cooling is available to households which incur heating and/or cooling costs separate and apart from rent and are billed separately from rent or mortgage on a regular basis for heating and/or cooling their residence, or to households with an elderly or disabled member entitled to a Home Energy Assistance Program (HEAP) payment or other Low Income Home Energy Assistance Act (LIHEAA) payment. A household living in public housing or other rental housing which has central utility meters and which charges the household for excess heating or cooling costs only is not entitled to the standard allowance for heating/cooling unless they are entitled to a HEAP or LIHEAA payment. Such a household may claim actual costs which are paid separately. Households which do not qualify for the standard allowance for heating/cooling may be allowed to use the standard allowance for utilities or the standard allowance for telephone. As of October 1, [2025] *2026*, but subject to subsequent adjustments as required by the United States Department of Agriculture (USDA), the standard allowance for heating/cooling for SNAP applicant and recipient households residing in New York City is [$1,062] *$1,100*; for households residing in either Suffolk or Nassau Counties, it is [$988] *$1,023*; and for households residing in any other county of New York State, it is [$877] *$908*.

(b) The standard allowance for utilities consists of the costs for electricity not used to heat or cool the residence, cooking fuel, sewage, trash collection, water fees, fuel for heating hot water and basic service for one telephone. It is available to households billed separately from rent or mortgage for one or more of these utilities other than telephone. The standard allowance for utilities is available to households which do not qualify for the standard allowance for heating/cooling. Households which do not qualify for the standard allowance for utilities may be allowed to use the standard allowance for telephone. As of October 1, [2025] *2026*, but subject to subsequent adjustments as required by the USDA, the standard allowance for utilities for SNAP applicant and recipient households residing in New York City is [$419] *$434*; for households residing in either Suffolk or Nassau Counties, it is [$388] *$402*; and for households residing in any other county of New York State, it is [$355] *$368*.

(c) The standard allowance for telephone consists of the cost for basic service for one telephone. The standard allowance for telephone is available to households which do not qualify for the standard allowance for heating/cooling or the standard allowance for utilities. As of October 1, [2025] *2026*, but subject to subsequent adjustments as required by the USDA, the standard allowance for telephone for all SNAP applicant and recipient households residing in New York State is [$32] *$33*.

This notice is intended: to serve as both a notice of emergency adoption and a notice of proposed rule making. The emergency rule will expire December 29, 2026.

Text of rule and any required statements and analyses may be obtained from: Thomas Makely, Office of Temporary and Disability Assistance, 40 North Pearl Street, 16C, Albany, NY 12243-0001, (518) 402-3966, email: thomas.makely@otda.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority:

The United States Code (U.S.C.), at 7 U.S.C. § 2014(e)(6)(C), provides that in computing shelter expenses for budgeting under the federal Supplemental Nutrition Assistance Program (SNAP), a State agency may use a standard utility allowance (SUA) as provided in federal regulations.

The Code of Federal Regulations (C.F.R.), at 7 C.F.R. § 273.9(d)(6)(iii), provides for SUAs in accordance with SNAP. Clauses (A) and (F) of this subparagraph state that with federal approval from the Food and Nutrition Administration (FNA) of the United States Department of Agriculture (USDA), a State agency may develop SUAs to be used in place of actual costs in calculating a household's excess shelter deduction. Federal regulations allow for the following types of SUAs: a SUA for all utilities that includes heating or cooling costs; a limited utility allowance that includes electricity and fuel for purposes other than heating or cooling, water, sewerage, well and septic tank installation and maintenance, telephone, and garbage or trash collection; and an individual standard for each type of utility expense. Clause (B) of the subparagraph provides that a State agency must review the SUAs annually and adjust them to reflect changes in costs. State agencies also must provide the amounts of the SUAs to the FNA when the SUAs are changed.

Social Services Law (SSL) § 17(a)-(b) and (k) provide, in part, that the

Commissioner of the Office of Temporary and Disability Assistance (OTDA) shall "exercise such other powers and perform such other duties as may be imposed by law."

SSL § 20(3)(d) authorizes OTDA to promulgate regulations to carry out its powers and duties.

SSL § 95 authorizes OTDA to administer SNAP in New York State (NYS) and to perform such functions as may be appropriate, permitted or required by or pursuant to federal law.

2. Legislative objectives:

OTDA understands that it was the intent of the Legislature to implement the federal SNAP Act in NYS in order to provide SNAP benefits to eligible NYS residents.

3. Needs and benefits:

The regulatory amendments set forth the SUAs within NYS as of October 1, 2026. OTDA is amending its SUAs in 18 NYCRR § 387.12(f)(3)(v)(a)-(b) to reflect an increase in fuel and utility costs, which is indicated by the change in Consumer Price Index for All Urban Consumers (CPI-U) All Items between June 2025 and June 2026. Specifically, OTDA is amending the standard allowance for heating/cooling for SNAP applicant and recipient households residing in New York City from $1,062 to $1,100; the standard allowance for heating/cooling for such households residing in either Nassau or Suffolk Counties from $988 to $1,023; and for such households residing in any other county of NYS from $877 to $908. OTDA is also amending the standard allowance for utilities for SNAP applicant and recipient households residing in New York City from $419 to $434; the standard allowance for utilities for such households residing in either Nassau or Suffolk Counties from $388 to $402; and for such households residing in any other county of NYS from $355 to $368. OTDA is further amending the standard allowance for telephone, as set forth in 18 NYCRR § 387.12(f)(3)(v)(c), from $32 to $33 for all counties in NYS.

The following chart sets forth the SUA categories; the past SUAs ("Past SUA") that were in effect for federal fiscal year (FFY) 2026, from October 1, 2025, through September 30, 2026; and the new SUAs ("New SUA") that are in effect for FFY 2027, effective October 1, 2026:

	New York City		Nassau/Suffolk Counties		Rest of State	
	Past SUA	New SUA	Past SUA	New SUA	Past SUA	New SUA
Heating/Air Conditioning SUA	$1,062	$1,100	$988	$1,023	$877	$908
Limited Utility SUA	$419	$434	$388	$402	$355	$368
Phone SUA	All Counties in New York State					
	Past SUA			New SUA		
	$32			$33		

To determine the Heating/Cooling, Limited Utility, and Telephone SUA values for FFY 2027, the CPI-U All Items value, Not Seasonally Adjusted, for June 2026 was compared to the same CPI All Items value for June 2025. The June 2026 CPI-U All Items value is 3.53 percent higher than the June 2025 value. Consequently, the SUA values provided above are 3.53 percent higher than the current FFY 2026 SUA. Rounded to the nearest whole dollar, the resultant values are reflected in the chart above.

OTDA is required to apply the SUAs for FFY 2027 in its SNAP budgeting effective October 1, 2026.

It is of great importance that the annually-adjusted SUAs are applied to SNAP benefit calculations effective October 1, 2026, and thereafter until new amounts eventually are established. The new SUA amounts for heating/cooling, utilities and telephone must be implemented by the October 1, 2026 deadline. The use of an outdated SUA could result in fiscal penalties by the federal government against NYS. These emergency amendments protect the public health and general welfare by setting forth the annually-adjusted SUAs effective as of October 1, 2026.

As stated above, there is no federal authority to use past SUAs after the October 1, 2026 effective date of the new allowance amounts. For New York to continue the State option to use the SUA in lieu of the actual utility cost portion of SNAP household shelter expenses, new allowances must be in place. Otherwise, NYS may be forced to use the actual utility cost portion of the shelter expenses of each SNAP household. This policy would result in all 58 social services districts (districts) in NYS having to require approximately 1.6 million SNAP households to provide verification of the actual utility cost portions of their shelter expenses. This policy would create a tremendous burden on both districts as well as recipient households. This burden on the districts and 1.6 million SNAP households could result in significant harm to the health and welfare of these households.

4. Costs:

The regulatory amendments will not result in any impact to the State financial plan. They will not impose costs upon the districts because SNAP benefits are currently 100 percent federally-funded, and they comply with federal statute and regulation to implement annually-adjusted SUAs.

5. Local government mandates:

The regulatory amendments do not impose any mandates upon districts since the amendments simply set forth the annually-adjusted SUAs, effective October 1, 2026. Additionally, the calculation of SNAP budgets, which incorporates the SUAs, and the resulting issuances of SNAP benefits are mostly automated processes in New York City and the rest of the State using OTDA's Welfare Management System. To the extent that these processes are not automated, the regulatory amendments do not impose any additional requirements upon the districts in terms of calculating SNAP budgets.

6. Paperwork:

The regulatory amendments do not impose any new forms, new reporting requirements or other paperwork upon NYS or the districts.

7. Duplication:

The regulatory amendments do not duplicate, overlap or conflict with any existing NYS or federal statutes or regulations.

8. Alternatives:

An alternative to the regulatory amendments would be to refrain from implementing the revised SUAs. However, this alternative is not a viable option because if NYS were to opt not to implement the new SUAs or were otherwise judicially precluded from doing so, then NYS would be out of compliance with federal statutory and regulatory requirements.

9. Federal standards:

The regulatory amendments do not conflict with or exceed minimum standards of the Federal Government.

10. Compliance schedule:

Since the regulatory amendments set forth the annually-adjusted SUAs effective October 1, 2026, NYS and all districts will be in compliance with the regulatory amendments upon the adoption date of the regulatory amendments.

Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis is not required for the regulatory amendments to 18 NYCRR § 387.12(f)(3)(v)(a)-(c) because the regulatory amendments will neither have an adverse economic impact upon, nor impose reporting, recordkeeping, or other compliance requirements upon small businesses or social services districts (districts). The regulatory amendments set forth the standard utility allowances for the Supplemental Nutrition Assistance Program effective October 1, 2026. As it is evident from the nature of the regulatory amendments that they will not have an adverse impact upon or impose reporting, recordkeeping, or other compliance requirements upon small businesses or districts, no further measures were needed to ascertain those facts and, consequently, none were taken.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis is not required for the regulatory amendments to 18 NYCRR § 387.12(f)(3)(v)(a)-(c) because the regulatory amendments will neither have an adverse impact upon, nor impose reporting, recordkeeping, or other compliance requirements upon rural social services districts (rural districts) or private entities in rural areas. The regulatory amendments set forth the standard utility allowances for the Supplemental Nutrition Assistance Program effective October 1, 2026. As it is evident that the regulatory amendments will not have an adverse impact upon or impose reporting, recordkeeping, or other compliance requirements upon rural districts or private entities in rural areas, no further measures were needed to ascertain those facts and, consequently, none were taken.

Job Impact Statement

A Job Impact Statement is not required for the regulatory amendments to 18 NYCRR § 387.12(f)(3)(v)(a)-(c) because it is apparent from the nature and the purpose of the regulatory amendments that they do not have a substantial adverse impact on jobs and employment opportunities in either the public or the private sectors in New York State (NYS). The regulatory amendments have no effect on small businesses. The regulatory amendments do not affect, in any significant way, the jobs of the workers in the social services districts (districts) or the State. These regulatory amendments set forth the standard utility allowances (SUAs) for the Supplemental Nutrition Assistance Program (SNAP) as of October 1, 2026. The calculation of SNAP budgets, which incorporates the SUAs, and the resulting issuances of SNAP benefits are mostly automated processes in New York City and the rest of the State using the Office of Temporary and Disability Assistance's Welfare Management System. To the extent these

processes are not automated, the regulatory amendments do not impose any additional requirements upon the districts in terms of calculating SNAP budgets. Thus, the regulatory amendments do not have any adverse impact on jobs and employment opportunities in either the public or private sectors of NYS.

Workers' Compensation Board

NOTICE OF ADOPTION

Depositions

I.D. No. WCB-03-26-00003-A
Filing No. 897
Filing Date: 2026-09-30
Effective Date: 2027-01-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of sections 300.10, 301.1 and 301.3 of Title 12 NYCRR.

Statutory authority: Workers' Compensation Law, sections 117 and 141

Subject: Depositions.

Purpose: To update the deposition process.

Text or summary was published in the January 21, 2026 issue of the Register, I.D. No. WCB-03-26-00003-P.

Final rule as compared with last published rule: No changes.

Revised rule making(s) were previously published in the State Register on June 3, 2026.

Text of rule and any required statements and analyses may be obtained from: Courtney Vallee, Workers' Compensation Board, 328 State St., Schenectady, NY 12308, (518) 486-9564, email: regulations@wcb.ny.gov

Revised Regulatory Impact Statement

A revised Regulatory Impact Statement is not required because the changes made to the last published rule do not necessitate revision to the previously published document. These changes do not affect the meaning of any statements in the document.

Revised Regulatory Flexibility Analysis

A revised Regulatory Flexibility Analysis is not required because the changes made to the last published rule do not necessitate revision to the previously published document. These changes do not affect the meaning of any statements in the document.

Revised Rural Area Flexibility Analysis

A revised Rural Area Flexibility Analysis is not required because the changes made to the last published rule do not necessitate revision to the previously published document. These changes do not affect the meaning of any statements in the document.

Revised Job Impact Statement

A Job Impact Statement is not required because the proposed regulation will not have any impact, adverse or otherwise, on jobs or employment opportunities. The proposed amendments update procedures for taking depositions.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2029, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

During the public comment period, the Board received 5 comments, some of which addressed multiple issues.

One comment recommended including language that direct examination is waived unless authorized or directed by the Workers' Compensation Law Judge, or that direct examination is denied in all depositions. This was addressed in the previous assessment of public comment.

One comment criticized raising the deposition rates for all providers, including physician assistants. Deposition fee increases were addressed in the previous assessment of public comment. Physician assistants were added as a result of the previous public comments. The Board sees no reason to provide a lower fee to physician assistants than other healthcare providers addressed in Section 301.3 of Title 12 NYCRR.

One comment requested clarification on whether an employer or carrier would be required to pursue court enforcement of a subpoena before obtaining a preclusion of records. This was addressed in the previous assessment of public comment.

One comment noted that form HP-1.0 does not currently have a mechanism for addressing unpaid deposition fees where there is no underlying bill or for an increased fee for an unpaid bill. The Board is creating an affirmation form and updating procedures for this purpose. Therefore, no change has been made in response to this comment.

One comment requested clarification on whether the 45-day deadline for payment is calculated based on the date that the carrier receives the deposition, and whether an extension to submit the deposition transcript also creates an extension for payment. Because the proposed regulation states that the deadline for payment is calculated based on the date of the deposition itself, no change has been made in response to this comment.

One comment requested clarification on whether there was a specific CPT code for billing of additional 15-minute increments of depositions that are longer than one hour. As the regulation notes that no bill is necessary, the payer is expected to track their own activities and remit payment accordingly. Therefore, no change has been made in response to this comment.

One comment noted that subdivision (c) of section 300.10 of Title 12 NYCRR refers only to physicians, and recommended expanding this as other medical providers may be deposed. Requests to cross-examine other types of healthcare providers fall under the general tenets of due process and caselaw, and procedural steps such as requests for extension on submission of transcripts are addressed in other areas of the regulation. Therefore, no change has been made in response to this comment.

One comment requested clarity on the term "offer of proof" and expressed concern that the use of this term in the proposed regulation would create a heightened evidentiary standard. The term "offer of proof" is generally understood in the legal field to relate to an argument for submission of evidence, where, in this case, the evidence is the deposition itself. All parties requesting cross-examination of a medical provider should be prepared to offer an explanation of why the cross-examination should be taken and admitted as evidence. Therefore, no change has been made in response to this comment.

One comment suggested clarifying the situations under which a Workers' Compensation Law Judge could deny cross-examination and noting that the only reason to cross-examine the treating provider is regarding the provider's opinion. Another recommended identifying which legal issues would create a right to cross-examine the provider. This was already addressed in the previous assessment of public comment, so no change has been made in response to these comments.

One comment recommended that the Workers' Compensation Law Judge should be required to state any reasons for denial with specificity. The text of the proposed regulation already notes that when providing the basis for a denial, the Judge shall address the offer of proof. Therefore, no change has been made in response to this comment.

Changes made:

- None

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Agriculture and Markets, Department of		
AAM-37-26-00002-P	Examination of Livestock, Domestic Animal Health Permits, Movement and Transfer of Horses, Vesicular Stomatitis	Department of Agriculture and Markets, 10b Airline Dr., Albany, NY—November 24, 2026, 1:30 p.m.
Environmental Conservation, Department of		
ENV-37-26-00006-P	Reclassification of Newtown Creek	Department of Environmental Conservation, Region 2 Office, 8th Fl., Conference Rm. 834, 47-40 21st St., Long Island City, NY—November 16, 2026, 2:00 p.m. Virtual—November 19, 2026, 2:00 p.m. Instruction for virtual hearing registration and connecting to the virtual hearing via phone or internet can be found at: https://meetny-gov.webex.com/weblink/register/r967f4bb732a192068aec50722d8cc14e
Long Island Power Authority		
LPA-38-26-00013-P	Reconnection Charges and Postpaid Return Envelopes	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 30, 2026, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—November 30, 2026, 6:00 p.m. Rockaway YMCA, 207 Beach 73rd St., Arverne, NY—December 1, 2026, 6:00 p.m.
LPA-38-26-00014-P	Value of Distributed Energy Resources (VDER)	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 30, 2026, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—November 30, 2026, 6:00 p.m. Rockaway YMCA, 207 Beach 73rd St., Arverne, NY—December 1, 2026, 6:00 p.m.
LPA-38-26-00015-P	Annual Budget	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 30, 2026, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—November 30, 2026, 6:00 p.m. Rockaway YMCA, 207 Beach 73rd St., Arverne, NY—December 1, 2026, 6:00 p.m.
LPA-38-26-00016-P	Direct Load Management (DLM)	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 30, 2026, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—November 30, 2026, 6:00 p.m. Rockaway YMCA, 207 Beach 73rd St., Arverne, NY—December 1, 2026, 6:00 p.m.
LPA-40-26-00003-P	Standby and Buyback Contract Demand Clarification	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—December 7, 2026, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—December 7, 2026, 6:00 p.m.

Public Service Commission

PSC-26-26-00003-P	Proposed Major Rate Increase in Liberty's Total Annual Revenues by Approximately $38.11 Million	Department of Public Service, 19th Fl. Board Rm., Three Empire State Plaza, Albany, NY—November 2, 2026 and continuing daily as needed*, 10:30 a.m. *On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 26-W-0358.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	**01**	**12**	**00001**	**P**

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action

AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-37-26-00002-P	11/24/27	Examination of Livestock, Domestic Animal Health Permits, Movement and Transfer of Horses, Vesicular Stomatitis	Update regulations based on current science to prevent unnecessary risk of spread of infectious disease.

