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DEPARTMENT OF STATE
Division of Administrative Rules

NEW YORK STATE REGISTER

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The last date for submission of public comments is indicated on each Notice of Proposed Rule Making. Unless a different date is specified by statute, the proposing agency must accept comments for at least: 60 days after the date of *Register* publication of a Notice of Proposed Rule Making or combined Notice of Emergency Adoption and Proposed Rule Making; and 45 days after publication of a Notice of Revised Rule Making or combined Notice of Emergency Adoption and Revised Rule Making. When a public hearing on a proposed rule is statutorily required: the hearing may not be held until at least 60 days after the publication date of the notice; and comments must be accepted for at least 5 days after the last required hearing. When a public comment period for a proposed rule is scheduled to end on a Saturday, Sunday or public holiday, comments are accepted through the next succeeding business day.

For notices published in this issue:
– the 60-day period expires on January 3, 2027
– the 45-day period expires on December 19, 2026

KATHY HOCHUL
GOVERNOR

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NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE
REGISTER

Be a part of the rule making process!

Public comment on proposed rules is encouraged and may be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address is printed in the rule making notice. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (dos.ny.gov/state-register) may send public comment via electronic mail to e-mail addresses that may be provided in Notices of Proposed Rule Making. This includes Proposed, Emergency/Proposed, Revised Proposed and Emergency/Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The State Administrative Procedure Act provides for a minimum 60-day public comment period after publication in the *Register* for Notices of Proposed Rule Making, and a 45-day public comment period for Notices of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date of the public comment period.

When a public comment period would end on a Saturday or Sunday, the agency accepts public comment through the following Monday; when the comment period ends on a public holiday, public comment will be accepted through the next succeeding business day. Agencies cannot adopt a proposed rule until the day after the conclusion of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-2731

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NYS Department of State
One Commerce Plaza
99 Washington Avenue
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Albany, NY 12231-0001
Telephone: (518) 474-6957

KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Public comment may be sent via electronic mail to e-mail addresses that may appear in Notices of Proposed Rule Making. This includes Proposed, Emergency/Proposed, Revised Proposed and Emergency/Revised Proposed rule makings.

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AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Office of Children and Family Services

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Facility and Staff Requirements in School Age Child Care and Child Day Care Center Programs

I.D. No. CFS-44-26-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Parts 413, 414 and Subpart 418-1 of Title 18 NYCRR.

Statutory authority: Social Services Law, sections 20(3)(d) and 390(2-a)(a)

Subject: Facility and staff requirements in school age child care and child day care center programs.

Purpose: To provide regulatory flexibility for school age child care and child day care center programs.

Substance of proposed rule (Full text is posted at the following State website:https://ocfs.ny.gov/main/legal/regulatory/pc/): The New York State Office of Children and Family Services (OCFS) proposes amendments to Parts 413 and 414 and Subpart 418-1 of Title 18 of the Official Compilation of Codes, Rules and Regulations of the State of New York (NYCRR) to streamline requirements for school age child care programs located in public schools, remove outdated requirements for school age child care programs, and provide greater flexibility to school age child care programs and child day care centers in finding directors who meet the required qualifications and responsibilities. Specifically, the rule would:

- Exempt school age child care programs that are located in a public school building currently used for elementary, middle or secondary public education program from OCFS building requirements that currently exceed those required for public school buildings and require the program maintain on file the certificate of occupancy or appropriate local equivalent;
- Remove the requirement that a school age child care program has immediate access to a landline telephone and provide that a working mobile phone is sufficient;
- Add a definition for "site supervisor" to mean a person who meets the qualifications of a group teacher and is designated by the director to be present at a program during its hours of operation to perform program supervision and administrative responsibilities at a school age child care program or a child day care center;
- Allow a director of multiple school age child care or child day care center sites to designate a site supervisor to perform supervision of daily program activities to one program location with a capacity less than 45 children;
- Expand the pathways to meet the minimum qualifications for a child day care center director as follows:

o Allow an associate's degree in Early Childhood or related field to be accompanied by six years of documented work experience in a licensed/registered child care program assessed by OCFS; and a plan of study to complete training programs for new directors as specified by OCFS;

o Allow a Child Development Associate Credential or other OCFS-recognized credential to be accompanied by eight years of documented work experience in a licensed/registered child care program assessed by OCFS; and a plan of study to complete training programs for new directors as specified by OCFS;

- Expand the pathways to meet the minimum qualifications for a school age child care program director as follows:

o Allow a School-Age Child Care Credential or other OCFS-recognized credential specific to the school-age developmental period to be accompanied by 10 years of documented work experience in a licensed/registered child care program assessed by OCFS and a plan of study to complete training programs for new directors as specified by OCFS; or

o Allow two years of college with 18 credits in Child Development, Elementary Education, Physical Education, Recreation or related field to be accompanied by 10 years of documented work experience in a licensed/registered child care program assessed by OCFS and a plan of study to complete training programs for new directors as specified by OCFS.

Text of proposed rule and any required statements and analyses may be obtained from: Nicholas Martin, Office of Children and Family Services, 52 Washington Street, Rensselaer, New York 12144, (518) 474-8310, email: regcomments@ocfs.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. Statutory Authority:

Section 20(3)(d) of the SSL authorizes the Office of Children and Family Services (OCFS) to establish rules, regulations, and policies to carry out OCFS's powers and duties under the SSL.

Section 390(2-a)(a) of the SSL requires OCFS to promulgate regulations that establish minimum quality program requirements for licensed and registered child day care programs, including minimum standards for health, buildings and equipment, safety, fire prevention, fire safety, and staff qualifications.

2. Legislative Objectives:

The legislature's purpose in granting OCFS the above authority was to permit OCFS to utilize its expertise to establish appropriate health and safety requirements, including staff qualifications, for licensed and registered child day care programs. This rule, consistent with the legisla-

ture's goals, streamlines requirements for school age child care programs located in public schools, removes an outdated requirement for school age child care programs to have landline phones, and provides greater flexibility for potential directors to meet the qualification criteria in school age child care programs and child day care centers.

3. Needs and Benefits:

School age child care programs located in public schools are subject to the requirements of both OCFS and the New York State Education Department (SED). In some cases, these requirements differ, imposing conflicting requirements depending on whether the children are in a school or child care program. In other cases, school age child care programs located in public schools do not have the authority over the building needed to satisfy OCFS regulatory requirements related to the facility. This rule would exempt school age child care programs located in public school buildings from certain OCFS requirements, so long as the building is used for an elementary, middle, or secondary public education program and the school age child care program maintains on file the certificate of occupancy issued by SED or the appropriate local equivalent. This exemption will benefit child care programs by removing the requirement that they meet both OCFS and SED regulatory requirements, while still requiring the programs maintain the minimum health and safety standards established by SED regulation. This rule would also remove the outdated requirement that school age child care programs have immediate access to a working landline telephone.

This rule would also allow a school age child care program director of multiple sites to designate a site supervisor to be present at one site to provide program supervision. Last, this rule would expand the pathways for directors to meet minimum regulatory qualifications.

4. Costs:

There are no new costs associated with this rule to the State, OCFS, local governments or regulated entities. OCFS anticipates that this rule will result in cost savings to school age child care programs that will no longer need to meet certain requirements and school age child care programs and child day care centers be able to more easily find directors who meet required qualifications and comply with program supervision and administrative requirements. When a site supervisor is designated, the director of a child day care center will be able to oversee more than one location, alleviating programs of the need to hire a director at each location.

5. Local Government Mandates:

There are no local government mandates associated with this rule.

6. Paperwork:

School age child care programs located in public schools would need to maintain on file the certificate of occupancy or appropriate local equivalent to be exempt from requirements under this rule. School age child care programs and child day care centers that opt to have a site supervisor would need to confirm the person meets the qualifications of a group teacher.

7. Duplication:

This rule does not duplicate, overlap, or conflict with any existing State or Federal rules.

8. Alternatives:

OCFS considered having these child care programs submit a waiver request to OCFS but ultimately decided that would have been an administrative burden on the child care programs such that this rule would be the most efficient and beneficial way for programs to continue operations while maintaining health and safety standards.

9. Federal Standards:

This rule is consistent with applicable Federal requirements.

10. Compliance Schedule:

Compliance with the proposed regulations would be required immediately upon publication of the Notice of Adoption in the New York State Register and will permit child care programs to immediately take advantage of the flexibilities permitted by the rule upon adoption.

Regulatory Flexibility Analysis

1. Effect of rule:

There are 2,727 OCFS registered school age child care programs and 2,058 OCFS licensed child day care centers. More than two-thirds of school age child care programs are located in public schools and will be relieved of the responsibility of having to satisfy both OCFS and SED regulatory requirements as provided in this rulemaking. Local governments in New York State will not be affected by this rule unless such an entity also operates a licensed or registered child care program.

2. Compliance requirements:

School age child care programs located in public schools will need to maintain on file the certificate of occupancy or appropriate local equivalent to be exempt from requirements under this rule. School age child care programs and child day care centers that opt to have a site supervisor would need to confirm the person meets the qualifications of a group teacher.

3. Professional services:

Child care programs will not be required to use or employ any additional professional services because of this rule.

4. Compliance costs:

There are no new costs associated with this rule. OCFS anticipates that this rule will result in cost savings to school age child care programs that will no longer need to meet certain requirements and school age child care programs and child day care centers that will be able to more easily find directors who meet required qualifications and comply with program supervision and administrative requirements.

5. Economic and technological feasibility:

There are no new requirements that will impact the economic or technological feasibility of impacted small businesses.

6. Minimizing adverse impact:

OCFS does not anticipate any adverse impact on child care programs. OCFS believes the rule will positively impact school age child care programs and child day care centers by streamlining requirements across State agencies, removing an outdated requirement that school age child care programs have landline phones, and providing greater flexibility in finding directors who meet the required qualifications. By creating the role of "site supervisor" the director of a child day care center can oversee more than one location. OCFS will provide guidance, technical assistance, and outreach to those impacted by this rule.

7. Small business and local government participation:

In accordance with State Administrative Procedure Act 202-b(6), OCFS will notify programs of the proposed regulations through a letter sent to all child care providers. OCFS will also post this information on the OCFS website, including contact information for questions on this rule and the email address to which public comments should be directed. OCFS will host a virtual community conversation session with child care providers to outline the revisions to the regulations. Child care regulators will be trained on these updates enabling them to provide technical assistance to the field.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas:

Under Executive Law section 481(7), rural areas are defined as "counties within New York State having less than two hundred thousand population, and the municipalities, individuals, institutions, communities, programs and such other entities or resources as are found therein. In counties of two hundred thousand or greater population, 'rural areas' means towns with population densities of one hundred fifty persons or less per square mile, and the villages, individuals, institutions, communities, programs and such other entities or resources as are found therein." There are 44 counties in New York State that have populations of less than 200,000 people and 71 towns in non-rural counties where the population densities are less than 150 people per square mile. This rule will apply to school age child care programs and child day care centers in all rural areas of the State.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

School age child care programs located in public schools would need to maintain on file a certificate of occupancy or appropriate local equivalent to be exempt from requirements under this rule.?School age child care programs and child day care centers that opt to have a site supervisor would need to confirm the person meets the qualifications of a group teacher. Child care programs will not need to hire additional professional staff to implement these regulations.

3. Costs:

There are no new compliance costs associated with this rule. OCFS anticipates that this rule will result cost savings for school age child care programs that will no longer need to meet certain requirements and school age child care programs and child day care centers that will be able to more easily meet director qualifications and program supervision and administrative responsibilities.?Additionally, by designating a site supervisor, the director of a child day care center can oversee more than one location.

4. Minimizing adverse impact:

OCFS does not anticipate any adverse impact to rural areas as a result of this rule. OCFS believes the rule will positively impact child care availability in rural areas by streamlining requirements across State agencies, removing an outdated requirement that school age child care programs have landline phones, and providing greater flexibility in finding directors who meet the required qualifications. By creating the role of "site supervisor" the director of a child day care center can oversee more than one location. OCFS will provide guidance, technical assistance, and outreach to those impacted by this rule.

5. Rural area participation:

In accordance with State Administrative Procedure Act 202-bb(7), OCFS will notify programs of the proposed regulations through a dear provider letter. OCFS will also post this information on the OCFS website, including contact information for questions on this rule and the email ad-

dress to which public comments should be directed. OCFS will host a virtual community conversation session with child care providers to outline the revisions to the regulations. Child care regulators will be trained on these updates enabling them to provide technical assistance to the field.

Job Impact Statement

The New York State Office of Children and Family Services (OCFS) has determined that it is apparent from the nature and purpose of the rule that it will not have a substantial adverse impact on jobs and employment opportunities in any region of the State. OCFS anticipates this rule will have a positive impact on employment opportunities by adding the role of "site supervisor" and expanding the pathways for child care center directors to meet the minimum required qualifications.

Department of Civil Service

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-44-26-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of Health, by adding thereto the positions of Manager Information Services (2).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-44-26-00012-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Council on the Arts, by adding thereto the position of Special Assistant.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Rural Area Flexibility Analysis

A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Job Impact Statement

A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-44-26-00013-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Executive Department under the subheading "Gaming Commission," by increasing the number of positions of Deputy Director from 2 to 3.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Regulatory Flexibility Analysis

A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Rural Area Flexibility Analysis
A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Job Impact Statement
A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-44-26-00014-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of Civil Service, by adding thereto the position of Assistant Director Public Information.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement
A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Regulatory Flexibility Analysis
A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Rural Area Flexibility Analysis
A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Job Impact Statement
A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-44-26-00015-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from and to classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the New York Hiring for Emergency Limited Placement Statewide (NY HELPS) (all titles listed hereunder will have a limiting number of 15,000)," by deleting therefrom the positions of Associate Radiological Health Specialist, Public Health Specialist 1 (Environment), and Senior Radiological Health Specialist; and by adding thereto the positions of Public Health Specialist 1 (Exposure Assessment), Public Health Specialist 1 (Radiological Health) and Public Health Specialist 2 (Radiological Health).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement
A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Regulatory Flexibility Analysis
A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Rural Area Flexibility Analysis
A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Job Impact Statement
A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-44-26-00016-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text of proposed rule: Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Department of Mental Hygiene under the subheading "Office of Mental Health," by adding thereto the position of Native American Affairs Liaison (1) and by increasing the number of positions of Advocacy Specialist 3 from 5 to 8 and Equal Opportunity Specialist 3 from 1 to 2.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement
A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Regulatory Flexibility Analysis
A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was

previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Rural Area Flexibility Analysis
A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Job Impact Statement
A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-44-26-00017-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of Mental Hygiene under the subheading "Office for People with Developmental Disabilities," by increasing the number of positions of Deputy Counsel from 2 to 5.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement
A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Regulatory Flexibility Analysis
A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Rural Area Flexibility Analysis
A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Job Impact Statement
A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-44-26-00018-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Executive Department under the subheading "Division of Criminal Justice Services," by increasing the number of positions of Program Manager from 5 to 6.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement
A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Regulatory Flexibility Analysis
A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Rural Area Flexibility Analysis
A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Job Impact Statement
A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-44-26-00019-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Department of Financial Services, by increasing the number of positions of Associate Counsel from 2 to 3, Executive Assistant from 11 to 12 and Special Assistant from 38 to 44.

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement
A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Regulatory Flexibility Analysis
A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Rural Area Flexibility Analysis
A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was

previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Job Impact Statement
A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Jurisdictional Classification

I.D. No. CVS-44-26-00020-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Appendices 1 and 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To delete positions from the exempt and non-competitive classes and to classify positions in the exempt class.

Text of proposed rule: Amend Appendix 1 of the Rules for the Classified Service, listing positions in the exempt class, in the Executive Department under the subheading "Adirondack Park Agency," by deleting therefrom the position of Assistant Director and by adding thereto the positions of Special Assistant (2) and;

Amend Appendix 2 of the Rules for the Classified Service, listing positions in the non-competitive class, in the Executive Department under the subheading "Adirondack Park Agency," by deleting therefrom the position of øSpecial Assistant for Economic Affairs (1).

Text of proposed rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Data, views or arguments may be submitted to: Eugene Sarfoh, Counsel, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-2624, email: public.comments@cs.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement
A regulatory impact statement is not submitted with this notice because this rule is subject to a consolidated regulatory impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Regulatory Flexibility Analysis
A regulatory flexibility analysis is not submitted with this notice because this rule is subject to a consolidated regulatory flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Rural Area Flexibility Analysis
A rural area flexibility analysis is not submitted with this notice because this rule is subject to a consolidated rural area flexibility analysis that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Job Impact Statement
A job impact statement is not submitted with this notice because this rule is subject to a consolidated job impact statement that was previously printed under a notice of proposed rule making, I.D. No. CVS-01-26-00017-P, Issue of January 7, 2026.

Department of Environmental Conservation

PROPOSED RULE MAKING HEARING(S) SCHEDULED

State Environmental Quality Review

I.D. No. ENV-44-26-00022-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Part 617 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 3-0301(2)(m) and 8-0113

Subject: State Environmental Quality Review.

Purpose: To amend the material referenced from Disadvantaged Community Assessment Tool (DACAT) Version 1.0 to DACAT 2.0.

Public hearing(s) will be held at: 2:00 p.m., Jan. 5, 2027 at Virtual - Webex.

Interpreter Service: Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Text of proposed rule: Part 617.1 through 617.16 remain unchanged.

Part 617.17 is amended to read as follows:

617.17. Referenced material.

The following referenced documents have been filed with the New York State Department of State. The documents *included in subdivisions (a) and (b) of this section* are available from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402 and for inspection and copying at the Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-1750.

a. National Register of Historic Places, (2017), 36 Code of Federal Regulations (CFR) parts 60 and 63.

b. National Natural Landmarks Program, (2017), 36 Code of Federal Regulations (CFR) part 62.

c. The Disadvantaged Community Assessment Tool *2.0* data and map. *These documents* can be obtained from the Department of Environmental Conservation, 625 Broadway, Albany, NY.

Part 617.18 through 617.20 remain unchanged.

Text of proposed rule and any required statements and analyses may be obtained from: Alanah Keddell-Tuckey, NYSDEC Office of Environmental Justice, 625 Broadway, Albany, NY 12233-1520, (518) 402-8556, email: EJsitinglaw@dec.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: January 11, 2027.

Additional matter required by statute: Pursuant to Article 8 of the Environmental Conservation Law (the State Environmental Quality Review Act), a Short Environmental Assessment Form, a Negative Declaration, and a Coastal Assessment Form have been prepared and are on file.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Summary of Regulatory Impact Statement (Full text is posted at the following State website: https://dec.ny.gov/regulatory/regulations):

1. Statutory Authority

The statutory authority for this rule is Environmental Conservation Law (ECL) sections 3-0301(2)(m) and 8-0113. Under Section 3-0301(2)(m), the Department of Environmental Conservation (DEC) has the authority to "[a]dopt such rules, regulations and procedures as may be necessary, convenient or desirable to effectuate the purposes of… [ECL]." At the same time, under ECL § 8-0113, the Legislature gave DEC specific authority to adopt rules and regulations to implement the State Environmental Quality Review Act (SEQR).

2. Legislative Objectives

The objective of this rule making is to use the most recent identification of disadvantaged communities voted on by the Climate Justice Working Group (CJWG) and authorized by the Legislature in ECL Article 75 and ECL Article 8. In 2023, the CJWG voted to approve and adopt the first

version of the disadvantaged communities (DAC) criteria, resulting in DAC 1.0.[1] Pursuant to ECL § 75-0111(3), the CJWG must meet no less than annually to review the criteria and methods used to identify DACs and may modify such methods to incorporate new data and scientific findings. On February 11, 2025, the CJWG voted to update the DAC criteria to use the most recent data available for all indicators, use the 2020 U.S. Census data, and change how the Proximity to Landfills indicator is measured to improve accuracy. This resulted in an updated map, DAC 2.0.[2] This rule making amends the material referenced in the SEQR implementing regulations, from the Disadvantaged Community Assessment Tool (DACAT) 1.0 to DACAT 2.0 to incorporate DAC 2.0 into the DACAT, and revises the methodology of the DACAT to optimize representation of both burdens and vulnerabilities as identified in the DAC criteria.

3. Needs and Benefits

The goal of the rule making is to amend the description of the referenced materials in 6 NYCRR § 617.17 by updating the DACAT to the latest DAC criteria and map voted on by the CJWG, and to revise the methodology of the DACAT to optimize representation of both burdens and vulnerabilities as identified in the DAC criteria.

Pursuant to ECL § 75-0111(c), the CJWG must review the DAC criteria at least annually. In February 2025, the CJWG voted to update the criteria, resulting in DAC 2.0. DACAT is based entirely on data from the CJWG DAC map. The update to DAC 2.0 changes 593 out of 5,411 (10%) of census tracts statewide.[3] Specifically, 298 census tracts are newly designated as DACs and 295 census tracts that were previously designated as DACs are no longer designated as DACs. It is important to emphasize that the changes in DAC designation are based on both the changes in the DAC criteria indicators but also the U.S. Census, which is used as the basis of the DAC criteria. Eighty nine percent of DAC 2.0 DACs retained their DAC 1.0 DAC designation. The total percentage of census tracts in the State designated as DACs is unchanged at approximately 35%. DACAT 2.0 utilizes DAC 2.0. The methodology used for DACAT 2.0 includes a modification from DACAT 1.0 in how Component Score Thresholds were determined.

With the methodological change and incorporation of DAC 2.0 in DACAT 2.0, 82% of DAC census tracts retained both their DAC status and DACAT status from 1.0 to 2.0.

The Component Score Thresholds were recalibrated to optimize representation of both burdens and vulnerabilities as identified in the DAC criteria. In DACAT 1.0, the Burden and Vulnerability Component Score Thresholds were set equally at 35% each. In DACAT 2.0, the Component Score Thresholds are set at 20% for the Burden Component and 40% for the Vulnerability Component. This enables the DACAT to continue to identify approximately 50% of the DACs potentially experiencing higher burdens and vulnerabilities, as before. This recalibration of the Component Score Thresholds also adjusts the composition of that ~50% to more evenly represent DACs potentially experiencing higher disproportionality in either category. This recalibration also more accurately captures the representation of DACs potentially experiencing higher disproportionality across both categories.

4. Costs

Updating the referenced material to DACAT 2.0 may result in increased costs to project sponsors, lead agencies, and involved agencies in certain cases where non-DACs under the previous version have shifted to DACs, or where DACAT status changes due to higher Component Scores. In some cases, costs will decrease for project sponsors, lead agencies, and involved agencies where actions are no longer occurring within or near a DAC under DACAT 2.0 or where DACAT status changes to lower Component Scores. The cost may vary greatly and cannot be precisely quantified. Generally, however, project sponsors with proposed actions now affecting a DAC may incur small additional costs in preparing an EAF and greater costs if the lead agency requires the preparation of an EIS to assess impacts on a DAC. The current 6 NYCRR § 617.19 includes a transition period for amendments to the regulation to enable SEQR reviews that have already advanced through a substantial portion of the existing regulatory process to continue under the previous version of the DACAT. SEQR review begins with the preparation of either a Short or Full EAF. Project sponsors proposing projects that affect DACs are asked to complete additional questions on the form related to whether the activity will have a potentially significant impact on the DAC. The relative cost of answering these questions is small as the answers will be based on publicly available geographic information through the EAF Mapper, CJWG DAC Map, and DACAT, as well as other publicly available sources of information and the application itself.

Thus, DEC does not expect that project sponsors will incur significant additional costs in completing the forms if they will now be in a DAC or have higher DACAT Component Scores since answering the DAC-related questions requires the same amount of effort whether in a DAC or not.

However, if the SEQR review results in the requirement for an EIS, either solely on the basis of potential DAC impacts or in combination with other issues, costs will depend, in part, on whether the applicant engages a consultant to assist in preparing a draft EIS or whether the lead agency engages a consultant to review the draft EIS and prepare the final EIS, or both. This is the step where both project sponsors and lead and involved agencies could incur additional costs.

In addition, the cost of preparing an EIS can vary significantly depending on the scope and complexity of the project, the environmental sensitivities of an area, and level of public involvement. Studies that involve complex scientific issues will also add to the EIS cost.

A project could require the preparation of an EIS for multiple reasons, only one of which has to do with potential impacts on a DAC. Additionally, the DACAT is a tool to help lead agencies identify DAC census tracts that may warrant further consideration, analysis, and community input, and should not be relied upon exclusively to decide the magnitude of impacts. Thus, in many cases, the full cost of preparing an EIS may not be solely attributable to the lead agency's analysis of disproportionate impacts on a DAC. Under SEQR and 6 NYCRR § 617.13, findings require that an agency approving, undertaking, or funding an action certify that it has avoided or minimized adverse environmental impacts, including but not limited to potential impacts on a DAC, to the maximum extent practicable or mitigated such impacts where they cannot be avoided or minimized. Thus, where an EIS is required, the process naturally results in additional avoidance, minimization, and mitigation costs. DEC is not aware of any systematic study on such costs, and they will inevitably be highly varied depending on the project. Anecdotally, such costs could exceed one million dollars on a very large, complex project with smaller projects costing in the tens of thousands or hundreds of thousands of dollars.

Project expenses using DAC 2.0 are expected to be similar to those under DAC 1.0. However, the updated DAC 2.0 changes DAC designation in less than 10% of DACs.[4] Therefore, minimal cost shifting may occur from one municipality to another. Where a DAC is eliminated from a municipality, that municipality may incur fewer costs to review the environmental impacts of a project proposed in that area. Whereas, DACs added to a municipality may increase costs for environmental review. But again, these costs would only be realized in the event that a project is proposed that potentially affects the DAC. To reduce costs, DEC provides as much instruction as possible through the existing SEQR workbooks and training. There may also be instances where a project that was previously in a DAC is no longer in a DAC under DAC 2.0, which would reduce costs to applicable local governments. In instances where the rule's transition provision applies and a project continues under the previous version of the DACAT, costs to the local government would not change as a result of this rule making.

5. Local Government Mandates

There is no new mandate on local governments as a result of this rule, except in instances where projects that were not in a DAC under DAC 1.0 are now located in or near a DAC under DACAT 2.0.

6. Paperwork

Depending on whether a particular project involves a shift in DAC designation and whether the transition provision would apply, certain project sponsors and applicants may have additional paperwork to consider the questions in the EAFs related to DACs. If an EIS is required and the proposed action may affect a DAC, then there would be additional paperwork involved in the analysis. Where DACs shift so that a project that was previously in a DAC is no longer in a DAC, project sponsors and applicants would have less paperwork.

7. Duplication

There is no potential for duplication for incorporating DACAT 2.0 and the underlying DAC 2.0 into this rule.

8. Alternatives

The CJWG's annual review of the DAC criteria is driven by statute. Thus, no feasible alternative is possible to reduce costs. For instance, DEC could keep the rule as is and not update the DACAT to incorporate DAC 2.0 and the new methodology. However, the most recent DAC criteria voted on by the CJWG would then not be used for SEQR purposes and the regulations would not comply with the DAC definition in the law. In addition, the methodology would not optimize representation of both burdens and vulnerabilities as identified in the DAC criteria.

9. Federal Standards

The rule does not exceed any minimum standards of the Federal government for the same or similar subject areas.

10. Compliance Schedule

The regulatory changes to incorporate by reference DAC 2.0 and DACAT 2.0 would take effect 30 calendar days after publication of the Notice of Adoption in the New York State Register. The transition periods for the amendments to 6 NYCRR § 617.19 as adopted on April 24, 2026 and effective June 11, 2026, remain in effect, and those provisions would continue to apply for determinations of significance and EISs accepted prior to the effective date of those adopted amendments.

The transition provided by this framework will continue to allow agencies and project sponsors to adequately prepare for the proposed amendments to 6 NYCRR Part 617 to incorporate the updated DAC map and DACAT 2.0.

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[1] An interactive map of DAC 1.0 can be found at Disadvantaged Communities Criteria, CLIMATE.NY.GOV, https://climate.ny.gov/Resources/Disadvantaged-Communities-Criteria (last visited June 10, 2026).

[2] On February 10, 2026, the CJWG voted to make no additional changes to the DAC criteria.

[3] While 10% of census tracts have changed as a result of the update to the 2020 Census data, the DAC designation of these tracts has not necessarily changed. Some census tracts have shifted to be split, merged, and otherwise have changed borders. Statewide, 89% of census tracts had no change in DAC designation.

[4] See footnote 3 for details on the changes in Census tracts.

Regulatory Flexibility Analysis

1. Effect of Rule:

All local governments in New York State that fund, undertake, or approve discretionary actions subject to the State Environmental Quality Review Act (SEQR) involving disadvantaged communities (DACs) will be affected by the proposed rule. In New York, there are 62 counties, 932 towns, 62 cities, and 544 villages. Each city, town, and village have quasi-independent boards including planning boards, zoning boards, and other special purpose boards. New York also has thousands of special districts and 697 school districts, and each one of these entities is potentially affected by the rule. In addition, any regulated entities that are small businesses that apply for permits or approvals from agencies subject to SEQR in the areas changing from non-DAC to DAC may be affected by the proposed rule.

This rule updates the material referenced in the SEQR implementing regulations, from the Disadvantaged Community (DAC) Assessment Tool (DACAT) 1.0 to DACAT 2.0 to incorporate DAC 2.0 into the DACAT and revises the methodology of the DACAT to optimize representation of both burdens and vulnerabilities as identified in the DAC criteria. The incorporation of DAC 2.0 reflects updates to the DAC criteria voted on by the Climate Justice Working Group (CJWG). ECL § 75-0111(3) requires the CJWG to review the criteria at least annually. Although the designation of some DACs is changing through the CJWG's mandated review, the update changes 583 out of 5,411 (13%) of census tracts statewide.3 The total percentage of census tracts in the State designated as DACs is unchanged at approximately 35%.

2. Compliance Requirements:

Where SEQR is applicable to a particular local government action and in areas changing from non-DAC to DAC, the affected local governments would be required to determine whether the action would have a disproportionate impact on a disadvantaged community. For local governments, the effects of this depend on the nature and scale of the action and whether the action is in or near a disadvantaged community. As a result of the rule, DACAT 2.0 would be available to lead agencies as part of their analysis to determine the significance of impacts to DACs under SEQR.

3. Professional Services:

Local governments and small businesses often engage professional consulting services for planning and environmental review where a lead agency under SEQR prepares or requires the preparation of an environmental impact statement. These consulting services would potentially include the use of DACAT 2.0 as applicable for situations in which a DAC is involved.

4. Compliance Costs:

The DACAT is a part of SEQR's analytical process when a DAC is involved. In certain cases where non-DACs under the previous version of the DACAT have shifted to DACs, or where DACAT status changes due to higher Component Scores, and if analysis using the DACAT leads to the requirement for an environmental impact statement, applicants and agencies will predictably incur additional consulting costs, as discussed in the Regulatory Impact Statement; project sponsors and lead and agencies may incur additional consulting costs for completing environmental assessment forms but less so than for the preparation and review of environmental impact statements. In some cases, costs will decrease for project sponsors and lead and involved agencies who are no longer a DAC under DACAT 2.0. Thus, certain small businesses and local governments may be affected by the rule where there is a change in DAC status.

5. Economic and Technological Feasibility:

There are no economic or technological feasibility issues associated with the rule. DACAT 1.0 is currently available for use, and the rule updates the referenced materials to DACAT 2.0.

6. Minimizing Adverse Impact:

The function and role of the DACAT remain unchanged. DACAT 1.0 is currently available for use, and the rule updates the referenced materials to DACAT 2.0.

7. Small Business and Local Government Participation:

A notification was sent to stakeholders across the State, including representatives of environmental justice organizations, businesses, municipalities, and organizations representing municipalities, informing of the upcoming proposal to encourage participation in the rule making process. The rule will be noticed in the State Register and the Environmental Notice Bulletin, which are electronic and available statewide. After the rulemaking is proposed, a public hearing will be held which will be available to persons and entities from all of New York State to participate. Additionally, all interested parties can submit written comments during the public comment period.

8. Initial Review:

The initial review of this rule shall occur later than in the third year after the year in which the rule is adopted.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas:

The rule making applies statewide. It applies to all rural areas in the State.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

The State Environmental Quality Review Act (SEQR) implementing regulation, as amended by the proposed rule, would not require reporting or recordkeeping because it solely updates the material referenced in the SEQR regulations from the Disadvantaged Community (DAC) Assessment Tool (DACAT) 1.0 to DACAT 2.0 to incorporate DAC 2.0 into the DACAT and revises the methodology of the DACAT to optimize representation of both burdens and vulnerabilities as identified in the DAC criteria. The incorporation of DAC 2.0 reflects updates to the DAC criteria voted on by the Climate Justice Working Group (CJWG). ECL § 75-0111(3) requires the CJWG to review the criteria at least annually. The DACAT is a part of SEQR's analytical process when a DAC is involved. In certain cases where non-DACs under the previous version of the DACAT have shifted to DACs, or where DACAT status changes due to higher Component Scores, and if analysis using the DACAT leads to the preparation of an environmental impact statement, applicants and agencies may incur some additional costs, as discussed in the Regulatory Impact Statement. Applicants and agencies may also incur some additional consulting costs in completing the environmental assessment forms. In some cases, costs will decrease for project sponsors and lead and involved agencies who are no longer a DAC under DACAT 2.0. Some of the shifting of DACs occurs in rural areas, but this is limited. Rural census tracts experienced the least amount of change, with approximately 12% of shifts in DAC designation occurring in rural census tracts.