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

ASA-42-25-00002-RP	10/22/26	State level certification of Certified Community Behavioral Health Centers (CCBHCs) in New York by OMH and OASAS	To provide for oversight of CCBHCs by the Offices (OMH and OASAS)
ASA-45-25-00001-P	11/12/26	General service standards for substance use disorder outpatient programs	To clarify certain services in the regulation and bring children and family treatment and support services into Part 822
ASA-24-26-00005-P	06/17/27	Establishment, Incorporation and Certification of Providers of Addiction Services.	Standards related to certification of providers of addiction services.
ASA-26-26-00009-P	07/01/27	Incident reporting in OASAS certified, funded, operated programs (836) and OASAS general provisions (800).	To replace outdated terminology, correct for inconsistencies, and clarify definitions.

CHILDREN AND FAMILY SERVICES, OFFICE OF

CFS-19-26-00002-P	05/13/27	Kinship foster boarding home standards	To establish separate, more flexible standards for approval of kinship foster homes
CFS-29-26-00002-P	07/22/27	Child Care Substitute Pools	To implement SSL 390-n and outline the process to place substitute caregivers at licensed and registered child care programs.
CFS-41-26-00001-P	10/14/27	Child Care Assistance Program Waiting Lists	To implement the provisions of Social Services Law 410-aa requiring local districts to maintain standardized child care assistance waiting lists
CFS-42-26-00002-P	10/21/27	Virtual Casework Contacts	To permit agencies to offer virtual casework visits to certain youth in foster care.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-31-26-00003-P	08/05/27	Jurisdictional Classification	To delete a position from and to classify a position in the exempt class.
CVS-31-26-00004-P	08/05/27	Jurisdictional Classification	To classify a position in the exempt class.
CVS-31-26-00005-P	08/05/27	Jurisdictional Classification	To classify a position in the exempt class.
CVS-31-26-00006-P	08/05/27	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class
CVS-31-26-00007-P	08/05/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-31-26-00008-P	08/05/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-31-26-00009-P	08/05/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-39-26-00002-P	09/30/27	Jurisdictional Classification	To classify positions in the exempt and non-competitive classes
CVS-39-26-00003-P	09/30/27	Jurisdictional Classification	To delete positions from and to classify a position in the non-competitive class
CVS-39-26-00004-P	09/30/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-39-26-00005-P	09/30/27	Jurisdictional Classification	To classify positions in the exempt and non-competitive classes
CVS-39-26-00006-P	09/30/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-39-26-00007-P	09/30/27	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class
CVS-39-26-00008-P	09/30/27	Jurisdictional Classification	To delete a position from and to classify a position in the exempt class.
CVS-39-26-00009-P	09/30/27	Jurisdictional Classification	To classify a position in the exempt class.
CVS-39-26-00010-P	09/30/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-39-26-00011-P	09/30/27	Jurisdictional Classification	To delete a position from the exempt class and to classify a position in the non-competitive class
CVS-39-26-00012-P	09/30/27	Jurisdictional Classification	To classify a position in the exempt class and to classify a position in the non-competitive class
CVS-39-26-00013-P	09/30/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-39-26-00014-P	09/30/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-39-26-00015-P	09/30/27	Jurisdictional Classification	To classify a position in the exempt class and to classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-39-26-00016-P	09/30/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-39-26-00017-P	09/30/27	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-39-26-00018-P	09/30/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-39-26-00019-P	09/30/27	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-39-26-00020-P	09/30/27	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-39-26-00021-P	09/30/27	Jurisdictional Classification	To classify positions in the exempt class.
CORRECTION, STATE COMMISSION OF			
CMC-40-26-00002-P	10/07/27	Use of body imaging scanning equipment.	To establish regulations for the use of body imaging scanning equipment in secure juvenile detention, SSD and OCFS facilities.
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-24-26-00017-P	06/17/27	Fixed Camera Coverage	Establish regulations for providing fixed camera coverage in department facilities.
CCS-32-26-00001-P	08/12/27	Watertown Correctional Facility	Repeal section pertaining the Watertown Correctional Facility which was closed in 2021.
CCS-33-26-00002-P	08/19/27	Records Access	Update Department Personal Privacy regulations to clarify existing procedures and reflect current organizational responsibilities
CCS-33-26-00003-P	08/19/27	Department Records	Update Department FOIL regulations to clarify existing procedures and reflect current organizational responsibilities.
CCS-38-26-00002-P	09/23/27	Violation Hearings	Authorizing hearing officers to impose loss of privileges upon affirming a charge
CCS-38-26-00003-P	09/23/27	Disciplinary Hearings	Clarify procedural provisions regarding witness requests and assignment of assistants
CCS-38-26-00004-P	09/23/27	Cases of Incarcerated Individual Misbehavior	Clarify standards for representation provided law students at disciplinary hearings.
CCS-38-26-00005-P	09/23/27	Superintendent's Hearing	Revise description of authorized disciplinary sanctions to provide greater clarity.
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-39-26-00001-P	09/30/27	Neighborhood Preservation Crime Prevention Act Guidelines.	Repeal unnecessary regulations relating to funding programs under the 1983 Neighborhood Preservation Crime Prevention Act.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-14-26-00020-P	04/08/27	Empire State Independent Film Production Tax Credit Program	To create the administrative process of this tax credit program
EDV-14-26-00021-P	04/08/27	Empire State Film Production Tax Credit Program	To update the administrative process of this tax credit program
EDV-17-26-00001-P	04/29/27	New York State Minority and Women-owned Business Enterprise (MWBE) Program	Amendments to the implementation of the MWBE Program
EDV-32-26-00005-P	08/12/27	Digital Gaming Media Production Tax Credit Program	To update the additional administrative process of this tax credit program and conform to statute
EDV-35-26-00002-P	09/02/27	Empire State Post Production Tax Credit Program	To update the additional administrative process of this tax credit program and conform to statute
EDUCATION DEPARTMENT			
EDU-42-25-00014-P	10/22/26	Special education due process hearings	Clarifying the due process rights of parents of students who parentally-place their children in nonpublic schools
EDU-22-26-00015-RP	06/03/27	State Financial Aid Eligibility	To align the Commissioner's regulations with Chapter 606.
EDU-30-26-00006-EP	07/29/27	Temporary charitable practice authorizations	To establish a Temporary Charitable Practice authorization to permit qualified out-of-state licensees to participate in charitable service initiatives in NY to address unmet professional service needs and improve access to care for underserved populations
EDU-30-26-00007-P	07/29/27	Registration requirements for certain teacher preparation programs that focus on literacy instruction and corresponding certification requirements	To ensure that teacher candidates are prepared to teach evidence-based literacy instruction
EDU-30-26-00008-P	07/29/27	Receivership under the Every Student Succeeds Act (ESSA) Accountability System	To align Receivership support under the ESSA accountability system with the Department's goals of building leadership and systems for continuous improvement
EDU-30-26-00009-P	07/29/27	Requirements for Coaches of Interscholastic Athletic Teams	Update outdated coaching license regs, simplify licensing, standardize education reqs to reduce burdens and improve consistency
EDU-39-26-00028-P	09/30/27	Nurse practitioners (NPs), athletic trainers, and extra class athletic activities	To authorize NPs to evaluate students for concussion or sudden cardiac arrest and to clarify athletic trainers are licensed
EDU-39-26-00029-P	09/30/27	Immunizations	To conform the Commissioner's regulations to Chapter 115 of the Laws of 2026.
EDU-39-26-00030-EP	09/30/27	Extending Flexibility for Incidental Teaching.	Enable school districts to address ongoing staffing challenges by providing them with flexibility in making teaching assignments
EDU-39-26-00031-P	09/30/27	Requirements for earned degrees at institutions of higher education and minimum qualifications for degree conferral	Adds and clarifies options for students to demonstrate eligibility for degree conferral.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-42-26-00008-P	10/21/27	The Universal Prekindergarten (UPK) Program	Ensuring the regulations are consistent with statutory changes to the UPK program and to reflect additional policy changes
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-06-26-00009-P	02/11/27	Coolwater Sportfishing Regulations	Coolwater sportfishing regulations must be amended to eliminate regulations no longer needed and protect vulnerable populations
ENV-12-26-00004-P	05/28/27	Amend the existing regulations to include changes needed based on recent statutory revisions.	To require larger generators of food waste to donate edible food and recycle food waste if facilities exist to manage.
ENV-24-26-00007-P	06/17/27	Leashed Tracking Dogs	Leashed Tracking Dog regulations will be amended to remove unnecessary application fees and to correct name of the Division.
ENV-26-26-00002-P	09/02/27	treatment and disposal of landfill leachate	establish requirements for the treatment of leachate generated at MSW and C&D debris landfills with leachate collection systems
ENV-28-26-00001-EP	07/15/27	Sanitary Condition of Shellfish Lands.	To reclassify underwater shellfish lands to protect public health and general welfare.
ENV-29-26-00001-P	09/22/27	Regulations governing recreational fishing for Atlantic Cod	To reduce fishing mortality on Atlantic Cod and maintain consistency with federal rules
ENV-36-26-00001-P	09/09/27	Propagation and sale of fur-bearing animals	Consensus rule making, repeal obsolete rule.
ENV-37-26-00006-P	11/19/27	Reclassification of Newtown Creek	Amend the classification of Newtown Creek from Class SD to Class I
ENV-41-26-00008-P	10/14/27	Public use of certain Division of Fish and Wildlife-administered lands within NY's Wildlife Management Area (WMA) system	To reduce confusion and regulatory burden for the regulated community (WMA users) by modernizing area-specific regulations
ETHICS AND LOBBYING IN GOVERNMENT, COMMISSION ON			
ELG-32-26-00004-P	08/12/27	Outside activity restrictions and approval procedures	To provide clarity by adding definitions and eliminating ambiguity.
ELG-41-26-00003-P	10/14/27	Amendments to the Ethics training requirements for Lobbyists and Clients.	Implement the statutory amendments included in Part U of Chapter 55 of the Laws of 2026 relating to ethics training for lobbyists and clients and the imposition of late fees for failing to complete such training within the required time.
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liabilty Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company

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FINANCIAL SERVICES, DEPARTMENT OF			
DFS-28-26-00003-P	07/15/27	Implementation of Banking Law Article 14-B	This rule implements Article 14-B of the Banking Law.
DFS-29-26-00003-P	07/22/27	Issuance of Payment Stablecoins	To provide for the regulation of payment stablecoin issuers.
DFS-31-26-00001-P	08/05/27	Special Risk Insurance	To revise the regulation to conform with Insurance Law article 63 and to make other technical amendments.
DFS-36-26-00002-P	09/09/27	Flexible Rating for Nonbusiness Automobile and For Hire Motor Vehicle Insurance Policies	To conform to new Insurance Law section 2356(d) and amended section 2350
DFS-38-26-00006-P	09/23/27	Private Passenger Motor Vehicle Insurance Multi-Tier Programs	To repeal regulation made redundant by new Insurance Law section 2341.
DFS-38-26-00007-P	09/23/27	Financial Statement Filings and Accounting Practices and Procedures	To update reference to the 2026 edition of the NAIC AP&P Manual and make non-substantive, technical changes to section 83.4.
DFS-42-26-00006-P	10/21/27	Legalization of Foreign Documents; Application for a Change of Location or a Change of Designation of Principal Office	To streamline and modernize requirements for applications and notices
DFS-42-26-00007-P	10/21/27	Investments in Operating Subsidiaries and Other Entities	To reduce the number of pre-approval applications and other notices that other regulated entities must file to make investments
GAMING COMMISSION, NEW YORK STATE			
SGC-39-26-00023-P	09/30/27	Licensing of owners in Thoroughbred racing.	To establish criteria for licensing horse owners in Thorougbred racing.
SGC-39-26-00024-P	09/30/27	Coupling in Thoroughbred racing.	To enhance wagering interest in Thorougbred racing.
SGC-39-26-00032-P	09/30/27	Cash 4 Life lottery game.	To repeal rules governing the Cash 4 Life lottery draw game, which is no longer offered.
SGC-39-26-00033-P	09/30/27	Furnishing of information in Thoroughbred racing.	To update methods of providing information in Thorougbred racing.
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-29-25-00001-RP	10/21/26	Special Needs Assisted Living Residence (SNALR) Voucher Program	To establish the guidelines surrounding the SNALR Voucher Program for Persons with Dementia.
HLT-04-26-00005-P	01/28/27	Personal Care Services (PCS) and Consumer Directed Personal Care Services (CDPCS)	Allows Licensed Practical Nurses in addition to Registered Nurses to perform initial assessments for PCS & CDPCS in NYS Medicaid
HLT-07-26-00004-P	02/18/27	Chemical Analyses of Blood, Urine, Breath or Saliva for Alcoholic Content	To update, clarify, and simplify certain requirements.

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HEALTH, DEPARTMENT OF			
HLT-09-26-00001-P	03/04/27	Standards for Tissue Banks and Nontransplant Anatomic Banks, and conforming changes.	Update to reflect current standards, practices & federal requirements; include additional protections for donors and recipients.
HLT-11-26-00001-P	03/18/27	Radiology Services	To define portable radiology as a type of mobile radiology.
HLT-22-26-00001-P	06/03/27	Medical Aid in Dying (MAID) Physician Reporting Requirements	To establish reporting requirements for physicians who use MAID so that the Department can determine utilization & compliance.
HLT-24-26-00010-P	06/17/27	Nurse Aide Training Program	To change the number of training hours for the Nurse Aide Training Program.
HLT-24-26-00011-P	06/17/27	Streamlining Medicaid Provider Recordkeeping Requirements	To eliminate unnecessary requirements and simplify and clarify recordkeeping requirements for health care providers.
HLT-24-26-00012-P	06/17/27	Updating Medicaid provider Electronic Orders and Forms	To explicitly authorize provider use of electronic orders and forms.
HLT-25-26-00011-P	06/24/27	Organ Donation Conforming Changes	To add close friend to list of those that may consent to an anatomical gift. Patients may be placed on multiple transplant lists
HLT-27-26-00011-P	07/08/27	Communicable Disease Reporting, Definitions and Specimen Collection	To update and clarify requirements for communicable disease reporting, definitions, and specimen collection.
HLT-29-26-00012-P	07/22/27	Community Paramedicine Programs	To establish rules and regulations relative to the practice of community paramedicine in New York State.
HLT-42-26-00003-P	10/21/27	Nursing Home Bed Census Reporting Requirements and Platform	To modify nursing home census reporting requirements by transitioning from weekly to monthly reporting.
HUMAN RIGHTS, DIVISION OF			
HRT-34-26-00002-P	08/26/27	Requests for Division records and investigation files.	To revise and modernize procedures to request Division records and investigation files.
LABOR, DEPARTMENT OF			
LAB-35-26-00003-P	09/02/27	Alternate Apprentice to Journeyworker Ratios	Allow temporary variances from minimum apprentice/journeyworker ratios to meet the demand for skilled labor across the State.
LAB-38-26-00001-P	09/23/27	Opioid Antagonists in the Workplace	To promulgate regulations pursuant to NYS Labor Law § § 27-f(4) regarding opioid antagonists in the workplace.
LAB-39-26-00022-P	09/30/27	Prevention of Retail Workplace Violence	To promulgate regulations pursuant to NYS Labor Law Section 27-e(6) regarding preventing retail workplace violence.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LAW, DEPARTMENT OF			
LAW-04-26-00014-P	01/28/27	Price gouging	Define abnormal disruptions of the market arising from stress of weather and convulsions of nature
LAW-37-26-00007-P	09/16/27	Municipal Consumer Warranty on Emergency Vehicles – Arbitration Program Regulations	To promote the independent, speedy, efficient and fair disposition of disputes concerning defective ambulances and fire vehicles
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap
*LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets
*LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
*LPA-37-20-00013-EP	exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
*LPA-12-21-00011-P	exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input
*LPA-17-22-00012-P	exempt	COVID-19 arrears forgiveness and low-income customer discount eligibility	To implement an arrears forgiveness program and expand low-income customer discount eligibility
*LPA-17-22-00014-P	exempt	LIPA's delivery service adjustment cost recovery rider	To ensure recovery of T&D property tax expenses consistent with the LIPA Reform Act, at the lowest cost to LIPA customers
*LPA-39-23-00025-P	exempt	The Small Generator Interconnection Procedures in the Authority's Tariff	To update the small generator interconnection procedures consistent with Public Service Commission guidance

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
*LPA-46-23-00011-P	exempt	12-month Bill Protection Guarantee	To broaden applicability of Bill Protection Guarantee to all customers that enroll in Rate Code 194 or 195 by last migration
*LPA-39-24-00010-P	exempt	Standby and Buyback Service Rates	LIPA Staff propose to modify the Tariff to better integrate DERs to the grid with updated and improved Standby and Buyback rates
*LPA-45-24-00007-P	exempt	Retail Energy Storage Procurement and Residential Energy Storage Programs	To clarify how costs associated with Retail Energy Storage Procurement and Residential Energy Storage Programs are recovered
LPA-13-26-00009-P	exempt	Electric Vehicle Phase-In Rates (“EVPIR”) for behind-the-meter storage that is not paired with a distributed generator.	To allow customers with behind-the-meter storage that is not paired with a distributed generator to participate in its EVPIR.
LPA-26-26-00006-P	exempt	Small Generator Interconnection Procedures (SGIP)	To modify the SGIP to align with Statewide SIR by incorporating Appendix S
LPA-38-26-00013-P	exempt	Reconnection Charges and Postpaid Return Envelopes	To clarify the Tariff and remove outdated practices.
LPA-38-26-00014-P	exempt	Value of Distributed Energy Resources (VDER)	To establish a new Retail Storage Performance Component
LPA-38-26-00015-P	exempt	Annual Budget	To implement rate adjustments as determined through LIPA’s annual budget process.
LPA-38-26-00016-P	exempt	Direct Load Management (DLM)	To implement changes to the Direct Load Mangement Program to remain consistent with statewide policy
LPA-40-26-00003-P	exempt	Standby and Buyback Contract Demand Clarification	To clarify the Tariff language regarding the application of these charges.
MENTAL HEALTH, OFFICE OF			
OMH-13-26-00002-P	04/01/27	Mental Health Outpatient Treatment and Rehabilitative Services (MHOTRS).	Provides increased flexibilities for MHOTRS and provides regulatory clarification.
OMH-24-26-00013-P	06/17/27	Repeals COVID requirements.	To Repeal Part 557.
OMH-24-26-00018-P	06/17/27	Administrative Compensation.	To Repeal Part 513 as Executive Order 38 has sunset.
OMH-37-26-00001-P	09/16/27	Prior Approval Review Process.	To update the Prior Approval Review Process.
MOTOR VEHICLES, DEPARTMENT OF			
MTV-09-26-00003-P	03/04/27	Drivers’ Licenses - classifications, endorsements and restrictions	Adds a new “J” license endorsement for escort vehicle operation.
MTV-18-26-00002-P	05/06/27	Alcohol & Drug Rehabilitation Programs	Fee increase for participation in the IDP
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders

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NIAGARA FALLS WATER BOARD			
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
*NFW-52-22-00004-EP	exempt	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders
NFW-50-25-00003-EP	12/17/26	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders.
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF			
PKR-41-26-00004-P	10/14/27	Environmental Protection Act- Provisions Relating to All Projects	Update outdated website link, update regional contact information, replace incorrect internal citation
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-50-25-00004-EP	12/17/26	Clarifying what facilities OPWDD can designate an Intermediate Care Facility for Individuals with Intellectual Disabilities.	To allow specialty hospitals to be certified as an intermediate care facility for individuals with intellectual disabilities.
PDD-52-25-00001-P	12/31/26	Article 16 Clinic Services Eligibility	Clarify eligibility requirements for services at Article 16 clinics.
PDD-24-26-00015-P	06/17/27	Personal Allowance Receipts	To allow more flexibility for providers when administering personal allowances.
PDD-24-26-00016-P	06/17/27	Representative Payee	To allow more flexibility for providers relating to representative payee funds and responsibilities.
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PUBLIC EMPLOYMENT RELATIONS BOARD			
PRB-31-26-00014-P	08/05/27	Rules and regulations to effectuate the purpose of the State Employment Relations Act (Labor Law Art. 20).	To enact procedures for electronic signatures and to update procedures applicable in PERB's private sector jurisdiction.

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PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-15-04-00022-P	exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts

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PUBLIC SERVICE COMMISSION			
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service

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PUBLIC SERVICE COMMISSION			
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Vernon and TW Telecom of New York L.P.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and TW Telecom of New York L.P.
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the $5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income $5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to $50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify, in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify, in whole or in part, the rehearing petition filed in Case 06-E-0847

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	exempt	Approval of a contract for $250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of $250,000 for tank repairs
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter

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PUBLIC SERVICE COMMISSION			
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about $25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than $100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC)	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s)
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of $94,000	To consider allowing Knolls Water Company to enter into a long-term loan agreement
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures	To improve gas safety performance
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator	To consider waiver of RG&E's tariffed definition of emergency generator
*PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid
*PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established	To balance the need for the information necessary to support a robust market with customer privacy concerns
*PSC-28-14-00014-P	exempt	Petition to transfer systems, franchises and assets	To consider the Comcast and Charter transfer of systems, franchise and assets
*PSC-30-14-00023-P	exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-30-14-00026-P	exempt	Petition for a waiver to master meter electricity	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive, Albany, NY
*PSC-31-14-00004-P	exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-36-14-00009-P	exempt	Modification to the Commission's Electric Safety Standards	To consider revisions to the Commission's Electric Safety Standards
*PSC-38-14-00003-P	exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program
*PSC-38-14-00004-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn
*PSC-38-14-00005-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2
*PSC-38-14-00007-P	exempt	Whether to expand Con Edison's low income program to include Medicaid recipients	Whether to expand Con Edison's low income program to include Medicaid recipients
*PSC-38-14-00008-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn
*PSC-38-14-00010-P	exempt	Inter-carrier telephone service quality standard and metrics and administrative changes	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines
*PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2
*PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers
*PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1
*PSC-40-14-00011-P	exempt	Late Payment Charge	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY
*PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-40-14-00015-P	exempt	Late Payment Charge	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
*PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line
*PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY
*PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program
*PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P	exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-15-00007-P	exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million
*PSC-18-15-00005-P	exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	exempt	Notice of Intent to Submeter electricity	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York
*PSC-29-15-00025-P	exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	exempt	Development of a Community Solar Demonstration Project	To approve the development of a Community Solar Demonstration Project
*PSC-33-15-00009-P	exempt	Remote net metering of a demonstration community net metering program	To consider approval of remote net metering of a demonstration community net metering program
*PSC-33-15-00012-P	exempt	Remote net metering of a Community Solar Demonstration Project	To consider approval of remote net metering of a Community Solar Demonstration Project
*PSC-34-15-00021-P	exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements
*PSC-44-15-00028-P	exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-47-15-00013-P	exempt	Whitepaper on Implementing Lightened Ratemaking Regulation	Consider Whitepaper on Implementing Lightened Ratemaking Regulation
*PSC-48-15-00011-P	exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016	Consider the proposed retirement of Huntley Units 67 and 68
*PSC-50-15-00006-P	exempt	The reduction of rates	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	exempt	Notice of Intent to submeter electricity	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York
*PSC-51-15-00010-P	exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility
*PSC-04-16-00012-P	exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station
*PSC-04-16-00013-P	exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic
*PSC-06-16-00013-P	exempt	Continued deferral of approximately $16,000,000 in site investigation and remediation costs	To consider the continued deferral of approximately $16,000,000 in site investigation and remediation costs
*PSC-06-16-00014-P	exempt	MEGA's proposed demonstration CCA program	To consider MEGA's proposed demonstration CCA program
*PSC-14-16-00008-P	exempt	Resetting retail markets for ESCO mass market customers	To ensure consumer protections with respect to residential and small non-residential ESCO customers
*PSC-18-16-00013-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00014-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00015-P	exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process	To ensure consumer protections for ESCO customers
*PSC-18-16-00016-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00018-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-20-16-00008-P	exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP)	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP)

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-20-16-00010-P	exempt	Deferral and recovery of incremental expense	To consider deferring costs of conducting leak survey and repairs for subsequent recovery
*PSC-20-16-00011-P	exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device
*PSC-25-16-00009-P	exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018	To extend the time period between the Companies' third-party assessments of customer personally identifiable information
*PSC-25-16-00026-P	exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications
*PSC-28-16-00017-P	exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework	To determine appropriate rules for and calculation of the distributed generation reliability credit
*PSC-29-16-00024-P	exempt	Participation of NYPA customers in surcharge-funded clean energy programs	To consider participation of NYPA customers in surcharge-funded clean energy programs
*PSC-32-16-00012-P	exempt	Benefit-Cost Analysis Handbooks	To evaluate proposed methodologies of benefit-cost evaluation
*PSC-33-16-00001-EP	exempt	Use of escrow funds for repairs	To authorize the use of escrow account funds for repairs
*PSC-33-16-00005-P	exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges
*PSC-35-16-00015-P	exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-40-16-00025-P	exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP)	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements
*PSC-47-16-00009-P	exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-20-17-00010-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel
*PSC-24-17-00006-P	exempt	Development of the Utility Energy Registry	Improved data access

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-17-00011-P	exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-42-17-00010-P	exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report	To consider NFGD's petition for rehearing
*PSC-50-17-00017-P	exempt	New Wave Energy Corp.'s petition for rehearing	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	exempt	Application of the Public Service Law to DER suppliers	To determine the appropriate regulatory framework for DER suppliers
*PSC-50-17-00019-P	exempt	Transfer of utility property	To consider the transfer of utility property
*PSC-50-17-00021-P	exempt	Disposition of tax refunds and other related matters	To consider the disposition of tax refunds and other related matters
*PSC-51-17-00011-P	exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project
*PSC-04-18-00005-P	exempt	Notice of intent to submeter electricity	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity
*PSC-05-18-00004-P	exempt	Lexington Power's ZEC compliance obligation	To promote and maintain renewable and zero-emission electric energy resources
*PSC-07-18-00015-P	exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades	To consider AEC's petition requesting resolution of their billing dispute with National Grid
*PSC-11-18-00004-P	exempt	New York State Lifeline Program	To consider TracFone's petition seeking approval to participate in Lifeline
*PSC-13-18-00015-P	exempt	Eligibility of an ESCO to market to and enroll residential customers	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension
*PSC-13-18-00023-P	exempt	Reconciliation of property taxes	To consider NYAW's request to reconcile property taxes
*PSC-14-18-00006-P	exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	exempt	Petition for use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-24-18-00013-P	exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements	To promote and maintain renewable and zero-emission electric energy resources
*PSC-29-18-00008-P	exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-18-00016-P	exempt	Deferral of pre-staging and mobilization storm costs	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs
*PSC-35-18-00003-P	exempt	Con Edison's 2018 DSIP and BCA Handbook Update	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00005-P	exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers
*PSC-35-18-00006-P	exempt	National Grid's 2018 DSIP and BCA Handbook Update	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00008-P	exempt	Central Hudson's 2018 DSIP and BCA Handbook Update	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00010-P	exempt	O&R's 2018 DSIP and BCA Handbook Update	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider
*PSC-39-18-00005-P	exempt	Participation in New York State Lifeline Program	To encourage enhanced services for low-income customers
*PSC-40-18-00014-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018
*PSC-42-18-00013-P	exempt	Petition for clarification and rehearing of the Smart Solutions Program Order	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity
*PSC-01-19-00013-P	exempt	Order of the Commission related to caller ID unblocking	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County
*PSC-03-19-00002-P	exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings	To reduce damage to underground utility facilities by requiring certain training and approving training curricula
*PSC-04-19-00004-P	exempt	Con Edison's petition for the Gas Innovation Program and associated budget	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals
*PSC-04-19-00011-P	exempt	Update of revenue targets	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues
*PSC-06-19-00005-P	exempt	Consideration of the Joint Utilities' proposed BDP Program	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects
*PSC-07-19-00009-P	exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements	To insure the provision of safe and adequate energy service at just and reasonable rates
*PSC-07-19-00016-P	exempt	Participation in New York State Lifeline Program	To encourage enhanced services for low-income customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-09-19-00010-P	exempt	Non-pipeline alternatives report recommendations	To consider the terms and conditions applicable to gas service
*PSC-20-19-00008-P	exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-31-19-00013-P	exempt	Implementation of Statewide Energy Benchmarking	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-32-19-00012-P	exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-41-19-00003-P	exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges	To provide qualifying residential customers with an optional three-part rate
*PSC-46-19-00008-P	exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York	To promote and maintain renewable electric energy resources
*PSC-10-20-00003-P	exempt	The Commission's statewide low-income discount policy	To consider modifications to certain conditions regarding utility low-income discount programs
*PSC-12-20-00008-P	exempt	Delivery rates of Corning Natural Gas Corporation	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020
*PSC-16-20-00004-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by Central Hudson
*PSC-18-20-00015-P	exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program
*PSC-19-20-00004-P	exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements
*PSC-25-20-00010-P	exempt	Whitepaper regarding energy service company financial assurance requirements	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies
*PSC-25-20-00016-P	exempt	Modifications to the Low-Income Affordability program	To address the economic impacts of the COVID-19 pandemic
*PSC-27-20-00003-P	exempt	To make the uniform statewide customer satisfaction survey permanent	To encourage consumer protections and safe and adequate service
*PSC-28-20-00022-P	exempt	Compensation of distributed energy resources	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-28-20-00034-P	exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-34-20-00005-P	exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory
*PSC-38-20-00004-P	exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-20-00008-P	exempt	Availability of gas leak information to the public safety officials	Facilitate availability of gas leak information to public safety officials by gas corporations
*PSC-45-20-00003-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-46-20-00005-P	exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service	To determine if approving the DPS Staff's recommendations is in the public interest
*PSC-48-20-00005-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers
*PSC-48-20-00007-P	exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-51-20-00009-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers
*PSC-51-20-00014-P	exempt	Electric system needs and compensation for distributed energy resources	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources
*PSC-01-21-00004-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers
*PSC-04-21-00016-P	exempt	Request for a waiver	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement
*PSC-09-21-00005-P	exempt	Utility capital expenditure proposal	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-13-21-00016-P	exempt	Revised distribution strategies and reallocation of remaining funding	To ensure the appropriate use of funding reserved for gas safety programs
*PSC-17-21-00005-P	exempt	Submetering equipment	To consider use of submetering equipment and if it is in the public interest
*PSC-17-21-00006-P	exempt	Community Choice Aggregation and Community Distributed Generation	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation
*PSC-17-21-00007-P	exempt	Utility studies of climate change vulnerabilities	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities
*PSC-18-21-00006-P	exempt	Community Choice Aggregation renewable products	To consider waiving the locational and delivery requirements for RECs purchased to support renewable CCA products

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-19-21-00008-P	exempt	Community Choice Aggregation (CCA) and Community Distributed Generation (CDG)	To consider permitting Upstate Power, LLC to serve as a CCA administrator offering an opt-out CDG focused program
*PSC-20-21-00004-P	exempt	Regulatory approvals in connection with a 437 MW electric generating facility	To ensure appropriate regulatory review, oversight, and action, consistent with the public interest
*PSC-21-21-00019-P	exempt	Utility capital expenditure proposal	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-29-21-00009-P	exempt	Proposed pilot program to use AMI to disconnect electric service to customers during gas system emergencies	To study the efficacy of using AMI to disconnect electric service during gas system emergencies
*PSC-32-21-00002-P	exempt	The prohibition on ESCO service to low-income customers	To consider whether Icon Energy, LLC d/b/a Source Power Company should be granted a waiver to serve low-income customers
*PSC-37-21-00010-P	exempt	Zero emitting electric generating facilities that are not renewable energy systems	To consider modifications to the Clean Energy Standard
*PSC-37-21-00011-P	exempt	Green Button Connect implementation	To consider the proposed Green Button Connect User Agreement and Green Button Connect Onboarding Process document
*PSC-37-21-00012-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Catalyst should be permitted to offer its Community Distributed Generation product to mass market customers
*PSC-38-21-00006-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-39-21-00007-P	exempt	The proposed alternative method of account identification	To facilitate secure customer data exchanges between the utility or provider and energy service entities
*PSC-47-21-00003-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access
*PSC-47-21-00005-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access
*PSC-05-22-00001-P	exempt	Green gas products	To consider an extension of the waiver permitting energy service companies to serve existing customers on green gas products
*PSC-13-22-00011-P	exempt	Positive revenue adjustments associated with emergency response, damage prevention and leak management for 2020	To consider a rehearing petition
*PSC-14-22-00008-P	exempt	An opt-out community distributed generation program	To establish the program rules for offering community distributed generation on and opt-out basis in New York State
*PSC-18-22-00002-P	exempt	NYSEG and RG&E's petition for a waiver of its 2021 customer service quality performance	To determine if NYSEG and RG&E's petition for waiver is in the public interest

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-19-22-00022-P	exempt	Modification of Con Edison's electric tariff	To either eliminate or waive a provision of the Standby Service Offset Tariff
*PSC-21-22-00007-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Atlantic Energy, LLC should be permitted to offer its LED Lighting product to mass market customers
*PSC-21-22-00008-P	exempt	Cybersecurity requirements	Modify the framework to ensure the protection of utility systems and customer data from cyber events
*PSC-21-22-00011-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Atlantic Energy, LLC should be permitted to offer its Smart Home Program product to mass market customers
*PSC-30-22-00009-P	exempt	Establishment of the regulatory regime applicable to a battery storage project	To ensure appropriate regulation of an electric corporation
*PSC-32-22-00023-P	exempt	Bioenergy generation in New York	To consider compensation for bioenergy generation
*PSC-33-22-00009-P	exempt	Use of electric metering equipment	To consider use of electric metering equipment and ensure consumer bills are based on accurate measurements of electric usage
*PSC-38-22-00004-P	exempt	Establishment of the regulatory regime applicable to a battery storage project	To ensure appropriate regulation of an electric corporation
*PSC-42-22-00010-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00011-P	exempt	Gas system planning	To consider cost recovery procedures and an incentive mechanism for non-pipeline alternatives
*PSC-42-22-00012-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00013-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00014-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00015-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00016-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00017-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00019-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-46-22-00010-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-04-23-00008-P	exempt	Updates to guidance for electric utility Distributed System Implementation Plans (DSIPs)	Development of updated guidance and directives for utility DSIPs for improving utility planning and operations functions
*PSC-05-23-00001-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00002-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00004-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00005-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00006-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00009-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00012-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00014-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00015-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-17-23-00003-P	exempt	Issuance of securities and other forms of indebtedness	To determine if the issuance of funding for capital needs and a surcharge mechanism is in the public interest
*PSC-18-23-00001-P	exempt	A request for waiver of negative revenue adjustments	Whether it is in the public interest to waive the negative revenue adjustments for NYSEG and RGE
*PSC-25-23-00003-P	exempt	Community Choice Aggregation	To determine the appropriate requirements to be placed on Community Choice Aggregation solicitations and service agreements
*PSC-26-23-00010-P	exempt	Petition to modify the SIC tariff statement	To consider whether amending the SIC mechanism is in the public interest
*PSC-27-23-00013-P	exempt	The proposed Greenhouse Gas Emissions Reduction Pathways Study	To consider whether the proposed Study is sufficient and whether to proceed with the Study
*PSC-27-23-00015-P	exempt	The Tier 4 renewable energy certificate purchase and sale agreement modifications	To consider modification to the existing Tier 4 renewable energy certificate purchase and sale agreement
*PSC-31-23-00001-P	exempt	A petition for a special permit exemption from odorization requirements	To determine if the granting of the special permit is in the public interest
*PSC-34-23-00008-P	exempt	Proposed transfer of capital stock	To determine if the transfer of capital stock is in the public interest