3. Costs:

There will be no capital costs associated with the rule. Project sponsors and lead and involved agencies may incur additional review costs in some cases, as discussed in the Regulatory Impact Statement. The exact amount of these costs cannot be determined ahead of time because it depends on the complexity of the issue to be assessed.

4. Minimizing adverse impact:

The rule is statewide and does not separately impact rural areas.

5. Rural area participation:

A notification was sent to stakeholders across the State, including representatives of environmental justice organizations and organizations representing municipalities, including in rural areas, informing them of the upcoming proposal to encourage participation in the rule making process. The rule will be noticed in the State Register and the Environmental Notice Bulletin, which are electronic and available statewide, including in rural areas. After the rule making is proposed, a public hearing will be held which will be available to persons and entities from all of New York State, including rural areas, to participate. Additionally, all interested parties can submit written comments during the public comment period.

6. Initial review of the rule, pursuant to SAPA § 207:

The initial review of this rule shall occur later than in the third year after the year in which the rule is adopted.

Job Impact Statement

The Department of Environmental Conservation (DEC) does not expect that the proposed amendments to the regulations implementing the State Environmental Quality Review Act (SEQR) would have a substantial adverse impact on existing or future jobs and employment opportunities. The proposed amendment updates the material referenced in the regulations regarding the Disadvantaged Community (DAC) Assessment Tool (DACAT) to reflect updates to the DAC criteria voted on by the Climate Justice Working Group (CJWG), and revises the methodology of the DACAT to optimize representation of both burdens and vulnerabilities as identified in the DAC criteria. ECL § 75-0111(3) requires the CJWG to

review the criteria at least annually. The DACAT is a part of SEQR's analytical process when a disadvantaged community is involved. The proposed update may result in a small increase in the number of consulting jobs to prepare and interpret the analyses of the DACAT in certain cases where non-DACs under the previous version have shifted to DACs.

A Job Impact Statement is not submitted with this rule making proposal because the proposal will not have a "substantial adverse impact on jobs or employment opportunities," defined in the State Administrative Procedure Act Section 201-a to mean "a decrease of more than one hundred full-time annual jobs and employment opportunities, including opportunities for self-employment, in the state, or the equivalent in part-time or seasonal employment, which would be otherwise be available to the residents of the state in the two-year period commencing on the date the rule takes effect." The proposed amendment to update the regulations to reference the updated version of the DACAT is not expected to have any such effect and most likely will have little to no impact on jobs or employment opportunities.

Department of Financial Services

NOTICE OF WITHDRAWAL

Private Passenger Motor Vehicle Insurance Multi-Tier Programs

I.D. No. DFS-38-26-00006-W

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Notice of proposed rule making, I.D. No. DFS-38-26-00006-P, has been withdrawn from consideration. The notice of proposed rule making was published in the *State Register* on September 23, 2026.

Subject: Private Passenger Motor Vehicle Insurance Multi-Tier Programs.

Reason(s) for withdrawal of the proposed rule: Public comment received objecting to adoption of the proposed rule SAPA section 202(1)(b)(2).

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Private Passenger Motor Vehicle Insurance Multi-Tier Programs

I.D. No. DFS-44-26-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Repeal of section 154.6 of Title 11 NYCRR. This rule was previously proposed as a consensus rule making under I.D. No. DFS-38-26-00006-P.

Statutory authority: Financial Services Law, sections 202, 302; Insurance Law, section 301; L. 2026, ch. 55, part JJ

Subject: Private Passenger Motor Vehicle Insurance Multi-Tier Programs.

Purpose: To repeal regulation made redundant by new Insurance Law section 2341.

Text of proposed rule: Section 154.6 is hereby repealed.

Text of proposed rule and any required statements and analyses may be obtained from: Joana Lucashuk, New York State Department of Financial Services, One State Street, New York, NY 10004, (212) 480-2125, email: Joana.Lucashuk@dfs.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Consensus Withdrawal Objection

The Department of Financial Services ("DFS") Notice of Proposed Rulemaking, repealing section 154.6 of 11 NYCRR, was published in the New York State Register on September 23, 2026. DFS proposed to repeal section 154.6 as a consensus rulemaking due to the enactment of new Insurance Law section 2341, which made section 154.6 unnecessary and contrary in part to the statute.

DFS received a public comment objecting to the proposed rulemaking having been submitted as a consensus proposal, contending that a proposed repeal of section 154.6 requires a full analysis of the proposal because the commenter believes that the proposed repeal "creates a severe enforcement vacuum, strips consumers of transitional protections, and threatens immediate premium 'rate shock' for New Yorkers."

Regulatory Impact Statement

1. Statutory authority: The authority of the Superintendent of Financial Services ("Superintendent") to promulgate this regulation derives from Financial Services Law ("FSL") sections 202 and 302, Insurance Law ("IL") section 301, and Part JJ of Chapter 55 of the Laws of 2026 ("Part JJ").

FSL section 202 establishes the office of the Superintendent and designates the Superintendent as the head of the Department of Financial Services ("Department").

FSL section 302 and IL section 301 authorize the Superintendent to effectuate any power accorded to the Superintendent by the IL, Banking Law, FSL, or any other law of this State and to prescribe regulations interpreting the IL, among other things.

Part JJ adds a new IL section 2341, which prohibits an insurer, in relevant part, from using occupational status (i.e., whether a person has an occupation) or type of occupation and education level attained as the sole basis for initial tier placement, tier movement, or the establishment of rates for private passenger motor vehicle insurance.

2. Legislative objectives: To prohibit an insurer from using occupational status or type of occupation and education level attained as the sole basis for initial tier placement, tier movement, or the establishment of rates for private passenger motor vehicle insurance.

3. Needs and benefits: Part JJ adds a new IL section 2341, which prohibits an insurer, in relevant part, from using occupational status (i.e., whether a person has an occupation) or type of occupation and education level attained as the sole basis for initial tier placement, tier movement, or the establishment of rates for private passenger motor vehicle insurance. Part JJ takes effect on November 23, 2026.

This proposed amendment repeals 11 NYCRR section 154.6, which prohibits an insurer from using an individual's education level attained or occupational status (i.e., the type of occupation) as a factor in either initial tier placement, tier movement, or the establishment of the rates, unless the insurer demonstrates to the Superintendent's satisfaction that the use of such factor will not result in a rate that violates Insurance Law article 23. Section 154.6 is no longer necessary given the enactment of Insurance Law section 2341 by Part JJ, effective November 23, 2026.

4. Costs: This amendment does not impose any costs on insurers or on the Department. To the extent there is a cost, it is a result of Part JJ and not this rulemaking.

5. Local government mandates: This amendment does not impose any program, service, duty, or responsibility upon a county, city, town, village, school district, fire district, or other special district.

6. Paperwork: This amendment does not impose any paperwork on insurers. To the extent there is a cost, it is a result of Part JJ and not this amendment.

7. Duplication: This amendment does not duplicate, overlap, or conflict with any existing State or Federal rules or other legal requirements.

8. Alternatives: The Department considered not repealing 11 NYCRR section 154.6. However, the Department decided to repeal it because it is no longer necessary given the enactment of Insurance Law section 2341 by Part JJ.

9. Federal standards: The regulation does not exceed any minimum standards of the Federal government for the same or similar subject areas.

10. Compliance schedule: 11 NYCRR section 154.6 will be repealed upon publication of the Notice of Adoption in the State Register.

Regulatory Flexibility Analysis

The Department of Financial Services finds that this amendment will not have any adverse impact or impose compliance requirements on small businesses or local governments. This proposed amendment merely repeals 11 NYCRR section 154.6 because it is no longer necessary, given the enactment of Insurance Law section 2341 by Part JJ of Chapter 55 of the Laws of 2026 ("Part JJ"), which prohibits an insurer, in relevant part, from using occupational status (i.e., whether a person has an occupation) or type of occupation and education level attained as the sole basis for initial tier placement, tier movement, or the establishment of rates for private passenger motor vehicle insurance To the extent there is an impact on small businesses, it is a result of Part JJ and not this amendment.

No local government will be affected by this rulemaking because neither this rulemaking nor IL section 2341 applies to local governments.

Rural Area Flexibility Analysis

The Department of Financial Services finds that the amendment will not have any adverse impact or impose compliance requirements on rural areas. This proposed amendment merely repeals 11 NYCRR section 154.6 because it is no longer necessary, given the enactment of Insurance Law section 2341 by Part JJ of Chapter 55 of the Laws of 2026 ("Part JJ"), which prohibits an insurer, in relevant part, from using occupational status

(i.e., whether a person has an occupation) or type of occupation and education level attained as the sole basis for initial tier placement, tier movement, or the establishment of rates for private passenger motor vehicle insurance. To the extent there is an impact on rural areas, it is a result of Part JJ and not this amendment.

Job Impact Statement

The New York State Department of Financial Services finds that this rule will not adversely impact jobs or employment opportunities in New York. This proposed amendment merely repeals 11 NYCRR section 154.6 because it is no longer necessary, given the enactment of Insurance Law section 2341 by Part JJ of Chapter 55 of the Laws of 2026 ("Part JJ"), which prohibits an insurer, in relevant part, from using occupational status (i.e., whether a person has an occupation) or type of occupation and education level attained as the sole basis for initial tier placement, tier movement, or the establishment of rates for private passenger motor vehicle insurance.

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Independent Dispute Resolution for Emergency Services and Surprise Bills

I.D. No. DFS-44-26-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of Part 400 of Title 23 NYCRR.

Statutory authority: Financial Services Law, sections 202, 302; art. 6; Insurance Law, section 301; L. 2026, ch. 57, part BB

Subject: Independent Dispute Resolution for Emergency Services and Surprise Bills.

Purpose: To conform Part 400 to the amendments made by part BB of chapter 57 of the Laws of 2026.

Substance of proposed rule (Full text is posted at the following State website: https://www.dfs.ny.gov/industry_guidance/regulatory_activity/financial_services): A new section 400.1(d) is added to specify that the amendments made by the second amendment to Part 400 apply to disputes submitted on or after August 26, 2026.

Section 400.2(g), which defines "health care plan", is amended, and new subdivisions (x), (y), (z), (aa), and (ab) are added. These new subdivisions define "allowed benchmark", "amount owned", "health benefit plan operated pursuant to Civil Service Law article 11", "maximum fee", and "split decision."

A new section 400.5(l) is added, which states that if an independent dispute resolution ("IDR") entity makes a determination under section 400.8 of this Part in favor of a non-participating health care provider ("provider") or non-participating hospital, the health care plan must pay the provider or hospital in full within 30 calendar days of the date of the IDR entity's determination.

Section 400.7(c)(5), (8), and (10)-(13) and (d)(5), (6), (11)-(13) are amended to carve out the Empire Plan and State Employee Health Plan (collectively, the "State Plans") from certain information that a health care plan or provider must submit to an IDR entity.

Section 400.8(a) is amended to make technical edits.

Section 400.8(b) is amended to subsume subdivision (c), and to require an IDR entity to include certain information in the notification it must send to an IDR applicant, including a request for information to determine the application's eligibility, and a request for the IDR entity's full dispute resolution process fee, except with regard to an application submitted by a patient.

Section 400.8(d) is relettered as subdivision (c) and is amended to state that if the information and process fee is not received by the IDR entity within 15 business days, the IDR entity must make a second request to the non-responding party and provide five business days to submit the information and process fee to the IDR entity.

A new section 400.8(d) is added to state that after the IDR entity receives the requested information from the parties, the IDR entity must review the application and information received to determine whether it is eligible for IDR, including by considering the criteria set forth in Financial Services Law ("FSL") section 604(c). If the IDR entity does not have enough information to review the dispute or if the applicant (other than a patient) does not pay the IDR entity's full dispute resolution process fee, then the IDR entity must determine that the application is not eligible for IDR. The IDR entity must promptly reject any application that is not eligible for IDR.

A new section 400.8(e) is added to state that if a health care plan or provider, or, for a patient-initiated dispute, the physician, hospital, or patient, fails to provide all information requested by the IDR entity within the timeframes specified by this section, the IDR entity must proceed with the review of the dispute if the IDR entity determines that the IDR entity has enough information to review the dispute.

A new section 400.8(f) is added to provide that if the health care plan fails to respond to the IDR entity's request for information or to pay the IDR entity's full dispute resolution process fee when the health care plan is not the applicant, the IDR entity must automatically decide the dispute in favor of the provider. If the provider fails to respond to the IDR entity's request for information or to pay the IDR entity's full dispute resolution process fee when the provider is not the applicant, the IDR entity must automatically decide the dispute in favor of the health care plan. For patient applications, if the physician or hospital fails to respond to the IDR entity's request for information when the physician or hospital is not the applicant, the IDR entity must automatically decide the dispute in favor of the patient.

Section 400.8(e) is relettered as subdivision (g) and changes the 30-day period for dispute resolution to 45 business days.

Section 400.8(f) is relettered as subdivision (h).

Section 400.8(g) is relettered as subdivision (i) and is amended to state that an IDR entity must make a determination within 45 business days of receiving all information necessary to review the dispute.

Section 400.8(h) is relettered as subdivision (j) and language is added to state that Pursuant to FSL section 604(b)(4), if the IDR entity determines that the provider's or hospital's fee is a reasonable fee for the services rendered, in no circumstances can the amount owed by a health benefit plan operated pursuant to Civil Service Law article 11 exceed the maximum fee.

Section 400.8(i) is relettered as subdivision (k) and is amended to state that for disputes involving State Plans, where the dispute does not involve a physician described in FSL section 604(b)(5), the IDR entity can only consider the geozip where the provider rendered the services for the purpose of determining the same geographical area for the allowed benchmark and maximum fee, as those terms are defined in FSL section 603. This section also makes technical edits.

Section 400.8(j) is relettered as subdivision (l) and is amended to require an IDR entity send along with the IDR determination a notification that includes an explanation of the factors in FSL section 604(b)(1)(B) that demonstrated that the health care plan's payment or provider's fee closest to the allowed benchmark was materially different from the appropriate payment for the health care service, if applicable. It also is amended to make technical edits.

Section 400.8(k) is relettered as subdivision (m) and is amended to add a comma.

Section 400.8(l) is relettered as subdivision (n).

Section 400.8(m) is relettered as subdivision (o).

A new section 400.8(p) is added to state that pursuant to FSL section 608(b), an IDR entity may not commingle payments for the dispute resolution process with any other funds held by the IDR entity and must hold all payments in a separate account.

Section 400.10(a) is amended to delete paragraphs one through three and provide that for disputes that are rejected as ineligible or are resolved in favor of the requesting provider or health care plan pursuant to section 400.8(f) due to a failure to submit information or the IDR entity's full dispute resolution process payment, an IDR entity may charge an application processing fee, which is the responsibility of the provider or health care plan who or that is the requesting party if the dispute is rejected as ineligible or who or that is the party that failed to submit information or the IDR entity's full dispute resolution process payment.

Section 400.10(d) is amended to state that with regard to a dispute involving a patient and a physician or hospital, payments due to an IDR entity under this section must be made to the IDR entity within 30 calendar days from receipt of the IDR entity's written determination and invoice. Pursuant to FSL section 608(b), with regard to a dispute involving a provider and a health care plan, an IDR entity must issue a refund of the dispute resolution process payment to the: (1) prevailing party or, in the case of a settlement or split decision, the pro-rated amount to both parties, within 30 calendar days of rendering a determination on the dispute; or (2) applicant and responding party within 30 calendar days of rejecting the dispute as ineligible, minus an application processing fee.

Text of proposed rule and any required statements and analyses may be obtained from: Michael Greif, New York State Department of Financial Services, One State Street, New York, NY 10004, (212) 480-5019, email: IDRQuestions@dfs.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority: Financial Services Law ("FSL") sections 202 and 302 and Article 6, Insurance Law ("IL") section 301, and Part BB of Chapter 57 of the Laws of 2026 ("Part BB").

FSL section 202 establishes the office of the Superintendent of Financial Services ("Superintendent").

FSL section 302 and IL section 301, in pertinent part, authorize the Superintendent to prescribe regulations interpreting the IL and to effectuate any power granted to the Superintendent in the IL, FSL, or any other law.

FSL Article 6 establishes an independent dispute resolution ("IDR") process through which a dispute involving a bill for emergency services or a surprise bill may be resolved. FSL Article 6 further authorizes the Superintendent to promulgate regulations establishing standards for the IDR process.

Part BB amended FSL Article 6 in relevant part to: define a "health care plan" to include a health benefit plan operated pursuant to Civil Service Law Article 11; require both parties to a dispute to make payment to the IDR entity before an IDR entity reviews the dispute; expand the time that IDR entities have to issue a decision; prohibit an IDR entity from commingling payments for the dispute resolution process with any other funds held by the IDR entity; require an IDR entity to hold all payments in a separate account; and require an IDR entity to issue a refund of the dispute resolution process payment to the prevailing party within 30 days of rendering a determination on the dispute or to the applicant within 30 days of rejecting the dispute as ineligible.

2. Legislative objectives: To address the issue of consumers receiving unexpected medical bills for emergency services and surprise bills.

3. Needs and benefits: On May 28, 2026, the Governor signed into law Part BB, which amended FSL Article 6 in relevant part to: define a "health care plan" to include a health benefit plan operated pursuant to Civil Service Law Article 11; require both parties to a dispute to make payment to the IDR entity before an IDR entity reviews the dispute; expand the time that IDR entities have to issue a decision; prohibit an IDR entity from commingling payments for the dispute resolution process with any other funds held by the IDR entity; require an IDR entity to hold all payments in a separate account; and require an IDR entity to issue a refund of the dispute resolution process payment to the prevailing party within 30 days of rendering a determination on the dispute or to the applicant within 30 days of rejecting the dispute as ineligible. These amendments take effect on August 26, 2026, and apply to disputes submitted on and after that date.

This rulemaking amends 23 NYCRR 400 to conform to the amendments made by Part BB. It also makes clear that if a health care plan or non-participating health care provider fails to respond to the IDR entity's request for information or to pay the IDR entity's full dispute resolution process fee when the health care plan or non-participating provider is not the applicant, the IDR entity must automatically decide the dispute in favor of the applicant. Note that the IDR entities' fees are set forth on the Department's website at https://www.dfs.ny.gov/IDR.

The rulemaking also permits the IDR entity to charge an application processing fee to the non-prevailing party in this situation. The rulemaking further requires an insurer to pay a provider within 30 days of an IDR entity's determination if the IDR entity finds in favor of the provider.

4. Costs: Health care plans and health care providers may incur costs if the IDR entity does not decide the dispute in their favor because they failed to respond to the IDR entity's request for information or to pay the IDR entity's full dispute resolution process fee. They also will incur an application processing fee in this situation. Patients and IDR entities should not incur any additional costs to comply with this rulemaking. To the extent they incur any additional costs, it is a result of Part BB and not this rulemaking.

The Department will not incur any costs to implement this rulemaking.

The rulemaking does not impose compliance costs on any local governments.

5. Local government mandates: The amendment does not impose any program, service, duty or responsibility on any county, city, town, village, school district, fire district or other special district.

6. Paperwork: Health care plans, health care providers, patients, and IDR entities should not incur additional paperwork as a result of this rulemaking. To the extent they incur any additional paperwork, it is a result of Part BB and not this rulemaking.

7. Duplication: This amendment does not duplicate, overlap, or conflict with any existing State or Federal rules or other legal requirements.

8. Alternatives: The Department considered not promulgating this rulemaking. However, the rulemaking is necessary to conform to Part BB.

The Department considered amending Part 400 to conform to Part AA of Chapter 57 of the Laws of 2022. However, the Department plans to make those amendments in separate rulemaking.

The Department considered naming in the rulemaking the benchmarking database maintained by a nonprofit organization specified by the Superintendent as referenced in the definitions of "allowed benchmark" and "maximum fee" set forth in Financial Services Law section 603. The Department also considered specifying how often the database updates the allowed benchmark data. However, the Department decided that it is not necessary to name the benchmarking database in the rulemaking or specify how often the database updates the allowed benchmark data, because the Department already specifies on its website that the benchmarking database is FAIR Health. See https://www.dfs.ny.gov/consumers/health_insurance/surprise_medical_bills. Further, the numbers of times that FAIR Health updates the allowed benchmark data (i.e., twice per year) is factual information and not a rule that belongs in a rulemaking.

9. Federal standards: The amendment does not exceed any minimum standards of the Federal government for the same or similar subject areas.

10. Compliance schedule: The rulemaking will take effect upon publication of the Notice of Adoption in the State Register.

Regulatory Flexibility Analysis

1. Effect of rule: State Administrative Procedure Act ("SAPA") Section 102(8) defines a small business to mean "any business which is resident in this State, independently owned and operated, and employs one hundred or less individuals." There are health care providers that are small businesses and there may be health care plans and independent dispute resolution ("IDR") entities that are small businesses that are affected by the rulemaking, but the Department of Financial Services ("Department") does not know how many. The rulemaking does not apply to local governments.

2. Compliance requirements: A health care plan, health care provider, and IDR entity that may be a small business will not need to comply with any reporting requirements as a result of this rulemaking. The rulemaking amends 23 NYCRR 400 to conform to Part BB of Chapter 57 of the Laws of 2026, which amended Financial Services Law Article 6 in relevant part to: define a "health care plan" to include a health benefit plan operated pursuant to Civil Service Law Article 11; require both parties to a dispute to make payment to the IDR entity before an IDR entity reviews the dispute; expand the time that IDR entities have to issue a decision; prohibit an IDR entity from commingling payments for the dispute resolution process with any other funds held by the IDR entity; require an IDR entity to hold all payments in a separate account; and require an IDR entity to issue a refund of the dispute resolution process payment to the prevailing party within 30 days of rendering a determination on the dispute or to the applicant within 30 days of rejecting the dispute as ineligible. It also makes clear that if a health care plan or non-participating health care provider fails to respond to the IDR entity's request for information or to pay the IDR entity's full dispute resolution process fee when the health care plan or non-participating provider is not the applicant, the IDR entity must automatically decide the dispute in favor of the applicant. The rulemaking also permits the IDR entity to charge an application processing fee to the non-prevailing party in this situation. The rulemaking further requires an insurer to pay a provider within 30 days of an IDR entity's determination if the IDR entity finds in favor of the provider.

3. Professional services: A health care plan, health care provider, and IDR entity that may be a small business should not need any professional services to comply with this rulemaking.

4. Compliance costs: Health care plans and health care providers that are small businesses may incur costs if the IDR entity does not decide the dispute in their favor because they failed to respond to the IDR entity's request for information or to pay the IDR entity's full dispute resolution process fee. They also will incur an application processing fee in this situation. IDR entities should not incur any additional costs to comply with this rulemaking.

5. Economic and technological feasibility: Health care plans, health care providers, and IDR entities that may be small businesses should not incur any economic or technological impact as a result of this rulemaking.

6. Minimizing adverse impact: The rulemaking uniformly affects all health care plans, health care providers, and IDR entities that are subject to it, including any that are small businesses. The rulemaking should not have an adverse impact on any health care plan, health care provider, or IDR entity that is a small business.

7. Small business and local government participation: The Department complied with SAPA section 202-B(6) by posting a draft of the rulemaking on the Department's website on August 13, 2026 for pre-proposed outreach and directly notifying IDR entities that may be small businesses and trade organizations that represent health care plans and health care providers that may be small businesses. Interested parties, including those parties that may be small businesses, also will have an opportunity to participate when the Department publishes the proposed rulemaking in the State Register and posts the proposed rulemaking on the Department's website.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas: Health care plans, health care providers, and independent dispute resolution ("IDR") entities affected by this amendment do business in every county in this State, including rural areas as defined in State Administrative Procedure Act Section 102(10). The Department of Financial Services ("Department") does not know the number of health care plans, health care providers, and IDR entities located in rural areas.

2. Reporting, recordkeeping and other compliance requirements; and professional services: A health care plan, health care provider, and IDR entity located in a rural area will not need to comply with any reporting requirements as a result of this rulemaking. The rulemaking amends 23 NYCRR 400 to conform to Part BB of Chapter 57 of the Laws of 2026, which amended Financial Services Law Article 6 in relevant part to: define a "health care plan" to include a health benefit plan operated pursuant to Civil Service Law Article 11; require both parties to a dispute to make payment to the IDR entity before an IDR entity reviews the dispute; expand the time that IDR entities have to issue a decision; prohibit an IDR entity from commingling payments for the dispute resolution process with any other funds held by the IDR entity; require an IDR entity to hold all payments in a separate account; and require an IDR entity to issue a refund of the dispute resolution process payment to the prevailing party within 30 days of rendering a determination on the dispute or to the applicant within 30 days of rejecting the dispute as ineligible. It also makes clear that if a health care plan or non-participating health care provider fails to respond to the IDR entity's request for information or to pay the IDR entity's full dispute resolution process fee when the health care plan or non-participating provider is not the applicant, the IDR entity must automatically decide the dispute in favor of the applicant. The rulemaking also permits the IDR entity to charge an application processing fee to the non-prevailing party in this situation. The rulemaking further requires an insurer to pay a provider within 30 days of an IDR entity's determination if the IDR entity finds in favor of the provider.

3. Costs: Health care plans and health care providers that are located in rural areas may incur costs if the IDR entity does not decide the dispute in their favor because they failed to respond to the IDR entity's request for information or to pay the IDR entity's full dispute resolution process fee. They also will incur an application processing fee in this situation. IDR entities should not incur any additional costs to comply with this rulemaking.

4. Minimizing adverse impact: This amendment applies uniformly to health care plans, health care providers, and IDR entities that do business in both rural and nonrural areas of New York State. This rulemaking does not impose any additional burden on health care plans, health care providers, or IDR entities located in rural areas, and the Department does not believe that it will have an adverse impact on rural areas.

5. Rural area participation: The Department posted a draft of the rulemaking on the Department's website on August 13, 2026 for pre-proposed outreach and directly notified interested parties, including IDR entities located in rural areas and trade associations that represent health care plans and health care providers located in rural areas. Interested parties, including those parties located in rural areas, also will have an opportunity to participate when the Department publishes the proposed rulemaking in the State Register and posts the proposed rulemaking on the Department's website.

Job Impact Statement

The Department of Financial Services finds that this rulemaking will not have a substantial adverse impact on jobs and employment opportunities. This rulemaking amends 23 NYCRR 400 to conform to Part BB of Chapter 57 of the Laws of 2026, which amended Financial Services Law Article 6 in relevant part to: define a "health care plan" to include a health benefit plan operated pursuant to Civil Service Law Article 11; require both parties to a dispute to make payment to the independent dispute resolution ("IDR") entity before an IDR entity reviews the dispute; expand the time that IDR entities have to issue a decision; prohibit an IDR entity from commingling payments for the dispute resolution process with any other funds held by the IDR entity; require an IDR entity to hold all payments in a separate account; and require an IDR entity to issue a refund of the dispute resolution process payment to the prevailing party within 30 days of rendering a determination on the dispute or to the applicant within 30 days of rejecting the dispute as ineligible. It also makes clear that if a health care plan or non-participating health care provider fails to respond to the IDR entity's request for information or to pay the IDR entity's full dispute resolution process fee when the health care plan or non-participating provider is not the applicant, the IDR entity must automatically decide the dispute in favor of the applicant. The rulemaking also permits the IDR entity to charge an application processing fee to the non-prevailing party in this situation. The rulemaking further requires an insurer to pay a provider within 30 days of an IDR entity's determination if the IDR entity finds in favor of the provider.

These amendments apply to disputes submitted on or after August 26, 2026.

New York State Gaming Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Allowing a Racetrack to Assume Responsibility Relating to the Registry of Ownership, Stable Names and Silks

I.D. No. SGC-44-26-00021-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: This is a consensus rule making to amend sections 4020.3, 4022.1, 4026.2, 4026.3, 4026.5, 4026.6, 4026.7, 4026.15, 4026.20 and 4041.1 of Title 9 NYCRR.

Statutory authority: Racing, Pari-Mutuel Wagering and Breeding Law, sections 104(1), (19), 205, 208(4) and 218

Subject: Allowing a racetrack to assume responsibility relating to the registry of ownership, stable names and silks.

Purpose: To allow a racetrack to perform certain registration and administrative functions currently assigned to The Jockey Club.

Text of proposed rule: Sections 4020.3, 4022.1, 4026.2, 4026.3, 4026.5, 4026.6, 4026.7, 4026.15, 4026.20 and 4041.1 of 9 NYCRR would be amended to read as follows:

§ 4020.3. Definitions.

* * *

(d)(1) Authorized agent means a person appointed by a document signed by the owner and lodged annually [at the office of] *with the association or* The Jockey Club[, or if for a single meeting only, with the clerk of the course for transmission to The Jockey Club].

* * *

§ 4022.1. Stewards of The Jockey Club.

The stewards of The Jockey Club have charge of the registry office [and the registration or custody, as the case may be, of stable names, colors, designations of authorized agents and subagents, partnerships, leases, contingencies, jockey contracts and apprentice agreements].

* * *

§ 4026.2. Managing ownership.

(a) Managing owner. No horse may race unless the entire management and control of its racing activities, and financial responsibility therefor, are held by a [registered] managing owner registered with The Jockey Club *or the association*. A managing owner may be either an individual or a racing partnership, registered for such purpose with The Jockey Club *or the association*. A racing partnership may consist of only general partners, not to exceed four, each of whom shall own not less than a 25-percent partnership interest, and one of whom shall be designated as the managing partner under whose name or stable name the partnership's horses race, who is entitled to be credited with winnings on behalf of the partnership and who is responsible for complying with the filing, registration and licensing requirements of these rules. The managing owner of a horse shall be established either by registered ownership, registered written lease (or written notice thereof), or registered written designation as such, by the owner. The managing owner shall be responsible for all racing affairs of the horse, including the proper registration of the horse and its ownership, and all changes thereto.

* * *

(c) [Managing] *Establishing managing* owner [by lease or designation]. A managing owner established by ownership shall register such ownership with The Jockey Club *or the association*. A managing owner established by lease or designation shall register such lease or designation, executed by both the lessor or designating owner and the managing owner, with The Jockey Club *or the association*.

* * *

(e) Credentials. Only managing owners of horses to race at the meeting shall be entitled to track credentials as owners[, including paddock and backstretch privileges].

§ 4026.3. Nonmanaging ownership.

(a) Owners[-]—registration and disclosure.

(1) The managing owner of a horse shall not enter or start such horse,

nor shall such horse race, unless each individual holding any property interest in such horse at such time has been disclosed to The Jockey Club *or the association* and registered therewith by the managing owner.

(2) In addition, should any property interest in a horse be held by a general or limited partnership, syndicate, association, corporation, trust, estate, or other form of representative or joint ownership or legal entity, such entity shall be registered with The Jockey Club *or the association*; and there shall also be disclosed the identity of all officers, directors, managing agents, representatives, trustees or other individuals holding the power to manage such entities, in addition to all individuals holding any legal or beneficial interest in such entity. Any changes in such information shall be clearly indicated and submitted to The Jockey Club *or the association* within 10 days after the end of each calendar quarter after registration or, if no changes have occurred, a statement to that effect shall instead be filed.

* * *

§ 4026.5. Filing procedures.

If any agreement of partnership, sale with contingencies, lease, designation of managing owner or other arrangement required to be filed with The Jockey Club *or the association* would have the effect of deceiving or improperly misleading the public as to the identity of the persons holding an interest in a horse, or would otherwise be contrary to the best interest of racing, The Jockey Club *or the association, as applicable,* shall notify the commission, which shall consider the matter and take such action with respect thereto as it deems appropriate.

§ 4026.6. Emergency authority.

In cases of emergency, authority to sign declarations of partnerships may be given to The Jockey Club *or the association* by printable electronic means so long as such notice is given before the race. Such notice shall be promptly confirmed in writing within 48 hours.

§ 4026.7. Assignments restricted.

After a horse is drawn into a race, there shall be no transfer nor assignment of any ownership interest in such horse until after such race or until such horse is scratched from such race, if sooner, *unless approved by the State steward*. No member of a partnership that owns a horse, leases the racing qualities of a horse, or is designated a managing owner for a horse shall assign [his or her] a share or any part of or interest in [it] *such horse* without the written consent of the other partners being filed with The Jockey Club *or the association*. No assignment of an interest in a partnership [which] *that*, by ownership or lease, controls the racing qualities of a horse, shall be accepted if the effect of the assignment would be to create a partnership that would not qualify for filing under the terms of section 4026.2 of this Part.

* * *

§ 4026.15. Use of stable name.

No individual shall race a horse under a stable name unless such stable name is currently registered by such individual with The Jockey Club *or the association*. When, in the opinion of The Jockey Club *or the association, as applicable,* the use of a name required to be registered with [it] *such entity* would deceive or improperly mislead the public, or would otherwise be contrary to the best interests of racing, [it] *such entity* shall so notify the commission, which shall take such action as [it] *the commission* deems appropriate.