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-23-00007-P	exempt	EV Commercial Managed Charging Program Implementation Plan	To consider the deployment of an EV CMCP Implementation Plan in the Central Hudson service territory
*PSC-35-23-00011-P	exempt	EV Commercial Managed Charging Program Implementation Plan	To consider the deployment of an EV CMCP Implementation Plan in the National Grid service territory
*PSC-35-23-00015-P	exempt	EV Commercial Managed Charging Program Implementation Plan	To consider the deployment of an EV CMCP Implementation Plan in the NYSEG/RG&E service territories
*PSC-35-23-00020-P	exempt	Reconciliation mechanism	To limit any further near-term customer bill impacts
*PSC-38-23-00002-P	exempt	Program-wide adjustments to renewable energy certificate contracts	To consider modification to existing renewable energy certificate contracts in light of increased project costs
*PSC-40-23-00029-P	exempt	The applicable regulatory regime for the owner/operator of an approximately 200 megawatt solar electric generating facility	Consideration of whether a requested lightened regulatory regime is in accordance with the Public Service Law and precedent
*PSC-41-23-00007-P	exempt	Gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-42-23-00011-P	exempt	Transfer of a Certificate of Environmental Compatibility and Public Need for a natural gas pipeline	To determine whether the request for the transfer is consistent with the law and in the public interest
*PSC-47-23-00003-P	exempt	The prohibition on service to low-income customers by energy service companies (ESCOs)	To consider extending the waiver of the prohibition
*PSC-05-24-00003-P	exempt	Application of the Public Service Law (PSL) to a merchant developer and owner of a proposed solar facility	To ensure an applicable regulatory regime under the PSL that is consistent with the public interest
*PSC-05-24-00004-P	exempt	Waiver of the requirements of velocity steam meter testing for 2023	To consider whether to provide relief from the velocity meter testing requirements for 2023 due to testing facility shutdown
*PSC-11-24-00020-P	exempt	Appointment of a temporary operator for a water works corporation	To determine if appointment of a temporary operator of a water utility is necessary to provide safe and adequate service
*PSC-11-24-00021-P	exempt	Petition for the use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-14-24-00013-P	exempt	Petition to modify the submeter approval order	To ensure adequate consumer protections are in place
*PSC-14-24-00015-P	exempt	Petition to modify the submeter approval order	To ensure adequate consumer protections are in place
*PSC-18-24-00008-P	exempt	Partnership for the Urban Revitalization in Western New York Program revisions	To consider and review proposed program modifications
*PSC-25-24-00005-P	exempt	The calculation of NYSEG's Percent of Estimated Bills of the Customer Service Performance Indicator metric for January 2024	Whether it is in the public interest to grant certain exemptions in the January 2024 Percent of Estimated Bills for NYSEG

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-24-00002-P	exempt	Energy Service Company Home Warranty Products	To consider proposed consumer protections on Energy Service Company Home Warranty Products
*PSC-26-24-00009-P	exempt	LPP replacement targets	To update LPP replacement mileage to reflect increasing cost while working within Corning's authorized budget
*PSC-26-24-00010-P	exempt	BTU content levels	To reflect the BTU range during the non-heating months due to gas supplied by Corning's new renewable natural gas projects
*PSC-27-24-00003-P	exempt	Net Metering Rules	To consider the proper treatment of banked remote net metering credits
*PSC-30-24-00002-P	exempt	Petition for a special permit exemption and extension	To determine whether to grant the special permit relating to certain class locations and extend the 18-month period until 2026
*PSC-31-24-00011-P	exempt	IEDR User Agreements, Data Security Agreement, Self Attestation, and Green Button Connect Onboarding Process	To ensure that proposed agreements comply with the Commission's Data Access Framework requirements
*PSC-32-24-00030-P	exempt	Petition for the use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-32-24-00037-P	exempt	Rehearing if the order denying authority to recover incremental costs to implement a new CSS above the $421 million cap	To determine whether to authorize temporary accounting treatment for the capital costs in excess of the $421 million cap
*PSC-33-24-00001-P	exempt	Customer required transformers and associated equipment	To establish provisions to ensure safe and reliable service for all customers
*PSC-34-24-00012-P	exempt	Deferral of costs associated with the development of Utility Thermal Energy Networks	To determine the appropriate funding for the development of a proposed Utility Thermal Energy Network Pilot Project
*PSC-40-24-00003-P	exempt	Proposed Earnings Adjustment Mechanisms for Calendar Year 2024	To determine if certain EAMs from the prior rate plan should continue, with updated targets and financial awards
*PSC-41-24-00024-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-44-24-00005-P	exempt	Petition for rehearing	To challenge reporting requirements and sale of environmental attributes for proposed renewable natural gas facilities
*PSC-45-24-00005-P	exempt	Gas metering equipment	To consider use of gas metering equipment and ensure that consumer bills will be based on accurate measurements of gas usage
*PSC-47-24-00007-P	exempt	The Clean Energy Standard's zero emission by 2024 target	To establish definitions of key terms and an evaluation process for achieving the zero emissions by 2040 target
*PSC-48-24-00006-P	exempt	The Westchester Power Community Choice Aggregation (CCA) Program.	To consider integration of Opt-out Community Distributed Generation into the Westchester Power CCA program.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-52-24-00012-P	exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any potential electric transmission needs for referral to the NYISO to solicit, evaluate, and select solutions for.
*PSC-52-24-00015-P	exempt	Utility integrated storage as a transmission and distribution asset.	To consider the Joint Utilities' study and proposal of the use of energy storage as a transmission and distribution asset.
*PSC-05-25-00017-P	exempt	Proposal for replacement of Con Edison's Rider Q program with Locational Variant Daily As-Used Demand Pricing Rates.	To provide customers with locational variant daily as-used demand pricing.
*PSC-08-25-00009-P	exempt	Con Edison proposes to extend survey and inspection intervals for indoor gas service lines.	To approve, deny, or modify Con Edison's proposal to extend survey and inspection intervals for indoor gas service lines
*PSC-09-25-00006-P	exempt	To review a pilot proposal and associated budgets.	To assess whether the proposed pilot program impact is in the public interest.
*PSC-11-25-00003-P	exempt	Implementation of an exemption from the All-Electric Buildings Act, which prohibits certain use of fossil-fueled equipment.	To consider a reasonableness standard for exempting certain new buildings from the All-Electric Buildings Act.
*PSC-12-25-00028-P	exempt	The calculation of certain negative revenue adjustments associated with customer service performance for 2023 and 2024	To determine if approving NYSEG and RG&E's petition is in the public interest.
*PSC-13-25-00002-P	exempt	Standby Service rates and Buyback Service rates.	To establish updated Standby Service and Buyback Service rates that are just and reasonable.
*PSC-17-25-00006-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
*PSC-21-25-00005-P	exempt	Transfer of ownership interests in a 55 megawatt natural gas-fired cogeneration facility located in North Tonawanda, NY.	To address the transfer and any matters within the public interest.
*PSC-22-25-00008-P	exempt	Transfer of franchises or stocks.	To consider if replacement of Argo GP by an Apollo-controlled entity is subject to § 70, or in the public interest under § 70.
*PSC-23-25-00002-P	exempt	Promulgate rules and regulations to require the trimming of vines on utility poles.	To establish minimum requirements regarding vine management.
*PSC-26-25-00008-P	exempt	Petition to extend a water works corporation's escrow account.	To determine if the extension of Emerald Green's escrow account is in the public interest.
*PSC-27-25-00019-P	exempt	Proposed early deployment decarbonization projects and low carbon fuels pilot program.	To address the need for and cost recovery of early deployment decarbonization projects and low carbon fuels pilot program.
*PSC-28-25-00002-P	exempt	O&R's proposal for a Gas DR Pilot and associated budgets.	To promote gas system reliability by encouraging gas demand reductions during peak gas demand days.
*PSC-28-25-00003-P	exempt	Con Edison's proposal for a Gas DR Pilot and associated budgets.	To promote gas system reliability by encouraging gas demand reductions during peak gas demand days.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-29-25-00005-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-30-25-00001-P	exempt	NOCO Electric, LLC and NOCO Natural Gas, LLC's smart monitoring product.	To consider whether the smart monitoring product should be offered to mass-market customers.
*PSC-32-25-00002-P	exempt	Proposed upstream transfer related to electric plant and steam plant.	To consider whether the proposed transfer of electric and steam plant is in the public interest.
*PSC-34-25-00003-P	exempt	The Rockefeller Center UTEN Pilot Proposal filings provided by Con Edison.	To consider whether it is in the public interest to authorize Con Edison to proceed with the Rockefeller Center Pilot Project.
*PSC-34-25-00004-P	exempt	The Haverstraw UTEN Pilot Proposal filings provided by O&R.	To consider whether it is in the public interest to authorize O&R to proceed with the Haverstraw Pilot Project.
*PSC-34-25-00006-P	exempt	The Mount Vernon UTEN Pilot Proposal filings provided by Con Edison.	To consider whether it is in the public interest to authorize Con Edison to proceed with the Mount Vernon Pilot Project.
*PSC-34-25-00007-P	exempt	The Brooklyn UTEN Pilot Proposal filings provided by KEDNY.	To consider whether it is in the public interest to authorize KEDNY to proceed with the Brooklyn Pilot Project.
*PSC-34-25-00009-P	exempt	The Syracuse UTEN Pilot Proposal filings provided by National Grid.	To consider whether it is in the public interest to authorize National Grid to proceed with the Syracuse Pilot Project.
*PSC-34-25-00010-P	exempt	The Chelsea UTEN Pilot Proposal filings provided by Con Edison.	To consider whether it is in the public interest to authorize Con Edison to proceed with the Chelsea Pilot Project.
*PSC-34-25-00011-P	exempt	Thermal Energy Network Pilot Proposal Customer Protection Plan filings provided by New York State Electric & Gas Corporation.	Consider the Thermal Energy Network Customer Protection Plan filing.
*PSC-35-25-00006-P	exempt	Consideration of the Audit Implementation Plans and audit recommendations.	To ensure that recommendations issued in a management and operations audit are appropriately addressed and implemented.
*PSC-35-25-00008-P	exempt	Establishment of the regulatory regime applicable to the owner and operator of a solar generation project.	To ensure appropriate regulation of an independent power producer participating in wholesale electric markets.
*PSC-36-25-00003-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
*PSC-39-25-00006-P	exempt	Design and implementation of a Commercial Managed Charging Program within the Upstate Utilities' service territories.	To improve the efficacy and participation in the Upstate Commercial Managed Charging Programs.
*PSC-39-25-00012-P	exempt	Interconnection costs.	To consider a filing requesting relief from interconnection costs assigned by National Grid.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-39-25-00015-P	exempt	Modifications to the Managed Charging Program to increase adoption of electric vehicle (EV) supply equipment and infrastructure.	To incentivize managed EV charging to encourage EV adoption to meet the State's EV adoption goals.
*PSC-40-25-00006-P	exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-42-25-00004-P	exempt	Bulk energy storage programs.	To consider proposed methodologies for estimating market revenue for long duration, 12+ hour, battery energy storage resources.
PSC-43-25-00003-P	exempt	Extension of the State Universal Service Fund.	To continue to provide universal service at a reasonable rate in certain service territories.
PSC-48-25-00003-P	exempt	Approval of the proposed cost apportionment.	To ensure a fair division of costs among customers on line extensions without passing costs to the general body of ratepayers.
PSC-48-25-00004-P	exempt	Proposed tariff modification.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-49-25-00001-P	exempt	The Ithaca UTEN Pilot Proposal filing provided by NYSEG.	To consider whether it is in the public interest to authorize NYSEG to proceed with the Ithaca Pilot Project.
PSC-52-25-00002-P	exempt	The computation of certain components used to compensate Distributed Energy Resources (DERs).	To consider revisions to the Demand Reduction Value and Locational System Relief Value compensation elements for DERs.
PSC-01-26-00004-P	exempt	The November 13, 2025 Order Adopting Modifications to the Uniform Business Practices.	To consider the Retail Energy Supply Association's request for rehearing.
PSC-01-26-00005-P	exempt	The Troy UTEN Pilot Proposal filings provided by National Grid.	To consider whether it is in the public interest to authorize National Grid to proceed with the Troy Pilot Project.
PSC-01-26-00007-P	exempt	The November 13, 2025 Order Adopting Modifications to the Uniform Business Practices.	To consider the M&R Energy Resources Corp.'s request for rehearing.
PSC-02-26-00007-P	exempt	Modification of monthly administrative fee charged to submetered tenants.	To ensure just and reasonable submetering charges and appropriate consumer protections.
PSC-04-26-00009-P	exempt	Proposed major rate increase in Con Edison's annual revenues by approximately $66 million.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-04-26-00010-P	exempt	A hearing on the Commission's obligations under the Renewable Energy Program.	To determine whether a hearing should be held to evaluate whether the Renewable Energy Program should be modified or suspended.
PSC-05-26-00002-P	exempt	Transfer of street lighting facilities to the Village of New Square.	To consider whether the transfer of street lighting facilities to the Village of New Square is in the public interest.
PSC-05-26-00004-P	exempt	Hydro Development Group Acquisition, LLC, Hailesboro 4 hydroelectric project located in Hailesboro, New York.	To promote and maintain renewable electric energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-05-26-00005-P	exempt	Hydro Development Group Acquisition, LLC, Hailesboro 6 hydroelectric project located in Hailesboro, New York.	To promote and maintain renewable electric energy resources.
PSC-06-26-00012-P	exempt	A debt financing arrangement with respect to a solar photovoltaic electric generation facility providing wholesale services.	To consider the requested financing arrangement, and if approved, what regulatory conditions should apply.
PSC-07-26-00001-P	exempt	A process for offering flexible interconnection service options.	To provide rules that ensure safe and adequate service at just and reasonable rates.
PSC-09-26-00015-P	exempt	The rules and procedures for interconnecting energy storage facilities.	To ensure interconnection rules and procedures are just and reasonable and consistent with the public interest.
PSC-10-26-00003-P	exempt	Petition dated February 6, 2026 for temporary waiver of 16 NYCRR Section 96.6(j) to provide bills within 30-days.	Whether to grant, deny or modify, in whole or in part, the temporary waiver requested in the petition.
PSC-10-26-00004-P	exempt	Petition dated February 6, 2026 for temporary waiver of 16 NYCRR Section 96.6(j) to provide bills within 30-days.	Whether to grant, deny or modify, in whole or in part, the temporary waiver requested in the petition.
PSC-10-26-00005-P	exempt	Petition dated February 6, 2026 for temporary waiver of 16 NYCRR Section 96.6(j) to provide bills within 30-days.	Whether to grant, deny or modify, in whole or in part, the temporary waiver requested in the petition.
PSC-10-26-00006-P	exempt	Petition dated February 6, 2026 for temporary waiver of 16 NYCRR Section 96.6(j) to provide bills within 30-days.	Whether to grant, deny or modify, in whole or in part, the temporary waiver requested in the petition.
PSC-15-26-00003-P	exempt	Pole attachment charges.	To provide pole attachment services at just and reasonable rates.
PSC-15-26-00006-P	04/15/27	Billing requirements for gas, electric, steam, and water service.	To implement changes to billing requirements for gas, electric, steam, and water service.
PSC-16-26-00001-P	exempt	Approval of issuance of long-term debt and surcharge to collect debt service costs.	To ensure that the expenditures funded by the loan are reasonably required for the stated purpose, water system improvements.
PSC-17-26-00002-P	exempt	The Poughkeepsie UTEN Pilot Proposal filings provided by Central Hudson.	To consider whether it is in the public interest to authorize Central Hudson to proceed with the Poughkeepsie Pilot Project.
PSC-19-26-00016-P	exempt	Proposed pilot program for residential gas demand response.	To consider and review the proposed pilot program.
PSC-20-26-00003-P	exempt	Modify terms of service.	To determine whether to authorize the implementation of a Partially Interruptible Service Class Pilot.
PSC-21-26-00003-P	exempt	The calculation of certain negative revenue adjustments associated with customer service performance for 2025.	To determine if approving NYSEG and RG&E's petition is in the public interest.
PSC-21-26-00004-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-21-26-00005-P	exempt	Petition to modify the Submeter Approval Order.	To ensure adequate consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-23-26-00003-P	exempt	Proposal to develop local transmission projects and analysis of potential bulk system needs.	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.
PSC-23-26-00004-P	exempt	Renewable Energy Development under the Clean Energy Standard.	Development of a Clean Energy Zone Program.
PSC-23-26-00005-P	exempt	Petition to submeter electricity & waiver request.	To ensure adequate submetering equipment, consumer protections, and energy efficiency improvements are in place.
PSC-23-26-00006-P	exempt	Approval of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-23-26-00007-P	exempt	Petition for authorization to utilize CWIP in rate base for Phase 2 Transmission Projects.	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.
PSC-23-26-00010-P	exempt	Proposal to develop local transmission projects and analysis of potential bulk system needs.	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.
PSC-24-26-00019-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-24-26-00020-P	exempt	Ownership of Reserve Gas Co., Inc. and Alden Aurora Gas., Inc.	To consider whether the proposed transfers of Reserve Gas Co., Inc. and Alden Aurora Gas., Inc. are in the public interest.
PSC-24-26-00021-P	exempt	Transfer of the commercial building and parcels of land.	To determine whether to authorize the transfer of the properties and the proper accounting for the transaction.
PSC-25-26-00004-P	06/24/27	Technical amendments of state regulations.	To ensure the safe and adequate operation of pipelines in New York State.
PSC-25-26-00005-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-25-26-00006-P	exempt	Disbursement of funds a utility company receives to its customers.	To defer and disburse settlement proceeds received from 3M Company and DuPont for the benefit of customers .
PSC-25-26-00007-P	exempt	Extension of current base tariff rates.	To ensure safe and adequate gas service at just and reasonable rates.
PSC-25-26-00008-P	exempt	Implementation of a non-pipe alternatives framework.	To consider whether the proposed non-pipe alternatives framework and the use of incentives is in the public interest.
PSC-25-26-00009-P	exempt	Applicable regulatory regime under the Public Service Law for the owner/operator of a solar-powered generation facility	To determine whether a lightened regulatory regime for Tracy Solar Energy Center, LLC is consistent with the public interest
PSC-25-26-00010-P	exempt	Buffalo UTEN Pilot Proposal filings provided by NFG	To consider whether it is in the public interest to authorize NFG to proceed with the Buffalo Pilot Project

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-26-26-00003-P	exempt	Proposed major rate increase in Liberty's total annual revenues by approximately $38.11 million.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-26-26-00004-P	exempt	The CBC charge, which is used to recover the costs for certain energy efficiency and other public policy benefit programs.	To clarify the applicability of the CBC charge to customers installing certain distributed energy resources.
PSC-26-26-00005-P	exempt	Approval of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-27-26-00013-P	exempt	General Business Law § § 349-d(9) and (10).	To consider the cost recovery and other mechanisms necessary to implement General Business Law § § 349-d(9) and (10).
PSC-27-26-00014-P	exempt	Approval of Implementation Plan	To approve Frontier Communications Parent, Inc's Audit Implementation Plan and order its implementation.
PSC-27-26-00015-P	exempt	The proposed statewide criteria for the establishment of heat islands within the utilities' service territories.	To establish the boundaries of heat islands for which residential customers receive expanded protections during extreme heat.
PSC-27-26-00016-P	exempt	Issuance of promissory notes and authorization to enter into credit agreements.	To provide funding for capital needs.
PSC-28-26-00004-P	exempt	Whether to grant authority to Con Edison to transfer its 28.81 percent equity interest in Honeoye to NFG.	Whether to grant, deny or modify, in whole or in part, the requested authority to transfer the stock of Honeoye to NFG.
PSC-28-26-00005-P	exempt	Approval of gas metering equipment.	To consider use of gas metering equipment and ensure consumer bills are accurate measurements of gas usage.
PSC-28-26-00006-P	exempt	Notice of tax refunds received by RG&E.	To ensure disposition of tax refunds in a just and reasonable manner.
PSC-28-26-00007-P	exempt	Notice and disposition of tax refunds received by RG&E.	To ensure the disposition of tax refunds in a just and reasonable manner.
PSC-29-26-00004-P	exempt	Revised modifications establishing proposed rates in NYSEG's gas tariff schedules.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-29-26-00005-P	exempt	Central Hudson's Gas and Electric Corporation's proposal for a Gas Demand Response Pilot and associated budgets.	To promote gas system reliability by encouraging gas demand reductions during peak gas demand days.
PSC-29-26-00006-P	exempt	Proposed revisions to firm gas demand response programs for the 2026 - 2027 winter season and going forward.	To determine if the proposed modifications to firm gas demand response programs are in the public interest.
PSC-29-26-00007-P	exempt	Waiver of certain Commission requirements related to the distribution of telephone directories.	To ensure performance in accordance with applicable telecommunications laws, regulations, and standards and the public interest.
PSC-29-26-00008-P	exempt	Revised modifications establishing proposed rates in RG&E's electric tariff schedules.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-29-26-00009-P	exempt	Revised modifications establishing proposed rates in RG&E's gas tariff schedule.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-29-26-00010-P	exempt	Issuance of securities and other forms of indebtedness.	To provide funding for capital needs.
PSC-29-26-00011-P	exempt	Revised modifications establishing proposed rates in NYSEG's electric tariff schedules.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-30-26-00002-P	exempt	Issuance of long-term securities and other forms of indebtedness.	To provide funding for capital needs.
PSC-30-26-00003-P	exempt	Proposed merger of entities upstream of lightly regulated merchant generating facilities in New York.	To consider whether the proposed transfer is in the public interest.
PSC-30-26-00004-P	exempt	Progress and status of the Clean Energy Standard program toward renewable and zero emissions targets.	To consider progress of the Clean Energy Standard and further achievement of State clean energy goals.
PSC-30-26-00005-P	exempt	The Index Renewable Energy Certificate (REC) settlement structure, used as part of Clean Energy Standard (CES) procurements.	To consider changes to the Index REC settlement structure used under CES procurements.
PSC-31-26-00010-P	exempt	Modification of Innovative Pricing Pilot to establish new rate classes for residential and small commercial customers.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-26-00011-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment, consumer protections, and energy efficiency improvements are in place.
PSC-31-26-00012-P	exempt	A financing arrangement with respect to a proposed solar generating facility.	To consider the requested financing arrangement, and if approved, what regulatory conditions should apply.
PSC-31-26-00013-EP	exempt	Amendment of Con Edison's electric tariffs to allow the utility to provide 345 kilovolt service.	To allow 345 kilovolt service to Empire Offshore Wind, LLC to perform testing and to provide Station Service. to Empire Wind.
PSC-32-26-00006-P	exempt	Consideration of the Audit Implementation Plan.	To ensure that recommendations issued in a management and operations audit are appropriately addressed and implemented.
PSC-32-26-00007-P	exempt	The prohibition on service to low-income customers by Energy Service Companies (ESCOs)	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs
PSC-32-26-00008-P	exempt	Procurement activities related to energy storage projects and to ensure reliability.	To consider whether Con Edison's procurement activities and other actions are adequate and appropriate to ensure reliability.
PSC-32-26-00009-P	exempt	Proposed transfer of ownership interests upstream concerning the Indian Point site under section 70 of the Public Service Law	To consider whether the proposed transfer is in the public interest
PSC-33-26-00004-P	exempt	Potential modifications to, and clarifications of, the Statewide Solar for All (S-SFA) Program.	To ensure proper administration of the S-SFA and provide low-income customers with bill credits from community solar projects.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-35-26-00004-P	exempt	Rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-35-26-00005-P	exempt	Permanent waiver of tariff provision.	To ensure the requested waiver is reasonable and allows for the provision of safe and adequate service.
PSC-35-26-00006-P	exempt	Gas metering equipment.	To consider use of gas metering equipment and ensure that consumer bills will be based on accurate measurements of gas usage.
PSC-35-26-00007-P	exempt	Clean Energy Standard administration.	To authorize the funding necessary for continued implementation of the Clean Energy Standard in 2027.
PSC-35-26-00008-P	exempt	Petition for rehearing.	To consider a petition for rehearing.
PSC-35-26-00009-P	exempt	New York State Reliability Council reliability rules and measurements.	To consider revisions to various rules and measurements of the New York State Reliability Council used to support safe and reliable electric service.
PSC-35-26-00010-P	exempt	The applicable regulatory regime under the Public Service Law for the owner/operator of a battery energy storage facility.	To determine whether a lightened regulatory regime for Zenobe Burns, LLC is consistent with the public interest.
PSC-36-26-00003-P	exempt	Request of NYISO to incur indebtedness.	To ensure that debt financing is used reasonably and appropriately.
PSC-37-26-00003-P	exempt	Sale of certain real property to Sunrise Mall Holdings II LLC	To consider whether the sale of certain real property to the Sunrise Mall Holdings II LLC is in the public interest.
PSC-37-26-00004-P	exempt	Financial support for the Copenhagen hydroelectric facility.	To consider whether a contract award is needed to maintain the facility's renewable output and what level of support is needed.
PSC-37-26-00005-P	exempt	Transfers of ownership interests in an interstate natural gas pipeline.	To consider whether the proposed ownership transfers are in the public interest.
PSC-38-26-00008-P	exempt	The Community Choice Aggregation Program for municipalities to aggregate electric or natural gas supply for residents.	To consider whether to modify or discontinue the Community Choice Aggregation Program.
PSC-38-26-00009-P	exempt	Proposal to approve the use of previously-authorized funds to extend the existing Make-Ready Program beyond utility plug goals.	To modify the Make-Ready Program to continue availability of incentives.
PSC-38-26-00010-P	exempt	To consider tariff rates for a Pole Attachment Rate and SC-3 Facility Charge.	To ensure pole attachment and facility charges are just and reasonable.
PSC-38-26-00011-P	exempt	Energy Affordability Program budgets.	To consider a temporary budget cap increase for Energy Affordability Programs for multiple utilities.
PSC-38-26-00012-P	exempt	The applicable regulatory regime for the owner and operator of a transmission facility.	To determine whether a lightened regulatory regime for NYETC is consistent with the PSL.
PSC-39-26-00025-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-39-26-00026-P	exempt	A process for offering flexible interconnection service options.	To provide rules that ensure safe and adequate service at just and reasonable rates.
PSC-39-26-00027-P	exempt	Issuance of securities and other forms of indebtedness.	To provide funding for capital needs.
PSC-40-26-00001-P	exempt	Excelsior Power Program Phase 1 Implementation Plan.	To ensure proper implementation of Phase 1 of the Excelsior Power Program.
PSC-41-26-00005-P	exempt	The applicable regulatory regime under the Public Service Law for the owner/operator of a wind energy generation facility.	To determine whether a lightened regulatory regime for Valcour Wethersfield NewCo, LLC is consistent with the public interest.
PSC-41-26-00006-P	exempt	The applicable regulatory regime for the owner and operator of a wind-powered generating facility.	To determine whether a lightened regulatory regime for Valcour Clinton NewCo, LLC is consistent with the public interest.
PSC-41-26-00007-P	exempt	The applicable regulatory regime for the owner and operator of a wind-powered generating facility.	To determine whether a lightened regulatory regime for Valcour Chateaugay NewCo, LLC is consistent with the public interest.
PSC-42-26-00004-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-42-26-00005-P	exempt	Establishment of the regulatory regime applicable to natural gas-fired generating facilities.	To ensure appropriate regulation of electric corporations.
STATE UNIVERSITY OF NEW YORK			
SUN-25-26-00012-P	06/24/27	Certificates of residence for nonresident community college students.	To allow for a schedule of late fees, and exemptions thereof, and a reconciliation process to ensure proper billing.
SUN-30-26-00010-EP	07/29/27	State basic financial assistance for the operating expenses of community colleges under the programs of SUNY and CUNY.	To set assistance levels for basic State financial assistance and allocate incremental new monies provided in the State Budget.
SUN-30-26-00011-EP	07/29/27	State University of New York Tuition and Fee Schedule	To repeal the existing Tuition and Fees Schedule and replace with a new one to be effective for the Fall 2026 semester.
TAXATION AND FINANCE, DEPARTMENT OF			
*TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
*TAF-17-24-00002-EP	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2024 through March 31, 2024
TAF-34-26-00001-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period October 1, 2026 through December 31, 2026.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-04-26-00011-P	01/28/27	Hotel/motel facilities used as temporary housing placements for persons and families experiencing homelessness	To ensure PA applicants or recipients that receive THA for hotel/motel facility placements receive supportive services like those currently available to PA applicants or recipients that receive THA for shelter placements
TDA-24-26-00003-P	06/17/27	Increases the maximum threshold at which social services districts may waive recovery of certain overpayments.	To increase the maximum threshold of certain overpayment recoveries in order to minimize adverse impacts and undue hardship.
TDA-24-26-00004-P	06/17/27	Eliminates the credibility assessment requirement in the domestic violence assessment process	See attached addendum
TDA-42-26-00001-EP	10/21/27	Standard Utility Allowances (SUAs) for the Supplemental Nutrition Assistance Program (SNAP)	These regulatory amendments set forth the annually-adjusted SUAs as of 10/01/2026.
TRANSPORTATION, DEPARTMENT OF			
TRN-35-26-00001-P	09/02/27	Divisible Load Permitting	To allow for the issuance of divisible load permits to applicants utilizing vehicles validly registered in any jurisdiction.
TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY			
TBA-24-26-00001-P	08/17/27	Amend vehicle limits as to height and weight and set Weigh-in-Motion (WIM) violation monitoring with flat-rate per violation.	To amend the height and weight limitations for vehicles on TBTA facilities and set $650 flat rate for WIM violations.
VICTIM SERVICES, OFFICE OF			
OVS-12-26-00003-P	03/25/27	NYS Office of Victim Services (OVS) Victim Compensation Program and Victim Assistance Grants	To align OVS's rules and regulations with recent amendments to Article 22 of the Executive Law and enhance aid to crime victims.
WORKERS' COMPENSATION BOARD			
WCB-02-26-00001-RP	01/14/27	Medical Fee Schedules	To update the medical fee schedules used for treatment in workers' compensation claims.
WCB-31-26-00002-P	08/05/27	Practice committees and medical appeals unit	To repeal the regulations on these topics as they are outdated, pursuant to the EXPRESSNY initiative.
WCB-32-26-00002-P	08/12/27	Certification of question by Workers' Compensation Law judge to the Board	Repeal this section as outdated pursuant to EXPRESSNY initiative
WCB-32-26-00003-P	08/12/27	Third-party recovery	Repeal this part as outdated and superseded by case law as part of EXPRESSNY initiative
WCB-33-26-00005-P	08/19/27	Paid Family Leave for construction employees	Updating Paid Family Leave regulations to conform with bill adding new eligibility timeline for certain construction employees

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

Ding688, Inc.
149–11 41st Ave., Flushing, NY 11354
State or country in which incorporated — New York

FF Bowie Investor, LLC
265 Franklin St., Suite 1010, Boston, MA 02110
State or country in which incorporated — Delaware

Merrick & Company
8051 E. Maplewood Ave., Suite 300, Greenwood Village, CO 80111
State or country in which incorporated — Colorado

Strategic Storage Trust VI, Inc.
10 Terrace Rd., Ladera Ranch, CA 92694
State or country in which incorporated — Maryland

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

REPLACE
HEATING HW LINES
Mohawk Correctional Facility
Rome, Oneida County

Sealed bids for Project No. 47739-H, comprising separate contracts for HVAC Work, Replace Heating HW Lines, Building 144, Admin, 145 Visitor Waiting & 146 Visitor, Mohawk Correctional Facility, 6514 NY-26, Rome (Oneida County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Corrections and Community Supervision until 2:00 p.m. on Wednesday, October 28, 2026, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of $54,400 for H).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $1,000,000 and $2,000,000 for H.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Megan Whaley, Catherine Skaczkowski, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. For inquires related specifically to Minority and Women-Owned Business Enterprises (MWBE) and to Service-Disabled Veteran Owned Businesses (SDVOB) provisions of this procurement, the designated contact is Tryphina Ramsey, telephone (518) 486-9284 or email OGS.sm.MWBE@ogs.ny.gov. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over $5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is $1,737,918 or less, adjusted annually for inflation as of March 1, 2026. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

__ Project commenced design before January 1, 2020. Not subject to provision.

XX Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 353 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on October 15, 2026, at Mohawk Correctional Facility, 6514 State Route 26, Rome, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Matt Chesebro, (315-281-8614) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 72 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 3 of the Veteran's Services Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows 6% for the H trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

Downloading Bidding and Contract Documents for this project, along with the submission of Bidder Questions and Electronic Bidding are available through OGS Design & Construction's electronic bidding service, Bid Express at the following link: http://www.bidexpress.com

For questions about registration or Bid Express technical issues, e-mail support@bidexpress.com, or call Bid Express support (888) 352-2439.

For all other questions, email DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, use the link below and then click on the project number:

https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

PROVIDE
BUILDING HEATING/COOLING
Pilgrim Psychiatric Center
West Brentwood, Suffolk County

Sealed bids for Project No. 47806-H, comprising a contract for HVAC Work, Provide Building Heating & Cooling, Building 45, Pilgrim Psychiatric Center, 998 Crooked Hill Road, West Brentwood (Suffolk County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, October 28, 2026, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of $23,300 for H).

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $500,000 and $1,000,000 for H.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Megan Whaley, Catherine Skaczkowski, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. For inquires related specifically to Minority and Women-Owned Business Enterprises (MWBE) and to Service-Disabled Veteran Owned Businesses (SDVOB) provisions of this procurement, the designated contact is Tryphina Ramsey, telephone (518) 486-9284 or email OGS.sm.MWBE@ogs.ny.gov. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over $5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is $1,737,918 or less, adjusted annually for inflation as of March 1, 2026. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

__ Project commenced design before January 1, 2020. Not subject to provision.

XX Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 267 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:30 a.m. on October 16, 2026, at OGS Field Office, 998 Crooked Hill Rd, Brentwood NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Craig A. Witham, (631-603-9216 or craig.witham@ogs.ny.gov) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 48 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 3 of the Veterans' Services Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 3% for the H trade contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

Downloading Bidding and Contract Documents for this project, along with the submission of Bidder Questions and Electronic Bidding are available through OGS Design & Construction's electronic bidding service, Bid Express at the following link: http://www.bidexpress.com

For questions about registration or Bid Express technical issues, e-mail support@bidexpress.com, or call Bid Express support (888) 352-2439.

For all other questions, email DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, use the link below and then click on the project number:

https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

NOTICE OF AVAILABILITY
OF STATE AND FEDERAL FUNDS

Department of Environmental Conservation
625 Broadway
Albany, NY 12233-2560

FIRE DEPARTMENTS
Rural Volunteer Fire Capacity Grant

Pursuant to the Agriculture Appropriations Act, Section 7(b)3 of P.L.95-313 (Cooperative Forestry Assistance Act of 1978), the New York State Department of Environmental Conservation hereby gives notice of the following:

The New York State Department of Environmental Conservation will administer the 2026 Volunteer Fire Capacity (VFC) Grant to assist eligible rural fire departments with the purchase of wildland fire suppression supplies. Applications will be accepted through December 30, 2026.

A federal appropriation of $857,629 was awarded for fire assistance grants in New York State. Eligible fire departments may receive grant funding up to $3,500 for the purchase of wildland fire suppression supplies. Fire departments are required to equally match (50/50) the amount of the award. Grant funding is based on federal guidelines, for use by fire departments serving a rural area or a rural community with a population of 10,000 or less. Grant funding must be used for the direct benefit of the rural community served. A fire department serving a population greater than 10,000 and not providing protection to a rural area or rural community is not eligible for VFC financial assistance. Due to limited funding, not every fire department who meets eligibility requirements will receive an award.

The grant application will be available on-line, at https://dec.ny.gov/environmental-protection/public-safety/volunteer-fire-capacity-grants.

For further information, contact: April Marinov, Department of Environmental Conservation, Division of Forest Protection, 625 Broadway, 11th Fl., Albany, NY 12233-2560, (518) 402-2496, or email questions to vfagrant@dec.ny.gov

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Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997

MUNICIPALITIES OF NEW YORK STATE
New York State Clean Water for Housing Grants

The New York State Environmental Facilities Corporation (EFC) is pleased to announce the availability of $225 million in grants under the New York State Clean Water for Housing Program, including $50 million to support rural housing.

This funding is for municipalities constructing or upgrading water and/or sewer infrastructure to support housing development, improvements, and/or rehabilitation. Please see the Grant Summary on EFC's website (www.efc.ny.gov) for specific information and requirements for applying.

Background

EFC administers the Clean Water State Revolving Fund (CWSRF) and co-administers the Drinking Water State Revolving Fund (DWSRF) with the NYS Department of Health. The SRF Programs provide financial assistance to qualified municipalities to undertake eligible water infrastructure projects. Municipalities, however, do not need to obtain financial assistance from the CWSRF or DWSRF program to receive a grant award and do not need to be listed on the CWSRF or DWSRF Intended Use Plan to apply.