* * *

§ 4026.20. Abandoning stable name.

Any individual who has registered a stable name may at any time abandon it by giving written notice [at] *to the association or* the office of The Jockey Club and also to the racing secretary's office[; and notice of such abandonment shall be published in the racing calendar, after which all entries that have been made in such stable name shall be altered as may be appropriate].

* * *

§ 4041.1. [Colors registered with The Jockey Club.] *[Reserved]*

[Racing colors shall be registered by The Jockey Club annually or for the period of five years. Colors so registered shall not be taken by any other person. All disputes as to the right to particular colors shall be settled by The Jockey Club.]

Text of proposed rule and any required statements and analyses may be obtained from: Kristen Buckley, New York State Gaming Commission, 354 Broadway Center, PO Box 7500, Schenectady, NY 12305, (518) 388-3332, email: gamingrules@gaming.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Consensus Rule Making Determination

This proposed rulemaking will allow a racetrack to assume responsibility relating to the registry of ownership, stable names and silks, instead of mandating responsibility by The Jockey Club. A racetrack could continue to contract with The Jockey Club for such services. These proposed changes, intended to reduce burdens and costs for horse racing participants in New York State, are supported by The Jockey Club, The New York Racing Association, Inc. (NYRA) and Finger Lakes Racetrack. These proposed changes do not impact The Jockey Club's role as the recognized breed registry for Thoroughbreds.

Because this rule making has broad stakeholder support and would modernize the methods relating to the registry of ownership, stable names and silks, the Gaming Commission does not anticipate public comment and no person is likely to object to the proposed rule as it is otherwise noncontroversial.

Job Impact Statement

A Job Impact Statement is not required for this consensus rulemaking proposal because the proposed amendments will not adversely affect jobs or employment opportunities.

This proposed rulemaking will allow a racetrack to assume responsibility relating to the registry of ownership, stable names and silks, instead of mandating responsibility by The Jockey Club. A racetrack could continue to contract with The Jockey Club for such services. These proposed changes, intended to reduce burdens and costs for horse racing participants in New York State, are supported by The Jockey Club, The New York Racing Association, Inc, (NYRA) and Finger Lakes Racetrack. These proposed changes do not impact The Jockey Club's role as the recognized breed registry for Thoroughbreds.

The proposed amendments will not have an impact on jobs or employment opportunities.

Department of Health

NOTICE OF ADOPTION

Special Needs Assisted Living Residence (SNALR) Voucher Program

I.D. No. HLT-29-25-00001-A
Filing No. 978
Filing Date: 2026-10-19
Effective Date: 2026-11-04

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 1001.17 to Title 10 NYCRR.

Statutory authority: Public Health Law, section 4655; Social Services Law, section 461-L

Subject: Special Needs Assisted Living Residence (SNALR) Voucher Program.

Purpose: To establish the guidelines surrounding the SNALR Voucher Program for Persons with Dementia.

Text or summary was published in the July 23, 2025 issue of the Register, I.D. No. HLT-29-25-00001-P.

Final rule as compared with last published rule: No changes.

Revised rule making(s) were previously published in the State Register on August 5, 2026.

Text of rule and any required statements and analyses may be obtained from: Katherine Ceroalo, DOH, Bureau of Program Counsel, Reg. Affairs Unit, Room 2438, ESP Tower Building, Albany, NY 12237, (518) 473-7488, email: regsqna@health.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2029, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The Department of Health ("Department") received comments from associations representing both adult care facilities and adult care facility residents on the revision to its proposed regulation adding section 1001.17 to Title 10 of the Official Compilation of Codes, Rules and Regulations of

the State of New York, establishing the regulatory framework for the Special Needs Assisted Living Residence (SNALR) Voucher Program for Persons with Dementia. Comments focused on facility participation and exclusion criteria, the added dementia care training requirement, the adjusted look back period on transfers, cap on number of program participants per facility, temporary absences of residents from a facility, and accessing waitlist information.

Comment: Commenters stated that the proposed minimum standards reflected at 1001.7(d)(3) serve to reduce consumer choice, create instability, and have no impact on improved safety or quality of care for Voucher Program participants. Commenters claimed that SNALRs "lose money" on Voucher Program participants. Based on these interpretations, commenters recommended that the exclusionary criteria at 1001.7(d)(3) be removed in its entirety or that the Department includes discretionary language.

Response: The criteria at 1001.7(d)(3) disqualifies those facilities who, within the previous year, received any official written notice from the Department of a proposed assessment of a civil penalty for a violation described in subparagraph 2 of paragraph (b) of subdivision 7 of section 460-D of the Social Services Law. Additionally, SNALRs who have, in the past year, received written notice from the Department of a proposed revocation, suspension, or limitation of the operating certificate of the facility or residence (1001.7(d)(1)); been assessed a penalty after a hearing conducted pursuant to subparagraph 1 of paragraph (b) of subdivision 7 of section 460-D of the Social Services Law for a violation that has not been rectified (1001.7(d)(2)); been issued an order pursuant to subdivision 2, 5, or 8 of section 460-D of the Social Services Law (1001.7(d)(4)); and/or been placed on the Department's "do not refer list" (1001.7(d)(5)) have been afforded due process and are thereby ineligible for voucher participation. Moreover, as these provisions are reliable standards to establish a new Assisted Living Residence, they are reliable standards to qualify an existing SNALR to accept government funds to support residency and programmatic goals. No action was taken in response to this comment.

Comment: Commenters stated that Assisted Living Residences already provide training to staff on dementia care, and some of the training topics outlined in the proposed regulations are inappropriate for some staff roles. Moreover, according to the commenters, the SNALR Voucher Program is intended to prevent the resident from transitioning to Medicaid. As such, according to the commenters, enhanced staff training should not be a foundational facility requirement.

Response: While the historical goal of the SNALR Voucher Program is to offset the participant's conversion to Medicaid and/or placement in a higher level of care, the Program utilizes government funds to support care delivery. As a steward of those funds, the Department must ensure funds are optimized to support high-quality care. While the surveillance process will ensure regulatory compliance of all licensed facilities, the Department wants to ensure that those facilities which receive government funds provide the highest quality care possible to residents. By reinforcing the requirement to take role-appropriate semi-annual education and defining the applicable topics as outlined in proposed regulation at 1001.17(c)(2), participating SNALRs can embrace an opportunity to strengthen holistic care delivery. For example, while there might be reduced value for the facility's receptionist to take a training on care planning for individuals living with dementia, a training on communication and engagement may be role-appropriate training for the receptionist who interacts with a resident and their family. No action was taken in response to this comment.

Comment: Commenters recommended that because staff education enhances the resident's unique experiences, semi-annual education should be required of all SNALRs.

Response: These comments are outside the scope of these regulatory amendments. No action was taken in response to this comment.

Comment: Commenters indicated that the five-year lookback period proposed in the regulations is unjustifiable, unnecessarily lengthy, and would impact resident decision-making concerning the disposition of private assets. A commenter recommended that the Department better articulate its expectations and define substantiating documentation to support qualified participants' enrollment in the SNALR Voucher Program.

Response: The goal of the SNALR Voucher Program is to allow people with limited resources, and who have Alzheimer's or other dementia, to stay in their memory care programs. There is a high demand for Voucher Program participation and a continuous waitlist of eligible applicants. It is inconsistent with the Voucher Program's goals to subsidize the care of applicants with significant assets. The updated lookback period is consistent with other government-funded eligibility-based programs and will ensure those who are truly resource limited are able to be served. The Department will use sub-regulatory guidance to provide programmatic clarity where appropriate. No changes were made in response to this comment.

Comment: A commenter recommended that the following language be added to 1001.17(f): "The Department will not disenroll a participant resident from the Special Needs Assisted Living Residence Voucher Program based solely on the facility's Program compliance status. However, if the resident moves to a facility that is ineligible to participate, this may impact on their Program eligibility and should be considered during the discharge planning process."

Response: The regulation establishes notice requirements related to resident discharge and death, which are the established Voucher Program eligibility thresholds. Indeed, if a Voucher participant's short-term hospitalization or rehabilitative skilled nursing facility stay transitions to a long-term stay or other outcome that impacts their residency in the SNALR, then the regulation's notice requirements are prompted. As such, no changes were made in response to this comment.

Comment: A commenter recommended that the Department better define the participant disenrollment process, including who makes the determination and its basis, and alleged that SNALRs put up artificial barriers to residents' return from short-term hospitalizations or rehabilitative skilled nursing facility stays.

Response: The commenter appears to have misinterpreted the involvement of the SNALR Voucher Program in residency decisions. For purposes of clarity, Voucher Program participants are discharged from the SNALR Voucher Program upon notification from the facility that the resident has been discharged from the facility. The SNALR Voucher Program is not involved in resident placement decisions. General concerns regarding the residency termination process are outside the scope of this proposed regulatory amendment. No changes were made in response to this comment.

Comment: Commenters suggested that the Department's revisions should reflect a minimum number of beds as opposed to any reflection of current census. The commenters based this comment on an assumption that smaller, rural participant SNALRs may have only a small number of resident participants while larger, urban-based facilities may have many, and therefore receive more government funds. Commenters stated that census is an arbitrary number that routinely fluctuates, and that licensed capacity is a better qualifier because it remains static.

Response: The revised regulations institute a ten percent cap based on the facility's SNALR census at the beginning of each quarter as self-reported on the facility's Quarterly Statistical Information Report. The cap will be adjusted to correspond with how many SNALR residents are living in the facility. This will prevent larger facilities from being allocated slots based on beds that may not be filled, making enrollment opportunities available at other facilities. Since the facility cap was instituted, the facilities which have met the cap have been predominantly in Long Island and Hudson Valley, not the rural areas referenced by commenters. No action was taken in response to these comments.

Comment: A commenter recommended that the Department establish and maintain a waitlist whenever the number of eligible applicants exceeds available voucher capacity, and that written notice to every eligible waitlisted applicant and their representative be issued reflective of the applicant's position on the waitlist and plan for regular status reports. Additionally, the commenter recommended that the Department be required to maintain aggregate waitlist data and recommended regulatory language stating the Department's commitment to transparent customer service to waitlisted applicants.

Response: The SNALR Voucher Program maintains a waitlist and sends written notice when an applicant is placed on the waitlist, and Department staff maintain regular communication with Voucher Program participants and their families. No changes were made in response to these comments.

Department of Labor

NOTICE OF ADOPTION

Tree Maintenance and Removal

I.D. No. LAB-30-26-00001-A
Filing No. 976
Filing Date: 2026-10-15
Effective Date: 2026-11-04

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Part 807 to Title 12 NYCRR.

Statutory authority: Labor Law, sections 21(11) and 27-a(13)

Subject: Tree Maintenance and Removal.

Purpose: To establish safety and health standards for publicly employed tree maintenance and removal workers.

Text or summary was published in the July 29, 2026 issue of the Register, I.D. No. LAB-30-26-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Andrew Schutts, Department of Labor, Harriman Campus, Building 12, Albany, NY 12240, (518) 485-2191, email: regulations@labor.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2029, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The New York State Department of Labor received one comment in response to the proposed rulemaking, "Tree Maintenance and Removal," which was published in the New York State Register on July 29, 2026.

The commenter suggested expressly listing tree maintenance work, limbing, bucking, chipping operations, groundwork, and related tasks as covered activities in proposed section 807.7(b)(1). As drafted, section 807.7(b)(1) requires employers to "establish a method of verbal or visual communication," such as "an established command and response system or pre-arranged, two-way hand signals," which must be "reviewed during the job briefing prior to the start of pruning, trimming, or removal operations." The commenter supported this suggestion with the observation that tree work is dangerous and that tree workers face risks such as falling tree limbs, active equipment, and other workers.

The Department agrees that tree work is dangerous and that there are many potential risks for workers engaged in tree work. Ensuring workers are protected from these dangers and risks is the primary purpose of the proposed rule. The Department declines to amend the proposed rule because the suggested additional work activities – tree maintenance, limbing, bucking, chipping operations, groundwork, and related tasks – are already covered by section 807.7(b)(1) as aspects of tree pruning, trimming, and removal operations.

Public Service Commission

NOTICE OF ADOPTION

Rockefeller Center UTEN Pilot Proposal

I.D. No. PSC-34-25-00003-A

Filing Date: 2026-10-15

Effective Date: 2026-10-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order addressing Consolidated Edison Company of New York, Inc.'s (Con Edison) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Rockefeller Center Pilot Project Proposal.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Rockefeller Center UTEN Pilot Proposal.

Purpose: To address Con Edison's UTEN Pilot Proposal filings related to its Rockefeller Center Pilot Project Proposal.

Substance of final rule: The Commission, on October 15, 2026, adopted an order addressing Consolidated Edison Company of New York, Inc.'s (Con Edison) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Rockefeller Center Pilot Project Proposal. Con Edison is authorized to defer the incremental costs incurred associated with their respective pilot projects related to Stages 1 and 2 for future cost recovery from customers in a subsequent rate proceeding. Con Edison is directed to file with the Secretary to the Commission, a Final Pilot Project Stage 1 through Stage 2 Cost Report, with supporting workpapers, inclusive of all their pilot projects, detailing the final Stage 1 and Stage 2 costs being deferred for future recovery from customers, within 60 days of the issuance of the order. Con Edison is directed to work with Department of Public Service Staff and the New York State Energy Research and Development Authority so that Department of Public Service Staff and the New York State Energy Research and Development Authority can ensure that the information obtained through the development of the pilot projects, including the initial scoping of the pilot projects is appropriately considered alongside lessons that can be learned from TENs projects in other jurisdictions, and from original research in the development of the Thermal Energy Network Roadmap, subject to the terms and conditions set forth in the order.

Text or summary was published in the August 27, 2025 issue of the Register, I.D. No. PSC-34-25-00003-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SA18)

NOTICE OF ADOPTION

Haverstraw UTEN Pilot Proposal

I.D. No. PSC-34-25-00004-A

Filing Date: 2026-10-15

Effective Date: 2026-10-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order authorizing Orange and Rockland Utilities, Inc.'s (O&R) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Haverstraw Pilot Project Proposal.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Haverstraw UTEN Pilot Proposal.

Purpose: To authorize O&R's UTEN Pilot Proposal filings related to its Haverstraw Pilot Project Proposal.

Substance of final rule: The Commission, on October 15, 2026, adopted an order authorizing Orange and Rockland Utilities, Inc.'s (O&R) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Haverstraw Pilot Project Proposal. O&R is authorized to advance its West Loop Pilot utility thermal energy network project to Stage 3: Customer Enrollment and Pilot Project Construction. O&R is authorized to recover the deferred balances associated with Stages 1 and 2 of the East Loop and West Loop Pilots and the revenue requirement impact (i.e., return on and return of utility investments and the utility's share of customer equipment upgrades, cost of removal, incremental operation and maintenance expenses, and property taxes) of the West Loop Pilot during the five-year pilot period as a component of its Energy Cost Adjustment, subject to the annual cap of 1.0 percent of annual electric operating revenues. O&R shall file with the Secretary to the Commission a Monthly Progress and Expenditure Report by the 15th day of each month regarding the preceding month. Each such report shall include, consistent with the discussion in the body of the order, the actual expenditures incurred to date, a monthly cost forecast, its surcharge rate calculation, major project milestones, and status updates, including, but not limited to, regarding issues encountered during the advancement of its West Loop Pilot project. O&R is directed to file, on not less than three days' notice and to become effective on January 1, 2027, tariff amendments reflecting the recovery of the West Loop Pilot project costs in its Energy Cost Adjustment Mechanism, as described in the body of this Order and Ordering Clause 5. Thereafter, Orange and Rockland Utilities, Inc. shall update its Energy Cost Adjustment Mechanism to reflect recovery of the West Loop Pilot project costs on not less than 30 days' notice to become effective on January 1st each year, during the five-year pilot period. O&R is directed to notify their affected customers at least 30 days prior to the surcharge updates identified in Ordering Clauses 8 and 9, respectively, going into effect. O&R shall file with the Secretary to the Commission proof that they provided this notification no later than six weeks following the effective date of the surcharge. O&R is directed to file a West Loop Pilot Stage 3 Customer Protection Plan with the Secretary to the Commission within 120 days of the issuance of the order. O&R is directed to enroll customers accounting for at least 50 percent of the total forecasted load for the West Loop Pilot prior to commencing construction. Additionally, in consultation with Department of Public Service Staff, determine the specific and additional participants, if any, necessary over and above the minimum threshold of 50 percent of total load to ensure project viability. O&R shall file a letter with the Secre-

tary of the Commission documenting that it has met this milestone prior to commencing construction of the West Loop Pilot. O&R shall obtain the necessary approvals from the Joint Regional Sewerage Board necessary for the installation and operation of the West Loop Pilot utility thermal energy network infrastructure and file a letter with the Secretary to the Commission confirming it has done so prior to commencing construction of the West Loop Pilot. O&R shall execute all stakeholder agreements necessary for the installation and operation of the West Loop Pilot utility thermal energy network infrastructure and borefield sites and file a letter with the Secretary to the Commission confirming it has done so prior to commencing construction of the West Loop Pilot. O&R is directed to work with Department of Public Service Staff and the New York State Energy Research and Development Authority so that Department of Public Service Staff and the New York State Energy Research and Development Authority can ensure that the information obtained through the development of the pilot projects, including the initial scoping of the pilot projects is appropriately considered alongside lessons that can be learned from TENs projects in other jurisdictions, and from original research in the development of the Thermal Energy Network Roadmap, subject to the terms and conditions set forth in the order.

Text or summary was published in the August 27, 2025 issue of the Register, I.D. No. PSC-34-25-00004-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SA22)

NOTICE OF ADOPTION

Mount Vernon UTEN Pilot Proposal

I.D. No. PSC-34-25-00006-A

Filing Date: 2026-10-15

Effective Date: 2026-10-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order authorizing Consolidated Edison Company of New York, Inc.'s (Con Edison) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Mount Vernon Pilot Project Proposal.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Mount Vernon UTEN Pilot Proposal.

Purpose: To authorize Con Edison's UTEN Pilot Proposal filings related to its Mount Vernon Pilot Project Proposal.

Substance of final rule: The Commission, on October 15, 2026, adopted an order authorizing Consolidated Edison Company of New York, Inc.'s (Con Edison) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Mount Vernon Pilot Project Proposal. Con Edison is authorized to defer the incremental costs incurred associated with their respective pilot projects related to Stages 1 and 2 for future cost recovery from customers in a subsequent rate proceeding. Con Edison is directed to file with the Secretary to the Commission, a Final Pilot Project Stage 1 through Stage 2 Cost Report, with supporting workpapers, inclusive of all their pilot projects, detailing the final Stage 1 and Stage 2 costs being deferred for future recovery from customers, within 60 days of the issuance of the order. Con Edison is directed to work with Department of Public Service Staff and the New York State Energy Research and Development Authority so that Department of Public Service Staff and the New York State Energy Research and Development Authority can ensure that the information obtained through the development of the pilot projects, including the initial scoping of the pilot projects is appropriately considered alongside lessons that can be learned from TENs projects in other jurisdictions, and from original research in the development of the Thermal Energy Network Roadmap, subject to the terms and conditions set forth in the order.

Text or summary was published in the August 27, 2025 issue of the Register, I.D. No. PSC-34-25-00006-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SA19)

NOTICE OF ADOPTION

Brooklyn UTEN Pilot Proposal

I.D. No. PSC-34-25-00007-A

Filing Date: 2026-10-15

Effective Date: 2026-10-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order addressing The Brooklyn Union Gas Company d/b/a National Grid's (KEDNY) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Brooklyn Pilot Project Proposal.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Brooklyn UTEN Pilot Proposal.

Purpose: To address KEDNY's UTEN Pilot Proposal filings related to its Brooklyn Pilot Project Proposal.

Substance of final rule: The Commission, on October 15, 2026, adopted an order addressing The Brooklyn Union Gas Company d/b/a National Grid's (KEDNY) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Brooklyn Pilot Project Proposal. KEDNY is authorized to defer the incremental costs incurred associated with their respective pilot projects related to Stages 1 and 2 for future cost recovery from customers in a subsequent rate proceeding. KEDNY is directed to file with the Secretary to the Commission, a Final Pilot Project Stage 1 through Stage 2 Cost Report, with supporting workpapers, inclusive of all their pilot projects, detailing the final Stage 1 and Stage 2 costs being deferred for future recovery from customers, within 60 days of the issuance of the order. KEDNY is directed to work with Department of Public Service Staff and the New York State Energy Research and Development Authority so that Department of Public Service Staff and the New York State Energy Research and Development Authority can ensure that the information obtained through the development of the pilot projects, including the initial scoping of the pilot projects is appropriately considered alongside lessons that can be learned from TENs projects in other jurisdictions, and from original research in the development of the Thermal Energy Network Roadmap, subject to the terms and conditions set forth in the order.

Text or summary was published in the August 27, 2025 issue of the Register, I.D. No. PSC-34-25-00007-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SA20)

NOTICE OF ADOPTION

Syracuse UTEN Pilot Proposal

I.D. No. PSC-34-25-00009-A

Filing Date: 2026-10-15

Effective Date: 2026-10-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order addressing Niagara Mohawk Power Corporation d/b/a National Grid's (National Grid) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Syracuse Pilot Project Proposal.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Syracuse UTEN Pilot Proposal.

Purpose: To address National Grid's UTEN Pilot Proposal filings related to its Syracuse Pilot Project Proposal.

Substance of final rule: The Commission, on October 15, 2026, adopted an order addressing Niagara Mohawk Power Corporation d/b/a National Grid's (National Grid) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Syracuse Pilot Project Proposal. National Grid is authorized to defer the incremental costs incurred associated with their respective pilot projects related to Stages 1 and 2 for future cost recovery from customers in a subsequent rate proceeding. National Grid is directed to file with the Secretary to the Commission, a Final Pilot Project Stage 1 through Stage 2 Cost Report, with supporting workpapers, inclusive of all their pilot projects, detailing the final Stage 1 and Stage 2 costs being deferred for future recovery from customers, within 60 days of the issuance of the order. National Grid is directed to work with Department of Public Service Staff and the New York State Energy Research and Development Authority so that Department of Public Service Staff and the New York State Energy Research and Development Authority can ensure that the information obtained through the development of the pilot projects, including the initial scoping of the pilot projects is appropriately considered alongside lessons that can be learned from TENs projects in other jurisdictions, and from original research in the development of the Thermal Energy Network Roadmap, subject to the terms and conditions set forth in the order.

Text or summary was published in the August 27, 2025 issue of the Register, I.D. No. PSC-34-25-00009-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SA21)

NOTICE OF ADOPTION

Chelsea UTEN Pilot Proposal

I.D. No. PSC-34-25-00010-A

Filing Date: 2026-10-15

Effective Date: 2026-10-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order addressing Consolidated Edison Company of New York, Inc.'s (Con Edison) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Chelsea Pilot Project Proposal.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Chelsea UTEN Pilot Proposal.

Purpose: To address Con Edison's UTEN Pilot Proposal filings related to its Chelsea Pilot Project Proposal.

Substance of final rule: The Commission, on October 15, 2026, adopted an order addressing Consolidated Edison Company of New York, Inc.'s (Con Edison) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Chelsea Pilot Project Proposal. Con Edison is authorized to defer the incremental costs incurred associated with their respective pilot projects related to Stages 1 and 2 for future cost recovery from customers in a subsequent rate proceeding. Con Edison is directed to file with the Secretary to the Commission, a Final Pilot Project Stage 1 through Stage 2 Cost Report, with supporting workpapers, inclusive of all their pilot projects, detailing the final Stage 1 and Stage 2 costs being deferred for future recovery from customers, within 60 days of the issuance of the order. Con Edison is directed to work with Department of Public Service Staff and the New York State Energy Research and Development Authority so that Department of Public Service Staff and the New York State Energy Research and Development Authority can ensure that the information obtained through the development of the pilot projects, including the initial scoping of the pilot projects is appropriately considered alongside lessons that can be learned from TENs projects in other jurisdictions, and from original research in the development of the Thermal Energy Network Roadmap, subject to the terms and conditions set forth in the order.

Text or summary was published in the August 27, 2025 issue of the Register, I.D. No. PSC-34-25-00010-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SA17)

NOTICE OF ADOPTION

Ithaca UTEN Pilot Proposal

I.D. No. PSC-34-25-00011-A

Filing Date: 2026-10-15

Effective Date: 2026-10-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order addressing New York State Electric & Gas Corporation's (NYSEG) July 9, 2025, Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Ithaca Pilot Project Proposal.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Ithaca UTEN Pilot Proposal.

Purpose: To address NYSEG's UTEN Pilot Proposal filings related to its July 9, 2025, Ithaca Pilot Project Proposal.

Substance of final rule: The Commission, on October 15, 2026, adopted an order addressing New York State Electric & Gas Corporation's (NYSEG) July 9, 2025, Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Ithaca Pilot Project Proposal. NYSEG is authorized to defer the incremental costs incurred associated with their respective pilot projects related to Stages 1 and 2 for future cost recovery from customers in a subsequent rate proceeding. NYSEG is directed to file with the Secretary to the Commission, a Final Pilot Project Stage 1 through Stage 2 Cost Report, with supporting workpapers, inclusive of all their pilot projects, detailing the final Stage 1 and Stage 2 costs being deferred for future recovery from customers, within 60 days of the issuance of the order. NYSEG is directed to work with Department of Public Service Staff and the New York State Energy Research and Development Authority so that Department of Public Service Staff and the New York State Energy Research and Development Authority can ensure that the information obtained through the development of the pilot projects, including the initial scoping of the pilot projects is appropriately considered alongside lessons that can be learned from TENs projects in other jurisdictions, and from original research in the development of the Thermal Energy Network Roadmap, subject to the terms and conditions set forth in the order.

Text or summary was published in the August 27, 2025 issue of the Register, I.D. No. PSC-34-25-00011-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SA23)

NOTICE OF ADOPTION

Extension of the State Universal Service Fund

I.D. No. PSC-43-25-00003-A
Filing Date: 2026-10-16
Effective Date: 2026-10-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order adopting the terms of the Joint Proposal and granting the petitions filed by six Incumbent Local Exchange Carriers to extend the State Universal Service Fund (SUSF) until December 31, 2030.

Statutory authority: Public Service Law, sections 4, 5, 90, 91, 92, 94 and 97

Subject: Extension of the State Universal Service Fund.

Purpose: To adopt the Joint Proposal and grant the petitions to extend the SUSF until December 31, 2026.

Substance of final rule: The Commission, on October 15, 2026, adopted an order adopting the terms of the Joint Proposal and granting the petitions filed by six Incumbent Local Exchange Carriers to extend the State Universal Service Fund (SUSF) until December 31, 2030, subject to the terms and conditions set forth in the order.

Text or summary was published in the October 29, 2025 issue of the Register, I.D. No. PSC-43-25-00003-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(15-M-0742SA4)

NOTICE OF ADOPTION

Ithaca UTEN Pilot Proposal

I.D. No. PSC-49-25-00001-A
Filing Date: 2026-10-15
Effective Date: 2026-10-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order addressing New York State Electric & Gas Corporation's (NYSEG) November 7, 2025, Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Ithaca Pilot Project Proposal.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Ithaca UTEN Pilot Proposal.

Purpose: To address NYSEG's UTEN Pilot Proposal filings related to its November 7, 2025, Ithaca Pilot Project Proposal.

Substance of final rule: The Commission, on October 15, 2026, adopted an order addressing New York State Electric & Gas Corporation's (NYSEG) November 7, 2025, Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Ithaca Pilot Project Proposal. NYSEG is authorized to defer the incremental costs incurred associated with their respective pilot projects related to Stages 1 and 2 for future cost recovery from customers in a subsequent rate proceeding. NYSEG is directed to file with the Secretary to the Commission, a Final Pilot Project Stage 1 through Stage 2 Cost Report, with supporting workpapers, inclusive of all their pilot projects, detailing the final Stage 1 and Stage 2 costs being deferred for future recovery from customers, within 60 days of the issuance of the order. NYSEG is directed to work with Department of Public Service Staff and the New York State Energy Research and Development Authority so that Department of Public Service Staff and the New York State Energy Research and Development Authority can ensure that the information obtained through the development of the pilot projects, including the initial scoping of the pilot projects is appropriately considered alongside lessons that can be learned from TENs projects in other jurisdictions, and from original research in the development of the Thermal Energy Network Roadmap, subject to the terms and conditions set forth in the order.

Text or summary was published in the December 10, 2025 issue of the Register, I.D. No. PSC-49-25-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SA24)

NOTICE OF ADOPTION

Troy UTEN Pilot Proposal

I.D. No. PSC-01-26-00005-A
Filing Date: 2026-10-15
Effective Date: 2026-10-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order addressing Niagara Mohawk Power Corporation d/b/a National Grid's (National Grid) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Troy Pilot Project Proposal.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Troy UTEN Pilot Proposal.

Purpose: To address National Grid's UTEN Pilot Proposal filings related to its Troy Pilot Project Proposal.

Substance of final rule: The Commission, on October 15, 2026, adopted an order addressing Niagara Mohawk Power Corporation d/b/a National Grid's (National Grid) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Troy Pilot Project Proposal. National Grid is authorized to defer the incremental costs incurred associated with their respective pilot projects related to Stages 1 and 2 for future cost recovery from customers in a subsequent rate proceeding. National Grid is directed to file with the Secretary to the Commission, a Final Pilot Project Stage 1 through Stage 2 Cost Report, with supporting workpapers, inclusive of all their pilot projects, detailing the final Stage 1 and Stage 2 costs being deferred for future recovery from customers, within 60 days of the issuance of the order. National Grid is directed to work with Department of Public Service Staff and the New York State Energy Research and Development Authority so that Department of Public Service Staff and the New York State Energy Research and Development Authority can ensure that the information obtained through the development of the pilot projects, including the initial scoping of the pilot projects is appropriately considered alongside lessons that can be learned from TENs projects in other jurisdictions, and from original research in the development of the Thermal Energy Network Roadmap, subject to the terms and conditions set forth in the order.

Text or summary was published in the January 7, 2026 issue of the Register, I.D. No. PSC-01-26-00005-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SA25)

NOTICE OF ADOPTION

Poughkeepsie UTEN Pilot Proposal

I.D. No. PSC-17-26-00002-A
Filing Date: 2026-10-15
Effective Date: 2026-10-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order addressing Central Hudson Gas & Electric Corporation's (Central Hudson) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Poughkeepsie Pilot Project Proposal.

Statutory authority: Public Service Law, sections 4(1), 5(1), 65, 66 and 66-t

Subject: Poughkeepsie UTEN Pilot Proposal.

Purpose: To address Central Hudson's UTEN Pilot Proposal filings related to its Poughkeepsie Pilot Project Proposal.

Substance of final rule: The Commission, on October 15, 2026, adopted an order addressing Central Hudson Gas & Electric Corporation (Central Hudson) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Poughkeepsie Pilot Project Proposal. Central Hudson is authorized to defer the incremental costs incurred associated with their respective pilot projects related to Stages 1 and 2 for future cost recovery from customers in a subsequent rate proceeding. Central Hudson is directed to file with the Secretary to the Commission, a Final Pilot Project Stage 1 through Stage 2 Cost Report, with supporting workpapers, inclusive of all their pilot projects, detailing the final Stage 1 and Stage 2 costs being deferred for future recovery from customers, within 60 days of the issuance of the order. Central Hudson is directed to work with Department of Public Service Staff and the New York State Energy Research and Development Authority so that Department of Public Service Staff and the New York State Energy Research and Development Authority can ensure that the information obtained through the development of the pilot projects, including the initial scoping of the pilot projects is appropriately considered alongside lessons that can be learned from TENs projects in other jurisdictions, and from original research in the development of the Thermal Energy Network Roadmap, subject to the terms and conditions set forth in the order.

Text or summary was published in the April 29, 2026 issue of the Register, I.D. No. PSC-17-26-00002-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SA26)

NOTICE OF ADOPTION

Minor Rate Increase

I.D. No. PSC-21-26-00004-A
Filing Date: 2026-10-16
Effective Date: 2026-10-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order authorizing Valley Energy, Inc.'s (Valley Energy) proposed amendments to its PSC No. 1 — Gas, increasing its annual base delivery revenues by $300,000 for the 12 months ending October 31, 2027.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Minor rate increase.

Purpose: To authorize Valley Energy's minor rate increase for the 12 months ending October 31, 2027.