Application Opening and Due Date

Applications will be available on Wednesday, October 21, 2026. All applications must be submitted using the online application located on EFC's website at www.efc.ny.gov/Housing. Applicants that submit complete applications for eligible projects will be awarded grants on a first-come, first-served basis until all funds are allocated.

Please see the Grant Summary on EFC's website at www.efc.ny.gov for specific information and requirements for applying.

Webinar

EFC will host a webinar to present information on the Clean Water for Housing Program. The webinar will provide an overview of the Program, as well as guidance on how to apply for grant funds. Please check EFC's website for information on dates and how to register.

Contacts:

If you have any questions, please email: NYSWaterGrants@efc.ny.gov or contact: Máire Cunningham, Environmental Facilities Corporation, 625 Broadway, Albany, NY 12207-2997, (518) 402-6924

MISCELLANEOUS
NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

NOTICE OF PUBLIC HEARING

Susquehanna River Basin Commission

SUMMARY: The Susquehanna River Basin Commission will hold a public hearing on October 28, 2026. The Commission will hold this hearing in person and telephonically. At this public hearing, the Commission will hear testimony on the projects and actions listed in the Supplementary Information section of this notice. In addition to the projects listed below, the Commission will also hear testimony on its proposed Access to Records Policy and its Draft Regulatory Program Fee Schedule. Such projects and actions are intended to be scheduled for Commission action at its next business meeting, scheduled for December 10, 2026, which will be noticed separately. The public should note that this public hearing will be the only opportunity to offer oral comments to the Commission for the listed projects and actions. The deadline for the submission of written comments is November 9, 2026.

DATES: The public hearing will convene on October 28, 2026, at 5:00 p.m. The public hearing will end at 8:00 p.m. or at the conclusion of public testimony, whichever is earlier. The deadline for submitting written comments is Monday, November 9, 2026.

ADDRESSES: This public hearing will be conducted in person and telephonically. You may attend in person at Susquehanna River Basin Commission, 4423 N. Front St., Harrisburg, Pennsylvania, or join by telephone at Toll-Free Number 1-877-304-9269 and then enter the guest passcode 2619070 followed by #.

FOR FURTHER INFORMATION CONTACT: Andrew Zerby, Assistant Counsel, telephone: (717) 238-0423 or azerby@srbc.gov.

Information concerning the project applications is available at the Commission's Water Application and Approval Viewer at https://www.srbc.gov/waav. Information regarding the proposed Access to Records Policy and the draft Regulatory Program Fee Schedule can be found at https://www.srbc.gov/regulatory/public-participation/.

SUPPLEMENTARY INFORMATION: The public hearing will also cover the following projects:

Projects Scheduled for Action:

1. Project Sponsor: Allegheny Mountain Spring Water, Incorporated. Project Facility: Roaring Spring, Roaring Spring Borough, Blair County, Pa. Application for consumptive use of up to 0.099 mgd (30-day average).

2. Project Sponsor and Facility: Ephrata Area Joint Authority, Ephrata Borough, Lancaster County, Pa. Application for groundwater withdrawal of up to 1.210 mgd (30-day average) from Well 1.

3. Project Sponsor and Facility: Expand Operating LLC (Susquehanna River), Terry Township, Bradford County, Pa. Application for surface water withdrawal of up to 3.000 mgd (peak day).

4. Project Sponsor: Hickory Heights, Inc. Project Facility: Hickory Heights Golf Club, North Codorus Township, York County, Pa. Application for consumptive use of up to 0.099 mgd (30-day average).

5. Project Sponsor: Knoebels Three Ponds, Inc. Project Facility: Knoebels Three Ponds Golf Course, Ralpho Township, Northumberland County, Pa. Application for consumptive use of up to 0.249 mgd (30-day average).

6. Project Sponsor and Facility: Milton Hershey School, Derry Township, Dauphin County, Pa. Applications for consumptive use of up to 1.125 mgd (peak day) and groundwater withdrawals (30-day averages) of up to 0.250 mgd from Well 1, 0.720 mgd from Well 3, 0.144 mgd from Well 4, 0.343 mgd from Well 6, 0.150 mgd from Well 7, and 0.103 mgd from Well 8.

7. Project Sponsor: Pennsylvania – American Water Company. Project Facility: Frackville District, West Mahanoy Township, Schuylkill County, Pa. Application for groundwater withdrawal of up to 0.192 mgd (30-day average) from Well 5.

8. Project Sponsor and Facility: Range End Golf Club, Inc., Carroll Township, York County, Pa. Applications for consumptive use of up to 0.240 mgd (peak day) and groundwater withdrawal 0.113 mgd (30-day average) from Well 1.

9. Project Sponsor: Saddleview Properties LLC. Project Facility: Stone Hedge Country Club, Tunkhannock Township, Wyoming County, Pa. Applications for surface water withdrawal of up to 0.249 mgd (peak day) from Osterhout Creek and consumptive use of up to 0.249 mgd (30-day average).

10. Project Sponsor and Facility: Sterman Masser, Inc. (Deep Creek), Hegins Township, Schuylkill County, Pa. Application for surface water withdrawal of up to 0.274 mgd (peak day).

11. Project Sponsor: Willow Valley Associates, Inc. Project Facility: Willow Valley Golf Course, West Lampeter Township, Lancaster County, Pa. Application for consumptive use of up to 0.099 mgd (30-day average).

The proposed Access to Records Policy is an update to the Commission's existing 2009 policy. The Commission is clarifying its procedures concerning confidential information requests by project sponsors and recognizing the Access to Records regulation at 18 C.F.R. § 801.14 adopted in 2018.

The draft Regulatory Program Fee Schedule adds the Consumer Price Index adjustment for the Mid-Atlantic Region to most fees, which is 3.9% for the preceding 12 months. The proposed fee schedule also adds pre-application and technical assistance to project sponsors as an activity to be funded under the current annual fee. The fee is proposed to be renamed the Annual Monitoring, Compliance and As-

sistance to Projects (AMCAP) Fee. This fee will begin to support the Commission's Public Water Supply Assistance Program as well as its efforts to provide technical assistance to small projects and pre-application assistance to all project sponsors. The proposed fee schedule also adjusts some terminology involving well grouping/well field determinations by the Commission, as well as adds the fee for the recently enacted General Permit GP-04. The proposal also includes language clarifying the Commission's ability to assess a late fee for materially late payments due to the Commission consistent with 18 C.F.R. § 806.33.

Opportunity to Appear and Comment:

Interested parties may appear or call into the hearing to offer comments to the Commission on any business listed above required to be the subject of a public hearing. Given the nature of the meeting, the Commission strongly encourages those members of the public wishing to provide oral comments to pre-register with the Commission by e-mailing Andrew Zerby at azerby@srbc.gov before the hearing date. The presiding officer reserves the right to limit oral statements in the interest of time and to control the course of the hearing otherwise. Access to the hearing via telephone will begin at 4:45 p.m. Guidelines for the public hearing are posted on the Commission's website, www.srbc.gov, before the hearing for review. The presiding officer reserves the right to modify or supplement such guidelines at the hearing. Written comments on any business listed above required to be the subject of a public hearing may also be mailed to Mr. Andrew Zerby, Assistant Counsel to the Commission, Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, Pa. 17110-1788, or submitted electronically through https://www.srbc.gov/meeting-comment/default.aspx?type=2&cat=7. Comments mailed or electronically submitted must be received by the Commission on or before Monday, November 9, 2026.

Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR Parts 806, 807, and 808.

Dated: October 1, 2026

Jason E. Oyler,

General Counsel and Secretary to the Commission

PUBLIC NOTICE

Office of General Services
GreenNY Council

Pursuant to Executive Order No. 22, Leading by Example: Directing State Agencies to Adopt a Sustainability and Decarbonization Program, September 20, 2022 ("EO 22"), the GreenNY Council hereby gives public notice of the following:

Five (5) sustainable procurement specifications were tentatively approved by the GreenNY Council and have been posted for public comment.

This includes new or amended specifications on the following topics:

- Brake Pads
- Engine Block and Oil Pan Heaters
- Hydraulic Fluids
- Low-Viscosity Vehicular Motor Oil
- Vehicle Wheel Weights and Continuous Balancers

The above specifications are available for viewing at: https://ogs.ny.gov/greenny/greenny-tentatively-approved-specifications

Information regarding the GreenNY procurement specification approval process is also available at the above link.

Comments may be submitted electronically to: GreenNY@dec.ny.gov

Comments from the public regarding the tentatively approved specifications will be accepted until Monday, November 23, 2026.

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health (the Department) is preparing to submit a request to the Centers for Medicare and Medicaid Services (CMS) to renew the 1915(c) Children's Home and Community Based Services (HCBS) Waiver for the period April 1, 2027 through March 31, 2032. As part of the renewal request, the Department is also proposing the following changes:

- Palliative Care: Expressive Therapy provider qualifications will be revised. Music therapists are recognized as Licensed Creative Arts Therapists in NY. Expressive Therapy is not a licensed profession in NY. Palliative Care Expressive Therapy individual staff education qualifications will be simplified to include Child Life Specialist with certification through the Child Life Council; Creative Arts Therapist licensed by the State of New York; Play Therapist with a master's degree from a program recognized by the New York State Education Department; current Play Therapist Registration conferred by the Association for Play Therapy (Expressive Therapy (Art, Music, and Play).
- For Environmental Modifications, governmental entities and Managed Care Organizations (MCOs) are removed as providers of record, for consistency with changes approved by CMS in Waiver Amendment NY 4125.R06.02, effective March 1, 2024.
- Clarify that Transitional Care Coordination is only delivered using the Fee-for-Service (FFS) delivery system, including for children enrolled in Medicaid Managed Care Plans. The benefit is carved out of the Managed Care benefit package.
- Addition that Medicaid Managed Care Plans (MMCPs) may perform the HCBS Eligibility Determination for participants who opt out of Health Home, who are enrolled with the MMCP, and the MMCP is providing the participant's HCBS care coordination.
- Update technical Performance Measure language and case review sampling methodologies.
- Allow telehealth for Transitional Care Coordination and Palliative Care Counseling and Support Services, in specific circumstances.
- Clarify language regarding provider de-designation.
- Update the Serious Emotional Disturbance target population risk factor criteria to more clearly include foster care placement and juvenile justice carceral facilities within the risk factor criteria.
- Update contact mailbox and phone number for filing of Children's Waiver complaints.
- Update the critical incident reportable timeframe from 24 hours to 72 hours.
- Consolidate the current HCBS Caregiver Family Advocacy and Support Services practitioner levels one and two to one allowable practitioner level with one rate code.
- Clarify Caregiver Family Advocacy and Support Services definition and allowable service components.
- Update HCBS Medically Fragile/Developmental Disability Target Population criteria to allow children/youth who have chronic complex medical needs and who are at risk of institutionalization, who meet the level of care determination for Developmental Disability as determined by the Office for People with Developmental Disabilities (OPWDD) access to the Children's Waiver.
- Adds Complex Care Assistance as a new service.
- Update overall waiver unduplicated participant capacity.
- Increase Environmental Modification (E-Mod) annual service limits for bathroom modifications and vertical platform lifts (VPLs) only to $35,000, all other E-Mods will remain at $25,000 annual service limit.
- Update the list of items available under Environmental Modifications, Vehicle Modifications, and Adaptive and Assistive Technology to clearly specify the scope of coverage by removing "such as" and "not limited to" language, for consistency with CMS guidance.
- Remove extraneous language in the Waiver Renewal

The estimated impact on gross Medicaid expenditures as a result of this proposed renewal for Waiver Year 1: April 1, 2027; through March 31, 2028, is approximately $440,959,123. The estimated impact on gross Medicaid expenditures as a result of this proposed renewal over the full five-year Waiver period will be approximately $2,427,415,493.

The public is invited to review and comment on this proposed HCBS Children's Waiver Renewal, a copy of which will be available for public review on the NYS Department of Health Children's Waiver at: 1115 Waiver/Home and Community Based Services (HCBS) (ny.gov).

For individuals with limited online access or to access paper copies, please call (518) 473-5569.

For further information and to review and comment, please contact: Department of Health, Office of Health Insurance Programs, 99 Washington Ave., One Commerce Plaza, Suite 720, Albany, NY 12210, email: BH.Transition@health.ny.gov

All comments must be postmarked or emailed by 30 days of the date of this notice.

PUBLIC NOTICE

New York State Deferred Compensation Plan

• Pursuant to the provisions of 9 NYCRR, Section 9003.2, authorized by Section 5 of the State Finance Law, the New York State Deferred Compensation Board, beginning Wednesday, October 21, 2026, is soliciting proposals from financial organizations to provide fixed income separately managed account investment management services. Each separate account investment contract will be a portion of the New York State Deferred Compensation Plan's Stable Income Fund, with insurance wrap coverage of the account arranged by the Plan's stable value structure manager.

• The Stable Income Fund is offered as an investment option under the Deferred Compensation Plan for Employees of the State of New York and Other Participating Public Jurisdictions, a plan meeting the requirements of Section 457 of the Internal Revenue Code and Section 5 of the State Finance Law, including all rules and regulations issued pursuant thereto.

• A copy of the request for proposals will be posted on Callan LLC's website (https://callan.com/rfps/) and on the Board's website: www.deferredcompboard.ny.gov. The designated contacts for this procurement are Tom Shingler (shingler@callan.com) and Hannah Vieira (vieira@callan.com).

• The Board is seeking proposals from financial organizations to provide Active Short Duration Fixed Income and Active Intermediate Core Fixed Income investment management services as detailed below.

1) Active Short Duration Fixed Income, benchmarked to the Bloomberg 1-3 Year Government/Credit Index or the Bloomberg 1-3 Year Government Index.

2) Active Intermediate Core Fixed Income, benchmarked to the Bloomberg Intermediate Aggregate Index or the Bloomberg Intermediate Government/Credit Index.

• Proposals must be received no later than 5:00 PM ET on November 18, 2026. This notice was prepared by Sharon Lukacs, Executive Director, New York State Deferred Compensation Board, 1450 Western Ave., Suite 103, Albany, NY 12203, (518) 473-6619.

PUBLIC NOTICE

New York State and Local Retirement System
Unclaimed Amounts Payable to Beneficiaries

Pursuant to the Retirement and Social Security Law, the New York State and Local Retirement System hereby gives public notice of the amounts payable to beneficiaries.

The State Comptroller, pursuant to Sections 109(a) and 409(a) of the Retirement and Social Security Law has received, from the New York State and Local Retirement System, a listing of beneficiaries or estates having unclaimed amounts in the Retirement System. A list of names contained in this notice is on file and open to public inspection at the office of the New York State and Local Retirement System located at 110 State St., in the City of Albany, New York.

Set forth below are the names and last known city of record of the beneficiaries and estate appearing from the records of the New York State and Local Retirement System, entitled to the unclaimed benefits.

At the expiration of six months from the date of publication of this list of beneficiaries and estates, unless previously paid to the claimant, the amounts shall be deemed abandoned and place in the pension accumulation fund to be used for the purposes of said fund.

Any amounts so deemed abandoned and transferred to the pension accumulation fund, may be claimed by the executor or administrator of the estates or beneficiaries so designated to receive such amounts, by filing a claim with the State Comptroller. In the event such claim is properly made, the State Comptroller shall pay over to the estates or the person or persons making such claim, the amount without interest.

Beneficiary Name Beneficiary City
Abbey,Estate of Dale L WESTFIELD
ABUELO,Estate of MATTHEW E NEW YORK
Acquaviva,Estate of Margaret DELMAR
Aldrich,Estate of Murray L SCOTTSDALE
Alexander Scott,Judy E LITHONIA
Alexander,Richard A SYRACUSE
Alguero,Vicenta M RIO RANCHO
Alonzo Jr,Malcolm B CORAM
Alonzo,Letischa L M CORAM
Andrews,Estate of Shirley ARGYLE
Anthony Gherghetta,Estate of BUFFALO
Archambault Jr,Paul F WATERFORD
Arnitz,Lynda M WATERTOWN
Audrose M H Banks,Estate of CHICAGO
Austin,Christine COMMACK
Balbalian,Estate of Joseph AMHERST
Bancroft,Estate of Robert A GOWANDA
Barber,Estate of Gladys L ITHACA
Barone,Ruth Ann AMHERST
Barr,Stephen WAPPINGERS FL
Barris Jr,Anibal OCALA
Bates Jr,Robert A GLOVERSVILLE
Baxley,John Henry BERKELEY
Beckwith,Diana P CULPEPER
Beckwith,Johnny A RIVERVIEW
Beckwith,Stephen A BRONX
Behan,Helen R MOORESTOWN
Bell,Rosalind ROCHESTER
Bennett,Diane Susan NEW YORK
Bennett,Holly C GOLETA
Bennett,Jeffrey R FULTON
Berecka,Estate of Andrew C ARLINGTON
Berman,Estate of Michael FAIRFIELD
Bertino,Lorraine Fortune GLEN HEAD
Bertino,Rosemary NOVATO
Bessey,Bonnie L SCHROON LAKE
Bessey,Hunter D SCHROON LAKE
Biamonte,Estate of Julius ISLIP
Biedka,Patricia STROUDSBURG
Bierstine,Estate of Doris JOHNS ISLAND
Birchmeyer,Heidi BUENA VISTA
Bisaccia,Estate of Carol DOWNINGTOWN
Blaauboer,Ronald Peter CASTLETON
Bloom,Estate of Myrna CAPE CORAL
Boardman,Estate of Paula F Clarendon Hills
Bonacci,Estate of Annie C SENECA FALLS
Bonner,Chris PENNELLVILLE
Bono,Estate of Louis Vista
Bonomo,Mary Ann SUMMIT
Boone,Estate of Patricia KENSINGTON