Substance of final rule: The Commission, on October 15, 2026, adopted an order authorizing Valley Energy, Inc.'s (Valley Energy) proposed amendments to its PSC No. 1 - Gas, increasing its annual base delivery revenues by $300,000, or a 21.5 percent increase to distribution revenues and a 12.3 percent increase to total revenues for the 12 months ending October 31, 2027. Valley Energy is directed to file a cancellation supplement, effective on not less than one day's notice, on or before October 23, 2026, canceling the tariff amendments listed in Appendix A to the order. Valley Energy is directed to notify its customers of the Commission's decision in this proceeding no later than 60 days following the issuance of the order, by newspaper publication once a week for four consecutive weeks as discussed in the order. Valley Energy is directed to file with the Secretary to the Commission, no later than 90 days after the issuance of the order, a copy of the newspaper publication required in Ordering Clause 4, and an affidavit demonstrating that it has complied with Ordering Clause 4. Valley Energy is directed to work with the Department of Public Service's Office of Consumer Services staff to revise its customer service handbook, employee training plan, deferred payment agreement form, and customer complaint form as discussed in the order. Valley Energy shall file these written customer service procedures and copies of the related materials with the Secretary to the Commission within 180 days of the issuance of the order, subject to the terms and conditions set forth in the order.

Text or summary was published in the May 27, 2026 issue of the Register, I.D. No. PSC-21-26-00004-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(26-G-0283SA1)

NOTICE OF ADOPTION

Transfer of Stock and Ownership

I.D. No. PSC-24-26-00020-A
Filing Date: 2026-10-20
Effective Date: 2026-10-20

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order authorizing Reserve Gas Co., Inc. (RGC) and G2P Energy LLC's (G2P) joint petition for transfer of 100% ownership from RCG to G2P, does not address the joint petition of Alden Aurora Gas, Inc. and PPP Future Development.

Statutory authority: Public Service Law, sections 66 and 70

Subject: Transfer of stock and ownership.

Purpose: To authorize the transfer of 100% ownership of RCG to G2P.

Substance of final rule: The Commission, on October 15, 2026, adopted an order authorizing Reserve Gas Co., Inc. (RGC) and G2P Energy LLC's (G2P) joint petition for transfer of 100% ownership from RCG to G2P, does not address the joint petition of Alden Aurora Gas., Inc. (AAG) and PPP Future Development, Inc. (PPP) as AAG is generally not subject to the Commission's jurisdiction under Public Service Law § 66-g. The petition of RGC and G2P for G2P to acquire 100 percent of the assets of RGC is approved, as discussed in the body of the order. The authority is granted for one year from the issuance of the order and shall expire if the transaction is not completed within the one-year period. Within 90 days of the date of closing, RGC shall file with the Secretary to the Commission documents showing the acquisition has occurred. Within 90 days of the date of closing, RGC shall file with the Secretary to the Commission documents showing the total costs attributable to the acquisition and the corresponding journal entries recorded on RGC's, G2P's, and PPP's books of record. Within 90 days of the date of closing, RGC shall file a lease agreement between RGC and AAG for all property and facilities AAG leases to RGC. Within 90 days of the date of closing, RGC shall file electronic tariff schedule P.S.C. No. 2 – Gas, to become effective on not less than 30 days' notice. Within 90 days of the date of closing, RGC, shall file a cancellation supplement, cancelling the paper tariff schedule P.S.C. No. 1 – Gas in its entirety, on not less than 30 days' notice, to become effective the same day as the tariff schedule directed in Ordering Clause No. 5 becomes effective. PPP and its affiliates shall not pledge, encumber, or otherwise use the assets of RGC as collateral to support any non-utility financing, including future acquisitions or business ventures, without prior Commission approval.

RGC, AAG, PPP, and G2P shall provide Department of Public Service staff with access to all their books and records upon request as described in the body of the order. The Petitioners shall continue to maintain separate books and records for RGC, including but not limited to the general ledger and trial balance. The books and records of RGC shall not be removed from New York State without prior Commission approval. All costs associated with the transaction shall be excluded from RGC's rate base, expenses, and capitalization for ratemaking and regulatory accounting purposes. No transaction-related costs shall be recovered from RGC's customers. RGC is directed to work with Department of Public Service's Office of Consumer Services staff to review and revise its customer service operation forms, processes and procedures, and customer-facing documents and notices as discussed herein, and to file copies of the revised materials with the Secretary to the Commission within 180 days of the issuance of the order. RGC shall track all savings and benefits associated with the transfer and provide in its first post-acquisition rate filing a report identifying all savings, subject to the terms and conditions set forth in the order.

Text or summary was published in the June 17, 2026 issue of the Register, I.D. No. PSC-24-26-00020-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(25-G-0510SA1)

NOTICE OF ADOPTION

Buffalo UTEN Pilot Proposal

I.D. No. PSC-25-26-00010-A

Filing Date: 2026-10-15

Effective Date: 2026-10-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order authorizing National Fuel Gas Distribution Corporation's (NFG) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Buffalo Pilot Project Proposal.

Statutory authority: Public Service Law, sections 4(1), 5(1), 65, 66 and 66-t

Subject: Buffalo UTEN Pilot Proposal.

Purpose: To authorize NFG's UTEN Pilot Proposal filings related to its Buffalo Pilot Project Proposal.

Substance of final rule: The Commission, on October 15, 2026, adopted an order authorizing National Fuel Gas Distribution Corporation's (NFG) Utility Thermal Energy Network (UTEN) Pilot Proposal filings related to its Buffalo Pilot Project Proposal. NFG is authorized to advance its Buffalo Pilot utility thermal energy network project to Stage 3: Customer Enrollment and Pilot Project Construction. NFG is authorized to recover the deferred balances associated with Stages 1 and 2 of the Buffalo Pilot and the revenue requirement impact (i.e., return on and return of utility-sided investments and the utility's share of customer equipment upgrades, cost of removal, incremental operation and maintenance expenses, and property taxes) of the Buffalo Pilot during the five-year pilot period as a component of the Delivery Adjustment Charge, subject to the annual cap of 1.0 percent of annual gas operating revenues. NFG shall file with the Secretary to the Commission a Monthly Progress and Expenditure Report by the 15th day of each month regarding the preceding month. Each such report shall include, consistent with the discussion in the body of the order, the actual expenditures incurred to date, a monthly cost forecast, its surcharge rate calculation, major project milestones, and status updates, including, but not limited to, regarding issues encountered during the advancement of its Buffalo Pilot Project. NFG is directed to file, on not less than three days' notice and to become effective on January 1, 2027, tariff amendments reflecting the recovery of the Buffalo Pilot project costs in its Long Term Plan Statement, as described in the body of the order and Ordering Clause 4. Thereafter, NFG shall update its Long Term Plan Statement to reflect the recovery of the Buffalo Pilot project costs on not less than 30 days' notice to become effective on October 1st each year, during the five-year pilot period. NFG is directed to notify their affected customers at least 30 days prior to the surcharge updates identified in Ordering Clauses 8 and 9, respectively, going into effect. NFG shall file with the Secretary to the Commission proof that they provided this notification no later than six weeks following the effective date of the surcharge. NFG is directed to file a Buffalo Pilot Stage 3 Customer Protection Plan, consistent with the discussion in the body of the order, with the Secretary to the Commission within 120 days of the issuance of the order. NFG is directed to enroll both the City of Buffalo and Beacon Communities as participants in the Buffalo Pilot prior to commencing construction. NFG shall file a letter with the Secretary of the Commission documenting that it has met this milestone prior to commencing construction of the Buffalo Pilot. NFG shall obtain the necessary approvals from the Buffalo Sewer Authority necessary for the installation and operation of the Buffalo Pilot utility thermal energy network infrastructure and file a letter with the Secretary to the Commission confirming it has done so prior to commencing construction of the Buffalo Pilot. NFG shall execute all agreements necessary for the installation and operation of the Buffalo Pilot utility thermal energy network infrastructure and borefield sites and file a letter with the Secretary to the Commission confirming it has done so prior to commencing construction of the Buffalo Pilot. NFG is directed to work with Department of Public Service Staff and the New York State Energy Research and Development Authority so that Department of Public Service Staff and the New York State Energy Research and Development Authority can ensure that the information obtained through the development of the pilot projects, including the initial scoping of the pilot projects is appropriately considered alongside lessons that can be learned from TENs projects in other jurisdictions, and from original research in the development of the Thermal Energy Network Roadmap, subject to the terms and conditions set forth in the order.

Text or summary was published in the June 24, 2026 issue of the Register, I.D. No. PSC-25-26-00010-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SA27)

NOTICE OF ADOPTION

Customer Benefit Contribution Charge

I.D. No. PSC-26-26-00004-A

Filing Date: 2026-10-19

Effective Date: 2026-10-19

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order authorizing Central Hudson Gas & Electric Corporation's (Central Hudson) proposed tariff revisions to its PSC No. 15 to become effective December 1, 2026 and directs other utilities to make similar updates their tariffs.

Statutory authority: Public Service Law, sections 4(1), 5(1), (2), 65(1), (2), (3), 66(1), (2), (5), (12), (14), 66-j, 66-l and 66-p

Subject: Customer Benefit Contribution charge.

Purpose: To authorize Central Hudson's tariff revisions to become effective 12/1/26 and directing other utilities to make tariff updates.

Substance of final rule: The Commission, on October 15, 2026, adopted an order authorizing Central Hudson Gas & Electric Corporation's (Central Hudson) proposed tariff revisions to its P.S.C No. 15 to become effective December 1, 2026 and directs other utilities to make similar updates their tariffs. Consolidated Edison Company of New York, Inc., New York State Electric & Gas Corporation, Niagara Mohawk Power Corporation d/b/a National Grid, Orange and Rockland Utilities, Inc., and Rochester Gas and Electric Corporation shall file tariff amendments, in Case 15-E-0751, on or before November 16, 2026, incorporating similar language to Central Hudson Gas & Electric Corporation's Customer Benefit Contribution charge tariff amendments to become effective December 1, 2026. Within 30 days of the issuance of the order, Consolidated Edison Company of New York, Inc., New York State Electric & Gas Corporation, Niagara Mohawk Power Corporation d/b/a National Grid, Orange and

Rockland Utilities, Inc., and Rochester Gas and Electric Corporation are directed to update their respective websites to reflect the Customer Benefit Contribution tariff amendments. Within 10 days of the completion of Ordering Clause No. 5, each utility is directed to file, in Case 15-E-0751, notification of such completion, subject to the terms and conditions set forth in the order.

Text or summary was published in the July 1, 2026 issue of the Register, I.D. No. PSC-26-26-00004-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(26-E-0402SA1)

NOTICE OF ADOPTION

Approval of Implementation Plan

I.D. No. PSC-27-26-00014-A

Filing Date: 2026-10-16

Effective Date: 2026-10-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order approving Frontier Communications Parent, Inc.'s (Frontier) Implementation Plan and directing Frontier to implement it.

Statutory authority: Public Service Law, sections 4(1), 94(2) and 96(6)

Subject: Approval of Implementation Plan.

Purpose: To approve Frontier's implementation plan and directing Frontier to implement it.

Substance of final rule: The Commission, on October 15, 2026, adopted an order approving Frontier Communications Parent, Inc.'s (Frontier) Implementation Plan and directing Frontier to implement it. Frontier shall provide progress reports as described in the body of the order. Such progress reports shall be filed with the Secretary by December 31, 2026, and according to the schedule described in the body of the order until the Director of the Office of Accounting, Audits and Finance ends this reporting requirement. Frontier shall provide to the Secretary each deliverable identified in the Implementation Plan by the due date identified in the body of the order, subject to the terms and conditions set forth in the order.

Text or summary was published in the July 8, 2026 issue of the Register, I.D. No. PSC-27-26-00014-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(24-C-0537SA1)

NOTICE OF ADOPTION

Modifications to the Firm Gas Demand Response Programs for the 2026-2027 Winter Season

I.D. No. PSC-29-26-00006-A

Filing Date: 2026-10-16

Effective Date: 2026-10-16

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order approving the National Grid Companies (KEDNY, KEDLI and Niagara Mohawk) proposed modifications to the firm gas demand response programs for the 2026-2027 winter capability period and directing tariff filings.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Modifications to the firm gas demand response programs for the 2026-2027 winter season.

Purpose: To approve the National Grid Companies proposed modfications to the firm gas demand response programs.

Substance of final rule: The Commission, on October 15, 2026, adopted an order approving Niagara Mohawk Power Corp. d/b/a National Grid (Niagara Mohawk), The Brooklyn Union Gas Company d/b/a National Grid NY (KEDNY), & KeySpan Gas East Corp. d/b/a National Grid (KEDLI) proposed modifications to the firm gas demand response programs for the 2026-2027 winter capability period and directing tariff filings. KEDNY and KEDLI are directed to file tariff amendments on not less than five days' notice, to become effective November 1, 2026, establishing a daily-average temperature threshold of 15 degrees Fahrenheit as an additional event activation criterion for the Load Shedding and Load Shifting Programs. Niagara Mohawk is directed to file tariff amendments on not less than five days' notice, to become effective November 1, 2026, establishing a daily-average-temperature threshold of 10 degrees Fahrenheit as an additional event activation criterion for the Load Shedding and Load Shifting Programs. KEDNY and KEDLI are directed to file tariff amendments on not less than five days' notice, to become effective November 1, 2026, modifying the Performance Factor calculation for the Load Shedding Direct Load Control option to exclude the three-hour preheat and three-hour snapback periods from the calculation used to determine Reservation Payments, while continuing to account for such periods in evaluating full gas-day program impacts. KEDNY, KEDLI and Niagara Mohawk are directed to file tariff amendments on not less than five days' notice, to become effective November 1, 2026, establishing maximum Bring Your Own Thermostat Program incentives per utility account of $100 for enrollment, $100 annually for performance, and $60 annually for participation in the Full Day Bonus option, equivalent to incentives for four devices, subject to the terms and conditions set forth in the order.

Text or summary was published in the July 22, 2026 issue of the Register, I.D. No. PSC-29-26-00006-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-G-0086SA8)

NOTICE OF ADOPTION

Issuance of Long-Term Debt Securities

I.D. No. PSC-29-26-00010-A

Filing Date: 2026-10-20

Effective Date: 2026-10-20

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order authorizing Consolidated Edison Company of New York, Inc. (Con Edison) to issue and sell up to $11.19 billion of long-term debt securities in one or more transactions, no later than December 31, 2030.

Statutory authority: Public Service Law, section 69

Subject: Issuance of long-term debt securities.

Purpose: To authorize Con Edison to issue long-term debt securities no later than December 31, 2030.

Substance of final rule: The Commission, on October 15, 2026, adopted an order authorizing Consolidated Edison Company of New York, Inc. (Con Edison or, the Company) to issue and sell up to $11.19 billion of long-term debt securities in one or more transactions, no later than December 31, 2030. The proceeds from the issuance of these securities shall only be used for the purposes described in Ordering Clause 2. Con Edison is authorized to enter into or continue Revolving Credit Agreements in a cumulative amount not to exceed $3.50 billion, over a period not to exceed December 31, 2030. For the purposes of the optional refund-

ing of its outstanding unsecured long-term debt, Con Edison is authorized to issue up to $2.50 billion of Refunding Debt and up to $500 million of any associated premium provided it is economic to do so, no later than December 31, 2030. This Order supersedes and revokes the authorization granted in Case 23-M-0694, Order Authorizing Issuance of Securities, issued March 15, 2024. The proceeds from the issuance of the securities authorized by the order may be used only for a) the acquisition of property, the construction, completion, extension or improvement of Con Edison's facilities or the improvement or maintenance of its service within New York State (to the extent such expenditures exceed funds originating from credits to the accumulated provisions for depreciation, net salvage and accumulated deferred income taxes); b) for the discharge or lawful refunding of Con Edison's obligations; or c) to reimburse Con Edison's treasury for equivalent moneys expended for those purposes prior to March 31, 2026, provided that Con Edison has kept its accounts and vouchers of such expenditures in such a manner as to enable the Commission to ascertain the amount of moneys so expended and the purposes for which such expenditures were made. Within 30 days after the end of each calendar quarter, Con Edison shall submit a compliance filing to the Secretary to the Commission containing the elements described in the body of the order for any security executed during the preceding quarter. If Con Edison does not issue any securities during that quarterly period, the Company shall submit a quarterly compliance filing indicating no such securities were issued. Within 30 days after the end of each calendar quarter, Con Edison shall submit to the Secretary to the Commission a compliance filing containing the elements described in the body of the order for the execution of any debt authorized under the order during the preceding quarter for the purposes of optionally refunding its existing debt. The compliance filing shall include, at a minimum, a net present value analysis which demonstrates that Con Edison will obtain reasonable savings because of the refunding or, if Con Edison predicated the refunding upon other criteria, a letter by its Chief Financial Officer certifying and explaining the reasonableness of its refunding strategy. The filing shall also include a proposed amortization schedule for any redemption premium and unamortized debt discount and expense balances. This schedule shall reflect an amortization period that is the shorter of the remaining life of any refunded issue or the life of the new debt issue. If Con Edison does not optionally refund securities during the quarterly period, it shall submit a quarterly compliance filing indicating that no such securities were issued. Subject to the conditions in the body of the order, Con Edison shall be authorized to defer its costs associated with the issuance of securities authorized under this Order in Account 181, Unamortized Debt Expense. The amounts so deferred shall be amortized to Account 428, Amortization of Debt Discount and Expense. In the case of securities issued to refinance existing securities, Con Edison shall recover the costs associated with issuing the securities, except to the extent that the associated costs are drawn from the proceeds of securities, over the shorter of the remaining life of the refunded securities or the life of the new securities. Con Edison shall, within 60 days after the end of each calendar year, file with the Secretary to the Commission a verified report in the form prescribed by 16 NYCRR Sections 115.1 and 245.1. The report shall include the date the proceeds were utilized for the purposes authorized in Ordering Clauses 1 and 2 of the order, the amount, and the purpose for which the funds were used. If, upon examination of the uses to which any proceeds are put, it is determined that any expenditure is not a reasonable and proper capital charge, or has not been duly authorized by the Commission, or is in violation of any provision of the law, a sum equal to the expenditure shall, upon order of the Commission, promptly be placed in a commercial banking institution or institutions and said sum shall be subject to all of the conditions and restrictions of the order. The authority granted, and the conditions imposed by the order shall not be construed as passing upon or otherwise approving the accuracy of the books, records, and accounts of Con Edison, nor does the authority to issue securities bind this Commission to any specific ratemaking treatment or regulatory regime. The securities authorized by the order shall not be issued unless and until Con Edison files with the Secretary to the Commission an unconditional acceptance to obey all the terms, conditions and requirements of the order. If such acceptance is not so filed within a period of 30 days from the effective date of the order, the order may be revoked by the Commission without further notice, subject to the terms and conditions set forth in the order.

Text or summary was published in the July 22, 2026 issue of the Register, I.D. No. PSC-29-26-00010-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(26-M-0463SA1)

NOTICE OF ADOPTION

Issuance of New Long-Term Indebtedness

I.D. No. PSC-30-26-00002-A
Filing Date: 2026-10-20
Effective Date: 2026-10-20

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order authorizing Corning Natural Gas Corporation (Corning) to issue up to $17.0 million of new long-term debt through December 31, 2030.

Statutory authority: Public Service Law, section 69

Subject: Issuance of new long-term indebtedness.

Purpose: To authorize Corning to issue new long-term debt through December 31, 2030.

Substance of final rule: The Commission, on October 15, 2026, adopted an order authorizing Corning Natural Gas Corporation (Corning, or the Company) to issue up to $17.0 million of new long-term debt through December 31, 2030. The proceeds from the issuance of these debts shall be used only for the purposes described in Ordering Clause 2. Corning is also authorized to continue to use its existing revolving line of credit for long-term indebtedness purposes and to increase the amount that can be outstanding at any one time to no more than $12.00 million through December 31, 2030. For the purpose of refinancing its outstanding long-term indebtedness on its revolving line of credit, Corning is authorized to issue up to $5.10 million of long-term debt, provided that it is economic to do so, in a single transaction not later than December 31, 2030. Corning's request to refinance any additional part of its debt portfolio up to $12.00 million is denied. This Order supersedes and revokes the authorization granted in Case 24-G-0148, Order Authorizing Issuance of Long-Term Indebtedness, issued June 24, 2024. Unless otherwise noted, the proceeds from the issuance of the debts authorized by the order may be used only for: (a) the acquisition of property, the construction, completion, extension or improvement of Corning's facilities or the improvement or maintenance of the Company's service within New York State (to the extent such expenditures exceed funds originating from credits to the accumulated deferred income taxes); (b) for the discharge or lawful refinancing of Corning's obligations; or (c) to reimburse Corning's treasury for equivalent money expended for those purposes prior to December 31, 2025, provided that Corning has kept its accounts and vouchers of such expenditures in such a manner as to enable us to ascertain the amount of moneys so expended and the purposes for which such expenditures were made. Within 30 days after the end of each calendar quarter, Corning shall submit a compliance filing to the Secretary to the Commission containing the elements described in the body of the order for any indebtedness executed during that particular quarter. If Corning does not issue any indebtedness during that quarterly period, it shall submit a compliance filing to the Secretary to the Commission indicating that no such indebtedness was issued. For the particular calendar quarter during which Corning executes a transaction to refinance the $5.10 million that is currently outstanding on its revolving line of credit, it shall file with the Secretary to the Commission a compliance filing containing the elements described in the body of the order within 30 days after the end of that quarterly period. The compliance filing shall include, at a minimum, a net present value analysis demonstrating that the Company will obtain reasonable savings because of the refinancing or, if Corning predicates the refinancing upon other criteria, the Company must provide a letter by the Company's Chief Financial Officer which certifies and explains the reasonableness of its refinancing strategy. In addition, the Company shall provide a schedule of all costs associated with the refinancing and the prescribed amortization period for those costs. If Corning does not optionally refinance any indebtedness during that quarterly period, the Company is still obligated to submit a quarterly compliance filing indicating that no such refinancing occurred. Once the refinancing has been executed and the Secretary to the Commission has been notified, this compliance requirement will cease. Within 30 days after the end of the calendar quarter, Corning shall file with the Secretary to the Commission a letter indicating the date of when the Company's revolving line of credit increases from $9.01 million to $12.00 million, accompanied by a copy of the agreement(s) governing the increased revolving line of credit. If Corning does not increase its revolver from

$9.01 million to $12.00 million during that quarterly period, the Company is obligated to submit a quarterly compliance filing indicating that no such activity occurred. The Company must continue submitting a quarterly compliance filing indicating that no such activity occurred until this transaction is executed. Once the transaction is executed and the Secretary to the Commission has been notified, this compliance requirement will cease. Subject to the conditions in the body of the order, Corning is authorized to defer the costs associated with the issuance of debts authorized under the order in Account 181, Unamortized Debt Expense, except to the extent that the associated costs are drawn from the proceeds of the debt issuances. The amounts so deferred shall be amortized to Account 428, Amortization of Debt Discount and Expense. Corning shall, within 60 days after the end of each calendar year, file with the Secretary to the Commission a verified report in the form prescribed by Title 16 of the New York Codes, Rules and Regulations § 245.1. The report shall include the date the proceeds were utilized for the purposes authorized in Ordering Clause 1 of the order. The report shall also indicate the total amount of funds borrowed during the calendar year to which it pertains, as well as the total amount of authority remaining. This annual filing shall detail the terms of each debt issuance authorized by the order during that calendar year, including: (a) the amount; (b) the date of issuance; (c) the purposes of the proceeds; (d) the interest rate; (e) the date of maturity; and (f) the lender. If upon examination of the uses to which any proceeds are put, it is determined that any expenditure is not a reasonable and proper capital charge, or has not been duly authorized by the Commission, or is in violation of any provision of the law, a sum equal to the expenditure shall, upon order of the Commission, shall promptly be placed in a commercial banking institution or institutions and said sum shall be subject to all of the conditions and restrictions of the order. The authority granted, and the conditions imposed by the order shall not be construed as passing upon or otherwise approving the accuracy of the books, records, and accounts of Corning, nor does the authority to issue indebtedness bind the Commission to any specific ratemaking treatment or regulatory regime. The indebtedness authorized by the order shall not be issued unless and until there has been filed with the Secretary to the Commission an unconditional acceptance by Corning to obey all the terms, conditions, and requirements of the order. If such acceptance is not filed within a period of 30 days from the effective date of the order, the order may be revoked by the Commission without further notice, subject to the terms and conditions set forth in the order.

Text or summary was published in the July 29, 2026 issue of the Register, I.D. No. PSC-30-26-00002-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(26-G-0446SA1)

NOTICE OF ADOPTION

Flexible Financing

I.D. No. PSC-31-26-00012-A

Filing Date: 2026-10-19

Effective Date: 2026-10-19

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order approving Flint Mine Solar LLC and DESRI Flint Mine Development, L.L.C.'s (Flint Mine) petition for flexibility to modify financing without prior approval, up to $450 million.

Statutory authority: Public Service Law, sections 5, 64, 65, 66 and 69

Subject: Flexible financing.

Purpose: To approve Flint Mine's petition for flexible financing up to $450 million.

Substance of final rule: The Commission, on October 15, 2026, adopted an order approving Flint Mine Solar LLC and DESRI Flint Mine Development, L.L.C.'s (Flint Mine) petition for flexibility to modify financing without prior approval, up to $450 million, subject to the terms and conditions set forth in the order.

Text or summary was published in the August 5, 2026 issue of the Register, I.D. No. PSC-31-26-00012-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-E-0183SA2)

NOTICE OF ADOPTION

Adopting Emergency Action as Permanent

I.D. No. PSC-31-26-00013-A

Filing Date: 2026-10-15

Effective Date: 2026-10-15

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: On 10/15/26, the PSC adopted an order adopting the emergency action in the Order Approving New Rates for Consolidated Edison Company of New York Inc.'s Standby Service Customers Above 138 Kilovolts, issued July 21, 2026, on a permanent basis.

Statutory authority: Public Service Law, sections 65(1) and 66(12)

Subject: Adopting emergency action as permanent.

Purpose: To adopt the emergency action taken in the July 21, 2026 Order on a permanent basis.

Substance of final rule: The Commission, on October 15, 2026, adopted an order adopting the emergency action in the Order Approving New Rates for Consolidated Edison Company of New York Inc.'s Standby Service Customers Above 138 Kilovolts, issued July 21, 2026, on a permanent basis, subject to the terms and conditions set forth in the order.

Text or summary was published in the August 5, 2026 issue of the Register, I.D. No. PSC-31-26-00013-P.

Final rule as compared with last published rule: No changes.

Text of rule may be obtained from: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: Beth.Faranda@dps.ny.gov An IRS employer ID no. or social security no. is required from firms or persons to be billed 25 cents per page. Please use tracking number found on last line of notice in requests.

Assessment of Public Comment

An assessment of public comment is not submitted with this notice because the rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(26-E-0333SA1)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Petition to Submeter Electricity

I.D. No. PSC-44-26-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the petition of 960 Sterling Place Owners Corp. to Submeter Electricity at 960 Sterling Place, Brooklyn, New York, located in the territory of Consolidated Edison Company of New York, Inc.

Statutory authority: Public Service Law, sections 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Petition to submeter electricity.

Purpose: To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Substance of proposed rule: The Public Service Commission (Commission) is considering the petition filed by 960 Sterling Place Owners Corp. (Owner) on August 4, 2026, seeking authority to submeter electricity to an existing cooperative building offering fair-market units at 960 Sterling Place, Brooklyn, New York, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

In the petition, the Owner requests authorization to take electric service

from Con Edison and then distribute and meter that electricity to its residents. Once approved by the Commission, submetering of electricity to residential tenants is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: beth.faranda@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(26-E-0531SP1)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Customer Deposit Policy and Customer Service-Related Forms and Notices

I.D. No. PSC-44-26-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a proposal filed by the City of Jamestown to revise its customer deposit policy and update its customer service-related forms and notices.

Statutory authority: Public Service Law, sections 5, 65 and 66

Subject: Customer deposit policy and customer service-related forms and notices.

Purpose: To revise customer deposit policy and update customer service forms and notices to comply with 16 NYCRR § 11.12.

Substance of proposed rule: The Commission is considering proposed tariff leaf amendments filed by the City of Jamestown (Jamestown Electric) on September 30, 2026, that would revise its residential customer deposit policy and update its non-residential customer service-related forms and notices, such as deferred payment agreements. The proposed amendments have an effective date of February 1, 2027.

On December 19, 2025, the Commission issued an order determining Jamestown Electric's revenue requirement (Order). In Ordering Clauses 7 and 8 of the Order, the Commission directed Jamestown Electric to work with the Department of Public Service Office of Consumer Services to create or update existing customer service-related forms and notices, as well as revise policies and procedures regarding customer service deposits so that they comply with Title 16 New York Codes, Rules and Regulations § 11.12. Jamestown Electric's proposed tariff amendments reflect these revisions. The tariff leaf revisions also modify the non-residential deferred payment agreement form.

Additionally, Jamestown Electric also proposes a housekeeping revision to update the Table of Contents in its tariff to include "Service Classification No. 7 – High Density Loads," which was previously omitted. Jamestown Electric further requests waiver of the newspaper publication requirements for this filing, stating it intends to notify customers of the revised forms via a statement on all bills by October 31, 2026.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: beth.faranda@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(25-E-0410SP2)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

IEDR Query Tool User Agreement, IEDR Terms of Use, Statewide Data Security Agreement Addendum

I.D. No. PSC-44-26-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by the New York Stated Energy Research and Development Authority and E Source, LLC for approval of a Query Tool User Agreement and other documents related to the Integrated Energy Data Resource.

Statutory authority: Public Service Law, sections 5(1)(b), 65(1), (2), (3), 66(1), (2), (3), (5) and (8)

Subject: IEDR Query Tool User Agreement, IEDR Terms of Use, Statewide Data Security Agreement Addendum.

Purpose: To ensure that the proposed agreements comply with the Commission's Data Access Framework requirements.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition for approval of an Integrated Energy Data Resource (IEDR) Terms of Use, IEDR Query Tool User Agreement, and IEDR Data Security Agreement (DSA) Addendum, filed by the New York State Energy Research and Development Authority (NYSERDA) and E Source, LLC (E Source, and together with NYSERDA, Petitioners) on September 30, 2026.

On February 11, 2021, the Commission issued the Order Implementing an Integrated Energy Data Resource, directing the development of an IEDR platform to collect, integrate, and provide broad and appropriate access to energy-related information on one statewide platform. On April 15, 2021, the Commission issued the Order Adopting a Data Access Framework and Establishing Further Process, establishing a uniform Data Access Framework (DAF) to govern the requirements for energy service entities (ESE) to access and protect energy-related information from data custodians. In the Order Addressing Integrated Energy Data Resource Matters issued on October 13, 2023, the Commission further clarified that the IEDR platform would function as a data custodian for purposes of managing the energy-related data received from various sources (including the utilities), and directed the utilities to transfer customer data to E Source (as the IEDR Administrator) as an exchange of customer data between data custodians.

Petitioners state that the IEDR platform is being designed to enable two categories of data access: (i) publicly accessible nonconfidential (but at times proprietary) data access for IEDR users and (b) confidential, proprietary, and consented data access for registered ESEs. Petitioners also state that, in line with Commission orders and Department of Service (DPS) Staff guidance, the IEDR program team has begun development of an IEDR query tool that would allow IEDR platform users to search for a data set, create a custom query or use a pre-generated query template capable of leveraging data across foundational data sets, and explore or download the data generated from their queries.

To address the responsibilities between E Source (as the IEDR Administrator) and the IEDR users, Petitioners seek Commission approval of the three filed documents. First, the IEDR Terms of Use would establish the general terms of use for the IEDR platform, the treatment of data, permitted use of the data, and confidentiality requirements. Second, the IEDR Query Tool User Agreement would establish the responsibilities of both the ESE and the IEDR Administrator specifically with respect to use of the IEDR query tool, including but not limited to data quality and integrity standards defined in the DAF. Third, Petitioners propose an IEDR-specific Addendum to the Statewide DSA previously approved by the Commission, specifying that an ESE must indemnify utilities supplying data to the IEDR from any loss, cost, damage, or expense related to an ESE's breach of or non-compliance with the Statewide DSA. Petitioners assert that the Addendum is required to protect and indemnify a utility (who will not be a party to the Statewide DSA between an ESE and E Source, in its capacity as IEDR Administrator) if the utility suffers any loss or damage (including

from a customer lawsuit) as a result of an ESE becoming a bad actor or for otherwise failing to adequately protect confidential customer information obtained through the IEDR platform.

Petitioners also propose a process for future updates to the IEDR platform agreements, whereby: (1) if an update to a Commission-approved agreement is required, NYSERDA and the acting IEDR Administrator would file a version-controlled update to the agreement in this proceeding; (2) DPS would review the proposed updates and take any of the following actions – (a) approve the update, (b) deny the update, (c) direct that the update be modified, or (d) request NYSERDA and the IEDR Administrator petition the Commission via a filing on the docket, as appropriate; and (3) if an agreement is approved by DPS, the IEDR Administrator would update the agreement on the IEDR platform and notify IEDR users appropriately. Petitioners propose that this process be used for the approval of future user agreements, templated on the proposed IEDR Query Tool User Agreement, that may be required if additional data access mechanisms are deployed on the IEDR platform.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: beth.faranda@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-M-0082SP14)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

The Integrated Energy Data Resource Platform

I.D. No. PSC-44-26-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by the National Grid companies on October 15, 2026, for approval to increase budgets related to Phase 2 of the Integrated Energy Data Resource.

Statutory authority: Public Service Law, sections 5(1)(b), 65, 66 and 74

Subject: The Integrated Energy Data Resource platform.

Purpose: To consider Phase 2 funding of the Integrated Energy Data Resource.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed on October 15, 2026 by Niagara Mohawk Power Corporation d/b/a National Grid, KeySpan Gas East Corporation d/b/a National Grid, and The Brooklyn Union Gas Company d/b/a National Grid NY (together, National Grid), seeking Public Service Commission (Commission) authorization to increase National Grid's approved budgets associated with Phase 2 of the Integrated Energy Data Resource (IEDR).

On February 11, 2021, the Commission issued its Order Implementing an Integrated Energy Data Resource (IEDR Order), directing the development of an IEDR platform to collect, integrate, and provide broad and appropriate access to energy-related information on one statewide platform. In the IEDR Order, the Commission approved budgets for the major New York utilities for Phase 1 of IEDR development, including a combined budget of $14 million for National Grid. Thereafter, on January 19, 2024, the Commission issued its Order Approving Integrated Energy Resource Phase 2 Budgets, in which the Commission approved a budget cap of $2,715,196 for National Grid for Phase 2 of IEDR development, which had an expected duration between 30 and 36 months.

National Grid requests authorization to increase their approved Phase 2 budget cap from $2,715,196 to $5,245,414. National Grid asserts that their original IEDR Phase 2 cost estimates were conservative and anticipated that all design, development, testing and implementation work would be completed during the first two years of IEDR Phase 2, with only licensing and support costs being incurred during Year 3. However, subsequent changes to the scope of IEDR Phase 2 required a number of utility activities not contemplated in the IEDR Phase 2 Year 3 budget, and National Grid asserts that it requires an increased budget to cover costs associated with those activities.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: beth.faranda@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-M-0082SP17)

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

The Integrated Energy Data Resource Platform

I.D. No. PSC-44-26-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the Integrated Energy Data Resource (IEDR) Phase 2 Status and Summary Report filed by the New York State Energy Research and Development Authority on September 29, 2026.

Statutory authority: Public Service Law, sections 5(1)(b), 65, 66 and 74

Subject: The Integrated Energy Data Resource platform.

Purpose: To consider a transitional Phase 2.5 of the Integrated Energy Data Resource.

Substance of proposed rule: The Public Service Commission (Commission) is considering the Integrated Energy Data Resource Phase 2 Status and Summary Report and Phase 2.5 Proposal (Proposal) filed on September 29, 2026 by the New York State Energy Research and Development Authority (NYSERDA), regarding the development of an Integrated Energy Data Resource (IEDR) platform to collect, integrate, and provide broad and appropriate access to energy-related information on one statewide platform.

The Proposal, which NYSERDA filed in compliance with the Commission's Order Approving Integrated Energy Data Resource Phase 2 Budgets (issued in Case 20-M-0082 on January 19, 2024), provides a comprehensive account of progress on Phase 2 of the IEDR platform's development that is scheduled to last until March 2027. The Proposal, among other things, details a strategic re-sequencing of program operations to prioritize foundational data acquisition, outlines the upcoming public launch of the IEDR Query Tool. The Proposal also provides that Phase 2 core program expenditures are projected to total approximately $33.8 million through March 31, 2027, which is approximately $2.6 million below the approved Commission budget cap.

To prevent a disruption in platform operations and to ensure future investments are guided by validated data, NYSERDA proposes a one-year transitional development period (Phase 2.5) to bridge activities into a future Phase 3 of IEDR development. NYSERDA proposes that Phase 2.5 would last from April 1, 2027, through March 31, 2028, and would focus on three core objectives: rate analytics, energy insights, and IEDR platform core capabilities. NYSERDA asserts that Phase 2.5 of IEDR development would enable the program to complete spillover data acquisition (such as building and electric vehicle charger details), stabilize existing data pipelines, refine data models, and establish a robust, validated foundation to support a detailed proposal for a future Phase 3 of IEDR development.

NYSERDA further proposes, among other things, that final reporting on Phase 2 of IEDR development be bifurcated into the Proposal, and a separate Phase 3 Proposal to be filed on or before March 31, 2028.

NYSERDA proposes that final Phase 2 program reporting would be summarized and included within the future Phase 3 Proposal and regular IEDR Quarterly Reports, and that final Phase 2.5 program reporting would also be included in such future Quarterly Reports. The Proposal further requests Commission guidance on: (1) data points and data categories originally identified in Appendix B of the IEDR white paper filed in Case 20-M-0082 by Department of Public Service Staff on May 29, 2020; and (2) modifications to the Utility Data Advisor (UDA) role to accommodate additional workstreams that may be relevant for comprehensive data access solutions not directly related to the IEDR.

Regarding funding for Phase 2.5, NYSERDA requests that the Commission approve total core IEDR program costs of approximately $10.4 million for the Phase 2.5 transitional period, exclusive of any budget estimates for the UDA. In connection with the Proposal, the Commission will also consider the associated budget reports filed by Consolidated Edison Company of New York, Inc., Orange and Rockland Utilities, Inc., Central Hudson Gas & Electric Corporation, Niagara Mohawk Power Corporation d/b/a National Grid, The Brooklyn Union Gas Company d/b/a National Grid NY, KeySpan Gas East Corporation d/b/a National Grid, New York State Electric & Gas Corporation, Rochester Gas & Electric Corporation, National Fuel Gas Corporation, Liberty Utilities (St. Lawrence Gas) Corp., detailing each utility's respective projected expenditures for the remainder of Phase 2 of IEDR development and estimated costs to support IEDR activities through the Phase 2.5 transitional period.

The full text of the Proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: beth.faranda@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-M-0082SP16)

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

The Integrated Energy Data Resource Platform

I.D. No. PSC-44-26-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering a petition filed by New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation for approval to increase budgets related to Phase 2 of the Integrated Energy Data Resource.

Statutory authority: Public Service Law, sections 5(1)(b), 65, 66 and 74

Subject: The Integrated Energy Data Resource platform.

Purpose: To consider funding for Phase 2 of the Integrated Energy Data Resource.

Substance of proposed rule: The Public Service Commission (Commission) is considering a petition filed by New York State Electric & Gas Corporation (NYSEG) and Rochester Gas and Electric Corporation (RG&E) (together, the Companies) on September 25, 2026, seeking Public Service Commission (Commission) authorization to increase their approved budgets associated with Phase 2 of the Integrated Energy Data Resource (IEDR).

On February 11, 2021, the Commission issued its Order Implementing an Integrated Energy Data Resource (IEDR Order), directing the development of an IEDR platform to collect, integrate, and provide broad and appropriate access to energy-related information on one statewide platform. In the IEDR Order, the Commission approved budgets for the major New York utilities for Phase 1 of IEDR development, including a budget of $12 million for the Companies. Thereafter, on January 19, 2024, the Commission issued its Order Approving Integrated Energy Resource Phase 2 Budgets, in which the Commission approved a budget cap of $15,248,514 for the Companies for Phase 2 of the development of the IEDR.

The Companies request authorization to increase their approved Phase 2 budget cap by $1,958,392 (or approximately 12 percent), for a total budget cap of $17,206,906. The Companies assert that they originally developed their Phase 2 budgets to cover 33 months of work (based on the Commission's originally-identified Phase 2 timeframe as lasting between 30 to 36 months), but the New York State Energy Research and Development Authority recently established a schedule in which Phase 2 will last the full 36 months, thus necessitating additional funding to continue work for the additional three months that the Companies did not contemplate. The Companies further assert that the budget increase is needed based on greater-than-anticipated overhead costs, as well as to support changes to data specifications related to initiatives like Distributed Energy Resources and Electric Vehicle Supply Equipment.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: Beth Faranda, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-5306, email: beth.faranda@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(20-M-0082SP15)

Office of Temporary and Disability Assistance

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Program Work Requirements

I.D. No. TDA-44-26-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 385.3 and 385.11-385.13 of Title 18 NYCRR.

Statutory authority: Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (42 United States Code [USC], section 607) (PRWORA) in accordance with PRWORA, section 103; Social Services Law, art. 5, title 9-B; USC, title 7, ch. 51; 7 USC, sections 2011, 2013, 2015, 2029; 7 CFR, sections 273.7, 2015(d)(4)(D); PL 119-21, title I, section 10102; Fiscal Responsibility Act of 2023, title II, section 311 (PL 118-5, 137 US Stat 10 [118th Cong, 1st Sess, June 3, 2023], amending 7 USC, section 2015[6][o][3]); Agriculture Improvement Act of 2018, title IV, section 4005 (PL 115-334, 132 US Stat 4490 [115th Congress, Dec. 20, 2018]); Social Services Law, sections 17(a)-(b), (k), 20(3)(d), 95(1)(b), 332-b (as amended by L. 2016, ch. 54); L. 2012, chapter 41

Subject: Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) program work requirements.

Purpose: To update regulations pertaining to SNAP Employment and Training program in accordance with State and Federal statutes, regulations, and policies.

Substance of proposed rule (Full text is posted at the following State website: https://otda.ny.gov/legal/regulatory-activities.asp): The Office of Temporary and Disability Assistance (OTDA) proposes amendments to 18 NYCRR §§ 385.3 and 385.11–385.13 relative to updating the regula-

tions governing the Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) program and making other amendments to conform with applicable Federal and State law. The full text of the proposed regulatory amendments is posted at https://otda.ny.gov/legal/regulatory-activities.asp.

18 NYCRR § 385.3 - Work Registration, Registration Exemptions, and Certain Eligibility Requirements for SNAP E&T Applicants and Recipients

• Amend the title of § 385.3 to read "Work Requirements, Exemptions from Work Requirements and Certain Eligibility Requirements for SNAP Applicants and Recipients."

• Add new § 385.3(a) to establish the general SNAP work requirements.

• Amend re-lettered § 385.3(b) to clarify that districts must provide both a written notice and oral explanation to a household of the general SNAP work requirement and the Able Bodied Adults Without Dependents (ABAWD) work requirement to all applicable members of the household.

• Repeal the definition of "regular participant" from § 385.3(b)(1)(vi).

• Repeal the former § 385.3(c) related to SNAP work registrant requirements.

• Amend § 385.3(d)(1) to: (1) define the term "fulfilling the work requirement"; (2) clarify all of the following: (a) reference to the ABAWD work requirement; (b) that 20 hours per week averaged monthly equates to 80 hours per month; (c) that programs under title I of the Workforce Investment Act of 1998 (Pub L 105-220, tit I, ch 5, §§ 131–134, 112 US Stat 936 [105th Congress, Aug. 7, 1998]) and veterans employment and training programs under the Department of Labor and Department of Veterans Affairs count towards the ABAWD work requirement; (d) that ABAWDS can participate in a combination of hours from all of the programs in 18 NYCRR § 385.3 to reach the total 80 hours per month requirement; and (e) that participation in a Work Experience Program (WEP) and unpaid work (volunteering) can fulfill the ABAWD work requirement; and (3) to make technical updates to the paragraph.

• Amend § 385.3(d)(5)(ii) to: (1) change the minimum age for an ABAWD exception to 65, as established by Public Law (Pub L) 119-21 (HR1), 139 US Stat 72 (119th Cong, 1st Sess July 4, 2025) (Pub L 119-21); (2) change the designation that households with a member under 18 are exempt from ABAWD work requirements to households with members under 14; (3) remove the ABAWD exception categories created by the federal Fiscal Responsibility Act of 2023 (Pub L 118-5, 137 US Stat 10 [118th Congress, 1st Sess, June 3, 2023], amending 7 United States Code [USC] § 2015[6][o][3]), which were repealed under Pub L 119-21; and (4) add a new ABAWD exception category for American Indians established under Pub L 119-21.

• Add new § 385.3(e) to establish that SNAP E&T shall be a voluntary program, with guidelines for voluntary participation and informing clients of the option to participate voluntarily.

• Add new § 385.3(f) to: (1) codify the responsibility of social services districts (districts) to inform partnering providers of the provider's authority and responsibility to determine if an individual is not a good fit for a SNAP E&T work activity component; and (2) set forth reporting guidelines for both providers and districts and required actions districts must take in response to a determination that the individual is not a good fit for a SNAP E&T work activity component.

18 NYCRR § 385.11 - Conciliation

• Amend §§ 385.11(a)(3) and 385.11(b)(3) to: (1) specify the requirement that districts make a finding of whether an alleged refusal or failure to comply with Public Assistance general work requirements was willful and without good cause; and (2) define the term "willfulness," and to make technical updates.

• Amend § 385.11(c) to make technical updates.

• Amend § 385.11(d) to: (1) specify that the conciliation notice requirements in § 385.11 refer to the general SNAP work requirements; and (2) define what it means to demonstrate compliance with the general SNAP work requirements, and to make technical updates.

18 NYCRR § 385.12 - Failure to Comply

• Amend § 385.12(a) to make technical updates.

• Repeal §§ 385.12(b) (Noncompliance of SNAP applicants and recipients with work requirements) and 385.12(e) (SNAP sanctions for failure to comply with employment programs) and re-letter existing subdivisions (c)–(d) of § 385.12 as subdivisions (b)–(c), respectively, and make technical updates to the re-lettered subdivisions.

• Amend re-lettered § 385.12(b)(1) to define the term "willfulness."

18 NYCRR § 385.13 - Voluntary Termination of Employment and Voluntary Reduction of Earning Capacity (Voluntary Quit)

• Amend § 385.13(a)(2)–(8) to make technical updates.

• Amend § 385.13(b)(1) to clarify that: (1) the conciliation notice requirements in § 385.11(d) do not apply to a SNAP applicant who voluntarily quits their job; and (2) SNAP recipients subject to the general SNAP work requirements who voluntarily quit will be disqualified from participating in the SNAP E&T program.

• Amend § 385.13(b)(1)–(2) and (4)–(5) to make technical updates.

• Amend § 385.13(b)(8) to outline the progression of disqualification periods for first, second and third/subsequent instances of willful and without good cause voluntary quits by SNAP recipient households.

• Re-number existing paragraph (8) and paragraphs (9)–(11) of § 385.13(b) as paragraphs (9)–(12), respectively and to make technical updates to the re-numbered paragraphs.

• Re-number existing paragraph (13) of § 385.13(b) as paragraph (15) and to make technical updates to the re-numbered paragraph.

• Add new § 385.13(b)(13) to outline the noticing requirements for SNAP applicants and recipients who fail to comply with the general SNAP work requirements and re-number existing paragraph (12) as paragraph (14).

• Re-number current paragraphs (14)–(17) of § 385.13(b) as paragraphs (16)–(19), respectively, and amend re-numbered § 385.13(b)(16) and (19) to make technical updates to the re-numbered paragraphs and to define "compliance" in terms of ending a voluntary quit or reduction in hours disqualification.

Text of proposed rule and any required statements and analyses may be obtained from: Thomas Makely, New York State Office of Temporary and Disability Assistance, 40 North Pearl Street, 16C, Albany, NY 12243-0001, (518) 402-3966, email: thomas.makely@otda.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement

1. Statutory authority:

The New York State Office of Temporary and Disability Assistance (OTDA) supervises public assistance (PA) employment programs authorized by the Federal Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (42 United States Code [USC] § 607) (PRWORA) in accordance with § 103 of the PRWORA and Title 9-B of Article 5 of the Social Services Law (SSL). The Federal Supplemental Nutrition and Assistance Program (SNAP) is authorized by Chapter 51 of Title 7 of the United States Code (USC). Pursuant to 7 USC § 2011, SNAP promotes the general welfare and safeguards the health and well-being of the nation's population by raising nutrition levels of low-income households. Pursuant to 7 USC § 2013, the Federal Secretary of Agriculture is authorized to administer SNAP, under which, at the request of the state agency, eligible households within the State are provided an opportunity to obtain SNAP benefits. 7 USC § 2029 authorizes the State to operate SNAP Employment and Training (SNAP E&T) programs in accordance with Federal parameters. Under Federal regulations at 7 Code of Federal Regulations (CFR) § 2015(d)(4)(D), states have the option of exempting any or all categories of household members from any requirement to participate in SNAP E&T.

Public Law (PL) 119-21 (HR1), 139 US Stat 72 (119th Cong, 1st Sess, July 4, 2025) (PL 119-21) increased the Able Bodied Adults Without Dependents (ABAWD) age limit to over age 65. These sections of the USC also removed exemptions from the ABAWD time limit that had been established in the federal Fiscal Responsibility Act of 2023 (PL 118-5, 137 US Stat 10 [118th Cong, 1st Sess, June 3, 2023], amending 7 USC § 2015[6][o][3]) for individuals experiencing homelessness, veterans, and individuals who are 24 years of age or younger and are in foster care on their 18th birthday or later, and created a new exemption for individuals who are Indian, Urban Indian, California Indian, and/or eligible for the Indian Health Services. The legislation also changed the exception from the ABAWD work requirements for households with a member under age 18 to households with a member under age 14.

The requirement that social services districts (districts) provide written and oral explanations of SNAP work requirements during certification and recertification processes was enacted in Title IV, § 4005 of the Agriculture Improvement Act of 2018 (PL 115-334, 132 US Stat 4490 [115th Congress, Dec. 20, 2018]).

SSL § 17(a)-(b) and (k) provides, in part, that the Commissioner of OTDA shall "exercise such other powers and perform such other duties as may be imposed by law."

SSL § 20(3)(d) authorizes OTDA to establish rules, regulations and policies to carry out its powers and duties in administering all public welfare work for which the State is responsible, supervising local government social services, and distributing the funds appropriated by the State and Federal Governments for such purposes.

SSL § 95(1)(b) authorizes OTDA to administer SNAP in New York State (NYS) and to perform such functions as may be appropriate, permitted or required by or pursuant to federal law.

Chapter 41 of the Laws of 2012 changed the name of the Food Stamp Program in NYS to the SNAP.

2. Legislative objectives:

OTDA understands that it was the intent of the Legislature in enacting

the above statutes that OTDA establish rules, regulations, and policies so that adequate provision is made for those persons unable to provide for themselves so that, whenever possible, such persons can be restored to conditions of self-support and self-care.

3. Needs and benefits:

The proposed regulatory amendments would conform with Federal regulations allowing states the discretion to operate under a voluntary model for SNAP E&T participation. Under the voluntary SNAP E&T model, being assigned a work activity for PA would also count as an assignment for SNAP E&T. If a recipient of both PA and SNAP fails to comply with their assigned work activities, they may face a PA employment sanction, but would not be sanctioned for SNAP, since their participation would be voluntary in that regard. Transitioning to the voluntary model would protect vulnerable populations who may face hard-to-identify barriers to participation, helping ensure that they do not lose access to benefits for failing to meet previously mandatory SNAP E&T requirements. Because PA and SNAP have different employment program rules for tracking countable hours of participation and sanctioning noncompliance, making SNAP E&T participation voluntary would remove the administrative burden of having to differentiate between two separate sets of regulations in this regard.

Additionally, the proposed regulatory amendments to § 385.3(d) would conform State regulations with Federal changes made to ABAWD work requirement policy in PL 119-21. The other proposed regulatory amendments, including but not limited to those relative to work activity providers' determinations and notification of SNAP work requirements, would conform State regulations with previously enacted State and Federal legislation and make technical updates to the State regulations that have already been implemented by districts.

4. Costs:

The proposed regulatory amendments would make several conforming changes to NYS regulations to align with provisions in both State and Federal law which have already been implemented by districts. Additionally, the proposed regulatory changes would transition New York's SNAP E&T program to a voluntary model. As such, OTDA does not anticipate additional costs for implementation or continued compliance or administration by the State or districts.

5. Local government mandates:

The proposed regulatory amendments are not expected to impose new mandates on local governments, as they would codify policy changes that have already been enacted in State and Federal legislation. The requirement that districts provide written and oral explanations of SNAP work requirements during the certification and recertification processes was enacted in Title IV, § 4005 of the Agriculture Improvement Act of 2018. OTDA issued administrative guidance relative to these requirements on February 8, 2022, in Administrative Directive Memorandum (ADM) 22-ADM-01 ("Oral and Written Requirements to inform SNAP Applicants and Recipients of SNAP Work Rules"). The responsibility of districts to ascertain an individual's suitability for a work activity component was codified in SSL § 332-b, (as amended by Chapter 54 of the Laws of 2016). OTDA issued administrative guidance to districts relative to these requirements on June 9, 2016, in 16-ADM-05 ("Change in Documentation Requirements for Independent Medical Evaluations of Employability").

The proposed regulatory amendments would make changes to the ABAWD work rules that conform with changes to the Federal law made by PL 119-21 [HR1], 139 US Stat 72 (119th Cong, 1st Sess, July 4, 2025) (PL 119-21) which have already been implemented by districts. These regulations increased ABAWD age limit to age 65 and changed the exception from the ABAWD work rules for households with a member under age 18 to households with a member under age 14. They also removed exemptions from the ABAWD time limit that had been established in the Federal Fiscal Responsibility Act of 2023 (PL 118-5, 137 US Stat 10 [118th Cong, 1st Sess, June 3, 2023], amending 7 USC § 2015[6][o][3])for individuals experiencing homelessness, veterans, and individuals who are 24 years of age or younger and are in foster care on their 18th birthday or later, and created a new exemption for individuals who are Indian, Urban Indian, California Indian, and/or eligible for the Indian Health Services.

Moreover, the proposed regulatory amendments to facilitate making participation in the SNAP E&T program voluntary in NYS would reduce the administrative burden on districts by streamlining the process of sanctioning an individual receiving both PA and SNAP. Instead of managing participant compliance under two distinct sets of employment rules, districts would only be required to impose a PA sanction if someone receiving both PA and SNAP fails to comply with assigned work activities.

Thus, OTDA anticipates that, with the issuance of OTDA's guidance documents upon adoption of the proposed regulatory amendments, districts would not have difficulty implementing the proposed regulatory amendments.

6. Paperwork:

OTDA anticipates that the proposed regulatory amendments would reduce the length of forms LDSS-5193 ("Important Information about SNAP Work Rules [General, Mandatory E&T, and ABAWD"]) and LDSS-5193A ("Important Information about SNAP Work Rules [General and Mandatory E&T"]) by eliminating the need for a section on Mandatory SNAP E&T. On October 21, 2025, OTDA issued updated forms LDSS-5193 reflecting the changes to ABAWD exceptions and age limits required by PL 119-21. In such issuance, OTDA also issued updated forms LDSS-5127 ("Able-Bodied Adults Without Dependents Work Activity Letter") and LDSS-5062A ("SNAP Employability Code – ABAWD Determination") that conform to the proposed regulatory amendments. If the proposed regulatory amendments are adopted, OTDA would update and reissue any necessary administrative guidance.

The proposed regulatory amendment related to determinations by work activity providers would require districts to provide either written or verbal notification to participants of the determination and to document it. As mentioned above, however, districts have already been following this practice since release of OTDA's administrative guidance in 16-ADM-05.

7. Duplication:

The proposed regulatory amendments would not duplicate, overlap or conflict with any existing State or Federal regulations.

8. Alternatives:

Regarding SNAP E&T work requirements, NYS currently employs a "hybrid" model in which non-exempt individuals receiving PA and SNAP are required to participate in work activities as assigned by the district. The assigned activities are generally combined into work requirements for both PA and SNAP, and failure to comply may result in employment sanctions for both programs. For the SNAP-only population, each district has the discretion to decide whether to mandate work activities. Currently, none of the 58 districts in NYS require this population to engage in SNAP E&T. There are four other states that operate similar hybrid programs, whereas 42 states plus Washington D.C., Guam, and the U. S. Virgin Islands have made SNAP E&T completely voluntary. Three states (Florida, Kansas, and Utah) require SNAP E&T for their entire SNAP population. OTDA has considered a transition to voluntary SNAP E&T for some time and discussed this option with districts, who have largely expressed support. OTDA delivered presentations to district commissioners and staff statewide, including rural districts, where the proposed transition to voluntary SNAP E&T was outlined and districts had the opportunity to ask questions and provide feedback. This occurred at the New York Public Welfare Association Winter Conference on January 30, 2025; at the annual Commissioners' Convening on May 20-21, 2025; and at OTDA's Employment Policy Regional Meetings on March 18, 2025, March 27, 2025, April 10, 2025, April 17, 2025, May 1, 2025, May 8, 2025, and May 27, 2025. Districts largely expressed support for the proposed transition to voluntary SNAP E&T and acknowledged that it would help streamline the SNAP eligibility process. Furthermore, no concerns were raised by districts regarding this change. As previously explained in the Needs and Benefits section above, OTDA asserts that this change would benefit districts administratively and, from a practical perspective, help OTDA's vulnerable client populations retain vital benefits.

The proposed regulatory amendments are also necessary to align State regulations with State and Federal laws, regulations, and policies. The Federal requirement that districts provide a written and oral explanation of SNAP work requirements was enacted in Title IV, Section 4005 of the Agriculture Improvement Act of 2018, amending 7 CFR § 273.7. The role of districts in ascertaining whether an individual is an appropriate fit for a work activity is referenced in SSL § 332-b (as amended by Chapter 54 of the Laws of 2016). The proposed regulatory amendments relating to timeframes for ABAWD exemptions are required to help ensure compliance with PL 119-21.

9. Federal standards:

The proposed regulatory amendments would comply with applicable Federal standards.

10. Compliance schedule:

As previously noted above, several of the proposed regulatory amendments have already been implemented by districts pursuant to prior administrative guidance issued by OTDA requiring compliance with Federal laws and regulations. For the proposed regulatory amendments related to the transition to voluntary SNAP E&T, districts would implement those upon publication of the Notice of Adoption in the NYS Register.

Regulatory Flexibility Analysis

1. Effect of rule:

The proposed regulatory amendments would have no effect on small businesses and a minimal effect on local governments, specifically social services districts (districts).

2. Compliance requirements:

The proposed regulatory amendments would require districts to give an oral explanation of applicable Supplemental Nutrition Assistance Program (SNAP) work requirements and to inform work activity providers of their responsibility to determine whether a participant is an appropriate fit for a

particular SNAP Employment and Training (SNAP E&T) work activity or program. These policies are already implemented by districts, as prior policy guidance issued by the Office of Temporary and Disability Assistance (OTDA) was required to comply with Federal regulations (Title IV, § 4005 of the Agriculture Improvement Act of 2018 [Public Law (PL) 115-334, 132 US Stat 4490 [[115th Congress, Dec. 20, 2018]]]; 22-ADM-01 ["Oral and Written Requirements to inform SNAP Applicants and Recipients of SNAP Work Rules"]; Social Services Law (SSL) § 332-b, [as amended by Chapter 54 of the Laws of 2016]; and Administrative Directive Memorandum (ADM) 16-ADM-05 ["Change in Documentation Requirements for Independent Medical Evaluations of Employability"]).

The proposed regulatory amendments would make changes to the Able Bodied Adults Without Dependents (ABAWD) work requirements to conform with Federal changes made by (PL) 119-21 [HR1], 139 US Stat 72 (119th Cong, 1st Sess, July 4, 2025) (PL 119-21) that have already been implemented by districts. These regulations increased the ABAWD age limit to age 65 and changed the exception from the ABAWD work requirements for households with a member under age 18 to households with a member under age 14. They also removed exemptions from the ABAWD time limit that had been established in the Federal Fiscal Responsibility Act of 2023 (PL 118-5, 137 US Stat 10 [118th Cong, 1st Sess, June 3, 2023], amending 7 United States Code § 2015[6][o][3]) for individuals experiencing homelessness, veterans, and individuals who are 24 years of age or younger and are in foster care on their 18th birthday or later, and created a new exemption for individuals who are Indian, Urban Indian, California Indian, and/or eligible for the Indian Health Services. These Federal requirements are expected to increase the number of SNAP recipients subject to ABAWD work requirements, which will likely result in districts having to devote more staff time to tracking and recording ABAWD time limits and status changes and engaging more clients in activities to meet the ABAWD work requirements.

The proposed regulatory amendments to facilitate making participation in the SNAP E&T program voluntary in New York State would reduce the administrative burden on districts by streamlining the process of sanctioning an individual receiving both Public Assistance (PA) and SNAP. Because PA and SNAP have different employment program rules for tracking countable hours of participation and sanctioning noncompliance, making SNAP E&T participation voluntary would remove the administrative burden of having to differentiate between two separate sets of regulations in this regard. OTDA anticipates that, with the issuance of OTDA's administrative guidance documents upon adoption of the proposed regulatory amendments, districts would not have difficulties implementing the proposed regulatory amendments.

3. Professional services:

The proposed regulatory amendments would not require districts to hire additional professional services.

4. Compliance costs:

OTDA does not anticipate that these proposed regulatory amendments would result in any significant compliance costs to districts because these are conforming regulatory amendments which districts have already implemented.

5. Economic and technological feasibility:

Districts currently have the economic and technological ability to comply with the proposed regulatory amendments since most of these proposed regulatory amendments have already been implemented by the districts.

6. Minimizing adverse impact:

OTDA does not anticipate that the proposed regulatory amendments would have an adverse economic impact on districts since most of these proposed regulatory amendments have already been implemented by the districts.

7. Small business and local government participation:

Most of the proposed regulatory amendments have already been implemented by districts pursuant to prior OTDA-issued policy guidance via ADMs (22-ADM-01 ["Oral and Written Requirements to inform SNAP Applicants and Recipients of SNAP Work Rules"]; 16-ADM-05 ["Change in Documentation Requirements for Independent Medical Evaluations of Employability"]). The most significant of the proposed regulatory amendments, which were not previously implemented by the districts, are those related to the transition to make New York a voluntary state for SNAP E&T. Under Federal regulation at 7 Code of Federal Regulations § 2015(d)(4)(D), states have the option of exempting categories of household members from any requirement to participate in SNAP E&T OTDA delivered presentations to district commissioners and staff statewide, including rural districts, where the proposed transition to voluntary SNAP E&T was outlined and districts had the opportunity to ask questions and provide feedback. This occurred at the New York Public Welfare Association Winter Conference on January 30th, 2025; at the annual Commissioners' Convening on May 20–21, 2025; and at OTDA's Employment Policy Regional Meetings on March 18, 2025, March 27, 2025, April 10, 2025, April 17, 2025, May 1, 2025, May 8, 2025, and May 27, 2025. Districts largely expressed support for the proposed transition to voluntary SNAP E&T and acknowledged that it would help streamline the SNAP eligibility process. Furthermore, no concerns were raised by districts regarding this change.

OTDA plans to develop and circulate to the districts an ADM explaining the proposed regulatory amendments related to voluntary SNAP E&T and addressing implementation by the districts. All districts would have an opportunity to review and comment on the draft version of the ADM. OTDA also plans to update its "New York State Temporary Assistance and SNAP Employment Policy Manual" (the Policy Manual) to reflect the proposed regulatory amendments. This update would explain and clarify the proposed regulatory amendments so as to facilitate districts' implementation. The districts would be able to contact OTDA with any questions or concerns regarding the updates to the Policy Manual.

Regarding the proposed regulatory amendments related to the ABAWD work requirements as mandated by PL 119-21, on October 23, 2025, OTDA re-issued 25-ADM-03, updated to 25-ADM-03-P ("Able-Bodied Adult Without Dependents Time Limit Policy Update and Guidance"), to provide guidance to districts on the policy changes. The draft of this updated ADM had been shared with all districts prior to release to invite their input on the final guidance. OTDA also worked with its training partners to offer updated ABAWD-related trainings for district staff. These trainings were conducted online throughout October-December 2025, and will continue to be offered.

Rural Area Flexibility Analysis

1. Types and estimated numbers of rural areas:

The proposed regulatory amendments would affect the 44 rural social services districts (rural districts) in the State.

2. Reporting, recordkeeping and other compliance requirements; and professional services:

The majority of the proposed regulatory amendments conform to existing State and Federal laws that have already been implemented by rural districts, in accordance with such laws and prior policy guidance that has been issued by the Office of Temporary and Disability Assistance (OTDA) (see: [Public Law (PL) 119-21 (HR1), 139 US Stat 72 (119th Cong, 1st Sess July 4, 2025)]; Title IV, § 4005 of the Agriculture Improvement Act of 2018 [PL 115-334, 132 US Stat 4490 [[115th Congress, Dec. 20, 2018]]]; Administrative Directive Memorandum (ADM) 22-ADM-01 ["Oral and Written Requirements to inform SNAP [[Supplemental Nutrition Assistance Program]] Applicants and Recipients of SNAP Work Rules"]; Social Services Law (SSL) § 332-b, [as amended by Chapter 54 of the Laws of 2016]; and 16-ADM-05 ["Change in Documentation Requirements for Independent Medical Evaluations of Employability"]).

The proposed regulatory amendments would make changes to the Able Bodied Adults Without Dependents (ABAWD) work requirements to conform with Federal changes made by PL 119-21 (HR1), 139 US Stat 72 (119th Cong, 1st Sess July 4, 2025) (PL 119-21) that have already been implemented by social services districts (districts) statewide. These Federal requirements are expected to increase the number of SNAP recipients subject to ABAWD work requirements in all rural districts, which will likely result in rural districts having to devote more staff time to tracking and recording ABAWD time limits and status changes and engaging more clients in activities to meet the ABAWD work requirements.