Borfitz,Charles L BELLMORE
Boriat,Christopher PITTSBURGH
Boriat,Mustapha W HEMPSTEAD
Borland,Kenneth CHITTENANGO
Bourgeois,Valerie GREENSBORO
Bowen,Estate of Donna M HOLLEY
Bowie,Christopher CHARLOTTE
Boyce,Margaret BROOKLYN
Boyle,Estate of Dennis SNELLVILLE
Brabant Sr,Charles A BAY SHORE
Bradley,Casey Q ROSWELL
Bradley,Christina ELMER
Bratton,Karen Dill TRINITY
Braven,Estate of Kathleen L DEPEW
Briggs,Sarah S ROME
Brooks,Norman L SUN LAKES
Brown,Estate of Ann ENDICOTT
Brunot,Estate of Mary M TROY
Buffham,Estate of Nancy H MADRID
Bunnell,Mary ELMIRA
Burdo,Estate of Reginald S WEST CHAZY
Burgardt,Jane OAKDALE
Burgardt,Kenneth ANAHEIM
Burgardt,Steven SAYVILLE
Bush,Michael NEW YORK
Byrnes,Estate of Ethel Lorraine FORT PLAIN
Calderon Jr,Eduardo M YONKERS
Calderon,Anthony M BRONX
Calderon,Crystal Diane ROCKAWAY PARK
Calderon,Yvette T HUNTINGTON
Caleb,Estate of Rosalie A YORK
Caltabellotta,Janine M HOLBROOK
Campbell,Estate of Lois J Pepperell
Cardella,Marion EAST SCHODACK
Carey,Estate of Lorraine E WEARE
Carlene M Rossi,Estate Of PAINTED POST
Carol H Blair,Estate of COHOES
Carr Jr,Roger MIDDLETOWN
Carron,Mark BEDFORD
Cashin,Estate of Martin WESTFIELD
Cast,Joshua Defrance NASHUA
Cast,Justin Defrance STONY CREEK
Cast,Lori Levin STONY CREEK
Cast,Tyler G STONY CREEK
Castro,Juan BRENTWOOD
Chaires,Tyrone TRUMANSBURG
Chambers,Estate of Karen A COHOES
Chenevert,Justin HYDE PARK
Chenevert,Tammy L AYNOR
Cherian,Estate of John P SUFFERN
Chevako,Robert J NEW WOODSTOCK
Chiofalo Jr,John V SELDEN
Chiofalo,Michael A COPIAGUE
Chiofalo,Robert P WEST BABYLON
Chiofalo,Thomas E WEST BABYLON
Choi,Eirene COLUMBIA
Ciappa,Estate of Angela HOUSTON
Ciccimarro,Sandra Beatrice GREENVILLE
Cicconi,James EAST DURHAM
Cicconi,Robert POTTSVILLE
Cincotta,Daniel BOYNTON BEACH
Cincotta,John A ORANGEBURG
Clapp,Robyn REYNOLDSBURG
Clark,Estate of Ethel MANHASSET
Clark,Estate of Sharon CORNING
Clark,Rhonda L W FARMINGTON
Clark,Samuel R HOUGHTON
Cleopatra G Kimble,Estate of VIRGINIA BCH
Clidas,Estate of Ruth HUNTINGTN STA
Coleman,Envy BLASDELL
Comstock,Kaitlin HUDSON FALLS
Comstock,Kenneth Lee HUDSON FALLS
Cook,Donell M WATERVLIET
Cooleen,Terri Ann ROCKVILLE CTR
Cooleen,Thomas F Jr FRANKLIN SQ
Cooleen,Timothy M FREDONIA
Cooleen,Tracey WINSTON SALEM
Coots,Delani M BATH
Coots,Kari A BATH
Copeland,Estate of Margaret R NORTHBOROUGH
Corbett,Colleen V BRONXVILLE
Corbett,Meghan L YONKERS
Corcoran,Barry T PEEKSKILL
Corcoran,Maureen FLORAL CITY
Costello,James E RAVENA
Coyne,Marie R ROCKVILLE CENTRE
Coyne,Michael J LINDENHURST
Crawford,Clara M GOSHEN
Curtin,John P ENDICOTT
Curtis,Kenneth MARLBORO
Cutbill,Ann NEW YORK
Cutbill,Kara LELAND
Czerkies,Robert A CHADWICKS
Dahlbeck,Craig DINWIDDIE
Dain,Michael V BROOKLYN
Damiano,Daniel CORAM
Damiano,Fred SIDNEY CENTER
Daniels,Lisa STERLING
Dashnaw,Estate of Charlotte SARANAC
Dashner,Melissa A WINGDALE
Davis,Estate of Kenneth CHATHAM
Davis,Estate of Kenneth J DALLAS
Davison,Estate of Georgia G SARANAC LAKE
Dawson,Renee' WOODBRIDGE
De Vorchik,Julie M EAST AMHERST
DeBoer,Estate of Joanne R NORTH PORT
Decarlo,Mackenzie M ALBION
Decker Dotey,Loretta A DELANCEY
DeFreitas,Keith B ARVERNE
Degennaro,Janet TOMS RIVER
Del Monte,Susan C ALBANY
DeMay,Estate of Jessie PALMYRA
Dent,David WILMINGTON
Depalma,Estate of Carol POUGHKEEPSIE
Depaola,Joseph P NEW CITY
Derby,Joanne MATTHEWS
Dermody,Estate of Judith HANNIBAL
DeRoo,Estate of Hunter LYONS

Deserio,Estate of Roma SARASOTA
Dezendorf,Nicole Marie HUNTINGTON
Dezendorf,Robert Charles BROOKLYN
Diamond,Estate of Michael C ROCHESTER
Diamonti,Brian J CHESTERBROOK
Diamonti,Christine Marie CANAAN
Dill,James L BUFFALO
Dingman,Kevin C ONTARIO
Dini,Thomas ROCHESTER
Dioguardi,Lucia ROCHESTER
Doherty,Shelley NEW FAIRFIELD
DONNA MATZEN,ESTATE OF LYNBROOK
Donovan,Heidi Ann SIMPSONVILLE
Donovan,James J MORRISVILLE
Drain,Allen BROOKLYN
Drumm,Estate of Henry BUFFALO
Drumm,Timothy BUFFALO
Due,Estate of Carol FREWSBURG
Dugal,Estate of Joseph A BELLEROSE
Dunn,Estate of Elloise M KIRKVILLE
Duswalt,Estate of Richard W LAKE GEORGE
Duwe,Carrie Marie KEMPNER
Elaine Osbourne,Estate Of WASHINGTON
Eleanor Dewey,Estate Of CLARKSVILLE
Elinor Bernacki,Estate Of LOCKPORT
Ellis,Estate of Mary Jane QUEENSBURY
Elston,Carol PORT JERVIS
Ely,Estate of Beverly J STARR
Engelhart,Allene E
Engelhart,Dale A BOWMANSVILLE
EUBANKS,ROBERT LANSING
Evans,Gwendolyn ROCHESTER
Facelle Jr,Estate of Thomas SUFFERN
Fallon,James J NEW YORK
Farris,Kori Lynn ALBANY
Feldman,Leonard C FREEPORT
Fenner,Estate of Florence YORKTOWN HTS
Ferraro,Estate of Doris PEEKSKILL
Finch,Estate of Ila M Greensboro
Fingerman,Ian FAIRFAX
Finkelstein,Cindy ROCKVILLE CTR
Finnerty Jr,Francis A BROOKLYN
Foote,Kevin M BRONXVILLE
Ford,Estate of Rose YONKERS
Fortin,Hwa Soon SELDEN
Foster Jr,James W SOUTHBOROUGH
FOSTER,JEFFREY ROME
Fraser Sr,Estate of Evan M HIGH FALLS
Frawley,Carol CUMMING
Freitas,Estate of Emily ELMIRA
Frey,Estate of Harold CLARENCE CTR
Gaboriault,Leonard A ADDISON
Gaines,Zytasia Q JACKSONVILLE
“Gambichler,Estate of Beatrice” PUTNAM VALLEY
Gardner,Michael SHOKAN
Garner,Estate of Alice H TONAWANDA
Garner,William E TONAWANDA
Gentile,Estate of Mark FULTON
George,Thomas Robert SHERRILLS FRD
Geraldine Labar,Estate of Potsdam
Gertsch,Linda STATEN ISLAND
Gihr,Carol A PINE BUSH
Gilbert,Lara ADAMS
Gill,Michelle VESTAL
Gillerson,Estate of Terry L SPRINGFIELD
Giordanella,Estate of John G New York
Giulianelli,Patricia MELBOURNE
Givan,Estate of Carmen MOHEGAN LAKE
Givan,Gerald MOHEGAN LAKE
Givan,Michael FARMINGDALE
Glasgow,Estate of Edna JACKSONVILLE
Gofgosky,Estate of Patricia CINCINNATUS
Goldstein,Betty BROOKLYN
Gongora,Geraldine AUSTIN
Gopel,Daniel NARROWSBURG
Gorton,Kenneth WALDEN
Grace Coston,Estate of KINGSTON
Graff,John T TONAWANDA
Graham,Estate of Mary Ann VALLEY VLG
Graham,Richard W MORRISVILLE
Graham,William Bruce PEEKSKILL
Grayson,Estate of Hannah L BRIARWOOD
Grayson,Ronald L FOREST HILLS
Greely,James C GLOUCESTER
Green,Estate of Margaret M RALEIGH
Guay,Estate of Virginia M STATE COLLEGE
Hagerman,Lauren VERO BEACH
Hale,Shayna N BROOKLYN
Hamilton,Anthony MOHEGAN LAKE
Hamilton,Charles YONKERS
Hamilton,Estate of Muriel R YONKERS
Hamilton,Victoria MORTON
Hammel,Nancy L LAWRENCE
Hannah Jr,Thomas E ELMIRA
Hargis,Estate of Richard W DEER PARK
Harley,Denise BROOKLYN
Harold Bobbett,Estate Of AUBURN
Hasin,Estate of Patricia A S HEMPSTEAD
HAUFF,RUDOLPH J KIRKVILLE
Hawkins,Estate of Elinor J N TOPSAIL BCH
Hayes,Estate of Albertina M WINTER HAVEN
Hayes,Estate of Mary Ann EAST SYRACUSE
Henrietta Hanes,Estate Of SANBORN
Henry,Patricia A HOLBROOK
Herington,Estate of Robert VICTOR
Hill,Dana M NORWICH
Hill,Robin NIAGARA FALLS
HILLS,AUDREY H. PALMETTO
Hmura-Smith,Estate of Jeanet BALLSTON LAKE
Hobart,Estate of Dorothy L LIVERPOOL
Holsapple,Kristin GARNERVILLE
Hoo,John Soo FRESH MEADOWS
Hough,James BATAVIA
Hough,Mark BATAVIA
HOYTE,THOMAS H ROME
Hruza,James P ISSAQUAH
Hrycak,Estate of Joanne VALLEY STREAM
Hubbard,LeeAnn NAPANOCH

Hubbard,Paul H NAPANOCH
Hueter,Karlene E KINGS PARK
Hughes,Allison C GLENS FALLS
Hughes,Jaclyn E PEARL RIVER
Hull,Estate of Dawn M OXFORD
Hulstrunk,Estate of Alfred NASHVILLE
Humbert,Anne MARTVILLE
Hunt-Furtado,Eliza P HOPEDALE
Hunt,Cheyenne ALDEN
Hunt,Estate of Leona V EARLVILLE
Hurley,Dennis J GILBOA
Hurley,Estate of Barbara TRENTON
Iadevia,Estate of Marie MASSAPEQUA
Inge,Barbara JESUP
INTRCBARTOLO,ANTHONY WARRENTON
Irwin,Estate of Theresa D DANBURY
James F Gibbs,Estate of SHELTER IS
Jean M Abbott,Estate of JAMESTOWN
Joan D Czaplyski,Estate Of SMITHTOWN
Joan M Timerman,Estate Of VERGENNES
Joan Teigue,Estate Of SAVANNAH
JOANNE ROSS,ESTATE OF BRONX
John,Estate of Rachel NEW HYDE PARK
Johnson,Anita HAGERSTOWN
Johnson,Joseph D SYRACUSE
Johnson,Julie A SARATOGA SPGS
Johnson,Seth JESUP
Jones,Estate of Daniel SHELDON
Jones,Jason Mishawn OSSINING
Jones,Thomas M ROCHESTER
Joseph,Elma K SLOATSBURG
Julian,Tamara J STILLWATER
Karin E Parsons,Estate of DUNKIRK
Kathaleen Dailey,Estate of COOPERSTOWN
Keating,Estate of Joan F WHITESBORO
Keays,Estate of Ronald GREENWICH
Keidel,Estate of Wilma PEARL RIVER
Kellerhouse,Estate of Blanche ALTAMONT
Kelley,William J ITHACA
Kellner,Ken RICHMOND
Kelly,Lila IDAHO FALLS
Kent,Kenneth BUFFALO
King,Mary GRANVILLE
Kinner Jr,Leighton C ROCK STREAM
Kinner,Peggy SYRACUSE
Kirk Jr,Ronald E BLASDELL
Kirk,Kendell R BLASDELL
Kirkland,Theodore BUFFALO
Konst,Estate of Nicholas GRAND ISLAND
Koonce,James T ALBANY
Korb,Terri J GREENWICH
Kosovych,Anna CORTLANDT MNR
Kotasek,Estate of Thomas J ENDICOTT
Kotash,Estate of Sharon L SLEEPY HOLLOW
Kotey,Nasiru WATERVLIET
Koziol,Dennis LACKAWANNA
Kozloski,Joseph CAMILLUS
Kozub,Timothy IRVING
Krantz,Thomas BUFFALO
Kunz,Estate of Sharon NESCONSET
La Fargue,Camille BETHEL
Lachenauer,Raymond R NEW YORK
Lamothe,Valerie ORLANDO
Larkin,Josalynn A BUFFALO
Larroque,Richard ELMA
Larson,Christine ST AUGUSTINE
Larson,Fran PINE BUSH
Larson,Laurie ST AUGUSTINE
Laufer Jr,Arthur J WEST ISLIP
Lawlor,Teresa C ST PETERSBURG
Leclaire,Douglas BROOKLYN
Leclaire,Greg CHARLOTTE
Lethbridge Jr,Estate of Frederick P QUEENSBURY
Levanites,Estate of Kurt M WATERVLIET
Linacre,Estate of Donald ALBANY
Lindstead,Estate of Billie MILFORD
Lopez,Russell T LEESBURG
Lorenc,Maria TROY
Lubey,Kathleen A EAST SETAUKET
Lucas Jr,Robert John BUFFALO
Lucey,Daniel C GETZVILLE
Luck,Estate of Sherman BILLERICA
Lugo,Lynne N TONAWANDA
Lynch,Estate of Josephine W PUTNAM VALLEY
Mack,Deborah MONTICELLO
MacLean,Hope C OSWEGO
Madeline J Colasacco,Estate of YONKERS
Madero,Maria Pia POUGHKEEPSIE
Malcolm,Estate of David P GASPORT
Mallette,Christine L BUFFALO
Mallon,Estate of Marie HAMBURG
Mandrino,Estate of John FARMINGTON
Manfredi,Elizabeth UNIVERSITY PK
Manico Jr,William Matthew EAST MEADOW
Mann,Suzette MIDDLEBURGH
Manning,Estate of Lester H OAK ISLAND
Manzolillo,April FRANKLIN SQ
Marchese,Eugene WEST BABYLON
Marcinkowski,Estate of James DEPEW
Margery Falk,Estate of Huston
Maribeth V Asuncion,Estate of REGO PARK
Marilyn P Pedersen,Estate Of JAMESTOWN
Marino,Bessie A HILTON
Marino,Margaret A SCOTIA
MARSHALL,LYNNETTE CENTRAL NYACK
Mary L Smith,Estate Of CONWAY
Mayo,Lashelly HOUSTON
Mazza,Estate of Louis RIDGE
Mazza,Nicholas SYRACUSE
Mc Court,Michelle RIDGEFIELD
Mc Garr,Daniel GLENS FALLS
Mc Garvey,Thomas F BUFFALO
Mc Lachlan,Janice M BOHEMIA
McAllister,Caroline ROCHESTER
Mccarthy,Leslie A CHARLOTTESVLE
Mcewen,Estate of Freeman L GUELPH
McGiveron,Paul ORCHARD PARK
McKay,Michelle Barbara SALISBURY