The proposed regulatory amendments would also require rural districts to update procedures related to SNAP Employment and Training (SNAP E&T) assignments, as they would make participation in SNAP E&T voluntary for applicants and recipients. OTDA anticipates that, with the issuance of OTDA's administrative guidance documents and client notice changes, rural districts would not have difficulties implementing the proposed regulatory amendments.

3. Costs:

OTDA does not anticipate that the proposed regulatory amendments would result in increased costs on rural districts for implementation or continued compliance. As mentioned above, the changes to ABAWD work requirements in PL 119-21 will likely increase the number of SNAP recipients subject to ABAWD work requirements in all rural districts. While there could be both direct and indirect expenses associated with this increase in the ABAWD population, including costs related to client outreach, and higher demand for work, the ABAWD changes made by this regulatory package merely conform to what is already mandated under Federal statute. Moreover, districts have already implemented such provisions as Federally required. Accordingly, there are no anticipated costs associated with the regulatory changes proposed herein.

4. Minimizing adverse impact:

OTDA does not anticipate that the proposed regulatory amendments making SNAP E&T participation voluntary would have an adverse economic impact on rural districts. All of the other proposed regulatory

amendments are conforming and have already been implemented by the districts.

5. Rural area participation:

The proposed regulatory amendments are being promulgated to bring State regulations into compliance with Federal requirements. The majority of the proposed regulatory amendments have already been implemented by rural districts pursuant to prior OTDA-issued policy guidance via ADM (22-ADM-01 ["Oral and Written Requirements to inform SNAP Applicants and Recipients of SNAP Work Rules"]; 16-ADM-05 ["Change in Documentation Requirements for Independent Medical Evaluations of Employability"]). The most significant of the proposed regulatory amendments, which were not previously implemented by the rural districts, are those related to the transition to make New York a voluntary State for SNAP E&T. Under Federal regulation 7 Code of Federal Regulations § 2015(d)(4)(D), states have the option of exempting categories of household members from any requirement to participate in SNAP E&T. OTDA delivered presentations to district commissioners and staff, statewide, including rural districts, where the proposed transition to voluntary SNAP E&T was outlined and districts had the opportunity to ask questions and provide feedback. This occurred at the New York Public Welfare Association Winter Conference on January 30, 2025; the annual Commissioners' Convening on May 20–21, 2025; and OTDA's Employment Policy Regional Meetings on March 18, 2025, March 27, 2025, April 10, 2025, April 17, 2025, May 1, 2025, May 8, 2025, and May 27, 2025. Districts largely expressed support for the proposed transition to voluntary SNAP E&T and acknowledged that it would help streamline the SNAP eligibility process. Furthermore, no concerns were raised by districts regarding this change.

OTDA plans to develop and circulate to the rural districts an ADM explaining the proposed regulatory amendments related to voluntary SNAP E&T and addressing implementation by the rural districts. All districts, including rural districts, would have an opportunity to review and comment on the draft version of the ADM. OTDA also plans to update its "New York State Temporary Assistance and SNAP Employment Policy Manual" (the Policy Manual) to reflect the proposed regulatory amendments. This update would explain and clarify the proposed regulatory amendments so as to facilitate rural districts' implementation. The rural districts would be able to contact OTDA with any questions or concerns regarding the updates to the Policy Manual.

Regarding the proposed regulatory amendments related to the ABAWD work requirements as mandated by PL 119-21, on October 23, 2025, OTDA re-issued 25-ADM-03, updated to 25-ADM-03-P ("Able-Bodied Adult Without Dependents Time Limit Policy Update and Guidance"), to provide guidance to rural districts on the policy changes. The draft of this updated ADM had been shared with all districts prior to release to invite their input on the final guidance. OTDA also worked with its training partners to offer updated ABAWD-related trainings for district staff. These trainings were conducted online throughout October-December 2025 and continue to be offered.

Job Impact Statement

A Job Impact Statement (JIS) is not required for the proposed regulatory amendments. The proposed regulatory amendments are being promulgated to conform and align State regulations pertaining to the Supplemental Nutrition Assistance Program (SNAP) Employment & Training (E&T) program, including, but not limited to, work requirements for certain SNAP recipients who are able-bodied adults without dependents (ABAWD), with Federal statutes, regulations, and policies, including, but not limited to, Public Law (PL) 119-21 (HR1), 139 US Stat 72 (119th Cong, 1st Sess, July 4, 2025) (PL 119-21). It is apparent from the nature and purpose of the proposed regulatory amendments that they would not have a substantial adverse impact on jobs and employment opportunities in either the public or private sectors of New York State. The proposed regulatory amendments are collectively necessary to conform and align State regulations with State and Federal statutes, regulations, and policies. Consequently, a JIS is unnecessary relative to the proposed regulatory amendments.

Workers' Compensation Board

NOTICE OF ADOPTION

Practice Committees and Medical Appeals Unit

I.D. No. WCB-31-26-00002-A
Filing No. 977
Filing Date: 2026-10-19
Effective Date: 2026-11-04

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Repeal of Parts 326, 330, 332, 340, 342, 345, 347, 412 and subpart 349-2 of Title 12 NYCRR.

Statutory authority: Workers' Compensation Law, sections 117 and 152

Subject: Practice committees and medical appeals unit.

Purpose: To repeal the regulations on these topics as they are outdated, pursuant to the EXPRESSNY initiative.

Text or summary was published in the August 5, 2026 issue of the Register, I.D. No. WCB-31-26-00002-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Courtney Vallee, NYS Workers' Compensation Board, 328 State Street, Schenectady, NY 12305, (518) 486-9564, email: regulations@wcb.ny.gov

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2029, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Agriculture and Markets, Department of		
AAM-37-26-00002-P	Examination of Livestock, Domestic Animal Health Permits, Movement and Transfer of Horses, Vesicular Stomatitis	Department of Agriculture and Markets, 10b Airline Dr., Albany, NY—November 24, 2026, 1:30 p.m.
Environmental Conservation, Department of		
ENV-37-26-00006-P	Reclassification of Newtown Creek	Department of Environmental Conservation, Region 2 Office, 8th Fl., Conference Rm. 834, 47-40 21st St., Long Island City, NY—November 16, 2026, 2:00 p.m. Virtual—November 19, 2026, 2:00 p.m. Instruction for virtual hearing registration and connecting to the virtual hearing via phone or internet can be found at: https://meetny-gov.webex.com/weblink/register/r967f4bb732a192068aec50722d8cc14e
ENV-44-26-00022-P	State Environmental Quality Review	Virtual—January 5, 2027, 2:00 p.m.
Long Island Power Authority		
LPA-38-26-00013-P	Reconnection Charges and Postpaid Return Envelopes	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 30, 2026, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—November 30, 2026, 6:00 p.m. Rockaway YMCA, 207 Beach 73rd St., Arverne, NY—December 1, 2026, 6:00 p.m.
LPA-38-26-00014-P	Value of Distributed Energy Resources (VDER)	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 30, 2026, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—November 30, 2026, 6:00 p.m. Rockaway YMCA, 207 Beach 73rd St., Arverne, NY—December 1, 2026, 6:00 p.m.
LPA-38-26-00015-P	Annual Budget	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 30, 2026, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—November 30, 2026, 6:00 p.m. Rockaway YMCA, 207 Beach 73rd St., Arverne, NY—December 1, 2026, 6:00 p.m.
LPA-38-26-00016-P	Direct Load Management (DLM)	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—November 30, 2026, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—November 30, 2026, 6:00 p.m. Rockaway YMCA, 207 Beach 73rd St., Arverne, NY—December 1, 2026, 6:00 p.m.
LPA-40-26-00003-P	Standby and Buyback Contract Demand Clarification	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—December 7, 2026, 10:00 a.m. Long Island Power Authority, 333 Earle Ovington Blvd., Uniondale, NY—December 7, 2026, 6:00 p.m.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	**01**	**12**	**00001**	**P**

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action

AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-37-26-00002-P	11/24/27	Examination of Livestock, Domestic Animal Health Permits, Movement and Transfer of Horses, Vesicular Stomatitis	Update regulations based on current science to prevent unnecessary risk of spread of infectious disease.

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF

*ASA-42-25-00002-RP	10/22/26	State level certification of Certified Community Behavioral Health Centers (CCBHCs) in New York by OMH and OASAS	To provide for oversight of CCBHCs by the Offices (OMH and OASAS)
ASA-24-26-00005-P	06/17/27	Establishment, Incorporation and Certification of Providers of Addiction Services.	Standards related to certification of providers of addiction services.
ASA-26-26-00009-P	07/01/27	Incident reporting in OASAS certified, funded, operated programs (836) and OASAS general provisions (800).	To replace outdated terminology, correct for inconsistencies, and clarify definitions.

CHILDREN AND FAMILY SERVICES, OFFICE OF

CFS-19-26-00002-P	05/13/27	Kinship foster boarding home standards	To establish separate, more flexible standards for approval of kinship foster homes
CFS-29-26-00002-P	07/22/27	Child Care Substitute Pools	To implement SSL 390-n and outline the process to place substitute caregivers at licensed and registered child care programs.
CFS-41-26-00001-P	10/14/27	Child Care Assistance Program Waiting Lists	To implement the provisions of Social Services Law 410-aa requiring local districts to maintain standardized child care assistance waiting lists
CFS-42-26-00002-P	10/21/27	Virtual Casework Contacts	To permit agencies to offer virtual casework visits to certain youth in foster care.
CFS-44-26-00004-P	11/04/27	Facility and staff requirements in school age child care and child day care center programs.	To provide regulatory flexibility for school age child care and child day care center programs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-39-26-00002-P	09/30/27	Jurisdictional Classification	To classify positions in the exempt and non-competitive classes
CVS-39-26-00003-P	09/30/27	Jurisdictional Classification	To delete positions from and to classify a position in the non-competitive class
CVS-39-26-00004-P	09/30/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-39-26-00005-P	09/30/27	Jurisdictional Classification	To classify positions in the exempt and non-competitive classes
CVS-39-26-00006-P	09/30/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-39-26-00007-P	09/30/27	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class
CVS-39-26-00008-P	09/30/27	Jurisdictional Classification	To delete a position from and to classify a position in the exempt class.
CVS-39-26-00009-P	09/30/27	Jurisdictional Classification	To classify a position in the exempt class.
CVS-39-26-00010-P	09/30/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-39-26-00011-P	09/30/27	Jurisdictional Classification	To delete a position from the exempt class and to classify a position in the non-competitive class
CVS-39-26-00012-P	09/30/27	Jurisdictional Classification	To classify a position in the exempt class and to classify a position in the non-competitive class
CVS-39-26-00013-P	09/30/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-39-26-00014-P	09/30/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-39-26-00015-P	09/30/27	Jurisdictional Classification	To classify a position in the exempt class and to classify positions in the non-competitive class
CVS-39-26-00016-P	09/30/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-39-26-00017-P	09/30/27	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-39-26-00018-P	09/30/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-39-26-00019-P	09/30/27	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-39-26-00020-P	09/30/27	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-39-26-00021-P	09/30/27	Jurisdictional Classification	To classify positions in the exempt class.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-44-26-00011-P	11/04/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-44-26-00012-P	11/04/27	Jurisdictional Classification	To classify a position in the exempt class.
CVS-44-26-00013-P	11/04/27	Jurisdictional Classification	To classify a position in the exempt class.
CVS-44-26-00014-P	11/04/27	Jurisdictional Classification	To classify a position in the exempt class.
CVS-44-26-00015-P	11/04/27	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class
CVS-44-26-00016-P	11/04/27	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-44-26-00017-P	11/04/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-44-26-00018-P	11/04/27	Jurisdictional Classification	To classify a position in the exempt class.
CVS-44-26-00019-P	11/04/27	Jurisdictional Classification	To classify positions in the exempt class.
CVS-44-26-00020-P	11/04/27	Jurisdictional Classification	To delete positions from the exempt and non-competitive classes and to classify positions in the exempt class
CORRECTION, STATE COMMISSION OF			
CMC-40-26-00002-P	10/07/27	Use of body imaging scanning equipment.	To establish regulations for the use of body imaging scanning equipment in secure juvenile detention, SSD and OCFS facilities.
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-32-26-00001-P	08/12/27	Watertown Correctional Facility	Repeal section pertaining the Watertown Correctional Facility which was closed in 2021.
CCS-33-26-00002-P	08/19/27	Records Access	Update Department Personal Privacy regulations to clarify existing procedures and reflect current organizational responsibilities
CCS-33-26-00003-P	08/19/27	Department Records	Update Department FOIL regulations to clarify existing procedures and reflect current organizational responsibilities.
CCS-38-26-00002-P	09/23/27	Violation Hearings	Authorizing hearing officers to impose loss of privileges upon affirming a charge
CCS-38-26-00003-P	09/23/27	Disciplinary Hearings	Clarify procedural provisions regarding witness requests and assignment of assistants
CCS-38-26-00004-P	09/23/27	Cases of Incarcerated Individual Misbehavior	Clarify standards for representation provided law students at disciplinary hearings.
CCS-38-26-00005-P	09/23/27	Superintendent's Hearing	Revise description of authorized disciplinary sanctions to provide greater clarity.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-39-26-00001-P	09/30/27	Neighborhood Preservation Crime Prevention Act Guidelines.	Repeal unnecessary regulations relating to funding programs under the 1983 Neighborhood Preservation Crime Prevention Act.
ECONOMIC DEVELOPMENT, DEPARTMENT OF			
EDV-14-26-00020-P	04/08/27	Empire State Independent Film Production Tax Credit Program	To create the administrative process of this tax credit program
EDV-14-26-00021-P	04/08/27	Empire State Film Production Tax Credit Program	To update the administrative process of this tax credit program
EDV-17-26-00001-P	04/29/27	New York State Minority and Women-owned Business Enterprise (MWBE) Program	Amendments to the implementation of the MWBE Program
EDV-32-26-00005-P	08/12/27	Digital Gaming Media Production Tax Credit Program	To update the additional administrative process of this tax credit program and conform to statute
EDV-35-26-00002-P	09/02/27	Empire State Post Production Tax Credit Program	To update the additional administrative process of this tax credit program and conform to statute
EDUCATION DEPARTMENT			
*EDU-42-25-00014-P	10/22/26	Special education due process hearings	Clarifying the due process rights of parents of students who parentally-place their children in nonpublic schools
EDU-22-26-00015-RP	06/03/27	State Financial Aid Eligibility	To align the Commissioner's regulations with Chapter 606.
EDU-30-26-00006-EP	07/29/27	Temporary charitable practice authorizations	To establish a Temporary Charitable Practice authorization to permit qualified out-of-state licensees to participate in charitable service initiatives in NY to address unmet professional service needs and improve access to care for underserved populations
EDU-30-26-00007-P	07/29/27	Registration requirements for certain teacher preparation programs that focus on literacy instruction and corresponding certification requirements	To ensure that teacher candidates are prepared to teach evidence-based literacy instruction
EDU-30-26-00008-P	07/29/27	Receivership under the Every Student Succeeds Act (ESSA) Accountability System	To align Receivership support under the ESSA accountability system with the Department's goals of building leadership and systems for continuous improvement
EDU-30-26-00009-P	07/29/27	Requirements for Coaches of Interscholastic Athletic Teams	Update outdated coaching license regs, simplify licensing, standardize education reqs to reduce burdens and improve consistency
EDU-39-26-00028-P	09/30/27	Nurse practitioners (NPs), athletic trainers, and extra class athletic activities	To authorize NPs to evaluate students for concussion or sudden cardiac arrest and to clarify athletic trainers are licensed
EDU-39-26-00029-P	09/30/27	Immunizations	To conform the Commissioner's regulations to Chapter 115 of the Laws of 2026.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-39-26-00030-EP	09/30/27	Extending Flexibility for Incidental Teaching.	Enable school districts to address ongoing staffing challenges by providing them with flexibility in making teaching assignments
EDU-39-26-00031-P	09/30/27	Requirements for earned degrees at institutions of higher education and minimum qualifications for degree conferral	Adds and clarifies options for students to demonstrate eligibility for degree conferral.
EDU-42-26-00008-P	10/21/27	The Universal Prekindergarten (UPK) Program	Ensuring the regulations are consistent with statutory changes to the UPK program and to reflect additional policy changes
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-06-26-00009-P	02/11/27	Coolwater Sportfishing Regulations	Coolwater sportfishing regulations must be amended to eliminate regulations no longer needed and protect vulnerable populations
ENV-12-26-00004-P	05/28/27	Amend the existing regulations to include changes needed based on recent statutory revisions.	To require larger generators of food waste to donate edible food and recycle food waste if facilities exist to manage.
ENV-24-26-00007-P	06/17/27	Leashed Tracking Dogs	Leashed Tracking Dog regulations will be amended to remove unnecessary application fees and to correct name of the Division.
ENV-26-26-00002-P	09/02/27	treatment and disposal of landfill leachate	establish requirements for the treatment of leachate generated at MSW and C&D debris landfills with leachate collection systems
ENV-28-26-00001-EP	07/15/27	Sanitary Condition of Shellfish Lands.	To reclassify underwater shellfish lands to protect public health and general welfare.
ENV-29-26-00001-P	09/22/27	Regulations governing recreational fishing for Atlantic Cod	To reduce fishing mortality on Atlantic Cod and maintain consistency with federal rules
ENV-36-26-00001-P	09/09/27	Propagation and sale of fur-bearing animals	Consensus rule making, repeal obsolete rule.
ENV-37-26-00006-P	11/19/27	Reclassification of Newtown Creek	Amend the classification of Newtown Creek from Class SD to Class I
ENV-41-26-00008-P	10/14/27	Public use of certain Division of Fish and Wildlife-administered lands within NY's Wildlife Management Area (WMA) system	To reduce confusion and regulatory burden for the regulated community (WMA users) by modernizing area-specific regulations
ENV-43-26-00007-P	10/28/27	Adirondack Baitfish and Sportfishing Regulations	Simplify the harvest regulations for Adirondack brook trout and clarify where anglers may use and possess bait fish.
ENV-44-26-00022-P	01/05/28	State Environmental Quality Review	To amend the material referenced from Disadvantaged Community Assessment Tool (DACAT) Version 1.0 to DACAT 2.0
ETHICS AND LOBBYING IN GOVERNMENT, COMMISSION ON			
ELG-32-26-00004-P	08/12/27	Outside activity restrictions and approval procedures	To provide clarity by adding definitions and eliminating ambiguity.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ETHICS AND LOBBYING IN GOVERNMENT, COMMISSION ON			
ELG-41-26-00003-P	10/14/27	Amendments to the Ethics training requirements for Lobbyists and Clients.	Implement the statutory amendments included in Part U of Chapter 55 of the Laws of 2026 relating to ethics training for lobbyists and clients and the imposition of late fees for failing to complete such training within the required time.
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liabilty Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-28-26-00003-P	07/15/27	Implementation of Banking Law Article 14-B	This rule implements Article 14-B of the Banking Law.
DFS-29-26-00003-P	07/22/27	Issuance of Payment Stablecoins	To provide for the regulation of payment stablecoin issuers.
DFS-36-26-00002-P	09/09/27	Flexible Rating for Nonbusiness Automobile and For Hire Motor Vehicle Insurance Policies	To conform to new Insurance Law section 2356(d) and amended section 2350
DFS-38-26-00007-P	09/23/27	Financial Statement Filings and Accounting Practices and Procedures	To update reference to the 2026 edition of the NAIC AP&P Manual and make non-substantive, technical changes to section 83.4.
DFS-42-26-00006-P	10/21/27	Legalization of Foreign Documents; Application for a Change of Location or a Change of Designation of Principal Office	To streamline and modernize requirements for applications and notices
DFS-42-26-00007-P	10/21/27	Investments in Operating Subsidiaries and Other Entities	To reduce the number of pre-approval applications and other notices that other regulated entities must file to make investments
DFS-44-26-00001-P	11/04/27	Private Passenger Motor Vehicle Insurance Multi-Tier Programs	To repeal regulation made redundant by new Insurance Law section 2341.
DFS-44-26-00002-P	11/04/27	Independent Dispute Resolution for Emergency Services and Surprise Bills	To conform Part 400 to the amendments made by Part BB of Chapter 57 of the Laws of 2026
GAMING COMMISSION, NEW YORK STATE			
SGC-39-26-00023-P	09/30/27	Licensing of owners in Thoroughbred racing.	To establish criteria for licensing horse owners in Thorougbred racing.
SGC-39-26-00024-P	09/30/27	Coupling in Thoroughbred racing.	To enhance wagering interest in Thorougbred racing.
SGC-39-26-00032-P	09/30/27	Cash 4 Life lottery game.	To repeal rules governing the Cash 4 Life lottery draw game, which is no longer offered.
SGC-39-26-00033-P	09/30/27	Furnishing of information in Thoroughbred racing.	To update methods of providing information in Thorougbred racing.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
GAMING COMMISSION, NEW YORK STATE			
SGC-44-26-00021-P	11/04/27	Allowing a racetrack to assume responsibility relating to the registry of ownership, stable names and silks.	To allow a racetrack to perform certain registration and administrative functions currently assigned to The Jockey Club.
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
HLT-04-26-00005-P	01/28/27	Personal Care Services (PCS) and Consumer Directed Personal Care Services (CDPCS)	Allows Licensed Practical Nurses in addition to Registered Nurses to perform initial assessments for PCS & CDPCS in NYS Medicaid
HLT-07-26-00004-P	02/18/27	Chemical Analyses of Blood, Urine, Breath or Saliva for Alcoholic Content	To update, clarify, and simplify certain requirements.
HLT-09-26-00001-P	03/04/27	Standards for Tissue Banks and Nontransplant Anatomic Banks, and conforming changes.	Update to reflect current standards, practices & federal requirements; include additional protections for donors and recipients.
HLT-11-26-00001-P	03/18/27	Radiology Services	To define portable radiology as a type of mobile radiology.
HLT-24-26-00010-P	06/17/27	Nurse Aide Training Program	To change the number of training hours for the Nurse Aide Training Program.
HLT-24-26-00011-P	06/17/27	Streamlining Medicaid Provider Recordkeeping Requirements	To eliminate unnecessary requirements and simplify and clarify recordkeeping requirements for health care providers.
HLT-24-26-00012-P	06/17/27	Updating Medicaid provider Electronic Orders and Forms	To explicitly authorize provider use of electronic orders and forms.
HLT-25-26-00011-P	06/24/27	Organ Donation Conforming Changes	To add close friend to list of those that may consent to an anatomical gift. Patients may be placed on multiple transplant lists
HLT-27-26-00011-P	07/08/27	Communicable Disease Reporting, Definitions and Specimen Collection	To update and clarify requirements for communicable disease reporting, definitions, and specimen collection.
HLT-29-26-00012-P	07/22/27	Community Paramedicine Programs	To establish rules and regulations relative to the practice of community paramedicine in New York State.
HLT-42-26-00003-P	10/21/27	Nursing Home Bed Census Reporting Requirements and Platform	To modify nursing home census reporting requirements by transitioning from weekly to monthly reporting.
HLT-43-26-00001-P	10/28/27	Prehospital Blood Administration	Certified ambulance and advanced life support first response services that store, distribute, initiate and administer human blood products
HLT-43-26-00008-P	10/28/27	Streamline Reporting Requirements for Licensed Home Care Services Agencies	To streamline reporting requirements for Licensed Home Care Services Agencies while maintaining the required annual registration

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HUMAN RIGHTS, DIVISION OF			
HRT-34-26-00002-P	08/26/27	Requests for Division records and investigation files.	To revise and modernize procedures to request Division records and investigation files.
LABOR, DEPARTMENT OF			
LAB-35-26-00003-P	09/02/27	Alternate Apprentice to Journeyworker Ratios	Allow temporary variances from minimum apprentice/journeyworker ratios to meet the demand for skilled labor across the State.
LAB-38-26-00001-P	09/23/27	Opioid Antagonists in the Workplace	To promulgate regulations pursuant to NYS Labor Law § § 27-f(4) regarding opioid antagonists in the workplace.
LAB-39-26-00022-P	09/30/27	Prevention of Retail Workplace Violence	To promulgate regulations pursuant to NYS Labor Law Section 27-e(6) regarding preventing retail workplace violence.
LAW, DEPARTMENT OF			
LAW-04-26-00014-P	01/28/27	Price gouging	Define abnormal disruptions of the market arising from stress of weather and convulsions of nature
LAW-37-26-00007-P	09/16/27	Municipal Consumer Warranty on Emergency Vehicles – Arbitration Program Regulations	To promote the independent, speedy, efficient and fair disposition of disputes concerning defective ambulances and fire vehicles
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap
*LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
*LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts
*LPA-37-20-00013-EP	exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers
*LPA-12-21-00011-P	exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input
*LPA-17-22-00012-P	exempt	COVID-19 arrears forgiveness and low-income customer discount eligibility	To implement an arrears forgiveness program and expand low-income customer discount eligibility
*LPA-17-22-00014-P	exempt	LIPA's delivery service adjustment cost recovery rider	To ensure recovery of T&D property tax expenses consistent with the LIPA Reform Act, at the lowest cost to LIPA customers
*LPA-39-23-00025-P	exempt	The Small Generator Interconnection Procedures in the Authority's Tariff	To update the small generator interconnection procedures consistent with Public Service Commission guidance
*LPA-46-23-00011-P	exempt	12-month Bill Protection Guarantee	To broaden applicability of Bill Protection Guarantee to all customers that enroll in Rate Code 194 or 195 by last migration
*LPA-39-24-00010-P	exempt	Standby and Buyback Service Rates	LIPA Staff propose to modify the Tariff to better integrate DERs to the grid with updated and improved Standby and Buyback rates
*LPA-45-24-00007-P	exempt	Retail Energy Storage Procurement and Residential Energy Storage Programs	To clarify how costs associated with Retail Energy Storage Procurement and Residential Energy Storage Programs are recovered
LPA-13-26-00009-P	exempt	Electric Vehicle Phase-In Rates ("EVPIR") for behind-the-meter storage that is not paired with a distributed generator.	To allow customers with behind-the-meter storage that is not paired with a distributed generator to participate in its EVPIR.
LPA-26-26-00006-P	exempt	Small Generator Interconnection Procedures (SGIP)	To modify the SGIP to align with Statewide SIR by incorporating Appendix S
LPA-38-26-00013-P	exempt	Reconnection Charges and Postpaid Return Envelopes	To clarify the Tariff and remove outdated practices.
LPA-38-26-00014-P	exempt	Value of Distributed Energy Resources (VDER)	To establish a new Retail Storage Performance Component
LPA-38-26-00015-P	exempt	Annual Budget	To implement rate adjustments as determined through LIPA's annual budget process.
LPA-38-26-00016-P	exempt	Direct Load Management (DLM)	To implement changes to the Direct Load Mangement Program to remain consistent with statewide policy
LPA-40-26-00003-P	exempt	Standby and Buyback Contract Demand Clarification	To clarify the Tariff language regarding the application of these charges.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
MENTAL HEALTH, OFFICE OF			
OMH-13-26-00002-P	04/01/27	Mental Health Outpatient Treatment and Rehabilitative Services (MHOTRS).	Provides increased flexibilities for MHOTRS and provides regulatory clarification.
OMH-24-26-00013-P	06/17/27	Repeals COVID requirements.	To Repeal Part 557.
OMH-24-26-00018-P	06/17/27	Administrative Compensation.	To Repeal Part 513 as Executive Order 38 has sunset.
OMH-37-26-00001-P	09/16/27	Prior Approval Review Process.	To update the Prior Approval Review Process.
MOTOR VEHICLES, DEPARTMENT OF			
MTV-09-26-00003-P	03/04/27	Drivers' Licenses - classifications, endorsements and restrictions	Adds a new "J" license endorsement for escort vehicle operation.
MTV-18-26-00002-P	05/06/27	Alcohol & Drug Rehabilitation Programs	Fee increase for participation in the IDP
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
*NFW-52-22-00004-EP	exempt	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders
NFW-50-25-00003-EP	12/17/26	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders.
OGDENSBURG BRIDGE AND PORT AUTHORITY			
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF			
PKR-41-26-00004-P	10/14/27	Environmental Protection Act- Provisions Relating to All Projects	Update outdated website link, update regional contact information, replace incorrect internal citation
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-50-25-00004-EP	12/17/26	Clarifying what facilities OPWDD can designate an Intermediate Care Facility for Individuals with Intellectual Disabilities.	To allow specialty hospitals to be certified as an intermediate care facility for individuals with intellectual disabilities.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-52-25-00001-P	12/31/26	Article 16 Clinic Services Eligibility	Clarify eligibility requirements for services at Article 16 clinics.
PDD-24-26-00015-P	06/17/27	Personal Allowance Receipts	To allow more flexibility for providers when administering personal allowances.
PDD-24-26-00016-P	06/17/27	Representative Payee	To allow more flexibility for providers relating to representative payee funds and responsibilities.
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PUBLIC EMPLOYMENT RELATIONS BOARD			
PRB-31-26-00014-P	08/05/27	Rules and regulations to effectuate the purpose of the State Employment Relations Act (Labor Law Art. 20).	To enact procedures for electronic signatures and to update procedures applicable in PERB's private sector jurisdiction.
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-15-04-00022-P	exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc

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PUBLIC SERVICE COMMISSION			
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Vernon and TW Telecom of New York L.P.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and TW Telecom of New York L.P.
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the $5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income $5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to $50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities

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PUBLIC SERVICE COMMISSION			
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify, in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify, in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff

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PUBLIC SERVICE COMMISSION			
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	exempt	Approval of a contract for $250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of $250,000 for tank repairs
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and $1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation

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PUBLIC SERVICE COMMISSION			
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about $25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC

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PUBLIC SERVICE COMMISSION			
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than $100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics

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PUBLIC SERVICE COMMISSION			
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles

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PUBLIC SERVICE COMMISSION			
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC)	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s)
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of $94,000	To consider allowing Knolls Water Company to enter into a long-term loan agreement
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures	To improve gas safety performance
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator	To consider waiver of RG&E's tariffed definition of emergency generator