McKeon,Michael G ELIZABETH CTY
Meade,Alan L ROTTERDAM
Mellema,Kevin M ROCHESTER
Mendez,Estate of Emilio BOQUERON
Mendez,Jason F MIDDLETOWN
Mendez,Michelle NEWBURGH
Menoff,Estate of Regina R NEW YORK
Miglioratti,Donald J ROCHESTER
Miglioratti,Jason J HENRIETTA
Mika,Estate of Patricia M TONAWANDA
Mildred Lavalle,Estate Of WYOMISSING
Miller,Carl BROOKLYN
Miller,David L TAMPA
Miller,Dawn NEW PALTZ
Minotti,Raymond Anthony ELMIRA
Miserendino,Beverly ORCHARD PARK
Mitchell,Estate of Edwin BOLIVAR
Mittelstadt,Eric E CASTLE ROCK
Mittelstadt,Matthew O DAYTONA BEACH
Moculeski,Stephen BAY SHORE
Moloney,Brian C ST PETERSBURG
Moloney,Jacqueline M ST PETERSBURG
Monaco,Estate of Helen LIVERPOOL
Mones,Lesli PORTLAND
Montaluo-Vargas,Miguel A NEW WINDSOR
Montalvo,Juan BRONX
Monte,James J FAIRPORT
Mooney,Estate of Elizabeth E ADAMS CENTER
Moore,Estate of Anne K LIVERPOOL
Moore,Estate of Freddie A BRANDON
Morales,Peter A BROOKLYN
Morgan,Kyle John MEDINA
Morgan,MaryLou WHITE PLAINS
Morris,Irene FARMINGDALE
Mortensen,Estate of Virginia WAXHAW
Morton,Alan J GREAT VALLEY
Morton,Bernadette MATAWAN
Mosher,Claudette PLEASANT VLY
Moskal,Estate of Eleanore M Marcy
Moyer,Zachary M ITHACA
Muir,Estate of Donald A FAYETTEVILLE
Mulvey,Christine BERLIN
Mulvey,Donald BERLIN
Mulvey,John A JUPITER
Mulvey,William F BOHEMIA
Mundy Jr.,Donald R ONEONTA
Muntz,Shelly Jean OAKFIELD
Murawski,Estate of Eleanor S UTICA
Murphy,Aaron F OSWEGO
Murphy,Michael H MANLIUS
Murphy,R Ryan ITHACA
Murray,Brandon F MIDDLETOWN
Myers,Jessica SCHENECTADY
Namlik,Estate of Eileen J GOWANDA
Nasca,Scott TUCSON
Nester,Veronica S HOPEWELL JCT
Nicholson,Estate of Virginia BROOKSVILLE
Nicosia,David AMSTERDAM
NICOTERA,LEO R BALLSTON SPA
Nina Trifiletti,Estate of RYE BROOK
NOONAN,ERIC JOHNSTOWN
Noonan,Sherryll R COLORADO SPGS
Norton,Estate of Samuel GREENBACK
Nydegger,Estate of Susan I HAMPTON BAYS
O'Brien,Linda A ALBANY
O'Brien,Mary BRONX
O'Hare,Estate of Richard FORKED RIVER
Oliver,Andru CICERO
Oliver,Jaxon CICERO
Oliveri,Giovanni J LUDOWICI
Olmo,Derrick BAY SHORE
Olmstead,Estate of Doris DERBY
Ottman,Estate of Florence JOHNSON CITY
Page,Estate of Irene MIDDLETOWN
Palmer Mudrick,Martha DOVER
Palmer,Ellen BRONX
Pantaleoni,Elisabeth N MOUNT VERNON
PARISH,WILLIAM STOCKTON
Parrish,Estate of Dorothy M Clarence
Pascarella,Estate of Michael J WATERLOO
Pastore,Melissa G BUFFALO
Pedersen,Estate of Virginia SOMERS
Peebles,Joshua B BROOKLYN
Perardi,Natalie A AUSTIN
Perry,Estate of Ronald R ELLENBURG DEP
Petrocelli,Dino A ALBANY
Pfiffer,William C Jr HORSEHEADS
Pinkos,Kathleen NEW YORK MLS
Pinto,Anthony Fx PHOENIX
Pistilli,Marianne NEW YORK
Poehlman,Estate of Patricia WATERPORT
Pokress,David L FARMINGDALE
Pokress,Robert L ANDOVER
Politowski,Estate of Albert J HOBOKEN
Poluzzi,Estate of Jessie RIDGEFIELD
Pontore,Lynn M. WATERFORD
Porretto,Estate of Joyce A NEW ROCHELLE
Potter,Estate of Florence M SAFETY HARBOR
Powell,Estate of Donald L WATERTOWN
Powers-Phaneuf,Terry A PLATTSBURGH
Presutti,Estate of Donald R NEW WINDSOR
Proper,Estate of Lucy CHERRY VALLEY
Purcell,Estate of Virginia RIVERHEAD
Purdy,Estate of Carol M Purchase
Quackenbush,Janice A SAN FRANCISCO
RABINOWITZ,JESSIE PORTLAND
Radke,Larisa D SPRING LAKE
Rafferty,Barbara R ERIE
Rafferty,Joseph D ITHACA
Raftery,Estate of William RIVER VALE
Ramos,Estate of Tomas OSWEGO
Raymond,Ann Marie PENSACOLA
Reasor,Estate of Eugene F Watkins Glen
Reed,Estate of Doris FOXBORO
Reed,Estate of Virginia A JOHNSON CITY
Regula,Irene Jean MEDFORD
Reinhardt,Estate of Rosalyn HICKORY
Reinig,Estate of Helen LANCASTER

Renahan,Nancy AUBURN
Reo,Andrea E FUQUAY VARINA
Restifo,Estate of Susan SCHENECTADY
Richards,Estate of Eleanor E N TONAWANDA
Richardson,Roxanne ROCHESTER
Rickard,Robert G WEBSTER
RILEY JR,HERBERT M ESSEX JCT
Robbins,Gary E DENVER
Robbins,Scott WESTFIELD
Roberts Sr,Ronald COHOES
Roberts,Gary WATERVLIET
Roberts,Richard COHOES
Robertson,Aldretta BUFFALO
Robitaille,Estate of Dorothy G BERKELEY HTS
Roden,Estate of Audrey PHOENIX
Rodgers,James KILLEEN
Rodriguez Jr,Peter PRT JEFFERSON
Roger W Wheeler Sr,Estate Of SOMERSET
Rogers,Deborah WINTER HAVEN
Rogers,Joseph M LONGMONT
Rooney,Estate of Mary BETHESDA
Rose,Enrique A MIDDLETOWN
Rosemary Hackett,Estate of NEWFIELD
Roy,Aaron M MECHANICVILLE
Roy,Joshua M WATERFORD
Ruccolo,Estate of Michele M JENSEN BEACH
Rudy,Estate of Helen G MIDDLETOWN
Rupert,Estate of Oleah MOBILE
Ruth M Johnson,Estate of ISLANDIA
Ruthman,Megen M SLINGERLANDS
Rutigliano,James WALLKILL
Rutigliano,Patricia FAIRFIELD
Ryan,Nannette BROCKPORT
Saccone,Wendy SAINT PAUL
Safford,Estate of Betty SCHENECTADY
Samples,Desdini J S MARTINSBURG
Samuel Kaplan,Estate Of FAIRFIELD
Sanders,Karen M CHAPEL HILL
Santos,Leonardo S MURRIETA
Sarno Jr,Joseph W DALLAS
Sarno,Christopher M STOW
Sarno,Kristen A BREWERTON
Schabot,Estate of William SIMPSONVILLE
Schalk,Estate of Joseph L GREENE
Schlehr,Estate of Kathleen MAINEVILLE
Schlehr,Paul J LAKE VIEW
Schmidt,Estate of Mary Jo T HOBOKEN
Schmidt,Jake DOTHAN
Schneider,Estate of Ellen BREWSTER
Schrader,Michael D LAKEWOOD RCH
Schram,Patricia LYONS
Schultz,Paul J BUFFALO
Scibilia Jr,James LEVITTOWN
Searles,Estate of James RINCON
Seaton,Ronald LEXINGTON
Seeley,Estate of Terry R FORT EDWARD
Seeley,Howard K SEVERN
Semsei,Elisabeth NEW YORK
Seward,Eric P DEPEW
Shaper,Richard E BALTIMORE
Sharkey,Estate of Jack EAST ROCKAWAY
Sharkey,Michael EAST ROCKAWAY
Shelton,Danny BRONX
Shepard Jr,David Jason PINE PLAINS
Shepard Jr,Donald Eugene MILLERTON
Shook,Estate of Helen S BURLINGTON
Siee,Kirk M RIDGEWOOD
Siee,Michelle L WINSTON SALEM
Siee,Scott R ELIZABETH CTY
Siele,Estate of Rosalie Crouse
Sikorski,Jodi Lynn MOYOCK
Simpson,Erin Patricia MATAMORAS
Sims,Trina WALTERBORO
Siuta,Estate of Bernadette M UTICA
Slutzker,Estate of Mitchell TORRANCE
Smith,Catheleen E DENVER
Smith,Estate of June A INTERLAKEN
Smith,Joseph W GLEN SPEY
Snyder,Estate of Daniel L LODI
Sokol,Carolann NORTH BABYLON
Sookdeo,Phyllis STONY POINT
Soper,Jenny M RALEIGH
Soto,Valerie A LIVERPOOL
Speare,Anthony G HYDE PARK
Speare,Carl D NORTH HAVEN
Speir,Estate of Susan FORESTVILLE
Spellicy,Estate of Virginia A BINGHAMTON
Spencer,Casey BLISS
Spitz,Gary LONG BEACH
St Clair,Estate of Helen E GREENWICH
Stark,Brian ELMIRA
Stark,Debra ROSWELL
Stark,Estate of Lewis ROSWELL
Steinhardt,Estate of George BREWSTER
Steltzer,Bruce A EAGLE BAY
Steltzer,Paul C GENESEO
Stenson,Estate of Kai SYRACUSE
Stigletz,Linda L LONG BEACH
Stirling,Allan L ROME
Stockdale,Estate of Daniel PLATTSBURGH
Stracher,Samuel LAKE WORTH
Straight,Howard OSWEGO
Strong,Estate of Kenneth N TONAWANDA
Stuart,Arthur JAMAICA
Stuckey,Estate of Barbara LIVONIA
Sullivan,Estate of Elizabeth T Wurtsboro
Sullivan,Matthew Jon BEDFORD
Suros,Estate of Oscar COLTS NECK
Swaney Jr,Philip J ODESSA
Swaney,Courtney B ST PETERSBURG
Swaney,John A ALPINE
Swearingen,Katherine LOCKPORT
Swearingen,Lawrence FARGO
Swinton,Lance OAKLAND GDNS
Szel,Estate of Veronica ELMONT
Takegami,Tsune RIDGE
Tanner,Jennifer STORRS MANFLD
Taormina Jr,John CORAM

Taube,Michele P BAY SHORE
Taylor,Alinda LACKAWANNA
Taylor,Estate of Janet LOCKPORT
Tellis,Estate of Priscilla SAN ANTONIO
Temple Jr,Bruce ROSENDALE
Temple,Curtis TILLSON
Thompson,Geanine D DURHAM
Thrasher - Gutierrez,Deborah A. TROY
Throm,Martin Carl ROANOKE
Tibbs,Herman E UNIONDALE
Timerman,Robert W PORTSMOUTH
Tinker,Estate of Joanne G VIRGINIA BCH
Tirado,Brian M WEST COVINA
Towers,Victoria L BLACK MTN
Tranello,Estate of Lawrence DELTA
Trotta,Nancy L ESTERO
Tuohy,Dorothy STATEN ISLAND
Turner,Darlene CROSS JCT
Turner,Estate of Henry STAMFORD
Turner,Jonathan W CANTON
Tyler,Estate of Cheryl BUFFALO
Tyler,Estate of Dorothy SODUS
Tyner,Estate of Will CAMERON
Urban,Nancy CENTRAL ISLIP
Valenti,Estate of Barbara A NAPLES
Valenzuela,Ana Victoria YONKERS
Valenzuela,Rebecca YONKERS
Valenzuela,Victor LOS ANGELES
Valicko,Joseph NORTH BABYLON
Van Ness,Tracy BAYPORT
Vanderwerff,Maureen WAPPINGERS FL
Vargjese,Sherryl E BAYSIDE HILLS
Vaughan Disomma,Estate of Elizabeth HOPEWELL JCT
Vaughn,Billy Eric LOS ANGELES
Veeder,Tanya L GENEVA
Velazquez,Dorothy A STATEN ISLAND
Ver Straete,Alan G ST AUGUSTINE
Ver Straete,David C PHELPS
Veress,Estate of Frank REDDING
Vilma Wieners,Estate of WALDEN
Voigt,Kenneth Christian SHELTER ISLAND
Wade,Estate of Elsie ENDICOTT
Wagner,Estate of Gera;d CLARENCE
Waite,Dale Jeffrey OAKFIELD
Waite,Thomas Albert LOWMAN
Walker Lee,Barbara MONROE
Wallach,Estate of Thelma B HOUSATONIC
Walls,Michael S PINE BUSH
Walpole,Benjamin MURRIETA
Walsh,Brian E NEWBURGH
Walsh,Gary E PALM COAST
Walsh,Michael E CLIFTON
Watson,Estate of Patricia Mae HAMBURG
Weber,Estate of Joseph M MOUNT SINAI
Welsh,Estate of Jacqueline R GLENVILLE
Welsh,Joelle ANCHORAGE
White,Estate of Edith NAPANOCH
Wieners,Francis PEARL RIVER
Wilgocki,Ashley M SCHENECTADY
Wilgocki,Matthew D SCHENECTADY
Wilgocki,Matthew E SCHENECTADY
Wilgocki,Megan M SCHENECTADY
Willard,Noni BRONX
Williams Jr,William E COLBERT
Williams,Brandi ROCHESTER
Williams,Christopher ASHLAND
Williams,Michelle Lynn MACUNGIE
Wilson-LaFond,Jasmine BROOKLYN
Wilson,Estate of Frank K LAFAYETTE
Wingate,James A FAIRPORT
Winslow,Sheila M SHELTON
Winter,Cheryl Lynn ARCADE
Winter,Richard GETZVILLE
Winter,Ronald LOCKPORT
Wolf,Sarane J WOODBURY
Wood,Donna M PARISH
Woodmancy,Estate of Eva M Bradford
Wooten,Lori MOSELEY
Yager,David J FORT WORTH
Yager,Estate of Mary DELMAR
Young,Barbara Ann Austin ATHENS
Young,Troy D BATH
Zajac,Sharon GLOUCESTER
Zegibe,Mary Giehl NEW HARTFORD
Zeller,Donna R BUFFALO
Ziegler,Mary J BUFFALO
Zipp,Estate of Jeanne M ORCHARD PARK
Zupan,Joseph MODENA

PUBLIC NOTICE

Department of State
F-2026-0498
Date of Issuance – October 21, 2026

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2026-0498, Richard Rossmassler is proposing to replace an existing 4'x30' dock with a new 6'x50' fixed dock with a 6'x40' dock at the terminus and running parallel to the shoreline creating an 'L' shaped dock with side staving; dredge approximately 30'x50'x3' deep (167 CY).

225 Mercier Ave., Village of Clayton, Jefferson County, St. Lawrence River.

The stated purpose of the proposed action is to construct a new dock system and dredge the new dockage area to improve water dependent use of the property.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/f-2026-0498 or at https://dos.ny.gov/public-notices

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s): St. Lawrence River Shoreline Bays State Designated Significant Coastal Fish and Wildlife Habitat and the Town and Village of Clayton Local Waterfront Revitalization Program:

https://dos.ny.gov/system/files/documents/2023/10/town_village_clayton_lwrp_full_final_compressed.pdf

https://dos.ny.gov/system/files/documents/2021/05/stlawrence_river_shoreline_bays.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or November 20, 2026.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2026-0534
Date of Issuance – October 21, 2026

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2026-0534, New York City Department of Parks and Recreation, is proposing a sediment placement salt marsh restoration project near the JFK Landing Lights in Idlewild Park. This project will stabilize existing low salt marsh along the marsh edge by increasing the elevation of the marsh platform and placing coir logs to stabilize the restored marsh. To achieve the desired elevations, sediment, consisting of clean sand and/or compost, will be placed onto the restoration site. Sediment placement will occur across a total of 7.02 acres and will be performed between October 1 and March 31, outside of the breeding bird season and tidal wetlands peak growing season. Native marsh vegetation plantings will be completed by hand following sediment placement. Located at 230-11 Rockaway Blvd, Jamaica, NY, 11413 in Idlewood Preserve, New York City, Queens County.

The stated purpose of the proposed action is "to raise the elevation of the tidal wetland to make it more resilient to inundation and erosion; enhance habitat for salt marsh breeding bird species; and expand our knowledge to create more effective restoration techniques for breeding habitat."

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/f-2026-0534(a).pdf and https://dos.ny.gov/f-2026-0534(b).pdf; or at https://dos.ny.gov/public-notices

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or November 20, 2026.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2026-0535
Date of Issuance – October 21, 2026

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2026-0535, Tilcon New York Inc. is proposing to demolish and reconstruct approximately 129 feet of the existing relieving platform. This includes removing fill and deteriorated structural components, cutting existing timber piles at the mudline, installing new steel pipe piles and an upland steel sheet-pile bulkhead, constructing a replacement concrete relieving platform and associated structural components.

Clinton Point Quarry, 461 Sheafe Road, New Hamburg, Town of Poughkeepsie, Dutchess County, Hudson River.

The stated purpose of the proposed action is to repair and stabilize a failed portion of the Clinton Point Quarry Main Dock along the Hudson River in New Hamburg, New York. The northern portion of the Main Dock experienced a structural failure involving the partial collapse of the relieving platform north of the former J-Belt conveyor structure.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/f-2026-0535 or at https://dos.ny.gov/public-notices

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s): Kingston-Poughkeepsie Deepwater State Designated Significant Coastal Fish and Wildlife Habitat and the Town of Poughkeepsie Local Waterfront Revitalization Program:

https://dos.ny.gov/system/files/documents/2020/03/kingston_poughkeepsie_deepwater_final_reduced.pdf

https://dos.ny.gov/system/files/documents/2019/05/town-poughkeepsie-1999.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or November 20, 2026.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2026-0536
Date of Issuance – October 21, 2026

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2026-0536, New York City Department of Parks and Recre-

ation, is proposing coastal habitat restoration and small amenities construction on approximately 3.5 acres of land owned and operated by NYC Parks including approximately 1.9 acres of tidal wetland and 1.6 acres of coastal upland habitat within the wetland adjacent area. The proposed project seeks to rectify historical disturbances to wetland and tidal hydrology by removing 4,600 cubic yards of fill material to gradually grade and expand tidal wetlands and upland habitat along Hawtree Creek. Proposed work includes removing remaining fill and debris on the site, over-excavating fill material, placing clean sand to provide a suitable planting medium, grading to tidal elevations, and planting tidal wetland vegetation. Located at 102nd Street, Howard Beach, NY, 11414 on Hawtree Creek, New York City, Queens County.

The stated purpose of the proposed action is to "restore tidal wetland habitat along the shores of Hawtree Creek; remove fill, concrete, and other debris, and restore hydrology throughout the site."

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/f-2026-0536(a).pdf, https://dos.ny.gov/f-2026-0536(b).pdf, and https://dos.ny.gov/f-2026-0536(c).pdf; or at https://dos.ny.gov/public-notices

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or November 20, 2026.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2026-0538
Date of Issuance – October 21, 2026

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2026-0538, City of Yonkers is proposing to remove the existing 4'x90' gangway and 60'x40' police barge and install a new 6'x60' gangway extending south from the existing Yonkers Pier to a 10'x40' landing dock with (2) 24″ hollow anchor piles, 12'x72' dock oriented east-west with (4) 24″ central hollow anchor piles and (3) 4.25'x50' finger piers oriented north-south with (3) 24″ hollow anchor piles at the southern terminus. The western terminus of the dock connects to a 12'x300' dock with a wave attenuator with (11) 36″ hollow anchor piles. West of the Yonkers Pier, City of Yonkers, Westchester County, Hudson River.

The stated purpose of the proposed action is to provide transient vessels access to the Yonkers Pier and upland area and provide docking for Yonkers Fire and Police Departments to supplement other berthing available throughout the city.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/f-2026-0538 or at https://dos.ny.gov/public-notices

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area: Lower Hudson Reach State Designated Significant Coastal Fish and Wildlife Habitat:

https://dos.ny.gov/system/files/documents/2020/03/lower_hudson_reach.pdf

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or November 20, 2026.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.