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid
*PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established	To balance the need for the information necessary to support a robust market with customer privacy concerns
*PSC-28-14-00014-P	exempt	Petition to transfer systems, franchises and assets	To consider the Comcast and Charter transfer of systems, franchise and assets
*PSC-30-14-00023-P	exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter
*PSC-30-14-00026-P	exempt	Petition for a waiver to master meter electricity	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive, Albany, NY
*PSC-31-14-00004-P	exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-36-14-00009-P	exempt	Modification to the Commission's Electric Safety Standards	To consider revisions to the Commission's Electric Safety Standards
*PSC-38-14-00003-P	exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program
*PSC-38-14-00004-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn
*PSC-38-14-00005-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2
*PSC-38-14-00007-P	exempt	Whether to expand Con Edison's low income program to include Medicaid recipients	Whether to expand Con Edison's low income program to include Medicaid recipients
*PSC-38-14-00008-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn
*PSC-38-14-00010-P	exempt	Inter-carrier telephone service quality standard and metrics and administrative changes	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines
*PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers
*PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1
*PSC-40-14-00011-P	exempt	Late Payment Charge	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
*PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY
*PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-40-14-00015-P	exempt	Late Payment Charge	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
*PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff' s electric outage investigation report for MNRR, New Haven Line	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line
*PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY
*PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program
*PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-15-00024-P	exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product
*PSC-13-15-00027-P	exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P	exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of $2.75 million
*PSC-18-15-00005-P	exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P	exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P	exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	exempt	Notice of Intent to Submeter electricity	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York
*PSC-29-15-00025-P	exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	exempt	Development of a Community Solar Demonstration Project	To approve the development of a Community Solar Demonstration Project
*PSC-33-15-00009-P	exempt	Remote net metering of a demonstration community net metering program	To consider approval of remote net metering of a demonstration community net metering program
*PSC-33-15-00012-P	exempt	Remote net metering of a Community Solar Demonstration Project	To consider approval of remote net metering of a Community Solar Demonstration Project
*PSC-34-15-00021-P	exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-35-15-00014-P	exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements
*PSC-44-15-00028-P	exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	exempt	Whitepaper on Implementing Lightened Ratemaking Regulation	Consider Whitepaper on Implementing Lightened Ratemaking Regulation
*PSC-48-15-00011-P	exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016	Consider the proposed retirement of Huntley Units 67 and 68
*PSC-50-15-00006-P	exempt	The reduction of rates	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	exempt	Notice of Intent to submeter electricity	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York
*PSC-51-15-00010-P	exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P	exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility
*PSC-04-16-00012-P	exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station
*PSC-04-16-00013-P	exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic
*PSC-06-16-00013-P	exempt	Continued deferral of approximately $16,000,000 in site investigation and remediation costs	To consider the continued deferral of approximately $16,000,000 in site investigation and remediation costs
*PSC-06-16-00014-P	exempt	MEGA's proposed demonstration CCA program	To consider MEGA's proposed demonstration CCA program
*PSC-14-16-00008-P	exempt	Resetting retail markets for ESCO mass market customers	To ensure consumer protections with respect to residential and small non-residential ESCO customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-16-00013-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00014-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00015-P	exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process	To ensure consumer protections for ESCO customers
*PSC-18-16-00016-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00018-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-20-16-00008-P	exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP)	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP)
*PSC-20-16-00010-P	exempt	Deferral and recovery of incremental expense	To consider deferring costs of conducting leak survey and repairs for subsequent recovery
*PSC-20-16-00011-P	exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device
*PSC-25-16-00009-P	exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018	To extend the time period between the Companies' third-party assessments of customer personally identifiable information
*PSC-25-16-00026-P	exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications
*PSC-28-16-00017-P	exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework	To determine appropriate rules for and calculation of the distributed generation reliability credit
*PSC-29-16-00024-P	exempt	Participation of NYPA customers in surcharge-funded clean energy programs	To consider participation of NYPA customers in surcharge-funded clean energy programs
*PSC-32-16-00012-P	exempt	Benefit-Cost Analysis Handbooks	To evaluate proposed methodologies of benefit-cost evaluation
*PSC-33-16-00001-EP	exempt	Use of escrow funds for repairs	To authorize the use of escrow account funds for repairs
*PSC-33-16-00005-P	exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges
*PSC-35-16-00015-P	exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
*PSC-40-16-00025-P	exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP)	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-47-16-00009-P	exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-20-17-00010-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel
*PSC-24-17-00006-P	exempt	Development of the Utility Energy Registry	Improved data access
*PSC-34-17-00011-P	exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-42-17-00010-P	exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report	To consider NFGD's petition for rehearing
*PSC-50-17-00017-P	exempt	New Wave Energy Corp.'s petition for rehearing	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	exempt	Application of the Public Service Law to DER suppliers	To determine the appropriate regulatory framework for DER suppliers
*PSC-50-17-00019-P	exempt	Transfer of utility property	To consider the transfer of utility property
*PSC-50-17-00021-P	exempt	Disposition of tax refunds and other related matters	To consider the disposition of tax refunds and other related matters
*PSC-51-17-00011-P	exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project
*PSC-04-18-00005-P	exempt	Notice of intent to submeter electricity	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity
*PSC-05-18-00004-P	exempt	Lexington Power's ZEC compliance obligation	To promote and maintain renewable and zero-emission electric energy resources
*PSC-07-18-00015-P	exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades	To consider AEC's petition requesting resolution of their billing dispute with National Grid
*PSC-11-18-00004-P	exempt	New York State Lifeline Program	To consider TracFone's petition seeking approval to participate in Lifeline
*PSC-13-18-00015-P	exempt	Eligibility of an ESCO to market to and enroll residential customers	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-18-00023-P	exempt	Reconciliation of property taxes	To consider NYAW's request to reconcile property taxes
*PSC-14-18-00006-P	exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-17-18-00010-P	exempt	Petition for use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-24-18-00013-P	exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements	To promote and maintain renewable and zero-emission electric energy resources
*PSC-29-18-00008-P	exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
*PSC-29-18-00009-P	exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates
*PSC-34-18-00016-P	exempt	Deferral of pre-staging and mobilization storm costs	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs
*PSC-35-18-00003-P	exempt	Con Edison's 2018 DSIP and BCA Handbook Update	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00005-P	exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers
*PSC-35-18-00006-P	exempt	National Grid's 2018 DSIP and BCA Handbook Update	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00008-P	exempt	Central Hudson's 2018 DSIP and BCA Handbook Update	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00010-P	exempt	O&R's 2018 DSIP and BCA Handbook Update	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider
*PSC-39-18-00005-P	exempt	Participation in New York State Lifeline Program	To encourage enhanced services for low-income customers
*PSC-40-18-00014-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018
*PSC-42-18-00013-P	exempt	Petition for clarification and rehearing of the Smart Solutions Program Order	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity
*PSC-01-19-00013-P	exempt	Order of the Commission related to caller ID unblocking	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County
*PSC-03-19-00002-P	exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings	To reduce damage to underground utility facilities by requiring certain training and approving training curricula

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-04-19-00004-P	exempt	Con Edison's petition for the Gas Innovation Program and associated budget	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals
*PSC-04-19-00011-P	exempt	Update of revenue targets	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues
*PSC-06-19-00005-P	exempt	Consideration of the Joint Utilities' proposed BDP Program	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects
*PSC-07-19-00009-P	exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements	To insure the provision of safe and adequate energy service at just and reasonable rates
*PSC-07-19-00016-P	exempt	Participation in New York State Lifeline Program	To encourage enhanced services for low-income customers
*PSC-09-19-00010-P	exempt	Non-pipeline alternatives report recommendations	To consider the terms and conditions applicable to gas service
*PSC-20-19-00008-P	exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-31-19-00013-P	exempt	Implementation of Statewide Energy Benchmarking	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-32-19-00012-P	exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-41-19-00003-P	exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges	To provide qualifying residential customers with an optional three-part rate
*PSC-46-19-00008-P	exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York	To promote and maintain renewable electric energy resources
*PSC-10-20-00003-P	exempt	The Commission's statewide low-income discount policy	To consider modifications to certain conditions regarding utility low-income discount programs
*PSC-12-20-00008-P	exempt	Delivery rates of Corning Natural Gas Corporation	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020
*PSC-16-20-00004-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by Central Hudson
*PSC-18-20-00015-P	exempt	Participation of Eligible Telecommunications Carriers (ETCs) in New York State Lifeline Program	Commission will consider each petition filed by an ETCs seeking approval to participate in the NYS Lifeline program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-19-20-00004-P	exempt	Clarification of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether energy service companies should be permitted to bank RECs to satisfy their renewable energy requirements
*PSC-25-20-00010-P	exempt	Whitepaper regarding energy service company financial assurance requirements	To consider the form and amount of financial assurances to be included in the eligibility criteria for energy service companies
*PSC-25-20-00016-P	exempt	Modifications to the Low-Income Affordability program	To address the economic impacts of the COVID-19 pandemic
*PSC-27-20-00003-P	exempt	To make the uniform statewide customer satisfaction survey permanent	To encourage consumer protections and safe and adequate service
*PSC-28-20-00022-P	exempt	Compensation of distributed energy resources	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-28-20-00034-P	exempt	Petition to implement Section 7(5) of the Accelerated Renewable Energy Growth and Community Benefit Act	To develop the bulk transmission investments necessary to achieve the Climate Leadership and Community Protection Act goals
*PSC-34-20-00005-P	exempt	Petition to provide a renewable, carbon-free energy option to residential and small commercial full-service customers	To increase customer access to renewable energy in the Consolidated Edison Company of New York, Inc. service territory
*PSC-38-20-00004-P	exempt	The annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-20-00008-P	exempt	Availability of gas leak information to the public safety officials	Facilitate availability of gas leak information to public safety officials by gas corporations
*PSC-45-20-00003-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-46-20-00005-P	exempt	The recommendations of the DPS Staff report to improve Hudson Valley Water's service	To determine if approving the DPS Staff's recommendations is in the public interest
*PSC-48-20-00005-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Chief Energy Power, LLC should be permitted to offer green gas products to mass market customers
*PSC-48-20-00007-P	exempt	Tariff modifications to change National Fuel Gas Distribution Corporation's Monthly Gas Supply Charge provisions	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-51-20-00009-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether petitioner should be permitted to offer its "Energy Savings Program" to mass market customers
*PSC-51-20-00014-P	exempt	Electric system needs and compensation for distributed energy resources	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources
*PSC-01-21-00004-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers
*PSC-04-21-00016-P	exempt	Request for a waiver	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-09-21-00005-P	exempt	Utility capital expenditure proposal	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-13-21-00016-P	exempt	Revised distribution strategies and reallocation of remaining funding	To ensure the appropriate use of funding reserved for gas safety programs
*PSC-17-21-00005-P	exempt	Submetering equipment	To consider use of submetering equipment and if it is in the public interest
*PSC-17-21-00006-P	exempt	Community Choice Aggregation and Community Distributed Generation	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation
*PSC-17-21-00007-P	exempt	Utility studies of climate change vulnerabilities	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities
*PSC-18-21-00006-P	exempt	Community Choice Aggregation renewable products	To consider waiving the locational and delivery requirements for RECs purchased to support renewable CCA products
*PSC-19-21-00008-P	exempt	Community Choice Aggregation (CCA) and Community Distributed Generation (CDG)	To consider permitting Upstate Power, LLC to serve as a CCA administrator offering an opt-out CDG focused program
*PSC-20-21-00004-P	exempt	Regulatory approvals in connection with a 437 MW electric generating facility	To ensure appropriate regulatory review, oversight, and action, consistent with the public interest
*PSC-21-21-00019-P	exempt	Utility capital expenditure proposal	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-29-21-00009-P	exempt	Proposed pilot program to use AMI to disconnect electric service to customers during gas system emergencies	To study the efficacy of using AMI to disconnect electric service during gas system emergencies
*PSC-32-21-00002-P	exempt	The prohibition on ESCO service to low-income customers	To consider whether Icon Energy, LLC d/b/a Source Power Company should be granted a waiver to serve low-income customers
*PSC-37-21-00010-P	exempt	Zero emitting electric generating facilities that are not renewable energy systems	To consider modifications to the Clean Energy Standard
*PSC-37-21-00011-P	exempt	Green Button Connect implementation	To consider the proposed Green Button Connect User Agreement and Green Button Connect Onboarding Process document
*PSC-37-21-00012-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Catalyst should be permitted to offer its Community Distributed Generation product to mass market customers
*PSC-38-21-00006-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-39-21-00007-P	exempt	The proposed alternative method of account identification	To facilitate secure customer data exchanges between the utility or provider and energy service entities

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-47-21-00003-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access
*PSC-47-21-00005-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access
*PSC-05-22-00001-P	exempt	Green gas products	To consider an extension of the waiver permitting energy service companies to serve existing customers on green gas products
*PSC-13-22-00011-P	exempt	Positive revenue adjustments associated with emergency response, damage prevention and leak management for 2020	To consider a rehearing petition
*PSC-14-22-00008-P	exempt	An opt-out community distributed generation program	To establish the program rules for offering community distributed generation on and opt-out basis in New York State
*PSC-18-22-00002-P	exempt	NYSEG and RG&E's petition for a waiver of its 2021 customer service quality performance	To determine if NYSEG and RG&E's petition for waiver is in the public interest
*PSC-19-22-00022-P	exempt	Modification of Con Edison's electric tariff	To either eliminate or waive a provision of the Standby Service Offset Tariff
*PSC-21-22-00007-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Atlantic Energy, LLC should be permitted to offer its LED Lighting product to mass market customers
*PSC-21-22-00008-P	exempt	Cybersecurity requirements	Modify the framework to ensure the protection of utility systems and customer data from cyber events
*PSC-21-22-00011-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Atlantic Energy, LLC should be permitted to offer its Smart Home Program product to mass market customers
*PSC-30-22-00009-P	exempt	Establishment of the regulatory regime applicable to a battery storage project	To ensure appropriate regulation of an electric corporation
*PSC-32-22-00023-P	exempt	Bioenergy generation in New York	To consider compensation for bioenergy generation
*PSC-33-22-00009-P	exempt	Use of electric metering equipment	To consider use of electric metering equipment and ensure consumer bills are based on accurate measurements of electric usage
*PSC-38-22-00004-P	exempt	Establishment of the regulatory regime applicable to a battery storage project	To ensure appropriate regulation of an electric corporation
*PSC-42-22-00010-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00011-P	exempt	Gas system planning	To consider cost recovery procedures and an incentive mechanism for non-pipeline alternatives
*PSC-42-22-00012-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-42-22-00013-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00014-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00015-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00016-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00017-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-42-22-00019-P	exempt	Gas system planning	To consider screening and suitability criteria for non-pipeline alternatives
*PSC-46-22-00010-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-04-23-00008-P	exempt	Updates to guidance for electric utility Distributed System Implementation Plans (DSIPs)	Development of updated guidance and directives for utility DSIPs for improving utility planning and operations functions
*PSC-05-23-00001-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00002-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00004-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00005-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00006-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00009-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00012-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00014-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-05-23-00015-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects
*PSC-17-23-00003-P	exempt	Issuance of securities and other forms of indebtedness	To determine if the issuance of funding for capital needs and a surcharge mechanism is in the public interest
*PSC-18-23-00001-P	exempt	A request for waiver of negative revenue adjustments	Whether it is in the public interest to waive the negative revenue adjustments for NYSEG and RGE

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-23-00003-P	exempt	Community Choice Aggregation	To determine the appropriate requirements to be placed on Community Choice Aggregation solicitations and service agreements
*PSC-26-23-00010-P	exempt	Petition to modify the SIC tariff statement	To consider whether amending the SIC mechanism is in the public interest
*PSC-27-23-00013-P	exempt	The proposed Greenhouse Gas Emissions Reduction Pathways Study	To consider whether the proposed Study is sufficient and whether to proceed with the Study
*PSC-27-23-00015-P	exempt	The Tier 4 renewable energy certificate purchase and sale agreement modifications	To consider modification to the existing Tier 4 renewable energy certificate purchase and sale agreement
*PSC-31-23-00001-P	exempt	A petition for a special permit exemption from odorization requirements	To determine if the granting of the special permit is in the public interest
*PSC-34-23-00008-P	exempt	Proposed transfer of capital stock	To determine if the transfer of capital stock is in the public interest
*PSC-35-23-00007-P	exempt	EV Commercial Managed Charging Program Implementation Plan	To consider the deployment of an EV CMCP Implementation Plan in the Central Hudson service territory
*PSC-35-23-00011-P	exempt	EV Commercial Managed Charging Program Implementation Plan	To consider the deployment of an EV CMCP Implementation Plan in the National Grid service territory
*PSC-35-23-00015-P	exempt	EV Commercial Managed Charging Program Implementation Plan	To consider the deployment of an EV CMCP Implementation Plan in the NYSEG/RG&E service territories
*PSC-35-23-00020-P	exempt	Reconciliation mechanism	To limit any further near-term customer bill impacts
*PSC-38-23-00002-P	exempt	Program-wide adjustments to renewable energy certificate contracts	To consider modification to existing renewable energy certificate contracts in light of increased project costs
*PSC-40-23-00029-P	exempt	The applicable regulatory regime for the owner/operator of an approximately 200 megawatt solar electric generating facility	Consideration of whether a requested lightened regulatory regime is in accordance with the Public Service Law and precedent
*PSC-41-23-00007-P	exempt	Gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-42-23-00011-P	exempt	Transfer of a Certificate of Environmental Compatibility and Public Need for a natural gas pipeline	To determine whether the request for the transfer is consistent with the law and in the public interest
*PSC-47-23-00003-P	exempt	The prohibition on service to low-income customers by energy service companies (ESCOs)	To consider extending the waiver of the prohibition
*PSC-05-24-00003-P	exempt	Application of the Public Service Law (PSL) to a merchant developer and owner of a proposed solar facility	To ensure an applicable regulatory regime under the PSL that is consistent with the public interest
*PSC-05-24-00004-P	exempt	Waiver of the requirements of velocity steam meter testing for 2023	To consider whether to provide relief from the velocity meter testing requirements for 2023 due to testing facility shutdown

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-24-00020-P	exempt	Appointment of a temporary operator for a water works corporation	To determine if appointment of a temporary operator of a water utility is necessary to provide safe and adequate service
*PSC-11-24-00021-P	exempt	Petition for the use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-14-24-00013-P	exempt	Petition to modify the submeter approval order	To ensure adequate consumer protections are in place
*PSC-14-24-00015-P	exempt	Petition to modify the submeter approval order	To ensure adequate consumer protections are in place
*PSC-18-24-00008-P	exempt	Partnership for the Urban Revitalization in Western New York Program revisions	To consider and review proposed program modifications
*PSC-25-24-00005-P	exempt	The calculation of NYSEG's Percent of Estimated Bills of the Customer Service Performance Indicator metric for January 2024	Whether it is in the public interest to grant certain exemptions in the January 2024 Percent of Estimated Bills for NYSEG
*PSC-26-24-00002-P	exempt	Energy Service Company Home Warranty Products	To consider proposed consumer protections on Energy Service Company Home Warranty Products
*PSC-26-24-00009-P	exempt	LPP replacement targets	To update LPP replacement mileage to reflect increasing cost while working within Corning's authorized budget
*PSC-26-24-00010-P	exempt	BTU content levels	To reflect the BTU range during the non-heating months due to gas supplied by Corning's new renewable natural gas projects
*PSC-27-24-00003-P	exempt	Net Metering Rules	To consider the proper treatment of banked remote net metering credits
*PSC-30-24-00002-P	exempt	Petition for a special permit exemption and extension	To determine whether to grant the special permit relating to certain class locations and extend the 18-month period until 2026
*PSC-31-24-00011-P	exempt	IEDR User Agreements, Data Security Agreement, Self Attestation, and Green Button Connect Onboarding Process	To ensure that proposed agreements comply with the Commission's Data Access Framework requirements
*PSC-32-24-00030-P	exempt	Petition for the use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-32-24-00037-P	exempt	Rehearing if the order denying authority to recover incremental costs to implement a new CSS above the $421 million cap	To determine whether to authorize temporary accounting treatment for the capital costs in excess of the $421 million cap
*PSC-33-24-00001-P	exempt	Customer required transformers and associated equipment	To establish provisions to ensure safe and reliable service for all customers
*PSC-34-24-00012-P	exempt	Deferral of costs associated with the development of Utility Thermal Energy Networks	To determine the appropriate funding for the development of a proposed Utility Thermal Energy Network Pilot Project
*PSC-40-24-00003-P	exempt	Proposed Earnings Adjustment Mechanisms for Calendar Year 2024	To determine if certain EAMs from the prior rate plan should continue, with updated targets and financial awards

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-41-24-00024-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-44-24-00005-P	exempt	Petition for rehearing	To challenge reporting requirements and sale of environmental attributes for proposed renewable natural gas facilities
*PSC-45-24-00005-P	exempt	Gas metering equipment	To consider use of gas metering equipment and ensure that consumer bills will be based on accurate measurements of gas usage
*PSC-47-24-00007-P	exempt	The Clean Energy Standard's zero emission by 2024 target	To establish definitions of key terms and an evaluation process for achieving the zero emissions by 2040 target
*PSC-48-24-00006-P	exempt	The Westchester Power Community Choice Aggregation (CCA) Program.	To consider integration of Opt-out Community Distributed Generation into the Westchester Power CCA program.
*PSC-52-24-00012-P	exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any potential electric transmission needs for referral to the NYISO to solicit, evaluate, and select solutions for.
*PSC-52-24-00015-P	exempt	Utility integrated storage as a transmission and distribution asset.	To consider the Joint Utilities' study and proposal of the use of energy storage as a transmission and distribution asset.
*PSC-05-25-00017-P	exempt	Proposal for replacement of Con Edison's Rider Q program with Locational Variant Daily As-Used Demand Pricing Rates.	To provide customers with locational variant daily as-used demand pricing.
*PSC-08-25-00009-P	exempt	Con Edison proposes to extend survey and inspection intervals for indoor gas service lines.	To approve, deny, or modify Con Edison's proposal to extend survey and inspection intervals for indoor gas service lines
*PSC-09-25-00006-P	exempt	To review a pilot proposal and associated budgets.	To assess whether the proposed pilot program impact is in the public interest.
*PSC-11-25-00003-P	exempt	Implementation of an exemption from the All-Electric Buildings Act, which prohibits certain use of fossil-fueled equipment.	To consider a reasonableness standard for exempting certain new buildings from the All-Electric Buildings Act.
*PSC-12-25-00028-P	exempt	The calculation of certain negative revenue adjustments associated with customer service performance for 2023 and 2024	To determine if approving NYSEG and RG&E's petition is in the public interest.
*PSC-13-25-00002-P	exempt	Standby Service rates and Buyback Service rates.	To establish updated Standby Service and Buyback Service rates that are just and reasonable.
*PSC-17-25-00006-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
*PSC-21-25-00005-P	exempt	Transfer of ownership interests in a 55 megawatt natural gas-fired cogeneration facility located in North Tonawanda, NY.	To address the transfer and any matters within the public interest.
*PSC-22-25-00008-P	exempt	Transfer of franchises or stocks.	To consider if replacement of Argo GP by an Apollo-controlled entity is subject to § 70, or in the public interest under § 70.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-23-25-00002-P	exempt	Promulgate rules and regulations to require the trimming of vines on utility poles.	To establish minimum requirements regarding vine management.
*PSC-26-25-00008-P	exempt	Petition to extend a water works corporation's escrow account.	To determine if the extension of Emerald Green's escrow account is in the public interest.
*PSC-27-25-00019-P	exempt	Proposed early deployment decarbonization projects and low carbon fuels pilot program.	To address the need for and cost recovery of early deployment decarbonization projects and low carbon fuels pilot program.
*PSC-28-25-00002-P	exempt	O&R's proposal for a Gas DR Pilot and associated budgets.	To promote gas system reliability by encouraging gas demand reductions during peak gas demand days.
*PSC-28-25-00003-P	exempt	Con Edison's proposal for a Gas DR Pilot and associated budgets.	To promote gas system reliability by encouraging gas demand reductions during peak gas demand days.
*PSC-29-25-00005-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-30-25-00001-P	exempt	NOCO Electric, LLC and NOCO Natural Gas, LLC's smart monitoring product.	To consider whether the smart monitoring product should be offered to mass-market customers.
*PSC-32-25-00002-P	exempt	Proposed upstream transfer related to electric plant and steam plant.	To consider whether the proposed transfer of electric and steam plant is in the public interest.
*PSC-35-25-00006-P	exempt	Consideration of the Audit Implementation Plans and audit recommendations.	To ensure that recommendations issued in a management and operations audit are appropriately addressed and implemented.
*PSC-35-25-00008-P	exempt	Establishment of the regulatory regime applicable to the owner and operator of a solar generation project.	To ensure appropriate regulation of an independent power producer participating in wholesale electric markets.
*PSC-36-25-00003-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
*PSC-39-25-00006-P	exempt	Design and implementation of a Commercial Managed Charging Program within the Upstate Utilities' service territories.	To improve the efficacy and participation in the Upstate Commercial Managed Charging Programs.
*PSC-39-25-00012-P	exempt	Interconnection costs.	To consider a filing requesting relief from interconnection costs assigned by National Grid.
*PSC-39-25-00015-P	exempt	Modifications to the Managed Charging Program to increase adoption of electric vehicle (EV) supply equipment and infrastructure.	To incentivize managed EV charging to encourage EV adoption to meet the State's EV adoption goals.
*PSC-40-25-00006-P	exempt	Electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
*PSC-42-25-00004-P	exempt	Bulk energy storage programs.	To consider proposed methodologies for estimating market revenue for long duration, 12+ hour, battery energy storage resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-48-25-00003-P	exempt	Approval of the proposed cost apportionment.	To ensure a fair division of costs among customers on line extensions without passing costs to the general body of ratepayers.
PSC-48-25-00004-P	exempt	Proposed tariff modification.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-52-25-00002-P	exempt	The computation of certain components used to compensate Distributed Energy Resources (DERs).	To consider revisions to the Demand Reduction Value and Locational System Relief Value compensation elements for DERs.
PSC-01-26-00004-P	exempt	The November 13, 2025 Order Adopting Modifications to the Uniform Business Practices.	To consider the Retail Energy Supply Association's request for rehearing.
PSC-01-26-00007-P	exempt	The November 13, 2025 Order Adopting Modifications to the Uniform Business Practices.	To consider the M&R Energy Resources Corp.'s request for rehearing.
PSC-02-26-00007-P	exempt	Modification of monthly administrative fee charged to submetered tenants.	To ensure just and reasonable submetering charges and appropriate consumer protections.
PSC-04-26-00009-P	exempt	Proposed major rate increase in Con Edison's annual revenues by approximately $66 million.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-04-26-00010-P	exempt	A hearing on the Commission's obligations under the Renewable Energy Program.	To determine whether a hearing should be held to evaluate whether the Renewable Energy Program should be modified or suspended.
PSC-05-26-00002-P	exempt	Transfer of street lighting facilities to the Village of New Square.	To consider whether the transfer of street lighting facilities to the Village of New Square is in the public interest.
PSC-05-26-00004-P	exempt	Hydro Development Group Acquisition, LLC, Hailesboro 4 hydroelectric project located in Hailesboro, New York.	To promote and maintain renewable electric energy resources.
PSC-05-26-00005-P	exempt	Hydro Development Group Acquisition, LLC, Hailesboro 6 hydroelectric project located in Hailesboro, New York.	To promote and maintain renewable electric energy resources.
PSC-06-26-00012-P	exempt	A debt financing arrangement with respect to a solar photovoltaic electric generation facility providing wholesale services.	To consider the requested financing arrangement, and if approved, what regulatory conditions should apply.
PSC-07-26-00001-P	exempt	A process for offering flexible interconnection service options.	To provide rules that ensure safe and adequate service at just and reasonable rates.
PSC-09-26-00015-P	exempt	The rules and procedures for interconnecting energy storage facilities.	To ensure interconnection rules and procedures are just and reasonable and consistent with the public interest.
PSC-10-26-00003-P	exempt	Petition dated February 6, 2026 for temporary waiver of 16 NYCRR Section 96.6(j) to provide bills within 30-days.	Whether to grant, deny or modify, in whole or in part, the temporary waiver requested in the petition.
PSC-10-26-00004-P	exempt	Petition dated February 6, 2026 for temporary waiver of 16 NYCRR Section 96.6(j) to provide bills within 30-days.	Whether to grant, deny or modify, in whole or in part, the temporary waiver requested in the petition.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-10-26-00005-P	exempt	Petition dated February 6, 2026 for temporary waiver of 16 NYCRR Section 96.6(j) to provide bills within 30-days.	Whether to grant, deny or modify, in whole or in part, the temporary waiver requested in the petition.
PSC-10-26-00006-P	exempt	Petition dated February 6, 2026 for temporary waiver of 16 NYCRR Section 96.6(j) to provide bills within 30-days.	Whether to grant, deny or modify, in whole or in part, the temporary waiver requested in the petition.
PSC-15-26-00003-P	exempt	Pole attachment charges.	To provide pole attachment services at just and reasonable rates.
PSC-15-26-00006-P	04/15/27	Billing requirements for gas, electric, steam, and water service.	To implement changes to billing requirements for gas, electric, steam, and water service.
PSC-16-26-00001-P	exempt	Approval of issuance of long-term debt and surcharge to collect debt service costs.	To ensure that the expenditures funded by the loan are reasonably required for the stated purpose, water system improvements.
PSC-19-26-00016-P	exempt	Proposed pilot program for residential gas demand response.	To consider and review the proposed pilot program.
PSC-20-26-00003-P	exempt	Modify terms of service.	To determine whether to authorize the implementation of a Partially Interruptible Service Class Pilot.
PSC-21-26-00003-P	exempt	The calculation of certain negative revenue adjustments associated with customer service performance for 2025.	To determine if approving NYSEG and RG&E's petition is in the public interest.
PSC-21-26-00005-P	exempt	Petition to modify the Submeter Approval Order.	To ensure adequate consumer protections are in place.
PSC-23-26-00003-P	exempt	Proposal to develop local transmission projects and analysis of potential bulk system needs.	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.
PSC-23-26-00004-P	exempt	Renewable Energy Development under the Clean Energy Standard.	Development of a Clean Energy Zone Program.
PSC-23-26-00005-P	exempt	Petition to submeter electricity & waiver request.	To ensure adequate submetering equipment, consumer protections, and energy efficiency improvements are in place.
PSC-23-26-00006-P	exempt	Approval of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-23-26-00007-P	exempt	Petition for authorization to utilize CWIP in rate base for Phase 2 Transmission Projects.	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.
PSC-23-26-00010-P	exempt	Proposal to develop local transmission projects and analysis of potential bulk system needs.	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals.
PSC-24-26-00019-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-24-26-00021-P	exempt	Transfer of the commercial building and parcels of land.	To determine whether to authorize the transfer of the properties and the proper accounting for the transaction.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-25-26-00004-P	06/24/27	Technical amendments of state regulations.	To ensure the safe and adequate operation of pipelines in New York State.
PSC-25-26-00005-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-25-26-00006-P	exempt	Disbursement of funds a utility company receives to its customers.	To defer and disburse settlement proceeds received from 3M Company and DuPont for the benefit of customers .
PSC-25-26-00007-P	exempt	Extension of current base tariff rates.	To ensure safe and adequate gas service at just and reasonable rates.
PSC-25-26-00008-P	exempt	Implementation of a non-pipe alternatives framework.	To consider whether the proposed non-pipe alternatives framework and the use of incentives is in the public interest.
PSC-25-26-00009-P	exempt	Applicable regulatory regime under the Public Service Law for the owner/operator of a solar-powered generation facility	To determine whether a lightened regulatory regime for Tracy Solar Energy Center, LLC is consistent with the public interest
PSC-26-26-00003-P	exempt	Proposed major rate increase in Liberty's total annual revenues by approximately $38.11 million.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-26-26-00005-P	exempt	Approval of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-27-26-00013-P	exempt	General Business Law § § 349-d(9) and (10).	To consider the cost recovery and other mechanisms necessary to implement General Business Law § § 349-d(9) and (10).
PSC-27-26-00015-P	exempt	The proposed statewide criteria for the establishment of heat islands within the utilities' service territories.	To establish the boundaries of heat islands for which residential customers receive expanded protections during extreme heat.
PSC-27-26-00016-P	exempt	Issuance of promissory notes and authorization to enter into credit agreements.	To provide funding for capital needs.
PSC-28-26-00004-P	exempt	Whether to grant authority to Con Edison to transfer its 28.81 percent equity interest in Honeoye to NFG.	Whether to grant, deny or modify, in whole or in part, the requested authority to transfer the stock of Honeoye to NFG.
PSC-28-26-00005-P	exempt	Approval of gas metering equipment.	To consider use of gas metering equipment and ensure consumer bills are accurate measurements of gas usage.
PSC-28-26-00006-P	exempt	Notice of tax refunds received by RG&E.	To ensure disposition of tax refunds in a just and reasonable manner.
PSC-28-26-00007-P	exempt	Notice and disposition of tax refunds received by RG&E.	To ensure the disposition of tax refunds in a just and reasonable manner.
PSC-29-26-00004-P	exempt	Revised modifications establishing proposed rates in NYSEG's gas tariff schedules.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-29-26-00005-P	exempt	Central Hudson's Gas and Electric Corporation's proposal for a Gas Demand Response Pilot and associated budgets.	To promote gas system reliability by encouraging gas demand reductions during peak gas demand days.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-29-26-00007-P	exempt	Waiver of certain Commission requirements related to the distribution of telephone directories.	To ensure performance in accordance with applicable telecommunications laws, regulations, and standards and the public interest.
PSC-29-26-00008-P	exempt	Revised modifications establishing proposed rates in RG&E's electric tariff schedules.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-29-26-00009-P	exempt	Revised modifications establishing proposed rates in RG&E's gas tariff schedule.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-29-26-00011-P	exempt	Revised modifications establishing proposed rates in NYSEG's electric tariff schedules.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-30-26-00003-P	exempt	Proposed merger of entities upstream of lightly regulated merchant generating facilities in New York.	To consider whether the proposed transfer is in the public interest.
PSC-30-26-00004-P	exempt	Progress and status of the Clean Energy Standard program toward renewable and zero emissions targets.	To consider progress of the Clean Energy Standard and further achievement of State clean energy goals.
PSC-30-26-00005-P	exempt	The Index Renewable Energy Certificate (REC) settlement structure, used as part of Clean Energy Standard (CES) procurements.	To consider changes to the Index REC settlement structure used under CES procurements.
PSC-31-26-00010-P	exempt	Modification of Innovative Pricing Pilot to establish new rate classes for residential and small commercial customers.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-26-00011-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment, consumer protections, and energy efficiency improvements are in place.
PSC-32-26-00006-P	exempt	Consideration of the Audit Implementation Plan.	To ensure that recommendations issued in a management and operations audit are appropriately addressed and implemented.
PSC-32-26-00007-P	exempt	The prohibition on service to low-income customers by Energy Service Companies (ESCOs)	To consider the petition for an extension of the waiver of the prohibition on service to low-income customers by ESCOs
PSC-32-26-00008-P	exempt	Procurement activities related to energy storage projects and to ensure reliability.	To consider whether Con Edison's procurement activities and other actions are adequate and appropriate to ensure reliability.
PSC-32-26-00009-P	exempt	Proposed transfer of ownership interests upstream concerning the Indian Point site under section 70 of the Public Service Law	To consider whether the proposed transfer is in the public interest
PSC-33-26-00004-P	exempt	Potential modifications to, and clarifications of, the Statewide Solar for All (S-SFA) Program.	To ensure proper administration of the S-SFA and provide low-income customers with bill credits from community solar projects.
PSC-35-26-00004-P	exempt	Rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-35-26-00005-P	exempt	Permanent waiver of tariff provision.	To ensure the requested waiver is reasonable and allows for the provision of safe and adequate service.
PSC-35-26-00006-P	exempt	Gas metering equipment.	To consider use of gas metering equipment and ensure that consumer bills will be based on accurate measurements of gas usage.
PSC-35-26-00007-P	exempt	Clean Energy Standard administration.	To authorize the funding necessary for continued implementation of the Clean Energy Standard in 2027.
PSC-35-26-00008-P	exempt	Petition for rehearing.	To consider a petition for rehearing.
PSC-35-26-00009-P	exempt	New York State Reliability Council reliability rules and measurements.	To consider revisions to various rules and measurements of the New York State Reliability Council used to support safe and reliable electric service.
PSC-35-26-00010-P	exempt	The applicable regulatory regime under the Public Service Law for the owner/operator of a battery energy storage facility.	To determine whether a lightened regulatory regime for Zenobe Burns, LLC is consistent with the public interest.
PSC-36-26-00003-P	exempt	Request of NYISO to incur indebtedness.	To ensure that debt financing is used reasonably and appropriately.
PSC-37-26-00003-P	exempt	Sale of certain real property to Sunrise Mall Holdings II LLC	To consider whether the sale of certain real property to the Sunrise Mall Holdings II LLC is in the public interest.
PSC-37-26-00004-P	exempt	Financial support for the Copenhagen hydroelectric facility.	To consider whether a contract award is needed to maintain the facility's renewable output and what level of support is needed.
PSC-37-26-00005-P	exempt	Transfers of ownership interests in an interstate natural gas pipeline.	To consider whether the proposed ownership transfers are in the public interest.
PSC-38-26-00008-P	exempt	The Community Choice Aggregation Program for municipalities to aggregate electric or natural gas supply for residents.	To consider whether to modify or discontinue the Community Choice Aggregation Program.
PSC-38-26-00009-P	exempt	Proposal to approve the use of previously-authorized funds to extend the existing Make-Ready Program beyond utility plug goals.	To modify the Make-Ready Program to continue availability of incentives.
PSC-38-26-00010-P	exempt	To consider tariff rates for a Pole Attachment Rate and SC-3 Facility Charge.	To ensure pole attachment and facility charges are just and reasonable.
PSC-38-26-00011-P	exempt	Energy Affordability Program budgets.	To consider a temporary budget cap increase for Energy Affordability Programs for multiple utilities.
PSC-38-26-00012-P	exempt	The applicable regulatory regime for the owner and operator of a transmission facility.	To determine whether a lightened regulatory regime for NYETC is consistent with the PSL.
PSC-39-26-00025-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-39-26-00026-P	exempt	A process for offering flexible interconnection service options.	To provide rules that ensure safe and adequate service at just and reasonable rates.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-39-26-00027-P	exempt	Issuance of securities and other forms of indebtedness.	To provide funding for capital needs.
PSC-40-26-00001-P	exempt	Excelsior Power Program Phase 1 Implementation Plan.	To ensure proper implementation of Phase 1 of the Excelsior Power Program.
PSC-41-26-00005-P	exempt	The applicable regulatory regime under the Public Service Law for the owner/operator of a wind energy generation facility.	To determine whether a lightened regulatory regime for Valcour Wethersfield NewCo, LLC is consistent with the public interest.
PSC-41-26-00006-P	exempt	The applicable regulatory regime for the owner and operator of a wind-powered generating facility.	To determine whether a lightened regulatory regime for Valcour Clinton NewCo, LLC is consistent with the public interest.
PSC-41-26-00007-P	exempt	The applicable regulatory regime for the owner and operator of a wind-powered generating facility.	To determine whether a lightened regulatory regime for Valcour Chateaugay NewCo, LLC is consistent with the public interest.
PSC-42-26-00004-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-42-26-00005-P	exempt	Establishment of the regulatory regime applicable to natural gas-fired generating facilities.	To ensure appropriate regulation of electric corporations.
PSC-43-26-00002-P	exempt	Petition to submeter electricity and waiver of energy audit requirement.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-43-26-00003-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections, and energy efficiency improvements are in place.
PSC-43-26-00004-P	exempt	Petition to submeter electricity and waiver of energy audit requirement	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-43-26-00005-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections, and energy efficiency improvements are in place.
PSC-43-26-00006-P	exempt	Petition to submeter electricity and waiver of energy audit requirement	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-44-26-00005-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-44-26-00006-P	exempt	Customer deposit policy and customer service-related forms and notices.	To revise customer deposit policy and update customer service forms and notices to comply with 16 NYCRR § 11.12.
PSC-44-26-00007-P	exempt	IEDR Query Tool User Agreement, IEDR Terms of Use, Statewide Data Security Agreement Addendum	To ensure that the proposed agreements comply with the Commission's Data Access Framework requirements.
PSC-44-26-00008-P	exempt	The Integrated Energy Data Resource platform.	To consider Phase 2 funding of the Integrated Energy Data Resource.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-44-26-00009-P	exempt	The Integrated Energy Data Resource platform.	To consider a transitional Phase 2.5 of the Integrated Energy Data Resource.
PSC-44-26-00010-P	exempt	The Integrated Energy Data Resource platform.	To consider funding for Phase 2 of the Integrated Energy Data Resource.
STATE UNIVERSITY OF NEW YORK			
SUN-25-26-00012-P	06/24/27	Certificates of residence for nonresident community college students.	To allow for a schedule of late fees, and exemptions thereof, and a reconciliation process to ensure proper billing.
TAXATION AND FINANCE, DEPARTMENT OF			
*TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021
*TAF-17-24-00002-EP	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2024 through March 31, 2024
TAF-34-26-00001-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period October 1, 2026 through December 31, 2026.
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-04-26-00011-P	01/28/27	Hotel/motel facilities used as temporary housing placements for persons and families experiencing homelessness	To ensure PA applicants or recipients that receive THA for hotel/motel facility placements receive supportive services like those currently available to PA applicants or recipients that receive THA for shelter placements
TDA-24-26-00003-P	06/17/27	Increases the maximum threshold at which social services districts may waive recovery of certain overpayments.	To increase the maximum threshold of certain overpayment recoveries in order to minimize adverse impacts and undue hardship.
TDA-24-26-00004-P	06/17/27	Eliminates the credibility assessment requirement in the domestic violence assessment process	See attached addendum
TDA-42-26-00001-EP	10/21/27	Standard Utility Allowances (SUAs) for the Supplemental Nutrition Assistance Program (SNAP)	These regulatory amendments set forth the annually-adjusted SUAs as of 10/01/2026.
TDA-44-26-00003-P	11/04/27	Supplemental Nutrition Assistance Program (SNAP) Employment & Training (E&T) program work requirements	To update regulations pertaining to SNAP E&T program in accordance with State and Federal statutes, regulations, and policies
TRANSPORTATION, DEPARTMENT OF			
TRN-35-26-00001-P	09/02/27	Divisible Load Permitting	To allow for the issuance of divisible load permits to applicants utilizing vehicles validly registered in any jurisdiction.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
VICTIM SERVICES, OFFICE OF			
OVS-12-26-00003-P	03/25/27	NYS Office of Victim Services (OVS) Victim Compensation Program and Victim Assistance Grants	To align OVS's rules and regulations with recent amendments to Article 22 of the Executive Law and enhance aid to crime victims.
WORKERS' COMPENSATION BOARD			
WCB-02-26-00001-RP	01/14/27	Medical Fee Schedules	To update the medical fee schedules used for treatment in workers' compensation claims.
WCB-32-26-00002-P	08/12/27	Certification of question by Workers' Compensation Law judge to the Board	Repeal this section as outdated pursuant to EXPRESSNY initiative
WCB-32-26-00003-P	08/12/27	Third-party recovery	Repeal this part as outdated and superseded by case law as part of EXPRESSNY initiative
WCB-33-26-00005-P	08/19/27	Paid Family Leave for construction employees	Updating Paid Family Leave regulations to conform with bill adding new eligibility timeline for certain construction employees

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

AllianceBernstein Investments, Inc.
501 Commerce St., Nashville, TN 37203
State or country in which incorporated — Delaware

Capitolis, Inc.
11 W. 42nd St., 15th Fl., New York, NY 10036
State or country in which incorporated — Delaware

HPI Deer Creek DST
Two Huntley Rd., Old Lyme, CT 06371
State or country in which incorporated — Delaware

Neros, Inc.
19681 Pacific Gateway Dr., Torrance, CA 90502
State or country in which incorporated — Delaware

NexPoint Marina II DST
300 Crescent Court, Suite 700, Dallas, TX 75201
State or country in which incorporated — Delaware

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

ALTERATIONS/IMPROVEMENTS
Creedmoor Psychiatric Center
Queens Village, Queens County

Sealed bids for Project Nos. 45298-C, 45298-H, 45298-P and 45298-E, comprising separate contracts for Construction Work, HVAC Work, Plumbing Work, and Electrical Work, Alterations and Improvements, Building No. 75, Creedmoor Psychiatric Center, 80-45 Winchester Blvd., Queens Village (Queens County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, December 2, 2026, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of $91,400 for C, $34,100 for H, $62,000 for P, and $49,600 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $3,000,000 and $4,000,000 for C, between $500,000 and $1,000,000 for H, between $1,000,000 and $2,000,000 for P, and between $1,000,000 and $2,000,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Megan Whaley, Catherine Skaczkowski, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. For inquires related specifically to Minority and Women-Owned Business Enterprises (MWBE) and to Service-Disabled Veteran Owned Businesses (SDVOB) provisions of this procurement, the designated contact is Tryphina Ramsey, telephone (518) 486-9284 or email OGS.sm.MWBE@ogs.ny.gov. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over $5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is $1,737,918 or less, adjusted annually for inflation as of March 1, 2026. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

XX Project commenced design before January 1, 2020. Not subject to provision.

__ Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 456 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on November 19, 2026, at Creedmoor Psychiatric Center, 80-45 Winchester Blvd., Queens Village, NY 11427-2193. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Bibi Bacchus, (718-776-4441 or Bibi.Bacchus@ogs.ny.gov) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 48 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 3 of the Veteran's Services Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, 6% for the E trade contractor, 3% for the H trade contractor, and 6% for the P trade contractor, based on the current availability of qualified SDVOBs.

Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

Downloading Bidding and Contract Documents for this project, along with the submission of Bidder Questions and Electronic Bidding are available through OGS Design & Construction's electronic bidding service, Bid Express at the following link: http://www.bidexpress.com

For questions about registration or Bid Express technical issues, e-mail support@bidexpress.com, or call Bid Express support (888) 352-2439.

For all other questions, email DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, use the link below and then click on the project number:

https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

RENOVATE
TRAIN DEPOT
Saranac Lake Train Depot
Saranac Lake, Franklin County

Sealed bids for Project Nos. 47776-C, 47776-H, 47776-P, 47776-E, comprising separate contracts for Construction Work, HVAC Work, Plumbing Work, and Electrical Work, Renovate Train Depot, Saranac Lake Train Depot, Saranac Lake (Franklin County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Environmental Conservation - Div of Operations, until 2:00 p.m. on Wednesday, December 2, 2026, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of $61,900 for C, $32,400 for H, $15,100 for P, and $24,000 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between $1,000,000 and $2,000,000 for C, between $500,000 and $1,000,000 for H, between $100,000 and $250,000 for P, and between $250,000 and $500,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Megan Whaley, Catherine Skaczkowski, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. For inquires related specifically to Minority and Women-Owned Business Enterprises (MWBE) and to Service-Disabled Veteran Owned Businesses (SDVOB) provisions of this procurement, the designated contact is Tryphina Ramsey, telephone (518) 486-9284 or email OGS.sm.MWBE@ogs.ny.gov. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/ACPL/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over $5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder provided that the bid is $1,737,918 or less, adjusted annually for inflation as of March 1, 2026. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

__ Project commenced design before January 1, 2020. Not subject to provision.

XX Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 407 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on November 18, 2026, at Saranac Lake Train Station, 28 Depot Street, Saranac Lake, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Shane Rolich, (518-949-0681) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 48 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction Work and HVAC Work, and an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Plumbing work and Electrical Work. The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 3 of the Veteran's Services Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, 3% for the E trade contractor, 6% for the H trade contractor, and 3% for the P trade

contractor, based on the current availability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

Downloading Bidding and Contract Documents for this project, along with the submission of Bidder Questions and Electronic Bidding are available through OGS Design & Construction's electronic bidding service, Bid Express at the following link: http://www.bidexpress.com

For questions about registration or Bid Express technical issues, e-mail support@bidexpress.com, or call Bid Express support (888) 352-2439.

For all other questions, email DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, use the link below and then click on the project number:

https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

MISCELLANEOUS
NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE
Department of Civil Service

PURSUANT to the Open Meetings Law, the New York State Civil Service Commission hereby gives public notice of the following:

Please take notice that the regular monthly meeting of the State Civil Service Commission for November 2026 will be conducted on November 18th and November 19th commencing at 10:00 a.m. This meeting will be conducted at NYS Media Services Center, Suite 146, South Concourse, Empire State Plaza, Albany, NY with live coverage available at https://www.cs.ny.gov/commission/.

For further information, contact: Office of Commission Operations, Department of Civil Service, Empire State Plaza, Agency Bldg. 1, Albany, NY 12239, (518) 473-6598

PUBLIC NOTICE
Office of Parks, Recreation and Historical Preservation

Please see the Appendix at the end of this issue regarding the Long Island North Shore Heritage Area (LINSHA) boundary public notice.

PUBLIC NOTICE
Department of State
Notice of Review of Request for
Brownfield Opportunity Area
Conformance Determination
Project: Marine Drive Apartments East
Location: Buffalo Harbor Brownfield Opportunity Area in the City of Buffalo

In accordance with General Municipal Law, Article 18 - C, Section 970-r, the Secretary of State designated the Buffalo Harbor Brownfield Opportunity Area, in the City of Buffalo, on November 27, 2017. The designation of the Buffalo Harbor Brownfield Opportunity Area was supported by a Nomination or a comprehensive planning tool that identifies strategies to revitalize the area which is affected by one or more known or suspected brownfield sites.

Pursuant to New York State Tax Law, Article 1, Section 21, the eligible taxpayer(s) of a project site located in a designated Brownfield Opportunity Area may apply for an increase in the allowable tangible property tax credit component of the brownfield redevelopment tax credit if the Secretary of State determines that the project conforms to the goals and priorities established in the Nomination for a designated Brownfield Opportunity Area.

On September 15, 2026, Marine Drive JV LLC submitted a request for the Secretary of State to determine whether the Marine Drive Apartments East project, which will be located within the designated Buffalo Harbor Brownfield Opportunity Area, conforms to the goals and priorities identified in the Nomination that was prepared for the designated Buffalo Harbor Brownfield Opportunity Area.

The public is permitted and encouraged to review and provide comments on the request for conformance. For this purpose, the full application for a conformance determination is available online at: https://dos.ny.gov/system/files/documents/2026/09/marine-drive-boa-conformance-application.pdf

Comments must be submitted no later than December 4, 2026, either by mail to: Alexandra Reichel, Department of State, Office of Planning and Development, 99 Washington Ave., One Commerce Plaza, Albany, NY 12210, Bldg. Twin Towers, 9G5, or by email to: Alexandra.Reichel@dos.ny.gov

PUBLIC NOTICE
Department of State
F-2026-0353
Date of Issuance – November 4, 2026

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2026-0353, Rebecca Desman-Frost is proposing to install a 4-foot by 100-foot pier with a 3-foot by 16-foot ramp and a 6-foot by 20-foot floating dock with twenty-two (22) tropical piles and four (4) mooring piles. The proposed project is for the owner's property at 151 Lynn Avenue, Town of Southampton, Suffolk County, along the Shinnecock Bay.

The stated purpose of the proposed action is to provide access to Shinnecock Bay for recreational activities.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/f-2026-0353 or at https://dos.ny.gov/public-notices

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by

filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or December 4, 2026.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
F-2026-0526
Date of Issuance – November 4, 2026

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program.

In F-2026-0526, Chautauqua County Soil & Water Conservation District on behalf of Hesham Sawalhi, is proposing to stabilize approx. 265' of streambank and an additional approx. 33' around the existing bridge, with 15' tie backs on all ends, by installing medium rock riprap revetment 4' wide x 2' tall and 2' thick, cut into the bank at Ordinary High Water and extending landward. Excavated material will be used to create a berm and 10'-15' wide riparian buffer of native plants at the top of the bank. Approx 66CY of stone will be placed below Ordinary High Water. Project is located at 3156 Route 5, Town of Sheridan, Chautauqua County, Tributary to Lake Erie.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/f-2026-0526 or at https://dos.ny.gov/public-notices

Original copies of public information and data submitted by the applicant are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice, or December 4, 2026.

Comments should be addressed to: Consistency Review Unit, Department of State, Office of Planning, Development and Community Infrastructure, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000; Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State
Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Dan Farrelly or Courtney Nation, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2026-0579 Matter of Charles J. Brudi, Charles J. Brudi R.A., 30 Bethesda Lane, Sayville, NY 11782, for a variance concerning safety requirements, including flood hazard area. Involved is a residence located at 95 Hickory Lane, Town of Islip, County of Suffolk, State of New York.

2026-0584 Matter of Dado Architecture, PLLC, Dominique Haggerty, R.A., 2 Sky Drive, Cornwall, NY 12518, for a variance concerning safety requirements, including pool and hot tub barrier. Involved is a residence located at 640 Skippers Lane, Town of Southold, County of Suffolk, State of New York.

COURT NOTICES

AMENDMENT OF RULE

Uniform Rules for the Supreme Court and the County Court

Pursuant to the authority vested in me, and with the advice and consent of the Administrative Board of the Courts, I hereby amend the Uniform Rules for the Supreme Court and the County Court, effective December I, 2026, by adding a new section 202.16-d to read as follows:

§ 202.16-d. Submission of Papers to the County Clerk in Matrimonial Actions

(a) In any matrimonial action, the Supreme Court shall promptly deliver in none-filed actions or transmit electronically in e-filed actions via NYSCEF directly to the County Clerk, all papers or orders in the action, including any signed Findings of Fact, Judgments of Divorce, Orders, and any supporting documents filed with the Supreme Court in relation to the matrimonial action or plenary action. This shall include any post-dispositional, interim, or final orders.

(b) For purposes of this rule:

(1) "Matrimonial actions" shall mean those actions set forth in CPLR § 105(p) and DRL § 236, as well as plenary actions for child support, custody or visitation, an order of protection pursuant to the Domestic Relations Law or an application pursuant to the Child Parent Security Act (Family Court Act Article 5-C), wherein:

(i) the action is contested and addresses issues including, but not limited to, alimony, counsel fees, pendente lite relief, maintenance, custody and visitation, child support, the equitable distribution of property, or domestic violence, abuse, paternity, or parental rights; or

(ii) the action is uncontested; or

(iii) the action is a post-disposition application that addresses an underlying matrimonial action previously filed in hard copy or electronically.

AMENDMENT OF RULE

Rules of the Commercial Division

Pursuant to the authority vested in me, and with the advice and consent of the Administrative Board of the Courts, I hereby amend Rule 14 of the Rules of the Commercial Division (22 NYCRR § 202.70), effective December 1, 2026, to read as follows:

Section 202.70. Rules of the Commercial Division of the Supreme Court

* * * * *

Rule 14. Disclosure Disputes. [If the court's Part Rules address discovery disputes, those Part Rules will govern discovery disputes in a pending case. If the court's Part Rules are silent with respect to discovery disputes, the following Rule will apply. Discovery disputes are preferred to be resolved through court conference as opposed to motion practice. Counsel must consult with one another in a good faith effort to resolve all disputes about disclosure. See section 202.7.]

(a) To the maximum extent possible, discovery disputes should be resolved through informal procedures, such as conferences, as opposed to motion practice. The following Rule applies to discovery disputes (including those involving non-parties to the action who have been served with a subpoena or who are otherwise subject to the jurisdiction of the court) unless the court's Part Rules provide otherwise.

(b) Absent exigent circumstances, counsel must engage in a thorough, good-faith attempt to resolve or narrow the dispute. If counsel are unable to resolve any disclosure dispute in this fashion, counsel for the moving party shall submit a letter to the court not exceeding three single-spaced pages outlining the nature of the dispute and requesting a [telephone] conference. Such a letter must include a [representation] *certification* that *either: (1)* [the party has conferred with opposing] counsel *has engaged in direct personal consultation with counsel for all other parties and affected non-parties, as by in-person or electronic conferences,* in a good faith effort to resolve *or narrow* the issues raised in the letter or *(2)* shall [indicate] *set forth* good cause why no such consultation occurred. *The certificate must specify the date(s) of such consultation, the manner in which the consultation occurred, the names of the persons who participated, the specific results achieved, and whether the parties discussed cost-shifting, proportionality, or alternative methods that might resolve or narrow the dispute.* Not later than four business days after receiving such a letter, any affected opposing patty or non-party shall submit a responsive letter not exceeding three single-spaced pages*, which may include a certification responding to the certification in the initiating letter.*

(c) After the submission of letters, the court will schedule a [telephone or in court] conference with counsel. [The court or the court's law clerks will attempt to address the matter through a telephone conference where possible.] *The conference may be conducted by the court or by non-judicial personnel and may be conducted in-person or electronically. Where the conference is conducted by the court, the court may enter an appropriate order and, when requested by counsel, provide for the filing of a formal motion. Where the conference is conducted by non-judicial personnel, Rule 14-a applies.*

(d) The failure of counsel to comply with this rule may result in [a motion being held in abeyance until the court has an opportunity to conference the matter. If the parties need to make a record, they will still have the opportunity to submit a formal motion] *the denial of a discovery motion, without prejudice to renewal once the provisions of this rule have been complied with, or in such motion being held in abeyance until the informal resolution procedures of the court are conducted.*

AMENDMENT OF RULE

Uniform Rules for Surrogate's Court

Pursuant to the authority vested in me, and with the advice and consent of the Administrative Board of the Courts, I hereby amend section 207.4-a(g)(l) of the Uniform Rules for Surrogate's Court, and add a new paragraph (4) to section 207.4-aa(b) of the Uniform Rules for Surrogate's Court, effective December 1, 2026, to read as follows:

§ 207.4-a. Electronic Filing in Surrogate's Court; Consensual Program

* * * * *

(g) Service of Parties.

* * * * *

(1) [An attorney or party seeking to obtain jurisdiction over a party to a proceeding shall serve that party by any of the methods permitted by the SCPA.] *In the event service of citation by mail is returned as "undeliverable" the party seeking to obtain jurisdiction shall be required to give written notice of such fact to the Clerk of the Court within three business days of receipt of such notice.*

* * * * *

§ 207.4-aa. Electronic Filing in Surrogate's Court; Mandatory Program

* * * * *

(b) Commencement of Proceedings Under This Section.

* * * * *

(4) In the event service of citation by mail is returned as "undeliverable" the party seeking to obtain jurisdiction shall be required to give written notice of such fact to the Clerk of the Court within three business days of receipt of such notice.

* * * * *

AMENDMENT OF RULE

Uniform Rules for Surrogate's Court

Pursuant to the authority vested in me, and with the advice and consent of the Administrative Board of the Courts, I hereby amend section 207.38(b)(9) and (d)(6) of the Uniform Rules for Surrogate's Court (22 NYCRR § 207.38), effective December 1, 2026, to read as follows:

§ 207.38. Compromises

* * * * *

(b) The petition also shall show the following:

* * * * *

(9) an in camera submission of the terms and documentation of any interest or any other fees charged to the personal representative of the decedent which affects the interest of an infant distributee entitled to share in the proceeds of the settlement, any contingency or deferred payments agreement, and any money borrowed against anticipated settlement proceeds. The disclosure requirement does not pertain to attorney financing agreements which impact only the attorney and have no impact on the funds or obligations of an infant distributee. *In the event such documentation is submitted, the court is authorized to disclose its contents to a guardian ad litem appointed to represent the infant's interests, and to any party objecting to the proposed allocation and/or distribution of such proceeds. Any such information obtained shall not be disclosed, except upon further order of a court or the issuance of a subpoena.*

* * * * *

(d) A supporting affidavit by the attorney for petitioner must be filed with each petition for leave to compromise showing:

* * * * *

(6) an in camera submission of the terms and documentation of any interest or any other fees charged to the personal representative of the decedent which affects the interests of an infant distributee entitled to take or share in the proceeds of the settlement and any contingency or deferred payments agreement, and any money borrowed against anticipated settlement proceeds. The disclosure requirement does not pertain to attorney financing agreements which impact only the attorney and have no impact on the funds or obligations of an infant distributee. *In the event such documentation is submitted, the court is authorized to disclose its contents to a guardian ad litem appointed to represent the infant's interests, and to any party objecting to the proposed allocation and/or distribution of such proceeds. Any such information obtained shall not be disclosed, except upon further order of a court or the issuance of a subpoena.*

* * * * *

APPENDIX

The following public notice was filed by the Office of Parks, Recreation and Historic Preservation pertaining to the Long Island North Shore Heritage Area (LINSHA) boundary.

New York State
Parks, Recreation and
Historic Preservation

KATHY HOCHUL
Governor

KATHY MOSER
Commissioner

PUBLIC NOTICE

In accordance with Parks, Recreation and Historic Preservation Law § 35-03(2), I hereby approve the expansion of the Long Island North Shore Heritage Area ("LINSHA") boundary to include the villages of East Hills and Old Field.

This approval follows review and acknowledgement of the aforementioned municipalities' resolutions which adopt the LINSHA Management Plan and therefore add East Hills and Old Field to the list of LINSHA-participating municipalities.

These resolutions were adopted, respectively, on January 13, 2026 (Old Field) and May 22, 2025 (East Hills).

Page 2 of 3 depicts the LINSHA boundary. Page 3 of 3 clarifies currently participating and non-participating LINSHA municipalities. The Amended LINSHA boundary and list of participating LINSHA municipalities shall become effective upon publication of this notice in the State Register.

Approved by the New York State Office of Parks, Recreation & Historic Preservation

Name: OPRHP Commissioner Kathy Moser

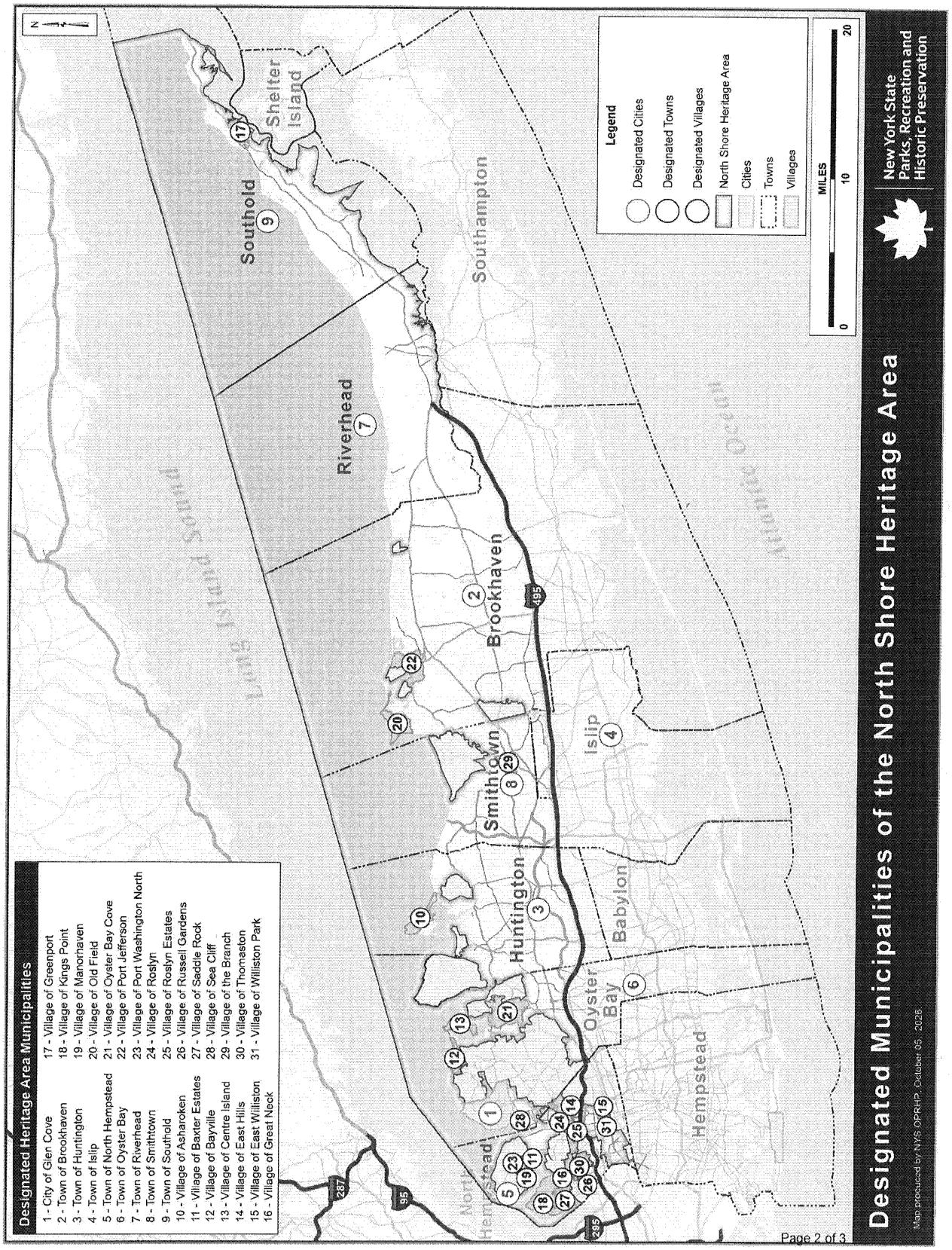
Signature: Kathy Moser

Date: 10/20/26

Page 1 – notice

Page 2 – map

Page 3 – participating and non-participating municipalities


Designated Municipalities of the North Shore Heritage Area
Designated Heritage Area Municipalities
1 - City of Glen Cove
2 - Town of Brookhaven
3 - Town of Huntington
4 - Town of Islip
5 - Town of North Hempstead
6 - Town of Oyster Bay
7 - Town of Riverhead
8 - Town of Smithtown
9 - Town of Southold
10 - Village of Asharoken
11 - Village of Baxter Estates
12 - Village of Bayville
13 - Village of Centre Island
14 - Village of East Hills
15 - Village of East Williston
16 - Village of Great Neck
17 - Village of Greenport
18 - Village of Kings Point
19 - Village of Manorhaven
20 - Village of Old Field
21 - Village of Oyster Bay Cove
22 - Village of Port Jefferson
23 - Village of Port Washington North
24 - Village of Roslyn
25 - Village of Roslyn Estates
26 - Village of Russell Gardens
27 - Village of Saddle Rock
28 - Village of Sea Cliff
29 - Village of the Branch
30 - Village of Thomaston
31 - Village of Williston Park
Legend
Designated Cities
Designated Towns
Designated Villages
North Shore Heritage Area
Cities
Towns
Villages
MILES
0
10
20
N
Long Island Sound
Atlantic Ocean
Southold
Shelter Island
Riverhead
Southampton
Brookhaven
Smithtown
Huntington
Islip
Oyster Bay
Babylon
North Hempstead
Hempstead
New York State Parks, Recreation and Historic Preservation
Map produced by NYS OPRHP, October 05, 2026
Page 2 of 3

These lists below are effective upon publication of this notice in the State Register. Non-participating municipalities may elect to become part of the heritage area by following the procedures set forth in PRHPL 35.03(2).

Participating LINSHA Municipalities

City of Glen Cove
Town of Brookhaven
Town of Huntington
Town of Islip
Town of North Hempstead
Town of Oyster Bay
Town of Riverhead
Town of Smithtown
Town of Southold
Village of Asharoken
Village of Baxter Estates
Village of Bayville
Village of Centre Island
Village of East Hills
Village of East Williston
Village of Great Neck
Village of Greenport
Village of Kings Point
Village of Manorhaven
Village of Old Field
Village of Oyster Bay Cove
Village of Port Jefferson
Village of Port Washington North
Village of Roslyn
Village of Roslyn Estates
Village of Russell Gardens
Village of Saddle Rock
Village of Sea Cliff
Village of The Branch
Village of Thomaston
Village of Williston Park

Non-Participating LINSHA Municipalities

Village of Belle Terre
Village of Brookville
Village of Cove Neck
Village of Floral Park
Village of Flower Hill
Village of Great Neck Estates
Village of Great Neck Plaza
Village of Head-of-the-Harbor
Village of Huntington Bay
Village of Kensington
Village of Lake Grove
Village of Lake Success
Village of Lattingtown
Village of Laurel Hollow
Village of Lloyd Harbor
Village of Matinecock
Village of Mill Neck
Village of Mineola
Village of Munsey Park
Village of Muttontown
Village of New Hyde Park
Village of Nissequogue
Village of North Hills
Village of Northport
Village of Old Brookville
Village of Old Westbury
Village of Plandome
Village of Plandome Heights
Village of Plandome Manor
Village of Poquott
Village of Roslyn Harbor
Village of Sands Point
Village of Shoreham
Village of Upper Brookville